

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO., LTD.,
Petitioner,

v.

HEADWATER RESEARCH LLC,
Patent Owner.

Case IPR2023-01157
Patent 11,405,224 B2

JOINT MOTION TO TERMINATE

UPDATED EXHIBIT LIST

- SAMSUNG-1001 U.S. Patent No. 11,405,224 to Raleigh, et al. (“the ’224 Patent”)
- SAMSUNG-1002 Excerpts from the Prosecution History of the ’224 Patent (“the Prosecution History”)
- SAMSUNG-1003 Declaration and Curriculum Vitae of Dr. Patrick Traynor
- SAMSUNG-1004 Complaint, *Headwater Research LLC v. Samsung Electronics Co., Ltd. et al.*, 2:22-cv-00422, E.D. Tex., October 26, 2022
- SAMSUNG-1005 U.S. Patent Publication No. 2008/0080458 A1 (“Cole”)
- SAMSUNG-1006 U.S. Patent Publication No. 2009/0119773 A1 (“D’Amore”)
- SAMSUNG-1007 U.S. Patent Publication No. 2006/0039354 A1 (“Rao”)
- SAMSUNG-1008 U.S. Patent No. 5,987,611 (“Freund”)
- SAMSUNG-1009 U.S. Patent Publication No. 2005/0037740 A1 (“Smith”)
- SAMSUNG-1010 European Patent Publication No. 1 484 871 A1 (“Kelz”)
- SAMSUNG-1011 U.S. Patent No. US 2008/0057894 A1 (“Aleksic”)
- SAMSUNG-1012 U.S. Patent No. 7,924,730 B1 (“McAllister”)
- SAMSUNG-1013 Excerpts from the Prosecution History of U.S. Patent No. 9,277,445 to Raleigh, et al. (“the Prosecution History of the ’445 Patent”)
- SAMSUNG-1014 U.S. Patent No. 6,947,723 B1 (“Gurnani”)
- SAMSUNG-1015 Memorandum, Interim Procedure for Discretionary Denials in AIA Post-Grant Proceedings with Parallel District Court Litigation (USPTO June 21, 2022) (“Director’s Guidance”)
- SAMSUNG-1016 Samsung Stipulation letter regarding IPR grounds in District court litigation

SAMSUNG-1017 First Amended Complaint, *Headwater Research LLC v. Samsung Electronics Co., Ltd. et al.*, 2:22-cv-00422, E.D. Tex., November 30, 2022

SAMSUNG-1018 Amended Docket Control Order, *Headwater Research LLC v. Samsung Electronics Co., Ltd. et al.*, 2:22-cv-00422, E.D. Tex., April 21, 2023

SAMSUNG-1019 Email from Board dated November 22, 2023 authorizing
Petitioner's Reply to Patent Owner's Preliminary Response

SAMSUNG-1020 CONFIDENTIAL Settlement Agreement

The Parties in this case have reached a settlement that addresses this proceeding. The Parties therefore contacted the Board on July 24, 2024, to inform the Board of the settlement and request instructions regarding termination of this proceeding. In accordance with the Board's email instructions received on July 25, 2024, the Parties hereby jointly submit this motion to terminate. The Parties respectfully request that the Board terminate IPR2023-01157.

Pursuant to 35 U.S.C. § 317(b), 37 C.F.R. § 42.74, and the Board's e-mail, the Parties have concurrently filed the Settlement Agreement as Exhibit 1020. The Parties state that there are no other agreements, oral or written, between the Parties made in connection with, or in contemplation of, the termination of the proceeding. The Parties have concurrently filed a joint request to treat the Settlement Agreement as confidential business information pursuant to 37 C.F.R. § 42.74(c), consistent with the provisions of the Agreement.

Respectfully submitted,

Dated July 29, 2024

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CERTIFICATE OF SERVICE

Pursuant to 37 CFR § 42.6(e), the undersigned certifies that on July 29, 2024, a complete and entire copy of this Joint Motion to Terminate and Exhibit 1020 were provided by email, to the Patent Owner, by serving the correspondence addresses of record as follows:

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