IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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NINTENDO CO., LTD., and NINTENDO OF AMERICA INC.,
Petitioners,

v.

ANCORA TECHNOLOGIES, INC.,
Patent Owner.

_____

Case IPR2021-01338
U.S. Patent No. 6,411,941 B1

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PATENT OWNER'S SUPPLEMENTAL MANDATORY NOTICES UNDER 37 C.F.R. § 42.8

Mail Stop PATENT BOARD
Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. § 42.8, the patent owner, Ancora Technologies Inc. ("Patent Owner"), hereby submits the following Supplemental Mandatory Notices pursuant to C.F.R. § 42.8(a)(2) for the above-captioned proceeding..

A. Real Party-In-Interest - 37 C.F.R. § 42.8(b)(1)

Patent Owner certifies that Ancora Technologies Inc. is the real party-in-interest in this proceeding.

B. Related Matters - 37 C.F.R. § 42.8(b)(2)

Patent Owner asserts that Petitioners infringe the '941 Patent in *Ancora Technologies, Inc. v. Nintendo Co., Ltd.*, No. 6:21-cv-00738 (W.D. Tex.).

Patent Owner has asserted the '941 Patent against other parties in the following currently-pending district-court lawsuits: *Ancora Technologies, Inc. v. VIZIO, Inc.*, No. 6:21-cv-00739 (W.D. Tex.); *Ancora Technologies, Inc. v. Roku, Inc.*, No. 6:21-cv-00737 (W.D. Tex.); *Ancora Technologies, Inc. v. Google, LLC*, No. 6:21-cv-00735 (W.D. Tex.).

Patent Owner also asserted the '941 Patent against other parties in the following district-court lawsuits: *Ancora Technologies, Inc. v. Lenovo Group Limited*, No. 1:19-cv-01712 (D. Del.); *Ancora Technologies, Inc. v. LG Electronics, Inc.*, No. 1:20-cv-00034 (W.D. Tex.); *Ancora Technologies, Inc. v. HTC America, Inc.*, No. 2:16-cv-01919 (W.D. Wash.); *Ancora Technologies, Inc. v. TCT Mobile*

(US) Inc., Nos. 8:19-cv-02192, 2:20-cv-01252 (C.D. Cal.); *Ancora Technologies, Inc. v. Sony Corp.*, No. 1:19-cv-01703 (D. Del.); *Ancora Technologies, Inc. v. Samsung Electronics Co., Ltd.*, No. 6:19-cv-00385 (W.D. Tex.).

Other PTAB proceedings involving U.S. Pat. No. 6,411,941 include the following:

Petitioner	**Case Number**	**Status**
Apple Inc.	CBM2016-00023	Institution Denied
HTC Corporation and HTC America, Inc.	CBM2017-00054	Institution Denied
Samsung Electronics Co., Ltd., and Samsung Electronics America, Inc.	IPR2020-01184	Institution Denied
TCT Mobile (US) Inc., Huizhou TCL Mobile Communication Co., Ltd., and Shenzhen TCL Creative Cloud Technology Co., Ltd.	IPR2020-01609	Terminated
HTC Corporation and HTC America, Inc.	IPR2021-00570	Institution Denied
LG Electronics, Inc. and LG Electronics U.S.A., Inc.	IPR2021-00581	Institution Denied
Samsung Electronics Co., Ltd., and Samsung Electronics America, Inc.	IPR2021-00583	Terminated
Sony Mobile Communications AB, Sony Mobile Communications, Inc., Sony	IPR2021-00663	Terminated

Electronics Inc., and Sony Corporation		
Roku, Inc. and Vizio, Inc.	IPR2021-01406	Trial Instituted

The present Petition contains the same grounds of invalidity as the grounds that were instituted in the prior TCL IPR proceeding. *See TCT Mobile (US) Inc. v. Ancora Techs., Inc.*, IPR2020-01609, Paper 7 (PTAB Feb. 16, 2021). Those same grounds were again instituted in the prior Sony IPR proceeding. *See Sony Mobile Commc'ns AB v. Ancora Techs., Inc.*, IPR2021-00663, Paper 17 (PTAB Jun. 10, 2021). Both of these two IPR proceedings were terminated due to settlement prior to any further activity after the respective institution decisions. *See* Decision re Settlement as to TCT and TCL After Institution of Trial, *TCT Mobile (US) Inc. v. Ancora Technologies, Inc.*, IPR2020-01609, Paper 21 (June 10, 2021); Termination Due to Settlement After Institution of Trial, *Sony Mobile Commc'ns AB v. Ancora Technologies, Inc.*, IPR2021-00663, Paper 20 (July 16, 2021).

Other USPTO reexamination proceedings involving U.S. Pat. No. 6,411,941 include the following:

Requestor	**Application Number**	**Status**
Microsoft Corporation	90/010,560	Reexamination Certificate Issued

Requestor	Application Number	Status
Knobbe, Martens, Olson & Bear, LLP	90/014,865	Reexamination Ordered
Knobbe, Martens, Olson & Bear, LLP	90/020,138	Request Denied; Terminated

C. Lead and Back-Up Counsel - 37 C.F.R. § 42.8(b)(3)

The Patent Owner identifies the following lead and back-up counsel:

Lead Counsel	Back-up Counsel
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Pursuant to 37 C.F.R. § 42.10(b), an appropriate Power of Attorney is filed concurrently.

D. Service Information - 37 C.F.R. § 42.8(b)(4)

Please address all correspondence to the lead and backup counsel at the electronic mail addresses, postal addresses, telephone phone numbers, and facsimile numbers shown above in section III. Patent Owner additionally consents to electronic service by electronic mail at ancora-ipr@fitcheven.com.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY LLP

Dated: February 23, 2022

By: /David A. Gosse/

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CERTIFICATE OF SERVICE

The undersigned hereby certifies that on February 23, 2022, a complete and entire copy of Patent Owner's Supplemental Mandatory Notices, was served via electronic mail to the attorneys listed below at PerkinsService-Nintendo-Ancora-IPR@perkinscoie.com:

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Dated: February 23, 2022

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