UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

BOX, INC., and DROPBOX, INC.,

Petitioner

v.

TOPIA TECHNOLOGY, INC.,

Patent Owner

Case IPR2023-00430
Patent 11,003,622

PATENT OWNER'S MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(2)

Patent Owner Topia Technology, Inc. ("Topia") provides the following updated mandatory notices in accordance with 37 C.F.R. § 42.8(a)(2).

I. Real Parties-In-Interest

The real party-in-interest is Topia Technology, Inc.

II. Related Matters

U.S. Patent No. 11,003,622, and the related patents identified below, are currently being asserted in the following cases:

- *Topia Technology, Inc. v. Box, Inc., SailPoint Technologies Holdings, Inc., and Vistra*, Inc., 6:20-cv-01175-ADA (filed December 29, 2021);
- *Topia Technology, Inc. v. Dropbox, Inc., SailPoint Technologies Holdings, Inc., and Clear Channel Outdoor Holdings, Inc*., 6:21-cv-001373-ADA (filed December 29, 2021);
- *Topia Technology, Inc. v. Egnyte, Inc.*, C.A. No. 21-1821-CJB (filed December 27, 2021);
- *Topia Technology, Inc. v. Box, Inc.,* 3:32-cv-00063LB (filed January 5, 2023); and
- *Topia Technology, Inc. v. Dropbox, Inc.* 3:23-cv-00062AGT (filed January 5, 2023).

The following is a list of the related patents:

- U.S. Patent 10,067,942 (resulting from U.S. Application No. 14/860,289, filed September 21, 2015, and claiming priority from U.S. Application No. 12/267,852, which resulted in U.S. Patent No. 9,143,561);
- U.S. Patent 10,289,607 (resulting from U.S. Application No. 16/017,348, filed June 25,2018, and claiming priority from U.S. Application No. 14/860,289, which resulted in U.S. Patent No. 10,067,942);
- U.S. Patent No. 10,642,787 (resulting from U.S. Application No. 16/750,399, filed January 23, 2020, and claiming priority from U.S. Application No. 16/361,641, which resulted in U.S. Patent No. 11,003,622);
- U.S. Patent No. 10,754,823 (resulting from U.S. Application No. 16/750,435, filed January 23, 2020, and claiming priority from U.S. Application No. 16/361,641, which resulted in U.S. Patent No. 11,003,622); and
- U.S. Patent No. No. 9,143,561 (resulting from U.S. Application No. 12/267,852, filed November 10, 2008, and claiming priority from U.S. Provisional Application No. 60/986,896.

The following is a list of the related *Inter Partes* Review proceedings which involve the related patents:

- Case IPR2022-00782, *Inter Partes Review* of U.S. Patent 10,067,942 (Petition filed April 15, 2022) (Instituted on November 17, 2022);
- Case IPR2023-00427, *Inter Partes Review* of U.S. Patent 9,143,561 (Petition filed January 4, 2023);
- Case IPR2023-00429, *Inter Partes Review* of U.S. Patent 10,289,607 (Petition filed January 5, 2023);
- Case IPR2023-00431, *Inter Partes Review* of U.S. Patent 10,642,787 (Petition filed January 4, 2023);
- Case IPR2023-00432, *Inter Partes Review* of U.S. Patent 10,754,823 (Petition filed January 4, 2023); and
- Case IPR2023-00433, *Inter Partes Review* of U.S. Patent 10,067,942 (Petition filed January 4, 2023).

Additionally currently pending U.S. Patent Application Nos. 17/306,548 (filed May 3, 2021, and claiming priority from U.S. Application No. 14/860,289, which resulted in U.S. Patent No. 10,067,942); 17/940,730 (filed September 8, 2022 and claiming priority from U.S. Patent Application No. 17/306,548, filed

May 3, 2021, which is a continuation of 16/361,641 filed March 22, 2019, now issued as U.S. Patent No. 11,003,622); and

17/941,518 (filed September 9, 2022, and claiming priority from U.S. Patent Application No. 17/306,548, filed May 3, 2021, which is a continuation of 16/361,641 filed March 22, 2019, now issued as U.S. Patent No. 11,003,622) are related applications.

III. Lead and Back-Up Counsel

Lead and Back Up Counsel for Patent Owner are as follows:

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IV. Service Information

Please address all correspondence to the lead and backup counsel as provided above. Patent Owner consents to electric service via email to topia@sughrue.com.

Respectfully submitted,

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CUSTOMER NUMBER

Date: January 25, 2023

CERTIFICATE OF SERVICE

The undersigned certifies that a copy of the attached Patent Owner's Mandatory Notices is being served by electronic mail on the following counsel of record:

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