UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

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PERSEPHONE, GMBH, :

Application 15/368221, :

Petitioner, :

v. : Case DER2017-00004

Application 14/726,075, :

Respondent. :

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Declaration of Patrick Winzenried

1. My name is Patrick Winzenried and I make this declaration in support of the petition of Persephone, GmbH under 37 CFR 42.405.

2. In or about March 17, 2012, I received from Christian Gunther a memorandum (Exhibit C) sent by email describing his concept for an online investment platform with structuring, educational and testing components.

3. Later in March 2012, I met with Christian Gunther and our two other University of Zürich students at Starbucks in Zürich during which Christian Gunther described his technology for a software-based electronic trading platform available over the Internet to unsophisticated retail investment customers, which would enable them to structure investment products by sending a request with investment criteria for an investment product; structure an investment product in accordance with those investment criteria, which would include an education system, such as online educational courses associated with the tradable investment products, which would present a test to the user to verify the effectiveness of the product education system, which would gather information from other uses of the system and allow them to purchase products designed by other users, which would further enable product design using a user's investment criteria, risk tolerance, investment horizon, desired investment market and/or level of investment

protection, which would operate in real-time decomposing products into two or more priced components corresponding to respective deal terms, which would generate price and other information which would be shown as a click and drag user modifiable graphic representation of the product and/or in a heat map presentation on an information exchange network that includes the electronic platform.

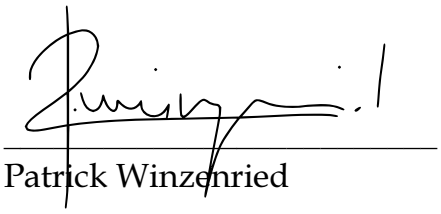
4. The other two students, Christian Gunther and myself decided that we would work on Mr. Gunther's idea as part of a course project at the University of Zürich. The person in charge of the course was Dr. Sigrid Unseld, and our group also had Mr. Bastian Barthelmann involved in reviewing our work.

5. On or about March 30, 2012, our group had a meeting with Dr. Unseld, Mr. Barthelmann of Accenture and several other Accenture executives. The technology described above was presented at that meeting.

6. I was enthusiastic about Mr. Gunther's idea and, on April 12, 2012, met with Thomas Imhalsy of Credit Suisse, where I was interning. I described the above technology to him in detail at that meeting and he was enthusiastic about its chances for success. I did a memorandum of the content of the meeting with Credit Suisse (Exhibit H) and emailed it to Mr. Gunther, a few minutes after the meeting ended on April 12, 2012.

7. Finally, on May 4, 2012 our group had another meeting at which Accenture employees were also present. At that meeting the technology was described and we presented a PowerPoint relating to the technology.

8. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the relevant applications or patents issued thereon.



Patrick Winzenried