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First Named Inventor	PEDERSEN, P.
Title	Profile Responsive..
Group Art Unit	
Examiner Name	
Attorney Docket Number	PHP-168

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

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Name

PETER HEINRIK PEDERSEN

Signature

Date

7.7.2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

PHP-168

First Inventor

PEDERSEN, Peter H

Title

Profile Responsive Electronic...

Express Mail Label No.

EL824648454US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 28]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
5. Oath or Declaration [Total Pages 2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
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- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: form PTO/SB/81

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____

Prior application information:

Examiner _____

Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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FEE TRANSMITTAL for FY 2001

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Complete if Known

Application Number _____
 Filing Date _____
 First Named Inventor **PEDERSEN, P.**
 Examiner Name _____
 Group Art Unit _____
 Attorney Docket No. **PHP-168**

TOTAL AMOUNT OF PAYMENT (\$)**355.00**

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1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)**355.00**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

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FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

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Sherman D. Pernia	34,404	281-996-0449
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37 CFR § 1.10
CERTIFICATE OF EXPRESS MAIL DEPOSIT

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DEPOSIT DATE: 12 July 2001

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Docket No. PHP-168

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10

**PROFILE RESPONSIVE
ELECTRONIC MESSAGE MANAGEMENT
SYSTEM**

Peter H. Pedersen, Inventor

15

The present application claims the benefit of prior filed U.S. Provisional Patent Application serial number 60/217,719, filed 12 July 2000, to which the present application is a regular U.S. National Application.

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Field of the Invention

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The present invention is in the field of electronic computers and digital processing systems for filtered and targeted electronic message distribution. More specifically, the present invention relates to a system and method for the central filtering and distribution processing of messages from multiple messengers to selected individual recipients under filtering and distribution parameters in part established by each intended recipient.

Background of the Invention

This invention relates generally to the field of messaging and more particularly to a process and method for central specification and management of how messages are distributed to recipients. The need for receiving messages in different media and through different devices is exploding. Physical (hardcopy) letters and faxes, and phone message means have been supplemented with e-mail, SMS messages, WAP, voice messages, Extranet updates and more means to come.

Presently for organizations to keep customers and clients updated with the kind of information and messages that will assure goodwill and loyal behavior is a complex task requiring resources, focus and complex information technology (IT) solutions. Many organizations already have rule based Customer Relation Management IT systems. These systems are designed to handle message profiles based on rules for how each individual customer/client will receive information and messages.

Since customer information can be mission critical, Customer Relation Management (CRM) systems are most often for security reasons maintained by the customer service department inside the organization. Defining and changing message profile requires an interaction between each individual customer and the customer service department, to prevent unauthorized access to the CRM system by individuals. These message profiles must be entered and maintained in every single CRM system - in every single organization - for every single customer/client. The customers/clients will find it both time consuming and difficult to contact all his information suppliers to make them update his profile with e.g. a new e-mail address or phone number.

Due to the complexity, time consuming and resource demanding task in defining, operating and maintaining messages profiles on a one-to-one basis, only a few organizations today are able to keep customers/clients informed with respect to how, when and where the individual customer prefer to receive his message information. The inaccessibility of efficient message management means results in widespread use of inefficient mass communication means, such as spam e-mail, and household delivered promotion materials ("junk mail"). The massive use of Direct Mailings without relevance annoys the receiver by filling up his mailbox and ignoring his/her real interests. Additionally, the distribution to a potential customer of relevant information - but at the wrong time or in the wrong place may disappoint or frustrate a receiver who missed or was not able to use the information. These considerations may even dissuade companies from distributing any information at all, because it is too complex, costly and time consuming. This can leave customers motivated to exploit alternative companies, lowering customer loyalty, and lowering overall business effectiveness dramatically.

Particularly regarding electronic messaging, the field has recognized some of the existing disadvantages and has been motivated to address them. For example, Miloslavsky, U.S. Patent No. 5,765,033, discloses a rule based electronic mail system for receiving messages, extracting information from the message, and then forwarding the extracted information to a particular recipient based on the content of a set of rules. However, the recipient of the information and the sender of the message do not have direct access to the rule set and the ability to redefine the sets parameters. Finney *et al.*, U.S. Patent No. 6,182,118, also disclose a rule based system for managing electronic messages. In the Finney system, a recipient can access the rule set and define in advance certain parameters controlling whether a message received by the system is forwarded to the recipient. However, the system of Finney does not provide for a sender of a message being able to define any parameters of the rules controlling the

forwarding of its messages to a designated recipient or group of recipients.

Therefore, it would be beneficial to have an electronic message management system where each individual recipient could access a rule set and maintain the parameters of his/her own message profile, thus, enabling each recipient to designate specific or classes of messenger from whom they are willing to receive a message, and to specify how the information or messages are to be delivered. Additionally, it would be useful for messengers to be able to submit not only message content, but also individual and group recipient profiles or identifications to the central message management system rule set, to enable message distribution and delivery to recipients according to individual recipient profiles. It would be further useful to have an electronic messaging system wherein individual recipients centrally can define a plurality of recipient profiles specifying how messages from messengers generally or from certain messengers specifically must be distributed to him/her.

Summary of the Invention

The present invention is an electronic message management system and method for the central specification and management of how messages electronic
5 messages received from a plurality of messengers or sources are distributed to individual recipients. The present message management system comprises an electronic computer system in operative communication with a global digital communications network, and a message management database in operative communication with the computer system. The computer system of the present
10 message management system includes: a recipient profile application for receiving recipient profile data from recipients via the global network and storing the recipient data in the database; a messenger profile application for receiving messenger profile data from messengers via the global network and storing the messenger data in the database; a message input application for receiving message
15 files from a messenger via the global network and storing the message files in the database; and an individual message generator in communication with the database and operative to access and utilize data and files from the database to generate an individual message to be sent via the global communications network to a recipient specified by a messenger. A recipient is an individual or related
20 group of individuals to whom a message is directed or sent. A messenger or messengers are the initiators of messages sent to the recipients.

The computer system of the present message management system further comprises a message management server operating system, and the message
25 management database further comprises recipient and messenger profile databases for storing recipient and messenger profile data respectively, and a message database for storing message data files. The recipient profile application includes a global network interactive recipient profile input form. The recipient profile

input form is accessible to a recipient computer via a global communications network. The recipient profile application also includes a network interface and a recipient profile editor for receiving recipient profile data from a recipient computer via the global network interface, and for manipulating the recipient profile database to store the recipient profile data. The recipient profile application receives and stores a plurality of messenger specific profiles, each profile indicating delivery parameters for where, when and how specific types of messages from each messenger are to be delivered to the recipient.

The messenger profile application includes a global network interactive messenger profile input form, which is accessible to a messenger computer via the global communications network. The messenger profile application also includes a global network interface and a messenger profile editor for receiving messenger profile data from a messenger computer via the global network interface, and for manipulating the messenger profile database to store the messenger profile data. Messenger profile data can include: messenger identifying data, recipient identifications and recipient profiles, which messages to deliver to the recipients, and the profiles stored. The messenger profile application further includes receiving and storing recipient identification and recipient profiles for recipients who are to be prevented from receiving a message from the messenger.

The message input application includes an interactive global network message input form, the message input form being accessible to a messenger computer via the global communications network. The message input application further comprises a global network interface and a message data file editor for receiving a message file data from a messenger computer via the global network interface and for manipulating the message database to store the message data file.

The individual message generator of the message management system communicates with the databases to identify messages and messenger parameters

that are compatible with a recipient profile and to generate individualized messages configured for delivery to the appropriate recipient. Once the individual message is generated, the message generator sends the individual message to the recipient via a means selected from the group consisting of: electronic mail, voice
5 telephone, facsimile transmission, and digital transmission, or other means as specified by the recipient in the recipient's profile parameters.

In practice, the present the message management system is accessible from a client computer via a global communications network, to centrally manage
10 the distribution and delivery format of messages from multiple messenger sources to multiple individual recipients. This is accomplished by providing client computers with access to the message management system via a global communications network, where the client computers are messenger and recipient computers. The message management system receives a connectivity request from
15 a client computer for access to the message management system to input data. The message management system connects to the client computer via the global communication network, and prompts the client computer for the data to be inputted by providing a data input form to the client computer. The message management system accepts input data from the client computer via the input
20 form, and enters the input data into a message management database of the message management system. Input forms practicable in the present message management system include: a recipient profile form, a messenger profile form, and a message input form. Other input forms or display forms may also be displayed by the message management system on a client computer system.

25 Accepting input data includes entering the input data into an electronic message management database, such as a recipient profile database, a messenger profile database, or a message database.

Brief Description of the Drawings

Figure 1 is a block diagram for one embodiment of an operating environment for practicing the present invention.

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Figure 2 is a block diagram for one embodiment of a message management server suitable for use in the operating environment of Figure 1.

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Figure 3a is a block diagram of one embodiment of a message management user computer system.

Figure 3b is a block diagram of one embodiment of a message management messenger computer system.

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Figure 4 shows an principal overall flow diagram of a message management server system 1000 - a exemplary embodiment of the present invention. The figure is illustrating the relationship between the various levels of the subsystems.

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Figure 5 shows the process related to Recipient Profile system 100 in Figure 4 for creating and maintaining a recipient message profile and message delivery profiles for one or more messengers.

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Figure 6 shows the process related to messenger profile system 200 in Figure 4 for creating a messengers message profile for the available message types and content type the messenger will offer and recipient message profiles for recipients who must receive specific message types and content types.

Figure 7 shows the process related to message input system 300 in Figure 4 for how the messenger can enter messages or upload message files manually or automated, to be distributed to the recipients.

- 5 Figure 8 shows the process related to individual message generator system 400 in Figure 4 for how the message uploaded or entered is combined with the message delivery profiles and how a output file with individual messages is generated and submitted for distribution.

Oracle Exhibit 1002
Page 13 of 220

Detailed Description of the Invention

Referring now to the drawings, the details of preferred embodiments of the present invention are graphically and schematically illustrated. Like elements in the drawings are represented by like numbers, and any similar elements are represented by like numbers with a different lower case letter suffix. It is to be understood, however, that the present invention may be embodied in various forms. Therefore, specific details disclosed herein are not to be interpreted as limiting, but rather as a basis for the claims and as a representative basis for teaching one skilled in the art to practice the present invention.

Figure 1 is a block diagram exemplifying one embodiment of the present invention in a suitable operating environment. The following description of Figure 1 provides an overview of computer hardware and other operating components suitable for implementing the present invention, but it is to be appreciated that the present invention is not limited to the configuration shown in Figure 1. Figure 1 shows several computer systems 20, 22, 40-43 coupled together through a network 11, such as a LAN, WAN or a global digital communications network, such as the World Wide Web or the Internet. The term "Internet" as used herein refers to a network of networks, which uses certain protocols, such as the TCP/IP protocol, and possibly other protocol such as the hypertext transfer protocol (HTTP) for a hypertext markup language (HTML) documents that make up the World Wide Web. The physical connectivity scheme of the Internet and the communication protocols and procedures of the Internet are well known in the art.

A client computer system 20, 22, and 40-43 typically obtains access to the Internet 11 through an Internet Service Provider (ISP) 12 & 13. Access to the

Internet 11 allows a user of a client computer systems 20, 22, and 40-43 to exchange information, receive and send e-mails and view documents such as documents prepared in the HTML format. These documents are provided by web servers 14, which is considered to be "on" the Internet 11. Often these web servers 14 are provided by ISPs. However, setting up a computer system to be directly connected to the Internet 11, and serve as it own ISP is well-known in the art. A web server 14 is typically at least one computer system, which operates as a server computer system and is configured to operate with the protocols of the World Wide Web, and is coupled with the Internet 11. Optionally, web server 14 can be part of an ISP 12 & 13 which provides access to the Internet 11 for client systems. Figure 1 shows a web server 14 coupled to a server computer system 15 which itself is coupled to web content 16, which is a form of a media database. It is to be appreciated that while two computer systems 14 and 15 are shown in Figure 1, web server 14 and server computer system 15 can be one computer system having different software components, one providing web server 14 functionality and another providing server 15 functionality.

With the appropriate web browsing software, a client computer systems 20, 22 and 40-43 can view HTML pages provided by web server 14 via the Internet 11. In one embodiment of the present invention, the web server 14 comprises a message management server. As shown in Figure 1, an ISP 12 provides Internet connectivity to a client computer system 20 through a modem or network interface 21, which can be considered part of client computer system 20. Client computer system 20 can be a personal computer system, a personal digital assistant, a network computer, a Web TV system, an Internet radio receiver, or other such computer systems.

Similarly, an ISP 13 provides Internet connectivity for other client computer systems 22 & 40-43, although, as shown in Figure 1, the connections are not the same for all of these computer systems. Client computer system 22 is

coupled through a network interface 23, which may be a modem, while two computer systems 40 and 41 are part of one LAN 33 and two other computer systems 42 and 43 are part of another LAN 34.

5 It is to be appreciated that a network interface can be practiced using any of a number of devices known to the ordinary skilled artisan, such as an analog modem, a ISDN modem, a cable modem, a satellite transmission interface (e.g., "Direct PC"), or another interface for coupling one computer system to other computer systems. Client computer systems 40-43 are coupled to LAN buses 33 and 34 through network interfaces 35-38, which can be Ethernet network or other network interfaces. The LAN buses 33, 34 are also coupled to gateway computer systems 31, 32, which can provide firewall and other Internet related services for the LANs 33, 34.

10 The gateway computer systems 31, 32, are coupled to the ISP 13 to provide Internet connectivity to the respective client computer systems 40- 43. The gateway computer systems 31, 32 may be conventional server computer systems. Also, web server 14 may be a conventional server computer system.

15 Figure 2 is a block diagram illustrating the components of an embodiment of the message management server 50 the present invention, and their interrelationships. In the embodiment illustrated, the message management server 50 is at least in party comprised of the server computer system 15 and database 16 of Figure 1. The message management server 50 includes a profile database 56 for centrally storing profile and other information received from messengers and recipients, and from other users, such as system administrators. The message management server 50 includes a message database 57 for centrally storing messages received from messenger client computer systems 40, 41, 42 & 43. A computer system used to enter recipient profile data into the message management

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server 50 is a recipient computer system 20 & 22.

The message management server 50 comprises a recipient profile software application which includes a recipient profile input form 51. The recipient profile input form 51 include discrete information fields, as will be discussed below, and may be displayed at a recipient's client computer 20 & 22 as a web page, as described above. The recipient profile input form 51 receives information input through the recipient computer systems 20 & 22, and the associated application processes the information into records to be stored in profile database 56.

The message server 50 also comprises a messenger profile software application, which includes a messenger profile input form 52. The messenger profile input form include discrete information fields, as will be discussed below, and may be displayed at a messenger's client computer 40, 41, 42 & 43 in the form of a web page, as described above. The messenger profile input form 52 receives information input through the messenger computer systems 40 - 43, and the associated application processes the information into records to be stored in profile database 56.

The message server 50 further comprises a message input software application, which includes a message input form 53. The message input form 53 include discrete information fields, as will be discussed below, and may display at a messenger's client computer 40, 41, 42 & 43 in the form of a web page, as described above. The message input form 52 receives information input through the messenger computer systems 40 - 43, and the associated software application processes the information into records to be stored in the message database 57.

The individual message generator 54 obtains information from the database 57 and generates an individual messages for each recipient, based on the combination of recipient and messenger profile parameters and messages entered into the profile 56 and message 57 databases.

It is also to be appreciated that message management server 50 and its component applications are controlled by the operating system software 55 which includes a file management and disk operating system. Example of operating systems with associated file management software includes the Microsoft® Corporation (Redmond, Washington,) series of Windows® operating systems, including Windows Explorer®. The file management system is typically stored in mass memory and causes a processor to execute the various operations required by the operating system to input and output data and to store data in memory, including storing files on mass memory, as will be described below.

Figure 3a is a block diagram exemplifying one embodiment of a client computer system 20 & 22, wherein the user is a recipient. Recipients are users that are the targeted receivers of messages generated and sent via the individual message generator 54. A recipient computer system 60 includes a web browser 61 for accessing the web server 14 and message management server 15 via a connection 63 to the Internet 11. The recipient 60 also includes an operating system 62, to run other programs on the recipient computer system 22. The connection arrow 63 of Figure 3a illustrates the connection of the recipient computer system 60 to an ISP 12 & 13 for interacting with other computer systems via the Internet 11. It is understood that any or all of the modules 61 & 62 of the recipient computer system 60 may be included in hardware or software, on one system or on many systems.

Figure 3b is a block diagram exemplifying an embodiment of a client computer system 40, 41, 42 & 43, wherein the user is a messenger. The messenger computer system 70 includes a web browser 71 and an operating system 72. The web browser 71 is used to interact via a network, like the Internet 11, with the web server 14 and the message management server 15. The operating system 72 runs

programs on the messenger computer system 70. The connection arrow 73 illustrates the direct or indirect connection of the messenger computer system 70 to an ISP 13 for interacting with other computer systems via the Internet 11. It is understood that any or all of the modules 71 and 72 of messenger computer system 70 may be included in hardware or software, on one system or on many systems.

Figure 4 is a block diagram illustrating the overall principle of an exemplary embodiment of the present message management server 1000. The figure illustrates the relationship between the various subsystems 100, 200, 300 and 400 of the message management server 1000.

The recipient profile system 100 is for entering, managing and storing rules about how the message management system server 1000 is to communicate with each individual recipient. From a recipient computer system 60, as described in Figure 3a, a recipient will access the message server 1000 through recipient profile editor/web interface 150. The web interface feature of the profile editor/web interface 150 could be a Web site (HTML document) accessed by the recipient via a web browser or similar application.

A recipient will log on to the message management system server 1000 and enter his/her basic identification data. The identification data will be stored in the recipient ID and profile database 121, a sub system of the profile database 56 of Figure 2. In addition, the recipient will enter one or more sets of message profile data, specifying one or more messengers, type of messages and type of content the recipient wishes to receive from each messenger. These data will be stored in the recipient message profile database 122, a sub system of the profile database 56 in Figure 2. For each message profile entered, the recipient will specify one or more message delivery profiles with data about how messages must be

distributed, where to receive the specified message and the type of message devices to transmit the messages. These data are stored in the message delivery profile database 123, a subsystem of the profile database 56 in Figure 2.

5 The messengers profile system 200 allows a messenger to access the message management system server 1000 to enter and maintain his/her own basic identification information and messenger profile data from a messenger computer system 70, as described in Figure 3b. The messenger computer 70 accesses the message management server 1000 via the messenger profile editor/ web interface
10 250, using an Internet enabled application, such as a web browser. The messenger will log on to the message system server 1000 and enter his identification data. These data are stored in the messengers ID and profile database 221, a subsystem of the profile database 56 of Figure 2.

15 Additionally, a messenger utilizes the messenger profile editor/ web interface 250 to enter one or more sets of messenger profile data, specifying the types of messages and types of content the messenger is providing to recipients in general. This profile is stored the messengers message profile database 222, a subsystem of the profile database 56 of Figure 2. Also, a messenger can specify a list of specific recipient ID's, each combined with a set of message types and
20 content types that the identified must receive. The messengers message profiles entered by the messenger is stored in the recipient message profile database 122, a subsystem of the profile database 56 of Figure 2.

25 The message input system 300 is used by messengers with a valid messenger profile to enter messages for the purpose of having them distributed to recipients according to their individual specified recipient message profiles. From a messenger computer system 70, a messenger logs onto the message management system server 1000. The messenger computer 70 accesses the message

management server 1000 via the message input (upload) editor/web interface 350, using an Internet enabled application, such as a web browser. Once connected, the messenger can manually or automatically enter the message data or upload messages files for distribution to recipients with an appropriate message profile.

5 The message data will be stored in the message database 320, a subsystem of the message database 57 of Figure 2.

10 The message processor 410 of the individual message generator 400 combines the data from the recipient message delivery profile database 123 with appropriate data from the message database 320. From this combination of data, the message output filer 420 will generate individual messages for each Recipient as specified in the message database 57 and recipient message delivery profile database 123. The generated messages are stored in the message output database 415 until they are further distributed. At the appropriate time, the message generator 400 will forward the generated messages to a message distribution server (not a part of this invention) from where the messages will be converted to the right device protocols and distributed to the devices and addresses as specified by the intended recipient.

20 Figure 5 is block flow diagram of an exemplary process related to using the recipient profile system 100 of Figure 4 to create and maintain recipient message profiles for a multiple set of messengers. To create or maintain (e.g., update or modify) a recipient message profile, the recipient will logon to the message management system server 1000 using the logon step or procedure 101 to access the recipient profile editor 150. The recipient editor 150 will perform a check 102 to establish whether the recipient has a profile. If not, the recipient is prompted 103 to provide basic identity and profile information. Then the recipient editor 150 creates 104 a recipient identity profile and store this in the recipient ID and profile

database 121.

Based on the existence of recipient identity profile data, procedure 105 will now perform a lookup in the messenger ID and message profile database 221, and retrieve all messengers with a basic profile matching the recipient's basic profile parameters: e.g., country, city, gender, etc. A list of available messengers is presented to the recipient, and the recipient selects a messenger. In step 106, the recipient specifies the message types and content types to be received from the selected messenger. In step 107, the recipient specifies one or more preferred delivery profiles: e.g., the message device, the delivery address, the delivery schedule, sequence of appearance, etc. for each message and content type specified in step 106.

In step 108, the recipient editor application 150 checks for additional delivery profiles. Step 107 is repeated until the recipient has specified all necessary delivery profiles and parameters for the chosen message and content type. In step 109, the recipient editor 150 checks for additional message and content types. Step 106 is repeated until the recipient has specified all necessary message and content types for the chosen messenger. In step 110, the recipient editor application 150 checks for additional messengers. Step 105 is repeated until the recipient has specified all the messengers for whom he/she wants to specify a message profile. In step 111, the recipient editor application creates a recipient message profile for the recipient logged onto the system and stores the profile data in the recipient message profile database 122. Step 111 also creates a recipient message delivery profile for the recipient logged onto the system and stores this data in the recipient message delivery profile database 123.

Figure 6 is block flow diagram of an exemplary process related to using the messenger profile input system 200 of Figure 4 to create and maintain messengers message profiles for the available message types and content type the messenger

wishes to offer, and to create and maintain a messenger's recipient message profile for recipients targeted to receive specific message types and content types. To create or maintain a messengers message profiles and/or a messenger's recipient message profiles, the messenger logs onto the message management system server 100 using the logon step or procedure 201 to access the messenger profile editor 250. The messenger profile editor 250 will perform a check 202 to establish whether the messenger has a profile. If not, the messenger is prompted 203 to provide basic identity and profile information. Then the messenger editor 250 creates 204 a messenger identity profile and stores this in the messenger ID and profile database 221.

The messenger editor 250 now asks 205 if the messenger will create or update a messenger message profile or a messenger's recipient message profile. If in step 205, the messenger elects to create or update a messengers message profile, the messenger editor 250 displays 206 an input screen from which the messenger can specify multiple sets of message types and content types to be offered to recipients. In step 207, the messenger editor 250 then creates a messengers message profile for the messenger logged on and store this in the messengers message profile database.

Alternatively, if in step 205, the messenger elects to create or update a messengers recipient message profile, the messengers editor 250 displays 216 an input screen from which the messenger can specify a list of recipient IDs together with multiple sets of message types and content types that each specified recipient is to receive. Then in step 217, the messengers editor 250 creates a recipient message profile for the specified recipient and messenger logged on to the server 1000 and store this in the recipient message profile database 122.

Then in step 208, the messengers editor 250 requests if additional profiles are to be created, and if the messenger elects "yes," step 205 is repeated. If the messenger elects "no," then the procedure is done.

Figure 7 is block flow diagram of an exemplary process related to using the message input system 300 of Figure 4 to manually or automatically input and/or upload messages from a messenger into the present message management system server 1000. To input or upload a message for distribution, the messenger logs
5 onto the message management server 1000 using the logon step 301 to access the message input editor. The message input editor application 350 performs a check 302 to establish if messenger has a valid profile and account for distributing messages. If not, the messenger is shown information 302a for how to open an account, directed elsewhere or exited from the server 1000. If the messenger has
10 a valid profile and account, the message input editor 350 displays 303 a message input form 53, and allows the messenger to elect 304 to enter a message manually or by up loading a message file.

If uploading 315 a message file is elected, the messenger attaches the file to the input form 53, and submits the file to the message management system server 1000. The message input editor 350 validates 316 that the submitted file
15 is correctly formatted, and has valid message type, content type, recipient IDs, message content etc., according to messengers message profile stored in the messengers message profile database 222. If message file is not valid, an error message is returned 316a to the messenger logged on. If message file is valid, the
20 message input editor 350 submits 316 the message to the individual message generator 400 and to the message database 320.

If manually entering 305 the message information is elected, the input form 53 prompts the messenger to enter specifications of message type and content
25 type. The message input editor 350 then looks for match 306 between the messenger, message type, content type and the recipient message profiles in the recipient message profile database 122, and a list of matching recipient IDs is presented to the messenger. The message input editor 350 receives 307 any

amendments (additions, deletions, modification) to the recipient ID list, and finally confirm the Recipient ID's list. In step 308, the message input editor 350 allows the messenger to enter the actual message content through an Internet enabled message component of the message input form 53. After a message has been entered and confirmed, the message input editor 350 submits 317 the message and the confirmed recipient ID list to the individual message generator 400 and to message database 320.

Then the input editor 350 confirms 318 for messenger logged on that the message has been submitted for message generation.

Figure 8 is a block flow diagram of an exemplary process for utilizing the individual message generator 400 of the message management system server 1000. According to the process, the individual message processor 410 receives 401 a message notification from message input system 300. On receipt of the notification, the processor 410 looks up 402 the message in the message database 320, and also looks up the recipient message profiles and delivery profiles in the recipient message profile database 122 and the recipient message delivery profile database 123. Further in this step, the individual message processor 410 matches the recipient, and type and content criteria for the message. In the next step 403, the message output filer 420 of the individual message generator 400 combines the message with the delivery profile data for all recipients matching the profile, and creates a total output file. The message output filer 420 then submits 404 the message output file to a message distributions server (not a part of this invention) and to the message output database 415 for storage.

While the above description contains many specifics, these should not be construed as limitations on the scope of the invention, but rather as exemplifications of one or another preferred embodiment thereof. Many other variations are possible, which would be obvious to one skilled in the art.

5 Accordingly, the scope of the invention should be determined by the scope of the appended claims and their equivalents, and not just by the embodiments.

10 WHAT IS CLAIMED IS:

Oracle
Exhibit
1002
Page
26 of
220

CLAIMS

1. An electronic message management system comprising:
an electronic computer system in operative communication with a global
5 digital communications network; and

an electronic message management database in operative communication
with the computer system.
- 10 2. The electronic message management system of claim 1, wherein the
electronic computer system further comprises:
a recipient profile application for receiving recipient profile data from
recipients via the global network and storing the recipient data in the
15 database;

a messenger profile application for receiving messenger profile data from
messengers via the global network and storing the messenger data in the
database;

20 a message input application for receiving message files from a messenger
via the global network and storing the message files in the database; and

an individual message generator in communication with the database and
operative to access and utilize data and files from the database to generate
25 an individual message to be sent via the global communications network
to a recipient specified by a messenger.

3. The electronic message management system of claim 1, wherein the electronic computer system further comprises a message management server operating system.

4. The electronic message management system of claim 1, wherein the message management database further comprises recipient and messenger profile databases for storing recipient and messenger profile data respectively, and a message database for storing message data files.

5. The electronic message management system of claim 2, wherein the recipient profile application includes a global network interactive recipient profile input form, the recipient profile input form being accessible to a recipient computer via the global communications network.

6. The electronic message management system of claim 2, wherein the messenger profile application includes a global network interactive messenger profile input form, the messenger profile input form being accessible to a messenger computer via the global communications network.

7. The electronic message management system of claim 2, wherein the message input application includes an interactive global network message input form, the message input form being accessible to a messenger computer via the global communications network.

8. The electronic message management system of claim 2, wherein the recipient profile application further comprises a network interface and a recipient profile editor for receiving recipient profile data from a recipient computer via the global network interface and for manipulating the recipient profile database to

store the recipient profile data.

9. The electronic message management system of claim 2, wherein the messenger profile application further comprises a global network interface and a messenger profile editor for receiving messenger profile data from a messenger computer via the global network interface and for manipulating the messenger profile database to store the messenger profile data.

10. The electronic message management system of claim 2, wherein the message input application further comprises a global network interface and a message data file editor for receiving a message file data from a messenger computer via the global network interface and for manipulating the message database to store the message data file.

11. A method of inputting data into the message management system of claim 1 from client computers via a global communications network, to centrally manage the distribution and delivery format of electronic messages from multiple messenger sources to multiple individual recipients, comprising the steps of:

providing the client computers with access to the message management system via a global communications network, where the client computers are messenger and recipient computers;

receiving a connectivity request from a client computer for access to the message management system to input data;

connecting the client computer to the message management system via the global communication network;

prompting the client computer for the data to be input by providing a data input form to the client computer; and

accepting input data from the client computer and entering the input data into an electronic message management database of the message management system.

12. The method of claim 11, wherein the prompting step includes providing an input form to the client computer.

13. The method of claim 11, wherein the prompting step includes providing an input form to the client computer, the input form being at least one input form selected from the group consisting of a recipient profile form, a messenger profile form, and a message input form.

14. The method of claim 11, wherein the accepting step includes entering the input data into an electronic message management database comprising a recipient profile database, a messenger profile database, and a message database.

15. The electronic message management system of claim 2, wherein the recipient profile application receives and stores a plurality of messenger specific profiles each profile indicating delivery parameters for where, when and how specific types of messages from each messenger must be delivered to a recipient.

16. The electronic message management system of claim 2, wherein the messenger profile application receives and stores messenger profile data, including messenger identifying data.

17. The electronic message management system of claim 2, wherein the messenger profile application receives and stores messenger profile data, including recipient identifications and recipient profiles, which messages to deliver to the recipients and profiles stored.

5

18. The messenger profile application of claim 17, further comprising recipient identification and recipient profiles for recipients who are prevented from receiving a message from the messenger.

10

19. The electronic message management system of claim 2, wherein the individual message generator communicates with the database to identify messages and messenger parameters that are compatible with a recipient profile, and to configure the messages for delivery to the recipient.

15

20. The electronic message management system of claim 2, wherein the individual message generator generates and sends the individual message via a means selected from the group consisting of: electronic mail, voice telephone, facsimile transmission, and digital transmission.

20

ABSTRACT

A system and method for the central specification and management of how messages are distributed to recipients with the steps of: a recipient profile system for entering and storing rules about how to distribute messages to individual recipients, a messenger profile system where messengers can enter, store and maintain his identification information and the types of message services he/she will offer to recipients, a message input system where messengers manually or automatically can enter messages or upload message files for distribution to recipients with a valid recipient profile, an individual message generator combining the data from recipient profile and the message from the message input system into a plurality of individual messages for recipients as specified by the messenger.

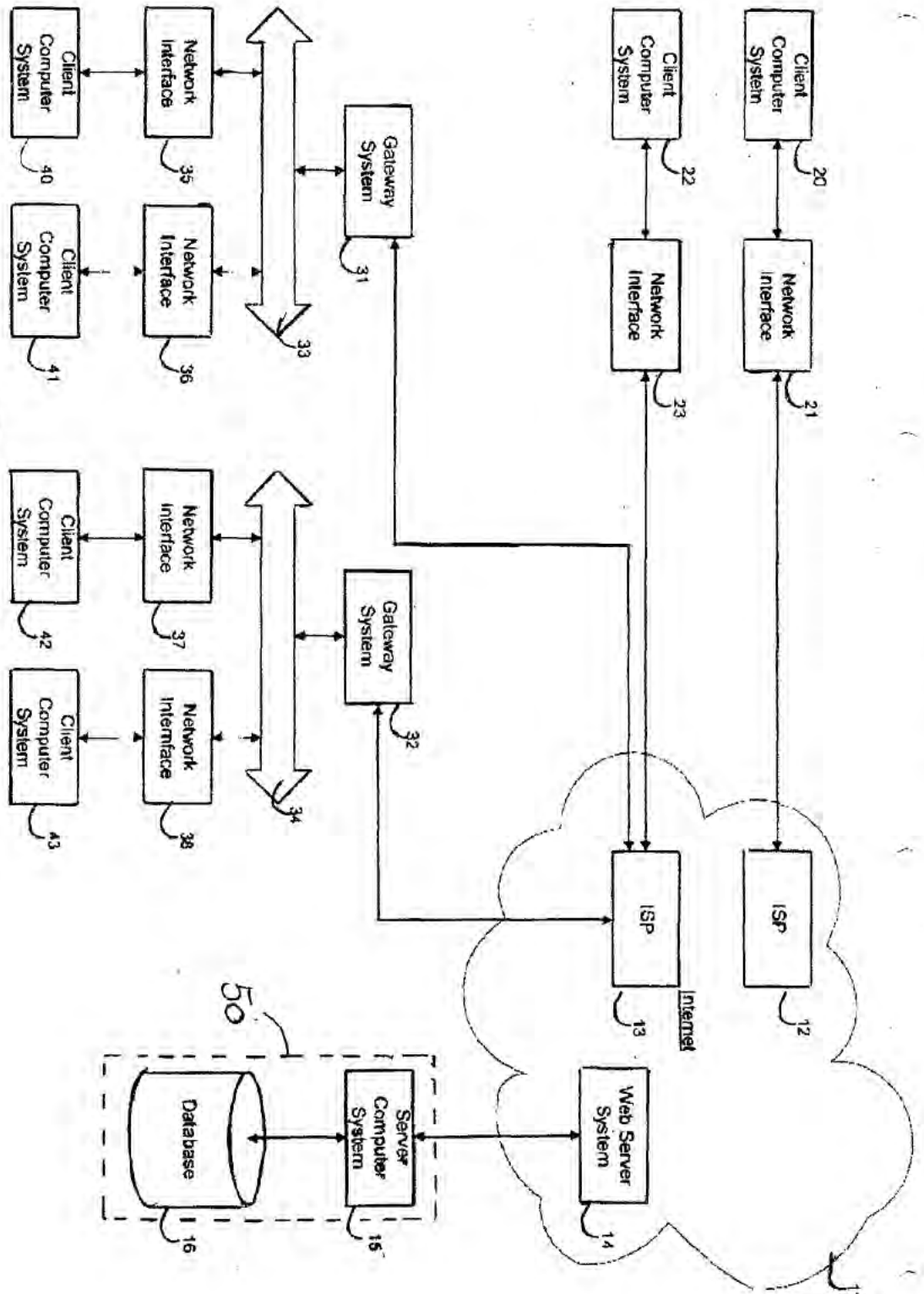


Figure 1

13-000001 10:07:13

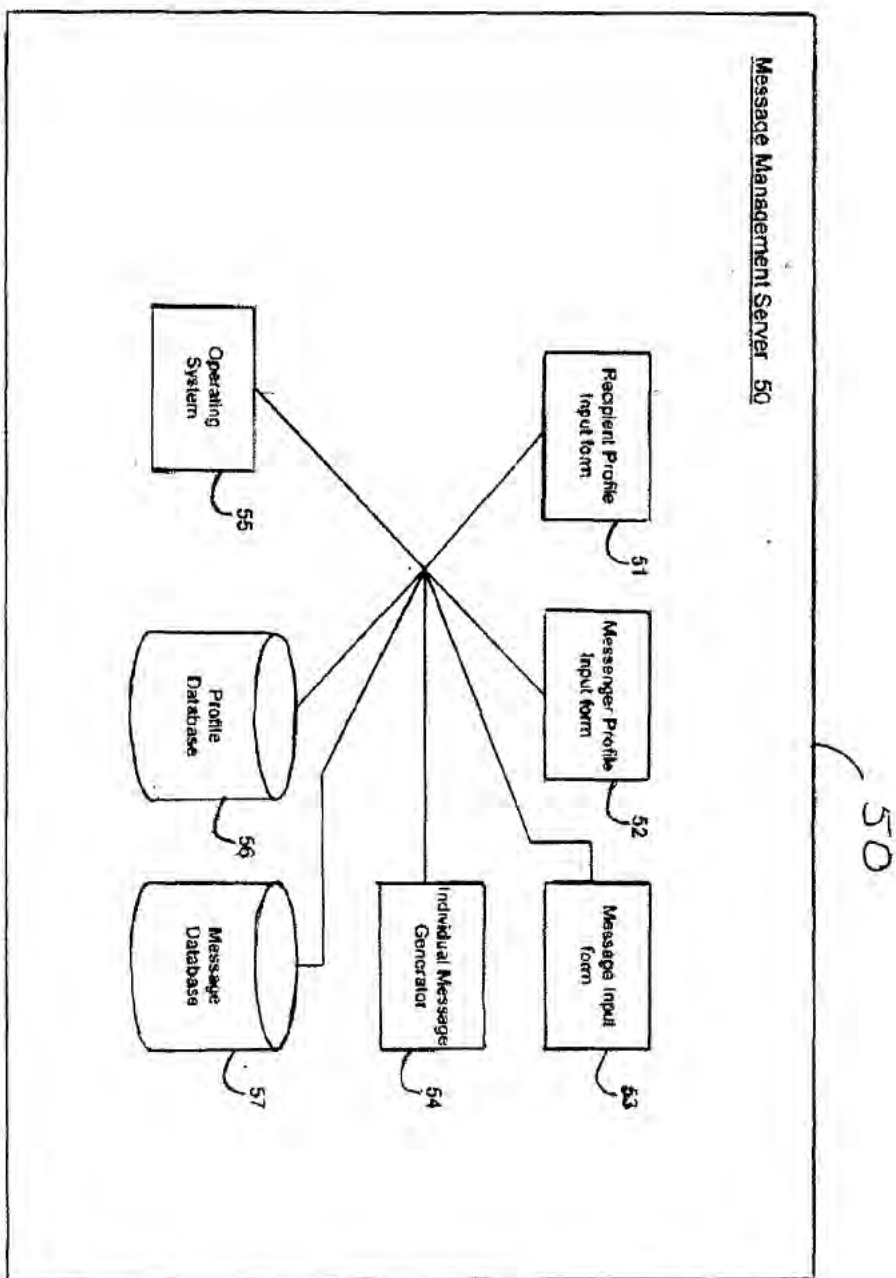


FIG. 2

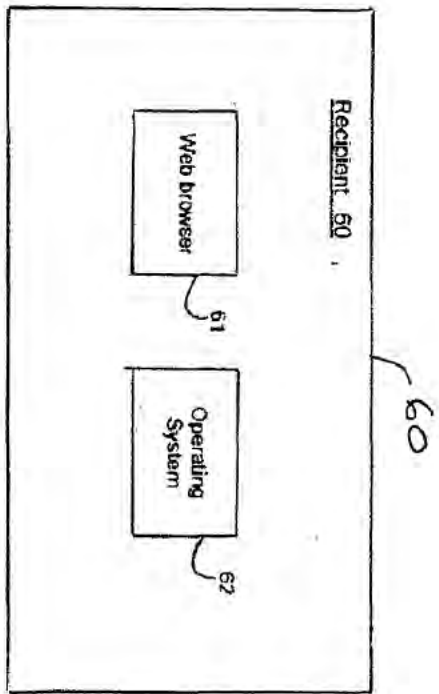


Figure 3a

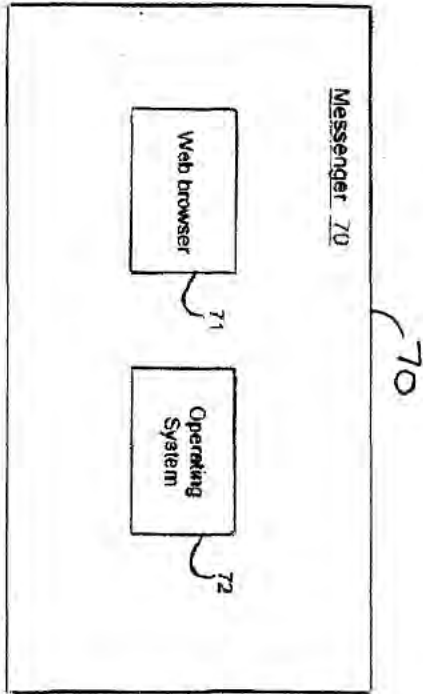


Figure 3b

Message Management Server 1000
Principal Flowchart

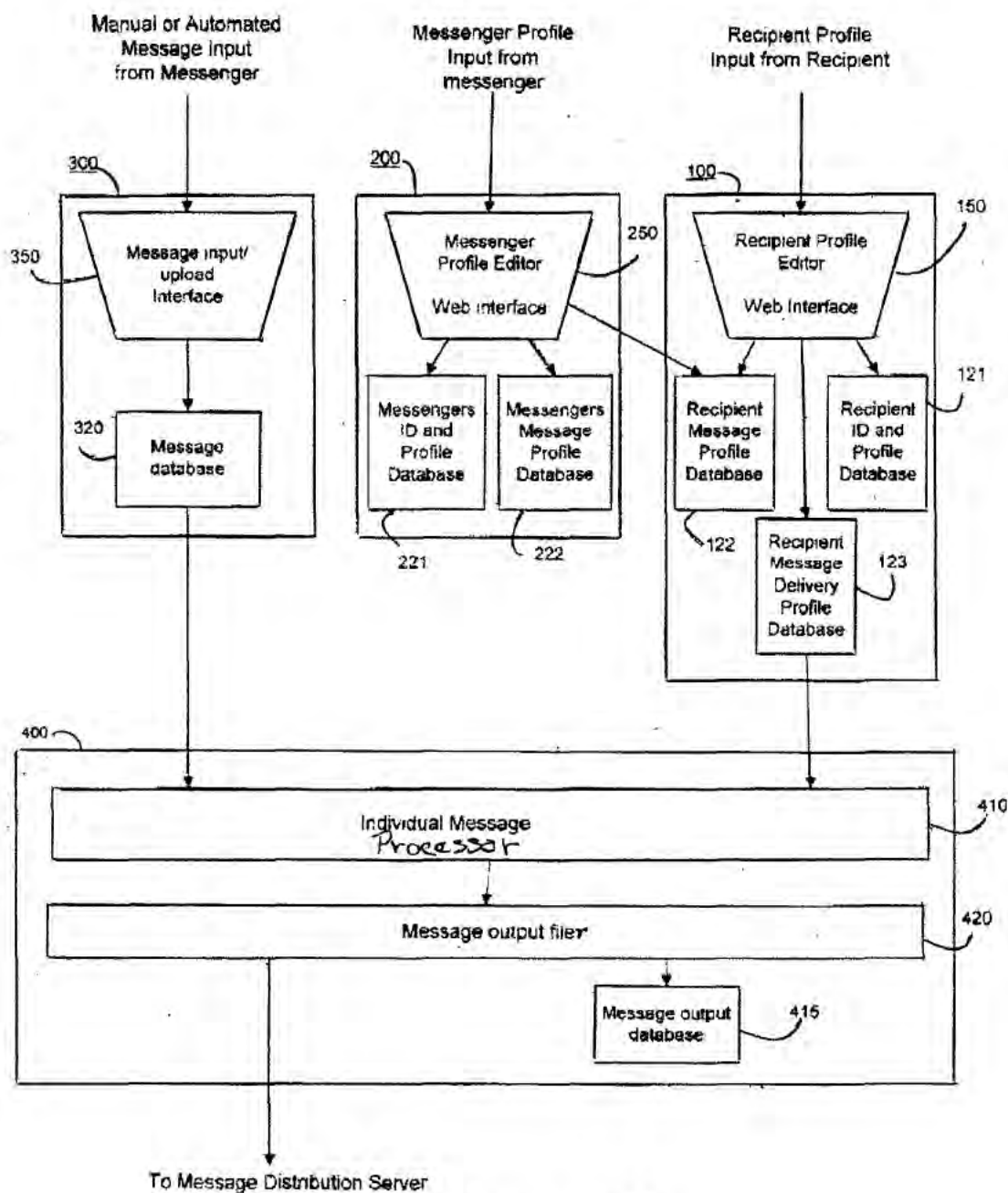


Figure 4

Multi Source Message Management System

Dataflow for Creating Recipient message profiles

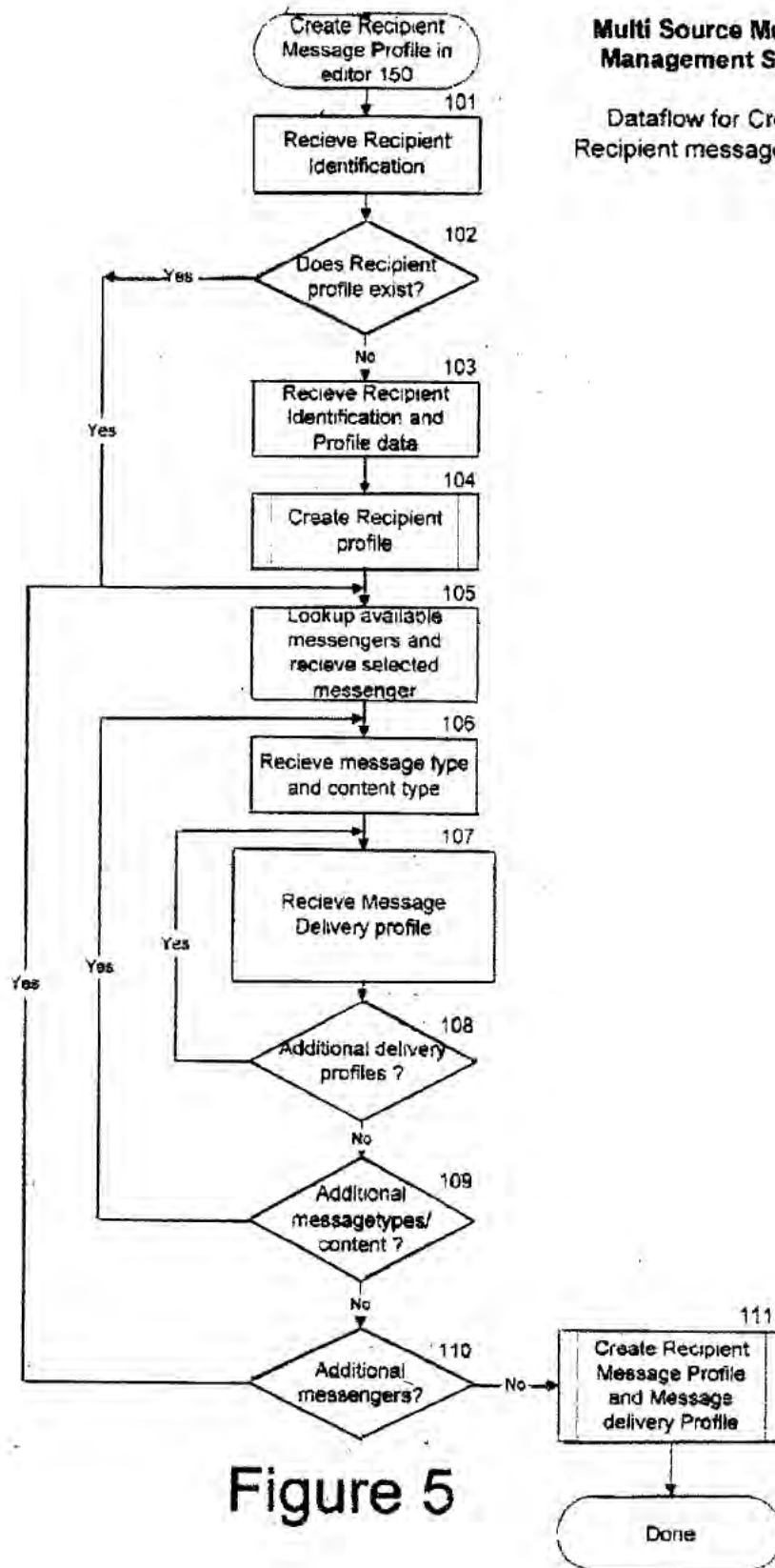


Figure 5

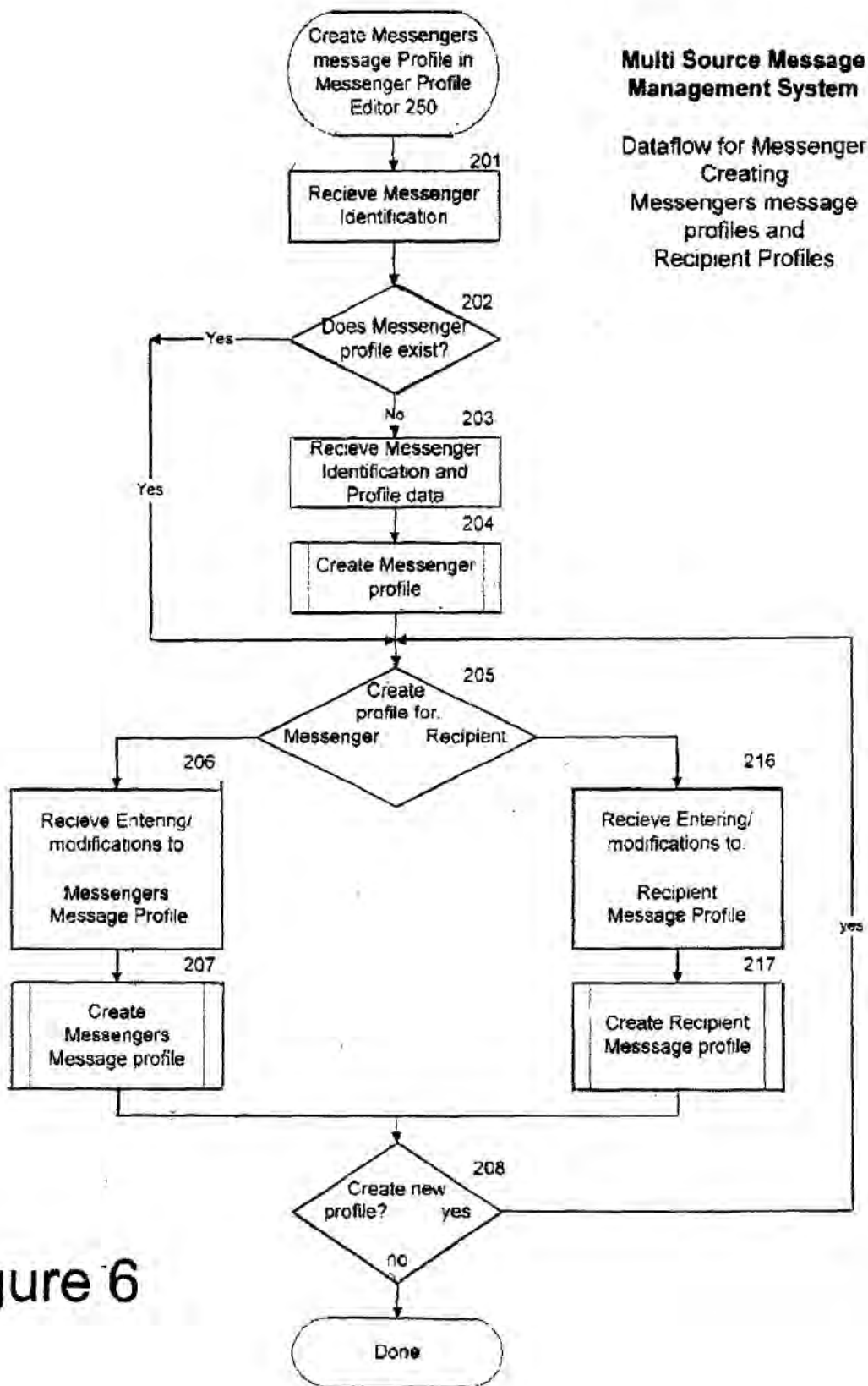


Figure 6

Multi Source Message Management System

Dataflow for Creating messages

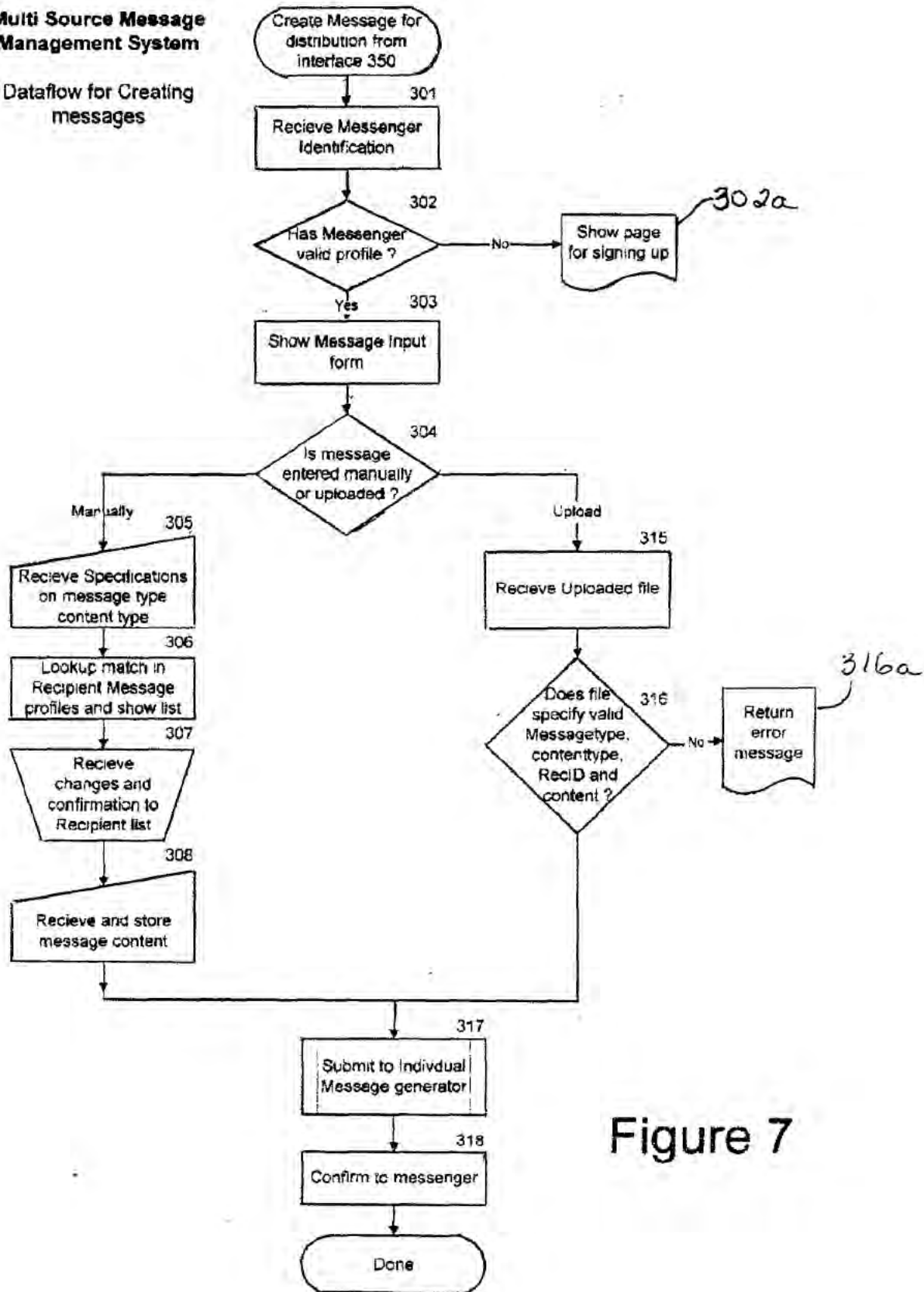
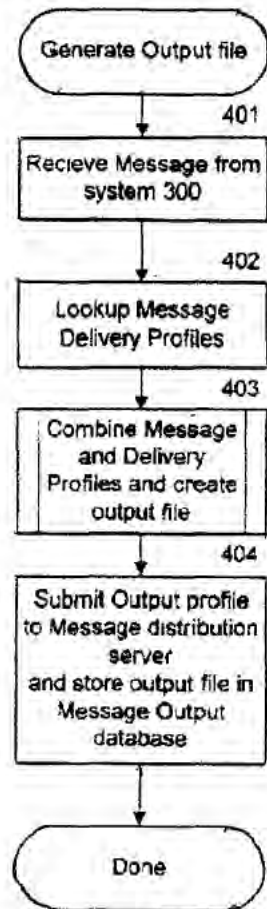


Figure 7



Multi Source Message Management System

Generate output file in system 400 Individual Message generator

Figure 8

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number PHP-168

First Named Inventor Pedersen, P.

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Profile Responsive Electronic Message Management System

(Title of the invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			☐	☐	☐
			☐	☐	☐
			☐	☐	☐
			☐	☐	☐

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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NAME OF SOLE OR FIRST INVENTOR :



A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

PETER HENRIK

Family Name

or Surname

PEDERSEN

Inventor's
Signature

Date

28th June 2001

Residence: City

SOEBORG

State

Country

DK

Citizenship

DK

Mailing Address

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SOEBORG

State

DK 2860

ZIP

DK

Country

NAME OF SECOND INVENTOR :



A petition has been filed for this unsigned inventor

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(first and middle [if any])

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Residence: City

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☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

PATENT APPLICATION SERIAL NO. _____

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FEE RECORD SHEET

07/17/2001 SMINASS1 00000025 09904270

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PTO-1556
(5/87)

*U.S. GPO: 2000-468-987/39595

PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2000

Application or Docket Number

PMP-167

CLAIMS AS FILED - PART I

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TOTAL CLAIMS	20	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	20 minus 20 =	0
INDEPENDENT CLAIMS	minus 3 =	0
MULTIPLE DEPENDENT CLAIM PRESENT ☐		

SMALL ENTITY TYPE ☐

OR

OTHER THAN SMALL ENTITY

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BASIC FEE	355.00
X\$ 9=	
X40=	
+135=	
TOTAL	355

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BASIC FEE	710.00
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* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

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AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
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Independent	1	3	= -
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM ☐			

SMALL ENTITY

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ADDIT. FEE	

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RATE	ADDITIONAL FEE
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X80=	
+270=	
TOTAL	
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* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

CLAIMS ONLY

SERIAL NO.

FILING DATE

099041270

07-12-01

APPLICANT(S)

CLAIMS

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PTO/SB/08A (10-96)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known	
	Application Number			
	Filing Date			
	First Named Inventor	PEDERSEN, Peter H.		
	Group Art Unit			
	Examiner Name			
Sheet	one	of	one	Attorney Docket Number
				PHP-168

11046 U.S. PTO
09/30/2001



U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	5763033		Miloslavsky	06/09/1998	
	2	6182118		Finney et al.	01/30/2001	
	3	6249807		Shaw et al.	06/19/2001	
	4	6146026		Ushika	11/14/2000	

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Date: 01 APR 2002

Signed: Sherman D. Pernia

IN THE UNITED STATES PATENT & TRADEMARKS OFFICE

Application No.: 09/904,270

Filing Date: 12 July 2001

Inventor: Pedersen, P.

Title: Profile Responsive Electronic
Message Management System

Docket No.: PHP-168

Examiner: AN, M.

Group Art Unit: 2154

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VIA FACSIMILE: 703-746-7239

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Patents and Trademarks
Washington, D.C. 20231

Preliminary Amendment

Dear Sir:

Applicants submits herewith a Preliminary Amendment in the above-referenced case.
These materials add no new matter to the application.

AMENDMENT

In the Abstract

Please substitute the following paragraph for the current Abstract:

A system and method for the central specification and management of how messages are distributed to recipients with the steps of: a recipient profile system for entering and storing rules about how to distribute specific types of

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messages and content from specific messengers to individual recipients, a messenger profile system where messengers can enter, store and maintain his identification information and the types of message services he/she will offer to recipients, a message input system where messengers manually or automatically can enter messages or upload message files for distribution to recipients with a valid recipient profile, an individual message generator combining the data from recipient profile and the message from the message input system into a plurality of individual messages for recipients as specified by the messenger.

In the claims

Please add the following new claims:

A2

21. The electronic message management system of claim 2, wherein the individual message generator generates the individual message and sends the individual message via a means selected from the group consisting of an electronic means and a hardcopy means.

22. The electronic message generator system of claim 21, wherein the individual message generator generates the individual message and sends the individual message via an electronic means selected from the group consisting of electronic mail, voice telephone, facsimile transmission, digital transmission, computer network, voice mail, SMS, telex, and wireless transmission.

23. The electronic message generator system of claim 21, wherein the individual message generator generates the individual message and sends the individual message via a hardcopy means selected from the group consisting of paper media, magnetic media, and optical media.

REMARKS

In the Abstract

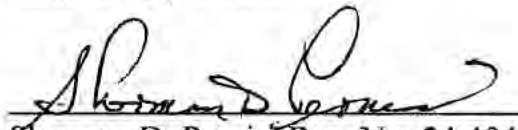
The abstract is herein amended to more clearly recite that which Applicant considers as descriptive of his invention. A marked-up version of the Abstract follows:

A system and method for the central specification and management of how messages are distributed to recipients with the steps of: a recipient profile system for entering and storing rules about how to distribute specific types of messages and content from specific messengers to individual recipients, a messenger profile system where messengers can enter, store and maintain his identification information and the types of message services he/she will offer to recipients, a message input system where messengers manually or automatically can enter messages or upload message files for distribution to recipients with a valid recipient profile, an individual message generator combining the data from recipient profile and the message from the message input system into a plurality of individual messages for recipients as specified by the messenger.

Applicants respectfully request entry of the amended Abstract and new claims into the present application.

Respectfully submitted,

APR 2002
Date


Sherman D. Pernia, Reg. No: 34,404
281-996-0449



21548

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Applicati n Numb r	09/904,270
	Filing Date	07/12/2002
	First Named Inventor	Pedersen, P.
	Group Art Unit	2154
	Examiner Name	AN, M.
	Attorney Docket Number	PHP-168
Total Number of Pages in This Submission	5	

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Firm or Individual name	Sherman D. Pernia
Signature	
Date	04/01/2002

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Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 27.00

Complete if Known

Application Number 09/904,270
Filing Date 07/01/2002
First Named Inventor Pedersen, P.
Examiner Name AN, M.
Group Art Unit 2154
Attorney Docket No. PHP-168

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☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

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Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims 23
Independent Claims
Multiple Dependent Claims

Extra Claims Fee from below Fee Paid
20** = 3 X 9.00 = 27.00
- 3** = X
Multiple Dependent

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 27.00

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FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

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SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type) Sherman D. Pernia

Registration No. 34,404
(Attorney/Agent)

Complete (if applicable)

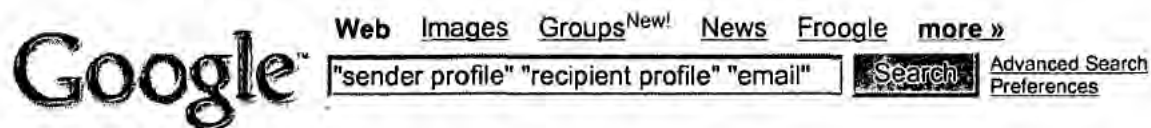
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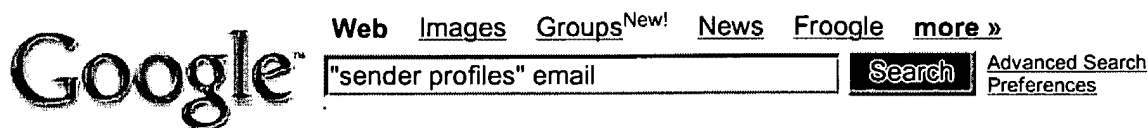
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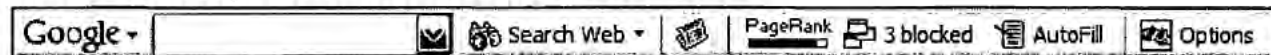
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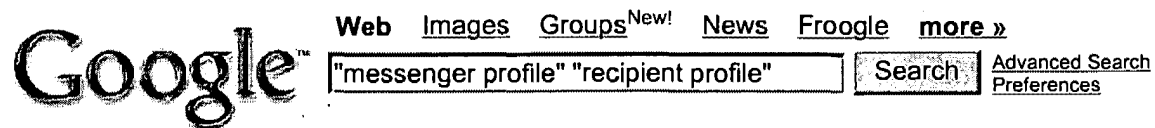


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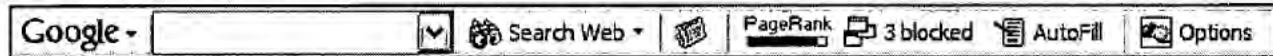
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S2	123	miloslavsky.in.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/26 11:28
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S19	375	S18 and (profile\$5 preference\$5) and (rule\$5 filter\$5)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/27 17:17
S20	229	S19 and microsoft	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/27 17:17
S21	22	S19 and microsoft.as.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/27 17:17
S22	1012	(distribut\$9 with (email\$5 e-mail\$5 (electronic\$5 adj (message\$5 mail\$5)))) and (profile\$5) and (rule\$5 filter\$5) and (form forms register\$5)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 17:51
S23	1006	(distribut\$9 with (email\$5 e-mail\$5 (electronic\$5 adj (message\$5 mail\$5)))) and (profile\$5) and (rule\$5 filter\$5) and (form forms)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 17:52
S24	282	S23 and @ad<"20000712"	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 17:54
S25	207	(distribut\$9 with (email\$5 e-mail\$5 (electronic\$5 adj (message\$5 mail\$5)))) and ((sender\$5 receiver\$5 recipient\$5 customer\$5 subscriber\$5) adj2 profile\$5) and (rule\$5 filter\$5) and (form forms)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 17:54

S26	34	(distribut\$9 with (email\$5 e-mail\$5 (electronic\$5 adj (message\$5 mail\$5)))) and (profile\$5 adj (form forms application\$5)) and (rule\$5 filter\$5)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 17:54
S27	10	S26 and @ad<"20000712"	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 17:55
S28	77	(distribut\$9 with (email\$5 e-mail\$5 (electronic\$5 adj (message\$5 mail\$5)))) with profile\$5	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:03
S29	68	(filtering filter filters rule\$5) with (email\$5 e-mail\$5 (electronic\$5 adj (message\$5 mail\$5))) with profile\$5	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:19
S30	19	S29 and @ad<"20000712"	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:18
S31	23	S28 and @ad<"20000712"	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:17
S32	119	((messenger\$5 sender\$5 advertis\$5 merchant\$5 server\$5) adj2 profile\$5) and ((receiver\$5 recipient\$5 target\$5) adj2 profile\$5)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:42
S33	46	S32 and @ad<"20000712"	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:43

S34	25	S32 and @ad<"20000712" and ("707"/\$ "709"/\$ "705"/\$).ccls.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:36
S35	1	("20040148356").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2005/01/28 19:40
S36	11	(messenger\$3 adj2 profile\$5)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:40
S37	51	((messenger\$5 deliver\$5 sender\$5 server\$5) adj profile\$5) and ((receiver\$5 recipient\$5 target\$5) adj2 profile\$5)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:42
S38	17	S37 and @ad<"20000712"	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:47
S39	66	"5765033"	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:47
S40	11	"6182118"	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:53
S41	9	"6249807"	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:47
S42	5	"6146026"	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:57

S43	52	S39 and @ad<"20000712"	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:48
S44	10	S39 and @ad<"20000712" and profile\$5	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2005/01/28 19:48



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Relevance scale

1 Reducing user effort in collaboration support

Andrew Cockburn, Harold Thimbleby

February 1993 **Proceedings of the 1st international conference on Intelligent user interfaces**

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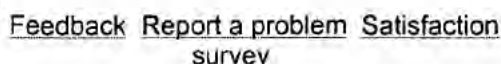
Keywords: Mona (a CSCW system), conversational context, email, free guidance, heuristics

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4 Experiences with network-based user agents for mobile applications

Thomas F. La Porta, Thomas Woo, Krishan K. Sabnani, Ramachandran Ramjee

August 1998 **Mobile Networks and Applications**, Volume 3 Issue 2

Full text available:  pdf(631.57 KB) Additional Information: [full citation](#), [abstract](#), [references](#), [citations](#), [index terms](#)

Wireless networks are characterized by simple end devices and limited bandwidth. One solution to address these and other limitations of the wireless mobile environment that has been widely pursued is the placement of proxies, or agents, inside the network to assist with application processing that would normally take place on end devices. These agents can additionally manipulate data to reduce bandwidth requirements and assist in providing services. The design and implementation of a user a ...

5 Distributed systems support for adaptive mobile applications

Nigel Davies, Adrian Friday, Gordon S. Blair, Keith Cheverst

December 1996 **Mobile Networks and Applications**, Volume 1 Issue 4

Full text available:  pdf(403.72 KB) Additional Information: [full citation](#), [abstract](#), [references](#), [citations](#), [index terms](#)

Mobile applications must operate in environments which experience rapid and significant fluctuations in the Quality of Service (QoS) offered by their underlying communications infrastructure. These fluctuations may be the result of explicit changes between networks, increased competition for network resources or degradation of service due to environmental factors. In order to continue to operate effectively mobile applications must be capable of adapting to these changes. This paper reports ...

6 SaveMe: a system for archiving electronic documents using messaging groupware

Stefan Berchtold, Alexandros Biliris, Euthimios Panagos

March 1999 **ACM SIGSOFT Software Engineering Notes , Proceedings of the international joint conference on Work activities coordination and collaboration**, Volume 24 Issue 2

Full text available:  pdf(1.47 MB) Additional Information: [full citation](#), [abstract](#), [references](#), [index terms](#)

Today, organizations deal with an ever-increasing number of documents that have to be archived because they are either related to their core business (e.g., product designs) or needed to meet corporate or legal retention requirements (e.g., voucher). In this paper, we present the architecture and prototype implementation of SaveMe, a document archival system that is based on network-centric groupware such as Internet standards-based messaging systems. In SaveMe, the actions of archiving, retrieval ...

Keywords: Internet, archiving, groupware, messaging

7 CLUES: dynamic personalized message filtering

Matthew Marx, Chris Schmandt

November 1996 **Proceedings of the 1996 ACM conference on Computer supported cooperative work**

Full text available:  pdf(907.89 KB) Additional Information: [full citation](#), [references](#), [citations](#), [index terms](#)

Keywords: electronic mail, filtering, messaging, personal information management, voice mail

8 A paradigm shift in the distribution of multimedia

Gerard Parr, Kevin Curran

June 2000 **Communications of the ACM**, Volume 43 Issue 6

Full text available:  pdf(226.87 KB)
 html(33.72 KB)

Additional Information: [full citation](#), [references](#), [citations](#), [index terms](#)

9 The mobile people architecture

Petros Maniatis, Mema Roussopoulos, Ed Swierk, Kevin Lai, Guido Appenzeller, Xinhua Zhao, Mary Baker

July 1999 **ACM SIGMOBILE Mobile Computing and Communications Review**, Volume 3 Issue 3

Full text available:  pdf(946.60 KB)

Additional Information: [full citation](#), [abstract](#), [citations](#), [index terms](#)

People are the outsiders in the current communications revolution. Computer hosts, pagers, and telephones are the addressable entities throughout the Internet and telephony systems. Human beings, however, still need application-specific tricks to be identified, like email addresses, telephone numbers, and ICQ IDs. The key challenge today is to find people and communicate with them personally, as opposed to communicating merely with their possibly inaccessible machines---cell phones that are turn ...

10 Unlinkable serial transactions: protocols and applications

Stuart G. Stubblebine, Paul F. Syverson, David M. Goldschlag

November 1999 **ACM Transactions on Information and System Security (TISSEC)**, Volume 2 Issue 4

Full text available:  pdf(184.87 KB)

Additional Information: [full citation](#), [abstract](#), [references](#), [citations](#), [index terms](#), [review](#)

We present a protocol for unlinkable serial transactions suitable for a variety of network-based subscription services. It is the first protocol to use cryptographic blinding to enable subscription services. The protocol prevents the service from tracking the behavior of its customers, while protecting the service vendor from abuse due to simultaneous or cloned use by a single subscriber. Our basic protocol structure and recovery protocol are robust against failure in protocol termination. ...

Keywords: anonymity, blinding, cryptographic protocols, unlinkable serial transactions

11 MessageWorld: a new approach to facilitating asynchronous group communication

Daniel E. Rose, Jeremy J. Bornstein, Kevin Tiene

December 1995 **Proceedings of the fourth international conference on Information and knowledge management**

Full text available:  pdf(1.04 MB)

Additional Information: [full citation](#), [references](#), [citations](#), [index terms](#)

12 Discovering shared interests using graph analysis

Michael F. Schwartz, David C. M. Wood

August 1993 **Communications of the ACM**, Volume 36 Issue 8

Full text available:  pdf(4.01 MB)

Additional Information: [full citation](#), [references](#), [citations](#), [index terms](#)

Keywords: collaboration, directory service, dynamic organization, graph theory, interpersonal communications, resource directory, traffic analysis

13 A survey of routing techniques for mobile communications networks

S. Ramanathan, Martha Steenstrup
October 1996 **Mobile Networks and Applications**, Volume 1 Issue 2

Full text available:  pdf(276.88 KB) Additional Information: [full citation](#), [abstract](#), [references](#), [citations](#), [index terms](#)

Mobile wireless networks pose interesting challenges for routing system design. To produce feasible routes in a mobile wireless network, a routing system must be able to accommodate moving users, changing network topology, and fluctuating link quality. We discuss the impact of node mobility and wireless communication on routing system design, and we survey the set of techniques employed in or proposed for routing in mobile wireless networks.

14 On secure and pseudonymous client-relationships with multiple servers

Eran Gabber, Phillip B. Gibbons, David M. Kristol, Yossi Matias, Alain Mayer
November 1999 **ACM Transactions on Information and System Security (TISSEC)**, Volume 2 Issue 4

Full text available:  pdf(161.56 KB) Additional Information: [full citation](#), [abstract](#), [references](#), [citations](#), [index terms](#), [review](#)

This paper introduces a cryptographic engine, Janus, which assists clients in establishing and maintaining secure and pseudonymous relationships with multiple servers. The setting is such that clients reside on a particular subnet (e.g., corporate intranet, ISP) and the servers reside anywhere on the Internet. The Janus engine allows each client-server relationship to use either weak or strong authentication on each interaction. At the same time, each interaction preserves privacy by neither ...

Keywords: Janus function, anonymity, mailbox, persistent relationship, privacy, pseudonym

15 Agent augmented community-information: the ACORN architecture

Stephen Marsh, Youssef Masrour
November 1997 **Proceedings of the 1997 conference of the Centre for Advanced Studies on Collaborative research**

Full text available:  pdf(58.99 KB) Additional Information: [full citation](#), [abstract](#), [references](#), [citations](#), [index terms](#)

The ACORN architecture is a multi-agent based system deployed across networks which provides a means for augmenting community-based systems of communication between people. In the system, information and queries are embodied as autonomous agents which contain metadata information about the information and also data about the community in which they exist. Using this data, the agents are able to direct themselves towards interested readers or knowledgeable information sources in order to disseminate ...

16 MailCall: message presentation and navigation in a nonvisual environment

Matthew Marx, Chris Schmandt
April 1996 **Proceedings of the SIGCHI conference on Human factors in computing systems: common ground**

Full text available:  pdf(1.22 MB)  html(46.83 KB) Additional Information: [full citation](#), [references](#), [citations](#), [index terms](#)

Keywords: auditory I/O, interaction design, mobile computing, speech interface design, speech recognition

17 Reducing user effort in collaboration support

Andrew Cockburn, Harold Thimbleby

February 1993 **Proceedings of the 1st international conference on Intelligent user interfaces**

Full text available:  pdf(473.49 KB) Additional Information: [full citation](#), [references](#), [index terms](#)

Keywords: Mona (a CSCW system), conversational context, email, free guidance, heuristics

18 Consistent, yet anonymous, Web access with LPWA

Eran Gabber, Phillip B. Gibbons, David M. Kristol, Yossi Matias, Alain Mayer

February 1999 **Communications of the ACM**, Volume 42 Issue 2

Full text available:  pdf(207.80 KB)  html(30.92 KB) Additional Information: [full citation](#), [references](#), [citations](#), [index terms](#)

19 Anonymous credit cards and their collusion analysis

Steven H. Low, Nicholas F. Maxemchuk, Sanjoy Paul

December 1996 **IEEE/ACM Transactions on Networking (TON)**, Volume 4 Issue 6

Full text available:  pdf(860.04 KB) Additional Information: [full citation](#), [references](#), [citations](#), [index terms](#)

20 InfoSleuth: agent-based semantic integration of information in open and dynamic environments

R. J. Bayardo, W. Bohrer, R. Brice, A. Cichocki, J. Fowler, A. Helal, V. Kashyap, T. Ksiezyk, G. Martin, M. Nodine, M. Rashid, M. Rusinkiewicz, R. Shea, C. Unnikrishnan, A. Unruh, D. Woelk

June 1997 **ACM SIGMOD Record , Proceedings of the 1997 ACM SIGMOD international conference on Management of data**, Volume 26 Issue 2

Full text available:  pdf(1.69 MB) Additional Information: [full citation](#), [abstract](#), [references](#), [citations](#), [index terms](#)

The goal of the InfoSleuth project at MCC is to exploit and synthesize new technologies into a unified system that retrieves and processes information in an ever-changing network of information sources. InfoSleuth has its roots in the Carnot project at MCC, which specialized in integrating heterogeneous information bases. However, recent emerging technologies such as internetworking and the World Wide Web have significantly expanded the types, availability, and volume of data available to a ...

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09/904,270	07/12/2001	Peter Henrik Pedersen	PHP-168	2027
24119	7590	02/10/2005	EXAMINER	
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			2154	

DATE MAILED: 02/10/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/904,270

Applicant(s)

PEDERSEN, PETER HENRIK

Examiner

Jungwon Chang

Art Unit

2154

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 11 April 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) ☒ Notice of References Cited (PTO-892) | 4) ☐ Interview Summary (PTO-413) |
| 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) ☐ Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>7/12/01</u> . | 6) ☐ Other: _____ |

DETAILED ACTION

1. Claims 21-23 are added in the preliminary amendment filed on 4/11/02. Claims 1-23 are presented for examination.
2. It is noted that although the present application contains line numbers in claims, the line numbers in the claims do not correspond to the preferred format. The preferred format is to number each line of every claim with each claim beginning with line 1. For ease of reference by both the examiner and applicant all future correspondence should include the recommended line numbering.
3. One cited reference in information disclosure statement (IDS) dated on July 12, 2001 is incorrect (i.e., 5,763,033, Miloslavsky should be 5,765,033). Examiner has corrected it and considered the information disclosure statement.

Claim Rejections - 35 USC § 112

4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
5. Claims 5 and 6 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

- a. The claim language in the following claims is not clearly understood:
 - i. As to claims 5 and 6, line 4, it is uncertain whether "the global communications network" refers to "a global network" line 2 or "a global digital communications network" in claim 1, lines 2-3;

Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

7. Claim 1 is rejected under 35 U.S.C. 102(e) as being anticipated by Kamakura et al. (US 6,047,310), hereinafter referred to as Kamakura.

8. As to claim 1, Kamakura discloses an electronic message management system (11, fig. 3; col. 1, lines 8-12) comprising:

an electronic computer system (receiver terminal, 13, fig. 2; sender terminal, 14, fig. 2; distribution host computer; 11, fig. 2) in operative communication with a global digital communications network (network, 12, fig. 2) (col. 5, lines 53-64); and

an electronic message management database (relational database; col. 12, lines

61-65; col. 1, lines 51-58; col. 6, lines 5-13 and 16-25) in operative communication with the computer system (figs. 4, 5; col. 6, lines 41-55; col. 7, lines 13-25).

Claim Rejections - 35 USC § 103

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

10. Claims 2-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kamakura et al. (US 6,047,310), in view of Capps (US 6,711,682).

11. As to claim 2, Kamakura discloses wherein the electronic computer system further comprises:

a recipient profile (22, 24, fig. 3; fig. 8; fig. 11) for receiving recipient profile data (receiver attributes; age, sex, occupation; col. 2, lines 8-13; col. 4, lines 53-54) from recipients (receiver terminal, 13, fig. 2) via the global network (network, 12, fig. 2) (fig. 4; fig. 9; col. 1, lines 61-65; col. 2, lines 63-67; col. 6, lines 41-55; col. 8, lines 28-48; col. 10, lines 14-18) and storing the recipient data (fig. 11; storing medium; storing unit; col. 1, lines 51-58; col. 6, lines 16-25; col. 8, lines 36-48; col. 10, lines 18-38; relational database; col. 12, lines 61-65);

a messenger profile (sender profile, 32, fig. 3) for receiving messenger profile data (sender profile data; fig. 13) from messenger (sender terminal, 14, fig. 2) via the

global network (network, 12, fig. 2) (register sender profile; S11, fig. 5; col. 11, lines 45-58) and storing the messenger data (fig. 17; storing medium; storing unit; col. 1, lines 51-58; col. 6, lines 16-25; col. 11, line 59 – col. 12, line 4; col. 13, line 64 – col. 14, line 9; relational database; col. 12, lines 61-65);

a message input (26, fig. 3) for receiving message files (advertisement documents) from a messenger (sender terminal, 14, fig. 2) via the global network (network, 12, fig. 2) (S12, fig. 5; col. 7, lines 16-25) and storing the message files (transmission advertisement document number; fig. 13; col. 6, lines 16-25; col. 13, lines 51-63; relational database; col. 12, lines 61-65); and

an individual message generator (23, fig. 3) in communication with the database (sends an inquiry composed of retrieval expressions to the database; col. 12, lines 61-65) and operative to access and utilize data and files from the database to generate an individual message to be sent via the global communications network to a recipient specified by a messenger (col. 7, lines 40-65; col. 12, lines 39-65).

12. Kamakura discloses a recipient sends (fig. 4; fig. 9; col. 6, lines 41-55; col. 8, lines 28-48; col. 10, lines 14-18) and stores recipient profile data (storing medium; storing unit; col. 1, lines 51-58; col. 6, lines 16-25; col. 8, lines 36-48; col. 10, lines 18-38; relational database; col. 12, lines 61-65); messenger transmits (register sender profile; S11, fig. 5; col. 11, lines 45-58) and stores messenger profile data (fig. 17; storing medium; storing unit; col. 1, lines 51-58; col. 6, lines 16-25; col. 11, line 59 – col. 12, line 4; col. 13, line 64 – col. 14, line 9; relational database; col. 12, lines 61-65); and

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message input sends (S12, fig. 5; col. 7, lines 16-25) and stores message file (col. 6, lines 16-25; col. 13, lines 51-63; relational database; col. 12, lines 61-65). However, Kamakura does not specifically use a word "application". Capps discloses applications (114, fig. 1; registration wizard; col. 1, lines 25-41; col. 3, lines 8-11 and 28-30; computer-executable instructions; col. 4, lines 10-24). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Kamakura and Capps because Capps's application would improve the performance of Kamakura's system by allowing the system to provide computer instructions to execute the tasks, thereby improving the performance of fast data transfer between the client and server.

Kamakura discloses information is stored in a relational database and retrieved from the database (relational database; col. 12, lines 61-65). However, Kamakura does not specifically disclose storing data in the database. Capps discloses storing data in the database (data source; 118, 122; fig. 1; col. 3, lines 15-17 and 31-34; col. 8, lines 46-62). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Kamakura and Capps because Capps' storing data in database would improve speed of search by allowing the user to flexibly and easily search and inquire by writing simple queries.

13. As to claim 3, Kamakura does not specifically disclose message management server operating system. However, Capps discloses message management server

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operating system (112, fig. 1; col. 3, lines 11-14). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Kamakura and Capps because Capps's operating system would improve the performance of Kamakura's system by quickly supporting the application.

14. As to claim 4, it is rejected for the same reasons set forth in claim 2 above.

Kamakura discloses wherein the message management database (relational database; col. 12, lines 61-65; col. 1, lines 51-58; col. 6, lines 5-13 and 16-25) further comprises recipient and messenger profile storages for storing recipient (storing medium; storing unit; col. 1, lines 51-58; col. 6, lines 16-25; col. 8, lines 36-48; col. 10, lines 18-38) and messenger profile data (storing medium; storing unit; col. 1, lines 51-58; col. 6, lines 16-25; col. 11, line 59 – col. 12, line 4) respectively, and a message storage for storing message data files (col. 6, lines 16-25; col. 13, lines 51-63).

15. As to claim 5, it is rejected for the same reasons set forth in claim 2 above. In addition, Kamakura discloses a global network (12, fig. 2) interactive recipient profile input form (fig. 8), the recipient profile input form being accessible to a recipient computer via global communications network (S20, S22, fig. 9; S30, S31, S36, fig. 10; fig. 12; col. 6, lines 41-55; col. 8, line 67 – col. 9, line 7; col. 9, lines 31-41 and 65-67).

16. As to claim 6, it is rejected for the same reasons set forth in claim 2 above. In addition, Kamakura discloses a global network interactive messenger profile input form

(fig. 13; fig. 17), the messenger profile input form being accessible to a messenger computer via the global communications network (fig. 14; col. 7, lines 13-25; col. 12, lines 39-55; col. 13, line 64 – col. 14, line 9).

17. As to claim 7, it is rejected for the same reasons set forth in claim 2 above. In addition, Kamakura discloses message input form being accessible to a messenger computer via a global communications network (26, fig. 3; register transmission advertisement document; S12, fig. 5; transmission advertisement document number, fig. 13; col. 7, lines 13-25).

18. As to claims 8-10, it is rejected for the same reasons set forth in claim 2 above. In addition, Kamakura discloses a network interface (network interface card connects a terminal to network; fig. 2; col. 5, lines 53-64) and editor for manipulating the recipient profile, sender profile, and message (col. 16, lines 51-61; col. 18, lines 64-67).

19. As to claim 11, it is rejected for the same reasons set forth in claim 1 above. In addition, discloses a method of inputting data into a message management system (11, figs. 2-3) from client computers (receiver terminal, 13, fig. 2; sender terminal, 14, fig. 2) via a global communication network (network, 12, fig. 2), to centrally manage the distribution (col. 4, lines 35-57) and delivery format of electronic message from multiple messenger sources to multiple individual recipients (col. 5, lines 16-20), comprising the steps of:

providing the client computers (receiver terminal, 13, fig. 2; sender terminal, 14, fig. 2) with access to the message management system via a global communications network, where the client computers are messenger (sender terminal, 14, fig. 2) and recipient computers (receiver terminal, 13, fig. 2) (col. 5, lines 53-64);

connecting the client computer to the message management system via the global communication network (fig. 2; fig. 3; col. 5, lines 53-64);

prompting the client computer for the data to be input by providing a data input form to the client computer (col. 4, lines 35-57; col. 6, lines 41-55; col. 7, lines 13-25);
and

accepting input data from the client computer (S20, fig. 9; S30, fig. 10; fig. 12) and entering the input data (fig. 11; fig. 13; col. 6, lines 45-55; col. 10, lines 18-38).

20. Kamakura does not specifically disclose receiving a connectivity request from a client computer for access to the message management system to input data. However, Capps disclose receiving a connectivity request from a client computer for access to the message management system to input data (col. 3, lines 21-30). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Kamakura and Capps because Capps's connectivity request would improve the communication performance between client and system by allowing the client to set up a communication link every time as needed.

Kamakura discloses information is stored in a relational database and retrieved from the

database (relational database; col. 12, lines 61-65). However, Kamakura does not specifically disclose storing data in the database. Capps discloses storing data in the database (data source; 118, 122; fig. 1; col. 3, lines 15-17 and 31-34; col. 8, lines 46-62). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Kamakura and Capps because Capps' storing data in database would improve speed of search by allowing the user to flexibly and easily search and inquire by writing simple queries.

21. As to claim 12, Kamakura discloses wherein the prompting step includes providing an input form (fig. 8; fig. 13) to the client computer (13, 14, fig. 2) (col. 6, lines 41-55; col. 7, lines 13-25).

22. As to claim 13, Kamakura discloses a recipient profile form (fig. 8), a messenger profile form (fig. 13; fig. 17), and a message input form (26, fig. 3; register transmission advertisement document; S12, fig. 5; transmission advertisement document number, fig. 13; col. 7, lines 13-25).

23. As to claim 14, it is rejected for the same reasons set forth in claim 11. In addition, Kamakura discloses a recipient profile storage (storing medium; storing unit; col. 1, lines 51-58; col. 6, lines 16-25; col. 8, lines 36-48; col. 10, lines 18-38; relational database; col. 12, lines 61-65), a messenger profile storage (fig. 17; storing medium; storing unit; col. 1, lines 51-58; col. 6, lines 16-25; col. 11, line 59 – col. 12, line 4; col.

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13, line 64 – col. 14, line 9; relational database; col. 12, lines 61-65), and a message storage (col. 6, lines 16-25; col. 13, lines 51-63; relational database; col. 12, lines 61-65).

24. As to claim 15, it is rejected for the same reasons set forth in claim 2 above. In addition, Kamakura discloses receiving and storing a plurality of messenger specific profiles (sender profile; fig. 13) indicating delivery parameters for where, when and how specific type of messages from each messenger must be delivered to a recipient (distributing the profile of the sender along with advertisement information to the receiver; col. 2, lines 48-54).

25. As to claim 16, it is rejected for the same reasons set forth in claim 2 above. In addition, Kamakura discloses receiving and storing messenger profile data, including messenger identifying data (26, fig. 3; register transmission advertisement document; S12, fig. 5; transmission advertisement document number, fig. 13; col. 7, lines 13-25).

26. As to claim 17, it is rejected for the same reasons set forth in claim 2 above. In addition, Kamakura discloses recipient identifications (receiver ID; fig. 11) and recipient profile, which messages to deliver to the recipients and profiles stored (col. 1, lines 61-65; col. 2, lines 63-67; col. 6, lines 41-55; col. 8, lines 28-48; col. 10, lines 14-18).

27. As to claim 18, it is rejected for the same reasons set forth in claim 2 above. In

addition, Kamakura discloses recipient identifications (receiver ID; fig. 11) and recipient profiles who are prevented from receiving a message from the messenger (reception restriction; fig. 11; col. 2, lines 63-67; col. 10, line 39 – col. 11, line 6).

28. As to claim 19, it is rejected for the same reasons set forth in claim 2. In addition, Kamakura discloses wherein the individual message generator communicates with the database (sends an inquiry composed of retrieval expressions to the database; col. 12, lines 61-65) to identify messages and messenger parameters that are compatible with a recipient profile, and to configure the messages for delivery to the recipient (select a sender of information that satisfies the desired conditions of the receivers; col. 2, lines 3-16 and 25-27; col. 4, lines 44-48; col. 17, lines 27-33).

29. As to claims 20-23, Kamakura discloses wherein the individual message generator generates and sends the individual message via a means selected from the group consisting of: electronic mail, voice telephone, facsimile transmission, and digital transmission (col. 1, lines 8-12).

Conclusion

30. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

Smith et al, patent 6,463,462, Herz et al, patent 6,571,279, Cook, patent 6,732,101,

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Schiavone et al, 2002/0120692, Owens et al, 2005/0002503, Owens et al, patent 6,023,700 disclose a method and system for distributing electronic messages based upon delivery preference of recipient.

31. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jungwon Chang whose telephone number is 571-272-3960. The examiner can normally be reached on 9:30-6:00 (Monday-Friday).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John A Follansbee can be reached on 571-272-3964. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



JWC
February 3, 2005

Notice of References Cited	Application/Control No. 09/904,270		Applicant(s)/Patent Under Reexamination PEDERSEN, PETER HENRIK	
	Examiner Jungwon Chang		Art Unit 2154	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,047,310	04-2000	Kamakura et al.	709/201
	B	US-6,711,682	03-2004	Capps, Stephen P.	713/184
	C	US-6,463,462	10-2002	Smith et al.	709/206
	D	US-6,571,279	05-2003	Herz et al.	709/217
	E	US-6,732,101	05-2004	Cook, David P.	707/10
	F	US-2002/0120692	08-2002	Schiavone et al.	709/206
	G	US-2005/0002503	01-2005	Owens et al.	379/093.24
	H	US-6,023,700	02-2000	Owens et al.	707/10
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete If Known	
				Application Number	
				Filing Date	
				First Named Inventor	PEDERSEN, Peter H.
				Group Art Unit	
				Examiner Name	
Sheet	one	of	one	Attorney Docket Number	PHP-168

[illegible][illegible]

Examiner Signature	<i>W. W. W.</i>	Date Considered	1/26/05
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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BIBDATASHEET

Bib Data Sheet

CONFIRMATION NO. 2027

SERIAL NUMBER 09/904,270	FILING DATE 07/12/2001 RULE	CLASS 709	GROUP ART UNIT 2154	ATTORNEY DOCKET NO. PHP-168
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APPLICANTS

Peter Henrik Pedersen, Soeborg, DENMARK;

**** CONTINUING DATA *******
 This appln claims benefit of 60/217,719 07/12/2000
 Yes. JWC

**** FOREIGN APPLICATIONS *******
 NO JWC

IF REQUIRED, FOREIGN FILING LICENSE GRANTED
**** 08/27/2001**

Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	☐ yes ☒ no ☐ yes ☒ no ☐ Met after Allowance <u>CCB, JWC</u> Examiner's Signature Initials	STATE OR COUNTRY DENMARK	SHEETS DRAWING 8	TOTAL CLAIMS 20	INDEPENDENT CLAIMS 1
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ADDRESS
 24119
 SHERMAN D PERIA, ESQ., PC
 1110 NASA ROAD ONE
 SUITE 450
 HOUSTON, TX
 77058-3310

TITLE
 Profile responsive electronic message management system

FILING FEE RECEIVED 382	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	☐ All Fees ☐ 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of time) ☐ 1.18 Fees (Issue) ☐ Other _____ ☐ Credit
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Index of Claims



Application No.

09/904,270

Examiner

Jungwon Chang

Applicant(s)

PEDERSEN, PETER HENRIK

Art Unit

2154

✓	Rejected
=	Allowed

—	(Through numeral) Cancelled
÷	Restricted

N	Non-Elected
I	Interference

A	Appeal
O	Objected

Claim		Date									
Final	Original	2/3/05									
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Search Notes

Application No.

09/904,270

Examiner

Jungwon Chang

Applicant(s)

PEDERSEN, PETER HENRIK

Art Unit

2154

SEARCHED

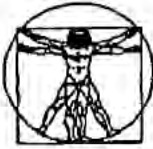
Class	Subclass	Date	Examiner
709	201 206 217	2/3/2005	JWC
713	184	2/3/2005	JWC
707	10	2/3/2005	JWC
379	093.24	2/3/2005	JWC

INTERFERENCE SEARCHED

Class	Subclass	Date	Examiner

**SEARCH NOTES
(INCLUDING SEARCH STRATEGY)**

	DATE	EXMR
East text search NPL: Google ACM IEEE search report attached	2/3/2005	JWC
Consult with Do Yoo (QAS)	2/2/2005	JWC



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Alexandria, VA 22313-1450

Attn: Examiner Chang, J.
Group Art Unit: 2154

Re: **Reply and Amendment under 37 CFR § 1.111**
Serial No.: 09/904,270
Docket No: PHP-168
Applicant: Pedersen, P.H.
Title: Profile Responsive Electronic Message Management System

Number of Pages (including this page): 16

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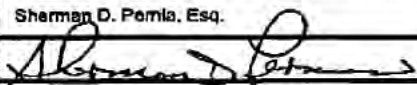
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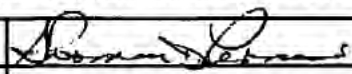
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/904,270	
	Filing Date	12 July 2001	
	First Named Inventor	Pedersen, P.H.	
	Art Unit	2154	
	Examiner Name	Chang, J.	
Total Number of Pages in This Submission	76	Attorney Docket Number	PHP-160

ENCLOSURES (Check all that apply)		
☐ Fee Transmittal Form ☐ Fee Attached ☒ Amendment/Reply ☐ After Final ☐ Affidavits/declaration(s) ☒ Extension of Time Request ☐ Express Abandonment Request ☐ Information Disclosure Statement ☐ Certified Copy of Priority Document(s) ☐ Reply to Missing Parts/ Incomplete Application ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53	☐ Drawing(s) ☐ Licensing-related Papers ☐ Petition ☐ Petition to Convert to a Provisional Application ☐ Power of Attorney, Revocation ☐ Change of Correspondence Address ☐ Terminal Disclaimer ☐ Request for Refund ☐ CD, Number of CD(s) _____ ☐ Landscape Table on CD	☐ After Allowance Communication to TC ☐ Appeal Communication to Board of Appeals and Interferences ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) ☐ Proprietary Information ☐ Status Letter ☒ Other Enclosure(s) (please identify below): - Rule 8 Certificate/Fax Cover Sheet
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Firm Name	Sherman D. Pernla, Esq.		
Signature			
Printed name	Sherman D. Pernla		
Date	28 May 2005	Reg. No.	34,404

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Typed or printed name	Sherman D. Pernla	Date	27 May 2005

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Docket NO. PHP-168

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 09/904,270

Applicant: Pedersen, P.H.

Filing Date: 12 July 2001

Art Unit: 2154

Examiner: Chang, J.

Docket No.: PHP-168

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OFFICIAL REPLY AND AMENDMENT UNDER 37 CFR § 1.111

Dear Examiner Chang:

Responsive to the Office Action mailed 10 February 2005, Applicant respectfully requests reconsideration of the above-referenced application in view of the following amendments and remarks. A Request for Extension of Time under 37 CFR §1.136(a) and fee payment accompany this Reply.

Amendments to the claims are reflected in the listing of claims which begins on page 2 of this paper; and Applicant's remarks begin on page 7.

Certificate of Transmission Under 37CFR §1.08

I, Sherman D. Pernia, hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on the date below.

Date: 27 May 2005 Signed: Sherman D. Pernia

Docket NO. PHP-168

AMENDMENTS

In the Claims

The following listing of claims replaces all prior versions of the claims in the application:

- 1 (currently amended). An electronic message management system comprising:
- an electronic computer system in operative communication with a global digital communications network[(:)], and an electronic message management database in operative communication with the computer system; the electronic computer system having
 - a recipient profile application for receiving recipient profile data from recipients via the global network and storing the recipient data in the database, the profile data including delivery parameters specified by a recipient of where, when and how specific types of messages from specific messengers are delivered to the recipient,
 - a messenger profile application for receiving messenger profile data from messengers via the global network and storing the messenger data in the database, including messenger identifying data,
 - a message input application for receiving message files from a messenger via the global network and storing the message files in the database, and
 - an individual message generator in communication with the database and operative to access and utilize data and files from the database to generate an individual message to be sent to the recipient specified by the messenger via the global communications network according to the delivery parameters, and a message management server operating system; and
 - the message management database including recipient and messenger profile databases for storing recipient and messenger profile data respectively, and a message database for storing message data files.

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2 (cancelled).

3 (cancelled).

4 (cancelled).

5 (currently amended). The electronic message management system of ~~claim 2~~ claim 1, wherein the recipient profile application includes a global network interactive recipient profile input form, the recipient profile input form being accessible to a recipient computer via the global digital communications network.

6 (currently amended). The electronic message management system of ~~claim 2~~ claim 1, wherein the messenger profile application includes a global network interactive messenger profile input form, the messenger profile input form being accessible to a messenger computer via the global digital communications network.

7 (currently amended). The electronic message management system of ~~claim 2~~ claim 1, wherein the message input application includes an interactive global network message input form, the message input form being accessible to a messenger computer via the global communications network.

8 (currently amended). The electronic message management system of ~~claim 2~~ claim 1, wherein the recipient profile application further comprises a network interface and a recipient profile editor for receiving recipient profile data from a recipient computer via the global network interface and for manipulating the recipient profile database to store the recipient profile data.

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9 (currently amended). The electronic message management system of ~~claim 2~~ claim 1, wherein the messenger profile application further comprises a global network interface and a messenger profile editor for receiving messenger profile data from a messenger computer via the global network interface and for manipulating the messenger profile database to store the messenger profile data.

10 (currently amended). The electronic message management system of ~~claim 2~~ claim 1, wherein the message input application further comprises a global network interface and a message data file editor for receiving a message file data from a messenger computer via the global network interface and for manipulating the message database to store the message data file.

11 (original). A method of inputting data into the message management system of claim 1 from client computers via a global communications network, to centrally manage the distribution and delivery format of electronic messages from multiple messenger sources to multiple individual recipients, comprising the steps of:

providing the client computers with access to the message management system via a global communications network, where the client computers are messenger and recipient computers;

receiving a connectivity request from a client computer for access to the message management system to input data;

connecting the client computer to the message management system via the global communication network;

prompting the client computer for the data to be input by providing a data input form to the client computer; and

accepting input data from the client computer and entering the input data into an electronic message management database of the message management system.

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12 (original). The method of claim 11, wherein the prompting step includes providing an input form to the client computer.

13 (original). The method of claim 11, wherein the prompting step includes providing an input form to the client computer, the input form being at least one input form selected from the group consisting of a recipient profile form, a messenger profile form, and a message input form.

14 (original). The method of claim 11, wherein the accepting step includes entering the input data into an electronic message management database comprising a recipient profile database, a messenger profile database, and a message database.

15 (cancelled).

16 (cancelled).

17 (currently amended). The electronic message management system of ~~claim 2~~ claim 1, wherein the messenger profile application receives and stores messenger profile data, including recipient identifications and recipient profiles, which messages to deliver to the recipients and profiles stored.

18 (original). The messenger profile application of claim 17, further comprising recipient identification and recipient profiles for recipients who are prevented from receiving a message from the messenger.

19 (currently amended). The electronic message management system of ~~claim 2~~ claim 1, wherein the individual message generator communicates with the database to identify messages and messenger parameters that are compatible with a recipient profile, and to configure the messages for delivery to the recipient.

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20 (currently amended). The electronic message management system of ~~claim 2~~ claim 1, wherein the individual message generator generates and sends the individual message via a means selected from the group consisting of: electronic mail, voice telephone, facsimile transmission, and digital transmission.

21 (currently amended). The electronic message management system of ~~claim 2~~ claim 1, wherein the individual message generator generates the individual message and sends the individual message via a means selected from the group consisting of an electronic means and a hardcopy means.

22 (original). The electronic message generator system of claim 21, wherein the individual message generator generates the individual message and sends the individual message via an electronic means selected from the group consisting of electronic mail, voice telephone, facsimile transmission, digital transmission, computer network, voice mail, SMS, telex, and wireless transmission.

23 (original). The electronic message generator system of claim 21, wherein the individual message generator generates the individual message and sends the individual message via a hardcopy means selected from the group consisting of paper media, magnetic media, and optical media.

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REMARKS

Applicant acknowledges that claims 1-23 are pending in the application, and that the Office currently holds claims 1-23 as rejected. Applicant thanks the Examiner for his careful review of the specification, and the correction made to the IDS of a typographical error.

Applicant herein amends claim 1 to more clearly indicate that which he considers to be his invention. Applicant amends claim 1 to include the limitations of original claims 2-4, 15 and 16. Therefore, the amendment of claim 1 is supported by the specification as originally filed and adds no new matter to the application. Further, Applicant herein requests cancellation of claims 2-4, 15 and 16 without prejudice. Other claims are amended to properly correct their dependency in view of the amendment to claim 1 and the aforementioned cancellation claims.

35 USC §112, 2d paragraph

The Office holds claims 5 and 6 rejected under 35 USC §102(e) as being indefinite. The Office contends that in claims 5 and 6, it is uncertain whether "the global communications network" refers to "a global network" or "a global digital communications network" of claim 1.

Applicant does not concur with the uncertainty expressed by the Office. In response, Applicant submits the term "a global network" is a portion of the descriptive part of the nominative phrase "a global network interactive recipient profile input form" describing the object of the phrase: "form." Whereas the nominative phrase "the global communications network" clearly has the noun "network" as its object. Applicant submits that insofar as neither nominative phrase has the same noun as its object, they are clearly understandable as being different objects. However, in the interest of expediting prosecution, Applicant herein amends claims 5 and 6 to recite the further descriptive adjective "digital" in conjunction with the nominative phrase "the global communications network."

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35 USC §102(e)

The Office holds claims 2-23 rejected under 35 USC §102(e) as being anticipated by Kamakura *et al.* (US Patent No. 6,047,310, the '310 patent) in view of Capps (US Patent No. 6,711,682. Applicant respectfully traverses these rejections.

In response, Applicant asserts that the electronic message management system of the present invention is not the same as the apparatus of the Kamakura '310 patent. The apparatus of Kamakura provides for "distributing non-specific information from a sender to a receiver..." Col. 1, lines 52-53. What this means is that the Kamakura apparatus does not receive messages addressed to a specific receiver. The Kamakura apparatus is designed and functions as a message "matching filter" apparatus. It receives a message from a single sender and sends the message out to a plurality of receivers (who were non-specified by the sender) based on a match between a receiver's profile and the message's profile.

In contrast, in the present invention functions as a message "sorting filter" system. It receives a message sent to a specific (as opposed to a non-specific) receiver, and selects which of that individual receiver's "mail boxes" to sort the message into. The present system cannot relay a received message on to a plurality of non-specific receivers.

Applicant submits that the standard for finding anticipation is one of strict identity. In other words, to anticipate under §102, a single prior art reference must disclose all the elements, or disclose their equivalents functioning in the same way as the claimed invention (*Shanklin Corp. v. Springfield Photo Mount Co.*, 187 USPQ 129, 133). Further, "too many structural and operational differences" negate anticipation within the meaning of §102 (*ibid.*, 134). Neither the Kamakura apparatus nor the present invention can do what the other does. Nor is the Kamakura '310 patent enabling of the present invention. Because the Kamakura apparatus will not do what the present invention does, it does not *prima facie* anticipate the present electronic message management system of claim 1.

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35 USC §103(e)

The Office holds claims 2-23 rejected under 35 USC §103(e) as being unpatentable over Kamakura *et al.* (US Patent No. 6,047,310, the '310 patent) in view of Capps (US Patent No. 6,711,682, the 682 patent). Applicant respectfully traverses these rejections.

Regarding Original Claim 2:

Applicant herein cancels original claim 2. Therefore, the rejections of original claim 2 and the claims dependent thereon are moot. However, Applicant herein amends original claim 1 to include the limitations of original claim 2 and others. Therefore, Applicant addresses the rejection of claim 2 and its dependents below regarding amended claim 1.

Regarding Amended Independent Claim 1:

Applicant submits that regarding currently amended claim 1, the Office has failed to establish a *prima facie* case of obviousness. Applicant asserts that Kamakura *et al.*, neither by itself nor in combination with the Capps '682 patent, does not render the invention of instant independent claim 1 obvious for the reasons set forth below.

Prima Facie Obviousness

The PTO has the burden under 35 USC §103 of establishing a *prima facie* case of obviousness. *In re Fine*, 837 F.2d 1071, 1074 (Fed. Cir. 1988). To establish a *prima facie* case of obviousness, three basic criteria must be met (MPEP §706.02(j)):

- (1). There must be some suggestion or motivation in the reference itself to modify the reference or combine the teachings of the references to seek the invention of the instant claims; and
- (2). There must be a reasonable expectation of successfully accomplishing the invention of the instant claims; and

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- (3). The prior art reference (or references when combined) must teach or suggest all the instant claim limitations.

Additionally, both the teaching (or suggestion) and the expectation of success must be found in the cited prior art, and not based on Applicant's disclosure. *In re Vaeck*, 947 F.2d 488, 20 USPQ2d 1438 (Fed. Cir. 1991).

Criterion 1; Suggestion or Motivation:

"THE PRIOR ART MUST SUGGEST THE DESIRABILITY OF THE CLAIMED INVENTION" (MPEP §2143.01, emphasis in original). Obviousness can only be established by a combination of prior art references where the teaching, or suggestion or motivation to make the combination is found in the references themselves.

Applicant submits that there is no suggestion or teaching in either of the cited references to provide electronic message management system having the features of a message "sorting filter" system, where a received message was sent to a specific (as opposed to a non-specific) receiver, and the system selects which of that individual receiver's "mail boxes" (receiving modalities) to sort the message into. Therefore, the combination of the cited references fail to meet Criterion 1 to establish a *prima facie* case of anticipation, the present rejection of claims is not proper under the MPEP.

Criterion 2; Reasonable Expectation of Success:

As noted above under §102, either the Kamakura apparatus nor the present invention can do what the other does. More particularly, the Kamakura '310 patent is not enabling of the present invention, Kamakura neither alone or in combination with Capps enables the receiver to designate "where, when and how" specific types of messages from a sender/messenger are to be sorted for delivery to the receiver/recipient. For example, in the present invention the recipient can designate in advance that car advertisements from one sender/messenger go to email address-1 anytime one arrives at the present message management system, that statements from the bank go to email address-2 on the

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15th of the month, that the bill from the utility company goes to email address-3 on the first of the month and is copies to email address-2, etc. The teachings of Kamakura, neither alone or in combination with Capps, has any expectation of accomplishing this object of the present invention. Because the Kamakura/Capps do not enable the present invention, they do not *prima facie* anticipate the present electronic message management. Therefore, Criterion 2 is not met and the present rejection of claims is not proper under the MPEP examining practice.

Criterion 3; Must Teach or Suggest All the Claim Limitations:

Inter alia, the message management system of instant claim 1 recites the limitation of "delivery parameters" on the profile data. Further, instant claim 1 recites the additional limitation on the delivery parameters including data on "where, when and how specific types of messages from a messenger are delivered to a recipient." These limitations are not taught or suggested in either the Kamakura or the Capps references.

Another limitation of instant claim 1 is an "individual message generator. . . to generate an individual message to be sent. . . to a recipient *specified* by a messenger." Emphasis added. To the contrary, in a combination of Kamakura and Capps (even if successfully combined) the sender transmits its message to the apparatus; it is the apparatus that designates the receiver of the message.

Therefore, the cited references, neither alone nor in combination teach or suggest all the limitations of instant claim 1, and criterion 3 is not met and the present rejection of claims again is not proper under the MPEP.

Regarding Claims : Rejection Moot

In view the above amendment and remarks regarding independent claim 1, Applicant submits that the current rejection of dependent claims 5-10, 17-23 and claims 11-14 under §103(e) is now moot.

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Applicant believes that the above amendments and remarks are fully responsive to the Office Action mailed 10 February 2005. Applicant respectfully requests reconsideration and removal of all objections and rejections of claims, and that, in view of the above amendments and remarks, the application is now in condition for allowance. Applicant respectfully requests the Examiner to contact the undersigned to timely resolve any minor issues that may remain in the application. Alternatively, Applicant invites the Examiner to suggest alternative claim language for Applicant's consideration, in order to facilitate timely prosecution of this application.

Respectfully submitted,

25 May 2005
Date


Sherman D. Pernia, Patent Agent
Registration No. 34,404
281-996-0449

Docket No. PHP-168

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 09/904,270

Applicant: Pedersen, P.H.

Filing Date: 12 July 2001

Art Unit: 2154

Examiner: Chang, J.

Docket No.: PHP-168

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Dear Examiner Chang:

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application. The requested extension and fee for a small entity are as follows: One month (37 CFR 1.17(a)(1)) at \$60.00.

Applicant claims small entity status under 37 CFR 1.27.

Payment is being made by credit card. A Form PTO-2038 is attached.

I am attorney/agent of record. My registration number is 34,404

Respectfully submitted,

15 May 2005
Date


Sherman D. Pernia, Patent Agent
Registration No. 34,404
281-335-4505

05/31/2005 HNGUYEN1 00000042 09904270

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PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2000

Application or Docket Number

09/904270
24P-167

CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS	20	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	20 minus 20 =	0
INDEPENDENT CLAIMS	minus 3 =	0
MULTIPLE DEPENDENT CLAIM PRESENT ☐		

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	23	20	= -
Independent	1	3	= -
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM ☐			

SMALL ENTITY TYPE ☐

RATE	FEE
BASIC FEE	355.00
X\$ 9=	
X40=	
+135=	
TOTAL	355

OR OTHER THAN SMALL ENTITY

RATE	FEE
BASIC FEE	710.00
X\$18=	
X80=	
+270=	
TOTAL	

SMALL ENTITY OR

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

OR OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

5/27/05

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	18	20	=
Independent	1	3	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM ☐			

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total			=
Independent			=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM ☐			

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

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** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

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1 [The operational semantics of an active message system](#)

Robert Palumbo, Hossein Saiedian, Mansour Zand

 April 1992 **Proceedings of the 1992 ACM annual conference on Communications**

 Full text available: pdf (833.95 KB) Additional Information: [full citation](#), [abstract](#), [references](#), [index terms](#)

The traditional computer-based message systems, messages are typically viewed as passive entities with no processing power. The users are thus responsible for explicitly creating, routing and processing each message instance. Messages, however, may be viewed as active objects which can do certain processing on their own. This paper explores the concept of "messages as objects" for office automation purposes.

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IEEE CNF IEEE Conference Proceeding

IEEE CNF IEEE Conference Proceeding

IEEE STD IEEE Standard

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Display Format: ☒ Citation ☐ Citation & Abstract

IEEE CNF IEEE Conference Proceeding

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IEEE CNF IEEE Conference Proceeding

IEEE STD IEEE Standard

- ☐ 1. **Mobility traffic analysis for PACS using various subscriber profiles**
Jyhi-Kong Wey; Wei-Pang Yang; Yi-Bing Lin;
Personal, Indoor and Mobile Radio Communications, 1996. PIMRC'96., Seventh IEEE International Symposium on
Volume 1, 15-18 Oct. 1996 Page(s):133 - 137 vol.1
[AbstractPlus](#) | Full Text: [PDF](#)(496 KB) IEEE CNF
- ☐ 2. **An overview of a MPI profiling environment for the NEC Cenju-3**
Govindan, V.; Park, Y.; Li, X.; Crear, S.; Johnson, O.;
MPI Developer's Conference, 1996. Proceedings., Second
1-2 July 1996 Page(s):185 - 188
[AbstractPlus](#) | Full Text: [PDF](#)(364 KB) IEEE CNF
- ☐ 3. **ATM and FIS data link services**
Bauhof, C.R.;
Aerospace and Electronic Systems Magazine, IEEE
Volume 9, Issue 3, March 1994 Page(s):38 - 42
[AbstractPlus](#) | Full Text: [PDF](#)(428 KB) IEEE JNL
- ☐ 4. **ATM and FIS data link services**
Bauhof, C.R.;
Digital Avionics Systems Conference, 1993. 12th DASC., AIAA/IEEE
25-28 Oct. 1993 Page(s):92 - 97
[AbstractPlus](#) | Full Text: [PDF](#)(384 KB) IEEE CNF
- ☐ 5. **Profiles and protocols for the Internet Public-Key Infrastructure**
Admas, C.; Lloyd, S.;
Distributed Computing Systems, 1997., Proceedings of the Sixth IEEE Computer Society Workshop on
Future Trends of
29-31 Oct. 1997 Page(s):220 - 224
[AbstractPlus](#) | Full Text: [PDF](#)(292 KB) IEEE CNF
- ☐ 6. **The directory-enabled enterprise**
Lear, A.C.;
IT Professional
Volume 1, Issue 4, July-Aug. 1999 Page(s):14 - 17
[AbstractPlus](#) | Full Text: [PDF](#)(116 KB) IEEE JNL
- ☐ 7. **Look-ahead channel reservation and borrowing in cellular network systems**
Haihong Zheng; Bhattacharya, S.;
High-Assurance Systems Engineering, 1999. Proceedings. 4th IEEE International Symposium on

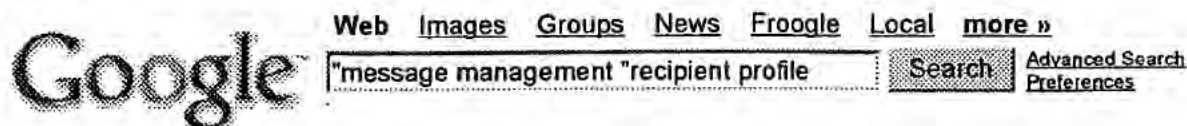
17-19 Nov. 1999 Page(s):179 - 185

[AbstractPlus](#) | Full Text: [PDF\(212 KB\)](#) IEEE CNF

- ☐ **8. On using nomadic services for distributed intelligence**
Simeonov, P.L.;
Computer Communications and Networks, 1999. Proceedings. Eight International Conference on
11-13 Oct. 1999 Page(s):228 - 231
[AbstractPlus](#) | Full Text: [PDF\(464 KB\)](#) IEEE CNF
- ☐ **9. Deployment of mobile agents in the mobile telephone network management**
Brusic, I.; Hassler, V.; Lugmayr, W.;
System Sciences, 2000. Proceedings of the 33rd Annual Hawaii International Conference on
4-7 Jan. 2000 Page(s):9 pp.
[AbstractPlus](#) | Full Text: [PDF\(116 KB\)](#) IEEE CNF
- ☐ **10. Relational profiling: enabling thread-level parallelism in virtual machines**
Heil, T.; Smith, J.E.;
Microarchitecture, 2000. MICRO-33. Proceedings. 33rd Annual IEEE/ACM International Symposium on
10-13 Dec. 2000 Page(s):281 - 290
[AbstractPlus](#) | Full Text: [PDF\(844 KB\)](#) IEEE CNF
- ☐ **11. Policy-based authentication and authorization: secure access to the network infrastructure**
Hayes, J.;
Computer Security Applications, 2000. ACSAC '00. 16th Annual Conference
11-15 Dec. 2000 Page(s):328 - 333
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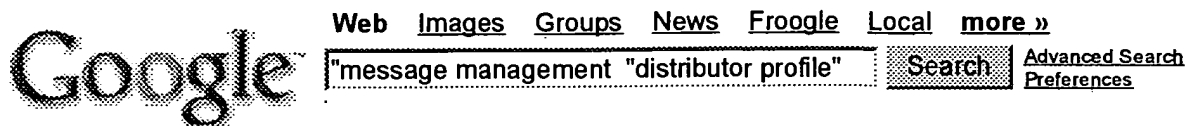
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EXAMINER	
CHANG, JUNGWON	
ART UNIT	PAPER NUMBER
2154	
DATE MAILED: 07/07/2005	

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/904,270	07/12/2001	Peter Henrik Pedersen	PHP-168	2027

TITLE OF INVENTION: PROFILE RESPONSIVE ELECTRONIC MESSAGE MANAGEMENT SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	10/07/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/904,270	07/12/2001	Peter Henrik Pedersen	PHP-168	2027

TITLE OF INVENTION: PROFILE RESPONSIVE ELECTRONIC MESSAGE MANAGEMENT SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	10/07/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
CHANG, JUNGWON	2154	709-206000

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- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/904,270	07/12/2001	Peter Henrik Pedersen	PHP-168	2027
24119	7590	07/07/2005	EXAMINER	
SHERMAN D PERINIA, ESQ., PC 1110 NASA ROAD ONE SUITE 450 HOUSTON, TX 77058-3310			CHANG, JUNGWON	
			ART UNIT	PAPER NUMBER
			2154	

DATE MAILED: 07/07/2005

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 865 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 865 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

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Notice of Allowability

Application No.

09/904,270

Examiner

Jungwon Chang

Applicant(s)

PEDERSEN, PETER HENRIK

Art Unit

2154

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 5/27/05.
2. ☒ The allowed claim(s) is/are 1, 5-14 and 17-23, now renumbered as 1-18.
3. ☐ The drawings filed on _____ are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received: _____.

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5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
6. ☒ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☒ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☒ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☒ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☒ Information Disclosure Statements (PTO-1449 or PTO/SB/08),
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of Biological Material
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PHP-168

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Date Considered

6/22/05

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

NOTICE OF DRAFTSPERSON'S PATENT DRAWING REVIEW

The drawing(s) filed (insert date) 7/12/12 are:

- A. ☒ approved by the Draftsperson under 37 CFR 1.84 or 1.152.
B. ☒ objected to by the Draftsperson under 37 CFR 1.84 or 1.152 for the reasons indicated below. Corrected drawings are required.

1. **DRAWINGS.** 37 CFR 1.84(a): Acceptable categories of drawings: Black ink or Color (3 sets required).

Color drawings are not acceptable until petition is granted. Fig(s) _____
Pencil and non black ink not permitted. Fig(s) _____

2. **PHOTOGRAPHS.** 37 CFR 1.84(b)

One (1) full-tone set is required. Fig(s) _____
Photographs may not be mounted. 37 CFR 1.84(e)
Photographs must meet paper size requirements of 37 CFR 1.84(f). Fig(s) _____
Poor quality (half-tone). Fig(s) _____

3. **TYPE OF PAPER.** 37 CFR 1.84(c)

Paper not flexible, strong, white, and durable. Fig(s) _____
Erasures, alterations, overwritings, interlineations, folds, copy machine marks not accepted. Fig(s) _____

4. **SIZE OF PAPER.** 37 CFR 1.84(f): Acceptable sizes:

21.0 cm by 29.7 cm (DIN size A4) or
21.6 cm by 27.9 cm (8 1/2 x 11 inches)
All drawing sheets not the same size.

Sheet(s) _____
Drawings sheets not an acceptable size. Fig(s) _____

5. **MARGINS.** 37 CFR 1.84(g): Acceptable margins:

Top 2.5 cm Left 2.5 cm Right 1.5 cm Bottom 1.0 cm

Margins not acceptable. Fig(s) _____
Top (T) Left (L)
Right (R) Bottom (B)

6. **VIEWS.** 37 CFR 1.84(h)

REMINDER: Specification may require revision to correspond to drawing changes, e.g., if Fig. 1 is changed to Fig. 1A, Fig. 1B and Fig. 1C, etc., the specification, at the Brief Description of the Drawings, must likewise be changed.

Views not labeled separately or properly. Fig(s) _____

7. **SECTIONAL VIEWS.** 37 CFR 1.84(h)(3)

Sectional designation should be noted with Arabic or Roman numbers. Fig(s) _____

8. **ARRANGEMENT OF VIEWS.** 37 CFR 1.84(i)

Words do not appear on a horizontal, left-to-right fashion when page is either upright or turned so that the top becomes the right side, except for graphs. Fig(s) _____

9. **SCALE.** 37 CFR 1.84(k)

Scale not large enough to show mechanism without crowding when drawing is reduced in size to two-thirds in reproduction. Fig(s) _____

10. **CHARACTER OF LINES, NUMBERS, & LETTERS.** 37 CFR 1.84(l)

Lines, numbers & letters not uniformly thick and well defined, clean, durable, and black (poor line quality). Fig(s) 1-8

11. **SHADING.** 37 CFR 1.84(m)

Solid black areas pale. Fig(s) _____
Solid black shading not permitted. Fig(s) _____

12. **NUMBERS, LETTERS, & REFERENCE CHARACTERS.** 37 CFR 1.84(p)

Numbers and reference characters not plain and legible. Fig(s) _____
Figure legends are poor. Fig(s) _____
Numbers and reference characters not oriented in the same direction as the view. 37 CFR 1.84(p)(1) Fig(s) _____
English alphabet not used. 37 CFR 1.84(p)(2) Fig(s) _____

Numbers, letters and reference characters must be at least 32 cm (1/8 inch) in height. 37 CFR 1.84(p)(3). Fig(s) _____

13. **LEAD LINES.** 37 CFR 1.84(q)

Lead lines missing. Fig(s) _____

14. **NUMBERING OF SHEETS OF DRAWINGS.** 37 CFR 1.84(t)

Sheets not numbered consecutively, and in Arabic numbers beginning with number 1. Sheet(s) _____

15. **NUMBERING OF VIEWS.** 37 CFR 1.84(u)

Views not numbered consecutively, and in Arabic numerals, beginning with number 1. Fig(s) _____

16. **DESIGN DRAWINGS.** 37 CFR 1.152

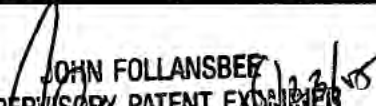
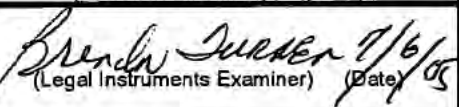
Surface shading shown not appropriate. Fig(s) _____
Solid black surface shading is not permitted except when used to represent the color black as well as color contrast. Fig(s) _____

COMMENTS:

Reviewer [Signature]
If you have questions, call (703) 305-8404.

Date 6/23/05
Attachment to Paper No. _____

Issue Classification 	Application/Control No.	Applicant(s)/Patent under Reexamination	
	09/904,270	PEDERSEN, PETER HENRIK	
	Examiner	Art Unit	
	Jungwon Chang	2154	

ISSUE CLASSIFICATION									
ORIGINAL				CROSS REFERENCE(S)					
CLASS	SUBCLASS			CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)				
709	206			707	104.1				
INTERNATIONAL CLASSIFICATION									
G	0	6	F	15/16					
				/					
				/					
				/					
				/					
 Jungwon Chang 6/22/2005 (Assistant Examiner) (Date)				 JOHN FOLLANSBEE SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 2100 (Primary Examiner) (Date)				Total Claims Allowed: 18	
 Brenda Swearer 7/6/05 (Legal Instruments Examiner) (Date)								O.G. Print Claim(s) 1	O.G. Print Fig. 4

☐ Claims renumbered in the same order as presented by applicant										☐ CPA		☐ T.D.		☐ R.1.47		
Final	Original		Final	Original		Final	Original		Final	Original		Final	Original		Final	Original
1	1		31			61			121			151			181	
2	2		32			62			122			152			182	
3	3		33			63			123			153			183	
4	4		34			64			124			154			184	
5	5		35			65			125			155			185	
6	6		36			66			126			156			186	
7	7		37			67			127			157			187	
8	8		38			68			128			158			188	
9	9		39			69			129			159			189	
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13	13		43			73			133			163			193	
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22	22		52			82			142			172			202	
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24	24		54			84			144			174			204	
25	25		55			85			145			175			205	
26	26		56			86			146			176			206	
27	27		57			87			147			177			207	
28	28		58			88			148			178			208	
29	29		59			89			149			179			209	
30	30		60			90			150			180			210	

Index of Claims



Application/Control No.

09/904,270

Examiner

Jungwon Chang

Applicant(s)/Patent under
Reexamination

PEDERSEN, PETER HENRIK

Art Unit

2154

✓ Rejected

= Allowed

— (Through numeral)
Cancelled

+ Restricted

N Non-Elected

I Interference

A Appeal

O Objected

Claim		Date									
Final	Original	6/22/05									
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Search Notes

Application/Control No.

09/904,270

Examiner

Jungwon Chang

Applicant(s)/Patent under
Reexamination

PEDERSEN, PETER HENRIK

Art Unit

2154

SEARCHED

Class	Subclass	Date	Examiner
709	206	6/22/2005	JWC
707	104.1	6/22/2005	JWC

INTERFERENCE SEARCHED

Class	Subclass	Date	Examiner
709	206	6/22/2005	JWC
707	104.1	6/22/2005	JWC

**SEARCH NOTES
(INCLUDING SEARCH STRATEGY)**

	DATE	EXMR
East text search NPL: Google ACM IEEE searched by inventor's name in PALM search report attached	6/22/2005	JWC

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****Serial No.: 09/904,270**

§

Applicant: Pedersen, P.H.

§

Filing Date: 12 July 2001

§

Art Unit: 2154

§

Examiner: Chang, J.

§

Docket No.: PHP-168

§

Box ISSUE FEE

§

Assistant Commissioner for Patents

§

Washington, D.C. 20231

§

§

§

§

§

Reply to Notice of Allowability with Issue Fee Payment
and Amendment under 37 CFR §1.111

Dear Sir:

Responsive to the Notice of Allowability due 7 October 2005, Applicant submits the enclosed materials, including Part B - Issue Fee Transmittal form and fee payment, and a separate paper to the Office Draftsman with formal drawing sheets. Applicant respectfully requests that the application be awarded Letters Patent.

The Amendment to the Drawings section of this Reply begins on page 2.

Certificate of Transmission Under 37CFR §1.08

I, Sherman D. Pernia, certify that this correspondence is being facsimile transmitted to the USPTO (571) 273-2885 on the date below.

Date: _____

Signed: _____

AMENDMENTS

In the Drawings:

Replacement formal drawing sheets are attached and submitted herein as required by the Office Draftsperson on form PTO 948/in the Notice of Allowability. There are no amendments to the subject matter content of the drawings and add no new matter to the application.

Attachments: Replacement drawings, 8 sheets.

REMARKS

Small Entity Status

Applicant notes that the Notice of Allowance and Fee Due form PTO-85 incorrectly lists Applicant as not a Small Entity. This is incorrect, as Applicant is a Small Entity and had asserted itself and continuously has been a small since its submission of its Utility Application Transmittal sheet upon filing of the instant application.

Therefore, on form PTO-85 and in paying the fee due, Applicant reasserts it is a Small Entity for fee purposes.

Drawings

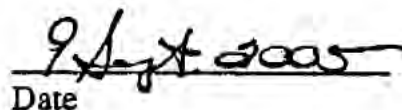
The Office Draftsman in form PTO 948 requires the correction of informalities and the submission of new drawings. Applicant complies with the Draftsman's requirement in a separate paper addressed to the Drawing Review Branch and filed concurrently herewith. The new formal drawings add no new matter to the application.

Applicant believes that the above amendment, remarks and the formal drawings submitted herewith are fully responsive to the Notice of Allowability mailed 7 October 2005.

Respectfully submitted,



Sherman D. Pernia
Registration No.: 34,404
281-335-4505


Date

AMENDMENTS

In the Drawings:

Replacement formal drawing sheets are attached and submitted herein as required by the Office Draftsperson on form PTO 948/in the Notice of Allowability. There are no amendments to the subject matter content of the drawings and add no new matter to the application.

Attachments: Replacement drawings, 8 sheets.

**REMARKS****Drawings**

The Office Draftsperson/Examiner in form PTO 948/the Notice of Allowability requires the correction of informalities and the submission of new drawings. Applicant complies with the Draftsman's/Examiner's requirement and submits herewith new formal drawings. The new formal drawings add no new matter to the application.

Applicant believes that the above amendment, remarks and the formal drawings submitted herewith are fully responsive to the form PTO 948/Notice of Allowability, and respectfully requests that the application be issued Letters Patent.

Respectfully submitted,


Sherman D. Pernia
Registration No. 34,404
281-335-4505

9 Sept. 2005
Date

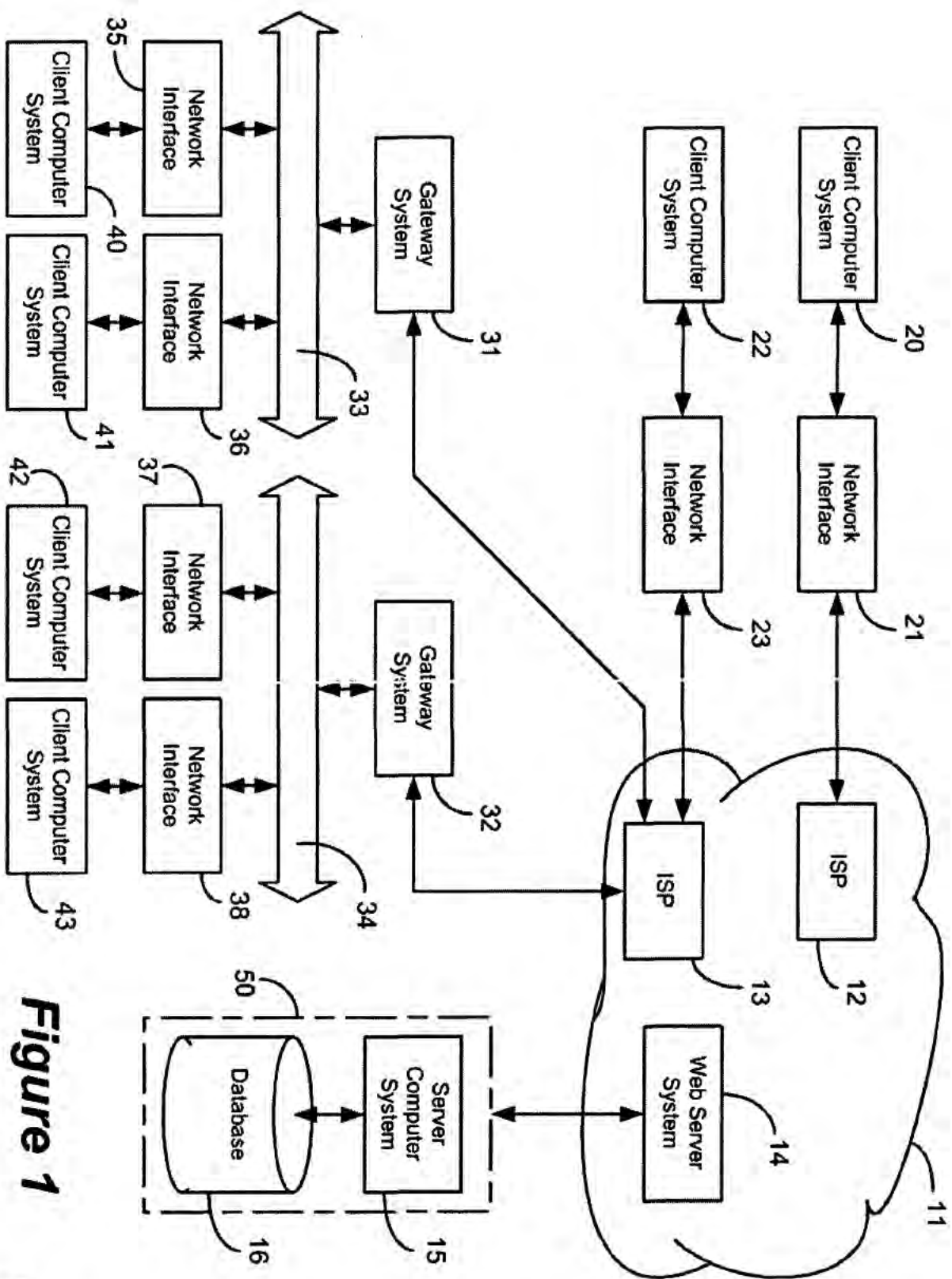


Figure 1



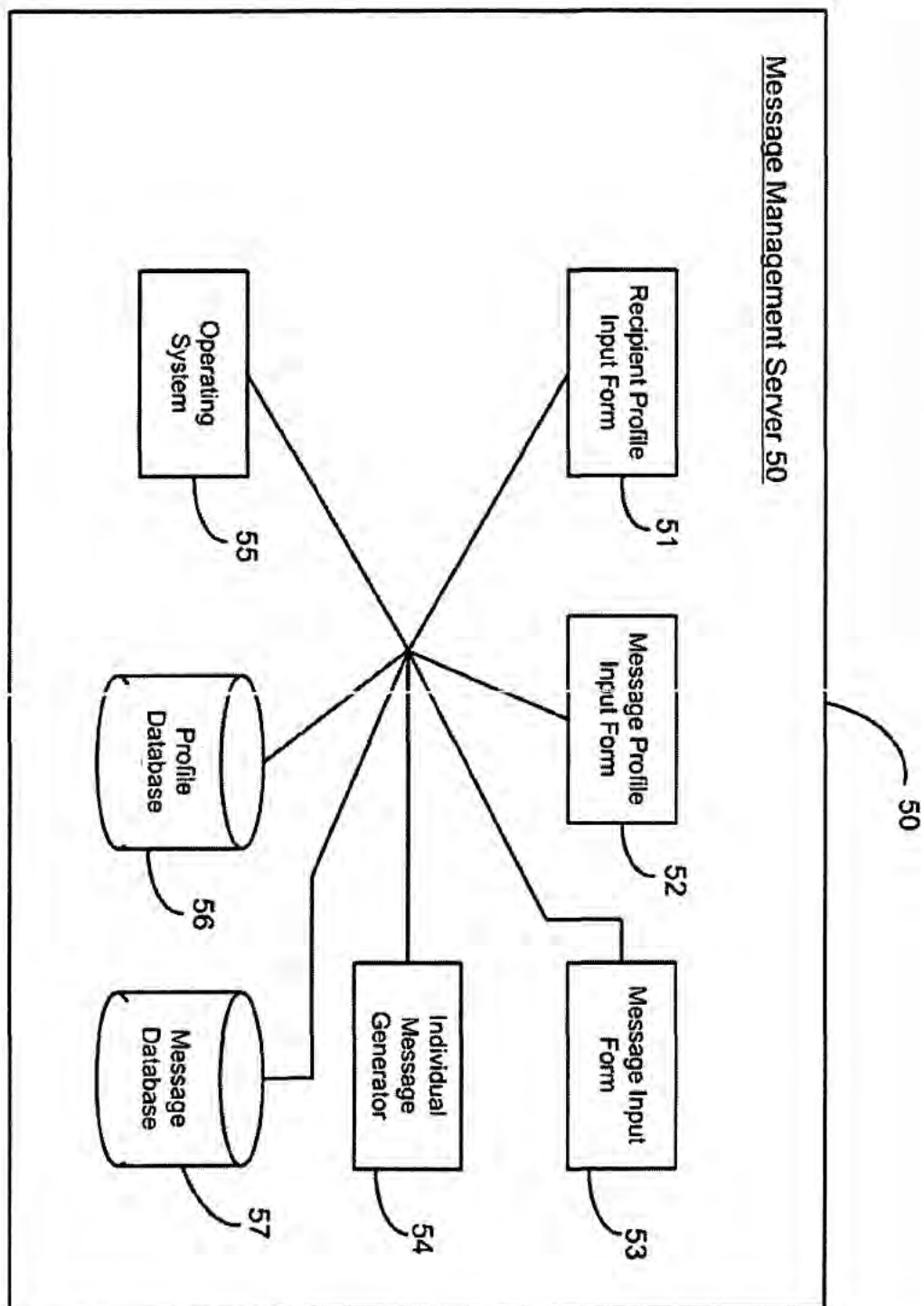
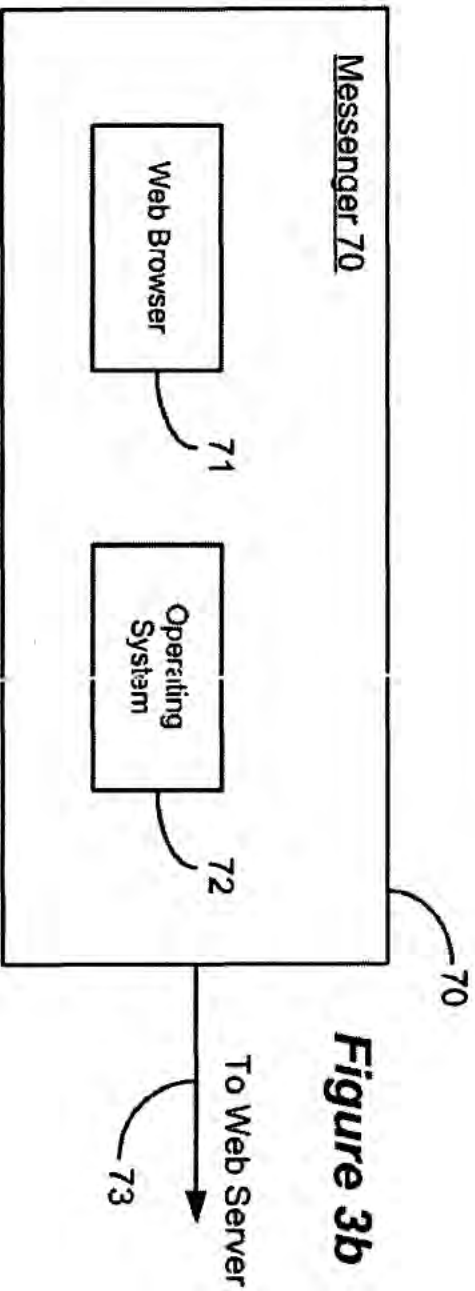
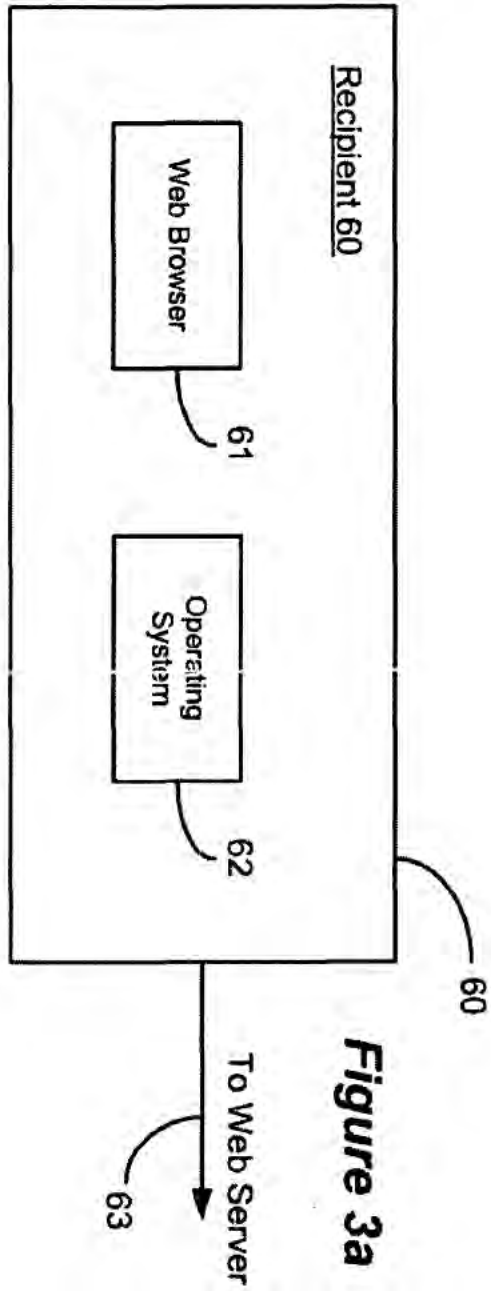


Fig. 2







Message Management Server 1000
Principal Flow Chart

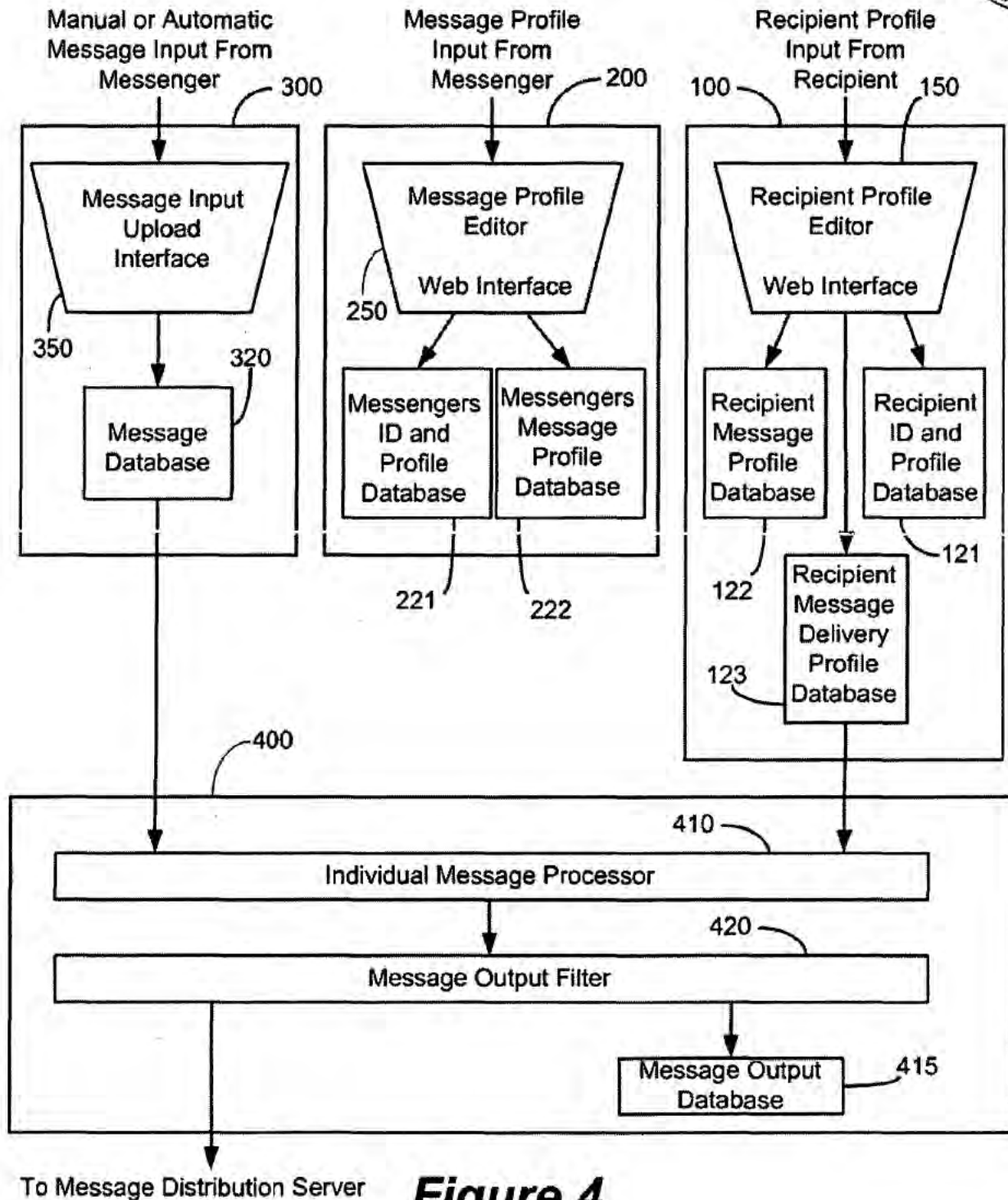


Figure 4



Figure 5

Multi Source Message Management System

Data Flow for Creating
Recipient Message Profiles

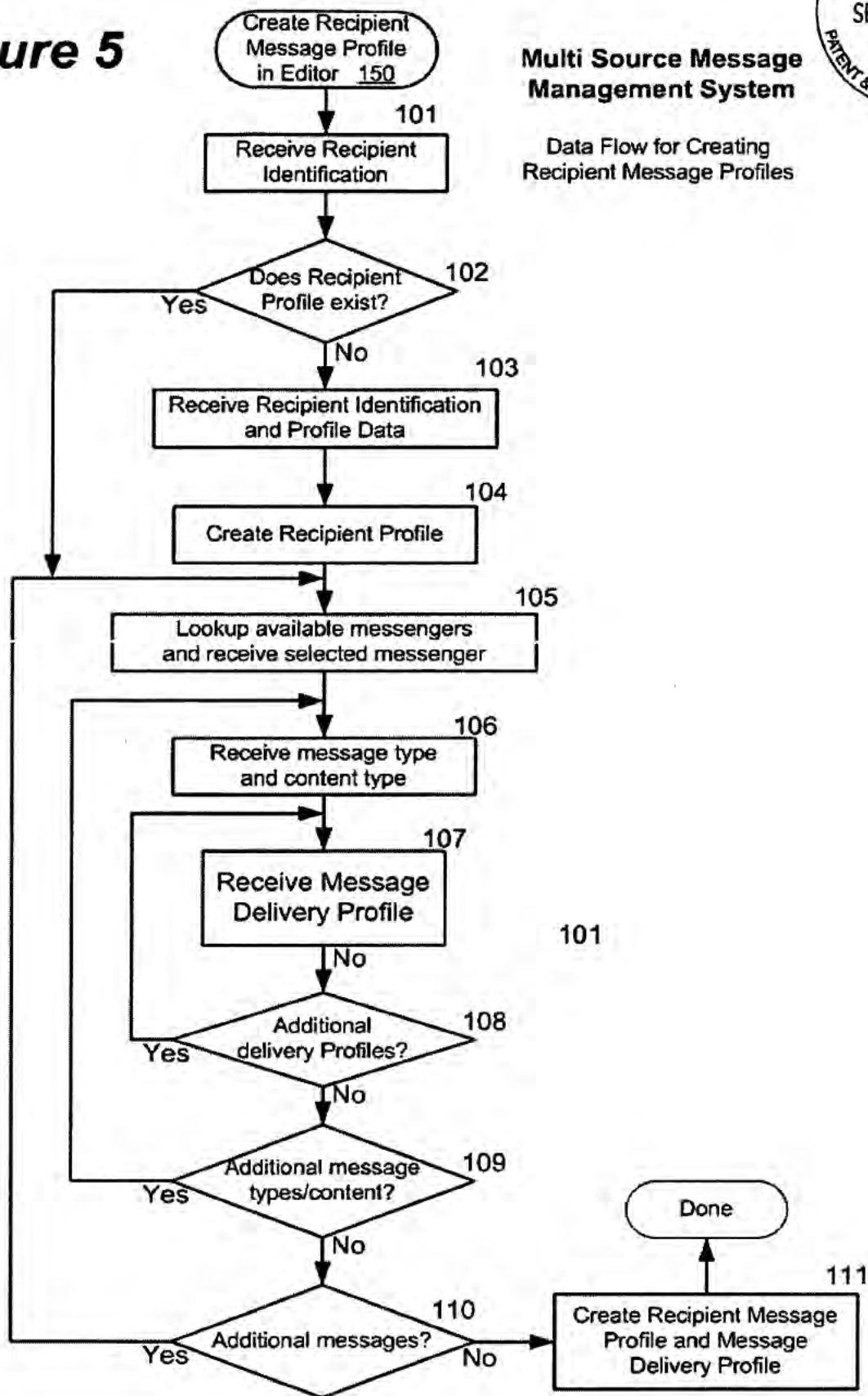
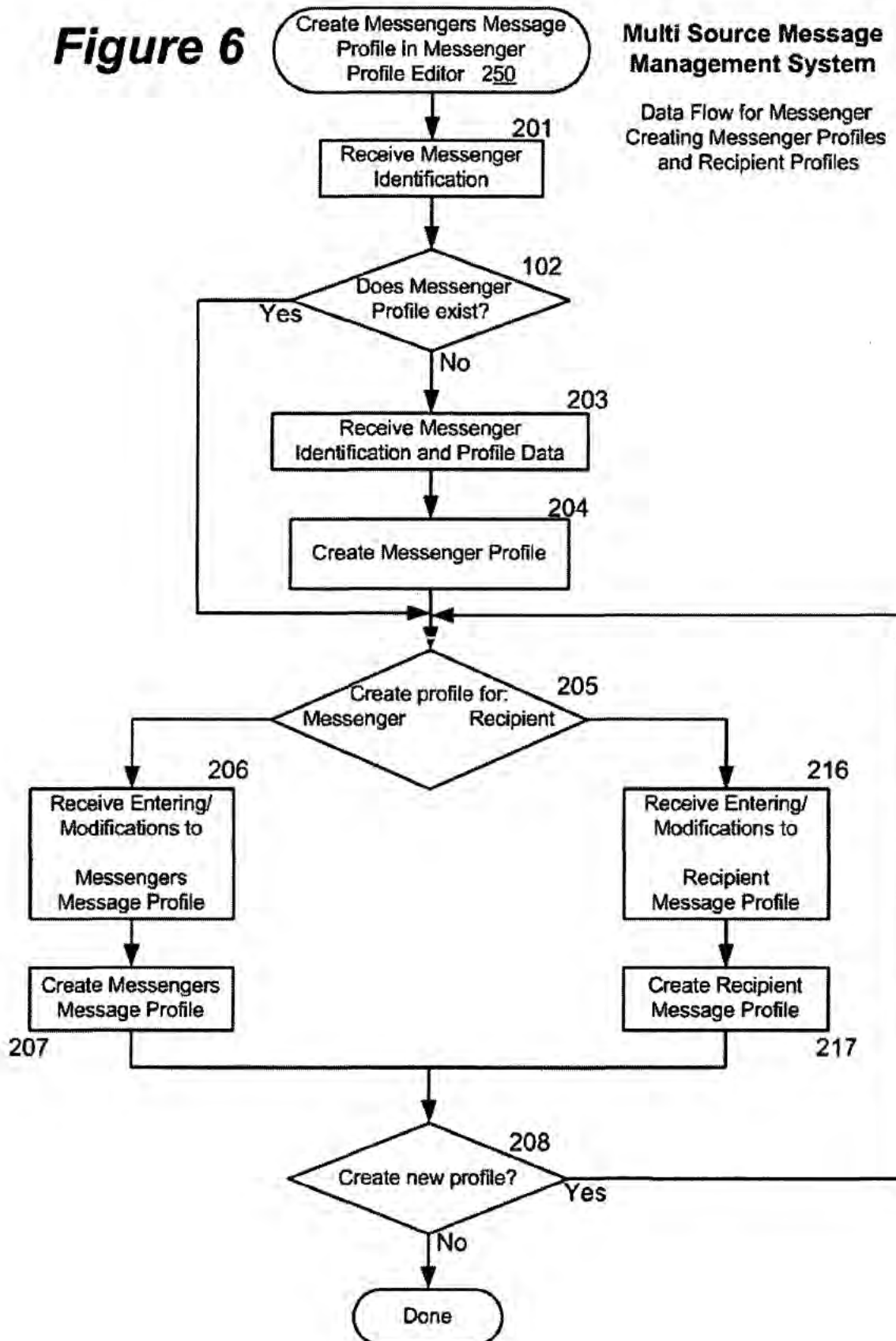




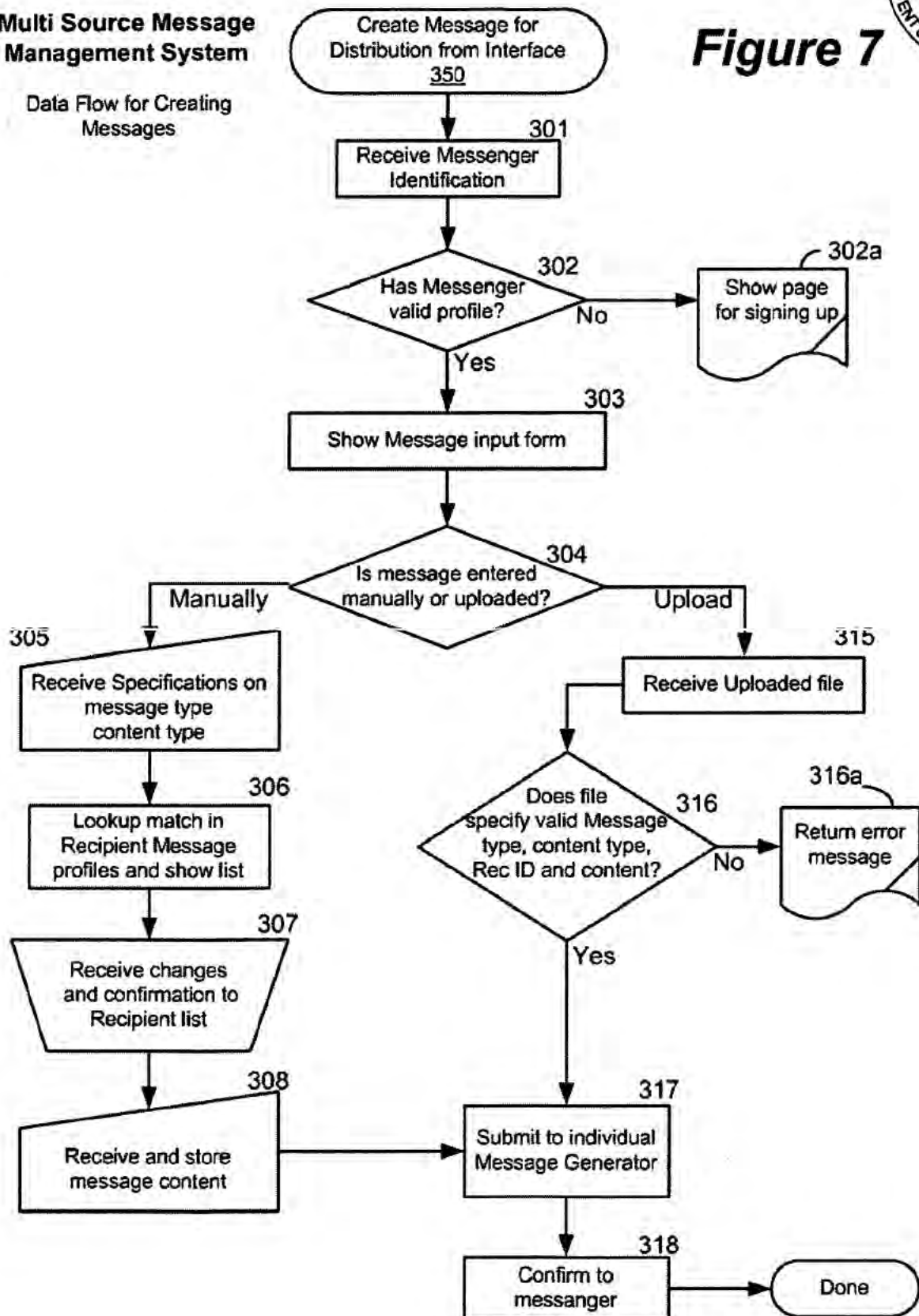
Figure 6

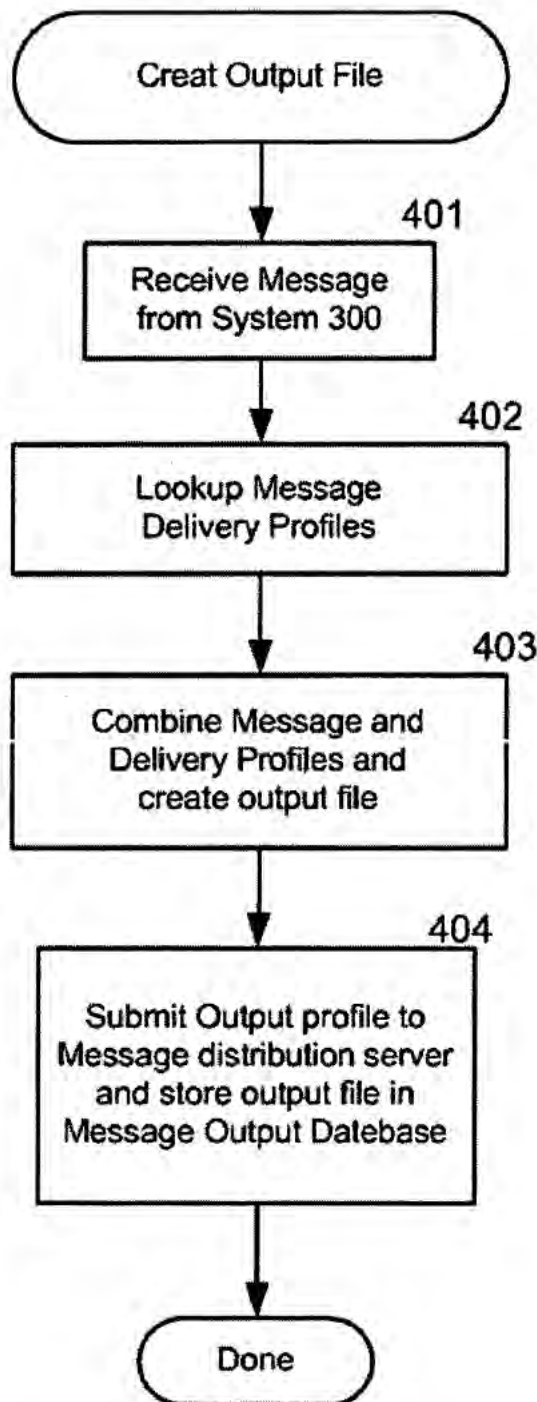


**Multi Source Message
Management System**

Data Flow for Creating
Messages

Figure 7





Multi Source Message Management System

Generate output file in system 400 Individual Message Generator

Figure 8



PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 3 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (From Use Block 1 for any change of address)

24119 7190 07/07/2005

SHERMAN D PERNIA, ESQ., PC

1110 NASA ROAD ONE

SUITE 450

HOUSTON, TX 77058-3316 09/14/2005 HGUTEM2 00000007 09904270

01 FC:2501

02 FC:1504

700.00 0
300.00 0

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Lorraine Beekman (Depositor's name)
Lorraine Beekman (Signature)
9 Sept. 2005 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/04/270	07/12/2001	Peter Henrik Pedersen	PHP-168	2027

TITLE OF INVENTION: PROFILE RESPONSIVE ELECTRONIC MESSAGE MANAGEMENT SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$500	\$1900	10/07/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
CHANG, JUNGWON	2154	709-206000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Sherman D. Pernia

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY AND STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☐ Advance Order - # of Copies

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☒ Payment by credit card. Form PTO-2038 is attached.☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).

5. Change in Entry Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Sherman D. Pernia

Date

9 Sept. 2005

Typed or printed name

Sherman D. Pernia

Registration No.

34,404

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Oracle Exhibit 1002



**CERTIFICATE OF FIRST CLASS MAILING
UNDER 37 CFR §1.8**

I, Lorraine Beahan, certify that this document is being deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450" on or before the date below.

Date: September 9, 2005 Signed: Lorraine Beahan

Docket No. PHP-168 Serial No. 09/904,270



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/804,270
	Filing Date	12 July 2001
	First Named Inventor	Padersen, P.H.
	Art Unit	2154
	Examiner Name	Chang, J.
Total Number of Pages in This Submission	Attorney Docket Number	PHP-168

ENCLOSURES (Check all that apply)		
☐ Fee Transmittal Form ☐ Fee Attached ☒ Amendment/Reply ☐ After Final ☐ Affidavit/declaration(s) ☐ Extension of Time Request ☐ Express Abandonment Request ☐ Information Disclosure Statement ☐ Certified Copy of Priority Document(s) ☐ Reply to Missing Parts/ Incomplete Application ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53	☒ Drawing(s) ☐ Licensing-related Papers ☐ Petition ☐ Petition to Convert to a Provisional Application ☐ Power of Attorney, Revocation ☐ Change of Correspondence Address ☐ Terminal Disclaimer ☐ Request for Refund ☐ CD, Number of CD(s) _____ ☐ Landscape Table on CD	☐ After Allowance Communication to TC ☐ Appeal Communication to Board of Appeals and Interferences ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) ☐ Proprietary Information ☐ Status Letter ☒ Other Enclosure(s) (please identify below): - Rule 8/10 Certificate - form PTO-65, part B - form PTO-2038
Remarks - This communication is a Reply to Notice of Allowability, and fee payment by credit card.		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Sherman D. Pernia, Esq.	
Signature		
Printed name	Sherman D. Pernia	
Date	8 September 2005	Reg. No. 34,404

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature		
Typed or printed name	Lorraine Beahan	Date 9 Sept. 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Oracle Exhibit 1002

Page 144 of 220

[w]

● PRINTER RUSH ●

(PTO ASSISTANCE)

Application : <u>09/904270</u>	Examiner : <u>Chang</u>	GAU : <u>2154</u>
From : <u>TW</u>	Location: IDC FMF <u>FDC</u>	Date: <u>10-12-02</u>
Tracking #: <u>EPM</u>		Week Date: _____

DOC CODE	DOC DATE	MISCELLANEOUS
☐ 1449	_____	☐ Continuing Data
☐ IDS	_____	☐ Foreign Priority
☐ CLM	_____	☐ Document Legibility
☐ IIFW	_____	☐ Fees
☐ SRFW	_____	☐ Other
☒ DRW	<u>9-12-02</u>	
☐ OATH	_____	
☐ 312	_____	
☐ SPEC	_____	

Attn: Chief Draftsperson

[RUSH] MESSAGE: _____

The Formal Drawings have a white horizontal line running through the figures. Should these drawings be corrected?

Please advise

Thank You
TW

[XRUSH] RESPONSE: SEE ATTACHMENT

**DRAWINGS CORRECTED*

INITIALS: 87

NOTE: This form will be included as part of the official USPTO record, with the Response document coded as XRUSH.
REV 10/04

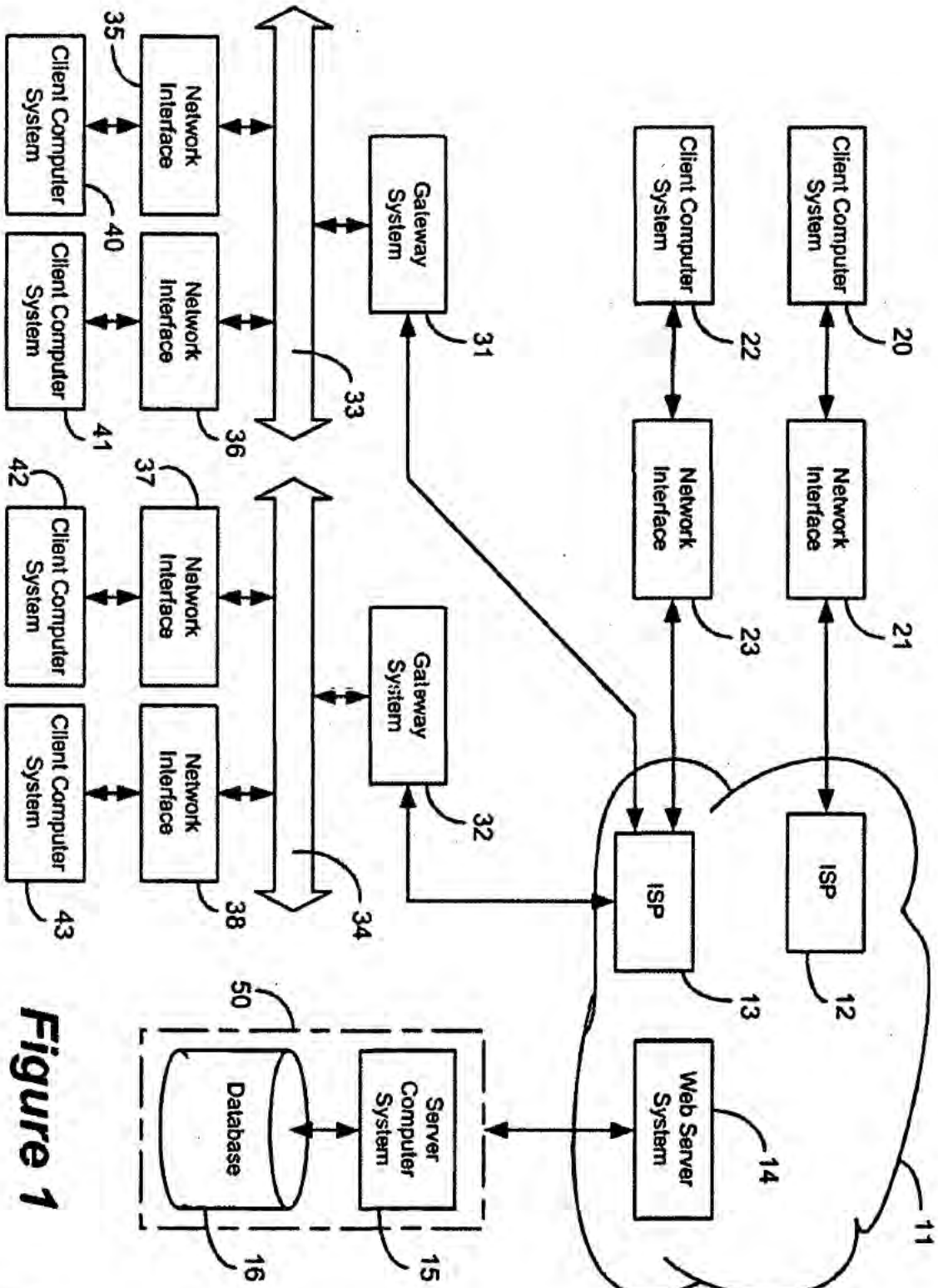


Figure 1



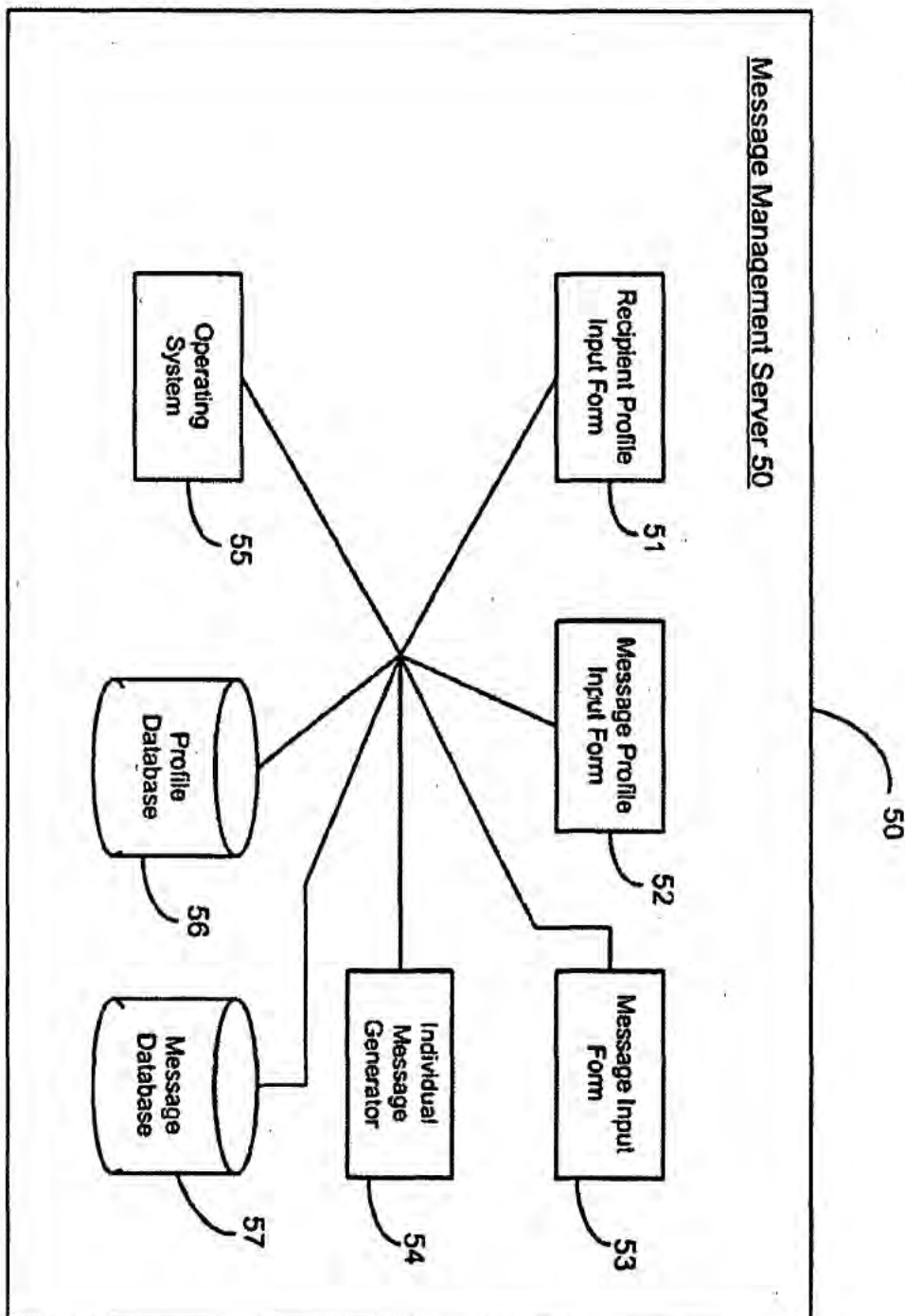
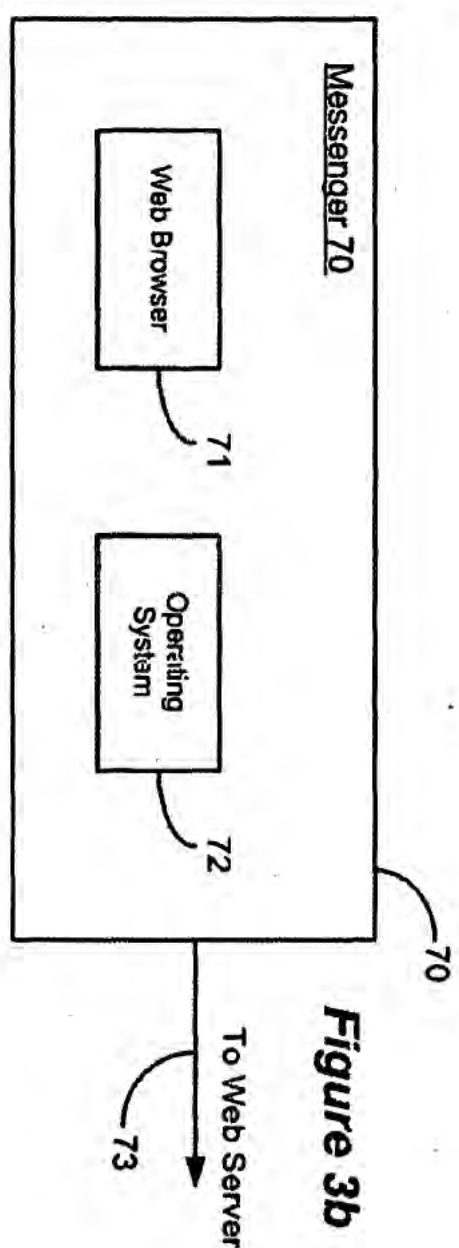
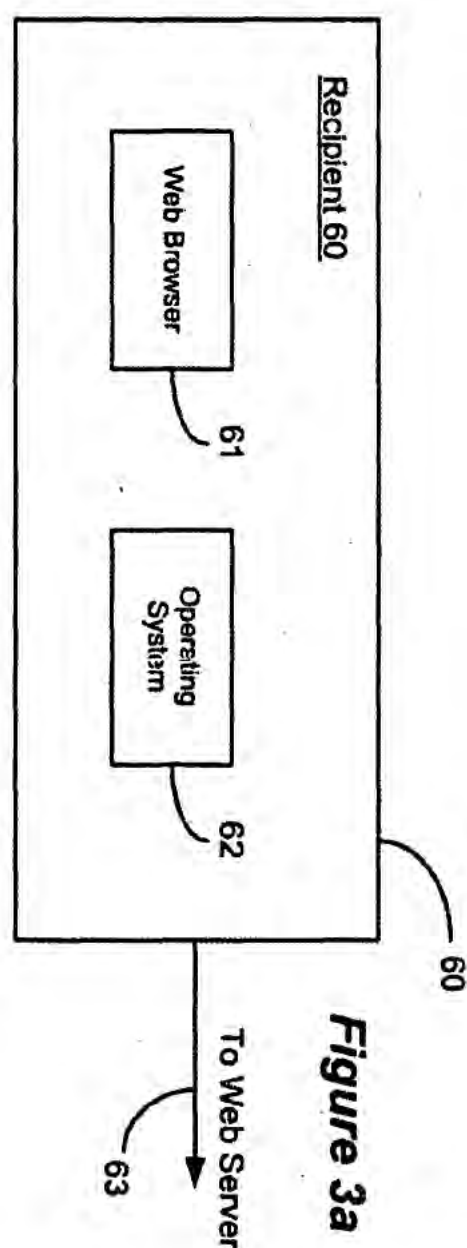


Fig. 2







Message Management Server 1000
Principal Flow Chart

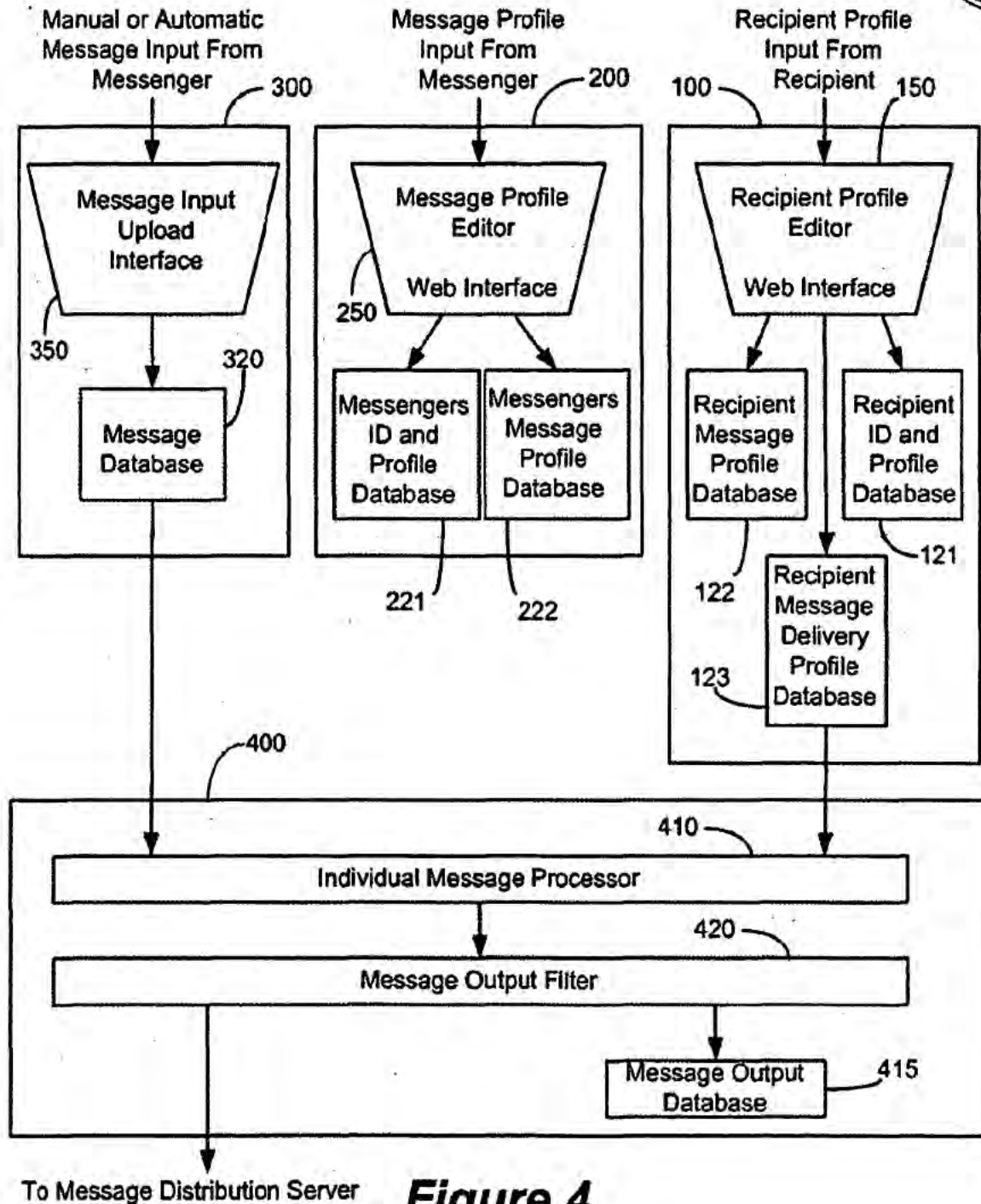


Figure 4



Figure 5

Multi Source Message Management System

Data Flow for Creating Recipient Message Profiles

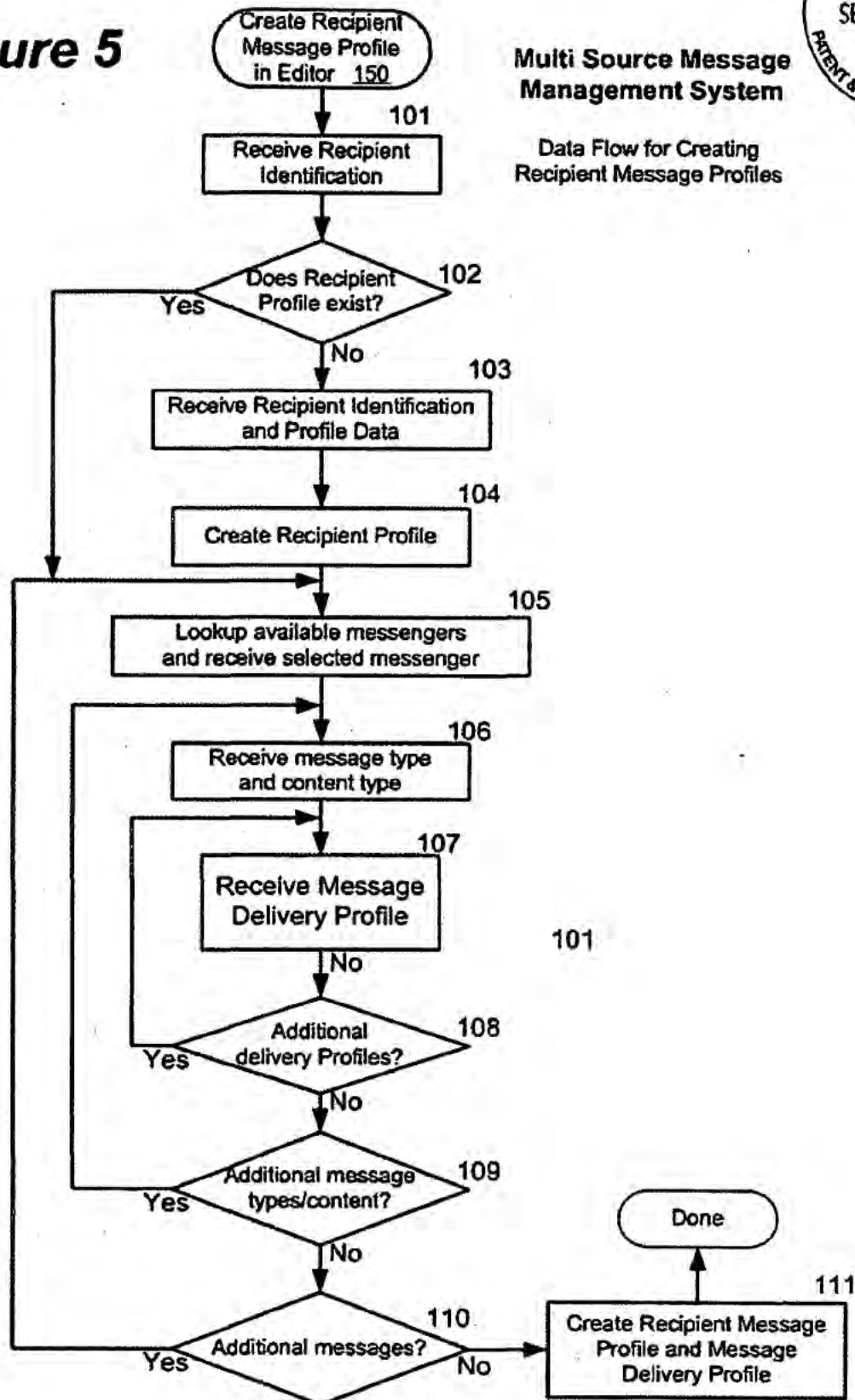
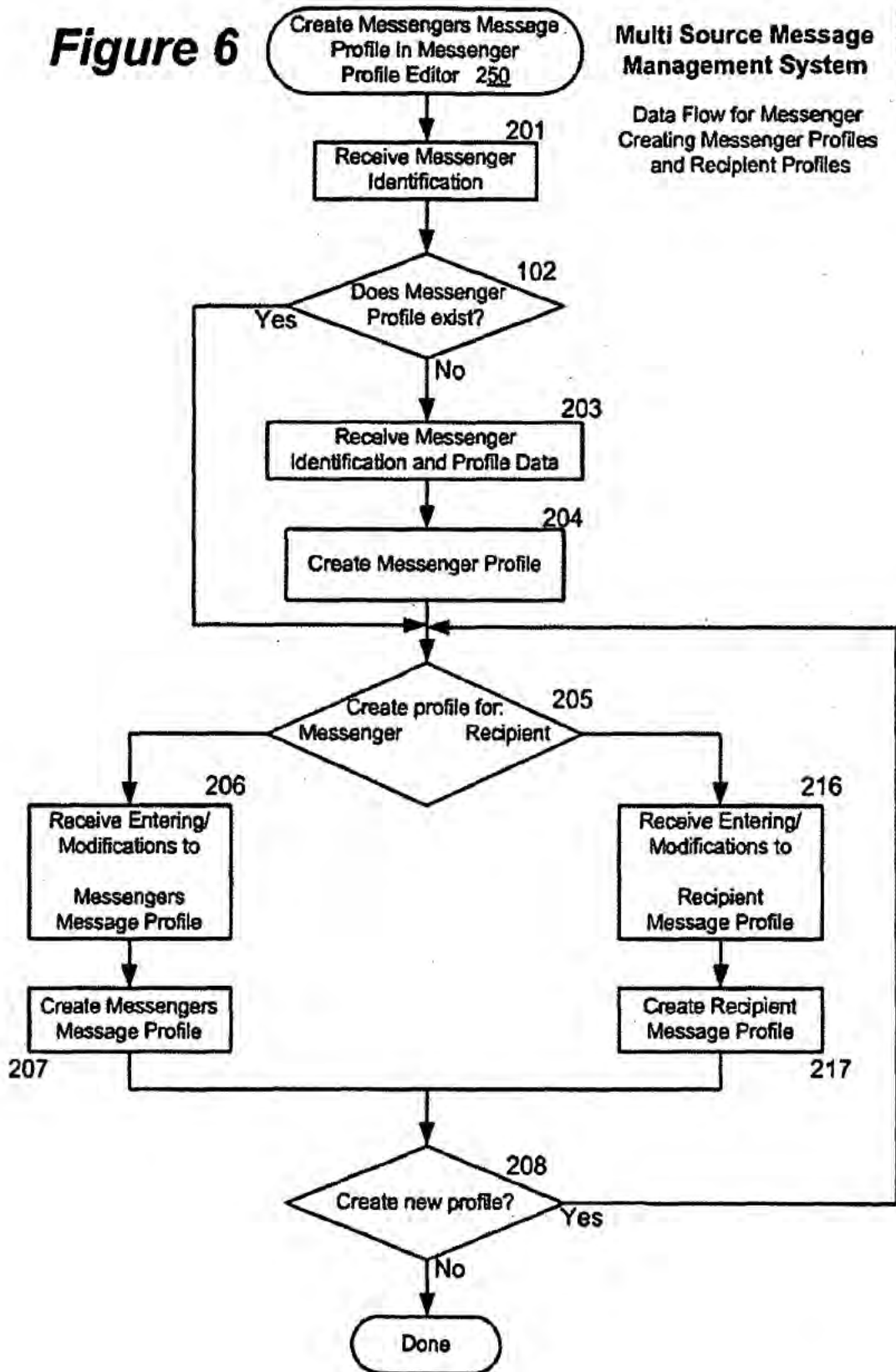




Figure 6

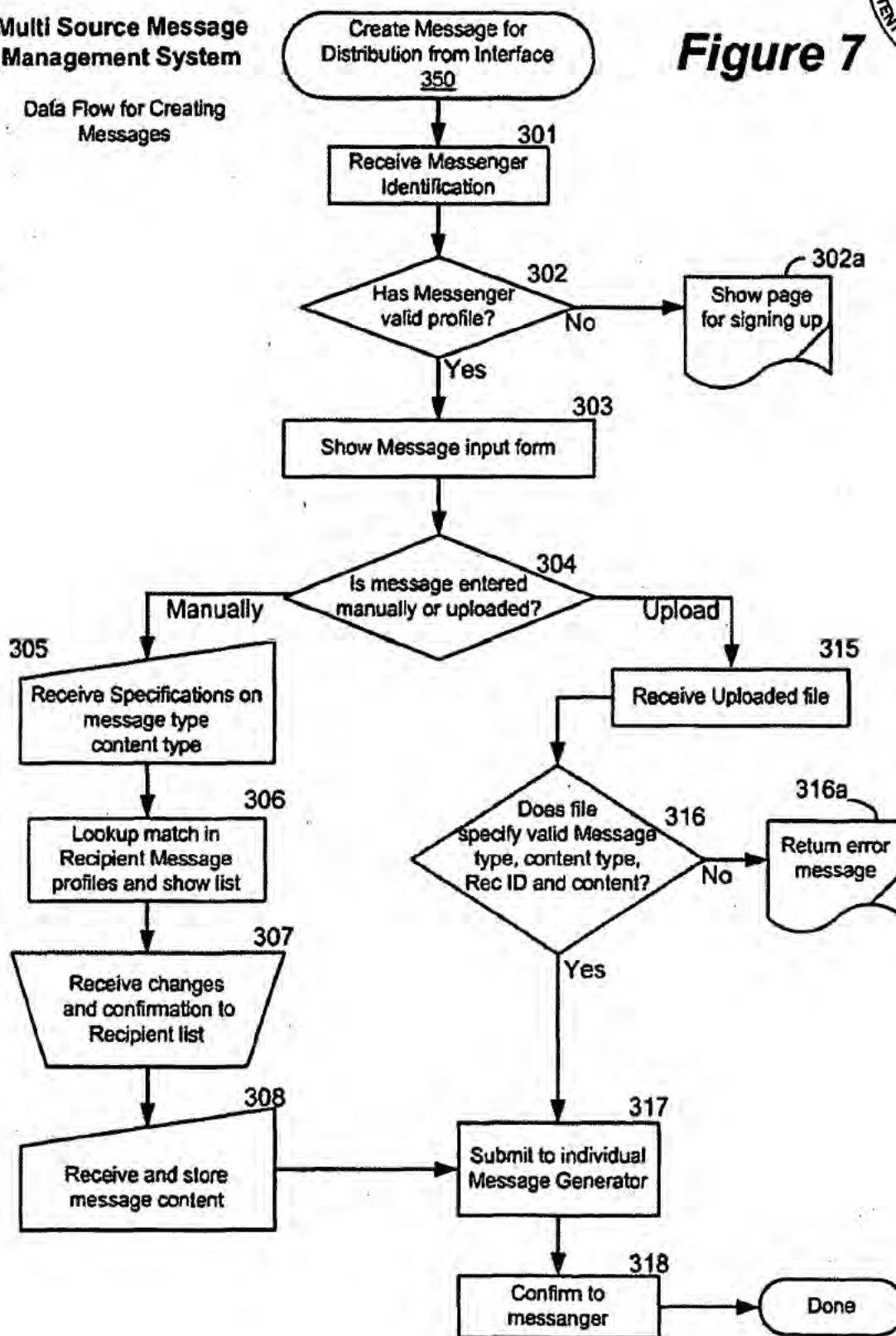


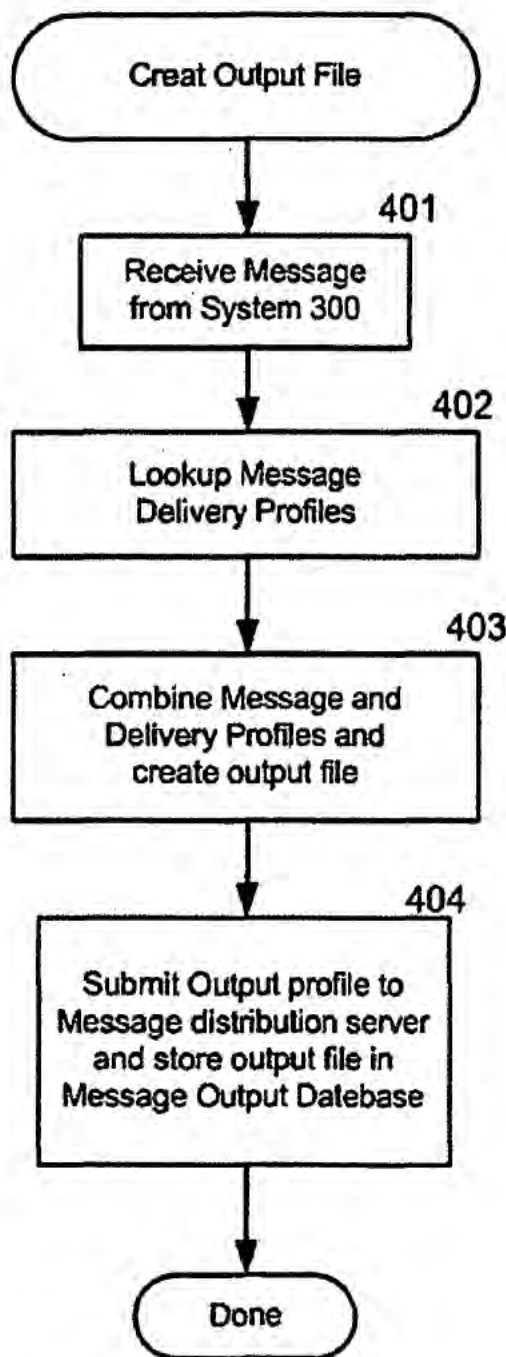


**Multi Source Message
Management System**

Data Flow for Creating
Messages

Figure 7





Multi Source Message Management System

Generate output file in system 400 Individual Message Generator

Figure 8

PETITION TO ACCEPT UNINTENTIONALLY DELAYED PAYMENT OF MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b))

Patent Number	Issue Date (YYYY-MM-DD)	Application Number	Filing Date (YYYY-MM-DD)	Docket Number (if applicable)
6965920	2005-11-15	09904270	2001-07-12	PHP-168

CAUTION: Maintenance fee payment must correctly identify: (1) the patent number and (2) the application number of the actual U.S. application leading to issuance of that patent to ensure the fee(s) is/are associated with the correct patent. 37 CFR 1.366(c) and (d).

SMALL ENTITY

☐ Patentee claims, or has previously claimed, small entity status. See 37 CFR 1.27.

LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

☐ Patentee is no longer entitled to small entity status. See 37 CFR 1.27(g)

NOT Small Entity

	Fee	Code
☐	3 ½ year	(1551)
☒	7 ½ year	(1552)
☐	11 ½ year	(1553)

Small Entity

	Fee	Code
☐	3 ½ year	(2551)
☐	7 ½ year	(2552)
☐	11 ½ year	(2553)

PETITION FEE

The petition fee required by 37 CFR 1.17(m) (Fee Code 1558 /2558) must be paid as a condition of accepting unintentionally delayed payment of the maintenance fee.

MAINTENANCE FEE (37 CFR 1.20(e)-(g))

The appropriate maintenance fee must be submitted with this petition.

STATEMENT

THE UNDERSIGNED CERTIFIES THAT THE DELAY IN PAYMENT OF THE MAINTENANCE FEE TO THIS PATENT WAS UNINTENTIONAL

PETITIONER(S) REQUEST THAT THE DELAYED PAYMENT OF THE MAINTENANCE FEE BE ACCEPTED AND THE PATENT REINSTATED

THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES

37 CFR 1.378(c) states: "Any petition under this section must be signed in compliance with 37 CFR 1.33(b)."

I certify, in accordance with 37 CFR 1.4(d)(4) that I am

- ☐ An attorney or agent registered to practice before the Patent and Trademark Office
- ☒ A sole patentee
- ☐ A joint patentee; I certify that I am authorized to sign this submission on behalf of all the other patentees as evidenced by the power of attorney in the application
- ☐ A joint patentee; all of whom are signing this e-petition
- ☐ The assignee of record of the entire interest that qualifies as an authorized party under 37 CFR 1.33(b)

Sole Patentee

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

Signature	/peter/	Date (YYYY-MM-DD)	2014-05-05
Name	Peter Henrik Pedersen		

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Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal

Application Number:	09904270			
Filing Date:	12-Jul-2001			
Title of Invention:	PROFILE RESPONSIVE ELECTRONIC MESSAGE MANAGEMENT SYSTEM			
First Named Inventor/Applicant Name:	Peter Henrik Pedersen			
Filer:	Peter Henrik Pedersen			
Attorney Docket Number:	PHP-168			
Filed as Large Entity				
Utility under 35 USC 111(a) Filing Fees				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Maintenance Fee Due at 7.5 years	1552	1	3600	3600
Pet. Delay Pymt Maintain Patent in Force	1558	1	1700	1700
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				5300



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Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
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www.uspto.gov

In re Patent No. 6965920
Issue Date: November 15, 2005
Application No. 09904270
Filed: July 12, 2001
Attorney Docket No. PHP-168

:DECISION GRANTING PETITION
:UNDER 37 CFR 1.378(b)

This is a decision on the electronic petition, filed May 5, 2014, under 37 CFR 1.378(b) to accept the unintentionally delayed payment of the 7.5 year maintenance fee for the above-identified patent.

The petition is **GRANTED**.

The maintenance fee is accepted, and the above-identified patent reinstated as of May 5, 2014. This decision also constitutes notice that the fee has been accepted. An electronic copy of the petition and this decision has been created as an entry in the Image File Wrapper. Nevertheless, petitioner should print and retain an independent copy.

Telephone inquiries related to this electronic decision should be directed to the Electronic Business Center at 1-866-217-9197.

Electronic Acknowledgement Receipt

EFS ID:	18936505
Application Number:	09904270
Patent Number:	6965920
Confirmation Number:	2027
Petition Issued Date:	May 5,2014
Title of Invention:	PROFILE RESPONSIVE ELECTRONIC MESSAGE MANAGEMENT SYSTEM
First Named Inventor/Applicant Name:	Peter Henrik Pedersen
Customer Number:	24119
Filer:	Peter Henrik Pedersen
Filer Authorized By:	
Attorney Docket Number:	PHP-168
Receipt Date:	05-MAY-2014
Filing Date:	12-JUL-2001
Time Stamp:	10:10:15
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$5300
RAM confirmation Number	9246
Deposit Account	
Authorized User	

File Listing:

Oracle Exhibit 1002

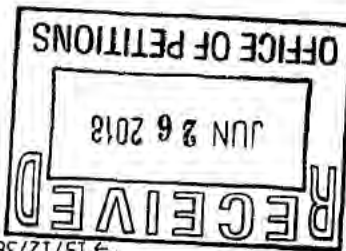
Page 160 of 220

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Petition automatically granted by EFS	sb66final.pdf	765158 73197cf4b23354b9b01adc60c97fadd157d4dd9b	no	3
Warnings:					
Information:					
2	Fee Worksheet (SB06)	fee-info.pdf	31498 fac5ed3e000135dba512c198c94cfbb44d9ee0cc	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			796656		
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.					

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PTO/5056 (7-1-08)

PETITION TO ACCEPT UNINTENTIONALLY DELAYED PAYMENT OF MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b))
Page 1 of 3
Pocket Number (Optional)

Mail to: Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Fax: (571) 273-4300
NOTE: If information or assistance is needed in completing this form, please contact the Office of Petitions at (571) 272-3282.

Patent No. **6965920**
Application Number **09904270**
Issue Date **11/15/2005**
Filing Date **07/12/2001**

CAUTION: Maintenance fee payment must correctly identify: (1) the patent number (or reissue patent number, if a reissue) and (2) the application number of the actual U.S. application (or reissue application) leading to issuance of that patent to ensure the fee(s) is/are associated with the correct patent. 37 CFR 1.366(c) and (d).

The above-identified patent
☐ is a reissue of original Patent No. _____
original application number _____
original filing date _____
☐ resulted from the entry into the U.S. under 35 U.S.C. 371 of international application _____
Filed on _____

CERTIFICATE OF MAILING (37 CFR 1.841)
I hereby certify that this paper ("along with any paper referred to as being attached or enclosed") is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Date

Signature

Typed or Printed Name of Person Signing Certificate

(Page 1 of 3)
The collection of information is required by 37 CFR 1.378(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 172 and 37 CFR 1.11, 1.14 and 1.16. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. There will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
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**PETITION TO ACCEPT UNINTENTIONALLY DELAYED PAYMENT
OF MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b))**

Page 2 of 3

1. SMALL ENTITY☐ Patentee asserts, or has previously asserted, small entity status. See 37 CFR 1.27.**2. LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS**☐ Patentee is no longer entitled to small entity status. See 37 CFR 1.27(g).**3. MICRO ENTITY**☐ Patentee certifies, or has previously certified, micro entity status. See 37 CFR 1.29. Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.**4. LOSS OF ENTITLEMENT TO MICRO ENTITY STATUS**☐ Patentee is no longer entitled to micro entity status. See 37 CFR 1.29(i).**5. MAINTENANCE FEE (37 CFR 1.20(e)-(g))**

The appropriate maintenance fee must be submitted with this petition, unless it was paid earlier.

Undiscounted			Small Entity			Micro Entity		
Amount	Fee	(Code)	Amount	Fee	(Code)	Amount	Fee	(Code)
☐ \$	3% yr fee	(1551)	☐ \$	3% yr fee	(2551)	☐ \$	3% yr fee	(3551)
☐ \$	7% yr fee	(1552)	☐ \$	7% yr fee	(2552)	☐ \$	7% yr fee	(3552)
☒ \$ 7400	11% yr fee	(1553)	☐ \$	11% yr fee	(2553)	☐ \$	11% yr fee	(3553)

MAINTENANCE FEE BEING SUBMITTED \$ 7400

6. PETITION FEE

The petition fee required by 37 CFR 1.17(m) of:

\$ 2000 Undiscounted (Fee Code 1558); or

\$ Small Entity (Fee Code 2558); or

\$ Micro Entity (Fee Code 3558)

must be paid as a condition of accepting an unintentionally delayed payment of a maintenance fee. (Note: There is currently no micro entity amount for the petition fee.)

PETITION FEE BEING SUBMITTED \$ 9400

7. MANNER OF PAYMENT☒ Enclosed is a check for the sum of \$ WIRE (see attached receipt) for 7400 USD.☐ Please charge Deposit Account No. _____ the sum of \$ _____☐ Payment by credit card. Form PTO-2038 is attached.☐ Payment made via EFS-Web.**8. AUTHORIZATION TO CHARGE ANY FEE DEFICIENCY**☐ The Director is hereby authorized to charge any maintenance fee or petition deficiency to Deposit Account No. _____

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**PETITION TO ACCEPT UNINTENTIONALLY DELAYED PAYMENT
OF MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b))**

Page 3 of 3 - MAIN

9. OVERPAYMENT

As to any overpayment made, please

☐ Credit to Deposit Account No. _____

OR

☐ Send refund check**WARNING:**

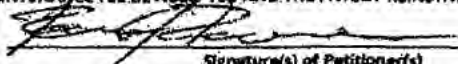
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information, such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form (PTO-2038) submitted for payment purposes), is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms (PTO-2038) submitted for payment purposes are not retained in the application file and therefore are not publicly available.

10. STATEMENT

The delay in payment of the maintenance fee for this patent was unintentional.

11. PETITIONER(S) REQUEST THAT THE DELAYED PAYMENT OF THE MAINTENANCE FEE BE ACCEPTED AND THE PATENT REINSTATED.**May 8th 2018**

Date



Signature(s) of Petitioner(s)

Peter Pedersen

Typed or Printed Name(s)

Registration Number, if applicable

+45 28872860

Telephone Number

Gammel Hareskovvej 285,

Address

Vaerløse, DK-3500, Denmark

Address

37 CFR 1.378(c) states: "Any petition under this section must be signed in compliance with § 1.33(b)."

12. ENCLOSURES☒ Maintenance Fee Payment☒ Petition fee under 37 CFR 1.17(m) (fee for filing the maintenance fee petition)☐

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→ 15712738300

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Betalingsoversigt - betalingsdetaljer for betaling til udlandet

Betaling til udlandet

Overførelsestype:

Betalingsnummer:

3812408119

Ordregiver / Afsender

Hæves på:

Virksomhedskonto 8874 541 654

Tekst på eget kontoudskrift:

Betaltes på vegne af:

COCOPELLI A/S

PETER H PEDERSEN

GAMMEL HÅRESKØVEJ 285, HÅRESKOV

3500 VÆRLØSE

Kontaktperson i tilfælde af spørgsmål:

Kontaktes via (e-mail eller telefonnr.):

Anlagt af aftalenummer:

0011608571

SMS godkendt af aftalenummer:

0011608571

Beløbsmodtager

Navn og adresse:

USPTO

2051 Jamieson Avenue, Suite 300
Alexandria, VA 22314

Meddelelse til beløbsmodtager:

Maint+Delayed payment fees for
pat: 6965920, app: 09904270
phone: +4528872860

Beløbsmodtagers konto:

13100001

Beløbsmodtagers bankforbindelse

Bankens Swift adresse:

Bankens navn og adresse:

TREAS NYC

FUNDS TRANSFER DIVISION

Bankens land og landekode:

USA, US

Bankens Bankkode:

021030004

Betalingsoplysninger

Beløb:

-9.400,00

Beløbets valuta:

USD, US dollars

Overføres i valuta:

USD, US dollars

Betalingsdato:

07-05-2018

Betalingshyppighed:

1, Engangsbetaling

Omkostninger

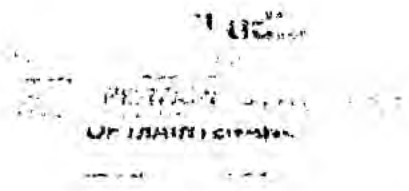
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Document code: WFEE

United States Patent and Trademark Office
Sales Receipt for Accounting Date: 02/08/2019

GARIAS	SALE	#00000003	Mailroom Dt:	05/08/2018	09904270
		01	FC : 1553	7,400.00	OP

Document code: WFEE

United States Patent and Trademark Office
Sales Receipt for Accounting Date: 02/08/2019

GARIAS	ADJ #00000003	Mailroom Dt: 05/09/2018	
	Seq No: 1	Sales Acctg Dt: 05/17/2018	09904270
	01 FC : 1599	-9380.00	OP

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CENTRAL FAX CENTER

AUG 24 2018

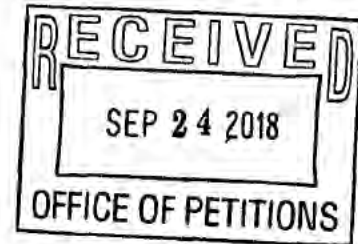
Peter Pedersen

Emne:

Patent no: 6965920 - Patent Expired Due to NonPayment

FAX +1 571 273 8300 – 5 pages
To:

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



Dear Sir/Ms.

On May 8th, 2018 and again on May 15th 2018 I have sent to you by fax – the attached form for "Petition to accept unintentionally delayed payment of maintenance fee in an expired patent" together with bank receipt for the payment of the fee: 9400USD.

The fax was confirmed received by your office by phone same day as they were sent. And your office have also by phone on June 20th confirmed that the payment were received and registered on my patent.

However I can see that the Patent still has the status: Patent Expired Due to NonPayment of Maintenance Fees Under 37 CFR 1.362

Can you please let me know if anything else are missing and/or what I can do from here in order to have my patent reinstated again.

I can be reached
by email: pp@cocopelli.com
By phone: +45 2887 2860
By fax: +45 38 34 82 39

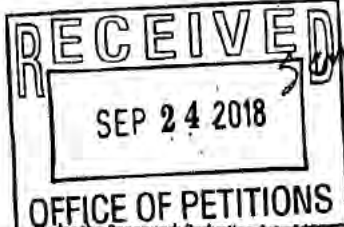
Thank you in advance

Best regards
Peter Pedersen
pp@cocopelli.com
Phone: +45 38 88 83 83
Fax: +45 38 34 82 39
Mobile: +45 28 87 28 60
Cocopelli A/S
Gammel Hareskovvej 285
DK-3500 Værløse
Copenhagen, Denmark

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SEP 24 2018
PM 12:41
U.S. PATENT & TRADEMARK OFFICE

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AUG 24 2018



PETITION TO ACCEPT UNINTENTIONALLY DELAYED PAYMENT OF
MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b))

Page 1 of 3

Docket Number (Optional)

Mail to: Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Fax: (571) 273-8300

571 272 3282

NOTE: If information or assistance is needed in completing this form, please contact the Office of Petitions at (571) 272-3282.

Patent No. 6965920

Application Number 09904270

Issue Date 11/15/2005

Filing Date 07/12/2001

CAUTION: Maintenance fee payment must correctly identify: (1) the patent number (or reissue patent number, if a reissue) and (2) the application number of the actual U.S. application (or reissue application) leading to issuance of that patent to ensure the fee(s) is/are associated with the correct patent. 37 CFR 1.366(c) and (d).

Also complete the following information, if applicable.

The above-identified patent:

☐ is a reissue of original Patent No. _____ original issue date _____

original application number _____

original filing date _____

☐ resulted from the entry into the U.S. under 35 U.S.C. 371 of international application _____

filed on _____

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (* along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Date _____

Signature _____

Typed or Printed Name of Person Signing Certificate _____

[page 1 of 3]

This collection of information is required by 37 CFR 1.378(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-5199 and select option 2.

Fax producing team

571 273 8300

1846 217 9177

*1 571 272 4100

EBC

AUG 24 2018

PTO/SB/66 (01-18)

Approved for use through 07/31/2018. OMB 0651-0016

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION TO ACCEPT UNINTENTIONALLY DELAYED PAYMENT
OF MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b))**

Page 2 of 3

1. SMALL ENTITY

☐ Patentee asserts, or has previously asserted, small entity status. See 37 CFR 1.27.

2. LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

☐ Patentee is no longer entitled to small entity status. See 37 CFR 1.27(g).

3. MICRO ENTITY

☐ Patentee certifies, or has previously certified, micro entity status. See 37 CFR 1.29
Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.

4. LOSS OF ENTITLEMENT TO MICRO ENTITY STATUS

☐ Patentee is no longer entitled to micro entity status. See 37 CFR 1.29(i).

5. MAINTENANCE FEE (37 CFR 1.20(e)-(g))

The appropriate maintenance fee must be submitted with this petition, unless it was paid earlier.

Undiscounted			Small Entity			Micro Entity		
Amount	Fee	(Code)	Amount	Fee	(Code)	Amount	Fee	(Code)
☐ \$ _____	3% yr fee	(1551)	☐ \$ _____	3% yr fee	(2551)	☐ \$ _____	3% yr fee	(3551)
☐ \$ _____	7% yr fee	(1552)	☐ \$ _____	7% yr fee	(2552)	☐ \$ _____	7% yr fee	(3552)
☒ \$ 7400	11% yr fee	(1553)	☐ \$ _____	11% yr fee	(2553)	☐ \$ _____	11% yr fee	(3553)

MAINTENANCE FEE BEING SUBMITTED \$ 7400

6. PETITION FEE

The petition fee required by 37 CFR 1.17(m) of:

\$ 2000 Undiscounted (Fee Code 1558); or

\$ _____ Small Entity (Fee Code 2558); or

\$ _____ Micro Entity (Fee Code 3558)

must be paid as a condition of accepting an unintentionally delayed payment of a maintenance fee. (Note: There is currently no micro entity amount for the petition fee.)

PETITION FEE BEING SUBMITTED \$ 9400

7. MANNER OF PAYMENT

☒ Enclosed is a check for the sum of \$ WIRE (see attached receipt) for 9400 USD.

☐ Please charge Deposit Account No. _____ the sum of \$ _____

☐ Payment by credit card. Form PTO-2038 is attached.

☐ Payment made via EFS-Web.

8. AUTHORIZATION TO CHARGE ANY FEE DEFICIENCY

☐ The Director is hereby authorized to charge any maintenance fee or petition deficiency to Deposit Account No. _____

USPTO
RECEIVED
DIVISION

AUG 19 PM 12:41

Fax must get 15:24 15.5.18 Kc. 12.20

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**PETITION TO ACCEPT UNINTENTIONALLY DELAYED PAYMENT
OF MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b))**

Page 3 of 3

9. OVERPAYMENT

As to any overpayment made, please

☐ Credit to Deposit Account No. _____

OR

☐ Send refund check

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information, such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form (PTO-2038) submitted for payment purposes), is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms (PTO-2038) submitted for payment purposes are not retained in the application file and therefore are not publicly available.

10. STATEMENT

The delay in payment of the maintenance fee for this patent was unintentional.

11. PETITIONER(S) REQUEST THAT THE DELAYED PAYMENT OF THE MAINTENANCE FEE BE ACCEPTED AND THE PATENT REINSTATED.

May 8th 2018

Date



Signature(s) of Petitioner(s)

Peter Pedersen

Typed or Printed Name(s)

Registration Number, if applicable

+45 28872860

Telephone Number

Gammel Hareskovvej 285,

Address

Vaerløse, DK-3500, Denmark

Address

37 CFR 1.378(c) states: "Any petition under this section must be signed in compliance with § 1.33(b)."

12. ENCLOSURES

☒ Maintenance Fee Payment

☒ Petition fee under 37 CFR 1.17(m) (fee for filing the maintenance fee petition)

☐

RECEIVED
JUL 10 2018
PTO

Betalingsoversigt - betalingsdetaljer for betaling til udlandet**Betaling til udlandet**

Overførelsestype:

Betalingsnummer:

3812408119

Ordregiver / Afsender

Hæves på:

Virksomhedskonto 8874 541 654

Tekst på eget kontoudskrift:

Betaltes på vegne af:

COCOPELLI A/S
PETER H PEDERSEN
GAMMEL HARESKOVVEJ 285, HARESKOV
3500 VÆRLØSE

Kontaktperson i tilfælde af spørgsmål:

Kontaktes via (e-mail eller telefonnr.):

Anlagt af aftalenummer:

0011606571

SMS godkendt af aftalenummer:

0011606571

Beløbsmodtager

Navn og adresse:

USPTO
2051 Jamieson Avenue, Suite 300
Alexandria, VA 22314

Meddelelse til beløbsmodtager:

Maint+Delayed payment fees for
pat. 6965920, app. 09804270
phone: +4528872860

Beløbsmodtagers konto:

13100001

Beløbsmodtagers bankforbindelse

Bankens Swift adresse:

Bankens navn og adresse:

TREAS NYC
FUNDS TRANSFER DIVISION

Bankens land og landekode:

USA, US

Bankens Bankkode:

021030004

Betalingsoplysninger

Beløb:

-9.400,00

Beløbets valuta:

USD, US dollars

Overføres i valuta:

USD, US dollars

Betalingsdato:

07-05-2018

Betalingshyppighed:

1, Engangsbetaling

Omkostninger

Afsender skal betale bankomkostninger i Danmark, modtager i den udenlandske bank

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SEP 06 2010

FAX: +1 571 273 0021

PTO/AIA/123 (06-12)

Approved for use through 01/31/2018. OMB 0651-0035
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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CHANGE OF CORRESPONDENCE ADDRESS Patent

Address to:
Mail Stop Post Issue
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Patent Number	6965920
Issue Date	November 15, 2005
Application Number	09904270
Filing Date	July 12, 2001
First Named Inventor	Peter Pedersen
Attorney Docket Number	PHP-168

Please change the Correspondence Address for the above-identified patent to:

☐ The address associated with Customer Number:

OR

☒ **Firm or Individual Name** Peter Pedersen

Gammel Hareskovvej 285

Address

City Veerlose **State** **ZIP** DK-3500

Country Denmark

Telephone +45 2887 2880

Email pp@cocopelli.com

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

This form will not affect any "fee address" provided for the above-identified patent. To change a "fee address" use the "Fee Address Indication Form" (PTO/SB/47).

I am the:

☒ Patentee.

☐ If the Patentee was not the applicant for patent (37 CFR 1.42), then a Statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is enclosed or was filed on _____ See 37 CFR 3.71.

☐ Attorney or agent of record. Registration Number _____

☐ Patent practitioner acting in a representative capacity whose correspondence address is the correspondence address of record. Notice has been given to the patentee or owner. Registration Number _____

Signature

Typed or Printed Name Peter H. Pedersen

Date 06 September 2018

Telephone +45 2887 2880

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Post Issue, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



FAX: +1 571 273 0021

m

PTO/AIA/123 (08-12)

Approved for use through 01/31/2018. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**CHANGE OF
CORRESPONDENCE ADDRESS
Patent**

Address to:
Mail Stop Post Issue
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Patent Number	6965920
Issue Date	November 15, 2005
Application Number	09904270
Filing Date	July 12, 2001
First Named Inventor	Peter Pedersen
Attorney Docket Number	PHP-168

Please change the Correspondence Address for the above-identified patent to:

☐ The address associated with Customer Number:**OR**☒ **Firm or Individual Name** Peter Pedersen

Gammel Hareskovvej 285

Address**City** Vaerloese**State****ZIP** DK-3500**Country** Denmark**Telephone** +45 2887 2860**Email** pp@cocopelli.com

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

This form will not affect any "fee address" provided for the above-identified patent. To change a "fee address" use the "Fee Address Indication Form" (PTO/SB/47).

I am the:

☒ Patentee.☐ If the Patentee was not the applicant for patent (37 CFR 1.42), then a Statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is enclosed or was filed on _____. See 37 CFR 3.71.☐ Attorney or agent of record. Registration Number _____☐ Patent practitioner acting in a representative capacity whose correspondence address is the correspondence address of record. Notice has been given to the patentee or owner. Registration Number _____**Signature****Typed or Printed Name** Peter H. Pedersen**Date** 06 September 2018**Telephone** + 45 2887 2860

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Mail Stop Post Issue, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/904,270	07/12/2001	Peter Henrik Pedersen	PHP-168	2027

7590 12/14/2018
PETER PEDERSEN
GAMMEL HARESKOWEJ 285
VAERLOESE, DK-3500
DENMARK

EXAMINER

CHANG, JUNGWON

ART UNIT	PAPER NUMBER
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2154

MAIL DATE	DELIVERY MODE
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12/14/2018

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

In re Patent No. 6,965,920
Issue Date: November 15, 2005
Application No. 09/904,270
Filed: July 12, 2001
Attorney Docket No. PHP-168

DECISION ON PETITION

This is a decision on the petition under 37 CFR 1.378(b), filed May 8, 2018, to accept the unintentionally delayed payment of a maintenance fee for the above-identified patent.

The petition is **DISMISSED**.

If reconsideration of this decision is desired, a petition for reconsideration under 37 CFR 1.378(d) must be filed within **TWO (2) MONTHS** from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. This is **not** a final agency action within the meaning of 5 U.S.C. § 704.

A petition to accept the unintentionally delayed payment of a maintenance fee under 35 U.S.C. 41(c) and 37 CFR 1.378(b) must be accompanied by:

- (1) a statement that the delay was unintentional;
- (2) payment of the appropriate maintenance fee, unless previously submitted;
- (3) payment of the petition fee set forth in 37 CFR 1.17(m).

Where there is a question as to whether the delay in payment of the maintenance fee under 35 U.S.C. 41(c) and 37 CFR 1.378(b) was unintentional, the Director may require additional information. This petition lacks item(s) (2) and/or (3) above.

With respect to item(s) (2) and/or (3): According to Office records, the petition was accompanied by a fee of \$9380. The petition indicates \$7400 for the 11.5 maintenance fee and \$2000 for the petition fee. The submission submitted May 8, 2018, was deficient by \$20. The current 11.5 maintenance fee and petition fee total is \$9400. In this regard, an additional **\$20** is due with any request for reconsideration. No consideration can be given until the required fee deficiency is remitted.

Since fees are subject to change, petitioner is encouraged to contact the Office prior to submitting any future fees. The Office of Petitions telephone number is (571) 272-3282, the USPTO website is www.uspto.gov.

Petitioner states a fax was received confirming payment of the wire transfer in the amount of \$9400. However, **your bank may charge a fee**, and your sending bank may use an intermediary bank to transfer the fund (and the intermediary bank may withhold their fee from the payment that is transmitted). Petitioner is encouraged to ensure the banks did not **withhold their payment** from the fee submitted.

Petitioner has appointed a representative to conduct all business before the U.S. Patent and Trademark Office (Office). The Office will not engage in dual correspondence with petitioner and petitioner's representative. Accordingly, petitioner must conduct all future correspondence with this Office through

Art Unit: OPET

the representative of record. If petitioner no longer wishes to be represented by the representative of record, then a revocation of the power of attorney or patent agent should be submitted. A correspondence address must be included on the correspondence instructing the Office where all future communications are to be mailed. *See* 37 CFR 1.33(a).

Further correspondence with respect to this matter should be addressed as follows:

By hand: Customer Service Window
Mail Stop Petitions
Randolph Building
401 Dulany Street
Alexandria, VA 22314

By fax: (571) 273-8300
ATTN: Office of Petitions

By internet: EFS-Web¹

Any questions concerning this matter may be directed to the undersigned at (571) 272-6735.

/ Diane C. Goodwyn
Diane C. Goodwyn
Paralegal Specialist
Office of Petitions

¹ www.uspto.gov/ebc/efs_help.html (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)

Office of Petitions: Routing Sheet



Application No. 09904270

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application, as indicated below. For details of this decision, please see the document PET.OP.DEC filed on the same date as this document.

☐ **GRANTED**
☒ **DISMISSED**
☐ **DENIED**

Office of Petitions: Decision Count Sheet

Mailing Month

12

Application No.

09904270



For US serial numbers: enter number only, no slashes or commas. Ex: 10123456

For PCT: enter "51+single digit of year of filing+last 5 numbers", Ex. for PCT/US05/12345, enter 51512345

Deciding Official:

Goodwyn, Diane

Count (1) - Palm Credit

09904270

Decision:

DISMISSED

FINANCE WORK NEEDED

☐ Select Check Box for YES

Decision Type:

533 - 37 CFR 1.378(c) - TO ACCEPT UNINTENTIONAL DEI



Notes:

Count (2)

Decision:

n/a

FINANCE WORK NEEDED

☐ Select Check Box for YES

Decision Type:

NONE

Notes:

Count (3)

Decision:

n/a

FINANCE WORK NEEDED

☐ Select Check Box for YES

Decision Type:

NONE

Notes:

Initials of Approving Official (if required)

If more than 3 decisions, attach
2nd count sheet & mark this box ☐

Printed on:

12/12/2018

Office of Petitions Internal Document - Ver. 5.0

United States Patent and Trademark Office

- Sales Receipt -

01/17/2019 DALLEN 00000001 6965920

01 FC:1599 20.00 OP

Collections Information Repository (CIR)

Fedwire Detail Report

Voucher Details

Voucher Number	Voucher Date	Business Date	Voucher Type	Fedwire Count	ALC	Credit Ind	Voucher Amount
N/A	N/A	01/10/2019	N/A	1	13100001	Y	\$20.00

Message Summary: 1901100009851

Type/ Sub-Type	Agency Account ID	Cash Flow ID	Cash Flow Name	Fin Trans Status	Fin Trans Type	Settlement Date	Crd Ind	Message Amount	Trace Number
1000	813100001000	8131000010 00	PATENT AND TRADEMARK OFFICE	In-Process	Collection	01/10/2019	Y	\$20.00	20190110G1B76E2C000341

Message Details

Tag Label	Tag Name	Element Name	Element Value
1100	MSG-DISPOSITION	FORMAT-VERSION	30
		TEST-PRODUCTION-CODE	P
		MSG-STATUS-IND	N
1110	ACCEPTANCE-TIMESTAMP	ACCEPTANCE-DATE	0110
		ACCEPTANCE-TIME	0732
		ACCEPTANCE-APPL-ID	FT01
1120	OMAD	OUTPUT-CYCLE-DATE	20190110
		OUTPUT-DESTINATION-ID	MMQFMP60
		OUTPUT-SEQUENCE-NUMBER	000072
		OUTPUT-DATE	0110
		OUTPUT-TIME	0732
		OUTPUT-FRB-APPL-ID	FT01
1510	TYPE-SUBTYPE	TYPE-CODE	10
		SUBTYPE-CODE	00
1520	IMAD	INPUT-CYCLE-DATE	20190110
		INPUT-SOURCE	G1B76E2C
		INPUT-SEQUENCE-NUMBER	000341
2000	AMOUNT	AMOUNT	000000002000
3100	SENDER-DI	SENDER-DI-NUMBER	026001122
		SENDER-SHORT-NAME	NORTHERN INTL NYC
3320	SENDER-REFERENCE	SENDER-REFERENCE	2019010800240242
3400	RECEIVER-DI	RECEIVER-DI-NUMBER	021030004
		RECEIVER-SHORT-NAME	TREAS NYC
3600	BUSINESS-FUNCTION	BUSINESS-FUNCTION-CODE	CTP
3710	INSTRUCTED-AMOUNT	CURRENCY-CODE	USD
		INSTRUCTED-AMOUNT	20,00
4200	BENEFICIARY	BENEFICIARY-ID-CODE	D
		BENEFICIARY-IDENTIFIER	13100001
		BENEFICIARY-NAME	USPTO
		BENEFICIARY-ADDRESS-LINE1	2051 JAMIESON AVENUE, SUITE 300
		BENEFICIARY-ADDRESS-LINE2	ALEXANDRIA, VA 22314
4320	REF-FOR-BNF	BENEFICIARY-REF	3900714880
5000	ORIGINATOR	ORIGINATOR-ID-CODE	D
		ORIGINATOR-IDENTIFIER	DK3120005005987085
		ORIGINATOR-NAME	COCOPELLI A/S
		ORIGINATOR-ADDRESS-LINE1	PETER H PEDERSEN
		ORIGINATOR-ADDRESS-LINE2	GAMMEL HARESKOVVEJ 285, HARESKOV
		ORIGINATOR-ADDRESS-LINE3	DK 3500 VERLOSE
5100	ORIGINATOR-FI	ORIGINATOR-FI-ID-CODE	B
		ORIGINATOR-FI-IDENTIFIER	NDEADKKK
		ORIGINATOR-FI-NAME	NORDEA DANMARK, FILIAL AF NORDEA
		ORIGINATOR-FI-ADDRESS-LINE1	BANK ABP, FINLAND
		ORIGINATOR-FI-ADDRESS-LINE2	NOSTRO REC, 6973 VP MELDAHLSGADE 1
		ORIGINATOR-FI-ADDRESS-LINE3	DK-1613 COPENHAGEN V, DENMARK
5200	INSTRUCTING-FI	INSTRUCTING-FI-ID-CODE	D
		INSTRUCTING-FI-IDENTIFIER	010719320230
		INSTRUCTING-FI-NAME	NORDEA DANMARK, FILIAL AF NORDEA

Collections Information Repository (CIR)

Fedwire Detail Report

5200	INSTRUCTING-FI	INSTRUCTING-FI-ADDRESS-LINE1	BANK ABP, FINLAND
		INSTRUCTING-FI-ADDRESS-LINE2	NOSTRO REC, 6973 VP MELDAHLSGADE 1
		INSTRUCTING-FI-ADDRESS-LINE3	DK-1613 COPENHAGEN V, DENMARK
6000	ORIGINATOR-TO-BENEFICIARY-INFO	ORIGINATOR-TO-BENEFICIARY-LINE1	20USD FEE DEFICIENCY FOR MAINT.
		ORIGINATOR-TO-BENEFICIARY-LINE2	FEES FOR PAT. 6965920, APP.
		ORIGINATOR-TO-BENEFICIARY-LINE3	09904270 PHONE: +4528872860

Reported Classification(s)

Key Class Name	Key Class Value	Tax Class Code	Credit Ind.	Classification Total Amount
CG FEDWIRE	813100001000		Y	\$20.00

Collections Information Repository (CIR)

Fedwire Detail Report

Voucher Details

Voucher Number	Voucher Date	Business Date	Voucher Type	Fedwire Count	ALC	Credit Ind	Voucher Amount
590098	05/09/2018	05/09/2018	215	36	13100001	Y	\$16,823,506.24

Message Summary: 1805090011031

Type/ Sub-Type	Agency Account ID	Cash Flow ID	Cash Flow Name	Fin Trans Status	Fin Trans Type	Settlement Date	Crd Ind	Message Amount	Trace Number
1000	813100001000	8131000010 00	PATENT AND TRADEMARK OFFICE	Settled	Collection	05/09/2018	Y	\$9,380.00	20180509G1B76E2C000525

Message Details

Tag Label	Tag Name	Element Name	Element Value
1100	MSG-DISPOSITION	FORMAT-VERSION	30
		TEST-PRODUCTION-CODE	P
		MSG-STATUS-IND	N
1110	ACCEPTANCE-TIMESTAMP	ACCEPTANCE-DATE	0509
		ACCEPTANCE-TIME	0731
		ACCEPTANCE-APPL-ID	FT03
1120	OMAD	OUTPUT-CYCLE-DATE	20180509
		OUTPUT-DESTINATION-ID	MMQFMP60
		OUTPUT-SEQUENCE-NUMBER	000078
		OUTPUT-DATE	0509
		OUTPUT-TIME	0731
		OUTPUT-FRB-APPL-ID	FT03
1510	TYPE-SUBTYPE	TYPE-CODE	10
		SUBTYPE-CODE	00
1520	IMAD	INPUT-CYCLE-DATE	20180509
		INPUT-SOURCE	G1B76E2C
		INPUT-SEQUENCE-NUMBER	000525
2000	AMOUNT	AMOUNT	000000938000
3100	SENDER-DI	SENDER-DI-NUMBER	026001122
		SENDER-SHORT-NAME	NORTHERN INTL NYC
3320	SENDER-REFERENCE	SENDER-REFERENCE	2018050700422893
3400	RECEIVER-DI	RECEIVER-DI-NUMBER	021030004
		RECEIVER-SHORT-NAME	TREAS NYC
3600	BUSINESS-FUNCTION	BUSINESS-FUNCTION-CODE	CTP
3700	CHARGES	CHARGE-CODE	S
		CURRENCY-CODE1	USD
		SENDERS-CHARGES1	20.00
3710	INSTRUCTED-AMOUNT	CURRENCY-CODE	USD
		INSTRUCTED-AMOUNT	9400.00
4200	BENEFICIARY	BENEFICIARY-ID-CODE	D
		BENEFICIARY-IDENTIFIER	13100001
		BENEFICIARY-NAME	USPTO
		BENEFICIARY-ADDRESS-LINE1	2051 JAMIESON AVENUE, SUITE 300
		BENEFICIARY-ADDRESS-LINE2	ALEXANDRIA, VA 22314
4320	REF-FOR-BNF	BENEFICIARY-REF	3812408119
5000	ORIGINATOR	ORIGINATOR-ID-CODE	D
		ORIGINATOR-IDENTIFIER	DK8420006874541854
		ORIGINATOR-NAME	COCOPELLI A/S
		ORIGINATOR-ADDRESS-LINE1	PETER H PEDERSEN
		ORIGINATOR-ADDRESS-LINE2	GAMMEL HARESKOVVEJ 285, HARESKOV
		ORIGINATOR-ADDRESS-LINE3	DK 3500 VERLOSE
5100	ORIGINATOR-FI	ORIGINATOR-FI-ID-CODE	B
		ORIGINATOR-FI-IDENTIFIER	NDEADKKK
		ORIGINATOR-FI-NAME	NORDEA DK FILIAL NORDEA BNK SVERIGE
		ORIGINATOR-FI-ADDRESS-LINE1	NOSTRO RECONCILIATION, 6973 VP
		ORIGINATOR-FI-ADDRESS-LINE2	MELDAHLSGADE 1
		ORIGINATOR-FI-ADDRESS-LINE3	DK-1613 COPENHAGEN V, DENMARK

Collections Information Repository (CIR)

Fedwire Detail Report

5200	INSTRUCTING-FI	INSTRUCTING-FI-ID-CODE	D
		INSTRUCTING-FI-IDENTIFIER	010719320230
		INSTRUCTING-FI-NAME	NORDEA DK FILIAL NORDEA BNK SVERIGE
		INSTRUCTING-FI-ADDRESS-LINE1	NOSTRO RECONCILIATION, 6973 VP
		INSTRUCTING-FI-ADDRESS-LINE2	MELDAHLSGADE 1
		INSTRUCTING-FI-ADDRESS-LINE3	DK-1613 COPENHAGEN V, DENMARK
6000	ORIGINATOR-TO-BENEFICIARY-INFO	ORIGINATOR-TO-BENEFICIARY-LINE1	MAINT+DELAYED PAYMENT FEES FOR PAT.
		ORIGINATOR-TO-BENEFICIARY-LINE2	6965920, APP, 09904270 PHONE:
		ORIGINATOR-TO-BENEFICIARY-LINE3	+4528872860

Reported Classification(s)

Key Class Name	Key Class Value	Tax Class Code	Credit Ind	Classification Total Amount
CG FEDWIRE	813100001000		Y	\$9,380.00

Document code: WFEE

United States Patent and Trademark Office
Sales Receipt for Accounting Date: 02/08/2019

GARIAS	SALE	#00000004	Mailroom Dt:	01/10/2019	09904270
		01	FC : 1558	2,000.00	OP

Document code: WFEE

United States Patent and Trademark Office
Sales Receipt for Accounting Date: 02/08/2019

GARIAS	ADJ #00000004	Mailroom Dt: 01/10/2019	
	Seq No: 1	Sales Acctg Dt: 01/17/2019	09904270
	01 FC : 1599	-20.00 OP	



PETITION TO ACCEPT UNINTENTIONALLY DELAYED PAYMENT OF MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b))

Patent Number	Issue Date (YYYY-MM-DD)	Application Number	Filing Date (YYYY-MM-DD)	Docket Number (if applicable)
6965920	2005-11-15	09904270	2001-07-12	PHP-168

CAUTION: Maintenance fee payment must correctly identify: (1) the patent number and (2) the application number of the actual U.S. application leading to issuance of that patent to ensure the fee(s) is/are associated with the correct patent, 37 CFR 1.378(c) and (d).

SMALL ENTITY

☐ Patentee claims, or has previously claimed, small entity status. See 37 CFR 1.27.

LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

☐ Patentee is no longer entitled to small entity status. See 37 CFR 1.27(g)

NOT Small Entity

	Fee	Code
☐	3 ½ year	(1551)
☐	7 ½ year	(1552)
☒	11 ½ year	(1553)

Small Entity

	Fee	Code
☐	3 ½ year	(2551)
☐	7 ½ year	(2552)
☐	11 ½ year	(2553)

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OFFICE OF PETITIONS

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PETITION FEE

The petition fee required by 37 CFR 1.17(m) (Fee Code 1558 /2558) must be paid as a condition of accepting unintentionally delayed payment of the maintenance fee.

MAINTENANCE FEE (37 CFR 1.20(e)-(g))

The appropriate maintenance fee must be submitted with this petition.

STATEMENT

THE UNDERSIGNED CERTIFIES THAT THE DELAY IN PAYMENT OF THE MAINTENANCE FEE TO THIS PATENT WAS UNINTENTIONAL

PETITIONER(S) REQUEST THAT THE DELAYED PAYMENT OF THE MAINTENANCE FEE BE ACCEPTED AND THE PATENT REINSTATED

THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES

37 CFR 1.378(c) states: "Any petition under this section must be signed in compliance with 37 CFR 1.33(b)."

I certify, in accordance with 37 CFR 1.4(d)(4) that I am

- ☐ An attorney or agent registered to practice before the Patent and Trademark Office
- ☒ A sole patentee
- ☐ A joint patentee; I certify that I am authorized to sign this submission on behalf of all the other patentees as evidenced by the power of attorney in the application
- ☐ A joint patentee; all of whom are signing this e-petition
- ☐ The assignee of record of the entire interest that qualifies as an authorized party under 37 CFR 1.33(b)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays valid OMB control number.

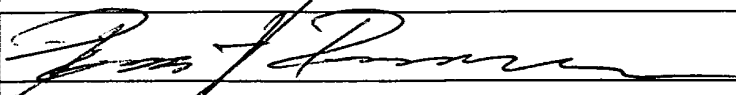
Patent Practitioner

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature

Signature		Date (YYYY-MM-DD)	
Name		Registration Number	

Sole Patentee

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2019-01-08
Name	Peter Pedersen		

A Joint Patentee and I certify that I am authorized to sign this submission on behalf of all the other patentees

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	
Name			

A Joint Patentee, all of whom are signing this petition

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature

Patentee	Remove
Signature	Date (YYYY-MM-DD)
Name	

Additional Patentees may be generated within this form by selecting the Add Button

The Assignee of record of the entire interest

Under 37 CFR 3.71 an assignee becomes of record by filing a statement in compliance with 37 CFR 3.73(b). Signature requirements are set forth in 37 CFR 1.4(d), and the undersigned certifies that he / she is empowered to act on behalf of the assignee of the entire interest

Signature		Date (YYYY-MM-DD)	
Name			

Enter Reel and Frame Number

Reel Number		Frame Number	
-------------	--	--------------	--

Click ADD for additional Reel Number and Frame Number

This collection of information is required by 37 CFR 1.378(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. This



Betalingsoversigt - betalingsdetaljer for betaling til udlandet

Betaling til udlandet

Overførelsestype:
 Betalingsnummer: 3900714880

Ordregiver / Afsender
 Hæves på: Valutakonto 5005 987 085
 Tekst på eget kontoudskrift:
 Betales på vegne af: COCOPELLI A/S
 PETER H PEDERSEN
 GAMMEL HARESKOVVEJ 285, HARESKOV
 3500 VÆRLØSE

Kontaktperson i tilfælde af spørgsmål:
 Kontaktes via (e-mail eller telefonnr.):
 Anlagt af aftalenummer: 0011606571

Beløbsmodtager
 Navn og adresse: USPTO
 2051 Jamieson Avenue, Suite 300
 Alexandria, VA 22314

Meddelelse til beløbsmodtager: 20USD fee deficiency for Maint.
 fees for
 pat. 6965920, app. 09904270
 phone: +4528872860

Beløbsmodtagers konto: 13100001

Beløbsmodtagers bankforbindelse
 Bankens Swift adresse:
 Bankens navn og adresse: TREAS NYC
 FUNDS TRANSFER DIVISION
 Bankens land og landekode: USA, US
 Bankens Bankkode: 021030004

Betalingsoplysninger
 Beløb: -20,00
 Beløbets valuta: USD, US dollars
 Overføres i valuta: USD, US dollars
 Betalingsdato: 08-01-2019
 Betalingshyppighed: 1, Engangsbetaling

Omkostninger
 Afsender skal betale bankomkostninger i både Danmark og i den udenlandske bank

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UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

In re Patent No. 6,965,920
Issue Date: November 15, 2005
Application No. 09/904,270
Filed: July 12, 2001
Attorney Docket No. PHP-168

DECISION ON PETITION

This is a decision on the petition under 37 CFR 1.378(b), filed May 8, 2018, to accept the unintentionally delayed payment of a maintenance fee for the above-identified patent.

The petition is **DISMISSED**.

If reconsideration of this decision is desired, a petition for reconsideration under 37 CFR 1.378(d) must be filed within **TWO (2) MONTHS** from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. This is **not** a final agency action within the meaning of 5 U.S.C. § 704.

A petition to accept the unintentionally delayed payment of a maintenance fee under 35 U.S.C. 41(c) and 37 CFR 1.378(b) must be accompanied by:

- (1) a statement that the delay was unintentional;
- (2) payment of the appropriate maintenance fee, unless previously submitted;
- (3) payment of the petition fee set forth in 37 CFR 1.17(m).

Where there is a question as to whether the delay in payment of the maintenance fee under 35 U.S.C. 41(c) and 37 CFR 1.378(b) was unintentional, the Director may require additional information. This petition lacks item(s) (2) and/or (3) above.

With respect to item(s) (2) and/or (3): According to Office records, the petition was accompanied by a fee of \$9380. The petition indicates \$7400 for the 11.5 maintenance fee and \$2000 for the petition fee. The submission submitted May 8, 2018, was deficient by \$20. The current 11.5 maintenance fee and petition fee total is \$9400. In this regard, an additional \$20 is due with any request for reconsideration. No consideration can be given until the required fee deficiency is remitted.

Since fees are subject to change, petitioner is encouraged to contact the Office prior to submitting any future fees. The Office of Petitions telephone number is (571) 272-3282, the USPTO website is www.uspto.gov.

Petitioner states a fax was received confirming payment of the wire transfer in the amount of \$9400. However, **your bank may charge a fee**, and your sending bank may use an intermediary bank to transfer the fund (and the intermediary bank may withhold their fee from the payment that is transmitted). Petitioner is encouraged to ensure the banks did not **withhold their payment** from the fee submitted.

Petitioner has appointed a representative to conduct all business before the U.S. Patent and Trademark Office (Office). The Office will not engage in dual correspondence with petitioner and petitioner's representative. Accordingly, petitioner must conduct all future correspondence with this Office through

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Art Unit: OPET

the representative of record. If petitioner no longer wishes to be represented by the representative of record, then a revocation of the power of attorney or patent agent should be submitted. A correspondence address must be included on the correspondence instructing the Office where all future communications are to be mailed. See 37 CFR 1.33(a).

Further correspondence with respect to this matter should be addressed as follows:

By hand: Customer Service Window
Mail Stop Petitions
Randolph Building
401 Dulany Street
Alexandria, VA 22314

By fax: (571) 273-8300
ATTN: Office of Petitions

By internet: EFS-Web¹

Any questions concerning this matter may be directed to the undersigned at (571) 272-6735.

/Diane C. Goodwyn
Diane C. Goodwyn
Paralegal Specialist
Office of Petitions

2019 JUN 25 PM 7:13

¹ www.uspto.gov/ebc/efs_help.html (for help using EFS-Web call the Patent Electronic Business Center at (866) 217-9197)

Peter Pedersen

Subject:

Petition for Patent No. 6,965,920 - Appl. No. 09/904/270

Customer Service Window
Mail Stop Petitions
Randolph Building
401 Dulany Street
Alexandria, VA 22314
USA



Copenhagen 08 Jan 2019

RE: Patent No. 6,965,920 - Appl. No. 09/904/270

I do hereby again apply for Petition to accept unintentionally Delayed payment for maintenance fee in an expired patent.

Please find attached:

- Form SB/66
- Banking receipt for wire transfer of the 20USD fee deficiency from the fee payed in May 8th 2018
- Copy of your letter on your previous decision on petition.

I do also here as the sole patentee on Patent 6,965,920 revoke the power of attorney on record to let others than myself represent my patent.

All future communication should please be mailed to :

Peter Pedersen
Gammel Hareskovvej 285
DK-3500 Vaerloese
Copenhagen, Denmark

Thank you very much.

Sincerely yours,


Peter Pedersen

Peter Pedersen

pp@cocopelli.com

Phone: +45 38 88 83 83

Fax: +45 38 34 82 39

Mobile: +45 28 87 28 60

Gammel Hareskovvej 285
DK-3500 Vaerloese
Copenhagen, Denmark

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/904,270	07/12/2001	Peter Henrik Pedersen	PHP-168	2027
7590 02/12/2019				
PETER PEDERSEN GAMMEL HARESKOWEJ 285 VAERLOESE, DK-3500 DENMARK		EXAMINER CHANG, JUNGWON		
		ART UNIT PAPER NUMBER		
		2154		
		MAIL DATE DELIVERY MODE		
		02/12/2019 PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

In re Application of :
Pedersen, Peter, Henrik :
Application No. 09/904,270 :
Filed: 12 Jul 2001 : **DECISION ON PETITION**
For: PROFILE RESPONSIVE :
ELECTRONIC MESSAGE :
MANAGEMENT SYSTEM :

This is a decision on the renewed petition under 37 CFR 1.378(b), filed January 10, 2019, to accept the unintentionally delayed payment of a maintenance fee for the above-identified patent.

The petition is **GRANTED**.

This patent expired on November 15, 2017, for failure to pay the 11.5 year maintenance fee. The maintenance fee is hereby accepted and the above-identified patent is reinstated as of the mail date of this decision.

Petitioner has appointed a representative to conduct all business before the U.S. Patent and Trademark Office (Office). The Office will not engage in dual correspondence with petitioner and petitioner's representative. Accordingly, petitioner must conduct all future correspondence with this Office through the representative of record. If petitioner no longer wishes to be represented by the representative of record, then a revocation of the power of attorney or patent agent should be submitted. A correspondence address must be included on the correspondence instructing the Office where all future communications are to be mailed. See 37 CFR 1.33(a).

Any questions concerning this matter may be directed to the undersigned at (571) 272-6735.

/ Diane C. Goodwyn

Diane C. Goodwyn
Paralegal Specialist
Office of Petitions

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
--	---

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Western District of Texas, Waco Division on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:21-cv-01154	DATE FILED 11/9/2021	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT Microsoft Corporation
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
2		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
--	---

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been
 filed in the U.S. District Court Western District of Texas, Waco Division on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:21-cv-01155	DATE FILED 11/9/2021	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT J2 Global, Inc. and J2 Global Canada, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
2		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
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5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Western District of Texas, Waco Division on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:21-cv-01152-ADA	DATE FILED 11/9/2021	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT Google LLC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
2		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
2			
3			
4			
5			

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
--	---

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Western District of Texas, Waco Division on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:21-cv-01153	DATE FILED 11/9/2021	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT Mailgun Technologies, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
2		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
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AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Western District of Texas, Waco Division on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 6:21-cv-01308	DATE FILED 12/16/2021	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT Yahoo Inc. and Verizon Communications Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Western District of Texas, Waco Division on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 6:21-cv-01154	DATE FILED 11/9/2021	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT Microsoft Corporation
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT 04/05/2022 Doc #18 STIPULATION of Dismissal
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CLERK Jeannette J. Clack	(BY) DEPUTY CLERK 	DATE 04/06/2022
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been
 filed in the U.S. District Court **WESTERN DISTRICT OF TEXAS, WACO DIVISION** on the following

☐ Trademarks or ☒ Patents, (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 6:22-cv-00408-ADA	DATE FILED 4/22/2022	U.S. DISTRICT COURT WESTERN DISTRICT OF TEXAS, WACO DIVISION
PLAINTIFF PETER PEDERSEN		DEFENDANT ZOHO CORPORATION
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2015	Peter Pedersen
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Western District of Texas, Waco Division on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 6:22-cv-00409	DATE FILED 4/22/2022	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT Salesforce.com Inc
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

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AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court **WESTERN DISTRICT OF TEXAS, WACO DIVISION** on the following

☐ Trademarks or ☒ Patents, (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 6:22-cv-00410	DATE FILED 4/22/2022	U.S. DISTRICT COURT WESTERN DISTRICT OF TEXAS, WACO DIVISION
PLAINTIFF PETER PEDERSEN		DEFENDANT ORACLE CORPORATION
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2015	Peter Pedersen
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
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AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Western District of Texas, Waco Division on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

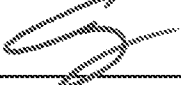
DOCKET NO. 6:21-cv-01152-ADA	DATE FILED 11/9/2021	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT Google LLC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT 04/25/2022 Doc #21 STIPULATION of Dismissal
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CLERK Jeannette J. Clack	(BY) DEPUTY CLERK 	DATE 04/25/2022
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 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Western District of Texas, Waco Division on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 6:21-cv-01308	DATE FILED 12/16/2021	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT Yahoo Inc. and Verizon Communications Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT 5/23/2022 - Stipulation of Dismissal without Prejudice Doc #25

CLERK Jeannette J. Clack	(BY) DEPUTY CLERK 	DATE May 24, 2022
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AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court **Western District of Texas, Waco Division** on the following

☐ Trademarks or ☒ Patents, (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:22-cv-00583	DATE FILED 6/8/2022	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT Adobe, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

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 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.);

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court **Western District of Texas, Waco Division** on the following

☐ Trademarks or ☒ Patents, (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:22-cv-00584	DATE FILED 6/8/2022	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT Aurea Software, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

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**Mail Stop 8
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P.O. Box 1450
Alexandria, VA 22313-1450**

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 6:22-cv-00588		DATE FILED 6/8/2022		U.S. DISTRICT COURT Western District of Texas, Waco Division	
PLAINTIFF Peter Pedersen				DEFENDANT Intuit, Inc.	
PATENT OR TRADEMARK NO.		DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 US 6,965,920		11/15/2005		Peter Pedersen	
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DATE INCLUDED	INCLUDED BY	
	☐ Amendment	☐ Answer ☐ Cross Bill ☐ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE
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Oracle Exhibit 1002
Page 210 of 220

**Mail Stop 8
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P.O. Box 1450
Alexandria, VA 22313-1450**

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 6:22-cv-00591		DATE FILED 6/8/2022		U.S. DISTRICT COURT Western District of Texas, Waco Division	
PLAINTIFF Peter Pedersen				DEFENDANT SAP America, Inc.	
PATENT OR TRADEMARK NO.		DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 US 6,965,920		11/15/2005		Peter Pedersen	
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DATE INCLUDED	INCLUDED BY	
	☐ Amendment	☐ Answer ☐ Cross Bill ☐ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE
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Oracle Exhibit 1002
Page 211 of 220

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court **Western District of Texas, Waco Division** on the following

☐ Trademarks or ☒ Patents, (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 6:22-cv-00594	DATE FILED 6/8/2022	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT Upland Software, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

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AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Western District of Texas, Waco Division on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 6:22-cv-00597	DATE FILED 6/9/2022	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF PETER PEDERSEN		DEFENDANT ZIFF DAVIS, INC.,
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	PETER PEDERSEN
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

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Mail Stop 8
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 6:22-cv-00597	DATE FILED 6/9/2022	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF PETER PEDERSEN		DEPENDANT ZIFF DAVIS, INC.,
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	PETER PEDERSEN
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY		
	☐ Amendment	☐ Answer	☐ Cross Bill ☐ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE
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**Mail Stop 8
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450**

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. 6:22-cv-00590	DATE FILED 6/8/2022	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF Peter Pedersen		DEFENDANT Madwire, LLC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	Peter Pedersen
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DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE
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Oracle Exhibit 1002
Page 215 of 220

AO 120 (Rev. 08/10)

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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court **Western District of Texas, Waco Division** on the following

☐ Trademarks or ☒ Patents, (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:22-cv-00921	DATE FILED 9/8/2022	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF PETER PEDERSEN		DEFENDANT BLACKBAUD, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	PETER PEDERSEN
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

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AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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☐ Trademarks or ☒ Patents, (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:22-cv-00922	DATE FILED 9/8/2022	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF PETER PEDERSEN		DEFENDANT CONSTANT CONTACT, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	PETER PEDERSEN
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court **Western District of Texas, Waco Division** on the following

☐ Trademarks or ☒ Patents, (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:22-cv-00923	DATE FILED 9/8/2022	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF PETER PEDERSEN		DEFENDANT MONDAY.COM, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	PETER PEDERSEN
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
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AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court **Western District of Texas, Waco Division** on the following

☐ Trademarks or ☒ Patents, (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:22-cv-00925	DATE FILED 9/8/2022	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF PETER PEDERSEN		DEFENDANT WIX.COM, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	PETER PEDERSEN
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

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AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court **Western District of Texas, Waco Division** on the following

☐ Trademarks or ☒ Patents, (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:22-cv-00927	DATE FILED 9/9/2022	U.S. DISTRICT COURT Western District of Texas, Waco Division
PLAINTIFF PETER PEDERSEN		DEFENDANT ZENDESK, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 6,965,920	11/15/2005	PETER PEDERSEN
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
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DECISION/JUDGEMENT

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