

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SONY CORPORATION,

Petitioner

v.

OPTIMUM IMAGING TECHNOLOGIES LLC,

Patent Owner

Case IPR2024-00923

Patent No. 10,873,685

JOINT PROTECTIVE ORDER

Mail Stop PATENT BOARD
Patent Trial and Appeal Board
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

~~DEFAULT PROTECTIVE ORDER~~

~~The following Default Protective Order will govern the filing and treatment of confidential information in the proceeding:~~

~~Default~~Joint Protective Order

This protective order governs the treatment and filing of any confidential information, including documents and testimony.

1. Confidential ~~information materials~~ shall be clearly marked “~~PROTECTIVE ORDER MATERIAL CONFIDENTIAL~~.”

2. Access to materials designated as “eCONFIDENTIAL” ~~confidential information~~ is limited to the following individuals who have executed the A~~acknowledgment~~ appended to this order:

(A) Party Representatives. ~~ies.~~ -Persons who are owners of a patent involved in the proceeding and up to four (4) designated representatives of each of the parties to the extent reasonably necessary for this~~other persons who are named parties to the~~ proceeding.

(B) Party Representatives Outside Counsel of Record. Outside counsel ~~Representatives~~ of record for a party in the proceeding.

(C) Experts. Retained experts of a party in the proceeding who further certify in the Acknowledgement that they are not a competitor to any party, or a consultant for, or employed by, such a competitor with respect to the subject matter of the proceeding.

(D) In-house counsel. In-house counsel of a party.

(E) Support Personnel. Administrative assistants, clerical staff, court reporters and other support personnel of Outside Counsel of Record or Experts ~~the foregoing persons~~ who are reasonably necessary to assist those persons in the proceeding shall not be required to sign an Acknowledgement, but shall be informed of the terms and requirements of the Joint Protective Order by the person they are supporting who receives confidential information.

(F) The Office. Employees and representatives of the United States Patent and Trademark Office who have a need for access to the confidential information shall have such access without the requirement to sign an Acknowledgement. Such employees and representatives shall include the Director, members of the Board and their clerical staff, other support personnel, court reporters, and other persons acting on behalf of the Office.

3. Employees (e.g., corporate officers), consultants, or other persons performing work for a party, other than those persons identified above in (d)(2)(A)–(E), shall be extended access to materials designated as “CONFIDENTIAL”~~confidential information~~ only upon agreement of the parties or by order of the Board upon a motion brought by the party seeking to disclose the confidential information to that person and after signing the Acknowledgment. The party opposing disclosure to that person shall have the burden of proving that such person should be restricted from access to confidential information.

4. Confidential material that is so sensitive that its dissemination requires even further limitation, including but not limited to, for example, unpublished technical information, trade secret information, or financial information, shall be clearly marked as “HIGHLY CONFIDENTIAL – OUTSIDE ATTORNEYS’ EYES ONLY.”

5. Access to materials designated as “HIGHLY CONFIDENTIAL – OUTSIDE ATTORNEYS’ EYES ONLY” is limited to the following individuals who have executed the Acknowledgement appended to this order:

(A) Outside Counsel of Record. Outside counsel of record for a party in the proceeding.

(B) Experts. Retained experts of a party in the proceeding who further certify in the Acknowledgement that they are not a competitor to any party, or a consultant for, or employed by, such a competitor with respect to the subject matter of the proceeding.

(C) Support Personnel. Administrative assistants, clerical staff, court reporters and other support personnel of Outside Counsel of Record or Experts (including outside graphics vendors) who are reasonably necessary to assist those persons in the proceeding shall not be required to sign an Acknowledgement, but shall be informed of the terms and requirements of

the Joint Protective Order by the person they are supporting who receives confidential information.

(D) The Office. Employees and representatives of the United States Patent and Trademark Office who have a need for access to the confidential information shall have such access without the requirement to sign an Acknowledgement. Such employees and representatives shall include the Director, members of the Board and their clerical staff, other support personnel, court reporters, and other persons acting on behalf of the Office.

6. The term “Designated Material” as used herein shall mean material that is designated “CONFIDENTIAL,” and/or “HIGHLY CONFIDENTIAL – OUTSIDE ATTORNEYS’ EYES ONLY” and all copies, abstracts, compilations, summaries, and extracts of such material.

47. Persons receiving ~~confidential~~ Designated Material ~~information~~ shall use reasonable efforts to maintain the confidentiality of the information, including:

- (A) Maintaining such information in a secure location to which persons not authorized to receive the information shall not have access;
- (B) Otherwise using reasonable efforts to maintain the confidentiality of the information, which efforts shall be no less rigorous than those the recipient uses to maintain the confidentiality of information not received from the disclosing party;
- (C) Ensuring that support personnel of the recipient who have access to the confidential information understand and abide by the obligation to maintain the confidentiality of information received that is designated as confidential; and
- (D) Limiting the copying of confidential information to a reasonable number of copies needed for conduct of the proceeding and maintaining a record of the locations of such copies.

58. Persons receiving ~~confidential information~~ Designated Material shall use the following procedures to maintain the confidentiality of the information:

(A) Documents and Information Filed With the Board.

- (i) A party may file documents or information with the Board along with a Motion to Seal. The Motion to Seal should provide a non-

confidential description of the nature of the confidential information that is under seal, and set forth the reasons why the information is confidential and should not be made available to the public. A party may challenge the confidentiality of the information by opposing the Motion to Seal. The documents or information shall remain under seal unless the Board determines that some or all of it does not qualify for confidential treatment.

(ii) Where confidentiality is alleged as to some but not all of the information submitted to the Board, the submitting party shall file confidential and non-confidential versions of its submission, together with a Motion to Seal the confidential version setting forth the reasons why the information redacted from the non-confidential version is confidential and should not be made available to the public. A party may challenge the confidentiality of the information by opposing the Motion to Seal. The non-confidential version of the submission shall clearly indicate the locations of information that has been redacted. The confidential version of the submission shall be filed under seal. The redacted information shall remain under seal unless the Board determines that some or all of the redacted information does not qualify for confidential treatment.

(iii) A receiving Party may include excerpts of Designated Material in a paper, exhibit, expert declaration, discovery document, deposition transcript, or other document filed with the Board provided that the Designated Material is appropriately marked under this order and is filed with the Board for “Parties and Board only.” The producing Party will be responsible for filing a Motion to Seal in accordance with the Board’s rules, procedures, and orders.

(B) Documents and Information Exchanged Among the Parties. Documents (including deposition transcripts) and other information designated as confidential that are disclosed to another party during discovery or other proceedings before the Board shall be clearly marked as “~~PROTECTIVE ORDER MATERIAL CONFIDENTIAL~~” and/or “HIGHLY CONFIDENTIAL – OUTSIDE ATTORNEYS’ EYES ONLY” and shall be produced in a manner that maintains its confidentiality.

~~69.~~ Within 60 days after the final disposition of this action, including the exhaustion of all appeals and motions, each party receiving ~~confidential information~~ DESIGNATED MATERIAL must return, or certify the destruction of, all copies of the confidential information to the producing party.

~~10.(k)~~ Standard Acknowledgement of Joint Protective Order. The following form may be used to acknowledge a protective order and gain access to information covered by the protective order:

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Patent No. 10,873,685

Standard Acknowledgment for Access to Protective Order Material

JOINT PROTECTIVE ORDER

[CAPTION]

~~Standard Acknowledgment for Access to Protective Order Material~~

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Standard Acknowledgment for Access to Joint Protective Order Material

Sony Corp. v. Optimum Imaging Techs. LLC, IPR2024-00923

I _____, affirm that I have read the Joint Protective Order for IPR2024-00923; that I will abide by its terms; that I will use the confidential information only in connection with this proceeding and for no other purpose; that I will only allow access to support staff who are reasonably necessary to assist me in this proceeding; that prior to any disclosure to such support staff I informed or will inform them of the requirements of the Joint Protective Order; that I am personally responsible for the requirements of the terms of the Joint Protective Order and I agree to submit to the jurisdiction of the Office and the United States District Court for the Eastern District of Virginia for purposes of enforcing the terms of the Joint Protective Order and providing remedies for its breach.

Date: _____

[Signature]