

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC. and VIZIO, INC.,
Petitioners

v.

ANCORA TECHNOLOGIES, INC.,
Patent Owner

Case IPR2021-01406
U.S. Patent No. 6,411,941

PETITIONERS' UPDATED MANDATORY NOTICES

Mail Stop "Patent Board"

Patent Trial and Appeal Board
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

PETITIONER'S UPDATED EXHIBIT LIST

Exhibit No.	Description
1001	U.S. Patent No. 6,411,941 to Mullor et al. ("941 Patent")
1002	Image File Wrapper of U.S. Patent No. 6,411,941 ("941 Patent File History")
1003	Declaration of Andrew Wolfe, Ph.D. ("Wolfe Decl.")
1004	U.S. Patent No. 4,658,093 ("Hellman")
1005	U.S. Patent No. 5,892,906 ("Chou")
1006	U.S. Patent No. 5,933,498 ("Schneck")
1007	Scheduling Order, <i>Ancora Techs., Inc. v. TCT Mobile (US), Inc.</i> , No. 8:19-cv-2192 (C.D. Cal. Mar. 17, 2020) (ECF No. 34)
1008	In re: Coronavirus Public Emergency, Order Concerning Phased Reopening of the Court, General Order No. 20-09, United States District Court for the Central District of California, Aug. 6, 2020
1009	Civil Docket, <i>Ancora Techs., Inc. v. Sony Mobile Commc'ns AB</i> , No. 1:19-cv-01703 (D. Del.)
1010	Civil Docket, <i>Ancora Techs., Inc. v. Lenovo Grp. Ltd.</i> , No. 1:19-cv-01712 (D. Del.)
1011	Claim Construction Order, <i>Ancora Techs., Inc. v. Apple Inc.</i> , No. 4:11-cv-06357 (N.D. Cal. Dec. 31, 2012) (ECF No. 107)
1012	Final Claim Constructions of the Court, <i>Ancora Techs., Inc. v. LG Elecs., Inc.</i> , No. 1:20-cv-00034 (W.D. Tex. June 2, 2020) (ECF No. 69)
1013	Supplemental Claim Construction Order, <i>Ancora Techs., Inc. v. LG Elecs., Inc.</i> , No. 1:20-cv-00034 (W.D. Tex. Aug. 19, 2020) (ECF No. 93)
1014	Joint Claim Construction and Prehearing Statement, <i>Ancora Techs., Inc. v. TCT Mobile (US), Inc.</i> , No. 8:19-cv-2192 (C.D. Cal. July 17, 2020) (ECF No. 49)
1015	European Patent Application No. EP 0766165A2 ("165 Application")
1016	U.S. Patent No. 5,724,425 ("425 Patent")
1017	U.S. Patent No. 6,138,236 ("236 Patent")
1018	U.S. Patent No. 5,802,592 ("592 Patent")
1019	U.S. Patent No. 5,835,594 ("594 Patent")

Exhibit No.	Description
1020	Telephonic Markman Hearing, <i>Ancora Techs., Inc. v. TCT Mobile (US), Inc., et al.</i> , No. 8:19-cv-2192 (C.D. Cal. Oct. 15, 2020) (ECF No. 60)
1021	Telephonic Markman Hearing, <i>Ancora Techs., Inc. v. TCT Mobile (US), Inc., et al.</i> , No. 8:19-cv-2192 (C.D. Cal. Oct. 15, 2020) (ECF No. 69)
1022	Complaint, <i>Ancora Techs., Inc. v. TCL Corp., et al.</i> , No. 4:19-cv-00624 (E.D. Tex. Aug. 27, 2019) (ECF No. 1)
1023	U.S. Patent No. 5,734,819 (“Lewis”)
1024	File Wrapper of <i>Ex Parte</i> Reexamination of U.S. Patent No. 6,411,941, Control No. 90/010,560 (“’560 Reexam File Wrapper”)
1025	Order Re: Joint Stipulation Re Stay Pending <i>Inter Partes</i> Review, <i>Ancora Techs., Inc. v. Vizio, Inc.</i> , No. 2:21-cv-08534 (C.D. Cal.) (ECF No. 78)
1026	Order Granting Joint Motion to Stay Pending <i>Inter Partes</i> Review, <i>Ancora Techs., Inc. v. Roku, Inc.</i> , No. 6:21-cv-00737 (W.D. Tex.) (ECF No. 33)
1027	Order Granting Joint Motion to Stay Pending <i>Inter Partes</i> Review, <i>Ancora Techs., Inc. v. Nintendo Co., Ltd., et al.</i> , No. 6:21-cv-00738 (W.D. Tex.) (ECF No. 46)

Roku, Inc. and Vizio, Inc. (collectively “Petitioners”) submit these Updated Mandatory Notices to update the status of related matters including stays of related district court actions involving the challenged ’941 patent.

I. RELATED MATTERS

On February 10, 2022, the Central District of California issued an order in *Ancora Techs., Inc. v. Vizio, Inc.*, No. 2:21-cv-08534 (C.D. Cal.), granting a stay between Petitioner Vizio and Patent Owner. *See* EX1025. The next day, on February 11, 2022, the Western District of Texas issued similar orders granting stays in *Ancora Techs., Inc. v. Roku, Inc.*, No. 6:21-cv-00737 (W.D. Tex.), between Petitioner Roku and Patent Owner, *see* EX1026, and in *Ancora Techs., Inc. v. Nintendo Co., Ltd., et al.*, No. 6:21-cv-00738 (W.D. Tex.), between Nintendo and Patent Owner, *see* EX1027. Each of these orders require the parties to submit a joint status report within 10 days after issuance of Final Written Decisions in the Nintendo IPR (IPR2021-01338) and the above-captioned VIZIO/Roku IPR (IPR2021-01406), and to request a status conference with the courts within 30 days after issuance. EX1025-1027.

Petitioners additionally note the following dates for the relevant proceedings involving these parties:

- The Board granted institution of the Nintendo IPR on January 27, 2022;
- The deadline for Petitioners to file a motion to join the Nintendo IPR is February 28, 2022 (one month after the Nintendo institution decision); and
- The decision on institution in the instant proceeding is expected no later than March 17, 2022 (three months after filing of the POPR).

Respectfully submitted,

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/Jon E. Wright/

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Date: February 22, 2022

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CERTIFICATE OF SERVICE

The undersigned certifies that true and correct copies of the foregoing
PETITIONERS' UPDATED MANDATORY NOTICES and Exhibits
1025-1027 were served electronically via e-mail on February 22, 2022, in their
entireties on the following counsel of record for Patent Owner:

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