

7. The apparatus according to claim 5, wherein the time-varying magnetic field has a frequency in the range 100 kHz to 400 kHz.

8. The apparatus according to claim 5, wherein the time varying magnetic field has a frequency less than or equal to 1 MHz.

9. An apparatus according to claim 1, wherein the coil is a planar elliptical spiral coil.

10. The apparatus according to claim 1, wherein the coil is a planar circular spiral coil, wherein the coil follows the equation:

$$\rho(\theta) = \rho_0 + l(\theta)\theta$$

where $\rho(\theta)$ is the radius of the coil, ρ_0 is the initial radius of the coil, θ is the angle with respect to the initial radius of the coil, and $l(\theta)$ is a function of θ .

11. The apparatus according to claim 10, wherein a derivative of $l(\theta)$ is positive.

12. The apparatus according to claim 11, wherein the derivative of $l(\theta)$ decreases as θ increases over at least a portion of the coil.

13. The apparatus according to claim 12, wherein the derivative of $l(\theta)$ decreases as θ increases over the coil.

14. The apparatus according to claim 1, wherein the spiral coil further follows the equation:

$$\rho(\theta) = r + (1 - (1 - \frac{\theta}{2\pi N})^4)(R - r)$$

where R is an outermost radius of the coil, r is the initial radius of the coil, and N is a number of loops of the coil.

15. The apparatus according to claim 1, wherein the region of interest is a second plane parallel to a plane of the coil.

16. The apparatus according to claim 15, wherein the second plane is offset from the plane of the coil by a distance d .

17. The apparatus according to claim 16, wherein d is less than 30 cm.

18. The apparatus according to claim 16, wherein d is less than 10 cm.

19. The apparatus according to claim 16, wherein d is less than R , where R is an outermost radius of the coil.

20. The apparatus according to claim 15, wherein the region of interest is a region covering at least a portion of an area of the coil.

21. The apparatus according to claim 15, wherein the region of interest is a region covering an area of the coil.

22. The apparatus according to claim 1, wherein the coil is a polygonal spiral coil.

23. The apparatus according to claim 1, wherein the coil is a rectangular spiral coil.

24. An apparatus for producing a magnetic field, comprising:

a coil, wherein the coil is a planer polygonal spiral coil wherein the coil has at least two loops, wherein a spacing between adjacent loops either stays the same or decreases at each corner of the polygonal going from an inner loop toward an outer loop of the coil; and

a driver, wherein the driver drives the coil to produce a magnetic field, wherein a magnitude of the magnetic field in a direction perpendicular to a plane of the coil substantially is uniform over a region of interest.

25. The apparatus according to claim 24, wherein the magnitude of the magnetic field in a direction perpendicular to the plane of the coil is substantially uniform over the region of

interest such that $\frac{MAX - MIN}{AVERAGE}$ is less than or equal to 0.2 over the region of interest, where MAX and MIN are the maximum magnitude, and minimum magnitude, of the magnet field over the region of interest, respectively, and AVERAGE is $\frac{MAX + MIN}{2}$.

26. The apparatus according to claim 25, wherein the $\frac{MAX - MIN}{AVERAGE}$ is less than or equal to 0.1 over the region of interest.

27. The apparatus according to claim 25, wherein the $\frac{MAX - MIN}{AVERAGE}$ is less than or equal to 0.05 over the region of interest.

28. The apparatus according to claim 24, wherein the magnetic field is time-varying.

29. The apparatus according to claim 28, wherein the time-varying magnetic field has a frequency in the range 1 kHz to 10 MHz.

30. The apparatus according to claim 25, wherein the time-varying magnetic field has a frequency in the range 100 kHz to 400 kHz.

31. The apparatus according to claim 25, wherein the time varying magnetic field has a frequency less than or equal to 1 MHz.

32. The apparatus according to claim 24, wherein the spacing between adjacent loops follows the equation:

$$\rho(2n\pi) - \rho[2(n-1)\pi], n = 1, 2, \dots, N, \text{ where } \rho \text{ is the function}$$

$$\rho(\theta) = r + (1 - (1 - \frac{\theta}{2\pi N})^4)(R - r),$$

where R is an outermost radius of the coil, r is an innermost radius of the coil, and N is a number of loops of the coil.

33. The apparatus according to claim 24, wherein the region of interest is a second plane parallel to the plane of the coil.

34. The apparatus according to claim 33, wherein the second plane is offset from the plane of the coil by a distance d .

35. The apparatus according to claim 34, wherein d is less than 30 cm.

36. The apparatus according to claim 34, wherein d is less than 10 cm.

37. The apparatus according to claim 34, wherein d is less than R , where R is an outermost radius of the coil.

38. The apparatus according to claim 33, wherein the region of interest is a region covering at least a portion of an area of the coil.

39. The apparatus according to claim 33, wherein the region of interest is a region covering an area of the coil.

40. The apparatus according to claim 24, wherein the polygonal coil is a square coil.

41. The apparatus according to claim 24, wherein the polygonal coil is a hexagonal coil.

42. An apparatus for producing a magnetic field, comprising:

a coil, wherein the coil is a planar spiral coil, where the coil has at least two loops, wherein a spacing between starting points of adjacent loops decreases from an inner loop toward an outer loop of the coil, and

a driver, wherein the driver drives the coil to produce a magnetic field, wherein a magnitude of the magnetic field in a direction perpendicular to the plane of the coil is substantially uniform over the region of interest such that $\frac{MAX - MIN}{AVERAGE}$ is less than or equal

to 0.2 over the region of interest, where MAX and MIN are the maximum magnitude, and minimum magnitude, of the magnet field over the region of interest, respectively, and AVERAGE is $\frac{MAX + MIN}{2}$, wherein a magnitude of the magnetic field in a direction perpendicular to a plane of the coil is substantially uniform over a region of interest.

43. A method for producing a magnetic field, comprising:

providing a coil, wherein the coil is a planar spiral coil, where the coil has at least two loops, wherein a spacing between adjacent loops decreases continuously from an inner loop toward an outer loop of the coil, and

driving the coil to produce a magnetic field, wherein a magnitude of the magnetic field in a direction perpendicular to a plane of the coil is substantially uniform over a region of interest.

44. The method according to claim 43, wherein the magnitude of the magnetic field in a direction perpendicular to the plane of the coil is substantially uniform over the region of interest such that $\frac{MAX - MIN}{AVERAGE}$ is less than or equal to 0.2 over the region of interest, where MAX and MIN are the maximum magnitude, and minimum magnitude, of the magnet field over the region of interest, respectively, and AVERAGE is $\frac{MAX + MIN}{2}$.

45. The method according to claim 44, wherein the $\frac{MAX - MIN}{AVERAGE}$ is less than or equal to 0.1 over the region of interest.

46. The method according to claim 44, wherein the $\frac{MAX - MIN}{AVERAGE}$ is less than or equal to 0.05 over the region of interest.

47. The method according to claim 44, wherein the magnetic field is time-varying.

48. The method according to claim 47, wherein the time-varying magnetic field has a frequency in the range 1 kHz to 10 MHz.

49. The method according to claim 47, wherein the time-varying magnetic field has a frequency in the range 100 kHz to 400 kHz.

50. The method according to claim 47, wherein the time varying magnetic field has a frequency less than or equal to 1 MHz.

51. An method according to claim 43, wherein the coil is a planar elliptical spiral coil.

52. The method according to claim 43, wherein the coil is a planar circular spiral coil, wherein the coil follows the equation:

$$\rho(\theta) = \rho_0 + l(\theta)\theta$$

where $\rho(\theta)$ is the radius of the coil, ρ_0 is the initial radius of the coil, θ is the angle with respect to the initial radius of the coil, and $l(\theta)$ is a function of θ .

53. The method according to claim 52, wherein a derivative of $l(\theta)$ is positive.

54. The method according to claim 53, wherein the derivative of $l(\theta)$ decreases as θ increases over at least a portion of the coil.

55. The method according to claim 54, wherein the derivative of $l(\theta)$ decreases as θ increases over the coil.

56. The method according to claim 43, wherein the spiral coil further follows the equation:

$$\rho(\theta) = r + (1 - (1 - \frac{\theta}{2\pi N})^4)(R - r)$$

where R is an outermost radius of the coil, r is the initial radius of the coil, and N is a number of loops of the coil.

57. The method according to claim 43, wherein the region of interest is a second plane parallel to a plane of the coil.

58. The method according to claim 57, wherein the second plane is offset from the plane of the coil by a distance d .

59. The method according to claim 58, wherein d is less than 30 cm.

60. The method according to claim 58, wherein d is less than 10 cm.

61. The method according to claim 58, wherein d is less than R , where R is an outermost radius of the coil.

62. The method according to claim 57, wherein the region of interest is a region covering at least a portion of an area of the coil.

63. The method according to claim 57, wherein the region of interest is a region covering an area of the coil.

64. The method according to claim 43, wherein the coil is a polygonal spiral coil.

65. The method according to claim 43, wherein the coil is a rectangular spiral coil.

66. An method for producing a magnetic field, comprising:

producing a coil, wherein the coil is a planer polygonal spiral coil wherein the coil has at least two loops, wherein a spacing between adjacent loops either stays the same or decreases at each corner of the polygonal going from an inner loop toward an outer loop of the coil; and

driving the coil to produce a magnetic field, wherein a magnitude of the magnetic field in a direction perpendicular to a plane of the coil substantially is uniform over a region of interest.

67. The method according to claim 66, wherein the magnitude of the magnetic field in a direction perpendicular to the plane of the coil is substantially uniform over the region of interest such that $\frac{MAX - MIN}{AVERAGE}$ is less than or equal to 0.2 over the region of interest, where MAX and MIN are the maximum magnitude, and minimum magnitude, of the magnet field over the region of interest, respectively, and AVERAGE is $\frac{MAX + MIN}{2}$.

68. The method according to claim 67, wherein the $\frac{MAX - MIN}{AVERAGE}$ is less than or equal to 0.1 over the region of interest.

69. The method according to claim 67, wherein the $\frac{MAX - MIN}{AVERAGE}$ is less than or equal to 0.05 over the region of interest.

70. The method according to claim 66, wherein the magnetic field is time-varying.

71. The method according to claim 70, wherein the time-varying magnetic field has a frequency in the range 1 kHz to 10 MHz.

72. The method according to claim 67, wherein the time-varying magnetic field has a frequency in the range 100 kHz to 400 kHz.

73. The method according to claim 67, wherein the time varying magnetic field has a frequency less than or equal to 1 MHz.

74. The method according to claim 66, wherein the spacing between adjacent loops follows the equation:

$$\rho(2n\pi) - \rho[2(n-1)\pi], n = 1, 2, \dots, N, \text{ where } \rho \text{ is the function}$$

$$\rho(\theta) = r + (1 - (1 - \frac{\theta}{2\pi N})^4)(R - r),$$

where R is an outermost radius of the coil, r is an innermost radius of the coil, and N is a number of loops of the coil.

75. The method according to claim 66, wherein the region of interest is a second plane parallel to the plane of the coil.

76. The method according to claim 75, wherein the second plane is offset from the plane of the coil by a distance d .

77. The method according to claim 76, wherein d is less than 30 cm.

78. The method according to claim 76, wherein d is less than 10 cm.

79. The method according to claim 76, wherein d is less than R , where R is an outermost radius of the coil.

80. The method according to claim 75, wherein the region of interest is a region covering at least a portion of an area of the coil.

81. The method according to claim 75, wherein the region of interest is a region covering an area of the coil.

82. The method according to claim 66, wherein the polygonal coil is a square coil.

83. The method according to claim 66, wherein the polygonal coil is a hexagonal coil.

84. A method for producing a magnetic field, comprising:

providing a coil, wherein the coil is a planar spiral coil, where the coil has at least two loops, wherein a spacing between starting points of adjacent loops decreases from an inner loop toward an outer loop of the coil, and

driving the coil to produce a magnetic field, wherein a magnitude of the magnetic field in a direction perpendicular to the plane of the coil is substantially uniform over the region of interest such that $\frac{MAX - MIN}{AVERAGE}$ is less than or equal to 0.2 over the region of

interest, where MAX and MIN are the maximum magnitude, and minimum magnitude, of the magnet field over the region of interest, respectively, and AVERAGE is $\frac{MAX + MIN}{2}$,

wherein a magnitude of the magnetic field in a direction perpendicular to a plane of the coil is substantially uniform over a region of interest.

85. A system for inductive power transfer, comprising:

an apparatus for producing a magnetic field according to any of claims 1-42; and

a receiver coil, wherein when the receiver coil is positioned proximate the apparatus for producing the magnetic field, power is inductively transfer to the receiver coil.

86. The system according to claim 85, wherein the coil has an area in the range of 2 to 12 times as large as an area of the receiver coil.

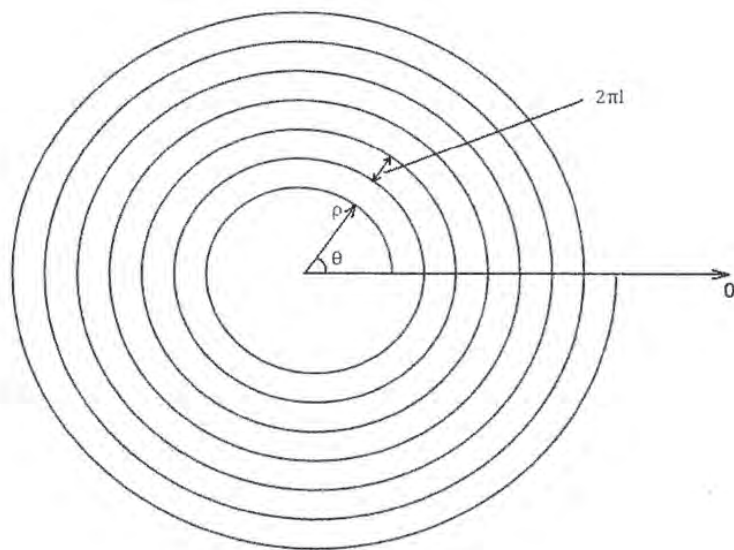
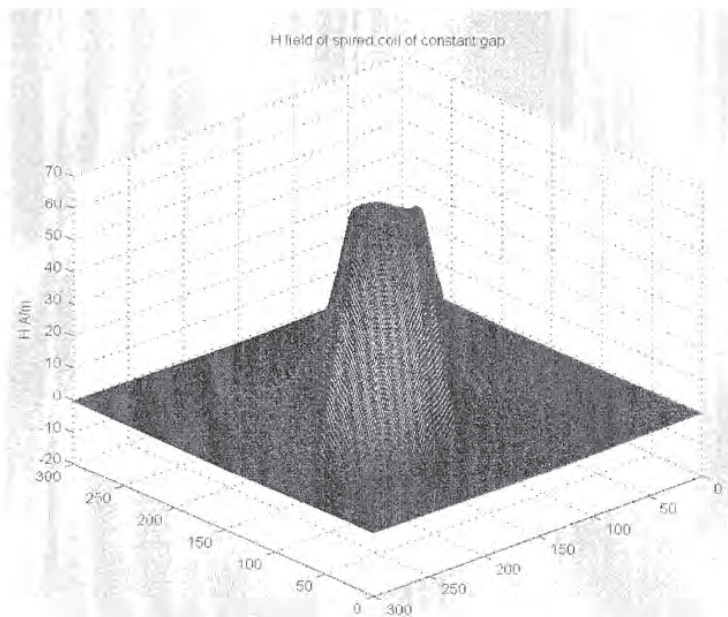
87. A method for inductively transferring power, comprising:

implementing the method according to any of claims 43-84; and

providing a receiver coil proximate to the coil such that power is inductively coupled to the receiver coil.

88. The method according to claim 87, wherein the coil has an area in the range of 2 to 12 times as large as an area of the receiver coil.

1/3

**FIG. 1****FIG. 2**

2/3

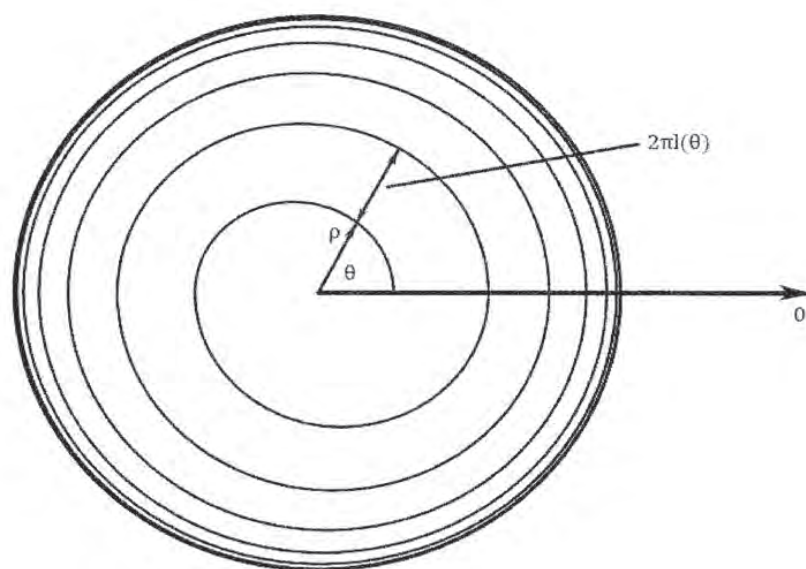


FIG. 3

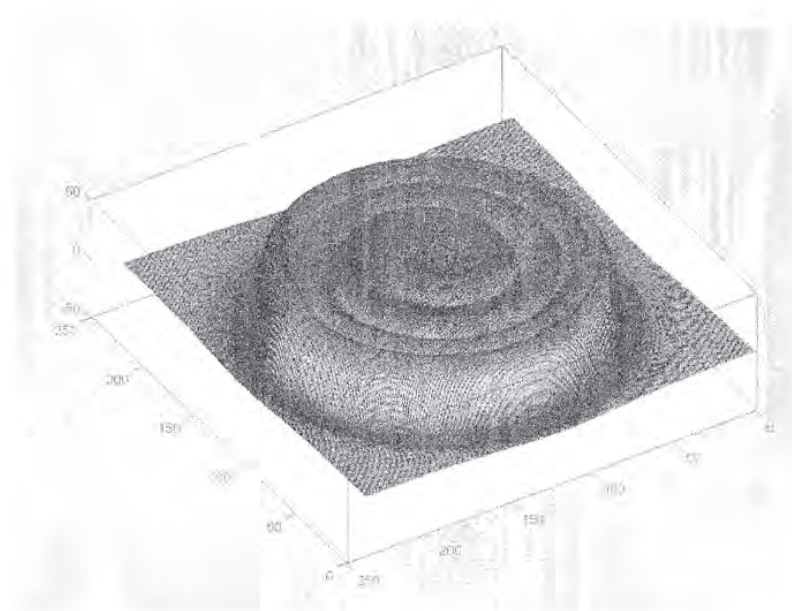
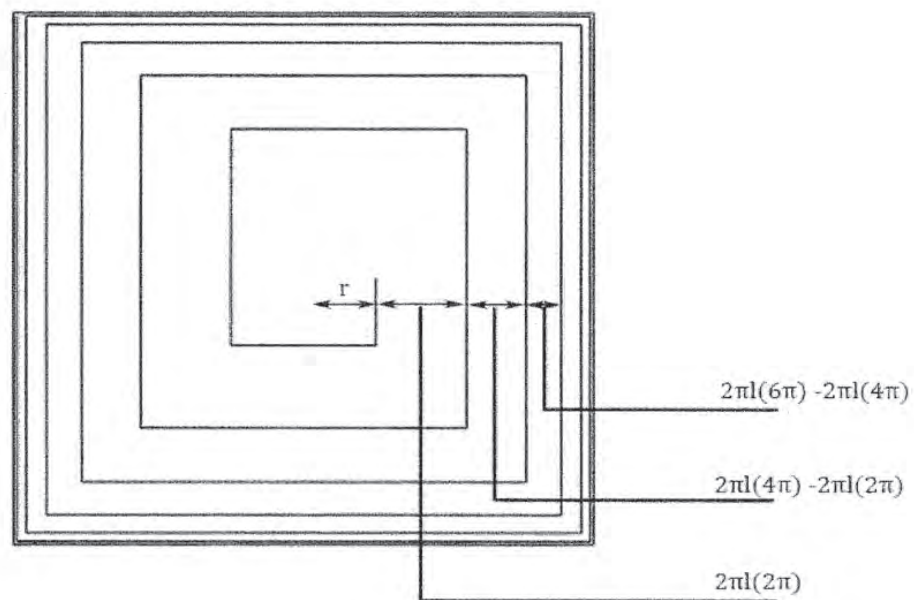
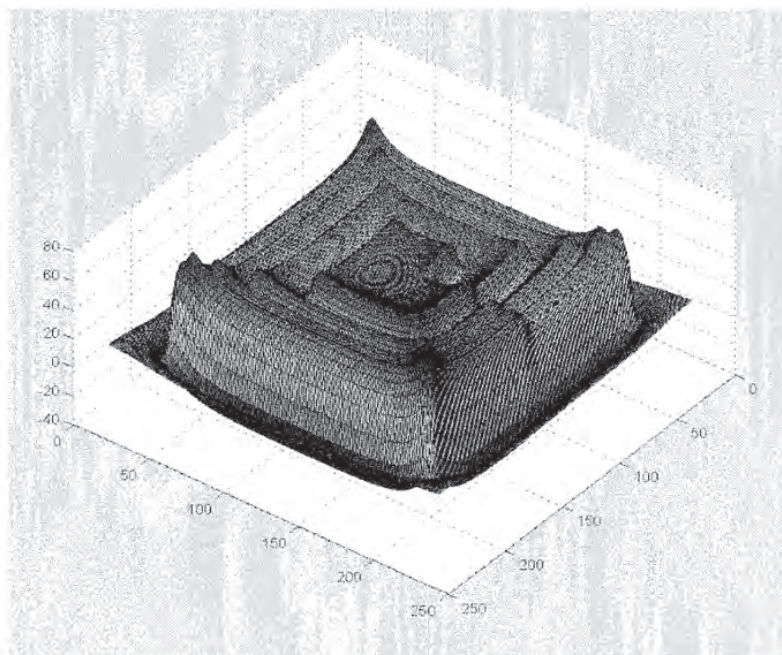


FIG. 4

**FIG. 5****FIG. 6**



(43) International Publication Date
23 December 2009 (23.12.2009)

(10) International Publication Number
WO 2009/155000 A3

(51) International Patent Classification:
H02J 7/00 (2006.01) H02J 17/00 (2006.01)

(74) Agents: PARKER, James, S. et al.; Saliwanchik, Lloyd,
Saliwanchik, P.O. Box 142950, Gainesville, FL
32614-2950 (US).

(21) International Application Number:
PCT/US2009/045339

(81) Designated States (unless otherwise indicated, for every
kind of national protection available): AE, AG, AL, AM,
AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ,
CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ,
EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN,
HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR,
KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME,
MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO,
NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG,
SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA,
UG, US, UZ, VC, VN, ZA, ZM, ZW.

(22) International Filing Date:
27 May 2009 (27.05.2009)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
61/056,354 27 May 2008 (27.05.2008) US

(71) Applicant (for all designated States except US): UNI-
VERSITY OF FLORIDA RESEARCH FOUNDA-
TION, INC. [US/US]; 223 Grinter Hall, Gainesville, FL
32611 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): LIN, Jenshan
[US/US]; 910 S.W. 105th Terrace, Gainesville, FL 32607
(US).

(84) Designated States (unless otherwise indicated, for every
kind of regional protection available): ARIPO (BW, GH,
GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM,
ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ,
TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE,
ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV,
MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR),
OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML,
MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR PRODUCING SUBSTANTIALLY UNIFORM MAGNETIC FIELD

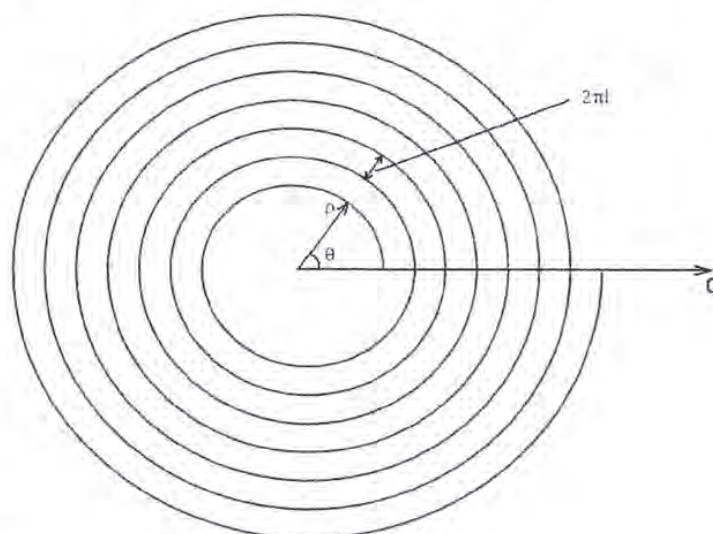


FIG. 1

(57) Abstract: A planar wireless power transmitter coil design and method are provided. A single spiral coil can be used to provide a uniform magnetic field across its surface area for location-independent planar wireless power charging. The spiral coil can be designed to have a non-constant gap between adjacent loops such that the gap between adjacent loops decreases towards the outer loops.

WO 2009/155000 A3

**Published:****(88) Date of publication of the international search report:**

4 March 2010

- *with international search report (Art. 21(3))*
- *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))*

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2009/045339**A. CLASSIFICATION OF SUBJECT MATTER****H02J 7/00(2006.01)i, H02J 17/00(2006.01)i**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

H02J 7/00; G11B 13/04; H01F 5/00; H05B 6/40; H05H 1/30; G01R 33/20; H05H 1/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
Korean utility models and applications for utility models since 1975.
Japanese utility models and applications for utility models since 1975.Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
eKOMPASS(KIPO internal) & Keywords: uniform, magnetic field, spiral coil, inductive**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5293360 A1 (HASEGAWA; KOYO et al.) 08 March 1994 See abstract; claims 1-8; figures 1-8.	1-5,9,22-28,40-47 ,51,64-70,82-84
Y		6-8,15-21,29-31 ,33-39,48-50,57-63 ,71-73,75-81,85-88
A		10-14,32,52-56,74
Y	LIU X. and HUI S. Y., "Optimal Design of a Hybrid Winding Structure for Planar Contactless Battery Charging Platform", IEEE Transactions on Power Electronics, Vol. 23, No. 1, January 2008.	6-8,15-21,29-31 ,33-39,48-50,57-63 ,71-73,75-81,85-88
A	See abstract; pages 456-459; figures 1-9.	1-5,9-14,22-28,32 ,40-47,51-56,64-70 ,74,82-84
A	KR 10-2002-0007155 A (-) 26 January 2002 See abstract; claims 1-17; figures 1-12.	1-88
A	JP 60-234304 A (RIKO DENKI:KK) 21 November 1985 See abstract; pages 3-4; claims 1-6; figures 1-10.	1-88



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

12 JANUARY 2010 (12.01.2010)

Date of mailing of the international search report

13 JANUARY 2010 (13.01.2010)

Name and mailing address of the ISA/KR


 Korean Intellectual Property Office
Government Complex-Daejeon, 139 Seonsa-ro, Seo-
gu, Daejeon 302-701, Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

KOO, Young Hoi

Telephone No. 82-42-481-8376



Form PCT/ISA/210 (second sheet) (July 2008)

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2009/045339

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5293360 A1	08.03.1994	JP 02-859627 B2	04.12.1998
		JP 2235202 A	18.09.1990
		JP 2235203 A	18.09.1990
		JP 2235204 A	18.09.1990
		JP 2235239 A	18.09.1990
		JP 2235241 A	18.09.1990
		JP 2235242 A	18.09.1990
		JP 2859627 B2	17.02.1999
		US 5293360 A1	08.03.1994
KR 10-2002-0007155 A	26.01.2002	EP 1168415 A3	21.02.2007
		EP 1168415 A3	21.02.2007
		EP 1912246 A2	16.04.2008
		EP 1912246 A2	16.04.2008
		JP 2002-008996 A	11.01.2002
		KR 10-0516595 B1	22.09.2005
		US 2002-018025 A1	14.02.2002
		US 2006-027168 A1	09.02.2006
		US 7520246 B2	21.04.2009
JP 60-234304 A	21.11.1985	JP 60-234304 A	21.11.1985

Form PCT/ISA/210 (patent family annex) (July 2008)

Electronic Acknowledgement Receipt	
EFS ID:	9397023
Application Number:	12649635
International Application Number:	
Confirmation Number:	5891
Title of Invention:	WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-Q RESONATORS
First Named Inventor/Applicant Name:	John D. Joannopoulos
Customer Number:	26161
Filer:	Marc M. Wefers/Cheryl Forrest
Filer Authorized By:	Marc M. Wefers
Attorney Docket Number:	01997-0359015
Receipt Date:	08-FEB-2011
Filing Date:	30-DEC-2009
Time Stamp:	13:10:15
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no				
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		359015IDS.pdf	130638 c2fd629a79c2b60ff34ceda8e6210a776806e	yes	4

	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Transmittal Letter		1	1	
	Information Disclosure Statement (IDS) Filed (SB/08)		2	4	
Warnings:					
Information:					
2	Foreign Reference	Art1.pdf	2263738 892695d11685d8e6d11668889003066f0910a8	no	28
Warnings:					
Information:					
3	Foreign Reference	Art2.pdf	1675874 0000004922e3d8b115e2ba7c0b06b6721085f	no	30
Warnings:					
Information:					
4	Foreign Reference	Art3.pdf	1424983 6c8c8a337900a125115131010102887f22aa712b7	no	17
Warnings:					
Information:					
5	Foreign Reference	Art4.pdf	12427844 40038033dd575daa044d831012d4d05cb0029c	no	147
Warnings:					
Information:					
6	Foreign Reference	Art5.pdf	1728876 0f758dc77d23904884bea55c09a76e07b09265d	no	26
Warnings:					
Information:					
7	NPL Documents	NPL1.pdf	742038 445222688647e1f355f8a6fca6fa2e8d95aa9a05	no	9
Warnings:					
Information:					
8	NPL Documents	NPL2.pdf	393690 022aaa7f1c0d0a3b38406a090d0400014b3d120b	no	11
Warnings:					
Information:					
Total Files Size (in bytes):			20787681		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John D. Joannopoulos et al. Art Unit : Unknown
Serial No. : 12/649,635 Examiner : Unknown
Filed : December 30, 2009 Conf. No. : 5891
Title : WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-
Q RESONATORS

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Please consider the references listed on the enclosed PTO-1449 form. Foreign patent documents and non-patent literature are enclosed; cited U.S. patents and patent application publications will be provided on request.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Apply any charges or credits to Deposit Account No. 06-1050, referencing 01997-0359015.

Respectfully submitted,

Date: 2/7/11



Marc M. Wefers
Reg. No. 56,842

Customer Number
Fish & Richardson P.C.
Telephone: (617) 542-5070
Facsimile: (877) 769-7945

22535013.doc

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 01997-0359015	Application No. 12/649,635
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant John D. Joannopoulos et al.	
		Filing Date December 30, 2009	Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	2009/0108679	4/30/2009	Porwal			
	2						
	3						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	4							
	5							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	6	
	7	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

Electronic Acknowledgement Receipt	
EFS ID:	9525343
Application Number:	12649635
International Application Number:	
Confirmation Number:	5891
Title of Invention:	WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-Q RESONATORS
First Named Inventor/Applicant Name:	John D. Joannopoulos
Customer Number:	26161
Filer:	Marc M. Wefers/Cheryl Forrest
Filer Authorized By:	Marc M. Wefers
Attorney Docket Number:	01997-0359015
Receipt Date:	24-FEB-2011
Filing Date:	30-DEC-2009
Time Stamp:	15:20:36
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no				
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		359015-IDS.pdf	76829 6f6158c3856ca7a4d6c0ff4b0a1c98330aa40a5	yes	2

	Multipart Description/PDF files in .zip description		
	Document Description	Start	End
	Transmittal Letter	1	1
	Information Disclosure Statement (IDS) Filed (SB/08)	2	2
Warnings:			
Information:			
Total Files Size (in bytes):		76829	
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John D. Joannopoulos et al. Art Unit : Unknown
Serial No. : 12/649,635 Examiner : Unknown
Filed : December 30, 2009 Conf. No. : 5891
Title : WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-
Q RESONATORS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Please consider the references listed on the enclosed PTO-1449 form. Foreign patent documents and non-patent literature are enclosed; cited U.S. patents and patent application publications will be provided on request.

This Information Disclosure Statement is being filed to correct the Information Disclosure Statement that was previously filed on February 8, 2011. The applicant incorrectly listed Desig. Id. No. 21 (the Porwal reference) as U.S. Publication No. as 2009/0180679. The correct U.S. Publication No. for this reference is 2009/0108679.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Apply any charges or credits to Deposit Account No. 06-1050, referencing 01997-0359015.

Respectfully submitted,

Date: _____

2/22/11



Marc M. Wefers
Reg. No. 56,842

Customer Number
Fish & Richardson P.C.
Telephone: (617) 542-5070
Facsimile: (877) 769-7945

22581873.doc

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 01997-0359015	Application No. 12/649,635
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant John D. Joannopoulos et al.	
		Filing Date December 30, 2009	Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	645,576	3/20/1900	Tesla			
	2	649,621	5/15/1900	Tesla			
	3	7,795,708	9/14/2010	Katti			
	4	2007/0145830	6/28/2007	Lee et al.			
	5						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	6							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	7	John C. Schuder et al., "An Inductively Coupled RF System for the Transmission of 1 kW of Power Through the Skin", <u>IEEE Transactions on Bio-Medical Engineering</u> , Vol. BME-18, No. 4 (July 1971)
	8	J. C. Schuder et al., "Energy Transport Into the Closed Chest From a Set of Very-Large Mutually Orthogonal Coils", <u>Communication Electronics</u> , Vol. 64, pp. 527-534 (January 1963)
	9	John C. Schuder "Powering an Artificial Heart: Birth of the Inductively Coupled-Radio Frequency System in 1960", <u>Artificial Organs</u> , Vol. 26, No. 11, pp. 909-915 (2002)
	10	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

Electronic Acknowledgement Receipt	
EFS ID:	10239607
Application Number:	12649635
International Application Number:	
Confirmation Number:	5891
Title of Invention:	WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-Q RESONATORS
First Named Inventor/Applicant Name:	John D. Joannopoulos
Customer Number:	26161
Filer:	Marc M. Wefers/Cheryl Forrest
Filer Authorized By:	Marc M. Wefers
Attorney Docket Number:	01997-0359015
Receipt Date:	06-JUN-2011
Filing Date:	30-DEC-2009
Time Stamp:	15:03:20
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no				
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		359015IDS.pdf	84985 6ac29116b1da923f681ccca023267968b9c174a	yes	2

Multipart Description/PDF files in .zip description					
Document Description			Start	End	
Transmittal Letter			1	1	
Information Disclosure Statement (IDS) Form (SB08)			2	2	
Warnings:					
Information:					
2	Non Patent Literature	Art1.pdf	15221882	no	9
			d10f0b0ea0d71a011d023b0c3b5d0f03a401bce04bb80		
Warnings:					
Information:					
3	Non Patent Literature	Art2.pdf	5755944	no	8
			a12c3fdd3411d023b0c3b5d0f03a401bce044bf		
Warnings:					
Information:					
4	Non Patent Literature	Art3.pdf	204093	no	7
			9f0de162a008a0500297067805c956d91c08c85411		
Warnings:					
Information:					
Total Files Size (in bytes):			21266904		
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John D. Joannopoulos et al. Art Unit : Unknown
Serial No. : 12/649,635 Examiner : Unknown
Filed : December 30, 2009 Conf. No. : 5891
Title : WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-
Q RESONATORS

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Please consider the references listed on the enclosed PTO-1449 form. Foreign patent documents and non-patent literature are enclosed; cited U.S. patents and patent application publications will be provided on request.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Apply any charges or credits to Deposit Account No. 06-1050, referencing 01997-0359015.

Respectfully submitted,

Date: 6/6/11



Marc M. Wefers
Reg. No. 56,842

Customer Number
Fish & Richardson P.C.
Telephone: (617) 542-5070
Facsimile: (877) 769-7945

22644778.doc

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 01997-0359015	Application No. 12/649,635
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant John D. Joannopoulos et al.	
		Filing Date December 30, 2009	Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	6,664,770	12/16/2003	Bartels			
	2	7,514,818	4/7/2009	Abe et al.			
	3	2007/0021140	1/25/2007	Keyes, IV et al.			
	4	2008/0266748	10/30/2008	Lee			

Foreign Patent Documents or Published Foreign Patent Applications									
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation		
	5	2011/062827	5/26/2011	WIPO	G06F	1/26	Yes	No	

Other Documents (include Author, Title, Date, and Place of Publication)									
Examiner Initial	Desig. ID	Document							
	6	Andre Kurs et al., "Simultaneous mid-range power transfer to multiple devices", <u>Applied Physics Letters</u> , Vol. 96, No. 044102 (2010)							
	7	David Schneider, "A Critical Look at Wireless Power", <u>IEEE Spectrum</u> , (May 2010)							
	8	Marin Soljacic, "Wireless nonradiative energy transfer", <u>Visions of Discovery New Light on Physics, Cosmology, and Consciousness</u> , Cambridge University Press, New York, NY pp. 530-542 (2011)							
	9	Joseph C. Stark III, "Wireless Power Transmission Utilizing a Phased Array of Tesla Coils", <u>Master Thesis</u> , Massachusetts Institute of Technology (2004)							
	10	Copy of International Search Report and Written Opinion of the International Searching Authority for International Application No. PCT/US2011/027868 by Examiner Blaine R. Copenheaver dated July 5, 2011							

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)



(43) International Publication Date
26 May 2011 (26.05.2011)

(10) International Publication Number
WO 2011/062827 A2

(51) International Patent Classification:
G06F 1/26 (2006.01)

(21) International Application Number:

PCT/US2010/056240

(22) International Filing Date:

10 November 2010 (10.11.2010)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

61/262,086 17 November 2009 (17.11.2009) US

(71) Applicant (for all designated States except US): **APPLE INC.** [US/US]; 1 Infinite Loop, Cupertino, California 95014 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **CULBERT, Michael F.** [US/US]; 1 Infinite Loop, MS 305-2GM, Cupertino, California 95014 (US). **BILBREY, Brett C.** [US/US]; 1 Infinite Loop, MS 305-2GM, Cupertino, California 95014 (US). **SIMON, David I.** [US/US]; 1 Infinite

Loop, MS 305-2GM, Cupertino, California 95014 (US).

ARNOLD, Peter M. [US/US]; 1 Infinite Loop, MS 306-3P, Cupertino, California 95014 (US).

(74) Agents: **FERRAZANO, Michael J.** et al.; Beyer Law Group LLP, P.O. Box 1687, Cupertino, California 95015-1687 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ,

[Continued on next page]

(54) Title: WIRELESS POWER UTILIZATION IN A LOCAL COMPUTING ENVIRONMENT

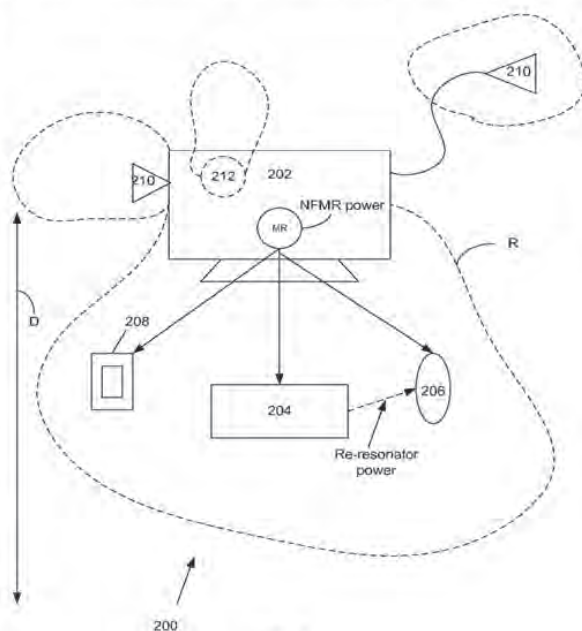


Fig. 2

(57) Abstract: Various embodiments of a wirelessly powered local computing environment are described. The wirelessly powered local computing environment includes at least a near field magnetic resonance (NFM) power supply arranged to wirelessly provide power to any of a number of suitably configured devices. In the described embodiments, the devices arranged to receive power wirelessly from the NFM power supply must be located in a region known as the near field that extends no further than a distance D of a few times a characteristic size of the NFM power supply transmission device. Typically, the distance D can be on the order of 1 meter or so.

WO 2011/062827 A2



TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

— *as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))*

Published:

— *without international search report and to be republished upon receipt of that report (Rule 48.2(g))*

Declarations under Rule 4.17:

WIRELESS POWER UTILIZATION IN A LOCAL COMPUTING ENVIRONMENT

TECHNICAL FIELD

[0001] The described embodiments relate generally to utilizing a wireless power
5 transmission in a portable computing environment.

BACKGROUND

[0002] Historically, transferring power wirelessly has been successful for fairly limited applications. In particular, only those applications where a wireless power source and a wireless power receiver are located very close to each other have been
10 successful. In this arrangement, the wireless power source and the wireless power receiver are typically coupled inductively by way of a magnetic field that can entangle both the power source and receiver. Although well suited for applications requiring relatively low power (on the order of mW), this same process is not suitable for those applications requiring either more power (on the order of at least a few watts to
15 hundreds of watts) or where the power source and power receiver are located further apart from each other, such as a few inches to a few feet.

[0003] However, it has been discovered (see "Efficient wireless non-radiative mid-range energy transfer" by Karalis et al., Annals of Physics 323 (2008) pgs. 34 - 38) that useable power can be transferred wirelessly from a power source to a receiver
20 located within a distance referred to as a near field. By near field it is meant that within a distance a few times larger than that of both objects involved in the transfer (about one meter or so for most applications) a relatively large amount of power (at least on the order of a few watts) can be transferred between a wireless source device and a receiver with an acceptable efficiency. In this way, a realistic and practical
25 approach to wireless transferring useable amounts of power over distances suitable for limited applications can be realized. Typically, each battery powered device such as a wireless electronic device requires its own charger and power source, which is usually an alternating current (AC) power outlet. Such a wired configuration becomes unwieldy when many devices need charging.

30 [0004] Various over-the-air or wireless power transmission between a transmitter and a receiver coupled to the electronic device to be charged have been carried out that generally fall into two categories. One category is based on the coupling of plane wave radiation between a transmit antenna and a receive antenna on the device to be

charged. The receive antenna collects the radiated power and rectifies it for charging the battery. Antennas are generally of resonant length in order to improve the coupling efficiency. This approach suffers from the fact that the power coupling falls off quickly with distance between the antennas, so charging over reasonable distances (e.g., less than 1 to 2 meters) becomes difficult.

[0005] Other techniques rely upon inductive coupling between a transmit antenna embedded, for example, in a "charging" mat or surface and a receive antenna (plus a rectifying circuit) embedded in the electronic device to be charged. This approach has the disadvantage that the spacing between transmit and receive antennas must be very close (e.g., within thousandths of meters). Though this approach does have the capability to simultaneously charge multiple devices in the same area, this area is typically very small and requires the user to accurately locate the devices to a specific area.

[0006] With wireless power transmission there is a need for apparatuses and methods for transmitting and relaying wireless power at varying power levels and multiplexed times to increase power transmission efficiency.

[0007] Furthermore, what is desired are methods, systems, and apparatus for efficient and user friendly interaction between peripheral devices in a wirelessly powered local computing environment. In particular, in order to enhance the user's experience and provide efficient power utilization, cooperation between the plurality of peripheral devices in the context of the wireless power environment is desired.

SUMMARY

[0008] The present invention provides a system and method for utilizing wireless near field magnetic resonance (NFMR) power transmission in a computing environment.

[0009] In various embodiments, methods, systems, and apparatus for interacting between a plurality of peripheral devices receiving power wirelessly from a wireless power supply is described. In one embodiment, a virtual charging area can be created. The virtual charging area can extend to about one (1) meter from a central station that incorporates a NFMR power supply. The virtual charging area can define a region in which suitably configured peripheral devices, such as a mouse, keyboard, and so on can receive power by way of a NFMR channel formed between the NFMR power supply and a NFMR resonator circuit included in the peripheral

device. In this way, when both the NFMR power supply and the NFMR resonator circuit are tuned to each other, then useable power can be transferred over a power conduction channel formed between the two resonant devices.

[0010] In some embodiments, at least one of the peripheral devices can have a tunable resonator circuit having at least one circuit element (such as a resistor, inductor, or capacitor) having a value that can be changed. In this way, the tunable resonator circuit can be de-coupled from the NFMR power supply by de-tuning the tunable resonator circuit in relation to the resonance frequency of the NFMR power supply. In this way, the effective Q value of the tunable circuit is reduced to the point that essentially no power is transferred. In one embodiment, at least one of the plurality of peripheral devices can include a secondary NFMR resonator circuit adapted to re-resonant power to another one of the plurality of peripheral devices by establishing a NFMR channel to the other peripheral device over which useable power can be transferred. In some embodiments, the NFMR power supply can eliminate any voids in the virtual charging area by modifying resonance characteristics such as frequency.

[0011] A method of wirelessly transmitting power can be performed by creating a first coupling mode region of an electromagnetic field within a near field of a power supply transmit antenna, coupling the electromagnetic field and a receiver antenna of a first receiver device within the coupling mode region, creating a second coupling mode region of the electromagnetic field different from the first coupling mode region within a near field of a transmit antenna of the first receiver device, coupling the electromagnetic field to a receive antenna of second receiver device in the near field of the transmit antenna of the first receiver device, wirelessly delivering power from the power supply to the first receiver device by way of the power supply transmit antenna using the first coupling mode region of the electromagnetic field; and wirelessly delivering at least some of the power wirelessly delivered to the first receiver device is wirelessly by re-transmitting the at least some power to the second receiver device by way of the first receiver transmit antenna using the second coupling mode region of the electromagnetic field.

BRIEF DESCRIPTION OF THE DRAWINGS

[0012] The included drawings are for illustrative purposes and serve only to provide examples of possible structures and arrangements for the disclosed

embodiments. These drawings in no way limit any changes in form and detail that may be made to the described embodiments by one skilled in the art without departing from the spirit and scope of the embodiments.

5 [0013] Fig. 1 illustrates representative tunable resonator circuits in accordance with the described embodiments.

[0014] Fig. 2 shows representative virtual charging area in accordance with the described embodiments.

[0015] Fig. 3 shows representative hybrid power circuit in accordance with the described embodiments.

10 [0016] Fig. 4 shows representative time multiplexing for distributing power in accordance with the described embodiments.

[0017] Fig. 5 illustrates a wireless transmission or charging system, in accordance with various exemplary embodiments.

15 [0018] Fig. 6 shows a simplified schematic diagram of a wireless power transfer system.

[0019] Fig. 7 shows an antenna used in exemplary embodiments configured as a "loop" antenna that can also be referred to herein as a "magnetic" antenna.

[0020] Fig. 8 shows a flowchart detailing process 800 in accordance with the described embodiments.

20 DETAILED DESCRIPTION

[0021] Various embodiments of a wirelessly powered local computing environment are described. The wireless powered local computing environment includes at least a near field magnetic resonance (NFMR) power supply arranged to wirelessly provide power to any of a number of suitably configured devices. In the described embodiments, the devices arranged to receive power wirelessly from the NFMR power supply can be located in a region known as the near field that extends no further than a distance D that can be a few times a characteristic size of the NFMR power supply transmission device. Typically, the distance D can be on the order of 1 meter or so.

30 [0022] Fig. 1 shows various representative tunable circuits in accordance with the described embodiments. The representative tunable circuits can include series RLC (resistor (R), inductor (L), capacitor(C)) circuit 102. In this arrangement, a resonant frequency can be tuned (i.e., changed) by changing any of the component values. For

example, circuit 102, capacitor C can be a variable capacitor used to tune circuit 102. Similarly, circuit 104 (known as a Hartley oscillator) can be used as a tunable circuit in the described embodiments as can tuned LC circuit 106.

[0023] Fig. 2 shows representative virtual charging area 200 in accordance with the described embodiments. Virtual charging area 200 provides region R of charging for suitably configured devices placed within the region R. NFMR power supply can be placed in central unit such as desktop computer. In this way, the desktop computer can provide the NFMR power supply with computing resources. It should be noted that the near field magnetic resonance (NFMR) power supply can include high Q circuit that relies upon near field magnetic coupling by way of a resonance channel formed between resonances of the power source and sink to transfer power. The NFMR power supply can be a standalone unit such as, for example, included in a desktop computer, laptop computer, tablet computer, and so on. In other embodiments, the NFMR power supply can take the form of a portable type unit such as a dongle that can be connected to a legacy device such as a desktop computer thereby providing the ability to retrofit devices. In still other embodiments, housing or a portion of a housing used to enclose the NFMR power source can act to extend a useful range of the NFMR power supply.

[0024] In this way, suitably configured peripheral devices can be powered directly from the NFMR power supply. In so doing, the peripheral devices when tuned to the appropriate frequency can receive power wirelessly from the NFMR power supply. In so doing, the appropriately tuned peripheral device can be considered to be part of a resonance circuit that can include the NFMR power supply and any other peripheral devices so tuned. As part of such a circuit, each device has associated with it a corresponding load that can be sensed by the NFMR power supply. As such, the resonance circuit can have a characteristic load that can change by the addition or deletion of devices from the resonance circuit. For example, if a suitably configured device such as a portable media player is brought within range of the NFMR power supply, then the load associated with the portable media player can be sensed by the NFMR power supply when (and if) the portable media player is appropriately tuned. It should be noted that in some cases, the device being brought into the range of the NFMR power supply can communicate its initial presence using a standard communication protocol such as WiFi or Bluetooth. However, once incorporated into

the resonance circuit, the device can use a communication back channel described in detail below. Accordingly, any change in the characteristic load factor of the resonance circuit can convey information that can be used by the NFMR power supply to control the various devices in the resonance circuit by, for example,

5 distributing power, and so on.

[0025] In some embodiments, certain of the peripheral devices can be configured to include a re-resonator circuit that can receive power directly from the NFMR power supply. Such devices can also transfer a portion of the power received to other of the peripheral devices. For example, as shown in Fig. 2 virtual charging area 200 includes central unit 202 (desktop computer) that can include the NFMR power supply, keyboard 204, mouse 206, and portable media player 208. In one embodiment, keyboard 204 can be configured to receive power directly from the NFMR power supply included in desktop computer 202 as can mouse 206 and portable media player 208 (when located within range R).

15 **[0026]** In some cases, the ability of desktop computer 202 to provide power directly to mouse 206, for example, can be reduced due to any number of factors. Such factors can include, for example, the addition of other devices into region R that require power from the NFMR power supply, obstacles interfering with the direct power channel formed between the NFMR and mouse 206, and so on. In this case, 20 keyboard 204 can act as a re-resonator such that a portion of the power delivered to keyboard 204 from the NFMR power supply can be passed on by way of a re-resonator transmission unit (not shown) in keyboard 204. In this way, any power loss experienced by mouse 206 can be ameliorated by the power received from keyboard 204. This arrangement can be transitory or can last for as long as mouse 206 is not 25 able to receive adequate power directly from the NFMR power supply. In other cases, the locating of portable media player 208 within region R can reduce the amount of power available to keyboard 204 and mouse 206. In this case, if a battery in keyboard 206 is fully charged (or additional charge is not necessary) then keyboard 206 can decouple a charging circuit while still maintaining a re-resonator circuit providing 30 power to mouse 206.

[0027] In some embodiments, dongle 210 can be connected to desktop computer 202 (by way of a USB port or cable, for example). So connected, dongle 210 can, in turn, act as a range extender for the NFMR power supply. In this way, dongle 210

can extend a range that power can be provided by the NFMR power supply included in desktop computer 202. In some cases, dongle 210 can re-resonate power already received from the NFMR power supply while in other cases, dongle 210 can include its own NFMR power supply. By having its own NFMR power supply, dongle 210 can provide additional power wirelessly to those devices within virtual charging region 200 separate from the power provided by the NFMR power supply included in desktop 202. It should be noted that in some embodiments, the housing of desktop computer 202 (or a portion thereof) can be used as a resonator as part of the NFMR power supply.

10 [0028] Fig. 3 shows representative hybrid power circuit 300 in accordance with the described embodiments. As described, hybrid power circuit 300 can match the low power delivery capability of the NFMR power supply to a large power requirement of required for long term storage devices, such as lithium ion polymer (LiPO) battery. Batteries in such devices as portable phones, portable media players, and so on, can require relatively large amount of power to charge that can be greater than that available from the NFMR power supply. Therefore, it is difficult to charge these high capacity batteries such as LiPO using the NFMR power supply. However, a short term charge storage device (such as a capacitor, ultra capacitor, and so on) that can be charged up by power delivered by the NFMR power supply can be used to temporarily store charge prior to being passed to the battery. In this way, once sufficient charge is stored in the short term charge storage device, the stored charge can be used to charge a long term charge storage device (such as a LiPO battery). For example, Fig. 3 shows representative hybrid power circuit 300 having capacitor 302, capacitor charging circuit 304 (that can receive power P from the NFMR power supply), long term power storage unit 306 (that can take the form of battery 306), and battery charging circuit 308. In the described embodiment, power P provided by the NFMR power supply can “trickle” charge capacitor 302. Once sufficient charge is stored in capacitor 302, capacitor charging circuit 304 can sense capacitor voltage VC and switch fully charged capacitor 302 to battery 306 by way of battery charging circuit 308. In this way, charge Q stored in capacitor 302 can be used to increase the charge of battery 306. Once capacitor 302 is discharged (as determined by capacitor charging circuit 304), capacitor 302 can again receive power P from the NFMR power supply.

[0029] One of the advantages of a wirelessly powered local computing environment is the potential to provide an enhanced user experience. For example, by doing away with clumsy and annoying cables and eliminating the need to replace batteries, an easy to use and efficient local computing environment can be provided to the user. However, in order to provide this enhanced user experience, it would be advantageous for the various devices that make up the wirelessly powered local computing environment to be able to interact with each other as well as with the NFMR power supply. Such interaction can include, for example, providing power by the NFMR power supply to any of the devices within range in whatever amount is required. For example, an amount of power transferred between the NFMR power supply (having a first resonator circuit) and receiving device (having a second resonator circuit) can be controlled by tuning (or de-tuning) the second resonator circuit along the lines described above. It should be noted that when a device is tuned, the tuned device can become part of the resonance circuit. As part of the resonance circuit, a load associated with the device can be "seen" by the NFMR power supply. This load can, in turn, be used by the NFMR power supply to determine the power requirements of the resonance circuit as well as how the required power must be distributed amongst the various devices included in the resonance circuit. On the other hand, when a device "de-tunes", then the device no longer resonates with the NFMR power supply and is effectively removed from the resonance circuit and receives little or no additional power.

[0030] It should be noted that various environmental factors can have an effect on the efficiency of power transfer from the NFMR power supply to those devices included in the resonance circuit. For example, any object (metallic, for example) that can interfere with the magnetic coupling between the NFMR power supply and those device wirelessly receiving power can adversely affect both the amount of power supplied and the efficiency of the power transfer. This reduction in power transferred or power transfer efficiency can put an undue strain on the NFMR power supply as well as increase the likelihood that particular devices may not have sufficient power to operate at peak efficiency, to execute important functions, or in some cases, not be able to operate at all. In one embodiment, feedback provided by a device to the NFMR power supply indicating that the device requires more power or has experienced a reduction in power can cause the NFMR power supply to try to

- ascertain the reason or reasons why the device has experienced this reduction in power. For example, if the device is moving within a void region (a void being defined as that region having a substantially reduced power transmission or efficiency factor), then the NFMR power supply can attempt to move the void region by
- 5 modifying selected resonance factors (such as resonance frequency) thereby having the effect of moving the void region (hopefully beyond the range of the operating region of the devices wirelessly coupled to the NFMR power supply). In one embodiment, the NFMR power supply can determine that the power transfer efficiency has dropped below a threshold for a device(s) based upon, for example,
- 10 feedback from the affected device(s). In response, the NFMR power supply can respond by modifying the frequency of the magnetic resonance signal until the power efficiency has recovered to above the threshold, by increasing power, or by, in some cases, causing less important or less used devices, to de-tune themselves (thereby removing themselves from the resonance circuit) so as to free up power that can be
- 15 provided to those devices requiring more power. It should be noted that these operations can be carried out in the background in such a way that the user is unaware of the operations taking place. In still another embodiment, the power source can alter phase, frequency and or signal amplitude relative to other links in order to optimize power delivery.
- 20 **[0031]** In order to provide more robust communication between the various devices coupled with the NFMR power supply, each device can provide affirmative feedback to the NFMR power supply using a direct communication channel such as Bluetooth or WiFi. It should be noted, however, that an indirect communication channel can also be used. Such an indirect communication channel can be formed
- 25 using the resonance circuit load factor mediated by the number (and type) of devices wirelessly coupled with the NFMR power supply. Since each device has an associated resonance load (i.e., load perceived by the NFMR power supply when a device is tuned to the proper resonance frequency), an indirect communication channel mediated by load state of the device, or devices in the resonance circuit can
- 30 be established with the NFMR power supply. For example, the NFMR power supply can characterize a particular load state of a resonance circuit by ascertaining the overall resonance load (i.e., sense load on resonant circuit). Any changes to the load state can indicate a change in the status of the resonance circuit which, in turn, can

infer that one or more of the devices previously included in the resonance circuit (i.e., tuned to the NFMR power supply resonant frequency) has dropped out, or de-tuned. In some cases, a Morse code like communication protocol can be established between the NFMR power supply and each of the devices. This Morse code like

5 communication protocol can be based upon a device tuning and de-tuning itself using an identifiable pattern. In this way, a simple device identifier, for example, can be communicated to the NFMR power supply. Using this arrangement, a device that has determined to de-tune itself and to remove itself from the resonance circuit, can signal the NFMR power supply its intent as well as identify itself. In this way, the NFMR

10 power supply can have a more clear understanding of the condition of the resonance circuit and the devices included therein. This device to device communication channel (also referred to as a back channel) can be capable of communicating simple information. Such information can include, for example, a device identifier, a synchronization flag, and so on. It should be noted that this communication channel

15 is independent and separate from other communication channels provided by, for example, WiFi or Bluetooth.

[0032] For example, if keyboard is using power wirelessly provide by the NFMR power supply to charge its battery, when the keyboard determines that the battery is substantially fully charged, then the keyboard can determine that power from the

20 NFMR power supply is no longer required (at least until the battery discharges to a pre-set level). In this case, the keyboard can notify the NFMR power supply that it no longer requires power (or at least until it signals that it requires power at some future point in time). In this case, the NFMR can redirect power away from the keyboard (using, for example, a different resonant frequency when the NFMR power supply is

25 equipped to transmit power on a number of frequency ranges, or bands) or the keyboard can remove itself from the resonance circuit (either on its own or as directed) by de-tuning itself. In this way, the load of the resonance circuit can be reduced allowing more power to be wirelessly delivered to the other devices in the resonance circuit. It should be noted that for efficiency and environmental reasons,

30 the NFMR power supply will provide only as much power as is needed. For example, as battery charges up then less power is required. In this way, the charge state of the battery can be communicated to the NFMR power supply that can respond by reducing, or throttling back, the power provided to the keyboard.

[0033] It should be noted that while a device can be removed from the resonance circuit by the process of de-tuning, the device can be added to the resonance circuit by tuning it. By tuning (and conversely de-tuning) it is meant that circuit characteristics (such as resistance) can be changed resulting in the circuit Q increasing in the case of tuning or decreasing in the case of de-tuning. It should be noted that the relative increase or decrease in Q for a circuit can be dependent upon the circuit and applications to which the circuit is used.

[0034] When a device is brought within range R of the power supply, then the load experienced by the power supply increases by that amount corresponding to the device. In this way, proximity detection can be thought as having taken place that can trigger an action to be taken. For example, if a portable media player is brought within range R of a desktop computer, then the proximity signal generated by the change in load experienced by the power supply can cause the desktop computer to initiate a synchronization process, for example, between the portable media player and the desktop computer.

[0035] The communication channels established between the various devices in the resonance circuit can be used for the devices to determine amongst themselves which device takes priority with regards to power supplied by the NFMR power supply. In other cases, a host device (that includes the NFMR power supply and any associated computing resources) can act as aggregator. By aggregator, it is meant that the host device can determine the priority of those devices for receiving power, how much power to receive, and for how long. It should be noted that some devices and or some operations performed by a device can have a higher priority than other devices and or operations. For example, a high priority device(s) may require guaranteed power for operation (such as using a mouse vs charging a portable media player). The host device can use any suitable priority mechanism (round robin, for example).

[0036] In another embodiment, the devices receiving power can communicate amongst themselves to determine which device has priority. The devices understand their own operating points, such as a minimum amount of power to perform certain function, maximum power required to perform all functions. In this way, each device can provide a desired amount of power, a list of functions that can be performed, and a minimum amount of power required for operation. The source can determine how much power can be delivered and which device can get the power it needs. In some

cases, the devices themselves set the priority, in other cases, the host device sets the priority. When a device is not receiving power, it removes itself from the resonance circuit by de-tuning, and returns to the circuit by re-tuning.

[0037] It should be noted that the NFMR power supply can use any number of protocols to wirelessly provide power to the various devices included in the resonance circuit. For example, the NFMR power supply can include a plurality of resonator circuits each arranged to resonate at a particular frequency. In this way, the NFMR power supply can provide power orthogonally using different frequency bands. In this way, a device can have multiple resonant frequencies in order to take advantage of the frequency bands provided by the NFMR power supply. For example, the NFMR power supply can wirelessly provide power using multiple frequency bands where a number of devices can each tune themselves to a particular frequency. In this way, frequency shifting techniques can be used to more efficiently transfer power to the plurality of devices within range of the NFMR power supply.

[0038] Other mechanisms for a single NFMR power supply to independently transmit power to more than one device includes time multiplexing as shown in Fig. 4. As illustrated, devices 400, 402 and 404 can each take turns tuning and de-tuning themselves such that at any one time only one of the devices is receiving power. For example, during a period 1, device 400 receives power by tuning itself to at least one of the available resonant frequencies while devices 402 and 404 are de-tuned. Once device 400 has completed its power cycle, device 400 de-tunes itself and device 402 tunes itself and receives power wirelessly from the NFMR power supply. Once device 402 completes its power cycle, device 402 de-tunes itself and device 404 tunes itself to at least one of the resonance frequencies to receive power from the NFMR power supply. In other embodiments, the NFMR power supply can use frequency multiplexing in which the NFMR can toggle amongst a number of frequencies each one tuned to a particular device. The device can receive power only when the device resonates with a current frequency of the power supply.

[0039] The closed loop control can also affect the modes of operation of the devices in the resonance circuit. For example, a keyboard can determine an amount of power received from the source which will depend upon the distance between the source and the keyboard (as well as the presence of any interfering objects). If the power received falls below a threshold, then the keyboard can use more battery power

or request that the source increase power. In some cases, if the power provided can not be increased to meet the current operating requirements of the keyboard, then the keyboard can take action to reduce its power requirements by, for example, reducing backlight, etc. It should be noted that as discussed above, the reduction on power
5 received by the keyboard can be caused by many other factors other than an increase in distance. Such factors can include, for example, the presence of voids, objects, other devices added to the circuit, and so on.

[0040] Fig. 5 illustrates a wireless transmission or charging system 500, in accordance with various exemplary embodiments. Input power 502 is provided to a
10 transmitter 504 for generating a radiated field 506 for providing energy transfer. A receiver 508 couples to the radiated field 506 and generates an output power 510 for storing or consumption by a device (not shown) coupled to the output power 510. Both the transmitter 504 and the receiver 508 are separated by a distance 512. In one exemplary embodiment, transmitter 504 and receiver 508 are configured according to
15 a mutual resonant relationship and when the resonant frequency of receiver 508 and the resonant frequency of transmitter 504 are very close, transmission losses between the transmitter 504 and the receiver 508 are minimal when the receiver 508 is located in the "near-field" of the radiated field 506.

[0041] Transmitter 504 further includes a transmit antenna 514 for providing a
20 means for energy transmission and receiver 508 further includes a receive antenna 518 for providing a means for energy reception. The transmit and receive antennas are sized according to applications and devices to be associated therewith. As stated, an efficient energy transfer occurs by coupling a large portion of the energy in the near-field of the transmitting antenna to a receiving antenna rather than propagating
25 most of the energy in an electromagnetic wave to the far field. When in this near-field a coupling mode may be developed between the transmit antenna 514 and the receive antenna 518. The area around the antennas 514 and 518 where this near-field coupling may occur is referred to herein as a coupling-mode region.

[0042] Fig. 6 shows a simplified schematic diagram of a wireless power transfer
30 system. The transmitter 604 includes an oscillator 622, a power amplifier 624 and a filter and matching circuit 626. The oscillator is configured to generate a desired frequency, which may be adjusted in response to adjustment signal 623. The oscillator signal may be amplified by the power amplifier 624 with an amplification

amount responsive to control signal 625. The filter and matching circuit 626 may be included to filter out harmonics or other unwanted frequencies and match the impedance of the transmitter 604 to the transmit antenna 514.

5 [0043] The receiver 608 may include a matching circuit 632 and a rectifier and switching circuit 634 to generate a DC power output to charge a battery 636 as shown in FIG. 6 or power a device coupled to the receiver (not shown). The matching circuit 632 may be included to match the impedance of the receiver 508 to the receive antenna 518. The receiver 508 and transmitter 504 may communicate on a separate communication channel 619 (e.g., Bluetooth, zigbee, cellular, etc).

10 [0044] As illustrated in Fig. 7, antennas used in exemplary embodiments may be configured as a "loop" antenna 750, which may also be referred to herein as a "magnetic" antenna. Loop antennas may be configured to include an air core or a physical core such as a ferrite core. Air core loop antennas may be more tolerable to extraneous physical devices placed in the vicinity of the core. Furthermore, an air
15 core loop antenna allows the placement of other components within the core area. In addition, an air core loop may more readily enable placement of the receive antenna 518 (FIG. 5, 6) within a plane of the transmit antenna 514 (FIG. 5, 6) where the coupled-mode region of the transmit antenna 514 (FIG. 5, 6) may be more powerful.

[0045] As stated, efficient transfer of energy between the transmitter 104 and
20 receiver 508 occurs during matched or nearly matched resonance between the transmitter 504 and the receiver 508. However, even when resonance between the transmitter 504 and receiver 508 are not matched, energy may be transferred at a lower efficiency. Transfer of energy occurs by coupling energy from the near-field of the transmitting antenna to the receiving antenna residing in the neighborhood where
25 this near-field is established rather than propagating the energy from the transmitting antenna into free space.

[0046] The resonant frequency of the loop or magnetic antennas is based on the inductance and capacitance. Inductance in a loop antenna is generally simply the inductance created by the loop, whereas, capacitance is generally added to the loop
30 antenna's inductance to create a resonant structure at a desired resonant frequency. As a non-limiting example, capacitor 752 and capacitor 754 may be added to the antenna to create a resonant circuit that generates resonant signal 756. Accordingly, for larger diameter loop antennas, the size of capacitance needed to induce resonance decreases

as the diameter or inductance of the loop increases. Furthermore, as the diameter of the loop or magnetic antenna increases, the efficient energy transfer area of the near-field increases. Of course, other resonant circuits are possible. As another non-limiting example, a capacitor may be placed in parallel between the two terminals of the loop antenna. In addition, those of ordinary skill in the art will recognize that for transmit antennas the resonant signal 756 may be an input to the loop antenna 750.

[0047] Exemplary embodiments of the invention include coupling power between two antennas that are in the near-fields of each other. As stated, the near-field is an area around the antenna in which electromagnetic fields exist but may not propagate or radiate away from the antenna. They are typically confined to a volume that is near the physical volume of the antenna. In the exemplary embodiments of the invention, magnetic type antennas such as single and multi-turn loop antennas are used for both transmit (Tx) and receive (Rx) antenna systems since magnetic near-field amplitudes tend to be higher for magnetic type antennas in comparison to the electric near-fields of an electric-type antenna (e.g., a small dipole). This allows for potentially higher coupling between the pair. Furthermore, "electric" antennas (e.g., dipoles and monopoles) or a combination of magnetic and electric antennas is also contemplated.

[0048] The Tx antenna can be operated at a frequency that is low enough and with an antenna size that is large enough to achieve good coupling (e.g., >-4 dB) to a small Rx antenna at significantly larger distances than allowed by far field and inductive approaches mentioned earlier. If the Tx antenna is sized correctly, high coupling levels (e.g., -1 to -4 dB) can be achieved when the Rx antenna on a host device is placed within a coupling-mode region (i.e., in the near-field) of the driven Tx loop antenna.

[0049] Fig. 8 shows a flowchart detailing process 800 in accordance with the described embodiments. Process 800 can begin at 802 by creating a first coupling mode region of an electromagnetic field within a near field of a power supply transmit antenna. Next at 804, the electromagnetic field and a receiver antenna of a first receiver device are coupled with the coupling mode region. At 806, a second coupling mode region of the electromagnetic field different from the first coupling mode region is created with a near field of a transmit antenna of the first receiver device. At 808, the electromagnetic field is coupled to a receive antenna of second receiver device in the near field of the transmit antenna of the first receiver device. At

- 810, power is wirelessly delivered from the power supply to the first receiver device by way of the power supply transmit antenna using the first coupling mode region of the electromagnetic field. At 812, at least some of the power wirelessly delivered to the first receiver device is wirelessly re-transmitted to the second receiver device by way of the first receiver transmit antenna using the second coupling mode region of the electromagnetic field.
- 5

WHAT IS CLAIMED IS:

1. A wirelessly powered local computing environment, the local computing environment including a near field magnetic resonance wireless power supply arranged to use a resonance channel to transfer useable energy to resonance circuits
5 within a near field distance D, the distance D defining an outermost range of the NFMR power supply, comprising:
a central processing unit, the central processing unit providing processing resources to the NFMR power supply; and
a plurality of peripheral devices each having a tunable resonance circuit
10 suitably adapted to receive power wirelessly from the NFMR power supply, wherein when at least one of the plurality of devices is within range of the NFMR power supply, the device tunes the resonance circuit to at least one of the resonance frequencies of the NFMR power supply and subsequently de-tunes the resonance circuit to provide a device identification to the NFMR power supply using a change in
15 a resonance circuit load factor.
2. The local computing environment as recited in claim 1, wherein at least one of the peripheral devices includes a re-resonator circuit, the re-resonator circuit arranged to wirelessly provide a portion of the power received by the peripheral device from the NFMR power supply to at least one other peripheral client device.
- 20 3. A battery charging circuit, comprising:
a first node arranged to receive wirelessly provided power;
a short term charge storage device having a first charge capacity; and
a long term storage device having a second charge capacity, wherein the second charge capacity is substantially greater than the first charge capacity, wherein
25 the long term storage device is charged by,
(A) storing charge corresponding to the power wirelessly received at the first node into the short term charge storage device,
(B) when the stored charge is equal to about the first charge capacity, then passing the stored charge from the short term storage device to the long
30 term storage device, and
(C) repeating (A) and (B) until the charge stored in the long term storage device is about equal to the second charge capacity.

4. The circuit as recited in claim 3, wherein the short term charge storage device is an ultracapacitor and wherein the long term charge storage device is a LiPO battery.
5. A method of wirelessly transmitting power, comprising:
 - creating a first coupling mode region of an electromagnetic field within a near
 - 5 field of a power supply transmit antenna;
 - coupling the electromagnetic field and a receiver antenna of a first receiver device within the coupling mode region;
 - creating a second coupling mode region of the electromagnetic field different from the first coupling mode region within a near field of a transmit antenna of the
 - 10 first receiver device;
 - coupling the electromagnetic field to a receive antenna of second receiver device in the near field of the transmit antenna of the first receiver device;
 - wirelessly delivering power from the power supply to the first receiver device by way of the power supply transmit antenna using the first coupling mode region of
 - 15 the electromagnetic field; and
 - wirelessly delivering at least some of the power wirelessly delivered to the first receiver device is wirelessly by re-transmitting the at least some power to the second receiver device by way of the first receiver transmit antenna using the second coupling mode region of the electromagnetic field.
- 20 6. The method as recited in claim 5, wherein the first receiver device is a keyboard.
7. The method as recited in claim 6, wherein the second receiver device is a mouse.
8. The method as recited in claim 7, wherein the power supply is included in a
- 25 host computing device.
9. The method as recited in claim 8, wherein the second coupling mode region is between the keyboard and the mouse.
10. The method as recited in claim 9, wherein the first coupling mode region is between the host computing device and the keyboard.
- 30 11. The method as recited in claim 9, wherein the first coupling mode region is between the host computing device and the mouse.

1/8

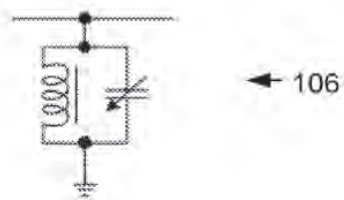
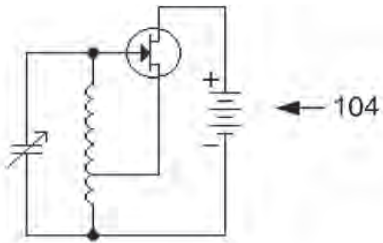
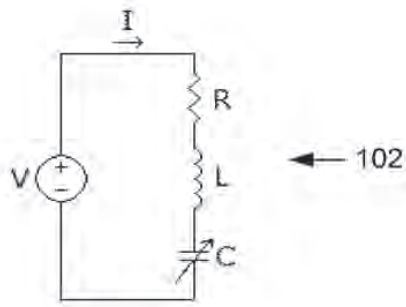


Fig. 1

2/8

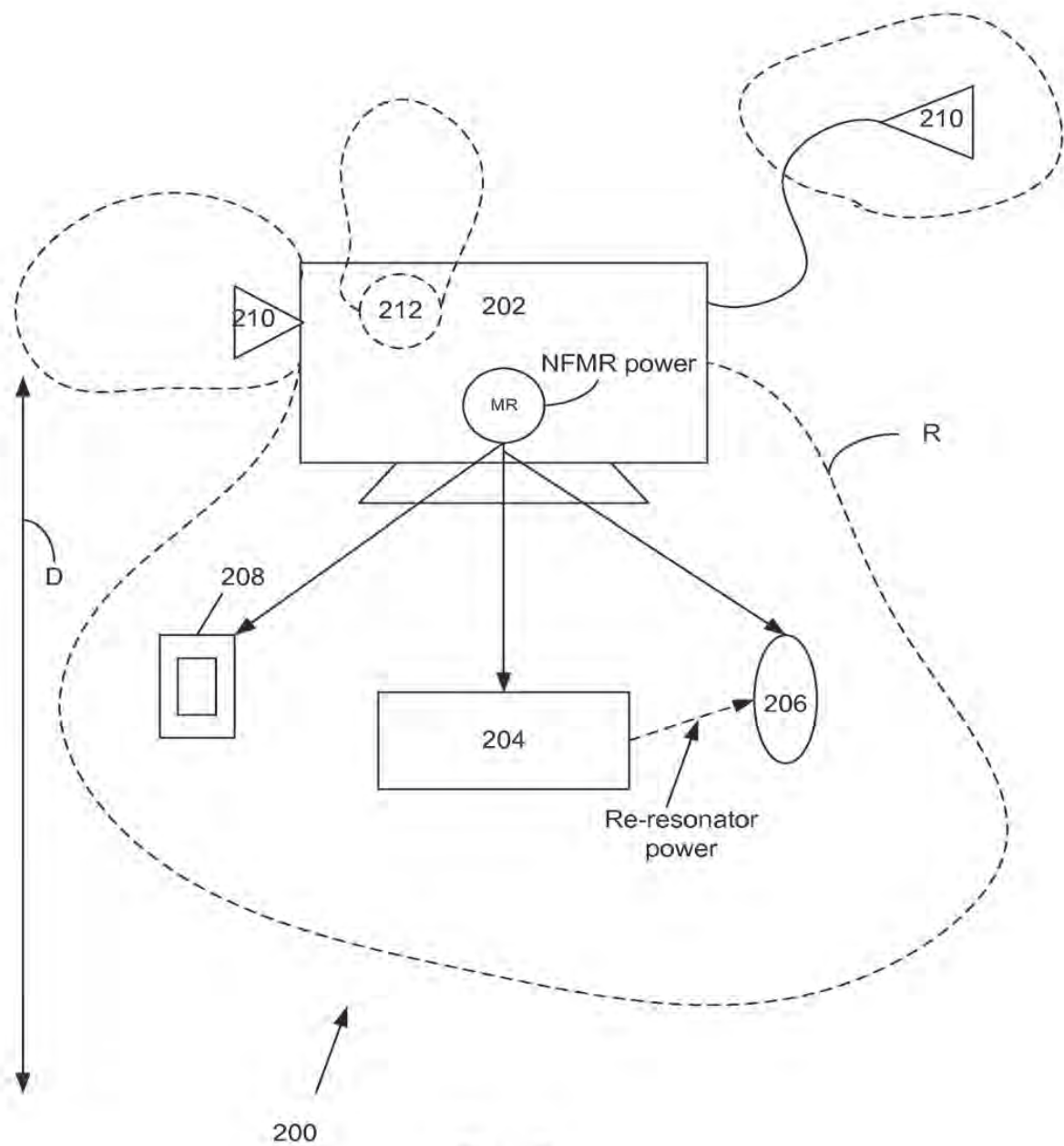


Fig. 2

3/8

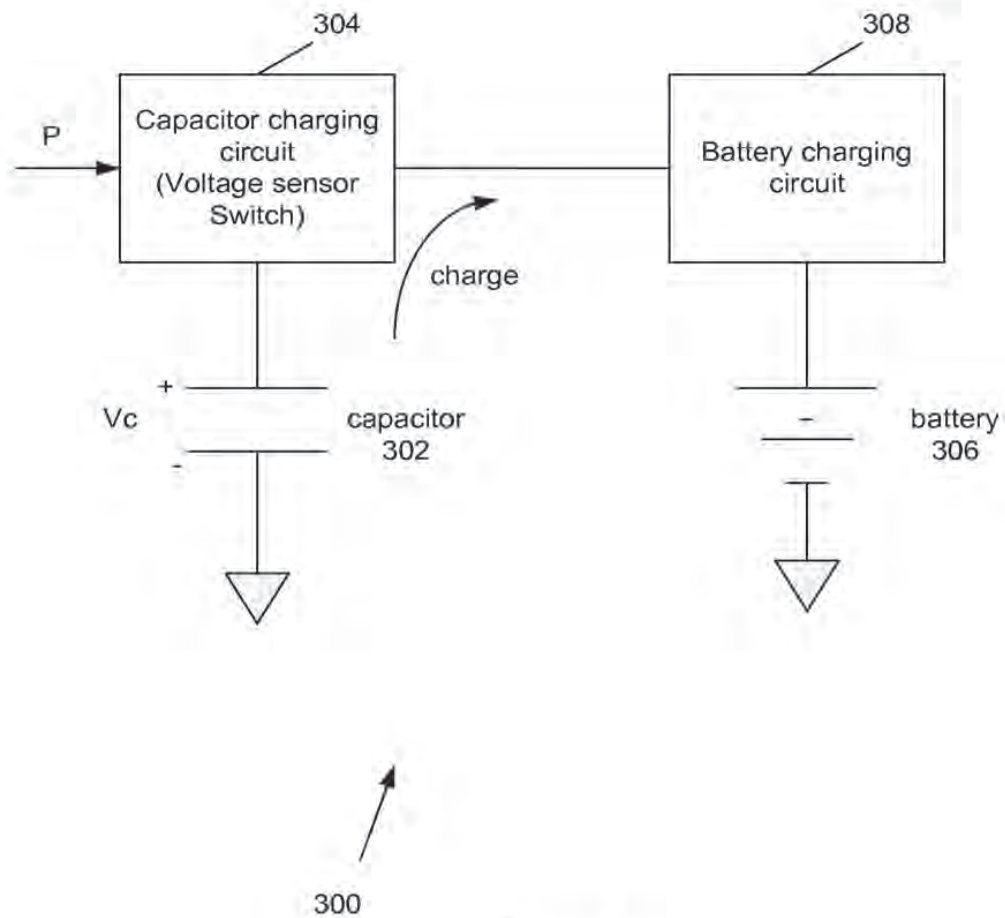


Fig. 3

4/8

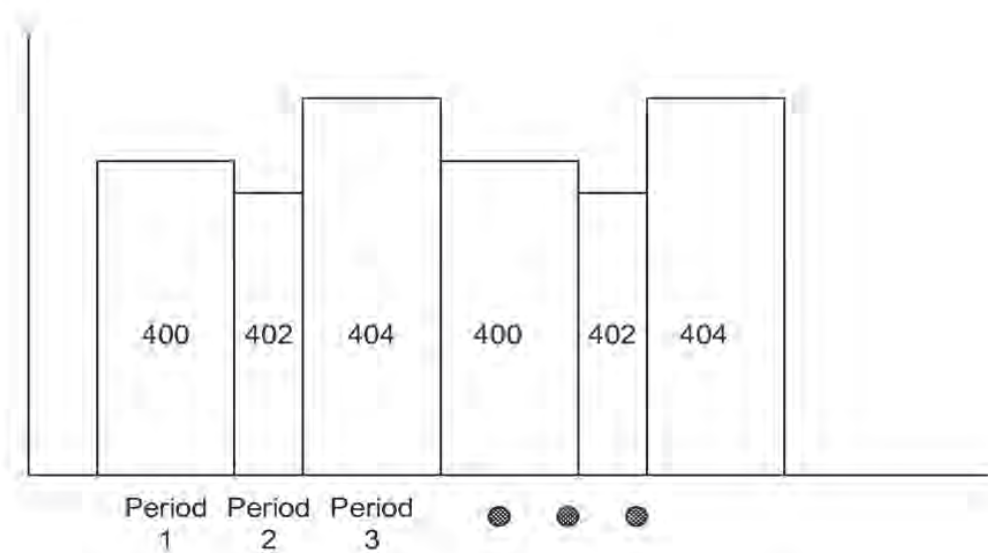


Fig. 4

5/8

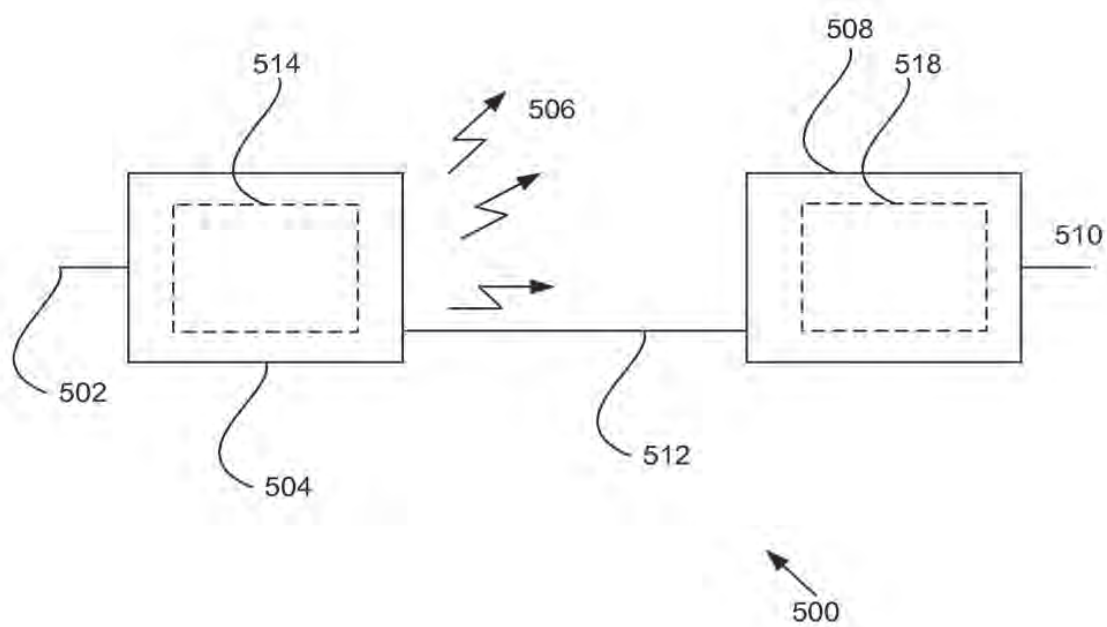


Fig. 5

6/8

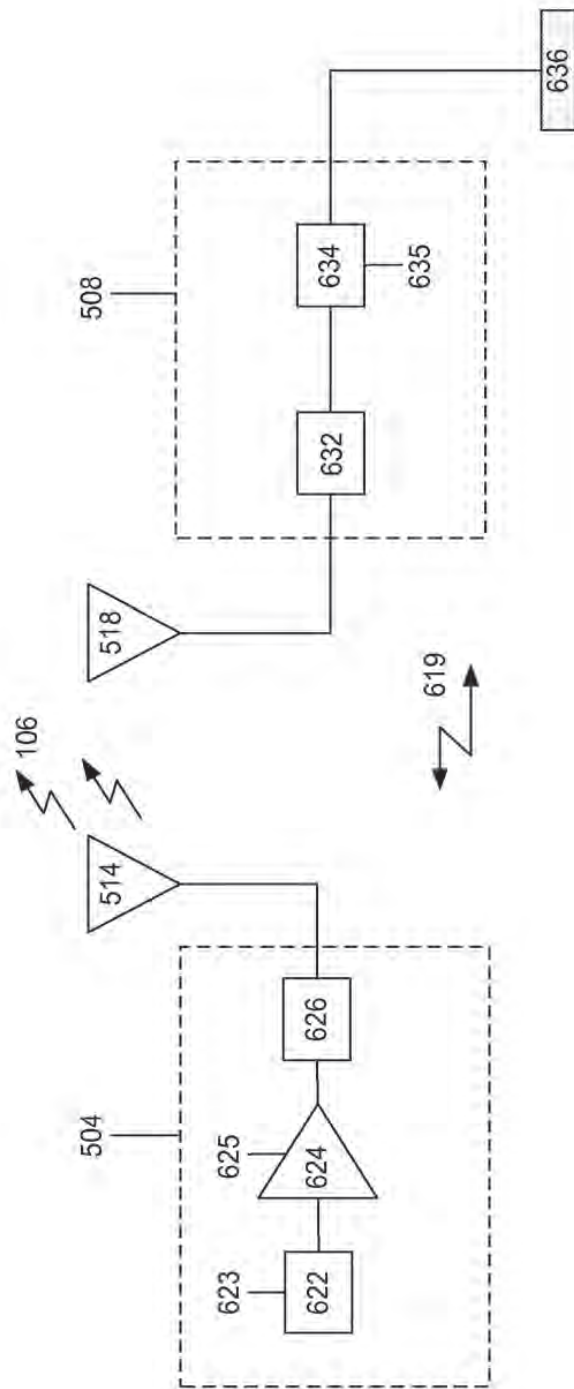


Fig. 6

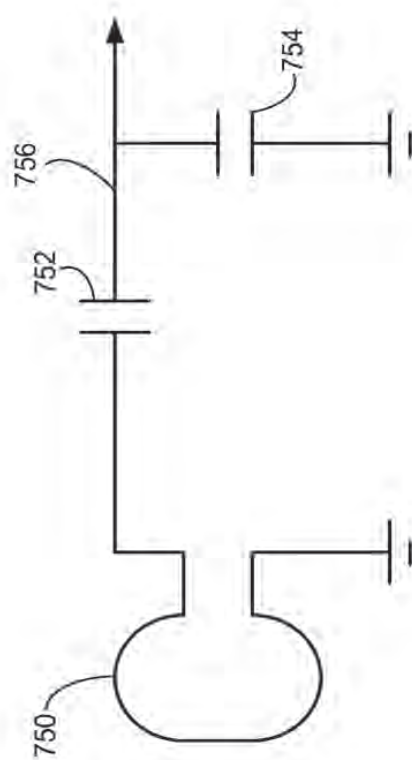
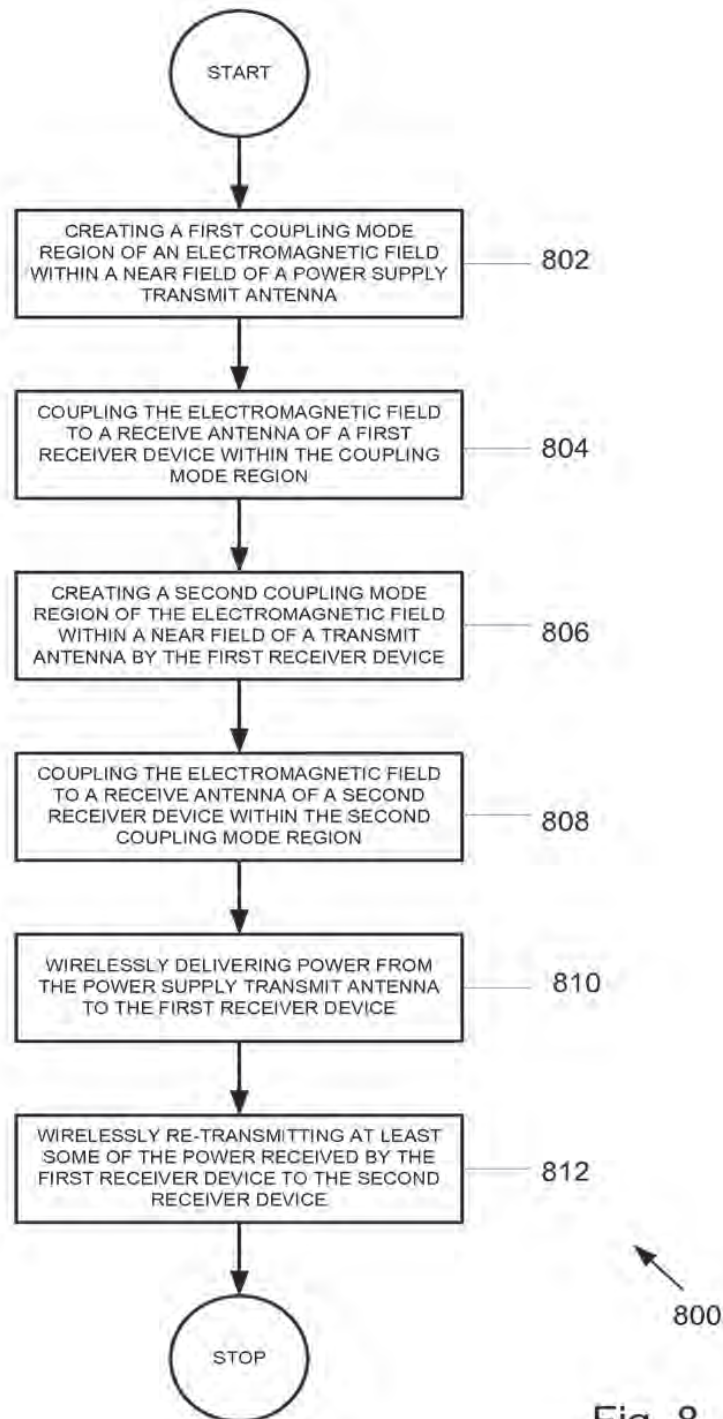


Fig. 7

8/8





(43) International Publication Date
26 May 2011 (26.05.2011)

(10) International Publication Number
WO 2011/062827 A3

(51) International Patent Classification:
G06F 1/26 (2006.01)

(21) International Application Number:

PCT/US2010/056240

(22) International Filing Date:

10 November 2010 (10.11.2010)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

61/262,086 17 November 2009 (17.11.2009) US

(71) Applicant (for all designated States except US): **APPLE INC.** [US/US]; 1 Infinite Loop, Cupertino, California 95014 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **CULBERT, Michael F.** [US/US]; 1 Infinite Loop, MS 305-2GM, Cupertino, California 95014 (US). **BILBREY, Brett C.** [US/US]; 1 Infinite Loop, MS 305-2GM, Cupertino, California 95014 (US). **SIMON, David I.** [US/US]; 1 Infinite

Loop, MS 305-2GM, Cupertino, California 95014 (US).

ARNOLD, Peter M. [US/US]; 1 Infinite Loop, MS 306-3P, Cupertino, California 95014 (US).

(74) Agents: **FERRAZANO, Michael J.** et al.; Beyer Law Group LLP, P.O. Box 1687, Cupertino, California 95015-1687 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ,

[Continued on next page]

(54) Title: WIRELESS POWER UTILIZATION IN A LOCAL COMPUTING ENVIRONMENT

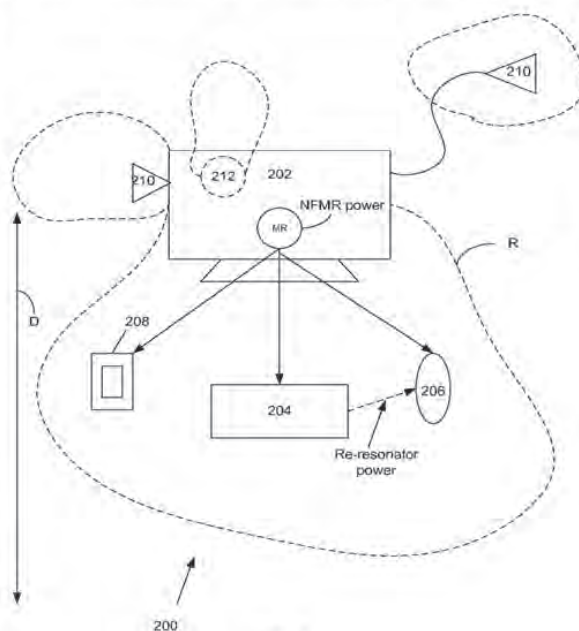


Fig. 2

(57) Abstract: Various embodiments of a wirelessly powered local computing environment are described. The wirelessly powered local computing environment includes at least a near field magnetic resonance (NFM) power supply arranged to wirelessly provide power to any of a number of suitably configured devices. In the described embodiments, the devices arranged to receive power wirelessly from the NFM power supply must be located in a region known as the near field that extends no further than a distance D of a few times a characteristic size of the NFM power supply transmission device. Typically, the distance D can be on the order of 1 meter or so.

WO 2011/062827 A3



TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

Declarations under Rule 4.17:

- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

(88) Date of publication of the international search report:

14 July 2011

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2010/056240

A. CLASSIFICATION OF SUBJECT MATTER INV. G06F1/26 ADD.		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) G06F H02J H04B		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 2009/271048 A1 (WAKAMATSU MASATAKA [JP]) 29 October 2009 (2009-10-29) Paragraph [0044] - [0046]; Paragraph [0107] - [0109]; Paragraph [0116] - [0141]; Paragraph [0160] - [0167]; paragraph [0177] - paragraph [0180]; figures 1,7,10,12,14	1,2
Y	US 5 701 121 A (MURDOCH GRAHAM ALEXANDER M [AU]) 23 December 1997 (1997-12-23) column 11, line 60 - column 13, line 19	1
X	US 2009/134712 A1 (COOK NIGEL P [US] ET AL) 28 May 2009 (2009-05-28)	5-11
Y	paragraph [0019] - paragraph [0041]; figure 1	2
----- -/-		
☒ Further documents are listed in the continuation of Box C. ☒ See patent family annex.		
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family		
Date of the actual completion of the international search 5 May 2011		Date of mailing of the international search report 24/05/2011
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016		Authorized officer Alonso Nogueiro, M

Form PCT/ISA/210 (second sheet) (April 2005)

INTERNATIONAL SEARCH REPORTInternational application No.
PCT/US2010/056240**Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☒ No protest accompanied the payment of additional search fees.

Form PCT/ISA/210 (continuation of first sheet (2)) (April 2005)

INTERNATIONAL SEARCH REPORT

International application No

PCT/US2010/056240

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No:
X	EP 0 903 830 A2 (NOKIA TECHNOLOGY GMBH [DE] SALCOMP OY [FI]) 24 March 1999 (1999-03-24) paragraph [0018] - paragraph [0029]; figure 1 -----	3,4
X	US 2003/214821 A1 (GIANNOPOULOS DEMETRI [US] ET AL) 20 November 2003 (2003-11-20) paragraph [0012] - paragraph [0019]; paragraph [0029] - paragraph [0031]; figures 1,5 -----	3,4
X	US 6 633 155 B1 (LIANG HUI-PIN [TW]) 14 October 2003 (2003-10-14) column 2, line 24 - column 2, line 58; figure 2 -----	3,4
X	US 2009/058189 A1 (COOK NIGEL P [US] ET AL) 5 March 2009 (2009-03-05) paragraph [0038]; paragraph [0010] - paragraph [0017]; figure 1 -----	5-11
X,P	US 2009/286470 A1 (MOHAMMADIAN ALIREZA HORMOZ [US] ET AL) 19 November 2009 (2009-11-19) paragraph [0136] - paragraph [0145]; figures 17a,17b,18a,18b -----	5-11

Form PCT/ISA/210 (continuation of second sheet) (April 2005)

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2010/056240

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2009271048 A1	29-10-2009	JP 4544339 B2 JP 2009268311 A	15-09-2010 12-11-2009
US 5701121 A	23-12-1997	NONE	
US 2009134712 A1	28-05-2009	CN 101911387 A EP 2232636 A1 JP 2011505103 T KR 20100090711 A WO 2010036279 A1	08-12-2010 29-09-2010 17-02-2011 16-08-2010 01-04-2010
EP 0903830 A2	24-03-1999	AT 283567 T DE 19836401 A1 US 6028413 A	15-12-2004 17-02-2000 22-02-2000
US 2003214821 A1	20-11-2003	AU 2003230103 A1 CN 1653670 A EP 1506606 A1 WO 03098773 A1 JP 2005526474 T	02-12-2003 10-08-2005 16-02-2005 27-11-2003 02-09-2005
US 6633155 B1	14-10-2003	NONE	
US 2009058189 A1	05-03-2009	CN 101803224 A EP 2186211 A2 JP 2010537496 T KR 20100042292 A US 2009170148 A1 WO 2009023646 A2	11-08-2010 19-05-2010 02-12-2010 23-04-2010 02-07-2009 19-02-2009
US 2009286470 A1	19-11-2009	CN 102027683 A CN 102027684 A CN 102027685 A CN 102027686 A CN 102027687 A CN 102027688 A CN 102027689 A CN 102027690 A CN 102027691 A EP 2294709 A2 EP 2294710 A2 EP 2294711 A1 EP 2294712 A1 EP 2294713 A1 EP 2294714 A2 EP 2286521 A1	20-04-2011 20-04-2011 20-04-2011 20-04-2011 20-04-2011 20-04-2011 20-04-2011 20-04-2011 20-04-2011 16-03-2011 16-03-2011 16-03-2011 16-03-2011 16-03-2011 16-03-2011 23-02-2011
US 2009286470 A1		EP 2294715 A1 EP 2294708 A1 KR 20110014641 A KR 20110014642 A KR 20110010104 A KR 20110009225 A KR 20110010105 A KR 20110009226 A KR 20110009227 A KR 20110009228 A KR 20110009229 A	16-03-2011 16-03-2011 11-02-2011 11-02-2011 31-01-2011 27-01-2011 31-01-2011 27-01-2011 27-01-2011 27-01-2011 27-01-2011

Form PCT/SA/210 (patent family annex) (April 2005)

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2010/056240

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
		US 2009284369 A1	19-11-2009
		US 2009286475 A1	19-11-2009
		US 2009286476 A1	19-11-2009
		US 2009284218 A1	19-11-2009
		US 2009284227 A1	19-11-2009
		US 2009284220 A1	19-11-2009
		US 2009284082 A1	19-11-2009
		US 2009284245 A1	19-11-2009
		WO 2009140216 A2	19-11-2009
		WO 2009140217 A2	19-11-2009
		WO 2009140218 A1	19-11-2009
		WO 2009140219 A1	19-11-2009
		WO 2010047850 A1	29-04-2010
		WO 2009140220 A1	19-11-2009
		WO 2009140221 A2	19-11-2009
		WO 2009140222 A1	19-11-2009
		WO 2009140223 A1	19-11-2009

Form PCT/ISA/210 (patent family annex) (April 2005)

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1, 2

The invention is directed to a wireless power transmission system using magnetic resonance power transmission to a plurality of tunable power receiver apparatuses. The invention furthermore tunes and detunes the resonant circuit of the power receiver devices to communicate with the wireless power supply.

2. claims: 3, 4

The invention is directed to a battery charging circuit arranged to receive wireless power. The invention furthermore comprises a short term charge storage device where wirelessly transmitted power is stored before recharging the internal battery.

3. claims: 5-11

The invention is directed to a wireless power transmission system using magnetic resonance power transmission to a plurality of tunable power receiver apparatuses. The invention furthermore creates a second coupling mode region in the near of a power receiver device to wirelessly deliver some of the wirelessly received power from the transmitter to a second power receiver device.

P80157.001601

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

To: JOHN NORTRUP
STRATEGIC PATENTS, P.C.
C/O CPA GLOBAL
P.O. BOX 52050
MINNEAPOLIS, MN 55402

PCT

NOTIFICATION OF TRANSMITTAL OF
THE INTERNATIONAL SEARCH REPORT AND
THE WRITTEN OPINION OF THE INTERNATIONAL
SEARCHING AUTHORITY, OR THE DECLARATION

(PCT Rule 44.1)

Date of mailing
(day/month/year)

05 JUL 2011

Applicant's or agent's file reference
WTCY0053PWO

FOR FURTHER ACTION See paragraphs 1 and 4 below

International application No.
PCT/US2011/027868

International filing date
(day/month/year) 10 March 2011

Applicant WITRICITY CORPORATION

1. ☒ The applicant is hereby notified that the international search report and the written opinion of the International Searching Authority have been established and are transmitted herewith.

Filing of amendments and statement under Article 19:

The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46):

When? The time limit for filing such amendments is normally two months from the date of transmittal of the international search report.

Where? Directly to the International Bureau of WIPO, 34 chemin des Colombettes
1211 Geneva 20, Switzerland, Facsimile No.: +41 22 338 82 70

For more detailed instructions, see *PCT Applicant's Guide*, International Phase, paragraphs 9.004 – 9.011.

2. ☐ The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith.
3. ☐ With regard to any protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:
- ☐ the protest together with the decision thereon has been transmitted to the International Bureau together with any request to forward the texts of both the protest and the decision thereon to the designated Offices.
- ☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. **Reminders**

The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or is to be established. Following the expiration of 30 months from the priority date, these comments will also be made available to the public.

Shortly after the expiration of **18 months** from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau before the completion of the technical preparations for international publication (Rules 90bis.1 and 90bis.3).

Within **19 months** from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase **until 30 months** from the priority date (in some Offices even later); otherwise, the applicant must, **within 20 months** from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices.

In respect of other designated Offices, the time limit of **30 months** (or later) will apply even if no demand is filed within 19 months.

For details about the applicable time limits, Office by Office, see www.wipo.int/pct/en/texts/time_limits.html and the *PCT Applicant's Guide*, National Chapters.

Name and mailing address of the ISA/
Mail Stop PCT, Attn: ISA/US
Commissioner for Patents
P.O. Box 1450, Alexandria, Virginia 22313-1450
Facsimile No. 571-273-3201

Authorized officer

Blaine R. Copenheaver

PCT Helpdesk: 571-272-4300

Telephone No. PCT OSP: 571-272-7777

Form PCT/ISA/220 (July 2010)



PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

NOTIFICATION OF TRANSMITTAL OF
THE INTERNATIONAL SEARCH REPORT AND
THE WRITTEN OPINION OF THE INTERNATIONAL
SEARCHING AUTHORITY, OR THE DECLARATION

(PCT Rule 44.1)

To: JOHN NORTRUP STRATEGIC PATENTS, P.C. C/O CPA GLOBAL P.O. BOX 52050 MINNEAPOLIS, MN 55402	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; padding: 2px;">Date of mailing (day/month/year)</td> <td style="padding: 2px; text-align: center; font-size: 1.2em;">05 JUL 2011</td> </tr> </table>	Date of mailing (day/month/year)	05 JUL 2011
Date of mailing (day/month/year)	05 JUL 2011		
Applicant's or agent's file reference WTCY0053PWO	FOR FURTHER ACTION See paragraphs 1 and 4 below		
International application No. PCT/US2011/027868	International filing date (day/month/year) 10 March 2011		
Applicant WITRICITY CORPORATION			

1.	☒	The applicant is hereby notified that the international search report and the written opinion of the International Searching Authority have been established and are transmitted herewith. Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46): When? The time limit for filing such amendments is normally two months from the date of transmittal of the international search report. Where? Directly to the International Bureau of WIPO, 34 chemin des Colombettes 1211 Geneva 20, Switzerland, Facsimile No.: +41 22 338 82 70 For more detailed instructions, see PCT Applicant's Guide, International Phase, paragraphs 9.004 -- 9.011.
2.	☐	The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith.
3.	☐	With regard to any protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that: ☐ the protest together with the decision thereon has been transmitted to the International Bureau together with any request to forward the texts of both the protest and the decision thereon to the designated Offices. ☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.
4.		Reminders The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or is to be established. Following the expiration of 30 months from the priority date, these comments will also be made available to the public. Shortly after the expiration of 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau before the completion of the technical preparations for international publication (Rules 90bis.1 and 90bis.3). Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later); otherwise, the applicant must, within 20 months from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices. In respect of other designated Offices, the time limit of 30 months (or later) will apply even if no demand is filed within 19 months. For details about the applicable time limits, Office by Office, see www.wipo.int/pct/en/texts/time_limits.html and the <i>PCT Applicant's Guide, National Chapters</i> .

Name and mailing address of the ISA/ Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201	Authorized officer Blaine R. Copenheaver PCT Helpdesk: 571-272-4300 Telephone No. PCT OSP: 571-272-7774
---	--

Form PCT/ISA/220 (July 2010)

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference WTCY0053PWO	FOR FURTHER ACTION see Form PCT/ISA/220 as well as, where applicable, item 5 below.	
International application No. PCT/US2011/027868	International filing date (day/month/year) 10 March 2011	(Earliest) Priority Date (day/month/year) 10 March 2010
Applicant WITRICITY CORPORATION		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 2 sheets.

☐ It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

a. With regard to the **language**, the international search was carried out on the basis of:

- ☒ the international application in the language in which it was filed.
☐ a translation of the international application into _____ which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).

b. ☐ This international search report has been established taking into account the **rectification of an obvious mistake** authorized by or notified to this Authority under Rule 91 (Rule 43.6bis(a)).

c. ☐ With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, see Box No. I.

2. ☐ **Certain claims were found unsearchable** (see Box No. II).

3. ☐ **Unity of invention is lacking** (see Box No. III).

4. With regard to the **title**,

- ☒ the text is approved as submitted by the applicant.
☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

- ☒ the text is approved as submitted by the applicant.
☐ the text has been established, according to Rule 38.2, by this Authority as it appears in Box No. IV. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. With regard to the **drawings**,

- a. the figure of the **drawings** to be published with the abstract is Figure No. 1
☒ as suggested by the applicant.
☐ as selected by this Authority, because the applicant failed to suggest a figure.
☐ as selected by this Authority, because this figure better characterizes the invention.
- b. ☐ none of the figures is to be published with the abstract.

Form PCT/ISA/210 (first sheet) (July 2009)

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2011/027868

A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - H04B 5/00 (2011.01) USPC - 307/104 According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) IPC(8) - H04B 5/00; H01F 38/00; H02J 17/00; H01P 7/00, 7/06 (2011.01) USPC - 307/104, 333/219, 230; 455/41.1		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) MicroPatent, Questel Orbit, USPTO EAST System (US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT), Google Patent		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2009/0085408 A1 (BRUHN) 02 April 2009 (02.04.2009) entire document	1-4, 11-12, 14-15, 17
Y		5-10, 13, 16, 18-19
Y	US 2009/0243397 A1 (COOK et al) 01 October 2009 (01.10.2009) entire document	5, 8-10, 16, 18
Y	US 2007/0021140 A1 (KEYES, IV et al) 25 January 2007 (25.01.2007) entire document	6-7
Y	US 2008/0266748 A1 (LEE) 30 October 2008 (30.10.2008) entire document	13, 19
A	US 2008/0036588 A1 (IVERSON et al) 14 February 2008 (14.02.2008) entire document	20-25
A	US 6,664,770 B1 (BARTELS) 16 December 2003 (16.12.2003) entire document	1-25
A	US 2004/0000974 A1 (ODENAAL et al) 01 January 2004 (01.01.2004) entire document	1-25
☐ Further documents are listed in the continuation of Box C. ☐		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search 27 June 2011		Date of mailing of the international search report 05 JUL 2011
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201		Authorized officer: Blaine R. Copenheaver PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

Form PCT/ISA/210 (second sheet) (July 2009)

PATENT COOPERATION TREATY

From the
INTERNATIONAL SEARCHING AUTHORITY

To: JOHN NORTRUP
STRATEGIC PATENTS, P.C.
C/O CPA GLOBAL
P.O. BOX 52050
MINNEAPOLIS, MN 55402

PCT

WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY

(PCT Rule 43bis.1)

Date of mailing
(day/month/year)

05 JUL 2011

Applicant's or agent's file reference
WTCY0053PWO

FOR FURTHER ACTION

See paragraph 2 below

International application No.

PCT/US2011/027868

International filing date (day/month/year)

10 March 2011

Priority date (day/month/year)

10 March 2010

International Patent Classification (IPC) or both national classification and IPC
IPC(8) - H04B 5/00 (2011.01)
USPC - 307/104

Applicant WITRICITY CORPORATION

1. This opinion contains indications relating to the following items:

- ☒ Box No. I Basis of the opinion
- ☐ Box No. II Priority
- ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☐ Box No. VII Certain defects in the international application
- ☐ Box No. VIII Certain observations on the international application

2. **FURTHER ACTION**

If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA/US
Mail Stop PCT, Attn: ISA/US
Commissioner for Patents
P.O. Box 1450, Alexandria, Virginia 22313-1450
Facsimile No. 571-273-3201

Date of completion of this opinion

27 June 2011

Authorized officer:

Blaine R. Copenheaver

PCT Helpdesk: 571-272-4300
PCT OSP: 571-272-7774

Form PCT/ISA/237 (cover sheet) (July 2009)

WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY

International application No.
PCT/US2011/027868

6

Box No. I Basis of this opinion

1. With regard to the **language**, this opinion has been established on the basis of:
☒ the international application in the language in which it was filed.
☐ a translation of the international application into _____ which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2. ☐ This opinion has been established taking into account the **rectification of an obvious mistake** authorized by or notified to this Authority under Rule 91 (Rule 43bis.1(a))
3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, this opinion has been established on the basis of a sequence listing filed or furnished:
 - a. (means)
☐ on paper
☐ in electronic form
 - b. (time)
☐ in the international application as filed
☐ together with the international application in electronic form
☐ subsequently to this Authority for the purposes of search
4. ☐ In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished
5. Additional comments:

**WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY**

International application No.

PCT/US2011/027868

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Claims	3, 5-10, 13, 16, 18-19	YES
	Claims	1-2, 4, 11-12, 14-15, 17	NO
Inventive step (IS)	Claims	20-25	YES
	Claims	1-19	NO
Industrial applicability (IA)	Claims	1-25	YES
	Claims	None	NO

2. Citations and explanations:

Claims 1-2, 4, 11-12, 14-15, 17 lack novelty under PCT Article 33(2) as being anticipated by Bruhn.

Regarding claim 1, Bruhn discloses a wireless power converter (apparatus for wireless energy transmission, para 0017, Fig. 9) comprising at least one receiving magnetic resonator 130, 132 configured to capture electrical energy received wirelessly (resonator is "electrically isolated", i.e. galvanically isolated from the primary and secondary circuits, Fig. 9, para 0034) through a first oscillating magnetic field (developed by generator 16 and primary winding 18, Fig. 9, para 0034) characterized by a first plurality of parameters (operating at the fundamental frequency, para 0017, 0031, Fig. 9); and at least one transferring magnetic resonator 126, 128 (Fig. 9) configured to generate (through resonance, para 0017-0018, Fig. 9) a second oscillating magnetic field (transferred to the secondary winding 20, para 0017-0018, 0031, Fig. 9) characterized by a second plurality of parameters different from the first plurality of parameters (different at least for operating at the second harmonic or other multiple of the fundamental frequency, para 0017-0018), wherein the electrical energy from the at least one receiving magnetic resonator 130, 132 is used to energize the at least one transferring magnetic resonator 126, 128 (Fig. 9) to generate the second oscillating magnetic field (energy coupling is present between the first and second magnetic resonators when they are arranged in the near field, as they are here: the free-space wavelength at even the 60th harmonic of the shortest wavelength contemplated (at 5 MHz) would be 1 meter, such that the spacing here would be understood to be "sub-wavelength" or "near-field", para 0018, Fig. 9).

Regarding claim 2, Bruhn discloses wherein the first plurality of parameters includes a first frequency (operating at the fundamental frequency, para 0017, 0031, Fig. 9) different from a second frequency of the second plurality of parameters (different at least for operating at the second harmonic or other multiple of the fundamental frequency, para 0017-0018).

Regarding claim 4, Bruhn discloses wherein the second frequency is approximately an integer multiple of the first frequency (second resonator has twice the resonant frequency of first resonator, para 0018).

Regarding claim 11, Bruhn discloses wherein the at least one receiving magnetic resonator 130, 132 is configurable to capture energy from magnetic fields with different parameters (resonator 130 can receive energies with different frequencies, para 0017-0018, 0040, Fig. 9).

Regarding claim 12, Bruhn discloses wherein the at least one transferring magnetic resonator 126, 128 is configurable to generate magnetic fields with different parameters (resonator 126 can generate and transmit energy with different frequencies, para 0017-0018, 0040, Fig. 9).

Regarding claim 14, Bruhn discloses a system (para 0017, 0043) comprising a source resonator 126, 128 configured to generate a first oscillating magnetic field (transferred to the secondary winding 20, para 0017-0018, 0031, Fig. 9) characterized by a first plurality of parameters (operating at the fundamental frequency, para 0017, 0031, Fig. 9); a device resonator 130, 132 configured to capture electrical energy received wirelessly (resonator is "electrically isolated", i.e. galvanically isolated from the primary and secondary circuits, Fig. 9, para 0034) through a second oscillating magnetic field (developed by generator 16 and primary winding 18, Fig. 9, para 0034) characterized by a second plurality of parameters different from the first plurality of parameters (different at least for operating at the second harmonic or other multiple of the fundamental frequency, para 0017-0018); and a wireless power converter (apparatus for wireless energy transmission, para 0017, Fig. 9) including conversion circuitry (circuits as seen in Fig. 9) configured to capture energy from the second oscillating magnetic field (developed by generator 16 and primary winding 18, Fig. 9, para 0034) and to energize the source resonator 126, 128 to generate the first oscillating magnetic field (transferred to the secondary winding 20, energy coupling is present between the first and second magnetic resonators when they are arranged in the near field, as they are here: the free-space wavelength at even the 60th harmonic of the shortest wavelength contemplated (at 5 MHz) would be 1 meter, such that the spacing here would be understood to be "sub-wavelength" or "near-field", para 0017-0018, 0031, Fig. 9).

Regarding claim 15, Bruhn discloses wherein the first plurality of parameters (operating at the fundamental frequency, para 0017, 0031, Fig. 9) and the second plurality of parameters are different in at least a frequency (different at least for operating at the second harmonic or other multiple of the fundamental frequency, para 0017-0018).

WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2011/027868

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of:

Regarding claim 17, Bruhn discloses wherein the wireless power converter (apparatus for wireless energy transmission, para 0017, Fig. 9) is powered by electrical energy captured by the device resonator 130, 132 (transferred to the secondary winding 20, energy coupling is present between the first and second magnetic resonators when they are arranged in the near field, as they are here: the free-space wavelength at even the 60th harmonic of the shortest wavelength contemplated (at 5 MHz) would be 1 meter, such that the spacing here would be understood to be "sub-wavelength" or "near-field", para 0017-0018, 0031, Fig. 9).

Claim 3 lacks an inventive step under PCT Article 33(3) as being obvious over Bruhn.

Regarding claim 3, Bruhn discloses the invention above, the invention above, and discloses a first frequency (operating at the fundamental frequency, para 0017, 0031, Fig. 9) and a second frequency (different at least for operating at the second harmonic or other multiple of the fundamental frequency, para 0017-0018), but does not disclose wherein the first frequency is approximately an integer multiple of the second frequency. It would have been obvious to one of ordinary skill in the art at the time of the invention to provide a first frequency that is approximately an integer multiple of the second frequency, in order to provide a system that can handle different power levels for providing wireless energy to multiple devices, and because discovering the optimal value of a result effective variable involves only routine skill in the art.

Claims 5, 8-10, 16, 18 lack an inventive step under PCT Article 33(3) as being obvious over Bruhn in view of Cook et al. (hereinafter referred to as Cook).

Regarding claim 5, Bruhn discloses the invention above, but does not specifically disclose wherein the first plurality of parameters includes a first magnitude different from a second magnitude of the second plurality of parameters. Cook is in the field of wireless power systems (para 0044) and teaches wherein the first plurality of parameters includes a first magnitude different from a second magnitude of the second plurality of parameters (transmit power converter unit converts the supply voltage and frequency, such as 110V/60Hz, into another voltage [different voltage] and into another frequency, such as under 50Hz, that is more appropriate for wireless transmission, para 0155-0158). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the different magnitudes as taught in Cook with the invention of Bruhn in order to provide an efficient power transfer system to charge electronic devices (see Cook, para 0017, 0042).

Regarding claim 8, Bruhn discloses the invention above, and further discloses electrical energy captured by the at least one receiving magnetic resonator 130 (resonator 130 captures energy developed by generator 16 and primary winding 18, Fig. 9, para 0034), but does not specifically disclose a first converter circuit configured to convert the electrical energy into a direct current signal. Cook teaches a first converter circuit 1550 configured to convert the electrical energy into a direct current signal (a DC/DC or DC/AC converter 1550 converts the electrical energy received by resonator antenna into direct current, para 0194-0195, Fig. 15). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the direct current conversion as taught in Cook with the invention of Bruhn in order to provide an efficient power transfer system to charge electronic devices (see Cook, para 0017, 0042).

Regarding claim 9, Bruhn discloses the invention above, and further discloses wherein the alternating current signal (power source 16 provides AC current signal, Fig. 9, para 0040) is used to energize the at least one transferring magnetic resonator 126, 128 (energy coupling is present between the first and second magnetic resonators when they are arranged in the near field, as they are here: the free-space wavelength at even the 60th harmonic of the shortest wavelength contemplated (at 5 MHz) would be 1 meter, such that the spacing here would be understood to be "sub-wavelength" or "near-field", para 0018, Fig. 9), but does not disclose a second converter circuit configured to convert the direct current signal from the first converter circuit into an alternating current signal. Cook teaches a second converter circuit (two converter circuits for converting current signals, para 0194-0195, as shown in Fig. 15) configured to convert the direct current signal from the first converter circuit into an alternating current signal (a DC/DC or DC/AC converter 1550 can convert the electrical energy received by resonator antenna into alternating current, para 0194-0195, Fig. 15). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the direct current conversion as taught in Cook with the invention of Bruhn in order to provide an efficient power transfer system to charge electronic devices (see Cook, para 0017, 0042).

Regarding claim 10, Bruhn discloses the invention above, and further discloses at least one receiving magnetic resonator 130, 132 (resonator is "electrically isolated", i.e. galvanically isolated from the primary and secondary circuits, Fig. 9, para 0034) and at least one transferring magnetic resonator 126, 128 (Fig. 9), but does not disclose wherein at least one of the resonators has a quality factor $Q > 100$. Cook teaches a resonator with a quality factor $Q > 100$ (magnetic resonant antennas used in system must provide a Q-factor as high as possible, and can provide Q-factors up to 300, para 0060-0061). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the high quality factor resonator as taught in Cook with the invention of Bruhn in order to provide a very efficient wireless power transmission system with minimal power losses (see Cook, para 0047).

Regarding claim 16, Bruhn discloses the invention above, but does not specifically disclose wherein the first plurality of parameters and the second plurality of parameters of the oscillating magnetic fields are different in at least a magnitude. Cook teaches wherein the first plurality of parameters and the second plurality of parameters of the oscillating magnetic fields are different in at least a magnitude (transmit power converter unit converts the supply voltage and frequency, such as 110V/60Hz, into another voltage [different voltage] and into another frequency, such as under 50Hz, that is more appropriate for wireless transmission, para 0155-0158). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the different magnitudes as taught in Cook with the invention of Bruhn in order to provide an efficient power transfer system to charge electronic devices (see Cook, para 0017, 0042).

WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY

International application No.
PCT/US2011/027868

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of:

Regarding claim 18, Bruhn discloses the invention above, and further discloses the source resonator 126, 128 and the device resonator 130, 132 (Fig. 9), but does not disclose wherein at least one of the resonators has a quality factor $Q > 100$. Cook teaches a resonator with a quality factor $Q > 100$ (magnetic resonant antennas used in system must provide a Q-factor as high as possible, and can provide Q-factors up to 300, para 0060-0061). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the high quality factor resonator as taught in Cook with the invention of Bruhn in order to provide a very efficient wireless power transmission system with minimal power losses (see Cook, para 0047).

Claims 6-7 lack an inventive step under PCT Article 33(3) as being obvious over Bruhn in view of Keyes, IV et al. (hereinafter referred to as Keyes).

Regarding claim 6, Bruhn discloses the invention above, and discloses wherein the first plurality of parameters includes a first frequency (operating at the fundamental frequency, para 0017, 0031, Fig. 9) different from a second frequency of the second plurality of parameters (different at least for operating at the second harmonic or other multiple of the fundamental frequency, para 0017-0018), but does not disclose a frequency hopping sequence. Keyes is in the field of wireless energy transfer systems (para 0008-0009, Fig. 43), and teaches a frequency hopping sequence (power transmitted wirelessly using frequency hopping technique, para 0056-0058). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the frequency hopping sequence as taught in Keyes with the invention of Bruhn in order to avoid wireless interference (see Keyes, para 0056).

Regarding claim 7, Bruhn discloses the invention above, and discloses wherein the first plurality of parameters (operating at the fundamental frequency, para 0017, 0031, Fig. 9) includes a sequence different from a second sequence of the second plurality of parameters (different at least for operating at the second harmonic or other multiple of the fundamental frequency, para 0017-0018), but does not disclose an on/off sequence. Keyes teaches an on/off sequence (wireless reception of on or off control commands for wireless base units, para 0094). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the on/off sequence as taught in Keyes with the invention of Bruhn in order to provide a method of wirelessly powering devices on and off (see Keyes, para 0007-0008).

Claims 13, 19 lack an inventive step under PCT Article 33(3) as being obvious over Bruhn in view of Lee.

Regarding claim 13, Bruhn discloses the invention above, and discloses a loop inductor 130 (Fig. 9), but does not disclose wherein the at least one receiving magnetic resonator and the at least one transferring resonator share a loop inductor. Lee is in the field of wireless power converters (para 0001) and teaches wherein the at least one receiving magnetic resonator and the at least one transferring resonator share a loop inductor (transmitter and receiver are combined, para 0048, and constructed by winding transmission coil outputting power generated from electromagnetic wave generating source, para 0051, Fig. 10). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the shared loop as taught in Lee with the invention of Bruhn to provide a more efficient wireless power system for transferring power by maximizing generation and reception of electromagnetic waves in the resonance circuit (see Lee, para 0051).

Regarding claim 19, Bruhn discloses the invention above, and discloses a loop inductor 130 (Fig. 9), but does not disclose wherein the source resonator and the device resonator include a shared loop inductor. Lee teaches wherein the at least one receiving magnetic resonator and the at least one transferring resonator share a loop inductor (transmitter and receiver are combined, para 0048, and constructed by winding transmission coil outputting power generated from electromagnetic wave generating source, para 0051, Fig. 10). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the shared loop as taught in Lee with the invention of Bruhn to provide a more efficient wireless power system for transferring power by maximizing generation and reception of electromagnetic waves in the resonance circuit (see Lee, para 0051).

Claims 20-25 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest:

Regarding claim 20, a method of wireless power conversion comprising: providing a configurable magnetic resonator; tuning the configurable magnetic resonator to capture a first oscillating magnetic field characterized by a first plurality of parameters; converting the oscillating magnetic field into electrical energy; storing the electrical energy as stored energy in an energy storage element; tuning the configurable magnetic resonator to generate a second oscillating magnetic field characterized by a second plurality of parameters; and energizing the configurable magnetic resonator using the stored energy to produce the second oscillating magnetic field.

Claims 21-25 meet the criteria due to their dependence on novel claim 20.

The prior art, as shown below, details some aspects of the invention, however, none of the prior art teaches all the missing limitations either alone or in combination as specified.

WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2011/027868

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.
Continuation of:

Bruhn (US 2009/0085408 A1) teaches a method of wireless power conversion (method for wireless energy transmission, para 0017, Fig. 9) comprising providing a configurable magnetic resonator 130, 132 (resonators can be configured for different frequencies, para 0017, Fig. 9); tuning the configurable magnetic resonator 130, 132 to capture (resonator is "electrically isolated", i.e. galvanically isolated from the primary and secondary circuits, Fig. 9, para 0034) a first oscillating magnetic field (developed by generator 16 and primary winding 18, Fig. 9, para 0034) characterized by a first plurality of parameters (operating at the fundamental frequency, para 0017, 0031, Fig. 9); converting the oscillating magnetic field into electrical energy (magnetic field converted induces voltage to provide current flow, para 0005, 0017-0018); tuning a [different, second] configurable magnetic resonator 126, 128 (Fig. 9) to generate (through resonance, para 0017-0018, Fig. 9) a second oscillating magnetic field (transferred to the secondary winding 20, para 0017-0018, 0031, Fig. 9) characterized by a second plurality of parameters (different at least for operating at the second harmonic or other multiple of the fundamental frequency, para 0017-0018); and energizing the [different, second] configurable magnetic resonator to produce the second oscillating magnetic field (energy coupling is present between the first and second magnetic resonators when they are arranged in the near field, as they are here: the free-space wavelength at even the 60th harmonic of the shortest wavelength contemplated (at 5 MHz) would be 1 meter, such that the spacing here would be understood to be "sub-wavelength" or "near-field", para 0018, Fig. 9), but does not disclose tuning a magnetic resonator to capture energy, storing the energy, and then tuning the same magnetic resonator to generate energy using the stored energy to wirelessly transfer power.

Cook (US 2009/0243397 A1) teaches a method (para 0249) of wireless power conversion (wireless power system including power converter, para 0153-0158, Fig. 13, Fig. 15) comprising providing a configurable magnetic resonator (resonant magnetic antenna is adjustable to provide different operational parameters and configurations, para 0143-0144, 0210); tuning the configurable magnetic resonator (resonator receives tuning through tuning network, para 0194, Fig. 15) to capture a first oscillating magnetic field (receive antenna unit is a resonant magnetic antenna for receiving energy in form of magnetic field, para 0143-0144, 0191, Fig. 15) characterized by a first plurality of parameters (energy is in form of magnetic field which is oscillating or resonating and characterized by parameters such as frequency, para 0143-0144, 0157); converting the oscillating magnetic field into electrical energy (power converter receives energy in form of magnetic field and converts to DC current to be used by devices, para 0129, 0143-0144); tuning a [different, second] configurable magnetic resonator (resonator receives tuning through tuning network, para 0175, Fig. 13) to generate a second oscillating magnetic field characterized by a second plurality of parameters (energy transmitted is in form of magnetic field and is characterized by parameters such as frequency, para 0143-0144, 0156); and energizing the [different, second] configurable magnetic resonator using the stored energy to produce the second oscillating magnetic field (wireless energy transfer system relays energy from the energy receiver which receives power source supply and sends it to the energy receiver which powers the device, para 0129, 0143-0144), but does not disclose tuning a magnetic resonator to capture energy, storing the energy, and then tuning the same magnetic resonator to generate energy using the stored energy to wirelessly transfer power.

Iverson (US 2008/0036588 A1) teaches storing the electrical energy as stored energy in an energy storage element 302 (energy harvesting unit 302 stores the energy electromagnetically received by antenna coil 106 from master antenna coil 104, para 0005, 0019, Fig. 3), and using the stored energy (stored energy is used to power remote unit 306 and to transmit message wirelessly through antenna 106, para 0005, 0019, Fig. 3), but does not disclose tuning a magnetic resonator to capture energy, storing the energy, and then tuning the same magnetic resonator to generate energy using the stored energy to wirelessly transfer power.

Claims 1-25 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

Electronic Acknowledgement Receipt	
EFS ID:	11115460
Application Number:	12649635
International Application Number:	
Confirmation Number:	5891
Title of Invention:	WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-Q RESONATORS
First Named Inventor/Applicant Name:	John D. Joannopoulos
Customer Number:	26161
Filer:	Marc M. Wefers/Cheryl Forrest
Filer Authorized By:	Marc M. Wefers
Attorney Docket Number:	01997-0359015
Receipt Date:	05-OCT-2011
Filing Date:	30-DEC-2009
Time Stamp:	13:56:11
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment		no			
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		359015IDS.pdf	90424	yes	2
			11115460123342c1e7d1a4e4f0328e0d0112a7980		

	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Transmittal Letter		1	1	
	Information Disclosure Statement (IDS) Form (SB08)		2	2	
Warnings:					
Information:					
2	Foreign Reference	FA1.pdf	1353597 ab651d44580172e526a9d5f8f03e52d10444c026a	no	36
Warnings:					
Information:					
3	Non Patent Literature	NPL1.pdf	120017 7cda22a5c144937c991eb9ae9f0debd1f27.pdf	no	3
Warnings:					
Information:					
4	Non Patent Literature	NPL2.pdf	495064 62b6c6e1052b62c31e1ca14c54cf7d849d7196b1	no	6
Warnings:					
Information:					
5	Non Patent Literature	NPL3.pdf	2636887 eh5a1c12e22f0f1e4bd8465fdaa070b1e7228b	no	15
Warnings:					
Information:					
6	Non Patent Literature	NPL4.pdf	12318505 097434863240c412b243b99021e8aa6b94d0acac	no	247
Warnings:					
Information:					
7	Non Patent Literature	NPL5.pdf	669587 08b68a462217d73b230ba4d608805550a1cc0f1	no	10
Warnings:					
Information:					
Total Files Size (in bytes):			17684081		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John D. Joannopoulos et al. Art Unit : Unknown
Serial No. : 12/649,635 Examiner : Unknown
Filed : December 30, 2009 Conf. No. : 5891
Title : WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-
Q RESONATORS

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

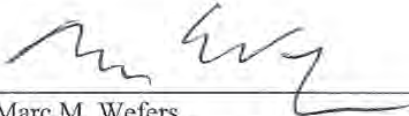
Please consider the references listed on the enclosed PTO-1449 form. Foreign patent documents and non-patent literature are enclosed; cited U.S. patents and patent application publications will be provided on request. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any necessary charges or credits to Deposit Account 06 1050, referencing the above attorney docket number.

Respectfully submitted,

Date: _____

10/5/11



Marc M. Wefers
Reg. No. 56,842

Customer Number
Fish & Richardson P.C.
Telephone: (617) 542-5070
Facsimile: (877) 769-7945

22715832.doc

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 01997-0359015	Application No. 12/649,635
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant John D. Joannopoulos et al.	
		Filing Date December 30, 2009	Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	8,022,576	9/20/2011	Joannopoulos et al.			
	2	2009/0174263	7/9/2009	Baarman et al.			
	3	2009/0267709	10/29/2009	Joannopoulos et al.			
	4	2009/0267710	10/29/2009	Joannopoulos et al.			
	5	2009/0284083	11/19/2009	Karalis et al.			
	6	2010/0096934	4/22/2010	Joannopoulos et al.			
	7	2010/0102641	4/29/2010	Joannopoulos et al.			
	8	2010/0123353	5/20/2010	Joannopoulos et al.			
	9	2010/0123354	5/20/2010	Joannopoulos et al.			
	10	2010/0123355	5/20/2010	Joannopoulos et al.			
	11	2010/0127573	5/27/2010	Joannopoulos et al.			
	12	2010/0127574	5/27/2010	Joannopoulos et al.			
	13	2010/0127575	5/27/2010	Joannopoulos et al.			
	14	2010/0133918	6/3/2010	Joannopoulos et al.			
	15	2010/0133919	6/3/2010	Joannopoulos et al.			
	16	2010/0133920	6/3/2010	Joannopoulos et al.			
	17	2010/0148589	6/17/2010	Hamam et al.			
	18	2010/0187911	7/29/2010	Joannopoulos et al.			
	19	2010/0207458	8/19/2010	Joannopoulos et al.			
	20	2011/0043046	2/24/2011	Joannopoulos et al.			
	21	2011/0074218	3/31/2011	Karalis et al.			
	22	2011/0074347	3/31/2011	Karalis et al.			
	23	2011/0089895	4/21/2011	Karalis et al.			
	24	2011/0193419	8/11/2011	Karalis et al.			

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 01997-0359015	Application No. 12/649,635
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant John D. Joannopoulos et al.	
		Filing Date December 30, 2009	Group Art Unit

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	25							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	26	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

Electronic Acknowledgement Receipt

EFS ID:	11509630
Application Number:	12649635
International Application Number:	
Confirmation Number:	5891
Title of Invention:	WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-Q RESONATORS
First Named Inventor/Applicant Name:	John D. Joannopoulos
Customer Number:	26161
Filer:	Marc M. Wefers/Cheryl Forrest
Filer Authorized By:	Marc M. Wefers
Attorney Docket Number:	01997-0359015
Receipt Date:	30-NOV-2011
Filing Date:	30-DEC-2009
Time Stamp:	14:17:32
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment		no			
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		359015IDS.pdf	122514	yes	3
			T77c7A5a5068c4f322e1f011d62841ad359179		

Multipart Description/PDF files in .zip description			
Document Description		Start	End
Transmittal Letter		1	1
Information Disclosure Statement (IDS) Form (SB08)		2	3
Warnings:			
Information:			
Total Files Size (in bytes):		122514	
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John D. Joannopoulos et al. Art Unit : Unknown
Serial No. : 12/649,635 Examiner : Unknown
Filed : December 30, 2009 Conf. No. : 5891
Title : WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-
Q RESONATORS

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

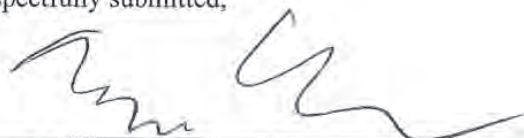
Please consider the references listed on the enclosed PTO-1449 form.

Applicant notes that Desig. Id. No. 2 (the Baarman reference) was previously submitted in an Information Disclosure Statement filed on February 8, 2011. However, the applicant incorrectly listed the Publication Date as 9/9/2009 rather than the correct publication date of 7/9/2009. The correct publication date is submitted herewith in the attached Form PTO-1449.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any necessary charges or credits to Deposit Account 06-1050, referencing the above attorney docket number.

Respectfully submitted,

Date: 11/30/11



Marc M. Wefers
Reg. No. 56,842

Customer Number
Fish & Richardson P.C.
Telephone: (617) 542-5070
Facsimile: (877) 769-7945

22744961.doc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John D. Joannopoulos et al. Art Unit : Unknown
Serial No. : 12/649,635 Examiner : Unknown
Filed : December 30, 2009 Conf. No. : 5891
Title : WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-
Q RESONATORS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TERMINAL DISCLAIMER UNDER 37 C.F.R. §§ 3.73(b) AND 1.321(c)

Pursuant to 37 C.F.R. § 3.73(b), MASSACHUSETTS INSTITUTE OF TECHNOLOGY, a university, certifies that it is the assignee of the entire right, title, and interest in the present application (a 100% ownership interest) by virtue of:

☒ An assignment from the inventors of the present patent application. The assignment was recorded in the Patent and Trademark Office at Reel 024271, Frame 0854 on April 22, 2010.

To the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to act on behalf of the assignee.

Pursuant to 37 C.F.R. § 1.321(c), and to obviate a double patenting rejection, the assignee identified above hereby disclaims, except as provided below, the terminal portion of the statutory term of any patent granted on the instant application that would extend beyond the expiration date of the full statutory term of U.S. 7,741,734. The assignee hereby agrees that any patent granted on the instant application shall be enforceable only for and during such period that it is commonly owned with U.S. 7,741,734.

The assignee identified above does not disclaim any terminal part of any patent granted on the present application that would extend to the expiration date of the full statutory term of U.S. 7,741,734 in the event that U.S. 7,741,734 later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321, has all claims cancelled by a reexamination certificate, is reissued, or is otherwise terminated prior to expiration of its statutory term. The full statutory term of any patent includes any term adjustment as defined in

Applicant : John D. Joannopoulos et al.
Serial No. : 12/649,635
Filed : December 30, 2009
Page : 2 of 2

Attorney's Docket No.: 01997-0359015 / MIT Case No.
11757

35 U.S.C. § 154 and § 173. Assignee herein does not disclaim or otherwise affect any part of U.S. 7,741,734.

This disclaimer runs with any patent granted on the above application and is binding upon the grantee, its successors or assigns.

The fees in the amount of \$160 required under 37 C.F.R. § 1.20(d) are being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply any necessary charges or credits to Deposit Account 06-1050, referencing the above attorney docket number.

Respectfully submitted,

Date: December 27, 2011

/Marc M. Wefers Reg. No. 56,842/

Marc M. Wefers

Reg. No. 56,842

Customer Number
Fish & Richardson P.C.
Telephone: (617) 542-5070
Facsimile: (877) 769-7945

22728556.doc

Electronic Patent Application Fee Transmittal				
Application Number:		12649635		
Filing Date:		30-Dec-2009		
Title of Invention:		WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-Q RESONATORS		
First Named Inventor/Applicant Name:		John D. Joannopoulos		
Filer:		Marc M. Wefers/Cheryl Forrest		
Attorney Docket Number:		01997-0359015		
Filed as Large Entity				
Utility under 35 USC 111(a) Filing Fees				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Claims in excess of 20	1202	16	60	960
Independent claims in excess of 3	1201	1	250	250
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Statutory or terminal disclaimer	1814	1	160	160
Total in USD (\$)				1370

Electronic Acknowledgement Receipt	
EFS ID:	11709444
Application Number:	12649635
International Application Number:	
Confirmation Number:	5891
Title of Invention:	WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-Q RESONATORS
First Named Inventor/Applicant Name:	John D. Joannopoulos
Customer Number:	26161
Filer:	Marc M. Wefers/Cheryl Forrest
Filer Authorized By:	Marc M. Wefers
Attorney Docket Number:	01997-0359015
Receipt Date:	27-DEC-2011
Filing Date:	30-DEC-2009
Time Stamp:	14:14:55
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1370
RAM confirmation Number	418
Deposit Account	061050
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
-----------------	----------------------	-----------	-------------------------------------	------------------	------------------

1		359015PrelimAmend.pdf	77846 a813f2fe7132e0ca88d62babc9571666e008a043	yes	9
Multipart Description/PDF files in .zip description					
	Document Description	Start	End		
	Preliminary Amendment	1	1		
	Specification	2	2		
	Claims	3	8		
	Applicant Arguments/Remarks Made in an Amendment	9	9		
Warnings:					
Information:					
2	Terminal Disclaimer Filed	359015TermDisc.pdf	54920 e97011d59ce5762e13de915889c8bd0809ab27bd	no	2
Warnings:					
Information:					
3	Fee Worksheet (SB06)	fee-info.pdf	33694 00b7353d3d8807ff9ec04aee03050ab27599e	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			166460		
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John D. Joannopoulos et al. Art Unit : Unknown
Serial No. : 12/649,635 Examiner : Unknown
Filed : December 30, 2009 Conf. No. : 5891
Title : WIRELESS ENERGY TRANSFER TO A MOVING DEVICE BETWEEN HIGH-
Q RESONATORS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Prior to examination, please amend the application as indicated on the following pages.

Amendments to the Specification

Please replace paragraph [0034] with the following amended paragraph:

[0034] The omnidirectional by stationary (non-lossy) nature of the near field makes this mechanism suitable for mobile wireless receivers. It could therefore have a variety of possible applications including for example, placing a source connected to a wired electricity network on the ceiling of a factory room, while devices, such as robots, vehicles, computers, or similar are roaming freely within the room. Other possible applications include electric-engine buses, RFIDs, and perhaps even nano-robots. Similarly, in some embodiments multiple sources can transfer energy to one or more device objects. For example, as explained at in the paragraph bridging pages 4-5 of U.S. Provisional Application No. 60/698,442 to which the present application claims benefit and which is incorporated by reference above, for certain applications having uneven power transfer to the device object as the distance between the device and the source changes, multiple sources can be strategically placed to alleviate the problem, and/or the peak amplitude of the source can be dynamically adjusted.

Amendments to the Claims:

This listing of claims replaces all prior versions and listings of claims in the application:

Listing of Claims:

1. (Original) A system, comprising:
a first resonator with a quality factor, Q_1 , greater than 100, coupled to an energy source, generating an oscillating near field region; and
a second resonator, with a quality factor, Q_2 , greater than 100, optionally coupled to an energy drain, and moving freely within the near field region of the first resonator,
wherein the first resonator and the second resonator are coupled to transfer electromagnetic energy from said first resonator to said second resonator as the second resonator moves freely within the near field region.
2. (Original) The system of claim 1, wherein near field of the near field region is a magnetic field.
3. (Original) The system of claim 1, wherein near field of the near field region is an electromagnetic field.
4. (Original) The system of claim 1, wherein near field of the near field region is acoustic.
5. (Original) A method, comprising:
providing a first resonator with a quality factor, Q_1 , greater than 100, coupled to an energy source, generating an oscillating near field region; and

providing a second resonator, with a quality factor, Q_2 , greater than 100, optionally coupled to an energy drain, and moving freely within the near field region of the first resonator, wherein the first resonator and the second resonator are coupled to transfer electromagnetic energy from said first resonator to said second resonator as the second resonator moves freely within the near field region.

6. (Original) The method of claim 5, wherein near field of the near field region is a magnetic field.

7. (Original) The method of claim 5, wherein near field of the near field region is an electromagnetic field.

8. (Original) The system of claim 5, wherein near field of the near field region is acoustic.

9. (New) A system, comprising:
a first resonator with a quality factor, Q_1 coupled to an energy source, generating a near field region comprising an oscillating magnetic field; and
a second resonator, with a quality factor, Q_2 optionally coupled to an energy drain, and moving freely within the near field region of the first resonator,
wherein the first resonator and the second resonator are coupled to wirelessly transfer electromagnetic energy from said first resonator to said second resonator as the second resonator moves freely within the near field region,
wherein $\sqrt{Q_1 Q_2} > 100$.

10. (New) The system of claim 9, where $Q_1 > 100$ and $Q_2 > 100$.

11. (New) The system of claim 9, further comprising the energy drain coupled to the second resonator.

12. (New) The system of claim 11, wherein the energy drain comprises a robot, vehicle, computer, cell phone, or a portable electronic device.

13. (New) The system of claim 9, further comprising a third resonator, optionally coupled to an energy drain, located at a variable distance from the first resonator, and wherein the first resonator and the third resonator are coupled to wirelessly transfer electromagnetic energy from the first resonator to the third resonator.

14. (New) The system of claim 9, further comprising a third resonator, optionally coupled to an external power supply, located at a variable distance from the second resonator, and wherein the third resonator and the second resonator are coupled to wirelessly transfer electromagnetic energy from the third resonator to the second resonator.

15. (New) The system of claim 9, wherein at least one of the resonators is a tunable resonator.

16. (New) The system of claim 9, wherein the energy transfer in the near-field region occurs over a range of distances that includes 5 cm.

17. (New) The system of claim 9, wherein the energy transfer in the near-field region occurs over a range of distances that includes 10 cm.

18. (New) The system of claim 9, wherein the energy transfer in the near-field region occurs over a range of distances that includes 30 cm.

19. (New) The system of claim 9, wherein the efficiency of the wireless energy transfer is at least 20% over a range of distances in the near-field region.

20. (New) The system of claim 9, further comprising a feedback mechanism coupled to at least one of the resonators to correct for detuning.

21. (New) The system of claim 9, further comprising the energy drain coupled to the second resonator, and wherein the power supply and energy drain are configured to be driven to increase the ratio of useful-to-lost power for varying wireless energy transfer rates κ between the first resonator and the second resonator.

22. (New) The system of claim 9, wherein the first resonator and second resonator are configured to be adjustably tuned to increase the ratio of useful-to-lost power for varying wireless energy transfer rates κ between the first resonator and the second resonator.

23. (New) A method, comprising:
providing a first resonator with a quality factor, Q_1 coupled to an energy source,
generating a near field region comprising an oscillating magnetic field; and
providing a second resonator, with a quality factor, Q_2 optionally coupled to an energy drain, and moving freely within the near field region of the first resonator,
wherein the first resonator and the second resonator are coupled to wirelessly transfer electromagnetic energy from said first resonator to said second resonator as the second resonator moves freely within the near field region,
wherein $\sqrt{Q_1 Q_2} > 100$.

24. (New) The method of claim 23, where $Q_1 > 100$ and $Q_2 > 100$.

25. (New) The method of claim 23, wherein the energy drain is coupled to the second resonator.

26. (New) The method of claim 25, wherein the energy drain comprises a robot, vehicle, computer, cell phone, or a portable electronic device.

27. (New) The method of claim 23, wherein a third resonator is optionally coupled to an energy drain and is located at a variable distance from the first resonator, and further comprising wirelessly transferring electromagnetic energy from the first resonator to the third resonator.

28. (New) The method of claim 23, wherein a third resonator is optionally coupled to an external power supply and is located at a variable distance from the second resonator, and further comprising wirelessly transferring electromagnetic energy from the third resonator to the second resonator.

29. (New) The method system of claim 23, wherein at least one of the resonators is a tunable resonator.

30. (New) The method of claim 23, wherein the energy transfer in the near-field region occurs over a range of distances that includes 5 cm.

31. (New) The method of claim 23, wherein the energy transfer in the near-field region occurs over a range of distances that includes 10 cm.

32. (New) The method of claim 23, wherein the energy transfer in the near-field region occurs over a range of distances that includes 30 cm.

33. (New) The method of claim 23, wherein the efficiency of the wireless energy transfer is at least 20% over a range of distances in the near-field region.

34. (New) The method of claim 23, wherein a feedback mechanism is coupled to at least one of the resonators to correct for detuning.

35. (New) The method of claim 23, wherein the energy drain is coupled to the second resonator, and wherein the power supply and energy drain are driven to increase the ratio of useful-to-lost power for varying wireless energy transfer rates κ between the first resonator and the second resonator.

36. (New) The method of claim 23, wherein the first resonator and second resonator are adjustably tuned to increase the ratio of useful-to-lost power for varying wireless energy transfer rates κ between the first resonator and the second resonator.

Applicant : John D. Joannopoulos et al.
Serial No. : 12/649,635
Filed : December 30, 2009
Page : 9 of 9

Attorney's Docket No.: 01997-0359015 / MIT Case No.
11757

REMARKS

Applicant asks that all claims be examined in view of the amendment to the claims.

To expedite allowance, we submit herewith a Terminal Disclaimer to moot any possible double patenting rejection over the parent application, which issued as U.S. Patent No. 7,741,734.

The fees in the amount of \$1,210.00 for excess claim fees are being paid concurrently herewith. In addition, please apply any other necessary charges or credits to Deposit Account 06-1050, referencing the above attorney docket number.

Respectfully submitted,

Date: December 27, 2011

/Marc M. Wefers Reg. No. 56,842/

Marc M. Wefers

Reg. No. 56,842

Customer Number
Fish & Richardson P.C.
Telephone: (617) 542-5070
Facsimile: (877) 769-7945

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					Application or Docket Number 12/649,635		Filing Date 12/30/2009		☐ To be Mailed				
APPLICATION AS FILED – PART I													
(Column 1)			(Column 2)		SMALL ENTITY ☐		OR		OTHER THAN SMALL ENTITY				
FOR	NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)			RATE (\$)	FEE (\$)					
☒ BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A				N/A	330					
☒ SEARCH FEE (37 CFR 1.16(k), (l), or (m))	N/A	N/A	N/A				N/A	540					
☒ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A				N/A	220					
TOTAL CLAIMS (37 CFR 1.16(j))	8 minus 20 =	* 0	X \$ =		OR		X \$52 =	0					
INDEPENDENT CLAIMS (37 CFR 1.16(h))	2 minus 3 =	* 0	X \$ =		OR		X \$220 =	0					
☐ APPLICATION SIZE FEE (37 CFR 1.16(s))			If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).										
☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))													
			TOTAL					TOTAL		1090			
* If the difference in column 1 is less than zero, enter "0" in column 2.													
APPLICATION AS AMENDED – PART II													
(Column 1)			(Column 2)		(Column 3)		SMALL ENTITY		OR		OTHER THAN SMALL ENTITY		
AMENDMENT	12/27/2011	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)			RATE (\$)	ADDITIONAL FEE (\$)			
	Total (37 CFR 1.16(j))	* 36	Minus	** 20	=	16			X \$60=	960			
	Independent (37 CFR 1.16(h))	* 4	Minus	*** 3	=	1			X \$250=	250			
	☐ Application Size Fee (37 CFR 1.16(s))												
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))												
						TOTAL ADD'L FEE				TOTAL ADD'L FEE		1210	
AMENDMENT		CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)			RATE (\$)	ADDITIONAL FEE (\$)			
	Total (37 CFR 1.16(j))	*	Minus	**	=				X \$ =				
	Independent (37 CFR 1.16(h))	*	Minus	***	=				X \$ =				
	☐ Application Size Fee (37 CFR 1.16(s))												
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))												
						TOTAL ADD'L FEE				TOTAL ADD'L FEE			
** If the entry in column 1 is less than the entry in column 2, write "0" in column 3. *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.													

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Application Number 	Application/Control No. 12/649,635	Applicant(s)/Patent under Reexamination JOANNOPOULOS ET AL.	
Document Code - DISQ		Internal Document – DO NOT MAIL	

TERMINAL DISCLAIMER	☒ APPROVED	☐ DISAPPROVED
Date Filed : December 27, 2011	This patent is subject to a Terminal Disclaimer	

Approved/Disapproved by:	
Henry D. jefferson	

U.S. Patent and Trademark Office

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 01997-0359015	Application No. 12/649,635
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant John D. Joannopoulos et al.	
		Filing Date December 30, 2009	Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	5,053,774	10/1/1991	Schuermann et al.			
	2	5,287,112	2/15/1994	Schuermann			
	3	5,455,467	10/3/1995	Young et al.			
	4	6,772,011	8/3/2004	Dolgin			
	5	7,741,734	6/22/2010	Joannopoulos et al.			
	6	8,076,800	12/13/2011	Joannopoulos et al.			
	7	8,076,801	12/13/2011	Karalis et al.			
	8	8,084,889	12/27/2011	Joannopoulos et al.			
	9	8,097,983	1/17/2012	Karalis et al.			
	10	2003/0124050	7/3/2003	Yadav et al.			
	11	2003/0126948	7/10/2003	Yadav et al.			
	12	2004/0100338	5/27/2004	Clark			
	13	2004/0233043	11/25/2004	Yazawa et al.			
	14	2006/0202665	9/14/2006	Hsu			
	15	2012/0068549	3/22/2012	Karalis et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	16	JP 02-097005	04/09/1990	Japan	H01F	21/06		X
	17	WO 2009/140506	11/19/2009	WIPO				
	18	WO 2010/039967	4/8/2010	WIPO				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	19	Copy of Non-Final Office Action for U.S. Application Serial No. 12/648,604 by Examiner Justen Fauth dated December 5, 2011
	20	Copy of Non-Final Office Action for U.S. Application Serial No. 13/036,177 by Examiner Fritz M. Fleming dated May 15, 2012
	21	Copy of Non-Final Office Action for U.S. Application Serial No. 13/078,511 by Examiner Fritz M. Fleming dated May 15, 2012

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 01997-0359015	Application No. 12/649,635
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant John D. Joannopoulos et al.	
		Filing Date December 30, 2009	Group Art Unit

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	22	Copy of Non-Final Office Action for U.S. Application Serial No. 12/726,742 by Examiner Fritz M. Fleming dated May 11, 2012
	23	Copy of Non-Final Office Action for U.S. Application Serial No. 13/030,395 by Examiner Fritz M. Fleming dated May 17, 2012
	24	Copy of Non-Final Office Action for U.S. Application Serial No. 13/040,810 by Examiner Fritz M. Fleming dated May 17, 2012

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

⑫ 公開特許公報(A) 平2-97005

⑪ Int. Cl.³

識別記号

庁内整理番号

⑬ 公開 平成2年(1990)4月9日

H 01 F 21/06

D

6447-5E

審査請求 未請求 請求項の数 1 (全4頁)

⑭ 発明の名称 可変インダクタンス

⑮ 特 願 昭63-249463

⑯ 出 願 昭63(1988)10月3日

⑰ 発 明 者 長 田 尚 一 郎 神奈川県座間市相武台2-268 東京コスモス電機株式会社
 社神奈川工場内
 ⑰ 発 明 者 竹 内 誠 東京都八王子市戸吹町1387番地 東京コスモス電機株式会
 社内
 ⑰ 発 明 者 市 倉 学 東京都八王子市戸吹町1387番地 東京コスモス電機株式会
 社内
 ⑰ 出 願 人 東京コスモス電機株式 東京都八王子市戸吹町1387番地
 会社

明 細 書

1. 発明の名称 可変インダクタンス

2. 特許請求の範囲

空芯コイルのコイル同軸方向の延長線上に、ネジ穴を施した支持体を設け、調整ネジが前記ネジ穴に回動自在に取付けられた事の特徴とする可変インダクタンス。

3. 発明の詳細な説明

〔産業上の利用分野〕

この発明は可変インダクタンスに関し、更に詳しくは、短波帯、超短波、極短波帯の発振、同調、及び共振回路に用いられる高周波用可変インダクタンスに関する。

〔従来の技術〕

一般に短波帯以下の高周波用のコイルにおいては、鉄芯やフェライトコア等、空芯コイルの場合に比して透磁率の高い物質を設けることにより、コイル内部の磁束密度を増加させることで小型のコイルでも十分なインダクタンスを得ることがで

きる。この場合、インダクタンスの調整においてはコイル内のコアの位置を変更することにより、磁束密度が変化するため、容易に調整できる。

短波帯以上になると鉄芯などのバルク材においては渦電流による反磁界、フェライトにおいては磁気余効の効果のため、磁束密度は必ずしも増加しない。そのため100MHz以上の周波数では専ら空芯コイルが用いられ、比較的少ない巻数でも十分なインダクタンスが得られる。

共振や同調、発振などの用途で高周波コイルを用いる場合、コイルとコンデンサでタンク回路を構成する。周知の通り、タンク回路の周波数 f は次式で与えられる。

$$f = 1 / (2\pi \sqrt{LC})$$

ここで L はコイルのインダクタンス、 C はコンデンサの容量である。

周波数調整においてはコイルのインダクタンス L を一定にして、コンデンサの容量 C をトリマコ

ンデンサなどで可変することが一般的である。

また一方、コンデンサの容量 C を一定にし、コイルのインダクタンス L を変化させることによって周波数調整を行う方式がある。短波帯以下の場合にはコイル内部のコアの位置を変えることによって容易に調整できるが、短波帯以上の空芯コイルではこの手段を用いることができないため、巻数や巻径、ピッチなどコイルの形状に関する点を変更する方法がある。

高周波コイルの調整として一般的な空芯コイルのピッチを変える方法について第2図を用いて説明する。第2図(a)のように雑音除去の目的で回路をシールドケース1で包囲している場合は調整用ドライバ9が入り出できる程度の調整穴10を設けておく。調整用ドライバ9をコイルの巻線間に挿入し、第2図(b)の如に巻線の間隔を広げることにより、インダクタンスを減少させることができる。

〔発明が解決しようとする問題〕

近年、伝送線路が大幅に短縮できることから、

なるばかりでなく、振動、衝撃に対しても変動が生じる。

この発明はこの問題を克服すべく考案されたもので、高周波用空芯コイルにインダクタンス調整機構を設けることにより、周波数設定を安定かつ精密に行うことができ、併せて調整作業を簡単化できることにより、信頼性の高い優れた高周波コイルを提供することを目的とする。

〔問題を解決するための手段〕

この発明は、空芯コイルのコイル同軸方向の延長線上にネジを支え、さらに固定するための支持体を設け、コイルの同軸中心に対向する部分にネジ穴を施し、ネジ穴に金属などの導電性のネジを差し込み、ネジの先端がコイルに対向する配置を構成する。

〔作用〕

上記のように構成したこの発明によれば、コイルから発生される高周波交変磁界によって、導電体でできたネジの内部に渦電流が生じ、反抗磁界を発生する。渦電流による反抗界は短波帯以上の

高周波回路にも高密度実装方式が取り入れられており、トリマコンデンサは比較的大きな部品のため、高密度実装を行う上で不都合である。このため、短波帯以上の高周波回路ではインダクタンス L を可変にする方式が有利である。

コイルのインダクタンス L を変化させることによって周波数調整を行う方式では、空芯コイルの巻数、巻径、ピッチなどコイルの形状に関する点を変更せざるをえず、正確な調整が困難で手間がかかり、経時変化も大きい等の問題がある。

例えばコイルの巻径、巻数を変えて調整する方法では、一旦基板に装着したコイルを外し、巻径や巻数が適切なコイルに取り替える必要がある。ところが、コイル部品はインダクタンスのバラツキが大きいため、この方法を行うと取り替え作業の手間が大きく、精密な調整も困難である。

コイルのピッチを変える方法によればコイルを取り替える必要はないが、精密な調整には熟練を要す。またコイルを無理に変形させるため、ピッチの戻りが現れ調整周波数がずれ、不安定要因と

周波数帯域では無視できない大きくなるため、コイルに鎖交する磁束密度は減少する。これによりコイルのインダクタンスが減少するため、発振周波数は上昇する。

ネジとコイルの相対位置を変化させることにより、周波数上昇分を正確に調整することができ、また、調整後はネジを接着剤や半田、溶接などで支持体に固定することにより、外部環境の変動に対しても安定に動作するようになる。

この発明によれば、通信機器をはじめとする高周波の発振周波数が安定し、製品相互間のバラツキも少なくなり、ひいては色々の機器の作動における高い信頼性が得られるといった優れた効果を奏する高周波コイルを提供することができる。

〔実施例〕

以下この発明の実施例を図面に基づいて説明する。第一図に本発明の実施例を示し、同図(a)にその断面図、同図(b)に正面図を示す。

この実施例は調整ネジ2の支持体として、高周波発振回路の雑音対策に使われるシールドケース

1で代用している。

予め空芯コイル5をシールドケース1の近辺に配座されるように設計しておく。空芯コイル5は回路基板4に半田付け部6によって固定し、更に回路基板4の裏面の銅箔7とシールドケース1とを半田付け8で固定することにより、空芯コイル5に及ぼす周囲の影響を固定する。

空芯コイル5の同軸中心の延長線とシールドケース1の交差する点にネジ穴3を設ける。ネジ穴3は貫通穴にめねじを切っても良いし、ナットを接着固定しても良い。このネジ穴は導電性の調整ネジ2を挿入し、支持することを目的とする。これによって、外部から調整ネジ2のネジ頭をドライバで回すことでネジの先端部と空芯コイル5の進口との間隔を変えることができる。

〔発明の効果〕

調整ネジを空芯コイルに近付けると共振周波数は上昇し、遠ざけると下降する。調整ネジを取り去った場合が最も共振周波数が低くなるため、この状態で目標とする共振周波数を越えないよう、

なお調整ネジに鉄等の磁性体を用いた場合、非線形歪みやバルクハウゼン雑音等の影響により不要輻射が増加し、C/N比やS/N比に劣化を来すため、黄銅等の非磁性体を用いることで良好な結果が得られる。

4. 図面の簡単な説明

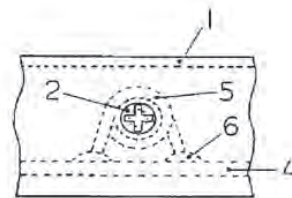
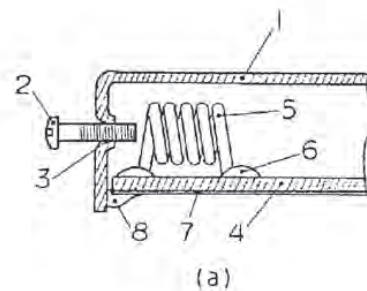
第1図は本発明の実施例を示す図で、同図(a)は部分側断面図、同図(b)は正面図である。第2図は、従来の調整方法を示す部分側断面図で、同図(a)は調整前の状態、同図(b)は調整後の状態を示す。

1…シールドケース、2…調整ネジ、3…ネジ穴、4…回路基板、5…空芯コイル、6…半田付け部、7…銅箔、8…半田付け部、9…調整用ドライバ、10…調整穴

予め空芯コイルのインダクタンスを大きめに設計することが必要である。

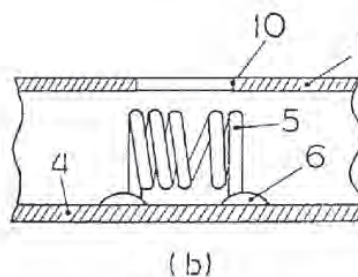
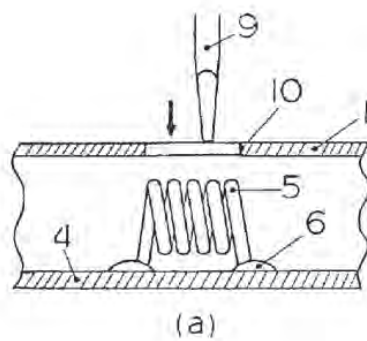
金属ネジを取付ける支持体は、高周波回路によく用いられるシールドケースで代用することができ、特に局部共振回路等の外部雑音を除外したい用途に用いることができる。ネジ頭がケース外部に突出するため、回路をケースに封入した後、金属ケースの影響でインダクタンスが変動しても再調整が行える利点を持つ。さらに、この場合、トリマコンデンサ等で調整する場合に比べて、シールドケースにドライバが通る程度の調整用の穴を設ける必要がなく、完全密閉することができるため外部雑音の侵入を防止することができる。よって、VCOのように外部雑音を極度に嫌い、安定性、高精度の安定性が求められる高周波共振回路に適している。

第1図の実施例では、目標とする共振周波数を350MHzとして、調整ネジ1回転で約1MHzの調整が可能で、10KHzの精度での精密調整が可能となった。



(b)
第1図

特許出願人 東京コスモス電機株式会社



第2図

DERWENT- 1990-151957

ACC-NO:

DERWENT- 199020

WEEK:

COPYRIGHT 2012 DERWENT INFORMATION LTD

TITLE: Variable inductor for RF resonance circuit has
inductance adjustment screw movable along axis of
air-core coil NoAbstract Dwg 1/2

INVENTOR: ICHIKURA M; OSADA S ; TAKEUCHI M

PATENT-ASSIGNEE: TOKYO COSMOS DENKI KK[TOKCN]

PRIORITY-DATA: 1988JP-249463 (October 3, 1988)

PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE
JP 02097005 A	April 9, 1990	JA

APPLICATION-DATA:

PUB-NO	APPL- DESCRIPTOR	APPL-NO	APPL-DATE
JP 02097005A	N/A	1988JP-249463	October 3, 1988

INT-CL-CURRENT:

TYPE	IPC DATE
CIPP	H01F21/06 20060101

ABSTRACTED-PUB-NO:

EQUIVALENT-ABSTRACTS:

TITLE- VARIABLE INDUCTOR RF RESONANCE CIRCUIT INDUCTANCE
TERMS: ADJUST SCREW MOVE AXIS AIR CORE COIL NOABSTRACT

DERWENT-CLASS: V02

EPI-CODES: V02-F01;

SECONDARY-ACC-NO:

Non-CPI Secondary Accession Numbers: 1990-118088

(43) International Publication Date
19 November 2009 (19.11.2009)(10) International Publication Number
WO 2009/140506 A1

PCT

- (51) International Patent Classification:
H01P 7/00 (2006.01)
- (21) International Application Number:
PCT/US2009/043970
- (22) International Filing Date:
14 May 2009 (14.05.2009)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
61/127,661 14 May 2008 (14.05.2008) US
- (71) Applicant (for all designated States except US): **MASACHUSETTS INSTITUTE OF TECHNOLOGY** [US/US]; 77 Massachusetts Avenue, Cambridge, Massachusetts 02142-1324 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **KARALIS, Aristeidis** [GR/US]; 151 Tremont Street, Apt. 21F, Boston, Massachusetts 02111 (US). **HAMAM, Rafif, E.** [LB/US]; 550 Memorial Drive, Apt. 9C-2, Cambridge, Massachusetts 02139 (US). **JOANNOPOULOS, John, D.** [US/US]; 64 Douglas Road, Belmont, Massachusetts 02478 (US). **SOLJACIC, Marin** [HR/US]; 44 Westlund Road, Belmont, Massachusetts 02478 (US).
- (74) Agent: **WEFERS, Marc, M.**; Fish & Richardson P.C., PO Box 1022, Minneapolis, Minnesota 55440-1022 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:
— with international search report (Art. 21(3))

(54) Title: WIRELESS ENERGY TRANSFER, INCLUDING INTERFERENCE ENHANCEMENT

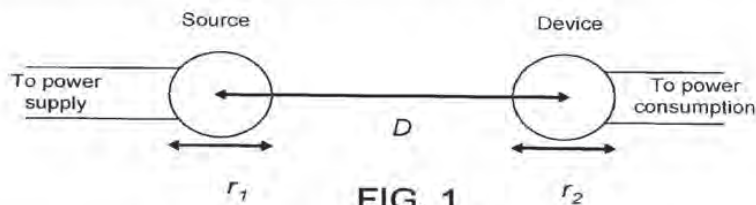


FIG. 1

(57) Abstract: Disclosed is an apparatus for use in wireless energy transfer, which includes a first resonator structure configured for energy transfer with a second resonator structure over a distance D larger than characteristic sizes, [insert formula] and [insert formula], of the first and second resonator structures. A power generator is coupled to the first structure and configured to drive the first resonator structure or the second resonator structure at an angular frequency away from the resonance angular frequencies and shifted towards a frequency corresponding to an odd normal mode for the resonator structures to reduce radiation from the resonator structures by destructive far-field interference.

WO 2009/140506 A1

WIRELESS ENERGY TRANSFER, INCLUDING INTERFERENCE ENHANCEMENT

CROSS REFERENCE TO RELATED APPLICATIONS

Pursuant to U.S.C. § 119(e), this application claims priority to U.S. Provisional Application Serial No. 61/127,661, filed May 14, 2008.

This application is also related by subject matter to the following commonly owned applications: U.S. Utility Patent Application Serial No. 12/055,963, filed March 26, 2008; U.S. Utility Patent Application Serial No. 11/481,077, filed July 5, 2006; U.S. Provisional Application Serial No. 60/698,442, filed July 12, 2005; U.S. Provisional Application Serial No. 60/908,383, filed March 27, 2007; U.S. Provisional Application Serial No. 60/908,666, filed March 28, 2007; and International Application No. PCT/US2007/070892, filed June 11, 2007.

The contents of the prior applications are incorporated herein by reference in their entirety.

BACKGROUND

The disclosure relates to wireless energy transfer. Wireless energy transfer can for example, be useful in such applications as providing power to autonomous electrical or electronic devices.

Radiative modes of omni-directional antennas (which work very well for information transfer) are not suitable for such energy transfer, because a vast majority of energy is wasted into free space. Directed radiation modes, using lasers or highly-directional antennas, can be efficiently used for energy transfer, even for long distances (transfer distance $L_{TRANS} \gg L_{DEV}$, where L_{DEV} is the characteristic size of the device and/or the source), but require existence of an uninteruptible line-of-sight and a complicated tracking system in the case of mobile objects. Some transfer schemes rely on induction, but are typically restricted to very close-range ($L_{TRANS} \ll L_{DEV}$) or low power ($\sim$ mW) energy transfers.

The rapid development of autonomous electronics of recent years (e.g. laptops, cell-phones, house-hold robots, that all typically rely on chemical energy storage) has led to an increased need for wireless energy transfer.

SUMMARY

Efficient wireless energy-transfer between two resonant objects can be achieved at mid-range distances, provided these resonant objects are designed to operate in the 'strong-coupling' regime. We describe an implementation of a method to increase the efficiency of energy-transfer or to suppress the power radiated, which can be harmful or a cause of interference to other communication systems, by utilizing destructive interference between the radiated far-fields of the resonant coupled objects. 'Strong coupling' is a necessary condition for efficient energy-transfer, in the absence of far-field interference. 'Strong coupling' can be demonstrated in the case of realistic systems: self-resonant conducting coils, capacitively-loaded conducting coils, inductively-loaded conducting rods and dielectric disks, all bearing high-Q electromagnetic resonant modes. Also, an analytical model can be developed to take far-field interference into account for wireless energy-transfer systems. The analytical model can be used to demonstrate the efficiency enhancement and radiation suppression, in the presence of interference. In an example implementation, we describe improved performance based on the above principles in the case of two realistic systems: capacitively-loaded conducting coils and dielectric disks, both bearing high-Q electromagnetic resonant modes and far-field interference.

In an aspect, an apparatus for use in wireless energy transfer includes a first resonator structure configured for energy transfer with a second resonator structure, over a distance D larger than a characteristic size L_1 of said first resonator structure and larger than a characteristic size L_2 of said second resonator structure. The energy transfer has a rate κ and is mediated by evanescent-tail coupling of a resonant field of the first resonator structure and a resonant field of the second resonator structure. The resonant field of the first resonator structure has a resonance angular frequency ω_1 , a resonance frequency-width Γ_1 , and a resonance quality factor $Q_1 = \omega_1 / 2\Gamma_1$ at least larger than 300, and the said resonant field of the second resonator structure has a resonance angular frequency ω_2 , a resonance frequency-width Γ_2 , and a resonance quality factor $Q_2 = \omega_2 / 2\Gamma_2$ at least larger than 300. The absolute value of the difference of said angular frequencies ω_1 and ω_2 is smaller than the broader of said resonant widths Γ_1 and

Γ_2 , and the quantity $\kappa/\sqrt{\Gamma_1\Gamma_2}$ is at least larger than 20. The apparatus also includes a power supply coupled to the first structure and configured to drive the first resonator structure or the second resonator structure at an angular frequency away from the resonance angular frequencies and shifted towards a frequency corresponding to an odd normal mode for the resonator structures to reduce radiation from the resonator structures by destructive far-field interference.

In some examples, the power supply is configured to drive the first resonator structure or the second resonator structure at the angular frequency away from the resonance angular frequencies and shifted towards the frequency corresponding to an odd normal mode for the resonator structures to substantially suppress radiation from the resonator structures by destructive far-field interference.

In an aspect, a method for wireless energy transfer involves a first resonator structure configured for energy transfer with a second resonator structure, over a distance D larger than a characteristic size L_1 of said first resonator structure and larger than a characteristic size L_2 of said second resonator structure, wherein the energy transfer has a rate κ and is mediated by evanescent-tail coupling of a resonant field of the first resonator structure and a resonant field of the second resonator structure, said resonant field of the first resonator structure has a resonance angular frequency ω_1 , a resonance frequency-width Γ_1 , and a resonance quality factor $Q_1 = \omega_1 / 2\Gamma_1$ at least larger than 300, and said resonant field of the second resonator structure has a resonance angular frequency ω_2 , a resonance frequency-width Γ_2 , and a resonance quality factor $Q_2 = \omega_2 / 2\Gamma_2$ at least larger than 300, the absolute value of the difference of said angular frequencies ω_1 and ω_2 is smaller than the broader of said resonant widths Γ_1 and Γ_2 , and the quantity $\kappa/\sqrt{\Gamma_1\Gamma_2}$ is at least larger than 20. The method includes driving the first resonator structure or the second resonator structure at an angular frequency away from the resonance angular frequencies and shifted towards a frequency corresponding to an odd normal mode for the resonator structures to reduce radiation from the resonator structures by destructive far-field interference.

In some examples, the first resonator structure or the second resonator structure is driven at the angular frequency away from the resonance angular frequencies and shifted towards the frequency corresponding to an odd normal mode for the resonator structures to substantially suppress radiation from the resonator structures by destructive far-field interference.

In an aspect, an apparatus for use in wireless energy transfer includes a first resonator structure configured for energy transfer with a second resonator structure, over a distance D larger than a characteristic size L_1 of said first resonator structure and larger than a characteristic size L_2 of said second resonator structure. The energy transfer has a rate κ and is mediated by evanescent-tail coupling of a resonant field of the first resonator structure and a resonant field of the second resonator structure. The resonant field of the first resonator structure has a resonance angular frequency ω_1 , a resonance frequency-width Γ_1 , and a resonance quality factor $Q_1 = \omega_1 / 2\Gamma_1$ at least larger than 300, and the resonant field of the second resonator structure has a resonance angular frequency ω_2 , a resonance frequency-width Γ_2 , and a resonance quality factor $Q_2 = \omega_2 / 2\Gamma_2$ at least larger than 300. The absolute value of the difference of said angular frequencies ω_1 and ω_2 is smaller than the broader of said resonant widths Γ_1 and Γ_2 , and the quantity $\kappa / \sqrt{\Gamma_1 \Gamma_2}$ is at least larger than 20. For a desired range of the distances D , the resonance angular frequencies for the resonator structures increase transmission efficiency T by accounting for radiative interference, wherein the increase is relative to a transmission efficiency T calculated without accounting for the radiative interference.

In some examples, the resonance angular frequencies for the resonator structures are selected by optimizing the transmission efficiency T to account for both a resonance quality factor U and an interference factor V .

In an aspect, a method involves designing a wireless energy transfer apparatus, the apparatus including a first resonator structure configured for energy transfer with a second resonator structure, over a distance D larger than a characteristic size L_1 of said first resonator structure and larger than a characteristic size L_2 of said second resonator structure, wherein the energy transfer has a rate κ and is mediated by evanescent-tail

coupling of a resonant field of the first resonator structure and a resonant field of the second resonator structure, wherein said resonant field of the first resonator structure has a resonance angular frequency ω_1 , a resonance frequency-width Γ_1 , and a resonance quality factor $Q_1 = \omega_1 / 2\Gamma_1$ at least larger than 300, and said resonant field of the second resonator structure has a resonance angular frequency ω_2 , a resonance frequency-width Γ_2 , and a resonance quality factor $Q_2 = \omega_2 / 2\Gamma_2$ at least larger than 300, wherein the absolute value of the difference of said angular frequencies ω_1 and ω_2 is smaller than the broader of said resonant widths Γ_1 and Γ_2 , and the quantity $\kappa / \sqrt{\Gamma_1 \Gamma_2}$ is at least larger than 20. The method includes selecting the resonance angular frequencies for the resonator structures to substantially optimize the transmission efficiency by accounting for radiative interference between the resonator structures.

In some examples, the resonance angular frequencies for the resonator structures are selected by optimizing the transmission efficiency T to account for both a resonance quality factor U and an interference factor V .

In an aspect, an apparatus for use in wireless energy transfer includes a first resonator structure configured for energy transfer with a second resonator structure over a distance D . The energy transfer is mediated by evanescent-tail coupling of a resonant field of the first resonator structure and a resonant field of the second resonator structure, with a coupling factor k . The resonant field of the first resonator structure has a resonance angular frequency ω_1 , a resonance frequency-width Γ_1 , and a resonance quality factor $Q_1 = \omega_1 / 2\Gamma_1$, and is radiative in the far field, with an associated radiation quality factor $Q_{1,\text{rad}} \geq Q_1$, and the resonant field of the second resonator structure has a resonance angular frequency ω_2 , a resonance frequency-width Γ_2 , and a resonance quality factor $Q_2 = \omega_2 / 2\Gamma_2$, and is radiative in the far field, with an associated radiation quality factor $Q_{2,\text{rad}} \geq Q_2$. An absolute value of a difference of said angular frequencies ω_1 and ω_2 is smaller than broader of said resonant widths Γ_1 and Γ_2 , and an average resonant angular frequency is defined as $\omega_o = \sqrt{\omega_1 \omega_2}$, corresponding to an average resonant wavelength $\lambda_o = 2\pi c / \omega_o$, where c is the speed of light in free space, and a

strong-coupling factor being defined as $U = k\sqrt{Q_1 Q_2}$. The apparatus is configured to employ interference between said radiative far fields of the resonant fields of the first and second resonator, with an interference factor V_{rad} , to reduce a total amount of radiation from the apparatus compared to an amount of radiation from the apparatus in the absence of interference, a strong-interference factor being defined as

$$V = V_{\text{rad}} \sqrt{(Q_1 / Q_{1,\text{rad}})(Q_2 / Q_{2,\text{rad}})}.$$

The following are examples within the scope of this aspect.

The apparatus has $Q_1 / Q_{1,\text{rad}} \geq 0.01$ and $Q_2 / Q_{2,\text{rad}} \geq 0.01$. The apparatus has $Q_1 / Q_{1,\text{rad}} \geq 0.1$ and $Q_2 / Q_{2,\text{rad}} \geq 0.1$. The apparatus has D / λ_o larger than 0.001 and the strong-interference factor V is larger than 0.01. The apparatus has D / λ_o larger than 0.001 and the strong-interference factor V is larger than 0.1. The apparatus includes the second resonator structure.

During operation, a power generator is coupled to one of the first and second resonant structure, with a coupling rate κ_g , and is configured to drive the resonator structure, to which it is coupled, at a driving frequency f , corresponding to a driving angular frequency $\omega = 2\pi f$, wherein U_g is defined as κ_g / Γ_1 , if the power generator is coupled to the first resonator structure and defined as κ_g / Γ_2 , if the power generator is coupled to the second resonator structure. The driving frequency is different from the resonance frequencies of the first and second resonator structures and is closer to a frequency corresponding to an odd normal mode of the system of the two resonator structures, wherein the detuning of the first resonator from the driving frequency is defined as $D_1 = (\omega - \omega_1) / \Gamma_1$ and the detuning of the second resonator structure from the driving frequency is defined as $D_2 = (\omega - \omega_2) / \Gamma_2$.

D_1 is approximately equal to UV_{rad} and D_2 is approximately equal to UV_{rad} , U_g is chosen to maximize the ratio of the energy-transfer efficiency to the radiation efficiency. U_g is approximately equal to $\sqrt{1 + U^2 - V_{\text{rad}}^2 U^2 + V^2 - 2VV_{\text{rad}}}$. f is at least larger than 100 kHz and smaller than 500MHz. f is at least larger than 1MHz and smaller

than 50MHz. The apparatus further includes the power generator. During operation, a power load is coupled to the resonant structure to which the power generator is not coupled, with a coupling rate κ_l , and is configured to receive from the resonator structure, to which it is coupled, a usable power, wherein U_l is defined as κ_l / Γ_1 , if the power load is coupled to the first resonator structure and defined as κ_l / Γ_2 , if the power load is coupled to the second resonator structure. U_l is chosen to maximize the ratio of the energy-transfer efficiency to the radiation efficiency. The driving frequency is different from the resonance frequencies of the first and second resonator structures and is closer to a frequency corresponding to an odd normal mode of the system of the two resonator structures, wherein the detuning of the first resonator from the driving frequency is defined as $D_1 = (\omega - \omega_1) / \Gamma_1$ and is approximately equal to UV_{rad} , and the detuning of the second resonator structure from the driving frequency is defined as $D_2 = (\omega - \omega_2) / \Gamma_2$ and is approximately equal to UV_{rad} , and U_l is approximately equal to $\sqrt{1 + U^2 - V_{\text{rad}}^2 U^2 + V^2 - 2VV_{\text{rad}}}$.

At least one of the first and second resonator structures comprises a capacitively loaded loop or coil of at least one of a conducting wire, a conducting Litz wire, and a conducting ribbon. The characteristic size of said loop or coil is less than 30 cm and the width of said conducting wire or Litz wire or ribbon is less than 2cm. The characteristic size of said loop or coil is less than 1m and the width of said conducting wire or Litz wire or ribbon is less than 2cm.

The apparatus further includes a feedback mechanism for maintaining the resonant frequency of one or more of the resonant objects. The feedback mechanism comprises an oscillator with a fixed driving frequency and is configured to adjust the resonant frequency of the one or more resonant objects to be detuned by a fixed amount with respect to the fixed frequency.

In an aspect, an apparatus for use in wireless energy transfer includes a first resonator structure configured for energy transfer with a second resonator structure over a distance D . The energy transfer is mediated by evanescent-tail coupling of a resonant field of the first resonator structure and a resonant field of the second resonator structure,

with a coupling factor k . The resonant field of the first resonator structure has a resonance angular frequency ω_1 , a resonance frequency-width Γ_1 , and a resonance quality factor $Q_1 = \omega_1 / 2\Gamma_1$, and is radiative in the far field, with an associated radiation quality factor $Q_{1,\text{rad}} \geq Q_1$, and the resonant field of the second resonator structure has a resonance angular frequency ω_2 , a resonance frequency-width Γ_2 , and a resonance quality factor $Q_2 = \omega_2 / 2\Gamma_2$, and is radiative in the far field, with an associated radiation quality factor $Q_{2,\text{rad}} \geq Q_2$. An absolute value of a difference of said angular frequencies ω_1 and ω_2 is smaller than the broader of said resonant widths Γ_1 and Γ_2 , and an average resonant angular frequency is defined as $\omega_o = \sqrt{\omega_1 \omega_2}$, corresponding to an average resonant wavelength $\lambda_o = 2\pi c / \omega_o$, where c is the speed of light in free space, and a strong-coupling factor is defined as $U = k\sqrt{Q_1 Q_2}$. The apparatus is configured to employ interference between said radiative far fields of the resonant fields of the first and second resonator, with an interference factor V_{rad} , to increase efficiency of energy transfer for the apparatus compared to efficiency for the apparatus in the absence of interference, the strong-interference factor being defined as $V = V_{\text{rad}} \sqrt{(Q_1 / Q_{1,\text{rad}})(Q_2 / Q_{2,\text{rad}})}$.

The following are examples within the scope of this aspect.

The apparatus has $Q_1 / Q_{1,\text{rad}} \geq 0.05$ and $Q_2 / Q_{2,\text{rad}} \geq 0.05$. The apparatus has $Q_1 / Q_{1,\text{rad}} \geq 0.5$ and $Q_2 / Q_{2,\text{rad}} \geq 0.5$. The apparatus has D / λ_o larger than 0.01 and the strong-interference factor V is larger than 0.05. The apparatus has D / λ_o larger than 0.01 and the strong-interference factor V is larger than 0.5. The apparatus further includes the second resonator structure.

During operation, a power generator is coupled to one of the first and second resonant structure, with a coupling rate κ_g , and is configured to drive the resonator structure, to which it is coupled, at a driving frequency f , corresponding to a driving angular frequency $\omega = 2\pi f$, wherein U_g is defined as κ_g / Γ_1 , if the power generator is coupled to the first resonator structure and defined as κ_g / Γ_2 , if the power generator is coupled to the second resonator structure. The driving frequency is different from the

resonance frequencies of the first and second resonator structures and is closer to a frequency corresponding to an odd normal mode of the system of the two resonator structures, wherein the detuning of the first resonator from the driving frequency is defined as $D_1 = (\omega - \omega_1) / \Gamma_1$ and the detuning of the second resonator structure from the driving frequency is defined as $D_2 = (\omega - \omega_2) / \Gamma_2$.

D_1 is approximately equal to UV and D_2 is approximately equal to UV . U_g is chosen to maximize the energy-transfer efficiency. U_g is approximately equal to $\sqrt{(1+U^2)(1-V^2)}$. f is at least larger than 100 kHz and smaller than 500MHz. f is at least larger than 1MHz and smaller than 50MHz. The apparatus further includes the power generator.

During operation, a power load is coupled to the resonant structure to which the power generator is not coupled, with a coupling rate κ_l , and is configured to receive from the resonator structure, to which it is coupled, a usable power, wherein U_l is defined as κ_l / Γ_1 , if the power load is coupled to the first resonator structure and defined as κ_l / Γ_2 , if the power load is coupled to the second resonator structure. U_l is chosen to maximize the energy-transfer efficiency. The driving frequency is different from the resonance frequencies of the first and second resonator structures and is closer to a frequency corresponding to an odd normal mode of the system of the two resonator structures, wherein the detuning of the first resonator from the driving frequency is defined as $D_1 = (\omega - \omega_1) / \Gamma_1$ and is approximately equal to UV , and the detuning of the second resonator structure from the driving frequency is defined as $D_2 = (\omega - \omega_2) / \Gamma_2$ and is approximately equal to UV , and U_l is approximately equal to $\sqrt{(1+U^2)(1-V^2)}$.

At least one of the first and second resonator structures comprises a capacitively loaded loop or coil of at least one of a conducting wire, a conducting Litz wire, and a conducting ribbon. The characteristic size of said loop or coil is less than 30 cm and the width of said conducting wire or Litz wire or ribbon is less than 2cm. The characteristic size of said loop or coil is less than 1m and the width of said conducting wire or Litz wire

or ribbon is less than 2cm. The apparatus includes a feedback mechanism for maintaining the resonant frequency of one or more of the resonant objects. The feedback mechanism comprises an oscillator with a fixed driving frequency and is configured to adjust the resonant frequency of the one or more resonant objects to be detuned by a fixed amount with respect to the fixed frequency. The feedback mechanism is configured to monitor an efficiency of the energy transfer, and adjust the resonant frequency of the one or more resonant objects to maximize the efficiency. The resonance angular frequencies for the resonator structures are selected to optimize the energy-transfer efficiency by accounting for both the strong-coupling factor U and the strong-interference factor V .

In an aspect, a method for wireless energy transfer includes providing a first resonator structure configured for energy transfer with a second resonator structure over a distance D , wherein the energy transfer is mediated by evanescent-tail coupling of a resonant field of the first resonator structure and a resonant field of the second resonator structure, with a coupling factor k , wherein said resonant field of the first resonator structure has a resonance angular frequency ω_1 , a resonance frequency-width Γ_1 , and a resonance quality factor $Q_1 = \omega_1 / 2\Gamma_1$, and is radiative in the far field, with an associated radiation quality factor $Q_{1,\text{rad}} \geq Q_1$, and resonant field of the second resonator structure has a resonance angular frequency ω_2 , a resonance frequency-width Γ_2 , and a resonance quality factor $Q_2 = \omega_2 / 2\Gamma_2$, and is radiative in the far field, with an associated radiation quality factor $Q_{2,\text{rad}} \geq Q_2$, wherein an absolute value of a difference of said angular frequencies ω_1 and ω_2 is smaller than broader of said resonant widths Γ_1 and Γ_2 , and an average resonant angular frequency is defined as $\omega_o = \sqrt{\omega_1 \omega_2}$, corresponding to an average resonant wavelength $\lambda_o = 2\pi c / \omega_o$, where c is the speed of light in free space, and the strong-coupling factor is defined as $U = k\sqrt{Q_1 Q_2}$, and employing interference between said radiative far fields of the resonant fields of the first and second resonator, with an interference factor V_{rad} , to reduce a total amount of radiation from the first and second resonator compared to an amount of radiation from the first and second resonator in the absence of interference, a strong-interference factor being defined as

$$V = V_{\text{rad}} \sqrt{(Q_1 / Q_{1,\text{rad}})(Q_2 / Q_{2,\text{rad}})}.$$

The following are examples within the scope of this aspect.

The method has $Q_1 / Q_{1,\text{rad}} \geq 0.01$ and $Q_2 / Q_{2,\text{rad}} \geq 0.01$. During operation, a power generator is coupled to one of the first and second resonator structure and is configured to drive the resonator structure, to which it is coupled, at a driving frequency f , corresponding to a driving angular frequency $\omega = 2\pi f$, wherein the driving frequency is different from the resonance frequencies of the first and second resonator structures and is closer to a frequency corresponding to an odd normal mode of the system of the two resonator structures. During operation, a power load is coupled to the resonator structure to which the power generator is not coupled and is configured to receive from the resonator structure, to which it is coupled, a usable power.

In an aspect, a method for wireless energy transfer includes providing a first resonator structure configured for energy transfer with a second resonator structure over a distance D , wherein the energy transfer is mediated by evanescent-tail coupling of a resonant field of the first resonator structure and a resonant field of the second resonator structure, with a coupling factor k , wherein said resonant field of the first resonator structure has a resonance angular frequency ω_1 , a resonance frequency-width Γ_1 , and a resonance quality factor $Q_1 = \omega_1 / 2\Gamma_1$, and is radiative in the far field, with an associated radiation quality factor $Q_{1,\text{rad}} \geq Q_1$, and said resonant field of the second resonator structure has a resonance angular frequency ω_2 , a resonance frequency-width Γ_2 , and a resonance quality factor $Q_2 = \omega_2 / 2\Gamma_2$, and is radiative in the far field, with an associated radiation quality factor $Q_{2,\text{rad}} \geq Q_2$, wherein an absolute value of the difference of said angular frequencies ω_1 and ω_2 is smaller than the broader of said resonant widths Γ_1 and Γ_2 , and an average resonant angular frequency is defined as $\omega_o = \sqrt{\omega_1 \omega_2}$, corresponding to an average resonant wavelength $\lambda_o = 2\pi c / \omega_o$, where c is the speed of light in free space, and the strong-coupling factor is defined as $U = k\sqrt{Q_1 Q_2}$, and employing interference between said radiative far fields of the resonant fields of the first and second resonator, with an interference factor V_{rad} , to increase efficiency of energy transfer between the first

and second resonator compared to efficiency of energy transfer between the first and second resonator in the absence of interference, a strong-interference factor being defined

$$\text{as } V = V_{\text{rad}} \sqrt{(Q_1 / Q_{1,\text{rad}})(Q_2 / Q_{2,\text{rad}})}.$$

The following are examples within the scope of this aspect.

The method has $Q_1 / Q_{1,\text{rad}} \geq 0.05$ and $Q_2 / Q_{2,\text{rad}} \geq 0.05$. During operation, a power generator is coupled to one of the first and second resonant structure and is configured to drive the resonator structure, to which it is coupled, at a driving frequency f , corresponding to a driving angular frequency $\omega = 2\pi f$, wherein the driving frequency is different from the resonance frequencies of the first and second resonator structures and is closer to a frequency corresponding to an odd normal mode of the system of the two resonator structures. During operation, a power load is coupled to the resonant structure to which the power generator is not coupled and is configured to receive from the resonator structure, to which it is coupled, a usable power. The resonance angular frequencies for the resonator structures are selected to optimize the energy-transfer efficiency by accounting for both the strong-coupling factor U and the strong-interference interference factor V .

Various examples may include any of the above features, alone or in combination. Other features, objects, and advantages of the disclosure will be apparent from the following detailed description.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows a schematic of an example wireless energy transfer scheme.

Figs. 2(a)-(b) show the efficiency of power transmission η_p for (a) $U = 1$ and (b) $U = 3$, as a function of the frequency detuning D_o and for different values of the loading rate U_o .

Fig. 2(c) shows the optimal (for zero detuning and under conditions of impedance matching) efficiency for energy transfer η_{e^*} and power transmission η_{p^*} , as a function of the coupling-to-loss figure-of-merit U .

Fig. 3 shows an example of a self-resonant conducting-wire coil.

Fig. 4 shows an example of a wireless energy transfer scheme featuring two self-resonant conducting-wire coils

Fig. 5 is a schematic of an experimental system demonstrating wireless energy transfer.

Fig. 6 shows a comparison between experimental and theoretical results for the coupling rate of the system shown schematically in Fig. 5.

Fig. 7 shows a comparison between experimental and theoretical results for the strong-coupling factor of the system shown schematically in Fig. 5.

Fig. 8 shows a comparison between experimental and theoretical results for the power-transmission efficiency of the system shown schematically in Fig. 5.

Fig. 9 shows an example of a capacitively loaded conducting-wire coil, and illustrates the surrounding field.

Fig. 10 shows an example wireless energy transfer scheme featuring two capacitively loaded conducting-wire coils, and illustrates the surrounding field.

Fig. 11 illustrates an example circuit model for wireless energy transfer.

Fig. 12 shows the efficiency, total (loaded) device Q , and source and device currents, voltages and radiated powers (normalized to 1Watt of output power to the load) as functions of the resonant frequency, for a particular choice of source and device loop dimensions, w_p and N_s and different choices of $N_d=1,2,3,4,5,6,10$ (red, green, blue, magenta, yellow, cyan, black respectively).

Fig.13 shows the efficiency, total (loaded) device Q , and source and device currents, voltages and radiated powers (normalized to 1Watt of output power to the load) as functions of frequency and w_p for a particular choice of source and device loop dimensions, and number of turns N_s and N_d .

Fig. 14 shows an example of an inductively-loaded conducting-wire coil.

Fig. 15 shows (a) an example of a resonant dielectric disk, and illustrates the surrounding field and (b) a wireless energy transfer scheme featuring two resonant dielectric disks, and illustrates the surrounding field.

Figs. 16(a)-(b) show the efficiency of power transmission η_p for (a) $U = 1$, $V = 0.5$ and (b) $U = 3$, $V = 0.5$, as a function of the frequency detuning D_0 and for different values of the loading rate U_0 . (The dotted lines show, for comparison, the results when

there is no interference, as shown in Fig.2(a)-(b).) and Figs. 16(c)-(d) show the optimal (for optimal detuning and under conditions of impedance matching) efficiency for energy transfer (only in (c)) and power transmission, as a function of the strong-coupling factor U and the strong-interference factor V .

Fig. 17 shows coupled-mode theory (CMT) results for (a) the coupling factor k and (b) the strong-coupling factor U as a function of the relative distance D/r between two identical capacitively-loaded conducting single-turn loops, for three different dimensions of the loops. Note that, for conducting material, copper ($\sigma = 5.998 \cdot 10^7 \text{ S/m}$) was used.

Fig. 18 shows AT results for the interference factor V_{rad} as a function of the distance D (normalized to the wavelength λ) between two capacitively-loaded conducting loops.

Fig. 19 shows CMT results for the strong-coupling factor U and AT results for the interference factor V_{rad} and strong-interference factor V as a function of the resonant eigenfrequency of two identical capacitively-loaded conducting single-turn loops with $r = 30 \text{ cm}$ and $a = 2 \text{ cm}$, at a relative distance $D/r = 5$ between them. Note that, for conducting material, copper ($\sigma = 5.998 \cdot 10^7 \text{ S/m}$) was used.

Fig. 20 shows the power-transmission efficiency as a function of the resonant eigenfrequency of two identical capacitively-loaded conducting single-turn loops. Results for two different loop dimensions are shown and for two relative distances between the identical loops. For each loops dimension and distance, four different cases are examined: without far-field interference (dotted), with far-field interference but no driving-frequency detuning (dashed) and with driving-frequency detuning to maximize either the efficiency (solid) or the ratio of efficiency over radiation (dash-dotted).

Fig. 21 shows the driving-frequency detunings required in the presence of far-field interference as a function of the resonant eigenfrequency of two identical capacitively-loaded conducting single-turn loops of Fig. 20 to maximize either the efficiency (solid) or the ratio of efficiency over radiation (dash-dotted).

Fig. 22(a) shows the resonant eigenfrequencies f_U and f_η , where the strong-coupling factor U and the power-transmission efficiency η peak respectively, as a

function of the relative distance D/r between two identical loops with $r = 30\text{cm}$ and $a = 2\text{cm}$.

Fig. 22(b) illustrates the strong-coupling factor U and the strong-interference factor V as a curve in the $U-V$ plane, parametrized with the relative distance D/r between the two loops, for the cases with interference and eigenfrequency f_η (solid), with interference and eigenfrequency f_U (dashed), and without interference and eigenfrequency f_U (dotted).

Fig. 22(c) shows the efficiency enhancement ratio of the solid curve in Fig. 22(b) relative to the dashed and dotted curves in Fig. 22(b).

Fig. 23 shows the radiation efficiency as a function of the resonant eigenfrequency of two identical capacitively-loaded conducting single-turn loops. Results for two different loop dimensions are shown and for two relative distances between the identical loops. For each loops dimension and distance, four different cases are examined: without far-field interference (dotted), with far-field interference but no driving-frequency detuning (dashed) and with driving-frequency detuning to maximize either the efficiency (solid) or the ratio of efficiency over radiation (dash-dotted).

Fig. 24 shows CMT results for (a) the coupling factor k and (b) the strong-coupling factor U , for three different m values of subwavelength resonant modes of two same dielectric disks at distance $D/r = 5$ (and also a couple more distances for $m = 2$), when varying their ϵ in the range $250 \geq \epsilon \geq 35$. Note that disk-material loss-tangent $\tan \delta = 6 \cdot 10^{-6} \epsilon - 2 \cdot 10^{-4}$ was used. (c) Relative U error between CMT and numerical FEFD calculations of part (b).

Fig. 25 shows Antenna Theory (AT) results for (a) the normalized interference term $2\Lambda / \sqrt{\omega_1 \omega_2}$ and (b) magnitude of the strong-interference factor $|V|$, as a function of frequency, for the exact same parameters as in Fig.24. (c) Relative V error between AT and numerical FEFD calculations of part (b).

Fig. 26 shows results for the overall power transmission as a function of frequency, for the same set of resonant modes and distances as in Figs.24 and 25, based on the predictions including interference (solid lines) and without interference, just from U (dotted lines).

Fig. 27 (a) shows the frequencies f_U and f_η , where the strong-coupling factor U and the power-transmission efficiency η are respectively maximized, as a function of the transfer distance between the $m = 2$ disks of Fig. 15. Fig. 27(b) shows the efficiencies achieved at the frequencies of (a) and, in inset, the enhancement ratio of the optimal (by definition) efficiency for f_η versus the achievable efficiency at f_U . Fig. 27(c) shows the D -parametrized path of the transmission efficiency for the frequency choices of (a) on the $U - V$ efficiency map.

Fig. 28 shows results for the radiation efficiency as a function of the transfer distance at resonant frequency f_U , when the operating frequency is detuned (solid line), when it is not (dashed line), and when there is no interference whatsoever (dotted line). In the inset, we show the corresponding radiation suppression factors.

Figs. 29(a)-(b) show schematics for frequency control mechanisms.

Figs. 30(a)-(c) illustrate a wireless energy transfer scheme using two dielectric disks in the presence of various extraneous objects.

DETAILED DESCRIPTION

1. Efficient energy-transfer by ‘strongly coupled’ resonances

Fig. 1 shows a schematic that generally describes one example of the invention, in which energy is transferred wirelessly between two resonant objects. Referring to Fig. 1, energy is transferred, over a distance D , between a resonant source object having a characteristic size r_1 and a resonant device object of characteristic size r_2 . Both objects are resonant objects. The wireless non-radiative energy transfer is performed using the field (e.g. the electromagnetic field or acoustic field) of the system of two resonant objects.

The characteristic size of an object can be regarded as being equal to the radius of the smallest sphere which can fit around the entire object. The characteristic thickness of an object can be regarded as being, when placed on a flat surface in any arbitrary configuration, the smallest possible height of the highest point of the object above a flat surface. The characteristic width of an object can be regarded as being the radius of the

smallest possible circle that the object can pass through while traveling in a straight line. For example, the characteristic width of a cylindrical object is the radius of the cylinder.

It is to be understood that while two resonant objects are shown in the example of Fig. 1, and in many of the examples below, other examples can feature three or more resonant objects. For example, in some examples, a single source object can transfer energy to multiple device objects. In some examples, energy can be transferred from a first resonant object to a second resonant object, and then from the second resonant object to a third resonant object, and so forth.

Initially, we present a theoretical framework for understanding non-radiative wireless energy transfer. Note however that it is to be understood that the scope of the invention is not bound by theory.

Different temporal schemes can be employed, depending on the application, to transfer energy between two resonant objects. Here we will consider two particularly simple but important schemes: a one-time finite-amount energy-transfer scheme and a continuous finite-rate energy-transfer (power) scheme.

1.1 Finite-amount energy-transfer efficiency

Let the source and device objects be 1, 2 respectively and their resonance eigemodes, which we will use for the energy exchange, have angular frequencies $\omega_{1,2}$, frequency-widths due to intrinsic (absorption, radiation etc.) losses $\Gamma_{1,2}$ and (generally) vector fields $\mathbf{F}_{1,2}(\mathbf{r})$, normalized to unity energy. Once the two resonant objects are brought in proximity, they can interact and an appropriate analytical framework for modeling this resonant interaction is that of the well-known coupled-mode theory (CMT). In this picture, the field of the system of the two resonant objects 1, 2 can be approximated by $\mathbf{F}(\mathbf{r}, t) = a_1(t)\mathbf{F}_1(\mathbf{r}) + a_2(t)\mathbf{F}_2(\mathbf{r})$, where $a_{1,2}(t)$ are the field amplitudes, with $|a_{1,2}(t)|^2$ equal to the energy stored inside the object 1, 2 respectively, due to the normalization. Then, using $e^{-i\omega t}$ time dependence, the field amplitudes can be shown to satisfy, to lowest order:

$$\begin{aligned}\frac{d}{dt}a_1(t) &= -i(\omega_1 - i\Gamma_1)a_1(t) + i\kappa_{11}a_1(t) + i\kappa_{12}a_2(t) \\ \frac{d}{dt}a_2(t) &= -i(\omega_2 - i\Gamma_2)a_2(t) + i\kappa_{21}a_1(t) + i\kappa_{22}a_2(t)\end{aligned}\quad (1)$$

where $\kappa_{11,22}$ are the shifts in each object's frequency due to the presence of the other, which are a second-order correction and can be absorbed into the eigenfrequencies by setting $\omega_{1,2} \rightarrow \omega_{1,2} + \kappa_{11,22}$, and $\kappa_{12,21}$ are the coupling coefficients, which from the reciprocity requirement of the system must satisfy $\kappa_{21} = \kappa_{12} \equiv \kappa$.

The normal modes of the combined system are found, by substituting $[a_1(t), a_2(t)] = [A_1, A_2]e^{-i\bar{\omega}t}$, to have complex frequencies

$$\bar{\omega}_{\pm} = \frac{\omega_1 + \omega_2}{2} - i\frac{\Gamma_1 + \Gamma_2}{2} \pm \sqrt{\left(\frac{\omega_1 - \omega_2}{2} - i\frac{\Gamma_1 - \Gamma_2}{2}\right)^2 + \kappa^2} \quad (2)$$

whose splitting we denote as $\delta_E \equiv \bar{\omega}_+ - \bar{\omega}_-$. Note that, at exact resonance $\omega_1 = \omega_2$ and for $\Gamma_1 = \Gamma_2$, we get $\delta_E = 2\kappa$.

Assume now that at time $t = 0$ the source object 1 has finite energy $|a_1(0)|^2$, while the device object has $|a_2(0)|^2 = 0$. Since the objects are coupled, energy will be transferred from 1 to 2. With these initial conditions, Eqs.(1) can be solved, predicting the evolution of the device field-amplitude to be

$$\frac{a_2(t)}{|a_1(0)|} = \frac{2\kappa}{\delta_E} \sin\left(\frac{\delta_E t}{2}\right) e^{-\frac{\Gamma_1 + \Gamma_2}{2}t}. \quad (3)$$

The energy-transfer efficiency will be $\eta_E \equiv |a_2(t)|^2/|a_1(0)|^2$. Note that, at exact resonance $\omega_1 = \omega_2$ and in the special case $\Gamma_1 = \Gamma_2 \equiv \Gamma_0$, Eq.(3) can be written as

$$\frac{a_2(T)}{|a_1(0)|} = \sin(UT)e^{-T} \quad (4)$$

where $T \equiv \Gamma_0 t$ and $U = \kappa/\Gamma_0$.

In some examples, the system designer can adjust the duration of the coupling t at will. In some examples, the duration t can be adjusted to maximize the device energy (and thus efficiency η_E). Then, in the special case $\Gamma_1 = \Gamma_2 = \Gamma_o$, it can be inferred from Eq.(4) that η_E is maximized for

$$T_* = \frac{\tan^{-1} U}{U} \quad (5)$$

resulting in an optimal energy-transfer efficiency

$$\eta_{E*} \equiv \eta_E(T_*) = \frac{U^2}{1+U^2} \exp\left(-\frac{2 \tan^{-1} U}{U}\right) \quad (6)$$

which is only a function of the coupling-to-loss ratio $U = \kappa/\Gamma_o$ and tends to unity when $U \gg 1$, as depicted in Fig.2(c). In general, also for $\Gamma_1 \neq \Gamma_2$, the energy transfer is nearly perfect, when the coupling rate is much faster than all loss rates ($\kappa/\Gamma_{1,2} \gg 1$).

In a real wireless energy-transfer system, the source object can be connected to a power generator (not shown in Fig.1), and the device object can be connected to a power consuming load (e.g. a resistor, a battery, an actual device, not shown in Fig.1). The generator will supply the energy to the source object, the energy will be transferred wirelessly and non-radiatively from the source object to the device object, and the load will consume the energy from the device object. To incorporate such supply and consumption mechanisms into this temporal scheme, in some examples, one can imagine that the generator is very briefly but very strongly coupled to the source at time $t = 0$ to almost instantaneously provide the energy, and the load is similarly very briefly but very strongly coupled to the device at the optimal time $t = t_*$ to almost instantaneously drain the energy. For a constant powering mechanism, at time $t = t_*$ also the generator can again be coupled to the source to feed a new amount of energy, and this process can be repeated periodically with a period t_* .

1.2 Finite-rate energy-transfer (power-transmission) efficiency

Let the generator be continuously supplying energy to the source object 1 at a rate κ_1 and the load continuously draining energy from the device object 2 at a rate κ_2 . Field amplitudes $s_{\pm 1,2}(t)$ are then defined, so that $|s_{\pm 1,2}(t)|^2$ is equal to the power ingoing to

(for the + sign) or outgoing from (for the - sign) the object 1, 2 respectively, and the CMT equations are modified to

$$\begin{aligned}\frac{d}{dt}a_1(t) &= -i(\omega_1 - i\Gamma_1)a_1(t) + i\kappa_{11}a_1(t) + i\kappa_{12}a_2(t) - \kappa_1a_1(t) + \sqrt{2\kappa_1}s_{+1}(t) \\ \frac{d}{dt}a_2(t) &= -i(\omega_2 - i\Gamma_2)a_2(t) + i\kappa_{21}a_1(t) + i\kappa_{22}a_2(t) - \kappa_2a_2(t) \\ s_{-1}(t) &= \sqrt{2\kappa_1}a_1(t) - s_{+1}(t) \\ s_{-2}(t) &= \sqrt{2\kappa_2}a_2(t)\end{aligned}\quad (7)$$

where again we can set $\omega_{1,2} \rightarrow \omega_{1,2} + \kappa_{11,22}$ and $\kappa_{21} = \kappa_{12} \equiv \kappa$.

Assume now that the excitation is at a fixed frequency ω , namely has the form $s_{+1}(t) = S_{+1}e^{-i\omega t}$. Then the response of the linear system will be at the same frequency, namely $a_{1,2}(t) = A_{1,2}e^{-i\omega t}$ and $s_{-1,2}(t) = S_{-1,2}e^{-i\omega t}$. By substituting these into Eqs.(7), using $\delta_{1,2} \equiv \omega - \omega_{1,2}$, and solving the system, we find the field-amplitude transmitted to the load (S_{21} scattering-matrix element)

$$\begin{aligned}S_{21} \equiv \frac{S_{-2}}{S_{+1}} &= \frac{2i\kappa\sqrt{\kappa_1\kappa_2}}{(\Gamma_1 + \kappa_1 - i\delta_1)(\Gamma_2 + \kappa_2 - i\delta_2) + \kappa^2} \\ &= \frac{2iU\sqrt{U_1U_2}}{(1+U_1-iD_1)(1+U_2-iD_2) + U^2}\end{aligned}\quad (8)$$

and the field-amplitude reflected to the generator (S_{11} scattering-matrix element)

$$\begin{aligned}S_{11} \equiv \frac{S_{-1}}{S_{+1}} &= \frac{(\Gamma_1 - \kappa_1 - i\delta_1)(\Gamma_2 + \kappa_2 - i\delta_2) + \kappa^2}{(\Gamma_1 + \kappa_1 - i\delta_1)(\Gamma_2 + \kappa_2 - i\delta_2) + \kappa^2} \\ &= \frac{(1-U_1-iD_1)(1+U_2-iD_2) + U^2}{(1+U_1-iD_1)(1+U_2-iD_2) + U^2}\end{aligned}\quad (9)$$

where $D_{1,2} \equiv \delta_{1,2}/\Gamma_{1,2}$, $U_{1,2} \equiv \kappa_{1,2}/\Gamma_{1,2}$ and $U \equiv \kappa/\sqrt{\Gamma_1\Gamma_2}$. Similarly, the scattering-matrix elements S_{12} , S_{22} are given by interchanging $1 \leftrightarrow 2$ in Eqs.(8),(9) and, as expected from reciprocity, $S_{21} = S_{12}$. The coefficients for power transmission (efficiency) and reflection and loss are respectively $\eta_P \equiv |S_{21}|^2 = |S_{-2}|^2/|S_{+1}|^2$ and $|S_{11}|^2 = |S_{-1}|^2/|S_{+1}|^2$ and $1 - |S_{21}|^2 - |S_{11}|^2 = (2\Gamma_1|A_1|^2 + 2\Gamma_2|A_2|^2)/|S_{+1}|^2$.

In practice, in some implementations, the parameters $D_{1,2}$, $U_{1,2}$ can be designed (engineered), since one can adjust the resonant frequencies $\omega_{1,2}$ (compared to the desired

operating frequency ω) and the generator/load supply/drain rates $\kappa_{1,2}$. Their choice can target the optimization of some system performance-characteristic of interest:

In some examples, a goal can be to maximize the power transmission (efficiency) $\eta_P \equiv |S_{21}|^2$ of the system, so one would require

$$\eta'_P(D_{1,2}) = \eta'_P(U_{1,2}) = 0 \quad (10)$$

Since S_{21} (from Eq.(8)) is symmetric upon interchanging $1 \leftrightarrow 2$, the optimal values for $D_{1,2}$ (determined by Eqs.(10)) will be equal, namely $D_1 = D_2 \equiv D_o$, and similarly $U_1 = U_2 \equiv U_o$. Then,

$$S_{21} = \frac{2iUU_o}{(1+U_o-iD_o)^2 + U^2} \quad (11)$$

and from the condition $\eta'_P(D_o) = 0$ we get that, for fixed values of U and U_o , the efficiency can be maximized for the following values of the symmetric detuning

$$D_o = \begin{cases} \pm\sqrt{U^2 - (1+U_o)^2}, & \text{if } U > 1+U_o, \\ 0, & \text{if } U \leq 1+U_o, \end{cases} \quad (12)$$

which, in the case $U > 1 + U_o$, can be rewritten for the two frequencies at which the efficiency peaks as

$$\omega_{\pm} = \frac{\omega_1\Gamma_2 + \omega_2\Gamma_1}{\Gamma_1 + \Gamma_2} \pm \frac{2\sqrt{\Gamma_1\Gamma_2}}{\Gamma_1 + \Gamma_2} \sqrt{\kappa^2 - (\Gamma_1 + \kappa_1)(\Gamma_2 + \kappa_2)}, \quad (13)$$

whose splitting we denote as $\delta_P \equiv \bar{\omega}_+ - \bar{\omega}_-$. Note that, at exact resonance $\omega_1 = \omega_2$, and for $\Gamma_1 = \Gamma_2 \equiv \Gamma_o$ and $\kappa_1 = \kappa_2 \equiv \kappa_o$, we get $\delta_P = 2\sqrt{\kappa^2 - (\Gamma_o + \kappa_o)^2} < \delta_E$, namely the transmission-peak splitting is smaller than the normal-mode splitting. Then, by substituting D_o into η_P from Eq.(12), from the condition $\eta'_P(U_o) = 0$ we get that, for fixed value of U , the efficiency can be maximized for

$$U_{o*} = \sqrt{1+U^2} \xRightarrow{\text{Eq.(12)}} D_{o*} = 0 \quad (14)$$

which is known as 'critical coupling' condition, whereas for $U_o < U_{o*}$ the system is called 'undercoupled' and for $U_o > U_{o*}$ it is called 'overcoupled'. The dependence of the efficiency on the frequency detuning D_o for different values of U_o (including the

‘critical-coupling’ condition) are shown in Fig. 2(a,b). The overall optimal power efficiency using Eqs.(14) is

$$\eta_{P^*} \equiv \eta_P(D_o^*, U_o^*) = \frac{U_o^* - 1}{U_o^* + 1} = \left(\frac{U}{1 + \sqrt{1 + U^2}} \right)^2, \quad (15)$$

which is again only a function of the coupling-to-loss ratio $U = \kappa/\sqrt{\Gamma_1\Gamma_2}$ and tends to unity when $U \gg 1$, as depicted in Fig. 2(c).

In some examples, a goal can be to minimize the power reflection at the side of the generator $|S_{11}|^2$ and the load $|S_{22}|^2$, so one would then need

$$S_{11,22} = 0 \Rightarrow (1 \mp U_1 - iD_1)(1 \pm U_2 - iD_2) + U^2 = 0, \quad (16)$$

The equations above present ‘impedance matching’ conditions. Again, the set of these conditions is symmetric upon interchanging $1 \leftrightarrow 2$, so, by substituting $D_1 = D_2 \equiv D_o$ and $U_1 = U_2 \equiv U_o$ into Eqs.(16), we get

$$(1 - iD_o)^2 - U_o^2 + U^2 = 0, \quad (17)$$

from which we easily find that the values of D_o and U_o that cancel all reflections are again exactly those in Eqs.(14).

It can be seen that, for this particular problem, the two goals and their associated sets of conditions (Eqs.(10) and Eqs.(16)) result in the same optimized values of the intra-source and intra-device parameters $D_{1,2}$, $U_{1,2}$. Note that for a lossless system this would be an immediate consequence of power conservation (Hermiticity of the scattering matrix), but this is not apparent for a lossy system.

Accordingly, for any temporal energy-transfer scheme, once the parameters specific only to the source or to the device (such as their resonant frequencies and their excitation or loading rates respectively) have been optimally designed, the efficiency monotonically increases with the ratio of the source-device coupling-rate to their loss rates. Using the definition of a resonance quality factor $Q = \omega/2\Gamma$ and defining by analogy the coupling factor $k \equiv 1/Q_\kappa \equiv 2\kappa/\sqrt{\omega_1\omega_2}$, it is therefore exactly this ratio

$$U = \frac{\kappa}{\sqrt{\Gamma_1 \Gamma_2}} = k \sqrt{Q_1 Q_2} \quad (18)$$

that has been set as a figure-of-merit for any system under consideration for wireless energy-transfer, along with the distance over which this ratio can be achieved (clearly, U will be a decreasing function of distance). The desired optimal regime $U > 1$ is called 'strong-coupling' regime and it is a necessary and sufficient condition for efficient energy-transfer. In particular, for $U > 1$ we get, from Eq.(15), $\eta_{P*} > 17\%$, large enough for practical applications. The figure-of-merit U is called the strong-coupling factor. We will further show how to design systems with a large strong-coupling factor.

To achieve a large strong-coupling factor U , in some examples, the energy-transfer application preferably uses resonant modes of high quality factors Q , corresponding to low (i.e. slow) intrinsic-loss rates Γ . This condition can be satisfied by designing resonant modes where all loss mechanisms, typically radiation and absorption, are sufficiently suppressed.

This suggests that the coupling be implemented using, not the lossy radiative far-field, which should rather be suppressed, but the evanescent (non-lossy) stationary near-field. To implement an energy-transfer scheme, usually more appropriate are finite objects, namely ones that are topologically surrounded everywhere by air, into where the near field extends to achieve the coupling. Objects of finite extent do not generally support electromagnetic states that are exponentially decaying in *all* directions in air away from the objects, since Maxwell's Equations in free space imply that $\mathbf{k}^2 = \omega^2 / c^2$, where $\mathbf{k}$ is the wave vector, ω the angular frequency, and c the speed of light, because of which one can show that such finite objects cannot support states of infinite Q , rather there always is some amount of radiation. However, very long-lived (so-called "high- Q ") states can be found, whose tails display the needed exponential or exponential-like decay away from the resonant object over long enough distances before they turn oscillatory (radiative). The limiting surface, where this change in the field behavior happens, is called the "radiation caustic", and, for the wireless energy-transfer scheme to be based on the near field rather than the far/radiation field, the distance between the coupled objects must be such that one lies within the radiation caustic of the other. One typical way of achieving a high radiation- Q (Q_{rad}) is to design subwavelength resonant objects. When

the size of an object is much smaller than the wavelength of radiation in free space, its electromagnetic field couples to radiation very weakly. Since the extent of the near-field into the area surrounding a finite-sized resonant object is set typically by the wavelength, in some examples, resonant objects of subwavelength size have significantly longer evanescent field-tails. In other words, the radiation caustic is pushed far away from the object, so the electromagnetic mode enters the radiative regime only with a small amplitude.

Moreover, most realistic materials exhibit some nonzero amount of absorption, which can be frequency dependent, and thus cannot support states of infinite Q , rather there always is some amount of absorption. However, very long-lived ("high- Q ") states can be found, where electromagnetic modal energy is only weakly dissipated. Some typical ways of achieving a high absorption- Q (Q_{abs}) is to use materials which exhibit very small absorption at the resonant frequency and/or to shape the field to be localized more inside the least lossy materials.

Furthermore, to achieve a large strong-coupling factor U , in some examples, the energy-transfer application preferably uses systems that achieve a high coupling factor k , corresponding to strong (i.e. fast) coupling rate κ , over distances larger than the characteristic sizes of the objects.

Since finite-sized subwavelength resonant objects can often be accompanied with a high Q , as was discussed above and will be seen in examples later on, such an object will typically be the appropriate choice for the possibly-mobile resonant device-object. In these cases, the electromagnetic field is, in some examples, of quasi-static nature and the distance, up to which sufficient coupling can be achieved, is dictated by the decay-law of this quasi-static field.

Note, though, that in some examples, the resonant source-object will be immobile and thus less restricted in its allowed geometry and size. It can be therefore chosen large enough that the near-field extent is not limited by the wavelength, and can thus have nearly infinite radiation- Q . Some objects of nearly infinite extent, such as dielectric waveguides, can support guided modes, whose evanescent tails are decaying exponentially in the direction away from the object, slowly if tuned close to cutoff,

therefore a good coupling can also be achieved over distances quite a few times larger than a characteristic size of the source- and/or device-object.

2 'Strongly-coupled' resonances at mid-range distances for realistic systems

In the following, examples of systems suitable for energy transfer of the type described above are described. We will demonstrate how to compute the CMT parameters $\omega_{1,2}$, $Q_{1,2}$ and k described above and how to choose or design these parameters for particular examples in order to produce a desirable figure-of-merit $U = \kappa / \sqrt{\Gamma_1 \Gamma_2} = k \sqrt{Q_1 Q_2}$ at a desired distance D . In some examples, this figure-of-merit is maximized when $\omega_{1,2}$ are tuned close to a particular angular frequency ω_0 .

2.1 Self-resonant conducting coils

In some examples, one or more of the resonant objects are self-resonant conducting coils. Referring to Fig. 3, a conducting wire of length l and cross-sectional radius a is wound into a helical coil of radius r and height h (namely with $N = \sqrt{l^2 - h^2} / 2\pi r$ number of turns), surrounded by air. As described below, the wire has distributed inductance and distributed capacitance, and therefore it supports a resonant mode of angular frequency ω . The nature of the resonance lies in the periodic exchange of energy from the electric field within the capacitance of the coil, due to the charge distribution $\rho(\mathbf{x})$ across it, to the magnetic field in free space, due to the current distribution $\mathbf{j}(\mathbf{x})$ in the wire. In particular, the charge conservation equation $\nabla \cdot \mathbf{j} = i\omega\rho$ implies that: (i) this periodic exchange is accompanied by a $\pi/2$ phase-shift between the current and the charge density profiles, namely the energy W contained in the coil is at certain points in time completely due to the current and at other points in time completely due to the charge, and (ii) if $\rho_l(x)$ and $I(x)$ are respectively the linear charge and current densities in the wire, where x runs along the wire, $q_o = \frac{1}{2} \int dx |\rho_l(x)|$ is the maximum amount of positive charge accumulated in one side of the coil (where an equal amount of negative charge always also accumulates in the other side to make the system neutral) and $I_o = \max \{ |I(x)| \}$ is the maximum positive value of the linear current distribution, then

$I_o = \omega q_o$. Then, one can define an effective total inductance L and an effective total capacitance C of the coil through the amount of energy W inside its resonant mode:

$$W \equiv \frac{1}{2} I_o^2 L \Rightarrow L = \frac{\mu_o}{4\pi I_o^2} \iint d\mathbf{x} d\mathbf{x}' \frac{\mathbf{j}(\mathbf{x}) \cdot \mathbf{j}(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|}, \quad (19)$$

$$W \equiv \frac{1}{2} q_o^2 \frac{1}{C} \Rightarrow \frac{1}{C} = \frac{1}{4\pi \varepsilon_o q_o^2} \iint d\mathbf{x} d\mathbf{x}' \frac{\rho(\mathbf{x}) \rho(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|}, \quad (20)$$

where μ_o and ε_o are the magnetic permeability and electric permittivity of free space.

With these definitions, the resonant angular frequency and the effective impedance can be given by the formulas $\omega = 1/\sqrt{LC}$ and $Z = \sqrt{L/C}$ respectively.

Losses in this resonant system consist of ohmic (material absorption) loss inside the wire and radiative loss into free space. One can again define a total absorption resistance R_{abs} from the amount of power absorbed inside the wire and a total radiation resistance R_{rad} from the amount of power radiated due to electric- and magnetic-dipole radiation:

$$P_{abs} \equiv \frac{1}{2} I_o^2 R_{abs} \Rightarrow R_{abs} \approx \zeta_c \frac{l}{2\pi a} \cdot \frac{I_{rms}^2}{I_o^2} \quad (21)$$

$$P_{rad} \equiv \frac{1}{2} I_o^2 R_{rad} \Rightarrow R_{rad} \approx \frac{\zeta_o}{6\pi} \left[\left(\frac{\omega |\mathbf{p}|}{c} \right)^2 + \left(\frac{\omega \sqrt{|\mathbf{m}|}}{c} \right)^4 \right], \quad (22)$$

where $c = 1/\sqrt{\mu_o \varepsilon_o}$ and $\zeta_o = \sqrt{\mu_o / \varepsilon_o}$ are the light velocity and light impedance in free space, the impedance ζ_c is $\zeta_c = 1/\sigma\delta = \sqrt{\mu_o \omega / 2\sigma}$ with σ the conductivity of the conductor and δ the skin depth at the frequency ω , $I_{rms}^2 = \frac{1}{l} \int dx |I(x)|^2$, $\mathbf{p} = \int dx \mathbf{r} \rho_l(x)$ is the electric-dipole moment of the coil and $\mathbf{m} = \frac{1}{2} \int dx \mathbf{r} \times \mathbf{j}(x)$ is the magnetic-dipole moment of the coil. For the radiation resistance formula Eq.(22), the assumption of operation in the quasi-static regime ($h, r \ll \lambda = 2\pi c / \omega$) has been used, which is the desired regime of a subwavelength resonance. With these definitions, the absorption and

radiation quality factors of the resonance are given by $Q_{abs} = Z / R_{abs}$ and $Q_{rad} = Z / R_{rad}$ respectively.

From Eq.(19)-(22) it follows that to determine the resonance parameters one simply needs to know the current distribution $\mathbf{j}$ in the resonant coil. Solving Maxwell's equations to rigorously find the current distribution of the resonant electromagnetic eigenmode of a conducting-wire coil is more involved than, for example, of a standard LC circuit, and we can find no exact solutions in the literature for coils of finite length, making an exact solution difficult. One could in principle write down an elaborate transmission-line-like model, and solve it by brute force. We instead present a model that is (as described below) in good agreement ($\sim 5\%$) with experiment. Observing that the finite extent of the conductor forming each coil imposes the boundary condition that the current has to be zero at the ends of the coil, since no current can leave the wire, we assume that the resonant mode of each coil is well approximated by a sinusoidal current profile along the length of the conducting wire. We shall be interested in the lowest mode, so if we denote by x the coordinate along the conductor, such that it runs from $-l/2$ to $+l/2$, then the current amplitude profile would have the form

$I(x) = I_o \cos(\pi x / l)$, where we have assumed that the current does not vary significantly along the wire circumference for a particular x , a valid assumption provided $a \ll r$. It immediately follows from the continuity equation for charge that the linear charge density profile should be of the form $\rho_l(x) = \rho_o \sin(\pi x / l)$, and thus

$q_o = \int_0^{l/2} dx \rho_o |\sin(\pi x / l)| = \rho_o l / \pi$. Using these sinusoidal profiles we find the so-called "self-inductance" L_s and "self-capacitance" C_s of the coil by computing numerically the integrals Eq.(19) and (20); the associated frequency and effective impedance are ω_s and Z_s respectively. The "self-resistances" R_s are given analytically by Eq.(21) and (22)

using $I_{rms}^2 = \frac{1}{l} \int_{-l/2}^{l/2} dx |I_o \cos(\pi x / l)|^2 = \frac{1}{2} I_o^2$, $|\mathbf{p}| = q_o \sqrt{\left(\frac{2}{\pi} h\right)^2 + \left(\frac{4N \cos(\pi N)}{(4N^2 - 1)\pi} r\right)^2}$ and

$$|\mathbf{m}| = I_o \sqrt{\left(\frac{2}{\pi} N \pi r^2\right)^2 + \left(\frac{\cos(\pi N)(12N^2-1) - \sin(\pi N)\pi N(4N^2-1)}{(16N^4-8N^2+1)\pi} h r\right)^2}, \text{ and therefore the}$$

associated Q_s factors can be calculated.

The results for two examples of resonant coils with subwavelength modes of $\lambda_s / r \geq 70$ (i.e. those highly suitable for near-field coupling and well within the quasi-static limit) are presented in Table 1. Numerical results are shown for the wavelength and absorption, radiation and total loss rates, for the two different cases of subwavelength-coil resonant modes. Note that, for conducting material, copper ($\sigma = 5.998 \cdot 10^7$ S/m) was used. It can be seen that expected quality factors at microwave frequencies are $Q_{s,abs} \geq 1000$ and $Q_{s,rad} \geq 5000$.

Table 1

single coil	λ_s / r	f (MHz)	$Q_{s,rad}$	$Q_{s,abs}$	Q_s
r=30cm, h=20cm, a=1cm, N=4	74.7	13.39	4164	8170	2758
r=10cm, h=3cm, a=2mm, N=6	140	21.38	43919	3968	3639

Referring to Fig. 4, in some examples, energy is transferred between two self-resonant conducting-wire coils. The electric and magnetic fields are used to couple the different resonant conducting-wire coils at a distance D between their centers. Usually, the electric coupling highly dominates over the magnetic coupling in the system under consideration for coils with $h \gg 2r$ and, oppositely, the magnetic coupling highly dominates over the electric coupling for coils with $h \ll 2r$. Defining the charge and current distributions of two coils 1,2 respectively as $\rho_{1,2}(\mathbf{x})$ and $\mathbf{j}_{1,2}(\mathbf{x})$, total charges and peak currents respectively as $q_{1,2}$ and $I_{1,2}$, and capacitances and inductances respectively as $C_{1,2}$ and $L_{1,2}$, which are the analogs of $\rho(\mathbf{x})$, $\mathbf{j}(\mathbf{x})$, q_o , I_o , C and L for the single-coil case and are therefore well defined, we can define their mutual capacitance and inductance through the total energy:

$$W \equiv W_1 + W_2 + \frac{1}{2}(q_1^* q_2 + q_2^* q_1) / M_C + \frac{1}{2}(I_1^* I_2 + I_2^* I_1) M_L$$

$$\Rightarrow 1 / M_C = \frac{1}{4\pi\epsilon_0 q_1 q_2} \iint d\mathbf{x} d\mathbf{x}' \frac{\rho_1(\mathbf{x}) \rho_2(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|} u, \quad M_L = \frac{\mu_0}{4\pi I_1 I_2} \iint d\mathbf{x} d\mathbf{x}' \frac{\mathbf{j}_1(\mathbf{x}) \cdot \mathbf{j}_2(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|} u, \quad (23)$$

where $W_1 = \frac{1}{2} q_1^2 / C_1 = \frac{1}{2} I_1^2 L_1$, $W_2 = \frac{1}{2} q_2^2 / C_2 = \frac{1}{2} I_2^2 L_2$ and the retardation factor of $u = \exp(i\omega|\mathbf{x} - \mathbf{x}'|/c)$ inside the integral can be ignored in the quasi-static regime $D \ll \lambda$ of interest, where each coil is within the near field of the other. With this definition, the coupling factor is given by $k = \sqrt{C_1 C_2} / M_C + M_L / \sqrt{L_1 L_2}$.

Therefore, to calculate the coupling rate between two self-resonant coils, again the current profiles are needed and, by using again the assumed sinusoidal current profiles, we compute numerically from Eq.(23) the mutual capacitance $M_{C,s}$ and inductance $M_{L,s}$ between two self-resonant coils at a distance D between their centers, and thus $k = 1/Q_K$ is also determined.

Table 2

pair of coils	D/r	Q	$Q_K = 1/k$	U
$r=30\text{cm}, h=20\text{cm},$ $a=1\text{cm}, N=4$ $\lambda/r \approx 75$ $Q_s^{abs} \approx 8170, Q_s^{rad} \approx 4164$	3	2758	38.9	70.9
	5	2758	139.4	19.8
	7	2758	333.0	8.3
	10	2758	818.9	3.4
$r=10\text{cm}, h=3\text{cm},$ $a=2\text{mm}, N=6$ $\lambda/r \approx 140$ $Q_s^{abs} \approx 3968, Q_s^{rad} \approx 43919$	3	3639	61.4	59.3
	5	3639	232.5	15.7
	7	3639	587.5	6.2
	10	3639	1580	2.3

Referring to Table 2, relevant parameters are shown for exemplary examples featuring pairs of identical self resonant coils. Numerical results are presented for the average wavelength and loss rates of the two normal modes (individual values not shown), and also the coupling rate and figure-of-merit as a function of the coupling distance D , for the two cases of modes presented in Table 1. It can be seen that for

medium distances $D/r = 10 - 3$ the expected coupling-to-loss ratios are in the range $U \sim 2 - 70$.

2.1.1 Experimental Results

An experimental realization of an example of the above described system for wireless energy transfer consists of two self-resonant coils of the type described above, one of which (the source coil) is coupled inductively to an oscillating circuit, and the second (the device coil) is coupled inductively to a resistive load, as shown schematically in Fig. 5. Referring to Fig. 5, A is a single copper loop of radius 25cm that is part of the driving circuit, which outputs a sine wave with frequency 9.9MHz. s and d are respectively the source and device coils referred to in the text. B is a loop of wire attached to the load ("light-bulb"). The various κ 's represent direct couplings between the objects. The angle between coil d and the loop A is adjusted so that their direct coupling is zero, while coils s and d are aligned coaxially. The direct coupling between B and A and between B and s is negligible.

The parameters for the two identical helical coils built for the experimental validation of the power transfer scheme were $h = 20$ cm, $a = 3$ mm, $r = 30$ cm and $N = 5.25$. Both coils are made of copper. Due to imperfections in the construction, the spacing between loops of the helix is not uniform, and we have encapsulated the uncertainty about their uniformity by attributing a 10% (2 cm) uncertainty to h . The expected resonant frequency given these dimensions is $f_0 = 10.56 \pm 0.3$ MHz, which is about 5% off from the measured resonance at around 9.90 MHz.

The theoretical Q for the loops is estimated to be ~ 2500 (assuming perfect copper of resistivity $\rho = 1/\sigma = 1.7 \times 10^{-8} \Omega \text{ m}$) but the measured value is 950 ± 50 . We believe the discrepancy is mostly due to the effect of the layer of poorly conducting copper oxide on the surface of the copper wire, to which the current is confined by the short skin depth ($\sim 20 \mu \text{ m}$) at this frequency. We have therefore used the experimentally observed Q (and $\Gamma_1 = \Gamma_2 = \Gamma = \omega/(2Q)$ derived from it) in all subsequent computations.

The coupling coefficient κ can be found experimentally by placing the two self-resonant coils (fine-tuned, by slightly adjusting h , to the same resonant frequency when isolated) a distance D apart and measuring the splitting in the frequencies of the two

resonant modes in the transmission spectrum. According to Eq.(13) derived by coupled-mode theory, the splitting in the transmission spectrum should be $\delta_p = 2\sqrt{\kappa^2 - \Gamma^2}$, when $\kappa_{A,B}$ are kept very small by keeping A and B at a relatively large distance. The comparison between experimental and theoretical results as a function of distance when the two the coils are aligned coaxially is shown in Fig. 6.

Fig. 7 shows a comparison of experimental and theoretical values for the strong-coupling factor $U = \kappa / \Gamma$ as a function of the separation between the two coils. The theory values are obtained by using the theoretically obtained κ and the experimentally measured Γ . The shaded area represents the spread in the theoretical U due to the $\sim 5\%$ uncertainty in Q . As noted above, the maximum theoretical efficiency depends only on the parameter U , which is plotted as a function of distance in Fig. 7. U is greater than 1 even for $D = 2.4$ m (eight times the radius of the coils), thus the system is in the strongly-coupled regime throughout the entire range of distances probed.

The power-generator circuit was a standard Colpitts oscillator coupled inductively to the source coil by means of a single loop of copper wire 25cm in radius (see Fig. 5). The load consisted of a previously calibrated light-bulb, and was attached to its own loop of insulated wire, which was in turn placed in proximity of the device coil and inductively coupled to it. Thus, by varying the distance between the light-bulb and the device coil, the parameter $U_B = \kappa_B / \Gamma$ was adjusted so that it matched its optimal value, given theoretically by Eq.(14) as $U_{B*} = \sqrt{1 + U^2}$. Because of its inductive nature, the loop connected to the light-bulb added a small reactive component to κ_B which was compensated for by slightly retuning the coil. The work extracted was determined by adjusting the power going into the Colpitts oscillator until the light-bulb at the load was at its full nominal brightness.

In order to isolate the efficiency of the transfer taking place specifically between the source coil and the load, we measured the current at the mid-point of each of the self-resonant coils with a current-probe (which was not found to lower the Q of the coils noticeably.) This gave a measurement of the current parameters I_1 and I_2 defined above. The power dissipated in each coil was then computed from $P_{1,2} = \Gamma L |I_{1,2}|^2$, and the

efficiency was directly obtained from $\eta = P_B / (P_1 + P_2 + P_B)$. To ensure that the experimental setup was well described by a two-object coupled-mode theory model, we positioned the device coil such that its direct coupling to the copper loop attached to the Colpitts oscillator was zero. The experimental results are shown in Fig. 8, along with the theoretical prediction for maximum efficiency, given by Eq.(15).

Using this example, we were able to transmit significant amounts of power using this setup from the source coil to the device coil, fully lighting up a 60W light-bulb from distances more than 2m away, for example. As an additional test, we also measured the total power going into the driving circuit. The efficiency of the wireless power-transmission itself was hard to estimate in this way, however, as the efficiency of the Colpitts oscillator itself is not precisely known, although it is expected to be far from 100%. Nevertheless, this gave an overly conservative lower bound on the efficiency. When transmitting 60W to the load over a distance of 2m, for example, the power flowing into the driving circuit was 400W. This yields an overall wall-to-load efficiency of $\sim 15\%$, which is reasonable given the expected $\sim 40\%$ efficiency for the wireless power transmission at that distance and the low efficiency of the driving circuit.

From the theoretical treatment above, we see that in typical examples it is important that the coils be on resonance for the power transmission to be practical. We found experimentally that the power transmitted to the load dropped sharply as one of the coils was detuned from resonance. For a fractional detuning Δ/f_0 of a few times the inverse loaded Q , the induced current in the device coil was indistinguishable from noise.

The power transmission was not found to be visibly affected as humans and various everyday objects, such as metallic and wooden furniture, as well as electronic devices large and small, were placed between the two coils, even when they drastically obstructed the line of sight between source and device. External objects were found to have an effect only when they were closer than 10cm from either one of the coils. While some materials (such as aluminum foil, styrofoam and humans) mostly just shifted the resonant frequency, which could in principle be easily corrected with a feedback circuit of the type described earlier, others (cardboard, wood, and PVC) lowered Q when placed

closer than a few centimeters from the coil, thereby lowering the efficiency of the transfer.

This method of power transmission is believed safe for humans. When transmitting 60W (more than enough to power a laptop computer) across 2m, we estimated that the magnitude of the magnetic field generated is much weaker than the Earth's magnetic field for all distances except for less than about 1cm away from the wires in the coil, an indication of the safety of the scheme even after long-term use. The power radiated for these parameters was ~ 5 W, which is roughly an order of magnitude higher than cell phones but could be drastically reduced, as discussed below.

Although the two coils are currently of identical dimensions, it is possible to make the device coil small enough to fit into portable devices without decreasing the efficiency. One could, for instance, maintain the product of the characteristic sizes of the source and device coils constant.

These experiments demonstrated experimentally a system for power transmission over medium range distances, and found that the experimental results match theory well in multiple independent and mutually consistent tests.

The efficiency of the scheme and the distances covered can be appreciably improved by silver-plating the coils, which should increase their Q , or by working with more elaborate geometries for the resonant objects. Nevertheless, the performance characteristics of the system presented here are already at levels where they could be useful in practical applications.

2.2 Capacitively-loaded conducting loops or coils

In some examples, one or more of the resonant objects are capacitively-loaded conducting loops or coils. Referring to Fig. 9 a helical coil with N turns of conducting wire, as described above, is connected to a pair of conducting parallel plates of area A spaced by distance d via a dielectric material of relative permittivity ϵ , and everything is surrounded by air (as shown, $N=1$ and $h=0$). The plates have a capacitance $C_p = \epsilon_0 \epsilon A / d$, which is added to the distributed capacitance of the coil and thus modifies its resonance. Note however, that the presence of the loading capacitor modifies significantly the current distribution inside the wire and therefore the total

effective inductance L and total effective capacitance C of the coil are different respectively from L_s and C_s , which are calculated for a self-resonant coil of the same geometry using a sinusoidal current profile. Since some charge is accumulated at the plates of the external loading capacitor, the charge distribution ρ inside the wire is reduced, so $C < C_s$, and thus, from the charge conservation equation, the current distribution $\mathbf{j}$ flattens out, so $L > L_s$. The resonant frequency for this system is $\omega = 1/\sqrt{L(C + C_p)} < \omega_s = 1/\sqrt{L_s C_s}$, and $I(x) \rightarrow I_o \cos(\pi x/l) \Rightarrow C \rightarrow C_s \Rightarrow \omega \rightarrow \omega_s$, as $C_p \rightarrow 0$.

In general, the desired CMT parameters can be found for this system, but again a very complicated solution of Maxwell's Equations is required. Instead, we will analyze only a special case, where a reasonable guess for the current distribution can be made. When $C_p \gg C_s > C$, then $\omega \approx 1/\sqrt{LC_p} \ll \omega_s$ and $Z \approx \sqrt{L/C_p} \ll Z_s$, while all the charge is on the plates of the loading capacitor and thus the current distribution is constant along the wire. This allows us now to compute numerically L from Eq.(19). In the case $h=0$ and N integer, the integral in Eq.(19) can actually be computed analytically, giving the formula $L = \mu_o r [\ln(8r/a) - 2] N^2$. Explicit analytical formulas are again available for R from Eq.(21) and (22), since $I_{rms} = I_o$, $|\mathbf{p}| \approx 0$ and $|\mathbf{m}| = I_o N \pi r^2$ (namely only the magnetic-dipole term is contributing to radiation), so we can determine also $Q_{abs} = \omega L / R_{abs}$ and $Q_{rad} = \omega L / R_{rad}$. At the end of the calculations, the validity of the assumption of constant current profile is confirmed by checking that indeed the condition $C_p \gg C_s \Leftrightarrow \omega \ll \omega_s$ is satisfied. To satisfy this condition, one could use a large external capacitance, however, this would usually shift the operational frequency lower than the optimal frequency, which we will determine shortly; instead, in typical examples, one often prefers coils with very small self-capacitance C_s to begin with, which usually holds, for the types of coils under consideration, when $N=1$, so that the self-capacitance comes from the charge distribution across the single turn, which is almost always very small, or when $N > 1$ and

$h \gg 2Na$, so that the dominant self-capacitance comes from the charge distribution across adjacent turns, which is small if the separation between adjacent turns is large.

The external loading capacitance C_p provides the freedom to tune the resonant frequency (for example by tuning A or d). Then, for the particular simple case $h = 0$, for which we have analytical formulas, the total $Q = \omega L / (R_{abs} + R_{rad})$ becomes highest at the optimal frequency

$$\omega_Q = \left[\frac{c^4}{\pi} \sqrt{\frac{\epsilon_o}{2\sigma}}, \frac{1}{aNr^3} \right]^{2/7}, \quad (24)$$

reaching the value

$$Q_{\max} = \frac{6}{7\pi} \left(2\pi^2 \eta_o \frac{\sigma a^2 N^2}{r} \right)^{3/7} \cdot \left[\ln \left(\frac{8r}{a} \right) - 2 \right]. \quad (25)$$

At lower frequencies it is dominated by ohmic loss and at higher frequencies by radiation. Note, however, that the formulas above are accurate as long as $\omega_Q \ll \omega_s$ and, as explained above, this holds almost always when $N = 1$, and is usually less accurate when $N > 1$, since $h = 0$ usually implies a large self-capacitance. A coil with large h can be used, if the self-capacitance needs to be reduced compared to the external capacitance, but then the formulas for L and ω_Q , $Q_{\max}$ are again less accurate. Similar qualitative behavior is expected, but a more complicated theoretical model is needed for making quantitative predictions in that case.

The results of the above analysis for two examples of subwavelength modes of $\lambda/r \geq 70$ (namely highly suitable for near-field coupling and well within the quasi-static limit) of coils with $N = 1$ and $h = 0$ at the optimal frequency Eq.(24) are presented in Table 3. To confirm the validity of constant-current assumption and the resulting analytical formulas, mode-solving calculations were also performed using another completely independent method: computational 3D finite-element frequency-domain (FEFD) simulations (which solve Maxwell's Equations in frequency domain exactly apart for spatial discretization) were conducted, in which the boundaries of the conductor were modeled using a complex impedance $\zeta_c = \sqrt{\mu_o \omega / 2\sigma}$ boundary condition, valid as

long as $\zeta_c / \zeta_o \ll 1$ ($< 10^{-5}$ for copper in the microwave). Table 3 shows Numerical FEFD (and in parentheses analytical) results for the wavelength and absorption, radiation and total loss rates, for two different cases of subwavelength-loop resonant modes. Note that for conducting material copper ($\sigma = 5.998 \cdot 10^7 \text{ S/m}$) was used. Specific parameters of the plot in Fig. 4 are highlighted in bold in the table. The two methods (analytical and computational) are in good agreement and show that, in some examples, the optimal frequency is in the low-MHz microwave range and the expected quality factors are $Q_{abs} \geq 1000$ and $Q_{rad} \geq 10000$.

Table 3

single coil	λ / r	f	Q_{rad}	Q_{abs}	Q
r=30cm, a=2cm $\epsilon=10$, A=138cm², d=4mm	111.4 (112.4)	8.976 (8.897)	29546 (30512)	4886 (5117)	4193 (4381)
r=10cm, a=2mm $\epsilon=10$, A=3.14cm ² , d=1mm	69.7 (70.4)	43.04 (42.61)	10702 (10727)	1545 (1604)	1350 (1395)

Referring to Fig. 10, in some examples, energy is transferred between two capacitively-loaded coils. For the rate of energy transfer between two capacitively-loaded coils 1 and 2 at distance D between their centers, the mutual inductance M_L can be evaluated numerically from Eq.(23) by using constant current distributions in the case $\omega \ll \omega_s$. In the case $h = 0$, the coupling is only magnetic and again we have an analytical formula, which, in the quasi-static limit $r \ll D \ll \lambda$ and for the relative orientation shown in Fig. 10, is $M_L \approx \pi \mu_o / 2 \cdot (r_1 r_2)^2 N_1 N_2 / D^3$, which means that $k \propto (\sqrt{r_1 r_2} / D)^3$ is independent of the frequency ω and the number of turns N_1, N_2 . Consequently, the resultant coupling figure-of-merit of interest is

$$U = k \sqrt{Q_1 Q_2} \approx \left(\frac{\sqrt{r_1 r_2}}{D} \right)^3 \cdot \frac{\pi^2 \eta_o \frac{\sqrt{r_1 r_2}}{\lambda} \cdot N_1 N_2}{\prod_{j=1,2} \left(\sqrt{\frac{\pi \eta_o}{\lambda \sigma}} \cdot \frac{r_j}{a_j} N_j + \frac{8}{3} \pi^2 \eta_o \left(\frac{r_j}{\lambda} \right)^4 N_j^2 \right)^{1/2}}, \quad (26)$$

which again is more accurate for $N_1 = N_2 = 1$.

From Eq.(26) it can be seen that the optimal frequency ω_U , where the figure-of-merit is maximized to the value $U_{\max}$, is close to the frequency $\omega_{Q_1 Q_2}$ at which $Q_1 Q_2$ is maximized, since k does not depend much on frequency (at least for the distances $D \ll \lambda$ of interest for which the quasi-static approximation is still valid). Therefore, the optimal frequency $\omega_U \approx \omega_{Q_1 Q_2}$ is mostly independent of the distance D between the two coils and lies between the two frequencies ω_{Q_1} and ω_{Q_2} at which the single-coil Q_1 and Q_2 respectively peak. For same coils, this optimal frequency is given by Eq.(24) and then the strong-coupling factor from Eq.(26) becomes

$$U_{\max} = kQ_{\max} \approx \left(\frac{r}{D}\right)^3 \cdot \frac{3}{7} \left(2\pi^2 \eta_0 \frac{\sigma a^2 N^2}{r}\right)^{3/7}. \quad (27)$$

In some examples, one can tune the capacitively-loaded conducting loops or coils, so that their angular eigenfrequencies are close to ω_U within Γ_U , which is half the angular frequency width for which $U > U_{\max} / 2$.

Referring to Table 4, numerical FEFD and, in parentheses, analytical results based on the above are shown for two systems each composed of a matched pair of the loaded coils described in Table 3. The average wavelength and loss rates are shown along with the coupling rate and coupling to loss ratio figure-of-merit $U = \kappa / \Gamma$ as a function of the coupling distance D , for the two cases. Note that the average numerical Γ_{rad} shown are slightly different from the single-loop value of Figure 3, analytical results for Γ_{rad} are not shown but the single-loop value is used. (The specific parameters corresponding to the plot in Fig. 10 are highlighted with bold in the table.) Again we chose $N = 1$ to make the constant-current assumption a good one and computed M_L numerically from Eq.(23). Indeed the accuracy can be confirmed by their agreement with the computational FEFD mode-solver simulations, which give κ through the frequency splitting of the two normal modes of the combined system ($\delta_E = 2\kappa$ from Eq.(4)). The results show that for medium distances $D/r = 10 - 3$ the expected coupling-to-loss ratios are in the range $U \sim 0.5 - 50$.

Table 4

pair of coils	D/r	Q^m	$Q = \omega/\Omega$	$Q_c = \omega/2k$	κ/Γ
$r=36\text{cm}, a=2\text{cm}$ $\varepsilon=10, A=138\text{cm}^2, d=4\text{mm}$ $\lambda/r \approx 112$ $Q^{abs} \approx 4386$	3	30729	4216	62.6 (63.7)	67.4 (68.7)
	5	29577	4194	235 (248)	17.8 (17.6)
	7	29128	4185	589 (646)	7.1 (6.8)
	10	28833	4177	1539 (1828)	2.7 (2.4)
$r=10\text{cm}, a=2\text{mm}$ $\varepsilon=10, A=3.14\text{cm}^2, d=1\text{mm}$ $\lambda/r \approx 70$ $Q^{abs} \approx 1546$	3	10953	1355	85.4 (91.3)	15.9 (15.3)
	5	10740	1351	313 (356)	4.32 (3.92)
	7	10739	1351	754 (925)	1.79 (1.51)
	10	10756	1351	1895 (2617)	0.71 (0.53)

2.2.1 Derivation of optimal power-transmission efficiency

Referring to Fig. 11, to rederive and express Eq.(15) in terms of the parameters which are more directly accessible from particular resonant objects, such as the capacitively-loaded conducting loops, one can consider the following circuit-model of the system, where the inductances L_s, L_d represent the source and device loops respectively, R_s, R_d their respective losses, and C_s, C_d are the required corresponding capacitances to achieve for both resonance at frequency ω . A voltage generator V_g is considered to be connected to the source and a load resistance R_l to the device. The mutual inductance is denoted by M .

Then from the source circuit at resonance ($\omega L_s = 1/\omega C_s$):

$$V_g = I_s R_s - j\omega M I_d \Rightarrow \frac{1}{2} V_g^* I_s = \frac{1}{2} |I_s|^2 R_s + \frac{1}{2} j\omega M I_d^* I_s, \quad (28)$$

and from the device circuit at resonance ($\omega L_d = 1/\omega C_d$):

$$0 = I_d (R_d + R_l) - j\omega M I_s \Rightarrow j\omega M I_s = I_d (R_d + R_l) \quad (29)$$

So by substituting Eq.(29) to Eq.(28) and taking the real part (for time-averaged power) we get:

$$P_g = \text{Re} \left\{ \frac{1}{2} V_g^* I_s \right\} = \frac{1}{2} |I_s|^2 R_s + \frac{1}{2} |I_d|^2 (R_d + R_l) = P_s + P_d + P_l, \quad (30)$$

where we identified the power delivered by the generator $P_g = \text{Re} \{ V_g^* I_s / 2 \}$, the power

lost inside the source $P_s = |I_s|^2 R_s / 2$, the power lost inside the device $P_d = |I_d|^2 R_d / 2$ and the power delivered to the load $P_l = |I_d|^2 R_l / 2$. Then, the power transmission efficiency is:

$$\eta_P \equiv \frac{P_l}{P_g} = \frac{R_l}{\left| \frac{I_s}{I_d} \right|^2 R_s + (R_d + R_l)} \stackrel{(29)}{=} \frac{R_l}{\frac{(R_d + R_l)^2}{(\omega M)^2} R_s + (R_d + R_l)} \quad (31)$$

If we now choose the load impedance R_l to optimize the efficiency by $\eta_P'(R_l) = 0$, we get the optimal load impedance

$$\frac{R_{l*}}{R_d} = \sqrt{1 + \frac{(\omega M)^2}{R_s R_d}} \quad (32)$$

and the maximum possible efficiency

$$\eta_{P*} = \frac{R_{l*} / R_d - 1}{R_{l*} / R_d + 1} = \left[\frac{\omega M / \sqrt{R_s R_d}}{1 + \sqrt{1 + (\omega M / \sqrt{R_s R_d})^2}} \right]^2 \quad (33)$$

To check now the correspondence with the CMT model, note that $\kappa_l = R_l / 2L_d$, $\Gamma_d = R_d / 2L_d$, $\Gamma_s = R_s / 2L_s$, and $\kappa = \omega M / 2\sqrt{L_s L_d}$, so then $U_l = \kappa_l / \Gamma_d = R_l / R_d$ and $U = \kappa / \sqrt{\Gamma_s \Gamma_d} = \omega M / \sqrt{R_s R_d}$. Therefore, the condition Eq.(32) is identical to the condition Eq.(14) and the optimal efficiency Eq.(33) is identical to the general Eq.(15). Indeed, as the CMT analysis predicted, to get a large efficiency, we need to design a system that has a large strong-coupling factor U .

2.2.2 Optimization of U

The results above can be used to increase or optimize the performance of a wireless energy transfer system, which employs capacitively-loaded coils. For example, from the scaling of Eq.(27) with the different system parameters, one sees that to maximize the system figure-of-merit U , in some examples, one can:

-- Decrease the resistivity of the conducting material. This can be achieved, for example, by using good conductors (such as copper or silver) and/or lowering the temperature. At very low temperatures one could use also superconducting materials to achieve extremely good performance.

-- Increase the wire radius a . In typical examples, this action can be limited by physical size considerations. The purpose of this action is mainly to reduce the resistive losses in the wire by increasing the cross-sectional area through which the electric current is flowing, so one could alternatively use also a Litz wire or a ribbon instead of a circular wire.

-- For fixed desired distance D of energy transfer, increase the radius of the loop r . In typical examples, this action can be limited by physical size considerations, typically especially for the device.

-- For fixed desired distance vs. loop-size ratio D/r , decrease the radius of the loop r . In typical examples, this action can be limited by physical size considerations.

-- Increase the number of turns N . (Even though Eq.(27) is expected to be less accurate for $N > 1$, qualitatively it still provides a good indication that we expect an improvement in the coupling-to-loss ratio with increased N .) In typical examples, this action can be limited by physical size and possible voltage considerations, as will be discussed in following paragraphs.

-- Adjust the alignment and orientation between the two coils. The figure-of-merit is optimized when both cylindrical coils have exactly the same axis of cylindrical symmetry (namely they are "facing" each other). In some examples, particular mutual coil angles and orientations that lead to zero mutual inductance (such as the orientation where the axes of the two coils are perpendicular and the centers of the two coils are on one of the two axes) should be avoided.

-- Finally, note that the height of the coil h is another available design parameter, which can have an impact to the performance similar to that of its radius r , and thus the design rules can be similar.

The above analysis technique can be used to design systems with desired parameters. For example, as listed below, the above described techniques can be used to determine the cross sectional radius a of the wire which one should use when designing

as system two same single-turn loops with a given radius in order to achieve a specific performance in terms of $U = \kappa / \Gamma$ at a given D/r between them, when the material is copper ($\sigma=5.998 \cdot 10^7 S/m$):

$$\begin{aligned} D/r = 5, U \geq 10, r = 30cm &\Rightarrow a \geq 9mm \\ D/r = 5, U \geq 10, r = 5cm &\Rightarrow a \geq 3.7mm \\ D/r = 5, U \geq 20, r = 30cm &\Rightarrow a \geq 20mm \\ D/r = 5, U \geq 20, r = 5cm &\Rightarrow a \geq 8.3mm \\ D/r = 10, U \geq 1, r = 30cm &\Rightarrow a \geq 7mm \\ D/r = 10, U \geq 1, r = 5cm &\Rightarrow a \geq 2.8mm \\ D/r = 10, U \geq 3, r = 30cm &\Rightarrow a \geq 25mm \\ D/r = 10, U \geq 3, r = 5cm &\Rightarrow a \geq 10mm \end{aligned}$$

Similar analysis can be done for the case of two dissimilar loops. For example, in some examples, the device under consideration is very specific (e.g. a laptop or a cell phone), so the dimensions of the device object (r_d, h_d, a_d, N_d) are very restricted.

However, in some such examples, the restrictions on the source object (r_s, h_s, a_s, N_s) are much less, since the source can, for example, be placed under the floor or on the ceiling.

In such cases, the desired distance is often well defined, based on the application (e.g. $D \sim 1m$ for charging a laptop on a table wirelessly from the floor). Listed below are examples (simplified to the case $N_s = N_d = 1$ and $h_s = h_d = 0$) of how one can vary the dimensions of the source object to achieve the desired system performance in terms of

$U_{sd} = \kappa / \sqrt{\Gamma_s \Gamma_d}$, when the material is again copper ($\sigma=5.998 \cdot 10^7 S/m$):

$$\begin{aligned} D = 1.5m, U_{sd} \geq 15, r_d = 30cm, a_d = 6mm &\Rightarrow r_s = 1.158m, a_s \geq 5mm \\ D = 1.5m, U_{sd} \geq 30, r_d = 30cm, a_d = 6mm &\Rightarrow r_s = 1.15m, a_s \geq 33mm \\ D = 1.5m, U_{sd} \geq 1, r_d = 5cm, a_d = 4mm &\Rightarrow r_s = 1.119m, a_s \geq 7mm \\ D = 1.5m, U_{sd} \geq 2, r_d = 5cm, a_d = 4mm &\Rightarrow r_s = 1.119m, a_s \geq 52mm \\ D = 2m, U_{sd} \geq 10, r_d = 30cm, a_d = 6mm &\Rightarrow r_s = 1.518m, a_s \geq 7mm \\ D = 2m, U_{sd} \geq 20, r_d = 30cm, a_d = 6mm &\Rightarrow r_s = 1.514m, a_s \geq 50mm \\ D = 2m, U_{sd} \geq 0.5, r_d = 5cm, a_d = 4mm &\Rightarrow r_s = 1.491m, a_s \geq 5mm \\ D = 2m, U_{sd} \geq 1, r_d = 5cm, a_d = 4mm &\Rightarrow r_s = 1.491m, a_s \geq 36mm \end{aligned}$$

2.2.3 Optimization of k

As described below, in some examples, the quality factor Q of the resonant objects is limited from external perturbations and thus varying the coil parameters cannot lead to improvement in Q . In such cases, one can opt to increase the strong-coupling factor U by increasing the coupling factor k . The coupling does not depend on the frequency and the number of turns. Therefore, in some examples, one can:

- Increase the wire radii a_1 and a_2 . In typical examples, this action can be limited by physical size considerations.

- For fixed desired distance D of energy transfer, increase the radii of the coils r_1 and r_2 . In typical examples, this action can be limited by physical size considerations, typically especially for the device.

- For fixed desired distance vs. coil-sizes ratio $D/\sqrt{r_1 r_2}$, only the weak (logarithmic) dependence of the inductance remains, which suggests that one should decrease the radii of the coils r_1 and r_2 . In typical examples, this action can be limited by physical size considerations.

- Adjust the alignment and orientation between the two coils. In typical examples, the coupling is optimized when both cylindrical coils have exactly the same axis of cylindrical symmetry (namely they are “facing” each other). Particular mutual coil angles and orientations that lead to zero mutual inductance (such as the orientation where the axes of the two coils are perpendicular and the centers of the two coils are on one of the two axes) should obviously be avoided.

- Finally, note that the heights of the coils h_1 and h_2 are other available design parameters, which can have an impact to the coupling similar to that of their radii r_1 and r_2 , and thus the design rules can be similar.

Further practical considerations apart from efficiency, e.g. physical size limitations, will be discussed in detail below.

2.2.4 Optimization of overall system performance

In many cases, the dimensions of the resonant objects will be set by the particular application at hand. For example, when this application is powering a laptop or a cell-phone, the device resonant object cannot have dimensions larger than those of the laptop

or cell-phone respectively. In particular, for a system of two loops of specified dimensions, in terms of loop radii $r_{s,d}$ and wire radii $a_{s,d}$, the independent parameters left to adjust for the system optimization are: the number of turns $N_{s,d}$, the frequency f , the power-load consumption rate $\kappa_l = R_l / 2L_d$ and the power-generator feeding rate $\kappa_g = R_g / 2L_s$, where R_g is the internal (characteristic) impedance of the generator.

In general, in various examples, the primary dependent variable that one wants to increase or optimize is the overall efficiency η . However, other important variables need to be taken into consideration upon system design. For example, in examples featuring capacitively-loaded coils, the design can be constrained by, for example, the currents flowing inside the wires $I_{s,d}$ and the voltages across the capacitors $V_{s,d}$. These limitations can be important because for $\sim$ Watt power applications the values for these parameters can be too large for the wires or the capacitors respectively to handle. Furthermore, the total loaded (by the load) quality factor of the device $Q_{d[l]} = \omega / 2(\Gamma_d + \Gamma_l) = \omega L_d / (R_d + R_l)$ and the total loaded (by the generator) quality factor of the source $Q_{s[g]} = \omega / 2(\Gamma_s + \Gamma_g) = \omega L_s / (R_s + R_g)$ are quantities that should be preferably small, because to match the source and device resonant frequencies to within their Q 's, when those are very large, can be challenging experimentally and more sensitive to slight variations. Lastly, the radiated powers $P_{s,rad}$ and $P_{d,rad}$ should be minimized for concerns about far-field interference and safety, even though, in general, for a magnetic, non-radiative scheme they are already typically small. In the following, we examine then the effects of each one of the independent variables on the dependent ones.

We define a new variable wp to express the power-load consumption rate for some particular value of U through $U_l = \kappa_l / \Gamma_d = \sqrt{1 + wp} \cdot U^2$. Then, in some examples, values which impact the choice of this rate are: $U_l = 1 \Leftrightarrow wp = 0$ to minimize the required energy stored in the source (and therefore I_s and V_s), $U_l = \sqrt{1 + U^2} > 1 \Leftrightarrow wp = 1$ to maximize the efficiency, as seen earlier, or $U_l \gg 1 \Leftrightarrow wp \gg 1$ to decrease the required

energy stored in the device (and therefore I_d and V_d) and to decrease or minimize $Q_{d[l]}$. Similar is the impact of the choice of the power-generator feeding rate $U_g = \kappa_g / \Gamma_s$, with the roles of the source/device and generator/load reversed.

In some examples, increasing N_s and N_d increases Q_s and Q_d , and thus U and the efficiency significantly, as seen before. It also decreases the currents I_s and I_d , because the inductance of the loops increases, and thus the energy $W_{s,d} = L_{s,d} |I_{s,d}|^2 / 2$ required for given output power P_l can be achieved with smaller currents. However, in some examples, increasing N_d and thus Q_d can increase $Q_{d[l]}$, $P_{d,rad}$ and the voltage across the device capacitance V_d . Similar can be the impact of increasing N_s on $Q_{s[g]}$, $P_{s,rad}$ and V_s . As a conclusion, in some examples, the number of turns N_s and N_d should be chosen large enough (for high efficiency) but such that they allow for reasonable voltages, loaded Q 's and/or powers radiated.

With respect to the resonant frequency, again, there is an optimal one for efficiency. Close to that optimal frequency $Q_{d[l]}$ and/or $Q_{s[g]}$ can be approximately maximum. In some examples, for lower frequencies the currents typically get larger but the voltages and radiated powers get smaller. In some examples, one should pick either the frequency that maximizes the efficiency or somewhat lower.

One way to decide on an operating regime for the system is based on a graphical method. Consider two loops of $r_s = 25cm$, $r_d = 15cm$, $h_s = h_d = 0$, $a_s = a_d = 3mm$ and distance $D = 2m$ between them. In Fig. 12, we plot some of the above dependent variables (currents, voltages and radiated powers normalized to 1 Watt of output power) in terms of frequency f and N_d , given some choice for wp and N_s . Fig. 12 depicts the trend of system performance explained above. In Fig. 13, we make a contour plot of the dependent variables as functions of both frequency and wp but for both N_s and N_d fixed. For example, a reasonable choice of parameters for the system of two loops with the dimensions given above are: $N_s = 2$, $N_d = 6$, $f = 10MHz$ and $wp = 10$, which gives the following performance characteristics: $\eta = 20.6\%$, $Q_{d[l]} = 1264$, $I_s = 7.2A$, $I_d = 1.4A$,

$V_s = 2.55kV$, $V_d = 2.30kV$, $P_{s,rad} = 0.15W$, $P_{d,rad} = 0.006W$. Note that the results in Figs. 12, 13 and the just above calculated performance characteristics are made using the analytical formulas provided above, so they are expected to be less accurate for large values of N_s , N_d , but still they give a good estimate of the scalings and the orders of magnitude.

Finally, one could additionally optimize for the source dimensions, since usually only the device dimensions are limited, as discussed earlier. Namely, one can add r_s and a_s in the set of independent variables and optimize with respect to these too for all the dependent variables of the problem (we saw how to do this only for efficiency earlier). Such an optimization would lead to improved results.

In this description, we propose that, if one ensures operation in the strongly-coupled regime at mid-range distances, at least medium-power transmission ($\sim W$) at mid-range distances with high efficiency is possible.

2.3 Inductively-loaded conducting rods

A straight conducting rod of length $2h$ and cross-sectional radius a has distributed capacitance and distributed inductance, and therefore it supports a resonant mode of angular frequency ω . Using the same procedure as in the case of self-resonant coils, one can define an effective total inductance L and an effective total capacitance C of the rod through formulas Eqs.(19) and (20). With these definitions, the resonant angular frequency and the effective impedance are given again by the common formulas $\omega = 1/\sqrt{LC}$ and $Z = \sqrt{L/C}$ respectively. To calculate the total inductance and capacitance, one can assume again a sinusoidal current profile along the length of the conducting wire. When interested in the lowest mode, if we denote by x the coordinate along the conductor, such that it runs from $-h$ to $+h$, then the current amplitude profile would have the form $I(x) = I_0 \cos(\pi x/2h)$, since it has to be zero at the open ends of the rod. This is the well-known half-wavelength electric dipole resonant mode.

In some examples, one or more of the resonant objects are inductively-loaded conducting rods. Referring to Fig. 14, a straight conducting rod of length $2h$ and cross-sectional radius a , as in the previous paragraph, is cut into two equal pieces of length h ,

which are connected via a coil wrapped around a magnetic material of relative permeability μ , and everything is surrounded by air. The coil has an inductance L_c , which is added to the distributed inductance of the rod and thus modifies its resonance. Note however, that the presence of the center-loading inductor modifies significantly the current distribution inside the wire and therefore the total effective inductance L and total effective capacitance C of the rod are different respectively from L_s and C_s , which are calculated for a self-resonant rod of the same total length using a sinusoidal current profile, as in the previous paragraph. Since some current is running inside the coil of the external loading inductor, the current distribution $\mathbf{j}$ inside the rod is reduced, so $L < L_s$, and thus, from the charge conservation equation, the linear charge distribution ρ_l flattens out towards the center (being positive in one side of the rod and negative in the other side of the rod, changing abruptly through the inductor), so $C > C_s$. The resonant frequency for this system is $\omega = 1/\sqrt{(L + L_c)C} < \omega_s = 1/\sqrt{L_s C_s}$, and $I(x) \rightarrow I_o \cos(\pi x/2h) \Rightarrow L \rightarrow L_s \Rightarrow \omega \rightarrow \omega_s$, as $L_c \rightarrow 0$.

In general, the desired CMT parameters can be found for this system, but again a very complicated solution of Maxwell's Equations is generally required. In a special case, a reasonable estimate for the current distribution can be made. When $L_c \gg L_s > L$, then $\omega \approx 1/\sqrt{L_c C} \ll \omega_s$ and $Z \approx \sqrt{L_c/C} \gg Z_s$, while the current distribution is triangular along the rod (with maximum at the center-loading inductor and zero at the ends) and thus the charge distribution is positive constant on one half of the rod and equally negative constant on the other side of the rod. This allows us to compute numerically C from Eq.(20). In this case, the integral in Eq.(20) can actually be computed analytically, giving the formula $1/C = 1/(\pi \epsilon_o h) [\ln(h/a) - 1]$. Explicit analytical formulas are again available for R from Eq.(21) and (22), since $I_{rms} = I_o$, $|\mathbf{p}| = q_o h$ and $|\mathbf{m}| = 0$ (namely only the electric-dipole term is contributing to radiation), so we can determine also $Q_{abs} = 1/\omega C R_{abs}$ and $Q_{rad} = 1/\omega C R_{rad}$. At the end of the calculations, the validity of the assumption of triangular current profile is confirmed by checking that indeed the

condition $L_c \gg L_s \Leftrightarrow \omega \ll \omega_s$ is satisfied. This condition is relatively easily satisfied, since typically a conducting rod has very small self-inductance L_s to begin with.

Another important loss factor in this case is the resistive loss inside the coil of the external loading inductor L_c and it depends on the particular design of the inductor. In some examples, the inductor is made of a Brooks coil, which is the coil geometry which, for fixed wire length, demonstrates the highest inductance and thus quality factor. The Brooks coil geometry has N_{Bc} turns of conducting wire of cross-sectional radius a_{Bc} wrapped around a cylindrically symmetric coil former, which forms a coil with a square cross-section of side r_{Bc} , where the inner side of the square is also at radius r_{Bc} (and thus the outer side of the square is at radius $2r_{Bc}$), therefore $N_{Bc} \approx (r_{Bc}/2a_{Bc})^2$. The inductance of the coil is then $L_c = 2.0285\mu_o r_{Bc} N_{Bc}^2 \approx 2.0285\mu_o r_{Bc}^5 / 8a_{Bc}^4$ and its

resistance $R_c \approx \frac{1}{\sigma} \frac{l_{Bc}}{\pi a_{Bc}^2} \sqrt{1 + \frac{\mu_o \omega \sigma}{2} \left(\frac{a_{Bc}}{2}\right)^2}$, where the total wire length is

$l_{Bc} \approx 2\pi(3r_{Bc}/2)N_{Bc} \approx 3\pi r_{Bc}^3 / 4a_{Bc}^2$ and we have used an approximate square-root law for the transition of the resistance from the dc to the ac limit as the skin depth varies with frequency.

The external loading inductance L_c provides the freedom to tune the resonant frequency. For example, for a Brooks coil with a fixed size r_{Bc} , the resonant frequency can be reduced by increasing the number of turns N_{Bc} by decreasing the wire cross-sectional radius a_{Bc} . Then the desired resonant angular frequency $\omega = 1/\sqrt{L_c C}$ is achieved for $a_{Bc} \approx \left(2.0285\mu_o r_{Bc}^5 \omega^2 C\right)^{1/4}$ and the resulting coil quality factor is

$Q_c \approx 0.169\mu_o \sigma r_{Bc}^2 \omega / \sqrt{1 + \omega^2 \mu_o \sigma \sqrt{2.0285\mu_o (r_{Bc}/4)^5 C}}$. Then, for the particular simple case $L_c \gg L_s$, for which we have analytical formulas, the total $Q = 1/\omega C(R_c + R_{abs} + R_{rad})$ becomes highest at some optimal frequency ω_Q , reaching the value $Q_{\max}$, both determined by the loading-inductor specific design. For example,

for the Brooks-coil procedure described above, at the optimal frequency

$Q_{\max} \approx Q_c \approx 0.8 \left(\mu_o \sigma^2 r_{Bc}^3 / C \right)^{1/4}$. At lower frequencies it is dominated by ohmic loss inside the inductor coil and at higher frequencies by radiation. Note, again, that the above formulas are accurate as long as $\omega_Q \ll \omega_s$ and, as explained above, this is easy to design for by using a large inductance.

The results of the above analysis for two examples, using Brooks coils, of subwavelength modes of $\lambda / h \geq 200$ (namely highly suitable for near-field coupling and well within the quasi-static limit) at the optimal frequency ω_Q are presented in Table 5.

Table 5 shows in parentheses (for similarity to previous tables) analytical results for the wavelength and absorption, radiation and total loss rates, for two different cases of subwavelength-loop resonant modes. Note that for conducting material copper ($\sigma=5.998 \cdot 10^7 S/m$) was used. The results show that, in some examples, the optimal frequency is in the low-MHz microwave range and the expected quality factors are $Q_{abs} \geq 1000$ and $Q_{rad} \geq 100000$.

Table 5

single rod	λ / h	f (MHz)	Q_{rad}	Q_{abs}	Q
h=30cm, a=2cm $\mu=1$, $r_{Bc}=2cm$, $a_{Bc}=0.88mm$, $N_{Bc}=129$	(403.8)	(2.477)	($2.72 \cdot 10^6$)	(7400)	(7380)
h=10cm, a=2mm $\mu=1$, $r_{Bc}=5mm$, $a_{Bc}=0.25mm$, $N_{Bc}=103$	(214.2)	(14.010)	($6.92 \cdot 10^5$)	(3908)	(3886)

In some examples, energy is transferred between two inductively-loaded rods.

For the rate of energy transfer between two inductively-loaded rods 1 and 2 at distance D between their centers, the mutual capacitance M_C can be evaluated numerically from Eq.(23) by using triangular current distributions in the case $\omega \ll \omega_s$. In this case, the coupling is only electric and again we have an analytical formula, which, in the quasi-static limit $h \ll D \ll \lambda$ and for the relative orientation such that the two rods are aligned on the same axis, is $1/M_C \approx 1/2\pi\epsilon_o \cdot (h_1 h_2)^2 / D^3$, which means that $k \propto (\sqrt{h_1 h_2} / D)^3$ is independent of the frequency ω . One can then get the resultant strong-coupling factor U .

It can be seen that the optimal frequency ω_U , where the figure-of-merit is maximized to the value $U_{\max}$, is close to the frequency $\omega_{Q_1 Q_2}$, where $Q_1 Q_2$ is maximized, since k does not depend much on frequency (at least for the distances $D \ll \lambda$ of interest for which the quasi-static approximation is still valid). Therefore, the optimal frequency $\omega_U \approx \omega_{Q_1 Q_2}$ is mostly independent of the distance D between the two rods and lies between the two frequencies ω_{Q_1} and ω_{Q_2} at which the single-rod Q_1 and Q_2 respectively peak. In some typical examples, one can tune the inductively-loaded conducting rods, so that their angular eigenfrequencies are close to ω_U within Γ_U , which is half the angular frequency width for which $U > U_{\max} / 2$.

Referring to Table 6, in parentheses (for similarity to previous tables) analytical results based on the above are shown for two systems each composed of a matched pair of the loaded rods described in Table 5. The average wavelength and loss rates are shown along with the coupling rate and coupling to loss ratio figure-of-merit $U = \kappa / \Gamma$ as a function of the coupling distance D , for the two cases. Note that for Γ_{rad} the single-rod value is used. Again we chose $L_c \gg L_s$ to make the triangular-current assumption a good one and computed M_C numerically from Eq.(23). The results show that for medium distances $D/h = 10 - 3$ the expected coupling-to-loss ratios are in the range $U \sim 0.5 - 100$.

Table 6

pair of rods	D/h	$Q_{\kappa} = 1/k$	U
$h=30\text{cm}, a=2\text{cm}$ $\mu=1, r_{Bc}=2\text{cm}, a_{Bc}=0.88\text{mm}, N_{Bc}=129$ $\lambda/h \approx 404$ $Q \approx 7380$	3	(70.3)	(105.0)
	5	(389)	(19.0)
	7	(1115)	(6.62)
	10	(3321)	(2.22)
$h=10\text{cm}, a=2\text{mm}$ $\mu=1, r_{Bc}=5\text{mm}, a_{Bc}=0.25\text{mm}, N_{Bc}=103$ $\lambda/h \approx 214$ $Q \approx 3886$	3	(120)	(32.4)
	5	(664)	(5.85)
	7	(1900)	(2.05)
	10	(5656)	(0.69)

2.4 Dielectric disks

In some examples, one or more of the resonant objects are dielectric objects, such as disks. Consider a two dimensional dielectric disk object, as shown in Fig. 15(a), of radius r and relative permittivity ϵ surrounded by air that supports high- Q “whispering-gallery” resonant modes. The loss mechanisms for the energy stored inside such a resonant system are radiation into free space and absorption inside the disk material. High- Q_{rad} and long-tailed subwavelength resonances can be achieved when the dielectric permittivity ϵ is large and the azimuthal field variations are slow (namely of small principal number m). Material absorption is related to the material loss tangent: $Q_{abs} \sim \text{Re}\{\epsilon\} / \text{Im}\{\epsilon\}$. Mode-solving calculations for this type of disk resonances were performed using two independent methods: numerically, 2D finite-difference frequency-domain (FDFD) simulations (which solve Maxwell’s Equations in frequency domain exactly apart for spatial discretization) were conducted with a resolution of $30\text{pts}/r$; analytically, standard separation of variables (SV) in polar coordinates was used.

Table 7

single disk	λ/r	Q_{ole}	Q_{rad}	Q
$\text{Re}\{\epsilon\}=147.7, m=2$	20.01 (20.00)	10103 (10075)	1988 (1992)	1661 (1663)
$\text{Re}\{\epsilon\}=65.6, m=3$	9.952 (9.950)	10098 (10087)	9078 (9160)	4786 (4802)

The results for two TE-polarized dielectric-disk subwavelength modes of $\lambda/r \geq 10$ are presented in Table 7. Table 7 shows numerical FDFD (and in parentheses analytical SV) results for the wavelength and absorption, radiation and total loss rates, for two different cases of subwavelength-disk resonant modes. Note that disk-material loss-tangent $\text{Im}\{\epsilon\}/\text{Re}\{\epsilon\}=10^{-4}$ was used. (The specific parameters corresponding to the plot in Fig. 15(a) are highlighted with bold in the table.) The two methods have excellent agreement and imply that for a properly designed resonant low-loss-dielectric object values of $Q_{rad} \geq 2000$ and $Q_{abs} \sim 10000$ are achievable. Note that for the 3D case the computational complexity would be immensely increased, while the physics would not be significantly different. For example, a spherical object of $\epsilon=147.7$ has a whispering gallery mode with $m=2$, $Q_{rad}=13962$, and $\lambda/r=17$.

The required values of ε , shown in Table 7, might at first seem unrealistically large. However, not only are there in the microwave regime (appropriate for approximately meter-range coupling applications) many materials that have both reasonably high enough dielectric constants and low losses (e.g. Titania, Barium tetratitanate, Lithium tantalite etc.), but also ε could signify instead the effective index of other known subwavelength surface-wave systems, such as surface modes on surfaces of metallic materials or plasmonic (metal-like, negative- ε) materials or metallo-dielectric photonic crystals or plasmono-dielectric photonic crystals.

To calculate now the achievable rate of energy transfer between two disks 1 and 2, as shown in Fig. 15(b) we place them at distance D between their centers. Numerically, the FDFD mode-solver simulations give κ through the frequency splitting of the normal modes of the combined system ($\delta_E = 2\kappa$ from Eq.(4)), which are even and odd superpositions of the initial single-disk modes; analytically, using the expressions for the separation-of-variables eigenfields $\mathbf{E}_{1,2}(\mathbf{r})$ CMT gives κ through

$$\kappa = \omega_l / 2 \cdot \int d^3r \varepsilon_2(\mathbf{r}) E_2^*(\mathbf{r}) E_1(\mathbf{r}) / \int d^3r \varepsilon(\mathbf{r}) |E_1(\mathbf{r})|^2,$$

where $\varepsilon_j(\mathbf{r})$ and $\varepsilon(\mathbf{r})$ are the dielectric functions that describe only the disk j (minus the constant ε_0 background) and the whole space respectively. Then, for medium distances $D/r = 10^{-3}$ and for non-radiative coupling such that $D < 2r_c$, where $r_c = m\lambda / 2\pi$ is the radius of the radiation caustic, the two methods agree very well, and we finally find, as shown in Table 8, strong-coupling factors in the range $U \sim 1 - 50$. Thus, for the analyzed examples, the achieved figure-of-merit values are large enough to be useful for typical applications, as discussed below.

Table 8

two disks	D/τ	Q^{rad}	$Q = \omega/\Delta\omega$	$\omega/2\pi$	k/Γ
$\text{Re}\{\epsilon\}=147.7, m=2$ $\lambda/r \approx 20$ $Q^{\text{ext}} \approx 10093$	3	2478	1989	46.9 (47.5)	42.4 (33.0)
	5	2411	1946	298.0 (298.0)	6.5 (5.6)
	7	2196	1804	769.7 (770.2)	2.3 (2.2)
	10	2017	1681	1714 (1601)	0.98 (1.04)
$\text{Re}\{\epsilon\}=65.6, m=3$ $\lambda/r \approx 10$ $Q^{\text{ext}} \approx 10096$	3	7972	4455	144 (140)	30.9 (34.3)
	5	9240	4824	2242 (2083)	2.2 (2.3)
	7	9187	4810	7485 (7417)	0.64 (0.65)

Note that even though particular examples are presented and analyzed above as examples of systems that use resonant electromagnetic coupling for wireless energy transfer, those of self-resonant conducting coils, capacitively-loaded resonant conducting coils, inductively-loaded resonant conducting rods and resonant dielectric disks, any system that supports an electromagnetic mode with its electromagnetic energy extending much further than its size can be used for transferring energy. For example, there can be many abstract geometries with distributed capacitances and inductances that support the desired kind of resonances. In some examples, the resonant structure can be a dielectric sphere. In any one of these geometries, one can choose certain parameters to increase and/or optimize U or, if the Q 's are limited by external factors, to increase and/or optimize for k or, if other system performance parameters are of importance, to optimize those.

3 Coupled-Mode Theory for prediction of far-field radiation interference

The two objects in an energy-transfer system generate radiation, which can sometimes be a significant part of the intrinsic losses, and can interfere in the far field. In the previous Sections, we analyzed systems, where this interference phenomenon was not in effect. In this description, we will repeat the analysis, including the interference effects and will show how it can be used to further enhance the power transmission efficiency and/or the radiated power.

The coupled-mode equations of Eqs.(1) fail to predict such an interference phenomenon. In fact, the inability to predict interference phenomena has often been considered inherent to coupled-mode theory (CMT). However, we show here that making only a simple extension to this model, it can actually very successfully predict such interference. The root of the problem stems from the fact that the coupling coefficients were tacitly assumed to be real. This is usually the case when dealing with proper (real) eigenmodes of a Hermitian (lossless) operator. However, this assumption fails when losses are included, as is for example the current case dealing with generally non-proper (leaky, radiative) eigenmodes of a non-Hermitian (lossy) operator. In this case, the coupling-matrix elements will generally be complex and their imaginary parts will be shown to be directly related to far-field radiation interference.

Imagine a system of many resonators in proximity to each other. When their resonances have close enough frequencies compared to their coupling rates, the CMT assumption is that the total-system field ψ is approximately determined only by these resonances as the superposition $\psi(t) = \sum_n a_n(t)\psi_n$, where ψ_n is the eigenfield of the resonance n normalized to unity energy, and a_n is the field amplitude inside it, which corresponds, due to the normalization, to $|a_n|^2$ stored energy. The fundamental Coupled-Mode Equations (CME) of CMT are then those of the evolution of the vector $\mathbf{a} = \{a_n\}$

$$\frac{d}{dt}\mathbf{a} = -i\bar{\mathbf{\Omega}} \cdot \mathbf{a} + i\bar{\mathbf{K}} \cdot \mathbf{a} \quad (34)$$

where the frequency matrix $\bar{\mathbf{\Omega}}$ and the coupling matrix $\bar{\mathbf{K}}$ are found usually using a Perturbation Theory (PT) approach.

We restate here one of the many perturbative formulations of CMT in a system of ElectroMagnetic (EM) resonators: Let $\mu = \mu_o$ and $\epsilon = \epsilon_o + \sum_n \epsilon_n$ be the magnetic-permeability and dielectric-permittivity functions of space that describe the whole system, where ϵ_n is the permittivity of only the dielectric, reciprocal and generally anisotropic object n of volume V_n , in excess to the constant μ_o, ϵ_o background space. Each resonator n , when alone in the background space, supports a resonant eigenmode of complex frequency $\Omega_n = \omega_n - i\Gamma_n$ and field profiles $\psi_n = [\mathbf{E}_n, \mathbf{H}_n]$ normalized to unity energy, satisfying the equations $\nabla \times \mathbf{E}_n = i\Omega_n\mu_o\mathbf{H}_n$ and $\nabla \times \mathbf{H}_n = -i\Omega_n(\epsilon_o + \epsilon_n)\mathbf{E}_n$,

and the boundary condition $\hat{\mathbf{n}} \times \mathbf{E}_n = 0$ on the potential metallic surface S_n of object n . The whole system fields $\psi = [\mathbf{E}, \mathbf{H}]$ satisfy the equations $\nabla \times \mathbf{E} = -\mu \frac{\partial}{\partial t} \mathbf{H}$ and $\nabla \times \mathbf{H} = \epsilon \frac{\partial}{\partial t} \mathbf{E}$, and the boundary condition $\hat{\mathbf{n}} \times \mathbf{E} = 0$ on $S = \sum_n S_n$. Then, start by expanding $\nabla \cdot (\mathbf{E} \times \mathbf{H}_n^- - \mathbf{E}_n^- \times \mathbf{H})$ and integrating over all space, apply the CMT superposition assumption, and finally use the PT argument that, when the coupling-rates between the resonators are small compared to their frequencies, within a sum only terms of lowest order in this small perturbation need to be kept. The result is the CME of Eq.(34), with $\bar{\Omega} = \bar{\mathbf{W}}^{-1} \cdot \bar{\Omega}_0 \cdot \bar{\mathbf{W}}$, $\bar{\mathbf{K}} = \bar{\mathbf{W}}^{-1} \cdot \mathbf{K}_0$, where $\bar{\Omega}_0 = \text{diag}\{\Omega_n\}$,

$$K_{o,nm} = \frac{\Omega_n}{4} \int_{V_m} dv (\mathbf{E}_n^- \cdot \epsilon_m \cdot \mathbf{E}_m) + \frac{i}{4} \oint_{S_m} da \hat{\mathbf{n}} \cdot (\mathbf{E}_n^- \times \mathbf{H}_m) \quad (35)$$

$$W_{nm} = \frac{1}{4} \int_{V_m} dv (\mathbf{E}_n^- \cdot \epsilon \cdot \mathbf{E}_m + \mathbf{H}_n^- \cdot \mu \cdot \mathbf{H}_m) \quad (36)$$

and where $\psi_n = [\mathbf{E}_n^-, \mathbf{H}_n^-]$ satisfy the time-reversed equations (where $\Omega_n \rightarrow -\Omega_n$). The choice of these fields in the analysis rather than $\psi_n^* = [\mathbf{E}_n^*, \mathbf{H}_n^*]$ allows to treat also lossy (due to absorption and/or radiation) but reciprocal systems (so $\bar{\mathbf{K}}$ is complex symmetric but non-Hermitian). In the limit, though, of weak loss (high- Q resonances), these two sets of fields can be approximately equal. Therefore, again to lowest order, $\bar{\mathbf{W}} \approx \bar{\mathbf{I}}$, due to the unity-energy normalization, so $\bar{\Omega} \approx \bar{\Omega}_0$ and for $\bar{\mathbf{K}}$ the off-diagonal terms

$$K_{nm} \approx K_{o,nm} \approx \frac{i}{4} \int_{V_m} dv (\mathbf{E}_n^* \cdot \mathbf{J}_m); \quad n \neq m \quad (37)$$

where $\mathbf{J}_m$ includes both the volume-polarization currents $\mathbf{J}_{p,m} = -i\Omega_m \epsilon_m \mathbf{E}_m$ in V_m and the surface electric currents $\mathbf{J}_{s,m} = \hat{\mathbf{n}} \times \mathbf{H}_m$ on S_m , while the diagonal terms K_{nn} are higher-order small and can often lead to anomalous coupling-induced frequency shifts. The term of Eq.(37) can generally be complex $K_{nm} = \kappa_{nm} + i\Lambda_{nm}$ and, even though the physical interpretation of its real part is well understood, as describing the coupling between the resonators, it is not so the case for its imaginary part

$$\begin{aligned}
\Lambda_{nm} &= \frac{1}{4} \text{Re} \left\{ \int_{V_m} dv [i\omega \mathbf{A}_n - \nabla \phi_n]^* \cdot \mathbf{J}_m \right\} \\
&= \frac{1}{4} \text{Re} \left\{ \int_{V_m} dv \left[\int_{V_n} dv \frac{e^{ik|\mathbf{r}_m - \mathbf{r}_n|}}{4\pi|\mathbf{r}_m - \mathbf{r}_n|} \left(i\omega \mu_0 \mathbf{J}_n + \frac{\rho_n}{\epsilon_0} \nabla \right) \right]^* \cdot \mathbf{J}_m \right\} \quad (38) \\
&= \frac{\omega}{16\pi} \int_{V_m} dv \int_{V_n} dv \text{Re} \left\{ \left(\frac{\rho_n^* \rho_m}{\epsilon_0} - \mu_0 \mathbf{J}_n^* \cdot \mathbf{J}_m \right) \frac{e^{ik|\mathbf{r}_m - \mathbf{r}_n|}}{4\pi|\mathbf{r}_m - \mathbf{r}_n|} \right\}
\end{aligned}$$

where integration by parts was used for the $\nabla \phi_n$ term and the continuity equation $\nabla \cdot \mathbf{J} = i\omega \rho$, with ρ being the volume charge density.

Towards understanding this term, let us consider two resonators 1, 2 and evaluate from Eqs.(34) the total power lost from the system

$$\begin{aligned}
P_{\text{loss}} &= -\frac{d}{dt} (|a_1|^2 + |a_2|^2) \\
&= 2\Gamma_1 |a_1|^2 + 2\Gamma_2 |a_2|^2 + 4\Lambda_{12} \text{Re} \{ a_1^* a_2 \} \quad (39)
\end{aligned}$$

Clearly, the term involving an interaction between the two objects should not relate to material absorption, since this is a very localized process inside each object. We therefore split this lost power into absorbed and radiated in the following way

$$P_{\text{abs}} = 2\Gamma_{1,\text{abs}} |a_1|^2 + 2\Gamma_{2,\text{abs}} |a_2|^2 \quad (40)$$

$$P_{\text{rad}} = 2\Gamma_{1,\text{rad}} |a_1|^2 + 2\Gamma_{2,\text{rad}} |a_2|^2 + 4\Lambda_{12} \text{Re} \{ a_1^* a_2 \} \quad (41)$$

so Λ_{12} is associated with the radiation from the two-object system. However, we have a tool to compute this radiated power separately: Antenna Theory (AT).

Let $\zeta_o = \sqrt{\mu_o/\epsilon_o}$ and $c_o = 1/\sqrt{\mu_o\epsilon_o}$ be the background impedance and light-velocity, and $f = (g, \mathbf{f}) = \int_V dv' J^\nu(\mathbf{r}') e^{-ik \cdot \mathbf{r}'}$ the moment of the current-distribution 4-vector $J^\nu = (c_o \rho, \mathbf{J})$ of an electromagnetic resonator, where unity-energy normalization is

again assumed for J^v and $g = \hat{\mathbf{k}} \cdot \mathbf{f}$, as can be shown using the continuity equation and integration by parts. The power radiated from one EM resonator is:

$$P_{rad} = 2\Gamma_{rad} |a|^2 = \frac{\varsigma_o k^2}{32\pi^2} \left(\oint d\Omega |f|^2 \right) |a|^2 \quad (42)$$

where $|f|^2 = f^* \cdot f \equiv |\mathbf{f}|^2 - |g|^2$. The power radiated from an 'array' of two resonators 1 and 2, at vector-distance $\mathbf{D}$ between their centers, is given by:

$$\begin{aligned} P_{rad} &= \frac{\varsigma_o k^2}{32\pi^2} \oint d\Omega \left| a_1 f_1 + a_2 f_2 e^{-i\mathbf{k} \cdot \mathbf{D}} \right|^2 \\ &= \frac{\varsigma_o k^2}{32\pi^2} \left[\left(\oint d\Omega |f_1|^2 \right) |a_1|^2 + \left(\oint d\Omega |f_2|^2 \right) |a_2|^2 \right. \\ &\quad \left. + 2 \operatorname{Re} \left\{ \oint d\Omega f_1^* \cdot f_2 e^{-i\mathbf{k} \cdot \mathbf{D}} a_1^* a_2 \right\} \right] \end{aligned} \quad (43)$$

where $f_1^* \cdot f_2 \equiv \mathbf{f}_1^* \cdot \mathbf{f}_2 - g_1^* \cdot g_2$. Thus, by comparing Eqs.(41) and (43), using Eq.(42),

$$\Lambda_{12} = \frac{\varsigma_o k^2}{64\pi^2} \frac{\operatorname{Re} \left\{ \oint d\Omega f_1^* \cdot f_2 e^{-i\mathbf{k} \cdot \mathbf{D}} a_1^* a_2 \right\}}{\operatorname{Re} \left\{ a_1^* a_2 \right\}} \quad (44)$$

namely Λ_{12} is exactly the interference term in AT. By substituting for the 4-vector current-moments and making the change of variables $\mathbf{r}_1 = \mathbf{r}'_1$, $\mathbf{r}_2 = \mathbf{r}'_2 + \mathbf{D}$,

$$\begin{aligned} \Lambda_{12} &= \frac{\varsigma_o k^2}{64\pi^2} \frac{\operatorname{Re} \left\{ \int_{V_1} dv \int_{V_2} dv J_1^* \cdot J_2 \oint d\Omega e^{-i\mathbf{k} \cdot (\mathbf{r}_2 - \mathbf{r}_1)} a_1^* a_2 \right\}}{\operatorname{Re} \left\{ a_1^* a_2 \right\}} \\ &= \frac{\varsigma_o k}{16\pi} \frac{\operatorname{Re} \left\{ \int_{V_1} dv \int_{V_2} dv J_1^* \cdot J_2 \frac{\sin(k|\mathbf{r}_2 - \mathbf{r}_1|)}{|\mathbf{r}_2 - \mathbf{r}_1|} a_1^* a_2 \right\}}{\operatorname{Re} \left\{ a_1^* a_2 \right\}} \end{aligned} \quad (45)$$

where we evaluated the integral over all angles of $\mathbf{k}$ with $\mathbf{r}_2 - \mathbf{r}_1$.

Note now that Eqs.(38) and (45) will become identical, if we can take the currents $J_{1,2}^v$ to be real. This is indeed the case for eigenmodes, where the field solution in bounded regions (such as those where the currents are flowing) is always stationary (in contrast to the leaky part of the eigenmode, which is radiative) and for high enough Q it can be chosen so that it is approximately real in the entire bounded region. Therefore, from either Eq.(38) or (45) we can write

$$\Lambda_{12} = \frac{\zeta_o k}{16\pi} \int_{V_1} dV \int_{V_2} dV J_1 \cdot J_2 \frac{\sin(k|\mathbf{r}_2 - \mathbf{r}_1|)}{|\mathbf{r}_2 - \mathbf{r}_1|} \quad (46)$$

and from Eq.(44), using Eq.(42), we can define the interference factor

$$V_{\text{rad},12} \equiv \frac{\Lambda_{12}}{\sqrt{\Gamma_{1,\text{rad}} \Gamma_{2,\text{rad}}}} = \frac{\oint d\Omega f_1^* \cdot f_2 e^{ik \cdot \mathbf{r}}}{\sqrt{\oint d\Omega |f_1|^2 \oint d\Omega |f_2|^2}} \quad (47)$$

We have shown that, in the high- Q limit, both PT and AT give the same expression for the imaginary part Λ_{nm} of the coupling coefficient, which thus physically describes within CMT the effects of far-field radiation interference. Again, this phenomenon was so far not considered to be predictable from CMT.

4 Efficiency enhancement and radiation suppression by far-field destructive interference

Physically, one can expect that far-field radiation interference can in principle be engineered to be destructive, resulting in reduced overall radiation losses for the two-object system and thus in enhanced system efficiency. In this section, we show that, indeed, in the presence of far-field interference, energy transfer can be more efficient and with less radiated power than what our previous model predicts.

Once more, we will treat the same temporal energy-transfer schemes as before (finite-amount and finite-rate), so that a direct comparison can be made.

4.1 Finite-amount energy-transfer efficiency

Considering again the source and device objects 1,2 to include the interference effects, the same CMT equations as in Eq.(1) can be used, but with the substitutions $\kappa_{nm} \rightarrow K_{nm} = \kappa_{nm} + i\Lambda_{nm}$; $n, m = 1, 2$. The real parts $\kappa_{11,22}$ can describe, as before, the shift in each object's resonance frequency due to the presence of the other; the imaginary parts $\Lambda_{11,22}$ can describe the change in the losses of each object due to the

presence of the other (due to absorption in it or scattering from it, in which latter case losses could be either increased or decreased); both of these are second-order effects and, for the purposes of our mathematical analysis, can again be absorbed into the complex eigenfrequencies by setting $\omega_{1,2} \rightarrow \omega_{1,2} + \kappa_{11,22}$ and $\Gamma_{1,2} \rightarrow \Gamma_{1,2} - \Lambda_{11,22}$. The real parts $\kappa_{12,21}$ can denote, as before, the coupling coefficients; the imaginary parts $\Lambda_{12,21}$ can describe the far-field interference, as was shown in Section 3; again, from reciprocity $K_{12} = K_{21} \equiv K \equiv \kappa + i\Lambda$ (note that for a Hermitian problem, the additional requirement $K_{12} = K_{21}^*$ would impose K to be real, which makes sense, since without losses there cannot be any radiation interference).

Substituting $\kappa \rightarrow \kappa + i\Lambda$ into Eq.(2), we can find the normal modes of the system including interference effects. Note that, when the two objects are at exact resonance $\omega_1 = \omega_2 \equiv \omega_o$ and $\Gamma_1 = \Gamma_2 \equiv \Gamma_o$, the normal modes are found to be

$$\Omega_+ = (\omega_o + \kappa) - i(\Gamma_o - \Lambda) \text{ and } \Omega_- = (\omega_o - \kappa) - i(\Gamma_o + \Lambda), \quad (48)$$

which is exactly the typical case for respectively the odd and even normal modes of a system of two coupled objects, where for the even mode the objects' field-amplitudes have the same sign and thus the frequency is lowered and the radiative far-fields interfere constructively so loss is increased, while for the odd mode the situation is the opposite. This is another confirmation for the fact that the coefficient Λ can describe the far-field interference phenomenon under examination.

To treat now again the problem of energy transfer to object 2 from 1, but in the presence of radiative interference, again simply substitute $\kappa \rightarrow \kappa + i\Lambda$ into Eq.(3). Note that, at exact resonance $\omega_1 = \omega_2$ and, in the special case $\Gamma_1 = \Gamma_2 \equiv \Gamma_o$, we can just substitute into Eq.(4) $U \rightarrow U + iV$, where $U \equiv \kappa/\Gamma_o$ and $V \equiv \Lambda/\Gamma_o$, and then, with $T \equiv \Gamma_o t$, the evolution of the device field-amplitude becomes

$$\frac{a_2(T)}{|a_1(0)|} = \sin[(U + iV)T] \cdot e^{-T} \quad (49)$$

Now the efficiency $\eta_E \equiv |a_2(t)|^2/|a_1(0)|^2$ can be optimized for the normalized time T_* which is the solution of the transcendental equation

$$\operatorname{Re}\{(U + iV) \cdot \cot[(U + iV)T_*]\} = 1 \quad (50)$$

and the resulting optimal energy-transfer efficiency depends only on U , V and is depicted in Fig. 16(c), evidently increasing with V for a fixed U .

4.2 Finite-rate energy-transfer (power-transmission) efficiency

Similarly, to treat the problem of continuous powering of object 2 by 1, in the presence of radiative interference, simply substitute $U \rightarrow U + iV$ into the equations of Section 1.2, where $V \equiv \Lambda/\sqrt{\Gamma_1\Gamma_2}$ we call the strong-interference factor and quantifies the degree of far-field interference that the system experiences compared to loss. In practice, the parameters $D_{1,2}$, $U_{1,2}$ can be designed (engineered), since one can adjust the resonant frequencies $\omega_{1,2}$ (compared to the desired operating frequency ω) and the generator/load supply/drain rates $\kappa_{1,2}$. Their choice can target the optimization of some system performance-characteristic of interest.

In some examples, a goal can be to maximize the power transmission (efficiency) $\eta_P \equiv |S_{21}|^2$ of the system. The symmetry upon interchanging $1 \leftrightarrow 2$ is then preserved and, using Eq.(11), the field-amplitude transmission coefficient becomes

$$S_{21} = \frac{2i(U + iV)U_0}{(1 + U_0 - iD_0)^2 + (U + iV)^2} \quad (51)$$

and from $\eta'_P(D_0) = 0$ we get that, for fixed U , V and U_0 , the efficiency can be maximized for the symmetric detuning

$$D_0 = \begin{cases} 2\sqrt{\alpha} \cos\left(\frac{\theta + 2v\pi}{3}\right); v = 0, 1, & \text{if } U^{2/3} - V^{2/3} > (1 + U_0)^{2/3} \\ \sqrt[3]{\beta + \sqrt{\beta^2 - \alpha^3}} + \sqrt[3]{\beta - \sqrt{\beta^2 - \alpha^3}} & \text{if } U^{2/3} - V^{2/3} \leq (1 + U_0)^{2/3} \end{cases} \quad (52)$$

where $\alpha \equiv [U^2 - V^2 - (1 + U_0)^2]/3$, $\beta \equiv UV(1 + U_0)$, $\theta \equiv \tan^{-1}\sqrt{\alpha^3/\beta^2 - 1}$ and $U^{2/3} - V^{2/3} > (1 + U_0)^{2/3} \Leftrightarrow \alpha^3 - \beta^2 > 0 \Leftrightarrow \alpha > 0$. Note that, in the first case, the two peaks of the transmission curve are not equal for $V > 0$, but the one at higher frequencies ($v = 0 \Rightarrow$ positive detuning) corresponding to the odd system normal mode is higher, as should be expected, since the odd mode is the one that radiates less. Finally,

by substituting D_o into η_P from Eq.(52), then from $\eta'_P(U_o) = 0$ we get that, for fixed U and V , the efficiency can be maximized for

$$U_{0*} = \sqrt{(1+U^2)(1-V^2)} \quad \text{and} \quad D_{0*} = UV. \quad (53)$$

The dependence of the efficiency on D_o for different U_o (including the new 'critical-coupling' condition) are shown in Figs. 16(a,b). The overall optimal power efficiency using Eqs.(53) is

$$\eta_{P*} \equiv \eta_P(D_{0*}, U_{0*}) = \frac{U^2 + V^2}{(U_{0*} + 1)^2 + U^2 V^2} \quad (54)$$

which depends only on U , $|V|$ and is depicted in Figs. 16 (c,d), increasing with $|V|$ for a fixed U , and actually $\eta_P \rightarrow 1$ as $|V| \rightarrow 1$ for all values of U .

In some examples, a goal can be to minimize the power reflection at the side of the generator $|S_{11}|^2$ and the load $|S_{22}|^2$. The symmetry upon interchanging $1 \leftrightarrow 2$ is again preserved and, using then Eq.(17), one would require the 'impedance matching' condition

$$(1 - iD_o)^2 - U_o^2 + (U + iV)^2 = 0 \quad (55)$$

from which again we easily find that the values of D_o and U_o that cancel all reflections are exactly those in Eqs.(53).

In some examples, it can be of interest to minimize the power radiated from the system, since e.g. it can be a cause of interference to other communication systems, while still maintaining good efficiency. In some examples, the two objects can be the same, and then, using Eq.(41), we find

$$\eta_{rad} \equiv \frac{P_{rad}}{|S_{+1}|^2} = \frac{4U_o(|1 + U_o - iD_o|^2 + |U + iV|^2) \frac{Q}{Q_{rad}} - 2V(V + VU_o + UD_o)}{|(1 + U_o - iD_o)^2 + (U + iV)^2|^2} \quad (56)$$

Then, to achieve our goal, we maximize η_P/η_{rad} and find that this can be achieved for

$$U_{0**} = \sqrt{1 + U^2 - V_{rad}^2 U^2 + V^2 - 2VV_{rad}} \quad \text{and} \quad D_{0**} = UV_{rad},$$

(57)

where $V_{\text{rad}} \equiv \Lambda / \sqrt{\Gamma_{1,\text{rad}} \Gamma_{2,\text{rad}}}$, as defined in Eq.(47), we call the interference factor and quantifies the degree of far-field interference that the system experiences compared to the radiative loss, thus $V_{\text{rad}} = V \sqrt{\frac{Q_{1,\text{rad}}}{Q_1} \frac{Q_{2,\text{rad}}}{Q_2}} \geq V$, and $V = V_{\text{rad}}$ when all loss is radiative, in which case Eq.(57) reduces to Eq.(53).

In this description, we suggest that, for any temporal energy-transfer scheme and given some achieved coupling-to-loss ratio, the efficiency can be enhanced and the radiation can be suppressed by shifting the operational frequency away from exact resonance with each object's eigenfrequency and closer to the frequency of the odd normal-mode, which suffers less radiation due to destructive far-field interference. It is the parameters

$$U = \frac{\kappa}{\sqrt{\Gamma_1 \Gamma_2}} = k \sqrt{Q_1 Q_2} \quad \text{and} \quad V = \frac{\Lambda}{\sqrt{\Gamma_1 \Gamma_2}} = V_{\text{rad}} \sqrt{\frac{Q_1}{Q_{1,\text{rad}}} \frac{Q_2}{Q_{2,\text{rad}}}} \quad (58)$$

that are the figures-of-merit for any system under consideration for wireless energy-transfer, along with the distance over which large U , $|V|$ can be achieved. Clearly, also $|V|$ can be a decreasing function of distance, since two sources of radiation distant by more than a few wavelengths do not interfere substantially. It is important also to keep in mind that the magnitude of V depends on the degree to which radiation dominates the objects' losses, since it is only these radiative losses that can contribute to interference, as expressed from $V_{\text{rad}} \geq V$.

To achieve a large strong-interference factor V , in some examples, the energy-transfer application preferably uses again subwavelength resonances, because, for a given source-device distance, the interference factor V_{rad} will increase as frequency decreases, since naturally the odd mode of two coupled objects, distant much closer than a wavelength, will not radiate at all.

To achieve a large strong-interference factor V , in some examples, the energy-transfer application preferably uses resonant modes of high factors Q / Q_{rad} . This condition can be satisfied by designing resonant modes where the dominant loss

mechanism is radiation. As frequency decreases, radiation losses always decrease and typically systems are limited by absorption losses, as discussed earlier, so Q/Q_{rad} decreases; thus, the advantage of interference can be insignificant at some point compared to the deterioration of absorption- Q .

Therefore, $|V|$ will be maximized at some frequency ω_V , dependent on the source-device distance, and this optimal frequency will typically be different than ω_U , the optimal frequency for U . As seen above, the problem of maximizing the energy-transfer efficiency can require a modified treatment in the presence of interference. The choice of eigenfrequency for the source and device objects as ω_U , where U is maximum, can not be a good one anymore, but also V needs to be considered. The optimization of efficiency occurs then at a frequency ω_η between ω_U and ω_V and is a combined problem, which will be demonstrated below for few examples of electromagnetic systems.

Moreover, note that, at some fixed distance between the source and device objects, the figures U , V can not be maximized for the same set of system parameters; in that case, these parameters could be chosen so that the efficiency of Eq.(54) is maximized.

In the following section, we calculate a magnitude of efficiency improvement and radiation reduction for realistic systems at mid-range distances between two objects, by employing this frequency detuning and by doing a joint optimization for U , V .

5 Far-field interference at mid-range distances for realistic systems

In the case of two objects 1, 2 supporting radiative electromagnetic resonant modes of the same eigenfrequency $\omega_1 = \omega_2 \equiv \omega_o$ and placed at distance D between their arbitrarily-chosen centers, so that they couple in the near field and interfere in the far field, the interference factor V_{rad} is predicted from antenna theory (AT) to be that in Eq.(47).

We have also seen above how to compute the resonance quality factors Q and Q_{rad} , for some example structures, and thus we can compute the factor Q/Q_{rad} .

We will demonstrate the efficiency enhancement and the radiation suppression due to interference for the two examples of capacitively-loaded conducting loops and

dielectric disks. The degree of improvement will be shown to depend on the nature of the system.

5.1 Capacitively-loaded conducting loops

Consider two loops 1, 2 of radius r with N turns of conducting wire with circular cross-section of radius a at distance D , as shown in Fig. 10. It was shown in Section 2.2 how to calculate the quality, coupling and strong-coupling factors for such a system.

Their coupling factor is shown in Fig. 17(a) as a function of the relative distance D/r , for three different dimensions of single-turn ($N = 1$) loops. Their strong-coupling factor at the eigenfrequency $\omega_{Q_1Q_2}$ is shown in Fig. 17(b). The approximate scaling

$k, U \propto (r/D)^3$, indicated by Eqs.(26) and (27), is apparent.

We compute the interference parameter between two coupled loops at distance D , using the AT analysis Eq.(47), leading to

Consider two loops 1, 2 of radius r with N turns of conducting wire with circular cross-section of radius a at distance D , as shown in Fig. 10. It was shown in Section 2.2 how to calculate the quality, coupling and strong-coupling factors for such a system. Their coupling factor is shown in Fig. 17(a) as a function of the relative distance D/r , for three different dimensions of single-turn ($N = 1$) loops. Their strong-coupling factor at the eigenfrequency $\omega_{Q_1Q_2}$ is shown in Fig. 17(b). The approximate scaling

$k, U \propto (r/D)^3$, indicated by Eqs.(26) and (27), is apparent. We compute the interference parameter between two coupled loops at distance D , using the AT analysis Eq.(47), leading to

$$V_{\text{int}} = \frac{3}{(kD)^3} [\sin(kD) - (kD)\cos(kD)], \quad (59)$$

for the orientation of optimal coupling, where one loop is above the other. Their interference factor is shown in Fig. 18 as a function of the normalized distance D/λ , where it can be seen that this factor has nulls only upon reaching the radiative regime. Since the resonant loops are highly subwavelength (in many examples $\lambda/r \geq 50$), at mid-range distances ($D/r \leq 10$), we expect $D/\lambda \leq 0.2$ and thus the interference factor to be very large ($V_{\text{rad}} \geq 0.8$).