

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

XU PEICHENG (HONG KONG) OPTOELECTRIC
TECHNOLOGY CO., LIMITED,
Petitioner
v.

SEMISILICON TECHNOLOGY CORP.,
Patent Owner

Case IPR2024-00156

Patent No. 8,884,546

DECLARATION OF DR. MICHAEL LEBBY

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I. INTRODUCTION

1. I, Dr. Michael Lebby, submit this declaration to state my opinions on the matters described below.

2. The opinions to which I will testify, if asked, are set forth in this Declaration. My opinions in this Declaration are based upon the information that I have received to date. They may be supplemented or modified if additional information is received. They may also be supplemented to rely on additional information or opinions provided by the parties (or witnesses retained by the parties) and issues that may arise.

3. I have been retained on behalf of the Patent Owner Semisilicon Technology Corp. as an independent expert for the above-identified *inter partes* review proceeding involving U.S. Patent No. 8,884,546 (“the ’546 patent”). I am being compensated for my time in connection with this IPR at my standard hourly consulting rate and reimbursed for reasonable out-of-pocket expenses. No part of my compensation depends on the outcome of this proceeding, and I have no other interest in this proceeding. I have been asked to provide my technical review, analysis, insights, and opinions regarding the ’546 patent and the below-noted references that form the basis for the invalidity grounds set forth in the Petition for *Inter Partes* Review of the ’546 patent.

II. QUALIFICATIONS AND BACKGROUND

4. I am well qualified to serve as a technical expert in this matter based upon my educational and work experience. I have personal knowledge and expertise concerning the relevant technologies based upon my education, training, and experience.

A. Professional Qualifications and Experience

5. I am currently the CEO and CTO at GenusTechnik Inc., which provides consulting services in the areas of photonics, optoelectronics, semiconductors, and fiber optic telecommunication technology segments.

6. In addition, I am currently Chairman, CEO, and Director of the Board of Directors for Lightwave Logic. As CEO, I have successfully guided Lightwave Logic to up-list to NASDAQ organically (without the raising of financing) in September 2021 and acceptance into the Russell 3000 index in 2022.

7. I have over 45 years of experience in the industry. My career began in 1977 when I was employed at the Royal Electrical and Mechanical Engineer division of the Ministry of Defense in the UK, where I specialized in the area of telecommunications, communications, electronics, and heavy and light current electrical circuits. I have worked at AT&T's research labs – Bell Labs (1985-1989) and focused in the fields of semiconductors, electronics, and photonics. I then drove the development (and co-authored the first patent) of the oxide VCSEL

diode laser at Motorola in the 1990s (which is now used in laser mice, 3D structured light sensing in mobile phones, optical interconnects; where volumes of the laser are over 1 billion units today). From 2005-2010, I led the USA trade association in optoelectronics (OIDA) and represented the optoelectronics and photonics industry on Capitol Hill.

8. I have led and run technical start-ups and commercialized optoelectronic and photonics technology into volume manufacturing. I also have been a Corporate Venture Capitalist specializing in Optical Communications, photonics, sensing, electronics, semiconductors, and I am currently a technical expert to the European Commission. I am a Fellow Member of National Academy of Inventors (NAI), IEEE, and Optica (OSA) and have been voted Photonic Integrated Circuit (PIC) business leader of the year by the PIC International Conference in 2018.

9. In particular, my technical expertise has included the design of components for fiber optics, fiber optic connectors, optics, and associated photonics technology to convert electrical signals into optical signals and convey information from a source to a receiver. These components include LEDs, ICs, lasers, photodetectors, diodes etc. In many of my industrial and commercial roles in the fiber optics industry I have used, designed, optimized, and improved fiber

optic connectors, and interface means, both through solutions of engineering problems as well as patents and publications.

10. Over the course of my career in fiber optics, optoelectronics, and optical technology in general, I have worked in nearly every aspect of the field, including fiber optic connectors, fiber splicing, electrical connectors, optical assembly, laser diodes, photodetectors, LEDs, integrated circuits (e.g. drivers, and other basic electronic digital and analog functions), as well as fiber optics in general. Accordingly, I have experience and expertise relating to the design of optical interconnects that not only utilize optical connectors, but include epitaxial wafer growth, laser/photodetector device fabrication, implementation of fiber optic devices into packages, electronic ICs, and packaging materials such as plastics, metallic based, semiconductor based, and ceramic based. I have also designed fiber optic optoelectronic device packages (e.g., through-pin, surface mount, flip-chip and various combinations thereof) for printed circuit board mounting, connection, and interfacing to boards, back-planes, as well as fiber optic routing equipment. Many of the designed fiber optic packages were implemented into modules and sub-system applications, i.e., fixtures in which fiber optic connectors are incorporated. In particular, I have designed optoelectronic devices such as LEDs, laser diodes (VCSELs – vertical cavity surface emitting lasers), photodiodes and solar cells with a focus on efficient optical device design that maximizes

device performance. For example, I have worked on improving light extraction efficiency in laser diodes as well as LEDs, where these devices are utilized in modules, some of which include both electrical and fiber optic connectors.

11. I started my career in 1977 at the Ministry of Defense (United Kingdom) in the Royal Electrical and Mechanical Engineer division as a telecommunications apprentice. At the Ministry of Defense, I also studied material and characterization issues of Gallium Arsenide (GaAs) and Indium Phosphide (InP) optoelectronic devices as well as semiconductor device design, fabrication, and characterization, and particularly with materials using III-V semiconductors. I have also worked with silicon for integrated circuits, as well as silicon photonics. I also worked on ceramic-based radio-frequency (RF) electronic packaging that included low-temperature co-fired ceramic. These devices and materials were utilized in transceiver packages and modules, some of which included fiber optic connectors. I have also worked on electronics, motors, sensors, and other technologies that combine photonics with electronics.

12. I attended the University of Bradford, where I obtained a Bachelor's of Engineering (Electrical Engineering), with honors, in 1984, an MBA in 1985 and a Ph.D. (Electrical Engineering) in 1987. My Ph.D. thesis related to the characterization and fabrication of both optoelectronic and electronic semiconductor devices. In 2004, I was awarded a Doctor of Engineering (a

Doctorate degree higher than a Ph.D.) from the University of Bradford for my “Technical Contributions to Optoelectronics.” This was only the second time in the University of Bradford’s history that a Doctor of Engineering was granted based on industry experience.

13. At the same time, I attended the University of Bradford and afterward from 1985 to 1989, I worked as a researcher in the Photonics Research Department of AT&T Bell Labs (“Bell Labs”). At Bell Labs, I focused my research on novel device designs using III-V semiconductor material systems that included FETs, bipolar transistors, LEDs, laser diodes, and photodetectors. My research at Bell Labs became the subject of my Ph.D. thesis.

14. After I completed my Ph.D. at the University of Bradford and my work at Bell Labs, I became a Research and Development Manager (“R&D Manager”) in the photonics division of Motorola’s Corporate R&D Labs (“Motorola”) from 1989 to 1998. My responsibilities at Motorola included research and development of optical interconnects, electrical and fiber optic connectors, optical/electrical engines, electronic ICs, displays, laser devices, LEDs, optical lenses, and optical waveguides as well as the packaging and reliability of mobile product prototypes. Some of the prototypes include moving parts either with motors (both standard as well as semiconductor MEMs based), phased array optical beams that could direct and re-direct optical beams. While at Motorola, I

was the inventor or co-inventor of over 150 utility patents. In 1998, I received a special award from the Chairman of Motorola for exemplary patent accomplishments. At that time, I had the highest number of issued utility patents in the history of Motorola.

15. As an R&D Manager at Motorola, I initiated Motorola's work in optical interconnect (Optobus™) technology and associated optical connectors, electronics, and photonics components, and I was involved in research and development of the IC chip designs that this parallel interconnect module utilized. Part of the work included analysis of synchronous and asynchronous systems both in electronics as well as optical networking. In this project, transparent plastics were developed and process over-molded onto standard metallic lead frames for both light-emitting devices (e.g., LEDs, VCSELs) as well as photodetectors, which collect light and convert it into an electronic signal. These transparent lead frame packages were developed in volume production at Motorola. I also worked on various techniques to multiplex both electrical and optical signals for fiber optics, such as wavelength division multiplexing (WDM) as well as synchronous and asynchronous architectures. As part of the program, I worked on designing multiple fiber optic connectors and adapters (single channel, 2 channel, 4 channel, 8channel, 10channel, and 12 channel) with designs that allowed for optical alignment with pins and housing (both with single as well as multimode optics).

16. In 1998, I left Motorola to join TE Connectivity (previously Tyco Electronics) as Director of Technology and Business Development, where I helped develop technology strategy for optical communications and fiber optic connector and adapter products for the Global Optoelectronics Division. My work included designing and characterizing LED- and laser-based packages and modules for customer qualification specifications that included different types of optical connector families (that included MT, LC, MT-RJ, SC, FC, ST etc.). I was responsible in the division to help guide technical product strategy for fiber optic connectors/adapters as well as optoelectronic transceivers.

17. In 1999, I joined Intel Capital (“Intel”) as Director of Business Development and also as a corporate investor. My work at Intel included sourcing, negotiating, and closing private placement equity deals in the optical networking, component, interconnect (and fiber optic connectors), optical lens, electronics, and semiconductor industries. My work at Intel also included investigating the investment in photonic devices, optical beams, and technologies to support the physical movement of optical signals as well as synchronous and asynchronous architectures. Areas of interest included optical interconnect and fiber optic connectors and adapters, wavelength division multiplexing, packaging and modules, as well as LEDs, lasers, and displays. I also was one of the founders of Intel’s silicon photonics division, which worked to establish a fabrication facility

in South San Jose to investigate the integration of silicon FET electronics with optoelectronics into the same silicon semiconductor wafer.

18. From 2005 to 2010, I became the Executive Director, President, and CEO of the Optoelectronic Industry Development Association (OIDA), a trade association that represented photonics manufacturers in the fields of fiber optics, display, sensing, defense, aerospace, LED lighting and automotive/motor vehicles. I was responsible for organizing technical conferences, workshops and forecasts using industry roadmaps that featured optical interconnects, electronics, fiber optics, optical communications, automotive photonic technologies, wavelength division multiplexing, LEDs, fiber optics, optics, fiber, optical sensors, displays and their associated packaging.

19. In 2010, I became the General Manager and CTO of Translucent, Inc., a start-up company that developed and manufactured silicon and rare earth oxide-based epitaxial semiconductor wafers.

20. I joined Glyndŵr University from 2013 to 2015 as a Professor of Optoelectronics, where I focused on the design, simulation and testing of high speed, low power photonic integrated circuits, optoelectronic integrated circuits, laser diodes, optics, and solar cells for applications that included communications, automotive, displays, sensing, and energy.

21. From 2013 to 2015, I was also the President and CEO of OneChip Photonics Corporation, where I served as an elected independent board member focusing on the technical and business operational strategy for communications based on InP photonic integrated circuits and optoelectronic integrated circuits for fiber optic transceivers that incorporated various electrical and optical multiplexing schemes and solutions with standardized fiber optic connectors.

22. Over the course of my career, I have also given numerous keynote and invited presentations, speeches, panels, and talks at workshops and conferences, including on optoelectronic devices, optoelectronic components, fiber optic connectors, and packaging technology.

23. Due to my passion for optics and philately, I have been elected to both the London Guild of Spectacle Makers and the Royal Philatelic Society London. A more detailed description of my education, as well as my training and experience in the relevant technology, is included in my *curriculum vitae* filed herewith as Appendix A.

B. Education

24. I received my Bachelor's degree in Engineering (with Honours) from the University of Bradford in the United Kingdom in 1984. In 1985, I earned my Master of Business Administration (MBA) from the University of Bradford and went on to earn my Doctorate (Ph.D.) in 1987 and my Doctor of Engineering in

2004. The Doctor of Engineering degree is a higher doctorate awarded on technical contribution to the field. A more detailed description of my education is included in my *curriculum vitae* filed herewith as Appendix A.

C. Publications, Speeches, and Patents

25. I hold over 450 issued international patents in photonics and electronics, that have been derived from over 230 issued U.S. utility patents, mostly in the field of optoelectronics, photonics, electronics, and semiconductors. I have been cited by the USPTO to be in the most prolific seventy-five inventors in the United States from 1988 to 1997.

26. I have authored approximately sixty-five (65) publications, and have achieved over 300 media events that include: technical articles, books, chapters, invited speaker events at conferences as well as for interviews that included TV, video, radio, and social, industrial, professional media in general. This includes technical, business-related, and financial conferences as a contributed, invited, and keynote speaker. Details can be found in my *curriculum vitae*. Over the past decade, I have been a project reviewer and technical expert for the European Commission on numerous occasions.

27. I have also served as an expert witness on numerous patent matters, including 50 IPR proceedings and 5 PGR proceedings. I have also served on over 60 oral depositions that include ITC and District court proceedings.

28. A complete listing of these patents, publications, articles, lectures, and other public media is included with my *curriculum vitae*, a copy of which is submitted herewith as Appendix A.

29. On the basis of my education and the experience described above, I believe I am qualified to give the opinions set out herein.

III. MATERIALS CONSIDERED

30. In forming my opinions, I have reviewed the Petition for Inter Partes Review (Paper 1, “Pet.”), Patent Owner’s Preliminary Response (Paper 10, “Prelim. Resp.”), the Board’s Institution Decision (Paper 11, “ID”) as well as the following documents, and other documents cited in this declaration:

Exhibit	Description
1001	U.S. Patent No. 8,884,546 to Wen-Chi Peng (“’546 patent”)
1002	Prosecution history of U.S. Patent Application No. 13/232,932
1003	Declaration of E. Fred Schubert, Ph.D
1004	U.S. Pat. App. Pub. No. 2009/0021955 to Kuang et al (“ <i>Kuang</i> ”)
1005	U.S. Pat. App. Pub. No. 2012/0050606 to Debevec et al. (“ <i>Debevec</i> ”)
1006	Japanese Pat. App. Pub No. JP2006228529 to Hiroyuki Sako (“ <i>Hiroyuki</i> ”)
1007	English Translation of Japanese Pat. App. Pub No. JP2006228529 to Hiroyuki Sako (“ <i>Hiroyuki</i> ”)
1008	U.S. Patent No. 6,774,584 to Lys et al. (“ <i>Lys</i> ”)

1009	Graf, Rudolf F., <i>Modern Dictionary of Electronics, Seventh Edition</i> (1999)
3001	Microsoft Computer Dictionary, Fifth Edition (1992)
2002	Definitions of “pin” and “signal” from Microsoft Computer Dictionary (1999)
2003	Gibilisco, Stan, <i>The Illustrated Dictionary of Electronics</i> , Eighth Edition (2001)
2004	Wilson, Peter, <i>The Circuit Designer’s Companion</i> , Third Edition (2012)

IV. LEGAL STANDARDS

31. I am not a lawyer. For the purposes of this declaration, I have been asked to provide my opinions on issues regarding unpatentability. I have been informed of the legal standards discussed below, which I have applied in forming my opinions. My understanding of the legal standards to apply in reaching the conclusions in this declaration is based on discussions with counsel for Patent Owner, my experience applying similar standards in other patent-related matters, and my reading of the documents submitted in this proceeding. In preparing this declaration, I have tried to faithfully apply these legal standards to the challenged claims.

32. I have been informed that a dependent claim is a patent claim that refers back to another patent claim. I have been informed that a dependent claim includes all of the limitations of the claim to which it refers. I have been informed

that there are two ways in which prior art may render a patent claim unpatentable.

First, I have been informed that the prior art can “anticipate” a claim. Second, I have been informed that the prior art can render a claim “obvious” to a person of ordinary skill in the art. I understand that a claim is patentable if, among other things, it was not anticipated and would not have been obvious to a person of ordinary skill in the art in view of the prior art at the priority date of the patent.

33. I have been asked to provide my opinions regarding whether claims 1-6 and 8 (“the Challenged Claims”) of the ’546 patent would have been obvious to a person having ordinary skill in the art at the time of the alleged invention, in light of the prior art and the grounds set forth in the Petition in this proceeding. I have been informed that in *inter partes* review proceedings, such as this one, the party challenging the patent bears the burden of proving unpatentability by a preponderance of the evidence. I understand that a preponderance of the evidence means “more likely than not.”

A. Prior Art

34. I have been advised and understand that the information used to evaluate whether an invention was new and not obvious when made is generally referred to as “prior art.” I understand that prior art includes patents and printed publications that existed before the earliest priority date of the challenged patent. I have been asked to assume that the references discussed below constitute prior art.

B. Anticipation

35. I understand that Petitioner has not argued that the '546 patent claims are anticipated. For background and completeness, I have been informed and for a patent claim to be anticipated each and every claim limitation must be disclosed explicitly or inherently in a single prior art reference. I have also been advised and understand that, to be an inherent disclosure, the prior art reference must necessarily disclose the limitation. The fact that the reference might practice or contain a claimed limitation is insufficient to establish that the reference inherently teaches the limitation.

C. Obviousness

36. I have been informed that a patent claim may be unpatentable as obvious under 35 U.S.C. § 103 if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious to a person having ordinary skill in the art to which the subject matter pertains at the earliest effective priority date.

37. When considering the issue of obviousness, I understand that I should consider: (1) the scope and content of the prior art; (2) the differences between the prior art and the claims at issue; (3) the level of ordinary skill in the art; and (4) evidence of secondary indicia of non-obviousness. I have been informed that secondary indicia of non-obviousness include: (i) “long felt need” for the claimed

invention, (ii) commercial success attributable to the claimed invention, (iii) unexpected results of the claimed invention, and (iv) “copying” of the claimed invention by others. I have been informed that the ’546 patent has been licensed to one or more third parties, and therefore claims of the ’546 patent have been commercially successful.

38. I have been informed that the relevant time for considering whether a claim would have been obvious to a person of ordinary skill in the art is the earliest effective priority date. For my obviousness analysis, counsel for Patent Owner instructed me to assume that the earliest priority date for the challenged claims is October 21, 2010. My opinions expressed in this Declaration would not change if I assumed a later priority date.

39. I further understand that when someone is modifying a prior art reference to show obviousness or is combining prior art references or elements in the prior art to show obviousness, that person must show that a person of ordinary skill in the art would have had a reason to make to that change or combine those references. I also understand that this reason cannot come from the ’546 patent itself. I have been told using the challenged patents as a guide to modify the prior art is called using hindsight analysis, which I understand is not permitted.

40. I also understand that a reference may be said to teach away from a particular modification or change. I understand that happens when a person of

ordinary skill in the art reading the reference would be discouraged from following a particular path or would be led in a different direction from the path argued for by Petitioner.

41. I further understand that any change to the prior art or a prior art reference cannot make the prior art or prior art reference unsuitable for its intended purpose and cannot change the basic principle under which the prior art was intended to operate.

42. I understand that a party challenging the validity of patent may argue that a prior art reference, while not expressly disclosing a particular claim element, inherently includes that element. In other words, I understand that a patent challenger may argue that a claim element is inherent in the disclosure of a reference. I have been told that for a claim element to be inherent in a single reference, that element must have been necessarily present in that reference. I understand that inherency cannot be established by the possibility or probability that the claim element was present in that reference; it must necessarily have been present in that reference. I further understand that the mere fact that a certain thing may result from a given set of circumstances is not enough to show inherency.

43. I also understand that a party challenging the validity of a patent may argue that a missing claim element is inherently present in a combination of references. I have been told that inherency in obviousness is only present with the

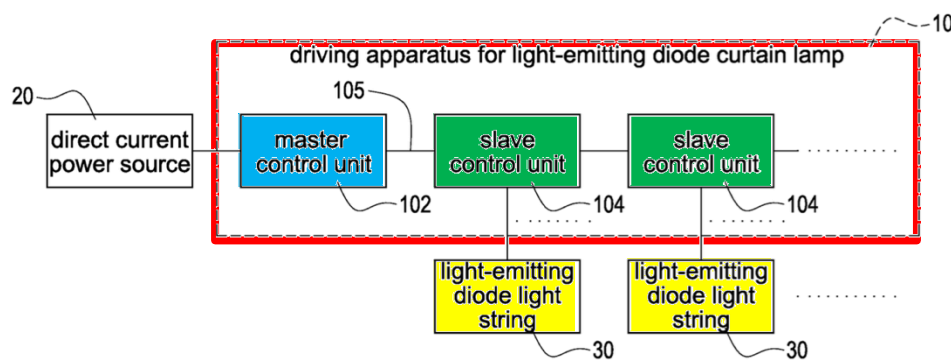
claim element is the natural result of the combination of prior art elements. Again, I understand that probabilities and possibilities are not sufficient to show inherency based on a combination of references. The fact that something could or may result from a combination of references is not sufficient. I understand that the party challenging validity would have to show that it necessarily would result for inherency.

V. OVERVIEW OF THE '546 PATENT

A. Technical Overview

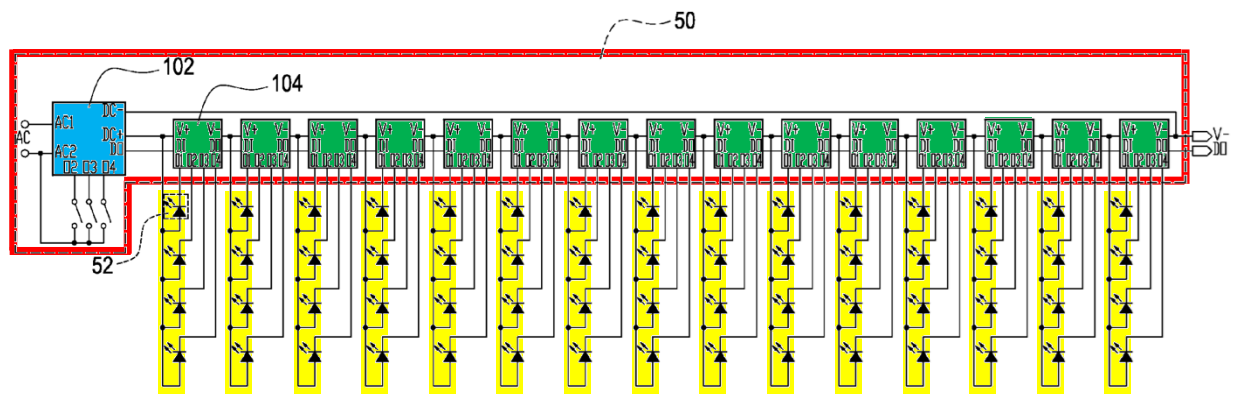
44. The '546 patent is titled "Driving Apparatus for Light-Emitting Diode Curtain Lamp." Ex. 1001, cover. It issued on November 11, 2014, from an application claiming priority to Taiwanese application No. TW099220340U, filed October 21, 2010. *Id.*

45. Based on my review, the '546 patent discloses multiple embodiments of a driving apparatus for a light-emitting diode (LED) curtain lamp. Ex. 1001, Title, Abstract, 1:25-2:16. Figure 1 shows a block diagram of one of the disclosed embodiments:



'546 Patent (Ex. 1001), Fig. 1 (annotated)

As shown in Fig. 1, the **driving apparatus 10** (circled in **red**) includes a **master control unit 102** (highlighted in **blue**), multiple **slave control units 104** (highlighted in **green**), and **light-emitting diode light strings 30** (highlighted in **yellow**) each being connected to a corresponding slave control unit. Fig. 7, below, is a circuit diagram of an example of an LED curtain lamp, with a more detailed illustration of the **LED light strings** (in **yellow**) each connected to a corresponding **slave control unit** (in **green**):

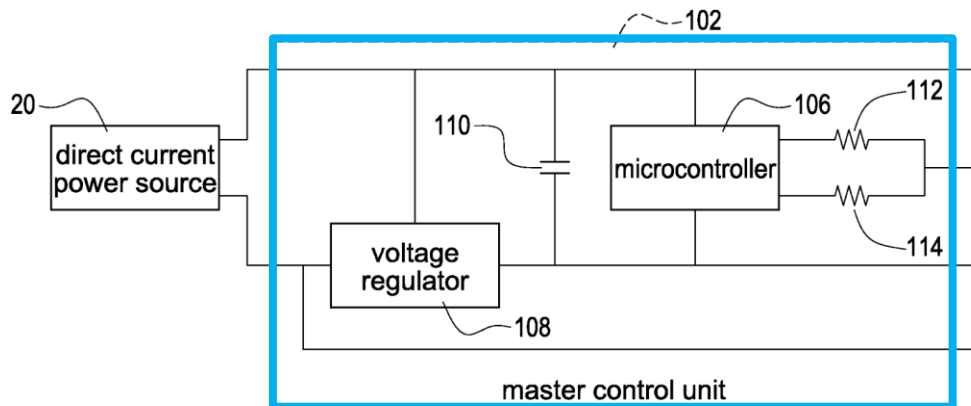


'546 Patent (Ex. 1001), Fig. 7 (annotated)

Just as the plain words of the claim language suggest, the '546 patent is directed to a lamp having **LED light strings** (in **yellow**, above) arranged to form a “curtain” of lights extending from one or more **slave control units** (in **green**). I note that each of independent claims 1, 4, and 8 recites “at least a light-emitting diode string” or “light-emitting diode string.” Ex. 1001, 7:7-8:44. Independent claims 1,

4, and 8 each also involves signal communications between a master control unit and a slave control unit. *Id.*

46. Independent claims 1, 4, and 8 are directed to various embodiments of the LED curtain lamp driving apparatus. Claim 1 recites, among other things, a direct current power source, and a master control unit that includes a microcontroller and a voltage regulator (Ex. 1001, 7:11-15), which Fig. 2 illustrates:



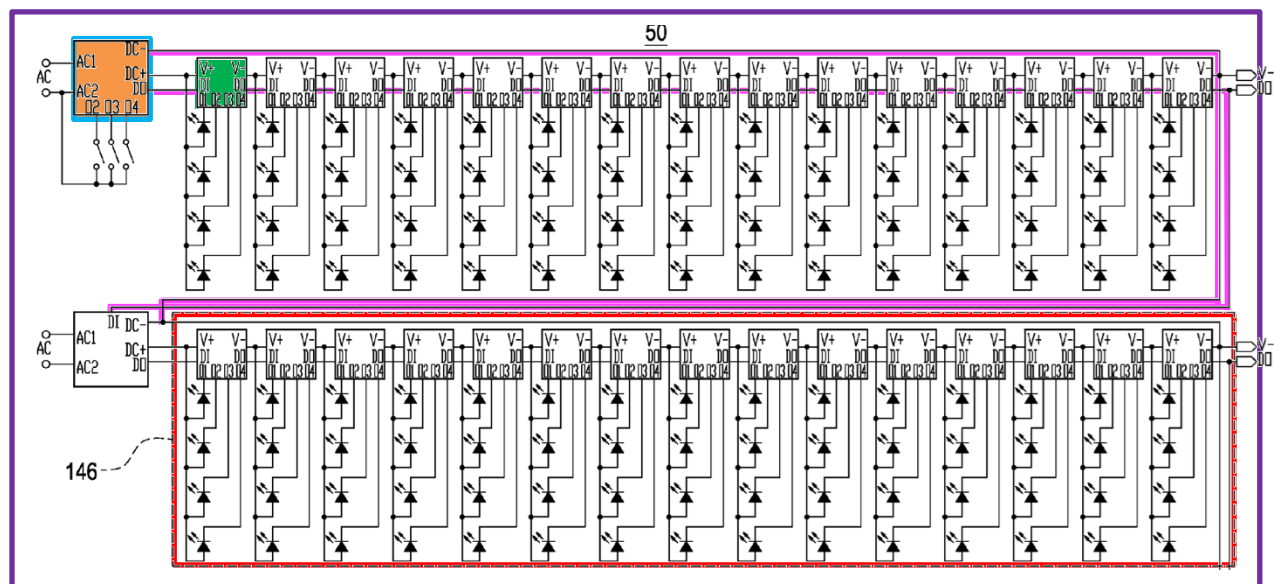
'546 Patent (Ex. 1001), Fig. 2 (annotated, showing exemplary components of the
[master control unit 102](#))

Independent claim 1 also recites a transmission line, between the master control unit and the slave control unit, that transits 1) control signals, and 2) synchronous signals. Ex. 1001, 7:19-20, 23-24.

47. Independent claim 4 recites an alternating current power source, requires the master control unit to have a power negative terminal pin and a signal

output pin, and requires the slave control unit to have a signal input pin and a signal output pin. Ex. 1001 at 7:35-8:7.

48. Independent claim 8 recites, among other elements, a slave control unit having a signal processor, as well as “an alternating current voltage transferring to direct current voltage circuit electrically connected to the slave control unit [of a curtain lamp driving apparatus]” *and* electrically connected to a second curtain lamp. *Id.*, 8:39-44. These elements of claim 8 are illustrated in Fig. 8:



'546 Patent (Ex. 1001), portion of Fig. 8 (annotated)

As shown above, a “**light-emitting diode string 50**” (circled in **purple** because “50” refers to the entire figure) includes a **master control unit** (circled in **blue**) having an “**alternating current voltage transferring to direct current voltage circuit**” (“AC/DC converter,” in **orange**) that is electrically connected to a **slave**

control unit (in **green**). As illustrated by the **connection lines** (in **pink**), the **AC/DC converter** is also electrically connected to a **second curtain lamp 146** (circled in **red**).

B. Prosecution History

49. I understand that the application that led to the '546 patent was filed on September 14, 2011. Ex. 1002, 31-32. Examination began on March 26, 2014, when the Patent Office issued a non-final Office Action. *Id.* at 68-78. In the Office Action, the examiner rejected independent claim 1 as obvious over U.S. Pat. Pub. No. 2012/0050606 to Debevec. *Id.* at 70; Ex. 1005. In the same Office Action, the examiner indicated that claims 2-10 were allowable over the prior art including Debevec. Ex. 1002 at 71 (“Allowable Subject Matter”). Following an amendment to independent claim 1 and an examiner’s amendment to independent claim 9 (now issued as '546 patent claim 8), the Patent Office allowed the application and issued the '546 patent on November 11, 2014. *Id.* at 80, 91-95, 111.

VI. LEVEL OF ORDINARY SKILL IN THE ART

50. In rendering the opinions set forth in this declaration, I have been asked to consider the '546 patent’s claims and the prior art through the eyes of a person of ordinary skill in the art. I have also been informed that several factors are considered in assessing the level of ordinary skill in the art, including: (1) the types of problems encountered in the art; (2) prior art solutions to those problems, (3) the

rapidity with which innovations are made; (4) the sophistication of the technology; and (5) the educational level and years of experience level of those working in the pertinent field.

51. I understand that I must evaluate the '546 patent from the perspective of a person of ordinary skill in the art. That is, the '546 patent must be evaluated through the eyes of a person with ordinary skill in the relevant art at the time of the invention of this patent.

52. Petitioner and Dr. Schubert assert that a person of ordinary skill in the art as of October 21, 2010 (the '546 patent priority date) or September 14, 2011 (the '546 patent actual filing date) would have had a bachelor's degree in electrical engineering, physics, materials science, or a related discipline, and 6 years of practical experience in designing or fabricating LED lighting systems, including controlling and driving LEDs. Alternatively, a POSITA would have had a master's degree and four years of experience, or a Ph.D. degree and two years of experience. Pet. 5; Ex. 1003 ¶ 31.

53. Although I do not necessarily agree that Petitioner's level of ordinary skill is correct for the '546 patent, I have applied this level of ordinary skill for the purposes of this proceeding. Based on my educational background and experience (see paragraphs 5-27), I am qualified as at least a person of ordinary skill in the art with respect to the '546 patent, and I was qualified as a person of ordinary skill in

the art by the '546 patent priority date of October 21, 2010. Thus, I am familiar with the knowledge of the person of ordinary skill in the art at the time of the '546 patent's invention. I am able to opine on how a person of ordinary skill in the art would have understood the disclosure and claims of the '546 patent, the disclosures of the prior art, the motivation to combine the prior art, and what combinations would have been obvious and not have been obvious to one of ordinary skill in the art.

VII. CLAIM CONSTRUCTION

54. I understand that the Board has requested explanation of the meaning of “synchronous signals” and the distinction from “control signals.” ID 19, 23, 45. In my opinion, the Petition improperly cited the same commands in the cited references as meeting both the “control signals” and the “synchronous signals” – two separate elements of claim 1. *See* Prelim. Resp. 25-26; Pet. 16-17. In my opinion, the Petition also fails to establish that the cited references disclose “synchronous signals” under either of the definitions set forth by Petitioner or the Board. To the extent construction is needed, it is my opinion that “synchronous signals” means “signals related to activities governed by synchronized timing.”

A. Synchronous Signals

55. Claim 1 element 1[D] recites, in part, “the transmission line is used to transit control signals and synchronous signals.” Ex. 1001, 7:21–24. The Petition

asserts that “a POSITA would have understood the term ‘synchronous signals’ to mean signals that are sent to the slave nodes to control their corresponding LED strings at the same time.” Pet. 16–17. In my opinion, Petitioner’s definition essentially equates the separately claimed “synchronous signal” as the claimed “control signal.” Petitioner’s definition appears to be crafted so as to support Petitioner’s assertion that the commands and queries in the prior art constitute both the “control signals” and the “synchronous signals” of claim 1. I also note that Dr. Schubert’s testimony on this issue says nothing more than the Petition. It is my opinion that a POSITA would have understood that synchronous signals involve more than a command sent to turn off multiple devices.

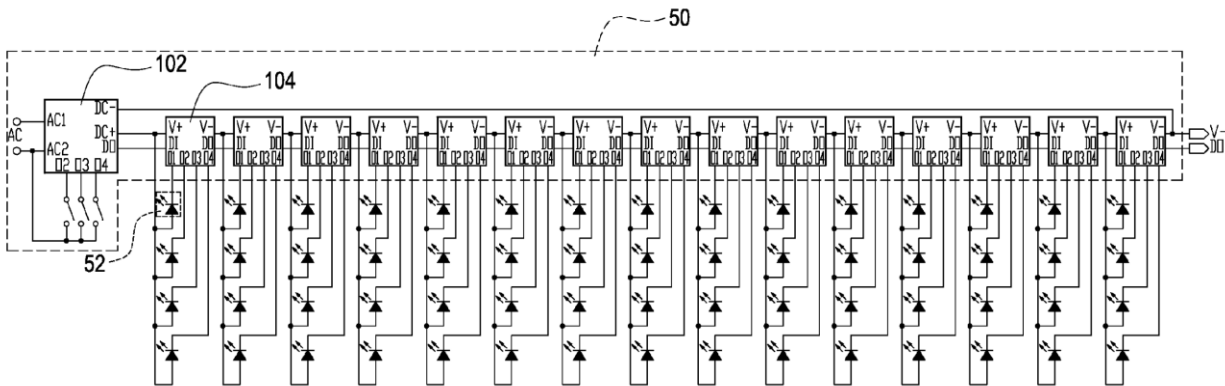
56. I understand that the Board has made a preliminary finding that the ordinary meaning of “synchronous signals” in 1[D] is transmitting “signals related to an activity(ies) occurring at the same time.” ID at 44. The Board based its construction on a definition of “synchronous” in the Microsoft Computer Dictionary (Ex. 3001), which states:

synchronous:

adj. Occurring at the same time. In computer transmissions, a reference to activity governed by a clock or by synchronized timing.

ID 44 (citing Ex. 3001, 506). In my opinion, a proper construction of “synchronous signals” should reflect the entirety of the Microsoft Computer Dictionary definition, because the term “synchronous signals” in the context of 1[D] involves computer transmissions.

57. In view of the full definition of “synchronous” in the Microsoft Computer Dictionary (Ex. 3001) and the Board’s construction in the Institution Decision, it is my opinion that a proper construction of “synchronous signals” for the purpose of evaluating the prior art in this proceeding is “signals related to activities governed by synchronized timing.” This construction is consistent with the evidence that the Board has already relied upon, Ex. 3001. In my opinion, this construction is also consistent with how a POSITA would have understood “synchronous” signals. *See* Ex. 2004. In my opinion, this construction also comports with the context of the ’546 patent, which involves controlling strings of LED lights in a curtain lamp using master and slave control units. As shown in Fig. 7 of the ’546 patent, each serial string of LEDs 52 receives power via a common power line, and each LED 52 can be individually controlled via connections to a slave control unit 104:



'546 Patent, Fig. 7

In my opinion, a POSITA would have understood that because the '546 discloses the use of synchronous signals, the '546 patent curtain lamp is designed for controlling LED lights individually and in a time-coordinated manner, which allows for patterns of lights and colors, as well moving / animated lights, and other decorative types of illumination.

58. It is also my opinion that a POSITA would have understood that using synchronized timing would have been necessary for the master and slave control units effectively control the curtain lamp LEDs individually in the ways described above. Therefore, in my opinion, a POSITA would have understood the claimed “synchronous signals” are distinct from the “control signals” of claim 1, and involve activities governed by synchronized timing, as set forth in the Microsoft Computer Dictionary definition of “synchronous” as “a reference to activity governed by a clock or by synchronized timing.”

VIII. GROUNDS 1 AND 3 FAIL BECAUSE KUANG AND LYS DO NOT DISCLOSE THE CLAIMED “CURTAIN LAMP” OF CLAIMS 1 AND 8

59. In my opinion, Petitioner fails to establish that *Lys* discloses a “curtain lamp” as recited in the preamble of independent claims 1 and 8. I understand that the Petition addresses the preamble of each of independent claims and does not argue that the preamble should be given no weight.

60. As a preliminary matter, I note that In Grounds 1 and 3, Petitioner alleges that a “light-emitting diode curtain lamp” is “commonly understood to be one or more light strings,” Pet. 8, 25, 38. The only support cited for Petitioner’s definition has nothing to do with the term “curtain lamp”: On pages 8, 25, and 38, Petitioner quotes a sentence of the ’546 patent that says nothing about the scope or meaning of “curtain lamp.” Pet. 8, 25, 38 (citing Ex. 1001, 2:34-40). The quoted sentence does not speak to the explains to the different types of lighting devices that fall within the scope of the ’546 patent; instead, this sentence informs a POSITA that all types of LED chips may be used in conjunction with the embodiments of the ’546 patent including, for example, “surface mount LEDs, chip-on-board LEDs, and LEDs of all other configurations.” Ex. 1001, 2:34-40.

61. In my opinion, Petitioner’s sole support for its definition of “curtain lamp” is an irrelevant statement that the LED chips of the ’546 patent embodiments are not limited to any form factor.

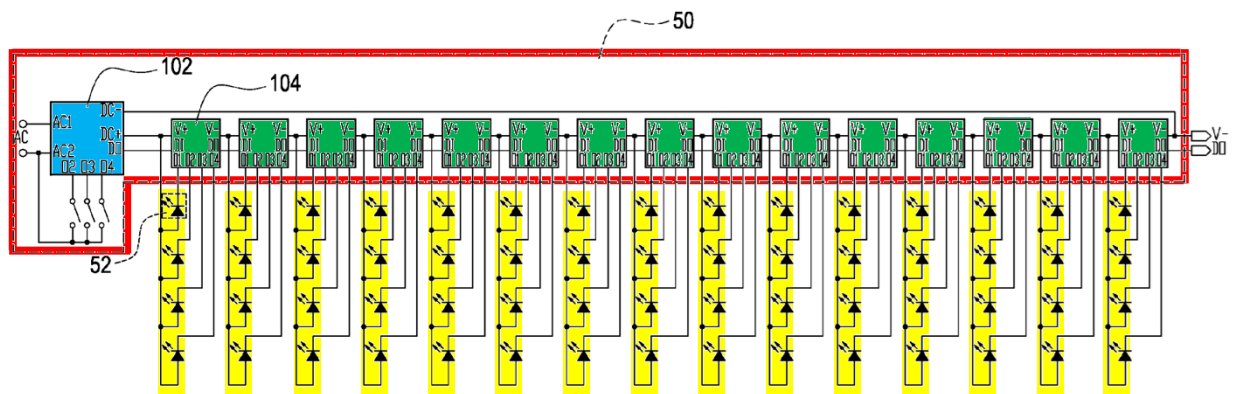
62. In my opinion, the Petition does not establish that either of *Kuang* or *Lys* teaches a “driving apparatus for a *light emitting diode curtain lamp*,” as recited in 1[Pre] and 8[Pre]. For the purposes of this proceeding, I do not address whether *Hiroyuki* discloses a device that may be configured as a “curtain lamp.”

A. The Preambles of Claims 1 and 8 are Limiting

63. I have been informed that the preamble is limiting if it recites essential structure or steps, or if the preamble is “necessary to give life, meaning, and vitality” to the claim. I have also been informed that the preamble dependence on a preamble phrase for antecedent basis may limit claim scope, because it indicates a reliance on both the preamble and claim body to define the claimed invention. Furthermore, I understand that use of preamble terms to define positive limitations in the body of claims can indicate the inventor’s intent for the preamble to limit the scope of the claim.

64. I note that there are multiple elements in independent claims 1 and 8 that rely on the preamble for antecedent basis support, such as “the light-emitting diode string,” and “the direct current power source.” Ex. 1001 at 7:7-24, 8:25-44. Dependent claims 2 and 3 also recite “driving apparatus” and “curtain lamp”, finding antecedent basis support for these terms in the independent claim 1 preamble. *Id.* at 7:25-30.

65. The '546 patent also describes the preamble as reciting structure that, in my opinion, gives life and meaning to the body of claim. Specifically, the '546 patent describes drawbacks particular to curtain lamps having strings of light-emitting diodes (LEDs) such as conventional driving apparatuses that cannot light curtain lamps vividly, and addresses these drawbacks with driving apparatus embodiments designed to effectively power and control a curtain lamp with strings of LEDs. *Id.*, 1:6-2:16. The '546 patent figures illustrate examples of curtain lamps, as discussed and shown above in Section II. *See* Ex. 1001, Figs. 1, 4, 8, 7 (below):



'546 Patent (Ex. 1001) Fig. 7 (annotated showing an example of a curtain lamp)

That is, the '546 patent describes that a phrase in the preamble of each independent claim (“driving apparatus for light-emitting diode curtain lamp”) describes structure essential to give meaning to limitations in the body of the claim.

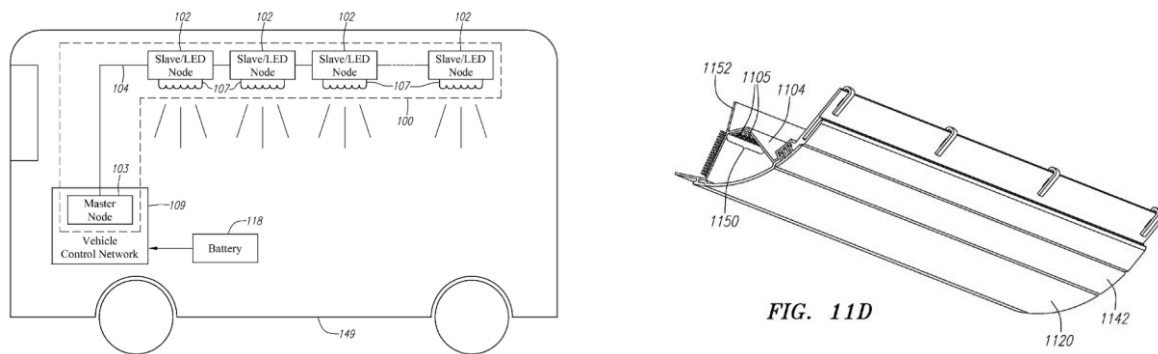
66. It is therefore my opinion that, in this case, there is a clear intent by the inventor that the preamble limits the scope of the claim, and that the preamble

of independent claims 1 and 8 of the '546 patent should be given patentable weight.

B. The Petition Fails to Establish Kuang's Disclosure of the "Curtain Lamp" of 1[Pre] (Ground 1)

67. In my opinion, the Petition does not explain how *Kuang* discloses a curtain lamp in Ground 1, at least because the Petition and Dr. Schubert do not explain how *Kuang* discloses "one or more light strings" under their own definition of "curtain lamp." See Pet. 8, 25, 38.

68. *Kuang* discloses ceiling light panels, not a curtain lamp. *Kuang's* ceiling light panels are designed for use in a vehicle, such as a bus, as illustrated the diagram in *Kuang* Fig. 1:

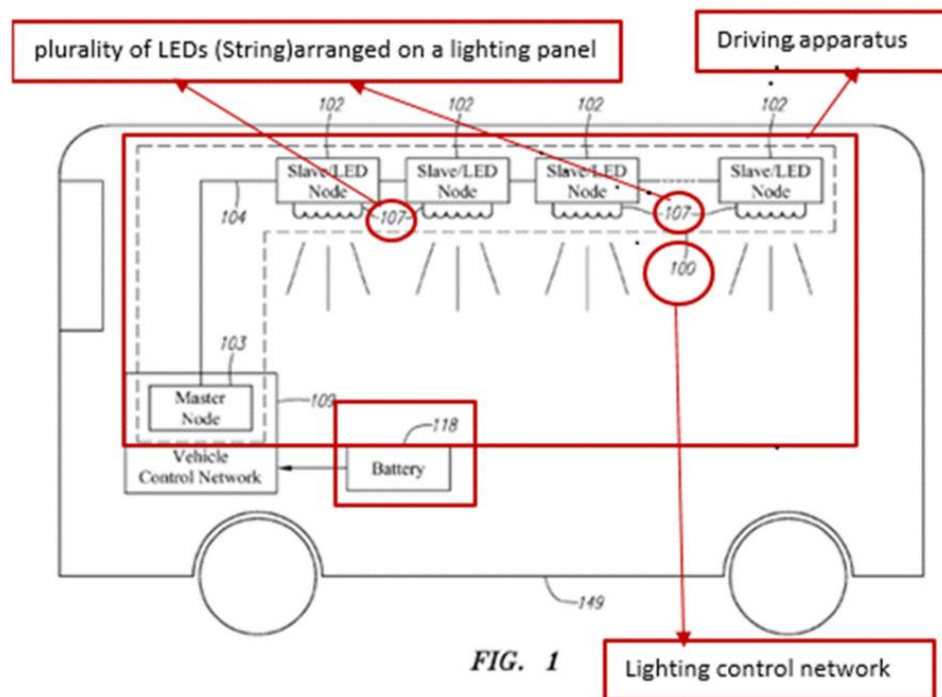


Kuang (Ex. 1004), Figs. 1 (left) and 11D (right), showing examples of ceiling panels for a vehicle interior

See Ex. 1004 at Figs. 1, 11C, 11D, Abstract ("the lighting fixture may include a ceiling panel fixture"), [0012]. I note that *Kuang's* ceiling panels are rigid lighting units, having LEDs arranged on a metal printed circuit board. *Id.*, [0061], [0067],

[0068]. The only portions of *Kuang* cited in the Petition for the “curtain lamp” of 1[Pre] are Figs. 1 and 4, [0032], [0045], [0122]. Pet. 6-9. Nowhere in these disclosures does *Kuang* mention a “light string,” illustrate light strings, or suggest “light strings” in any form.

69. Petitioner annotates *Kuang* Fig. 1 to assert that LEDs 107 constitute a “string” that meets the “curtain lamp of 1[Pre]:



Annotated version of Kuang Fig. 1 from Petition page 7

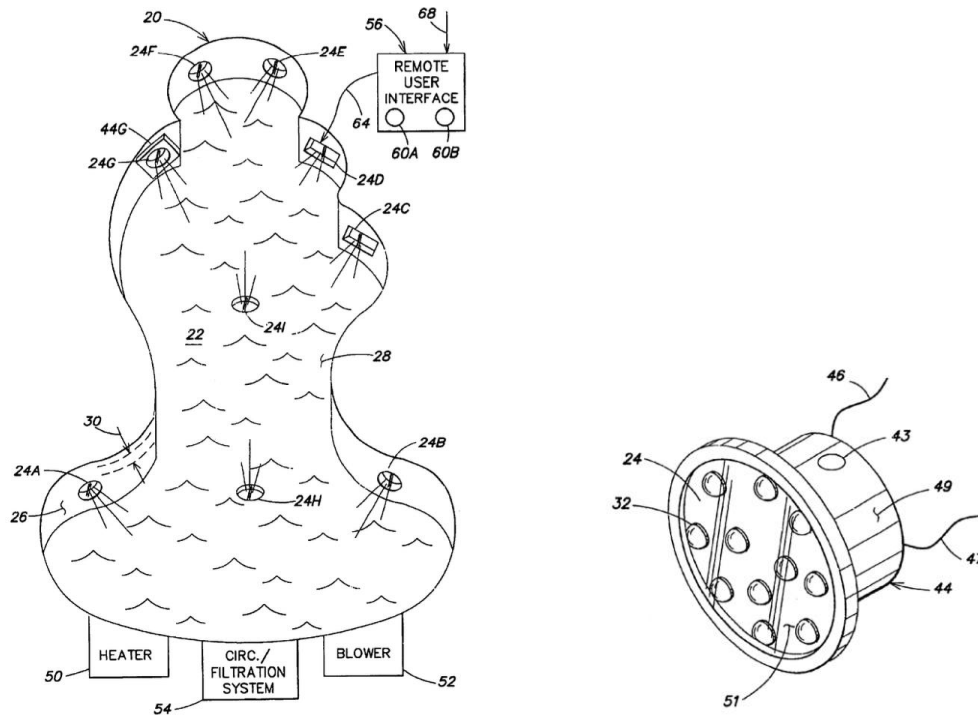
In my opinion, *Kuang* does not support Petitioner’s annotation of a “string” above. *Kuang* states that item 107 refers to “a plurality of LEDs 107 arranged on a lighting panel or other fixture to provide illumination for a particular interior region of [a] transit vehicle 149.” Ex. 1004, [0032] (emphasis added). Petitioner

does not explain, and the cited portions of *Kuang* also do not inform a POSITA as to how *Kuang*'s "lighting panel" is a "string." For at least this reason, it is my opinion that the Petition does not establish that *Kuang* meets 1[Pre].

70. For these reasons, it is my opinion that the Petition does not establish that *Kuang*'s ceiling lighting panels meet Petitioner's definition of a curtain lamp as being "one or more light strings," and thus fails to establish that *Kuang* discloses a "curtain lamp."

C. Lys Does Not Disclose the "Curtain Lamp" of 1[Pre] or 8[Pre] (Ground 3)

71. In my opinion, *Lys* does not disclose a "curtain lamp" in independent claims 1 and 8 of Ground 3. *Lys* discloses light sources for "illumination of liquids." Ex. 1008 at Abstract. The light sources of *Lys* are not a "curtain lamp" as recited in 1[Pre] and 8[Pre], at least because they are not "one or more light strings" under Petitioner's own definition of "curtain lamp." See Pet. 25. In Figures 3 and 4 of *Lys*, relied upon by Petitioner, *Lys* discloses "a light source for illumination in a pool or spa" and "a networked lighting system for illumination in a pool or spa environment," respectively. *Id.*, 3:64-4:2. Figure 3 illustrates a round waterproof lighting fixture that is not a light *string*:



Lys (Ex. 1008), Figs. 1 (left) and 3 (right)

As shown in Fig. 1 of *Lys* (above left), the pool lighting fixtures are supported by a pool wall 26 or floor 28. See Ex. 1008, 9:7-11.

72. I note that the Petition makes no attempt to explain how the pool lighting fixtures of *Lys* constitute the claimed “curtain lamp.” The Petition cites to Dr. Schubert’s repeated statement that “[a] POSITA would have understood the broad disclosure of *Lys* to disclose a ‘light-emitting diode curtain lamp,’ which is commonly understood to be one or more light strings.” Pet. 25, 38; Ex. 1003, ¶¶ 61, 79. However, Dr. Schubert makes no attempt to explain how the round pool lighting fixtures, shown above in *Lys* Fig. 3, are “one or more light strings” under Petitioner’s definition of “curtain lamp.” See Pet. 25, 38. For example, Dr.

Schubert does not give any explanation as to how *Lys*'s round pool lights are "one or more light strings." In my opinion, a POSITA would not have understood the pool lighting fixtures of *Lys* shown above to be a light string, and *Lys* does not illustrate or describe any form of a "light string." Therefore, in my opinion, *Lys* is deficient with respect to 1[Pre] under Petitioner's own definition of "curtain lamp." Therefore, it is my opinion that the Petition fails to establish that *Lys* discloses the "curtain lamp" of 1[Pre].

IX. GROUNDS 1 AND 3 FAIL BECAUSE KUANG AND LYS DO NOT DISCLOSE THE SYNCHRONOUS SIGNALS OF 1[D]

73. 1[D] recites "the transmission line is used to transit ***control signals and synchronous signals.***" Ex. 1001, 7:23-24 (emphasis added). That is, the transmission line transmits two types of signals: 1) control signals, and 2) synchronous signals. At institution, the Board made a preliminary finding that the ordinary meaning of "synchronous signals" is transmitting "signals related to an activity(ies) occurring at the same time." ID 44. As I have stated, "synchronous signals" should be construed as "signals related to activities governed by synchronized timing," as I explained in Section VII.A.

74. In my opinion, *Kuang* and *Lys* do not meet 1[D] under any of the definitions of "synchronous signals" advanced in this proceeding which are summarized as follows:

Claim Term	Petitioner's Definition (Pet. 16-17)	Board's Initial Construction (ID 44)	Patent Owner's Construction (Section VII.A)
Synchronous signals	Signals that are sent to the slave nodes to control their corresponding LED strings at the same time	Signals related to an activity(ies) occurring at the same time	Signals related to activities governed by synchronized timing

A. Kuang Does Not Disclose “Synchronous Signals” in 1[D] (Ground 1)

75. In addressing 1[D], the Petition cites *Kuang*'s disclosure of “[a] master light shutoff command [that] may also be sent from the master node 203 instructing all LED/slave nodes to turn off.” Pet. 17, quoting Ex. 1004 at [0054] (emphasis omitted). At institution, the Board found that “[t]urning off ‘all’ LED/slave nodes with a single command, i.e., a master light shutoff command, appears to suggest that all of the LED/slave nodes are turned off at the same time.” ID 45. In my opinion, *Kuang*'s disclosure contradicts assertions made in the Petition and the Board's initial finding in the Institution Decision.

76. In my opinion, *Kuang*'s command sent for “instructing all LED/slave nodes” does not mean that the LED/slave nodes turn off *at the same time* in

Kuang's system. It is important to take notice of the fact that *Kuang* does not disclose sending a command to all LED/slave nodes *at the same time*, *simultaneously*, or in any other *synchronized* fashion. I do not find any aspect of timing or simultaneous transmission in the portions of *Kuang* cited in the Petition. To the contrary, *Kuang* discloses sending commands that are received by slave nodes ***one at a time***. In particular, *Kuang* discloses sending a command that is ***propagated from one slave node to the next***, until the command reaches the last slave node. In particular, *Kuang* discloses “

Commands or inquiries may be transmitted from the master node 203 over the command/data bus 212 to the first slave node 223 (Slave Node 1), **which then propagates** the commands or inquiries over the command/data bus 212 to the next slave node 223 (Slave Node 2) in the chain, **and so on in sequence** until the command or inquiry reaches the last slave node 223 (Slave Node N) if necessary. Commands or inquiries need not be propagated if the targeted slave node 223 receives the command or inquiry and responds thereto; however, it may nonetheless be desirable in some configurations to **propagate all commands and inquiries**, or certain subsets thereof, to all of the slave nodes 223 in the lighting control network 200.

Ex. 1004, [0034] (emphases added). In this passage, *Kuang* makes clear that commands are not sent to all slave nodes at the same time. *Kuang* also does not disclose the slave nodes operating according to synchronized timing. Instead, a

command is propagated from one slave node to the next. In my opinion and experience, different slave nodes in *Kuang* would receive the command one at a time and at different times. The time that a given node receives the command would depend on how many slave nodes there are to receive the commands, physical distance between the nodes, and other delays that may occur due to signal propagation issues and transmission errors. Without any disclosure of synchronization in *Kuang*, it is my opinion that a POSITA would expect that each of *Kuang*'s slave nodes executes the command as it is received – and in a manner that is not at the same time, simultaneous, or in governed by synchronized timing. As an example, a POSITA would expect *Kuang*'s lights to turn off as shown in the following diagram that is based on *Kuang* Fig. 1 and modified based on *Kuang*'s disclosure in paragraph [0034]:

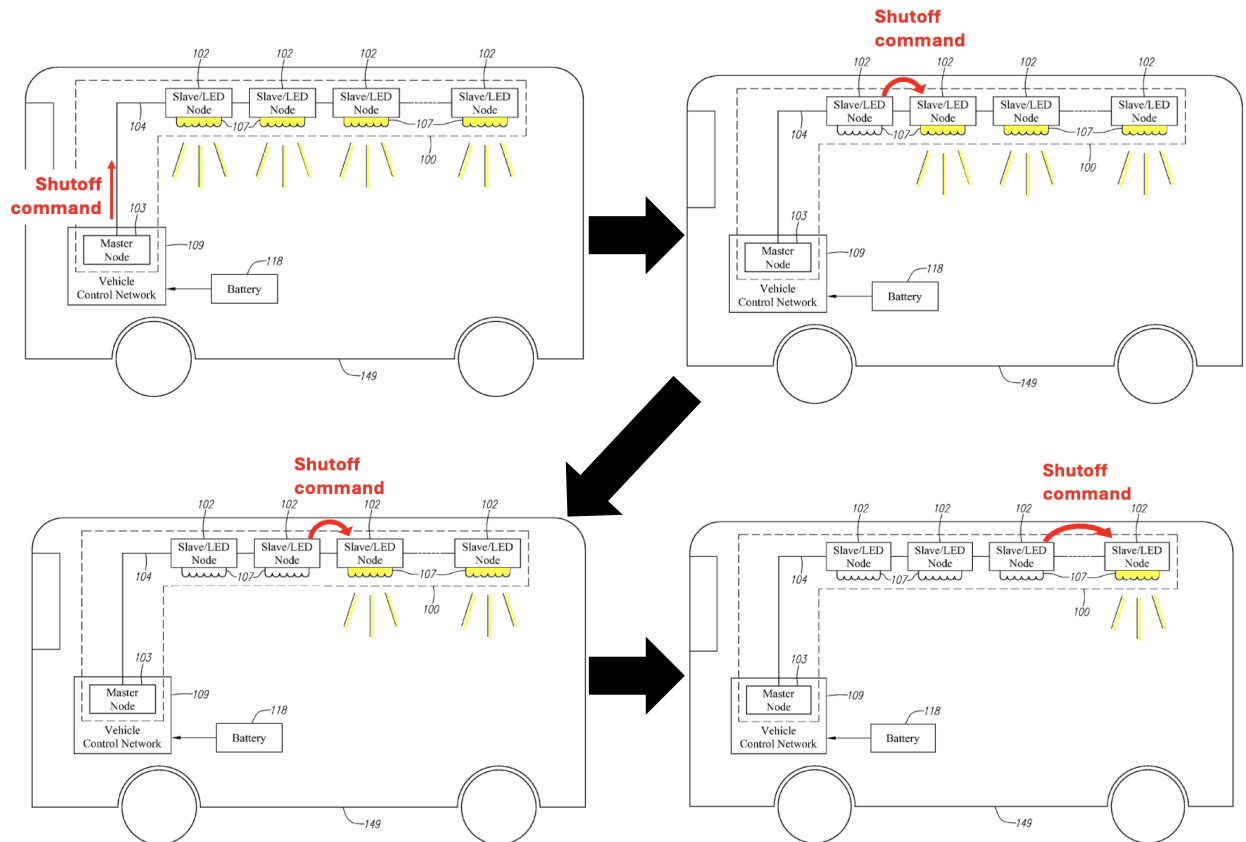


Diagram of Kuang's signal propagation based on Kuang Fig. 1 and [0034]

The diagram above illustrates how *Kuang's* shutoff command is sent from the master node to the first slave/LED node, which in turn sends the command to the second slave/LED node, and so forth. As a result, a POSITA would have understood that the *Kuang's* slave/LED nodes would shut off their lights in a sequential manner. I note that the absence of synchronization in *Kuang* is not surprising, given the nature of *Kuang's* lighting devices and the disclosed uses. *Kuang's* lights are lighting panels for a bus or a building, which are often designed to operate in a manner that prioritizes functionality and utility over aesthetics and decoration. Synchronization is often employed in lighting devices that are decorative, or display particular

patterns to provide information (such as systems that display text or pictures) or other aesthetic displays where certain timing is desirable. *Kuang* appears to disclose lighting devices that simply turn on, off, or dim, to provide general illumination for a space within a vehicle or building. In my opinion, a POSITA would have understood that *Kuang* does not express any need for synchronous signals in addition to *Kuang*'s on/off commands and other command/query signals that the Petition maps to the "control signals" of 1[D]. See Pet. 16.

77. In light of the manner in which *Kuang*'s master and slave nodes communicate, it is my opinion that *Kuang* cannot disclose the "synchronous signals" of 1[D] under any of the meanings of this term in this proceeding.

78. First, *Kuang* does not meet Petitioner's own definition of "signals that are sent to the slave nodes to control their corresponding LED strings at the same time" (Pet. 16-17), because *Kuang*'s master shutoff command and other commands are sent to each slave node sequentially. The Petition does not cite anything in *Kuang* teaching commands being sent to the slave nodes at the same time, or the slave nodes acting on the commands at the same time.

79. Second, *Kuang* does not meet the Board's initial construction of "signals related to an activity(ies) occurring at the same time" (ID 44), because there is nothing in *Kuang* that teaches slave nodes turning off lights at the same

time, and because *Kuang* discloses sequential operation by propagating command signals from one slave node to the next. *See* Ex. 1004, [0034].

80. Third, *Kuang* does not meet Patent Owner’s construction of “signals related to activities governed by synchronized timing” (Section VII.A), because *Kuang* simply says nothing about shutoff being governed by synchronized timing.

81. I also note that the Petition does not establish that *Kuang* discloses control signals *and* synchronous signals, which are recited in claim 1 as two different elements. Instead, the Petition maps the *same element* in *Kuang* for both the “synchronous signals” and the “control signals” of 1[D]. The Petition first maps “commands or queries” in *Kuang* to the “control signals” of 1[D]. Pet. 16 (citing Ex. 1004 [0034]). The Petition then asserts that a master shutoff command – one of *Kuang*’s “commands or queries” – constitutes the “synchronous signals” of 1[D]. Pet. 17 (citing Ex. 1004 [0054]). The same “control signals or queries” of *Kuang* are mapped to two different “signal” elements in 1[D], but neither the Petition nor Dr. Schubert address the language of claim 1 that indicates that there are two different types of signals. In my opinion, synchronous signals are separate from control signals in 1[D], at least because there are two separate “signal” claim elements. The ’546 patent also supports that there is a distinction between control signals and synchronous signals in 1[D]. *See id.*, 1:50-51, 2:66-67. In my opinion, Ground 1 cannot prevail because the Petition does not identify different elements

in *Kuang* for the claimed “control signals” and “synchronous signals,” and the Petition and Dr. Schubert do not articulate how *Kuang* meets these *distinct* requirements of 1[D].

B. Lys Does Not Disclose “Synchronous Signals” in 1[D] (Ground 3)

82. In my opinion, the Petition fails to establish that *Lys* meets 1[D]. The Petition does not articulate precisely which signals in *Lys* are mapped to the “control signals” of 1[D], and which signals in *Lys* are mapped to the “synchronous signals” of 1[D], even though nearly four pages of the Petition attempt to explain *Lys*’s disclosure of 1[D].

83. Based on my understanding, the Petition sets forth three theories of how *Lys* meets the “control signals” and “synchronous signals” of 1[D]: (1) *Lys* discloses sending a “mode” signal and an “option” signal to a light source 24 (Pet. 32); (2) *Lys* discloses controlling light sources in a “coordinated fashion” with respect to other pool devices such as heaters or filters (Pet. 33); and (3) *Lys* discloses sending signals via four data paths (Pet. 33-35). In my opinion, each of these theories fails to show that *Lys* meets 1[D].

84. As to the first apparent theory (sending a “mode” signal and an “option” signal to a light source 24 in Pet. 32), the Petition never explains which of the “mode” and “option” signals in *Lys* constitute the claimed “control signals” or “synchronous signals.” Instead, the Petition discusses both types of signals in *Lys*

with respect to both claim elements. In my opinion, the Petition and Dr. Schubert do not explain how the mode and option signals are actually “synchronous signals,” other than mentioning the word “synchronous.” For example, the Petition asserts that “Lys describes ‘illumination programs’ that must require the local or remote user interface to send synchronous signals to a plurality of LEDs to generate a desired overall effect for a particular ‘illumination program.’” Pet. 32 (citing Ex. 1008, 21:4-16). There is no apparent basis in the Petition allege that *Lys*’s signals are “synchronous,” and in my opinion, a POSITA could just as easily find the opposite based on *Lys*’s disclosure.

85. For example, *Lys* discloses illumination programs for “one or more light sources,” Ex. 1008, 5:59-63, and that a single light source can be “individually and independently controllable” or “independently addressable.” *Id.* 6:11-27. Here, a POSITA would have understood that *Lys* discloses individual control of different light sources or individually-addressed commands, and says nothing about sending “synchronous signals.” Therefore, in my opinion, a POSITA would have understood that *Lys*’s mode and option signals sent associated with selecting an “illumination program” do not necessarily need to be sent to multiple light sources at the same time. In my opinion, a POSITA would have understood that communication within *Lys*’s system could operate in a sequential fashion in which *Lys*’s central controller sends signals to one individually-addressed light

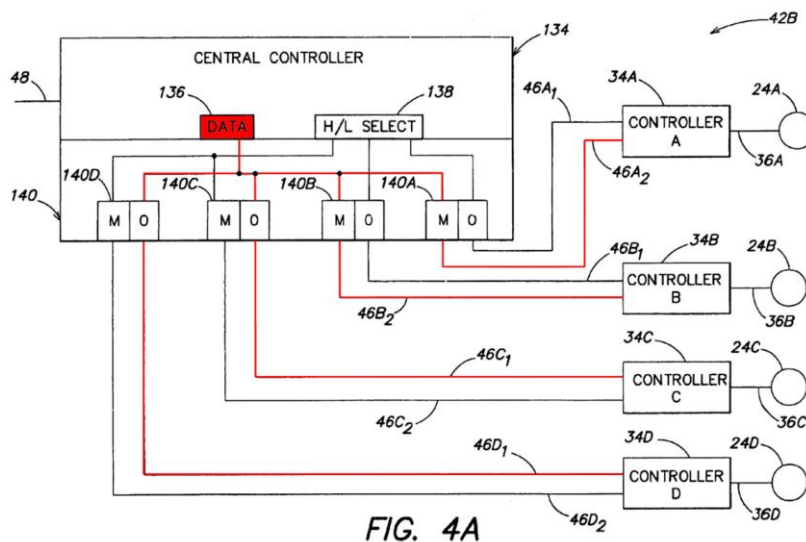
source at a time, or operate the light sources individually. By controlling individual lights rather than controlling lights at the same time, a POSITA would have understood that the central controller of *Lys* could be made less complex and require less processing power. In any event, the Petition fails to establish that *Lys* discloses signals that are synchronous signals.

86. Additionally, in my opinion a POSITA would have understood that *Lys*'s light source controllers could propagate or relay control signals to one another, as taught in *Kuang*. See Ex. 1004, [0034]. Petitioner does not point to anything in *Lys* that discloses or requires that the mode and option signals associated with selecting an illumination program be sent to the light sources at the same time, or that the mode and option signals be governed by synchronized timing. Therefore, in my opinion, Petitioner's first apparent theory fails to establish that *Lys* discloses 1[D].

87. As to Petitioner's second apparent theory, the Petition quotes a portion of *Lys* that discloses controlling light sources in a "coordinated fashion." Pet. 33 (citing Ex. 1008, 10:3-5). As a preliminary matter, I note that the Petition fails to fully quote *Lys* and omits important context. The full sentence of *Lys* states "[i]n one embodiment of the invention, one or more of the light sources 24A-24I are controlled in a coordinated fashion with one or more other accessories (e.g., heaters, blowers, filtration and circulation systems, etc.) in the pool or spa

environment.” Ex. 1008, 10:3-8. Here, *Lys* discloses light sources exchanging control signals with pool devices *other than light sources*, but says nothing about synchronous signals. Put another way, *Lys* does not disclose operating multiple light sources in a “coordinated fashion” involving LED strings operated at the same time (Petitioner’s definition of “synchronous signals”) or involving signals that are governed by synchronous timing (Patent Owner’s construction). Therefore, in my opinion, Petitioner’s second apparent theory fails to establish that *Lys* discloses 1[D].

88. As to Petitioner’s third apparent theory, the Petition includes an annotated version of *Lys* Fig. 4A, highlighting data paths to four light source controllers. Pet 35.



Lys, Fig. 4A (as annotated in Pet. 35)

Petitioner characterizes the data paths and signals sent over these paths as “synchronous,” on the basis that *Lys* illustrates parallel connections. See Pet. 34-35. To support this theory, the Petition cites to Figs. 4A and 4B of *Lys*, as well as various lines of columns 17 and 18. See Pet. 33-35. Contrary to the Petition’s assertions, nothing in the cited portions of *Lys* discloses or requires the simultaneous transmission of control signals. In my opinion, a POSITA would have understood that *Lys*’s controller could have transmitted signals to different light controllers at different times, to reduce the complexity and computing power required of the central controller. For example, a central controller that transmits control signals to different light sources at the same time may require much more processing power and complexity, especially when the light sources are controlled to produce different colors and other “dynamic lighting effects.” See Ex. 1007, 6:26. Based on my review, there is no mention of signals involving synchronized timing in the portions of *Lys* cited in the Petition and in Dr. Schubert’s declaration. Instead, the only suggestions of “simultaneous” or “synchronous” appear to be simple mentions of these words by Petitioner and Dr. Schubert, that are not supported by *Lys*. For these reasons, I disagree with Petitioner’s third apparent theory.

89. For the reasons above, it is my opinion that the Petition does not establish that *Lys* discloses the “synchronous signals” of 1[D]. In my opinion, Dr.

Schubert's Declaration does nothing more than the Petition in bridging gaps between 1[D] and *Lys*, and the Petition does not cite to any evidence other than Dr. Schubert's conclusory testimony.

X. GROUND 3 FAILS TO SHOW THAT LYS DISCLOSES THE MASTER CONTROL UNIT OF 1[A]

90. In my opinion, the Petition fails to establish that *Lys* discloses the “master control unit” of 1[A]. 1[A] recites “a master control unit electrically connected to the direct current power source, including a microcontroller and a voltage regulator electrically connected to the microcontroller.” The Petition maps either a “local user interface 43” or a “remote user interface 56” in *Lys* to the “master control unit” in 1[A]. *See* Pet. 25-28. In my opinion, the Petition does not establish that either element of *Lys* meets the claimed “master control unit.”

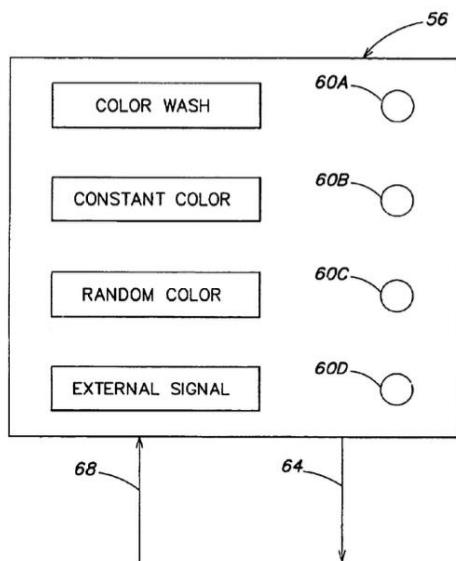
91. Regarding the Petition's reliance on the “local user interface 43” of *Lys*, I note that the Petition does not explain how local user interface 43 includes “a microcontroller and a voltage regulator electrically connected to the microcontroller” as claimed. In fact, the Petition admits that “local user interface 43 can be a button, switch, or dial.” Pet. 25, citing Ex. 1007 at 14:17-29. A POSITA would have understood that buttons, switches, and dials are simple electromechanical devices that do not require – and usually do not have – microcontrollers. Indeed, I am not aware of any evidence or explanation in this

proceeding that would support a finding that *Lys*'s button, switch, or dial includes a microcontroller and a voltage regulator. A POSITA would have understood that *Lys*'s button, switch, or dial on local user interface 43 may be nothing more than those simple components, and that each of those devices could switch power to *Lys*'s LED lights without a microcontroller.

92. The Petition appears to suggest that elements of *Lys*'s remote control interface 56 – an entirely different part of *Lys*'s system – could somehow be “combined and associated with local user interface 43.” Pet. 26. Aside from this vague assertion, I am not aware of any explanation from Petitioner or Dr. Schubert as to how a POSITA would have found it obvious to modify local user interface 43 based on the remote control interface 56, or how such a modified local user interface 43 would operate. Therefore, even based on the Petition's own assertions, in my opinion the “local user interface 43” in *Lys* does not meet the “master control unit” in claim 1.

93. Regarding the Petition's reliance on the “remote user interface 56,” I am not aware of any evidence or explanation that establishes that *Lys*'s remote user interface 56 includes a voltage regulator, as claimed. The Petition alleges that selectors 60A and 60B in the remote user interface 56 are voltage regulators, based on Dr. Schubert's statement that “a POSITA would have recognized that the selectors in *Lys* meet the “voltage regulator” limitation, because

controlling/changing current is proportional to a changing voltage (per Ohm's law)." Pet. 27. I disagree with this statement because *Lys* does not disclose *remote user interface 56* directly controlling or changing current supplied to the light sources, let alone any indication that *selectors 60A and 60B* "control or change current." I am not aware of any evidence establishing that remote user interface 56 has any components that act as a voltage regulator. Instead, in my opinion, selectors 60A-60D are simply buttons of remote user interface 56, which operates like a remote control. See Ex. 1008 at 3:40-54 (discussing selectors 60A and 60B generating "mode" and "options" signals), Fig. 5:



*Lys (Ex. 1008), Fig. 5 (showing remote user interface 56
and selectors 60A-60D)*

Petitioner and its expert appear to assume that selectors 60A and 60B include voltage regulators because they can change lighting mode, but I find no support for

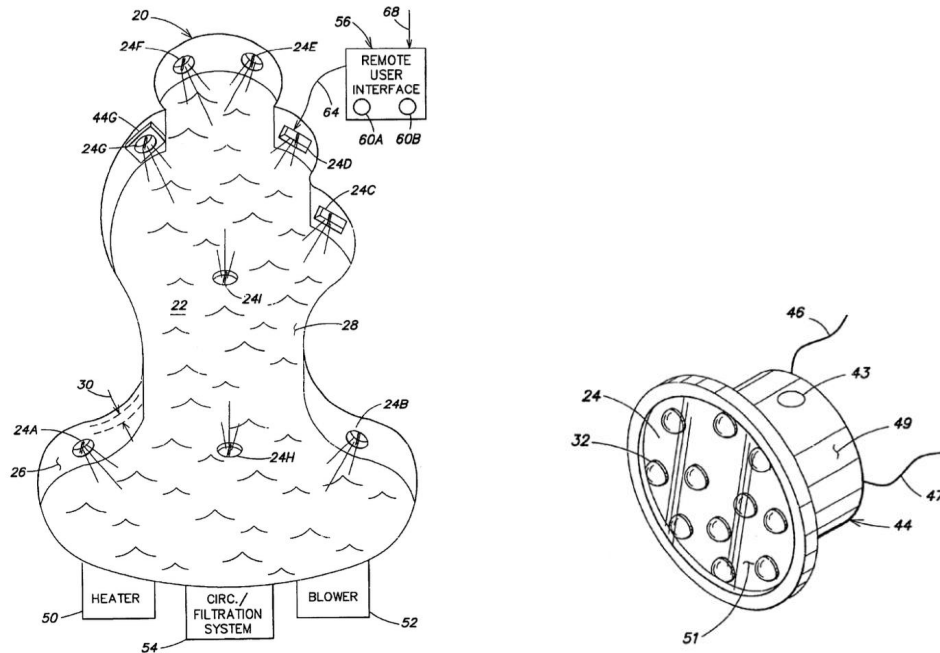
these assumptions. To the contrary, selectors 60A and 60B are likely simple inputs to an integrated circuit in remote user interface 56.

94. In my opinion, the Petition and Dr. Schubert have not established that *Lys*'s remote user interface 56 includes the claimed voltage regulator. Therefore, in my opinion, Ground 3 of the Petition fails to establish obviousness of claim 1.

XI. GROUND 3 FAILS TO SHOW THAT LYS DISCLOSES A SECOND CURTAIN LAMP OF 8[D]

95. In my opinion, *Lys* fails to disclose “a second curtain lamp” as recited in independent claim 8. 8[D] recites “***a second curtain lamp*** electrically connected to the alternating current voltage transferring to direct current voltage circuit” (emphasis added). Ground 3 asserts that *Lys* in combination with the knowledge of a POSITA renders independent claim 8 obvious. Pet. 44-47. In my opinion, *Lys* does not disclose anything resembling “a second curtain lamp,” that is “electrically connected to the alternating current voltage transferring to direct current voltage circuit,” as recited in claim 8.

96. *Lys* discloses light sources for “illumination of liquids.” Ex. 1008 at Abstract. In Figures 3 and 4 of *Lys*, relied upon by Petitioner, *Lys* discloses “a light source for illumination in a pool or spa” and “a networked lighting system for illumination in a pool or spa environment,” respectively. *Id.*, 3:64-4:2. Figure 3 illustrates a round housing 44 for a waterproof lighting fixture:



Lys (Ex. 1008), Figs. 1 (left) and 3 (right)

97. In several instances, the Petition and Dr. Schubert have stated, that a “curtain lamp” is “commonly understood to be one or more light strings.” Pet. 8, 25, 38, 51, 72; Ex. 1003, ¶¶61, 96, 122. Dr. Schubert does not cite any support or evidence for this allegedly “common understanding” of the meaning of “curtain lamp.” I also note that there is no explanation as to how the pool lighting fixtures and the light sources for illumination in a pool or spa of *Lys* disclose “**a second curtain lamp**.” See Pet. 44-46. For example, Petitioner does not explain how the light sources for illumination in a pool or spa of *Lys* constitute “one or more light strings” under Petitioner’s own definition of “curtain lamp.” See Pet. 38 (addressing 8[Pre]), 44-47 (addressing 8[D]). Therefore, in my opinion, the Petition does not, and cannot, establish that *Lys* meets 8[D] in Ground 3.

XII. GROUNDS 2 AND 4 FAIL BECAUSE A POSITA WOULD NOT HAVE COMBINED KUANG OR LYS WITH DEBEVEC

98. The Petition asserts obviousness of dependent claim 3 based on *Kuang* in view of *Debevec* (Ground 2) or *Lys* in view of *Debevec* (Ground 4). Pet. 20, 48. In my opinion, the positions in both grounds are unsupported and are refuted by the disclosures of *Kuang* and *Lys*.

99. Claim 3 recites “[t]he driving apparatus for light-emitting diode curtain lamp in claim 2, wherein the slave control unit includes a transistor electrically connected to the signal processor.” Petitioner relies upon *Debevec* (Ex. 1005) to provide a transistor missing from *Kuang* and *Lys*. I note that the Patent Office previously considered and applied *Debevec* in an Office Action during prosecution. In particular, the patent examiner rejected a prior version of claim 1 based on *Debevec* alone, but at the same time, indicated that dependent claim 3 of the ’546 patent (numbered claim 4 during prosecution) was allowable over *Debevec*. Ex. 1002 at 70-71. Just as claim 3 was found allowable over *Debevec* during prosecution, it is my opinion that Grounds 2 and 4 based on *Debevec* cannot prevail.

A. Kuang + Debevec (Ground 2)

100. The Petition acknowledges that *Kuang* fails to disclose a “slave control unit” including “a transistor electrically connected to the signal processor,” and asserts that claim 3 would have been obvious based on *Kuang* in view of

Debevec. Pet. 20-22. In my opinion, a POSITA would not have modified *Kuang* based on *Debevec* under the reasoning set forth in the Petition.

101. *Debevec* discloses using a transistor as part of a “brightness feedback circuit” in a group controller, to calibrate the brightness of each light source based on temperature. Ex. 1005 [0078]; *see also* Pet. 21. Petitioner alleges that it would have been obvious to implement *Debevec*’s transistor as “an electronic switch or valve in *Kuang*’s lighting system to control the current flow through the LED light source.” Pet. 22. In my opinion, the Petition does not identify any deficiency in *Kuang* warranting the addition of *Debevec*’s transistor. And in fact, *Kuang* already discloses the same functionality that Petitioner seeks to add via *Debevec*’s transistor. Furthermore, *Kuang*’s lighting system is designed for transit such as buses, which is a type of architectural system that would have been designed for consistency, reliability, and maintainability, and a POSITA would have understood that *Kuang* does not require the feedback circuit of *Debevec*. Therefore, in my opinion, a POSITA would not have modified *Kuang* based on *Debevec*.

102. *Kuang* discloses a feedback system in which a controller receives feedback from a temperature sensor 430 or optical sensor 432, and adjusts LED current to adjust LED brightness. Ex. 1004 [0010], [0030], [0048], [0051], [0052]. *Kuang* also discloses a micro-controller that can turn LEDs on and off, and dim LEDs by pulse width modulation. *Id.*, [0035], [0054]. In other words, *Kuang*’s

system already has the capabilities of “an electronic switch or valve” because it can switch power to the LEDs and dim the light output. Furthermore, *Kuang* discloses a feedback system that adjusts LED brightness, just like the feedback system of *Debevec* that the Petition highlights as a basis for the obviousness combination. See Pet. 21-22.

103. In my opinion, a POSITA would not have been motivated to add *Debevec*’s transistor to *Kuang*. *Debevec* and *Kuang* are directed to very different types of devices and different fields of endeavor. *Debevec* discloses a lighting system for “postproduction and facial scanning, and to lighting systems in the shape of a geodesic sphere,” Ex. 1005, [0004], Fig. 1, whereas *Kuang* is directed to a lighting system in a transit vehicle such as a bus. Ex. 1004, Title, Abstract, [0003]. In my opinion, a POSITA would not have looked to *Debevec*’s geodesic sphere lighting system for improvements to *Kuang*’s transit lighting system. The Petition does not identify any actual deficiencies in *Kuang*, and a POSITA would have recognized that *Kuang* does not require *Debevec*’s transistor for the reasons stated in the Petition. In my opinion, *Kuang* would not benefit from *Debevec*’s transistor in the ways stated in the Petition. In my opinion, a POSITA would not have been motivated to add more components to *Kuang*, only to achieve the same result that *Kuang*’s micro-controller already provides without modification. For at

least these reasons, in my opinion, Ground 2 fails to establish obviousness of claim 3 based on *Kuang* and *Debevec*.

B. Lys + Debevec (Ground 4)

104. Based on my review, Ground 4 appears to be nearly identical to Ground 2 in several ways. Aside from applying a different primary reference in each of the two grounds (*Kuang* in Ground 2 and *Lys* in Ground 4), the Petition relies on the same disclosure in *Debevec* in both grounds and sets forth the same obviousness analysis for both Grounds. Compare Pet. 20-22 with Pet. 48-49. In my opinion, the obviousness analysis in Ground 4 is untethered from the disclosure of *Lys*, and *Lys* contradicts the obviousness ground.

105. I first note that Petitioner alleges that controller 34 in *Lys* is the “slave control unit” of claim 2, from which claim 3 depends. Pet. 35-36. *Lys* discloses that the controller 34 controls light output and intensity of LED lights by adjusting a duty cycle of a pulse width modulated (PWM) signal, or by providing variable analog signals. Ex. 1007, 11:21-52.

106. Petitioner alleges that it would have been obvious to add the transistor of *Debevec*, because, “[i]n order to adjust the brightness of LEDs, or to switch them ON or OFF, an electric switch or valve is needed.” Pet. 48. I note, however, that *Lys* discloses a controller 34 that changes lighting parameters without modification, such as by adjusting a PWM signal or providing variable analog

signals. Ex. 1007, 11:21-52. Therefore, in my opinion, it would not have been obvious to add *Debevec*'s transistor to *Lys* because *Lys* already has a type of "electric switch or valve."

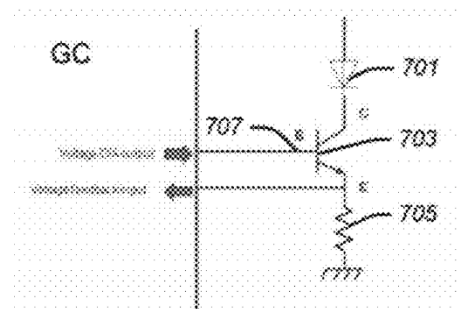
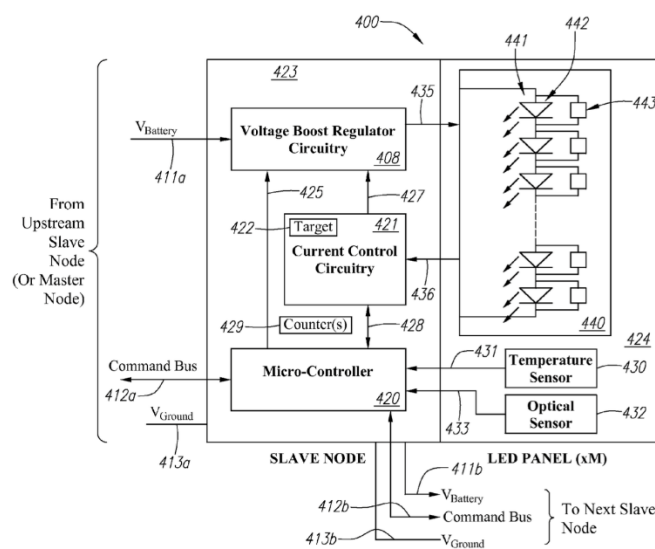
107. Furthermore, *Lys* and *Debevec* are directed to very different types of devices and different fields of endeavor. *Debevec* discloses a lighting system for "postproduction and facial scanning, and to lighting systems in the shape of a geodesic sphere," Ex. 1005, [0004], Fig. 1, whereas *Lys* discloses lighting for a pool or spa, Ex. 1008, Abstract, 2:25-29. In my opinion, a POSITA would not have looked to *Debevec*'s geodesic sphere lighting system for improvements to *Lys*'s pool/spa lighting.

108. In my opinion, Ground 4 suffers many of the same deficiencies as Ground 2. For example, the Petition and Dr. Schubert do not provide any explanation for why a POSITA would have *added* components to achieve the *same result* that *Lys*'s controller 34 provides without modification. In my opinion, a POSITA would not have been motivated to add a transistor *Lys*, because adding components would increase cost and complexity without enhancing *Lys*'s current functionality and capabilities.

C. Petitioner's Obviousness Analysis is Fatally Deficient

109. In my opinion, Grounds 2 and 4 are fatally flawed for several additional reasons. For example, the Petition and Dr. Schubert do not explain how

a POSITA would have integrated *Debevec*'s transistor into the circuitry of *Kuang* or *Lys*, or explain what the modified circuit would have looked like. In my opinion, these omissions leave a large void in the obviousness analysis of Grounds 2 and 4, especially because *Kuang* and *Lys* both disclose controllers that adjust LED brightness and output without adding *Debevec*'s transistor. I also note that the Petition and Dr. Schubert fail to explain *why* a POSITA would have had a reasonable expectation of success in modifying *Kuang*'s circuit to include additional components that would affect the operation of *Kuang*'s system. *See* Pet. 22, 48-49. Regarding the proposed combination with *Kuang*, the Petition does not address how the existing voltage boost regulator circuitry 408, current control circuitry 421, and micro-controller 420 in *Kuang* would be affected by the addition of *Debevec*'s transistor that is implemented in *Debevec*'s entirely different circuit:



Kuang (Ex. 1004) Fig. 4 (left), Debevec (Ex. 1005) Fig. 7 (right)

Similarly, regarding the proposed combination with *Lys*, the Petition does not address how the existing controller 34 would have been affected by the addition of *Debevec*'s transistor. The devices of *Kuang* and *Lys* are designed to operate in a certain manner, using certain components in particular configurations. Both references differ from the configuration of *Debevec*'s system. POSITAs would have understood that new components cannot be simply added to an electrical circuit without affecting the operation of downstream components. POSITAs would have also understood that the addition of certain components may require the reconfiguration of the circuit or the removal of other components. Petitioner and Dr. Schubert have not set forth any basis in Ground 2 for showing how, or why, a POSITA would have combined the two disparate circuits of *Kuang* or *Lys* with *Debevec*, or addressed what changes would have been necessary to modify the circuits.

110. I also note that the Petition and Dr. Schubert assert that “[e]lectronic circuits are known to be predictable” and that transistor circuit functionality “is not subject to unexpected results,” but there is no support for these statements in the record. Pet. 22, 49; Ex. 1003 ¶¶58, 94. It is unclear what is meant by these statements, because although there are many known individual electrical components, different combinations of electrical components in different configurations can yield widely different results. For example, a POSITA would

have understood that adding or subtracting electrical components randomly will tend to make circuits unpredictable, non-functioning, and even inoperable.

Additionally, the Petition and Dr. Schubert do not explain precisely how *Debevec*'s transistor would have been incorporated into the systems of *Kuang* or *Lys*, so it is unclear what the results of the combination would be or whether those results would have been “predictable.” Furthermore, as I explained above, the Petition and Dr. Schubert also fail to address the engineering challenges that would be involved in modifying the complete and fully-functional systems of both *Kuang* and *Lys*. For these reasons, it is my opinion that Grounds 2 and 4 are built entirely upon conclusory and unspecific statements. In my opinion, these deficiencies in the obviousness analysis are pervasive throughout each of the six obviousness grounds.

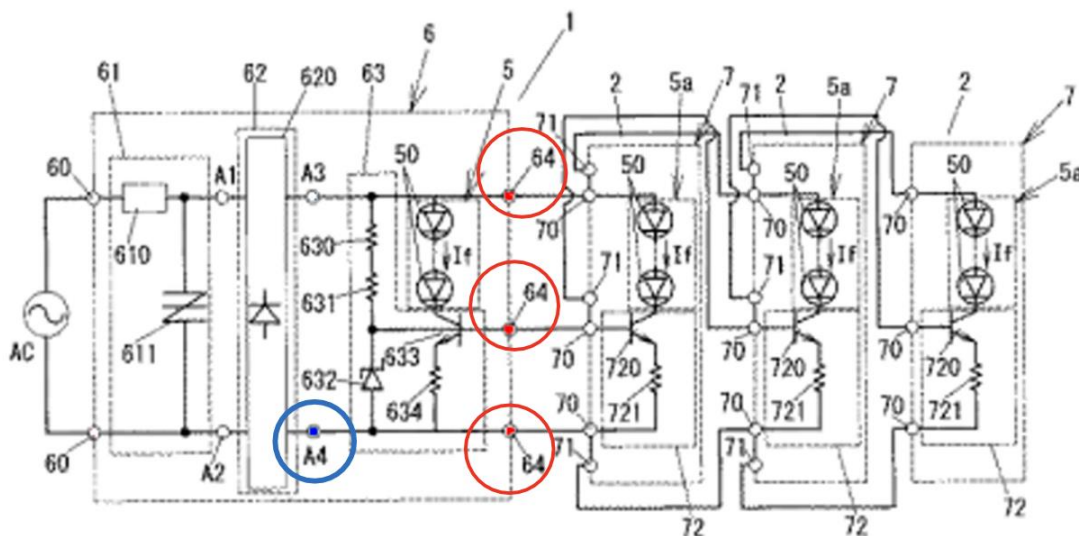
XIII. GROUND 5 FAILS TO SHOW THAT HIROYUKI DISCLOSES THE PINS OF CLAIM 4

111. In my opinion, Ground 5 fails to establish that *Hiroyuki* discloses the “pins” of claim 4. 4[A] recites “a master control unit including a ***power negative terminal pin*** of master control unit and a ***signal output pin*** of master control unit, the master control unit being electrically connected to the alternating current power source” (emphases added). 4[B] recites “a ***signal output pin*** of slave control unit, a ***power positive terminal pin*** of slave control unit, and a ***power negative terminal pin*** of slave control unit.” (emphases added). I understand the Board has already

agreed that the Petition failed to establish that *Hiroyuki* discloses the pins of 4[A].

ID 51-52. I agree with the Board's finding as to 4[A], and believe that *Hiroyuki* also fails to disclose the terminal pins of 4[B].

112. The Petition maps “terminals 60” and “terminal units 64” in *Hiroyuki* to the “power negative terminal pin” and the “signal output pin,” respectively, of 4[A]. Pet. 53-54. The Petition maps “terminal units 70” and “terminal units 71” to the “pins” recited in 4[B]. Pet. 56-60. Petitioner highlights terminal units 64 in the following circuit diagram from *Hiroyuki*, which also shows terminals 60, terminal units 70, and terminal units 71:



Hiroyuki (Ex. 1007) Fig. 1, as annotated by Petitioner in Pet. 54

In my opinion, a POSITA would have understood that Fig. 1 of *Hiroyuki*, above, shows a schematic of circuitry, and does not disclose physical connections such as the pins of 4[A] or 4[B].

113. *Hiroyuki*'s "terminals" and "terminal units" are not disclosed as being pins, and in my opinion, the Petition and Dr. Schubert have not established otherwise. The Petition attempts to fill gaps between *Hiroyuki* and the claimed pins by proposing a definition of "pin" (Pet. 55, 60), but in doing so, effectively concedes that *Hiroyuki*'s does not actually disclose pins. Citing to a dictionary, Petitioner states that "a POSITA would have understood a "pin" to be "[a] terminal on a connector, plug, or tube base." *Id.* (emphasis omitted), citing Ex. 1009 at 566. Citing to Dr. Schubert, the Petition further states that "[a] 'pin' can be understood as a pointed terminal." *Id.* at 55, citing Ex. 1003 ¶ 100. Consistent with this definition, I also note that the Petitioner and Dr. Schubert have stated in a different proceeding that "[a] POSITA would have understood the references to "pins" to be metallic conductors." IPR2024-00157, Paper 1 at 10-11, 12 (citing EX.1013,¹ and stating ("pin" means "[a] slender, straight, stiff prong used as a terminal"). Therefore, Petitioner Dr. Schubert have taken the same position in at least two proceedings that a POSITA would have understood a "pin" to be a pointed terminal or a slender, straight, stiff prong. In my opinion, *Hiroyuki* does not disclose what has been described in this proceeding as a "pin."

¹ I understand that EX.1013 from IPR2024-00157 is made of record in this proceeding as Ex. 2003.

114. In my opinion, Petitioner’s proposed definition of “pin” does not make sense in the context of claim 4, because the claim already recites the word “terminal” in the term “terminal pin.” ID 51-52. In any event, the cited passages and figures of *Hiroiyuki* do not disclose or suggest “pins” under Petitioner’s own definitions.

115. In my opinion, there are unresolved gaps between the claimed “pins” and *Hiroiyuki*’s “terminals” and “terminal units,” even under the definition of “pin” set forth in the Petition. For example, in alleging that *Hiroiyuki*’s terminals meet 4[A], Petitioner does not explain how *Hiroiyuki*’s terminals are “on a connector, plug, or tube base” to satisfy the entirety of Petitioner’s definition of pin. *See* Pet. 55, 60; Ex. 1009 at 556. Furthermore, Petitioner states that a pin is a “***pointed*** terminal,” but does not establish that *Hiroiyuki*’s terminals are pointed.

116. In addition to the definitions of “pin” relied upon by Petitioner and Dr. Schubert in this proceeding and in IPR2024-00157, the Microsoft Computer Dictionary defines “pin” as follows:

pin

A slender prong. Pins are commonly encountered as the contacts protruding from a male connector. Connectors are often identified by the number of pins they have. Other types of pins are the spidery, leglike metal append- ages that connect computer chips to sockets on a circuit board or directly to the circuit board.

Ex. 2002. In my opinion, this definition is consistent with Petitioner’s definitions, and the Petition fails to establish that *Hiroyuki* discloses or renders obvious any of these definitions of “pin,” Including, for example, a slender prong on a connector.

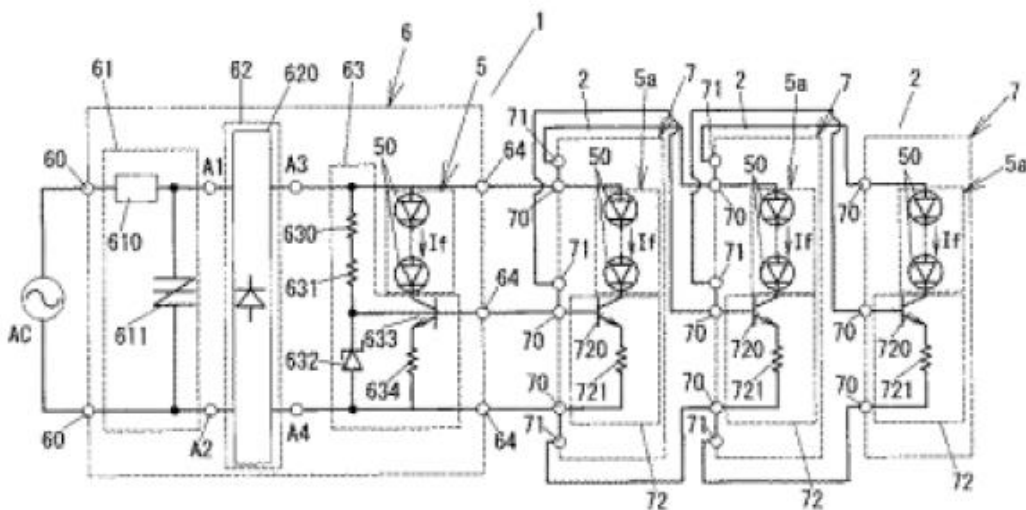
117. At most, the Petition shows that *Hiroyuki* discloses what it says, a “terminal.” 4[A] and 4[B], on the other hand, require various “terminal *pins*,” and in my opinion, the Petition fails to establish that 4[A] and 4[B] would have been obvious based on *Hiroyuki*.

XIV. GROUND 6 FAILS TO SHOW THAT HIROYUKI DISCLOSES 8[B]

118. In my opinion, the Petition fails to establish that *Hiroyuki* discloses 8[B].

119. 8[B] requires that “the slave control unit includes ***a signal processor.***” (emphasis added). The Petition argues that the “constant current circuit unit 72 of the slave drive unit” in *Hiroyuki* meets 8[B] because it “***acts as*** a signal processor” and meets 8[B]. *See* Pet. 75-77. I understand that the Board has found the Petition deficient with respect to 8[B]. ID 55. I agree with the Board’s initial findings that neither the Petition nor Dr. Schubert’s declaration have explained why a POSITA would have understood that a “constant current circuit unit 72” meets the “signal processor” of 8[B]. *Id.* In my opinion, the Board should maintain its initial finding for the reasons in its Institution Decisions, and for the additional reasons below.

120. In my opinion, nothing in *Hiroyuki* indicates that the constant current circuit unit 72 is a “signal processor.” The figures of *Hiroyuki* do not show a “signal processor” and the Petition admits as much by resorting to arguing that a circuit “acts as” a signal processor. Pet. 75-76. *Hiroyuki* does not state or describe the constant current circuit unit 72 as “act[ing] as” a signal processor; *Hiroyuki* describes that “the constant current circuit unit 72” includes “a transistor 720 and a resistor 721 connected in series.” See Ex. 1007 at [0030], Fig. 1:

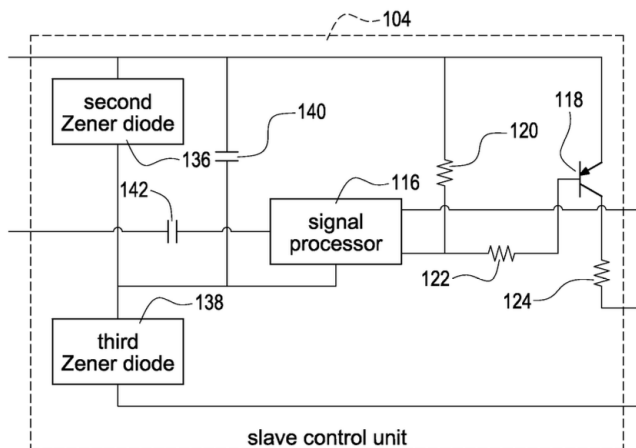


Hiroyuki (Ex. 1007), Fig. 1

121. Petitioner alleges that “a POSITA would have understood the constant current circuit unit 72 in *Hiroyuki* to meet the ‘signal processor’ limitation as claimed because it regulates the power supply to keep its output current constant in spite of changes in load, line, or temperature.” Pet. 75-77 (citing Ex. 1007 at [0030]). The only support for this statement is an identical statement in Dr.

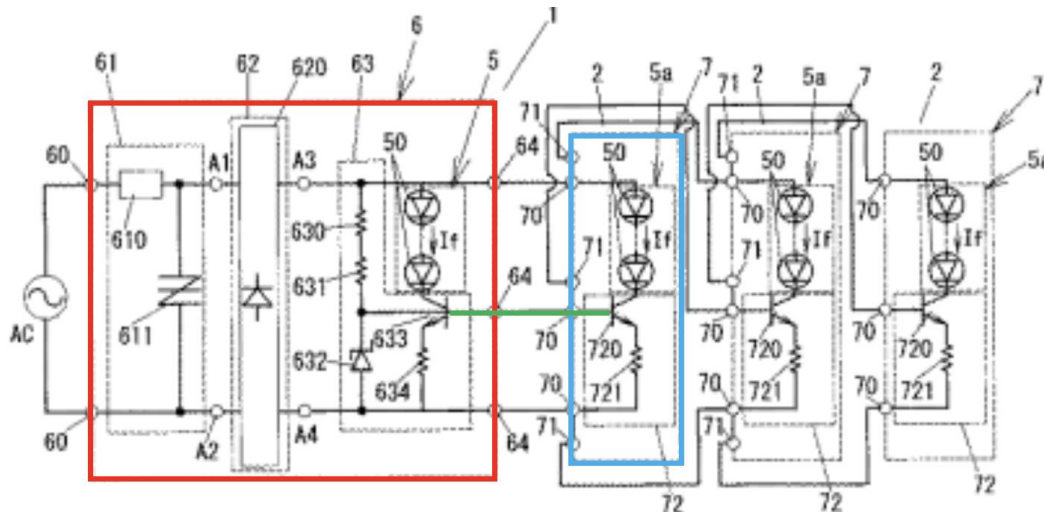
Schubert's declaration, Ex. 1003 at ¶ 127, which says nothing more than the Petition. I disagree with Dr. Schubert, because I disagree that regulating a power supply or outputting constant current is a "signal processor" in the context of the '546 patent. The function performed by *Hiroyuki*'s constant current circuit unit 72 is essentially a filter. In my opinion, a POSITA would not consider the transistor and resistor of *Hiroyuki*'s constant current circuit unit 72 to be a "signal processor," because it only regulates levels, and does not process signals within the scope of the '546 patent, such as signals used as sources of information or logic.

122. In my opinion, a POSITA would have understood that *Hiroyuki*'s transistor 720 and a resistor 721 connected in series in is distinct from a "signal processor," in view of the disclosure of the '546 patent. For example, Fig. 6 of the '546 patent illustrates an example of a slave control unit having a transistor 118 connected with resistors 122 and 124, *in addition to and separate from* signal processor 116. Furthermore, signal processor 116 is connected to the base of the transistor 118, and therefore the output of the signal processor 116 controls the current flow in transistor 118 of the '546 patent:



'546 Patent (Ex. 1001), Fig. 6

Ex. 1001, 3:15-17, Figs. 3, 6. The '546 patent suggests, such as in Fig. 6 above, that a “signal processor” is different than a transistor and a resistor connected in series. At minimum, the '546 patent suggests that a signal processor is something more than a combination of a transistor and resistor. In fact, the '546 patent discloses a signal processor 116 that outputs a signal to control transistor 118. In contrast, *Hiroyuki* does not disclose anything like a signal processor in slave drive unit 7 (the alleged slave control unit) being connected to transistor 720. Instead, *Hiroyuki* shows that master drive unit 6 directly controls transistor 720:



- 1 Master unit
2 Slave unit
5. 5a LED group
50 LEDs
6 Master drive unit
62 Conversion circuit unit
7 Slave drive unit
63, 72 Constant current
circuit unit
AC current

Hiroyuki, Fig. 1 (annotated highlighting master drive unit 6 in **red**, slave drive unit 7 in **blue**, and control line for transistor 720 in **green**)

Hiroyuki's Fig. 1 above shows that there is nothing in **slave drive unit 7** (blue, above) that controls transistor 720, because transistor 720 is directly operated by **master drive unit 6** (red, above), by the connection highlighted in green, above. For these additional reasons, in my opinion, the Petition fails to sufficiently explain why *Hiroyuki*'s transistor and resistor are a "signal processor" in the context of claim 8 of the '546 patent.

123. I also note that the term “signal” in the context of the ’546 patent is different than *Hiroyuki*’s constant power signal. The ’546 patent involves the

transmission of control signals and synchronous signals. Ex. 1001, Abstract, 1:50-51, 2:66-67, 3:41-43, 3:62-64, 6:7-10. These signals in the '546 are consistent with the dictionary definition of “signal,” which includes the definition of “an electrical quantity, such as a voltage, current, or frequency, that can be used to transmit information.” Ex. 2002. In my opinion, a POSITA would have understood that the “signals” in the '546 patent transmit information used to control the LED light strings. The '546 patent separately discloses simple power lines like the “constant current signal” connections of *Hiroyuki*, that do not carry information. I also note that Petitioner admits that *Hiroyuki* fails to disclose a master control unit including a microcontroller (Pet. 68, 77), *Hiroyuki*'s master drive unit 6 directly controls the constant current circuit 72 of the slave drive unit 7, indicating to a POSITA that *Hiroyuki*'s slave drive unit 7 does not require a signal processor.

124. For the reasons above, it is my opinion that the Petition fails to establish that *Hiroyuki* meets 8[B], and Ground 6 cannot prevail with respect to claim 8 based on *Hiroyuki* in view of *Kuang*.

XV. GROUND 6 FAILS TO SHOW OBVIOUSNESS OF ADDING A MICROCONTROLLER TO HIROYUKI IN CLAIMS 5, 6, AND 8

125. In my opinion, the Petition fails to establish that a POSITA would have been motivated to add a microcontroller to *Hiroyuki*, and therefore fails to establish obviousness of claims 5, 6, and 8 based on *Hiroyuki* and *Kuang*.

126. In addressing dependent claim 5, the Petition acknowledges that *Hiroyuki* fails to disclose a master control unit having a microprocessor, but asserts that *Hiroyuki*'s mention of a "circuit board" suggests the addition of a microcontroller. Pet. 68. In addressing 8[B], the Petition incorporates the claim 5 analysis. Pet. 77. In my opinion, the Petition is incorrect and fails to establish that it would have been obvious to a POSITA to add *Kuang*'s microcontroller to *Hiroyuki* in claims 5 and 8[B].

127. The only apparent basis for the obviousness combination of *Hiroyuki* and *Kuang* in the Petition is that *Hiroyuki* discloses a circuit board, and that some circuit boards have microprocessors. Pet. 68. A POSITA would have understood that the context of *Hiroyuki*'s teaching of a circuit board is for components such as transistors and resistors, not microcontrollers. The Petition and Dr. Schubert's declaration do not explain why it would have been obvious to a POSITA to modify *Hiroyuki*'s circuit board to add a microcontroller. *Hiroyuki* discloses a device having a particular and complete set of electrical components, and there is no apparent reason to add a microcontroller from *Kuang*'s entirely different system. I am not aware of any evidence in this proceeding supporting Petitioner's position that the mere mention of a circuit board in *Hiroyuki* means that *Hiroyuki* "optionally include[es] a microcontroller." See Pet. 68. In my opinion, a POSITA would have understood that circuit boards do not necessarily include

microcontrollers, and the presence of a circuit board does not establish the obviousness of adding an arbitrary microcontroller.

128. In my opinion, the Petition fails to present a complete obviousness analysis with respect to combining *Hiroyuki* with *Kuang*. *Hiroyuki* discloses a master driving unit 6 having components and “circuit units” that perform certain illumination operations. *Hiroyuki* discloses its illumination apparatus as being of “smaller size, thinner and lower cost.” Ex. 1007, Abstract, [0009], [0011], [0022]-[0026]. In my opinion, the Petition does not explain how *Hiroyuki*’s circuit board would have been modified to add a microcontroller, explain what the resulting circuit would look like, or address the potential effects that a microcontroller would have had on the existing components in *Hiroyuki*’s already-complete device.

129. In my opinion, the Petition also fails to articulate any motivation for modifying *Hiroyuki* to include a microcontroller. *Hiroyuki* discloses a low-cost lighting device that is miniaturized, thin, and uses a “simple circuit structure.” Ex. 1007, Abstract, [0009], [0011], [0014], [0020]. In my opinion, a POSITA would have understood that adding components such as microcontroller would only increase *Hiroyuki*’s cost, and potentially *Hiroyuki*’s size, both of which *Hiroyuki* seeks to avoid. *Id.*

130. In my opinion, the Petition also does not identify any deficiency in *Hiroyuki*’s hardware that would require the addition of a microcontroller. The

Petition and Dr. Schubert allege that adding a microcontroller would “enable features (such as dynamically flashing LED lights) that are considered attractive and desirable.” Pet. 70; Ex. 1003 ¶119. I am not aware of any indication that a POSITA would have desired to modify *Hiroyuki*’s lights to flash, or that flashing would have been “attractive.” I also note that *Hiroyuki* discloses providing “pulsating DC power” to LEDs, and thus *Hiroyuki*’s apparatus is capable of flashing its lights depending on the parameters of the pulsating power and the diodes used, without the need for a microcontroller. Therefore, in my opinion, the Petition and Dr. Schubert have not identified any deficiency in *Hiroyuki*’s hardware that would require the addition of a microcontroller.

131. For the reasons above, it is my opinion that it would not have been obvious to a POSITA to modify *Hiroyuki* based on *Kuang*, and the Petition fails to establish obviousness of claims 5, 6 or 8.

XVI. CONCLUSION

132. For the reasons set forth above, in my opinion, a person of ordinary skill in the art as described in paragraphs 45 or 46 would have considered the claims 1-6 and 8 of the '546 patent to not be obvious over the prior art discussed above.

133. In signing this declaration, I understand that the declaration will be filed as evidence in a contested case before the Patent Trial and Appeal Board of the United States Patent and Trademark Office. I acknowledge that I may be subject to cross-examination in this case and that cross-examination will take place within the United States. If cross-examination is required of me, I will appear for cross-examination within the United States during the time allotted for cross-examination.

134. I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

Dated: August 12, 2024

By: _____



Michael Lebby, Ph.D.

APPENDIX A

Michael Lebby



EDUCATION:

2004, Doctor of Engineering (D. Eng.)
University of Bradford, United Kingdom
Citation, “*Technical Contributions to Optoelectronics*”
(NB: This is a higher doctorate awarded on technical contribution to the field)

1987, Ph.D.
University of Bradford, United Kingdom
Thesis, “*Characterization and Fabrication of the HFET and BICFET*”

1985, MBA
University of Bradford, United Kingdom
Thesis, “*Small Business Planning for a Start-up*”

1984, Bachelors (B. Eng. – Honours)
University of Bradford, United Kingdom
Thesis, “*Computer Aided Testing of Semiconductor Devices*”

SUMMARY OF TECHNICAL EXPERTISE:

Industry roles (majority of career): Dr. Lebby has founded and led several companies in various positions including CEO and CTO (Ignis Optics, Oculi, One Chip Photonics, OIDA, Translucent Photonics, and Lightwave Logic). Dr. Lebby is currently Chairman & CEO of a public company (Lightwave Logic Inc. NASDAQ:LWLG). As CEO, Dr. Lebby led LWLG from an OTCQB company to OTCQX, and then to up-list on NASDAQ, organically, without raising financing (which is extremely rare), on 1st September 2021. Subsequently, Dr. Lebby was invited to ‘ring the bell’ and close the NASDAQ stock market for the week on 10th September 2021. The event was carried live on Fox, CNBC, Bloomberg, and BNN networks. On 1st September 2022, Lebby was elected Chairman of Lightwave Logic Inc. Dr. Lebby is currently Chair of the Corporate Engagement Council, and Board of Directors for Optica (2024-2026).

Academia role (minor part of career): Dr. Lebby has been a full professor (part-time) in optoelectronics at Glyndŵr University, Wales, UK (2013-15). Dr. Lebby has over 60 publications and has a google scholar h-index = 46 (which includes issued patents and published patent applications), i10-index = 165, with over 8400 citations.

Key professional bodies: Dr. Lebby is a Fellow of the National Academy of Inventors (NAI), the IEEE (Institute of Electrical and Electronic Engineers), and Optica (previously: Optical Society of America). Dr. Lebby is a Chartered Engineer of the British Institute of Electrical Engineers (roughly equivalent to the professional engineer status in USA).

Dr. Lebby started work in telecommunications industry and has generated over 40 years of experience in a broad range of technical disciplines and technologies. Dr. Lebby is well versed in electronics, semiconductor physics, optoelectronics, optics, materials, temperature, and mechanical engineering in general. Dr. Lebby is also experienced in matters of semiconductor packaging, pluggable transceiver module design, ICs (digital, analog, RF, power,

compound semiconductor), lighting, LIDAR, applications of artificial intelligence, sensing, displays, and polymers in general. Dr. Lebby has experience in commercial and industrial research of technologies such as silicon, compound semiconductors, manufacturing, fabrication, design, simulation, testing, evaluation, reliability, qualification of new ideas, concepts and products.

Dr. Lebby is co-inventor of the world's first 'oxide VCSEL' in 1991 (this is a type of semiconductor laser) that is now being utilized for consumer applications for structured sensing. One example is the facial recognition in modern day smart phones, another example is the application of laser mice for PCs). US Patent #5359618 "High Efficiency VCSEL and Method of Fabrication" issued 25th October 1994. Estimated commercial volumes of this semiconductor device exceed many billions of units.

Dr. Lebby has over 230 issued utility patents with the USPTO in subjects such as electronics, optoelectronics, photonics, packaging, transceiver modules, LiDAR components, sensing components, optical sub-assemblies, materials, epitaxial growth, device design, assembly, and various active and passive components (both electronic and optoelectronic). Dr. Lebby has over 400 events in which he has been a speaker (keynote, invited, contributed, webcasts, panels, interviews etc.), and regularly receives invites to speak at technical conferences as well as banking and financial conferences on subjects that range from optical networking, internet, generative-artificial intelligence, semiconductor eco-systems, foundries, outsourced manufacturing, technology roadmaps, corporate leadership, bring a new technology platform to market, and finance issues for small and entrepreneurial companies. Dr. Lebby has appeared in panels and interviews many times and regularly is communicating with the public on various platforms both technically, as well as financially. Dr. Lebby is currently chairman of the International PIC conference based in Europe (since it's conception in 2015). Dr. Lebby has a combined total of over 335 USPTO issued utility patents and published patent applications. This number grows to over 450 if international derivatives are included.

Dr. Lebby has participated in over 150 patent litigation cases as a technical expert witness, including over 20 trials and over 60 depositions. Dr. Lebby has authored numerous expert reports and is familiar with State (District), Federal (ITC) and PTAB-IPR court processes for expert witness testimony. Dr. Lebby has been cited in patent litigation case history (ITC investigation in the matter of: Certain Active Optical Cables and Products Containing the Same (ITC Inv #337-TA-1233)). See details below in Expert Witness Experience.

The types of products that Dr. Lebby understands and includes but are not limited to; Light Emitting Diodes (LEDs), Laser Diodes (LDs), photodetectors (PDs), optical waveguides, fiber optics, displays (LCD, LED, OLED), lighting luminaires, plastic photonics, optical polymers, sensors, LIDAR, solar cells, lighting, data communication, CMOS, and even new opportunities such as medical and life science applications for photonics. Dr. Lebby is internationally known for his work with integrated photonics, PICs (Photonic Integrated Circuits), silicon photonics, and semiconductor technologies such as InP, GaAs, III-N, GaN, silicon, as well as SiGe and silicon ICs (MOSFETs, FinFETs, bipolar), power FETs, RF FETs, various display technologies such as LCD, OLED, LED, cameras, as well as micro-fluidics and nanostructures/wires etc. Dr. Lebby has worked with DC current as well as AC current, high frequency design, microwave design, and multi-wavelength, visible wavelengths, in addition to 850nm/1310nm/1500nm/NIR fiber optic communications. Dr. Lebby has worked extensively in fiber optics which includes Fiber based systems, chassis', trays, modules, adapters, splice devices, connectors, transceivers, packaging as well as architecture and optical communication standards/protocols that include synchronous and asynchronous architectures. Fiber optic based communications are indirectly impacted by generative-artificial intelligence (G-AI), as these systems carry the increase computational data load generated by G-AI electronics technology.

Dr. Lebby is currently CEO of a public company (Lightwave Logic Inc. NASDAQ:LWLG). As CEO, Dr. Lebby took LWLG from an OTCQX company to up-list on NASDAQ, organically, without raising financing (which is extremely rare). LWLG was listed on NASDAQ 1st September 2021, and Dr. Lebby was invited to close the NASDAQ stock market on 10th September 2021 and "ring the bell". The event was carried on Fox, CNBC, Bloomberg, and BNN networks.

Dr. Lebby was voted in 2023 to become chair of Optica's Corporate Engagement Council (2024-2026) and become a member of the Optica Board of Directors. Dr. Lebby is currently a member of Optica's Corporate Engagement Council which represents the global photonics industry and is a member of Optica's Board of Directors (Jan 2024-Dec 2025).

BIOGRAPHY (style 1 technical focus):

Michael S. Lebby joined Lightwave Logic as a member of the Board of Directors in 2015. In May 2017, Dr. Lebby assumed the role of CEO, and in September 2022, Chairman and CEO of Lightwave Logic Inc (NASDAQ:LWLG). Lightwave Logic is a technological company commercializing Electro-Optical polymers and

successfully up listed the company to NASDAQ on 1st September, 2021. Dr. Lebby was invited to close the NASDAQ stock market on 10th September 2021. The event was carried on Fox, CNBC, Bloomberg, BNN networks.

Dr. Lebby (born 1961, London, UK) is an Anglo-American entrepreneur and intrapreneur in the fields of optoelectronics/photronics electronics (IC, RF, power, microwave etc.), and semiconductors in general. Dr. Lebby's career started with the British Government in 1977 in telecommunications and he did research at their research labs (RSRE Malvern) in the early 1980s. Dr. Lebby worked at AT&T's research labs: Bell Labs (1985-1989) in photonics, and subsequently drove the development (and co-authored the first patent) of the oxide VCSEL diode laser at Motorola in the 1990s (which is now used in laser mice, 3D structured light sensing in mobile phones, optical interconnects; where volumes of the laser are over 1B units today). From 2005-2010 he led the USA trade association in optoelectronics (OIDA) and represented the optoelectronics and photonics industry on Capitol Hill. In this role he produced broad market analysis in fiber optic communications, lighting, displays, automotive LIDAR, sensing, solar, photonics manufacturing, as well as associated technology roadmaps for the market verticals and technologies.

Dr. Lebby has led and run technical start-ups and commercialized optoelectronic and photonics technology into volume manufacturing. Dr. Lebby has also had roles as a Venture Capitalist specializing in Optical Communications. He is currently a technical expert to the European Commission. He is a Fellow member of NAI (National Academy of Inventors), IEEE, and OSA, and has been voted PIC (Photonic Integrated Circuit) business leader of the year by the PIC International Conference in 2018.

Dr. Lebby holds over 450 issued international patents (as per search engine) in photonics and electronics, that have been derived from over 230 issued USPTO utility patents, mostly in the field of optoelectronics, photonics, and semiconductors. He has been cited by the USPTO to be in the most prolific 75 inventors in USA from 1988-1997.

Dr. Lebby is passionate about photonics and has focused his efforts over the last 30 years to drive new photonics manufacturing programs in USA and Europe as well as industry-based photonics technology roadmaps.

Dr. Lebby is also a keen hobbyist in optics and philately, and has been elected to both the London Guild of Spectacle Makers as well as the Royal Philatelic Society London.

BIOGRAPHY (style 2 corporate focus):

Dr. Michael S. Lebby has deep experience running and leading technology companies, especially those developing, manufacturing and productizing new platform technologies. Dr. Lebby's experience includes research, development, volume scale, marketing, sales, finance, and corporate activities both with management as well as at a board director level. Dr. Lebby's technical acumen stems from his entrepreneurial and intrapreneurial skills working throughout his career in both large corporate organizations as well as venture backed start-ups and public companies. After joining LWLG's Board of Directors in 2015, and leading the corporation as CEO in 2017, Dr. Lebby successfully navigated LWLG from OTCQ to the NASDAQ through an organic uplisting with the rare situation of no reverse splits or associated public offering in 2021. The new technology platform that Dr. Lebby is driving at LWLG has the potential to change the way the internet operates and performs both from a performance as well as power efficiency perspective. Dr. Lebby is considered an expert in the technological fields of semiconductors, electronics, optoelectronics/photronics, and optical systems in general. Dr. Lebby has built world class teams not only to productize new and novel technology platforms from research concepts through development to production, but also in sales, marketing, human relations, legal, and finance. Dr. Lebby's career started with the British Government in 1977 in telecommunications and he did research at their prestigious research labs (RSRE Malvern) in the early 1980s and worked at AT&T Bell Labs (1985-1989) in photonics. At Motorola in the 1990s he drove the development, including the first patent co-authorship of the oxide VCSEL diode laser which is now used in laser mice, 3D sensing/structured light in mobile phones and short distance datacenter optical interconnects with estimated volumes of over 1B units today. From 2005-2010 he led the USA trade association in optoelectronics (OIDA) and represented and petitioned on behalf of the optoelectronics industry on Capitol Hill for major multi-national corporations. Dr. Lebby has run and led several technological start-ups such as Ignis Optics, One Chip Photonics, Translucent Photonics as well as groups in Motorola, Tyco Electronics, AT&T, Intel and others. Dr. Lebby has nurtured innovative technology through building a range of customers from small business enterprises to multi-national corporations. Dr. Lebby was voted PIC (Photonic Integrated Circuit) business leader of the year by the industry-based PIC International Conference in 2018. He is a technical expert to the European Commission, and a Fellow of NAI, IEEE and Optica. He also is Chair of Optic's Corporate Engagement Counsel, a board of industry representatives to further business activity in the sector.

WORK HISTORY:

2023 – Present

GenusTechnik Inc., CEO and CTO (part-time consulting)

GenusTechnik Inc., provides consulting in expert witness, trial, deposition, expert reports, infringement, invalidity, and various patent and IP litigation in the general areas of photonics, optoelectronics, semiconductors, and fiber optics. GenusTechnik has engaged with patent agencies such as: IMS Expert Services, Thomson Reuters Expert Witness Services (now Round Table Group), LitXpert Expert Witness Group, Teklicon Expert Witness Agency, Beche Expert Services Group, Forensis Group: Expert Witness Services, and Expertconnect. GenusTechnik Inc. also provides consulting to Ambature Inc. in superconductivity.

2003 – 2022

Oculi LLC, CEO and CTO (part-time consulting)

Oculi LLC), provided consulting in expert witness, trial, deposition, expert reports, infringement, invalidity, for patent and IP litigation. Subjects included: photonics, optoelectronics, semiconductors, electronic transistors, electronic circuits, integrated circuits (ICs), displays, cameras, optics, lenses, packaging, and fiber optics for telecommunication technology in general. Engaged patent agencies have included: IMS Expert Services, Thomson Reuters Expert Witness Services (now Round Table Group), LitXpert Expert Witness Group, Teklicon Expert Witness Agency, Beche Expert Services Group, Forensis Group: Expert Witness Services, and Expertconnect. Oculi LLC provided consulting to Ambature Inc. in superconductivity.

2015 – Present

Lightwave Logic (NASDAQ:LWLG), Chairman, CEO, & Director, Board of Directors

In 2015 Dr. Lebby became a director of Lightwave Logic to assist in the company for competitive optical modulator products and associated packaging, manufacturing, and technology roadmaps/marketing. In May 2017, Dr. Lebby became CEO of Lightwave Logic. On 1st September 2021, Dr. Lebby successfully guided Lightwave Logic to up-list to NASDAQ, and was invited to ring the closing bell on 10th September 2021. The NASDAQ up-list was organic i.e. no reverse split, no raised funds. The organic up-list is considered very rare in the financial industry. In September 2022, Lebby became Chairman and CEO of Lightwave Logic Inc.

2014 – 2016

University Southern California, Director CFR (Corp & Foundation Relations)

Dr. Lebby assisted USC Viterbi Engineering to foster tighter faculty-industry relationships in high technology, IT, social media, graphic arts, electronics, photonics (solar, display, medical) and fiber communications segments.

2013 – Present

European Commission, Technical Expert

In 2013 Dr. Lebby became a technical expert for the Photonics Unit of the European Commission. Dr. Lebby has participated in organizing workshops, lectures, evaluating photonics proposals, and advising the Commission on funded projects. Dr. Lebby currently is an advisor on 7 photonics pilot lines (MIRPHAB, PI-SCALE, PIX4LIFE, PIXAPP, InPULSE, Phabulous, PhotonHub) and photonics based cardiovascular disease programs (CARDIS and InSide). More recently, Dr. Lebby has been consulting on larger framework (multi-country) projects that include electronics (power/RF) as well as photonic based technologies. Work includes displays, LEDs, lighting/LED luminaires, OLEDs, LIDAR, automotive, G-AI applications, micro-optics, InP/Silicon photonics, near infra-red technologies, fiber optics that includes transceivers, optical networking, as well as synchronous and asynchronous architectures, sensing, medical photonics, and medical imaging, technology roadmapping, electronics, IC design, RF electronics, Power electronics, microwave, acoustic and optical filters etc.

Michael Lebby Curriculum Vitae (CV)

2013 – 2015

OneChip Photonics Corporation, *President and CEO*

In 2008 Dr. Lebby was elected independent board member for One Chip Photonics Inc. He was elected CEO in 2013. One Chip Photonics focused on technical and business operational strategy for communications based InP Photonic Integrated Circuit (PIC) and optoelectronic Integrated Circuit (OEIC) platforms.

2013 – 2015

Glyndŵr University, *Professor, Chair of Optoelectronics*

In 2013 Dr. Lebby became full Professor of Optoelectronics (part-time) to assist Glyndwr University to position itself as a leader in optoelectronics and photonics. Areas of focus include design, simulation, and testing of photonic integrated circuits (PICs) and optoelectronics integrated circuits (OEICs), laser diodes, displays, optics, and solar cells.

2010 – 2013

Translucent, Inc., *GM and CTO*

Dr. Lebby positioned Translucent on the product development and manufacture of epitaxial semiconductor wafers for the solar, power and lighting industries. Dr. Lebby led the R&D and development of MBE based rare earth oxide single crystal epitaxial layers deposited onto large silicon wafers. On top of these wafers both MBE and MOCVD Gallium Nitride (GaN, III-Nitride) epitaxial device layers were grown for devices. The use of GaN-on-Si is expected to be a strong catalyst for change in the power electronics and LED lighting industries. In a parallel program at Translucent, Dr. Lebby led the development efforts to develop and productize Germanium-Tin (GeSn) template wafers onto large format silicon wafers (Ge-on-Si) to enable cost effective, and ultra-high efficiency multi-junction solar cells for the CPV industry. Areas of advanced interconnect using new and novel material compounds were explored that focused on lighting, sensing, biomedical, RF electronics, Power electronics, solar, fiber optic communications, RF filters, ICs etc.

2005 – 2010

OIDA (Optoelectronic Industry Development Association), *Executive Director, President, and CEO (Board Member)*

Dr. Lebby represented the optoelectronics industry and organized technical conferences/workshops/forecasts with industry roadmaps. Initiated green photonics metrics. Dr. Lebby positioned OIDA as a national resource in the field of optoelectronics and has represented industry in many capacities ranging from talks, papers, expert witness, testimony, market and technical reports. Dr. Lebby created *new industry-based initiatives* in fiber optics broadband as well as the green photonics movement (solar technologies, displays, LED lighting technologies) that is now occurring globally.

2003 – 2005

Development

OCLARO (after acquisition by Bookham in 2003), *VP Technology and Business*

Telecommunication optoelectronic components, San Jose, Ca. Focus on technology strategy for components and sub-systems.

2001 – 2003

Ignis Optics, *President and CEO (Founder/Board Member)*

Venture-backed start-up, San Jose, California manufacturing 10Gbps and OC192/OC48 fiber optic transceivers with micro-controllers using the XFP form-factor.

1999 – 2001

Intel Capital, *Director Business Development/Investor (w/Board observer positions on Investment)*

Intel Capital, Santa Clara, California. In 1999, Dr. Lebby joined Intel as a corporate investor and was responsible for sourcing, negotiating, and closing private placement equity deals in the optical networking, component, display, projection technologies, LED lighting, LED luminaires, and semiconductor arenas.

Michael Lebby Curriculum Vitae (CV)

1998 – 1999 **TE Connectivity (renamed from AMP, then Tyco Electronics), Director Technology & Business Development (Fiber Optics)**

Global Optoelectronics Division: Technology strategy for optical communications products.

1989 – 1998 **Motorola, R&D Manager**

Corporate R&D Labs, Tempe, Arizona. Optoelectronics: VCSEL based product solutions (OPTOBUS); materials, devices, packaging, systems. Other technologies included displays, field emission, LED, LCD, OLED, virtual/micro and projection. At the time, Dr. Lebby was also the lead inventor in Motorola's history with over 150 issued utility patents. Dr. Lebby worked in the silicon and compound semiconductor fabrication plants (fabs) to become proficient in deposition/etching/alignment tooling as well as design and simulation. Many of the component technologies that were researched at Motorola can now be found in AR, VR, displays, automotive LIDAR, solar, sensing, consumer products such as mobile phones, as well as traditional electronics industries. Work included optical networking with both electronics as well as optoelectronics synchronous and asynchronous architectures.

1985 – 1989 **AT&T, Researcher**

AT&T Bell Labs - Photonics Research Dept (Dept 11366), Holmdel, NJ. Dr. Lebby designed and fabricated new GaAs and InP optoelectronic and electronic devices that were grown both by MOCVD and MBE for projects that included optical computing and fiber optic communications.

1977 – 1984 **UK Ministry of Defense, Apprenticeship/Journeyman + Electronics Engineer (REME – Royal Electrical and Mechanical Engineers, and RSRE – Royal Signals and Radar Establishment)**

Dr. Lebby started his career at the Royal Electrical and Mechanical Engineer (REME) division of the Ministry of Defence in the UK. Lebby was a telecommunications apprentice, and repaired all types of radio/RF communications equipment. Lebby qualified as a fully qualified Journeyman or Apprentice specializing in telecommunications in 1980. Dr. Lebby moved to the Royal Signal and Radar Establishment (RSRE) to study material and characterization issues of GaAs and InP devices.

SELECTED PROFESSIONAL ACTIVITIES & AWARDS:

- Elected Chairman of Lightwave Logic Inc., NASDAQ:LWLG 1st September 2022
- Elected to the Corporate Engagement Council (newly formed OIDA to represent the global optical industry) for Optica in June 2022 and voted to become Chairman for 2024-2026 that is represented on Optica's Board of Directors.
- Invited to ring the closing bell of NASDAQ (with associated TV interviews) on 10th September, 2021
- Elected Fellow of the **National Academy of Inventors (NAI)** in 2020.
- Voted winner at the PIC Conference (Photonic Integrated Circuits) as PIC **Entrepreneur and Business Leader of the Year** (March 2018).
- Dr. Lebby is **co-inventor of the oxide VCSEL** (semiconductor laser) that is now being utilized for consumer applications (*facial recognition using structured sensing in smart mobile phones*). US Patent #5359618 "High Efficiency VCSEL and Method of Fabrication" issued 25th October 1994.
- Dr. Lebby was recognized professionally as a **Fellow of IEEE (2005) and OSA (2007)** with his technical contributions to the field of optoelectronics.
- Dr. Lebby is a member of the Fellows review committee of IEEE.
- As an inventor Dr. Lebby has achieved **>230 issued utility patents with the USPTO**.
- As an inventor Dr. Lebby has ~80 published utility patent applications with the USPTO
- As an inventor Dr. Lebby has over 450 issued patents world-wide if international derivatives are counted.
- Dr. Lebby's novel laser-based device, packaging, modules designs led Motorola to sell their patent portfolio independently where a number of the patents have "very high licensing coefficients." Dr. Lebby was given a

special award from the Chairman of Motorola for exemplary patent invention accomplishments in 1998 and # **1 prolific inventor in Motorola's history.**

- **Over 60 publications** (www.linkedin.com/in/lebbby)
- **Over 300 public media activities** (that include: Books, Chapters, Reports, Abstracts, Videos, Lectures, Speeches, Reviews, Courses, Talks, Invited Talks, Keynote Talks, Plenary Talks, Webinars, Interviews, Articles, etc.). See at www.linkedin.com/in/lebbby
- Author of OIDA's **annual market optoelectronics report** and forecast (2009 version is over 600 pages), which receives international exposure and recognition. Regularly interacts with government agencies in Washington DC on photonics-based projects (DARPA, ARPA-E, NIH, NSF, DOE, NIST, DoD etc.). Typical chapters included: LEDs, laser diodes, fiber optic communications, sensors, displays, solar, green photonics, military photonics, automotive LIDAR, medical, biomedical, lighting (LED, OLED), displays (LCD, OLED) and applications of photonics and electronics that include RF/power/compound semiconductor, ICs, and associated components.
- Is the recipient of a **2nd doctorate** (higher) from Bradford University, UK, and only the second in the universities history to receive a D.Eng from industry (rest being academia).
- **Entrepreneur** at: (i) Motorola: Co-founded Optobus division – VCSEL laser based parallel optical interconnect solution, and (ii) Intel: Co-founded the Intel optics division for silicon photonics where over \$300M was initially invested for the division.
- **Entrepreneur:** Founded (and CEO) Ignis Optics Inc., a venture backed company (>\$30M) that designed and manufactured 10Gbps fiber optic transceivers. Sold the company to Bookham (NASDAQ: BKH) in 2003. Restructured OneChip Photonics (as CEO) through bankruptcy, and new VC-based funding. Assisted in the raising of >\$50M VC funding as a member of BoD. Founded Oculi LLC and Obsidian Motors LLC.
- IEEE CPMT **Board of Governors** ('98- '02); IEEE Phoenix Waves and Devices Junior Engineer of the Year (1993); CPMT Distinguished lecturer (2000), CPMT technical committee (TC-10 & ECTC) 1991 to 2011
- Advised at **Board of Director** level for a number of technical start-ups
- **Chartered Engineer** (C.Eng) from IEE (UK). The chartered engineering status is equivalent to the US PE (professional engineer)

EXPERT WITNESS EXPERIENCE

Summary:

Depositions (total-to-date) >50

Trial/Hearing/court/PTAB appearances >20 (with IPR/PTAB ‘paper trial’, this total increases to >25)

Cited patent litigation case history: In essence, the following case history means that the expert doesn’t have to disclose every minute detail in his expert report. He is allowed to disclose theories in the report and expand on them in his witness statement.

See also In The Matter Of Certain Light-Emitting Diode Products, Systems, And Components Thereof, Inv. No. 337-TA-1168, Order No. 34, 2020 WL 1026335 (Feb. 14, 2020) (denying motion *in limine* to preclude testimony where: “[t]he invalidity theories at issue were previously disclosed at least in Dr. Lebby’s expert report, and LSG had the opportunity to test these theories at Dr. Lebby’s deposition.”)

See case # 81 below

Patent litigation cases engaged:

1. Active case work: 1Q2009 (March 24th 2009); *Testimony: US-China Economic and security review commission on the optoelectronics industry. US Congress, Capitol Hill*; Written statement on the status of the USA optoelectronics industry with aural cross-examination by the commission. Trial experience.
 - a. Deposition: 0 (Cumulative total: 0)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 1)
 - i. ITC trial appearance: 0 (Cumulative total: 0)
 - ii. District Court trial appearance: 0 (Cumulative total: 0)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: Optoelectronics (optical storage, fiber optic communications, sensors, high power lasers, LED lighting, LED luminaires etc.).
2. Active case work: 3Q2010-1Q2011; *Finisar Corp. v. Optical Communication Products Inc.*, No. 10-CV-05617 and No. 11-cv-00104 (retained by plaintiff - Morgan, Lewis & Bockius LLP).
 - a. Deposition: 0 (Cumulative total: 0)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 1)
 - i. ITC trial appearance: 0 (Cumulative total: 0)
 - ii. District Court trial appearance: 0 (Cumulative total: 0)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: semiconductor lasers/VCSELs, fiber optic transceiver modules
3. Active case work: 1Q2011; *Ziptronix, Inc. v. OmniVision Technologies, Inc., Taiwan Semiconductor Manufacturing Company Ltd., and TSMC North America Corp.*, No. 11-CV-5235 (retained by plaintiff – Alston Bird LLP).
 - a. Deposition: 0 (Cumulative total: 0)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 1)
 - i. ITC trial appearance: 0 (Cumulative total: 0)
 - ii. District Court trial appearance: 0 (Cumulative total: 0)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: CMOS image sensors; design and fabrication
4. Active case work: 3Q2011 to 3Q2012; *Certain Light-Emitting Diodes and Products Containing Same*, Inv. No. 337-TA-798 (USITC filed July 15, 2011) (retained by respondent Samsung – Covington & Burling LLP). Deposition (x2) and trial experience.
 - a. Deposition: 3 (Cumulative total: 3)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 2)
 - i. ITC trial appearance: 1 (Cumulative total: 1)
 - ii. District Court trial appearance: 0 (Cumulative total: 0)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: LEDs, device design, color gamut, packaging, optical lensing, lead frames, surface mount packaging.
5. Active case work: 3Q2011 to 2Q2015; *Cheetah Omni, LLC v. Alcatel-Lucent USA Inc.*, No. 11-CV-390 (E.D. Tex. filed July 29, 2011) (retained by plaintiff – Brooks Kushman). Deposition experience (x2).
 - a. Deposition: 1 (Cumulative total: 4)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 2)

Michael Lebby Curriculum Vitae (CV)

- i. ITC trial appearance: 0 (Cumulative total: 1)
 - ii. District Court trial appearance: 0 (Cumulative total: 0)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: Fiber optic networks, optical switches, ROADMs and optical filters (dielectric based).
6. Active case work: 4Q2012 to 3Q2014; *Finisar Corp. v. Cheetah Omni, LLC*, No. 11-CV-15625 (E.D. Mich. filed Dec. 23, 2011) (retained by defendant – Brooks Kushman). Deposition experience (x1).
 - a. Deposition: 1 (Cumulative total: 5)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 2)
 - i. ITC trial appearance: 0 (Cumulative total: 1)
 - ii. District Court trial appearance: 0 (Cumulative total: 0)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: Wavelength optical switches, optical filters, and optical components, liquid crystal technology.
7. Active case work: 4Q2012 to 3Q2013; *Certain Optoelectronic Devices for Fiber Optic Communications, Components Thereof, and Prods. Containing the Same*, Inv. No. 337-TA-860 (USITC filed Sept. 24, 2012) (retained by respondent – Mellanox, IPtronics and FCI Inc and counsel Alston & Bird LLP). Deposition (x2) and trial experience.
 - a. Deposition: 2 (Cumulative total: 7)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 3)
 - i. ITC trial appearance: 1 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 0)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: IC design, layout, and operation; especially laser driver chips for VCSELs. High speed laboratory testing.
8. Active case work: 3Q2014 to 2Q2015; *Microscan Sys., Inc. v. Cognex Corp.*, No. 14-CV-6952 (S.D.N.Y. filed Aug. 27, 2014) (retained by plaintiff – Morrison & Foerster LLP). Deposition (x1) and trial experience.
 - a. Deposition: 1 (Cumulative total: 8)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 4)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 1 (Cumulative total: 1)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: Bar code scanners and associated optics such as imagers, lasers, LEDs, molded optics. Laboratory testing.
9. Active case work: 2Q2014 to 1Q2016; *Avago Techs. Fiber IP (Singapore) PTE Ltd. v. IPtronics Inc.*, No. 10-CV-2863 (N.D. Cal. filed June 29, 2010) (retained by respondent – Alston & Bird LLP).
 - a. Deposition: 0 (Cumulative total: 8)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 4)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 1)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: IC design, layout, and operation; especially laser driver chips for VCSELs. High speed laboratory testing.
10. Active case work: 1Q2015 to 3Q2015; *Regarding certain optical disc drives*, Inv. No. 337-TA-897 (USITC filed Oct. 21, 2013) (retained by respondent – O'Melveny & Myers LLP).
 - a. Deposition: 0 (Cumulative total: 8)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 4)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 1)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: CD, CVD, BluRay optical disk drives, optical components, laser diodes, and optical beam patterns. Laboratory testing of visible optics.
11. Active case work: 1Q2015 to 2Q2015; *BMW of N. Am., LLC v. Innovative Display Techs., LLC*, No. IPR2015-00933, IPR2015-00934 (P.T.A.B. filed Mar. 24, 2015) (retained by plaintiff – Crowell Moring LLP).
 - a. Deposition: 0 (Cumulative total: 8)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 4)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 1)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: LED backlights for vehicles; LED package and optical module/LED luminaire design.
12. Active case work: 1Q2015 to 2Q2015; *BMW of N. Am., LLC v. Innovative Display Techs., LLC*, No. IPR2015-00934 (P.T.A.B. filed Mar. 24, 2015) (retained by plaintiff – Crowell Moring LLP).
 - a. Deposition: 0 (Cumulative total: 8)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 4)
 - i. ITC trial appearance: 0 (Cumulative total: 2)

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- ii. District Court trial appearance: 0 (Cumulative total: 1)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: LED backlights for vehicles; LED package and optical module/luminaire design.
- 13. Active case work: 1Q2015 to 3Q2016; *OneChip Photonics Inc.*, Pre-litigation IP Case No. TBD; (retained by plaintiff – Patent Monetization Inc. (PMI)).
 - a. Deposition: 0 (Cumulative total: 8)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 4)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 1)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: Photonic Integrated Circuits using InP (Indium Phosphide); lasers, detectors, waveguides, mux/demux, spot size converters.
- 14. Active case work: 2Q2016; *IDT v. Hyundai Motor Co.*, No. 14-CV-201 (E.D. Tex. filed May 4, 2015) (retained by defendant – DLA Piper LLP).
 - a. Deposition: 0 (Cumulative total: 8)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 4)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 1)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: LED devices, packages and modules/luminaires for vehicles.
- 15. Active case work: 1Q2015 to 3Q2015; *Cree Inc., v. Honeywell Int'l Inc.*, No. 3:14-CV-737 (W.D. Wisc. filed Oct. 28, 2014) (retained by defendant - Arnold & Porter LLP). Deposition experience (x1).
 - a. Deposition: 1 (Cumulative total: 9)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 4)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 1)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: LEDs for mobile phones; LED epitaxial layers, device designs, optical lensing, packaging. Laboratory reverse engineering using TEM/SEM/EDAX etc.
- 16. Active case work: 2Q2015 to 4Q2015; *Trs. of Boston Univ. v. Everlight Elecs. Co.*, Nos. 12-CV-11935, 12-CV-12326, 12-CV-12330 (D. Mass. filed Oct. 17, 2012) (retained by plaintiff - Shore Chan DePumpo LLP). Deposition (x1) and trial experience.
 - a. Deposition: 1 (Cumulative total: 10)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 5)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 1 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 0)
 - c. Technology: GaN buffer layers for LEDs, and associated technical marketing, sales and import process into USA.
- 17. Active case work: 3Q2015 to 3Q2016; *LG Elecs. Inc. v. Toshiba Samsung Storage Tech. Corp.*, No. 12-CV-1063 (D. Del. filed Aug. 22, 2012) and IPR2015-01659 (PTAB filed Jul. 31st 2015) (retained by plaintiff – DLA Piper & Nixon Law). IPR deposition (i.e. trial) experience (x1).
 - a. Deposition: 1 (Cumulative total: 11)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 6)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 1 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 1)
 - c. Technology: Optical storage using laser diodes, photodetectors and optical materials
- 18. Active case work: 3Q2015 to 3Q2016; *LG Elecs. Inc. v. Toshiba Samsung Storage Tech. Corp.*, No. 12-CV-1063 (D. Del. filed Aug. 22, 2012) and IPR2015-01642 (PTAB filed Jul. 30th 2015) (retained by plaintiff – DLA Piper & Nixon Law).
 - a. Deposition: 0 (Cumulative total: 11)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 6)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 1)
 - c. IPR/PTAB trial/deposition: 0 (Cumulative total: 1)
 - d. Technology: Optical storage using laser diodes, photodetectors and optical materials
- 19. Active case work: 3Q2015 to 3Q2016; *LG Elecs. Inc. v. Toshiba Samsung Storage Tech. Corp.*, IPR2016-01677 (PTAB filed Aug. 24th 2016) (retained by plaintiff – DLA Piper & Nixon Law).
 - a. Deposition: 0 (Cumulative total: 11)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 6)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)

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- iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 1)
 - c. Technology: Optical storage using laser diodes, photodetectors and optical materials
- 20. Active case work: 3Q2015 to 3Q2016; *LG Elecs. Inc. v. Toshiba Samsung Storage Tech. Corp.*, IPR2015-01678 (PTAB filed Aug. 24th 2016) (retained by plaintiff – DLA Piper & Nixon Law).
 - a. Deposition: 0 (Cumulative total: 11)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 6)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 1)
 - c. Technology: Optical storage using laser diodes, photodetectors and optical materials
- 21. Active case work: 3Q2015 to 3Q2016; *LG Elecs. Inc. v. Toshiba Samsung Storage Tech. Corp.*, IPR2015-01644 (PTAB filed Jul. 30th 2015) (retained by plaintiff – DLA Piper & Nixon Law).
 - a. Deposition: 0 (Cumulative total: 11)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 6)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 1)
 - c. Technology: Optical storage using laser diodes, photodetectors and optical materials
- 22. Active case work: 3Q2015 to 3Q2016; *LG Elecs. Inc. v. Toshiba Samsung Storage Tech. Corp.*, IPR2015-01653 (PTAB filed Jul. 31st 2015) (retained by plaintiff – DLA Piper & Nixon Law).
 - a. Deposition: 0 (Cumulative total: 11)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 6)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 1)
 - c. Technology: Optical storage using laser diodes, photodetectors and optical materials
- 23. Active case work: 3Q2015; *Heptagon* Pre-litigation IPR petition; (retained by plaintiff – Proskauer Rose LLP).
 - a. Deposition: 0 (Cumulative total: 11)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 6)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 1)
 - c. Technology: Optical storage using laser diodes, photodetectors and optical materials
- 24. Active case work: 1Q2016 to 2Q2017; *Dentsply v. Am. Orthodontics Inc.*, No. 15-CV-1706 (D. Pa. filed Sept. 1, 2015) and IPR2016-01652 (PTAB filed Aug. 19th 2016) (retained by defendant – Godfrey Kahn LLP). IPR deposition (x1) experience.
 - a. Deposition: 1 (Cumulative total: 12)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 7)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 2)
 - c. Technology: High power lasers (YAG, CO2) to engrave and scribe dental orthodontic brackets.
- 25. Active case work: 2Q2016 to 2Q2017; *Koninklijke Philips N.V. v. Elect-tech Int'l (H.K.)*, No. 14-CV-2737 (N.D. Cal. filed Aug. 12, 2015) (retained by plaintiff – Reed Smith LLP).
 - a. Deposition: 0 (Cumulative total: 12)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 7)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 2)
 - c. Technology: Trade secret MOCVD epitaxial growth techniques for high power GaN/InGaN LED technology, luminaires, modules and packaging.
- 26. Active case work: 2Q2016 to 4Q2016; *Bluestone Innovations llc. v. E. Mishan & Co. Inc.*, Pre-litigation IP Case No. TBD; (retained by defendant - Notaro, Michalos, & Zaccaria P.C.).
 - a. Deposition: 0 (Cumulative total: 12)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 7)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 2)
 - c. Technology: GaN/InGaN LEDs on structured substrates with reverse engineering laboratory analysis.
- 27. Active case work: 3Q2016-1Q2017; *511 Innovations Inc. v. HTC Am., Inc.*, No. 15-CV-1524 (E.D. Tex. Filed June 30, 2016) (retained by plaintiff – The Davis Firm). Deposition (x2)
 - a. Deposition: 2 (Cumulative total: 14)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 7)
 - i. ITC trial appearance: 0 (Cumulative total: 2)

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- ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 2)
 - c. Technology: Proximity sensors for mobile phones.
- 28. Active case work: 3Q2016-4Q2016; *Mellanox Techs. Ltd. v. Methode Elecs. Inc.*, No. 15-CV-3730 (N.D. Cal. filed Aug. 16, 2015) (retained by plaintiff – Alston & Bird).
 - a. Deposition: 0 (Cumulative total: 14)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 7)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 2)
 - c. Technology: Fiber optic transceiver modules.
- 29. Active case work: 4Q2016-1Q2017; *Novanta Inc., v. Iridium Inc.*, No. 15-CV-01033 (D. Del. filed Nov. 9, 2015) (retained by defendant – Barnes and Thornburg LLP).
 - a. Deposition: 0 (Cumulative total: 14)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 7)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 2)
 - c. Technology: High power gas laser design and operation.
- 30. Active case work: 1Q2017-1Q2017; *Optolium Inc., v. Cree Inc.*, No. 16-CV-03828; (D. Az. filed Nov. 3, 2016) (retained by defendant – Jones Day).
 - a. Deposition: 0 (Cumulative total: 14)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 7)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 2)
 - c. Technology: LED packaging for illumination. LED luminaires.
- 31. Active case work: 1Q2017-4Q2017; *Polygroup Macau Ltd (BVI), v. Willis Electric Co., Ltd.*, 15-CV-00552 (W.D. NC. Filed: Apr 21st 2016), (retained by plaintiff - Troutman Sanders LLP). Deposition experience (x2)
 - a. Deposition: 2 (Cumulative total: 16)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 8)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 3)
 - c. Technology: Electrical connector, IC, and LED light product design including luminaires.
- 32. Active case work: 1Q2017-4Q2017; *Polygroup Macau Ltd (BVI), v. Willis Electric Co., Ltd.*, IPR2017-00309 (PTAB filed Nov. 21st 2017), (retained by plaintiff - Troutman Sanders LLP).
 - a. Deposition: 0 (Cumulative total: 16)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 8)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Electrical connector, IC, and LED light product design including luminaires.
- 33. Active case work: 1Q2017-4Q2017; *Polygroup Macau Ltd (BVI), v. Willis Electric Co., Ltd.*, IPR2017-00330 (PTAB filed Nov. 24th 2017), (retained by plaintiff - Troutman Sanders LLP).
 - a. Deposition: 0 (Cumulative total: 16)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 8)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Electrical connector, IC, and LED light product design including luminaires.
- 34. Active case work: 1Q2017-4Q2017; *Polygroup Macau Ltd (BVI), v. Willis Electric Co., Ltd.*, IPR2017-00331 (PTAB filed Nov. 24th 2017), (retained by plaintiff - Troutman Sanders LLP).
 - a. Deposition: 0 (Cumulative total: 16)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 8)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Electrical connector, IC, and LED light product design including luminaires.
- 35. Active case work: 1Q2017-4Q2017; *Polygroup Macau Ltd (BVI), v. Willis Electric Co., Ltd.*, IPR2017-00332 (PTAB filed Nov. 25th 2017), (retained by plaintiff - Troutman Sanders LLP).
 - a. Deposition: 0 (Cumulative total: 16)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 8)
 - i. ITC trial appearance: 0 (Cumulative total: 2)

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- ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Electrical connector, IC, and LED light product design including luminaires.
- 36. Active case work: 1Q2017-4Q2017; *Polygroup Macau Ltd (BVI), v Willis Electric Co., Ltd.*, IPR2017-00334 (PTAB filed Nov. 25th 2017), (retained by plaintiff - Troutman Sanders LLP).
 - a. Deposition: 0 (Cumulative total: 16)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 8)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Electrical connector, IC, and LED light product design including luminaires.
- 37. Active case work: 1Q2017-4Q2017; *Polygroup Macau Ltd (BVI), v Willis Electric Co., Ltd.*, IPR2017-00335 (PTAB filed Nov. 25th 2017), (retained by plaintiff - Troutman Sanders LLP).
 - a. Deposition: 0 (Cumulative total: 16)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 8)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Electrical connector, IC, and LED light product design including luminaires.
- 38. Active case work: 1Q2017-4Q2017; *Macom Technology Solutions Holdings Inc., and Nitronex, LLC., v. Infineon Technologies AG and Infineon Technologies North American Corp.*, 16-CV-02859 (C.D. Ca. filed Apr 26, 2016) (retained by plaintiff – Baker Botts LLP).
 - a. Deposition: 0 (Cumulative total: 16)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 8)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: High performance GaN based RF FET device design and operation.
- 39. Active case work: 1Q2017-1Q2017; *Quanergy Systems, Inc. v. Velodyne LiDAR, Inc.* (16-cv-05251; N.D. Ca. filed: Sep 13th 2016 (retained by plaintiff Velodyne) - Latham & Watkins LLP)
 - a. Deposition: 0 (Cumulative total: 16)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 8)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Laser/photodetector-based LIDAR design and operation for self-driving vehicles.
- 40. Active case work: 1Q2017-2Q2017; *The Chamberlain Group Inc., v. Techtronic Industries Co., Ltd, Techtronic Industries North America et al.*, Challenge to U.S Patent No. 7,862,209 (retained by plaintiff – The Chamberlain Group) – Morgan, Lewis & Brockius, LLP.
 - a. Deposition: 0 (Cumulative total: 16)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 8)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 2)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: LED based luminaire design for lighting.
- 41. Active case work: 1Q2017-1Q2018; *Uber Technologies Inc., Ottomotto LLC., Otto Trucking LLC., v. Waymo LLC (Google)*, 17-CV-00939 (N.D. Ca filed: Mar 10th, 2017), (retained by defendant Uber Technologies Inc.) – Morrison and Foerster LLP. Deposition experience (x3) and trial experience (case settled at trial).
 - a. Deposition: 3 (Cumulative total: 19)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 1 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Optical and electrical device, optics, and package designs for LIDAR based self-driving vehicles.
- 42. Active case work: 2Q2017-2Q2017; *Heptagon v. ST Mircoelectronics* IPR petition; (retained by plaintiff Heptagon) – Proskauer Rose LLP).
 - a. Deposition: 0 (Cumulative total: 19)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Optoelectronic based proximity sensors using LEDs and photodetectors.

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43. Active case work: 2Q2017-2Q2018; *AT&T Inc., v. Sycamore Holdings LLC.*, 16-CV-00588 (E. D. Tx filed: Jun 6th 2016); (retained by defendant AT&T Inc.) - Kirkland and Ellis LLP. Deposition experience (x1)
 - a. Deposition: 1 (Cumulative total: 20)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Coding designs of optical digital signals for high performance optical networks.
44. Active case work: 2Q2017 – 4Q2017; *Clearfield Inc., v. CommScope, ADC, Tyco, and TE Connectivity*, 17-CV-00307 (D.C. Minn. filed Jan 31st 2017); (retained by defendant Clearfield, Inc.) – Pepper Hamilton LLP
 - a. Deposition: 0 (Cumulative total: 20)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fiber optic connectors, panels, and distribution switches using optoelectronic components.
45. Active case work: 2Q2017 – 4Q2017; *Clearfield Inc., v. CommScope, ADC, Tyco, and TE Connectivity*, IPR2017-02122 (PTAB filed Sep. 15th 2017); (retained by defendant Clearfield, Inc.) – Pepper Hamilton LLP
 - a. Deposition: 0 (Cumulative total: 20)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fiber optic connectors, panels, and distribution switches using optoelectronic components.
46. Active case work: 2Q2017 – 4Q2017; *Clearfield Inc., v. CommScope, ADC, Tyco, and TE Connectivity*, IPR2018-00003 (PTAB filed Oct. 6th 2017); (retained by defendant Clearfield, Inc.) – Pepper Hamilton LLP
 - a. Deposition: 0 (Cumulative total: 20)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fiber optic connectors, panels, and distribution switches using optoelectronic components.
47. Active case work: 2Q2017 – 4Q2017; *Clearfield Inc., v. CommScope, ADC, Tyco, and TE Connectivity*, IPR2018-00154 (PTAB filed Nov. 7th 2017); (retained by defendant Clearfield, Inc.) – Pepper Hamilton LLP
 - a. Deposition: 0 (Cumulative total: 20)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fiber optic connectors, panels, and distribution switches using optoelectronic components.
48. Active case work: 4Q2016-2Q2017; *Antunes Inc.*, Pre-litigation IP patent review; Case No. TBD; (retained by plaintiff – Antunes Inc. and Chapman Spingola LLP).
 - a. Deposition: 0 (Cumulative total: 20)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: High power grating surface emitter laser diodes, and associated packaging and device design for laser-based cooking ovens.
49. Active case work: 1Q2017-2Q2017; *Core Optical Technologies LLC. v. Fujitsu Network Communications, Inc.*, 16-CV-00437 (C. D. Ca filed: Mar 7th 2016); (retained by defendant Fujitsu Network Communications) – Morrison & Foerster LLP.
 - a. Deposition: 0 (Cumulative total: 20)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fiber optic based optical communications for coherent technologies
50. Active case work: 3Q2017-4Q2018; *Oyster Optics LLC v. Coriant America, et al*, 16-CV-01302 (E. D. Tx filed: Nov 24th 2016); (retained by plaintiff Oyster Optics LLC) – Russ August & Kabat LLP.

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- a. Deposition: 1 (Cumulative total: 21)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fiber optic based optoelectronic semiconductor component design and operation.
51. Active case work: 3Q2017-4Q2018; *Oyster Optics LLC v. Infinera, Alcatel-Lucent, Fujitsu, Cisco Systems, Huawei*, 16-CV-01295, 16-CV-01297, 16-CV-01299, 16-CV-01301, 16-CV-01303 (E. D. Tx filed: Nov 24th 2016); (retained by plaintiff Oyster Optics LLC) – Russ August & Kabat LLP. Deposition experience (x1).
- a. Deposition: 1 (Cumulative total: 22)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fiber optic based optoelectronic semiconductor component design and operation. Including both direct detect and coherent communications, and encoding techniques such as PSK, QPSK, AM, FM etc.
52. Active case work: 3Q2017-4Q2017; *Olympus*, Pre-litigation IP and Due Diligence; Case No. TBD; (retained by plaintiff – Olympus and Fish & Richardson P.C.)
- a. Deposition: 0 (Cumulative total: 22)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: High volume, low cost optical lens and optics technology.
53. Active case work: 3Q2017-1Q2018; *Honeywell International, Inc et al v. Code Corporation et al.*, ITC No 337-TA-1061 (filed Jun 28th 2017), “Certain barcode readers, scan engines, products containing the same, and components thereof,” (retained by respondent – Honeywell and Alston and Bird LLP)
- a. Deposition: 1 (Cumulative total: 23)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Bar-code scanners and associated optical/optoelectronic component performance and operation.
54. Active case work: 4Q2017-1Q2019; *Godo Kaisha IP Bridge 1 v. Intel Corp.*, 17-CV-00676 (filed Sep 29th 2017), (retained by plaintiff – Godo Kaisha IP Bridge 1) - Ropes and Gray, LLP.
- a. Deposition: 0 (Cumulative total: 23)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 9)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 3)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fabrication, design, and reverse engineering of silicon-based FET ICs.
55. Active case work: 4Q2017-3Q2018; *Maxim Integrated Products, Inc. and Volterra Semiconductor LLC., v. Silicon Mitus Inc., and Silicon Mitus Technology Inc.*, 17-CV-03507 (N. D. Ca: filed Jun 16th 2017), (retained by defendant Silicon Mitus) – Pillsbury Winthrop Shaw Pittman, LLP. (Deposition x1 and trial experience x1)
- a. Deposition: 1 (Cumulative total: 24)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 10)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 1 (Cumulative total: 4)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fabrication, design, characterization, and packaging of silicon-based FET ICs for power applications
56. Active case work: 2Q2018-3Q2018; *Cree, Inc., v. Document Security Systems Inc.*, 17-CV-4263 (C.D. Ca filed: Apr 17th 2017), (retained by defendant Cree Inc.) - Jones Day, LLP
- a. Deposition: 0 (Cumulative total: 24)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 10)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 4)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Design and packaging of high brightness GaN based LEDs for luminaires.
57. Active case work: 2Q2018-2Q2018; *Weisen v., Ram Telecom International (RTI).*, CGC-16-554331 (Sup Ca: filed 3rd May 2017) (retained by plaintiff Weisen, J) - Yetter Coleman, LLP. Deposition experience (x1) and trial experience (x1).

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- a. Deposition: 1 (Cumulative total: 25)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 1 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Submarine and subsea fiber optic cables: technology, markets, economic impact, and history.
58. Active case work: 2Q2018-3Q2018; *Seoul Semiconductor, v. Document Security Systems Inc.*, 17-CV-00981 (C.D. Ca filed: Apr 17th 2017), (retained by defendant Seoul Semiconductor) - Latham and Watkins LLP
- a. Deposition: 1 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Design and packaging of high brightness GaN based LEDs for luminaires.
59. Active case work: 3Q2018-4Q2018; *NEC Display Solutions Inc., and NEC Display Solutions of America, Inc., v. Ultravision*, ITC Case# 337-TA-1114 (filed 24th May 2018), "Certain Modular LED Display Panels and Components Thereof," (retained by respondent Jones Day, LLP)
- a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Large LED Panels, LED displays, LED modular panel design, LED thermal engineering and color displays for outdoor environments.
60. Active case work: 3Q2018-3Q2018; IFT (Innovative Foundry Technologies) (pre-lit), retained by plaintiff Mintz Levin, Cohen, Ferris, Glovsky, and Popeo PC.)
- a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: CMOS device (inc FinFETs) and IC fabrication techniques at 40, 28, 20, 16nm nodes.
61. Active case work: 4Q2018-2Q2019; *Eidolon Optical LLC, v. Haag-Streit AG*, IPR2018-01311, (retained by patent owner Eidolon Optical LLC) - McInnes & McLane LLP
- a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: High brightness InGaAlP, GaP, AlGaAs, and GaN/InGaN based LEDs for use in medical instruments
62. Active case work: 3Q2018-3Q2018; *Voxtel Inc., v. Joseph Lachapelle & Luminar Technologies Inc.*, 18-CV-27356 (D. Or. filed: Dec 18th 2017), (retained by plaintiff Voxtel Inc.) - Ater Wynne LLP
- a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: LIDAR optical and electronic component and system technology
63. Active case work: 3Q2018-1Q2019; *Alta Devices, v. LG Electronics Inc.*, 18-CV-00404 (N.D. Ca. filed: May 7th 2018), (retained by defendant LG Electronics Inc.) - Hogan Lovells LLP
- a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Trade Secret GaAs based solar cell design, fabrication, manufacturing, and device performance
64. Active case work: 3Q2018-3Q18; *Opterna AM Inc., v. Commscope Technologies Inc.*, Pre-litigation preparation, (retained by defendant Opterna AM Inc.) - Sterne Kessler LLP
- a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)

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- ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fiber optic connectors, panels, and distribution switches using optoelectronic components.
- 65. Active case work: 4Q2018 – 1Q2019; *U.D. Electronic Corp., v. Pulse Electronics, Inc.*, Preparation for IPR filing: IPR2019-00508 (PTAB filed Dec 26th 2018); and support for 18-CV-00373 (S.D. Ca. filed: Feb 16th 2018) (retained by petitioner U. D. Electronic Corp.) – Procopio, Cory, Hargreaves and Savitch LLP
 - a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Electrical and fiber optic connectors, panels, and distribution switches using both electronic and optoelectronic components.
- 66. Active case work: 4Q2018 – 1Q2019; *U.D. Electronic Corp., v. Pulse Electronics, Inc.*, Preparation for IPR filing: IPR2019-00515 (PTAB filed Dec 31st 2018); and support for 18-CV-00373 (S.D. Ca. filed: Feb 16th 2018) (retained by petitioner U. D. Electronic Corp.) – Procopio, Cory, Hargreaves and Savitch LLP
 - a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Electrical and fiber optic connectors, panels, and distribution switches using both electronic and optoelectronic components.
- 67. Active case work: 4Q2018 – 1Q2019; *U.D. Electronic Corp., v. Pulse Electronics, Inc.*, Preparation for IPR filing: IPR2019-00262 (PTAB filed Nov 9th 2018); and support for 18-CV-00373 (S.D. Ca. filed: Feb 16th 2018) (retained by petitioner U. D. Electronic Corp.) – Procopio, Cory, Hargreaves and Savitch LLP
 - a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Electrical and fiber optic connectors, panels, and distribution switches using both electronic and optoelectronic components.
- 68. Active case work: 4Q2018 – 1Q2019; *U.D. Electronic Corp., v. Pulse Electronics, Inc.*, Preparation for IPR filing: IPR2019-00511 (PTAB filed Dec 28th 2018); and support for 18-CV-00373 (S.D. Ca. filed: Feb 16th 2018) (retained by petitioner U. D. Electronic Corp.) – Procopio, Cory, Hargreaves and Savitch LLP
 - a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Electrical and fiber optic connectors, panels, and distribution switches using both electronic and optoelectronic components.
- 69. Active case work: 4Q2018 – 1Q2019; *Semiconductor Energy Laboratory Co. Ltd., v. Bluehouse Global Ltd.*, Preparation for IPR filing: IPR2018-01405 (PTAB filed Jul 18th 2018); (retained by patent owner SEL Co Ltd.) – Robinson Intellectual Law Office, P.C. (Counsel represented by Fish and Richardson LLP).
 - a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Semiconductor displays using active matrix LCD and thin film transistor technology. Design, fabrication, and circuit layout.
- 70. Active case work: 4Q2018 – 1Q2019; *Semiconductor Energy Laboratory Co. Ltd., v. Bluehouse Global Ltd.*, Preparation for IPR filing: IPR2018-01393 (PTAB filed Jul 13th 2018); (retained by patent owner SEL Co Ltd.) – Robinson Intellectual Law Office, P.C. (Counsel represented by Fish and Richardson LLP)
 - a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 11)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 5)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Semiconductor displays using active matrix LCD and thin film transistor technology. Design and fabrication technology.

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71. Active case work: 4Q2018 - 1Q2019; *The Sloan Company dba SloanLED, v. Principle Lighting Group, LLC.*, 18-CV-06449 (C.D. Ca. filed: Jul 26th 2018), (retained by defendant Sloan LED.) - Ferguson Case Orr Paterson LLP
 - a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 12)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 1 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: LED lighting, LED luminaires, LED performance, electrical and mechanical design and construction.
72. Active case work: 1Q2019 - 1Q2019; *HTC Corporation, v. Lemire Illumination Technologies.*, 18-CV-00021 (E.D. Tx. filed: Jan 21st 2018), (retained by defendant HTC Corporation) - Perkins Coie LLP
 - a. Deposition: 0 (Cumulative total: 26)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 12)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: LEDs for mobile phones
73. Active case work: 1Q2019 - 2Q2019; *Frys Electronics, v. Seoul Semiconductor Co. and Seoul Viosys Co.*, 2:18-CV-00386-JRG (E. D. Tx. filed: Nov 20th 2018), (retained by defendant Frys Electronics) - Lamkin IP Defense
 - a. Deposition: 0 (Cumulative total: 26),
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 12)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: LED chips, LED packaging, LED lenses, LED luminaires, backlights, displays, and televisions/monitors
74. Active case work: 2Q2019 – 4Q2019; *Hanwha Q CELLS & Advance Materials Corporation, v. JinkoSolar, LONGi Solar, REC Solar*, ITC 337-TA-1151 (filed: Apr 4th 2019), (“Certain Photovoltaic Cells and Products Containing Same,”), retained by patent owner and plaintiff Hanwha Q CELLS) - Covington and Burling LLP
 - a. Deposition: 2 (Cumulative total: 28)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 12)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
75. Active case work: 2Q2019-3Q2019; *Hanwha Q CELLS & Advance Materials Corporation, v. JinkoSolar and affiliates*, 19-CV-00450 (D. Del. filed: Jan 21st 2018), (retained by patent owner and plaintiff Hanwha Q CELLS) - Covington and Burling LLP
 - a. Deposition: 0 (Cumulative total: 28)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 12)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Silicon solar cells and materials analysis of passivation layers
76. Active case work: 2Q2019-2Q2019; *Oyster Optics LLC v. Infinera Inc.*, 2:18-CV-00206 (E. D. Tx filed: May 15th 2018); (retained by plaintiff Oyster Optics LLC) – Russ August & Kabat LLP.
 - a. Deposition: 0 (Cumulative total: 28)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 12)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fiber optic based optoelectronic semiconductor component and transceiver design/operation.
77. Active case work: 2Q2019 – 2Q2019; *Nichia Corporation & Osram Opto Semiconductors Inc., v. Lighting Science Group Corp.*, IPR filing: IPR2019-01259 (PTAB filed Jun 28th 2019); (retained by petitioner Nichia and Osram Opto.) – Shearman and Sterling, LLP
 - a. Deposition: 0 (Cumulative total: 28)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 12)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: LED chips, LED packaging and ceramic thermal heat sinking technology.
78. Active case work: 2Q2019 – 3Q2019; *Nichia Corporation v. Lighting Science Group Corp.*, 2:17-CV-08849 (C. D. Ca filed Jul 12th 2017); (retained by defendant Nichia) – Shearman and Sterling, LLP

Michael Lebby Curriculum Vitae (CV)

- a. Deposition: 0 (Cumulative total: 28)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 12)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: LED chips, LED packaging and ceramic thermal heat sinking technology
79. Active case work: 3Q2019-3Q2019; *Applied Optoelectronics Inc v. Class Action Lawsuit*, 4:17-CV-02399 (S. D. Tx filed: Mar 6th 2018); (retained by plaintiff Class Action Lawsuit) – Levi and Korsinsky, LLP
- a. Deposition: 0 (Cumulative total: 28)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 12)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fiber optic based optoelectronic semiconductor components, transceivers, and sub-systems.
80. Active case work: 3Q2019-3Q2019; *DNA Motor v. Kuen Hwa Traffic Industrial Co.*, 18-CV-05664 (C. D. Ca filed: Jan 15th 2019); (defendant DNA Motor) – Elizabeth Yang, Law and Mediation Office
- a. Deposition: 0 (Cumulative total: 28)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 12)
 - i. ITC trial appearance: 0 (Cumulative total: 2)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: LED design patent, and the design of an aftermarket LED based headlight assembly for an automobile.
81. Active case work: 3Q2019 – 1Q2020; *Nichia Corporation, Osram Opto Semiconductors Inc., Philips Lumileds, GE Consumer Lighting, Cree, and Signify v. Lighting Science Group Corp.*, ITC 337-TA-1168 (filed: Apr 30th 2019), (“Certain Light-Emitting-Diode products, systems, and components thereof (III)”) (retained by respondents Nichia, Osram Optoelectronics, Philips Lumileds, GE Consumer Lighting, Cree Hong Kong., Signify Corp.) – Shearman and Sterling, LLP
- a. Deposition: 1 (Cumulative total: 29)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 13)
 - i. ITC trial appearance: 1 (Cumulative total: 3)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: LED chips, LED packaging and ceramic thermal heat sinking technology (LTCC).
82. Active case work: 4Q2019 – 1Q2020; *Amazon.com Inc, Bed, Bath and Beyond, IKEA, Target, and Walmart Inc., v. Regents of the University of California*, ITC 337-TA-1172 (filed: July 30th 2019), (“Certain Filament Light-Emitting-Diodes and products containing same”) (retained by respondents Amazon.com Inc, Bed, Bath and Beyond, IKEA, Target, and Walmart Inc.) – Morrison and Foerster, LLP
- a. Deposition: 2 (Cumulative total: 31)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 13)
 - i. ITC trial appearance: 0 (Cumulative total: 3)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: LED chips, LED packaging and light bulb filaments with transparent sub-mounts.
83. Active case work: 4Q2019 – 4Q2019; *U.D. Electronic Corp., v. Pulse Electronics, Inc.*, IPR2019-00511 (PTAB filed Dec 28th 2018), (retained by petitioner U. D. Electronic Corp.) – Procopio, Cory, Hargreaves and Savitch LLP
- a. Deposition: 0 (Cumulative total: 31)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 13)
 - i. ITC trial appearance: 0 (Cumulative total: 3)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Electrical and fiber optic connectors, panels, and distribution switches using both electronic and optoelectronic components.
84. Active case work: 1Q2020 – 2Q2020; *IKEA, v. Regents of the University of California*, ITC 337-TA-1172 (filed: July 30th 2019), (“Certain Filament Light-Emitting-Diodes and products containing same”) (retained by respondents IKEA) – Flaster and Greenberg, LLP
- a. Deposition: 2 (Cumulative total: 31)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 13)
 - i. ITC trial appearance: 0 (Cumulative total: 3)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: LED chips, LED packaging and light bulb filaments with transparent sub-mounts.

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85. Active case work: 1Q2020-1Q2020; *Hanwha Solutions Corporation, v. Longi Green Energy, Longi Solar Technology, Longi Trading et al.*, IPR2019-01072 (PTAB filed 13th May 2019), (retained by patent owner Hanwha Solutions Corporation) - Covington and Burling LLP
 - a. Deposition: 0 (Cumulative total: 31)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 13)
 - i. ITC trial appearance: 0 (Cumulative total: 3)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Silicon solar cells and materials analysis of passivation layers
86. Active case work: 1Q2020-1Q2020; *Hanwha Solutions Corporation, v. REC Solar Pte. Ltd. and JinkSolar (U.S.) Inc.* IPR2019-01145 (PTAB filed 6th March 2019), (retained by patent owner Hanwha Solutions Corporation) - Covington and Burling LLP
 - a. Deposition: 0 (Cumulative total: 31)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 13)
 - i. ITC trial appearance: 0 (Cumulative total: 3)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Silicon solar cells and materials analysis of passivation layers
87. Active case work: 4Q2019 – 2Q2020; *Viavi Solutions Inc., v. Optrontec Inc.*, ITC 337-TA-1187 (filed: 18th November 2019), (“Certain Electronic devices with Optical Filters and Optical Sensor Systems and Components Thereof”) (retained by complainant Viavi Solutions Inc.,) – Venable, LLP
 - a. Deposition: 0 (Cumulative total: 31)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 13)
 - i. ITC trial appearance: 0 (Cumulative total: 3)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Optical filters and optical sensor systems, design and fabrication of dielectric materials for passband filters in applications such as optical communications, imaging, sensing, medical, automotive.
88. Active case work: 2Q2020 – 4Q2020; *Leviton Manufacturing Co., v. Corning Optical Communications LLC.*, ITC 337-TA-1194 (filed: 19th March 2020), (“Certain High-Density Fiber Optic Equipment and Components Thereof”) (retained by respondent Leviton Manufacturing Co.,) – Morrison & Foerster, LLP
 - a. Deposition: 1 (Cumulative total: 32)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 14)
 - i. ITC trial appearance: 1 (Cumulative total: 4)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Fiber optic adapters, fiber optic connectors (simplex, duplex, quad, multi-fiber), fiber trays, racks, and fiber cabinet equipment.
 - d. Technology: LED chips, LED packaging and light bulb filaments with transparent sub-mounts.
89. Active case work: 2Q2020 – 2Q2020; subject to PO/NDA and engagement letter xxx v. yyy, Post Grant Review petition for proceedings (US Patent # zzz), retained by Morrison and Foerster, LLP
 - a. Deposition: 0 (Cumulative total: 31)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 14)
 - i. ITC trial appearance: 0 (Cumulative total: 4)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Optoelectronics, LEDs, lead frame packaging, optics, optical lenses, GaN (Gallium Nitride), optical transparency
90. Active case work: 1Q2020-3Q2020; *Cellect LLC, v. Samsung Electronics Co., LTD and Samsung Electronics America, Inc.*, IPR2020-00560 (PTAB filed 16th Feb 2020), (retained by patent owner Cellect LLC) – retained by Kramer Levin Naftalis & Frankel LLP
 - a. Deposition: 0 (Cumulative total: 32)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 14)
 - i. ITC trial appearance: 0 (Cumulative total: 4)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
 - c. Technology: Silicon CMOS image sensors, drive electronics, smart pixels and CCD technology
91. Active case work: 2Q2020-2Q2020; *Panasonic, v. 3M Innovative Properties Company.*, Preparation for IPR filing, (retained by defendant Panasonic) – retained by Westerman Hattori Daniels & Adrian LLP
 - a. Deposition: 0 (Cumulative total: 32)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 14)
 - i. ITC trial appearance: 0 (Cumulative total: 4)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)

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- iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 3)
- c. Technology: Touch sensor (resistive, optical, and capacitive) technology for mobile displays. Analysis of display, electronic, and optical technologies.
- 92. Active case work: 2Q2020-3Q2020; *Longi Green Energy Technology Co, Longi Solar Technology Co, Long Solar Technology (H.K., Kuching, Taizhou, Zhejiang, Hefei, US) v. Hanwha Solutions Corporation.*, IPR 2019-01072, (retained by patent owner Hanwha Solutions Corporation) – Quinn Emanuel Urquhart & Sullivan, LLP
 - a. Deposition: 1 (Cumulative total: 33)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 16)
 - i. ITC trial appearance: 0 (Cumulative total: 4)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 5)
 - c. Optoelectronics; solar cell design, fabrication, device performance, packaging, and interface issues relating to passivation (both chemical and electric field enhanced).
- 93. Active case work: 3Q2020-3Q2020; *Oyster Optics LLC v. Ciena Corp.*, 4:17-CV-05920 (N. D. CA filed: April 22nd 2020); (retained by plaintiff Oyster Optics LLC) – Russ August & Kabat LLP.
 - a. Deposition: 0 (Cumulative total: 33)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 16)
 - i. ITC trial appearance: 0 (Cumulative total: 4)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 5)
 - c. Technology: Fiber optic based optoelectronic semiconductor component design and operation, especially phase shift keying (PSK, QPSK) and phase based coherent communications.
- 94. Active case work: 3Q2020-2Q2021; *Capella Photonics Inc., v. Infinera Corporation, Tellabs, Tellabs Operations Inc., Coriant American Inc., and Coriant USA Inc.*, 2:20-CV-00077 (E. D. TX filed: March 16th 2020); (retained by defendant Infinera Inc.) – Baker Botts LLP.
 - a. Deposition: 1 (Cumulative total: 34)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 16)
 - i. ITC trial appearance: 0 (Cumulative total: 4)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 5)
 - c. Technology: Fiber optic wavelength selective switches (WSS), reconfigurable add-drop multiplexors (ROADMs), and their design. Technical issues include polarization, wavelength selection, coupling, mux/demux and operation in optical networks.
- 95. Active case work: 3Q2020-3Q2020; *Longi Green Energy Technology Co, Longi Solar Technology Co, Long Solar Technology (H.K., Kuching, Taizhou, Zhejiang, Hefei, US) v. Hanwha Solutions Corporation.*, IPR 2020-00353, (retained by patent owner Hanwha Solutions Corporation) – Quinn Emanuel Urquhart & Sullivan, LLP
 - a. Deposition: 0 (Cumulative total: 34)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 16)
 - i. ITC trial appearance: 0 (Cumulative total: 4)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 5)
 - c. Optoelectronics; solar cell design, fabrication, device performance, packaging, and interface issues relating to passivation (both chemical and electric field enhanced).
- 96. Active case work: 3Q2020-2Q2021; *Appotronics Corporation Ltd. v. Delta Electronics Inc.*, 2:19-CV-00466 (E. D. Va filed: July 22nd 2020); (retained by defendant Delta Corporation Inc) – Pillsbury Winthrop Shaw Pitman LLP.
 - a. Deposition: 1 (Cumulative total: 35)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 16)
 - i. ITC trial appearance: 0 (Cumulative total: 4)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 5)
 - c. Technology: Light projectors for display and the color wheel, phosphor technology, high power visible lasers for projection, and the associated packaging of the modules/projectors for efficient cost design..
- 97. Active case work: 3Q2020 – 2Q2021; *Ideal Industries Lighting LLC (dba Cree Lighting) v. RAB Lighting Inc.*, ITC 337-TA-1213 (filed: 15th July 2020), (“Certain Light-emitting Diode Products, Fixtures, and Components thereof”), (retained by plaintiff Ideal Industries Lighting LLC (dba Cree Lighting) – Quinn Emanuel Urquhart an Sullivan, LLP
 - a. Deposition: 1 (Cumulative total: 36)
 - b. Trial/Hearing/court/PTAB appearance: 2(x2 oral testimony); (Cumulative total: 18)
 - i. ITC trial appearance: 2 (Cumulative total: 6)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 5)
 - c. Technology: Visible GaN based LEDs and various packaging optical lens designs for directing and re-directing LED light output with applications in street lighting.

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98. Active case work: 3Q2020-3Q2020; *Collect LLC, v. Samsung Electronics Co., LTD and Samsung Electronics America, Inc., ex parte* declaration entitled, 'Hand-held computers incorporating reduced area imaging devices', for U.S. Patent 6,982,742 (control number 90/014,453), (retained by patent owner Collect LLC) – retained by Kramer Levin Naftalis & Frankel LLP
 - a. Deposition: 0 (Cumulative total: 36)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 18)
 - i. ITC trial appearance: 0 (Cumulative total: 6)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 5)
 - c. Technology: Hand-held silicon CMOS image sensors, drive electronics, smart pixels and CCD technology. Technical history of CCD v. CMOS image sensors in the 1990s and associated performance criteria.
99. Active case work: 3Q2020-3Q2020; *Collect LLC, v. Samsung Electronics Co., LTD and Samsung Electronics America, Inc., ex parte* declaration entitled, 'Communication devices incorporating reduced area imaging devices', for U.S. Patent 6,452,626 (control number 90/014,455), (retained by patent owner Collect LLC) – retained by Kramer Levin Naftalis & Frankel LLP
 - a. Deposition: 0 (Cumulative total: 36)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 18)
 - i. ITC trial appearance: 0 (Cumulative total: 6)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 5)
 - c. Technology: Hand-held silicon CMOS image sensors, drive electronics, smart pixels and CCD technology. Technical history of CCD v. CMOS image sensors in the 1990s and associated performance criteria.
100. Active case work: 4Q2020-4Q2020; *Collect LLC, v. Samsung Electronics Co., LTD and Samsung Electronics America, Inc., IPR* declaration for U.S. Patent 9,198,565 (IPR2020-00476), (retained by patent owner Collect LLC) – retained by Kramer Levin Naftalis & Frankel LLP
 - a. Deposition: 0 (Cumulative total: 36)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 18)
 - i. ITC trial appearance: 0 (Cumulative total: 6)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 5)
 - c. Technology: Hand-held silicon CMOS image sensors, drive electronics, smart pixels and CCD technology. Technical history of CCD v. CMOS image sensors in the 1990s and associated performance criteria.
101. Active case work: 4Q2020-4Q2020; *Collect LLC, v. Samsung Electronics Co., LTD and Samsung Electronics America, Inc., IPR* declaration for U.S. Patent 9,667,896 (IPR2020-00477), (retained by patent owner Collect LLC) – retained by Kramer Levin Naftalis & Frankel LLP
 - a. Deposition: 0 (Cumulative total: 36)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 18)
 - i. ITC trial appearance: 0 (Cumulative total: 6)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 5)
 - c. Technology: Hand-held silicon CMOS image sensors, drive electronics, smart pixels and CCD technology. Technical history of CCD v. CMOS image sensors in the 1990s and associated performance criteria.
102. Active case work: 4Q2020 – 1Q2021; *U.D. Electronic Corp., v. Pulse Electronics, Inc., 3:18-CV-00373* (Filed Fed 16th 2018), (retained by petitioner U. D. Electronic Corp.) – retained by defendant Perkins Coie LLP.
 - a. Deposition: 1 (Cumulative total: 37)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 18)
 - i. ITC trial appearance: 0 (Cumulative total: 6)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 5)
 - c. Technology: Electrical and fiber optic connectors, panels, and distribution switches using both electronic and optoelectronic components. Noise suppression using EMI between electrical components and connectors.
103. Active case work: 4Q2020-4Q2020; *Collect LLC, v. Samsung Electronics Co., LTD and Samsung Electronics America, Inc., IPR* declaration for U.S. Patent 9,186,052 (IPR2020-00512), (retained by patent owner Collect LLC) – retained by Kramer Levin Naftalis & Frankel LLP
 - a. Deposition: 1 (Cumulative total: 38)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 19)
 - i. ITC trial appearance: 0 (Cumulative total: 6)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 6)

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- c. Technology: Hand-held silicon CMOS image sensors, drive electronics, smart pixels and CCD technology. Technical history of CCD v. CMOS image sensors in the 1990s and associated performance criteria.
- 104. Active case work: 4Q2020–4Q2020; *Collect LLC, v. Samsung Electronics Co., LTD and Samsung Electronics America, Inc.*, IPR declaration for U.S. Patent 9,186,052 (IPR2020-00475), (retained by patent owner Collect LLC) – retained by Kramer Levin Naftalis & Frankel LLP
 - a. Deposition: 1 (Cumulative total: 39)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 20)
 - i. ITC trial appearance: 0 (Cumulative total: 6)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 7)
 - c. Technology: Hand-held silicon CMOS image sensors, drive electronics, smart pixels and CCD technology. Technical history of CCD v. CMOS image sensors in the 1990s and associated performance criteria.
- 105. Active case work: 3Q2020 – 1Q2021; *Huawei Technologies Co. Ltd. v. Verizon Communications, Inc., et al.*, 20-CV-030-JRG (TX E. D. Filed 2^{1st} April 2020), (retained by plaintiff Huawei Technologies Co. Ltd.) – retained by plaintiff Caldwell Cassidy Curry P.C.
 - a. Deposition: 0 (Cumulative total: 39)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 20)
 - i. ITC trial appearance: 0 (Cumulative total: 6)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 7)
 - c. Technology: Analysis of coding for fiber optic communications, specifically in relation to the standardization process for the Telecommunication Standardization Sector of the International Telecommunications Union (“ITU-T”), including the ITU-T’s G.709: Interfaces for the optical transport network standard (“G.709” or “the G.709 Standard”). In particular, the technical issues that relate to OTN hierarchy; functionality of the overhead in support of multi-wavelength optical networks; frame structures, bit rates, and formats for mapping client signals.
- 106. Active case work: 1Q2021 – 3Q2021; *IKEA et al., v. Regents of the University of California*, ITC 337-TA-1220 (filed: 29th September 2020), (“Certain filament light-emitting diodes and products containing same (II)”), (retained by respondent JDG: IKEA, Global Value Lighting, Signify, Home Depot, GE, Satco) – retained by Flaster Greenberg PC, Kirkland and Ellis, GT Law (Greenberg Traurig), Alston and Bird, Jones Day and Goodwin Law as JDG counsel.
 - a. Deposition: 2 (Cumulative total: 41)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 21)
 - i. ITC trial appearance: 1 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 7)
 - c. Technology: LED chips, LED packaging and light bulb filaments with transparent sub-mounts.
- 107. Active case work 4Q2020 – 1Q2021; *Uber Technologies Inc., v. Anthony Scott Levandowski*; Case No. 20-30242 (HLB) and 20-03050 (filed 16th September 2020), retained by defendant Uber Technologies Inc., retained by Jenner and Block LLP.
 - a. Deposition: 0 (Cumulative total: 41)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 21)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 7)
 - c. Technology: LIDAR technology for motor vehicles that includes fiber amplifiers, LIDAR hardware, laser diodes, photodetectors, fiber links, and free space communications.
- 108. Active case work: 1Q2021 – 3Q2021; *CoSemi Technologies, v. Everpro Technologies, Fibbr Technologies, Logitech, and Facebook Technologies*, ITC 337-TA-1233 (filed: 29th October 2020), “Certain active optical cables and products containing same”, (retained by claimant CoSemi Technologies) – retained by Rutan & Tucker LLP.
 - a. Deposition: 1 (Cumulative total: 42)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 21)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 7)
 - c. Technology: Active optical cables with embedded silicon modulator ICs, copper cable, fiber optic cable, VCSEL laser diodes, photodetectors and cable sheathing for consumer applications such as gaming, HDMI, USB, etc.
- 109. Active case work: 2Q2021 – 3Q2021; *Acuity Brands Lighting ,Inc. v. Heavy Duty Lighting (HDL), LLC.*, 20-CV-03648-SCJ (GA N. D. Filed 2nd September 2020), (retained by defendant Acuity Brands Lighting) – retained by defendant Duane Morris LLP.

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- a. Deposition: 1 (Cumulative total: 43)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 21)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 6)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 7)
 - c. Technology: LED lighting, LED chips, LED packages and LED packaging technologies such as molding, glob-top, substrates, die mounting, optical reflectors, and electrical interconnect layouts.
110. Active case work: 2Q2021 – 4Q2021; *Jiaxing Super Lighting Electric Appliance Co., LTD and Obert Inc. v. CH Lighting Technology co., LTD., Elliott Electric Supply Inc., and Shaoxing Ruising Lighting co., Ltd.*, 20-CV-00018-ADA (TX W D. Filed 16th March 2020), (retained by defendant CH Lighting et al) – retained by defendant Holland and Knight LLP.
- a. Deposition: 1 (Cumulative total: 44)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 22)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 1 (Cumulative total: 7)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 7)
 - c. Technology: LED lighting and LED packaging technologies for T8 and T12 form factor tube lighting. Technologies such as LED luminaire/tube packaging, mechanical structure, thermal heat dissipation, electronic circuitry, printed circuit boards, and LED chips.
111. Active case work: 3Q2021 – 1Q2022; *ASUSTEK Computer Inc. v. KT Imaging USA LLC*, 20-CV-00300-ADA (TX W D. Filed 20th April 2020, (retained by defendant ASUSTEK Computer Inc) – retained by defendant Turner Boyd LLP.
- a. Deposition: 0 (Cumulative total: 44)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 22)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 7)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 7)
 - c. Technology: Image sensor modules, cameras in PCs/laptops, consumer products, tear downs, optical lenses, optical packaging, optical alignment.
112. Active case work: 3Q2021 – 3Q2021; *Jiaxing Super Lighting Electric Appliance Co., LTD and Obert Inc. v. Maxlite Inc.*, 19-CV-04047 (CA C.D. Filed 8th May 2019), (retained by defendant Maxlite Inc) – retained by defendant Holland and Knight LLP.
- a. Deposition: 0 (Cumulative total: 44)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 22)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 7)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 7)
 - c. Technology: LED lighting and LED packaging technologies for T8 and T12 form factor tube lighting. Technologies such as LED luminaire/tube packaging, mechanical structure, thermal heat dissipation, electronic circuitry, printed circuit boards, and LED chips.
113. Active case work: 3Q2021 – 1Q2023; *Feit Electric Company Inc., v. Nichia Corporation*, 20-CV-00359-GW, (CA C. D. Filed 13th January 2020), (retained by defendant Feit Electric Company) – retained by Benesch Friedlander Coplan & Aronoff LLP
- a. Deposition: 2 (Cumulative total: 46)
 - b. Trial/Hearing/court/PTAB appearance: 2 (Cumulative total: 24)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 2 (Cumulative total: 9)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 7)
 - c. Technology: LED lighting, LED chips, LED packages and LED packaging technologies such as lead-frames, molding, glob-top, substrates, die mounting, optical reflectors, and electrical interconnect layouts.
114. Active case work: 2Q2021 – 2Q2022; *Samsung Corporation vs TBD*, Pre-patent litigation, (retained by plaintiff Samsung Corporation), - retained by Quinn Emanuel Urquhart & Sullivan, LLP).
- a. Deposition: 0 (Cumulative total: 46)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 24)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 9)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 7)
 - c. Technology: TV displays, OLEDs, LCDs, color gamut, and quality of RGB/white color as per CIE charts and colorimetry (NTSC, sRGB, AdobeRGB etc.,). Analysis of CHL (constant hue locus), quantum dots, nano-crystals, nano-devices, nano-structures to improve light quality output.
115. Active case work 2Q2021 – 2Q2021, *Holochip Corporation v. Edmund Optics, Optotune AG and others TBD*, Pre-patent litigation for ITC, (retained by plaintiff Holochip Corporation), - retained by King and Spalding LLP).
- a. Deposition: 0 (Cumulative total: 46)

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- b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 24)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 9)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 7)
 - c. Technology: Fluid lenses, and optical lenses with controlled focus facilities. Packaging of fluid lenses using piezoelectric actuators, and hermetic chambers. Deformable surfaces using micro-fluidics.
116. Active case work: 2Q2021-3Q2021; *Home Depot USA Inc., v. Lynk Labs, Inc.*, IPR declaration for U.S. Patent 10,757,783 (IPR2021-01368), (retained by petitioner Home Depot USA, Inc) – retained by DLA Piper LLP
- a. Deposition: 1 (Cumulative total: 47)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 25)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 9)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 8)
 - c. Technology: LED products, LED circuits, including both DC and AC circuit design. LED color emission, white light, color mixing, CIE charts, and black body radiators for color temperature.
117. Active case work: 2Q2021-3Q2021; *Home Depot USA Inc., v. Lynk Labs, Inc.*, IPR declaration for U.S. Patent 10,492,251 (IPR2021-01369), (retained by petitioner Home Depot USA, Inc) – retained by DLA Piper LLP
- a. Deposition: 1 (Cumulative total: 48)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 26)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 9)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 9)
 - c. Technology: LED products, LED circuits, including both DC and AC circuit design. LED color emission, white light, color mixing, CIE charts, and black body radiators for color temperature.
118. Active case work: 3Q2021-3Q2021; *Home Depot USA Inc., v. Lynk Labs, Inc.*, IPR declaration for U.S. Patent 10,091,842 (IPR2021-01540), (retained by petitioner Home Depot USA, Inc) – retained by DLA Piper LLP
- a. Deposition: 1 (Cumulative total: 49)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 27)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 9)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 10)
 - c. Technology: LED products, LED circuits, including both DC and AC circuit design. LED color emission, white light, color mixing, CIE charts, and black body radiators for color temperature.
119. Active case work: 4Q2021-4Q2021; *Home Depot USA Inc., v. Lynk Labs, Inc.*, IPR declaration for U.S. Patent 10,517,149 (IPR2022-000023), (retained by petitioner Home Depot USA, Inc) – retained by DLA Piper LLP
- a. Deposition: 1 (Cumulative total: 50)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 28)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 9)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 10)
 - c. Technology: LED products, LED circuits, including both DC and AC circuit design. LED color emission, white light, color mixing, CIE charts, and black body radiators for color temperature.
120. Active case work: 4Q2021-4Q2022; *Everlight Elecs. Co. Ltd. v. Amazon.com, Inc. et al.*, 6:21-CV-00906 (W. D. TX filed: August 31st 2021); (retained by defendant Amazon.com) – Morrison and Foerster LLP.
- a. Deposition: 0 (Cumulative total: 50)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 28)
 - i. ITC trial appearance: 0 (Cumulative total: 7)
 - ii. District Court trial appearance: 0 (Cumulative total: 9)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 10)
 - c. Technology: LED chips, LED packaging with metallic electrodes, flip-chip solder bumping, and doping of semiconductors for electrical conduction.
121. Active case work: 4Q2021-2Q2021; *Hanwha Solutions Corporation and affiliated companies including Q-Cells, Boviet Renewable Power, Boviet Solar, Canadian Solar International v. Advanced Silicon Group Technologies*, ITC 337-TA-1271 (filed: 14th October 2020) “Certain silicon photovoltaic cells and modules with nanostructures, and products containing the same.” (retained by joint defense group consisting of Quinn Emanuel Urquhart & Sullivan, LLP., Morgan Lewis and Bockius, LLP., and Sidley Austin LLP.).
- a. Deposition: 2 (Cumulative total: 52)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 29)
 - i. ITC trial appearance: 1 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 10)
 - c. Technology: Silicon based solar/photovoltaic cells and modules that include fabrication techniques such as deposition, diffusion, wet/dry etching of nanostructures, nanowires, and nano-structures, nano-features, black silicon, monocrystalline wafers, and polysilicon fabrication.

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122. Active case work: 4Q2021-2Q2022; *Dell Computer Inc. v. KT Imaging USA LLC*, Declaration for IPR with patent number 6,876,544 as petitioner, (to be filed), (retained by defendant Dell Computer Inc) – retained by petitioner Farella, Braun, and Martel.
- a. Deposition: 0 (Cumulative total: 52)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 29)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 10)
 - c. Technology: Image sensor modules, cameras in PCs/laptops, consumer products, tear downs, optical lenses, optical packaging, optical alignment.
123. Active case work: 1Q2022-2Q2022; *Cree Lighting, Wolfspeed, Ideal Industries Lighting, v. CAO Lighting*, Declaration for IPR with patent number 6,465,961 as petitioner, (filed 8th April 2022), (retained by defendant Cree Lighting) – retained by Kilpatrick Townsend & Stockton LLP.
- a. Deposition: 2 (Cumulative total: 54)
 - b. Trial/Hearing/court/PTAB appearance: 2 (Cumulative total: 31)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 2 (Cumulative total: 12)
 - c. Technology: LED based light bulbs, LED chips, LED arrays, LED packaging, chip-on-board, heat sinks, GaN, InGaN, AlGaN (Gallium Nitride, Indium Gallium Nitride, Aluminum Gallium Nitride) epitaxial layers, active regions, cladding layers, optical mirrors, DBRs
124. Active case work: 1Q2022-2Q2022; *Cree Lighting, Wolfspeed, Ideal Industries Lighting, v. CAO Lighting*, Declaration for IPR with patent numbers 6,634,770 as petitioner, (filed 8th April 2022), (retained by defendant Cree Lighting) – retained by Kilpatrick Townsend & Stockton LLP.
- a. Deposition: 1 (Cumulative total: 55)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 32)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 13)
 - c. Technology: LED based light bulbs, LED chips, LED arrays, LED packaging, chip-on-board, heat sinks, GaN, InGaN, AlGaN (Gallium Nitride, Indium Gallium Nitride, Aluminum Gallium Nitride) epitaxial layers, active regions, cladding layers, optical mirrors, DBRs
125. Active case work: 1Q2022-2Q2022; *Cree Lighting dba Ideal Industries Lighting, v. CAO Lighting*, 1:21-cv-00634 (MD NC filed 12th Aug 2021.), (retained by defendant Cree Lighting) – retained by Kilpatrick Townsend & Stockton LLP.
- a. Deposition: 0 (Cumulative total: 55)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 32)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 13)
 - c. Technology: LED based light bulbs, LED chips, LED arrays, LED packaging, chip-on-board, heat sinks, GaN, InGaN, AlGaN (Gallium Nitride, Indium Gallium Nitride, Aluminum Gallium Nitride) epitaxial layers, active regions, cladding layers, optical mirrors, DBRs
126. Active case work: 1Q2022-2Q2022; *Lite-On Technology Corporation and Genesis Photonics Inc., v. Sensor Electronic Technology Inc., (SETi)*, 6:21-cv-00322 (WD Tex filed 12th Aug 2021.), (retained by plaintiff LiteOn and Genesis) – retained by Pillsbury Winthrop Shaw Pittman LLP.
- a. Deposition: 0 (Cumulative total: 55)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 32)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 13)
 - c. Technology: LED based light bulbs, LED chips, LED arrays, LED packaging, flip-chip packaging, chip-on-board, heat sinks, GaN, InGaN, AlGaN (Gallium Nitride, Indium Gallium Nitride, Aluminum Gallium Nitride) epitaxial layers, GaN fabrication, LED quantum well active regions, LED cladding layers, optical mirrors, DBRs
127. Active case work: 1Q2022-2Q2022; *Lite-On Technology Corporation and Genesis Photonics Inc., v. Sensor Electronic Technology Inc., (SETi)*, Declaration for IPR (IPR2022-00395) as patent owner, (retained by plaintiff LiteOn and Genesis) – retained by Pillsbury Winthrop Shaw Pittman LLP.
- a. Deposition: 2 (Cumulative total: 57)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 34)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 2 (Cumulative total: 15)

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- c. Technology: LED based light bulbs, LED chips, LED arrays, LED packaging, flip-chip packaging, chip-on-board, heat sinks, GaN, InGaN, AlGaN (Gallium Nitride, Indium Gallium Nitride, Aluminum Gallium Nitride) epitaxial layers, GaN fabrication, LED quantum well active regions, LED cladding layers, optical mirrors, DBRs
- 128. Active case work: 1Q2022-2Q2022; *Lite-On Technology Corporation and Genesis Photonics Inc., v. Sensor Electronic Technology Inc., (SETi)*, Declaration for IPR (IPR2022-00396) as patent owner, (retained by plaintiff LiteOn and Genesis) – retained by Pillsbury Winthrop Shaw Pittman LLP.
 - a. Deposition: 0 (Cumulative total: 57)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 34)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 15)
 - c. Technology: LED based light bulbs, LED chips, LED arrays, LED packaging, flip-chip packaging, chip-on-board, heat sinks, GaN, InGaN, AlGaN (Gallium Nitride, Indium Gallium Nitride, Aluminum Gallium Nitride) epitaxial layers, GaN fabrication, LED quantum well active regions, LED cladding layers, optical mirrors, DBRs
- 129. Active case work: 1Q2022-2Q2022; *Lite-On Technology Corporation and Genesis Photonics Inc., v. Sensor Electronic Technology Inc., (SETi)*, Declaration for IPR (IPR2022-00397) as patent owner, (retained by plaintiff LiteOn and Genesis) – retained by Pillsbury Winthrop Shaw Pittman LLP.
 - a. Deposition: 0 (Cumulative total: 57)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 34)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 15)
 - c. Technology: LED based light bulbs, LED chips, LED arrays, LED packaging, flip-chip packaging, chip-on-board, heat sinks, GaN, InGaN, AlGaN (Gallium Nitride, Indium Gallium Nitride, Aluminum Gallium Nitride) epitaxial layers, GaN fabrication, LED quantum well active regions, LED cladding layers, optical mirrors, DBRs
- 130. Active case work: 1Q2022-2Q2022; *Lite-On Technology Corporation and Genesis Photonics Inc., v. Sensor Electronic Technology Inc., (SETi)*, Declaration for IPR (IPR2022-00406) as patent owner, (retained by plaintiff LiteOn and Genesis) – retained by Pillsbury Winthrop Shaw Pittman LLP.
 - a. Deposition: 0 (Cumulative total: 57)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 34)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 15)
 - c. Technology: LED based light bulbs, LED chips, LED arrays, LED packaging, flip-chip packaging, chip-on-board, heat sinks, GaN, InGaN, AlGaN (Gallium Nitride, Indium Gallium Nitride, Aluminum Gallium Nitride) epitaxial layers, GaN fabrication, LED quantum well active regions, LED cladding layers, optical mirrors, DBRs
- 131. Active case work: 1Q2022-2Q2022; *Lite-On Technology Corporation and Genesis Photonics Inc., v. Sensor Electronic Technology Inc., (SETi)*, Declaration for IPR (IPR2022-00419) as patent owner, (retained by plaintiff LiteOn and Genesis) – retained by Pillsbury Winthrop Shaw Pittman LLP.
 - a. Deposition: 1 (Cumulative total: 58)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 16)
 - c. Technology: LED based light bulbs, LED chips, LED arrays, LED packaging, flip-chip packaging, chip-on-board, heat sinks, GaN, InGaN, AlGaN (Gallium Nitride, Indium Gallium Nitride, Aluminum Gallium Nitride) epitaxial layers, GaN fabrication, LED quantum well active regions, LED cladding layers, optical mirrors, DBRs
- 132. Active case work: 1Q2022-3Q2022; *Panasonic Corporation, v. Magna International Inc.*, 21-cv-00319 (WD Tex filed 31st Mar 2021.), (retained by plaintiff Magna International) – retained by Sidley Austin LLP.
 - a. Deposition: 0 (Cumulative total: 58)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: Automotive sensors, cameras, electronic circuitry, controls, packaging, surround view camera systems.
- 133. Active case work: 2Q2022 – 4Q2022; *Jiaxing Super Lighting Electric Appliance Co., LTD and Obert Inc. v. Maxlite Inc.*, 19-CV-04047 (CA C.D. Filed 8th May 2019), (retained by defendant Maxlite Inc) – retained by defendant Procopio, Cory, Hargreaves and Savitch LLP.

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- a. Deposition: 0 (Cumulative total: 58)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: LED lighting and LED packaging technologies for T8 and T12 form factor tube lighting. Technologies such as LED luminaire/tube packaging, mechanical structure, thermal heat dissipation, electronic circuitry, printed circuit boards, and LED chips.
134. Active case work: 1Q2022 – 4Q2022; *Advanced Engineering Services LLC., v. Lumasense Technologies Inc., and DOES 1-50, 21-CV-03279-WHO* combined with 20-CV-07905-WHO (CA N.D. Filed 23rd Feb 2022), (retained by plaintiff Advanced Engineering Services LLC) – retained by plaintiff SAC Attorneys LLP
- a. Deposition: 1 (Cumulative total: 59)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: Thermal, gas, and flow sensing for use in designing and manufacturing equipment used in semiconductor chip manufacturing. In particular, review of data relating to the simulation of finite element analysis (“FEA”) and computational fluid dynamics (“CFD”) data for gases, temperature, and stress with actual sensor data that could be intelligently integrated, customized, installed, tested, verified, and validated.
135. Active case work: 2Q2022-3Q2022; *Frontier Communications v. Charter Communications*, Pre-litigation IP and Due Diligence; Case No. TBD; Retained by Frontier Communications, (retained by Mayer Brown LLP)
- a. Deposition: 0 (Cumulative total: 59)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: Optical PON for high-speed data FTTH evaluation, testing, and analysis.
136. Active case work: 2Q2022-3Q2022; *Russ, August & Kabat*, Pre-litigation IP and Due Diligence; Case No. TBD; (retained by plaintiff – Russ, August & Kabat LLP)
- a. Deposition: 0 (Cumulative total: 59)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: High volume fiber optic transceivers for EPON/GPON with: CDR circuits, ‘Intelligence-in-the-Module’ and ‘M-ary’ families.
137. Active case work: 2Q2022-3Q2022; *Signify Holding B.V. v. GE Lighting Solutions LLC.*, Declaration for IPR (IPR2022-XXX – in draft form for US patent #7,497,973) as petitioner, (retained by petitioner Signify Holding B.V.) – retained by Alston and Bird LLP
- a. Deposition: 0 (Cumulative total: 59)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: LED based light bulbs, LED chips that emit in the UV and visible, LED arrays, LED packaging including phosphors and encapsulants, and the type of phosphors utilized for different emission. In particular, phosphors that are activated by dopants such as Europium (Eu), Manganese (Mn) and the associated ions for these materials e.g. Mn²⁺, Mn³⁺, Mn⁴⁺, Mn⁶⁺, etc. Included analysis of InGaN and AlGaIn based epitaxial material systems, and the use of phosphors in high pressure mercury vapor lamps.
138. Active case work: 3Q2022 – 2Q2023; *Canon, Inc., and Canon USA Inc v. WSOU Investments, LLC d/b/a Brazos Licensing and Development.*, Declaration for IPR (IPR2022-01532) for US patent #7,493,030 as petitioner, (retained by Canon Inc) – retained by Orrick LLP
- a. Deposition: 0 (Cumulative total: 59)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: Digital camera operation including optical focus, image sensing, optical train, depth of field, exposure time, different focus and focal areas of a portion of the image, general photography and optical principles.

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139. Active case work: 3Q2022 – 2Q2023; *Canon, Inc., and Canon USA Inc v. WSOU Investments, LLC d/b/a Brazos Licensing and Development.*, 21-CV- 00166-ADA-DTG (W.D. TX.) Filed 29th Sept 2022. (retained by defendant Canon Inc) – retained by Orrick LLP
- a. Deposition: 1 (Cumulative total: 60)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: Digital camera operation including optical focus, image sensing, optical train, depth of field, exposure time, different focus and focal areas of a portion of the image, general photography and optical principles.
140. Active case work: 3Q2022 – 4Q2023; *Fujifilm Sonosite., v. Butterfly Network, Inc.*, 1-22-CV-00309 (D. De) Filed 29th Mar 2022), (retained by defendant Butterfly Network, Inc.,) – retained by defendant Akin Gump LLP
- a. Deposition: 0 (Cumulative total: 60)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: Ultra-sonic equipment that includes displays, especially OLED displays. The electronic circuits and how displays are electronically controlled.
141. Active case work: 3Q2022 – 3Q2023; *LedComm LLC., v. IKEA US Retail LLC et al*, 6-22-CV-00302-ADA (W.D. Tx.) Filed 21st Mar 2022), (retained by defendant IKEA US Retail LLC et al.) – retained by defendant Flaster Greenberg LLP
- a. Deposition: 0 (Cumulative total: 60)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: LED surface mount packaging, LED GaN/InGaN chips, and associated LED lighting performance specifications.
142. Active case work: 2Q2022 – 3Q2022; *NXP Semiconductors N.V. and NXP USA Inc., v. MediaTek Inc, Amazon.com Inc., Belkin International Inc, Linksys USA Inc., et al*, ITC Investigation 337-TA-1287 entitled “Certain integrated circuits, and electronic devices and products containing the same” (Filed 1st Dec 2021), (retained by respondents Amazon.com Inc.,) – retained by respondent Morrison Foerster LLP
- a. Deposition: 0 (Cumulative total: 60)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: LED surface mount packaging, LED GaN/InGaN chips, and associated LED lighting performance specifications. LED Luminaires.
143. Active case work: 3Q2022 – 4Q2022; *Amazon.com Inc., v. Epistar Corp.*, 6-22-CV-00526-ADA (W.D. Tx.) Filed 24th May 2022), (retained by defendant Amazon.com Inc.,) – retained by defendant Morrison Foerster LLP
- a. Deposition: 0 (Cumulative total: 60)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: LED surface mount packaging, LED GaN/InGaN chips, GaN/InGaN epitaxial growth, fabrication, device design and packaging. LED luminaires.
144. Active case work: 4Q2022 – 2Q2023; *Amazon.com Inc., v. Epistar Corp.*, 6-22-CV-00526-ADA (W.D. Tx.) Filed 24th May 2022), (retained by defendant Amazon.com Inc.,) – retained by defendant Perkins Coie LLP
- a. Deposition: 0 (Cumulative total: 60)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 35)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 10)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: LED surface mount packaging, LED GaN/InGaN chips, GaN/InGaN epitaxial growth, fabrication, device design and packaging. LED Luminaires.
145. Active case work: 4Q2022 – 2Q2024; *Qorvo., v. Akoustis Technologies Inc.*, 1-21-CV-1417-JPM (C.A.) Filed 4th Oct 2021), (retained by defendant Akoustis.) – retained by defendant Pillsbury LLP
- a. Deposition: 1 (Cumulative total: 61)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 36)
 - i. ITC trial appearance: 0 (Cumulative total: 8)

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- ii. District Court trial appearance: 1 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
- c. Technology: acoustic wave filters, BAW filters (bulk-acoustic-wave) filters for RF applications, devices, fabrication, and characterization. Misappropriation of trade secrets, confidential information, security policies, and overall development of new technology platforms.
- 146. Active case work: 4Q2022 – 3Q2023; *LG Electronics Inc., v. TCL Electronics Holdings Ltd. (f/k/a TCL & Multimedia Technology Holdings, Ltd.)*; *TCL Industries Holdings Co., Ltd.*; *TCL & Industries Holdings (H.K.) Limited*; *TTE & Technology, Inc. (d/b/a TCL North & America)*; *TTE Corporation*; *TCL Moka & International Limited*; *TCL Moka & Manufacturing S.A. de C.V.*; *TCL King & Electrical Appliances (Huizhou) Co. Ltd.*; *Manufacturas Avanzadas S.A. de C.V.*; *TCL Smart Device (Vietnam) Co., Ltd.*; *Shenzhen TCL New Technology Co., Ltd.*; *TCL Optoelectronics Technology (Huizhou) & Co., Ltd.*; *TCL Overseas Marketing Ltd.*; *& and TCL Technology Group Corporation & (f/k/a TCL Corp.)*, *TCL Electronics Holdings Ltd et al.*, 2-22-CV-0122-JRG (W.D. Tx.) Filed 14th Oct 2022), (retained by plaintiff LGE) – retained by plaintiff counsel Baker Botts LLP
 - a. Deposition: 0 (Cumulative total: 61)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 36)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: BLU and backlighting of displays, especially LCD displays. Optical waveguide, reflecting, and transmission technology, optical sheet materials, optical shapes all utilizing LEDs in various optical package designs.
- 147. Active case work: 1Q2023 – Present; *NextGen Innovations, LLC v. Nokia of America Corporation et al.*, 2-22-CV-00309-JRG-RSP (E.D. Tex.), (filed: 9th Aug 2022), retained by plaintiff NextGen Innovations LLC., - retained by plaintiff counsel Russ August and Kabat LLP.
 - a. Deposition: 0 (Cumulative total: 61)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 36)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: Optical network systems, transceiver modules, passive optical networks, transceiver electronic and optoelectronic components and ICs.
- 148. Active case work: 1Q2023 – 1Q2023; *NextGen Innovations, LLC v. Infinera et al.*, 2-22-CV-00306-JRG-RSP (E.D. Tex.), (filed: 9th Aug 2022), retained by plaintiff NextGen Innovations LLC., - retained by plaintiff counsel Russ August and Kabat LLP.
 - a. Deposition: 1 (Cumulative total: 62)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 36)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: Optical network systems, transceiver modules, passive optical networks, transceiver electronic and optoelectronic components and ICs.
- 149. Active case work: 3Q2023 – 4Q2023; *Ikea North America Services LLC., Ikea US Retail LLC., v. Everlight Electronics et al.*, 2-23-CV-00126-ADA (E.D. Tx.) Filed 27th Mar 2023), (retained by defendant Ikea US Retail LLC et al.) – retained by defendant counsel Flaster Greenberg LLP
 - a. Deposition: 0 (Cumulative total: 62)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 36)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: LED surface mount packaging, LED GaN/InGaAs chips, and associated LED lighting performance specifications.
- 150. Active case work: 1Q2023 – 3Q2023; *Jiaxing Super Lighting Electric Appliance Co., LTD and Obert Inc. v. Current Lighting Solutions, LLC d/b/a GE Current.*, 6-22-CV-00534-ADA (W.D. Tx.) Filed 16th Sep 2022), (retained by defendant GE Current) – retained by defendant counsel Norton Rose Fulbright US LLP.
 - a. Deposition: 0 (Cumulative total: 62)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 36)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: LED lighting and LED packaging technologies for T8 and T12 form factor tube lighting. Technologies such as LED luminaire/tube packaging, mechanical structure, thermal heat dissipation, electronic circuitry, printed circuit boards, and LED chips.

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151. Active case work: 1Q2023 – 2Q2023; *Jiaxing Super Lighting Electric Appliance Co., LTD and Obert Inc. v. Current Lighting Solutions, LLC d/b/a GE Current.*, Declaration for IPR petition (IPR2023-00272) for US patent #10,830,397 as petitioner, (retained by Current Lighting) – retained by counsel Norton Rose Fulbright US LLP.
- a. Deposition: 0 (Cumulative total: 62)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 36)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: LED lighting and LED packaging technologies for T8 and T12 form factor tube lighting. Technologies such as LED luminaire/tube packaging, mechanical structure, thermal heat dissipation, electronic circuitry, printed circuit boards, and LED chips.
152. Active case work: 1Q2023 – 2Q2023; *Jiaxing Super Lighting Electric Appliance Co., LTD and Obert Inc. v. Current Lighting Solutions, LLC d/b/a GE Current.*, Declaration for IPR petition (IPR2023-00984) for US patent #9,841,174 as petitioner, (retained by Current Lighting) – retained by counsel Norton Rose Fulbright US LLP.
- a. Deposition: 0 (Cumulative total: 62)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 36)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - d. Technology: LED lighting and LED packaging technologies for T8 and T12 form factor tube lighting. Technologies such as LED luminaire/tube packaging, mechanical structure, thermal heat dissipation, electronic circuitry, printed circuit boards, and LED chips.
153. Active case work: 1Q2023 – 2Q2023; *Jiaxing Super Lighting Electric Appliance Co., LTD and Obert Inc. v. Current Lighting Solutions, LLC d/b/a GE Current.*, Declaration for IPR petition (IPR2023-00983) for US patent #10,487,991 as petitioner, (retained by Current Lighting) – retained by counsel Norton Rose Fulbright US LLP.
- a. Deposition: 0 (Cumulative total: 62)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 36)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: LED lighting and LED packaging technologies for T8 and T12 form factor tube lighting. Technologies such as LED luminaire/tube packaging, mechanical structure, thermal heat dissipation, electronic circuitry, printed circuit boards, and LED chips.
154. Active case work: 1Q2023 – 2Q2023; *Jiaxing Super Lighting Electric Appliance Co., LTD and Obert Inc. v. Current Lighting Solutions, LLC d/b/a GE Current.*, Declaration for IPR petition (IPR2023-00676) for US patent #9,939,140 as petitioner, (retained by Current Lighting) – retained by counsel Norton Rose Fulbright US LLP.
- a. Deposition: 0 (Cumulative total: 62)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 36)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: LED lighting and LED packaging technologies for T8 and T12 form factor tube lighting. Technologies such as LED luminaire/tube packaging, mechanical structure, thermal heat dissipation, electronic circuitry, printed circuit boards, and LED chips.
155. Active case work: 1Q2023 – 2Q2023; *US Conec. Ltd. v. Senko Advanced Components Inc.*, 1-23-CV-00083-UNA (D. De). Filed 24th Jan 2023), (retained by defendant US Conec Ltd.) – retained by defendant counsel Troutman Pepper Hamilton Sanders LLP.
- a. Deposition: 0 (Cumulative total: 62)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 36)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)
 - c. Technology: Fiber optic adapters, fiber optic connectors (simplex, duplex, quad, multi-fiber), fiber trays, racks, and fiber cabinet equipment. Single mode and multimode connector design, and fiber optic connector standards.
156. Active case work: 1Q2023 – 2Q2023; *US Conec. Ltd. v. Senko Advanced Components Inc.*, Declaration for IPR petition (IPR2023-00XXX) for US patent #11,307,369 as petitioner (retained by defendant US Conec Ltd.) – retained by defendant counsel Alston and Bird LLP (pending).
- a. Deposition: 0 (Cumulative total: 62)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 36)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 16)

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- c. Technology: Fiber optic adapters, fiber optic connectors (simplex, duplex, quad, multi-fiber), fiber trays, racks, and fiber cabinet equipment. Single mode and multimode connector design, and fiber optic connector standards.
- 157. Active case work: 1Q2023 – 2Q2023; *Inneos LLC., v. Opticis Co., Ltd.*, 8-23-CV-00185-JWH-ADS (C.D. Ca). Filed 30th Jan 2023), (retained by plaintiff GE Current) – retained by plaintiff counsel Womble Bond Dickinson (US) LLP.
 - a. Deposition: 1 (Cumulative total: 63)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 37)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 17)
 - c. Technology: VCSEL transceiver WDM (wavelength division multiplexing) modules, package design, optics, freeform, diffractive, refractive, and optical filters. Multimode fiber optics designs.
- 158. Active case work: 1Q2023 – 1Q2023; *Viavi Solutions Inc., v Zhejiang Crystal-Optech Co. Ltd.*, 2-21-CV-0378-JRG (E.D. Tx) (filed: 3rd Aug 2022), retained by complainant Viavi Solutions Inc., – retained by counsel Venable, LLP.
 - a. Deposition: 0 (Cumulative total: 63)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 37)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 17)
 - c. Technology: Optical filters and optical sensor systems, design and fabrication of dielectric materials for passband filters in applications such as optical communications, imaging, sensing, medical, automotive.
- 159. Active case work: 1Q2023 – 2Q2023; *Signify North America Corporation and Signify Holding BV., v Satco Products Inc.*, 2-19-CV-06125-JMA-SIL (E.D. NY) (filed: 30th Oct 2022), retained by defendant Satco Products Inc., – retained by defendant counsel Pillsbury Winthrop Shaw Pittman, LLP
 - a. Deposition: 0 (Cumulative total: 63)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 37)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 17)
 - c. Technology: LED backlights, optical techniques for light distribution. Display panels, and LED packaging.
- 160. Active case work: 1Q2023 – 1Q2023; *Becton Dickinson and Company v. Beckman Coulter Inc.*, 1-21-CV-00833-CLC-JFH (D. De), (filed: 3rd Jan 2023), retained by plaintiff Becton Dickinson and Company, - retained by plaintiff counsel Quinn Emanuel Urquhart & Sullivan, LLP.
 - a. Deposition: 0 (Cumulative total: 63)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 37)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 17)
 - c. Technology: Optical filters, sensors, micro-fluidics, lasers, optical test and measurement. Optical instrumentation for fluorescence analysis, characterization of fluorescent material.
- 161. Active case work: 2Q2023 – 2Q2023; *138 East LCD Advancements Limited v. Chongqing HKC Optoelectronics Technology Ltd.*, Declaration for IPR patent owner response (IPR2023-00476) for US patent #7,335,913 as patent owner, (retained by 138 East LCD Advancements Ltd.) – retained by counsel Robins Kaplan LLP
 - a. Deposition: 0 (Cumulative total: 63)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 37)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 17)
 - c. Technology: LCD display layouts, designs for optical and electronic fabrication, design, IC (drivers, electrical wiring, electrodes), and packaging.
- 162. Active case work: 2Q2023 – 2Q2023; *138 East LCD Advancements Limited v. Chongqing HKC Optoelectronics Technology Ltd.*, Declaration for IPR patent owner response (IPR2023-00475) for US patent #7,116,390 as patent owner, (retained by 138 East LCD Advancements Ltd.) – retained by counsel Robins Kaplan LLP
 - a. Deposition: 0 (Cumulative total: 63)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 37)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 17)
 - c. Technology: LCD display layouts, designs for optical and electronic fabrication, design, IC (drivers, electrical wiring, electrodes), and packaging.

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163. Active case work: 2Q2023 – 3Q2023; *Samsung Display Company Limited v. Mianyang BOE Optoelectronics Technology Co., Ltd.*, ITC 337-TA-1351 (filed: 27th Jan 2023), “Certain Active Matrix Organic Light-Emitting Diode Display Panels And Modules For Mobile Devices, And Components Thereof”, (retained by plaintiff Samsung Display Company Ltd.) – retained by counsel Covington and Burling LLP.
- a. Deposition: 0 (Cumulative total: 63)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 37)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. IPR/PTAB trial/deposition: 0 (Cumulative total: 17)
 - c. Technology: Active-matrix OLED display panels and modules for mobile products and devices.
164. Active case work: 2Q2023 – 3Q23; *Hesai Group, Hesai Technology Co., Ltd. and Hesai Inc. v. Ouster*, ITC 337-TA-1363 (filed: 11th May 2023), “Certain LiDAR (Light Detection And Ranging) Systems And Components Thereof”, (retained by respondent Hesai et al.) – retained by counsel Quinn Emanuel Urquhart & Sullivan, LLP.
- a. Deposition: 1 (Cumulative total: 64)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 38)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)
 - c. Technology: Optical and electrical device, optics, and package designs for LIDAR based self-driving vehicles. Rotating LIDAR unit, magnetic rotor/stator design, electronic pcbs, bulk, micro-optics, and optical and electronic system design.
165. Active case work: 4Q2023 – Present; *Samsung Electronics v. Pictiva Display International*, 2-23-CV-00495-1 (E.D. Tx), (filed: 12th Dec 2022), “Certain LiDAR (Light Detection And Ranging) Systems And Components Thereof”, (retained by defendant Samsung Electronics) – retained by counsel Covington and Burling LLP.
- a. Deposition: 0 (Cumulative total: 64)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 38)
 - i. ITC trial appearance: 0 (Cumulative total: 8)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)
 - c. Technology: Based on OLED displays, color emission, conversion, packaging, optical performance, phosphor characteristics, display panel designs. Patents asserted include: U.S. Patent Nos. 6,949,389 and 8,314,547
166. Active case work: 3Q2023 – Present; *Innoscence Zhuhai Technology Company, Ltd v. Efficient Power Conversion Corporation (EPC)*, ITC 337-TA-1366 (filed: 3rd July 2023), “Semiconductor Devices and Products Containing the Same”, (retained by respondent Innoscence) – retained by counsel Finnegan, Henderson, Farabow, Garrett & Dunner, LLP.
- a. Deposition: 1 (Cumulative total: 65)
 - b. Trial/Hearing/court/PTAB appearance: 1 (Cumulative total: 39)
 - i. ITC trial appearance: 1 (Cumulative total: 9)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)
 - c. Technology: Gallium Nitride (GaN) based power field effect transistors (FETs), their design, fabrication, characterization, and performance. Particular emphasis on enhancement mode GaN FETs and their operation, application, and product development.
167. Active case work: 3Q2023 – Present; *Innoscence Zhuhai Technology Company, Ltd v. Efficient Power Conversion Corporation (EPC)*, 2-23-CV-04026 (C.D. Ca), (filed: 3rd July 2023), (retained by defendant Innoscence) – retained by counsel Finnegan, Henderson, Farabow, Garrett & Dunner, LLP.
- a. Deposition: 0 (Cumulative total: 65)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 39)
 - i. ITC trial appearance: 0 (Cumulative total: 9)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)
 - c. Technology: Gallium Nitride (GaN) based power field effect transistors (FETs), their design, fabrication, characterization, and performance. Particular emphasis on enhancement mode GaN FETs and their operation, application, and product development.
168. Active case work: 2Q2023 – 4Q2023; *Littlefuse, Inc., v. Mersen USA EP Corp*, USDC D. Mass. Case No. 1:17-CV-12375-IT, (filed: 9th Dec 2022), (retained by defendant Littlefuse) – retained by counsel Bejin Bieneman PLC (“B2IP”).
- a. Deposition: 0 (Cumulative total: 65)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 39)
 - i. ITC trial appearance: 0 (Cumulative total: 9)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)

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- c. Technology: Electrical fuse technology, with extruded metal and alloys, design of mechanical structure. Application with solar cell industrial equipment.
- 169. Active case work: 2Q2023 – 1Q2024; *Immervision., v. Apple Inc.*, 1:21-CV-01484, (D. De.), (filed: 28th April 2022), (retained by plaintiff) – retained by counsel Panitch Schwarze Belisario & Nadel LLP.
 - a. Deposition: 0 (Cumulative total: 65)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 39)
 - i. ITC trial appearance: 0 (Cumulative total: 9)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)
 - c. Technology: Optical lenses for ultra-wide angle imaging including wide angle panomorph lenses, imaging techniques, and design of the optics. Application market verticals include mobile phones, portable display accessories and other portable consumer equipment.
- 170. Active case work: 2Q2023 – 3Q2023; *Samsung v. Apt-ability dba d/b/a Mobile Sentrix et al*, ITC 337-TA-1351 (filed: 3rd July 2023), “Certain Active Matrix Organic Light-Emitting Diode Display Panels And Modules For Mobile Devices, And Components Thereof”, (retained by respondent Samsung) – retained by counsel Covington and Burling, LLP.
 - a. Deposition: 0 (Cumulative total: 65)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 39)
 - i. ITC trial appearance: 0 (Cumulative total: 9)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)
 - c. Technology: OLED display panels for mobile devices including display electronics, backlights, panel design, and OLED pixel fabrication. Emphasis on pixel shape, layout and display pixel structure.
- 171. Active case work: 1Q2024 – 1Q2024; *Immervision., v. Apple Inc.*, Declaration for IPR patent owner response (IPR2023-00472) for US patent #10,795,120 as patent owner, (retained by plaintiff) – retained by counsel Panitch Schwarze Belisario & Nadel LLP.
 - a. Deposition: 0 (Cumulative total: 65)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 39)
 - i. ITC trial appearance: 0 (Cumulative total: 9)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)
 - c. Technology: Optical lenses for ultra-wide angle imaging including wide angle panomorph lenses, imaging techniques, and design of the optics. Application market verticals include mobile phones, portable display accessories and other portable consumer equipment.
- 172. Active case work: 1Q2024 – Present; *Innoscence Zhuhai Technology Company, Ltd v. Infineon*, IPR filing, (retained by defendant Innoscence) – retained by counsel Finnegan, Henderson, Farabow, Garrett & Dunner, LLP.
 - a. Deposition: 0 (Cumulative total: 65)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 39)
 - i. ITC trial appearance: 0 (Cumulative total: 9)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)
 - c. Technology: Gallium Nitride (GaN) based power field effect transistors (FETs), their design, fabrication, characterization, and performance. Particular emphasis on enhancement mode GaN FETs and their operation, application, and product development.
- 173. Active case work: 1Q2023 – present; *Polaris Powered Technologies llc (plaintiff), v. Samsung Electronics America Inc, Samsung Display Company Ltd, Samsung Electronics Company, Ltd. (defendants)*, 2:22-CV-00469-JRG, (E.D. Tx.), (filed: 28th June 2023), (retained by defendant) – retained by counsel Baker Botts LLP.
 - a. Deposition: 1 (Cumulative total: 66)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 39)
 - i. ITC trial appearance: 0 (Cumulative total: 9)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)
 - d. Technology: OLED displays, OLED driver circuits, OLED red, green, blue pixels and associated display design and packaging technologies. Tear down of OLED displays for consumer cameras.
- 174. Active case work: 2Q2024 – present; *Ikea North America Services LLC., Ikea US Retail LLC., v. Everlight Electronics et al.*; Declaration for IPR patent owner response (IPR2024-00XXXX) for US patent #9,640,733 as petitioner, (retained by defendant Ikea US Retail LLC et al.) – retained by defendant counsel Flaster Greenberg LLP
 - a. Deposition: 0 (Cumulative total: 66)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 39)
 - i. ITC trial appearance: 0 (Cumulative total: 9)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)

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- c. Technology: LED surface mount packaging, LED GaN/InGaN chips, and associated LED lighting performance specifications.
- 175. Active case work: 2Q2024 – present; *Ikea North America Services LLC., Ikea US Retail LLC., v. Everlight Electronics et al.*; Declaration for IPR patent owner response (IPR2024-00XXXX) for US patent #7,554,126 as petitioner, (retained by defendant Ikea US Retail LLC et al.) – retained by defendant counsel Flaster Greenberg LLP
 - a. Deposition: 0 (Cumulative total: 66)
 - b. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 39)
 - i. ITC trial appearance: 0 (Cumulative total: 9)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)
 - c. Technology: LED surface mount packaging, LED GaN/InGaN chips, and associated LED lighting performance specifications.
- 176. Active case work: 3Q2024 – present; *Semisilicon Technology Group v. Xu Peicheng (Hong Kong) Optoelectronic Technology Co. Limited*; Declaration for IPR patent owner response (IPR2024-00156) for US patent #8,884,546, (retained by defendant SemiSilicon Technology Group) – retained by defendant counsel Finnegan LLPDeposition: 0 (Cumulative total: 66)
 - a. Trial/Hearing/court/PTAB appearance: 0 (Cumulative total: 39)
 - i. ITC trial appearance: 0 (Cumulative total: 9)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 0 (Cumulative total: 18)
 - b. Technology: LED surface mount and through-pin packaging (3/5mm as well as surface mount packages), COB (chip-on-board), flexible boards, LED GaN/InGaN chips, and associated LED lighting performance specifications including waterproofing.
- 177. Active case work: 3Q2024 – present; *Semisilicon Technology Group v. Xu Peicheng (Hong Kong) Optoelectronic Technology Co. Limited*; Declaration for reexam (RE) patent owner (Reexamination control #90/015,328 for US patent #8,124,988), (retained by defendant SemiSilicon Technology Group) – retained by defendant counsel Finnegan LLP
 - a. Deposition: 0 (Cumulative total: 66)
 - b. Trial/Hearing/court/PTAB appearance (reexam interview): 1 (Cumulative total: 40)
 - i. ITC trial appearance: 0 (Cumulative total: 9)
 - ii. District Court trial appearance: 0 (Cumulative total: 11)
 - iii. PTAB Court trial/deposition appearance: 1 (Cumulative total: 19)
 - c. Technology: LED surface mount and through-pin packaging (3/5mm as well as surface mount packages), COB (chip-on-board), flexible boards, LED GaN/InGaN chips, and associated LED lighting performance specifications including waterproofing.
 - d.

ISSUED utility PATENTS and PUBLISHED PATENT APPLICATIONS ISSUED:

Current combined total = 341

Recent activity

1	US-11974508-B2	Preview PDF	Josephson Junction using molecular beam epitaxy
2	US-11927868-B2	Preview PDF	Electro-optic polymer devices having high performance claddings, and methods of preparing the same
3	US-11757008-B2	Preview PDF	Electronic device with 2-dimensional electron gas between polar-oriented rare-earth oxide layer grown over a semiconductor
4	US-20230280605-A1	Preview PDF	SLOT MODULATORS WITH IMPROVED RF AND BANDWIDTH PERFORMANCE
5	US-20230251543-A1	Preview PDF	Electro-Optic Polymer Devices Having High Performance Claddings, and Methods of Preparing the Same
6	US-20230172075-A1	Preview PDF	Axis Josephson Junctions with Improved Smoothness

Listed patents and patent applications

1	US-11614670-B2	Preview	PDF	Electro-optic polymer devices having high performance claddings, and methods of preparing the same	Yi; Youngwoo et al.	2023-03-28	21
2	US-11520175-B2	Preview	PDF	Active region-less modulator and method	Lebby; Michael et al.	2022-12-06	62
3	US-11506918-B2	Preview	PDF	Hybrid EO polymer modulator with ALD sealant layer	Lebby; Michael et al.	2022-11-22	18
4	US-11495670-B2	Preview	PDF	Integrated epitaxial metal electrodes	Pelzel; Rodney et al.	2022-11-08	25
5	US-11435603-B2	Preview	PDF	TFP optical transition device and method	Leonberger; Frederick J. et al.	2022-09-06	32
6	US-11435604-B2	Preview	PDF	Hybrid EO polymer modulator with silicon photonics	Lebby; Michael et al.	2022-09-06	21
7	US-20220246822-A1	Preview	PDF	Josephson Junction using Molecular Beam Epitaxy	Lebby; Michael S. et al.	2022-08-04	10
8	US-20220187638-A1	Preview	PDF	HYBRID EO POLYMER MODULATOR WITH ALD SEALANT LAYER	Lebby; Michael et al.	2022-06-16	18
9	US-20220187637-A1	Preview	PDF	HYBRID EO POLYMER MODULATOR WITH SILICON PHOTONICS	Lebby; Michael et al.	2022-06-16	22
10	US-20220113566-A1	Preview	PDF	TFP OPTICAL TRANSITION DEVICE AND METHOD	Leonberger; Frederick J. et al.	2022-04-14	32
11	US-11262605-B2	Preview	PDF	Active region-less polymer modulator integrated on a common PIC platform and method	Lebby; Michael et al.	2022-03-01	32
12	US-20220052249-A1	Preview	PDF	A-axis Josephson Junctions with Improved Smoothness	Lebby; Michael S. et al.	2022-02-17	40

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13	US-11201278-B2	Preview	PDF Josephson Junction using molecular beam epitaxy	Lebby; Michael S. et al.	2021-12-14	10
14	US-11201451-B2	Preview	PDF Porous distributed Bragg reflectors for laser applications	Hammond; Rich et al.	2021-12-14	20
15	US-11067748-B2	Preview	PDF Guide transition device and method	Lebby; Michael et al.	2021-07-20	24
16	US-11063114-B2	Preview	PDF III-N to rare earth transition in a semiconductor structure	Dargis; Rytis et al.	2021-07-13	23
17	US-11042051-B2	Preview	PDF Direct drive region-less polymer modulator methods of fabricating and materials therefor	Lebby; Michael et al.	2021-06-22	25
18	US-20210141251-A1	Preview	PDF ACTIVE REGION-LESS MODULATOR AND METHOD	Lebby; Michael et al.	2021-05-13	63
19	US-20210141250-A1	Preview	PDF ACTIVE REGION-LESS MODULATOR AND METHOD	Lebby; Michael et al.	2021-05-13	29
20	US-10989871-B2	Preview	PDF Protection layers for polymer modulators/waveguides	Becker; Richard et al.	2021-04-27	12
21	US-20210005720-A1	Preview	PDF ELECTRONIC DEVICE WITH 2-DIMENSIONAL ELECTRON GAS BETWEEN POLAR-ORIENTED RARE-EARTH OXIDE LAYER GROWN OVER A SEMICONDUCTOR	Dargis; Rytis et al.	2021-01-07	23
22	US-10886694-B2	Preview	PDF Hermetic capsule and method	Lebby; Michael	2021-01-05	17
23	US-10825912-B2	Preview	PDF Integrated epitaxial metal electrodes	Pelzel; Rodney et al.	2020-11-03	20
24	US-20200285085-A1	Preview	PDF DIRECT DRIVE REGION-LESS POLYMER MODULATOR METHODS OF FABRICATING AND MATERIALS THEREFOR	Lebby; Michael et al.	2020-09-10	25
25	US-10754093-B2	Preview	PDF Fabrication process of polymer based photonic apparatus and the apparatus	Liu; Zhiming et al.	2020-08-25	12
26	US-20200183201-A1	Preview	PDF ACTIVE REGION-LESS POLYMER MODULATOR INTEGRATED ON A COMMON PIC PLATFORM AND METHOD	Lebby; Michael et al.	2020-06-11	31

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27 US-20200183245-A1	Preview PDF	ELECTRO-OPTIC POLYMER DEVICES HAVING HIGH PERFORMANCE CLADDINGS, AND METHODS OF PREPARING THE SAME	Yi; Youngwoo et al.	2020-06-11	21
28 US-20200161417-A1	Preview PDF	III-N TO RARE EARTH TRANSITION IN A SEMICONDUCTOR STRUCTURE	Dargis; Rytis et al.	2020-05-21	20
29 US-20200150363-A1	Preview PDF	CONDUCTIVE MULTI-FIBER/PORT HERMETIC CAPSULE AND METHOD	Lebby; Michael	2020-05-14	27
30 US-20200119516-A1	Preview PDF	HERMETIC CAPSULE AND METHOD	Lebby; Michael	2020-04-16	16
31 US-10615141-B2	Preview PDF	Pnictide buffer structures and devices for GaN base applications	Clark; Andrew et al.	2020-04-07	16
32 US-10605987-B2	Preview PDF	Re-based integrated photonic and electronic layered structures	Clark; Andrew et al.	2020-03-31	42
33 US-20200091398-A1	Preview PDF	JOSEPHSON JUNCTION USING MOLECULAR BEAM EPITAXY	Lebby; Michael S. et al.	2020-03-19	10
34 US-20200088939-A1	Preview PDF	GUIDE TRANSITION DEVICE AND METHOD	Lebby; Michael et al.	2020-03-19	23
35 US-20200088941-A1	Preview PDF	PROTECTION LAYERS FOR POLYMER MODULATORS/WAVEGUIDES	Becker; Richard et al.	2020-03-19	12
36 US-10591755-B2	Preview PDF	Direct-drive polymer modulator methods of fabricating and materials therefor	Becker; Richard et al.	2020-03-17	20
37 US-20200083668-A1	Preview PDF	GUIDE TRANSITION DEVICE WITH DIGITAL GRATING DEFLECTORS AND METHOD	Lebby; Michael et al.	2020-03-12	15
38 US-10573686-B2	Preview PDF	Epitaxial AlN/cREO structure for RF filter applications	Wang; Wang Nang et al.	2020-02-25	19
39 US-10574025-B2	Preview PDF	Hermetic capsule and method for a monolithic photonic integrated circuit	Lebby; Michael	2020-02-25	17
40 US-10566944-B2	Preview PDF	Layer structures for RF filters fabricated using rare earth oxides and epitaxial aluminum nitride	Pelzel; Rodney et al.	2020-02-18	28

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41	US-10527786-B2	Preview	PDF	Polymer modulator and laser integrated on a common platform and method	Leonberger; Frederick J et al.	2020-01-07	27
42	US-10520673-B2	Preview	PDF	Protection layers for polymer modulators/waveguides	Becker; Richard et al.	2019-12-31	12
43	US-10509164-B2	Preview	PDF	Guide transition device and method	Lebby; Michael et al.	2019-12-17	23
44	US-10511146-B2	Preview	PDF	Guide transition device with digital grating deflectors and method	Lebby; Michael et al.	2019-12-17	16
45	US-20190353843-A1	Preview	PDF	FABRICATION PROCESS OF POLYMER BASED PHOTONIC APPARATUS AND THE APPARTUS	Liu; Zhiming et al.	2019-11-21	12
46	US-20190305039-A1	Preview	PDF	EPITAXIAL AIN/cREO STRUCTURE FOR RF FILTER APPLICATIONS	Wang; Wang Nang et al.	2019-10-03	18
47	US-10431729-B2	Preview	PDF	Josephson junction using molecular beam epitaxy	Lebby; Michael S. et al.	2019-10-01	10
48	US-20190278036-A1	Preview	PDF	EMBEDDED HERMETIC CAPSULE AND METHOD	Lebby; Michael	2019-09-12	22
49	US-20190237930-A1	Preview	PDF	HERMETIC CAPSULE AND METHOD	Lebby; Michael	2019-08-01	17
50	US-20190227233-A1	Preview	PDF	RE-based Integrated Photonic and Electronic Layered Structures	Clark; Andrew et al.	2019-07-25	41
51	US-20190221993-A1	Preview	PDF	POROUS DISTRIBUTED BRAGG REFLECTORS FOR LASER APPLICATIONS	Hammond; Rich et al.	2019-07-18	19
52	US-20190204506-A1	Preview	PDF	PROTECTION LAYERS FOR POLYMER MODULATORS/WAVEGUIDES	Becker; Richard et al.	2019-07-04	12
53	US-10332857-B2	Preview	PDF	Rare earth pnictides for strain management	Clark; Andrew et al.	2019-06-25	30
54	US-20190172923-A1	Preview	PDF	Integrated Epitaxial Metal Electrodes	Pelzel; Rodney et al.	2019-06-06	24
55	US-20190148913-A1	Preview	PDF	GUIDE TRANSITION DEVICE WITH DIGITAL GRATING DEFLECTORS AND METHOD	Lebby; Michael et al.	2019-05-16	16

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56	US-20190139761-A1	Preview PDF	PNICTIDE BUFFER STRUCTURES AND DEVICES FOR GaN BASE APPLICATIONS	Clark; Andrew et al.	2019-05-09	15
57	US-20190122885-A1	Preview PDF	GROUP III SEMICONDUCTOR EPITAXY FORMED ON SILICON VIA SINGLE CRYSTAL REN AND REO BUFFER LAYERS	Dargis; Rytis et al.	2019-04-25	13
58	US-20190079243-A1	Preview PDF	GUIDE TRANSITION DEVICE AND METHOD	Lebby; Michael et al.	2019-03-14	24
59	US-20190074365-A1	Preview PDF	Integrated Epitaxial Metal Electrodes	Pelzel; Rodney et al.	2019-03-07	20
60	US-20190064436-A1	Preview PDF	POLYMER MODULATOR AND LASER INTEGRATED ON A COMMON PLATFORM AND METHOD	Leonberger; Frederick J et al.	2019-02-28	26
61	US-20190028081-A1	Preview PDF	LAYER STRUCTURES FOR RF FILTERS FABRICATED USING RARE EARTH OXIDES AND EPITAXIAL ALUMINUM NITRIDE	Pelzel; Rodney et al.	2019-01-24	28
62	US-10162111-B1	Preview PDF	Multi-fiber/port hermetic capsule sealed by metallization and method	Lebby; Michael	2018-12-25	34
63	US-10128350-B2	Preview PDF	Integrated epitaxial metal electrodes	Pelzel; Rodney et al.	2018-11-13	20
64	US-20180259798-A1	Preview PDF	DIRECT-DRIVE POLYMER MODULATOR METHODS OF FABRICATING AND MATERIALS THEREFOR	Becker; Richard et al.	2018-09-13	20
65	US-10075143-B2	Preview PDF	Layer structures for RF filters fabricated using rare earth oxides and epitaxial aluminum nitride	Pelzel; Rodney et al.	2018-09-11	29
66	US-20180138284-A1	Preview PDF	Integrated Epitaxial Metal Electrodes	Pelzel; Rodney et al.	2018-05-17	19
67	US-20180012858-A1	Preview PDF	RARE EARTH INTERLAYS FOR MECHANICALLY LAYERING DISSIMILAR SEMICONDUCTOR WAFERS	Clark; Andrew et al.	2018-01-11	15
68	US-20180013053-A1	Preview PDF	Josephson Junction using Molecular Beam Epitaxy	Lebby; Michael S. et al.	2018-01-11	10
69	US-20170353002-A1	Preview PDF	Rare Earth Pnictides for Strain Management	Clark; Andrew et al.	2017-12-07	29
70	US-20170141750-A1	Preview PDF	LAYER STRUCTURES FOR RF FILTERS FABRICATED USING RARE EARTH OXIDES AND EPITAXIAL ALUMINUM NITRIDE	Pelzel; Rodney et al.	2017-05-18	29
71	US-9496132-B2	Preview PDF	Nucleation of III-N on REO templates	Arkun; Erdem et al.	2016-11-15	9
72	US-9443939-B2	Preview PDF	Strain compensated REO buffer for III-N on silicon	Dargis; Rytis et al.	2016-09-13	7
73	US-20160133708-A1	Preview PDF	STRAIN COMPENSATED REO BUFFER FOR III-N ON SILICON	Dargis; Rytis et al.	2016-05-12	7
74	US-9236249-B2	Preview PDF	III-N material grown on REN epitaxial buffer on Si substrate	Dargis; Rytis et al.	2016-01-12	9

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75	US-9105471-B2	Preview PDF	Rare earth oxy-nitride buffered III-N on silicon	Clark; Andrew et al.	2015-08-11	7
76	US-20150014676-A1	Preview PDF	III-N MATERIAL GROWN ON REN EPITAXIAL BUFFER ON Si SUBSTRATE	Dargis; Rytis et al.	2015-01-15	10
77	US-20140357014-A1	Preview PDF	HIGH EFFICIENCY SOLAR CELL USING IIIB MATERIAL TRANSITION LAYERS	LEBBY; MICHAEL et al.	2014-12-04	10
78	US-8889978-B2	Preview PDF	III-V semiconductor interface with graded GeSn on silicon	Roucka; Radek et al.	2014-11-18	12
79	US-8878188-B2	Preview PDF	REO gate dielectric for III-N device on Si substrate	Dargis; Rytis et al.	2014-11-04	8
80	US-8872308-B2	Preview PDF	AlN cap grown on GaN/REO/silicon substrate structure	Arkun; Erdem et al.	2014-10-28	5
81	US-8835955-B2	Preview PDF	III-O.sub.xN.sub.y on single crystal SOI substrate and III n growth platform	Arkun; Erdem et al.	2014-09-16	10
82	US-8823055-B2	Preview PDF	REO/ALO/A1N template for III-N material growth on silicon	Arkun; Erdem et al.	2014-09-02	7
83	US-8823025-B1	Preview PDF	III-N material grown on AIO/AlN buffer on Si substrate	Arkun; Erdem et al.	2014-09-02	5
84	US-20140239307-A1	Preview PDF	REO GATE DIELECTRIC FOR III-N DEVICE ON Si SUBSTRATE	DARGIS; RYTIS et al.	2014-08-28	8
85	US-20140231817-A1	Preview PDF	III-N MATERIAL GROWN ON ALO/ALN BUFFER ON Si SUBSTRATE	Arkun; Erdem et al.	2014-08-21	5
86	US-20140231818-A1	Preview PDF	AlN CAP GROWN ON GaN/REO/SILICON SUBSTRATE STRUCTURE	Arkun; Erdem et al.	2014-08-21	5
87	US-20140225123-A1	Preview PDF	REO/ALO/AlN TEMPLATE FOR III-N MATERIAL GROWTH ON SILICON	Arkun; Erdem et al.	2014-08-14	10
88	US-8794010-B2	Preview PDF	Laser cooling of modified SOI wafer	Williams; David L. et al.	2014-08-05	12
89	US-20140167057-A1	Preview PDF	REO/ALO/AlN TEMPLATE FOR III-N MATERIAL GROWTH ON SILICON	Arkun; Erdem et al.	2014-06-19	7
90	US-8748900-B1	Preview PDF	Re-silicide gate electrode for III-N device on Si substrate	Dargis; Rytis et al.	2014-06-10	10
91	US-8680507-B1	Preview PDF	AlN inter-layers in III-N material grown on DBR/silicon substrate	Arkun; Erdem et al.	2014-03-25	6
92	US-20140076390-A1	Preview PDF	III-V SEMICONDUCTOR INTERFACE WITH GRADED GeSn ON SILICON	Roucka; Radek et al.	2014-03-20	13
93	US-20140077240-A1	Preview PDF	IV MATERIAL PHOTONIC DEVICE ON DBR	Roucka; Radek et al.	2014-03-20	5

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94	US-20140077338-A1	Preview PDF Si-Ge-Sn ON REO TEMPLATE	Roucka; Radek et al.	2014-03-20	5
95	US-20140077339-A1	Preview PDF DELTA DOPING AT Si-Ge INTERFACE	Roucka; Radek et al.	2014-03-20	5
96	US-20140053894-A1	Preview PDF GRADED GeSn ON SILICON	Roucka; Radek et al.	2014-02-27	13
97	US-8637763-B2	Preview PDF Solar cells with engineered spectral conversion	Lebby; Michael et al.	2014-01-28	18
98	US-8636844-B1	Preview PDF Oxygen engineered single-crystal REO template	Dargis; Rytis et al.	2014-01-28	10
99	US-8633569-B1	Preview PDF AlN inter-layers in III-N material grown on REO/silicon substrate	Arkun; Erdem et al.	2014-01-21	5
100	US-20140008644-A1	Preview PDF OXYGEN ENGINEERED SINGLE-CRYSTAL REO TEMPLATE	Dargis; Rytis et al.	2014-01-09	10
101	US-8623747-B1	Preview PDF Silicon, aluminum oxide, aluminum nitride template for optoelectronic and power devices	Arkun; Erdem et al.	2014-01-07	6
102	US-20130334536-A1	Preview PDF SINGLE-CRYSTAL REO BUFFER ON AMORPHOUS SiOx	Dargis; Rytis et al.	2013-12-19	7
103	US-8559097-B2	Preview PDF Integrated pump laser and rare earth waveguide amplifier	Williams; David L. et al.	2013-10-15	10
104	US-8553741-B2	Preview PDF Integrated rare earth devices	Lebby; Michael	2013-10-08	9
105	US-20130248853-A1	Preview PDF NUCLEATION OF III-N ON REO TEMPLATES	Arkun; Erdem et al.	2013-09-26	8
106	US-8542437-B1	Preview PDF Earth abundant photonic structures	Lebby; Michael S. et al.	2013-09-24	21
107	US-20130214282-A1	Preview PDF III-N ON SILICON USING NANO STRUCTURED INTERFACE LAYER	Arkun; Erdem et al.	2013-08-22	7
108	US-8501635-B1	Preview PDF Modification of REO by subsequent III-N EPI process	Clark; Andrew et al.	2013-08-06	10
109	US-20130153918-A1	Preview PDF REO-Si TEMPLATE WITH INTEGRATED REO LAYERS FOR LIGHT EMISSION	Clark; Andrew et al.	2013-06-20	9
110	US-8455756-B2	Preview PDF High efficiency solar cell using IIIB material transition layers	Lebby; Michael et al.	2013-06-04	10
111	US-20130099357-A1	Preview PDF STRAIN COMPENSATED REO BUFFER FOR III-N ON SILICON	Dargis; Rytis et al.	2013-04-25	7
112	US-20130071960-A1	Preview PDF INTEGRATED RARE EARTH DEVICES	LEBBY; MICHAEL	2013-03-21	10

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113	US-20130062609-A1	Preview	PDF III-N FET ON SILICON USING FIELD SUPPRESSING REO	Smith; Robin et al.	2013-03-14	10
114	US-20130062610-A1	Preview	PDF LATTICE MATCHED CRYSTALLINE REFLECTOR	Clark; Andrew et al.	2013-03-14	11
115	US-8394194-B1	Preview	PDF Single crystal reo buffer on amorphous SiO ₂	Dargis; Rytis et al.	2013-03-12	7
116	US-20130032858-A1	Preview	PDF RARE EARTH OXY-NITRIDE BUFFERED III-N ON SILICON	Clark; Andrew et al.	2013-02-07	8
117	US-8331410-B2	Preview	PDF Spontaneous/stimulated light emitting .mu.-cavity device	Lebby; Michael et al.	2012-12-11	8
118	US-8331413-B2	Preview	PDF Integrated rare earth devices	Lebby; Michael	2012-12-11	9
119	US-20120280276-A1	Preview	PDF Single Crystal Ge On Si	Dargis; Rytis et al.	2012-11-08	6
120	US-20120256232-A1	Preview	PDF Multilayer Rare Earth Device	Clark; Andrew et al.	2012-10-11	24
121	US-20120183767-A1	Preview	PDF HEXAGONAL REO TEMPLATE BUFFER FOR III-N LAYERS ON SILICON	Dargis; Rytis et al.	2012-07-19	10
122	US-20120145243-A1	Preview	PDF SOLAR CELLS WITH MAGNETICALLY ENHANCED UP-CONVERSION	Williams; David L. et al.	2012-06-14	13
123	US-20120147906-A1	Preview	PDF LASER COOLING OF MODIFIED SOI WAFER	Williams; David L. et al.	2012-06-14	12
124	US-20120104443-A1	Preview	PDF III _{0.5} O _{0.5} Ny ON SINGLE CRYSTAL SOI SUBSTRATE AND III n GROWTH PLATFORM	Clark; Andrew et al.	2012-05-03	9
125	US-20120104567-A1	Preview	PDF III _{0.5} O _{0.5} Ny ON REO/Si	Clark; Andrew et al.	2012-05-03	6
126	US-20120090672-A1	Preview	PDF REO-Ge Multi-Junction Solar Cell	LEBBY; MICHAEL S. et al.	2012-04-19	16
127	US-20120085399-A1	Preview	PDF REO-Ge Multi-Junction Solar Cell	Lebby; Michael S. et al.	2012-04-12	17
128	US-20120073648-A1	Preview	PDF Photovoltaic conversion using rare earths plus Group IV Sensitizers	Clark; Andrew et al.	2012-03-29	18
129	US-20120019902-A1	Preview	PDF INTERGRATED PUMP LASER AND RARE EARTH WAVEGUIDE AMPLIFIER	Williams; David L. et al.	2012-01-26	10
130	US-20110290313-A1	Preview	PDF SOLAR CELLS WITH ENGINEERED SPECTRAL CONVERSION	LEBBY; MICHAEL et al.	2011-12-01	19
131	US-8049100-B2	Preview	PDF Multijunction rare earth solar cell	Clark; Andrew et al.	2011-11-01	24

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132	US-8039738-B2	Preview PDF	Active rare earth tandem solar cell	Clark; Andrew et al.	2011-10-18	25
133	US-8039737-B2	Preview PDF	Passive rare earth tandem solar cell	Clark; Andrew et al.	2011-10-18	17
134	US-20110220173-A1	Preview PDF	ACTIVE SOLAR CONCENTRATOR WITH MULTI-JUNCTION DEVICES	LEBBY; MICHAEL	2011-09-15	15
135	US-20110203666-A1	Preview PDF	HIGH EFFICIENCY SOLAR CELL USING IIIB MATERIAL TRANSITION LAYERS	Lebby; Michael et al.	2011-08-25	10
136	US-20110188533-A1	Preview PDF	INTEGRATED RARE EARTH DEVICES	LEBBY; MICHAEL	2011-08-04	10
137	US-7968384-B2	Preview PDF	Stacked transistors and process	Atanakovic; Petar B. et al.	2011-06-28	7
138	US-7967653-B2	Preview PDF	Full color display	Lebby; Michael et al.	2011-06-28	11
139	US-20110108908-A1	Preview PDF	MULTILAYERED BOX IN FDSOI MOSFETS	Lebby; Michael et al.	2011-05-12	34
140	US-7821066-B2	Preview PDF	Multilayered BOX in FDSOI MOSFETS	Lebby; Michael et al.	2010-10-26	33
141	US-20100122720-A1	Preview PDF	Passive Rare Earth Tandem Solar Cell	Clark; Andrew et al.	2010-05-20	17
142	US-20100116315-A1	Preview PDF	Active rare earth tandem solar cell	Clark; Andrew et al.	2010-05-13	25
143	US-20100112736-A1	Preview PDF	FULL COLOR DISPLAY	Lebby; Michael et al.	2010-05-06	12
144	US-20100109047-A1	Preview PDF	Multijunction rare earth solar cell	Clark; Andrew et al.	2010-05-06	23
145	US-20100084680-A1	Preview PDF	SPONTANEOUS/STIMULATED LIGHT EMITTING .mu.-CAVITY DEVICE	Lebby; Michael et al.	2010-04-08	8
146	US-7643526-B1	Preview PDF	Spontaneous/stimulated light emitting .mu.-cavity device	Lebby; Michael et al.	2010-01-05	8
147	US-20090291535-A1	Preview PDF	STACKED TRANSISTORS AND PROCESS	Atanackovic; Petar B. et al.	2009-11-26	7
148	US-7605531-B1	Preview PDF	Full color display including LEDs with rare earth active areas and different radiative transistions	Lebby; Michael et al.	2009-10-20	11
149	US-7579623-B2	Preview PDF	Stacked transistors and process	Atanackovic; Petar B. et al.	2009-08-25	7
150	US-7538016-B2	Preview PDF	Signal and/or ground planes with double buried insulator layers and fabrication process	Atanakovic; Petar B. et al.	2009-05-26	8

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151	US-7388230-B1	Preview PDF	Selective colored light emitting diode	Lebby; Michael et al.	2008-06-17	9
152	US-20080135924-A1	Preview PDF	Multilayered box in FDSOI MOSFETS	Lebby; Michael et al.	2008-06-12	34
153	US-7365357-B2	Preview PDF	Strain inducing multi-layer cap	Atanackovic; Petar B. et al.	2008-04-29	6
154	US-20080093670-A1	Preview PDF	SIGNAL AND/OR GROUND PLANES WITH DOUBLE BURIED INSULATOR LAYERS AND FABRICATION PROCESS	Atanackovic; Petar B. et al.	2008-04-24	8
155	US-7355269-B1	Preview PDF	IC on non-semiconductor substrate	Lebby; Michael et al.	2008-04-08	4
156	US-7323396-B2	Preview PDF	Signal and/or ground planes with double buried insulator layers and fabrication process	Atanackovic; Petar B. et al.	2008-01-29	7
157	US-20070018166-A1	Preview PDF	Stacked transistors and process	Atanackovic; Petar B. et al.	2007-01-25	8
158	US-20070018203-A1	Preview PDF	Strain inducing multi-layer cap	Atanackovic; Petar B. et al.	2007-01-25	6
159	US-20060246691-A1	Preview PDF	Signal and/or ground planes with double buried insulator layers and fabrication process	Atanackovic; Petar B. et al.	2006-11-02	8
160	US-6999644-B1	Preview PDF	Discrete optoelectric package	Lebby; Michael S. et al.	2006-02-14	17
161	US-6966703-B1	Preview PDF	Self eject latch mechanism for an optical transceiver module	Lebby; Michael S. et al.	2005-11-22	9
162	US-6795461-B1	Preview PDF	Optoelectric module	Blair; Thomas H. et al.	2004-09-21	19
163	US-6663296-B1	Preview PDF	Optoelectric module	Blair; Thomas H. et al.	2003-12-16	14
164	US-6412989-B1	Preview PDF	Directable laser transmission module	Hartman; Davis Howard et al.	2002-07-02	8

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165	US-6243056-B1	Preview PDF	Transceiver with miniature virtual image display	Jachimowicz; Karen E. et al.	2001-06-05	12
166	US-6228440-B1	Preview PDF	Perishable media information storage mechanism and method of fabrication	Dailey; Conan B. et al.	2001-05-08	7
167	US-6158884-A	Preview PDF	Integrated communicative watch	Lebby; Michael S. et al.	2000-12-12	9
168	US-6161106-A	Preview PDF	Perishable media system and method of operation	Dailey; Conan B. et al.	2000-12-12	7
169	US-6156582-A	Preview PDF	Method of fabricating top emitting ridge VCSEL with self-aligned contact and sidewall reflector	Shieh; Chan-Long et al.	2000-12-05	6
170	US-6131017-A	Preview PDF	Dual system portable electronic communicator	Lebby; Michael S. et al.	2000-10-10	8
171	US-6122023-A	Preview PDF	Non-speckle liquid crystal projection display	Chen; Diana et al.	2000-09-19	8
172	US-6121068-A	Preview PDF	Long wavelength light emitting vertical cavity surface emitting laser and method of fabrication	Ramdani; Jamal et al.	2000-09-19	7
173	US-6115618-A	Preview PDF	Portable electronic device with removable display	Lebby; Michael S. et al.	2000-09-05	6
174	US-6111839-A	Preview PDF	Optical pickup head including a coherent first order mode laser light source	Milster; Tom D. et al.	2000-08-29	7
175	US-6096666-A	Preview PDF	Holographic textile fiber	Jachimowicz; Karen E. et al.	2000-08-01	6
176	US-6097748-A	Preview PDF	Vertical cavity surface emitting laser semiconductor chip with integrated drivers and photodetectors and method of fabrication	Huang; Rong-Ting et al.	2000-08-01	11
177	US-6097528-A	Preview PDF	Microscanner for portable laser diode displays	Lebby; Michael S. et al.	2000-08-01	8
178	US-6091754-A	Preview PDF	VCSEL having integrated photodetector for automatic power control	Jiang; Wenbin et al.	2000-07-18	5

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179	US-6084697-A	Preview PDF	Integrated electro-optical package	Lebby; Michael S. et al.	2000-07-04	9
180	US-6080690-A	Preview PDF	Textile fabric with integrated sensing device and clothing fabricated thereof	Lebby; Michael S. et al.	2000-06-27	8
181	US-6069593-A	Preview PDF	Display carrier and electronic display control for multiple displays in a portable electronic device	Lebby; Michael S. et al.	2000-05-30	6
182	US-6064780-A	Preview PDF	Interconnect substrate with a single contact accessible in an upper and an end surface	Blair; Thomas H. et al.	2000-05-16	6
183	US-6061380-A	Preview PDF	Vertical cavity surface emitting laser with doped active region and method of fabrication	Jiang; Wenbin et al.	2000-05-09	8
184	US-6061485-A	Preview PDF	Method for wavelength division multiplexing utilizing donut mode vertical cavity surface emitting lasers	Jiang; Wenbin et al.	2000-05-09	7
185	US-6029073-A	Preview PDF	Display carrier with binocular viewing for a portable electronic device	Lebby; Michael S. et al.	2000-02-22	7
186	US-6026111-A	Preview PDF	Vertical cavity surface emitting laser device having an extended cavity	Jiang; Wenbin et al.	2000-02-15	6
187	US-6022760-A	Preview PDF	Integrated electro-optical package and method of fabrication	Lebby; Michael S. et al.	2000-02-08	12
188	US-6021146-A	Preview PDF	Vertical cavity surface emitting laser for high power single mode operation and method of fabrication	Jiang; Wenbin et al.	2000-02-01	7
189	US-6021147-A	Preview PDF	Vertical cavity surface emitting laser for high power single mode operation and method of fabrication	Jiang; Wenbin et al.	2000-02-01	7
190	US-6008067-A	Preview PDF	Fabrication of visible wavelength vertical cavity surface emitting laser	Ramdani; Jamal et al.	1999-12-28	5
191	US-5978398-A	Preview PDF	Long wavelength vertical cavity surface emitting laser	Ramdani; Jamal et al.	1999-11-02	5
192	US-5974071-A	Preview PDF	VCSEL with integrated photodetectors for automatic power control and signal detection in data storage	Jiang; Wenbin et al.	1999-10-26	8

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193	US-5966399-A	Preview PDF	Vertical cavity surface emitting laser with integrated diffractive lens and method of fabrication	Jiang; Wenbin et al.	1999-10-12	7
194	US-5959315-A	Preview PDF	Semiconductor to optical link	Lebby; Michael S. et al.	1999-09-28	6
195	US-5956363-A	Preview PDF	Long wavelength vertical cavity surface emitting laser with oxidation layers and method of fabrication	Lebby; Michael S. et al.	1999-09-21	7
196	US-5956364-A	Preview PDF	Vertical cavity surface emitting laser with shaped cavity mirror and method of fabrication	Jiang; Wenbin et al.	1999-09-21	6
197	US-5953355-A	Preview PDF	Semiconductor laser package with power monitoring system	Kiely; Philip et al.	1999-09-14	6
198	US-5946121-A	Preview PDF	IrDA data link with VCSEL light source	Jiang; Wenbin et al.	1999-08-31	4
199	US-5943359-A	Preview PDF	Long wavelength VCSEL	Ramdani; Jamal et al.	1999-08-24	5
200	US-5943357-A	Preview PDF	Long wavelength vertical cavity surface emitting laser with photodetector for automatic power control and method of fabrication	Lebby; Michael S. et al.	1999-08-24	7
201	US-5939773-A	Preview PDF	Semiconductor laser package including a lead frame and plastic resin housing	Jiang; Wenbin et al.	1999-08-17	8
202	US-5936929-A	Preview PDF	Optical submodule and method for making	Jiang; Wenbin et al.	1999-08-10	7
203	US-5923696-A	Preview PDF	Visible light emitting vertical cavity surface emitting laser with gallium phosphide contact layer and method of fabrication	Ramdani; Jamal et al.	1999-07-13	7
204	US-5914973-A	Preview PDF	Vertical cavity surface emitting laser for high power operation and method of fabrication	Jiang; Wenbin et al.	1999-06-22	7
205	US-5906004-A	Preview PDF	Textile fabric with integrated electrically conductive fibers and clothing fabricated thereof	Lebby; Michael S. et al.	1999-05-25	7
206	US-5905750-A	Preview PDF	Semiconductor laser package and method of fabrication	Lebby; Michael S. et al.	1999-05-18	10
207	US-5903586-A	Preview PDF	Long wavelength vertical cavity surface emitting laser	Ramdani; Jamal et al.	1999-05-11	5
208	US-5898722-A	Preview PDF	Dual wavelength monolithically integrated vertical cavity surface emitting lasers and method of fabrication	Ramdani; Jamal et al.	1999-04-27	8

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209 US-5886972-A	Preview PDF Recording information in a phase change optical medium with a vertical cavity surface emitting laser	Jiang; Wenbin et al.	1999-03- 5 23
210 US-5883912-A	Preview PDF Long wavelength VCSEL	Ramdani; Jamal et al.	1999-03- 5 16
211 US-5881084-A	Preview PDF Semiconductor laser for package with power monitoring system	Huang; Rong-Ting et al.	1999-03- 8 09
212 US-5848086-A	Preview PDF Electrically confined VCSEL	Lebby; Michael S. et al.	1998-12- 7 08
213 US-5838703-A	Preview PDF Semiconductor laser package with power monitoring system and optical element	Lebby; Michael S. et al.	1998-11- 9 17
214 US-5838707-A	Preview PDF Ultraviolet/visible light emitting vertical cavity surface emitting laser and method of fabrication	Ramdani; Jamal et al.	1998-11- 8 17
215 US-5835521-A	Preview PDF Long wavelength light emitting vertical cavity surface emitting laser and method of fabrication	Ramdani; Jamal et al.	1998-11- 7 10
216 US-5831960-A	Preview PDF Integrated vertical cavity surface emitting laser pair for high density data storage and method of fabrication	Jiang; Wenbin et al.	1998-11- 7 03
217 US-5821571-A	Preview PDF Dual sided integrated electro-optical package	Lebby; Michael S. et al.	1998-10- 13 13
218 US-5818404-A	Preview PDF Integrated electro-optical package	Lebby; Michael S. et al.	1998-10- 18 06
219 US-5815524-A	Preview PDF VCSEL including GaTIP active region	Ramdani; Jamal et al.	1998-09- 5 29
220 US-5796769-A	Preview PDF Red light vertical cavity surface emitting laser	Ramdani; Jamal et al.	1998-08- 6 18
221 US-5789733-A	Preview PDF Smart card with contactless optical interface	Jachimowicz; Karen E. et al.	1998-08- 7 04
222 US-5774486-A	Preview PDF Waveguide power monitoring system for vertical cavity surface emitting lasers	Jiang; Wenbin et al.	1998-06- 6 30
223 US-5764671-A	Preview PDF VCSEL with selective oxide transition regions	Lebby; Michael S. et al.	1998-06- 10 09
224 US-5757741-A	Preview PDF CD ROM head with VCSEL or VCSEL array	Jiang; Wenbin et al.	1998-05- 11 26
225 US-5757829-A	Preview PDF Flip chip power monitoring system for vertical cavity surface emitting lasers	Jiang; Wenbin et al.	1998-05- 6 26
226 US-5757836-A	Preview PDF Vertical cavity surface emitting laser with laterally integrated photodetector	Jiang; Wenbin et al.	1998-05- 8 26
227 US-5751757-A	Preview PDF VCSEL with integrated MSM photodetector	Jiang; Wenbin et al.	1998-05- 5 12

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228 US-5751471-A	Preview PDF Switchable lens and method of making	Chen; Diana et al.	1998-05- 8 12
229 US-5748665-A	Preview PDF Visible VCSEL with hybrid mirrors	Jiang; Wenbin et al.	1998-05- 7 05
230 US-5748161-A	Preview PDF Integrated electro-optical package with independent menu bar	Lebby; Michael S. et al.	1998-05- 22 05
231 US-5742630-A	Preview PDF VCSEL with integrated pin diode	Jiang; Wenbin et al.	1998-04- 6 21
232 US-5741724-A	Preview PDF Method of growing gallium nitride on a spinel substrate	Ramdani; Jamal et al.	1998-04- 5 21
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242 US-5661075-A	Preview PDF Method of making a VCSEL with passivation	Grodzinski; Piotr et al.	1997-08- 9 26
243 US-5654228-A	Preview PDF VCSEL having a self-aligned heat sink and method of making	Shieh; Chan-Long et al.	1997-08- 7 05
244 US-5644369-A	Preview PDF Switchable lens/diffuser	Jachimowicz; Karen E. et al.	1997-07- 8 01
245 US-5638392-A	Preview PDF Short wavelength VCSEL	Ramdani; Jamal et al.	1997-06- 6 10
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249 US-5574744-A	Preview PDF Optical coupler	Gaw; Craig A. et al.	1996-11- 7 12
250 US-5563900-A	Preview PDF Broad spectrum surface-emitting led	Ackley; Donald E. et al.	1996-10- 7 08
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252 US-5555341-A	Preview PDF Waveguide with an electrically conductive channel	Sharma; Ravinder K. et al.	1996-09- 7 10
253 US-5550941-A	Preview PDF Optoelectronic interface module	Lebby; Michael S. et al.	1996-08- 8 27
254 US-5545359-A	Preview PDF Method of making a plastic molded optoelectronic interface	Ackley; Donald E. et al.	1996-08- 9 13
255 US-5546413-A	Preview PDF Integrated light emitting device	Lebby; Michael S. et al.	1996-08- 10 13
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257 US-5540799-A	Preview PDF Method of fabricating optical waveguide from a laminate	Lebby; Michael S. et al.	1996-07- 6 30
258 US-5538919-A	Preview PDF Method of fabricating a semiconductor device with high heat conductivity	Lebby; Michael S. et al.	1996-07- 8 23
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263 US-5521992-A	Preview PDF Molded optical interconnect	Chun; Christopher K. Y. et al.	1996-05- 10 28
264 US-5517348-A	Preview PDF Voltage controlled transparent layer for a bi-directional device	Lebby; Michael S.	1996-05- 5 14
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266 US-5498883-A	Preview PDF	Superluminescent edge emitting device with apparent vertical light emission and method of making	Lebby; Michael S. et al.	1996-03-12	7
267 US-5493437-A	Preview PDF	External communication link for a credit card pager	Lebby; Michael S. et al.	1996-02-20	10
268 US-5491491-A	Preview PDF	Portable electronic equipment with binocular virtual display	Lebby; Michael S. et al.	1996-02-13	11
269 US-5486946-A	Preview PDF	Integrated electro-optic package for reflective spatial light modulators	Jachimowicz; Karen E. et al.	1996-01-23	14
270 US-5485318-A	Preview PDF	Dual image manifestation apparatus with integrated electro-optical package	Lebby; Michael S. et al.	1996-01-16	17
271 US-5482658-A	Preview PDF	Method of making an optoelectronic interface module	Lebby; Michael S. et al.	1996-01-09	8
272 US-5482891-A	Preview PDF	VCSEL with an intergrated heat sink and method of making	Shieh; Chan-Long et al.	1996-01-09	6
273 US-5478774-A	Preview PDF	Method of fabricating patterned-mirror VCSELs using selective growth	Ackley; Donald E. et al.	1995-12-26	7
274 US-5473716-A	Preview PDF	Fiber bundle interconnect and method of making same	Lebby; Michael S. et al.	1995-12-05	8
275 US-5468656-A	Preview PDF	Method of making a VCSEL	Shieh; Chan-Long et al.	1995-11-21	9
276 US-5469185-A	Preview PDF	Remote sensory unit and driver	Lebby; Michael S. et al.	1995-11-21	7
277 US-5468582-A	Preview PDF	Fused optical layer and method of making	Blair; Thomas H. et al.	1995-11-21	7
278 US-5466633-A	Preview PDF	Optical reading head and method for making same	Ackley; Donald E. et al.	1995-11-14	11
279 US-5467215-A	Preview PDF	Integrated electro-optic package for reflective spatial light modulators	Lebby; Michael S. et al.	1995-11-14	13
280 US-5452387-A	Preview PDF	Coaxial optoelectronic mount and method of making same	Chun; Christopher K. Y. et al.	1995-09-19	5
281 US-5446752-A	Preview PDF	VCSEL with current blocking layer offset	Ackley; Donald E. et al.	1995-08-29	10
282 US-5437092-A	Preview PDF	Method of making contact areas on an optical waveguide	Hartman; Davis H. et al.	1995-08-01	7
283 US-5432809-A	Preview PDF	VCSEL with Al-free cavity region	Grodzinski; Piotr et al.	1995-07-11	7
284 US-5432630-A	Preview PDF	Optical bus with optical transceiver modules and method of manufacture	Lebby; Michael S. et al.	1995-07-11	16

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285 US-5428704-A	Preview PDF Optoelectronic interface and method of making	Lebby; Michael S. et al.	1995-06-27	16
286 US-5422901-A	Preview PDF Semiconductor device with high heat conductivity	Lebby; Michael S. et al.	1995-06-06	7
287 US-5416870-A	Preview PDF Optoelectronic interface device and method with reflective surface	Chun; Christopher K. Y. et al.	1995-05-16	8
288 US-5408547-A	Preview PDF Optical read/write head	Lebby; Michael S. et al.	1995-04-18	10
289 US-5400423-A	Preview PDF Molded star coupler and method of making same	Chun; Christopher K. Y. et al.	1995-03-21	5
290 US-5400352-A	Preview PDF Semiconductor laser and method therefor	Lebby; Michael S. et al.	1995-03-21	5
291 US-5389312-A	Preview PDF Method of fabricating molded optical waveguides	Lebby; Michael S. et al.	1995-02-14	8
292 US-5390275-A	Preview PDF Molded waveguide and method for making same	Lebby; Michael S. et al.	1995-02-14	9
293 US-5388120-A	Preview PDF VCSEL with unstable resonator	Ackley; Donald E. et al.	1995-02-07	5
294 US-5369529-A	Preview PDF Reflective optoelectronic interface device and method of making	Kuo; Shun-Meen et al.	1994-11-29	7
295 US-5369415-A	Preview PDF Direct retinal scan display with planar imager	Richard; Fred V. et al.	1994-11-29	6
296 US-5367593-A	Preview PDF Optical/electrical connector and method of fabrication	Lebby; Michael S. et al.	1994-11-22	10
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298 US-5359686-A	Preview PDF Interface for coupling optical fibers to electronic circuitry	Galloway; David et al.	1994-10-25	17
299 US-5358880-A	Preview PDF Method of manufacturing closed cavity LED	Lebby; Michael S. et al.	1994-10-25	6
300 US-5359618-A	Preview PDF High efficiency VCSEL and method of fabrication	Lebby; Michael S. et al.	1994-10-25	6
301 US-5359669-A	Preview PDF Remote retinal scan identifier	Shanley; Charles W. et al.	1994-10-25	7
302 US-5351257-A	Preview PDF VCSEL with vertical offset operating region providing a lateral waveguide and current limiting and method of fabrication	Lebby; Michael S. et al.	1994-09-27	10
303 US-5351331-A	Preview PDF Method and apparatus for splicing optical fibers with signal I/O	Chun; Christopher K. Y. et al.	1994-09-27	11

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305 US-5348616-A	Preview PDF	Method for patterning a mold	Hartman; Davis H. et al.	1994-09-20	12
306 US-5345524-A	Preview PDF	Optoelectronic transceiver sub-module and method for making	Lebby; Michael S. et al.	1994-09-06	9
307 US-5345527-A	Preview PDF	Intelligent opto-bus with display	Lebby; Michael S. et al.	1994-09-06	11
308 US-5345530-A	Preview PDF	Molded waveguide and method for making same	Lebby; Michael S. et al.	1994-09-06	8
309 US-5337391-A	Preview PDF	Optoelectronic sub-module and method of making same	Lebby; Michael S.	1994-08-09	10
310 US-5337397-A	Preview PDF	Optical coupling device and method for making	Lebby; Michael S. et al.	1994-08-09	7
311 US-5335300-A	Preview PDF	Method of manufacturing I/O node in an optical channel waveguide and apparatus for utilizing	Hartman; Davis H. et al.	1994-08-02	10
312 US-5325451-A	Preview PDF	Modular optical waveguide and method for making	Hartman; Davis et al.	1994-06-28	5
313 US-5324964-A	Preview PDF	Superluminescent surface light emitting device	Ackley; Donald E. et al.	1994-06-28	5
314 US-5323477-A	Preview PDF	Contact array imager with integral waveguide and electronics	Lebby; Michael S. et al.	1994-06-21	10
315 US-5313545-A	Preview PDF	Molded waveguide with a unitary cladding region and method of making	Kuo; Shun-Meen et al.	1994-05-17	11
316 US-5309537-A	Preview PDF	Optoelectronic coupling device and method of making	Chun; Christopher K. Y. et al.	1994-05-03	11
317 US-5286982-A	Preview PDF	High contrast ratio optical modulator	Ackley; Donald E. et al.	1994-02-15	7
318 US-5282071-A	Preview PDF	Contact areas on an optical waveguide and method of making	Hartman; Davis H. et al.	1994-01-25	8
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320 US-5276754-A	Preview PDF	Optoelectronic mount and method for making	Blair; Thomas H. et al.	1994-01-04	7
321 US-5271083-A	Preview PDF	Molded optical waveguide with contacts utilizing leadframes and method of making same	Lebby; Michael S. et al.	1993-12-14	10
322 US-5265184-A	Preview PDF	Molded waveguide and method for making same	Lebby; Michael S. et al.	1993-11-23	8
323 US-5249245-A	Preview PDF	Optoelectronic mount including flexible substrate and method for making same	Lebby; Michael S. et al.	1993-09-28	10
324 US-5230030-A	Preview PDF	Interface coupling electronic circuitry	Hartman; Davis H. et al.	1993-07-20	6

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326 US-5225816-A	Preview PDF	Electrical connector with display	Lebby; Michael S. et al.	1993-07-06	4
327 US-5218465-A	Preview PDF	Intelligent interconnects for broadband optical networking	Lebby; Michael S. et al.	1993-06-08	6
328 US-5172384-A	Preview PDF	Low threshold current laser	Goronkin; Herbert et al.	1992-12-15	14
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330 US-5125054-A	Preview PDF	Laminated polymer optical waveguide interface and method of making same	Ackley; Donald E. et al.	1992-06-23	6
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332 US-5067829-A	Preview PDF	Dynamic optical beam steering	Jaskie; James E. et al.	1991-11-26	7
333 US-5068007-A	Preview PDF	Etching of materials in a noncorrosive environment	Rogers; Stephen P. et al.	1991-11-26	6
334 US-5045908-A	Preview PDF	Vertically and laterally illuminated p-i-n photodiode	Lebby; Michael S.	1991-09-03	5
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33. Robertsson, M.E.; Hagel, O.J.; Gustafsson, G.; Dabek, A.; Popall, M.; Cergel, L.; Wennekens, P.; Kiely, P.; **Lebby, M.**; Lindahl, T., “O/e-MCM packaging with new, patternable dielectric and optical materials,” 1998 *Proceedings. 48th Electronic Components and Technology Conference*, pp. 1413-21, May 1998.
34. Popall, M.; Dabek, A.; Robertsson, M.E.; Gustafsson, G.; Hagel, O.-J.; Olsowski, B.; Buestrich, R.; Cergel, L.; **Lebby, M.**; Kiely, P.; Joly, J.; Lambert, D.; Schaub, M.; Reichl, H., “ORMOCERs™-new photo-patternable dielectric and optical materials for MCM-packaging,” 1998 *Proceedings. 48th Electronic Components and Technology Conference*, May 1998.
35. Claisse, P.R.; Jiang, W.; Kiely, P.A.; Roll, M.; Boughter, L.; Sanchez, P.; Cotney, D.; **Lebby, M.**; Webb, B.; Lawrence, B., “Automatic power control of a VCSEL using an

- angled lid TO56 package,” 1998 Proceedings. 48th Electronic Components and Technology Conference, May 1998.
36. **Lebby, M. S.**, “VCSEL Devices and Packaging for Fiber Optic Transceivers,” Integrated Photonics Research, paper MD1, March 30th, 1998.
 37. **Lebby, M.S.**; Claisse, P.; Jiang, W.; Kiley, P.; Roll, M.; Boughter, L.; Sanchez, P.; Lawrence, B., “VCSEL devices and packaging,” Proceedings of the SPIE - The International Society for Optical Engineering, vol. 3289, pp.2-12, Jan. 1998.
 38. Popall, M.; Dabek, A.; Robertsson, M.E.; Gustafsson, G.; Hagel, O.-J.; Olsowski, B.; Buestrich, R.; Cergel, L.; **Lebby, M.**; Kiely, P.; Joly, J.; Lambert, D.; Schaub, M.; Reichl, H., “ORMOCERs - new photo-patternable dielectric and optical materials for MCM-packaging,” Proceedings of the 1998 48th Electronic Components & Technology Conference, Jan. 1998.
 39. **Lebby, M.**, “Optoelectronic Devices and Packaging Technology,” 4th IEEE Workshop on AST Phoenix Research Center, IEEE, Jan 1998.
 40. Jiang, W.; Claisse, P.; Gaw, C.; Kiely, P.; Lawrence, B.; **Lebby, M.**; Roll, M., “Vertical cavity surface emitting laser packaging with auto power control,” 1997 Proceedings. 47th Electronic Components and Technology Conference, pp. 368-70, May 1997.
 41. Gaw, C.A.; Jiang, W.; **Lebby, M.**; Kiely, P.A.; Claisse, P.R., “Characteristics of VCSELs and VCSEL arrays for optical data links,” Proceedings of the SPIE - The International Society for Optical Engineering, vol. 3004, pp. 122-33, Feb. 1997.
 42. Raskin, G.; **Lebby, M.S.**; Carney, F.; Kazakia, M.; Schwartz, D.B.; Gaw, C.A., “Parallel interconnect for a novel system approach to short distance high information transfer data links,” Proceedings of the SPIE - The International Society for Optical Engineering, vol. 3038, pp. 165-74, Feb. 1997.
 43. Jiang, W.; Gaw, C.; Kiely, P.; Lawrence, B.; **Lebby, M.**; Claisse, P.R., “Effect of proton implantation on the degradation of GaAs/AlGaAs vertical cavity surface emitting lasers,” Electronics Letters, vol. 33, no.2, pp. 137-9, Jan. 1997.
 44. Jiang, W.; Gaw, C.; Kiely, P.; Lawrence, B.; **Lebby, M.**; Ramdani, J.; Claisse, P., “Relative intensity noise of 780 nm vertical cavity surface emitting lasers,” Proceedings of the 1997 Pacific Rim Conference on Lasers and Electro-Optics, CLEO/Pacific Rim, No. 46984, Jan. 1997.
 45. Schwartz, D.B.; Chun, C.K.Y.; Foley, B.M.; Hartman, D.H.; **Lebby, M.**; Lee, H.C.; Chan Long Shieh; Kuo, S.M.; Shook, S.G.; Webb, B., “A low-cost high-performance optical interconnect,” (1996), http://ieeexplore.ieee.org/xpl/freeabs_all.jsp?arnumber=533892.
 46. **Lebby, M.**; Gaw, C.A.; Jiang, W.; Kiely, P.A.; Claisse, P.R.; Grula, J., “Key challenges and results of VCSELs in data links,” Conference Proceedings. LEOS '96 9th Annual Meeting. IEEE Lasers and Electro-Optics Society 1996 Annual Meeting, pp. 167-8, vol. 2, Nov. 1996.
 47. Schwartz, D.B.; Carney, F.; Chun, C.K.Y.; Claisse, P.; Foley, B.M.; Gaw, C.; Hartman, D.H.; Kiely, P.; Knapp, J.; Wenbin Jiang; Shun Meen Kuo; **Lebby, M.**; Planer, S.; Norton, L.J.; Raskin, G.; Sauvageau, J.; Chan Long Shieh; Shook, S.G.; Tapp, S., “Low-cost high-performance optical interconnect,” CLEO '96. Summaries of Papers Presented

- at the Conference on Lasers and Electro-Optics., vol. 9. 1996 Technical Digest Series. Conference Edition, June 1996.
48. **Lebby, M.**; Gaw, C.A.; Jiang, W.; Kiely, P.A.; Chan Long Shieh; Claisse, P.R.; Ramdani, J.; Hartman, D.H.; Schwartz, D.B.; Grula, J., "Characteristics of VCSEL arrays for parallel optical interconnects," 1996 Proceedings. 46th Electronic Components and Technology Conference, pp. 279-91, May 1996.
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http://ieeexplore.ieee.org/xpl/freeabs_all.jsp?arnumber=514410.
 53. Kiely, P.A.; Taylor, G.W.; Isabelle, A.; **Lebby, M.S.**; Tell, B.; Brown-Goebeler, K.F.; Chang, T.-Y., "An n-channel BICFET in the InGaAs/InAlGaAs/InAlAs material system," IEEE Electron Device Letters, vol. 10, no. 7, pp. 304-6, July 1989.
 54. **Lebby, M.S.**; Kiely, P.A.; Taylor, G.W., "Reactive ion etching (RIE) and magnetron ion etching (MIE) combinations for opto-electronic integrated circuit (OEIC) processing," Proceedings of the SPIE - The International Society for Optical Engineering, vol. 1037, pp.88-96, Nov. 1988.
 55. Taylor, G.W.; Crawford, D.L.; Kiely, P.A.; Cooke, P.; Sargood, S.; Isabelle, A.; Chang, T.Y.; Tell, B.; **Lebby, M.S.**; Brown-Goebeler, K.; Simmons, J.G., "An inversion channel technology for opto-electronic integration," Proceedings of the SPIE - The International Society for Optical Engineering, vol. 994 , pp. 251-7, 1989.
 56. Taylor, G.W.; Kiely, P.A.; Isabelle, A.; Crawford, D.L.; **Lebby, M.S.**; Chang, T.-Y.; Tell, B.; Goebeler, K.B.; Simmons, J.G., "An n-channel BICFET in the GaAs/AlGaAs material system," IEEE Electron Device Letters, vol. 10, no. 2, pp. 88-90, Feb. 1989.
 57. G. W. Taylor, **M. S. Lebby**, T. Y. Chang, R. P. Gnall, N. Sauer, B. Tell, and J. G. Simmons, "Very High Transconductance HFET", *IEEE International Electron Devices Conference and Proceedings*, LA, Dec 1988.
 58. Crawford, D.L.; Taylor, G.W.; Kiely, P.A.; Cooke, P.; Isabelle, A.; Chang, T.Y.; Tell, B.; **Lebby, M.S.**; Brown-Goebeler, K.; Simmons, J.G., "An inversion channel technology for opto-electronic integration," Fourteenth European Conference on Optical Communication, No. 292, vol. 1, pp. 211-14, Sept. 1988.
 59. Taylor, G.W.; **Lebby, M.S.**; Kiely, P.A.; Cooke, P.; Isabelle, A.; Chang, T.Y.; Tell, B.; Crawford, D.L.; Brown-Goebeler, K.; Simmons, J.G., "Performance and advantages of

- BICFETs versus HBTs,” Proceedings of the 1988 Bipolar Circuits and Technology Meeting, pp. 41-5, Sept. 1988.
60. Taylor, G.W.; Crawford, D.L.; Kiely, P.A.; Sargood, S.K.; Cooke, P.; Isabelle, A.; Chang, T.Y.; Tell, B.; **Lebby, M.S.**; Brown-Goebeler, K.; Simmons, J.G., “GaAs/AlGaAs inversion channel devices for an integrated opto-electronic technology,” IEEE Transactions on Electron Devices, vol. 35, no. 12, pp. 2446, June 1988.
61. **Lebby, M.S.**; Taylor, G.W.; Isabelle, A.; Tell, B.; Brown-Goebeler, K.; Chang, T.-Y.; Simmons, J.G., “A p-channel BICFET in the InGaAs/InAlAs material system,” IEEE Electron Device Letters, vol. 9, no. 6, pp. 278-80, June 1988.
62. Taylor, G.W.; **Lebby, M.S.**; Isabelle, A.; Tell, B.; Brown-Goebeler, K.; Chang, T.-Y.; Simmons, J.G., “Demonstration of a p-channel GaAs/AlGaAs BICFET,” IEEE Electron Device Letters, vol. 9, no.2, pp.84-6, Feb. 1988.
63. Taylor, Geoffrey; **Lebby, M S**; Isabelle, A; Tell, B; Brown-Goebeler, K., “Demonstration of a p-channel GaAs/AlGaAs BICFET (Bipolar Inversion- Channel),” IEEE Electron Device Letters, vol. 9, pp. 84-86, Feb. 1988.
64. **Lebby, Michael Stephen**, “Fabrication And Characterisation Of The Heterojunction Field Effect Transistor (Hfet) And The Bipolar Inversion Channel Field Effect Transistor (Bicfet): Characterisation Of Hfets And Bicfets, Fabricated From Gallium-Arsenide/Aluminum-Gallium - Arsenide With Magnetron Ion Etching, Sputtered Tungsten Gates, Ion Implanted Source/Drain Contacts And Rapid Thermal Anneals,” University Of Bradford, UK, 1987.
65. Taylor, G.W.; **Lebby, M.S.**; Chang, T.Y.; Gnall, R.N.; Sauer, N.; Tell, B.; Simmons, J.G., “GaAs/AlGaAs inversion channel devices for an integrated opto-electronic technology,” Electronics Letters, vol. 23, no. 2, pp. 77-9, Jan. 1987.
66. Taylor, G.W.; **Lebby, M.S.**; Chang, T.Y.; Gnall, R.N.; Sauer, N.; Tell, B.; Tennant, D.M.; Simmons, J.G., “A new heterostructure FET,” International Electron Devices Meeting 1986. Technical Digest, pp. 817-21, Dec. 1986.

PUBLIC MEDIA (Books, Chapters, Reports, Abstracts, Videos, Lectures, Speeches, Reviews, Courses, Talks, Invited Talks, Keynote Talks, Plenary Talks, Webinars, Interviews, Articles, etc.)

1986

- 1) Paper: A new heterostructure FET
 - a. Dec 1986
 - b. "Electron Devices Meeting, 1986 International Volume: 32 Digital Object Identifier: 10.1109/IEDM.1986.191323 Publication Year: 1986, Page(s): 817-821"

1987

- 2) Thesis: Fabrication and characterization of the heterojunction field effect transistor and the bipolar inversion channel field effect transistor
 - a. 1987
 - b. Characterization of HFETs and BICFETs fabricated from GaAs/AlGaAs with magnetron ion etching, sputtered tungsten gates, ion implanted source/drain contacts and rapid thermal anneals.
 - c. University of Bradford, UK 1987
 - d. <http://www.edt.brad.ac.uk/home/>
- 3) <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=1486581> Paper: Very high-transconductance heterojunction field-effect transistor (HFET)
 - a. Jan 1987
 - b. "A new form of FET has been demonstrated in the GaAs/AlGaAs material system. Designated the HFET, it has shown a transconductance of 500mS/mm at 300 K for a nominal $L_g = 2/\mu_n$ and a drain current of 430mA/mm. The conduction occurs in an inversion channel at the heterointerface"
 - c. "Electronics Letters Volume: 23, Issue: 2 Digital Object Identifier: 10.1049/el:19870056 Publication Year: 1987 , Page(s): 77 - 79"
 - d. <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=4257306>
- 4) Talk and abstract: Magnetron Ion Etching of self aligned HFETs
 - a. May 1987
 - b. Electrochemical Society (ECS) Conference proceedings SOTAPOCS VI, Compound Semiconductors, Philadelphia
 - c. http://www.electrochem.org/meetings/biannual/past_meetings_list.htm

1988

- 5) Paper: Demonstration of a p-channel GaAs/AlGaAs BICFET
 - a. Feb 1988
 - b. "Electron Device Letters, IEEE Volume: 9, Issue: 2 Digital Object Identifier: 10.1109/55.2048 Publication Year: 1988 , Page(s): 84 - 86"
 - c. <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=2048>
- 6) Paper: GaAs/AlGaAs inversion channel devices for an integrated opto-electronic technology
 - a. Jun 1988

- b. "Electron Devices, IEEE Transactions on Volume: 35, Issue: 12 Digital Object Identifier: 10.1109/16.8871 Publication Year: 1988"
 - c. <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=8871>
- 7) Paper: A p-channel BICFET in the InGaAs/InAlAs material system
 - a. Jun 1988
 - b. "Electron Device Letters, IEEE Volume: 9, Issue: 6 Digital Object Identifier: 10.1109/55.716 Publication Year: 1988 , Page(s): 278 - 280"
 - c. <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=716&tag=1>
- 8) Paper: An inversion channel technology for opto-electronic integration
 - a. Sep 1988
 - b. "Optical Communication, 1988. (ECOC 88). Fourteenth European Conference on (Conf. Publ. No.292), Publication Year: 1988, Page(s): 211 - 214 vol.1"
- 9) <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=93560> Paper: Performance and advantages of BICFETs versus HBTs
 - a. Sep 1988
 - b. "Bipolar Circuits and Technology Meeting, 1988., Proceedings of the 1988 Digital Object Identifier: 10.1109/BIPOL.1988.51041 Publication Year: 1988 , Page(s): 41- 45"
 - c. <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=51041>
- 10) Talk and abstract: RIE and MIE comparison for optoelectronic integrated circuit processing
 - a. Nov 1988
 - b. IEEE LEOS Conference, Santa Clara, California
- 11) Paper: Reactive ion etching (RIE) and magnetron ion etching (MIE) combinations for opto-electronic integrated circuit (OEIC) processing
 - a. Nov 1988
 - b. Proc. SPIE Vol. 1037, p. 88, Monitoring and Control of Plasma-Enhanced Processing of Semiconductors, James E. Griffiths; Ed.
 - c. http://spie.org/x648.html?product_id=951018

1989

- 1) Paper: An n-channel BICFET in the InGaAs/InAlGaAs/InAlAs material system
 - a. 1989
 - b. "Electron Device Letters, IEEE Volume: 10 , Issue: 7 Digital Object Identifier: 10.1109/55.29660 Publication Year: 1989 , Page(s): 304 - 306"
 - c. <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=29660&tag=1>
- 2) Paper: An n-channel BICFET in the GaAs/AlGaAs material system
 - a. Feb 1989

- b. "Electron Device Letters, IEEE Volume: 10, Issue: 2 Digital Object Identifier: 10.1109/55.32438 Publication Year: 1989 , Page(s): 88 - 90"
- c. <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=32438&tag=1>
- 3) Paper: An Inversion Channel Technology For Opto-Electronic Integration
 - a. 8th Feb 1989
 - b. A new approach to optoelectronic integration is reported which combines electronic and optical devices fabricated with a common sequence and a single MBE wafer growth. The devices have in common, an inversion layer structure produced by charge sheet doping.
 - c. Proceedings Vol. 0994 Optoelectronic Materials, Devices, Packaging, and Interconnects II, Glen M. McWright; Henry J. Wojtunik, Editors, pp.251-510 Date: 9 February 1989 ISBN: 9780819400291
 - d. http://spie.org/x648.html?product_id=960136

1991

- 4) Invited talk and abstract: Use of VCSELs for the MONSOON parallel digital computer
 - a. Jan 1991
 - b. International workshop on architectures for free-space digital computing, Colorado.
- 5) Invited Paper and Presentation: Approaching the problem of high-density interconnects in the 'Monsoon' data-flow parallel processor with optics"
 - a. 28th Jan1991
 - b. AFOSR, ONR, DARPA: A compendium of position papers from the workshop on architectures for free space digital optical computing
 - c. http://www.researchgate.net/publication/235184814_A_Compendium_of_Position_Papers_from_the_Workshop_on_Architectures_for_Free_Space_Digital_Optical_Computing_Held_in_Vail_Colorado_on_28-30_January_1991

1993

- 6) Invited talk and abstract: Optoelectronic Devices and Applications
 - a. 1993
 - b. IEEE workshop on optical interconnects
- 7) Invited talk and abstract: VCSEL Technology and Progress

- a. 1993
- b. IEEE Waves and Devices, Phoenix Section, Tempe, Arizona, Arizona State University

1994

- 8) Invited talk and abstract: Ridge waveguide VCSELs: improvement over planar VCSELs
 - a. May 1994
 - b. USA Laser workshop, CLEO, Baltimore, MD
- 9) Invited talk and abstract: Packaging VCSELs with GUIDECAST(tm) an overmolded waveguide packaging platform
 - a. Aug 1994
 - b. IEEE Tropical workshop on optoelectronic packaging, Breckenridge, Colorado.

1995

- 10) Paper: Parallel optical interconnects using VCSELs
 - a. 1995
 - b. "High Speed Semiconductor Devices and Circuits, 1995. Proceedings., IEEE/Cornell Conference on Advanced Concepts in Digital Object Identifier: 10.1109/CORNEL.1995.482526 Publication Year: 1995 , Page(s): 348 - 356"
 - c. <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=482526>
- 11) Book: Research in VCSEL devices - Annual technical review 1995
 - a. 1995
 - b. Annual collection of optoelectronic device and reliability department papers at the photonics technology center, Motorola, Tempe, USA.
 - c. Key focus was the commercialization of VCSEL technology, especially at 850nm for the Optobus™ parallel array product and other associated VCSEL prototypes.
 - d. Motorola
- 12) Invited talk and abstract: Ridge waveguide VCSEL device performance
 - a. May 1995
 - b. USA Laser workshop, CLEO, Anaheim, California
- 13) Invited talk and abstract: VCSEL Arrays and GUIDECAST(tm) packaging technology as used in OPTOBUS(tm)
 - a. Sep 1995

- b. European Laser Workshop, Eindhoven, Netherlands

1996

- 14) Book: Research in optoelectronic devices volume II
 - a. 1996
 - b. Annual collection of optoelectronic device and reliability department papers at the photonics technology center, Motorola, Tempe, USA. Key focus was the commercialization of VCSEL technology, especially at 850nm for the optobus parallel array product and other associated VCSEL prototypes. Demonstration of 660nm VCSELs discussed.
 - c. Motorola
- 15) Paper: Low-cost high-performance optical interconnect
 - a. 1996
 - b. Proceedings, Conference on Lasers and Electro-Optics, (CLEO), vol 9, 1996
 - c. <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=864750>
- 16) Paper: Key challenges and results of VCSELs in data links
 - a. 1996
 - b. "Lasers and Electro-Optics Society Annual Meeting, 1996. LEOS 96., IEEE Volume: 2 Digital Object Identifier: 10.1109/LEOS.1996.571604 Publication Year: 1996 , Page(s): 167 - 168 vol.2"
 - c. <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=571604>
- 17) Paper: A low-cost high-performance optical interconnect
 - a. 1996
 - b. "Components, Packaging, and Manufacturing Technology, Part B: Advanced Packaging, IEEE Transactions on Volume: 19 , Issue: 3 Digital Object Identifier: 10.1109/96.533892 Publication Year: 1996 , Page(s): 532- 539"
 - c. <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=533892>
- 18) Paper: Characteristics of VCSEL arrays for parallel optical interconnects
 - a. 1996
 - b. "Electronic Components and Technology Conference, 1996. Proceedings., 46th Digital Object Identifier: 10.1109/ECTC.1996.517404 Publication Year: 1996 , Page(s): 279 - 291"
 - c. <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=517404>
- 19) Paper: Use of VCSEL arrays for parallel optical interconnects
 - a. 10th Apr 1996
 - b. Proc. SPIE 2683, Fabrication, Testing, and Reliability of Semiconductor Lasers, 81 (April 10, 1996); doi:10.1117/12.237679
 - c. <http://proceedings.spiedigitallibrary.org/proceeding.aspx?articleid=1015866>

- 20) Paper: A low-cost high-performance optical interconnect
- a. Aug 1996
 - b. The Optobus™ link is a ten channel parallel bidirectional data link based on multimode fiber ribbons. The guiding principal of the Optobus™ design was to produce an optical interconnect capable of competing with copper on price, not just performance. Recognizing that packaging dominates the cost of optical interconnects, the design focused on minimizing the complexity of the optical subassembly. A key component of this strategy is the use of AlGaAs/GaAs vertical cavity surface emitting lasers (VCSELs). Another is designing the silicon receiver and transmitter arrays around the module level packaging parasitics. The resulting parallel transceiver modules achieve a minimum throughput of 1.5 Gb/s in each direction with a power dissipation of 1.6 W
 - c. Components, Packaging, and Manufacturing Technology, Part B: Advanced Packaging, IEEE Transactions on Volume: 19 , Issue: 3 Page(s): 532- 539
 - d. <http://ieeexplore.ieee.org/xpl/articleDetails.jsp?arnumber=533892>
- 21) Invited talk and abstract: Ridge Waveguide and Planar VCSEL Device Reliability
- a. Sep 1996
 - b. European Laser Workshop, Oslo, Norway
- 22) Invited talk and abstract: Vertical cavity surface emitting lasers for communication applications
- a. Oct 1996
 - b. Optical Society of America (OSA) Annual meetings, proceedings, paper WR1, Rochester, NY
- 23) Paper: Characteristics of VCSELs and VCSEL arrays for Optical Data Links
- a. 10th Dec 1996
 - b. Motorola: Technical Enrichment Matrix Conference, Motorola SPS- SABA, Hilton Pavilion, Mesa, Az

1997

- 24) Paper: Effect of proton implantation on the degradation of GaAs/AlGaAs vertical cavity surface emitting lasers
- a. 1997
 - b. Electronics Letters, ISSN 0013-5194, 1997, Volume 33, Issue 2, p. 137
 - c. <http://ieeexplore.ieee.org/xpl/articleDetails.jsp?arnumber=579434>
- 25) Paper (invited): Laser safety issues with VCSEL arrays in OPTOBUS(tm)
- a. 1997
 - b. Optical Society of America (OSA); Annual Meeting, Micro-optics session

- 26) Paper: Relative intensity noise of 780nm vertical cavity surface emitting lasers Jan 1997
- Proceedings of the 1997 Pacific Rim Conference on Lasers and Electro-Optics, CLEO/Pacific Rim, No 46984, Jan 1997
 - <http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=610586>
- 27) Paper: Characteristics of VCSELs and VCSEL arrays for optical data links
- Feb 1997
 - Proc. SPIE 3004, Fabrication, Testing, and Reliability of Semiconductor Lasers II, 122 (May 1, 1997); doi:10.1117/12.273825
 - <http://proceedings.spiedigitallibrary.org/proceeding.aspx?articleid=918720>
- 28) Paper: Parallel interconnect for a novel system approach to short distance high information transfer data links
- April 1997
 - Proceedings of SPIE, ISSN 0277-786X, 04/1997, Volume 3038, Issue 1
 - <http://www.deepdyve.com/lp/spie/parallel-interconnect-for-a-novel-system-approach-to-short-distance-RFreDaEJjb>
- 29) Paper: Vertical cavity surface emitting laser packaging with auto power control
- May 1997
 - Proceedings, 47th Electronic Components and Technology Conference, pp. 368-370, May 1997
 - http://www.researchgate.net/publication/3700010_Vertically_cavity_surface_emitting_laser_packaging_with_auto_powercontrol
- 30) Course (peer reviewed): "Optoelectronic devices and packaging"
- May 1997
 - IEEE ECTC
 - <http://www.ectc.net>
- 31) Paper: Vertical cavity surface emitting laser packaging with auto power control
- 18th May 1997
 - Electronic Components and Technology Conference, 1997. Proceedings., 47th
 - [http://ieeexplore.ieee.org/xpl/articleDetails.jsp?tp=&arnumber=606195&contentType=Conference+Publications&sortType%3Dasc_p_Sequence%26filter%3DAND\(p_IS_Number%3A13278\)](http://ieeexplore.ieee.org/xpl/articleDetails.jsp?tp=&arnumber=606195&contentType=Conference+Publications&sortType%3Dasc_p_Sequence%26filter%3DAND(p_IS_Number%3A13278))
- 32) Invited Talk and Abstract: VCSEL/detector technology for parallel optical interconnects
- 17th Jun 1997
 - International Workshop for new technologies, interconnects, and communications in distributed and parallel systems by IRIT, ONERA, CERT, CNRS, and LAAS (France)
- 33) Invited talk and abstract: Vertical cavity surface emitting lasers and applications
- Sep 1997
 - European Laser Workshop, Glasgow, Scotland

1998

- 34) Course and Tutorial: Optoelectronic Devices and Packaging: VCSEL Technology
- Jan 1998
 - "Optoelectronic devices and packaging have found increasing use in many new products over the last 20 Yrs. This tutorial primarily will focus on semiconductor laser based technology with particular emphasis on emerging new technologies such as the Vertical Cavity Surface Emitting Laser (VCSEL) and how to take advantage of its uniqueness in packaging. The tutorial will look at the driving factors required for low cost high volume VCSEL based packages and what impact they will have in tomorrow's products. As there are many packaging solutions for VCSEL based technology proposed, the course will show the relative merits of popular new technologies with respect to high volume and low cost manufacturing.
 - 4th IEEE Workshop on AST Phoenix Research Center
 - <http://www.ewh.ieee.org/soc/cpmt/ast98/lebbby-01.ppt>
- 35) Paper: ORMOCERs - new photo-patternable dielectric and optical materials for MCM-packaging
- Jan 1998
 - Hybrid inorganic-organic polymers (ORMOCERs*) have been developed and tested in an ongoing EU-program (BE 7250 DONDOMCM) for evaluation in MCM applications. The photopatternable materials with negative resist behavior are composed of inorganic oxidic structures cross-linked or substituted by organic groups. They are prepared from organosilane precursors by sol-gel-processing in combination with organic crosslinking of polymerizable organic functions. As a result of these functionalities the properties of the ORMOCERs can be adjusted to particular applications. Systematic variation of composition combined with adaptation to micro system technology allows great flexibility in processing. Some features of these materials are: Postbaking at moderate temperatures (120 °C - 180 °C) enables processing on substrates such as FR-4 and BT. Combined use as dielectric and passivation layers in electrical systems and devices as well as core and cladding for optical applications enables e/o applications with high integration levels. Easily adaptable to thin film technology: spin-on with planarization >90% and via diameters down to 20 µm have been achieved
 - Proceedings of the 1998 48th Electronic Components and Technology Conference
 - <http://ieeexplore.ieee.org/xpl/articleDetails.jsp?reload=true&arnumber=678838&contentType=Conference+Publications>
- 36) Paper and Invited talk: VCSEL Devices and Packaging for Fiber Optic Transceivers
- 30th Mar 1998
 - OSA Technical Digest: Integrated Photonics Research (IPR) 1998 paper: IWD1

- c. <http://www.opticsinfobase.org/abstract.cfm?URI=IPR-1998-IWD1>
- 37) Paper: Automatic power control of a VCSEL using an angled lid TO56 package
 - a. May 1998
 - b. 1998 Proceedings. 48th Electronic Components and Technology Conference
 - c. <http://ieeexplore.ieee.org/xpl/login.jsp?tp=&arnumber=678694&url=http%3A%2F%2Fieeexplore.ieee.org%2Fiel4%2F5582%2F14953%2F00678694.pdf%3Farnumber%3D678694>
- 38) Paper: O/e-MCM packaging with new, patternable dielectric and optical materials
 - a. May 1998
 - b. Electronic Components & Technology Conference, 1998. 48th IEEE
 - c. <http://ieeexplore.ieee.org/xpl/login.jsp?tp=&arnumber=678929&url=http%3A%2F%2Fieeexplore.ieee.org%2Fiel4%2F5582%2F14953%2F00678929.pdf%3Farnumber%3D678929>
- 39) Paper: ORMOCERs(TM)-new photo-patternable dielectric and optical materials for MCM-packaging
 - a. May 1998
 - b. Hybrid inorganic-organic polymers (ORMOCERs*) have been developed and tested in an ongoing EU-program (BE 7250 DONDOMCM) for evaluation in MCM applications. The photo-patternable materials with negative resist behavior are composed of inorganic oxidic structures cross-linked or substituted by organic groups. They are prepared from organosilane precursors by sol-gel-processing in combination with organic crosslinking of polymerizable organic functions. As a result of these functionalities the properties of the ORMOCERs can be adjusted to particular applications. Systematic variation of composition combined with adaptation to micro system technology allows great flexibility in processing. Some features of these materials are: Post baking at moderate temperatures (120 °C - 180 °C) enables processing on substrates such as FR-4 and BT. Combined use as dielectric and passivation layers in electrical systems and devices as well as core and cladding for optical applications enables e/o applications with high integration levels. Easily adaptable to thin film technology: spin-on with planarization >90% and via diameters down to 20 µm have been achieved
 - c. 1998 Proceedings. 48th Electronic Components and Technology Conference
 - d. <http://ieeexplore.ieee.org/xpl/articleDetails.jsp?reload=true&arnumber=678838&contentType=Conference+Publications>
- 40) Course (peer reviewed): "Optoelectronic devices and packaging: VCSEL technology"
 - a. May 1998
 - b. IEEE ECTC
 - c. <http://www.ectc.net>
- 41) Co-Chairman Optoelectronics session, 48th ECTC Conference
 - a. 25th May 1998
 - b. Co-chairman Optoelectronics session, 48th ECTC Conference Seattle, Washington

- c. ECTC, IEEE-CPMT, EIA/ECA
- d. <http://www.ectc.net>
- 42) Invited Presentation and Abstract: VCSEL Technology - VCSEL technology is discussed with the work between AMP and MODE (division of EMCORE) fiber optics.
 - a. Sep 1998
 - b. ECOC European Laser Workshop (in association with ECOC 1998, Madrid, Spain)
 - c. https://openlibrary.org/books/OL22322573M/ECOC_'98_24th_European_Conference_on_Optical_Communication
 - d.
- 43) Paper: Optical bistability in vertical cavity surface emitting lasers with a simple intra-cavity epitaxial absorber layer
 - a. 4th Oct 1998
 - b. Conference Digest: Semiconductor Laser Conference, 1998. ISLC 1998 NARA. 1998 IEEE 16th International
- 44) Talk: Optical bistability in vertical cavity surface emitting lasers with a simple intra-cavity epitaxial absorber layer
 - a. 4th Oct 1998
 - b. Conference Digest: Semiconductor Laser Conference, 1998. ISLC 1998 NARA. 1998 IEEE 16th International
 - c.
- 45) Paper: Vertical cavity surface emitting laser-based parallel optical data link
 - a. Dec 1998
 - b. Optical Engineering, vol 37, no. 12, pp.3113-18, Dec. 1998.
 - c. http://spie.org/x648.html?product_id=318648
- 46) Paper: VCSEL devices and packaging
 - a. Dec 1998
 - b. Proceedings of SPIE, ISSN 0277-786X, 05/2003, Volume 3289, Issue 1
 - c. <http://proceedings.spiedigitallibrary.org/proceeding.aspx?articleid=935769>

1999

- 47) Paper and Interviewed Article: Commercial VCSEL Manufacturing
 - a. Apr 1999
 - b. Compound Semiconductor
- 48) Course (peer reviewed): "Optoelectronic devices and packaging: VCSEL and edge emitter technology"
 - a. May 1999
 - b. IEEE Electronics and components technology conference; San Diego, California

- c. <http://www.ectc.net>

2000

- 49) Invited Panel Session: Opto Electronic Integrated Circuits - More IC than OE?
 - a. 5th Mar 2000
 - b. "The panel session focused on optical integration for communications. Other panel members included Brendan Hyland CEO of Kymata amongst others.
 - c. OSA Executive Forum: Photonics and Telecommunications: the tidal wave of the new millennium
 - d. <http://www.laserfocusworld.com/articles/2000/02/osa-executive-forum-at-ofc-2000.html>
- 50) Course: Optoelectronics Components and Modules for Datacom and Telecom
 - a. May 2000
 - b. Optoelectronics components and modules for the Telecommunication industry and Datacom Networks are rapidly advancing in terms of speed, lower cost and design. The main objectives of this course are to review the current and future trends for active devices and packaging technology for discrete components and data network modules. The course will cover in detail the fundamental active III-V devices and components used today and review current trends in both III-V device technology and packaging for telecom and datacom applications.
 - c. Proceedings 50th Electronics Components and Technology Conference May 2000
 - d. <http://www.thierry-lequeu.fr/data/Ectc/ECTC2000/ECTC2000.pdf>
- 51) Invited Presentation and Abstract: An investor's perspective on optical interconnects
 - a. 24th May 2000
 - b. The talk discusses the investor's perspective on optical interconnects from Intel Capital.
 - c. IEEE: Workshop on interconnections within high-speed digital systems
 - d. <http://www.oj-ieee.org/>
- 52) Invited Presentation: Optoelectronic Integration for Metro DWDM: Intel's perspective...
 - a. 3rd Sep 2000
 - b. As part of the ECOC conference in Munich Germany, this workshop invited 9 speakers to discuss the future of optical networking in metropolitan environments.
 - c. Electronicast Europe
 - d. <http://www.electronicastconsultants.com>
- 53) Keynote Presentation: Optoelectronics Integration for Metro DWDM, Intel's Perspective
 - a. 9th Oct 2000
 - b. Electronicast Corporations: Optical communications start-up and emerging companies conference

- c. <http://www.electronicastconsultants.com>
- 54) Panel Session: Semiconductors to Optics
 - a. 6th Nov 2000
 - b. Representing Intel at the conference, the panel explored the reasons why Intel was investing into photonics, optics, and fiber optic communications. Panel moderator was Drew Lanza, VC Morgenthaler.
 - c. JP Morgan communications components conference: the convergence of optical components and communications ICs
 - d. <http://www.thefreelibrary.com/Endwave+Founder+and+EVP+To+Speak+at+JP+Morgan+Communications...-a067529552>

2001

- 55) Paper: Optical components and their role in optical networks
 - a. 2001
 - b. Electronic Components and Technology Conference, 2001. Proceedings., 51st
 - c. http://ieeexplore.ieee.org/xpl/login.jsp?tp=&arnumber=927765&url=http%3A%2F%2Fieeexplore.ieee.org%2Fxppls%2Fabs_all.jsp%3Farnumber%3D927765
- 56) Invited Presentation: "Starting a company and hitting the ground running"
 - a. 7th Feb 2001
 - b. Storm Ventures limited partner meeting
 - c. <http://www.stormventures.com>
- 57) Keynote Talk: Industry 'Optomism'
 - a. 30th Apr 2001
 - b. Electronicast; Fiber Optics for the next decade conference; 13th Annual Monterey conference <http://www.electronicastconsultants.com>
- 58) Keynote Talk: Next decade in fiber optic communications
 - a. 1st May 2001
 - b. Electronicast: 13th Annual Fiber Optics for the Next Decade Conference
 - c. <http://www.photonics.com/Article.aspx?AID=8916>
- 59) Panel session: Next decade in fiber optic communications
 - a. 2nd May 2001
 - b. Electroicast: 13th Annual Fiber Optics for the Next Decade Conference
 - c. <http://www.photonics.com/Article.aspx?AID=8916>
- 60) Paper: OEICs: commercialization at last
 - a. Jul 2001
 - b. Compound Semiconductor, vol. 7. no. 6, pp. 81-2, 85, July 2001
 - c. <http://www.compoundsemiconductor.net/csc/features-details.php?cat=features&id=11719>

2002

- 61) Book chapter: "Optical sources: Light-emitting diodes and laser technology"
- Published 2002
 - "The Handbook includes chapters on all the major industry standards, quick reference tables, helpful appendices, plus a new glossary and list of acronyms. This practical handbook can stand alone or as a companion volume to DeCusatis: Fiber Optic Data Communication: Technological Advances and Trends (February 2002, ISBN: 0-12-207892-6), which was developed in tandem with this book.
 - Academic Press (1998, 2002); ISBN: 0-12-207892-6; Handbook of Fiber Optic Data Communication By Casimer DeCusatis
 - http://books.google.com/books?id=RIb6lKknI8IC&printsec=frontcover&source=gbs_ge_summary_r&cad=0#v=onepage&q&f=false
- 62) Book chapter: "Semiconductor laser and light emitting diode fabrication"
- Published 2002
 - "The Handbook includes chapters on all the major industry standards, quick reference tables, helpful appendices, plus a new glossary and list of acronyms. This practical handbook can stand alone or as a companion volume to DeCusatis: Fiber Optic Data Communication: Technological Advances and Trends (February 2002, ISBN: 0-12-207892-6), which was developed in tandem with this book.
 - Academic Press: Editor DeCusatis: Fiber Optic Data Communication: Technological Advances and Trends (February 2002, ISBN: 0-12-207892-6)
 - <http://books.google.com/books?id=RIb6lKknI8IC&lpg=PP1&pg=PP1#v=onepage&q&f=false>
- 63) Keynote speech: "Business and Technical Perspectives for Optical Networking"
- 14th Jul 2002
 - PhoPack 2002 Stanford University
 - http://www.accessmylibrary.com/coms2/summary_0286-25792277_ITMhttp://books.google.com/books/about/LEOS_88.html?id=LUS0cQAACAAJ
- 64) Interview Optcon (Japan), "Ignis Optics: Small is beautiful"
- 15th Aug 2002
 - Overview of Ignis Optics strategy for fiber optic transceivers with innovative optoelectronic devices and packaging (in Japanese).
 - Optcon volume 8, no 161, pp50-51
 - <http://magissuelist.nichigai.co.jp/detail?id=000000098732>
- 65) Panel Session: An adventure into venture capital - lessons from silicon valley
- 12th Sep 2002

- b. Evening forum discussion chaired by Professor Richard Harrison, Chair, with panel members Pitch Johnson (VC), Brendan Hyland (Nutrior Ventures), Jane Karwoski (All-hotels Ltd), and Colin Rutherford (Intelli Partners). Sponsored by Scottish Development International, University of Edinburgh, British Council
- 66) Invited Paper: Elegant cost-effective optical subsystems for next generation optical networks
 - a. 13th Nov 2002
 - b. Electronicast: 4th Annual OADM and advanced photonics communication networks conference - market and technology trends, San Mateo, California
 - c. <http://www.electronicastconsultants.com/>

2004

- 67) Invited Paper (extended abstract) and Presentation: Automated commercial fabrication of wideband tunable lasers using ultra-high resolution e-beam lithography
 - a. 27th Oct 2004
 - b. IoP/CS-MAX extended abstract at the Compound Semiconductor Manufacturing Expo, Monterey, California, pp92-94 in the technical digest.
 - c. <http://www.compoundsemiconductor.net>

2005

- 68) Book: Optoelectronic Markets 2005
 - a. Jun 2005
 - b. Comprehensive market report and forecast of current optoelectronics markets, needs, technologies, and applications. This report forecasts the current state and direction of the optoelectronics industry.
 - c. OIDA
 - d. <http://www.oida.org/home/publications/oida-publication-report-library/>
- 69) Cover story/Interview: Does a foundry model add up for InP optoelectronics
 - a. Jul 2005
 - b. Interview with Michael Hatcher from Compound Semiconductor magazine. Volume 11, Number 7, August 2005 pp 17-19
 - c. Compound Semiconductor: Three-fives and silicon heterostructures
 - d. <http://www.compoundsemiconductor.net>

- 70) Short Article: President's Letter to OIDA Membership
 - a. Nov 2005
 - b. OIDA Newsletter, quarterly from 2005-2009
 - c. http://www.wsr-ods.com/files/oidanews_0408.pdf
- 71) Invited talk: Worldwide Optoelectronic Trends and Economic Opportunities.
 - a. 18th Nov 2005
 - b. UNCC 4th Annual symposium: UNC Charlotte Center for Optoelectronics and Optical Communications
 - c. <http://opticscenter.uncc.edu/node/275>

2006

- 72) Workshop: 100 Gb Ethernet: The Next Challenge for Communications Systems
 - a. 1st Oct 2006
 - b. Workshop chairman and editor of the report: Abstract: The communications market is continuously evolving to provide better and cheaper services. These changes have led to the movement away from the traditional voice-switched networks to more data-centric packet networks. This report seeks to examine the next generation of Ethernet devices as we strain the technology limits at 100 Gb/s? How will datacom and telecom networks differ in their approaches? OIDA [http://www.oida.org/home/publications/oida_publication_report_library/100_gb_ethernet_the_next_challenge_for_communi_\(1\)/](http://www.oida.org/home/publications/oida_publication_report_library/100_gb_ethernet_the_next_challenge_for_communi_(1)/)
 - c.
- 73) Workshop: The Challenge for Indium Phosphide Photonic Development and the Optical Foundry
 - a. 2006
 - b. "Workshop chairman and editor of the report.
 - c. Abstract: Indium Phosphide, InP, is a semiconductor material with properties that make it particularly suitable for optoelectronic devices. Optical communications requirements drove its initial development. Recently, the applications for InP semiconductor devices have broadened to include high-speed electronics and optical sensors. This report examines the current state of the InP device market and its future direction. Addresses challenges for a pure foundry play and the role for InP devices. Seeks to find the best ways to address the needs for InP device development in the U.S."
 - d. OIDA
 - e. http://www.oida.org/home/publications/oida_publication_report_library/the_challenge_for_indium_phosphide_photonic_develo/

- 74) Workshop: Nitride LED and Laser Technology and Its Impact on the Display, Medical, and Storage Industries
- 2006
 - Workshop chairman and editor of the report:
 - Abstract: Since the development of p-type material in 1989, research in nitride emitter technology has developed rapidly over the last 15 years. This material system provided a new range of color applications that could be better utilized and with far better reliability than the II-VI material system. This report examines material systems and developments for short wavelength (green-ultraviolet) lasers and LEDs with techniques such as color-mixing and phosphor conversion.
 - OIDA
 - http://www.oida.org/home/publications/oida_publication_report_library/nitride_led_and_laser_technology_and_its_impact_on/
 -
- 75) Invited Article: A New Model for the Optoelectronic Indium-based Semiconductor Industry
- May 2006
 - OSA: Optics and Photonics News
 - <http://www.osa-opn.org/Content/ViewFile.aspx?id=10456>
- 76) Book: Worldwide Optoelectronics Markets and Trends 2006
- Jun 2006
 - Comprehensive market report and forecast of current optoelectronics markets, needs, technologies, and applications. This report forecasts the current state and direction of the optoelectronics industry.
 - OIDA, 431 pages
 - http://www.oida.org/home/publications/oida_publication_report_library/2006_global_optoelectronics_industry_market_report/
- 77) Workshop: High Power Diode Laser Sources and Their Impact on Industrial, Medical, and Military Laser Industries
- 1st Jul 2006
 - Workshop chairman and editor of the report:
 - Abstract: High power semiconductor laser diodes are used in several different market segments today. The technology continues to advance and improvements in efficiency and power are reported each year. Semiconductor laser diodes are used either directly or indirectly to enable new applications or as a replacement for older technology approaches. This report examines practical implementations and limitations for lasers in the 100 W to 100 kW range and roadmaps and developments anticipated over the next five years.
 - OIDA
 - http://www.oida.org/home/publications/oida_publication_report_library/high_power_diode_laser_sources_and_their_impact_on/
- 78) Workshop: Biophotonic Sensors and Smart Fiber Optic Sensor Networks
- 1st Aug 2006

- b. Workshop chairman and editor of the report:
 - c. Abstract: This roadmap report examines biophotonic sensor opportunities from many perspectives including; government, industrial, university and venture capital. The markets, technologies, commercialization, and roadmap trends are presented in addition to promising areas for R & D.
 - d. OIDA
 - e. http://www.oida.org/home/publications/oida_publication_report_library/biophotonic_sensors_and_smart_fiber_optic_sensor_n/
- 79) Workshop: Wide Area Surveillance
- a. 1st Oct 2006
 - b. Workshop chairman and editor of the report:
 - c. Abstract: This report examines wide area surveillance of pipelines and other strategic infrastructure as it is rapidly becoming an important issue on a worldwide basis. The increasing need for surveillance for both homeland security as well as defense applications should make this commercially attractive to both the defense as well as to commercial industry. (Report is 62 pages followed by hard copies of presentations from the forum.)
 - d. OIDA
 - e. http://www.oida.org/home/publications/oida_publication_report_library/wide_area_surveillance/
- 80) Invited Talk: OIDA optoelectronics markets, technology and opportunities for optofluidics
- a. 20th Oct 2006
 - b. Organized by the DARPA center for optofluidics: translational research and commercialization workshop.
 - c. DARPA Optofluidics industry forum, UCSD San Diego, CA
 - d. <http://www.biophot.caltech.edu/optofluidics/>
- 81) Invited talk: Global optoelectronics technology roadmaps and trends
- a. 27th Oct 2006
 - b. UNCC 5th Annual Symposium: UNC Charlotte Center for Optoelectronics and Optical Communications
 - c. <http://opticscenter.uncc.edu/node/268>
- 82) Workshop: Artificial vision systems
- a. 1st Dec 2006
 - b. Workshop chairman and editor of the report:
 - c. Abstract: The ability to identify objects of interest and emerging threats around a vehicle in real time or by a warfighter looking for threats in a large field of view is currently severely hampered by the limitations of current algorithms and processing platforms. There have been recent advances in several technology areas, which if combined intelligently could solve this hard problem in enhancing situational awareness cost effectively. This report looks at the issues surrounding automated surveillance systems specifically looking at enhanced binoculars and convoy vehicle automated protection systems.

- d. OIDA
 - e. http://www.oida.org/home/publications/oida_publication_report_library/artificial_vision_systems/
- 83) Keynote address: Global perspectives in optoelectronics and photonics
- a. 6th Dec 2006
 - b. Photonics 21: European Union's 7th Framework Program Key Photonics Platform
 - c. http://www.photonics21.org/downloads/download_presentations.php

2007

- 84) Workshop: Micropackaging for the Next Generation of Optical and Electrical Components
- a. 2007
 - b. Workshop chairman and editor of the report:
 - c. Abstract: Optical components are ubiquitous. Applications that use them include DVD players, audio devices, cell phones, re-writable drives, projection displays, and communication devices. This report concentrates on the role of micropackaging for the for next generation fiber optic components with an eye towards lower cost and higher volume.
 - d. OIDA
 - e. http://www.oida.org/home/publications/oida_publication_report_library/micropackaging_for_the_next_generation_of_optical/
- 85) Workshop: Silicon Photonics: Challenges and Future, 2007
- a. 2007
 - b. Workshop chairman and editor of the report:
 - c. Abstract: Copper interconnects are currently used extensively within the data center environment. Fiber optic technology is used as a connectivity solution when higher performance is required and the cost differential compared to a copper solution is affordable. Fiber optic technology offers several key advantages over copper solutions for data transmission. This report looks at silicon photonics as a potential optical interconnect solution and addresses the issues and technology paths being pursued.
 - d. OIDA
 - e. http://www.oida.org/home/publications/oida_publication_report_library/silicon_photonics_challenges_and_future_2007/
- 86) Workshop: Photonic Sensors Roadmapping Report, 2007
- a. 2007
 - b. Workshop chairman and editor of the report:

- c. Abstract: This OIDA workshop report examines application specific sensor needs for both the government and the private sector with an emphasis on the oil and gas market, sensing function integration, cost reduction opportunities, and barriers and competing technologies.
 - d. OIDA
 - e. http://www.oida.org/home/publications/oida_publication_report_library/photonic_sensors_roadmapping_report_2007/
- 87) Paper: Fiber optic sensing technology: emerging markets and trends
- a. 2007
 - b. Third European Workshop on Optical Fibre Sensors.
 - c. Edited by Cutolo, Antonello; Culshaw, Brian; Lopez-Higuera, Joshua Miguel.
 - d. Proceedings of the SPIE, Volume 6619, pp. 661902 (2007). (SPIE Homepage)
 - e. <http://adsabs.harvard.edu/abs/2007SPIE.6619E...2H>
- 88) Article: "Optoelectronics Technology: New and Exciting Markets in Consumer and Entertainment"
- a. 10th Jan 2007
 - b. "The total optoelectronics components and enabled products grew 20% in 2005 to \$364 billion, from \$304 billion in 2004. Components grew 17% in 2005 to \$104 billion, from \$89 billion in 2004. When flat panel displays are excluded as components, components grew 10% in 2005 to \$30 billion, from \$27 billion in the previous year. Enabled products grew 21% to \$260 billion in 2005, from \$215 billion in 2004.
 - c. IEEE CPMT Santa Clara
 - d. <http://www.cpmt.org/scv/meetings/cpmt0701.html>
- 89) Abstract and conference invited talk: The case for a photonics foundry in USA
- a. 27th April 2007
 - b. European Conference on Integrated Optics (2007): Towards foundries for photonic ICs
 - c. <http://www.epixnet.org/index.php?id=393>
- 90) Paper: Optoelectronics Roadmap OIDA-iNEMI
- a. May 2007
 - b. IEEE Packaging and Assembly
- 91) Workshop: 100 Gbit Interconnects and Above: The Need for Speed
- a. 1st May 2007
 - b. Workshop chairman and editor of the report:
 - c. Abstract: The electronic society we live in today is seeing increased demand for data transfer, internet downloads, online applications, video sharing and storage. The electronic world of banks, companies, universities and governments require large secure datacenters connected to secure networks. This continuously drives for the development of more powerful servers and computer systems. This report examines needs and motivations for optical interconnect implementation. Looks at the applications, history, market drivers, and future issues that affect this crossover.

- d. OIDA
 - e. http://www.oida.org/home/publications/oida_publication_report_library/100_gb_ethernet_the_next_challenge_for_communicati/
- 92) Book: 2007 Global Optoelectronics Industry Market Report and Forecast
- a. Jun 2007
 - b. Comprehensive market report and forecast of current optoelectronics markets, needs, technologies, and applications. This report forecasts the current state and direction of the optoelectronics industry.
 - c. OIDA, 517 pages
 - d. http://www.oida.org/home/publications/oida_publication_report_library/2007_global_optoelectronics_industry_market_report/
- 93) Paper: Growing at light speed
- a. The article discusses the significance of optoelectronics in high technology manufacturing industries. Optoelectronics components are being used in the manufacturing cameras of mobile phones, plasma display panel TVs and LCD TVs, among others. Since its application allows product differentiation and innovation, it has spurred growth in segments such as the consumer/entertainment, computer and communications industries. The article also discusses the differences in the material and processing equipment such as silicon photonics.
 - b. Sep 2007
 - c. IEEE Circuits Assembly; Sep 2007, Vol. 18 Issue 9, p44
 - d. <http://www.thefreelibrary.com/Growing+at+light+speed%3a+after+a+false+start+%2c+OE+is+fast+becoming+a...-a0168812805>
- 94) Keynote/special invited talk: "10 Grand Challenges in Photonics"
- a. 31st Oct 2007
 - b. Sixth annual symposium UNCC Optoelectronics Center
 - c. <http://opticscenter.uncc.edu/node/265>

2008

- 95) Workshop: Perspectives on the Optoelectronics Industry: Manufacturing in the 21st Century, 2008
- a. 2008
 - b. Workshop chairman and editor of the report:
 - c. Abstract: This report provides insight and perspectives by senior-level representatives from industry on global trade and manufacturing practices in the field of optoelectronics. Three areas examined are: 1) Can automation or optical integration relieve the momentum to outsource and off-shore manufacturing from

North America? 2) The supply chain and the economics of manufacturing in the U.S., Europe, and Asia, and 30 The implications of offshore manufacturing on both the U.S. defense and commercial market sectors within the different fields of optoelectronics.

- d. OIDA
 - e. http://www.oida.org/home/publications/oida_publication_report_library/perspectives_on_the_optoelectronics_industry_manuf/
- 96) Workshop: Perspectives on the Optoelectronics Industry: Innovation, 2008
- a. 2008
 - b. Workshop chairman and editor of the report: Abstract: This report documents some of the issues raised at the Optoelectronics Industry Development Association (OIDA) forum and provides a review on the critical issue of innovation in the U.S. and the impact it has on future American competitiveness and technology leadership. This report looks at innovation and R&D from many perspectives: global, business, university, government funding agencies.
 - c. OIDA
 - d. http://www.oida.org/home/publications/oida_publication_report_library/perspectives_on_the_optoelectronics_industry_innov/
- 97) Workshop: Perspectives on the Optoelectronics Industry, 2008
- a. 2008
 - b. Workshop chairman and editor of the report:
 - c. Abstract: The United States is falling behind in the manufacturing, packaging, and assembling of optoelectronics products. Innovating technology may also be imperiled. This report is the result of the first of three optoelectronic forums sponsored by the Defense Advanced Research Projects Agency (DARPA). This report details the trends, findings, and directions for optoelectronics in each of these market applications. Included in the first chapter of this report is the global optoelectronics summary that was compiled by OIDA in 2007.
 - d. OIDA
 - e. http://www.oida.org/home/publications/oida_publication_report_library/perspectives_on_the_optoelectronics_industry_2008/
- 98) Workshop: Future Optical Communication Systems, 2008
- a. 2008
 - b. Workshop chairman and editor of the report:
 - c. Abstract: This report examines future optical communication needs and technologies. Topics include: Networks, Core, Metro, and Access Technology, photonic integration, and markets.
 - d. OIDA
 - e. http://www.oida.org/home/publications/oida_publication_report_library/future_optical_communication_systems_2008/
- 99) Book chapter: Being an Intrapreneur and Entrepreneur in the Optoelectronics Industry
- a. 2008

- b. This book provides actual entrepreneurial stories giving insight into the pitfalls and successes one might find in starting or even continuing with a small high-tech business. Insights into innovative, speculative, and (largely) successful new ventures, as experienced by those who went through the process, are complemented by comments and observations from others in the field including researchers, economists, investors, regional development agencies, technology transfer organizations, and universities. The book is recommended to entrepreneurs in all high technology disciplines and in particular for students and early career professionals. It can be also useful for undergraduate and postgraduate courses in entrepreneurship, which many institutions are currently introducing, and to those who are interested in how a high-tech business might develop.
- c. SPIE: Engineering a High-Tech Business: Entrepreneurial Experiences and Insights By Jos   Miguel L  pez-Higuera, B. Culshaw (Editors) ISBN 978-0-8194-7180-2
- d. <http://books.google.com/books?hl=en&lr=&id=wXV9tffoGeYC&oi=fnd&pg=PR9&dq=michael+lebbby&ots=cYEwXIHMDc&sig=KlCtj2ZDgbOYEhnqKdHiMo6SdzA#v=onepage&q=michael%20lebbby&f=false>

2009

- 100) Workshop: Green Photonics: The Role of Optoelectronics in a Sustainable Future, 2009
 - a. 2009
 - b. Workshop chairman and editor of the report:
 - c. Abstract: This report explores the optoelectronics expectations for green photonics technology, and forecasts the associated markets through 2020. The cultural impact for a green, clean, and energy efficient lifestyle is just beginning. Over the next decade, many innovative new products will emerge in a variety of industries that will better define and demonstrate green photonics technology. It is clear to many that a broad range of products and services that the economy will utilize over the next decade will contain optoelectronics (interchangeable with the term photonics), either as key components or as components that enable better and more efficient systems.
 - d. OIDA
 - e. http://www.oida.org/home/publications/oida_publication_report_library/green_photonics_the_role_of_optoelectronics_in_a_s/
- 101) Conference: Optimism: "Powering the green revolution through photonics"
 - a. 4th Jan 2009

- b. "Co-chairman of Optomism conference with Pennwell.
 - c. OIDA and Pennwell (Laser Focus World)
 - d. <http://www.laserfocusworld.com/articles/print/volume-45/issue-4/world-news/conference-preview-optomism-to-emphasize-lesquogreensquo-growth.html>
- 102) Invited talk, short abstract, and session chairman: Energy Efficient Photonics
- a. 19th Mar 2009
 - b. Summary: Green photonics initiatives: The role of optoelectronics in a sustainable future...
 - c. European Commission: High Level Event on ICT for Energy Efficiency
 - d. http://ec.europa.eu/information_society/events/cf/ee09/item-display.cfm?id=2174
- 103) Trial & Cross: Testimony before the US-China economic and security review commission on the optoelectronics industry
- a. 24th Mar 2009
 - b. "Expert witness and testimony on Capitol Hill
 - c. Final oral comments: In preparing this testimony, many people I contacted stressed the importance of decisive action by the U.S. government and industry now. Optoelectronics sits at the intersection of multiple technical disciplines. U.S. universities and industry are particularly adept at bringing diverse people together to work on complex problems. We have, by the nature of our inherent diversity, an advantage. What for many presents a challenge, for us comes naturally. Thank you for this opportunity to present our industry's perspective. "
 - d. United States China Commission
 - e. http://www.uscc.gov/hearings/2009hearings/written_testimonies/09_03_24_wrts/09_03_24_lebby_statement.pdf
- 104) Report: Photonics industry-university collaborations (PICs)
- a. 1st Jun 2009
 - b. OIDA Final Technical Report
 - c. <http://oai.dtic.mil/oai/oai?verb=getRecord&metadataPrefix=html&identifier=ADA502737>
- 105) Paper: Green photonics technology and markets
- a. Sep 2009
 - b. Green photonics technology will open up opportunities over the next decade for new and creative products to be designed as the interest in a green, clean and energy efficient lifestyle continues to grow. This overview forecasts the optoelectronics expectations for green photonics technology and associated markets over the next decade and explores just a few of the exciting opportunities from green photonics technology.
 - c. Electronics Letters, Vol 45, issue 20
 - d. <http://ieeexplore.ieee.org/xpl/login.jsp?tp=&arnumber=5270369&url=http%3A%2F%2Fieeexplore.ieee.org%2Fiel5%2F2220%2F5270368%2F05270369.pdf%3Farnumber%3D5270369>
- 106) Paper: Photonic Intgeration: what is the Holy Grail?
- a. 14th Oct 2009

- b. Compound Semiconductor
- c. <http://compoundsemiconductor.net/csc/features-details.php?id=40435>
- 107) Keynote talk: Global optoelectronics and green photonics trends
 - a. 28th Oct 2009
 - b. UNCC 8th Annual Symposium: Optoelectronics Center
 - c. <http://opticscenter.uncc.edu/node/255>
- 108) Keynote talk and abstract: Is this the rise of green photonics?
 - a. Dec 2009
 - b. ICFO (Institut de Ciencies Fotoniques) Barcelona, Spain
 - c. <http://www.icfo.es/images/news/ICFO%20CLP%20DAY%20Program.pdf>
- 109) Book: 2009 Global Optoelectronics Industry Market Report and Forecast
 - a. Dec 2009
 - b. Comprehensive market report and forecast of current optoelectronics markets, needs, technologies, and applications. This report forecasts the current state and direction of the optoelectronics industry.
 - c. OIDA, 545 pages
 - d. http://www.oida.org/home/publications/oida_publication_report_library/2009_global_optoelectronics_industry_market_report/
- 110) Video presentation: Living at the speed of light
 - a. 10th Dec 2009
 - b. Living at the speed of light, hosted by renown physicist Michael Lebby, takes us on a fast paced fly through of the many facets of photonics and how the use of light touches the many aspects of our lives
 - c. National Research Council: Canadian Photonics Fabrication Centre
 - d. <http://www.youtube.com/watch?v=t8EB-1-lirY>
- 111) Book chapter: Packaging of Optical Components and Systems
 - a. 27th Dec 2009
 - b. "In this article, several types of semiconductor optical components are introduced, and packaging of optical components and systems will be discussed. Specifically, three common types of optical sources will be addressed: light emitting diodes (LED), edge-emitting semiconductor lasers, and vertical cavity surface emitting lasers (VCSEL).
 - c. Wiley Encyclopedia of Electrical and Electronics Engineering; DOI: 10.1002/047134608X.W2106; originally published in 1999, Vol 15, pp 499-516; John G Webster (Editor)
 - d. <http://onlinelibrary.wiley.com/doi/10.1002/047134608X.W2106/abstract>

2010

- 112) Workshop: Fabrication Challenges and Opportunities in Photonics, 2010
 - a. 2010
 - b. Workshop chairman and editor of the report: Abstract: This report examines different operational models employed by industry (fabless, fab-lite, pure play foundry and vertically integrated companies), and the role of governments in stimulating the growth of the photonics industry.
 - c. OIDA
 - d. http://www.oida.org/home/publications/oida_publication_report_library/fabrication_challenges_and_opportunities_in_photon/
- 113) Video and presentation: Green Photonics: Will it Change our Lives over the Next Decade?
 - a. April 2010
 - b. Photonics is growing to enable new green technologies and products. Photonics already has a large presence in various modules and components with opportunities to expand to home displays, solar cells, lighting, and various consumer applications. The global optoelectronics industry has a strong outlook for growth in the near future, with even faster growth in green photonics. Green photonics can help reduce greenhouse gas emissions, generate or conserve energy, reduce pollution, and improve human health.
 - c. University of California, Santa Barbara: Institute of Energy Efficiency
 - d. <http://iee.ucsb.edu/node/1024>
- 114) Conference and workshop: 3rd Annual Green Photonics Forum (OIDA Green 2010)
 - a. 10th Jun 2010
 - b. Conference chairman: OIDA's innovative green photonics forums have become a focal point for photonics and optics in the area of sustainable energy. The annual forum on green photonics, brings together key players involved in developing the technical and business roles of photonics in building a more sustainable and renewable future. Efficiency, monitoring, and energy generation are areas where photonics technology will play a key role and the photonics industry will deliver solutions.
 - c. OIDA
 - d. <http://www.clocate.com/conference/3rd-Annual-Green-Photonics-Forum-OIDA-Green-2010/4045/>
- 115) Paper: Silicon light emitting device based on rare earth oxide superlattice
 - a. Sep 2010
 - b. 7th IEEE International conference on Group IV photonics, Beijing China
 - c. <http://ieeexplore.ieee.org/xpl/login.jsp?tp=&arnumber=5643407&url=http%3A%2F%2Fieeexplore.ieee.org%2Fiel5%2F5623516%2F5643317%2F05643407.pdf%3Farnumber%3D5643407>

2011

- 116) Paper: Study of the Structural and Thermal Properties of Single Crystalline Epitaxial Rare-Earth-Metal Oxide Layers Grown on Si(111)
 - a. 2011
 - b. ECS Transactions 16, 161 (2011)
 - c. <http://ecst.ecsdl.org/content/41/3/161>
- 117) Webinar and presentation
 - a. 29th Mar 2011
 - b. "The future of photonics webinar and presentation: The Future of Photonics: Three Perspectives brings together three expert viewpoints on the future of the photonics industry (Michael Lebby will be the expert on market trends and photonic integrated circuits (PICs)). You will learn: global optoelectronics market outlook through 2021 trends in photonic integrated circuits over the next two decades."
 - c. Photonics Media
 - d. <http://www.photonics.com/Webinar.aspx?WebinarID=7>
- 118) Keynote invited speech: Green Photonics and GaN-on-Si for LEDs and Ge-on-Si for high Efficiency Multi-junction for PVs
 - a. Dec 2011
 - b. Conference proceedings: High Capacity Optical Networks and Enabling Technologies (HONET), 2011
 - c. <http://honet.uncc.edu/archive/honet11/speakers.htm>

2012

- 119) Paper: Structural and Thermal Properties of Single Crystalline Epitaxial Gd₂O₃ and Er₂O₃ Grown on Si(111)
 - a. 2012
 - b. ECS Journal of Solid State Science and Technology 1, N24 (2012)
 - c. <http://jss.ecsdl.org/content/1/2/N24.full.pdf+html>
- 120) Paper: Epitaxial Si, SiGe and Ge on binary and ternary rare earth oxide buffers
 - a. 2012
 - b. Phys. Status Solidi C 9, 2031 (2012)
 - c. <http://onlinelibrary.wiley.com/doi/10.1002/pssc.201200255/abstract>
- 121) Paper: Integrated high reflectivity silicon substrates for GaN LEDs
 - a. 13th Jan 2012

- b. Volume 9, Issue 3-4, pages 814-817, March 2012, physica status solidi (c)
 - c. <http://onlinelibrary.wiley.com/doi/10.1002/pssc.201100393/abstract>
- 122) Paper: Integrated high reflectivity silicon substrates for GaN LEDs
- a. 13th Jan 2012
 - b. "Conference Publication: ICNS-9 "Contributed Article Integrated high reflectivity silicon substrates for GaN LEDs
 - c. F. Erdem Arkun*, Rytis Dargis, Robin Smith, David Williams, Andrew Clark, Michael Lebby
 - d. Article first published online: 13 JAN 2012" ICNS-9 "Contributed Article Integrated high reflectivity silicon substrates for GaN LEDs
 - e. <http://onlinelibrary.wiley.com/doi/10.1002/pssc.201100393/abstract>
- 123) Paper: Rare-earth-metal oxide buffer for epitaxial growth of single crystal GeSi and Ge on Si(111)
- a. 1st Mar 2012
 - b. Conference and journal publication."Rare-earth-metal oxide buffer for epitaxial growth of single crystal GeSi and Ge on Si(111)"
 - c. With publication details: 28th North American Molecular Beam Epitaxy Conference, Published by AVS through the American Institute of Physics, 2012 ISBN 978-0-9836933-0-7 ISSN: 2166-27646 J.Vac.Sci.Technol B 30(2), Mar/Apr 2012 pp02B110-1 to pp-3B110-6
 - d. <http://ieeexplore.ieee.org/xpl/articleDetails.jsp?reload=true&arnumber=6118290&contentType=Journals+%26+Magazines>
- 124) Paper: Scalable virtual Ge templates for III-V integration on Si wafers
- a. 18th April 2012
 - b. AIP Conference Proceedings / Volume 1477 / CONCENTRATOR SOLAR CELLS, CELL ASSEMBLIES AND RELATED MATERIALS
 - c. http://proceedings.aip.org/resource/2/apcpcs/1477/1/36_1?bypassSSO=1
- 125) Paper and talk: Engineering of the Interface Between Silicon and Rare-Earth Oxide Buffer for GaN growth
- a. Sept 2012
 - b. ECS Meeting Oct 2013, San Francisco, USA
 - c. http://scholar.googleusercontent.com/scholar?q=cache:l3-oHWxNDzwJ:scholar.google.com/+%22michael+lebbby%22&hl=en&as_sdt=1,5&as_ylo=2013&as_vis=1
- 126) Paper: Scalable GaN-on-Silicon using rare earth oxide buffer layers
- a. 9th Oct 2012
 - b. ECS Trans 2013 volume 50, issue 9, 1065-1071
 - c. <http://ma.ecsdl.org/content/MA2012-02/30/2538.short>

2013

- 127) Paper: Epitaxial Si and Gd₂O₃ Heterostructures - Distributed Bragg Reflectors with Stress Management Function for GaN on Si Light Emitting Devices
 - a. 2013
 - b. ECS Trans 2013 volume 50, issue 4, 47-52
 - c. <http://ecst.ecsdl.org/content/50/4/47.short>
- 128) Article: OPTICAL MATERIALS: 'On-silicon' engineered substrates speed photonic device development
 - a. 1st Apr 2013
 - b. Laser Focus World
 - c. <http://www.laserfocusworld.com/articles/print/volume-49/issue-04/features/optical-materials---on-silicon--engineered-substrates-speed-phot.html>
- 129) Paper & Poster: 100Gb/s Multi-guide vertical integration transmitter PIC in InP for fiber-optics interconnects
 - a. 24th Sep 2013
 - b. Sasa Ristic and Michael Lebby, Valery Tolstikhin, and Kirill Pimenov,
 - c. ECOC 2013
 - d. <http://www.ecoc2013.org/sc2.html>
- 130) Paper: Epitaxial Si and Gd₂O₃ Heterostructures - Distributed Bragg Reflectors with Stress Management Function for GaN on Si Light Emitting Devices
 - a. 1st Oct 2012
 - b. ECS J. Solid State Sci. Technol. 1, 246 (2012)
 - c. <http://jss.ecsdl.org/content/1/5/P246.full>
- 131) Growth of GaN by MOCVD on rare earth oxide on Si(111)
 - a. 31st Oct 2013
 - b. ECS Transactions, 58, 455 (2013)
 - c. <http://ecst.ecsdl.org/content/58/4/455.short>
- 132) Invited talk/plenary: Next generation ICs using photonics integration
 - a. 10th Dec 2013
 - b. Talk that reviews OneChip Photonics technology and in general PICs, OEICs, Silicon photonics, and how these technologies play into datacenters (data centers) for client side high speed 100G fiber (fibre) optic transceiver interconnects and cabling.
 - c. IQE Capital markets day, Sky Pavillion, Rothschild Building, London, UK
 - d. <http://www.euroinvestor.com/news/2013/12/10/iqe-plc-capital-markets-day/12617916>
- 133) Poster paper: A versatile InP OEIC (PIC) platform enabling 100G+ coherent receiver and client-side transceiver engines
 - a. 12th Nov 2013
 - b. Poster paper describing OneChip's latest results in coherent receivers, LR4 optical engines, and PSM4 optical engines.
 - c. Silicon Photonics Workshop, Southampton University, UK

- d. http://www.orc.soton.ac.uk/UKSP_presents.html
- 134) Talk and panel session: Approaches for combining 3-5 and silicon, add functionality to Si photonics, heterogeneous versus hybrid integration, introduction of photonic platforms
 - a. 5th Dec 2013
 - b. Short presentation on PICs, OEICs, Si photonics, and combinations of these technologies from a revenue, investment, application and technology standpoint.
 - c. EPIC workshop: Meet or eat? collaboration and/or competition in photonic integrated circuits (PICs)
 - d. <http://epic-assoc.com/contact-us/?at=94>
- 135) Invited talk: Feedback USA/International related events
 - a. 5th Dec 2013
 - b. Feedback on the subject of InP PICs, OEICs, and silicon photonics from workshops and related international events from around the globe.
 - c. EPIC workshop: Meet or eat? Collaboration and/or competition in Photonic Integrated Circuits (PICs)?
 - d. <http://epic-assoc.com/contact-us/?at=94>

2014

- 136) Invited talk/plenary: High-Performance Datacenter Platform: Using InP for Silicon Photonics
 - a. 8th Jan 2014
 - b. IEEE-CPMT/Photonics Society local chapter meeting, Santa Clara, California
 - c. <http://www.cpmt.org/scv/meetings/cpmt1401.html>
- 137) Invited talk/Panel session "Optical interconnect in datacenters"
 - a. 18th Mar 2014
 - b. "The 1st Optical Interconnect in Data Centers Symposium takes place on the 18th and 19th of March 2014 in Berlin/ Germany.
 - c. It is focused on high-performance, low-energy and low-cost and small-size optical interconnects across the different hierarchy levels in data center and high-performance computing systems: on-board, board-to-board and rack-to-rack.
 - d. EPIC: Symposium on optical interconnect in datacenters
 - e. <http://www.phoxtrot.eu/1st-optical-interconnect-in-data-centers-symposium/>
- 138) Keynote talk: Photonic integration in InP: A regrowth-free platform for fabless manufacturing model
 - a. 19th March 2014
 - b. CS International Conference Frankfurt, March 17th-20th 2014
 - c. <http://cs-international.net/agenda.php>

- 139) Invited talk/Panel session: InP based photonics integration platform for datacenter and coherent communications
 - a. 2nd April 2014
 - b. Invited talk/panel session on the future of integrated photonics. Discussion was on both InP and silicon photonics technologies over the next decade.
 - c. Workshop on the future of Integrated Photonics organized by the Netherlands Office for Science & Technology is pleased to invite you to a workshop, occurring in conjunction with the upcoming Photonics West conference
 - d. <http://www.hollandinthevalley.com/panel-discussion-on-the-future-of-integrated-photonics/>
- 140) Invited talk and abstract: Coherent Receiver Chip for 100G DP-QPSK
 - a. 30th April 2014
 - b. SIOE (Semiconductor and integrated optoelectronics) Conference, Cardiff, Wales, UK 29th April-1st May 2014, Institute of Physics
 - c. <http://www.astro.cardiff.ac.uk/research/cmp/events/resources/Sioeprogramme14final.pdf>
- 141) Invited talk/keynote lecture: Compound semiconductors driving photonics technologies to become the future for high-speed communications through integration, performance, and sustainability
 - a. 12th Jun 2014
 - b. Glyndwr University, Wales, UK
 - c. <http://www.glyndwr.ac.uk/en/AboutGlyndwrUniversity/Newsandmediacentre/Newsarchive/PressReleases2014/UniWeek/>
- 142) Invited Talk/Panel session: EPIC technology workshop on Photonic Integrated Circuits (PIC) packaging standardization
 - a. 18th Jun 2014
 - b. EPIC
 - c. <http://epic-assoc.com/contact-us/?at=94>
- 143) Invited talk: Compound Semiconductors (CS) driving photonics
 - a. 11th June 2014
 - b. Invited presentation for Welsh Gvt and commercial industry in epitaxial growth. The talk covered photonics: global markets, green photonics, photonics technology sectors (lighting, solar, displays, sensors, communications), integrated photonics and silicon photonics. Commercial industry invite by International Quantum Epitaxy (IQE)
 - c. Growth and application of epitaxial heterostructures with polymorphous rare earth oxides
 - d. Journal of Crystal Growth
 - e. <http://www.sciencedirect.com/science/article/pii/S0022024812009487>
- 144) Invited presentation for Day of Photonics at Glyndwr University: Photonics - markets, applications, integrated, next generation IC
 - a. 21st Oct 2014
 - b. Invited presentation/lecture on photonics at the Glyndwr University.

- c. Welsh Optoelectronic Forum (WOF)
 - d. http://www.wof.org.uk/home.php?page_id=4&event_id=317
- 145) Invited talk and moderator: Integrated photonics pilot lines
- a. 14th Nov 2014
 - b. "Photonics Pilot Line Workshop 14 November 2014 - Brussels, Belgium
 - c. European Commission and Photonics21
 - d. <http://www.photonics21.org/download/Events/DraftagendaPhotonicsPilotLineworkshop-Website.pdf>

2015

- 146) Invited talk: Integrated photonics roadmap synthesis
- a. 7th May 2015
 - b. Integrated Photonics Institute for Manufacturing Innovation (IP-IMI) site visit technical review:
 - c. The University of Southern California's (USC) Information Sciences Institute (ISI) is leading an effort with partner universities at the University of California-Los Angeles (UCLA), the University of California-Berkeley (UCB), the University of California-San Diego (UCSD), Arizona State University, the University of New Mexico, and the Ohio State University, as well as numerous industry partners, to develop the only California-based and led proposal to compete for the IP-IMI award. If successful, the proposal will receive a federal award of up to \$110 million over five years. A successful proposal requires 100 percent or more in matching funds (cash or in-kind) from state and local governments and from industry partners.
 - d. IPI-CDMS (Integrated Photonics Institute and Center for Design and Manufacturing Services)
 - e. <http://www.isi.edu/news/story/531784>
- 147) Invited talk and Chair panel session: EPIC workshop on photonic integrated circuits; "Global integrated photonics update and roadmaps"
- a. 9th Jun 2015
 - b. "Invited talk: Global integrated photonics update and roadmaps
 - c. Panel Session: Integrated photonics foundry status
 - d. EPIC trade association, Europe
 - e. <http://www.epic-assoc.com/epic-workshop-on-photonic-integrated-circuits/>
- 148) Keynote talk and invited abstract: "Integrated photonics as a vehicle for the next generation IC"
- a. 9th Sep 2015

- b. Internet Technology Applications (ITA) ITA-15 conference Wrexham, Wales, UK 8-11th Sept 2015
- c. <http://www.ita15.net/keynote-speaker/>
- 149) Press interview: "Building Big Business with the Next Generation Integrated Circuits!"
 - a. 15th Sep 2015
 - b. "Insights from Michael Lebby, CEO OneChip Photonics Corporation, the keynote speaker at the Photonics Integration Conference
 - c. Photonics Integration Conference, organized by Jakajima, Netherlands
 - d. <http://www.phiconference.com/photonics/building-big-business-with-the-next-generation-integrated-circuits/>
- 150) Project advisor: European Commission ICT-28-2015 Cross-Cutting ICT KETs: Innovation Action (b) Pilot line for OLEDs on flexible substrates
 - a. 20th Sep 2015
 - b. European Commission
 - c. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/topics/916-ict-28-2015.html>
- 151) Project advisor: European Commission ICT-28-2015 Cross-Cutting ICT KETs: Innovation Action (b) Pilot line for analytical mid-infrared (MIR) micro-sensors
 - a. 22nd Sep 2015
 - b. European Commission
 - c. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/topics/916-ict-28-2015.html>
- 152) Keynote talk and abstract: "PIC the next generation IC..."
 - a. 23rd Sep 2015
 - b. Photonic Integration Conference, organized by Jakajima, Netherlands
 - c. <http://www.phiconference.com/program/>
- 153) Project advisor: European Commission ICT-28-2015 Cross-Cutting ICT KETs: Innovation Action (b) Pilot line for PIC fabrication on III-V and/or dielectric based platforms for PICs (Photonic Integrated Circuits)
 - a. 24th Sep 2015
 - b. European Commission
 - c. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/topics/916-ict-28-2015.html>
- 154) Session organizer/Committee member: Market Focus at ECOC exhibit 2015
 - a. 28th Sep 2015
 - b. Session organizer/Committee member: Market Focus at ECOC exhibit 2015 with sessions in broadband access, datacentres, service provider optical transmission, network agility/SDN, photonics integration and packet optical transport. Nexus Business Media Ltd
 - c. <http://webcache.googleusercontent.com/search?q=cache:Qb68vjzhV5IJ:www.ecocexhibition.com/visitorhome/marketfocus2015/cfs+&cd=1&hl=en&ct=clnk&gl=us&client=safari>

2016

- 155) Reviewer: European Commission ICT-29-2016: Photonics KET 2016 (section a(i) Biophotonics: advanced imaging for in-depth disease diagnosis)
 - a. 10th May 2016
 - b. European Commission
 - c. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/topics/5094-ict-29-2016.html>
- 156) Reviewer: European Commission ICT-29-2016: Photonics KET 2016 (a(iii) Pervasive high-specificity and high-sensitivity sensing for a safer environment)
 - a. 12th May 2016
 - b. European Commission
- 157) Reviewer: European Commission ICT-29-2016 Photonics KET (b) Innovation Actions (i) Application driven core photonic devices integrated in systems.
 - a. 14th May 2016
 - b. European Commission
 - c. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/topics/5094-ict-29-2016.html>
- 158) European Commission Reviewer: European Commission ICT-29-2016 Photonics KET (b) Innovation Actions (ii) Pilot line for assembly and packaging
 - a. 15th May 2016
 - b. European Commission
 - c. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/topics/5094-ict-29-2016.html>
 - d. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/topics/5094-ict-29-2016.html>
- 159) Invited Talk: Annual Shareholder Meeting 2016 - Market Perspective LWLG Polymer Photonics vs Legacy Devices
 - a. 20th May 2016
 - b. Presentation to investor group at Lightwave Logic's Annual Shareholder Meeting Lightwave Logic
 - c. <http://lightwavelogic.com/company-updates/>
- 160) Technical presentation for Lightwave Logic Inc., at the Annual Shareholder Meeting (ASM).
 - a. 20th May 2016
 - b. Lightwave logic Inc., Boulder, Colorado.
 - c. Annual shareholder meeting for Lightwave Logic Inc., with live presentation with live Q&A from investors

- 161) Invited Presentation and abstract: "High-Performance Polymer Photonics for Next-Generation Photonic Integrated Circuits"
 - a. 3rd Oct 2016
 - b. ECOC Market Focus by ECOC Exhibition
 - c. <http://www.ecocexhibition.com/marketfocus>
- 162) Video Interview: ECOC Market Focus on Datacenters and emerging new PIC technologies that include silicon and polymer photonics
 - a. 3rd Oct 2016
 - b. Video taped interview by ECOC Exhibition Market Focus on Datacenters and emerging new PIC technologies that include polymer photonics.
 - c. ECOC Exhibition
 - d. <http://www.ecocexhibition.com/interviews2016>
- 163) Panel session: European Commission ICT-29-2016: Photonics KET 2016 (section a(ii) Breakthrough in miniaturization of SSL light engines and systems); comment on industry and academic technology.
 - a. 5th Nov 2016
 - b. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/topics/5094-ict-29-2016.html>
- 164) Reviewer: European Commission ICT-29-2016: Photonics KET 2016 (section a(ii) Breakthrough in miniaturization of SSL light engines and systems)
 - a. 5th Nov 2016
 - b. European Commission: Reviewing submitted technical photonics proposals for Horizon 2020, and rate proposals to EU guidelines.
 - c. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/topics/5094-ict-29-2016.html>

2017

- 165) Invited Talk and Panelist: Emerging Technologies Summit; Integrated Photonics session, Stanford University, as part of the Kingdom of the Netherlands trade mission to Silicon Valley
 - a. 10th Jan 2017
 - b. "Discussion with HRH Prince Constantijn and DG Bertolt Leefink about photonics ecosystem and startups. Photonics highlights (Michael Lebby)
 - c. Consulate General San Francisco, The Kingdom of Netherlands
 - d. <https://ez.atavist.com/emerging-technologies-summit>
- 166) Video: The importance of photonics roadmapping
 - a. 30th Jan 2017

- b. Video on the importance of roadmapping photonics technologies at Photonics West San Francisco, 30th Jan 2017. Interview by Photon Delta.
- c. <http://www.photondelta.eu>
- 167) Technical Advisor/Expert: 2nd review of MIRPHAB pilot line consortium funded by H2020 at European Commission
 - a. 20th Feb 2017
 - b. 2nd review for MIRPHAB consortium at the European Commission, Brussels
 - c. European Commission, Brussels
 - d. <https://www.iaf.fraunhofer.de/en/research/research-areas/semiconductor-lasers/mirphab.html>
- 168) Technical Advisor/Expert: 2nd review of PI-SCALE project pilot line funded by H2020, European Commission
 - a. 21st Feb 2017
 - b. 2nd review of PI-SCALE consortium pilot line at European Commission, Brussels
 - c. European Commission, Brussels, Belgium
 - d. <http://pi-scale.eu>
- 169) Technical Advisor/Expert: 1st review of PIXAPP pilot line consortium funded by H2020 at European Commission
 - a. 21st Feb 2017
 - b. 1st Review of Pixapp project at EU Commission as part of the pilot line project.
 - c. European Commission, Brussels
 - d. <http://www.fabiodisconzi.com/open-h2020/projects/206352/index.html>
- 170) Invited Talk: Belgium LWLG Investor Group at the Royal Antwerp Golf Club
 - a. 6th Mar 2017
 - b. Invited talk entitled "High performance polymer photonics for next generation PICs"
 - c. Belgium LWLG Investor Group
 - d. <http://www.lightwavelogic.com>
- 171) Chairman: PIC (Photonic Integrated Circuits) International Conference, Brussels, Belgium
 - a. 7th Mar 2017
 - b. "Photonic Integrated Circuits International
 - c. CS International
 - d. <http://www.picinternational.net>
- 172) Invited Talk: Photonics Integration Circuits - Global Market Analysis 2017
 - a. 13th Mar 2017
 - b. Invite by The Netherlands Department of Economics to review PIC technology and markets for potential investment.
 - c. The Dutch Government - Dept of Economics, The Hague together with Photon Delta, The Netherlands
 - d. <http://www.photondelta.eu>

- 173) Technical Advisor/Expert: 2nd review of PIX4LIFE pilot line consortium funded by H2020 at European Commission
 - a. 14th Mar 2017
 - b. 2nd review of PIX4LIFE pilot line consortium at the European Commission, Brussels.
 - c. European Commission, Brussels, Belgium
 - d. <http://www.pix4life.eu>
- 174) Keynote talk: Entitled "The rise of PIC based technologies over the next decade and how datacenters and telecom may enable other exciting applications"
 - a. 1st May 2017
 - b. Xilinx as part of their; "Xilinx silicon technology series seminars"
 - c. <http://www.xilinx.com>
- 175) Invited Talk: Lightwave Logic Inc Annual Shareholder Meeting "High Performance Polymer Photonics for Next Generation PICs"
 - a. 18th May 2017
 - b. Presentation of corporate technology and strategy for Lightwave Logic Inc and polymer PIC platforms.
 - c. Lightwave Logic Inc (OTCQB:LWLG)
 - d. <http://www.lightwavelogic.com/presentations>
- 176) Management/CEO presentation for Lightwave Logic Inc., at the Annual Shareholder Meeting (ASM).
 - a. 18th May 2017
 - b. Lightwave logic Inc., Boulder, Colorado.
 - c. Annual shareholder meeting for Lightwave Logic Inc., with live presentation with live Q&A from investors.
- 177) Plenary Talk: Global Market Research at the World Technology Mapping Forum, s'Hertogenbosch, Netherlands
 - a. 14th Jun 2017
 - b. "PhotonDelta and the AIM Photonics Academy are organising the first meeting of the World Technology Mapping Forum. The goal of the forum is to produce the first International Photonic Systems Roadmap, looking ahead to global technology needs in 2030 and beyond.
 - c. PhotonDelta, Netherlands as part of the International Photonics System Roadmap (IPSR) conference
 - d. <https://worldtechnologymappingforum.org/the-programme>
- 178) Video interview: World Photonic Mapping Forum; s'Hertogenbosch, Netherlands
 - a. 15th Jun 2017
 - b. Interview on photonics roadmaps.
 - c. PhotonDelta (via Videographer Jonathan Marks)
 - d. <https://worldtechnologymappingforum.org/the-programme>
- 179) Invited video 'Lightwave Logic roadmaps for polymer photonics at the 3rd International Roadmap conference, Eindhoven, Netherlands'
 - a. 16th June 2017

- b. PhotonDelta video PR team
 - c. <https://vimeo.com/317216466>
- 180) Invited talk and abstract: Polymer based PICs
 - a. 14th Sep 2017
 - b. ECOC, Datacenter technologies. Sweden
- 181) Video: Polymer based PICs
 - a. 15th Sep 2017 ECOC, Sweden as part of Market Focus at the ECOC Exhibit. (youtube: Michael Lebby ECOC 2017)
- 182) Keynote invited talk and abstract: PIC based technologies
 - a. 22nd Sep 2017
 - b. PIC Conference, The Netherlands
- 183) Invited talk and abstract: Polymer PIC technology as part of the Photonics Integrated Circuits (PIC) conference in Eindhoven, Netherlands.
 - a. 5th Oct 2017
 - b. PIC technologies and polymer photonics
- 184) Invited talk: PIC Training conference/workshop in Sunnyvale, Silicon Valley
 - a. PIC training session/workshop
- 185) Invited talk: Photonics Pilot Lines
 - a. 8th Dec 2017
 - b. European Commission, Photonics Proposers Meeting, Brussels

2018

- 186) International Roadmap meeting at Berenshot, Utrecht, Netherlands
 - a. 20th Jan 2018
 - b. Invited talk: International Roadmap meeting: Datacom/Telecom ICT markets, AOC, and Polymer PIC technology platforms
- 187) Co-Chairman: PIC (Photonic Integrated Circuits), International Conference, Brussels, Belgium
 - a. 10th Apr 2018
 - b. Photonic Integrated Circuits International
 - c. CS International
 - d. <http://www.picinternational.net>
- 188) Session chair and moderator: High volume, high performance PICs (Photonic Integrated Circuits) at the International Conference, Brussels, Belgium
 - a. 10th Apr 2018
 - b. Photonic Integrated Circuits International
 - c. CS International
 - d. <http://www.picinternational.net>

- 189) Session chair and moderator: Silicon Photonics (SiP) PICs (Photonic Integrated Circuits) at the International Conference, Brussels, Belgium
 - a. 11th Apr 2018
 - b. Photonic Integrated Circuits International
 - c. CS International
 - d. <http://www.picinternational.net>
- 190) Invited Talk: Scalable PIC platforms: “The impact of using polymer PICs for 100 and 400Gbps datacom applications,” PICs (Photonic Integrated Circuits) at the International Conference, Brussels, Belgium
 - a. 10th Apr 2018
 - b. Photonic Integrated Circuits International
 - c. CS International
 - d. <http://www.picinternational.net>
- 191) Management/CEO presentation for Lightwave Logic Inc., at the Annual Shareholder Meeting (ASM).
 - a. 17th May 2018
 - b. Lightwave logic Inc., Denver, Colorado.
 - c. Annual shareholder meeting for Lightwave Logic Inc., with live presentation with live Q&A from investors.
- 192) Invited video ‘Welcome to WTMF 18’ at the 2nd International Roadmap conference, Eindhoven, Netherlands
 - a. 12th June 2018
 - b. PhotonDelta video PR team
 - c. <https://vimeo.com/275376937>
- 193) Reviewer: European Commission ICT-03-2018: Photonics Pilot lines (InP and silicon photonics PICs)
 - a. 11th Jun 2018
 - b. European Commission: Reviewing submitted technical photonics proposals for Horizon 2020, and rate proposals to EU guidelines.
 - c. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020>
- 194) Reviewer: European Commission ICT-04-2018: Tbps optical fiber optic transceivers
 - a. 11th Jun 2018
 - b. European Commission: Reviewing submitted technical photonics proposals for Horizon 2020, and rate proposals to EU guidelines.
 - c. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020>
- 195) Reviewer: European Commission ICT-04-2018: Connected lighting
 - a. 11th Jun 2018
 - b. European Commission: Reviewing submitted technical photonics proposals for Horizon 2020, and rate proposals to EU guidelines.
 - c. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020>
- 196) Workgroup chair and moderator: Telecom long haul roadmap committee at the International world mapping conference, Enschede, Netherlands

- a. 20th Jun 2018
- b. Photondelta Conference: chairing technical workgroup meetings to address photonics roadmap trends and challenges.
- c. Photondelta in coordination with iNEMI, and AIM photonics.
- d. <https://www.photondelta.eu>
- 197) Invited talk: Telecom, Datacom, and ICT roadmap committee at the International world mapping conference, Enschede, Netherlands
 - a. 20th Jun 2018
 - b. Photondelta Conference: chairing technical workgroup meetings to address photonics roadmap trends and challenges.
 - c. Photondelta in coordination with iNEMI, and AIM photonics.
 - d. <https://www.photondelta.eu>
- 198) Invited talk and abstract: Pushing the performance of 50Gbps NRZ polymer modulators towards 100Gbps NRZ in a PIC platform to access 400 and 800Gbps data-rates
 - a. 25th Sep 2018
 - b. ECOC, Datacenter technologies. Sweden
- 199) Reviewer (European Commission) for pilot line PISCALE
 - a. 18th Sept 2018
 - b. European Commission, Brussels
 - c. PISCALE is a pilot line that is focused on bringing to commercial market flexible organic display technologies in both panel and reel to reel formats.
- 200) Reviewer (European Commission) for pilot line MIPHAB
 - a. 19th Sept 2018
 - b. European Commission, Brussels
 - c. MIPHAB is a pilot line that is focused on bringing to commercial market near infra-red devices and packaging for industrial, defense, military and high volume applications.
- 201) Video: Pushing the performance of 50Gbps NRZ polymer modulators towards 100Gbps NRZ in a PIC platform to access 400 and 800 Gbps datarates.
 - a. 25th Sept 2018
 - b. ECOC, Market Focus at the ECOC Exhibit, (Youtube: Michael Lebby ECOC 2018)
- 202) Invited talk and abstract: ECOC [International Symposium on Hybrid PICs](#) entitled "Polymer PICs: technology and prospects"
 - a. 26th Sept 2018
 - b. International Symposium on Hybrid PICs co-located at the ECOC conference, Rome, Italy.
- 203) Reviewer (European Commission) for pilot line PIXAPP
 - a. 2nd Oct 2018
 - b. European Commission, Brussels

- c. PIXAPP is a pilot line that is focused on bringing to commercial market PIC (photonic integrated circuit) packaging solutions for InP and silicon photonics based technologies.
- 204) Reviewer (European Commission) for pilot line PIX4LIFE
 - a. 3rd Oct 2018
 - b. European Commission, Brussels
 - c. PIX4LIFE is a pilot line that is focused on bringing to commercial market PIC dielectric solutions for applications in the visible wavelength range in the medical applications market.

2019

- 205) Invited talk: Polymer modulators and polymer PIC technologies: PIC Training conference/workshop in Sunnyvale, Silicon Valley
 - a. 28th Jan 2019
 - b. PIC training session/workshop at Keysight facility
- 206) Reviewer (European Commission) for pilot line MIPHAB
 - a. 16th Feb 2019
 - b. European Commission, Brussels
 - c. MIPHAB is a pilot line that is focused on bringing to commercial market near infra-red devices and packaging for industrial, defense, military and high volume applications.
- 207) Reviewer (European Commission) for pilot line PIX4LIFE
 - a. 25th Mar 2019
 - b. European Commission, Brussels
 - c. PIX4LIFE is a pilot line that is focused on bringing to commercial market PIC dielectric solutions for applications in the visible wavelength range in the medical applications market.
- 208) Co-Chairman: PIC (Photonic Integrated Circuits), International Conference, Brussels, Belgium
 - a. 26-27th Mar 2019
 - b. Photonic Integrated Circuits International
 - c. CS International
 - d. <http://www.picinternational.net>
- 209) Session chair and moderator: High volume, high performance PICs (Photonic Integrated Circuits) for Foundries at the International Conference, Brussels, Belgium
 - a. 26th Mar 2019
 - b. Photonic Integrated Circuits International
 - c. CS International

- d. <http://www.picinternational.net>
- 210) Session chair and moderator: High volume PICs (Photonic Integrated Circuits) at the International Conference, Brussels, Belgium
 - a. 27th Mar 2019
 - b. Photonic Integrated Circuits International
 - c. CS International
 - d. <http://www.picinternational.net>
- 211) Invited Talk: Polymer PICs for 400Gbps+ datacom applications,” PICs (Photonic Integrated Circuits) at the International Conference, Brussels, Belgium
 - a. 27th Mar 2019
 - b. Photonic Integrated Circuits International
 - c. CS International
 - d. <http://www.picinternational.net>
- 212) Reviewer (European Commission) for project CARDIS
 - a. 18th Apr 2019
 - b. European Commission, Brussels
 - c. CARDIS is an EU project for PIC based technology to monitor blood flow and artery stiffness in the human body using long wavelength lasers and silicon photonics.
- 213) Management/CEO presentation for Lightwave Logic Inc., at the Annual Shareholder Meeting (ASM).
 - a. 16th May 2019
 - b. Lightwave logic Inc., Denver, Colorado.
 - c. Annual shareholder meeting for Lightwave Logic Inc., with live presentation with live Q&A from investors with presentation:
<https://drive.google.com/file/d/1wh8irF8rmi9bU6VeGKAHFqVURyUcytdX/view?usp=sharing>
- 214) Reviewer: European Commission ICT-03-2019: Fiber optic communications and bio-photonics for medical applications
 - a. 6th June 2019
 - b. European Commission: Reviewing submitted technical photonics proposals for Horizon 2020, and rate proposals to EU guidelines.
 - c. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020>
- 215) Workgroup chair and moderator: Datacom roadmap committee at the International world mapping conference, Berlin, Germany
 - a. 12th June 2019
 - b. Photondelta Conference: chairing technical workgroup meetings to address photonics roadmap trends and challenges.
 - c. Photondelta in coordination with iNEMI, and AIM photonics.
 - d. <https://www.photondelta.eu>
- 216) Keynote speaker: ‘Global markets for PIC technologies’ at the 3rd International world mapping conference, at the Fraunhofer HHI, Berlin, Germany
 - a. 15th June 2019

- b. Photondelta Conference: chairing technical workgroup meetings to address photonics roadmap trends and challenges.
 - c. Photondelta in coordination with iNEMI, and AIM photonics.
 - d. <https://www.photondelta.eu>
- 217) Reviewer: European Commission ICT-05-2019: Pilot lines (free form optics and integrated photonics for bio-medical applications)
 - a. 16th June 2019
 - b. European Commission: Reviewing submitted technical photonics proposals for Horizon 2020, and rate proposals to EU guidelines.
 - c. <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020>
- 218) Invited speaker: ‘Lightwave Logic polymer modulator platform’ at the Spring Investor Conference, NYC, NY
 - a. 2nd April 2019
 - b. Spring Investor Summit (Microcap Conference) (www.springinvestorsummit.com)
- 219) Invited video ‘Lightwave Logic polymer modulator platform’ at the Spring Investor Conference, NYC, NY
 - a. 2nd April 2019
 - b. Spring Investor Summit (Microcap Conference)
 - c. <https://www.youtube.com/watch?v=Mn4oICQpVTQ>
- 220) Invited video ‘Lightwave Logic advancing its silicon photonic prototypes’ by Proactive, (<https://www.proactiveinvestors.com>) NY
 - a. 2nd April 2019
 - b. Proactive video (Wall St) series: <https://www.youtube.com/watch?v=3B0oGXvyogA>
 - c. Lightwave Logic, Inc. (OTCQX: LWLG) CEO Michael Lebby tells Proactive the Englewood, Colorado-based technology platform company is in discussions with large and small players within the telecommunications and data-communications space, including companies who are involved in the manufacturing routers.
- 221) Reviewer: (European Commission) for project InSiDe
 - a. 29th Apr 2019
 - b. European Commission, Brussels
 - c. InSiDe is an EU project for PIC based technology in the medical field to monitor blood flow and artery stiffness in the human body using long wavelength lasers and silicon photonics. Hospital clinical trials will be conducted. It is a follow-on with more clinical trials from the initial CARDIS EU project.
 - d. <http://www.inside-h2020.eu>
- 222) Invited video Interview by EPIC CTO Jose Pozo in EPIC’s Photonics Industry Luminary video series.
 - a. 28th Jan 2019 (at Photonics West conference in San Francisco)
 - b. EPIC Trade Association, Europe
 - c. https://www.youtube.com/watch?v=e_V4TeTSwaM
- 223) Invited video ‘Global Markets and Trends’ at the 3rd International Roadmap conference, Faunhofer HHI, Berlin Germany

- a. 16th June 2019
- b. PhotonDelta video PR team
- c. <https://vimeo.com/341952732>
- 224) Reviewer (European Commission) for pilot line PISCALE
 - a. 11th Sept 2019
 - b. European Commission, Brussels
 - c. PISCALE is a pilot line that is focused on bringing to commercial market flexible organic display technologies in both panel and reel to reel formats.
- 225) Invited talk and abstract: ECOC International Symposium on Hybrid PICs entitled “Polymer modulators enable the next generation of speeds and low power in optical networks”
 - a. 22nd Sept 2019
 - b. International Symposium and workshop (WO5) on Hybrid PICs,
 - c. ECOC conference 2019, Dublin, Ireland
 - d. <https://www.ecoc2019.org/workshop.html>
- 226) Invited talk and abstract: “Polymer modulators with >50GHz performance for power consumption reduction at 400, 800, and 1600 Gbaud aggregated data-rates”
 - a. 24th Sept 2019
 - b. Market Focus Session, Photonics Integration and Digital Silicon Photonics,
 - c. ECOC 2019, Dublin, Ireland
 - d. <https://www.ecocexhibition.com/visit/market-focus/market-focus-2019-timetable/>
- 227) Video: Polymer modulators with >50GHz performance for power consumption reduction at 400, 800, and 1600 Gbaud aggregated datarates”
 - a. 24th Sept 2019
 - b. ECOC, Market Focus at the ECOC Exhibit, (Youtube: Michael Lebby ECOC 2019)
 - c. ECOC 2019, Dublin, Ireland
- 228) Chairman and moderator, Market Focus ECOC 2019 session: Service and Content Provider Optical Transmission
 - a. 24th Sept 2019
 - b. Market Focus Session #5, ECOC 2019, Dublin, Ireland
 - c. <https://www.ecocexhibition.com/visit/market-focus/market-focus-2019-timetable/>
- 229) Chairman and moderator, Market Focus ECOC 2019 session: Optical Network Agility/Software Defined Networks
 - a. 24th Sept 2019
 - b. Market Focus Session #7, ECOC 2019, Dublin, Ireland
 - c. <https://www.ecocexhibition.com/visit/market-focus/market-focus-2019-timetable/>
- 230) Reviewer (European Commission) for pilot line MIPHAB
 - a. 10th Dec 2019
 - b. European Commission, Brussels

- c. MIPHAB is a pilot line that is focused on bringing to commercial market near infra-red devices and packaging for industrial, defense, military and high-volume applications.

2020

- 231) Webinar: Wall Street resource
 - a. 9th Jan 2020
 - b. Lightwave Logic HQ facilities in Denver, Colorado
 - c. A 30min recorded webinar answering questions on Lightwave Logic and its business plans, technology and plans for the future.
- 232) Invited video Interview by EPIC in EPIC's Photonics Industry video series on European funded pilot lines.
 - a. 5th Feb 2020 (at Photonics West conference in San Francisco)
 - b. EPIC Trade Association, Europe
- 233) Reviewer (European Commission) for pilot line InPULSE
 - a. 19th Mar 2020
 - b. European Commission, Brussels
 - c. InPULSE is a pilot line that is focused on bringing to commercial market InP based photonic integrated circuits (PICs) for fiber communications, both datacom as well as telecom high-volume applications.
- 234) Keynote and Plenary speaker SPIE Photonics Europe International Conference
 - a. Originally 31st March (then moved to 7th April 2020)
 - b. Strasbourg, France (the plenary talk was completed using audio embedded powerpoint video remotely).
 - c. The conference was held virtually in 2020 and the talk was attended by over 1000 attendees/visits.
 - d. <https://spie.org/profile/michael.lebby-4009?SSO=1>
- 235) Chairman (elected) of ECOC Market Focus technical committee as part of the ECOC Exhibition.
 - a. 10th May 2020
 - b. Committee hosted and organized by ECOC Exhibition
 - c. Market Focus Committee at: : <https://www.ecocexhibition.com/visit/market-focus/>
- 236) Invited speaker and presentation at Lightwave Logic Annual Shareholder meetings 2020 with Business Management Update, and presentation entitled, "Lightwave logic business review"
 - a. 14th May 2020

- b. Presentation can be found at:
https://drive.google.com/file/d/1xfisLKe6QIykCAIMjOZ0XdiRHt_O7zZ/view?usp=sharing
- c. Hosted by Lightwave Logic Inc. and MZ Group (Investor Relations)
- 237) Co-Chair (and summit host) of online summit for PIC International virtual session with live Q&A.
 - a. 19th May 2020
 - b. Hosted by Angel Tech (<https://onlinesummit.angel-tech.net/breakout/pic-international>)
 - c. Virtual conference with combination of pre-recorded presentations, live presentations, and live Q&A. Over 150 attendees.
- 238) Invited video clip on PIC technologies for online summit of PIC International conference.
 - a. 19th May 2020
 - b. Video clip (2:04) can be found at <https://onlinesummit.angel-tech.net/breakout/pic-international>
 - c. Summit host's introduction to the online summit PIC conference.
- 239) Invited speaker, EPIC 24hr Photonics World Tour virtual conference with talked entitled; "EO Polymers enable high speed and low power modulators at 1550nm and 1310nm."
 - a. 24th Jun 2020
 - b. EPIC 24hr Photonics World Tour final talk #72 and concluding remarks.
 - c. <https://www.epic-assoc.com/24hours/> and video on Youtube at: <https://www.youtube.com/watch?v=aiUSlfxPlz0> starting at 46:00
- 240) Invited paper/article entitled, "Electro-Optic Polymers Improve Speed and Power Efficiency" with co-authors Karen Liu and Cory Pecinovsky, Lightwave Logic Inc.
 - a. 5th Aug 2020
 - b. Published by Photonics Spectra magazine,
 - c. Hosted by photonics.com https://www.photonics.com/Articles/Electro-Optic_Polymers_Improve_Speed_and_Power/p5/vo180/i1193/a65902
- 241) Invited video interview, "2020 Market Focus and technology trends for ECOC 2020, Brussels, Belgium" as Chairman of ECOC 2020 Market Focus Technical Committee
 - a. 5th Aug 2020
 - b. Market Focus Exhibition for ECOC 2020,
 - c. Hosted by ECOC exhibition: <https://www.ecocexhibition.com/visit/market-focus/>
- 242) Chair and invited speaker, PIC International virtual conference on PICs: PIC Virtual II with presentation entitled; "Optical devices and industry roadmaps."
 - a. 2nd Nov 2020
 - b. PIC International Conference,
(https://picmagazine.net/article/112351/AngelTech_Virtual_2_-_The_Sequel_Proves_A_Hit_Success) and (<https://onlinesummit.angel-tech.net>)

- 243) Invited speaker, EPIC Virtual Conference on Datacenters (in collaboration with EA and COBO) with presentation entitled; “Using polymer modulators to upgrade your PIC + Industry Roadmaps.”
- a. 4th Nov 2020
 - b. EPIC Trade Association and subsequent YouTube video (<https://www.youtube.com/watch?v=tHh-z724D2g>) and also at EPIC: <https://www.epic-assoc.com/epic-online-technology-meeting-on-datacenter-interconnects-in-cooperation-with-cobo-and-ea/>
 - c. Hosted by EPIC
 - d. Hosted by CS International and PIC International (Angel Business, London)
- 244) Reviewer (European Commission) for pilot line PIX4LIFE
- a. 2nd Dec 2020
 - b. European Commission, Brussels (virtual review)
 - c. PIX4LIFE is a pilot line that is focused on bringing to commercial market PIC dielectric solutions for applications in the visible wavelength range in the medical applications market.

2021

- 245) Invited speaker, EPIC Photonics Plus conference (virtual) with a talk entitled “Polymer Plus™: Upgrading your PIC with Ultrafast and Low Power Modulators.”
- a. 16th Feb 2021
 - b. EPIC Trade Association <https://photonicsplus.com> and also at EPIC: <https://photonicsplus.com/exhibitors/>
 - c. Hosted by EPIC
- 246) Invited speaker (updated virtual presentation), EPIC Photonics Plus conference (virtual) with a talk entitled “Commercializing Polymer Modulators.”
- a. 10th Mar 2021
 - b. EPIC Trade Association <https://photonicsplus.com> and also at <https://www.lightwavelogic.com/presentation/video-presentation-commercializing-polymer-modulators/>
 - c. Hosted by EPIC
- 247) Invited Webinar and panelist: Roth Capital Partners (<https://www.roth.com/Page/Corporate-Access-Past-Conferences>)
- a. 17th Mar 2021
 - b. 33rd Annual Roth Conference
 - c. Financial investment conference for public company review. Panelist for answering questions by bankers, investment specialists, and fund managers on Lightwave Logic and its business plans, technology and plans for the future.

- 248) Invited white paper/article entitled, “Polymers for Fiber Optic Communications: What does the Integrated Photonics Roadmap Say” co-authors Michael Lebby and Karen Liu, Lightwave Logic Inc.
- 24th Mar 2021
 - Published by Lightwave Logic Inc., (https://www.lightwavelogic.com/wp-content/uploads/sites/319/2021/03/WP_IPSR-Roadmap-Decoded.pdf)
 - Executive summary: The IPSR (Integrated Photonic Systems Roadmap), a recent broad collaboration that unified the efforts of multiple established photonics roadmap efforts, describes the key technology bottlenecks for a broad array of applications and most promising solutions to overcome them. This whitepaper highlights the portion of the roadmap specific to fiber optic communications and within that the implications for the role of electro-optic polymers. Key findings identify critical advances that will be needed over the next decade to support the growth of very high data rate applications. By the end of the decade, integration of electronics with photonics will be part of broad integrated platforms. Innovative materials technologies such as polymers can be useful to enhance integrated photonics platforms with the use of high- speed modulators and passive optical interconnects.
- 249) Reviewer (European Commission) for pilot line Phabulous
- 31st Mar 2021
 - Via European Commission (virtual review)
 - Phabulous is a pilot line that is focused on bringing to commercial market micro-optics and free-form optics technology. Focus is on SMEs, prototyping and small volume manufacturing.
- 250) Chair and invited speaker, PIC International virtual conference on PICs: PIC Virtual III with presentation entitled; “Integrated photonic roadmaps.”
- 12th Apr 2021
 - PIC International Conference, (https://picmagazine.net/article/112351/AngelTech_Virtual_2_-_The_Sequel_Proves_A_Hit_Success) and (<https://onlinesummit.angel-tech.net>)
 - Hosted by CS International and PIC International (Angel Business, London)
- 251) Reviewer (European Commission) for pilot line InPULSE (also known as Jeppix)
- 23rd Apr 2021
 - Via European Commission (virtual review)
 - InPULSE is a pilot line that is focused on bringing to commercial market InP based photonic integrated circuits (PICs) for fiber communications, both datacom as well as telecom high-volume applications.
- 252) Invited speaker, EPIC Virtual Conference on PIC Packaging with presentation entitled; “Integrated photonics roadmaps: enabling high performance packaging.”
- 26th Apr 2021
 - EPIC Trade Association and subsequent YouTube video (<https://www.youtube.com/watch?v=tHh-z724D2g>) and also at EPIC: <https://www.epic-assoc.com/epic-online-technology-meeting-on-pic-packaging/>

- c. Hosted by EPIC
- 253) Invited conference and panelist: Oppenheimer 6th Annual Virtual Emerging Growth Conference (<https://www.oppenheimer.com/events/2021/6th-annual-emerging-growth-conference.aspx>)
 - a. 11th May 2021
 - b. Oppenheimer 6th Annual Virtual Emerging Growth Conference.
 - c. Financial investment conference for public company review. Panelist for answering questions with a variety of bankers, investment specialists, and fund managers on Lightwave Logic and its business plans, technology and plans for the future.
- 254) Invited speaker and presentation at Lightwave Logic Annual Shareholder meetings 2021 with Business Management Update, and presentation entitled, “Lightwave logic business review”
 - a. 27th May 2021
 - b. Presentation can be found at: <https://www.lightwavelogic.com/presentation/2021-asm-business-update-por-lightwave-logic-ceo-dr-michael-lebby/>
 - c. Hosted by Lightwave Logic Inc. and MZ Group (Investor Relations)
- 255) Keynote speaker for PITC opening ceremony with presentation entitled, “Photonic Integrated Circuits (PICs): Enabling our future...”
 - a. 2nd June 2021
 - b. Agenda at: <https://brainporteindhoven.com/en/events/launch-photonics-integration-techology-center> and presentation at: <https://www.lightwavelogic.com/presentation/photonic-integration-technology-center-keynote/>
 - c. Hosted by PITC (Photonics Integrated Technology Center); Photon Delta, TNO, Eindhoven University, Twente University.
- 256) Invited speaker: LD Micro Invitational XI conference (virtual) with presentation entitled, “Lightwave Logic Investor Presentation”
 - a. 10th June 2021
 - b. Presentation at: <https://api.mziq.com/mzfilemanager/v2/d/307dbc8b-e212-48ba-9968-8cef3f6b5188/8c054319-48a6-bd6b-2a0c-66e56f5f489f?origin=2>
 - c. Hosted by LD Micro NASDAQ: SRAX
- 257) Invited video interview: “2021 Market Focus and technology trends for ECOC 2021, Bordeaux, France” as Chairman of ECOC 2021 Market Focus Technical Committee
 - a. 15th June 2021
 - b. Market Focus Exhibition for ECOC 2021,
 - c. Hosted by ECOC exhibition: <https://www.ecocexhibition.com/visit/market-focus/>
- 258) Invited speaker at HC Wainwright non-deal roadshow (virtual)
 - a. 3rd and 4th August 2021
 - b. Presentation of “Lightwave Logic investor presentation”
 - c. Hosted by HC Wainwright Investment Bank
- 259) Invited speaker at Q3 Investor Summit (virtual)

- a. 17th and 18th August 2021
- b. Presentation of “Lightwave Logic investor presentation” and link: <https://drive.google.com/file/d/1mH0bCzOd8UxtvN6FeR50KIP7moFsFhjJ/view?usp=sharing>
- c. Hosted by Investor Summit (<https://investorsummitgroup.com>)
- 260) Invited speaker and video recording at HC Wainwright conference (virtual)
 - a. 13-15th September 2021
 - b. Presentation of “Lightwave Logic investor presentation”
 - c. Hosted by HC Wainwright Investment Bank
- 261) Invited speaker and video interview at NASDAQ for 10th September 2021 marketing closing. Live interview at NASDAQ HQ in Times Square, NYC.
 - a. 10th September 2021
 - b. Live interview for TV networks of Lightwave Logic’s aspirations.
 - c. Hosted by NASDAQ at their TV studios:
<https://www.nasdaq.com/videos/lightwave-logic-inc.-rings-the-nasdaq-stock-market-opening-bell>
- 262) Invited interview to close the week of trading at NASDAQ on 10th September, 2021 with live speech, and televised session. The closing was carried by CNBC, FOX, Bloomberg, BNN networks during the close session.
 - a. 10th September, 2021
 - b. Live interview for Lightwave Logic, and live market closing for NASDAQ
 - c. Hosted by NASDAQ at their TV studios: <https://youtu.be/8dmHC8MeF6E>
- 263) TV and video interview by TD Ameritrade in conjunction with the ringing of the closing bell at NASDAQ on 10th September, 2021
 - a. 10th September 2021
 - b. Live TV interview on TD Ameritrade at NASDAQ studios, Time Square, NYC
 - c. Hosted by TD Ameritrade financial network;
<https://tdameritradenetwork.com/video/rB4A-HvPH-2Be9Hw1tYC0w>
- 264) Session chairman: ECOC Market Focus technical committee as part of the ECOC Exhibition: sessions included PIC and Silicon Photonics, Optics in Cloud computing, optical transmission (SDN, network agility), Fiber Access, 5G, Cable, PON, FTTX, FTTC, Fiber to the room, New technologies and optical systems, New markets, and new packaging platforms.
 - a. 13th September 2021
 - b. Committee hosted and organized by ECOC Exhibition
 - c. Market Focus Committee at: : <https://www.ecocexhibition.com/visit/market-focus/>
- 265) Invited video interview: “2021 Market Focus impact for ECOC 2021, Bordeaux, France” as Chairman of ECOC 2021 Market Focus Technical Committee at the conference by ECOC TV <https://www.youtube.com/watch?v=VrFeSwqnWak>
 - a. 13th September 2021
 - b. Market Focus Exhibition for ECOC 2021,

- c. Hosted by ECOC exhibition: <https://www.ecocexhibition.com/visit/market-focus/with-video-at>
- 266) Invited talk and abstract: “Advancing integrated photonics with elector-optic polymer modulators”.
 - a. 13th September 2021
 - b. Market Focus Session, PIC and SiPH/Datacenters/Optics in cloud computing, <https://www.ecocexhibition.com/visit/market-focus/market-focus-2021-session-details/>
 - c. ECOC 2021, Bordeaux, France
- 267) Winner: Acceptance of glass trophy for ECOC Industry awards on behalf of Lightwave Logic in the optical integration category: <https://www.ecocexhibition.com/visit/ecoc-exhibition-industry-awards/>
 - a. 14th September 2021
 - b. Product Focus session, ECOC Exhibition Industry Award Winner Announcement
 - c. ECOC 2021, Bordeaux, France
- 268) Interview: ECOC Industry awards on behalf of Lightwave Logic in the optical integration category by ECOC TV
 - a. 14th September 2021
 - b. Product Focus session, ECOC Exhibition Industry Award Winner Announcement
 - c. ECOC 2021, Bordeaux, France
- 269) Video interview: PIC International Conference November 9-11, Brussels, Belgium
 - a. 24th September 2021
 - b. Overview of conference, technical sessions, and integrated photonics
 - c. PIC International, Brussels, Belgium as part of the online web portal presence.
- 270) Reviewer (European Commission) for pilot line InPULSE (also known as Jeppix)
 - a. 21st Sep 2021
 - b. Via European Commission (virtual review)
 - c. MedPhab is a pilot line that is focused on bringing to commercial market silicon photonics based technologies for medical applications. Demonstrator use-cases as prototype products have been selected for the validation of the pilot line technologies covering both *in-vivo* and *in-vitro* domains. The cases include: manufacturing a disposable plasmonic-fluidic sensors and a wearable PCI temperature sensor.
 - d. <https://medphab.eu>
- 271) Reviewer: (European Commission) for pilot line MIRPHAB
 - a. 28th Sep 2021
 - b. Via European Commission (virtual review)
 - c. MIRPHAB is a pilot line that is focused on bringing to commercial market infra-red wavelength modules and technologies based on quantum cascade lasers, MIR detectors, Antimonide based compound semiconductors and their associated packaging.

- d. <https://www.iaf.fraunhofer.de/en/research/research-areas/semiconductor-lasers/mirphab.html>
- 272) Reviewer: (European Commission) for pilot line InPULSE (also known as Jeppix)
 - a. 1st Oct 2021
 - b. Via European Commission (virtual review)
 - c. InPULSE is a pilot line that is focused on bringing to commercial market InP based photonic integrated circuits (PICs) for fiber communications, both datacom as well as telecom high-volume applications.
 - d. <https://www.inpulse.jeppix.eu>
- 273) Invited Video presentation: LD Micro Main Investor conference entitled “Investor Presentation for LD Micro”
 - a. 12th October 2021
 - b. For virtual attendees at the LD Micro conference in Los Angeles (Luxe Hotel), California
 - c. Invited video presentation for virtual audience at LD Micro main event in LA California: https://www.meetmax.com/sched/event_76871/conference_home.html
 - d. <https://www.youtube.com/watch?v=JEvSV8qYGMA>
- 274) Invited audio interview: LD Micro for BIZPOD (the Drill Down by Cory Johnson).
 - a. 12th October 2021
 - b. Located at LD Micro main in LA, California
 - c. BIZPOD audio interview as part of their start-up entrepreneur series: <https://www.bizpod.net>
- 275) Invited oral presentation: Includes Q&A for LD Micro Main Investor conference entitled “Investor Presentation for LD Micro”
 - a. 12th October 2021
 - b. For live in-person attendees at the LD Micro conference in Los Angeles (Luxe Hotel), California
 - c. Invited live presentation on Lightwave Logic technology and business plans for virtual audience at LD Micro main event in LA California: https://www.meetmax.com/sched/event_76871/conference_home.html
 - d. <https://www.youtube.com/watch?v=JEvSV8qYGMA> and https://me21.mysequire.com/recording?session_id=d354f581-6ab6-47d9-99de-d642c1c25d87
- 276) Invited Video presentation: Ladenburg Thalmann Virtual Technology Expo conference entitled “Investor Presentation for Ladenburg Thalmann virtual Technology Expo Investor Presentation”
 - a. Video-taped on 27th October 2021 for release on 18th November 2021
 - b. For virtual attendees at the Thalmann Virtual Technology Expo conference.
 - c. Invited video presentation for virtual audience at 2021 Ladenburg Thalmann Virtual Technology Expo: <https://ladenburg21.mysequire.com>
 - d. Presentation can be found at: <https://ladenburg21.mysequire.com>

- 277) Invited video: Chairmans introduction to the 5th PIC International Conference, www.picinternational.net,
a. 7th-10th November 2021
b. Conference hosted by Angel events.
c. Sheraton Airport Hotel, Brussels, Belgium.
- 278) Session Chairman: 2nd PIC Pilot Line Conference, , www.picinternational.net,
a. 7th November 2021
b. Conference hosted by Angel events.
c. Sheraton Airport Hotel, Brussels, Belgium.
- 279) Keynote speaker: Includes presentation entitled, “PIC Pilot Lines: Competitive Positioning” as part of the of 2nd PIC Pilot Line Conference, , www.picinternational.net,
a. 7th November 2021
b. As of the conference hosted by Angel events.
c. Sheraton Airport Hotel, Brussels, Belgium.
- 280) Session chairman: 5th PIC International conference, www.picinternational.net
a. 8-10th November 2021
b. Conference hosted by Angel events together with CS International, SSI International, and PIC Pilot Line Conference.
c. Sheraton Airport Hotel, Brussels, Belgium.
- 281) Invited Panelist: For the panel entitled, “PICs for LIDAR” of 6th PIC International conference, www.picinternational.net
a. 8-10th November 2021
b. Conference hosted by Angel events together with CS International, SSI International, and PIC Pilot Line Conference.
c. Sheraton Airport Hotel, Brussels, Belgium.
- 282) Invited speaker: With presentation entitled, “Increasing power efficiency with electro-optic (EO) polymers” at the 5th PIC International conference, www.picinternational.net in the day 2 session, “PICs vision- evolution and revolution”
a. 8-10th November 2021
b. Conference hosted by Angel events together with CS International, SSI International, and PIC Pilot Line Conference.
c. Sheraton Airport Hotel, Brussels, Belgium.
- 283) Keynote speaker: For Macrotrends (<http://arnaarteconomics.com/dagvandetips2020/>) investor conference (<https://vimeo.com/653240070>) and talk entitled “Investor Presentation”
a. 12th November 2021
b. Conference hosted by Marcotrends with 200 in person attendees, and 3-500 online attendees.
c. Ghent, Belgium
- 284) Invited Live TV interview: Benzinga (Spencer Israel show).
a. TV interview on 7th Dec 2021 with youtube recording: <https://www.youtube.com/watch?v=kS-7FVTNE2Y>
b. Presentation can be found at: <https://www.youtube.com/watch?v=kS-7FVTNE2Y>

and the Spencer Israel show/background at <https://www.linkedin.com/in/spencer-israel-44b02b31/> and <https://www.benzinga.com/author/spencer-israel>

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- 285) Invited Live TV interview: Benzinga (Spencer Israel show).
- TV interview on 11th Feb 2022 with youtube recording: <https://www.youtube.com/watch?v=jFiB9P3voUk>
 - Presentation can be found at: <https://www.youtube.com/watch?v=jFiB9P3voUk> and the Spencer Israel show/background at <https://www.linkedin.com/in/spencer-israel-44b02b31/> and <https://www.benzinga.com/author/spencer-israel>
- 286) Invited Webinar and panelist: Roth Capital Partners at the 34th Annual Roth Dana Point Conference, (<https://www.roth.com/Page/Corporate-Access-Past-Conferences>) with Lightwave Logic investor presentation and meetings.
- 13-15th March 2022
 - 34th Annual Roth Deer Valley Conference (invite only)
 - Financial investment conference for public company review. Panelist for answering questions by bankers, investment specialists, and fund managers on Lightwave Logic and its business plans, technology and plans for the future.
- 287) Invited Article and Interview entitled: “Q&A: Electro-optic polymers herald a new age of ultra-fast communication.” With Digital Journal (<https://www.digitaljournal.com>), and interviewer; Dr. Tim Sandle.
- Digital Journal interview and publication on 1st April 2022 in the Digital Journal.
 - Article and interview can be found at: <https://www.digitaljournal.com/tech-science/qa-electro-optic-polymers-herald-a-new-age-of-ultra-fast-communication/article>
- 288) Invited Article entitled: “Electro-optic polymers allow faster, more efficient data transmission.” With Broadband Communities (<https://www.bbcmag.com>)
- Published in Broadband Communities 13th April 2022.
 - Article can be found at: https://www.bbcmag.com/pub/doc/BBC_Mar22_webFINAL.pdf
- 289) Invited video: Chairmans introduction to the 6th PIC International Conference, www.picinternational.net, recorded on 10th May 2022.
- 8th May 2022 to be published in May 2022 for the conference being held on 27-29th June 2022
 - Conference hosted by Angel events.
 - Sheraton Airport Hotel, Brussels, Belgium.
- 290) Invited speaker at Oppenheimer 7th Annual Emerging Growth Conference (virtual)
- 10th May 2022

- b. Presentation of “Lightwave Logic investor presentation”
 - c. Hosted by Oppenheimer Investment Bank:
https://www.meetmax.com/sched/event_80933/conference_invlogin.html?event_id=80933&username=LWLG&confirmation_number=MVRJ8BYW865 and
<https://www.oppenheimer.com/events/2022/7th-annual-emerging-growth-conference.aspx>
- 291) Invited interview: Authority Magazine: “The future of communication technology”
- a. 11th May 2022, and published 18th May 2022.
 - b. Interview on the future of communications, hosted by
<https://medium.com/authority-magazine>
 - c. Interview with David Liu, Authority Magazine
- 292) Reviewer (European Commission) for pilot line InPULSE (also known as Jeppix)
- a. 17th May 2022
 - b. Via European Commission (virtual review)
 - c. InPULSE is a pilot line that is focused on bringing to commercial market InP based photonic integrated circuits (PICs) for fiber communications, both datacom as well as telecom high-volume applications.
- 293) Invited speaker and presentation at Lightwave Logic Annual Shareholder meetings 2022 with Business Management Update, and presentation entitled, “Lightwave logic CEO management review”
- a. 26th May 2022
 - b. Presentation can be found at: <https://www.lightwavelogic.com/presentation/2021-asm-business-update-por-lightwave-logic-ceo-dr-michael-lebby/> and stream-video at: https://viavid.webcasts.com/starthere.jsp?ei=1544482&tp_key=3c8d96d340
 - c. Hosted by Lightwave Logic Inc. and MZ Group (Investor Relations)
- 294) Invited oral presentation: LD Micro 12th Annual LD Micro Invitational conference with presentation entitled, “Lightwave Logic”
- a. 7th June 2022
 - b. Presentation Webcast can be found at: <https://me21.mysequire.com/>
 - c. Hosted by LD Micro NASDAQ: SRAX and located at Four Seasons in Westlake Village, California on 7-8th June 2022.
- 295) Invited video presentation: LD Micro XII Annual Invitational conference, recorded on 25th May 2022 (<https://ldinv12.sequireevents.com>)
- a. 7th June 2022
 - b. Presentation at : <https://me21.mysequire.com/>
 - c. Hosted by LD Micro NASDAQ: SRAX at the Four Seasons in Westlake Village, California on 7-8th June 2022.
- 296) Invited speaker and presentation at MIT IPSRI roadmap event with presentation entitled “Transceiver roadmap 2022”.
- a. 10th June 2022

- b. Presentation can be found at: <https://photonicsmanufacturing.org/how-get-involved>
- c. and <https://www.eventbrite.com/e/2022-spring-ipsr-i-roadmap-meeting-tickets-323380047427>
- d. Hosted by IPRSI, MIT, and PhotonDelta world photonics roadmap
- 297) Board member Optica's Corporate Engagement Council, with activities focusing on industrial membership, marketing, conferences, workshops, meetings etc., for the photonics community globally.
 - a. 10th June 2022, election to the CEC (https://www.optica.org/en-us/about/leadership_and_volunteers/councils/councils/)
 - b. CEC listing: https://www.optica.org/en-us/about/leadership_and_volunteers/councils/councils/#Industry
 - c. Optica (Optical Society of America – previous name)
- 298) Reviewer: European Commission HORIZON-CL4-2022-DIGITAL-EMERGING-01-38 (CSA): International cooperation in semiconductors
 - a. 8th June 2022
 - b. European Commission: Reviewing submitted technical and coordinated support actions for electronics and photonics proposals for Horizon 2022 evaluation calls and rate proposals to EU guidelines.
 - c. CSA: <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020>
- 299) Reviewer: European Commission HORIZON-CL4-2022-DIGITAL-EMERGING-01-03: Advanced multi-sensing systems.
 - a. 10th June 2022
 - b. European Commission: Reviewing submitted technical photonics proposals for Horizon 2022 evaluation calls and rate proposals to EU guidelines.
 - c. RIA: <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020>
- 300) Invited live interview with Dan Loney, Wharton Business Daily Radio Station
 - a. 15th June 2022
 - b. Wharton Business Daily live radio interview. Subject: Lightwave Logic business and technology plans for growth: <https://twitter.com/whartonbizdaily?lang=en>
 - c. Location: virtual recording at Lightwave Logic, Englewood, CO.
- 301) Invited speaker at Diamond Equity Research Emerging Growth Invitational Conference (virtual)
 - a. 17th June 2022
 - b. Presentation of “Lightwave Logic investor presentation”
 - c. Hosted by Diamond Equity Research Bank: <https://www.diamondequityresearch.com/conferences/> at Lightwave Logic, Englewood, Colorado.
- 302) Invited video interview: “2022 Market Focus impact for ECOC 2022, Basel, Switzerland” as Chairman of ECOC 2022 Market Focus Technical Committee at the conference by ECOC TV (link to be published)

- a. 17th June 2022
- b. Market Focus Exhibition, technical sessions and awards for ECOC 2022,
- c. Hosted by ECOC exhibition: <https://www.ecocexhibition.com/ecoc-exhibition-2022/> and recorded virtually in San Francisco, CA.
- 303) Invited interview: MicrocapDaily: “Microcapdaily Interviews Dr. Michael Lebby CEO of Lightwave Logic, Inc. (NASDAQ: LWLG) On Radical Innovation Needed to Keep the Internet Growing”
 - a. 23rd June 2022
 - b. Interview on the radical innovation needed for the internet and optical networking, hosted by <https://microcapdaily.com/microcapdaily-interviews-dr-michael-lebby-ceo-of-lightwave-logic-inc-nasdaq-lwlg-on-radical-innovation-needed-to-keep-the-internet-growing-2/>
 - c. Interview with Boe Rimes, Microcap Daily
- 304) Session chairman: 7th PIC International conference, www.picinternational.net
 - a. 28-29th June 2022
 - b. Conference hosted by Angel events together with CS International and SSI International.
 - c. Sheraton Airport Hotel, Brussels, Belgium.
- 305) Invited Panelist: For the panel entitled, “Optica Industry Panel: Hybrid Integration” of 6th PIC International conference, www.picinternational.net
 - a. 28-29th June 2022
 - b. Conference hosted by Angel events together with CS International, and SSI International.
 - c. Sheraton Airport Hotel, Brussels, Belgium.
- 306) Invited speaker: With presentation entitled, “Enabling low power consumption optical networking using high speed, low power polymer modulators” at the 6th PIC International conference, www.picinternational.net in the day 2 session, “Optimizing the PIC architecture”
 - a. 28-29th June 2022
 - b. Conference hosted by Angel events together with CS International, and SSI International.
 - c. Sheraton Airport Hotel, Brussels, Belgium.
- 307) Invited speaker: “Status of Lightwave Logic and electro-optic polymers” at the Cleydael Golf Club, Antwerp, Belgium (www.cleydael.be)
 - a. 30th June 2022
 - b. Meeting organized by Belgium and Dutch retail investors of Lightwave Logic Inc. (NASDAQ:LWLG)
 - c. Location: Belgium
- 308) Invited Article for 7th PIC conference entitled, “PIC International proved to be a fantastic success” (<https://angel-tech.net/marketing/2022/mailers/post-show/pic/>)
 - a. 7th July 2022
 - b. Conference hosted by Angel events together with CS International, and SSI International.

- c. Sheraton Airport Hotel, Brussels, Belgium.
- 309) Invited Live TV interview: Benzinga All Access Fireside Chat (Zunaid Suleman show).
 - a. TV interview on 22nd July 2022 with youtube recording: https://www.youtube.com/watch?v=TyKY9R8WT_U
 - b. Video interview can be found at: <https://www.benzinga.com/markets/emerging-markets/22/07/28231018/lightwave-logic-the-company-with-a-proprietary-solution-to-make-transmitting-data-faster> and the Zunaid Suleman show/background at <https://www.benzinga.com/events/speakers/zunaid-suleman/>
 - c. Location: Virtually recorded in Washington DC
- 310) Invited Live TV interview with SNN network show Planet MicroCap Podcast and host Robert Kraft: <https://www.youtube.com/watch?v=zCD-hTKtkLg>
 - a. 22nd July 2022
 - b. Hosted by SNN and Robert Kraft, and video interview can be found at: <https://podcasts.apple.com/us/podcast/lightwave-logic-nasdaq-lwlg-designing-modulators-that/id1024217659?i=1000574742796>
 - c. Location: Virtually recorded in Washington DC
- 311) Invited Live Radio interview with Bloomberg markets radio show and hosts Paul Sweeney and Matt Miller. Topic, “Lightwave Logic background, radical innovation, business plans, impact on the internet, and where will the industry go in 5years?” Link to radio interview: “[Efficient Data Transmission \(Audio\).](#)”
 - a. 9th August 2022
 - b. Hosted by Paul Sweeney and Matt Miller at Bloomberg Market Radio show <https://www.bloombergradio.com/shows/bloomberg-markets-am/>
 - c. Location: recorded at Lightwave Logic, Englewood, Colorado.
- 312) Invited video interview with Smart Photonics’ “50 Leaders of change” to address where the internet is going from a technology, photonics, and optical networking system perspective. Filmed by London based TBD Media and can be found at: <https://vimeo.com/tbdmediagroup/review/742996199/8dc9821c7a>. with interview at 3:00-50.
 - a. 10th August 2022
 - b. Hosted and videoed by TBD Media hub on [Bloomberg.com](https://www.bloomberg.com)
 - c. Location: Filmed at Lightwave Logic HQ in Englewood, Colorado and released on 9th November 2022.
- 313) Invited talk: Jefferies Semiconductors, IT Hardware & Communications Infrastructure Summit
 - a. 29-31st August 2022
 - b. Hosted by Jefferies Investment Banking
 - c. Sofitel Hotel, Chicago, Illinois
- 314) Invited lecture – TU/e (University of Eindhoven, Netherlands); Electrical Engineering (Photonics); “Polymer Modulators”
 - a. 2nd September 2022
 - b. Hosted by Photonics Integrated Circuits team, Electrical Engineering, TU/e

- c. TU/e (Eindhoven University), Eindhoven, Netherlands
- 315) Invited review PhD thesis and defense that included review of thesis, public questions for the candidate and ceremonial procession. Amir Abbas Kashi's thesis is entitled, "Electro-optic slot waveguide phase modulators on the InP membrane on silicon platform"
 - a. 2nd September 2022
 - b. Hosted by TU/e Electrical Engineering, integrated photonics department with co-reviewers including: Prof. J. Leuthold, Prof. K. Williams, and Prof Van der tol.
 - c. TU/e (Eindhoven University), Eindhoven, Netherlands
- 316) Invited keynote talk as part of the 'New Materials in PICs' at "EPIC meeting on CMOS compatible integrated photonics at IMEC." With link to website: <https://epic-assoc.com/events/epic-meeting-on-cmos-compatible-integrated-photonics-at-imec/>
 - a. 7-8th September 2022 with the talk on the 8th September 2022
 - b. Hosted by IMEC and EPIC photonics association. With EPIC photonics at: <https://epic-assoc.com>
 - c. Location at Leuven, Belgium at IMEC 1 foundry.
- 317) Invited speaker: "Status of Lightwave Logic and electro-optic polymers for foundry applications" at the Cleydael Golf Club, Antwerp, Belgium (www.cleydael.be)
 - a. 8th September 2022
 - b. Meeting organized by Belgium and Dutch retail investors of Lightwave Logic Inc. (NASDAQ:LWLG)
 - c. Location: Belgium
- 318) Invited talk at HW Wainwright conference called: 'H.C. Wainwright 24th Annual Global Investment Conference', with a talk entitled, "Lightwave Logic Investor Presentation" and link to website: <https://journey.ct.events/hub/660eb381-b261-4d25-afae-35b654ed04c1> and <https://hcwco.com>
 - a. 12 and 13th September 2022 (talk on 13th September 2022)
 - b. Meeting organized by HC Wainwright Investment Banking (<https://hcwco.com>)
 - c. Location: Lotte Palace Hotel, New York City, NY
- 319) Invited interview: Interviewed by Greg Bryan at Telegeography (<https://www2.telegeography.com>) Podcast which can be found at: <https://telegeography.podbean.com/> as well as <https://blog.telegeography.com/simply-illuminating-considering-the-future-of-optical-transport>
 - a. 16th September 2022
 - b. Published by Telegeography Podcast and Greg Bryan on 27th September 2022
 - c. Virtual interview
- 320) Invited review article: Subject was for EPIC on their CMOS-foundry workshop : <https://epic-assoc.com/events/epic-meeting-on-cmos-compatible-integrated-photonics-at-imec/>
 - a. 13th September 2022
 - b. Published by EPIC photonics association and associated media outlets
 - c. Review written in NYC, 13th September 2022

- 321) Invited article: Ping! Zine technology insights and can be found at:
<https://www.pingzine.com/featured-article/the-radical-innovation-of-a-new-polymer-internet/>
- a. 29th September 2022
 - b. Published by Ping! Zine technology insights on 29th September 2022
 - c. Ping! Zine publishes in-depth content through authoritative contribution and thorough interviews with technology subject matter experts across multiple disciplines including cloud, data center, AI, big data, cybersecurity and more.
- 322) Session chairman: ECOC Market Focus technical committee as part of the ECOC Exhibition: sessions included PIC and Silicon Photonics, Optics in Cloud computing, optical transmission (SDN, network agility), Fiber Access, 5G, Cable, PON, FTTX, FTTC, Fiber to the room, new technologies and optical systems, new markets, and new packaging platforms.
- a. 19-21st September 2022
 - b. Committee hosted and organized by ECOC Exhibition
 - c. Market Focus Committee at : <https://www.ecocexhibition.com/visit/market-focus/>
- 323) Invited video interview: “2022 Market Focus impact for ECOC 2022, Basel, Switzerland,” as Chairman of ECOC 2022 Market Focus Technical Committee at the conference by ECOC TV <https://youtu.be/X8iQoB4vqaA>
- a. 20th September 2022
 - b. Market Focus Exhibition for ECOC 2022,
 - c. Hosted by ECOC exhibition: <https://www.ecocexhibition.com/visit/market-focus/>
- 324) Invited talk and abstract: “Utilizing foundries to scale hybrid electro-optic polymer modulators” with Dr. John Zyskind, VP Engineering, Lightwave Logic Inc: <https://www.ecocexhibition.com/visit/market-focus/components-ics-pics-fiber/>
- a. 19th September 2022
 - b. Market Focus Session, PIC components
<https://www.ecocexhibition.com/visit/market-focus/market-focus-timetable-2022/>
 - c. ECOC 2022, Basel, Switzerland
- 325) Interview, industry award chairman, and award presentation to Market Focus Industry Award winners: ECOC exhibition industry awards (in conjunction with optical connections magazine) <https://www.ecocexhibition.com/visit/ecoc-exhibition-industry-awards/> :
- a. 20th September 2022
 - b. Product Focus session, ECOC Exhibition Industry Award Winner Announcement
 - c. ECOC 2022, Basel, Switzerland
- 326) Market Focus Interview: ECOC TV on behalf of Lightwave Logic in the optical integration category by ECOC TV and can be found at: <https://youtu.be/nRd3dYCozUM>
- a. 21st September 2022

- b. Market Focus Session, PIC components
<https://www.ecocexhibition.com/visit/market-focus/market-focus-timetable-2022/>
- c. ECOC 2022, Basel, Switzerland
- 327) Invited talk and panelist for ECOC Rump session, with talk entitled, “Hybrid Integration Roadmap: From pilot production to production in a semiconductor fab” at ECOC technical conference, chaired by Dr Jose Pozo, CTO Optica.
 - a. 21st September 2022
 - b. ECOC Rump session
 - c. ECOC 2022, Basel, Switzerland
- 328) Paper (co-author): Post-deadline submission to ECOC 2022 entitled, “[Thermally Stable Silicon-Organic Hybrid \(SOH\) Mach-Zehnder Modulator for 140 GBd PAM4 Transmission With Sub-1 v Drive Signals \(Th3B.2\)](#)” given by Carsten Eschenbaum, *Karlsruhe Inst Tech (KIT)* and SilOriX GmbH.
 - a. 22nd September 2022
 - b. ECOC Post Deadline session 1; 13:42 to 13:54pm
https://www.optica.org/events/topical_meetings/ecoc/schedule/?day=Thursday#Th3B.2
 - c. ECOC 2022, Basel, Switzerland
- 329) Cited Acknowledgement for Post Deadline Paper: Post-deadline submission to ECOC 2022 entitled, “[>500 GHz Bandwidth Graphene Photodetector Enabling Highest-Capacity Plasmonic-to-Plasmonic Links \(Th3B.5\)](#)” given by Stefan Koepfli, *Eidgenossische Technische Hochschule (ETH) Zurich*.
 - a. 22nd September 2022
 - b. ECOC Post Deadline session 1; 14:18 to 14:30pm
https://www.optica.org/events/topical_meetings/ecoc/schedule/?day=Thursday#Th3B.5
 - c. ECOC 2022, Basel, Switzerland
- 330) Invited presentation: Diamond Equity Research’s annual Spotlight Emerging Growth Invitational Virtual Investor Conference, with a presentation entitled, “Lightwave Logic Investor Presentation” and can be found at https://us02web.zoom.us/webinar/register/WN_2aoRT-XPSZiCFd1cLkVrXA and <https://finance.yahoo.com/news/diamond-equity-research-host-spotlight-113000297.html>
 - a. 4th October 2022 (10:20-10:50am East coast time)
 - b. Diamond Equity Research’s Spotlight Emerging Growth Invitational Virtual Investor Conference, conferences@diamondequityresearch.com
 - c. Recorded 30th September 2022 virtually at LWLG.
- 331) Project advisor and panelist: European Commission PhotonHub EU project number/grant agreement 101016665 for 1st review meeting (virtual). Project call [DT-ICT-04-2020 - Photonics Innovation Hubs](#)
 - a. 6th October 2022
 - b. European Commission: <https://www.photonhub.eu> and <https://cordis.europa.eu/project/id/101016665>

- c. PhotonHub: PhotonHub Europe is a unique European full-service one-stop-shop Photonics Innovation Hub to advance EU competitiveness.
- 332) Invited interview: Interviewed by Cory Johnson at The Drill Down podcast (<https://twitter.com/drilldownpod?lang=en>) Podcast which can be found at: <https://www.iheart.com/podcast/269-the-drill-down-81530167/> as well as <https://twitter.com/DrillDownPod/status/1449964020522360836>
 - a. 7th October 2022
 - b. Published by The Drill Down Podcast and Cory Johnson on 17th October 2022
 - c. Virtual interview
- 333) Invited oral presentation: LD Micro Main Event XV conference with presentation entitled, “Lightwave Logic Update”
 - a. 25th October 2022
 - b. Oral presentation details can be found at: https://www.meetmax.com/sched/event_86249/conference_home.html as well as https://www.meetmax.com/sched/event_86249/conference_presentations.html?bank_access=0&event_id=86249
 - c. Hosted by LD Micro NASDAQ: SRAX
- 334) Invited video presentation: LD Micro Main Event XV conference, recorded on 25th October 2022
 - a. 25th October 2022
 - b. Presentation at: https://www.meetmax.com/sched/event_86249/conference_presentations.html?bank_access=0&event_id=86249
 - c. Hosted by LD Micro NASDAQ: SRAX at the Luxe Sunset Boulevard Hotel LA 24-26th October 2022
- 335) Invited closing comments: Optica Co-packaged and pluggable optics industry summit. Comments were on pluggables vs co-packaged integrated photonics solutions, roadmapping, and transceiver trends on data rate and low power consumption.
 - a. 25th and 26th October 2022
 - b. Details on the event can be found at: https://www.optica.org/en-us/events/industry_events/2022_optica_industry_summit/
 - c. Hosted by Optica (formally OSA) <https://www.optica.org/en-us/home/> at Du Pont’s innovation laboratories Sunnyvale, CA.
- 336) Invited speaker: Photonics Spectra Annual Conference with presentation entitled, “How electro-optic polymers boost PIC speed and power efficiency”.
 - a. Recorded on 28th October 2022, for 9-11th January 2023
 - b. Details on the event can be found at: <https://www.photonics.com/Advertise/MarketingBlog.aspx?AID=68312>
 - c. Hosted by Photonics Spectra (www.photonics.com)
- 337) Invited talk at the Board meeting of Optica’s Corporate Engagement Council. Presentation on focusing to increase industrial membership, improve marketing, optimize conferences and workshops for the industrial photonics community globally. (https://www.optica.org/en-us/about/leadership_and_volunteers/councils/councils/

- a. 1st November 2022,
 - b. CEC listing: https://www.optica.org/en-us/about/leadership_and_volunteers/councils/councils/#Industry
 - c. Optica (Optical Society of America – previous name)
- 338) Invited interview with Laser Focus editor Peter Fretty, Editor in Chief of [LASER FOCUS WORLD](https://www.laserfocusworld.com), on the subject of Lightwave Logic, and the radical innovation needed by the optical network and internet.
- a. 3rd November 2022,
 - b. Laser Focus world can be found at: <https://www.laserfocusworld.com> and the magazine at: <https://www.laserfocusworld.com/magazine>
 - c. Laser Focus World
- 339) Invited panel moderator at PIC Summit Conference, Eindhoven, Netherlands in conjunction with IPSR-I workshops. Panel entitled, “Heterogeneous Intergration: Electronics and Photonics united” with companies that included: IMEC, LionX, VTT, Ligentec, Corning, Smart Photonics, Fraunhofer HHI
- a. 7th November 2022
 - b. PIC Summit Europe conference. <https://www.picsummiteurope.com/program/>
 - c. Located at the High Tech Center, Eindhoven, Netherlands
- 340) Invited speaker at PIC Summit Conference, Eindhoven, Netherlands in conjunction with IPSR conference to summarize panel findings and discussion with audience.
- a. 7th November 2022
 - b. PIC Summit Europe conference. <https://www.picsummiteurope.com/program/>
 - c. Located at the High Tech Center, Eindhoven, Netherlands
- 341) Invited Speaker and chair of datacom group at the PIC Summit Europe Conference and IPSR-I workshops with sessions entitled, ‘Paving the way to killer applications in 2035.’ located at TU/e Eindhoven University, Netherlands. Workshop 1c: Datacom roadmap for 2023.
- a. 8th November 2022
 - b. PIC Summit Europe conference and IPSR-I roadmap workshops. <https://www.picsummiteurope.com/program/>
 - c. Located at the TU/e Eindhoven University, Netherlands.
- 342) Invited talk: IEEE IPC (Integrated Photonics Conference) <https://ieee-ipc.org/industry-day/> as part of the conference <https://ieee-ipc.org> in the session Industry Day-Hot topics in industry. Talk entitled: “Enabling More Efficient Optical Networking using Ultra-high Speed, Ultra-low Power, Ultra-small Foundry based Polymer Modulators”
- a. 14th November 2022
 - b. IEEE IPC IEEE IPC (Integrated Photonics Conference) <https://ieee-ipc.org>
 - c. Located at the Hyatt Regency, Vancouver, BC, Canada.
- 343) Invited talk and panelist: IEEE IPC (Integrated Photonics Conference) <https://ieee-ipc.org/industry-day/> as part of the conference <https://ieee-ipc.org> in the session Roundtable on Topics in Entrepreneurship. Talk entitled: “Entrepreneur or

Intrapreneur” with company CEOs that included: OEwaves, Polariton, Freedom Photonics/Luminar, Aeponyx, Octane Wireless.

- a. 14th November 2022
 - b. IEEE IPC IEEE IPC (Integrated Photonics Conference) <https://ieee-ipc.org>
 - c. Located at the Hyatt Regency, Vancouver, BC, Canada.
- 344) Invited Video presentation: Ladenburg Thalmann Virtual Technology Expo conference 2022 entitled “Investor Presentation for Ladenburg Thalmann virtual Technology Expo Investor Presentation”
- a. Video-taped on 29th November 2022 for release on 7th December 2022
 - b. For virtual attendees at the Thalmann Virtual Technology Expo conference 2022.
 - c. Invited video presentation for virtual audience at 2022 Ladenburg Thalmann Virtual Technology Expo: <https://ladenburgtech22.sequireevents.com/>
 - d. Presentation can be found at: <https://ladenburgtech22.sequireevents.com/>
- 345) Invited panelist: Photonics Spectra panel (virtual and recorded) titled, “Scaling PIC-based applications to volume production.” Panelists included representatives from Sicoya, Polariton, and Ligentec, and hosted by Dr. Jose Pozo, CTO Optica.
- a. Recorded on 6th December 2022, for release 9-11th January 2023 (<https://events.photonics.com/Event.aspx?EID=9&OC=EM>)
 - b. Details on the event can be found at: <https://www.photonics.com/Advertise/MarketingBlog.aspx?AID=68312>
 - c. Hosted by Photonics Spectra (www.photonics.com)
- 346) Invited speaker at PhotonDelta’s Delta Dialogues virtual interview entitled, “Heterogeneous Integration for PICs” on the summary and findings of PIC Summit Europe conference on Heterogeneous Integration that took place in November 2022. The session was chaired by Jorn Smeets, PhotonDelta.
- a. 7th December, 2022
 - b. Details of the event can be found at www.photondelta.com and <https://www.photondelta.com/events/> and <https://www.photondelta.com/events/delta-dialogues-december-2022/>
 - c. Hosted by PhotonDelta www.photondelta.com
- 347) Invited Panelist: Ladenburg Thalmann Virtual Technology Expo conference and presentation can be found at: <https://ladenburgtech22.sequireevents.com/>
- a. 7th December 2022
 - b. For virtual attendees at the Thalmann Virtual Technology Expo conference 2022.
 - c. Invited investor presentation at 2022 Ladenburg Thalmann Virtual Technology Expo: <https://ladenburgtech22.sequireevents.com/>
- 348) Invited Webinar and panelist: Roth Capital Partners at the 11th Annual Roth Deer Valley Conference, (<https://www.roth.com/Page/Corporate-Access-Past-Conferences>) with Lightwave Logic investor presentation and meetings.
- a. 14-16th December 2022
 - b. 11th Annual Roth Deer Valley Conference

- c. Financial investment conference for public company review. Panelist for answering questions by bankers, investment specialists, and fund managers on Lightwave Logic and its business plans, technology and plans for the future.
- 349) Invited reviewer for European Commission program as part of the IPCEI (Important Project of Common European Interest) program on electronics and photonics.
 - a. Duration of review process Jun 2022 to Dec 2022
 - b. IPCEI (Important Project of Common European Interest) based on public funding.
 - c. IPCEIs are projects by the EU commission that have the potential to make important contributions to sustainable economic growth, jobs, competitiveness and resilience for industry and the economy in the Union as well as strengthen its open strategic autonomy, by enabling breakthrough innovation and infrastructure projects through cross-border cooperation and with positive spill-over effects on the internal market and the society as a whole. In particular, semiconductor power FETs, laser diodes, amplifiers, FET technologies using both compound semiconductors (GaAs, GaN, InP) as well as silicon semiconductors (Silicon, SiGe) were reviewed.

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- 350) Reviewer: (European Commission) for project InSiDe
 - a. 24th Jan 2023
 - b. Located at IMEC Ghent University facility, Ghent, Belgium
 - c. InSiDe is an EU project for PIC based technology in the medical field to monitor blood flow and artery stiffness in the human body using long wavelength lasers and silicon photonics. Hospital clinical trials will be conducted. It is a follow-on with more clinical trials from an initial CARDIS EU project.
 - d. <http://www.inside-h2020.eu>
- 351) Invited speaker and panelist for Laser Focus World Executive Forum (<https://www.laserfocusworld.com/executive-forum>) in San Francisco with talk entitled, “Hybrid integration with electro-optic polymers” and panel session entitled, “Enabling silicon photonics success”
 - a. 30th January 2023
 - b. Details of the event can be found at <https://www.laserfocusworld.com/executive-forum> and agenda at <https://www.lfwexecutiveforum.com>
 - c. Panelists included: Jose Pozo, CTO Optica, David Piehler, Dell Technologies, Peter Winzer, Nubis Communications, Robert Blum, Intel
- 352) Interview with Benjamin Glick of Mergermarket magazine entitled, “Lightwave could acquire more assets ahead of commercialization—CEO”
 - a. 3rd February 2023
 - b. Details of the interview can be found at: <https://community.ionanalytics.com/lightwave-could-acquire-more-assets-ahead-of-commercialization-ceo>

- c. Video interview remotely from Lightwave Logic HQ in Englewood, Colorado.
- 353) Interview and podcast with Don Witt of Telecom Reseller's Channel Daily News for a podcast on Lightwave Logic: Background, radical innovation, technology, acquisitions, world record results, goals, and the future of optical networking in a decade. Podcast can be found at <https://telecomreseller.com/2023/02/14/lightwave-logic-technology-enables-the-existing-fiber-internet-infrastructure-to-perform-3-times-faster-than-current-speeds-podcast/>
 - a. 8th February 2023 (interview) and published 14th February 2023
 - b. Details can be found at: <https://telecomreseller.com/category/channel-daily-news/>
 - c. Podcast is with Telecom Reseller which provides information on voice mail, audio/video conferencing and peripherals, association and industry news, services, applications, and related topics of daily importance to telecommunications professionals.
- 354) Invited Article entitled: "The Internet Is the Brick Wall Nostradamus Didn't See Coming" with sub-heading, "The road maps Lightwave Logic is creating for the future of photonics will guide people toward the focus areas and the technology challenges they can expect as performance demands increase." For the industry trends section of BBC magazine (Broadband Communities) at (<https://www.bbcmag.com>)
 - a. Published in Broadband Communities 14th February 2023.
 - b. Article can be found at: https://www.bbcmag.com/pub/doc/BBC_Jan23_BrickWall.pdf
- 355) Interview with AlphaStreet entitled, "Lightwave Logic will license technology to make its polymers ubiquitous: CEO Dr. Michael Lebby" Interview can be found at <https://news.alphastreet.com/lightwave-logic-will-license-technology-to-make-its-polymers-ubiquitous-ceo-dr-michael-lebby/>
 - a. 6th March 2023 (interview) and published 10th March 2023
 - b. Details can be found at: <https://news.alphastreet.com/lightwave-logic-will-license-technology-to-make-its-polymers-ubiquitous-ceo-dr-michael-lebby/>
 - c. Interview is with AlphaStreet which provides financial information on companies and utilize NLP and AI techniques in the research industry.
- 356) Invited speaker: Roth Capital Partners 35th Annual Roth Conference (<https://www.roth.com/Page/Corporate-Access-Past-Conferences>)
 - a. 13th Mar 2023
 - b. 35th Annual Roth Conference (www.roth.com/oc2023)
 - c. Financial investment conference for public company review. Panelist for answering questions by bankers, investment specialists, and fund managers on Lightwave Logic and its business plans, technology and plans for the future.
- 357) Interview with Laser Focus by Jose Pozo as part of his Industry Executive interviews for the photonics industry. Key topics included intrapreneur and entrepreneur experience, Lightwave Logic, photonics experience, OIDA, roadmapping, and key drivers for a career in photonics integration Interview can be found at:

<https://www.laserfocusworld.com/fiber-optics/article/14293915/how-thinking-ahead-shaped-the-fiberoptics-industry>

- a. 16th March 2023 (interview) and published 17th May 2023
 - b. Details can be found at: <https://www.laserfocusworld.com>
 - c. Interview is with Laser Focus World which was originally published in 1965, as *Laser Focus World*—a monthly magazine for engineers, researchers, scientists, and technical professionals—provides comprehensive global coverage of optoelectronic technologies, applications, and markets. Nearly 70,000 qualified optoelectronics professionals from around the world currently subscribe.
- 358) Interview with IDC by Brandon Hoff on Lightwave Logic, background, radical innovation, proprietary electro-optic polymer technology, goals and why the company is planning on making polymers ubiquitous for the hyper-scaler, datacenter markets.
- a. 16th March 2023 (interview)
 - b. Details can be found at: <https://www.idc.com>
 - c. Interview is with IDC which provides market and financial information on the information technology and optical networking industry.
- 359) Invited article for ISE entitled “Proactive Powering Problem-Solving with Polymers” <https://www.isemag.com/fttx-optical-networks/article/14290981/proactive-powering-problemsolving-with-polymers>
- a. 16th March 2023
 - b. Details can be found at: <https://www.isemag.com>
 - c. ISE has articles on IT solutions and education.
- 360) Invited article and interview (from panel discussion) at Laser Focus World Executive Forum (<https://www.laserfocusworld.com/executive-forum>) in San Francisco with article entitled, “Silicon photonics: Is it witchcraft?” <https://www.laserfocusworld.com/executive-forum/article/14290913/silicon-photonics-is-it-witchcraft>
- a. 17th March 2023
 - b. Details of the event can be found at <https://www.laserfocusworld.com/executive-forum> and agenda at <https://www.lfwexecutiveforum.com>, and article at: <https://www.laserfocusworld.com/executive-forum/article/14290913/silicon-photonics-is-it-witchcraft>, written by Sally Cole Johnson for Laser Focus.
 - c. Panelists included: Jose Pozo, CTO Optica, David Piehler, Dell Technologies, Peter Winzer, Nubis Communications, Robert Blum, Intel
- 361) Invited article for IMS expert insights entitled, “An Expert Witness Perspective on Inter Partes Review of Patents” by Dr. Michael Lebby, IMS Elite Expert, <https://www.expertservices.com/insight/expert-witness-ipr-patents/>
- a. 12th April 2023
 - b. Details can be found at <https://www.expertservices.com>
 - c. Patent litigation cases can be won or lost through the actions and competency of an expert witness—making their role not only important but crucial. The article takes a closer look at the part an expert witness plays during the *inter partes* review and considers how the IPR process could be improved.

- 362) Invited interview with Semiconductor Engineering by Karen Heyman, in an article called <https://semiengineering.com/transitioning-to-photonics/> entitled, “Transitioning to Photonics”
- Interview taken on 4th April 2023 and published online 14th April 2023
 - Details can be found at: <https://semiengineering.com/about-us/>
 - The article is based on the premise that high speed and low heat make photonics technology essential, especially compared to electronics, but it’s extremely complex, and talent is hard to find and train.
- 363) Session Co-chairman: 8th PIC International conference, www.picinternational.net
- 17-18th April 2023 for 2 days of PIC sessions.
 - Conference hosted by Angel events together with CS International and Power Electronics International conferences.
 - Sheraton Airport Hotel, Brussels, Belgium.
- 364) Chair Panel session: For the panel entitled, “Foundry models for PICs” of 8th PIC International conference, www.picinternational.net and moderated by Jose Pozo, CTO Optica. Panel members included Global Foundries, Tower, VTT
- 17th April 2022
 - Conference hosted by Angel events together with CS International, and Power Electronics International conferences.
 - Sheraton Airport Hotel, Brussels, Belgium.
- 365) Invited speaker and oral presentation: With presentation entitled, “Positioning electro-optic polymer modulators as an optical engine to extend data rate and low power performance for optical networking” at the 8th PIC International conference, www.picinternational.net in the day 2 session, “Scaling PICs in volume using foundries”
- 18th April 2023
 - Conference hosted by Angel events together with CS International, and Power Electronics International conferences.
 - Sheraton Airport Hotel, Brussels, Belgium.
- 366) Invited speaker: “Status of Lightwave Logic and electro-optic polymers” at the Cleydael Golf Club, Antwerp, Belgium (www.cleydael.be)
- 18th April 2023
 - Meeting organized by Belgium and Dutch retail investors of Lightwave Logic Inc. (NASDAQ:LWLG)
 - Location: Belgium
- 367) Invited interview with Semiconductor Engineering by Karen Heyman, in an article called <https://semiengineering.com/new-standards-push-co-packaged-optics/> entitled, “New standard push co-packaged optics”
- Interview taken on 4th April 2023 and published online 26th April 2023
 - Details can be found at: <https://semiengineering.com/about-us/>
 - The article is based on the premise that co-packaged optics (CPOs) promises five times the bandwidth of pluggable connections, however, the new architecture requires multiple changes to accommodate different applications, and if the

- design is efficient the optical engines will be able to impact both on-board optics as well as pluggable transceivers.
- 368) Invited speaker and oral presentation for the Sequire Investor Summit, San Juan, Puerto Rico. The presentation was entitled, “LWLG April 2023 investor presentation” with link <https://puertorico.srax.com> with agenda: <https://schedule.puertorico.srax.com>
- Conference on 25th and 26th April 2023 with presentation on 25th April 2023
 - Link to website for Sequire event: <https://puertorico.srax.com>
 - Investor conference at the La Concha hotel San Juan, Puerto Rico.
- 369) Invited speaker and oral presentation: Ladenburg Thalmann Technology Expo and conference 2023. The presentation was entitled, “LWLG April 2023 investor presentation” and can be found at: https://www.meetmax.com/sched/event_94169/_co-list_cp.html
- 27th April 2023
 - The conference and expo 2023, was held at Convene Hotel, Park and 41st, New York, New York and can be found at: https://www.meetmax.com/sched/event_94169/_co-list_cp.html and also at <https://www.ladenburg.com/investment-banking/ladenburg-thalmann-co-inc->
 - The 2023 Expo featured presentations from the managements of approximately 50-60 public and private technology companies covering Media and Content-Tech, Software and Service-Tech, and Hardware and Defend-Tech.
- 370) Invited article: 8th PIC International conference overview entitled, “An overview of Angeltech 2023 (PIC international conference)”, which can be found at www.picinternational.net with newsletters@angelmail.co.uk
- 2nd May 2023
 - Overview is based on the results of the PIC International Conference hosted by Angel events (17-18th April 2023) together with CS International and Power Electronics International conferences.
 - Sheraton Airport Hotel, Brussels, Belgium.
- 371) Invited speaker to chair Lightwave Logic Inc’s Annual Shareholder Meeting (ASM) at the Inverness Hilton, Englewood, Colorado.
- 25th May 2023
 - Lightwave logic Inc., Denver, Colorado.
 - Read script, chair voting procedures for Lightwave Logic Annual shareholder meeting for Lightwave Logic Inc.
- 372) Invited speaker for management/CEO presentation for Lightwave Logic Inc., at the Annual Shareholder Meeting (ASM) at the Inverness Hilton, Englewood, Colorado
- 25th May 2023
 - Lightwave logic Inc., Denver, Colorado.
 - Annual shareholder meeting for Lightwave Logic Inc., with live presentation with live Q&A from investors.
- 373) Reviewer: European Commission HORIZON-CL4-2022-DIGITAL-EMERGING-Human-01-57 (Digital and emerging technologies for competitiveness and

fit for the Green Deal), Horizon IA: Towards next generation of cost-effective multi and hyper spectral remote sensing systems, and applications to health, automotive, and waster sorting.

- a. 10-26th May 2023
 - b. European Commission: Reviewing submitted technical photonics proposals for Horizon 2023 evaluation calls and rate proposals to EU guidelines.
 - c. IA: <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020>
- 374) Invited keynote speaker at Safecapital investor conference in Ghent with talk entitled, “Commercializing electro-optic polymers” hosted by Brecht Arnaert, Chief Editor www.safecapital.eu with Safecapital Lente meeting, Vander Valk Hotel, Ghent.
- a. 27th May 2023
 - b. Vander Valk Hotel, Ghent, Belgium
 - c. Safecapital organized a retail investor event with an invite for Lightwave Logic to present and network with investors: <https://tixoom.app/brechtarnaert/ur0v1eff>
- 375) Invited interview with Stephen Hardy of Lightwave Online (www.lightwaveonline.com) with discussion entitled, “Lightwave Logic reaches electro-optic polymer materials commercialization with supply license agreement” and can be found at: https://www.lightwaveonline.com/optical-tech/components/article/14294661/lightwave-logic-reaches-electrooptic-polymer-materials-commercialization-with-supply-license-agreement?utm_source=LW+Friday+5&utm_medium=email&utm_campaign=CPS230602105&o_eid=9764C7112945F2S&rdx.ident%5Bpull%5D=omeda%7C9764C7112945F2S&oly_enc_id=9764C7112945F2S
- a. Interview conducted on 30th May 2023, and published at www.lightwaveonline.com on 1st June in Stephen Hardy’s Friday 5.
 - b. Article published at <https://www.lightwaveonline.com>
 - c. Lightwave online reports on activity in the fiber optics lightwave communications industry at <https://www.lightwaveonline.com>
- 376) Invited Live TV interview: Benzinga (Spencer Israel show).
- a. TV interview on 9th June 2023 with youtube recording: <https://www.youtube.com/watch?v=jFiB9P3voUk>
 - b. Presentation can be found at: <https://www.youtube.com/watch?v=jFiB9P3voUk> and the Spencer Israel show/background at <https://www.linkedin.com/in/spencer-israel-44b02b31/> and <https://www.benzinga.com/author/spencer-israel/>
- 377) Project advisor and panelist: European Commission HORIZON-CL4-2021-DIGITAL-EMERGING-01-07 LOLIPOP EU project number 101070441
- a. 21st June 2023
 - b. European Commission
 - c. <https://horizon-de-lolipop.eu>
 - d. LOLIPOP: Lithium NiObate empowered siLIcon nitride Platform for fragmentation free OPeration in the visible and the NIR. Material technology includes LNOI (lithium niobate on insulator) and TFLN (thin film lithium niobate).

- 378) Contributing author: Heterogeneous Integration Roadmap (2023 edition), Chapter 17 Test Technology which can be found at:
https://eps.ieee.org/images/files/HIR_2023/ch17_test.pdf
- 9th August 2023
 - <https://eps.ieee.org/technology/heterogeneous-integration-roadmap.html>
 - Overview of the roadmap: The ITRS Assembly and Packaging Working Group has had many years of history of collaboration with the IEEE Societies in holding workshops and work sessions at IEEE Societies sponsored conferences and events around the world. The basis of this collaboration is the common shared interest in a Technology Roadmap for the IEEE Societies' membership, conference attendees and participants in the ITRS Technical Working Group work sessions. IEEE Societies Conferences are high profile forums on the latest research and development in Packaging, Interconnect, Test and the materials, processes and equipment supporting this R&D. The Roadmap workshops of the ITRS had provided an opportunity for the participants to review the directions of these technologies and build a technology Roadmap looking 15 years into the future.
- 379) Invited interview with the publication TWST (The Wall Street Transcript) and Erik Sherman, a reporter at (www.twst.com). The interview entitled "Interview with the Chairperson and CEO: Lightwave Logic Inc. (NASDAQ:LWLG)"
- Interview taken on 42nd August 2023 and published online 17th August 2023
 - Details can be found at: (www.twst.com) and <https://www.twst.com/interview/interview-with-the-chairperson-and-ceo-lightwave-logic-inc-nasdaqlwlg>
 - The Wall Street Transcript is a subscriber-based online and print publication for retail and institutional investors. For more than 50 years, it has published thousands of in-depth interviews with CEOs, industry analysts and professional money managers. The article is about Lightwave Logic and their market positioning for electro-optic polymer modulators in fiber optic communications.
- 380) Invited Live TV interview with Planet MicroCap Podcast and host Robert Kraft <https://planetmicrocapshowcase.com> as Chairman and CEO of Lightwave Logic Inc.
- Recorded 23rd August 2023 and went live at the conference 6th September 2023.
 - Hosted by Robert Kraft with video interview can be found at the website <https://planetmicrocapshowcase.com>
 - Location: Virtually recorded in Washington DC
- 381) Invited presentation entitled, "commercializing electro-optic polymers" with Q&A by host Robert Kraft for Vancouver conference by Planet MicroCap <https://planetmicrocapshowcase.com>
- 23rd August 2023 with presentation conveyed on 6th and 7th September 2023
 - Hosted by Robert Kraft with video Q&A that can be found at the website <https://planetmicrocapshowcase.com> and <https://planetmicrocapshowcase.com/page-1551>

- c. Location: Virtually recorded in Washington DC for virtual viewing in Vancouver Canada.
- 382) Invited interview for the Denver Business Journal, reported by Cassidy Ritter. With title of article, “Englewood tech company making the internet faster expands lab to scale commercial arm: The CEO said high speed and low power are the internet’s Achilles heels, but that his company’s technology can address those concerns.”
 - a. 8th September 2023
 - b. Web link: <https://www.bizjournals.com/denver/news/2023/09/08/lightwave-logic-expands-lab-commerical-arm.html>
 - c. The Denver Business Journal reports on business activities in the Denver tech center region. <https://www.bizjournals.com/denver/> Interview location at Lightwave Logic Headquarters in Englewood, Colorado.
- 383) Invited talk at HC Wainwright conference called: ‘H.C. Wainwright 25th Annual Global Investment Conference’, with a talk entitled, “Making the internet faster and more efficient” and link to website: <https://journey.ct.events/hub/37dab2e5-2e54-48d4-9288-d6a3d11a986c> and <https://hcwco.com>
 - a. 11 and 12th September 2023 (talk on 11th September 2023)
 - b. Meeting organized by HC Wainwright Investment Banking (<https://hcwco.com> and <https://journey.ct.events/vpages/37dab2e5-2e54-48d4-9288-d6a3d11a986c/ae480130-ce5f-4513-9cd1-81afdb08853a>). Location: Lotte Palace Hotel, New York City, NY
- 384) Invited article for Techradar: The Business Technology Experts (www.techradar.com) entitled: “Artificial intelligence is a very real data center problem”
 - a. Published 18th September 2023
 - b. Web link: <https://www.techradar.com/pro/artificial-intelligence-is-a-very-real-data-center-problem>
 - c. The techradar pro (<https://www.techradar.com/pro>)
- 385) Chair of technical committee for ECOC exhibit’s Market Focus sessions at ECOC 2023, at the SECC conference center, Glasgow, Scotland.
 - a. 2nd – 4th October 2023
 - b. Six Market Focus sessions and two panels were organized for ECOC Market Focus 2023 with sessions in broadband access, datacentres, service provider optical transmission, network agility/SDN, photonics integration, new component technologies and packet optical transport. ECOC exhibit organized by Nexus Business Media Ltd.
 - c. <https://www.ecocexhibition.com/ecoc-exhibition-2022/> and highlights including photographs at: <https://www.ecocexhibition.com/home/2023-highlights/>
- 386) Session Chair for two sessions at ECOC’s Market Focus session 1 and session 2 on modules/sub-systems.
 - a. 2nd October 2023
 - b. These two sessions (am and pm) attracted up to 400 attendees in various talks, and focused on optical pluggable modules and transceivers, and their associated line cards, switches, routers and sub-system optical networking equipment.

- c. The sessions can be found at: <https://www.ecocexhibition.com/visit/market-focus/market-focus-2023-timetable/> The Market Focus sessions were held at the SECC conference center, Glasgow, Scotland.
- 387) Awards committee chairman, Market Focus, ECOC exhibit, 2023. With role to present 9 awards to winners of industrial product-based technologies in optical communications.
 - a. 3rd October 2023
 - b. The Market Focus awards <https://www.ecocexhibition.com/home/2023-highlights/> is a competition that is run by the technical committee with submissions from various industrial companies globally.
 - c. The awards were presented to winners at the Product Focus auditorium to 9 winners on 3rd October 2023 (<https://www.ecocexhibition.com/industry-awards/>) at ECOC's exhibit, SECC conference center, Glasgow, Scotland.
- 388) Selected talk and speaker at ECOC's Market Focus session on modules/sub-systems. Talk was entitled, "Commercializing reliable hybrid electro-optic polymer modulators" and can be found at: <https://www.ecocexhibition.com/visit/market-focus/>
 - a. 2nd October 2023
 - b. Every year the Market Focus is the key place to get up-to-date knowledge from across the industry in key technical areas and discover the latest commercial trends in optical communications. Now in it's 17th year the Market Focus theatre is one of the highlights of the ECOC Exhibition attracting the world's best speakers from the industry globally.
 - c. Talk can be found from the Market Focus session talks at: <https://www.ecocexhibition.com/visit/market-focus/market-focus-2023-timetable/>
- 389) Invited video interview by ECOC TV: "2023 Market Focus review in Glasgow, Scotland" as Chairman of ECOC 2023 Market Focus Technical Committee at the conference.
 - a. Recorded 3rd October 2023
 - b. Market Focus Exhibition for ECOC 2023,
 - c. Hosted by ECOC exhibition: <https://www.ecocexhibition.com/visit/market-focus/> and <https://www.ecocexhibition.com/home/2023-highlights/>
- 390) Invited video interview by ECOC TV for industrial award competition opening for 2024's ECOC at Frankfurt, Germany as Chairman of ECOC Market Focus Technical Committee.
 - a. Recorded 3rd October 2023
 - b. Market Focus Industrial Awards competition for ECOC 2024.
 - c. Hosted by ECOC exhibition: <https://www.ecocexhibition.com/visit/market-focus/> and <https://www.ecocexhibition.com/home/2023-highlights/>
- 391) Invited video interview by ECOC TV for invited abstracts for 2024's Market Focus technical sessions at ECOC at Frankfurt, Germany as Chairman of ECOC Market Focus Technical Committee.
 - a. Recorded 3rd October 2023
 - b. Market Focus invite for abstracts for ECOC 2024.

- c. Hosted by ECOC exhibition: <https://www.ecocexhibition.com/visit/market-focus/> and <https://www.ecocexhibition.com/home/2023-highlights/>
- 392) Winner: Acceptance of glass trophy for ECOC Industry awards on behalf of Lightwave Logic Inc. in the most innovative hybrid/PIC (photonic integration circuit) integration platform: <https://www.ecocexhibition.com/visit/ecoc-exhibition-industry-awards/>
 - a. 3rd October 2023
 - b. Held at the Product Focus session, ECOC Exhibition Industry Award Winner Announcement (<https://www.ecocexhibition.com/home/2023-highlights/>)
 - c. ECOC 2023, Glasgow, Scotland. The award is for the most innovative product platform in hybrid PICs across the industry—what new product is driving change in its respective market segment. What’s timely and helping to increase the use of optics. Metrics would include design features that are photonics, electronics, thermal, mechanical, chemical, environmental and carbon footprint based (<https://www.ecocexhibition.com/industry-awards/>).
- 393) Invited video ‘Lightwave Logic advancing its silicon photonic prototypes’ by Proactive, (<https://www.proactiveinvestors.com>), and is interviewed by Tom Warner with video <https://www.proactiveinvestors.co.uk/companies/news/1028712/lightwave-logic-picks-up-industry-award-for-its-work-in-speeding-up-the-internet-1028712.html>
 - a. 4th October 2023
 - b. Proactive video (Wall St) series (<https://youtu.be/amz8jxV9YS4>)
 - c. Lightwave Logic, Inc. (NASDAQ: LWLG) CEO Michael Lebby is interviewed live at ECOC 2023 show floor with a glass trophy in hand from winning the hybrid/PIC integration platform award at Market Focus Awards (<https://www.ecocexhibition.com/industry-awards/>)
- 394) Invited video interview by ECOC TV: “2023 Industry Award Winners ECOC Exhibition, Glasgow, Scotland” as Chairman of ECOC 2023 Market Focus Technical Committee at the conference: https://youtu.be/k_CYdV7CDd0
 - a. Recorded 3rd October 2023 and published 13th October 2023
 - b. Industry awards interview can be found at: https://youtu.be/k_CYdV7CDd0
 - c. Hosted by ECOC exhibition: <https://www.ecocexhibition.com/visit/market-focus/> and <https://www.ecocexhibition.com/home/2023-highlights/>
- 395) Invited video interview by ECOC TV: “Winner of Most innovative Product – Hybrid PIC/Optical Integration Platform for Lightwave Logic Inc., <https://youtu.be/KYYQjVHfDq8>
 - a. Recorded 3rd October 2023 and published 13th October 2023
 - b. Industry winning award interview can be found at: <https://youtu.be/KYYQjVHfDq8>
 - c. Hosted by ECOC exhibition: <https://www.ecocexhibition.com/visit/market-focus/> and <https://www.ecocexhibition.com/home/2023-highlights/>
 - d.
- 396) Invited speaker at the Photonics Enabled Cloud Computing (PECC) Summit organized by Optica

- (https://www.optica.org/events/industry_events/2023/optica_photonic-enabled_cloud_computing_industry_summit_at_juniper_networks/program/) Talk entitled: “Commercializing Electro-Optic Polymers” and was given in the 5th session on Materials and Components to Advance Optical Technologies.
- a. 24-25th October 2023; talk took place on 25th October 2023
 - b. Organized by Optica
(https://www.optica.org/events/industry_events/2023/optica_photonic-enabled_cloud_computing_industry_summit_at_juniper_networks/)
 - c. Located at Juniper’s conference facility, Sunnyvale, California.
- 397) Invited co-speaker at PIC Summit Conference, Eindhoven, Netherlands in conjunction with IPSR conference for Polymers
- a. 8th November 2023
 - b. PIC Summit Europe conference. <https://www.picsummiteurope.com>
 - c. Located at the TU/e Eindhoven University, Netherlands.
- 398) Invited co-speaker at PIC Summit Conference, Eindhoven, Netherlands in conjunction with IPSR conference for datacom and telecom
- a. 8th November 2023
 - b. PIC Summit Europe conference. . <https://www.picsummiteurope.com>
 - c. Located at the TU/e Eindhoven University, Netherlands.
- 399) Project advisor and panelist: European Commission HORIZON-CL4-2022-DIGITAL-EMERGING-01-03 CORALIDAR EU project number 101092834 for 1st review meeting (virtual).
- a. 30th November 2023
 - b. European Commission: <https://corali-dar.eu>
 - c. CORA-LIDAR: Collaborative Radio - Light Detection And Ranging
- 400) Invited Live TV interview: Benzinga All Access Fireside Chat (15mins inclusive of Q&A) as part of the Zunaid Suleman show.
- a. TV interview on 4th December 2023 with youtube recording: https://www.youtube.com/watch?v=CEZ51a_HTpY
 - b. Video interview can be found at: <https://www.benzinga.com> and https://www.youtube.com/watch?v=CEZ51a_HTpY and the Zunaid Suleman show/background at <https://www.benzinga.com/events/speakers/zunaid-suleman/>
 - c. Location: Virtually recorded in New York, NY

2024

- 401) Invited speaker and oral presentation for the Sequire Investor Summit, San Juan, Puerto Rico. The presentation was entitled, “LWLG January 2024 investor presentation” with link <https://puertorico.srax.com> with agenda: <https://schedule.puertorico.srax.com>
- a. Conference on 24th and 26th January 2024 with presentation on 24th January 2024
 - b. Link to website for Sequire event: <https://puertorico.srax.com>
 - c. Investor conference at the Conadado Vanderbuilt hotel San Juan, Puerto Rico.

- 402) Introducing speaker and moderator for Optica's VIP executive meeting at MOMA (as part of Photonics West conference in San Francisco, CA). Moderating Alan Lowe through Q&A with an audience of >400.
- 29th Jan 2024
 - Details can be found at www.optica.org
 - Location: Museum of Modern Art, San Francisco,
- 403) Reviewer: (European Commission) for project InSiDe
- 30th Jan 2024
 - Virtual meeting for the consortium.
 - InSiDe is an EU project for PIC based technology in the medical field to monitor blood flow and artery stiffness in the human body using long wavelength lasers and silicon photonics. Hospital clinical trials will be conducted. It is a follow-on with more clinical trials from an initial CARDIS EU project.
 - <http://www.inside-h2020.eu>
- 404) Chair and moderator/host for EPIC Photonics VIP executive reception, located at 1 Hawthorne Street, San Francisco, CA 94105.
- 30th Jan 2024
 - Located at 1 Hawthorne St., San Francisco which attracted over 150 attendees.
 - The EPIC VIP executive reception is the 4th in the series and was organized by EPIC Photonics.
 -
- 405) Interviewer & Chair and moderator for Optica's VIP executive reception, located in parallel with SPIE's Photonics West 2024. The role included a speech to introduce Lumentum's President and CEO Alan Lowe, and to moderate questions and answers (on behalf of having the role of Chair, Corporate Engagement Council, Optica).
- 30th Jan 2024
 - Located at the auditorium of the San Francisco Museum of Modern Art (SF-MOMA), which attracted a sellout of 500 guests.
 - The Optica VIP executive reception is the 2nd in the series, with the first being held in 2023.
- 406) Project advisor and panelist: European Commission HORIZON-EIC-2022-TRANSITION OPEN-01 (ALUVIA) EU project number 101099699 for 1st review meeting (virtual).
- 16th February 2024
 - European Commission: <https://aluvia-project.eu>
 - ALUVIA: Aluminium oxide integrated photonic platform for applications in the ultraviolet (UV)
- 407) Invited and recorded TV interview: Benzinga All Access Fireside Chat (15mins inclusive of Q&A) as part of the Zunaid Suleman show.
- Recorded TV interview on 23rd February 2024 for release 1st March 2024
https://www.youtube.com/watch?v=CEZ51a_HTpY

- b. Video interview can be found at: <https://www.benzinga.com> and https://www.youtube.com/watch?v=CEZ51a_HTpY and the Zunaid Suleman show/background at <https://www.benzinga.com/events/speakers/zunaid-suleman/>
- c. Location: Virtually recorded in Washington, DC.
- 408) Project advisor and panelist: European Commission Cordis InPULSE (jeppix) EU project number/grant agreement 824980 for 3rd review meeting (virtual).
 - a. 14th March 2024
 - b. European Commission: <https://cordis.europa.eu/project/id/824980/reporting> and <https://www.jeppix.eu>
 - c. InPULSE: Establishing a proven European PIC ecosystem by building an open access pilot line as well as InP pilot line for scaled up, self-sustained PIC ecosystem.
- 409) Invited speaker and panelist for OFC workshop entitled “Will Heterogeneous Integration Meet the Needs of Future Applications?” (<https://www.ofcconference.org/en-us/home/program-speakers/workshops/will-heterogeneous-integration-meet-the-needs/>) in OFC 2024, San Diego, California. Presentation was entitled, “Electro-optic polymers for heterogeneous integration.”
 - a. 24th March 2024
 - b. Details of the event can be found at <https://www.ofcconference.org/en-us/home/program-speakers/> and agenda at (<https://www.ofcconference.org/en-us/home/program-speakers/workshops/will-heterogeneous-integration-meet-the-needs/>) Panelists included: Wim Bogaerts, *Ghent University, Belgium*, Alex Chikhaoui, *X-Celeprint, Ireland*, Ivan Huang, *Avicena Tech, United States*, Sylvie Menezo, *Scintil, France*, Edward Preisler, *Tower Semiconductor, United States*, Mian Zhang, *Hyperlight, United States*
 - c. Location: Live at San Diego conference center, San Diego, California
- 410) Invited moderator for Optica’s Executive forum CEO panel at OFC 2024 in San Diego, California, (https://www.optica.org/events/industry_events/2024/optica_executive_forum_at_ofc_2024/program/). The CEO panel consisted of: [David Heard](#) CEO, Infinera, [Alan Lowe](#) CEO, Lumentum, [Chuck Mattera](#) CEO, Coherent, [Bill Brennan](#) CEO, Credo
 - a. 25th March 2024
 - b. Details of the Executive Forum can be found at: https://www.optica.org/events/industry_events/2024/optica_executive_forum_at_ofc_2024/ located at the Hilton Bayfront, San Diego, California
- 411) Invited video “Lightwave Logic Demonstrates World-Class 200Gbps Heterogeneous Polymer/Silicon Photonic Modulator Results through Extending Silicon Photonics Performance using its Proprietary Perkinamine® Electro-Optic Materials Based on 200mm Commercial Wafers at the 2024 Optical Fiber Conference in San Diego” by Proactive, (<https://www.proactiveinvestors.com>), and is interviewed by Steve Darling with link: [Lightwave Logic Leads the Way in Electro-Optic Polymer Technology for Enhanced Data Transmission](#)
 - a. 4th April 2024 (published 11:21am)

- b. Proactive video (Wall St) series
(<https://www.proactiveinvestors.com/NASDAQ:LWLG/Lightwave-Logic-Inc>)
 - c. Lightwave Logic, Inc. (NASDAQ: LWLG) Chairman and CEO Michael Lebby is interviewed after OFC2024.
- 412) Invited speaker: LD Micro Invitational XIV conference at Sofitel Hotel New York, NY with presentation entitled, “Lightwave Logic status and update” <https://ldinv14.sequireevents.com/> and https://www.meetmax.com/sched/event_103991/investor_welcome.html
- a. 8th and 9th April 2024 with oral presentation on 9th April 2024
 - b. Presentation at <https://api.mziq.com/mzfilemanager/v2/d/307dbc8b-e212-48ba-9968-8cef3f6b5188/683426d1-5a08-bc3a-6df3-ce127bd5ef17?origin=2>
 - c. Hosted by LD Micro NASDAQ: SRAX with URL: <http://www.ldmicro.com>
- 413) Project advisor and panelist: European Commission PhotonHub EU project number/grant agreement 101016665 for 2nd review meeting (virtual). Project call [DT-ICT-04-2020 - Photonics Innovation Hubs](#)
- a. 11th and 12th April 2024
 - b. European Commission: <https://www.photonhub.eu> and <https://cordis.europa.eu/project/id/101016665>
 - c. PhotonHub: PhotonHub Europe is a unique European full-service one-stop-shop Photonics Innovation Hub to advance EU competitiveness.
- 414) Invited Chair and conference moderator for the 1st and inaugural ESS (Executive Strategy Summit) – a global perspective that includes electronics, semiconductors, photonics, sensing with focus a) economic trends and market drivers, b) funding opportunities , c) intellectual property, patents, mergers and acquisitions. <https://angel-tech.net/executivestrategysummit/agenda>
- a. 15th April 2024
 - b. Brussels, Belgium
 - c. <https://angel-tech.net/executivestrategysummit> The conference will delve into key topics such as emerging economic trends, disruptive technologies, market forecasts, and funding strategies. By exploring these areas, attendees will gain a deeper understanding of the market landscape and the implications for their business strategies. Moreover, they will gain valuable insights into how to navigate the complex funding landscape and capitalize on growth opportunities in the CS, PIC and PE industries.
- 415) Invited panel speaker for PIC (PIC International Conference) panel entitled, “Advancing the frontier of photonic integration: challenges and innovations in PIC packaging” with panel members from PHIX, LWLG, Tyndall National Institute (PIXAPP), POET Technologies. <https://picinternational.net/agenda>
- a. 16th April 2024
 - b. Brussels, Belgium
 - c. <https://picinternational.net> The 9th PIC International conference aims to connect, educate, and inspire the photonic integrated circuit (PIC) industry. With presentations covering seven sectors, attendees will gain insights into topics like

Hybrid PICs: Pioneering New Frontiers in Photonic Integration; PIC Packaging: Securing Optimal Integration and Performance; Quantum Era: Unleashing PICs' Boundless Potential; Rapid Scaling: Foundries Fuelling PICs' Mass Production; Accelerating PIC Adoption in Established Markets; PIC Size and Simulation: Enhancing Design Efficiency, and Power Efficiency: Minimizing Consumption in PICs.

- 416) Invited conference co-chair (with David Cheskis) for 9th PIC (PIC International Conference) <https://picinternational.net/agenda>
- 16th and 17th April 2024
 - Brussels, Belgium
 - <https://picinternational.net> The 9th PIC International conference aims to connect, educate, and inspire the photonic integrated circuit (PIC) industry. With presentations covering seven sectors, attendees will gain insights into topics like Hybrid PICs: Pioneering New Frontiers in Photonic Integration; PIC Packaging: Securing Optimal Integration and Performance; Quantum Era: Unleashing PICs' Boundless Potential; Rapid Scaling: Foundries Fuelling PICs' Mass Production; Accelerating PIC Adoption in Established Markets; PIC Size and Simulation: Enhancing Design Efficiency, and Power Efficiency: Minimizing Consumption in PICs.
- 417) Invited speaker for 9th PIC International Conference with talk entitled, “High speed, low power, tiny modulators in a polymer PIC platform are poised to enable 800G/1.6Tbps data communications, driven in part by artificial intelligence.” <https://picinternational.net/agenda>
- 16th April 2024
 - Brussels, Belgium
 - <https://picinternational.net> The 9th PIC International conference aims to connect, educate, and inspire the photonic integrated circuit (PIC) industry. With presentations covering seven sectors, attendees will gain insights into topics like Hybrid PICs: Pioneering New Frontiers in Photonic Integration; PIC Packaging: Securing Optimal Integration and Performance; Quantum Era: Unleashing PICs' Boundless Potential; Rapid Scaling: Foundries Fuelling PICs' Mass Production; Accelerating PIC Adoption in Established Markets; PIC Size and Simulation: Enhancing Design Efficiency, and Power Efficiency: Minimizing Consumption in PICs.
- 418) Invited talk for Lightwave Logic (LWLG) retail investor base, Antwerp, Belgium. Investor as part of a multi-company retail investor conference. Talk entitled, “Recent commercial and technical progress in Lightwave Logic polymer modulators.”
- 19th April 2024
 - Crown Plaza Hotel, Antwerp, Belgium
 - Organized by Belgium and Dutch retail investors as part of a 150 person conference.
- 419) Invited keynote speaker at Safecapital investor conference in Ghent with talk entitled, “Recent progress in commercializing electro-optic polymers” hosted by Brecht

Arnaert, Chief Editor www.safecapital.eu with Safecapital Lente meeting, Vander Valk Hotel, Ghent.

- a. 20th April 2024
 - b. Vander Valk Hotel, Ghent, Belgium
 - c. Safecapital organized a retail investor event with an invite for Lightwave Logic to present and network with investors: <https://tixoom.app/brechtarnaert/ur0v1eff>
- 420) Project advisor and panelist: European Commission HORIZON-CL4-2021-DIGITAL-EMERGING-01-07 LOLIPOP EU project number 101070441 for 2nd review meeting (virtual).
- a. 29th April 2024
 - b. European Commission: <https://horizon-de-lolipop.eu>
 - c. LOLIPOP: Lithium NiObate empowered siLIcon nitride Platform for fragmentation free OPERATION in the visible and the NIR. Material technology includes LNOI (lithium niobate on insulator) and TFLN (thin film lithium niobate).
- 421) Invited speaker for HC Wainwright non-deal roadshow presenting Lightwave Logic investor presentations to selected funds, investment banks etc.
- a. 30th April 2024
 - b. HW Wainwright banking <https://hcwevents.com>
 - c. HW Wainwright organized a NDR for LWLG Inc., <https://hcwco.com/about-us/our-history/>
- 422) Invited speaker and presentation at Lightwave Logic Annual Shareholder meetings 2024 with Business Management Update, and presentation entitled, “Lightwave logic CEO management review”
- a. 22nd May 2024
 - b. Presentation can be found at:
https://viaid.webcasts.com/viewer/event.jsp?ei=1661614&tp_key=0e4b468303
- 423) Invited speaker to chair Lightwave Logic Inc’s Annual Shareholder Meeting (ASM) at the Inverness Hilton, Englewood, Colorado.
- a. 22nd May 2024
 - b. Lightwave logic Inc., Denver, Colorado:
https://viaid.webcasts.com/viewer/event.jsp?ei=1661614&tp_key=0e4b468303
 - c. Read script, chair voting procedures for Lightwave Logic Annual shareholder meeting for Lightwave Logic Inc.
- 424) Invited Webinar and virtual fireside chat with Maxim equity research analyst Matthew Galinko as part of their TMT Conference
- a. 4th June 2024
 - b. Virtual interview/fireside chat and webinar.
 - c. Maxim equity research <https://maximgrp.com/divisions/equity-research>
- 425) Invited keynote speaker at ISLiST VII (VIIth International School of Light Sciences and Technologies (in collaboration with UIMP (Universidad Internacional Menedez Pelayo), with keynote talk entitled, “Next Generation of Photonics Integrated Circuits as key for communications and sensing”

- a. 17th June 2024
 - b. Talk given at Palacio de la Magdalena (royal palace of Magdalena), Santander, Spain.
 - c. Conference organized by Professor Jose Miguel Lopez Higuera as part of light in communications, sensing and lighting.
- 426) Invited and recorded TV interview: Benzinga All Access Fireside Chat (15mins inclusive of Q&A) as part of the Zunaid Suleman show.
- a. Recorded TV interview on 8th August 2024 for release 12th August 2024
https://www.youtube.com/watch?v=CEZ51a_HTpY
 - b. Video interview can be found at: <https://www.benzinga.com> and https://www.youtube.com/watch?v=CEZ51a_HTpY and the Zunaid Suleman show/background at <https://www.benzinga.com/events/speakers/zunaid-suleman/>
 - c. Location: Virtually recorded in San Francisco, CA.
 - d.