AO 120 (Rev. 08/10)

| TO: | REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK |
|---|---|
| **Mail Stop 8**<br>**Director of the U.S. Patent and Trademark Office**<br>**P.O. Box 1450**<br>**Alexandria, VA 22313-1450** | |

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court 500 Pearl Street, NY NY 10007 on the following

☐ Trademarks or ☑ Patents. ( ☐ the patent action involves 35 U.S.C. § 292.):

| DOCKET NO. | DATE FILED | U.S. DISTRICT COURT |
|---|---|---|
| 1:22-cv-00694 | 1/26/2022 | 500 Pearl Street, NY NY 10007 |

| PLAINTIFF | DEFENDANT |
|---|---|
| MAYBORN (UK) LIMITED,<br>MAYBORN USA, INC.,<br>MAYBORN GROUP LIMITED | COMOTOMO INC.,<br>COMOTOMO CORPORATION |

| | PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
|---|---|---|---|
| 1 | 10,952,930 | 3/23/2021 | MAYBORN (UK) LIMITED |
| 2 | 11,207,244 | 12/28/2021 | MAYBORN (UK) LIMITED |
| 3 | | | |
| 4 | | | |
| 5 | | | |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

| DATE INCLUDED | INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading | |
|---|---|---|
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 1 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |

In the above—entitled case, the following decision has been rendered or judgement issued:

| DECISION/JUDGEMENT |
|---|
| |

| CLERK | (BY) DEPUTY CLERK | DATE |
|---|---|---|
| Ruby J. Krajick | /s/ P. Canales | 1/28/2022 |

**Copy 1—Upon initiation of action, mail this copy to Director** **Copy 3—Upon termination of action, mail this copy to Director**
**Copy 2—Upon filing document adding patent(s), mail this copy to Director** **Copy 4—Case file copy**

AO 120 (Rev. 08/10)

| TO: | Mail Stop 8<br>Director of the U.S. Patent and Trademark Office<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK |
|---|---|---|

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of New York on the following

☐ Trademarks or ☑ Patents. ( ☐ the patent action involves 35 U.S.C. § 292.):

| DOCKET NO. | DATE FILED | U.S. DISTRICT COURT |
|---|---|---|
| 21cv8444 | 10/13/2021 | Southern District of New York |

| PLAINTIFF | DEFENDANT |
|---|---|
| NANOBEBE US INC. | MAYBORN (UK) LIMITED, MAYBORN USA, INC., MAYBORN GROUP LIMITED |

| | PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
|---|---|---|---|
| 1 | 10,952,930 | 3/23/2021 | MAYBORN (UK) LIMITED |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |

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|---|---|---|
| | PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 1 | | | |
| 2 | | | |
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| 4 | | | |
| 5 | | | |

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| DECISION/JUDGEMENT |
|---|
| |

| CLERK | (BY) DEPUTY CLERK | DATE |
|---|---|---|
| Ruby J. Krajick | /s/ P. Canales | 10/14/2021 |

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**Copy 2—Upon filing document adding patent(s), mail this copy to Director** **Copy 4—Case file copy**

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UNITED STATES PATENT AND TRADEMARK OFFICE

# CERTIFICATE OF CORRECTION



| | |
|---|---|
| PATENT NO. | : 10,952,930 B2 |
| APPLICATION NO. | : 14/551783 |
| DATED | : March 23, 2021 |
| INVENTOR(S) | : Arnold Rees et al. |

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Title Page

Column 1 (Assignee), Line 1, delete “Maybom” and insert -- Mayborn --, therefor.

Signed and Sealed this
First Day of June, 2021

Drew Hirshfeld
*Performing the Functions and Duties of the*
*Under Secretary of Commerce for Intellectual Property and*
*Director of the United States Patent and Trademark Office*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | | |
|---|---|---|---|---|
| First Named Inventor | : | Arnold Rees | Art Unit | : 3733 |
| Patent No. | : | 10,952,930 | Examiner | : Andrew Thomas Kirsch |
| Issue Date | : | March 23, 2021 | | |
| Serial No. | : | 14/551,783 | Conf. No. | : 6509 |
| Filed | : | November 24, 2014 | | |

Title : BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS

Attention Certificate of Corrections Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION

Please issue a certificate of correction in accordance with the attached form.

All errors to be corrected were made in printing by the Patent and Trademark Office, and no fee is believed to be due.

Apply any other necessary charges or credits to Deposit Account 06-1050, referencing the above attorney docket number.

Respectfully submitted,

Date: May 3, 2021

/Joshua A. Griswold/
Joshua A. Griswold
Reg. No. 46,310

Customer Number 165989
Fish & Richardson P.C.
Telephone: (214) 747-5070
Facsimile: (877) 769-7945

91448562.doc

PTO/SB/44 (09-07)
Approved for use through 08/31/2013. OMB 0651-0033
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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(Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE
## CERTIFICATE OF CORRECTION



| | | |
|---|---|---|
| PATENT NO. | : | 10,952,930 |
| APPLICATION NO.: | | 14/551,783 |
| ISSUE DATE | : | March 23, 2021 |
| INVENTOR(S) | : | Arnold Rees, Ian Webb, Mark Armstrong and Tom Cotton |

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the patent cover page, Column 1 (Assignee), Line 1, delete "Maybom" and insert - - Mayborn - -, therefor.

MAILING ADDRESS OF SENDER (Please do not use customer number below):
Fish & Richardson P.C.
P.O. Box 1022
Minneapolis, MN 55440-1022

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and selection option2.*

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 42622106 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 165989 |
| **Filer:** | Joshua A. Griswold/Monica Gamez |
| **Filer Authorized By:** | Joshua A. Griswold |
| **Attorney Docket Number:** | 40395-0012003 |
| **Receipt Date:** | 03-MAY-2021 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 16:22:56 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Request for Certificate of Correction | 40395-0012003_RequestFORCOC.pdf | 52860<br>7b5accf23570b74d55ee16c3a7ee56babc9d9dba | no | 1 |

**Warnings:**

| Information: | | | | | |
|---|---|---|---|---|---|
| 2 | Request for Certificate of Correction | 40395-0012003_COC.pdf | 81656<br>1ebda21288b22b9b58bfcb5b249548bcea79d762 | no | 1 |
| Warnings: | | | | | |
| Information: | | | | | |
| | | Total Files Size (in bytes): | 134516 | | |

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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NO. | ISSUE DATE | PATENT NO. | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 03/23/2021 | 10952930 | 40395-0012003 | 6509 |

165989 7590 03/03/2021

FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

# ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

**Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**
(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Arnold Rees, Newcastle Upon Tyne, UNITED KINGDOM;
Mayborn (UK) Limited, New Castle Upton Type, UNITED KINGDOM;
Ian Webb, London, UNITED KINGDOM;
Mark Armstrong, Wales, UNITED KINGDOM;
Tom Cotton, London, UNITED KINGDOM;

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Receipt date: 01/23/2015

PTO/SB/08a (06-09)
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 5 of 9

| Complete if Known | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | n/a |
| Attorney Docket Number | JM-4.2 |

Change(s) applied to document, /G.R.P./ 12/14/2020

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Document Number<br>Number-Kind Code[2] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| /C.C./ | 101 | US- 6,241,110B | 06/05/2001 | Hakim | |
| | 102 | US- 6,321,931 | 11/27/2001 | Hakim et al. | |
| | 103 | US- 6,357,620 | 03/19/2002 | Hakim | |
| | 104 | US- 6,645,228B | 11/11/2003 | ~~Playtex Products, Inc.~~ Renz | |
| | 105 | US- 6,745,912B | 06/08/2004 | ~~Pigeon Corp.~~ Uehara et al. | |
| | 106 | US- 6,883,672B | 04/26/2005 | Dunn et al. | |
| | 107 | US- 6,984,688B | 01/10/2006 | Gu | |
| | 108 | US- 6,994,225B | 02/07/2006 | Hakim | |
| | 109 | US- 7,122,045B | 10/17/2006 | Randolph et al. | |
| | 110 | US- 7,150,370B | 12/19/2006 | Pyun | |
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| | 112 | US- 7,204,386 | 04/17/2007 | Hakim | |
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| | 115 | US- 7,252,676 | 08/07/2007 | Williams | |
| | 116 | US- 7,320,678 | 01/22/2008 | Ruth et al. | |
| | 117 | US- 7,395,941 | 07/08/2008 | Hong | |
| | 118 | US- 7,481,324 | 01/27/2009 | Almonte | |
| /C.C./ | 119 | US- 2008/0188894 | 08/07/2008 | Hakim | |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Foreign Patent Document<br>Country Code[3] Number[4] Kind Code[5] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|
| /C.C./ | 120 | JP 20066006809A | 01/12/2006 | Tashiro et al. | | |
| | 121 | JP 2004129940A | 04/30/2004 | Uehara et al. | | |
| | 122 | JP 2003205018A | 07/22/2003 | Uehara et al. | | |
| | 123 | JP 2003144529A | 05/20/2003 | Uehara et al. | | |
| | 124 | JP 2002306572A | 10/22/2003 | Konno et al. | | |
| /C.C./ | 125 | JP 2002200144A | 07/16/2002 | Ishimaru et al. | | |

| Examiner Signature | /Cynthia Collado/ (05/03/2015) | Date Considered | 05/03/2015 |
|---|---|---|---|

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Receipt date: 01/23/2015

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 4 of 9

| Complete if Known | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | n/a |
| Attorney Docket Number | JM-4.2 |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Document Number: Number-Kind Code[2] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| /C.C./ | 76. | US- 2009/0005811 | 01/01/2009 | DuChesne et al. | |
| | 77. | US- 2009/0039046 | 02/12/2009 | Gunter et al. | |
| | 78. | US- 2,517,457A | 08/01/1950 | Allen, A. | |
| | 79. | US- 2,588,069A | 03/04/1952 | Allen, A. | |
| | 80. | US- 3,704,803A | 12/05/1972 | Ponder | |
| | 81. | US- 3,808,35A 04-1888 | ~~04/10/1988~~ | Ware, W. | |
| | 82. | US- 3,946,888A | 03/30/1976 | Tonkin | |
| | 83. | US- 4,834,099A | 05/30/1989 | Schrooten | |
| | 84. | US- 4,999,568A | 02/19/1991 | Morifuji et al. | |
| | 85. | US- 5,101,991A | 04/07/1992 | Morifuji et al. | |
| | 86. | US- 5,474,028A | 12/12/1995 | Larson et al. | |
| | 87. | US- 5,544,766A | 08/13/1996 | Dunn et al. | |
| | 88. | US- 5,673,806A | 10/07/1997 | Busnel, M.-C. | |
| | 89. | US- 5,678,710A | 10/21/1997 | Sheu, M.-T. | |
| | 90. | US- 5,784,999 | 07/28/1998 | Larson et al. | |
| | 91. | US- 5,881,893A | 03/16/1999 | Manganiello | |
| Change(s) applied to document, /G.R.P./ 12/14/2020 | 92. | US- 6,003,698A | 12/21/1999 | ~~Playtex Products, Inc.~~ Morano | |
| | 93. | US- 6,032,810B | 03/07/2000 | Meyers et al. | |
| /C.C./ | 94. | US- 6,161,710B | 12/19/2000 | Dieringer, M. | |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Foreign Patent Document: Country Code[3] Number[4] Kind Code[5] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|
| /C.C./ | 95. | JP 2001009008A | 01/16/2001 | Ish kawa et al. | | |
| | 96. | JP 2000189496A | 07/11/2000 | Ish kawa et al. | | |
| | 97. | JP 2000000288A | 01/17/2000 | Sato et al. | | |
| | 98. | JP 05115535A | 05/14/1993 | Kobayashi | | |
| | 99. | JP 01313056A | 12/18/1989 | Onuki | | |
| /C.C./ | 100 | JP 2006068360A | 03/16/2006 | Ito | | |

| Examiner Signature | /Cynthia Collado/ (05/03/2015) | Date Considered | 05/03/2015 |
|---|---|---|---|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

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*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.C./

Receipt date: 01/23/2015

PTO/SB/08a (06-09)
Approved for use through 07/31/2009. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 1 of 9

| Complete if Known | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | N/A |
| Attorney Docket Number | JM-4.2 |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Document Number Number-Kind Code[2] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| /C.C./ | 1. | US- D384748S | 10/07/1997 | Dunn | |
| | 2. | US- D404138S | 01/12/1999 | Meyers et al. | |
| | 3. | US- D405530S | 02/09/1999 | Manganiello et al. | |
| | 4. | US- D412582S | 08/03/1999 | Morano | |
| | 5. | US- D421306S | 02/29/2000 | Meyers et al. | |
| | 6. | US- D425994 | 05/30/2000 | Hakim | |
| | 7. | US- D441870S | 05/08/2001 | Randolph | |
| | 8. | US- D444239S | 06/26/2001 | ~~Jaw Co., Ltd.~~ Kobayashi et al. | |
| | 9. | US- D454642 | 03/19/2002 | Hakim | |
| | 10. | US- D459815S | 07/02/2002 | Pastucha | |
| | 11. | US- D463567S | 09/24/2002 | Morano | |
| | 12. | US- D464434S | 10/15/2002 | Morano | |
| | 13. | US- D465028S | 10/29/2002 | Renz | |
| | 14. | US- D479606S | 09/09/2003 | Randolph | |
| | 15. | US- D486579S | 02/10/2004 | Dunn | |
| | 16. | US- D488560S | 04/13/2004 | Renz et al. | |
| | 17. | US- D498849S | 11/23/2004 | Randolph et al. | |
| | 18. | US- D504723S | 05/03/2005 | Randolph et al. | |
| /C.C./ | 19. | US- D504724S | 05/03/2005 | Randolph et al. | |

Change(s) applied to document, /G.R.P./ 12/15/2020

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Foreign Patent Document Country Code[3] Number[4] Kind Code[5] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|
| /C.C./ | 20. | EP 0845971 (WO 97/05853) | 10/24/2001 | Brown, C., et al. | | |
| /C.C./ | 21. | EP 1297814A | 04/02/2003 | Tulett | | |
| /C.C./ | 22. | EP 0151862 A1 | 08/21/1985 | Avent Medical Ltd. | | |
| /C.C./ | 23. | EP 1310230 A2 | 05/14/2003 | Pigeon Corp. | | |
| /C.C./ | 24. | GB 2402347A | 12/08/2004 | Rohrig | | |
| /C.C./ | 25. | GB 2412114 A | 09/21/2005 | Samson, Z. | | |

| Examiner Signature | /Cynthia Collado/ (05/03/2015) | Date Considered | 05/03/2015 |
|---|---|---|---|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.C./

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | 40395-0012003 | 6509 |

165989 7590 02/18/2021

FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

| EXAMINER | |
|---|---|
| KIRSCH, ANDREW THOMAS | |

| ART UNIT | PAPER NUMBER |
|---|---|
| 3733 | |

| NOTIFICATION DATE | DELIVERY MODE |
|---|---|
| 02/18/2021 | ELECTRONIC |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PATDOCTC@fr.com



Nanobebe US Inc., Ex. 1002, Page 12
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Notice of Allowability | Application No. 14/551,783 | Applicant(s) Rees et al. | |
|---|---|---|---|
| | Examiner ANDREW T KIRSCH | Art Unit 3733 | AIA (FITF) Status No |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--*

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☑ This communication is responsive to Amendments filed 2/3/2021.
   ☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

3. ☑ The allowed claim(s) is/are 2-8 and 14-26 . As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information , please see **http://www.uspto.gov/patents/init_events/pph/index.jsp** or send an inquiry to **PPHfeedback@uspto.gov.**

4. ☑ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   **Certified copies:**

   a) ☑ All b) ☐ Some *c) ☐ None of the:

   1. ☑ Certified copies of the priority documents have been received.
   2. ☐ Certified copies of the priority documents have been received in Application No. _____ .
   3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

   * Certified copies not received: _____ .

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
   ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____ .

**Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).**

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____.
3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material _____.
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date. _____.
5. ☐ Examiner's Amendment/Comment
6. ☐ Examiner's Statement of Reasons for Allowance
7. ☐ Other _____.

/ANDREW T KIRSCH/
Primary Examiner, Art Unit 3733



Nanobebe US Inc., Ex. 1002, Page 13
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | | | |
|---|---|---|---|---|---|
| First Named Inventor | : | Arnold Rees | Art Unit | : | 3733 |
| Application No. | : | 14/551,783 | Examiner | : | Andrew Thomas Kirsch |
| Filed | : | November 24, 2014 | Confirmation No. | : | 6509 |
| | | | Notice of Allowance Date: 11/16/2020 | | |
| Title | : | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | | | |

**MAIL STOP ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AFTER ALLOWANCE
PURSUANT TO 37 C.F.R. §1.312

Please amend the application as indicated on the following pages. This amendment is being filed concurrently with the payment of the issue fee.

# Electronic Patent Application Fee Transmittal

| | |
|---|---|
| **Application Number:** | 14551783 |
| **Filing Date:** | 24-Nov-2014 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Filer:** | Joshua A. Griswold/Monica Gamez |
| **Attorney Docket Number:** | 40395-0012003 |

Filed as Large Entity

**Filing Fees for Utility under 35 USC 111(a)**

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Basic Filing:** | | | | |
| **Pages:** | | | | |
| **Claims:** | | | | |
| **Miscellaneous-Filing:** | | | | |
| **Petition:** | | | | |
| **Patent-Appeals-and-Interference:** | | | | |
| **Post-Allowance-and-Post-Issuance:** | | | | |
| UTILITY APPL ISSUE FEE | 1501 | 1 | 1200 | 1200 |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Extension-of-Time:** | | | | |
| **Miscellaneous:** | | | | |
| | | **Total in USD ($)** | | **1200** |

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 41828406 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 165989 |
| **Filer:** | Joshua A. Griswold/Monica Gamez |
| **Filer Authorized By:** | Joshua A. Griswold |
| **Attorney Docket Number:** | 40395-0012003 |
| **Receipt Date:** | 03-FEB-2021 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 16:29:59 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | yes |
| Payment Type | DA |
| Payment was successfully received in RAM | $1200 |
| RAM confirmation Number | E202123G30262315 |
| Deposit Account | |
| Authorized User | |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: | |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Issue Fee Payment (PTO-85B) | 40395-0012003_IssueFeeTransmittal.pdf | 162380<br>17a55387c44e45ffee1df83a2ca00377fedbfbc8 | no | 1 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 2 | Post Allowance Communication - Incoming | 40395-0012003_ReplytoNOA.pdf | 72258<br>925474de46c208af812fdf49cde8911c2a6886ba | no | 1 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 3 | Amendment after Notice of Allowance (Rule 312) | 40395-0012003_312Amendment.pdf | 102591<br>32ea0e8ee4403d65a3a5bb43fe122042c37bcea1 | no | 7 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 4 | Fee Worksheet (SB06) | fee-info.pdf | 30725<br>3730b33f8ca3a237323f46583b0d84cd08ca0f5d | no | 2 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| | | **Total Files Size (in bytes):** | 367954 | | |

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

# PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web

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By fax, send to: (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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165989 7590 11/16/2020

FISH & RICHARDSON P.C.
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.

| |
|---|
| (Typed or printed name) |
| (Signature) |
| (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | 40395-0012003 | 6509 |

TITLE OF INVENTION: BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS

| APPLN. TYPE | ENTITY STATUS | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|---|---|---|---|---|---|---|
| nonprovisional | LARGE | $1200 | $0.00 | $0.00 | $1200 | 02/16/2021 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|---|---|---|
| KIRSCH, ANDREW THOMAS | 3733 | |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

[ ] Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

[ ] "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-09 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Fish & Richardson P.C.
2
3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE: Mayborn (UK) Limited

(B) RESIDENCE: (CITY and STATE OR COUNTRY): New Castle Upon Tyne, United Kingdom

Please check the appropriate assignee category or categories (will not be printed on the patent): [ ] Individual [X] Corporation or other private group entity [ ] Government

4a. Fees Submitted: [X] Issue Fee [ ] Publication Fee (if required) [ ] Advance Order - # of Copies

4b. Method of Payment (*Please first reapply any previously paid fee shown above*):

[X] Electronic Payment via EFS-Web [ ] Enclosed check [ ] Non-electronic payment by credit card (Attach form PTO-2038)

[X] The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account No. 06-1050

5. **Change of Entity Status** (from status indicated above)

[ ] Applicant certifying micro entity status. See 37 CFR 1.29 — **NOTE**: Absent a valid Certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

[ ] Applicant asserting small entity status. See 37 CFR 1.27. — **NOTE**: If the application was previously under micro entity status, checking this box will be taken as a notification of loss of entitlement to micro entity status.

[ ] Applicant changing to regular undiscounted fee status. — **NOTE**: Checking this box will be taken as a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature /Joshua A. Griswold/ Date February 3, 2021

Typed or printed name Joshua A. Griswold Registration No. 46,310



91423956.doc

Nanobebe US Inc., Ex. 1002, Page 20
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | | | |
|---|---|---|---|---|---|
| First Named Inventor | : | Arnold Rees | Art Unit | : | 3733 |
| Application No. | : | 14/551,783 | Examiner | : | Andrew Thomas Kirsch |
| Filed | : | November 24, 2014 | Confirmation No. | : | 6509 |
| | | | Notice of Allowance Date: November 16, 2020 | | |
| Title | : | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | | | |

**MAIL STOP ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REPLY TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance dated November 16, 2020, enclosed is a completed Part B - Fee(s) Transmittal.

The issue fee is being paid with this reply on the Electronic Filing System. Apply those fees and any other necessary charges or credits to Deposit Account 06-1050, referencing the above attorney docket number.

Respectfully submitted,

Date: February 3, 2021

/Joshua A. Griswold/
Joshua A. Griswold
Reg. No. 46,310

Customer Number
Fish & Richardson P.C.
Telephone: (214) 747-5070
Facsimile: (877) 769-7945

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | | | |
|---|---|---|---|---|---|
| First Named Inventor | : | Arnold Rees | Art Unit | : | 3733 |
| Application No. | : | 14/551,783 | Examiner | : | Andrew Thomas Kirsch |
| Filed | : | November 24, 2014 | Confirmation No. | : | 6509 |
| | | | Notice of Allowance Date: 11/16/2020 | | |
| Title | : | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | | | |

**MAIL STOP ISSUE FEE**
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Alexandria, VA 22313-1450

AMENDMENT AFTER ALLOWANCE
PURSUANT TO 37 C.F.R. §1.312

Please amend the application as indicated on the following pages. This amendment is being filed concurrently with the payment of the issue fee.

Amendments to the Claims:

This listing of claims replaces all prior versions and listings of claims in the application:

Listing of Claims:

1. (Cancelled).

2. (Previously Presented) The feeding bottle of claim 18 wherein the vessel has two indentations.

3. (Previously Presented) The feeding bottle of claim 18 wherein the flange forms an elastomer sealing ring sealing against the top of the vessel.

4. (Previously Presented) The feeding bottle of claim 18 wherein the teat portion comprises an elastic material.

5. (Previously Presented) The feeding bottle of claim 18 wherein the teat portion projects perpendicular to the open top of the vessel.

6. (Previously Presented) The feeding bottle of claim 18 wherein the internally threaded collar is sized to mate with the open top of the vessel.

7. (Previously Presented) The feeding bottle of claim 18 wherein the collar comprises polypropylene.

8. (Previously Presented) The feeding bottle of claim 18 wherein the air valve is a one-way air-inlet valve.

9. - 13. (Cancelled).

14. (Previously Presented) The feeding bottle of claim 18 wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second, different texture.

15. (Previously Presented) The feeding bottle of claim 18 wherein an inner surface of the teat portion includes a helical flow path.

16. (Previously Presented) The feeding bottle of claim 18 wherein the nipple walls decrease in thickness from the base portion through to the teat portion.

17. (Previously Presented) The feeding bottle of claim 18 wherein the air valve is located below a top edge of the collar.

18. (Previously Presented) A feeding bottle comprising:

a) a vessel having:

a cylindrical neck comprising an open top with a first diameter and threads on an outer surface of said neck, and

a body with a second diameter,

the body being connected with said neck, and the first diameter of the open top being less than the second diameter of the body;

b) an internally threaded collar having:

an outermost surface comprising a downwardly domed portion beginning at an upper end of the collar and extending outwardly and downwardly therefrom and disposed at least partially above said internal threads;

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion disposed adjacent to said collar and comprising an outermost surface comprising a downwardly sloping portion between said teat portion and the upper end of the collar that, together with said collar, defines a substantially continuous outermost surface between said teat portion and a bottom of said downwardly domed portion of said collar,

an areola portion between the teat portion and the base portion, wherein said areola portion has a flex region on its inner surface comprised of a plurality of thinned regions,

a flange depending from the base portion,

an air valve extending through said nipple and disposed (i) radially inwardly from the collar and (ii) above the flange, and

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing an inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

19. (Currently Amended) A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter, and

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded portion, and

a downwardly extending outer domed portion connected to the internally threaded portion, wherein most of the ~~peripheral~~ domed portion extends radially away from an inner edge of the collar;

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness,

an areola portion between the teat portion and the base portion,

a flange depending from the base portion,

a vent passage extending through the base portion and spaced inwardly from the collar, and

a valve portion in communication with the vent passage and projecting from the base portion,

the flange being arranged to seal with the vessel;

wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second, different texture;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

20. (Previously Presented) A feeding bottle comprising:

a) a vessel having an open top,

b) a collar having an upper rim and a lower rim comprising:

an internally threaded inner surface,

an outermost surface having a sloping portion that extends outwardly and downwardly relative to said upper rim, and

wherein said sloping portion comprises a majority of the collar's outermost surface,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a domed configuration,

an areola portion between the teat portion and the base portion,

a flange depending from the base portion,

a one-way air inlet valve (i) extending through said base, (ii) projecting downwardly from the base portion, (iii) having a length that does not extend past the collar's lower rim, and (iv) disposed at least partially inwardly from the collar;

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing an inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

21. (Previously Presented) The feeding bottle of claim 20 wherein an inner surface of the teat portion has a groove configured to flex in a direction of the liquid flow.

22. (Previously Presented) The feeding bottle of claim 20 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

23. (Previously Presented) The feeding bottle of claim 20 wherein the air inlet valve comprises a slit opening.

24. (Previously Presented) The feeding bottle of claim 20 wherein an inner surface of the areola portion has a groove extending circumferentially.

25. (Previously Presented) The feeding bottle of claim 20 wherein the vessel comprises an upper body having a first internal diameter and a portion below the upper body having a second, different internal diameter.

26. (Previously Presented) The feeding bottle of claim 25 wherein the first internal diameter is greater than the second internal diameter.

## REMARKS

The listing of the claims above include the Examiner's Amendment mailed November, 16, 2020.

Applicant thanks Examiner Kirsch for the telephonic interview of January 14, 2021, where Examiner Kirsch and Applicant's representative, Joshua Griswold, discussed and agreed on the propriety of the above amendment of claim 19.

Applicant believes that no fees are due. However, if this is incorrect, the Commissioner is hereby authorized to charge such fees or credit any overpayments to Deposit Account 06-1050, referencing the above attorney docket number.

Respectfully submitted,

Date: February 3, 2021

/Joshua A. Griswold/
Joshua A. Griswold
Reg. No. 46,310

Customer Number
Fish & Richardson P.C.
Telephone: (214) 747-5070
Facsimile: (877) 769-7945

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# NOTICE OF ALLOWANCE AND FEE(S) DUE

165989 7590 11/16/2020

FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

| EXAMINER | |
|---|---|
| KIRSCH, ANDREW THOMAS | |
| ART UNIT | PAPER NUMBER |
| 3733 | |

DATE MAILED: 11/16/2020

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | 40395-0012003 | 6509 |

TITLE OF INVENTION: Baby Bottle With Flexible Nipple Regions

| APPLN. TYPE | ENTITY STATUS | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|---|---|---|---|---|---|---|
| nonprovisional | UNDISCOUNTED | $1200 | $0.00 | $0.00 | $1200 | 02/16/2021 |

**THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.**

**THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.**

**HOW TO REPLY TO THIS NOTICE:**

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.**





Nanobebe US Inc., Ex. 1002, Page 29
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

By fax, send to: (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

165989 7590 11/16/2020
FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.

| (Typed or printed name) |
|---|
| (Signature) |
| (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | 40395-0012003 | 6509 |

TITLE OF INVENTION: Baby Bottle With Flexible Nipple Regions

| APPLN. TYPE | ENTITY STATUS | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|---|---|---|---|---|---|---|
| nonprovisional | UNDISCOUNTED | $1200 | $0.00 | $0.00 | $1200 | 02/16/2021 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|---|---|---|
| KIRSCH, ANDREW THOMAS | 3733 | 215-011500 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

❑ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

❑ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-09 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list
(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 _____
2 _____
3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) : ❑ Individual ❑ Corporation or other private group entity ❑ Government

4a. Fees submitted: ❑ Issue Fee ❑ Publication Fee (if required) ❑ Advance Order - # of Copies _____

4b. Method of Payment: *(Please first reapply any previously paid fee shown above)*

❑ Electronic Payment via EFS-Web ❑ Enclosed check ❑ Non-electronic payment by credit card (Attach form PTO-2038)

❑ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. _____

5. **Change in Entity Status** (from status indicated above)

❑ Applicant certifying micro entity status. See 37 CFR 1.29

❑ Applicant asserting small entity status. See 37 CFR 1.27

❑ Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.
NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _____ Date _____

Typed or printed name _____ Registration No. _____



Nanobebe US Inc., Ex. 1002, Page 30
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | 40395-0012003 | 6509 |

165989 7590 11/16/2020

FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

| EXAMINER | |
|---|---|
| KIRSCH, ANDREW THOMAS | |
| ART UNIT | PAPER NUMBER |
| 3733 | |

DATE MAILED: 11/16/2020

**Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**
(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.



PTOL-85 (Rev. 02/11)

Nanobebe US Inc., Ex. 1002, Page 31
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

## OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## Privacy Act Statement

**The Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| Notice of Allowability | Application No. 14/551,783 | Applicant(s) Rees et al. | |
|---|---|---|---|
| | Examiner ANDREW T KIRSCH | Art Unit 3733 | AIA (FITF) Status No |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--*

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☑ This communication is responsive to amendments 8/25/2020.
   ☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

3. ☑ The allowed claim(s) is/are 2-8 and 14-26 . As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information , please see **http://www.uspto.gov/patents/init_events/pph/index.jsp** or send an inquiry to **PPHfeedback@uspto.gov.**

4. ☑ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   **Certified copies:**

   a) ☑ All b) ☐ Some *c) ☐ None of the:

   1. ☑ Certified copies of the priority documents have been received.
   2. ☐ Certified copies of the priority documents have been received in Application No. _____ .
   3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

   * Certified copies not received: _____ .

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
   ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____ .

**Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).**

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____.
3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material _____.
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date. _____.
5. ☑ Examiner's Amendment/Comment
6. ☐ Examiner's Statement of Reasons for Allowance
7. ☐ Other _____.

/ANDREW T KIRSCH/
Primary Examiner, Art Unit 3733



Nanobebe US Inc., Ex. 1002, Page 33
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

***Notice of Pre-AIA or AIA Status***

1. The present application is being examined under the pre-AIA first to invent provisions.

**EXAMINER'S AMENDMENT**

2. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

Claim 20 shall read: "...(iii) having a length that does not ~~to~~ extend past the collar's lower rim"

*Conclusion*

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ANDREW THOMAS KIRSCH whose telephone number is (571)270-5723. The examiner can normally be reached on Mon-Weds, Fri, 9a-6p EST, Thu 1p-5p EST.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an

interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fenn Mathew can be reached on (571) 272-4978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see https://ppair-my.uspto.gov/pair/PrivatePair. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/ANDREW T KIRSCH/
Primary Examiner, Art Unit 3733

| Issue Classification | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14/551,783 | Rees et al. |
| | **Examiner** | **Art Unit** |
| | ANDREW T KIRSCH | 3733 |

| CPC | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| **Symbol** | | | | | | | **Type** | **Version** |
| A61J | / | 11 | / | 006 | | | F | 2013-01-01 |
| A61J | / | 11 | / | 045 | | | I | 2013-01-01 |
| A61J | / | 11 | / | 02 | | | I | 2013-01-01 |
| A61J | / | 17 | / | 001 | | | A | 2015-05-01 |

| CPC Combination Sets | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| **Symbol** | | | | | **Type** | **Set** | **Ranking** | **Version** |
| | / | | / | | | | | |

| NONE | | Total Claims Allowed: | |
|---|---|---|---|
| (Assistant Examiner) | (Date) | 20 | |
| /ANDREW T KIRSCH/<br>Primary Examiner, Art Unit 3733 | 26 October 2020 | O.G. Print Claim(s) | O.G. Print Figure |
| (Primary Examiner) | (Date) | 18 | 4 |

U.S. Patent and Trademark Office — Part of Paper No.: 20201026



Nanobebe US Inc., Ex. 1002, Page 36
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Issue Classification | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14/551,783 | Rees et al. |
| | **Examiner** | **Art Unit** |
| | ANDREW T KIRSCH | 3733 |

| INTERNATIONAL CLASSIFICATION | | | | | |
|---|---|---|---|---|---|
| **CLAIMED** | | | | | |
| A61J11/04 | / | 11 | / | 04 | |
| A61J11/02 | / | 11 | / | 02 | |

| NON-CLAIMED | | | | | |
|---|---|---|---|---|---|
| | / | | / | | |

| US ORIGINAL CLASSIFICATION | |
|---|---|
| **CLASS** | **SUBCLASS** |
| | |

| CROSS REFERENCES(S) | | | | | | |
|---|---|---|---|---|---|---|
| **CLASS** | **SUBCLASS (ONE SUBCLASS PER BLOCK)** | | | | | |
| | | | | | | |

| NONE<br>(Assistant Examiner) | (Date) | **Total Claims Allowed:**<br>20 | |
|---|---|---|---|
| /ANDREW T KIRSCH/<br>Primary Examiner, Art Unit 3733<br>(Primary Examiner) | 26 October 2020<br>(Date) | O.G. Print Claim(s)<br>18 | O.G. Print Figure<br>4 |

U.S. Patent and Trademark Office — Part of Paper No.: 20201026



Nanobebe US Inc., Ex. 1002, Page 37
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Issue Classification | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14/551,783 | Rees et al. |
| | Examiner | Art Unit |
| | ANDREW T KIRSCH | 3733 |

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

CLAIMS

| Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | 18 | 10 | 15 | 19 | 25 | | | | | | | | | | |
| 2 | 2 | 11 | 16 | 20 | 26 | | | | | | | | | | |
| 3 | 3 | 12 | 17 | | | | | | | | | | | | |
| 4 | 4 | 13 | 19 | | | | | | | | | | | | |
| 5 | 5 | 14 | 20 | | | | | | | | | | | | |
| 6 | 6 | 15 | 21 | | | | | | | | | | | | |
| 7 | 7 | 16 | 22 | | | | | | | | | | | | |
| 8 | 8 | 17 | 23 | | | | | | | | | | | | |
| 9 | 14 | 18 | 24 | | | | | | | | | | | | |

| NONE | | Total Claims Allowed: | |
|---|---|---|---|
| (Assistant Examiner) | (Date) | 20 | |
| /ANDREW T KIRSCH/<br>Primary Examiner, Art Unit 3733 | 26 October 2020 | O.G. Print Claim(s) | O.G. Print Figure |
| (Primary Examiner) | (Date) | 18 | 4 |

U.S. Patent and Trademark Office Part of Paper No.: 20201026



Nanobebe US Inc., Ex. 1002, Page 38
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Search Notes | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14/551,783 | Rees et al. |
| | Examiner | Art Unit |
| | ANDREW T KIRSCH | 3733 |

| CPC - Searched* | | |
|---|---|---|
| Symbol | Date | Examiner |
| A 61J 9/04 A 61J 11/02 A 61J 11/045, A 61J 11/04, A 61J 9/00 | 10/26/2020 | ATK |
| A 47 G 19/2272 | 10/26/2020 | ATK |
| A61J 11/002, 11/0035, 11/005, 11/006 | 10/26/2020 | ATK |

| CPC Combination Sets - Searched* | | |
|---|---|---|
| Symbol | Date | Examiner |
| | | |

| US Classification - Searched* | | | |
|---|---|---|---|
| Class | Subclass | Date | Examiner |
| 215 | 11.5,11.1,396,398,11.6,276,11.4 | 4/28/2015 | CFC |

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.



Nanobebe US Inc., Ex. 1002, Page 39
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Search Notes | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14/551,783 | Rees et al. |
| | Examiner | Art Unit |
| | ANDREW T KIRSCH | 3733 |

| Search Notes | | |
|---|---|---|
| **Search Notes** | **Date** | **Examiner** |
| Discussed application with SPE Fenn Mathew | 4/28/2015 | CFC |
| Discussed application with SPE Fenn Mathew | 2/1/2016 | CFC |
| Discussed application with SPE Fenn Mathew | 12/20/2016 | CFC |
| Inventor name search performed | 7/10/217 | CFC |
| Discussed application with SPE Fenn Mathew | 7/10/2017 | CFC |
| EAST search updated and attached | 7/10/2017 | CFC |
| EAST search updated and attached | 12/10/2017 | CFC |
| Discussed application with SPE Fenn MAthew | 7/1/2018 | CFC |
| Updated EAST Search | 09/21/2019 | ATK |
| Updated EAST Search | 04/30/2020 | ATK |
| Updated EAST Search | 10/26/2020 | |

| Interference Search | | | |
|---|---|---|---|
| **US Class/CPC Symbol** | **US Subclass/CPC Group** | **Date** | **Examiner** |
| - | See EAST Search History | 10/26/2020 | ATK |



Nanobebe US Inc., Ex. 1002, Page 40
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

**EAST Search History**

**EAST Search History (Prior Art)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| L2 | 1,534 | a47g19/2272.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/10/26 12:37 |
| L3 | 196 | a47g19/2272.cpc. and nipple | US-PGPUB; USPAT; USOCR | OR | ON | 2020/10/26 12:46 |
| L4 | 0 | a61j9/002.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/10/26 13:07 |
| L5 | 98 | a61j11/0035.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/10/26 13:07 |
| L6 | 111 | a61j11/005.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/10/26 13:13 |
| L7 | 96 | a61j11/006.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/10/26 13:14 |
| L9 | 305 | a61j11/002.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/10/26 13:51 |
| S1 | 57 | ("20060060593" \| "5118000" \| "6702135" \| "20040262320" \| "4726616" \| "7185783" \| "8066144" \| "4186844" \| "4676431" \| "5490606" \| "5878904" \| "20090032545" \| "4157609" \| "4315574" \| "4955501" \| "5423448" \| "D376456" \| "4373849" \| "20090179444" \| "D351703" \| "D422450" \| "D351702" \| "20110000382" \| "8123061" \| "7766186").PN. | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/22 21:19 |
| S2 | 10 | (("HANKEY") near3 ("J")).INV. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:29 |
| S3 | 32 | ("4373849" \| "4676431" \| "4186844" \| "5118000" \| "4315574" \| "5423448" \| "20090032545" \| "4157609" \| "6702135" \| "4955501" \| "20060060593" \| "20110000382").PN. | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/22 21:30 |
| S4 | 40 | ("0961780" \| "1226511" \| "1880250" \| "2702142" \| "3315828" \| "3893722" \| "4057020" \| "4088071" \| "4182530" \| "4456141" \| "5035563" \| "5042856" \| | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:36 |

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|---|---|---|---|---|---|---|
| | | "5098250" \| "5103994" \| "5201434").PN. OR ("5490606").URPN. | | | | |
| S5 | 33 | ("2041038" \| "4088071" \| "4342402").PN. OR ("4456141").URPN. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:37 |
| S6 | 428 | b65f1/122.cpc. b65f1/125.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:41 |
| S7 | 14 | ("3195942" \| "3946885").PN. OR ("4373849").URPN. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:44 |
| S8 | 2,600 | (dumpster refuse) and (fork tine) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 15:07 |
| S9 | 790 | (dumpster refuse) and (fork tine) with (lift dump) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 15:08 |
| S10 | 355 | (dumpster refuse) same (fork tine) with (lift dump) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 15:08 |
| S11 | 371 | b65d90/0033.cpc. | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 17:16 |
| S12 | 20 | b65d90/0033.cpc. and (dumpster refuse) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 17:16 |
| S13 | 139 | b65d90/0033.cpc. and (fork) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 17:19 |
| S14 | 56 | (round$2) with corner same clean$4 and (dumpster refuse) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 17:37 |

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|---|---|---|---|---|---|---|
| S15 | 2 | (daniel near3 hankey).in. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/23 18:12 |
| S16 | 56 | (round$2) with corner same clean$4 and (dumpster refuse) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 18:13 |
| S17 | 438 | b65f1/122.cpc. b65f1/125.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/09/07 10:58 |
| S18 | 401 | b65d90/0033.cpc. | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/09/07 10:58 |
| S19 | 1 | "20150314907".pn. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/09 13:59 |
| S20 | 1 | "5518313".pn. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/10 19:55 |
| S21 | 1 | "20010000480".pn. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/10 20:07 |
| S22 | 1 | "3804139" .pn. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/10 20:32 |
| S23 | 383 | b65f1/122.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/12 10:47 |
| S24 | 31 | ("3217913" \| "3504813" \| "3669485" \| "4088071" \| "4290352" \| "4373849").PN. OR ("4726616").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:47 |
| S25 | 21 | ("3122249" \| "3136575" \| "3230003" \| "3315828").PN. OR ("3669485").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:49 |
| S26 | 40 | ("0814563" \| "20020030335" \| "20020125151" \| "3214506" \| "3643993" \| "3840141" \| "4214670" \| "4456141" \| "4550849" \| "4726616" \| "4913301" \| "4955501" \| "5105967" \| "5183180" \| "5330071" \| "5423448" \| "5538158" \| "5582322" \| "5776405" \| "5886068" \| "5922267" \| "6632064" \| "6676175" \| "6702135").PN. OR ("7237689").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:54 |

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|---|---|---|---|---|---|---|
| S27 | 35 | ("20010052522" \| "20030146230" \| "20080197645" \| "20090179444" \| "20100254796" \| "4182530" \| "4550849" \| "4676431" \| "4726616" \| "4811660" \| "5011036" \| "5108000" \| "5183180" \| "5330071" \| "5423448" \| "5967361" \| "6632064" \| "7198166" \| "7234327" \| "7237689" \| "7334680" \| "7762565" \| "8141921" \| "D353727" \| "D359618" \| "D369022" \| "D370701" \| "D371399" \| "D414606" \| "D421180" \| "D506063" \| "D517265" \| "D543332" \| "D564168" \| "D620218").PN. OR ("8322561").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:56 |
| S29 | 269 | (trash refuse garbage waste) with (container receptacle dumpster) and (fork prong) same (pocket channel) | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:59 |
| S30 | 39 | ("2803363" \| "2808161" \| "3083849" \| "3195942" \| "3283933" \| "3342358").PN. OR ("3643993").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:00 |
| S31 | 125 | ("1114321" \| "1355213" \| "1991965" \| "2202675" \| "2952379" \| "2978136" \| "3288319" \| "3315800" \| "3332319" \| "3374915" \| "3386218" \| "3397496" \| "3655087" \| "3797691" \| "3853238" \| "3940007" \| "3974616" \| "4000827" \| "4050604" \| "4120551" \| "4171059" \| "4376593" \| "4503973" \| "4643314" \| "4673087").PN. OR ("4809851").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:08 |
| S32 | 34 | ("2624487" \| "3009591" \| "3416852" \| "3616964" \| "3623631" \| "3823972" \| "4335828" \| "4416374" \| "4550849" \| "4917257" \| "4969813" \| "5114304" \| "5230434").PN. OR ("5330071").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:11 |
| S33 | 29 | ("3623631" \| "3823972" \| "4136465" \| "4335828" \| "4416374" \| "4445623").PN. OR ("4550849").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:11 |
| S34 | 14 | ("20060045680" \| "20080197645" \| "20080237251" \| "20090179444" \| "20100089259" \| "3669485" \| "4550849" \| "4798081" \| "5089321" \| "5183180" \| "5330071" \| "7237689" \| "8141921").PN. OR ("8631940").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:15 |
| S35 | 21 | ("3122249" \| "3136575" \| "3230003" \| "3315828").PN. OR ("3669485").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:16 |
| S36 | 80 | ("2181150" \| "3311257" \| "3937355" \| "3987829" \| "4231482" \| "4550849" \| "4600113" \| "4771940").PN. OR ("5183180").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:18 |

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|---|---|---|---|---|---|---|
| S37 | 34 | ("2624487" \| "3009591" \| "3416852" \| "3616964" \| "3623631" \| "3823972" \| "4335828" \| "4416374" \| "4550849" \| "4917257" \| "4969813" \| "5114304" \| "5230434").PN. OR ("5330071").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:24 |
| S38 | 67 | ("2708047" \| "2763386" \| "2799418" \| "3014344" \| "3016157" \| "3016175" \| "3085703" \| "3301422" \| "3339763" \| "3342358" \| "3446377" \| "3451571" \| "3506149" \| "3563137" \| "3604577" \| "3659733" \| "3765554" \| "3874534" \| "3921839" \| "4067464" \| "4096595" \| "4147266" \| "4175903" \| "4183711" \| "4310279" \| "4552500" \| "4648775" \| "4715767" \| "Re31060").PN. OR ("5114304").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:25 |
| S39 | 14 | ("4405278" \| "4726616" \| "5762462" \| "0530057" \| "7871233" \| "6379099" \| "8550282" \| "6296132" \| "3270902" \| "4676431" \| "20100254796" \| "4771940" \| "5613822" \| "7083060").PN. | US-PGPUB; USPAT | OR | OFF | 2018/02/20 14:00 |
| S40 | 1,197 | (trash refuse garbage waste) and (fork prong) same (pocket channel) | US-PGPUB; USPAT; USOCR | OR | OFF | 2018/02/20 14:03 |
| S41 | 387 | b65f1/122.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2018/02/20 14:11 |
| S43 | 33 | ("3217913" \| "3504813" \| "3669485" \| "4088071" \| "4290352" \| "4373849").PN. OR ("4726616").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/17 21:26 |
| S44 | 33 | ("3217913" \| "3504813" \| "3669485" \| "4088071" \| "4290352" \| "4373849").PN. OR ("4726616").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/17 21:31 |
| S45 | 10 | b65f1/122.cpc. and seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:33 |
| S46 | 34 | dumpster and weld$4 with seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:35 |
| S47 | 208 | refuse and weld$4 with seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:36 |
| S48 | 5,955 | (trash garbage waste) and weld$4 with seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:39 |
| S49 | 4,326 | (trash garbage waste) and weld$4 with seam and (metal steel) | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:40 |

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|---|---|---|---|---|---|---|
| S50 | 864 | (trash garbage waste) and weld$4 with seam same (conrner wall) and (metal steel) | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:40 |
| S51 | 452 | (trash garbage waste) and weld$4 with seam same (conrner wall) and (metal steel) with sheet | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:40 |
| S52 | 1,032 | b65d88/54.cpc. B65D88/56.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:48 |
| S53 | 0 | (b65d88/54.cpc. B65D88/56.cpc.) and weld$4 with seam same (conrner wall) and (metal steel) with sheet | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:49 |
| S54 | 21 | (b65d88/54.cpc. B65D88/56.cpc.) and weld$4 with seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:49 |
| S55 | 12 | ("4373849" \| "4676431" \| "4186844" \| "5118000" \| "4315574" \| "5423448" \| "20090032545" \| "4157609" \| "6702135" \| "4955501" \| "20060060593" \| "20110000382").PN. | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:54 |
| S57 | 122 | ("20020030029" \| "20040188373" \| "20040226906" \| "20050258123" \| "3704803" \| "4999568" \| "5881893" \| "6270519" \| "7210591" \| "7252676" \| "D425994" \| "D454642" \| "1510571" \| "20050224444" \| "20050247658" \| "20070045214" \| "20080210655" \| "6032810" \| "6321931" \| "6645228" \| "7108676" \| "D404138" \| "D459815" \| "20050184022" \| "20050252875" \| "20070163983" \| "20070278172" \| "20080128379" \| "20080188894" \| "20080314776" \| "4834099" \| "6959827" \| "D444239" \| "D464434" \| "D465028" \| "D520142" \| "D626246" \| "20050247719" \| "20070138121" \| "20070272645" \| "20080173654" \| "3097757" \| "3493139" \| "5474028" \| "7320678" \| "7395941" \| "D412582" \| "D444239" \| "D547874" \| "2688326" \| "20030093120" \| "20040124168" \| "20050056611" \| "20070221604" \| "3990596" \| "5678710" \| "6032810" \| "6161710" \| "6883672" \| "7243814" \| "7828821" \| "D405530" \| "D421306" \| "D488560" \| "D498849" \| "D504724" \| "D539910" \| "D599028" \| "20030089676" \| "20030089676" \| "0380835" \| "2517457" \| "20040256345" \| "20050035078" \| "20050288712" \| "20070021782" \| "20070095778" \| | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/18 08:59 |

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|---|---|---|---|---|---|---|
| | | "20070187419" \| "20070283666" \| "5544766" \| "5706973" \| "6981962" \| "6994225" \| "7185775" \| "D384748" \| "2588069" \| "2517457" \| "20030106872" \| "20050277987" \| "20060011571" \| "20090005811" \| "20090039046" \| "3946888" \| "5673806" \| "6003698" \| "6241110" \| "6357620" \| "6984688" \| "7122045" \| "7150370" \| "D441870" \| "D463567" \| "D479606" \| "D504723" \| "D504725" \| "2588069" \| "2956702" \| "20020063103" \| "20030032984" \| "20030093120" \| "20040026351" \| "20070102388" \| "20070138709" \| "0380835" \| "5101991" \| "5784999" \| "6422415" \| "6745912" \| "6745912" \| "7204386" \| "7481324" \| "D620125" \| "6959827").PN. | | | | |
| S58 | 72 | ("1545436" \| "1632854" \| "1672734" \| "1989060" \| "2638094" \| "2655920" \| "3530979" \| "3650270" \| "3777753" \| "3779413" \| "3790017" \| "4195638" \| "4238040" \| "4676386" \| "4815615" \| "5542922" \| "5544766").PN. OR ("6003698").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 14:55 |
| S59 | 81 | ("1545436" \| "1672466" \| "1672734" \| "1984839" \| "2060212" \| "2655920" \| "2736446" \| "2825479" \| "3190288" \| "3193125" \| "3645413" \| "3661288" \| "3787993" \| "3858741" \| "4006836" \| "4832214" \| "5322031" \| "5544766" \| "5690679" \| "D371848").PN. OR ("6032810").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:20 |
| S60 | 26 | ("0605161" \| "1146639" \| "1573519" \| "20040220618" \| "20060011572" \| "20070068890" \| "20070272645" \| "20080210655" \| "20120074090" \| "2517457" \| "2588069" \| "2956702" \| "4505398" \| "5114374" \| "5544766" \| "5553726" \| "6032810" \| "6645228" \| "6745912" \| "8181800").PN. OR ("9561157").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:24 |
| S61 | 8 | ("2003/0106872").URPN. | USPAT | OR | OFF | 2019/09/18 15:31 |
| S62 | 7 | ("20030106872" \| "20050252875" \| "20070102388" \| "20090039046" \| "6343704").PN. OR ("8708174").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:32 |
| S63 | 5 | ("6343704" \| "20040102388" \| "20050252875" \| "20050252875" \| "20030106872" \| "20090039046").PN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:34 |

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|---|---|---|---|---|---|---|
| S64 | 120 | ("0380835" \| "20020030029" \| "20020063103" \| "20030032984" \| "20030093120" \| "20030106872" \| "20040026351" \| "20040124168" \| "20040188373" \| "20040226906" \| "20040256345" \| "20050035078" \| "20050056611" \| "20050184022" \| "20050224444" \| "20050247658" \| "20050247719" \| "20050252875" \| "20050258123" \| "20050277987" \| "20050288712" \| "20060011571" \| "20070021782" \| "20070045214" \| "20070095778" \| "20070102388" \| "20070131637" \| "20070138121" \| "20070138709" \| "20070163983" \| "20070187419" \| "20070221604" \| "20070272645" \| "20070278172" \| "20070283666" \| "20080128379" \| "20080173654" \| "20080188894" \| "20080210655" \| "20080314776" \| "20090005811" \| "20090039046" \| "2517457" \| "2588069" \| "2956702" \| "3097757" \| "3704803" \| "3946888" \| "3990596" \| "4834099" \| "4993568" \| "5101991" \| "5474028" \| "5544766" \| "5673806" \| "5678710" \| "5706973" \| "5784999" \| "5881893" \| "6003698" \| "6032810" \| "6161710" \| "6241110" \| "6270519" \| "6321931" \| "6357620" \| "6422415" \| "6645228" \| "6745912" \| "6883672" \| "6959827" \| "6981962" \| "6984688" \| "6994225" \| "7108676" \| "7122045" \| "7150370" \| "7185775" \| "7204386" \| "7210591" \| "7243814" \| "7252676" \| "7320678" \| "7395941" \| "7481324" \| "7828821" \| "8181800" \| "8646632" \| "D384748" \| "D404138" \| "D405530" \| "D412582" \| "D421306" \| "D425994" \| "D441870" \| "D444239" \| "D454642" \| "D459815" \| "D463567" \| "D464434" \| "D465028" \| "D479606" \| "D486579" \| "D488560" \| "D498849" \| "D504723" \| "D504724" \| "D504725" \| "D520142" \| "D539910" \| "D547874").PN. OR ("D599028" \| "D620125" \| "D626246").PN. OR ("8910810").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:35 |
| S65 | 1,060 | 215/11.5 | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:35 |
| S66 | 13 | ("6959827" \| "4505398" \| "2989961" \| "20040220618" \| "0956741" \| "3232466" \| | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:36 |

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|---|---|---|---|---|---|---|
| | | "20070131637" \| "5117994" \| "4613050" \| "5881893" \| "0956741").PN. | | | | |
| S67 | 1 | "6997138".pn. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/19 10:27 |
| S68 | 0 | 2005/0147778.pn. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/19 15:24 |
| S69 | 1 | "20050147778".pn. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/19 15:25 |
| S70 | 7 | ("20030106872" \| "20050252875" \| "20070102388" \| "20090039046" \| "6343704").PN. OR ("8708174").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 12:39 |
| S71 | 48 | ("0805641" \| "1146639" \| "1510571" \| "2616581" \| "2699778" \| "3042002" \| "3593870" \| "4993568" \| "5101991" \| "Re11086").PN. OR ("5474028").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 12:39 |
| S72 | 34 | ("3593870" \| "4993568" \| "5474028" \| "Re11086").PN. OR ("5784999").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 12:50 |
| S73 | 7 | ("20030106872" \| "20050252875" \| "20070102388" \| "20090039046" \| "6343704").PN. OR ("8708174").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:14 |
| S74 | 23 | ("1146639" \| "20040188373" \| "20050252875" \| "20070102388" \| "20070131637" \| "20090200257" \| "20100163508" \| "20120175335" \| "20120265245" \| "20140124469" \| "2174361" \| "2616581" \| "3593870" \| "3722728" \| "4505398" \| "4993568" \| "5101992" \| "6883672" \| "8640897" \| "8708174").PN. OR ("9750664").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:15 |
| S75 | 75 | ("0556859" \| "1146639" \| "1573519" \| "1683246" \| "1733184" \| "1759568" \| "2105170" \| "2174361" \| "2269223" \| "2366214" \| "2388915" \| "2588069" \| "2616581" \| "2699169" \| "2709434" \| "2864520" \| "2989961" \| "2996207" \| "3077279" \| "3130725" \| "3232466" \| "3777753").PN. OR ("4505398").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:17 |
| S76 | 14 | ("0956741" \| "20040220618" \| "20070131637" \| "2989961" \| "3232466" \| "4505398" \| "4613050" \| "5117994" \| "5881893" \| "6959827").PN. OR ("8215504").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:22 |

| | | | | | | |
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| S77 | 34 | ("3593870" \| "4993568" \| "5474028" \| "Re11086").PN. OR ("5784999").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:24 |
| S78 | 34 | ("3593870" \| "4993568" \| "5474028" \| "Re11086").PN. OR ("5784999").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:29 |
| S79 | 40 | ("20050252875" \| "20070068890" \| "20070221604" \| "20090014403" \| "20090200257" \| "20120012550" \| "2616581" \| "3207349" \| "3358864" \| "3393817" \| "3424157" \| "3549036" \| "3593870" \| "3722728" \| "4782975" \| "4828141" \| "4946062" \| "4993568" \| "5101991" \| "5474028" \| "5678710" \| "5779071" \| "5784999" \| "5881893" \| "6102245" \| "6116457" \| "6371315" \| "6588613" \| "7150370" \| "7267245" \| "7959021" \| "8579132").PN. OR ("8733565").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:30 |
| S80 | 15 | ("1545436").URPN. | USPAT | OR | OFF | 2020/04/29 13:43 |
| S81 | 18 | ("1545436" \| "1562853" \| "1714241" \| "20030215313" \| "3457958" \| "3593870" \| "3664535" \| "4901647" \| "6467746" \| "7473061" \| "7784760" \| "8205557" \| "D527160").PN. OR ("10080706").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:56 |
| S82 | 8 | ("1714241").URPN. | USPAT | OR | OFF | 2020/04/29 13:58 |
| S83 | 11 | "215".clas. and smooth adj texture | USPAT | OR | OFF | 2020/04/29 16:24 |
| S84 | 24 | ("1998646" \| "20040094499" \| "20040164043" \| "20040188373" \| "20050252875" \| "20080128379" \| "20100163508" \| "2628616" \| "2736446" \| "2980113" \| "4613050" \| "5797505" \| "5881893" \| "6371315").PN. OR ("8579132").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/30 12:25 |
| S85 | 264 | "215".clas. and baby and grip | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:26 |
| S86 | 850 | "215".clas. and (infant baby child) and grip | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:27 |
| S87 | 129 | "215".clas. and (infant baby child) and grip with wall | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:27 |
| S88 | 255 | "215".clas. and (infant baby child) and grip$4 with wall | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:27 |

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|---|---|---|---|---|---|---|
| S89 | 115 | ("0954564" \| "1693117" \| "1713858" \| "2569139" \| "2831596" \| "2959314" \| "2986296" \| "3105324" \| "3746198" \| "4557392" \| "4570808" \| "4700856" \| "4941579" \| "D003243" \| "D063326" \| "D082083" \| "D114660" \| "D121192" \| "D145758" \| "D146127" \| "D149506" \| "D167914" \| "D174591" \| "D178259" \| "D189373" \| "D193216" \| "D198404" \| "D198405" \| "D214147" \| "D230325" \| "D232274" \| "D259096" \| "D282052" \| "D283920" \| "D286911" \| "D287337" \| "D320455" \| "D320859").PN. OR ("5215203").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/30 12:28 |
| S90 | 55 | ("0438937" \| "2469489" \| "2514744" \| "2789002" \| "2793778" \| "2831596" \| "2986296" \| "3145867" \| "3443710" \| "3746198" \| "4570808" \| "4676387" \| "4700856" \| "4750630" \| "4813556" \| "4832213" \| "4867325" \| "4940151" \| "4941579" \| "5024341" \| "5145077" \| "5215203" \| "D226688" \| "D249076" \| "D286911" \| "D309781" \| "D311063" \| "D316754" \| "D321936" \| "D376430" \| "D382968").PN. OR ("6305562").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/30 12:30 |
| S91 | 61 | "215".clas. and (nipple) and grip$4 with wall | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:32 |
| S92 | 48 | "220".clas. and (nipple) and grip$4 with wall | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:35 |
| S93 | 2 | "215".clas. and hourglass with wall same grip$4 | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:36 |
| S94 | 0 | "220".clas. and hourglass with wall same grip$4 | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:36 |
| S95 | 0 | "220".clas. and hour adj glass with wall same grip$4 | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:36 |
| S96 | 1,578 | "215".clas. and nipple | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:36 |
| S97 | 1,317 | "215".clas. and nipple and bottle | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:37 |
| S98 | 29 | "215".clas. and nipple and bottle and waist | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:37 |
| S99 | 39 | ("2907539" \| "3543976" \| "3977638" \| "4062510" \| "4096977" \| "4564957" \| "4865239" \| "4915337" \| "4946119" \| "4989811" \| "5249770" \| "5256834" \| "5490618").PN. OR ("5862927").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/30 12:41 |

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|---|---|---|---|---|---|---|
| S100 | 536 | a61j9/04.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/04/30 12:48 |
| S101 | 465 | a61j11/02.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/04/30 12:48 |
| S102 | 384 | a61j11/045.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/04/30 12:48 |
| S103 | 540 | a61j11/04.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/04/30 12:48 |
| S104 | 1,270 | a61j9/00.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/04/30 12:48 |

**EAST Search History (Interference)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| L8 | 0 | (vessel neck diameter threads body collar domed nipple teat sloping areola flex thinned flange valve).clm. | USPAT | AND | ON | 2020/10/26 13:30 |
| S42 | 1 | (container body lift pocket face oblique interior hexagon prong channel).clm. | USPAT | AND | OFF | 2018/02/20 15:08 |
| S56 | 12 | ("20060060593" \| "20090032545" \| "20110000382" \| "4157609" \| "4186844" \| "4315574" \| "4373849" \| "4676431" \| "4955501" \| "5118000" \| "5423448" \| "6702135").PN. OR ("8875932").URPN. | US-PGPUB; USPAT | OR | OFF | 2019/09/17 21:28 |

10/26/2020 1:51:14 PM
H:\Workspaces\14551783.wsp

# Bibliographic Data

Application No: 14/551,783

Foreign Priority claimed: (•) Yes ( ) No

35 USC 119 (a-d) conditions met: [✓] Yes [ ] No [ ] Met After Allowance

Verified and Acknowledged: /ANDREW T KIRSCH/ Examiner's Signature [ ] Initials

Title: Baby Bottle With Flexible Nipple Regions

| FILING or 371(c) DATE | CLASS | GROUP ART UNIT | ATTORNEY DOCKET NO. |
|---|---|---|---|
| 11/24/2014 | 215 | 3733 | 40395-0012003 |
| RULE | | | |

**APPLICANTS**

Mayborn (UK) Limited, New Castle Upton Type, UNITED KINGDOM

**INVENTORS**

Arnold Rees, Newcastle Upon Tyne, UNITED KINGDOM

Ian Webb, London, UNITED KINGDOM

Mark Armstrong, Wales, UNITED KINGDOM

Tom Cotton, London, UNITED KINGDOM

**CONTINUING DATA**

This application is a CON of 13364557 02/02/2012 PAT 8910810

13364557 is a CON of 11630864 09/20/2007 PAT 8181800

11630864 is a 371 of PCT/GB2005/002532 06/29/2005

**FOREIGN APPLICATIONS**

UNITED KINGDOM GB2004/14560.3 06/29/2004

UNITED KINGDOM GB2005/02599.4 02/08/2005

**IF REQUIRED, FOREIGN LICENSE GRANTED****

01/27/2016

**STATE OR COUNTRY**

UNITED KINGDOM

**ADDRESS**

FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022
UNITED STATES

**FILING FEE RECEIVED**

$1,600

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| APPLICATION NUMBER | FILING OR 371(C) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | 40395-0012003 |

**CONFIRMATION NO. 6509**

**IMPROPER CFR REQUEST**

165989
FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

*OC000000121330704*

Date Mailed: 11/16/2020

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

***Continuity, Priority Claims, Petitions, and Non-Publication Requests***

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at **(571) 272-4000** or **(571) 272-4200** or **1-888-786-0101**.

/byemane/



Nanobebe US Inc., Ex. 1002, Page 55
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

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Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | 40395-0012003 | 6509 |

165989 7590 08/26/2020

FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

| EXAMINER | |
|---|---|
| KIRSCH, ANDREW THOMAS | |

| ART UNIT | PAPER NUMBER |
|---|---|
| 3733 | |

| NOTIFICATION DATE | DELIVERY MODE |
|---|---|
| 08/26/2020 | ELECTRONIC |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PATDOCTC@fr.com



Nanobebe US Inc., Ex. 1002, Page 56
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Applicant-Initiated Interview Summary | Application No. 14/551,783 | Applicant(s) Rees et al. | | |
|---|---|---|---|---|
| | Examiner ANDREW T KIRSCH | Art Unit 3733 | AIA (First Inventor to File) Status No | Page 1 of 2 |

All participants (applicant, applicants representative, PTO personnel):

1. ANDREW T KIRSCH (Primary Examiner); Telephonic

2. Jane Du (Attorney of Record); Telephonic

**Date of Interview:** 21 August 2020

**Claims Discussed:** 18

**Prior Art Discussed:** Reilly (of record)

**Amendment proposed:** Discussed possible amendment to claim 18 regarding the collar.

---

**Issues Discussed:**

**Proposed Amendments:**
Applicant proposed amendments to claim 18 regarding the downwardly domed portion of the collar extending downwardly and outwardly from the top of the cylindrical portion and above the threaded portion which appear to be supported by the Applicant's original disclosure and define over Reilly as currently applied. No allowable language was agreed upon.

| | |
|---|---|
| /ANDREW T KIRSCH/<br>Primary Examiner, Art Unit 3733 | |

**Applicant is reminded that a complete written statement as to the substance of the interview must be made of record in the application file. It is the applicants responsibility to provide the written statement, unless the interview was initiated by the Examiner and the Examiner has indicated that a written summary will be provided. See MPEP 713.04**
Please further see:
MPEP 713.04
Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews, paragraph (b)
37 CFR § 1.2 Business to be transacted in writing

U.S. Patent and Trademark Office
PTOL-413/413b (Rev. 01/01/2015) **Interview Summary** Paper No. 20200821

**Applicant recordation instructions:** The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

**Examiner recordation instructions:** Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

# Electronic Patent Application Fee Transmittal

| | |
|---|---|
| **Application Number:** | 14551783 |
| **Filing Date:** | 24-Nov-2014 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Filer:** | Joshua A. Griswold/Monica Gamez |
| **Attorney Docket Number:** | 40395-0012003 |

Filed as Large Entity

**Filing Fees for Utility under 35 USC 111(a)**

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Basic Filing:** | | | | |
| **Pages:** | | | | |
| **Claims:** | | | | |
| **Miscellaneous-Filing:** | | | | |
| **Petition:** | | | | |
| **Patent-Appeals-and-Interference:** | | | | |
| **Post-Allowance-and-Post-Issuance:** | | | | |
| **Extension-of-Time:** | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| Extension - 1 month with $0 paid | 1251 | 1 | 200 | 200 |
| **Miscellaneous:** | | | | |
| | | **Total in USD ($)** | | **200** |

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 40376779 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 165989 |
| **Filer:** | Joshua A. Griswold/Monica Gamez |
| **Filer Authorized By:** | Joshua A. Griswold |
| **Attorney Docket Number:** | 40395-0012003 |
| **Receipt Date:** | 25-AUG-2020 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 14:53:05 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | yes |
| Payment Type | DA |
| Payment was successfully received in RAM | $200 |
| RAM confirmation Number | E20208OE53221119 |
| Deposit Account | |
| Authorized User | |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: | |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Fee Worksheet (SB06) | fee-info.pdf | 30553<br>870be2191a45f12fb71f8b402f66ac8862edaecc | no | 2 |

**Warnings:**

**Information:**

| Total Files Size (in bytes): | 30553 |
|---|---|

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | | | |
|---|---|---|---|---|---|
| First Named Inventor | : | Arnold Rees | Art Unit | : | 3733 |
| Application No. | : | 14/551,783 | Examiner | : | Andrew Thomas Kirsch |
| Filed | : | November 24, 2014 | Conf. No. | : | 6509 |
| Title | : | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | | | |

**Mail Stop Amendment**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REPLY TO ACTION OF MAY 5, 2020

Please consider the following reply.

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 40376656 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 165989 |
| **Filer:** | Joshua A. Griswold/Monica Gamez |
| **Filer Authorized By:** | Joshua A. Griswold |
| **Attorney Docket Number:** | 40395-0012003 |
| **Receipt Date:** | 25-AUG-2020 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 14:47:38 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | | 40395-0012003_Reply.pdf | 177034<br>be534b621eea369b7b6f94b94a1b75f854238826 | yes | 13 |

| | Multipart Description/PDF files in .zip description | | |
|---|---|---|---|
| | **Document Description** | **Start** | **End** |
| | Applicant Arguments/Remarks Made in an Amendment | 9 | 13 |
| | Claims | 2 | 8 |
| | Amendment/Req. Reconsideration-After Non-Final Reject | 1 | 1 |
| **Warnings:** | | | |
| **Information:** | | | |
| | **Total Files Size (in bytes):** | 177034 | |

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**<u>New Applications Under 35 U.S.C. 111</u>**
**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**
**<u>National Stage of an International Application under 35 U.S.C. 371</u>**
**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**
**<u>New International Application Filed with the USPTO as a Receiving Office</u>**
**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

## REMARKS

Claims 2-8 and 14-26 are pending, with claims 18, 19, and 20 being independent. Reconsideration of the application in accordance with the following remarks is respectfully requested.

### *Interview Summary*

Applicant Examiner Kirsch for the telephonic interview conducted between Applicant's representative Jane Du and Examiner Andrew Thomas Kirsch on July 29, 2020 and August 21, 2020. The rejection under 35 U.S.C. §103(a) over U.S. Patent No. 1,672,734 (hereinafter *"Reilly"*) and potential amendments were discussed. The parties agreed that Applicant will file a Reply to the May 5, 2020 Non-Final Office Action containing any amendments to be considered.

### *Objections to the Specification*

The disclosure is objected to because of the following asserted informalities: lip valve is referred to as number 123 and 124. Element number 124 is also used to refer to a "slit valve". Element number 30 is used to denote a soother and a collar. The collar is referred to by element numbers 30 and 130. In the Reply filed on 2/10/2020, Applicant amended the relevant paragraphs of the specification to correct these typographic errors. Applicant submits that the amendments therein have corrected all informalities.

The disclosure is further objected to as failing to support Claim 21 which recites two vent passages through the domed portion. Claim 21 has been amended.

In view of the above, Applicant respectfully requests that these objections to the specification be withdrawn.

### *Objections to the Drawings*

The drawings are objected to under 37 CFR 1.83(a) as failing to show the two vent passages in the domed portion of claim 21. Claim 21 has been amended. Applicant respectfully requests that this objection to the drawings be withdrawn.

***Claim Rejections – 35 U.S.C. § 112***

Claim 19 is rejected under 35 U.S.C. § 112(b) or 35 U.S.C. § 112 (pre-AIA), second paragraph, as allegedly being indefinite. Claim 19 has been amended. Applicant respectfully requests withdrawal of the rejection.

***Claim Rejections – 35 U.S.C. §103***

Claims 18, 2-8, 16-17 and 20-23 are rejected under 35 U.S.C. §103(a) as being unpatentable over U.S. Patent No. 1,672,734 issued to Reilly (hereinafter *"Reilly"*) in view of U.S. Patent No. 5,784,999 issued to Larson et al. (hereinafter *"Larson"*). Claims 14, 15, 19 and 24 are also rejected under 35 U.S.C. §103(a) as being unpatentable over Reilly in view of Larson et al. as applied to claims 18 and 20, and further in view of U.2. Patent No. 7,134,564 issued to Verbovszky (hereinafter *"Verbovszky"*). Claims 25 and 26 are further rejected under 35 U.S.C. §103(a) as being unpatentable over Reilly in view of Larson et al. as applied to claims 18 and 20, and further in view of U.2. Patent No. 5,862,927 issued to Tebeau (hereinafter *"Tebeau"*). Applicant respectfully traverses these rejections for the reasons set forth below.

Reilly describes a nipple for a nursing bottle as illustrated in FIGS. 2 and 3, reproduced below.

Fig. 2.

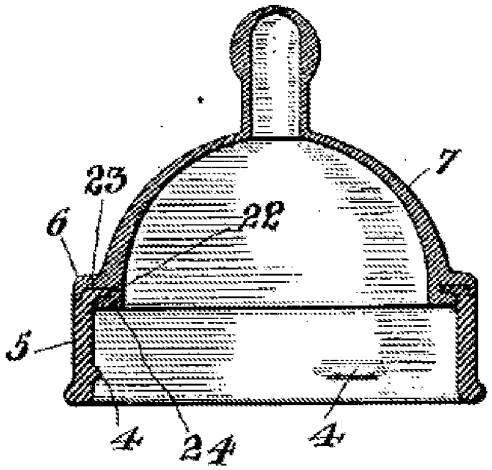
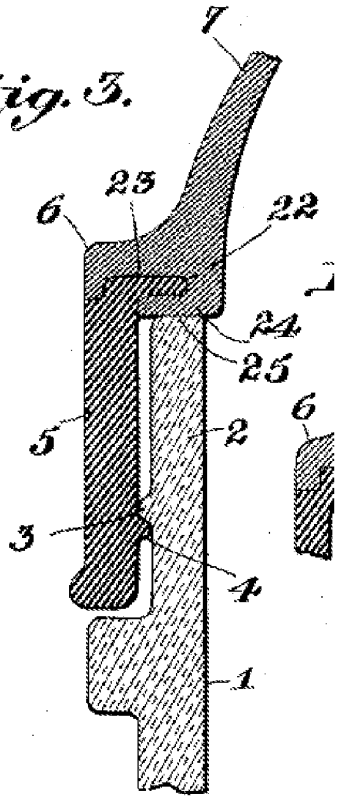



Fig. 3.




As shown, Reilly has not been shown to disclose "an internally threaded collar having: an outermost surface comprising a downwardly domed portion beginning at an upper end of the collar and extending outwardly and downwardly therefrom and disposed at least partially above said internal threads" as recited by amended claim 18. Reilly has not been shown to disclose a collar having "a downwardly extending outer domed portion connected to the internally threaded portion, wherein most of the peripheral portion extends radially away from an inner edge of the collar," as recited by amended claim 19. Reilly has not been shown to disclose a collar having "an outermost surface having a sloping portion that extends outwardly and downwardly relative to said upper rim, and wherein said sloping portion comprises a majority of the collar's outermost surface," as recited by amended claim 20. Indeed, the lower portion (5) of the nipple in Reilly extends vertically downwards until the bump out at the bottom end, wherein the majority of the surface is straight up and down.

Because Reilly in combination with Larson is not shown to disclose each and every limitation of independent claims 18 and 20, Applicant respectfully requests withdrawal of this rejection. The rejections to independent claim 19 and the dependent claims based on Reilly in combination with Verbovszky or Tebeau should be withdrawn for at least the same reasons.

## CONCLUSION

Any circumstance in which this response has (a) addressed certain comments of the Examiner does not mean that other comments of the Examiner are conceded, (b) presented arguments for the patentability of some claims does not mean that there are not other good reasons for patentability of those claims and other claims, or (c) amended or canceled a claim does not mean that any of the Examiner's positions with respect to that claim or other claims are conceded.

Applicant respectfully requests consideration of all filed IDSs not previously considered, by initialing and returning each Form PTO-1449.

If the present application is not allowed and/or if one or more of the rejections is maintained, a telephone conference with the Examiner is hereby requested, and it is further requested that the Examiner contact the undersigned attorney to schedule the telephone conference.

No fees are believed to be due at this time. However, if this is incorrect, the Commissioner is hereby authorized to charge any necessary fees or credit any overpayments to deposit account 06-1050, referencing the attorney docket number shown above.

Respectfully submitted,

Date: August 25, 2020

/Joshua A. Griswold/
Joshua A. Griswold
Reg. No. 46,310

Customer Number
Fish & Richardson P.C.
Telephone: (214) 747-5070
Facsimile: (877) 769-7945

100215782.doc

Amendments to the Specification:

Please replace the paragraph beginning at page 1, line 4 with the following amended paragraph:

This application is a continuation of pending U.S. Application Serial No. 13/364,557 filed on February 2, 2012, which was a continuation of U.S. Application Serial No. 11/630,864 ~~filed on September 20, 2007~~ which was a national filing under § 371 of International Application PCT/GB2005/002532, with an international filing date of June 29, 2005, claiming priority from Great Britain Application No. GB2004/14560.3, with a filing date of June 29, 2004, now abandoned, and Great Britain Application No. GB2005/02599.4, with a filing date of February 8, 2005, now abandoned, all of which are herein incorporated by reference.

Amendments to Claims:
Listing of Claims.

1. (Cancelled).

2. (Previously Presented) The feeding bottle of claim 18 wherein the vessel has two indentations.

3. (Previously Presented) The feeding bottle of claim 18 wherein the flange forms an elastomer sealing ring sealing against the top of the vessel.

4. (Currently Amended) The feeding bottle of claim 18 wherein the teat portion comprises an elastic material ~~open top of the vessel comprises a vessel neck~~.

5. (Currently Amended) The feeding bottle of claim 18 wherein the teat portion projects perpendicular to the open top of the vessel ~~open top of the vessel comprises an outwardly threaded vessel neck~~.

6. (Currently Amended) The feeding bottle of claim 18 wherein the internally threaded ~~cylindrical portion of the~~ collar is sized to mate with the open top of the vessel.

7. (Previously Presented) The feeding bottle of claim 18 wherein the collar comprises polypropylene.

8. (Previously Presented) The feeding bottle of claim 18 wherein the air valve is a one-way air-inlet valve.

9. - 13. (Cancelled).

14. (Previously Presented) The feeding bottle of claim 18 wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second, different texture.

15. (Currently Amended) The feeding bottle of claim 18 wherein an inner surface of the teat portion includes a helical flow path ~~areola portion has a groove extending circumferentially~~.

16. (Previously Presented) The feeding bottle of claim 18 wherein the nipple walls decrease in thickness from the base portion through to the teat portion.

17. (Previously Presented) The feeding bottle of claim 18 wherein the air valve is located below a top edge of the collar.

18. (Currently Amended) A feeding bottle comprising:

a) a vessel having:

a cylindrical neck comprising an open top with a first diameter and threads on an outer surface of said neck, and

a body with a second diameter,

the body being connected with ~~the open top~~ said neck, and the first diameter of the open top being less than the second diameter of the body;

b) an internally threaded collar having:

~~an internally threaded cylindrical portion, and~~

an outermost surface comprising a downwardly domed ~~peripheral~~ portion beginning at an upper end of the collar and extending outwardly and downwardly therefrom and disposed at least partially above said internal threads; ~~surrounding and extending from the cylindrical portion~~

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion disposed adjacent to said collar and comprising an outermost surface comprising a downwardly sloping portion between said teat portion and the upper end of the collar that, together with said collar, defines a substantially continuous outermost surface between said teat portion and a bottom of said downwardly domed portion of said collar, ~~having a wall thickness in a domed configuration~~,

an areola portion between the teat portion and the base portion, wherein said areola portion has a flex region on its inner surface comprised of a plurality of thinned regions,

a ~~cylindrical~~ flange depending from the base portion,

an air valve ~~vent passage~~ extending through said nipple and disposed (i) radially ~~the domed portion of the base portion with a length not to extend past the collar and spaced~~ inwardly from the collar and (ii) above the flange, and

~~a valve portion in communication with the vent passage and projecting downwardly from the flange,~~

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing an inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

19. **(Currently Amended)** A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter, and

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded ~~cylindrical~~ portion, and

a downwardly extending outer domed ~~peripheral~~ portion connected to ~~surrounding and extending from~~ the internally threaded ~~cylindrical~~ portion, wherein most of the peripheral portion extends radially away from an inner edge of the collar;

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness ~~in a domed configuration~~,

an areola portion between the teat portion and the base portion,

a ~~cylindrical~~ flange depending from the base portion,

a vent passage extending through ~~the domed portion of~~ the base portion and spaced inwardly from the collar, and

a valve portion in communication with the vent passage and projecting ~~downwardly~~ from the base portion ~~flange~~,

the flange being arranged to seal with the vessel;

wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second, different texture;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

20. (Currently Amended) A feeding bottle comprising:

a) a vessel having an open top,

b) a collar having an upper rim and a lower rim comprising:

an internally threaded inner surface,

an outermost surface having a sloping portion that extends outwardly and downwardly relative to said upper rim, and

wherein said sloping portion comprises a majority of the collar's outermost surface, ~~cylindrical portion, and a downwardly domed portion surrounding and extending from the cylindrical portion,~~;

c) a [[the]] nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having ~~an outer wall thickness in~~ a domed configuration,

an areola portion between the teat portion and the base portion,

a ~~cylindrical~~ flange depending from the base portion,

a one-way air inlet valve (i) extending through said base, (ii) projecting downwardly from the base portion, (iii) having a length that does not to extend past the collar's lower rim, and (iv) disposed at least partially inwardly from the collar;

~~a vent passage extending through the domed portion of the base portion with a length not to extend past the collar and spaced inwardly from the collar, and~~

~~a valve portion in communication with the vent passage and projecting downwardly from the~~ base portion ~~flange~~,

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing an inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

21. (Currently Amended) The feeding bottle of claim 20 wherein an inner surface of the teat portion has a groove configured to flex in a direction of the liquid flow ~~the nipple has at least two vent passages extending through the domed portion of the base~~.

22. (Previously Presented) The feeding bottle of claim 20 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

23. (Currently Amended) The feeding bottle of claim 20 wherein the air inlet valve comprises a slit opening ~~air valve is a one-way air inlet valve~~.

24. (Previously Presented) The feeding bottle of claim 20 wherein an inner surface of the areola portion has a groove extending circumferentially.

25. (Previously Presented) The feeding bottle of claim 20 wherein the vessel comprises an upper body having a first internal diameter and a portion below the upper body having a second, different internal diameter.

26. (Previously Presented) The feeding bottle of claim 25 wherein the first internal diameter is greater than the second internal diameter.

PTO/SB/06 (09-11)
Approved for use through 1/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| PATENT APPLICATION FEE DETERMINATION RECORD<br>Substitute for Form PTO-875 | Application or Docket Number<br>14/551,783 | Filing Date<br>11/24/2014 | ☐ To be Mailed |
|---|---|---|---|

**ENTITY:** ☑ LARGE ☐ SMALL ☐ MICRO

## APPLICATION AS FILED - PART I

| FOR | (Column 1)<br>NUMBER FILED | (Column 2)<br>NUMBER EXTRA | | RATE ($) | FEE ($) |
|---|---|---|---|---|---|
| ☐ BASIC FEE<br>(37 CFR 1.16(a), (b), or (c)) | N/A | N/A | | N/A | |
| ☐ SEARCH FEE<br>(37 CFR 1.16(k), (i), or (m)) | N/A | N/A | | N/A | |
| ☐ EXAMINATION FEE<br>(37 CFR 1.16(o), (p), or (q)) | N/A | N/A | | N/A | |
| TOTAL CLAIMS<br>(37 CFR 1.16(i)) | minus 20 = | * | | x $80 = | |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(h)) | minus 3 = | * | | x $420 = | |
| ☐ APPLICATION SIZE FEE (37 CFR 1.16(s)) | If the specification and drawings exceed 100 sheets of paper, the application size fee due is $310 ($155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | |
| ☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) | | | | | |
| * If the difference in column 1 is less than zero, enter "0" in column 2. | | | | TOTAL | |

## APPLICATION AS AMENDED - PART II

| | | (Column 1) | | (Column 2) | (Column 3) | | | |
|---|---|---|---|---|---|---|---|---|
| AMENDMENT | 08/25/2020 | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | | RATE ($) | ADDITIONAL FEE ($) |
| | Total<br>(37 CFR 1.16(i)) | * 20 | Minus | ** 20 | = 0 | | x $100 = | 0 |
| | Independent<br>(37 CFR 1.16(h)) | * 3 | Minus | *** 3 | = 0 | | x $460 = | 0 |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | | |
| | | | | | | | TOTAL ADD'L FEE | 0 |

| | | (Column 1) | | (Column 2) | (Column 3) | | | |
|---|---|---|---|---|---|---|---|---|
| AMENDMENT | | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | | RATE ($) | ADDITIONAL FEE ($) |
| | Total<br>(37 CFR 1.16(i)) | * | Minus | ** | = | | x $0 = | |
| | Independent<br>(37 CFR 1.16(h)) | * | Minus | *** | = | | x $0 = | |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | | |
| | | | | | | | TOTAL ADD'L FEE | |

| | |
|---|---|
| * If the entry in column 1 is less than the entry in column 2, write "0" in column 3. | LIE |
| ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". | /THUY T TA/ |
| *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". | |
| The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. | |

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

UNITED STATES PATENT AND TRADEMARK OFFICE

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P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | 40395-0012003 | 6509 |

165989 7590 08/04/2020

FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

| EXAMINER | |
|---|---|
| KIRSCH, ANDREW THOMAS | |

| ART UNIT | PAPER NUMBER |
|---|---|
| 3733 | |

| NOTIFICATION DATE | DELIVERY MODE |
|---|---|
| 08/04/2020 | ELECTRONIC |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PATDOCTC@fr.com



Nanobebe US Inc., Ex. 1002, Page 79
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Applicant-Initiated Interview Summary | Application No. 14/551,783 | Applicant(s) Rees et al. | | |
|---|---|---|---|---|
| | Examiner ANDREW T KIRSCH | Art Unit 3733 | AIA (First Inventor to File) Status No | Page 1 of 2 |

All participants (applicant, applicants representative, PTO personnel):

1. ANDREW T KIRSCH (Primary Examiner); Telephonic

2. Jane Du (Attorney of Record); Telephonic

**Date of Interview:** 29 July 2020

**Claims Discussed:** Claim 18 was discussed.

**Prior Art Discussed:** Reilly (of record)

---

**Issues Discussed:**

**Item(s) under 35 U.S.C. 103:**
Discussed Reilly regarding interpretation and teaching of "a downwardly domed peripheral portion" of the collar . It was discussed that an amendment to the collar limitation capturing the downwardly domed portion comprising most of the collar extending and sloping outward and downward from an inner edge may overcome the art as currently applied. No allowable language was agreed upon.

| | |
|---|---|
| /ANDREW T KIRSCH/<br>Primary Examiner, Art Unit 3733 | |

**Applicant is reminded that a complete written statement as to the substance of the interview must be made of record in the application file. It is the applicants responsibility to provide the written statement, unless the interview was initiated by the Examiner and the Examiner has indicated that a written summary will be provided. See MPEP 713.04**
Please further see:
MPEP 713.04
Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews, paragraph (b)
37 CFR § 1.2 Business to be transacted in writing



**Applicant recordation instructions:** The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

**Examiner recordation instructions:** Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

UNITED STATES PATENT AND TRADEMARK OFFICE

# USPTO Automated Interview Request (AIR)

Jul 22 2020

This paper requesting to schedule and/or conduct an interview is appropriate because:

This submission is requested to be accepted as an authorization for this interview to communicate via the internet. Recognizing that Internet communications are not secure, I hereby authorize the USPTO to communicate with the undersigned concerning scheduling of the interview via video conference, instant messaging, or electronic mail, and to conduct the interview in accordance with office practice including video conferencing.

Name(s):
Jane Du

S-signature:
/Jane Du/

Registration Number:
65844

U.S. Application Number:
14551783

Confirmation Number:
6509

E-mail Address:
jdu@mayborngroup.com

Phone Number:
+1 2145385342

Proposed Time of Interview:
7-28-2020 2:00 PM ET

Alternative Proposed Time(s) of Interview:
7-29-2020 2:00 PM ET

Alternative Proposed Time(s) of Interview:
7-30-2020 10:00 AM ET

Prefered Interview Type:
Telephonic

I am the applicant or applicant's representative for this application.

Topic for Discussion:
Non-Final Office Action dated May 5, 2020

uspto UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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| APPLICATION NUMBER | FILING OR 371(C) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | 40395-0012003 |

**CONFIRMATION NO. 6509**

**IMPROPER CFR REQUEST**

165989
FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

*OC000000118620575*

Date Mailed: 07/22/2020

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

***Continuity, Priority Claims, Petitions, and Non-Publication Requests***

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at **(571) 272-4000** or **(571) 272-4200** or **1-888-786-0101**.

/byemane/



Nanobebe US Inc., Ex. 1002, Page 83
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| **UPDATED ADS**<br>**Application Data Sheet 37 CFR 1.76** | Attorney Docket Number | ~~JM-4.2~~ 40395-0012003 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.

## Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.
When referring to the current application, please leave the "Application Number" field blank.

| Prior Application Status | Patented | | | | |
|---|---|---|---|---|---|
| Application Number | Continuity Type | Prior Application Number | Filing Date **(YYYY-MM-DD)** | Patent Number | Issue Date **(YYYY-MM-DD)** |
| 14551783 | Continuation of | 13364557 | 2012-02-02 | 8910810 | 2014-12-16 |
| Prior Application Status | Patented | | | | |
| Application Number | Continuity Type | Prior Application Number | Filing Date **(YYYY-MM-DD)** | Patent Number | **Issue Date (YYYY-MM-DD)** |
| 13364557 | Continuation of | 11630864 | ~~**2007-09-20**~~ **2006-12-22** | 8181800 | 2012-05-22 |
| Prior Application Status | | | | | |
| Application Number | Continuity Type | Prior Application Number | Filing or 371(c) Date **(YYYY-MM-DD)** | | |
| 11630864 | a 371 of International | PCT/GB2005/002532 | 2005-06-29 | | |

## Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

| Signature | /Joshua A. Griswold/ | | | Date (**YYYY-MM-DD**) | ~~2020-03-03~~ 2020-07-20 |
|---|---|---|---|---|---|
| First Name | Joshua A. | Last Name | Griswold | Registration Number | 46,310 |

100167790.doc

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 40048691 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 165989 |
| **Filer:** | Joshua A. Griswold/Monica Gamez |
| **Filer Authorized By:** | Joshua A. Griswold |
| **Attorney Docket Number:** | 40395-0012003 |
| **Receipt Date:** | 20-JUL-2020 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 15:02:19 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Request for Corrected Filing Receipt | 40395-0012003_Request.pdf | 47919<br>b18598f20ceccd97c91d12cf43459882899b01c4 | no | 1 |

**Warnings:**

| | | | | | |
|---|---|---|---|---|---|
| **Information:** | | | | | |
| 2 | Application Data Sheet | 40395-0012003_CorrectedADS.pdf | 75578<br>982c0080d628174ac0a854486be6929c9845f6e8 | no | 1 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| This is not an USPTO supplied ADS fillable form | | | | | |
| 3 | Miscellaneous Incoming Letter | 40395-0012003_FilingReceipt.pdf | 210278<br>860277f0f016d687ed56b097e8d469358eb7f8df | no | 4 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| | | **Total Files Size (in bytes):** | 333775 | | |

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | | | |
|---|---|---|---|---|---|
| First Named Inventor | : | Arnold Rees | Art Unit | : | 3733 |
| Application No. | : | 14/551,783 | Examiner | : | Andrew Thomas Kirsch |
| Filed | : | November 24, 2014 | Conf. No. | : | 6509 |
| Title | : | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | | | |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application to correct a typographical error in the priority. An updated copy of the Application Data Sheet showing the correction is enclosed.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes is attached for your convenience.

No fee is believed to be due. If, however, there are any necessary charges or credits, please apply them to Deposit Account No. 06-1050, referencing the above attorney docket number.

Respectfully submitted,

Date: July 20, 2020

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| APPLICATION NUMBER | FILING or 371(c) DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | TOT CLAIMS | IND CLAIMS |
|---|---|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | 3733 | 1740 | JM-4.2 | 17 | 3 |

**CONFIRMATION NO. 6509**

**CORRECTED FILING RECEIPT**

165989
FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

OC000000113213448

Date Mailed: 03/06/2020

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

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**Inventor(s)**

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**Assignment For Published Patent Application**

Jackel International Limited, Northumberland, UNITED KINGDOM

**Power of Attorney:** The patent practitioners associated with Customer Number 165989

**Domestic Priority data as claimed by applicant**

This application is a CON of 13/364,557 02/02/2012 PAT 8910810
which is a CON of 11/630,864 ~~09/20/2007~~ PAT 8181800 * 12/22/2006
which is a 371 of PCT/GB2005/002532 06/29/2005
(*)Data provided by applicant is not consistent with PTO records.

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.)
UNITED KINGDOM 2004/14560.3 06/29/2004 No Access Code Provided
UNITED KINGDOM 2005/02599.4 02/08/2005 No Access Code Provided



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**Permission to Access Application via Priority Document Exchange:** No

**Permission to Access Search Results:** No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

Request to Retrieve - This application either claims priority to one or more applications filed in an intellectual property Office that participates in the Priority Document Exchange (PDX) program or contains a proper **Request to Retrieve Electronic Priority Application(s)** (PTO/SB/38 or its equivalent). Consequently, the USPTO will attempt to electronically retrieve these priority documents.

**If Required, Foreign Filing License Granted:** 01/27/2016

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 14/551,783**

**Projected Publication Date:** Not Applicable

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Baby Bottle With Flexible Nipple Regions

**Preliminary Class**

215

**Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications:** No

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.



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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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## Title 37, Code of Federal Regulations, 5.11 & 5.15

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | 40395-0012003 | 6509 |

165989 7590 05/05/2020

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| EXAMINER | |
|---|---|
| KIRSCH, ANDREW THOMAS | |

| ART UNIT | PAPER NUMBER |
|---|---|
| 3733 | |

| NOTIFICATION DATE | DELIVERY MODE |
|---|---|
| 05/05/2020 | ELECTRONIC |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PATDOCTC@fr.com



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| Office Action Summary | Application No. 14/551,783 | Applicant(s) Rees et al. | |
|---|---|---|---|
| | Examiner ANDREW T KIRSCH | Art Unit 3733 | AIA (FITF) Status No |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --*

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1)☑ Responsive to communication(s) filed on 2/10/2020.

☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2a)☐ This action is **FINAL.** 2b) ☑ This action is non-final.

3)☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

4)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims***

5) ☑ Claim(s) 2-8 and 14-26 is/are pending in the application.

5a) Of the above claim(s) _____ is/are withdrawn from consideration.

6) ☐ Claim(s) _____ is/are allowed.

7) ☑ Claim(s) 2-8 and 14-26 is/are rejected.

8) ☐ Claim(s) _____ is/are objected to.

9) ☐ Claim(s) _____ are subject to restriction and/or election requirement

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**Application Papers**

10)☐ The specification is objected to by the Examiner.

11)☑ The drawing(s) filed on 11/24/2014 is/are: a)☑ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

12)☑ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

a)☑ All b)☐ Some** c)☐ None of the:

1.☑ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1) ☑ Notice of References Cited (PTO-892)

2) ☐ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date _____.

3) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date _____.

4) ☐ Other: _____.



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***Notice of Pre-AIA or AIA Status***

1. The present application is being examined under the pre-AIA first to invent provisions.

2. The amendments filed 2/10/2020 have been entered.

***Specification***

3. The disclosure is objected to because of the following informalities: lip valve is referred to as number 123 and 124. Element number 124 is also used to refer to a "slit valve". Element number 30 is used to denote a soother and a collar. The Collar is referred to by element numbers 30 and 130.

The disclosure fails to support Claim 21 which recites two vent passages through the domed portion. However, the disclosure as originally filed does not support an additional vent passage (specification at page 9, lines 16-27).

Appropriate correction is required.

***Drawings***

1. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the two vent passages in the domed portion of claim 21 must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate

prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

### *Claim Rejections - 35 USC § 112*

4. The following is a quotation of 35 U.S.C. 112(b):

> (b) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the inventor or a joint inventor regards as the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph:

> The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claim 19 is rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA), second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the inventor or a joint inventor, or for pre-AIA the applicant regards as the invention.

6. Claim 19 recites the limitation "the inner edge of the collar" in part d. There is insufficient antecedent basis for this limitation in the claim.

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

8. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under pre-AIA 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.

2. Ascertaining the differences between the prior art and the claims at issue.

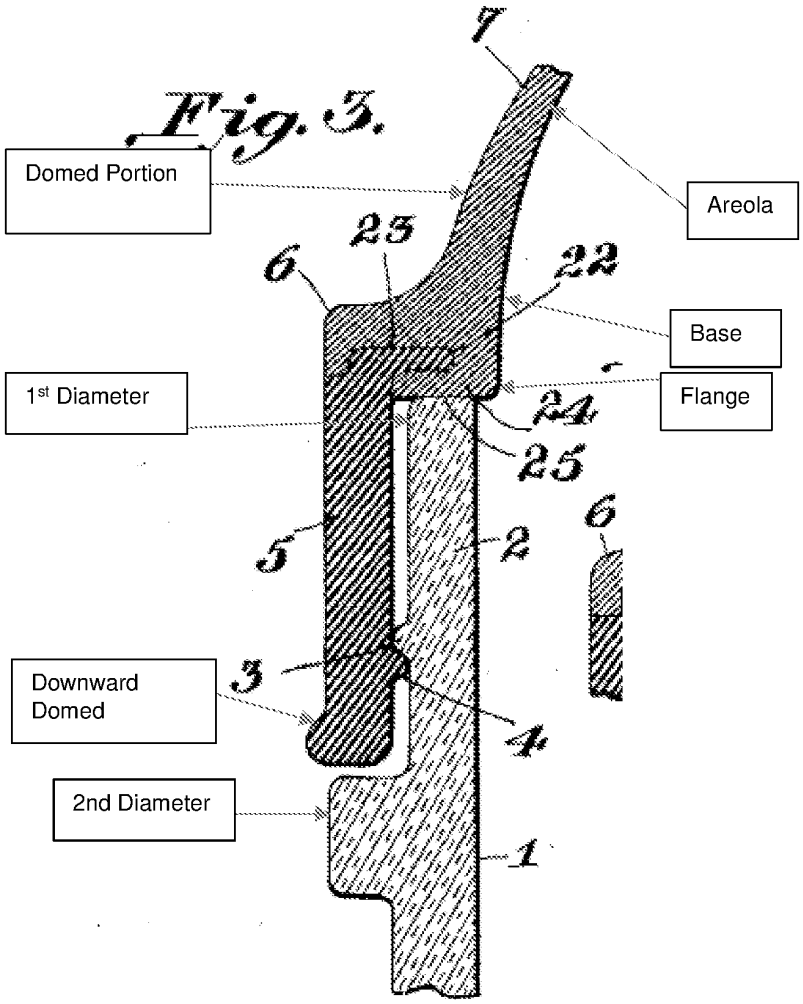
3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

9. Claims 18, 2-8, 16-17 and 20-23 is/are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over US patent No. 1,672,734 (Reilly, hereinafter) in view of US Patent No. 5,784,999 (Larson et al. hereinafter).

10. In re claim 18, Reilly discloses: A feeding bottle comprising: a) a vessel having: an open top (top of neck 2) with a first diameter, and a body (1) with a second diameter (see Fig. 3 below), the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body (see Fig. 3 below); b) a collar having (5): an internally threaded cylindrical portion (at 4), and a downwardly

domed peripheral portion surrounding and extending from the cylindrical portion, c) a nipple (6) having: a teat portion having a variable wall thickness (above 7, see Fig. 2) including an aperture, a base portion having a wall thickness in a domed configuration, an areola portion between the teat portion and the base portion, a cylindrical flange depending from the base portion (see Fig. 3 below), the flange being arranged to seal with the vessel (at 24/25); d) the nipple being secured to the collar by sealingly capturing an inner edge of the collar (22) between the base portion and the flange (at 24); and, e) the nipple being secured to the vessel by capturing the flange of the nipple (at 24) between the collar (at 22) and the open top of the vessel (top of 2).




11. Reilly fails to disclose a vent passage extending through the domed portion of the base portion with a length not to extend past the collar and spaced inwardly from the collar, and a valve portion in communication with the vent passage and projecting downwardly from the flange.

12. However, with reference to Fig. 1, Larson et al. discloses a nipple for a baby bottle including a vent passage (13) extending through a domed portion of a base portion (47) with a length not to extend past the collar (see Fig. 5) and spaced inwardly

from the collar, a valve portion (25) in communication with the vent passage and projecting downwardly from a flange (48).

13. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have modified Reilly to have included the vent/valve of Larson et al. as an obvious upgrading of the performance of the nipple to allow air into the bottle during consumption and prevent creation of a vacuum which would collapse the nipple (Larson et al. column 6, lines 1-20), noting that in combination, the vent passage may extend “to” the collar, but would not extend “past” the collar, as the passage of Larson et al. terminates just below the domed portion and inwards of where a neck would be received.

14. In re claim 2, Reilly in view of Larson et al. disclose the claimed invention including wherein the vessel has two indentations (above and below the second diameter in Fig. 3 above).

15. In re claim 3, Reilly in view of Larson et al. disclose the claimed invention including wherein the flange forms a rubber sealing ring sealing against the top of the vessel (see Fig. 3 above).

16. Reilly fails to disclose that the rubber is an elastomer.

17. However, Larson et al. further discloses that the teat is made of elastomer (column 1, lines 17-20, and claim 1).

18. It would have been obvious to one having ordinary skill in the art at the time the invention was made to have utilized the elastic/elastomer as the rubber, since it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design

choice. *MPEP 2144.07*. Please note that in the instant application, page 7 applicant has not disclosed any criticality for the claimed limitations.

19. In re claim 4, Reilly in view of Larson et al. disclose the claimed invention including wherein the open top of the vessel comprises a vessel neck (at 2).

20. In re claim 5, Reilly in view of Larson et al. disclose the claimed invention including wherein the open top of the vessel comprises an outwardly threaded vessel neck (at 2/3).

21. In re claim 6, Reilly in view of Larson et al. disclose the claimed invention including wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel (see Fig. 3 above).

22. In re claim 7, Reilly in view of Larson et al. disclose the claimed invention including wherein the collar comprises a hard material (Reilly lines 89-98), but not specifically polypropylene.

23. However, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have utilized a widely known and used plastic in the art of closures such as polypropylene as the hard material for the collar, since it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *MPEP 2144.07*. Please note that in the instant application, page 7 applicant has not disclosed any criticality for the claimed limitations.

24. In re claim 8, Reilly in view of Larson et al. disclose the claimed invention including wherein the air valve is a one-way air-inlet valve (column 2, lines 35-40).

25. In re claim 16, Reilly in view of Larson et al. disclose the claimed invention including wherein the nipple walls decrease in thickness from the base portion through to the teat portion (see Fig. 3 above).

26. In re claim 17, Reilly in view of Larson et al. disclose the claimed invention including wherein the air valve is located below a top edge of the collar (see Fig. 5 of Larson et al.).

27. In re claim 20, Reilly in view of Larson et al. discloses: A feeding bottle comprising:a) a vessel having an open top, b) a collar having: an internally threaded cylindrical portion, and a downwardly domed peripheral portion surrounding and extending from the cylindrical portion, c) the nipple having: a teat portion having a variable wall thickness including an aperture, a base portion having a wall thickness in a domed configuration, an areola portion between the teat portion and the base portion, a cylindrical flange depending from the base portion, a vent passage extending through the domed portion of the base portion with a length not to extend past the collar and spaced inwardly from the collar, and a valve portion in communication with the vent passage and projecting downwardly from the flange, the flange being arranged to seal with the vessel (as in re claim 18 above); d) the nipple being secured to the collar by sealingly capturing an inner edge of the collar between the base portion and the flange; and, e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel (as in re claim 18 above) .

28. In re claim 21, Reilly in view of Larson et al. discloses the claimed invention except wherein the nipple has at least two vent passages extending through the domed portion of the base.

29. However, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have duplicated the number of vents/valves in the nipple, since it has been held that mere duplication of the essential working parts of a device involves only routine skill in the art. *In re Harza*, 274 F.2d 669, 124 USPQ 378 (CCPA 1960). Please note that in the instant application, page 9 lines 16-27, applicant has not disclosed any criticality for the claimed limitations.

30. In re claim 22, Reilly in view of Larson et al. discloses the claimed invention including wherein the open top of the vessel comprises an outwardly threaded vessel neck at 3, see Reilly Fig. 3).

31. In re claim 23, Reilly in view of Larson et al. discloses the claimed invention including wherein the air valve is a one-way air-inlet valve (column 2, lines 40-47).

32. Claims 14, 15, 19, and 24 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Reilly in view of Larson et al. as applied to claims 18 and 20 above, and further in view of US Patent No. 7,134,564 (Verbovszky hereinafter).

33. In re claim 14, Reilly in view of Larson et al. disclose the claimed invention including wherein an outer surface of the areola portion has a second texture (smooth).

34. Reilly in view of Larson et al. fails to disclose wherein an outer surface of the teat portion has a first texture (different from the first texture).

35. However, with reference to the figs. below, Verbovszky discloses a teat (24) with a first texture (32).

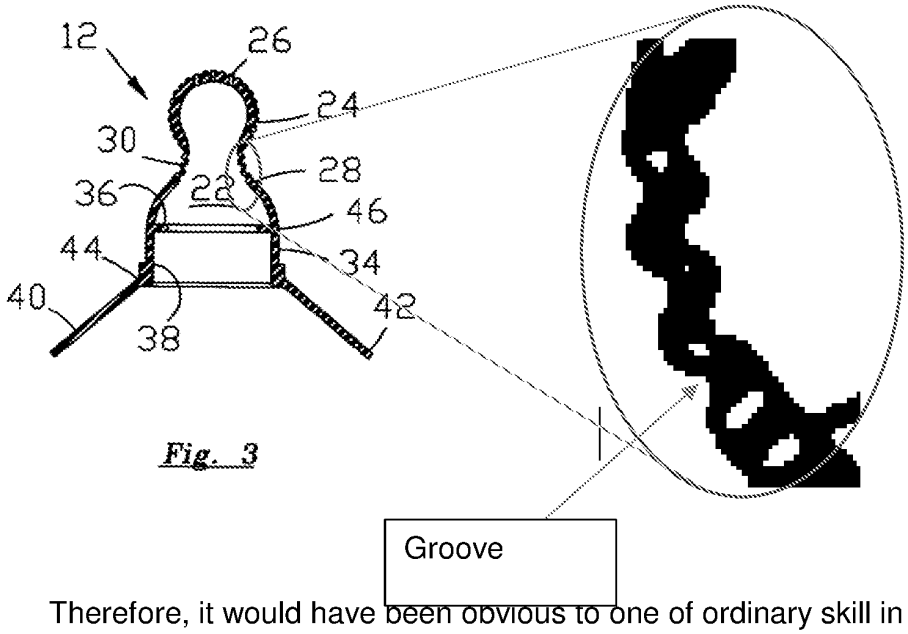



*Fig. 3*

36. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have provided a texture to the teat such as taught by Verbozszky for the purposes of enticing a baby to drink from the bottle by simulating a human nipple (Verbovszky column 2, lines 45-46), the texture being different from the smooth texture of the remaining portions of Reilly in view of Larson et al.

37. In re claim 15, Reilly in view of Larson et al. and Verbovszky disclose the claimed invention except wherein an inner surface of the areola portion has a groove extending circumferentially.

38. However, Verbovszky discloses ribs (30) located at an upper portion of an areola portion.

39. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have provided the shape of the upper portion of the areola with ribs as taught by Verbovszky in order to “define a region of smaller diameter than the terminal end and the frustum portion which helps to isolate the terminal end relative to

the frustum portion. The ribs provide an area for the infant's lips to engage to help the first portion of the cap conform to the shape of the infant's mouth".

40. In re claim 19, Reilly in view of Larson et al. and Verbovszky discloses A feeding bottle comprising: a) a vessel having: an open top with a first diameter, and a body with a second diameter, the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body; b) a collar having: an internally threaded cylindrical portion, and a downwardly domed peripheral portion surrounding and extending from the cylindrical portion, c) a nipple having: a teat portion having a variable wall thickness including an aperture, a base portion having a wall thickness in a domed configuration, an areola portion between the teat portion and the base portion, a cylindrical flange depending from the base portion, a vent passage extending through the domed portion of the base portion and spaced inwardly from the collar, and a valve portion in communication with the vent passage and projecting downwardly from the flange, the flange being arranged to seal with the vessel (as in re claim 18 above); wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second, different texture (as in re claim 14 above); d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange; and, e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel (as in re claim 18 above).

41. In re claim 24, Reilly in view of Larson et al. discloses the claimed invention except wherein an inner surface of the areola portion has a groove extending circumferentially.

42. However, Verbovszky discloses ribs (30) located at an upper portion of an areola portion.

43. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have provided the shape of the upper portion of the areola with ribs as taught by Verbovszky in order to "define a region of smaller diameter than the terminal end and the frustum portion which helps to isolate the terminal end relative to the frustum portion. The ribs provide an area for the infant's lips to engage to help the first portion of the cap conform to the shape of the infant's mouth".

44. Claims 25 and 26 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Reilly in view of Larson et al. as applied to claims 18 and 20 above, and further in view of US Patent No. 5,862,927 (Tebeau hereinafter).

45. In re claims 25 and 26, Reilly in view of Larson et al. disclose the claimed invention except wherein the vessel comprises an upper body having a first internal diameter and a portion below the upper body having a second, different internal diameter, or wherein the first internal diameter is greater than the second internal diameter.

46. However, with reference to the figs. 1 and 2, Tebeau discloses a baby bottle wherein the bottle has an upper body having a first internal diameter and a portion below the upper body having a second, different internal diameter, or wherein the first internal diameter is greater than the second internal diameter.

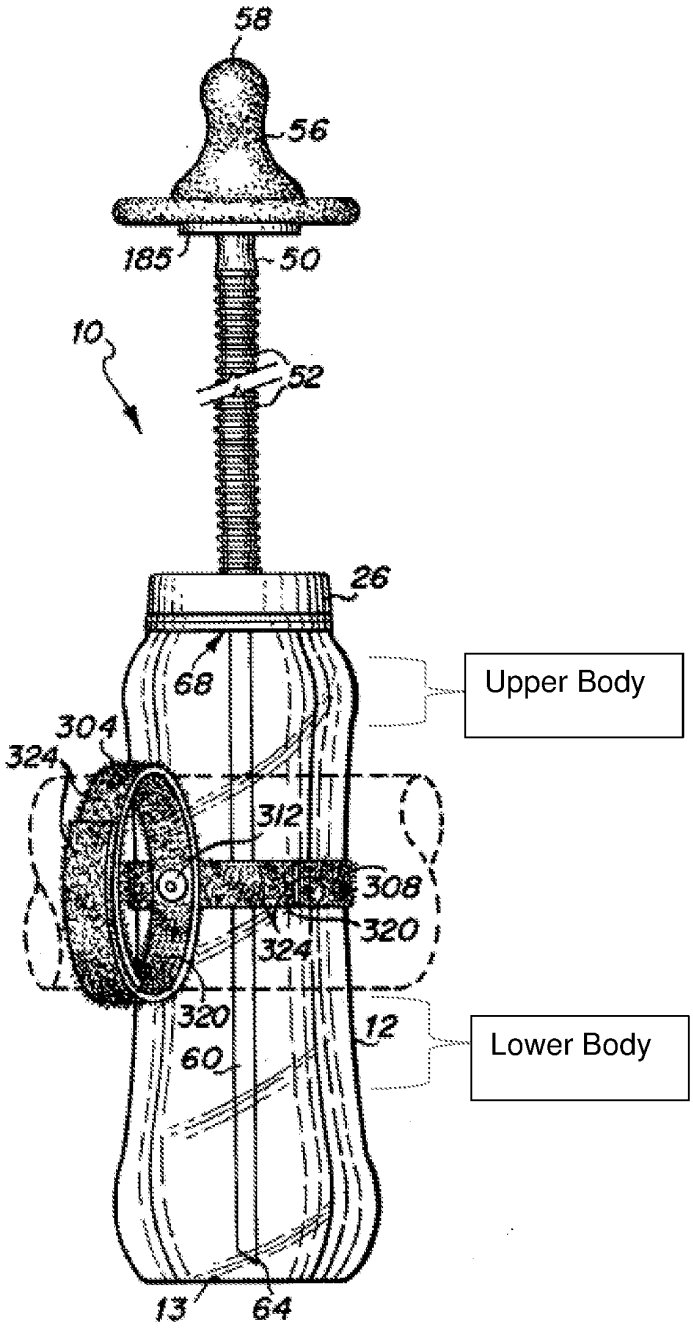

58
56
185
50
10
52
26
68
304
324
312
308
320
324
320
12
60
13
64
Upper Body
Lower Body

Fig_1

47. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have shaped the bottle as claimed for the purposes of facilitating gripping by accommodating a strap around a waist shaped portion of the bottle as taught by Tebeau (column 5, lines 45-52).

### *Response to Arguments*

48. Applicant's arguments with respect to the pending claims have been considered but are not persuasive.

49. Applicant does not present any arguments to the art used with the exception of Itzek which is no longer being used in the current non-final rejection.

### *Conclusion*

50. Any inquiry concerning this communication or earlier communications from the examiner should be directed to ANDREW THOMAS KIRSCH whose telephone number is (571)270-5723. The examiner can normally be reached on Mon-Weds, Fri, 9a-6p EST, Thu 1p-5p EST.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fenn Mathew can be reached on (571) 272-4978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/ANDREW T KIRSCH/
Primary Examiner, Art Unit 3733

| Notice of References Cited | Application/Control No. 14/551,783 | Applicant(s)/Patent Under Reexamination Rees et al. | |
|---|---|---|---|
| | Examiner ANDREW T KIRSCH | Art Unit 3733 | Page 1 of 1 |

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| | E | | | | | |
| | F | | | | | |
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



Nanobebe US Inc., Ex. 1002, Page 109
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Search Notes | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14/551,783 | Rees et al. |
| | Examiner | Art Unit |
| | ANDREW T KIRSCH | 3733 |

| CPC - Searched* | | |
|---|---|---|
| Symbol | Date | Examiner |
| A 61J 9/04 A 61J 11/02 A 61J 11/045, A 61J 11/04, A 61J 9/00 | 04/30/2020 | ATK |
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| CPC Combination Sets - Searched* | | |
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* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

| Search Notes | | |
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| Search Notes | Date | Examiner |
| Discussed application with SPE Fenn Mathew | 4/28/2015 | CFC |
| Discussed application with SPE Fenn Mathew | 2/1/2016 | CFC |
| Discussed application with SPE Fenn Mathew | 12/20/2016 | CFC |
| Inventor name search performed | 7/10/217 | CFC |
| Discussed application with SPE Fenn Mathew | 7/10/2017 | CFC |
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| EAST search updated and attached | 12/10/2017 | CFC |
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| | 14/551,783 | Rees et al. |
| | Examiner | Art Unit |
| | ANDREW T KIRSCH | 3733 |

| Interference Search | | | |
|---|---|---|---|
| US Class/CPC Symbol | US Subclass/CPC Group | Date | Examiner |
| | | | |



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**EAST Search History**

**EAST Search History (Prior Art)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| L3 | 24 | ("1998646" \| "20040094499" \| "20040164043" \| "20040188373" \| "20050252875" \| "20080128379" \| "20100163508" \| "2628616" \| "2736446" \| "2980113" \| "4613050" \| "5797505" \| "5881893" \| "6371315").PN. OR ("8579132").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/30 12:25 |
| L4 | 264 | "215".clas. and baby and grip | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:26 |
| L5 | 850 | "215".clas. and (infant baby child) and grip | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:27 |
| L6 | 129 | "215".clas. and (infant baby child) and grip with wall | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:27 |
| L7 | 255 | "215".clas. and (infant baby child) and grip$4 with wall | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:27 |
| L8 | 115 | ("0954564" \| "1693117" \| "1713858" \| "2569139" \| "2831596" \| "2959314" \| "2986296" \| "3105324" \| "3746198" \| "4557392" \| "4570808" \| "4700856" \| "4941579" \| "D003243" \| "D063326" \| "D082083" \| "D114660" \| "D121192" \| "D145758" \| "D146127" \| "D149506" \| "D167914" \| "D174591" \| "D178259" \| "D189373" \| "D193216" \| "D198404" \| "D198405" \| "D214147" \| "D230325" \| "D232274" \| "D259096" \| "D282052" \| "D283920" \| "D286911" \| "D287337" \| "D320455" \| "D320859").PN. OR ("5215203").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/30 12:28 |
| L9 | 55 | ("0438937" \| "2469489" \| "2514744" \| "2789002" \| "2793778" \| "2831596" \| "2986296" \| "3145867" \| "3443710" \| "3746198" \| "4570808" \| "4676387" \| "4700856" \| "4750630" \| "4813556" \| "4832213" \| "4867325" \| "4940151" \| "4941579" \| "5024341" \| "5145077" \| | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/30 12:30 |

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| | | "5215203" \| "D226688" \| "D249076" \| "D286911" \| "D309781" \| "D311063" \| "D316754" \| "D321936" \| "D376430" \| "D382968").PN. OR ("6305562").URPN. | | | | |
| L10 | 61 | "215".clas. and (nipple) and grip$4 with wall | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:32 |
| L11 | 48 | "220".clas. and (nipple) and grip$4 with wall | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:35 |
| L12 | 2 | "215".clas. and hourglass with wall same grip$4 | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:36 |
| L13 | 0 | "220".clas. and hourglass with wall same grip$4 | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:36 |
| L14 | 0 | "220".clas. and hour adj glass with wall same grip$4 | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:36 |
| L15 | 1578 | "215".clas. and nipple | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:36 |
| L16 | 1317 | "215".clas. and nipple and bottle | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:37 |
| L17 | 29 | "215".clas. and nipple and bottle and waist | US-PGPUB; USPAT | OR | OFF | 2020/04/30 12:37 |
| L18 | 39 | ("2907539" \| "3543976" \| "3977638" \| "4062510" \| "4096977" \| "4564957" \| "4865239" \| "4915337" \| "4946119" \| "4989811" \| "5249770" \| "5256834" \| "5490618").PN. OR ("5862927").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/30 12:41 |
| L19 | 536 | a61j9/04.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/04/30 12:48 |
| L20 | 465 | a61j11/02.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/04/30 12:48 |
| L21 | 384 | a61j11/045.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/04/30 12:48 |

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| L22 | 540 | a61j11/04.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/04/30 12:48 |
| L23 | 1270 | a61j9/00.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2020/04/30 12:48 |
| S1 | 57 | ("20060060593" \| "5118000" \| "6702135" \| "20040262320" \| "4726616" \| "7185783" \| "8066144" \| "4186844" \| "4676431" \| "5490606" \| "5878904" \| "20090032545" \| "4157609" \| "4315574" \| "4955501" \| "5423448" \| "D376456" \| "4373849" \| "20090179444" \| "D351703" \| "D422450" \| "D351702" \| "20110000382" \| "8123061" \| "7766186").PN. | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/22 21:19 |
| S2 | 10 | (("HANKEY") near3 ("J")).INV. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:29 |
| S3 | 32 | ("4373849" \| "4676431" \| "4186844" \| "5118000" \| "4315574" \| "5423448" \| "20090032545" \| "4157609" \| "6702135" \| "4955501" \| "20060060593" \| "20110000382").PN. | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/22 21:30 |
| S4 | 40 | ("0961780" \| "1226511" \| "1880250" \| "2702142" \| "3315828" \| "3893722" \| "4057020" \| "4088071" \| "4182530" \| "4456141" \| "5035563" \| "5042856" \| "5098250" \| "5103994" \| "5201434").PN. OR ("5490606").URPN. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:36 |
| S5 | 33 | ("2041038" \| "4088071" \| "4342402").PN. OR ("4456141").URPN. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:37 |
| S6 | 428 | b65f1/122.cpc. b65f1/125.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:41 |
| S7 | 14 | ("3195942" \| "3946885").PN. OR ("4373849").URPN. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:44 |
| S8 | 2600 | (dumpster refuse) and (fork tine) | US-PGPUB; USPAT; | OR | ON | 2017/03/23 15:07 |

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|---|---|---|---|---|---|---|
| | | | USOCR; EPO; JPO; DERWENT | | | |
| S9 | 790 | (dumpster refuse) and (fork tine) with (lift dump) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 15:08 |
| S10 | 355 | (dumpster refuse) same (fork tine) with (lift dump) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 15:08 |
| S11 | 371 | b65d90/0033.cpc. | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 17:16 |
| S12 | 20 | b65d90/0033.cpc. and (dumpster refuse) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 17:16 |
| S13 | 139 | b65d90/0033.cpc. and (fork) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 17:19 |
| S14 | 56 | (round$2) with corner same clean$4 and (dumpster refuse) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 17:37 |
| S15 | 2 | (daniel near3 hankey).in. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/23 18:12 |
| S16 | 56 | (round$2) with corner same clean$4 and (dumpster refuse) | US-PGPUB; USPAT; USOCR; | OR | ON | 2017/03/23 18:13 |

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|---|---|---|---|---|---|---|
| | | | EPO; JPO; DERWENT | | | |
| S17 | 438 | b65f1/122.cpc. b65f1/125.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/09/07 10:58 |
| S18 | 401 | b65d90/0033.cpc. | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/09/07 10:58 |
| S19 | 1 | "20150314907".pn. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/09 13:59 |
| S20 | 1 | "5518313".pn. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/10 19:55 |
| S21 | 1 | "20010000480".pn. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/10 20:07 |
| S22 | 1 | "3804139" .pn. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/10 20:32 |
| S23 | 383 | b65f1/122.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/12 10:47 |
| S24 | 31 | ("3217913" \| "3504813" \| "3669485" \| "4088071" \| "4290352" \| "4373849").PN. OR ("4726616").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:47 |
| S25 | 21 | ("3122249" \| "3136575" \| "3230003" \| "3315828").PN. OR ("3669485").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:49 |
| S26 | 40 | ("0814563" \| "20020030335" \| "20020125151" \| "3214506" \| "3643993" \| "3840141" \| "4214670" \| "4456141" \| "4550849" \| "4726616" \| "4913301" \| "4955501" \| "5105967" \| "5183180" \| "5330071" \| "5423448" \| "5538158" \| "5582322" \| "5776405" \| "5886068" \| | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:54 |

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| | | "5922267" \| "6632064" \| "6676175" \| "6702135").PN. OR ("7237689").URPN. | | | | |
| S27 | 35 | ("20010052522" \| "20030146230" \| "20080197645" \| "20090179444" \| "20100254796" \| "4182530" \| "4550849" \| "4676431" \| "4726616" \| "4811660" \| "5011036" \| "5108000" \| "5183180" \| "5330071" \| "5423448" \| "5967361" \| "6632064" \| "7198166" \| "7234327" \| "7237689" \| "7334680" \| "7762565" \| "8141921" \| "D353727" \| "D359618" \| "D369022" \| "D370701" \| "D371399" \| "D414606" \| "D421180" \| "D506063" \| "D517265" \| "D543332" \| "D564168" \| "D620218").PN. OR ("8322561").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:56 |
| S29 | 269 | (trash refuse garbage waste) with (container receptacle dumpster) and (fork prong) same (pocket channel) | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:59 |
| S30 | 39 | ("2803363" \| "2808161" \| "3083849" \| "3195942" \| "3283933" \| "3342358").PN. OR ("3643993").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:00 |
| S31 | 125 | ("1114321" \| "1355213" \| "1991965" \| "2202675" \| "2952379" \| "2978136" \| "3288319" \| "3315800" \| "3332319" \| "3374915" \| "3386218" \| "3397496" \| "3655087" \| "3797691" \| "3853238" \| "3940007" \| "3974616" \| "4000827" \| "4050604" \| "4120551" \| "4171059" \| "4376593" \| "4503973" \| "4643314" \| "4673087").PN. OR ("4809851").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:08 |
| S32 | 34 | ("2624487" \| "3009591" \| "3416852" \| "3616964" \| "3623631" \| "3823972" \| "4335828" \| "4416374" \| "4550849" \| "4917257" \| "4969813" \| "5114304" \| "5230434").PN. OR ("5330071").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:11 |
| S33 | 29 | ("3623631" \| "3823972" \| "4136465" \| "4335828" \| "4416374" \| "4445623").PN. OR ("4550849").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:11 |
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| | | "5330071" \| "7237689" \| "8141921").PN. OR ("8631940").URPN. | | | | |
| S35 | 21 | ("3122249" \| "3136575" \| "3230003" \| "3315828").PN. OR ("3669485").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:16 |
| S36 | 80 | ("2181150" \| "3311257" \| "3937355" \| "3987829" \| "4231482" \| "4550849" \| "4600113" \| "4771940").PN. OR ("5183180").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:18 |
| S37 | 34 | ("2624487" \| "3009591" \| "3416852" \| "3616964" \| "3623631" \| "3823972" \| "4335828" \| "4416374" \| "4550849" \| "4917257" \| "4969813" \| "5114304" \| "5230434").PN. OR ("5330071").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:24 |
| S38 | 67 | ("2708047" \| "2763386" \| "2799418" \| "3014344" \| "3016157" \| "3016175" \| "3085703" \| "3301422" \| "3339763" \| "3342358" \| "3446377" \| "3451571" \| "3506149" \| "3563137" \| "3604577" \| "3659733" \| "3765554" \| "3874534" \| "3921839" \| "4067464" \| "4096595" \| "4147266" \| "4175903" \| "4183711" \| "4310279" \| "4552500" \| "4648775" \| "4715767" \| "Re31060").PN. OR ("5114304").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:25 |
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| S40 | 1197 | (trash refuse garbage waste) and (fork prong) same (pocket channel) | US-PGPUB; USPAT; USOCR | OR | OFF | 2018/02/20 14:03 |
| S41 | 387 | b65f1/122.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2018/02/20 14:11 |
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| S44 | 33 | ("3217913" \| "3504813" \| "3669485" \| "4088071" \| "4290352" \| "4373849").PN. OR ("4726616").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/17 21:31 |
| S45 | 10 | b65f1/122.cpc. and seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:33 |
| S46 | 34 | dumpster and weld$4 with seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:35 |
| S47 | 208 | refuse and weld$4 with seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:36 |
| S48 | 5955 | (trash garbage waste) and weld$4 with seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:39 |
| S49 | 4326 | (trash garbage waste) and weld$4 with seam and (metal steel) | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:40 |
| S50 | 864 | (trash garbage waste) and weld$4 with seam same (conrner wall) and (metal steel) | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:40 |
| S51 | 452 | (trash garbage waste) and weld$4 with seam same (conrner wall) and (metal steel) with sheet | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:40 |
| S52 | 1032 | b65d88/54.cpc. B65D88/56.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:48 |
| S53 | 0 | (b65d88/54.cpc. B65D88/56.cpc.) and weld$4 with seam same (conrner wall) and (metal steel) with sheet | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:49 |
| S54 | 21 | (b65d88/54.cpc. B65D88/56.cpc.) and weld$4 with seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:49 |
| S55 | 12 | ("4373849" \| "4676431" \| "4186844" \| "5118000" \| "4315574" \| "5423448" \| "20090032545" \| "4157609" \| "6702135" \| "4955501" \| "20060060593" \| "20110000382").PN. | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:54 |

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| S57 | 122 | ("20020030029" \| "20040188373" \| "20040226906" \| "20050258123" \| "3704803" \| "4999568" \| "5881893" \| "6270519" \| "7210591" \| "7252676" \| "D425994" \| "D454642" \| "1510571" \| "20050224444" \| "20050247658" \| "20070045214" \| "20080210655" \| "6032810" \| "6321931" \| "6645228" \| "7108676" \| "D404138" \| "D459815" \| "20050184022" \| "20050252875" \| "20070163983" \| "20070278172" \| "20080128379" \| "20080188894" \| "20080314776" \| "4834099" \| "6959827" \| "D444239" \| "D464434" \| "D465028" \| "D520142" \| "D626246" \| "20050247719" \| "20070138121" \| "20070272645" \| "20080173654" \| "3097757" \| "3493139" \| "5474028" \| "7320678" \| "7395941" \| "D412582" \| "D444239" \| "D547874" \| "2688326" \| "20030093120" \| "20040124168" \| "20050056611" \| "20070221604" \| "3990596" \| "5678710" \| "6032810" \| "6161710" \| "6883672" \| "7243814" \| "7828821" \| "D405530" \| "D421306" \| "D488560" \| "D498849" \| "D504724" \| "D539910" \| "D599028" \| "20030089676" \| "20030089676" \| "0380835" \| "2517457" \| "20040256345" \| "20050035078" \| "20050288712" \| "20070021782" \| "20070095778" \| "20070187419" \| "20070283666" \| "5544766" \| "5706973" \| "6981962" \| "6994225" \| "7185775" \| "D384748" \| "2588069" \| "2517457" \| "20030106872" \| "20050277987" \| "20060011571" \| "20090005811" \| "20090039046" \| "3946888" \| "5673806" \| "6003698" \| "6241110" \| "6357620" \| "6984688" \| "7122045" \| "7150370" \| "D441870" \| "D463567" \| "D479606" \| "D504723" \| "D504725" \| "2588069" \| "2956702" \| "20020063103" \| "20030032984" \| "20030093120" \| "20040026351" \| "20070102388" \| "20070138709" \| "0380835" \| "5101991" \| "5784999" \| "6422415" \| "6745912" \| "6745912" \| "7204386" \| "7481324" \| "D620125" \| "6959827").PN. | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/18 08:59 |

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| S58 | 72 | ("1545436" \| "1632854" \| "1672734" \| "1989060" \| "2638094" \| "2655920" \| "3530979" \| "3650270" \| "3777753" \| "3779413" \| "3790017" \| "4195638" \| "4238040" \| "4676386" \| "4815615" \| "5542922" \| "5544766").PN. OR ("6003698").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 14:55 |
| S59 | 81 | ("1545436" \| "1672466" \| "1672734" \| "1984839" \| "2060212" \| "2655920" \| "2736446" \| "2825479" \| "3190288" \| "3193125" \| "3645413" \| "3661288" \| "3787993" \| "3858741" \| "4006836" \| "4832214" \| "5322031" \| "5544766" \| "5690679" \| "D371848").PN. OR ("6032810").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:20 |
| S60 | 26 | ("0605161" \| "1146639" \| "1573519" \| "20040220618" \| "20060011572" \| "20070068890" \| "20070272645" \| "20080210655" \| "20120074090" \| "2517457" \| "2588069" \| "2956702" \| "4505398" \| "5114374" \| "5544766" \| "5553726" \| "6032810" \| "6645228" \| "6745912" \| "8181800").PN. OR ("9561157").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:24 |
| S61 | 8 | ("2003/0106872").URPN. | USPAT | OR | OFF | 2019/09/18 15:31 |
| S62 | 7 | ("20030106872" \| "20050252875" \| "20070102388" \| "20090039046" \| "6343704").PN. OR ("8708174").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:32 |
| S63 | 5 | ("6343704" \| "20040102388" \| "20050252875" \| "20050252875" \| "20030106872" \| "20090039046").PN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:34 |
| S64 | 120 | ("0380835" \| "20020030029" \| "20020063103" \| "20030032984" \| "20030093120" \| "20030106872" \| "20040026351" \| "20040124168" \| "20040188373" \| "20040226906" \| "20040256345" \| "20050035078" \| "20050056611" \| "20050184022" \| "20050224444" \| "20050247658" \| "20050247719" \| "20050252875" \| "20050258123" \| "20050277987" \| "20050288712" \| "20060011571" \| | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:35 |

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| | | "20070021782" \| "20070045214" \| "20070095778" \| "20070102388" \| "20070131637" \| "20070138121" \| "20070138709" \| "20070163983" \| "20070187419" \| "20070221604" \| "20070272645" \| "20070278172" \| "20070283666" \| "20080128379" \| "20080173654" \| "20080188894" \| "20080210655" \| "20080314776" \| "20090005811" \| "20090039046" \| "2517457" \| "2588069" \| "2956702" \| "3097757" \| "3704803" \| "3946888" \| "3990596" \| "4834099" \| "4993568" \| "5101991" \| "5474028" \| "5544766" \| "5673806" \| "5678710" \| "5706973" \| "5784999" \| "5881893" \| "6003698" \| "6032810" \| "6161710" \| "6241110" \| "6270519" \| "6321931" \| "6357620" \| "6422415" \| "6645228" \| "6745912" \| "6883672" \| "6959827" \| "6981962" \| "6984688" \| "6994225" \| "7108676" \| "7122045" \| "7150370" \| "7185775" \| "7204386" \| "7210591" \| "7243814" \| "7252676" \| "7320678" \| "7395941" \| "7481324" \| "7828821" \| "8181800" \| "8646632" \| "D384748" \| "D404138" \| "D405530" \| "D412582" \| "D421306" \| "D425994" \| "D441870" \| "D444239" \| "D454642" \| "D459815" \| "D463567" \| "D464434" \| "D465028" \| "D479606" \| "D486579" \| "D488560" \| "D498849" \| "D504723" \| "D504724" \| "D504725" \| "D520142" \| "D539910" \| "D547874").PN. OR ("D599028" \| "D620125" \| "D626246").PN. OR ("8910810").URPN. | | | | |
| S65 | 1060 | 215/11.5 | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:35 |
| S66 | 13 | ("6959827" \| "4505398" \| "2989961" \| "20040220618" \| "0956741" \| "3232466" \| "20070131637" \| "5117994" \| "4613050" \| "5881893" \| "0956741").PN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:36 |
| S67 | 1 | "6997138".pn. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/19 10:27 |

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|---|---|---|---|---|---|---|
| S68 | 0 | 2005/0147778.pn. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/19 15:24 |
| S69 | 1 | "20050147778".pn. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/19 15:25 |
| S70 | 7 | ("20030106872" \| "20050252875" \| "20070102388" \| "20090039046" \| "6343704").PN. OR ("8708174").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 12:39 |
| S71 | 48 | ("0805641" \| "1146639" \| "1510571" \| "2616581" \| "2699778" \| "3042002" \| "3593870" \| "4993568" \| "5101991" \| "Re11086").PN. OR ("5474028").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 12:39 |
| S72 | 34 | ("3593870" \| "4993568" \| "5474028" \| "Re11086").PN. OR ("5784999").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 12:50 |
| S73 | 7 | ("20030106872" \| "20050252875" \| "20070102388" \| "20090039046" \| "6343704").PN. OR ("8708174").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:14 |
| S74 | 23 | ("1146639" \| "20040188373" \| "20050252875" \| "20070102388" \| "20070131637" \| "20090200257" \| "20100163508" \| "20120175335" \| "20120265245" \| "20140124469" \| "2174361" \| "2616581" \| "3593870" \| "3722728" \| "4505398" \| "4993568" \| "5101992" \| "6883672" \| "8640897" \| "8708174").PN. OR ("9750664").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:15 |
| S75 | 75 | ("0556859" \| "1146639" \| "1573519" \| "1683246" \| "1733184" \| "1759568" \| "2105170" \| "2174361" \| "2269223" \| "2366214" \| "2388915" \| "2588069" \| "2616581" \| "2699169" \| "2709434" \| "2864520" \| "2989961" \| "2996207" \| "3077279" \| "3130725" \| "3232466" \| "3777753").PN. OR ("4505398").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:17 |
| S76 | 14 | ("0956741" \| "20040220618" \| "20070131637" \| "2989961" \| "3232466" \| "4505398" \| "4613050" \| "5117994" \| | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:22 |

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|---|---|---|---|---|---|---|
| | | "5881893" \| "6959827").PN. OR ("8215504").URPN. | | | | |
| S77 | 34 | ("3593870" \| "4993568" \| "5474028" \| "Re11086").PN. OR ("5784999").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:24 |
| S78 | 34 | ("3593870" \| "4993568" \| "5474028" \| "Re11086").PN. OR ("5784999").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:29 |
| S79 | 40 | ("20050252875" \| "20070068890" \| "20070221604" \| "20090014403" \| "20090200257" \| "20120012550" \| "2616581" \| "3207349" \| "3358864" \| "3393817" \| "3424157" \| "3549036" \| "3593870" \| "3722728" \| "4782975" \| "4828141" \| "4946062" \| "4993568" \| "5101991" \| "5474028" \| "5678710" \| "5779071" \| "5784999" \| "5881893" \| "6102245" \| "6116457" \| "6371315" \| "6588613" \| "7150370" \| "7267245" \| "7959021" \| "8579132").PN. OR ("8733565").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:30 |
| S80 | 15 | ("1545436").URPN. | USPAT | OR | OFF | 2020/04/29 13:43 |
| S81 | 18 | ("1545436" \| "1562853" \| "1714241" \| "20030215313" \| "3457958" \| "3593870" \| "3664535" \| "4901647" \| "6467746" \| "7473061" \| "7784760" \| "8205557" \| "D527160").PN. OR ("10080706").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2020/04/29 13:56 |
| S82 | 8 | ("1714241").URPN. | USPAT | OR | OFF | 2020/04/29 13:58 |
| S83 | 11 | "215".clas. and smooth adj texture | USPAT | OR | OFF | 2020/04/29 16:24 |

**EAST Search History (Interference)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| S42 | 1 | (container body lift pocket face oblique interior hexagon prong channel).clm. | USPAT | AND | OFF | 2018/02/20 15:08 |

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|---|---|---|---|---|---|---|
| S56 | 12 | ("20060060593" \| "20090032545" \| "20110000382" \| "4157609" \| "4186844" \| "4315574" \| "4373849" \| "4676431" \| "4955501" \| "5118000" \| "5423448" \| "6702135").PN. OR ("8875932").URPN. | US-PGPUB; USPAT | OR | OFF | 2019/09/17 21:28 |

**4/30/2020 12:48:55 PM**
**H:\Workspaces\14551783.wsp**

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| APPLICATION NUMBER | FILING or 371(c) DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | TOT CLAIMS | IND CLAIMS |
|---|---|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | 3733 | 1740 | JM-4.2 | 17 | 3 |

**CONFIRMATION NO. 6509**

**CORRECTED FILING RECEIPT**

165989
FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

OC000000113213448

Date Mailed: 03/06/2020

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**Inventor(s)**

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Ian Webb, London, UNITED KINGDOM;
Mark Armstrong, Wales, UNITED KINGDOM;
Tom Cotton, London, UNITED KINGDOM;

**Applicant(s)**

Mayborn (UK) Limited, New Castle Upton Type, UNITED KINGDOM;

**Assignment For Published Patent Application**

Jackel International Limited, Northumberland, UNITED KINGDOM

**Power of Attorney:** The patent practitioners associated with Customer Number 165989

**Domestic Priority data as claimed by applicant**

This application is a CON of 13/364,557 02/02/2012 PAT 8910810
which is a CON of 11/630,864 09/20/2007 PAT 8181800 *
which is a 371 of PCT/GB2005/002532 06/29/2005
(*)Data provided by applicant is not consistent with PTO records.

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.)
UNITED KINGDOM 2004/14560.3 06/29/2004 No Access Code Provided
UNITED KINGDOM 2005/02599.4 02/08/2005 No Access Code Provided



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The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 14/551,783**

**Projected Publication Date:** Not Applicable

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Baby Bottle With Flexible Nipple Regions

**Preliminary Class**

215

**Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications:** No

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## Title 37, Code of Federal Regulations, 5.11 & 5.15

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| APPLICATION NUMBER | FILING OR 371(C) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 |

**CONFIRMATION NO. 6509**

2387
Olson & Cepuritis, LTD.
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36TH FLOOR
CHICAGO, IL 60606

**POWER OF ATTORNEY NOTICE**

*OC000000115213359*

Date Mailed: 03/06/2020

## NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/03/2020.

- The Power of Attorney to you in this application has been revoked by the applicant. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at **(571) 272-4000** or **(571) 272-4200** or **1-888-786-0101**.

/mbeyene/



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| APPLICATION NUMBER | FILING OR 371(C) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 |

**CONFIRMATION NO. 6509**

165989
FISH & RICHARDSON P.C.
(Mayborn Group Limited)
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

**POA ACCEPTANCE LETTER**

*OC000000115213392*

Date Mailed: 03/06/2020

## NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/03/2020.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at **(571) 272-4000** or **(571) 272-4200** or **1-888-786-0101**.

/mbeyene/



Nanobebe US Inc., Ex. 1002, Page 131
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

PTO/AIA/80 (07-17)
Approved for use through 03/31/2021. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number

# POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c).

I hereby appoint:

[x] Practitioners associated with Customer Number: 165989

**OR**

[ ] Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

| Name | Registration Number | Name | Registration Number |
|---|---|---|---|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

As attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(c).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(c) to:

[X] The address associated with Customer Number: 165989

**OR**

[ ]

| | | |
|---|---|---|
| Firm or individual name | | |
| Address | | |
| City | State | Zip |
| Country | | |
| Telephone | Email | |

Assignee name and address: MAYBORN (UK) LIMITED
MAYBORN HOUSE BALLIOL BUSINESS PARK
NEWCASTLE UPON TYNE NE12 8EW
UNITED KINGDOM

**A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of the practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed.**

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee.

| | |
|---|---|
| Signature | Date |
| Name James Taylor | Telephone |
| Title CFO , Mayborn (UK) Limited | |

This collection of information is required by 37 CFR 1.31, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public, which is to update (and by the USPTO to process) the file of a patent or reexamination proceeding. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 18 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

PTO/AIA/96 (08-12)
Approved for use through 01/31/2013. OMB 0651-0031
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# STATEMENT UNDER 37 CFR 3.73(c)

Applicant/Patent Owner: Mayborn (UK) Limited

Application No./Patent No.: 14/551,783 Filed/Issue Date: November 24, 2014

Titled: Baby Bottle With Flexible Nipple Regions

Mayborn (UK) Limited, a corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1. ☑ The assignee of the entire right, title, and interest.

2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):

   ☐ The extent (by percentage) of its ownership interest is _____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.

   ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

   Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

   Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (*e.g.*, bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

B. ☑ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Arnold REES, Ian WEBB, Mark ARMSTRONG, Tom COTTON To: Jackel International Limited

   The document was recorded in the United States Patent and Trademark Office at

   Reel 035234, Frame 0192, or for which a copy thereof is attached.

2. From: Jackel International Limited To: Mayborn (UK) Limited

   The document was recorded in the United States Patent and Trademark Office at

   Reel 049108, Frame 0059, or for which a copy thereof is attached.



This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

PTO/AIA/96 (08-12)
Approved for use through 01/31/2013. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☑ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

| | |
|---|---|
| /Joshua A. Griswold/ | March 3, 2020 |
| Signature | Date |
| Joshua A. Griswold | 46,310 |
| Printed or Typed Name | Title or Registration Number |

# Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | | | |
|---|---|---|---|---|---|
| First Named Inventor | : | Arnold Rees | Art Unit | : | 3733 |
| Application No. | : | 14/551,783 | Examiner | : | Andrew Thomas Kirsch |
| Filed | : | November 24, 2014 | Conf. No. | : | 6509 |
| Title | : | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | | | |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST TO CORRECT OR UPDATE APPLICANT NAME(S) UNDER 37 CFR § 1.46(c)

Please correct or update the name(s) of the applicant for the above-referenced application. Enclosed is an Application Data Sheet in accordance with 37 CFR § 1.76 that specifies the correct or updated name(s) of the applicant in the applicant information section.

Please apply any charges or credits to Deposit Account No. 06-1050, referencing the above attorney docket number.

Respectfully submitted,

Date: March 3, 2020

/Joshua A. Griswold/
Joshua A. Griswold
Reg. No. 46,310

Customer Number
Fish & Richardson P.C.
Telephone: (214) 747-5070
Facsimile: (877) 769-7945

91381907.doc

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | 40395-0012003 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.

## Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

**Applicant 1**

If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.

| ☒ Assignee | ☐ Legal Representative under 35 U.S.C. 117 | ☐ Joint Inventor |
|---|---|---|
| ☐ Person to whom the inventor is obligated to assign. | ☐ Person who shows sufficient proprietary interest | |

If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:

Name of the Deceased or Legally Incapacitated Inventor :

If the Applicant is an Organization check here. ☒

Organization Name ~~Jackel International Limited~~ Mayborn (UK) Limited

| Mailing Address Information: | | | |
|---|---|---|---|
| **Address 1** | ~~Dudley Lane~~ Mayborn House Balliol Business Park | | |
| Address 2 | ~~Cramlington~~ | | |
| City | ~~Northumberland~~ New Castle Upon Tyne | State/Province | ~~OT~~ |
| Country | GB | Postal Code | ~~NE23 7RH~~ NE12 8EW |
| Phone Number | | Fax Number | |
| Email Address | | | |

## Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

| Signature | ~~/Arne M. Olson/~~ /Joshua A. Griswold/ | | | Date (**MM/DD/YYYY**) | 03/03/2020 |
|---|---|---|---|---|---|
| First Name | ~~Arne~~ Joshua A. | Last Name | ~~Olson~~ Griswold | Registration Number | ~~30203~~ 46,310 |

91381905.doc

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 38758951 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Joshua A. Griswold/Monica Gamez |
| **Filer Authorized By:** | Joshua A. Griswold |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 03-MAR-2020 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 17:22:21 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Power of Attorney | 40395-0012003_POA.pdf | 74070<br>f34a046fdebf7fd702a097e910e0cc88f67f94f7 | no | 1 |

**Warnings:**

| | | | | | |
|---|---|---|---|---|---|
| The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing | | | | | |
| **Information:** | | | | | |
| 2 | Assignee showing of ownership per 37 CFR 3.73 | STATEMENT37CFR.pdf | 90509<br>425bfcac1a936db99432ae39f2a30387d1451bef | no | 3 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 3 | Miscellaneous Incoming Letter | Request.pdf | 53421<br>9374f0a4dc09f9eb064adb91de4926f20a449e92 | no | 1 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 4 | Application Data Sheet | SuppADS.pdf | 90758<br>2b8435a5ac05c2a6c0032530b59cb484cba9d2cf | no | 1 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| This is not an USPTO supplied ADS fillable form | | | | | |
| | | | **Total Files Size (in bytes):** | 308758 | |

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**<u>New Applications Under 35 U.S.C. 111</u>**
**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**
**<u>National Stage of an International Application under 35 U.S.C. 371</u>**
**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**
**<u>New International Application Filed with the USPTO as a Receiving Office</u>**
**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | | | |
|---|---|---|---|---|---|
| First Named Inventor | : | Arnold Rees | Art Unit | : | 3733 |
| Application No. | : | 14/551,783 | Examiner | : | Andrew Thomas Kirsch |
| Filed | : | November 24, 2014 | Conf. No. | : | 6509 |
| Title | : | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | | | |

**Mail Stop Amendment**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REPLY TO ACTION OF OCTOBER 9, 2019

Please consider the following reply.

Amendments to the Specification:

Please replace the paragraph beginning at page 7, line 13 with the following amended paragraph:

The screw collar 130 [[30]] includes an internally threaded cylindrical portion 132 and a downwardly domed peripheral portion 134 surrounding it and extending from an upper end of the cylindrical portion 132. The domed portion 134 includes a horizontal outward annular flange 135 of thicker cross-section at its base. The teat 110 is over-moulded onto the screw collar 130 and the domed portion 134 terminates at a central circular orifice corresponding with an outer face of the neck 128 of the vessel 112, the over-moulded portion of the teat extending inwardly slightly from this position and terminating in a downwardly depending cylindrical flange 141.

Please replace the paragraph beginning at page 8, line 5 with the following amended paragraph:

Because of the resilience of the flange portion, when an infant sucks on the teat, reducing pressure within the vessel, the lip valve 123 will flex away from the neck 128 of the vessel 112. In the region of the vent 126 in the teat 110, this allows venting between the interior of the vessel and atmosphere through the teat. Referring to FIG. 6 the lip valve 123 [[124]] can be seen viewed from the underside. In the embodiment shown it will be seen that a passage 125 actually passes through the lip valve, communicating with the vent passage 126. In that case the aperture to the passage 125 will seal against the inner face of the vessel in the sealed configuration and unseal to provide a passage.

Please replace the paragraph beginning at page 8, line 14 with the following amended paragraph:

Alternatively a [[the]] slit valve 124 can comprise a flap which flexes away from the interior surface to allow communication with a vent passage as described above.

Please replace the paragraph beginning at page 8, line 29 with the following amended paragraph:

As can best be seen in FIG. 7b, the collar portion 404 includes an internal lug 410 which projects inwardly from the inner face and engages against a stop feature on the screw threaded portion of the vessel 400 formed by the projections 408a, 408b such that the teat 110 "clicks" into a desired position. As a result a controlled compression on the lip valve 123 [[124]] is obtained such that a consistent and repeatable valving action is obtained on each use. In particular the projections 408a, 408b are separated by a recess, 408c best seen in FIG. 7c. When the collar 404 is screwed into place the lug 410 passes over the projection 408b which has a ramp towards the recess 408c. After the lug 410 has ridden up the ramp it drops into the recess 408c and is obstructed from further movement by the planar face of the projection 408a. The lug 410 further prevents the collar 404 from being unscrewed by virtue of its engagement with the abutting face of the projection 408b. However the lug 410 and projection 408b have chamfered or radiused abutting faces such that, on application of sufficient unscrewing pressure, the lug 410 rides over the chamfered face of the projection 408b and then down the ramp allowing the collar to be fully unscrewed.

Please replace the paragraph beginning at page 9, line 16 with the following amended paragraph:

In operation the vessel is filled with drinking liquid and the teat assembly 140 is screwed on until positive engagement is detected (for example a discernable "click") meaning that it is correctly fitted. When the infant then drinks from the vessel the pressure difference pulls the lip valve 123 [[124]] away from the inner face of the neck 128 of the vessel 112 allowing venting through vent passage 126 and hence reducing the risk of colic. Because of the provision of the lip valve there is no requirement for providing slits and a natural, robust and resilient valve assembly is provided. Furthermore, the valve is formed during the moulding operation and requires no secondary operation for its formation providing commercial and manufacturing benefits. Yet further as a single vent passage is provided at one point on the teat, the risk of

leakage is reduced, especially as the vent passes through the teat rather than around the vessel neck.

Amendments to Claims:
Listing of Claims.

1. (Cancelled).

2. (Previously Presented) The feeding bottle of claim 18 wherein the vessel has two indentations.

3. (Previously Presented) The feeding bottle of claim 18 wherein the flange forms an elastomer sealing ring sealing against the top of the vessel.

4. (Previously Presented) The feeding bottle of claim 18 wherein the open top of the vessel comprises a vessel neck.

5. (Previously Presented) The feeding bottle of claim 18 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

6. (Previously Presented) The feeding bottle of claim 18 wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel.

7. (Previously Presented) The feeding bottle of claim 18 wherein the collar comprises polypropylene.

8. (Previously Presented) The feeding bottle of claim 18 wherein the air valve is a one-way air-inlet valve.

9. - 13. (Cancelled).

14. (Currently Amended) The feeding bottle of claim 18 wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second, different texture.

15. (Currently Amended) The feeding bottle of claim 18 wherein an inner surface of the areola portion has ~~at least one~~ a groove extending circumferentially.

16. (Currently Amended) The feeding bottle of claim 18 wherein the nipple [[teat]] walls decrease in thickness from the base portion through to the teat portion.

17. (Previously Presented) The feeding bottle of claim 18 wherein the air valve is located below a top edge of the collar.

18. (Currently Amended) A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter, and

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion, and

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

~~at least one~~ a vent passage extending through the domed portion of the base portion with a length not to extend past the collar and spaced inwardly from the collar, and

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing an [[the]] inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

19. **(Currently Amended)** A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter, and

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion, and

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

~~at least one~~ a vent passage extending through the domed portion of the base portion and spaced inwardly from the collar, and

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;

wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second, different texture;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

20. (New) A feeding bottle comprising:

a) a vessel having an open top,

b) a collar having:

an internally threaded cylindrical portion, and

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) the nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

a vent passage extending through the domed portion of the base portion with a length not to extend past the collar and spaced inwardly from the collar, and

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing an inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

21. (New) The feeding bottle of claim 20 wherein the nipple has at least two vent passages extending through the domed portion of the base.

22. (New) The feeding bottle of claim 20 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

23. (New) The feeding bottle of claim 20 wherein the air valve is a one-way air-inlet valve.

24. (New) The feeding bottle of claim 20 wherein an inner surface of the areola portion has a groove extending circumferentially.

25. (New) The feeding bottle of claim 20 wherein the vessel comprises an upper body having a first internal diameter and a portion below the upper body having a second, different internal diameter.

26. (New) The feeding bottle of claim 25 wherein the first internal diameter is greater than the second internal diameter.

## REMARKS

Claims 2-8 and 14-19 are pending in the Application. Claims 2-8 and 14-19 are rejected. Applicant respectfully traverses all of the rejections and objections, including any assertions therein; and therefore, requests reconsideration.

Claims 16 and 18 have been amended. In addition, Applicant has added seven new clams: independent claim 20 and dependent claims 21-26. Independent claim 20 contains the limitation "a vent passage extending through the domed portion of the base portion with a length not to extend past the collar" that exists in claim 18 and that was successfully traversed during the appeal process. Applicant has also made clerical amendments to various of the claims. Among the clerical amendments, claims 15, 18 and 19 have been amended to replace "at least one" with "a." Given the comprising preamble of the claims, the use of "a" encompasses "at least one" and the scope of the claims has not changed. Applicant asserts that no new matter has been added by any of the amendments

### *Interview Summary*

Applicant thanks Examiner for the telephonic interview conducted between Applicant's representative Jane Du and Examiner Andrew Thomas Kirsch on January 9, 2020. The cited prior art U.S. Patent No. 8,708,174 to Itzek ("*Itzek*") was discussed. The Examiner requested Applicant to file a Reply to the October 9, 2019 Non-Final Office Action stating that Itzek is not valid prior art based on the priority date of the present application.

### *Objection to Specification*

The disclosure is objected to because of the following informalities: lip valve is referred to as number 123 and 124. Element number 124 is also used to refer to a "slit valve". Element number 30 is used to denote a soother and a collar. The Collar is referred to by element numbers 30 and 130.

The Applicant has amended the relevant paragraphs of the specification to correct typographic errors. Applicant respectfully requests withdrawal of the objection to the specification.

***Claim Rejections* – 35 U.S.C. § 112**

Claims 16 and 18 are rejected under 35 U.S.C. § 112(b) or 35 U.S.C. § 112 (pre-AIA), second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the inventor or a joint inventor, or for pre-AIA the applicant regards as the invention.

Claims 16 and 18 have been amended. Applicant respectfully requests withdrawal of the rejection to claims 16 and 18.

***Claim Rejections* – 35 U.S.C. § 103**

Claims 18, 2-8, and 16-17 are rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent No. 1,672,734 to Reilly ("*Reilly*") in view of U.S. Patent No. 8,708,174 to Itzek ("*Itzek*").

Claims 14, 15 and 19 are rejected under 35 U.S.C. § 103(a) as being unpatentable over Reilly in view of Itzek and further in view of U.S. Patent No. 7,134,564 to Verbovszky ("*Verbovszky*").

As discussed during the telephonic interview with the Examiner on January 9, 2020, Itzek is not prior art to the present application because the effective filing date for Itzek is March 4, 2011, and the present application claims filing date benefit of September 20, 2007. Since Itzek forms the basis of the prior art combinations rejecting each of claims 2-8 and 14-19, Applicant respectfully requests withdrawal of these rejections.

## CONCLUSION

Applicant has made an earnest attempt to place this case in condition for allowance, and respectfully requests such a Notice. However, the absence of a reply to a specific rejection, issue, or comment does not signify agreement with or concession of that rejection, issue, or comment. In addition, because the arguments made above may not be exhaustive, there may be reasons for patentability of any or all pending claims (or other claims) that have not been expressed. Finally, nothing in this paper should be construed as an intent to concede any issue with regard to any claim, except as specifically stated in this paper, and the amendment of any claim does not necessarily signify concession of unpatentability of the claim prior to its amendment.

A one-month extension of time is being paid concurrently herewith on the electronic filing system (EFS) by way of deposit account authorization. Applicant believes that no other fees are due. However, if this is incorrect, the Commissioner is hereby authorized to apply any other necessary charges or credits to Deposit Account 06-1050, referencing the above attorney docket number.

Respectfully submitted,

Date: February 10, 2020

/Joshua A. Griswold/
Joshua A. Griswold
Reg. No. 46,310

Customer Number
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Facsimile: (877) 769-7945

91370317.doc

# Electronic Patent Application Fee Transmittal

| | |
|---|---|
| **Application Number:** | 14551783 |
| **Filing Date:** | 24-Nov-2014 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Filer:** | Joshua A. Griswold/Monica Gamez |
| **Attorney Docket Number:** | JM-4.2 |

Filed as Large Entity

**Filing Fees for Utility under 35 USC 111(a)**

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Basic Filing:** | | | | |
| **Pages:** | | | | |
| **Claims:** | | | | |
| **Miscellaneous-Filing:** | | | | |
| **Petition:** | | | | |
| **Patent-Appeals-and-Interference:** | | | | |
| **Post-Allowance-and-Post-Issuance:** | | | | |
| **Extension-of-Time:** | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| Extension - 1 month with $0 paid | 1251 | 1 | 200 | 200 |
| **Miscellaneous:** | | | | |
| | | **Total in USD ($)** | | **200** |

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 38542055 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Joshua A. Griswold/Monica Gamez |
| **Filer Authorized By:** | Joshua A. Griswold |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 10-FEB-2020 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 15:46:27 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | yes |
| Payment Type | DA |
| Payment was successfully received in RAM | $200 |
| RAM confirmation Number | E202020F46499344 |
| Deposit Account | |
| Authorized User | |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: | |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | | 40395-0012003_ReplytoOA.pdf | 162360<br>6f92e662c6933b4f6162eeeda3c61dc57ff6eb08 | yes | 12 |

**Multipart Description/PDF files in .zip description**

| Document Description | Start | End |
|---|---|---|
| Amendment/Req. Reconsideration-After Non-Final Reject | 1 | 1 |
| Specification | 2 | 4 |
| Claims | 5 | 9 |
| Applicant Arguments/Remarks Made in an Amendment | 10 | 12 |

**Warnings:**

**Information:**

| | | | | | |
|---|---|---|---|---|---|
| 2 | Fee Worksheet (SB06) | fee-info.pdf | 30436<br>5f6a26ffb5a5a60d25e654858499c3c6ae20d922 | no | 2 |

**Warnings:**

**Information:**

| Total Files Size (in bytes): | 192796 |
|---|---|

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

PTO/SB/06 (09-11)
Approved for use through 1/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| PATENT APPLICATION FEE DETERMINATION RECORD<br>Substitute for Form PTO-875 | Application or Docket Number<br>14/551,783 | Filing Date<br>11/24/2014 | ☐ To be Mailed |
|---|---|---|---|

**ENTITY:** ☑ LARGE ☐ SMALL ☐ MICRO

## APPLICATION AS FILED - PART I

| | (Column 1) | (Column 2) | | | |
|---|---|---|---|---|---|
| FOR | NUMBER FILED | NUMBER EXTRA | | RATE ($) | FEE ($) |
| ☐ BASIC FEE (37 CFR 1.16(a), (b), or (c)) | N/A | N/A | | N/A | |
| ☐ SEARCH FEE (37 CFR 1.16(k), (i), or (m)) | N/A | N/A | | N/A | |
| ☐ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q)) | N/A | N/A | | N/A | |
| TOTAL CLAIMS (37 CFR 1.16(i)) | minus 20 = | * | | x $80 = | |
| INDEPENDENT CLAIMS (37 CFR 1.16(h)) | minus 3 = | * | | x $420 = | |
| ☐ APPLICATION SIZE FEE (37 CFR 1.16(s)) | If the specification and drawings exceed 100 sheets of paper, the application size fee due is $310 ($155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | |
| ☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) | | | | | |
| * If the difference in column 1 is less than zero, enter "0" in column 2. | | | | TOTAL | |

## APPLICATION AS AMENDED - PART II

| | | (Column 1) | | (Column 2) | (Column 3) | | | |
|---|---|---|---|---|---|---|---|---|
| AMENDMENT | 02/10/2020 | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | | RATE ($) | ADDITIONAL FEE ($) |
| | Total (37 CFR 1.16(i)) | * 20 | Minus | ** 20 | = 0 | | x $100 = | 0 |
| | Independent (37 CFR 1.16(h)) | * 3 | Minus | *** 3 | = 0 | | x $460 = | 0 |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | | |
| | | | | | | | TOTAL ADD'L FEE | 0 |

| | | (Column 1) | | (Column 2) | (Column 3) | | | |
|---|---|---|---|---|---|---|---|---|
| AMENDMENT | | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | | RATE ($) | ADDITIONAL FEE ($) |
| | Total (37 CFR 1.16(i)) | * | Minus | ** | = | | x $0 = | |
| | Independent (37 CFR 1.16(h)) | * | Minus | *** | = | | x $0 = | |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | | |
| | | | | | | | TOTAL ADD'L FEE | |

| | |
|---|---|
| * If the entry in column 1 is less than the entry in column 2, write "0" in column 3. | LIE |
| ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". | /Ruby Johnson/ |
| *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". | |
| The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. | |

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 | 6509 |

2387 7590 10/09/2019

Olson & Cepuritis, LTD.
20 NORTH WACKER DRIVE
36TH FLOOR
CHICAGO, IL 60606

| EXAMINER | |
|---|---|
| KIRSCH, ANDREW THOMAS | |

| ART UNIT | PAPER NUMBER |
|---|---|
| 3733 | |

| NOTIFICATION DATE | DELIVERY MODE |
|---|---|
| 10/09/2019 | ELECTRONIC |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@olsonip.com
firm@olsonip.com



Nanobebe US Inc., Ex. 1002, Page 158
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Office Action Summary | Application No. 14/551,783 | Applicant(s) Rees et al. | |
|---|---|---|---|
| | Examiner ANDREW T KIRSCH | Art Unit 3733 | AIA (FITF) Status No |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --*

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1)☑ Responsive to communication(s) filed on 4/12/2019.

☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2a)☐ This action is **FINAL.** 2b) ☑ This action is non-final.

3)☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

4)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims***

5) ☑ Claim(s) 2-8 and 14-19 is/are pending in the application.

5a) Of the above claim(s) _____ is/are withdrawn from consideration.

6) ☐ Claim(s) _____ is/are allowed.

7) ☑ Claim(s) 2-8 and 14-19 is/are rejected.

8) ☐ Claim(s) _____ is/are objected to.

9) ☐ Claim(s) _____ are subject to restriction and/or election requirement

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to **PPHfeedback@uspto.gov.**

**Application Papers**

10)☐ The specification is objected to by the Examiner.

11)☑ The drawing(s) filed on 11/24/2014 is/are: a)☑ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

12)☑ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

a)☑ All b)☐ Some** c)☐ None of the:

1.☑ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1) ☑ Notice of References Cited (PTO-892)

2) ☑ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date 4/20/2018.

3) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date _____.

4) ☐ Other: _____.



Nanobebe US Inc., Ex. 1002, Page 159
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

***Notice of Pre-AIA or AIA Status***

1. The present application is being examined under the pre-AIA first to invent provisions.

2. In view of the Appeal filed on 4/12/2019, PROSECUTION IS HEREBY REOPENED. A new grounds of rejection is set forth below.

To avoid abandonment of the application, appellant must exercise one of the following two options:

(1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,

(2) initiate a new appeal by filing a notice of appeal under 37 CFR 41.31 followed by an appeal brief under 37 CFR 41.37. The previously paid notice of appeal fee and appeal brief fee can be applied to the new appeal. If, however, the appeal fees set forth in 37 CFR 41.20 have been increased since they were previously paid, then appellant must pay the difference between the increased fees and the amount previously paid.

A Supervisory Patent Examiner (SPE) has approved of reopening prosecution by signing below:

/Fenn C Mathew/
Supervisory Patent Examiner, Art Unit 3733

***Specification***

3. The disclosure is objected to because of the following informalities: lip valve is referred to as number 123 and 124. Element number 124 is also used to refer to a “slit valve”. Element number 30 is used to denote a soother and a collar. The Collar is referred to by element numbers 30 and 130.

Appropriate correction is required.

### *Claim Rejections - 35 USC § 112*

4. The following is a quotation of 35 U.S.C. 112(b):

> (b) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the inventor or a joint inventor regards as the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph:

> The specifications hall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claims 16 and 18 rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA), second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the inventor or a joint inventor, or for pre-AIA the applicant regards as the invention.

6. Claim 16 recites the limitation "the teat walls decrease in thickness from the base portion through to the teat portion". However, there are no teat walls at the base portion because the teat does not start until above the areola portion according to claim 18.

7. Claim 18 recites the limitation "the inner edge of the collar" in part d. There is insufficient antecedent basis for this limitation in the claim.

### *Claim Rejections - 35 USC § 103*

8. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

9. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under pre-AIA 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.

2. Ascertaining the differences between the prior art and the claims at issue.

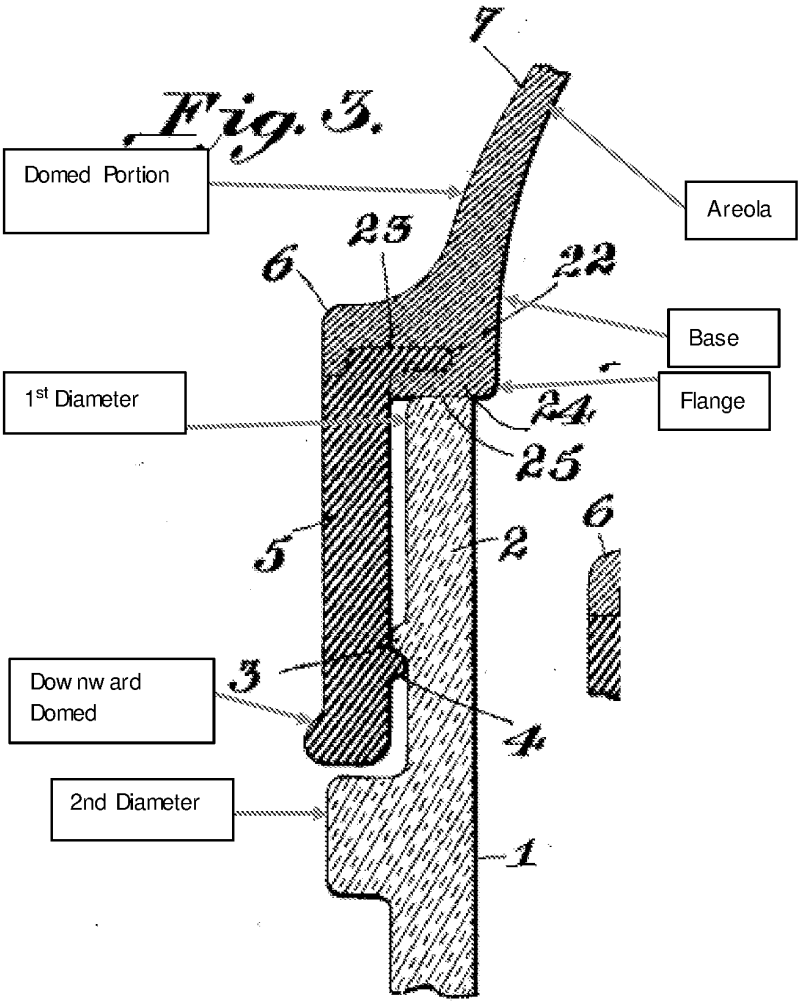
3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

10. Claims 18, 2-8, and 16-17 is/are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over US patent No. 1,672,734 (Reilly, hereinafter) in view of US Patent No. 8,708,174 (Itzek hereinafter).

11. In re claim 18, Reilly discloses: A feeding bottle comprising: a) a vessel having: an open top (top of neck 2) with a first diameter, a body (1) with a second diameter (see Fig. 3 below), the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body (see Fig. 3 below); b) a collar having (5): an internally threaded cylindrical portion (at 4), a downwardly domed peripheral portion surrounding and extending from the cylindrical portion, c) a nipple (6) having: a teat portion having a variable wall thickness (above 7, see Fig. 2) including an aperture, a base portion having a wall thickness in a domed configuration, an areola portion between the teat portion and the base portion, a cylindrical flange depending from the base portion (see Fig. 3 below), the flange being arranged to seal with the vessel (at 24/25); d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar (22) between the base portion and the flange (at 24); and, e) the

nipple being secured to the vessel by capturing the flange of the nipple (at 24) between the collar (at 22) and the open top of the vessel (top of 2).




12. Reilly fails to disclose wherein at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange.

13. However, with reference to Fig. 1, Itzek discloses a nipple for a baby bottle including at least one vent passage (17, 21) extending through a domed portion of a base portion (3) with a length not to extend past a collar (24, see Fig. 2) and spaced inwardly from the collar, a valve portion (15) in communication with the vent passage and projecting downwardly from a flange (13).

14. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have modified Reilly to have included the vent/valve of Itzek as an obvious upgrading of the performance of the nipple to allow air into the bottle during consumption and prevent creation of a vacuum which would collapse the nipple (Itzek column 6, lines 53-58).

15. In re claim 2, Reilly in view of Itzek disclose the claimed invention including wherein the vessel has two indentations (above and below the second diameter in Fig. 3 above).

16. In re claim 3, Reilly in view of Itzek disclose the claimed invention including wherein the flange forms a rubber sealing ring sealing against the top of the vessel (see Fig. 3 above).

17. Reilly fails to disclose that the rubber is an elastomer.

18. However, Itzek further discloses that the teat is made of elastomer (column 5, lines 7-10).

19. It would have been obvious to one having ordinary skill in the art at the time the invention was made to have utilized the elastomer as the rubber, since it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice.

*MPEP 2144.07*. Please note that in the instant application, page 7 applicant has not disclosed any criticality for the claimed limitations.

20. In re claim 4, Reilly in view of Itzek disclose the claimed invention including wherein the open top of the vessel comprises a vessel neck (at 2).

21. In re claim 5, Reilly in view of Itzek disclose the claimed invention including wherein the open top of the vessel comprises an outwardly threaded vessel neck (at 2/3).

22. In re claim 6, Reilly in view of Itzek disclose the claimed invention including wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel (see Fig. 3 above).

23. In re claim 7, Reilly in view of Itzek disclose the claimed invention including wherein the collar comprises a hard material (Reilly lines 89-98), but not specifically polypropylene.

24. However, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have utilized a widely known and used plastic in the art of closures such as polypropylene as the hard material for the collar, since it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *MPEP 2144.07*. Please note that in the instant application, page 7 applicant has not disclosed any criticality for the claimed limitations.

25. In re claim 8, Reilly in view of Itzek disclose the claimed invention including wherein the air valve is a one-way air-inlet valve (column 6, lines 53-58).

26. In re claim 16, Reilly in view of Itzek disclose the claimed invention including wherein the teat walls decrease in thickness from the base portion through to the teat portion (see Fig. 3 above).

27. In re claim 17, Reilly in view of Itzek disclose the claimed invention including wherein the air valve is located below a top edge of the collar (see Fig. 2 of Itzek).

28. Claims 14, 15 and 19 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Reilly in view of Itzek as applied to claim 18 above, and further in view of US Patent No. 7,134,564 (Verbovszky hereinafter).

29. In re claim 14, Reilly in view of Itzek disclose the claimed invention including wherein an outer surface of the areola portion has a second texture (smooth).

30. Reilly in view of Itzek fails to disclose wherein an outer surface of the teat portion has a first texture.

31. However, with reference to the figs. below, Verbovszky discloses a teat (24) with a first texture (32).

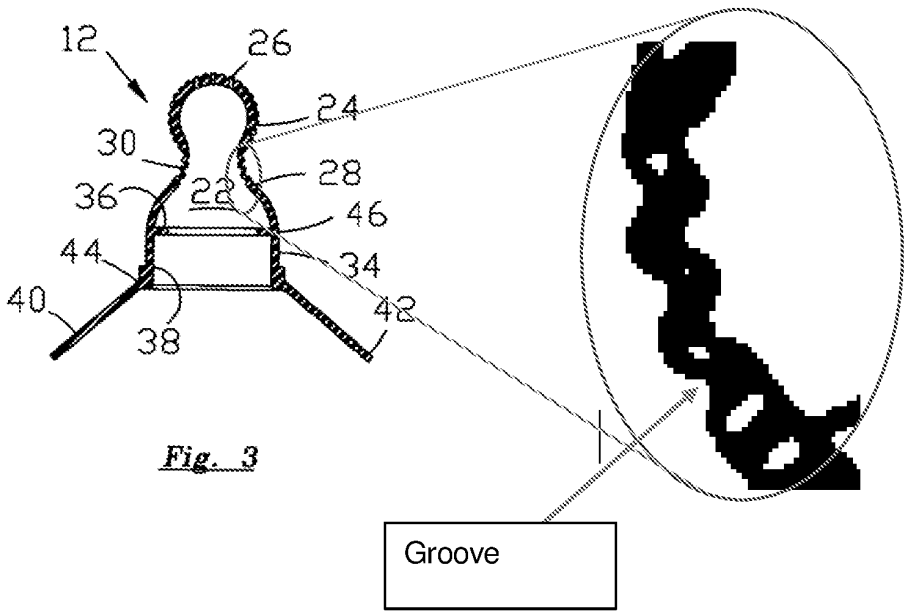



*Fig. 3*

32. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have provided a texture to the teat such as taught by Verbozszky for the purposes of enticing a baby to drink from the bottle by simulating a human nipple (Verbovszky column 2, lines 45-46).

33. In re claim 15, Reilly in view of Itzek and Verbovszky disclose the claimed invention except wherein an inner surface of the areola portion has at least one groove extending circumferentially.

34. However, Verbovszky discloses ribs (30) located at an upper portion of an areola portion.

35. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have provided the shape of the upper portion of the areola with ribs as taught by Verbovszky in order to "define a region of smaller diameter than the terminal end and the frustum portion which helps to isolate the terminal end relative to the frustum portion. The ribs provide an area for the infant's lips to engage to help the first portion of the cap conform to the shape of the infant's mouth".

36. In re claim 19, Reilly in view of Itzek and Verbovszky discloses A feeding bottle comprising:a) a vessel having: an open top with a first diameter, a body with a second diameter, the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body; b) a collar having: an internally threaded cylindrical portion, a downwardly domed peripheral portion surrounding and extending from the cylindrical portion, c) a nipple having: a teat portion having a variable wall thickness including an aperture, a base portion having a wall

thickness in a domed configuration, an areola portion between the teat portion and the base portion, a cylindrical flange depending from the base portion, at least one vent passage extending through the domed portion of the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange, the flange being arranged to seal with the vessel (as in re claim 18 above); wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture (as in re claim 14 above); d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange; and, e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel (as in re claim 18 above).

***Response to Arguments***

37. Applicant's arguments with respect to the pending claims have been considered but are moot because the arguments do not apply to any of the references being used in the current rejection.

***Conclusion***

38. Any inquiry concerning this communication or earlier communications from the examiner should be directed to ANDREW THOMAS KIRSCH whose telephone number is (571)270-5723. The examiner can normally be reached on Mon-Weds, Fri, 9a-6p EST, Thu 1p-5p EST.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an

interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fenn Mathew can be reached on (571) 272-4978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/ANDREW T KIRSCH/
Primary Examiner, Art Unit 3733

| *Notice of References Cited* | Application/Control No. 14/551,783 | Applicant(s)/Patent Under Reexamination Rees et al. | |
|---|---|---|---|
| | Examiner ANDREW T KIRSCH | Art Unit 3733 | Page 1 of 1 |

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| * | B | US-8708174-B2 | 04-2014 | Itzek; Eckhard | A61J11/02 | 215/11.1 |
| * | C | US-7134564-B2 | 11-2006 | Verbovszky; Esther A. L. | A61J11/0015 | 215/11.4 |
| | D | | | | | |
| | E | | | | | |
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**NON-PATENT DOCUMENTS**

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
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| | U | |
| | V | |
| | W | |
| | X | |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



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| Search Notes | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14/551,783 | Rees et al. |
| | Examiner | Art Unit |
| | ANDREW T KIRSCH | 3733 |

| CPC - Searched* | | |
|---|---|---|
| Symbol | Date | Examiner |
| A 61J 9/04 A 61J 11/02 A 61J 11/045, A 61J 11/04, A 61J 9/00 | 09/21/2019 | ATK |
| | | |

| CPC Combination Sets - Searched* | | |
|---|---|---|
| Symbol | Date | Examiner |
| | | |

| US Classification - Searched* | | | |
|---|---|---|---|
| Class | Subclass | Date | Examiner |
| 215 | 11.5,11.1,396,398,11.6,276,11.4 | 4/28/2015 | CFC |

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

| Search Notes | | |
|---|---|---|
| Search Notes | Date | Examiner |
| Discussed application with SPE Fenn Mathew | 4/28/2015 | CFC |
| Discussed application with SPE Fenn Mathew | 2/1/2016 | CFC |
| Discussed application with SPE Fenn Mathew | 12/20/2016 | CFC |
| Inventor name search performed | 7/10/217 | CFC |
| Discussed application with SPE Fenn Mathew | 7/10/2017 | CFC |
| EAST search updated and attached | 7/10/2017 | CFC |
| EAST search updated and attached | 12/10/2017 | CFC |
| Discussed application with SPE Fenn MAthew | 7/1/2018 | CFC |
| Updated EAST Search | 09/21/2019 | ATK |



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| Search Notes | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14/551,783 | Rees et al. |
| | Examiner | Art Unit |
| | ANDREW T KIRSCH | 3733 |

| Interference Search | | | |
|---|---|---|---|
| US Class/CPC Symbol | US Subclass/CPC Group | Date | Examiner |
| | | | |



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**EAST Search History**

**EAST Search History (Prior Art)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| S1 | 57 | ("20060060593" \| "5118000" \| "6702135" \| "20040262320" \| "4726616" \| "7185783" \| "8066144" \| "4186844" \| "4676431" \| "5490606" \| "5878904" \| "20090032545" \| "4157609" \| "4315574" \| "4955501" \| "5423448" \| "D376456" \| "4373849" \| "20090179444" \| "D351703" \| "D422450" \| "D351702" \| "20110000382" \| "8123061" \| "7766186").PN. | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/22 21:19 |
| S2 | 10 | (("HANKEY") near3 ("J")).INV. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:29 |
| S3 | 32 | ("4373849" \| "4676431" \| "4186844" \| "5118000" \| "4315574" \| "5423448" \| "20090032545" \| "4157609" \| "6702135" \| "4955501" \| "20060060593" \| "20110000382").PN. | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/22 21:30 |
| S4 | 40 | ("0961780" \| "1226511" \| "1880250" \| "2702142" \| "3315828" \| "3893722" \| "4057020" \| "4088071" \| "4182530" \| "4456141" \| "5035563" \| "5042856" \| "5098250" \| "5103994" \| "5201434").PN. OR ("5490606").URPN. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:36 |
| S5 | 33 | ("2041038" \| "4088071" \| "4342402").PN. OR ("4456141").URPN. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:37 |
| S6 | 428 | b65f1/122.cpc. b65f1/125.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:41 |
| S7 | 14 | ("3195942" \| "3946885").PN. OR ("4373849").URPN. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/22 21:44 |
| S8 | 2600 | (dumpster refuse) and (fork tine) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 15:07 |
| S9 | 790 | (dumpster refuse) and (fork tine) with (lift dump) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 15:08 |
| S10 | 355 | (dumpster refuse) same (fork tine) with (lift | US- | OR | ON | 2017/03/23 |



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|---|---|---|---|---|---|---|
| | | dump) | PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | | | 15:08 |
| S11 | 371 | b65d90/0033.cpc. | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 17:16 |
| S12 | 20 | b65d90/0033.cpc. and (dumpster refuse) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 17:16 |
| S13 | 139 | b65d90/0033.cpc. and (fork) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 17:19 |
| S14 | 56 | (round$2) with corner same clean$4 and (dumpster refuse) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 17:37 |
| S15 | 2 | (daniel near3 hankey).in. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/03/23 18:12 |
| S16 | 56 | (round$2) with corner same clean$4 and (dumpster refuse) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/03/23 18:13 |
| S17 | 438 | b65f1/122.cpc. b65f1/125.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/09/07 10:58 |
| S18 | 401 | b65d90/0033.cpc. | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | ON | 2017/09/07 10:58 |
| S19 | 1 | "20150314907".pn. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/09 13:59 |
| S20 | 1 | "5518313".pn. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/10 19:55 |
| S21 | 1 | "20010000480".pn. | US-PGPUB; USPAT; | OR | ON | 2017/12/10 20:07 |



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|---|---|---|---|---|---|---|
| | | | USOCR | | | |
| S22 | 1 | "3804139" .pn. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/10 20:32 |
| S23 | 383 | b65f1/122.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2017/12/12 10:47 |
| S24 | 31 | ("3217913" \| "3504813" \| "3669485" \| "4088071" \| "4290352" \| "4373849").PN. OR ("4726616").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:47 |
| S25 | 21 | ("3122249" \| "3136575" \| "3230003" \| "3315828").PN. OR ("3669485").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:49 |
| S26 | 40 | ("0814563" \| "20020030335" \| "20020125151" \| "3214506" \| "3643993" \| "3840141" \| "4214670" \| "4456141" \| "4550849" \| "4726616" \| "4913301" \| "4955501" \| "5105967" \| "5183180" \| "5330071" \| "5423448" \| "5538158" \| "5582322" \| "5776405" \| "5886068" \| "5922267" \| "6632064" \| "6676175" \| "6702135").PN. OR ("7237689").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:54 |
| S27 | 35 | ("20010052522" \| "20030146230" \| "20080197645" \| "20090179444" \| "20100254796" \| "4182530" \| "4550849" \| "4676431" \| "4726616" \| "4811660" \| "5011036" \| "5108000" \| "5183180" \| "5330071" \| "5423448" \| "5967361" \| "6632064" \| "7198166" \| "7234327" \| "7237689" \| "7334680" \| "7762565" \| "8141921" \| "D353727" \| "D359618" \| "D369022" \| "D370701" \| "D371399" \| "D414606" \| "D421180" \| "D506063" \| "D517265" \| "D543332" \| "D564168" \| "D620218").PN. OR ("8322561").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:56 |
| S29 | 269 | (trash refuse garbage waste) with (container receptacle dumpster) and (fork prong) same (pocket channel) | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 10:59 |
| S30 | 39 | ("2803363" \| "2808161" \| "3083849" \| "3195942" \| "3283933" \| "3342358").PN. OR ("3643993").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:00 |
| S31 | 125 | ("1114321" \| "1355213" \| "1991965" \| "2202675" \| "2952379" \| "2978136" \| "3288319" \| "3315800" \| "3332319" \| "3374915" \| "3386218" \| "3397496" \| "3655087" \| "3797691" \| "3853238" \| "3940007" \| "3974616" \| "4000827" \| "4050604" \| "4120551" \| "4171059" \| "4376593" \| "4503973" \| "4643314" \| "4673087").PN. OR ("4809851").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:08 |
| S32 | 34 | ("2624487" \| "3009591" \| "3416852" \| "3616964" \| "3623631" \| "3823972" \| "4335828" \| "4416374" \| "4550849" \| "4917257" \| "4969813" \| "5114304" \| | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:11 |



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|---|---|---|---|---|---|---|
| | | "5230434").PN. OR ("5330071").URPN. | | | | |
| S33 | 29 | ("3623631" \| "3823972" \| "4136465" \| "4335828" \| "4416374" \| "4445623").PN. OR ("4550849").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:11 |
| S34 | 14 | ("20060045680" \| "20080197645" \| "20080237251" \| "20090179444" \| "20100089259" \| "3669485" \| "4550849" \| "4798081" \| "5089321" \| "5183180" \| "5330071" \| "7237689" \| "8141921").PN. OR ("8631940").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:15 |
| S35 | 21 | ("3122249" \| "3136575" \| "3230003" \| "3315828").PN. OR ("3669485").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:16 |
| S36 | 80 | ("2181150" \| "3311257" \| "3937355" \| "3987829" \| "4231482" \| "4550849" \| "4600113" \| "4771940").PN. OR ("5183180").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:18 |
| S37 | 34 | ("2624487" \| "3009591" \| "3416852" \| "3616964" \| "3623631" \| "3823972" \| "4335828" \| "4416374" \| "4550849" \| "4917257" \| "4969813" \| "5114304" \| "5230434").PN. OR ("5330071").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:24 |
| S38 | 67 | ("2708047" \| "2763386" \| "2799418" \| "3014344" \| "3016157" \| "3016175" \| "3085703" \| "3301422" \| "3339763" \| "3342358" \| "3446377" \| "3451571" \| "3506149" \| "3563137" \| "3604577" \| "3659733" \| "3765554" \| "3874534" \| "3921839" \| "4067464" \| "4096595" \| "4147266" \| "4175903" \| "4183711" \| "4310279" \| "4552500" \| "4648775" \| "4715767" \| "Re31060").PN. OR ("5114304").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/12 11:25 |
| S39 | 14 | ("4405278" \| "4726616" \| "5762462" \| "0530057" \| "7871233" \| "6379099" \| "8550282" \| "6296132" \| "3270902" \| "4676431" \| "20100254796" \| "4771940" \| "5613822" \| "7083060").PN. | US-PGPUB; USPAT | OR | OFF | 2018/02/20 14:00 |
| S40 | 1197 | (trash refuse garbage waste) and (fork prong) same (pocket channel) | US-PGPUB; USPAT; USOCR | OR | OFF | 2018/02/20 14:03 |
| S41 | 387 | b65f1/122.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2018/02/20 14:11 |
| S43 | 33 | ("3217913" \| "3504813" \| "3669485" \| "4088071" \| "4290352" \| "4373849").PN. OR ("4726616").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/17 21:26 |
| S44 | 33 | ("3217913" \| "3504813" \| "3669485" \| "4088071" \| "4290352" \| "4373849").PN. OR ("4726616").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/17 21:31 |
| S45 | 10 | b65f1/122.cpc. and seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:33 |



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|---|---|---|---|---|---|---|
| S46 | 34 | dumpster and weld$4 with seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:35 |
| S47 | 208 | refuse and weld$4 with seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:36 |
| S48 | 5955 | (trash garbage waste) and weld$4 with seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:39 |
| S49 | 4326 | (trash garbage waste) and weld$4 with seam and (metal steel) | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:40 |
| S50 | 864 | (trash garbage waste) and weld$4 with seam same (conrner wall) and (metal steel) | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:40 |
| S51 | 452 | (trash garbage waste) and weld$4 with seam same (conrner wall) and (metal steel) with sheet | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:40 |
| S52 | 1032 | b65d88/54.cpc. B65D88/56.cpc. | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:48 |
| S53 | 0 | (b65d88/54.cpc. B65D88/56.cpc.) and weld$4 with seam same (conrner wall) and (metal steel) with sheet | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:49 |
| S54 | 21 | (b65d88/54.cpc. B65D88/56.cpc.) and weld$4 with seam | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:49 |
| S55 | 12 | ("4373849" \| "4676431" \| "4186844" \| "5118000" \| "4315574" \| "5423448" \| "20090032545" \| "4157609" \| "6702135" \| "4955501" \| "20060060593" \| "20110000382").PN. | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/17 21:54 |
| S57 | 122 | ("20020030029" \| "20040188373" \| "20040226906" \| "20050258123" \| "3704803" \| "4999568" \| "5881893" \| "6270519" \| "7210591" \| "7252676" \| "D425994" \| "D454642" \| "1510571" \| "20050224444" \| "20050247658" \| "20070045214" \| "20080210655" \| "6032810" \| "6321931" \| "6645228" \| "7108676" \| "D404138" \| "D459815" \| "20050184022" \| "20050252875" \| "20070163983" \| "20070278172" \| "20080128379" \| "20080188894" \| "20080314776" \| "4834099" \| "6959827" \| "D444239" \| "D464434" \| "D465028" \| "D520142" \| "D626246" \| "20050247719" \| "20070138121" \| "20070272645" \| "20080173654" \| "3097757" \| "3493139" \| "5474028" \| "7320678" \| "7395941" \| "D412582" \| "D444239" \| "D547874" \| | US-PGPUB; USPAT; USOCR | OR | ON | 2019/09/18 08:59 |



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| S58 | 72 | ("1545436" \| "1632854" \| "1672734" \| "1989060" \| "2638094" \| "2655920" \| "3530979" \| "3650270" \| "3777753" \| "3779413" \| "3790017" \| "4195638" \| "4238040" \| "4676386" \| "4815615" \| "5542922" \| "5544766").PN. OR ("6003698").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 14:55 |
| S59 | 81 | ("1545436" \| "1672466" \| "1672734" \| "1984839" \| "2060212" \| "2655920" \| "2736446" \| "2825479" \| "3190288" \| "3193125" \| "3645413" \| "3661288" \| "3787993" \| "3858741" \| "4006836" \| "4832214" \| "5322031" \| "5544766" \| "5690679" \| "D371848").PN. OR ("6032810").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:20 |
| S60 | 26 | ("0605161" \| "1146639" \| "1573519" \| "20040220618" \| "20060011572" \| "20070068890" \| "20070272645" \| "20080210655" \| "20120074090" \| "2517457" \| "2588069" \| "2956702" \| "4505398" \| "5114374" \| "5544766" \| "5553726" \| "6032810" \| "6645228" \| "6745912" \| "8181800").PN. OR ("9561157").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:24 |
| S61 | 8 | ("2003/0106872").URPN. | USPAT | OR | OFF | 2019/09/18 15:31 |
| S62 | 7 | ("20030106872" \| "20050252875" \| "20070102388" \| "20090039046" \| "6343704").PN. OR ("8708174").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:32 |
| S63 | 5 | ("6343704" \| "20040102388" \| "20050252875" \| "20050252875" \| "20030106872" \| "20090039046").PN. | US-PGPUB; USPAT; | OR | OFF | 2019/09/18 15:34 |



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| | | | USOCR | | | |
| S64 | 120 | ("0380835" \| "20020030029" \| "20020063103" \| "20030032984" \| "20030093120" \| "20030106872" \| "20040026351" \| "20040124168" \| "20040188373" \| "20040226906" \| "20040256345" \| "20050035078" \| "20050056611" \| "20050184022" \| "20050224444" \| "20050247658" \| "20050247719" \| "20050252875" \| "20050258123" \| "20050277987" \| "20050288712" \| "20060011571" \| "20070021782" \| "20070045214" \| "20070095778" \| "20070102388" \| "20070131637" \| "20070138121" \| "20070138709" \| "20070163983" \| "20070187419" \| "20070221604" \| "20070272645" \| "20070278172" \| "20070283666" \| "20080128379" \| "20080173654" \| "20080188894" \| "20080210655" \| "20080314776" \| "20090005811" \| "20090039046" \| "2517457" \| "2588069" \| "2956702" \| "3097757" \| "3704803" \| "3946888" \| "3990596" \| "4834099" \| "4993568" \| "5101991" \| "5474028" \| "5544766" \| "5673806" \| "5678710" \| "5706973" \| "5784999" \| "5881893" \| "6003698" \| "6032810" \| "6161710" \| "6241110" \| "6270519" \| "6321931" \| "6357620" \| "6422415" \| "6645228" \| "6745912" \| "6883672" \| "6959827" \| "6981962" \| "6984688" \| "6994225" \| "7108676" \| "7122045" \| "7150370" \| "7185775" \| "7204386" \| "7210591" \| "7243814" \| "7252676" \| "7320678" \| "7395941" \| "7481324" \| "7828821" \| "8181800" \| "8646632" \| "D384748" \| "D404138" \| "D405530" \| "D412582" \| "D421306" \| "D425994" \| "D441870" \| "D444239" \| "D454642" \| "D459815" \| "D463567" \| "D464434" \| "D465028" \| "D479606" \| "D486579" \| "D488560" \| "D498849" \| "D504723" \| "D504724" \| "D504725" \| "D520142" \| "D539910" \| "D547874").PN. OR ("D599028" \| "D620125" \| "D626246").PN. OR ("8910810").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:35 |
| S65 | 1060 | 215/11.5 | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:35 |
| S66 | 13 | ("6959827" \| "4505398" \| "2989961" \| "20040220618" \| "0956741" \| "3232466" \| "20070131637" \| "5117994" \| "4613050" \| "5881893" \| "0956741").PN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/18 15:36 |
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| S68 | 0 | 2005/0147778.pn. | US-PGPUB; USPAT; | OR | OFF | 2019/09/19 15:24 |



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| | | | USOCR | | | |
| S69 | 1 | "20050147778".pn. | US-PGPUB; USPAT; USOCR | OR | OFF | 2019/09/19 15:25 |

**EAST Search History (Interference)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| S42 | 1 | (container body lift pocket face oblique interior hexagon prong channel).clm. | USPAT | AND | OFF | 2018/02/20 15:08 |
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**9/21/2019 2:50:19 PM**
**H:\Workspaces\14551783.wsp**



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

Doc code: IDS
Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)
Approved for use through 11/30/2020. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**( Not for submission under 37 CFR 1.99)**

| | |
|---|---|
| Application Number | 14551783 |
| Filing Date | 2014-11-24 |
| First Named Inventor | Arnold Rees, et al. |
| Art Unit | 3781 |
| Examiner Name | Collado, Cynthia Francisca /ANDREW T KIRSCH/ |
| Attorney Docket Number | JM-4.2 |

**U.S.PATENTS** Remove

| Examiner Initial* | Cite No | Patent Number | Kind Code[1] | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|---|
| | 1 | 2588069 | | 1952-03-04 | A.M. Allen | |

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| | 1 | 20030093120 | | 2003-05-15 | Renz | |

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|---|---|---|---|

/ANDREW T KIRSCH/ 09/21/2019

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.T.K/



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**( Not for submission under 37 CFR 1.99)**

| | |
|---|---|
| Application Number | 14551783 |
| Filing Date | 2014-11-24 |
| First Named Inventor | Arnold Rees, et al. |
| Art Unit | 3781 |
| Examiner Name | /ANDREW T KIRSCH/ |
| Attorney Docket Number | JM-4.2 |

| | | | |
|---|---|---|---|
| | 1 | IP India Examination Report for 5762/DELNP/2011 which is a foreign counterpart application for U.S. Application 14/551783; dated February 3, 2018; 4 pages. | |

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**EXAMINER SIGNATURE**

| | | | |
|---|---|---|---|
| Examiner Signature | /ANDREW T KIRSCH/ | Date Considered | 09/21/2019 |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.T.K/



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**( Not for submission under 37 CFR 1.99)**

| | |
|---|---|
| Application Number | 14551783 |
| Filing Date | 2014-11-24 |
| First Named Inventor | Arnold Rees, et al. |
| Art Unit | 3781 |
| Examiner Name | Collado, Cynthia Francisca |
| Attorney Docket Number | JM-4.2 |

## CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

## SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| | | | |
|---|---|---|---|
| Signature | /Arne M. Olson/ | Date (YYYY-MM-DD) | 2018-04-20 |
| Name/Print | Arne M. Olson | Registration Number | 30,203 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.

2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).

5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.T.K/



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**
**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

| | | | |
|---|---|---|---|
| Applicant(s): | Rees, Arnold | ) | Confirmation No. 6509 |
| Application No.: | 14/551,783 | ) | |
| Filing Date: | November 24, 2014 | ) | Group Art Unit: 3781 |
| For: | Baby Bottle With Flexible Nipple Regions | ) | |
| Examiner: | Collado, Cynthia Francisca | ) | **Atty. Docket No.: JM-4.2** |

**BRIEF ON APPEAL**

Mail Stop Appeal Brief-Patents
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

This is an appeal from the Final Rejection rejecting claims 2-8 and 14-19 in the above-identified application.

## I. Real Party In Interest

The above-identified patent application has been assigned to JACKEL INTERNATIONAL LIMITED, who is the real party in interest to this appeal. The assignment has been recorded in the United States Patent and Trademark Office at frame 0192 of Reel 035234.

## II. Related Appeals And Interferences

Appellant is unaware of any appeals or interferences that may be related to, directly affect or be directly affected by or have a bearing on Board's decision in the pending appeal.

## III. Summary of the Claimed Subject Matter

The invention relates to a drinking vessel assembly, and in particular, a baby bottle that helps mimic the human breast in operation and assists in infant feeding. It is designed for particularly young infants that are still nursing. To maximize natural movement, the drinking vessel includes a nipple that has increased flexibility and functionality. (Pg. 1, ll. 15-16). This increased flexibility and functionality permits a teat portion and an areola portion to move towards and away from one another and more natural feeding action is provided. (Pg. 2, ll. 26-28). In particular, this back and forth motion of the nipple can very closely mimic the natural movement of the human breast during sucking by an infant allowing the nipple to move and stretch as skin moves and stretches. (Pg. 5, ll. 2-4).

*Independent Claim 18*

Independent claim 18 defines a feeding bottle comprising a vessel (112), a collar (130), and a nipple. (Pg. 5, ll. 20-24, FIG. 4). The vessel (112) includes an open top with a first diameter and a body with a second diameter. The body is connected with the open top, and the first diameter of the open top is less than the second diameter of the body.

The collar (130) includes an internally threaded cylindrical portion (132) for securing to the vessel (112) and a downwardly domed peripheral portion (134) surrounding and extending from the cylindrical portion (132). (Pg. 7, ll. 13-15, FIG. 4).



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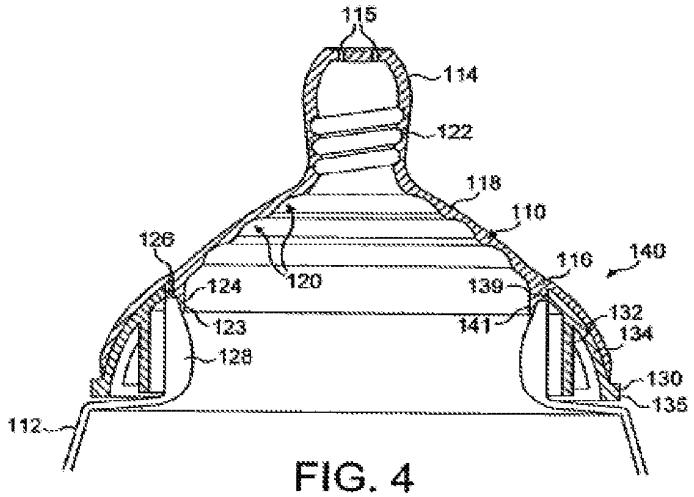



FIG. 4

As shown in FIG. 4, flexibility and functionality is provided by the nipple having a teat portion (110), a base portion (116), and an areola portion (118). (Pg. 3, ll. 27-29, FIG. 4). The teat portion (110) has a variable wall thickness including an aperture (115). (Pg. 5, ll. 21-24). The base portion (116) has a wall thickness in a domed configuration. (Pg. 5, l. 28 – P. 6, l. 1). And the areola portion (118) is between the teat portion (110) and the base portion (116). (Pg. 3, ll. 27-29). This configuration provides an infant with better pursing in allowing them to close their lips effectively around the teat, and again helps in mimicking the human breast so the experience is as close to the natural experience of breast feeding. (Pg. 6, ll. 3-6).

A cylindrical flange (141) is also depending from the base portion (116) and at least one vent passage (126) is extending through the domed portion of the base portion (116) with a length not to extend past the collar (130) and spaced inwardly from the collar (130). (Pg. 8, ll. 1-4). A valve portion (123) is also claimed and it is in communication with the vent passage (126) and projecting downwardly from the flange (141), the flange (141) being arranged to seal with the vessel. (Pg. 8, ll. 1-13). Because of the resilience of the flange (141), when an infant sucks on the teat, pressure is reduced in the vessel (112), and the valve (123) will flex away from the



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neck of (128) of the vessel (112). (Pg. 8, ll. 5-7). This configuration permits venting in an area between the interior of the vessel and the atmosphere through the teat. (Pg. 8, ll.7-8).

In application, when the infant drinks from a filled vessel, the pressure difference pulls the valve away from the inner face of the neck of the vessel (112) which allows venting through the vent passage 126 and reduces the risk of colic. (Pg. 9, ll. 16-21).

Finally, the nipple is secured to the collar (130) by sealingly capturing the inner edge of the collar between the base portion and the flange. (Pg. 7, ll. 23-26). The nipple is secured to the vessel (112) by capturing the flange (141) of the nipple between the collar (130) and the open top of the vessel (112). (Pg. 7, l. 26 – P. 8, l. 1).

Accordingly, the design of claim 18 provides a drinking vessel having a nipple with increased flexibility and functionality which permits a more natural feeding action that can closely mimic the natural movement of the human breast thereby assisting an infant that is nursing.

*Independent Claim 19*

Independent claim 19 defines a feeding bottle comprising a vessel (112), a collar (130), and a nipple. (Pg. 5, ll. 20-24, FIG. 4). The vessel (112) includes an open top with a first diameter and a body with a second diameter. The body is connected with the open top, and the first diameter of the open top is less than the second diameter of the body.

The collar (130) includes an internally threaded cylindrical portion (132) for securing to the vessel (112) and a downwardly domed peripheral portion (134) surrounding and extending from the cylindrical portion (132). (Pg. 7, ll. 13-15, FIG. 4).



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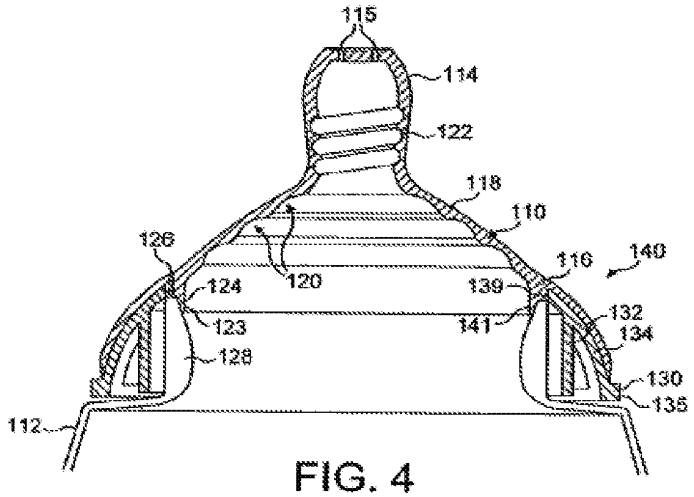



FIG. 4

As shown in FIG. 4, flexibility and functionality is provided by the nipple having a teat portion (110), a base portion (116), and an areola portion (118). (Pg. 3, ll. 27-29, FIG. 4). The teat portion (110) has a variable wall thickness including an aperture (115). (Pg. 5, ll. 21-24). The base portion (116) has a wall thickness in a domed configuration. (Pg. 5, l. 28 – P. 6, l. 1). And the areola portion (118) is between the teat portion (110) and the base portion (116). (Pg. 3, ll. 27-29). This configuration provides an infant with better pursing in allowing them to close their lips effectively around the teat, and again helps in mimicking the human breast so the experience is as close to the natural experience of breast feeding. (Pg. 6, ll. 3-6).

Independent claim 19 also includes a cylindrical flange (141) depending from the base portion (116) and at least one vent passage (126) extending through the domed portion (116) of the base portion and spaced inwardly from the collar (130). (Pg. 8, ll. 1-4). A valve portion (123) is also claimed and it is in communication with the vent passage (126) and projecting downwardly from the flange (141), the flange (141) being arranged to seal with the vessel. (Pg. 8, ll. 1-13). Because of the resilience of the flange (141), when an infant sucks on the teat, pressure is reduced in the vessel (112), and the valve (123) will flex away from the neck of (128)



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of the vessel (112). (Pg. 8, ll. 5-7). This configuration permits venting in an area between the interior of the vessel and the atmosphere through the teat. (Pg. 8, ll.7-8).

In application, when the infant drinks from a filled vessel, the pressure difference pulls the valve away from the inner face of the neck of the vessel (112) which allows venting through the vent passage 126 and reduces the risk of colic. (Pg. 9, ll. 16-21).

Independent claim 19 also defines an outer surface of the teat portion (110) having a first texture and an outer surface of the areola portion having a second texture. (Pg. 5, ll. 24-27; Pg. 6, ll. 15-17). This can further help the natural experience. For example, the teat can have a texture that is designed to mimic the human breast such as having a skin-like texture that is either molded or otherwise patterned on to its surface. (Pg. 4, ll. 18-19; Pg. 5, ll. 24-27).

Finally, the nipple is secured to the collar (130) by sealingly capturing the inner edge of the collar between the base portion and the flange. (Pg. 7, ll. 23-26). The nipple is secured to the vessel (112) by capturing the flange (141) of the nipple between the collar (130) and the open top of the vessel (112). (Pg. 7, l. 26 – P. 8, l. 1).

Accordingly, the design of claim 19 provides a drinking vessel having a nipple with increased flexibility and functionality which permits a more natural feeding action that can closely mimic the natural movement of the human breast thereby assisting an infant that is nursing.

## IV. Grounds of Rejection for Review on Appeal

The Appellant seeks review of the rejection of claims 2-8 and 14-19 under 35 U.S.C. §103(a) as being unpatentable over U.S. Patent No. 6,959,827 to Morano ("Morano") in view of U.S. Patent No. 1,510,571 to Ware ("Ware") and further in view of U.S. Publication No. 2003/0089676 to Uehara ("Uehara").



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## V. Argument

### A. Applicable Law

A *prima facie* case for obviousness requires that the asserted combination of references teaches or suggests all of the limitations of the rejected claims. *See In re Royka,* 180 USPQ 580 (CCPA 1974). Obviousness can be established when there is some motivation to combine the teachings of the prior art. MPEP 2143.01. Without such motivation, a skilled artisan would not arrive at the claimed combination. *See In re Stepan Co.*, 868 F.3d 1342, 1347, n. 1 (Fed. Cir. 2017). Where the cited art teaches away from a claimed feature, the cited art is not available for the purposes of an obviousness rejection. MPEP 2144.05; 2145. If a proposed modification would render the prior art invention unsatisfactory for its intended purpose, then there is no suggestion or motivation to make the proposed modification. MPEP 2143.01; *In re Gordon*, 733 F.2d 900, 221 USPQ 1125 (Fed. Cir. 1984). It is respectfully submitted that the Examiner's position is not warranted and the obviousness rejection does not meet the requirements of 35 U.S.C. 103(a).

### B. Claim 18 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art

#### i. There is No Motivation to Combine Morano's Handled Cup for Juveniles and Ware's Nipple for Infants

It is the Examiner's burden to establish prima facie obviousness and the Examiner must provide evidence that would demonstrate "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does." *KSR Int'l Co. v. Telejlex Inc.,* 127 S.Ct. 1727, 1741 (2007). If "…a rejection is based on combining disclosures from multiple references… there must be a motivation to make the combination and a reasonable expectation that such a combination would be successful,



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otherwise a skilled artisan would not arrive at the claimed combination." *In re Stepan Co.*, 868 F.3d 1342, 1347, n. 1 (Fed. Cir. 2017).

The Examiner, however, provides no reason to combine Morano and Ware. In fact, no reason exists because they are each directed to different problems—Morano preventing older children from spilling liquid when they tip over a sippy cup and Ware providing a nipple for infants in an attempt to replicate a natural breast.

Morano is directed to a drinking vessel with adjustable handles that will allow a "juvenile" to hold the drinking vessel with "great flexibility." (Morano, Abstract). It is not a baby bottle. Indeed, the Morano drinking vessel is really for older children who may have difficulty with drops and spills and, therefore, is "appropriate for use by an individual with impaired manual dexterity;" not infants. *See* Morano, col. 2, ll. 47-49.

In referring to Morano FIG. 1 below, a "mouth interface 68 allows the potable liquid to flow therethrough from the container 12 to the mouth of the juvenile. The mouth interface 68 directly contacts the juvenile's mouth." (Morano, col. 3, ll. 50-53).



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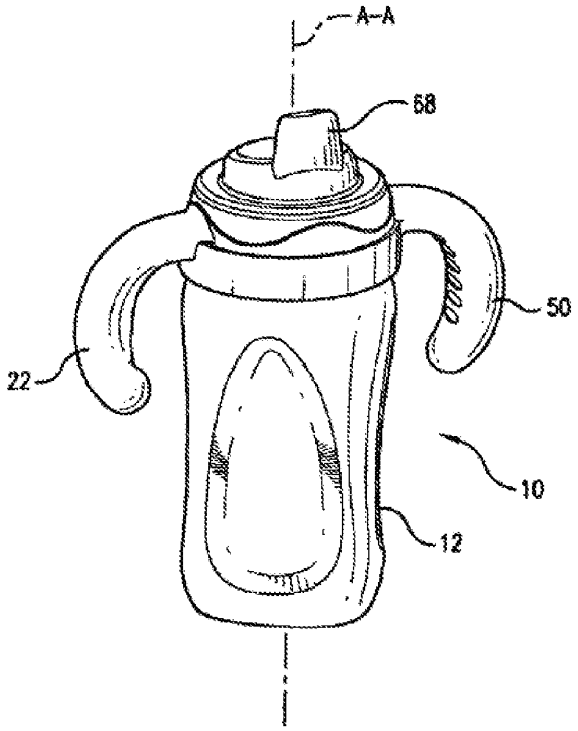



FIG. 1

As shown in FIG. 1, the mouth interface 68 is in the form of a spout and in no way could mimic the natural human breast, nor would it be obvious to a person of ordinary skill in the art since Morano is directed to juveniles and not infants. In fact, Morano **cannot** be used by an infant who is nursing.

The stated purpose of Ware is to provide infants a nipple that "closely approximate[] the form and softness of a mother's breast." (Ware, col. 1, ll. 13-14). Accordingly, Morano and Ware are directed to different problems—older children spilling liquid and infant breastfeeding—and neither one is reasonably pertinent to the other.

Further, Ware's purpose is to provide a drinking apparatus that closely approximates a mother's breast such that it will not collapse or be drawn, *by outside air pressure*, down into the mouth or neck of the bottle. (Ware, col. 1, ll. 9-16). Accordingly, Ware teaches an "air valve" to



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"offer a minimum amount of resistance to the admission of air to the bottle." (*Id.*, col. 2, ll. 70-72).

Morano, however, has nothing to do with *air resistance* and is instead directed to solving a completely different problem, *i.e.*, adding "adjustable handles" for drinking vessels. (Morano, col. 1, ll. 34-35; claim 1-9). In fact, Morano teaches a collar 24 that screws to the vessel whereas Ware does not even teach a collar. Instead, Ware discloses a band 3 on the nipple for gripping the bottle.

Accordingly, the Examiner has failed to demonstrate a reason for combining the different elements from Morano with Ware as required by *In re Stepan Co.*, 868 F.3d 1342 (Fed. Cir. 2017).

ii. Morano Does Not Teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar…" and Ware Does Not Teach a Collar

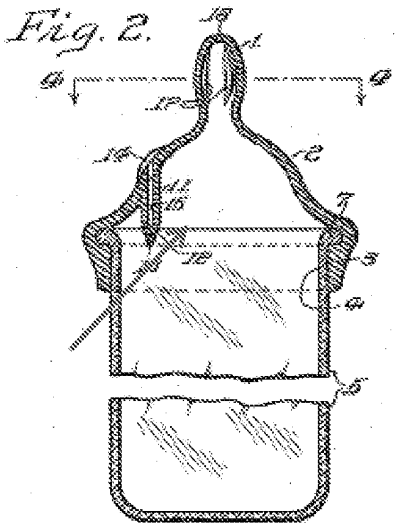
Even if it were proper to combine Morano and Ware, these references do not teach each of the claim limitations. Independent claim 18 requires "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar." The Examiner admits that "Morano fails to show a vent passage extending through the base portion with a length not to extend past the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange." (7/26/19 Final Office Action at p. 3, hereinafter "OA"). As discussed above, Morano does not have a "vent" because it is a drinking cup for older children and not a baby bottle.

The Office Action relies on Ware to teach the missing limitation of "at least one vent passage extending through the domed portion of the base portion with a length not to extend past ***the collar.***" (OA, p. 3) (emphasis added). Ware, however, does not even have a "collar."



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Ware is directed to "rubber nipples" secured directly to the mouth of a nursing bottle without a collar. (Ware, col. 1, ll. 9-11). As shown in Ware's FIG. 2 (annotated below), Ware includes three parts—a nipple portion 1, a breast portion 2, and a band 3. (Ware, col. 3, ll. 15-18). There is no collar to set a limit for the vent passage.




The band 3 is "adapted to tightly clasp around the upper end or mouth or neck 4 of a nursing bottle or receptacle 5 when stretched thereover." (*Id.*, col. 3, ll. 18-22). Accordingly, Ware's "air tube 11" extends not past any collar (shown in red arrow) but directly into the "receptacle 5" and into the milk. Ware further explains that the air tube draws air into the bottle:

> "...an air tube 11 extending preferably a short distance downwardly from the inner surface of the breast portion 2 and when first molded its lower tapering end 12 is closed. This closed end is then slit or cut in any suitable way longitudinally of the tube to provide a valve 13 which will permit air to be drawn therethrough ***into the bottle***..." (Ware, col. 4, ll. 91-99) (emphasis added).

In contrast, Applicant's invention recites "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar." (Claim 18). For example, Applicant's "vent passage 126" is shown in FIG. 4 (reproduced below with blue arrow):



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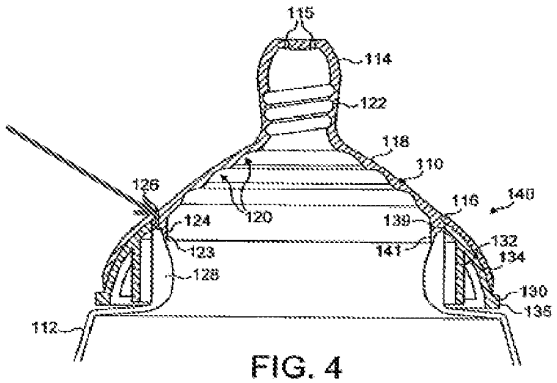



FIG. 4

Applicant explains that "[t]he teat 110 is over-moulded onto the screw collar 130 and the domed portion 134 terminates at a central circular orifice corresponding with an outer face of the neck 128 of the vessel 112." (US Pub. No. 20150083685, [0032]; hereinafter "the '685 application"). "The teat 110 includes a vent passage 126 through the elastomer material and substantially at the periphery." (*Id.*, [0033]).

Unlike Ware, Applicant's claimed "vent passage" has a "length not to extend past the collar." This keeps it out of the milk as can happen in Ware. Accordingly, even if it would be obvious to combine Ware with the Morano, the cited combination still does not teach each of the limitations of claim 18.

iii. Neither Morano Nor Ware Teach "A Teat Portion Having a Variable Wall Thickness Including an Aperture"

Independent claim 18 requires "a teat portion having a variable wall thickness including an aperture." The Examiner admits that neither Morano nor Ware teach this limitation. (OA, p. 4). The Examiner, however relies on a Uehara as its third piece of art in the rejection. But the Examiner fails to identify any motivation to combine Uehara with Ware and Morano. In fact, no reason exists because Uehara and Morano are each directed to different problems—Morano preventing older children from spilling liquid when they tip over a sippy cup and Uehara



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providing a nipple for infants. *See In re Stepan Co.*, 868 F.3d 1342 (Fed. Cir. 2017). For at least this reason, Appellant requests that the rejection based on 35 U.S.C. §103(a) is not supported by the record and should be reversed.

### C. Claim 19 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art

#### i. There is No Motivation to Combine Morano's Handled Cup for Juveniles and Ware's Nipple for Infants

As discussed above, the Examiner has not met her burden to establish prima facie obviousness. *KSR Int'l Co. v. Telejlex Inc.,* 127 S.Ct. 1727, 1741 (2007). Even if Morano and Ware would have taught each of the Applicant's claimed elements, there is no reason to combine them since they are each directed to different problems—Morano preventing older children from spilling liquid and Ware providing a nipple for infants to replicate a natural breast. *See supra* **V.B.i.** on page 7.

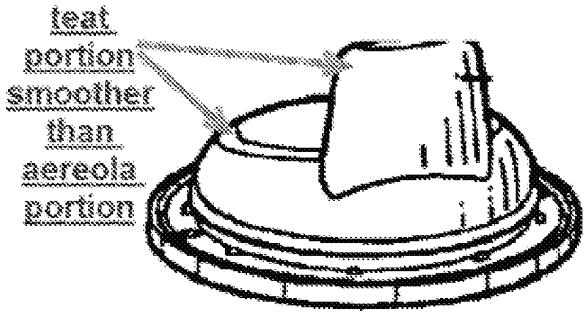
#### ii. The Prior Art Does Not Disclose That "An Outer Surface of the Teat Portion Has a First Texture and an Outer Surface of the Areola Portion Has a Second Texture"

Independent claim 19 includes the limitation "wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture." This limitation is not taught by the prior art.

The Office Action argues that Morano teaches this limitation and references that the figure below shows "the areola texture is bumpy and the teat surface is smooth."



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OA, pp. 5-6. The Office Action theorizes that because there are two lines on the alleged areola portion of mouth interface of Morano, the teat portion is smoother than the areola portion:

> "One can see in the figure that the areola section has an interruption which would make the texture in that section different from the teat surface which does not have any interruptions." OA, p. 8.

But this conclusion is without evidence and Morano also lacks any such disclosure of a "first texture" and a "second texture." Morano does not even mention texture. In fact, since Morano is directed to a drinking cup for older children, there would be no reason to include a texture on the spout 168 that attempted to mimic a natural breast.

In contrast, Applicant explains the specific reason for its two textures: "[t]he teat 110 is textured and shaped to mimic the human breast and can, for example have skin-like texture varying between the teat portion, areola region and base portion, similar to the human breast." (Pg. 5, ll. 24-27). The Applicant's specification further explains that:

> "the teat can be textured on its outer and/or inner surface to enhance operation or realism of the teat as appropriate." (Pg. 6, ll. 15-17).
> ...
> "For example the teat portion can be made progressively longer as the age of the infant who will be using the teat increases and/or the texture can be made less prominent, for example ranging from coarse for new-borns through fine to gloss." (Pg. 10, 3-5).

Accordingly, Applicant's particularized purpose for having a "first texture" and a "second texture" is not taught or suggested by Morano.



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iii. Morano Does Not Teach "A Vent Passage Extending Through the Base Portion, a Valve Portion in Communication with the Vent Passage and Projecting Downwardly from the Flange" and Ware Does Not Have A Collar

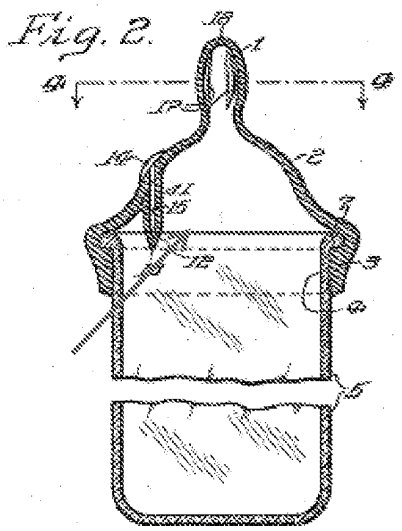
Independent claim 19 requires "at least one vent passage extending through the domed portion of the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange." The Examiner admits that "Morano fails to show a vent passage extending through the base portion, a valve portion in communication with the vent passage and projecting downwardly from the flange." (OA, p. 8). The Office Action also never addresses the requirement of claim 19 for the "at least one vent passage" to be "spaced inwardly from the collar." As discussed above, Morano does not have a "vent" because it is a drinking cup for older children and not a baby bottle.

The Office Action relies on Ware to teach the missing limitation of "to have a vent passage extending through the base portion of the nipple, a valve portion in communication with the vent passage and projecting downwardly from the flange." (OA, p. 8) (emphasis added). Ware, however, does not even have a "collar."

Ware is directed to "rubber nipples" secured directly to the mouth of a nursing bottle without a collar. (Ware, col. 1, ll. 9-11). As shown in Ware's FIG. 2 (annotated below), Ware includes three parts—a nipple portion 1, a breast portion 2, and a band 3. (Ware, col. 3, ll. 15-18). There is no collar to set a limit for the vent passage.



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The band 3 is "adapted to tightly clasp around the upper end or mouth or neck 4 of a nursing bottle or receptacle 5 when stretched thereover." (*Id.*, col. 3, ll. 18-22). Accordingly, Ware's "air tube 11" extends not past any collar (shown in red arrow) but directly into the "receptacle 5" and into the milk. Ware further explains that the air tube draws air into the bottle:

> "...an air tube 11 extending preferably a short distance downwardly from the inner surface of the breast portion 2 and when first molded its lower tapering end 12 is closed. This closed end is then slit or cut in any suitable way longitudinally of the tube to provide a valve 13 which will permit air to be drawn therethrough ***into the bottle***..." (Ware, col. 4, ll. 91-99) (emphasis added).

In contrast, Applicant's invention recites "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar." (Claim 18). For example, Applicant's "vent passage 126" is shown in FIG. 4 (reproduced below with blue arrow):



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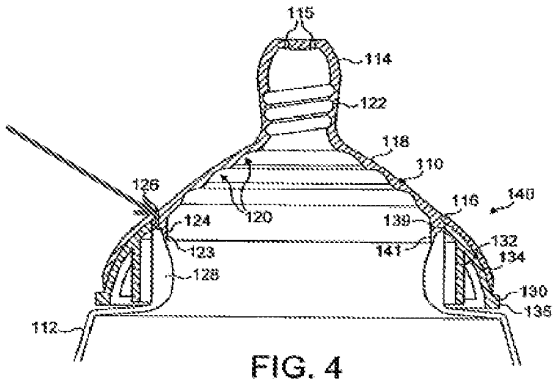



FIG. 4

Applicant explains that "[t]he teat 110 is over-moulded onto the screw collar 130 and the domed portion 134 terminates at a central circular orifice corresponding with an outer face of the neck 128 of the vessel 112." (US Pub. No. 20150083685, [0032]; hereinafter "the '685 application"). "The teat 110 includes a vent passage 126 through the elastomer material and substantially at the periphery." (*Id.*, [0033]).

Unlike Ware, Applicant's claimed "vent passage" has a "length not to extend past the collar." This keeps it out of the milk as can happen in Ware. Accordingly, even if it would be obvious to combine Ware with the Morano, the cited combination still does not teach each of the limitations of claim 19.

iv. Neither Morano Nor Ware Teach "A Teat Portion Having a Variable Wall Thickness Including an Aperture"

Independent claim 19 requires "a teat portion having a variable wall thickness including an aperture." The Examiner admits that neither Morano nor Ware teach this limitation. (OA, p. 8). The Examiner, however, relies on a Uehara as its third piece of art in the rejection. But the Examiner fails to identify any motivation to combine Uehara with Ware and Morano. In fact, no reason exists because Uehara and Morano are each directed to different problems—Morano preventing older children from spilling liquid when they tip over a sippy cup and Uehara



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providing a nipple for infants. *See In re Stepan Co.*, 868 F.3d 1342 (Fed. Cir. 2017). For at least this reason, Appellant requests that the rejection based on 35 U.S.C. §103(a) is not supported by the record and should be reversed. For at least this reason, Appellant requests that the rejection based on 35 U.S.C. §103(a) is not supported by the record and should be reversed.

**D. Claim 2 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 2 depends from independent claim 18 and adds the limitation "wherein the vessel has two indentations."

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 2 is also patentable.

**E. Claim 3 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 3 depends from independent claim 18 and adds the limitation "wherein the flange forms an elastomer sealing ring sealing against the top of the vessel." The Examiner admits that Morano does not disclose this limitation. (OA, pp. 5-6). Instead, the Examiner relies on Uehara stating "[b]ased on the teachings of Uehara, it would have been obvious to one having ordinary skill in the art at the time the invention was made to an (sic) elastomer sealing ring sealing against the top of the vessel." (OA, p. 6). While Uehara teaches "the nipple portion is composed of an elastic material having a hardness of 5 to 25 degrees…" (Uehara, ¶[0072]), it fails to teach the specific claim 3 limitation of an "elastomer sealing ring." Accordingly, the Examiner's rejection of claim 3 was improper.



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The is also no reason to combine Uehara and Morano because each are directed to different problems—Morano preventing older children from spilling liquid when they tip over a sippy cup and Uehara providing a nipple for infants. *See In re Stepan Co.*, 868 F.3d 1342 (Fed. Cir. 2017). For at least this reason, Appellant requests that the rejection based on 35 U.S.C. §103(a) is not supported by the record and should be reversed.

Finally, as discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 3 is also patentable.

**F. Claim 4 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 4 depends from independent claim 18 and adds the limitation "wherein the open top of the vessel comprises a vessel neck."

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 4 is also patentable.

**G. Claim 5 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 5 depends from independent claim 18 and adds the limitation "wherein the open top of the vessel comprises an outwardly threaded vessel neck."

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past



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the collar," dependent claim 5is also patentable.

**H. Claim 6 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 6 depends from independent claim 18 and adds the limitation "wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel."

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 17 is also patentable.

**I. Claim 7 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 7 depends from independent claim 18 and adds the limitation "wherein the collar comprises polypropylene."

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 7 is also patentable.

**J. Claim 8 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 8 depends from independent claim 18 and adds the limitation "wherein the air valve is a one-way air-inlet valve." Morano does not teach this limitation. Instead, the Examiner relies on Ware. (OA, p. 6). There is no motivation to combine Morano and Ware. Ware provides a drinking apparatus that closely approximates a mother's breast such that it will not



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collapse or be drawn, *by outside air pressure*, down into the mouth or neck of the bottle. (Ware, col. 1, ll. 9-16). Accordingly, Ware teaches an "air valve" to "offer a minimum amount of resistance to the admission of air to the bottle." (*Id.*, col. 2, ll. 70-72). Morano, however, has nothing to do with *air resistance* and is instead directed to solving a completely different problem, *i.e.*, adding "adjustable handles" for drinking vessels. (Morano, col. 1, ll. 34-35; claim 1-9). Accordingly, the Examiner's rejection of claim 8 was not proper.

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 8 is also patentable.

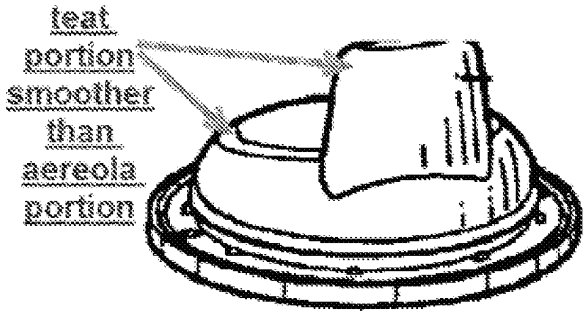
### K. Claim 14 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art

Claim 14 depends from independent claim 18 and adds the limitation "wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture."

Claim 14 includes the limitation "wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture." This limitation is not taught by the prior art. The Office Action argues that Morano teaches this limitation and references that the figure below shows "the areola texture is bumpy and the teat surface is smooth."



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*See* OA, pp. 5-6. The Office Action surmises that because there are two lines on the alleged areola portion of mouth interface of Morano, the teat portion is smoother than the areola portion:

> "One can see in the figure that the areola section has an interruption which would make the texture in that section different from the teat surface which does not have any interruptions." OA, p. 8.

But this conclusion is without evidence and Morano also lacks any such disclosure of a "first texture" and a "second texture." Morano does not even mention texture. In fact, since Morano is directed to a drinking cup for older children, there would be no reason to include a texture on the spout 168 that attempted to mimic a natural breast.

In contrast, Applicant explains the specific reason for its two textures: "[t]he teat 110 is textured and shaped to mimic the human breast and can, for example have skin-like texture varying between the teat portion, areola region and base portion, similar to the human breast." (The '685 application, [0027]). The specification further explains that:

> "the teat can be textured on its outer and/or inner surface to enhance operation or realism of the teat as appropriate." (*Id.*, [0028]).
> …
> "For example the teat portion can be made progressively longer as the age of the infant who will be using the teat increases and/or the texture can be made less prominent, for example ranging from coarse for new-borns through fine to gloss." (*Id.*, [0040]).



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Accordingly, Applicant's particularized purpose for having a "first texture" and a "second texture" is not taught or suggested by Morano. Thus, the Examiner's rejection of claim 14 was not proper.

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 14 is also patentable.

### L. Claim 15 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art

Claim 15 depends from independent claim 18 and adds the limitation "wherein an inner surface of the areola portion has at least one groove extending circumferentially."

The Examiner admits that Morano does not teach this limitation. (OA, pp. 6-7). The Examiner, however relies on a Uehara as its third piece of art in the rejection. (OA, p. 7). But the Examiner fails to identify any motivation to combine Uehara with Ware and Morano. In fact, no reason exists because Uehara and Morano are each directed to different problems—Morano preventing older children from spilling liquid when they tip over a sippy cup and Uehara providing a nipple for infants. *See In re Stepan Co.*, 868 F.3d 1342 (Fed. Cir. 2017). For at least this reason, Appellant requests that the rejection based on 35 U.S.C. §103(a) is not supported by the record and should be reversed.

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 15 is also patentable.



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### M. Claim 16 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art

Claim 16 depends from independent claim 18 and adds the limitation "wherein the teat walls decrease in thickness from the base portion through to the teat portion."

The Examiner admits that Morano does teach this limitation. (OA, p. 7). The Examiner, however relies on a Uehara as its third piece of art in the rejection. (OA, p. 7). But the Examiner fails to identify any motivation to combine Uehara with Ware and Morano. In fact, no reason exists because Uehara and Morano are each directed to different problems—Morano preventing older children from spilling liquid when they tip over a sippy cup and Uehara providing a nipple for infants. *See In re Stepan Co.*, 868 F.3d 1342 (Fed. Cir. 2017). For at least this reason, Appellant requests that the rejection based on 35 U.S.C. §103(a) is not supported by the record and should be reversed.

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 16 is also patentable.

### N. Claim 17 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art

Claim 17 depends from independent claim 18 and adds the limitation "wherein the air valve is located below a top edge of the collar."

Morano does not teach this limitation. Instead, the Examiner relies on Ware. (OA, p. 7). There is no motivation to combine Morano and Ware. Ware provides a drinking apparatus that closely approximates a mother's breast such that it will not collapse or be drawn, *by outside air pressure*, down into the mouth or neck of the bottle. (Ware, col. 1, ll. 9-16). Accordingly, Ware



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teaches an "air valve" to "offer a minimum amount of resistance to the admission of air to the bottle." (*Id.*, col. 2, ll. 70-72). Morano, however, has nothing to do with *air resistance* and is instead directed to solving a completely different problem, *i.e.*, adding "adjustable handles" for drinking vessels. (Morano, col. 1, ll. 34-35; claim 1-9). Accordingly, the Examiner's rejection of claim 17 was not proper.

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 17 is also patentable.

## VI. Conclusion

It was incumbent upon the Examiner to have provided sufficient evidence to sustain a *prima facie* case of obviousness. The Examiner has failed to carry the burden of providing a factual basis to support conclusions of obviousness. *See In re Alton*, 76 F.3d 1168, 1175 (Fed. Cir. 1996); *In re Oetiker*, 977 F.2d 1443, 1445 (Fed. Cir. 1992) ("the examiner bears the initial burden on review of the prior art or on any other ground of presenting a *prima facie* case of unpatentability"). In particular, the Examiner provides no motivation for combining Morano's sippy cup for older children and Ware's nipple for infants. Accordingly, without such reason or motivation, "a skilled artisan would not arrive at the claimed combination." *In re Stepan Co.*, 868 F.3d 1342, 1347, n. 1 (Fed. Cir. 2017).



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The Examiner's stated positions are not tenable and should be reversed, and allowance of the application is respectfully requested.

Respectfully submitted,

Date: April 12, 2019

By: /Arne M. Olson/
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## CLAIMS APPENDIX

1. (Cancelled).

2. The feeding bottle of claim 18 wherein the vessel has two indentations.

3. The feeding bottle of claim 18 wherein the flange forms an elastomer sealing ring sealing against the top of the vessel.

4. The feeding bottle of claim 18 wherein the open top of the vessel comprises a vessel neck.

5. The feeding bottle of claim 18 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

6. The feeding bottle of claim 18 wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel.

7. The feeding bottle of claim 18 wherein the collar comprises polypropylene.

8. The feeding bottle of claim 18 wherein the air valve is a one-way air-inlet valve.

9. – 13. (Canceled).

14. The feeding bottle of claim 18 wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture.

15. The feeding bottle of claim 18 wherein an inner surface of the areola portion has at least one groove extending circumferentially.

16. The feeding bottle of claim 18 wherein the teat walls decrease in thickness from the base portion through to the teat portion.



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17. The feeding bottle of claim 18 wherein the air valve is located below a top edge of the collar.

18. A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar and spaced inwardly from the collar,

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;



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d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

19. A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

at least one vent passage extending through the domed portion of the base portion and spaced inwardly from the collar,

a valve portion in communication with the vent passage and projecting downwardly from the flange,



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the flange being arranged to seal with the vessel;

wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.



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## EVIDENCE APPENDIX

No evidence was submitted pursuant to §§ 1.130, 1.131, or 1.132. Copies of the prior art references relied upon by the Examiner are provided herewith, as well as other prior art referenced in the arguments above.



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## RELATED PROCEEDINGS APPENDIX

None.



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**CERTIFICATE OF EFS-WEB TRANSMISSION**

I hereby certify that this paper is being transmitted via the U.S. Patent and Trademark (USPTO) Electronic Filing System (EFS-Web) to the USPTO on April 12, 2019.

/Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)



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# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 35714020 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Brian Raymond Michalek/Jill Jewett |
| **Filer Authorized By:** | Brian Raymond Michalek |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 12-APR-2019 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 15:36:19 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Transmittal Letter | JM-4-2-AppealBrief-Transmittal1.pdf | 68693<br>3acb431b35eefdcb7513036649d2709a2336b5d9 | no | 1 |

**Warnings:**

| Information: | | | | | |
|---|---|---|---|---|---|
| 2 | Appeal Brief Filed | JM-4-2-AppealBrief2.pdf | 299486<br>3e7478551d136a14543cfb33cb0034697220f8a6 | no | 33 |
| Warnings: | | | | | |
| Information: | | | | | |
| | | | Total Files Size (in bytes): | 368179 | |

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**
**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

| | | |
|---|---|---|
| Applicant(s): | Rees, Arnold | ) Confirmation No. 6509 |
| Application No.: | 14/551,783 | ) |
| Filing Date: | November 24, 2014 | ) Group Art Unit: 3781 |
| For: | Baby Bottle With Flexible Nipple Regions | ) |
| Examiner: | Collado, Cynthia Francisca | ) **Atty. Docket No.: JM-4.2** |

**RESPONSE TO NOTIFICATION OF NON-COMPLIANT APPEAL BRIEF**

Mail Stop Appeal Brief-Patents
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

This is in response to the Notification of Non-Compliant Appeal Brief dated March 14, 2019. In particular, the Notification indicates that the "brief does not contain a correct copy of the appealed claims as an appendix thereto." A corrected version of the Appeal Brief is submitted herewith which includes a correctly formatted copy of the appealed claims.

Respectfully submitted,

Date: April 12, 2019

By: /Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

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www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 | 6509 |

2387 7590 03/14/2019

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CHICAGO, IL 60606

| EXAMINER | |
|---|---|
| ART UNIT | PAPER NUMBER |
| MAIL DATE | DELIVERY MODE |
| 03/14/2019 | |

**Please find below and/or attached an Office communication concerning this application or proceeding.**



Nanobebe US Inc., Ex. 1002, Page 221
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| *Notification of Non-Compliant Appeal Brief (37 CFR 41.37)* | **Application No.** 14/551,783 | **Applicant(s)** Rees et al. | |
|---|---|---|---|
| | **Examiner** COLLADO, CYNTHIA FRANCISCA | **Art Unit** 3733 | **AIA Status** No |

***--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--***

The Appeal Brief filed on 26 February 2019 is defective for failure to comply with one or more provisions of 37 CFR 41.37(c).

To avoid dismissal of the appeal, applicant must file an amended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer. **EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.**

1. ☐ The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.

2. ☐ (a) The brief does not contain a concise explanation of the subject matter defined in each of the rejected independent claims, referring to the specification in the Record by page and line number or by paragraph number and to the drawings, if any, by reference characters; and/or (b) the brief fails to identify, for each rejected independent claim and for each dependent claim argued separately that contains a means plus function or step plus function recitation under 35 U.S.C. 112, sixth paragraph, the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification in the Record by page and line number or paragraph number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(iii)).

3. ☑ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(v)).

4. ☐ Other (including any explanation in support of the above items):

Claims appendix cannot contain edit markings, must contain all claims involved in the appeal and match the last entered amendment in accordance with 37 CFR 41.37 ( c) ( 1) ( v )..( CLAIM 18).

/LASHAWN A HINTON/
(571)272-1584



Nanobebe US Inc., Ex. 1002, Page 222
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**
**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

| | | |
|---|---|---|
| Applicant(s): | Rees, Arnold | Confirmation No. 6509 |
| Application No.: | 14/551,783 | |
| Filing Date: | November 24, 2014 | Group Art Unit: 3781 |
| For: | Baby Bottle With Flexible Nipple Regions | |
| Examiner: | Collado, Cynthia Francisca | **Atty. Docket No.: JM-4.2** |

**BRIEF ON APPEAL**

Mail Stop Appeal Brief-Patents
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

This is an appeal from the Final Rejection rejecting claims 2-8 and 14-19 in the above-identified application.

## I. Real Party In Interest

The above-identified patent application has been assigned to JACKEL INTERNATIONAL LIMITED, who is the real party in interest to this appeal. The assignment has been recorded in the United States Patent and Trademark Office at frame 0192 of Reel 035234.

## II. Related Appeals And Interferences

Appellant is unaware of any appeals or interferences that may be related to, directly affect or be directly affected by or have a bearing on Board's decision in the pending appeal.

## III. Summary of the Claimed Subject Matter

The invention relates to a drinking vessel assembly, and in particular, a baby bottle that helps mimic the human breast in operation and assists in infant feeding. It is designed for particularly young infants that are still nursing. To maximize natural movement, the drinking vessel includes a nipple that has increased flexibility and functionality. (Pg. 1, ll. 15-16). This increased flexibility and functionality permits a teat portion and an areola portion to move towards and away from one another and more natural feeding action is provided. (Pg. 2, ll. 26-28). In particular, this back and forth motion of the nipple can very closely mimic the natural movement of the human breast during sucking by an infant allowing the nipple to move and stretch as skin moves and stretches. (Pg. 5, ll. 2-4).

*Independent Claim 18*

Independent claim 18 defines a feeding bottle comprising a vessel (112), a collar (130), and a nipple. (Pg. 5, ll. 20-24, FIG. 4). The vessel (112) includes an open top with a first diameter and a body with a second diameter. The body is connected with the open top, and the first diameter of the open top is less than the second diameter of the body.

The collar (130) includes an internally threaded cylindrical portion (132) for securing to the vessel (112) and a downwardly domed peripheral portion (134) surrounding and extending from the cylindrical portion (132). (Pg. 7, ll. 13-15, FIG. 4).



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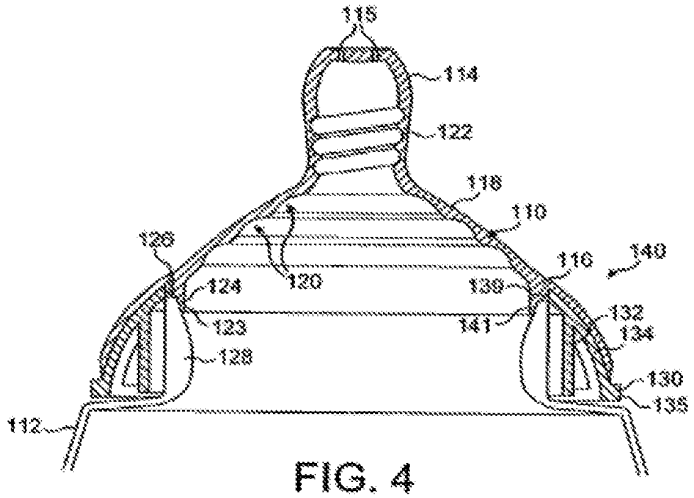



FIG. 4

As shown in FIG. 4, flexibility and functionality is provided by the nipple having a teat portion (110), a base portion (116), and an areola portion (118). (Pg. 3, ll. 27-29, FIG. 4). The teat portion (110) has a variable wall thickness including an aperture (115). (Pg. 5, ll. 21-24). The base portion (116) has a wall thickness in a domed configuration. (Pg. 5, l. 28 – P. 6, l. 1). And the areola portion (118) is between the teat portion (110) and the base portion (116). (Pg. 3, ll. 27-29). This configuration provides an infant with better pursing in allowing them to close their lips effectively around the teat, and again helps in mimicking the human breast so the experience is as close to the natural experience of breast feeding. (Pg. 6, ll. 3-6).

A cylindrical flange (141) is also depending from the base portion (116) and at least one vent passage (126) is extending through the domed portion of the base portion (116) with a length not to extend past the collar (130) and spaced inwardly from the collar (130). (Pg. 8, ll. 1-4). A valve portion (123) is also claimed and it is in communication with the vent passage (126) and projecting downwardly from the flange (141), the flange (141) being arranged to seal with the vessel. (Pg. 8, ll. 1-13). Because of the resilience of the flange (141), when an infant sucks on the teat, pressure is reduced in the vessel (112), and the valve (123) will flex away from the



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neck of (128) of the vessel (112). (Pg. 8, ll. 5-7). This configuration permits venting in an area between the interior of the vessel and the atmosphere through the teat. (Pg. 8, ll.7-8).

In application, when the infant drinks from a filled vessel, the pressure difference pulls the valve away from the inner face of the neck of the vessel (112) which allows venting through the vent passage 126 and reduces the risk of colic. (Pg. 9, ll. 16-21).

Finally, the nipple is secured to the collar (130) by sealingly capturing the inner edge of the collar between the base portion and the flange. (Pg. 7, ll. 23-26). The nipple is secured to the vessel (112) by capturing the flange (141) of the nipple between the collar (130) and the open top of the vessel (112). (Pg. 7, l. 26 – P. 8, l. 1).

Accordingly, the design of claim 18 provides a drinking vessel having a nipple with increased flexibility and functionality which permits a more natural feeding action that can closely mimic the natural movement of the human breast thereby assisting an infant that is nursing.

*Independent Claim 19*

Independent claim 19 defines a feeding bottle comprising a vessel (112), a collar (130), and a nipple. (Pg. 5, ll. 20-24, FIG. 4). The vessel (112) includes an open top with a first diameter and a body with a second diameter. The body is connected with the open top, and the first diameter of the open top is less than the second diameter of the body.

The collar (130) includes an internally threaded cylindrical portion (132) for securing to the vessel (112) and a downwardly domed peripheral portion (134) surrounding and extending from the cylindrical portion (132). (Pg. 7, ll. 13-15, FIG. 4).



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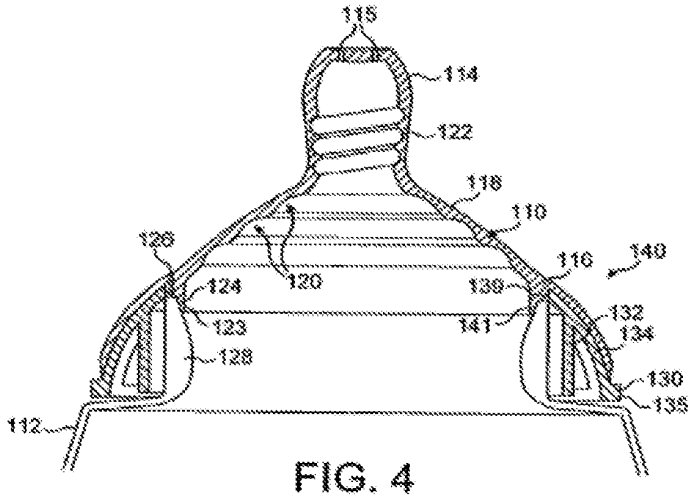



FIG. 4

As shown in FIG. 4, flexibility and functionality is provided by the nipple having a teat portion (110), a base portion (116), and an areola portion (118). (Pg. 3, ll. 27-29, FIG. 4). The teat portion (110) has a variable wall thickness including an aperture (115). (Pg. 5, ll. 21-24). The base portion (116) has a wall thickness in a domed configuration. (Pg. 5, l. 28 – P. 6, l. 1). And the areola portion (118) is between the teat portion (110) and the base portion (116). (Pg. 3, ll. 27-29). This configuration provides an infant with better pursing in allowing them to close their lips effectively around the teat, and again helps in mimicking the human breast so the experience is as close to the natural experience of breast feeding. (Pg. 6, ll. 3-6).

Independent claim 19 also includes a cylindrical flange (141) depending from the base portion (116) and at least one vent passage (126) extending through the domed portion (116) of the base portion and spaced inwardly from the collar (130). (Pg. 8, ll. 1-4). A valve portion (123) is also claimed and it is in communication with the vent passage (126) and projecting downwardly from the flange (141), the flange (141) being arranged to seal with the vessel. (Pg. 8, ll. 1-13). Because of the resilience of the flange (141), when an infant sucks on the teat, pressure is reduced in the vessel (112), and the valve (123) will flex away from the neck of (128)



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of the vessel (112). (Pg. 8, ll. 5-7). This configuration permits venting in an area between the interior of the vessel and the atmosphere through the teat. (Pg. 8, ll.7-8).

In application, when the infant drinks from a filled vessel, the pressure difference pulls the valve away from the inner face of the neck of the vessel (112) which allows venting through the vent passage 126 and reduces the risk of colic. (Pg. 9, ll. 16-21).

Independent claim 19 also defines an outer surface of the teat portion (110) having a first texture and an outer surface of the areola portion having a second texture. (Pg. 5, ll. 24-27; Pg. 6, ll. 15-17). This can further help the natural experience. For example, the teat can have a texture that is designed to mimic the human breast such as having a skin-like texture that is either molded or otherwise patterned on to its surface. (Pg. 4, ll. 18-19; Pg. 5, ll. 24-27).

Finally, the nipple is secured to the collar (130) by sealingly capturing the inner edge of the collar between the base portion and the flange. (Pg. 7, ll. 23-26). The nipple is secured to the vessel (112) by capturing the flange (141) of the nipple between the collar (130) and the open top of the vessel (112). (Pg. 7, l. 26 – P. 8, l. 1).

Accordingly, the design of claim 19 provides a drinking vessel having a nipple with increased flexibility and functionality which permits a more natural feeding action that can closely mimic the natural movement of the human breast thereby assisting an infant that is nursing.

## IV. Grounds of Rejection for Review on Appeal

The Appellant seeks review of the rejection of claims 2-8 and 14-19 under 35 U.S.C. §103(a) as being unpatentable over U.S. Patent No. 6,959,827 to Morano ("Morano") in view of U.S. Patent No. 1,510,571 to Ware ("Ware") and further in view of U.S. Publication No. 2003/0089676 to Uehara ("Uehara").



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## V. Argument

### A. Applicable Law

A *prima facie* case for obviousness requires that the asserted combination of references teaches or suggests all of the limitations of the rejected claims. *See In re Royka,* 180 USPQ 580 (CCPA 1974). Obviousness can be established when there is some motivation to combine the teachings of the prior art. MPEP 2143.01. Without such motivation, a skilled artisan would not arrive at the claimed combination. *See In re Stepan Co.*, 868 F.3d 1342, 1347, n. 1 (Fed. Cir. 2017). Where the cited art teaches away from a claimed feature, the cited art is not available for the purposes of an obviousness rejection. MPEP 2144.05; 2145. If a proposed modification would render the prior art invention unsatisfactory for its intended purpose, then there is no suggestion or motivation to make the proposed modification. MPEP 2143.01; *In re Gordon*, 733 F.2d 900, 221 USPQ 1125 (Fed. Cir. 1984). It is respectfully submitted that the Examiner's position is not warranted and the obviousness rejection does not meet the requirements of 35 U.S.C. 103(a).

### B. Claim 18 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art

#### i. There is No Motivation to Combine Morano's Handled Cup for Juveniles and Ware's Nipple for Infants

It is the Examiner's burden to establish prima facie obviousness and the Examiner must provide evidence that would demonstrate "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does." *KSR Int'l Co. v. Telejlex Inc.,* 127 S.Ct. 1727, 1741 (2007). If "…a rejection is based on combining disclosures from multiple references… there must be a motivation to make the combination and a reasonable expectation that such a combination would be successful,



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otherwise a skilled artisan would not arrive at the claimed combination." *In re Stepan Co.*, 868 F.3d 1342, 1347, n. 1 (Fed. Cir. 2017).

The Examiner, however, provides no reason to combine Morano and Ware. In fact, no reason exists because they are each directed to different problems—Morano preventing older children from spilling liquid when they tip over a sippy cup and Ware providing a nipple for infants in an attempt to replicate a natural breast.

Morano is directed to a drinking vessel with adjustable handles that will allow a "juvenile" to hold the drinking vessel with "great flexibility." (Morano, Abstract). It is not a baby bottle. Indeed, the Morano drinking vessel is really for older children who may have difficulty with drops and spills and, therefore, is "appropriate for use by an individual with impaired manual dexterity;" not infants. *See* Morano, col. 2, ll. 47-49.

In referring to Morano FIG. 1 below, a "mouth interface 68 allows the potable liquid to flow therethrough from the container 12 to the mouth of the juvenile. The mouth interface 68 directly contacts the juvenile's mouth." (Morano, col. 3, ll. 50-53).



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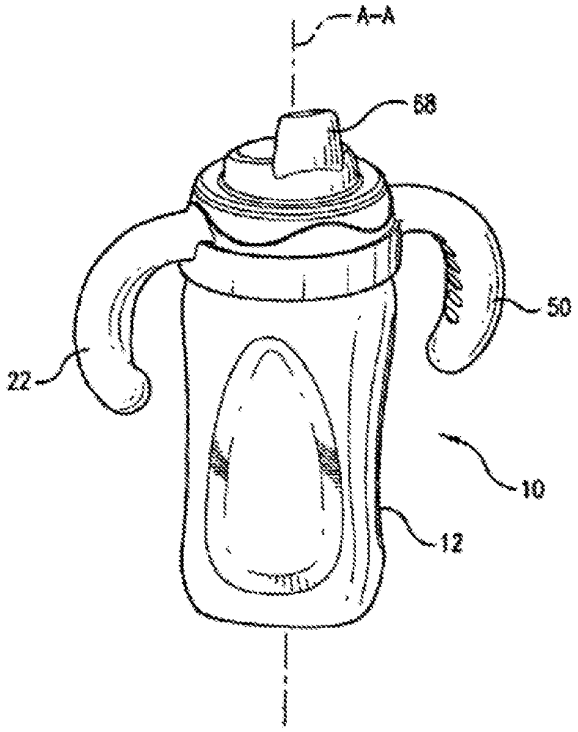



FIG. 1

As shown in FIG. 1, the mouth interface 68 is in the form of a spout and in no way could mimic the natural human breast, nor would it be obvious to a person of ordinary skill in the art since Morano is directed to juveniles and not infants. In fact, Morano **cannot** be used by an infant who is nursing.

The stated purpose of Ware is to provide infants a nipple that "closely approximate[] the form and softness of a mother's breast." (Ware, col. 1, ll. 13-14). Accordingly, Morano and Ware are directed to different problems—older children spilling liquid and infant breastfeeding—and neither one is reasonably pertinent to the other.

Further, Ware's purpose is to provide a drinking apparatus that closely approximates a mother's breast such that it will not collapse or be drawn, *by outside air pressure*, down into the mouth or neck of the bottle. (Ware, col. 1, ll. 9-16). Accordingly, Ware teaches an "air valve" to



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"offer a minimum amount of resistance to the admission of air to the bottle." (*Id.*, col. 2, ll. 70-72).

Morano, however, has nothing to do with *air resistance* and is instead directed to solving a completely different problem, *i.e.*, adding "adjustable handles" for drinking vessels. (Morano, col. 1, ll. 34-35; claim 1-9). In fact, Morano teaches a collar 24 that screws to the vessel whereas Ware does not even teach a collar. Instead, Ware discloses a band 3 on the nipple for gripping the bottle.

Accordingly, the Examiner has failed to demonstrate a reason for combining the different elements from Morano with Ware as required by *In re Stepan Co.*, 868 F.3d 1342 (Fed. Cir. 2017).

ii. Morano Does Not Teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar…" and Ware Does Not Teach a Collar

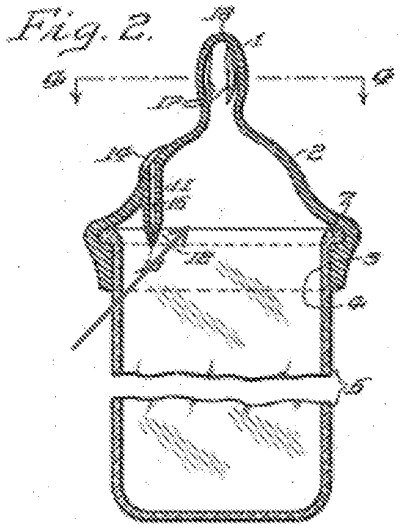
Even if it were proper to combine Morano and Ware, these references do not teach each of the claim limitations. Independent claim 18 requires "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar." The Examiner admits that "Morano fails to show a vent passage extending through the base portion with a length not to extend past the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange." (7/26/19 Final Office Action at p. 3, hereinafter "OA"). As discussed above, Morano does not have a "vent" because it is a drinking cup for older children and not a baby bottle.

The Office Action relies on Ware to teach the missing limitation of "at least one vent passage extending through the domed portion of the base portion with a length not to extend past ***the collar.***" (OA, p. 3) (emphasis added). Ware, however, does not even have a "collar."



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Ware is directed to "rubber nipples" secured directly to the mouth of a nursing bottle without a collar. (Ware, col. 1, ll. 9-11). As shown in Ware's FIG. 2 (annotated below), Ware includes three parts—a nipple portion 1, a breast portion 2, and a band 3. (Ware, col. 3, ll. 15-18). There is no collar to set a limit for the vent passage.




The band 3 is "adapted to tightly clasp around the upper end or mouth or neck 4 of a nursing bottle or receptacle 5 when stretched thereover." (*Id.*, col. 3, ll. 18-22). Accordingly, Ware's "air tube 11" extends not past any collar (shown in red arrow) but directly into the "receptacle 5" and into the milk. Ware further explains that the air tube draws air into the bottle:

> "…an air tube 11 extending preferably a short distance downwardly from the inner surface of the breast portion 2 and when first molded its lower tapering end 12 is closed. This closed end is then slit or cut in any suitable way longitudinally of the tube to provide a valve 13 which will permit air to be drawn therethrough ***into the bottle***…" (Ware, col. 4, ll. 91-99) (emphasis added).

In contrast, Applicant's invention recites "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar." (Claim 18). For example, Applicant's "vent passage 126" is shown in FIG. 4 (reproduced below with blue arrow):



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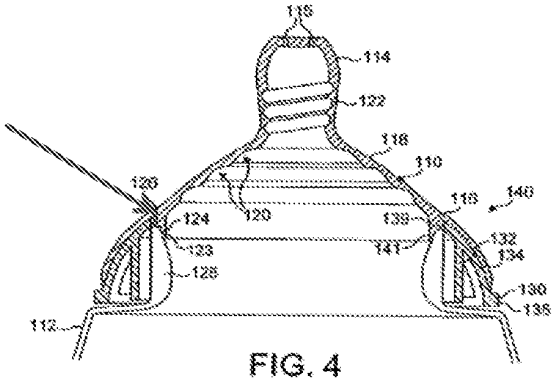



FIG. 4

Applicant explains that "[t]he teat 110 is over-moulded onto the screw collar 130 and the domed portion 134 terminates at a central circular orifice corresponding with an outer face of the neck 128 of the vessel 112." (US Pub. No. 20150083685, [0032]; hereinafter "the '685 application"). "The teat 110 includes a vent passage 126 through the elastomer material and substantially at the periphery." (*Id.*, [0033]).

Unlike Ware, Applicant's claimed "vent passage" has a "length not to extend past the collar." This keeps it out of the milk as can happen in Ware. Accordingly, even if it would be obvious to combine Ware with the Morano, the cited combination still does not teach each of the limitations of claim 18.

iii. Neither Morano Nor Ware Teach "A Teat Portion Having a Variable Wall Thickness Including an Aperture"

Independent claim 18 requires "a teat portion having a variable wall thickness including an aperture." The Examiner admits that neither Morano nor Ware teach this limitation. (OA, p. 4). The Examiner, however relies on a Uehara as its third piece of art in the rejection. But the Examiner fails to identify any motivation to combine Uehara with Ware and Morano. In fact, no reason exists because Uehara and Morano are each directed to different problems—Morano preventing older children from spilling liquid when they tip over a sippy cup and Uehara



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providing a nipple for infants. *See In re Stepan Co.*, 868 F.3d 1342 (Fed. Cir. 2017). For at least this reason, Appellant requests that the rejection based on 35 U.S.C. §103(a) is not supported by the record and should be reversed.

### C. Claim 19 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art

#### i. There is No Motivation to Combine Morano's Handled Cup for Juveniles and Ware's Nipple for Infants

As discussed above, the Examiner has not met her burden to establish prima facie obviousness. *KSR Int'l Co. v. Telejlex Inc.,* 127 S.Ct. 1727, 1741 (2007). Even if Morano and Ware would have taught each of the Applicant's claimed elements, there is no reason to combine them since they are each directed to different problems—Morano preventing older children from spilling liquid and Ware providing a nipple for infants to replicate a natural breast. *See supra* **V.B.i.** on page 7.

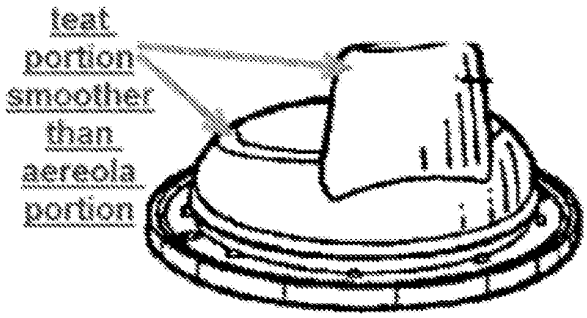
#### ii. The Prior Art Does Not Disclose That "An Outer Surface of the Teat Portion Has a First Texture and an Outer Surface of the Areola Portion Has a Second Texture"

Independent claim 19 includes the limitation "wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture." This limitation is not taught by the prior art.

The Office Action argues that Morano teaches this limitation and references that the figure below shows "the areola texture is bumpy and the teat surface is smooth."



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OA, pp. 5-6. The Office Action theorizes that because there are two lines on the alleged areola portion of mouth interface of Morano, the teat portion is smoother than the areola portion:

> "One can see in the figure that the areola section has an interruption which would make the texture in that section different from the teat surface which does not have any interruptions." OA, p. 8.

But this conclusion is without evidence and Morano also lacks any such disclosure of a "first texture" and a "second texture." Morano does not even mention texture. In fact, since Morano is directed to a drinking cup for older children, there would be no reason to include a texture on the spout 168 that attempted to mimic a natural breast.

In contrast, Applicant explains the specific reason for its two textures: "[t]he teat 110 is textured and shaped to mimic the human breast and can, for example have skin-like texture varying between the teat portion, areola region and base portion, similar to the human breast." (Pg. 5, ll. 24-27). The Applicant's specification further explains that:

> "the teat can be textured on its outer and/or inner surface to enhance operation or realism of the teat as appropriate." (Pg. 6, ll. 15-17).
> ...
> "For example the teat portion can be made progressively longer as the age of the infant who will be using the teat increases and/or the texture can be made less prominent, for example ranging from coarse for new-borns through fine to gloss." (Pg. 10, 3-5).



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Accordingly, Applicant's particularized purpose for having a "first texture" and a "second texture" is not taught or suggested by Morano.

iii. Morano Does Not Teach "A Vent Passage Extending Through the Base Portion, a Valve Portion in Communication with the Vent Passage and Projecting Downwardly from the Flange" and Ware Does Not Have A Collar

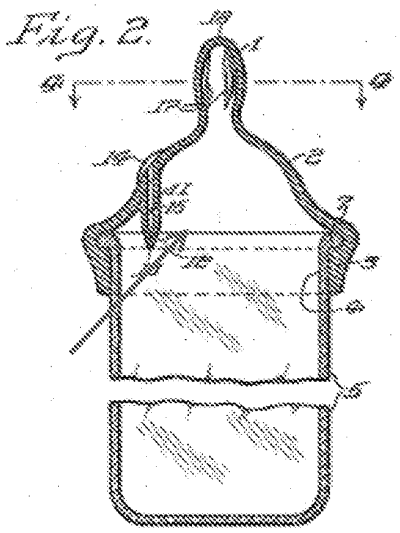
Independent claim 19 requires "at least one vent passage extending through the domed portion of the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange." The Examiner admits that "Morano fails to show a vent passage extending through the base portion, a valve portion in communication with the vent passage and projecting downwardly from the flange." (OA, p. 8). The Office Action also never addresses the requirement of claim 19 for the "at least one vent passage" to be "spaced inwardly from the collar." As discussed above, Morano does not have a "vent" because it is a drinking cup for older children and not a baby bottle.

The Office Action relies on Ware to teach the missing limitation of "to have a vent passage extending through the base portion of the nipple, a valve portion in communication with the vent passage and projecting downwardly from the flange." (OA, p. 8) (emphasis added). Ware, however, does not even have a "collar."

Ware is directed to "rubber nipples" secured directly to the mouth of a nursing bottle without a collar. (Ware, col. 1, ll. 9-11). As shown in Ware's FIG. 2 (annotated below), Ware includes three parts—a nipple portion 1, a breast portion 2, and a band 3. (Ware, col. 3, ll. 15-18). There is no collar to set a limit for the vent passage.



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The band 3 is "adapted to tightly clasp around the upper end or mouth or neck 4 of a nursing bottle or receptacle 5 when stretched thereover." (*Id.*, col. 3, ll. 18-22). Accordingly, Ware's "air tube 11" extends not past any collar (shown in red arrow) but directly into the "receptacle 5" and into the milk. Ware further explains that the air tube draws air into the bottle:

> "…an air tube 11 extending preferably a short distance downwardly from the inner surface of the breast portion 2 and when first molded its lower tapering end 12 is closed. This closed end is then slit or cut in any suitable way longitudinally of the tube to provide a valve 13 which will permit air to be drawn therethrough ***into the bottle***…" (Ware, col. 4, ll. 91-99) (emphasis added).

In contrast, Applicant's invention recites "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar." (Claim 18). For example, Applicant's "vent passage 126" is shown in FIG. 4 (reproduced below with blue arrow):



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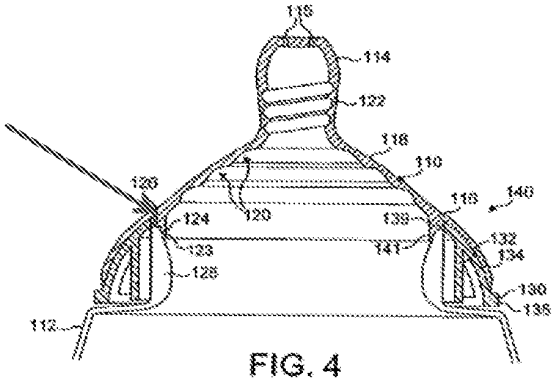



FIG. 4

Applicant explains that "[t]he teat 110 is over-moulded onto the screw collar 130 and the domed portion 134 terminates at a central circular orifice corresponding with an outer face of the neck 128 of the vessel 112." (US Pub. No. 20150083685, [0032]; hereinafter "the '685 application"). "The teat 110 includes a vent passage 126 through the elastomer material and substantially at the periphery." (*Id.*, [0033]).

Unlike Ware, Applicant's claimed "vent passage" has a "length not to extend past the collar." This keeps it out of the milk as can happen in Ware. Accordingly, even if it would be obvious to combine Ware with the Morano, the cited combination still does not teach each of the limitations of claim 19.

iv. Neither Morano Nor Ware Teach "A Teat Portion Having a Variable Wall Thickness Including an Aperture"

Independent claim 19 requires "a teat portion having a variable wall thickness including an aperture." The Examiner admits that neither Morano nor Ware teach this limitation. (OA, p. 8). The Examiner, however, relies on a Uehara as its third piece of art in the rejection. But the Examiner fails to identify any motivation to combine Uehara with Ware and Morano. In fact, no reason exists because Uehara and Morano are each directed to different problems—Morano preventing older children from spilling liquid when they tip over a sippy cup and Uehara



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providing a nipple for infants. *See In re Stepan Co.*, 868 F.3d 1342 (Fed. Cir. 2017). For at least this reason, Appellant requests that the rejection based on 35 U.S.C. §103(a) is not supported by the record and should be reversed. For at least this reason, Appellant requests that the rejection based on 35 U.S.C. §103(a) is not supported by the record and should be reversed.

**D. Claim 2 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 2 depends from independent claim 18 and adds the limitation "wherein the vessel has two indentations."

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 2 is also patentable.

**E. Claim 3 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 3 depends from independent claim 18 and adds the limitation "wherein the flange forms an elastomer sealing ring sealing against the top of the vessel." The Examiner admits that Morano does not disclose this limitation. (OA, pp. 5-6). Instead, the Examiner relies on Uehara stating "[b]ased on the teachings of Uehara, it would have been obvious to one having ordinary skill in the art at the time the invention was made to an (sic) elastomer sealing ring sealing against the top of the vessel." (OA, p. 6). While Uehara teaches "the nipple portion is composed of an elastic material having a hardness of 5 to 25 degrees…" (Uehara, ¶[0072]), it fails to teach the specific claim 3 limitation of an "elastomer sealing ring." Accordingly, the Examiner's rejection of claim 3 was improper.



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The is also no reason to combine Uehara and Morano because each are directed to different problems—Morano preventing older children from spilling liquid when they tip over a sippy cup and Uehara providing a nipple for infants. *See In re Stepan Co.*, 868 F.3d 1342 (Fed. Cir. 2017). For at least this reason, Appellant requests that the rejection based on 35 U.S.C. §103(a) is not supported by the record and should be reversed.

Finally, as discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 3 is also patentable.

**F. Claim 4 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 4 depends from independent claim 18 and adds the limitation "wherein the open top of the vessel comprises a vessel neck."

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 4 is also patentable.

**G. Claim 5 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 5 depends from independent claim 18 and adds the limitation "wherein the open top of the vessel comprises an outwardly threaded vessel neck."

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past



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the collar," dependent claim 5is also patentable.

**H. Claim 6 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 6 depends from independent claim 18 and adds the limitation "wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel."

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 17 is also patentable.

**I. Claim 7 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 7 depends from independent claim 18 and adds the limitation "wherein the collar comprises polypropylene."

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 7 is also patentable.

**J. Claim 8 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art**

Claim 8 depends from independent claim 18 and adds the limitation "wherein the air valve is a one-way air-inlet valve." Morano does not teach this limitation. Instead, the Examiner relies on Ware. (OA, p. 6). There is no motivation to combine Morano and Ware. Ware provides a drinking apparatus that closely approximates a mother's breast such that it will not



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collapse or be drawn, *by outside air pressure*, down into the mouth or neck of the bottle. (Ware, col. 1, ll. 9-16). Accordingly, Ware teaches an "air valve" to "offer a minimum amount of resistance to the admission of air to the bottle." (*Id.*, col. 2, ll. 70-72). Morano, however, has nothing to do with *air resistance* and is instead directed to solving a completely different problem, *i.e.*, adding "adjustable handles" for drinking vessels. (Morano, col. 1, ll. 34-35; claim 1-9). Accordingly, the Examiner's rejection of claim 8 was not proper.

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 8 is also patentable.

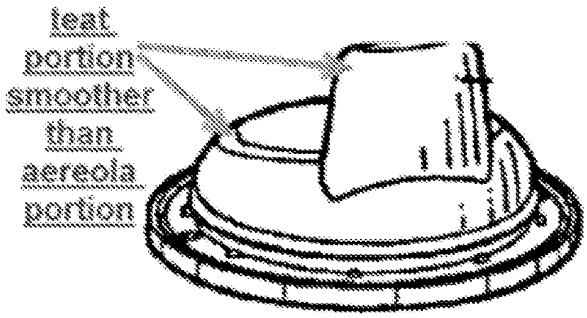
### K. Claim 14 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art

Claim 14 depends from independent claim 18 and adds the limitation "wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture."

Claim 14 includes the limitation "wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture." This limitation is not taught by the prior art. The Office Action argues that Morano teaches this limitation and references that the figure below shows "the areola texture is bumpy and the teat surface is smooth."



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*See* OA, pp. 5-6. The Office Action surmises that because there are two lines on the alleged areola portion of mouth interface of Morano, the teat portion is smoother than the areola portion:

> "One can see in the figure that the areola section has an interruption which would make the texture in that section different from the teat surface which does not have any interruptions." OA, p. 8.

But this conclusion is without evidence and Morano also lacks any such disclosure of a "first texture" and a "second texture." Morano does not even mention texture. In fact, since Morano is directed to a drinking cup for older children, there would be no reason to include a texture on the spout 168 that attempted to mimic a natural breast.

In contrast, Applicant explains the specific reason for its two textures: "[t]he teat 110 is textured and shaped to mimic the human breast and can, for example have skin-like texture varying between the teat portion, areola region and base portion, similar to the human breast." (The '685 application, [0027]). The specification further explains that:

> "the teat can be textured on its outer and/or inner surface to enhance operation or realism of the teat as appropriate." (*Id.*, [0028]).
> ...
> "For example the teat portion can be made progressively longer as the age of the infant who will be using the teat increases and/or the texture can be made less prominent, for example ranging from coarse for new-borns through fine to gloss." (*Id.*, [0040]).



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Accordingly, Applicant's particularized purpose for having a "first texture" and a "second texture" is not taught or suggested by Morano. Thus, the Examiner's rejection of claim 14 was not proper.

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 14 is also patentable.

### L. Claim 15 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art

Claim 15 depends from independent claim 18 and adds the limitation "wherein an inner surface of the areola portion has at least one groove extending circumferentially."

The Examiner admits that Morano does not teach this limitation. (OA, pp. 6-7). The Examiner, however relies on a Uehara as its third piece of art in the rejection. (OA, p. 7). But the Examiner fails to identify any motivation to combine Uehara with Ware and Morano. In fact, no reason exists because Uehara and Morano are each directed to different problems—Morano preventing older children from spilling liquid when they tip over a sippy cup and Uehara providing a nipple for infants. *See In re Stepan Co.*, 868 F.3d 1342 (Fed. Cir. 2017). For at least this reason, Appellant requests that the rejection based on 35 U.S.C. §103(a) is not supported by the record and should be reversed.

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 15 is also patentable.



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### M. Claim 16 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art

Claim 16 depends from independent claim 18 and adds the limitation "wherein the teat walls decrease in thickness from the base portion through to the teat portion."

The Examiner admits that Morano does teach this limitation. (OA, p. 7). The Examiner, however relies on a Uehara as its third piece of art in the rejection. (OA, p. 7). But the Examiner fails to identify any motivation to combine Uehara with Ware and Morano. In fact, no reason exists because Uehara and Morano are each directed to different problems—Morano preventing older children from spilling liquid when they tip over a sippy cup and Uehara providing a nipple for infants. *See In re Stepan Co.*, 868 F.3d 1342 (Fed. Cir. 2017). For at least this reason, Appellant requests that the rejection based on 35 U.S.C. §103(a) is not supported by the record and should be reversed.

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 16 is also patentable.

### N. Claim 17 is Not Rendered Obvious Under 35 U.S.C. §103(a) by the Cited Prior Art

Claim 17 depends from independent claim 18 and adds the limitation "wherein the air valve is located below a top edge of the collar."

Morano does not teach this limitation. Instead, the Examiner relies on Ware. (OA, p. 7). There is no motivation to combine Morano and Ware. Ware provides a drinking apparatus that closely approximates a mother's breast such that it will not collapse or be drawn, *by outside air*



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*pressure*, down into the mouth or neck of the bottle. (Ware, col. 1, ll. 9-16). Accordingly, Ware teaches an "air valve" to "offer a minimum amount of resistance to the admission of air to the bottle." (*Id.*, col. 2, ll. 70-72). Morano, however, has nothing to do with *air resistance* and is instead directed to solving a completely different problem, *i.e.*, adding "adjustable handles" for drinking vessels. (Morano, col. 1, ll. 34-35; claim 1-9). Accordingly, the Examiner's rejection of claim 17 was not proper.

As discussed above, the Examiner's rejection of claim 18 was improper and should be reversed. As claim 18 is patentable because the cited prior art does not teach "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar," dependent claim 17 is also patentable.

## VI. Conclusion

It was incumbent upon the Examiner to have provided sufficient evidence to sustain a *prima facie* case of obviousness. The Examiner has failed to carry the burden of providing a factual basis to support conclusions of obviousness. *See In re Alton*, 76 F.3d 1168, 1175 (Fed. Cir. 1996); *In re Oetiker*, 977 F.2d 1443, 1445 (Fed. Cir. 1992) ("the examiner bears the initial burden on review of the prior art or on any other ground of presenting a *prima facie* case of unpatentability"). In particular, the Examiner provides no motivation for combining Morano's sippy cup for older children and Ware's nipple for infants. Accordingly, without such reason or motivation, "a skilled artisan would not arrive at the claimed combination." *In re Stepan Co.*, 868 F.3d 1342, 1347, n. 1 (Fed. Cir. 2017)

The Examiner's stated positions are not tenable and should be reversed, and allowance of the application is respectfully requested.



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

Respectfully submitted,

Date: February 26, 2019

By: /Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

## CLAIMS APPENDIX

1. (Cancelled).

2. The feeding bottle of claim 18 wherein the vessel has two indentations.

3. The feeding bottle of claim 18 wherein the flange forms an elastomer sealing ring sealing against the top of the vessel.

4. The feeding bottle of claim 18 wherein the open top of the vessel comprises a vessel neck.

5. The feeding bottle of claim 18 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

6. The feeding bottle of claim 18 wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel.

7. The feeding bottle of claim 18 wherein the collar comprises polypropylene.

8. The feeding bottle of claim 18 wherein the air valve is a one-way air-inlet valve.

9. – 13. (Canceled).

14. The feeding bottle of claim 18 wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture.

15. The feeding bottle of claim 18 wherein an inner surface of the areola portion has at least one groove extending circumferentially.

16. The feeding bottle of claim 18 wherein the teat walls decrease in thickness from the base portion through to the teat portion.

17. The feeding bottle of claim 18 wherein the air valve is located below a top edge of



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the collar.

18. A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar and spaced inwardly from the collar,

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion ~~(116)~~ and the flange ~~(141)~~; and,



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e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

19. A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

at least one vent passage extending through the domed portion of the base portion and spaced inwardly from the collar,

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;



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wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.



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## EVIDENCE APPENDIX

No evidence was submitted pursuant to §§ 1.130, 1.131, or 1.132. Copies of the prior art references relied upon by the Examiner are provided herewith, as well as other prior art referenced in the arguments above.



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## RELATED PROCEEDINGS APPENDIX

None.



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**CERTIFICATE OF EFS-WEB TRANSMISSION**

I hereby certify that this paper is being transmitted via the U.S. Patent and Trademark (USPTO) Electronic Filing System (EFS-Web) to the USPTO on February 26, 2019.

/Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)



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# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 35255894 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Brian Raymond Michalek/Jill Jewett |
| **Filer Authorized By:** | Brian Raymond Michalek |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 26-FEB-2019 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 15:27:22 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

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| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Appeal Brief Filed | JM-4-2-AppealBriefv2.pdf | 475784<br>27cf0a139b14b276fe9d86de9fa2837fea85542a | no | 33 |

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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Inventors: | Rees et al. | ) | Confirmation No.: 6509 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Group Art Unit: 3781 |
| | | ) | |
| Filed: | November 24, 2014 | ) | Examiner: Cynthia F. Collado |
| | | ) | |
| For: | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | ) | Attorney Docket No.: JM-4.2 |

**PRE-APPEAL BRIEF REQUEST FOR REVIEW**

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

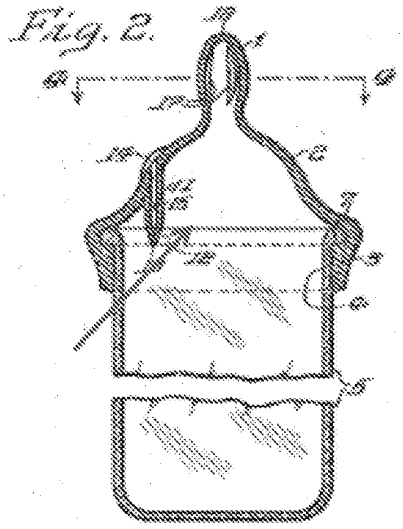
Applicant requests a Pre-Appeal Brief Review of the current final rejections of claims 2-8 and 14-19 as being unpatentable over Morano (U.S. Patent No. 6,959,827) ("Morano") in view of Ware (U.S. Patent No. 1,510,571) ("Ware") and further in view of Uehara (U.S. Publication No. 2003/0089676) ("Uehara"). These rejections are unwarranted for at least the reasons described below.

## I. The Prior Art Does Not Disclose A "Vent Passage… With a Length Not to Extend Past the Collar" (Claims 2-8 and 14-18)

Independent claim 18 recites "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar…." The Examiner admits that Morano does not disclose this limitation. (July 26, 2018 Office Action, p. 3, hereinafter "OA"). Instead, the Examiner relies on Ware. *Id.* But Ware does not even have a collar.

Ware is directed to "rubber nipples" secured directly to the mouth of a nursing bottle without a collar. (Ware, col. 1, ll. 9-11). As shown in Ware's FIG. 2 (annotated below), Ware

includes three parts—a nipple portion 1, a breast portion 2, and a band 3. (Ware, col. 3, ll. 15-18). There is no collar to set a limit for the vent passage.




The band 3 is "adapted to tightly clasp around the upper end or mouth or neck 4 of a nursing bottle or receptacle 5 when stretched thereover." (*Id.*, col. 3, ll. 18-22). Accordingly, Ware's "air tube 11" extends not past any collar (shown in red) but directly into the "receptacle 5" and into the milk. Ware further explains that the air tube draws air into the bottle:

> "…an air tube 11 extending preferably a short distance downwardly from the inner surface of the breast portion 2 and when first molded its lower tapering end 12 is closed. This closed end is then slit or cut in any suitable way longitudinally of the tube to provide a valve 13 which will permit air to be drawn therethrough ***into the bottle***…" (Ware, col. 4, ll. 91-99) (emphasis added).

In contrast, Applicant's invention recites "at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar." (Claim 18). For example, Applicant's "vent passage 126" is shown in FIG. 4 (reproduced below with blue arrow):

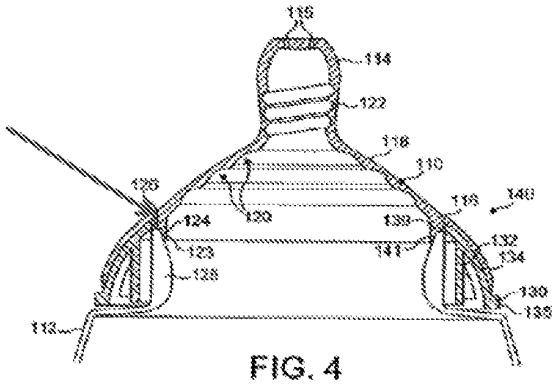



FIG. 4

Applicant explains that "[t]he teat 110 is over-moulded onto the screw collar 130 and the domed portion 134 terminates at a central circular orifice corresponding with an outer face of the neck 128 of the vessel 112." (US Pub. No. 20150083685, [0032]; hereinafter "the '685 application"). "The teat 110 includes a vent passage 126 through the elastomer material and substantially at the periphery." (*Id.*, [0033]).

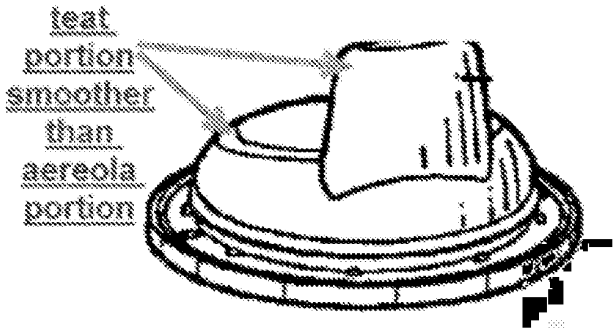
Unlike Ware, Applicant's claimed "vent passage" has a "length not to extend past the collar." This keeps it out of the milk as can happen in Ware. Accordingly, even if it would be obvious to combine Ware with the Morano, the cited combination still does not teach each of the limitations of claim 18.

Dependent claims 2-8 and 14-17 depend from independent base claim 18. For the reasons stated above, these are also non-obvious in view of the cited combination.

**II. The Prior Art Does Not Disclose That "An Outer Surface of the Teat Portion Has a First Texture and an Outer Surface of the Areola Portion Has a Second Texture" (Claims 14 and 19)**

Independent claim 19 and dependent claim 14 include the limitation "wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture." This limitation is not taught by the prior art.

The Office Action argues that Morano teaches this limitation and references that the figure below shows "the areola texture is bumpy and the teat surface is smooth."




*See* July 26, 2018 Office Action, pp. 5-6. The Office Action surmises that because there are two lines on the alleged areola portion of mouth interface of Morano, the teat portion is smoother than the areola portion:

> "One can see in the figure that the areola section has an interruption which would make the texture in that section different from the teat surface which does not have any interruptions." OA, p. 8.

But this conclusion is without evidence and Morano also lacks any such disclosure of a "first texture" and a "second texture." Morano does not even mention texture.

In contrast, Applicant explains the specific reason for its two textures: "[t]he teat 110 is textured and shaped to mimic the human breast and can, for example have skin-like texture varying between the teat portion, areola region and base portion, similar to the human breast." (The '685 application, [0027]). The specification further explains that:

> "the teat can be textured on its outer and/or inner surface to enhance operation or realism of the teat as appropriate." (*Id.*, [0028]).
>
> ...
>
> "For example the teat portion can be made progressively longer as the age of the infant who will be using the teat increases and/or the texture can be made less prominent, for example ranging from coarse for new-borns through fine to gloss." (*Id.*, [0040]).

Accordingly, Applicant's particularized purpose for having a "first texture" and a "second texture" is not taught or suggested by Morano.

**III. The Office Action Combination Improper (Claims 2-8 and 14-19)**

It is the Examiner's burden to establish prima facie obviousness and the Examiner must provide evidence that would demonstrate "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does." *KSR Int'l Co. v. Telejlex Inc.,* 127 S.Ct. 1727, 1741 (2007). Even if Morano and Ware would have taught each of the Applicant's claimed elements, there is no reason to combine them.

Ware's purpose is to provide a drinking apparatus that closely approximates a mother's breast such that it will not collapse or be drawn, *by outside air pressure*, down into the mouth or neck of the bottle. (Ware, col. 1, ll. 9-16). Accordingly, Ware teaches an "air valve" to "offer a minimum amount of resistance to the admission of air to the bottle." (*Id.*, col. 2, ll. 70-72).

Morano, however, has nothing to do with *air resistance* and is instead directed to solving a completely different problem, *i.e.*, adding "adjustable handles" for drinking vessels. (Morano, col. 1, ll. 34-35; claim 1-9). In fact, Morano teaches a collar 24 that screws to the vessel whereas Ware does not even teach a collar. Instead, Ware discloses a band 3 on the nipple for gripping the bottle. Accordingly, the Examiner has failed to demonstrate a reason for combining the different elements from Morano with Ware.

**IV. Conclusion**

For at least the reasons above, Applicant requests Pre-Appeal reconsideration and withdrawl of all the rejections of the claims. Applicant submits that the claims are in condition for allowance. Favorable action is respectfully requested.

Respectfully submitted,

Date: December 26, 2018

By /Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

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Attorneys for Applicants

**CERTIFICATE OF FILING**

I hereby certify that this paper and attachments are being transmitted via the U.S. Patent and Trademark (USPTO) Electronic Filing System (EFS-Web) to the USPTO on December 26, 2018.

/Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

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# PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional): JM-4.2

Application Number: 14/551,783

Filed: November 24, 2014

For: Baby Bottle with Flexible Nipple Regions

Art Unit: 3781

Examiner: Collado, Cynthia Francisca

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application.

The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):

| | | Fee | Small Entity Fee | Micro Entity Fee | |
|---|---|---|---|---|---|
| ☐ | One month (37 CFR 1.17(a)(1)) | $200 | $100 | $50 | $ |
| ☑ | Two months (37 CFR 1.17(a)(2)) | $600 | $300 | $150 | $ 600.00 |
| ☐ | Three months (37 CFR 1.17(a)(3)) | $1,400 | $700 | $350 | $ |
| ☐ | Four months (37 CFR 1.17(a)(4)) | $2,200 | $1,100 | $550 | $ |
| ☐ | Five months (37 CFR 1.17(a)(5)) | $3,000 | $1,500 | $750 | $ |

☐ Applicant asserts small entity status. See 37 CFR 1.27.

☐ Applicant certifies micro entity status. See 37 CFR 1.29.
Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.

☑ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 15-0508.

☑ Payment made via EFS-Web.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

I am the

☐ applicant.

☑ attorney or agent of record. Registration number 30,203.

☐ attorney or agent acting under 37 CFR 1.34. Registration number _____.

/Arne M. Olson/ — Signature

December 26, 2018 — Date

Arne M. Olson — Typed or printed name

312-580-1180 — Telephone Number

**NOTE:** This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.

☑ * Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
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# Electronic Patent Application Fee Transmittal

| | |
|---|---|
| **Application Number:** | 14551783 |
| **Filing Date:** | 24-Nov-2014 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Filer:** | Brian Raymond Michalek/Krista Boehm |
| **Attorney Docket Number:** | JM-4.2 |

Filed as Large Entity

**Filing Fees for Utility under 35 USC 111(a)**

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Basic Filing:** | | | | |
| **Pages:** | | | | |
| **Claims:** | | | | |
| **Miscellaneous-Filing:** | | | | |
| **Petition:** | | | | |
| **Patent-Appeals-and-Interference:** | | | | |
| NOTICE OF APPEAL | 1401 | 1 | 800 | 800 |
| **Post-Allowance-and-Post-Issuance:** | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
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| **Extension-of-Time:** | | | | |
| Extension - 2 months with $0 paid | 1252 | 1 | 600 | 600 |
| **Miscellaneous:** | | | | |
| | | **Total in USD ($)** | | **1400** |

# Electronic Acknowledgement Receipt

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|---|---|
| **EFS ID:** | 34690897 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Brian Raymond Michalek/Krista Boehm |
| **Filer Authorized By:** | Brian Raymond Michalek |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 26-DEC-2018 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 12:45:22 |
| **Application Type:** | Utility under 35 USC 111(a) |

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| Submitted with Payment | yes |
| Payment Type | DA |
| Payment was successfully received in RAM | $1400 |
| RAM confirmation Number | 122618INTEFSW00022172150508 |
| Deposit Account | |
| Authorized User | |
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## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Notice of Appeal Filed | JM-4-2-NoticeOfAppeal.pdf | 211565<br>73e2f6d5352135401690571569 52fe4344876fa6 | no | 1 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 2 | Pre-Brief Conference request | JM-4-2-PreAppeal.pdf | 490410<br>bd677cc464e6440b2109410a22b96eb6058e0d39 | no | 7 |
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| **Information:** | | | | | |
| 3 | Extension of Time | JM-4-2-TwoMoExtofTime.pdf | 163615<br>60d5f589fee526fb290bf028b9323f7a8695f9ac | no | 2 |
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| **Information:** | | | | | |
| 4 | Fee Worksheet (SB06) | fee-info.pdf | 32252<br>318b5ff979f06f33951d8167d208266c26f43091 | no | 2 |
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| **Information:** | | | | | |
| | | **Total Files Size (in bytes):** | 897842 | | |

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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

PTO/AIA/31 (03-14)
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# NOTICE OF APPEAL FROM THE EXAMINER TO THE PATENT TRIAL AND APPEAL BOARD

Docket Number (Optional): JM-4.2

I hereby certify that this correspondence is being facsimile transmitted to the USPTO, EFS-Web transmitted to the USPTO, or deposited with the United States Postal Service with sufficient postage in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, on Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on December 26, 2018.

Signature: /Arne M. Olson/

Typed or printed name: Arne M. Olson

| In re Application of: Arnold Rees, et al. | |
|---|---|
| Application Number: 14/551,783 | Filed: November 24, 2014 |
| For: Baby Bottle With Flexible Nipple Regions | |
| Art Unit: 3781 | Examiner: Collado, Cynthia Francisca |

Applicant hereby **appeals** to the Patent Trial and Appeal Board from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 41.20(b)(1)) $ 800.00

☐ Applicant asserts small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by 50%, and the resulting fee is: $ _____

☐ Applicant certifies micro entity status. See 37 CFR 1.29. Therefore, the fee shown above is reduced by 75%, and the resulting fee is: $ _____
Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☑ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 15-0508.

☑ Payment made via EFS-Web.

☐ A petition for an extension of time under 37 CFR 1.136(a) (PTO/AIA/22 or equivalent) is enclosed.
For extensions of time in reexamination proceedings, see 37 CFR 1.550.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

I am the

☐ applicant

☑ attorney or agent of record
Registration number 30203

☐ attorney or agent acting under 37 CFR 1.34
Registration number _____

Signature: /Arne M. Olson/

Typed or printed name: Arne M. Olson

Telephone Number: (312) 580-1180

Date: December 26, 2018

**NOTE:** This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.

☑ * Total of 1 forms are submitted.

This collection of information is required by 37 CFR 41.20(b)(1) and 41.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 | 6509 |

2387 7590 07/26/2018

Olson & Cepuritis, LTD.
20 NORTH WACKER DRIVE
36TH FLOOR
CHICAGO, IL 60606

| EXAMINER | |
|---|---|
| COLLADO, CYNTHIA FRANCISCA | |

| ART UNIT | PAPER NUMBER |
|---|---|
| 3781 | |

| NOTIFICATION DATE | DELIVERY MODE |
|---|---|
| 07/26/2018 | ELECTRONIC |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@olsonip.com
firm@olsonip.com



Nanobebe US Inc., Ex. 1002, Page 273
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Office Action Summary | Application No. 14/551,783 | Applicant(s) REES ET AL. | |
|---|---|---|---|
| | Examiner CYNTHIA COLLADO | Art Unit 3781 | AIA (First Inventor to File) Status No |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --*

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE *3* MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1)☒ Responsive to communication(s) filed on *3/20/2018*.

☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2a)☒ This action is **FINAL**. 2b)☐ This action is non-final.

3)☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

4)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims***

5)☒ Claim(s) *2-8 and 14-19* is/are pending in the application.

5a) Of the above claim(s) _____ is/are withdrawn from consideration.

6)☐ Claim(s) _____ is/are allowed.

7)☒ Claim(s) *2-8 and 14-19* is/are rejected.

8)☐ Claim(s) _____ is/are objected to.

9)☐ Claim(s) _____ are subject to restriction and/or election requirement.

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

**Application Papers**

10)☐ The specification is objected to by the Examiner.

11)☒ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

a)☐ All b)☐ Some** c)☐ None of the:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1) ☒ Notice of References Cited (PTO-892)

2) ☐ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date _____.

3) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ .

4) ☐ Other: _____.



Nanobebe US Inc., Ex. 1002, Page 274
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

The present application is being examined under the pre-AIA first to invent provisions.

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 2-8, 14-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Morano (US Patent No.6, 959, 827) in view of Ware (US 1,510,571)and further in view of Uehara (US 2003/0089676).

**[Claim 18]** Morano discloses a nipple (see fig below) having a teat and an areola portion (see teat above areola in fig below), a base portion having a wall thickness in a

domed configuration, a flange having a downwardly depending cylindrical portion and connected to the base portion(see fig below),

the vessel having: an open top with a first diameter(see fig below), a body with a second diameter(see fig below), the body being connected with the open top(see fig below), and the first diameter of the open top being less than the second diameter of the body(see fig below); the collar having: an internally threaded cylindrical portion, a downwardly domed peripheral portion surrounding and extending from the cylindrical portion(see fig below), and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel and the flange being arranged to seal with a vessel(see fig below),the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange (see fig below).

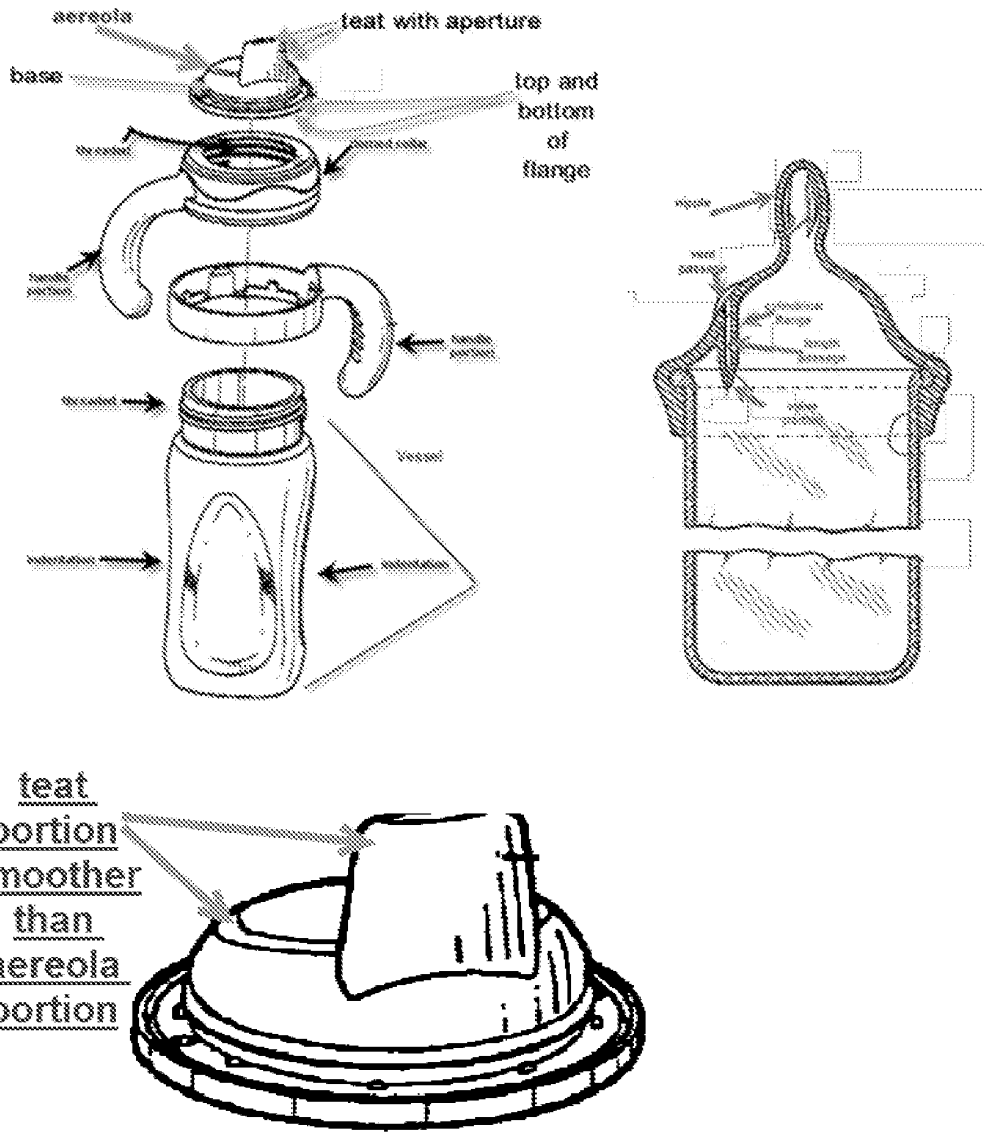
Morano fails to show a vent passage extending through the base portion with a length not to extend past the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange.

Ware discloses a vent passage extending through the base portion with a length not to extend past the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange (see fig below) to permit for air flow in only one direction. Based on the teaching of Ware, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have a vent passage extending through the base portion with a length not to extend past the collar, a valve

portion in communication with the vent passage and projecting downwardly from the flange (see fig below) to permit for air flow in only one direction.

The combination above fails to disclose the teat portion having a variable wall thickness. The reference to Uehara discloses a teat portion having a variable wall thickness (see fig below) including an aperture (see fluid opening),

Based on the teaching of Uehara it would have been obvious to one having ordinary skill in the art at the time the invention was made to a teat portion having a variable wall thickness (see fig below) including an aperture(see fluid opening)to allow the nipple to behave in the same manner as the nipple of the mother.




**[Claim 2]** Morano as modified discloses wherein the vessel has two indentations (see **Morano** in the fig above).

**[Claim 3]** Morano as modified discloses the claimed invention of claim 18 except for the flange forming an elastomer sealing ring sealing against the top of the vessel (see

**Uehara** in fig above also [0072]). One advantage would be to prevent leakage. Based on the teaching of Uehara, it would have been obvious to one having ordinary skill in the art at the time the invention was made to an elastomer sealing ring sealing against the top of the vessel (see **Uehara** in fig above also [0072] where the nipple including the edge is made of rubber). One advantage would be to prevent leakage of the contents within the vessel.

**[Claim 4]** Morano as modified discloses wherein the open top of the vessel comprises a vessel neck (see **Morano**, in the fig above).

**[Claim 5]** Morano as modified discloses wherein the open top of the vessel comprises an outwardly threaded vessel neck (see **Morano**, in the fig above).

**[Claim 6]** Morano as modified discloses wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel (see **Morano**, in the fig above).

**[Claim 7]** Morano as modified discloses wherein the collar comprises polypropylene (see **Morano**, col 3, lines 27-30).

**[Claim 8]** Morano as modified discloses wherein the air valve is a one-way air-inlet valve (**Ware** see col 2, lines 1-2).

**[Claim 14]** Morano as modified discloses a teat having a texture having an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture (see fig above where the areola texture is bumpy and the teat surface is smooth).

**[Claim 15]** Morano as modified discloses wherein an inner surface of the areola portion

has at least one groove extending circumferentially (see **Uehara** grooves in fig above). One advantage would be to allow the nipple to behave in the same manner as the nipple of the mother.

**[Claim 16]** Morano as modified discloses wherein the teat walls decrease in thickness from the base portion through to the teat portion (see **Uehara** fig above). One advantage would be to allow the nipple to behave in the same manner as the nipple of the mother.

**[Claim 17]** Morano as modified discloses wherein the air valve is located below a top edge of the collar (see Ware in the fig above) to permit for air flow in only one direction (see col 2, lines 1-2).

**[Claim 19]** Morano discloses a nipple (see fig below) having a teat and an areola portion (see teat above areola in fig below), a base portion having a wall thickness in a domed configuration, a flange having a downwardly depending cylindrical portion and connected to the base portion(see fig below),
the vessel having: an open top with a first diameter(see fig below), a body with a second diameter(see fig below), the body being connected with the open top(see fig below), and the first diameter of the open top being less than the second diameter of the body(see fig below); the collar having: an internally threaded cylindrical portion, a downwardly domed peripheral portion surrounding and extending from the cylindrical portion(see fig below), and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel and the flange

being arranged to seal with a vessel(see fig below),the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange (see fig below) wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture(One can see in the figure that the areola section has an interruption which would make the texture in that section different from the teat surface which does not have any interruptions (see fig above where the areola texture is bumpy and the teat surface is smooth).

.

Morano fails to show a vent passage extending through the base portion, a valve portion in communication with the vent passage and projecting downwardly from the flange.

Ware discloses a vent passage extending through the base portion of the nipple, a valve portion in communication with the vent passage and projecting downwardly from the flange (see fig below) to permit for air flow in only one direction (see col 2, lines 1-2). Based on the teaching of Ware, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have a vent passage extending through the base portion of the nipple, a valve portion in communication with the vent passage and projecting downwardly from the flange (see fig below) to permit for air flow in only one direction (see col 2, lines 1-2).

The combination above fails to disclose the teat portion having a variable wall thickness. The reference to Uehara discloses a teat portion having a variable wall thickness (see fig below) including an aperture (see fluid opening),

Based on the teaching of Uehara it would have been obvious to one having ordinary skill in the art at the time the invention was made to a teat portion having a variable wall thickness (see fig below) including an aperture(see fluid opening)to allow the nipple to behave in the same manner as the nipple of the mother.

**Response to Arguments**

Applicant's arguments with respect to claim 18 have been considered but are moot because the arguments do not apply to any of the references being used in the current rejection.

Regarding claim 14, applicant argues that Morano does not disclose a teat having a texture having an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture. The examiner respectfully disagrees. Morano areola texture is bumpy and the teat surface is smooth. One can see in the figure that the areola section has an interruption which would make the texture in that section different from the teat surface which does not have any interruptions (see fig above where the areola texture is bumpy and the teat surface is smooth).furthermore

In response to applicant's argument that the references must explicitly provide a suggestion for combining, a conclusion of obviousness may be made from common knowledge and common sense of the person of ordinary skill in the art without any specific hint or suggestion in a particular reference (see In re Bozek, 416 F.2d 1385,1390, 163 USPQ 545, 549 (CCPA 1969)), with skill being presumed on the part of

the artisan, rather than the lack thereof (see In re Sovish 769 F.2d 738, 742, 226 USPQ 771,774 (Fed. Cir. 1985)); further, references may be combined although none of them explicitly suggests combining one with the other (see In re Nilssen 7 USPQ2d 1500 (Fed. Cir. 1989)). It has long been the law that the motivation to combine need not be found in prior art references, but equally can be found "in the knowledge generally available to one of ordinary skill in the art." In re Jones, 958 F.2d 347, 351 (Fed. Cir.1992) (citing In re Fine, 837 F.2d 1071, 1074 (Fed. Cir. 1988)).

The motivation to combine can be found either in a prior art reference, or it can be implicit in the knowledge of one of ordinary skill in the art. See In re Huston, 308 F.3d 1267, 1280 (Fed. Cir. 2002); Motorola, Inc. v. interdigitai Tech. Corp., 121 F.3d 1461, 1472 (Fed. Cir. 1997). Sources suggesting a combination may be: (1) the combined teachings of the prior art, (2) the knowledge of the ordinary practitioner and (3) the nature of the problem to be solved. "The test for implicit showing is what the combined teachings, knowledge of one of ordinary skill in the art, and the nature of the problem to be solved as a whole would have suggested to those of ordinary skill in the art." In re Kotzab, 217 F.3d 1365, 1370, 55 USPQ2d 1313, 1317 (Fed.Cir. 2000). In Richard Ruiz and Foundation Anchoring Systems, Inc. v. A.B. Chance Company, No. 03-1333 (Fed. Cir. January 29, 2004), the court emphasized that an "express written teaching in the art" to combine references was not required [emphasis added]. Rather, motivation may come from "the nature of a problem to be solved, leading inventors to look to references relating to possible solutions to that problem." Please further note the following from Section 2144 of the MPEP: "The rationale to modify or combine the prior

art does not have to be expressly stated in the prior art or it may be reasoned from knowledge generally available to one of ordinary skill in the art, established scientific principles, or legal precedent...The reason or motivation to modify the reference may often suggest what the inventor has done, but for a different purpose or to solve a different problem... It is not necessary that the prior art suggest the combination to achieve the same advantage or result discovered by Applicant." Also Chief Judge Nies writes in a concurring opinion, "While there must be some teaching, reason, suggestion, or motivation to combine existing elements to produce the claimed device, it is not necessary that the cited references or the prior art specifically suggest making the combination... In sum, it is off the mark for litigants to argue, as many do, that an invention cannot be held to have been obvious unless a suggestion to combine prior art teachings is found in a specific reference". See In re Oetiker 977 F.2d 1443, 24 USPQ.2d 1443 (Fed.Cir. 1992). Please note that the motivations for making the combinations are specifically set forth in the rejections.

***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP

§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CYNTHIA COLLADO whose telephone number is (571)272-8315. The examiner can normally be reached on mon-fri 8-5.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fenn Mathew can be reached on (571)2724978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

CFC
/CYNTHIA COLLADO/
Examiner, Art Unit 3781

/Fenn C Mathew/
Supervisory Patent Examiner, Art Unit 3781

| Notice of References Cited | Application/Control No. 14/551,783 | Applicant(s)/Patent Under Reexamination REES ET AL. | |
|---|---|---|---|
| | Examiner CYNTHIA COLLADO | Art Unit 3781 | Page 1 of 1 |

**U.S. PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | CPC Classification | US Classification |
|---|---|---|---|---|---|---|
| * | A | US-1,510,571 A | 10-1924 | WARE WALTER F | A61J11/02 | 215/11.5 |
| | B | US- | | | | |
| | C | US- | | | | |
| | D | US- | | | | |
| | E | US- | | | | |
| | F | US- | | | | |
| | G | US- | | | | |
| | H | US- | | | | |
| | I | US- | | | | |
| | J | US- | | | | |
| | K | US- | | | | |
| | L | US- | | | | |
| | M | US- | | | | |

**FOREIGN PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | CPC Classification |
|---|---|---|---|---|---|---|
| | N | | | | | |
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| | P | | | | | |
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| | R | | | | | |
| | S | | | | | |
| | T | | | | | |

**NON-PATENT DOCUMENTS**

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | |
| | V | |
| | W | |
| | X | |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



Nanobebe US Inc., Ex. 1002, Page 287
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Index of Claims | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14551783 | REES ET AL. |
| | **Examiner** | **Art Unit** |
| | CYNTHIA COLLADO | 3781 |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| ✓ | Rejected | - | Cancelled | N | Non-Elected | A | Appeal |
| = | Allowed | ÷ | Restricted | I | Interference | O | Objected |

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

| CLAIM | | DATE | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Final | Original | 05/03/2015 | 02/03/2016 | 12/27/2016 | 07/17/2017 | 12/22/2017 | 07/09/2018 | | | |
| | 1 | ✓ | ✓ | - | - | - | - | | | |
| | 2 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | | |
| | 3 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | | |
| | 4 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | | |
| | 5 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | | |
| | 6 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | | |
| | 7 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | | |
| | 8 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | | |
| | 9 | ✓ | ✓ | - | - | - | - | | | |
| | 10 | ✓ | ✓ | - | - | - | - | | | |
| | 11 | ✓ | ✓ | - | - | - | - | | | |
| | 12 | ✓ | ✓ | - | - | - | - | | | |
| | 13 | ✓ | ✓ | - | - | - | - | | | |
| | 14 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | | |
| | 15 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | | |
| | 16 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | | |
| | 17 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | | |
| | 18 | | | ✓ | ✓ | ✓ | ✓ | | | |
| | 19 | | | | | | ✓ | | | |



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Search Notes | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14551783 | REES ET AL. |
| | Examiner | Art Unit |
| | CYNTHIA COLLADO | 3781 |

| CPC- SEARCHED | | |
|---|---|---|
| Symbol | Date | Examiner |
| A 61J 9/04 A 61J 11/02 A 61J 11/045, A 61J 11/04, A 61J 9/00 | 4/28/2015 | CFC |

| CPC COMBINATION SETS - SEARCHED | | |
|---|---|---|
| Symbol | Date | Examiner |
| | | |

| US CLASSIFICATION SEARCHED | | | |
|---|---|---|---|
| Class | Subclass | Date | Examiner |
| 215 | 11.5,11.1,396,398,11.6,276,11.4 | 4/28/2015 | CFC |

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

| SEARCH NOTES | | |
|---|---|---|
| Search Notes | Date | Examiner |
| Discussed application with SPE Fenn Mathew | 4/28/2015 | CFC |
| Discussed application with SPE Fenn Mathew | 2/1/2016 | CFC |
| Discussed application with SPE Fenn Mathew | 12/20/2016 | CFC |
| Inventor name search performed | 7/10/217 | CFC |
| Discussed application with SPE Fenn Mathew | 7/10/2017 | CFC |
| EAST search updated and attached | 7/10/2017 | CFC |
| EAST search updated and attached | 12/10/2017 | CFC |
| Discussed application with SPE Fenn MAthew | 7/1/2018 | CFC |

| INTERFERENCE SEARCH | | | |
|---|---|---|---|
| US Class/ CPC Symbol | US Subclass / CPC Group | Date | Examiner |
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**EAST Search History**

**EAST Search History (Prior Art)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
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| S1 | 2496 | (215/11.1,11.5).CCLS. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 16:40 |
| S2 | 13 | "3097757" | USPAT | OR | OFF | 2014/08/04 16:40 |
| S3 | 2808 | (220/714,502,505,524,251,717,703).CCLS. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 17:10 |
| S4 | 2 | ("20080210655").PN. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 17:14 |
| S5 | 5 | ("2008/0210655").URPN. | USPAT | OR | OFF | 2014/08/04 17:15 |
| S7 | 12 | "6959827" | USPAT | OR | OFF | 2015/04/26 14:01 |
| S8 | 0 | "20030089676" | USPAT | OR | OFF | 2015/04/26 14:03 |
| S9 | 5 | "20030089676" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/26 14:03 |
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| S11 | 13 | "6959827" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 17:12 |



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| S12 | 3 | "13364557" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:00 |
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| S14 | 3 | "20130200031" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:02 |
| S15 | 0 | ("2013/0200031").URPN. | USPAT | OR | OFF | 2015/04/30 22:02 |
| S16 | 1237 | A61J9/04.CPC. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/05/03 20:22 |
| S17 | 10 | ("2003/0089676").URPN. | USPAT | OR | OFF | 2017/07/12 18:43 |
| S18 | 150 | ("0589212" \| "0605161" \| "0633343" \| "1146639" \| "1280942" \| "1545436" \| "1588846" \| "1590152" \| "1632854" \| "1656157" \| "1672466" \| "1672734" \| "1859733" \| "1913627" \| "1989060" \| "20030032984" \| "20030089676" \| "20030093120" \| "20030093121" \| "20040026351" \| "20040045922" \| "20040124168" \| "20040245203" \| "20050258123" \| "2005437" \| "20060011571" \| "2060212" \| "2321236" \| "2366214" \| "2638094" \| "2655920" \| "2736446" \| "2825479" \| "2889829" \| "3022915" \| "3070249" \| "3082770" \| "3126116" \| "3139064" \| "3190288" \| "3193125" \| "3424157" \| "3530979" \| "3593870" \| "3645413" \| "3650270" \| "3661288" \| "3777753" \| "3779413" \| "3787993" \| "3790016" \| "3790017" \| "3858741" \| "3946888" \| "4006836" \| "4195638" \| "4238040" \| "4311245" \| "4505398" \| "4586621" \| "4619271" \| "4623069" \| "4676386" \| "4688571" \| "4700856" \| "4759139" \| "4815615" \| "4832214" \| "4834099" \| "4941573" \| "4993568" \| "5004473" \| "5013321" \| "5035340" \| "5069351" \| "5072842" \| "5101991" \| "5114374" \| "5117994" \| "5207338" \| "5322031" \| "5474028" \| "5499729" \| "5535899" \| "5542922" \| | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/07/12 18:45 |



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| | | "5544766" \| "5553726" \| "5598809" \| "5673806" \| "5688238" \| "5690679" \| "6003698" \| "6032810" \| "6161710" \| "6241110" \| "6253935" \| "6270519" \| "6343704" \| "6588613" \| "6675981" \| "6736830" \| "6745912" \| "6818162" \| "6871751" \| "6968964" \| "D273515" \| "D330938" \| "D371848" \| "D417735").PN. OR ("7712617").URPN. | | | | |
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| S22 | 3 | "20040124168" | US-PGPUB; | OR | OFF | 2017/07/12 |



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| | | | USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | | | 18:48 |
| S23 | 36 | "4591606" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/12 20:48 |
| S24 | 49 | domed with nipple | USPAT | OR | OFF | 2017/07/17 16:16 |
| S25 | 151 | domed with nipple | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:16 |
| S26 | 62 | S25 and valve | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:17 |
| S27 | 49 | domed with teat | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:21 |
| S28 | 15 | S27 and valve | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:21 |
| S29 | 15 | S27 and valve | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:21 |
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| S31 | 2339 | nipple with valve same vent | US-PGPUB; USPAT; USOCR; FPRS; | OR | OFF | 2017/07/17 16:43 |



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| | | | EPO; JPO; DERWENT; IBM_TDB | | | |
| S32 | 88 | nipple with valve same vent same dome$6 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:43 |
| S33 | 18 | (("COTTON") near3 ("Tom")).INV. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/07/17 18:22 |
| S34 | 146 | (("ARMSTRONG") near3 ("Mark")).INV. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/07/17 18:28 |
| S35 | 4 | "14551783" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/15 21:02 |
| S36 | 4 | "14551783" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/15 21:02 |
| S37 | 2 | "8991627" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/15 21:31 |
| S38 | 31 | ("1319297" \| "1401958" \| "1683246" \| "20040035815" \| "2133411" \| "2186879" \| "2372281" \| "2495548" \| "2584359" \| "2665826" \| "2901152" \| "3220588" \| "3661288" \| "3924620" \| "4204328" \| "5478325" \| "5578004" \| "6126679" \| "6176380" \| "6286697").PN. OR ("8991627").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/15 21:32 |
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| S40 | 70 | ("0556859" \| "1146639" \| "1573519" \| "1683246" \| "1733184" \| "1759568" \| "2105170" \| "2174361" \| "2269223" \| "2366214" \| "2388915" \| "2588069" \| "2616581" \| "2699169" \| "2709434" \| "2864520" \| "2989961" \| "2996207" \| "3077279" \| "3130725" \| "3232466" \| "3777753").PN. OR ("4505398").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/15 21:35 |
| S41 | 26 | ("0605161" \| "1146639" \| "1573519" \| "20040220618" \| "20060011572" \| "20070068890" \| "20070272645" \| "20080210655" \| "20120074090" \| "2517457" \| "2588069" \| "2956702" \| | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/15 21:36 |



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| S42 | 2 | ("1573519").URPN. | USPAT | OR | OFF | 2017/12/15 21:39 |
| S43 | 18 | ("1146639").URPN. | USPAT | OR | OFF | 2017/12/15 21:42 |
| S44 | 23 | WO2004002276A1 JP6010604B2 GB2541883A JP5612569B2 WO2017037425A1 JP5950972B2 KR20140009425A JP5346280B2 JP2015013193A EP2253300B1 JP5629082B2 JP5629083B2 KR20090010114A US8579131 KR20150131408A KR20110020247A KR101644420B1 US8087523 US8991627 EP1558197B1 US20170079889 US20040124168 US20100140204 KR101630187B1 CA2629328C AU2013243228A1 AU2009200949A1 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/15 21:48 |
| S45 | 25 | WO2004002276A1 JP6010604B2 GB2541883A JP5612569B2 WO2017037425A1 JP5950972B2 KR20140009425A JP5346280B2 JP2015013193A EP2253300B1 JP5629082B2 JP5629083B2 KR20090010114A US8579131 KR20150131408A KR20110020247A KR101644420B1 US8087523 US8991627 EP1558197B1 US20170079889 US20040124168 US20100140204 KR101630187B1 CA2629328C AU2013243228A1 AU2009200949A1 AU2006312999B2 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/15 21:53 |
| S46 | 18 | ("1146639").URPN. | USPAT | OR | OFF | 2017/12/21 18:38 |
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| S48 | 23 | ("1146639" \| "20040188373" \| "20050252875" \| "20070102388" \| "20070131637" \| "20090200257" \| "20100163508" \| "20120175335" \| "20120265245" \| "20140124469" \| "2174361" \| "2616581" \| "3593870" \| "3722728" \| "4505398" \| "4993568" \| "5101992" \| "6883672" \| "8640897" \| "8708174").PN. OR ("9750664").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/21 18:41 |
| S49 | 8 | ("2014/0124469").URPN. | USPAT | OR | OFF | 2017/12/21 18:43 |
| S50 | 16 | ("20070102388" \| "20110155684" \| "20120265245" \| "20130220962" \| "20130327737" \| "20140124469" \| "2736446" \| "3292809" \| "5101991" \| "5284261" \| "5784999" \| "6994225" \| | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/21 18:43 |



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|---|---|---|---|---|---|---|
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| S51 | 4 | ("2012/0265245").URPN. | USPAT | OR | OFF | 2017/12/21 18:45 |
| S52 | 20 | ("2007/0102388").URPN. | USPAT | OR | OFF | 2017/12/21 18:45 |
| S53 | 59 | ("0697304" \| "0732630" \| "0805641" \| "1002426" \| "1146639" \| "1297207" \| "1510571" \| "1902433" \| "20070102388" \| "20070131637" \| "2163330" \| "2513896" \| "2616581" \| "2630932" \| "2688326" \| "2699778" \| "3113569" \| "3211316" \| "3292809" \| "3593870" \| "4993568" \| "5101991" \| "5129532" \| "5474028" \| "5544766" \| "5769285" \| "5784999" \| "5797505" \| "6003698" \| "6616000" \| "6645228" \| "6884229" \| "6994225" \| "7004339" \| "7036975" \| "7122045" \| "7185775" \| "7326234" \| "RE11086").PN. OR ("8117990").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/21 18:47 |
| S54 | 12 | ("2007/0131637").URPN. | USPAT | OR | OFF | 2017/12/21 18:47 |
| S55 | 6 | ("805641").URPN. | USPAT | OR | OFF | 2017/12/21 19:09 |
| S56 | 10 | ("1510571").URPN. | USPAT | OR | OFF | 2017/12/22 06:52 |
| S57 | 36 | ("0380835" \| "1510571" \| "2093730" \| "2365585" \| "2744646" \| "2906088" \| "4993568").PN. OR ("5678710").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/22 06:54 |
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| S61 | 0 | A61J11/06.CPC. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/22 09:38 |
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PTO/SB/08a (02-18)
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**( Not for submission under 37 CFR 1.99)**

| | |
|---|---|
| Application Number | 14551783 |
| Filing Date | 2014-11-24 |
| First Named Inventor | Arnold Rees, et al. |
| Art Unit | 3781 |
| Examiner Name | Collado, Cynthia Francisca |
| Attorney Docket Number | JM-4.2 |

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| | 1 | 2588069 | | 1952-03-04 | A.M. Allen | |

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|---|---|---|---|



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99) | | |
|---|---|---|
| | Application Number | 14551783 |
| | Filing Date | 2014-11-24 |
| | First Named Inventor | Arnold Rees, et al. |
| | Art Unit | 3781 |
| | Examiner Name | Collado, Cynthia Francisca |
| | Attorney Docket Number | JM-4.2 |

| | | | |
|---|---|---|---|
| | 1 | IP India Examination Report for 5762/DELNP/2011 which is a foreign counterpart application for U.S. Application 14/551783; dated February 3, 2018; 4 pages. | |

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**( Not for submission under 37 CFR 1.99)**

| | |
|---|---|
| Application Number | 14551783 |
| Filing Date | 2014-11-24 |
| First Named Inventor | Arnold Rees, et al. |
| Art Unit | 3781 |
| Examiner Name | Collado, Cynthia Francisca |
| Attorney Docket Number | JM-4.2 |

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| | | | |
|---|---|---|---|
| Signature | /Arne M. Olson/ | Date (YYYY-MM-DD) | 2018-04-20 |
| Name/Print | Arne M. Olson | Registration Number | 30,203 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**



Nanobebe US Inc., Ex. 1002, Page 300
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.

2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).

5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



Nanobebe US Inc., Ex. 1002, Page 301
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 32397734 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Brian Raymond Michalek/Jill Jewett |
| **Filer Authorized By:** | Brian Raymond Michalek |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 20-APR-2018 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 13:33:28 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Transmittal Letter | JM-4-2-IDSTrans.pdf | 86460<br>a833e9ab636cd17c9e172d00214be018423 34f0f | no | 2 |

**Warnings:**

| | | | | | |
|---|---|---|---|---|---|
| **Information:** | | | | | |
| 2 | Information Disclosure Statement (IDS) Form (SB08) | JM-4-2-IDS2.pdf | 1034422<br>7caf696f725c54bd64f1232de5143178fbdebf20 | no | 4 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 3 | Non Patent Literature | FirstExamreport-IN.pdf | 297768<br>13fc5c8bb26af8162d9248133f33fbc44b5cea62 | no | 4 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| | | | **Total Files Size (in bytes):** | 1418650 | |

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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | |
|---|---|---|
| Inventor: Arnold Rees, et al. | ) | Conf. No. 6509 |
| Title: Baby Bottle With Flexible Nipple Regions | ) | Art Unit: 3781 |
| Serial No.: 14/551,783 | ) | Docket No.: JM-4.2 |
| Date Filed: November 24, 2014 | ) | Examiner: Collado, Cynthia Francisca |

**Mail Stop Amendment/IDS**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

**Certificate of EFS-Web Transmission**
I hereby certify that this correspondence and any document referred to therein is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the USPTO on April 20, 2018.
Printed name of person signing this certificate: Arne M. Olson
Signature: /Arne M. Olson/

***TRANSMITTAL OF***
***INFORMATION DISCLOSURE STATEMENT***

Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, document(s) that may be material to the examination of this application are listed on the attached PTO Form SB/08a. No inference should be drawn that the attached list represents a comprehensive investigation, or that any disclosure in the listed document(s) is equivalent to the subject invention. Copies of the foreign and non-patent literature are attached for your review.

The cited document(s) disclose numerous features. However, there has been no attempt to enumerate each and every feature disclosed by each document. The Examiner is requested to review the document and to determine the extent of the materiality of the document disclosure, if any, with respect to the present invention.

The discussion of any art and the citation of any document herein is not to be construed as an admission that the art or document disclosure is necessarily within the invention field of endeavor, that the art or document disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that the art or document disclosure is otherwise necessarily prior art as defined by the patent law with respect to the instant invention and application.

Also, applicant reserves the right to later set forth how the claimed invention is distinguished over the disclosure of any document or other art, including the documents recited herein, and any document that may be cited by the Examiner in rejecting a claim in the present patent application.

The recitation herein of the art and documents is not to be construed as an assertion that more pertinent art could not possibly be in existence.

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

We believe no fee is due at this time.

Date: April 20, 2018

Respectfully submitted,

By: /Arne M. Olson/
Arne M. Olson
Registration No. 30,203

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Nanobebe US Inc., Ex. 1002, Page 305
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Inventors: | Rees et al. | ) | Confirmation No.: 6509 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Group Art Unit: 3643 |
| | | ) | |
| Filed: | November 24, 2014 | ) | Examiner: Cynthia F. Collado |
| | | ) | |
| For: | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | ) | Attorney Docket No.: JM-4.2 |

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

MAIL AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This communication is submitted in response to the Office Action mailed January 8, 2018 in the above-identified application.

**Amendments to the Claims** begin on page 2 of this document.

**Remarks** begin on page 6 of this document.

Applicant believes that no fees are due. Please credit any overpayment of the amount due or debit any underpayment thereof, to Deposit Account 15-0508.

**AMENDMENTS TO THE CLAIMS**

1. (Canceled).

2. (Previously presented) The feeding bottle of claim 18 wherein the vessel has two indentations.

3. (Previously presented) The feeding bottle of claim 18 wherein the flange forms an elastomer sealing ring sealing against the top of the vessel.

4. (Previously presented) The feeding bottle of claim 18 wherein the open top of the vessel comprises a vessel neck.

5. (Previously presented) The feeding bottle of claim 18 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

6. (Previously presented) The feeding bottle of claim 18 wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel.

7. (Previously presented) The feeding bottle of claim 18 wherein the collar comprises polypropylene.

8. (Previously presented) The feeding bottle of claim 18 wherein the air valve is a one-way air-inlet valve.

9. (Canceled).

10. (Canceled).

11. (Canceled).

12. (Canceled).

13. (Canceled).

14. (Previously presented) The feeding bottle of claim 18 wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture.

15. (Previously presented) The feeding bottle of claim 18 wherein an inner surface of the areola portion has at least one groove extending circumferentially.

16. (Previously presented) The feeding bottle of claim 18 wherein the teat walls decrease in thickness from the base portion through to the teat portion.

17. (Previously presented) The feeding bottle of claim 18 wherein the air valve is located below a top edge of the collar.

18. (Currently amended) A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

at least one vent passage extending through the domed portion of the base portion with a length not to extend past the collar and spaced inwardly from the collar,

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion ~~(116)~~ and the flange ~~(141)~~; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

19. (New) A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

at least one vent passage extending through the domed portion of the base portion and spaced inwardly from the collar,

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;

wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange; and,

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.

**REMARKS**

**Status of the Application**

Claims 1 and 9-13 were previously canceled.

Claims 2-8 and 14-19 are pending.

Claim 18 has been amended.

Claim 19 has been added. Claim 19 is previous claim 14 rewritten in independent claim form.

No new matter has been added.

**Obviousness Rejection of Claims 2-8 and 14-18**

In the present Office Action, claims 2-8 and 14-18 are rejected under 35 USC 103(a) as being unpatentable over Morano (U.S. Patent No. 6,959,827) in view of Ware (U.S. Patent No. 380,835) and further in view of Uehara (U.S. Pub. No. 2003/0089676).

A patent may not be granted where "the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains." *KSR Int'l Co. v. Teleflex Inc.*, 127 S.Ct. 1727, 1734 (2007); citing 35 U.S.C. §103. The question of obviousness is resolved on the basis of underlying factual determinations including: (1) the scope and content of the prior art. (2) any differences between the claimed subject matter and the prior art, and (3) the level of skill in the art. *Graham v. John Deere Co.*, 383 U.S. 1, 17-18, 148 USPQ 459, 467 (1966).

"Often, it will be necessary for a court to look to interrelated teachings of multiple patents; the effects of demands known to the design community or present in the marketplace; and the background knowledge possessed by a person having ordinary skill in the art, all in order to determine whether there was an apparent reason to combine the known elements in the fashion claimed by the patent at issue." *KSR*, 127 S.Ct. at 1740-41. "To facilitate review, this analysis should be made explicit." *Id.* "[R]ejections on obviousness grounds cannot be sustained by mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conc1usion of obviousness." *In re Kahn*, 441 F.3d 977, 988, 78 USPQ2d 1329, 1336 (Fed. Cir. 2006).

It is the Examiner's burden to establish prima facie obviousness. *See, In re Rijckaert*, 9 F.3d 1531, 1532 (Fed. Cir. 1993). First, the Examiner must demonstrate that the cited prior art includes "a suggestion of all the elements in a claim." *Ex parte Alexander*, 86 USPQ2d 1120, 1122 (Bd. Pat. App. & lnt. 2007); citing *CFMT, Inc. v. Yieldup lnt'l. Corp.*, 349 F.3d 1333, 1342 (Fed. Cir. 2003). Second, the Examiner must provide evidence that would demonstrate "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does." *Id.* citing *KSR*, 127 S.Ct. at 1741.

The Office admits that Morano fails to show a vent passage extending through the base portion, a valve portion in communication with the vent passage and projecting downwardly from the flange. (Office Action, p. 3). The Office Action argues, however, that this limitation is taught by Ware. (Office Action, p. 4). Applicant respectfully disagrees.

Claim 18 has been further amended to limit the length of the vent passage, in particular that the length of the vent passage does not extend past the collar. In Ware, the vent passage extends past the collar and into the bottle. While Ware lacks any teaching as to the particular

length of the vent passage, due to its location in the narrow teat of Ware, the vent passage would need to extend past the collar to function as the intended air valve. Because claim 18, as amended, calls for the length of the vent passage to not extend past the collar, a feature not taught or suggested by the prior art relied upon in the Office Action, Applicant respectfully traverses this rejection and request that it is withdrawn.

As the rejection of independent claim 18 under 35 U.S.C. §103(a) should be withdrawn because the cited art does not teach all of the limitations of this claim, the Office should also withdraw its rejection of dependent claims 2-8 and 14-17.

**Claim 14 and New Independent Claim 19**

In the present Office Action, claim 14 is rejected under 35 U.S.C. §103(a) as being unpatentable over Morano (U.S. Patent No. 6,959,827) in view of Ware (U.S. Patent No. 380,835) and further in view of Uehara (U.S. Pub. No. 2003/0089676).

Claim 14 includes the limitation that "an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture." The Office argues that Figure 2 of Morano discloses a teat portion having a first texture (smooth) and an areola portion having a second texture (bumpy) because there are two lines on the alleged areola portion of mouth interface 68 of Morano. There is no evidence, however, that the shading lines shown on the mouth interface 68 of Morano results in the alleged areola portion and the teat portion being different textures. Morano only teaches that mouth interface 68 allows liquid to flow out of the container and directly contacts the juvenile's mouth. (Morano, col. 3, ll. 49-52). In fact, Morano is completely silent as to the texture of any part of the mouth interface. Thus, the Office fails to meet its burden of establishing a *prima facie* case of obviousness of claim 14 and the rejection

should be withdrawn.

Claim 19, is merely dependent claim 14, written in independent form and without the current amendment to claim 18. Similar to claim 14, however, independent claim 19 includes the limitation that "an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture. " Because the prior art does not teach this limitation, claim 19 is patentable.

**Conclusion**

In view of the foregoing, Applicants submit that amended claim 18 and its dependent claims 2-8 and 14-17 and independent claim 19 are in condition for allowance and request passage of this Application to issue.

Respectfully submitted,

Date: March 20, 2018

By /Arne M. Olson /
Arne M. Olson (Reg. No. 30,203)

OLSON & CEPURITIS, LTD.
20 North Wacker Drive, 36th Floor
Chicago, Illinois 60606
(312) 580-1180
Attorneys for Applicants

**CERTIFICATE OF FILING**

I hereby certify that this paper and attachments are being transmitted via the U.S. Patent and Trademark (USPTO) Electronic Filing System (EFS-Web) to the USPTO on March 20, 2018.

/Alissa A. Digman /
Alissa A. Digman (Reg. No. 54,932)

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 32109524 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Alissa A. Digman/Jill Jewett |
| **Filer Authorized By:** | Alissa A. Digman |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 20-MAR-2018 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 17:15:48 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | | JM-4-2-Amd-1-8-18OA.pdf | 123705<br>80da9144a64bbb7df0fef85c0a32cec9cb1bb5e7 | yes | 10 |

| | Multipart Description/PDF files in .zip description | | |
|---|---|---|---|
| | **Document Description** | **Start** | **End** |
| | Amendment Copy Claims/Response to Suggested Claims | 1 | 1 |
| | Claims | 2 | 5 |
| | Applicant Arguments/Remarks Made in an Amendment | 6 | 10 |
| **Warnings:** | | | |
| **Information:** | | | |
| | **Total Files Size (in bytes):** | 123705 | |

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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

PTO/SB/06 (09-11)
Approved for use through 1/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| PATENT APPLICATION FEE DETERMINATION RECORD<br>Substitute for Form PTO-875 | Application or Docket Number<br>14/551,783 | Filing Date<br>11/24/2014 | ☐ To be Mailed |
|---|---|---|---|

**ENTITY**: ☒ LARGE ☐ SMALL ☐ MICRO

## APPLICATION AS FILED – PART I

| | (Column 1) | (Column 2) | | |
|---|---|---|---|---|
| FOR | NUMBER FILED | NUMBER EXTRA | RATE ($) | FEE ($) |
| ☐ BASIC FEE (37 CFR 1.16(a), (b), or (c)) | N/A | N/A | N/A | |
| ☐ SEARCH FEE (37 CFR 1.16(k), (i), or (m)) | N/A | N/A | N/A | |
| ☐ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q)) | N/A | N/A | N/A | |
| TOTAL CLAIMS (37 CFR 1.16(i)) | minus 20 = | * | X $ = | |
| INDEPENDENT CLAIMS (37 CFR 1.16(h)) | minus 3 = | * | X $ = | |
| ☐ APPLICATION SIZE FEE (37 CFR 1.16(s)) | If the specification and drawings exceed 100 sheets of paper, the application size fee due is $310 ($155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | |
| ☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) | | | | |
| * If the difference in column 1 is less than zero, enter "0" in column 2. | | | TOTAL | |

## APPLICATION AS AMENDED – PART II

| AMENDMENT | 03/20/2018 | (Column 1) CLAIMS REMAINING AFTER AMENDMENT | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | RATE ($) | ADDITIONAL FEE ($) |
|---|---|---|---|---|---|---|---|
| | Total (37 CFR 1.16(i)) | * 13 | Minus | ** 20 | = 0 | X $100 = | 0 |
| | Independent (37 CFR 1.16(h)) | * 2 | Minus | ***3 | = 0 | X $460 = | 0 |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | |
| | | | | | | TOTAL ADD'L FEE | 0 |

| AMENDMENT | | (Column 1) CLAIMS REMAINING AFTER AMENDMENT | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | RATE ($) | ADDITIONAL FEE ($) |
|---|---|---|---|---|---|---|---|
| | Total (37 CFR 1.16(i)) | * | Minus | ** | = | X $ = | |
| | Independent (37 CFR 1.16(h)) | * | Minus | *** | = | X $ = | |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | |
| | | | | | | TOTAL ADD'L FEE | |

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
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The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE
YOLANDA CHADWICK

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**
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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 | 6509 |

2387 7590 01/08/2018

Olson & Cepuritis, LTD.
20 NORTH WACKER DRIVE
36TH FLOOR
CHICAGO, IL 60606

| EXAMINER | |
|---|---|
| COLLADO, CYNTHIA FRANCISCA | |

| ART UNIT | PAPER NUMBER |
|---|---|
| 3781 | |

| MAIL DATE | DELIVERY MODE |
|---|---|
| 01/08/2018 | PAPER |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.



Nanobebe US Inc., Ex. 1002, Page 319
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Office Action Summary | Application No. 14/551,783 | Applicant(s) REES ET AL. | |
|---|---|---|---|
| | Examiner CYNTHIA COLLADO | Art Unit 3781 | AIA (First Inventor to File) Status No |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --*

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE *3* MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1)☒ Responsive to communication(s) filed on *9/22/2017*.

☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2a)☐ This action is **FINAL**. 2b)☒ This action is non-final.

3)☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

4)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims***

5)☒ Claim(s) *2-8 and 14-18* is/are pending in the application.

5a) Of the above claim(s) _____ is/are withdrawn from consideration.

6)☐ Claim(s) _____ is/are allowed.

7)☒ Claim(s) *2-8 and 14-18* is/are rejected.

8)☐ Claim(s) _____ is/are objected to.

9)☐ Claim(s) _____ are subject to restriction and/or election requirement.

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

**Application Papers**

10)☐ The specification is objected to by the Examiner.

11)☒ The drawing(s) filed on *11/24/2014* is/are: a)☒ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

a)☐ All b)☐ Some** c)☐ None of the:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1) ☒ Notice of References Cited (PTO-892)

2) ☐ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date _____.

3) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ .

4) ☐ Other: _____.



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The present application is being examined under the pre-AIA first to invent provisions.

## DETAILED ACTION

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 4/26/2006 has been entered.

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 2-8, 14-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Morano (US Patent No.6, 959, 827) in view of Ware (US 380835)and further in view of Uehara (US 2003/0089676).

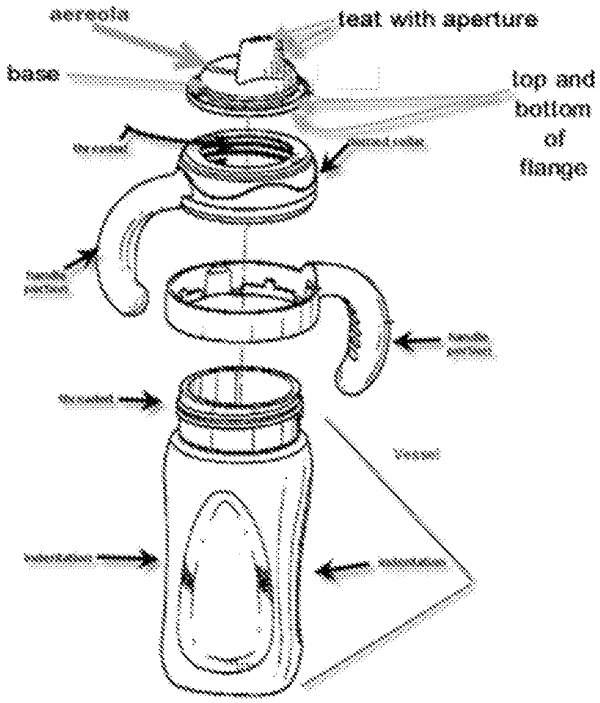
**[Claim 18]** Morano discloses a nipple (see fig below) having a teat and an areola portion (see teat above areola in fig below), a base portion having a wall thickness in a domed configuration, a flange having a downwardly depending cylindrical portion and connected to the base portion(see fig below),
the vessel having: an open top with a first diameter(see fig below), a body with a second diameter(see fig below), the body being connected with the open top(see fig below), and the first diameter of the open top being less than the second diameter of the body(see fig below); the collar having: an internally threaded cylindrical portion, a downwardly domed peripheral portion surrounding and extending from the cylindrical portion(see fig below), and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel and the flange being arranged to seal with a vessel(see fig below),the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange (see fig below).

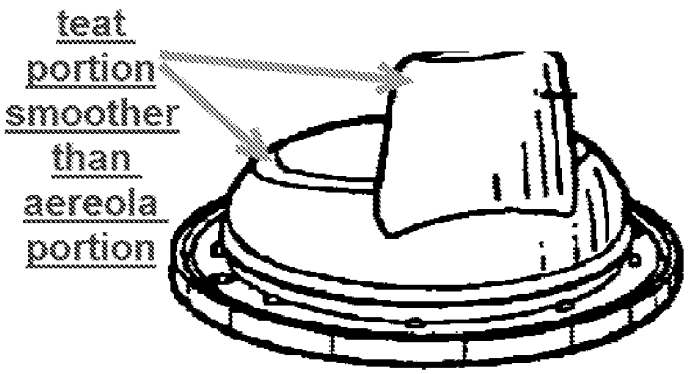
Morano fails to show a vent passage extending through the base portion, a valve portion in communication with the vent passage and projecting downwardly from the flange.

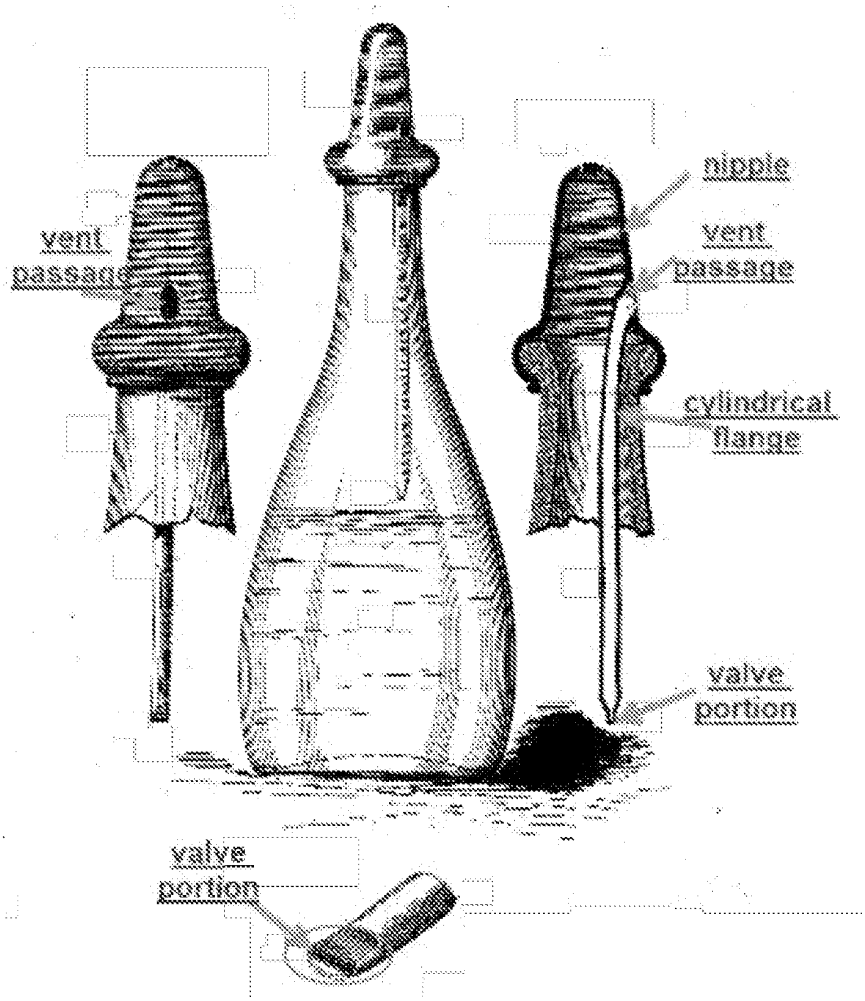
Ware discloses a vent passage extending through the base portion of the nipple, a valve portion in communication with the vent passage and projecting downwardly from the flange (see fig below) to permit for air flow in only one direction (see col 2, lines 1-2). Based on the teaching of Ware, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have a vent passage extending through the base portion of the nipple, a valve portion in communication with the vent passage and projecting downwardly from the flange (see fig below) to permit for air flow in only one direction (see col 2, lines 1-2).

The combination above fails to disclose the teat portion having a variable wall thickness. The reference to Uehara discloses a teat portion having a variable wall thickness (see fig below) including an aperture (see fluid opening),

Based on the teaching of Uehara it would have been obvious to one having ordinary skill in the art at the time the invention was made to a teat portion having a variable wall thickness (see fig below) including an aperture(see fluid opening)to allow the nipple to behave in the same manner as the nipple of the mother.


aereola
teat with aperture
base
top and
bottom
of
flange


teat
portion
smoother
than
aereola
portion




**[Claim 2]** Morano as modified discloses wherein the vessel has two indentations (see **Morano** in the fig above).

**[Claim 3]** Morano as modified discloses the claimed invention of claim 18 except for the flange forming an elastomer sealing ring sealing against the top of the vessel (see **Uehara** in fig above also [0072]). One advantage would be to prevent leakage. Based on the teaching of Uehara, it would have been obvious to one having ordinary skill in the art at the time the invention was made to an elastomer sealing ring sealing against the

top of the vessel (see **Uehara** in fig above also [0072] where the nipple including the edge is made of rubber). One advantage would be to prevent leakage of the contents within the vessel.

**[Claim 4]** Morano as modified discloses wherein the open top of the vessel comprises a vessel neck (see **Morano**, in the fig above).

**[Claim 5]** Morano as modified discloses wherein the open top of the vessel comprises an outwardly threaded vessel neck (see **Morano**, in the fig above).

**[Claim 6]** Morano as modified discloses wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel (see **Morano**, in the fig above).

**[Claim 7]** Morano as modified discloses wherein the collar comprises polypropylene (see **Morano**, col 3, lines 27-30).

**[Claim 8]** Morano as modified discloses wherein the air valve is a one-way air-inlet valve (**Ware** see col 2, lines 1-2).

**[Claim 14]** Morano as modified discloses a teat having a texture having an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture (see fig above where the areola texture is bumpy and the teat surface is smooth).

**[Claim 15]** Morano as modified discloses wherein an inner surface of the areola portion has at least one groove extending circumferentially (see **Uehara** grooves in fig above). One advantage would be to allow the nipple to behave in the same manner as the nipple of the mother.

**[Claim 16]** Morano as modified discloses wherein the teat walls decrease in thickness from the base portion through to the teat portion (see **Uehara** fig above). One advantage would be to allow the nipple to behave in the same manner as the nipple of the mother.

**[Claim 17]** Morano as modified discloses wherein the air valve is located below a top edge of the collar (see Ware in the fig above) to permit for air flow in only one direction (see col 2, lines 1-2).

## Response to Arguments

Applicant's arguments with respect to claims 2-8 and 14-18 have been considered but are moot because the arguments do not apply to any of the references being used in the current rejection.

## *Conclusion*

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CYNTHIA COLLADO whose telephone number is (571)272-8315. The examiner can normally be reached on mon-fri 8-5.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fenn Mathew can be reached on (571)2724978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

CFC
/CYNTHIA COLLADO/
Examiner, Art Unit 3781

/Fenn C Mathew/
Supervisory Patent Examiner, Art Unit 3781

| Notice of References Cited | Application/Control No. 14/551,783 | Applicant(s)/Patent Under Reexamination REES ET AL. | |
|---|---|---|---|
| | Examiner CYNTHIA COLLADO | Art Unit 3781 | Page 1 of 1 |

**U.S. PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | CPC Classification | US Classification |
|---|---|---|---|---|---|---|
| * | A | US-6,959,827 B2 | 11-2005 | Morano; Emanuel P. | A47G19/2272 | 215/11.1 |
| * | B | US-380,835 A | 04-1888 | W.F.WARE | A61J9/04 | 215/11.5 |
| * | C | US-2003/0089676 A1 | 05-2003 | Uehara, Hiroyuki | A61J11/006 | 215/11.1 |
| | D | US- | | | | |
| | E | US- | | | | |
| | F | US- | | | | |
| | G | US- | | | | |
| | H | US- | | | | |
| | I | US- | | | | |
| | J | US- | | | | |
| | K | US- | | | | |
| | L | US- | | | | |
| | M | US- | | | | |

**FOREIGN PATENT DOCUMENTS**

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**NON-PATENT DOCUMENTS**

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | |
| | V | |
| | W | |
| | X | |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Search Notes | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14551783 | REES ET AL. |
| | Examiner | Art Unit |
| | CYNTHIA COLLADO | 3781 |

| CPC- SEARCHED | | |
|---|---|---|
| Symbol | Date | Examiner |
| A 61J 9/04 A 61J 11/02 A 61J 11/045, A 61J 11/04, A 61J 9/00 | 4/28/2015 | CFC |

| CPC COMBINATION SETS - SEARCHED | | |
|---|---|---|
| Symbol | Date | Examiner |
| | | |

| US CLASSIFICATION SEARCHED | | | |
|---|---|---|---|
| Class | Subclass | Date | Examiner |
| 215 | 11.5,11.1,396,398,11.6,276,11.4 | 4/28/2015 | CFC |

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

| SEARCH NOTES | | |
|---|---|---|
| Search Notes | Date | Examiner |
| Discussed application with SPE Fenn Mathew | 4/28/2015 | CFC |
| Discussed application with SPE Fenn Mathew | 2/1/2016 | CFC |
| Discussed application with SPE Fenn Mathew | 12/20/2016 | CFC |
| Inventor name search performed | 7/10/217 | CFC |
| Discussed application with SPE Fenn Mathew | 7/10/2017 | CFC |
| EAST search updated and attached | 7/10/2017 | CFC |
| EAST search updated and attached | 12/10/2017 | CFC |

| INTERFERENCE SEARCH | | | |
|---|---|---|---|
| US Class/ CPC Symbol | US Subclass / CPC Group | Date | Examiner |
| | | | |



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

**EAST Search History**

**EAST Search History (Prior Art)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
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| L1 | 10 | ("1510571").URPN. | USPAT | OR | OFF | 2017/12/22 06:52 |
| L2 | 36 | ("0380835" \| "1510571" \| "2093730" \| "2365585" \| "2744646" \| "2906088" \| "4993568").PN. OR ("5678710").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/22 06:54 |
| L3 | 11 | ("380835").URPN. | USPAT | OR | OFF | 2017/12/22 07:02 |
| L4 | 34 | ("1902433" \| "2223179" \| "2513896" \| "2688326" \| "4993568" \| "5474028").PN. OR ("5797505").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/22 07:13 |
| L5 | 3 | "20140217053" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/22 08:03 |
| L6 | 0 | A61J11/06.CPC. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/22 09:38 |
| L7 | 1442 | A61J9/04.CPC. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/22 09:38 |
| S1 | 2496 | (215/11.1,11.5).CCLS. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 16:40 |
| S2 | 13 | "3097757" | USPAT | OR | OFF | 2014/08/04 16:40 |
| S3 | 2808 | (220/714,502,505,524,251,717,703).CCLS. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 17:10 |
| S4 | 2 | ("20080210655").PN. | US-PGPUB; USPAT; | OR | OFF | 2014/08/04 17:14 |



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

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| S5 | 5 | ("2008/0210655").URPN. | USPAT | OR | OFF | 2014/08/04 17:15 |
| S7 | 12 | "6959827" | USPAT | OR | OFF | 2015/04/26 14:01 |
| S8 | 0 | "20030089676" | USPAT | OR | OFF | 2015/04/26 14:03 |
| S9 | 5 | "20030089676" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/26 14:03 |
| S10 | 3 | "13364557" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/26 14:34 |
| S11 | 13 | "6959827" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 17:12 |
| S12 | 3 | "13364557" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:00 |
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| S15 | 0 | ("2013/0200031").URPN. | USPAT | OR | OFF | 2015/04/30 22:02 |
| S16 | 1237 | A61J9/04.CPC. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; | OR | OFF | 2015/05/03 20:22 |



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

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|---|---|---|---|---|---|---|
| | | | DERWENT; IBM_TDB | | | |
| S17 | 10 | ("2003/0089676").URPN. | USPAT | OR | OFF | 2017/07/12 18:43 |
| S18 | 150 | ("0589212" \| "0605161" \| "0633343" \| "1146639" \| "1280942" \| "1545436" \| "1588846" \| "1590152" \| "1632854" \| "1656157" \| "1672466" \| "1672734" \| "1859733" \| "1913627" \| "1989060" \| "20030032984" \| "20030089676" \| "20030093120" \| "20030093121" \| "20040026351" \| "20040045922" \| "20040124168" \| "20040245203" \| "20050258123" \| "2005437" \| "20060011571" \| "2060212" \| "2321236" \| "2366214" \| "2638094" \| "2655920" \| "2736446" \| "2825479" \| "2889829" \| "3022915" \| "3070249" \| "3082770" \| "3126116" \| "3139064" \| "3190288" \| "3193125" \| "3424157" \| "3530979" \| "3593870" \| "3645413" \| "3650270" \| "3661288" \| "3777753" \| "3779413" \| "3787993" \| "3790016" \| "3790017" \| "3858741" \| "3946888" \| "4006836" \| "4195638" \| "4238040" \| "4311245" \| "4505398" \| "4586621" \| "4619271" \| "4623069" \| "4676386" \| "4688571" \| "4700856" \| "4759139" \| "4815615" \| "4832214" \| "4834099" \| "4941573" \| "4993568" \| "5004473" \| "5013321" \| "5035340" \| "5069351" \| "5072842" \| "5101991" \| "5114374" \| "5117994" \| "5207338" \| "5322031" \| "5474028" \| "5499729" \| "5535899" \| "5542922" \| "5544766" \| "5553726" \| "5598809" \| "5673806" \| "5688238" \| "5690679" \| "6003698" \| "6032810" \| "6161710" \| "6241110" \| "6253935" \| "6270519" \| "6343704" \| "6588613" \| "6675981" \| "6736830" \| "6745912" \| "6818162" \| "6871751" \| "6968964" \| "D273515" \| "D330938" \| "D371848" \| "D417735").PN. OR ("7712617").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/07/12 18:45 |
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| | | "3022915" \| "3070249" \| "3082770" \| "3126116" \| "3139064" \| "3190288" \| "3193125" \| "3424157" \| "3530979" \| "3593870" \| "3645413" \| "3650270" \| "3661288" \| "3777753" \| "3779413" \| "3787993" \| "3790016" \| "3790017" \| "3858741" \| "3946888" \| "4006836" \| "4195638" \| "4238040" \| "4311245" \| "4505398" \| "4586621" \| "4619271" \| "4623069" \| "4676386" \| "4688571" \| "4700856" \| "4759139" \| "4815615" \| "4832214" \| "4834099" \| "4941573" \| "4993568" \| "5004473" \| "5013321" \| "5035340" \| "5069351" \| "5072842" \| "5101991" \| "5114374" \| "5117994" \| "5207338" \| "5322031" \| "5474028" \| "5499729" \| "5535899" \| "5542922" \| "5544766" \| "5553726" \| "5598809" \| "5673806" \| "5688238" \| "5690679" \| "6003698" \| "6032810" \| "6161710" \| "6241110" \| "6253935" \| "6270519" \| "6343704" \| "6588613" \| "6675981" \| "6736830" \| "6745912" \| "6818162" \| "6871751" \| "6968964" \| "D273515" \| "D330938" \| "D371848" \| "D417735").PN. OR ("7712617").URPN. | | | | |
| S21 | 8 | "10696910" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/12 18:48 |
| S22 | 3 | "20040124168" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/12 18:48 |
| S23 | 36 | "4591606" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/12 20:48 |
| S24 | 49 | domed with nipple | USPAT | OR | OFF | 2017/07/17 16:16 |
| S25 | 151 | domed with nipple | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:16 |
| S26 | 62 | S25 and valve | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; | OR | OFF | 2017/07/17 16:17 |



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|---|---|---|---|---|---|---|
| | | | IBM_TDB | | | |
| S27 | 49 | domed with teat | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:21 |
| S28 | 15 | S27 and valve | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:21 |
| S29 | 15 | S27 and valve | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:21 |
| S30 | 3 | "20040124168" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:30 |
| S31 | 2339 | nipple with valve same vent | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:43 |
| S32 | 88 | nipple with valve same vent same dome$6 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:43 |
| S33 | 18 | (("COTTON") near3 ("Tom")).INV. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/07/17 18:22 |
| S34 | 146 | (("ARMSTRONG") near3 ("Mark")).INV. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/07/17 18:28 |
| S35 | 4 | "14551783" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/15 21:02 |
| S36 | 4 | "14551783" | US-PGPUB; USPAT; USOCR; FPRS; | OR | OFF | 2017/12/15 21:02 |



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

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|---|---|---|---|---|---|---|
| | | | EPO; JPO; DERWENT; IBM_TDB | | | |
| S37 | 2 | "8991627" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/15 21:31 |
| S38 | 31 | ("1319297" \| "1401958" \| "1683246" \| "20040035815" \| "2133411" \| "2186879" \| "2372281" \| "2495548" \| "2584359" \| "2665826" \| "2901152" \| "3220588" \| "3661288" \| "3924620" \| "4204328" \| "5478325" \| "5578004" \| "6126679" \| "6176380" \| "6286697").PN. OR ("8991627").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/15 21:32 |
| S39 | 9 | ("1683246").URPN. | USPAT | OR | OFF | 2017/12/15 21:34 |
| S40 | 70 | ("0556859" \| "1146639" \| "1573519" \| "1683246" \| "1733184" \| "1759568" \| "2105170" \| "2174361" \| "2269223" \| "2366214" \| "2388915" \| "2588069" \| "2616581" \| "2699169" \| "2709434" \| "2864520" \| "2989961" \| "2996207" \| "3077279" \| "3130725" \| "3232466" \| "3777753").PN. OR ("4505398").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/15 21:35 |
| S41 | 26 | ("0605161" \| "1146639" \| "1573519" \| "20040220618" \| "20060011572" \| "20070068890" \| "20070272645" \| "20080210655" \| "20120074090" \| "2517457" \| "2588069" \| "2956702" \| "4505398" \| "5114374" \| "5544766" \| "5553726" \| "6032810" \| "6645228" \| "6745912" \| "8181800").PN. OR ("9561157").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/15 21:36 |
| S42 | 2 | ("1573519").URPN. | USPAT | OR | OFF | 2017/12/15 21:39 |
| S43 | 18 | ("1146639").URPN. | USPAT | OR | OFF | 2017/12/15 21:42 |
| S44 | 23 | WO2004002276A1 JP6010604B2 GB2541883A JP5612569B2 WO2017037425A1 JP5950972B2 KR20140009425A JP5346280B2 JP2015013193A EP2253300B1 JP5629082B2 JP5629083B2 KR20090010114A US8579131 KR20150131408A KR20110020247A KR101644420B1 US8087523 US8991627 EP1558197B1 US20170079889 US20040124168 US20100140204 KR101630187B1 CA2629328C AU2013243228A1 AU2009200949A1 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/15 21:48 |
| S45 | 25 | WO2004002276A1 JP6010604B2 GB2541883A JP5612569B2 WO2017037425A1 JP5950972B2 KR20140009425A JP5346280B2 JP2015013193A EP2253300B1 JP5629082B2 JP5629083B2 KR20090010114A US8579131 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/12/15 21:53 |



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|---|---|---|---|---|---|---|
| | | KR20150131408A KR20110020247A KR101644420B1 US8087523 US8991627 EP1558197B1 US20170079889 US20040124168 US20100140204 KR101630187B1 CA2629328C AU2013243228A1 AU2009200949A1 AU2006312999B2 | | | | |
| S46 | 18 | ("1146639").URPN. | USPAT | OR | OFF | 2017/12/21 18:38 |
| S47 | 70 | ("0556859" \| "1146639" \| "1573519" \| "1683246" \| "1733184" \| "1759568" \| "2105170" \| "2174361" \| "2269223" \| "2366214" \| "2388915" \| "2588069" \| "2616581" \| "2699169" \| "2709434" \| "2864520" \| "2989961" \| "2996207" \| "3077279" \| "3130725" \| "3232466" \| "3777753").PN. OR ("4505398").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/21 18:40 |
| S48 | 23 | ("1146639" \| "20040188373" \| "20050252875" \| "20070102388" \| "20070131637" \| "20090200257" \| "20100163508" \| "20120175335" \| "20120265245" \| "20140124469" \| "2174361" \| "2616581" \| "3593870" \| "3722728" \| "4505398" \| "4993568" \| "5101992" \| "6883672" \| "8640897" \| "8708174").PN. OR ("9750664").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/21 18:41 |
| S49 | 8 | ("2014/0124469").URPN. | USPAT | OR | OFF | 2017/12/21 18:43 |
| S50 | 16 | ("20070102388" \| "20110155684" \| "20120265245" \| "20130220962" \| "20130327737" \| "20140124469" \| "2736446" \| "3292809" \| "5101991" \| "5284261" \| "5784999" \| "6994225" \| "8579132" \| "8733565").PN. OR ("9486392").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/21 18:43 |
| S51 | 4 | ("2012/0265245").URPN. | USPAT | OR | OFF | 2017/12/21 18:45 |
| S52 | 20 | ("2007/0102388").URPN. | USPAT | OR | OFF | 2017/12/21 18:45 |
| S53 | 59 | ("0697304" \| "0732630" \| "0805641" \| "1002426" \| "1146639" \| "1297207" \| "1510571" \| "1902433" \| "20070102388" \| "20070131637" \| "2163330" \| "2513896" \| "2616581" \| "2630932" \| "2688326" \| "2699778" \| "3113569" \| "3211316" \| "3292809" \| "3593870" \| "4993568" \| "5101991" \| "5129532" \| "5474028" \| "5544766" \| "5769285" \| "5784999" \| "5797505" \| "6003698" \| "6616000" \| "6645228" \| "6884229" \| "6994225" \| "7004339" \| "7036975" \| "7122045" \| "7185775" \| "7326234" \| "RE11086").PN. OR ("8117990").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF | 2017/12/21 18:47 |
| S54 | 12 | ("2007/0131637").URPN. | USPAT | OR | OFF | 2017/12/21 18:47 |
| S55 | 6 | ("805641").URPN. | USPAT | OR | OFF | 2017/12/21 19:09 |

**EAST Search History (Interference)**

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| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| S6 | 712 | 215/11.5 | USPAT | OR | OFF | 2014/08/04 17:00 |

**12/ 22/ 2017 10:10:06 AM**
**C:\ Users\ ccollado\ Documents\ EAST\ Workspaces\ 13364557.wsp**



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| Index of Claims | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14551783 | REES ET AL. |
| | **Examiner** | **Art Unit** |
| | CYNTHIA COLLADO | 3781 |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| ✓ | Rejected | - | Cancelled | N | Non-Elected | A | Appeal |
| = | Allowed | ÷ | Restricted | I | Interference | O | Objected |

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

| CLAIM | | DATE | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Final | Original | 05/03/2015 | 02/03/2016 | 12/27/2016 | 07/17/2017 | 12/22/2017 | | | | |
| | 1 | ✓ | ✓ | - | - | - | | | | |
| | 2 | ✓ | ✓ | ✓ | ✓ | ✓ | | | | |
| | 3 | ✓ | ✓ | ✓ | ✓ | ✓ | | | | |
| | 4 | ✓ | ✓ | ✓ | ✓ | ✓ | | | | |
| | 5 | ✓ | ✓ | ✓ | ✓ | ✓ | | | | |
| | 6 | ✓ | ✓ | ✓ | ✓ | ✓ | | | | |
| | 7 | ✓ | ✓ | ✓ | ✓ | ✓ | | | | |
| | 8 | ✓ | ✓ | ✓ | ✓ | ✓ | | | | |
| | 9 | ✓ | ✓ | - | - | - | | | | |
| | 10 | ✓ | ✓ | - | - | - | | | | |
| | 11 | ✓ | ✓ | - | - | - | | | | |
| | 12 | ✓ | ✓ | - | - | - | | | | |
| | 13 | ✓ | ✓ | - | - | - | | | | |
| | 14 | ✓ | ✓ | ✓ | ✓ | ✓ | | | | |
| | 15 | ✓ | ✓ | ✓ | ✓ | ✓ | | | | |
| | 16 | ✓ | ✓ | ✓ | ✓ | ✓ | | | | |
| | 17 | ✓ | ✓ | ✓ | ✓ | ✓ | | | | |
| | 18 | | | ✓ | ✓ | ✓ | | | | |



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Doc code: RCEX
Doc description: Request for Continued Examination (RCE)

PTO/SB/30EFS (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL
## (Submitted Only via EFS-Web)

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| Application Number | 14551783 | Filing Date | 2014-11-24 | Docket Number (if applicable) | JM-4.2 | Art Unit | 3781 |
| First Named Inventor | Arnold Rees | | | Examiner Name | Cynthia Francisca Collado | | |

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV

### SUBMISSION REQUIRED UNDER 37 CFR 1.114

Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

☒ Other Response to Final Office Action filed on September 22, 2017

☐ Enclosed

☐ Amendment/Reply

☐ Information Disclosure Statement (IDS)

☐ Affidavit(s)/ Declaration(s)

☐ Other _____

### MISCELLANEOUS

☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months _____
(Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

☐ Other _____

### FEES

☒ **The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.**
The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 150508

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

X Patent Practitioner Signature

Applicant Signature



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

PTO/SB/30EFS (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Signature of Registered U.S. Patent Practitioner | | | |
|---|---|---|---|
| Signature | /Arne M. Olson/ | Date (YYYY-MM-DD) | 2017-11-21 |
| Name | Arne M. Olson | Registration Number | 30203 |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

# Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.

2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).

5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

PTO/AIA/22 (03-13)
Approved for use through 7/31/2016. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional)

Application Number 14551783

Filed 2014-11-24

For Baby Bottle With Flexible Nipple Regions

Art Unit 3781

Examiner Cynthia Francisca Collado

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application.

The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):

| | | Fee | Small Entity Fee | Micro Entity Fee | |
|---|---|---|---|---|---|
| ☑ | One month (37 CFR 1.17(a)(1)) | $200 | $100 | $50 | $ 200 |
| ☐ | Two months (37 CFR 1.17(a)(2)) | $600 | $300 | $150 | $ |
| ☐ | Three months (37 CFR 1.17(a)(3)) | $1,400 | $700 | $350 | $ |
| ☐ | Four months (37 CFR 1.17(a)(4)) | $2,200 | $1,100 | $550 | $ |
| ☐ | Five months (37 CFR 1.17(a)(5)) | $3,000 | $1,500 | $750 | $ |

☐ Applicant asserts small entity status. See 37 CFR 1.27.

☐ Applicant certifies micro entity status. See 37 CFR 1.29.
Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.

☑ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 150508.

☑ Payment made via EFS-Web.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

I am the

☐ applicant.

☑ attorney or agent of record. Registration number 30203.

☐ attorney or agent acting under 37 CFR 1.34. Registration number _____.

/Arne M. Olson/ — Signature

11/21/2017 — Date

Arne M. Olson — Typed or printed name

312-580-1180 — Telephone Number

**NOTE:** This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.

☑ * Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# Electronic Patent Application Fee Transmittal

| | |
|---|---|
| **Application Number:** | 14551783 |
| **Filing Date:** | 24-Nov-2014 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Filer:** | Alissa A. Digman/Jill Jewett |
| **Attorney Docket Number:** | JM-4.2 |

Filed as Large Entity

**Filing Fees for Utility under 35 USC 111(a)**

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Basic Filing:** | | | | |
| **Pages:** | | | | |
| **Claims:** | | | | |
| **Miscellaneous-Filing:** | | | | |
| **Petition:** | | | | |
| **Patent-Appeals-and-Interference:** | | | | |
| **Post-Allowance-and-Post-Issuance:** | | | | |
| **Extension-of-Time:** | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| Extension - 1 month with $0 paid | 1251 | 1 | 200 | 200 |
| **Miscellaneous:** | | | | |
| RCE- 2ND AND SUBSEQUENT REQUEST | 1820 | 1 | 1700 | 1700 |
| | | **Total in USD ($)** | | **1900** |

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 31017081 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Alissa A. Digman/Jill Jewett |
| **Filer Authorized By:** | Alissa A. Digman |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 21-NOV-2017 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 15:34:37 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | yes |
| Payment Type | DA |
| Payment was successfully received in RAM | $1900 |
| RAM confirmation Number | 112217INTEFSW00002573150508 |
| Deposit Account | |
| Authorized User | |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: | |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Request for Continued Examination (RCE) | JM-4-2-RCE-Second.pdf | 697826<br>b8a761c890c1f697ed8e9dd7b9d6e6eca848a596 | no | 3 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 2 | Extension of Time | JM-4-2-RCE-1monthextension.pdf | 163067<br>ec5e6f7bee02ad1ec8d7961318460b71446529f3 | no | 2 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 3 | Fee Worksheet (SB06) | fee-info.pdf | 32202<br>72d3cb60f592bd017b1ae681b067cea8b3fe6a41 | no | 2 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| | | **Total Files Size (in bytes):** | 893095 | | |

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

PTO/SB/06 (09-11)
Approved for use through 1/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| PATENT APPLICATION FEE DETERMINATION RECORD<br>Substitute for Form PTO-875 | Application or Docket Number<br>14/551,783 | Filing Date<br>11/24/2014 | ☐ To be Mailed |
|---|---|---|---|

**ENTITY**: ☒ LARGE ☐ SMALL ☐ MICRO

## APPLICATION AS FILED – PART I

| | (Column 1) | (Column 2) | | |
|---|---|---|---|---|
| FOR | NUMBER FILED | NUMBER EXTRA | RATE ($) | FEE ($) |
| ☐ BASIC FEE (37 CFR 1.16(a), (b), or (c)) | N/A | N/A | N/A | |
| ☐ SEARCH FEE (37 CFR 1.16(k), (i), or (m)) | N/A | N/A | N/A | |
| ☐ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q)) | N/A | N/A | N/A | |
| TOTAL CLAIMS (37 CFR 1.16(i)) | minus 20 = | * | X $ = | |
| INDEPENDENT CLAIMS (37 CFR 1.16(h)) | minus 3 = | * | X $ = | |
| ☐ APPLICATION SIZE FEE (37 CFR 1.16(s)) | If the specification and drawings exceed 100 sheets of paper, the application size fee due is $310 ($155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | |
| ☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) | | | | |
| * If the difference in column 1 is less than zero, enter "0" in column 2. | | | TOTAL | |

## APPLICATION AS AMENDED – PART II

| AMENDMENT | 11/21/2017 | (Column 1) CLAIMS REMAINING AFTER AMENDMENT | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | RATE ($) | ADDITIONAL FEE ($) |
|---|---|---|---|---|---|---|---|
| | Total (37 CFR 1.16(i)) | * 12 | Minus | ** 20 | = 0 | X $80 = | 0 |
| | Independent (37 CFR 1.16(h)) | * 1 | Minus | ***3 | = 0 | X $420 = | 0 |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | |
| | | | | | | TOTAL ADD'L FEE | 0 |

| AMENDMENT | | (Column 1) CLAIMS REMAINING AFTER AMENDMENT | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | RATE ($) | ADDITIONAL FEE ($) |
|---|---|---|---|---|---|---|---|
| | Total (37 CFR 1.16(i)) | * | Minus | ** | = | X $ = | |
| | Independent (37 CFR 1.16(h)) | * | Minus | *** | = | X $ = | |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | |
| | | | | | | TOTAL ADD'L FEE | |

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE
ANTJUAN RIVERA

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**
*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 | 6509 |

2387 7590 10/27/2017

Olson & Cepuritis, LTD.
20 NORTH WACKER DRIVE
36TH FLOOR
CHICAGO, IL 60606

| EXAMINER | |
|---|---|
| COLLADO, CYNTHIA FRANCISCA | |

| ART UNIT | PAPER NUMBER |
|---|---|
| 3781 | |

| MAIL DATE | DELIVERY MODE |
|---|---|
| 10/27/2017 | PAPER |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.



Nanobebe US Inc., Ex. 1002, Page 350
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| **Advisory Action Before the Filing of an Appeal Brief** | **Application No.** 14/551,783 | **Applicant(s)** REES ET AL. | |
|---|---|---|---|
| | **Examiner** CYNTHIA COLLADO | **Art Unit** 3781 | **AIA (First Inventor to File) Status** No |

***--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --***

THE REPLY FILED 22 September 2017 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

NO NOTICE OF APPEAL FILED

1. ☒ The reply was filed after a final rejection. No Notice of Appeal has been filed. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114 if this is a utility or plant application. Note that RCEs are not permitted in design applications. The reply must be filed within one of the following time periods:
   a) ☐ The period for reply expires _____months from the mailing date of the final rejection.
   b) ☒ The period for reply expires on: (1) the mailing date of this Advisory Action; or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.
   c) ☐ A prior Advisory Action was mailed more than 3 months after the mailing date of the final rejection in response to a first after-final reply filed within 2 months of the mailing date of the final rejection. The current period for reply expires months from the mailing date of *the prior Advisory Action* or SIX MONTHS from the mailing date of the final rejection, whichever is earlier.

   *Examiner Note*: If box 1 is checked, check either box (a), (b) or (c). ONLY CHECK BOX (b) WHEN THIS ADVISORY ACTION IS THE FIRST RESPONSE TO APPLICANT'S FIRST AFTER-FINAL REPLY WHICH WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. ONLY CHECK BOX (c) IN THE LIMITED SITUATION SET FORTH UNDER BOX (c). See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) or (c) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOTICE OF APPEAL

2. ☐ The Notice of Appeal was filed on _____. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

AMENDMENTS

3. ☒ The proposed amendments filed after a final rejection, but prior to the date of filing a brief, will not be entered because
   a) ☒ They raise new issues that would require further consideration and/or search (see NOTE below);
   b) ☐ They raise the issue of new matter (see NOTE below);
   c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
   d) ☒ They present additional claims without canceling a corresponding number of finally rejected claims.

   NOTE: *The proposed amendment of claim 18 requires further consideration and search because now the claim requires that the vent passage extends through the domed portion of the base.*. (See 37 CFR 1.116 and 41.33(a)).
4. ☐ The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).
5. ☐ Applicant's reply has overcome the following rejection(s): _____.
6. ☐ Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
7. ☒ For purposes of appeal, the proposed amendment(s): (a) ☒ will not be entered, or (b) ☐ will be entered, and an explanation of how the new or amended claims would be rejected is provided below or appended.

AFFIDAVIT OR OTHER EVIDENCE

8. ☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.
9. ☐ The affidavit or other evidence filed after final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).
10. ☐ The affidavit or other evidence filed after the date of filing the Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing of good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).
11. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

REQUEST FOR RECONSIDERATION/OTHER

12. ☐ The request for reconsideration has been considered but does NOT place the application in condition for allowance because: _____.
13. ☐ Note the attached Information *Disclosure Statement*(s). (PTO/SB/08) Paper No(s). _____
14. ☐ Other: _____.

STATUS OF CLAIMS

15. The status of the claim(s) is (or will be) as follows:
    Claim(s) allowed: .
    Claim(s) objected to: .
    Claim(s) rejected: 2-8 and 14-18.
    Claim(s) withdrawn from consideration:

| /Fenn C Mathew/ Supervisory Patent Examiner, Art Unit 3781 | /CYNTHIA COLLADO/ Examiner, Art Unit 3781 |
|---|---|



Nanobebe US Inc., Ex. 1002, Page 351
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

DO NOT ENTER: /C.F.C/

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Applicants: | Rees et al. | ) | Confirmation No.: 6509 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Group Art Unit: 3643 |
| | | ) | |
| Filed: | November 24, 2014 | ) | Examiner: Cynthia F. Collado |
| | | ) | |
| For: | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | ) | Attorney Docket No.: JM-4.2 |

**RESPONSE TO FINAL OFFICE ACTION**

MAIL STOP AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This communication is submitted in response to the Office Action mailed July 25, 2017 in the above-identified application.

**Amendments to the Claims** begin on page 2 of this document.

**Remarks** begin on page 4 of this document.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Applicants: | Rees et al. | ) | Confirmation No.: 6509 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Group Art Unit: 3643 |
| | | ) | |
| Filed: | November 24, 2014 | ) | Examiner: Cynthia F. Collado |
| | | ) | |
| For: | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | ) | Attorney Docket No.: JM-4.2 |

**RESPONSE TO FINAL OFFICE ACTION**

MAIL STOP AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This communication is submitted in response to the Office Action mailed July 25, 2017 in the above-identified application.

**Amendments to the Claims** begin on page 2 of this document.

**Remarks** begin on page 4 of this document.

**AMENDMENTS TO THE CLAIMS**

1. (Canceled).

2. (Previously presented) The feeding bottle of claim 18 wherein the vessel has two indentations.

3. (Previously presented) The feeding bottle of claim 18 wherein the flange forms an elastomer sealing ring sealing against the top of the vessel.

4. (Previously presented) The feeding bottle of claim 18 wherein the open top of the vessel comprises a vessel neck.

5. (Previously presented) The feeding bottle of claim 18 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

6. (Previously presented) The feeding bottle of claim 18 wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel.

7. (Previously presented) The feeding bottle of claim 18 wherein the collar comprises polypropylene.

8. (Previously presented) The feeding bottle of claim 18 wherein the air valve is a one-way air-inlet valve.

9. (Canceled).

10. (Canceled).

11. (Canceled).

12. (Canceled).

13. (Canceled).

14. (Previously presented) The feeding bottle of claim 18 wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture.

15. (Previously presented) The feeding bottle of claim 18 wherein an inner surface of the areola portion has at least one groove extending circumferentially.

16. (Previously presented) The feeding bottle of claim 18 wherein the teat walls decrease in thickness from the base portion through to the teat portion.

17. (Previously presented) The feeding bottle of claim 18 wherein the air valve is located below a top edge of the collar.



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

18. (Currently amended) A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

at least one vent passage extending through the domed portion of the base portion and spaced inwardly from the collar,

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion (116) and the flange (141) and

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.



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## REMARKS

**Status of the Application**

1. Claims 1 and 9-13 were previously canceled.
2. Claims 2-8 and 14-18 are pending.
3. Claim 18 has been amended.
4. No new matter has been added.

**Obviousness Rejection of Claims 2-8 and 14-18**

In the present Office Action, claims 2-8 and 14-18 were rejected under 35 USC 103(a) as being unpatentable over Morano (U.S. Patent No. 6,959,827) in view of Lerman (U.S. Patent No. 2,688,326) and further in view of Uehara (U.S. Pub. No. 2003/0089676).

A patent may not be granted where "the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains." *KSR Int'l Co. v. Teleflex Inc.*, 127 S.Ct. 1727, 1734 (2007); citing 35 U.S.C. §103. The question of obviousness is resolved on the basis of underlying factual determinations including: (1) the scope and content of the prior art. (2) any differences between the claimed subject matter and the prior art, and (3) the level of skill in the art. *Graham v. John Deere Co.*,383 U.S. 1, 17-18, 148 USPQ 459, 467 (1966).

"Often, it will be necessary for a court to look to interrelated teachings of multiple patents; the effects of demands known to the design community or present in the marketplace; and the background knowledge possessed by a person having ordinary skill in the art, all in order to determine whether there was an apparent reason to combine the known elements in the fashion claimed by the patent at issue." *KSR*, 127 S.Ct. at 1740-41. "To facilitate review, this analysis should be made explicit." *Id.* "[R]ejections on obviousness grounds cannot be sustained by mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness." *In re Kahn*, 441 F.3d 977, 988, 78 USPQ2d 1329, 1336 (Fed. Cir. 2006).

It is the Examiner's burden to establish prima facie obviousness. *See, In re Rijckaert*, 9 F.3d 1531, 1532 (Fed. Cir. 1993). First, the Examiner must demonstrate that the cited prior art



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includes "a suggestion of all the elements in a claim." *Ex parte Alexander*, 86 USPQ2d 1120, 1122 (Bd. Pat. App. & Int. 2007); citing *CFMT, Inc. v. Yieldup Int'l. Corp.*, 349 F.3d 1333, 1342 (Fed. Cir. 2003). Second, the Examiner must provide evidence that would demonstrate "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does." *Id.* citing *KSR*, 127 S.Ct. at 1741.

Applicants continue to disagree with the Examiner's positions, but, in an effort to move prosecution of this application along, have further amended claim 18, the only independent claim, to call for a nipple having "a base portion having a wall thickness in a domed configuration" and "at least one vent passage" which is specifically "extending through the domed portion of the base portion and spaced inwardly from the collar." That configuration is shown in annotated Fig. 4 reproduced below.

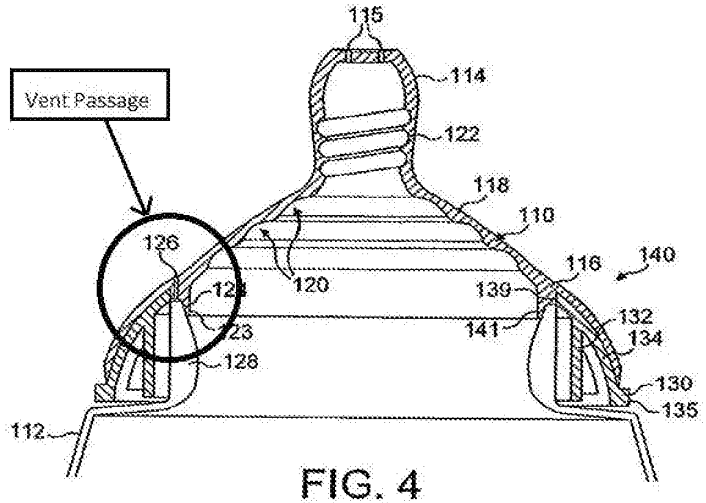



FIG. 4

As amended, claim 18 calls for the vent passage to be located to extend through the domed portion of the base portion of the nipple, a feature not taught or suggested by the prior art relied upon by the Examiner. In addition, claim 18 has always called for the "vent passage" to be "spaced inwardly from the collar," a limitation never addressed by the Examiner.

Applicants respectfully traverse the obviousness rejection based on Morano in view of Lerman and further in view of Uehara for the following reasons.

While Applicants do not believe that Morano teaches or suggests the elements relied upon by the Examiner, Applicants note that the Examiner has acknowledged that "Morano fails

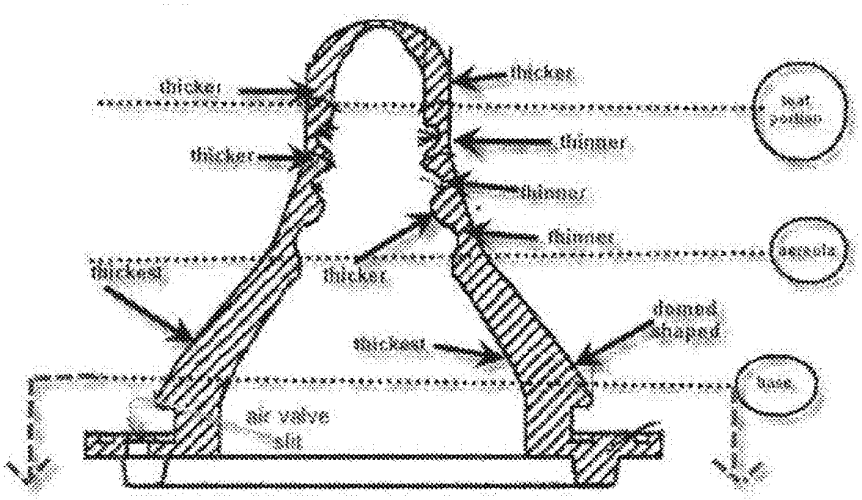


Nanobebe US Inc., Ex. 1002, Page 357
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

to show a vent passage extending through the domed base portion, a valve portion in communication with the vent passage and projecting downwardly from the flange" as called for by claim 18. 07/25/2017 Office Action, p. 3. By looking to Uehara, the Examiner also acknowledges that Morano does not disclose "a teat portion having a variable wall thickness including an aperture." 07/25/2017 Office Action, p. 4.

The Examiner now relies on Lerman to cure Morano's failure to show a "vent passage extending through the domed base portion, a valve portion in communication with the vent passage and projecting downwardly from the flange." 07/25/2017 Office Action, pp. 3-4. The disclosure of Lerman and Uehara are the same with regard to the location of the "vent passage" limitation. Applicants submit that the Lerman fails to disclose the structure and location of the "vent passage" for the same reasons that that Uehara, a reference that the Examiner previously relied upon, failed to disclose the structure and location of a "vent passage extending through the base portion...."

Indeed, in the last Office Action, the Examiner relied on Uehara as set forth below to show a "vent passage" which is "extending through the base portion." 02/08/2017 Office Action, pp. 4-6.




According to the Examiner's annotations, the "air valve slit" of Uehara was located in the "base" portion of the nipple and therefore, when combined with Morano, the combination rendered original claim 18 obvious.



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In response to that combination, Applicants further amended claim 18 to call for "at least one vent passage" which is "extending through the domed base portion." 04/13/2017 Response to Office Action, p. 3. Applicants also presented a side-by-side comparison of their annotated Fig. 4 with the Examiner's annotated figure from Uehara, set forth below.

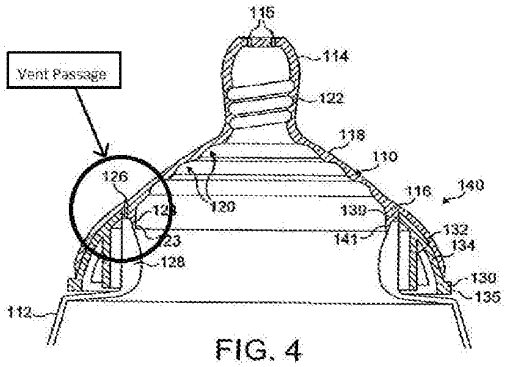
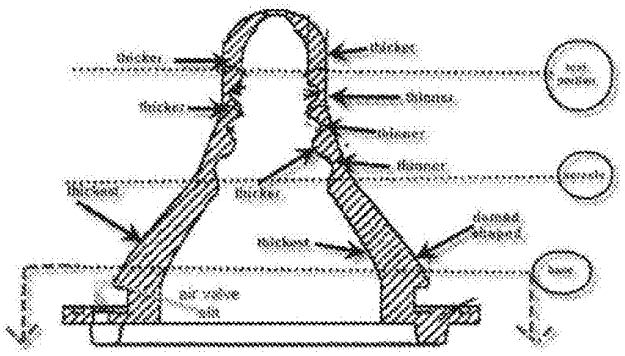



FIG. 4



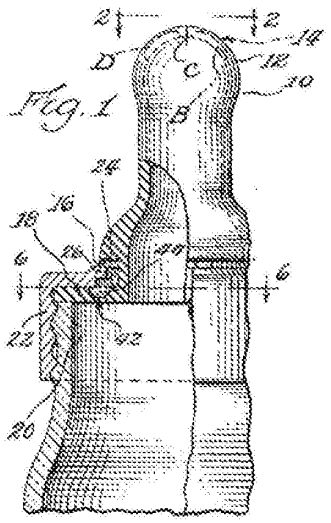
Applicants noted that while the "vent passage" of amended claim 18 was located on the "domed base portion" of the nipple and "spaced inwardly from the collar," the "air valve slit" of Uehara was not located on the "domed shaped" portion of the nipple but rather on the flat portion of the nipple which lies beneath the collar (when assembled). Applicants further noted that, by lying beneath the collar, the air valve slit of Uehara cannot be "spaced inwardly from the collar" as also called for by amended claim 18.

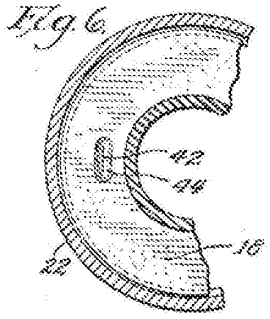
In view of that amendment and argument, the Examiner no longer relies upon Uehara as disclosing "at least one vent passage" which is "extending through the domed base portion" and instead relies upon Lerman. 07.25.2017 Office Action, pp. 3-4. According to the Examiner, Lerman discloses "a vent passage extending through the domed base portion, a valve portion in communication with the vent passage and projecting downwardly from the flange (fig. 1, element 44 and 42 where slit 42, serves as an air vent so that when suction is applied to the nipple the air will pass through the slit, the slit forms two adjacent wall surfaces which forms the lips of the valves)." 07/25/2017 Office Action, p. 3.

Figures 1 and 6 of Lerman are reproduced on the following page.



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As described in the Lerman patent and shown in Fig. 6, elements 44 and 42 are formed in flange portion 18 of the nipple 10. '326, col. 3, ll. 45-50. As described and shown in Figure 1, the flange portion 18 is positioned adjacent the top of the nursing bottle 20 and, when the bottle is assembled, retaining cap 22 is threadedly secured to the bottle and "rests over the flange portion 18 of the nipple." '326 patent, col. 2, ll. 17-26. In other words, in Lerman, elements 44 and 42 are located on the flange portion of the nipple and lie below the retaining cap 22 when the nursing bottle is fully assembled.

The Examiner seems to be interpreting the flange portion 18 of Lerman as part of the domed base portion of claim 18. Applicants believe that the Examiner's attempt to equate the arrangement and location of the elements 44 and 42 of Lerman with the arrangement and location of the vent passage of the present invention is improper and distorts the disclosure of Lerman. Indeed, Applicants submit that, Lerman teaches away from the claimed invention because of the location of elements 44 and 42 on the flange portion 18, which is not on the domed portion of the base portion, requires a cooperation between the retaining cap 22 and flange portion 18 of Lerman that is not found in the claimed invention.

In an effort to clarify the location of the "vent passage" as called for by the present claims, claim 18 has been further amended to call for "at least one vent passage" which specifically extends "through the domed portion of the base portion." By way of this



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amendment, Applicants distinctly point out that the vent passage of amended claim 18 is located on "the domed portion of the base portion" of the nipple and not on the flange portion 18 of Lerman. Lerman does not disclose or suggest a vent passage located on the domed portion of the base portion as called for by amended claim 18.

Moreover, amended claim 18 also calls for the vent passage to be "spaced inwardly from the collar." While that limitation is not mentioned in the Office Action, Applicants submit that Lerman fails to disclose or suggest that element of amended claim 18. As set forth above, elements 44 and 42 of Lerman, which the Examiner relies upon, are located on flange portion 18, which when the nursing bottle is fully assembled, lie below the retaining cap 22. Thus, Lerman discloses elements 44 and 42 which lie below the cap of the bottle, not a vent passage that is "spaced inwardly from the collar" as called for by amended claim 18.

Indeed, Applicants point to the side-by-side comparison of their annotated Fig. 4 with annotated Figure 1 from Lerman, set forth on the following page.

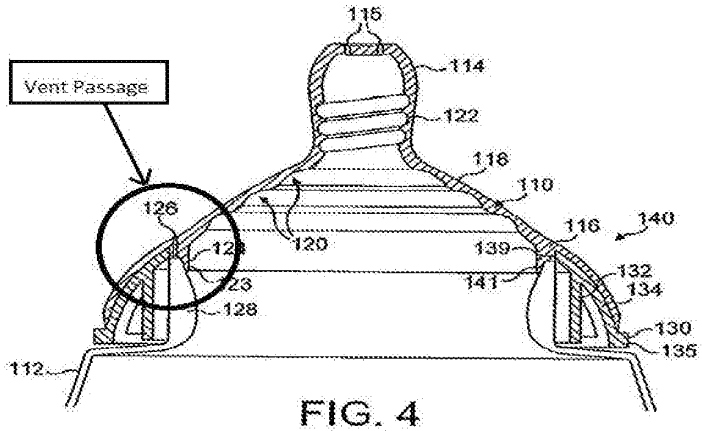
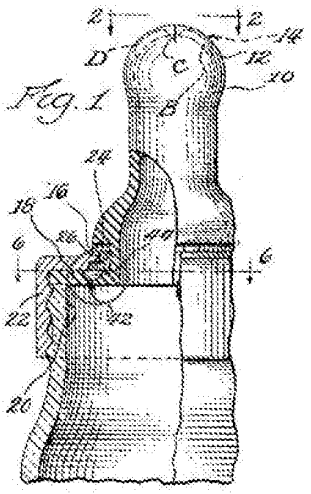



FIG. 4




The "vent passage" of amended 18 is located on the "domed portion of base portion" of the nipple and "spaced inwardly from the collar." Elements 44 and 42 of Lerman (circled in red above), on the other hand, are not located on the "domed shaped" portion of the nipple but rather on the flange portion 18 of the nipple which lies beneath the cap (when assembled). In addition, by lying below the cap (or collar), elements 44 and 42 of Lerman cannot be "spaced inwardly from the collar" as also called for by amended claim 18.



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It is not enough to simply allege a corresponding structure without considering the full extent of the claimed feature. Since Lerman does not disclose or suggest "at least one vent passage" which is "extending through the domed portion of the base portion" and is "spaced inwardly from the collar" as called for by amended claim 18, Lerman does not and cannot cure the deficiencies in Morano that the Examiner has identified. Moreover, as Applicants previously noted, Lerman teaches away from the claimed invention because of the location of elements 44 and 42 requires a cooperation between the retaining cap 22 and flange portion 18 that is not found in the claimed invention.

Thus, there is simply no teaching, suggestion or motivation in Morano or Lerman to combine the references in the manner in which the Examiner has to render amended claim 18 obvious in view of those references. Amended claim 18 is allowable and, since claims 2-8 and 14-17 depend from claim 18, they are allowable for at least the reasons given above.

The Examiner relies on Uehara, in combination with Morano and Lerman, as the basis for finding claim 18, and the claims dependent thereon, obvious. The Examiner specifically relies upon Uehara as disclosing "an elastic teat portion having a variable wall thickness" which includes "an aperture." 7/25/2017 Office Action, p. 4. Applicants submit that combining Uehara with Morano and Lerman does not cure the deficiencies set forth above in the combination of Morano and Lerman and fails to render claim 18 as amended (as well as the dependent claims) obvious.

Indeed, as pointed out above, the Examiner has acknowledged that Uehara did not teach or suggest "at least one vent passage" which is "extending through the domed base portion" and is "spaced inwardly from the collar." Thus, there is no suggestion, teaching or motivation in Morano, Lerman or Uehara to combine those references in the manner in which the Examiner has. None of those references disclose or suggest, as amended claim 18 calls for, "at least one vent passage" which is "extending through the domed portion of the base portion" and is "spaced inwardly from the collar."

Thus, even if Uehara were to disclose "an elastic teat portion having a variable wall thickness" and includes "an aperture," that disclosure does not cure the deficiencies of the combination of Morano and Lerman relied upon by the Examiner. Uehara does not contain any teaching or suggestion of a feeding bottle having a nipple with "at least one vent passage" which



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is "extending through the domed portion of the base portion" and is "spaced inwardly from the collar" as called for by amended claim 18. Absent such a disclosure, the Examiner's reliance on Uehara as showing an elastic teat cannot render amended claim 18 obvious in view of Morano and Lerman.

In short, Uehara does not cure the deficiencies in the combination of Morano and Lerman and cannot and should not be relied upon to render the claims obvious. Amended claim 18 and dependent claims 2-8 and 14-17 are patentable over the references relied upon by the Examiner and the obviousness rejections should be withdrawn.

**Conclusion**

In view of the foregoing, Applicants submit that amended claim 18 and dependent claims 2-8 and claims 14-17 are in condition for allowance and request passage of this application to issue. It is Applicants' understanding that no fees should be due with the filing of this response. However should any additional fees be required, please charge our Deposit Account No. 15-0508.

Respectfully submitted,

Date: September 22, 2017

By /Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

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**CERTIFICATE OF ELECTRONIC TRANSMISSION**

I hereby certify that this paper and any attachments are being transmitted via the U.S. Patent and Trademark (USPTO) Electronic Filing System (EFS-Web) to the USPTO on September 22, 2017.

/Arne M. Olson/
Arne M. Olson



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# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 30447210 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Kathleen A. Lyons/Rebecca Lyons |
| **Filer Authorized By:** | Kathleen A. Lyons |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 22-SEP-2017 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 13:57:57 |
| **Application Type:** | Utility under 35 USC 111(a) |

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## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Response After Final Action | Resp_Final_7_25_17.pdf | 458451<br>a6263e2b98314e77f2a84000becb1d052cc11e2d | no | 11 |

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**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 | 6509 |

2387 7590 07/25/2017

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|---|---|
| COLLADO, CYNTHIA FRANCISCA | |

| ART UNIT | PAPER NUMBER |
|---|---|
| 3781 | |

| MAIL DATE | DELIVERY MODE |
|---|---|
| 07/25/2017 | PAPER |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.



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| Office Action Summary | Application No. 14/551,783 | Applicant(s) REES ET AL. | |
|---|---|---|---|
| | Examiner CYNTHIA COLLADO | Art Unit 3781 | AIA (First Inventor to File) Status No |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --*

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE *3* MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1)☒ Responsive to communication(s) filed on *4/13/2017*.

☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2a)☒ This action is **FINAL**. 2b)☐ This action is non-final.

3)☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

4)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims***

5)☒ Claim(s) *2-8 and 14-18* is/are pending in the application.

5a) Of the above claim(s) _____ is/are withdrawn from consideration.

6)☐ Claim(s) _____ is/are allowed.

7)☒ Claim(s) *2-8 and 14-18* is/are rejected.

8)☐ Claim(s) _____ is/are objected to.

9)☐ Claim(s) _____ are subject to restriction and/or election requirement.

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

**Application Papers**

10)☐ The specification is objected to by the Examiner.

11)☒ The drawing(s) filed on *11/24/2014* is/are: a)☒ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

a)☐ All b)☐ Some** c)☐ None of the:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1) ☒ Notice of References Cited (PTO-892)

2) ☐ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date _____.

3) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ .

4) ☐ Other: _____.



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The present application is being examined under the pre-AIA first to invent provisions.

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 2-8, 14-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Morano (US Patent No.6, 959, 827) in view of Lerman(US Patent No.2,688,326) and further in view of Uehara (US 2003/0089676).

**[Claim 18]** Morano discloses a nipple (see fig below) having a teat and an areola portion (see teat above areola in fig below), a base portion having a wall thickness in a

domed configuration, a flange having a downwardly depending cylindrical portion and connected to the base portion(see fig below),

the vessel having: an open top with a first diameter(see fig below), a body with a second diameter(see fig below), the body being connected with the open top(see fig below), and the first diameter of the open top being less than the second diameter of the body(see fig below); the collar having: an internally threaded cylindrical portion, a downwardly domed peripheral portion surrounding and extending from the cylindrical portion(see fig below), and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel and the flange being arranged to seal with a vessel(see fig below),the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange (see fig below).

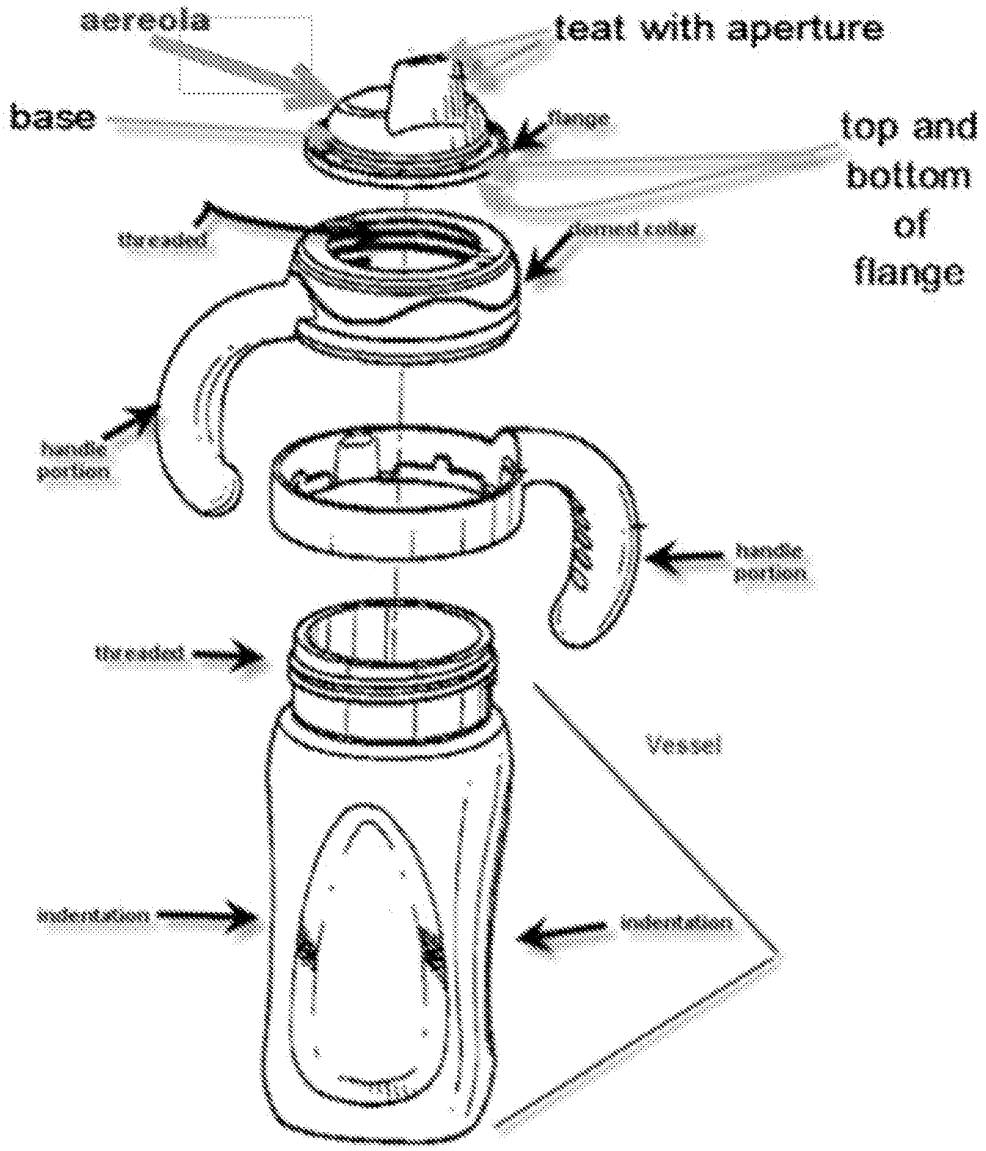
Morano fails to show a vent passage extending through the domed base portion, a valve portion in communication with the vent passage and projecting downwardly from the flange.

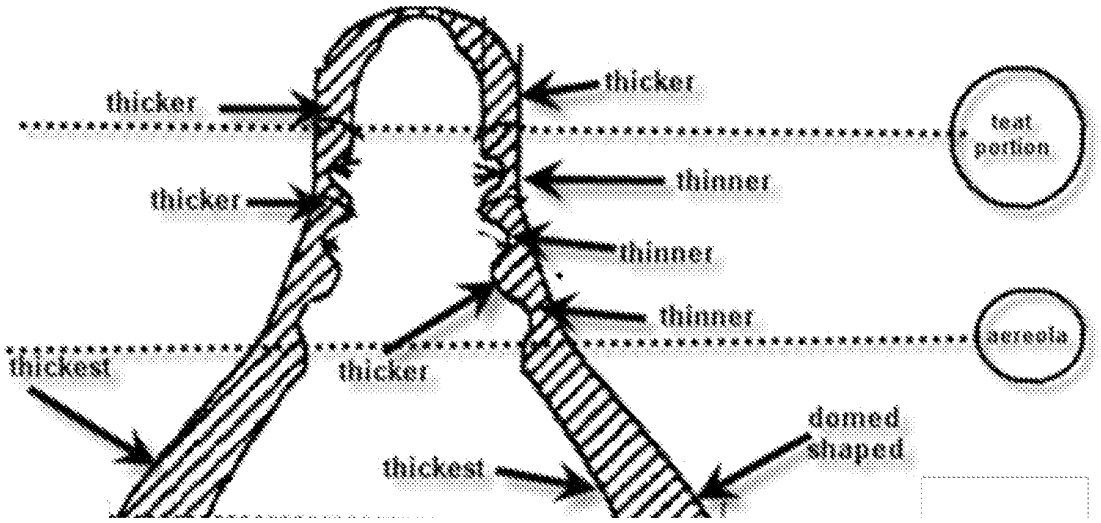
Lerman discloses a vent passage extending through the domed base portion, a valve portion in communication with the vent passage and projecting downwardly from the flange (fig 1, element 44 and 42 where slit 42, serves as an air vent so that when suction is applied to the nipple the air will pass through the slit, the slit forms two adjacent wall surfaces which form the lips of the valves). Based on the teaching of Lerman , it would have been obvious to one having ordinary skill in the art at the time the invention was made to have a vent passage extending through the domed base

portion, a valve portion in communication with the vent passage and projecting downwardly from the flange (fig 1, element 44 and 42 , see slit 42 on the domed portion in fig 1 which serves as an air vent so that when suction is applied to the nipple the air will pass through the slit, the slit forms two adjacent wall surfaces which form the lips of the valves). One advantage would be to allow the required amount of fluid to pass into the infant's mouth without gagging and without tiring the infant (col 1, lines 11-18). The combination above fails to disclose a teat portion having a variable wall thickness.

The reference to Uehara discloses an elastic teat portion having a variable wall thickness (see fig below) including an aperture (see fluid opening),

Based on the teaching of Uehara it would have been obvious to one having ordinary skill in the art at the time the invention was made to a teat portion having a variable wall thickness (see fig below) including an aperture(see fluid opening)to allow the nipple to behave in the same manner as the nipple of the mother.


areola
teat with aperture
base
top and bottom of flange
Vessel




**[Claim 2]** Morano as modified discloses wherein the vessel has two indentations (see **Morano** in the fig above).

**[Claim 3]** Morano as modified discloses the claimed invention of claim 18 except for the flange forming an elastomer sealing ring sealing against the top of the vessel (see **Uehara** in fig above also [0072]). One advantage would be to prevent leakage. Based on the teaching of Uehara, it would have been obvious to one having ordinary skill in the art at the time the invention was made to an elastomer sealing ring sealing against the top of the vessel (see **Uehara** in fig above also [0072] where the nipple including the edge is made of rubber). One advantage would be to prevent leakage of the contents within the vessel.

**[Claim 4]** Morano as modified discloses wherein the open top of the vessel comprises a vessel neck (see **Morano**, in the fig above).

**[Claim 5]** Morano as modified discloses wherein the open top of the vessel comprises an outwardly threaded vessel neck (see **Morano**, in the fig above).

**[Claim 6]** Morano as modified discloses wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel (see **Morano**, in the fig above).

**[Claim 7]** Morano as modified discloses wherein the collar comprises polypropylene (see **Morano**, col 3, lines 27-30).

**[Claim 8]** Morano as modified discloses wherein the air valve is a one-way air-inlet valve (See, **Lerman** fig 1, element 44 and 42 where slit 42, serves as an air vent so that when suction is applied to the nipple the air will pass through the slit, the slit forms two adjacent wall surfaces which form the lips of the valves). One advantage would be to allow the required amount of fluid to pass into the infant's mouth without gagging and without tiring the infant (col 1, lines 11-18).

**[Claim 14]** Morano as modified discloses a teat having a texture, however the combination of claim 18 fails to disclose an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture. At the time the invention was made, it would have been an obvious matter of design choice to a person of ordinary skill in the art to have a first texture on an outer surface of the teat portion and an outer surface of the areola portion have a second texture of the combination of claim 18 because Applicant has not disclosed that having a first texture on an outer surface of the teat portion and an outer surface of the areola portion have a second texture provides an advantage, is used for a particular purpose, or solves a stated

problem. One of ordinary skill in the art, furthermore, would have expected the teat in the combination above, and applicant's invention, to perform equally well with either the outer surface texture taught by the combination above or the claimed 14 text outer surface texture because both teats would perform the same function of allowing the nipple to behave in the same manner as the nipple of the mother

Therefore, it would have been prima facie obvious to modify the combination above to obtain the invention as specified in claim 14 because such a modification would have been considered a mere design consideration which fails to patentably distinguish over the prior art of the combination above.

**[Claim 15]** Morano as modified discloses wherein an inner surface of the areola portion has at least one groove extending circumferentially (see **Uehara** grooves in fig above). One advantage would be to allow the nipple to behave in the same manner as the nipple of the mother.

**[Claim 16]** Morano as modified discloses wherein the teat walls decrease in thickness from the base portion through to the teat portion (see **Uehara** fig above). One advantage would be to allow the nipple to behave in the same manner as the nipple of the mother.

**[Claim 17]** Morano as modified discloses wherein the air valve is located below a top edge of the collar (see Lerman fig above). One advantage would be to allow the

required amount of fluid to pass into the infant's mouth without gagging and without tiring the infant.

## Response to Arguments

Applicant's arguments with respect to claims 2-8 and 14-18 have been considered but are moot because the arguments do not apply to any of the references being used in the current rejection.

## *Conclusion*

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CYNTHIA COLLADO whose telephone number is (571)272-8315. The examiner can normally be reached on mon-fri 8-5.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fenn Mathew can be reached on (571)2724978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

CFC
/CYNTHIA COLLADO/
Examiner, Art Unit 3781

/Fenn C Mathew/
Supervisory Patent Examiner, Art Unit 3781

| Notice of References Cited | Application/Control No. 14/551,783 | Applicant(s)/Patent Under Reexamination REES ET AL. | |
|---|---|---|---|
| | Examiner CYNTHIA COLLADO | Art Unit 3781 | Page 1 of 1 |

**U.S. PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | CPC Classification | US Classification |
|---|---|---|---|---|---|---|
| * | A | US-6,959,827 B2 | 11-2005 | Morano; Emanuel P. | A47G19/2272 | 215/11.1 |
| * | B | US-2,688,326 A | 09-1954 | LERMAN MARTIN D | A61J11/0015 | 215/11.1 |
| * | C | US-2003/0089676 A1 | 05-2003 | Uehara, Hiroyuki | A61J11/006 | 215/11.1 |
| | D | US- | | | | |
| | E | US- | | | | |
| | F | US- | | | | |
| | G | US- | | | | |
| | H | US- | | | | |
| | I | US- | | | | |
| | J | US- | | | | |
| | K | US- | | | | |
| | L | US- | | | | |
| | M | US- | | | | |

**FOREIGN PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | CPC Classification |
|---|---|---|---|---|---|---|
| | N | | | | | |
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| | P | | | | | |
| | Q | | | | | |
| | R | | | | | |
| | S | | | | | |
| | T | | | | | |

**NON-PATENT DOCUMENTS**

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | |
| | V | |
| | W | |
| | X | |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



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| Search Notes | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14551783 | REES ET AL. |
| | Examiner | Art Unit |
| | CYNTHIA COLLADO | 3781 |

| CPC- SEARCHED | | |
|---|---|---|
| Symbol | Date | Examiner |
| A 61J 9/04 A 61J 11/02 A 61J 11/045, A 61J 11/04, A 61J 9/00 | 4/28/2015 | CFC |

| CPC COMBINATION SETS - SEARCHED | | |
|---|---|---|
| Symbol | Date | Examiner |
| | | |

| US CLASSIFICATION SEARCHED | | | |
|---|---|---|---|
| Class | Subclass | Date | Examiner |
| 215 | 11.5,11.1,396,398,11.6,276,11.4 | 4/28/2015 | CFC |

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

| SEARCH NOTES | | |
|---|---|---|
| Search Notes | Date | Examiner |
| Discussed application with SPE Fenn Mathew | 4/28/2015 | CFC |
| Discussed application with SPE Fenn Mathew | 2/1/2016 | CFC |
| Discussed application with SPE Fenn Mathew | 12/20/2016 | CFC |
| Inventor name search performed | 7/10/217 | CFC |
| Discussed application with SPE Fenn Mathew | 7/10/2017 | CFC |
| EAST search updated and attached | 7/10/2017 | CFC |

| INTERFERENCE SEARCH | | | |
|---|---|---|---|
| US Class/ CPC Symbol | US Subclass / CPC Group | Date | Examiner |
| | | | |



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**EAST Search History**

**EAST Search History (Prior Art)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
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| L2 | 151 | domed with nipple | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:16 |
| L3 | 62 | L2 and valve | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:17 |
| L4 | 49 | domed with teat | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:21 |
| L5 | 15 | L4 and valve | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:21 |
| L6 | 15 | L4 and valve | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:21 |
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| L8 | 2339 | nipple with valve same vent | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; | OR | OFF | 2017/07/17 16:43 |



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| | | | | | | |
|---|---|---|---|---|---|---|
| | | | IBM_TDB | | | |
| L9 | 88 | nipple with valve same vent same dome$6 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/17 16:43 |
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| S2 | 13 | "3097757" | USPAT | OR | OFF | 2014/08/04 16:40 |
| S3 | 2808 | (220/714,502,505,524,251,717,703).CCLS. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 17:10 |
| S4 | 2 | ("20080210655").PN. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 17:14 |
| S5 | 5 | ("2008/0210655").URPN. | USPAT | OR | OFF | 2014/08/04 17:15 |
| S7 | 12 | "6959827" | USPAT | OR | OFF | 2015/04/26 14:01 |
| S8 | 0 | "20030089676" | USPAT | OR | OFF | 2015/04/26 14:03 |
| S9 | 5 | "20030089676" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/26 14:03 |
| S10 | 3 | "13364557" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/26 14:34 |
| S11 | 13 | "6959827" | US-PGPUB; USPAT; | OR | OFF | 2015/04/30 17:12 |



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| S14 | 3 | "20130200031" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:02 |
| S15 | 0 | ("2013/0200031").URPN. | USPAT | OR | OFF | 2015/04/30 22:02 |
| S16 | 1237 | A61J9/04.CPC. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/05/03 20:22 |
| S17 | 10 | ("2003/0089676").URPN. | USPAT | OR | OFF | 2017/07/12 18:43 |
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|---|---|---|---|---|---|---|
| | | "4993568" \| "5004473" \| "5013321" \| "5035340" \| "5069351" \| "5072842" \| "5101991" \| "5114374" \| "5117994" \| "5207338" \| "5322031" \| "5474028" \| "5499729" \| "5535899" \| "5542922" \| "5544766" \| "5553726" \| "5598809" \| "5673806" \| "5688238" \| "5690679" \| "6003698" \| "6032810" \| "6161710" \| "6241110" \| "6253935" \| "6270519" \| "6343704" \| "6588613" \| "6675981" \| "6736830" \| "6745912" \| "6818162" \| "6871751" \| "6968964" \| "D273515" \| "D330938" \| "D371848" \| "D417735").PN. OR ("7712617").URPN. | | | | |
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| S21 | 8 | "10696910" | US-PGPUB; USPAT; USOCR; | OR | OFF | 2017/07/12 18:48 |



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| S23 | 36 | "4591606" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2017/07/12 20:48 |

**EAST Search History (Interference)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
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**7/17/2017 6:28:59 PM**
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| Index of Claims | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14551783 | REES ET AL. |
| | **Examiner** | **Art Unit** |
| | CYNTHIA COLLADO | 3781 |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| ✓ | Rejected | - | Cancelled | N | Non-Elected | A | Appeal |
| = | Allowed | ÷ | Restricted | I | Interference | O | Objected |

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

| CLAIM | | DATE | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Final | Original | 05/03/2015 | 02/03/2016 | 12/27/2016 | 07/17/2017 | | | | | |
| | 1 | ✓ | ✓ | - | - | | | | | |
| | 2 | ✓ | ✓ | ✓ | ✓ | | | | | |
| | 3 | ✓ | ✓ | ✓ | ✓ | | | | | |
| | 4 | ✓ | ✓ | ✓ | ✓ | | | | | |
| | 5 | ✓ | ✓ | ✓ | ✓ | | | | | |
| | 6 | ✓ | ✓ | ✓ | ✓ | | | | | |
| | 7 | ✓ | ✓ | ✓ | ✓ | | | | | |
| | 8 | ✓ | ✓ | ✓ | ✓ | | | | | |
| | 9 | ✓ | ✓ | - | - | | | | | |
| | 10 | ✓ | ✓ | - | - | | | | | |
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| | 13 | ✓ | ✓ | - | - | | | | | |
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| | 15 | ✓ | ✓ | ✓ | ✓ | | | | | |
| | 16 | ✓ | ✓ | ✓ | ✓ | | | | | |
| | 17 | ✓ | ✓ | ✓ | ✓ | | | | | |
| | 18 | | | ✓ | ✓ | | | | | |



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Applicants: | Rees et al. | ) | Confirmation No.: 6509 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Group Art Unit: 3643 |
| | | ) | |
| Filed: | November 24, 2014 | ) | Examiner: Cynthia F. Collado |
| | | ) | |
| For: | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | ) | Attorney Docket No.: JM-4.2 |

**RESPONSE TO OFFICE ACTION**

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This communication is submitted in response to the Office Action mailed February 8, 2017 in the above-identified application.

**Amendments to the Claims** begin on page 2 of this document.

**Remarks** begin on page 4 of this document.

**AMENDMENTS TO THE CLAIMS**

1. (Canceled).

2. (Previously presented) The feeding bottle of claim 18 wherein the vessel has two indentations.

3. (Previously presented) The feeding bottle of claim 18 wherein the flange forms an elastomer sealing ring sealing against the top of the vessel.

4. (Previously presented) The feeding bottle of claim 18 wherein the open top of the vessel comprises a vessel neck.

5. (Previously presented) The feeding bottle of claim 18 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

6. (Previously presented) The feeding bottle of claim 18 wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel.

7. (Previously presented) The feeding bottle of claim 18 wherein the collar comprises polypropylene.

8. (Previously presented) The feeding bottle of claim 18 wherein the air valve is a one-way air-inlet valve.

9. (Canceled).

10. (Canceled).

11. (Canceled).

12. (Canceled).

13. (Canceled).

14. (Previously presented) The feeding bottle of claim 18 wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture.

15. (Previously presented) The feeding bottle of claim 18 wherein an inner surface of the areola portion has at least one groove extending circumferentially.

16. (Previously presented) The feeding bottle of claim 18 wherein the teat walls decrease in thickness from the base portion through to the teat portion.

17. (Previously presented) The feeding bottle of claim 18 wherein the air valve is located below a top edge of the collar.



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18. (Currently amended) A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

at least one vent passage extending through the domed base portion and spaced inwardly from the collar,

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion (116) and the flange (141) and

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.



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## REMARKS

**Status of the Application**

1. Claims 1 and 9-13 were previously canceled.
2. Claims 2-8 and 14-18 are pending.
3. Claim 18 has been amended.
4. No new matter has been added.

**Obviousness Rejection of Claims 2-18 and 14-18**

In the present Office Action, claims 2-8 and 14-18 were rejected under 35 USC 103(a) as being unpatentable over Morano (U.S. Patent No. 6,959,827) in view of Uehara (U.S. Pub. No. 2003/0089676).

A patent may not be granted where "the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains." *KSR Int'l Co. v. Teleflex Inc.*, 127 S.Ct. 1727, 1734 (2007); citing 35 U.S.C. §103. The question of obviousness is resolved on the basis of underlying factual determinations including: (1) the scope and content of the prior art. (2) any differences between the claimed subject matter and the prior art, and (3) the level of skill in the art. *Graham v. John Deere Co.*,383 U.S. 1, 17-18, 148 USPQ 459, 467 (1966).

"Often, it will be necessary for a court to look to interrelated teachings of multiple patents; the effects of demands known to the design community or present in the marketplace; and the background knowledge possessed by a person having ordinary skill in the art, all in order to determine whether there was an apparent reason to combine the known elements in the fashion claimed by the patent at issue." *KSR*, 127 S.Ct. at 1740-41. "To facilitate review, this analysis should be made explicit." *Id.* "[R]ejections on obviousness grounds cannot be sustained by mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness." *In re Kahn*, 441 F.3d 977, 988, 78 USPQ2d 1329, 1336 (Fed. Cir. 2006).

It is the Examiner's burden to establish prima facie obviousness. *See, In re Rijckaert*, 9 F.3d 1531, 1532 (Fed. Cir. 1993). First, the Examiner must demonstrate that the cited prior art



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includes "a suggestion of all the elements in a claim." *Ex parte Alexander*, 86 USPQ2d 1120, 1122 (Bd. Pat. App. & Int. 2007); citing *CFMT, Inc. v. Yieldup Int'l. Corp.*, 349 F.3d 1333, 1342 (Fed. Cir. 2003). Second, the Examiner must provide evidence that would demonstrate "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does." *Id.* citing *KSR*, 127 S.Ct. at 1741.

Applicants continue to disagree with the Examiner's positions, but, in an effort to move prosecution of this application along, Applicants have amend claim 18, the only independent claim, to call for a nipple having "a base portion having a wall thickness in a domed configuration" and "at least one vent passage" which is specifically "extending through the domed base portion and spaced inwardly from the collar." That configuration is shown in annotated Fig. 4 reproduced below.

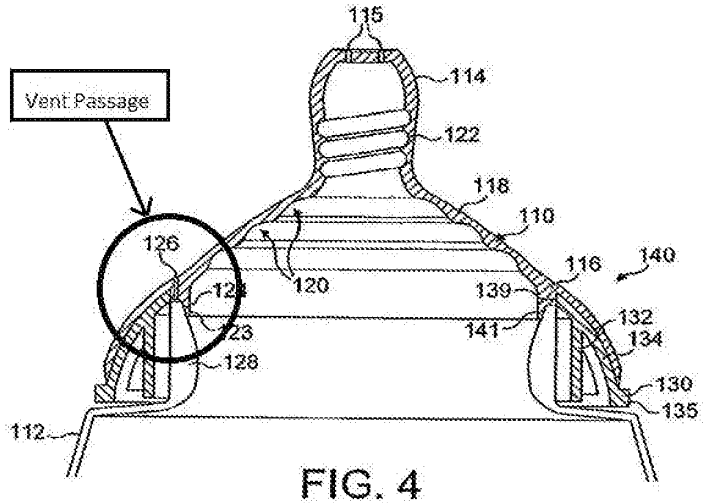



FIG. 4

As amended, claim 18 calls for the vent passage to be located to extend through the domed base portion of the nipple, a feature not taught or suggested by the prior art relied upon by the Examiner.

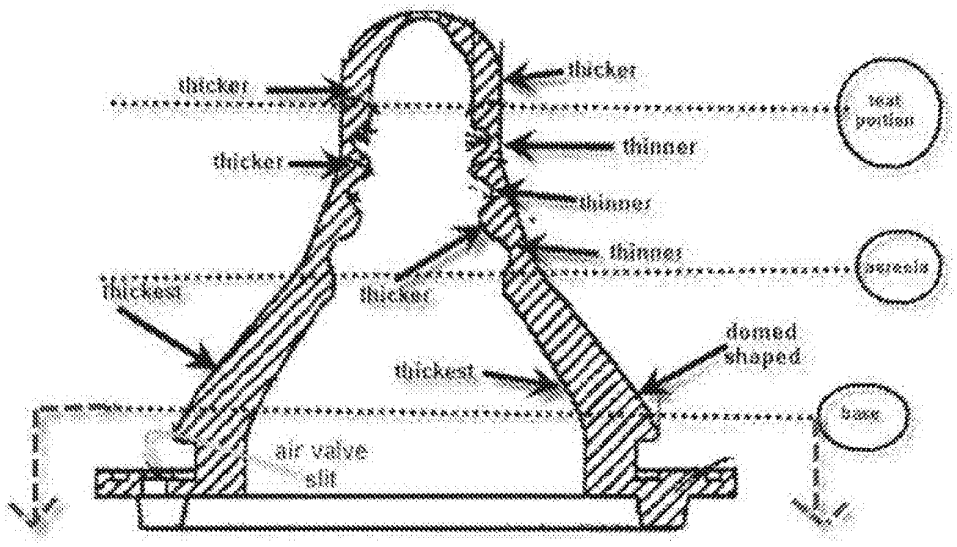
Applicants respectfully traverse the obviousness rejection based on Morano and Uehara for the reasons discussed below.

While Applicants do not believe that Morano teaches or suggests the elements relied upon by the Examiner, Applicants note that the Examiner has acknowledged that "Morano fails to show a teat portion having a variable wall thickness including an aperture, a vent passage



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extending through the base portion, a valve portion in communication with the vent passage and projecting downwardly from the flange" as called for by claim 18. 02/08/2017 Office Action, p. 3. According to the Examiner, Uehara discloses "an elastic teat portion having a variable wall thickness" which includes "an aperture" and "a valve portion in communication with the vent passage and projecting downwardly from the flange" as shown in the figure (annotated by the Examiner) and reproduced below. 02/08/2017 Office Action, pp. 4 and 6.




Apparently, according to the Examiner's annotations, the "air valve slit" of Uehara is located in the "base" portion of the nipple and therefore, when combined with Morano, the combination renders original claim 18 obvious.

Applicants believe that the Examiner's attempt to equate the arrangement and location of the "air valve slit" of Uehara with the arrangement and location of the vent passage of the present invention is improper and distorts the disclosure of Uehara. In an effort to clarify the arrangement and location of the "vent passage" as called for by the present claims, claim 18 has been amended to require that the nipple include "at least one vent passage" that is "extending through the domed base portion [of the nipple] and spaced inwardly from the collar." Uehara does not disclose or suggest such an arrangement.

Indeed, Applicants point to the side-by-side comparison of their annotated Fig. 4 with the Examiner's annotated figure from Uehara, set forth on the following page.



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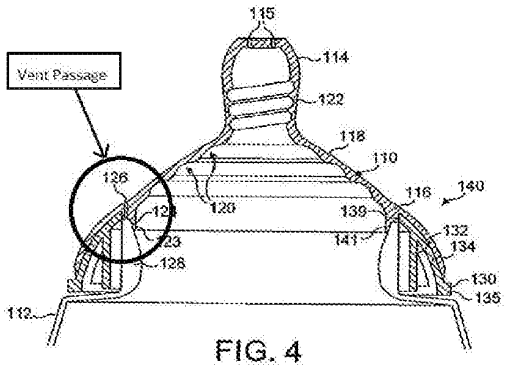
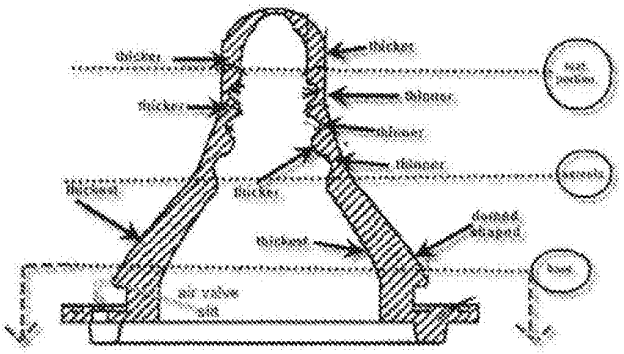



FIG. 4



The "vent passage" of amended 18 is located on the "domed base portion" of the nipple and "spaced inwardly from the collar." The "air valve slit" of Uehara, on the other hand, is not located on the "domed shaped" portion of the nipple but rather on the flat portion of the nipple which lies beneath the collar (when assembled). By lying beneath the collar, the air valve slit of Uehara cannot be "spaced inwardly from the collar" as also called for by amended claim 18.

The assembled bottle of Uehara is shown in Fig. 12 reproduced below.

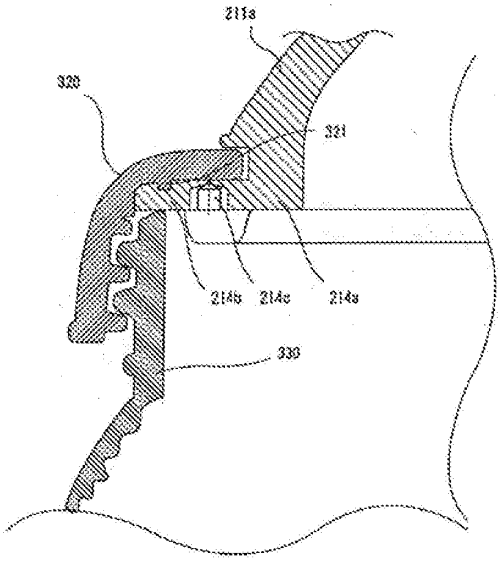



Fig. 12 shows the relationship between the cap 320 and feeding bottle 330, with the cap 320 covering the base portion 214a in which the slit ventilation valve 214c is provided. Uehara, Paragraphs [0220]-[0224].

The air valve slit of Uehara can thus be blocked when the nipple, collar and bottle are assembled. Applicants' improved design avoids such blockage problems present in Uehara



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because the vent passage of Applicants' design is located in the "domed spaced portion" of the nipple and "spaced inwardly from the collar."

As Applicants have previously noted, it is not enough to simply allege a corresponding structure without considering the full extent of the claimed feature. Since Uehara does not disclose or suggest "at least one vent passage" which is "extending through the domed base portion and spaced inwardly from the collar" as called for by amended claim 18, it does not and cannot cure the deficiencies in Morano identified by the Examiner.

There is simply no teaching, suggestion or motivation in Morano or Uehara to combine the references in the manner in which the Examiner has to render amended claim 18 obvious in view of those references. Amended claim 18 is allowable and, since claims 2-8 and 14-17 depend from claim 18, they are allowable for at least the reasons given above.

**Conclusion**

In view of the foregoing, Applicants submit that amended claim 18, claims 2-8 and claims 14-17 are in condition for allowance and request passage of this application to issue. It is Applicants' understanding that no fees should be due with the filing of this response. However should any additional fees be required, please charge our Deposit Account No. 15-0508.

Respectfully submitted,

Date: April 13, 2017

By /Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

OLSON & CEPURITIS, LTD.
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Attorneys for Applicants



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**CERTIFICATE OF ELECTRONIC TRANSMISSION**

I hereby certify that this paper and any attachments are being transmitted via the U.S. Patent and Trademark (USPTO) Electronic Filing System (EFS-Web) to the USPTO on April 13, 2017.

/Arne M. Olson/
Arne M. Olson



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# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 28916014 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Kathleen A. Lyons/Rebecca Lyons |
| **Filer Authorized By:** | Kathleen A. Lyons |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 13-APR-2017 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 12:00:43 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

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| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Applicant Arguments/Remarks Made in an Amendment | JM_4_2_Resp_to_OA.pdf | 402335<br>29f89c5603074ae7a675b006e7bd043f47e eba5e | no | 9 |

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| PATENT APPLICATION FEE DETERMINATION RECORD<br>Substitute for Form PTO-875 | Application or Docket Number<br>14/551,783 | Filing Date<br>11/24/2014 | ☐ To be Mailed |
|---|---|---|---|

**ENTITY**: ☒ LARGE ☐ SMALL ☐ MICRO

## APPLICATION AS FILED – PART I

| | (Column 1) | (Column 2) | | |
|---|---|---|---|---|
| FOR | NUMBER FILED | NUMBER EXTRA | RATE ($) | FEE ($) |
| ☐ BASIC FEE (37 CFR 1.16(a), (b), or (c)) | N/A | N/A | N/A | |
| ☐ SEARCH FEE (37 CFR 1.16(k), (i), or (m)) | N/A | N/A | N/A | |
| ☐ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q)) | N/A | N/A | N/A | |
| TOTAL CLAIMS (37 CFR 1.16(i)) | minus 20 = | * | X $ = | |
| INDEPENDENT CLAIMS (37 CFR 1.16(h)) | minus 3 = | * | X $ = | |
| ☐ APPLICATION SIZE FEE (37 CFR 1.16(s)) | If the specification and drawings exceed 100 sheets of paper, the application size fee due is $310 ($155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | |
| ☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) | | | | |
| * If the difference in column 1 is less than zero, enter "0" in column 2. | | | TOTAL | |

## APPLICATION AS AMENDED – PART II

| AMENDMENT | 04/13/2017 | (Column 1) CLAIMS REMAINING AFTER AMENDMENT | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | RATE ($) | ADDITIONAL FEE ($) |
|---|---|---|---|---|---|---|---|
| | Total (37 CFR 1.16(i)) | * 12 | Minus | ** 20 | = 0 | X $80 = | 0 |
| | Independent (37 CFR 1.16(h)) | * 1 | Minus | ***3 | = 0 | X $420 = | 0 |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | |
| | | | | | | TOTAL ADD'L FEE | 0 |

| AMENDMENT | | (Column 1) CLAIMS REMAINING AFTER AMENDMENT | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | RATE ($) | ADDITIONAL FEE ($) |
|---|---|---|---|---|---|---|---|
| | Total (37 CFR 1.16(i)) | * | Minus | ** | = | X $ = | |
| | Independent (37 CFR 1.16(h)) | * | Minus | *** | = | X $ = | |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | |
| | | | | | | TOTAL ADD'L FEE | |

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE
LINDA HUMES

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**
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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 | 6509 |

2387 7590 02/08/2017

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| EXAMINER | |
|---|---|
| COLLADO, CYNTHIA FRANCISCA | |

| ART UNIT | PAPER NUMBER |
|---|---|
| 3781 | |

| MAIL DATE | DELIVERY MODE |
|---|---|
| 02/08/2017 | PAPER |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.



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| Office Action Summary | Application No. 14/551,783 | Applicant(s) REES ET AL. | |
|---|---|---|---|
| | Examiner CYNTHIA COLLADO | Art Unit 3781 | AIA (First Inventor to File) Status No |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --*

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE *3* MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1)☒ Responsive to communication(s) filed on *5/10/2016*.

☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2a)☐ This action is **FINAL**. 2b)☒ This action is non-final.

3)☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

4)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims***

5)☒ Claim(s) *2-8 and 14-18* is/are pending in the application.

5a) Of the above claim(s) _____ is/are withdrawn from consideration.

6)☐ Claim(s) _____ is/are allowed.

7)☒ Claim(s) *2-8 and 14-18* is/are rejected.

8)☐ Claim(s) _____ is/are objected to.

9)☐ Claim(s) _____ are subject to restriction and/or election requirement.

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

**Application Papers**

10)☐ The specification is objected to by the Examiner.

11)☒ The drawing(s) filed on *11/24/2014* is/are: a)☒ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

a)☐ All b)☐ Some** c)☐ None of the:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1) ☒ Notice of References Cited (PTO-892)

2) ☐ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date _____.

3) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ .

4) ☐ Other: _____.



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The present application is being examined under the pre-AIA first to invent provisions.

## DETAILED ACTION

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 4/26/2006 has been entered.

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 2-8, 14-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Morano (US Patent No.6, 959, 827) in view of Uehara (US 2003/0089676).

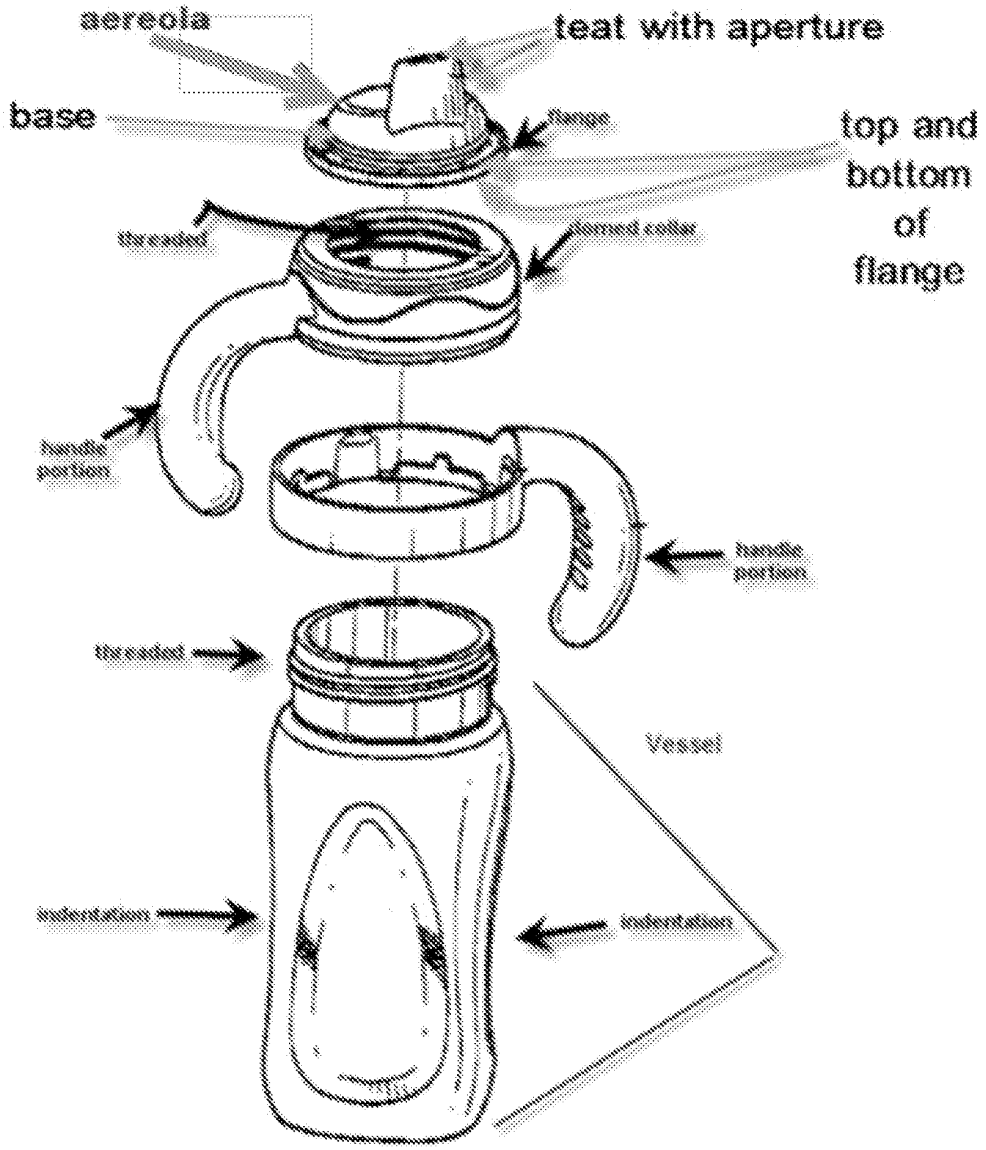
**[Claim 18]** Morano discloses a nipple (see fig below) having a teat and an areola portion (see teat above areola in fig below), a base portion having a wall thickness in a domed configuration,a flange having a downwardly depending cylindrical portion and connected to the base portion(see fig below),
the vessel having: an open top with a first diameter(see fig below), a body with a second diameter(see fig below), the body being connected with the open top(see fig below), and the first diameter of the open top being less than the second diameter of the body(see fig below); the collar having: an internally threaded cylindrical portion, a downwardly domed peripheral portion surrounding and extending from the cylindrical portion(see fig below), and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel and the flange being arranged to seal with a vessel(see fig below),the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange (see fig below).
Morano fails to show a teat portion having a variable wall thickness including an aperture, a vent passage extending through the base portion, a valve portion in communication with the vent passage and projecting downwardly from the flange.

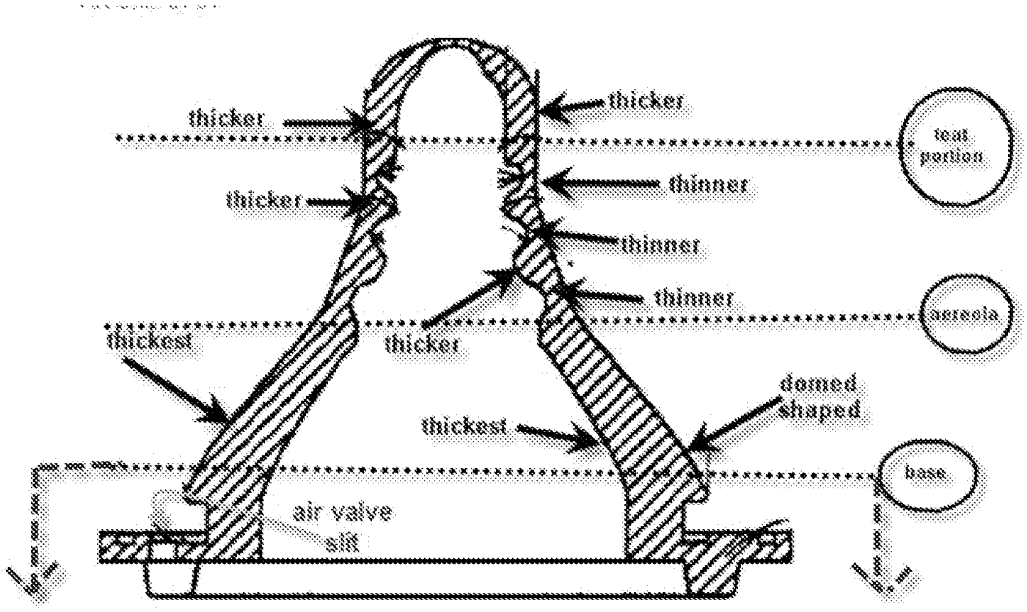
The reference to Uehara discloses an elastic teat portion having a variable wall thickness (see fig below) including an aperture (see fluid opening),

a valve portion in communication with the vent passage and projecting downwardly from the flange (see fig below,[0224]).

Based on the teaching of Uehara it would have been obvious to one having ordinary skill in the art at the time the invention was made to a teat portion having a variable wall thickness (see fig below) including an aperture(see fluid opening),

a valve portion in communication with the vent passage and projecting downwardly from the flange (see fig below,[0224]) to allow the nipple to behave in the same manner as the nipple of the mother.


areola
teat with aperture
base
top and bottom of flange
Vessel




**[Claim 2]** Morano in view of Uehara discloses wherein the vessel has two indentations (see **Morano** in the fig above).

**[Claim 3]** Morano in view of Uehara discloses wherein the flange forms an elastomer sealing ring sealing against the top of the vessel (see Uehara in fig above also [0072]).

**[Claim 4]** Morano in view of Uehara discloses wherein the open top of the vessel comprises a vessel neck (see **Morano**, in the fig above).

**[Claim 5]** Morano in view of Uehara discloses wherein the open top of the vessel comprises an outwardly threaded vessel neck (see **Morano**, in the fig above).

**[Claim 6]** Morano in view of Uehara discloses wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel (see **Morano**, in the fig above).

**[Claim 7]** Morano in view of Uehara discloses wherein the collar comprises polypropylene (see Morano, col 3, lines 27-30).

**[Claim 8]** Morano in view of Uehara discloses wherein the air valve is a one-way air-inlet valve (see **Uehara**, [0137]).

**[Claim 14]** Morano in view of Uehara discloses a teat having a texture.
Morano in view of Uehara do not disclose an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture. At the time the invention was made, it would have been an obvious matter of design choice to a person of ordinary skill in the art to have a first texture on an outer surface of the teat portion and an outer surface of the areola portion have a second texture of the combination of claim 18 because Applicant has not disclosed that having a first texture on an outer surface of the teat portion and an outer surface of the areola portion have a second texture provides an advantage, is used for a particular purpose, or solves a stated problem. One of ordinary skill in the art, furthermore, would have expected the teat in the combination above, and applicant's invention, to perform equally well with either the

outer surface texture taught by the combination above or the claimed 14 text outer surface texture because both teats would perform the same function of allowing the nipple to behave in the same manner as the nipple of the mother

Therefore, it would have been prima facie obvious to modify the combination above to obtain the invention as specified in claim 14 because such a modification would have been considered a mere design consideration which fails to patentably distinguish over the prior art of the combination above.

**[Claim 15]** Morano in view of Uehara discloses wherein an inner surface of the areola portion has at least one groove extending circumferentially (see Uehara fig above).

**[Claim 16]** Morano in view of Uehara discloses wherein the teat walls decrease in thickness from the base portion through to the teat portion (see Uehara fig above).

**[Claim 17]** Morano in view of Uehara discloses wherein the air valve is located below a top edge of the collar (see Uehara fig above).

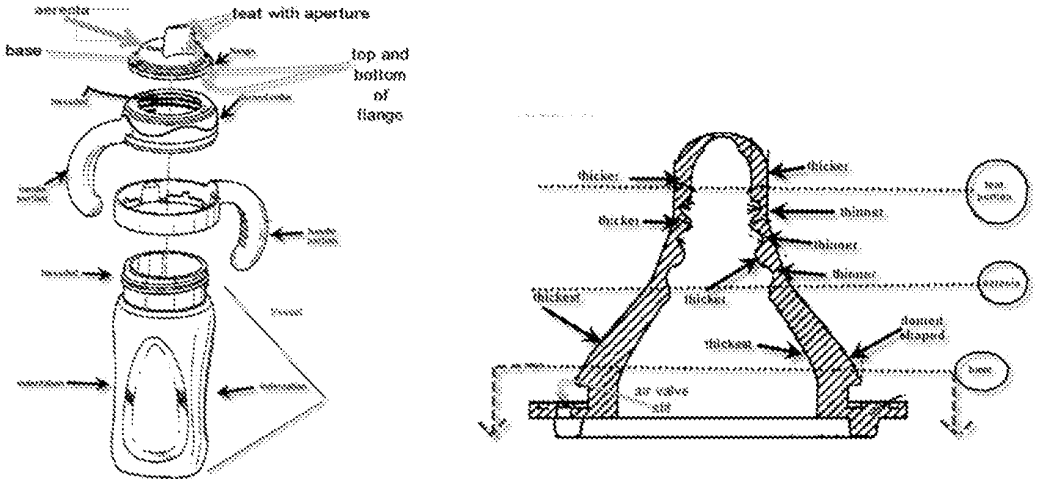
### ***Response to Arguments***

Applicant's arguments filed 5/10/2016 have been fully considered but they are not persuasive.

Applicant argues that Morano does not teach, suggest or imply the claim limitations of a feeding bottle having a nipple with a teat portion having a variable wall thickness including an aperture, at least one vent passage extending through the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange and securing the nipple to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange. The examiner respectfully disagrees. The examiner attached an illustration below where is shows that Morano discloses a nipple having a teat and an areola portion a base portion having a wall thickness in a domed configuration,

a flange having a downwardly depending cylindrical portion and connected to the base portion, the vessel having: an open top with a first diameter, a body with a second diameter(see fig below), the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body; the collar having: an internally threaded cylindrical portion, a downwardly domed peripheral portion surrounding and extending from the cylindrical portion and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel and the flange being arranged to seal with a vessel. However, Morano does fails to show a teat portion having a variable wall thickness including an aperture, a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar. The reference to Uehara as illustrated below discloses an elastic teat portion having a variable wall thickness including an aperture, a slit air valve connected to the base portion wherein the slit valve

extends generally transverse to the base portion and opens under negative pressure within the feeding bottle (see fig below, [0224]), a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar (see fig below, [0224]). Based on the teaching of Uehara it would have been obvious to one having ordinary skill in the art at the time the invention was made to a teat portion having a variable wall thickness including an aperture, a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar, [0224] to allow the nipple to behave in the same manner as the nipple of the mother.




In response to applicant's argument that the references must explicitly provide a

suggestion for combining, a conclusion of obviousness may be made from common knowledge and common sense of the person of ordinary skill in the art without any specific hint or suggestion in a particular reference (see In re Bozek, 416 F.2d 1385,1390, 163 USPQ 545, 549 (CCPA 1969)), with skill being presumed on the part of the artisan, rather than the lack thereof (see In re Sovish 769 F.2d 738, 742, 226 USPQ 771,774 (Fed. Cir. 1985)); further, references may be combined although none of them explicitly suggests combining one with the other (see In re Nilssen 7 USPQ2d 1500 (Fed. Cir. 1989)). It has long been the law that the motivation to combine need not be found in prior art references, but equally can be found "in the knowledge generally available to one of ordinary skill in the art." In re Jones, 958 F.2d 347, 351 (Fed. Cir.1992) (citing In re Fine, 837 F.2d 1071, 1074 (Fed. Cir. 1988)).

The motivation to combine can be found either in a prior art reference, or it can be implicit in the knowledge of one of ordinary skill in the art. See In re Huston, 308 F.3d 1267, 1280 (Fed. Cir. 2002); Motorola, Inc. v. interdigitai Tech. Corp., 121 F.3d 1461, 1472 (Fed. Cir. 1997). Sources suggesting a combination may be: (1) the combined teachings of the prior art, (2) the knowledge of the ordinary practitioner and (3) the nature of the problem to be solved. "The test for implicit showing is what the combined teachings, knowledge of one of ordinary skill in the art, and the nature of the problem to be solved as a whole would have suggested to those of ordinary skill in the art." In re Kotzab, 217 F.3d 1365, 1370, 55 USPQ2d 1313, 1317 (Fed.Cir. 2000). In Richard Ruiz and Foundation Anchoring Systems, Inc. v. A.B. Chance Company, No. 03-1333 (Fed. Cir. January 29, 2004), the court emphasized that an "express written

teaching in the art" to combine references was not required [emphasis added]. Rather, motivation may come from "the nature of a problem to be solved, leading inventors to look to references relating to possible solutions to that problem." Please further note the following from Section 2144 of the MPEP: "The rationale to modify or combine the prior art does not have to be expressly stated in the prior art or it may be reasoned from knowledge generally available to one of ordinary skill in the art, established scientific principles, or legal precedent...The reason or motivation to modify the reference may often suggest what the inventor has done, but for a different purpose or to solve a different problem... It is not necessary that the prior art suggest the combination to achieve the same advantage or result discovered by Applicant." Also Chief Judge Nies writes in a concurring opinion, "While there must be some teaching, reason, suggestion, or motivation to combine existing elements to produce the claimed device, it is not necessary that the cited references or the prior art specifically suggest making the combination... In sum, it is off the mark for litigants to argue, as many do, that an invention cannot be held to have been obvious unless a suggestion to combine prior art teachings is found in a specific reference". See In re Oetiker 977 F.2d 1443, 24 USPQ.2d 1443 (Fed.Cir. 1992). Please note that the motivations for making the combinations are specifically set forth in the rejections.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CYNTHIA COLLADO whose telephone number is (571)272-8315. The examiner can normally be reached on mon-fri 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fenn Mathew can be reached on (571)2724978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

CFC
/CYNTHIA COLLADO/
Examiner, Art Unit 3781

/FENN MATHEW/
Supervisory Patent Examiner, Art Unit 3781

| Notice of References Cited | Application/Control No. 14/551,783 | Applicant(s)/Patent Under Reexamination REES ET AL. | |
|---|---|---|---|
| | Examiner CYNTHIA COLLADO | Art Unit 3781 | Page 1 of 1 |

**U.S. PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | CPC Classification | US Classification |
|---|---|---|---|---|---|---|
| * | A | US-2003/0089676 A1 | 05-2003 | Uehara, Hiroyuki | A61J11/006 | 215/11.1 |
| * | B | US-6,959,827 B2 | 11-2005 | Morano; Emanuel P. | A47G19/2272 | 215/11.1 |
| | C | US- | | | | |
| | D | US- | | | | |
| | E | US- | | | | |
| | F | US- | | | | |
| | G | US- | | | | |
| | H | US- | | | | |
| | I | US- | | | | |
| | J | US- | | | | |
| | K | US- | | | | |
| | L | US- | | | | |
| | M | US- | | | | |

**FOREIGN PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | CPC Classification |
|---|---|---|---|---|---|---|
| | N | | | | | |
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| | P | | | | | |
| | Q | | | | | |
| | R | | | | | |
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| | T | | | | | |

**NON-PATENT DOCUMENTS**

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | |
| | V | |
| | W | |
| | X | |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



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| Index of Claims | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14551783 | REES ET AL. |
| | Examiner | Art Unit |
| | CYNTHIA COLLADO | 3781 |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| ✓ | Rejected | - | Cancelled | N | Non-Elected | A | Appeal |
| = | Allowed | ÷ | Restricted | I | Interference | O | Objected |

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

| CLAIM | | DATE | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Final | Original | 05/03/2015 | 02/03/2016 | 12/27/2016 | | | | | | |
| | 1 | ✓ | ✓ | - | | | | | | |
| | 2 | ✓ | ✓ | ✓ | | | | | | |
| | 3 | ✓ | ✓ | ✓ | | | | | | |
| | 4 | ✓ | ✓ | ✓ | | | | | | |
| | 5 | ✓ | ✓ | ✓ | | | | | | |
| | 6 | ✓ | ✓ | ✓ | | | | | | |
| | 7 | ✓ | ✓ | ✓ | | | | | | |
| | 8 | ✓ | ✓ | ✓ | | | | | | |
| | 9 | ✓ | ✓ | - | | | | | | |
| | 10 | ✓ | ✓ | - | | | | | | |
| | 11 | ✓ | ✓ | - | | | | | | |
| | 12 | ✓ | ✓ | - | | | | | | |
| | 13 | ✓ | ✓ | - | | | | | | |
| | 14 | ✓ | ✓ | ✓ | | | | | | |
| | 15 | ✓ | ✓ | ✓ | | | | | | |
| | 16 | ✓ | ✓ | ✓ | | | | | | |
| | 17 | ✓ | ✓ | ✓ | | | | | | |
| | 18 | | | ✓ | | | | | | |



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**EAST Search History**

**EAST Search History (Prior Art)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| S1 | 2496 | (215/11.1,11.5).CCLS. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 16:40 |
| S2 | 13 | "3097757" | USPAT | OR | OFF | 2014/08/04 16:40 |
| S3 | 2808 | (220/714,502,505,524,251,717,703).CCLS. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 17:10 |
| S4 | 2 | ("20080210655").PN. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 17:14 |
| S5 | 5 | ("2008/0210655").URPN. | USPAT | OR | OFF | 2014/08/04 17:15 |
| S7 | 12 | "6959827" | USPAT | OR | OFF | 2015/04/26 14:01 |
| S8 | 0 | "20030089676" | USPAT | OR | OFF | 2015/04/26 14:03 |
| S9 | 5 | "20030089676" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/26 14:03 |
| S10 | 3 | "13364557" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/26 14:34 |
| S11 | 13 | "6959827" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 17:12 |



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| | | | | | | |
|---|---|---|---|---|---|---|
| S12 | 3 | "13364557" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:00 |
| S13 | 3 | "20130200031" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:01 |
| S14 | 3 | "20130200031" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:02 |
| S15 | 0 | ("2013/0200031").URPN. | USPAT | OR | OFF | 2015/04/30 22:02 |
| S16 | 1237 | A61J9/04.CPC. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/05/03 20:22 |

**12/27/2016 9:16:12 AM**
**C:\Users\ccollado\Documents\EAST\Workspaces\13364557.wsp**



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| Search Notes | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14551783 | REES ET AL. |
| | Examiner | Art Unit |
| | CYNTHIA COLLADO | 3781 |

| CPC- SEARCHED | | |
|---|---|---|
| Symbol | Date | Examiner |
| A 61J 9/04 A 61J 11/02 A 61J 11/045, A 61J 11/04, A 61J 9/00 | 4/28/2015 | CFC |

| CPC COMBINATION SETS - SEARCHED | | |
|---|---|---|
| Symbol | Date | Examiner |
| | | |

| US CLASSIFICATION SEARCHED | | | |
|---|---|---|---|
| Class | Subclass | Date | Examiner |
| 215 | 11.5,11.1,396,398,11.6,276,11.4 | 4/28/2015 | CFC |

| SEARCH NOTES | | |
|---|---|---|
| Search Notes | Date | Examiner |
| Discussed application with SPE Fenn Mathew | 4/28/2015 | CFC |
| Discussed application with SPE Fenn Mathew | 2/1/2016 | CFC |
| Discussed application with SPE Fenn Mathew | 12/20/2016 | CFC |

| INTERFERENCE SEARCH | | | |
|---|---|---|---|
| US Class/ CPC Symbol | US Subclass / CPC Group | Date | Examiner |
| | | | |



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20 NORTH WACKER DRIVE
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CHICAGO, IL 60606

**Paper No.**

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| **Application No.:** | **14/551,783** | **Date Mailed:** | **2016-05-10**<br><br>**PAPER** |
|---|---|---|---|
| First Named Inventor: | Arnold Rees | Examiner: | COLLADO, CYNTHIA FRANCISCA |
| Attorney Docket No.: | JM-4.2 | Art Unit: | 3781 |
| Confirmation No.: | 6509 | Filing Date: | 11/24/2014 |

**Please find attached an Office communication concerning this application or proceeding.**

**Commissioner for Patents**

PTO-90c (Rev.08-06)

| Notice of Non-Compliant Amendment (37 CFR 1.121) | Application No. 14/551,783 | Applicant(s) REES ET AL. |
|---|---|---|
| | | Art Unit OMBL |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --*

The amendment document filed on *06 May, 2016* is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
  - ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☐ C. Other _____.
- ☐ 2. Abstract:
  - ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other _____.
- ☐ 3. Amendments to the drawings:
  - ☐ A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
  - ☐ B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
  - ☐ C. Other _____.
- ☒ 4. Amendments to the claims:
  - ☐ A. A complete listing of all of the claims is not present.
  - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - ☒ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☒ E. Other: *Amended is not the proper status identifier* .
- ☐ 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4): For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

1. Applicant is given **no new time period if the non-compliant amendment is an** after-final amendment or an amendment filed after allowance, or a drawing submission (only) If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.

2. Applicant is given **two months** from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action. If any of above boxes 1 to 4 are checked, the correction required is only the corrected section of the non-compliant amendment in compliance with 37 CFR 1.121.

**Extensions of time** are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

**Failure to timely respond** to this notice will result in:

**Abandonment** of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

**Non-entry** of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Legal Instruments Examiner (LIE), if applicable /TONI HAKIM/ Telephone No: (571)272-4353



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Applicants: | Rees et al. | ) | Confirmation No.: 6509 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Group Art Unit: 3643 |
| | | ) | |
| Filed: | November 24, 2014 | ) | Examiner: Cynthia F. Collado |
| | | ) | |
| For: | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | ) | Attorney Docket No.: JM-4.2 |

**CORRECTED RESPONSE TO FINAL OFFICE ACTION**

MAIL STOP AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This corrected communication is submitted in response to the Office Action mailed February 9, 2016 in the above-identified application.

**Amendments to the Claims** begin on page 2 of this document.

**Remarks** begin on page 4 of this document.

## AMENDMENTS TO THE CLAIMS

1) (Canceled).

2) (Currently Amended) The feeding bottle of claim ~~1~~ 18 wherein the vessel has two indentations.

3) (Currently Amended) The feeding bottle of claim ~~1~~ 18 wherein the flange forms an elastomer sealing ring sealing against the top of the vessel.

4) (Currently Amended) The feeding bottle of claim ~~1~~ 18 wherein the open top of the vessel comprises a vessel neck.

5) (Currently Amended) The feeding bottle of claim ~~1~~ 18 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

6) (Currently Amended) The feeding bottle of claim ~~1~~ 18 wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel.

7) (Currently Amended) The feeding bottle of claim ~~1~~ 18 wherein the collar comprises polypropylene.

8) (Currently Amended) The feeding bottle of claim ~~1~~ 18 wherein the air valve is a one-way air-inlet valve.

9) (Canceled).

10) (Canceled).

11) (Canceled).

12) (Canceled).

13) (Canceled).

14) (Currently Amended) The feeding bottle of claim ~~13~~ 18 wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture.

15) (Currently Amended) The feeding bottle of claim ~~13~~ 18 wherein an inner surface of the areola portion has at least one groove extending circumferentially.

16) (Currently Amended) The feeding bottle of claim ~~13~~ 18 wherein the teat walls decrease in thickness from the base portion through to the teat portion.

17) (Currently Amended) The feeding bottle of claim ~~13~~ 18 wherein the air valve is located below a top edge of the collar.



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18) (New) A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

at least one vent passage extending through the base portion and spaced inwardly from the collar,

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion (116) and the flange (141) and

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.



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## REMARKS

In response to the Notice of Non-Compliant Amendment mailed May 10, 2016, Applicants hereby submit a Corrected Response to the Final Office Action. Since the response accompanied a Request for Continued Examination, it is Applicants' understanding that a request for an extension of time and fee are not required. However of an extension and fee are necessary, Applicants hereby request an extension of one month and authorize the Commissioner to charge our Deposit Account No. 15-0508 for any extension fee.

**Status of the Application**

1. Claims 1 and 9-13 have been canceled.
2. New Claim 18 has been added. No new matter is included.
3. Claims 2-8 and 14-17 have been amended to depend from new Claim 18.
4. Claim 14 has also been amended to replace improper usage of "a" with "an."

**Nonstatutory Double Patenting Rejection**

In the present Office Action, claims 1-17 were rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-56 of U.S. Patent No. 8,910,810. To obviate that rejection, Applicants filed a terminal disclaimer with the requisite fee on January 14, 2016. That terminal disclaimer was approved on February 4, 2016. In view of that approval, Applicants request that the double patenting rejection be withdrawn.

**Obviousness Rejection of Claims 1-17**

In the present Office Action, claims 1-17 1-17 were also rejected under 35 USC 103(a) as being unpatentable over Morano (U.S. Patent No. 6,959,827) in view of Uehara (U.S. Pub. No. 2003/0089676).

A patent may not be granted where "the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains." *KSR Int'l Co. v. Teleflex Inc.*, 127 S.Ct. 1727, 1734 (2007); citing 35 U.S.C. §103. The question of obviousness is resolved on the basis of underlying factual determinations including:(1) the scope and content of the prior art. (2) any differences between



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the claimed subject matter and the prior art, and (3) the level of skill in the art. *Graham v. John Deere Co.*,383 U.S. 1, 17-18, 148 USPQ 459, 467 (1966).

"Often, it will be necessary for a court to look to interrelated teachings of multiple patents; the effects of demands known to the design community or present in the marketplace; and the background knowledge possessed by a person having ordinary skill in the art, all in order to determine whether there was an apparent reason to combine the known elements in the fashion claimed by the patent at issue." *KSR*, 127 S.Ct. at 1740-41. "To facilitate review, this analysis should be made explicit." *Id.* "[R]ejections on obviousness grounds cannot be sustained by mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness." *In re Kahn*, 441 F.3d 977, 988, 78 USPQ2d 1329, 1336 (Fed. Cir. 2006).

It is the Examiner's burden to establish prima facie obviousness. *See, In re Rijckaert*, 9 F.3d 1531, 1532 (Fed. Cir. 1993). First, the Examiner must demonstrate that the cited prior art includes "a suggestion of all the elements in a claim." *Ex parte Alexander*, 86 USPQ2d 1120, 1122 (Bd. Pat. App. & Int. 2007); citing *CFMT, Inc. v. Yieldup Int'l. Corp.*, 349 F.3d 1333, 1342 (Fed. Cir. 2003). Second, the Examiner must provide evidence that would demonstrate "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does." *Id.* citing *KSR*, 127 S.Ct. at 1741.

Applicants continue to disagree with the Examiner's positions, but, in an effort to move prosecution of this application along, Applicants have canceled claims 1 and 9-13 and present herewith new independent claim 18. Applicants have amended the dependency of the remaining claims to depend from new claim 18. In view of new claim 18, Applicants respectfully traverse the obviousness rejection based on Morano and Uehara for the reasons discussed below. In summary, independent claim 18 and dependent claims 2-8 and 14-17 are patentable over the references cited because:

(1) Morano does not teach, suggest or imply the claim limitations of a feeding bottle having a nipple with a teat portion having a variable wall thickness including an aperture, at least one vent passage extending through the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange and



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securing the nipple to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange;

(2) Uehara does not teach, suggest or imply the claim limitations of a feeding bottle having a nipple with at least one vent passage extending through the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange and securing the nipple to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange; and

(3) There is no teaching, suggestion or motivation to combine the Morano and Uehara references to arrive at a feeding bottle having a nipple with at least one vent passage extending through the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange and securing the nipple to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange.

The Examiner has acknowledged that "Morano does not show a teat portion having a variable wall thickness including an aperture, a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar" of canceled claim 1. 02/09/2016 Office Action, p. 5. While new claim 18 still calls for "a teat portion having a variable wall thickness including an aperture," it also includes the limitations of a feeding bottle with a nipple having (1) at least one vent passage extending through the base portion and spaced inwardly from the collar, (2) a valve portion in communication with the vent passage and projecting downwardly from the flange and (3) secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange. Morano does not show, teach or suggest any of those features.

Uehara fails to cure the deficiencies in Morano identified in the 02/09/2016 Office Action with respect to claim 18. In particular, Uehara does not show a feeding bottle with a nipple having (1) at least one vent passage extending through the base portion and spaced inwardly from the collar, (2) a valve portion in communication with the vent passage and projecting downwardly from the flange and (3) secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange. In the Office Action, the Examiner pointed to an air valve slit 214c in the provided figure and references paragraph [0224] of Uehara. While



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Uehara classifies this as a vent valve, it does not correspond to the features recited in claim 18. Claim 18 recites "at least one vent passage extending through the base portion and spaced inwardly from the collar." The base portion is defined in claim 18 as "having a wall thickness in a domed configuration."

As shown on the following page with reference to Fig. 4 of the current application, vent passage 126 extends through the base portion (116) and is spaced inwardly from the collar (130) with the base portion having a wall thickness in a domed configuration. In the figure from Uehara relied upon by the Examiner, shown on the left, the vent does not extend through the base portion having a domed configuration and is not spaced apart from the collar but rather appears to extend through a flat wall portion which lies directly below and in contact with the collar. This flat wall portion lying below and in contact with the collar cannot correspond to a base portion having a wall thickness in a domed configuration with a vent passage spaced inwardly from the collar.

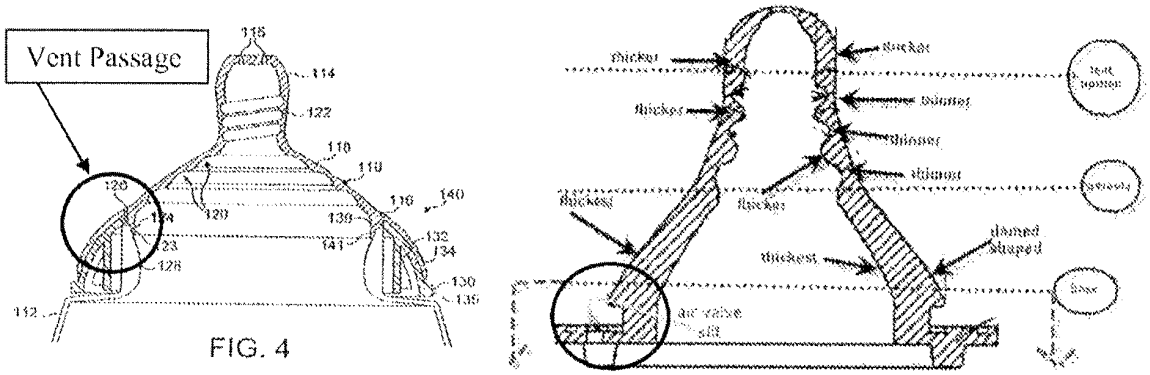



FIG. 4

It is not enough to simply allege a corresponding structure without considering the full extent of the claimed feature. Uehara does not disclose at least one vent passage extending through the base portion as defined and spaced inwardly from the collar as called for by claim 18.

In addition, Uehara does not disclose or suggest a nipple having a valve portion in communication with the vent passage and projecting downwardly from the flange or a nipple secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange. Neither the figure provided in the Office Action nor cited paragraph [0224], discloses such features. In sum, Uehara fails to disclose not only the missing features noted in the Office Action but also fails to disclose the other features present in claim 18 that are absent in



Nanobebe US Inc., Ex. 1002, Page 426
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

Morano as described above.

In summary, there is no teaching, suggestion or motivation in Morano or Uehara to combine the references in the manner in which the Examiner has to render claim 18 obvious in view of those reference. Claim 18 is allowable and, since claims 2-8 and 14-17 depend from claim 18, they are allowable for at least the same reasons given above.

**Conclusion**

In view of the foregoing, Applicants submit that claims 2-8, 14-17 and 18 are in condition for allowance and request early passage of this application to issue. As set forth above, it is Applicants' understanding that no fees should be due with the filing of this response. However should any additional fees be required, please charge our Deposit Account No. 15-0508.

Respectfully submitted,

Date: May 10, 2016

By /Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

OLSON & CEPURITIS, LTD.
20 North Wacker Drive, 36th Floor
Chicago, Illinois 60606
(312) 580-1180
Attorneys for Applicants

I hereby certify that this correspondence is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the USPTO on May 10, 2016.

Typed or printed name of person signing this certificate

Arne M. Olson

Signature /Arne M. Olson /



Nanobebe US Inc., Ex. 1002, Page 427
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Applicants: | Rees et al. | ) | Confirmation No.: 6509 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Group Art Unit: 3643 |
| | | ) | |
| Filed: | November 24, 2014 | ) | Examiner: Cynthia F. Collado |
| | | ) | |
| For: | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | ) | Attorney Docket No.: JM-4.2 |

**CONDITIONAL PETITION FOR AN EXTENSION OF TIME OF ONE MONTH**

MAIL STOP AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice of Non-Compliant Amendment mailed May 10, 2016, Applicants hereby submit a Corrected Response to the Final Office Action. Since the response accompanied a Request for Continued Examination, it is Applicants' understanding that a request for an extension of time and fee are not required. However if an extension and fee are necessary, Applicants hereby request an extension of one month and authorize the Commissioner to charge our Deposit Account No. 15-0508 for any extension fee.

Respectfully submitted,

Date: May 10, 2016

By /Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

OLSON & CEPURITIS, LTD.
20 North Wacker Drive, 36th Floor
Chicago, Illinois 60606
(312) 580-1180
Attorneys for Applicants

I hereby certify that this correspondence is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the USPTO on May 10, 2016.

Typed or printed name of person signing this certificate

Arne M. Olson
Signature /Arne M. Olson /

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 25730346 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Kathleen A. Lyons/Rebecca Lyons |
| **Filer Authorized By:** | Kathleen A. Lyons |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 10-MAY-2016 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 11:42:57 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Amendment Submitted/Entered with Filing of CPA/RCE | Corrected_Resp_OA.pdf | 424148<br>1fb25c0874d11128e0c63e67b7226a9de1f554d9 | no | 8 |

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|---|---|
| **Warnings:** | |
| **Information:** | |

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|---|---|---|---|---|---|
| 2 | Extension of Time | Cond_Pet_for_Ext_of_Time.pdf | 48273<br>aa598d81477dea78741bc40df59f49f6c0af2ca6 | no | 1 |

| | |
|---|---|
| **Warnings:** | |
| **Information:** | |
| **Total Files Size (in bytes):** | 472421 |

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

PTO/SB/30 (07-14)
Approved for use through 07/31/2016 OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# Request for Continued Examination (RCE) Transmittal

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

| | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Arnold Rees |
| Art Unit | 3643 |
| Examiner Name | Cynthia F. Collado |
| Attorney Docket Number | JM-4.2 |

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, to any international application that does not compy with the requirements of 35 U.S.C 371, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO on page 2.)

1. Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

   a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

      i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

      ii. ☐ Other _____

   b. ☑ Enclosed

      i. ☑ Amendment/Reply

      ii. ☐ Affidavit(s)/ Declaration(s)

      iii. ☐ Information Disclosure Statement (IDS)

      iv. ☐ Other _____

2. Miscellaneous

   a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

   b. ☐ Other _____

3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

   a. ☑ The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 15-0508.

      i. ☑ RCE fee required under 37 CFR 1.17(e)

      ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

      iii. ☐ Other _____

   b. ☐ Check in the amount of $ _____ enclosed

   c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

***SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED***

| | | | |
|---|---|---|---|
| Signature | | Date | May 6, 2016 |
| Name (Print/Type) | Arne M. Olson | Registration No. | 30,203 |

***CERTIFICATE OF MAILING OR TRANSMISSION***

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

| | | | |
|---|---|---|---|
| Signature | (Electronic Submission) | | |
| Name (Print/Type) | Rebecca E. Lyons | Date | May 6, 2016 |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Applicants: | Rees et al. | ) | Confirmation No.: 6509 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Group Art Unit: 3643 |
| | | ) | |
| Filed: | November 24, 2014 | ) | Examiner: Cynthia F. Collado |
| | | ) | |
| For: | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | ) | Attorney Docket No.: JM-4.2 |

**RESPONSE TO FINAL OFFICE ACTION**

MAIL STOP AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This communication is submitted in response to the Office Action mailed February 9, 2016 in the above-identified application.

**Amendments to the Claims** begin on page 2 of this document.

**Remarks** begin on page 4 of this document.

## AMENDMENTS TO THE CLAIMS

1) (Canceled).

2) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the vessel has two indentations.

3) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the flange forms an elastomer sealing ring sealing against the top of the vessel.

4) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the open top of the vessel comprises a vessel neck.

5) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

6) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel.

7) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the collar comprises polypropylene.

8) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the air valve is a one-way air-inlet valve.

9) (Canceled).

10) (Canceled).

11) (Canceled).

12) (Canceled).

13) (Canceled).

14) (Amended) The feeding bottle of claim ~~13~~ 18 wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture.

15) (Amended) The feeding bottle of claim ~~13~~ 18 wherein an inner surface of the areola portion has at least one groove extending circumferentially.

16) (Amended) The feeding bottle of claim ~~13~~ 18 wherein the teat walls decrease in thickness from the base portion through to the teat portion.

17) (Amended) The feeding bottle of claim ~~13~~ 18 wherein the air valve is located below a top edge of the collar.



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18) (New) A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

at least one vent passage extending through the base portion and spaced inwardly from the collar,

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion (116) and the flange (141) and

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.



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## REMARKS

**Status of the Application**

1. Claims 1 and 9-13 have been canceled.
2. New Claim 18 has been added. No new matter is included.
3. Claims 2-8 and 14-17 have been amended to depend from new Claim 18.
4. Claim 14 has also been amended to replace improper usage of "a" with "an."

**Nonstatutory Double Patenting Rejection**

In the present Office Action, claims 1-17 were rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-56 of U.S. Patent No. 8,910,810. To obviate that rejection, Applicants filed a terminal disclaimer with the requisite fee on January 14, 2016. That terminal disclaimer was approved on February 4, 2016. In view of that approval, Applicants request that the double patenting rejection be withdrawn.

**Obviousness Rejection of Claims 1-17**

In the present Office Action, claims 1-17 1-17 were also rejected under 35 USC 103(a) as being unpatentable over Morano (U.S. Patent No. 6,959,827) in view of Uehara (U.S. Pub. No. 2003/0089676).

A patent may not be granted where "the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains." *KSR Int'l Co. v. Teleflex Inc.*, 127 S.Ct. 1727, 1734 (2007); citing 35 U.S.C. §103. The question of obviousness is resolved on the basis of underlying factual determinations including:(1) the scope and content of the prior art. (2) any differences between the claimed subject matter and the prior art, and (3) the level of skill in the art. *Graham v. John Deere Co.*,383 U.S. 1, 17-18, 148 USPQ 459, 467 (1966).

"Often, it will be necessary for a court to look to interrelated teachings of multiple patents; the effects of demands known to the design community or present in the marketplace; and the background knowledge possessed by a person having ordinary skill in the art, all in order to determine whether there was an apparent reason to combine the known elements in the fashion



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claimed by the patent at issue." *KSR*, 127 S.Ct. at 1740-41. "To facilitate review, this analysis should be made explicit." *Id.* "[R]ejections on obviousness grounds cannot be sustained by mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conc1usion of obviousness." *In re Kahn*, 441 F.3d 977, 988, 78 USPQ2d 1329, 1336 (Fed. Cir. 2006).

It is the Examiner's burden to establish prima facie obviousness. *See, In re Rijckaert*, 9 F.3d 1531, 1532 (Fed. Cir. 1993). First, the Examiner must demonstrate that the cited prior art includes "a suggestion of all the elements in a claim." *Ex parte Alexander*, 86 USPQ2d 1120, 1122 (Bd. Pat. App. & Int. 2007); citing *CFMT, Inc. v. Yieldup Int'l. Corp.*, 349 F.3d 1333, 1342 (Fed. Cir. 2003). Second, the Examiner must provide evidence that would demonstrate "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does." *Id.* citing *KSR*, 127 S.Ct. at 1741.

Applicants continue to disagree with the Examiner's positions, but, in an effort to move prosecution of this application along, Applicants have canceled claims 1 and 9-13 and present herewith new independent claim 18. Applicants have amended the dependency of the remaining claims to depend from new claim 18. In view of new claim 18, Applicants respectfully traverse the obviousness rejection based on Morano and Uehara for the reasons discussed below. In summary, independent claim 18 and dependent claims 2-8 and 14-17 are patentable over the references cited because:

(1) Morano does not teach, suggest or imply the claim limitations of a feeding bottle having a nipple with a teat portion having a variable wall thickness including an aperture, at least one vent passage extending through the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange and securing the nipple to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange;

(2) Uehara does not teach, suggest or imply the claim limitations of a feeding bottle having a nipple with at least one vent passage extending through the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange and securing the nipple to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange; and



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(3) There is no teaching, suggestion or motivation to combine the Morano and Uehara references to arrive at a feeding bottle having a nipple with at least one vent passage extending through the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange and securing the nipple to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange.

The Examiner has acknowledged that "Morano does not show a teat portion having a variable wall thickness including an aperture, a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar" of canceled claim 1. 02/09/2016 Office Action, p. 5. While new claim 18 still calls for "a teat portion having a variable wall thickness including an aperture," it also includes the limitations of a feeding bottle with a nipple having (1) at least one vent passage extending through the base portion and spaced inwardly from the collar, (2) a valve portion in communication with the vent passage and projecting downwardly from the flange and (3) secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange. Morano does not show, teach or suggest any of those features.

Uehara fails to cure the deficiencies in Morano identified in the 02/09/2016 Office Action with respect to claim 18. In particular, Uehara does not show a feeding bottle with a nipple having (1) at least one vent passage extending through the base portion and spaced inwardly from the collar, (2) a valve portion in communication with the vent passage and projecting downwardly from the flange and (3) secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange. In the Office Action, the Examiner pointed to an air valve slit 214c in the provided figure and references paragraph [0224] of Uehara. While Uehara classifies this as a vent valve, it does not correspond to the features recited in claim 18. Claim 18 recites "at least one vent passage extending through the base portion and spaced inwardly from the collar." The base portion is defined in claim 18 as "having a wall thickness in a domed configuration."

As shown on the following page with reference to Fig. 4 of the current application, vent passage 126 extends through the base portion (116) and is spaced inwardly from the collar (130) with the base portion having a wall thickness in a domed configuration. In the figure from



Nanobebe US Inc., Ex. 1002, Page 437
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

Uehara relied upon by the Examiner, shown on the left, the vent does not extend through the base portion having a domed configuration and is not spaced apart from the collar but rather appears to extend through a flat wall portion which lies directly below and in contact with the collar. This flat wall portion lying below and in contact with the collar cannot correspond to a base portion having a wall thickness in a domed configuration with a vent passage spaced inwardly from the collar.

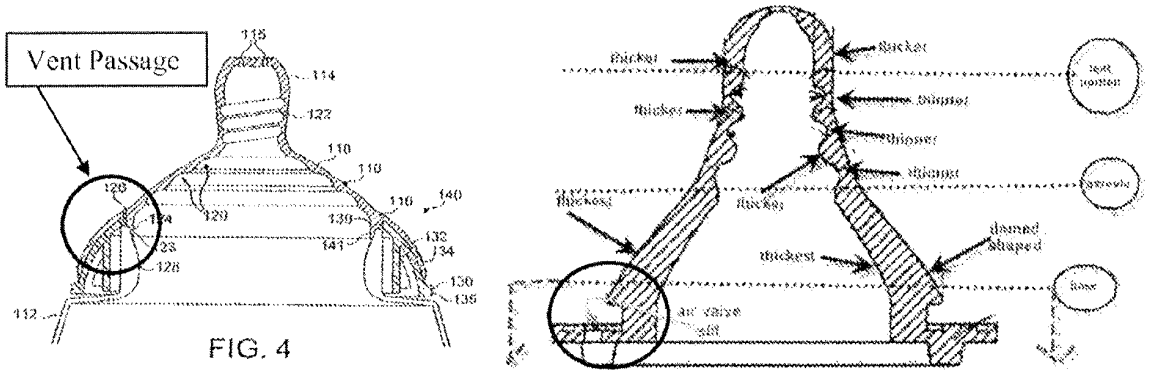



FIG. 4

It is not enough to simply allege a corresponding structure without considering the full extent of the claimed feature. Uehara does not disclose at least one vent passage extending through the base portion as defined and spaced inwardly from the collar as called for by claim 18.

In addition, Uehara does not disclose or suggest a nipple having a valve portion in communication with the vent passage and projecting downwardly from the flange or a nipple secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange. Neither the figure provided in the Office Action nor cited paragraph [0224], discloses such features. In sum, Uehara fails to disclose not only the missing features noted in the Office Action but also fails to disclose the other features present in claim 18 that are absent in Morano as described above.

In summary, there is no teaching, suggestion or motivation in Morano or Uehara to combine the references in the manner in which the Examiner has to render claim 18 obvious in view of those reference. Claim 18 is allowable and, since claims 2-8 and 14-17 depend from claim 18, they are allowable for at least the same reasons given above.



Nanobebe US Inc., Ex. 1002, Page 438
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

**Conclusion**

In view of the foregoing, Applicants submit that claims 2-8, 14-17 and 18 are in condition for allowance and request early passage of this application to issue. No other fees should be due with the filing of this response. However should any additional fees be required the, please charge our Deposit Account No. 15-0508.

Respectfully submitted,

Date: May 6, 2016

By /Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

OLSON & CEPURITIS, LTD.
20 North Wacker Drive, 36th Floor
Chicago, Illinois 60606
(312) 580-1180
Attorneys for Applicants

I hereby certify that this correspondence is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the USPTO on May 6, 2016.

Typed or printed name of person signing this certificate

Arne M. Olson
Signature /Arne M. Olson /



Nanobebe US Inc., Ex. 1002, Page 439
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

# Electronic Patent Application Fee Transmittal

| | |
|---|---|
| **Application Number:** | 14551783 |
| **Filing Date:** | 24-Nov-2014 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Filer:** | Kathleen A. Lyons/Rebecca Lyons |
| **Attorney Docket Number:** | JM-4.2 |

Filed as Large Entity

**Filing Fees for Utility under 35 USC 111(a)**

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Basic Filing:** | | | | |
| **Pages:** | | | | |
| **Claims:** | | | | |
| **Miscellaneous-Filing:** | | | | |
| **Petition:** | | | | |
| **Patent-Appeals-and-Interference:** | | | | |
| **Post-Allowance-and-Post-Issuance:** | | | | |
| **Extension-of-Time:** | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Miscellaneous:** | | | | |
| Request for Continued Examination | 1801 | 1 | 1200 | 1200 |
| | | **Total in USD ($)** | | **1200** |

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 25704517 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Kathleen A. Lyons/Rebecca Lyons |
| **Filer Authorized By:** | Kathleen A. Lyons |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 06-MAY-2016 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 12:32:25 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | yes |
| Payment Type | Deposit Account |
| Payment was successfully received in RAM | $1200 |
| RAM confirmation Number | 9395 |
| Deposit Account | 150508 |
| Authorized User | LYONS, REBECCA |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: | |

Charge any Additional Fees required under 37 CFR 1.21 (Miscellaneous fees and charges)

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Amendment Submitted/Entered with Filing of CPA/RCE | Resp_to_Final_OA.pdf | 406178<br>264e4012a3db782eeae0847ddca38d0d7b67978f | no | 8 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 2 | Request for Continued Examination (RCE) | RCE.pdf | 492381<br>d8c15713e8d429e29724cc6177170382be640c30 | no | 9 |
| **Warnings:** | | | | | |
| This is not a USPTO supplied RCE SB30 form. | | | | | |
| **Information:** | | | | | |
| 3 | Fee Worksheet (SB06) | fee-info.pdf | 30278<br>af4d488130eb92a1ac83d50c193badc6cc9d8ce4 | no | 2 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| | | **Total Files Size (in bytes):** | 928837 | | |

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**
**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**
**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**
**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Applicants: | Rees et al. | ) | Confirmation No.: 6509 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Group Art Unit: 3643 |
| | | ) | |
| Filed: | November 24, 2014 | ) | Examiner: Cynthia F. Collado |
| | | ) | |
| For: | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | ) | Attorney Docket No.: JM-4.2 |

**RESPONSE TO FINAL OFFICE ACTION**

MAIL STOP AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This communication is submitted in response to the Office Action mailed February 9, 2016 in the above-identified application.

**Amendments to the Claims** begin on page 2 of this document.

**Remarks** begin on page 4 of this document.

## AMENDMENTS TO THE CLAIMS

1) (Canceled).

2) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the vessel has two indentations.

3) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the flange forms an elastomer sealing ring sealing against the top of the vessel.

4) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the open top of the vessel comprises a vessel neck.

5) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

6) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel.

7) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the collar comprises polypropylene.

8) (Amended) The feeding bottle of claim ~~1~~ 18 wherein the air valve is a one-way air-inlet valve.

9) (Canceled).

10) (Canceled).

11) (Canceled).

12) (Canceled).

13) (Canceled).

14) (Amended) The feeding bottle of claim ~~13~~ 18 wherein an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture.

15) (Amended) The feeding bottle of claim ~~13~~ 18 wherein an inner surface of the areola portion has at least one groove extending circumferentially.

16) (Amended) The feeding bottle of claim ~~13~~ 18 wherein the teat walls decrease in thickness from the base portion through to the teat portion.

17) (Amended) The feeding bottle of claim ~~13~~ 18 wherein the air valve is located below a top edge of the collar.



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18) (New) A feeding bottle comprising:

a) a vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body;

b) a collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

c) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a cylindrical flange depending from the base portion,

at least one vent passage extending through the base portion and spaced inwardly from the collar,

a valve portion in communication with the vent passage and projecting downwardly from the flange,

the flange being arranged to seal with the vessel;

d) the nipple being secured to the collar by sealingly capturing the inner edge of the collar between the base portion (116) and the flange (141) and

e) the nipple being secured to the vessel by capturing the flange of the nipple between the collar and the open top of the vessel.



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## REMARKS

**Status of the Application**

1. Claims 1 and 9-13 have been canceled.
2. New Claim 18 has been added. No new matter is included.
3. Claims 2-8 and 14-17 have been amended to depend from new Claim 18.
4. Claim 14 has also been amended to replace improper usage of "a" with "an."

**Nonstatutory Double Patenting Rejection**

In the present Office Action, claims 1-17 were rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-56 of U.S. Patent No. 8,910,810. To obviate that rejection, Applicants filed a terminal disclaimer with the requisite fee on January 14, 2016. That terminal disclaimer was approved on February 4, 2016. In view of that approval, Applicants request that the double patenting rejection be withdrawn.

**Obviousness Rejection of Claims 1-17**

In the present Office Action, claims 1-17 1-17 were also rejected under 35 USC 103(a) as being unpatentable over Morano (U.S. Patent No. 6,959,827) in view of Uehara (U.S. Pub. No. 2003/0089676).

A patent may not be granted where "the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains." *KSR Int'l Co. v. Teleflex Inc.*, 127 S.Ct. 1727, 1734 (2007); citing 35 U.S.C. §103. The question of obviousness is resolved on the basis of underlying factual determinations including:(1) the scope and content of the prior art. (2) any differences between the claimed subject matter and the prior art, and (3) the level of skill in the art. *Graham v. John Deere Co.*,383 U.S. 1, 17-18, 148 USPQ 459, 467 (1966).

"Often, it will be necessary for a court to look to interrelated teachings of multiple patents; the effects of demands known to the design community or present in the marketplace; and the background knowledge possessed by a person having ordinary skill in the art, all in order to determine whether there was an apparent reason to combine the known elements in the fashion



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claimed by the patent at issue." *KSR*, 127 S.Ct. at 1740-41. "To facilitate review, this analysis should be made explicit." *Id.* "[R]ejections on obviousness grounds cannot be sustained by mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness." *In re Kahn*, 441 F.3d 977, 988, 78 USPQ2d 1329, 1336 (Fed. Cir. 2006).

It is the Examiner's burden to establish prima facie obviousness. *See, In re Rijckaert*, 9 F.3d 1531, 1532 (Fed. Cir. 1993). First, the Examiner must demonstrate that the cited prior art includes "a suggestion of all the elements in a claim." *Ex parte Alexander*, 86 USPQ2d 1120, 1122 (Bd. Pat. App. & Int. 2007); citing *CFMT, Inc. v. Yieldup Int'l. Corp.*, 349 F.3d 1333, 1342 (Fed. Cir. 2003). Second, the Examiner must provide evidence that would demonstrate "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does." *Id.* citing *KSR*, 127 S.Ct. at 1741.

Applicants continue to disagree with the Examiner's positions, but, in an effort to move prosecution of this application along, Applicants have canceled claims 1 and 9-13 and present herewith new independent claim 18. Applicants have amended the dependency of the remaining claims to depend from new claim 18. In view of new claim 18, Applicants respectfully traverse the obviousness rejection based on Morano and Uehara for the reasons discussed below. In summary, independent claim 18 and dependent claims 2-8 and 14-17 are patentable over the references cited because:

(1) Morano does not teach, suggest or imply the claim limitations of a feeding bottle having a nipple with a teat portion having a variable wall thickness including an aperture, at least one vent passage extending through the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange and securing the nipple to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange;

(2) Uehara does not teach, suggest or imply the claim limitations of a feeding bottle having a nipple with at least one vent passage extending through the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange and securing the nipple to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange; and



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(3) There is no teaching, suggestion or motivation to combine the Morano and Uehara references to arrive at a feeding bottle having a nipple with at least one vent passage extending through the base portion and spaced inwardly from the collar, a valve portion in communication with the vent passage and projecting downwardly from the flange and securing the nipple to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange.

The Examiner has acknowledged that "Morano does not show a teat portion having a variable wall thickness including an aperture, a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar" of canceled claim 1. 02/09/2016 Office Action, p. 5. While new claim 18 still calls for "a teat portion having a variable wall thickness including an aperture," it also includes the limitations of a feeding bottle with a nipple having (1) at least one vent passage extending through the base portion and spaced inwardly from the collar, (2) a valve portion in communication with the vent passage and projecting downwardly from the flange and (3) secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange. Morano does not show, teach or suggest any of those features.

Uehara fails to cure the deficiencies in Morano identified in the 02/09/2016 Office Action with respect to claim 18. In particular, Uehara does not show a feeding bottle with a nipple having (1) at least one vent passage extending through the base portion and spaced inwardly from the collar, (2) a valve portion in communication with the vent passage and projecting downwardly from the flange and (3) secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange. In the Office Action, the Examiner pointed to an air valve slit 214c in the provided figure and references paragraph [0224] of Uehara. While Uehara classifies this as a vent valve, it does not correspond to the features recited in claim 18. Claim 18 recites "at least one vent passage extending through the base portion and spaced inwardly from the collar." The base portion is defined in claim 18 as "having a wall thickness in a domed configuration."

As shown on the following page with reference to Fig. 4 of the current application, vent passage 126 extends through the base portion (116) and is spaced inwardly from the collar (130) with the base portion having a wall thickness in a domed configuration. In the figure from



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Uehara relied upon by the Examiner, shown on the left, the vent does not extend through the base portion having a domed configuration and is not spaced apart from the collar but rather appears to extend through a flat wall portion which lies directly below and in contact with the collar. This flat wall portion lying below and in contact with the collar cannot correspond to a base portion having a wall thickness in a domed configuration with a vent passage spaced inwardly from the collar.

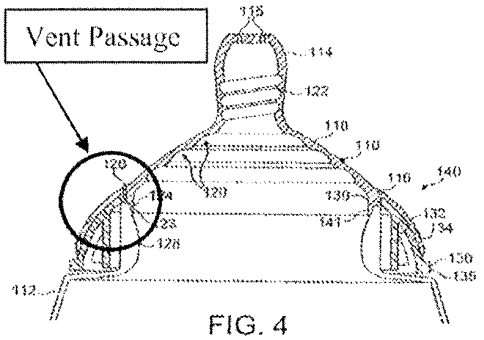
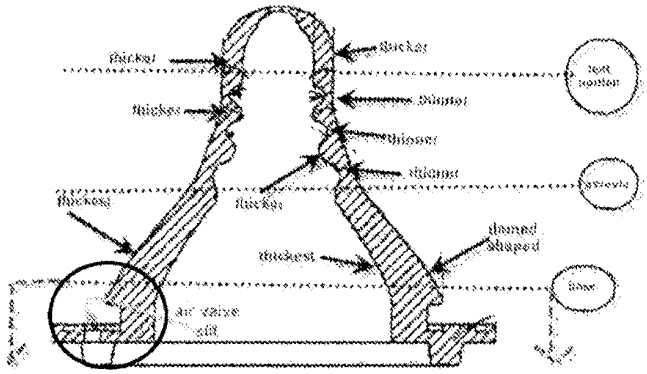



FIG. 4



It is not enough to simply allege a corresponding structure without considering the full extent of the claimed feature. Uehara does not disclose at least one vent passage extending through the base portion as defined and spaced inwardly from the collar as called for by claim 18.

In addition, Uehara does not disclose or suggest a nipple having a valve portion in communication with the vent passage and projecting downwardly from the flange or a nipple secured to the collar by sealingly capturing the inner edge of the collar between the base portion and the flange. Neither the figure provided in the Office Action nor cited paragraph [0224], discloses such features. In sum, Uehara fails to disclose not only the missing features noted in the Office Action but also fails to disclose the other features present in claim 18 that are absent in Morano as described above.

In summary, there is no teaching, suggestion or motivation in Morano or Uehara to combine the references in the manner in which the Examiner has to render claim 18 obvious in view of those reference. Claim 18 is allowable and, since claims 2-8 and 14-17 depend from claim 18, they are allowable for at least the same reasons given above.



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**Conclusion**

In view of the foregoing, Applicants submit that claims 2-8, 14-17 and 18 are in condition for allowance and request early passage of this application to issue. No other fees should be due with the filing of this response. However should any additional fees be required the, please charge our Deposit Account No. 15-0508.

Respectfully submitted,

Date: May 6, 2016

By /Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

OLSON & CEPURITIS, LTD.
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Attorneys for Applicants

I hereby certify that this correspondence is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the USPTO on May 6, 2016.

Typed or printed name of person signing this certificate

Arne M. Olson
Signature /Arne M. Olson /



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

PTO/SB/06 (09-11)
Approved for use through 1/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| PATENT APPLICATION FEE DETERMINATION RECORD<br>Substitute for Form PTO-875 | Application or Docket Number<br>14/551,783 | Filing Date<br>11/24/2014 | ☐ To be Mailed |
|---|---|---|---|

**ENTITY**: ☒ LARGE ☐ SMALL ☐ MICRO

## APPLICATION AS FILED – PART I

| | (Column 1) | (Column 2) | | |
|---|---|---|---|---|
| FOR | NUMBER FILED | NUMBER EXTRA | RATE ($) | FEE ($) |
| ☐ BASIC FEE (37 CFR 1.16(a), (b), or (c)) | N/A | N/A | N/A | |
| ☐ SEARCH FEE (37 CFR 1.16(k), (i), or (m)) | N/A | N/A | N/A | |
| ☐ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q)) | N/A | N/A | N/A | |
| TOTAL CLAIMS (37 CFR 1.16(i)) | minus 20 = | * | X $ = | |
| INDEPENDENT CLAIMS (37 CFR 1.16(h)) | minus 3 = | * | X $ = | |
| ☐ APPLICATION SIZE FEE (37 CFR 1.16(s)) | If the specification and drawings exceed 100 sheets of paper, the application size fee due is $310 ($155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | |
| ☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) | | | | |
| * If the difference in column 1 is less than zero, enter "0" in column 2. | | | TOTAL | |

## APPLICATION AS AMENDED – PART II

| AMENDMENT | 05/06/2016 | (Column 1) CLAIMS REMAINING AFTER AMENDMENT | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | RATE ($) | ADDITIONAL FEE ($) |
|---|---|---|---|---|---|---|---|
| | Total (37 CFR 1.16(i)) | * 12 | Minus | ** 20 | = 0 | X $80 = | 0 |
| | Independent (37 CFR 1.16(h)) | * 1 | Minus | ***3 | = 0 | X $420 = | 0 |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | |
| | | | | | | TOTAL ADD'L FEE | 0 |

| AMENDMENT | | (Column 1) CLAIMS REMAINING AFTER AMENDMENT | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | RATE ($) | ADDITIONAL FEE ($) |
|---|---|---|---|---|---|---|---|
| | Total (37 CFR 1.16(i)) | * | Minus | ** | = | X $ = | |
| | Independent (37 CFR 1.16(h)) | * | Minus | *** | = | X $ = | |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | |
| | | | | | | TOTAL ADD'L FEE | |

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE
/TONI HAKIM/

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 | 6509 |

2387 7590 02/09/2016

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| EXAMINER | |
|---|---|
| COLLADO, CYNTHIA FRANCISCA | |

| ART UNIT | PAPER NUMBER |
|---|---|
| 3781 | |

| MAIL DATE | DELIVERY MODE |
|---|---|
| 02/09/2016 | PAPER |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.



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| Office Action Summary | Application No. 14/551,783 | Applicant(s) REES ET AL. | |
|---|---|---|---|
| | Examiner CYNTHIA COLLADO | Art Unit 3781 | AIA (First Inventor to File) Status No |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --*

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE *3* MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1)☒ Responsive to communication(s) filed on *1/14/2016*.

☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2a)☒ This action is **FINAL**. 2b)☐ This action is non-final.

3)☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

4)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims***

5)☒ Claim(s) *1-17* is/are pending in the application.

5a) Of the above claim(s) _____ is/are withdrawn from consideration.

6)☐ Claim(s) _____ is/are allowed.

7)☒ Claim(s) *1-17* is/are rejected.

8)☐ Claim(s) _____ is/are objected to.

9)☐ Claim(s) _____ are subject to restriction and/or election requirement.

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

**Application Papers**

10)☐ The specification is objected to by the Examiner.

11)☒ The drawing(s) filed on *11/24/2014* is/are: a)☒ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

a)☐ All b)☐ Some** c)☐ None of the:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1) ☐ Notice of References Cited (PTO-892)

2) ☐ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date _____.

3) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ .

4) ☐ Other: _____.



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The present application is being examined under the pre-AIA first to invent provisions.

## DETAILED ACTION

### *Double Patenting*

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the claims at issue are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the reference application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of

activities undertaken within the scope of a joint research agreement. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

The USPTO internet Web site contains terminal disclaimer forms which may be used. Please visit http://www.uspto.gov/forms/. The filing date of the application will determine what form should be used. A web-based eTerminal Disclaimer may be filled out completely online using web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more information about eTerminal Disclaimers, refer to http://www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

Claims 1-17 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-56 of U.S. Patent No. 8, 910,810. Although the claims at issue are not identical, they are not patentably distinct from each other because U.S. Patent No. 8, 910,810 discloses the following: *a feeding bottle comprising: a nipple having: a teat portion having a variable wall thickness including an aperture, a base portion having a wall thickness in a domed configuration, an areola portion between the teat portion and the base portion, a flange connected to the base portion, a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar, and the flange being arranged to seal with a vessel; the vessel having: an open top with a first diameter, a body with a second diameter, the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body; the collar having: an internally threaded cylindrical portion, a downwardly domed peripheral portion surrounding and extending*

*from the cylindrical portion, and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel.*

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Morano (US Patent No.6, 959, 827) in view of Uehara (US 2003/0089676).

**[Claim 1]** Morano discloses a nipple (see fig below) having a teat and an aereola portion (see teat above areola in fig below), a base portion having a wall thickness in a domed configuration,

a flange having a downwardly depending cylindrical portion and connected to the base portion(see fig below),

the vessel having: an open top with a first diameter(see fig below), a body with a second diameter(see fig below), the body being connected with the open top(see fig below), and the first diameter of the open top being less than the second diameter of the body(see fig below); the collar having: an internally threaded cylindrical portion, a downwardly domed peripheral portion surrounding and extending from the cylindrical portion(see fig below), and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel and the flange being arranged to seal with a vessel(see fig below)

Morano fails to show

a teat portion having a variable wall thickness including an aperture, a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar.

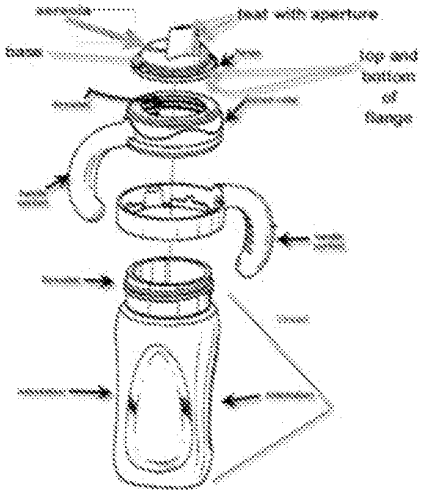
The reference to Uehara discloses an elastic teat portion having a variable wall thickness (see fig below) including an aperture (see fluid opening),

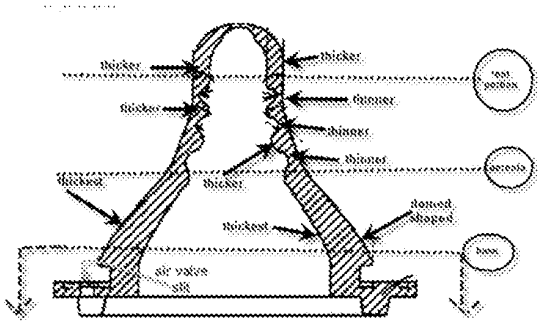
a slit air valve connected to the base portion wherein the slit valve extends generally transverse to the base portion and opens under negative pressure within the feeding bottle(see fig below,[0224]),

a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar(see fig below,[0224]).

Based on the teaching of Uehara it would have been obvious to one having ordinary skill in the art at the time the invention was made to a teat portion having a variable wall thickness (see fig below) including an aperture(see fluid opening),

a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar(see fig below,[0224]) to allow the nipple to behave in the same manner as the nipple of the mother.





**[Claim 2]** Morano in view of Uehara discloses wherein the vessel has two indentations (see **Morano** in the fig above).

**[Claim 3]** Morano in view of Uehara discloses wherein the flange forms an elastomer sealing ring sealing against the top of the vessel (see Uehara in fig above also [0072]).

**[Claim 4]** Morano in view of Uehara discloses wherein the open top of the vessel

comprises a vessel neck (see **Morano**, in the fig above).

**[Claim 5]** Morano in view of Uehara discloses wherein the open top of the vessel comprises an outwardly threaded vessel neck (see **Morano**, in the fig above).

**[Claim 6]** Morano in view of Uehara discloses wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel (see **Morano**, in the fig above).

**[Claim 7]** Morano in view of Uehara discloses wherein the collar comprises polypropylene (see Morano, col 3, lines 27-30).

**[Claim 8]** Morano in view of Uehara discloses wherein the air valve is a one-way air-inlet valve (see **Uehara**, [0137]).

**[Claim 9]** Morano discloses a nipple having a teat portion including an aperture (see fig above),

a base portion having a domed configuration(see domed shaped base), a flange having a downwardly depending cylindrical portion and connected to the base portion (see flange wall where it goes from the top to the bottom section), and the flange being arranged to seal with a vessel(see fig above);

the vessel having an open top with a first diameter(see fig above), a body with a second diameter(see fig above), the body being connected with the open top(see fig above), and the first diameter of the open top being less than the second diameter of the body(see diameter of the open top to be smaller than the widest part of the body in the fig above); the collar having an internally threaded cylindrical portion(see fig above), a downwardly domed peripheral portion surrounding and extending from the cylindrical portion(see fig above), the domed peripheral portion having a horizontal outward annular flange at its base(see fig above), and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel(see fig above).

Morano fails to show a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar.

Uehara discloses a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar to adjust the imbalance of the pressure when there occurs an imbalance of the pressure (see fig below [0138]).

Based on the teaching of Uehara it would have been obvious to one having ordinary skill in the art at the time the invention was made to have a vent passage having an air valve below a top edge of a collar to adjust the imbalance of the pressure when there occurs an imbalance of the pressure (see fig below, [0138]).

**[Claim 10]** Morano in view of Uehara discloses wherein the horizontal outward annular

flange is thicker than the domed peripheral portion (see Uehara fig above).

**[Claim 11]** Morano in view of Uehara discloses wherein the diameter of the horizontal outward annular flange is less than the diameter of the vessel body (see Morano fig above where the flange fits inside of the vessel body).

**[Claim 12]** Morano in view of Uehara discloses wherein the domed peripheral portion terminates at a central circular orifice corresponding with the open top of the vessel (see Uehara fig above where the dome section ends at a central circular office).

**[Claim 13]** Morano discloses a nipple having: a teat portion having a groove around its inner cylindrical vertical surface and a flow valve, a base portion having a domed configuration(see fig above), an areola portion between the teat portion and the base portion(see fig above), a flange having a downwardly depending cylindrical portion and connected to the base portion(see fig above), the vessel having: an open top with a first diameter, a body with a second diameter, the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body(see fig above);

the collar having: an internally threaded cylindrical portion(see fig above), a downwardly domed peripheral portion extending from the cylindrical portion, and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel(see fig above). Morano fails to show a vent

passage extending through the base portion, the vent passage having an air valve below a top edge of a collar.

Uehara discloses a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar to adjust the imbalance of the pressure when there occurs an imbalance of the pressure (see fig below [0138]).

Based on the teaching of Uehara it would have been obvious to one having ordinary skill in the art at the time the invention was made to have a vent passage having an air valve below a top edge of a collar to adjust the imbalance of the pressure when there occurs an imbalance of the pressure (see fig below, [0138]).

**[Claim 14]** Morano in view of Uehara discloses a teat having a texture.
Morano in view of Uehara do not disclose an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture. At the time the invention was made, it would have been an obvious matter of design choice to a person of ordinary skill in the art to have a first texture on an outer surface of the teat portion and a outer surface of the areola portion have a second texture of the combination of claim 13 because Applicant has not disclosed that having a first texture on an outer surface of the teat portion and an outer surface of the areola portion have a second texture provides an advantage, is used for a particular purpose, or solves a stated problem. One of ordinary skill in the art, furthermore, would have expected the teat in the combination above, and applicant's invention, to perform equally well with either the outer surface texture taught by the combination above or the claimed 14 text outer

surface texture because both teats would perform the same function of allowing the nipple to behave in the same manner as the nipple of the mother

Therefore, it would have been prima facie obvious to modify the combination above to obtain the invention as specified in claim 14 because such a modification would have been considered a mere design consideration which fails to patentably distinguish over the prior art of the combination above.

**[Claim 15]** Morano in view of Uehara discloses wherein an inner surface of the areola portion has at least one groove extending circumferentially (see Uehara fig above).

**[Claim 16]** Morano in view of Uehara discloses wherein the teat walls decrease in thickness from the base portion through to the teat portion (see Uehara fig above).

**[Claim 17]** Morano in view of Uehara discloses wherein the air valve is located below a top edge of the collar (see Uehara fig above).

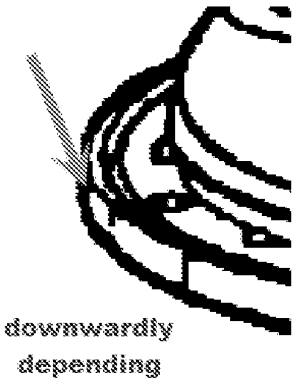
***Response to Arguments***

Applicant's arguments filed 9/18/2015 have been fully considered but they are not persuasive.

The applicant argues that Morano is not analogous art because it is neither from the same field of endeavor nor reasonably pertinent to the problem faced by the inventor. The examiner respectfully disagrees. Even though the Morano reference

addresses a different problem, it is still in the same field of endeavor as the claimed invention.

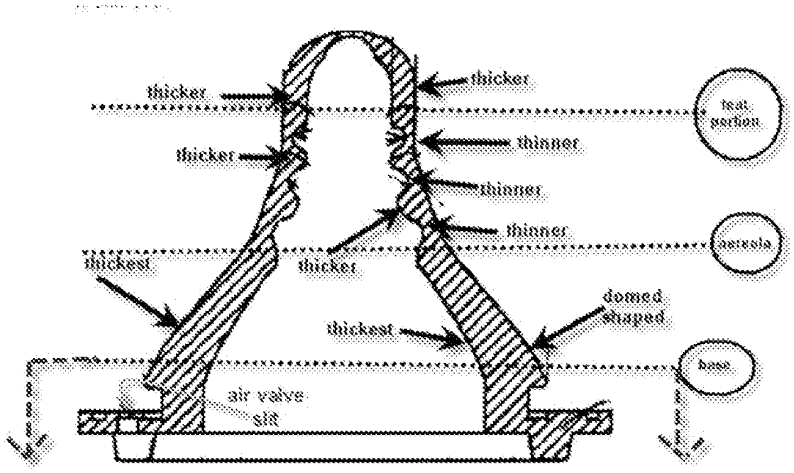
The applicant argues that the flange of Morano fails to disclose a downwardly depending cylindrical portion. The examiner respectfully disagrees. The flange in the Morano reference as shown in the figure below shows the flange having a downwardly depending cylindrical. Furthermore one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. *In reKeller,* 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In reMerck & Co., Inc.,* 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).




The applicant argues that the reference of Uehara fails to disclose a vent passage extending through the base portion and the vent passage having an air valve below a top edge of a collar. The examiner respectfully disagrees. The reference to Uehara discloses an elastic teat portion having a variable wall thickness as shown in the figure below including an aperture (see fluid opening),

a slit air valve connected to the base portion wherein the slit valve extends generally transverse to the base portion and opens under negative pressure within the feeding bottle(see the air slit valve in the figure below,[0224]),

a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar(see fig below,[0224]).




***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not

mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CYNTHIA COLLADO whose telephone number is (571)272-8315. The examiner can normally be reached on mon-fri 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fenn Mathew can be reached on (571)2724978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

CFC

/CYNTHIA COLLADO/
Examiner, Art Unit 3781

/FENN MATHEW/
Supervisory Patent Examiner, Art Unit 3781

**EAST Search History**

**EAST Search History (Prior Art)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| S1 | 2496 | (215/11.1,11.5).CCLS. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 16:40 |
| S2 | 13 | "3097757" | USPAT | OR | OFF | 2014/08/04 16:40 |
| S3 | 2808 | (220/714,502,505,524,251,717,703).CCLS. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 17:10 |
| S4 | 2 | ("20080210655").PN. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 17:14 |
| S5 | 5 | ("2008/0210655").URPN. | USPAT | OR | OFF | 2014/08/04 17:15 |
| S7 | 12 | "6959827" | USPAT | OR | OFF | 2015/04/26 14:01 |
| S8 | 0 | "20030089676" | USPAT | OR | OFF | 2015/04/26 14:03 |
| S9 | 5 | "20030089676" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/26 14:03 |
| S10 | 3 | "13364557" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/26 14:34 |
| S11 | 13 | "6959827" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 17:12 |



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| | | | | | | |
|---|---|---|---|---|---|---|
| S12 | 3 | "13364557" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:00 |
| S13 | 3 | "20130200031" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:01 |
| S14 | 3 | "20130200031" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:02 |
| S15 | 0 | ("2013/0200031").URPN. | USPAT | OR | OFF | 2015/04/30 22:02 |
| S16 | 1237 | A61J9/04.CPC. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/05/03 20:22 |

**EAST Search History (Interference)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| S6 | 712 | 215/11.5 | USPAT | OR | OFF | 2014/08/04 17:00 |

**2/3/2016 11:19:59 AM**
**C:\Users\ccollado\Documents\EAST\Workspaces\13364557.wsp**



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Index of Claims | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14551783 | REES ET AL. |
| | Examiner | Art Unit |
| | CYNTHIA COLLADO | 3781 |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| ✓ | Rejected | - | Cancelled | N | Non-Elected | A | Appeal |
| = | Allowed | ÷ | Restricted | I | Interference | O | Objected |

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

| CLAIM | | DATE | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Final | Original | 05/03/2015 | 02/03/2016 | | | | | | | |
| | 1 | ✓ | ✓ | | | | | | | |
| | 2 | ✓ | ✓ | | | | | | | |
| | 3 | ✓ | ✓ | | | | | | | |
| | 4 | ✓ | ✓ | | | | | | | |
| | 5 | ✓ | ✓ | | | | | | | |
| | 6 | ✓ | ✓ | | | | | | | |
| | 7 | ✓ | ✓ | | | | | | | |
| | 8 | ✓ | ✓ | | | | | | | |
| | 9 | ✓ | ✓ | | | | | | | |
| | 10 | ✓ | ✓ | | | | | | | |
| | 11 | ✓ | ✓ | | | | | | | |
| | 12 | ✓ | ✓ | | | | | | | |
| | 13 | ✓ | ✓ | | | | | | | |
| | 14 | ✓ | ✓ | | | | | | | |
| | 15 | ✓ | ✓ | | | | | | | |
| | 16 | ✓ | ✓ | | | | | | | |
| | 17 | ✓ | ✓ | | | | | | | |



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| Search Notes | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14551783 | REES ET AL. |
| | Examiner | Art Unit |
| | CYNTHIA COLLADO | 3781 |

| CPC- SEARCHED | | |
|---|---|---|
| Symbol | Date | Examiner |
| A 61J 9/04 A 61J 11/02 A 61J 11/045, A 61J 11/04, A 61J 9/00 | 4/28/2015 | CFC |

| CPC COMBINATION SETS - SEARCHED | | |
|---|---|---|
| Symbol | Date | Examiner |
| | | |

| US CLASSIFICATION SEARCHED | | | |
|---|---|---|---|
| Class | Subclass | Date | Examiner |
| 215 | 11.5,11.1,396,398,11.6,276,11.4 | 4/28/2015 | CFC |

| SEARCH NOTES | | |
|---|---|---|
| Search Notes | Date | Examiner |
| Discussed application with SPE Fenn Mathew | 4/28/2015 | CFC |
| Discussed application with SPE Fenn Mathew | 2/1/2016 | CFC |

| INTERFERENCE SEARCH | | | |
|---|---|---|---|
| US Class/ CPC Symbol | US Subclass / CPC Group | Date | Examiner |
| | | | |



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| Application Number | Application/Control No. | Applicant(s)/Patent under Reexamination | |
|---|---|---|---|
| | 14/551,783 | REES ET AL. | |
| | | | |

| Document Code - DISQ | Internal Document – DO NOT MAIL |
|---|---|

| TERMINAL DISCLAIMER | ☒ APPROVED | ☐ DISAPPROVED |
|---|---|---|
| Date Filed : 1/14/16 | This patent is subject to a Terminal Disclaimer | |

**Approved/Disapproved by:**

Lawana Hixon

U.S. Patent and Trademark Office

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| APPLICATION NUMBER | FILING OR 371(C) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 |

**CONFIRMATION NO. 6509**

2387
Olson & Cepuritis, LTD.
20 NORTH WACKER DRIVE
36TH FLOOR
CHICAGO, IL 60606

**POA ACCEPTANCE LETTER**

*OC000000080152816*

Date Mailed: 01/28/2016

## NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/14/2016.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at **(571) 272-4000** or **(571) 272-4200** or **1-888-786-0101**.

/yteferra/



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P.O. Box 1450
Alexandria, Virginia 22313-1450
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| APPLICATION NUMBER | FILING or 371(c) DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | TOT CLAIMS | IND CLAIMS |
|---|---|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | 3781 | 1740 | JM-4.2 | 17 | 3 |

**CONFIRMATION NO. 6509**

**REPLACEMENT FILING RECEIPT**

2387
Olson & Cepuritis, LTD.
20 NORTH WACKER DRIVE
36TH FLOOR
CHICAGO, IL 60606




Date Mailed: 01/28/2016

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

**Inventor(s)**

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Ian Webb, London, UNITED KINGDOM;
Mark Armstrong, Wales, UNITED KINGDOM;
Tom Cotton, London, UNITED KINGDOM;

**Applicant(s)**

Jackel International Limited, Northumberland, UNITED KINGDOM;

**Assignment For Published Patent Application**

Jackel International Limited, Northumberland, UNITED KINGDOM

**Power of Attorney:** The patent practitioners associated with Customer Number 002387

**Domestic Priority data as claimed by applicant**

This application is a CON of 13/364,557 02/02/2012 PAT 8910810
which is a CON of 11/630,864 09/20/2007 PAT 8181800 *
which is a 371 of PCT/GB2005/002532 06/29/2005
(*)Data provided by applicant is not consistent with PTO records.

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.)
UNITED KINGDOM 2004/14560.3 06/29/2004 No Access Code Provided
UNITED KINGDOM 2005/02599.4 02/08/2005 No Access Code Provided

**Permission to Access Application via Priority Document Exchange:** No

**Permission to Access Search Results:** No



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

Request to Retrieve - This application either claims priority to one or more applications filed in an intellectual property Office that participates in the Priority Document Exchange (PDX) program or contains a proper **Request to Retrieve Electronic Priority Application(s)** (PTO/SB/38 or its equivalent). Consequently, the USPTO will attempt to electronically retrieve these priority documents.

**If Required, Foreign Filing License Granted:** 01/27/2016

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 14/551,783**

**Projected Publication Date:** Not Applicable

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Baby Bottle With Flexible Nipple Regions

**Preliminary Class**

215

**Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications:** No

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.



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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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## Title 37, Code of Federal Regulations, 5.11 & 5.15

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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Doc Code: PA..
Document Description: Power of Attorney

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# POWER OF ATTORNEY BY APPLICANT

I hereby revoke all previous powers of attorney given in the application identified in either the attached transmittal letter or the boxes below.

| Application Number | Filing Date |
|---|---|
| 14/551,783 | 24 NOVEMBER 2014 |

(Note: The boxes above may be left blank if information is provided on form PTO/AIA/82A.)

☑ I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above: 2387

OR

☐ I hereby appoint Practitioner(s) named in the attached list (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the patent application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above. (Note: Complete form PTO/AIA/82C.)

**Please recognize or change the correspondence address for the application identified in the attached transmittal letter or the boxes above to:**

☑ The address associated with the above-mentioned Customer Number

OR

☐ The address associated with Customer Number:

OR

| | | | | | |
|---|---|---|---|---|---|
| Firm or Individual Name | | | | | |
| Address | | | | | |
| City | | State | | Zip | |
| Country | | | | | |
| Telephone | | Email | | | |

I am the Applicant (if the Applicant is a juristic entity, list the Applicant name in the box):

☐ Inventor or Joint Inventor (title not required below)

☐ Legal Representative of a Deceased or Legally Incapacitated Inventor (title not required below)

☑ Assignee or Person to Whom the Inventor is Under an Obligation to Assign (provide signer's title if applicant is a juristic entity)

☐ Person Who Otherwise Shows Sufficient Proprietary Interest (e.g., a petition under 37 CFR 1.46(b)(2) was granted in the application or is concurrently being filed with this document) (provide signer's title if applicant is a juristic entity)

**SIGNATURE of Applicant for Patent**

The undersigned (whose title is supplied below) is authorized to act on behalf of the applicant (e.g., where the applicant is a juristic entity).

| | | | |
|---|---|---|---|
| Signature | S Schneeweiss | Date (Optional) | 10 December 2015 |
| Name | for and on behalf of JACKEL INTERNATIONAL LIMITED | | |
| Title | GENERAL COUNSEL, JACKEL INTERNATIONAL LIMITED | | |

NOTE: Signature - This form must be signed by the applicant in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. If more than one applicant, use multiple forms.

☑ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# POWER OF ATTORNEY BY APPLICANT

No more than ten (10) patent practitioners total may be appointed as set forth below by name and registration number. This page need not be submitted if appointing the Patent Practitioner(s) associated with a Customer Number (see form PTO/AIA/82B):

| Name | Registration Number |
|---|---|
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# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

| Title of Invention | Baby Bottle With Flexible Nipple Regions |
|---|---|

As the below named inventor, I hereby declare that:

This declaration is directed to:

☐ The attached application, or

☒ United States application or PCT international application number 14/551,783

filed on November 24, 2014

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

## WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

LEGAL NAME OF INVENTOR

Inventor: Tom Cotton Date (Optional): _____

Signature: _____

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 24756290 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Michael Dimitrios Zaronias/Jill Dykstra |
| **Filer Authorized By:** | Michael Dimitrios Zaronias |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 28-JAN-2016 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 16:49:10 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Oath or Declaration filed | Armstrong-Declaration.pdf | 783456<br>77ed3d66b071557d0e73102856a3aa381c73dd4e | no | 1 |

| | |
|---|---|
| **Warnings:** | |
| **Information:** | |

| | | | | | |
|---|---|---|---|---|---|
| 2 | Oath or Declaration filed | Rees-Declaration.pdf | 63589<br>332f4b4b1e78383f9875c9e156175f762d55318b | no | 2 |
| **Warnings:** | | | | | |
| The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing | | | | | |
| **Information:** | | | | | |
| 3 | Oath or Declaration filed | Webb-Declaration.pdf | 887232<br>c65441dbc1f54224a1eaecce072b2c59699e97dc | no | 1 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 4 | Power of Attorney | POA.pdf | 167496<br>43f188ad3db505e157769cb92f3d5e5cd56a9ce6 | no | 2 |
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| **Information:** | | | | | |
| 5 | Oath or Declaration filed | Cotton-Declaration.pdf | 105013<br>698f0cc146795374aa6e91846f658fdabe14b223 | no | 1 |
| **Warnings:** | | | | | |
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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

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# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

| **Title of Invention** | Baby Bottle With Flexible Nipple Regions |
|---|---|

As the below named inventor, I hereby declare that:

This declaration is directed to:

☐ The attached application, or

☒ United States application or PCT international application number 14/551,783

filed on November 24, 2014.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

## WARNING:

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LEGAL NAME OF INVENTOR

Inventor: Mark Armstrong Date (Optional): 16 June 2015

Signature: _____

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting he completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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☐ The attached application, or

☒ United States application or PCT international application number 14/551,783 filed on November 24, 2014.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

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LEGAL NAME OF INVENTOR

Inventor: Arnold Rees Date (Optional): 17 JUNE 2015

Signature: [signature]

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant ( *i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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| Title of Invention | Baby Bottle With Flexible Nipple Regions |
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☐ The attached application, or

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filed on November 24, 2014.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

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LEGAL NAME OF INVENTOR

Inventor: Ian Webb Date (Optional): 16 JUNE 2015.

Signature: Ian Webb

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Applicants: | Rees et al. | ) | Confirmation No.: 6509 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Group Art Unit: 3643 |
| | | ) | |
| Filed: | November 24, 2014 | ) | Examiner: COLLADO, Cynthia |
| | | ) | |
| For: | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | ) | Attorney Docket No.: JM-4.2 |

**RESPONSE TO DISAPPROVAL OF TERMINAL DISCLAIMER**

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is in response to the Terminal Disclaimer Review decision of September 29, 2015. Applicant encloses the combined Declaration and Power of Attorney filed in parent Application Serial No. 13/364,557 filed on February 2, 2012, now U.S. Patent No. 8,910,810, the Power of Attorney filed in the grandparent Application Serial No. 11/630,864 filed on June 29, 2005, now U.S. patent No. 8,180,800, and resubmits the Terminal Disclaimer previously filed on September 18, 2015. No new fee should be required with this filing.

Applicant also submits a supplemental Application Data Sheet to identify foreign priority applications.

**Remarks** begin on page 2 of this document.

**REMARKS**

On September 18, 2015, Applicant filed a response to the Office Action mailed on May 20, 2015 which included a terminal disclaimer. Examiner Collado informed the undersigned via a voicemail message on December 29, 2015 that the terminal disclaimer was disapproved. The undersigned verified this information on the PAIR system which indicates that the terminal disclaimer was disapproved on September 29, 2015 because the person who signed the terminal disclaimer is not the applicant, patentee or attorney or agent of record.

A declaration and power of attorney which gave the undersigned authority to act in the present application is of record in the parent Application Serial No. 13/364,557 filed on February 2, 2012, now U.S. Patent No. 8,910,810 but was not refiled in the present case. In addition, the Power of Attorney from the grandparent Application Serial No. 11/630,864 filed on June 29, 2005, now U.S. patent No. 8,180,800 also gave the undersigned power to act in the present application but was not refiled in the present case. Accordingly, Applicant presently files both of these Powers of Attorney and refiles the previously filed Terminal Disclaimer. Applicant requests approval of the Terminal Disclaimer.

In addition, Applicant is also filing a supplemental Application Data Sheet (ADS) to identify foreign priority applications which were not included in the original ADS but were identified on the first paragraph of the present application as filed on November 24, 2014.

As the previous filing of the Terminal Disclaimer on September 18, 2015 included the Terminal Disclaimer fee of one hundred sixty dollars ($160.00), no additional fee is required. No other fees should be due with this filing. However should any additional fees be required, please credit our Deposit Account No. 15-0508.

Respectfully submitted,

Date: January 14, 2016

By /Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

OLSON & CEPURITIS, LTD.
20 North Wacker Drive, 36th Floor
Chicago, Illinois 60606
(312) 580-1180
Attorneys for Applicants



Nanobebe US Inc., Ex. 1002, Page 489
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

**Certificate of EFS-Web Transmission**

I hereby certify that this correspondence is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the USPTO on January 14, 2016.

Typed or printed name of person signing this certificate

Arne M. Olson

Signature /Arne M. Olson /



Nanobebe US Inc., Ex. 1002, Page 490
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

ATTORNEY DOCKET JM-4.1

# PATENT APPLICATION DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I DECLARE THAT:

My residence, post office address, and citizenship are as stated below.

I believe that we are the original, first, and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS, the specification of which:

X is attached hereto;
____ was filed on _____ as Application Serial No._____and was amended on_____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified application, including the claims and drawings, as amended by any amendment referred to herein.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint as my attorneys or agents, with full power of substitution to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith, the individuals of the firm of Olson & Cepuritis, Ltd. associated with:

CUSTOMER NO.: 002367

whose mailing address for this application is:

OLSON & CEPURITIS, LTD.
20 North Wacker Drive, 36th Floor
Chicago, Illinois 60606
Telephone: (312) 580-1180

Full name of SOLE or FIRST inventor Arnold Rees

Citizenship UK Residence 9, MELMERBY CLOSE, LOSFORTH

NEWCASTLE UPON TYNE NE3 5JA, UK

Post Office Address (If different) _____

Inventor's signature: A Rees Date: 27th Jan 2012

Full name of SECOND or joint inventor, if any Ian Webb

Citizenship UK Residence _____

_____

Post Office Address (If different) _____

Inventor's signature: _____ Date: _____

ATTORNEY DOCKET JM-4.1

# PATENT APPLICATION DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I DECLARE THAT:

My residence, post office address, and citizenship are as stated below.

I believe that we are the original, first, and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS, the specification of which:

X is attached hereto;
____ was filed on _____ as Application Serial No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified application, including the claims and drawings, as amended by any amendment referred to herein.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint as my attorneys or agents, with full power of substitution to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith, the individuals of the firm of Olson & Cepuritis, Ltd. associated with:

CUSTOMER NO.: 002387

whose mailing address for this application is:

OLSON & CEPURITIS, LTD.
20 North Wacker Drive, 36th Floor
Chicago, Illinois 60606
Telephone: (312) 580-1180

Full name of SOLE or FIRST inventor Arnold Rees

Citizenship UK Residence _____

Post Office Address (if different) _____

Inventor's signature: _____ Date: _____

Full name of SECOND or joint inventor, if any Ian Webb

Citizenship UK Residence _____

4 LEINSTER MEWS LONDON W2 3EY.

Post Office Address (if different) _____

Inventor's signature: [signature] Date: 27 JAN 2012

ATTORNEY DOCKET JM-4.1

Full name of THIRD or joint inventor, if any Tom Cotton

Citizenship UK Residence

(3) ASTONVILLE ST, LONDON SW18 5AQ

Post Office Address (If different)

Inventor's signature: T. J. Cotton Date: 27.01.2012

Full name of SECOND or joint inventor, if any Mark Armstrong

Citizenship UK Residence

WALES LL41 4PS

Post Office Address (If different) PONT YR AFON GAM LLAN FFESTINIOG

Inventor's signature: M Armstrong Date: 27. JAN 2012



Nanobebe US Inc., Ex. 1002, Page 493
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

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PATENT & TRADEMARK OFFICE

PTO/SB/81 (01-09)
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# POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

| | |
|---|---|
| Application Number | 11/630,864 |
| Filing Date | 09-20-2007 (371(c)) |
| First Named Inventor | Arnold Rees |
| Title | Teat |
| Art Unit | 3781 |
| Examiner Name | COLLADO, CYNTHIA FRANCISCA |
| Attorney Docket Number | JM-4 |

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

*OR*

☒ I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith: 002387

*OR*

☐ I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

| Practitioner(s) Name | Registration Number |
|---|---|
| | |
| | |
| | |
| | |

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number.

*OR*

☐ The address associated with Customer Number:

*OR*

| | | | | | |
|---|---|---|---|---|---|
| ☒ Firm or Individual Name | OLSON & CEPURITIS, LTD. | | | | |
| Address | 20 North Wacker Drive, 36th Floor | | | | |
| City | Chicago | State | IL | Zip | 60606 |
| Country | USA | | | | |
| Telephone | (312) 580-1180 | Email | | | |

I am the:

☐ Applicant/Inventor.

*OR*

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
*Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on* submitted herewith.

**SIGNATURE of Applicant or Assignee of Record**

| | | | |
|---|---|---|---|
| Signature | | Date | 26TH OCTOBER 2009 |
| Name | Peter Dowson | Telephone | 011-44-1912504518 |
| Title and Company | Finance Director, Jackel International Limited | | |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

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JAN 0 8 2010
JC84
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PTO/SB/96 (08-08)
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## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jackel International Limited

Application No./Patent No.: 11/630,864 Filed/Issue Date: September 20, 2007 (371(c))

Entitled: TEAT

Jackel International Limited (Name of Assignee), a corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. [✓] the assignee of the entire right, title, and interest; or
2. [ ] an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. [✓] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 019873, Frame 0709, or for which a copy therefore is attached.

OR

B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
   The document was recorded in the United States Patent and Trademark Office at
   Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
   The document was recorded in the United States Patent and Trademark Office at
   Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
   The document was recorded in the United States Patent and Trademark Office at
   Reel _____, Frame _____, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

[ ] As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

| | |
|---|---|
| Signature | 16th November 2009<br>Date |
| Peter Dowson<br>Printed or Typed Name | 011-44-1912504518<br>Telephone Number |
| Finance Director, Jackel International Limited<br>Title | |

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

***If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.***

PTO/SB/26 (08-11)
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| **TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT** | Docket Number (Optional) JM-4.2 |
|---|---|

In re Application of: Rees et al.

Application No.: 14/551,783

Filed: 11/24/2014

For: BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS

The owner*, Jackel International Limited, of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of **prior patent** No. 8,910,810 as the term of said **prior patent** is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the **prior patent** are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term of the **prior patent**, "as the term of said **prior patent** is presently shortened by any terminal disclaimer," in the event that said **prior patent** later:

expires for failure to pay a maintenance fee;
is held unenforceable;
is found invalid by a court of competent jurisdiction;
is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
has all claims canceled by a reexamination certificate;
is reissued; or
is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

1. ☐ For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2. ☑ The undersigned is an attorney or agent of record. Reg. No. 30,203

/Arne M. Olson/ — Signature
9/3/2015 — Date

Arne M. Olson — Typed or printed name

312-580-1180 — Telephone Number

☑ Terminal disclaimer fee under 37 CFR 1.20(d) included.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).
Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/AIA/14 (11-15)
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| SUPPLEMENTAL Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/557,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.
This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

## Secrecy Order 37 CFR 5.2:

☐ Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

## Inventor Information:

**Inventor 1** Remove

**Legal Name**

| Prefix | Given Name | Middle Name | Family Name | Suffix |
|---|---|---|---|---|
| | Arnold | | Rees | |

**Residence Information (Select One)** ○ US Residency ◉ Non US Residency ○ Active US Military Service

| City | Newcastle Upon Tyne | Country of Residence [i] | GB |
|---|---|---|---|

**Mailing Address of Inventor:**

| Address 1 | 9 Melmerby Close, Gosforth | | |
|---|---|---|---|
| Address 2 | | | |
| City | Newcastle Upon Tyne | State/Province | OT |
| Postal Code | NE3 5JA | Country [i] | GB |

**Inventor 2** Remove

**Legal Name**

| Prefix | Given Name | Middle Name | Family Name | Suffix |
|---|---|---|---|---|
| | Ian | | Webb | |

**Residence Information (Select One)** ○ US Residency ◉ Non US Residency ○ Active US Military Service

| City | London | Country of Residence [i] | GB |
|---|---|---|---|

**Mailing Address of Inventor:**

| Address 1 | 4 Leinstar Mews | | |
|---|---|---|---|
| Address 2 | | | |
| City | London | State/Province | OT |
| Postal Code | W2 3E4 | Country [i] | GB |

**Inventor 3** Remove

**Legal Name**



Nanobebe US Inc., Ex. 1002, Page 498
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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| SUPPLEMENTAL Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

| Prefix | Given Name | Middle Name | Family Name | Suffix |
|---|---|---|---|---|
| | Mark | | Armstrong | |

Residence Information (Select One) ○ US Residency ◉ Non US Residency ○ Active US Military Service

| City | Wales | Country of Residence i | GB |
|---|---|---|---|

Mailing Address of Inventor:

| Address 1 | Pont Yr Afon Gam | | |
|---|---|---|---|
| Address 2 | | | |
| City | Ffestiniog | State/Province | OT |
| Postal Code | LL414PS | Country i | GB |

Inventor 4 Remove

Legal Name

| Prefix | Given Name | Middle Name | Family Name | Suffix |
|---|---|---|---|---|
| | Tom | | Cotton | |

Residence Information (Select One) ○ US Residency ◉ Non US Residency ○ Active US Military Service

| City | London | Country of Residence i | GB |
|---|---|---|---|

Mailing Address of Inventor:

| Address 1 | 131 Ashtonville Street | | |
|---|---|---|---|
| Address 2 | | | |
| City | London | State/Province | OT |
| Postal Code | SW1 85 AQ | Country i | GB |

All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the **Add** button. Add

## Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below.
For further information see 37 CFR 1.33(a).

☐ An Address is being provided for the correspondence Information of this application.

| Customer Number | 2387 | | |
|---|---|---|---|
| Email Address | | Add Email | Remove Email |



Nanobebe US Inc., Ex. 1002, Page 499
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

PTO/AIA/14 (11-15)
Approved for use through 04/30/2017. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| SUPPLEMENTAL Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

## Application Information:

| | | | |
|---|---|---|---|
| **Title of the Invention** | Baby Bottle With Flexible Nipple Regions | | |
| **Attorney Docket Number** | JM-4.2 | **Small Entity Status Claimed** ☐ | |
| **Application Type** | Nonprovisional | | |
| **Subject Matter** | Utility | | |
| **Total Number of Drawing Sheets (if any)** | 6 | **Suggested Figure for Publication (if any)** | 4 |

## Filing By Reference:

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

| Application number of the previously filed application | Filing date (YYYY-MM-DD) | Intellectual Property Authority or Country |
|---|---|---|
| | | |

## Publication Information:

☐ Request Early Publication (Fee required at time of Request 37 CFR 1.219)

☐ **Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32).
Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

| | | | |
|---|---|---|---|
| Please Select One: | ◉ Customer Number | ○ US Patent Practitioner | ○ Limited Recognition (37 CFR 11.9) |
| Customer Number | 02387 | | |



Nanobebe US Inc., Ex. 1002, Page 500
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

PTO/AIA/14 (11-15)
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| SUPPLEMENTAL Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

## Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.
When referring to the current application, please leave the "Application Number" field blank.

| Prior Application Status | Patented | | | | Remove |
|---|---|---|---|---|---|
| Application Number | Continuity Type | Prior Application Number | Filing Date (YYYY-MM-DD) | Patent Number | Issue Date (YYYY-MM-DD) |
| 14551783 | Continuation of | 13364557 | 2012-02-02 | 8910810 | 2014-12-16 |
| Prior Application Status | Patented | | | | Remove |
| Application Number | Continuity Type | Prior Application Number | Filing Date (YYYY-MM-DD) | Patent Number | Issue Date (YYYY-MM-DD) |
| 13364557 | Continuation of | 11630864 | 2007-09-20 | 8181800 | 2012-05-22 |
| Prior Application Status | | | | | Remove |
| Application Number | Continuity Type | Prior Application Number | Filing or 371(c) Date (YYYY-MM-DD) | | |
| 11630864 | a 371 of international | PCT/GB2005/002532 | 2005-06-29 | | |

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

## Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)[i] the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

| | | | Remove |
|---|---|---|---|
| Application Number | Country[i] | Filing Date (YYYY-MM-DD) | Access Code[i] (if applicable) |
| 2004/14560.3 | GB | 2004-06-29 | |
| | | | Remove |
| Application Number | Country[i] | Filing Date (YYYY-MM-DD) | Access Code[i] (if applicable) |
| 2005/02599.4 | GB | 2005-02-08 | |

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| SUPPLEMENTAL Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

## Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

☐ This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.



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| SUPPLEMENTAL Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

## Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant must opt-out of the authorization by checking the corresponding box A or B or both in subsection 2 below.

**NOTE**: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

**1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)**

**A. Priority Document Exchange (PDX)** - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby **grants the USPTO authority** to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h)(1).

**B. Search Results from U.S. Application to EPO** - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby **grants the USPTO authority** to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

**2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)**

☐ A. Applicant **DOES NOT** authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.

☐ B. Applicant **DOES NOT** authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

**NOTE:** Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.



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| SUPPLEMENTAL Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

## Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

**Applicant 1**

If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.

Clear

| ◉ Assignee | ○ Legal Representative under 35 U.S.C. 117 | ○ Joint Inventor |
|---|---|---|
| ○ Person to whom the inventor is obligated to assign. | ○ Person who shows sufficient proprietary interest | |

If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:

| | |
|---|---|
| Name of the Deceased or Legally Incapacitated Inventor: | |
| If the Applicant is an Organization check here. | ☒ |
| Organization Name | Jackel International Limited |

**Mailing Address Information For Applicant:**

| | | | |
|---|---|---|---|
| **Address 1** | Dudley Lane | | |
| Address 2 | Cramlington | | |
| **City** | Northumberland | **State/Province** | OT |
| **Country** | GB | Postal Code | NE23 7RH |
| Phone Number | | Fax Number | |
| Email Address | | | |

Additional Applicant Data may be generated within this form by selecting the Add button.

## Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.



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| SUPPLEMENTAL Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

**Assignee 1**

Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.

If the Assignee or Non-Applicant Assignee is an Organization check here. ☒

| Organization Name | Jackel International Limited |
|---|---|

**Mailing Address Information For Assignee including Non-Applicant Assignee:**

| | | | |
|---|---|---|---|
| **Address 1** | Dudley Lane | | |
| Address 2 | Cramlington | | |
| **City** | Northumberland | **State/Province** | OT |
| **Country** i | GB | Postal Code | NE23 7RH |
| Phone Number | | Fax Number | |
| Email Address | | | |

Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.

## Signature:

**NOTE:** This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). **However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).**

This Application Data Sheet **must** be signed by a patent practitioner if one or more of the applicants is a **juristic entity** (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, **all** joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of **all** joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

| **Signature** | /Arne M. Olson/ | | | Date (YYYY-MM-DD) | 2016-01-14 |
|---|---|---|---|---|---|
| First Name | Arne | Last Name | Olson | Registration Number | 30203 |

Additional Signature may be generated within this form by selecting the Add button.



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| SUPPLEMENTAL Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 24622329 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Michael Dimitrios Zaronias/Jill Dykstra |
| **Filer Authorized By:** | Michael Dimitrios Zaronias |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 14-JAN-2016 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 13:24:47 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | | JM-4-2-ResponsetoDisapprovalTermDisclaim.pdf | 99439<br>67bd6f40a9ff6717616b5d5a23eb839ae6114d6f | yes | 3 |

| | Multipart Description/PDF files in .zip description | | | | |
|---|---|---|---|---|---|
| | Document Description | | Start | End | |
| | Amendment Copy Claims/Response to Suggested Claims | | 1 | 1 | |
| | Applicant Arguments/Remarks Made in an Amendment | | 2 | 3 | |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 2 | Power of Attorney | Declaration_Power_of_Attorney.pdf | 198953<br>9d1027e55d54afb8e120d07db3cac4bea423dfd7 | no | 3 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 3 | Power of Attorney | Power_of_Attorney_and_Revocation.pdf | 116552<br>cc4b9139f4159ac30937940a43dd32356b366714 | no | 2 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 4 | Terminal Disclaimer Filed | Terminal_Disclaimer_sb0026.pdf | 374053<br>fbc16b301b58305ce862b50bcebf5811a9fa5751 | no | 2 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 5 | Application Data Sheet | JM-4-2-SuppADS.pdf | 659780<br>3b9f685b865ddac84d1d138d87ea6a397f51367c | no | 9 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| This is not an USPTO supplied ADS fillable form | | | | | |
| | | **Total Files Size (in bytes):** | 1448777 | | |

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

| Application Number | Application/Control No. | Applicant(s)/Patent under Reexamination | |
|---|---|---|---|
| | 14/551,783 | REES ET AL. | |
| | | | |

| Document Code - DISQ | Internal Document – DO NOT MAIL |
|---|---|

| TERMINAL DISCLAIMER | ☐ APPROVED | ☒ DISAPPROVED |
|---|---|---|
| Date Filed : 9/18/15 | This patent is subject to a Terminal Disclaimer | |

**Approved/Disapproved by:**

Td disapproved.

The person who signed the terminal disclaimer (only for applications filed on or after September 16, 2012 ): is not the applicant, patentee or an attorney or agent of record. 37 CFR 1.321(a) and (b). (See FP 14.26.08)

Also resubmit Td with this paper, NO FEE is required.

Lawana Hixon



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Applicants: | Rees et al. | ) | Confirmation No.: 6509 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Group Art Unit: 3643 |
| | | ) | |
| Filed: | November 24, 2014 | ) | Examiner: COLLADO, Cynthia |
| | | ) | |
| For: | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | ) | Attorney Docket No.: JM-4.2 |

**RESPONSE TO OFFICE ACTION**

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is in response to the Office Action mailed May 20, 2015. Applicant encloses a Petition for Extension of Time for one month and the required fee of two-hundred dollars ($200.00) and a Terminal Disclaimer and its required fee of one hundred sixty dollars ($160.00) for a grand total of three hundred sixty dollars ($360.00). Please consider the remarks as follows:

**Remarks** begin on page 2 of this document.

## REMARKS

**Status of the Application**

1. Claims 1-17 currently pending and not amended
2. Terminal Disclaimer submitted
3. Applicant remarks traversing rejection under 37 CFR 103(a)

In the present Office Action, claims 1-17 were rejected on the grounds of nonstatutory double patenting as being unpatentable over claims 1-56 of U.S. Patent No. 8,910,810. Claims 1-17 were also rejected under 35 USC 103(a) as being unpatentable over Morano (U.S. Patent No. 6,959,827) in view of Uehara (U.S. Publication No. 2003/0089676).

Before addressing the specifics of the claim rejections, Applicant submits that the claims in the instant application are different than those of the parent application or other related applications. Also, the Applicant rescinds any disclaimer of claim scope made in the parent application or any predecessor application in relation to the instant application. The Examiner is therefore advised that any such previous disclaimer and the cited references that it was made to avoid, may need to be revisited. Accordingly, It is requested that all of the claims in this application be interpreted on the basis of the language set forth in them and the broadest reasonable interpretation in view of the specification, and no limitations or prosecution disclaimers on the language of the claims should be imported into any claim from earlier prosecution or prior versions of the claims or prior patents. It will be assumed that no such importing is being conducted unless a statement is made by the PTO that it is doing so. Further, the Examiner is also reminded that any disclaimer made in the instant application should not be read into or against the parent application.

**Non-Statutory Double Patenting**

In response to the nonstatutory double patenting rejection of the claims, Applicant encloses a terminal disclaimer and the requisite fee of one hundred sixty dollars ($160.00). Accordingly, Applicant requests that the double patenting rejection be withdrawn.



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**Rejection Under 37 CFR 103(a)**

With respect to the rejection of the claims under USC 103(a), Applicant respectfully traverses this rejection. In order to establish a prima facie case of obviousness, the prior art references must teach or suggest all the claim limitations and there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine references. In addition, there must be a reasonable expectation of success. *In re Vaeck*, 947 F.2d 488, 20 USPQ2d 1438 (Fed. Cir. 1991).

This first assumes the cited references are proper analogous art. In order for a reference to be proper for use in an obviousness rejection, the reference must be analogous art to the claimed invention. *In re Bigio*, 381 F.3d 1320, 1325 (Fed. Cir. 2004). A reference is analogous art to the claimed invention if: (1) the reference is from the same field of endeavor as the claimed invention (even if it addresses a different problem); or (2) the reference is reasonably pertinent to the problem faced by the inventor (even if it is not in the same field of endeavor as the claimed invention). See *Bigio*, 381 F.3d at 1325.

Applicant submits that the rejection of claims 1-17 under 35 USC 103(a) is improper at least because Morano is not analogous art and even if Morano is analogous art, the combination with Ueahara still does not teach or suggest all the features of the claims.

**Morano is Non-Analogous Art**

Morano is not analogous art because it is neither from the same field of endeavor nor reasonably pertinent to the problem faced by the inventor. The field of endeavor of the present invention is infant bottles having a nipple region that provides a more natural feeding experience through flexible movement of the nipple region and consistent fluid flow. As shown below, the Morano reference is from the field of juvenile drinking vessels with movable handles.



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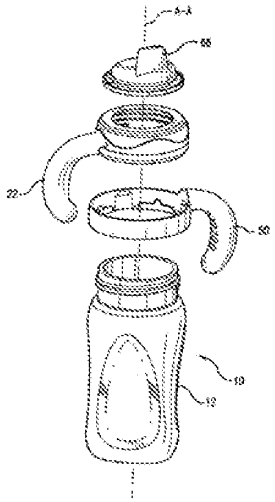


FIG.2

These are clearly different fields of endeavor. Accordingly, Morano reference fails this prong of the analogous art test.

In addition, Morano is not reasonably pertinent to the problem faced by the present inventors. That problem relates to a baby bottle having a nipple region that mimics the natural feeding function of a human breast. Accordingly, the nipple region should move or flex as the infant suckles and should prevent interruptions to fluid flow. Morano provides no assistance in solving this problem. Morano is concerned with providing a drinking vessel that is easier to grasp and manipulate. Hence, Morano also fails to satisfy the second prong of the analogous art test. As a result, Morano is not analogous art. For at least these reasons, Applicant respectful requests reconsideration and withdrawal of the rejection of claims 1-17 based on the Morano reference.

**The Combination of Morano and Uehara Fails to Disclose All the Features of Independent Claims 1, 9 and 13**

**Claim 1**

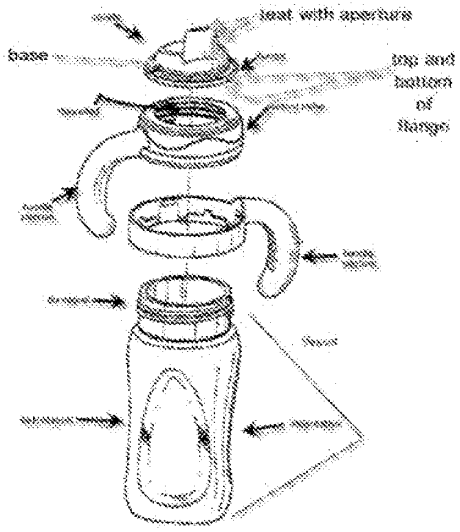
With respect to independent claim 1, the Office Action asserts that Morano discloses all the features of claim 1 except for a teat portion having a variable wall thickness including an aperture, a vent passage extending through the base portion and the vent passage having an air



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valve below a top edge of a collar. The Office Action further asserts that Uehara discloses these missing features and thus the combination discloses all the features of claim 1. First, Applicant respectfully submits that Morano does not disclose the features identified in the Office Action and second even if Morano does disclose the identified features, Uehara fails to teach the missing features.

The Office Action alleges that Morano discloses an areola portion between the teat portion and base portion by referencing an annotated figure from Morano, which is reproduced below.




While the figure labels certain structures as a teat portion and a base portion, there is no showing of an areola portion. The areola portion is simply absent in the figure and nowhere in the specification does Morano disclose an areola portion.

The Office Action also alleges that Morano discloses a flange having downwardly depending cylindrical portion and again points to the provided figure as evidence. However, while there may be a flange type structure shown in the figure, there is no indication that this structure has a downwardly depending cylindrical portion as recited by claim 1. There is simply no disclosure in Morano of a flange having a downwardly depending cylindrical portion as recited in claim 1.

Since Morano fails to teach or suggest these features, Applicant respectfully requests reconsideration and withdrawal of the rejection of claim 1.



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Even assuming the Morano reference discloses the above features, which it does not, Uehara fails to cure the deficiencies identified in the Office Action, namely, a vent passage extending through the base portion and the vent passage having an air valve below a top edge of a collar. The Office Action points to an air valve slit 214c in the provided figure and references paragraph [0224] of Uehara. While Uehara classifies this as a vent valve it still does not correspond to the feature recited in claim 1. Claim 1 recites "a vent passage extending through the base portion." The base portion is defined in claim 1 as "having a wall thickness in a domed configuration." As shown below on the left, vent passage 126 extends through the base portion and the base portion has a wall thickness in a domed configuration. As shown in Uehara on the right, the vent does not extend through the base portion having a domed configuration. The vent disclosed by Uehara appears to extend through a flat wall portion. This flat wall portion cannot correspond to a base portion having a wall thickness in a domed configuration.

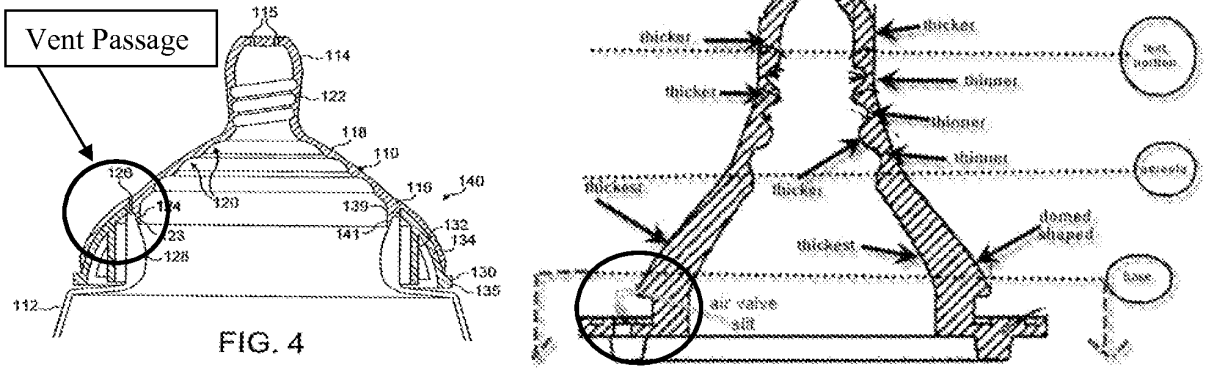



FIG. 4

It is not enough to simply allege a corresponding structure without considering the full extent of the claimed feature. Uehara does not disclose a vent passage extending through the base portion as a base portion is defined in claim 1.

In addition, Uehara does not disclose or suggest that the vent has an air valve below a top edge of a collar as recited in claim 1. Neither the figure provided nor cited paragraph [0224], discloses such a feature. Indeed there is indication in the Office Action that Uehara even discloses a collar so it cannot disclose an air valve below a top edge of a collar.



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In summary, Uehara fails to disclose not only the missing features noted in the Office Action but also fails to disclose the other features absent in Morano as described above by Applicant. For at least these reasons, Applicant respectfully requests reconsideration and withdrawal of the rejection of claim 1.

**Claim 9**

With respect to independent claim 9, the Office Action asserts that Morano discloses all the features of claim 9 except for a vent passage extending through the base portion and the vent passage having an air valve below a top edge of a collar. The Office Action further asserts that Uehara discloses these missing features and thus the combination discloses all the features of claim 9. First, Applicant respectfully submits that Morano does not disclose the features identified in the Office Action and second even if Morano does disclose the identified features Uehara fails to teach the missing features.

The Office Action alleges that Morano discloses a flange having downwardly depending cylindrical portion and points to the provided figure as evidence. However, while there may be a flange type structure shown in the figure, there is no indication that this structure has a downwardly depending cylindrical portion as recited by claim 9. There is simply no disclosure in Morano of a flange having a downwardly depending cylindrical portion as recited in claim 9.

For at least this reason, Applicant respectfully requests reconsideration and withdrawal of the rejection of claim 9.

Even assuming the Morano reference discloses the above features which it does not, Uehara fails to cure the deficiencies identified in the Office Action, namely, a vent passage extending through the base portion and the vent passage having an air valve below a top edge of a collar. The Office Action points to an air valve slit 214c in the provided figure and references paragraph [0224] of Uehara. While Uehara classifies this as a vent valve it still does not correspond to the feature recited in claim 9. Claim 9 recites a vent passage extending through the base portion. The base portion is defined in claim 9 as having a wall thickness in a domed configuration. The vent disclosed by Uehara is positioned on what appears to be a flat wall portion. This flat wall portion cannot correspond to the claimed base portion because it is certainly not a base portion having a wall thickness in a domed configuration. It is not enough to



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simply allege a corresponding structure without considering the full extent of the claimed feature. Uehara does not disclose a vent passage extending through the base portion as a base portion is defined in claim 9.

In addition, Uehara does not disclose or suggest an air valve below a top edge of a collar. Neither the figure provided nor cited paragraph [0224], discloses such a feature. Indeed there is indication in the Office Action that Uehara discloses a collar.

In summary, Uehara fails to disclose not only the missing features noted in the Office Action but also fails to disclose the other features absent in Morano as described above by Applicant. For at least these reasons, Applicant respectfully requests reconsideration and withdrawal of the rejection of claim 9.

**Claim 13**

With respect to claim 13 (which was appears to be mislabeled as claim 9 on page 10 of the Office Action), the Office Action asserts that Morano discloses all the features of claim 13 except for a vent passage extending through the base portion and the vent passage having an air valve below a top edge of a collar. However, claim 13 does not recite a vent passage having an air valve below a top edge of a collar. In any event, the Office Action further asserts that Uehara discloses these missing features and thus the combination of Morano and Uehara discloses all the features of claim 13. First, Applicant respectfully submits that Morano does not disclose the features identified in the Office Action and second even if Morano does disclose the identified features Uehara fails to teach the missing features.

The Office Action alleges that Morano discloses a teat portion having a groove around its inner cylindrical vertical surface and a flow valve as recited by claim 13, and directs Applicant to view the provided figure. It is abundantly clear however that this figure does not support the contention that Morano discloses such features. The figure fails to even show an inner surface of an alleged teat portion, and the specification provides no support for such features. Since Morano does not show or describe an inner surface it is impossible for Morano to teach or suggest the subject matter of claim 13.

The Office Action also alleges that Morano discloses an areola portion between the teat portion and base portion by referencing a figure from Morano. While the figure labels certain



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structures as a teat portion and a base portion, there is no showing of an areola portion between them. The areola portion is simply absent in the figure and nowhere does Morano disclose an areola portion.

The Office Action also alleges that Morano discloses a flange having downwardly depending cylindrical portion and again points to the provided figure as evidence. However, while there may be a flange type structure shown in the figure, these is no indication that this structure has a downwardly depending cylindrical portion as recited by claim 13. There is simply no disclosure in Morano of a flange having a downwardly depending cylindrical portion as recited in claim 13.

For at least these reasons, Applicant respectfully requests reconsideration and withdrawal of the rejection of claim 13.

Even assuming the Morano reference discloses the above features, which it does not, Uehara fails to cure the deficiencies identified in the Office Action, namely, a vent passage extending through the base portion and the vent passage having an air valve below a top edge of a collar. The Office Action points to an air valve slit 214c in the provided figure and references paragraph [0138] of Uehara. While Uehara classifies this as a vent valve and describes how it opens under pressure, this structure still does not correspond to the features recited in claim 13. Claim 13 recites a vent passage extending through the base portion. The base portion is defined as having a domed configuration. The vent of Uehara is positioned on what appears to be a flat wall portion. This flat wall portion cannot correspond to a base portion and it certainly not to a base portion having a domed configuration. It is not enough to simply allege a corresponding structure without considering the full extent of the claimed feature. Uehara does not disclose a vent passage extending through the base portion as a base portion is defined in claim 13.

In summary, Uehara fails to disclose not only the missing features noted in the Office Action but also fails to disclose the other features absent in Morano as described above by Applicant. For at least these reasons, Applicant respectfully requests reconsideration and withdrawal of the rejection of claim 13.

**Dependent Claims Are Also Allowable**

Since claims 2-8, 10-12 and 14-17 depend from claims 1, 9 and 13 respectively, they are



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allowable for at least the same reasons given above with respect to the independent claims. In addition, these dependent claims are patentably distinct and recite additional features which are patentable. Therefore, Applicant respectfully requests reconsideration and withdrawal, of the rejection of claims 2-8, 10-12 and 14-17.



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**Conclusion**

In summary, the claims should now be in condition for allowance. A Notice of Allowance is respectfully requested. No other fees should be due with the filing of this response. However should any additional fees be required the, please credit our Deposit Account No. 15-0508.

Respectfully submitted,

Date: September 18, 2015

By /Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

OLSON & CEPURITIS, LTD.
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Attorneys for Applicants

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I hereby certify that this correspondence is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the USPTO on September 18, 2015.

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Arne M. Olson
Signature /Arne M. Olson /



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PTO/SB/22 (03-13)
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# PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional): JM-4.2

Application Number: 14/551,783

Filed: November 24, 2014

For: Baby Bottle with Flexible Nipple Regions

Art Unit: 3781

Examiner: COLLADO, Cynthia

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application.

The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):

| | | Fee | Small Entity Fee | Micro Entity Fee | |
|---|---|---|---|---|---|
| ☑ | One month (37 CFR 1.17(a)(1)) | $200 | $100 | $50 | $ 200.00 |
| ☐ | Two months (37 CFR 1.17(a)(2)) | $600 | $300 | $150 | $ |
| ☐ | Three months (37 CFR 1.17(a)(3)) | $1,400 | $700 | $350 | $ |
| ☐ | Four months (37 CFR 1.17(a)(4)) | $2,200 | $1,100 | $550 | $ |
| ☐ | Five months (37 CFR 1.17(a)(5)) | $3,000 | $1,500 | $750 | $ |

☐ Applicant asserts small entity status. See 37 CFR 1.27.

☐ Applicant certifies micro entity status. See 37 CFR 1.29.
Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.

☐ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number _____.

☑ Payment made via EFS-Web.

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I am the

☐ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71. 37 CFR 3.73(b) statement is enclosed (Form PTO/SB/96).

☑ attorney or agent of record. Registration number 30,203.

☐ attorney or agent acting under 37 CFR 1.34. Registration number _____.

/Arne M. Olson/ — Signature

September 18, 2015 — Date

Arne M. Olson — Typed or printed name

312-580-1180 — Telephone Number

**NOTE:** This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.

☑ * Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/SB/26 (08-11)
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| **TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT** | Docket Number (Optional) JM-4.2 |
|---|---|

In re Application of: Rees et al.

Application No.: 14/551,783

Filed: 11/24/2014

For: BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS

The owner*, Jackel International Limited, of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of **prior patent** No. 8,910,810 as the term of said **prior patent** is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the **prior patent** are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term of the **prior patent**, "as the term of said **prior patent** is presently shortened by any terminal disclaimer," in the event that said **prior patent** later:

expires for failure to pay a maintenance fee;
is held unenforceable;
is found invalid by a court of competent jurisdiction;
is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
has all claims canceled by a reexamination certificate;
is reissued; or
is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

1. ☐ For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2. ☑ The undersigned is an attorney or agent of record. Reg. No. 30,203

/Arne M. Olson/ — Signature
9/18/2015 — Date

Arne M. Olson — Typed or printed name

312-580-1180 — Telephone Number

☑ Terminal disclaimer fee under 37 CFR 1.20(d) included.

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*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).
Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# Electronic Patent Application Fee Transmittal

| | |
|---|---|
| **Application Number:** | 14551783 |
| **Filing Date:** | 24-Nov-2014 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Filer:** | Michael Dimitrios Zaronias/Jill Dykstra |
| **Attorney Docket Number:** | JM-4.2 |

Filed as Large Entity

**Filing Fees for Utility under 35 USC 111(a)**

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Basic Filing:** | | | | |
| **Pages:** | | | | |
| **Claims:** | | | | |
| **Miscellaneous-Filing:** | | | | |
| **Petition:** | | | | |
| **Patent-Appeals-and-Interference:** | | | | |
| **Post-Allowance-and-Post-Issuance:** | | | | |
| **Extension-of-Time:** | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| Extension - 1 month with $0 paid | 1251 | 1 | 200 | 200 |
| **Miscellaneous:** | | | | |
| Statutory or Terminal Disclaimer | 1814 | 1 | 160 | 160 |
| | | **Total in USD ($)** | | **360** |

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 23538773 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Michael Dimitrios Zaronias/Jill Dykstra |
| **Filer Authorized By:** | Michael Dimitrios Zaronias |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 18-SEP-2015 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 15:32:59 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

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| Submitted with Payment | yes |
| Payment Type | Deposit Account |
| Payment was successfully received in RAM | $360 |
| RAM confirmation Number | 2001 |
| Deposit Account | 150508 |
| Authorized User | OLSON, ARNE M. |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: | |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | | JM-4-2-ama.pdf | 277976<br>8ffd7e380a3a0bde7fa7540a0d2d7f9dc1519319 | yes | 11 |
| | **Multipart Description/PDF files in .zip description** | | | | |
| | **Document Description** | | **Start** | **End** | |
| | Amendment Copy Claims/Response to Suggested Claims | | 1 | 1 | |
| | Claims | | 2 | 10 | |
| | Applicant Arguments/Remarks Made in an Amendment | | 11 | 11 | |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 2 | Extension of Time | JM-4-2-extoftime.pdf | 187182<br>9776b47d1a7520de2d3869db98a03a2eb8a92799 | no | 2 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 3 | Terminal Disclaimer Filed | JM-4-2-TerminalDiscl.pdf | 374071<br>0fa4d1759ddb0ca1e94beeb9c445ef1ad7aee624 | no | 2 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 4 | Fee Worksheet (SB06) | fee-info.pdf | 32309<br>3f2fba4290b694f1aa0aa795b38bb923e5614038 | no | 2 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| | | **Total Files Size (in bytes):** | 871538 | | |

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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

PTO/SB/06 (09-11)
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| PATENT APPLICATION FEE DETERMINATION RECORD<br>Substitute for Form PTO-875 | Application or Docket Number<br>14/551,783 | Filing Date<br>11/24/2014 | ☐ To be Mailed |
|---|---|---|---|

**ENTITY**: ☒ LARGE ☐ SMALL ☐ MICRO

## APPLICATION AS FILED – PART I

| | (Column 1) | (Column 2) | | |
|---|---|---|---|---|
| FOR | NUMBER FILED | NUMBER EXTRA | RATE ($) | FEE ($) |
| ☐ BASIC FEE (37 CFR 1.16(a), (b), or (c)) | N/A | N/A | N/A | |
| ☐ SEARCH FEE (37 CFR 1.16(k), (i), or (m)) | N/A | N/A | N/A | |
| ☐ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q)) | N/A | N/A | N/A | |
| TOTAL CLAIMS (37 CFR 1.16(i)) | minus 20 = | * | X $ = | |
| INDEPENDENT CLAIMS (37 CFR 1.16(h)) | minus 3 = | * | X $ = | |
| ☐ APPLICATION SIZE FEE (37 CFR 1.16(s)) | If the specification and drawings exceed 100 sheets of paper, the application size fee due is $310 ($155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | |
| ☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) | | | | |
| * If the difference in column 1 is less than zero, enter "0" in column 2. | | | TOTAL | |

## APPLICATION AS AMENDED – PART II

| AMENDMENT | 09/18/2015 | (Column 1) CLAIMS REMAINING AFTER AMENDMENT | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | RATE ($) | ADDITIONAL FEE ($) |
|---|---|---|---|---|---|---|---|
| | Total (37 CFR 1.16(i)) | * 17 | Minus | ** 20 | = 0 | X $80 = | 0 |
| | Independent (37 CFR 1.16(h)) | * 3 | Minus | ***3 | = 0 | X $420 = | 0 |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | |
| | | | | | | TOTAL ADD'L FEE | 0 |

| AMENDMENT | | (Column 1) CLAIMS REMAINING AFTER AMENDMENT | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | RATE ($) | ADDITIONAL FEE ($) |
|---|---|---|---|---|---|---|---|
| | Total (37 CFR 1.16(i)) | * | Minus | ** | = | X $ = | |
| | Independent (37 CFR 1.16(h)) | * | Minus | *** | = | X $ = | |
| | ☐ Application Size Fee (37 CFR 1.16(s)) | | | | | | |
| | ☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | |
| | | | | | | TOTAL ADD'L FEE | |

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE
/DORRETTA BROOKS/

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**
*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 | 6509 |

2387 7590 05/20/2015

Olson & Cepuritis, LTD.
20 NORTH WACKER DRIVE
36TH FLOOR
CHICAGO, IL 60606

| EXAMINER | |
|---|---|
| COLLADO, CYNTHIA FRANCISCA | |

| ART UNIT | PAPER NUMBER |
|---|---|
| 3781 | |

| MAIL DATE | DELIVERY MODE |
|---|---|
| 05/20/2015 | PAPER |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.



Nanobebe US Inc., Ex. 1002, Page 532
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Office Action Summary | Application No. 14/551,783 | Applicant(s) REES ET AL. | |
|---|---|---|---|
| | Examiner CYNTHIA COLLADO | Art Unit 3781 | AIA (First Inventor to File) Status No |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --*

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE *3* MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1)☒ Responsive to communication(s) filed on *11/24/2014*.

☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2a)☐ This action is **FINAL**. 2b)☒ This action is non-final.

3)☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

4)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims***

5)☒ Claim(s) *1-17* is/are pending in the application.

5a) Of the above claim(s) _____ is/are withdrawn from consideration.

6)☐ Claim(s) _____ is/are allowed.

7)☒ Claim(s) *1-17* is/are rejected.

8)☐ Claim(s) _____ is/are objected to.

9)☐ Claim(s) _____ are subject to restriction and/or election requirement.

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

**Application Papers**

10)☐ The specification is objected to by the Examiner.

11)☒ The drawing(s) filed on *11/24/2014* is/are: a)☒ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

a)☐ All b)☐ Some** c)☐ None of the:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1) ☒ Notice of References Cited (PTO-892)

2) ☒ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date *1/23/2015*.

3) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ .

4) ☐ Other: _____.



Nanobebe US Inc., Ex. 1002, Page 533
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

The present application is being examined under the pre-AIA first to invent provisions.

## DETAILED ACTION

### *Double Patenting*

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the claims at issue are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the reference application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of

activities undertaken within the scope of a joint research agreement. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

The USPTO internet Web site contains terminal disclaimer forms which may be used. Please visit http://www.uspto.gov/forms/. The filing date of the application will determine what form should be used. A web-based eTerminal Disclaimer may be filled out completely online using web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more information about eTerminal Disclaimers, refer to http://www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

Claims 1-17 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-56 of U.S. Patent No. 8, 910,810. Although the claims at issue are not identical, they are not patentably distinct from each other because U.S. Patent No. 8, 910,810 discloses the following: *a feeding bottle comprising: a nipple having: a teat portion having a variable wall thickness including an aperture, a base portion having a wall thickness in a domed configuration, an areola portion between the teat portion and the base portion, a flange connected to the base portion, a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar, and the flange being arranged to seal with a vessel; the vessel having: an open top with a first diameter, a body with a second diameter, the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body; the collar having: an internally threaded cylindrical portion, a downwardly domed peripheral portion surrounding and extending*

*from the cylindrical portion, and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel.*

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Morano (US Patent No.6, 959, 827) in view of Uehara (US 2003/0089676).

**[Claim 1]** Morano discloses a nipple (see fig below) having a teat and an aereola portion (see teat above areola in fig below), a base portion having a wall thickness in a domed configuration,

a flange having a downwardly depending cylindrical portion and connected to the base portion(see fig below),

the vessel having: an open top with a first diameter(see fig below), a body with a second diameter(see fig below), the body being connected with the open top(see fig below), and the first diameter of the open top being less than the second diameter of the body(see fig below); the collar having: an internally threaded cylindrical portion, a downwardly domed peripheral portion surrounding and extending from the cylindrical portion(see fig below), and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel and the flange being arranged to seal with a vessel(see fig below)

Morano fails to show

a teat portion having a variable wall thickness including an aperture, a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar.

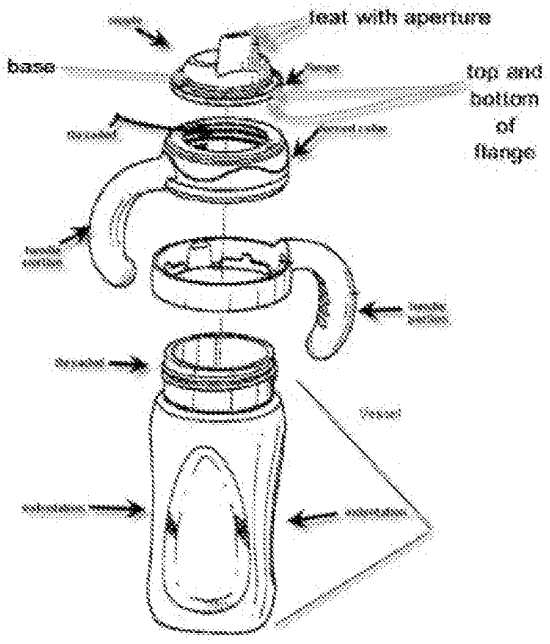
The reference to Uehara discloses an elastic teat portion having a variable wall thickness (see fig below) including an aperture (see fluid opening),

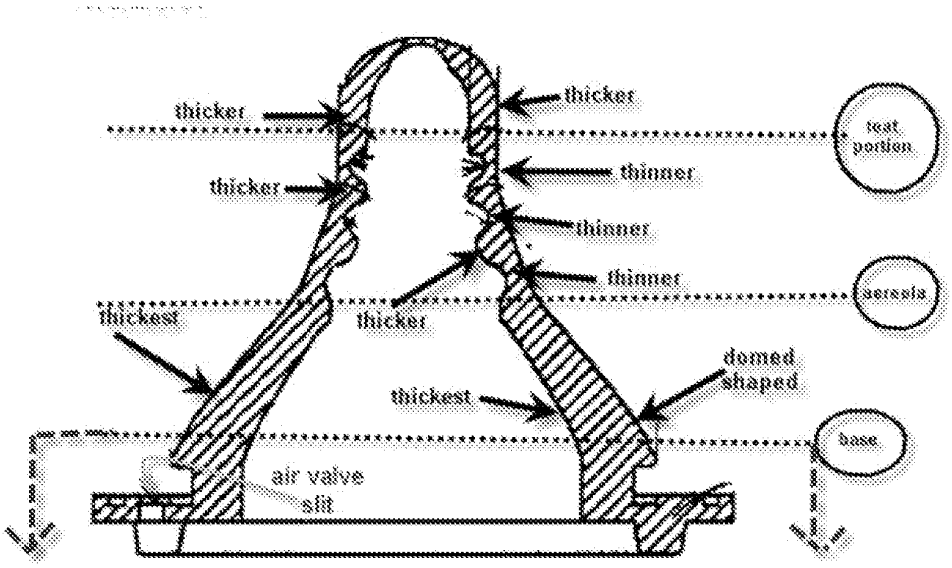
a slit air valve connected to the base portion wherein the slit valve extends generally transverse to the base portion and opens under negative pressure within the feeding bottle(see fig below,[0224]),

a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar(see fig below,[0224]).

Based on the teaching of Uehara it would have been obvious to one having ordinary skill in the art at the time the invention was made to a teat portion having a variable wall thickness (see fig below) including an aperture(see fluid opening),

a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar(see fig below,[0224]) to allow the nipple to behave in the same manner as the nipple of the mother.


neat with aperture
base
top and bottom of flange


thicker
thicker
thicker
thinner
thinner
thinner
thickest
thicker
thickest
domed shaped
neat portion
shoulder
base
air valve slit

**[Claim 2]** Morano in view of Uehara discloses wherein the vessel has two indentations (see **Morano** in the fig above).

**[Claim 3]** Morano in view of Uehara discloses wherein the flange forms an elastomer sealing ring sealing against the top of the vessel (see Uehara in fig above also [0072]).

**[Claim 4]** Morano in view of Uehara discloses wherein the open top of the vessel comprises a vessel neck (see **Morano**, in the fig above).

**[Claim 5]** Morano in view of Uehara discloses wherein the open top of the vessel comprises an outwardly threaded vessel neck (see **Morano**, in the fig above).

**[Claim 6]** Morano in view of Uehara discloses wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel (see **Morano**, in the fig above).

**[Claim 7]** Morano in view of Uehara discloses wherein the collar comprises polypropylene (see Morano, col 3, lines 27-30).

**[Claim 8]** Morano in view of Uehara discloses wherein the air valve is a one-way air-inlet valve (see **Uehara**, [0137]).

**[Claim 9]** Morano discloses a nipple having a teat portion including an aperture (see fig above),

a base portion having a domed configuration(see domed shaped base), a flange having a downwardly depending cylindrical portion and connected to the base portion (see flange wall where it goes from the top to the bottom section), and the flange being arranged to seal with a vessel(see fig above);

the vessel having an open top with a first diameter(see fig above), a body with a second diameter(see fig above), the body being connected with the open top(see fig above), and the first diameter of the open top being less than the second diameter of the body(see diameter of the open top to be smaller than the widest part of the body in the fig above); the collar having an internally threaded cylindrical portion(see fig above), a downwardly domed peripheral portion surrounding and extending from the cylindrical portion(see fig above), the domed peripheral portion having a horizontal outward annular flange at its base(see fig above), and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel(see fig above).

Morano fails to show a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar.

Uehara discloses a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar to adjust the imbalance of the pressure when there occurs an imbalance of the pressure (see fig below [0138]).

Based on the teaching of Uehara it would have been obvious to one having ordinary skill in the art at the time the invention was made to have a vent passage having an air valve below a top edge of a collar to adjust the imbalance of the pressure when there occurs an imbalance of the pressure (see fig below, [0138]).

**[Claim 10]** Morano in view of Uehara discloses wherein the horizontal outward annular flange is thicker than the domed peripheral portion (see Uehara fig above).

**[Claim 11]** Morano in view of Uehara discloses wherein the diameter of the horizontal outward annular flange is less than the diameter of the vessel body (see Morano fig above where the flange fits inside of the vessel body).

**[Claim 11]** Morano in view of Uehara discloses wherein the domed peripheral portion terminates at a central circular orifice corresponding with the open top of the vessel (see Uehara fig above where the dome section ends at a central circular office).

**[Claim 9]** Morano discloses a nipple having: a teat portion having a groove around its inner cylindrical vertical surface and a flow valve, a base portion having a domed configuration(see fig above), an areola portion between the teat portion and the base portion(see fig above), a flange having a downwardly depending cylindrical portion and connected to the base portion(see fig above), the vessel having: an open top with a first

diameter, a body with a second diameter, the body being connected with the open top, and the first diameter of the open top being less than the second diameter of the body(see fig above);

the collar having: an internally threaded cylindrical portion(see fig above), a downwardly domed peripheral portion extending from the cylindrical portion, and the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel(see fig above). Morano fails to show a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar.

Uehara discloses a vent passage extending through the base portion, the vent passage having an air valve below a top edge of a collar to adjust the imbalance of the pressure when there occurs an imbalance of the pressure (see fig below [0138]).

Based on the teaching of Uehara it would have been obvious to one having ordinary skill in the art at the time the invention was made to have a vent passage having an air valve below a top edge of a collar to adjust the imbalance of the pressure when there occurs an imbalance of the pressure (see fig below, [0138]).

**[Claim 14]** Morano in view of Uehara discloses a teat having a texture.
Morano in view of Uehara do not disclose an outer surface of the teat portion has a first texture and an outer surface of the areola portion has a second texture. At the time the invention was made, it would have been an obvious matter of design choice to a person of ordinary skill in the art to have a first texture on an outer surface of the teat portion

and a outer surface of the areola portion have a second texture of the combination of claim 13 because Applicant has not disclosed that having a first texture on an outer surface of the teat portion and an outer surface of the areola portion have a second texture provides an advantage, is used for a particular purpose, or solves a stated problem. One of ordinary skill in the art, furthermore, would have expected the teat in the combination above, and applicant's invention, to perform equally well with either the outer surface texture taught by the combination above or the claimed 14 text outer surface texture because both teats would perform the same function of allowing the nipple to behave in the same manner as the nipple of the mother

Therefore, it would have been prima facie obvious to modify the combination above to obtain the invention as specified in claim 14 because such a modification would have been considered a mere design consideration which fails to patentably distinguish over the prior art of the combination above.

**[Claim 15]** Morano in view of Uehara discloses wherein an inner surface of the areola portion has at least one groove extending circumferentially (see Uehara fig above).

**[Claim 16]** Morano in view of Uehara discloses wherein the teat walls decrease in thickness from the base portion through to the teat portion (see Uehara fig above).

**[Claim 17]** Morano in view of Uehara discloses wherein the air valve is located below a top edge of the collar (see Uehara fig above).

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CYNTHIA COLLADO whose telephone number is (571)272-8315. The examiner can normally be reached on mon-fri 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fenn Mathew can be reached on (571)2724978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

CFC
/CYNTHIA COLLADO/
Examiner, Art Unit 3781

/FENN MATHEW/
Supervisory Patent Examiner, Art Unit 3781

| Notice of References Cited | Application/Control No. 14/551,783 | Applicant(s)/Patent Under Reexamination REES ET AL. | |
|---|---|---|---|
| | Examiner CYNTHIA COLLADO | Art Unit 3781 | Page 1 of 1 |

**U.S. PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification |
|---|---|---|---|---|---|
| * | A | US-6,959,827 | 11-2005 | Morano et al. | 215/396 |
| * | B | US-2003/0089676 | 05-2003 | Uehara et al. | 215/11.1 |
| | C | US- | | | |
| | D | US- | | | |
| | E | US- | | | |
| | F | US- | | | |
| | G | US- | | | |
| | H | US- | | | |
| | I | US- | | | |
| | J | US- | | | |
| | K | US- | | | |
| | L | US- | | | |
| | M | US- | | | |

**FOREIGN PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification |
|---|---|---|---|---|---|---|
| | N | | | | | |
| | O | | | | | |
| | P | | | | | |
| | Q | | | | | |
| | R | | | | | |
| | S | | | | | |
| | T | | | | | |

**NON-PATENT DOCUMENTS**

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | |
| | V | |
| | W | |
| | X | |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



Nanobebe US Inc., Ex. 1002, Page 547
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Index of Claims | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14551783 | REES ET AL. |
| | **Examiner** | **Art Unit** |
| | CYNTHIA COLLADO | 3781 |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| ✓ | Rejected | - | Cancelled | N | Non-Elected | A | Appeal |
| = | Allowed | ÷ | Restricted | I | Interference | O | Objected |

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

| CLAIM | | DATE | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Final | Original | 05/03/2015 | | | | | | | | |
| | 1 | ✓ | | | | | | | | |
| | 2 | ✓ | | | | | | | | |
| | 3 | ✓ | | | | | | | | |
| | 4 | ✓ | | | | | | | | |
| | 5 | ✓ | | | | | | | | |
| | 6 | ✓ | | | | | | | | |
| | 7 | ✓ | | | | | | | | |
| | 8 | ✓ | | | | | | | | |
| | 9 | ✓ | | | | | | | | |
| | 10 | ✓ | | | | | | | | |
| | 11 | ✓ | | | | | | | | |
| | 12 | ✓ | | | | | | | | |
| | 13 | ✓ | | | | | | | | |
| | 14 | ✓ | | | | | | | | |
| | 15 | ✓ | | | | | | | | |
| | 16 | ✓ | | | | | | | | |
| | 17 | ✓ | | | | | | | | |



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Search Notes | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|
| | 14551783 | REES ET AL. |
| | Examiner | Art Unit |
| | CYNTHIA COLLADO | 3781 |

| CPC- SEARCHED | | |
|---|---|---|
| Symbol | Date | Examiner |
| A 61J 9/04 A 61J 11/02 A 61J 11/045, A 61J 11/04, A 61J 9/00 | 4/28/2015 | CFC |

| CPC COMBINATION SETS - SEARCHED | | |
|---|---|---|
| Symbol | Date | Examiner |
| | | |

| US CLASSIFICATION SEARCHED | | | |
|---|---|---|---|
| Class | Subclass | Date | Examiner |
| 215 | 11.5,11.1,396,398,11.6,276,11.4 | 4/28/2015 | CFC |

| SEARCH NOTES | | |
|---|---|---|
| Search Notes | Date | Examiner |
| Discussed application with SPE Fenn MAthew | 4/28/2015 | CFC |

| INTERFERENCE SEARCH | | | |
|---|---|---|---|
| US Class/ CPC Symbol | US Subclass / CPC Group | Date | Examiner |
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Nanobebe US Inc., Ex. 1002, Page 549
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 1 of 9

| Complete if Known | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | N/A |
| Attorney Docket Number | JM-4.2 |

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| Examiner Initials* | Cite No.[1] | Document Number: Number-Kind Code[2] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| Examiner Signature | /Cynthia Collado/ (05/03/2015) | Date Considered | 05/03/2015 |
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| Examiner Signature | /Cynthia Collado/ (05/03/2015) | Date Considered | 05/03/2015 |
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Sheet 4 of 9

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| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 6 of 9

| Complete if Known | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | n/a |
| Attorney Docket Number | JM-4.2 |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Document Number<br>Number-Kind Code[2] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| /C.C./ | 126 | US- 2008/0173654 | 07/24/2008 | Hakim | |
| | 127 | US- 2007/0278172 | 12/06/2007 | Hakim | |
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| | 140 | US- 7,108,676 | 09/19/2006 | Loging | |
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| Examiner Initials* | Cite No.[1] | Foreign Patent Document<br>Country Code[3] Number[4] Kind Code[5] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|
| /C.C./ | 145 | JP 2001299878A | 10/30/2001 | Ishimaru et al. | | |
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| | 147 | JP 2000271193 A | 10/03/2000 | Jex, Inc. | | |
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| Examiner Signature | /Cynthia Collado/ (05/03/2015) | Date Considered | 05/03/2015 |
|---|---|---|---|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 7 of 9

| Complete if Known | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | n/a |
| Attorney Docket Number | JM-4.2 |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Document Number<br>Number-Kind Code[2] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| /C.C./ | 151 | US- 2005/0247719 | 11/2005 | Loging | |
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**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Foreign Patent Document<br>Country Code[3] Number[4] Kind Code[5] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|
| /C.C./ | 152 | WO 2005041851A | 05/12/2005 | Rohrig | | |
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| /C.C./ | 157 | WO 00/54726 A | 09/21/2000 | Prentiss, J. | | |

| Examiner Signature | /Cynthia Collado/ (05/03/2015) | Date Considered | 05/03/2015 |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 8 of 9

| Complete if Known | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | n/a |
| Attorney Docket Number | JM-4.2 |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Document Number<br>Number-Kind Code[2] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| Examiner Initials* | Cite No.[1] | Foreign Patent Document<br>Country Code[3] Number[4] Kind Code[5] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|
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| Examiner Signature | /Cynthia Collado/ (05/03/2015) | Date Considered | 05/03/2015 |
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*(Use as many sheets as necessary)*

Sheet 9 of 9

| *Complete if Known* | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | n/a |
| Attorney Docket Number | JM-4.2 |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T[2] |
|---|---|---|---|
| /C.C./ | 160 | International Search Report for PCT/GB05/00183 mailed 11 August 2005 | |
| /C.C./ | 161 | Search Report for GB0410993.0 mailed 19 May 2005 | |
| /C.C./ | 162 | International Search Report for PCT/GB05/002532 mailed 7 December 2005 | |
| /C.C./ | 163 | Search Report for GB0414560.3 mailed 4 March 2005 | |
| /C.C./ | 164 | Search Report for GB0502599.4 mailed 6 May 2005 | |
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| Examiner Signature | /Cynthia Collado/ (05/03/2015) | Date Considered | 05/03/2015 |
|---|---|---|---|

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*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.C./

| Receipt date: 01/23/2015 | | |
|---|---|---|
| **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99) | Application Number | 14551783 |
| | Filing Date | 2014-11-24 |
| | First Named Inventor | Arnold Rees et al. |
| | Art Unit | 3643 |
| | Examiner Name | not assigned |
| | Attorney Docket Number | JM-4.2 |

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature | /Arne M. Olson/ | Date (YYYY-MM-DD) | 2015-01-23 |
|---|---|---|---|
| Name/Print | Arne M. Olson | Registration Number | 30203 |

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Nanobebe US Inc., Ex. 1002, Page 559
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

**EAST Search History**

**EAST Search History (Prior Art)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| L1 | 1237 | A61J9/04.CPC. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/05/03 20:22 |
| S1 | 2496 | (215/11.1,11.5).CCLS. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 16:40 |
| S2 | 13 | "3097757" | USPAT | OR | OFF | 2014/08/04 16:40 |
| S3 | 2808 | (220/714,502,505,524,251,717,703).CCLS. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 17:10 |
| S4 | 2 | ("20080210655").PN. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2014/08/04 17:14 |
| S5 | 5 | ("2008/0210655").URPN. | USPAT | OR | OFF | 2014/08/04 17:15 |
| S7 | 12 | "6959827" | USPAT | OR | OFF | 2015/04/26 14:01 |
| S8 | 0 | "20030089676" | USPAT | OR | OFF | 2015/04/26 14:03 |
| S9 | 5 | "20030089676" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/26 14:03 |
| S10 | 3 | "13364557" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/26 14:34 |



Nanobebe US Inc., Ex. 1002, Page 560
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

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| S12 | 3 | "13364557" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:00 |
| S13 | 3 | "20130200031" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:01 |
| S14 | 3 | "20130200031" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2015/04/30 22:02 |
| S15 | 0 | ("2013/0200031").URPN. | USPAT | OR | OFF | 2015/04/30 22:02 |

**EAST Search History (Interference)**

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| S6 | 712 | 215/11.5 | USPAT; UPAD | OR | OFF | 2014/08/04 17:00 |

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Nanobebe US Inc., Ex. 1002, Page 561
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

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PATENTS
TRADEMARKS
& RELATED MATTERS

ROBERT R. CALIRI
KATHLEEN A. LYONS
OF COUNSEL

April 24, 2015

Office of Finance
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

VIA FACSIMILE TRANSMISSION
( 571) 273-6500

**ATTENTION: REFUND BRANCH**

**Re: Deposit Account No. 15-0508**
**U.S. Serial No. 14/551,783**
**Filed: November 24, 2014**
**For: Baby Bottle With Flexible Nipple Regions**
**Applicant/Assignee: Jackel International Limited**
**Attorney Docket No.: JM-4.2**

Dear Sir:

The above-referenced application was filed November 24, 2014 and an Information Disclosure Statement ("IDS") was filed on January 23, 2015. A copy of the Bibliographic Data confirming the filing dates of these documents is enclosed.

Also enclosed is a copy of the Fee Payment History Table showing that our firm's Deposit Account (Deposit Account 15-0508) was charged $180.00 for the Submission of an Information Disclosure Statement Fee (fee code 1806) on January 28, 2015.

As explained below, our firm was mistakenly charged the Submission of an Information Disclosure Statement Fee in the amount of $180.00.

Pursuant to 37 C.F.R. 1.97(b) the Submission of an Information Disclosure Statement Fee was not required because the IDS was filed within three months of the filing date of the application and before the mailing of a first Office action on the merits.



Nanobebe US Inc., Ex. 1002, Page 562
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

In view of the above, please credit our Deposit Account No. 15-0508 in the amount of **$180.00.**

Respectfully submitted,

Dated: April 24, 2015

By _____
Arne M. Olson
(Reg. No. 30,203)

AMO/sed
Encls.

CUSTOMER NO. 002387
OLSON & CEPURITIS, LTD.
20 North Wacker Drive, 36th Floor
Chicago, Illinois 60606
(312) 580-1180



PAGE 3/5 * RCVD AT 4/24/2015 4:50:53 PM [Eastern Daylight Time] * SVR:W-PTOFAX-002/13 * DNIS:2738500 * CSID:3125801189 * DURATION (mm-ss):01-34

Nanobebe US Inc., Ex. 1002, Page 563
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

# Electronic Patent Application Fee Transmittal

| | |
|---|---|
| **Application Number:** | 14551783 |
| **Filing Date:** | 24-Nov-2014 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Filer:** | Shawn E. Duckworth |
| **Attorney Docket Number:** | JM-4.2 |

Filed as Large Entity

**Filing Fees for Utility under 35 USC 111(a)**

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Basic Filing:** | | | | |
| **Pages:** | | | | |
| **Claims:** | | | | |
| **Miscellaneous-Filing:** | | | | |
| **Petition:** | | | | |
| **Patent-Appeals-and-Interference:** | | | | |
| **Post-Allowance-and-Post-Issuance:** | | | | |
| **Extension-of-Time:** | | | | |

Adjustment date: 01/28/2015 EEKUKAY1
01/28/2015 INTEFSW 00010025 14551783
01 FC:1806 180.00 CR

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Miscellaneous:** | | | | |
| Submission- Information Disclosure Stmt | 1806 | 1 | 180 | 180 |
| | | **Total in USD ($)** | | **180** |

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www.uspto.gov

| APPLICATION NUMBER | FILING OR 371(C) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 |

**CONFIRMATION NO. 6509**

2387
Olson & Cepuritis, LTD.
20 NORTH WACKER DRIVE
36TH FLOOR
CHICAGO, IL 60606

**PUBLICATION NOTICE**

*OC000000074239221*

**Title:**Baby Bottle With Flexible Nipple Regions

**Publication No.**US-2015-0083685-A1
**Publication Date:**03/26/2015

## NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

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In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

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Nanobebe US Inc., Ex. 1002, Page 566
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Applicants: | Rees et al. | ) | Confirmation No.: 6509 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Group Art Unit: 3643 |
| | | ) | |
| Filed: | November 24, 2014 | ) | Examiner: Not Yet Assigned |
| | | ) | |
| For: | BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS | ) | Attorney Docket No.: JM-4.2 |

**PRELIMINARY AMENDMENT**

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Amendment is submitted in advance of prosecution on the merits of the above-identified application. Please amend this application as follows:

**Amendments to the Specification** begin on page 2 of this document.

**Remarks** begin on page 4 of this document.

**AMENDMENT TO THE SPECIFICATION**

Please replace the description of Figures 2b, 3a, 3b and 4, beginning on page 3 line 12, with the following amended paragraphs:

-- FIG. 2b is a side view of the teat of FIG. 1 in use in a second flexed position; ~~and.~~

FIGS. 3a and 3b are end and side views respectively of a soother incorporating the teat of the present ~~invention.~~ invention;

FIG. 4 ~~which~~ is a cross-sectional side view of a teat and vessel according to another aspect of the present invention; --

Please replace the paragraph beginning on page 5, line 20, with the following amended paragraph:

-- Referring to FIG. 4, it will be seen that according to another aspect a teat assembly 140 is mounted on an infant drinking vessel 112. The teat assembly ~~40~~ 140 includes a teat 110 having a teat portion 114 forming a nipple having drink apertures 115 at its upper end, a base portion 116 mountable to the drinking vessel and an areola region 118 therebetween. The teat 110 is textured and shaped to mimic the human breast and can, for example have skin-like texture varying between the teat portion, areola region and base portion, similar to the human breast. The teat can indeed be coloured to mirror human skin colour including ethnic skin colours. The shape of the teat is also similar to the human breast, the base portion 116 being shaped like a breast and having a wide, domed configuration. The areola portion 118 rises from the base portion 116 to the teat portion 114 at an angle to the horizontal, that is to say, with a component of inclination parallel to the teat portion 114, allowing better pursing by the infant so that they can close their lips effectively around the teat, and again mimicking the human breast such that the experience of the infant is as similar as possible to the natural experience of breast feeding. --



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

Please replace the paragraph beginning on page 8, line 17, with the following amended paragraph:

-- The teat assembly 140 also has a positive engagement stop providing tactile feedback to ensure that the teat assembly is ~~corrected~~ correctly tightened on the vessel and allows the lip valve to seal effectively. Referring to FIGS. 7a to 7c, for example, it will be seen that a vessel 400 receives a handle portion 402 and a teat screw collar 404, corresponding to the screw collar 130 described above but with the elastomer teat 10 removed for the purposes of clarity of understanding. --



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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

## REMARKS

Applicant believes that the amendments are made to correct clerical, typographical and minor errors and the requested amendments constitute no new matter.

An early action on the merits is requested.

Respectfully submitted,

Date: February 16, 2015

By /Arne M. Olson/
Arne M. Olson (Reg. No. 30,203)

OLSON & CEPURITIS, LTD.
20 North Wacker Drive, 36th Floor
Chicago, Illinois 60606
(312) 580-1180
Attorneys for Applicants

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Nanobebe US Inc., Ex. 1002, Page 570
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 21507354 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Alissa A. Digman/Laura DiSanto |
| **Filer Authorized By:** | Alissa A. Digman |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 16-FEB-2015 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 13:33:42 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | | JM-4-2-PrelimAmend.pdf | 75345<br>fdf83e6d5675d1af29c821f07d0f5c050e922776 | yes | 4 |

| | Multipart Description/PDF files in .zip description | | |
|---|---|---|---|
| | **Document Description** | **Start** | **End** |
| | Preliminary Amendment | 1 | 1 |
| | Specification | 2 | 3 |
| | Applicant Arguments/Remarks Made in an Amendment | 4 | 4 |

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**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

# Electronic Patent Application Fee Transmittal

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| **Filing Date:** | 24-Nov-2014 |
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| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Filer:** | Shawn E. Duckworth |
| **Attorney Docket Number:** | JM-4.2 |

Filed as Large Entity

**Filing Fees for Utility under 35 USC 111(a)**

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Basic Filing:** | | | | |
| **Pages:** | | | | |
| **Claims:** | | | | |
| **Miscellaneous-Filing:** | | | | |
| **Petition:** | | | | |
| **Patent-Appeals-and-Interference:** | | | | |
| **Post-Allowance-and-Post-Issuance:** | | | | |
| **Extension-of-Time:** | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Miscellaneous:** | | | | |
| Submission- Information Disclosure Stmt | 1806 | 1 | 180 | 180 |
| | | **Total in USD ($)** | | **180** |

# Electronic Acknowledgement Receipt

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|---|---|
| **EFS ID:** | 21326874 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Shawn E. Duckworth |
| **Filer Authorized By:** | |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 28-JAN-2015 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 09:26:17 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

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| Submitted with Payment | yes |
| Payment Type | Deposit Account |
| Payment was successfully received in RAM | $180 |
| RAM confirmation Number | 10025 |
| Deposit Account | 150508 |
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| 1 | Fee Worksheet (SB06) | fee-info.pdf | 30578<br>6d24e707de847a3f4016b1866425ecf36523e197 | no | 2 |

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**New International Application Filed with the USPTO as a Receiving Office**
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PTO/SB/08a (06-09)
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 1 of 9

| Complete if Known | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | N/A |
| Attorney Docket Number | JM-4.2 |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Document Number<br>Number-Kind Code[2] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| | 1. | US- D384748S | 10/07/1997 | Dunn | |
| | 2. | US- D404138S | 01/12/1999 | Meyers et al. | |
| | 3. | US- D405530S | 02/09/1999 | Manganiello et al. | |
| | 4. | US- D412582S | 08/03/1999 | Morano | |
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| | 6. | US- D425994 | 05/30/2000 | Hakim | |
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| | 19. | US- D504724S | 05/03/2005 | Randolph et al. | |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Foreign Patent Document<br>Country Code[3] Number[4] Kind Code[5] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|
| | 20. | EP 0845971 (WO 97/05853) | 10/24/2001 | Brown, C., et al. | | |
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| | 24. | GB 2402347A | 12/08/2004 | Rohrig | | |
| | 25. | GB 2412114 A | 09/21/2005 | Samson, Z. | | |

| Examiner Signature | | Date Considered | |
|---|---|---|---|

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Sheet 2 of 9

| Complete if Known | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | n/a |
| Attorney Docket Number | JM-4.2 |

**U. S. PATENT DOCUMENTS**

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|---|---|---|---|---|---|
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| | 28. | US- D539910 | 04/03/2007 | Ordonez | |
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| | 32. | US- 2003/0032984A | 02/13/2003 | Hakim | |
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| | 38. | US- 2004/0226906A | 11/18/2004 | Peterson | |
| | 39. | US- 2004/0256345A | 12/23/2004 | Lundquist | |
| | 40. | US- 2005/0035078A | 02/17/2005 | Lieberman et al. | |
| | 41. | US- 2005/0056611A | 03/17/2005 | Hakim | |
| | 42. | US- 2005/0184022A | 08/25/2005 | Dunn et al. | |
| | 43. | US- 2005/0224444A | 10/13/2005 | Akihiro | |
| | 44. | US- 2005/0247658A | 11/10/2005 | Renz | |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Foreign Patent Document: Country Code[3] Number[4] Kind Code[5] (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|
| | 45. | GB 2167735 A | 06/04/1986 | Tan, K. | | |
| | 46. | GB 2351729 A | 01/10/2001 | Ho, S. | | |
| | 47. | GB 2250017 A | 05/27/1992 | Fereidoun, S. | | |
| | 48. | GB 2139903 A | 11/21/1984 | Suh, B. | | |
| | 49. | GB 0004210 A | 06/13/1912 | Jackel International Ltd. | | |
| | 50. | GB 2154451 A1 | 09/11/1985 | Avent Medical Ltd. | | |

| Examiner Signature | | Date Considered | |
|---|---|---|---|

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 9

| Complete if Known | |
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| Application Number | 14/551,783 |
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|---|---|---|---|---|---|
| | 51. | US- 2005/0252875A | 11/17/2005 | Sheu et al. | |
| | 52. | US- 2005/0258123 | 11/24/2005 | Silver | |
| | 53. | US- 2005/0277987A | 12/15/2005 | Randolph et al. | |
| | 54. | US- 2005/0288712A | 12/29/2005 | Hakim | |
| | 55. | US- 2006/0011571 | 01/19/2006 | Silver | |
| | 56. | US- 2007/0021782 | 01/25/2007 | Inoue et al. | |
| | 57. | US- 2007/0045214A | 03/01/2007 | Jennings | |
| | 58. | US- 2007/0095778 | 05/03/2007 | Catton | |
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 9

| Complete if Known | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | n/a |
| Attorney Docket Number | JM-4.2 |

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| Examiner Signature | | Date Considered | |
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Sheet 5 of 9

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| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | n/a |
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Sheet 6 of 9

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| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | n/a |
| Attorney Docket Number | JM-4.2 |

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Sheet 7 of 9

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| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | n/a |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

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*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

PTO/SB/08b (06-09)
Approved for use through 07/31/2009. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of informa ion unless it contains a valid OMB control number.

Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 9 of 9

| *Complete if Known* | |
|---|---|
| Application Number | 14/551,783 |
| Filing Date | November 24, 2014 |
| First Named Inventor | Rees, et al. |
| Art Unit | 3643 |
| Examiner Name | n/a |
| Attorney Docket Number | JM-4.2 |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T[2] |
|---|---|---|---|
| | 160 | International Search Report for PCT/GB05/00183 mailed 11 August 2005 | |
| | 161 | Search Report for GB0410993.0 mailed 19 May 2005 | |
| | 162 | International Search Report for PCT/GB05/002532 mailed 7 December 2005 | |
| | 163 | Search Report for GB0414560.3 mailed 4 March 2005 | |
| | 164 | Search Report for GB0502599.4 mailed 6 May 2005 | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

| Examiner Signature | | Date Considered | |
|---|---|---|---|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] Applicant's unique citation designation number (optional). [2] Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The informa ion is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**( Not for submission under 37 CFR 1.99)**

| | |
|---|---|
| Application Number | 14551783 |
| Filing Date | 2014-11-24 |
| First Named Inventor | Arnold Rees et al. |
| Art Unit | 3643 |
| Examiner Name | not assigned |
| Attorney Docket Number | JM-4.2 |

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| | | | |
|---|---|---|---|
| Signature | /Arne M. Olson/ | Date (YYYY-MM-DD) | 2015-01-23 |
| Name/Print | Arne M. Olson | Registration Number | 30203 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**



Nanobebe US Inc., Ex. 1002, Page 586
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.
This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

## Secrecy Order 37 CFR 5.2

☐ Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2(Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

## Inventor Information:

**Inventor 1** Remove

**Legal Name**

| Prefix | Given Name | Middle Name | Family Name | Suffix |
|---|---|---|---|---|
| | Arnold | | Rees | |

**Residence Information (Select One)** ○ US Residency ◉ Non US Residency ○ Active US Military Service

| City | Newcastle Upon Tyne | Country of Residence | GB |
|---|---|---|---|

**Mailing Address of Inventor:**

| Address 1 | 9 Melmerby Close, Gosforth | | |
|---|---|---|---|
| Address 2 | | | |
| City | Newcastle Upon Tyne | State/Province | OT |
| Postal Code | NE3 5JA | Country | GB |

**Inventor 2** Remove

**Legal Name**

| Prefix | Given Name | Middle Name | Family Name | Suffix |
|---|---|---|---|---|
| | Ian | | Webb | |

**Residence Information (Select One)** ○ US Residency ◉ Non US Residency ○ Active US Military Service

| City | London | Country of Residence | GB |
|---|---|---|---|

**Mailing Address of Inventor:**

| Address 1 | 4 Leinstar Mews | | |
|---|---|---|---|
| Address 2 | | | |
| City | London | State/Province | OT |
| Postal Code | W2 3E4 | Country | GB |

**Inventor 3** Remove

**Legal Name**



Nanobebe US Inc., Ex. 1002, Page 587
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

| Prefix | Given Name | Middle Name | Family Name | Suffix |
|---|---|---|---|---|
| | Mark | | Armstrong | |

Residence Information (Select One) ○ US Residency ◉ Non US Residency ○ Active US Military Service

| City | Wales | Country of Residence | GB |
|---|---|---|---|

Mailing Address of Inventor:

| Address 1 | Pont Yr Afon Gam | | |
|---|---|---|---|
| Address 2 | | | |
| City | Ffestiniog, Gwynedd, Wales | State/Province | OT |
| Postal Code | LL414PS | Country | GB |

Inventor 4 Remove

Legal Name

| Prefix | Given Name | Middle Name | Family Name | Suffix |
|---|---|---|---|---|
| | Tom | | Cotton | |

Residence Information (Select One) ○ US Residency ◉ Non US Residency ○ Active US Military Service

| City | London | Country of Residence | GB |
|---|---|---|---|

Mailing Address of Inventor:

| Address 1 | 131 Ashtonville Street | | |
|---|---|---|---|
| Address 2 | | | |
| City | London | State/Province | OT |
| Postal Code | SW1 85 AQ | Country | GB |

All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the **Add** button. Add

## Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below.
For further information see 37 CFR 1.33(a).

☐ An Address is being provided for the correspondence Information of this application.

| Customer Number | 2387 | | |
|---|---|---|---|
| Email Address | | Add Email | Remove Email |



Nanobebe US Inc., Ex. 1002, Page 588
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

## Application Information:

| | | | |
|---|---|---|---|
| **Title of the Invention** | Baby Bottle With Flexible Nipple Regions | | |
| **Attorney Docket Number** | JM-4.2 | **Small Entity Status Claimed** | ☐ |
| **Application Type** | Nonprovisional | | |
| **Subject Matter** | Utility | | |
| **Total Number of Drawing Sheets (if any)** | 6 | **Suggested Figure for Publication (if any)** | 4 |

## Filing By Reference

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

| Application number of the previously filed application | Filing date (YYYY-MM-DD) | Intellectual Property Authority or Country i |
|---|---|---|
| | | |

## Publication Information:

☐ Request Early Publication (Fee required at time of Request 37 CFR 1.219)

☐ **Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32).
Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

| | | | |
|---|---|---|---|
| Please Select One: | ◉ Customer Number | ○ US Patent Practitioner | ○ Limited Recognition (37 CFR 11.9) |
| Customer Number | 02387 | | |

Additional Representative Information blocks may be generated within this form by selecting the **Add** button.

## Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.



Nanobebe US Inc., Ex. 1002, Page 589
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

| Prior Application Status | ~~Pending~~ Patented | Remove |
|---|---|---|

| Application Number | Continuity Type | Prior Application Number | Filing Date (YYYY-MM-DD) | Patent Number | Issue Date (YYYY-MM-DD) |
|---|---|---|---|---|---|
| 14/551,783 | Continuation of | 13/364557 | 2012-02-02 | 8910810 | 2014-12-16 |

| Prior Application Status | Patented | Remove |
|---|---|---|

| Application Number | Continuity Type | Prior Application Number | Filing Date (YYYY-MM-DD) | Patent Number | Issue Date (YYYY-MM-DD) |
|---|---|---|---|---|---|
| 13/364557 | Continuation of | 11/630864 | ~~2005-06-20~~ 2007-09-20 | 8181800 | 2012-05-22 |

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

## Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

Remove

| Application Number | Country | Filing Date (YYYY-MM-DD) | Access Code (if applicable) |
|---|---|---|---|
| | | | |

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

## Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

☐ This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

## Authorization to Permit Access:

☐ Authorization to Permit Access to the Instant Application by the Participating Offices



Nanobebe US Inc., Ex. 1002, Page 590
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.

## Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

**Applicant 1**

If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.

Clear

| | | |
|---|---|---|
| (●) Assignee | ( ) Legal Representative under 35 U.S.C. 117 | ( ) Joint Inventor |
| ( ) Person to whom the inventor is obligated to assign. | ( ) Person who shows sufficient proprietary interest | |

If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:

| | |
|---|---|
| Name of the Deceased or Legally Incapacitated Inventor : | |
| If the Applicant is an Organization check here. | ☒ |
| Organization Name | Jackel International Limited |

**Mailing Address Information:**

| | | | |
|---|---|---|---|
| **Address 1** | Dudley Lane | | |
| Address 2 | Cramlington | | |
| **City** | Northumberland | **State/Province** | OT |
| **Country** i | GB | Postal Code | NE23 7RH |
| Phone Number | | Fax Number | |



Nanobebe US Inc., Ex. 1002, Page 591
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | 14/551,783 |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

| Email Address | |
|---|---|

Additional Applicant Data may be generated within this form by selecting the Add button.

## Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

**Assignee 1**

Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication . An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.

If the Assignee or Non-Applicant Assignee is an Organization check here ☒

| Organization Name | Jackel International Limited |
|---|---|

Mailing Address Information For Assignee including Non-Applicant Assignee:

| Address 1 | Dudley Lane | | |
|---|---|---|---|
| Address 2 | Cramlington | | |
| City | Northumberland | State/Province | OT |
| Country i | GB | Postal Code | NE23 7RH |
| Phone Number | | Fax Number | |
| Email Address | | | |

Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.

## Signature:

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications

| Signature | /Arne M. Olson/ | | | Date (YYYY-MM-DD) | 2015-01-23 |
|---|---|---|---|---|---|
| First Name | Arne | Last Name | Olson | Registration Number | 30203 |

Additional Signature may be generated within this form by selecting the Add button.



Nanobebe US Inc., Ex. 1002, Page 592
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

# Electronic Acknowledgement Receipt

| | |
|---|---|
| **EFS ID:** | 21297281 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Shawn E. Duckworth |
| **Filer Authorized By:** | |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 23-JAN-2015 |
| **Filing Date:** | 24-NOV-2014 |
| **Time Stamp:** | 17:08:20 |
| **Application Type:** | Utility under 35 USC 111(a) |

## Payment information:

| | |
|---|---|
| Submitted with Payment | no |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Transmittal Letter | JM-4-2-Transmittal_Letter.pdf | 253739<br>bf1119afb5a529653cb2b1db285ac1a21d6c6b4d | no | 1 |

| | |
|---|---|
| **Warnings:** | |
| **Information:** | |

| | | | | | |
|---|---|---|---|---|---|
| 2 | | JM-4-2-IDS.pdf | 3928190<br>4605fc879ecdd8bbbb3a39fa6644eae5be1a669e | yes | 12 |

| | Multipart Description/PDF files in .zip description | | |
|---|---|---|---|
| | **Document Description** | **Start** | **End** |
| | Transmittal Letter | 1 | 2 |
| | Information Disclosure Statement (IDS) Form (SB08) | 3 | 12 |

**Warnings:**

**Information:**

| | | | | | |
|---|---|---|---|---|---|
| 3 | Application Data Sheet | JM-4-2_Supplemental_Application_Data_Sheet.pdf | 2500396<br>59c0a87dc147005ffb8cbffa089eeeee2d3388c4 | no | 6 |

**Warnings:**

**Information:**

This is not an USPTO supplied ADS fillable form

| | |
|---|---|
| **Total Files Size (in bytes):** | 6682325 |

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**
**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**
**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**
**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Applicant/Assignee | Jackel International Limited | ) | Confirmation No. 6509 |
| | | ) | |
| Inventors: | Arnold Rees, et al. | ) | Group Art Unit: 3643 |
| | | ) | |
| Serial No.: | 14/551,783 | ) | Examiner: Not Yet Assigned |
| | | ) | |
| Filed: | November 24, 2014 | ) | Atty. Docket No.: JM-4.2 |
| | | ) | |
| For: | Baby Bottle With Flexible Nipple Regions | ) | |

**TRANSMITTAL LETTER**

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

**Certificate of EFS-Web Transmission**
I hereby certify that this correspondence and any document referred to therein is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the USPTO on January 23, 2015.
Printed name of person signing this certificate:
Arne M. Olson (Reg. No. 30,203).
Signature: /Arne M. Olson/

Sir:

Enclosed herewith for filing in the above case are the Transmittal of Information Disclosure Statement, Form PTO/SB/08a, and a Supplemental Application Data Sheet where the Application Number is added to the header of pages 1-6, the Application Status of App. No. 13/364,557 was changed to Patented and the Patent Number and Issue Date were added on page 4, and the filing date of App. No. 11/630,864 was corrected on page 4.

Respectfully submitted,

Dated: January 23, 2015

By /Arne M. Olson/
Arne M. Olson
(Reg. No. 30,203)

**CUSTOMER NO. 002387**
OLSON & CEPURITIS, LTD.
20 North Wacker Drive, 36th Floor
Chicago, Illinois 60606
(312) 580-1180

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | |
|---|---|---|
| Applicant/Assignee | Jackel International Limited | ) Confirmation No. 6509 |
| Inventors: | Arnold Rees, et al. | ) Group Art Unit: 3643 |
| Serial No.: | 14/551,783 | ) Examiner: Not Yet Assigned |
| Filed: | November 24, 2014 | ) Atty. Docket No.: JM-4.2 |
| For: | Baby Bottle With Flexible Nipple Regions | ) |

**INFORMATION DISCLOSURE STATEMENT**

MAIL STOP AMENDMENT/IDS
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

**Certificate of EFS-Web Transmission**
I hereby certify that this correspondence and any document referred to therein is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the USPTO on January 23, 2015.
Printed name of person signing this certificate: Arne M. Olson (Reg. No. 30,203).
Signature: /Arne M. Olson/

Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, patent and non-patent literature documents that may be material to the patentability of this application are listed on the attached form PTO/SB/08A. This application is a continuation of Application No. 13/364,557, filed February 2, 2012, which is a continuation of Application No. 11/630,864 filed September 20, 2007, and relies on the effective filing dates of the foregoing applications. The listed references are of record in the foregoing applications. Therefore, copies of the cited documents are not enclosed.

The cited documents disclose numerous specific features. There has been no attempt to enumerate each and every feature disclosed by each document, however. The Examiner is requested to review these documents and to determine the extent of the materiality of the document disclosures, if any, with respect to the present invention.

The discussion of any art and the citation of any document herein is not to be construed as an admission that the art or document disclosure is necessarily within the invention field of endeavor, that the art or document disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that the art or document disclosure is otherwise necessarily prior art as defined by the patent law with respect to the instant invention and application.

Applicants reserve the right to later set forth how the claimed invention is distinguished over the disclosure of any document or other art, including the disclosures of the art and documents recited herein, as well as documents that may be cited by the Examiner in rejecting a claim in the instant patent application.

The recitation herein of the art and documents is not to be construed as an assertion that more pertinent art could not possibly be in existence.

Respectfully submitted,

Dated: January 23, 2015

By /Arne M. Olson/
Arne M. Olson
(Reg. No. 30,203)

**CUSTOMER NO. 002387**
OLSON & CEPURITIS, LTD.
20 North Wacker Drive
36th Floor
Chicago, Illinois 60606
(312) 580-1180

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NUMBER | FILING OR 371(C) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|---|---|---|---|
| 14/551,783 | 11/24/2014 | Arnold Rees | JM-4.2 |

**CONFIRMATION NO. 6509**

2387
Olson & Cepuritis, LTD.
20 NORTH WACKER DRIVE
36TH FLOOR
CHICAGO, IL 60606

**NOTICE**

*OC000000072375386*

Date Mailed: 12/12/2014

## INFORMATIONAL NOTICE TO APPLICANT

Applicant is notified that the above-identified application contains the deficiencies noted below. No period for reply is set forth in this notice for correction of these deficiencies. However, if a deficiency relates to the inventor's oath or declaration, the applicant must file an oath or declaration in compliance with 37 CFR 1.63, or a substitute statement in compliance with 37 CFR 1.64, executed by or with respect to each actual inventor no later than the expiration of the time period set in the "Notice of Allowability" to avoid abandonment. See 37 CFR 1.53(f).

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A properly executed inventor's oath or declaration has not been received for the following inventor(s):
  Arnold Rees
  Ian Webb
  Mark Armstrong
  Tom Cotton



Nanobebe US Inc., Ex. 1002, Page 598
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

## PATENT APPLICATION FEE DETERMINATION RECORD

Substitute for Form PTO-875

Application or Docket Number: 14/551,783

### APPLICATION AS FILED - PART I

| FOR | (Column 1) NUMBER FILED | (Column 2) NUMBER EXTRA | SMALL ENTITY RATE($) | SMALL ENTITY FEE($) | OR | OTHER THAN SMALL ENTITY RATE($) | OTHER THAN SMALL ENTITY FEE($) |
|---|---|---|---|---|---|---|---|
| BASIC FEE (37 CFR 1.16(a), (b), or (c)) | N/A | N/A | N/A | | | N/A | 280 |
| SEARCH FEE (37 CFR 1.16(k), (i), or (m)) | N/A | N/A | N/A | | | N/A | 600 |
| EXAMINATION FEE (37 CFR 1.16(o), (p), or (q)) | N/A | N/A | N/A | | | N/A | 720 |
| TOTAL CLAIMS (37 CFR 1.16(i)) | 17 minus 20 = | * | | | OR | x 80 = | 0.00 |
| INDEPENDENT CLAIMS (37 CFR 1.16(h)) | 3 minus 3 = | * | | | | x 420 = | 0.00 |
| APPLICATION SIZE FEE (37 CFR 1.16(s)) | If the specification and drawings exceed 100 sheets of paper, the application size fee due is $310 ($155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | 0.00 |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) | | | | | | | 0.00 |
| * If the difference in column 1 is less than zero, enter "0" in column 2. | | | TOTAL | | | TOTAL | 1600 |

### APPLICATION AS AMENDED - PART II

| | | (Column 1) CLAIMS REMAINING AFTER AMENDMENT | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | SMALL ENTITY RATE($) | SMALL ENTITY ADDITIONAL FEE($) | OR | OTHER THAN SMALL ENTITY RATE($) | OTHER THAN SMALL ENTITY ADDITIONAL FEE($) |
|---|---|---|---|---|---|---|---|---|---|---|
| AMENDMENT A | Total (37 CFR 1.16(i)) | * | Minus | ** | = | x = | | OR | x = | |
| | Independent (37 CFR 1.16(h)) | * | Minus | *** | = | x = | | OR | x = | |
| | Application Size Fee (37 CFR 1.16(s)) | | | | | | | | | |
| | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | | OR | | |
| | | | | | | TOTAL ADD'L FEE | | OR | TOTAL ADD'L FEE | |

| | | (Column 1) CLAIMS REMAINING AFTER AMENDMENT | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | SMALL ENTITY RATE($) | SMALL ENTITY ADDITIONAL FEE($) | OR | OTHER THAN SMALL ENTITY RATE($) | OTHER THAN SMALL ENTITY ADDITIONAL FEE($) |
|---|---|---|---|---|---|---|---|---|---|---|
| AMENDMENT B | Total (37 CFR 1.16(i)) | * | Minus | ** | = | x = | | OR | x = | |
| | Independent (37 CFR 1.16(h)) | * | Minus | *** | = | x = | | OR | x = | |
| | Application Size Fee (37 CFR 1.16(s)) | | | | | | | | | |
| | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) | | | | | | | OR | | |
| | | | | | | TOTAL ADD'L FEE | | OR | TOTAL ADD'L FEE | |

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NUMBER | FILING or 371(c) DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | TOT CLAIMS | IND CLAIMS |
|---|---|---|---|---|---|---|
| 14/551,783 | 11/24/2014 | 3643 | 1740 | JM-4.2 | 17 | 3 |

**CONFIRMATION NO. 6509**

2387
Olson & Cepuritis, LTD.
20 NORTH WACKER DRIVE
36TH FLOOR
CHICAGO, IL 60606

**FILING RECEIPT**

*OC000000072375385*

Date Mailed: 12/12/2014

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

**Inventor(s)**

Arnold Rees, Newcastle Upon Tyne, UNITED KINGDOM;
Ian Webb, London, UNITED KINGDOM;
Mark Armstrong, Wales, UNITED KINGDOM;
Tom Cotton, London, UNITED KINGDOM;

**Applicant(s)**

Jackel International Limited, Northumberland, UNITED KINGDOM

**Assignment For Published Patent Application**

Jackel International Limited, Northumberland, UNITED KINGDOM

**Power of Attorney:** None

**Domestic Priority data as claimed by applicant**

This application is a CON of 13/364,557 02/02/2012 PAT 8910810
which is a CON of 11/630,864 09/20/2007 PAT 8181800 *
(*)Data provided by applicant is not consistent with PTO records.

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.) - None.
*Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.*

**If Required, Foreign Filing License Granted:** 12/12/2014
The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 14/551,783**
**Projected Publication Date:** 03/26/2015



Nanobebe US Inc., Ex. 1002, Page 600
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Baby Bottle With Flexible Nipple Regions

**Preliminary Class**

119

**Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications:** No

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).



Nanobebe US Inc., Ex. 1002, Page 601
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

## LICENSE FOR FOREIGN FILING UNDER

## Title 35, United States Code, Section 184

## Title 37, Code of Federal Regulations, 5.11 & 5.15

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit http://www.SelectUSA.gov or call +1-202-482-6800.

PTO/AIA/15 (03-13)
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# UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

| | |
|---|---|
| *Attorney Docket No.* | JM-4.2 |
| *First Named Inventor* | Arnold Rees, et al. |
| *Title* | Baby Bottle With Flexible Nipple Regions |
| *Express Mail Label No.* | |

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

1. [✔] **Fee Transmittal Form** (PTO/SB/17 or equivalent)
2. [ ] **Applicant asserts small entity status.** See 37 CFR 1.27
3. [ ] **Applicant certifies micro entity status.** See 37 CFR 1.29. Applicant must attach form PTO/SB/15A or B or equivalent.
4. [✔] **Specification** [*Total Pages* 16] Both the claims and abstract must start on a new page. *(See MPEP § 608.01(a) for information on the preferred arrangement)*
5. [✔] **Drawing(s)** (35 U.S.C. 113) [*Total Sheets* 6]
6. **Inventor's Oath or Declaration** [*Total Pages* ____] *(including substitute statements under 37 CFR 1.64 and assignments serving as an oath or declaration under 37 CFR 1.63(e))*
   a. [ ] Newly executed (original or copy)
   b. [ ] A copy from a prior application (37 CFR 1.63(d))
7. [✔] **Application Data Sheet** ** See note below.* See 37 CFR 1.76 (PTO/AIA/14 or equivalent)
8. **CD-ROM or CD-R** in duplicate, large table, or Computer Program (*Appendix*)
   [ ] Landscape Table on CD
9. **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, items a. – c. are required*)
   a. [ ] Computer Readable Form (CRF)
   b. [ ] Specification Sequence Listing on:
      i. [ ] CD-ROM or CD-R (2 copies); or
      ii. [ ] Paper
   c. [ ] Statements verifying identity of above copies

*ADDRESS TO:* **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**

## ACCOMPANYING APPLICATION PAPERS

10. [ ] **Assignment Papers** (cover sheet & document(s)) Name of Assignee ____
11. [ ] **37 CFR 3.73(c) Statement** (*when there is an assignee*) [ ] **Power of Attorney**
12. [ ] **English Translation Document** (*if applicable*)
13. [ ] **Information Disclosure Statement** (PTO/SB/08 or PTO-1449)
    [ ] Copies of citations attached
14. [ ] **Preliminary Amendment**
15. [ ] **Return Receipt Postcard** (*MPEP § 503*) (*Should be specifically itemized*)
16. [ ] **Certified Copy of Priority Document(s)** (*if foreign priority is claimed*)
17. [ ] **Nonpublication Request** Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or equivalent.
18. [ ] **Other:** ____

***Note**: (1) Benefit claims under 37 CFR 1.78 and foreign priority claims under 1.55 **must** be included in an Application Data Sheet (ADS).
(2) For applications filed under 35 U.S.C. 111, the application must contain an ADS specifying the applicant if the applicant is an assignee, person to whom the inventor is under an obligation to assign, or person who otherwise shows sufficient proprietary interest in the matter. See 37 CFR 1.46(b).

## 19. CORRESPONDENCE ADDRESS

[✔] The address associated with Customer Number: 02387 **OR** [ ] Correspondence address below

| | | | | | |
|---|---|---|---|---|---|
| Name | | | | | |
| Address | | | | | |
| City | | State | | Zip Code | |
| Country | | Telephone | | Email | |

| | | | |
|---|---|---|---|
| Signature | /Arne M. Olson/ | Date | November 24, 2014 |
| Name (Print/Type) | Arne M. Olson | Registration No. (Attorney/Agent) | 30203 |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

# Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.
This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

## Secrecy Order 37 CFR 5.2

☐ Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2(Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

## Inventor Information:

**Inventor 1** Remove

**Legal Name**

| Prefix | Given Name | Middle Name | Family Name | Suffix |
|---|---|---|---|---|
| | Arnold | | Rees | |

**Residence Information (Select One)** ○ US Residency ◉ Non US Residency ○ Active US Military Service

| City | Newcastle Upon Tyne | Country of Residence i | GB |
|---|---|---|---|

**Mailing Address of Inventor:**

| | | | |
|---|---|---|---|
| **Address 1** | 9 Melmerby Close, Gosforth | | |
| **Address 2** | | | |
| **City** | Newcastle Upon Tyne | **State/Province** | OT |
| **Postal Code** | NE3 5JA | **Country** i | GB |

**Inventor 2** Remove

**Legal Name**

| Prefix | Given Name | Middle Name | Family Name | Suffix |
|---|---|---|---|---|
| | Ian | | Webb | |

**Residence Information (Select One)** ○ US Residency ◉ Non US Residency ○ Active US Military Service

| City | London | Country of Residence i | GB |
|---|---|---|---|

**Mailing Address of Inventor:**

| | | | |
|---|---|---|---|
| **Address 1** | 4 Leinstar Mews | | |
| **Address 2** | | | |
| **City** | London | **State/Province** | OT |
| **Postal Code** | W2 3E4 | **Country** i | GB |

**Inventor 3** Remove

**Legal Name**



Nanobebe US Inc., Ex. 1002, Page 605
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

| Prefix | Given Name | Middle Name | Family Name | Suffix |
|---|---|---|---|---|
| | Mark | | Armstrong | |

**Residence Information (Select One)** ○ US Residency ◉ Non US Residency ○ Active US Military Service

| City | Wales | Country of Residence i | GB |
|---|---|---|---|

**Mailing Address of Inventor:**

| Address 1 | Pont Yr Afon Gam | | |
|---|---|---|---|
| Address 2 | | | |
| City | Ffestiniog, Gwynedd, Wales | State/Province | OT |
| Postal Code | LL414PS | Country i | GB |

**Inventor 4** Remove

**Legal Name**

| Prefix | Given Name | Middle Name | Family Name | Suffix |
|---|---|---|---|---|
| | Tom | | Cotton | |

**Residence Information (Select One)** ○ US Residency ◉ Non US Residency ○ Active US Military Service

| City | London | Country of Residence i | GB |
|---|---|---|---|

**Mailing Address of Inventor:**

| Address 1 | 131 Ashtonville Street | | |
|---|---|---|---|
| Address 2 | | | |
| City | London | State/Province | OT |
| Postal Code | SWI 85 AQ | Country i | GB |

All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the **Add** button. Add

## Correspondence Information:

**Enter either Customer Number or complete the Correspondence Information section below.**
**For further information see 37 CFR 1.33(a).**

☐ **An Address is being provided for the correspondence Information of this application.**

| Customer Number | 2387 | | |
|---|---|---|---|
| Email Address | | Add Email | Remove Email |



Nanobebe US Inc., Ex. 1002, Page 606
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

## Application Information:

| | | | |
|---|---|---|---|
| **Title of the Invention** | Baby Bottle With Flexible Nipple Regions | | |
| **Attorney Docket Number** | JM-4.2 | **Small Entity Status Claimed** | ☐ |
| **Application Type** | Nonprovisional | | |
| **Subject Matter** | Utility | | |
| **Total Number of Drawing Sheets (if any)** | 6 | **Suggested Figure for Publication (if any)** | 4 |

## Filing By Reference

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

| Application number of the previously filed application | Filing date (YYYY-MM-DD) | Intellectual Property Authority or Country i |
|---|---|---|
| | | |

## Publication Information:

☐ Request Early Publication (Fee required at time of Request 37 CFR 1.219)

☐ **Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32).
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| | | | |
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This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.



Nanobebe US Inc., Ex. 1002, Page 607
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

| Prior Application Status | Pending | | Remove |
|---|---|---|---|
| Application Number | Continuity Type | Prior Application Number | Filing Date (YYYY-MM-DD) |
| | Continuation of | 13/364557 | 2012-02-02 |

| Prior Application Status | Patented | | | Remove | |
|---|---|---|---|---|---|
| Application Number | Continuity Type | Prior Application Number | Filing Date (YYYY-MM-DD) | Patent Number | Issue Date (YYYY-MM-DD) |
| 13/364557 | Continuation of | 11/630864 | 2005-06-29 | 8181800 | 2012-05-22 |

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## Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

Remove

| Application Number | Country | Filing Date (YYYY-MM-DD) | Access Code (if applicable) |
|---|---|---|---|
| | | | |

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## Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

☐ This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

## Authorization to Permit Access:

☐ Authorization to Permit Access to the Instant Application by the Participating Offices



Nanobebe US Inc., Ex. 1002, Page 608
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| **Application Data Sheet 37 CFR 1.76** | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

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## Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

**Applicant 1**

If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.

Clear

| | | |
|---|---|---|
| ◉ Assignee | ○ Legal Representative under 35 U.S.C. 117 | ○ Joint Inventor |
| ○ Person to whom the inventor is obligated to assign. | ○ Person who shows sufficient proprietary interest | |

If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:

Name of the Deceased or Legally Incapacitated Inventor :

If the Applicant is an Organization check here. ☒

| Organization Name | Jackel International Limited |
|---|---|

**Mailing Address Information:**

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|---|---|---|---|
| **Address 1** | Dudley Lane | | |
| Address 2 | Cramlington | | |
| **City** | Northumberland | **State/Province** | OT |
| **Country** i | GB | Postal Code | NE23 7RH |
| Phone Number | | Fax Number | |



Nanobebe US Inc., Ex. 1002, Page 609
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

| **Application Data Sheet 37 CFR 1.76** | Attorney Docket Number | JM-4.2 |
|---|---|---|
| | Application Number | |
| Title of Invention | Baby Bottle With Flexible Nipple Regions | |

| Email Address | |
|---|---|

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## Assignee Information including Non-Applicant Assignee Information:

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If the Assignee or Non-Applicant Assignee is an Organization check here ☒

| Organization Name | Jackel International Limited |
|---|---|

Mailing Address Information For Assignee including Non-Applicant Assignee:

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|---|---|---|---|
| Address 2 | Cramlington | | |
| **City** | Northumberland | **State/Province** | OT |
| **Country** i | GB | Postal Code | NE23 7RH |
| Phone Number | | Fax Number | |
| Email Address | | | |

Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.

## Signature:

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications

| **Signature** | /Arne M. Olson/ | | | | |
|---|---|---|---|---|---|
| First Name | Arne | Last Name | Olson | Registration Number | 30203 |

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Nanobebe US Inc. v. Mayborn (UK) Limited et al.

# BABY BOTTLE WITH FLEXIBLE NIPPLE REGIONS

## Cross-Reference to Related Applications

This application is a continuation of pending U.S. Application Serial No. 13/364,557 filed on February 2, 2012, which was a continuation of U.S. Application Serial No. 11/630,864 filed on September 20, 2007 which was a national filing under § 371 of International Application PCT/GB2005/002532, with an international filing date of June 29, 2005, claiming priority from Great Britain Application No. GB2004/14560.3, with a filing date of June 29, 2004, now abandoned, and Great Britain Application No. GB2005/02599.4, with a filing date of February 8, 2005, now abandoned, all of which are herein incorporated by reference.

## Technical Field

The invention relates to a drinking vessel with a nipple, in particular a baby bottle having a nipple of increased flexibility and functionality.

## Background of the Invention

Various known teats have been designed to mimic the human breast in operation. One known teat is described in U.S. Pat. No. 6,645,228 and includes a stem and a base. The base has a bulbous region and an areola region from which the stem projects. The bulbous region has an upper region with a progressively thinning wall which acts as a spring element such that as an infant sucks on the teat the areola and stem move back and forth relative to the bulbous region.

Various problems arise with this arrangement. Movement of the areola region and stem relative to the bulbous region does not closely mimic the movement of the human breast during sucking. Furthermore because flexibility is provided upon a progressively thinning wall region, the amount of flexing and the point at which flexing takes place is undefined and unpredictable.

A second known teat is described in U.S. Pat. No. 6,745,912 B2 (Pigeon) including a series of parallel annular grooves on the inner surface of the nipple which allows stretching of the nipple but only in a constrained direction, and with the risk of flow blockage if the nipple collapses.

In addition, efforts are continuing to provide valved feeding bottles, in particular to allow air ingress to the teat. It is believed that this reduces the risk of colic which can otherwise occur as a result of negative pressure building up in the feeding bottle. Various known arrangements include slit valves of various types, however these are frail and difficult to machine. In another approach described in German patent DE19716535 a teat is provided with an inner annular resilient flange at its base which rests on a bottle rim when screwed down by a collar. Upon a negative pressure building up inside the drinking vessel the flange lifts from the vessel rim and air passes up through the collar and between the flange and the vessel rim.

In a similar arrangement described in European patent application EP151862 a teat includes a downwardly depending cylindrical flange at its base which seals against the inner top face of a vessel neck when deformed by being screwed down by a collar. Again a negative pressure inside the vessel lifts the flange away from the vessel neck so that air flows through the collar and between the neck and the flange into the vessel to relieve the pressure differential. Such arrangements rely on the correct amount of screw pressure being applied by the user on fixing the teat which can give rise to varied levels of valving between uses. Furthermore the introduction of a circumferential flange increases material costs.

**Summary of the Invention**

The invention is set out in the claims. According to a first embodiment, because the flex region is provided in the areola portion allowing the teat portion and/or areola portion to move towards and away from one another a more natural feeding action is provided. Furthermore, because of the inclusion of a plurality of flex channels the point of flexure is clearly defined. According to a second

embodiment, because of the provision of a helical flow formation on the inner face of the teat, continuous flow of liquid is allowed even when the teat collapses via the helical flow path while allowing extension of the teat and in particular a rotational or torsional extension. It will be understood that each of the terms "teat" and "nipple" embraces feeding bottle teats and nipples as well as soother teats and nipples, sometimes known as "baglets".

Embodiments of the invention will now be described by way of example with reference to the drawings.

**Brief Description of the Drawings**

FIG. 1 is a cross-sectional side view of a teat according to the present invention;

FIG. 2a is a side view of the teat of FIG. 1 in use in a first flexed position;

FIG. 2b is a side view of the teat of FIG. 1 in use in a second flexed position; and.

FIGS. 3a and 3b are end and side views respectively of a soother incorporating the teat of the present invention.

FIG. 4 which is a cross-sectional side view of a teat and vessel according to another aspect of the present invention;

FIG. 5a is a perspective view showing a teat valve in a first, closed configuration;

FIG. 5b is a perspective view showing a teat valve in a second, open configuration;

FIG. 6 is a partial perspective view of the underside of the teat, showing a lip valve;

FIG. 7a is an exploded perspective view showing assembly steps for a drinking vessel according to the invention;

FIG. 7b is a perspective view showing a first detail of an assembled vessel according to the present invention; and

FIG. 7c is a perspective view showing a second detail of an assembled vessel according to the present invention.

**Detailed Description of the Invention**

Referring firstly to FIG. 1 a teat 10 for use with a feeding bottle includes a teat portion 12, a base portion 14 and an areola portion 16 therebetween. A flange 18 extends from the base of the base portion to allow fixing to a feeding bottle (not

shown) in any appropriate known manner. The base portion may also include a one-way air-inlet valve of any appropriate type for example an integrally moulded duck-bill valve (not shown). The teat has circular symmetry around an axis A running through the center of the teat.

The areola portion 16 includes a flex region 20 comprising three grooves or flex channels 22 extending around an inner surface of the areola portion effectively forming circular thinned regions parallel/concentric with each other about the axis A and hence surrounding the teat portion. The flex region 20 is generally provided between a transition region 24 separating the base portion 14 and the areola portion 16 and a transition region 26 separating the areola portion 16 and the teat portion 12. The flex region 20 allows flexing of the teat as described in more detail below.

The teat can be formed in any appropriate manner, for example compression or injection moulding and formed of any appropriate elastic material such as silicone, latex or thermoplastic elastomer (TPE). Injection moulded silicone provides a particularly desirable degree of elasticity in the teat portion. The teat portion 12 is preferably thinner in cross-section than the remainder of the teat or is co-moulded with a more flexible material to allow additional flexing of the teat portion relative to the teat as a whole. The teat can have a texture such as a skin-like texture moulded or otherwise patterned on to its surface.

As shown in FIGS. 2a and 2b, in operation an infant drinks from the teat mounted on a bottle, the infant's tongue 50 contacting the teat portion 12, the infant's teeth or gums 52 contacting the transition portion 26 and the infant's lips 54 contacting the areola portion 16 generally at the flex region 20. As a result, as the infant sucks on the teat, the teat portion and areola portion flex towards and away from one another by virtue of respective collapsing and extending of the flex region around the flexed channels 22. In particular, as can be seen in FIG. 2a, reduced suction on the teat portion 12 towards the base portion 14 relaxes the flexible reduced suction whereas, as shown in FIG. 2b, suction on the teat portion 12 away from the base portion 14 collapses the flexible region 20 extending the teat portion

and areola portion away from one another.

The back and forth motion of the teat portion 12 mimics very closely the natural movement of the human breast during suckling or sucking of the infant by effectively allowing the teat to move and stretch as skin moves and stretches. The grooves or channels further visually define an areola area and are placed at an area of the teat which is also a non-bite area. The flexible portion also provides a pumping action on liquid in the bottle as the teat portion oscillates or reciprocates back and forth.

FIGS. 3a and 3b show a soother 30 employing a teat or baglet 31 according to the first embodiment of the present invention. The teat 31 includes a teat portion 32, a base portion 34 and an areola portion 36 therebetween. The teat 31 is generally hollow and is secured at the base portion 34 to a shield 38, having a ring or handle 39, with the areola portion 36 forming a non-bite portion of the teat 31. A flex region 37, is situated at the non-bite areola portion 36, and comprises grooves or channels extending around the inner surface of the teat 31 at the areola portion 36. As an infant sucks on the teat 31, the teat portion 32 flexes back and forth as a result of the respective collapsing and extending of the flex region 37 grooves. As before, this back and forth movement mimics the movement of a human breast during suckling, but with the flex region in a non-bite area.

Referring to FIG. 4, it will be seen that according to another aspect a teat assembly 140 is mounted on an infant drinking vessel 112. The teat assembly 40 includes a teat 110 having a teat portion 114 forming a nipple having drink apertures 115 at its upper end, a base portion 116 mountable to the drinking vessel and an areola region 118 therebetween. The teat 110 is textured and shaped to mimic the human breast and can, for example have skin-like texture varying between the teat portion, areola region and base portion, similar to the human breast. The teat can indeed be coloured to mirror human skin colour including ethnic skin colours. The shape of the teat is also similar to the human breast, the base portion 116 being shaped like a breast and having a wide, domed

configuration. The areola portion 118 rises from the base portion 116 to the teat portion 114 at an angle to the horizontal, that is to say, with a component of inclination parallel to the teat portion 114, allowing better pursing by the infant so that they can close their lips effectively around the teat, and again mimicking the human breast such that the experience of the infant is as similar as possible to the natural experience of breast feeding.

The teat 110 is formed from an elastomer with walls of decreasing thickness from the base portion 116 through to the teat 114 providing a more realistic flexing characteristic. The areola portion 118 further includes undulating grooves 120 forming, in cross-section, a wavy profile on the inner surface of the areola portion 118 and extending circumferentially. In the embodiments shown three such grooves are formed adjacent one another providing a bellows action as well as flexing in a direction perpendicular to the flow direction and increasing the flexibility especially in conjunction with the decreasing wall thickness providing a more natural stretching characteristic and sensory feedback to the infant. Yet further, the teat can be textured on its outer and/or inner surface to enhance operation or realism of the teat as appropriate.

The teat portion 114 projects generally perpendicular to the mouth of the vessel 112 and is elongate for example of length 20 mm, again to mimic the extension of the human nipple during breast feeding. The teat portion has a generally rectangular cross-section with wall thickness 1.8 mm and diameter 13 mm. A tight pitch helical groove 122 of groove depth 0.9 mm (half the wall thickness) having for example three turns and 3 mm pitch is moulded or otherwise formed around the inner cylindrical vertical surface of the teat portion 114 to form a flow passage even when the teat portion is collapsed, for example under biting pressure from an infant. In addition the helical groove allows rotational or torsional compression and extension of the teat portion in the range of 5-6 mm and flexing parallel perpendicular to the flow direction, again more closely mimicking the human breast.

At the tip of the teat portion the apertures 115 comprise a variable flow valve, where the flow can either be selected by choosing a teat with appropriate apertures or a two or three crossed slit configuration can be provided allowing the infant to regulate flow. For example referring to FIGS. 5a and 5b, a teat 200 includes a teat portion 202 with a slit valve 204. As can be seen from FIG. 5b when pressure is applied in the longitudinal direction of the slit, for example bite pressure, the slit valve opens allowing fluid flow by application of compression and distortion pressure.

The teat assembly 140 is formed in a two-shot moulding process with elastomer forming the teat 110 and polypropylene forming a screw collar 130 which is integrally formed with the teat 110. As a result a resilient, cheaply and easily manufactured integral assembly is provided.

The screw collar 30 includes an internally threaded cylindrical portion 132 and a downwardly domed peripheral portion 134 surrounding it and extending from an upper end of the cylindrical portion 132. The domed portion 134 includes a horizontal outward annular flange 135 of thicker cross-section at its base. The teat 110 is over-moulded onto the screw collar 130 and the domed portion 134 terminates at a central circular orifice corresponding with an outer face of the neck 128 of the vessel 112, the over-moulded portion of the teat extending inwardly slightly from this position and terminating in a downwardly depending cylindrical flange 141.

As a result the screw collar provides structural strength and a strong screw fit, but the resilient material of the teat portion 140 provides sealing. In particular the threaded cylindrical portion 132 of the screw collar screws on to the outwardly threaded neck 128 of the vessel 112 and the top, innermost edge of the domed portion 134 abuts the outer face of the neck 128. Because the teat material 110 overhangs the inner edge 139 of the domed portion 134, when the teat assembly 140 is screwed down, the overhanging portion seals against the top rim of the neck 128 and the downward cylindrical flange 141 forms an elastomer sealing ring

sealing against the top inner face of the vessel neck 128. The teat 110 includes a vent passage 126 through the elastomer material and substantially at the periphery. A discontinuous flap or lip valve portion 123 projects down from the flange 141 in a portion of the periphery only in the vicinity of the vent passage 126.

Because of the resilience of the flange portion, when an infant sucks on the teat, reducing pressure within the vessel, the lip valve 123 will flex away from the neck 128 of the vessel 112. In the region of the vent 126 in the teat 110, this allows venting between the interior of the vessel and atmosphere through the teat. Referring to FIG. 6 the lip valve 124 can be seen viewed from the underside. In the embodiment shown it will be seen that a passage 125 actually passes through the lip valve, communicating with the vent passage 126. In that case the aperture to the passage 125 will seal against the inner face of the vessel in the sealed configuration and unseal to provide a passage.

Alternatively the slit valve 124 can comprise a flap which flexes away from the interior surface to allow communication with a vent passage as described above.

The teat assembly 140 also has a positive engagement stop providing tactile feedback to ensure that the teat assembly is corrected tightened on the vessel and allows the lip valve to seal effectively. Referring to FIGS. 7a to 7c, for example, it will be seen that a vessel 400 receives a handle portion 402 and a teat screw collar 404, corresponding to the screw collar 130 described above but with the elastomer teat 10 removed for the purposes of clarity of understanding.

The handle portion 402 includes a cut-out portion 406 which cooperates with projections 408a, 408b on the vessel to locate the handle portion in a predetermined position. The handle portion is placed over the vessel and located in the desired orientation and then the collar 404, including an internal thread portion allowing mounting on the vessel 400 is screwed into position as described above, securing the handle portion 402 in place.

As can best be seen in FIG. 7b, the collar portion 404 includes an internal

lug 410 which projects inwardly from the inner face and engages against a stop feature on the screw threaded portion of the vessel 400 formed by the projections 408a, 408b such that the teat 110 "clicks" into a desired position. As a result a controlled compression on the lip valve 124 is obtained such that a consistent and repeatable valving action is obtained on each use. In particular the projections 408a, 408b are separated by a recess, 408c best seen in FIG. 7c. When the collar 404 is screwed into place the lug 410 passes over the projection 408b which has a ramp towards the recess 408c. After the lug 410 has ridden up the ramp it drops into the recess 408c and is obstructed from further movement by the planar face of the projection 408a. The lug 410 further prevents the collar 404 from being unscrewed by virtue of its engagement with the abutting face of the projection 408b. However the lug 410 and projection 408b have chamfered or radiussed abutting faces such that, on application of sufficient unscrewing pressure, the lug 410 rides over the chamfered face of the projection 408b and then down the ramp allowing the collar to be fully unscrewed.

In operation the vessel is filled with drinking liquid and the teat assembly 140 is screwed on until positive engagement is detected (for example a discernable "click") meaning that it is correctly fitted. When the infant then drinks from the vessel the pressure difference pulls the lip valve 124 away from the inner face of the neck 128 of the vessel 112 allowing venting through vent passage 126 and hence reducing the risk of colic. Because of the provision of the lip valve there is no requirement for providing slits and a natural, robust and resilient valve assembly is provided. Furthermore, the valve is formed during the moulding operation and requires no secondary operation for its formation providing commercial and manufacturing benefits. Yet further as a single vent passage is provided at one point on the teat, the risk of leakage is reduced, especially as the vent passes through the teat rather than around the vessel neck.

It will be appreciated that the teat can be formed of any material and can be any appropriate shape which may be, for example, non-symmetrical such as a

shaped or orthodontic teat or even more closely mimicking the shape of the human breast. Different teat configurations can be provided to grow with different ages of infant. For example the teat portion can be made progressively longer as the age of the infant who will be using the teat increases and/or the texture can be made less prominent, for example ranging from coarse for new-borns through fine to gloss.

In the teat of the first embodiment, the flex channels in the flex region can be of any appropriate profile for example square, semi-circular or triangular in cross-section and can be provided on the inner or outer surface of the teat and in any appropriate number. Instead of providing thinned regions the flexed channels can be formed by a concertina or bellows configurations moulded into the teat or any other appropriate hinge or fold mechanism. Furthermore features of either the first or second embodiment can be interchanged or juxtaposed with one another or implemented in other types of drinking vessel cover as appropriate. For example the lip valve can be implemented in a trainer cup cover, a sports bottle or other vessel closures capable of forming a partial vacuum in a vessel in use.

It will be appreciated that whilst the Figures show a soother comprising a teat of the first embodiment, the invention also encompasses a soother comprising a teat of the second embodiment. The soother comprising the teat and shield/ring components can be formed from any appropriate material. For example, the teat can be formed from silicone, latex or Thermoplastic Elastomer (TPE), whilst the shield and ring can be formed from thermoplastic materials such as polypropylene PP, polycarbonate PC or similar material blends as appropriate. Furthermore, the soother can be manufactured by any appropriate moulding method.

**WHAT IS CLAIMED IS:**

1) A feeding bottle comprising:

a) a nipple having:

a teat portion having a variable wall thickness including an aperture,

a base portion having a wall thickness in a domed configuration,

an areola portion between the teat portion and the base portion,

a flange having a downwardly depending cylindrical portion and connected to the base portion,

a vent passage extending through the base portion,

the vent passage having an air valve below a top edge of a collar, and

the flange being arranged to seal with a vessel;

b) the vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and

the first diameter of the open top being less than the second diameter of the body;

c) the collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion, and

d) the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel.

2) The feeding bottle of claim 1 wherein the vessel has two indentations.

3) The feeding bottle of claim 1 wherein the flange forms an elastomer sealing ring sealing against the top of the vessel.

4) The feeding bottle of claim 1 wherein the open top of the vessel comprises a vessel neck.

5) The feeding bottle of claim 1 wherein the open top of the vessel comprises an outwardly threaded vessel neck.

6) The feeding bottle of claim 1 wherein the internally threaded cylindrical portion of the collar is sized to mate with the open top of the vessel.

7) The feeding bottle of claim 1 wherein the collar comprises polyproplyene.

8) The feeding bottle of claim 1 wherein the air valve is a one-way air-inlet valve.

9) A feeding bottle comprising:

a) a nipple having:

a teat portion including an aperture,

a base portion having a domed configuration,

a flange having a downwardly depending cylindrical portion and

connected to the base portion,

a vent passage extending through the base portion,

the vent passage having an air valve below a top edge of a collar, and

the flange being arranged to seal with a vessel;

b) the vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and

the first diameter of the open top being less than the second diameter of the body;

c) the collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion surrounding and extending from the cylindrical portion,

the domed peripheral portion having a horizontal outward annular flange at its base, and

d) the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel.

10) The feeding bottle of claim 9 wherein the horizontal outward annular flange is thicker than the domed peripheral portion.

11) The feeding bottle of claim 9 wherein the diameter of the horizontal

outward annular flange is less than the diameter of the vessel body.

12) The feeding bottle of claim 9 wherein the domed peripheral portion terminates at a central circular orifice corresponding with the open top of the vessel.

13) A feeding bottle comprising:

a) a nipple having:

a teat portion having a groove around its inner cylindrical vertical surface and a flow valve,

a base portion having a domed configuration,

an areola portion between the teat portion and the base portion,

a flange having a downwardly depending cylindrical portion and connected to the base portion,

a vent passage extending through a periphery of the base portion,

the vent passage having an air valve, and

the flange being arranged to seal with a vessel;

b) the vessel having:

an open top with a first diameter,

a body with a second diameter,

the body being connected with the open top, and

the first diameter of the open top being less than the second diameter of the body;

c) the collar having:

an internally threaded cylindrical portion,

a downwardly domed peripheral portion extending from the cylindrical portion, and

d) the nipple being secured to the vessel with the flange of the nipple being captured between the collar and the open top of the vessel.

14) The feeding bottle of claim 13 wherein a outer surface of the teat portion has a first texture and a outer surface of the areola portion has a second texture.

15) The feeding bottle of claim 13 wherein an inner surface of the areola portion has at least one groove extending circumferentially.

16) The feeding bottle of claim 13 wherein the teat walls decrease in thickness from the base portion through to the teat portion.

17) The feeding bottle of claim 13 wherein the air valve is located below a top edge of the collar.

**Abstract of the Disclosure**

According to a first embodiment, a feeding bottle comprises a vessel, collar, and nipple. The nipple comprises a base portion, a teat portion, an areola portion allowing movement of the teat portion towards and away from the base portion. According to a second embodiment, a feeding bottle comprises a vessel, collar, nipple and handle portion removeably secured to the vessel by the collar. The invention includes a flexible region or regions to provide a more natural feeding by closely mimicking the human breast.

Nanobebe US Inc., Ex. 1002, Page 626
Nanobebe US Inc. v. Mayborn (UK) Limited et al.

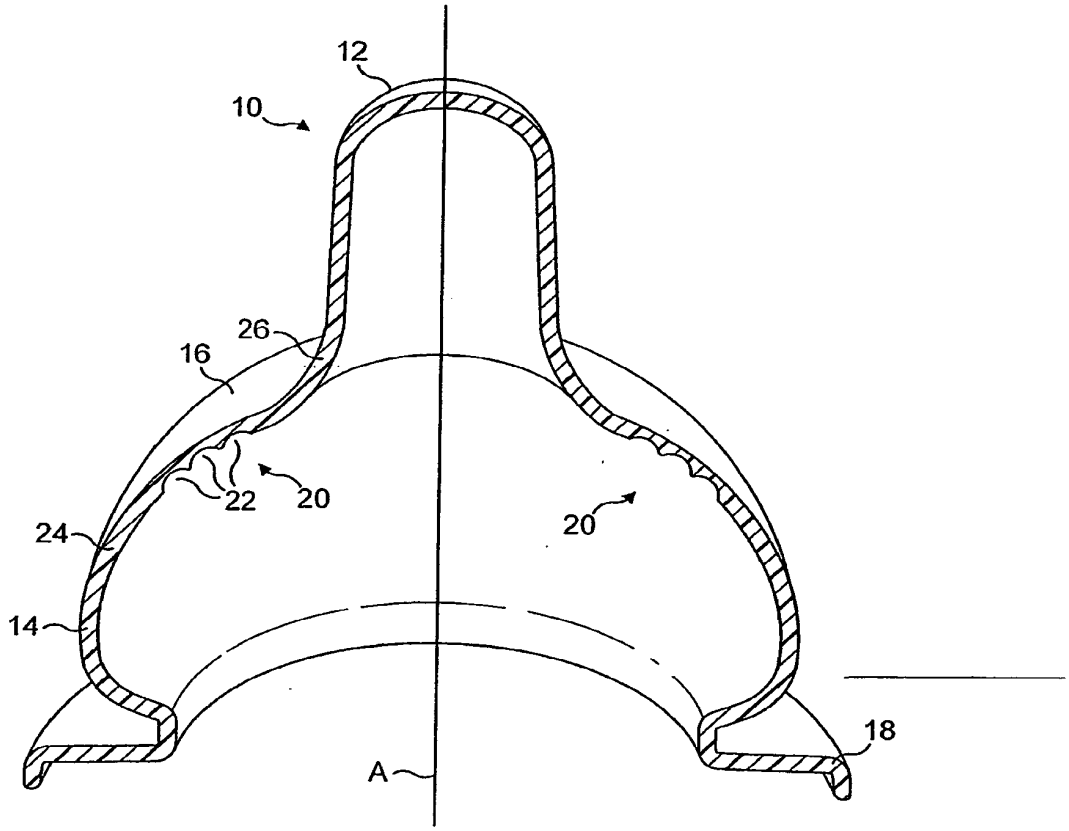



FIG. 1

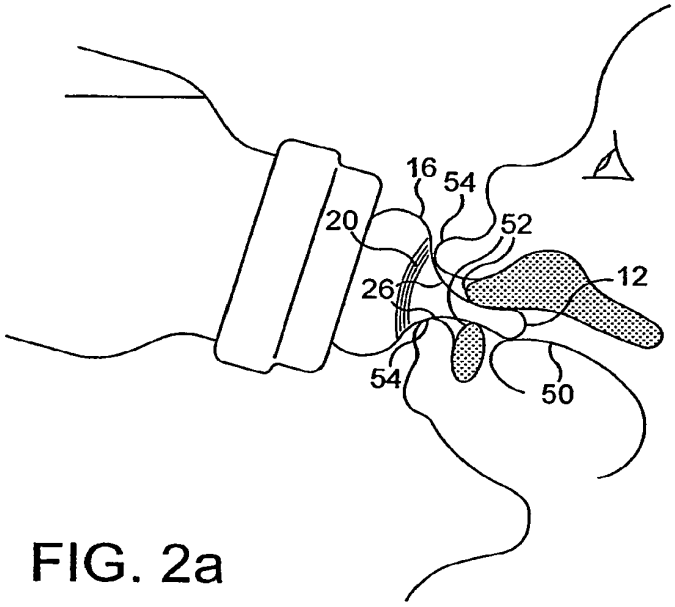



FIG. 2a

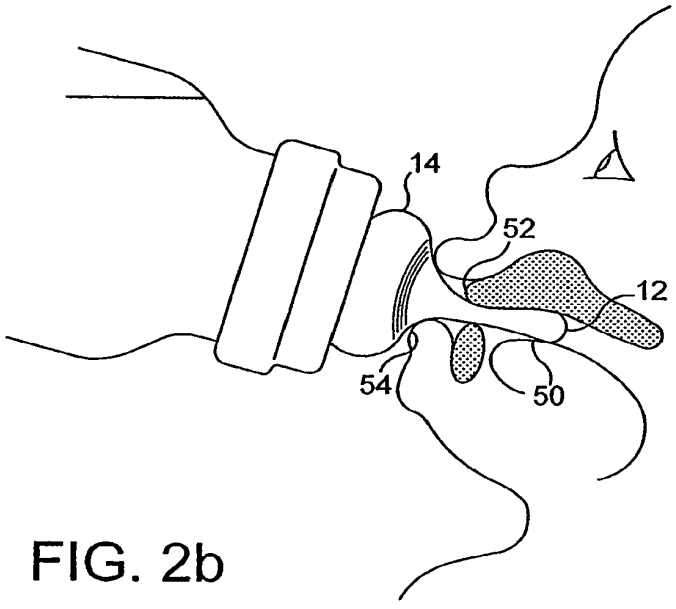



FIG. 2b

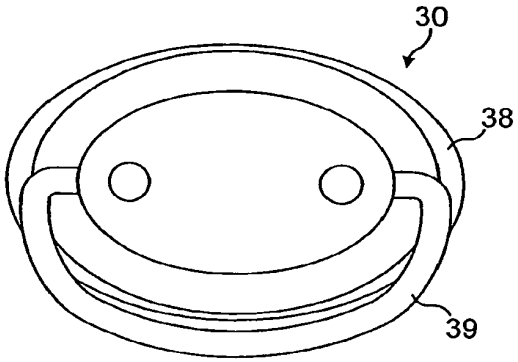



FIG. 3a

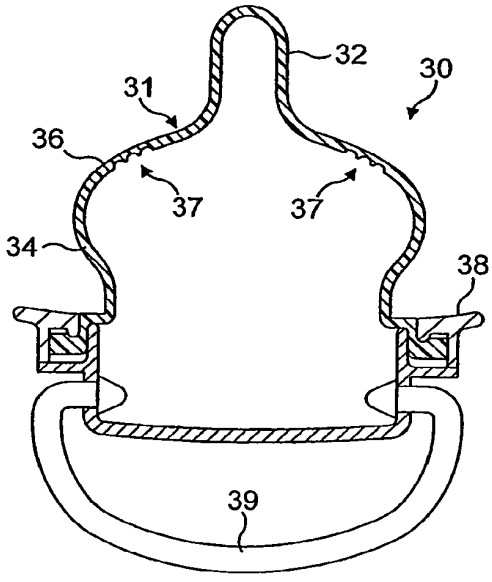



FIG. 3b

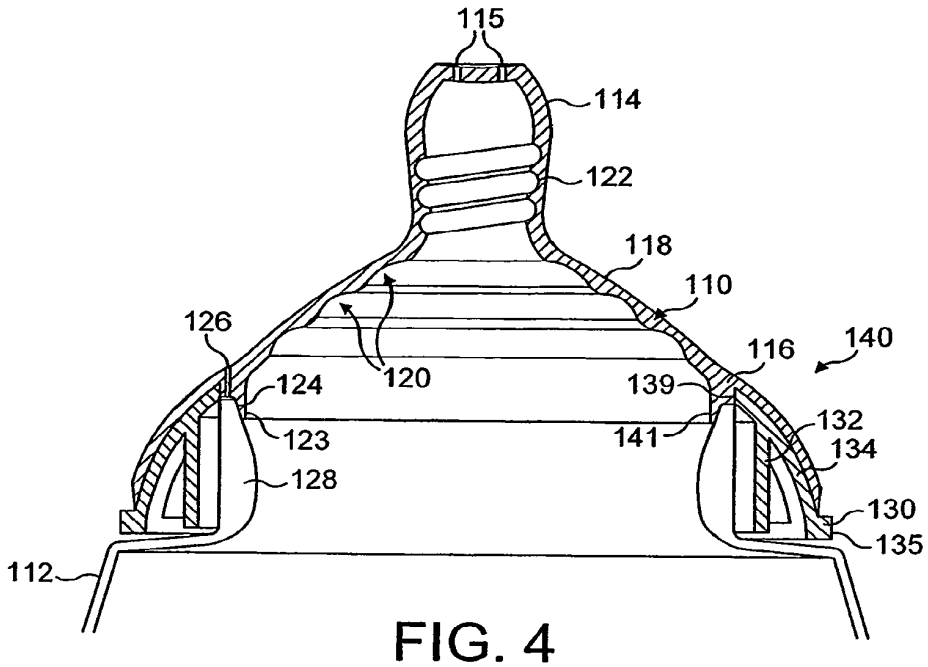



FIG. 4

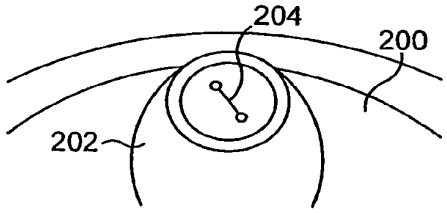



FIG. 5a

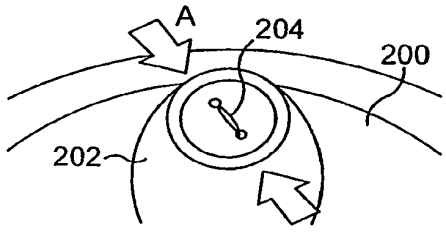



FIG. 5b

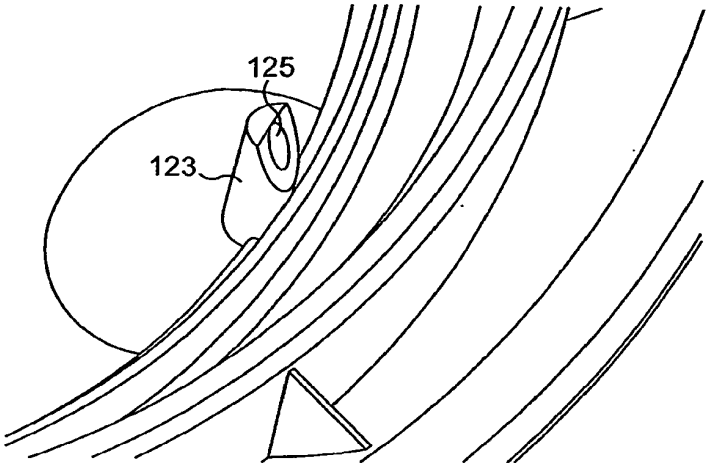



FIG. 6

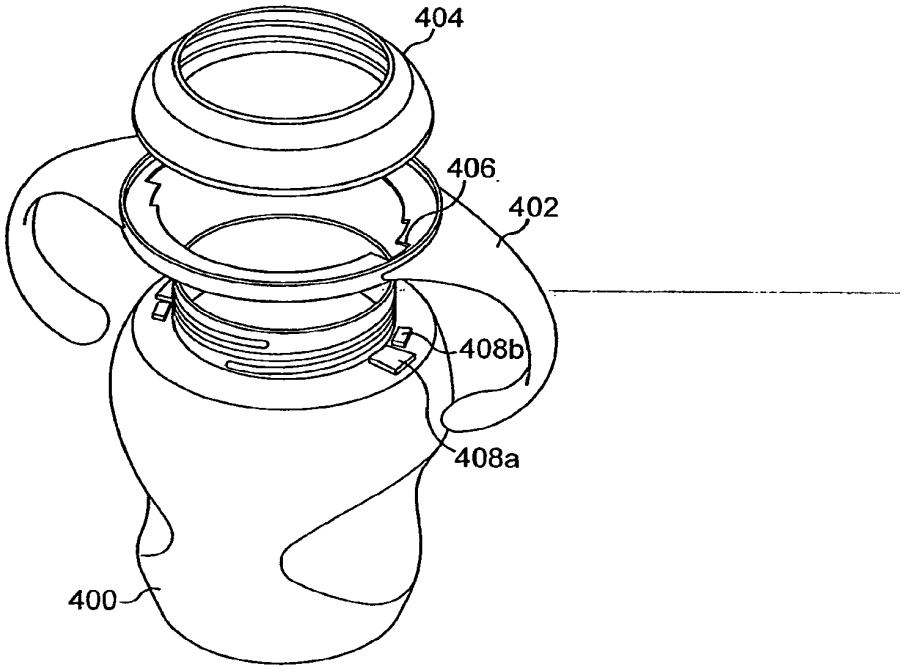



FIG. 7a

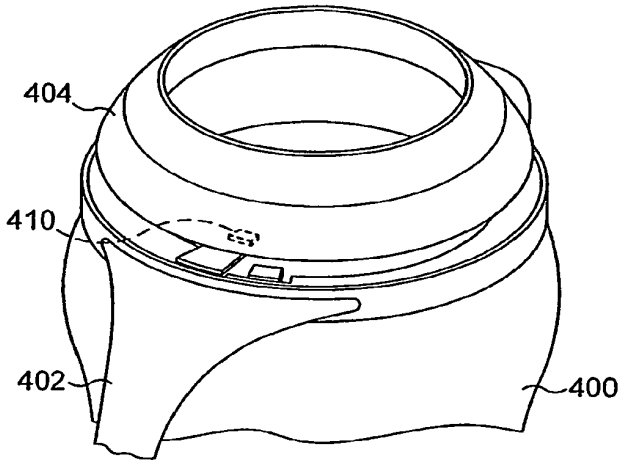



FIG. 7b

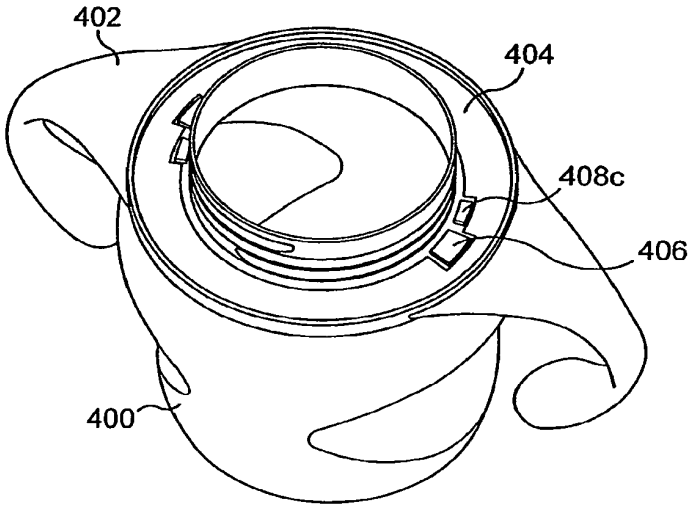



FIG. 7c

# Electronic Patent Application Fee Transmittal

| | |
|---|---|
| **Application Number:** | |
| **Filing Date:** | |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Filer:** | Shawn E. Duckworth/Jill Dykstra |
| **Attorney Docket Number:** | JM-4.2 |

Filed as Large Entity

## Utility under 35 USC 111(a) Filing Fees

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Basic Filing:** | | | | |
| Utility application filing | 1011 | 1 | 280 | 280 |
| Utility Search Fee | 1111 | 1 | 600 | 600 |
| Utility Examination Fee | 1311 | 1 | 720 | 720 |
| **Pages:** | | | | |
| **Claims:** | | | | |
| **Miscellaneous-Filing:** | | | | |
| **Petition:** | | | | |
| **Patent-Appeals-and-Interference:** | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD($) |
|---|---|---|---|---|
| **Post-Allowance-and-Post-Issuance:** | | | | |
| **Extension-of-Time:** | | | | |
| **Miscellaneous:** | | | | |
| | | **Total in USD ($)** | | **1600** |

# Electronic Acknowledgement Receipt

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| **EFS ID:** | 20784426 |
| **Application Number:** | 14551783 |
| **International Application Number:** | |
| **Confirmation Number:** | 6509 |
| **Title of Invention:** | Baby Bottle With Flexible Nipple Regions |
| **First Named Inventor/Applicant Name:** | Arnold Rees |
| **Customer Number:** | 2387 |
| **Filer:** | Shawn E. Duckworth/Jill Dykstra |
| **Filer Authorized By:** | Shawn E. Duckworth |
| **Attorney Docket Number:** | JM-4.2 |
| **Receipt Date:** | 24-NOV-2014 |
| **Filing Date:** | |
| **Time Stamp:** | 16:13:35 |
| **Application Type:** | Utility under 35 USC 111(a) |

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## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|---|---|---|---|---|---|
| 1 | Transmittal Letter | JM-4-2-Transmittal.pdf | 275511<br>e342b8c4071c003ebcd95476ae1563c975782c5d | no | 2 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 2 | Application Data Sheet | JM-4-2-ADS.pdf | 126457<br>eca9f3780e4ed02aabf254cda214d4426967446a | no | 6 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| This is not an USPTO supplied ADS fillable form | | | | | |
| 3 | | JM-4-2-pap.pdf | 128602<br>1adf262fe10b4b24dc50795958d2f288409e2583 | yes | 16 |

**Multipart Description/PDF files in .zip description**

| Document Description | Start | End |
|---|---|---|
| Specification | 1 | 10 |
| Claims | 11 | 15 |
| Abstract | 16 | 16 |

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| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 4 | Drawings-only black and white line drawings | JM-4-2-Drawings.pdf | 247472<br>dfc8371cadf9a7f36d6cd77add9659384bcfa198 | no | 6 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
| 5 | Fee Worksheet (SB06) | fee-info.pdf | 32939<br>8d54933d14c2854aca3a160cd7be9bdb1ddbd481 | no | 2 |
| **Warnings:** | | | | | |
| **Information:** | | | | | |
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