

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

McNAMARA, BRIAN

Administrative Patent Judge, Department of Commerce

Report Year: 2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ McNAMARA, BRIAN [electronically signed on 06/23/2017 by McNAMARA, BRIAN in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dyer, Stephanie, Certifying Official [electronically signed on 08/17/2017 by Dyer, Stephanie in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 08/17/2017

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ISHARES COMMODITIES SLCT ETF [COMT] See Endnote (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
2	ISHARES EDGE MSCI MIN ETF - [EFAV] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
3	ISHARES GOLD ETF - [IAU] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
4	ISHARES IBOXX INVT GRADE (Bond ETF) [LQD] (WH-IRA)		\$1,001 - \$15,000	Dividends Interest	\$201 - \$1,000
5	ISHARES JP MORGAN USD MTS (Bond ETF) [EMB] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
6	ISHARES TIPS BOND ETF [TIP] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
7	ISHARES 20 PLS YEAR (Treas. Bond ETF) [TLT] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
8	ISHARES 7-10 YEAR TRSURY (Bond ETF) [IEF] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
9	PIMCO ENHANCED SHORT (Matursou ETFIV) [MINT] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
10	SPDR BARCLAYS SHORT TERM (Corp ETF) [SCPB] (WH-IRA)		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	SPDR S&P 500 ETF IV [SPY] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
12	VANGUARD DIVIDEND (Appreciation ETF) [VIG] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
13	VANGUARD GLBLA EX US (Real Estate ETF IV) [VNQI] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
14	VANGUARD INTERMEDIATE (Term COR ETF) [VCIT] (WH-IRA)		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
15	VANGUARD REIT ETF [VNQ] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
16	VANGUARD TOTAL BOND (Market ETF) [BND] (WH-IRA)		\$15,001 - \$50,000		None (or less than \$201)
17	VANGUARD TOTAL STOCK (Market ETF) [VTI] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
18	SCHWB MONEY MARKET FUND [SWMXX] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
19	SCHWAB BANK [Cash] (IRA-2)		\$100,001 - \$250,000		None (or less than \$201)
20	AMERICAN INT'L GROUP [AIG] (IRA-2)		None (or less than \$1,001)		None (or less than \$201)
21	BAXTER INT'L [BAX] (IRA-2)		\$1,001 - \$15,000		None (or less than \$201)
22	CISCO SYSTEMS INC. [CSCO] (IRA-2)		\$1,001 - \$15,000		None (or less than \$201)
23	CITIGROUP INC [C] (IRA-2)	See Endnote	None (or less than \$1,001)		None (or less than \$201)
24	Edwards Lifesciences Corp [EW] (IRA-2)		\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25	Emerson Electric Co [EMR] (IRA-2)		\$1,001 - \$15,000		None (or less than \$201)
26	Exxon Mobil Corp [XOM] (IRA-2)		\$1,001 - \$15,000		None (or less than \$201)
27	General Electric Co [GE] (IRA-2)		\$1,001 - \$15,000		None (or less than \$201)
28	Halliburton Co. Hldg [HAL] (IRA-2)		\$1,001 - \$15,000		None (or less than \$201)
29	Kraft Heinz Co. [KHC]		\$1,001 - \$15,000		None (or less than \$201)
30	McDonalds Corp [MCD] (IRA-2)		\$1,001 - \$15,000		None (or less than \$201)
31	Microsoft Corp [MSFT] (IRA-2)		\$1,001 - \$15,000		None (or less than \$201)
32	Mondelez Intl (Class A) [MDLZ] (IRA-2)		\$1,001 - \$15,000		None (or less than \$201)
33	Motorola Solutions [MSI] (IRA-2)	See Endnote	None (or less than \$1,001)		None (or less than \$201)
34	Pfizer Inc [PFE] (IRA-2)	See Endnote	None (or less than \$1,001)		None (or less than \$201)
35	Shire plc - American Depositary Shares, each representing three Ordinary Shares [F] (IRA-2)	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
36	INVESCO AMER FRANCHISE - CL A [VAFAX] (IRA-2)		\$1,001 - \$15,000		None (or less than \$201)
37	MORGAN STANLEY GROWTH (PORT FD CL A0 [MSEGX] (IRA-2)		\$15,001 - \$50,000		None (or less than \$201)
38	Fidelity Contrafund (401k)		\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39	VANGUARD INST. INDEX I (401k)		\$100,001 - \$250,000		None (or less than \$201)
40	Vanguard Inflation Protected Secs I (401k)		\$50,001 - \$100,000		None (or less than \$201)
41	Federated Treasury Oblig Instl. (401k)		\$100,001 - \$250,000		None (or less than \$201)
42	Foley & Lardner RPC Fund (Defined Contribution)	See Endnote	\$500,001 - \$1,000,000		None (or less than \$201)
43	Foley & Lardner Cash Balance Plan	See Endnote	\$50,001 - \$100,000		None (or less than \$201)
44	ISHARES CURRENCY HEDGED ETF [HEWG](WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
45	ISHARES IBOXX HIGH YIELD (ETF)[HYG](WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
46	PIMCO 5 YEAR HIGH YLD (ETF)[HYS](WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
47	POWERSHARES QQQ TRUST (ETF) [QQQ](WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
48	VANGUARD FTSE DEVELOPED (ETF)[VEA](WH-IRA)		\$1,000,001 - \$5,000,000		None (or less than \$201)
49	VANGUARD FTSE EMERGING (ETF)[VWO](WH-IRA)		\$5,000,001 - \$25,000,000		None (or less than \$201)
50	CHARLES SCHWAB US REIT ETF[SCHH](IP-IRA)		\$100,001 - \$250,000		None (or less than \$201)
51	ISHARES HIGH YIELD ETF [SHYG](IP-IRA)		\$1,001 - \$15,000		None (or less than \$201)
52	SCHWAB EMERGING MARKETS ETF [SCHE] (IP-IRA)		\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
53	SCHWAB FUNDA EMG MKTS [FNDE](IP-IRA)		\$1,001 - \$15,000		None (or less than \$201)
54	SCHWAB FUNDAMENTAL INL ETF [FNDF] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
55	SCHWAB FUNDAMENTAL INTL ETF [FNDC] (WH-IRA)		\$1,001 - \$15,000		None (or less than \$201)
56	SCHWAB FUNDAMENTAL US ETF [FNDX] (IP-IRA)		\$1,001 - \$15,000		None (or less than \$201)
57	SCHWAB FUNDAMENTAL US ETF [FNDA] (IP-IRA)		\$1,001 - \$15,000		None (or less than \$201)
58	SCHWAB INTERMEDIATE TERM ETF [SCHR] (IP-IRA)		\$500,001 - \$1,000,000		None (or less than \$201)
59	SCHWAB INTERNATIONAL ETF [SCHF] (IP-IRA)		\$1,001 - \$15,000		None (or less than \$201)
60	SCHWAB SMALL ETF [SCHC] (IP-IRA)		\$1,001 - \$15,000		None (or less than \$201)
61	SCHWAB LARGE CAP ETF [SCHX] (IP-IRA)		\$1,001 - \$15,000		None (or less than \$201)
62	SCHWAB SMALL CAP ETF [SCHA] (IP-IRA)		\$1,001 - \$15,000		None (or less than \$201)
63	SCHWAB US TIPS ETF [SCHP] (IP-IRA)		\$50,001 - \$100,000		None (or less than \$201)
64	SPDR BLMBRG BRCLY [ITR] (IP-IRA)		\$1,001 - \$15,000		None (or less than \$201)
65	VANECK VECTORS JP (ETF)[EMLC] (IP-IRA)		\$1,001 - \$15,000		None (or less than \$201)
66	VANGUARD MORTGAGE BACKED (ETF)[VMBS](IP-IRA)		\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	FOLEY & LARDNER LLP	MILWAUKEE, Wisconsin	Continued participation in earnings of firm as retired partner, amount varies each year, est 2015 \$60,000	2/2012

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	LVIP Dimensionl US Core Equity 1 (403b)	See Endnote	\$100,001 - \$250,000		None (or less than \$201)
2	LVIP Delaware Social Awareness (403b)		\$50,001 - \$100,000		None (or less than \$201)
3	LVIP Delaware Special Oppostunites (403b)		\$1,000,001 - \$5,000,000		None (or less than \$201)
4	LVIP Mondrian Intl Value (403b)		\$50,001 - \$100,000		None (or less than \$201)
5	PVT Money Market Fund (403b-2)		\$15,001 - \$50,000		None (or less than \$201)
6	PVT Growth & Income Fund (403b-2)		\$100,001 - \$250,000		None (or less than \$201)
7	PVT Voyager Fund (403b-2)		\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Valic Fixed Income (IRA)		\$15,001 - \$50,000		None (or less than \$201)
9	Wells Fargo Bank (Cash IRA)		\$1,001 - \$15,000		None (or less than \$201)
10	MD State Retirement	See Endnote		Pension Payments	\$45,000
11	Anne Arundel County Schools (Salary)		None (or less than \$1,001)		\$15,001 - \$50,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Aptartment, Washington DC		\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
2	M&T Bank Checking		\$15,001 - \$50,000		None (or less than \$201)
3	M&T Bank Savings		\$1,001 - \$15,000		None (or less than \$201)
4	M&T Bank eMoney Market		\$15,001 - \$50,000		None (or less than \$201)
5	M&T Bank Market Advantage		\$1,001 - \$15,000		None (or less than \$201)
6	SunTrust - Cash Savings		\$1,001 - \$15,000		None (or less than \$201)
7	Arundel Federal Cash Savings		\$15,001 - \$50,000		None (or less than \$201)
8	BB&T Cash Savings		\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	PNC Bank Cash Savings		\$100,001 - \$250,000		None (or less than \$201)
10	Severn Savings Cash Savings		\$100,001 - \$250,000		None (or less than \$201)
11	Comcast Corporation - Class A Common Stock		\$1,001 - \$15,000		None (or less than \$201)
12	Frontier Communications Corp	See Endnote	None (or less than \$1,001)		None (or less than \$201)
13	NCR common stock	See Endnote	None (or less than \$1,001)		None (or less than \$201)
14	Prudential Common Stock		\$1,001 - \$15,000		None (or less than \$201)
15	Verizon Communications Inc		\$1,001 - \$15,000		None (or less than \$201)
16	Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares		\$1,001 - \$15,000		None (or less than \$201)
17	Prudential Life Insr. Co. of America; Life Insurance Policy (Cash)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	SPDR BARCLAY'S SHORT TERM (Corp ETF)[SCPB](WH-IRA)	Sale	11/16/16	\$1,001 - \$15,000
2	VANGUARD GLBLA EX US (Real Estate ETF IV)[VNQI](WH-IRA)	Sale	11/16/16	\$1,001 - \$15,000
3	VANGUARD REIT ETF [VNQ] (WH-IRA)	Sale	11/16/16	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
4	ISHARES CURRENCY HEDGED ETF [HEWG] (WH-IRA)	Purchase	4/5/17	\$1,001 - \$15,000
5	ISHARES IBOXX HIGH YIELD (ETF) [HYG] (WH-IRA)	Purchase	9/12/16	\$1,001 - \$15,000
6	PIMCO 5 YEAR HIGH YLD (ETF) [HYS] (WH-IRA)	Purchase	11/16/16	\$1,001 - \$15,000
7	POWERSHARES QQQ TRUST (ETF) [QQQ] (WH-IRA)	Purchase	11/16/16	\$1,001 - \$15,000
8	VANGUARD FTSE DEVELOPED (ETF) [VEA] (WH-IRA)	Purchase	3/8/17	\$1,001 - \$15,000
9	VANGUARD FTSE EMERGING (ETF) [VWO] (WH-IRA)	Purchase	3/8/17	\$1,001 - \$15,000
10	CHARLES SCHWAB US REIT ETF [SCHH] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
11	ISHARES HIGH YIELD ETF [SHYG] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
12	SCHWAB EMERGING MARKETS ETF [SCHE] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
13	SCHWAB FUNDA EMG MKTS ETF [FNDE] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
14	SCHWAB FUNDAMENTAL INL ETF [FNDF] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
15	SCHWAB FUNDAMENTAL INTL ETF [FNDC](IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
16	SCHWAB FUNDAMENTAL US ETF [FNDX] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
17	SCHWAB FUNDAMENTAL US ETF [FNDA] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
18	SCHWAB INTERMEDIATE TERM ETF [SCHR] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
19	SCHWAB INTERNATIONAL ETF [SCHF] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
20	SCHWAB INTERNATIONAL SMALL ETF [SCHC] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
21	SCHWAB US LARGE CAP ETF [SCHX] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
22	SCHWAB US SMALL CAP ETF [SCHA] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
23	SCHWAB US TIPS ETF [SCHP] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
24	SPDR BLMBRG BRCLY [ITR] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
25	VANECK VECTORS J P (ETF)[EMLC] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
26	VANGUARD GLOBAL EX US (ETF) [VNQI] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
27	VANGUARD MORTGAGE BACKED (ETF) [VMBS] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
28	VANGUARD TOTAL (ETF) [BNDX] (IP-IRA)	Purchase	12/29/16	\$1,001 - \$15,000
29	PVT VOYAGER FUND (403b-2)	Sale	11/21/16	\$50,001 - \$100,000
30	PVT GROWTH OPPORTUN (403b-2)	Purchase	11/21/16	\$50,001 - \$100,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Cardinal Financial	Mortgage (investment/rental property)	\$250,001 - \$500,000	2016	3.75	30 years

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
2	Fannie Mae (Suntrust Mortgage)	Mortgage on Personal Residence	\$250,001 - \$500,000	2015	3.75	30

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1	WH = Windhaven
2.	23	Not previously reported (and not required in this report) - under the asset value limit
2.	33	Not previously reported (and not required for this report) - under asset value limit
2.	34	Not previously reported (and not required for this report) - under the asset value limit
2.	35	Baxter Merger

PART	#	ENDNOTE
2.	42	Underlying Assets: Vanguard Inflation Protected Securities (2%) Baird Aggregate Bond Fund (22%) Pimco Foreign Bond Fund (2%) Wells Fargo Advantage Int'l Fund (2%) Pimco High Yield Fund Instl (4%) Pimco Emerging Local Bund Fund (2%) Vanguard Institutional Index Fund (7%) Vanguard Value Indexz Fund (6.5%) Vanguard Growth Index Fund (6.5%) Dreyfus The Boston Co. Small Cap Fund (3.5%) Franklin Small Cap Growth (5.5%) Dodge & Cox International Stock (5.5%) Artisan Funds Institutional (5.5%) DFA International Small Cap Value (2.5%) Allianz International Small Mid Growth (2.5%) Aberdeen Emerging Markets Fund (10%) Nuveen Real Estate Securities (4%) Oppenheimer Steel Path MLP Select 40 (6%) Pimco Real Return Strategy (3%)
2.	43	Foley & Lardner Cash Balance Plan Underlying Assets (Info not available in past years) Vanguard Inflation Protected Sec (4%) Baird Aggregate Fund (40%) PIMCO Foreign Bond (3.75%) Wells Fargo Adv. Int'l Bond (3.75%) PIMCO High Yield Instl (8%) PMCO Emerging Local Bond Fund Instl (3%) Vanguard Value Index (3.5%) Vanguard 500 Index (4%) Vanguard Growth Index (3.5%) Dreyfus/The Boston Co. Small Cap Value (2%) Alger Small Growth (0%) Franklin Small Cap Growth (2%) Dodge & Cox Intl (2.25%) Artisan International (2.25%) DFA Intl Small Cap (2%) Allianz RCM International Sm Cap I (5%) Aberdeen Emerging Markets Instl. Fd. Instl (5%) PIMCO Commodity Real Ret Strat Insti (2%) Nuveen Real Estate (3%) Oppenheimer Steel Path MLP Select 40 (4%)
5.	1	Previously known as LVIP Delaware Growth (name change)

PART	#	ENDNOTE
5.	10	Value Not readily ascertainable
6.	12	Inherited - Not previously reported (and not required for this report) - under asset value limit
6.	13	Inherited - Not previously reported (and not required for this report) - under asset value limit

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
