Paper No. 5

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO., LTD.,
Petitioner,

v.

HEADWATER RESEARCH LLC,
Patent Owner.

Case No. IPR2023-01462
U.S. Patent No. 9,277,445

PATENT OWNER'S MANDATORY NOTICES

Pursuant to 37 C.F.R. § 42.8(a)(3), patent owner Headwater Research LLC provides mandatory notices.

I. 37 C.F.R. § 42.8(B)(1)—REAL PARTY-IN-INTEREST

The Patent Owner and sole real party-in-interest is Headwater Research LLC.

II. 37 C.F.R. § 42.8(B)(2)—RELATED MATTERS

A decision in this proceeding may affect, or be affected by, the following matter in which Patent Owner has asserted the '445 patent: *Headwater Research LLC v. Samsung Electronics Co., Ltd., et al.*, Case No. 2:22-cv-00422-JRG-RSP (E.D. Tex.).

III. 37 C.F.R. § 42.8(B)(3)—LEAD AND BACK-UP COUNSEL

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IV. 37 C.F.R. § 42.8(B)(4)—SERVICE INFORMATION

Please address all correspondence to the lead and backup counsel as shown above. Patent Owner consents to electronic service by email at rak_headwater@raklaw.com and at the email addresses given above.

Dated: January 5, 2024

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CERTIFICATE OF SERVICE

I hereby certify that "Patent Owner's Mandatory Notices" was served on January 5, 2024 by email sent to:

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