

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC. and VIZIO, INC.,
Petitioners,

v.

ANCORA TECHNOLOGIES, INC.,
Patent Owner.

Case IPR2021-01406
U.S. Patent No. 6,411,941 B1

**PATENT OWNER'S SUPPLEMENTAL MANDATORY NOTICES
UNDER 37 C.F.R. § 42.8**

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Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. § 42.8, the patent owner, Ancora Technologies Inc. (“Patent Owner”), hereby submits the following Supplemental Mandatory Notices pursuant to C.F.R. § 42.8(a)(2) for the above-captioned proceeding.

A. Real Party-In-Interest - 37 C.F.R. § 42.8(b)(1)

Patent Owner certifies that Ancora Technologies Inc. is the real party-in-interest in this proceeding.

B. Related Matters - 37 C.F.R. § 42.8(b)(2)

Patent Owner asserts that Petitioners infringe the ’941 Patent in *Ancora Technologies, Inc. v. VIZIO, Inc.*, No. 6:21-cv-00739 (W.D. Tex.); and *Ancora Technologies, Inc. v. Roku, Inc.*, No. 6:21-cv-00737 (W.D. Tex.).

Patent Owner has also asserted the ’941 Patent against other parties in the following currently-pending district-court lawsuits:

- *Ancora Technologies, Inc. v. Nintendo Co., Ltd.*, No. 6:21-cv-00738 (W.D. Tex.)
- *Ancora Technologies, Inc. v. Google, LLC*, No. 6:21-cv-00735 (W.D. Tex.);
- *Ancora Technologies, Inc. v. HTC America, Inc.*, No. 2:16-cv-01919 (W.D. Wash.).

Each of the above cases are stayed, pending the outcome of this IPR and the co-pending IPR2021-01406 and Reexamination No. 90/014,865.

Patent Owner has also asserted the '941 Patent against other parties in the following closed district-court lawsuits:

- *Ancora Techs., Inc. v. TCL Corp. et al.*, 2:20-CV-01252 (C.D. Cal.);
- *Ancora Techs., Inc. v. TCL Corp. et al.*, 4:19-CV-00624 (E.D. Tex.)
- *Ancora Techs., Inc. v. TCT Mobile (US) Inc. et al.*, 8:19-CV-02192 (C.D. Cal.)
- *Ancora Technologies, Inc. v. Sony Corp. et al.*, No. 1:19-cv-01703 (D. Del.);
- *Ancora Technologies, Inc. v. Lenovo Group Limited*, No. 1:19-cv-01712 (D. Del.);
- *Ancora Technologies, Inc. v. LG Electronics, Inc. et al.*, No. 1:20-cv-000384 (W.D. Tex.);
- *Ancora Technologies, Inc. v. Samsung Electronics Co., Ltd. et al.*, No. 6:19-cv-00385 (W.D. Tex.).
- *Ancora Techs., Inc. v. Apple, Inc.*, 4:15-CV-03659 (N.D. Cal.)
- *Ancora Techs., Inc. v. Apple, Inc.*, 4:11-CV-06357 (N.D. Cal.)
- *Ancora Techs., Inc. v. Apple, Inc.*, 2:10-CV-10045 (C.D. Cal.)
- *Ancora Techs., Inc. v. Toshiba Am. Information Sys. Inc.*, 2:09-CV-00270 (W.D. Wash.)
- *Ancora Techs., Inc. v. Toshiba Am. Information Sys. Inc. et al.*, 8:08-CV-00626 (C.D. Cal.)

Other PTAB proceedings involving U.S. Pat. No. 6,411,941 include the following:

Petitioner	Case Number	Status
Apple Inc.	CBM2016-00023	Institution Denied
HTC Corporation and HTC America, Inc.	CBM2017-00054	Institution Denied

Samsung Electronics Co., Ltd., and Samsung Electronics America, Inc.	IPR2020-01184	Institution Denied
TCT Mobile (US) Inc., Huizhou TCL Mobile Communication Co., Ltd., and Shenzhen TCL Creative Cloud Technology Co., Ltd.	IPR2020-01609	Terminated
HTC Corporation and HTC America, Inc.	IPR2021-00570	Institution Denied
LG Electronics, Inc. and LG Electronics U.S.A., Inc.	IPR2021-00581	Institution Denied
Samsung Electronics Co., Ltd., and Samsung Electronics America, Inc.	IPR2021-00583	Terminated
Sony Mobile Communications AB, Sony Mobile Communications, Inc., Sony Electronics Inc., and Sony Corporation	IPR2021-00663	Terminated
Roku, Inc. and Vizio, Inc.	IPR2021-01406	Final Written Decision on Appeal

Other USPTO reexamination proceedings involving the '941 patent include the following:

Requestor	Application Number	Status
Microsoft Corporation	90/010,560	Reexamination Certificate Issued

Requestor	Application Number	Status
Knobbe, Martens, Olson & Bear, LLP	90/014,865	Reexamination Ordered
Knobbe, Martens, Olson & Bear, LLP	90/020,138	Request Denied; Terminated

C. Lead and Back-Up Counsel - 37 C.F.R. § 42.8(b)(3)

The Patent Owner identifies the following lead and back-up counsel:

Lead Counsel	Back-up Counsel
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D. Service Information - 37 C.F.R. § 42.8(b)(4)

Please address all correspondence to the lead and backup counsel at the electronic mail addresses, postal addresses, telephone phone numbers, and facsimile numbers shown above in Section C. Patent Owner additionally consents to electronic service by electronic mail at ancora-ipr@fitcheven.com.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY LLP

Dated: April 5, 2023

By: /Nicholas T. Peters/
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CERTIFICATE OF SERVICE

The undersigned certifies in accordance with 37 C.F.R. § 42.6(e) that on April 5, 2023, a copy of the foregoing PATENT OWNER’S SUPPLEMENTAL MANDATORY NOTICES, was served via electronic mail on the attorneys listed below at PTAB@sternekessler.com and their respective electronic mail addresses:

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