

~~DEFAULT~~[PROPOSED] **PROTECTIVE ORDER**

~~The following Default Protective Order will govern the filing and treatment of confidential information in the proceeding:~~

~~_____ Default Protective Order~~

This protective order governs the treatment and filing of confidential information, including documents and testimony.

1. Confidential information shall be clearly marked “~~PROTECTIVE ORDER MATERIAL~~CONFIDENTIAL.”

2. Access to confidential information is limited to the following individuals who have executed the acknowledgment appended to this order:

~~(A) Parties. Persons who are owners of a patent involved in the proceeding and other persons who are named parties to the proceeding.~~

~~(B)~~(A) Party Representatives. ~~Representatives~~Outside Counsel of record for a party in the proceeding.

~~proceeding.~~

~~(C)~~ Experts. Retained experts of a party in the proceeding who further certify in

(B) _____ the Acknowledgement that they are not a competitor to any party, or a consultant for, or employed by, such a competitor with respect to the subject matter of the proceeding.

~~(D)~~(C) In-house counsel. ~~In-house counsel of a party.~~ Those in-house counsel of each party previously designated under the protective order in the related district court case, *Cargill, Inc., et al. v. Vantage Specialty Chemicals, Inc.*, No. 1:22-cv-00979-RGA-SRF, pending in the U.S. District Court for the District of Delaware (“the Delaware Matter”). For the avoidance of doubt, access extends to the in-house counsel’s data entry, information processing, computer support, clerical, paralegal employees, or agents whose duties and responsibilities require access to materials designated “CONFIDENTIAL.”

~~(E)~~ Support Personnel. Administrative assistants, clerical staff, court reporters and

(D) other support personnel of the foregoing persons who are reasonably necessary to assist those persons in the proceeding shall not be required to sign an Acknowledgement, but shall be informed of the terms and requirements of the Protective Order by the person they are supporting who receives confidential information.

~~(F)~~ The Office. Employees and representatives of the United States Patent and

(E) Trademark Office who have a need for access to the confidential information shall have such access without the requirement to sign an Acknowledgement. Such employees and representatives shall include the Director, members of the Board and their clerical staff, other support personnel, court reporters, and other persons acting on behalf of the Office.

3. Employees (*e.g.*, corporate officers), consultants, or other persons performing work for a party, other than those persons identified above in ~~(d)~~(2)(A)–(E), shall be extended access to confidential information only upon

agreement of the parties or by order of the Board upon a motion brought by the party seeking to disclose confidential information to that person and after signing the Acknowledgment. The party opposing disclosure to that person shall have the burden of proving that such person should be restricted from access to confidential information.

4. Prosecution Bar. Absent written consent from the Producing Party, any individual who receives access to CONFIDENTIAL information of a technical nature shall not be involved in the prosecution of patents or patent applications relating to the subject matter of the challenged patent in this case and/or the accused products in the Delaware Matter, including without limitation the patent challenged in this action and any patent or application claiming priority to or otherwise related to the patent challenged in this action, before any foreign or domestic agency, including the United States Patent and Trademark Office. For purposes of this paragraph, “prosecution” includes directly or indirectly drafting, amending, advising, or otherwise affecting the scope of patent claims. To avoid any doubt, “prosecution” as used in this paragraph does not include representing a party challenging a patent before a domestic or foreign agency (including, but not limited to, reexamination or *inter partes* review). This

Prosecution Bar shall begin when access to Designated Material of a technical nature is first received by the affected individual and shall end two (2) years after final termination of this action.

4. Persons receiving confidential information shall use reasonable efforts to

5. maintain the confidentiality of the information, including:

- (A) Maintaining such information in a secure location to which persons not authorized to receive the information shall not have access;
- (B) Otherwise using reasonable efforts to maintain the confidentiality of the information, which efforts shall be no less rigorous than those the recipient uses to maintain the confidentiality of information not received from the disclosing party;
- (C) Ensuring that support personnel of the recipient who have access to the confidential information understand and abide by the obligation to maintain the confidentiality of information received that is designated as confidential; and
- (D) Limiting the copying of confidential information to a reasonable number of copies needed for conduct of the proceeding and maintaining a record of the locations of such copies.

5.6. Persons receiving confidential information shall use the following procedures to maintain the confidentiality of the information:

(A) Documents and Information Filed ~~With~~with the Board.

~~(i)~~ A party may file documents or information with the Board along with a Motion to Seal. The Motion to Seal should provide a non-confidential description of the nature of the confidential information that is under seal, and set forth the reasons why the information is confidential and should not be made available to the public. A party may challenge the confidentiality of the information by opposing

i. the Motion to Seal. The documents or information shall remain under seal unless the Board determines that some or all of it does not qualify for confidential treatment.

~~(ii)~~ Where confidentiality is alleged as to some but not all of the information submitted to the Board, the submitting party shall file confidential and

ii. non-confidential versions of its submission, together with a Motion to Seal the confidential version setting forth the reasons why the information redacted from the non-confidential version is confidential and should not be made available to the public. A party may challenge the confidentiality of the information by opposing the Motion to Seal. The non-confidential version of the submission shall clearly indicate the locations of information that has been redacted. The confidential version of the submission shall be filed under seal. The redacted information shall remain under seal unless the Board determines that some or all of the redacted information does not qualify for confidential treatment.

~~(B)~~ Documents and Information Exchanged Among the Parties.

Documents

(B) (including deposition transcripts) and other information designated as confidential that are disclosed to another party during discovery or other proceedings before the Board shall be clearly marked as “~~PROTECTIVE ORDER MATERIAL~~CONFIDENTIAL” and shall be produced in a manner that maintains its confidentiality.

~~6.~~ Within 60 days after the final disposition of this action, including the

7. ~~exhaustion~~ of all appeals and motions, each party receiving confidential information must return, or certify the destruction of, all copies of the confidential information to the producing party.

~~(k) Standard Acknowledgement of Protective Order. The following form may be used to~~

~~acknowledge a protective order and gain access to information covered by the protective order:~~

~~[CAPTION]~~

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

VANTAGE SPECIALTY CHEMICALS, INC.,

Petitioner

v.

CARGILL, INC.,

Patent Owner

Case No. IPR2023-00589

U.S. Patent No. 11,248,245

Standard Acknowledgment for Access to ~~Protective Order~~ Confidential Material

I _____, affirm that I have read the Protective Order in Case No. IPR2023-00589; that I will abide by its terms; that I will use the ~~confidential~~CONFIDENTIAL information only in connection with this proceeding and for no other purpose; that I will only allow access to support staff who are reasonably necessary to assist me in this proceeding; that prior to any disclosure to such support staff I informed or will inform them of the requirements of the Protective Order; that I am personally responsible for the requirements of the terms of the Protective Order and I agree to submit to the jurisdiction of the Office and the United States District Court for the Eastern District of Virginia for purposes of enforcing the terms of the Protective Order and providing remedies for its breach.

~~Protective Order and providing remedies for its breach.~~

Dated: _____

[Signature]