

UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD

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PERSEPHONE, GMBH,	:	
Application 15/368,221	:	
Petitioner,	:	
v.	:	
GOLDMAN, SACHS & CO.,	:	Case DER2016-_____
Application 14/726,075,	:	
Respondent.	:	

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Declaration of Carlos Sosa

1. My name is Carlos Sosa and I make this declaration in support of the petition of Persephone, GmbH under 37 CFR 42.405. I am employed as a law clerk at Handal & Morofsky.

2. On December 2, 2016, I accessed the webpage at address <https://awards.bankingtech.com/2013-judged-awards-winners> and noted the document annexed to the petition as Exhibit K, constituting a 2013 winners list where both Credit Suisse and Goldman Sachs were given awards and in attendance at an awards dinner.

3. On December 2, 2016, I accessed the webpage at address <https://www.ifre.com/download?ac=229191> and noted the document annexed to the petition as Exhibit L, constituting a brochure of the IFR (Thomson Reuters) 2013 Awards, which are given once a year at an awards dinner sponsored by Commerzbank, Credit Suisse, Goldman Sachs and others.

4. On December 2, 2016, I accessed the webpage at address <https://www.acnnewswire.com/press-release/english/14255/private-banker-international-announces-2013-finalists-for-the-annual-global-wealth-awards> and noted the document annexed to the petition as Exhibit 34, constituting the announcement of the 2013 Global Wealth Awards Winners presented at Gala Dinner sponsored by AG Delta.

5. On December 2, 2016, I accessed the webpage at address https://derivative.credit-suisse.com/media/publication/brochure_mySolutions_en.pdf and noted the document annexed hereto as Exhibit N, constituting a description of the Credit Suisse "My Solutions" product, mentioning awards dinner held on October 31 2013.

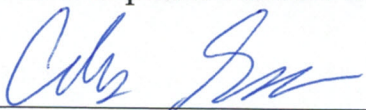
6. On December 2, 2016, I accessed the webpage at address https://derivative.credit-suisse.com/media/public/mysolutions_manuals_ToolGuide_en.pdf and noted the

document annexed to the petition as Exhibit O, constituting a brochure for an awards dinner held on October 31 2013.

7. On December 2, 2016, I accessed the webpage at address <https://www.youtube.com/watch?v=0rtTmc-mEjE> and noted the dialog in the transcription document annexed hereto as Exhibit P, constituting a description of the Bank Vontobel structured products platform.

8. On December 2, 2016, I accessed the webpage at address <http://www.structuredretailproducts.com/blog-story/18855/innovation-and-transparency-the-key-to-swiss-market-recovery#.WEIbAIWcFu0> and noted the document annexed to the petition hereto as Exhibit Q.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further declare that all of the documents attached as exhibits annexed hereto are true and complete copies of the originals; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of my applicationsand petition or any patent or patents issued thereon.

A handwritten signature in blue ink, appearing to read 'Carlos Sosa', is written over a horizontal line.

Carlos Sosa