UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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AVANOS MEDICAL, INC.,
Petitioner,

v.

STRATUS MEDICAL, LLC,
Patent Owner.

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IPR2024-01209
U.S. Patent No. 10,966,782

IPR2024-01210
U.S. Patent No. 10,736,688

IPR2024-01211
U.S. Patent No. 10,736,688

IPR2024-01212
U.S. Patent No. 10,925,664

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**PROTECTIVE ORDER**

This protective order governs the treatment and filing of confidential information, including documents and testimony.

1. Confidential information shall be clearly marked "PROTECTIVE ORDER MATERIAL" or "PROTECTIVE ORDER MATERIAL—OUTSIDE COUNSEL ONLY."

2. Access to confidential information marked PROTECTIVE ORDER MATERIAL is limited to the following individuals who have executed the acknowledgment appended to this order:

   (A) Parties. Persons who are owners of a patent involved in the proceeding and other persons who are named parties to the proceeding.

   (B) Party Representatives. Representatives of record for a party in the proceeding.

   (C) Experts. Retained experts of a party in the proceeding who further certify in the Acknowledgement that they are not a competitor to any party, or a consultant for, or employed by, such a competitor with respect to the subject matter of the proceeding.

   (D) In-house counsel. In-house counsel of a party.

   (E) Support Personnel. Administrative assistants, clerical staff, court reporters and other support personnel of the foregoing persons who are reasonably necessary to assist those persons in the proceeding shall not be required to

sign an Acknowledgement, but shall be informed of the terms and requirements of the Protective Order by the person they are supporting who receives confidential information.

(F) The Office. Employees and representatives of the United States Patent and Trademark Office who have a need for access to the confidential information shall have such access without the requirement to sign an Acknowledgement. Such employees and representatives shall include the Director, members of the Board and their clerical staff, other support personnel, court reporters, and other persons acting on behalf of the Office.

3. Access to confidential information marked "PROTECTIVE ORDER MATERIAL—OUTSIDE COUNSEL ONLY" is limited to the individuals in Section 2(B), 2(C) and 2(E) who have executed the acknowledgment appended to this order and the individuals in Section 2(F), provided that for purposes of this Section 3, individuals permitted access pursuant to Section 2(E) shall be limited to only those individuals directly assisting individuals in Sections 2(B) and 2(C).

4. A party may mark confidential information as "PROTECTIVE ORDER MATERIAL—OUTSIDE COUNSEL ONLY" only upon a good faith belief that the information is so commercially sensitive that its dissemination deserves even further limitation than information designated as "PROTECTIVE

ORDER MATERIAL." Confidential information marked as "PROTECTIVE ORDER MATERIAL—OUTSIDE COUNSEL ONLY" may include the producing party's confidential financial information (including, for example, sales data), confidential marketing information (including, for example, product pricing information and market intelligence information such as market share data), and confidential business relationship information (including, for example, information pertaining to potential and/or existing customers and distributors).

5. Employees (e.g., corporate officers), consultants, or other persons performing work for a party, other than those persons identified above in Sections 2(A)–(E), shall be extended access to confidential information only upon agreement of the parties or by order of the Board upon a motion brought by the party seeking to disclose confidential information to that person and after signing the Acknowledgment. The party opposing disclosure to that person shall have the burden of proving that such person should be restricted from access to confidential information.

6. Persons receiving confidential information shall use reasonable efforts to maintain the confidentiality of the information, including:

   (A) Maintaining such information in a secure location to which persons not authorized to receive the information shall not have access;

(B) Otherwise using reasonable efforts to maintain the confidentiality of the information, which efforts shall be no less rigorous than those the recipient uses to maintain the confidentiality of information not received from the disclosing party;

(C) Ensuring that support personnel of the recipient who have access to the confidential information understand and abide by the obligation to maintain the confidentiality of information received that is designated as confidential; and

(D) Limiting the copying of confidential information to a reasonable number of copies needed for prosecution of the proceeding and maintaining a record of the locations of such copies.

7. Persons receiving confidential information shall use the following procedures to maintain the confidentiality of the information:

(A) Documents and Information Filed With the Board.

(i) A party may file documents or information with the Board along with a Motion to Seal. The Motion to Seal should provide a non-confidential description of the nature of the confidential information that is under seal, and set forth the reasons why the information is confidential and should not be made available to the public. Where

the information is designated "PROTECTIVE ORDER MATERIAL—OUTSIDE COUNSEL ONLY," the Motion to Seal should further set forth the reasons for the elevated restrictions of access. A party may challenge the confidentiality of the information by opposing the Motion to Seal. The submission shall be treated as confidential and remain under seal, unless the Board determines that the documents or information do not to qualify for confidential treatment. The information shall remain under seal unless the Board determines that some or all of the information does not qualify for confidential treatment.

(ii) Where confidentiality is alleged as to some but not all of the information submitted to the Board, the submitting party shall file confidential and non-confidential versions of its submission, together with a Motion to Seal the confidential version setting forth the reasons why the information redacted from the non-confidential version is confidential and should not be made available to the public. A party may challenge the confidentiality of the information by opposing the Motion to Seal. The nonconfidential version of the submission shall clearly indicate the locations of information that has been redacted. The confidential version of the submission shall be filed under seal.

The redacted information shall remain under seal unless the Board determines that some or all of the redacted information does not qualify for confidential treatment.

(B) Documents and Information Exchanged Among the Parties.

Documents (including deposition transcripts) and other information designated as confidential that are disclosed to another party during discovery or other proceedings before the Board shall be clearly marked as "PROTECTIVE ORDER MATERIAL" or "PROTECTIVE ORDER MATERIAL—OUTSIDE COUNSEL ONLY" and shall be produced in a manner that maintains its confidentiality.

8. Within 60 days after the final disposition of this action, including the exhaustion of all appeals and motions, each party receiving confidential information must return, or certify the destruction of, all copies of the confidential information to the producing party.

AVANOS MEDICAL, INC., Petitioner,

v.

STRATUS MEDICAL, LLC, Patent Owner.

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IPR2024-01209, IPR2024-01210, IPR2024-01211, IPR2024-01212

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**STANDARD ACKNOWLEDGEMENT FOR ACCESS TO PROTECTIVE ORDER MATERIAL**

I, _____, affirm that I have read the Protective Order; that I will abide by its terms; that I will use the confidential information only in connection with this proceeding and for no other purpose; that I will only allow access to support staff who are reasonably necessary to assist me in this proceeding; that prior to any disclosure to such support staff I informed or will inform them of the requirements of the Protective Order; that I am personally responsible for the requirements of the terms of the Protective Order and I agree to submit to the jurisdiction of the Office and the United States District Court for the Eastern District of Virginia for purposes of enforcing the terms of the Protective Order and providing remedies for its breach.

Signature: _____ Date: _____