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UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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HEWLETT-PACKARD ENTERPRISE COMPANY,
Petitioner

v.

SOVEREIGN PEAK VENTURES, LLC,
Patent Owner

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IPR2023-01260
IPR2023-01261
IPR2023-01262

U.S. Patent No. 7,796,512
U.S. Patent No. 8,045,531
U.S. Patent No. 8,270,384

DECLARATION OF SYLVIA D. HALL-ELLIS, PH.D.

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I. INTRODUCTION

1. My name is Sylvia D. Hall-Ellis. I have been retained as an expert by Duane Morris LLP, on behalf of Petitioner Hewlett-Packard Enterprise Company ("HPE" or "Petitioner").

2. I have written this report at the request of HPE to provide my expert opinion regarding the authenticity and public availability of certain publications. My report sets forth my opinions in detail and provides the basis for my opinions regarding the public availability of these publications.

3. I reserve the right to supplement or amend my opinions, and bases for them, in response any additional evidence, testimony, discovery, argument, and/or other additional information that may be provided to me after the date of this report.

4. I am being compensated for my time spent working on this matter at my normal consulting rate of $325 per hour, plus reimbursement for any additional reasonable expenses. My compensation is not in any way tied to the content of this report, the substance of my opinions, or the outcome of this litigation. I have no other interests in this proceeding or with any of the parties.

5. All of the materials that I considered are discussed explicitly in this Declaration.

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II. QUALIFICATIONS

6. I am currently an Adjunct Professor in the School of Information at San José State University. I obtained a Master of Library Science from the University of North Texas in 1972 and a Ph.D. in Library and Information Science from the University of Pittsburgh in 1985. Over the last fifty years, I have held various positions in the field of library and information resources. I was first employed as a librarian in 1966 and have been involved in the field of library sciences since, holding numerous positions.

7. I am a member of the American Library Association (ALA) and its Association for Library Collections & Technical Services (ALCTS) Division, and I served on the Committee on Cataloging: Resource and Description (which wrote the new cataloging rules) and as the chair of the Committee for Education and Training of Catalogers and the Competencies and Education for a Career in Cataloging Interest Group. I also served as the Chair of the ALCTS Division's Task Force on Competencies and Education for a Career in Cataloging. Additionally, I have served as the Chair for the ALA Office of Diversity's Committee on Diversity, as a member of the national Board of Directors for REFORMA, as a member of the Editorial Board for the ALCTS premier cataloging journal, *Library Resources and Technical Service,* as a Co-Chair for the Library Research Round Table (LRRT) of the

American Library Association, and as a member of the LRRT Nominating Committee.

8. I have also given over one hundred presentations in the field, including several on library cataloging systems and Machine-Readable Cataloging ("MARC") standards. My current research interests include library cataloging systems, metadata, and organization of electronic resources.

9. My full curriculum vitae is attached hereto as an Appendix to this report.

III. LIBRARY CATALOGING PRACTICES

A. MARC RECORDS AND THE ONLINE LIBRARY CATALOG

10. I am fully familiar with the library cataloging standard known as the MARC standard, which is an industry-wide standard method of storing and organizing library catalog information.[1] MARC was first developed in the 1960s by the Library of Congress. A MARC-compatible library is one that has a catalog consisting of individual MARC records for each of its items. Today, MARC is the primary communications protocol for the transfer and storage of bibliographic metadata in libraries.

[1] The full text of the standard is available from the Library of Congress at http://www.loc.gov/marc/bibliographic/ (last visited July 5, 2023).

11. MARC is a framework into which descriptive bibliographic data are transcribed to interact with the software in online library catalogs to provide access to books, journals, and other resources in the collection. The bibliographic data provide points of access and can be searched by a person of ordinary skill in the art at the time of the invention ("POSITA," see paragraphs 37-39 below) to identify and obtain resources in the library collection. An information seeker (or POSITA) can search a local online library catalog or the holdings of a group of libraries in a state or region or in the global catalog WorldCat.

12. MARC records are not designed for public viewing. Although a significant number of libraries provide access to the MARC version of a bibliographic record, the public display is designed to show information in a succinct manner that is quickly understood and useful to the information seeker. Libraries determine the default search for the online catalog to make the entry of search terms efficient and result in a successful search. Information seekers can enter a keyword, title, author, or standard number for the item. Libraries may also provide a search capability called "Summon" that allows the information seeker to enter known information about the item to conduct a search.

13. Since at least the early 1970s and continuing to the present day, MARC has been the primary communications protocol for the transfer and storage of

bibliographic metadata in libraries.[2] As explained by the Library of Congress:

> You could devise your own method of organizing the bibliographic information, but you would be isolating your library, limiting its options, and creating much more work for yourself. Using the MARC standard prevents duplication of work and allows libraries to better share bibliographic resources. Choosing to use MARC enables libraries to acquire cataloging data that is predictable and reliable. If a library were to develop a "home-grown" system that did not use MARC records, it would not be taking advantage of an industry-wide standard whose primary purpose is to foster communication of information.
>
> Using the MARC standard also enables libraries to make use of commercially available library automation systems to manage library operations. Many systems are available for libraries of all sizes and are designed to work with the MARC format. Systems are maintained and improved by the vendor so that libraries can benefit from the latest advances in computer technology. The MARC standard also allows libraries to replace one system with another with the assurance that their data will still be compatible.

[2] A complete history of the development of MARC can be found in *MARC: Its History and Implications* by Henrietta D. Avram (Washington, DC: Library of Congress, 1975) and available online from the Hathi Trust (https://babel.hathitrust.org/cgi/pt?id=mdp.39015034388556;view=1up;seq=1; last visited July 5, 2023).

Why Is a MARC Record Necessary? LIBRARY OF CONGRESS.[3]

14. Thus, almost every major library in the world is MARC-compatible. *See, e.g.*, *MARC Frequently Asked Questions (FAQ)*, LIBRARY OF CONGRESS.[4] ("MARC is the acronym for MAchine-Readable Cataloging. It defines a data format that emerged from a Library of Congress-led initiative that began fifty years ago. It provides the mechanism by which computers exchange, use, and interpret bibliographic information, and its data elements make up the foundation of most library catalogs used today."). MARC is the ANSI/NISO Z39.2-1994 standard (reaffirmed in 2016) for Information Interchange Format. The full text of the standard is available from the Library of Congress.[5]

15. Examining the MARC records for a specific item reveals the comprehensive data transcribed about a particular item at the time that cataloging and classification occurred. In addition to the creator, title, subjects, and standard numbers, additional information may provide additional and relevant data depending on the type of resource. Understanding the full extent of bibliographic data for an item and the points of access associated with it provides essential information that

[3] http://www.loc.gov/marc/umb/um01to06.html#part2

[4] https://www.loc.gov/marc/faq.html

[5] http://www.loc.gov/marc/bibliographic/

can be used to determine the indexing and public availability for documents described in this Declaration.

B. INFORMATION CONTAINED IN MARC RECORDS

16. A MARC record comprises several fields, each of which contains specific data about the work. Each field is identified by a standardized, unique, three-digit code corresponding to the type of data that follow. For example, a work's title is recorded in Field 245; the primary author of the work is transcribed in Field 100; an item's International Standard Book Number ("ISBN") consisting of ten or thirteen digits is transcribed in Field 020; an item's International Standard Serial Number ("ISSN") is transcribed in Field 022; the Library of Congress classification notation is recorded in Field 050; and the publication date is recorded in Field 260 under the subfield "c." If a work is a periodical, then its publication frequency is recorded in Field 310, and the publication dates (e.g., the first and last publication) are recorded in Field 362, which is also referred to as the enumeration/chronology field.[6]

17. The library that created the record is recorded in Field 040 in subfield "a" with a unique library code. When viewing the MARC record online via Online Computer Library Center's ("OCLC") bibliographic database, hovering over this

[6] http://www.loc.gov/marc/bibliographic/bd3xx.html

code with the mouse reveals the full name of the library. I used this method of "mousing over" the library codes in the OCLC database to identify the originating library for the MARC records discussed in this Declaration. Where this "mouse over" option was not available, I consulted the Directory of OCLC Libraries to identify the institution that created the MARC record.[7]

18. MARC records also include several fields that include subject matter classification information. An overview of MARC record fields is available through the Library of Congress.[8] For example, 6XX fields are termed "Subject Access Fields."[9] Among these, for example, is the 650 field; this is the "Subject Added Entry – Topical Term" field.[10] The 650 field is a "[s]ubject added entry in which the entry element is a topical term." These entries "are assigned to a bibliographic record to provide access according to generally accepted thesaurus-building rules (e.g., *Library of Congress Subject Headings* (LCSH), *Medical Subject Headings* (MeSH))." *Id.* Further, MARC records include call numbers, which themselves include a classification number. For example, the 050 field is the "Library of

[7] https://www.oclc.org/en/contacts/libraries.html

[8] http://www.loc.gov/marc/bibliographic/

[9] http://www.loc.gov/marc/bibliographic/bd6xx.html

[10] http://www.loc.gov/marc/bibliographic/bd650.html

Congress Call Number."[11] A defined portion of the Library of Congress Call (LCC) Number is the classification number, and "source of the classification number is *Library of Congress Classification* and the *LC Classification-Additions and Changes*." Thus, included in the 050 field is a subject matter classification. Further, the 082 field is the "Dewey Decimal Call Number."[12] A defined portion of the Dewey Decimal Call (DDC) Number is the classification number, and "source of the classification number is the *Dewey Decimal Classification and Relative Index*." Thus, included in the 082 field is a subject matter classification. Each item in a library has a single classification number. A library selects a classification scheme (*e.g.*, the Library of Congress classification scheme just described or a similar scheme such as the Dewey Decimal classification scheme) and uses it consistently. When the Library of Congress assigns the LCC classification number, it appears as part of the 050 field. When the Library of Congress assigns the DDC classification number, it appears as part of the 082 field. If a local library assigns the classification number, it appears in a 090 field. In either scenario, the MARC record includes a classification number that represents a subject matter classification.

[11] http://www.loc.gov/marc/bibliographic/bd050.html

[12] http://www.loc.gov/marc/bibliographic/bd082.html

C. OCLC

19. The OCLC was created "to establish, maintain and operate a computerized library network and to promote the evolution of library use, of libraries themselves, and of librarianship, and to provide processes and products for the benefit of library users and libraries, including such objectives as increasing availability of library resources to individual library patrons and reducing the rate of rise of library per-unit costs, all for the fundamental public purpose of furthering ease of access to and use of the ever-expanding body of worldwide scientific, literary and educational knowledge and information."[13] Among other services, OCLC and its members are responsible for maintaining the WorldCat database (http://www.worldcat.org/), used by independent and institutional libraries throughout the world.

20. OCLC also provides its members online access to MARC records through its OCLC bibliographic database. When an OCLC member institution acquires a work, it creates a MARC record for this work in its computer catalog system in the ordinary course of its business. MARC records created at the Library

[13] Third Article, Amended Articles of Incorporation of OCLC Online Computer Library Center, Incorporated (available at https://www.oclc.org/content/dam/oclc/membership/articles-of-incorporation.pdf).

of Congress are tape-loaded into the OCLC database through a subscription to MARC Distribution Services daily or weekly. Once the MARC record is created by a cataloger at an OCLC member institution or is tape-loaded from the Library of Congress, the MARC record is then made available to any other OCLC members online, and therefore made available to the public. Accordingly, once the MARC record is created by a cataloger at an OCLC member institution or is tape-loaded from the Library of Congress or another library anywhere in the world, any publication corresponding to the MARC record has been cataloged and indexed according to its subject matter such that a person interested in that subject matter could, with reasonable diligence, locate and access the publication through any library with access to the OCLC bibliographic database or through the Library of Congress.

21. When an OCLC member institution creates a new MARC record, OCLC automatically supplies the date of creation for that record. The date of creation for the MARC record appears in the fixed Field (008), characters 00 through 05. The MARC record creation date reflects the date on which, or shortly after which, the item was first acquired or cataloged. Initially, Field 005 of the MARC record is automatically populated with the date the MARC record was created in year, month, day format (YYYYMMDD) (some of the newer library catalog systems also include hour, minute, second (HHMMSS)). Thereafter, the library's computer

system may automatically update the date in Field 005 every time the library updates the MARC record (*e.g.*, to reflect that an item has been moved to a different shelving location within the library). Field 005 is visible when viewing a MARC record via an appropriate computerized interface, but when a MARC record is printed to hardcopy, no "005" label appears. The initial Field 005 date (*i.e.*, the date the MARC record was created) does appear, however, next to the label "Entered."[14] The date upon which the most recent update to Field 005 occurred also appears, next to the label "Replaced." Thus, when an item's MARC record has been printed to hardcopy—as is the case with the MARC attachments to this Declaration—the date reflected next to the label "Entered" is necessarily on or after the date the library first cataloged and indexed the underlying item.

22. Once one library has cataloged and indexed a publication by creating a MARC record for that publication, other libraries that receive the publication do not create additional MARC records—the other libraries instead rely on the original MARC record. They may update or revise the MARC record to ensure accuracy, but

[14] Field 005 is visible when viewing a MARC record via an appropriate computerized interface. But when a MARC record is printed directly to hardcopy from the OCLC database, the "005" label is not shown. The date in the 005 field instead appears next to the label "Replaced."

they do not replace or duplicate it. This practice does more than save libraries from duplicating labor. It also enhances the accuracy of MARC records. Further, it allows librarians around the world to know that a particular MARC record is authoritative (in contrast, a hypothetical system wherein duplicative records were created would result in confusion as to which record is authoritative).

23. Catalogers can create MARC records for all types of print, online, and digital resources. The date of creation of the MARC record by a cataloger at an OCLC member institution reflects when the underlying item is accessible to the public. Upwards of two-thirds to three-quarters of book sales and magazine subscriptions to libraries come from a jobber or wholesaler for online and print resources. These resellers make it their business to provide items to their customers as fast as possible, often providing turnaround times of only a single day after publication. Libraries purchase a significant portion of the balance of their books and journals directly from publishers themselves, which provide delivery on a similarly expedited schedule. In general, libraries make these purchases throughout the year and shelve the newly received items as soon thereafter as possible to make them available to their patrons.

24. In preparing this report, I used authoritative databases, such as the OCLC bibliographic database and the Library of Congress Online Catalog, to confirm citation details of the various publications discussed.

25. *Indexing*. A researcher may discover material relevant to his or her topic in a variety of ways. One common means of discovery is to search for relevant information in an index of periodical and other publications. Having found relevant material, the researcher will then normally obtain it online, look for it in libraries, or purchase it from the publisher, a bookstore, a document delivery service, or other provider. Sometimes, the date of a document's public accessibility will involve both indexing and library date information. However, date information for indexing entries is often unavailable. This is especially true for online indices.

26. Indexing services use a wide variety of controlled vocabularies to provide subject access and other means of discovering the content of documents. The formats in which these access terms are presented vary from service to service.

27. Online indexing services commonly provide bibliographic information, abstracts, and full-text copies of the indexed publications, along with a list of the documents cited in the indexed publication. These services also often provide lists of publications that cite a given document. A citation of a document is evidence that the document was publicly available and in use by researchers no later than the publication date of the citing document.

28. Before the widespread development of online databases to index articles in journals, magazines, conference papers, and technical reports, libraries purchased printed volumes of indices. Graduate library school education mandated

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that students learn about the bibliographic control of disciplines, the prominent indexing volumes, and searching strategies required to use them effectively and efficiently. Half of the courses that I studied in library school were focused on the bibliography and resources in academic disciplines.

29. Librarians consulted with information seekers to verify citations, check availability in union catalogs, printed books catalogs, the OCLC database, and make formal requests for materials (e.g., books, conference proceedings, journal articles). Requests were transmitted using Telex machines, rudimentary email systems, and the United States Postal Service. During my career, I have performed and supervised staff who handled these resource sharing tasks.

30. A major firm known for the breadth of subjects and comprehensive treatment in the preparation of index volumes, the H. W. Wilson Company offered these reference resources since the firm was founded in 1898. The *Reader's Guide to Periodical Literature* is one of the best-known titles available from H. W. Wilson. Each volume includes a comprehensive index for 300 of the most popular and important periodicals published in the United States and Canada. Information seekers have subject access expressed in plain language terminology, author access, and cross references to find the desired results from their searches. The family of index titles included *Science & Technology Index*, *Business Periodicals*, *Applied Science & Technology Index, Humanities Index, Biological & Agricultural Index*,

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and *Industrial Arts Index*. These printed indices have been superseded by digital database offerings available to information seekers through Ebsco.

31. Online indexing services such as *Google Scholar*[15] or *ScienceDirect*[16] commonly provide bibliographic information, abstracts, and full-text copies of the indexed publications, along with a list of the documents cited in the indexed publication. These services also often provide lists of publications that cite a given document.

32. A citation of a document by another is evidence that the document was publicly available and in use no later than the publication date of the citing document.

IV. PRELIMINARIES

33. *Scope of this Declaration.* I am not an attorney and will not offer opinions on the law. I am, however, rendering my expert opinion on the authenticity of the documents referenced herein and when and how each of these documents was disseminated or otherwise made available to the extent that persons interested and ordinarily skilled in the subject matter or art, exercising reasonable diligence, could have located the documents.

[15] https://scholar.google.com/

[16] https://www.sciencedirect.com/

34. I am informed by counsel that a printed publication qualifies as publicly accessible as of the date it was disseminated or otherwise made available such that a person interested in and ordinarily skilled in the relevant subject matter could locate it through the exercise of ordinary diligence.

35. While I understand that the determination of public accessibility under the foregoing standard rests on a case-by-case analysis of the facts particular to an individual publication, I also understand that a printed publication is rendered "publicly accessible" if it is cataloged and indexed by a library such that a person interested in the relevant subject matter could locate it exercising reasonable diligence (*i.e.*, I understand that cataloging and indexing by a library in a manner that permits a person of ordinary skill in the relevant subject matter to locate the publication is sufficient, though there are other ways that a printed publication may qualify as publicly accessible). One manner of sufficient indexing is indexing according to subject matter category. I understand that the cataloging and indexing by a single library of a single instance of a particular printed publication is sufficient, even if the single library is in a foreign country. I understand that, even if access to a library is restricted, a printed publication that has been cataloged and indexed therein is publicly accessible so long as a presumption is raised that the portion of the public concerned with the relevant subject matter would know of the printed publication. I also understand that the cataloging and indexing of information that

would guide a person interested in the relevant subject matter to the printed publication, such as the cataloging and indexing of an abstract for the printed publication, is sufficient to render the printed publication publicly accessible.

36. I understand that routine business practices, such as general library cataloging and indexing practices, can be used to establish an approximate date on which a printed publication became publicly accessible.

37. I have been informed by counsel that a "person of ordinary skill in the art at the time of the invention" (POSITA) is a hypothetical person who is presumed to be familiar with the relevant field and its literature at the time of the inventions. This hypothetical person is also a person of ordinary creativity, capable of understanding the scientific principles applicable to the pertinent field.

38. I am told by counsel that, for purposes of this Declaration, persons of ordinary skill in this subject matter or art would have had the following qualifications:

a. Exhibits 1003 and 1005: at least a bachelor's degree in computer science, or an equivalent field, and two years of experience working in developing networking equipment or, alternatively, a master's degree in the same fields and one year of relevant work experience. Additional education might compensate for less experience and vice-versa; and

b. Exhibits 1007 and 1008: at least a Bachelor's degree in Electrical Engineering or a related field and at least two years of professional experience in wireless communications, or alternatively, a Master's degree in the same field and one year of relevant work experience. Additional education might compensate for less experience and vice-versa.

39. Based on my experience working in research libraries with researchers having the qualifications described above, or even lesser qualifications, it is my opinion that such researchers would have been able to locate the material discussed herein on their own or with the assistance of a research librarian with relative ease using the tools and resources described herein.

V. PUBLICATION

A. Document 1: Exhibit 1003 ("OHTA")

40. Exhibit 1003 in IPR2023-01260 is a journal article titled "Mobile Multimedia Middleware for Seamless Service" by Ken Ohta, Takashi Yoshikawa, Tomohiro Nakagawa, Yoshinori Isoda, and Toshiaki Sugimura (hereafter "Ohta"). The Ohta article was published in Volume 2001, Number 83 of the journal *IPSG SIG Technical Report* (ISSN 0919-6072) published by the Information Processing Society of Japan. The Ohta article was found in the Tokyo University of Technology (Tokyo, Japan). The Ohta article is identified as Mobile Computing and Wireless

Communication No. 18-35 and Intelligent Handoff System No. 6-35 dated September 7, 2001 ("2001.9.7"). Exhibit 1003 is a true and correct copy of the Ohta article (8 pages). Specifically, the text of the article is complete; no pages are missing, and the text on each page appears to flow seamlessly from one page to the next. For example, although the article is in Japanese, the Arabic numerals denoting section numbers flow naturally and in order from page-to-page. Further, there are no visible alterations to the document. In addition, I've reviewed the certified English translation of the Ohta article (Exhibit 1004), and the translated text appears to flow seamlessly from one page to the next.

41. Finally, Exhibit 1003 was found within the custody of a library – a place where an authentic copy of this journal would likely be. For all these reasons, my opinion is that Exhibit 1003 is a true and correct copy in a condition that creates no suspicion about its authenticity.

42. The Ohta article has been cited in multiple patent applications, which is an indication of its authenticity and public accessibility. For example, the Ohta article was cited and discussed in the application that became U.S. Patent 7,796,512, which I understand is the patent involved in this *inter partes* review proceeding, as a non-patent citation.[17]

[17] https://patents.google.com/patent/US7796512B2

43. The Ohta article was also cited in the application for U. S. Patent 7,742,768, which was filed on March 3, 2006, and granted on June 22, 2010, as a non-patent citation.[18]

44. Attached hereto as Attachment 1A is a true and correct copy of the metadata record for the journal *IPSG SIG Technical Report* (ISSN 0919-6072) in the Tokyo University of Technology Library. Library ownership is indicated by the presence of the library's website at the bottom of the screen. I personally identified and retrieved the metadata record that is Attachment 1A.

45. Based on finding a print copy of Exhibit 1003 in the Tokyo University of Technology Library and metadata record in its online library catalog attached as Attachment 1A, it is my opinion that the Ohta article published in the journal *IPSG SIG Technical Report* (ISSN 0919-6072) was publicly available on or shortly after September 7, 2001, as shown on the document. In view of the MARC record for Exhibit 1003, the Ohta article was publicly on or shortly after September 7, 2001, because the serial title had been received, cataloged, and indexed at the Tokyo University of Technology Library and made part of its online catalog database.

46. Attached hereto as Attachment 1B is a true and correct copy of the MARC record for the journal *IPSG SIG Technical Report* (ISSN 0919-6072)

[18] https://patents.google.com/patent/US7742768

obtained from the OCLC bibliographic database. As previously noted, the library that created the record is recorded in field 040 with a unique library code. For Attachment 1B, that library code is "LWU," which means that the MARC record for this serial was cataloged at the Waseda University Library (Shinjuku, Japan). As can be seen in the "Entered" field in the MARC record for this exhibit, a cataloger at the Waseda University Library created OCLC record number 835431781 on August 2, 2000, as shown in the "Entered" field ("20000802"). The library continues to update this MARC record and enhanced the MARC record to meet current cataloging rules. The most recent enhancement to Attachment 1B occurred on September 3, 2013, as shown in the "Replaced" field ("20130903"). I personally identified and retrieved the MARC record that is Attachment 1B. The "BLvl" entry in Attachment 1B is "s," which indicates that the journal *IPSG SIG Technical Report* (ISSN 0919-6072) is a serial publication. Accordingly, the MARC record for Exhibit 1005 corresponds to the journal *IPSG SIG Technical Report* (ISSN 0919-6072) from the time the serial title began and includes the date of the Ohta article.

47. Attachment 1B includes seven variant titles in 246 fields. Thus, as of its cataloging, the publication corresponding to the MARC record attached hereto as Attachment 1B was indexed according to its subject matter by virtue of at least three independently sufficient classifications: the main title (245 entry), the variant titles (field 246 entries), and the corporate body (field 710 entry). As of August 2, 2000,

the MARC record attached hereto as Attachment 1B was accessible through any library with access to the OCLC bibliographic database or the online catalog at a library that subscribed to the serial, which means that the corresponding publication was publicly available on or before that same date through any library with access to the OCLC bibliographic database or through an individual library.

48. Attachment 1B indicates that the journal *IPSG SIG Technical Report* (ISSN 0919-6072) as cataloged at the Waseda University Library is currently available from 3 libraries. An information seeker can identify the three libraries through OCLC's FirstSearch program (see Attachment 1C). In view of the above, this issue of the journal *IPSG SIG Technical Report* (ISSN 0919-6072) was publicly available on or shortly after September 7, 2001, because by that date it had been cataloged, indexed, and received at the Tokyo University of Technology and made part of the OCLC bibliographic database. For these reasons, it is my opinion that Exhibit 1003 was published and accessible to the public on or shortly after September 7, 2001.

B. Document 2: Exhibit 1005 (Bluetooth™ SIG Audio/Video Remote Control Profile)

49. Exhibit 1005 in IPR2023-01260 is a technical document titled *Audio/Video Remote Control Profile*, Revision 1.0 ("AVRCP"), prepared by the Bluetooth™ SIG, Incorporated (hereafter "Bluetooth™ SIG"). The Bluetooth® SIG

oversees the development of Bluetooth specifications like the Audio/Video Remote Control Profile. Those specifications "define the technology building blocks that developers use to create the interoperable devices that make up the thriving Bluetooth ecosystem."[19] I understand from Dr. Negus's declaration that Bluetooth profiles like AVRCP "define[] how different parts of the Bluetooth specification can be used for a particular use case" and, therefore, as the Bluetooth® SIG website itself notes, are meant to be used to ensure "interoperability" between devices.

50. Exhibit 1005 is a true and accurate copy of AVRCP, which I obtained from the Bluetooth® SIG's online database.[20] The text of the AVRCP, is complete; no pages are missing, and the text on each page appears to flow seamlessly from one page to the next; further, there are no visible alterations to the document. Exhibit 1005 was found within the custody of the issuing organization – a place where, if authentic, a copy of this technical specification would likely be.

51. The document itself further bears indicia of authenticity. It has a "Revision History" that states that "Version 1.0" is dated May 2003. AVRCP itself states on its cover that it is Version 1.0, and has a "Release Date" of "22 May 2003" at the bottom of almost every page. The document further bears a "Copyright ©

[19] https://www.bluetooth.com/specifications/

[20] https://www.bluetooth.com/specifications/specs/a-v-remote-control-profile-1-0/

2003, Bluetooth SIG Inc" notice on the fifth page. In my opinion, these features are sufficient to conclude that AVRCP was publicly accessible as of May 22, 2003, or shortly thereafter.

52. In addition, AVRCP has been cited in publications from around the time of the release which, as explained above, further demonstrates the authenticity and public accessibility of the document. Specifically, AVRCP was cited in Kumar, C., Kline, P., Thompson, T., *Bluetooth Application Programming with the Java APIs, Morgan Kaufmann Publishers*, 2004, ISBN 1-55860-934-2 ("Kumar"), excerpts of which are attached to this Declaration as Attachment 2B. According to the U. S. Copyright Office, the Kumar book was published on September 17, 2003, (see Attachment 2A). Kumar's "References" section includes as reference 38 the "Bluetooth SIG, Audio/Video Remote Control Profile, Revision 1.0, 2003, which is the AVRCP reference analyzed in this Declaration. Kumar's citation to AVRCP in the book published on September 17, 2003, is evidence that AVRCP is authentic and was publicly accessible at least by that date.

53. My opinion of the public accessibility of AVRCP as of May 22, 2003 is further supported by the normal publication practices of the Bluetooth SIG, which is to make adopted specifications like AVRCP available on the Bluetooth website.

Specifically, the Bylaws of the Bluetooth SIG[21] contain a section concerning "Bluetooth Specifications." Section 9.3 of the Bylaws provides the process for approving specifications based on a vote of members of the Bluetooth SIG.[22]

54. After approval, the Bylaws (Section 9.3(e)) require a "Notice of Adoption" be sent to Bluetooth members to "Notify" them of the new specification. "Notify" is a defined term in the Bylaws, which means "making the document available on the Corporation's web site and sending an email with the URL of the location of the document on the Corporation's web site to the Member's primary contact at the most recent email address provided by the Member's primary contact." Bluetooth Bylaws, Section 9.1. The Bylaws require that the notification occur "[w]ithin one week after the date of adoption of a Voting Draft." Bluetooth Bylaws, Section 9.3(e). Therefore, it is my opinion that AVRCP was publicly accessible on the Bluetooth SIG website at least within one week after the May 22, 2003, approval date.

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21 https://www.bluetooth.org/DocMan/handlers/DownloadDoc.ashx?doc_id=556688.

22 Although the Bluetooth SIG is composed of "members," any company can become an adopter member without paying a membership fee by signing the membership agreement. The Bluetooth SIG website identifies more than 33,000 members as of 2017. https://www.bluetooth.com/blog/the-bluetooth-community-is-stronger-than-ever/.

55. Moreover, sources from around the time of AVRCP directed interested members of the public to the Bluetooth website for copies of the specifications for Bluetooth profiles. The Kumar book, in addition to dating the release of AVRCP to 2003, advised readers to "[r]efer to the Bluetooth website for specifications on the latest available profiles." Kumar, at 13. Moreover, multiple U.S. Patents and publications directed interested POSITAs to the Bluetooth website to find adopted specifications and profiles.[23]

56. Exhibit 1005 is a true and correct copy of AVRCP in a condition that creates no suspicion about its authenticity. Based on the date recorded on the document and the other evidence discussed above, it is my opinion that the document titled *Audio/Video Remote Control Profile*, Revision 1.0*,* version 1.1, was available to the public on May 22, 2003, or shortly thereafter.

C. Document 3: Exhibit 1006 ("ETFF 2003a")

57. Exhibit 1006 in IPR2023-01261 and IPR2023-01262 is a copy of the draft document titled *Light-Weight Access Point Protocol (LWAPP)* that is issued

[23] For example: (i) U.S. Pat. No. 6,255,800, issued on July 3, 2001 and available at https://patentimages.storage.googleapis.com/09/7d/92/5d1c9353da225c/US6255800.pdf, directs readers to www.Bluetooth.com or www.Bluetooth.net for copies of the Bluetooth specification; (ii) U.S. Pub. No. 2003/0017805, published on Jan. 23, 2003 and available at https://patentimages.storage.googleapis.com/7b/25/85/95b2d97f361235/US20030017805A1.pdf, states that the Bluetooth specification "may be located at www.BLUETOOTH(R).com"; and (iii) U.S. Pub. No. 2003/0114146, published on June 19, 2003 and available at https://patentimages.storage.googleapis.com/9b/1b/97/ff465f0c7da6cb/US20030114146A1.pdf, states that the Bluetooth Specification and Bluetooth Profiles are "located at www.bluetooth.com.

and published by the Network Working Group of the Internet Engineering Task Force (IETF). The draft document was prepared by a team of six individuals and is dated June 28, 2003. Each draft document was published on the IETF datatracker website[24] as discussed below. The website was updated on July 3, 2003.

58. I understand that the IETF development and publication process is set forth in RFC 2026 (Attachment 3A), which I identify below. RFC 2026 explains that: (i) draft documents are widely disseminated on the Internet (RFC 2026 at 2, 6); and (ii) once a standard is adopted, it will be formally published; (*id*. at 19). RFC 2026 also explains that documents are published on a specific date, which starts a period for others to provide comments on the document (*id.* at 20). Based on my review and understanding, the publication date of each document is displayed typically in the top right corner of the first page of the document. Each draft document is uniquely numbered. If it becomes necessary to make a substantive change (e.g., other than a minor typographical error), the document will be republished with a different number (*id* at 20).

59. I also understand that once documents are published, the IETF does not make changes. Attached hereto as Attachment 3B is the "Handling of Internet Drafts

[24] https://datatracker.ietf.org/doc/draft-calhoun-seamoby-lwapp/

by IETF Working Groups"[25] webpage, which publishes draft documents on behalf of the IETF indicating that "authors are instructed to post approved drafts and label them as such (p. 6).

60. For the foregoing reasons, it is my opinion that Exhibit 1006 was publicly accessible to persons interested in and ordinarily skilled in the art exercising reasonable diligence no later than July 3, 2003.

D. Document 4: Exhibit 1008 ("IETF 2003b")

61. Exhibit 1008 in IPR2023-01261 and IPR2023-01262 is a copy of the draft document titled *Architecture for Control and Provisions of Wireless Access Points (CAPWAP)* that is issued and published by the Network Working Group of the Internet Engineering Task Force (IETF). The draft document was prepared by a team of three individuals and is dated October 20, 2003. Each draft document was published on the IETF datatracker website[26] as discussed below. The website indicates that the website was updated on October 22, 2003.

62. I understand that the IETF development and publication process is set forth in RFC 2026 (Attachment 7A), which I identify below. RFC 2026 explains that: (i) draft documents are widely disseminated on the Internet (RFC 2026 at 2, 6);

[25] https://www.rfc-editor.org/pubprocess/

[26] https://datatracker.ietf.org/doc/draft-mani-capwap-arch/

and (ii) once a standard is adopted, it will be formally published; (*id*. at 19). RFC 2026 also explains that documents are published on a specific date, which starts a period for others to provide comments on the document (*id.* at 20). Based on my review and understanding, the publication date of each document is displayed typically in the top right corner of the first page of the document. Each draft document is uniquely numbered. If it becomes necessary to make a substantive change (e.g., other than a minor typographical error), the document will be republished with a different number (*id* at 20).

63. I also understand that once documents are published, the IETF does not make changes. Attached hereto as Attachment 7B is the "Handling of Internet Drafts by IETF Working Groups"[27] webpage, which publishes draft documents on behalf of the IETF indicating that "authors are instructed to post approved drafts and label them as such (p. 6).

64. For the foregoing reasons, it is my opinion that Exhibit 1008 was publicly accessible to persons interested in and ordinarily skilled in the art exercising reasonable diligence no later than October 22, 2003.

VI. SUMMARY OF OPINIONS

65. In view of the foregoing, it is my opinion that the publication described

[27] https://www.rfc-editor.org/pubprocess/

above was publicly available no later than the corresponding date listed in the table below:

Exhibit	Publication	Publicly Available
1003	Ohta Ken, Takashi Yoshikawa, Tomohiro Nakagawa, Yoshinori Isoda, and Toshiaki Sugimura. "Shimuresu Sabisu Jitsugen notameno Mobairu Maruchi Midoruwea." *IPSG SIG Technical Report*, Japan, Information Processing Society of Japan, Sep. 7, 2001, vol. 2001, No. 83, pp. 261-268, 2001-MBL-18, 2001-ITS-6. (Original Japanese)	September 7, 2001
1005	Bluetooth Audio Video Working Group. *Audio/Video Remote Control Profile*. BLUETOOTH DOC Approved Revision Document, revision 1.0, 2003.05.22. Kirkland, WA: Bluetooth SIG, Inc., c2003.	May 22, 2003
1007	Internet Engineering Steering Group (IESG). Network Working Group. *Light-Weight Access Point Protocol (LWAPP) Draft.* Fremont, CA: IESG, 2003.	July 3, 2003
1008	Internet Engineering Steering Group (IESG). Network Working Group. *Architecture for Control and Provisions of Wireless Access Points (CAPWAP) Draft*. Fremont, CA: IESG, 2003.	October 22, 2003

60. In signing this Declaration, I recognize that the Declaration will be filed as evidence in a case before the Patent Trial and Appeal Board of the United States Patent and Trademark Office. I also recognize that I may be subject to cross-examination in the case and that cross-examination will take place within the United States. If cross-examination is required of me, I will appear for cross-examination within the United States during the time allotted for cross-examination.

61. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

July 31, 2023

DocuSigned by:
C0FE1D01FD55440...

Sylvia D. Hall-Ellis, Ph.D.

APPENDIX

Exhibit 1015
Hewlett Packard Enterprise Company v. Sovereign Peak Ventures, LLC

CURRICULUM VITAE SYLVIA D. HALL-ELLIS

EDUCATION

Ph.D., University of Pittsburgh, Pittsburgh, Pennsylvania, 1985
M.P.S., University of Denver, Denver, Colorado, 2014
Post Graduate Studies, University of Texas – San Antonio, Texas, 1975-1976
M.L.S., University of North Texas, Denton, Texas, 1972
B.A., Rockford University, Rockford, Illinois, 1971

PROFESSIONAL EXPERIENCE

1981- **Consultant for higher education, non-profit organizations, and corporations.**

2002- **Adjunct Professor, School of Information, San José State University, San José, California.** Serve as part-time faculty member teaching graduate students in technical services (cataloging, bibliographic control, classification), "core courses," and special topics.

2014-2016 **Director, Grants and Resource Development, Colorado Community College System.** Provided leadership and vision to foster the continued growth of rigorous scholarship, innovative projects, and creative work for statewide system, 13 campuses, and 50 teaching sites serving 155,000 students. Responsible for leadership and ensured efficient functioning of contract and grants in compliance with state & federal requirements and successful implementation and management. Served as a subject matter expert and liaison for college Grant Directors for all issues relating to grants and subcontracts.

2010-2014 **Senior Grant Administrator, Morgridge College of Education, University of Denver (Colorado).** Provided leadership and vision to foster continued growth of rigorous scholarship, innovative research, and creative work in the Morgridge College of Education. Ensure that contract and grants processes function effectively and efficiently for 60 faculty and researchers with a focus on the successful progression and efficient management of grants totaling $13M. Worked effectively and collegially with Department Chairs and Program Coordinators on operational grant-related management activities and with a broad range of internal and external constituencies. Supported the dissemination and promotion of faculty research and scholarship to outside constituents at conferences and through publications. Assisted Principal Investigators and grant project teams by coaching, mentoring, and financial management.

2011-2013 **Interim Director & Assistant Dean, Westminster Law Library, Sturm College of Law, University of Denver.** Planned, organized, and directed all administrative activities for the library serving students, faculty, and alumni; oversaw the employment, retention, promotion, transfer and termination of library personnel; represented the library at professional conferences and public meetings; created and promoted a climate and culture of acceptance for new programs and services, a positive high-quality image of the law library, and that reflect the organization's values, encourage excellent performance, and reward high productivity and innovation; provided leadership and set strategic direction of the organization; ensured that the library provided excellent customer service through solution-oriented staff response to patron needs and by responsiveness and continuous improvement of the organization; promoted, developed, and maintained positive working relationships with colleagues and customers including key stakeholders and groups, higher education institutions, the legal community, other regional libraries and districts statewide, and national library organizations.

2007-2014 **Associate Professor, Library & Information Science, Morgridge College of Education, University of Denver (Colorado).** Served in leadership role and worked collaboratively in

program, college, campus and community environments. Advised and supervised students, taught core and specialized courses at the graduate level in an integrative, student-centered learning environment. Served on LIS, College, and University committees, and maintained working relationships with colleagues in other academic units and information professionals in the Rocky Mountain region and beyond. Served on and chair doctoral student dissertation committees. Oversaw and facilitated the College and LIS graduate student association.

2002-2007 **Assistant Professor, Library & Information Science, College of Education, University of Denver (Colorado).** Served as tenure-track faculty member teaching graduate students in "core courses," resource description and access, service learning, and independent studies. Advised graduate students, participate on LIS and College committees, and serve on doctoral student dissertation committees. Oversaw and facilitated the LIS graduate student association and alumni association.

2000-2002 **Affiliate Faculty, Library & Information Science, College of Education, University of Denver (Colorado).** Served as part-time faculty member teaching graduate students in technical services (cataloging, bibliographic control, classification), "core courses," and special topics. Oversaw and facilitated the LIS graduate student association and alumni association.

2000-2001 **Special Assistant to the Secretary's Regional Representative, U.S. Department of Education, Region VIII, Denver, Colorado.** Served as the principal advisor and representative of the U. S. Secretary of Education's Regional Representative (SRR). Ensured the implementation of major goals of the SRR and the Secretary. Provided leadership on behalf of the SRR in contacts with high-level officials in Region VIII requiring sensitive policy interpretation in communication with senior Department officials to solve problems and resolve issues raised by State and local education officials. Served as the primary contact for School-to-Work/Career, Children's Health Insurance Program, and Safe and Drug-Free Schools. Delivered technical assistance to local education agencies and institutions of higher education in technology, professional development, and school construction.

1999-2000 **Catalog Librarian, Jefferson County Public Library, Lakewood, Colorado.** Performed original, copy cataloging and classification of library materials (English and Spanish) using standard library protocols; completed original descriptive cataloging and subject analysis; enhanced brief catalog and authority records in III.

1997-1999 **Development Officer, McREL International, Aurora, Colorado.** Served as senior member of corporate management team in strategic planning, development of proposals and contracts, implementation, and evaluation of new services, products, and programs for educational agencies. Provided creative leadership to corporate committees to solicit ideas, identify goals and objectives, plan, develop, present, and evaluate professional development opportunities.

1995-1997 **Education Specialist, Education Service Center, Region One, Edinburg, Texas.** Served as member of Administrative Cabinet team in strategic planning, development of proposals and contracts, implementation, and evaluation of telecommunications capabilities, services, products, and programs for 40 school districts serving 283,000 students in 7 counties. Provided creative leadership to regional and state committees to solicit ideas, identify strategic goals and objectives, plan, develop, present, and evaluate funding opportunities and professional development for 400 librarians.

1993-1996 **Assistant Professor of Library Science, Sam Houston State University, Huntsville, Texas.** Served a faculty member teaching 400 graduate students in technical services (cataloging,

bibliographic control, classification), automation, and networking. Participated in distance education program and coordinated annual conference. Conducted university and Texas Library Association-funded field research focused on library collection development and academic achievement.

1992-1993 **Head Librarian, Rocky Mountain College of Art & Design, Denver, Colorado.** Responsible for the daily operation, selection and acquisition of materials, formulation of policies for library operations, media center, and photography/slides archives. Designed and implemented library automation and delivery of electronic resources to college community.

1981-1985 **Development Officer, PRLC, Inc., Pittsburgh, Pennsylvania.** Served as senior member of corporate management team in strategic planning, development of proposals and contracts, implementation, and evaluation of new services, products, and programs for 100 institutional member organizations. Coordinated the development of proposals and contracts totaling $4,000,000 annually. Provided creative leadership to corporate committees to solicit ideas, identify goals and objectives, plan, develop, present, and evaluate professional development opportunities.

1981 **Director of Library Development, Pennsylvania Department of Education, Harrisburg, Pennsylvania.** Responsible for statewide development, technical assistance, professional development, resource sharing, children's services, institutional library services, networking, and state aid program for all libraries throughout the Commonwealth. Functioned as liaison to Governor's Advisory Council, LSCA Advisory Council, District Administrators, private colleges, universities, consortia managers, and network directors. Supervised $14,000,000 formula-based state aid program and $3,000,000 grant awards to individual libraries, consortia, and networks.

1978-1981 **Assistant Director, Southern Tier Library System, Corning, New York.** Coordinated operation of system-wide programs (technical assistance, professional development, resource sharing, technical services, outreach) to 40 public libraries in 5 counties serving 500,000 residents. Solicited ideas, identified goals, sponsored, and evaluated professional development opportunities and technical assistance sessions.

1976-1978 **Division Librarian for Technical Services, Corpus Christi Public Libraries, Corpus Christi, Texas.** Provided leadership in acquisitions, cataloging, serials control, and processing for main library and 4 branches serving 250,000 residents. Participated as senior member of library management team. Compiled and prepared technical evaluations, reports, and statistical analyses of Division operations to measure the achievement and cost of annual goals, objectives, and staff performance.

1975-1976 **System Coordinator, San Antonio Major Resource Center, San Antonio, Texas.** Served as senior member of the management team for District X Office, charged to provide technical assistance, resource sharing, media services, and professional development to librarians and staff representing 30 public library jurisdictions in 21 counties serving 1,500,000 residents. Functioned as liaison to System Director, staff, and members of governing bodies with the System Board of Directors and the Texas State Library and Historical Commission. Prepared LSCA grant applications and monitored awards totaling $1,100,000 annually.

1973-1975 **Bilingual Branch Librarian, San Antonio Public Library, San Antonio, Texas.** Worked as librarian providing reference, information, and readers' advisory services in branch serving 50,000 Spanish-speaking residents in southwest San Antonio. Participated in collection development and resource acquisition activities, specializing in children's work, Spanish language resources, and multicultural studies.

1972-1973 **Librarian, Holding Institute, Laredo, Texas.** Worked as high school librarian serving 500 boarding students in Spanish-speaking environment of private school. Provided reference, research assistance, and library instruction to students and 35 faculty members.

1966-1971 **Rockford Public Library, Rockford, Illinois.** Worked in branches as part-time as a Library Assistant, Clerk, and Page in city library serving 150,000 residents.

PUBLICATIONS

Editor-reviewed Monographs (Completed and in Progress)

Hall-Ellis, Sylvia D., and Mary Beth Weber. *Contemporary Cataloging in an RDA Environment: A Handbook for Students and Practitioners.* Chicago, IL: American Library Association. Under contract & In development.

RDA Testing: Lessons Learned and Challenges Revealed. Sylvia D. Hall-Ellis and Robert O. Ellett, Jr., eds. Binghamton, N.Y.: Haworth, 2012. 128 p.

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Hall-Ellis, Sylvia D., with Ann Jerabek, and Merrie W. Valliant. *Contemporary Cataloging: A Handbook for Practitioners and Students.* Open access text. Athens, GA: University of Georgia System Regents, 2011. 767 p.

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Hall-Ellis, Sylvia D. "The Relationship of Situational Leadership and the Dreyfus Model of Skill Acquisition for Supervisors in Cataloging and Metadata Services." To be submitted to *Library Resources and Technical Services.* In progress.

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Hall-Ellis, Sylvia D. *Strategic Plan for Denver Medical Library System: Final Report.* Denver, Colo.: HealthONE and Denver Medical Library, 2008.

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Hall-Ellis, Sylvia D. *Strategic Plan for Denver Medical Library System: Phase Two Report.* Denver, Colo.: HealthONE and Denver Medical Library, 2007.

Hall-Ellis, Sylvia D. and Duan Zhang. *Project Homeless Connect 4 Event Evaluation.* Denver, Colo.: Center for Community Engagement and Service Learning, 2007.

Hall-Ellis, Sylvia D. *Strategic Plan for Denver Medical Library System: Phase One Report.* Denver, Colo.: HealthONE and Denver Medical Library, 2007.

Hall-Ellis, Sylvia D. *Denver Public Library: Opportunities for Change.* Denver, Colo.: University of Denver, Colorado Community-Based Research Network & Metropolitan Organization of People, 2005.

Hall-Ellis, Sylvia D. *Resources in Grant Writing for Colorado Schools and Libraries.* Sponsored by the Colorado Department of Education, Educational Telecommunications Unit, 1999. Revised September 2000.

Hall-Ellis, Sylvia D. *Lewis & Clark Fellows Concept Paper for the Bicentennial Commemoration.* Vancouver, Wash.: Lewis and Clark Bicentennial Council, 1998.

Hall-Ellis, Sylvia D. and Mary Ann Berry. *The Impact of Library Resource Centers on Academic Achievement in Public Schools in Texas: The Final Report.* Prepared under the sponsorship of the Research Enhancement Fund award from Sam Houston State University, the Texas Association of School Librarians, the Children's Services Round Table, and the Young Adults' Services Round Table. Huntsville, Tex.: Sam Houston State University, College of Education and Applied Science, 1997.

Hall-Ellis, Sylvia D. *The Impact of Library Resource Centers on Academic Achievement in Selected Public Schools in Texas.* Huntsville, Tex.: Sam Houston State University, College of Education and Applied Science, 1997.

Hall-Ellis, Sylvia D. *Planning for Educational Technology: the Distance Education Implementation Phase: An Investigation of Technology and Telecommunication Needs for Region One Education Service Center, South Texas Community College, TCI Cablevision of South Texas, Inc., Tech Prep of the Rio Grande Valley, Inc., Texas State Technical College, Centro Cultural en el Valle de Texas, McAllen Independent School District, Harlingen Consolidated Independent School District, Donna Independent School District, Edinburg Consolidated Independent School District, La Joya Independent School District, Mercedes Independent School District, Pharr-San Juan-Alamo Independent School District, Sharyland Independent School District, Easter Seal Society of the Rio Grande Valley, Inc., and McAllen Memorial Library.* Harlingen, Tex.: Tech Prep of the Rio Grande Valley, 1996.

Hall-Ellis, Sylvia D. "Barcodes for the Texas Library Connection." Prepared under the sponsorship of the Office of Technology Services, Texas Education Agency. Austin, Tex.: TENET News and Conferences, March 1995.

Hall-Ellis, Sylvia D. *[et. al.] Planning for Educational Technology: A Study of Future Technology Needs for the Donna Independent School District, Region One Education Region Service Center, Tech Prep of the Rio Grande Valley, Inc. South Texas Community College, and the Department of Library Science, Sam Houston State*

University. Research team: Sylvia D. Hall-Ellis, Ruth Ann Riggins, Noe Torres, Patricia G. Bubb, and Michael D. Bell. Harlingen, Tex.: Tech Prep of the Rio Grande Valley, 1994. Funded through a grant award from the Texas Education Agency.

Hall-Ellis, Sylvia D. and Mary Ann Berry. *The Impact of Library Resource Centers on Academic Achievement in Selected Public Schools in South Texas.* Huntsville, Tex.: Sam Houston State University, College of Education and Applied Science, 1993. Funded through a grant award from the Sam Houston State University Research Enhancement Fund.

Hall-Ellis, Sylvia D., Alice Krueger, and Linda Brannan. *Thesaurus Development for National Curriculum Materials Database at the Eisenhower National Clearinghouse for Mathematics and Science Education, Columbus, Ohio.* Developed under the sponsorship of Mid-Continent Regional Educational Laboratory Corporate Library, Aurora, Colo. Washington, D.C.: National Science Foundation; Columbus, Ohio: ERIC Clearinghouse for Mathematics and Science Education, 1993.

Hall-Ellis, Sylvia D. and Clarence L. Coffindaffer. *Partners in Progress: The 1992 Montana Library Federation Study Final Report*. Helena, Mont: Montana State Library and The Blue Bear Group, Inc., 1992.

Hall-Ellis, Sylvia D. and Clarence L. Coffindaffer. *Montana Federation Study Identification Paper*. Denver, Colo.: The Blue Bear Group, Inc., 1992.

Bruntjen, Scott and Sylvia D. Hall. *Recommendations on the Development of System-Wide Efforts of the Northern Waters Library Service.* Ashland, Wisc.: Northern Waters Library Service and The Blue Bear Group, Inc., 1989.

Hall, Sylvia D. *The Iowa Union List of Serials as A Part of The Iowa Locator: Planning Document*. Des Moines, Ia.: State Library of Iowa, 1988.

Hall, Sylvia D. *Iowa Computer-Assisted Network (ICAN Users' Manual. IBM Compatible Edition: Version 7.0.* Des Moines, Ia.: State Library of Iowa, 1988.

Hall, Sylvia D. *Iowa Computer-Assisted Network (ICAN Users' Manual. IBM Compatible Edition: Version 5.0.* Des Moines, Ia.: State Library of Iowa, 1986.

Hall, Sylvia D. *Iowa Computer-Assisted Network (ICAN Users' Manual. Apple MacIntosh Edition: Version 5.0.* Des Moines, Ia.: State Library of Iowa, 1986.

Bruntjen, Scott and Sylvia D. Hall. *The District of Columbia Public Library Planning for Retrospective Conversion of Bibliographic Records and Automation Issues for the 1990's: Final Report*. Central City, Colo.: The Blue Bear Group, Inc., 1986.

Bruntjen, Scott and Sylvia D. Hall. *The Iowa Locator: Final Report.* Central City, Colo.: The Blue Bear Group, Inc., 1986.

Bruntjen, Scott and Sylvia D. Hall. *Discovering Resource Sharing Treasures: Accessing Pennsylvania's High School Library Collections*. Harrisburg, Pa.: State Library of Pennsylvania, Bureau of School Library Media Resources, 1985.

Hall, Sylvia D. *Retrospective Conversion and the Completeness of Cataloging in Pennsylvania High School Libraries.* Pittsburgh, Pa.: PRLC, Inc., 1985.

Bruntjen, Scott and Sylvia D. Hall. *The Iowa Locator.* Des Moines, Ia.: State Library of Iowa, 1985- http://www.statelibraryofiowa.org/ld/silo/locator

Bruntjen, Scott and Sylvia D. Hall. *ICAN Analysis*. Des Moines, Ia.: State Library of Iowa, 1985.

Bruntjen, Scott and Sylvia D. Hall. *Zebras: Bar Codes, Standards, and Library Automation*. Central City, Colo.: The Blue Bear Group, Inc., 1985.

Hall, Sylvia D. and Scott Bruntjen. *Access Pennsylvania.* Harrisburg, Pa.: State Library of Pennsylvania, 1984- http://www.accesspa.org

Hall, Sylvia D. *Reconfiguration of the ITAC Cataloging Data Base to Machine Readable (MARC) Format*. Classified Document. Arlington, Va.: U. S. Army Intelligence and Threat Analysis Center, 1984.

Bruntjen, Scott and Sylvia D. Hall. *South Dakota Library Automation Plan: Final Report*. Pittsburgh, Pa.: PRLC, Inc., 1982. Reprinted by the South Dakota State Library, 1983.

Bruntjen, Scott and Sylvia D. Hall. *Altoona Automation Plan: Final Report prepared for the Altoona Area Public Library and the Altoona District Library Center*. Pittsburgh, Pa.: PRLC, 1983.

Bruntjen, Scott, Sylvia D. Hall and Paul J. Pugliese. *College Space Utilization Study*. Pittsburgh, Pa.: PRLC, Inc., 1983.

Hall, Sylvia D. *Pennsylvania Public Library Film Center Union Catalog Cataloging and Technical Services Procedures Manual*. Pittsburgh, Pa.: PRLC, Inc., 1982.

Hall, Sylvia D. *History of Library Development in Pennsylvania: A Review and Content Analysis of the Plans, 1955-1980*. Pittsburgh, Pa.: PRLC, Inc., 1982.

Hall, Sylvia D. *Newspapers on Microfilm: A Listing of Newspapers in Allegheny, Chemung, Schuyler, Steuben, and Yates Counties*. Ithaca, N.Y.: South Central Reference and Research Council, 1981.

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Hall, Sylvia D. *Retrospective Conversion for Major Public Libraries in South Central New York State: A Technical Report*. 2 vols. Corning, N.Y.: Southern Tier Library System, 1979-1980.

Hall, Sylvia D. *The Librarian and the Mexican-American Patron in Texas.* Austin, Tex.: Texas State Library, 1978.

Other Publications

Hall-Ellis, Sylvia D., ed. *Library and Information Science Program Self-Study Document for Accreditation by the American Library Association.* St. Paul, MN: St. Catherine University, 2017. 255 p.

Hall-Ellis, Sylvia D., and Robert O. Ellett, Jr., guest editors. *RDA Testing: Lessons Learned and Challenges Revealed: A Special Issue of Cataloging & Classification Quarterly* 49, no. 7-8 (November 2011).

Hall-Ellis, Sylvia D. and Robert O. Ellett, Jr. *Fundamentals of Cataloging I: An Online ALCTS Course.* Chicago, Ill.: American Library Association, 2009.

Hall-Ellis, Sylvia D., Angela J. Kinney and Martha Ann Bace, editors. *Best Practices in Cataloging Education Identified at the 2007 ALCTS Pre-conference: A Special Issue of the Journal for Library and Information Science Education* 49, no. 2 (Spring 2008).

Hall-Ellis, Sylvia D. *Cataloging Education: A New Emphasis for the Library and Information Science Curriculum.* Presentation delivered to the ALCTS Pre-conference, 132nd Annual Conference, American Library Association, Washington, D.C., June 22, 2007. http://www.loc.gov/aba/professional/

Hall-Ellis, Sylvia D. "*Cataloger Competencies: Do the Employers Require What the Professors Teach?*" Presentation delivered to the ALCTS CCS Heads of Cataloging Discussion Group, 131st Annual Conference, American Library Association, New Orleans, Louisiana, June 26, 2006. http://dlist.sir.arizona.edu/

Hall-Ellis, Sylvia D., guest editor, with Deborah S. Grealy, Clara L. Sitter, and Tassos Petrou. *Library Students' Voices in Colorado: An Issue of Colorado Libraries* 31:1 (Spring 2005).

Hall-Ellis, Sylvia D., ed. *Library and Information Science Program Self-Study Document for Accreditation by the American Library Association.* Denver, Colo.: University of Denver, College of Education, 2003. 125 p. with CD-ROM.

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Hall-Ellis, Sylvia D. "Adaptive Furniture for the ADA-Qualified: An Investigative Study." 2001. Unpublished manuscript.

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Hall, Sylvia D. "Cooperation Improving Service in San Antonio System." *Texas Libraries* XXXVII (Spring 1976): 27-29.

Hall, Sylvia D. "Summer is Sea Monstrously Fun!" *Texas Library Journal* L (December 1974): 224-226.

Hall, Sylvia D. "A Brief Annotated Bibliography of Non-book Materials on the Mexican American." *Texas Library Journal* XLVIII (October 1972): 213-232.

Book Reviews

ALA Book of Library Grant Money. Ann Kepler, ed. 8th ed. Chicago, IL: American Library Association, 2011. 978-0-8389-1058-0, $175.00 [*Colorado Libraries,* June 2012]

Developing a Compensation Plan for Your Library. Paula M. Singer and Laura L. Francisco, comps. 2nd ed. Chicago, IL: American Library Association, 2009. 978-0-8389-0985-0, $57.00 [*Colorado Libraries,* March 2012]

Frugal Librarian. Carol Smallwood, ed. Chicago, IL: American Library Association, 2011. 978-0-83891-075-7, $42.00 [*Colorado Libraries,* February 2012]

Dowlin, Ken. *Getting the Money: How to Succeed in Fundraising for Public and Nonprofit Libraries.* Westport, Conn.: Libraries Unlimited, 2008. 978-1-59158-597-X, $50.00 [*Colorado Libraries,* February 2009]

Lundahl, Mats. *Bebo de Cuba; Bebo Valdés y Su Mundo*, by Mats Lundahl. Traducción de Linda Oakeshott Dragó. Barcelona: RBA Libros, 2008. 978-849-86-7259-6, $33.99 [*Críticas*, November 2008]

Bonelli, Florencia. *Marlene*, by Florencia Bonelli. Buenos Aires: Aguilar, Altea, Taurus, Alfaguara, 2008. 978-987-04-0995-3, $24.95 [*Críticas*, October 2008]

Keret, Etgar. Traducción de Ana María Bejarano. *Pizzería Kamikaze y Otras Relatos.* México, D.F.: Editorial Sexto Piso, 2008. 968-5679-29-0, no price given [*Críticas*, September 2008]

Littauer, Marita, and Florence Littauer. *Enriquece tu Comunicación. Miami, Fla.: Editorial Unilit, 2008.* 978-0-7899-1521-4, $11.99 [*Críticas*, August 2008]

Martínez, Guillermo. *La Muerte Lenta de Luciana B.* New York: Rayo Planeta, 2008. 978-0-06-156551-9, $14.95 [*Críticas*, May 2008]

Matthews, Joseph R. *The Evaluation and Measurement of Library Services.* Westport, Conn.: Libraries Unlimited, 2007. 978-1-59158-532-9, $50.00 [*Colorado Libraries,* April 2008]

Gómez-Jurado, Juan. *A Masacre de Virginia Tech: Anatomía de una Mente Torturada.* Barcelona: Ediciones El Andén, 2007. 978-84-935789-4-7, €16.50 [*Críticas*, February, 2008]

Matthews, Joseph R. *Library Assessment in Higher Education.* Westport, Conn.: Libraries Unlimited, 2007. 978-1-59158-531-2, $45.00 [*Colorado Libraries,* February 2008]

Chacón, Inma. *La Princesa India: Cuando el Viento Azul.* México: Alfaguara, 2006. 970-770398-9, $19.95 [*Críticas*, June 2006]

Vargas Llosa, Mario. *Israel/Palestina: Paz o Guerra Santa.* fotogs. by Morgana Vargas Llosa. Spain/U.S.: Aguilar: Santillana, 2006. 84-03-09691-7, paper, $19.95 [*Críticas*, May 2006]

Findlay, Diane. *Digging into Dewey.* Fort Atkinson, Wisc.: Upstart Books, 2005. 978-1-932146-18-9, $16.95 [*Colorado Libraries,* May 2006]

Pequeñas Resistencias 4: Antología del Nuevo Cuento Norteamericano y Caribeño. Menéndez, Ronaldo, Ignacio Padilla & Enrique del Risco, eds. Madrid**:** Páginas de Espuma, 2005. 84-95642-59-X, paper, $36.95 [*Críticas*, April 2006]

Rueda, André. *Vengo a Salvar a España: Biografía de Un Franco Desconocido.* Madrid**:** Nowtilus (Investigación Abierta), 2005. photogs., bibliog. 84-9763-202-8, paper, $23.95 [*Críticas*, March 2006]

Becerra, Ángela. *El Penúltimo Sueño.* 1st ed. Barcelona: Planeta, 2005. 84-08-05795-2, $25.95. [*Críticas*, January 2006]

Aguilar Camín, Héctor. *La Conspiración de la Fortuna.* 1st ed. México: Planeta, 2005. 970-37-0368-2, $19.95 [*Críticas*, October 2005]

México en Sus Libros. Enrique Florescano with Pablo Mijangos, editors & compilers. 1st ed. México, D.F.: Taurus Aguilar, 2004. 968-19-0783-3, $16.95 [*Críticas*, September 2005]

Historia Económica de México. Coordinator, Enrique Semo. México: Universidad Nacional Autónoma de México, Editorial Oceano de México, 2004. 13 vols. 970-32-0805+3 (obra completa), $9.25 each [*Críticas*, August 2005]

Czarnowsky, Christyne A. and Michael H. Williams. *Managing Your Wired Workforce: A Practical Guide.* Denver, Colo.: Bradford Pub., 2003. 1-883726-79-4, $24.95 [*Colorado Libraries,* 2004]

Abraham Lincoln. Colección Grandes Biografías. Madrid: Edimat Libros, 2003. 84-8403-858-0, €4.95 [*Críticas*, 2004]

Alponte, Juan María. *Colón: el Hombre, el Navegante, la Leyenda.* México, D.F.: Aguilar, 2003. 968-19-1260-8, $16.95 [*Críticas*, 2004]

Olcese Salvatecci, Alfieri. *Cómo Estudiar con Èxito: Téchnicas yHhábitos Para Aprender Major.* México, D.F.: Alfaomega Grupo Editor, 2002. 970-15-0764-9 [*Críticas*, 2003]

Mujeres Como Islas: Antología de Narradoras Cubanas, Dominicanas, Puertoriqueñas. Edición: Olga Marta Pérez, Thelma Jiménez, Andrés Blanco D., eds. Santo Domingo, República Dominicana: Ediciones Ferilibro, 2002. 959-209-419-5 [*Críticas*, 2003]

Tibol, Raquel. *Los Murales de Diego Rivera: Universidad Autónoma Chapingo.* México, D.F.: Editorial RM, Universidad Autónoma Chapingo, 2002. 968-5208-08-5 [*Críticas*, 2003]

Lindsay-Poland, John. *Emperors in the Jungle: the Hidden History of the U.S. in Panama.* Durham, N.C.: Duke University Press, 2003. 0-8223-3098-9, $18.95 [*Críticas*, 2003]

Kaplan, Allison G. and Ann Marlowe Riedling. *Catalog It! A Guide to Cataloging School Library Materials.* Worthington, Ohio: Linworth Pub., 2002. 1-58683-014-7, $44.95 [*Colorado Libraries,* 2002]

Bardach, Ann Louise. *Cuba Confidential: Love and Vengeance in Miami and Havana.* New York: Random, 2002. 0-375-50489-3, $25.95 [*Library Journal*, 2002]

Swan, James. *Fundraising for Libraries: 25 Proven Ways to Get More Money for Your Library.* New York: Neal Schuman, 2002. 1-55570-433-6, $69.95 [*Colorado Libraries,* 2002]

Puíg de Lange, Victoria. *Sol Con Agua.* Nashville, Tenn.: Editorial Vistazo/Ediciones Reio Negro, 2002. 0-9724506-0-2, $17.75 [*Críticas*, 2002]

The Encyclopedia of Latin American Politics. Edited by Diana Kapiszewski; assistant editor, Alexander Kazan. Westport, Conn.: Oryx Press, 2002. 1-57356-306-4, $74.95 [*Library Journal*, 2002]

Vargas Lizano, Isabel. *Y Si Quieres Saber de Mi Pasado.* Con la colaboración de J.C. Vales. Madrid: Santillana Ediciones Generales, S.L., 2002. 84-03-09278-4, $18.95 [*Críticas*, 2002]

Ruy Sánchez, Alberto. *Los Jardines Secretos de Mogador: Voces de Tierra.* México, D.F.: Alfaguara, S.A., 2001. 968-19-0879-1, $16.95 [*Críticas*, 2002]

Chávez, Ricardo and Celso Santajuliana. *El Final de las Nubes.* Barcelona: RBA Libros, S.A., 2001. 84-7901-760-0, $11.95 [*Críticas*, 2002]

The Power of Language / El Poder de la Palabra: Selected Papers from the Second REFORMA National Conference. Edited by Lillian Castillo-Speed and the REFORMA National Conference Publications Committee. Englewood, Colo.: Libraries Unlimited, 2001. 1-563089459, $35.00 [*Colorado Libraries,* 2001]

Martínez, Rubén. *Crossing Over: A Mexican Family on the Migrant Trail.* Metropolitan: Holt, 2001. 0-8050-4908-8, $26.00 [*Library Journal*, 2001]

Guevara, Ernesto "Che." *The African Dream: the Diaries of the Revolutionary War in the Congo.* Translated from the Spanish by Patrick Camiller. With an introduction by Richard Gott and a foreword by Aleida Guevara March. New York: Grove Press, 2001. 0-8021-3834-9, $13.00 [*Library Journal*, 2001]

Cooper, Gail and Garry Cooper. *New Virtual Field Trips.* Englewood, Colo.: Libraries Unlimited, 2001. 1-56308-887-8, $27.50 [*Colorado Libraries,* 2001]

NATIONAL SERVICE & PROFESSIONAL AFFILIATIONS

American Library Association, Life Member
Association for Library Collections & Technical Services (now CORE)
Cataloging, Classification, and Metadata Section
ALCTS Editorial Board, Member, 2015-2019
Committee on Cataloging: Description and Access, Member, 2008-2012
Test Site for RDA, Manager, 2009-2011
Nominating Committee, Member, 2007-2008
Committee for Education and Training of Catalogers, Chair, 2006-2007, Member, 2003-2007
Competencies & Education for a Career in Cataloging Interest Group, Chair, 2010-2012; Member, 2010-
President's Program Committee, 2015-2016
Education Committee, Member, 2005-2007
Task Force on Competencies and Education for a Career in Cataloging, Chair, 2007-2009
2007 Annual Meeting Pre-conference, "What They Don't Teach in Library School: Competencies, Education and Employer Expectations for a Career in Cataloging," Steering Committee Chair
Fundraising Committee, Member, 2004-2006
Office of Diversity
Committee on Diversity, Chair, 2010-2011
Diversity Research Advisory Committee, Member, 2009-2010
Spectrum Scholar Mentor, 2009
Diversity Grants Review Committee, Member, 2007, 2010, 2012
Office of Statistics and Research
Research and Statistics Committee, Member, 2005-2007; Intern, 2003-2005
Library Research Round Table
Nominating Committee, 2022-2023
Membership Committee, 2019-2021
Board of Directors, Member At-Large, 2009-2012
Office of Accreditation
Accreditation Panel Member (training completed 2004)
Accreditation Review Panel Member (training completed 2004)

REFORMA, Life Member
National Board of Directors, 2005-2013
National Fundraising Chair, 2005-2013
National Recruiting and Mentoring Committee, 2008-2010
Colorado Chapter, Secretary, 2004-2005
Colorado Chapter Liaison to National Board of Directors, 2004-2013

American Association of Law Libraries (AALL), Life Member Online Audiovisual Catalogers Association (OLAC) Colorado Association of Law Librarians (CoALL)

American Association of University Women Association for Library and Information Science Education (ALISE)
University of Denver ALISE Representative, 2003-2008; 2010-2011
Membership Advisory Committee, 2007-2010
Technical Services Education Special Interest Group, 2003-
Garfield Doctoral Dissertation Award Reviewer, 2012
Garfield Doctoral Dissertation Scholarship Reviewer, 2014
American Association of University Professors (AAUP), 2006-

American Grant Writers Association, 2014- Grant Professionals Institute, 2016- National Grants Management Association, 2014-
Grant Reviewer (National and Regional Team Leader), U.S. Department of Education, 1998- Grant Reviewer, Broadband Technologies Opportunity Program, U.S. Department of Commerce, 2009
Grant Reviewer, Institute of Museum and Library Services, 1998-2000
Grant Reviewer, Colorado Department of Education, 2014-
Grant Reviewer, Colorado State Library, 1998-
Grant Reviewer, American Association of Community Colleges *Working Connections* program, 1999
Peer Reviewer, *Journal for Library and Information Science Education,* 2005-
Peer Reviewer, *Journal of Library Metadata,* 2009
Peer Reviewer, *International Journal of Library and Information Science,* 2011
Regular Columnist, *The Bottom Line*, 2013-2016
Book Reviewer, *Library Journal*, 2001
Book Reviewer, *Críticas*, 2002-2009
Book Reviewer, *Colorado Libraries,* 2000-2012

REGIONAL SERVICE & PROFESSIONAL AFFILIATIONS

Mountain Plains Library Association
Professional Development Grants Committee, Member, 2005-2006
Professional Development Policy and Guidelines Sub-committee Chair, 2006

Colorado Association of Libraries
"Student Voices" Column Editor, *Colorado Libraries,* 2005-2006
Conference Planning Committee, Member, 2002
Technical Services and Automation Division, Chair, 2002-2003; Member, 2000-
Academic Libraries Division, Peer Review Conference Papers Committee, Member, 2007-2009
Education Committee, Member, 1988-1993
Diverse Populations Committee, Member, 2009-2013

SERVICE TO THE UNIVERSITY OF DENVER
Center for Teaching and Learning Faculty Advisory Board, 2007-2008; 2010-2012
Center for Community Engagement and Service Learning Advisory Board, 2007-2012
Faculty Senate
Executive Committee, 2008-2013
Nominations, Rules & Credentials Committee, Chair, 2008-2013; Member 2007-2013
Appointment, Promotion, and Tenure Revision Committee, 2010-2012
Grievance Policy Committee Member, 2007-2010
Law Library Director Search Committee Chair, 2012-2013
PROF Grant Review, College Representative to the University Review Team, 2006, 2008
Project Homeless Connect Evaluations, Principal Investigator, 2006-2009
University Technology Council, 2007-2009
University of Denver Hyde Interviews for Incoming Freshmen, 2003-2012

SERVICE TO THE MORGRIDGE COLLEGE OF EDUCATION
Appointment, Tenure & Review Committee
Member, voting, 2003-2005; 2007- 2009
Chair, Clinical Faculty Promotion & Tenure Policy Subcommittee, 2008-2009
Member, Community Engagement Subcommittee, 2007-2009
Member, Tenure Review Panel, 2003
Advancement and Alumni Relations Committee, Chair, 2003-2007; Member, 2002-2007
College Building Committee Member, 2004-2010

College of Education Student Association, Faculty Advisor, 2004-2007; 2009-2010
Faculty Senator, 2007-2013
Research and Scholarship Committee, Chair, 2008-2009; Member, 2002-2003, 2008-2009
Research and Grant Mentoring Committee, Chair, 2009-2010
Research Task Force Member, 2010-2012
Search Committee Member, Assistant Professor for Curriculum & Instruction, 2010-2011
Workload Task Force Member, 2010-2011

SERVICE TO THE LIBRARY & INFORMATION SCIENCE PROGRAM

Library and Information Science Student and Alumni Association, Faculty Advisor, 2002-2008
ALA Student Chapter, Faculty Advisor, 2005-2008
Beta Phi Mu Phi Chapter, Faculty Advisor, 2004-2014
Steering Committee Member, Accreditation by the American Library Association, 2001-2004
Search Committee Member, Associate Professor for LIS, 2006
Search Committee Chair, Assistant Professors for LIS, 2003, 2005, 2006, 2007
Search Committee Ex-Officio Member, Director for LIS, 2004

SERVICE TO SAM HOUSTON STATE UNIVERSITY

Rio Roundup: South Texas Literature Conference, Conference Coordinator, 1993
External Relations, Fund Raising, Grants Committee, Chair, 1993-1995
Advisory Council Committee, Chair, 1993-1995
Students, Admissions, and Advisement Committee, Chair, 1994
Institutional Effectiveness Committee, Member, 1993-1995

SERVICE TO THE COLLEGE OF EDUCATION AND APPLIED SCIENCE

Faculty Affairs Committee, Member, 1993-1995
Curriculum Committee, Member, 1993-1995
Continuing Education Committee, Member, 1993-1995

SERVICE TO THE COMMUNITY

French Teacher & Student Exchange with Laon, France, Monarch High School, Boulder Valley School District (Boulder, Colorado), 2017-
Denver/Boulder Games 2022, Board of Directors, Secretary-Treasurer, 2015-2018
United Way Campaign Committee, College of Education, 2006
Arapahoe County, Election Judge for the County Clerk and Recorder, 2000-2005
Arthritis Foundation, Rocky Mountain Chapter, Certified Educator & Trainer, 1999-2008
Bonfils Blood Center, Silver Level Donor, 2000-2016
Denver Museum of Natural History, Docent, 1992
Tech Prep of the Lower Rio Grande Valley, Inc. (Harlingen, Texas) Board of Directors, 1995-1997
Executive Committee, 1996-1997; Chair, Development Committee, 1995-1997; Chair, Fiscal Agency Committee, 1995-1996; Chair, Colleges and Universities Committee, 1996-1997
Gilpin County (Colorado) Public Library Board of Trustees, 1986-1989; Vice President, 1987-1989
City of Central (Colorado) Economic Development Committee, 1987-1989
Columbine Family Health Centers, Inc. (Nederland and Black Hawk, Colorado) Board of Directors, 1988-1989

CERTIFICATION

Permanent Public Librarian Certificate - Pennsylvania, New York, Texas
Westlaw Expert Witness, 2008-
Certified Grant Writer®, 2016-

AWARDS AND HONORS

Advanced Practitioner for Service Learning and Community Engagement, University of Denver, 2011

Platinum Star Alumnae, College of Information, Library Science & Technologies, University of North Texas, 2009
Commendation for Integration of Technologies in Teaching & Learning Environment, University of Denver, 2006
Outstanding Adjunct Faculty Member Award, College of Education, University of Denver, 2002
Beta Phi Mu, Pi, University of Pittsburgh, 1985
Alpha Lambda Sigma, University of North Texas, 1972
Albert Nelson Marquis Lifetime Achievement Award, Who's Who in America
Who's Who in American Women
Who's Who of Women Executives
Dictionary of International Biography
Who's Who in the East
Who's Who in the South and Southwest
Who's Who in the World
Who's Who of Online Professionals
Who's Who in Library and Information Science
2,000 Notable Women
Who's Who of Emerging Leaders
Who's Who in Professional and Executive Women
Who's Who in American Education
International Who's Who of Professional and Business Women
International Leaders in Achievement
International Educator of the Year
Who's Who in Finance and Industry
Who's Who in Finance and Business

Invited International and National Conference Presentations

Driscoll, Margaret, Christy Confetti Higgins, Scott Brown, and Sylvia D. Hall-Ellis. *A View from Within: Open House Tour of Three Canvas Core Courses*. Presentation to be delivered at the School of Information, San José State University Professional Development Seminar, San José, California, October 17, 2019.

Hall-Ellis, Sylvia D. *Invest in Me -- I'm Your Future: Succession Planning for Libraries.* Keynote presentation delivered at the ALCTS President's Symposium, Boston, Mass., January 8, 2016.

Seidel, Kent E. and Sylvia D. Hall-Ellis. *Making Grants Work for You: Strategies for Doctoral Students and Early Career Scholars.* Presentation delivered at the University Council for Educational Administration, Early Career Scholars Session, Indianapolis, Ind., November 9, 2013.

Hall-Ellis, Sylvia D. *So You Want to be a Manager; Leadership Skills and Competencies for Technical Services Managers and Administrators.* Presentation delivered at the 138th Annual Conference, American Library Association, Chicago, Ill., June 29, 2013.

Seidel, Kent E., Karen S. Riley, Lyndsay Agans, Susan Korach, and Sylvia D. Hall-Ellis. *Making Grants Work for You (Instead of Just Working for Grants).* A panel discussion delivered at the University Council for Educational Administration, Early Career Scholars Session, Denver, Colo., November 17, 2012.

Hall-Ellis, Sylvia D. *After the Great TS Reorganization: The Westminster Law Library.* Presentation delivered at the 137th Annual Conference, American Library Association, Anaheim, Calif., June 23, 2012.

Hall-Ellis, Sylvia D. *Conversations with Catalogers in the 21st Century.* A panel discussion sponsored by the ALCTS Competencies for a Career in Cataloging Interest Group, delivered at the 137th Annual Conference, American Library Association, Anaheim, Calif., June 22, 2012.

Hall-Ellis, Sylvia D., moderator. *Mid-Career Leaders Program.* A panel discussion sponsored by the ALA Committee on Diversity delivered at the 136th Annual Conference, American Library Association, New Orleans, La., June 26, 2011.

Hall-Ellis, Sylvia D., moderator. *Diversity Town Hall.* A community conversation sponsored by the ALA Committee on Diversity delivered at the 136th Annual Conference, American Library Association, New Orleans, La., June 24, 2011.

LaBarre, Kathryn, Sylvia D. Hall-Ellis, Karen Anderson, Rick Hasenyager, Christopher Cronin, and Penny Baker. *Briefings from RDA Test Participants.* A panel discussion delivered at the Midwinter Conference, American Library Association, San Diego, Calif., January 7, 2011.

Miksa, Shawne, Marjorie Bloss, and Sylvia D. Hall-Ellis. *Educating the Next Generation of Catalogers: Teaching RDA.* A panel discussion delivered at the 97th Annual Conference, Association for Library and Information Science Education, San Diego, Calif., January 7, 2011.

Hall-Ellis, Sylvia D., Robert Maxwell, John Hostage, and George Prager. *RDA Panel: What Cataloging Managers Need to Know.* Presentation delivered at the 103rd Annual Conference, American Association of Law Librarians, Denver, Colo., July 12, 2010.

Hall-Ellis, Sylvia D. and Stacey L. Bowers. *Catalogers in the RDA Environment: Skill Sets, Expectations and Challenges.* Presentation delivered at the 103rd Annual Conference, American Association of Law Librarians, Denver, Colo., July 11, 2010.

Hall-Ellis, Sylvia D. *Comfortable in Your Cataloging and Metadata Specialist Skin? Or, So You Want to Hire a Cataloger.* Presentation delivered to the ALCTS Research Group at the 134th Annual Conference, American Library Association, Chicago, Ill., July 11, 2009.

Perez, Megan, Sylvia D. Hall-Ellis, and Denise Anthony. *From Novice to Expert: Collaboration for Succession Planning.* A "hot topic" presentation delivered at the 14th ACRL Conference, Seattle, Wash., March 13, 2009.

Hall-Ellis, Sylvia D. *Cataloging in the RDA Environment: Skill Sets, Expectations and Challenges.* Presentation delivered to the ALCTS Research and Publications Committee at the Midwinter Conference, American Library Association, Denver, Colo., January 24, 2009.

Hall-Ellis, Sylvia D. *LIS Cataloging Education for the 21st Century: Expectations and Challenges.* A panel discussion held at the 95th Annual Conference, Association for Library and Information Science Education, Denver, Colo., January 23, 2009.

Chu, Clara, Sylvia D. Hall-Ellis, and Mark Winston. *The Doctoral Degree & Building a Career.* A panel discussion delivered at the ALA Office of Diversity Spectrum Doctoral Fellows E.J. Josey Leadership Institute, Midwinter Conference, American Library Association, Denver, Colo., January 20, 2009.

Hall-Ellis, Sylvia D. and Robert O. Ellett, Jr. *Fundamentals of Cataloging Course: An Overview of the ALCTS Online Course.* Presentation delivered to the ALCTS Big Heads Group, 133rd Annual Conference, American Library Association, Anaheim, Calif., June 30, 2008.

Hall-Ellis, Sylvia D. *Employers' Expectations for Technical Services Librarians: What We Don't Know.* Presentation delivered to the ALCTS Research and Publications Committee Program, 133rd Annual Conference, American Library Association, Anaheim, Calif., June 28, 2008.

Hall-Ellis, Sylvia D., Virginia R. Maloney, and Mary Stansbury. *Institutional Responses to Engaged Scholarship: The Carnegie Foundation Engaged University Classification at Two Universities.* Presentation delivered to the 94th Annual Conference, Association for Library and Information Science Education, Philadelphia, Pa., January 11, 2008.

Hall-Ellis, Sylvia D. *Puzzles, Problems, and Predicaments.* Presentation delivered to the ALCTS Research Discussion Group, 132nd Annual Conference, American Library Association, Washington, D.C., June 23, 2007.

Hall-Ellis, Sylvia D. *Cataloging Education: A New Emphasis for the Library and Information Science Curriculum.* Presentation delivered to the ALCTS Pre-conference, 132nd Annual Conference, American Library Association, Washington, D.C., June 22, 2007. http://www.loc.gov/catdir/cpso/careercat.html

Ellett, Jr., Robert O. and Sylvia D. Hall-Ellis. *Copy Cataloging Done Smarter.* Presentation delivered to the International Conference on Interdisciplinary Information Sciences and Technologies (InSciT2006), October 25-28, 2006.

Hall-Ellis, Sylvia D. and Robert O. Ellett, Jr. *Cooperative Cataloging: Challenges and Opportunities for Defense Libraries.* Presentation delivered to the 1st Annual Conference of Defense Libraries, Spanish Ministry of Defense, Madrid, Spain, July 7, 2006.

Hall-Ellis, Sylvia D. *Cataloger Competencies: Do the Employers Require What the Professors Teach?* Presentation delivered to the ALCTS CCS Heads of Cataloging Discussion Group, 131st Annual Conference, American Library Association, New Orleans, La., June 26, 2006.

Grealy, Deborah S. and Sylvia D. Hall-Ellis. *From Research to Practice: The Scholarship of Teaching and Learning in LIS Education.* Presentation delivered to the at the 92nd Annual Conference, Association for Library and Information Science Education, San Antonio, Tex., January 18, 2006.

Hall-Ellis, Sylvia D. *Employers' Expectations for Entry-Level Catalogers: What Position Announcement Data Indicate.* Research paper delivered to the Technical Services Special Interests Group, 91st Annual Conference, Association for Library and Information Science Education, Boston, Mass., January 12, 2005. http://dlist.sir.arizona.edu/

Hall-Ellis, Sylvia D. *Common Errors in MARC Records Prepared by LIS Students: What Does It Mean?* Research paper delivered to the ALCTS CCS Cataloging Norms Discussion Group, Mid-Winter Conference, 90th American Library Association, San Diego, Calif., January 10, 2004.

Hall-Ellis, Sylvia D. *Visual Arts as Foundation for Successful Library Automation: The Rocky Mountain College of Art & Design Experience.* Paper delivered at the 6th Annual Conference of Higher Education, Charleston, S. C., 1993.

Hall, Sylvia D. *Design Elements for Bibliographic Databases: An Overview.* Paper delivered at the 14th Online National Conference, New York, 1983.

Invited Regional Conference Presentations

Hall-Ellis, Sylvia D., Hudson, Christopher D., Brittany Cronin, and Kathryn Michaels. "The Colorado Law Project: Meeting the Public's Need for Legal Information." Panel discussion delivered at the Mountain Plains Library Association Conference, Billings, Mont., April 9, 2011.

Grealy, Deborah S. and Sylvia D. Hall-Ellis. *Education for Information Professionals in New Mexico: Library & Information Science Graduate Education at the University of Denver.* Presentation delivered at the New Mexico Library Education Summit, Las Vegas, N.M., September 26, 2005.

Hall-Ellis, Sylvia D. *Public Library-School Library Partnerships.* Presentation delivered at the 3rd Annual Colorado Association of Libraries Conference with the Mountain Plains Library Association, Denver, Colo., October 22, 2004.

Hall-Ellis, Sylvia D. *Learn All You Can – Educational Partnership Opportunities for the Lewis and Clark Bicentennial Commemoration.* Paper delivered at the 3rd Annual Lewis and Clark Bicentennial Council National Planning Conference, Bismarck, N.D., April 26, 1998.

Invited State Conference Presentations

Grealy, Deborah S. and Sylvia D. Hall-Ellis. *Academic Library Leadership Changes: Using Succession Planning and Mentoring*. Presentation delivered at the Minnesota Library Education Conference, St. Cloud, Minn., October 10, 2013.

Hall-Ellis, Sylvia D., Merrie Valliant, and Melissa Powell. *RDA: What Is It and What Do You Need To Do With It At Your Library?* Presentation delivered at the Colorado Library Consortium Spring Conference, Ft. Morgan, Colo., April 26, 2013.

Hall-Ellis, Sylvia D. *Service Learning and the Library & Information Science Graduate Education at the University of Denver.* Presentation delivered at the 4th LEADS Scholars Orientation, Denver, Colo., August 5, 2009.

Hall-Ellis, Sylvia D. *Service Learning: Enhancement to Library & Information Science Graduate Education at the University of Denver.* Presentation delivered at the 3rd LEADS Scholars Orientation, Denver, Colo., June 2008.

Hall-Ellis, Sylvia D. *Law Librarianship: A Community Conversation.* Sponsored by the Colorado Association of Law Libraries. Paper delivered at the Colorado Supreme Court Library, Denver, Colo., May 14, 2008.

Hall-Ellis, Sylvia D. *Opportunities and Challenges in Law Librarianship: A Community Conversation.* Presentation delivered at the Sturm College of Law, Denver, Colo., November 7, 2007.

Ellis, Sylvia D. *Grant Writing Resources for Nursing Professionals.* Presentation delivered at the Presbyterian / St. Luke's Health One Medical Center 1st Annual Research Symposium, Denver, Colo., October 17, 2007.

Hall-Ellis, Sylvia D. *Project Homeless Connect 4 Event Evaluation – Insights and Lessons Learned.* Presentation delivered at the Homelessness Research Symposium: What is DU Doing about Homelessness in Denver, Denver, Colo., September 14, 2007.

Hall-Ellis, Sylvia D. *Service Learning and the Library & Information Science Graduate Education at the University of Denver.* Presentation delivered at the 2nd LEADS Scholars Orientation, Denver, Colo., August 10, 2007.

Hall-Ellis, Sylvia D. *Education for Information Professionals in a Digital Environment: Library & Information Science Graduate Education at the University of Denver.* Presentation delivered at the 15th Spring Mountains and Plains Parapros Conference, Denver, Colo., February 24, 2007.

Hall-Ellis, Sylvia D. *Public Library Service to Spanish-Speaking and Latino Residents in Denver: A Case Study.* Presentation delivered at the 4th Annual Colorado Association of Libraries Conference, Denver, Colo., November 10, 2005.

Hall-Ellis, Sylvia D. *Education for Information Professionals in a Digital Environment: Library & Information Science Graduate Education at the University of Denver.* Presentation delivered at the 14th Annual Mountains and Plains Parapros Conference, Aurora, Colo., July 29, 2005.

Hall-Ellis, Sylvia D. *Educational Opportunities: Library & Information Science Graduate Education at the University of Denver.* Presentation delivered at the 13th Annual Mountains and Plains Parapros Conference, Centennial, Colo., August 6, 2004.

Hall-Ellis, Sylvia D. *Library Education & Training: Focus on the West: An LIS Faculty Member's Personal Response*. Presentation delivered at the 1st Annual Colorado Association of Libraries Conference, Keystone, Colo., October 18, 2002.

Hall-Ellis, Sylvia D. *Grant Writing for School Librarians.* Presentation delivered at the 2002 Annual Colorado Education Media Association Conference, Colorado Springs, Colo., February 15, 2002.

Hall-Ellis, Sylvia D. *Grants – Opportunities for the Future.* Paper delivered at the Southeast Regional Accountability Annual Conference, Lamar, Colo., November 12, 1998.

Hall-Ellis, Sylvia D. *The Texas Library Connection and Interlibrary Loan: An Experiment in Resource Sharing.* Paper delivered at the Texas Computer Educators' Association Annual Conference, Austin, Tex., February 6, 1997.

Hall-Ellis, Sylvia D. *Finding Grant Sources on the Internet: A Guide for Librarians.* Paper delivered at the 2nd Annual Institute for School Library Personnel, Pharr-San Juan-Alamo North High School, Pharr, Tex., July 29, 1996**.**

Hall-Ellis, Sylvia D. *Mathematical and Logical Thinking: A Critical Intelligence.* Paper delivered at the 3rd Annual Paraprofessional Conference at the University of Texas - Pan American, Edinburg, Tex., March 8, 1996.

Hall-Ellis, Sylvia D. *Cataloging Trends and Issues: Update Session.* Paper delivered at the 1st Annual Institute for School Library Personnel, South Texas Community College, McAllen, Tex., July 19, 1995.

Hall-Ellis, Sylvia D. *Grant Writing: Tips and Encouragement for School Librarians.* Paper delivered at the 1st Annual Institute for School Library Personnel, South Texas Community College, McAllen, Tex., July 18 and 19, 1995.

Hall-Ellis, Sylvia D. *How to Become an Expert Grant Writer.* Paper delivered at the 3rd Annual High School Principals' Academy, South Padre Island, Port Isabel, Tex., June 19, 1995.

Texas Library Study: Results from Regions I and II. Paper delivered at the 3rd Annual Technology Conference, Texas A&M University, College Station, Tex., November 18, 1994.

Hall-Ellis, Sylvia D. *Academic Achievement and Middle School Students.* Paper delivered at the 8th Annual Young Adult Conference, Sam Houston State University, Huntsville, Tex., November 5, 1994.

Hall-Ellis, Sylvia D. *Multimedia Resources for Library Leaders.* Paper delivered at the Institute for Librarians in A Multicultural Environment, Sam Houston State University, Huntsville, Tex., June 10, 1994.

Hall-Ellis, Sylvia D. *Finding the Resource: Empowering the User, or, the Case for Curriculum Based Subject Access to Learning Resource Center Collections.* Paper delivered at the 81st Annual Texas Library Association Conference, Corpus Christi, Tex., April 12-16, 1994.

Hall-Ellis, Sylvia D. and William H. Pichette. *Sam Houston State University Makes Use of OCLC/AMIGOS Collection Analysis CD.* Paper delivered at the 81st Annual Texas Library Association Conference, Corpus Christi, Tex., April 12-16, 1994.

Hall, Sylvia D. *Funding and Library Development in Pennsylvania: A Symbiotic Relationship.* Paper delivered at the Annual Graduate Student Colloquia, University of Pittsburgh, School of Library and Information Science, 1982.

Hall, Sylvia D. *Leadership for Public Library Trustees.* Paper delivered for the Trustees Division, Pennsylvania Library Association Annual Conference, Lancaster, Penn., 1981.

Seminar and Professional Development Presentations

Taylor, Meredith, and Sylvia D. Hall-Ellis. *Talent Management and Succession Planning.* ALCTS eForum, held March 22, 2017.

Hirsh, Sandra, Heather O'Brien, Michelle Holschuh Simmons, Michael Krasulski, and Sylvia D. Hall-Ellis. *Information Services Today: An Introduction. Part 3: Information Services: Roles in the Digital Age*. Rowan and Littlefield in partnership with Library Journal webinar, recorded February 5, 2015.

Hall-Ellis, Sylvia D. and Jennifer Sweda. *Copy Cataloging in an RDA Environment.* ALCTS eForum, held May 14 and 15, 2013.

Hall-Ellis, Sylvia D. *Law Librarianship: A Community Conversation.* Sponsored by the Colorado Association of Law Libraries, presented at the Colorado Supreme Court Library, Denver, Colo., May 14, 2008.

Hall-Ellis, Sylvia D. and Beatrice Z. Gerrish. *Reading and Libraries: Recent Research in Reading.* Presentation at the Ricks Center for Gifted Education, Denver, Colo., March 4, 2008.

Hall-Ellis, Sylvia D. *Cataloger Competencies: Do the Employers Require What the Professors Teach?* Presentation for the School of Library and Information Science, San José State University, February 12, 2008.

Hall-Ellis, Sylvia D. and Robert O. Ellett, Jr. *Cooperative Cataloging: Rules, Tools, and Conventions for Building a Multi-institutional Catalog.* Sponsored for the Spanish Ministry of Defense, Madrid, Spain, July 10, 2006.

Hall-Ellis, Sylvia D. *Cash for Kids: Grant Writing Opportunities for Youth Services Librarians.* Sponsored by the Colorado Young Adult Librarians; presented at Bemis Memorial Library, Littleton, Colo., November 13, 2002.

Hall-Ellis, Sylvia D. *MARC Records and Authority Control: Planning for Bibliographic Database Migration.* Sponsored and held at the Douglas County Public Library, Castle Rock, Colo., May 28, 2002.

Grant Writing: A Refresher for Librarians. Sponsored by Library and Information Science Program, College of Education, University of Denver; presented at University Center at Chaparral, August 12, 2000.

Hall-Ellis, Sylvia D. *Shaking the Money Tree – Basic Grant Writing for Colorado Educators.* Sponsored by the Office of Educational Telecommunications of the Colorado Department of Education.
Pikes Peak Community College, Colorado Springs, Colo., November 16, 1998.
Pueblo School District 60, Pueblo, Colo., November 12, 1998.
University of Northern Colorado, Greeley, Colo., November 10, 1998.
United Technology Educational Partnership, Grand Junction, Colo., November 9, 1998.

Hall-Ellis, Sylvia D. *Cataloging Multimedia, Kits, Globes and Map Materials in USMARC.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., April 24, 1997 and December 12, 1996.

Hall-Ellis, Sylvia D. *New Standards for School Library Media Centers.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., April 15, 1997.

Hall-Ellis, Sylvia D. *The School Library Media Specialist in the 21st Century: Visions for the Future.* Sponsored and hosted by Pharr-San Juan-Alamo Independent School District, Pharr, Tex., April 4, 1997.

Hall-Ellis, Sylvia D. *Cataloging Sound Recordings and Audio Materials in USMARC.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., March 20, 1997 and November 21, 1996.

Hall-Ellis, Sylvia D. *Evaluating and Selecting CD-ROMS for School Library Media Collections.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., March 18, 1997.

Hall-Ellis, Sylvia D. *Cataloging Audiovisual Materials in USMARC.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., February 27, 1997, November 7, 1996 and October 24, 1996.

Hall-Ellis, Sylvia D. *Introduction to Dialog: Basic Searching Strategies.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., February 14, 1997.

Hall-Ellis, Sylvia D. *Cataloging Books in USMARC.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., January 30, 1997, October 10, 1996, September 26, 1996 and August 8, 1996.

Hall-Ellis, Sylvia D. *Advanced Internet Searching Techniques for Librarians.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., January 21, 1997.

Hall-Ellis, Sylvia D. *Texas Library Connection Full-Text Searching.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., December 13, 1996 and October 25, 1996.

Hall-Ellis, Sylvia D. *Developing Evaluation Strategies for Grants and Proposals.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., December 10, 1996.

Hall-Ellis, Sylvia D. *Developing Needs Assessment for Grants and Proposals.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., November 12, 1996.

Hall-Ellis, Sylvia D. *Texas Library Connection Union Catalog Searching.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., November 8, 1996 and September 6, 1996.

Hall-Ellis, Sylvia D. *Grant Resources on the Internet.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., October 23, 1996.

Hall-Ellis, Sylvia D. *Preparing a Response to the Telecommunications Infrastructure Fund Board: Needs Assessment, Professional Development Framework, and Evaluation Strategies.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., October 16, 1996.

Hall-Ellis, Sylvia D. *Texas Library Connection: Building the Districtwide Bibliographic Database.* Sponsored and hosted by Mercedes Independent School District, Mercedes, Tex., September 13, 1996 and August 8, 1996. Sponsored and hosted by Los Fresnos Consolidated Independent School District, Los Fresnos, Tex., August 12, 1996.

Hall-Ellis, Sylvia D. *School-to-Work and Special Education: An Inclusive Partnership for Success.* Sponsored and hosted by the Office of Special Education, Region One Education Service Center, Edinburg, Tex., September 11, 1996.

Hall-Ellis, Sylvia D. *Telecommunications Infrastructure Fund Board: An Overview of Funding for Secondary Schools.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., August 31, 1996.

Hall-Ellis, Sylvia D. *Cataloging Books, Multimedia, and Realia in USMARC.* Sponsored and hosted by Edinburg Consolidated Independent School District, Edinburg, Tex., August 6, 1996.

Hall-Ellis, Sylvia D. *Internet Resources for Grant Writers.* Sponsored and hosted by Region One Education Service Center, Edinburg, Tex., April 20, 1996.

Hall-Ellis, Sylvia D. *Enhanced Grant Writing Skills for Mathematics Educators: Writing Skills for Campus Teams.* Sponsored and hosted by the Office of General Education, Region One Education Service Center, Edinburg, Tex., March 22, 1996.

Hall-Ellis, Sylvia D. *Internet Resources for Grant Writers.* Sponsored and hosted by the College of Education and Applied Science, Sam Houston State University, Huntsville, Tex., March 9, 1996.

Hall-Ellis, Sylvia D. *Grant Writing for Mathematics Educators: A Development Process for Campus Teams.* Sponsored and hosted by the Office of General Education, Region One Education Service Center, Edinburg, Tex., March 4, 1996.

Hall-Ellis, Sylvia D. *Shaking the Money Tree: Preparing Successful Technology Grant Applications.* Sponsored and hosted by the Office of Technology and Media Services, Region One Education Service Center, Edinburg, Tex., February 29, 1996 and April 29, 1996.

Hall-Ellis, Sylvia D. *District-wide Technology Planning: Technical Assistance for the Texas Education Agency Initiative.* Sponsored and hosted by the Office of Technology and Media, Region One Education Service Center, Edinburg, Tex., February 2, 1996.

Hall-Ellis, Sylvia D. *Enhanced Grant Writing Skills.* Sponsored and hosted by Pharr-San Juan-Alamo Independent School District, Pharr, Tex., January 27, 1996.

Hall-Ellis, Sylvia D. *United States Copyright Act of 1976, Video Transmissions, Computer Software and the Internet.* Sponsored and hosted by the Office of Technology and Media, Region One Education Service Center, Edinburg, Tex., January 24, 1996.

Hall-Ellis, Sylvia D. *The Grant Writing Development Process.* Sponsored and hosted by Pharr-San Juan-Alamo Independent School District, Pharr, Tex., January 20, 1996.

Hall-Ellis, Sylvia D. *MARC Cataloging of Materials for Library Media Centers.* Sponsored and hosted by the Office of Media Services, Region One Education Service Center for the Donna Independent School District, Donna, Tex., August 17, 1995.

Hall-Ellis, Sylvia D. *Classification.* Sponsored by AJ Seminars, Rockville, Maryland; presented at University Hilton Hotel, Houston, Tex., May 10, 1995.

Hall-Ellis, Sylvia D. *Library Technical Services.* Sponsored by AJ Seminars, Rockville, Maryland; presented at University Hilton Hotel, Houston, Tex., April 26, 1995.

Hall-Ellis, Sylvia D. *Grant Writing: An Introduction for Public School Administrators.* Sponsored and hosted by the Office of Administrative Services, Region One Education Service Center, Edinburg, Tex., April 19, 1995.

Hall-Ellis, Sylvia D. *The School Library Media Specialist in the 21st Century: Visions for the Future.* Sponsored and hosted by the United Independent School District, Laredo, Tex., March 31, 1995.

Hall-Ellis, Sylvia D. *Using USMARC.* Sponsored by AJ Seminars, Rockville, Maryland; presented at University Hilton Hotel, Houston, Tex., March 29, 1995.

Hall-Ellis, Sylvia D. *Library Media Center Policies and Guidelines: How to Prepare for School Board Adoption.* Sponsored and hosted by the Office of Technology and Media Services, La Joya Independent School District, La Joya, Tex., March 10, 1995.

Hall-Ellis, Sylvia D. *Developing District-wide Policies and Guidelines for Library Media Centers.* Sponsored and hosted by the Office of Technology and Media Services, La Joya Independent School District, La Joya, Tex., January 10, 1995.

Hall-Ellis, Sylvia D. *MARC Cataloging of Audiovisual Materials for Library Media Centers.* Sponsored and hosted by the Office of Library Media and Technology Services, Cypress-Fairbanks Independent School District, Houston, Tex., December 1, 1994.

Hall-Ellis, Sylvia D. *The School Library Media Specialist in the 21st Century: Visions for the Future.* Sponsored and hosted by United Independent School District, Laredo, Tex., October 14, 1994.

Hall-Ellis, Sylvia D. *The School Library Media Specialist in the 21st Century: Visions for the Future.* Sponsored and hosted by the Laredo Independent School District, Laredo, Tex., October 7, 1994.

Hall-Ellis, Sylvia D. *MARC Cataloging for Library Media Centers.* Sponsored by the Office of Library Media and Technology Services, Cypress-Fairbanks Independent School District, Houston, Tex., October 5, 1994.

Hall-Ellis, Sylvia D. *Jump to the Head of the Class: Undergraduate Library Resources Available at Sam Houston State University.* Sponsored by the Office of the Associate Vice President for Student Services, Sam Houston State University, Huntsville, Tex., October 4, 1994.

Hall-Ellis, Sylvia D. *CD-ROMs - 1994's Newest and the Best for Secondary Level Media Centers.* Sponsored and hosted by Clear Lake Independent School District, Houston, Tex., June 3, 1994.

Hall-Ellis, Sylvia D. *Introduction to Classification.* Sponsored by AJ Seminars, Rockville, Maryland; presented at Holiday Inn, Market Center, Dallas, Tex., May 18, 1994.

Hall-Ellis, Sylvia D. *Basic Descriptive Cataloging.* Sponsored by AJ Seminars, Rockville, Maryland; presented at University Hilton Hotel, Houston, Tex., May 4, 1994.

Hall-Ellis, Sylvia D. *Shaking the Money Tree - Part II: Writing Successful Grant Applications.* Sponsored by Donna Independent School District, Donna, Texas, and Region One Education Service Center Edinburg, Texas; presented at South Texas Community College Library, McAllen, Tex., April 29, 1994.

Hall-Ellis, Sylvia D. *Using MARC.* Sponsored by AJ Seminars, Rockville, Maryland; presented at Holiday Inn, Market Center, Dallas, Tex., April 6, 1994.

Hall-Ellis, Sylvia D. *Automated Authority Control.* Sponsored by AJ Seminars, Rockville, Maryland; presented at University Hilton Hotel, Houston, Tex., March 23, 1994.

Hall-Ellis, Sylvia D. *Automating the District School Library Media Centers: Choices and Opportunities.* Sponsored and hosted by the Office of Technology and Library Media Services, Fort Bend Independent School District, Sugar Land, Tex., March 4, 1994.

Hall-Ellis, Sylvia D. *Shaking the Money Tree - Part I: Preparing Successful Grant Applications.* Sponsored and hosted by the Office of Library Media Services, Donna Independent School District, Donna, Tex., February 4, 1994.

GRADUATE COURSES TAUGHT

San José State University, School of Library and Information Science
INFO 249 – Advanced Cataloging and Classification (Fall 2015, 2016; Summer 2016)
INFO 287 – Special Topics in Cataloging and Classification (Spring 2017, 2018, 2019, 2020, 2021, 2022, 2023; Fall 2020, 2021, 2022, 2023)
LIBR 248 – Beginning Cataloging and Classification (Summer 2002, 2003, 2004, 2005, 2006)
LIBR 249 – Advanced Cataloging and Classification (Summer 2003; Fall 2014; Summer 2015)

University of Denver, University College
Grant Writing Certificate Seminar for Non-Profit Organizations (Fall 2020, 2021; Spring 2021)

University of Denver, Morgridge College of Education HED
5991 – Grant Writing in Higher Education (Spring 2011)
LIS 4010 – Organization of Information (Fall 2002-2009; Winter 2005; Spring 2004-2006)
LIS 4020 – Professional Principles and Ethics (Summer 2000)
LIS 4040 – Management of Libraries and Information Centers (Fall 2010; Spring 2003, 2009 (DS); Winter 2005)
LIS 4070 – Cataloging and Classification (Winter 2008, 2009, 2010, 2011, 2012; Fall 2009 (DS)) LIS 4321 – Collection Management (Spring 2005)
LIS 4326 – LIS Research (Winter 2009 (DS); Spring 2009 (DS))
LIS 4350 – Adult Materials and Services (Summer 2006, 2009)
LIS 4379 – Social Sciences Resources (Spring 2009)
LIS 4400 – Cataloging and Classification (Spring 2000, 2001; Winter 2002-2009; Summer 2005, 2006 – course renumbered LIS 4070, September 2007)
LIS 4401 – Descriptive Cataloging (Winter 2001; Spring 2002, 2003, 2006-2009; Summer 2005)
LIS 4402 – Subject Cataloging (Spring 2001, 2009; Summer 2005, 2006, 2007, 2008)
LIS 4403 – Classification Schemes (Fall 2007, 2008, 2009 (DS)) LIS
LIS 4405 – Authority Control (Winter 2009)
LIS 4510 – Materials and Services for Children (Winter 2004; Summer 2005)
LIS 4620 – Grant Writing and Fundraising (Summer 2000, 2002, 2004, 2010; Winter 2006; Fall 2006-2009)
LIS 4700 – Seminar in Technical Services (Fall 2001)
LIS 4700 – Seminar in Public Libraries (Summer 2002)
LIS 4804 – Management of Electronic Records (Spring 2004; Fall 2005)
LIS 4902 – Capstone Projects (Winter 2003, Spring 2008, 2009, 2011)
LIS 4910 – Independent Study (every quarter Winter 2000 through Spring 2011)
LIS 4920 – Service Learning (every quarter Summer 2004 through Spring 2011)
RMS 4954 – Grant Writing (Summer 2013, 2014)
RMS 4959 – Content Analysis Methodology (Spring 2015)
QRM 4978 – Grant Writing (Summer 2011, 2012)

Rutgers University, School of Communication and Information
SC&I 522 – Cataloging and Classification (Summer 2013)

University of Arizona, College of Behavioral and Social Sciences
LIS 602 – Cataloging and Classification (Summer 1995)
LIS 612 – Advanced Online Search and Retrieval (Summer 1995)

Sam Houston State University, College of Education & Applied Science
LIS 532 - Cataloging and Classification (Fall 1993, 1994, 1995)
LIS 563 - Advanced Cataloging and Classification (Summer 1994)
LIS 567 - Research Methods (Spring 1994, Spring 1995)
LIS 591 - Educational Technology (Spring 1994, 1995)
LIS 596 - Networking and Computer Technologies in Education (Fall 1993, Summer 1994, Fall 1995)

Dissertations at the University of Denver

Bowers, Stacey L. *Library Anxiety of Law School Students: A Study Utilizing the Multidimensional Library Anxiety Scale.* Chair, May 5, 2010.

Fattor, Melissa M. *Student Engagement Differences by Ethnicity and Scale for Ninth Grade Students.* Chair, November 1, 2010.

Fulton, Roseanne. *A Case Study of Culturally Responsive Teaching in Middle School Mathematics.* Kent Seidel, Chair, June 18, 2009, Outside Chair.

Grealy, Deborah S. *Tribes and Territories in Library and Information Studies Education.* Bruce Uhrmacher, Chair, June 10, 2008, Committee Member.

McCord, J. Michael. *Developing a Standard of Care for Educational Malpractice.* Chair, April 15, 2011.

Priebe, Sarah J. *Distinguishing Effects of Domain and General Knowledge on Passage Fluency and Comprehension.* Jan Keenan, Chair. July 21, 2011, Outside Chair.

Snyder-Mondragon, Sandra M. *Institutional Factors that Impact the Retention of Graduate Students of Color in Schools of Library and Information Science: A Metaregression of Accredited Library School Statistics on Student Retention and Graduation Rates.* Kathy Green, Chair, July 24, 2009, Committee Member.

Taylor, Karen Pickles. *Effective Teaching.* Elinor Katz, Chair, July 15, 2009, Outside Chair.

Thompson, Jennifer. *Distinguishing a Western Women's College: A History of the Curriculum and Student Experience at Colorado Women's College.* Edith W. King, Chair, July 16, 2010, Committee Member.

Walker, Emelda. *Influence of Organizational Factors on Job Satisfaction of Disability Service Providers at Postsecondary Institutions.* Chair, April 29, 2010.

Dissertation Proposals at the University of Denver

Bowers, Stacey L. *Library Anxiety of Law School Students: A Study Utilizing the Multidimensional Library Anxiety Scale.* Chair, November 5, 2009.

McCord, J. Michael. *Developing a Standard of Care for Educational Malpractice.* Edith W. King, Chair. April 23, 2010.

Thompson, Jennifer. *History of Colorado Women's College.* Edith W. King, Chair, October 30, 2008. Committee Member.

Walker, Emelda. *Influence of Organizational Factors on Job Satisfaction of Disability Service Providers at Postsecondary Institutions.* Chair, July 21, 2009.

Dissertations at Other Institutions

Rodríguez-Mori, Howard. *The Information Behavior of Puerto Rican Immigrants to Central Florida, 2003-2009: Grounded Analysis of Six Case Studies Use of Social Networks during the Migration Process.* Kathleen Burnett, Chair, April 10, 2009, Florida State University, Committee Member.

Schwartz, Brian *More than a Look-up Skill: Medical Information Literacy Education in Osteopathic Medical Schools.* D. Mirah Dow, Chair, July 18, 2017. Emporia State University, Committee Member.

Snow, Karen. *A Study of the Perception of Cataloging Quality among Catalogers.* Shawne D. Miksa, Chair, August 1, 2011. University of North Texas, Committee Member.

Dissertation Proposals at Other Institutions

Schwartz, Brian *More than a Look-up Skill: Medical Information Literacy Education in Osteopathic Medical Schools.* D. Mirah Dow, Chair, December 4, 2015. Emporia State University, Committee Member.

Snow, Karen. *A Study of the Perception of Cataloging Quality among Catalogers.* Shawne D. Miksa, Chair, May 11, 2010. University of North Texas, Committee Member.

Master's Thesis at the University of Denver

Hemingson, Jeff. *Recital Paper.* Lamont School of Music, February 2010, Outside Chair.

Capstones at the University of Denver

Anthony, Alisa. *Correlation of Library and Information Science Program outcomes and Vacant Position Qualifications Listed on the Colorado State Library Jobline by Employers During the Period September 1, 2000 through August 31, 2002.* Chair, Winter Quarter, 2003.

Borden, Donna M. *Improving Emergency Communications Systems: Is a Radio Communications Network the Answer?* Fall Quarter, 2014.

Bowden, Heather L.M. *Exploring Biological Models for Long-term Data Preservation.* Chair, Spring Quarter, 2008.

Casenada, Cassandra Y. *Challenges to the Recruitment, Education, and Retention of Librarians of Color*. Chair, Spring Quarter, 2008.

Chang, Jennifer C. *Legal Research Practice and Preference: A Law Firm Perspective.* Chair, Spring Quarter 2011.

Ellis, Megan S. Fitzgerald. *Design of a Public Library Adult Volunteer Recruitment Program and Training Curriculum.* Chair, Winter Quarter, 2003.

Kircher, Kathy. *Development of a Library Pathfinder for Exobiology and Posting it on the Internet.* Chair, Winter Quarter, 2003.

Melhado, Loretta. *Design of St. John's Episcopal Church Library.* Chair, Spring Quarter, 2008.

Radcliff, Kathy. *Original Cataloging of Archival materials in the HERS Collection in Penrose Library.* Chair, Winter Quarter, 2003.

Sass, Carol Ann. *ACT Periodical Index: Access to Catholic Thinking Periodical Index: Web Index to Select Catholic Periodicals.* Spring Quarter 2000.

Stone, Sergio D. *Conducting Community Analysis for the Bemis Public Library (Littleton, Colorado) Using 2002 U.S. Census Data and the Online Outcome-Based Evaluation Toolkit.* Chair, Winter Quarter, 2003.

Tureson, Tamara. *Design and Use of an Information Audit Tool for Use in a Law Library.* Chair, Winter Quarter, 2003.

Tweed, Beth. *Evaluation of Email Reference Service in a Consumer Health Library Environment.* Chair, Winter Quarter, 2003.

GRANTS AND CONTRACTS

Student Learning in Agriculture STEM through Teacher Professional Development. Research team: Stanton Gartin (PI) and Cyndi Hofmeister, Northeast Junior College; Jeff Cash, Cheryl Sánchez, Anne-Marie Crampton, Lamar Community College; Suzanna Spears, Morgan Community College; Jack Wiley, Kerry Gabrielson, Trinidad State Junior College; Michael J. Miller, Colorado State University; Michael Womochil (Co-PI), Casey Sacks, and Sylvia D. Hall-Ellis, Colorado Community College System. U.S. Department of Agriculture, Agriculture and Food Research Initiative, Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative, $404,460 (2017-2020)

Leading and Achieving: the Colorado Agriculture Regional Consortium. Research team: Jack Wiley (PI), Kerry Gabrielson, Trinidad State Junior College; Jeff Cash (PI), Cheryl Sánchez, Anne-Marie Crampton, Lamar Community College; Suzanna Spears, Morgan Community College; Cyndi Hofmeister, Northeast Junior College; Michael Womochil (Co-PI), Casey Sacks, and Sylvia D. Hall-Ellis, Colorado Community College System. U.S. Department of Agriculture, Hispanic Serving Institutions Education Grants Program, $504,414 (2017-2020)

Cyber Prep Program Planning Grant. Research team: Debbie Sagen (PI), Brenda Lauer, Pikes Peak Community College; Gretchen Martin, Koiosa Insights; and, Sylvia D. Hall-Ellis, Colorado Community College System. U.S. Department of Commerce, Regional Alliances and Multi-stakeholder Partnerships to Stimulate (RAMPS) Cybersecurity Education and Workforce Development, $199,681 (2016-2018)

Career and Technical Education in Colorado: Pathways to Education and Employment. Research team: Heather McKay (PI), Rutgers University; Sarah Heath, Casey Sacks, Sylvia D. Hall-Ellis, Colorado Community College System. U.S. Department of Education, Institute of Education Sciences, $1,400,000 (2017-2021)

Pre-Alliance Planning Grant Colorado Community College Alliance. Research team: Victor Vialpondo (PI) and Janel Highfill, Community College of Aurora; Heidi Loshbaugh (Co-PI), Community College of Denver; Rick Reeves, Bill McGreevy, Liz Cox, and Kristin Aslin, Red Rocks Community College; Cathy Pellish, Front Range Community College; Samuel DeVries, Arapahoe Community College; Sylvia D. Hall-Ellis, Colorado Community College System. National Science Foundation, Louis Stokes Alliance for Minority Participation (LSAMP), $86,817 (2016-2017)

Towards Scalable Differentiated Instruction Using Technology-enabled, Competency-based, Dynamic Scaffolding. Research team: Karen Wilcox (PI) and Vijay Kumar, Center for Computational Engineering, Massachusetts Institute of Technology; Flora McMartin, Broad-based Knowledge; Quinsigamond Community College; and, Casey Sacks, and Sylvia D. Hall-Ellis, Colorado Community College System. U.S. Department of Education, First in the World Developmental Grant, $2,891,882 (2015-2019)

Colorado Strategic Partnerships Emergency Grant. Research team: Elise Lowe-Vaughn (PI), Amy Hodson, Celia Hardin, Barbara McBride, James Newby, Christopher Dewhurst, Nina Holland, Kate Anderson, MaryAnn Roe, Chrystalynn Chrystalynn, Elaine Edon, Mona Barnes, Tom Morgan, Rob Hanni, and Marie Valenzuela, Colorado Department of Labor and Employment; Steve Anton, Joelle Brouner, and, Katie Griego, Colorado Department of Human Services; Rebecca Holmes, Judith Martinez, and Jennifer Jirous, Colorado Department of Education; Emily Templin Lesh, Colorado Workforce Development Council; Cory Everett, Colorado Department of Regulatory Agencies; and, Casey Sacks and Sylvia D. Hall-Ellis, Colorado Community College System. U.S. Department of Labor, Strategic Partnerships Emergency Grant, $5,000,000 (2015-2017)

CAEL Jump Start Program: Competency-Based Education. Research team: Jerry Migler (PI), Casey Sacks, Debra Cohn, Thomas Hartman, and Sylvia D. Hall-Ellis, Colorado Community College System; Matt Jamison, Front Range Community College; Mike Coste, Red Rocks Community College; Amanda Corum, Pueblo Community College; Janet Colvin, Pikes Peak Community College; and, MaryAnn Matheny, Community College of Denver. Council for Adult and Experiential Learning, $15,000 (2015)

Summit on the Redesign of Developmental Education. Research team: Jerry Migler (PI), Casey Sacks, and Sylvia D. Hall-Ellis, Colorado Community College System; Chip Nava, Pueblo Community College; Kim Moultney, Arapahoe Community College; Debbie Ulibarri, Trinidad State Junior College; and, Kris Bernard, Front Range Community College. American Association of Community Colleges, $15,000 (2015-2016)

Equity in Excellence at Colorado Community Colleges. Research team: Keith Howard (PI) and Sylvia D. Hall-Ellis, Colorado Community College System; Estela Mata Bensimon, Center for Urban Education, University of Southern California; and Kerry Gabrielson, Trinidad State Junior College. Colorado Department of Higher Education, Colorado Opportunity Scholarship Program, $150,000 (2015-2016)

MBA High School of Business in Colorado. Research team: Laurie Urich (PI) and Sylvia D. Hall-Ellis, Colorado Community College System; Rudolph Sumpter and Beatrice Gerrish, Boulder Valley Schools; Keith Curry Lance, RSL Research Group. Colorado Department of Higher Education, Colorado Opportunity Scholarship Program, $501,295 (2015-2016)

Fullbridge Program in Colorado. Research team: Keith Howard (PI) and Sylvia D. Hall-Ellis, Colorado Community College System; Suzanna Spears, Fort Morgan Community College; and, Cheryl Sánchez and Anne-Marie Compton, Lamar Community College. Colorado Department of Higher Education, Colorado Opportunity Scholarship Program, $300,000 (2015-2017)

Colorado Community College System Alternative Credit Program. Research team: Jerry Migler (PI), Keith Howard, and Sylvia D. Hall-Ellis, Colorado Community College System. American Council on Education, $13,000 (2015)

Internationalization in Higher Education. Researcher: Samuel D. Museus. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Office of Internationalization, $8,000 (2014-2015)

International Perspectives on Bilingual Education. Researcher: Sharolyn Pollard-Durodola. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Office of Internationalization, $3,700 (2014-2015)

Cultivating Culturally Relevant and Responsive Curriculum and Pedagogy in College. Researcher: Samuel Museus. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, iRise Grant, $5,000 (2014-2015)

Project EMERGE (Educational Model for Evaluation and Replicability in Gifted Environments). Research team: Norma Hafenstein (PI) and Bruce Uhrmacher. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Lynde and Harry Bradley Foundation, $235,000 (2014-2015)

Collecting Asian American Refugee Stories. Researcher: Samuel Museus. Technical reviewer for MCE: Sylvia D. Hall-Ellis. American Educational Research Association, Research Grant, $5,000 (2014-2015)

Developing Expertise in Teaching K-5 Mathematics. Research team: Julie Sarama (PI) and Douglas H. Clements (Co-PI), in partnership with the School of Education at the University of Michigan. Technical reviewer for MCE: Sylvia D. Hall-Ellis. National Science Foundation, $130,344 (2013-2015)

Investigation of the Long-Term Outcomes for Special Education Students. Researcher: Antonio Olmos-Gallos. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Jefferson County School District, Office of Assessment, $40,000 (2014)

Refugee Community Collaboration. Researcher: Vicki Tomlin (PI) in partnership with the African Community Center and Jewish Family Service. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Center for Community Engagement and Service Learning, $14,854 (2014-2015)

Access to Mathematics for All. Research team: Richard S. Kitchen (PI), Nicole M. Russell (Co-PI), and Terrence Blackman (Co-PI), Curriculum Studies and Teaching Program, Morgridge College of Education; Álvaro Árias (Co-PI, Department of Mathematics); and, James Gray (Co-PI), Department of Mathematics, Community College of Aurora. Technical reviewer for MCE: Sylvia D. Hall-Ellis. National Science Foundation, The Robert Noyce Scholarship Program, Capacity Building Project, $349,926 (2014-2016)

Cognitive Test Battery for Intellectual Disabilities. Research team: Karen Riley (PI), Lyndsay Agans, Jessica Lerner, and Karin Dittrick-Nathan in partnership with David Hessl (PI), The MIND Institute at the University of California – Davis, and Elizabeth Berry-Kravis, Rush University Medical Center. Technical reviewer for MCE: Sylvia D. Hall-Ellis. National Institutes of Health, Outcome Measures for Use in Treatment Trials for Individuals with Intellectual and Developmental Disabilities (R01), $2,499,996 ($588,672 at DU) (2014- 2019)

Broadening Participation in Engineering among Women and Latino/as: A Longitudinal, Multi-Site Study. Researcher: Patton Garriott (PI) in partnership with the University of North Dakota and the University of Missouri. Technical reviewer for MCE: Sylvia D. Hall-Ellis. National Science Foundation, HER Core Research, $677,390 ($69,992 at DU) (2014-2019)

Designing a Teacher Evaluation System to Improve Teacher Effectiveness for Culturally and Linguistically Diverse Learners. Research team: María del Carmen Sálazar (PI), Jessica Lerner, and Kathy Green. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Professional Research Opportunities for Faculty, $29,988 (2014-2016)

Developing a College-Going Culture in Latina/O Families: Exploring the Influence of Funds of Knowledge on Family Outreach Programs. Researcher: Judy Marquez Kiyama. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Professional Research Opportunities for Faculty, $18,720 (2014-2016)

Assessment of Quality of Life in Neutral Implantation Surgery for the Treatment of Parkinson's Disease. Researcher: Cynthia McRae. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Office of Internationalization, $3,967 (2014-2015)

Pura Vida: Cloud Forest, Curriculum and Cross-Cultural Study. Research team: Norma Hafenstein (PI) and Bruce Uhrmacher (Co-PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Office of Internationalization, $8,000 (2014-2015)

Online Course Development for Curriculum and Instruction. Researcher: Ruth Chao. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Office of Teaching and Learning, $3,000 (2014)

Online Course Development for Curriculum and Instruction. Researcher: María del Carmen Sálazar. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Office of Teaching and Learning, $3,000 (2014)

Online Course Development for Curriculum and Instruction. Researcher: Jessica Lerner. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Office of Teaching and Learning, $3,000 (2014)

Online Course Development for Curriculum and Instruction. Researcher: Duan Zhang. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Office of Teaching and Learning, $3,000 (2014)

The Mathematics Education of African Americans, 1866 – 1954. Researcher: Nicole M. Russell. Technical reviewer for MCE: Sylvia D. Hall-Ellis. National Academy of Education, Spencer Foundation Postdoctoral Fellowship, $55,000 (2014-2016)

Early Childhood Care and Education Study. Research team: Carrie Germeroth (PI), Melissa Mincic, and Douglas H. Clements. Technical reviewer for MCE: Sylvia D. Hall-Ellis. State of North Dakota, Department of Public Instruction, $73,500 (2013-2014)

Graduate Level Specialty in Addiction Counselor Training with Emphasis on Integration of Native American Specific Content. Research team: Ruth Chao (PI) and Michael J. Faragher (Co-PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. The Galena Foundation, $289,732 (2013-2016)

The Collecting Asian American and Pacific Islander Refugee Stories (CARS) Project. Researcher: Samuel Museus. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Center for Community Engagement and Service Learning, $15,000 (2014)

Parents in Transition: A Multiple Case Study of Parent and Family Orientation Programs. Researcher: Judy Marquéz Kiyama. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Faculty Research Fund, $1,500 (2014-2015)

The Sistah Network: Black Women Graduate Students Supporting and Retaining Each Other. Researcher; Nicole M. Russell. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Faculty Research Fund, $2,708 (2014-2015)

Evaluation of the Northeast Denver Babies Ready for College Program. Research team: Carrie Germeroth (PI), Melissa Mincic, and Douglas H. Clements. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Mile High Montessori, $9,922 (2013-2014)

Developing Teaching Expertise in K-5 Mathematics. Research team: Julie Sarama (PI) and Douglas Clements (University of Denver), in partnership with Timothy Boerst (PI), Meghan Shaughnessy, Deborah Ball, Hyman Bass (School of Education at the University of Michigan). Technical reviewer for MCE: Sylvia D. Hall-Ellis. National Science Foundation, $449,827 ($130,344 at the University of Denver (2013-2015)

Early Learning Care and Education Study Program Grant for the State of North Dakota. Research team: Carrie Germeroth (PI), Melissa Mincic, and Sheridan Green. Technical reviewer for MCE: Sylvia D. Hall-Ellis. State of North Dakota Department of Public Instruction, $73,500 (2013-2014)

Local Professional Learning Community for School Leaders. Research team: Susan Korach (PI), Kristina Hesbol, and Rebecca McClure. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Education Development Center, $10,950 (2013)

Healthy Eaters, Lifelong Movers 2: Implementing Evidence-Based School Environment, Policy, and Curricular Changes to Increase Opportunities for Healthy Eating and Physical Activity in Low Income, Rural Colorado. Research team: Elaine Berlansky, University of Colorado at Denver (PI), Nicholas Cutforth, University of Denver (Co-PI), and Allison Reeds. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Colorado Health Foundation, $3,103,108 (2013-2017)

Hughes Rare Books Library Room Renovation in the Sturm College of Law. Project team: Patti H. Marks, Sylvia D. Hall-Ellis, and Leigh Elliott. Mabel T. Hughes Charitable Trust, $34,000 (2013-2014)

The Promise Center Partnership with the Marsico Institute for Early Learning and Literacy and the City and County of Denver. Research team: Karen Riley (PI), Douglas H. Clements (Co-PI), and Sheridan Green. Technical reviewer for MCE: Sylvia D. Hall-Ellis. The Piton Foundation, $223,468 (2013-2014)

Center of Excellence for Problem Gambling. Research team: Ruth Chao (PI) and J. Mike Faragher. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Colorado Department of Behavioral Health, $68,021 (2012-2013)

United Way Implementation and Validation Review. Research team: Douglas H. Clements (PI), Amanda Moreno, and Sheridan Green. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Mile High United Way, $19,737 (2013)

Math/Science Partnership in Rural Districts. Research team: Kristen Bunn (PI, Eagle County Schools), Paul Michalec (Co-PI), and Alegra Reiber. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Colorado Department of Education, Colorado's Mathematics and Science Partnership Program, $750,000 (2013-2014)

Mathematics and Science Education of African Americans. Research team: Nicole Russell (PI), Sylvia D. Hall-Ellis, Steve Fisher (Penrose Library). Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Professional Research Opportunities for Faculty, $29,994 (2013-2015)

Online Course Development for Curriculum and Instruction. Researcher: Nicole Russell. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Office of Teaching and Learning, $3,000 (2013)

Discourse and Opportunity: Undocumented Students and Higher Education Policy. Researcher: Ryan Gildersleeve. Technical reviewer for MCE: Sylvia D. Hall-Ellis. National Academy of Education, Spencer Foundation Postdoctoral Fellowship, $55,000 (2012-2014)

An Anthropological Study of the Latino Graduation Ceremony. Researcher: Ryan Gildersleeve. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Spencer Foundation, $39,900 (2012-2013)

Fragile-X and Pharmaceutical Company: Clinical Trial of AFQ056. University of California – Davis Children's Hospital MIND Institute (Sacramento), Children's Hospital Denver, and Rush Children's Hospital at Rush University Medical Center (Chicago). Karen Riley, PI. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Novartis Pharmaceuticals Corporation, $394,206 (2012-2014)

Project Engage, Phase 2, a DAPRA Grant in partnership with Total Immersion Systems, Inc., and Texas A&M University. Research team: Karen Riley (PI) and Lyndsay Agans (Co-PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. U.S. Department of Defense, $60,000 (2011-2013)

International School Psychology Practicum Exchange. Researcher: Gloria L. Miller. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Center for Community Engagement and Service Learning, $14,951 (2012-2013)

Online Course Development for Curriculum and Instruction. Research team: Bruce Uhrmacher (PI) and Norma Hafenstein (Co-PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Office of Teaching and Learning, $18,193 (2012-2014)

Writers in the Schools. Research team: Karen Riley (PI) and Amanda Moreno (Co-PI).Technical reviewer for MCE: Sylvia D. Hall-Ellis. Colorado Humanities, $4,965 (2012-2013)

Learning Ecosystem Validation Grant. Research team: Karen Riley (PI), Lyndsay Agans, Kent Seidel, and Shimelis Assefa. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Bill & Melinda Gates Foundation, $315,000 (2012-2013)

Project Words of Oral Reading and Language Development (WORLD). Research team: Jorge E. Gonzalez (PI), Texas A&M University; Laura Saenz (Co-PI), University of Texas – Pan American; and, Sharolyn Pollard-Durodola (Co-PI), University of Denver. Technical reviewer for MCE: Sylvia D. Hall-Ellis. U.S. Department of Education, Institute of Education Sciences, $53,354 (2012-2015); award $640,718, transfer from Texas A&M University

Increasing the Efficacy of an Early Mathematics Curriculum with Scaffolding Designed to Promote Self-Regulation. Research team: Douglas H. Clements (PI) and Julie Sarama (Co-PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. U.S. Department of Education, Institute of Education Sciences, $1,445,315 (2008-2014); award $4,541,975, transfer from University at Buffalo, The State University of New York

Using Rule Space and Poset-based Adaptive Testing Methodologies to Identify Ability Patterns in Early Mathematics and Create a Comprehensive Mathematics Ability Test. Research team: Douglas H. Clements (PI) and Julie Sarama (Co-PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. National Science Foundation, $323,791 (2010-2014); award $1,194,944, transfer from University at Buffalo, The State University of New York

Comprehensive Postdoctoral Training in Scientific Education Research. Researcher: Julie Sarama (PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. U.S. Department of Education, Institute of Education Sciences, $133,458 (2010-2014); award $613,353, transfer from University at Buffalo, The State University of New York

Longitudinal Study of a Successful Scaling Up Project: Extending TRIAD. Research team: Douglas H. Clements (PI), Julie Sarama (Co-PI), and Abt Associates (Carolyn Layzer, Fatih Unlu, Laurie Bozzi, Lily Fesler, Alina Martinez, Cristofer Price, James van Orden). Technical reviewer for MCE: Sylvia D. Hall-Ellis. Institute of Education Sciences, $384,940 (2011-2015; award $1,250,286, transfer from University at Buffalo, The State University of New York

Early Childhood Education in the Context of Mathematics, Science, and Literacy. Research team: Julie Sarama (PI), Douglas H. Clements (Co-PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. National Science Foundation, $990,020 (2010-2014; award $2,285,228, transfer from University at Buffalo, The State University of New York

Early Childhood Clearinghouse Information Center Redesign. Research team: Karen Riley (PI) and Amanda Moreno (Co-PI).Technical reviewer for MCE: Sylvia D. Hall-Ellis. Office of the Lt. Governor of Colorado, $12,000 (2012-2013)

Morgridge Rural Educational Leadership Initiative. Research team: Lyndsay Agans (PI), Linda Brookhart (Co-PI), Susan Korach, and Rebecca McClure. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Morgridge Family Foundation, $100,000 (2012-2014)

Center of Excellence for Problem Gambling. Research team: Patrick Sherry (PI) and J. Mike Faragher. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Colorado Department of Behavioral Health, $55,000 (2012-2013)

Intermodal Transportation Institute Research Initiatives. Researcher: Patrick Sherry. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University Transportation Centers Program, Research and Innovative Technology Administration, U.S. Department of Transportation. $600,000 (2012-2014)

Mile High United Way Social Innovation Fund Early Literacy Initiative. Gloria L. Miller, PI, Amanda Moreano, Kim Hartnett-Edwards, and Sheridan Green. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Mile High United Way, $89,992 (2012-2013)

International School Psychology Practicum Exchange. Researcher: Gloria L. Miller. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Center for Community Engagement and Service Learning, $1,000 (2012-2013)

Refugee Student Art Outreach. Researcher: Karin Dittrick-Nathan. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Faculty Research Fund, $3,000 (2012-2013)

Resistance, Resilience, and Reciprocity: Centering the Voices of Black Doctoral Women with Faculty Aspirations. Researcher: Nicole M. Russell. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Faculty Research Fund, $2,965 (2012-2013)

Assessing Learning through Student Notebooks. Research team: Keith Miller, Nancy Sasaki, and Kathy Green. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Professional Research Opportunities for Faculty, $30,000 (2012-2014)

International School Psychology Practicum Exchange. Researcher: Gloria L. Miller. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Office of Internationalization, $2,000 (2012-2013)

ELO in Colorado. Research team: Cynthia Hazel and Duan Zhang. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Colorado Legacy Foundation, $150,000 (2012-2013)

Creating Online LIS Courses. Research team: Mary C. Stansbury (PI), Shimelis Assefa, Denise Anthony, Xiao Hu, and Krystyna Matusiak. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Center for Teaching and Learning, $15,000 (2011-2013)

Maritime Piracy Seminar. Researcher: Ved Nanda, The Nanda Center, Sturm College of Law. Technical reviewer for Sturm College of Law: Sylvia D. Hall-Ellis. Arsenault Family Foundation, $15,000 (2012-2013).

Early Childhood Librarianship: An Interdisciplinary, Experiential Learning MLIS. Researcher: Mary C. Stansbury (PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. Laura Bush's 21st Century Librarians Program, Institute for Museums and Library Services, $249,066 (2012-2014)

Learning Ecosystem Planning Grant. Research team: Karen Riley (PI), Lyndsay Agans, Kent Seidel, and Shimelis Assefa. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Bill & Melinda Gates Foundation, $281,217 (2011-2012)

Advanced Service Learning Practitioner Faculty Grant, Sylvia D. Hall-Ellis, University of Denver, Center for Community Engagement and Service Learning, $400 (2011)

Evaluating and Enhancing the EspeciallyMe Program. Research team: Lori D. Patton and Nicole Russell. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Center for Community Engagement and Service Learning, Public Good Fellowship Grant, $24,780 (2012)

Choosing Excellence: Let Every Child Bloom. Research team: Shimelis Assefa (PI) and Mary C. Stansbury (Co-PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Center for Community Engagement and Service Learning, Public Good Grant, $7,657 (2012)

K-8 STEM Content Specific Professional Development to Improve Elementary Student Achievement. Research team: Kent Seidel (PI), Nicole Russell (Co-PI), Kimberly Hartnett-Edwards, Paul Michalec, Jeff Farmer, Keith Miller, Alegra Reiber, and Nancy Sasaki. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Colorado Department of Education, Improving Teacher Quality Grant, (Title II ESEA), $307,299 (2011-2012)

Building a Better Principal Pipeline to Boost Student Achievement. Researcher: Susan Korach. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Denver Public Schools; a subcontract from the Wallace Foundation Grant, $170,000 (2011-2017)

Center of Excellence for Problem Gambling. Research team: J. Mike Faragher (Co-PI) and Bobbie Vollmer (PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. Colorado Department of Behavioral Health, $79,999 (2011-2012)

An Exploration of Novice Teachers' Core Competencies: Impacts on Student Achievement, and Effectiveness of Preparation. Research team: Kent Seidel (PI), Kathy Green (Co-PI), Kimberly Hartnett-Edwards, and Duan Zhang. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Institute of Education Sciences, Effective Teachers and Effective Teaching, $990,987 (2012-2015)

Project Engage, a DAPRA Grant in partnership with Total Immersion Systems, Inc., and Texas A&M University. Research team: Karen Riley (PI) and Lyndsay Agans (Co-PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. U.S. Department of Defense, $49,964 (2011-2014)

User-centered Evaluation of Music Search Engines. Researcher: Xiao Hu. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Faculty Research Fund, $2,931 (2011-2012)

Educational Practicum in Vietnam and China to Promote the Inclusion of Young Children with Disabilities. Researcher: Gloria L. Miller. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Office of Internationalization, $5,000 (2011-2012)

Evaluation of Colorado's Enhancing Quality in Infant-Toddler (EQIT) Initiative. Research team: Virginia R. Maloney and Amanda Moreno. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Buell Foundation, $395,884 (2011-2013)

Creating Engaging Environments to Teach Pre-Algebra Mathematics to Elementary Students. Research team: Álvaro Arias, Mario López, María del Carmen Salazar, and Lyndsay Agans. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver Interdisciplinary Grant, $60,000 (2011-2012)

Morgridge Education Technology Accessible (META) Resource Project. Research team: Lyndsay Agans (PI) and Shimelis Assefa (Co-PI). Technical reviewer for MCE: Sylvia D. Hall-Ellis. Morgridge Family Foundation, $36,000 (2011)

MCE Connect: A 21st Century Framework for Faculty Development. Research team: Bruce Uhrmacher (PI), Shimelis Assefa (Co-PI), Lyndsay Agans (Co-PI), Kimberly Hartnett-Edwards, Norma Hafenstein, Xiao Hu, Paul Michalec (Co-PI), María del Carmen Salazar (Co-PI), and Sandra Snyder-Mondragon. Technical reviewer for MCE: Sylvia D. Hall-Ellis. University of Denver, Center for Teaching and Learning, $22,355 (2011-2012)

Parent Education and Parent Leadership and Advocacy. Research team: Virginia Maloney (PI) and Amanda Moreno, Marsico Institute for Early Learning and Literacy. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Colorado Health Foundation, $27,358 (2010-2011)

Intentional School Culture. Researcher: Cynthia Hazel. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Denver Public Schools, $38,040 (2010-2011)

Healthy Eaters, Lifelong Movers: Implementing Evidence-Based School Environment, Policy, and Curricular Changes to Increase Opportunities for Healthy Eating and Physical Activity in Low Income, Rural Colorado. Research team: Elaine Berlansky, University of Colorado at Denver (PI), Nicholas Cutforth, University of Denver (Co-PI), and Allison Reeds. Technical reviewer for MCE: Sylvia D. Hall-Ellis. Colorado Health Foundation, $1,683,277 (2011-2014)

Second Life Learning Community. Research team: Don McCubbrey (PI), Sylvia D. Hall-Ellis (Co-PI), Walter LaMendola, and Paul Novak. University of Denver, Center for Teaching and Learning, $13,000 (2010-2011)

Reintroducing the Value of Law Librarians to Academic and Public Librarians in Colorado through the Identification and Use of Emerging Technologies. Research team: Sylvia D. Hall-Ellis (Co-PI), Stacey L. Bowers (PI), and Christopher Hudson, in partnership with Denver Public Library, Arapahoe Library District, and the Colorado State Supreme Court Library. University of Denver, Center for Community Engagement and Service Learning, $5,773 (2010-11)

Reintroducing the Value of Law Librarians to Public Librarians through the Identification and Use of Emerging Technologies and Resources. Research team: Stacey Bowers (PI) and Sylvia D. Hall-Ellis (Co-PI). American Association of Libraries, Wolters Kluwer Law & Business Grant Program, $2,725 (2010-2011)

Faculty Service Learning Pod. Research team: María del Carmen Salazar and Nicholas Cutforth (Curriculum and Instruction Program), Frank Tuitt (Higher Education Program), Cynthia Hazel and Gloria Miller (Child, Family, and School Psychology Program), and Sylvia D. Hall-Ellis (Library and Information Science Program). University of Denver, Center for Community Engagement and Service Learning, $8,000 (2010-2011)

Lincoln Collaborative. Research team: Antonio Esquibel (Principal, Lincoln High School), María del Carmen Salazar (Curriculum and Instruction Program), and. Sylvia D. Hall-Ellis. School Improvement Grant, Denver Public Schools, $375,000 (2010-2012)

Future LEADers of America: Leaders III. Research team: Denver Public Library (Kristen Svendson, PI), the University of Denver (Sylvia D. Hall-Ellis), the Colorado Chapter of REFORMA (Orlando Archibeque), and the Colorado Association of Libraries (Martin Garnar). Laura Bush's Recruiting Librarians for the 21st Century Program, Institute for Museums and Library Services, $988,366 (2009-2012)

Teaching for Success in the Library Environment: LIS 4030 in Library 2.0. Research team: Deborah S. Grealy (PI) and Sylvia D. Hall-Ellis (Co-PI). University of Denver, Center for Teaching and Learning, $ 9,350 (2009-10)

Writing Group Faculty Grant, Sylvia D. Hall-Ellis, University of Denver, Center for Community Engagement and Service Learning, $750 (2008-2009)

Collaborative Learning Faculty Grant, Sylvia D. Hall-Ellis, University of Denver, Center for Teaching and Learning, $1,500 (2008)

Connecting Information Literacy to Learning. Research team: Lori Micho, Merrie Valliant, Amanda Samland, and Sylvia D. Hall-Ellis. Colorado State Library, LSTA Discretionary Grant Program, $19,548 (2008-2009)

Law Librarianship Fellows Program. Research team: Sylvia D. Hall-Ellis (PI), Stacey Bowers (Co-PI), and Christopher Hudson, Westminster Law Library. Laura Bush's 21st Century Librarians Program, Institute for Museums and Library Services, $999,370 (2008-2012)

Grant Writing in a Cooperative Learning Environment. Sylvia D. Hall-Ellis. University of Denver, Center for Teaching and Learning, $2,000 (2008-2009)

Project Homeless Connect 6 Event Evaluation. Research team: Sylvia D. Hall-Ellis and Duan Zhang. University of Denver Center for Community Engagement and Service Learning, $9,785 (2008)

Project Ecuador: International Learning Service Libraries – A Faculty Development Experience in Ecuador. Sylvia D. Hall-Ellis, Office of Internationalization, University of Denver, $600 (2007)

Project Ecuador: International Learning Service Libraries – A Faculty Development Experience in Ecuador. Sylvia D. Hall-Ellis, International Service Learning Office, University of Denver, $400 (2007)

Project Homeless Connect 5 Event Evaluation. Research team: Sylvia D. Hall-Ellis and Duan Zhang. University of Denver Center for Community Engagement and Service Learning, $1,000 (2007)

Project Homeless Connect 4 Event Evaluation. Research team: Sylvia D. Hall-Ellis and Duan Zhang. University of Denver Center for Community Engagement and Service Learning, $4,595 (2007)

Future LEADers of America: Leaders II. Research team: Denver Public Library (Kristen Svendson, PI), the University of Denver (Sylvia D. Hall-Ellis), and the Colorado Chapter of REFORMA (Orlando Archibeque). Laura Bush's Recruiting Librarians for the 21st Century Program, Institute for Museums and Library Services, $988,518 (2007-2010)

Strategic Planning Assistance for the Denver Medical Library. Sylvia D. Hall-Ellis. Denver Medical Library, Inc., $30,000 (2006-2007)

Destiny Software for JMAC Student Lab. Sylvia D. Hall-Ellis, gift from the Sagebrush Corporation, Minneapolis, Minnesota, $10,000 (2006)

Libraries: Tools for Education and Development Worldwide: A Faculty Development Experience in France. Sylvia D. Hall-Ellis, Office of Internationalization, University of Denver, $800 (2005)

Denver Public Library: Opportunities for Change. Sylvia D. Hall-Ellis, Colorado Community Based Research Network, $2,000 (2005)

Future LEADers of America. Research team: Denver Public Library (Letty Icolari and Steve Taylor, PIs), Emporia State University (Jim Agee), and the University of Denver (Sylvia D. Hall-Ellis). Recruiting Librarians for the 21st Century Program, Institute for Museums and Library Services, $670,315 (2005-2008)

American Association of University Professors Summer Institute Professional Development Grant. Sylvia D. Hall-Ellis, American Association of University Professors, $300 (2005)

Beta Phi Mu Alumni Tea. Sylvia D. Hall-Ellis, gift from the Office of Alumni and Parent and Relations, University of Denver, $2,000 (2005)

Spectrum Software for LIS Student Lab. Sylvia D. Hall-Ellis, gift from the Sagebrush Corporation, Minneapolis, Minnesota, $5,000 (2005)

Developing Research Capacity in Community Organizations and Residents through Training and Technical Assistance. Nicholas J. Cutforth (PI) and Sylvia D. Hall-Ellis. Piton Foundation, $25,000 (2005-2006)

Libraries: Tools for Education and Development Worldwide: A Faculty Development Experience in Argentina. Sylvia D. Hall-Ellis, Office of Internationalization, University of Denver, $500 (2004)

Developing Research Capacity in Community Organizations and Residents through Training and Technical Assistance. Research team: Nicholas J. Cutforth (PI), Gary Lichtenstein and Sylvia D. Hall-Ellis, Piton Foundation, $25,000 (2003-2004)

Increasing Spanish-Speaking and Hispanic Diversity among Library and Information Science Students at the University of Denver: Development of a Student Recruitment Model. Researcher: Sylvia D. Hall-Ellis (PI), Office of Multicultural Excellence, University of Denver, $2,750 (2003-2004)

Collection Development Enrichment to Support the Cataloging & Classification Specialization and School Librarianship within the Library & Information Science Program at the University of Denver. Research team: Sylvia D. Hall-Ellis (PI) and Deborah S. Grealy. Women's Library Association, University of Denver, $4,000 (2003)

Collection Development Enrichment to Support the Library & Information Science Program at the University of Denver. Research team: Deborah S. Grealy (PI) and Sylvia D. Hall-Ellis. Women's Library Association, University of Denver, $4,000 (2001)

Wireless LAN for Library Education. Research team: Deborah S. Grealy (PI) and Sylvia Hall-Ellis. University of Denver, Center for Teaching and Learning, $24,000 (2001-2002)

Upward Bound – A Program for South Texas Youth. Research team: Monte Churchill, Executive Director of Community Relations; Gary R. Sauceda, Outreach Coordinator; Raymond Hernandez, (PI) Associate Dean for Student Success; and Sylvia D. Hall-Ellis, South Texas Community College (McAllen, Texas). U.S. Department of Education, Office of Postsecondary Education, $2,672,003 (1999-2003)

Write Now! Improving Elementary Students' Writing Skills. Research team: Caryl G. Thomason, Assistant Superintendent (PI); Karen Tankersley, Principal; and, Hal Anderson, Director of Technology, Cheyenne Mountain School District 12 (Colorado Springs, Colorado); and Sylvia D. Hall-Ellis. Colorado Department of Education, Educational Telecommunications Unit, $189,453 (1999-2001)

Operation Quick Start -- Distance Learning in Rural Colorado. Research team: Randal Weigum, Technology Coordinator (PI); Bonnie Barns, Director of Federal Programs and Staff Development; and, Adam "Joe" Raskop, Executive Director, Southeastern Board of Cooperative Educational Services (Lamar, Colorado); and Sylvia D. Hall-Ellis. Colorado Department of Education, Educational Telecommunications Unit, $400,000 (1999-2001)

Advanced Technological Training for Information Professionals for the 21st Century. Research team: Mario Reyna, Division of Business Director (PI) and Sylvia D. Hall-Ellis, South Texas Community College (McAllen, Texas). Phi Delta Kappa and the National Science Foundation, $250,000 (1999-2001)

Working Connections – Training Information Technologies Professionals for the 21st Century. Research team: Mario Reyna, Division of Business Director (PI) and Sylvia D. Hall-Ellis, South Texas Community College (McAllen, Texas). Microsoft Corporation and the American Association of Community Colleges, $1,147,775(1999-2001)

The ROAD (Research Oriented Amplification of Development to Literacy) Program. Research team: Deborah J. Leong (PI), Metropolitan State University; Elena Bodrova, Metropolitan State University; Dmitri Semenov, Robert J. Marzano, and Sylvia D. Hall-Ellis, Mid-continent Regional Educational Laboratory (Aurora, Colorado). Hewlett-Packard Foundation, $25,000 (1998-1999)

Press to Literacy. Research team: Deborah J. Leong (PI), Metropolitan State University; Elena Bodrova, Metropolitan State University; Dmitri Semenov, Robert J. Marzano, and Sylvia D. Hall-Ellis, Mid-continent Regional Educational Laboratory (Aurora, Colorado). The Denver Post and the Robert S. McCormick Foundation, $49,818 (1998-1999)

International Telementor Center. Research team: David Neils, David B. Frost (PI), and Sylvia D. Hall-Ellis, Mid-continent Regional Educational Laboratory (Aurora, Colorado). Hewlett-Packard Philanthropy, $100,000 (1998-1999)

America Reads: Providing Tutor Training for the America Reads Challenge. Research team: Louis F. Cicchinelli (PI) and Sylvia D. Hall-Ellis, Mid-continent Regional Educational Laboratory (Aurora, Colorado). U.S. Department of Education, Office of Educational Research and Improvement, $306,000 (1998-1999)

Comprehensive School Reform. Research team: Louis F. Cicchinelli (PI), J. Timothy Waters, and Sylvia D. Hall-Ellis, Mid-continent Regional Educational Laboratory (Aurora, Colorado). U.S. Department of Education, Office of Educational Research and Improvement, $285,000 (1998-1999)

Sustainable Energy Education (SEE) Program for Grades 4-8: Preparing Today's Youth for Lifelong Learning and Responsible Actions in Energy Conservation. Research team: Mary Gromko, Colorado Department of Education; Gene McCarthy, Rocky Flats Field Office, U.S. Department of Energy; Gina Kissell, National Renewable Energy Laboratory; and Barbara L. McCombs (PI), Janet L. Bishop, and Sylvia D. Hall-Ellis, Mid-continent Regional Educational Laboratory (Aurora, Colorado). State of Colorado Governor's Office for Energy Conservation, $499,936 (1997-1999)

Review of Kansas Curriculum Standards in Mathematics and Language Arts. Research team: Robert J. Marzano

(PI), John S. Kendall, David B. Frost, and Sylvia D. Hall-Ellis, Mid-continent Regional Educational Laboratory (Aurora, Colorado). Submitted to the Kansas State Department of Education, $25,903 (1998)

International Telementor Center. Research team: David Neils, John Kuglin, Chris Rapp, David B. Frost (PI), and Sylvia D. Hall-Ellis, Mid-continent Regional Educational Laboratory (Aurora, Colorado). Hewlett-Packard Company, $54,752 (1998)

Genesis Mission: Education Public Outreach. Developed in partnership by the Jet Propulsion Laboratory, California Institute of Technology, Los Alamos National Laboratory, Lockheed Martin Astronautics, and the Mid-continent Regional Educational Laboratory (Aurora, Colorado). Research team: John T. Sutton (PI), Alice Krueger, Martha Henry, Greg Rawls, Shae Isaacs, Jeff Johnson, Deb Jordan, Arlene Mitchell, David B. Frost, Jana Caldwell, J. Timothy Waters, and Sylvia D. Hall-Ellis. National Aeronautics and Space Administration, $139,700,000; subcontract award, $4,750,000 (1997-2007)

North Dakota Mathematics Assessment Project. Developed in partnership by the North Dakota Department of Education and Mid-continent Regional Educational Laboratory (Mid-continent Regional Educational Laboratory). Research team: Ann Clapper, Clarence Bina, Greg Gallagher, North Dakota Department of Education; Don Burger (PI), Hillary Michaels, and Sylvia D. Hall-Ellis, Mid-continent Regional Educational Laboratory (Aurora, Colorado). U.S. Office of Education, $1,618,214; subcontract award, $389,076 (1997-2001)

Pacific Resources for Education and Learning Distance Education: Project Evaluation. Developed in partnership by the Pacific Educational Community, the Pacific Resources for Education and Learning (PREL), and Mid-continent Regional Educational Laboratory (McREL). Research team: John W. Kofel (PI), Executive Director, PREL; J. Timothy Waters, Executive Director; Joan Buttram, Robert Keller, and Sylvia D. Hall-Ellis, Mid-continent Regional Educational Laboratory (Aurora, Colorado). U.S. Office of Education, Star Schools Program, $10,000,000; subcontract award, $500,000 (1997-2002)

Identification of Bilingual Gifted and Talented Children: A Comprehensive School Grants for Bilingual Education. Developed in partnership by Hidalgo (Texas) ISD, Los Fresnos (Texas) CISD, Progreso (Texas) ISD, University of Texas – Pan American (Edinburg, Texas), and Education Service Center, Region One (Edinburg, Texas). Research team: Hilda Medrano, Dean, College of Education, University of Texas – Pan American; Linda Phemister (PI), Janie Navarro, and Sylvia D. Hall-Ellis, Education Service Center, Region One. U.S. Department of Education, Office of Bilingual Education and Minority Languages Affairs, $1,670,633 (1997-2002)

Academics 2000: First Things First -- The Texas Goals 2000. Developed for Jim Hogg (Hebbronville, Texas) County ISD, Mirando City (Texas) ISD, and San Isidro (Texas) ISD. Research team: Hilda Medrano, Dean, College of Education, University of Texas - Pan American (Edinburg, Texas); Angie Lehmann, Amy Mares, Ellen Gonzalez (PI), and Sylvia D. Hall-Ellis, Education Service Center, Region One (Edinburg, Texas). Texas Education Agency, $339,987 (1997-2000)

Southwestern Bell's Learning Communities Initiative. Developed for Tech Prep of the Rio Grande Valley, Inc. (Harlingen, Texas); the Center for Professional Teacher Development, University of Texas – Brownsville; Teach for America – Rio Grande Valley (McAllen, Texas); and Education Service Center, Region One (Edinburg, Texas). Research team: Patricia G. Bubb, Executive Director (PI), Tech Prep of the Rio Grande Valley; Martin Winchester, Executive Director, Teach for America – Rio Grande Valley; Aileen Johnson, Director, School of Education, University of Texas – Brownsville; and Sylvia D. Hall-Ellis. Southwestern Bell Foundation, $100,000 (1997-1998)

Texas School to Work: Regional Implementation. Developed for Tech Prep of the Rio Grande Valley, Inc. (Harlingen), South Texas Community College (McAllen), Texas State Technical College (Harlingen), Texas Southmost College (Brownsville), Empowerment Zone of the Rio Grande Valley (Mercedes), Project VIDA (Weslaco), Youth Fair Chance (McAllen), and Education Service Center, Region One (Edinburg). Research team: Patricia G. Bubb (PI), Tech Prep of the Rio Grande Valley; Stephen Vassberg, Texas State Technical College; Ellen Trevino, Youth Fair Chance; Wanda Garza, Project VIDA; Leonardo Olivares, University of

Texas – Pan American; Michael Bell, South Texas Community College; and Sylvia D. Hall-Ellis. Texas Workforce Commission, $4,250,000 (1997-2002).

Comprehensive Bilingual Education Grant for Hidalgo ISD and Roma ISD. Developed for Hidalgo (Texas) ISD, Roma (Texas) ISD, College of Education, University of Texas – Pan American (Edinburg, Texas), and Education Service Center, Region One (Edinburg, Texas). Research team: Tomas Thomas (PI), Director, Office of Bilingual Education and Sylvia D. Hall-Ellis. U.S. Department of Education, Office of Bilingual Education and Minority Languages Affairs, $1,531,361 (1997-2002)

Lopez High School, Porter High School, Rivera High School, Central Middle School, and Perkins Middle School, Brownsville (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $1,473,611 (1997-1998)

Donna High School, Todd Middle School, and Solis Middle School, Donna (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $704,052 (1997-1998)

Memorial Middle School and Nellie Schunior Middle School, La Joya Independent School District (Texas). Telecommunications Infrastructure Fund Board, $293,641 (1997-1998)

Martin High School, Christen Middle School, Cigarroa Middle School, Lamar Middle School, Laredo (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $1,200,000 (1997-1998).

Lasara Middle School, Lasara (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $246,210 (1997-1998)

Los Fresnos High School and Resaca Middle School, Los Fresnos (Texas) Consolidated Independent School District. Telecommunications Infrastructure Fund Board, $500,000 (1997-1998)

Lyford Junior High School, Lyford (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $354,997 (1997-1998)

Travis Middle School, McAllen (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $269,139 (1997-1998)

Mission High School, Mission (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $297,010 (1997-1998)

Progreso High School, Progreso (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $300,000 (1997-1998)

San Perlita High School, San Perlita (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $293,490 (1997-1998)

Myra Green Junior High School, Raymondville (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $225,000 (1997-1998)

Rio Grande City High School, Ringgold Middle School, Gruella Middle School, Rio Grande City (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $809,934 (1997-1998)

Rio Hondo Junior High School, Rio Hondo (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $297,024 (1997-1998)

Roma High School, Roma (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $333,178 (1997-1998)

San Benito High School, Miller Jordan Junior High School, San Benito (Texas) Consolidated Independent School District. Telecommunications Infrastructure Fund Board, $547,000 (1997-1998)

Santa Maria High School and Santa Maria Middle School, Santa Maria (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $400,000 (1997-1998)

Salvador High School, United Independent School District (Laredo, Texas). Telecommunications Infrastructure Fund Board, $250,879 (1997-1998)

Weslaco High School, Cabaza Middle School, Cuellar Middle School, Weslaco (Texas) Independent School District. Telecommunications Infrastructure Fund Board, $874,242 (1997-1998)

Principals' Assessment and Training Center. Developed for Education Service Center, Region One (Edinburg, Texas). Research team: Roberto Zamora, Leonel Barrera, William H. Parry, and Sylvia D. Hall-Ellis. Texas Principals' Leadership Initiative, Texas Association of Secondary School Principals, Texas Association of Elementary School Principals, and the Sid Richardson Foundation. $461,439 (1996-1998)

The Texas Library Connection -- Integrating and Sharing Resources. Developed for Hidalgo County Library System (McAllen), Cameron County Library System (Brownsville), South Texas Community College (McAllen), the University of Texas - Pan American (Edinburg), University of Texas – Brownsville, and Education Service Center, Region One (Edinburg). Research team: William R. McGee, Coordinator, Hidalgo County Library System; Joe Garcia, Director, Cameron County Library System; Michael D. Bell, Library Director, South Texas Community College; Eleanor Folger Foster, University Library, University of Texas - Pan American; Jaime Vela (PI), Director of Instructional Technology and Media Services, Ron Pontius, Instructional Technology, and Fabiola Fuentes, Media Services, Education Service Center, Region One; and Sylvia D. Hall-Ellis. Office of Library Media Services, Technology Services, Texas Education Agency. $8,000 (1996-1997)

Developing Leadership Communities for Improving Algebra I for All Students. Developed for a partnership of the Region One Statewide Systemic Initiative Team. Research team: Noel Villarreal (PI), Eduardo Cancino, and Sylvia D. Hall-Ellis, Education Service Center, Region One (Edinburg). Texas Statewide Systemic Initiative for Reform in Mathematics, Science and Technology Education to The Charles A. Dana Center for Mathematics and Science Education, The University of Texas at Austin. $25,000 (1996-1997)

Academics 2000: First Things First -- The Texas Goals 2000. Developed for Edinburg (Texas) CISD. Research team: Hilda Medrano, Dean, College of Education, University of Texas - Pan American (Edinburg); Helen Jones, Director (PI), Gifted and Talented Education, Edinburg CISD; and Sylvia D. Hall-Ellis, Education Service Center, Region One (Edinburg). Texas Education Agency, $750,000 (1996-2001)

Texas Teachers Empowered for Achievement in Mathematics (TEXTEAM) Institute for Algebra I. Developed for Hidalgo ISD, Jim Hogg (Hebbronville) County ISD, La Joya ISD, La Villa ISD, Lyford ISD, Mission CISD, Pharr-San Juan-Alamo ISD, Rio Hondo ISD, San Isidro ISD, San Perlita ISD, Santa Maria ISD, Santa Rosa, Sharyland ISD, Valley View ISD, Webb (Laredo) CISD, Weslaco ISD, and Zapata County (Zapata) ISD. Research team: Noel Villarreal (PI), Chuck McInteer, Ellen M. Gonzalez, Education Service Center, Region One (Edinburg); and Sylvia D. Hall-Ellis. Charles A. Dana Center for Mathematics and Science Education, University of Texas - Austin, $15,975 (1996)

Community Learning Center for La Villa, Texas. Developed for Edcouch-Elsa Independent School District. Research team: Noe Gonzalez (PI), Assistant Superintendent, Edcouch-Elsa Independent School District, and Sylvia D. Hall-Ellis. Delta Region Subzone, Rio Grande Valley (Texas) Rural Empowerment Zone, $325,000 (1996-1997)

Monte Alto (Texas) Community Learning Center. Developed for Monte Alto Independent School District. Research team: Homero A. Diaz (PI), Superintendent, Monte Alto Independent School District, and Sylvia D. Hall-Ellis. Delta Region Subzone, Rio Grande Valley (Texas) Rural Empowerment Zone, $300,000 (1996-1997)

Community Learning Center for La Villa, Texas. Developed for La Villa Independent School District. Research team: Sam Gonzalez (PI), Assistant Superintendent, La Villa Independent School District; and Sylvia D. Hall-Ellis. Delta Region Subzone, Rio Grande Valley (Texas) Rural Empowerment Zone, $325,000 (1996-1997)

Building Project for Taylor Elementary. Developed for Mercedes (Texas) Independent School District. Research team: Mrs. Denise Rivera, Librarian, and Eduardo Infante, Principal, Taylor Elementary School; Ismael S. Cantu (PI), Federal Programs Director, Mercedes Independent School District; and Sylvia D. Hall-Ellis. Delta Region Subzone, Rio Grande Valley (Texas) Rural Empowerment Zone, $375,000 (1996-1997)

Border Education Network (BEN) - Distance Education through Cable Television. Developed for Edinburg (Texas) Consolidated Independent School District. Research team: Noe Torres (PI), Library Media Specialist, Magdalena
Rosas, Library Media and Technology Coordinator, Edinburg Consolidated Independent School District; and Sylvia D. Hall-Ellis, Education Service Center, Region One (Edinburg). Edinburg (Texas) Consolidated School District, $250,000 (1996-1997)

Multiservice One-Stop Open Enrollment Charter School. Developed for the Information Referral Resource Assistance, Inc. (McAllen, Texas). Research team: Pablo Perez and Aguie Pena (PI), Executive Director, Information Referral Resource Assistance, Inc.; Roberto Zamora and Sylvia D. Hall-Ellis, Education Service Center, Region One (Edinburg). Texas Education Agency, $3,066,000 (1996-2001)

Project OK: A Community Youth Opportunities Grant for Summer, 1996. Developed for McAllen (Texas) Independent School District, St. Joseph the Worker Catholic Church, and the Office of Adult Education, Education Service Center, Region One. Research team: Father Bart Flatt, St. Joseph the Worker Catholic Church; Noe Calvillo (PI), Office of Adult Education; Maria Louisa Garcia, McAllen Independent School District; and Sylvia D. Hall-Ellis, Education Service Center, Region One (Edinburg). Texas Protective and Regulatory Agency through the Office of the Mayor, City of McAllen, $350,000 (1996-2001).

Early Childhood: a Time of Discovery. Developed for a partnership of Lasara ISD, Rio Hondo ISD, San Perlita ISD, the School of Education, University of Texas - Brownsville, and Education Service Center, Region One (Edinburg). Research team: Hugo Rodriguez, Dean, School of Education, University of Texas - Pan American; Leonel Barrera and Jack Damron, Field Service Agents, Ellen M. Gonzalez (PI), Administrator for Student Instructional Services, Ruth Solis, Education Specialist in Special Education, and Sylvia D. Hall-Ellis, Education Service Center, Region One (Edinburg). Texas Education Agency, $722,090 (1996-2001)

Innovative Gifted and Talented Programs for Early Childhood and Elementary Education Students. Developed for a partnership of Edinburg (Texas) CISD, the School of Education, University of Texas - Pan American (Edinburg), and Education Service Center, Region One (Edinburg). Research team: Hilda Medrano, Dean, School of Education, University of Texas - Pan American; Helen de la Garza (PI), Director of Elementary Curriculum and Instruction, Edinburg CISD; and Sylvia D. Hall-Ellis. Texas Education Agency, $750,000 (1996-2001)

Reading Recovery in Early Elementary Grades. Developed for a partnership of La Villa (Texas) ISD the School of Education, University of Texas - Pan American (Edinburg), and Education Service Center, Region One (Edinburg). Research team: Hilda Medrano, Dean, School of Education, University of Texas - Pan American; Marcario Salinas (PI), Supervisor of Elementary Curriculum and Instruction, La Villa ISD; and Sylvia D. Hall-Ellis, Education Service Center, Region One. Texas Education Agency, $750,000 (1996-2001)

Connected Mathematics Project for Middle and Junior High Students. Developed for a partnership of Michigan State University, The Charles A. Dana Center for Mathematics and Science Education, The University of Texas at Austin and Region One Statewide Systemic Initiative Team. Research team: Jack Damron, Chuck McInteer, Noel Villarreal (PI), and Sylvia D. Hall-Ellis, Education Service Center, Region One (Edinburg). National Science Foundation through Michigan State University to the Charles A. Dana Center at the University of Texas - Austin, $539,610 (1996-1999)

Sharing Resources: Testing the Interlibrary Loan Potential of the Texas Library Connection -- Integrating Media Resources. Developed under the sponsorship of the Hidalgo County Library System (McAllen) and Education Service Center, Region One (Edinburg). Research team: William H. McGee, Hidalgo County System Coordinator; Fabiola Fuentes, Library Media; Ronald Pontius (PI), Instructional Technology, Education Service Center, Region One; and Sylvia D. Hall-Ellis. Library Media Services Program, Office of Technology Services, Texas Education Agency, $25,000 (1996-1997)

State and Federal Adult Education JOBS Program. Developed for Education Service Center, Region One (Edinburg). Research team: Noe Calvillo (PI), Director, Adult Education Program, and Sylvia D. Hall-Ellis. Adult Education Program, Texas Education Agency, $532,846 (1995-1996)

Creating Safe and Drug-Free Schools and Communities. Developed for a partnership of the Region One Consortium for Safe and Drug-Free Education Environments. Research team: Clara Contreras (PI), Health Specialist, and Sylvia D. Hall-Ellis, Education Service Center, Region One (Edinburg). Texas Education Agency, $150,000 (1995-1996)

Developing Leadership Communities for Improving Mathematics Performance for All Students on Title I Campuses. Developed for a partnership of the Region One Statewide Systemic Initiative Team. Research team: Jack Damron, Chuck McInteer, Noel Villarreal (PI), and Sylvia D. Hall-Ellis, Education Service Center, Region One (Edinburg). Texas Statewide Systemic Initiative for Reform in Mathematics, Science and Technology Education to The Charles A. Dana Center for Mathematics and Science Education, The University of Texas at Austin, $50,669 (1995-1996)

The Impact of Library Resource Centers on Academic Achievement in Selected Public Schools in South Texas. Sylvia D. Hall-Ellis (PI). Developed under the sponsorship of the Department of Library Science, College of Education and Applied Science, Sam Houston State University (Huntsville). Texas Association of School Librarians, Children's Services Round Table, and Young Adults Round Table (Austin, Texas), $2,500 (1995)

School Library Media Specialists Fellowship Program. Sylvia D. Hall-Ellis (PI). Developed under the sponsorship of the Department of Library Science, College of Education and Applied Science, Sam Houston State University (Huntsville, Texas). U.S. Department of Education, HEA Title II-B, Library Education and Human Resource Development Program, $44,000 (1995-1996)

Planning for Educational Technology. Research team: Ruth Ann Riggins (PI), Director of Library Media and Technology Services, Donna (Texas) Independent School District; Noe Torres, Education Service Center, Region One; Patricia G. Bubb, Tech Prep of the Rio Grande Calley, Inc; Michael D. Bell, South Texas Community College; and Sylvia D. Hall-Ellis, Sam Houston State University. Developed under the sponsorship of Donna (Texas) Independent School District, Education Service Center, Region One (Edinburg), Tech Prep of the Rio Grande Valley, Inc. (Harlingen), South Texas Community College (McAllen), and the Department of Library Science, Sam Houston State University (Huntsville). Funded through Infusion of Educational Technology Planning Grant Program (H.B. 18: Models). Texas Education Agency, $18,000 (1993-1994)

The Impact of Library Resource Centers on Academic Achievement in Selected Public Schools in South Texas. Sylvia D. Hall-Ellis (PI) and Mary Ann Berry. Developed under the sponsorship of the Department of Library Science, College of Education and Applied Science, Sam Houston State University (Huntsville). Sam Houston State University Research Enhancement Fund, $7,500 (1993-1994)

Electronic Mail Resource Sharing System: Management and Operation of the Iowa Computer-Assisted Network. State Library of Iowa, $294,000 (1986-1993)

The Iowa Locator: A CD-ROM Resource Sharing Tool. State Library of Iowa, $567,000 (1986-1992)

Statewide Database Development: An OCLC Tape Analysis To Determine Feasibility. State Library of South Dakota, $15,000 (1987)

The Iowa Locator: A Feasibility Study. State Library of Iowa, $50,000 (1986)

Electronic Mail Resource Sharing System: A Feasibility Study for Libraries in the State of Iowa. State Library of Iowa, $5,000 (1985)

Access Pennsylvania: A Feasibility Study. State Library of Pennsylvania, $50,000 (1984)

Automating Library Administrative and Management Tasks: Procurement and Distribution of Microcomputer Systems for District Library Centers in the Commonwealth of Pennsylvania. State Library of Pennsylvania, $495,000 (1982)

Sharing Serial Titles Resources: Procurement and Distribution of Microfiche Readers for 550 Libraries in the Commonwealth of Pennsylvania. State Library of Pennsylvania, $500,000 (1982)

Electronic Mail System: A Pilot Project for Libraries throughout the Commonwealth of Pennsylvania. State Library of Pennsylvania, $125,000 (1982)

Literacy Program for Adults in Rural Upstate New York State: Program Outreach and Evaluation - Phase 3. Appalachian Regional Commission, $50,000 (1981)

Database Building: A Cooperative Project of the Finger Lakes Library System (Ithaca), Four County Library System (Binghamton), and the Southern Tier Library System (Corning). New York State Library, $450,000 (1980-1985)

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SELECTED CONSULTANTSHIPS

Project Strategic Planning & Funding Proposal Development

Walden University, 2015.
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Douglas County Public Libraries, 2011-2012.
Denver School for Science and Technology, 2008-2011.
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Challenges, Choices, and Images K-12 Charter School, Denver Public Schools, 2007.
Denver Medical Library, Inc., 2006-2010.
Bemis Public Library (Littleton), Ergonomic Design and Facilities Enhancement Consultant, 2003.
Curtis Park Community Center (Denver), Community Technology Center Evaluation and Proposal Development Consultant, 2003.
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Our Lady of the Rosary Academy (Edgewater), Learning Resource Center Development Project Consultant, 2001-2003
University of Southern Colorado (Pueblo). Technology Integration and Curriculum Enhancement into Higher Education Learning Environment. Proposal Development Consultant, 2000.
Jefferson County Library System (Lakewood), 1999-2000.
Southeastern BOCES (Lamar, CO). Distance Learning Curriculum Content Development Project. Proposal Development Consultant, 1998-2004.
Cheyenne Mountain School District 12 (Colorado Springs, CO). Technology Integration into Elementary Writing Curriculum Project. Proposal Development Consultant, 1998.
Telecommunications Infrastructure Fund Board Round #2 Application, 1997. Technical assistance to the following: La Villa (Texas) Independent School District; Mirando City (Texas) Independent School District; Monte Alto (Texas) Independent School District; San Isidro (Texas) Independent School District.
Telecommunications Infrastructure Fund Board Round #1, 1996. Technical assistance to the following: Brownsville (Texas) Independent School District; Donna (Texas) Independent School District; Edinburg (Texas) Consolidated Independent School District; Harlingen (Texas) Consolidated Independent School District; Jim Hogg County Independent School District (Hebbronville, Texas); La Feria (Texas) Independent School District;

La Joya (Texas) Independent School District; La Villa (Texas) Independent School District; Los Fresnos (Texas) Consolidated Independent School District; Progreso (Texas) Independent School District; Raymondville (Texas) Independent School District; Rio Hondo (Texas) Independent School District; San Benito (Texas) Independent School District; Sharyland Independent School District (Mission, Texas); Weslaco (Texas) Independent School District; Zapata (Texas) County Independent School District.

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ABC Film Consortium (Altoona, Bellefonte, Johnstown, PA). Database Building, System Design, and Implementation of Online Film Booking System, 1983-1985. Database Manager.

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Douglas County Library District (Castle Rock, CO). Library Automation Technical and System Performance Specifications, 2001.

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Michigan Library Consortium (Lansing, MI). Tape Management System Design and Implementation, 1982-1984. Research Associate.
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Community College of Allegheny County, Allegheny Campus (Pittsburgh, PA). Integrated Systems Study, 1981. Principal Investigator.
Community College of Allegheny County, South Campus (West Mifflin, PA). Retrospective Conversion Training Program for Handicapped Students, 1981. Technical Director.
Southern Tier Library System (Corning, NY). OCLC Acquisitions Subsystem Evaluation, 1981. Principal Investigator.
South Central Reference and Research Council (Ithaca, NY). South Central Regional Delivery System, 1980. Principal Investigator.
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Boulder Valley School District (Boulder), 2002-2003.
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Bibliographic Center for Research, Inc. (Denver). Original Cataloging, 2001-2003 & 1992-1993. Professional Cataloger. Libraries include Arapahoe Library District (Centennial); Clarke College (Clarke, IA); Kaiser Permanente Center for Health Research (Portland, KS); Douglas County Library System (Carson City, NV); Grinnell College (Grinnell, IA); Kansas State University (Manhattan, KS); Kansas State Library (Topeka, KS); McPherson College (McPherson, KS); Newman University (Wichita, KS); Montana Technical University (Helena, MT); Montana State Library (Helena, MT); University of Texas Southwest Medical Center Library (Dallas, TX); Community College of Southern Nevada (Las Vegas, NV); Pikes Peak Library District (Colorado Springs); Mesa Community College (Grand Junction); Coe College (Cedar Rapids, IA); Briar Cliff College (Sioux City, IA); Tri-Care Health Systems (Aurora); The Penrose-St. Francis Healthcare System (Colorado Springs); Westminster Public Library (Westminster); Lutheran Medical Center Medical Library (Wheat Ridge); American Heritage Center, University of Wyoming (Laramie, WY); National Wildlife Research Center Library (Lakewood); University of Colorado Health Sciences Center, Denison Memorial Library (Denver); Water Resources Library, Denver District Office, Bureau of Land Management (Lakewood); Mesa State Community College Learning Resources Center (Pueblo); Front Range Community College Learning Resources Center (Westminster).

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Original Cataloging Projects: Davis and Elkins College (Elkins, WV), 1989-1990; Waldorf College (Forest City, IA), 1989-1990; Kennametal Corporation (Latrobe, PA), 1984-1985; Pennsylvania Public Libraries Film Center (Harrisburg), 1982-1985.

Retrospective Conversion Projects: North Central Regional Library Service (Mason City, IA), 1987-1988; Southeastern Library Services (Davenport, IA), 1986-1987; Virginia Theological Seminary (Alexandria), 1984-1985; California University of Pennsylvania (California, PA), 1984-1985; Kennametal Corporation (Latrobe, PA), 1984-1985; West Virginia University (Morgantown), 1983-1985; Altoona Area Public Library (Altoona, PA), 1983-1984; George Washington University (Washington, DC), 1983-1984; Indiana University of Pennsylvania (Indiana, PA), 1983-1985; Health Education Center (Pittsburgh), 1983-1984; Central Pennsylvania District Library Center (Bellefonte, PA), 1982-1985; Duquesne University Law School Library (Pittsburgh), 1982-1983; Allegheny County Law Library (Pittsburgh), 1982-1984; Calgon Technical Information Center (Pittsburgh), 1982; Dow Corning Corporation, Technical Information Center (Midland, MI), 1982-1983; Tri-System Public Library Retrospective Conversion Project (Binghamton, Corning, and Ithaca, NY), 1978-1981, Technical Project Director.

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ジョウホウ ショリ ガッカイ ケンキュウ ホウコク. アルゴリズム ケンキュウカイ ホウコク
情報処理学会
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雑誌

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No.	所蔵巻号	請求記号	所在	所蔵年	受入継続	備考
1	62-123		八王子 大学 製本雑誌架	1998-2009		

詳細情報

創刊・終刊	No.1 (1988. 5)- = Vol. 88, no. 36 (1988. 5)-
別誌名	情処研報. AL
出版国	日本
標題言語	日本語 (jpn)
本文言語	日本語 (jpn) ; 英語 (eng)
団体情報	情報処理学会 (ジョウホウ ショリ ガッカイ) [Information Processing Society of Japan] [IPS] [Zyoho Syori Gakkai] [Zyōhō Syori Gakkai] [Joho Shori Gakkai] [Jōhō Shori Gakkai] [IDSJ]
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Corp Author(s): 情報処理学会.
Jōhō Shori Gakkai.

Publication: 東京 : 情報処理学会, 1986-2009.
; Tōkyō : Jōhōshorigakkai,

Year: 1986-2009

Description: 冊 ; 26 cm.
No.1(1986.7)-94(2009.3.25) = v.86,no.50(1986.7)-v.2009,no.35(2009.3.25).

Language: Japanese (Hide non-Roman characters)

Standard No: **ISSN:** 0919-6072; **National Library:** AN10114171

Note(s): 略タイトル: 情処研報. FI. 裏表紙のタイトル追加: IPSJ SIG notes(no.23-) 別タイトル: 情報処理学会研究報告. FI, 情報学基礎 ; 情報学基礎研究報告 ; 社団法人情報処理学会研究報告 ; IPSJ SIG technical reports ; IPSJ SIG technical report. 号により、他の部編との合冊刊行形態をとる場合がある. タイトルの軽微な変化: 情報処理学会研究報告. 情報学基礎研究会報告→情報処理学会研究報告. FI, 情報学基礎.

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Jōhōgaku kiso kenkyūkai hōkoku; IPSJ SIG notes; Jōhō shori gakkai kenkyū hōkoku. FI, jōhōgaku kiso; Jōhōgaku kiso kenkyū hōkoku; Shadan hōjin jōhō shori gakkai kenkyū hōkoku; IPSJ SIG technical reports; IPSJ SIG technical report

Material Type: Periodical (per)

Document Type: Serial

Entry: 20000802

Update: 20130903

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Accession No: **OCLC:** 835431781
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Imprint: New York : Elsevier Science, c2004.
Description: 498 p.
Copyright Claimant: Elsevier, Inc.
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Date of Publication: 2003-09-17
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AMSTERDAM BOSTON LONDON NEW YORK
OXFORD PARIS SAN DIEGO SAN FRANCISCO
SINGAPORE SYDNEY TOKYO

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Acquisitions Editor: Rick Adams
Associate Editor: Karyn P. Johnson
Publishing Services Manager: Simon G. Crump
Project Manager: Mamata N. Reddy
Designer: Eric DeCicco
Production Services: Graphic World Publishing Services
Composition: Expo
Illustration: Dartmouth Publishing
Printer: The Maple-Vail Book Manufacturing Group
Cover Printer: Phoenix Color

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Morgan Kaufmann Publishers
An imprint of Elsevier Inc.
500 Sansome Street, Suite 400
San Francisco, CA 94111
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Printed in the United States of America

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Library of Congress Control Number: 2003107476
International Standard Book Number: 1-55860-934-2

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To my wife, Sundari, and sons, Sailesh and Shiva
—Bala

To my wife, Dianne
—Paul

To my wife, Karmen
—Tim

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Preface

Bluetooth® wireless technology is a short-range radio standard that provides new opportunities for wireless devices. This radio standard was designed originally as a way of eliminating the cables currently attached to nearly all consumer electronic devices. However, the goals for Bluetooth wireless technology grew as its designers recognized that it enables a new kind of wireless network between electronic devices.

Recent developments in the Java™ programming language make it possible for Java developers to write applications for wireless devices such as cell phones and personal digital assistants. In 2000, the Java programming community recognized the importance of creating a standard extension to the Java programming language for use with Bluetooth devices. A standard application programming interface (API) for Bluetooth was needed because each Bluetooth software protocol stack had its own API for application programmers. These proprietary APIs meant that a Bluetooth application had to be ported to different Bluetooth stacks to run on different devices. Apart from the work involved in writing the code, interoperability testing on the various devices cost time and money for the involved companies. A standard API would help alleviate all these problems.

A team of experts from across the industry was assembled for this effort under Java Specification Request 82 (JSR-82). The result was a specification for Java APIs for Bluetooth wireless technology (JABWT). The specification was the result of collaboration of many companies and individuals in the industry. Some helped define the specification by participating in the JSR-82 expert group, and many others contributed by providing valuable comments.

This book introduces and explains to the community of Java programmers the new standard extensions of the Java programming language for Bluetooth wireless technology.

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Specification documents provide you with the API to which you are programming. But a book like this one explains why an API is needed and how to use it. In addition, because we were members of the Motorola team leading the JSR-82 standardization effort, we believe we can explain the rationale for various features of JABWT.

The objectives of this book are to

- Give an overview of Java 2 Platform, Micro Edition (J2ME™) and Bluetooth wireless technology
- Outline the JABWT architecture
- Explain the API in detail
- Provide example applications
- Present the issues related to implementing JABWT on a device

Intended Audience

The book is intended for software developers, academics, and other professionals who want to develop Java software for Bluetooth devices. The book also is aimed at device manufacturers who want to build devices with Java technology and Bluetooth wireless technology. To gain the most out of this book, you will find it helpful to have a working knowledge of J2ME and familiarity with Bluetooth wireless technology. The book cites several references that provide additional information on these subjects. We believe that a J2ME programmer will need no additional reading beyond this book to write JABWT applications.

How This Book Is Organized

Different readers of this book will be seeking different information. We have identified three sets of people:

1. Those looking for an overview to make decisions on projects
2. Those who will be leading projects or managing projects in this area
3. Programmers who need detailed information on how to program using JABWT

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Apart from the introductory chapters, the chapters are organized into three main sections to accommodate the three sets of people identified above. The three divisions are

1. Overview: The executive introduction
2. API capabilities: The explanation for the project manager
3. Programming with the API: The programmer's guide

Readers can choose the sections that suit their needs in each chapter. Chapters 1 through 3 are overview chapters. Chapters 4 through 8 detail the various sections of the API. Chapter 9 provides examples of JABWT applications. Chapter 10 explains how device manufacturers can implement JABWT on a new device. Throughout the book many code examples are given to explain the API. The complete code for the examples can be found either in the chapters or in Appendix A. The complete JSR-82 API is in Appendixes B and C.

There is a website for this book where you can access the complete code examples found in the book. In addition, you can find the latest news about JABWT, book errata, and other useful links. To access the website, go to www.mkp.com and use the search option with the title of this book.

The topics in this book are organized as follows:
Chapter 1, Introduction, presents an overview of Bluetooth wireless technology and J2ME. It also provides a context for the JABWT specification.
Chapter 2, An Overview of JABWT, defines the goals, characteristics, and scope of JABWT.
Chapter 3, High-Level Architecture, presents the high-level architecture of JABWT.
Chapter 4, RFCOMM, discusses the APIs for Bluetooth serial port communications using RFCOMM.
Chapter 5, OBEX, introduces the architecture and the APIs for making OBEX connections.
Chapter 6, Device Discovery, discusses the APIs for Bluetooth device discovery.
Chapter 7, Service Discovery, describes the APIs for service discovery and service registration.
Chapter 8, L2CAP, presents the API for Bluetooth communications using the logical link control and adaptation protocol.

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Chapter 9, Example Applications, illustrates the use of JABWT through two sample applications.
Chapter 10, Implementing JABWT on a Device, describes the basic porting process and highlights the major issues.
Chapter 11, Closing Remarks, provides a summary of the topics discussed in the book and discusses future trends.
Appendix A contains code for the examples from Chapters 7 and 8.
Appendix B contains the Bluetooth API from the package javax.bluetooth.
Appendix C contains the OBEX API from the package javax.obex.

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discovery in traditional network-based environments. SDP is built on top of L2CAP.

- Serial ports are one of the most common communications interfaces used in computing and communication devices. The RFCOMM protocol provides emulation of serial ports over L2CAP. RFCOMM provides transport capabilities for upper-level services that use a serial interface as a transport mechanism. RFCOMM provides multiple concurrent connections to one device and provides connections to multiple devices.
- Bluetooth-enabled devices will have the ability to form networks and exchange information. For these devices to interoperate and exchange information, a common packet format must be defined to encapsulate layer 3 network protocols. The Bluetooth Network Encapsulation Protocol (BNEP) [6] encapsulates packets from various networking protocols, and the packets are transported directly over L2CAP. BNEP is an optional protocol developed after Bluetooth specification version 1.1 but based on the 1.1 version of the specification.
- Telephony Control Protocol Specification, Binary (TCS binary) defines the call control signaling for establishment of voice and data calls between Bluetooth devices. It is built on L2CAP.
- Adopted protocols such as OBEX and the Internet Protocol (IP), are built on one of the protocols discussed earlier (e.g., OBEX is built on RFCOMM, and IP is built on BNEP).
- The Bluetooth SIG also is defining newer protocols built on one of the protocols discussed earlier, but mainly they are built on top of L2CAP. Hardcopy Control Channel [7], Hardcopy Notification Channel [7], Audio/Video Control Transport Protocol [8], and Audio/Video Distribution Transport Protocol [9] are examples of some newer protocols.

1.3.2 Bluetooth Profiles

In addition to the protocols, Bluetooth profiles have been defined by the Bluetooth SIG [3]. A Bluetooth profile defines standard ways of using selected protocols and protocol features that enable a particular usage model. In other words, it defines how different parts of the Bluetooth specification can be used for a particular use case. A profile can be

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described as a vertical slice through the protocol stack. It defines options in each protocol that are needed for the profile. The dependency of the profiles on protocol layers and features varies. Two profiles may use a different set of protocol layers and a different set of features within the same protocol layer.

A Bluetooth device can support one or more profiles. The four "basic" profiles are the Generic Access Profile (GAP), the Serial Port Profile (SPP), the Service Discovery Application Profile (SDAP), and the Generic Object Exchange Profile (GOEP).

- The GAP is the basis of all other profiles. Strictly speaking, all profiles are based on the GAP. GAP defines the generic procedures related to establishing connections between two devices, including the discovery of Bluetooth devices, link management and configuration, and procedures related to use of different security levels.
- The SDAP describes the fundamental operations necessary for service discovery. This profile defines the protocols and procedures to be used by applications to locate services in other Bluetooth-enabled devices.
- The SPP defines the requirements for Bluetooth devices necessary for setting up emulated serial cable connections using RFCOMM between two peer devices. SPP directly maps to the RFCOMM protocol and enables legacy applications using Bluetooth wireless technology as a cable replacement.
- The GOEP is an abstract profile on which concrete usage case profiles can be built. These are profiles using OBEX. The profile defines all elements necessary for support of the OBEX usage models (e.g., file transfer, synchronization, or object push).

Figure 1.5 shows the relationships among the various Bluetooth profiles. The Bluetooth profiles are hierarchical. For example, the File Transfer Profile is built on top of GOEP, which depends on SPP, which is built upon GAP. Bluetooth profiles also can be classified on the basis of the functional or services point of view. From a programming perspective, however, it is the profile hierarchy that is applicable. The basic profiles—GAP, SDAP, SPP, and GOEP—also are known as *transport profiles*, upon which other profiles, known as *application profiles*, can be built.

Many profiles are based on the basic profiles. More details on these profiles can be obtained from www.bluetooth.com. Figure 1.5 will

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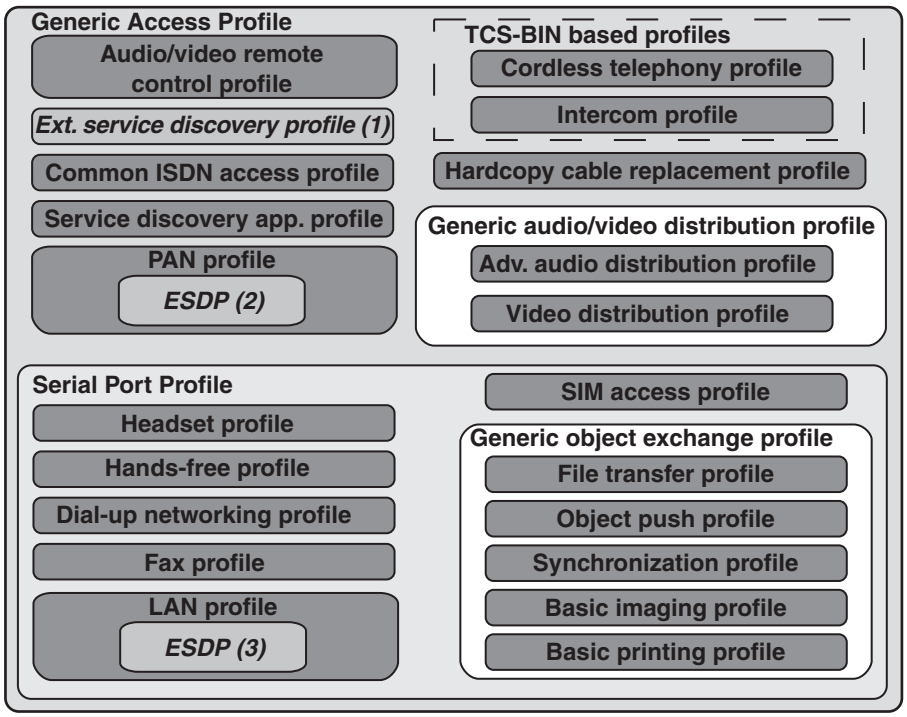


Figure 1.5 Bluetooth profile hierarchy.

probably be obsolete soon because more profiles are being continuously developed. Refer to the Bluetooth website for specifications on the latest available profiles.

1.3.3 Bluetooth Qualification

Bluetooth qualification is the certification process required for any product using Bluetooth wireless technology. The qualification process ensures that products comply with the Bluetooth specification. Only qualified products are entitled to use the free license to the patents required to implement Bluetooth wireless technology, the Bluetooth

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brand, and the Bluetooth logo. Essentially, there are three levels of Bluetooth qualification testing:

- Core specification conformance
- Interoperability testing to ensure that devices work with one another at the profile level
- Bluetooth branding conformance

More details on the qualification process can be obtained from the Bluetooth Qualification Program Website [10].

1.4 What is J2ME?

This section gives a brief overview of J2ME. For details about J2ME, refer to books by Topley [11] and Riggs and colleagues [12].

J2ME is the Java platform for consumer and embedded devices such as mobile phones, pagers, personal organizers, television set-top boxes, automobile entertainment and navigation systems, Internet televisions, and Internet-enabled phones. J2ME is one of the three platform editions. The other two platform editions are Java 2 Platform, Enterprise Edition (J2EE™) for servers and enterprise computers and Java 2 Platform, Standard Edition (J2SE™) for desktop computers. A related technology is Java Card™ technology. The Java Card specifications enable Java technology to run on smart cards and other devices with more limited memory than a low-end mobile phone. These groupings are needed to tailor the Java technology to different areas of today's vast computing industry. Figure 1.6 illustrates the Java 2 platform editions and their target markets.

The J2ME platform brings the power and benefits of Java technology (code portability, object-oriented programming, and a rapid development cycle) to consumer and embedded devices. The main goal of J2ME is to enable devices to dynamically download applications that leverage the native capabilities of each device. Consumer and embedded space covers a range of devices from pagers to television set-top boxes that vary widely in memory, processing power, and I/O capabilities. To address this diversity, the J2ME architecture defines configurations, profiles, and optional packages to allow for modularity and customizability. Figure 1.7 shows the high-level relations between the layers of the J2ME architecture. The layers are explained further in the next section.

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ATTACHMENT 3A

Search

The IETF process: an informal guide

This informal guide to the Internet Engineering Task Force (IETF) standards process aims to assist IETF participants by providing an introduction to the variety of documents that describe it, as well as related groups and processes.

This guide is updated irregularly and may be out of date when you read it if new official documents have appeared recently. Please refer to the various RFCs, Internet Engineering Steering Group (IESG) Statements, or discuss with Working Group chairs or Area Directors for current official guidance.

1. Introduction

The IETF makes the Internet work better by producing high quality, relevant technical documents that influence the way people design, use, and manage the Internet. IETF specifications are published as RFCs. The IETF standards process, related processes, and the groups that guide and oversee them are also defined in RFCs—many of which are further codified as Best Current Practices (BCPs)—and statements by the Internet Engineering Steering Group.

Formally, IETF processes are described in Best Current Practices (BCPs), which are defined by one or more RFCs. Both BCPs and RFCs are assigned numbers, with BCPs sometimes updated to include a new set of RFCs as older RFCs are updated or obsoleted. RFC numbers rather than BCP numbers have been used here for convenient lookup and to clarify which RFC is current for a particular topic as of the last update to this guide.

BCP 9 (RFC2026) has been the basis for the IETF standards process for many years. However, many other process documents exist, some of which are partial updates to BCP 9. This situation is complicated and it is difficult to linearize the IETF standards process. This guide offers one structured way of looking at the official documents. But that is not

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intended to imply priority or importance, and it cannot capture all interactions between components involved in the IETF standardization process.

To avoid any accidental ambiguity, this guide does not attempt to paraphrase or summarize the contents of listed documents.

2. The guide

2.1. General description of workflow in the IETF

No single document formally covers the flow of work in the IETF. The Tao of the IETF offers most of it informally:

- How ideas for new work enter the IETF [RFC6771].
- How they reach a Birds-of-a-Feather (BOF) meeting [RFC5434].
- How they enter formal discussion and possibly become material for a new or existing Working Group (WG).
- How WGs are chartered and managed—the WG Chair's and Area Director's roles.
- How specific proposals become **draft**s and flow through the development, review and approval process [RFC7221].

2.2. Document types

The RFC Series Editor oversees the publication of RFCs. All RFCs start as Internet-**Draft**s (I-Ds). Many I-Ds do not become RFCs. Only some RFCs define standards; an RFC may be informational, experimental, or have another non-standard status.

While only the IESG may submit I-Ds for publication as Standard Track RFCs, the Internet Research Task Force (IRTF), the Internet Architecture Board (IAB), or the Independent Submissions Editor (ISE) may submit I-Ds for publication in their own RFC streams. Non-Standards Track RFCs may be classified as Informational or Experimental. RFCs that have been superseded by more recent specifications may be designated as Historic. A distinction between obsolete and deprecated documents is not currently made in the IETF.

The main document defining the IETF standards process is “The Internet Standards Process -- Revision 3” [RFC2026]. Numerous documents have amended RFC2026, and some these have been amended or replaced in their turn. One of these updates has been to refine Standards-Track RFCs maturity to two levels: Proposed Standard and Internet Standard.

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2.3. Standards track documents

Technical Specifications
These are described in RFC 2026 as amended by RFC 6410, covering standards track, BCP and Experimental documents. The STD (standard) designation is documented in [RFC1311]. A consolidated list of standards documents is published on the RFC Editor website. Further details about specifics are available:

Variance procedures for down-level normative references

- [RFC3967] Clarifying when Standards Track Documents may Refer Normatively to Documents at a Lower Level
- [RFC4897] Handling Normative References to Standards-Track Documents
- [RFC8067] Updating When Standards Track Documents May Refer Normatively to Documents at a Lower Level

A specific process for advancing management information base (MIB) documents

- [RFC2438] Advancement of MIB specifications on the IETF Standards Track

A specific process for advancing metric documents

- [RFC6576] IP Performance Metrics (IPPM) Standard Advancement Testing

Documentation of implementation status

- [RFC7942] Improving Awareness of Running Code: The Implementation Status Section

2.4. Review and approval process

The formal process of reviewing and approving specifications intended to become standards is currently defined by two documents that must be read together:

- [RFC2026] The Internet Standards Process -- Revision 3
- [RFC6410] Reducing the Standards Track to Two Maturity Levels

Other documents provide additional details about other components of the review and approval process.

- [RFC4858] Document Shepherding from Working Group Last Call to Publication
- [RFC5657] Guidance on Interoperation and Implementation Reports for Advancement to Draft Standard
- [RFC8789] IETF Stream Documents Require IETF Rough Consensus

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Various review teams exist in different IETF Areas, each with their own technical criteria. Many of these are organized as IETF Directorates (e.g. Transport Area Review Team, Security Area Directorate, General Area Review Team), a list of which is available on the IETF Datatracker.

The Internet Engineering Steering Group (IESG) is responsible for the final review of IETF documents. Members of the IESG have the option, when they review a document, of stating a "DISCUSS" position. The DISCUSS identifies one or more issues that must be discussed in relation to the document before the document can become an RFC. Further details:

- IESG Statement: DISCUSS Criteria in IESG Review
- [RFC 2026] Section 6.5: Conflict Resolution and Appeals

2.5. Intellectual Property Rights (IPR)

The IETF standards process provides a mechanism for filing disclosures regarding Intellectual Property Rights (IPR). The IETF Note Well summarizes policies in effect for IETF participation and contributions. The IETF Trust holds and manages the intellectual property rights involved in the IETF standards process.

Rights in Contributions (copyrights)

- [RFC5378] Rights Contributors Provide to the IETF Trust
- [RFC8721] Advice to the Trustees of the IETF Trust on Rights to Be Granted in IETF Documents

The IETF Trust legal provisions provide additional detail about how the trust carries out its IPR responsibilities.

Additional information about Management Information Base (MIB) related documents

- [RFC4181] Guidelines for Authors and Reviewers of MIB Documents
- [RFC4841] RFC 4181 Update to Recognize the IETF Trust

Rights in Technology (patents)

- [RFC8179] Intellectual Property Rights in IETF Technology

Trademarks

- [RFC5378] Rights Contributors Provide to the IETF Trust

Promoting compliance with IPR policies and sanctions for violating them

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- [RFC6701] Sanctions Available for Application to Violators of IETF IPR Policy
- [RFC6702] Promoting Compliance with Intellectual Property Rights (IPR) Disclosure Rules

2.6. Bodies involved in the process

There are several RFCs that describe the various groups involved in the process and how they operate.

Internet Engineering Task Force

- [RFC2028] The Organizations Involved in the IETF Standards Process
- [RFC3233] Defining the IETF
- [RFC3935] A Mission Statement for the IETF
- [RFC2418] IETF Working Group Guidelines and Procedures
- [RFC4858] Document Shepherding from Working Group Last Call to Publication
- [RFC7282] On Consensus and Humming in the IETF
- [RFC7649] The Jabber Scribe Role at IETF Meetings

Internet Engineering Steering Group (IESG)

- [RFC3710] An IESG Charter
- [RFC7475] Increasing the Number of Area Directors in an IETF Area

Internet Architecture Board

- [RFC2850] Charter of the Internet Architecture Board (IAB)

The IAB acts as representative of the interests of the IETF and the Internet Society in technical liaison relationships with other organizations concerned with standards and other technical and organizational issues relevant to the world-wide Internet.

- [RFC4052] IAB Processes for Management of IETF Liaison Relationships
- [RFC4053] Procedures for Handling Liaison Statements to and from the IETF
- [RFC4691] Guidelines for Acting as an IETF Liaison to Another Organization

Nominating Committee

- [RFC8713] IAB, IESG, IETF Trust, and IETF LLC Selection, Confirmation, and Recall Process: Operation of the IETF Nominating and Recall Committees
- [RFC8788] on Eligibility for the 2020-2021 Nominating Committee

Relationship to the Internet Society (ISOC)

- [RFC8712] The IETF-ISOC Relationship

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- [RFC3677] IETF ISOC Board of Trustee Appointment Procedures

Internet Research Task Force

The Internet Research Task Force (IRTF) is quite separate from the IETF and not directly involved in the standards process, but information about it may provide useful context as it publishes its own stream of RFCs.

2.7. Conduct of participants

- [RFC7154], IETF Guidelines for Conduct

Since much of the work in the IETF is conducted on mailing lists, a number of RFCs address that context.

The anti-harassment policy is described in the IESG's Statement in RFC 8716, “Update to the IETF Anti-Harassment Procedures for the Replacement of the IETF Administrative Oversight Committee (IAOC) with the IETF Administration LLC”

- Conduct is also discussed in the Tao of the IETF
- [RFC3005] IETF Discussion List Charter
- [RFC3683] A Practice for Revoking Posting Rights to IETF Mailing Lists
- [RFC3934], Updates to RFC 2418 Regarding the Management of IETF Mailing Lists
- [RFC4633] Experiment in Long-Term Suspensions From Internet Engineering Task Force (IETF) Mailing Lists
- The appeal process is described in RFC 2026.

2.8. Publication process

General information about the RFC Series and RFC Editor

- [RFC8729] The RFC Series and RFC Editor
- [RFC8728], RFC Editor Model (Version 2)
- [RFC7841] RFC Streams, Headers, and Boilerplates

Information about IAB stream RFCs

- [RFC4845] Process for Publication of IAB RFCs
- [RFC5745] Procedures for Rights Handling in the RFC IAB Stream

Information about Independent Submissions to the RFC Editor

- [RFC8730] Independent Submission Editor Model
- [RFC4846] Independent Submissions to the RFC Editor

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- [RFC5744] Procedures for Rights Handling in the RFC Independent Submission Stream
- [RFC5742] IESG Procedures for Handling of Independent and IRTF Stream Submissions

Information about IRTF submissions to the RFC Editor

- [RFC5743] Definition of an Internet Research Task Force (IRTF) Document Stream
- [RFC5742 IESG Procedures for Handling of Independent and IRTF Stream Submissions

Format and mechanics for Internet-Drafts

- Guidelines to Authors of Internet-Drafts
- Checklist for Internet-Drafts (IDs) submitted for RFC publication

Format and mechanics for RFCs

- [RFC7322] The RFC Editor style guide

Those writing specifications and standards may also find the following useful:

- [RFC2119] Key words for use in RFCs to Indicate Requirement Levels
- [RFC8174] Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words
- [RFC2360] Guide for Internet Standards Writers
- [RFC8126] Guidelines for Writing an IANA Considerations Section in RFCs
- [RFC3552] Guidelines for Writing RFC Text on Security Considerations
- [RFC4775] Procedures for Protocol Extensions and Variations
- [RFC5704] Uncoordinated Protocol Development Considered Harmful
- [RFC5706].Guidelines for Considering Operations and Management of New Protocols and Protocol Extensions

2.9. Parameter registration process (IANA)

Formal agreement and roles

- [RFC2860] Memorandum of Understanding Concerning the Technical Work of the Internet Assigned Numbers Authority
- [RFC8722] Defining the Role and Function of IETF Protocol Parameter Registry Operators
- [RFC8720] Principles for Operation of Internet Assigned Numbers Authority (IANA) Registries

Guidelines for RFC authors

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- [RFC8126] Guidelines for Writing an IANA Considerations Section in RFCs
- [RFC7120].Early IANA Allocation of Standards Track Code Points

Protocol assignments

- IANA website

2.10. Administration and IETF meetings

- [RFC8711] Structure of the IETF Administrative Support Activity, Version 2.0
- [RFC8714], Update to the Process for Selection of Trustees for the IETF Trust
- [RFC8715], IETF Administrative Support Activity 2.0: Update to the Process for Selection of Trustees for the IETF Trust
- [RFC8717] IETF Administrative Support Activity 2.0: Consolidated Updates to IETF Administrative Terminology
- [RFC8718] IETF Plenary Meeting Venue Selection Process
- [RFC8719] High-Level Guidance for the Meeting Policy of the IETF

2.11. Modifying the process

RFC 2026 defines how process BCPs are discussed and approved. Experiments in process changes are possible.

- [RFC3933] A Model for IETF Process Experiments
- IESG note about process experiments

3. Acknowledgements

This document was originally created and edited by Brian Carpenter. Useful comments have been made by: Harald Alvestrand, Scott Bradner, Spencer Dawkins, Leslie Daigle, John Klensin, Paul Hoffman, and others.

4. Administrivia

- Revision date: 2020-06-24
- This document is maintained as part of www.ietf.org
- Discussion forum: ietf@ietf.org

Standards process

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Choosing between Informational and Experimental Status
The Role of the IESG in the Standards Process
Appeals
IESG Ballot Procedures
The IETF process: an informal guide
Proposing new work

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ATTACHMENT 3B

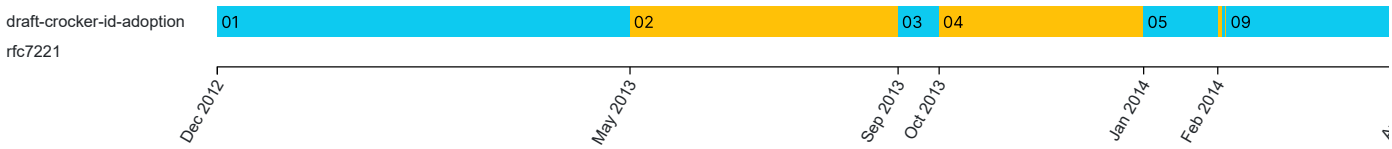
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Handling of Internet-Drafts by IETF Working Groups
RFC 7221

Status | IESG evaluation record | IESG writeups | Email expansions | History

Versions:

00 | 01 | 02 | 03 | 04 | 05 | 06 | 07 | 08 | 09 | RFC 7221



Document	**Type**	RFC - Informational (April 2014) Was draft-crocker-id-adoption (individual in gen area)
	Authors	Adrian Farrel ✉, Dave Crocker ✉
	Last updated	2015-10-14
	RFC stream	Internet Engineering Task Force (IETF)
	Formats	txt	html	pdf	htmlized	bibtex
	Reviews	GENART Last Call review (of -05) by Robert Sparks Ready OPSDIR Last Call review (of -05) by Dan Romascanu Ready SECDIR Last Call review (of -05) by Klaas Wierenga Has Nits
Stream	**WG state**	(None)
	Document shepherd	Barry Leiba ✉
	Shepherd write-up	Show Last changed 2014-02-03
IESG	**IESG state**	RFC 7221 (Informational)
	Action Holders	(None)
	Consensus boilerplate	Unknown
	Telechat date	(None)
	Responsible AD	Barry Leiba ✉
	Send notices to	barryleiba@computer.org
IANA	**IANA review state**	Version Changed - Review Needed
	IANA action state	No IANA Actions

Email authors | IPR | ← References | → Referenced by | ! Nits | Search lists

RFC 7221

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Datatracker

Category: Informational
ISSN: 2070-1721

D. Crocker, Ed.
Brandenburg InternetWorking

April 2014

Handling of Internet-Drafts by IETF Working Groups

Abstract

The productive output of an IETF working group is documents, as mandated by the working group's charter. When a working group is ready to develop a particular document, the most common mechanism is for it to "adopt" an existing document as a starting point. The document that a working group adopts and then develops further is based on initial input at varying levels of maturity. An initial working group draft might be a document already in wide use, or it might be a blank sheet, wholly created by the working group, or it might represent any level of maturity in between. This document discusses how a working group typically handles the formal documents that it targets for publication.

Status of This Memo

This document is not an Internet Standards Track specification; it is published for informational purposes.

This document is a product of the Internet Engineering Task Force (IETF). It represents the consensus of the IETF community. It has received public review and has been approved for publication by the Internet Engineering Steering Group (IESG). Not all documents approved by the IESG are a candidate for any level of Internet Standard; see Section 2 of RFC 5741.

Information about the current status of this document, any errata, and how to provide feedback on it may be obtained at http://www.rfc-editor.org/info/rfc7221.

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1. Introduction

The productive output of an IETF working group (WG) is documents, as mandated by the working group's charter. Working groups develop these documents based on initial input at varying levels of maturity. An initial working group draft might be a document already in wide use, or it might be a blank sheet, wholly created by the working group, or it might represent any level of maturity in between. This document discusses how a working group typically handles the formal documents that it targets for publication. The discussion applies only to the IETF and does not cover IRTF groups, where practices vary widely.

Within the general constraints of formal IETF process and the specific constraints of a working group's charter, there can be considerable freedom in the adoption and development of drafts. As with most IETF activities, the ultimate arbiter of such choices is working group agreement, within the constraints of its charter. As with most working group management, this agreement might be explicit or implicit, depending upon the efficiencies that the group deems appropriate.

NOTE: This document is intentionally non-normative. It is meant as a guide to common practice, rather than as a formal definition of what is permissible.

1.1. What Is a WG Draft?

Working group drafts are documents that are subject to IETF working group revision control, with advancement for publication as an RFC requiring rough consensus in the working group and then in the broader IETF. Creation or adoption of a draft by a working group -- as well as substantive changes to the document -- need to represent working group rough consensus.

Documents under development in the IETF community are distributed as Internet-Drafts (I-Ds) [RFC2026] [ID-Info]. Working groups use this mechanism for producing their official output, per Section 7.2 of [RFC2418] and Section 6.3 of [Tao]. The common convention for identifying an I-D formally under the ownership of a working group is by the inclusion of "ietf" in the second field of the I-D filename and the working group name in the third field, per Section 7 of [ID-Guidelines]. That is:

draft-ietf-<wgname>-...

In contrast, individual submissions are drafts being created and pursued outside of a working group, although a working group might choose to adopt the draft later, as discussed below. Anyone is free to create an individual submission at any time. Such documents are typically distinguished through the use of the author/editor's last name, in the style of:

draft-<lastname>-...

(Also see Section 5.1 for an elaboration on this naming.)

Responsibility for direct revision of a working group I-D is assigned to its editors and authors. See Section 3 for discussion about their selection and role.

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1.2. Working Group Authority and Consensus

A premise of the IETF is that, within a working group, it is the working group itself that has final authority over the content of its documents, within the constraints of the working group's charter. No individual has special authority for the content. The Chairs assign document authors/editors and can formulate design teams, but the content of working group documents is always, ultimately, subject to working group approval. Approval is described in terms of the IETF's "rough consensus" construct, which is the prime example of the IETF's preference for pragmatics over niceties. Unanimous agreement is always desirable, but more approximate (rough) agreement will suffice, as long as it is clear and strong.

Other than for selection of document authors/editors, as discussed in Section 3, working group decision-making about document management is subject to normal IETF rough consensus rules. Useful descriptions of this process for a working group are in Section 3.3 of [RFC2418] and Section 4.2 of [Tao]. Discussion of the nature of rough consensus can be found in [Consensus].

In terms of the IETF's formal rough consensus processes, the working group explicitly develops, modifies, reviews, and approves document content, according to overt rough consensus. For difficult topics and/or difficult working group dynamics, this laborious process really is essential. Its diligence validates progress at each step along the way. However, working groups often handle simpler matters more simply, such as allowing a Chair to assert the likely agreement and then merely call for objections. Ultimately, the mode of working group decision-making is determined by the comfort and engagement of the working group with the way the decisions are being made.

At times, a document author/editor can appear to have considerable authority over content, but this is (merely) for efficiency. That is, the Chairs can permit authors and editors to proceed with an implied (default) working group agreement, as long as the working group is comfortable with that mode. Of course, the benefit in the mode is efficiency, but its risk is failure to retain or verify actual consensus among the working group participants. When a working group is operating in the mode of active, direct author/editor content development, an easy validation method is simply to have Chairs query the working group when a new document version appears, asking for comments and concerns.

In general, when it is not completely obvious what the opinion of the working group is, Working Group Chairs can poll the working group to find out. As with any other consensus question, the form in which it is asked can make a difference. In particular, a general 'yes/no' question often is not as helpful as asking supporters and detractors of a draft -- or of the decision under consideration -- to provide their reasons, not merely their preferences. In effect, this treats the matter of consensus as an ongoing discussion. Ideally, the discussion can produce changes in the document or in participant views, or both.

1.3. Questions Considered in This Document

The purpose of this document is to discuss the criteria and sequence typically followed when adopting and developing a formal IETF working group document. Therefore, this document considers the following questions that are particularly relevant to Working Group Chairs who are charged with running the process:

* How do Working Group Chairs decide which drafts to adopt and when?

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* How do Working Group Chairs make the decision?

* What are the process steps the working group will choose to use, for an I-D to become a WG I-D?

* Are there any special cases?

* Can a document be created as a WG I-D from scratch?

* How can competing drafts be handled?

* Can an individual I-D be under the care of a WG?

* Can a WG I-D become an individual I-D?

2. Adoption Sequence

2.1. Common Steps

When there is interest in adopting a document as a new working group document, the Chairs often:

1. Remind current draft owners that they are transferring change control for the document to the IETF. (This is a particularly significant point for a document covered by proprietary interests, because it typically entails a negotiation between the current owners and the IETF, including a formal agreement.)

2. Check for known IPR that needs to be disclosed, using some technique like those described in [RFC6702].

3. Obtain working group rough consensus.

4. Choose document editors.

5. Instruct authors to post the WG I-D.

6. Approve posting [Approval].

7. Ensure that the non-working group version of the draft is marked as being replaced by this working group version.

8. Encourage everyone to enjoy the ensuing working group discussion...

2.2. Criteria for Adoption

No formal specification for working group 'adoption' of a draft exists; the current document is meant to provide a description of common activities for this, but again note that it is not normative.

There are some basic considerations when deciding to adopt a draft:

* Is there a charter milestone that explicitly calls for such a document?

* Is the topic of the I-D within scope for the working group?

* Is the purpose of the draft sufficiently clear?

* Does the document provide an acceptable platform for continued effort by the working group?

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* What are the process or technical objections to adoption of the draft?

* Is the draft likely to be completed in a timely manner?

* Does the intended status of the document seem reasonable to the working group?

* If not already in scope, is a simple modification to the charter feasible and warranted?

* Does the draft carry known intellectual property rights issues?

* Is there strong working group support for working on the draft?

Adoption has some basic pragmatics:

Rough consensus: Working group agreement to adopt is not required to be unanimous [RFC2418].

Initial, not final: The writing quality is not required to be "ready for publication", although writing quality can be a problem and does need explicit attention; although not mandatory, it is good practice to check whether a new working group draft passes [IDNITS].

Adoption, not approval: The document is not required to already contain a complete and/or sufficient solution, although of course this can be helpful. Equally, adoption by a working group does not guarantee publication of the document as an RFC.

Group, not Chairs: Concerning the draft, the position of the Working Group Chairs has no special authority, except to assess working group consensus.

REMINDER: Once a working group adopts a draft, the document is owned by the working group and can be changed however the working group decides, within the bounds of IETF process and the working group charter. Absent explicit agreement, adopting a document does not automatically mean that the working group has agreed to all of its content. So a working group (or its charter) might explicitly dictate the basis for retaining, removing, or modifying some or all of a draft's content, technical details, or the like. However, in the absence of such constraints, it is worth having the adoption process include a sub-process of gathering working group concerns about the existing draft and flagging them explicitly.

3. Authors/Editors

Document authors/editors are chosen by the Working Group Chairs. Document editors are described in Section 6.3 of [RFC2418]. Authors and editors are described in [RFC-Auth-Ed].

NOTE: In this document, the terms 'author' and 'editor' are meant interchangeably. Within the IETF, the distinction between an 'author' and an 'editor' is, at best, subjective. A simplistic rule of thumb is that editors tend to do the mechanics of incorporating working group detail, whereas authors tend to create the detail, subject to working group approval. That is, one role is more active with the content, and the other is more passive. It is a responsibility of the Working Group Chairs to ensure that document authors make modifications in accord with working group rough consensus. Authors/editors are solely chosen by the Chairs

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For existing documents that are being adopted by a working group, there is a special challenge in the selection of document editors. Because the document has already had editors, the question "Are the same people appropriate for continuing the task?" is asked. Sometimes the answer is yes, but this is not automatic. The process within an IETF working group can be quite different from the process that created previous versions. This well might make it appropriate to select one or more new editors, either as additions to the editor team or as primary pen-holders (effectively reclassifying the previous team as coauthors).

If the original editors are to continue in their role, the Chairs might want to ensure that the editors understand IETF working group process; it is likely to be quite different from the process that developed earlier versions of the document. If additional or new editors are assigned, the transition can be discussed, including its reasons; this is best done as soon as possible.

4. Document History and Stability

Working group charters sometimes specify an initial set of existing documents to use as a basis of the working group's activities. That 'basis' can vary considerably, from simple input to working group discussion, all the way to an advanced draft adopted by the working group and subject only to minimal changes. The role of a document should be explicitly stated in the charter.

Within the scope of its charter, a working group is free to create new documents. It is not required that all drafts start as the effort of an individual. Of course, the criteria for brand new documents are likely to be the same as for those imported into the working group, with the additional and obvious requirement that the Working Group Chairs will need to appoint authors/editors before any work can progress. Note that, from time to time, a working group will form a design team to produce the first version of a working group draft. Design teams are discussed in Section 6.5 of [RFC2418].

Work that is brought to the IETF has different levels of completeness and maturity, and different timings for having achieved those levels. When the IETF charters a group and includes existing material, the charter can cast the role of that material in very different ways. It can treat it as:

* no more than a set of ideas, to be used or ignored;

* a basic design, with all of the actual details still fluid;

* a rough draft, subject to extensive revision;

* a solid specification that merely needs review, refinement, and maybe enhancement;

* a deployed technology that is best served by trying to protect its installed base, but with some tolerance for changes that affect interoperability;

* a deployed technology for which protecting the installed base is essential, including retention of core interoperability.

These suggest a wide range of possible constraints on working group effort. Technology is brought to the IETF at different points of maturity along its life cycle, and the nature of the technology can have widely varying utility in developing an Internet standard.

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not necessarily add much to the development and deployment costs. However, when the technology is already part of a mature and extensive operational deployment, any changes that are incompatible are likely to be problematic for that market and can hinder adoption of the changes overall. For example, immediately after the development investment is made -- and especially when there has been considerable initial deployment but there is still room for quite a bit more -- the installed and potential base might not take kindly to disruptive standards work that undermines their recent investment.

Conversely, even a deployed technology with a solid base might be inappropriate to deploy at Internet scale, and while a document specifying such a technology might serve as a good starting point on which to base a new specification, undermining of the deployed base might be completely appropriate.

In reflecting upon the basis for adopting an existing draft and the way it will be used by the working group, it is important to consider the document's place in its life cycle, the needs of any installed base, and the applicability of the draft's technology, when deciding on the constraints to impose on document development. It will all depend on the constraints of the charter and the analysis of the working group.

5. Some Issues for Consideration

5.1. Individual I-Ds under WG Care

Sometimes, a working group facilitates a draft but does not own it or formally adopt it. These are "individual" drafts [Individual].

As noted in Section 1.1 and reinforced in [ID-Guidelines], the convention for identifying an I-D formally under the ownership of a working group is by following the naming convention:

draft-ietf-<wgname>-...

By contrast, documents that are still under the control of their authors are known as "individual" I-Ds. When these documents are intended for consideration by a specific working group, the convention is that the document uses the naming convention as follows, where the second element is the last name of one of the principal authors.

draft-<lastname>-<wgname>...

Having the working group name following the personal name allows tools to associate these drafts with the working group, even though the filename identifies them as the work of individuals.

The working group can choose to apply any of its normal, internal working group process management mechanisms to an individual I-D. However, matters of ownership, working group final approval, and the like are all subject to negotiation amongst the document authors, working group, and Area Directors.

This is a rare situation, and Working Group Chairs can be assured that the Area Directors will want to understand why the document could not be adopted and owned by the working group.

5.2. WG Drafts Can Become Individual Drafts

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appropriate for working group effort. If a working group drops a draft, then anyone is permitted to pursue it as an Individual or Independent Submission, subject to the document's existing copyright constraints.

5.3. Competing Drafts

Engineering for interesting topics often produces competing, interesting proposals. The reasons can be technical aesthetics, engineering trade-offs, architectural differences, company economics, and the like. Although it is far more comfortable to entertain only one proposal, a working group is free to pursue more than one. Often this is necessary until a clear preference develops. Sometimes, multiple versions are formally published, absent consensus among the alternatives.

It is appealing to ask authors of competing proposals to find a way to merge their work. Where it makes sense to do this, it can produce a single, strong specification. The detailed discussions to merge are often better held in a design team than amidst the dynamics of an open working group mailing list. The working group has ultimate authority over any decisions, but it is not required that it be involved in all the discussions.

On the other hand, some differences cannot be resolved, and attempting a merge can produce a weaker result. An example of this problem of conflicting design goals is discussed in [Heli-Sub], noting:

> "Helicopters are great, and so are submarines. The problem is that if you try to build one vehicle to perform two fundamentally different jobs, you're going to get a vehicle that does neither job well."

Various management efforts can facilitate the handling of competing proposals. Some examples include:

* Developing a requirements document that is independent of specific proposals; this can highlight features that are deemed essential and distinguish them from features that are of secondary importance, and can facilitate a discussion about features without reference to specific proposals.

* Developing a comparison table of the proposals; this can aid understanding of their differences.

* Discussing the relative importance and effects of having one proposal, versus multiple; this can focus people's efforts at compromise and encourage a willingness to choose a single proposal.

The problem of competing drafts can be particularly painful when it arises in either of two circumstances:

* If a second proposal appears as a new draft, just as the Chairs were ready to poll the working group on adoption of the draft containing the first proposal, then the authors of the first proposal could feel affronted. It does not follow that the second draft was written to be difficult or derail the first: it might even include better ideas. So it is best not to disregard it. However, automatically asking the authors to merge their work will not necessarily produce a more solid solution and will not guarantee faster progress. This situation will be a judgement call in each case, and it might help to ask the working group for

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authors of both documents have reached agreement?

* If the working group has already adopted an I-D on a specific topic, the posting of a new individual I-D on the same topic could be seen as an attack on the working group processes or decisions. However, posting an I-D is often a good way to put new ideas into concrete form, for public consideration and discussion. The Working Group Chairs will want to encourage the working group to consider the new proposal. Shall it be adopted and entirely replace the current working group draft? Shall the new ideas be incorporated into the work of the working group through the normal editorial process? Shall the working group adopt a second competing solution? Or shall the new draft be rejected and not adopted by the working group?

6. Security Considerations

Beyond the credibility of the IETF, this document raises no security concerns.

7. Acknowledgements

This document was developed from an IETF tutorial given by A. Farrel at an IETF Working Group Chairs lunch [Farrel-Chairs]. L. Anderson contributed useful comments.

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