

U.S. Patent No. 11,334,598

IPR2023-00736

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

UBER TECHNOLOGIES, INC.,
Petitioner

v.

SURGETECH LLC,
Patent Owner

Case IPR2023-00736
Patent 11,334,598

**APRIL 19, 2023 EXHIBIT LIST FOR *INTER PARTES* REVIEW OF
U.S. PATENT NO. 11,334,598**

Exhibit	Description
EX1001	U.S. Patent No. 11,334,598
EX1002	U.S. Patent No. 11,334,598 File History
EX1003	Declaration of Dr. Amelia Regan
EX1004	U.S. Patent Pub. No. 2007/0075136 (“Jacob”)
EX1005	Certified Translation of Japanese Patent Application Publication JP 2005-332346 (“Tamura”)
EX1006	Japanese Patent Application Publication JP 2005-332346
EX1007	Certified Translation of Japanese Patent Application Publication JP 2002-104622 (“Kono”)
EX1008	Japanese Patent Application Publication JP 2002-104622
EX1009	Franklin & Zdonik, “Dissemination-Based Information Systems,” <i>“Bulletin of the Technical Committee on Data Engineering,”</i> Vol. 19, No. 3 (September 1996) (“Franklin”)
EX1010	U.S. Patent No. 7,996,265 (“Evangelist”)
EX1011	U.S. Patent No. 7,894,936 (“Walker”)
EX1012	U.S. Patent Pub. No. 2006/0085296 (“Strickland”)
EX1013	U.S. Patent Pub. No. 2002/0186144 (“Meunier”)
EX1014	U.S. Patent No. 8,050,976 (“Staib”)
EX1015	U.S. Patent No. 8,051,015 (“Veit”)
EX1016	U.S. Provisional Patent App. No. 60/737,071 (“Staib Provisional Application”)
EX1017	<i>U.S. District Courts—National Judicial Caseload Profile, available at https://www.uscourts.gov/sites/default/files/data_tables/fcms_na_distprofile0930.2022.pdf (September 30, 2022)</i>
EX1018	Dkt. 34 (Scheduling Order), Dkt. 49 (Stipulation to Extend Time), Dkt. 55 (Stipulation to Extend Time), <i>SurgeTech, LLC v. Uber Technologies, Inc. d/b/a Uber</i> , No. 1:22-cv-00882-GBW
EX1019	Declaration of Sayem Osman on March 27, 2023
EX1020	March 27, 2023 Email re: <i>SurgeTech, LLC v. Uber Technologies, Inc.</i> (Fintiv Stipulation)
EX1021	Defendant Uber Technologies, Inc.’s Identification of Claim Constructions, <i>SurgeTech, LLC v. Uber Technologies, Inc. d/b/a Uber</i> , No. 1:22-cv-00882-GBW
EX1022	Plaintiff SurgeTech LLC’s Responses and Proposed Constructions to Defendant Uber Technologies, Inc.’s Identification of Claim Constructions, <i>SurgeTech, LLC v. Uber Technologies, Inc. d/b/a Uber</i> , No. 1:22-cv-00882-GBW

EX1023	Plaintiff's Disclosure of Asserted Claims and Infringement Contentions, Exhibit A, Uber Technologies, Inc's Identification of Claim Constructions, <i>SurgeTech, LLC v. Uber Technologies, Inc. d/b/a Uber</i> , No. 1:22-cv-00882-GBW
EX1024	March 22, 2023 Affidavit of Nathaniel E. Frank-White (regarding Franklin)
EX1025	IEEE Technical Committee on Data Engineering, Data Engineering Bulletin Issues, available at http://sites.computer.org/debull/bull_issues.html
EX1026	Choi, S. and Kimes, S., "Electronic Distribution Channels' Effect on Hotel Revenue Management," <i>Cornell Hotel and Restaurant Administration Quarterly</i> , Vol.43, Issue 2, June 2002.
EX1027	Listing of Issues of Cornell Hotel and Restaurant Administration Quarterly, <i>available at</i> https://www.sciencedirect.com/journal/the-cornell-hotel-and-restaurant-administration-quarterly/issues .
EX1028	Screenshot of https://journals.sagepub.com/doi/abs/10.1177/0010880402433002 showing Electronic Distribution Channels' effect on Hotel Revenue Management
EX1029	Excerpts of Phillips, R., "Pricing and Revenue Optimization" (2005) ("Phillips") ¹
EX1030	OMITTED
EX1031 CORRECTED	Excerpts of Phillips, R., "Pricing and Revenue Optimization" (2005) from Library of Congress from Copies 1 and 2 (including March 27 and 28, 2023 Declarations of Kyle Noreiga)
EX1032	March 22, 2023 Affidavit of Nathaniel E. Frank-White (regarding Phillips)
EX1033	Excerpts of Phillips, R., "Pricing and Revenue Optimization" (2005) from George Washington University Library
EX1034	Gelman Library at George Washington University MARC Record for EX1033
EX1035	Library of Congress Catalog Record for EX1031
EX1036	University of Washington Catalog Record for EX1029

¹ Petitioner is willing to provide a full copy of Phillips for inspection upon request.

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of Corrected EXHIBIT 1031 has been served in its entirety on April 19, 2023, by causing the aforementioned document to be electronically mailed (pursuant to patent owner's agreement) to the following attorneys of record for the Patent Owner listed below.

Correspondence Address:	Bryan Cave Leighton Paisner LLP PATENTS-NY@bclplaw.com 1290 Avenue Of the Americas New York, NY 10104 UNITED STATES
Litigation Counsel	Eric Huang erichuang@quinnemanuel.com James M. Glass jimglass@quinnemanuel.com QUINN EMANUEL URQUHART & SULLIVAN LLP 51 Madison Avenue New York, NY 10010

Dated: April 19, 2023

**GROOMBRIDGE, WU, BAUGHMAN &
STONE LLP**

/Sayem Osman
Sayem Osman