Paper No. 5

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO., LTD.,
Petitioner,

v.

HEADWATER RESEARCH LLC,
Patent Owner.

Case No. IPR2023-01362
U.S. Patent No. 9,271,184

PATENT OWNER'S MANDATORY NOTICES

Pursuant to 37 C.F.R. § 42.8(a)(3), patent owner Headwater Research LLC provides mandatory notices.

I. 37 C.F.R. § 42.8(B)(1)—REAL PARTY-IN-INTEREST

The Patent Owner and sole real party-in-interest is Headwater Research LLC.

II. 37 C.F.R. § 42.8(B)(2)—RELATED MATTERS

A decision in this proceeding may affect, or be affected by, the following matter in which Patent Owner has asserted the '184 patent: *Headwater Research LLC v. Samsung Electronics Co., Ltd., et al.*, Case No. 2:22-cv-00422-

JRG-RSP (E.D. Tex.). Petitioner has also petitioned for *inter partes* review of the ’184 patent in Case No. IPR2023-01361.

III. 37 C.F.R. § 42.8(B)(3)—LEAD AND BACK-UP COUNSEL

Lead Counsel:

Reza Mirzaie
Reg. No. 69,138
Email: rmirzaie@raklaw.com

Back-Up Counsel:

Marc A. Fenster
Pro Hac Vice
Email: mfenster@raklaw.com

Dale Chang
Reg. No. 61,476
Email: dchang@raklaw.com

Amy E. Hayden
Reg. No. 64,817
Email: ahayden@raklaw.com

James A. Milkey
Reg. No. 79,503
Email: jmilkey@raklaw.com

Neil A. Rubin
Reg. No. 67,030
Email: nrubin@raklaw.com

Philip X. Wang
Reg. No. 74,621
Email: pwang@raklaw.com

12424 Wilshire Boulevard, 12th Floor
Los Angeles, CA 90025
Telephone: 310-826-7474
Facsimile: 310-826-6991

IV. 37 C.F.R. § 42.8(B)(4)—SERVICE INFORMATION

Please address all correspondence to the lead and backup counsel as shown above. Patent Owner consents to electronic service by email at rak_headwater@raklaw.com and at the email addresses given above.

Dated: September 20, 2023

/Neil A. Rubin/

Reza Mirzaie (Reg. No. 69,138)
Marc A. Fenster (*Pro Hac Vice*)
Dale Chang (Reg. No. 61,476)
Amy E. Hayden (Reg. No. 64,817)
James A. Milkey (Reg. No. 79,503)
Neil A. Rubin (Reg. No. 67,030)
Philip X. Wang (Reg. No. 74,621)
RUSS AUGUST & KABAT
12424 Wilshire Boulevard, 12th Floor
Los Angeles, CA 90025
Telephone: 310-826-7474
Email: rmirzaie@raklaw.com
Email: mfenster@raklaw.com
Email: dchang@raklaw.com
Email: ahayden@raklaw.com
Email: jmilkey@raklaw.com
Email: nrubin@raklaw.com
Email: pwang@raklaw.com

Attorneys for Patent Owner,
HEADWATER RESEARCH LLC

CERTIFICATE OF SERVICE

I hereby certify that "Patent Owner's Mandatory Notices" was served on September 20, 2023 by email sent to:

W. Karl Renner
Jeremy J. Monaldo
Roberto Devoto
FISH & RICHARDSON PC
60 South Sixth Street, Suite 3200
Minneapolis, MN 55402
Telephone: 202-783-5070
Email: renner@fr.com
Email: jjm@fr.com
Email: devoto@fr.com

Email: IPR39843-0156IP2@fr.com
Email: PTABInbound@fr.com
Email: axf-ptab@fr.com

/Neil A. Rubin/