UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CROWDSTRIKE, INC.

Petitioner,

v.

OPEN TEXT INC.

Patent Owner.

Case No. IPR2023-01053
Patent No. 11,409,869

PATENT OWNER OPEN TEXT INC.'S
MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(b)

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U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Patent Owner Open Text Inc.[1] hereby files its Mandatory Notices pursuant to 37 C.F.R. § 42.8(b).

I. REAL PARTIES-IN-INTEREST (37 C.F.R. § 42.8(b)(1))

The real parties-in-interest are Open Text Inc. ("Patent Owner") and Webroot LLC. Out of an abundance of caution, Patent Owner further identifies the following affiliates: Carbonite, LLC; Open Text Corporation; and Open Text Holdings, Inc.

II. RELATED MATTERS (37 C.F.R. § 42.8(b)(2))

U.S. Patent No. 11,409,869 ("the '869 Patent") is at issue in the following U.S. District Court cases:

- *Webroot, Inc. et al. v. Trend Micro Inc.*, Case No. 6:22-cv-00239 (W.D. Tex.);
- *Webroot, Inc. et al. v. Sophos Ltd.*, Case No. 6:22-cv-00240 (W.D. Tex.);
- *Webroot, Inc. et al. v. CrowdStrike, Inc. et al.*, Case No. 6:22-cv-00241 (W.D. Tex.);
- *Webroot, Inc. et al. v. AO Kaspersky Lab*, Case No. 6:22-cv-00243 (W.D. Tex.);
- *Webroot, Inc. v. Forcepoint LLC*, No. 6:22-cv-00342-ADA-DTG (W.D. Tex.).

In addition to this proceeding, the '869 Patent is also at issue in the following PTAB proceedings:

[1] The first page heading is updated due to a change in ownership of U.S. Pat. No. 11,409,869.

- *Sophos Ltd. v. Open Text Inc.*, PGR2023-00031 (P.T.A.B. May 8, 2023);
- *AO Kaspersky Lab v. Open Text Inc*., IPR2023-01160 (P.T.A.B. June 30, 2023).

U.S. Patent No. 10,284,591, which is not related to the '869 Patent, but which is also asserted in the related district court litigations, is also at issue in the following PTAB proceedings:

- *CrowdStrike, Inc. v. Open Text Inc.*, IPR2022-01522 (P.T.A.B. Sept. 30, 2022);
- *Trend Micro Inc. v. Webroot Inc*., IPR2023-00692 (P.T.A.B. Mar. 7, 2022);
- *AO Kaspersky Lab v. Open Text Inc.*, IPR2023-00895 (P.T.A.B. Apr. 28, 2023).

U.S. Patent No. 8,726,389, which is not related to the '869 Patent, is also asserted in the related district court litigations and at issue in the following PTAB proceedings:

- *CrowdStrike, Inc. v. Webroot Inc.*, IPR2023-00556 (P.T.A.B. Feb. 17, 2023);
- *Sophos Ltd. v. Webroot Inc.*, IPR2023-00633 (P.T.A.B. Mar. 3, 2023).

U.S. Patent No. 10,599,844, is related to the '869 Patent, which is also asserted in the related district court litigations, and is at issue in the following PTAB proceedings:

- *Sophos Ltd. v. Open Text Inc.*, IPR2023-00528 (P.T.A.B. Feb. 7, 2023);

- *Trend Micro, Inc. v. Open Text Inc.*, IPR2023-00662 (P.T.A.B. Mar. 3, 2023).

U.S. Patent No. 8,418,250, which is not related to the '869 Patent, but which is also asserted in the related district court litigations, is also at issue in the following PTAB proceedings:

- *CrowdStrike, Inc. v. Open Text Inc.*, IPR2023-00289 (P.T.A.B. Dec. 29, 2022);
- *Trend Micro Inc. v. Open Text Inc.*, IPR2023-00655 (P.T.A.B. Mar. 2, 2023);
- *Sophos Ltd.* v. *Open Text Inc.,* IPR2023-00699 (P.T.A.B. Mar. 8, 2023).

U.S. Patent No. 9,413,721, which is not related to the '869 Patent, but which is also asserted in the related district court litigations, is at issue in the following PTAB proceeding:

- *Sophos Ltd. v. Open Text Inc.*, IPR2023-00491 (P.T.A.B. Jan. 20, 2023).

U.S. Patent No. 9,578,045, which is not related to the '869 Patent, but which is also asserted in the related district court litigations, is at issue in the following PTAB proceedings:

- *CrowdStrike, Inc. v. Open Text Inc*., IPR2023-00124 (P.T.A.B. Nov. 30, 2022);
- *Trend Micro Inc. v. Open Text Inc*., IPR2023-00677 (P.T.A.B. Mar. 6, 2023);

- *AO Kaspersky Lab v. Open Text Inc*., IPR2023-01199 (P.T.A.B. July 14, 2023);

U.S. Patent No. 10,257,224, which is not related to the ’869 Patent, but which is also asserted in the related district court litigations, is at issue in the following PTAB proceedings:

- *CrowdStrike, Inc. v. Open Text Inc.*, IPR2023-00126 (P.T.A.B. Oct. 31, 2022);
- *Trend Micro Inc. v. Open Text Inc.*, IPR2023-00657 (P.T.A.B. Mar. 3, 2023);
- *AO Kaspersky Lab v. Open Text Inc.,* IPR2023-01011 (P.T.A.B. June 2, 2023).

U.S. Patent No. 8,201,243, which is not related to the ’869 Patent, and which is also asserted in the related district court litigations, is also at issue in the following PTAB proceeding:

- *Sophos Ltd. v. Open Text Inc.,* IPR2023-00731 (P.T.A.B. Apr. 4, 2023);
- *CrowdStrike, Inc. v. Open Text Inc.,* IPR2023-01052 (P.T.A.B. June 23, 2023).

U.S. Patent No. 8,719,932, which is not related to the ’869 Patent, but which is also asserted in the related district court litigations, is at issue in the following PTAB proceeding:

- *Sophos Ltd. v. Open Text Inc.*, IPR2023-00732 (P.T.A.B. Apr. 4, 2023);
- *CrowdStrike, Inc. v. Open Text Inc.,* IPR2023-01051 (P.T.A.B. June 23, 2023).

U.S. Patent No. 8,438,386, which is not related to the ’869 Patent, but which is also asserted against a third party in the related district court litigations, is at issue in the following PTAB proceeding:

- *Forcepoint LLC v. Open Text Inc.*, IPR2023-00784 (P.T.A.B. Mar. 31, 2023).

U.S. Patent No. 10,025,928, which is not related to the ’869 Patent, but which is also asserted against a third party in the related district court litigations, is at issue in the following PTAB proceeding:

- *Forcepoint LLC v. Open Text Inc.*, IPR2023-00786 (P.T.A.B. Mar. 31, 2023).

U.S. Patent No. 8,181,244, which is not related to the ’869 Patent, but which is also asserted against a third party in the related district court litigations, is at issue in the following PTAB proceeding:

- *CrowdStrike, Inc. v. Open Text Inc.*, IPR2023-01158 (P.T.A.B. June 30, 2023).

U.S. Patent No. 8,856,505, which is not related to the ’869 Patent, but which is also asserted against a third party in the related district court litigations, is at issue in the following PTAB proceeding:

- *CrowdStrike, Inc. v. Open Text Inc.*, IPR2023-01159 (P.T.A.B. June 30, 2023).

U.S. Patent No. 8,763,123, which is not related to the ’869 Patent, but which is also asserted against a third party in the related district court litigations, is at issue in the following PTAB proceeding:

- *CrowdStrike, Inc. v. Open Text Inc.*, IPR2023-01050 (P.T.A.B. July 12, 2023).

III. LEAD AND BACK-UP COUNSEL (37 C.F.R. § 42.8(b)(3))

The lead counsel for Patent Owner is:

Brian Eutermoser (Reg. No. 64,058)
Email: beutermoser@kslaw.com
KING & SPALDING LLP
1401 Lawrence Street, Suite 1900
Denver, CO 80202
Telephone: (720) 535-2312

The first backup counsel for Patent Owner is:

Russell E. Blythe (Reg. No. 58,440)
Email: rblythe@kslaw.com
KING & SPALDING LLP
1180 Peachtree Street NE
Atlanta, GA 30309
Telephone: (404) 572-4600

The additional backup counsel for Patent Owner is:

Mikaela Stone (Reg. No. 70,404)
Email: mikaela.stone@kslaw.com
KING & SPALDING LLP
1401 Lawrence Street, Suite 1900
Denver, CO 80202
Telephone: (720) 535-2312

IV. SERVICE INFORMATION (37 C.F.R. § 42.8(b)(4))

Please address all correspondence to the lead and backup counsel as shown above. Patent Owner consents to electronic service by email at the email addresses provided above and also opentext-ipr-pgr@kslaw.com.

Respectfully submitted,

KING & SPALDING LLP

BY: */Brian Eutermoser/*

Brian Eutermoser (Reg. No. 64,058)

Attorney for Patent Owner
Open Text Inc.

Date: July 24, 2023
1401 Lawrence Street, Suite 1900
Denver, CO 80202
Telephone: (720) 535-2312

CERTIFICATE OF SERVICE

The undersigned hereby certifies that the foregoing **PATENT OWNER'S MANDATORY NOTICES AND POWER OF ATTORNEY** were served by filing this document through the Patent Trial and Appeal Case Tracking System (P-TACTS) as well as by delivering a copy via electronic mail and U.S. Postal Mail on July 24, 2023, in their entirety on the following:

Adam P. Seitz (Reg. No. 52,206)
ERISE IP, P.A.
7015 College Blvd., Suite 700
Overland Park, Kansas 66211
Telephone: (913) 777-5600
Fax: (913) 777-5601
Adam.Seitz@eriseip.com
PTAB@eriseip.com

Paul R. Hart, (Reg. No. 59,646)
ERISE IP, P.A.
5299 DTC Blvd., Ste. 1340
Greenwood Village, Colorado 80111
Telephone: (913) 777-5600
Fax: (913) 777-5601
Paul.Hart@eriseip.com
PTAB@eriseip.com

Counsel for Petitioner
CrowdStrike, Inc.

KING & SPALDING LLP

BY: */Brian Eutermoser/*

Brian Eutermoser (Reg. No. 64,058)

Attorney for Patent Owner
Open Text Inc.

Date: July 24, 2023
1401 Lawrence Street, Suite 1900
Denver, CO 80202
Telephone: (720) 535-2312