UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SOPHOS LTD. and SOPHOS INC.

Petitioners,

v.

OPEN TEXT INC.

Patent Owner.

Case No. IPR2023-00731
Patent No. 8,201,243

PATENT OWNER OPEN TEXT INC.'S
MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(b)

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Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
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Patent Owner Open Text Inc.[1] hereby files its Mandatory Notices pursuant to 37 C.F.R. § 42.8(b).

I. REAL PARTIES-IN-INTEREST (37 C.F.R. § 42.8(b)(1))

The real parties-in-interest are Open Text Inc. ("Patent Owner") and Webroot LLC. Out of an abundance of caution, Patent Owner further identifies the following affiliates: Carbonite, LLC; Open Text Corporation; and Open Text Holdings, Inc.

II. RELATED MATTERS (37 C.F.R. § 42.8(b)(2))

U.S. Patent No. 8,201,243 ("the '243 Patent") is at issue in the following U.S. District Court cases:

- *Webroot, Inc. et al. v. Trend Micro Inc.*, Case No. 6:22-cv-00239 (W.D. Tex.);
- *Webroot, Inc. et al. v. Sophos Ltd.*, Case No. 6:22-cv-00240 (W.D. Tex.);
- *Webroot, Inc. et al. v. CrowdStrike, Inc. et al.*, Case No. 6:22-cv-00241 (W.D. Tex.);
- *Webroot, Inc. et al. v. AO Kaspersky Lab*, Case No. 6:22-cv-00243 (W.D. Tex.); and
- *Webroot, Inc. et al. v. Forcepoint LLC*, Case No. 6:22-cv-00342 (W.D. Tex.).

[1] The first page heading is updated due to a change in ownership of U.S. Pat. No. 8,201,243.

U.S. Patent No. 8,719,932, which is related to the ’243 Patent, and which is also asserted in the related district court litigations, is also at issue in the following PTAB proceeding:

- *Sophos Ltd. v. Open Text Inc.,* IPR2023-00732 (P.T.A.B. Apr. 4, 2023).

U.S. Patent No. 8,418,250, which is not related to the ’243 Patent, but which is also asserted in the related district court litigations, is also at issue in the following PTAB proceedings:

- *CrowdStrike, Inc. v. Open Text Inc.*, IPR2023-00289 (P.T.A.B. Dec. 29, 2022);
- *Trend Micro Inc. v. Open Text Inc.*, IPR2023-00655 (P.T.A.B. Mar. 2, 2023);
- *Sophos Ltd.* v. *Open Text Inc.,* IPR2023-00699 (P.T.A.B. Mar. 8, 2023).

U.S. Patent No. 10,284,591, which is not related to the ’243 Patent, but which is also asserted in the related district court litigations, is also at issue in the following PTAB proceedings:

- *CrowdStrike, Inc. v. Open Text Inc.*, IPR2022-01522 (P.T.A.B. Sept. 30, 2022);
- *Trend Micro Inc. v. Webroot Inc*., IPR2023-00692 (P.T.A.B. Mar. 7, 2022).

U.S. Patent No. 9,578,045, which is not related to the ’243 Patent, but which is also asserted in the related district court litigations, is at issue in the following PTAB proceedings:

- *CrowdStrike, Inc. v. Open Text Inc*., IPR2023-00124 (P.T.A.B. Nov. 30, 2022);
- *Trend Micro Inc. v. Open Text Inc*., IPR2023-00677 (P.T.A.B. Mar. 6, 2023).

U.S. Patent No. 10,599,844, which is not related to the ’243 Patent, but which is also asserted in the related district court litigations, is at issue in the following PTAB proceedings:

- *Sophos Ltd. v. Open Text Inc.*, IPR2023-00528 (P.T.A.B. Feb. 7, 2023);
- *Trend Micro, Inc. v. Open Text Inc.*, IPR2023-00662 (P.T.A.B. Mar. 3, 2023).

U.S. Patent No. 8,726,389, which is related to the ’243 Patent, is also asserted in the related district court litigations and at issue in the following PTAB proceedings:

- *CrowdStrike, Inc. v. Webroot Inc.*, IPR2023-00556 (P.T.A.B. Feb. 17, 2023);
- *Sophos Ltd. v. Webroot Inc.*, IPR2023-00633 (P.T.A.B. Mar. 3, 2023).

U.S. Patent No. 10,257,224, which is not related to the ’243 Patent, but which is also asserted in the related district court litigations, is at issue in the following PTAB proceedings:

- *CrowdStrike, Inc. v. Open Text Inc.*, IPR2023-00126 (P.T.A.B. Oct. 31, 2022);
- *Trend Micro Inc. v. Open Text Inc.*, IPR2023-00657 (P.T.A.B. Mar. 3, 2023).

U.S. Patent No. 9,413,721, which is not related to the '243 Patent, but which is also asserted in the related district court litigations, is at issue in the following PTAB proceeding:

- *Sophos Ltd. v. Open Text Inc.*, IPR2023-00491 (P.T.A.B. Jan. 20, 2023).

III. LEAD AND BACK-UP COUNSEL (37 C.F.R. § 42.8(b)(3))

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IV. SERVICE INFORMATION (37 C.F.R. § 42.8(b)(4))

Please address all correspondence to the lead and backup counsel as shown above. Patent Owner consents to electronic service by email at the email addresses provided above and also OpenText_Webroot@KSLAW.com.

Respectfully submitted,

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CERTIFICATE OF SERVICE

The undersigned hereby certifies that the foregoing **PATENT OWNER'S MANDATORY NOTICES AND POWER OF ATTORNEY** were served by filing this document through the Patent Trial and Appeal Case Tracking System (P-TACTS) as well as by delivering a copy via electronic mail and U.S. Postal Mail on April 25, 2023, in their entirety on the following:

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