

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

GENE COMPANY PTY LTD.,

Petitioner,

v.

THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK,

Patent Owner.

IPR2024-00312

Patent No.: 9,486,432

Patent Owner's Mandatory Notices

Pursuant to 37 C.F.R. § 42.8, Patent Owner submits the following

Mandatory Notices:

I. 37 C.F.R. § 42.8(b)(1) – REAL PARTY-IN-INTEREST

The real parties-in-interest are The Trustees of Columbia University in the City of New York, the owner of U.S. Patent No. 9,486,432 (“the ’432 patent”), and Alkeus Pharmaceuticals, Inc., the exclusive licensee of the ’432 patent.

II. 37 C.F.R. § 42.8(b)(2) – RELATED MATTERS

Pursuant to 37 C.F.R. § 42.8(b)(2), the following judicial or administrative matters would affect, or be affected by, a decision in this proceeding concerning the ’432 patent.

The following proceedings are currently pending:

- IPR2023-00313

Patent Owner is unaware of any other judicial or administrative proceeding involving the ’432 Patent.

III. 37 C.F.R. § 42.8(b)(3) – DISCLOSURE OF COUNSEL

Pursuant to 37 C.F.R. § 42.8(b)(3), Patent Owner designates the following counsel as lead and back-up counsel:

Lead Counsel	Back-up Counsel
Eliot D. Williams Reg. No. 50,822 Baker Botts L.L.P. 700 K Street N.W. Washington, D.C. 20001 Phone: 202-639-7700 Fax: 202-639-7890 Eliot.williams@bakerbotts.com	Paul A. Ragusa Reg. No. 38,587 Baker Botts L.L.P. 30 Rockefeller Plaza New York, NY 10112 Phone: 212.408.2500 Fax: 212.408.2501 Paul.ragusa@bakerbotts.com Carolyn Pirraglia Reg. No. 75,365 Baker Botts L.L.P. 30 Rockefeller Plaza New York, NY 10112 Phone: 212.408.2500 Fax: 212.408.2501 Carolyn.pirraglia@bakerbotts.com

A Power of Attorney is filed concurrently herewith under 37 C.F.R. § 42.10(b).

IV. 37 C.F.R. § 42.8(b)(4) – CORRESPONDENCE

The Patent Owner consents to electronic service by email at the addresses above.

Respectfully Submitted,

January 9, 2024

/ Eliot D. Williams /

Eliot D. Williams
Reg. No. 50,822
Baker Botts L.L.P.
700 K Street N.W.
Washington, D.C. 20001
Phone: 202-639-7700
Fax: 202-639-7890
Eliot.williams@bakerbotts.com

Paul A. Ragusa
Reg. No. 38,587
Baker Botts L.L.P.
30 Rockefeller Plaza
New York, NY 10112
Phone: 212.408.2500
Fax: 212.408.2501
Paul.ragusa@bakerbotts.com

Carolyn Pirraglia
Reg. No. 75,365
Baker Botts L.L.P.
30 Rockefeller Plaza
New York, NY 10112
Phone: 212.408.2500
Fax: 212.408.2501
Carolyn.pirraglia@bakerbotts.com

*Attorneys for Patent Owner, The Trustees of
Columbia University in the City of New
York*

CERTIFICATE OF SERVICE

In accordance with 37 C.F.R. §42.6, the undersigned certifies that on January 9, 2024, a complete and entire copy of the **PATENT OWNER'S MANDATORY NOTICES and POWER OF ATTORNEY** were served upon the following, by ELECTRONIC MAIL:

Jad A. Mills (Reg. No. 63,344)
jmills@wsgr.com
Michael T. Rosato (Reg. No. 52,182)
mrosato@wsgr.com
Matthew Bresnahan (Reg. No. 62,452)
mbresnahan@wsgr.com

Date: January 9, 2024

/ Eliot D. Williams /

Eliot D. Williams
Reg. No. 50,822
Baker Botts L.L.P.
700 K Street N.W.
Washington, D.C. 20001
Phone: 202-639-7700
Fax: 202-639-7890
Eliot.williams@bakerbotts.com

*Attorneys for Patent Owner, The Trustees of
Columbia University in the City of New
York*