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Warnings:					
Information:					
8	Information Disclosure Statement (IDS) Form (SB08)	01640864.PDF	65793 ba6e33af5475d918094150d4ba46a035af22cd7a	no	4
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	821920-1032
		Application Number	
Title of Invention	REFRIGERANT WITH LUBRICATING OIL FOR REPLACEMENT OF R22 REFRIGERANT		
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☐	Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)
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Prefix	Given Name	Middle Name		Family Name		Suffix
	Kenneth	M.		Ponder		
Residence Information (Select One) ☒ US Residency ☐ Non US Residency ☐ Active US Military Service						
City	Cumming	State/Province	GA	Country of Residence i	US	
Citizenship under 37 CFR 1.41(b) i		US				
Mailing Address of Applicant:						
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Address 2						
City	Cumming	State/Province		GA		
Postal Code	30040	Country i	US			
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Citizenship under 37 CFR 1.41(b) i		US				
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City	Buckhead	State/Province		GA		
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		Application Number		
Title of Invention	REFRIGERANT WITH LUBRICATING OIL FOR REPLACEMENT OF R22 REFRIGERANT			
Customer Number	24504			
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Application Information:

Title of the Invention	REFRIGERANT WITH LUBRICATING OIL FOR REPLACEMENT OF R22 REFRIGERANT			
Attorney Docket Number	821920-1032	Small Entity Status Claimed ☒		
Application Type	Nonprovisional			
Subject Matter				
Suggested Class (if any)		Sub Class (if any)		
Suggested Technology Center (if any)				
Total Number of Drawing Sheets (if any)	1	Suggested Figure for Publication (if any)		

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☐ Request Early Publication (Fee required at time of Request 37 CFR 1.219)
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Prior Application Status	Pending	<input type="button" value="Remove"/>	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
	Continuation of	12961045	2010-12-06
Prior Application Status	Abandoned	<input type="button" value="Remove"/>	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
	Continuation of	10/937736	2004-09-08

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	821920-1032
		Application Number	
Title of Invention	REFRIGERANT WITH LUBRICATING OIL FOR REPLACEMENT OF R22 REFRIGERANT		
Prior Application Status	Expired	Remove	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
	non provisional of	60/501049	2003-09-08
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Application Number	Country ⁱ	Parent Filing Date (YYYY-MM-DD)	Priority Claimed
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A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.					
Signature	/Todd Deveau/			Date (YYYY-MM-DD)	2012-06-11
First Name	Todd	Last Name	Deveau	Registration Number	29526

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	821920-1032
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FIG. 1

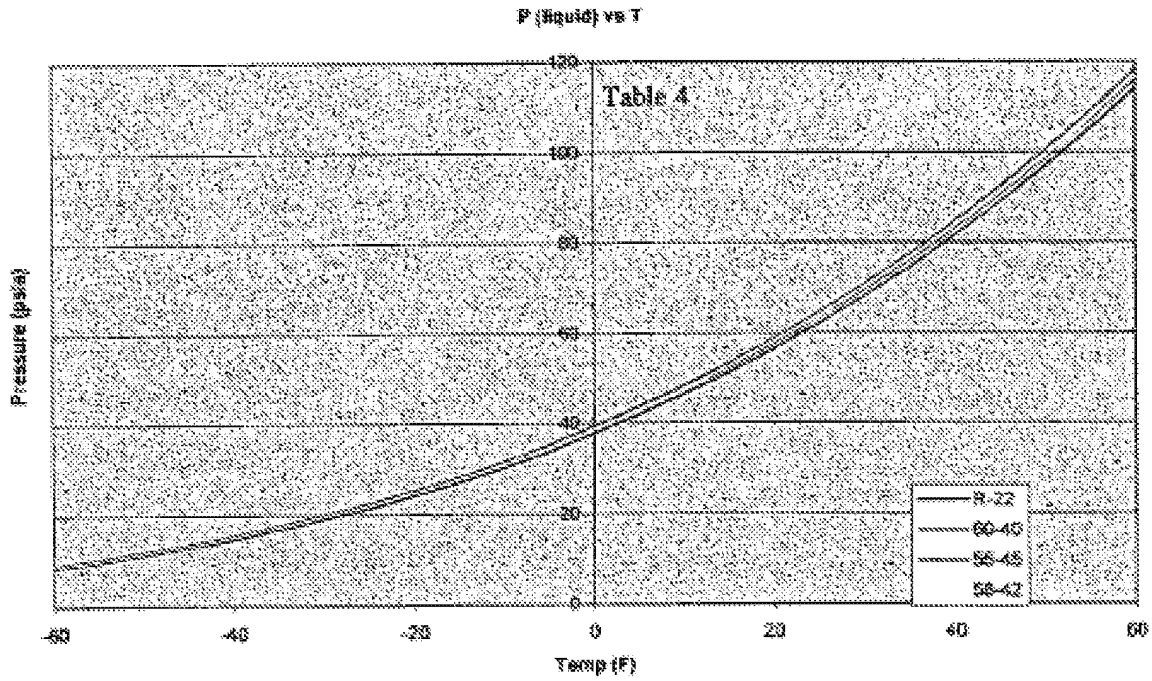
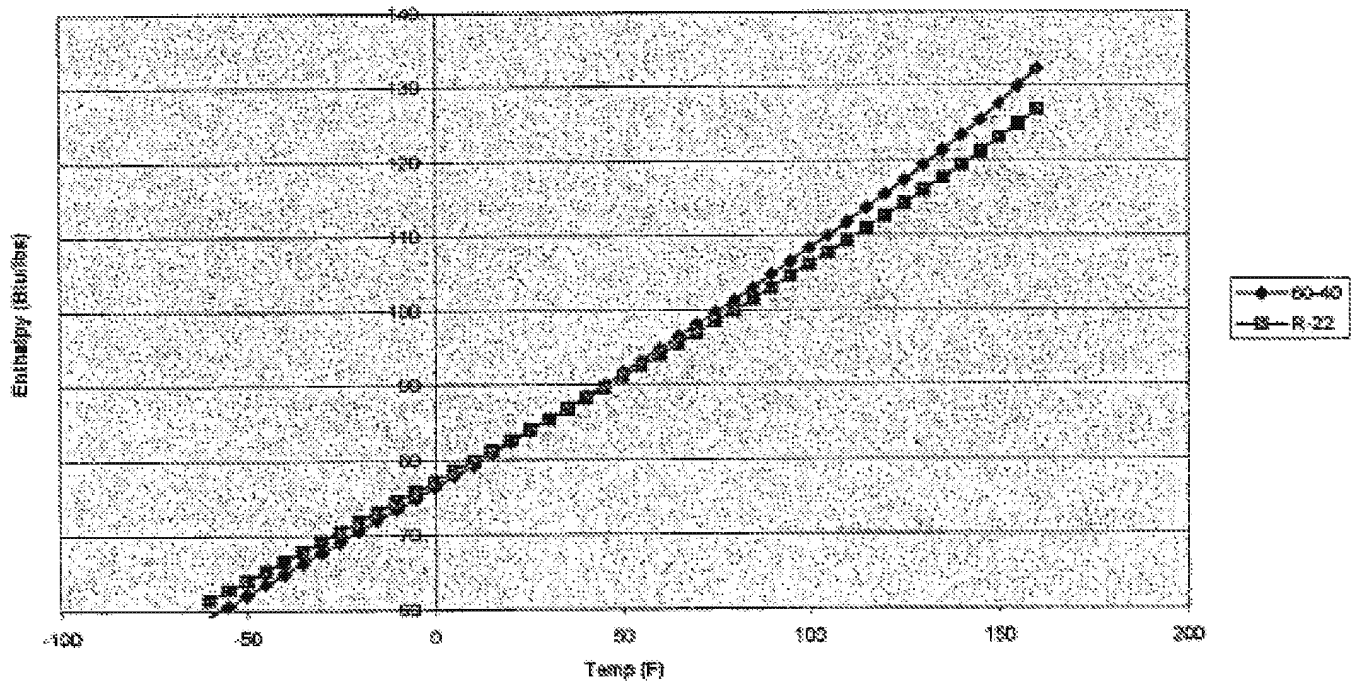


FIG. 2
 Temp vs Enthalpy



ENVIRONMENTALLY SAFER REPLACEMENT REFRIGERANT WITH NAPHTHENIC OIL FOR REFRIGERANT R22-BASED REFRIGERATION SYSTEMS

RELATED APPLICATION

5

This application claims priority to and the benefit of provisional application, Serial No. 60/501,049 filed September 8, 2003.

BACKGROUND OF THE INVENTION

1. Field Of The Invention

10 The present invention relates to the replacement of Refrigerant R-22 (chlorodifluoromethane) refrigerant with a blend refrigerant that is less damaging to the ozone layer in systems designed to use Refrigerant R-22 (chlorodifluoromethane). More particularly the present invention relates to an improved refrigerant composition, method and apparatus for refrigeration wherein two non-Refrigerant R-22 refrigerants are mixed
15 in a defined ratio such that the temperature-pressure relationship of the mix approximates that of Refrigerant R-22 (chlorodifluoromethane). The mixture is compatible with Refrigerant R-22 (chlorodifluoromethane) so that it can be added to supplement and replace Refrigerant R-22 (chlorodifluoromethane). A further particularity of the instant invention relates to an improved method and apparatus for refrigeration wherein
20 refrigerant mixture is mixed with a soluble lubricating oil to provide lubrication to the apparatus. The lubricant is soluble in both the mixture of the invention and Refrigerant R-22 (chlorodifluoromethane) refrigerant.

2. General Background

25 Until recently, R-22 refrigerant chlorodifluoromethane (hereinafter sometimes called "Refrigerant R-22 (chlorodifluoromethane)") was the major, if not sole refrigerant, used in residential air-conditioners, refrigerators, freezers and window air-conditioning units. Refrigerant R-22 (chlorodifluoromethane) is a trademark of E. I. du Pont de Nemours & Co. Inc. for chlorodifluoromethane. Hereinafter, "Refrigerant R-22

(chlorodifluoromethane)” is used in this specification to denote chlorodifluoromethane, regardless of the source.

Recently, however, Refrigerant R-22 (chlorodifluoromethane) has come under attack both nationally and internationally as an ozone layer-damaging chemical. In recent years, both the national and international scientific communities have linked Refrigerant R-22 (chlorodifluoromethane) with damage to the earth’s protective ozone layer. Air-conditioners, refrigerator/freezers and window air-conditioning units containing R-22 are believed to be a global source of ozone-damaging material.

In response to scientific concern and a national and global outcry over the use of Refrigerant R-22 (chlorodifluoromethane) in air-conditioning, the United States Congress has acted to first reduce and then ban the use of Refrigerant R-22 (chlorodifluoromethane) in air-conditioning units.

As a first step toward phasing out the use of Refrigerant R-22 (chlorodifluoromethane) in air-conditioning units, Congress is phasing out the use of Refrigerant R-22 (chlorodifluoromethane) in new equipment effective 01/01/2015 and has banned the sale of Refrigerant R-22 (chlorodifluoromethane) in any size container as of 01/01/2020. One of the first areas in which the use of Refrigerant R-22 (chlorodifluoromethane) is to be phased out is in the Bakery industry under the Bakery Partnership Program. Another step in phasing out the use of R-22 is the import restrictions that begin in 2003, limiting the amount of R-22 that can be imported into the United States.

At the time of this application, the vast majority of residential, window units and freezers in use in the United States contain Refrigerant R-22 (chlorodifluoromethane).

Prior to banning the sale of quantities of Refrigerant R-22 (chlorodifluoromethane), owners of equipment with Refrigerant R-22 (chlorodifluoromethane)-based air-conditioning units are able to purchase the level of refrigerant in their equipment with only the need of a refrigerants license as required by

the Clean Air Act. Millions of units containing refrigerant R-22 (chlorodifluoromethane) were sold in the United States prior to the start of mandatory phase out set forth by Congress and the international community.

5 Refrigerant R-22 (chlorodifluoromethane) recharging typically involves 30 lb. cans or cylinders typically used in the HVAC/R industry. The cylinders are fitted with a dispensing outlet compatible with a commercially available refrigeration manifold. In order to recharge an air-conditioning system, a customer need to only fit the can or cylinder to the manifold and discharge, or “add to” the refrigerant charge directly into the air conditioning system.

10 Following Congress’s ban on the sale of Refrigerant R-22 (chlorodifluoromethane) millions of equipment owners with Refrigerant R-22 (chlorodifluoromethane)-based air-conditioning units will be left with no choice other than to seek replacement refrigerants to service these units. Intentionally mixing of refrigerants is currently illegal by standards set forth by the Clean Air Act. An example
15 would be for this application is that current R-22 units could not be mixed with this invention intentionally.

In response to Congress’s ban on the use of Refrigerant R-22 (chlorodifluoromethane) in air-conditioning, service dealers have began to retrofit existing Refrigerant R-22 (chlorodifluoromethane)-based air-conditioning units with new,
20 non-R-22 refrigerants, such as R410A (a 50/50 mixture of difluoromethane and pentafluoroethane), R417 (a 46/50/4 mixture of pentafluoroethane, 1,1,1,2-tetrafluoroethane, and butane) or R407C (a 23/25/52 mixture of difluoromethane, pentafluoroethane, and 1,1,1,2-tetrafluoroethane). None of these replacements contain a lubricant when produced.

25 The refrigerants that will be authorized by the Environmental Protection Agency (EPA) to replace Refrigerant R-22 (chlorodifluoromethane) in air conditioners is currently under review and will evolve to require a environmentally safe refrigerant, with

a 0 odp factor like the one of the present invention described herein. Unfortunately, most replacements for R-22 have a markedly different temperature-pressure relationship at most operating temperatures than Refrigerant R-22 (chlorodifluoromethane).

Because of this difference in the temperature-pressure relationship of Refrigerant
5 R-22 (chlorodifluoromethane) and current replacement refrigerants, existing Refrigerant R-22 (chlorodifluoromethane)-based systems cannot typically be interchanged. Hence, non-retrofitted, Refrigerant R-22 (chlorodifluoromethane)-based units have a need for a refrigerant that will fulfill the requirements of the system design while also meeting the requirements of the EPA and the international community.

10 Simply mixing refrigerants with existing Refrigerant R-22 (chlorodifluoromethane) in order to replenish, or “toping off” the level is against the law and not feasible. When other refrigerants are mixed with Refrigerant R-22 (chlorodifluoromethane), the mixture can take on the pressure characteristics of a substance that could be harmful to either the equipment or the operator. The
15 temperature-pressure relationship becomes markedly different from that of Refrigerant R-22 (chlorodifluoromethane) at temperatures within the normal refrigerant operating temperature range and typical use of R-22.

Hence, in the absence of Refrigerant R-22 (chlorodifluoromethane) owners of equipment with Refrigerant R-22 (chlorodifluoromethane)-based air conditioners face but
20 one choice when the level of their air-conditioning coolant is low: professional service—at a significant cost—to remove the existing Refrigerant R-22 (chlorodifluoromethane), and retrofit the system compatible with some kind of refrigerant gas.

R-22 refrigerants were developed to replace the prior, now banned R-12 refrigerant, or dichlorodifluoromethane. R-12 is sometimes referred to as FREON 12®,
25 which is a trademark of E.I. du Pont de Nemours & Co. for dichlorodifluoromethane.

Thomas et al. (U.S. Pat. No. 5,254,280) discloses a lubricant developed for use with a refrigerant known as R134a (1,1,1,2 – tetrafluoroethane) and the combination of

that lubricant with a refrigerant, which is a replacement for R-12. The lubricant contains polyoxyalkylene glycol, which is hydrophilic and could damage the system as discussed below.

Wilczek (U.S. Pat. No. 5,384,057), Gorski (U.S. Pat. No. 4,971,712), and Anton
5 of DuPont (U.S. Pat. No. 5,145,594) disclose other R-12 replacements in the form of a
blend of certain synthetic lubricants in various R134a and R134a/R125 refrigerant
systems. The DuPont patents discuss a gas known as R125 (pentafluoroethane). R125 is
five fluorine atoms bonded to an ethane molecule. This is a very large molecule for a
refrigerant. It is currently being produced for refrigeration only. Anton discloses the use
10 of a lubricant comprising at least one cyanocarbon compound. Wilczek discloses a
fluorosiloxane as a lubricant. Gorski discloses a polyalkylene glycol as a lubricant.

Systems that contain R-22, or R-12 replacements, are still being produced today.
These older systems have common components: R-22, R-22 mineral oil lubricant, and
water that is sequestered into the dryer. If R134a (1,1,1,2-tetrafluoroethane) were added to
15 the system, it would damage the system as follows: (1) if no lubricant is added to the
R134a (as in U.S. Pat. No. 4,953,312 to Tamura et al.), then the R-22 system would be
starved for lubricant, since the R134a gas is not miscible with the mineral oil lubricant;
(2) if a synthetic lubricant is added to the R134a (as in Thomas et al.), then there is a
different problem—that of moisture. Older systems can have water trapped in their
20 dryers. The synthetic lubricants (such as polyglycol- or polysiloxane-based lubricants)
are hydrophilic. Thus, they are not only miscible with R-22 and R134a; they are also
partially or completely miscible with water. Thus, if they are introduced into an R-22
system, they will pull this water out of the dryer into the refrigerant flow, initiating
corrosion and damage to pressure switches and the TX valve and possible other system
25 components. This is why Elf Atochem and DuPont, to name a few publish elaborate
flushing procedures and high efficiency dryer change-outs to prevent damage to the
cooling system.

Weber (U.S. Patent No. 5,942,149) discloses yet another R-12 replacement consisting of a blend of chlorodifluoroethane, tetrafluoroethane and a naphthenic lubricating oil.

SUMMARY OF THE PRESENT DISCLOSURE

5 The present disclosure is of a method and apparatus that are environmentally sound alternatives to the use of Refrigerant R-22 (chlorodifluoromethane) as a refrigerant. More particularly, the invention provides a mixture of at least two refrigerants that are miscible with each other, and compatible with Refrigerant R-22 (chlorodifluoromethane) while at the same time possessing a temperature-pressure profile
10 that approximates that of Refrigerant R-22 (chlorodifluoromethane) over the operating range of ambient temperatures usually encountered by air conditioning units or other apparatus utilizing Refrigerant R-22 (chlorodifluoromethane) as a refrigerant. The invention also provides a lubricant, that is compatible with both the environmentally sound refrigerant of the invention and with Refrigerant R-22 (chlorodifluoromethane), so
15 that mixtures of the refrigerant according to the invention and Refrigerant R-22 (chlorodifluoromethane) may be utilized with this lubricant in the refrigeration systems without deleterious effect upon moving parts of the refrigerating apparatus that require lubrication from the refrigerant.

 More particularly, the refrigerant and method disclosed herein involves a mixture
20 of pentafluoroethane and tetrafluoroethane in specific proportions that provide a temperature-pressure relationship that approximates that of Refrigerant R-22 (chlorodifluoromethane) over the range of ambient temperature operating conditions in which Refrigerant R-22 (chlorodifluoromethane) is a useful refrigerant. The pentafluoroethane/tetrafluoroethane refrigerant blend disclosed herein is compatible with
25 both synthetic and mineral oils. The tetrafluoroethane can be either 1,1,1,2-tetrafluoroethane or 1,1,2,2-tetrafluoroethane. In an exemplary embodiment, the refrigerant according to the invention comprises a ratio of from about 40 to about 45 weight percent pentafluoroethane to about 55 to about 60 percent 1,1,1,2-

tetrafluoroethane, based upon the total weight of pentafluoroethane and 1,1,1,2-tetrafluoroethane. In a further exemplary embodiment, the refrigerant includes the ratio of about 42 weight percent pentafluoroethane to about 58 weight percent 1,1,1,2-tetrafluoroethane.

5 In addition, the refrigerant according to the invention also includes from about 0 to about 20 weight percent (based on the combined weight of pentafluoroethane and 1,1,1,2-tetrafluoroethane) of a lubricating oil that is soluble in chlorodifluoromethane, pentafluoroethane, and 1,1,1,2-tetrafluoroethane. In a preferred embodiment the lubricating oil is present in the range of from about 0.5 to about 2% by weight of the
10 refrigerant mixture.

 In an exemplary embodiment, the lubricating oil is a naphthenic or paraffinic based lubricating oil. In a further exemplary embodiment, the lubricant is selected from those lubricants sold by Anderol, Inc., East Hanover, N.J., an affiliate of Royal Lubricants Company, under the trademark ROYCO® 2302. ROYCO® 2302 is a naphthenic oil
15 lubricant having the following composition:

65-85% hydrotreated light naphthenic distillate,
10-20% solvent refined light naphthenic distillate petroleum,
<0.5% butylated triphenyl phosphate, and
<2% minor additive.

20 In another exemplary embodiment, the lubricating oil can be a synthetic lubricating oil, or a mixture of oils, that is soluble in the mixture of the chlorodifluoroethane and tetrafluoroethane. A suitable synthetic lubricant is a man-made, synthetic alkyl aromatic lubricant. Suitable synthetic lubricants include alkylated benzene lubricants.

25 The lubricant can be either a synthetic alkyl aromatic lubricant, such as alkylbenzene, alone, or a mixture of a synthetic alkyl aromatic lubricant and mineral oil

or a mixture of a synthetic alkyl aromatic lubricant and polyol ester (POE). When so mixed, it is preferred, but not required, that a minor portion of the mixture be mineral oil or POE. By minor portion, we mean less than 50% by weight of the blended refrigerants. Alternatively, either mineral oil or polyol ester (POE) can be used alone.

5 While it is intended that the substitute refrigerant according to the invention may be utilized to replace Refrigerant R-22 (chlorodifluoromethane) that has escaped from apparatus, the substitute refrigerant of the invention may also be utilized to completely refill apparatus that have been designed for use with Refrigerant R-22 (chlorodifluoromethane), since the refrigerant has a temperature-pressure profile that
10 closely approximates that of Refrigerant R-22 (chlorodifluoromethane). Thus, when the refrigerant is used as a complete replacement for Refrigerant R-22 (chlorodifluoromethane), it is no longer necessary that the lubricant be compatible with chlorodifluoromethane but only that it should be compatible with 1,1,1,2-tetrafluoroethane and pentafluoroethane.

15 Further, whereas the substitute refrigerant of the invention is less damaging to the ozone layer than Refrigerant R-22 (chlorodifluoromethane) and is useful in air conditioning units, and in particular residential type air-conditioning units, it is not so limited in its use. Indeed, the refrigerant may be utilized as a substitute or replacement for Refrigerant R-22 (chlorodifluoromethane) in virtually any application, thereby
20 eliminating the use of ozone layer-damaging Refrigerant R-22 (chlorodifluoromethane).

 In further specifics, the invention provides a canister containing a mixture of tetrafluoroethane and pentafluoroethane with a napthenic oil that may be fitted with an outlet manifold that is compatible with a Refrigerant R-22 (chlorodifluoromethane) recharging manifold that is typically used to recharge an apparatus with the latter
25 refrigerant. Refrigerant may then be allowed to flow from the container through the manifold and into the apparatus to replace Refrigerant R-22 (chlorodifluoromethane) refrigerant that has been lost from the refrigeration system.

When mixing the components of the refrigerant blend of the present invention, one should first mix the lubricant with the tetrafluoroethane, then mix that mixture with the pentafluoroethane in the proportions afore mentioned. Otherwise, the product does not mix properly.

5 In an exemplary form, the lubricant has a viscosity of 5 to 500 centistokes, more preferably 5 to 100 centistokes, even more preferably 5 to 50 centistokes, and most preferably 5 to 10 centistokes. The lubricant having a viscosity of 5 to 10 centistokes is preferred.

10 In an exemplary embodiment, the percentage by weight of lubricant in the refrigerant blend is 0-20%, preferably 0.5-2%, more preferably 1-2%, even more preferably 1.25-2%, and most preferably 1.5-1.75%. The percentage by weight of lubricant in the refrigerant blend is, for example, 1.75. $\pm$ 0.05%.

15 A suitable lubricant is severely hydro treated naphthenic/paraffinic lubricant, such as the aforementioned ROYCO® 2302. Other suitable lubricants include Sunpar R2280 (a paraffinic based lubricant), Lubrizol 403, Sunthene 200 (a naphthenic based lubricant) and L30 or L35 from Shrieve Chemical Company, The Woodlands, Texas, or Zerol 150 from Nu-Calgon Wholesale, Inc., St. Louis, Missouri, or AB150 from Virginia KMP Corporation, Dallas, Texas (alkylbenzene synthetic lubricants). Mobil One 5-weight synthetic oil (it is hydrophobic) could also work as a lubricant, but it is relatively
20 expensive.

 In order for the parts of the refrigerant system to function best, 0.5-20% of total weight of refrigerant should be lubricant. When adding the refrigerant blend to a refrigerant system, one should leave the lubricant in the system if one for some reason takes out the Refrigerant R-22.

25 The lubricating of the present system is miscible with the pentafluoroethane and tetrafluorethane blend and with R-22 refrigerant. This allows for mixing of residual R-22 refrigerant and the refrigerant of the present invention, without the release of significant

amounts of residual water in the dryer and subsequent system damage (as will happen if the synthetic lubricants disclosed in Thomas et al. and the DuPont patents are used). While alkylbenzene alone is considered not miscible with tetrafluoroethane (in particular R134a), it is sufficiently soluble in the present tetrafluoroethane/chlorodifluoroethane mixture. This solubility allows the replacement refrigerant blend to lubricate the system, preventing damage to the compressor and component parts of the system.

Various optional additives can be included in the lubricant. A corrosion inhibitor can be included. (otherwise corrosion will probably occur within 6 months). An exemplary corrosion inhibitor is one for anhydrous systems (such corrosion inhibitors comprise calcium, or phosphate salts). The corrosion inhibitor can be bonded to the lubricant. Additionally, a surfactant and/or a foaming agent can be included.

The present refrigerant blend is designed to be utilized as a R-22 replacement in refrigeration systems. It is designed as a replacement, in which little or no modifications including parts are used to adapt the system for the refrigerant of the present invention.

The present refrigerant blend can be used as a replacement for R-22 refrigerant, typically deminimus without retrofitting the air conditioning system or flushing it out. It is recommended that a full vacuum be obtained before adding the refrigerant to the present invention.

BRIEF DESCRIPTION OF THE DRAWINGS

Many aspects of the disclosure can be better understood with reference to the following drawings.

FIG. 1 illustrates pressure versus temperature profiles for various blends of the present disclosure in comparison to R-22.

FIG. 2 compares the temperature versus enthalpy profile of an exemplary embodiment of the present disclosure to R-22.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present disclosure provides a mixture of non-Refrigerant 22 refrigerants that are less damaging to the Earth's ozone layer and that are approved by the U.S. Environmental Protection Agency for use in air-conditioners. The invention mixture is compatible with Refrigerant R-22 (chlorodifluoromethane) and can be used to replace existing Refrigerant R-22 (chlorodifluoromethane)-in R-22 based refrigeration systems. It is expected that the present invention will gradually replace Refrigerant R-22 (chlorodifluoromethane) in Refrigerant R-22 (chlorodifluoromethane)-based air-cooling systems, without the need to retrofit existing Refrigerant R-22 (chlorodifluoromethane)-based systems for non-Refrigerant 22 replacement refrigerants.

Specifically, the present refrigerant blend includes a mixture of pentafluoroethane and tetrafluoroethane packaged with a compatible lubricating oil, provided under pressure in a can or cylinder equipped with an outlet compatible with existing Refrigerant R-22 (chlorodifluoromethane) recharging kit manifolds, so that the refrigerant and lubricant mixture can be added to existing Refrigerant R-22 (chlorodifluoromethane) based coolant systems. Also, the invention provides the possibility of using new refrigerant systems, originally designed for "Refrigerant R-22 (chlorodifluoromethane)," by supplying an EPA-approved refrigerant so that retrofitting to new equipment use is not required.

In an exemplary embodiment, the invention provides an cylinder can like the standard 25 or 30 lb. can formerly used for containing "Refrigerant R-22 (chlorodifluoromethane)," but containing about 58% by weight 1,1,1,2-tetrafluoroethane and about 42% by weight pentafluoroethane. The can also contains the preferred lubricant, ROYCO® 2302 in solution with the coolant mixture at a percent by weight of between 0.5% and 2%.

Existing Refrigerant R-22 (chlorodifluoromethane)-based air-conditioning systems use an amount of a vegetable or hydrocarbon mineral oil to lubricate the compressor. This oil has a very low vapor pressure, and is not soluble with pure

tetrafluoroethane, particularly 1,1,1,2-tetrafluoroethane. Hence, adding tetrafluoroethane to replace Refrigerant R-22 (chlorodifluoromethane) in existing Refrigerant R-22 (chlorodifluoromethane)-based air-conditioning systems leads to compressor breakdown from lack of sufficient lubrication. The invention provides lubricants that are compatible
 5 with the invention mixture of tetrafluoroethane and pentafluoroethane, and with “Refrigerant R-22 (chlorodifluoromethane),” and that are suitable for lubricating refrigerant compressors and other air-conditioner component parts. The lubricants disclosed herein, on the other hand, are soluble in a tetrafluoroethane/ pentafluoroethane mixture. This solubility allows the replacement refrigerant blend to lubricate the air-
 10 conditioning system, preventing damage to the compressor and component parts of the system.

EXAMPLE 1

Table 1 summarizes the results of solubility tests of a 2% by weight solution of ROYCO® 2302 oil lubricant in an 58/42% by weight mixture of 1,1,1,2-
 15 tetrafluoroethane and pentafluoroethane refrigerants. ROYCO® 2302 oil (available from ANDEROL®, Inc., an affiliate of Royal Lubricants Co.), was added to a clear Fisher-Porter pressure burette and a mixture of 1,1,1,2-tetrafluoroethane/pentafluoroethane in an 58/42 ratio by weight was introduced under pressure to maintain the liquid state.

TABLE 1

20	Full Burette	clear no separation
	2/3 Full Burette	clear no separation
	1/2 Full Burette	clear no separation
	1/3 Full Burette	clear no separation
25	Almost Empty Burette	clear no separation

Note: The color of the fluid remained the same as the burette was emptied. The expelled gas deposited the oil onto a test panel.

EXAMPLE 2

1,1,1,2-tetrafluoroethane and pentafluoroethane are mixed with the napthenic oil lubricant at set ratios such that the temperature-pressure profile of the mixture is similar to that of Refrigerant R-22 (chlorodifluoromethane), over the normal operating range of
 5 air-conditioners. Table 2 summarizes the results of tests of the temperature-pressure profiles of various mixes of 1,1,1,2-tetrafluoroethane and pentafluoroethane over the range of normal air-conditioner working temperatures, from -60 degree. F. to 160. degree. F.

For Table 2, different percentages of 1,1,1,2-tetrafluoroethane and
 10 pentafluoroethane --by weight—were mixed with the lubricant to show the pressure temperature relationships of the various invention combinations.

Fig. 1 shows Pressure (liquid) vs. Temperature profiles for R-22 and blends of 60/40, 55/45 and 58/42 of tetrafluoroethane and pentafluoroethane, respectively.

Fig. 2 shows Temperature vs. Enthalpy profiles comparing a blend of 60/40
 15 tetrafluoroethane and pentafluoroethane to R-22.

The blend of refrigerants tetrafluoroethane and pentafluoroethane of the present disclosure shows the following properties of interest:

Dew Point @ -32F

Bubble Point @ -41.5F

20 Glide @ 9.5 F

An exemplary blend of refrigerants is about 42% by weight pentafluoroethane and about 58% by weight 1,1,1,2-tetrafluoroethane. This is the ratio of pentafluoroethane to 1,1,1,2-tetrafluoroethane with the lubricant where the mixture of the invention shows the greatest similarity to “Refrigerant R-22 (chlorodifluoromethane)”, over most operating
 25 temperatures.

The apparatus and method of the preferred embodiment encompass the use of a mixture of 1,1,1,2-tetrafluoroethane and pentafluoroethane at the ranges, as discussed above, with a lubricating oil at ranges, as discussed above of about 0.5% to about 2% by weight in the operation of an air-conditioning system, wherein the coolant-oil mixture
5 replaces Refrigerant R-22 (chlorodifluoromethane) in a Refrigerant R-22 (chlorodifluoromethane)-based refrigeration system.

The method and apparatus in the preferred embodiment further details providing the above described mix of pentafluoroethane/1,1,1,2-tetrafluoroethane and lubricating oil in 30 lb. cylinders, where the cylinders are pressure sealed and fitted with an outlet
10 compatible for existing Refrigerant 22-type refrigeration manifolds typically 1/4 inch male flare.

Further, it was noted that the systems tested ran more smoothly and the compressor showed less vibration during the test period, as the mixture of the invention was added. It is theorized that the lubricating oil, being soluble in the refrigerant gasses,
15 was better able to lubricate the compressor and reciprocating parts than the existing Refrigerant R-22 (chlorodifluoromethane) lubricant used by itself. In some applications a reduction in power consumption maybe also noted.

The refrigerant of the present invention can also be used as a replacement refrigerant for the new R-22 air-conditioning systems, such as the systems used in today's
20 HFC-free units

The ROYCO® 2302 napthenic oil lubricant of the present disclosure has a flash point of more than 150 degrees F. Pure refrigerant 1,1,1,2-tetrafluoroethane is not miscible with a napthenic lubricant like mineral oil or mineral seal oil (both of which could be used as the lubricants of the present invention). Pentafluoroethane is miscible
25 with most napthenic lubricants, including mineral oils. The presence of the pentafluoroethane allows the use of mineral oils in the refrigerant blend and system of the present invention (a translucent, partially miscible blend is formed). The lubricant can

advantageously be partially polymerized into longer chain molecules to allow it to function at very low percentage levels. The lubricant can be hydrotreated or polymerized for stability and wear resistance.

5 Phosphated additives add corrosion resistance in the presence of acids and salts and increase wear resistance. Calcium additives help the lubricant resist rust and the effects of corrosion; calcium salts reduce the corrosive effects of hydrochloric acid that is formed in the presence of water and the chlorinated gases present in the refrigerant systems of the present invention.

10 The ROYCO® lubricants mentioned above contain the corrosion inhibitors mentioned above and can also contain acrylic polymer. It is believed that the function of the acrylic polymer is to increase wear resistance under severe conditions. Acrylics can help film formation, and the ability of the lubricant to coat metal and soft parts and stay in place.

15 The lubricant of the present invention is miscible with R-22, the R-22 lubricant, and the blend of the refrigerant gases of the present invention.

It should be understood that variations and modifications may be made of the invention herein taught, and that those are within the scope and spirit of the invention as taught above and claimed here below.

Table 2

Temp (F)	P (60-40)	P (58-42)	P (55-45)	P (R-22)
-60	8.982	8.81	8.552	8.836
-55	10.36	10.16	9.87	10.19
-50	11.9	11.68	11.35	11.7
-45	13.62	13.37	12.99	13.39
-40	15.52	15.24	14.82	15.26
-35	17.63	17.32	16.84	17.34
-30	19.96	19.61	19.08	19.62
-25	22.52	22.13	21.54	22.14
-20	25.33	24.9	24.25	24.91
-15	28.41	27.93	27.21	27.93
-10	31.76	31.23	30.44	31.23
-5	35.42	34.83	33.96	34.82
0	39.39	38.74	37.79	38.73
5	43.69	42.98	41.94	42.96
10	48.34	47.57	46.43	47.54
15	53.36	52.52	51.27	52.48
20	58.76	57.85	56.5	57.79
25	64.57	63.58	62.11	63.51
30	70.8	69.73	68.14	69.65
35	77.48	76.33	74.61	76.22
40	84.62	83.38	81.52	83.26
45	92.25	90.9	88.91	90.76
50	100.4	98.93	96.79	98.76
55	109	107.5	105.2	107.3
60	118.2	116.6	114.1	116.3
65	128	126.2	123.6	125.9
70	138.4	136.5	133.6	136.1
75	149.3	147.3	144.3	146.9
80	160.9	158.8	155.6	158.3
85	173.2	170.9	167.5	170.4
90	186.1	183.7	180.1	183.1
95	199.8	197.2	193.3	196.5
100	214.2	211.4	207.3	210.6
105	229.3	226.4	222.1	225.5
110	245.3	242.2	237.6	241.1
115	262	258.7	253.9	257.5
120	279.6	276.1	271	274.7
125	298.1	294.4	289	292.7
130	317.5	313.6	307.8	311.6
135	337.8	333.7	327.6	331.4
140	359.1	354.8	348.3	352.1
145	381.4	376.8	370.1	373.7
150	404.8	400	392.8	396.4
155	429.3	424.2	416.7	420
160	454.9	449.5	441.6	444.7

Claims

What is claimed as the invention is:

5 1. In an apparatus for refrigerating that is designed for use with ozone layer-damaging chlorodifluoromethane refrigerant known as R-22, the improvement comprising substituting the chlorodifluoromethane with a substitute blend refrigerant containing a lubricant less damaging to the Earth's ozone layer than chlorodifluoromethane,

10 said substitute refrigerant consisting of:

 about 40% to about 45% by weight pentafluoroethane;

15 about 55% to about 60% by weight tetrafluoroethane; and

 packaged with about 0% to about 20% by weight of the refrigerant with a lubricating oil that is soluble in chlorodifluoromethane, pentafluoroethane and
20 tetrafluoroethane.

 2. The invention according to Claim 1, the lubricating oil having the following components:

25 65-88% hydrotreated light napthenic distillate, and

 10-20% solvent refined light napthenic distillate petroleum.

30 3. The invention according to claim 1 wherein in the substitute refrigerant said pentafluoroethane is present in the ratio of about 40% by weight to said tetrafluoroethane present in an amount of about 60% by weight.

4. The invention according to claim 1 wherein in the substitute refrigerant said pentafluoroethane is present in the ratio of about 42% by weight to said tetrafluoroethane present in an amount of about 58% by weight.

5 5. The invention according to claim 1 wherein in the substitute refrigerant said pentafluoroethane is present in the ratio of about 45% by weight to said tetrafluoroethane present in an amount of about 55% by weight.

6. A method for refilling an apparatus for refrigeration that is designed for
10 use with ozone layer-damaging chlorodifluoromethane refrigerant, the method comprising:

(1) supplying a substitute refrigerant under pressure, in a cylinder can fitted with an outlet compatible with a chlorodifluoromethane recharging
15 manifold of the apparatus; and

(2) adding to said apparatus via the manifold a substitute refrigerant for chlorodifluoromethane, wherein said substitute refrigerant consists of:

20 about 40% to about 45% by weight pentafluoroethane ;

about 55% to about 60% by weight tetrafluoroethane; and

25 about 0% to about 20% by weight of the refrigerant of a lubricating oil that is soluble in chlorodifluoromethane, pentafluoroethane and tetrafluoroethane.

7. The invention according to claim 6, the lubricating oil having the following components:

30 65-85% hydrotreated light napthenic distillate, and

10-20% solvent refined light napthenic distillate petroleum.

8. The invention according to claim 6 wherein in the substitute refrigerant said pentafluoroethane is present in the ratio of about 40% by weight to said tetrafluoroethane present in an amount of about 60% by weight.

5 9. The invention according to claim 6 wherein in the substitute refrigerant said pentafluoroethane is present in the ratio of about 42% by weight to said tetrafluoroethane present in an amount of about 58% by weight.

10 10. The invention according to claim 6 wherein in the substitute refrigerant said pentafluoroethane is present in the ratio of about 45% by weight to said tetrafluoroethane present in an amount of about 55% by weight.

11. In an apparatus for air-conditioning designed for use with ozone layer-damaging chlorodifluoromethane refrigerant the improvement comprising substituting
15 the chlorodifluoromethane with a refrigerant less damaging to the Earth's ozone layer than chlorodifluoromethane, the substitute refrigerant consisting of:

about 40% to about 45% by weight pentafluoroethane;

20 about 55% to about 60% by weight tetrafluoroethane; and

about 0% to about 20% by weight of the refrigerant of a lubricating oil that is soluble in chlorodifluoromethane, pentafluoroethane and tetrafluoroethane.

25 12. The invention according to claim 11, the lubricating oil having the following components:

30 65-85% hydrotreated light napthenic distillate, and

10-20% solvent refined light napthenic distillate petroleum.

13. The invention according to claim 11 wherein in the substitute refrigerant said pentafluoroethane is present in the ratio of about 42% by weight to said tetrafluoroethane present in an amount of about 58% by weight.

5 14. The invention according to claim 11 wherein in the substitute refrigerant said pentafluoroethane is present in the ratio of about 45% by weight to said tetrafluoroethane present in an amount of about 55% by weight.

10 15. The invention according to claim 11 wherein in the substitute refrigerant said pentafluoroethane is present in the ratio of about 40% by weight to said tetrafluoroethane present in an amount of about 60% by weight.

15 16. A method for refilling an apparatus for air-conditioning that is designed for use with ozone layer-damaging chlorodifluoromethane refrigerant, the method comprising:

(1) supplying a substitute refrigerant under pressure in a cylinder can fitted with an outlet compatible with a chlorodifluoromethane recharging manifold of the apparatus; and

20

(2) adding to said apparatus via the manifold the substitute refrigerant for chlorodifluoromethane, wherein said substitute refrigerant consists of:

25 about 40% to about 45% by weight pentafluoroethane ;

about 55% to about 60% by weight tetrafluoroethane; and

30 about 0% to about 20% by weight of the refrigerant of a lubricating oil that is soluble in chlorodifluoromethane, pentafluoroethane and tetrafluoroethane.

17. The invention according to claim 16, the lubricating oil having the following components:

65-85% hydrotreated light napthenic distillate, and

10-20% solvent refined light napthenic distillate petroleum.

18. The invention according to claim 16 wherein in the substitute refrigerant said pentafluoroethane is present in the ratio of about 42% by weight to said tetrafluoroethane present in an amount of about 58% by weight.

19. The invention according to claim 16 wherein in the substitute refrigerant said pentafluoroethane is present in the ratio of about 45% by weight to said tetrafluoroethane present in an amount of about 55% by weight.

20. The invention according to claim 16 wherein in the substitute refrigerant said pentafluoroethane is present in the ratio of about 40% by weight to said tetrafluoroethane present in an amount of about 60% by weight.

21. A refrigerant consisting of:

about 40% to about 45% by weight pentafluoroethane;

about 55% to about 60% by weight tetrafluoroethane; and

about 0% to about 20% by weight of the refrigerant of a lubricating oil that is soluble in chlorodifluoromethane, pentafluoroethane and tetrafluoroethane.

22. The invention according to claim 21, the lubricating oil having the following components:

65-85% hydrotreated light napthenic distillate, and

10-20% solvent refined light napthenic distillate petroleum.

23. A refrigerant according to claim 21 wherein said pentafluoroethane is present in the ratio of about 40% by weight to said tetrafluoroethane present in an amount of about 60% by weight.

5 24. A refrigerant according to claim 21 wherein said pentafluoroethane is present in the ratio of about 45% by weight to said tetrafluoroethane present in an amount of about 55% by weight with a napthenic lubricant.

10 25. A refrigerant according to claim 21 wherein said pentafluoroethane is present in the ratio of about 40% by weight to said tetrafluoroethane present in an amount of about 60% by weight with a napthenic lubricant.

15 26. The invention according to any one of claims 1, 6, 11, 16, and 21, wherein the tetrafluoroethane is 1,1,1,2-tetrafluoroethane.

20 27. The invention according to any one of claims 2, 7, 12, 17, and 22, wherein the lubricating oil further includes an acrylic polymer or a corrosion inhibitor or both.

25 28. The invention according to any one of claims 6, 11, 16, and 21, wherein the lubricating oil is selected from the group consisting of napthenic based lubricants, paraffinic based lubricants and mixtures thereof, synthetic alkyl aromatic lubricants, synthetic alkyl aromatic lubricants mixed with mineral oil, synthetic alkyl aromatic lubricants mixed with polyol ester, mineral oil, and polyol ester.

29. The invention according to claim 28, wherein the synthetic alkyl aromatic lubricant includes an alkylbenzene.

ABSTRACT

An apparatus and method wherein potential ozone layer-damaging chlorodifluoromethane (Refrigerant R-22) is substituted with a mix of less environmentally damaging refrigerants pentafluoroethane and tetrafluoroethane in chlorodifluoromethane-based air-cooling systems mainly in residential cooling. While less environmentally damaging than chlorodifluoromethane, the substitute refrigerant has a temperature-pressure relationship similar to that of chlorodifluoromethane, making the substitute refrigerant suitable for use with chlorodifluoromethane-based air-cooling systems. In this event, it is mixed with a relatively small percentage of a lubricating oil which is compatible with both the unit refrigerant and typical R-22 system design.

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Document Date: 6/11/2012

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					Application or Docket Number 13/493,491		Filing Date 06/11/2012		☐ To be Mailed		
APPLICATION AS FILED – PART I						OTHER THAN					
(Column 1)		(Column 2)		SMALL ENTITY ☒		OR		SMALL ENTITY			
FOR	NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)	RATE (\$)	FEE (\$)					
☐ BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A		N/A						
☐ SEARCH FEE (37 CFR 1.16(k), (l), or (m))	N/A	N/A	N/A		N/A						
☐ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A		N/A						
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 =	*	X \$ =		OR	X \$ =					
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 =	*	X \$ =		OR	X \$ =					
☐ APPLICATION SIZE FEE (37 CFR 1.16(s))			If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))											
* If the difference in column 1 is less than zero, enter "0" in column 2.						TOTAL		TOTAL			
APPLICATION AS AMENDED – PART II											
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OR		OTHER THAN SMALL ENTITY	
AMENDMENT	06/11/2012	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)		
	Total (37 CFR 1.16(i))	* 17	Minus	** 20	= 0	X \$30 =	0	OR	X \$ =		
	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0	X \$125 =	0	OR	X \$ =		
	☐ Application Size Fee (37 CFR 1.16(s))							OR			
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							OR			
						TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE		
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	Total (37 CFR 1.16(i))	*	Minus	**	=	X \$ =		OR	X \$ =		
	Independent (37 CFR 1.16(h))	*	Minus	***	=	X \$ =		OR	X \$ =		
	☐ Application Size Fee (37 CFR 1.16(s))							OR			
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							OR			
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Kenneth M. Ponder, et al.

Confirmation No.: Unknown

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For: REFRIGERANT WITH LUBRICATING OIL FOR REPLACEMENT OF R22 REFRIGERANT

INFORMATION DISCLOSURE STATEMENT

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Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

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 (After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
 (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☐ Enclosed is a check in the amount of \$ _____.
- ☐ Payment by credit card.
- ☐ Please charge \$180.00 to deposit account 20-0778.
- ☒ At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.
- ☒ Applicant(s) submit herewith *Form PTO SB/08A-08B-08a - Information Disclosure Statement by Applicant* together with copies (where required) of patents, publications or other information of which

06/19/2012 SSOK11 00000023 200778 13493491

01 FC:4011	95.00 DA
02 FC:2111	310.00 DA
03 FC:2311	125.00 DA

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						Application or Docket Number 13/493,491			
APPLICATION AS FILED - PART I									
(Column 1)		(Column 2)		SMALL ENTITY		OR OTHER THAN SMALL ENTITY			
FOR	NUMBER FILED	NUMBER EXTRA		RATE(\$)	FEE(\$)	RATE(\$)	FEE(\$)		
BASIC FEE <small>(37 CFR 1.16(a), (b), or (c))</small>	N/A	N/A		N/A	95	N/A			
SEARCH FEE <small>(37 CFR 1.16(k), (l), or (m))</small>	N/A	N/A		N/A	310	N/A			
EXAMINATION FEE <small>(37 CFR 1.16(o), (p), or (q))</small>	N/A	N/A		N/A	125	N/A			
TOTAL CLAIMS <small>(37 CFR 1.16(i))</small>	17	minus 20 =	*	x 30 =	0.00	OR			
INDEPENDENT CLAIMS <small>(37 CFR 1.16(h))</small>	3	minus 3 =	*	x 125 =	0.00				
APPLICATION SIZE FEE <small>(37 CFR 1.16(s))</small>	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).				0.00				
MULTIPLE DEPENDENT CLAIM PRESENT <small>(37 CFR 1.16(j))</small>					0.00				
* If the difference in column 1 is less than zero, enter "0" in column 2.				TOTAL	530	TOTAL			
APPLICATION AS AMENDED - PART II									
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OR OTHER THAN SMALL ENTITY	
AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)	RATE(\$)	ADDITIONAL FEE(\$)
	Total <small>(37 CFR 1.16(i))</small>	*	Minus	**	=	x	=	OR	x
	Independent <small>(37 CFR 1.16(h))</small>	*	Minus	***	=	x	=	OR	x
	Application Size Fee <small>(37 CFR 1.16(s))</small>							OR	
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <small>(37 CFR 1.16(j))</small>							OR	
					TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OR OTHER THAN SMALL ENTITY	
AMENDMENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)	RATE(\$)	ADDITIONAL FEE(\$)
	Total <small>(37 CFR 1.16(i))</small>	*	Minus	**	=	x	=	OR	x
	Independent <small>(37 CFR 1.16(h))</small>	*	Minus	***	=	x	=	OR	x
	Application Size Fee <small>(37 CFR 1.16(s))</small>							OR	
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <small>(37 CFR 1.16(j))</small>							OR	
					TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.									



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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
13/493,491	06/11/2012	1761	530	821920-1032	17	3

CONFIRMATION NO. 1039

FILING RECEIPT



OC000000054942449

24504

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP
400 INTERSTATE NORTH PARKWAY SE
SUITE 1500
ATLANTA, GA 30339

Date Mailed: 06/22/2012

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

Applicant(s)

Kenneth M. Ponder, Cumming, GA;
Steffan Thomas JR., Buckhead, GA;

Power of Attorney: The patent practitioners associated with Customer Number 24504

Domestic Priority data as claimed by applicant

This application is a CON of 12/961,045 12/06/2010 PAT 8197706 *
which is a CON of 10/937,736 09/08/2004 ABN
which claims benefit of 60/501,049 09/08/2003
(*)Data provided by applicant is not consistent with PTO records.

Foreign Applications (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <http://www.uspto.gov> for more information.)

If Required, Foreign Filing License Granted: 06/19/2012

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 13/493,491**

Projected Publication Date: 09/27/2012

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

REFRIGERANT WITH LUBRICATING OIL FOR REPLACEMENT OF R22 REFRIGERANT

Preliminary Class

252

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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NOT GRANTED

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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
13/493,491	06/11/2012	Kenneth M. Ponder	821920-1032

CONFIRMATION NO. 1039

24504

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP
400 INTERSTATE NORTH PARKWAY SE
SUITE 1500
ATLANTA, GA 30339

PUBLICATION NOTICE



Title:REFRIGERANT WITH LUBRICATING OIL FOR REPLACEMENT OF R22 REFRIGERANT

Publication No.US-2012-0240602-A1

Publication Date:09/27/2012

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently <http://www.uspto.gov/patft/>.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently <http://pair.uspto.gov/>. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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13/493,491

06/11/2012

INV001Kenneth M. Ponder

821920-1032

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7590

03/25/2013

THOMAS I HORSTEMEYER, LLP

400 INTERSTATE NORTH PARKWAY SE

SUITE 1500

ATLANTA, GA 30339

EXAMINER

HARDEE, JOHN R

ART UNIT

PAPER NUMBER

1761

NOTIFICATION DATE

DELIVERY MODE

03/25/2013

ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

uspatents@tkhr.com

kristen.layton@tkhr.com

ozzie.liggins@tkhr.com

Office Action Summary	Application No.	Applicant(s)	
	13/493,491	PONDER ET AL.	
	Examiner	Art Unit	
	JOHN HARDEE	1761	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on ____; the restriction requirement and election have been incorporated into this action.
- 4) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 5) ☒ Claim(s) 30-46 is/are pending in the application.
- 5a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 6) ☐ Claim(s) ____ is/are allowed.
- 7) ☒ Claim(s) 30-46 is/are rejected.
- 8) ☐ Claim(s) ____ is/are objected to.
- 9) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

Application Papers

- 10) ☐ The specification is objected to by the Examiner.
- 11) ☒ The drawing(s) filed on 11 June 2012 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. ____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) ☐ Notice of References Cited (PTO-892) | 3) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____. |
| 2) ☒ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date <u>06112012</u> . | 4) ☐ Other: ____. |