

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC.,
Petitioner,

v.

UNIVERSAL ELECTRONICS INC.,
Patent Owner.

Case IPR2022-01289
Patent 9,847,083

**MANDATORY NOTICES OF THE PATENT OWNER
PURSUANT TO 37 C.F.R. § 42.8(a)(2)**

Mail Stop “PATENT BOARD”
Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

I. REAL PARTY IN INTEREST

Patent Owner identifies Universal Electronics, Inc. as the real party in interest.

II. RELATED MATTERS

- A. *Universal Electronics, Inc. v. Roku, Inc.*, 8:18-cv-01580-JVS-ADS (C.D. Cal. 2018) (pending);
- B. *Universal Electronics, Inc. v. Roku, Inc.*, 8:20-cv-00701-JVS-ADS (C.D. Cal. 2020) (pending);
- C. *Universal Electronics, Inc. v. TCL Electronics Holdings LTD., et al.*, 8:20-cv-00704-JVS-ADS (C.D. Cal. 2020) (pending);
- D. *Universal Electronics, Inc. v. Hisense Co., LTD., et. al.*, 8:20-cv-00696-JVS-ADS (C.D. Cal. 2020) (pending);
- E. *Universal Electronics Inc. v. Funai Electric Company, LTD., et al.*, 8:20-cv-00700-JVS-ADS (C.D. Cal. 2020) (pending);
- F. *Certain Electronic Devices, Including Streaming Players, Televisions, Set Top Boxes, Remote Controllers, and Components Thereof*, Inv. No. 337-TA-1200 (U.S.I.T.C. 2020);
- G. *Roku, Inc. v. Universal Electronics, Inc.*, 8:21-cv-00658-JVS-ADS (C.D. Cal. 2021) (pending);
- H. *Certain Electronics, Including Televisions, Remote Controls, and Components Thereof*, Inv. No. 337-TA-1263 (U.S.I.T.C. 2021);
- I. U.S. Patent No. 10,325,486, IPR2021-00455 (petition filed on February 11, 2021, instituted August 17, 2021);
- J. U.S. Patent No. 7,969,514, IPR2021-00261 (petition filed on December 14, 2020, institution July 9, 2021; final written decision on July 22, 2022);

- K. U.S. Patent No. 9,641,785, IPR2021-00758 (petition filed on April 14, 2021, institution September 27, 2021);
- L. U.S. Patent No. 7,969,514, IPR2021-00262 (petition filed on December 14, 2020, institution denied);
- M. U.S. Patent No. 10,600,317, IPR2021-00263 (petition filed on December 14, 2020, institution denied);
- N. U.S. Patent No. 10,600,317, IPR2021-00264 (petition filed on December 14, 2020, institution denied);
- O. U.S. Patent No. 10,593,196, IPR2021-00299 (petition filed on December 14, 2020, institution denied);
- P. U.S. Patent No. 9,716,853, IPR2020-00952 (petition filed on May 18, 2020, institution denied);
- Q. U.S. Patent No. 9,911,325, IPR2020-00951 (petition filed on May 18, 2020, institution denied);
- R. U.S. Patent No. 7,589,642, IPR2020-01012 (petition filed on May 29, 2020, institution denied);
- S. U.S. Patent No. 8,015,446, IPR2019-01595 (petition filed on September 12, 2019, institution denied);
- T. U.S. Patent No. 7,895,532, IPR2019-01608 (petition filed on September 13, 2019, institution denied);
- U. U.S. Patent No. 7,782,309, IPR2019-01619 (petition filed on September 18, 2019, institution denied);
- V. U.S. Patent No. 9,911,325, IPR2019-01614 (petition filed on September 18, 2019, instituted on April 16, 2020, final written decision on April 13, 2021);

W. U.S. Patent No. 8,004,389, IPR2019-01613 (petition filed on September 18, 2019, instituted on April 1, 2020, final written decision on March 29, 2021);

X. U.S. Patent No. 7,821,504, IPR2019-01621 (petition filed on September 18, 2019, instituted on May 14, 2020, final written decision on May 12, 2021);

Y. U.S. Patent No. 7,821,505, IPR2019-01620 (petition filed on September 18, 2019, instituted on May 14, 2020, final written decision on May 12, 2021);

Z. U.S. Patent No. 7,589,642, IPR2019-01612 (petition filed on September 18, 2019, instituted on April 1, 2020, final written decision on March 29, 2021);

AA. U.S. Patent No. 9,716,853, IPR2019-01615 (petition filed on September 18, 2019, instituted on April 17, 2020, final written decision on April 13, 2021);

BB. U.S. Patent No. 8,378,875, IPR2022-00818 (petition filed on May 4, 2022);

CC. U.S. Patent No. 10,930,276, IPR2022-00943 (petition filed on April 29, 2022);

DD. The following applications and patents claim, or may claim, the benefit of the priority of the '083 patent: PCT/US12/61475 filed on 10-23-2012 which is Published; 15/785,803 filed on 10-17-2017 which is Patented as US 11,264,018; 17/574,749 filed on 01-13-2022 which is Pending.

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IV. SERVICE INFORMATION

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Certificate of Service

Pursuant to 37 C.F.R. §§ 42.8 and 42.6(e), the undersigned hereby certifies that the foregoing **Patent Owner's Mandatory Notices** was served electronically via e-mail to scott.mckeown@ropesgray.com, matthew.rizzolo@ropesgray.com, brendan.mclaughlin@ropesgray.com, and nicholas.bortz@ropesgray.com.

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