

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO., LTD. and
SAMSUNG ELECTRONICS AMERICA, INC.,
Petitioner,

v.

HEADWATER RESEARCH LLC,
Patent Owner.

IPR2024-01051
Patent 8,639,811 B2

Before HYUN J. JUNG, SCOTT B. HOWARD, and
STEPHEN E. BELISLE, *Administrative Patent Judges*.

BELISLE, *Administrative Patent Judge*.

TERMINATION

Due to Settlement After Institution of Trial
Granting Joint Request to Treat Settlement
Agreement as Business Confidential Information
35 U.S.C. § 317; 37 C.F.R. § 42.74

I. INTRODUCTION

Samsung Electronics Co., Ltd. and Samsung Electronics America, Inc. (“Petitioner”) filed a Petition requesting an *inter partes* review of claims 1–30 of U.S. Patent No. 8,639,811 B2 (Exhibit 1001, “the ’811 patent”) under 35 U.S.C. §§ 311–319. Paper 2. Headwater Research LLC (“Patent Owner”) filed a Preliminary Response. Paper 6.

On December 17, 2024, the Board instituted an *inter partes* review of claims 1–30 of the ’811 patent. Paper 11.

On June 3, 2025, after receiving Board authorization, Petitioner and Patent Owner filed a Joint Motion to Terminate Proceeding under 35 U.S.C. § 317(a) and 37 C.F.R. § 42.74, and a copy of a Settlement Agreement. Paper 15; Ex. 1056. The parties also filed a Joint Request to Treat Settlement Agreement as Business Confidential Information under 35 U.S.C. § 317(b) and 37 C.F.R. § 42.74(c). Paper 16.

II. DISCUSSION

The parties represent that they “have reached a settlement as to all the disputes in this proceeding and as to the ’811 patent.” Paper 15, 2. The parties represent that a “true and correct copy” of the Settlement Agreement is filed as Exhibit 1056. *Id.* at 1–2. The parties also represent that “[n]o other such agreements, written or oral, exist between or among” the parties. *Id.* at 2.

The parties assert that termination “would save significant further expenditure of resources by” the parties. Paper 15, 2. The parties assert that termination “would also further the purpose of *inter partes* review proceedings, which seek to provide an efficient and less costly alternative forum for patent disputes.” *Id.* at 2–3. Additionally, the parties contend that

“maintaining the proceeding would discourage further settlements, as patent owners in similar situations would have a strong disincentive to settle if they perceived that an *inter partes* review would continue regardless of a settlement.” *Id.* at 3.

Patent Owner has not yet filed a response in this case. Terminating this proceeding will save the Board administrative and judicial resources, e.g., in conducting an oral argument and issuing a final written decision to decide the patentability issues raised in the Petition. Further, “[t]here are strong public policy reasons to favor settlement between the parties to a proceeding,” and “[t]he Board expects that a proceeding will terminate after the filing of a settlement agreement, unless the Board has already decided the merits of the proceeding.” Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,768 (Aug. 14, 2012).

Under these circumstances, we determine that it is appropriate to terminate this proceeding. We also determine that it is appropriate to treat the parties’ Settlement Agreement (Exhibit 1056) as business confidential information under 35 U.S.C. § 317(b) and 37 C.F.R. § 42.74(c).

This Order does not constitute a final written decision under 35 U.S.C. § 318(a).

III. ORDER

Accordingly, it is

ORDERED that the parties’ Joint Motion to Terminate Proceeding (Paper 15) is granted;

FURTHER ORDERED that this proceeding is terminated as to all parties; and

FURTHER ORDERED that the parties' Joint Request to Treat Settlement Agreement as Business Confidential Information (Paper 16) is granted to the extent that the parties' Settlement Agreement (Exhibit 1056) shall be treated as business confidential information and be kept separate from the file of U.S. Patent No. 8,639,811 B2 and made available only under the provisions of 35 U.S.C. § 317(b) and 37 C.F.R. § 42.74(c).

IPR2024-01051
Patent 8,639,811 B2

FOR PETITIONER:

W. Karl Renner
Jeremy J. Monaldo
Jennifer J. Huang
FISH & RICHARDSON P.C.
axf-ptab@fr.com
jjm@fr.com
jjh@fr.com
IPR39843-0180IP1@fr.com
PTABInbound@fr.com

FOR PATENT OWNER:

Reza Mirzaie
Dale Chang
Amy Hayden
James A. Milkey
Neil Rubin
Philip X. Wang
RUSS, AUGUST & KABAT
rmirzaie@raklaw.com
dchang@raklaw.com
ahayden@raklaw.com
jmilkey@raklaw.com
nrubin@raklaw.com
pwang@raklaw.com