

**IN UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD**

SAMSUNG ELECTRONICS CO., LTD. AND
SAMSUNG ELECTRONICS AMERICA, INC.

Petitioners,

v.

MAXELL, LTD.,
Patent Owner

Case: IPR2024-00777

U.S. Patent No. 11,017,815

**PATENT OWNER'S OBJECTIONS TO EVIDENCE
UNDER 37 C.F.R. § 42.64(B)(1)**

Mail Stop **Patent Board**
Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

I. INTRODUCTION

Pursuant to 37 C.F.R. § 42.64(b)(1) and the Federal Rules of Evidence (“F.R.E.”), the undersigned counsel, on behalf of Patent Owner, hereby serves and submits the following objections to evidence submitted by Petitioner accompanying Petitioner's Petition for *Inter Partes* Review. These objections are timely because they are filed within ten business days of institution of trial.

II. OBJECTIONS

A. Ex. 1003 (Bederson Declaration)

Patent Owner objects to Exhibit 1003, and any reference to or reliance on this exhibit, on the following grounds:

37 C.F.R. § 42.61 (Admissibility of evidence): This exhibit is not admissible under any applicable rule of the Patent Trial and Appeal Board.

37 C.F.R. § 42.65 (Expert Testimony): This exhibit includes expert testimony that does not disclose the underlying facts or data on which the opinion is based.

F.R.E. 401/402 (Relevance): This exhibit is not relevant to any ground upon which trial was instituted. For example, this exhibit includes discussion of various documents (*e.g.*, Exs. 1007, 1012, 1013, 1018-1036, 1039, and 1050), which have no bearing on whether the challenged claims are patentable under 35 U.S.C. § 103, the grounds of institution in this proceeding (*e.g.*, ¶¶ 21-38, 93, 101, 127-130,

163, 168, 196, 197, 199, 285, 310, 316, 330, 341, 342, 344, 359, 360, 368, 371, 372, 373, 375, 376, 377, 381, 382, 385, 397, 396, 414).

F.R.E. 403 (Excluding evidence for prejudice, confusion, waste of time, or for other reasons): This exhibit includes information whose probative value to any ground upon which trial was instituted is substantially outweighed by the danger of unfair prejudice, confusing the issues, undue delay, wasting time, or needlessly presenting cumulative evidence (*e.g.*, ¶¶ 85-98, 101-104, 127-130, 194, 196, 197, 198, 199, 201, 202, 270, 283, 316, 335, 337, 339, 341, 342, 343, 344, 359, 360, 371-373, 375-377, 381, 382, 397, 419).

F.R.E. 602 (Need for Personal Knowledge): This exhibit includes statements and testimony made without any personal knowledge, including and not limited to, what a POSITA would have understood at the time of the invention (*e.g.*, ¶¶ 194, 283), what components were “well-known” or “conventional” at the time of the invention (*Id.* at ¶¶ 20, 32, 34, 36, 366), and if differences in the prior art were merely a “design choice” (*Id.* at ¶¶ 95, 233, 234, 384).

F.R.E. 702/703 (Expert testimony): This exhibit includes statements and testimony on topics, including and not limited to, the state of the art at the time of the '815 Patent. This exhibit includes statements and testimony that are not based on sufficient facts and data, nor is it the product of reliable principles and methods (*e.g.*, ¶¶ 85-98, 101-104, 127-130, 194, 196, 197, 198, 199, 201, 202, 270, 283, 316, 335,

337, 339, 341, 342, 343, 344, 359, 360, 371-373, 375-377, 381, 382, 397, 419).

Further, the relied upon facts and data are not those on which experts in this field would reasonably rely.

F.R.E. 801/802/805 (Hearsay): This exhibit includes inadmissible hearsay and/or double hearsay with no applicable exceptions. For example, at least the referenced dates of Exs. 1008, 1010, 1011, 1012 and testimony surrounding them are inadmissible hearsay to prove the publication dates of the documents/information or the dates when the documents/information was publicly accessible or publicly available (*e.g.*, referenced in Ex. 1003, ¶¶ 127-128, 316, 359, 360, 371, 372, 373, 375, 376, 377, 381, 382, 385, 397).

F.R.E. 901/902 (Authentication): At least the referenced dates of Exs. 1008, 1010, 1011, 1012 and testimony surrounding them (Ex. 1003, ¶¶ 127-128, 316, 359, 360, 371, 372, 373, 375, 376, 377, 381, 382, 385, 397) are not authenticated and are not self-authenticating.

B. Exhibits 1008, 1010, 1011

Patent Owner objects to Exhibits 1008, 1010, 1011 and any reference to or reliance on these exhibits, on the following grounds:

37 C.F.R. § 42.61 (Admissibility of evidence): These exhibit are not admissible under any applicable rule of the Patent Trial and Appeal Board.

F.R.E. 401/402 (Relevance): These exhibits and their references in the record are not relevant because neither Petitioner nor its expert have provided any admissible evidence establishing their date of publication or the date that the documents became publicly accessible or publicly available.

F.R.E. 403 (Excluding evidence for prejudice, confusion, waste of time, or for other reasons): These exhibits include information whose probative value to any ground upon which trial was instituted is substantially outweighed by the danger of unfair prejudice, confusing the issues, undue delay, wasting time, or needlessly presenting cumulative evidence.

F.R.E. 602 (Need for Personal Knowledge): Exhibit 1008 is inadmissible because the Declarant lacks personal knowledge of the matters about which he testifies. For example, the Declarant lacks personal knowledge of Exhibit 1010 or when or whether it was publicly available or publicly accessible.

F.R.E. 801/802/805 (Hearsay): These exhibits include inadmissible hearsay and/or double hearsay with no applicable exceptions. For example, these exhibits are inadmissible hearsay as to the date of publication or the date when the documents were publicly accessible or publicly available. Additionally, Petitioner has provided no admissible evidence establishing the date of publication or the date when the documents were publicly accessible or publicly available.

F.R.E. 901/902 (Authentication): These exhibits are inadmissible because they are not properly authenticated and they are not self-authenticating.

C. Exhibits 1037 and 1038

Patent Owner objects to Exhibits 1037 and 1038 and any reference to or reliance on these exhibits, on the following grounds:

37 C.F.R. § 42.61 (Admissibility of evidence): These exhibit are not admissible under any applicable rule of the Patent Trial and Appeal Board.

F.R.E. 401/402 (Relevance): These exhibits and their references in the record are not relevant because neither Petitioner nor its expert have provided any admissible evidence establishing their date of publication or the date that the documents became publicly accessible or publicly available. These exhibits and their references in the record do not form a basis under any challenged ground, nor are they relevant to any ground upon which trial was instituted. For example, these exhibits have no bearing on whether the challenged claims are patentable under 35 U.S.C. § 103, the grounds of institution in this proceeding.

F.R.E. 403 (Excluding evidence for prejudice, confusion, waste of time, or for other reasons): These exhibits include information whose probative value to any ground upon which trial was instituted is substantially outweighed by the danger

of unfair prejudice, confusing the issues, undue delay, wasting time, or needlessly presenting cumulative evidence.

F.R.E. 801/802/805 (Hearsay): These exhibits include inadmissible hearsay and/or double hearsay with no applicable exceptions. For example, these exhibits are inadmissible hearsay as to the date of publication or the date when the documents were publicly accessible or publicly available. Additionally, Petitioner has provided no admissible evidence establishing the date of publication or the date when the documents were publicly accessible or publicly available.

D. Ex. 1007

Patent Owner objects to Exhibit 1007, and any reference to or reliance on this exhibit, on the following grounds:

37 C.F.R. § 42.61 (Admissibility of evidence): This exhibit is not admissible under any applicable rule of the Patent Trial and Appeal Board.

F.R.E. 401/402 (Relevance): This exhibit is not relevant to any ground upon which trial was instituted. This exhibit has no bearing on whether the challenged claims are patentable under 35 U.S.C. § 103, the grounds of institution in this proceeding. More specifically this exhibit is not admissible because it is outside the scope of *inter partes* review per 35 U.S.C. §311(b) insofar as it is not prior art.

F.R.E. 403 (Excluding evidence for prejudice, confusion, waste of time, or for other reasons): This exhibit includes information whose probative value to

any ground upon which trial was instituted is substantially outweighed by the danger of unfair prejudice, confusing the issues, undue delay, wasting time, or needlessly presenting cumulative evidence.

E. Ex. 1012

Patent Owner objects to Exhibit 1012, and any reference to or reliance on this exhibit, on the following grounds:

37 C.F.R. § 42.61 (Admissibility of evidence): This exhibit is not admissible under any applicable rule of the Patent Trial and Appeal Board.

F.R.E. 401/402 (Relevance): This exhibit is not relevant to any ground upon which trial was instituted. This exhibit has no bearing on whether the challenged claims are patentable under 35 U.S.C. § 103, the grounds of institution in this proceeding.

F.R.E. 403 (Excluding evidence for prejudice, confusion, waste of time, or for other reasons): This exhibit includes information whose probative value to any ground upon which trial was instituted is substantially outweighed by the danger of unfair prejudice, confusing the issues, undue delay, wasting time, or needlessly presenting cumulative evidence.

F.R.E. 801/802/805 (Hearsay): This exhibit includes inadmissible hearsay and/or double hearsay with no applicable exceptions. For example, this exhibit is inadmissible hearsay as to the date of publication or the date when the document was

publicly accessible or publicly available. Additionally, Petitioner has provided no admissible evidence establishing the date of publication or the date when the document was publicly accessible or publicly available.

F.R.E. 901/902 (Authentication): This exhibit is inadmissible because it is not properly authenticated.

F. Exhibits 1013, 1018-1036, 1039, and 1050

Patent Owner objects to Exhibits 1013, 1018-1036, 1039, and 1050 and any reference to or reliance on these exhibits, on the following grounds:

37 C.F.R. § 42.61 (Admissibility of evidence): These exhibit are not admissible under any applicable rule of the Patent Trial and Appeal Board.

F.R.E. 106 (Completeness): Exhibits 1023 and 1026 are inadmissible because they are incomplete.

F.R.E. 401/402 (Relevance): Exhibits 1013, 1018-1036, 1039, and 1050 are not relevant to any ground upon which trial was instituted. These exhibits have no bearing on whether the challenged claims are patentable under 35 U.S.C. § 103, the grounds of institution in this proceeding.

F.R.E. 403 (Excluding evidence for prejudice, confusion, waste of time, or for other reasons): Exhibits 1013, 1018-1036, 1039, and 1050 include information whose probative value to any ground upon which trial was instituted is

substantially outweighed by the danger of unfair prejudice, confusing the issues, undue delay, wasting time, or needlessly presenting cumulative evidence.

F.R.E. 604 (Interpreter): Exhibits 1020 and 1021 are inadmissible because they include statements that are not in English, and the translation (Ex. 1021) does not include any oath attesting to the truth of the proposed translation.

III. CONCLUSION

For at least the foregoing reasons, Patent Owner objects to Exhibits 1003, 1007, 1008, 1010, 1011, 1013, 1018-1039, and 1050.

Dated: November 22, 2024

Respectfully submitted,

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CERTIFICATE OF SERVICE

I hereby certify that on this 22nd day of November, 2024, a copy of the attached PATENT OWNER'S OBJECTIONS UNDER 37 C.F.R. § 42.64(b)(1), was served by electronic mail to the attorneys of record, at the following addresses:

James Heintz
Jim.Heintz@us.dlapiper.com

Gianni Minutoli
Gianni.Minutoli@us.dlapiper.com

With a courtesy copy by electronic mail to:

dla-samsung-maxell@us.dlapiper.com

Respectfully submitted,

Date: November 22, 2024

By: /Robert G Pluta Reg No 50970/
Robert G. Pluta (Reg. No. 50,970)

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