US 20160046308A1

(19) **United States**

(12) **Patent Application Publication**

Chung et al.

(10) **Pub. No.: US 2016/0046308 A1**

(43) **Pub. Date: Feb. 18, 2016**

(54) **POSITIVE TRAIN CONTROL SYSTEM AND APPARATUS THEREFOR**

(71) Applicant: **Panasec Corporation**, Princeton Junction, NJ (US)

(72) Inventors: **Kevin Kwong-Tai Chung**, Princeton, NJ (US); **Albert Han-Ping Chung**, New York, NY (US); **Yulin Huang**, East Windsor, NJ (US); **Dexi Zhu**, New York, NY (US)

(21) Appl. No.: **14/817,836**

(22) Filed: **Aug. 4, 2015**

Related U.S. Application Data

(60) Provisional application No. 61/999,742, filed on Aug. 5, 2014, provisional application No. 62/070,141, filed on Aug. 15, 2014, provisional application No. 62/071,297, filed on Sep. 19, 2014.

Publication Classification

(51) **Int. Cl.**

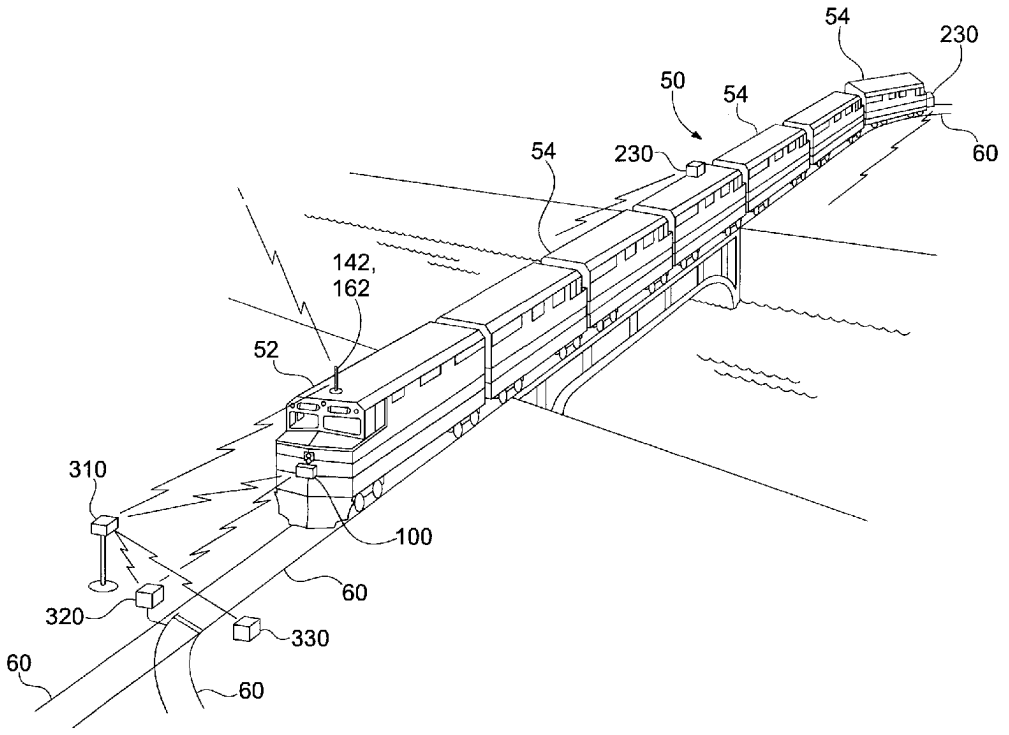
B61L 27/04	(2006.01)
B61L 23/04	(2006.01)
B61L 23/14	(2006.01)
B61L 25/02	(2006.01)

(52) **U.S. Cl.**

CPC ***B61L 27/04*** (2013.01); ***B61L 25/025*** (2013.01); ***B61L 25/021*** (2013.01); ***B61L 23/041*** (2013.01); ***B61L 23/14*** (2013.01)

(57) **ABSTRACT**

A positive train control may comprise a plurality of different sensors coupled to a processor that determines whether there is an object on a track way and/or an anomaly of a track way, and if there is, provides an alert and/or a train control action. The plural sensors may include a visual imager, an infrared imager, a radar, a doppler radar, a laser sensor, a laser ranging device, an acoustic sensor, and/or an acoustic ranging device. Data from the plural sensors is geo-tagged and time tagged. Some embodiments of the train control employ track monitors, switch monitors and/or wayside monitors, and some employ locating devices such as GPS and inertial devices.



Siemens Exhibit 1010
Siemens et al. v. Metrom Rail

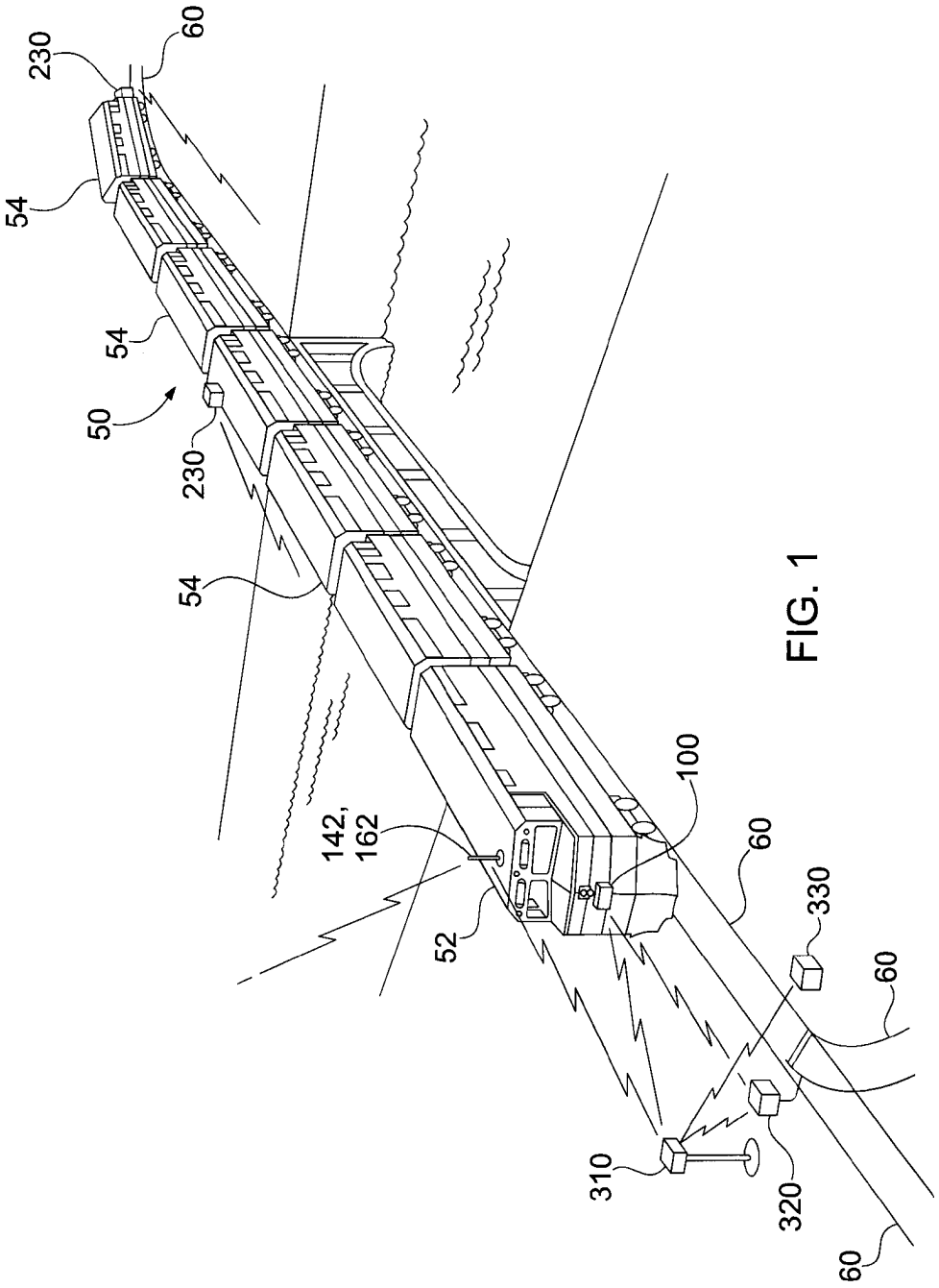


FIG. 1

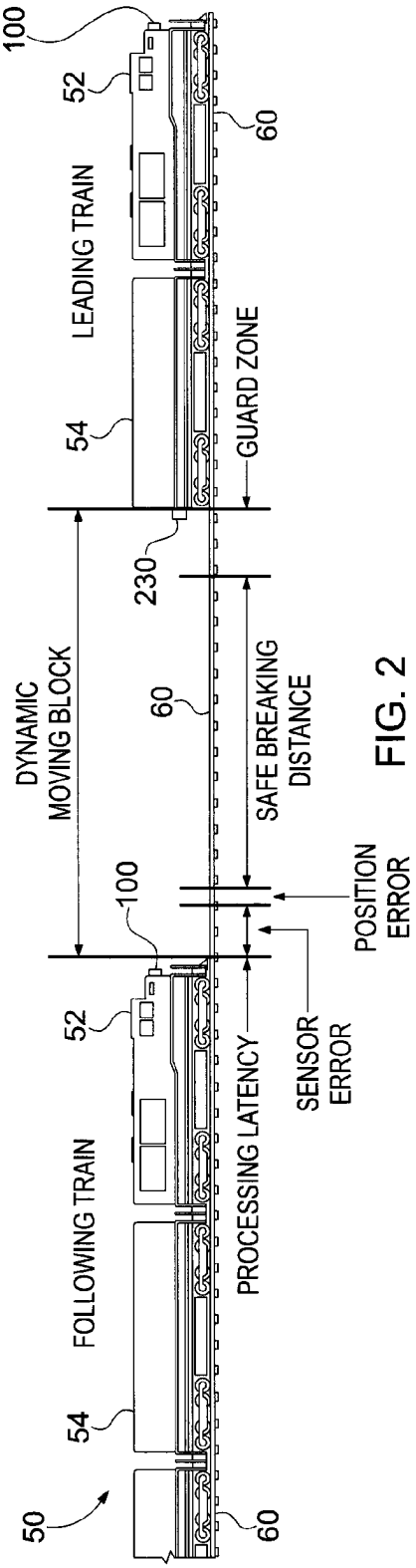

100
52
60
LEADING TRAIN
54
GUARD ZONE
230
DYNAMIC
MOVING BLOCK
60
SAFE BREAKING
DISTANCE
100
POSITION
ERROR
52
FOLLOWING TRAIN
PROCESSING LATENCY
SENSOR
ERROR
54
50
60

FIG. 2

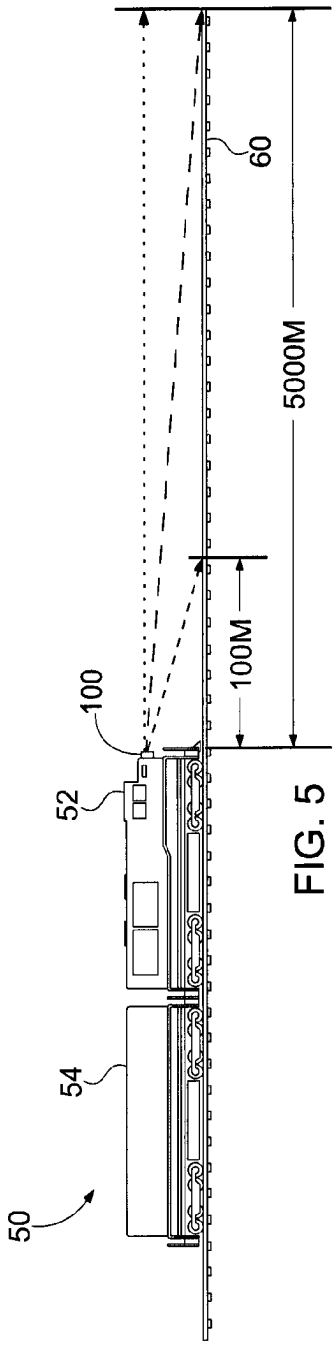

60
5000M
100M
100
52
54
50

FIG. 5

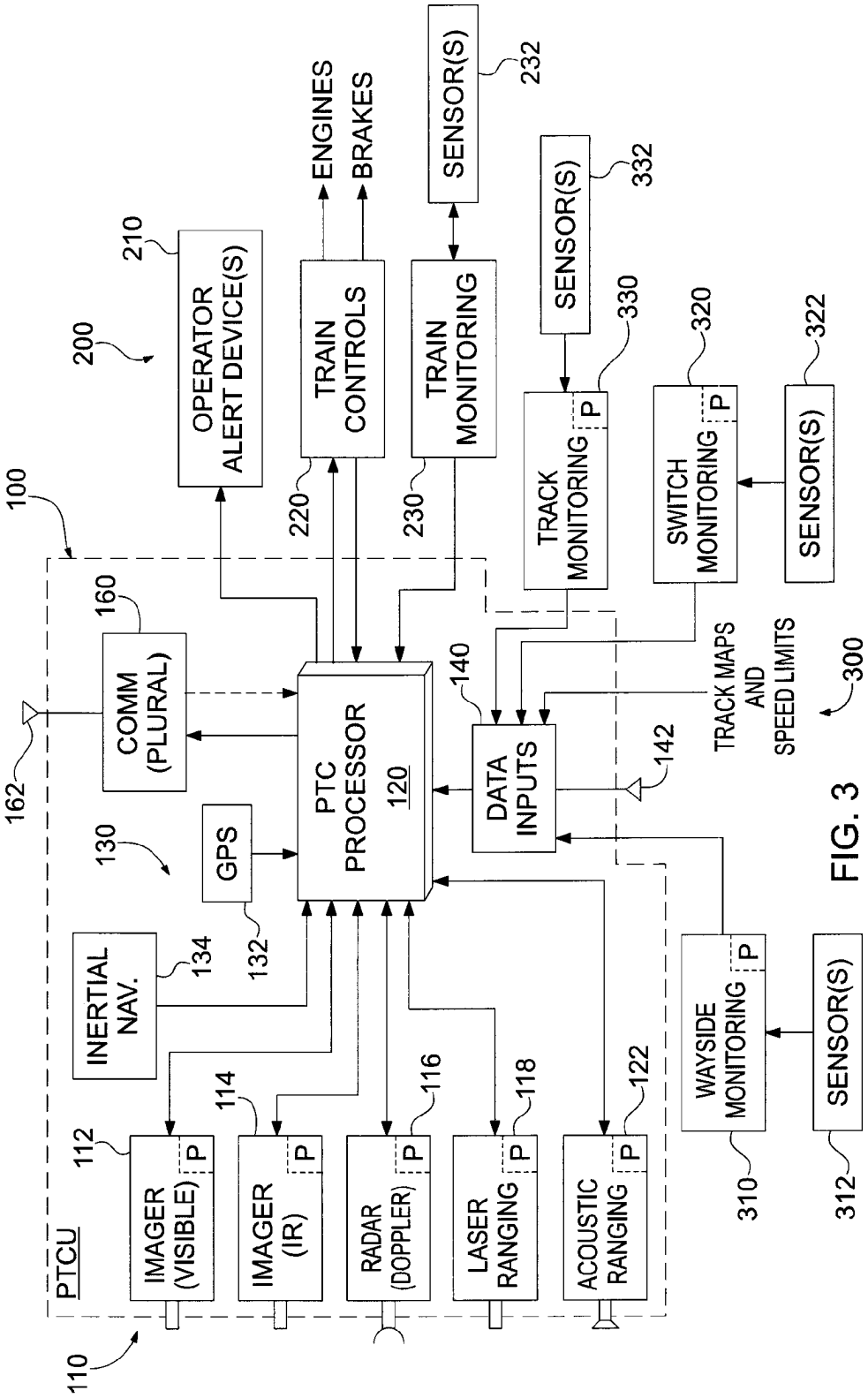

100
200
210
OPERATOR ALERT DEVICE(S)
ENGINES
BRAKES
TRAIN CONTROLS
220
TRAIN MONITORING
230
SENSOR(S)
232
SENSOR(S)
332
TRACK MONITORING
330
SWITCH MONITORING
320
SENSOR(S)
322
160
COMM (PLURAL)
162
PTC PROCESSOR 120
140
DATA INPUTS
142
TRACK MAPS AND SPEED LIMITS
300
130
GPS
132
INERTIAL NAV.
134
PTCU
110
112
IMAGER (VISIBLE)
114
IMAGER (IR)
116
RADAR (DOPPLER)
118
LASER RANGING
122
ACOUSTIC RANGING
WAYSIDE MONITORING
310
SENSOR(S)
312
P

FIG. 3

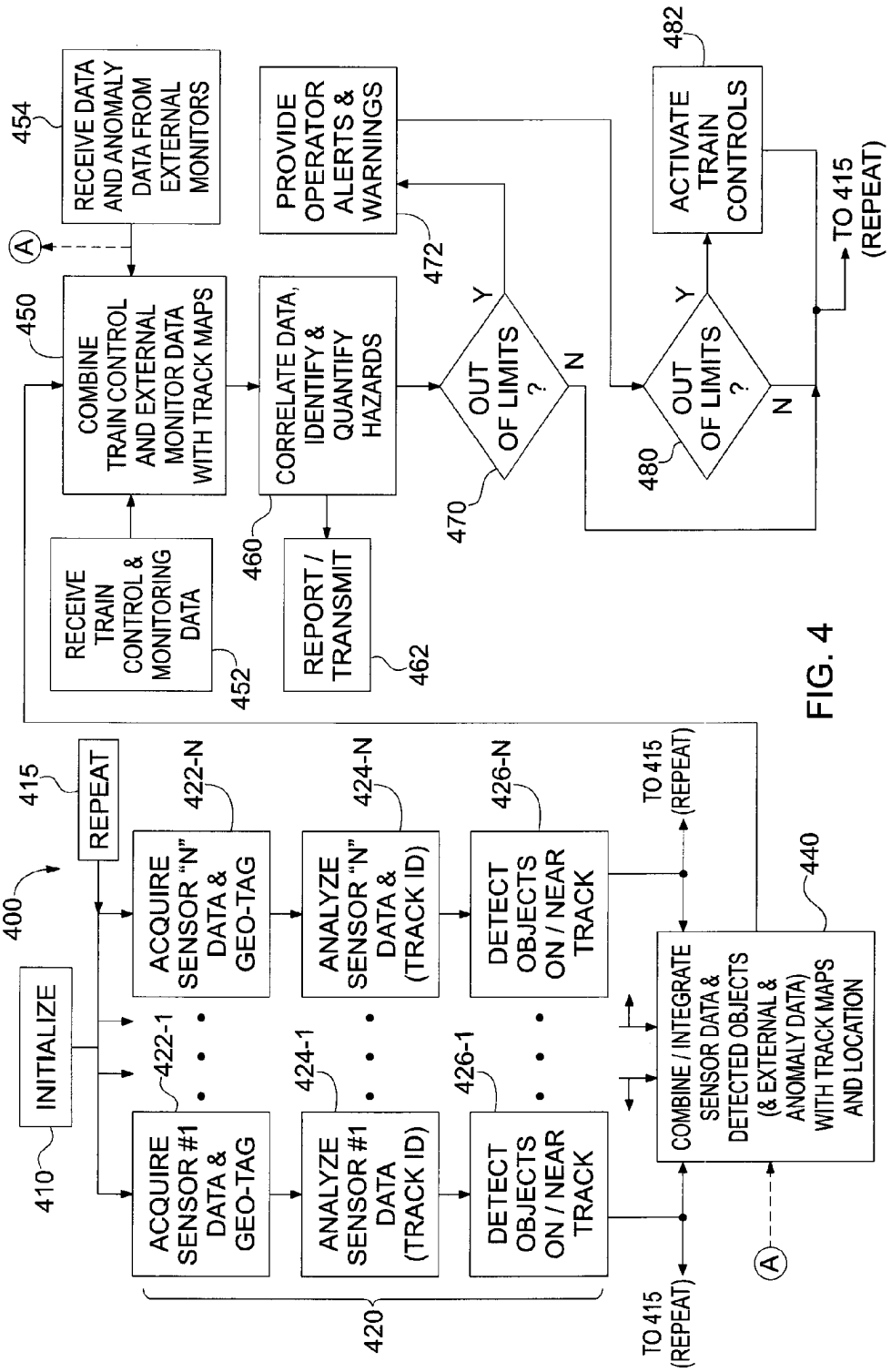

INITIALIZE
410
400
REPEAT
415
ACQUIRE SENSOR #1 DATA & GEO-TAG
422-1
ANALYZE SENSOR #1 DATA (TRACK ID)
424-1
DETECT OBJECTS ON / NEAR TRACK
426-1
ACQUIRE SENSOR "N" DATA & GEO-TAG
422-N
ANALYZE SENSOR "N" DATA & (TRACK ID)
424-N
DETECT OBJECTS ON / NEAR TRACK
426-N
420
TO 415 (REPEAT)
TO 415 (REPEAT)
COMBINE / INTEGRATE SENSOR DATA & DETECTED OBJECTS (& EXTERNAL & ANOMALY DATA) WITH TRACK MAPS AND LOCATION
440
A
RECEIVE DATA AND ANOMALY DATA FROM EXTERNAL MONITORS
454
A
COMBINE TRAIN CONTROL AND EXTERNAL MONITOR DATA WITH TRACK MAPS
450
RECEIVE TRAIN CONTROL & MONITORING DATA
452
CORRELATE DATA, IDENTIFY & QUANTIFY HAZARDS
460
REPORT / TRANSMIT
462
OUT OF LIMITS ?
470
Y
N
PROVIDE OPERATOR ALERTS & WARNINGS
472
OUT OF LIMITS ?
480
Y
N
ACTIVATE TRAIN CONTROLS
482
TO 415 (REPEAT)

FIG. 4

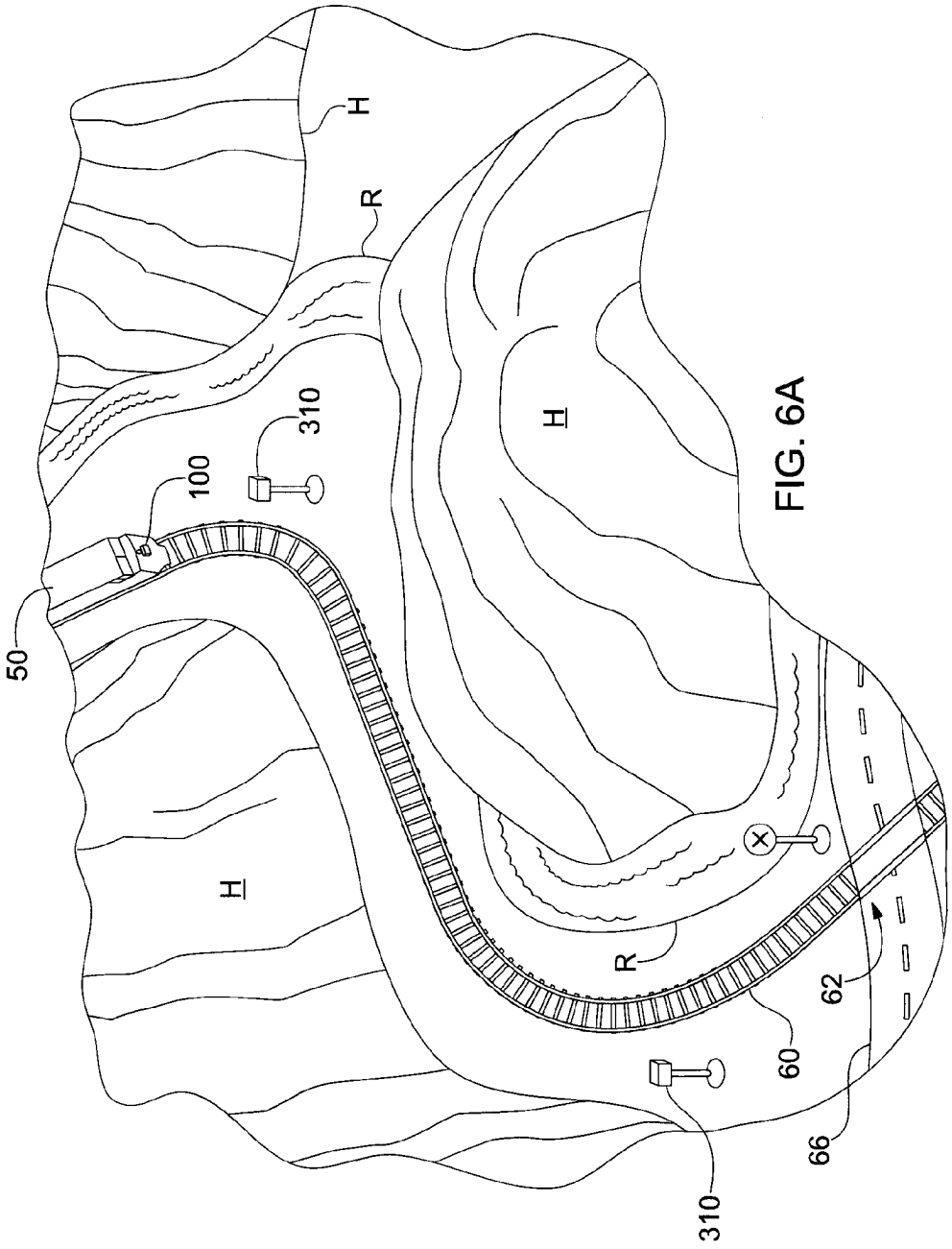


FIG. 6A

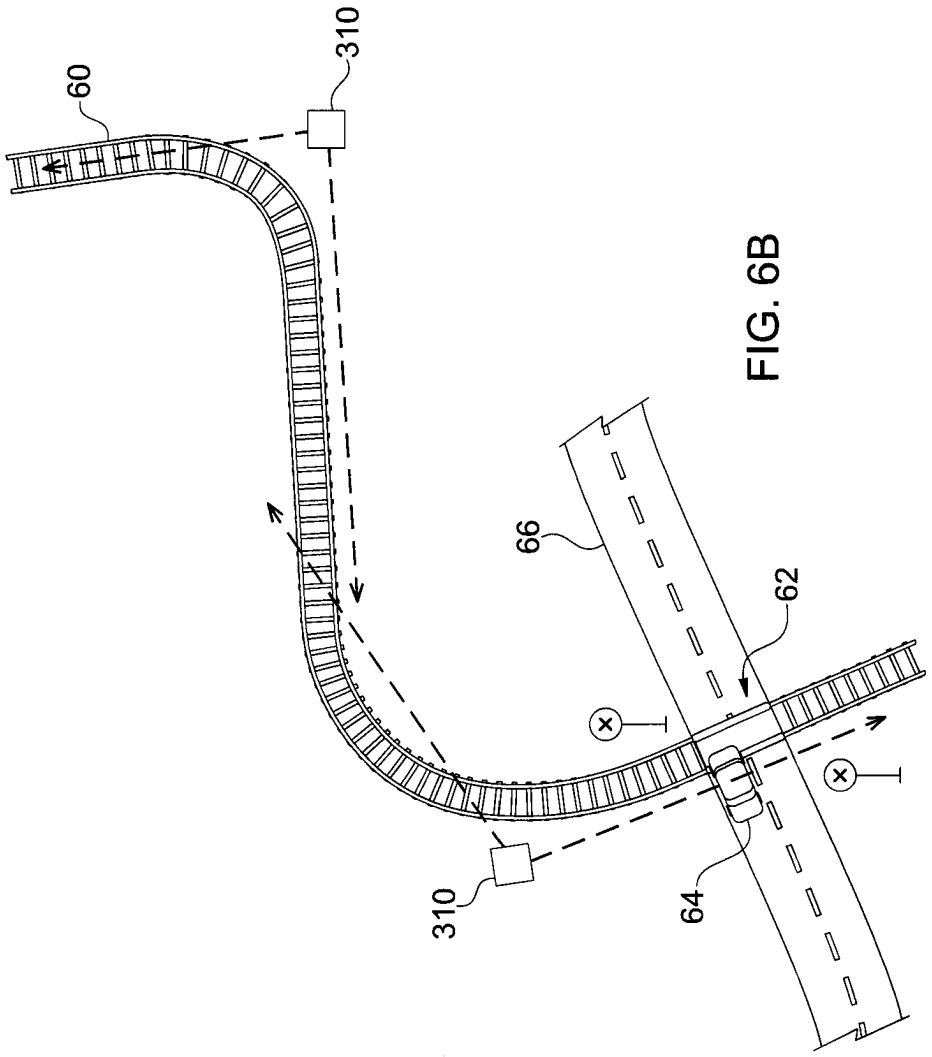


FIG. 6B

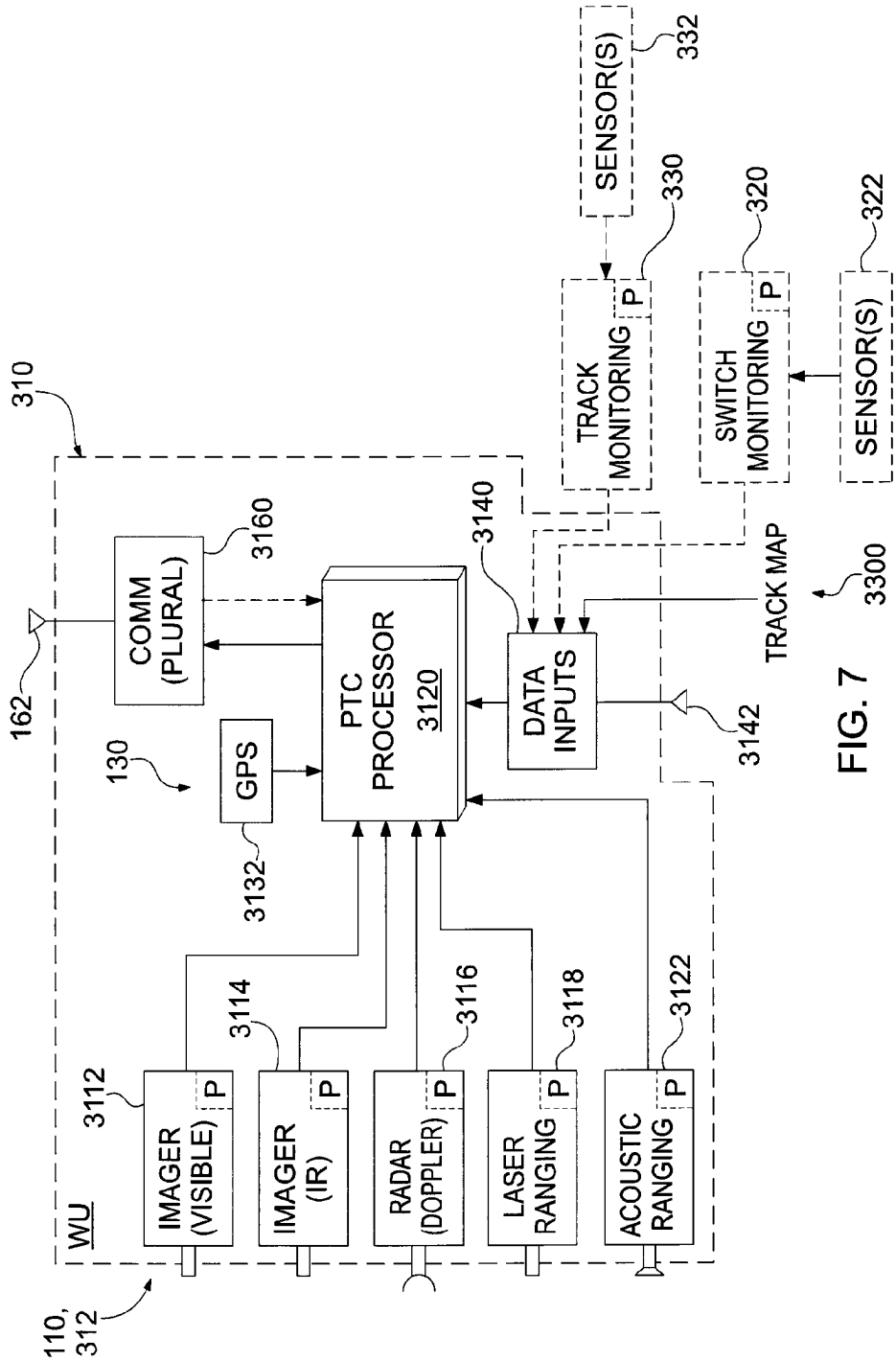

110, 312
WU
IMAGER (VISIBLE)
IMAGER (IR)
RADAR (DOPPLER)
LASER RANGING
ACOUSTIC RANGING
P
3112
3114
3116
3118
3122
310
162
COMM (PLURAL)
3160
130
GPS
3132
PTC PROCESSOR 3120
3140
DATA INPUTS
3142
TRACK MAP
3300
TRACK MONITORING
330
SENSOR(S)
332
SWITCH MONITORING
320
SENSOR(S)
322

FIG. 7

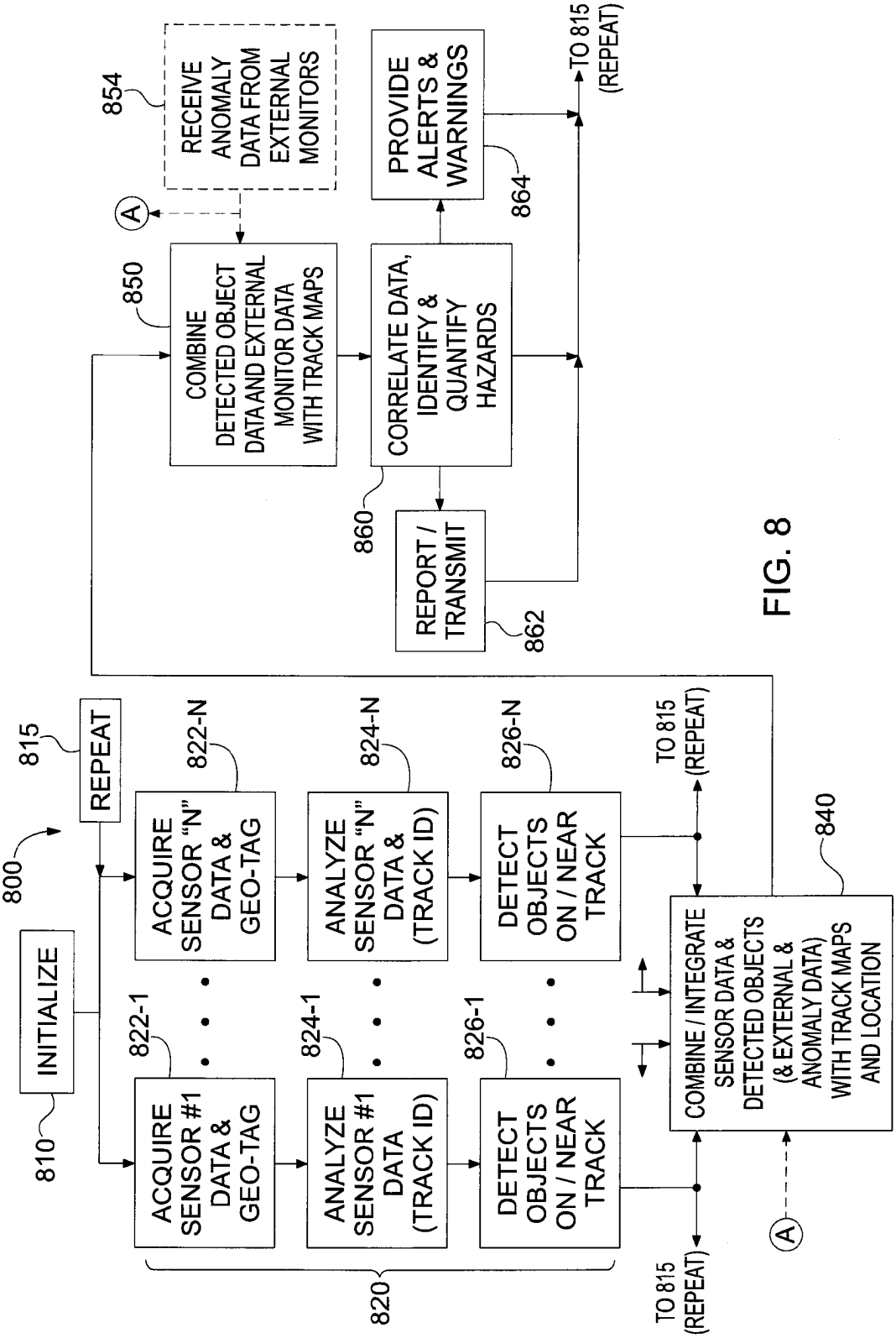

INITIALIZE
810
800
REPEAT
815
ACQUIRE SENSOR #1 DATA & GEO-TAG
822-1
ANALYZE SENSOR #1 DATA (TRACK ID)
824-1
DETECT OBJECTS ON / NEAR TRACK
826-1
ACQUIRE SENSOR "N" DATA & GEO-TAG
822-N
ANALYZE SENSOR "N" DATA & (TRACK ID)
824-N
DETECT OBJECTS ON / NEAR TRACK
826-N
820
TO 815 (REPEAT)
TO 815 (REPEAT)
COMBINE / INTEGRATE SENSOR DATA & DETECTED OBJECTS (& EXTERNAL & ANOMALY DATA) WITH TRACK MAPS AND LOCATION
840
A
RECEIVE ANOMALY DATA FROM EXTERNAL MONITORS
854
A
COMBINE DETECTED OBJECT DATA AND EXTERNAL MONITOR DATA WITH TRACK MAPS
850
CORRELATE DATA, IDENTIFY & QUANTIFY HAZARDS
860
PROVIDE ALERTS & WARNINGS
864
TO 815 (REPEAT)
REPORT / TRANSMIT
862

FIG. 8

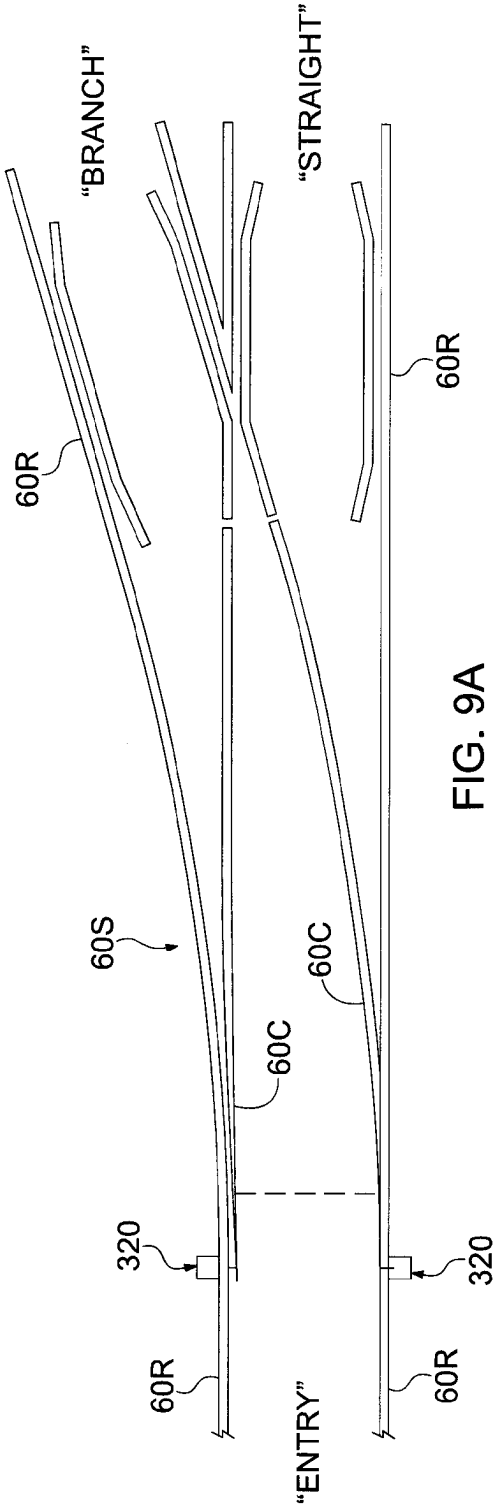

"BRANCH"
"STRAIGHT"
60R
60R
60S
60C
60C
320
320
60R
60R
"ENTRY"

FIG. 9A

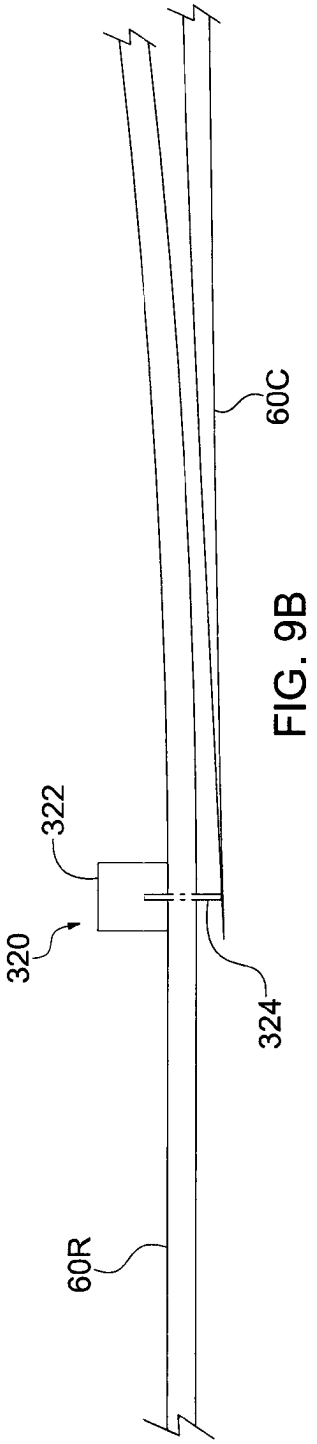

60C
322
320
324
60R

FIG. 9B

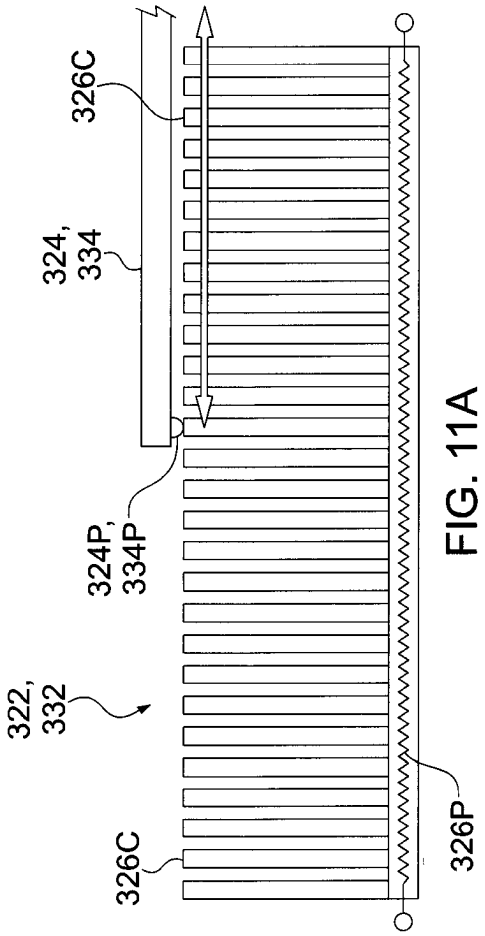


FIG. 11A

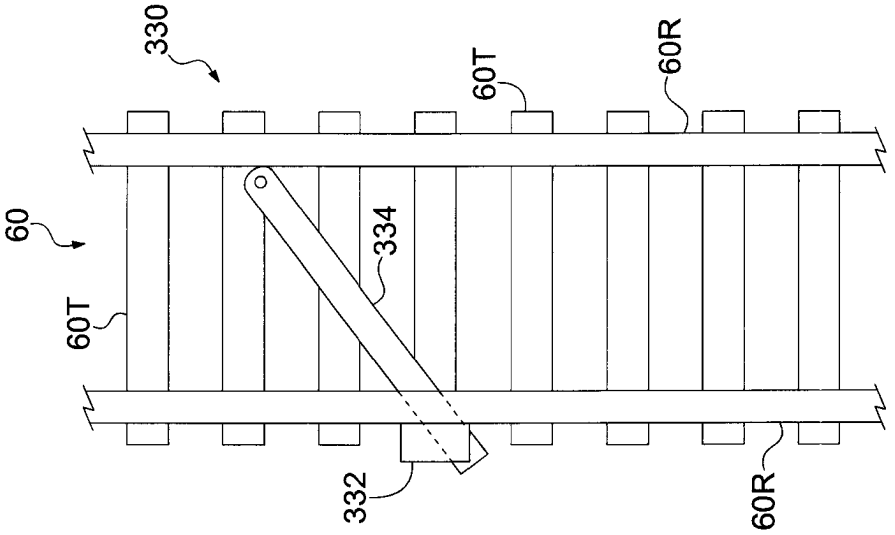


FIG. 10

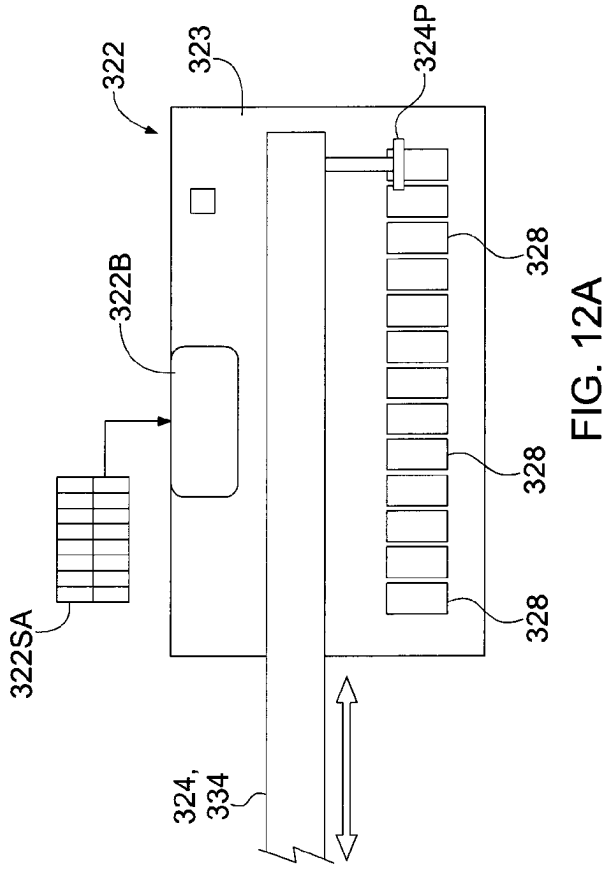


FIG. 12A

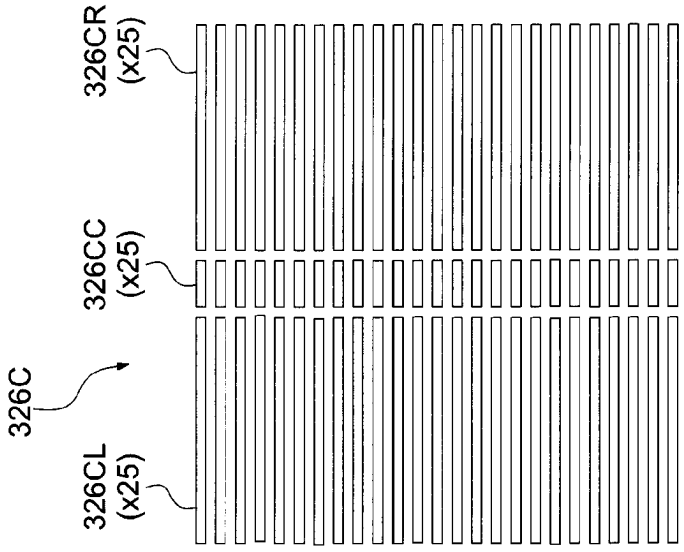


FIG. 11B

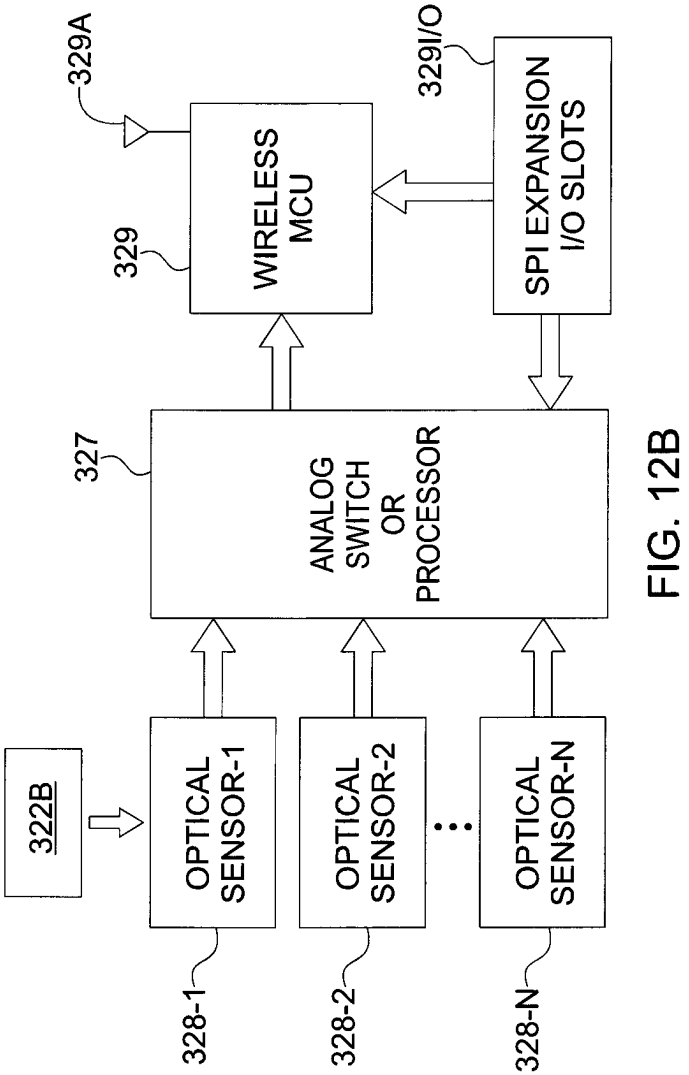

322B
OPTICAL SENSOR-1
OPTICAL SENSOR-2
OPTICAL SENSOR-N
328-1
328-2
328-N
327
ANALOG SWITCH OR PROCESSOR
329
WIRELESS MCU
329A
329I/O
SPI EXPANSION I/O SLOTS

FIG. 12B

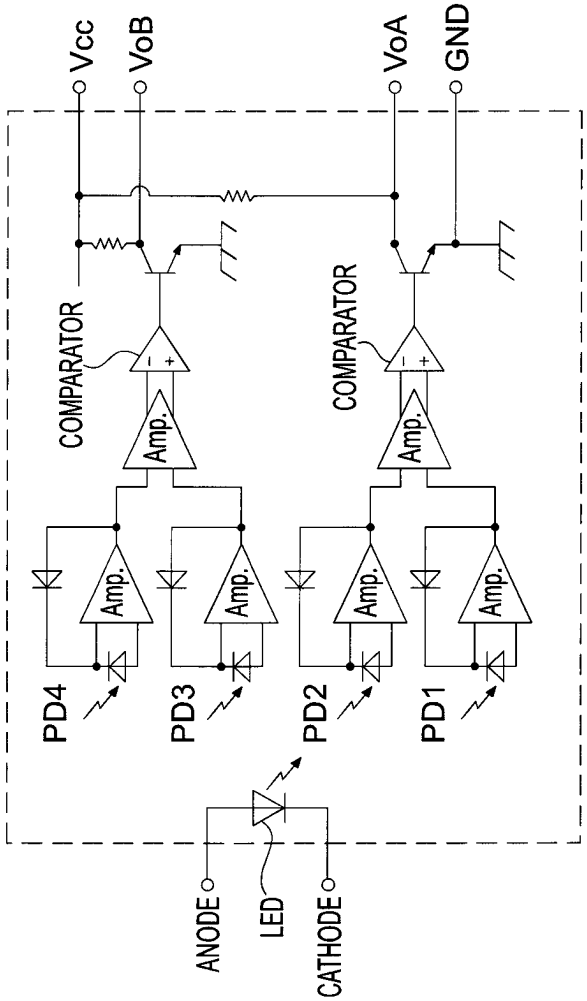

Vcc
VoB
VoA
GND
COMPARATOR
COMPARATOR
Amp.
Amp.
Amp.
Amp.
Amp.
Amp.
PD4
PD3
PD2
PD1
ANODE
LED
CATHODE

FIG. 12C

POSITIVE TRAIN CONTROL SYSTEM AND APPARATUS THEREFOR

[0001] This application claims the benefit of U.S. Provisional Patent Application Ser. No. 61/999,742 entitled "POSITIVE TRAIN CONTROL SYSTEM BASED ON GEO-TAGGED DATA" filed Aug. 5, 2014, of U.S. Provisional Patent Application Ser. No. 62/070,141 entitled "POSITIVE TRAIN CONTROL SYSTEM BASED ON GEO-TAGGED DATA" filed Aug. 15, 2014, and of U.S. Provisional Patent Application Ser. No. 62/071,297 entitled "POSITIVE TRAIN CONTROL SYSTEM BASED ON GEO-TAGGED DATA" filed Sep. 19, 2014, each of which is hereby incorporated herein by reference in its entirety.

[0002] The present invention relates to positive train control and, in particular, to a positive train control system and apparatus therefor.

[0003] Trains have been and continue to be a substantial, viable and economical means for transporting cargo and passengers, especially over short to medium distances where air travel is either too expensive or inconvenient, e.g., due to travel to and from airports outside of cities and delays due to security procedures. Hundreds of thousands or millions of people travel on commuter trains, regional rail lines, metros and subway trains each day, and so safety is of great importance.

[0004] Collisions with objects on the track and derailments appear to be the two most common sorts of train accidents, and in many cases occur together. Track conditions, e.g., improper switch position and/or incomplete switch transfer, and track distortion, often contribute to such accidents, which often cause personal injury and death, spills and releases dangerous to health and/or the environment, and damage to property both along the track and some distance from the track.

[0005] Often scores or hundreds of people are injured or killed or placed at risk, hazardous and/or dangerous chemicals have been released, and even entire neighborhoods and towns have been damaged or had to be evacuated. The economic damage can easily rise into the millions of dollars from even what might appear to be a relatively "minor" accident.

[0006] Early on, control of train movement was by a system of geographically "fixed blocks" of track in which each block or length of track would have to be clear of trains before another train was allowed to enter the fixed block, e.g., as illustrated in FIG. **1**. Signaling and switching was manually controlled at first, e.g., by a dispatcher in a wayside tower, and later was automated to some degree as technology advanced. Typically the geographic blocks were large and so track utilization was low, but it worked relatively well if the travel direction and speed of the trains was similar, the track was in good condition, and there was no human error of the part of the train operators and the dispatchers. Because trains operated based upon what was supposed to be the track situation ahead, actual conditions often deviated what was supposed to be, and accidents were frequent and often disastrous.

[0007] An improved approach was the introduction of a "moving block" system where the block was not geographically fixed, but each "block" moved with the train in that moving block and had a length predetermined by train speed, stopping distance, speed limits, wayside sensors and central control capabilities.

[0008] One approach to reducing the risk of such accidents has been to mandate so-called "positive train control" as is required by the "Rail Safety Improvement Act of 2008" which was enacted in the United States. Among the intended safety benefits are maintaining train separation, avoiding collisions, enforcing line speed, implementing temporary speed restrictions and improving rail worker wayside safety. One result has been the increase in computer based train control that is understood to rely on centralized computers that employ radio communication to monitor train movement and track conditions, however, these have tended to continue the dynamic moving block approach, also known as virtual block or flexible block, that defines a safety envelope or block that moves along with the train.

[0009] Conventional approaches to positive train control that rely on reporting to a central computer or facility the position and operation of individual trains, the accumulation and monitoring of data relating to the trains operating on the rail system, track and wayside data, and the like, and the communication of that data and operating orders to all of the trains. This complex system necessarily relies on a complex communication system that must interconnect all of the trains and all of the various wayside and track sensors for the continuous transmission of data and status information from all system elements to the central computer and for communicating coordinating data, operating instructions, alerting and control instructions to all of the trains and all of the system elements and sensors. Not only does this system necessarily complicate the communications system requirements, e.g., for achieving suitable reliability, accuracy and redundancy, but it also necessarily requires massive reliable and redundant central computing resources.

[0010] Because such system, e.g., a centrally controlled moving block system, must be "failsafe" in that any failure of equipment and/or communication must be quickly responded to by placing the entire railroad and all trains thereon into a safe operating condition. This is usually implemented by reverting to an absolute block operation wherein train speeds are substantially reduced, e.g., to 25 mph where wayside signals are not present or are not operating and to under 50 mph where wayside signals are present and are operating, and train separation is substantially increased, thereby substantially reducing the capacity and efficiency of the entire affected rail system.

[0011] Applicant believes there may be a need for a train control system and related apparatus that provides a less complex and less costly alternative to the complex and costly prior art centrally controlled train management systems, and that can function even when communication with a train or trains is disrupted or lost or absent.

[0012] Accordingly, a positive train control unit mountable on a train may comprise: a plurality of different sensors selected from the group including a visual imager, an infrared imager, a radar, a doppler radar, a laser sensor, a laser ranging device, an acoustic sensor, and/or an acoustic ranging device; a locating device including a global positioning device and/or an inertial navigation device to provide location data; a processor to associate data sensed by the plurality of different sensors with location data and with time data; a data receiver configured to receive data from a track monitor, a switch monitor and/or from a wayside monitor; the processor determining its location, speed and direction relative to predetermined track way configuration data; the processor determining whether there is an object on the track way and/or whether there is an anomaly the track way; and if the processor determines that the location, speed and/or direction of the positive train control unit differs the train routing order, that an object

is in the track way forward of the positive train control unit, and/or that there is an anomaly in the track way, then the processor communicates an alert to an alerting device and/or communicates a control signal to a train control to at least adjust the speed of the train.

[0013] Further, a positive train control unit for a track way may comprise: a plurality of different sensors having respective fields of view in a predetermined direction; a processor that associates data sensed by the plurality of different sensors with location data and time data; a data receiver to couple data from one or more monitors to the processor; a communication device; the processor determining from data from the plurality of different sensors, location data, and data from the data receiver, the location of the positive train control unit relative to track way data; the processor determining whether there is an object on the track way and whether there is an anomaly of the track way; and if the processor determines that an object is in the track way and/or there is an anomaly in the track way; then the processor causes an alert regarding an object in the track way and/or an anomaly in the track way via the communication device.

[0014] According to another aspect, a positive train control unit may comprise: an elongated member attached to a first rail of a track way; a probe near the other end of the elongated member; a sensor device attached to a second rail of the track way and including a position sensor for sensing the position of the probe relative to the sensor device; wherein the probe and the position sensor cooperate to determine the position of the elongated member relative to the sensor device.

[0015] According to yet another aspect, a positive train control method may comprise:

[0016] receiving sensor data from a plurality of different sensors selected from the group including a visual imager, an infrared imager, a radar, a doppler radar, a laser sensor, a laser ranging device, an acoustic sensor, and an acoustic ranging device;

[0017] receiving location data from a locating device that determines the location of the train;

[0018] associating the sensor data with location data and time data, whereby the sensor data is geo-tagged and time-tagged;

[0019] receiving data from a track monitor, or from a switch monitor, and/or from a wayside monitor, if within range thereof;

[0020] determining from the sensor data and location data, the location, speed and direction of the train relative to predetermined data;

[0021] determining from the sensor data whether there is an object on the track way;

[0022] determining from data, if any, received from a track monitor, switch monitor, and/or wayside monitor, whether there is an anomaly in the track way; and

[0023] (1) if determined that the location, speed and/or direction of the train differs from the predetermined data;

[0024] (2) if determined that an object is in the track way, and/or

[0025] (3) if determined that there is an anomaly in the track way,

[0026] then communicating an alert to an alerting device or communicating a control signal for a train control or both.

[0027] In summarizing the arrangements described and/or claimed herein, a selection of concepts and/or elements and/or steps that are described in the detailed description herein may be made or simplified. Any summary is not intended to identify key features, elements and/or steps, or essential features, elements and/or steps, relating to the claimed subject matter, and so are not intended to be limiting and should not be construed to be limiting of or defining of the scope and breadth of the claimed subject matter.

BRIEF DESCRIPTION OF THE DRAWING

[0028] The detailed description of the preferred embodiment(s) will be more easily and better understood when read in conjunction with the FIGURES of the Drawing which include:

[0029] FIG. **1** is a schematic diagram illustrating an example embodiment of a positive train control unit mounted to the front of a train which is on a track way;

[0030] FIG. **2** is a schematic diagram illustrating an example fully moveable and adjustable block for separating trains by a safe distance determinable with the embodiment of FIG. **1**;

[0031] FIG. **3** is a schematic block diagram of an example embodiment of a positive train control unit suitable for mounting to a train;

[0032] FIG. **4** is a schematic flow diagram illustrating operation of the example embodiment of FIG. **3**;

[0033] FIG. **5** is a schematic diagram illustrating various forward looking fields of view relating to the example embodiment of FIGS. **1-3**;

[0034] FIGS. **6**A and **6**B are a schematic diagram of an example embodiment of positive train control wayside monitors located along a track way and a schematic plan view thereof, respectively;

[0035] FIG. **7** is a schematic block diagram of an example embodiment of a positive train control wayside monitor unit suitable for mounting along a track way;

[0036] FIG. **8** is a schematic flow diagram illustrating operation of the example embodiment of FIG. **7**;

[0037] FIGS. **9**A and **9**B are schematic plan views of a an example of a switch having an example embodiment of a switch monitor therewith and an enlarged view thereof, respectively;

[0038] FIG. **10** is a schematic plan view of an example of a track way having an example embodiment of a track monitor therewith;

[0039] FIGS. **11**A and **11**B are schematic plan views of examples of respective contact based monitor sensors; and

[0040] FIGS. **12**A, **12**B and **12**C are a schematic plan view of an example of an optical sensing based monitor sensor, a schematic block diagram of such optical based monitor sensor, and a schematic circuit diagram of an optical sensor usable therewith, respectively.

[0041] In the Drawing, where an element or feature is shown in more than one drawing figure, the same alphanumeric designation may be used to designate such element or feature in each figure, and where a closely related or modified element is shown in a figure, the same alphanumerical designation primed or designated "a" or "b" or the like may be used to designate the modified element or feature. Similarly, similar elements or features may be designated by like alphanumeric designations in different figures of the Drawing and with similar nomenclature in the specification. According to common practice, the various features of the drawing are not to scale, and the dimensions of the various features may be arbitrarily expanded or reduced for clarity, and any value stated in any Figure is given by way of example only.

DESCRIPTION OF THE PREFERRED EMBODIMENT(S)

[0042] FIG. **1** is a schematic diagram illustrating an example embodiment of a positive train control unit **100** mounted to the front of a train **50** which is on a track **60**; and FIG. **2** is a schematic diagram illustrating an example fully moveable and adjustable block **70** for separating trains **50** by a safe distance determinable with the embodiment of FIG. **1**. Train **50** include one or more engines or locomotives **52** (or a motorized carriage or other self-propulsive unit) and may also include one or more carriages **54**, e.g., passenger cars, freight cars, gondola cars, hopper cars, flat cars, piggyback cars, container cars, cabooses, and the like. While a railroad train and a railroad track is illustrated, the present arrangement may be employed with any other type or kind of vehicle **50** operating on and/or along a guided pathway **60** of any type or kind

[0043] Positive train control unit **100** is preferably mounted at the front of train **50** so as to have a clear field of view forward of train **50** in the direction it is traveling. Positive train control unit **100** includes various sensors (described below) of different types, e.g., visible, infrared, radar, acoustic and the like, that monitor the way ahead to detect and identify objects and/or conditions that might affect the safety of train **50** and a processor to process the data from those sensors and from other sources, so as to provide indications of conditions ahead to the train crew, e.g., including the train operator, and if the indication is a warning or alert, take appropriate action to control the train **50** if the train crew does not appropriately respond to the warning or alert in a timely manner.

[0044] It is noted that the combination of data from different types of sensors, e.g., a visible sensor that is more useful during daylight, an IR sensor that is useful during daylight and darkness, a radar that can sense through fog and precipitation, and an acoustic sensor that "hears" what the other sensors may not see, complement each other to provide a more complete and detailed assessment of what lies ahead of positive train control unit **100**, including any objects, obstructions or other danger, than can any sensor individually. In addition, sensing and detection of such condition is performed automatically and continuously so as to provide substantial advance warning to the operating crew and to take appropriate action to slow and/or stop the train should the crew fail to take appropriate and timely action.

[0045] Among the other sources and/or sensors can be one or more train monitors **230** mounted at predetermined locations on the train **50**. A train monitor **230** is typically provided on the last car of the train to communicate, preferably wirelessly communicate, e.g., its location to positive train control unit **100** so that the length of train **50** can be determined and monitored, whereby a loss of integrity, e.g., a decoupling of cars, can be detected. Such train monitor may include one or more imagers to provide visibility along track **60** in the direction rearward of train **50**.

[0046] One or more train monitors **230** may be placed on one or more cars along train **50**, e.g., as where such car may need special monitoring due to, e.g., its contents, hazardous materials, high value cargo, classified cargo, need for security, and/or any other particular need.

[0047] Located along track **60** may be one or more monitoring units **310-330** that are located so as to monitor and detect abnormal conditions and/or deviations from a nominal condition. For example, a wayside monitor **310** may be provided e.g., where the track configuration is deemed to need monitoring because of its nature, e.g., a curvature and/or elevation profile that restricts the distance over which the track can be viewed, e.g., monitored by a positive train control unit **100** on a train **50**, as described below. A wayside monitor **310** may also be employed to monitor unusually unstable areas, e.g., areas known to experience frequent natural changes, such as rock slides and/or flooding and the like. One or more example embodiments of a wayside monitor **310** are described below.

[0048] A switch monitor **320** may be placed on a switch to monitor the operation and, in particular, the completion of switch closure in either the straight ahead or diverting positions of the switching rails. Switch monitors **320** preferably directly sense the position of the moveable switch rails at the locations where they are supposed to be closely adjacent to fixed rails, e.g., the stock rails, to directly confirm that the switch rails have fully moved, and to do so independently of any conventional switch controls. One or more example embodiments of a switch monitor **320** are described below.

[0049] A track monitor **330** may be placed along a track to monitor the spacing and distortion of the rails, such as may result from high and/or low rail temperature, and/or from instability in the rail bed. One or more example embodiments of a track monitor **330** are described below.

[0050] While wayside monitors **310**, switch monitors **320** and track monitors **330** may communicate the data they sense to a central computer and/or control facility, the monitors **310-330** described herein include local communication devices, and preferably plural local communication devices for redundancy, that communicate sensed data directly to the positive train control unit's **100** on trains **50** that are within local communication range, e.g., typically within 2-5 kilometers, as indicated by the jagged lines in FIG. **1**. Such communication devices typically employ jamming and interference resistance transmission protocols and/or may operate on different bands, and may have additional transponders and/or relays associated therewith, which may be closely ane/or remotely located, all so as to increase the reliability and accuracy of communication, e.g., given the geographic and topographic conditions associated with their geographic locations.

[0051] Train **50** operates in a dynamic or moving block as in FIG. **2** that sets a safe separation distance in front of train **50** and spaced from any train ahead of train **50**. Because positive train control unit **100** senses track conditions ahead of train **50** and the operating conditions of train **50** and processes that data on board train **50**, the separation distance for the dynamic moving block need not be established in advance or fixed in length based upon assumptions concerning train length, speed and the like, and need not rely on communication from a central train control computer or facility. Thus the moving block can truly be "dynamic" in that it can lengthen and contract based upon the actual operating conditions of train **50**, e.g., speed and/or visibility distance ahead. Accordingly, train spacing can truly be "dynamic" in that it can be reduced when safe to do so, thereby to provide more efficient track utilization, and can be increased when required to maintain safe operating conditions.

[0052] The length of the moving block, e.g., the safe train separation distance, is determined by the combination of safe breaking distance based upon the actual speed of train **50** and actual track conditions, an allowance for error in the determination of the location of train **50**, the processing time required

by positive train control unit **100**, and a guard zone between trains. Because positive train control unit **100** aboard train **50** receives sensor data in real time from on-board sensors, there is no need for communication with a central train control computer in order to maintain safe operation, and so there is no need for the length of the moving block to include an allowance for office or centralized processing time and/or for communication times to and from a central train control facility.

[0053] FIG. **3** is a schematic block diagram of an example embodiment of a positive train control unit **100** suitable for mounting to a train; and FIG. **4** is a schematic flow diagram illustrating operation of the example embodiment of positive train control unit **100** of FIG. **3**. Positive train control unit **100** includes a positive train control processor **110** comprising one or more microprocessors, microcontrollers, microcomputers, portable computers and the like, to provide one or more computing engines, memory (e.g., including random access and/or other volatile or non-volatile memory), input/output (I/O) ports, and data storage (e.g., including magnetic and/or optical drives, and/or large scale solid state semiconductor memory). Processor **100** receives data inputs from other elements of positive train control unit **100** including but not limited to one or more forward-looking sensors **110**, one or more locating devices **130**, one or more data input devices **140** and one or more communication devices **160**, of various types and configurations. Preferably, a unique identifier is stored in a memory, e.g., the memory of processor **120**, of each positive train control unit **100** so as to uniquely identify that positive train control unit and by association the train on which it is mounted on any track or railway.

[0054] Positive train control unit **100** may be configured as an assembled unit that may be mounted or attached, either temporarily or permanently, to a movable vehicle, e.g., a train, or may be in one or more modules or units of equipment that are mounted to the train, and in either case interconnected therewith. Preferably the positive train control unit **100** is mounted to the train at the forward most end thereof where it will have a suitably clear field of view forward of the train and so be able to observe and/or sense what, if anything, lies ahead, and is connected to one or more train systems and/or equipment, typically via a predefined interface, e.g., using one or more electrical connectors, for receiving electrical power from the train and providing interconnections for communicating data therebetween.

[0055] The forward looking sensors **110** of positive train control unit **100** are positioned in positive train control unit **100** and/or are mounted to the train **50**, **52** so as to have suitable fields of view substantially directly forward from the train **50**, **52** on which positive train control unit **100** is mounted, as is illustrated, e.g., in FIG. **5** which is a schematic diagram illustrating various forward looking fields of view (shown therein as lines of long dashes, short dashes and dots) relating to the example embodiment of positive train control unit **100** of FIGS. **1-3**. In a practical sense, sensors with a longer forward range may be, and preferably are, mounted higher up from track way **60** and sensors with shorter range may be mounted closer to track **60**, in a configuration selected to make best utilization of each sensor and of the places on locomotive **52** available for mounting sensors. Similarly, sensor field of view may also be a consideration in selecting a sensor mounting configuration.

[0056] Some of sensors **110** may be positioned to have a field of view that extends and senses far forward, e.g., 2-5 kilometers forward, of train **50** while other of sensors **110** may be directed to sense closer, e.g., 100 meters to 500 meters, forward of train **50**, while still others may be directed to sense over a range of distances intermediate thereto and/or overlapping therewith. The width of the field of view vertically is typically selected to provide the desired range of forward looking distance including changes in elevation and/or inclination of the tracks **60**, e.g., due to hills, overpasses, underpasses and the like, and the width of the field of view horizontally is selected to provide the desired range of forward looking distance including changes in azimuth of the tracks **60**, e.g., due to right of way width, curves, parallel tracks, switch tracks and the like. Ones of sensors **110** that can sense over the entire 100 meter to 5 kilometer range may be employed to sense forward of train **50** for all or part of that range.

[0057] Sensors **110** may include one or more of visible band imagers **112** producing either sequential still images or video images, one or more infrared (IR) band imagers **114** producing either sequential still IR images or video IR images, one or more radar imagers including Doppler radars and other types of radars, one or more laser ranging devices **118**, and/or one or more acoustic ranging and/or sonar ranging devices **122**.

[0058] Data sensed by sensors **110** is communicated to positive train control unit processor **120** over cables, e.g., electrical cables and/or optical fiber cables, which processes the sensor data to determine the track conditions ahead, and to then determine whether any dangerous or hazardous condition exists, and based thereon to initiate appropriate actions to signal the train crew

[0059] The distance over which the various sensors **110** preferably sense can range from relatively close range, e.g., 10-50 meters to one, two or five kilometers, thereby to encompass sensing over a length of track that exceeds at least the breaking distance of the train over the range of expected forward speeds at which it operates, as well as a guard band to account for processing time and possible inaccuracy, uncertainty, and the like. The respective sensor fields of view preferably extend over a range of elevations (vertical angles) and a range of widths or azimuth (horizontal angles) sufficient to sense the track ahead of the sensor including the variations in grade and/or curvature known to be permitted for the track. In some cases, one sensor can sense over the entire ranges of distance, elevation and azimuth, and in other cases, more than one sensor may be required to sense over the entire ranges of distance, elevation and azimuth, e.g., to take into account the sensing ranges of the various sensors as well as the effects of the environment (e.g., light, rain, fog, snow, darkness) on those ranges.

[0060] The geographic location, or geographic position, of positive train control unit **100** and of the part of the train to which it is mounted, e.g., usually the locomotive or engine or a control cab at the front of the train, is determined by locating system **130** at least to an accuracy which enables determination of the track of a track way or railway having plural tracks the train is on. The locating system **130** preferably includes one or more Global Positioning System (GPS) units **132** operable with signals from GPS satellites to accurately determine the geographic position of the GPS unit **132** on the Earth. Use of plural locating units **132** reduces the likelihood of having a loss of location data due to inoperability of an on-board GPS device. Preferably, but optionally, global position determining units for two or more different and indepen-

dent global positioning systems, e.g., the US GPS system, the Russian GLONASS system, the European Galileo system, the Indian IRNSS system and/or the Chinese BDS system, may be employed so that geographic location data is available even when one GPS system is out of range or out of service.

[0061] In addition and also preferably, but optionally, one or more additional location determining units **134**, e.g., a gyroscopic and/or inertial navigation device **134**, that operate independently of the GPS units **132** may be provided, so as to operate even when the train is in a tunnel, underground or otherwise out of communication with GPS satellites. Use of plural different types of locating devices **132**, **134** reduces the likelihood of having a loss of location data due to inoperability of an on-board locating device **132**, **134** as well as an outage of signals from a locating system satellite and/or beacon.

[0062] Thus, even the failure of all location determining units **130** of one type would not completely deprive positive train control unit **100** of accurate geographic location data. Moreover, correlating or otherwise combining the location information provided by plural location determining units **130** can provide location information to greater accuracy and/or with greater reliability than could only one location determining unit or one type of location determining unit.

[0063] All data produced by sensors **110** is associated with the location of positive train control unit **100** provided by location determination **130** at the time the data was acquired, and is also time tagged, e.g., by processor **120**, so that all sensor data is both geo-tagged and time tagged for facilitating its being cross referenced to other data, both similar data and dissimilar data, for storing and processing such data within positive train control unit **100**, and by the positive train control unit **100** of another train to which it may be transmitted, and at a central location to which such data may be transmitted **160**, **162**.

[0064] It is noted that because the data acquired is geo-tagged so that the location of the sensor is precisely known relative to the track way and is time tagged for correlation with other time tagged data, a complete representation of the operation of the train may be determined at and/or for any given time, both one each train and on other trains in communication therewith, as well as at a central train control location (if any), thereby to positively determine the location (including the track), speed and direction of the train **50** and to positively control its operation, as well as to aid in planning and execution train operations.

[0065] External data inputs **300** for positive train control unit **100** may be provided via data input device **140** which may include any number of data input devices, e.g., a keyboard, a touch screen, a USB drive reader, a memory card reader, a CD or DVD reader, a magnetic stripe reader, an RFID reader, and the like, and sources. Data inputs may include, e.g., one or more of track maps and speed limits, data from sensors **312** associated with wayside monitors **310**, data from sensors **322** associated with switch monitors **320**, and/or data from sensors **332** associated with track monitors **330**, all of which may be communicated wirelessly.

[0066] Wireless communication may be via 220 MHz communication devices as utilized for communication with and between railroad trains, and/or via WiFi networks, ad hoc networks, cellular communication, bluetooth, RFID devices, and similar relatively local communication devices, which because of their independence from each other and their ability to establish and maintain communication networks and structures, can provide inherently robust and reliable data communication links. Communication ranges may be in the 1-5 kilometer range for communication by and between nearby trains and with nearby wayside, switch and track monitors **310**, **320**, **330**, and may be over much greater distances, using one or more types of communication links, e.g., for communication with a central train facility. Accordingly, data input device **140** typically includes one or more wireless communication devices **140** operating via one or more antennas **142**, e.g., mounted to train **50**, typically and preferably to locomotive **52** thereof.

[0067] In general, such monitoring devices in addition to communicating sensed data within a relatively local surrounding region, which includes any trains (and positive train control units) within communication range, preferably also communicate the sensed data to a central computer or monitor which can also communicate such data to the trains, e.g., to the positive train control unit **100** thereon, however, the local communication links are considered to be the primary communication between such monitors **310-330** and each positive train control unit **100**.

[0068] Positive train control unit **100** also typically includes one or more communication devices **160** which serve principally to communicate data from positive train control unit **100** to a central computer (solid line arrow) and need not be relied upon to communicate data from a central or control computer to positive train control unit **100** (dashed arrow) which is considered a secondary or back up communication path. Plural communication devices **160** may be employed for improved reliability and redundancy, and each may operate via one or more antennas **142**, **162**, e.g., located on train **50**, and preferably on locomotive **52** thereof.

[0069] Processor **120** processes the data received from sensors **110**, locating system **130** and data input **300**, **140** to determine the geographic location of the train (positive train control unit **100**) on the track map and its speed and direction, to compare that location, speed and position to the applicable train order, speed limits and known track conditions, e.g., as reported by one or more monitors **310-330**. Processor **120** overlays the determined data onto a track map to provide a geographic information system (GIS) map which is available to train crew and optionally may be communicated **160** to a central or control computer. If an out of limits condition is determined, then processor produces an indication thereof and determines an appropriate response, e.g., request a revised train order, indicate a collision is likely or not likely, reduce speed, apply brakes and/or apply brakes for an emergency stop.

[0070] Processor **120** also processes the data received from sensors **110** to analyze the images, ranging data and other data therefrom, e.g., by comparing such data to templates of known objects and obstacles, e.g., templates of people, animals, vehicles, trains, and the like, stored in its memory. Processor **120** determines therefrom along with speed, direction and ranging data whether a dangerous object is in the path of the train and if so, to provide an indication of such object and related indications, e.g., collision likely or not likely, reduce speed, apply brakes and/or apply brakes for an emergency stop.

[0071] Data and indications from processor **120** may be communicated to an operator alert device **210** which may include one or more display monitors, an audible warning device, a visual warning device, a tactile warning device, or a combination thereof. The train crew being thus advised and/

or warned of a condition, and being advised of an action to be taken, can then respond by taking appropriate action, all of which is monitored by processor **120**.

[0072] If the train crew or operator does not respond either properly or timely to the advice, alert and/or warning, processor **120** communicates the necessary action to be taken to the train control system **220** of the train which automatically takes the necessary action, e.g., to reduce speed, apply the brakes and/or apply the brakes for an emergency stop.

[0073] Because processor **120** and the train systems **200**, e.g., including train control **220**, are in direct communication, processor **120** receives train operating data from train control **220** that is processed to determine, e.g., train speed and direction (forward or reverse), brake and braking status, engine status, train integrity, train deadman device status, and the like, thereby to produce data from the train control that can be compared to data determined from sensors **110**, locating system **130**, and data inputs and monitors **300** for consistency and accuracy, the lack of which would provide an indication of a device or other malfunction or failure for which an alert or warning may need to be given and/or action may need to be taken.

[0074] It is noted that the processing and/or control functions performed by processor **120** may be performed by one or more processors **120**, P and that one or more of those processors **120**, P may be included in and/or associated with any one or more of sensors **112**, **114**, **116**, **118** and/or **122**, as indicated and illustrated by the letter "P" therein. In any given arrangement of a positive train control unit **100**, any or all of sensors **112**, **114**, **116**, **118** and/or **122** may include, and is some arrangements may preferably include, a processor P configured to efficiently process the data sensed by the sensors thereof. In such instance, sensors **112**, **114**, **116**, **118** and/or **122** provide output data that includes data representing any detected objects and or conditions relating to the track way. That output data are then further processed by PTC processor **120**, which serves as a central or common resource, to provide combined and/or integrated data representative of track way and other conditions for effecting any necessary operator alerts **210** and/or train control **220** actions. Overall control of positive train control unit **100**, including on, off and other control of sensors **112**, **114**, **116**, **118** and/or **122**, is preferably under the control of PTC processor **120**.

[0075] Similarly, wayside, switch and track monitors **310**, **320**, **330** may also include processors **120**, P, as indicated and illustrated by the letter "P" therein, that process sensed data to provide output data to PTC processor **120** for combination and/or integration with other data relating to track way conditions. Data from wayside, switch and track monitors **310**, **320**, **330** preferably includes location data representing the respective location thereof, e.g., by predetermined location data stored in a memory of and/or by a GPS locator of wayside, switch and track monitors **310**, **320**, **330**.

[0076] Further, the processing, combination and/or integration of data may be performed in any order that is convenient, e.g., for efficient use of processor **120** and any processors associated with any of sensors **112**, **114**, **116**, **118**, **122**, **310**, **320** and/or **330**. Similarly, time-tagging and/or geo-tagging of sensor data may be performed by PTC processor **120** associating time and/or location data from GPS device **132** and/or inertial navigation device **134** with data from sensors **112**, **114**, **116**, **118** and/or **122**, or by such time and/or location data being provided to sensors **112**, **114**, **116**, **118** and/or **122** and associated with the data produced thereby, or by any or all of sensors **112**, **114**, **116**, **118** and/or **122** including a time and/or locating device. Where plural devices each include a time reference, it is preferred that the time references of all devices be synchronized to a time standard of known accuracy, e.g., to the time standard of GPS device **132**.

[0077] FIG. **4** is a schematic flow diagram illustrating operation **400** of the example embodiment of the positive train control unit **100** of FIG. **3**. Process **400** commences with an initialization **410** so that all elements of positive train control unit **100** are in predetermined known operating states, e.g., all of sensors **110** are turned on and to a predetermined sensing range and/or mode, and processor **120** is likewise initialized so that the controlling computer program thereof commences operation at a known state. Sensor data acquisition **420** preferably is performed in parallel, and preferably independently, for each of the plural sensors **110**, identified in the flow chart **400** as sensor #1 through sensor N.

[0078] In some embodiments, the sensing and data outputting cycles of the plural sensors **110** may be made contemporaneous in time so as to obtain plural data sets from different sources at substantially the same time, thereby to have substantially if not exactly the same geo-tagged location and time stamp. In other embodiments the sensing and data outputting cycles of the plural sensors **110** may be offset in time from each other so as to reduce a peak demand for data processing by processor **120** in a particular embodiment, it being recognized that the differences in the timing of the data from the plural sensors would be on the order of only a few seconds so that slight differences in geo-tagged locations and time stamps do not represent a material difference is the sensed data and/or a difference cannot be correlated with the data from others of the plural sensors **110**.

[0079] For each of sensors #1 to N the respective operating sequence **420-1** through **420**-N is substantially similar, although there may be differences in the details due to the particular configurations and capabilities of the various plural sensors **110**, as is known to one of ordinary skill in the art relating to such sensors **110**. First, the sensor acquires data **422-1** to **422**-N and preferably associates location data and time data at the time of each sensing with the sensed data, thereby to geo-tag and time tag the sensor data. Alternatively, associating location data and time data with the sensed data can be done after the time of each sensing provided that the intervening time period is known or is inconsequentially small so that the appropriate location data and time data for the time of sensing can be computed, thereby to appropriately geo-tag and time tag the sensor data.

[0080] Each of sensors #1 to N then analyzes **424-1** to **424**-N the data it has sensed to identify certain features of that data, e.g., to identify the track or track way which will stand out because it changes little between successive sensings, e.g., the track remains generally in front of the train and so will be in the same place in the sensed data and will change little between successive sensings, while the surrounding environment will change to a greater extent as the train moves. Moreover, the faster the train moves, the more substantially the surrounding environment will change, thereby making it easier to distinguish the track from its environment which is consistent with the desired sensing because the risk, e.g., due to sight line shortening and braking distance increasing, increases with the speed of the train.

[0081] Alternatively, and optionally, processor **120** may adjust the rate at which plural sensors **110** operate to sense and analyze **420** data as a function of the speed of train **50**,

e.g., as a function of the planned speed profile as defined by a train routing order, or by the speed limits as defined by the track map and the present location data, or by the measured actual speed of the train, or by a combination thereof. The operating rate of one or more of plural sensors **110** would be increased as the speed (as planned, defined and/or measured) increases and could be decreased as the speed decreases.

[0082] Once sensor #1 to N identifies **424** from its sensed data the track in its field of view, it then analyzes the data to detect **426-1** to **426**-N whether there is an object that is on or near to the track, or optionally, over a sequence of sensed data to detect **426-1** to **426**-N whether there is an object that is moving towards the track. Each sensor #1 to N then outputs its sensed and analyzed data **440** and returns to repeat **415** the its data acquisition and analysis operating sequence **420** to sense and analyze data sensed at the next location and time. Thus, each of plural sensors **110** senses and provides a sequence of data sets that are geo-tagged and time stamped for correlation to the location and path of travel of the train **50**.

[0083] Each geo-tagged and time stamped data set, and data relating to any objects that were detected thereby, outputted by plural sensors **110** is combined and integrated **440** with each other and with track maps, speed limits, location data, and/or train routing orders, e.g., as were received **300** to define the predetermined expected location and timing of train **50** along its intended route. The combined, integrated data, if configured to be human readable, would for any given time be comparable to an annotated map of a track way with the train location, speed and direction thereon, or for a period of time would be comparable to a video map display having the train moving thereon, annotated with its speed and direction.

[0084] The combined integrated **440** data is combined **450** with train operating data received **452**, e.g., from the control system **220** and/or monitor **230**, of the train **50**, which typically would include data relating to throttle setting and speed, and brake application, as sensed and determined by the systems **220**, **230** of the train **50**. The combined integrated **440** data is also combined **450** with anomaly data received **454** from external monitors, e.g., wayside monitors **310**, switch monitors **320** and track monitors **330** and their respective sensors **312**, **322**, **332**.

[0085] While the foregoing description of operating process **400** includes a number of different steps or stages that are described in an order, that order is not necessary or required to be followed. The various steps and stages **415-460** can and may be performed an any suitable order, e.g., an order that produces the end result of a combined and integrated data set generated from the various sensors and monitors **420**, **310**, **320**, **330**, **220**, **230** which in the illustration occurs at the output of the correlating data, identifying and quantifying hazards step **460**. For instance, the detecting of objects **426** may be performed by the processing of sensed data in any or all of sensors #1 to N or by processing sensed data from any or all of sensors #1 to N in processor **120**. Similarly, external data and anomaly data from various sensors and monitors **310**, **320**, **330**, **220**, **230** may be combined and integrated in step **440**, in step **450**, in step **460**, or equivalently in a single or different step, as indicated, e.g., by the parenthetical statement in step **450** and the dashed arrows in the path designated by encircled letters A.

[0086] The combined, integrated correlated data and any identified hazards **440-460** are then utilized in positive train control unit **100** on train **50** for the operation thereof, as well as optionally, but preferably, being transmitted and reported **462** to a central control and/or operations location. It is important to note that operation of the positive control system **100** is entirely performed by positive train control unit **100** on train **50** without the need for data from or communication with a central control or operation location, and so the variability and interruptability of communications is not a degrading factor to the present arrangement.

[0087] Short range communication with external wayside monitors **310**, switch monitors **320** and track monitors **330** is the only communication external to positive train control unit **100** on train **50** that is utilized in the operation thereof, and even that is not necessary to the essential operation of positive train control unit **100** on train **50**. Data from such monitors in effect allows maintaining a looking forward distance that in some locations may be greater than the direct forward looking line-of-sight range of sensors **110** of positive train control unit **100**, e.g., because the effects of physical obstructions, e.g., trees and hills, can be effectively eliminated.

[0088] Thus, loss of communication with external monitors **310-330** if acted upon would only result in a proportional speed reduction for train **50**, and only if needed to maintain the same degree of safety under positive train control as with such communication. With conventional ground-based positive train controls, a loss of communication can cause the shutting down of all or of a portion of the railroad, e.g., with all trains stopped for safety or proceeding at an extremely slow safe speed. It is noted that short range communication with nearby monitors **310-330** is likely to be more reliable than would long range communication with a central control or operation location, e.g., due to a shorter distance and the ability to locate and direct antenna relative to a track way **60**.

[0089] The correlated **460** data and identified hazard data may be transmitted **462** and/or otherwise reported **462** to a central control or operating location for monitoring and management purposes.

[0090] The combined, integrated correlated data and any identified hazards **440-460** are then utilized in positive train control unit **100** on train **50**. To that end the integrated correlated data **440-460** is tested **470**, **480**, e.g., compared **470**, **480**, to predetermined limits established to determine whether the integrated correlated data is within or is outside of those limits. In a first instance, the integrated correlated data is compared **470** with a first predetermined limit, typically a limit indicative of a relatively lower risk, to determine if a warning action **464** should be taken, and if yes **470**-Y, then alerts and warnings are provided **472** to the train operator, e.g., train crew. Such warnings may be by one or more visual and/or audible signals at the train crew work stations, e.g., in the train control cab for the train engineer and assistant. If the data is within the predetermined first limit, the path **470**-N returns operation **400** to repeat **415** the process **400**.

[0091] In a second instance, the integrated correlated data is compared **480** with a second predetermined limit, typically a limit indicative of a relatively higher risk, to determine if a positive train control action **482** should be taken, and if yes **480**-Y, then train controls for speed and/or braking are activated **482** to reduce the train throttle setting, apply the brakes, or both, including possibly an emergency application of the brakes where, e.g., an object is on the track, or a switch is in the wrong position or is not properly closed, or a switch position is not consistent with the train routing order, or the rails are damaged or distorted. In addition, alerts and warnings to the train operator and/or crew are provided **464** or

continued **464**. If the data is within the predetermined second limit, the path **480**-N returns operation **400** to repeat **415** the process **400**.

[0092] Process **400** typically operates rapidly, repeating every second or every few seconds, so that the operation and detection of possible hazards is essentially continuous, e.g., being relatively short in time as compared to the movement of train **50** and to the rate at which any change therein may be effected. In a typical embodiment, process **400** is performed in about one second and repeats about every second. Detection by various ones of the sensors **110**, **312** can be, and preferably are, in about the same time frame, e.g., taking as little as about 15 frames or one second for an image sensor, depending upon the size and distinctness of the object to be detected—a vehicle will be easier to detect than would a person or an animal of modest size. If desired, the repetition rate of process **400**, as well as of the detection processes thereof, may be varied with to train speed, e.g., the faster the train is moving the more rapid would be the repetition rate of the operating cycle of process **400** and the slower the train is moving the more the repetition rate of the operating cycle of process **400** could be slowed.

[0093] FIGS. **6**A and **6**B which are a schematic diagram of an example embodiment of positive train control wayside monitors **310** located along a track way **60** and a schematic plan view thereof, respectively; and FIG. **7** is a schematic block diagram of an example embodiment of a positive train control wayside monitor unit **310** suitable for mounting, e.g., along a track way **60**. Wayside monitor unit **310** is similar to positive train control unit **100** in many respects and may be considered as a reduced complexity version thereof. Consider that a train mounted positive train control unit **100** need take into account the ever changing geometry of the track ahead of the train as well as the operating condition and status of train engine and braking systems, none of which are of concern for a wayside monitor **310** that is mounted in a fixed location proximate a track way **60** which is itself in a fixed configuration.

[0094] The example track way **60** illustrated in FIG. **6** is in an example topography wherein the track way **60** has several curves and/or hills and/or is shielded by topographical features, e.g., hills, mountains and/or tunnels, so that the distance forward of train **10** that is within the fields of view of sensors **110** of positive train control unit **100** thereon is substantially reduced. Certain sensors **110** have straight line sensing and range views and cannot "see" or sense around obstacles. To reduce blind spots resulting therefrom, one or more wayside monitor units **310** may be provided along the track way **60** in locations wherein the fields of view of their sensors **110**, **312**, can be put to good and efficient use.

[0095] For example, on curves a wayside monitor **310** may be located radially outside of the curved track way **60** so as to have longer sensor **110**, **312**, ranges than could be obtained from locations on the track way **60**, e.g., by a positive train control unit **100**. On hills a wayside monitor **310** may be located, e.g., near the crest of a hill or near the low point of a valley to the same end. Both the distance from track way **60** and the height at which wayside monitor **310** is mounted may be selected to gain an improved sensor **110**, **312** field of view and range. Wayside monitors **310** at such locations may include sensors **110**, **312** that have respective fields of view in substantially different directions so as to provide coverage of the track way in both directions from the location of wayside monitors **310**, as indicated by the dashed arrows in FIG. **6**B.

[0096] In the illustrated example, one or more wayside monitors **310** is located near each of the oppositely curved portions of track way **60** that define an "S" shaped curve of track way **60** so as to provide substantially complete sensor **110**, **312** coverage thereof over a desired sensor range, e.g., of 100 meters to 2000 or 5000 meters, in one or more directions, particularly where train mounted positive train control unit **100** cannot provide a complete picture.

[0097] In the illustrated example, a wayside monitor **310** is located proximate a crossing, e.g., a grade crossing **62** or a track way crossing, within the sensing range and fields of view of its sensors **312** for monitoring crossing **62**, principally for detecting any object or obstruction, e.g., a crossing vehicle **64** or train **50**, that may be on or crossing track **60**. Such locating of wayside monitor **310** is most commonly and importantly at locations at which the crossing **62** is not visible to an approaching train **50**, **52**, e.g., due to track way curvature and/or obstructions to the field of view of personnel and sensors **110** associated with train **50**, **52**, and may also be beneficially employed at other locations to reduce the danger arising due to reduced visibility due to darkness, rain, fog and the like.

[0098] For example, a vehicle **64** may be operating on roadway **66** which crosses track way **60** at grade crossing **62** which may or may not have electrical crossing signals and/or gates. One or more sensors **110**, **312** of wayside monitor **310** detect vehicle **64** and relay data representative of an object being on the track way **60** during the period of time that vehicle **64** is, e.g., within the right of way of track way **60**. The data representing presence of vehicle **64** is relayed and/or transmitted by communication device **3160**, e.g., to positive train control units **100** that are proximate wayside monitor **310**, e.g., approaching crossing **62**, and optionally, but preferably, to a central monitoring facility.

[0099] Example wayside monitor **310** sensors **110**, **312** may include one or more of visible band imagers **3112** producing either sequential still images or video images, one or more infrared (IR) band imagers **3114** producing either sequential still IR images or video IR images, one or more radar imagers **3116** including Doppler radars and other types of radars **116**, **3116**, one or more laser ranging devices **3118** and/or one or more acoustic ranging and/or sonar ranging devices **3122**. Sensors **3112**, **3114**, **3116**, **3118** and/or **3122** preferably, but need not, correspond to like sensors **112**, **114**, **116**, **118** and **122** of positive train control unit **100**.

[0100] Data sensed by sensors **110**, **312** is communicated to processor **3120** (which corresponds to processor **120** of positive train control unit **100**) over cables, e.g., electrical cables and/or optical fiber cables, which processes the sensor data to determine the track conditions within its fields of view, and to then determine whether any dangerous or hazardous condition exists, and based thereon to transmit data that may be combined with positive train control unit **100** sensor **110** data on a train **50** by processor **120** thereof to, e.g., initiate appropriate actions to signal the train crew and/or exercise control over train **50**.

[0101] The distance over which the various sensors **110**, **312** preferably sense can range from relatively close range, e.g., 10-50 meters ahead up to one, two or five kilometers ahead, thereby to encompass sensing over a length of track that is within the viewing range and field of view of that wayside monitor **310**. The respective sensor fields of view preferably extend over a range of elevations (vertical angles) and a range of widths or azimuth (horizontal angles) sufficient

to sense the track within their fields of view including the variations in grade and/or curvature known to be permitted for sensing by such sensors **312**. In some cases, one sensor **312** can sense over the entire ranges of distance, elevation and azimuth, and in other cases, more than one sensor **312** may be required to sense over the entire ranges of distance, elevation and azimuth.

[0102] The geographic location of wayside monitor **310** may be obtained by one or more GPS sensors **3132** thereof or may be provided as one of the data inputs **3140**, **3142** received from an external source, e.g., manual data input, as may be desirable. In any event, such data is available for use by processor **120**, **3120** as above.

[0103] All data produced by sensors **110**, **312** is associated with the location of wayside monitor **310** provided by location determination **130**, **3132** at the time the data was acquired, and is also time tagged, e.g., by processor **3120** so that all sensor data is both geo-tagged and time tagged for facilitating its being cross referenced to other data, both similar data and dissimilar data, for storing and processing such data within wayside monitor **310**, and by any positive train control unit **100** to which it may be transmitted, and at a central location to which such data may be transmitted **160**, **162**.

[0104] Optionally, switch monitors **320** and/or track monitors **330**, if any (shown dashed), that may be located nearby to wayside unit **310**, e.g., within communication range, may communicate their data to and via data inputs **3140**, **3142** and/or via communication device **3160** of wayside unit **310** for combination with data produced by wayside unit **310** and/or for transmission by wayside unit **310**, e.g., to a train **50** and/or to a central facility.

[0105] All elements of wayside unit **310** may be and preferably are similar to corresponding elements of positive train control unit **100** as described herein, and may function, and preferably do function in similar manner thereto. Similar elements of wayside unit **310** may bear the same item number as their counterparts in positive train control unit **100** preceded by the numeral **3**, e.g., processor **3120** is similar to processor **120**, and may include one or more processors **3120**, P as described above in relation to processor **120**.

[0106] FIG. **8** is a schematic flow diagram illustrating operation **800** of the example embodiment of the positive train control wayside unit **310** of FIG. **7**. Operation **800** is in many aspects substantially similar to process **400** and the variations thereof described above in relation to positive train control unit **100**. In particular, the operation **800** of items **810** through **860** are substantially similar to that of items **410**-**460** of operation process **400**, with the initial digit of equivalent steps being an "8" rather than a "4."

[0107] Sensed and/or processed data produced by operation **820** of plural sensors **312** of wayside unit **310** which is fixed at a predetermined location may be and typically are less complex than that for process **400**, because the field of view and range of the plural sensors **312** of a wayside unit **310** are fixed and can be predetermined because the location and orientation of wayside unit **310** and its plural sensors **312** are known and are fixed. For example, once the track **60** is identified **824**, it can at least be preset if not fixed for the analysis of sensor data and so object detection **826** may require the most processing effort.

[0108] Moreover, because the location of wayside monitor **310** is known and fixed, the track map may be defined for the relatively short length of track that is in the field of view and range of sensors **312**, or may simply be location data, e.g., location data for a grade crossing **62** being monitored.

[0109] The combined integrated **840** data and detected object data is combined **850** with anomaly data received **854** from external monitors, e.g., other wayside monitors **310**, or nearby switch monitors **320** and track monitors **330** and their respective sensors **312**, **322**, **332**. Item **854** is shown as dashed because there may or may not be any external monitors **310**, **320**, **330** associated with the wayside monitor **310** performing process **800**.

[0110] While the foregoing description of operating process **800** includes a number of different steps or stages that are described in an order, that order is not necessary or required to be followed. The various steps and stages **815**-**860** can and may be performed an any suitable order, e.g., an order that produces the end result of a combined and integrated data set generated from the various sensors and monitors **820**, **310**, **320**, **330** which in the illustration occurs at the output of the correlating data, identifying and quantifying hazards step **860**. For instance, the detecting of objects **826** may be performed by the processing of sensed data in any or all of sensors #1 to N or by processing sensed data from any or all of sensors #1 to N in processor **3120**. Similarly, external data and anomaly data from various sensors and monitors **310**, **320**, **330**, may be combined and integrated in step **840**, in step **850**, in step **860**, or equivalently in a single or different step, as indicated, e.g., by the parenthetical statement in step **850** and the dashed arrows in the path designated by encircled letters A.

[0111] Most importantly, the combined, integrated correlated data and any identified hazards **840**-**860** are transmitted using local communication links for a distance from wayside unit **310** sufficient to provide **864** hazard data alerts and warnings to an approaching positive train control unit **100** indicating whether there is a hazard, e.g., an object on the track or a switch or track anomaly. In addition, and optionally, but preferably, the combined, integrated correlated data and any identified hazards **840**-**860** may also be transmitted and reported **862**, e.g., to a central control and/or operations location.

[0112] FIGS. **9**A and **9**B which are schematic plan views of a an example of a switch **60**S having an example embodiment of a switch monitor **320** therewith and an enlarged view thereof, respectively. Example switch monitor **320** senses the position of the switch rail and provides a separate independent positive indication that the switch has completely transferred to supplement the conventional switch interlock signaling and optionally, but preferably, be in communication with the electrical interlock signaling electronics to improve the integrity of the indications it provides. Any condition where the physical spacing and/or alignment and/or completeness of a transfer of a switch rail of a track way is not within prescribed configuration and/or tolerances is referred to herein as an anomaly of the track way.

[0113] Switch **60**S has a pair of "entry" stock rails **60**R that branch to one or the other of stock rails **60**R of a "straight" track and a "branch track." A typical switch **60**S includes various rails, e.g., closure rails, wing rails, check rails, a knuckle, as is known for a conventional railroad switch. Within switch **60**S, one closure rail **60**C cooperates with an opposing stock rail **60**R and the other closure rail **60**C cooperates with another opposing stock rail **60**R to provide respective pairs of spaced apart rails upon which a train rides over switch **60**S. The pair of switch closure rails **60**C are movable

so that the respective movable ends thereof become very close to one or the other of the "entry" stock rails **60**R to effect the switching action while the opposite ends thereof are pivotable about a pivot adjacent to the ends of the closure rails nearest to the "entry" end of switch **60**S.

[0114] One or more switch monitor sensors **322** are mounted to one or both stock rails proximate the location whereat the movable ends of switch rails **60**C become closely adjacent, and preferably abut the stock rails **60**R. Sensor **322** includes a movable mechanical member **324**, e.g., a connecting rod or bar **324**, that at one end is attached to the movable end of switch rail **60**C and at the other end is movable within a housing including sensor **322** to represent the movement and position of switch rail **60**C. Sensor **322** is preferably housed within a weather tight housing or enclosure to protect it from the elements, and may include a heat source, e.g., an electrical heater where local power is available, so that ice and snow do not adversely affect its operation. Movable member **324** may be slidably enclosed in a telescoping shield and/or otherwise shielded from the elements, particularly ice and snow.

[0115] Within sensor **322** is a sensing arrangement for sensing the physical position of member **324** and thereby the position of switch rails **60**C relative to the stock rails to which they are intended to become closely adjacent or abut. Examples of such sensing arrangements are described below. Member **324** may be attached to switch rail **60**C by a pivotable joint so that the other end thereof moves in a desired geometric pattern relative to the sensor **322** sensing arrangement.

[0116] Because of the small dimension of the physical distances in the position of switch rail **60**C relative to stock rail **60**R that may be detected by switch sensor **320**, **322**, the physical switch data it senses and detects may be utilized to evaluate the operational tolerances and integrity of the switch **60**S to thereby enable investigation, maintenance and/or repair before the gap between physical switch rail **60**C and stock rail **60**R at switch closure becomes beyond specifications and a risk to safety.

[0117] As noted herein, switch monitor **320** includes one or more communication transmitters that communicate switch data to positive train control units **100** attached to trains, to wayside monitors **310**, and/or to a central monitoring location, thereby to make the sensed data available to a train **50** for evaluating the need for a safety action to be taken.

[0118] FIG. **10** is a schematic plan view of an example of a track way **60** having an example embodiment of a track monitor **330** therewith. Example track monitor **330** senses and provides a separate independent positive indication that the physical spacing and alignment of rails **60**R are within prescribed tolerances. Any condition where the physical spacing and/or alignment of a track way and/or of the rails of a track way is not within a prescribed configuration and/or tolerances is referred to herein as an anomaly of the track way.

[0119] One or more track monitors **330** are mounted to one or both rails **60**R at spaced apart locations along track **60** whereat the likelihood of rails **60**R becoming distorted or mis-aligned is higher than normal. Example track monitor sensor **332** includes a movable mechanical member **334**, e.g., a connecting rod or bar **334**, that at one end is attached to one rail **60**R, preferably at the inside thereof, and at the other end is movable within a housing including sensor **332** to represent the relative movement and position of one rail **60**R in relation to the other parallel rail **60**R.

[0120] Sensor **332** is preferably mounted a distance longitudinally along track **60** from the end of connecting member **334** that is attached to the one rail **60**R such that there is a substantial angle between connecting bar **334** and cross ties **60**T. Preferably, that distance is selected to provide an angle of between about 30° and about 60°, and preferably about 45°, between rails **60**R and connecting member **334**, and between cross-ties **60**T and connecting member **334**; thus member **334** typically spans about 2-3 cross ties **60**T. With track monitor **330** monitoring points on rails **60**R that are separated transversely by the track gage width and longitudinally by a length of track **60** of similar dimension, distortion and misalignment of rails **60**R in both longitudinal and transverse directions can be monitored, thereby to provide greater sensitivity to relative movement of the two rails **60**R than might otherwise be provided.

[0121] Sensor **332** is preferably housed within a weather tight housing or enclosure to protect it from the elements, and may include a heat source, e.g., an electrical heater where local power is available, so that ice and snow do not adversely affect its operation. Movable member **334** may be slidably enclosed in a telescoping shield and/or otherwise shielded from the elements, particularly ice and snow.

[0122] Within sensor **332** is a sensing arrangement for sensing the physical position of member **334** and thereby the relative positions of parallel rails **60**R relative to each other to which they are intended to be and remain parallel. Examples of such sensing arrangements are described below. Member **334** may be attached to rail **60**R by a pivotable joint so that the other end thereof moves in a desired geometric pattern relative to the sensor **332** sensing arrangement.

[0123] Because of the small dimension of the physical distances in the relative positions of rails **60**R that may be detected by track sensor **330**, **332**, the physical track data it senses and detects may be utilized to evaluate the operational tolerances and integrity of the track **60** to thereby enable investigation, maintenance and/or repair before the distortion and/or misalignment thereof becomes beyond specifications and a risk to safety.

[0124] As noted herein, track monitor **330** includes one or more communication transmitters that communicate switch data to positive train control units **100** attached to trains, to wayside monitors **310**, and/or to a central monitoring location, thereby to make the sensed data available to a train **50** for evaluating the need for a safety action to be taken

[0125] FIGS. **11**A-**11**B and **12**A-**12**C illustrate various example embodiments of different sensors **322**, **332** that may be utilized with, e.g., switch monitors **320** and track monitors **330**, as well as with other monitors, even though the description herein will usually only refer to one of the different monitors.

[0126] FIGS. **11**A and **11**B are schematic plan views of examples of respective electrical contact based monitor sensors **322**, **332**. The example sensor **322** of FIG. **11**A has a long resistive element **326**R that may be a strip of resistive material or a series connection of a plurality of discrete resistors, as may be desirable or convenient. Extending substantially perpendicularly from resistive element **326**R and electrically connected thereto are a plurality of spaced apart electrically conductive strips **326**C that are substantially parallel to each other.

[0127] A probe **324**P, **334**P attached to member **324** moves in a direction generally parallel to resistive element **326**R as member **324** moves, e.g., in response to movement of a switch

rail **60**C or the relative movement of two parallel rails **60**R. Thus movement of member **324** moves probe **324**P across the conductive elements **326**C in a direction generally parallel to the resistive element **326**R, as indicated by the double-ended arrow. Given that the distribution of resistance along the length of resistive element **326**R is known, then the resistance between probe **324**P and either end of resistive element **326**R is representative of the position of probe **324**P relative to resistive element **326**R, and so measuring that resistance provides an indication of which of conductive elements **326**C probe **324**P is in electrical contact with and therefor the physical position of probe **324**P and member **324**.

[0128] That resistance may be measured either directly, e.g., by an ohmmeter or its equivalent, or indirectly, e.g., by applying a voltage across or a current through resistive element **326**R and measuring the voltage at probe **324**P, or by applying a voltage between or a current through probe **324**P and one end of resistive element **326**R and measuring the voltage at the other end of resistive element **3268**, wherein such measurements may be made by an analog to digital converter or other known device. Any suitable measuring device and/or technique with a resolution and accuracy that can reliably and repeatably distinguish the differences between the resistances to adjacent conductive elements **326**C may be utilized.

[0129] Regarding FIG. **11**B, a pattern of elements **327**C includes a linear pattern of a given number, e.g., **25**, of relatively shorter adjacent central elements **327**CC with corresponding aligned linear patterns of the given number of relatively longer adjacent elements **327**CL and **327**CR on opposite sides thereof. The array of this example is an M×N array of elements **327**C, where M=3 and N=25. Overall, this example array **327**C may be about 114 by 98 mm (about 4.49 by 3.86 inches), and each element **327**CC is about 2 by 10 mm (about 0.08 by 0.4 inch) and each element **327**CL, **327**CR is about 2 by 50 mm (about 0.08 by 1.97 inch). Spaces between adjacent elements is about 2 mm (about 0.08 inch).

[0130] As above, the elements **327**C of this embodiment may be electrically conductive or non-conductive as for use with different kinds of sensor probes. For an electrically connective probe **324**P, elements **327**C may be formed, e.g., on one side of an electrical printed circuit board, with resistive elements and connections on the opposite side thereof.

[0131] The pitch or spacing of elements **326**C, **327**C essentially determines the resolution of sensor **322**, **332**, and may be, e.g., in the range of about 1 to 10 mm (about 0.04 to 0.4 inch). If the pitch of elements **326**C, **327**C is about 1 mm (about 0.04 inch), then that is the resolution of sensor **322**, **332**. When elements **326**C, **327**C are electrically conductive elements **326**C, **327**C, the width and spacing of conductive elements **324**C are typically about the same and the contact area of probe **324**P is preferably at least slightly larger than the spacing between conductive elements **326**C, **327**C so that there are no positions at which there is no connection between probe **324**P and at least one conductive element **326**C, **327**C. One example of probe **324**P has a probe dimension of about 0.9 times the pitch or spacing.

[0132] Probe **324**P may include a fixed contact, e.g., a hemispherical slidable contact, or a movable contact, e.g., a ball or roller bearing, that can "roll" over conductive elements **326**C, **327**C or any other suitable form of slidable or otherwise movable electrical contact for making electrical connection with the element **326**C, **327**C that it physically touches.

[0133] Alternatively, probe **324**P need not make electrical contact with elements **326**C, **327**C in which instance elements **326**C, **327**C need not be electrically conductive. For example, elements **326**C, **327**C may merely be a pattern, texture or other surface feature and probe **324**P may be a conventional computer mouse or its equivalent wherein rotation of a ball indicates physical position and movement, or elements **326**C, **327**C may be optically distinguishable markings, e.g., a pattern of stripes or lines or texturing, and probe **324**P may be a conventional optical computer mouse or its equivalent that detects such markings with high precision to determine physical position and movement.

[0134] While the electrically contacting embodiment of probe **324**P and elements **326**C allows the physical position of member **324** to be determined in one dimension, the M×N array configuration of elements **327**C or the computer mouse embodiments or equivalent embodiments of probe **324**P allow the physical position of member **324** to be determined in two dimensions, which is considered particularly useful for use with the track monitor **330** wherein track distortion may be in two dimensions.

[0135] FIGS. **12**A, **12**B and **12**C which are a schematic plan view of an example embodiment of an optical sensing based monitor sensor **322**, **332**, a schematic block diagram of such optical based monitor sensor **322**, **332**, and a schematic circuit diagram of an optical sensor **322**, **332** usable therewith, respectively.

[0136] Sensor **322**, **332** may be provided on an electrical printed circuit board **323** which includes the electronic and mechanical components thereof. An array of optical sensing elements **328** may be provided for detecting the physical position of member **324**, **334**, e.g., as it moves with a switch rail **60**C or parallel rails **60**R. Typically, optical sensors **328** would be closely adjacent each other so as to provide closer resolution and probe **324**P may be elongated so as to probe plural ones of optical sensors **328** and/or to have an array or pattern of reflective and/or transmissive sensing locations thereon. Seven optical sensors **328** are presently thought to provide suitable resolution, e.g., about 0.5 mm (about 0.02 inch) or less, for a switch monitor **320**, however, a greater or lesser number may be utilized.

[0137] Optical sensors **328** include one or more light emitting diodes (LEDs) and a plurality of optical detectors, e.g., photo-diodes PD**1**-PD**4**, that are positioned to respond to the light emitted by the one or more LEDs, e.g., as reflected or transmitted by a sensing probe adjacent thereto. Therein, the outputs from photo-diodes PD**1**-PD**4** are amplified by respective amplifiers "Amp." and detected by respective comparators to produce output signals VoA and VoB. Sensors **328** are powered by voltage Vcc, e.g., 2.7-5.5 VDC, and the LED thereof is powered by a current applied from its anode to its cathode.

[0138] Optical sensors **328** may be of a reflective type wherein an external element, e.g., probe **324**P, has a patterned reflective surface that is movable and adjacent to sensors **328** so as to selectively reflect light emitted by the LED towards photo-diodes PD**1**-PD**4** from which sensor **328** determines the physical position of member **324**, **334** to which probe **324**P is attached. Optical sensors **328** may be of an interruptive type wherein an external element, e.g., probe **324**P, has a patterned array of one or more openings that is movable in a gap space of sensors **328** so as to selectively block and pass light emitted by the LED towards photo-diodes PD**1**-PD**4** from which sensor **328** determines the physical position of

member **324**, **334** to which probe **324**P is attached. The patterning of the reflective surface and/or the array of openings in probe **324**P may be arranged to encode positions on a closer (finer) resolution than is the spacing or pitch of the optical sensors **328**.

[0139] The respective outputs VoA, VoB of plural optical sensors **328-1** through **328**-N are combined, e.g., scanned and/or multiplexed and/or processed, either by an analog processor or a digital or a digital processor, e.g., by an analog switch **327**, e.g., a 14 times multiplexer, or by a microprocessor **327**, and are provided to wireless micro-controller unit (MCU) **329** which modulates and transmits the sensed data representing the physical position of member **324**, **334** to nearby positive train control units **100** and/or to nearby wayside units **310** and/or to more remote locations, e.g., a central control location, via one or more communication links, e.g., of the types described herein. The capacity of analog switch **327** and MCU **329** may be increased by additional data channels provided by an serial peripheral interface (SPI) expansion device **329** I/O that provides additional input and/or output (I/O) slots.

[0140] Sensor **322**, **332** is powered by power source **332**B which may include an external power source, a battery and/or a solar cell power supply **322**SA. An example 3.6 VDC Lithium battery, e.g., of 2000 mA-Hr or higher capacity, is suitable to provide a desirable 3.3 VDC operating voltage.

[0141] In a typical embodiment, at least a combination of plural sensors selected from among the suitable visible and infrared imaging systems, laser ranging systems, acoustic ranging systems, and/or Doppler radar and ranging systems, are employed for detecting the presence of objects within the field of view and range of the sensors, which preferably includes ranges of about 100 meters up to 5000 meters (5 Km), to allow for adequate time for detection, processing and for initiating warnings, braking stopping, emergency stopping, and other appropriate corrections and actions.

[0142] An example of a suitable visible imager or sensor **112** includes, e.g., a NORIRHJK-**2**C CCD and thermal surveillance system, which is available from North Night Vision Science & Technology Group Corp, located in Yunnan, China.

[0143] An example of a suitable infrared imager or sensor **114** includes, e.g., model JIR-3031 and JIR-3031A digital cameras available from JIR company located in Hubei, China, and through Alibaba.com. These digital IR cameras have an about 37°×28° field of view, can sense through fog and precipitation and without visible illumination, and operate from a 12-24 VDC power supply (as may be available in a vehicle).

[0144] Another example includes the types IP-ELR320, IP-ELR775 and IP-ELR775X night vision IR camera system which can detect a car-size object at respective ranges of 2500 meters (day) and 1500 meters (night), 5000 meters (day and 2500 meters (night, and 8000 meters (day) and 2500 meters (night), can detect human-size objects at respective ranges of 1500 meters (day) and 900 meters (night), 2000 meters (day) and 1200 meters (night), and 4000 meters (day) and 1500 meters (night), and can employ an 808 nm IR illuminator, and are available from Kintronics, Inc., located in Ossining, N.Y.

[0145] Further examples include the Sigma Series PTZ laser diode IR illuminating and imaging equipment available from Ascendent Technology Group of Cranbrook, British Columbia, Canada, and the Lynceus™ ISN and ISA series of vision and IR laser illuminated night vision camera systems available from Kaya Optics, Inc. located in Tokyo, Japan.

[0146] Examples of a suitable Doppler radar sensor **116** include, e.g., types KR-1338C and KR-1668C marine radars available from Bochi of Changqing, China, and model S66 radar available through Alibaba.com.

[0147] An example of a suitable laser ranging sensor **118** includes, e.g., AIGERZYT-LLS-81-X, which is available from Beijing Zhong Yuan Tong Science & Technology Co., Ltd. located in Beijing, China.

[0148] An example of a suitable acoustic ranging sensor **122** includes, e.g., the Acoustic Ranger 5000, which is available from Phoenix Inspection Systems, Ltd. located in Warrington, United Kingdom.

[0149] The data sensors, processing and communication of various control and monitor units herein may employ similar components and configurations to, e.g., those of the ZONER™ RFID devices and/or the RELAYER™ RFID readers and communication relays, and similar devices, as described in U.S. patent application Ser. No. 11/198,711 filed Aug. 5, 2005 and entitled "Object Monitoring, Locating and Tracking Device Employing Active RFID Devices" which issued as U.S. Pat. No. 7,319,397, and may be operated similarly to the devices described in U.S. patent application Ser. No. 11/749,996 filed May 5, 2007 and entitled "System and Method for Operating a Synchronized Wireless Network" which issued as U.S. Pat. No. 8,174,383, each of which is hereby incorporated herein by reference in its entirety for any and all purposes.

[0150] A positive train control unit **100** mountable on a train **50** movable on a track way **60** may comprise: a plurality of different sensors **110** selected from the group including a visual imager **112**, an infrared imager **114**, a radar **116**, a Doppler radar **116**, a laser sensor **118**, a laser ranging device **118**, an acoustic sensor **122**, and an acoustic ranging device **122**, the plurality of different sensors **110** having respective fields of view sensing in a predetermined forward looking direction along the track way **60** from the train **50**; a locating device **130** including a global positioning device **132**, an inertial navigation device **134**, or both a global positioning device **132** and an inertial navigation device **134**, that independently determines the location of the positive train control unit **100** and represents the location as location data; a processor **120**, P to which the plurality of different sensors **110** and the locating device **130** are coupled for receiving the data sensed thereby, wherein the processor **120**, P associates the data sensed by the plurality of different sensors **110** with location data and with time data corresponding to a location and a time at which such data is acquired, whereby such data is geo-tagged and time-tagged to the location and time at which it is acquired; a data receiver **140** configured to receive data from a track monitor, or from a switch monitor, or from a wayside monitor, or from a combination thereof, and to couple that data to the processor **120**; the processor **120** determining from the data sensed by the plurality of different sensors **110**, from the location data, and from data received by the data receiver **140**, the location, speed and direction of the positive train control unit **100** relative to predetermined track way **60** configuration data and a train routing order; the processor **120** determining from the data sensed by the plurality of different sensors **110** whether there is an object on the track way **60** forward in the direction of travel of the positive train control unit **100**; the processor **120** determining from data received by the data receiver **140** whether there is an anomaly

the track way **60** proximate forward in the direction of travel of the positive train control unit **100**; and (1) if the processor **120** determines that the location, speed and/or direction of the positive train control unit **100** differs from the location, speed and/or direction defined in the train routing order, or (2) if the processor **120** determines that an object is in the track way **60** forward of the positive train control unit **100**, or (3) if the processor **120** determines that there is an anomaly in the track way **60** forward of the positive train control unit **100**, or (4) if the processor **120** determines any combination of (1), (2) and (3), then the processor **120** communicates an alert to an alerting device **200** or communicates a control signal to a train control **220** to at least adjust the speed of the train **50** on which the positive train control unit **100** is mounted or both. The positive train control unit **100** may further comprise: a communication device **140**, **160** configured to receive the routing order data and the track way **60** configuration data from an external source and to couple that data to the processor **120**. The positive train control unit **100** may further comprise: a communication device **160** configured to communicate data from the plurality of different forward looking sensors **110**, or location data from the global positioning device **130**, **132** and from the inertial navigation device **130**, **134**, or data received by the data receiver **140** including data from the track monitor **330**, from the switch monitor **320**, and/or from the wayside monitor **310**, to a central train control facility **462**. The data from the plurality of different forward looking sensors **110** and the data received by the data receiver **140** including data from the track monitor **330**, from the switch monitor **320**, and/or from the wayside monitor **310**, may be geo-tagged and time-tagged. The track monitor **330** may include a sensor **332** monitoring rail spacing, distortion and/or integrity; or the switch monitor **320** may include a sensor **322** monitoring switch position and switch closure to a fully transferred position; or the wayside monitor **310** may include a plurality of different sensors **110**, **312** selected from the group including a visual imager **3112**, an infrared imager **3114**, a radar **3116**, a doppler radar **3116**, a laser sensor **3118**, a laser ranging device **3118**, an acoustic sensor **3122**, and an acoustic ranging device **3122**, for detecting an object on a track way **60** proximate the wayside monitor **310**; or any combination thereof. The control signal to a train control **220** may at least reduce the speed of the train **50** on which the positive train control unit **100** is mounted, and/or may cause the train control **220** to reduce the speed of the train **50** and/or to stop the train **50** in accordance with a predetermined speed reduction profile or with a predetermined safe emergency speed reduction profile, or both. The positive train control unit **100** may further comprise: a locating device **230** mountable at an end of the train **50** remote from the positive train control unit **100**, the locating device **230** when mounted at the remote end of the train providing location data of the remote end of the train to the processor **120**; and the processor **120** determining the length of the train 0 by comparing the location data from the locating device **230** and the location data from the global positioning device **130**, **132** or from the inertial navigation device **130**, **132** or from both. The processor **120** may communicate an alert to an alerting device **210** or communicates a control signal to a train control **220** at least to reduce the speed of the train **50** on which the positive train control unit **100** is mounted, or both, in response to the length of the train changing by more than a predetermined difference in length. The locating device **230** mountable at an end of the train **50** remote from the positive train control unit **100** may include a global positioning device **130**, **132**, an inertial navigation device **130**, **134**, or both a global positioning device **130**, **132** and an inertial navigation device **130**, **134**.

[0151] A positive train control unit **100**, **310** for a track way **60** may comprise: a plurality of different sensors **110**, **312**, selected from the group including a visual imager **3112**, an infrared imager **3114**, a radar **3116**, a doppler radar **3116**, a laser sensor **3118**, a laser ranging device **3118**, an acoustic sensor **3122**, and an acoustic ranging device **3122**, the plurality of different sensors **110**, **312** having respective fields of view sensing at least in a predetermined direction along the track way **60**; a first device **130**, **3140** providing a representation of the location of the positive train control unit **100**, **310** as location data; a processor **3120** to which the plurality of different sensors **110**, **312** and the first device **130**, **3140** are coupled for receiving the data sensed thereby, wherein the processor **3120** associates the data sensed by the plurality of different sensors **110**, **312** with location data and with time data corresponding to a location and a time at which such data is acquired, whereby such data is geo-tagged and time-tagged to the location and time at which it is acquired; a data receiver **3140** configured to receive data from a track monitor **330**, or from a switch monitor **320**, or from a wayside monitor **310**, or from a combination thereof, and to couple that data to the processor **3120**; a communication device **3160** configured to communicate at least along the track way **60** proximate the positive train control unit **100**, **310**; the processor **3120** determining from the data sensed by the plurality of different sensors **110**, **312**, from the location data, and from data received by the data receiver **3140**, the location of the positive train control unit **100**, **310** relative to predetermined track way **60** configuration data; the processor **3120** determining from the data sensed by the plurality of different sensors **110**, **312** whether there is an object on the track way **60** proximate the positive train control unit **100**, **310**; and the processor **3120** determining from data received by the data receiver **3140** whether there is an anomaly the track way **60** proximate the positive train control unit **100**, **310**; and (1) if the processor **3120** determines that an object is in the track way **60** proximate the positive train control unit **100**, **310**, or (2) if the processor **3120** determines that there is an anomaly in the track way **60** proximate the positive train control unit **100**, **310**, or (3) if the processor **3120** determines any combination of (1) and (2), then the processor **3120** causes the communication device **3140**, **3160** to communicate an alert of an object in the track way **60**, of an anomaly in the track way **60**, or of both, whereby a train **50** that is proximate the positive train control unit **100**, **310** is alerted to such object and/or anomaly in the track way **60** so that the speed of an approaching train **50** can be adjusted. The first device **130**, **3140** providing a representation of the location of the positive train control unit **100**, **310** may include: a global positioning device **3132** that determines the location of the positive train control unit **100**, **310** and that represents the location as location data; or a memory **3120** storing a predetermined location of the positive train control unit **100**, **310** as location data; or a global positioning device **3132** that determines the location of the positive train control unit **100**, **310** and that represents the location as location data and a memory **3120** storing a predetermined location of the positive train control unit **100**, **310** as location data. The communication device **3140**, **3160** may be configured to receive track way **60** configuration data from an external source and to couple that data to the processor **3120**. The communication device **3140**, **3160** may be configured to

communicate data from the plurality of different sensors **110**, **312**, location data and data received by the data receiver **3140** to a central train control facility **462**. The data from the plurality of different sensors **110**, **312** and data received by the data receiver **3140** may include data from a track monitor **330**, from a switch monitor **320**, and/or from a wayside monitor **310**, may be geo-tagged and time-tagged. The positive train control unit **100**, **310** may be in combination with: a track monitor **330** including a sensor **332** monitoring rail spacing, distortion and/or integrity; or a switch monitor **320** including a sensor **322** monitoring switch position and switch closure to a fully transferred position; or a wayside monitor **310**; or any combination thereof; and wherein data sensed by the track monitor **330** or by the switch monitor **320** or by the wayside monitor **310** or by any combination thereof is communicated to the processor **3120**. The data from the plurality of different sensors **110**, **312** and the data received by the data receiver **3140** including data from the track monitor **330**, from the switch monitor **320**, and/or from the wayside monitor **310**, may be geo-tagged and time-tagged. The control signal for activating a train control **220** on a train **50** may cause the train control **220** to reduce the speed of the train **50** and/or stop the train **50** in accordance with a predetermined speed reduction profile or with a predetermined safe emergency speed reduction profile, or both. A crossing **62** of the track way **60** may be within the respective fields of view of the plurality of different sensors **110**, **312**, whereby vehicles **64** and other objects **64** on or crossing the track way **60** are identified by the positive train control unit **100**, **310** and are communicated by the communication device **3140**, **3160**.

[0152] A positive train control unit **100**, **310** for a track way **60** crossing may comprise: a plurality of different sensors **110**, **312** selected from the group including a visual imager **3112**, an infrared imager **3114**, a radar **3116**, a doppler radar **3116**, a laser sensor **3118**, a laser ranging device **3118**, an acoustic sensor **3122**, and an acoustic ranging device **3122**, the plurality of different sensors **110**, **312** having respective fields of view sensing at least in a predetermined direction along the track way **60** including a crossing **62** thereof; a first device **130**, **3140** providing a representation of the location of the positive train control unit **100**, **310** as location data; a processor **3120** to which the plurality of different sensors **110**, **312** and the first device **130**, **3140** are coupled for receiving the data sensed thereby, wherein the processor **3120** associates the data sensed by the plurality of different sensors **110**, **312** with location data and with time data corresponding to a location and a time at which such data is acquired, whereby such data is geo-tagged and time-tagged to the location and time at which it is acquired; a data receiver **3140**, **3160** configured to receive data from a track monitor **330**, or from a switch monitor **320**, or from a wayside monitor **310**, or from a combination thereof, and to couple that data to the processor **3120**; a communication device **3140**, **3160** configured to communicate at least along the track way **60** proximate the positive train control unit **100**, **310**; the processor **3120** determining from the data sensed by the plurality of different sensors **110**, **312**, from the location data, and from data received by the data receiver **3140**, the location of the positive train control unit **100**, **310** relative to predetermined track way **60** configuration data; the processor **3120** determining from the data sensed by the plurality of different sensors **110**, **312** whether there is an object **64** on the track way **60** and/or the crossing **62** thereof proximate the positive train control unit **100**, **310**; and the processor **3120** determining from data received by the data receiver **3140**, **3160** whether there is an anomaly the track way **60** proximate the positive train control unit **100**, **310**; and (1) if the processor **3120** determines that an object **64** is in the track way **60** and/or the crossing **62** thereof proximate the positive train control unit **100**, **310**, or (2) if the processor **3120** determines that there is an anomaly in the track way **60** proximate the positive train control unit **100**, **310**, or (3) if the processor **3120** determines any combination of (1) and (2), then the processor **3120** causes the communication device **3140**, **3160** to communicate an alert of an object in the track way **60**, of an anomaly in the track way **60**, or of both, whereby a train **50** that is proximate the positive train control unit **100**, **310** is alerted to such object **64** and/or anomaly in the track way **60** and/or the crossing **62** thereof so that the speed of an approaching train **50** can be adjusted. The first device **130**, **3140** providing a representation of the location of the positive train control unit **100**, **310** may include: a global positioning device **3132** that determines the location of the positive train control unit **100**, **310** and that represents the location as location data; or a memory storing **3120** a predetermined location of the positive train control unit **100**, **310** as location data; or a global positioning device **3132** that determines the location of the positive train control unit **100**, **310** and that represents the location as location data and a memory **3120** storing a predetermined location of the positive train control unit **100**, **310** as location data. The positive train control unit **100**, **310** may further comprise a data receiver **3140** configured to receive data from a track monitor **330**, or from a switch monitor **320**, or from a track monitor **330** and a switch monitor **320**, and to couple that data to the processor **3120**. The positive train control unit **100**, **310** may further comprise: a communication device **3140**, **3160** configured to receive track way **60** configuration data from an external source and to couple that data to the processor **3120**. The communication device **3140**, **3160** may be configured to communicate data from the plurality of different sensors **110**, **312** and location data to a central train control facility **462**. The positive train control unit **100**, **310** may be in combination with: a track monitor **330** including a sensor **332** monitoring rail spacing, distortion and/or integrity; or a switch monitor **320** including a sensor **322** monitoring switch position and switch closure to a fully transferred position; or a wayside monitor **310**; or any combination thereof; and wherein data sensed by the track monitor **330** or by the switch monitor **320** or by the wayside monitor **310** or by any combination thereof is communicated to the processor **3120**. The data from the plurality of different sensors **110**, **312** and the data received by the data receiver **3140**, **3160** including data from the track monitor **330**, from the switch monitor **320**, and/or from the wayside monitor **310**, may be geo-tagged and time-tagged. The control signal for activating a train control **220** on a train **50** may cause the train control **220** to reduce the speed of the train **50** and/or stop the train **50** in accordance with a predetermined speed reduction profile or with a predetermined safe emergency speed reduction profile, or both.

[0153] A positive train control unit **100**, **320**, **330** for a track way **60** may comprise: an elongated member **324**, **334** attached at a first end to a first rail of a track way **60** and having a second end; a probe **324P**, **334P** near the second end of the elongated member **324**, **334** at a predetermined distance from the first end thereof; a sensor device **322**, **332** attached to a second rail of the track way **60**, the second end of the elongated member **324**, **334** extending into the sensor device **322**, **332**, the sensor device **322**, **332** including a position sensor

324P, **334**P, **326**, **328** for sensing the position of the probe **324**P, **334**P, the position sensor **324**P, **334**P, **326**, **328** including: a surface having a pattern **326**, **328** thereon wherein the surface **326**, **328** pattern defines positions in one dimension or in two dimensions relative to the sensor device **322**, **332**; wherein the probe **324**P, **334**P near the second end of the elongated member **324**, **334** and the surface pattern **326**, **328** of the position sensor **324**P, **334**P, **326**, **328** cooperate to determine the relative position of the probe **324**P, **334**P and the surface pattern **26**, **328**, and thereby to determine the position of the elongated member **324**, **334** relative to the sensor device **322**, **332**; whereby the probe **324**P, **334**P of the elongated member **324**, **334** and the surface pattern **326**, **328** of the position sensor **324**P, **334**P, **326**, **328** cooperate to define the position of the first rail relative to the second rail. The first rail and the second rail may include a stock rail **60**R and a switch rail **60**C of a switch **60**S, and the relative position determined by the probe **324**P. **334**P and the surface pattern **326**, **328** is that of the switch rail **60**C relative to the stock rail **60**R. The first rail and the second rail may include a pair of substantially parallel stock rails, and the relative position determined by the probe and the surface pattern is that of the first stock rail relative to the second stock rail. The elongated member **324**, **334** may be attached to the first stock rail **60**R at a location that is displaced longitudinally along the track way **60** relative to the location at which the sensor device **322**, **332** is attached to the second stock rail **60**R, whereby the relative position determined by the probe **324**P, **326**P and the surface pattern **326**, **328** represents separation of the first and second stock rails **60**R, longitudinal displacement of the first stock rail **60**R relative to the second stock rail **60**R, or both. The surface pattern **326**, **328** may include a plurality of adjacent electrically conductive contacts **326**C in predetermined locations on the surface, and wherein the probe **324**P, **326**P includes an electrical contact configured to make electrical contact with ones of the plurality of electrically conductive contacts **324**P, **326**C of the surface pattern **326**, **328**, whereby the position of the probe **324**P, **334**P relative to the surface pattern **326**, **328** is indicated by the one of the plurality of electrically conductive contacts **324**P, **326**C that is in electrical contact with the probe **324**P, **334**P. The surface pattern **326**, **328** may include a plurality of adjacent discernable areas in adjacent locations on the surface, and wherein the probe **324**P, **334**P includes movement sensing elements of a computer mouse configured to discern the discernable areas, whereby the position of the probe **324**P, **334**P relative to the surface pattern **326**, **328** is indicated by movement of the operable elements of the computer mouse relative to the plurality of discernable areas. The surface pattern **326**, **328** may include a plurality of adjacent physically discernable features on the surface, and the probe **324**P, **334**P may include movement sensing elements of a rolling ball type of computer mouse; or the surface pattern **326**, **328** may include a plurality of adjacent optically discernable features on the surface, and the probe **324**P, **334**P may include movement sensing elements of an optical type of computer mouse. The pattern **326**, **328** on the surface of the position detector **322**, **332** may include a plurality of photo-detectors **328** in adjacent locations on the surface, the position sensor including and at least one light emitter, and the probe **324**P, **334**P may include: one or more optically reflective areas positioned on the probe **324**P, **334**P to reflect light from the light emitter towards the plurality of photo-detectors **328**, whereby the position of the one or more optically reflective areas of the probe **324**P, **334**P relative to the plurality of photo-detectors **328** indicates the position of the probe **324**P, **334**P relative to the sensor device **322**, **332**; or one or more optically transmissive features positioned on the probe **324**P, **334**P to permit transmission of light from the light emitter towards the plurality of photo-detectors **328**, whereby the position of the one or more optically transmissive features of the probe **324**P, **334**P relative to the plurality of photo-detectors **328** indicates the position of the probe **324**P, **334**P relative to the sensor device **322**, **332**; or a combination thereof. The probe **324**P, **334**P may include a baffle and the one or more optically transmissive features may be provided by one or more apertures through the baffle.

[0154] A positive train control method **400**, **800** for a train **50** movable on a track way **60** may comprise: receiving sensor data from a plurality of different sensors **110**, **312** selected from the group including a visual imager **112**, **3112**, an infrared imager **114**, **3114**, a radar **116**, **3116**, a doppler radar **116**, **3116**, a laser sensor **118**, **3118**, a laser ranging device **118**, **3118**, an acoustic sensor **122**, **3122**, and an acoustic ranging device **122**, **3122**, the plurality of different sensors **110**, **312** having respective fields of view sensing in a predetermined forward looking direction along the track way **60** from the train **50**; receiving location data from a locating device **130** including a global positioning device **132**, an inertial navigation device **134**, or both a global positioning device **132** and an inertial navigation device **134**, that independently determines the location of the train **50**; associating the sensor data received from the plurality of different sensors **110**, **312** with location data and with time data corresponding to a location and a time at which such data is acquired, whereby such sensor data is geo-tagged and time-tagged to the location and time at which it is acquired; receiving data from a track monitor **330**, or from a switch monitor **320**, or from a wayside monitor **310**, or from a combination thereof, if within range of such track monitor **330**, switch monitor **320**, wayside monitor **310**, or combination thereof; determining from the sensor data sensed by the plurality of different sensors **110**, **312** and from the location data, the location, speed and direction of the train **50** relative to predetermined track way configuration data and a train routing order; determining from the sensor data sensed by the plurality of different sensors **110**, **312** whether there is an object on the track way **60** forward in the direction of travel of the train **50**; determining from data received from such track monitor **330**, switch monitor **320**, wayside monitor **310**, or combination thereof, whether there is an anomaly in the track way **60** proximate forward in the direction of travel of the train **50**; and (1) if determined that the location, speed and/or direction of the train **50** differs from the location, speed and/or direction defined in the train routing order, or (2) if determined that an object is in the track way **60** forward of the train **50**, or (3) if determined that there is an anomaly in the track way **60** forward of the train **50**, or (4) if any combination of (1), (2) and (3) is determined, then communicating an alert to an alerting device **200**, **210** or communicating a control signal for a train control **200**, **220**, or both. The positive train control method **400**, **800** may further comprise: receiving train routing order data and track way configuration data from an external source. The positive train control method **400**, **800** may further comprise: communicating sensor data from the plurality of different forward looking sensors **110**, **312**, or location data from the global positioning device **130**, **132** and from the inertial navigation device **130**, **134**, or data received from the track monitor **330**, from the switch monitor **320**, and/or from the wayside monitor **310**, to

a central train control facility. The data from the plurality of different forward looking sensors **110**, **312** and data received from the track monitor **330**, from the switch monitor **320**, and/or from the wayside monitor **310**, are geo-tagged and time-tagged. The control signal communicated for a train control **200**, **220** causes the train control **220** to reduce the speed of the train **50** and/or to stop the train **50** in accordance with a predetermined speed reduction profile or with a predetermined safe emergency speed reduction profile, or both. When the location data is for a first end **52**, **100** of the train **50**, the method **400**, **800** may further comprise: receiving location data for a second end **230** of the train **50** that is remote from the first end **52**, **100** of the train **50**; determining the length of the train **50** by comparing the location data for the first end **52**, **100** of the train **50** and the location data for the second end **230** of the train **50**; and if the length of the train **50** changes by more than a predetermined difference in length, then: communicating an alert to an alerting device **200**, **210** or communicating a control signal to a train control **200**, **220** to at least to reduce the speed of the train **50**, or both.

[0155] As used herein, the term "about" means that dimensions, sizes, formulations, parameters, shapes and other quantities and characteristics are not and need not be exact, but may be approximate and/or larger or smaller, as desired, reflecting tolerances, conversion factors, rounding off, measurement error and the like, and other factors known to those of skill in the art. In general, a dimension, size, formulation, parameter, shape or other quantity or characteristic is "about" or "approximate" whether or not expressly stated to be such. It is noted that embodiments of very different sizes, shapes and dimensions may employ the described arrangements.

[0156] As used herein a "train" is intended to include any vehicle or vehicles that are movable on or along a "track" or "track way," irrespective of the length of the "track way," including but not limited to, a railroad train of one or more carriages and/or one or more locomotive units, whether integral to one or more carriages or separate therefrom, and irrespective of the service engaged in whether long distance, regional, local and/or commuter, passenger and/or freight, tracked and trackless trolleys, monorail vehicles, light rail vehicles, magnetically levitated vehicles, motor vehicles, autonomous vehicles, and any other similar vehicles. A train may be operated autonomously, with or without human backup, or by an engineer or other on-board operator, or by an operator or other controller located remotely from the train, or by any combination thereof.

[0157] As used herein, a "track" or "track way" is intended to include any way or structure that guides or otherwise limits the degrees of freedom of the travel of a "train" thereon, including but not limited to, a track, a railway whether of one or two or more rails, railways of standard, narrow or any other gauge, a guide and/or guideway, an electrically controlled guideway, a magnetically levitated guide and/or guideway, a road and/or roadway, an electrically controlled road and/or roadway, a monorail, a canal, a channel, and the like. Typically, a "track" or "track way" limits or is intended to limit movement of a train substantially to one dimension, e.g., forward and backward, although there may be limited permitted movement in another dimension, e.g., side-to-side and/or up-and-down. A "track way" is usually referred to herein simply as a "track," and the terms are considered to be substantially equivalent and interchangeable.

[0158] As used herein, "positive train control unit" refers to one or more physical units or modules that contain the various sensors and other equipment thereof as described herein or to the various sensors and other equipment described herein when connected so as to be operable as a positive train control unit as described herein. A collection of connected sensors and other equipment integrated into a train, e.g., into an engine or locomotive therefor, is considered to be a positive train control unit when connected so as to be operable as a positive train control unit as described herein.

[0159] As used herein, an "anomaly" of a rail and/or of a track way includes any condition where the physical spacing and/or alignment and/or completeness of a transfer of a switch rail of a track way is not within prescribed configuration and/or tolerances, or where the physical spacing and/or alignment of a track way and/or of the rails of a track way is not within a prescribed configuration and/or tolerances.

[0160] GPS is used herein to refer to the United States' Global Positioning System and its satellite constellation as well as to any other radio communication based geographical position or location determining and/or navigation systems and aids, including but not limited to the Russian Glonass, the Galileo, the IRNSS and/or the BEIDOU-2 systems.

[0161] Although terms such as "up," "down," "left," "right," "up," "down," "front," "rear," "side," "end," "top," "bottom," "forward," "backward," "under" and/or "over," "vertical," "horizontal," and the like may be used herein as a convenience in describing one or more embodiments and/or uses of the present arrangement, the articles described may be positioned in any desired orientation and/or may be utilized in any desired position and/or orientation. Such terms of position and/or orientation should be understood as being for convenience only, and not as limiting of the invention as claimed.

[0162] Further, what is stated as being "optimum" or "deemed optimum" may or may not be a true optimum condition, but is the condition deemed to be desirable or acceptably "optimum" by virtue of its being selected in accordance with the decision rules and/or criteria defined by the designer and/or applicable controlling function, e.g., the moving block limitation may be adjusted dynamically depending upon weather and other conditions that may affect visibility, precipitation and other wetness that may affect braking ability and/or stopping distances, and any other condition or conditions that may affect operation so as to make dynamic adjustment of the moving block desirable under such condition or conditions.

[0163] The term battery is used herein to refer to an electrochemical device comprising one or more electro-chemical cells and/or fuel cells, and so a battery may include a single cell or plural cells, whether as individual units or as a packaged unit. A battery is one example of a type of an electrical power source suitable for a portable device. Other devices could include fuel cells, super capacitors, solar cells, and the like. Any of the foregoing may be intended for a single use or for being rechargeable or for both

[0164] While the present invention has been described in terms of the foregoing example embodiments, variations within the scope and spirit of the present invention as defined by the claims following will be apparent to those skilled in the art. For example, the number and/or types of sensors **110**, **312** of a positive train control unit **100** and/or of a wayside unit **310** may be augmented in applications wherein there is a need for an additional sensor and/or may be reduced in applications wherein there is no need for a particular sensor.

[0165] Similarly, the types and kinds of communications equipment **140**, **160**, **3140**, **3160** that may be provided may be augmented and/or reduced consistent with the needs and desires applicable to a particular application. For example, if a wayside monitor **310** or switch monitor **320** or track monitor **330** were to be located in a remote location, e.g., far away form other electronic equipment, then only longer range communication devices need be provided

[0166] While certain features may be described as a raised feature, e.g., a ridge, boss, flange, projection or other raised feature, such feature may be positively formed or may be what remains after a recessed feature, e.g., a groove, slot, hole, indentation, recess or other recessed feature, is made. Similarly, while certain features may be described as a recessed feature, e.g., a groove, slot, hole, indentation, recess or other recessed feature, such feature may be positively formed or may be what remains after a raised feature, e.g., a ridge, boss, flange, projection or other raised feature, is made.

[0167] Each of the U.S. Provisional applications, U.S. patent applications, and/or U.S. patents, identified herein is hereby incorporated herein by reference in its entirety, for any purpose and for all purposes irrespective of how it may be referred to or described herein.

[0168] Finally, numerical values stated are typical or example values, are not limiting values, and do not preclude substantially larger and/or substantially smaller values. Values in any given embodiment may be substantially larger and/or may be substantially smaller than the example or typical values stated.

What is claimed is:

1. A positive train control unit mountable on a train movable on a track way comprising:

a plurality of different sensors selected from the group including a visual imager, an infrared imager, a radar, a doppler radar, a laser sensor, a laser ranging device, an acoustic sensor, and an acoustic ranging device, said plurality of different sensors having respective fields of view sensing in a predetermined forward looking direction along the track way from the train;

a locating device including a global positioning device, an inertial navigation device, or both a global positioning device and an inertial navigation device, that independently determines the location of said positive train control unit and represents the location as location data;

a processor to which said plurality of different sensors and said locating device are coupled for receiving the data sensed thereby, wherein said processor associates the data sensed by said plurality of different sensors with location data and with time data corresponding to a location and a time at which such data is acquired, whereby such data is geo-tagged and time-tagged to the location and time at which it is acquired;

a data receiver configured to receive data from a track monitor, or from a switch monitor, or from a wayside monitor, or from a combination thereof, and to couple that data to said processor;

said processor determining from the data sensed by said plurality of different sensors, from the location data, and from data received by said data receiver, the location, speed and direction of said positive train control unit relative to predetermined track way configuration data and a train routing order;

said processor determining from the data sensed by said plurality of different sensors whether there is an object on the track way forward in the direction of travel of said positive train control unit;

said processor determining from data received by said data receiver whether there is an anomaly the track way proximate forward in the direction of travel of said positive train control unit; and

(1) if said processor determines that the location, speed and/or direction of said positive train control unit differs from the location, speed and/or direction defined in the train routing order, or

(2) if said processor determines that an object is in the track way forward of said positive train control unit, or

(3) if said processor determines that there is an anomaly in the track way forward of said positive train control unit, or

(4) if said processor determines any combination of (1), (2) and (3),

then said processor communicates an alert to an alerting device or communicates a control signal to a train control to at least adjust the speed of the train on which said positive train control unit is mounted or both.

2. The positive train control unit of claim **1** further comprising: a communication device configured to receive the routing order data and the track way configuration data from an external source and to couple that data to said processor.

3. The positive train control unit of claim **1** further comprising: a communication device configured to communicate data from the plurality of different forward looking sensors, or location data from the global positioning device and from the inertial navigation device, or data received by the data receiver including data from the track monitor, from the switch monitor, and/or from the wayside monitor, to a central train control facility.

4. The positive train control unit of claim **3** wherein: the data from the plurality of different forward looking sensors and the data received by the data receiver including data from the track monitor, from the switch monitor, and/or from the wayside monitor, are geo-tagged and time-tagged.

5. The positive train control unit of claim **1** wherein:

the track monitor includes a sensor monitoring rail spacing, distortion and/or integrity; or

the switch monitor includes a sensor monitoring switch position and switch closure to a fully transferred position; or

the wayside monitor includes a plurality of different sensors selected from the group including a visual imager, an infrared imager, a radar, a doppler radar, a laser sensor, a laser ranging device, an acoustic sensor, and an acoustic ranging device, for detecting an object on a track way proximate the wayside monitor; or

any combination thereof.

6. The positive train control unit of claim **1** wherein the control signal to a train control at least to reduce the speed of the train on which said positive train control unit is mounted causes the train control to reduce the speed of the train and/or to stop the train in accordance with a predetermined speed reduction profile or with a predetermined safe emergency speed reduction profile, or both.

7. The positive train control unit of claim **1** further comprising:

a locating device mountable at an end of the train remote from said positive train control unit, said locating device when mounted at the remote end of the train providing location data of the remote end of the train to said processor; and

said processor determining the length of the train by comparing the location data from said locating device and the location data from said global positioning device or from said inertial navigation device or from both.

8. The positive train control unit of claim **7** wherein said processor communicates an alert to an alerting device or communicates a control signal to a train control at least to reduce the speed of the train on which said positive train control unit is mounted, or both, in response to the length of the train changing by more than a predetermined difference in length.

9. The positive train control unit of claim **7** wherein said locating device mountable at an end of the train remote from said positive train control unit includes a global positioning device, an inertial navigation device, or both a global positioning device and an inertial navigation device.

10. A positive train control unit for a track way comprising:

a plurality of different sensors selected from the group including a visual imager, an infrared imager, a radar, a doppler radar, a laser sensor, a laser ranging device, an acoustic sensor, and an acoustic ranging device, said plurality of different sensors having respective fields of view sensing at least in a predetermined direction along the track way;

a first device providing a representation of the location of said positive train control unit as location data;

a processor to which said plurality of different sensors and said first device are coupled for receiving the data sensed thereby, wherein said processor associates the data sensed by said plurality of different sensors with location data and with time data corresponding to a location and a time at which such data is acquired, whereby such data is geo-tagged and time-tagged to the location and time at which it is acquired;

a data receiver configured to receive data from a track monitor, or from a switch monitor, or from a wayside monitor, or from a combination thereof, and to couple that data to said processor;

a communication device configured to communicate at least along the track way proximate said positive train control unit;

said processor determining from the data sensed by said plurality of different sensors, from the location data, and from data received by said data receiver, the location of said positive train control unit relative to predetermined track way configuration data;

said processor determining from the data sensed by said plurality of different sensors whether there is an object on the track way proximate said positive train control unit; and

said processor determining from data received by said data receiver whether there is an anomaly the track way proximate said positive train control unit; and

(1) if said processor determines that an object is in the track way proximate said positive train control unit, or

(2) if said processor determines that there is an anomaly in the track way proximate said positive train control unit, or

(3) if said processor determines any combination of (1) and (2),

then said processor causes said communication device to communicate an alert of an object in the track way, of an anomaly in the track way, or of both,

whereby a train that is proximate said positive train control unit is alerted to such object and/or anomaly in the track way so that the speed of an approaching train can be adjusted.

11. The positive train control unit of claim **10** wherein said first device providing a representation of the location of said positive train control unit includes:

a global positioning device that determines the location of said positive train control unit and that represents the location as location data; or

a memory storing a predetermined location of said positive train control unit as location data; or

a global positioning device that determines the location of said positive train control unit and that represents the location as location data and a memory storing a predetermined location of said positive train control unit as location data.

12. The positive train control unit of claim **10** wherein said communication device is configured to receive track way configuration data from an external source and to couple that data to said processor.

13. The positive train control unit of claim **10** wherein said communication device is configured to communicate data from the plurality of different sensors, location data and data received by the data receiver to a central train control facility.

14. The positive train control unit of claim **13** wherein: the data from the plurality of different sensors and data received by the data receiver including data from a track monitor, from a switch monitor, and/or from a wayside monitor, are geo-tagged and time-tagged.

15. The positive train control unit of claim **10** in combination with:

a track monitor including a sensor monitoring rail spacing, distortion and/or integrity; or

a switch monitor including a sensor monitoring switch position and switch closure to a fully transferred position; or

a wayside monitor; or

any combination thereof; and

wherein data sensed by said track monitor or by said switch monitor or by said wayside monitor or by any combination thereof is communicated to said processor.

16. The positive train control unit of claim **15** wherein: the data from the plurality of different sensors and the data received by the data receiver including data from the track monitor, from the switch monitor, and/or from the wayside monitor, are geo-tagged and time-tagged.

17. The positive train control unit of claim **10** wherein the control signal for activating a train control on a train causes the train control to reduce the speed of the train and/or stop the train in accordance with a predetermined speed reduction profile or with a predetermined safe emergency speed reduction profile, or both.

18. The positive train control unit of claim **10** wherein a crossing of the track way is within the respective fields of view of said plurality of different sensors, whereby vehicles and other objects on or crossing the track way are identified by said positive train control unit and are communicated by said communication device.

19. A positive train control unit for a track way crossing comprising:

a plurality of different sensors selected from the group including a visual imager, an infrared imager, a radar, a doppler radar, a laser sensor, a laser ranging device, an acoustic sensor, and an acoustic ranging device, said plurality of different sensors having respective fields of view sensing at least in a predetermined direction along the track way including a crossing thereof;

a first device providing a representation of the location of said positive train control unit as location data;

a processor to which said plurality of different sensors and said first device are coupled for receiving the data sensed thereby, wherein said processor associates the data sensed by said plurality of different sensors with location data and with time data corresponding to a location and a time at which such data is acquired, whereby such data is geo-tagged and time-tagged to the location and time at which it is acquired;

a data receiver configured to receive data from a track monitor, or from a switch monitor, or from a wayside monitor, or from a combination thereof, and to couple that data to said processor;

a communication device configured to communicate at least along the track way proximate said positive train control unit;

said processor determining from the data sensed by said plurality of different sensors, from the location data, and from data received by said data receiver, the location of said positive train control unit relative to predetermined track way configuration data;

said processor determining from the data sensed by said plurality of different sensors whether there is an object on the track way and/or the crossing thereof proximate said positive train control unit; and

said processor determining from data received by said data receiver whether there is an anomaly the track way proximate said positive train control unit; and

(1) if said processor determines that an object is in the track way and/or the crossing thereof proximate said positive train control unit, or

(2) if said processor determines that there is an anomaly in the track way proximate said positive train control unit, or

(3) if said processor determines any combination of (1) and (2),

then said processor causes said communication device to communicate an alert of an object in the track way, of an anomaly in the track way, or of both,

whereby a train that is proximate said positive train control unit is alerted to such object and/or anomaly in the track way and/or the crossing thereof so that the speed of an approaching train can be adjusted.

20. The positive train control unit of claim **19** wherein said first device providing a representation of the location of said positive train control unit includes:

a global positioning device that determines the location of said positive train control unit and that represents the location as location data; or

a memory storing a predetermined location of said positive train control unit as location data; or

a global positioning device that determines the location of said positive train control unit and that represents the location as location data and a memory storing a predetermined location of said positive train control unit as location data.

21. The positive train control unit of claim **19** further comprising a data receiver configured to receive data from a track monitor, or from a switch monitor, or from a track monitor and a switch monitor, and to couple that data to said processor.

22. The positive train control unit of claim **19** further comprising: a communication device configured to receive track way configuration data from an external source and to couple that data to said processor.

23. The positive train control unit of claim **22** wherein said communication device is configured to communicate data from the plurality of different sensors and location data to a central train control facility.

24. The positive train control unit of claim **19** in combination with:

a track monitor including a sensor monitoring rail spacing, distortion and/or integrity; or

a switch monitor including a sensor monitoring switch position and switch closure to a fully transferred position; or

a wayside monitor; or

any combination thereof; and

wherein data sensed by said track monitor or by said switch monitor or by said wayside monitor or by any combination thereof is communicated to said processor.

25. The positive train control unit of claim **24** wherein: the data from the plurality of different sensors and the data received by the data receiver including data from the track monitor, from the switch monitor, and/or from the wayside monitor, are geo-tagged and time-tagged.

26. The positive train control unit of claim **19** wherein the control signal for activating a train control on a train causes the train control to reduce the speed of the train and/or stop the train in accordance with a predetermined speed reduction profile or with a predetermined safe emergency speed reduction profile, or both.

27. A positive train control unit for a track way comprising:

an elongated member attached at a first end to a first rail of a track way and having a second end;

a probe near the second end of said elongated member at a predetermined distance from the first end thereof;

a sensor device attached to a second rail of the track way, the second end of said elongated member extending into said sensor device,

said sensor device including a position sensor for sensing the position of said probe, said position sensor including:

a surface having a pattern thereon wherein the surface pattern defines positions in one dimension or in two dimensions relative to said sensor device;

wherein said probe near the second end of said elongated member and the surface pattern of said position sensor cooperate to determine the relative position of said probe and the surface pattern, and thereby to determine the position of said elongated member relative to said sensor device;

whereby said probe of said elongated member and the surface pattern of said position sensor cooperate to define the position of the first rail relative to the second rail.

28. The positive train control unit of claim **27** wherein said first rail and said second rail include a stock rail and a switch

rail of a switch, and wherein the relative position determined by said probe and the surface pattern is that of the switch rail relative to the stock rail.

29. The positive train control unit of claim **27** wherein said first rail and said second rail include a pair of substantially parallel stock rails, and wherein the relative position determined by said probe and the surface pattern is that of the first stock rail relative to the second stock rail.

30. The positive train control unit of claim **29** wherein said elongated member is attached to the first stock rail at a location that is displaced longitudinally along the track way relative to the location at which said sensor device is attached to the second stock rail, whereby the relative position determined by said probe and the surface pattern represents separation of the first and second stock rails, longitudinal displacement of the first stock rail relative to the second stock rail, or both.

31. The positive train control unit of claim **27** wherein the surface pattern includes a plurality of adjacent electrically conductive contacts in predetermined locations on the surface, and wherein said probe includes an electrical contact configured to make electrical contact with ones of the plurality of electrically conductive contacts of the surface pattern, whereby the position of said probe relative to the surface pattern is indicated by the one of the plurality of electrically conductive contacts that is in electrical contact with said probe.

32. The positive train control unit of claim **27** wherein the surface pattern includes a plurality of adjacent discernable areas in adjacent locations on the surface, and wherein said probe includes movement sensing elements of a computer mouse configured to discern the discernable areas, whereby the position of said probe relative to the surface pattern is indicated by movement of the operable elements of the computer mouse relative to the plurality of discernable areas.

33. The positive train control unit of claim **32** wherein:

the surface pattern includes a plurality of adjacent physically discernable features on the surface, and wherein said probe includes movement sensing elements of a rolling ball type of computer mouse; or

the surface pattern includes a plurality of adjacent optically discernable features on the surface, and wherein said probe includes movement sensing elements of an optical type of computer mouse.

34. The positive train control unit of claim **27** wherein the pattern on the surface of said position detector includes a plurality of photo-detectors in adjacent locations on the surface, said position sensor including and at least one light emitter, and wherein said probe includes:

one or more optically reflective areas positioned on said probe to reflect light from the light emitter towards the plurality of photo-detectors, whereby the position of the one or more optically reflective areas of said probe relative to the plurality of photo-detectors indicates the position of said probe relative to said sensor device; or

one or more optically transmissive features positioned on said probe to permit transmission of light from the light emitter towards the plurality of photo-detectors, whereby the position of the one or more optically transmissive features of said probe relative to the plurality of photo-detectors indicates the position of said probe relative to said sensor device; or

a combination thereof.

35. The positive train control unit of claim **34** wherein said probe includes a baffle and wherein the one or more optically transmissive features are provided by one or more apertures through the baffle.

36. A positive train control method for a train movable on a track way comprising:

receiving sensor data from a plurality of different sensors selected from the group including a visual imager, an infrared imager, a radar, a doppler radar, a laser sensor, a laser ranging device, an acoustic sensor, and an acoustic ranging device, the plurality of different sensors having respective fields of view sensing in a predetermined forward looking direction along the track way from the train;

receiving location data from a locating device including a global positioning device, an inertial navigation device, or both a global positioning device and an inertial navigation device, that independently determines the location of the train;

associating the sensor data received from the plurality of different sensors with location data and with time data corresponding to a location and a time at which such data is acquired, whereby such sensor data is geo-tagged and time-tagged to the location and time at which it is acquired;

receiving data from a track monitor, or from a switch monitor, or from a wayside monitor, or from a combination thereof, if within range of such track monitor, switch monitor, wayside monitor, or combination thereof;

determining from the sensor data sensed by the plurality of different sensors and from the location data, the location, speed and direction of the train relative to predetermined track way configuration data and a train routing order;

determining from the sensor data sensed by the plurality of different sensors whether there is an object on the track way forward in the direction of travel of the train;

determining from data received from such track monitor, switch monitor, wayside monitor, or combination thereof, whether there is an anomaly in the track way proximate forward in the direction of travel of the train; and

(1) if determined that the location, speed and/or direction of the train differs from the location, speed and/or direction defined in the train routing order, or

(2) if determined that an object is in the track way forward of the train, or

(3) if determined that there is an anomaly in the track way forward of the train, or

(4) if any combination of (1), (2) and (3) is determined,

then communicating an alert to an alerting device or communicating a control signal for a train control or both.

37. The positive train control method of claim **36** further comprising: receiving train routing order data and track way configuration data from an external source.

38. The positive train control method of claim **36** further comprising: communicating sensor data from the plurality of different forward looking sensors, or location data from the global positioning device and from the inertial navigation device, or data received from the track monitor, from the switch monitor, and/or from the wayside monitor, to a central train control facility.

39. The positive train control method of claim **36** wherein: data from the plurality of different forward looking sensors

and data received from the track monitor, from the switch monitor, and/or from the wayside monitor, are geo-tagged and time-tagged.

40. The positive train control method of claim **36** wherein the control signal communicated for a train control causes the train control to reduce the speed of the train and/or to stop the train in accordance with a predetermined speed reduction profile or with a predetermined safe emergency speed reduction profile, or both.

41. The positive train control method of claim **36** wherein the location data is for a first end of the train, further comprising:

receiving location data for a second end of the train that is remote from the first end of the train;

determining the length of the train by comparing the location data for the first end of the train and the location data for the second end of the train; and

if the length of the train changes by more than a predetermined difference in length, then:

communicating an alert to an alerting device or communicating a control signal to a train control to at least to reduce the speed of the train, or both.

* * * * *