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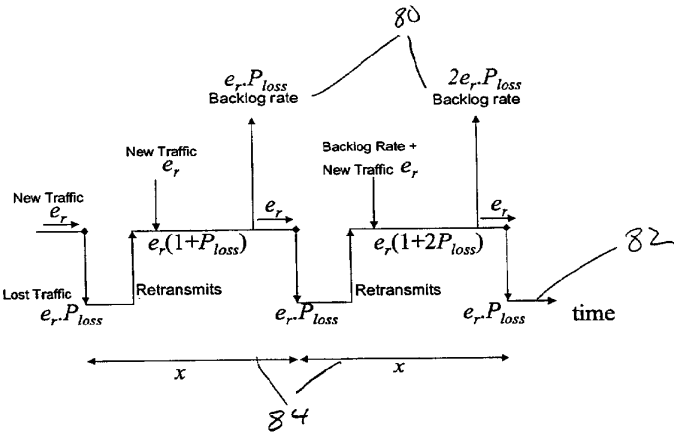
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(54) Title: APPARATUS AND METHOD FOR PREDICTABLE AND DIFFERENTIATED DELIVERY OF MULTIMEDIA STREAMING ON THE INTERNET



(57) Abstract: The inventive method detects network congestion which interferes with streaming of multimedia audio and video packets from and reacts to it and guarantees delivery of packets. The streaming of the multimedia audio and video packets is performed when a server process executing on a sending computing device sends the packets to a player process executing on a receiving computing device via a network, such as the Internet. The detection of the network congestion is performed by communicating configuration information including network parameters from a service manager via the network to the at least one sending computing device and to the at least one receiving computing device. The network congestion (80) is then confirming by transmitting and retracting network probes. At least one buffer management technique is executed to limit degradation in the streaming of the packets. The end of the network congestion is detected by transmitting and retracting said network probes and the rate of streaming of the packets is configured accordingly (82, 84).

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APPARATUS AND METHOD FOR PREDICTABLE AND DIFFERENTIATED DELIVERY OF MULTIMEDIA STREAMING ON THE INTERNET

TECHNICAL FIELD

This invention relates to the field of multimedia audio and video streaming on the Internet, and more particularly, to an apparatus and method for predictable and differentiated delivery of multimedia streaming on the Internet.

BACKGROUND OF THE INVENTION

Multimedia streaming involves broadcast of audio and video information from content providers called streaming servers or senders via the Internet to clients or receivers on user computing devices called streaming players. Real-time delivery of the multimedia streaming on the Internet is inherently uncontrollable and consequently unpredictable. When an Internet delivery path is congested, packets of multimedia streaming are typically dropped, thus causing degraded audio and video playback to be received by the streaming players. Typically, manifested forms of congestion can be classified into two types: instantaneous and sustained (longer-term). Instantaneous congestion is caused by random variations in the arrival patterns of packets of multimedia streaming at different Internet routers and switches, and due to the varying sizes of these packets.

These variations cause varying amounts of delay as the packets of multimedia streaming travel from the streaming server to the streaming receiver. If the delay accumulates beyond a certain point, the packets of multimedia streaming can get lost. This type of congestion typically causes sporadic delays and losses of over hundreds of milliseconds to a few seconds, and is hence referred to as "instantaneous congestion." In contrast, sustained congestion is longer-term and results during periods of excessive demand by the streaming players, e.g., demand for multimedia streaming from popular websites over the network paths on the Internet. During these periods, packet traffic surges can overwhelm the packet buffers in network routers, which are network traffic routing and forwarding computing devices, and packets of multimedia streaming can get

lost in bursts. This is similar to a rush-hour traffic scenario on highways at peak hours. Unlike instantaneous congestion, sustained congestion can last from tens of seconds up to a few minutes.

It is well known that instantaneous congestion is an easy problem to solve. The client or the streaming player can buffer incoming packets of multimedia streaming for a short duration before playback. This reduces the effect of short-term delay between packets of multimedia streaming. Additionally, by requesting that a lost packet be immediately retransmitted, the streaming player can counter the loss of packets of multimedia streaming due to instantaneous congestion. Several existing streaming systems use these techniques, i.e., short-term buffering along with retransmits of lost packets, to isolate the streaming player from the effects of instantaneous congestion. Examples of these systems include the Robust-User Datagram Protocol (UDP) feature in the Real system from Real Networks and the NetShow 3.0 MediaPlayer from Microsoft.

The problem of sustained congestion is harder to solve. This difficulty relates to the fact that the Internet delivery lacks a uniform real-time control. Several standardization and research efforts have proposed ways to introduce such control; however, none have succeeded in creating a global Internet standard. The referred to standardization and research efforts include the following:

1. L. Kleinrock, "On Flow Control in Computer Networks", International Conference on Communications (ICC'78), pages 27.2.1-27.2.5;
2. D.E. Comer, R. S. Yavatkar, "A Rate-based Congestion Avoidance and Control Scheme for Packet Switched Networks", The 10th International Conference on Distributed Computing Systems, pages 390-397, 1990;
3. K.K. Ramakrishnan, R. Jain, "A Binary Feedback Scheme for Congestion Avoidance in Computer Networks", ACM Transactions on Computer Systems, Vol. 8, No. 2, May 1990, Pages 158-181; and
4. D. Mitra, "Asympotically Optimal Design of Congestion Control for High Speed Data Networks", IEEE Transactions on Communications, Vol. 40, No. 2, February 1992.

For example, the RSVP effort, described in Braden, R., Zhang, L., Berson, S., Herzog, S., and Jamin, S., "Resource Reservation Protocol (RSVP) - Version 1

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Functional Specification", RFC 2205, Proposed Standard, September 1997, seeks to add "quality of service" control within each network router and switch within the Internet. Another effort that seeks to create fast paths on the Internet is the "differentiated service" effort within the Internet Engineering Task Force (IETF) described in the following publications:

1) Nichols, K., Jacobson, V. and Zhang, L., "A Two-bit Differentiated Services Architecture for the Internet", Internet Draft, December 1997;
2) Ferguson, P., "Simple Differential Services: IP TOS and Precedence, Delay Indication, and Drop Preference", Internet Draft, November 1997; and
3) Clark, D. and Wroclawski, J., "An Approach to Service Allocation in the Internet", Internet Draft, July 1997.

The problem with these approaches is that they require structural changes throughout the Internet. This requirement assumes an explicit cooperation between various managers of the equipment comprising the Internet, which is an impractical assumption. Consequently, these efforts have stalled or are expected to have limited success. An alternative approach is to offer "adaptive applications". This approach proposes that application content be adapted based on the level of congestion. Methods based on this approach degrade the "experience" of an application in a controlled manner, but do not attempt to guarantee predictability or isolation from congestion effects. This approach is discussed in I. Busse, B. Deffner, H. Schulzrinne, "Dynamic QoS Control of Multimedia Applications based on RTP", May 30, 1995 and Real Networks, "White Paper on SureStream Technology", Available at http://www.real.com/devzone/library/whitepapers/surestrm.html.

What is needed is a "delivery framework" that will isolate streaming players from the effect of sustained congestion by providing a "predictable" delivery. Furthermore, there is also a need for a "differentiated streaming" framework that will provide for multiple levels of delivery isolation to the streaming players, without requiring structural changes in the Internet, as in K. Nichols et al., P. Ferguson and D. Clark, discussed above.

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SUMMARY OF THE INVENTION

The object of the present invention is to achieve a particular level of delivery isolation on particular streaming players. This level of delivery isolation may be based on factors like an importance "value" associated with particular streaming players, or the price the user of the streaming players are charged, etc. As an example, the streaming server may place specific streaming players in the high "value" category based on the demographic information on their owners, while other streaming players may be placed in the low "value" category.

Another object of the present invention is to ensure that the high value users of streaming players always receive consistent, predictable delivery of streaming content, even if there is an excessive user demand. If there is a situation of sustained congestion, wherein not all users can be satisfied, the content provider might want to ensure that the high value users are promised predictable delivery.

Accordingly, the present invention provides a method and an apparatus for predictable and differentiated streaming on the Internet. The system consists of software and random-access memory-based buffers installed at the streaming server and at the streaming players along with a service manager at a separate location. The method prescribes the manner in which the system is used to achieve predictable and differentiated delivery of streaming multimedia packets.

The invention is based on streaming multimedia packets between the software on the streaming server and the software on the streaming. The buffer sizes in the computing devices of the streaming player and of the streaming server are initialized based on the configuration information provided by the service manager software at the start of the execution of the inventive method. This configuration information includes:

a) the expected duration of sustained congestion periods,

b) the worst-case packet loss rate during the sustained congestion period,

c) the encoded bit-rate of streaming source information, and etc.

During the delivery of the streaming multimedia packets, the software on the streaming server relays the streaming packets to the software on the streaming player, which stores the packets in a playout buffer before playing them out. The playout buffer takes care of

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delay variation that may arise due to instantaneous congestion on the network path. In case of short-term losses caused by instantaneous congestion, the software on streaming player immediately requests the server-end software to retransmit the lost information.

Predictable Delivery Method

To deal with sustained congestion ("congestion") the invention incorporates the following method. The software on the streaming player uses estimation techniques based on probes to detect and confirm the onset of sustained congestion. Once the congestion status is verified, the streaming player software informs the streaming server software about this event. The streaming server software then modifies the manner in which it streams packets to the streaming player software. In particular, the streaming server software ensures that the transmission rate of packets never exceeds the encoded bit-rate of the streaming source. Furthermore, it gives preemptive priority to the retransmitted packets over new packets. This creates a backlog of new packets at one of the streaming server buffers.

Meanwhile, the streaming player software continuously monitors the status of received packets and detects the end of congestion through estimation techniques based on probes. Once the end of congestion is confirmed, the streaming player software informs the streaming server software about this event. The streaming server software then begins to stream its backlog of new packets to the streaming player software. The transmission rate of this stream is increased based on the estimation information from the streaming player software along with feedback obtained from other streaming players in the network. This rate is carefully selected to ensure that the network is not flooded back into congestion. The buffer sizing at the streaming player and at the streaming server is based on the configuration information and ensures that the user experiences an uninterrupted playback during sustained congestion. This assumes that the configuration information is the "worst-case" scenario. If the actual service parameters turn out to be worse than the configuration information, the streaming player software contacts the service manager after the service. The configuration information at the service manager is now updated in a persistent manner with the actual worst-case parameters.

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In one preferred embodiment, this update happens as follows. At the next service invocation, the buffers are sized based on the updated configuration information. The method thus automatically learns the worst-case behavior from the actual behavior of the network each time. Eventually, the method can guarantee a predictable delivery based on a non-interrupted playout in most cases. Various other embodiments are also possible, wherein the buffer size is fixed, but the encoding bit-rate of the stream is modified to choose the largest encoding bit-rate that leads to an uninterrupted playback.

Differentiated Streaming Method

The method for differentiated streaming involves identical operations as the predictable streaming method, except for the mechanism for streaming the backlog of packets from the streaming server software. For differentiated streaming, the streaming server software transmits the stream based on the "value" associated with the particular user, in addition to the information used for predictable streaming.

Accounting Delivery Service

Additionally, the methodology of the mentioned invention is utilized to offer the inventive method as an "accountable delivery service" to a large number of content providers, i.e., streaming servers, and users, i.e., streaming players. Such a service may deliver performance contract-based services to users of the streaming players on the Internet.

The service manager plays a central role in this service, by keeping and exchanging the state of several streaming players, delivery paths, and content providers/streaming servers in its database. The method of the present invention tracks the "actual" delivered viewing/listening experience of users of streaming servers by computing the delivery statistics including but not restricted to metrics that quantify "pauses or interruptions", "content throughput", and "content loss". In addition to the features of predictability and differentiation, the delivery service can also answer the question of "service feasibility". That is, at the beginning of a session, the service manager can estimate, based on the historical and instantaneous information, whether a

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particular user can receive an "expected quality experience." While the present invention does not describe the details of this service, it should be obvious to those skilled in the art to build such a service around the framework of the present invention.

BRIEF DESCRIPTION OF THE DRAWINGS

The above and other objects and advantages of the invention will be apparent upon consideration of the following detailed description, taken in conjunction with the accompanying drawings, in which the reference characters refer to like parts throughout and in which:

Figure 1 is a schematic diagram of the devices and programs comprising the invention.

Figure 2 is a schematic diagram of the components of the devices utilized by the invention.

Figure 3 is a schematic diagram of the operation of the invention during normal streaming.

Figure 4 is a schematic diagram of the operation of the invention during congestion.

Figure 5 is a schematic diagram of the recovery scheduling operation of the invention after congestion.

Figure 6 is a diagram of the modeling the backlog operation of the invention during congestion.

Figure 7 is a state transition diagram for streaming player module (normal streaming).

Figure 8 is a state transition diagram for streaming server module (normal streaming).

Figure 9 is diagram of a congestion processing protocol at the streaming player module (during and after congestion).

Figure 10 is a diagram of an congestion processing protocol at the streaming server module (during and after congestion).

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DETAILED DESCRIPTION OF THE INVENTION

Architecture

The invention, shown in Figure 1, comprises at least one computing device 10 for executing a service manager process 12, at least one computing device 14 for executing streaming server process 16, and at least one computing device 18 for executing streaming player process 20. The service manager process 12 is shown as residing on the computing device 12 only for the clarity of presentation. It may be obvious to those skilled in the art that the service manager process 12 may reside on any computing devices 14 and 18. Furthermore, server processes 16 may be present on the computing devices 18 alongside player processes 20, and vice versa the player processes 20 may be present on the computing devices 14 alongside server processes 16. Each computing device 10, 14 and 18 are connected to a network 22 and establish data paths amongst each other. In the preferred embodiment, the network is the Internet.

The streaming server process 16 transmits a single multimedia stream to each streaming player process 20 and the streaming player process 20 receives that single multimedia stream. The streaming server process consists of three buffers:

a) Output buffer 24, used to transmit new packets from the server process 16 to the player process 20;

b) Backup buffer 26 , for maintaining temporary copies of the packets transmitted to the player process 20; and

c) Retransmit buffer 28, for maintaining retransmit requests received from the player process 20. The streaming player process 20 may comprise a player buffer 29.

Before a streaming session begins, the Service Manager process 12 downloads configuration information via the network 22 to both the computing device 14 for the use of the streaming server process 16 and to the computing device 18 for the use of the streaming player process 20. At the end of each streaming session, the configuration information on the computing device 10 is updated for the future use of the Service Manager process 12 via the network 22 by both the streaming server process 16 on the computing device 14 and the streaming player process 20 on the computing device 18.

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The computing devices 10, 14, and 18 may take the configuration of any computer ranging from mainframes and personal computers (PCs) to digital telephones and hand held devices, e.g., palm pilots™. In one illustrative embodiment of this invention shown in Figure 2, such computing devices may comprise a bus 30, which is connected directly to each of the following:

1. a central processing unit (CPU) 32;
2. a memory 34;
3. a system clock 36;
4. a peripheral interface 38;
5. a video interface 40;
6. an input/output (I/O) interface 42;
7. a communications interface 44; and
8. a multimedia interface 46.

The common bus 30 is further connected

1. by the video interface 40 to a display 50;
2. by the I/O interface 42 to a storage device 52, which may illustratively take the form of memory gates, disks, diskettes, compact disks (CD), digital video disks (DVD), etc.;
3. by the multimedia interface 46 to any multimedia component 56;
4. by peripheral interface 38 to the peripherals 58, such as the keyboard, the mouse, navigational buttons, e.g., on a digital phone, a touch screen, and/or writing screen on full size and hand held devices, e.g., a palm pilot ™;
5. by the communications interface 44, e.g., a plurality of modems, to a network connection 60, e.g., an Internet Service Provider (ISP) and to other services, which is in turn connected to the network 22, whereby a data path is provided between the network 22 and the computing devices 10, 14, and 18 (Figure 1) and, in particular, the common bus 30 of these computing devices; and
6. furthermore, by the communications interface 44 to the wired and/or the wireless telephone system 54.

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Normal Conditions

Turning now to Figure 3, the normal streaming operation is shown when there are either zero, i.e., no losses, or sporadic streaming losses, i.e., loss occurring due to instantaneous burst of streaming packets. The overall streaming sequence works as follows. Packets streamed from the streaming server process 16 are queued up in the streaming server output buffer 24 for transmission. The server process 16 is responsible for transmitting streaming packets 70 to the computing device 18 executing the player process 20. Periodically, this process 16 checks the output buffer 24 and the retransmit buffer 28 to determine if there are streaming packets 70 awaiting transmission or any requests form the player process. Any remaining streaming packets in the output buffer 24 are then transmitted. If retransmission requests are found, any streaming packets 70 requested for retransmission and found in the backup buffer 26 are transmitted. When streaming packets 70 are transmitted, a temporary backup copy of the transmitted streaming packets 70 is kept in the backup buffer.

The streaming player process 20 receives the streaming packets 70 and stores them at the tail-end of the player buffer 29. Periodically after a user-defined interval, the player process 20 examines the received streaming packets 70 to see if any streaming packets 70 are missing. If packets are missing, the player process 20 sends a request 72 to the server process 16 to retransmit the missing packets. After assuring that all the streaming packets 70 are received, the received streaming packets 70 are forwarded from the head-end of the player buffer to the streaming player process 20.

Congested Conditions

The invention detects congestion early on, and then may take steps so that the impact of congestion on the stream is minimized. A correctly configured framework can keep a stream completely transparent of sustained congestion, thus providing an effect equivalent to a "reservation." Two principles are at the basis of this framework, detection/reaction to congestion and congestion recovery.

1. Detecting and reacting to congestion

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The invention detects congestion through probes launched by the player process 20. The probes are discussed in detail later. Once congestion is confirmed, the server process 16 reacts by using a technique called "constant bit-rate emulation." This name derives from the fact that the server process 16 tries to maintain a constant upper bound on the rate at which the streaming packets 70 are streamed to the player process 20. Typically, the upper bound is set equal to the encoding bit rate of the stream. In effect, the server process 16 emulates a constant bit-rate by substituting new server packets with packets that have been requested 72 for retransmission. Please recall that in congestion, the server has a large number of retransmit requests 72 issued from the player process 20. Figure 4 shows the flow of streaming packets 70 during this congestion situation. Two important reasons motivate the constant bit-rate emulation method:

a) The method demonstrates a "network-friendly" yet efficient behavior. The method is network-friendly, since it conserves bandwidth during congestion by lowering the upper bound of its streaming transmission rate. Additionally, the method is efficient for the following reasons. First, the upper bound on the transmission rate is set equal to the maximum encoding bit-rate of the source stream; this is not a restrictive setting. Unlike other schemes that voluntarily reduce the source rate during congestion, the present invention only reduces the transmission rate by the amount of incurred loss. This decision has an important implication in practice. Most practical congestion-processing algorithms will incur errors and delays in estimating and reacting to the start of congestion or end of congestion. Consequently, there will be temporary periods in which these algorithms "think" that the network is congested, when in practice, the network has cleared out of congestion. In situations like this, estimation errors do not degrade the streaming throughput. In fact, the invention delivers a throughput better or equal to the throughput of any other scheme, if there is no loss of streaming packets 70.

b) Assuming most connections sharing the network with streaming are using the Transmission Control Protocol (TCP), the constant bit-rate of the invention in congestion allows the TCP traffic on the same path to accurately sense the available bandwidth (most Internet or Web traffic uses TCP). An alternative design with

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adapting bit-rate would confuse most other TCP connections and cause each to keep oscillating their TCP windows. If all TCP connections maintain a steady window-size, the performance of the network is much smoother in congestion. This behavior can drain out the congestion faster and reduce the estimation errors.

2. Detecting End-of-Congestion and Recovery from congestion

The invention detects the end of congestion through probes launched by the player process 20. Once the player process 20 confirms that congestion is over, the server process 16 enters a phase called "recovery scheduling". Figure 4 shows a recovery scheduling after congestion. To understand this phase, recall that in the previous state of congestion, the server transmits packets at a constant rate. By substituting new packets with older lost packets, the server is in effect creating a backlog of new packets in its output buffer. The idea in recovery scheduling is to exhaust the substantial backlog that may build up at the server output queue. Clearly, this backlog must not be cleared by flooding the network. Such action may cause significant losses in the intermediate switch buffers. In addition, if thousands of streams of packets 70 flood the backlog at the same time, this may cause certain network points to get congested again. Recovery scheduling is a procedure that configures the rate of streaming the backlog based on the network parameters. Additionally, recovery scheduling can also take into account the "premium" placed on the particular player process 20. The latter consideration allows the server process 16 to stream backlog of streaming packets at different priorities. "Higher value" users can receive backlog sooner than "lower value" users. This is the basic principle behind differentiated streaming.

Buffer Design

As described previously, the configuration information is downloaded to the computing devices 14 and 16 at the beginning of each streaming session. This configuration information includes the following parameters:

(1) Worst-case duration of congestion, $c * x$, where x is a sampling or retransmission interval, and c is a number of sampling intervals in a congestion period.

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(2) Worst-case of loss of streaming packets 70 during congestion defined by P_{loss}

(3) Encoding bit-rate of the stream of streaming packets 70 defined by e_r

(4) Averaged and minimum service rate, SR_{avg} and SR_{min}. This is the rate at which streaming packets 70 are actually transferred from the server process 16 to the player process 20. SR_{min} is the minimum rate across the path via the Internet 22 from the server process 16 to the player process 20.

(5) Path bottleneck link bandwidth B_{min} and the hop link capacities, where B_{min} is the minimum physical bandwidth across all the hops, i.e., the routing devices between the server process 16 and the player process 20.

The parameters indicated in the list above may be obtained through historical measurements of the relevant values and through measurements made on streaming packets 70 at the computing device 14 of the server process 16. The parameters are in turn used to design the sizes of the buffers 24, 26, 28 and 29 of server process 16 and the player process 20. One preferred embodiment of achieving this is described immediately below. That embodiment ignores the upper bounds limits on the buffer sizes related to the available random-access memory 34 (Figure 2) in the computing devices 14 and 18.

Player Buffer-size (b_c)

The buffer on the computing device 18 used by the streaming player process 20 plays out the streaming packets 70 at the maximum rate of e_r bits per second. During sustained congestion, the packet loss is approximately P_{loss}, and the maximum transmission rate from the server, as explained above is e_r. It follows that the rate of the player buffer 29 fill rate equals to $e_r * (1 - P_{loss})$ bits per second. Thus, the net drain on the player buffer 29 proceeds at the rate of $e_r * P_{loss}$ bits per second. To prevent the player buffer 29 from draining out completely within the congestion period $c * x$, the buffer-size of the player buffer 29 should satisfy the constraint defined as:

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$$\frac{b_c}{e_r * P_{loss}} \geq c * x \quad \text{or} \quad b_c \geq c * x * e_r * P_{loss} \qquad \text{(Equation 1)}$$

Streaming Server Output Buffer-size (b_o)

Recall that the streaming server output buffer holds the backlog of new packets created during the congestion period. Consequently, the size of this buffer is dictated by the worst-case amount of backlog during the congestion period. To calculate the worst-case backlog of the streaming packets 70, a simple model may be built up. Figure 6 shows the approximate amount of backlog as a function of time 82 during sustained congestion. As indicated, the backlog rate 80 after two retransmission intervals 84 is $2 * e_r * P_{loss}$. By extension, after c retransmission intervals 84, the backlog rate 80 will be $cx * e_r * P_{loss}$. This establishes the constraint on the size of the output buffer 14 (Figure 1) in the memory 34 (Figure 2) of the computing device 14 (Figure 1) executing streaming server process 16 (Figure 1).

$$b_o \geq c * x * e_r * P_{loss} \qquad \text{(Equation 2)}$$

Server Backup Buffer-size (b_{backup})

The backup buffer 26 (Figure 1) holds temporary copies of the server packets that have been transmitted to the player process 20 (Figure 1). The copies must be held until the server is sure that the packets have been successfully received in the player buffer 29 (Figure 1). To estimate the size of this backup buffer 26 (Figure 1) again, an operational model is built. First, the transmission rate in packets per seconds is defined as R_p. At the start of the first retransmit 84, the approximate rate of loss of the streaming packets 70 (Figure 3) is $P_{loss} * R_p$. Assuming the loss affects new as well as retransmitted streaming packets 70 (Figure 3) equally, the approximate rate of loss for older unsuccessful streaming packets 70 (Figure 3) at the second retransmit 84 is $P_{loss}^2 * R_p$. Supposing now that the number of retransmits that will reduce the loss rate for older

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packets to a negligibly small number, e.g., 0.1 packets for every retransmission interval is defined by m. This implies that:

$$P_{loss}^{m} * R_p \leq \frac{0.1}{x}, \quad \text{or that} \quad m \geq \frac{\log\left(0.1/R_p * x\right)}{\log P_{loss}}$$

Alternatively, if s is the packet size, the above equation may be expressed as:

$$m \geq \frac{\log\left(0.1 * s/e_r * x\right)}{\log P_{loss}}$$

The minimum required buffer size may be defined as equal to $m * x$. Consequently, the size constraint of the backup buffer 26 (Figure 1) is

$$b_{backup} \geq m * x \quad \geq x * \frac{\log\left(0.1 * s/e_r * x\right)}{\log P_{loss}} \qquad \text{(Equation 3)}$$

The detailed operational method underlying the invention is captured through a protocol called "Streaming Congestion Protocol" (SCP). In the section below the SCP sequences during different phases of streaming are described. Figures 6 and 7 show the detailed operational sequence at the streaming server process 16 (Figure 3) and the streaming player process 20 (Figure 3) during normal streaming of the streaming packets 70 (Figure 3). The operational sequences are described with the help of state diagrams. A state diagram shows various states and transitions between states. Each state captures a specific operational state, and the transitions between states capture the events that cause the operational states to change. Figure 7 shows the state diagram of events at the streaming server process 16 (Figure 1). Figure 8 shows the corresponding state diagram at the streaming player process 20.

Processing during and after congestion

Please refer to Figure 9, by default, the streaming player process 20 is in a normal state 100. The player process 20 may keep a track of the packet loss of a received stream at a periodic interval that may be user determined. If the amount of loss exceeds a

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threshold, e.g., Threshold1, across a time-window of some number of seconds which may also be user determined, e.g., TW1, the player process 20 moves into the Likely Congestion state 102. At this point, the streaming player process 16 (Figure 1) launches congestion probes to determine whether the congestion symptoms are verified. These probes form a part of a test called confirm congestion test. If the test indicates that congestion is confirmed, the process moves to a Congestion Confirmed state 104. Immediately, the streaming player process 20 contacts its server counterpart and informs it about the new state change along with some other relevant information.

Note that the transition from a Likely Congested state 102 to Congestion Confirmed State 104 can happen in two other ways. First, the player process 20 may actually receive a Remote Congestion Confirmed report in state 106 from its server process 16 (Figure 1) indicating that some other player process 20 on a related network path is in the Congestion Confirmed state. If this happens, the player immediately moves to the Congestion Confirmed State 104 itself. If the player process 20 has already started conducting congestion probes before this notification, the player process 20 may halt them at the instant it receives the notification. Alternatively, the player process 20 may receive a Local Congestion Confirmed report in state 102 reporting that some other local player process 20 on the same streaming player module has verified congestion. If the Remote or local Congestion Confirmed reports have verified congestion within a past time-window not exceeding the time defined as Tcc, the player process 20 moves to a confirmed congestion state 104.

Once the streaming player process 20 (Figure 1) enters the congestion confirmed state 104, it periodically monitors the loss of the received stream of streaming packets 70 (Figure 3). If the packet loss is less than a second user defined threshold, e.g., Threshold2, across a second user defined time-window, e.g., TW2 seconds, the player process 20 enters the likely end-of-congestion (EOC) state 106. At this point, the player process 20 launches an EOC probe test that either confirms or invalidates the EOC decision. If the EOC probe test confirms congestion, the streaming player process 20 enters the EOC state 108 and sends an EOC report 110 to its server process 16 (Figure 1) counterpart. With this transition, the process re-enters the normal state 100. Note that

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during transitions from the EOC state 110 back to the Normal State 100, the streaming player process 20 also needs to continually process the arriving packets in step 120 (Figure 7), check and request for retransmission of missing packets in step 122 (Figure 7), and forward packets to the streaming player for playback in step 124 (Figure 7). These activities are indicated in Figure 7 and are not shown in Figure 9 for purposes of clarity.

Figure 10 shows the SCP state-diagram of the server process 16. After initialization, the server process 16 enters the normal state 130. If the server process 16 receives a report from its streaming player process 20 (Figure 1) indicating congestion, the server moves to the Confirmed Congestion or Confirmed Congestion State 132. At this point, the server process 16 shares the Confirmed Congestion status information with other server processes 16 running on the same computing device 14 (Figure 1) in the Propagate Confirmed Congestion state 134, and potentially also from other computing device 14 (Figure 1) through interaction with the service manager 12 (Figure 1) via the network 22 (Figure 1). The idea behind this is to have selected server processes report the congestion to their player process so that these player processes can avoid doing the Confirmed Congestion probing test. This cuts down on the congestion reaction time and significantly cuts down the probing traffic. In general, each server process that receives the Confirmed Congestion status in the Receive the Confirmed Congestion status state 140 from other server processes 16, first determines whether the Confirmed Congestion status is relevant to its target player process 20 (Figure 1). If this is the case, the server processes notify their player process 20 (Figure 1). Notification is done through a special signaling scheme involving reliable transport protocol (RTP) messages, as discussed in Schulzrinne, Casner, Frederick, Jacobson, "RTP: A Transport Protocol for Real-time Applications", RFC 1889, Internet Engineering Task Force.

The streaming server process 16 will then make transitions from the Confirmed Congestion State 132. Starting with the Confirmed Congestion state 132, the server process 16 transmits streaming packets 70 (Figure 3) at a constant bit-rate. The server process 16 moves to the Recovery Scheduling State 136 as soon as it receives an End-of-congestion or EOC report from the player process 20 (Figure 1) targeted for the reception of

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its content. Thereafter the server process 16 changes its mode of transmission, using recovery scheduling to drain its backlogged output buffer 24 (Figure 1) in an intelligent way. As soon as the backlog is over, the server process 16 moves back to the normal state 130.

While in the Normal State 130 the server process 16 may receive and filter information from other server processes 16 by entering the Receive Confirmed Congestion State 140. It may also notify the player processes 20 (Figure 1) about the confirmed congestion status through the in-band RTP signaling, by entering the Confirmed Congestion Notification state 138 from where the process 16 will return to the Normal state 130.

The server process 16 also needs to continually process the departing packets in step 150 (Figure 8), check and process the requests for retransmission of missing packets in step 152 (Figure 8), and backup packets to the backup buffer 26 (Figure 1) for playback. These activities are indicated in Figure 8 and are not shown in Figure 10 for purposes of clarity.

Recovery scheduling algorithm

Supposing that the server process 16 (Figure 1) streams of streaming packets 70 (Figure 3) are classified into K number of priority classes. Class 1 being the highest priority and class "K" being the lowest priority. Each priority class "j" is associated with a weighting function α^j, where $0 < \alpha^K < \alpha^{K-1} < .. \alpha^j < .. < \alpha^1 = 1$. Considering the server process 16 (Figure 1) with priority equaling to "j", the rule for transmission rate e_t of this process is:

$$e_t = \min(\alpha^j \times SR_{min}, \alpha^j \times \frac{B - \lambda_{other}}{\sum_{i=1}^{i=K} \alpha^i n^i}) \quad \text{(Equation 4)}$$

In equation 4, all the terms are measured at the service bottleneck hop between the computing device 14 executing the server process 16 and the computing device 18 executing the player process 16. B is the bottleneck link capacity at the bottleneck hop.

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λ_{other} is the aggregate packet rate carried at the hop from traffic not utilizing the inventive method. This rate can be estimated by obtaining the aggregate packet rate of the streaming packets 70 (Figure 3) of the inventive method λ_{SME} from the service manager, and using the fact that $\lambda_{other} = B \times \rho - \lambda_{SME}$, where $\rho = 1 - \frac{SR_{\min}}{B}$ is the utilization of the bottleneck hop. The parameter n^i is defined as the number of priority "i" streams carried at the bottleneck hop. The hop is a section of a network between two network-computing devices such as routers. This parameter may be obtained from the service manager 12 (Figure 1). The logic behind this equation is as follows. The first term indicates that the new transmission rate should be set equal to the minimum service rate along the path, in order to recover from the backlog. The second term takes into account the result of increasing the transmission rate on the utilization at the bottleneck hop. In other words, since the maximum utilization can be 100%, each computing device 14 executing server process 16 must carefully weigh the effects of increasing the transmission rate. The best rate is the maximum rate that does not congest the bottleneck bandwidth during recovery. Hence the min operator. Finally, the priorities within the equation 4 provide for differentiated streaming.

Congestion Estimators

Earlier on, we described the congestion estimation tests as part of the SCP algorithm. In principle, congestion can be estimated in a variety of ways, and the invention is not restricted to any one particular method of congestion estimation. A particular embodiment of the invention for estimating congestion based on a mix of passive and active probes will now be described. This method comprises a set of rules configured around the results of the probes. A specific congestion test e.g., an end of congestion test or a confirmed congestion test mentioned in earlier sections, combines these rules in a particular way.

The following are some of a variety of methods that may be used to compute the bottleneck bandwidth on a path between the streaming player 20 (Figure 1) and the

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streaming server 16 (Figure 1). These methods are shown here for exemplary purposes only and not to indicate that only these methods must be used with the present invention.

Capacity Probe

This method is described in detail in Van Jacobson, "pathchar - A tool to infer characteristics of Internet paths", MSRI, April 21, 1997; and R. L. Carter and M.E. Crovella, "Measuring Bottleneck Link Speed in Packet-switched Networks", TR-96-006, Boston University Computer Science Department, March 15, 1996. This method is initiated by the player process 20 (Figure 1) at various stages of a streaming session. The steps of this exemplary method are as follows:

1. Do a traceroute and get hop identities marked by IP addresses.
2.

a) For every hop from one onwards, send a series of ICMP timestamp requests (type 13) from the player to the hop. Packet sizes of queries are changed in steps of 1024, 512, 256, 128, and 64, where each queries are sent out with a spacing of 3 seconds between them.

b) For every hop i between the player and the server, the player must record the ICMP timestamp response (type 14) and compute the time difference between the hop i transmit time t_i and the player receive instant t_1.

c) Denote the time difference by $\tau_{i1} = t_i - t_1$.

d) Take four measurements for timestamp differences by varying packet size through five values. Discard the minimum and the maximum, and take average of the remaining two. Let s' and s'' denote the packet sizes of two selected queries to hop i. Let $\tau_{i1'}$ and $\tau_{i1''}$ denote the measurements for the respective packet sizes.

e) Calculate $X_{i1} = \frac{\tau_{i1'} - \tau_{i1''}}{s' - s''}$

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$$B_{i,i-1} = \frac{1}{X_{i1} - \left(\sum_{k=1}^{i-2} \frac{1}{B_{i-k,i-k-1}} \right)}$$

It can be proved that link capacity can be computed recursively.

The path bottleneck bandwidth $B_{min} = \min_i B_{i,i-1}$. (Equation 5)

Service Rate Probe

The "Service Rate probe" computes the approximate service rate of transferring packets between the server and the player, as discussed in Van Jacobson, "pathchar - A tool to infer characteristics of Internet paths", MSRI, April 21, 1997; and R. L. Carter and M.E. Crovella, "Measuring Bottleneck Link Speed in Packet-switched Networks", TR-96-006, Boston University Computer Science Department, March 15, 1996. The minimum service rate is the least service rate on the path, while the average service rate is the "expected" service rate on the path. . The steps of this exemplary method are as follows:

1. Obtain the hop list through traceroute. Suppose there are n-1 hops between the player and the server.
2. Periodically, the player initiates a cycle. In each cycle, the player sends n-1 queries, each spaced by ¼ second. The player forms timestamp differences between query responses from consecutive hops. The results are sent back to the server.
3. The server uses results from several cycles along with the link capacities to calculate the service rate.
   a) First, the server calculates $\tau_{m,m-1}^{min} = \min(\tau_{m,1} - \tau_{m-1,1})$ where the min operator works over all collected cycle results. We approximate $\tau_{m,m-1}^{min}$ to be the base-line delay between node m and node m-1.
   b) Denote the maximum queuing delay between node m and node m-1 by $q_{m,m-1}^{max}$, where node is a computing device, e.g., a computer or a router connected to the network.

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c) Then, $q_{m,m-1}^{max} = max\left(\tau_{m,m-1} - \tau_{m,m-1}^{min}\right)$

d) Denote the average queuing delay between node m and node m-1 by $q_{m,m-1}^{avg}$.

e) Then, $q_{m,m-1}^{avg} = avg\left(\tau_{m,m-1} - \tau_{m,m-1}^{min}\right)$

Finally, the minimum service rate is:

$$SR_{m,m-1}^{min} = \frac{s}{\frac{s}{B_{m,m-1}} + q_{m,m-1}^{max}},$$

and the average service rate is:

$$SR_{m,m-1}^{avg} = \frac{s}{\frac{s}{B_{m,m-1}} + q_{m,m-1}^{avg}} \qquad \text{(Equation 6)}$$

Congestion Detection Rule (CC Test)

A robust but responsive congestion detection mechanism is an essential part of the inventive method. It is important to respond quickly to congestion; this can reduce the congestion period and hence make it easier for the recovery scheduling to do its job in a shorter period of time. Ultimately this can allow the method to work with a reduced buffer size and hence a reduced connect lag. It is equally important to robustly distinguish a sustained congestion condition. False detection can lead to reduced throughput and performance. Recall that the inventive method treats sustained congestion by reducing the throughput of the newer packets, so that the throughput of older retransmitted packets is maximized.

The present invention uses the following congestion detection rule:

1. Packet losses exceeding a threshold for a consecutive time-window of duration T_s. T_s is set to 10 seconds by default.
2. If condition 1 is triggered, three short service rate probes are sent, spaced 2 seconds apart, from the player to the SR_{min} hop. Responses are averaged to form

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SR_{avg}.

The player process 20 (Figure 1) computes the expected utilization $\rho = 1 - \frac{SR_{avg}}{B}$. If condition 1 is satisfied and the utilization from condition 2 is more than a specified utilization threshold, e.g., 95%, the player process 20 (Figure 1) declares sustained congestion (Confirmed Congestion), and asks the server to enter the constant bit-rate emulation mode. An alternative method may consists of a simple rule where if packet losses exceed a threshold for N_1 consecutive sampling time-windows, the player process 20 (Figure 1) declares sustained congestion and asks the server to enter the constant bit-rate emulation mode. By default, N_1 is set to 1, and time-window is set equal to 1 second.

End of Congestion Rule (EOC Test)

The end of congestion rule is analogous to the congestion detection rule:

1. No packet losses for a consecutive time-window of duration T_e. T_e is set equal to 10 seconds by default.
2. If condition 1 is triggered, three short service rate probes are sent, spaced 2 seconds apart, from the player to the SR_{min} hop. Responses are averaged to form SR_{avg}. The player computes the expected utilization $\rho = 1 - \frac{SR_{avg}}{B}$.

If condition 1 is satisfied and the utilization from condition 2 is less than a specified threshold, e.g., 90%, the player declares sustained congestion to be over, and asks the server to transition to the state of recovery scheduling. An alternative method may consists of a simple rule where if in a state of sustained congestion, no packet losses are manifest for N_2 consecutive sampling time-windows, the player declares sustained congestion to be over, and asks the server to transition to the state of recovery scheduling. By default, N_2 may be set equal to five, and the sampling time-window is set equal to 1 second.

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Thus, an apparatus and method for predictable and differentiated delivery of multimedia streaming on the Internet is provided. One skilled in the art will appreciate that the present invention can be carried out in other ways and practiced by other than the described embodiments, yet not departing from the spirit and essential characteristics depicted herein. The present embodiments therefore should be considered in all respects as illustrative, and the present invention is limited only by the claims that follow.

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CLAIMS

Having thus described our invention, what we claim as new, and desire to secure by Letters Patent is:

1. A method of streaming of multimedia audio and video packets from at least one sending computing device to an at least one receiving computing device via a network, said method comprising the steps of:

a) at a start of said streaming communicating configuration information from a service manager via the network to both an at least one sending computing device for use by an at least one server process and an at least one receiving computing device for use by an at least one player process, said at least one sending computing device comprising an at least one retransmit buffer, an at least one output buffer, an at least one backup buffer and said at least one server process, said at least one receiving computing device, comprising an at least one player buffer and an at least one player process;

b) queuing same packets in the at least one output buffer and to the at least one backup buffer;

c) determining if there are packets in the at least one output buffer and transmitting the packets from the at least one output buffer to the at least one receiving computing device via the network;

d) receiving the packets on the at least one receiving device and storing them in the at least one player buffer;

e) determining if there are omissions in the packets stored in the at least one player buffer and forwarding retransmission requests to the at least one sending computing device and storing them in the at least one retransmit buffer; and

f) determining if there are said retransmit requests in the at least one retransmit buffer and transmitting the packets from the at least one backup buffer to the at least one receiving computing device via the network.

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2. The method of claim 1 wherein, the network is Internet.

3. The method of claim 2 wherein, a size of the at least one player buffer is $\geq c*x*e_r*P_{loss}$, where c is a number of sampling intervals in a congestion period, x is a sampling or retransmission interval, $c*x$ is a worst-case duration of congestion, e_r is an encoding bit-rate of a stream of the packets and P_{loss} is a worst case of loss of the packets.

4. The method of claim 3 wherein, a size of the at least one output buffer is $\geq c*x*e_r*P_{loss}$.

5. The method of claim 4 wherein, a size of the at least one backup buffer is $\geq m*x \geq x*\frac{\log\left(0.1*s/e_r*x\right)}{\log P_{loss}}$, where m is a number of retransmits that will reduce the loss rate for older packets to a negligibly small number, and $m*x$ is a minimum required size of the at least one player buffer.

6. A method of detecting and reacting to a network congestion which interferes with streaming of multimedia audio and video packets from at least one sending computing device to an at least one receiving computing device via a network, said method comprising the steps of:

a) communicating configuration information including network parameters from a service manager via the network to the at least one sending computing device and to the at least one receiving computing device, the at least one sending computing device comprising at least one server process, the at least one receiving computing device comprising at least one player process;

b) confirming the network congestion by transmitting and retracting network probes;

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c) executing at least one congestion control technique to limit degradation in the streaming of the packets;

d) detecting an end of the network congestion by transmitting and retracting said network probes;

e) configuring a rate of streaming of the packets according to said network parameters

7. The method of claim 6, wherein said at least one congestion control technique is the constant bit-rate emulation.

8. The method of claim 7, wherein said at least one congestion control technique only reduces a transmission rate of the packets by the amount of incurred loss of the packets.

9. The method of claim 7, further comprising the steps of:
detecting an end of congestion;
computing available bandwidth in the network; and
transmitting packets according to said bandwidth.

10. The method of claim 9, wherein some of the at least one player process are differentiated and protected from effects of said network congestion to a greater than others by receiving a stream backlog sooner.

11. The method of claim 10, wherein some of the at least one player process are differentiated and protected from effects of said network congestion to a greater than others by receiving a stream backlog sooner according to a rule for transmission rate of the packets

$$e_t = \min(\alpha^j \times SR_{min}, \alpha^j \times \frac{B - \lambda_{other}}{\sum_{i=1}^{i=K} \alpha^i n^i})$$

, where e_t indicates said transmission rate of packets, α^j is a weighting function, for class $\{1 \ldots K\}$.

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12. The method of claim 11, wherein said detecting of said end of the network congestion is performed according to the expected utilization $\rho = 1 - \frac{SR_{avg}}{B}$, where SR_{avg} is the average rate at which the packets are transferred from the at least one server process to the at least one player process and B is a bottleneck link capacity at a bottleneck hop.

13. The method of claim 12, wherein said network probes compute an approximate service rate of transferring the packets from said at least one server process to said at least one player process according to the following equation:

$SR^{min}_{m,m-1} = \frac{s}{\frac{s}{B_{m,m-1}} + q^{max}_{m,m-1}}$, and $SR^{avg}_{m,m-1} = \frac{s}{\frac{s}{B_{m,m-1}} + q^{avg}_{m,m-1}}$, where SR_{avg} and SR_{min} are averaged and minimum service rate, $q^{max}_{m,m-1}$ is the maximum queuing delay between a node m and a node m-1.

14. A method of guaranteed delivery of streaming multimedia audio and video packets from at least one sending computing device to an at least one receiving computing device via a network, said method comprising the steps of:

a) continuously processing arriving packets;

b) keeping track of loss of the packets at the at least one receiving computing device, said at least one receiving computing device comprising one or more player processes;

c) determining if said loss exceeds a first threshold during a first interval;

d) verifying congestion symptoms;

e) communicating said verified congestion symptoms to the at least one sending computing device, said at least one sending computing device comprising one or more server processes;

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f) monitoring said loss and determining if said loss exceeds a second threshold during a second interval to determine an end of congestion; and

g) verifying congestion symptoms.

15. The method of claim 14, wherein said step of keeping track is performed at user define intervals.

16. The method of claim 15, wherein said first threshold, said second threshold, said first interval, and said second interval are user defined.

17. The method of claim 16, wherein said congestion symptoms are verified by receiving a remote congestion confirmed report.

18. The method of claim 17, wherein said congestion symptoms are verified by performing a congestion probe.

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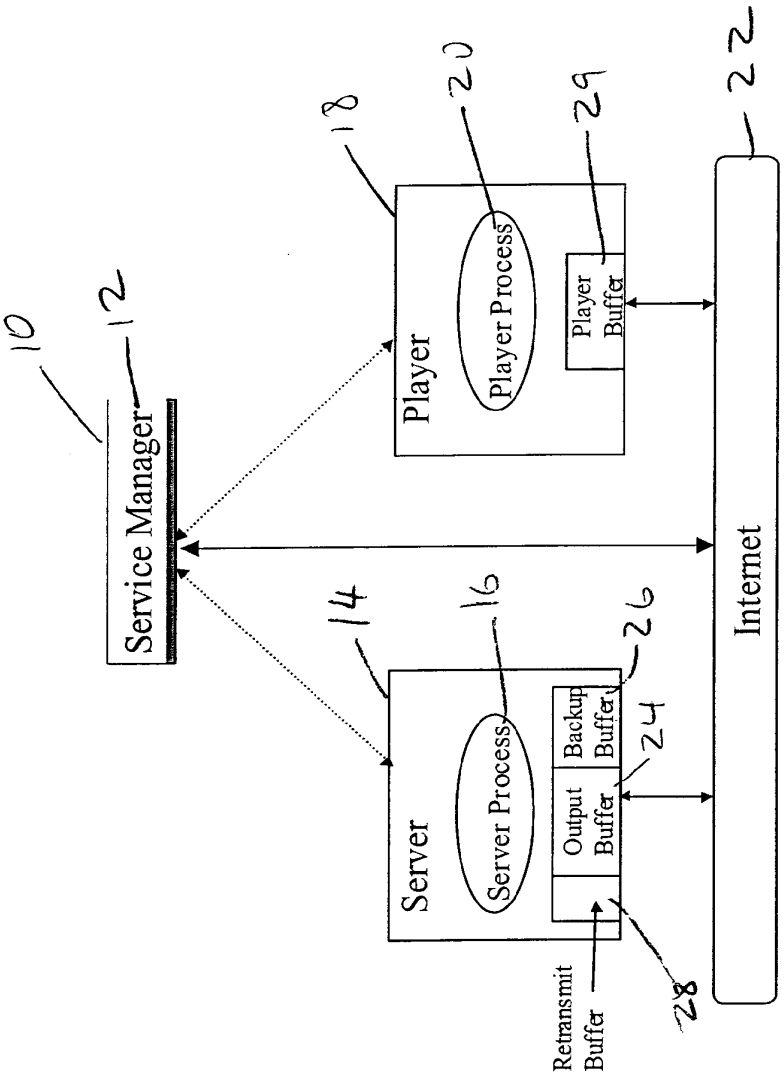

10
12
Service Manager
18
Player
20
Player Process
29
Player Buffer
22
Internet
14
Server
16
Server Process
26
Backup Buffer
24
Output Buffer
28
Retransmit Buffer

Figure 1

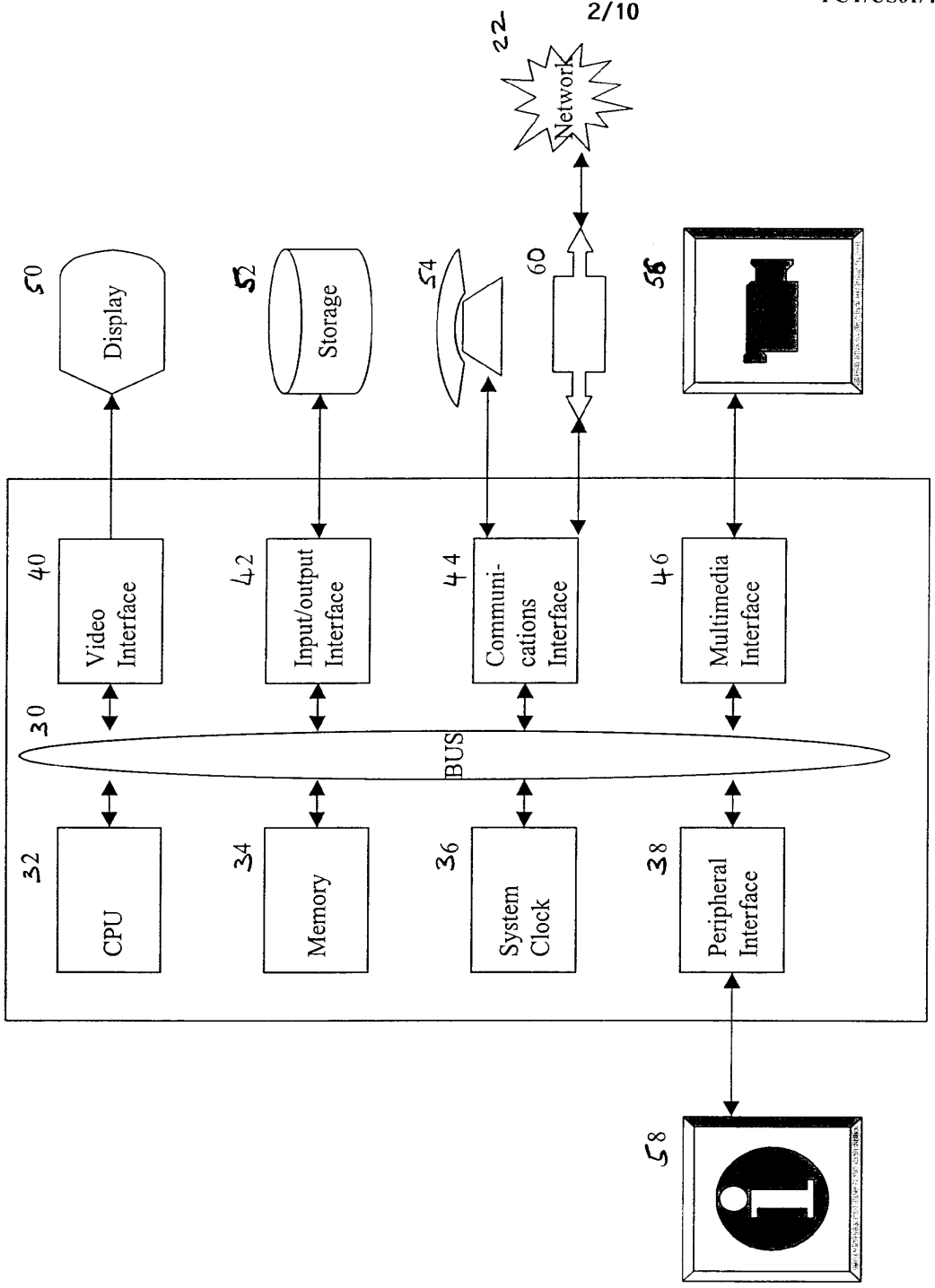

22
Network
50
Display
52
Storage
54
60
56
40
Video Interface
42
Input/output Interface
44
Communi-cations Interface
46
Multimedia Interface
30
BUS
32
CPU
34
Memory
36
System Clock
38
Peripheral Interface
58

Figure 2

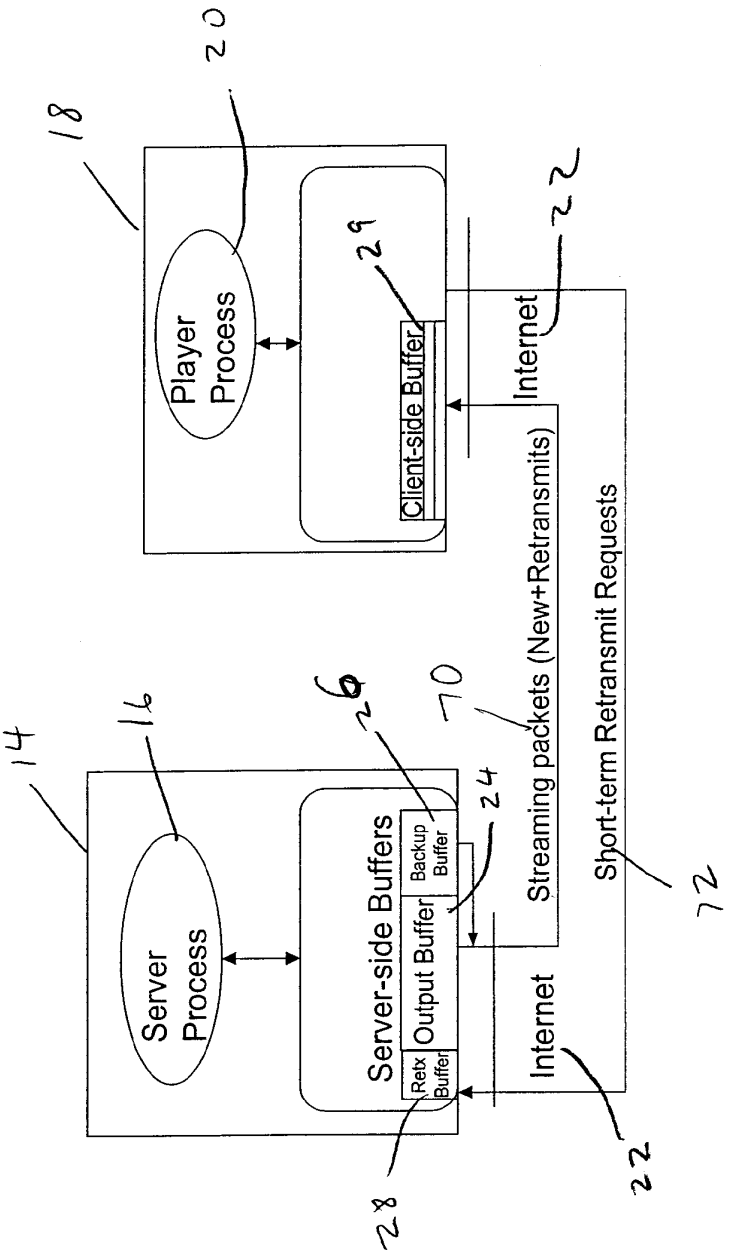

18
20
Player Process
Client-side Buffer
29
Internet
22
Streaming packets (New+Retransmits)
Short-term Retransmit Requests
70
72
14
16
Server Process
26
Server-side Buffers
Backup Buffer
Output Buffer
Retx Buffer
24
Internet
22
28

Figure 3

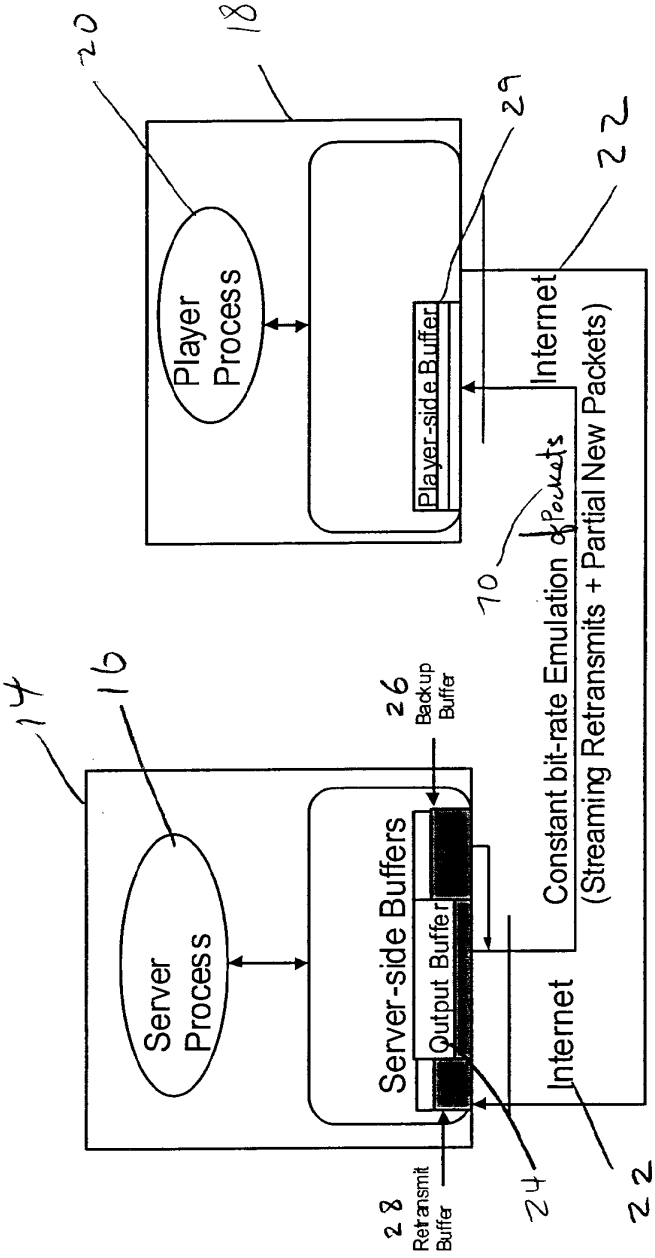

20
18
Player Process
29
22
Player-side Buffer
Internet
Packets
70
Constant bit-rate Emulation
(Streaming Retransmits + Partial New Packets)
Retransmit Requests
72
14
16
26
Backup Buffer
Server Process
Server-side Buffers
Output Buffer
Internet
24
22
28
Retransmit Buffer

Figure 4

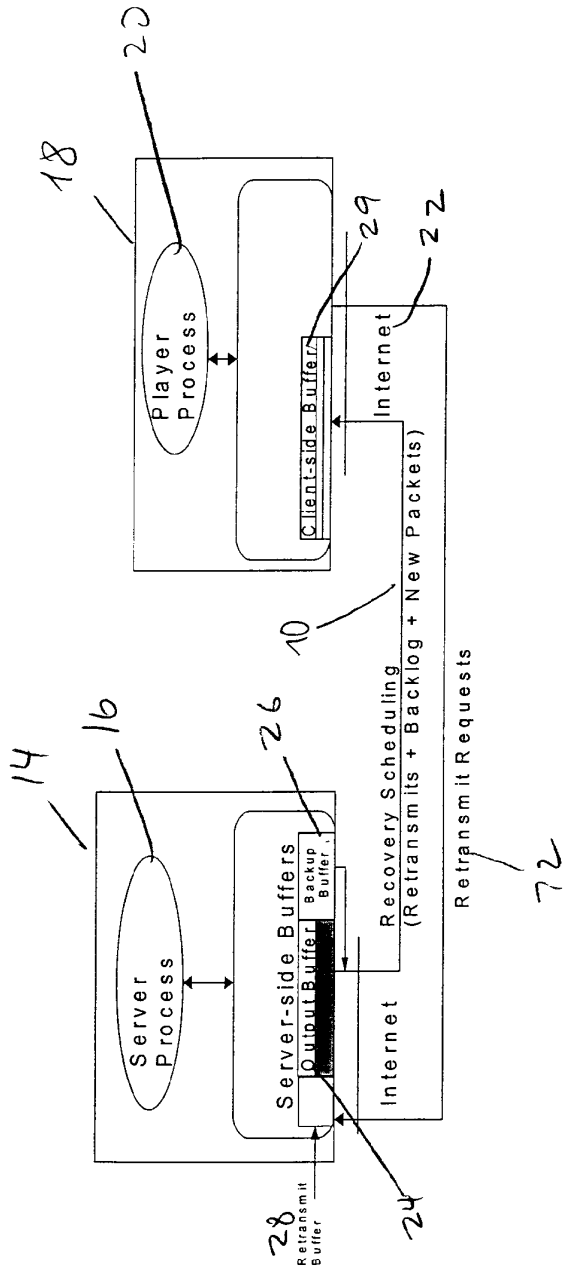

20
18
Player Process
29
22
Client-side Buffers
Internet
10
Recovery Scheduling
(Retransmits + Backlog + New Packets)
Retransmit Requests
72
14
16
26
Server Process
Server-side Buffers
Output Buffer
Backup Buffer
Internet
28
Retransmit Buffer
24

Figure 5

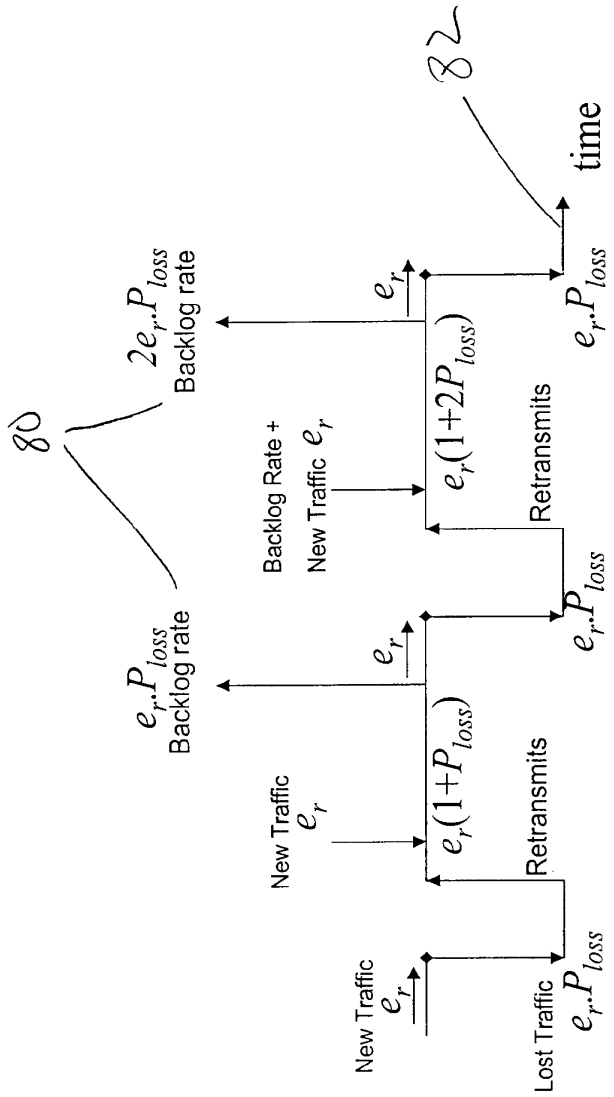

80
82
84
time
New Traffic e_r
Lost Traffic $e_r.P_{loss}$
Retransmits
$e_r(1+P_{loss})$
$e_r.P_{loss}$ Backlog rate
Backlog Rate + New Traffic e_r
$e_r(1+2P_{loss})$
$2e_r.P_{loss}$ Backlog rate
$e_r.P_{loss}$
e_r
x
x

Figure 6

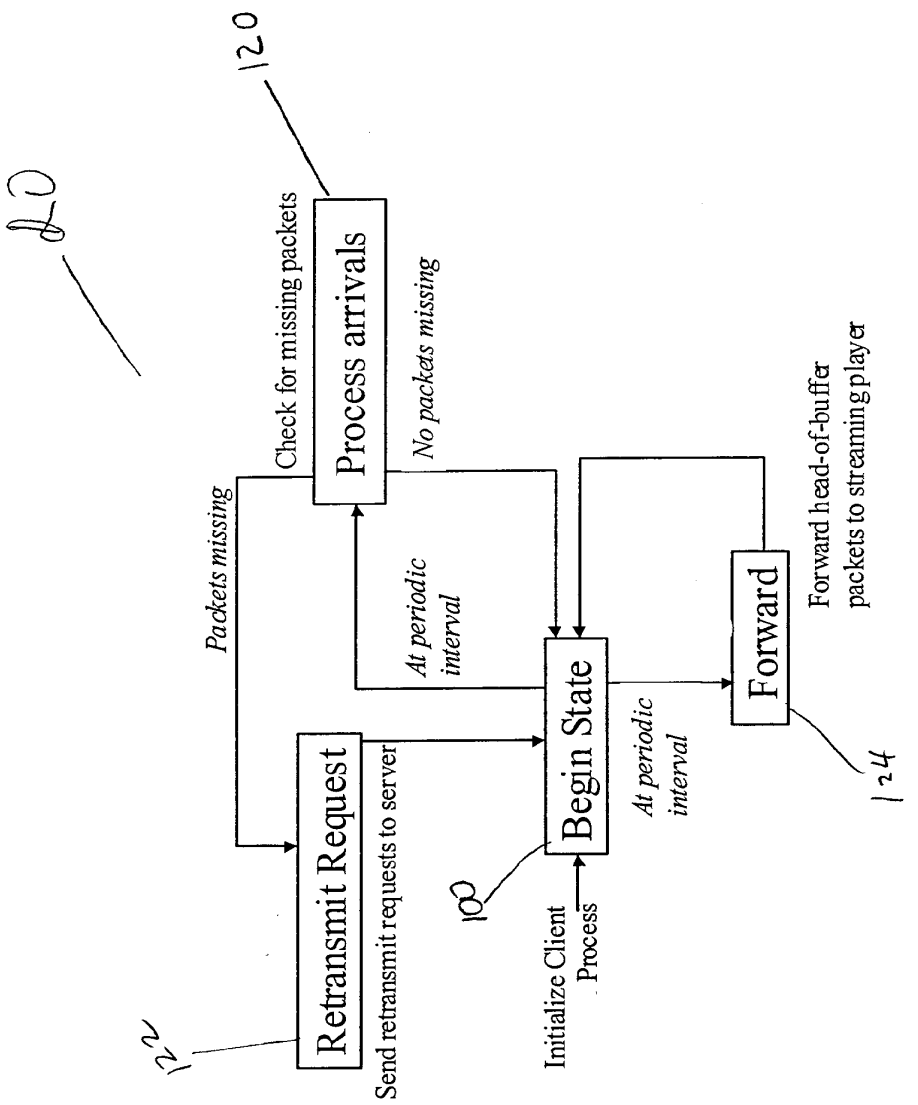

Process arrivals
Check for missing packets
No packets missing
Packets missing
At periodic interval
Retransmit Request
Send retransmit requests to server
Begin State
Initialize Client Process
At periodic interval
Forward
Forward head-of-buffer packets to streaming player

Figure 7

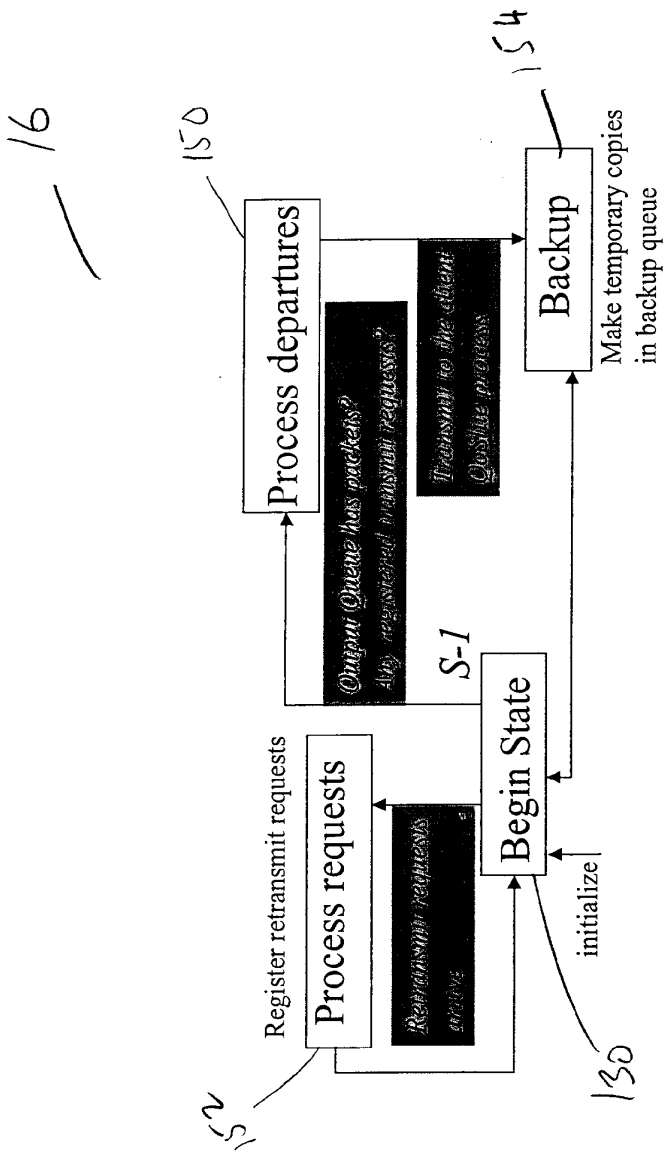

16
150
154
Process departures
Backup
Make temporary copies in backup queue
Output Queue has packets? Any registered retransmit requests?
Transmit to the client Queslite process
S-1
Begin State
Process requests
Register retransmit requests
Retransmit requests arrive
initialize
152
130

Figure 8

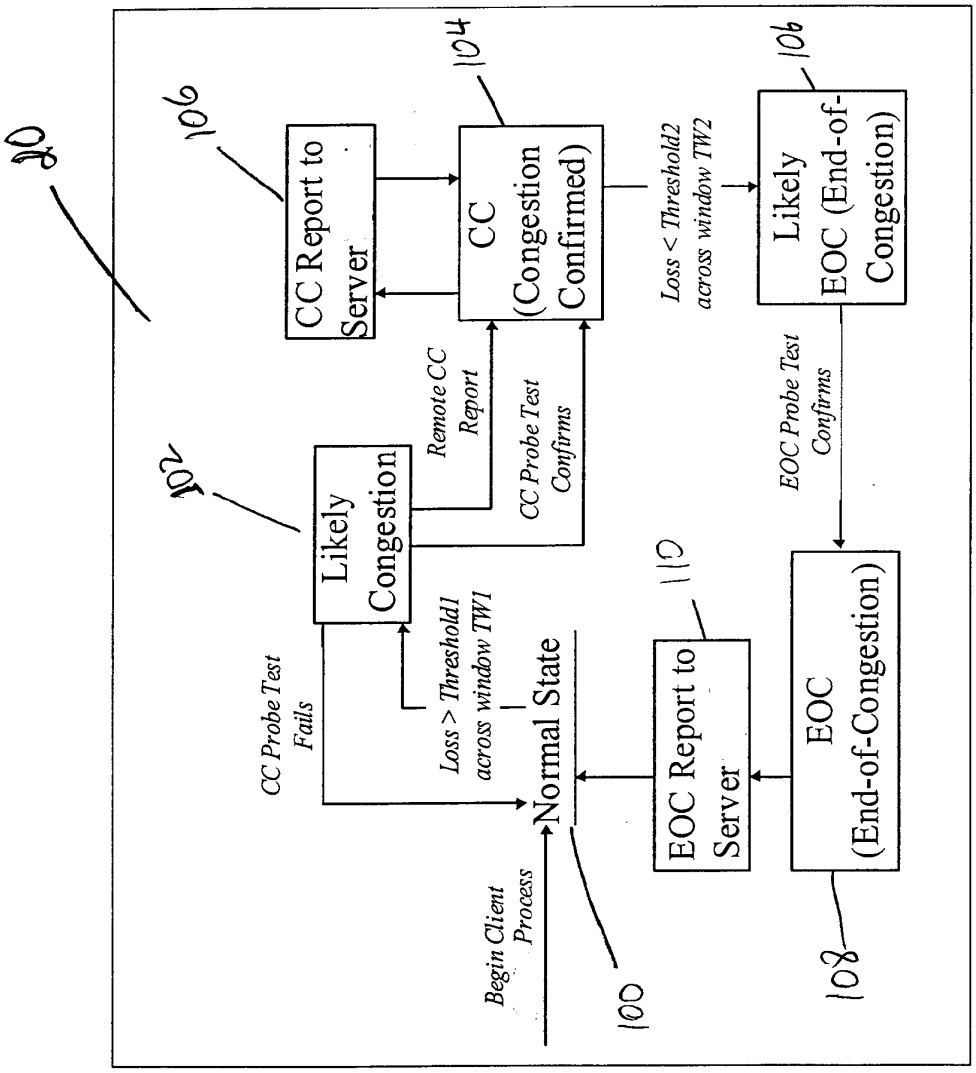

90
106
104
CC Report to Server
CC (Congestion Confirmed)
Likely EOC (End-of-Congestion)
106
102
Likely Congestion
Remote CC Report
CC Probe Test Confirms
Loss < Threshold2 across window TW2
EOC Probe Test Confirms
CC Probe Test Fails
Loss > Threshold1 across window TW1
Normal State
110
EOC Report to Server
EOC (End-of-Congestion)
Begin Client Process
100
108

Figure 9

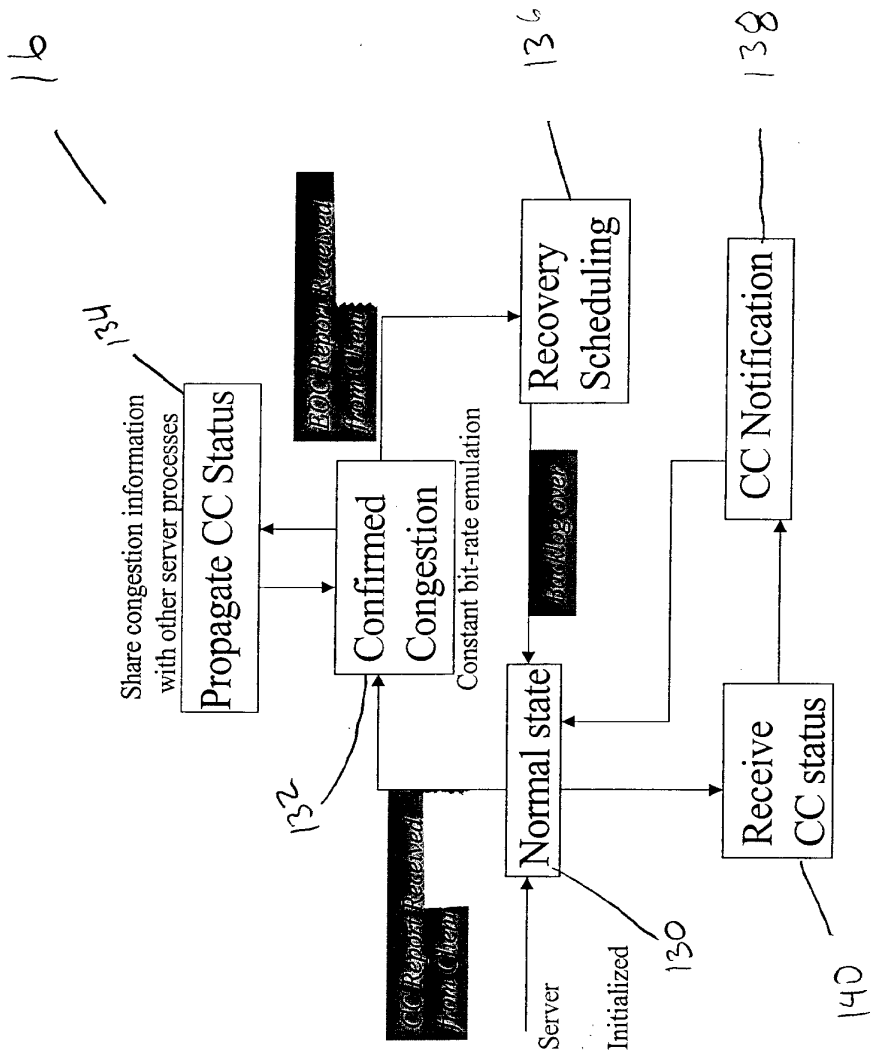

Share congestion information with other server processes
Propagate CC Status
Confirmed Congestion
Constant bit-rate emulation
Recovery Scheduling
CC Notification
Normal state
Receive CC status
Server Initialized
CC Report Received from Client
EOC Report Received from Client
Buffer Overflow
132
134
136
138
130
140

Figure 10

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US01/40264

A. CLASSIFICATION OF SUBJECT MATTER
IPC(7) :G06F 15/16
US CL :709/231, 232, 235
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
U.S. : 709/231, 232, 235

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
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C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X, P	US 6,031,818 A (LO et al) 29 February 2000, col. 2, line 54-col. 5, line 28.	1, 2
X,P	US 6,018,515 A (SORBER) 25 January 2000, col. 4, line 18-col. 10, line 65.	6-10, 14-18
A, P	US 6,014,706 A (CANNON et al) 11 January 2000, see all.	1-18
A	US 5,339,392 A (RISBERG et al) 16 August 1994, see all.	1-18

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

*	Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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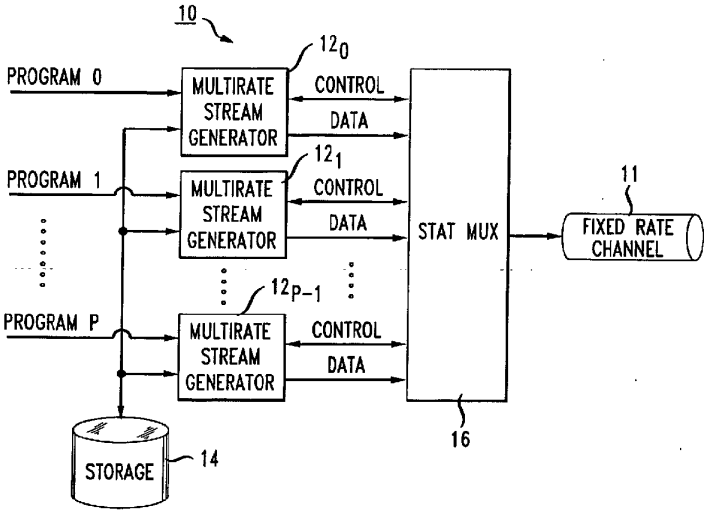
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(54) Title: VIDEO ON DEMAND SERVER SYSTEM AND METHOD



(57) Abstract: A Video-on-Demand Server architecture (100, 200, 300) transmits a plurality of pre-coded programs having different bit rates across a fixed bandwidth channel (11). For each program, a generator (120-12P-1, 110, 210) generates a plurality of different bit rate representations for each program. Each generator also provides control information at each of a plurality of successive time windows T for each bit rate representation. The control information provides a bit rate and a quality measure during each time window T. The control information enables a statistical multiplexer (16, 160, 260) to select a bit rate representation for each program during each time window T to maximize the quality of the selected representations while not exceeding the total available channel capacity.

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VIDEO ON DEMAND SERVER SYSTEM AND METHOD

TECHNICAL FIELD

This invention relates to a technique for efficiently providing video on demand while maintaining bandwidth constraints of a fixed channel.

BACKGROUND ART

Present day Video-on-Demand (VoD) systems typically transmit Constant Bit Rate (CBR) video. Transmitting CBR video does not achieve the same efficiency as transmitting variable bit rate (VBR) video for equivalent long-term average bit rates. Transmitting multiple pre-recorded VBR-encoded video programs over a constant bandwidth channel has problems since the sum of the instantaneous bitrates required by each program can sometimes exceed the total available bitrate. On the other hand, broadcast applications employing real-time encoders use statistical multiplexing to exploit the instantaneous bitrate variations between multiple programs being carried on the same carrier or transponder. The encoders operate in real time to enable the system to constrain the total combined bit rate for all programs so as not to exceed the channel capacity over a given time window. Each video program has an associated complexity measure. A central controller dynamically adjusts the bit rate allocated to each video program based upon the relative complexities.

There now exist devices known as "video transraters" that operate to reduce the bit rate of video streams within a common compression standard, such as MPEG 2 for example. Present day transraters often experience difficulties when converting between constant and variable bit rates. Such transraters also can experience difficulties when trying to alter the bit rate for bit streams that have many scene changes, or a large number of I-Pictures.

Scalable video encoding, which permits dividing a video signal into a base layer and one or more enhancement layers, can also address bit rate issues. Several methods of scalable video encoding exist, including spatial, SNR, temporal, data partitioning, fine grain scalability (FGS), frequency scalability. The MPEG-2 and MPEG-4 video compression standards include several scalability methods. Using scalable encoding requires both the transmitter and receiver have the same ability to implement different coding algorithms, thus introducing additional complexity.

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Thus, there exists a need for a technique for managing variable bit rate video on demand that obviates the disadvantages of the prior art.

BRIEF SUMMARY OF THE INVENTION

Briefly, in accordance with present principles, there is provided a method for transmitting a plurality of pre-coded programs having different bit rates across a fixed bandwidth channel. For each program, at least two, and preferably, a plurality of different bit rate representations are generated. Control information is provided at each of a plurality of successive time windows for each bit rate representation. The control information provides a bit rate and a quality measure during each time window. The control information enables selection during each time window of a bit rate representation for each program to maximize the quality of the selected representations while not exceeding the total available channel capacity in that time window.

BRIEF SUMMARY OF THE DRAWING

FIGURE 1 depicts a block schematic of first preferred embodiment of a Video-on-Demand server architecture in accordance with the present principles;

FIGURE 2 depicts a block schematic of second preferred embodiment of a Video-on-Demand server architecture in accordance with the present principles; and

FIGURE 3 depicts a block schematic of third preferred embodiment of a Video-on-Demand server architecture in accordance with the present principles.

DETAILED DESCRIPTION

Before proceeding to describe the technique of the present principles, the following terminology will prove helpful:

T is the time interval over which the system optimization is being contemplated

C is the total channel capacity available in time frame T

P is the total number of programs

$p \in (0, P\text{-}1)$, is the index of a particular program

$N[p]$ is the total number of representations of program p

$n[p] \in (0, N[p]-1)$ is the index of a particular representation of program p

$r[p, x]$ is the bit rate of representation x of program p during T

$q[p, x]$ is the quality of representation x of program p during T

FIGURE 1 depicts a first preferred embodiment of a Video-on-Demand server architecture 10 in accordance with the present principles for transmitting pre-encoded CBR and/or VBR audio/video programs over a fixed bandwidth channel 11 having a total channel capacity, C. The server architecture 10 includes a plurality of multirate stream generators 12_0, $12_1 \ldots 12_{P-1}$, where P is an integer greater than zero corresponding to the number of separate input streams. The stream rate generators 12_0-12_{P-1} each pre-code a corresponding one of the programs Program 0, Program 1...Program *P-1* at a plurality of different bit rates. Stated another way, each multirate stream generator pre-codes the corresponding program to yield at least two or more different bit rate representations. A representation can include a particular stored encoded bit stream, or a combination of various portions of different encoded bit streams. A storage device 14, typically in the form of a single disc drive, a Redundant Array of Inexpensive Discs (RAID), or a plurality of RAIDs, serves to store the pre-coded representations generated by the multirate stream generators 12_0-12_{P-1}.

Each of the multirate stream generators 12_0-12_{P-1} generates control information for each time window T of for the corresponding one of Programs 0 to *P-1*, respectively. The control information for each time window T for each representation of each program includes an indication of the bit rate of that representation and a quality measure, such as the peak signal-to-noise ratio (PSNR) of the representation. A central statistical multiplexer ("stat mux") 16 receives the control information for each representation. At each successive time window T, the stat mux 16 selects a representation for each program to maximize the quality of the transmitted programs while maintaining the total bit rate at or below the total capacity C of the channel 11.

The lowest bit rate representation for each program should not exceed a prescribed value such that the sum of the lowest bit rates for all programs will not exceed the channel capacity for each interval T. In this way, at least one representation of each program can undergo transmission over the channel 11. Requiring the lowest bit rate representation for each program to have a peak bit rate of *C/P* or less can achieve this constraint. Other methods exist that meet the constraint $\sum_{p=0}^{P-1} r[p,0] \le C$ for all time windows T of all programs. Typically, advance knowledge does not exist as to when playback of particular programs will commence. Therefore,

enforcing capacity restraints becomes easiest by requiring that the bit rate limit of the lowest bit rate representation be the same for all time windows T of a particular program.

The process for the maximization of the overall combined quality can occur in several different ways. In all cases, the constraint $\sum_{p=0}^{P-1} r[p,n[p]] \le C$ must remain met for all time windows T of all programs. A minimax approach can satisfy the constraint by choosing *n[p]* for each $p \in (0, P\text{-}1)$ to maximize the quality of the minimum quality program (i.e., minimizing the maximum distortion.)

The minimax approach can be implemented by sorting the control information for each representation of each program so that the quality and bit rate index increases monotonically increasing with the index. The change in bit rate for each step (referred to as the "delta (Δ)"bit rate) is then stored. The stat mux 16 begins with the lowest index for each program representation and computes the total capacity S. The stat mux 16 the selects the program representation at the lowest quality and checks if adding its delta bit rate to S exceeds C. If the addition of the representation does not exceed C, the index for that representation is incremented, and process is repeated. Once C is exceeded, the representation with the next to lowest quality is checked to see if adding its delta bit rate to S exceeds C. The process is repeated until no increment in any representation can be made without exceeding the channel capacity C. Alternatively, the total quality of all programs could be optimized by maximizing the sum of the individual program qualities which involves solving the following constrained optimization problem:

$$\max_{n[.]} \sum_{p=0}^{P-1} q[p,n[p]]; \text{ subject to } \sum_{p=0}^{P-1} r[p,n[p]] \le C$$

It is also possible to optimize the product of the individual program qualities in the following manner:

$$\max_{n[.]} \prod_{p=0}^{P-1} q[p,n[p]]; \text{ subject to } \sum_{p=0}^{P-1} r[p,n[p]] \le C$$

A weighted average can also be used, in order to provide different classes of service for different viewers.

The multirate stream generators 12_0-12_{P-1} can use several different methods, or a combination of methods to form multiple representations of each program. In all cases, a random access point, such as an intra-coded (I) frame should occur at the beginning of each time window T, for each representation, so that for each time window T a different representation can be

chosen without causing drift. Each representation can use either CBR or VBR coding as long as the capacity of the lowest bit rate representation meets the total channel capacity constraint.

In a first method, a multirate video encoder encodes each program at several different bit rates into several independent bit streams. Each different bit rate bit stream serves as a different representation. FIGURE 2 depicts an architecture 100 for carrying out this method. As seen in FIG. 2, a multirate video encoder 110 serves to encode a corresponding program to yield a plurality of different rate representations. A separate one of transport packetizers 112_0, $112_2 \ldots 112_{P-1}$ each packetizes a corresponding representation. The multirate encoding performed by the multirate encoder 110, and the packetization performed by the packetizers 112_0-112_{P-1} occurs once, not necessarily in real time, prior to storage in a storage device 140 similar in construction to the storage device 14 of FIG. 1.

Selection of the representations stored in storage device 140 for output in real time occurs in response to a signal received from a selector block 150. The selector block 150 identifies the stored representation for output in response to a request from the stat mux 160 for a particular representation. Upon receipt of the signal from the selector block 150, the storage device 140 supplies the selected packetized representations to the stat mux 160 for output.

In a second method, portions of the several different bit streams are combined to yield additional representations of a program. The presence of random access points at the same location in all bit streams of a program (as would naturally occur at scene changes) or the use of fixed group-of-pictures structures, permits the combination of compressed data from different bit rate streams at random access boundaries to form new representations. No need exists to store each representation independently, as long as the ability exists to generate each representation from the data that is stored. Consider the following example where T is one second, the data is coded at 30 fps, and I frames are inserted every 15 frames, yielding three different bit rate bit streams, designated as Bit stream 0, Bit stream 1, and Bit Stream 2, respectively. Each bit stream constitutes a separate representation. An additional representation can be formed which uses Bitstream 0 for the first 15 frames and Bitstream 1 for the second 15 frames, and so on.

Assuming alignment of the random access points with the transport packets, the server architecture 100 of FIG. 2 works well when the transport packetization occurs in advance. FIGURE 3 depicts a VoD server architecture 200 better suited when the random access points do not necessarily align with the transport packets. Referring to FIG. 3, VoD server architecture 200 includes multirate video encoder 210 for generating a plurality of different bit rate

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representations for storage in a storage device 240 similar to the storage devices 14 and 140. The representations stored in the storage device 140 exist as un-packetized bit streams.

Selection of the representations stored in storage device 240 for output in real time occurs in response to a signal received from a selector block 250. The selector block 250, similar in nature to the selector block 150 of FIG. 2, identifies the stored representation for output in response to a request from a static mixer/multiplexer 260 for a particular representation. Upon receipt of the signal from the selector block 250, the storage device 240 supplies the selected packetized representations to the static mixer/multiplexer. A packetizer 212 packetizes the output stream of the static mixer/multiplexer 260 for output on the channel (not shown).

Representations also can be formed by switching between stored bit streams for non-reference pictures, such as for video codecs (not shown) that include pictures that are not used as reference pictures for predicting other pictures, such as MPEG-2 B frames or JVT non-stored pictures. Each non-reference picture can be selected from a different stored bitstream without affecting the quality of subsequently coded pictures, as the non-stored pictures are not used for prediction. Complete elimination of a non-reference picture in a representation can also occur. Each non-reference picture could be switched individually, or groups of non-reference pictures could be switched together, allowing for many possible total bit rate representations. The multirate stream signal generators 12_0-12_{P-1} of FIG. 1 can choose to limit the number of possible representations to send to the stat mux 16 of FIG. 1 to choose those with significant differences in quality and bit rate. It is not necessary for each representation to be independently stored in storage, but a table listing the location and length of each coded frame can be stored to simplify generation of the representation when needed. It is also possible to store multiple bit rate bit streams only for the non-stored pictures and only store a single version of the reference (I and P) pictures, which can significantly reduce storage requirements.

With the VoD server architectures 100 and 200 of FIGS. 2 and 3, respectively, the stat mux 160 and the static mixer/multiplexer 260 generate a bit stream corresponding to the selected representation. If individual pictures are not transport packet aligned, the architecture 200 of FIG. accomplishes transport packetization after the generation of the bit stream for a particular representation. Otherwise, the VoD server architecture 100 of FIG. 2 FIG. 2 will work well.

In accordance with another aspect of the present principles, scalable video coding can occur by the use of a scalable video encoder (not shown) to form a base layer and one or more enhancement layers. Any type of scalability that which employs the base layer for motion compensated reference picture prediction in order to avoid drift can be used, such as frequency

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scalability, FGS, SNR scalability or temporal scalability. The lowest bit rate representation corresponds to the base layer. The peak bit rate of the base layer must be such that when the bit rates of all programs' base layers are summed, the channel capacity C is not exceeded for each time window T. Other higher bit rate representations will correspond to the base layer plus some portion of the enhancement layer. This approach requires that the video decoder support the type of scalability used. The VoD server architecture 100 of FIG. 2 is used upon inclusion of an entire enhancement layer in a particular representation. The VoD server architecture 200 of FIG. 3 is used if portions of an enhancement layer are used in a representation.

For systems which use encryption for contents right management, the VoD server architecture 100 of FIG. 2 does not require any decryption or encryption in real time, as encryption is added to the transport packetization process done in non-real time and entire encrypted transport packets are stored and transmitted. The VoD server architecture 200 of FIG. 3 requires that encryption be performed along with transport packetization in real-time.

VoD programs generally include both audio and video. As audio bit rates are generally significantly lower than video bit rates and are also generally at a fixed bit rate, there is less advantage to be gained by selecting from different pre-encoded audio bit streams by the stat mux 16 and 160 of FIGS 1 and 2, and the static mixer/multiplexer 260 of FIG. 3. A user likely will find the switching of audio quality during the viewing of a single program disturbing. The total channel capacity C can be considered to be totally consumed by the video channel; assuming that a single bit rate audio stream is also sent for each program. Alternatively, the above-described methods can also be applied to audio, where multiple representations of audio programs with different bit rates and qualities can be generated.

A video player (not shown) that works with the VoD server architectures of the present principles will contain a video decoder (not shown) and some storage for buffering. For the particular program being received, the data can arrive at a non-uniform data rate, but with the requirement that the data corresponding to time unit T will arrive any time within the T time window. The video player must have the capability of buffering and delaying for T. An upper limit on the maximum bit rate that can be transmitted for a given representation of a program for a time window T can be pre-determined to limit the decoder complexity and decoder buffer size. The decoding buffer is generally a requirement of a video decoder, e.g. MPEG-2 levels sets buffer size particular requirements, and the combination of T and the bitrate may be chosen to meet it.

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Fast forward and fast reverse tracks for each program can also be stored at the VoD server. They can be stored at a single bit rate, or at several different bit rates, and allowed to adapt as is done for the normal play program.

Determination of the time window T length is based on several factors. T should be as large as possible to get the most statistical multiplexing gain, but small enough to constrain the delay at the video player for start-up and switching between normal play and trick play streams. T should be small enough to meet decoder requirements.

The foregoing describes a system and method for efficiently providing video on demand while maintaining bandwidth constraints of a fixed channel.

CLAIMS

1. A method for transmitting a plurality of pre-coded programs having different bit rates across a fixed bandwidth channel, comprising the steps of:

generating at least two different bit rate representations of each program;

providing control information at each of a plurality of successive time windows T for each representation of each program, the control information for each successive window indicating a bit rate and quality measure for a representation of a corresponding program; and

during each time window T, selecting a representation for each program such to maximize the quality of the selected representations while not exceeding a total available capacity for the channel.

2. The method according to claim 1 wherein the step of generating at least two different bit rates representation further comprises the step of generating for each program a lowest bit rate representation having a peak bit rate not greater than C/P where C is the total channel capacity in time T and P is the total number of programs.

3. The method according to claim 1 wherein the step of providing the control information further comprises the step of establishing the peak signal-to-noise ratio (PSNR) as the quality measure embodied in the control information.

4. The method according to claim 1 wherein the selecting step further comprises the step of selecting a representation for each program which meets the constraint $\sum_{p=0}^{P-1} r[p, n[p]] \leq C$ for all time windows wherein:

C is the total channel capacity available in time frame T;

P is the total number of programs;

$p \in (0, P\text{-}1)$, is the index of a particular program;

$N[p]$ is the total number of representations of program p;

$n[p] \in (0, N[p] - 1)$ is the index of a particular representation of program p; and

$r[p, x]$ is the bit rate of representation x of program p during T

5. The method according to claim 4 further comprising the step of choosing each program's representation n[p] $\in$ (0, N[P]-1) to maximize the quality of the program p that had the minimum quality.

6. The method according to claim 5 further comprising the steps of:

(a) sorting the quality information for with the bit rate and quality measure monotonically increasing with an index value;

(b) storing each bit rate increment (delta) and quality value for each index value;

(c) beginning with a lowest index value, computing total capacity S for program representations selected thus far for such index value;

(d) selecting a program representation at a lowest quality measure;

(e) checking whether the bit rate increment of the selected program at the lowest quality, when added to the representations selected thus far, exceeds total channel capacity, and if not

(f) incrementing the index value; and

(g) repeating steps (c)-(f).

7. The method according to claim 1 wherein the selecting step further comprises the step of selecting the representation for each program such to maximize a sum of individual program qualities by solving $\max_{n[.]} \sum_{p=0}^{P-1} q[p, n[p]]$; subject to $\sum_{p=0}^{P-1} r[p, n[p]] \leq C$

wherein ,

C is the total channel capacity available in time frame T;

P is the total number of programs;

$p \in (0, P\text{-}1)$, is the index of a particular program;

$N[p]$ is the total number of representations of program p;

$n[p] \in (0, N[p] - 1)$ is the index of a particular representation of program p;

$r[p, x]$ is the bit rate of representation x of program p during T; and

$q[p, x]$ is the quality of representation x of program p during T.

8. The method according to claim 1 wherein the selecting step further comprises the step of selecting the representation for each program such to maximize a product of individual program qualities by solving

$$\max_{n[.]} \prod_{p=0}^{P-1} q[p,n[p]]; \text{ subject to } \sum_{p=0}^{P-1} r[p,n[p]] \le C$$

where,

C is the total channel capacity available in time frame T;

P is the total number of programs;

$p \in (0, P\text{-}1)$, is the index of a particular program;

$N[p]$ is the total number of representations of program p;

$n[p] \in (0, N[p]-1)$ is the index of a particular representation of program p;

$r[p, x]$ is the bit rate of representation x of program p during T; and

$q[p, x]$ is the quality of representation x of program p during T.

9. The method according to claim 8 further comprising the step of applying a weighted average to provide different classes of service for different viewers.

10. A system for transmitting a plurality of pre-coded programs having different bit rates across a fixed bandwidth channel, comprising the steps of:

means for generating at least two different bit rate representations of each program;

means providing control information at each of a plurality of successive time windows T for each representation of each program, the control information for each successive window indicating a bit rate and quality measure for a representation of a corresponding program; and

means for selecting during each time window T a representation for each program such to maximize the quality of the selected representations while not exceeding a total available capacity for the channel.

11. The system according to claim 10 wherein the generating means and control information providing means collectively comprise:

a plurality of multirate stream generators, each associated with a corresponding one of the plurality of pre-coded programs.

12. The system according to claim 10 wherein the generating means and control information providing means collectively comprise:

a multirate video encoder for encoding at least two bit rate representations of each pre-coded program.

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13. The system according to claim 10 wherein the generating means and control information providing means collectively comprise:

a multirate video encoder for encoding at least two bit rate representations of each pre-coded program; and

a plurality of transport packetizers, each serving to packetize the bit rate presentations for each pre-coded program.

14. The system according to claim 10 wherein the selecting means includes a static multiplexer.

15. The system according to claim 12 wherein the selecting means comprises:

a static multiplexer; and

a transport packetizer for packetizing the selecting representation.

16. The system according to claim 10 wherein the selecting means generates for each program a lowest bit rate representation having a peak bit rate not greater than C/P where C is the total channel capacity in time T and P is the total number of programs.

17. The system according to claim 10 wherein control information providing means establishes quality measure in accordance with a peak signal-to-noise ratio (PSNR).

18. The system according to claim 10 wherein the selecting means selects a representation for each program which meets the constraint $\sum_{p=0}^{P-1} r[p, n[p]] \leq C$ for all time windows where:

C is the total channel capacity available in time frame T;

P is the total number of programs;

$p \in (0, P\text{-}1)$, is the index of a particular program;

$N[p]$ is the total number of representations of program p;

$n[p] \in (0, N[p]-1)$ is the index of a particular representation of program p; and

$r[p, x]$ is the bit rate of representation x of program p during T.

19. The system according to claim 18 wherein the selecting means chooses each program's representation n[p] $\in$ (0, N[P]-1) to maximize the quality of the program p that had the minimum quality.

20. The system according to claim 10 wherein the selecting means selects the representation for each program such to maximize a sum of individual program qualities by solving:

$$\max_{n[.]} \sum_{p=0}^{P-1} q[p,n[p]]; \quad \text{subject to} \quad \sum_{p=0}^{P-1} r[p,n[p]] \le C$$

where,

C is the total channel capacity available in time frame T;

P is the total number of programs;

$p \in (0, P\text{-}1)$, is the index of a particular program;

$N[p]$ is the total number of representations of program p;

$n[p] \in (0, N[p]-1)$ is the index of a particular representation of program p;

$r[p, x]$ is the bit rate of representation x of program p during T; and

$q[p, x]$ is the quality of representation x of program p during T.

21. The system according to claim 10 wherein the selecting means selects the representation for each program such to maximize a product of individual program qualities by solving:

$$\max_{n[.]} \prod_{p=0}^{P-1} q[p,n[p]]; \quad \text{subject to} \quad \sum_{p=0}^{P-1} r[p,n[p]] \le C$$

where,

C is the total channel capacity available in time frame T;

P is the total number of programs;

$p \in (0, P\text{-}1)$, is the index of a particular program;

$N[p]$ is the total number of representations of program p;

$n[p] \in (0, N[p]-1)$ is the index of a particular representation of program p;

$r[p, x]$ is the bit rate of representation x of program p during T; and

$q[p, x]$ is the quality of representation x of program p during T.

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22. The system according to claim 10 wherein a weighted average is applied to provide different classes of service for different viewers.

ABSTRACT OF THE DISCLOSURE

A Video-on-Demand Server architecture (100, 200, 300) transmits a plurality of pre-coded programs having different bit rates across a fixed bandwidth channel (11). For each program, a generator (12_0-12_{P-1}, 110, 210) generates a plurality of different bit rate representations for each program. Each generator also provides control information at each of a plurality of successive time windows T for each bit rate representation. The control information provides a bit rate and a quality measure during each time window T. The control information enables a statistical multiplexer (16, 160, 260) to select a bit rate representation for each program during each time window T to maximize the quality of the selected representations while not exceeding the total available channel capacity.

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FIG. 1

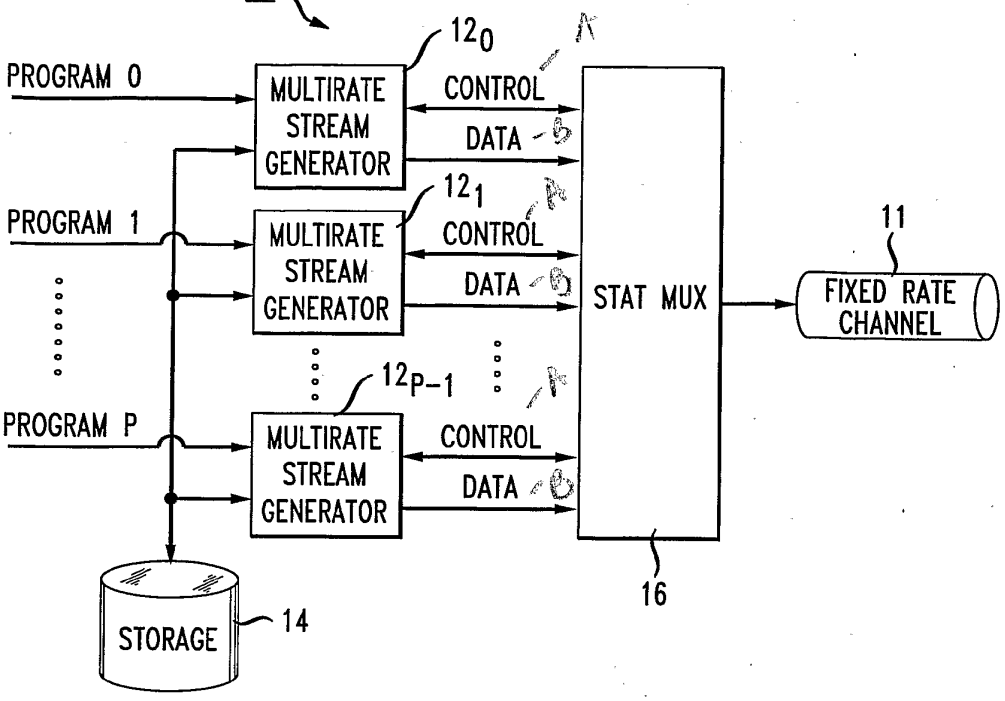

10
PROGRAM 0
PROGRAM 1
PROGRAM P
MULTIRATE STREAM GENERATOR
MULTIRATE STREAM GENERATOR
MULTIRATE STREAM GENERATOR
12_0
12_1
12_{P-1}
CONTROL
DATA
CONTROL
DATA
CONTROL
DATA
STAT MUX
11
FIXED RATE CHANNEL
16
STORAGE
14

FIG. 2

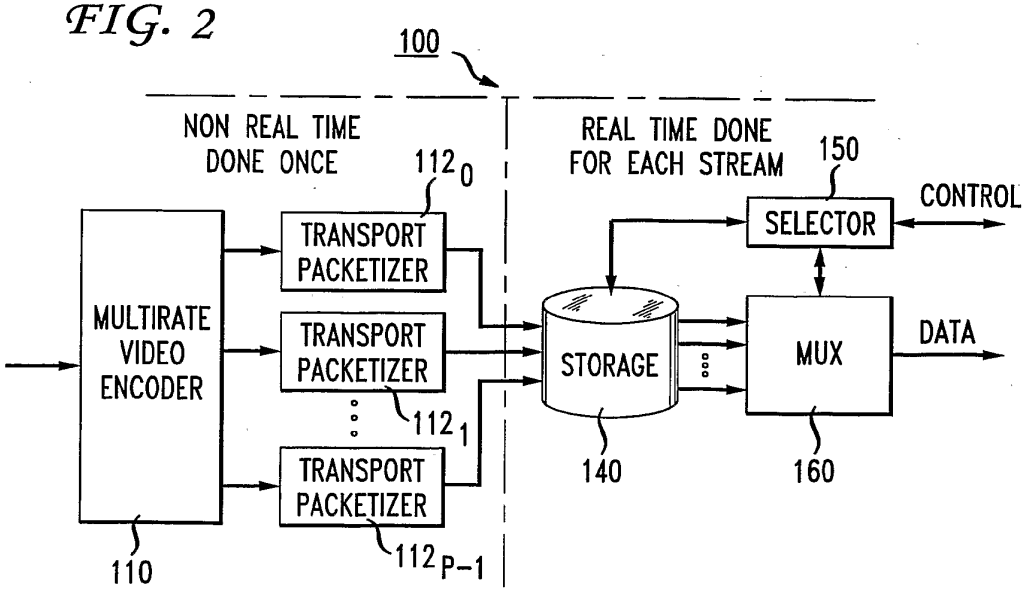
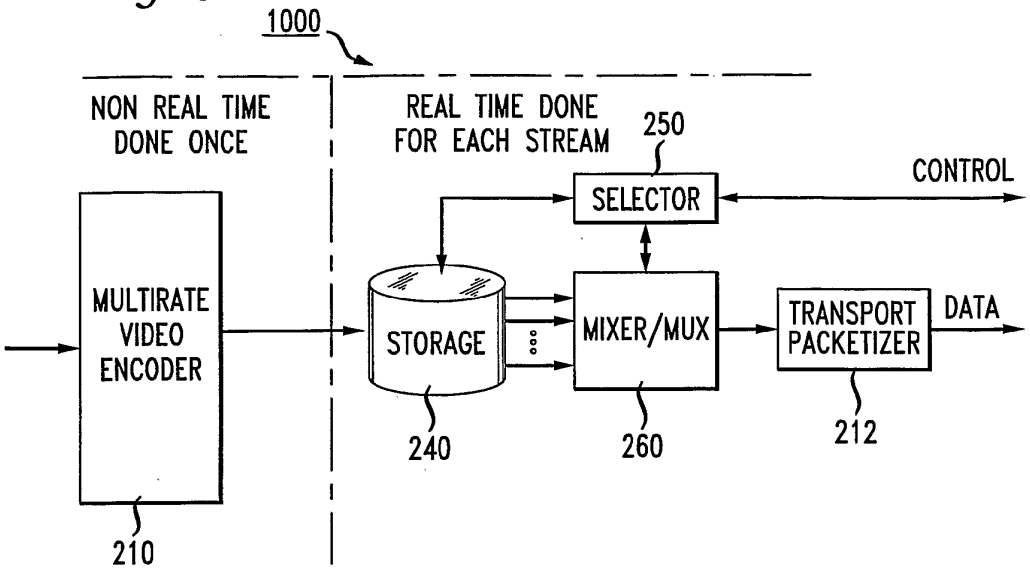

100
NON REAL TIME DONE ONCE
REAL TIME DONE FOR EACH STREAM
MULTIRATE VIDEO ENCODER
110
TRANSPORT PACKETIZER
TRANSPORT PACKETIZER
TRANSPORT PACKETIZER
112_0
112_1
112_{P-1}
STORAGE
140
150
SELECTOR
CONTROL
MUX
DATA
160

FIG. 3


1000
NON REAL TIME
DONE ONCE
REAL TIME DONE
FOR EACH STREAM
250
CONTROL
SELECTOR
MULTIRATE
VIDEO
ENCODER
STORAGE
MIXER/MUX
TRANSPORT
PACKETIZER
DATA
210
240
260
212

PCT
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Inventory of Unscannable or Missing Items

Serial Number

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	DNA Diskette	
	Exhibit	
	Express Mail Label or Envelope	
✓	Applicant Supplied Priority Document	
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XXXXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX	Other (specify)	

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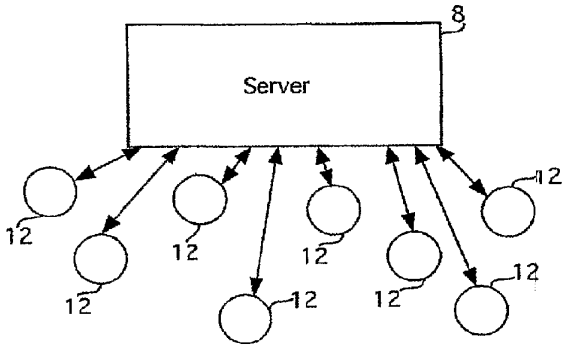
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(54) Title: SYSTEMS FOR DISTRIBUTING DATA OVER A COMPUTER NETWORK AND METHODS FOR ARRANGING NODES FOR DISTRIBUTION OF DATA OVER A COMPUTER NETWORK



PRIOR ART

(57) Abstract: Various embodiments of the present invention relate to a system for distributing data (e.g., content data) over a computer network and a method of arranging receiver nodes in a computer network such that the capacity of a server is effectively increased (e.g., the capacity of a server may be effectively multiplied many times over; the capacity of the server may be effectively increased exponentially). In one embodiment the present invention may take advantage of the excess capacity many receiver nodes possess, and may use such receiver nodes as repeaters. The distribution system may include node(s) having database(s) which indicate ancestor(s) and/or descendant(s) of the node so that reconfiguration of the distribution network may be accomplished without burdening the system's primary server. An embodiment of the present invention may include a process for configuring a computer information distribution network having a primary server node and user nodes docked in a cascaded relationship, and reconfiguring the network in the event that a user node departs from the network. In one example (which example is intended to be illustrative and not restrictive), the process may include the steps of providing a new user node (or connection requesting user node) with a connection address list of nodes within the network, having the new user node (or connection requesting user node) go to (or attempt to go to) the node at the top of the connection address list, determine whether that node is still part of the distribution network, and connect thereto if it is, and if it is not, to go to (or attempt to go to) the next node on the connection address list. In another example (which example is intended to be illustrative and not restrictive), when a user node departs from the distribution network, a propagation signal may be transmitted to the nodes below it in the network, causing them to move up in the network in a predetermined order. In another example (which example is intended to be illustrative and not restrictive), the present invention may provide a decentralized approach which provides, to each new user node (or connection requesting user node) a path back to the root server.

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SYSTEMS FOR DISTRIBUTING DATA OVER A COMPUTER NETWORK AND METHODS FOR ARRANGING NODES FOR DISTRIBUTION OF DATA OVER A COMPUTER NETWORK

FIELD OF THE INVENTION

This patent application is related to and claims the benefit of the filing date of U.S. Provisional Patent Application Serial No. 60/586,952 for "Systems for Distributing Data Over A Computer Network And Methods For Arranging Nodes For Distribution Of Data Over A Computer Network", filed July 9, 2004.

Various embodiments of the present invention relate to systems for distributing data (e.g., content data) over a computer network and methods of arranging nodes for distribution of data (e.g., content data) over a computer network. In one example (which example is intended to be illustrative and not restrictive), the systems and methods of the present invention may be applied to the distribution of streaming audiovisual data over the Internet.

For the purposes of the present application the term "node" (e.g., as used in the phrase a first node communicates with a second node) is intended to refer to a computer system (or sometimes just "computer") configured to send and/or receive data over a computer network (e.g., a computer network including the Internet, a local-area-network, a wide-area-network, a wireless network). Since a "node" in a computer network would not exist but for a computer system being available on such node, the terms "node" and "computer system" (or sometimes just "computer") may be used interchangeably (i.e., the term "node" should be understood to include an available computer system (or sometime just "computer").

Further, for the purposes of the present application the term "upstream" (e.g., as used in the phrase a first computer system sends data via an upstream connection to a second computer system) is intended to refer to the communication path between a first computer system and the Internet when the first computer system is sending data to a second computer system via the Internet.

Further still, for the purposes of the present application the term "downstream" (e.g., as used in the phrase a first computer system receives data via a downstream connection from a second computer system) is intended to refer to the communication path between a first computer system and the Internet when the first computer system is receiving data from a second computer system via the Internet.

Further still, for the purposes of the present application the term "uptree" (e.g., as

used in the phrase a first node sends data via an uptree connection to a second node) is intended to refer to the network topology communication path between a first node and a second node when a first node is sending data to a second node which is higher up on the network topology tree (that is, closer to the root server).

Further still, for the purposes of the present application the term "downtree" (e.g., as used in the phrase a first node sends data via a downtree connection to a second node) is intended to refer to the network topology communication path between a first node and a second node when a first node is sending data to a second node which is lower down on the network topology tree (that is, closer to the leaves).

Further still, for the purposes of the present application the term "docked" (e.g., as used in the phrase a child node docks with a parent node) is intended to refer to forming a connection between nodes via which data may flow in at least one direction (e.g., at least uptree or downtree).

Further still, for the purposes of the present application the term "apparent available capacity" (e.g., as used in the phrase apparent available capacity to transmit content data) is intended to refer to a nominal capacity (e.g., a node's presumed capacity based upon a design specification but not necessarily actually tested in the network).

BACKGROUND OF THE INVENTION

In a computer network such as the Internet, each node in the network has an address. A computer system resident at a particular address may have sufficient bandwidth or capacity to receive data from, and to transmit data to, many other computer systems at other addresses. An example of such a computer system is a server, many commercial versions of which can simultaneously exchange data with thousands of other computer systems.

A computer system at another location may have only sufficient bandwidth to effectively exchange data with only one other computer system. An example of such a system is an end user's personal computer connected to the Internet by a very low speed dialup modem. However, even typical personal computers connected to the Internet by higher speed dialup modems may have sufficient bandwidth that they may exchange data essentially simultaneously in an effective manner with several other computer systems. Moreover, an end user's personal computer system may have even greater bandwidth when connected to the Internet by ISDN lines, DSL (e.g., ADSL) lines, cable modems, T1 lines or even higher capacity links. As discussed more fully below, various embodiments of the present invention

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may take advantage of the availability of such higher capacity end user systems (e.g., those computer systems capable of essentially simultaneously exchanging data with multiple computer systems).

In a typical situation, as shown in FIG. 1, a content provider distributes its data by making the data available on a server node 8 simultaneously to a plurality of users at user nodes 12 (of note, the terms "server", "root server" and "primary server" may be used interchangeably throughout the present application to refer to the same device (i.e., the highest level parent node in a given network). The double-headed arrows show the two-way communication between each end user's computer system and the server. Essentially the content provider's server transmits a separate stream of signals to each receiver node. To accommodate additional users, the content provider would typically either add equipment to increase capacity or it would engage a mirror site to accomplish essentially the same result as adding equipment. The capacities of the end user computers is of virtually no consequence in such a system.

Another system for distributing data is the Napster™ music file exchange system provided by Napster, Inc. of Redwood City, Calif. A schematic of the Napster™ music file exchange system (as its operation is presently understood) is illustrated in FIG. 2.

More particularly, it is believed that in the Napster™ system a copy of the music data is not kept on the server. The server 9 instead maintains a database relating to the various music files on the computers of users who are logged onto the server 9. When a first user 12a sees that a desired music file is available from a second logged on user 12b, the first user causes his computer to query the server 9 for the second user's node address and a connection is made between the first and second user's computers through which the first user's computer notifies the second user's computer of the desired file and the second user's computer responds by transmitting a copy of the desired music file directly to the first user's computer. It is further believed that a first user attempting to download a particular file from a second user must start completely over again if the second user cancels its transmission or goes off line during the data transfer.

In another area, engineers have developed what is known as "streaming media." In summary, streaming media is a series of packets (e.g., of compressed data), each packet representing moving images and/or audio.

To help understand streaming media in more detail, it is helpful to review the traditional Internet distribution method. Each node (whether it is a server node or a user node) in a computer network has a unique identification (sometimes referred to as an "IP" address)

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associated with it. On the Internet, the unique address may be referred to as a Uniform Resource Locator ("URL"). A user desiring to obtain data from a particular server enters that server's URL into the user's browser program. The browser program causes a connection request signal to be sent over the Internet to the server. If the server has the capacity to accept the connection, the connection is made between the server and the user node (files requested by the user are typically transmitted by the server in full to the user node and the browser program may store the files in buffer memory and display the content on the user's computer system monitor - some files may be more permanently stored in the computer system's memory for later viewing or playing.) The connection with the server is typically terminated once the files have been received at the user node (or the connection may be terminated a short time thereafter). Either way, the connection is usually of a very short time duration.

With streaming media, the contact between the server and user nodes is essentially continuous. When a connection between a server node and user node is made and streaming media is requested, the server sends streaming media packets of data to the user node. A streaming media player installed on the user's computer system (e.g., software, such as RealMedia™ from RealNetworks, Inc. of Seattle, Wash.,) causes the data to be stored in buffer memory. The player decompresses the data and begins playing the moving images and/or audio represented by the streaming media data on the user's computer system. As the data from a packet is played, the buffer containing that packet is emptied and becomes available to receive a new packet of data. As a result, the memory assets of a user's computer are not overly taxed. Continuous action content, such as, for example, the display of recorded motion picture films, videos or television shows may be distributed and played in essentially "real time," and live events, such as, for example, concerts, football games, court trials, and political debates may be transmitted and viewed essentially "live" (with only the brief delays needed for compression of the data being made available on the server, transmission from the server to the user node, and decompression and play on the user's computer system preventing a user from seeing the event at the exact same moment in time as a person actually at the event). And, when the systems are working as designed, the server node and user node may stay connected to each other until all the packets of data representing the content have been transmitted.

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SUMMARY OF THE INVENTION

Various embodiments of the present invention relate to a system for distributing data (e.g., content data) over a computer network and a method of arranging receiver nodes in a computer network such that the capacity of a server is effectively increased (e.g., the capacity of a server may be effectively multiplied many times over; the capacity of the server may be effectively increased exponentially). In one embodiment the present invention may take advantage of the excess capacity many receiver nodes possess, and may use such receiver nodes as repeaters. The distribution system may include node(s) having database(s) which indicate ancestor(s) and/or descendant(s) of the node so that reconfiguration of the distribution network may be accomplished without burdening the system's primary server. An embodiment of the present invention may include a process for configuring a computer information distribution network having a primary server node and user nodes docked (i.e., connected) in a cascaded relationship, and reconfiguring the network in the event that a user node departs from the network. In one example (which example is intended to be illustrative and not restrictive), the process may include the steps of providing a new user node (or connection requesting user node) with a connection address list of nodes within the network, having the new user node (or connection requesting user node) go to (or attempt to go to) the node at the top of the connection address list, determine whether that node is still part of the distribution network, and connect thereto if it is, and if it is not, to go to (or attempt to go to) the next node on the connection address list. In another example (which example is intended to be illustrative and not restrictive), when a user node departs from the distribution network, a propagation signal may be transmitted to the nodes below it in the network, causing them to move up in the network in a predetermined order. In another example (which example is intended to be illustrative and not restrictive), the present invention may provide a decentralized approach which provides, to each new user node (or connection requesting user node) a path back to the root server.

Of note, various embodiments of the present invention may be applied to the transmission (e.g., the "appointment" transmission) of audiovisual content such as, for example (which example is intended to be illustrative and not restrictive), live or pre-recorded concerts, football games, court trials, political debates, motion picture films, videos or television shows. Such transmission of audiovisual content may be made with acceptable levels of quality, wherein "acceptable levels of quality" may vary depending upon the end users and the type of transmission. Further, such transmission of audiovisual content may be

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carried out using streaming media transmissions intended to reach large audiences, in much the way that television shows transmitted over television cable and broadcast media reach large audiences (in this regard, the present invention may enable a server to transmit streaming media to the large number of users which would be essentially simultaneously logging on to view a particular audiovisual presentation under this television-type "large audience" transmission).

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic drawing of a prior art computer information distribution network;

FIG. 2 is a schematic drawing of another prior art computer information distribution network;

FIG. 3 is a schematic drawing of an embodiment of a computer information distribution network formed pursuant to the present invention;

FIG. 4 is a schematic drawing of another embodiment of a computer information distribution network formed pursuant to the present invention;

FIG. 5 is a schematic drawing of another embodiment of a computer information distribution network formed pursuant to the present invention;

FIG. 6 is a schematic drawing of a particular topology of a computer information distribution network formed pursuant to an embodiment of the present invention;

FIG. 7 is a schematic drawing of a particular topology of the computer information distribution network formed pursuant to an embodiment of the present invention as shown in FIG. 6 (after the occurrence of an event);

FIG. 8 is a schematic drawing of another topology of a computer information distribution network formed pursuant to an embodiment of the present invention;

FIG. 9 is a schematic drawing of the topology of the computer information distribution network formed pursuant to an embodiment of the present invention as shown in FIG. 8 (after the occurrence of an event);

FIG. 10 is a flow diagram of an embodiment of the present invention showing a Server Connection Routine which may be performed when a prospective child node seeks to join the distribution network;

FIG. 11 is a flow diagram of an embodiment of the present invention showing a Prospective Child Node's Request to Server for a Connection Routine;

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FIGS. 12A-12E are schematic drawings showing varying topologies of the computer information distribution network formed pursuant to an embodiment of the present invention under several circumstances;

FIG. 13 is a block diagram of an embodiment of the present invention showing the memory blocks into which the software used may partition a user node's memory;

FIG. 14 is a flow diagram of an embodiment of the present invention showing a Prospective Child Node's Connection Routine - the routine which a new user node (or connection requesting user node) may go through in attempting to connect to a distribution chain (or tree) after receiving a connection address list;

FIG. 15 is a flow diagram of an embodiment of the present invention showing a Prospective Child Node's Connection Routine With Return to Server Subroutine;

FIG. 16 is a flow diagram of an embodiment of the present invention illustrating a Prospective Parent Node's Connection Routine;

FIG. 17 is a flow diagram of an embodiment of the present invention illustrating a Server's Connection Instruction Routine;

FIG. 18 is a flow diagram of an embodiment of the present invention illustrating a Fully Occupied Parent's Connection Instruction Routine;

FIG. 19 is a flow diagram of an embodiment of the present invention illustrating a Multi-Node Selection Subroutine;

FIGS. 20A and 20B are together a flow diagram of an embodiment of the present invention illustrating a Universal Connection Address Routine;

FIG. 21 is a schematic drawing of a topology of a computer information distribution network of an embodiment of the present invention before a new node will be added using the Universal Connection Address Routine;

FIG. 22 is a schematic drawing of a topology of the computer information distribution network of an embodiment of the present invention when a new node is added using the Universal Connection Address Routine;

FIG. 23 is a schematic drawing of a topology of a computer information distribution network of an embodiment of the present invention before a reconfiguration event;

FIG. 24 is a schematic drawing of a topology of a computer information distribution network of an embodiment of the present invention shown in FIG. 23 after a reconfiguration event;

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FIG. 25 is a schematic drawing of a topology of a computer information distribution network of an embodiment of the present invention, slightly different from the topology shown in FIG. 23, before a reconfiguration event;

FIG. 26 is a schematic drawing of a topology of a computer information distribution network of an embodiment of the present invention shown in FIG. 25 after a reconfiguration event;

FIG. 27 is a flow diagram of an embodiment of the present invention showing a Child Node's Propagation Routine;

FIG. 28 is a schematic drawing of another topology of a computer information distribution network of an embodiment of the present invention before a reconfiguration event;

FIG. 29 is a schematic drawing of a topology of a computer information distribution network of an embodiment of the present invention shown in FIG. 28 after a reconfiguration event;

FIG. 30 is a schematic drawing of another topology of a computer information distribution network of an embodiment of the present invention before a "complaint" regarding communications;

FIG. 31 is a flow diagram of an embodiment of the present invention showing a Grandparent's Complaint Response Routine.

FIGS. 32, 33A-33C, 34A-34C, 35A, 35B and 36 relate to "Registration Process" examples according to the present invention;

FIGS. 37 and 38 relate to a "Firewall Request / Firewall Status" example according to the present invention;

FIGS. 39A, 39B and 40 relate to a "Propagate Path / Down" example according to the present invention;

FIGS. 41A, 41B and 42 relate to a "Propagate NTM / Up" example according to the present invention;

FIGS. 43 and 44 relate to a "Banned List" example according to the present invention;

FIG. 45 relates to a "Node Interlacing" example according to the present invention; and

FIGS. 46A-46C relate to various distribution network topology examples according to the present invention.

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Among those benefits and improvements that have been disclosed, other objects and advantages of this invention will become apparent from the following description taken in conjunction with the accompanying figures. The figures constitute a part of this specification and include illustrative embodiments of the present invention and illustrate various objects and features thereof (of note, the same reference numeral may be used to identify identical elements throughout the figures).

DETAILED DESCRIPTION OF THE INVENTION

Detailed embodiments of the present invention are disclosed herein; however, it is to be understood that the disclosed embodiments are merely illustrative of the invention that may be embodied in various forms. In addition, each of the examples given in connection with the various embodiments of the invention are intended to be illustrative, and not restrictive. Further, the figures are not necessarily to scale, some features may be exaggerated to show details of particular components. Therefore, specific structural and functional details disclosed herein are not to be interpreted as limiting, but merely as a representative basis for teaching one skilled in the art to variously employ the present invention.

Referring now to FIG. 3, an illustration of a linear propagation arrangement 10 of a computer network according to an embodiment of the present invention is shown. In this embodiment, the primary server node (or simply, server) 11 provides content data (e.g., streaming media) to user nodes 12 connected directly to it (sometimes referred to as "first level user nodes"). Each first level user node 12 has a second level user node 13 connected to it and each second level user node 13 has a third level user node 14 connected to it. The computer system at each first level user node 12 passes a copy of the content data received from server node 11 to the computer system at the second level user node 13 attached to such first level user node 12. The computer system at each second level user node 13 in turn passes the content data onto the computer system at the fourth level user node 14 attached to it.

As more fully discussed below, under this embodiment the computer systems at the server and user nodes may have distribution software installed in them which enables the nodes to be arranged as shown and for the computer systems to receive and re-transmit data.

The cascadingly connected arrangement of nodes (i.e., first level nodes are connected to the server, second level nodes are connected to first level nodes, third level nodes are connected to second level nodes and so on) shown in FIG. 3 takes advantage of the bandwidth available in certain nodes to essentially simultaneously receive and transmit data.

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In a linear propagation arrangement, the effective distribution capacity of a server is in essence multiplied by the number of levels of nodes linked together. In the example of FIG. 3 (which example is intended to be illustrative and not restrictive), the distribution capacity of the server node is increased from 8 user nodes to 24 in just three levels.

Of note, many user nodes may have at least sufficient bandwidth (e.g., upstream bandwidth as well as downstream bandwidth) to receive data from one node and to re-transmit streams of data essentially simultaneously to two or more other nodes. This capacity could be used in another embodiment to set up a computer network with a cascadingly connected exponential propagation arrangement 16 (as shown in FIG. 4). As the name implies, an exponential propagation arrangement effectively increases the distribution capacity of a server exponentially. For example (which example is intended to be illustrative and not restrictive), with just three levels of user nodes, each having the capacity to retransmit two data streams, the distribution capacity of the server in FIG. 4 is increased from 8 user nodes to 56.

In another embodiment a distribution network may also be set up as a cascadingly connected hybrid linear/exponential arrangement 18, such as shown in FIG. 5.

Of note, the effective distribution capacity grows more quickly in a hybrid linear/exponential arrangement with each new level than does the distribution capacity in a linear propagation arrangement, and less quickly than does the distribution capacity in a pure exponential arrangement. However, any of these arrangements allows a server's distribution capacity to be greatly increased (e.g., with little or no additional investment in equipment for the server). Further, any other desired hybrid arrangement may be used.

Referring again to FIGS. 1-5, all of the connections between nodes are illustrated with arrows on each end. This is intended to signify that data flows in both directions between connected nodes. For example (which example is intended to be illustrative and not restrictive), a user node connected to a server may transmit data to the server indicating the identity of the user node, what the user node wants and/or other data, while the server node may transmits data confirming its identity and containing information and other content to the user node. In the remaining drawings such arrows may not be shown for the sake of simplicity. Further, it is noted that the bulk of data which is transmitted may be content (e.g., web pages, music files, streaming media), and such content will be understood to flow downtree from node to node in the drawings (i.e., in the direction from the root server to a first level node, to a second level node, to a third level node, etc.).

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As can be understood from the above, an exponential propagation arrangement may create the most distribution capacity. However, while many (and perhaps even most) user nodes may have sufficient bandwidth to re-transmit acceptable quality multiple copies of the data, a number of user nodes may not have sufficient bandwidth to re-transmit more than one copy of the data with acceptable quality, and some user nodes may not have sufficient bandwidth to re-transmit even a single acceptable copy. Under these conditions, a distribution system employing the present invention may be configured, for example, as a hybrid propagation network. In such a system, a personal computer acting as a server node may reach many (e.g., hundreds, thousands or more) user nodes, even if the server node itself has capacity to transmit content data directly to only one other node.

In the following discussion it will be assumed that the system employing the present invention will take advantage of the capability of many user nodes to simultaneously re-transmit up to two copies of data (e.g., content data). However, it should be understood that the invention could take advantage of user nodes capable of simultaneous re-transmission of even higher numbers of copies.

In one embodiment the length of the distribution chains (i.e., the number of levels of user nodes linked through each other to the server) may be kept as small as possible to reduce the probability that the nodes at the ends of the chains will suffer a discontinuity of service. Thus, under this embodiment, in order to maximize the number of user nodes which may be connected to the server while trying to keep the length of the distribution chains as small as possible, the user nodes having the greatest bandwidth may be placed as far up uptree as possible (i.e., be placed as close as possible to the server, where "close" refers to network node level and not necessarily to geographical proximity).

In this regard, if an unreliable user node is placed near or at the end of a chain, few or no other user nodes would be affected by the unreliable user node's leaving the network. In contrast, if an unreliable user node were placed at or near the beginning of a chain (i.e., be far uptree), many other nodes would be affected by the unreliable node's departure. Because various embodiments of the invention may be used for appointment viewing of streaming media, in which an essentially continuous connection to an audiovisual content source may essentially be a necessity, it may be important (e.g., for this steaming media use) that the most reliable user nodes be placed high up in the chain (i.e., high uptree).

A user node may be deemed unreliable for any of a number of reasons. One example (which example is intended to be illustrative and not restrictive), is that the user node is connected to the Internet by lines having intermittent failures. Another example (which

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example is intended to be illustrative and not restrictive), is that the user is merely sampling the content available on the network and intentionally disconnects the user node from the distribution network after discerning that he or she has no interest in the content.

Thus, under an embodiment of the present invention the user nodes may be positioned in the most advantageous positions, taking into account the dynamic nature of the distribution network, in which many user nodes may enter and leave the distribution network throughout the server's transmission of a streaming media show. In addition, the invention may help to preserve the viewing experience of users at user nodes positioned even at the end of a distribution chain (the server and/or user nodes may be enabled to perform the operations required to set up and maintain the distribution network by having data distribution software installed therein).

Referring now to a "User Node Arrival" example (which example is intended to be illustrative and not restrictive), it can be seen from FIGS. 3-5 that the distribution chains can be viewed as a plurality of family trees, each family tree being rooted to the server 11 through one of the first level nodes 12 (each node in the distribution network may have distribution software loaded in it which enables the node to perform the functions described below; before any new node may join the distribution network, such new node may also have such software loaded in it).

Of note, in the bulk of the examples discussed below, the network topologies have a branch factor of two (i.e., no user node is assigned more than two child nodes to be connected directly to it). A network topology with a branch factor of two may be referred to as a binary tree topology. It should be understood, of course, that the teachings set forth herein may be extended to network topologies having other branch factors, for example (which example is intended to be illustrative and not restrictive), branch factors of three, four or more.

In any case, FIG. 6 is a schematic drawing of a distribution network topology useful for describing the current "User Node Arrival" example. This FIG. 6 shows a distribution chain or family tree rooted to the server 11 through user node A, a first level user node 12 (the dashed lines represent connections from the server to other first level nodes or from node A to another user node). User node A could be thought of as a child node of the server and as a parent node for other user nodes connected directly to it. User node B, a second level user node 13, could be thought of as A's child. User nodes C and D, third level user nodes 14, may be thought of as B's children and A's grandchildren (and each other's siblings). User nodes E and F, fourth level user nodes 15, may be thought of as C's children (and each other's

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siblings). User node G, also a fourth level user node 15, may be thought of as D's child. And user nodes E, F and G may be thought of as B's grandchildren and A's great grandchildren.

In the present example, whenever a new user node (or connection requesting user node) 19, such as node X in FIG. 6, seeks connection to the distribution network, it will first make a temporary connection to the server node (or a connection server, not shown) in order to begin the process for connecting to the distribution system. The server (or connection server) will discern from the user node a bandwidth rating (discussed below) appropriate to that node and, depending upon the available capacity of the server and any existing distribution chains, the server will either assign the new user node to a spot directly connected to the server or will provide the new user node with a connection path through a tree to the server.

FIG. 10 is a flow diagram associated with this example showing a Server's Connection Routine which is performed when a prospective child node seeks to join the distribution network. In step 101 the server performs the Server's Connection Instruction Routine (discussed below), in which the server determines what connection instructions to give to the new user node (or connection requesting user node). The server then goes to step 102 where it determines whether, as a result of the Server's Connection Instruction Routine, the prospective child node is being instructed to dock with the server. If the prospective child node is being instructed to dock with the server, then the server goes to step 103 in which the server would allow the new user node to dock with it, and the server would begin transmitting data (e.g., streaming media) directly to the new user node.

Of note, as referred to above, two different servers could be used - one to perform the server's connection routine and the other to transmit data (e.g., streaming media) - since both servers would be performing server functions, they will hereinafter sometimes be considered a single server for purposes of the description herein.

Of further note, while the prospective child node may be a new user node or a connection requesting user node, to simplify the following discussion such prospective child node may simply be referred to as a "a new user node".

In any case, if the new user node is not being instructed to dock directly with the server, then the server goes to step 104 in which it provides the new user node with an address connection list and disconnects the new user node from the server.

Essentially contemporaneously with the performance by the server of the Server's Connection Routine, the new user node is performing the Prospective Child Node's Request to Server for a Connection Routine. FIG. 11 is a flow diagram associated with this example

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illustrating the Prospective Child Node's Request to Server for a Connection Routine. Upon making the temporary connection to the server, the new user node goes to step 111 in which it provides bandwidth rating and performance rating information to the server. It then proceeds to step 112 in which it receives connection instructions from the server. Then the new user node proceeds to step 113 to determine whether it has been instructed to dock directly with the server. If the answer is "yes," then the new user node proceeds to step 114 in which it erases any information which may have been in its ancestor database and, if the distribution software has a Return to Server Subroutine in it, resets the connection attempt counter to zero. The new user node then proceeds to step 115 in which it docks with the server and begins receiving data (e.g., streaming media). If the new user node is not being instructed to dock directly with the server, then the new user node goes to step 116 in which it receives the new connection address list from the server and loads such list into the user node's ancestor database and begins the Prospective Child Node's Connection Routine (discussed below). If the distribution software has a Return to Server Subroutine in it, the connection attempt counter is reset to one in step 116.

In the examples discussed in connection with FIGS. 6-9 and 12A-12C (which examples are intended to be illustrative and not restrictive), the server has determined that the new user node X will not be allowed to connect directly to the server. Also, for the purposes of these examples, all of the user nodes are presumed to be equally capable of simultaneously re-transmitting two copies of the content data and that the tree rooted through node A is the most appropriate tree through which node X should be connected to the server. In one embodiment the server may rely on chain length in determining to which particular user node, already in the distribution network, that node X should connect.

Referring again to the example of FIG. 6, the topology of the distribution network based on the most recent information available to the server at the moment that node X seeks to join the distribution network is shown. Path D-B-A-S (where "S" is the server) represents the shortest available path from an end of a chain back to the server (e.g., from a node level point of view and not necessarily from a geographical proximity point of view), and the server 11 gives that path information, or connection address list, to node X during step 104 of FIG. 10 (and node X receives such list during step 116 of FIG. 11). That is, node X will be given a connection address list with the URLs and/or IP addresses of each of nodes D, B, A and S. The distribution software in node X causes the path information to be stored in the ancestor portion (or ancestor database) 132 of node X's topology database 131 shown in FIG. 13. The ancestor database may include an ancestor list, a list of node X's ancestors' addresses

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from node X's parent back to the server node. (FIG. 13 shows an example block diagram (which example is intended to be illustrative and not restrictive) showing the memory blocks into which the software used in connection with the present invention may partition a user node's memory 130.) Node X then attempts to contact node D first, the user node most distant from server 11 in the path. Note that when the server provides the D-B-A-S connection address to node X, the server is giving what it "believes" to be the complete path information going all the way back to the server. That is, subsequent to the most recent generation of the topological data, node D may have departed from the network, as may have one or more of its ancestors, resulting in a reconfiguration (discussed below) of at least a portion of the tree of which D was a part.

Referring now to FIG. 14 is a flow diagram associated with this example showing the Prospective Child Node's Connection Routine, the routine which a new user node, here node X, will go through in attempting to connect to a distribution chain (or tree) after receiving a connection address list (which node X has stored in the ancestor portion of its topology database) from the server or from a prospective parent node or during a reconfiguration event. In step 141, node X attempts to contact the first node on the connection address list. The first node, and only node, on the connection address list could be the server itself. Here node D is the first node on the list. Node X then proceeds to step 142 and determines whether the first node on the connection address list is still on-line and still part of the distribution network (in one example, if no response is received within a predetermined period of time, from the first node on the connection address list, the answer to the query in step 142 will be deemed to be no). If node D is on-line and still part of the distribution network, node X proceeds to step 143 in which node X inquires whether node D has room for node X. This inquiry may need to be made because the distribution network may have gone through a reconfiguration event resulting in node D's not having sufficient capacity to provide a copy of the content data to node X. If node D has room for node X, then node X proceeds to step 144 in which it connects (or docks) with node D and begins receiving content data from it. This is depicted in FIG. 7. Note that node X is now one of several level four nodes 15.

On the other hand, in step 142, if node D is not on-line (e.g., no response is received from node D within a predetermined period of time) or if node D is on-line but is no longer part of the distribution system (e.g., subsequent to the server's obtaining its topology data the user of the system at node D either caused his or her computer system to go off-line or to leave the distribution system, or there was a line failure causing the computer system to go off line), as depicted in FIG. 8, then node X goes to step 145 in which it deletes the first

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address from the connection address list in node X's ancestor database (and, in one example, and for a purpose which will become clear when discussing reconfiguration events below, sets its reconfiguration flag buffer 136 to the lowest propagation rating). At this time node B, in the present example, becomes the first node on the connection address list. Then node X goes back to step 141 and repeats the routine described above. Note that because of node D's leaving the distribution network, a reconfiguration event was triggered which resulted in node G changing from a fourth level node 15 to a third level node 14.

In step 143, if the prospective parent node has no room for the new node (e.g., the capacity of the prospective parent node is fully occupied), the new node goes to step 146, in which it receives a new connection address list from the prospective parent. The prospective parent may then perform a Fully Occupied Parent's Connection Instruction Routine, discussed below in connection with FIG. 18, wherein it creates the new connection address list based on topology data obtained from its progeny. That new list may include the path back to the server through the prospective parent just in case, as discussed above, there are user node departures along the path.

Of note, by having a prospective parent node prepare the new connection address list as described in this example, the burden on the server is reduced and is distributed among the user nodes.

Still referring to the example depicted in FIG. 8, when node X gets to step 143, node B will respond that it has no room and node X will proceed to step 146. When node X performs step 146, the new connection address list it receives from node B will be G-B-A-S. Then node X proceeds to step 141 and repeats the routine from that point on. When the routine is performed on the topology shown in FIG. 8, step 143 will result in node G responding that it has room for node X. Node X will then perform step 144 and be connected to the distribution network through node G as shown in FIG. 9. Here, node X becomes a fourth level node 15.

The distribution software may include a Return to Server Subroutine, comprised of steps 151, 152 and 153 as shown in FIG. 15, as part of the Prospective Child Node's Connection Routine. This subroutine reduces the risk that a prospective child node would enter into an endless cycle of fruitless attempts to dock with nodes in a particular tree. If the answer to the query in step 143 is "no," then node X goes to step 151 in which it increments by one the connection attempt counter 135 in node X's memory. Then node X goes to step 152 in which it determines whether the connection attempt limit has been reached. In one example (which example is intended to be illustrative and not restrictive), the limit may be

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preset at any number greater than one and may depend upon what the designer of a particular distribution network determines would be a reasonable amount of time for a node to attempt to make a connection on a particular tree, or a branch of a tree, before that node should be given an opportunity to obtain a completely new connection address list directly from the server. If the connection attempt limit has not been reached, then node X proceeds with step 146 as discussed above. If the connection attempt limit has been reached, then node X goes to step 153, in which it goes back to the server and begins the connection routine again as discussed above in connection with FIG. 6. If docking with a parent node is successful, then after step 144 node X performs step 154 in which the connection attempt counter is set to zero.

Referring now to FIG. 16, a flow diagram associated with this example illustrating the Prospective Parent Node's Connection Routine is shown. Using the example illustrated in FIGS. 6, 8 and 9, when node X queries node B in step 142 during the Prospective Child Node's Connection Routine, node B may begin performing the Prospective Parent Node's Connection Routine. In step 161, in response to node X's query, node B determines whether it is part of the distribution system node X seeks to join. If node B were not part of the distribution network, it would respond in the negative to node X's query and node B would be finished with the Prospective Parent Node's Connection Routine. In the example of FIG. 8, the answer is "yes" and node B proceeds to step 162.

In step 162 node B determines whether it has room for a new node. If the answer were "yes," node B would proceed to step 163 where it would allow node X to dock with it, and node B would begin transmitting to node X data (e.g., streaming media) originating from the server. In the example illustrated in FIG. 8, the answer is "no," and node B, acting as an instructing node, goes to step 164 where it performs the Fully Occupied Parent's Connection Instruction Routine (discussed below) and provides the prospective new child node (here node X) with a new connection address list. As noted above, the new connection address list may include the path back to the server through the node B (the prospective parent in this example) in the event that there are user node departures along the path, which departures may include node B.

After performing step 164 the temporary connection between node B and node X is terminated, and node X is sent on its way. In the example of FIGS. 8 and 9, the new connection address list is G-B-A-S. When node X approaches node G, node G performs the Prospective Parent Node's Connection Routine discussed above. In the example illustrated in FIGS. 8 and 9, node G allows node X to dock with it.

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Referring now to a "Distribution Network Construction" example (which example is intended to be illustrative and not restrictive), it is noted that under this example the length of the distribution chains (i.e., the number of levels of user nodes linked through each other to the root server) is aimed to be kept as small as possible.

Assuming that all new user nodes have the same re-transmission capability (or disregarding the different re-transmission capabilities which different new user nodes may have), the user nodes would be distributed in this example through the first level until all the direct connection slots to the server were filled. Then as new user nodes sought connection to the distribution network, they would be assigned to connections with the first level nodes 12 until all the slots available on the first level nodes were filled. This procedure would be repeated with respect to each level as more and more new user nodes attempted to connect to the distribution network. In other words, the server, acting as an instructing node, would perform a Server's Connection Instruction Routine in which one step is determining whether there is room on the server for a new user node and, if so, the server would instruct the new user node to connect directly to the server. If there were no room on the server, then the server would perform the step of consulting its network topology database and devising a connection address list having the fewest number of connection links to a prospective parent user node. After performing the Server's Connection Instruction Routine, the server would either allow the new user node to dock directly with the server or send the new user node on its way to the first prospective parent user node on the connection address list.

In this example (which example is intended to be illustrative and not restrictive), a partially occupied potential parent node in a particular level (i.e., a prospective parent node already having a child but still having an available slot for an additional child node) may be preferred over unoccupied (i.e., childless) potential parent nodes on the same level. This may help to keep the number of interior nodes (i.e., the number of nodes re-transmitting data) to a minimum.

Alternatively, in another example (which example is intended to be illustrative and not restrictive), nodes that have zero children may be preferred over nodes that already have one child. While it is true that this may increase the number of repeat nodes, what the inventors have found is that by filling the frontier in an "interlaced" fashion, connecting nodes build up their buffers more quickly (allowing a reduction in the incidence of stream interruptions).

Referring now to FIGS. 12A-12C, these FIGS. illustrate, in this example, what happens when a partially occupied parent node is preferred over a parent childless node as a

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destination address for a new user node (assuming all other factors are equal). FIG. 12A is a schematic diagram associated with this example showing a topology wherein nodes C and D, both third level nodes 14, have remaining capacity for one or more child nodes. Server 11 has the choice of sending new user node X to either node C, as shown in FIG. 12B, or node D, as shown in FIG. 12C, without increasing the length of the longest chain in the distribution network. However, user nodes are free to leave the distribution network at any time. With the topology shown in FIG. 12C, if either of nodes C or D leaves the network, there would be an effect on a child node. With the topology shown in FIG. 12B, there is a significant chance that if one of nodes C and D leaves the network, there would be no impact on any child nodes. That is, if it is node D that leaves the network, there is no child which would be made an orphan.

Of note, in discussing the examples illustrated in FIGS. 6-9 and 12A-12C, it has been presumed that all of the user nodes are equally capable of simultaneously re-transmitting two copies of the content data. However, the user nodes actually joining a distribution network will have various capabilities for re-transmitting data. Some will have no reliable capability because of hardware limitations. Other user nodes will have high nominal capability because of their hardware and type of Internet connection, but may have low reliability because of poor line conditions or the vagaries of the desires of the humans actually using the user nodes. For example, a user node having a T1 or faster connection to the Internet has a significantly large bandwidth, but is not reliable if the user (or viewer) is merely sampling the content available on the distribution network.

As noted above, in one example the user nodes having the greatest reliable capability should be placed as high up in a distribution chain as possible (i.e., as far uptree as possible) because they would have the ability to support the greatest number of child nodes, grandchild nodes and so on.

Thus, to differentiate the reliable capabilities of user nodes, a number of factors may be considered. Examples of such factors (which examples are intended to be illustrative and not restrictive), include following:

- One is time, that is, the number of seconds (or other units of time) since the node made its most recent connection to the network. Everything else being equal, a user node which has been continuously connected to the distribution network for a long period of time may be considered under this example to be likely more reliable

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(either because of line conditions or user interest) than a user node which has been continuously connected to the network for a short period of time.

- Another factor is bandwidth rating, which may be determined, for example, by actual testing of the user node when it first attempts to connect to the server or a parent node (e.g., at initial connection time) or determined by the nominal bandwidth as determined by the type of connection made by the user node to the Internet. In one example (which example is intended to be illustrative and not restrictive), we will describe ratings based on nominal bandwidth as follows:

  - A user node with a 56 Kbits/sec dialup modem connection to the Internet is essentially useless for re-transmission of content data because of its small bandwidth. In this example, such a node is assigned a bandwidth rating of zero (0.0).

  - A user node with a cable modem or DSL (e.g., ADSL) connection to the Internet may be given, in this example, a bandwidth rating of one (1.0), because it is a common type of node and has a nominal upstream transmission bandwidth of 128 Kbits/sec, which is large enough to potentially re-transmit two acceptable quality copies of the content data it receives (assuming a 50Kbit/sec content data stream). Such capability fits well into a binary tree topology.

  - A full rate ISDN modem connection nominally has an upstream bandwidth of 56 Kbits/sec and a downstream bandwidth of 56 Kbits/sec, which would potentially support acceptable quality re-transmission of a single copy of the content data stream (assuming a 50Kbit/sec content data stream). For this reason, a user node with a full rate ISDN modem connection to the Internet may be given, in this example, a bandwidth rating of one-half (0.5), or half the rating of a user node connected to the Internet by a DSL (e.g., ADSL) or cable modem connection.

  - User nodes with T1 or greater links to the Internet should be able to support more (e.g. at least twice as many) streams as DSL (e.g., ADSL) or cable modems, and therefore may be given, in this example, a bandwidth rating of two (2.0). In the event that in a distribution network parent nodes

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may be assigned more than two child nodes directly connected thereto, bandwidth ratings greater than 2.0 may be assigned under this example to Internet connections having greater bandwidth than T1 connections.

- In another example (which example is intended to be illustrative and not restrictive), other bandwidth networks (e.g., 50 Kbit/sec and 100 Kbit/sec) may be supported.
- In another example, the bandwidth needed for a node to be "repeat capable" may depend on the particular network to which it is attached (upon initial connection the connecting node may first test its upstream bandwidth and then report that bandwidth to the network server; the server may determine whether the node is "repeat capable" or not.
- In another example (which example is intended to be illustrative and not restrictive), a node may be deemed "repeat capable" if the node is not firewalled (e.g., port 1138 is open) and the node has sufficient bandwidth to retransmit two copies of the incoming stream.
- A third factor is performance. A user node's performance rating may, in one example, be zero (0.0) if it is disconnected as a result of a Grandparent's Complaint Response Routine (discussed below in connection with FIG. 31). Otherwise, the user node's performance rating, in this example, may one (1.0).

Further, in this example (which example is intended to be illustrative and not restrictive), a user node's utility rating may determined by multiplying connection time by performance rating by bandwidth rating. That is, in this example:

- Utility Rating = Time x Performance Rating x Bandwidth Rating.

Referring once again to FIG. 13, it is seen that in this example information regarding a user node's time connected to the network, bandwidth rating, performance rating, utility rating and potential re-transmission rating (discussed below) may be stored in the user node's elapsed time buffer 137, bandwidth rating buffer 138, performance rating buffer 139, utility rating buffer 121 and potential re-transmission rating buffer 122, respectively.

In another example (which example is intended to be illustrative and not restrictive), nodes entering the network may be judged to be either "repeat capable" or "non-repeat capable" (wherein non-repeat capable nodes may be called "bumpable" nodes). Repeat

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capability may be based on: (1) Upstream bandwidth (e.g., tested at initial connection); and (2) the firewall status, opened or closed, of a node. In one specific example, if a node is either firewalled or has upstream bandwidth less than (approx.) 2.5 times the video streaming rate, that node will be deemed bumpable. All nodes joining the network, whether bumpable or non-bumpable, may be placed as close to the server as possible. However, for the purpose of placement (as described for example in the Universal Connection Address Routine of Figure 20), a repeat capable node can bump a bumpable node when being placed in the network.

Referring now to FIG. 17 a flow diagram associated with this example illustrating the Server's Connection Instruction Routine is shown. This routine need not necessarily rely on the new user node's utility rating. This routine may rely on the potential re-transmission rating of a user node, which is arrived at by multiplying the user node's performance rating by its bandwidth rating. That is, in this example:

- Potential Re-transmission Rating = Performance Rating x Bandwidth Rating.

Thus, in this example, the server would want to put those user nodes with the highest potential re-transmission rating as close to the server in a distribution chain as possible (i.e., as high uptree as possible) because they have the greatest likelihood of being able to re-transmit one or more copies of content data. On the other hand, a potential re-transmission rating of zero in this example indicates that a user node has no ability to (or little expected reliability in) re-transmitting even one copy of content data (the server in this example would want to put a user node with a zero rating as far as reasonably possible from the server in a distribution chain (i.e., as low downtree as possible)). For the purpose of ease of discussion, in the flow diagram example of FIG. 17 illustrating the Server's Connection Instruction Routine, the server is concerned about whether the potential re-transmission rating is zero (i.e., either one or both of the performance rating and bandwidth rating is zero) or greater than zero (i.e., both the performance rating and the bandwidth rating are greater than zero).

In step 171 the server node interrogates the new user node (or connection requesting node) for its bandwidth rating and performance rating. If the new user node is really new to the distribution network, or if it has returned to the server because all of the user node's ancestor nodes have disappeared from the network, the new user node's performance memory will contain, in this example, a performance rating of 1.0 (e.g., the default rating). However, if the new user node has been dispatched to the server for a new connection to the network

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because the new user node had failed to provide content data to one of its child nodes, then, in one example, its performance memory will contain a performance rating of zero.

In step 172, the server determines, in this example, whether the potential re-transmission rate of the connection requesting node is greater than zero (i.e., whether both the bandwidth rating and the performance rating are greater than zero, or, if only the bandwidth rating is considered, whether the bandwidth rating is greater than zero (i.e., the connection requesting node is a high-bandwidth node)). If the answer is "yes," then the server goes to step 173 in which the server determines whether it has room for the new user node. If the answer to the query in step 173 is "yes," then the server goes to step 174 in which it instructs the new user node to connect directly to the server. Then the server goes to step 102 in the Server's Connection Routine (see FIG. 10).

If the answer to the query in step 173 is "no" (i.e., the server does not have the capacity to serve the new user node directly), then the server goes to step 175. In step 175, the server, acting as an instructing node, consults its network topology database and devises a connection address list having the fewest number of connection links to a prospective parent node. That is, the server checks its information regarding the nodes in the level closest to the server (i.e., the highest uptree) to determine whether there are any potential parent nodes with space available for the new user node. If there are no potential parent nodes with space available, then the database is checked regarding nodes in the level one link further from the server, and so on until a level is found having at least one potential parent node with space available for the new user node. That is, the server determines which parent node with unused capacity for a child node is closest to the server (i.e., in the highest level, with the first level being the highest), and devises the connection address list from such prospective parent node to the server. The server then goes to step 102 (shown in FIG. 10).

In another embodiment of the invention, the server could skip step 172 and go directly to step 173 (or it could go to step 172 and, if the answer to the query in step 172 is "no" (i.e., either one or both of the bandwidth rating and the performance rating are zero, or, if only the bandwidth rating is considered, whether the bandwidth rating is zero (i.e., the connection requesting node is a low-bandwidth node)), the server could go to step 175). However, this could prevent the server from loading up the highest levels of the distribution chains with nodes capable of re-transmitting at least one acceptable copy of the content data (in contrast to if the server does perform step 172 and does goes to step 176 if the answer to the query in step 172 is "no.").

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In step 176 the server consults its network topology database and devises a connection address list having the greatest number of connection links to a prospective parent node. That is, the server checks its information regarding the nodes in the level furthest from the server (i.e., the lowest downtree) to determine whether there are any potential parent nodes with space available for the new user node. If there are no potential parent nodes with space available, then the database is checked regarding nodes in the level one link closer to the server, and so on until a level is found having at least one potential parent node with space available for the new user node. In this manner, user nodes having limited or no reliable re-transmission capability may be started off as far from the server as possible and will have a reduced effect on the overall capacity of the distribution network.

As indicated above, and as discussed more fully below, one or more reconfiguration events may have transpired since the server's topology database was last updated. As a result, the first prospective parent node which is actually present on the distribution network for the new user node to contact may not have room for the new user node. By way of example (which example is intended to be illustrative and not restrictive), when node X tries to join the distribution network having the topology shown in FIG. 12A, the server provides node X with the following connection address list: C-B-A-S. If node C had disappeared from the network between the last update of the server's topology database and node X's attempting to contact node C, then node E, by virtue of a reconfiguration event, would be connected, in this example, to node B as shown in FIG. 12D. Then node X, in performing the Prospective Child Node's Connection Routine discussed in connection with FIGS. 14 and 15, would contact node B. Node B, in the Prospective Parent Node's Connection Routine, discussed in connection with FIG. 16, would have to answer the query of step 162 in the negative and go to step 164, in which it performs the Fully Occupied Parent's Connection Instruction Routine.

Referring now to FIG. 18, a flow diagram associated with this example illustrating that routine is shown. It is similar to the Server's Connection Instruction Routine. Since the fully occupied parent node has already determined that it has no room for the new user node (or connection requesting user node), the Fully Occupied Parent's Connection Instruction Routine does not need to include a step in which a determination is made regarding whether there is room for the new user node (or connection requesting node). In the Fully Occupied Parent's Connection Instruction Routine the fully occupied parent node, acting as an instructing node, first performs step 181 in which it interrogates the new user node for its bandwidth rating and performance rating. In step 182, the fully occupied parent node determines whether the potential re-transmission rate of the new user node is greater than

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zero. (If only the bandwidth rating is considered, then it determines whether the bandwidth rating is greater than zero (i.e., the connection requesting node is a high-bandwidth node).) If the answer is "yes," then the fully occupied parent node goes to step 183 in which the fully occupied parent node consults its topology database, which contains the latest information available to that node regarding the links from the fully occupied parent node back to the server and regarding the fully occupied parent node's own progeny (i.e., its children, grandchildren etc.,) and devises a new connection address list having the fewest number of connection links to a new prospective parent node. That is, the fully occupied parent node checks its information regarding the nodes in the level closest to the fully occupied parent node (but not closer to the server) to determine whether there are any potential parent nodes with space available for the new user node. If there are no potential parent nodes with space available, then the database is checked regarding nodes in the level one link further from the fully occupied parent node (and further from the server), and so on until a level is found having at least one potential parent node with space available for the new user node. That is, the fully occupied parent node determines which new prospective parent node with unused capacity for a child node is closest to the fully occupied parent node, and devises the connection address list from such new prospective parent node through the fully occupied parent node and on to the server. The fully occupied parent node then goes to step 184 in which it provides the new user node with the new connection address list and disconnects the new user node from the fully occupied parent.

If the answer to the query in step 182 is no (i.e., either (i) one of the bandwidth rating and performance rating is zero or (ii) if only the bandwidth rating is considered, the bandwidth rating is zero (i.e., the connection requesting node is a low-bandwidth node)), the fully occupied parent node goes to step 185. In step 185 the fully occupied parent node consults its network topology database and devises a connection address list having the greatest number of connection links to a new prospective parent node. That is, the fully occupied parent node checks its information regarding the nodes in the level furthest from it (and farther from the server than it is) to determine whether there are any potential parent nodes with space available for the new user node. If there are no potential parent nodes with space available, then the database is checked regarding nodes in the level one link closer to the fully occupied parent node, and so on until a level is found having at least one potential parent node with space available for the new user node. As discussed above, this helps assure that new user nodes having limited or no reliable re-transmission capability are started off as far from the server as possible. After the fully occupied parent node devises the connection

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address list from such new prospective parent node through the fully occupied parent node and on to the server, the fully occupied parent node then goes to step 184 where it performs as discussed above.

In another embodiment of the invention, the distribution software could be designed such that a fully occupied parent performs an abbreviated Fully Occupied Parent's Connection Instruction Routine, in which steps 181, 182 and 185 are not performed. That is, it could be presumed that the server has done the major portion of the work needed to determine where the new user node should be placed and that the fully occupied parent user node need only redirect the new user node to the closest available new prospective parent. In such event, only steps 183 and 184 would be performed.

In the example discussed above in which node C had disappeared from the network when new user node X had been given, by the server, connection address C-B-A-S, and in which node B is a fully occupied parent node as shown in FIG. 12D, node B would appear to have the choice of devising either connection address list D-B-A-S or E-B-A-S regardless of whether the full or abbreviated Fully Occupied Parent's Connection Instruction Routine were performed.

In this regard, to determine which connection address list to choose when there are two or more prospective parent nodes in a particular level having space for a new user node, the distribution software could have an additional subroutine as part of steps 175, 176, 183 and 185. An example of this subroutine, called the Multi-Node Selection Subroutine is illustrated in FIG. 19.

In step 191 the server or fully occupied parent node deciding where to send a new user node determines whether any of the potential new parent nodes is partially occupied. As discussed earlier, in one example a partially occupied potential parent node may be preferred over an unoccupied potential parent node. In this case, if any of the potential parent nodes is partially occupied, then the server or fully occupied parent node goes to step 192. In step 192 the partially occupied prospective parent node with the highest utility rating is selected as the new prospective parent node. If there were only a single partially occupied potential parent node, then that node is selected.

If in step 191 it is determined that there are no partially occupied potential parent nodes, then the server or fully occupied parent node goes to step 193. In step 193 the unoccupied prospective parent node with the highest utility rating is selected as the new prospective parent node.

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In another example (which example is intended to be illustrative and not restrictive),the software engineer could have step 193 follow an affirmative response to the query in step 191 and step 192 follow a negative response; in such event, unoccupied prospective parent nodes would be selected ahead of partially occupied prospective parent nodes (all other things being equal, it may be advantageous to select nodes that have zero children over nodes that already have one child; while it is true that this may increase the number of repeat nodes, what the inventors have found is that by filling the frontier in an "interlaced" fashion, connecting nodes build up their buffers more quickly (allowing a reduction in the incidence of stream interruptions).

After whichever of steps 192 and 193 is completed, the server or fully occupied parent node returns to the step from which it entered the Multi-Node Selection Subroutine (i.e., step 175, 176, 183 or 185), and completes that step.

So, in the example shown in FIG. 12D, where node C left the network thereby leaving node B with the chore of devising a new connection address list for node X, node B would perform the Fully Occupied Parent's Connection Instruction Routine. Regardless of the bandwidth and performance ratings of node X, node B would be choosing between nodes D and E in the third level. In step 191 node B would determine that neither D nor E is partially occupied, and therefore node B would go to step 193. Assuming that nodes E and D have equal bandwidth and performance ratings and that node D was connected to the network longer than node E, node D would be selected because it would have the higher utility rating since it was connected to the network longer than node E. Node B would then go to step 194 and then return to the step from which it entered the Multi-Node Selection Subroutine. When node B returns to step 183 or 185, it completes that step and moves on to step 184. In that step, node B provides new user node X with new connection address list D-B-A-S and node X connects to the distribution network as shown in FIG. 12E.

Referring now to an "Alternative Distribution Network Construction" example (which example is intended to be illustrative and not restrictive), it is noted that under this example the length of the distribution chains (i.e., the number of levels of user nodes linked through each other to the server) is aimed to be kept as small as possible.

Also under this example, user nodes having the highest bandwidth capabilities are aimed to be kept closer to the server (e.g., in order to allow the greatest expansion of the distribution system). However, it is possible that zero bandwidth rated nodes may nevertheless appear relatively far uptree (thereby stunting the growth of that chain). The following method may be used in constructing the distribution network both by servers and

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by prospective parents which are actually completely occupied, either of which may be thought of as an instructing node (that is, software enabling the routines discussed below could be installed on servers and user nodes alike).

More particularly, in the distribution system of this example, each child node reports directly to (or is tested by) its parent node with respect to information relating to the child node's bandwidth rating, performance rating, potential re-transmission rating and utility rating. In turn, each parent node reports all the information it has obtained regarding its child nodes on to its own parent node (a parent node also reports to each of its child nodes the address list from that parent back to the server, which list forms what may be referred to as the ancestor portion of the topology database – in addition, a parent node reports to each of its child nodes the addresses of their siblings). The reports occur during what may be referred to as a utility rating event. Utility rating events may occur, for example, on a predetermined schedule (e.g., utility rating events may occur as frequently as every few seconds). As a result of all the reporting, each node stores in its topology database the topology of the tree (including all its branches) extending below that node, and the server stores in its topology database the topology of all the trees extending below it. This may be referred to as the descendant portion (or descendant database) 133 of the topology database (see FIG. 13). The descendant database of a particular node may include a descendant list, a list of the addresses of all the nodes cascadingly connected below that particular node. Included in the topology database information may be the utility ratings of the nodes below the node in which that particular topology database resides.

After each reporting event in this example, each parent node (including the server), acting as an instructing node, devises two lists of prospective (or recommended) parent nodes. In this example, the first list, or Primary Recommended Parent List ("PRPL"), stored in the Primary Recommended Parent List buffer 123 (see FIG. 13), lists all the nodes in the descendant portion of that node's topology database which have bandwidth available to support another child node (in one example (which example is intended to be illustrative and not restrictive), in a binary tree system, all nodes in the descendant portion of the topology database having (i) a bandwidth rating of at least one and (ii) less than two child nodes would be listed). They would be listed with those node's which are closest to the node in which that particular topology database resides at the top of the list, and those nodes which are in the same level would be ranked such that the node with the highest utility rating would be listed first, the node with the second highest utility rating would be listed second and so on. By way of example (which example is intended to be illustrative and not restrictive), the PRPL of a

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second level node would list a third level node with available bandwidth ahead of a fourth level node with available bandwidth even if the fourth level node's utility rating were higher than that of the third level node.

The second list in this example, or Secondary Recommended Parent List ("SRPL"), stored in the Secondary Recommended Parent List buffer 124 (see FIG. 13), lists all the nodes in the descendant portion of that node's topology database which have the ability to re-transmit content data to child nodes but are fully occupied, and at least one of its child nodes is incapable of re-transmitting content data to another child node (in one example (which example is intended to be illustrative and not restrictive), in a binary tree system, all nodes in the descendant portion of the topology database having (i) a bandwidth rating of at least one and (ii) at least one child node having a bandwidth rating less than one (i.e., being incapable of re-transmitting content data to two child nodes) would be listed). Like the nodes in the PRPL, the nodes in the SRPL would be listed with those node's which are closest to the node in which that particular topology database resides at the top of the list, and those nodes which are in the same level would be ranked such that the node with the highest utility rating would be listed first, the node with the second highest utility rating would be listed second and so on.

Of note, in this example the SRPL lists those parent nodes having the growth of their branches (i.e., their further progeny) blocked or partially blocked by a low-bandwidth child node. This may lead to an unbalanced growth of the distribution system, and a limitation on the total capacity of the system.

Of further note, to the extent that a node (including a server) has room for another child node or is the parent of a low bandwidth node, it may be listed on its own PRPL or SRPL.

Referring now to FIGS. 20A and 20B, an example flow diagram illustrating what may be referred to as the Universal Connection Address Routine is shown. As indicated above, a server or a fully occupied prospective parent node receiving a connection request may be referred to as an "instructing node." When a new node (here node N) approaches an instructing node, the instructing node performs step 201, it receives a connection request. It then goes to step 202 in which it interrogates or tests node N to determine whether it is a low-bandwidth node ("low-bandwidth" may mean, for example, either or both: (1) a node that is incapable of broadcasting two copies of its incoming stream on to its children; and (2) a node that is incapable of rebroadcasting its stream because it is firewalled). In the binary tree system of one example, a low-bandwidth node is a node with a bandwidth rating less than

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one. If node N is a low bandwidth node, the instructing node proceeds to step 203 in which the instructing node determines whether there are any available prospective parent nodes which are not fully occupied. Sometimes the distribution network may be fully occupied. If it is, the instructing node's PRPL will be empty. If it is empty, the response to the query in step 203 would be yes. Then the instructing node goes to step 209 in which the new node is sent back to the server to start the connection process from the beginning. If the response to the query in step 203 is no, then the instructing node goes to step 204 in which it selects a prospective (or recommended) parent node for node N. The instructing node then moves on to step 205 in which it consults its topology database and devises a connection address list from the recommended parent node back to the server, and provides such connection address list to node N. (If the instructing node is a user node, then the connection address list leads back to the server through the instructing node.) At this point, node N performs the Prospective Child Node's Connection Routine discussed above in connection with FIG. 14.

As part of step 205, the instructing node inserts node N into its topology database as a child of the recommended parent node. It does this because other new nodes may seek connection instructions prior to the next utility rating event (i.e., before reports providing updated information regarding the topology of the distribution network are received by the instructing node), and such new nodes should not be sent to a prospective parent which the instructing node could know is fully occupied. In this regard, the instructing node then goes to step 206 in which it checks its topology database to determine whether the recommended parent, with node N presumptively docked to it as a child node, is fully occupied (in the example here of a binary tree network, whether it has two child nodes). If the answer is no, then the instructing node has finished this routine. If the answer is yes, then the instructing node goes to step 207 in which it removes the recommended parent from the PRPL and inserts it into the SRPL, and the instructing node has finished the routine.

If the answer to the query in step 202 is no (e.g., node N is not a low-bandwidth node; in the binary tree network example it is a high-bandwidth node capable of re-transmitting content data to two child nodes), the instructing node moves on to step 208. There it determines whether both the PRPL and SRPL are empty (which may occur under certain circumstances, such as, for example, when the number of levels in a distribution system is capped and at least all the nodes on all but the last level are fully occupied with high-bandwidth nodes). If so, the instructing node goes to step 209 in which the new node is sent back to the server to start the connection process from the beginning. If the response to the query in step 208 is no, then the instructing node goes to step 210 in which it selects a

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prospective (or recommended) parent node for node N from the PRPL and an alternate recommended parent node from the SRPL (if either the PRPL or SRPL is empty, the instructing node will make a "nil" selection from that list – the instructing node knows from step 208 that at least one of the lists will not be empty). The instructing node then goes to step 211 in which it determines whether the alternate recommended parent node is closer to the server (i.e., higher uptree) than the recommended parent node derived from the PRPL. If the alternate recommended parent node is on the same level as, or on a lower level than the recommended parent node derived from the PRPL (or if the selection from the SRPL is nil), then the answer to the query in step 211 is no.

In such event, the instructing node goes to step 212 in which it consults its topology database and devises a connection address list from the recommended parent node back to the server, and provides such connection address list to node N (if the instructing node is a user node, then the connection address list leads back to the server through the instructing node).

As in step 205 discussed above, at this point, node N performs the Prospective Child Node's Connection Routine discussed above in connection with FIG. 14, and in step 212, the instructing node inserts node N into its topology database as a child of the recommended parent node.

The instructing node moves to step 213 in which it adds node N to an appropriate position on the PRPL. It does this because, as a result of step 202, it knows that the node N is capable of re-transmitting content data to its own child nodes.

The instructing node then goes to step 214 in which it checks its topology database to determine whether the recommended parent, with node N presumptively docked to it as a child node, is fully occupied (in the example here of a binary tree network, whether it has two child nodes). If the answer is no, then the instructing node has finished this routine. If the answer is yes, then the instructing node goes to step 215 in which it removes the recommended parent from the PRPL because it is now deemed to not be an available prospective parent node.

Then the instructing node goes to step 216 in which it consults its topology database to determine whether any of the recommended parent node's children is a low-bandwidth node (in this example, knowing that node N is not a low-bandwidth node and knowing that the recommended parent node has two child nodes, the question is whether the child node other than node N is a low-bandwidth node.) If the answer is no (i.e., all the recommended parent node's children are high-bandwidth nodes), then the instructing node has finished the routine.

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If the answer is yes, then the growth of the recommended parent node's line of progeny is partially blocked by a low-bandwidth child node. The instructing node moves on to step 217 in which it adds the recommended parent to the SRPL.

If the answer to the query in step 211 is yes (i.e., the alternate recommended parent (selected from the SRPL) is: (i) on a higher level than the recommended parent (selected from the PRPL) or (ii) the selection from the PRPL is nil), then the instructing node moves to step 218. In that step the instructing node consults its topology database to determine: (i) which of the alternate recommended parent node's child nodes is a low-bandwidth node or (ii) if they both are low-bandwidth nodes, which of the child nodes has been connected to the system a shorter period of time. In one example (which example is intended to be illustrative and not restrictive), the instructing node may send a disconnect signal to that child node with instructions to return to the server to start the connection process from the beginning (as a new user node (or connection requesting user node)). In another example (which example is intended to be illustrative and not restrictive), the bumped node may climb its path to its grandparent which gives it a new connection path – rather than returning to the root server (doing so typically means that the incoming node that bumped the non-repeat-capable node ends up being that node's new parent).

The instructing node moves on to step 219 in which it consults its topology database and devises a connection address list from the alternate recommended parent node back to the server, and provides such connection address list to node N (if the instructing node is a user node, then the connection address list leads back to the server through the instructing node).

As in steps 205 and 212 discussed above, at this point, node N performs the Prospective Child Node's Connection Routine discussed above in connection with FIG. 14, and in step 219, the instructing node inserts node N into its topology database as a child of the alternate recommended parent node.

The instructing node moves to step 220 in which it adds node N to an appropriate position on the PRPL. It does this because, as a result of step 202, it knows that the node N is capable of re-transmitting content data to its own child nodes.

The instructing node then goes to step 221 in which it checks its topology database to determine whether all the child nodes of the alternate recommended parent are high-bandwidth nodes. If the answer is no, then the instructing node has finished this routine. If the answer is yes, then the instructing node goes to step 222 in which it removes the alternate recommended parent from the SRPL because it is now deemed to not be an available

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alternative prospective parent node since the growth of its progeny line is not even partially blocked by one of its own children. At this point the instructing node has finished the routine.

With the routines discussed in connection with the above examples, the distribution network will be built with each new node assigned to the shortest tree (or chain), and those with the fewest number of links between it and the server. However, low-bandwidth nodes, which would tend to block the balanced growth of the distribution network, would be displaced by high-bandwidth nodes and moved to the edges of the network where they would have reduced effect on the growth of the network.

Referring now to FIG. 21, this FIG. may be used as an example to illustrate how the Universal Connection Address Routine works. It shows primary server 11 with node A as first level node 12, its child nodes B and C as second level nodes 13, their child nodes D, E, F and G as third level nodes 14, node D and G's child nodes H, I, J and K as fourth level nodes 15, and node I's child nodes L and M as fifth level nodes 17. Assume for this example that all available docking links directly to the server 11 are occupied by high-bandwidth nodes, and that all trees are at full capacity other than that rooted to the server 11 through first level node A. The utility rating for each node is set forth in FIG. 21 under its letter designation. Low-bandwidth nodes (in this example, those nodes having a bandwidth rating less than one) are shown with a bandwidth rating of "Ω," which indicates in this example that such nodes will not have any child nodes assigned to them. Nodes A, B, C, D, E, G, I, J and K are high-bandwidth nodes (or repeater nodes (i.e., they are capable of re-transmitting the content data they receive from their respective parent nodes to their respective child nodes, if any)).

A new user node (or other connection requesting user node), here referred to as "node N", may be directed to node A as a result of various reconfiguration events. When node A receives a connection request from node N, node A will, in this example, either consult both of its PRPL and SRPL buffers, if node N is a high-bandwidth node, or consult just its PRPL buffer (if node N is a low-bandwidth node).

Nodes F, H, L, and M will not appear on any list in this example since they are low-bandwidth nodes. Nodes B, C, D, G, I and A itself will not appear on the PRPL in this example since these nodes are fully occupied. However, nodes C, D, and I will appear on the SRPL in this example because they each have at least one low bandwidth child node.

Using the rules discussed above (i.e., ranking prospective parent nodes by level and within levels by utility rating) the PRPL would be as follows in this example:

- PRPL

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- E (a third level node with available capacity)
  - J (the fourth level node with available capacity having the highest utility rating)
- K (a fourth level node with available capacity)

The SRPL in this example would be as follows:

- SRPL
  - C (the fully occupied second level node with a low-bandwidth child node)
  - D (the fully occupied third level node with a low-bandwidth child node)
  - I (the fully occupied fourth level node with a low-bandwidth child node)

If node N is a low-bandwidth node, node A will give, in this example, node N the following as its new connection address list:

- E-B-A-S.

Since node E would not have two child nodes, it would remain on the PRPL.

If node N is a high-bandwidth node, node A will compare (step 211 of FIG. 20B) the first node on the PRPL (the recommended parent node) with the first node on the SRPL (the alternate recommended parent node). Here, node C, the first node on the SRPL is a higher level node than node E, the first node on the PRPL. So, node A will send a disconnect signal (step 218 of FIG. 20B) to node F, node C's low-bandwidth child node. Then it will provide node N with the following new connection address list and add node N to the PRPL (step 219 of FIG. 20B):

- C-A-S.

Since node C would now have two high-bandwidth child nodes (nodes N and G), node C would be removed from the SRPL (step 222 of FIG. 20B).

Further, in this example wherein node N is a high-bandwidth node, when node F returns to the server 11 (or, in another example, goes to Node A) for a new connection, the

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server (or Node A) will also use the Universal Connection Address Routine (Node F may go to Node A rather than the server because (in one example) when a node is "kicked" it may climb its internal connection path rather than jumping directly to the root server). Since node F is a low-bandwidth node, the server (or Node A) will give node F the following as its new connection address list:

- E-B-A-S.

FIG. 22 illustrates the new topology. As can be seen, absent intervening events, low-bandwidth node F will end up moving down from the third level to the fourth level and the bandwidth capacity of the third level will increase from six child nodes to eight (its maximum in this binary tree example). The potential bandwidth capacity of the fourth level would also increase, from ten child nodes to twelve.

Referring now to a "Distribution Network Reconfiguration" example (which example is intended to be illustrative and not restrictive), it is noted that under this example the user nodes are free to exit the distribution network at will. And, of course, user nodes may exit the distribution network due to equipment failures between the user node and the communication network (the Internet in the examples discussed herein). This was first discussed in connection with FIGS. 10A-E.

Under this example the present invention may handle the reconfiguration required by a user node departure by having certain user nodes in the same tree as the departing node perform a Propagation Routine. The results of the propagation routine of this example are illustrated in FIGS. 23 and 24. There a tree rooted to the server through first level user node P is illustrated. Node P has two child nodes, second level nodes Q and X. Through node X, P has two grandchild nodes, third level nodes A and B. Based on the relative utility ratings of nodes Q and X, P has sent signals to its children instructing them to set the color of the flag represented by the information in their respective reconfiguration flag buffers to "green" and "red," respectively. The use of colors as designators is merely discretionary. In reality they represent relative ratings of siblings for purposes of determining their roles in a reconfiguration event, and may be referred to as "propagation ratings." In addition, instead of colors, numbers (e.g., 1 and 2) could be used, as well as any of many other nomenclature schemes to describe the grades of propagation ratings. The "red" "green" ratings discussed herein shall be deemed representative of all such schemes. The number of grades of propagation ratings assigned by a parent node may be equal to the number of children each

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parent node has. For example, for a branch factor "n" system, the maximum number of grades of propagation ratings may be "n." Since the distribution network in the examples discussed herein is a binary tree distribution network, a parent node will be required to assign at most up to two grades of propagation ratings.

In the example shown in FIG. 23, node P, during the most recent utility rating measurement event, discerned that node Q has a higher utility rating than node X, and therefore P has assigned node Q a green rating, represented by the solid-lined circle surrounding the letter Q in FIG. 23. P has assigned node X a red rating, represented by the dashed-lined circle surrounding the letter X in FIG. 23. In a similar manner, node X has assigned green and red ratings to third level nodes A and B, respectively. In this example (which example is intended to be illustrative and not restrictive), at the same time that a parent node assigns a propagation rating to a child node, it may also provide to such child node the address of the child node's sibling, if there is any. A child node may store information about its sibling (or siblings) in the sibling portion (or sibling database) 134 of its topology database (see FIG. 13). The sibling database includes a sibling list, a list of the addresses of a node's siblings (the data relating to the siblings' addresses may also contain information regarding the propagation ratings of the siblings (e.g., in the event that the distribution system has a branch factor greater than two)). In the example shown in FIG. 23, nodes Q and X know that they are each other's siblings and nodes A and B know that they are each other's siblings.

In another example (which example is intended to be illustrative and not restrictive), nodes do not store information about their siblings. In other words, in this example, a node generally does not know who its sibling is. Instead, cross connections between a red node and its green sibling are implemented via a "priority join" message sent to a red child that includes the IP address of the green sibling as the first entry in the connection path list.

In the event that node X were to leave the distribution network, nodes A and B would of course stop receiving content data from node X. Nodes A and B would consult their topology databases for the address of their grandparent, and each would interrogate node P for instructions. Since node X is out of the distribution network, node P would send out a reconfiguration event signal (sometimes referred to as a "propagation signal") to the nodes which had been node X's children (i.e., the nodes which were node P's grandchildren through node X) and node P would send an upgrade propagation rating signal to its remaining child, here node Q.

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In response to the upgrade propagation rating signal, node P's remaining child, node Q, would set its reconfiguration flag buffer 136 (see FIG. 13) to the next highest available propagation rating grade. Since in the example illustrated in FIG. 23, node Q's reconfiguration flag buffer already is set for the highest propagation rating grade (here green), node Q could either do nothing or reset its propagation rating in response to the upgrade propagation rating signal. The result would be the same, node Q's propagation rating would remain green. If node Q's propagation rating were red, then it would set its propagation rating to green in response to the upgrade propagation rating signal. Node Q would do nothing else in response to the upgrade propagation rating signal (note that as a matter of design choice, the software engineer could have the parent of the departed node (here node P is the parent of departed node X) send no upgrade propagation rating signal to its remaining child node (here node Q) if its remaining child node already is at the highest propagation rating).

In this example, the recipients of the propagation signal (i.e., the children of the missing node) would respond thereto as follows. First they would check their own respective propagation ratings. If the propagation signal's recipient has the highest propagation rating grade (here green), it would reset its propagation rating to the lowest rating grade (here red); re-transmit the propagation signal to its own child nodes (if there are any); disconnect the child node which did not have the highest propagation rating prior to its receipt of the propagation signal if the propagation signal's recipient with the green rating has more than one child node; allow its sibling to dock with it as a child node for the purpose of transmitting content data to that child node; and dock (or remained docked), for purposes of receiving content data, with the node sending the propagation signal to it (in systems having a branch factor of more than two, the propagation signal recipient whose propagation rating had been the highest would disconnect its child nodes which did not have the highest propagation rating, prior to the receipt of the propagation signal just sent, and it would allow its (i.e., the formerly green node's) siblings to dock with it as child nodes).

If the propagation signal's recipient has other than the highest propagation rating (i.e., just prior to the receipt of the propagation signal), it would upgrade its propagation rating to the next highest rating grade; dock with its sibling which had the highest rating grade; and begin receiving content data from it. If the propagation signal's recipient has other than the highest propagation rating, it does not re-transmit the propagation signal to its own child nodes.

In the example illustrated in FIG. 23, nodes A and B receive the propagation signal from node P. Since node A has the highest propagation rating grade, here green, it: (i) sets its

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reconfiguration flag buffer 136 (see FIG. 13) so that it has the lowest propagation rating grade, here red; and (ii) docks with node P (becoming a second level node 13) to begin receiving content data from node P. Node B changes its propagation rating from red to the next higher propagation rating (and since this example is a binary tree (or branch factor two) system, the next higher rating is the highest, green) and docks with node A to receive content data. The resulting topology is shown in FIG. 24. Note that node B remains a third level node 14.

FIGS. 25 and 26 illustrate what happens in this example when the departing node is green. In FIG. 25, node X is the departing node and it is green. When node P sends the upgrade propagation rating signal to node Q, it changes its propagation rating from red to green. In other respects the reconfiguration event proceeds essentially as described in the paragraph immediately above, and results in the topology shown in FIG. 26 (which is the same as the topology in FIG. 24).

FIG. 27 is a flow diagram associated with an example showing the Child Node's Propagation Routine, the routine which is followed by a child node upon receiving a propagation signal. Of note, this example is provided for illustration only, and is not restrictive, and other examples are of course possible (e.g., the color of a node may assigned by its parent such that nodes assign and know the color of their children but not of themselves).

In any case, still referring to FIG. 27, the child node first performs step 271 wherein it determines whether it has received such a signal. If it has not, then it does nothing. If it has received a propagation signal, it proceeds to step 272 wherein it checks its propagation rating grade in reconfiguration flag buffer 136 (see FIG. 13) . If its propagation rating is at the highest grade (i.e., it is a "green" node in this example), then it proceeds to step 273 where it sets its reconfiguration flag buffer to the lowest propagation rating grade. It then proceeds to step 274 in which it re-transmits the propagation signal to its own child nodes, and which results in the undocking of all its child nodes except for the one with the highest propagation rating. The child node (i.e., the child node referred to in the second sentence of this paragraph) then performs step 275 in which it determines whether it is already docked with the node which sent the propagation signal. If it is not (i.e., it received the propagation signal from its grandparent), then it proceeds to step 276. In that step it: (i) devises a new connection address list, which is the ancestor portion of its topology database with the current nominal parent node removed, resulting in the grandparent node becoming the first node on the connection address list; and (ii) then performs the Prospective Child Node's Connection

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Routine (i.e., it goes to step 141 discussed above in connection with FIGS. 14 and 15). The Prospective Child Node's Connection Routine is performed because some likelihood exists that even the grandparent node may have departed from the distribution system between the moment it sent out the propagation signal and the moment that its highest rated grandchild from its missing child attempted to dock with it.

If the answer to the query in step 275 is in the affirmative (i.e., the child node is receiving a propagation signal which has been re-transmitted by its parent), then the child node does nothing more (or as illustrated in FIG. 27, it performs step 277 which is to remain docked to its parent node).

If the answer to the query in step 272 is in the negative (i.e., it does not have the highest propagation rating (it is a "red" node in this example)), then it proceeds to step 278 in which it: (i) sets its reconfiguration flag buffer so that its propagation rating is the next higher grade (in this binary tree system the rating is upgraded from red to green); (ii) undocks from the parent with which it was docked before receiving the propagation signal (if it was docked with a parent before receiving such signal); and (iii) either (a) waits a predetermined period of time during which the node's sibling which was green prior to their receipt of the propagation signal should have re-transmitted the propagation signal to its own child nodes (thereby causing any red child nodes to undock from it) or (b) confirms that the node's sibling which was green prior to their receipt of the propagation signal actual did re-transmit the propagation signal to its child nodes (if it has any). Then the child node performs step 279 in which it: (i) devises a new connection address list, which is the ancestor portion of its topology database with its sibling node having the highest propagation rating placed in front of the previous parent as the first node on the connection address list; and (ii) then performs the Prospective Child Node's Connection Routine (i.e., it goes to step 141 discussed above in connection with FIGS. 14 and 15). The Prospective Child Node's Connection Routine is performed because some likelihood exists that the sibling may have departed from the distribution system between the moment that the propagation signal had been sent to the child node and the moment that the child node attempted to dock with its sibling.

In another example (which example is intended to be illustrative and not restrictive), part (iii) of step 278 may be handled using the "priority join" mechanism. More particularly, in this example, a priority join from a node X cannot be refused by a target node T – it must accept (dock) the incoming node. If the target node T already had two children G & R, the target node T instructs its red child R to connect (via "priority join") to the green node G. The node T then disconnects from its red child R immediately prior to accepting the incoming

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node X. The bumped node R then sends a priority join to its own green sibling A as the target. These actions cause the reconfiguration events to cascade until the edge of the tree is reached (of note, the algorithm described in this paragraph may have essentially the same effect as illustrated in Figures 28 and 29 – under certain circumstances the algorithm described in this paragraph may be somewhat more reliable).

FIG. 28 illustrates an example distribution system comprising node P as a first level node 12; nodes Q and X as second level nodes 13; nodes A and B as third level nodes 14; nodes C, D, E an F as fourth level nodes 15; nodes G and H as fifth level nodes 17; nodes I and J as sixth level nodes 20; nodes K and L as seventh level nodes 21 and nodes M and N as eighth level nodes 22. Nodes Q, B, C E, H, I, L and M have red propagation ratings, as symbolized by the dashed circles, and the remaining nodes have green propagation ratings as symbolized by the solid circles. As a result of node X's departing from the system, node P sends out an upgrade propagation rating signal to node Q and a propagation signal to the children of node P's departed child node X (i.e., nodes A and B).

In another example (which example is intended to be illustrative and not restrictive), during a graceful depart of node x, node x may send out messages to its parent and children immediately prior to its departure – rather than the parent of node x initiating these messages as described above.

In any case, continuing the example of FIG. 28, node Q changes its propagation rating to green. Further, in this example nodes A and B begin the Child Node's Propagation Routine. With respect to node A, it answers the query of step 271 in the affirmative. Since its rating is green, it also answers the query of step 272 in the affirmative. In step 273 node A changes the setting of its reconfiguration flag buffer to show a red propagation rating. In step 274 it re-transmits the propagation signal to its child nodes C and D. It answers the query of step 275 in the negative because it is not docked for purposes of receiving content data with node P. Node A then goes to step 276 wherein it consults the ancestor portion of its topology database and creates a new connection address list starting with its grandparent. The new connection address list is P-S. Then node A performs the Prospective Child Node's Connection Routine, starting with step 141. (See FIG. 14.) Assuming that no other intervening events have occurred, node A will successfully dock with node P.

Node B answers the query of step 271 in the affirmative and, because its propagation rating was red when it received the propagation signal, it answers the query of step 272 in the negative. From there it goes to step 278 in which it changes its propagation rating to green. Then in step 279 node B consults the ancestor portion of its topology database and creates a

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new connection address list with its sibling, node A, placed in front of node X as the first address on the new connection address list. The new connection address list is A-X-P-S. Then node B performs the Prospective Child Node's Connection Routine, starting with step 141. Assuming that no other intervening events have occurred, node B will successfully dock with node A (if node A has disappeared, node B would attempt to dock with node X, but since it is not there, it would move on to node P.)

Node A, which had the higher propagation rating of the two child nodes of departed node X, moves up one level to become a second level node 13. Since it is a "new" entry to the second level, its initial utility rating and its propagation rating will be lower than that of node Q. As time goes by, at subsequent utility rating measurement events node A's utility rating (and hence its propagation rating) may become higher than that of node Q.

Node B, which had the lower propagation rating of the two child nodes of departed node X, does not re-transmit the propagation signal to its child nodes (nodes E and F), and they will follow B wherever it goes in the distribution system. In the example discussed here, node B becomes the child of node A while remaining third level node 14. At least initially, it will be node A's child with the higher utility rating and propagation rating.

As a result of node A's performing step 274, its child nodes C and D (which were fourth level nodes 15 in FIG. 28 when node X was still in the system) receive a propagation signal. Since node C has a red propagation rating, it, like node B, will remain in its level, change its propagation rating to green and dock with its sibling, with the result being that node D becomes its parent.

Since node D had a green propagation rating when it received the propagation signal, it answers the queries of steps 271 and 272 in the affirmative and changes its propagation rating to red in step 274. It answers the query of step 275 in the affirmative, and remains docked with node A. As a result, node D moves up a level and becomes an third level node 14 (with, at least until the next utility rating event, a lower utility rating and propagation rating than its new sibling, node B).

This process proceeds down the tree, with each child of a node which moves up a level doing one of the following under this example: (i) if it is a node having a green propagation rating, it remains docked with its parent, thereby itself moving up a level, changes its propagation rating to red and re-transmits the propagation signal to its child nodes; or (ii) if it is a node having a red propagation rating, it docks with the node which was its sibling, thereby staying in the same level, and changes its propagation rating to green.

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The resulting topology after the reconfiguration event caused by node X's departure is illustrated in FIG. 29.

Of note, it is believed that reconfiguration using the Child Node's Propagation Routine of this example when a node departs the system does not necessarily result, in the long run, in significantly more inter-node reconnection events than any other reconfiguration method (and may result in fewer reconnection events). Moreover, it is believed that reconfiguration using the Child Node's Propagation Routine of this example helps assure that the more reliable nodes are promoted up the distribution network even if many reconfiguration events occur close in time to each other in a particular tree.

Referring now to a specific example of pseudo-code (which example is intended to be illustrative and not restrictive), it is noted that a propagation routine may be set forth as follows (wherein node X is the node departing from the distribution system):

```
Departs(X)
Begin
 If (X.Parent.GreenChild == X)  /* the departing node is green*/
 {
  X.Parent.GreenChild := X.Parent.RedChild
  X.Parent.RedChild := X.GreenChild
 }
 Else /* the departing node is already red */
 {
  X.Parent.RedChild :=X.GreenChild
 }
 Propagate(X.GreenChild, X.RedChild)
End
```

Referring now to another specific example of pseudo-code (which example is intended to be illustrative and not restrictive), it is noted that a propagation routine may be set forth as follows (wherein node promotion during the network reconfiguration event is precipitated by node X's departure):

```
Propagate (X, RedSibling)
Begin
 OriginalGreenChild := X.GreenChild
```


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```
OriginalRedChild := X.RedChild
X.RedChild := X.GreenChild
X.GreenChild := RedSibling
If (OriginalGreenChild <> null)
{
  Propagate(OriginalGreenChild, OriginalRedChild)
}
End
```

Referring now to a "Malfunctioning Nodes" example (which example describes a voting system between grandchildren and grandparents about a potentially malfunctioning parent and is intended to be illustrative and not restrictive), it is noted that what happens when a node leaves the distribution network was discussed in the above example (e.g., when a node leaves the network, its parent will, at the next utility rating event, report that it has room for a new node, except when the parent has been contacted about the missing node by its grandchild node or nodes, in which event, a reconfiguration event will proceed as described above). Sometimes, however, a node intends to remain in the network, but there is a failure of communication.

In this regard, each node's topology database may include information regarding that node's ancestors and descendants. In the event that a child node stops receiving signals from its parent node, the child may, in one example, send a "complaint" message to its grandparent. The grandparent may check whether the parent is still there. If it is not, then the grandparent may send a propagation signal to the child nodes of the missing parent.

Further, if the grandparent detects that the parent node is actually still there, then the grandparent node may send a disconnect signal to the parent node (e.g., sending it back to the server to begin the connection process again). This may occur, for example, when one of the two following conditions is exists: (i) the child node is the only child node of the parent, or (ii) the child and its sibling(s) are complaining to the grandparent.

In this example, the grandparent would also send a propagation signal to the child nodes of the disconnected parent, and a reconfiguration event would occur.

However, if the child node has siblings and they are not sending complaint signals to the grandparent, then the grandparent may assume that the problem is with the complaining child node. Thus, grandparent may send a disconnect signal to the complaining child node (e.g., sending it back to the server to begin the connection process again). If the complaining

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child node had its own child nodes, they would contact the departed child node's parent to complain, starting a reconfiguration event (in another example (which example is intended to be illustrative and not restrictive), the grandparent would send out signals to the malfunctioning parent (instructing it to depart) and to the children (instructing the green child to climb its path to its grandparent and instructing the red child to cross connect to the green child).

The foregoing example (which example is intended to be illustrative and not restrictive) can be described in connection with FIG. 30 (which depicts a tree in a binary system having a primary server 11, in which node A, a first level node 12, has two child nodes B and B' (which are second level nodes 13); node B has two child nodes C and C' (which are third level nodes 14); node C has two child nodes D and D' (which are fourth level nodes 15); and node D has two child nodes E and E' (which are fifth level nodes 17)) and FIG. 31 (which is a flow diagram showing an example Grandparent's Complaint Response Routine).

Who does node C complain to in this example when node C no longer gets satisfactory service from node B? Node C complains to its grandparent, node A. If node C does not hear back from node A within a predefined amount of time (e.g., if node A has left the network), node C will then exit the network and immediately reconnect as a new node by going to the primary server S for assignment.

What does node A do in response to a complaint from node C in this example? When node A receives a complaint from grandchild node C about node C's parent node B (i.e., node A's child node B), node A will choose to either remove its child node B or its grandchild node C. A will make this determination based on whether node C alone, or both node C and its sibling node C' are experiencing problems with node B, together with the knowledge of whether node A is continuing to receive satisfactory service reports from node B. If node A is continuing to get satisfactory service reports from node B and there is no indication that node C' (the sibling of node C) is experiencing problems with node B, then node A will assign the "fault" to node C and issue a disconnect order for its removal. At this point the green child of node C (i.e., node D or D') will move up a level connecting to parent B, and the red child of node C (the other of node D or D') will connect as a child of its former sibling. The reconfiguration event will then propagate as discussed above.

If, on the other hand, node A is not getting satisfactory service reports in this example from node B and/or a complaint from node C's sibling arrives within a `window` of node C's complaint, then node A will assign the "fault" to node B and issue a disconnect order for its

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removal. At this point the green child of node B (i.e., node C or C') will move up a level connecting to grandparent node A, and the red child of node B (the other of node C or C'), will connect as a child of its former sibling. The reconfiguration event will then propagate as discussed above.

An exception to the above is the case where node C is the only child of node B. Under these circumstances node B may be disconnected in this example by node A based solely on the recommendation of node C. In another example, the system will not disconnect a node with one child based solely on the complaint of that child (in this case there may be insufficient data to accurately assign blame - disconnecting the node being complained against and promoting the complainer may have the potential to promote a node that is experiencing problems).

Referring now to FIG. 31 (showing a flowchart associated with this example), node A receives a complaint from node C about node B in step 311. Node A then goes to step 312 in which it checks its topology database to determine whether node C is the only child of node B. If the answer is no (as shown in FIG. 30), then node A goes to step 313 in which node A determines whether there is a communication problem between it and node B. If the answer is no, then node A proceeds to step 314 in which it determines whether it has received a similar complaint about node B from node C' within a predetermined period of time of node A's having received the complaint from node C. If the answer to that query is no, then node A goes to step 315 in which it sends a disconnect signal to node C. At that point node A has completed the Grandparent's Complaint Response Routine (in one example a propagation signal does not necessarily have to sent by node A to node C's child nodes - if any exist, they will complain to node B which, upon discerning that node C is no longer in the distribution network, will send a propagation signal to such complaining nodes).

If the response to any of the queries in steps 312, 313 and 314 is yes in this example, then node A proceeds to step 316 in which it disconnects node B. Node A then proceeds to step 317 in which it sends a propagation signal to its grandchild nodes (here nodes C and C') and a reconfiguration event will occur as described above.

The method of this example described above takes a "2 out of 3" approach on the question of node removal. In this regard, it is believed that many interior nodes in a binary tree distribution network constructed pursuant the present invention will have direct connections to three other nodes: their parent, their green child, and their red child. The connections between a node and its three "neighbor" nodes can be thought of as three separate communications channels. In this example a node is removed from the network

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when there are indications of failure or inadequate performance on two of these three channels. When there are indications that two of the channels are working normally, the complaining node is presumed to be "unhealthy" and is removed.

In the case where an interior node has only a single child, the "complaint" of that child to its grandparent is sufficient, in this example, to remove the parent node - even when the node to be removed is communicating perfectly well with it's own parent. In other words, given the communication chain A-B-C where node A is the parent of node B and node B is the parent of node C, and given that node C has no siblings, then a complaint of node C to node A will cause node A to remove node B in this example regardless of the fact that node A and node B are not having communication problems (this course of action may help ensure that a potentially unreliable node does not move up the hierarchy of nodes, even at the cost of occasionally sending a "healthy" node back to the edge of the distribution chain based on uncorroborated complaints of its child). Of course, as mentioned above, there are examples where the system will not disconnect a node with one child based solely on the complaint of that child.

In another example of voting (which example is intended to be illustrative and not restrictive), instead of (or in conjunction with) nodes voting on the behavior of other nodes, nodes may continually grade their own performance and if they detect that there is insufficient bandwidth on two or more of their links (links to their parent and their children) the node will perform a graceful depart from the network (initiating a reconfiguration as described elsewhere) and then the node will either climb its path to an ancestor node (or go directly to the server) to request a connection path to the edge of the tree. This system allows nodes to "step aside" when they detect connection / bandwidth related issues.

Referring now to a "Stepped Delay of Playing Content Data" example (which example is intended to be illustrative and not restrictive) it is noted that in order to have all the user nodes experience the playing of the content data at approximately the same time, regardless of the level in which the node resides, the content data buffer 125 shown in FIG. 13 of a user node may be larger the higher uptree it is in a distribution chain (i.e., the closer it is to the root server). The larger the buffer, the greater the amount of time between a particular event (or from the node's receiving the content data), and the node's actually playing the content data in its content data buffer. To the extent that the content data buffer of a node is sized to vary the time that the playing of the content data is started, the content data buffer is a delay. Alternatively, the delay may be a timer or a delay buffer 126 as shown in

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FIG. 13, or a combination of elements. The delay's purpose may be to help assure that all users experience the play of the content data approximately simultaneously.

For a distribution network having n levels of user nodes cascadingly connected to a server node, where n is a number greater than one (and therefore the distribution network comprises the server node and first through nth level user nodes), the period of time (i.e., the delay time) between:

(i) the moment content data is received by a node or, from a predetermined moment such as, for example, a reporting event or utility rating event (the occurrence of which may be based on time periods as measured by a clock outside of the distribution system and would occur approximately simultaneously for all nodes); and

(ii) the playing of the content data by the node;

is greater for a node the higher uptree the node is on the distribution chain. That is, the delays in first level nodes create greater delay times than do the delays in second level nodes, the delays in second level nodes create greater delay times than the delays in third level nodes and so on. Described mathematically, in an n level system, where x is a number from and including 2 to and including n, the delay time created by a delay in an (x-1) level node is greater than the delay time created by a delay in an x level node.

By way of example (which example is intended to be illustrative and not restrictive), first level nodes could have a built in effective delay time in playing content data of one-hundred-twenty seconds, second level nodes could have a built in effective delay time of one-hundred-five seconds, third level nodes could have a built in effective delay time of ninety seconds, fourth level nodes could have a built in effective delay time of seventy-five seconds, fifth level nodes could have a built in effective delay time of sixty seconds, sixth level nodes could have a built in effective delay time of forty-five seconds, seventh level nodes could have a built in effective delay time of thirty seconds, and eighth level nodes could have a built in effective delay time of fifteen seconds.

The delays may take advantage of the fact that transmission of the packets forming the content data from one node to another may take less time than the actual playing of such packets. This may allow for essentially simultaneous playing of the content data throughout all the levels of the distribution network. The delays may also allow nodes to handle reconnection and reconfiguration events without any significant loss of content data.

In another example (which example is intended to be illustrative and not restrictive), the system may not endeavor to have buffers closer to the server contain more data than buffers of nodes that are further away. Instead, every node may have a buffer that can hold,

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for example, approximately two minutes worth of A/V content. The system may endeavor to fill every node's buffer as rapidly as possible, regardless of the node's distance from the server, in order to give each node the maximum amount of time possible to deal with network reconfiguration and uptree node failure events.

Of note, nodes may receive a "current play time index" when they connect to the server. This value may be used to keep all nodes at approximately the same point in the stream – to have all nodes playing approximately the same moment of video at the same time – by telling them, essentially, begin playing A/V content at this time index. The play time index may be, for example, approximately two minutes behind "real time" – allowing for nodes to buffer locally up to two minutes of content (the present invention may permit drift between nodes within the buffer (e.g., two minute buffer) or the present invention may reduce or eliminate this drift to keep all nodes playing closer to "lock step").

Turning now to a general description of an example process for Node X to enter a network including server Node S, Node X must contact Node S to initiate the process. For illustrative purposes, in the following example (which example is intended to be illustrative and not restrictive) each node in the network may have no more than two connections, and the root server (i.e., the highest node in the tree) shall be referred to as Node S.

The process of this example begins with Node X making a request of Node S to join the network.

If Node S has an open connection (e.g., including one or more connected bumpable non-repeat-capable nodes which may be replaced due to an incoming connection request from a repeat-capable node), Node S can accept Node X's request to join the network, and Node X becomes a child of Node S.

If, on the other hand, Node S does not have an open connection, Node S may reject Node X's request, and may provide to Node X a node-by-node path from Node S to Node P, which Node S understands to be in the network, and under which, as determined by Node S, Node X may be able to join the network.

The process of this example continues with Node X making a request of Node P to join the network.

If Node P does not respond – regardless of why Node P does not respond – Node X may request connection from the next higher node in the path. For the purpose of this illustration, thereafter the next higher node is now considered Node P. Node X may make such a request of successive Node Ps, until Node X receives a response.

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If a particular Node P has an open connection, that Node P can accept Node X's request to join the network, and Node X becomes a child of that particular Node P.

If, on the other hand, a particular Node P does not have an open connection, that particular Node P may reject Node X's request, and may provide to Node X a node-by-node path from that particular Node P to Node P', which the instructing Node P understands to be in the network, and under which, as determined by the instructing Node P, Node X may be able to join the network.

If Node X receives a further rejection, Node X may then treat the Node P' as a Node P and continue the process.

In general, under this example the process is continued until Node X finds a connection.

Referring now more specifically to a "Registration Process" example involving two arbitrary Nodes X and N (neither of which is necessarily a server), FIG. 32 shows Node X sending a request to Node N for acceptance into the distribution network tree (of which Node N is part).

In this example, Node N (which may be thought of as an instructing node) may generally return one of three codes (or messages): Accept, Path, or Reject. FIGS. 33A-33C show example messaging between Node X and Node N under this example. More particularly:

- FIG. 33A shows Node X sending a Register message to Node N and Node N responding to the Register message with an Accept message
- FIG. 33B shows Node X sending a Register message to Node N and Node N responding to the Register message with a Path message (e.g., identifying a path from Node N to a new instructing node)
- FIG. 33C shows Node X sending a Register message to Node N and Node N responding to the Register message with a Reject message (i.e., rejecting Node X's request for a connection to Node N).

In another example, the registration process may be extended to include some system maintenance or configuration activities. Such maintenance or configuration activities may include "flood testing" (discussed in detail below), identification of a bad protocol, and/or Firewall Status (discussed in detail below).

In this regard, FIG. 34A shows the messaging (in connection with registration with a flood test) between Node X, a node requesting to join the network, Node S, a server node

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within the network, and Node R (e.g., a random (or predetermined) node that may, but not need be, within the network).

Further, FIG. 34B shows the messaging (in connection with registration with a bad protocol version) between Node X and Node S, a server node within the network. Of note, such a bad protocol message may be sent by the server to a connection requesting node to inform that node that it is running an obsolete version of the client software and must upgrade to the current version before being allowed to join the network.

Further still, FIG. 34C shows the messaging (in connection with registration with standard response) between Node X and Node S, a server node within the network (wherein such standard response includes, for example, Accept / Reject / Path).

Referring now in more detail to the "flood test" example shown in FIG. 34A, this example process begins, as above, with Node X making a request to Node S to join the network. Node S then sends Node X a Meta message (such a Meta message may be sent by the server to a connection requesting node to inform that node of certain characteristics of the network such as, for example: the streaming bandwidth rate used by the network, the server's public key for authenticating that secure packets originated from this server, and any other information the architects of the network might deem necessary or desirable for nodes to know when connecting to the network). Node S may also require that Node X undergo performance or flood testing before being accepted or placed within the network. In such an event, Node S responds to Node X's request to join the network with an instruction that Node X undergo a flood test (e.g., of Node X's upstream channel). Such an instruction may be accompanied by an address of Node R, the illustrative flood test target. Note that any node within or without the network may conduct the flood test. One purpose of the flood test may be to permit Node S to be aware of Node X's upstream capability before determining where (or if) to assign Node X in the network.

Where Node S requires that Node X undergo performance or flood testing before being accepted or placed within the network, Node S provides a message so informing Node X, shown as "DoFlood" in FIG 34A. The DoFlood message may provide to Node X the address of the flood test target, but the flood test target could be Node S itself, or a predetermined flood test target.

In response to the DoFlood message, Node X sends an InitFlood message to the flood test target. In one embodiment, Node X would await an acknowledgement (not shown) of its InitFlood message, but in another embodiment, awaiting an acknowledgement is not

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necessary. Node X would then begin transmitting FloodData messages, as shown in FIG 34A.

Since, in this example, FloodData messages are transmitted for a purpose of determining Node X's upstream bandwidth, in one embodiment, Node X would transmit an amount of FloodData to the flood test target as shown in FIG 34A. In various embodiments, the maximum amount of FloodData may be limited to a fixed number of FloodData messages, to a fixed amount of data transmitted within FloodData messages, or to transmission of FloodData or FloodData messages over a fixed period of time.

To protect the flood test target from being inundated with FloodData (e.g., where Node X has a very high upstream bandwidth capability), Node X may cap (e.g., be so directed by Node S) the FloodData transmission to, e.g., 100 Kbits/sec, 200 Kbits/sec, or some other data rate that would be a desired data transmission rate for a node on the particular network of interest.

Thus, by receiving the FloodData messages, the flood test target can determine, for example, an upstream capability rating of Node X (provided that the flood test target has the same or more bandwidth in the downstream channel compared to the upstream capability of Node X.

In another example, both the target and the sender will know the bandwidth of the sender at the conclusion of the flood test. More particularly, the present invention may use TCP/IP (rather than UDP), and all packets sent may receive an acknowledgement. In this example, Node X, the node sending out the flood packets, sends out the next flood packet only after receipt of the first packet has been acknowledged by the target of the flood test. Thus, the number of flood data packets Node X is able to send per unit time (along with the size of those packets) may allow Node X to calculate its upstream bandwidth.

In another example, the target of the "flood test" may be any node including the server but excluding any firewalled or low-bandwidth nodes.

After the "flood test", Node X may then connect with Node S (or be instructed to go to another node if Node S can not/will not accept Node X). Of note, if Node X fails the "flood test", Node X may be sent far downtree or may be refused entry into the network entirely. Further, if node N is accepted under these circumstances it will be deemed a "bumpable" non-repeat-capable node.

Referring now in more detail to the "Firewall Status" example shown in FIG. 34C, this example process begins, as above, with Node X making a request to Node S to join the network. Node S then send Node X a Meta message. A firewall status message is a message

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sent over a new connection from (in the case of Figure 34C) Node S to Node X. If Node X receives this message, over a communications channel initiated by Node S, Node X will know that it is not firewalled. Failure to receive a firewall status message when a node is expecting such a message allows that node to conclude that it is in fact firewalled. Thus, if Node X is expecting to receive a firewall status message over new connection initiated by some other node and that message does not arrive, Node X can conclude (with a high degree of likelihood of being correct) that it is firewalled. Also, since (in one example) the present invention uses the TCP/IP messaging protocol the sender, Node S, will also know whether the firewall status message was successfully received by Node X and thus Node S will also know whether Node X is or is not firewalled (one or more other nodes may also receive data regarding the firewall status).

After receiving the firewall status report (not shown) Node S will then respond with a standard response (accept, path, reject) as discussed above.

To now give a specific example of the use of both the "flood test" and the "firewall status" mechanisms during registration of a new node, FIG. 35A shows a state of the network before Node X joins the network and FIG. 35B shows a state of the network after Node X joins the network. Further, FIG. 36 shows the messaging among Node X, Node S, Node B and Node R. More particularly:

- Node X sends a Register message to Node S and Node S responds with a Meta message (providing Node X with basic information about the network) and a DoFlood message (which requests Node X to determine its bandwidth and report back to Node S when Node X has that information). Node S knows to send a "DoFlood" to Node X because the initial Register message sent by Node X to Node S contains an indication that Node X does not yet know its current upstream bandwidth capacity. The DoFlood message will contain the address of a target node (Node R), selected by Node S, that Node X should flood against.
- Node X sends an InitFlood message to Node R and then "floods" Node R with data for some period of time (e.g., 15 seconds). At the conclusion of this test Node X will be able to compute its upstream bandwidth based on the number and size of data packets it was able to successfully transmit to Node R.

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- Node X sends another Register message to Node S and Node S responds with a Meta message. Contained within this second Register message is the result of Node X's "flood test" which gives an indication of Node X's upstream bandwidth capacity.
- Node S now tests whether external nodes can initiate communication with Node X by sending Node X a Firewall Status message over a new connection. If this message is successfully received by Node X (and acknowledgement received by Node S), both Nodes X and S will know that Node X is not firewalled (on a network communication port).
- Node S sends a Path message to Node X. This message will include a list of nodes that X should attempt connection with, beginning with the preferred target node (e.g., Node B). As described elsewhere in the application, the choice of target node, and hence the contents of the path, may be influenced by both the current configuration of the network and whether or not Node X is repeat-capable (based on the results of the "flood test" and "firewall test").
- Node X sends a Register message to Node B and Node B responds with an Accept message.

Referring now to a "Firewall Request / Firewall Status" example involving two arbitrary Nodes A and B (neither of which is necessarily a server), FIG. 37 shows a portion of a network including Node A and Node B. In this example (as shown in FIG. 38), a Firewall Request message is sent by Node B to request that the recipient of the message, Node A, test Node B's Firewall Status. The receiving Node A may send (e.g., over a new connection), the Firewall Status message to Node B. If Node B timely receives and interprets the Firewall Status message it can be certain that it is a non-firewalled node. If Node B does not timely receive a Firewall Status message from Node A then Node B may assume that it is firewalled.

In another example (which example is intended to be illustrative and not restrictive), if Node A fails to connect to Node B or to send the Firewall Status message no further action is needed on the part of Node A.

Node B may also augment its assumption about its Firewall Status by using a three strikes (i.e., three attempts) method before considering itself to be firewalled (of course, three strikes is provided as an example only, and any desired number of "strikes" or attempts may be used).

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In another example (which example is intended to be illustrative and not restrictive), the multiple attempts may be carried out within a predetermined time "window" (after which receiving no Firewall Status message Node B may consider itself firewalled).

In another example (which example is intended to be illustrative and not restrictive), Firewall Requests may be sent out by all nodes (e.g., at regular time intervals) in order to maintain a current and accurate picture of their Firewall Status.

In another example (which example is intended to be illustrative and not restrictive), Firewall Requests may be sent out by certain node(s) (e.g., at regular time intervals) in order to maintain a current and accurate picture of their Firewall Status.

In another example (which example is intended to be illustrative and not restrictive), the Firewall Status message may be sent by the server on initial registration (e.g., without the need for a Firewall Request message and both the sender (the server) and the receiving node (the node that is registering) may use the successful delivery of the Firewall Status message as an indicator of the connecting node's Firewall Status.

Referring now to a "Propagate Path / Down" example (which example is intended to be illustrative and not restrictive), FIG. 39A shows a portion of a network including Node A and Node B. Further, FIG. 39B shows an example of nodes in a tree sending Propagate Path / Down messages downtree to their children (e.g., at regular time intervals).

In one example (which example is intended to be illustrative and not restrictive), the Propagate Path / Down message may include topology information containing a path back to the root server and the time interval for sending such message may be calculated on a node-by-node and/or level-by-level basis relative to the amount of data to be sent in the Propagate Path / Down message (wherein the amount of data in the Propagate Path / Down message is related to a particular node's (or level's) downtree position relative to the root server). Note, that although this time interval may not be very important for Propagate Path / Down, since the amount of data for each successive downtree node (or level) may increase relatively slowly (e.g., linearly), the time period may be of critical importance for Propagate NTM / Up (which is discussed below), since the amount of data for each successive uptree node (or level) may increase relatively quickly (e.g., exponentially). Of note, the acronym "NTM" refers to the Network Topology Model.

In any case, FIG. 40 shows the messaging between Node A and Node B in connection with a Propagate Path / Down message (i.e., Node A sends a Propagate Path message downtree to Node B).

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Referring now to a "Propagate NTM / Up" example (which example is intended to be illustrative and not restrictive), FIG. 41A shows a portion of a network including Node A and Node B. Further, FIG. 41B shows an example of nodes in a tree sending Propagate NTM / Up messages uptree to their parents (e.g., at regular time intervals).

In one example (which example is intended to be illustrative and not restrictive), the Propagate NTM / Up message may include information containing the topology of the subtree(s) below a particular node and the time interval for sending such message may be calculated on a node-by-node and/or level-by-level basis relative to the amount of data being sent in the Propagate NTM / Up message (wherein the amount of data in the Propagate NTM / Up message is related to a particular node's (or level's) uptree position relative to tree height (e.g., the height of a particular node above its lowest leaf level)). Note that in this example (which example is intended to be illustrative and not restrictive), the NTM data that the node sends up is rooted at itself and contains both its children.

In any case, FIG. 42 shows the messaging between Node A and Node B in connection with a Propagate NTM / Up message (i.e., Node B sends a Propagate NTM message uptree to Node A).

In another embodiment, the following process may be employed when a node enters the network. More particularly, when the server adds a node X to the system (gives it a connection path to node Y) the server will add node X to its network topology model as a child of node Y. In other words, the server will assume that the connection will take place normally with no errors. The reason why doing so may be critical to the functioning of the system in this embodiment is that if the server failed to account for the fact that node X is now (probably) a child of node Y, using the "keep the tree balanced" approach embodied in the Universal Connection Address Routine, all new nodes connecting to the system would be assigned as children of node Y up until the point where a propagate up arrives indicating that node X was successfully added to the tree. If the tree is large and node Y is many levels removed from the server it could be some time (e.g., a minute or more, in very large trees several minutes) before confirmation arrives that node X truly connected to node Y as its child.

Now, in addition to simply placing node X into the server's NTM (Network Topology Model) and being done with the matter, in this embodiment the system must account for the fact that it may take a number of propagate NTM/up cycles for the true status of node X to be reported back to the server. Thus, for some number of propagate cycles (or some amount of time) the server may compare the newly propagated (updated) NTM it is receiving from its

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children to the list of "recently added nodes". If a recently added node is not in the propagated NTM it will be re-added to the NTM as a child of node Y. At some point node X will no longer be considered "recently added" and the server will cease adding node X back into its NTM. In other words, the server will assume that, for whatever reason, node X never successfully connected to the network.

In another embodiment, the following process may be employed when a node gracefully exits the system. More particularly, when a node (node X) leaves the network by performing a graceful exit (e.g., the user selects "exit" or clicks the "x" or issues some other command to close the application) the node will send signals to its parent and child nodes (if any) immediately prior to actually shutting down. Upon receipt of such a "depart" message, the parent of the departing node (node P) will modify its internal NTM (Network Topology Model) to a configuration that corresponds to the predicted shape of the network after the departing node exits. In this embodiment, node P will assume that its NTM is accurate and that the entire cascade of reconfiguration events initiated by the departure of node X will happen normally. As such, node P will now expect the former green child of node X (node XG -- if such a child exists) to connect – essentially taking node X's place in the network.

At this point, node P's NTM represents a prediction of the (anticipated) state of the network rather than the actual reported configuration. In order to indicate that node P does not (yet) have a physical connection to node XG, node P will mark node XG as "soft" in its NTM – meaning node XG is expected to take up this position in the network but has not yet physically done so. Node P will report this "predicted" NTM (along with node XG's status as a soft node) up during its propagate NTM/Up cycles, until node P physically accepts a child connection in node XG's "reserved" spot and receives an actual report of the shape of the downtree NTM from that child, via propagate NTM/ups.

Of note, the above actions may be taken in order to have the propagated NTM's reflect, as accurately as possible, the topology of the network.

Of further note, because of the distributed nature of a network according to the present invention, a complete and accurate picture of the current shape of the network at any instance in time can never be guaranteed. In fact, though the root server has the "widest" view of the network, without the heuristics described herein, that view would be the "most dated" of any node in the network. Thus, heuristics such as those just described may be vital to producing NTM's that are as close to complete and accurate as possible (e.g., so that the Universal Connection Address Routine can position incoming nodes so as to keep the distribution tree as nearly balanced as possible).

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Referring now to a "Banned List" example (which example is intended to be illustrative and not restrictive), it is noted that such a "banned list" (or "banished list") may be used for keeping certain nodes off of the network, removing certain nodes from the network, and/or keeping certain nodes as far downtree as possible (e.g., in the case of a binary tree network keeping certain nodes as "red" nodes).

More particularly, FIG. 43 shows a portion of a network including Node A, Node B, Node C and Node D and FIG. 44 shows the messaging between Node A, Node B, Node C and Node D in connection with Banned List messages (i.e., in this FIG. 44, Node A sends a Banned List message to Node B and Node B sends a Banned List message to Nodes C and D).

In one example (which example is intended to be illustrative and not restrictive), nodes receiving this message should re-transmit the message downtree to all children in an unaltered fashion.

In another example (which example is intended to be illustrative and not restrictive), the Banned List messages may include IP lists and/or URL's.

In another example (which example is intended to be illustrative and not restrictive), the Banned List messages may originate at the server.

In another example (which example is intended to be illustrative and not restrictive), Banned List messages may be sent and/or originated by any desired node(s).

In another example (which example is intended to be illustrative and not restrictive), Banned List messages and may be sent using a Secure Packet format.

In another example (which example is intended to be illustrative and not restrictive), node(s) may store the content of the message (e.g., the banned list itself) for future use.

In another example (which example is intended to be illustrative and not restrictive), the server (or any other desired node(s)) may send out and/or originate the Banned List messages at regular time intervals.

Referring now to a "Bumpable Node" embodiment, it is noted that this "bumpable node" mechanism may be used to "bump" (or send downtree) a particular node (e.g., when a new node is added). More particularly, in one example (which example is intended to be illustrative and not restrictive), a server may instruct Node X to connect with Node P and Node P may know to "bump" its child, Node C, by: (a) instructing Node C to disconnect from Node P; (b) allowing Node X to connect to Node P; and (c) instructing Node C to re-connect as a child of Node X. Of note, such a "bumping" operation may be carried out, for example (which example is intended to be illustrative and not restrictive), because the

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bumped node is firewalled and/or because the bumped node has failed an upstream and/or downstream bandwidth test (which test may be carried out by the server or by any other node(s)).

Of note, as used in this application, the terms "bumpable nodes" or "bumpable non-repeat-capable nodes" or "non-repeat-capable nodes" are nodes which either: (a) have insufficient bandwidth to act as repeaters in the network or (b) are firewalled.

Of further note, in one example the more inclusive "is bumpable" test may replace the "is low bandwidth" test in the Universal Connection Address Routine of Figure 20.

Referring now to a "Load Manager" embodiment, it is noted that this "load manager" mechanism may be used to rate limit (i.e., slow or stop) the addition of new nodes to the network. Such rate limiting may be useful because the larger the network grows, the more nodes can join per unit of time.

In one example (which example is intended to be illustrative and not restrictive), the rate limited addition of new nodes may be carried out by a server (or connection server) and may be based upon the length of time that it takes for the buffers associated with one or more nodes (or levels) of the existing network to fill (or reach a certain value). In a more specific example, the rate limited addition of new nodes may be based upon the length of time that it takes for the buffers associated with potential parents of the new nodes to fill (or reach a certain value).

In another example (which example is intended to be illustrative and not restrictive), the rate limited addition of new nodes may be carried out by any desired node(s) (e.g., one or more user nodes and/or a combination of one or more user nodes and a server (or connection server) node).

Referring now to a "Node Interlacing" embodiment, it is noted that this "node interlacing" mechanism may be used to add new nodes to the network by interlacing the new nodes on a level-by-level basis as shown in FIG. 45.

More particularly, as seen in this FIG. 45, new nodes may be added in the order indicated (as can be seen below, this example (which example is intended to be illustrative and not restrictive) relates to a binary tree network). That is, Node 1 may first be added as a child of the server followed by Node 2 as a child of the server – this will fully populate the first level; Node 3 may next be added as a child of Node 1, followed by Node 4 as a child of Node 2, followed by Node 5 as a child of Node 1, followed by Node 6 as a child of Node 2 – this will fully populate the second level; Node 7 may next be added as a child of Node 3, followed by Node 8 as a child of Node 5, followed by Node 9 as a child of Node 4, followed

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by Node 10 as a child of Node 6, followed by Node 11 as a child of Node 3, followed by Node 12 as a child of Node 5, followed by Node 13 as a child of Node 4, followed by Node 14 as a child of Node 6 – this will fully populate the third level, etc.

Of note, such node interlacing may be used to efficiently fill (or bring to a certain value) the buffers associated with one or more nodes (or levels) of the existing network while new nodes are added.

Of further note, such node interlacing may help prevent adding a second child to a parent until the first child's buffer is full (or brought to a certain value).

Of still further note, such node interlacing may help to: (a) prevent taxing the parent's downtree channel; and/or (b) prevent two children being left with partially filled buffers in the event that their parent departs.

Of still further note, such node interlacing may be used, for example, in conjunction with or as an alternative to the load balancing mechanism discussed above.

In another embodiment the present invention may be used when a plurality of computer systems are connected (e.g., to the Internet) by one or more of an ISDN line, a DSL (e.g., ADSL) line, a cable modem, a T1 line and/or an even higher capacity link.

In another embodiment a distribution network for the distribution of data from a server node to a plurality of user nodes connected to the server node, wherein each of the user nodes has associated therewith a buffer is provided, which distribution network comprises: a mechanism for rate limiting the addition of new user nodes to the distribution network, wherein the rate limited addition of new user nodes to the distribution network is based at least in part upon the length of time that it takes for at least one buffer associated with at least one user node to reach a predetermined percentage of capacity, wherein the predetermined percentage of capacity is greater than zero.

In another embodiment a distribution network for the distribution of data from a server node to a plurality of user nodes connected to the server node, wherein each of the user nodes is in one of a plurality of levels located downtree from the server node is provided, which distribution network comprises: a mechanism for adding new user nodes to the distribution network in an interlaced manner, wherein the interlacing provides that each sibling user node in a given level of the distribution network is associated with just one child before any siblings in the given level are associated with two children.

The following embodiments will now describe a number of additional example algorithms which may be utilized in whole or in part to implement the present invention:

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In one embodiment a process for docking a connection requesting user node with a distribution network is provided, said process including the following steps:

(a) having an instructing node receive a connection request from said connection requesting user node;

(b) forming an instructing node topology database indicating, at a point in time:

(i) which, if any, user nodes are docked with said instructing node as child nodes; which, if any, user nodes are docked with each of said child nodes as grandchild nodes of said instructing node; which, if any, user nodes are docked with each of said grandchild nodes as great-grandchild nodes of said server node; and so on; and

(ii) said docked user nodes respective bandwidth capacities;

(c) selecting from a group of nodes including the instructing node and said docked user nodes a recommended parent node for said connection requesting user node, wherein said recommended parent node has apparent available capacity to transmit content data to said connection requesting user node and is at least as close (i.e., in terms of network topology) to said instructing node as any other docked user node having apparent available capacity to transmit content data to said connection requesting user node (of note, placement may also or alternatively depend, as described elsewhere in the present application, in whole or in part on whether the connection requesting node is repeat-capable or not (i.e., whether it can support children)); and

(d) providing a connection address list to said connection requesting user node, said connection address list listing each node in said instructing node's topology database from said recommended parent node back to said instructing node.

In one example, the process may further comprise the following steps:

(e) having said connection requesting user node go to (or attempt to go to) the node at the top of said connection address list;

(f) having said connection requesting user node determine whether the node at the top of said connection address list is part of the distribution network;

(g) if the node at top of said connection address list is not part of the distribution network, deleting such node from said connection address list and repeating steps (e) and (f) with respect to the next node at the top of said connection address list;

(h) if the node at the top of said connection address list is part of said distribution network, having said connection requesting user node dock with said node at the top of said connection address list.

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In another example, step (d) may further comprise the following step:

(i) if said instructing node is not a server node, including in said connection address list a list of nodes cascadingly connected with each other from the instructing node back to said server node.

In another example, the process may further comprise the following steps:

(e) having said connection requesting user node go to (or attempt to go to) the node at the top of said connection address list;

(f) having said connection requesting user node determine whether the node at the top of said connection address list is part of the distribution network;

(g) if the node at the top of said connection address list is not part of the distribution network, deleting such node from said connection address list and repeating steps (e) and (f) with respect to the next node at the top of said connection address list;

(h) if the node at the top of said connection address list is part of said distribution network, determining whether said node at the top of said connection address list has available capacity to transmit content data to said connection requesting user node; and

(i) if the node at the top of said connection address list is part of said distribution network and has available capacity to transmit content data to said connection requesting user node, having said connection requesting user node dock with the node at the top of said connection address list.

In another example, the process may further comprise the following steps:

(j) if the node at the top of connection address list is part of said distribution network and said node at the top of said connection address list does not have available capacity to transmit content data to said connection requesting user node, repeating steps (a)-(d), with said node at the top of connection address list being said instructing node and a new connection address list being the product of steps (a)-(d).

In another example, the process may further comprise the steps of counting the times that step (j) is performed and, when step (j) is performed a predetermined number of times, having said connection requesting user node repeat steps (a)-(d) with said primary server being the instructing node.

In another embodiment a process for docking a connection requesting user node with a distribution network is provided, said process including the following steps:

(a) having an instructing node receive a connection request from said connection requesting user node;

(b) forming an instructing node topology database indicating, at a point in time:

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(i) which, if any, user nodes are docked with said instructing node as child nodes; which, if any, user nodes are docked with each of said child nodes as grandchild nodes of said instructing node; which, if any, user nodes are docked with each of said grandchild nodes as great-grandchild nodes of said server node; and so on; and

(ii) said docked user nodes' respective bandwidth capacities;

(c) assigning utility ratings to each of the docked user nodes, said utility ratings being a function of at least the bandwidth capacity of the docked user node and the elapsed time that the docked user node has been docked with the distribution network;

(d) selecting from a group of nodes including the instructing node and said docked user nodes a recommended parent node for said connection requesting user node, wherein said recommended parent node has apparent available capacity to transmit content data to said connection requesting user node and is at least as close (i.e., in terms of network topology) to said instructing node as any other docked user node having apparent available capacity to transmit content data to said connection requesting user node, and when the closest (i.e., in terms of network topology) docked user node with apparent available capacity to transmit content data to said connection requesting user node is equidistant (i.e., in terms of network topology) to said instructing node with at least one other docked user node with apparent available capacity to transmit content data to said connection requesting user node, said recommended parent node is the docked user node having the highest utility rating among such equidistant (i.e., in terms of network topology) docked user nodes with apparent available capacity; and

(e) providing a connection address list to said connection requesting user node, said connection address list listing each node in said instructing node's topology database from said recommended parent node back to said instructing node and, if said instructing node is not a primary server node, back to said primary server node.

In another embodiment a process for docking a connection requesting user node with a distribution network is provided, said process including the following steps:

(a) having an instructing node receive a connection request from said connection requesting user node;

(b) forming an instructing node topology database indicating, at a point in time:

(i) which, if any, user nodes are docked with said instructing node as child nodes; which, if any, user nodes are docked with each of said child nodes as grandchild nodes of said instructing node; which, if any, user nodes are docked with

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each of said grandchild nodes as great-grandchild nodes of said instructing node; and so on; and

(ii) said docked user nodes' respective bandwidth capacities, including a designation of whether said respective docked user node's bandwidth capacity is below a predetermined threshold (e.g., said respective docked user node is a low-bandwidth node) or at least said predetermined threshold (e.g., said respective docked user node is a high-bandwidth node);

(c) forming a primary recommended parent node list ("PRPL") comprised of the instructing node (if it has available capacity to transmit content data to said connection requesting user node) plus those docked user nodes having apparent available capacity to transmit content data to said connection requesting user node, with said instructing node being placed first on the PRPL (if it is on the PRPL) and said docked user nodes having apparent available capacity to transmit content date to said connection requesting user node being ranked with those docked nodes which are closer (i.e., in terms of network topology) to the instructing node being ranked higher than those docked nodes which are further away (i.e., in terms of network topology) and with equidistant (i.e., in terms of network topology) docked user nodes being ranked such that those docked nodes which have the higher utility ratings being ranked higher than docked nodes having lower utility ratings;

(d) forming a secondary recommended parent node list ("SRPL") comprised of the instructing node (if it has no available capacity to transmit content data to said connection requesting user node but does have at least one low-bandwidth node docked directly to it) plus said docked user nodes which (i) are high-bandwidth nodes with no available capacity to transmit content data to said connection requesting user node and (ii) have at least one low-bandwidth node docked directly to it, with said instructing node being placed first on the SRPL (if it is on the SRPL) and said docked user nodes on the SRPL being ranked with those docked nodes which are closer (i.e., in terms of network topology) to the instructing node being ranked higher than those docked nodes which are further away (i.e., in terms of network topology) and with equidistant (i.e., in terms of network topology) docked user nodes being ranked such that those docked nodes which have the higher utility ratings being ranked higher than docked nodes having lower utility ratings;

(e) determining whether the connection requesting user node is a low-bandwidth node;

(f) if the connection requesting user node is a low-bandwidth node:

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(i) selecting the highest ranked node on the PRPL as a recommended parent node; and

(ii) providing a connection address list to said connection requesting user node, said connection address list listing each node in said instructing node's topology database from said recommended parent node back to said instructing node and, if said instructing node is not a primary server node, back to said primary server node; and

(g) if the connection requesting user node is a high-bandwidth node:

(i) selecting the highest ranked node on the PRPL as a recommended parent node;

(ii) selecting the highest ranked node on the SRPL as an alternate recommended parent node;

(iii) determining whether the alternate recommended parent node is closer (i.e., in terms of network topology) to the server node than the recommended parent node;

(iv) if the alternate recommended parent node is not closer (i.e., in terms of network topology) to the server node than the recommended parent node, providing a connection address list to said connection requesting user node, said connection address list listing each node in said instructing node's topology database from said recommended parent node back to said instructing node and, if said instructing node is not the primary server node, back to said primary server node;

(v) if the alternate recommended parent node is closer (i.e., in terms of network topology) to the server node than the recommended parent node, (1) forcing the disconnection of a low-bandwidth node from the alternate recommended parent node and (2) providing a connection address list to said connection requesting user node, said connection address list listing each node in said instructing node's topology database from said alternate recommended parent node back to said instructing node and, if said instructing node is not the primary server node, back to said primary server node.

In one example, step (f)(ii) may include the following steps:

(1) adding said connection requesting user node to the topology database as a child of the recommended parent node; and

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(2) if said recommended parent node would have no apparent available capacity to transmit content data to an additional node with said connection requesting user node docked with it, deleting the recommended parent node from the PRPL and adding it to the SRPL.

In another example, step (g)(iv) may include the following steps:

(1) adding said connection requesting user node to the topology database as a child of the recommended parent node;

(2) if said recommended parent node would have no apparent available capacity to transmit content data to an additional node with said connection requesting user node docked with it, deleting the recommended parent node from the PRPL; and

(3) if said recommended parent node is deleted from the PRPL but has at least one low-bandwidth node docked directly to it, adding the recommended parent node to the SRPL.

In another example, step (g)(v) may include the following steps:

(3) adding said connection requesting user node to the topology database as a child of the alternate recommended parent node; and

4) if said alternate recommended parent node would have no apparent available capacity to transmit content data to an additional node with said connection requesting user node docked with it, deleting the alternate recommended parent node from the SRPL.

In another example, the process may further comprise the following steps:

(h) having said connection requesting user node go to (or attempt to go to) the node at the top of said connection address list;

(i) having said connection requesting user node determine whether the node at the top of said connection address list is part of the distribution network;

(j) if the node at top of connection address list is not part of the distribution network, deleting such node from connection address list and repeating steps (h) and (i) with respect to the next node at the top of connection address list; and

(k) if the node at top of connection address list is part of said distribution network, having said connection requesting user node dock with said node at the top of connection address list.

In another example, the process may further comprise the following steps:

(h) having said connection requesting user node go to (or attempt to go to) the node at the top of connection address list;

(i) having said connection requesting user node determine whether the node at the top of said connection address list is part of the distribution network;

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(j) if the node at top of connection address list is not part of the distribution network, deleting such node from connection address list and repeating steps (h) and (i) with respect to the next node at the top of connection address list;

(k) if the node at the top of connection address list is part of said distribution network, determining whether said node at the top of connection address list has available capacity to transmit content data to said connection requesting user node; and

(l) if the node at the top of said connection address list is part of said distribution network and has available capacity to transmit content data to said connection requesting user node, having said connection requesting user node dock with the node at the top of said connection address list.

In another example, the process may further comprise the following steps:

(m) if the node at the top of said connection address list is part of said distribution network and the node at the top of said connection address list does not have available capacity to transmit content data to said connection requesting user node, repeating steps (a)-(g), with the node at the top of said connection address list being said instructing node and a new connection address list being the product of steps (a)-(g).

In another example, the process may further comprise the steps of counting the times that step (m) is performed and, when step (m) is performed a predetermined number of times, having said connection requesting user node repeat steps (a)-(g) with said primary server being the instructing node.

In another embodiment a process for docking a connection requesting user node with a distribution network is provided, said process including the following steps:

(a) having an instructing node receive a connection request from said connection requesting user node;

(b) determining whether said connection requesting user node's bandwidth capacity is below a predetermined threshold (e.g., said connection requesting user node is a low-bandwidth node) or at least said predetermined threshold (e.g., said connection requesting user node is a high-bandwidth node);

(c) forming an instructing node topology database indicating, at a point in time:

(i) which, if any, user nodes are docked with said instructing node as child nodes; which, if any, user nodes are docked with each of said child nodes as grandchild nodes of said instructing node; which, if any, user nodes are docked with each of said grandchild nodes as great-grandchild nodes of said server node; and so on; and

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(ii) said docked user nodes' respective bandwidth capacities;

(d) if said connection requesting user node is a high-bandwidth user node, selecting from a group of nodes including the instructing node and said docked user nodes a recommended parent node for said connection requesting user node, wherein said recommended parent node has apparent available capacity to transmit content data to said connection requesting user node and is at least as close (i.e., in terms of network topology) to said instructing node as any other docked user node having apparent available capacity to transmit content data to said connection requesting user node;

(e) if said connection requesting user node is a low-bandwidth user node, selecting from a group of nodes including the instructing node and said docked user nodes a recommended parent node for said connection requesting user node, wherein said recommended parent node has apparent available capacity to transmit content data to said connection requesting user node and is at least as far (i.e., in terms of network topology) from said instructing node as any other docked user node having apparent available capacity to transmit content data to said connection requesting user node; and

(f) providing a connection address list to said connection requesting user node, said connection address list listing each node in said instructing node's topology database from said recommended parent node back to said instructing node.

In one example, step (f) may further comprise the following step: (i) if said instructing node is not a server node, including in said connection address list a list of nodes cascadingly connected with each other from the instructing node back to said server node.

In another embodiment a process for connecting a connection requesting user node to a computer information distribution network having a primary server node and user nodes docked therewith in a cascaded relationship is provided, said process further comprising the following steps:

(a) providing said connection requesting user node with a connection address list which sets forth a list of user nodes docked in series with each other back to said primary server;

(b) having said connection requesting user node go to (or attempt to go to) the node at top of said connection address list;

(c) having said connection requesting user node determine whether the node at the top of said connection address list is part of the distribution network;

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(d) if the node at the top of said connection address list is not part of the distribution network, deleting such node from said connection address list and repeating steps (b) and (c) with respect to the next node at the top of connection address list; and

(e) if the node at the top of said connection address list is part of said distribution network, having said connection requesting user node dock with the node at the top of said connection address list.

In another embodiment a process for connecting a connection requesting user node to a computer information distribution network having a primary server node and user nodes docked therewith in a cascaded relationship is provided, said process further comprising the following steps:

(a) providing said connection requesting user node with a connection address list which sets forth a list of user nodes docked in series with each other back to said primary server;

(b) having said connection requesting user node go to (or attempt to go to) the node at the top of said connection address list;

(c) having said connection requesting user node determine whether the node at the top of said connection address list is part of the distribution network;

(d) if the node at the top of said connection address list is not part of the distribution network, deleting such node from connection address list and repeating steps (b) and (c) with respect to the next node at the top of said connection address list;

(e) if the node at the top of said connection address list is part of said distribution network, determining whether the node at the top of said connection address list has available capacity to transmit content data to said connection requesting user node; and

(f) if the node at the top of said connection address list is part of said distribution network and has available capacity to transmit content data to said connection requesting user node, having said connection requesting user node dock with the node at the top of said connection address list.

In another embodiment relating to a computer information distribution network comprising a primary server node and user nodes docked therewith in a cascaded relationship, wherein each user node may have no more than a predetermined maximum number of child nodes docked with it, a process for reconfiguring the network in the event of a user node's departure therefrom is provided, said process including the following steps:

(a) providing information at a point in time to a node in the distribution network as follows:

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(i) the node's propagation rating if it is a user node having a parent node which is a user node, wherein a propagation rating is one of a predetermined number of grades ranging from highest to second highest to third highest and so on, with the number of grades being equal to said predetermined maximum number;

(ii) an ancestor list setting forth the node's ancestor nodes' addresses (if it has any ancestor nodes) back to the primary server node, with the node's parent node being atop the ancestor list;

(iii) a sibling list of the node's sibling nodes' addresses (if it has any sibling nodes) and their respective propagation ratings (of note, in another example (which example is intended to be illustrative and not restrictive), nodes may not have data about their sibling (i.e., nodes do not know who their sibling is); instead, the mechanism used to get a red node to connect to its green sibling may be for the parent of those two nodes (or a proxy for that parent) to send the red child: (1) a connection path with that red child's green sibling at the top of the path; and (2) a command to "priority join" to that green child - when the green child node accepts the incoming "priority join" connection request from its former red sibling, the green node will kick its own red child (if such a child exists) instructing that red child to join as a child of its own green sibling (these actions will cause a chain reaction of reconfigurations which will cascade until the edge of the tree is reached)); and

(iv) a child list of the node's child nodes' addresses (if it has any child nodes) and their respective propagation ratings;

(b) having a first node send a propagation signal to each former child node of a departed node, wherein said first node is an ancestor of said former child node of said departed node;

(c) with respect to each child node receiving the propagation signal which did not have the highest propagation rating prior to its receiving the propagation signal (hereinafter referred to as a "red node"), upon its receiving the propagation signal:

(i) setting the propagation rating of the red node to the next higher grade above the propagation rating of that red node before it received the propagation signal;

(ii) having the red node undock from its parent node (if it was docked with its parent node before it received the propagation signal); and

(iii) having the red node dock with its sibling node which had the highest propagation rating prior to the red node's receiving the propagation signal;

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(d) with respect to each child node receiving the propagation signal which had the highest propagation rating prior to its receiving a propagation signal (hereinafter referred to as a "green node"), upon its receiving the propagation signal:

(i) setting the propagation rating of that green node to the lowest grade;

(ii) having the green node retransmit the propagation signal to its child nodes (if it has any); and

(iii) determining whether the green node is docked with the node from which the green node received the propagation signal and if it is, having the green node remain docked with said node from which the green node received the propagation signal, and if it is not, having the green node dock with said node from which the green node received the propagation signal; and

(e) repeating steps (c) and (d) with respect to each user node which receives a retransmitted propagation signal.

In another embodiment relating to a computer information distribution network comprising a primary server node and user nodes docked therewith in a cascaded relationship, wherein each user node may have no more than a predetermined maximum number of child nodes docked with it, a process for reconfiguring the network in the event of a user node's departure therefrom is provided, said process including the following steps:

(a) providing information at a point in time to a node in the distribution network as follows:

(i) the node's propagation rating if it is a user node having a parent node which is a user node, wherein a propagation rating is one of a predetermined number of grades ranging from highest to second highest to third highest and so on, with the number of grades being equal to said predetermined maximum number;

(ii) an ancestor list setting forth the node's ancestor nodes' addresses (if it has any ancestor nodes) back to the primary server node, with the node's parent node being atop the ancestor list;

(iii) a sibling list of the node's sibling nodes' addresses (if it has any sibling nodes) and their respective propagation ratings; and

(iv) a child list of the node's child nodes' addresses (if it has any child nodes) and their respective propagation ratings;

(b) having a first node send a propagation signal to each former child node of a departed node, wherein said first node is an ancestor of said former child node of said departed node;

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(c) with respect to each child node receiving the propagation signal which did not have the highest propagation rating prior to its receiving the propagation signal (hereinafter referred to as a "red node"), upon its receiving the propagation signal:

(i) setting the propagation rating of the red node to the next higher grade above the propagation rating of that red node before it received the propagation signal;

(ii) having the red node undock from its parent node (if it was docked with its parent node before it received the propagation signal);

(iii) devising a first connection address list comprising the address of the red node's sibling node which had the had the highest propagation rating prior to the red node's receiving the propagation signal followed by the red node's ancestor list;

(iv) having said red node go to (or attempt to go to) the node at the top of said first connection address list;

(v) having said red node determine whether the node at the top of said first connection address list is part of the distribution network;

(vi) if the node at the top of said first connection address list is not part of the distribution network, deleting such node from said first connection address list and repeating steps (c)(iv) and (c)(v) with respect to the next node at the top of said first connection address list;

(vii) if the node at the top of said first connection address list is part of said distribution network, determining whether the node at the top of said first connection address list has available capacity to transmit content data to said red node; and

(viii) if the node at the top of said first connection address list is part of said distribution network and has available capacity to transmit content data to said red node, having said red node dock with the node at the top of said first connection address list (with regard to these steps (vii) and (viii), in another example (which example is intended to be illustrative and not restrictive), when a red node connects to a green node as part of a network reconfiguration event, it does not matter whether there is "available capacity" or not for the green node to accept its incoming red sibling – the green node has no choice but to accept the node (these actions are facilitated in this example by the red node issuing a "priority join" to the green node; if the green node does not have "available capacity" it has to kick its own red child to make room for the incoming green node));

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(d) with respect to each child node receiving the propagation signal which had the highest propagation rating prior to its receiving a propagation signal (hereinafter referred to as a "green node"), upon its receiving the propagation signal:

(i) setting the propagation rating of that green node to the lowest grade;

(ii) having the green node retransmit the propagation signal to its child nodes (if it has any);

(iii) determining whether the green node is docked with the node from which the green node received the propagation signal and if it is, having the green node remain docked with said node from which the green node received the propagation signal, and if it is not, devising a second connection address list starting with said node from which the green node received the propagation signal and followed by that portion of said green node's ancestor list extending back to the primary server from said node from which the green node received the propagation signal;

(iv) having said green node go to (or attempt to go to) the node at the top of said second connection address list;

(v) having said green node determine whether the node at the top of said second connection address list is part of the distribution network;

(vi) if the node at the top of said second connection address list is not part of the distribution network, deleting such node from said second connection address list and repeating steps (d)(iv) and (d)(v) with respect to the next node at the top of said second connection address list;

(vii) if the node at the top of said second connection address list is part of said distribution network, determining whether said node at the top of said second connection address list has available capacity to transmit content data to said green node; and

(viii) if the node at the top of said second connection address list is part of said distribution network and has available capacity to transmit content data to said green node, having said green node dock with the node at the top of said second connection address list; and

(e) repeating steps (c) and (d) with respect to each user node which receives a retransmitted propagation signal.

In one example, step (c)(iii) may include the following additional step: (1) waiting a predetermined period of time so as to allow the red node's sibling with the highest

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propagation rating prior to the red node's receipt of the propagation signal (hereinafter the "green node") to retransmit the propagation signal to the green node's child nodes (if any).

In another embodiment relating to a computer information distribution network comprising an instructing node having a fist user node docked with said instructing node to receive content data therefrom and a second user node docked with said first user node to receive content data therefrom, a process for handling a purported communication interruption between said first user node and said second user node is provided, said process including the following steps:

(a) having said instructing node receive a first complaint about said first user node from said second user node;

(b) if the second user node is the only user node docked with said first user node at a point in time, if said instructing node receives another complaint about the first user node from another user node within a predetermined period of time from when said instructing node received said first complaint or if said instructing node experiences a communication problem between it and said first user node during said predetermined period of time: (i) disconnecting said first user node from said instructing node; and

(c) if said instructing node has not experienced a communication problem between it and said first user node during said predetermined period of time and if a plurality of user nodes are docked with said first user node at said point in time and said instructing node has not received another complaint about said first user node from a user node other than said second user node within said predetermined period of time: (i) disconnecting said second user node from said first user node.

In one example, step (b) may include the following step: (ii) sending a signal to the user nodes docked with said first user node indicating the departure of said first user node from said network.

In another example, each user node may have no more than a predetermined maximum number of child nodes docked with it, and wherein step (b) may include the following steps

(ii) providing information at said point in time to each of said second user node, the other user nodes which had been docked with said first user node (if any) and at least one of any user nodes cascadingly connected in the distribution network under said first user node prior to said first user node's being disconnected from said instructing node (if any) as follows:

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(1) the node's propagation rating, wherein a propagation rating is one of a predetermined number of grades ranging from highest to second highest to third highest and so on, with the number of grades being equal to said predetermined maximum number;

(2) an ancestor list setting forth the node's ancestor nodes' addresses (if it has any ancestor nodes) back to the instructing node, with the node's parent node being atop the ancestor list;

(3) a sibling list of the node's sibling nodes' addresses (if it has any sibling nodes) and their respective propagation ratings; and

(4) a child list of the node's child nodes' addresses (if it has any child nodes) and their respective propagation ratings;

(iii) having said instructing node send a propagation signal to each of said second user node and the other user nodes which had been docked with said first user node (if any);

(iv) with respect to each user node receiving the propagation signal which did not have the highest propagation rating prior to its receiving the propagation signal (hereinafter referred to as a "red node"), upon its receiving the propagation signal:

(1) setting the propagation rating of the red node to the next higher grade above the propagation rating of that red node before it received the propagation signal;

(2) having the red node undock from its parent node (if it was docked with its parent node before it received the propagation signal); and

(3) having the red node dock with its sibling node which had the highest propagation rating prior to the red node's receiving the propagation signal;

(v) with respect to each user node receiving the propagation signal which had the highest propagation rating prior to its receiving a propagation signal (hereinafter referred to as a "green node"), upon its receiving the propagation signal:

(1) setting the propagation rating of that green node to the lowest grade;

(2) having the green node retransmit the propagation signal to its child nodes (if it has any); and

(3) determining whether the green node is docked with the node from which the green node received the propagation signal and if it is, having the green node remain docked with said node from which the green node received the propagation signal, and if it is not, having the green node dock with said node from which the green node received the propagation signal; and

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(vi) repeating steps (iv) and (v) with respect to each user node which receives a retransmitted propagation signal.

In another embodiment a distribution network for the distribution of content data from a server node to user nodes, wherein said user nodes are connected to said server and each other in cascaded relationship is provided, wherein:

(a) at least one of said user nodes is a repeater node connected directly to said server node, wherein said repeater node retransmits content data received by it to a user node docked to it for purpose of receiving content data from said repeater node (hereinafter referred to as a "child node"); and

(b) wherein each repeater node has the ability to provide to a user node which is attempting to dock with said repeater node connection address instructions.

In one example, each repeater node may include a descendant database indicating:

(i) which child nodes, if any, at a point in time, are docked with it so as to receive content data from said repeater node, and

(ii) which user nodes, if any, at said point in time, are purportedly docked with each of said child nodes.

In another example, the connection address instructions may refer said user node which is attempting to dock with said repeater node to a node in said descendant database.

In another example, each user node may include an ancestor database indicating to which node said user node is docked so that said user node may receive content data therefrom (hereinafter referred to as a "parent node"), and to which node, if any, at said point in time, said parent node is docked so that it may receive content data therefrom.

In another example, if said parent node of said user node departs from said distribution network, said user node may contact another node on its ancestor database.

In another example, each child node of a repeater node may include a sibling database indicating which user nodes, if any, are also child nodes of said repeater node.

In another embodiment a distribution network for the distribution of content data from a server node to user nodes is provided, wherein n levels of user nodes are cascadingly connected to said server node, wherein n is a number greater than one, wherein each user node includes a delay which causes the playing of content data by such node to be delayed a period of time (hereinafter "delay time") from a point in time, and wherein delays in higher level nodes create greater delay times than do delays in lower level nodes.

In another embodiment a distribution network for the distribution of content data from a server node to user nodes is provided, wherein n levels of user nodes are cascadingly

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connected to said server node, wherein n is a number greater than one, wherein each user node includes a delay which causes the playing of content data by such node to be delayed a period of time (hereinafter "delay time") from a point in time, wherein x is a number from and including 2 to and including n, and wherein delays in (x-1) level nodes create greater delay times than do delays in x level nodes.

In one example, said point in time is an event experienced approximately simultaneously by substantially all user nodes.

In another example, said point in time is when said node receives content data.

While a number of embodiments and examples of the present invention have been described, it is understood that these embodiments and examples are illustrative only, and not restrictive, and that many modifications may become apparent to those of ordinary skill in the art. For example, any desired branch factor may be used (*see*, e.g., FIG. 46A showing a branch factor of 1 (i.e., each parent node may have only one child node); FIG. 46B showing a branch factor of 2 (i.e., each parent node may have one or two children nodes); and FIG. 46C showing a branch factor of 3 (i.e., each parent node may have one, two or three children nodes)). Of course, other branch factors (e.g., 4, 5, 6, etc) may be used and, as discussed above, the distribution network may be a hybrid network utilizing subtrees having different branch factors). Further still, a modified Utility Rating may be determined as follows: Utility Rating = Time (of connection) x Bandwidth Rating. Further still, a node ID may be based upon: (a) an IP address (external/internal/DHCP); (b) a URL; and/or (c) a Port. Further still, the root server may propagate "its" time upon assignment of a connection and the user node may get a "delta" (i.e., difference) from its clock to use (in the event of a reset the user node may re-acquire the time from the server (or from another time-providing source)). Further still, the various time intervals discussed herein may be predetermined time intervals (e.g., .5 sec., 1 sec, 1 min.) and/or the time intervals may be based upon some varying criteria (e.g., flow of data in the network, number of nodes in the network, number of levels in the network, position of a given node in the network). Further still, partial propagation of data (e.g., ancestor data and/or descendent data) from one or more nodes may be permitted. Further still (as discussed above), one or more of the steps described herein may be omitted (and the various steps which are performed may not necessarily need to be carried out in the order described herein (which description was intended to represent a number of examples, and not be restrictive)). Further still, the root server and each of the user nodes may utilize essentially identical software for carrying out the network configuration, reconfiguration and data transfer operations described herein or the root server may have dedicated server software

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while the user nodes have different, dedicated user node software. Further still, the entire distribution network (e.g., the root server and all of the user nodes) may have the same branch factor (e.g., a branch factor of 2) or the user nodes may have a first branch factor (e.g., a branch factor of 2) and the root server may have a second branch factor (e.g., the root server may be capable of acting as a parent to more user nodes than 2). Further still, heuristics may be employed to drive network configuration, reconfiguration and/or data flow (e.g., based upon historic network configuration, reconfiguration and/or data flow).

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CLAIMS

1. A method for propagating a network topology model of a binary tree topology network through the network, the network including a plurality of nodes, including a root node and at least one leaf node, operatively networked together to provide a communications path for data, each of the plurality of nodes except the root node being docked downtree of a parent node, the parent node being one of the plurality of nodes, and each of the plurality of nodes adapted to be docked uptree of a first child node and a second child node, each of the at least one leaf nodes having none of the plurality of nodes docked downtree of and therewith, the method comprising the steps of:

each of the at least one leaf nodes providing a propagate network topology model message containing the address of the providing leaf node to the parent node docked uptree of and with the providing leaf node;

for each of the plurality of nodes receiving the propagate network topology model message from each child node docked downtree of and therewith:

forming a network topology model representing one or more paths from the receiving node to each one of the plurality of nodes downtree of the receiving node;

storing in a memory accessible to the receiving node, the network topology model so formed;

providing to the parent node docked uptree of and with the receiving node a propagate network topology model message containing the network topology model so formed,

thereby propagating a network topology model through the network.

2. The method of claim 1, wherein the communications path for data comprises a downtree communications path.

3. The method of claim 1, wherein the communications path for data comprises a downtree communications path for data and an uptree communications path for data.

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4. The method of claim 1, wherein the step of each of the at least one leaf nodes providing a propagate network topology model message is carried out a plurality of times.

5. The method of claim 1, wherein the step of each of the at least one leaf nodes providing a propagate network topology model message is carried out periodically.

6. The method of claim 1, wherein a first node being one of the plurality of nodes, but not a leaf node, receives a register message from a second node that is not part of the network, and wherein the first node is docked uptree of a first child node and a second child node, each of the first child node and the second child node being one of the plurality of nodes, the method further comprising the steps of:

searching the network topology model stored in the memory of the first node for a path to an open node, the open node being one of the plurality of nodes that the network topology model shows as not being docked uptree of and with two child nodes;

sending a path message to the second node containing at least an address of the open node.

7. The method of claim 6, wherein the path message comprises a path from the open node to the first node.

8. The method of claim 6, wherein the searching step comprising finding the open node such that a connection to the open node keeps the binary topology network balanced.

9. The method of claim 6, wherein step of searching further comprises the steps of:

searching consecutively further down hierarchical levels of the binary tree represented in the network topology model stored in the memory of the first node:

first to locate a path representing a node not being docked uptree of and with any child nodes, and if no such path is located,

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then to locate a path representing a node not being docked uptree of and with two child nodes, and

repeating the searching step until one such path is located; and

designating the located path as a path to the open node.

10. A method for propagating a network topology model of a binary tree topology network through the network, the network including a plurality of nodes, including a root node and at least one leaf node, operatively networked together to provide a communications path for data, each of the plurality of nodes except the root node being docked downtree of a parent node, the parent node being one of the plurality of nodes, and each of the plurality of nodes adapted to be docked uptree of a first child node and a second child node, each of the at least one leaf nodes having none of the plurality of nodes docked downtree of and therewith, the method comprising the steps of:

providing a propagate path message from the root node to each of the plurality of nodes that are docked downtree of and with the root node, the propagate path message indicating an address of the root node;

for each of the plurality of nodes receiving the propagate path message from the root node or from another one of the plurality of nodes:

storing in a memory accessible to the receiving node, the path to the root node stored in the propagate path message;

if the receiving node has at least one of the plurality of nodes docked downtree of and therewith, adding to the propagate path message an address of the receiving node; and

providing the propagate path message to each of the plurality of nodes docked downtree of and with the receiving node, the propagate path message a path to the root node;

thereby propagating a root path of a binary tree topology network through the network.

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11. The method of claim 10, wherein the communications path for data comprises a downtree communications path for data.

12. The method of claim 10, wherein the communications path for data comprises a downtree communications path for data and an uptree communications path for data.

13. The method of claim 10, wherein the step of providing a propagate path message is carried out a plurality of times.

14. The method of claim 10, wherein the step of providing a propagate path message is carried out periodically.

15. A method for propagating a network topology model of a binary tree topology network through the network, the network including a plurality of nodes, including a root node and at least one leaf node, operatively networked together to provide a communications path for data, each of the plurality of nodes except the root node being docked downtree of a parent node, the parent node being one of the plurality of nodes, and each of the plurality of nodes adapted to be docked uptree of a first child node and a second child node, each of the at least one leaf nodes having none of the plurality of nodes docked downtree of and therewith, the method comprising the steps of:

each of the at least one leaf nodes, providing a propagate network topology model message containing the address of the providing leaf node to the parent node docked uptree of and with the providing leaf node;

for each of the plurality of nodes receiving the propagate network topology model message from each child node docked downtree of and therewith:

forming a network topology model comprising a path from the receiving node to each one of the plurality of nodes downtree of the receiving node;

storing in a memory accessible to the receiving node, the network topology model so formed;

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providing to the parent node docked uptree of and with the receiving node a propagate network topology model message containing the network topology model so formed,

providing a propagate path message from the root node to each of the plurality of nodes that are docked downtree of and with the root node, the propagate path message indicating an address of the root node;

for each of the plurality of nodes receiving propagate path message from the root node or from another one of the plurality of nodes:

storing in a memory accessible to the receiving node, the path to the root node stored in the propagate path message;

if the receiving node has at least one of the plurality of nodes docked downtree of and therewith, adding to the propagate path message an address of the receiving node; and

providing the propagate path message to each of the plurality of nodes docked downtree of and with the root node.

16. The method of claim 15, wherein the communications path for data comprises a downtree communications path for data.

17. The method of claim 15, wherein the communications path for data comprises a downtree communications path for data and an uptree communications path for data.

18. The method of claim 15, wherein the step of providing a propagate network topology model message is carried out a plurality of times.

19. The method of claim 15, wherein the step of providing a propagate network topology model message is carried out periodically.

20. The method of claim 15, wherein the step of providing a propagate path message is carried out a plurality of times.

21. The method of claim 15, wherein the step of providing a propagate path message is carried out periodically.

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22. The method of claim 15, wherein a first node being one of the plurality of nodes, but not a leaf node, receives a register message from a second node that is not part of the network, and wherein the first node is docked uptree of a first child node and a second child node, each of the first child node and the second child node being one of the plurality of nodes, the method further comprising the steps of:

searching the network topology model stored in the memory of the first node for a path to an open node, the open node being one of the plurality of nodes that the network topology model shows as not being docked uptree of and with two child nodes;

sending a path message to the second node containing at least an address of the open node.

23. The method of claim 22, wherein the path message comprises a path from the open node to the first node.

24. The method of claim 22, wherein the path message comprises a path from the open node to the root node.

25. The method of claim 22, wherein the searching step comprising finding the open node such that a connection to the open node keeps the binary topology network balanced.

26. The method of claim 22, wherein step of searching further comprises the steps of:

searching consecutively further down hierarchical levels of the binary tree represented in the network topology model stored in the memory of the first node:

first to locate a path representing a node not being docked uptree of and with any child nodes, and if no such path is located,

then to locate a path representing a node not being docked uptree of and with two child nodes, and

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repeating the searching step until one such path is located; and

designating the located path as a path to the open node.

27. A method for propagating a network topology model of a binary tree topology network through the network, the network including a plurality of nodes, including a root node and at least one leaf node, operatively networked together to provide a communications path for data, each of the plurality of nodes except the root node being docked downtree of a parent node, the parent node being one of the plurality of nodes, and each of the plurality of nodes adapted to be docked uptree of a first child node and a second child node, each of the at least one leaf nodes having none of the plurality of nodes docked downtree of and therewith, the method comprising the steps of:

providing a banned list message from the root node to each of the plurality of nodes that are docked downtree of and with the root node, the banned list message comprising an identifier for each of a plurality of banned nodes;

for each of the plurality of nodes receiving the banned list message from the root node or from another one of the plurality of nodes:

storing in a memory accessible to the receiving node, the identifiers for each of the plurality of banned nodes; and

providing the banned list message to each of the plurality of nodes docked downtree of and with the receiving node;

thereby propagating the list of banned nodes through the network.

28. The method of claim 27, wherein the communications path for data comprises a downtree communications path for data.

29. The method of claim 27, wherein the communications path for data comprises a downtree communications path for data and an uptree communications path for data.

30. The method of claim27, further comprising the step of rejecting a register message from a new node that is not part of the network if the node is identified on the banned list.

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31. A method for propagating a network topology model of a binary tree topology network through the network, the network including a plurality of nodes, including a root node and at least one leaf node, operatively networked together to provide a communications path for data, each of the plurality of nodes except the root node being docked downtree of a parent node, the parent node being one of the plurality of nodes, and each of the plurality of nodes adapted to be docked uptree of a first child node and a second child node, each of the at least one leaf nodes having none of the plurality of nodes docked downtree of and therewith, the method comprising the steps of:

the first node sending a request firewall status message over a first channel to one of the plurality of nodes that is docked with the first node;

the one of the plurality of nodes receiving the request firewall status message sending at least one response message to the first node over a separate channel; and

the first node determining its firewall status based upon whether it receives the response message.

32. The method of claim 31, wherein the communications path for data comprises a downtree communications path for data.

33. The method of claim 31, wherein the communications path for data comprises a downtree communications path for data and an uptree communications path for data.

34. The method of claim 31, wherein the step of sending at least one response message comprises the steps of:

sending a first response message to the first node over a separate channel;

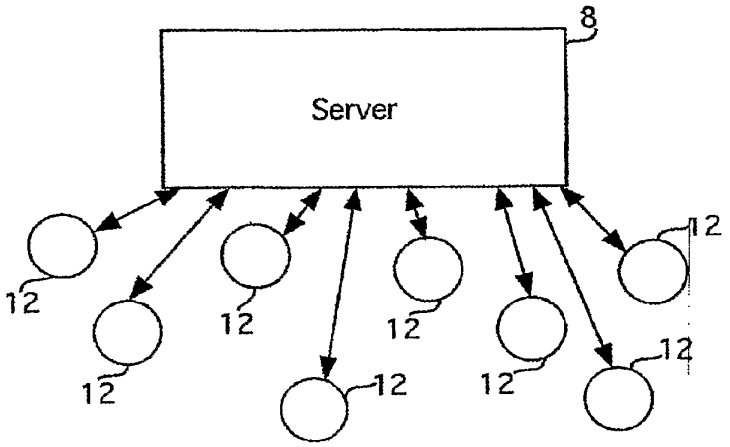
waiting a predefined period for an acknowledgement of the first response message, and if no acknowledgement is received, sending a second response message to the first node over the separate channel;

waiting a predefined period for an acknowledgement of the second response message, and if no acknowledgement is received, sending a third response message to the first node over the separate channel; and

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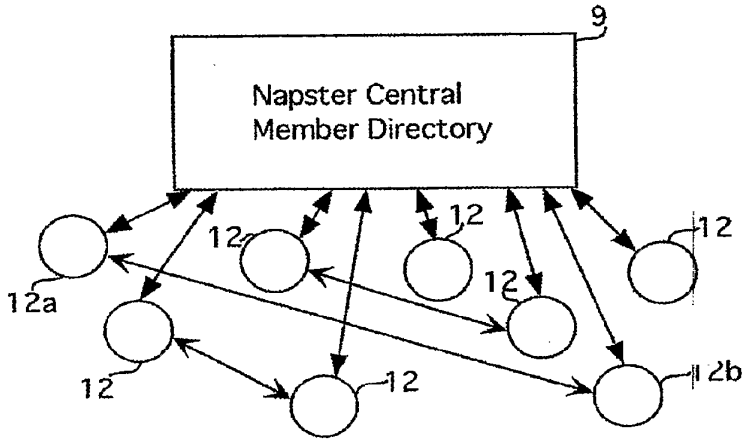
waiting a predefined period for an acknowledgement of the third response message, and if no acknowledgement is received, sending a firewall status on message to the first node over the first channel.

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PRIOR ART

Fig. 1



PRIOR ART

Fig. 2

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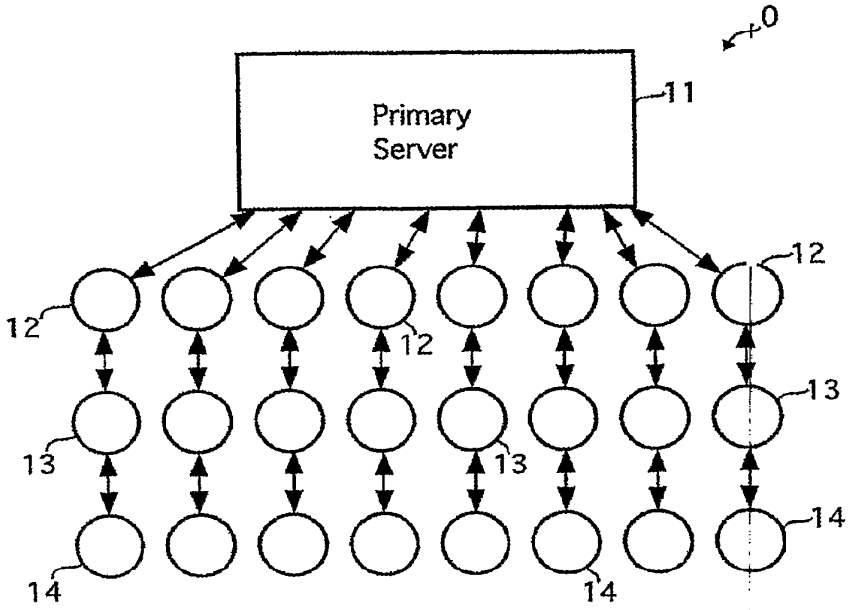


Fig. 3

"Start" [illegible]

[illegible]

Corresponds to [illegible]

application [illegible]

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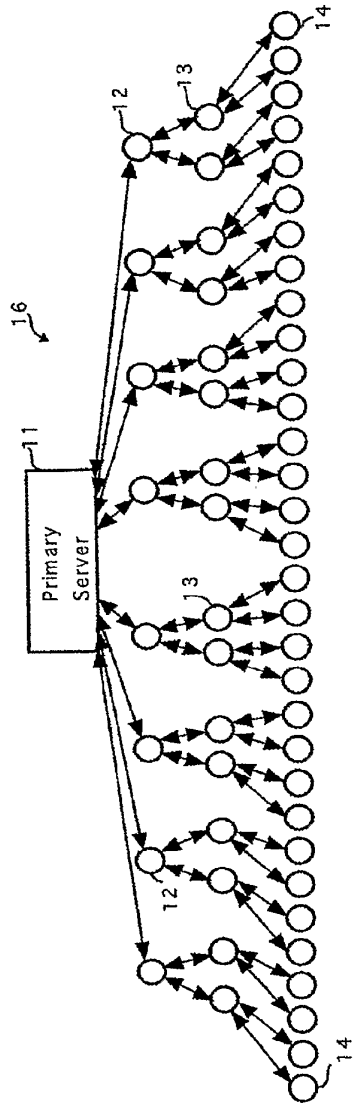


Fig. 4

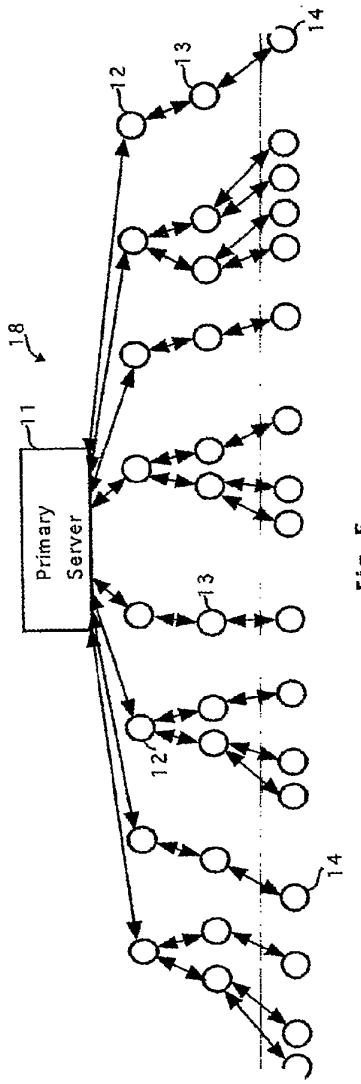


Fig. 5

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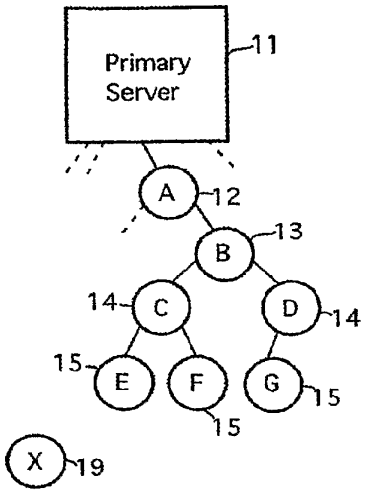


Fig. 6

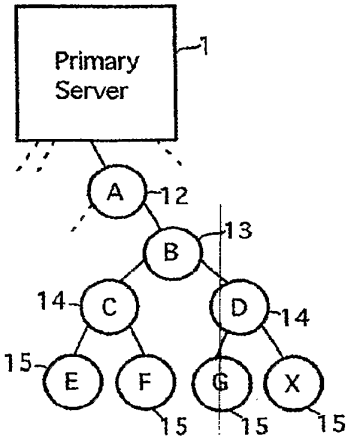


Fig. 7

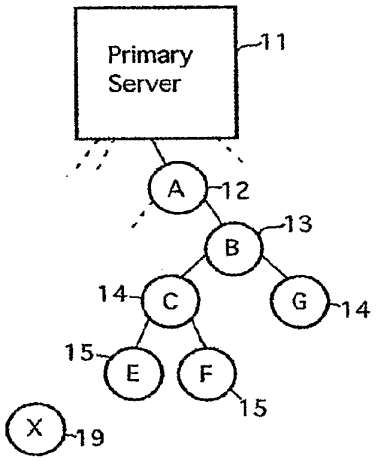


Fig. 8

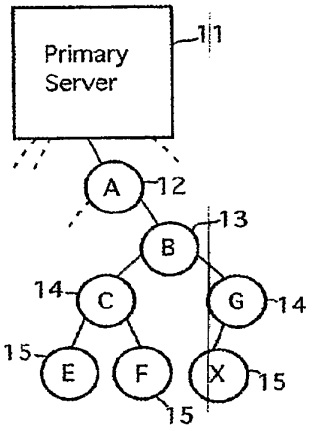


Fig. 9

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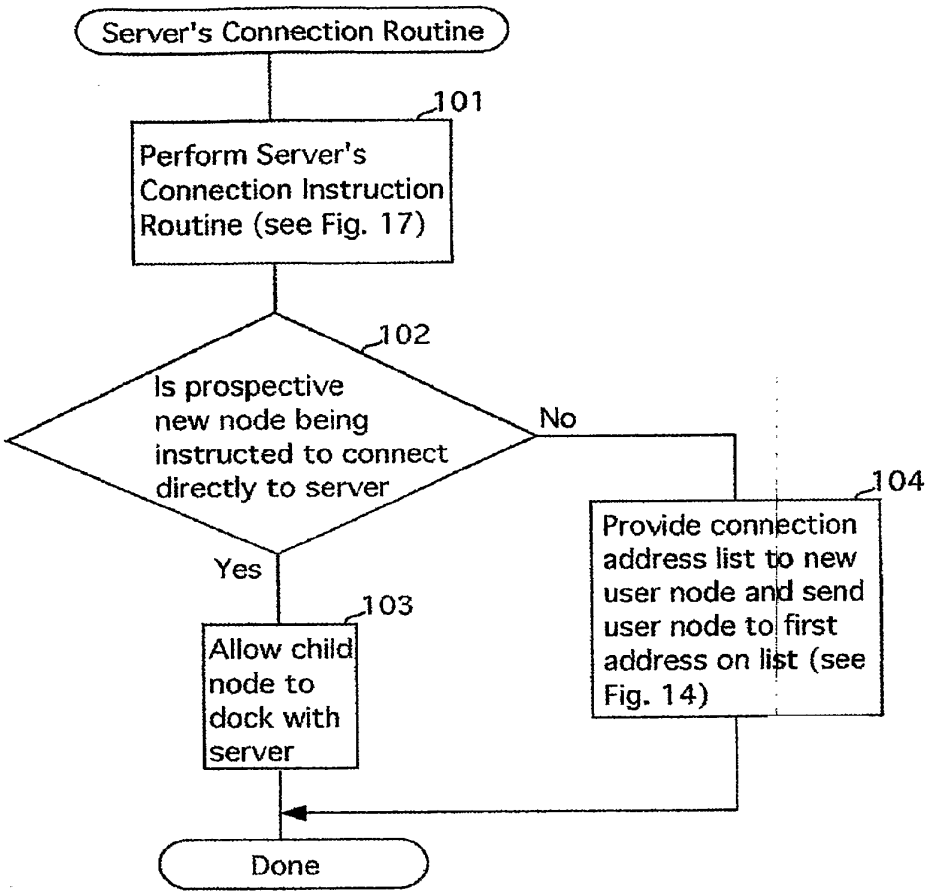


Fig. 10

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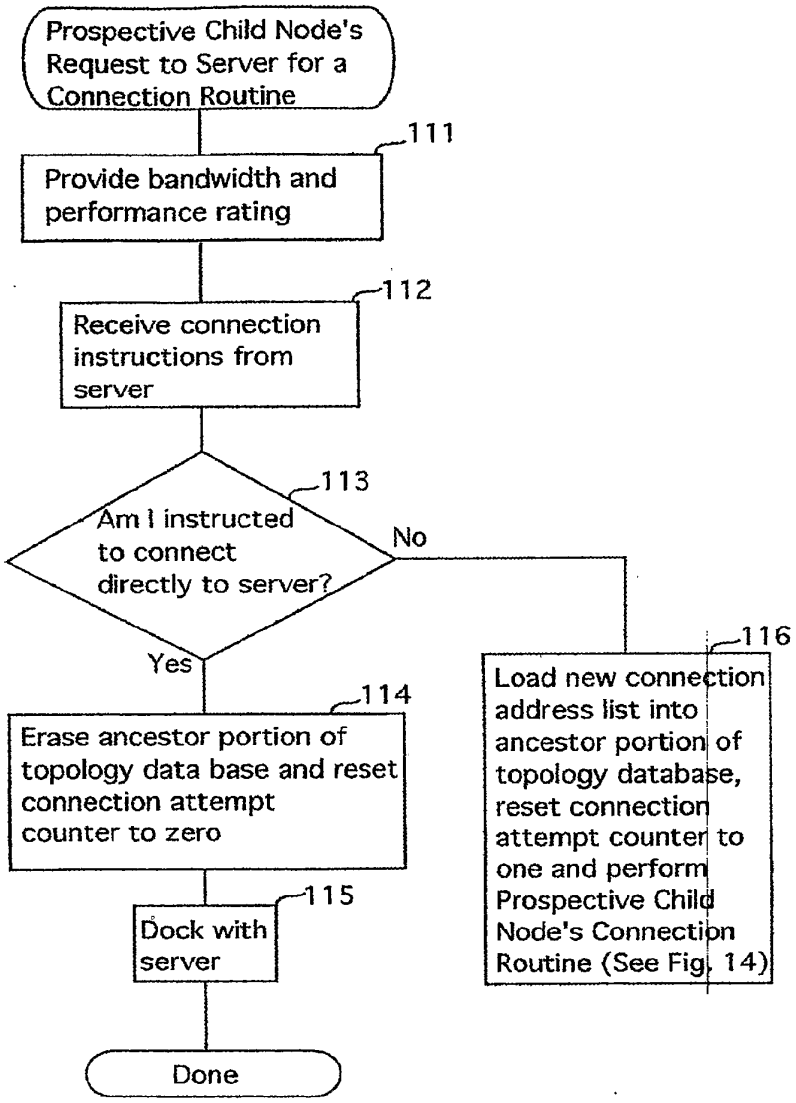


Fig. 11

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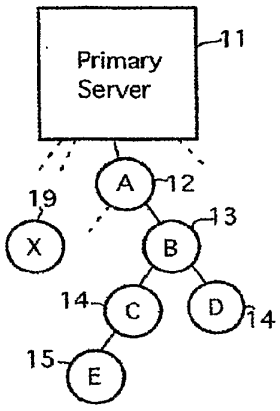


Fig. 12A

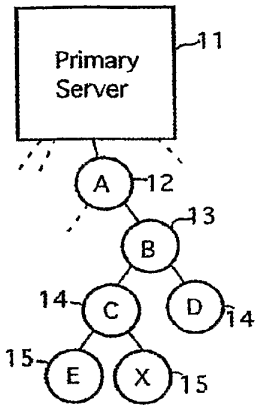


Fig. 12B

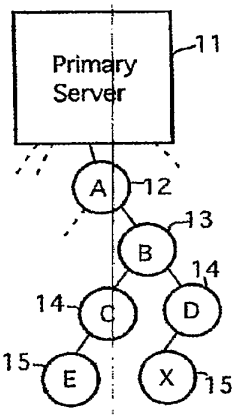


Fig. 12C

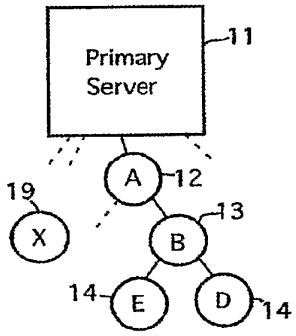


Fig. 12D

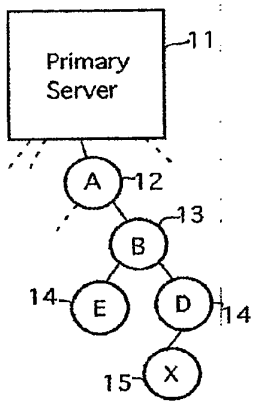


Fig. 12E

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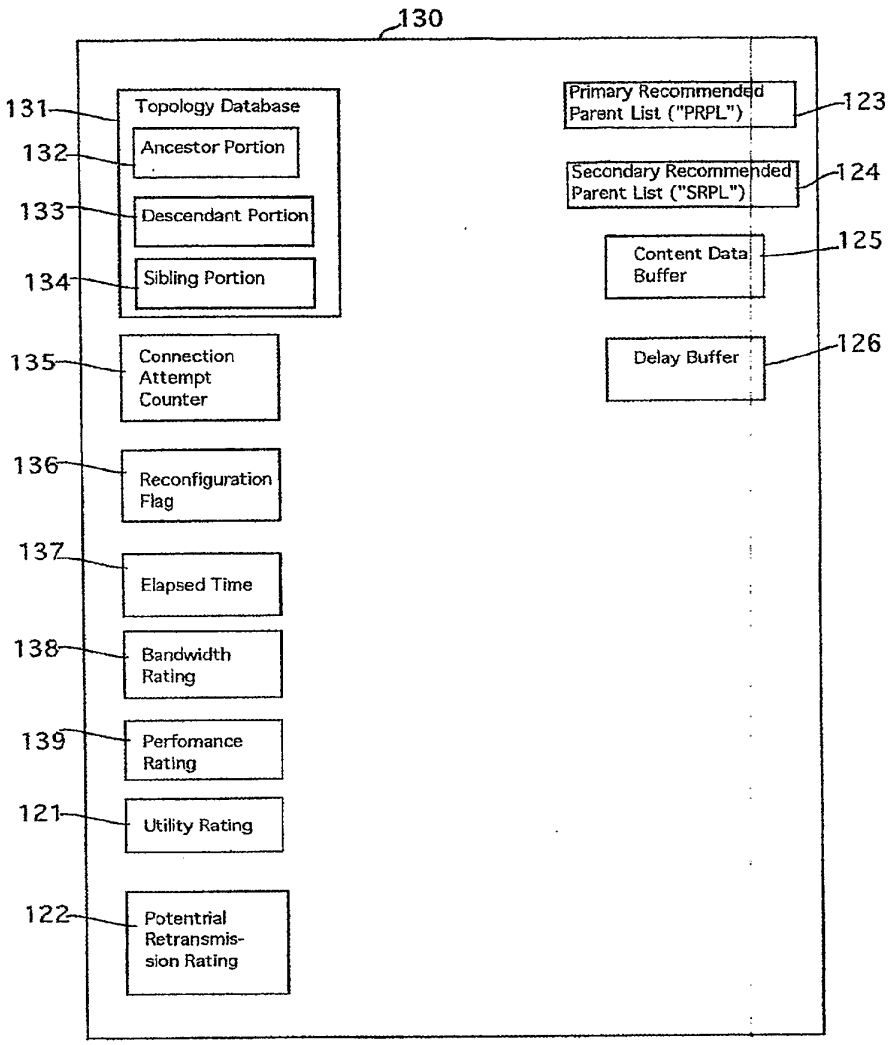


Fig. 13

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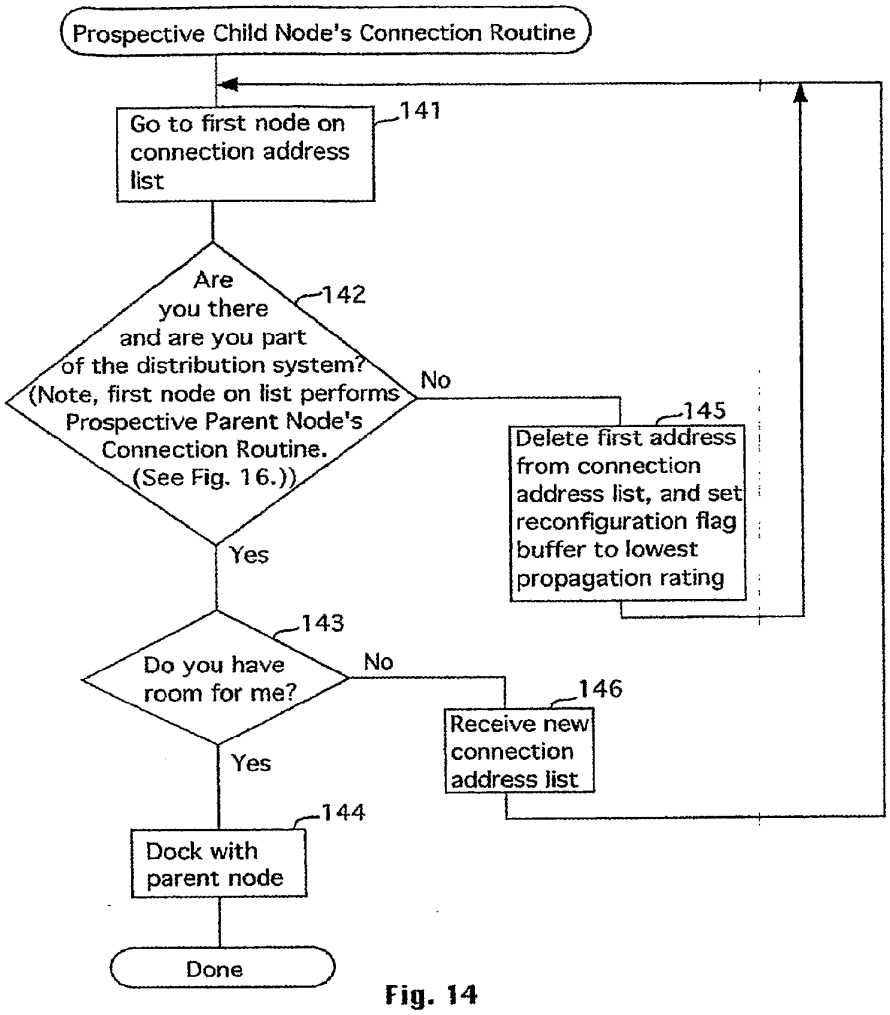


Fig. 14

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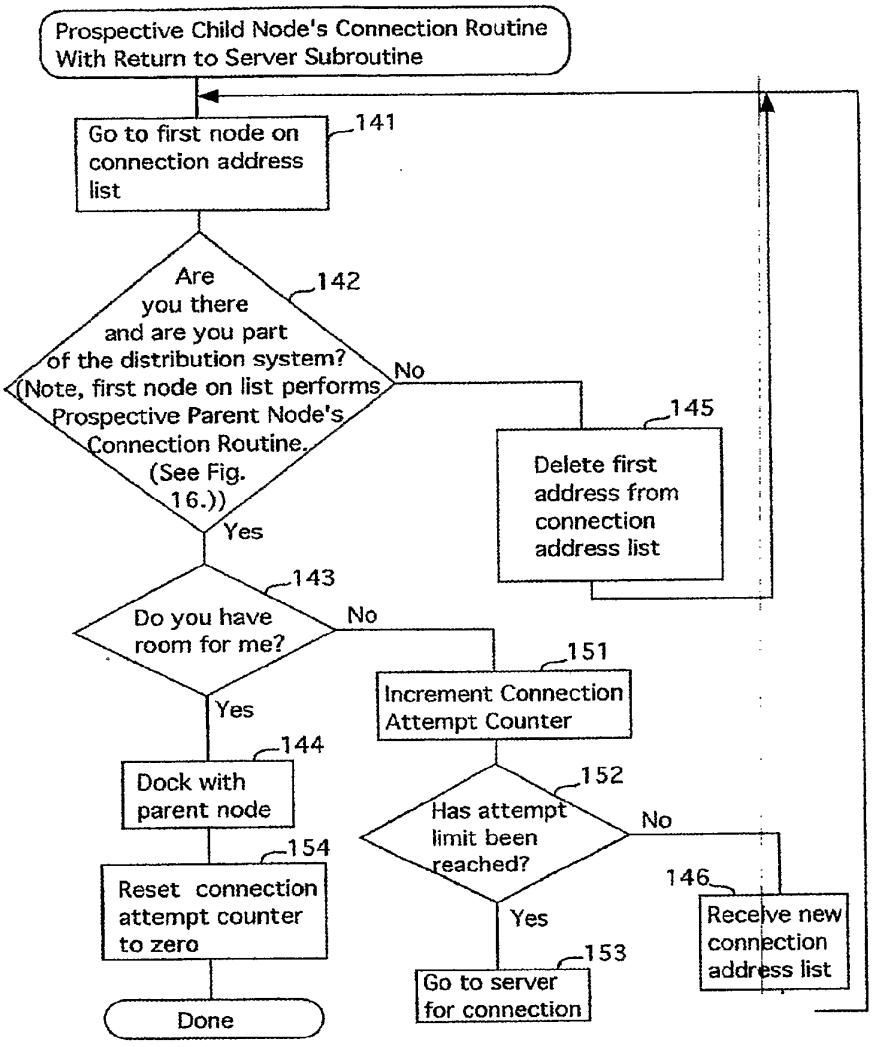


Fig. 15

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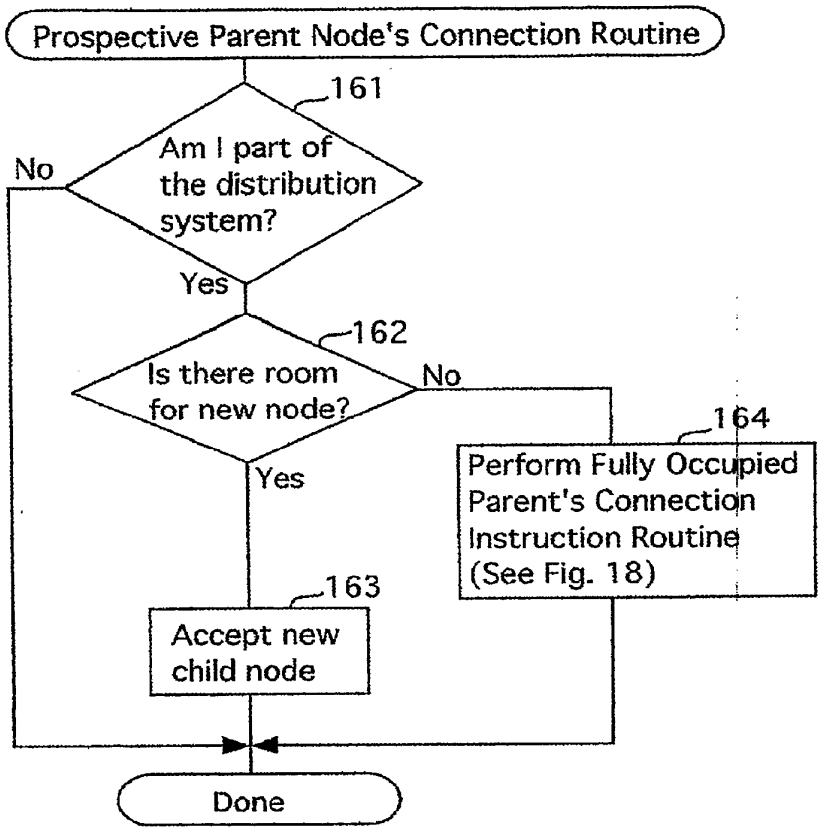


Fig. 16

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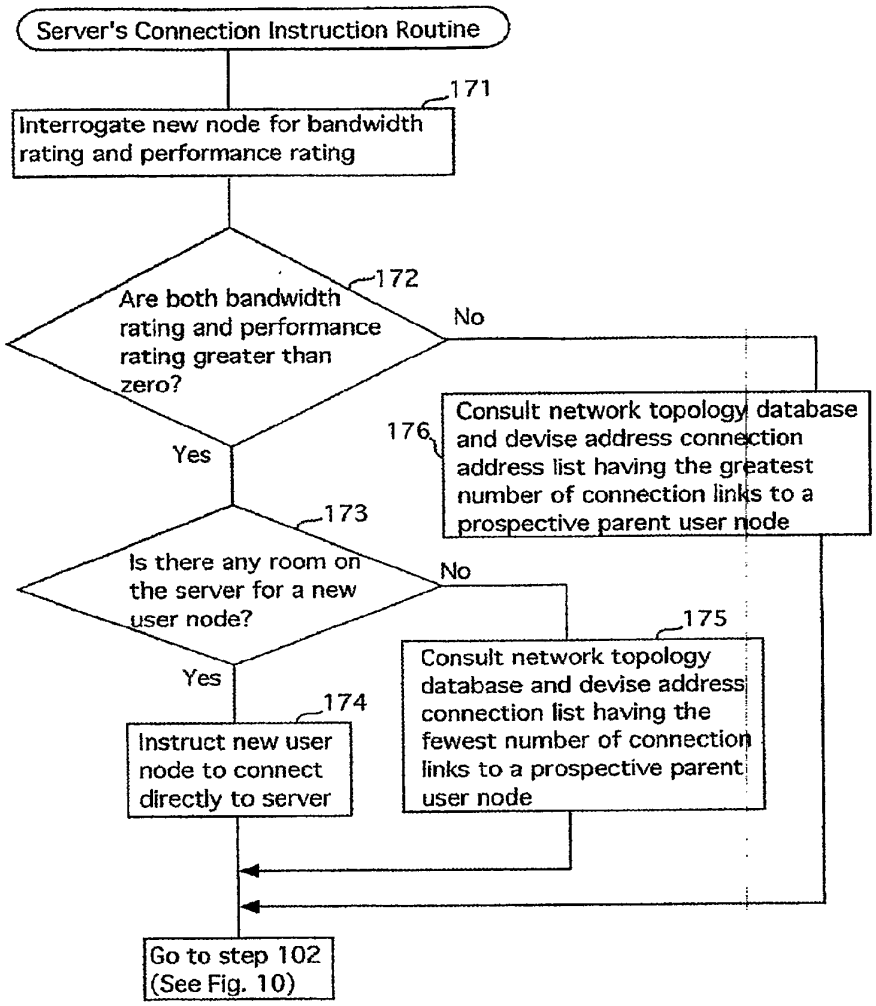


Fig. 17

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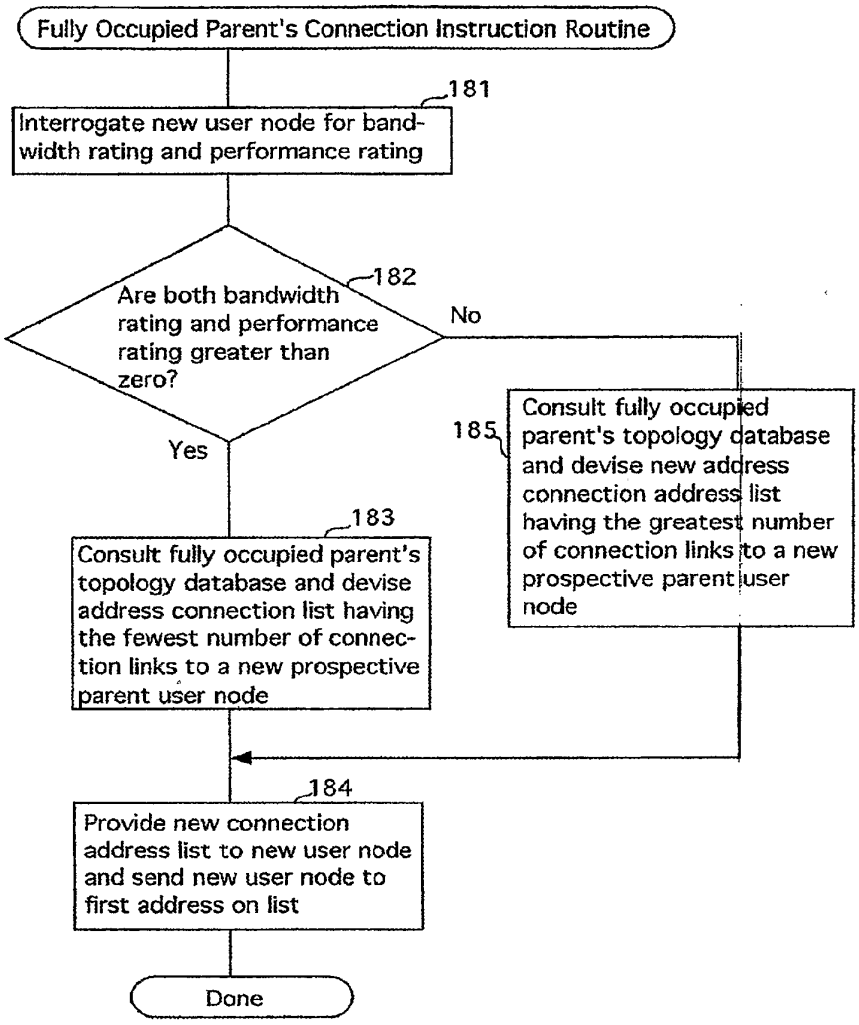


Fig. 18

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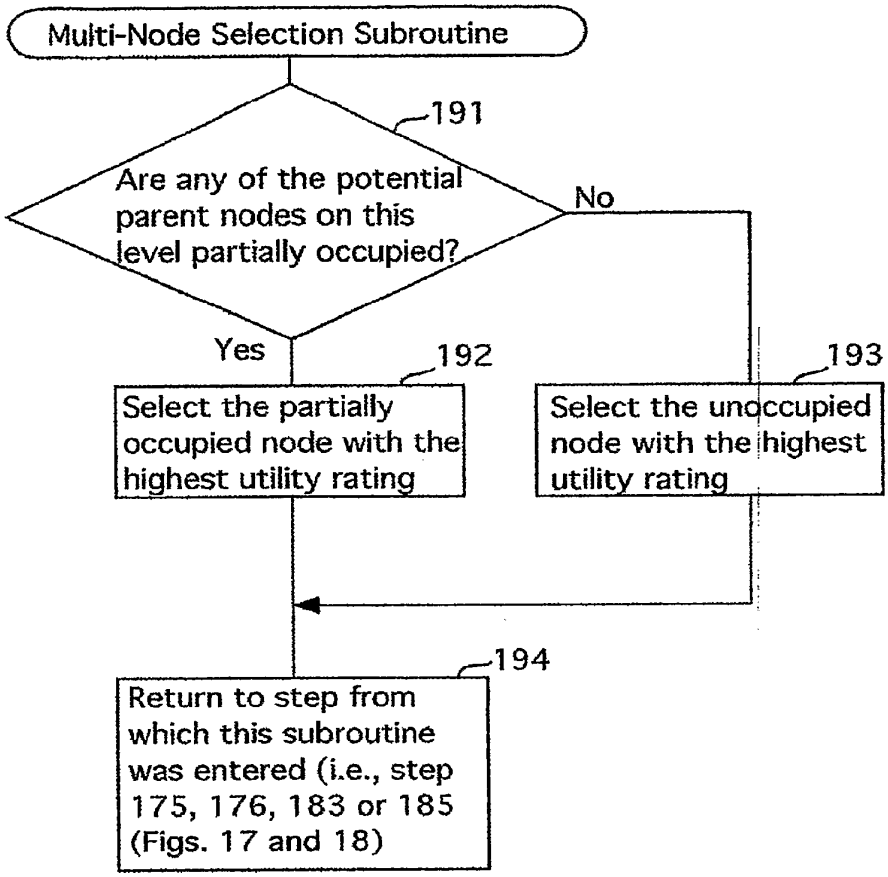


Fig. 19

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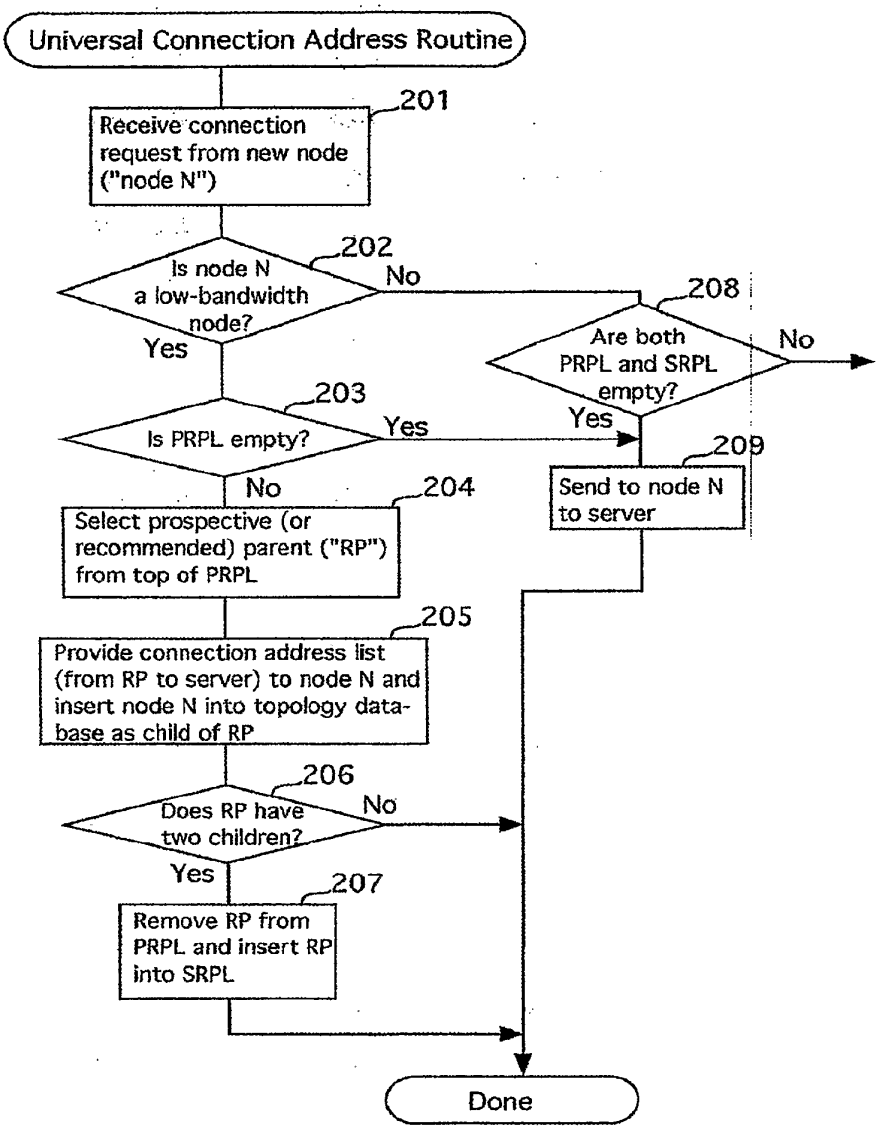


Fig. 20A

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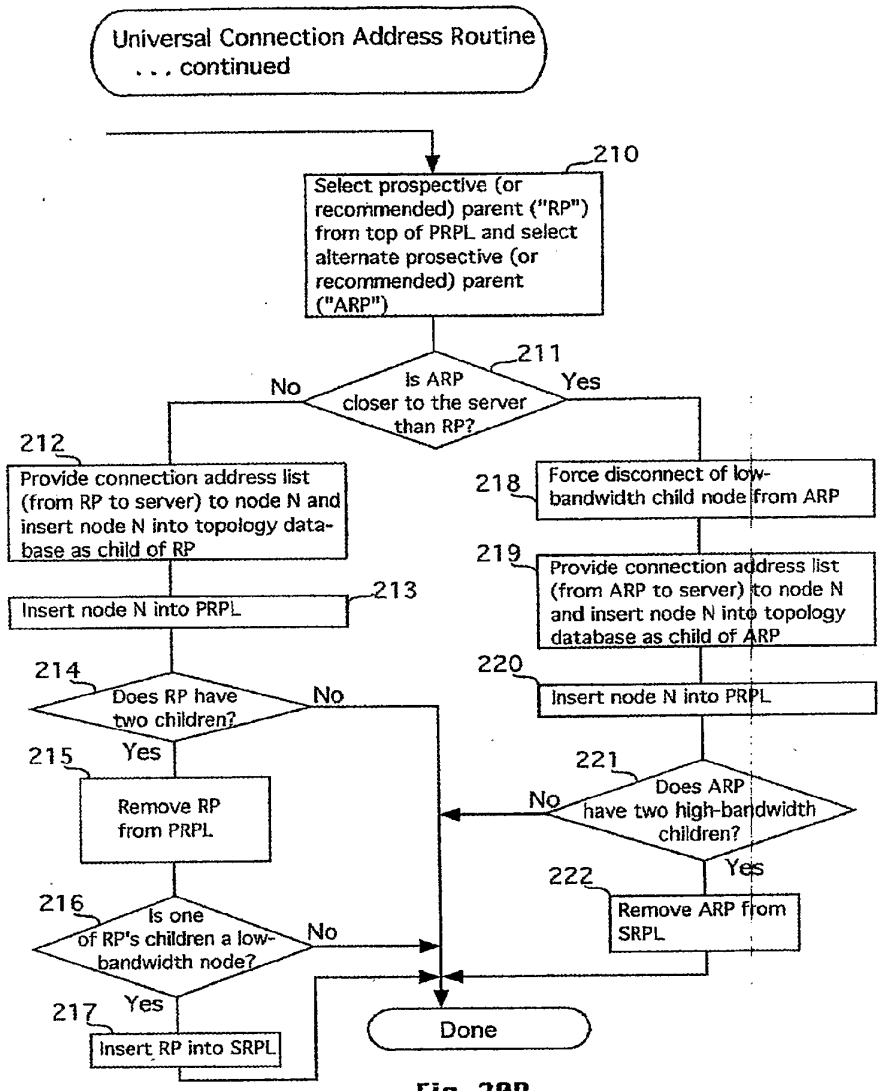


Fig. 20B

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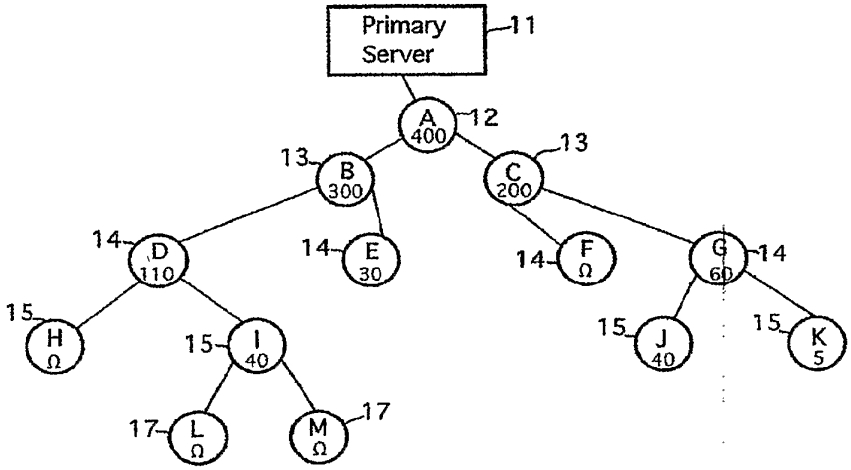


Fig. 21

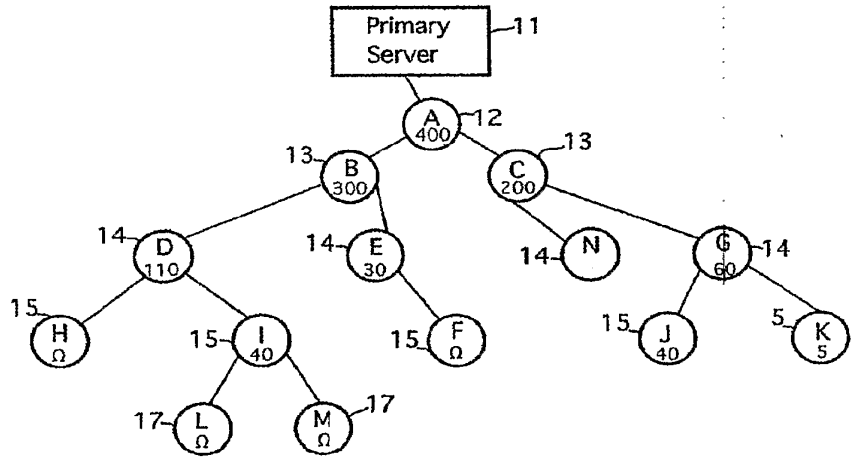


Fig. 22

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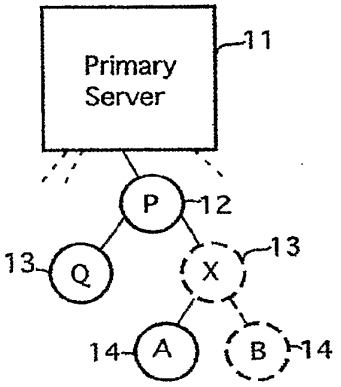


Fig. 23

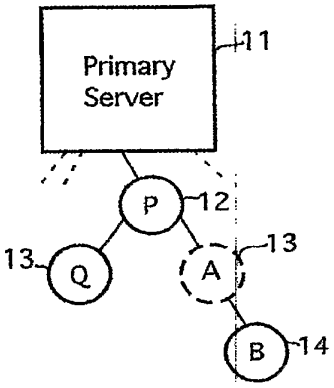


Fig. 24

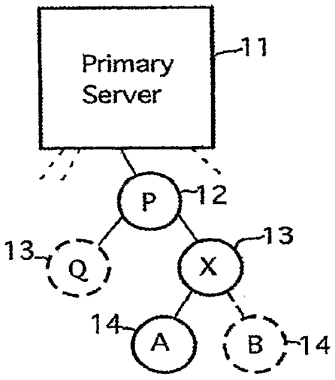


Fig. 25

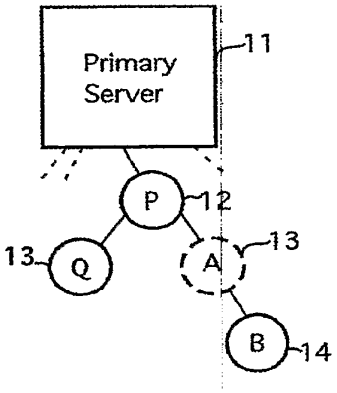


Fig. 26

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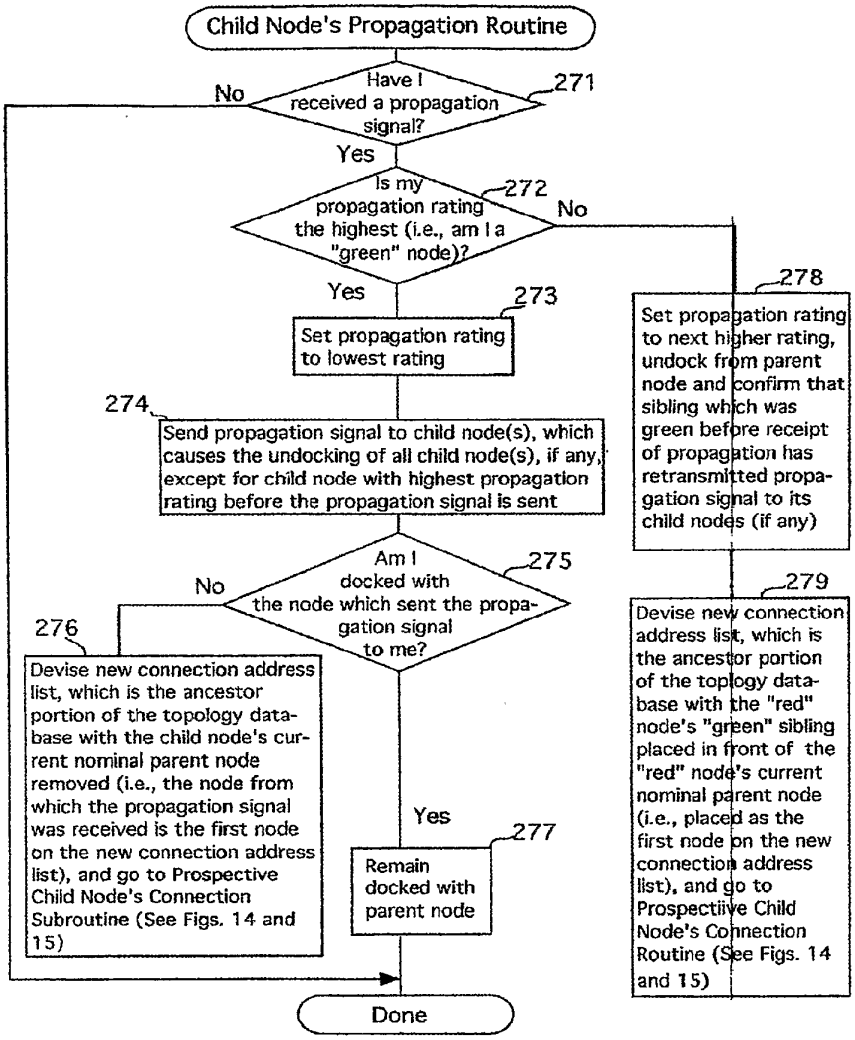


Fig. 27

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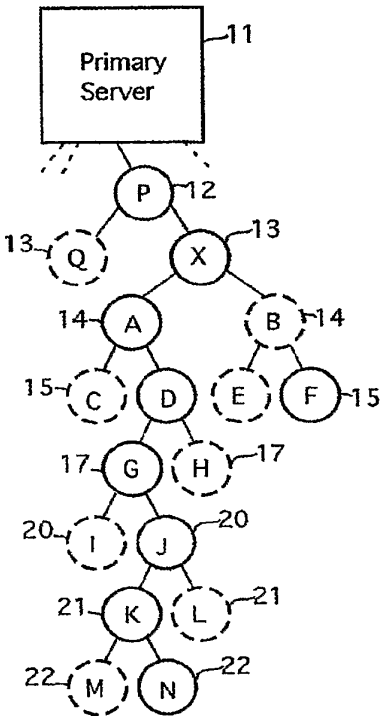


Fig. 28

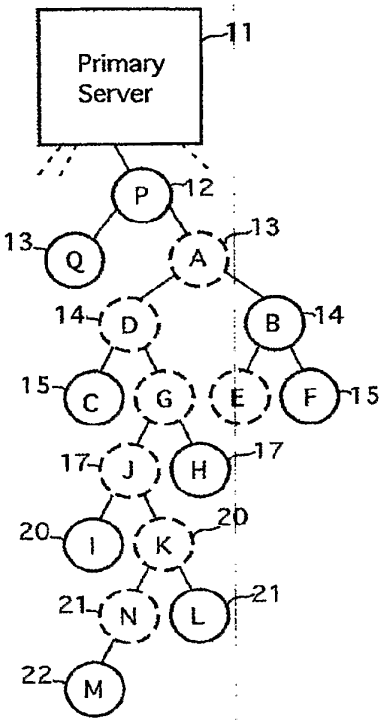


Fig. 29

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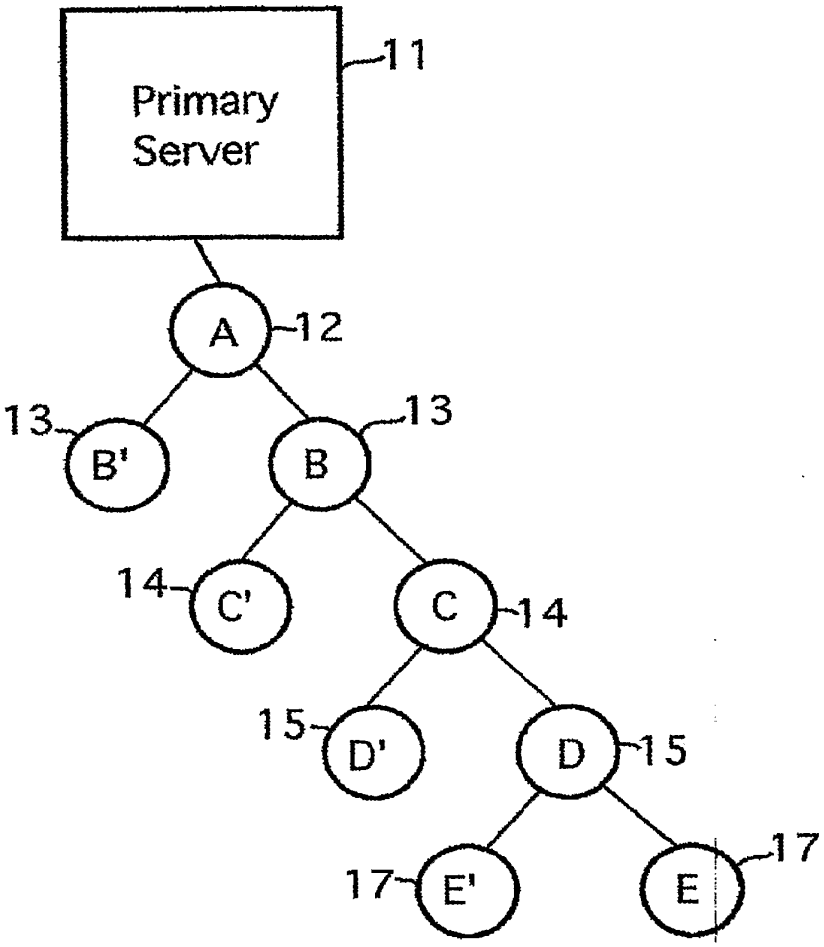


Fig. 30

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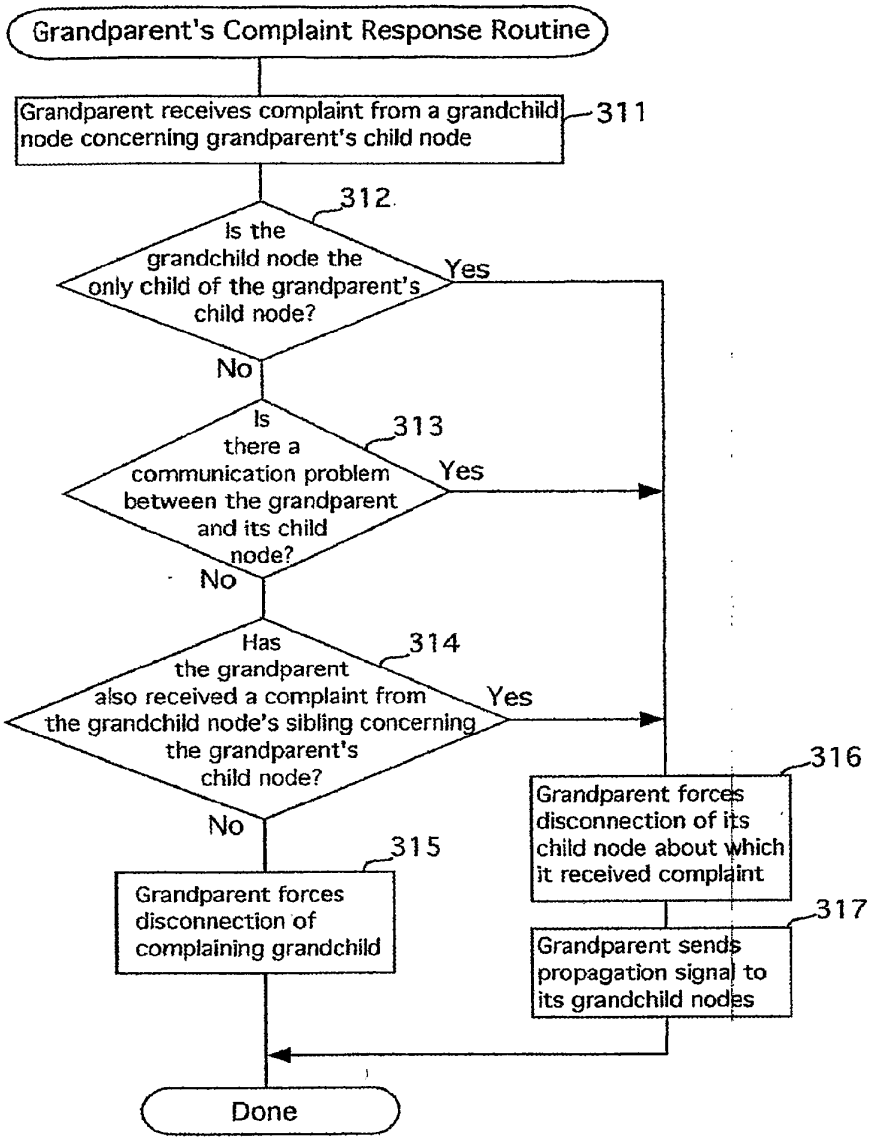


Fig. 31

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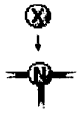


Fig. 32

Fig. 33A

Fig. 33B

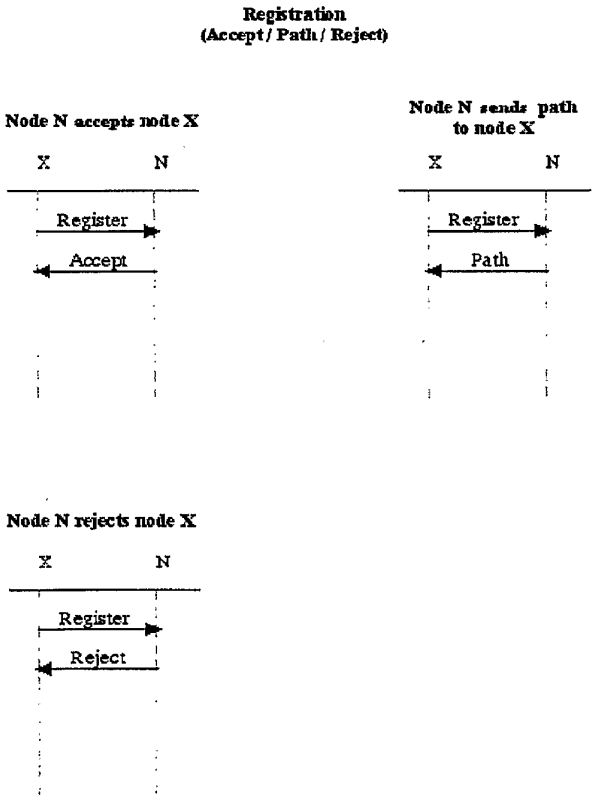


Fig. 33C

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Fig. 34A Fig. 34B

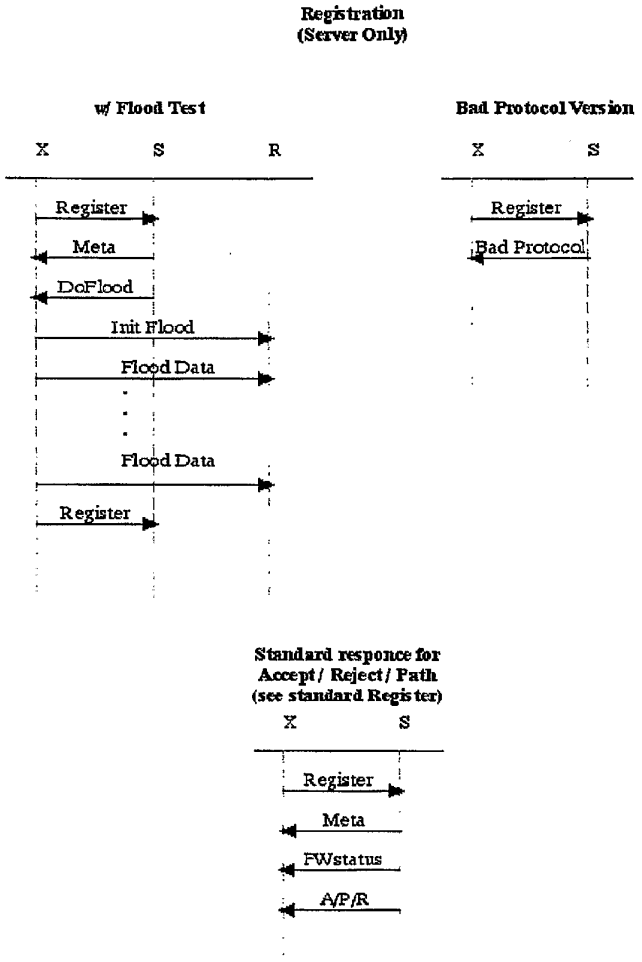


Fig. 34C

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Fig. 35A

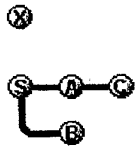
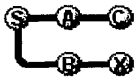


Fig. 35B



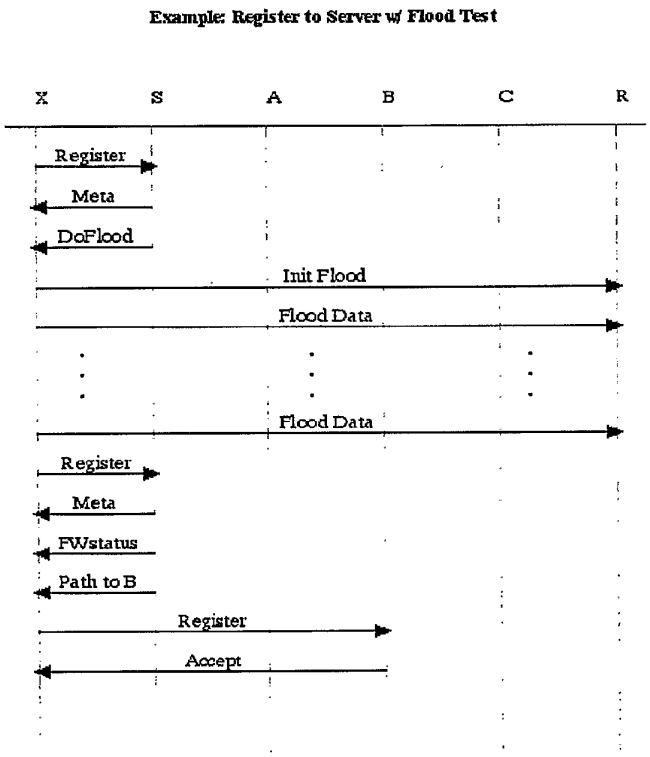


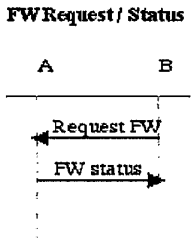
Fig. 36

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Fig. 37



Fig. 38



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Fig. 39A



Fig. 39B

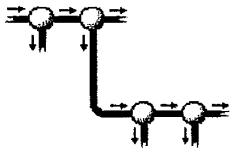
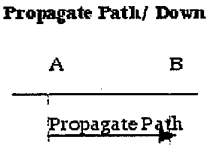


Fig. 40



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Fig. 41A



Fig. 41B

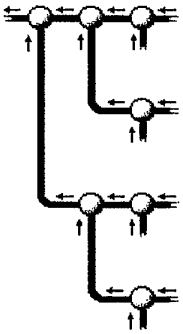
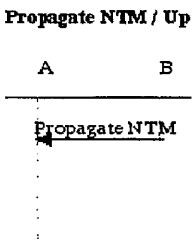


Fig. 42



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Fig. 43

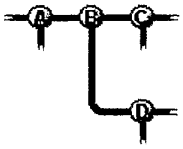
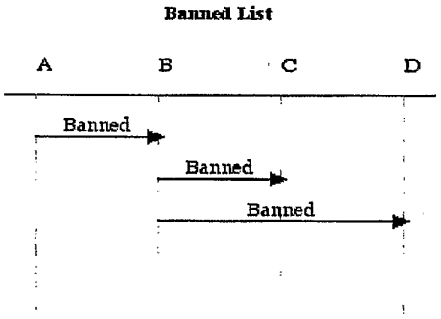


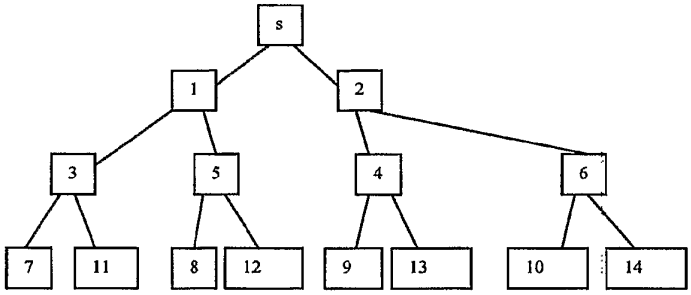
Fig. 44



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Fig. 43



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Fig. 46A

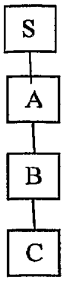


Fig. 46B

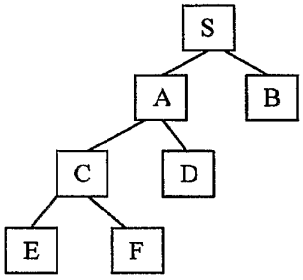
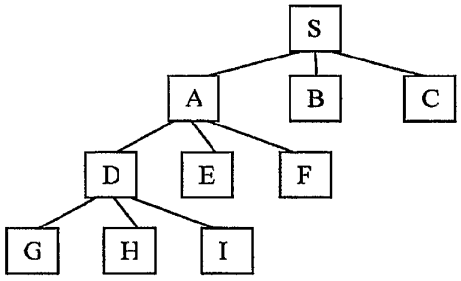


Fig. 46C



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EP 0 365 683 A1

(54) **COMPOSITION FOR INHIBITING ABSORPTION OF NUCLEIC ACID BASES.**

(57) A composition for inhibiting absorption of nucleic acid bases in a living body, which contains as an active ingredient a lower thio alkanoic acid represented by the general formula: R^1-S-R^2-COOH (wherein R^1 represents a hydrogen atom or a lower alkyl group, and R^2 represents a lower alkylene group optionally substituted by an amino group) or its salt. This composition is effective for prophylaxis and treatment of gout in virtue of its action of inhibiting absorption of nucleic acid bases.

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SPECIFICATION

Composition for inhibiting absorption of nucleic acid base

<Technical field>

This invention relates to a composition for inhibiting absorption of nucleic acid base, more specifically relates to a composition for inhibiting absorption of nucleic acid base which is the cause of a gout.

<Background art>

An intake amount of nucleic acid base (purine form and pyrimidine form) in recent Japanese meal is gradually increased, and approaches to that of Europeans and Americans, (i.e. 600 to 1000 mg/day). As a food including a large amount of nucleic acid base, example is an animal meat, an internal, e.g. a liver or a kidney, typically a fish meat of sardine, cod, carp, flatfish, trout or the like, shellfish, bacon, beef, chicken, sausage, pork, and these soups or extracts; a grain such as oatmeal, a bean such as kidney bean or pea; a vegetable such as asparagus, mushroom, spinach.

A purine form intaked into a living body is metabolized, and a mainmetabolite, xanthine is finaly

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oxidized to uric acid by xanthine oxidase (purine metabolism). In this case, when the intake amount of purine form is excessive, or when an endogenous purine-metabolism abnormality is recognized, by promotion of the purine metabolism, the uric acid concentration in a blood is increased to cause a hyperuricemia, and further due to continuation of it, gout is generated by accumulation of uric acid crystal in a joint.

Accordingly, alimentary therapy using a purine restriction meal in which the content of purine is decreases is conventionally adapted to a gout patient, especially a gout patient of acute arthritis attack term or renal insufficiency complication [for example, "Rinsho eiyo"("a clinical nutrition" in Japanese), 49, 319 (1976); "Kyoto igakukai zasshi" ("Magazine of Kyoto medical associate" in Japanese), 22, 37 (1973); "Saishin Igagu" ("Recent medicine" in Japanese), 29, 486 (1974); "JAMA", 15, 7, 1096 (1955) and the like].

However, even if employing such an alimentary therapy, the decreased amount of uric acid in blood is merely about 0.5 to 1.0 mg/dl. Also, the purine restricting meal is complicated, and due to an unbalanced nutrition and a mental anguish of the patient, this therapy is far from satisfactory.

Accordingly, under the present conditions, the intake

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of foods containing a special large amount of nucleic acid base such as purine is merely restricted.

The main object of this invention is to provide a composition for inhibiting absorption of nucleic acid base by which an excess intake or accumulation of nucleic acid base in the living body can be restricted, during the free intake of nucleic acid base, in place of the alimentary therapy mentioned above.

<Disclosure of the invention>

As a result of research which is conducted by inventors to achieve the above object, a new fact that a composition containing, as an effective ingredient, at least one selected from thio-lower alkanoic acid or salt thereof, represented by the general formula:

$$R^1 - S - R^2 - COOH \quad (1)$$

wherein R^1 is a hydrogen atom or a lower alkyl group, R^2 is a lower alkylene group which may have an amino group, has an excellent effect that absorption of nucleic acid base is inhibited, is found.

In the above general formula (1), example of lower alkyl group represented by R^1 is a linear or branched linear alkyl group having 1 to 6 of carbon atoms, such as methyl, ethyl, propyl, isopropyl, butyl, sec-butyl, tert-butyl, pentyl, hexyl or the like. Also, example of lower alkylene group which may have an amino group, represented by R^2, is a

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linear or branched linear alkylene group having 1 to 6 of carbon atoms, which may have amino group as a substituent, such as methylene, ethylene, propylene, trimethylene, tetramethylene, pentamethylene, hexamethylene, 2-methyltetramethylene, aminomethylene, 1-aminoethylene, 2-aminotrimethylene, 1-aminotetramethylene, 2-aminopentamethylene, 1-amino-2-methyltetramethylene, 3-aminohexamethylene or the like.

As a preferred compound in the compounds shown by the general formula (1) having the above groups, for example, L-cysteine, L-methionine or the like can be employed, and in particular, L-cysteine is suitable.

The nucleic acid base in this specification includes adenine, guanine, xanthine, hypoxanthine, thymine, uracil, cytosine, or the like.

Although all compounds represented by the general formula (1) are well-known, it is not known at all that these compounds have an inhibiting action of absorption of nucleic acid base. It is also recognized that the pharmaceutically acceptable salt of the above compound (1) has the same inhibiting effect of absorption of nucleic acid base as the compound (1). As an example of such a salt, acid-addition salt obtained by adding a pharmaceutically acceptable acid to thio-lower alkanoic acid of the general formula (1) can be employed. Example of such an acid is an

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inorganic acid such as hydrochloric acid, sulfuric acid, phosphoric acid, hydrogen bromide; or an organic acid such as oxalic acid, maleic acid, fumaric acid, malic acid, tartaric acid, citric acid, benzoic acid, acetic acid, p-toluenesulfonic acid, ethanesulfonic acid.

In the case that thio-lower alkanoic acid of formula (I) has an acid group, a salt obtained by reacting a pharmaceutically acceptable basic compound also shows the same inhibiting action of absorption of nucleic acid base. Example of the basic compound is a metal hydroxide such as sodium hydroxide, potassium hydroxide, calcium hydroxide; an alkali metal carbonate or bicarbonate such as sodium carbonate, potassium hydrogencarbonate, sodium hydrogencarbonate; or the like.

In order to effectively inhibiting the absorption of nucleic acid base in the living body, the oral intake of the composition of this invention is desirable, since nucleic acid base is mainly absorbed in the intestinal tract.

Form for oral intake is not limited at all, and therefore may be various pharmaceutical forms as employed in the conventional medicine or general food forms. These forms can be prepared by the conventional methods, and other ingredients used together with the above ingredient can be optionally selected.

That is, the composition of this invention is

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prepared to the pharmaceutical form by using the effective amount of the above effective ingredient together with a pharmaceutical carrier used conventionally, e.g. a dilution agent or a vehicle such as filler, bonding agent, humidity-keeping agent, disintegrator, surface active agent, a lubricant. Typical example of the pharmaceutical form is tablet, pil, powder, liquid, suspension, emulsion, granule, capsule or the like. When forming the tablet, an example of the carrier used for manufacturing a pharmaceutical preparation is a vehicle such as lactose, sucrose, sodium chloride, glucose, urea, starch, calcium carbonate, kaolin, crystalized cellulose, silicic acid; a bonding agent such as water, ethanol, propanol, simple syrup, glucose liquid, starch liquid, gelatin solution, carboxymethyl cellulose, shellac, methyl cellulose, calcium phosphate or polyvinyl pyrrolidone; a disintegrator such as dried starch, sodium alginate, agar powder, laminaran powder, sodium hydrocarbonate, calcium carbonate, polyoxyethylene sorbitan fatty acid ester, sodium laurilsulfate, stearyl monoglyceride, starch or lactose; a desintegration inhibiting agent such as sucrose, stearin, cacao butter or hydrogenation oil; an absorption promoting agent such as quaternary ammonium base or sodium laurilsulfate; a humidity keeping agent such as glycerin or starch; an absorbent such as starch, lactose, kaolin, bentonite or

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colloidal silica; a lubricant such as purified talc, stearate, boric acid powder or polyethylene glycol; or the like. Furthermore, the tablet may be used in the form having a conventional coating in compliance with the necessity. Example of the coated tablet is sugar coated tablet, gelatin coated tablet, enteric coated tablet, film coated tablet, and further the tablet may be in the form of doublelayer tablet or multilayer tablet.

When forming the pil, an example of a carrier is a vehicle such as glucose, lactose, starch, cacao butter, hydrogenated vegetable oil, kaolin or talc; a bonding agent such as powdered gum arabi, powdered tragacanth, gelatin or ethanol; and a disintegrator such as laminaran or agar; or the like. Capsule is prepared by mixing the effective ingredient with the various carriers mentioned above and then filling the mixture into hard gelatin capsule, soft gelatin capsule or the like in virtue of the conventional method.

In liquid preparation such as solution, emulsion or suspension, a diluent such as water, lactic acid aqueous solution, ethyl alcohol, propylene glycol, etoxyed isostearyl alcohol, polyoxy isostearyl alcohol, polyoxyethylene sorbitane fatty acid ester or the like is used, and liquid preparation is sterilized in virtue of the conventional method. Sodium chloride, glucose, glycerin or

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the like can be added to the liquid preparation, and further a dissolution accelating agent, a buffer agent or the like used conventionally can be also added.

Furthermore, to various medicinal preparations mentioned above, antioxidant (e.g. butylated hydroxytoluene, propylgalate, quinone, α-tocopherol or the like), coloring agent, preservative, perfume, flavor agent, sweetening agent or other medicine may be contained.

In the above medicinal preparations, the compound or its salt represented by general formula (1) as an effective ingredient may be contained without a special limitation, and the content of the compound or its salt is at least about 0.01% by weight, preferably about 1% to 70% by weight. In the case of tablet, it is suitable that the content of the compound or its salt is in the range of 0.01g to 1g per 1 tablet.

The effective dose of the medicinal preparation to be orally administered is decided in compliance with the medicine form, conditions such as age, sex or the like and the degree of disease of the patient to be administered. Its dose may be optionally selected without special limitation, ordinally the standard of the amount of effective ingredient is about 30mg to 150mg per 1kg of weight of patient at one day, and may be varied optionally. The administration of the preparation can be divided into

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1 to 4 times per one day.

The composition of this invention can be also prepared in the food forms. Food forms are not specially limited except for orally taking, and therefore may be various eatables and drinkables ordinally well-known, such as seasoning, confectionery (Japanese confectionery, Western confectionary, ice cream, etc.), sirup, fruit processed good, vegetable processed good, animal meat processed good, fish meat processed good, delicacy, canned, bottling, refreshing drink, light quickly cooking eatable or drinkable food or the like. Such a food form is prepared by the conventional method, except that the above effective ingredient is blended in the optional step by the suitable means such as kneading, mingling, mixing, dissolving, soaking, permeating, scattering, appling, spraying, pouring or the like. The blending amount of the effective ingredient is not especially limited, and ordinally is decided so that an intake amount per one time is in the range of about 500mg to 2000mg.

In taking or administering the composition of this invention having various forms to the patient, the intake or administration time is optionally decided, and preferably is on or before and after meal, since the absorption of nucleic acid base is most active in intake of meal.

The composition of this invention may be used in the

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form of suppository, besides orally taking forms.

<Brief Explanation of the Drawings>

Figs. 1 to 6 show the results carried out in Examples, in order to evidence that the effective ingredient of this invention has an inhibiting action of the absorption of nucleic acid base to the living body.

<Industrial Applicability>

By taking the composition of this invention in the form suitable to restrict the absorption of nucleic acid base in the living body, excess intake or accumulation of nucleic acid base in the living body is inhibited, thereby being useful to therapy and prophylaxis of gout, etc.

<Example>

There was investigated how the effective ingredient of this invention is acted to absorption of nucleic acid base to the living body.

For this test, wistar-king rats which weighed 180g to 200g and to which bait and water were freely given were used, and were divided between control group and experimental group.

Test liquid, which was obtained by suspending 5mg/ml of each nucleic acid base (uracil, thymine, cytosine or adenine) into 5% arabic gum solution, so that the concentration of nucleic acid base is 5 mg/ml, followed by ultrasonication for 5 minutes, was orally administered to

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the control group. Dose of this test liquid was 1ml per 100g of rat weight, i.e. 50mg/kg. Also, another test liquid, which was obtained by adding and suspending the test compound, i.e. effective ingredient of this invention, to each suspension liquid containing 5 mg/ml of nucleic acid base, so that the concentration of the test compound is 15 mg/ml, followed by ultrasonication in accordance with the same manner as employing above, was orally administered to the experimental group. Dose of this test liquid was 1ml per 100g of rat weight, i.e. 150 mg/kg.

Each group was consisted of two or three rats, and each test liquid was administered by using oral probe.

After 15, 30, 60 and 120 minutes from administration of test liquid, celiotomy of rat of each group was carried out under anethesia with ether, and immediately about 5 ml of blood is taken from inferior vena cava. This blood was kept standing for 1 to 2 hours under the temperature atomosphere of 4 ℃ as it is, and then was centrifuged for 10 minutes under the same temperature atomosphere to separate a serum.

The nucleic acid base concentration in the serum thus obtained was measeured in accordance with the following method, and the mesuring value was estimated as oral absorption amount of nucleic acid base.

Method for measuring nucleic acid base concentration in

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serum

The serum obtained by the above procedure was analyzed by high performance liquid chromatography, after pre-treating as mentioned below.

That is, in the case of determination of uracil and thymine, 5 ml of ethyl acetate was added to 1 ml of serum mentioned above, followed by shaking under acidic condition in the presence of hydrochloric acid. Then, ethyl acetate layer was separated, was heated and evaporated to dryness at 40℃, and was dissolved into a small amount of water. 10 μl of a pre-treated sample thus obtained was applied to "ULTRON N-C_{18}-L column " (5 μm, 4.6 ID mm × 150mm) manufactured by SHINWA KAKOU SHA, 5 mM tetrabutyl anmonium solution (pH 5 to 6) containing 2 % methanol was flowed through the coloumn as a mobile phase, and analysis was carried out at 1 ml/minute of flow rate and 260 nm of detection wave length.

Also, cytosine and adenine were determined as mentioned below. 200 to 400 μl of the serum containing these nucleic acid base was mounted onto "Ultrafree C3GC cenrifugal filtration tube" (manufactured by MILLIPORE compony) followed by centrifuging at 10000 rpm for 10 minutes. Then, 20 μl of the obtained filtrate was applied to "CHEMCOSORB 300-C_{18} column"(5 μm, 4.6 ID mm × 150mm) manufactured by CHEMCO compony, 20 mM phosphate buffer

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solution (pH 3.8) was flowed through the coloumn as a mobile phase, and analysis was carried out at 1 ml/minute of flow rate and 260 nm of detection wave length.

Respective concentration of nucleic acid base was caluculated from chromatogram obtained by analyzing samples having a known concentration, which were prepared by adding standard nucleic acid base to normal rat serum, under the same conditions as mentioned above.

Figs 1 to 4 show the test results in the case that L-cysteine was used as test compound, i.e. effective ingredient compound of this invention. In each graph, used nucleic acid base is adenine (Fig. 1), thymine (Fig.2), uracil (Fig. 3) and cytosine (Fig. 4). The axis of ordinate and the axis of abscissa indicate nucleic acid base concentration (μg/ml) in blood and time (min.) after orally administering test liquid, respectively.

Furthermore, by using hypoxanthine as nucleic acid base, absorption inhibiting effect of hypoxanthine in virtue of the effective ingredient compound of this invention was examined in accordance with the following method.

[8 - ^{14}C]hypoxanthine (49.0 mCi/m mol, 250 μCi/ml) was added and blended to both of test liquid for control group, containing 5 mg/ml of hypoxanthine and another test liquid for experimental group, which was prepared by adding

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15 mg/ml of L-cysteine to the test liquid of the control group, so that concentration of hypoxanthine is 10 μCi/ml. Each test liquid was orally administered to rats at dose of 1ml per 100 g of rat weight by oral probe. Afer 15, 30 and 60 minutes from administration, blood was taken out from caudal vein of rat of each group, and blood plasma was separated by centrifugation.

For the purpose of deproteination, 1 ml of ice-cooled methanol was added to 0.1 ml of the plasma thus obtained, followed by centrifuging at 3000 rpm for 10 minutes. 0.5 ml of supernatant was contained into a vial, and 10 ml of liquid scintillator (AQUASOL - II) was added to measure the radioactivity with liquid scintillation counter.

From the radioactivity obtaind, the amount of absorbed hypoxanthine was estimated as the corresponding amount of[8 - ^{14}C]hypoxanthine included in 1 ml of blood. Test result is shown in graph of Fig. 5. In this Fig. 5, the axis of ordinate indicates the corresponding amount of ^{14}C - hypoxanthine in blood, and the axis of abscissa indicates time (min.) after oral administration of test liquid. Also, (1) and (2) in graph indicate experimental group (using the test liquid including L-cysteine) and control group (using the test liquid which does not include L-cysteine), respectively. Each value is the mean for three

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rats.

Also, by using L-methionine as a test compound and uracil as nucleic acid base, the test was carried out in the same manner as mentioned above. The test result is shown in Fig. 6 by the same manner as the other drawings mentioned above.

In each graph, (1) and (2) in graph indicate experimental group (using the test liquid including the effective ingredient compound) and control group (using the test liquid which does not include the effective ingredient compound), respectively. Each value is the mean for two to three tats.

From Figs. 1 to 6, it is indicated that effective ingredient compound of this invention lowers concentration of nucleic acid base in serum significantly.

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What is claimed is:

1. A composition for inhibiting absorption of nucleic acid base, which is taken into a living body to inhibit absorption of nucleic acid base in the living body, containing, as an effective ingredient, thio-lower alkanoic acid or salt thereof represented by the general formula:

$$R^1 - S - R^2 - COOH$$

wherein R^1 is a hydrogen atom or a lower alkyl group, and R^2 is a lower alkylene group which may have an amino group.

2. The composition for inhibiting absorption of nucleic acid base according to claim 1, wherein R^1 is a hydrogen atom and R^2 is a lower alkylene group having an amino group.

3. The composition for inhibiting absorption of nucleic acid base according to claim 1, wherein R^1 is a lower alkyl group and R^2 is a lower alkylene group having an amino group.

4. The composition for inhibiting absorption of nucleic acid base according to claim 1, wherein said thio-lower alkanoic acid is L-cysteine.

5. The composition for inhibiting absorption of nucleic acid base according to claim 1, wherein said thio-lower alkanoic acid is L-methionine.

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6. The composition for inhibiting absorption of nucleic acid base according to claim 1, wherein the composition is prepared to the orally taken form.

7. The composition for inhibiting absorption of nucleic acid base according to claim 6, wherein R^1 is a hydrogen atom and R^2 is a lower alkylene group having an amino group.

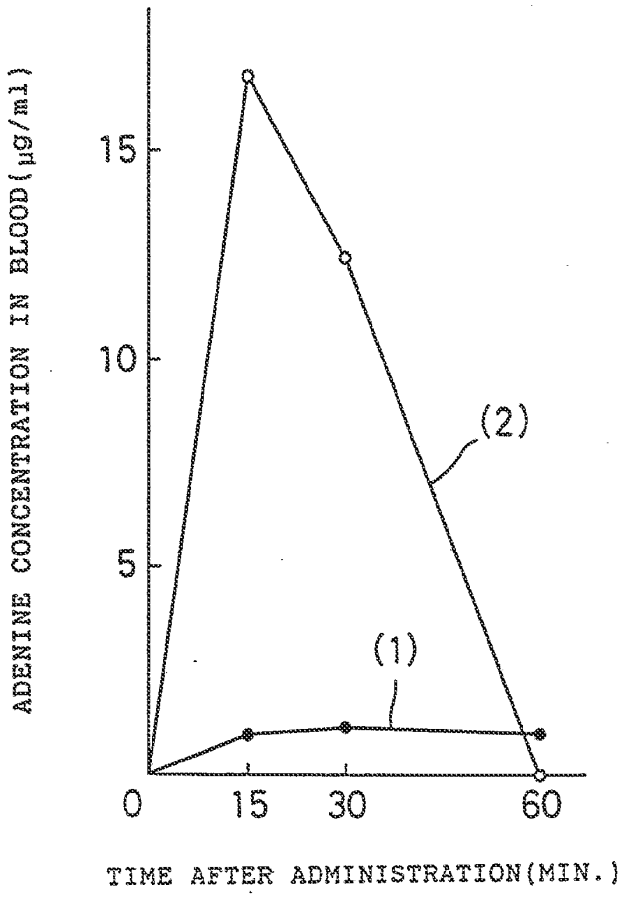
8. The composition for inhibiting absorption of nucleic acid base according to claim 6, wherein R^1 is a lower alkyl group and R^2 is a lower alkylene group having an amino group.

9. The composition for inhibiting absorption of nucleic acid base according to claim 6, wherein said thio-lower alkanoic acid is L-cysteine.

10. The composition for inhibiting absorption of nucleic acid base according to claim 6, wherein said thio-lower alkanoic acid is L-methionine.

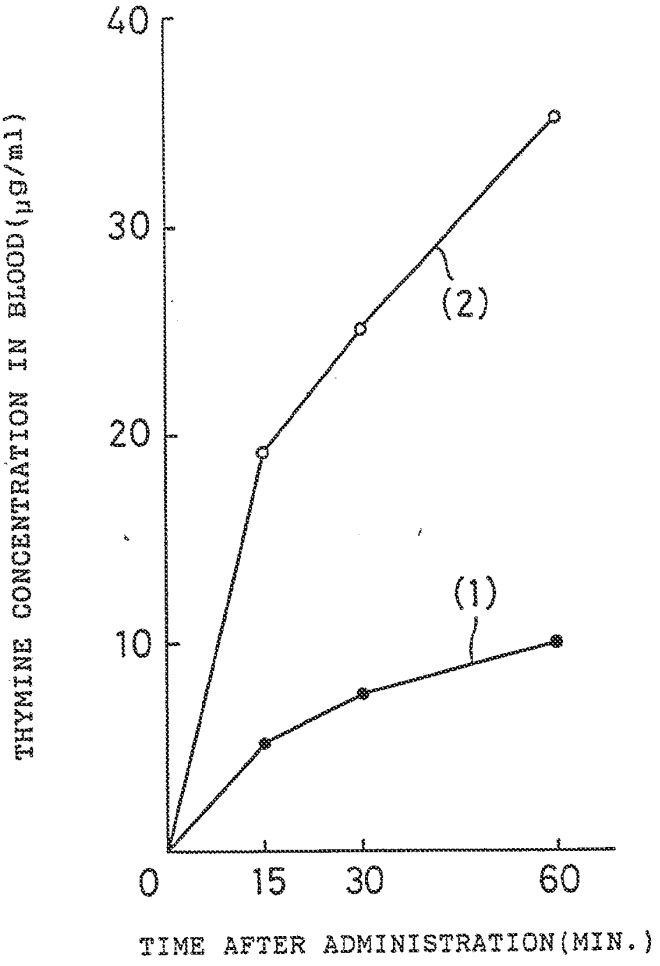
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Page 570

Fig. 1



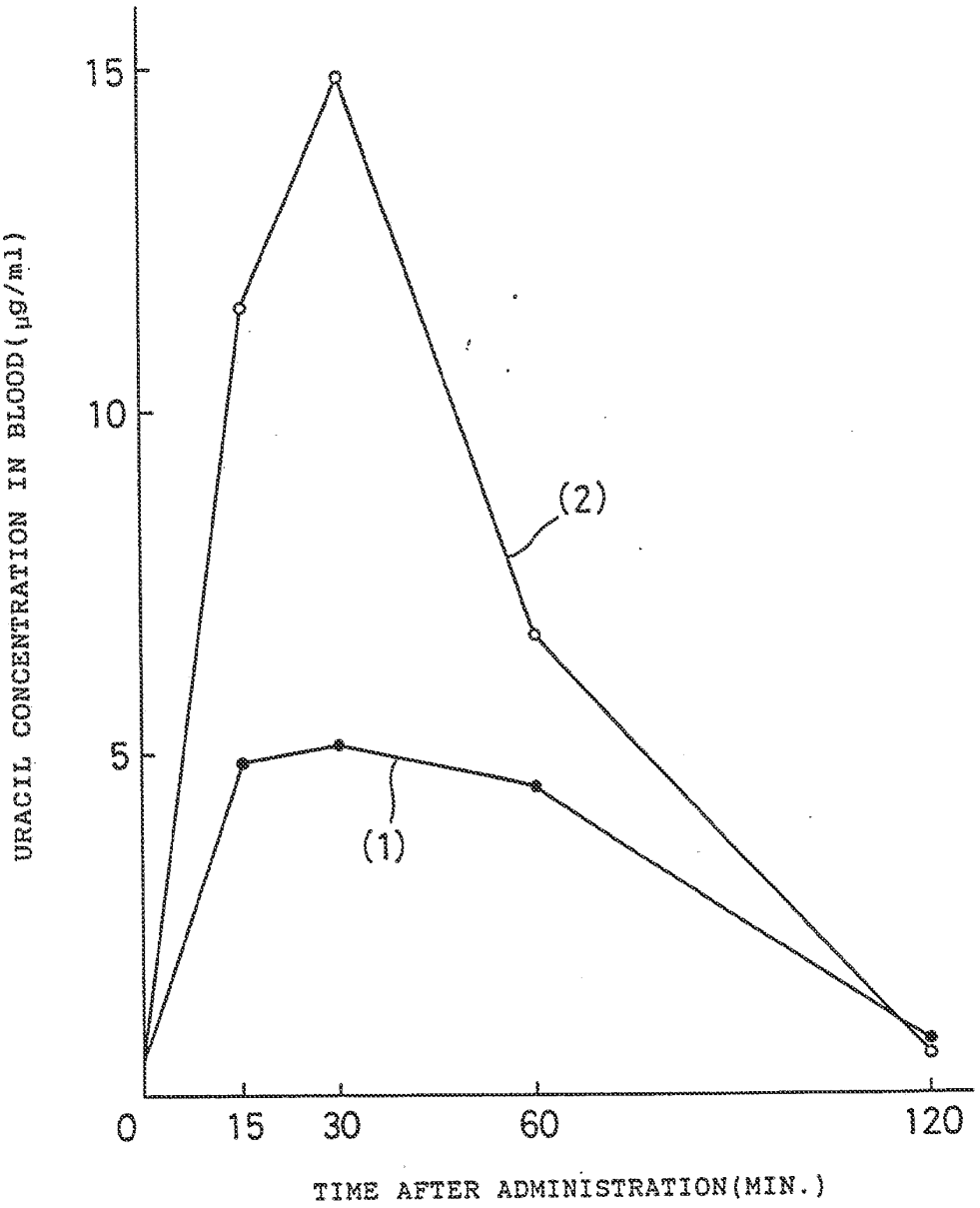
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Page 571

Fig. 2



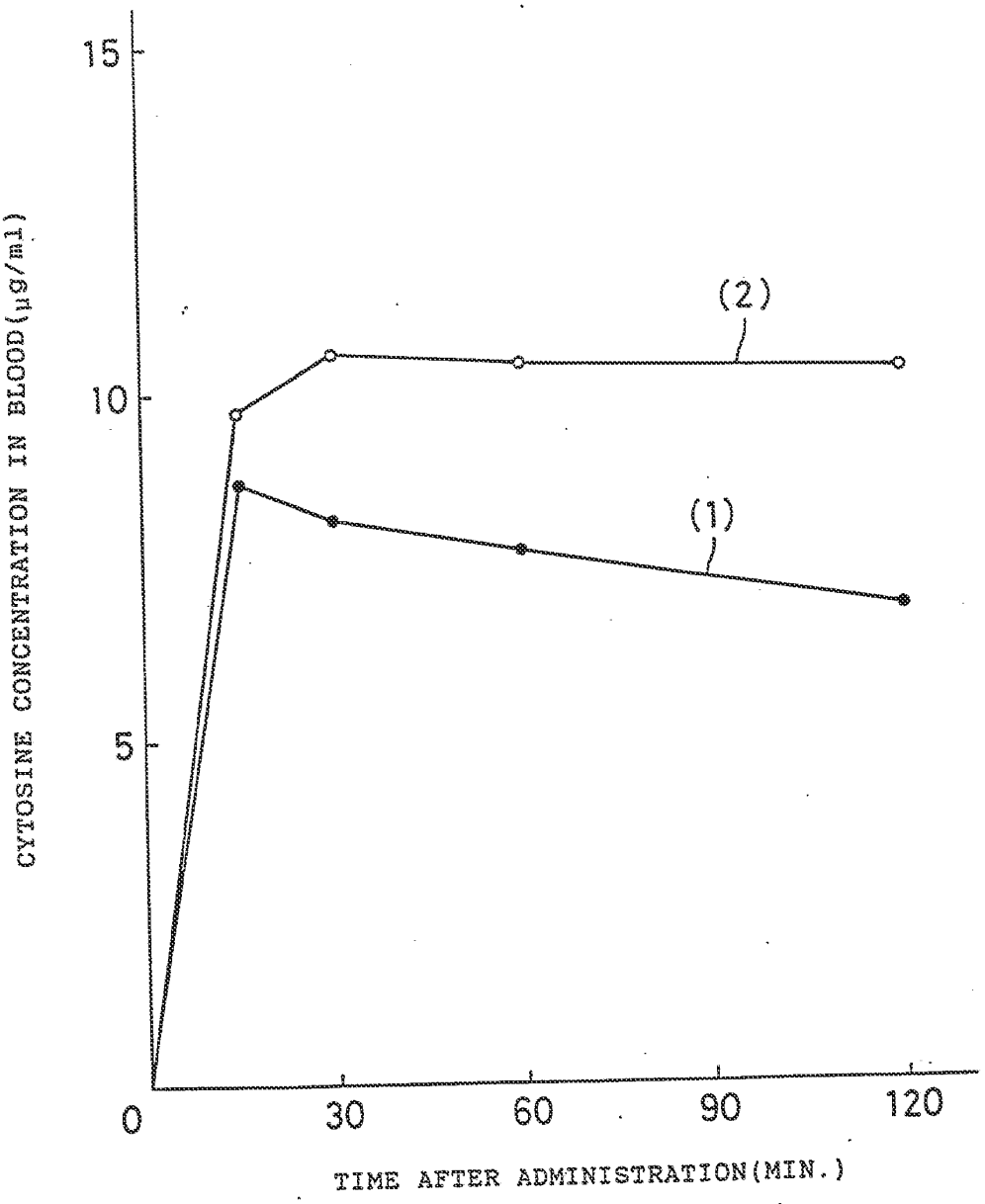
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Page 572

Fig. 3



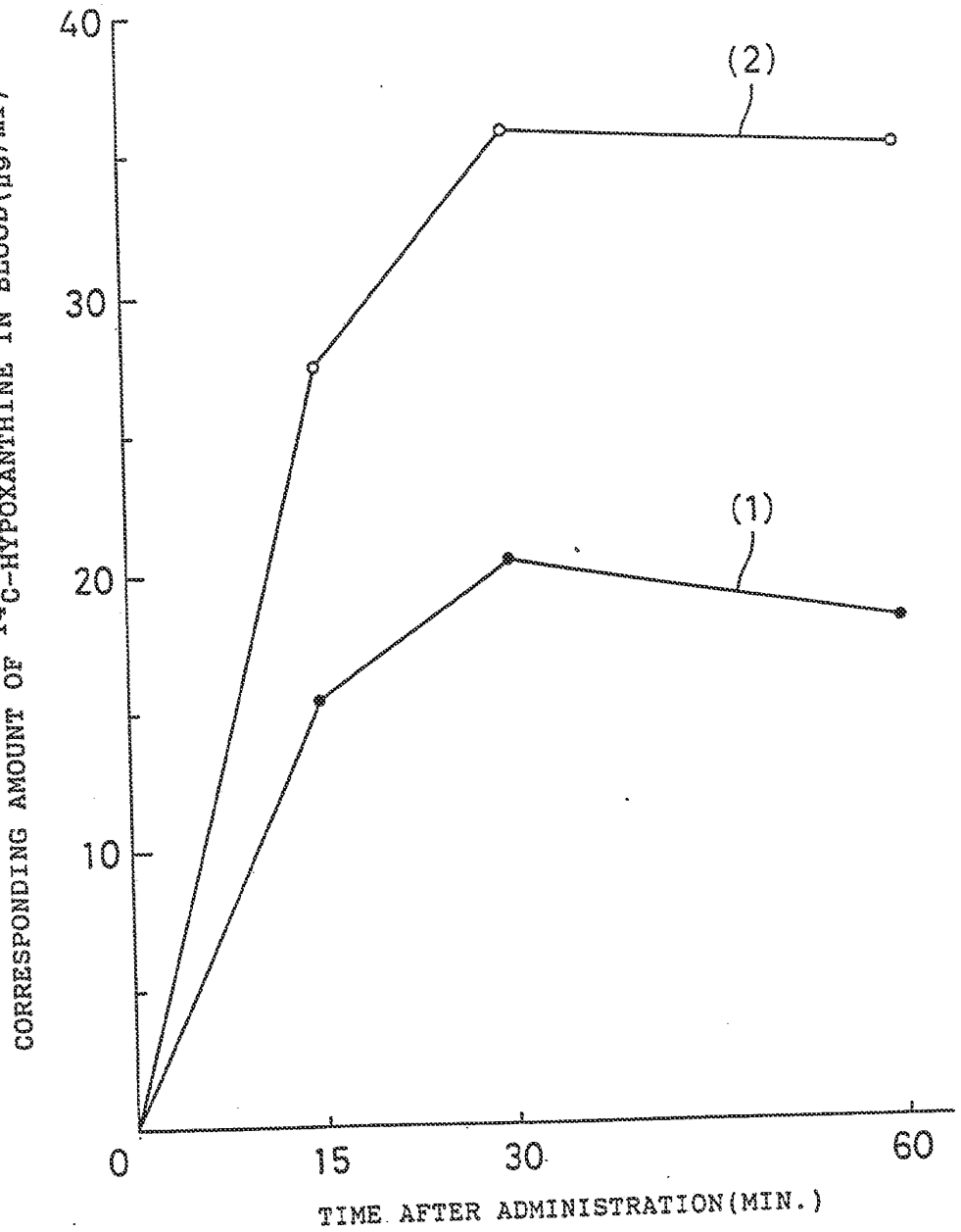
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Page 573

Fig. 4



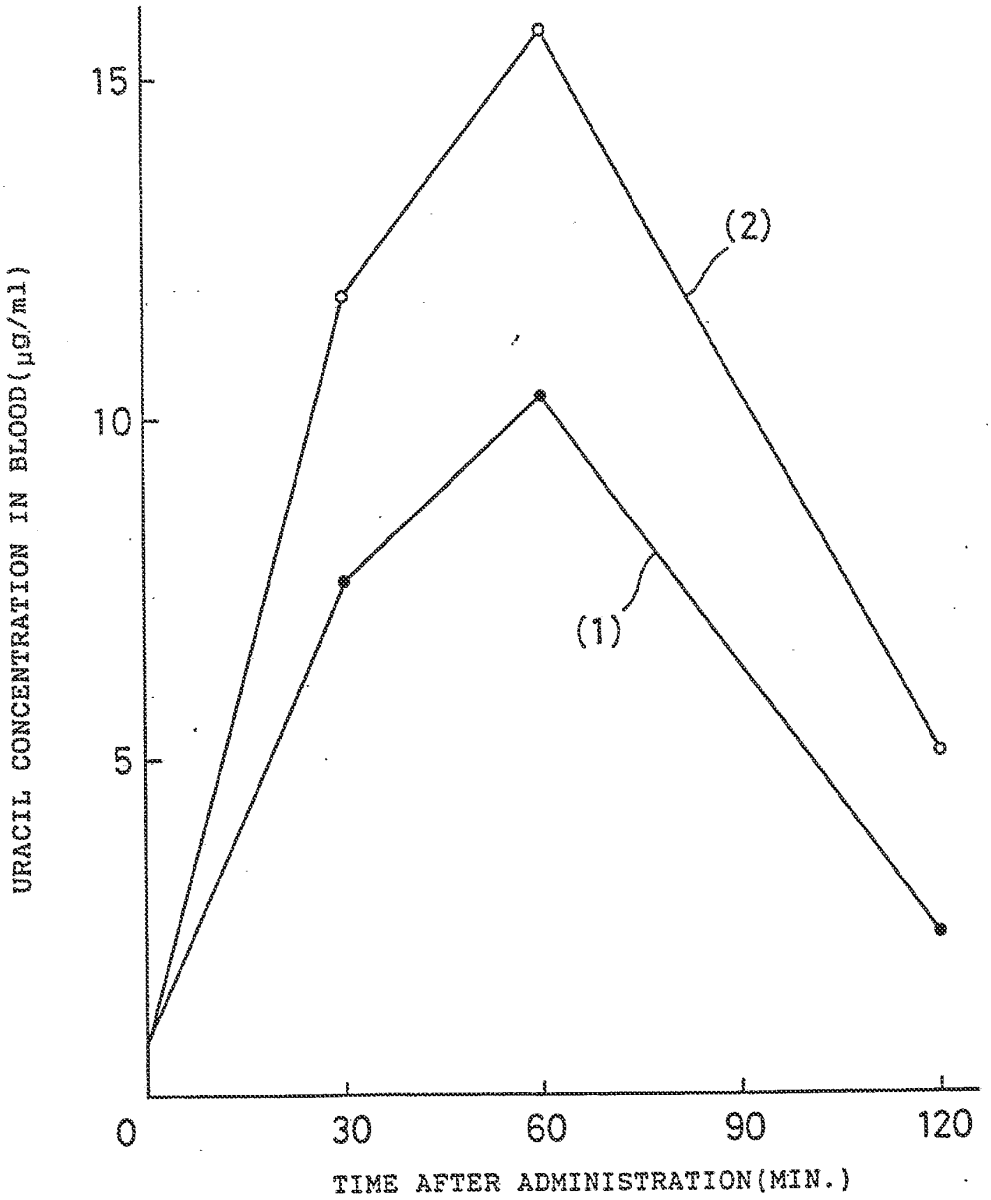
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Page 574

Fig. 5



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Fig. 6



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INTERNATIONAL SEARCH REPORT

International Application No PCT/JP89/00313

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) *

According to International Patent Classification (IPC) or to both National Classification and IPC

Int. Cl[4] A61K31/19// A23L1/03

II. FIELDS SEARCHED

Minimum Documentation Searched *

Classification System †	Classification Symbols
IPC	A61K31/19, A23L1/03

Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched §

III. DOCUMENTS CONSIDERED TO BE RELEVANT *

Category *	Citation of Document, ‡‡ with indication, where appropriate, of the relevant passages ††	Relevant to Claim No. ††
A	Chemical Abstracts, Vol. 102, No. 7, Abstract No. 102(7):61163b, 1985, (Columbus, Ohio, U.S.A.) Amubode, F.O., et. al., J. Agric. Sci., 103(3), 497-502	1-10
A	Chemical Abstracts, Vol. 95, No. 17, Abstract No. 95(17):148998p, 1981 (Columbus, Ohio, U.S.A.) Ueda, H., et. al., Nutr. Rep. Int., 24(1), 85-94	1-10
A	Chemical Abstracts, Vol. 95, No. 5, Abstract No. 95(5):41169s, 1981, (Columbus, Ohio, U.S.A.) Aguilar, F., et. al., Bol. Soc. Quim. Peru. 45(3),256-65	1-10
A	Chemical Abstracts, Vol. 91, No. 9, Abstract No. 91(9):73452n, 1979, (Columbus, Ohio, U.S.A.) Muramatsu, T., et. al., J. Nutr.,	1-10

* Special categories of cited documents: ††

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

IV. CERTIFICATION

Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report
June 12, 1989 (12. 06. 89)	June 26, 1989 (26. 06. 89)
International Searching Authority	**Signature of Authorized Officer**
Japanese Patent Office	

Form PCT ISA 210 (second sheet) (January 1985)

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Page 577

FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

	109(6), 1057-62	
A	Chemical Abstracts, Vol. 81, No. 23, Abstract No. 81(23):150847t, 1974, (Columbus, Ohio, U.S.A.) Kal'nitskii, B.D., Byull. Vses. Nauchno-Issled. Inst. Fiziol., Biokhim. Pitan. Skh. Zhivotn., 7(2), 20-2	1-10

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE [1]

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☐ Claim numbers ...., because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claim numbers ...., because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claim numbers ...., because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING [2]

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.

2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:

3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:

4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

Remark on Protest

☐ The additional search fees were accompanied by applicant's protest.

☐ No protest accompanied the payment of additional search fees.

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Page 578

Electronic Patent Application Fee Transmittal

Application Number:	16876604
Filing Date:	18-May-2020
Title of Invention:	APPARATUS, SYSTEM, AND METHOD FOR MULTI-BITRATE CONTENT STREAMING
First Named Inventor/Applicant Name:	DAVID F. BRUECK
Filer:	Brett Carlson/Julie Graf
Attorney Docket Number:	066.0141X1C10

Filed as Large Entity

Filing Fees for Utility under 35 USC 111(a)

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Miscellaneous:				
RCE- 1ST REQUEST	1801	1	1360	1360
		Total in USD ($)		**1360**

Electronic Acknowledgement Receipt	
EFS ID:	41212528
Application Number:	16876604
International Application Number:	
Confirmation Number:	6495
Title of Invention:	APPARATUS, SYSTEM, AND METHOD FOR MULTI-BITRATE CONTENT STREAMING
First Named Inventor/Applicant Name:	DAVID F. BRUECK
Customer Number:	70560
Filer:	Brett Carlson/Julie Graf
Filer Authorized By:	Brett Carlson
Attorney Docket Number:	066.0141X1C10
Receipt Date:	24-NOV-2020
Filing Date:	18-MAY-2020
Time Stamp:	13:14:41
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	$1360
RAM confirmation Number	E2020AND15034225
Deposit Account	
Authorized User	
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Form (SB08)	20201116_IDS-1_066-0141X1C10.pdf	618183 7f936642b6ee9f28c7161c78cc148c03a027474f	no	22
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Information:					
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4	Request for Continued Examination (RCE)	20201117_RCE_066-0141X1C10.pdf	697626 7d1bb94ef9088da741be32520a964fcc9f8cea8d	no	3
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17	Non Patent Literature	NPL_Fujisawa_ImplementationOfEfficientAcdess.pdf	511563 91dff145bd502cbcca14ed40adf4002af21abab7	no	10
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27	Non Patent Literature	NPL_Zhang_CoolStreaming.pdf	293506 79257b4aeb9b9510bf46f97c437f91285abb9784	no	14
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28	Foreign Reference	WO2001067264.pdf	1561090 14ea9fe21f267c32636564e07838fe524ecef3c8	no	41
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99)

Application Number	16876604
Filing Date	2020-05-18
First Named Inventor	David F. Brueck
Art Unit	2454
Examiner Name	Patel, Chirag R.
Attorney Docket Number	066.0141X1C10

U.S.PATENTS Remove

Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	7577750	B2	2009-08-18	Shen et al.	
	2	6195680	B1	2001-02-27	Goldszmidt et al.	
	3	6449719	B1	2002-09-10	Baker	
	4	7369610	B2	2008-05-06	Xu et al.	
	5	7408984	B2	2008-08-05	Lu et al.	
	6	7523181	B2	2009-04-21	Swildens et al.	
	7	6976090	B2	2005-12-13	Ben-Shaul et al.	
	8	7240100	B1	2007-07-03	Wein et al.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	16876604
	Filing Date	2020-05-18
	First Named Inventor	David F. Brueck
	Art Unit	2454
	Examiner Name	Patel, Chirag R.
	Attorney Docket Number	066.0141X1C10

	9	6850965	B2	2005-02-01	Allen	
	10	7334044	B1	2008-02-19	Allen	
	11	7760801	B2	2010-07-20	Ghanbari et al.	
	12	7099954	B2	2006-08-29	Li et al.	
	13	6760772	B2	2004-07-06	Zou et al.	
	14	7054911	B1	2006-05-30	Lango et al.	
	15	7797439	B2	2010-09-14	Cherkasova et al.	
	16	7477688	B1	2009-01-13	Zhang et al.	
	17	7054774	B2	2006-05-30	Batterberry et al.	
	18	5168356		1992-12-01	Acampora et al.	
	19	7818444	B2	2010-10-19	Brueck et al.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	16876604
	Filing Date	2020-05-18
	First Named Inventor	David F. Brueck
	Art Unit	2454
	Examiner Name	Patel, Chirag R.
	Attorney Docket Number	066.0141X1C10

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(Not for submission under 37 CFR 1.99)

Application Number	16876604
Filing Date	2020-05-18
First Named Inventor	David F. Brueck
Art Unit	2454
Examiner Name	Chirag R. Patel
Attorney Docket Number	066.0141X1C10

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Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	8521836	B2	2013-08-27	Kewalramani et al.	
	2	7558869	B2	2009-07-07	Leon et al.	
	3	7707303	B2	2010-04-27	Albers et al.	
	4	7925781	B1	2011-04-12	Chan et al.	
	5	8880721	B2	2014-11-04	Hurst et al.	
	6	9344496	B2	2016-05-17	Hurst et al.	
	7	8683066	B2	2014-03-25	Hurst et al.	
	8	8686066	B2	2014-04-01	Kwampian et al.	

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	9	6708213	B1	2004-03-16	Bommaiah et al.	
	10	6757796	B1	2004-06-29	Hofmann	
	11	9462074	B2	2016-10-04	Guo et al.	

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	1	20050033855	A1	2005-02-10	Moradi et al.	
	2	20030093790	A1	2003-05-15	Logan et al.	
	3	20030195977	A1	2003-10-16	Liu et al.	
	4	20040199655	A1	2004-10-07	Davies et al.	
	5	20040267956	A1	2004-12-30	Leon et al.	
	6	20040220926	A1	2004-11-04	Lamkin et al.	

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	7	20060010003	A1	2006-01-12	Kruse	
	8	20060277564	A1	2006-12-07	Jarman	
	9	20150058496	A1	2015-02-26	Hurst et al.	
	10	20100262711	A1	2010-10-14	Bouazizi	
	11	20050251832	A1	2005-11-10	Chiueh	
	12	20040221088	A1	2004-11-04	Lisitsa et al.	
	13	20040179032	A1	2004-09-16	Huang	
	14	20020161908	A1	2002-10-31	Benitez et al.	
	15	20030021282	A1	2003-01-30	Hospodor	
	16	20080120330	A1	2008-05-22	Reed et al.	
	17	20080120342	A1	2008-05-22	Reed et al.	

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	18	20110307545	A1	2011-12-15	BOUAZIZI	
	19	20050262257	A1	2005-11-24	Major et al.	
	20	20030236904	A1	2003-12-25	Walpole et al.	

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	1	Krasic et al., QUALITY-ADAPTIVE MEDIA STREAMING BY PRIORITY DROP, Oregon Graduate Institute, 2001.	☓
	2	Krasic et al., QoS SCALABILITY FOR STREAMED MEDIA DELIVERY, Oregon Graduate Institute School of Science & Engineering Technical Report CSE 99-011, September 1999.	☒
	3	Huang et al., ADAPTIVE LIVE VIDEO STREAMING BY PRIORITY DROP, Portland State University PDXScholar, July 21, 2003.	☒

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	4	Walpole et al, A PLAYER FOR ADAPCTIVE MPEG VIDEO STREAMING OVER THE INTERNET, Oregon Graduate Institute of Science and Technology, October 25, 2012.	☒
	5	ALBANESE, ANDREW ET AL. "Priority Encoding Transmission", TR-94-039, August 1994, 36 pgs, International Computer Science Institute, Berkeley, CA.	☒
	6	BIRNEY, BILL "Intelligent Streaming", May 2003, Microsoft.	☒
	7	GOYAL, VIVEK K. "Multiple Description Coding: Compression Meets the Network," September 2001, pgs. 74-93, IEEE Signal Processing Magazine.	☒
	8	ON2 TECHNOLOGIES, INC. "TrueMotion VP7 Video Codec" White Paper, Document Version 1.0, January 10, 2005.	☒
	9	PATHAN, AL-MUKADDIM ET AL. "A Taxonomy and Survey of Content Delivery Networks" Australia, February 2007, available at http://www.gridbus.org/reports/CDN-Taxonomy.pdf.	☒
	10	PURI, ROHIT ET AL. "Multiple Description Source Coding Using Forward Error Correction Codes," October 1999, 5 pgs., Department of Electrical Engineering and Computer Science, University of California, Berkeley, CA.	☒
	11	WICKER, STEPHEN B. "Error Control Systems for Digital Communication and Storage," Prentice-Hall, Inc., New Jersey, USA, 1995, parts 1-6.	☒
	12	LIU, JIANGCHUAN ET AL. "Opportunities and Challenged of Peer-to-Peer Internet Video Broadcast," School of Computing Science, Simon Fraser University, British Columbia, Canada.	☒
	13	CLEMENT, B. "Move Networks closes $11.3 Million on First Round VC Funding," Page One PR, Move Networks, Inc. Press Releases, February 7, 2007, http://www.move.tv/press/press20070201.html.	☒
	14	MOVE NETWORKS, INC. "The Next Generation Video Publishing System," April 11, 2007; http://www.movenetworks.com/wp-content/uploads/move-networks-publishing-system.pdf.	☒

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	15	YOSHIMURA, TAKESHI ET AL. "Mobile Streaming Media CDN Enabled by Dynamic SMIL", NTT DoCoMo, Multimedia Laboratories and Hewlett-Packard Laboratories,dated May 7-11, 2002, ACM 1-58113-449-5/02/0005; http://www2002.org/CDROM/refereed/515/.	☒
	16	Nguyen, T. et al., Multiple Sender Distributed Video Streaming, IEEE TRANSACTINOS ON MULTIMEDIA, IEEE SERVICE CENTER, PISCATAWAY, NJ, US, vol. 6, no. 2, April 1, 2004, pages 315-326, XP011109142, ISSN: 1520-9210, DOI: 10.1109/TMM,2003.822790.	☒

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☒ See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/BRETT A. CARLSON/	Date (YYYY-MM-DD)	2020-11-16
Name/Print	Brett A. Carlson	Registration Number	39928

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Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5267334	A	1993-11-30	Normille et al.	
	2	5404446	A	1995-04-04	Bowater et al.	
	3	5841432	A	1998-11-24	Carmel et al.	
	4	6389473	B1	2002-05-14	Carmel et al.	
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	1	20020156912	A1	2002-10-24	Hurst et al.	

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	2	20030055995	A1	2003-03-20	Ala Honkola	
	3	20030233464	A1	2003-12-18	Walpole et al.	
	4	20020131496	A1	2002-09-19	Vasudevan et al.	
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	1	0365683	EP	A1	1990-05-02	OTSUKA PHARMA CO LTD		X

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	1	ROY, S., et al., "Architecture of a Modular Streaming Media Server for Content Delivery Networks," 2002 IEEE. Published in the 2003 International Conference on Multimedia and Expo ICME 2003.	
	2	BOMMAIAH, E., et al., "Design and Implementation of a Caching System for Streaming Media over the Internet," 2000 IEEE. Published in RTAS '00 Proceedings of the Sixth IEEE Real Time Technology and Applications Symposium (RTAS 2000), page 111.	

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	3	DEFENDANT JADOO TV, INC.'S DISCLOSURE OF INVALIDITY CONTENTIONS, U.S. N. Dist. Ca. Case No. 5:18-cv-05214-EJD dated Sept. 22, 2020.	
	4	DEFENDANT JADOO TV, INC.'S DISCLOSURE OF INVALIDITY CONTENTIONS APPENDIX A, U.S. N. Dist. Ca. Case No. 5:18-cv-05214-EJD dated Sept. 22, 2020.	
	5	Balk et al., Adaptive Video Streaming: Pre-Encoded MPEG-4 with Bandwidth Scaling, 44 COMPUTER NETWORKS 415 (Mar. 2004)	

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Alexandria, Virginia 22313-1450
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

70560 7590 01/22/2021

LKGLOBAL (Dish Technologies L.L.C.)
7010 E. COCHISE ROAD
SCOTTSDALE, AZ 85253

EXAMINER	
PATEL, CHIRAG R	
ART UNIT	PAPER NUMBER
2454	

DATE MAILED: 01/22/2021

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/876,604	05/18/2020	DAVID F. BRUECK	066.0141X1C10	6495

TITLE OF INVENTION: APPARATUS, SYSTEM, AND METHOD FOR MULTI-BITRATE CONTENT STREAMING

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	$1200	$0.00	$0.00	$1200	04/22/2021

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

PTOL-85 (Rev. 02/11)

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PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

By fax, send to: (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

70560 7590 01/22/2021
LKGLOBAL (Dish Technologies L.L.C.)
7010 E. COCHISE ROAD
SCOTTSDALE, AZ 85253

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.

(Typed or printed name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/876,604	05/18/2020	DAVID F. BRUECK	066.0141X1C10	6495

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APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	$1200	$0.00	$0.00	$1200	04/22/2021

EXAMINER	ART UNIT	CLASS-SUBCLASS
PATEL, CHIRAG R	2454	709-219000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

❑ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

❑ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-09 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list
(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 _____
2 _____
3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) : ❑ Individual ❑ Corporation or other private group entity ❑ Government

4a. Fees submitted: ❑Issue Fee ❑Publication Fee (if required) ❑Advance Order - # of Copies _____

4b. Method of Payment: *(Please first reapply any previously paid fee shown above)*

❑ Electronic Payment via EFS-Web ❑ Enclosed check ❑ Non-electronic payment by credit card (Attach form PTO-2038)

❑ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. _____

5. **Change in Entity Status** (from status indicated above)

❑ Applicant certifying micro entity status. See 37 CFR 1.29

❑ Applicant asserting small entity status. See 37 CFR 1.27

❑ Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.
NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _____ Date _____

Typed or printed name _____ Registration No. _____

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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PATEL, CHIRAG R	
ART UNIT	PAPER NUMBER
2454	

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Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

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OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Notice of Allowability	Application No. 16/876,604	Applicant(s) BRUECK et al.	
	Examiner CHIRAG R PATEL	Art Unit 2454	AIA (FITF) Status No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☑ This communication is responsive to the response filed on November 24, 2020.
   ☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

3. ☑ The allowed claim(s) is/are 1-29 . As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see **http://www.uspto.gov/patents/init_events/pph/index.jsp** or send an inquiry to **PPHfeedback@uspto.gov.**

4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   Certified copies:

   a) ☐ All b) ☐ Some *c) ☐ None of the:

   1. ☐ Certified copies of the priority documents have been received.
   2. ☐ Certified copies of the priority documents have been received in Application No. _____ .
   3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

   * Certified copies not received: _____ .

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
   ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____ .

   Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 11/24/20, 11/24/20, 11/24/20.
3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material _____.
4. ☑ Interview Summary (PTO-413), Paper No./Mail Date. 1/14/21.
5. ☑ Examiner's Amendment/Comment
6. ☑ Examiner's Statement of Reasons for Allowance
7. ☐ Other _____.

/CHIRAG R PATEL/
Primary Examiner, Art Unit 2454

Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

EXAMINER'S AMENDMENT

Authorization for this examiner's amendment was given in an interview with Brett Carlson on January 14, 2021.

29. (Currently Amended) The process of claim ~~30~~ 28 further comprising:

placing a streamlet request over one or more internet connections from the one or more end user stations to retrieve the first streamlet storing the first portion of the video, wherein the streamlet request is based, at least in part, on the received virtual timeline;

receiving the requested streamlet from the server via the one or more network connections; and rendering, by the content player device, the received streamlet for playback of the video.

Allowable Subject Matter

The following is a statement of reasons for the indication of allowable subject matter: A terminal disclaimer has been filed to overcome the obvious double patent rejections of U.S. Patent No. 10,469,554. A review of the prior art fails to disclose or render obvious, "wherein the first streamlet of each of the groups of streamlets has the same first duration and encodes the same first portion of the video in each of the low quality stream, the medium quality stream, and the high quality stream, and wherein the first streamlet of the low quality stream encodes the same first portion of the video at a different bitrate than the first streamlet of the high quality stream and the first streamlet of the medium quality stream".

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chirag R Patel whose telephone number is (571)272-7966. The examiner can normally be reached on Monday to Friday from 8:00AM to 4:30PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenton Burgess, can be reached on 571-272-3949. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pairdirect.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll free).

/Chirag R Patel/
Primary Examiner, Art Unit 2454

Examiner-Initiated Interview Summary	**Application No.** 16/876,604	**Applicant(s)** BRUECK et al.		
	Examiner CHIRAG R PATEL	**Art Unit** 2454	**AIA (First Inventor to File) Status** No	**Page** 1 of 1

All Participants (applicant, applicants representative, PTO personnel)	**Title**	**Type**
CHIRAG R PATEL	Primary Examiner	Telephonic
Brett Carlson	Attorney	

Date of Interview: 14 January 2021

Issues Discussed:

Objections

Examiner contacted the applicants to fix the claim dependency of claim 29 to depend from claim 28. Applicants authorized examiners amendment.

/CHIRAG R PATEL/ Primary Examiner, Art Unit 2454	

Applicant is reminded that a complete written statement as to the substance of the interview must be made of record in the application file. It is the applicants responsibility to provide the written statement, unless the interview was initiated by the Examiner and the Examiner has indicated that a written summary will be provided. See MPEP 713.04
Please further see:
MPEP 713.04
Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews, paragraph (b)
37 CFR § 1.2 Business to be transacted in writing

Applicant recordation instructions: It is not necessary for applicant to provide a separate record of the substance of interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

Index of Claims	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	**Art Unit**
	CHIRAG R PATEL	2454

✓	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	O	Objected

CLAIMS

☑ Claims renumbered in the same order as presented by applicant ☐ CPA ☑ T.D. ☐ R.1.47

CLAIM		DATE								
Final	Original	07/20/2020	11/05/2020	01/14/2021						
	1	✓	=	=						
	2	✓	=	=						
	3	✓	=	=						
	4	✓	=	=						
	5	✓	=	=						
	6	✓	=	=						
	7	✓	=	=						
	8	✓	=	=						
	9	✓	=	=						
	10	✓	=	=						
	11	✓	=	=						
	12	✓	=	=						
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	14	✓	=	=						
	15	✓	=	=						
	16	✓	=	=						
	17	✓	=	=						
	18	✓	=	=						
	19	✓	=	=						
	20	✓	=	=						
	21	✓	=	=						
	22	✓	=	=						
	23	✓	=	=						
	24	✓	=	=						
	25	✓	=	=						
	26	✓	=	=						
	27	✓	=	=						
	28	✓	=	=						
	29	✓	=	=						

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Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	**Art Unit**
	CHIRAG R PATEL	2454

CPC						
Symbol					**Type**	**Version**
H04L	/	65	/	607	F	2013-01-01
H04L	/	47	/	801	I	2013-01-01
H04L	/	47	/	12	I	2013-01-01
H04L	/	65	/	4069	I	2013-01-01
G06F	/	16	/	71	I	2019-01-01
G06F	/	16	/	183	I	2019-01-01
H04L	/	29	/	06027	I	2013-01-01
H04N	/	7	/	24	I	2013-01-01
H04N	/	21	/	23439	I	2013-01-01
H04N	/	21	/	4331	I	2013-01-01
H04N	/	21	/	84	I	2013-01-01
H04N	/	21	/	8456	I	2013-01-01
H04L	/	65	/	80	I	2013-01-01
H04L	/	65	/	608	I	2013-01-01
H04L	/	67	/	02	I	2013-01-01
H04L	/	65	/	1069	I	2013-01-01
H04L	/	67	/	2842	I	2013-01-01
H04L	/	67	/	32	I	2013-01-01
H04N	/	21	/	2662	I	2013-01-01

CPC Combination Sets							
Symbol					**Type**	**Set**	**Ranking**	**Version**
	/		/					

NONE (Assistant Examiner)	(Date)	**Total Claims Allowed:** 29	
/CHIRAG R PATEL/ Primary Examiner, Art Unit 2454 (Primary Examiner)	14 January 2021 (Date)	O.G. Print Claim(s) 1	O.G. Print Figure 1

U.S. Patent and Trademark Office — Part of Paper No.: 20210113

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Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
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	CHIRAG R PATEL	2454

INTERNATIONAL CLASSIFICATION				
CLAIMED				
H04L	/	29	/	06

NON-CLAIMED				
	/		/	

US ORIGINAL CLASSIFICATION	
CLASS	**SUBCLASS**

CROSS REFERENCES(S)						
CLASS	**SUBCLASS (ONE SUBCLASS PER BLOCK)**					

NONE (Assistant Examiner)	(Date)	**Total Claims Allowed:** 29	
/CHIRAG R PATEL/ Primary Examiner, Art Unit 2454 (Primary Examiner)	14 January 2021 (Date)	O.G. Print Claim(s) 1	O.G. Print Figure 1

U.S. Patent and Trademark Office — Part of Paper No.: 20210113

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☑ Claims renumbered in the same order as presented by applicant ☐ CPA ☑ T.D. ☐ R.1.47

CLAIMS

Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
	1		10		19		28								
	2		11		20		29								
	3		12		21										
	4		13		22										
	5		14		23										
	6		15		24										
	7		16		25										
	8		17		26										
	9		18		27										

NONE (Assistant Examiner)	(Date)	**Total Claims Allowed:** 29	
/CHIRAG R PATEL/ Primary Examiner, Art Unit 2454 (Primary Examiner)	14 January 2021 (Date)	O.G. Print Claim(s) 1	O.G. Print Figure 1

U.S. Patent and Trademark Office Part of Paper No.: 20210113

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Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	Art Unit
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CPC - Searched*		
Symbol	Date	Examiner
H04N19/34, H04N19/40, H04N21/234327	07/19/2020	C.P.
H04N21/2662, H04N21/234327, H04N21/2393	07/19/2020	C.P.
H04L65/80,H04L67/2842, H04L65/4069, H04L65/607, H04L65/608	07/19/2020	C.P.

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*			
Class	Subclass	Date	Examiner

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	Date	Examiner
EAST	07/19/2020	C.P.
EAST	11/05/2020	C.P.
EAST	12/18/2020	C.P.

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner
H04N	H04N21/2662, H04N21/234327, H04N21/2393	11/05/2020	C.P.
H04L	H04L65/80, H04L67/2842, H04L65/4069, H04L65/607, H04L65/608	11/05/2020	C.P.

fuboTV Media Inc.
Exhibit 1002
Page 638

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	Art Unit
	CHIRAG R PATEL	2454

fuboTV Media Inc.
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Page 639

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	17	(("5267334") or ("5404446") or ("5841432") or ("5389473") or ("6172672") or ("20020156912") or ("20030055995") or ("20030233464") or ("20020131496") or ("20030135863")).PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	OFF	2020/12/18 15:49
L6	2	("s24" "S25" "S27") AND enhancement near2 layer with encod$3 and (bit$2rates)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	ON	2020/12/18 15:54
S1	8	(("10659513") or ("9998516") or ("9571551") or ("9071668") or ("8612624") or ("8402156") or ("7818444") or ("8868772")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2020/07/19 08:58
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S3	1	("10469555").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2020/07/19 09:58
S4	1	("10469554").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2020/07/19 10:14
S5	285	enhancement adj layer AND bit-rate with encod$3	US-PGPUB; USPAT; USOCR; FPRS; EPO	OR	ON	2020/07/20 01:11
S6	9	((H04N19/34 OR H04N19/40 OR H04N21/234327).CPC.) AND client near2 request with streamlet	US-PGPUB; USPAT; USOCR; FPRS; EPO	OR	ON	2020/07/20 01:13

S7	1	((H04N19/34 OR H04N19/40 OR H04N21/234327).CPC.) AND live and (streamlet chunk$3) and enhancement AND @ad<"20040430"	US-PGPUB; USPAT; USOCR; FPRS; EPO	OR	ON	2020/07/20 01:14
S8	1	((H04N19/34 OR H04N19/40 OR H04N21/234327).CPC.) AND timeline and enhancement adj layer AND @ad<"20040430"	US-PGPUB; USPAT; USOCR; FPRS; EPO	OR	ON	2020/07/20 01:14
S9	5	timeline same playback same playlist AND enhancement AND @ad<"20040430"	US-PGPUB; USPAT; USOCR; FPRS; EPO	OR	ON	2020/07/20 01:16
S10	4	streamlets with live near2 stream	US-PGPUB; USPAT; USOCR; FPRS; EPO	OR	ON	2020/07/20 01:17
S11	49	((H04N21/2662 OR H04N21/234327 OR H04N21/2393 OR H04L65/80 OR H04L67/2842 OR H04L65/4069 OR H04L65/607 OR H04L65/608).CPC.) and streamlets with bitrate	US-PGPUB; USPAT; USOCR; FPRS; EPO	OR	ON	2020/07/20 01:19
S12	3	(("7369610") or ("20050055425") or ("20030103571")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2020/07/20 11:59
S13	1	("6,496,980").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2020/07/20 12:07
S14	3	(("20030023982") or ("7,934,159") or ("5,812,786")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2020/07/20 12:13
S15	542	low near2 stream same medium near2 stream and high near2 stream	US-PGPUB; USPAT; USOCR; FPRS; EPO	OR	ON	2020/11/05 11:23

S16	49	low near2 stream same medium near2 stream and high near2 stream and streamlet	US-PGPUB; USPAT; USOCR; FPRS; EPO	OR	ON	2020/11/05 11:27
S17	41	low near2 stream same medium near2 stream and high near2 stream and streamlet and different near3 bitrates	US-PGPUB; USPAT; USOCR; FPRS; EPO	OR	ON	2020/11/05 11:35
S18	40	((H04N21/2662 OR H04N21/234327 OR H04N21/2393 OR H04L65/80 OR H04L67/2842 OR H04L65/4069 OR H04L65/607 OR H04L65/608).CPC.) and S17	US-PGPUB; USPAT; USOCR; FPRS; EPO	OR	ON	2020/11/05 11:51
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EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L2	8	((H04N21/2662 OR H04N21/234327 OR H04N21/2393 OR H04L65/80 OR H04L67/2842 OR H04L65/4069 OR H04L65/607 OR H04L65/608).CPC.) and streamlet with plurality near2 bit$2rates and portion near2 video	US-PGPUB; USPAT	OR	ON	2020/12/18 15:50
L3	0	("s24" "S25" "S26") AND VIDEO NEAR3 MULTIPLE NEAR2 (bit$2rates)	US-PGPUB; USPAT	OR	ON	2020/12/18 15:52
L4	0	("s24" "S25" "S27") AND VIDEO NEAR3 MULTIPLE NEAR2 (bit$2rates)	US-PGPUB; USPAT	OR	ON	2020/12/18 15:54
L7	42	((H04N21/2662 OR H04N21/234327 OR H04N21/2393 OR H04L65/80 OR H04L67/2842 OR H04L65/4069 OR H04L65/607 OR H04L65/608).CPC.) and streamlet with bit$2rates with encod$3	US-PGPUB; USPAT	OR	ON	2020/12/18 15:55
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12/18/2020 3:55:44 PM
C:\Users\cpatel\Documents\EAST\Workspaces\16876604.wsp

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

Doc code: IDS
Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99)

Application Number	16876604
Filing Date	2020-05-18
First Named Inventor	David F. Brueck
Art Unit	2454
Examiner Name	Chirag R. Patel
Attorney Docket Number	066.0141X1C10

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

U.S.PATENTS Remove

Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5267334	A	1993-11-30	Normille et al.	
	2	5404446	A	1995-04-04	Bowater et al.	
	3	5841432	A	1998-11-24	Carmel et al.	
	4	6389473	B1	2002-05-14	Carmel et al.	
	5	6172672	B1	2001-01-09	Ramasubramanian et al.	

If you wish to add additional U.S. Patent citation information please click the Add button. Add

U.S.PATENT APPLICATION PUBLICATIONS Remove

Examiner Initial*	Cite No	Publication Number	Kind Code[1]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20020156912	A1	2002-10-24	Hurst et al.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	16876604
	Filing Date	2020-05-18
	First Named Inventor	David F. Brueck
	Art Unit	2454
	Examiner Name	Chirag R. Patel
	Attorney Docket Number	066.0141X1C10

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

	2	20030055995	A1	2003-03-20	Ala Honkola	
	3	20030233464	A1	2003-12-18	Walpole et al.	
	4	20020131496	A1	2002-09-19	Vasudevan et al.	
	5	20030135863	A1	2003-07-17	VanDer Schaar	

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FOREIGN PATENT DOCUMENTS Remove

Examiner Initial*	Cite No	Foreign Document Number[3]	Country Code[2]	Kind Code[4]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T[5]
	1	0365683	EP	A1	1990-05-02	OTSUKA PHARMA CO LTD		X

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NON-PATENT LITERATURE DOCUMENTS Remove

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T[5]
	1	ROY, S., et al., "Architecture of a Modular Streaming Media Server for Content Delivery Networks," 2002 IEEE. Published in the 2003 International Conference on Multimedia and Expo ICME 2003.	
	2	BOMMAIAH, E., et al., "Design and Implementation of a Caching System for Streaming Media over the Internet," 2000 IEEE. Published in RTAS '00 Proceedings of the Sixth IEEE Real Time Technology and Applications Symposium (RTAS 2000), page 111.	

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Page 648

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	16876604
	Filing Date	2020-05-18
	First Named Inventor	David F. Brueck
	Art Unit	2454
	Examiner Name	Chirag R. Patel
	Attorney Docket Number	066.0141X1C10

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

	3	DEFENDANT JADOO TV, INC.'S DISCLOSURE OF INVALIDITY CONTENTIONS, U.S. N. Dist. Ca. Case No. 5:18-cv-05214-EJD dated Sept. 22, 2020.	
	4	DEFENDANT JADOO TV, INC.'S DISCLOSURE OF INVALIDITY CONTENTIONS APPENDIX A, U.S. N. Dist. Ca. Case No. 5:18-cv-05214-EJD dated Sept. 22, 2020.	
	5	Balk et al., Adaptive Video Streaming: Pre-Encoded MPEG-4 with Bandwidth Scaling, 44 COMPUTER NETWORKS 415 (Mar. 2004)	

If you wish to add additional non-patent literature document citation information please click the Add button Add

EXAMINER SIGNATURE

Examiner Signature	/CHIRAG R PATEL/	Date Considered	12/18/2020

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (**Not for submission under 37 CFR 1.99)**	Application Number	16876604
	Filing Date	2020-05-18
	First Named Inventor	David F. Brueck
	Art Unit	2454
	Examiner Name	Chirag R. Patel
	Attorney Docket Number	066.0141X1C10

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☒ See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/BRETT A. CARLSON/	Date (YYYY-MM-DD)	2020-11-16
Name/Print	Brett A. Carlson	Registration Number	39928

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99)

Application Number	16876604
Filing Date	2020-05-18
First Named Inventor	David F. Brueck
Art Unit	2454
Examiner Name	Patel, Chirag R.
Attorney Docket Number	066.0141X1C10

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	Application Number	16876604
	Filing Date	2020-05-18
	First Named Inventor	David F. Brueck
	Art Unit	2454
	Examiner Name	Patel, Chirag R.
	Attorney Docket Number	066.0141X1C10

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Signature	/BRETT A. CARLSON/	Date (YYYY-MM-DD)	2020-11-16
Name/Print	Brett A. Carlson	Registration Number	39928

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Bibliographic Data

Application No: 16/876,604

Foreign Priority claimed: ○ Yes ◉ No

35 USC 119 (a-d) conditions met: ☐ Yes ☑ No ☐ Met After Allowance

Verified and Acknowledged: /CHIRAG R PATEL/ Examiner's Signature | Initials

Title: APPARATUS, SYSTEM, AND METHOD FOR MULTI-BITRATE CONTENT STREAMING

FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
05/18/2020	709	2454	066.0141X1C10
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APPLICANTS

DISH Technologies L.L.C., Englewood, CO,

INVENTORS

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MARK B. HURST, CEDAR HILLS, UT, UNITED STATES

R. DREW MAJOR, OREM, UT, UNITED STATES

CONTINUING DATA

This application is a CON of 16004056 06/08/2018 PAT 10659513

16004056 is a CON of 15414025 01/24/2017 PAT 9998516

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Signature	/BRETT A. CARLSON/	Date (YYYY-MM-DD)	2020-11-16
Name/Print	Brett A. Carlson	Registration Number	39928

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.

2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).

5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

Doc Code: C.AD
Document Description: Change of Address

AIA/122-EFS

CHANGE OF CORRESPONDENCE ADDRESS
Application

Application Number	16876604	Art Unit	2454
Filing Date	18-May-2020	Examiner Name	CHIRAG PATEL
First Name Inventor	DAVID BRUECK	Attorney Doc. Number	066.0141X1C10

Please change the correspondence address for the above-identified patent application to:

☒ The address associated with Customer Number:	54583 DISH Technologies L.L.C. 9601 S. Meridian Blvd ENGLEWOOD CO 80112 UNITED STATES 303-706-4506 ipdept@dish.com

OR

☐ Firm or Individual Name:					
Address					
City		State		Postal Code	
Country					
Telephone		Email			

Signature :

I certify, in accordance with 37 CFR 1.4(d)(4) that I am an attorney or agent registered to practice before the Patent and Trademark Office who has filed and has been granted power of attorney in this application.

Signature	/BRETT A. CARLSON/	**Date**	01-25-2021
Name	Brett Carlson	**Registration Number**	39928

WEB C.AD 1.0

Doc Code: MFEE.C.AD
Document Description: Maintenance Fee Address Change

PTO/SB/47-EFS

FEE ADDRESS INDICATION FORM

Application Number	16876604	Art Unit	2454
Filing Date	18-May-2020	Examiner Name	CHIRAG PATEL
First Name Inventor	DAVID BRUECK	Attorney Doc. Number	066.0141X1C10

INSTRUCTIONS: In order for the fee address identified on this form to be effective, the issue fee must have been paid for the application listed on this form. Only an address represented by a customer number can be established as the fee address for maintenance fee purposes (hereafter, fee address). A fee address should be established when correspondence related to maintenance fees should be mailed to a different address than the correspondence address for the application. For more information on customer numbers, see the Manual of Patent Examining Procedure (MPEP) § 403.

Please recognize as the Fee Address under the provisions of 37 CFR 1.363 the address associated with:

Customer Number:	000197 CPA GLOBAL LIMITED 2318 Mill Road 12th Floor ALEXANDRIA VA 22314 UNITED STATES 7037392234

Signature :

I certify, in accordance with 37 CFR 1.4(d)(4) that I am an attorney or agent registered to practice before the Patent and Trademark Office who has filed and has been granted power of attorney in this application.

Signature	/BRETT A. CARLSON/	**Date**	01-25-2021
Name	Brett Carlson	**Registration Number**	39928

Electronic Patent Application Fee Transmittal

Application Number:	16876604
Filing Date:	18-May-2020
Title of Invention:	APPARATUS, SYSTEM, AND METHOD FOR MULTI-BITRATE CONTENT STREAMING
First Named Inventor/Applicant Name:	DAVID F. BRUECK
Filer:	Brett Carlson/Julie Graf
Attorney Docket Number:	066.0141X1C10

Filed as Large Entity

Filing Fees for Utility under 35 USC 111(a)

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Basic Filing:				
UTILITY APPL ISSUE FEE	1501	1	1200	1200
PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL	1504	1	0	0
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
		Total in USD ($)		**1200**

Electronic Acknowledgement Receipt

EFS ID:	41732843
Application Number:	16876604
International Application Number:	
Confirmation Number:	6495
Title of Invention:	APPARATUS, SYSTEM, AND METHOD FOR MULTI-BITRATE CONTENT STREAMING
First Named Inventor/Applicant Name:	DAVID F. BRUECK
Customer Number:	70560
Filer:	Brett Carlson/Julie Graf
Filer Authorized By:	Brett Carlson
Attorney Docket Number:	066.0141X1C10
Receipt Date:	25-JAN-2021
Filing Date:	18-MAY-2020
Time Stamp:	16:17:40
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	$1200
RAM confirmation Number	E20211OG17332722
Deposit Account	
Authorized User	
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	Web85b.pdf	46395 69963458fb78d1f5da1a6e80c63a884c41afae90	no	2
Warnings:					
Information:					
2	Change of Address	web85correspondenceaddress.pdf	36965 9f38137ff6ff735d7c6cf9246dacf926dde74d20	no	1
Warnings:					
Information:					
3	Maintenance Fee Address Change	web85feeaddress.pdf	32602 fde1854da49de4cc74262e4e649d8bdc98051449	no	1
Warnings:					
Information:					
4	Fee Worksheet (SB06)	fee-info.pdf	31850 dec0cbfeb577734241a53445c508300485ea2f69	no	2
Warnings:					
Information:					
		Total Files Size (in bytes):	147812		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Document Description: Issue Fee Payment (PTO-85B)

Issue Fee Transmittal Form

Application Number	Filing Date	First Named Inventor	Atty. Docket No.	Confirmation No.
16876604	18-May-2020	DAVID BRUECK	066.0141X1C10	6495

TITLE OF INVENTION :

APPARATUS, SYSTEM, AND METHOD FOR MULTI-BITRATE CONTENT STREAMING

Entity Status	Application Type	Art Unit	Class - Subclass	EXAMINER
Regular Undiscounted	Utility under 35 USC 111(a)	2454	219000	CHIRAG PATEL

Issue Fee Due	Publication Due	Total Fee(s) Due	Date Due	Prev. Paid Fee
$1200	$0	$1200	22-Apr-2021	$0

1.Change of Correspondence Address and/or Indication Of Fee Address (37 CFR 1.33 & 1.363)

Current Correspondence Address:	Current Indicated Fee Address :
70560 LKGLOBAL (Dish Technologies L.L.C.) 7010 E. COCHISE ROAD SCOTTSDALE AZ 85253 UNITED STATES 480-385-5060 dish@lkglobal.com	
☒ Change of correspondence address requested, system generated AIA/122-EFS form attached	☒ Fee Address indication requested, system generated SB/47-EFS form attached

2.Entity Status

Change in Entity Status

○ Applicant certifying micro entity status; system generated Micro Entity certification form attached. See 37 CFR 1.29.
Note: Absent a valid certification of micro entity status, issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. If this box is checked, you will be prompted to choose a micro entity status on the gross income basis (37 CFR 1.29(a)) or the institution of higher education basis (37 CFR 1.29(d)), and make the applicable certification online.

○ Applicant asserting small entity status. See 37 CFR 1.27.
Note: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

◉ Applicant changing to regular undiscounted fee status.
Note: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

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Page 691

Doc Code: IFEE PTOL/85B-EFS

Document Description: Issue Fee Payment (PTO-85B)

3.The Following Fee(s) Are Submitted:

☒ Issue Fee	☐ I authorize USPTO to apply my previously paid issue fee to the current fees due
☐ Publication Fee	☐ The Director is hereby authorized to apply my previously paid issue fee to the current fee due and to charge deficient fees to Deposit Account Number _____
☐ Advance Order - # of copies _____	☒ If **in addition to** the payment of the issue fee amount submitted with this form, there are any discrepancies in any amount(s) due, the Director is authorized to charge any deficiency, or credit any overpayment, to Deposit Account Number 502091 . The **issue fee must be submitted** with this form. **If payment of the issue fee does not accompany this form, checking this box and providing a deposit account number will NOT be effective to satisfy full payment of the fee(s) due.**

4.Firm and/or Attorney Names To Be Printed

NOTE: If no name is listed, no name will be printed
For printing on the patent front page, list to be displayed as entered

1. LORENZ & KOPF LLP
2.
3.

5.Assignee Name(s) and Residence Data To Be Printed

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

Name	City	State	Country	Category
DISH Technologies L.L.C.	Englewood	COLORADO	united states	corporation

6.Signature

I certify, in accordance with 37 CFR 1.4(d)(4) that I am an attorney or agent registered to practice before the Patent and Trademark Office who has filed and has been granted power of attorney in this application. I also certify that this Fee(s) Transmittal form is being transmitted to the USPTO via EFS-WEB on the date indicated below.

Signature	/BRETT A. CARLSON/	**Date**	01-25-2021
Name	Brett Carlson	**Registration Number**	39928

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/876,604	05/18/2020	DAVID F. BRUECK	066.0141X1C10	6495

54583 7590 02/03/2021

DISH Technologies L.L.C.
9601 S. Meridian Blvd
ENGLEWOOD, CO 80112

EXAMINER	
PATEL, CHIRAG R	

ART UNIT	PAPER NUMBER
2454	

NOTIFICATION DATE	DELIVERY MODE
02/03/2021	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipdept@dish.com
max.gratton@dish.com
tammy.jansen@dish.com

Corrected ***Notice of Allowability***	**Application No.** 16/876,604	**Applicant(s)** BRUECK et al.	
	Examiner CHIRAG R PATEL	**Art Unit** 2454	**AIA (FITF) Status** No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☑ This communication is responsive to the printer rush on January 26, 2021.
   ☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

3. ☑ The allowed claim(s) is/are 1-29 . As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see **http://www.uspto.gov/patents/init_events/pph/index.jsp** or send an inquiry to **PPHfeedback@uspto.gov.**

4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   Certified copies:

   a) ☐ All b) ☐ Some *c) ☐ None of the:

   1. ☐ Certified copies of the priority documents have been received.
   2. ☐ Certified copies of the priority documents have been received in Application No. _____ .
   3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

   * Certified copies not received: _____ .

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
   ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____ .

   Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____.
3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material _____.
4. ☑ Interview Summary (PTO-413), Paper No./Mail Date. 1/29/21.
5. ☑ Examiner's Amendment/Comment
6. ☑ Examiner's Statement of Reasons for Allowance
7. ☐ Other _____.

/CHIRAG R PATEL/
Primary Examiner, Art Unit 2454

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Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

EXAMINER'S AMENDMENT

Authorization for this examiner's amendment was given in an interview with Brett A. Carlson on January 14, 2021 and January 29, 2021.

18. (Currently Amended) The end user station of claim ~~18~~ 17, wherein the virtual timeline corresponds to the currently selected one of the low quality stream, the medium quality stream, and the high quality stream.

29. (Currently Amended) The process of claim ~~30~~ 28 further comprising: placing a streamlet request over one or more internet connections from the one or more end user stations to retrieve the first streamlet storing the first portion of the video, wherein the streamlet request is based, at least in part, on the received virtual timeline;

receiving the requested streamlet from the server via the one or more network connections; and rendering, by the content player device, the received streamlet for playback of the video

Allowable Subject Matter

The following is a statement of reasons for the indication of allowable subject matter: A terminal disclaimer has been filed to overcome the obvious double patent rejections of U.S. Patent No. 10,469,554. A review of the prior art fails to disclose or render obvious, "wherein the first streamlet of each of the groups of streamlets has the same first duration and encodes the same first portion of the video in each of the low quality stream, the medium quality stream, and the high quality stream, and wherein the first streamlet of the low quality stream

encodes the same first portion of the video at a different bitrate than the first streamlet of the high quality stream and the first streamlet of the medium quality stream".

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chirag R Patel whose telephone number is (571)272-7966. The examiner can normally be reached on Monday to Friday from 8:00AM to 4:30PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenton Burgess, can be reached on 571-272-3949. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pairdirect.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll free).

/Chirag R Patel/
Primary Examiner, Art Unit 2454

Examiner-Initiated Interview Summary	Application No. 16/876,604	Applicant(s) BRUECK et al.		
	Examiner CHIRAG R PATEL	Art Unit 2454	AIA (First Inventor to File) Status No	Page 1 of 1

All Participants (applicant, applicants representative, PTO personnel)	Title	Type
CHIRAG R PATEL	Primary Examiner	Telephonic
Brett A. Carlson	Attorney	

Date of Interview: 29 January 2021

Issues Discussed:

Objections

Called examiner to fix claim dependency for claim 18 to depend from claim 17. Applicants authorized examiner's amendment.

/CHIRAG R PATEL/ Primary Examiner, Art Unit 2454	

Applicant is reminded that a complete written statement as to the substance of the interview must be made of record in the application file. It is the applicants responsibility to provide the written statement, unless the interview was initiated by the Examiner and the Examiner has indicated that a written summary will be provided. See MPEP 713.04
Please further see:
MPEP 713.04
Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews, paragraph (b)
37 CFR § 1.2 Business to be transacted in writing

Applicant recordation instructions: It is not necessary for applicant to provide a separate record of the substance of interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

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Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	**Art Unit**
	CHIRAG R PATEL	2454

CPC						
Symbol					**Type**	**Version**
H04L	/	65	/	607	F	2013-01-01
H04L	/	47	/	801	I	2013-01-01
H04L	/	47	/	12	I	2013-01-01
H04L	/	65	/	4069	I	2013-01-01
G06F	/	16	/	71	I	2019-01-01
G06F	/	16	/	183	I	2019-01-01
H04L	/	29	/	06027	I	2013-01-01
H04N	/	7	/	24	I	2013-01-01
H04N	/	21	/	23439	I	2013-01-01
H04N	/	21	/	4331	I	2013-01-01
H04N	/	21	/	84	I	2013-01-01
H04N	/	21	/	8456	I	2013-01-01
H04L	/	65	/	80	I	2013-01-01
H04L	/	65	/	608	I	2013-01-01
H04L	/	67	/	02	I	2013-01-01
H04L	/	65	/	1069	I	2013-01-01
H04L	/	67	/	2842	I	2013-01-01
H04L	/	67	/	32	I	2013-01-01
H04N	/	21	/	2662	I	2013-01-01

CPC Combination Sets							
Symbol					**Type**	**Set**	**Ranking**	**Version**
	/		/					

NONE (Assistant Examiner)	(Date)	**Total Claims Allowed:** 29	
/CHIRAG R PATEL/ Primary Examiner, Art Unit 2454 (Primary Examiner)	29 January 2021 (Date)	O.G. Print Claim(s) 1	O.G. Print Figure 1

U.S. Patent and Trademark Office — Part of Paper No.: 20210129

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Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	**Art Unit**
	CHIRAG R PATEL	2454

INTERNATIONAL CLASSIFICATION				
CLAIMED				
H04L	/	29	/	06

NON-CLAIMED				
	/		/	

US ORIGINAL CLASSIFICATION	
CLASS	**SUBCLASS**

CROSS REFERENCES(S)						
CLASS	**SUBCLASS (ONE SUBCLASS PER BLOCK)**					

NONE (Assistant Examiner)	(Date)	**Total Claims Allowed:** 29	
/CHIRAG R PATEL/ Primary Examiner, Art Unit 2454 (Primary Examiner)	29 January 2021 (Date)	O.G. Print Claim(s) 1	O.G. Print Figure 1

U.S. Patent and Trademark Office — Part of Paper No.: 20210129

fuboTV Media Inc.
Exhibit 1002
Page 699

Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	**Art Unit**
	CHIRAG R PATEL	2454

☑ Claims renumbered in the same order as presented by applicant ☐ CPA ☑ T.D. ☐ R.1.47

CLAIMS

Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
	1		10		19		28								
	2		11		20		29								
	3		12		21										
	4		13		22										
	5		14		23										
	6		15		24										
	7		16		25										
	8		17		26										
	9		18		27										

NONE		**Total Claims Allowed:**	
(Assistant Examiner)	(Date)	29	
/CHIRAG R PATEL/ Primary Examiner, Art Unit 2454	29 January 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

U.S. Patent and Trademark Office — Part of Paper No.: 20210129

fuboTV Media Inc.
Exhibit 1002
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Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	Art Unit
	CHIRAG R PATEL	2454

CPC - Searched*		
Symbol	Date	Examiner
H04N19/34, H04N19/40, H04N21/234327	07/19/2020	C.P.
H04N21/2662, H04N21/234327, H04N21/2393	07/19/2020	C.P.
H04L65/80,H04L67/2842, H04L65/4069, H04L65/607, H04L65/608	07/19/2020	C.P.

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*			
Class	Subclass	Date	Examiner

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	Date	Examiner
EAST	07/19/2020	C.P.
EAST	11/05/2020	C.P.
EAST	12/18/2020	C.P.

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner
H04N	H04N21/2662, H04N21/234327, H04N21/2393	11/05/2020	C.P.
H04L	H04L65/80, H04L67/2842, H04L65/4069, H04L65/607, H04L65/608	11/05/2020	C.P.

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Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	Art Unit
	CHIRAG R PATEL	2454

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Index of Claims	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	**Art Unit**
	CHIRAG R PATEL	2454

✓	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	O	Objected

CLAIMS

☑ Claims renumbered in the same order as presented by applicant ☐ CPA ☑ T.D. ☐ R.1.47

CLAIM		DATE								
Final	Original	07/20/2020	11/05/2020	01/14/2021	01/29/2021					
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	3	✓	=	=	=					
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	28	✓	=	=	=					
	29	✓	=	=	=					

fuboTV Media Inc.
Exhibit 1002
Page 703

Bibliographic Data

Application No: 16/876,604

Foreign Priority claimed: ○ Yes ◉ No

35 USC 119 (a-d) conditions met: ☐ Yes ☑ No ☐ Met After Allowance

Verified and Acknowledged: /CHIRAG R PATEL/ Examiner's Signature | Initials

Title: APPARATUS, SYSTEM, AND METHOD FOR MULTI-BITRATE CONTENT STREAMING

FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
05/18/2020	709	2454	066.0141X1C10
RULE			

APPLICANTS

DISH Technologies L.L.C., Englewood, CO,

INVENTORS

DAVID F. BRUECK, SARATOGA SPRINGS, UT, UNITED STATES

MARK B. HURST, CEDAR HILLS, UT, UNITED STATES

R. DREW MAJOR, OREM, UT, UNITED STATES

CONTINUING DATA

This application is a CON of 16004056 06/08/2018 PAT 10659513

16004056 is a CON of 15414025 01/24/2017 PAT 9998516

15414025 is a CON of 14719122 05/21/2015 PAT 9571551

14719122 is a CON of 14106051 12/13/2013 PAT 9071668

14106051 is a CON of 13617114 09/14/2012 PAT 8612624

13617114 is a CON of 12906940 10/18/2010 PAT 8402156

12906940 is a CON of 11673483 02/09/2007 PAT 7818444

11673483 is a CIP of 11116783 04/28/2005 PAT 8868772

11116783 has PRO of 60566831 04/30/2004

FOREIGN APPLICATIONS

IF REQUIRED, FOREIGN LICENSE GRANTED**

05/26/2020

STATE OR COUNTRY

UNITED STATES

ADDRESS

DISH Technologies L.L.C.

9601 S. Meridian Blvd
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UNITED STATES

FILING FEE RECEIVED

$7,220

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/876,604	05/18/2020	DAVID F. BRUECK	066.0141X1C10	6495

54583 7590 02/17/2021

DISH Technologies L.L.C.
9601 S. Meridian Blvd
ENGLEWOOD, CO 80112

EXAMINER	
PATEL, CHIRAG R	

ART UNIT	PAPER NUMBER
2454	

NOTIFICATION DATE	DELIVERY MODE
02/17/2021	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipdept@dish.com
max.gratton@dish.com
tammy.jansen@dish.com

Corrected ***Notice of Allowability***	**Application No.** 16/876,604	**Applicant(s)** BRUECK et al.	
	Examiner CHIRAG R PATEL	**Art Unit** 2454	**AIA (FITF) Status** No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☑ This communication is responsive to the printer rush on February 8, 2021.
   ☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

3. ☑ The allowed claim(s) is/are 1-29 . As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see **http://www.uspto.gov/patents/init_events/pph/index.jsp** or send an inquiry to **PPHfeedback@uspto.gov.**

4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   Certified copies:

   a) ☐ All b) ☐ Some *c) ☐ None of the:

   1. ☐ Certified copies of the priority documents have been received.
   2. ☐ Certified copies of the priority documents have been received in Application No. _____ .
   3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

   * Certified copies not received: _____ .

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
   ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____ .

   Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 11/24/20.
3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material _____.
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date. _____.
5. ☑ Examiner's Amendment/Comment
6. ☐ Examiner's Statement of Reasons for Allowance
7. ☐ Other _____.

/CHIRAG R PATEL/
Primary Examiner, Art Unit 2454

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Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

EXAMINER'S AMENDMENT

Authorization for this examiner's amendment was previously given in an interview with Brett A. Carlson on January 14, 2021 and January 29, 2021.

18. (Currently Amended) The end user station of claim ~~18~~ 17, wherein the virtual timeline corresponds to the currently selected one of the low quality stream, the medium quality stream, and the high quality stream.

29. (Currently Amended) The process of claim ~~30~~ 28 further comprising: placing a streamlet request over one or more internet connections from the one or more end user stations to retrieve the first streamlet storing the first portion of the video, wherein the streamlet request is based, at least in part, on the received virtual timeline;

receiving the requested streamlet from the server via the one or more network connections; and rendering, by the content player device, the received streamlet for playback of the video.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chirag R Patel whose telephone number is (571)272-7966. The examiner can normally be reached on Monday to Friday from 8:00AM to 4:30PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenton Burgess, can be reached on 571-272-3949. The fax

phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pairdirect.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll free).

/Chirag R Patel/
Primary Examiner, Art Unit 2454

Index of Claims	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	**Art Unit**
	CHIRAG R PATEL	2454

✓	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	O	Objected

CLAIMS

☑ Claims renumbered in the same order as presented by applicant ☐ CPA ☑ T.D. ☐ R.1.47

CLAIM		DATE								
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	29	✓	=	=	=					

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Exhibit 1002
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Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	**Art Unit**
	CHIRAG R PATEL	2454

CPC						
Symbol					**Type**	**Version**
H04L	/	65	/	607	F	2013-01-01
H04L	/	47	/	801	I	2013-01-01
H04L	/	47	/	12	I	2013-01-01
H04L	/	65	/	4069	I	2013-01-01
G06F	/	16	/	71	I	2019-01-01
G06F	/	16	/	183	I	2019-01-01
H04L	/	29	/	06027	I	2013-01-01
H04N	/	7	/	24	I	2013-01-01
H04N	/	21	/	23439	I	2013-01-01
H04N	/	21	/	4331	I	2013-01-01
H04N	/	21	/	84	I	2013-01-01
H04N	/	21	/	8456	I	2013-01-01
H04L	/	65	/	80	I	2013-01-01
H04L	/	65	/	608	I	2013-01-01
H04L	/	67	/	02	I	2013-01-01
H04L	/	65	/	1069	I	2013-01-01
H04L	/	67	/	2842	I	2013-01-01
H04L	/	67	/	32	I	2013-01-01
H04N	/	21	/	2662	I	2013-01-01

CPC Combination Sets							
Symbol					**Type**	**Set**	**Ranking**	**Version**
	/		/					

NONE		**Total Claims Allowed:**	
(Assistant Examiner)	(Date)	29	
/CHIRAG R PATEL/ Primary Examiner, Art Unit 2454	11 February 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

U.S. Patent and Trademark Office — Part of Paper No.: 20210211

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Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	**Art Unit**
	CHIRAG R PATEL	2454

INTERNATIONAL CLASSIFICATION				
CLAIMED				
H04L	/	29	/	06

NON-CLAIMED				
	/		/	

US ORIGINAL CLASSIFICATION	
CLASS	**SUBCLASS**

CROSS REFERENCES(S)						
CLASS	**SUBCLASS (ONE SUBCLASS PER BLOCK)**					

NONE (Assistant Examiner)	(Date)	**Total Claims Allowed:** 29	
/CHIRAG R PATEL/ Primary Examiner, Art Unit 2454 (Primary Examiner)	11 February 2021 (Date)	O.G. Print Claim(s) 1	O.G. Print Figure 1

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Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	**Art Unit**
	CHIRAG R PATEL	2454

☑ Claims renumbered in the same order as presented by applicant ☐ CPA ☑ T.D. ☐ R.1.47

CLAIMS

Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
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	2		11		20		29								
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	8		17		26										
	9		18		27										

NONE (Assistant Examiner) (Date)	**Total Claims Allowed:** 29	
/CHIRAG R PATEL/ Primary Examiner, Art Unit 2454 (Primary Examiner) 11 February 2021 (Date)	O.G. Print Claim(s) 1	O.G. Print Figure 1

U.S. Patent and Trademark Office Part of Paper No.: 20210211

fuboTV Media Inc.
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Page 713

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	Art Unit
	CHIRAG R PATEL	2454

CPC - Searched*		
Symbol	Date	Examiner
H04N19/34, H04N19/40, H04N21/234327	07/19/2020	C.P.
H04N21/2662, H04N21/234327, H04N21/2393	07/19/2020	C.P.
H04L65/80,H04L67/2842, H04L65/4069, H04L65/607, H04L65/608	07/19/2020	C.P.

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*			
Class	Subclass	Date	Examiner

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Search Notes		
Search Notes	Date	Examiner
EAST	07/19/2020	C.P.
EAST	11/05/2020	C.P.
EAST	12/18/2020	C.P.

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner
H04N	H04N21/2662, H04N21/234327, H04N21/2393	11/05/2020	C.P.
H04L	H04L65/80, H04L67/2842, H04L65/4069, H04L65/607, H04L65/608	11/05/2020	C.P.

fuboTV Media Inc.
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Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	16/876,604	BRUECK et al.
	Examiner	Art Unit
	CHIRAG R PATEL	2454

fuboTV Media Inc.
Exhibit 1002
Page 715

Bibliographic Data

Application No: 16/876,604

Foreign Priority claimed: ○ Yes ◉ No

35 USC 119 (a-d) conditions met: ☐ Yes ☑ No ☐ Met After Allowance

Verified and Acknowledged: /CHIRAG R PATEL/ Examiner's Signature | Initials

Title: APPARATUS, SYSTEM, AND METHOD FOR MULTI-BITRATE CONTENT STREAMING

FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
05/18/2020	709	2454	066.0141X1C10
RULE			

APPLICANTS

DISH Technologies L.L.C., Englewood, CO,

INVENTORS

DAVID F. BRUECK, SARATOGA SPRINGS, UT, UNITED STATES

MARK B. HURST, CEDAR HILLS, UT, UNITED STATES

R. DREW MAJOR, OREM, UT, UNITED STATES

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FOREIGN APPLICATIONS

IF REQUIRED, FOREIGN LICENSE GRANTED**

05/26/2020

STATE OR COUNTRY

UNITED STATES

ADDRESS

DISH Technologies L.L.C.

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ENGLEWOOD, CO 80112
UNITED STATES

FILING FEE RECEIVED

$7,220

Doc code: IDS
Doc description: Information Disclosure Statement (IDS) Filed

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Approved for use through 07/31/2012. OMB 0651-0031
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99)

Application Number	16876604
Filing Date	2020-05-18
First Named Inventor	David F. Brueck
Art Unit	2454
Examiner Name	Patel, Chirag R.
Attorney Docket Number	066.0141X1C10

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

U.S.PATENTS Remove

Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	7577750	B2	2009-08-18	Shen et al.	
	2	6195680	B1	2001-02-27	Goldszmidt et al.	
	3	6449719	B1	2002-09-10	Baker	
	4	7369610	B2	2008-05-06	Xu et al.	
	5	7408984	B2	2008-08-05	Lu et al.	
	6	7523181	B2	2009-04-21	Swildens et al.	
	7	6976090	B2	2005-12-13	Ben-Shaul et al.	
	8	7240100	B1	2007-07-03	Wein et al.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	16876604
	Filing Date	2020-05-18
	First Named Inventor	David F. Brueck
	Art Unit	2454
	Examiner Name	Patel, Chirag R.
	Attorney Docket Number	066.0141X1C10

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

	9	6850965	B2	2005-02-01	Allen	
	10	7334044	B1	2008-02-19	Allen	
	11	7760801	B2	2010-07-20	Ghanbari et al.	
	12	7099954	B2	2006-08-29	Li et al.	
	13	6760772	B2	2004-07-06	Zou et al.	
	14	7054911	B1	2006-05-30	Lango et al.	
	15	7797439	B2	2010-09-14	Cherkasova et al.	
	16	7477688	B1	2009-01-13	Zhang et al.	
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	18	5168356		1992-12-01	Acampora et al.	
	19	7818444	B2	2010-10-19	Brueck et al.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
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	Art Unit	2454
	Examiner Name	Patel, Chirag R.
	Attorney Docket Number	066.0141X1C10

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

	20	7599307	B2	2009-10-06	Seckni et al.	
	21	7093001	B2	2006-08-15	Yang et al.	
	22	6874015	B2	2005-03-29	Kaminsky et al.	
	23	6845107	B1	2005-01-18	Kitazawa et al.	
	24	6185736	B1	2001-02-06	Ueno	
	25	5768527 ~~5768527~~		1998-06-16	Zhu et al.	
	26	7274740	B2	2007-09-25	van Beek et al.	
	27	7546355	B2	2009-06-09	Kalnitsky	
	28	7174385	B2	2007-02-06	Li	
	29	7376747	B2	2008-05-20	Hartop	
	30	7719985	B2	2010-05-18	Lee et al.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
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	Filing Date	2020-05-18
	First Named Inventor	David F. Brueck
	Art Unit	2454
	Examiner Name	Patel, Chirag R.
	Attorney Docket Number	066.0141X1C10

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

	31	7349358	B2	2008-03-25	Hennessey et al.	
	32	7194549	B1	2007-03-20	Lee et al.	
	33	6374289	B2	2002-04-16	Delaney et al.	
	34	7325073	B2	2008-01-29	Shao et al.	
	35	7609652	B2	2009-10-27	Kellerer et al.	
	36	6795863	B1	2004-09-21	Doty, Jr.	
	37	7817985	B2	2010-10-19	Moon	
	38	7788395	B2	2010-08-31	Bowra et al.	
	39	7536469	B2	2009-05-19	Chou et al.	
	40	7412531	B2	2008-08-12	Lango et al.	
	41	7295520	B2	2007-11-13	Lee et al.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
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	Art Unit	2454
	Examiner Name	Patel, Chirag R.
	Attorney Docket Number	066.0141X1C10

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That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

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Signature	/BRETT A. CARLSON/	Date (YYYY-MM-DD)	2020-11-16
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2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	16876604
	Filing Date	2020-05-18
	First Named Inventor	David F. Brueck
	Art Unit	2454
	Examiner Name	Patel, Chirag R.
	Attorney Docket Number	066.0141X1C10

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.R.P/

Change(s) applied to document, /C.G./ 2/5/2021	64	5732183		~~2004-05-04~~ 03/1998	~~Graham~~ Sugiyama	
	65	6968387		2005-11-22	Lanphear	
	66	7075986		2006-07-11	Girod et al.	
	67	7096271		2006-08-22	Omoigui et al.	
	68	7349976		2008-03-25	Glaser et al.	
	69	7408984	B2	2008-08-05	Lu et al.	
	70	4535355		1985-08-13	Arn et al.	
	71	8036265	B1	2011-10-11	Reynolds et al.	
	72	5687095		1997-11-11	Haskell et al.	
	73	7116894	B1	2006-10-03	Chatterton	
	74	7328243	B2	2008-02-05	Yaeger et al.	

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APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/876,604	03/16/2021	10951680	066.0141X1C10	6495

54583 7590 02/24/2021

DISH Technologies L.L.C.
9601 S. Meridian Blvd
ENGLEWOOD, CO 80112

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

DISH Technologies L.L.C., Englewood, CO
DAVID F. BRUECK, SARATOGA SPRINGS, UT;
MARK B. HURST, CEDAR HILLS, UT;
R. DREW MAJOR, OREM, UT;

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AO 120 (Rev. 08/10)

TO:	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the District of Delaware on the following

☐ Trademarks or ☑ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT
	4/13/2021	for the District of Delaware

PLAINTIFF	DEFENDANT
DISH Technologies L.L.C. and Sling TV L.L.C.	ICON Health & Fitness, Inc.

	PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1	US 9,407,564 B2	8/2/2016	DISH Technologies L.L.C.
2	US 10,469,554 B2	11/5/2019	DISH Technologies L.L.C.
3	US 10,469,555 B2	11/5/2019	DISH Technologies L.L.C.
4	US 10,757,156 B2	8/25/2020	DISH Technologies L.L.C.
5	US 10,951,680 B2	3/16/2021	DISH Technologies L.L.C.

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1---Upon initiation of action, mail this copy to Director Copy 3---Upon termination of action, mail this copy to Director
Copy 2---Upon filing document adding patent(s), mail this copy to Director Copy 4---Case file copy

AO 120 (Rev. 08/10)

TO:	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas on the following

☐ Trademarks or ☑ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT
2:21-cv-00132	4/13/2021	Eastern District of Texas

PLAINTIFF	DEFENDANT
DISH TECHNOLOGIES L.L.C. and SLING TV L.L.C.	PELOTON INTERACTIVE, INC.

	PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1	9,407,564	8/2/2016	DISH Technologies L.L.C.
2	10,469,554	11/5/2019	DISH Technologies L.L.C.
3	10,469,555	11/5/2019	DISH Technologies L.L.C.
4	10,757,156	8/25/2020	DISH Technologies L.L.C.
5	10,951,680	3/16/2021	DISH Technologies L.L.C.

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

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Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

TO:	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the District of Delaware on the following

☐ Trademarks or ☑ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT
	4/13/2021	for the District of Delaware

PLAINTIFF	DEFENDANT
DISH Technologies L.L.C. and Sling TV L.L.C.	lululemon athletica inc. and Curiouser Products Inc. (d/b/a MIRROR)

	PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1	US 9,407,564 B2	8/2/2016	DISH Technologies L.L.C.
2	US 10,469,554 B2	11/5/2019	DISH Technologies L.L.C.
3	US 10,469,555 B2	11/5/2019	DISH Technologies L.L.C.
4	US 10,757,156 B2	8/25/2020	DISH Technologies L.L.C.
5	US 10,951,680 B2	3/16/2021	DISH Technologies L.L.C.

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

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DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1---Upon initiation of action, mail this copy to Director Copy 3---Upon termination of action, mail this copy to Director
Copy 2---Upon filing document adding patent(s), mail this copy to Director Copy 4---Case file copy