

1 UNITED STATES PATENT AND TRADEMARK OFFICE

2
3 BEFORE THE PATENT TRIAL AND APPEAL BOARD

4
5 PENUMBRA, INC.,

6 Petitioner,

7 v.

8 RAPIDPULSE, INC.,

9 Patent Owner.

10
11 Case IPR2024-01261

12 Patent 11,406,402

13
14
15 DEPOSITION OF BRIAN J. BROWN

16 Conducted virtually via Zoom

17 Friday, October 24, 2025

18 10:56 a.m.

19
20 Job No.: 602613

21 Pages: 1 - 91

22 REPORTED BY: KIARA MILLER

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16 Deposition of BRIAN J. BROWN, taken on behalf
17 of Respondent, at a Remote Location, New
18 York, New York, commencing at 10:55,
19 Conducted virtually via Zoom Friday, October
20 24, 2025, before Kiara Miller.
21
22

1 A P P E A R A N C E S:

2
3 ON BEHALF OF PETITIONER:

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15 BY: CHARLES SANDERS, ESQ.
16 WILLIAM YAO, ESQ.

17 ALSO PRESENT:

18 ZOOM TECH, ISAIAH SHERIDAN

19 PENUMBRA, WILLIAM YEE
20
21
22

1 TECH SHERIDAN: Thank you to everyone
2 for attending this proceeding remotely,
3 which we anticipate will run smoothly.
4 Please remember to speak slowly and do
5 your best not to talk over one another.
6 Please be aware that we're recording
7 this proceeding for backup purposes.
8 Any off-the-record discussions should be
9 had away from the computer. Please
10 remember to mute your mic for those
11 conversations. Please have your video
12 enabled to help the reporter identify
13 who is speaking.
14 If you're unable to connect with video
15 and are connecting via phone, please
16 identify yourself each time before
17 speaking. We will provide a
18 complimentary and unedited recording of
19 this deposition with the purchase of a
20 transcript.
21 I apologize in advance for any
22 technical-related interruptions.

1 Thank you.

2 B R I A N J. B R O W N, after having first been
3 duly sworn by a Notary Public of the State of New
4 York, was examined and testified as follows:

5 COURT REPORTER: Please state your name
6 and address for the record.

7 THE WITNESS: Brian Brown. Address 178
8 Jandal J-A-N-D-A-L Avenue Northeast
9 Hanover, Minnesota 55341.

10 EXAMINATION BY

11 MR. SANDERS:

12 BY MR. SANDERS:

13 Q Mr. Brown, it's great to see you again.

14 A Yeah, good morning, Charles.

15 Q Is there any reason you cannot testify
16 truthfully today?

17 A Not that I'm aware of.

18 Q Are you communicating with anyone in any
19 other way than through this Zoom?

20 A I am not.

21 Q Have you spoken with Penumbra's expert
22 Dr. Ross since your last deposition in

1 May of this year?

2 A I have not.

3 Q What, if any, materials related to this
4 investigation, I should say related to
5 this proceeding, do you have available
6 to you?

7 A I have available my supplemental
8 declaration. I have my original
9 declaration, and I believe I have the
10 majority of the exhibits.

11 Q Great. That should help us go more
12 smoothly. Let me just start by asking
13 you a few questions about the '402
14 Patent itself, which is Exhibit 1001.
15 Feel free to take a look at it, if you'd
16 like.

17 (Whereupon, U.S. Patent
18 11,406, 402 was marked as
19 Exhibit 1001 for
20 identification as of this
21 date.)

22 A I do have it in front of me.

1 Q The '402 Patent discloses having
2 intentional flow out of the distal end,
3 right?

4 MR. ODA: Objection. Form.

5 A The '402 Patent does teach having
6 forward flow out of the distal end
7 sometimes.

8 Q I'd like to direct you in the '402
9 Patent to Column 36 at the very bottom?

10 A Here I am at Column 36, please state
11 where you are.

12 Q Sure. It's the last sentence that
13 begins on Line 67 and carries over to
14 the top of Column 37, ending at Line 2.

15 Do you see that sentence?

16 A I do. Would you like me to read it?

17 Q No need to read it. Read it to
18 yourself, no need to read it out loud.

19 A Okay. That is what I meant.

20 Q Okay.

21 A Yeah, I've read it.

22 Q Do you agree that statement discloses

1 having intentional flow out of the
2 distal end?

3 MR. ODA: Objection. Form.

4 A I do agree that in that sentence the
5 embodiment does have intentional flow of
6 fluid forward.

7 Q I'd like to direct you to Claim 1 of the
8 '402 Patent, which is on -- starts the
9 second to last page and it's at
10 Column 61.

11 A Yeah, I'm in that Column 61.

12 Q Great.

13 You see Claim 1 recites a
14 predetermined cycle, right?

15 A It does speak of a predetermined cycle.

16 Q Do you agree that the claim term
17 "predetermined cycle" in Claim 1, has
18 its plain and ordinary meaning?

19 A That is the approach I believe I have
20 taken to predetermined cycle.

21 Q Claim 1 in the last clause, that starts
22 with a "controller configured."

1 Do you see that?

2 A I do.

3 Q At the end of that clause it states, "To
4 control fluid flow in and out from the
5 distal end of the catheter"?

6 A Please re-ask the question.

7 Q Do you see at the end of Claim 1, it
8 recites "to control fluid flow in and
9 out from the distal end of the
10 catheter"?

11 A I do see that.

12 Q Do you agree that the control in Claim 1
13 is applied to fluid flow in and out?

14 A I do not.

15 Q Just to be clear, you do not agree that
16 the control as recited at the end of
17 Claim 1, is applied to fluid flow in and
18 out?

19 A Well, there's more to that claim. It
20 talks above talking of a controller, so
21 the control is of the valves. So the
22 valve to open and close the valves. The

1 controlling of the valves then will
2 control the flow of fluid in and out.

3 Q Do you agree that the controller
4 configured to open and close the vacuum
5 valve and the vent valve in a
6 predetermined cycle to change a level of
7 vacuum at the distal end of the
8 catheter, is also configured to control
9 fluid flow in and out from the distal
10 end of the catheter?

11 A Yes. That is the purpose of opening and
12 closing the valves.

13 Q What is flow in from the distal end of
14 the catheter?

15 A Flow in, in my understanding, is and if
16 we're talking an arterial aspiration
17 catheter, flow in would be blood from
18 the artery flowing from the artery into
19 the catheter.

20 Q What is flow out from the distal end of
21 the catheter?

22 A Flow out of the distal end would be

1 anything contained within the catheter,
2 lumen, flowing out of the distal end.

3 Q I'd like to direct your attention to
4 Claims 3 and 4. I'll give you a minute
5 to review them for yourself and let me
6 know when you're ready.

7 A Okay, I'm ready.

8 Q Claims 3 and 4 recite that the movement
9 of the fluid column at the distal end of
10 the catheter is limited to a positive
11 amount of exit flow, right?

12 A Correct.

13 Q Do you agree that the ordinary and
14 customary meaning of positive is greater
15 than zero?

16 A I do.

17 Q Do you agree that positive as it appears
18 in the claims has its ordinary and
19 customary meaning?

20 MR. ODA: Objection. Form.

21 A I do.

22 Q Now, Claims 3 and 4 expressly exclude

1 having zero exit flow, right?

2 MR. ODA: Objection. Form.

3 A I do not agree with that.

4 Q Let me make sure I understand.

5 Is it your opinion that Claims 3
6 and 4 can be met where there is zero
7 exit flow?

8 A Yes. That is correct.

9 Q Claims 3 and 4 recite ranges of exit
10 flow, right?

11 MR. ODA: Objection. Form.

12 A They recite ranges of limits to exit
13 flow.

14 Q Claims 3 and 4 recite both lower and
15 upper limits for the amount of exit
16 flow, right?

17 MR. ODA: Objection. Form.

18 A They recite a lower and an upper value
19 for the limits of exit flow.

20 Q The lower limit on the amount of exit
21 flows that is recited in each of
22 Claims 3 and 4 is above zero, correct?

1 MR. ODA: Objection. Form.

2 A The lower limit value in each of claims
3 is above zero.

4 Q I'd like to direct to Claims 13 and 15.
5 I'll give you a minute to review those.

6 A I'm sorry, you said 13 and 15.

7 Q I did.

8 A Okay. Okay. I've read both of them.

9 Q Claims 13 and 15 recite limiting
10 movement of a fluid column in the
11 catheter lumen at the distal end of the
12 catheter to a positive amount of exit
13 flow?

14 A Yes, I do see that.

15 Q In these claims like in Claims 3 and 4,
16 there is a limit that is applied to a
17 positive amount of exit flow, right?

18 MR. ODA: Objection. Form.

19 A There are limits to the movement of the
20 fluid column in the catheter lumen.

21 Q And those claims specify that those
22 limits the movement of the fluid column

1 involve a positive amount of exit flow,
2 right?

3 MR. ODA: Objection. Form.

4 A The limits are two positive amounts of
5 exit flow.

6 Q Now, I'd like to focus on Claims 14, 16,
7 and 17. I'll give you a minute to take
8 a look at those.

9 A Okay, I've read that.

10 Q Would you agree that Claims 14, 16 and
11 17, cannot be met by only having zero
12 exit flow?

13 MR. ODA: Objection. Form.

14 A I do not agree with that.

15 Q In your view, can Claims 14, 16 and 17,
16 be met by having zero exit flow?

17 A They can be met by having zero exit
18 flow.

19 Q Do you agree that Claims 14, 16 and 17,
20 recite lower limits on the positive
21 amount of exit flow?

22 MR. ODA: Objection. Form.

1 A They provide lower values to a range of
2 limits.

3 Q Those lower values in the range of
4 limits for the positive amount of exit
5 flow in Claims 14, 16 and 17 are above
6 zero, correct?

7 MR. ODA: Objection. Form.

8 A Those values are above zero.

9 Q Now come back to Claim 1.

10 In your opinion, control of flow
11 out from the distal end can be achieved
12 by preventing flow out from the distal
13 end, right?

14 A That is correct.

15 Q In your view, can control of flow in
16 from the distal end be achieved by
17 preventing flow in from the distal end?

18 A It can be.

19 Q In your opinion, would a system that
20 both prevents flow in and prevents flow
21 out from the distal end control fluid
22 flow in and out?

1 A It would.

2 Q Would you agree that a system that
3 prevents flow in and prevents flow out
4 has no flow at the distal end?

5 A That seems to be reasonable.

6 Q In your view, can the requirement
7 control fluid flow in and out being that
8 where there is no flow in or out?

9 A If there is a controller that is doing
10 that, then yes.

11 Q Addressing now then the dependent claims
12 that refer to limiting to a positive
13 amount of exit flow.

14 You believe that the dependent
15 claims that recite limiting to a
16 positive amount of exit flow properly
17 construed sets a maximum permitted limit
18 of exit flow that includes zero,
19 correct?

20 A That is incorrect.

21 Q Do you agree that the dependent claims
22 that recite limiting to a positive

1 amount of exit flow properly construed
2 set a maximum permitted limit of exit
3 flow that excludes zero?

4 MR. ODA: Objection. Form.

5 A The dependent claims recite maximum -- a
6 range for the maximum limit because
7 those ranges are above zero. So I may
8 not be understanding your question
9 properly, but those ranges do not
10 include the value of zero.

11 Q Let's take a look at your reply
12 declaration, which is Exhibit 1035 at
13 Page 26. And by Page 26, I mean the
14 Bates 26 in the middle of the page at
15 the bottom.

16 (Whereupon, Supplemental
17 Declaration of Brian Brown for
18 Inter Parties Review of U.S.
19 Patent 11,406, 402 was marked
20 as Exhibit 1035 for
21 identification as of this
22 date.)

1 A Yes. I'm on Page 26, is there a
2 specific paragraph that you're looking
3 at.

4 Q Yes, Paragraph 49, at the bottom.

5 A Yes, I am there.

6 Q My question is: Do you believe that the
7 dependent claims recite limiting to a
8 positive amount of exit flow properly
9 construed set a maximum permitted limit
10 of distal flow out that includes zero?

11 A I do agree with what is written there.

12 Q Let's go back in your reply declaration
13 to Page 18. Again, I'm referring to the
14 big page number in the middle at the
15 bottom.

16 A I am there.

17 Q And you have a table at the top of that
18 page, right?

19 A That is correct.

20 Q Did you create this table?

21 A I worked with the attorneys to create
22 it.

1 Q Was this tabulation of distal flow based
2 on the verb used in Rapid Pulse's
3 claims, was that your idea?

4 MR. ODA: Objection. Form.

5 A I do not recall if it was my idea or if
6 it came up as part of our discussion on
7 how to approach this.

8 Q But you agree with characterizing
9 whether distal flow is permitted, capped
10 or required based on the verb that's
11 used in the Rapid Pulse patent claims,
12 right?

13 MR. ODA: Objection. Form.

14 A I agree with what is being presented in
15 this table.

16 Q And in this table, you list verbs from
17 Rapid Pulse patent claims in the
18 left-hand column, right?

19 A That is correct.

20 Q And in the column all the way on the
21 right, you identify claim limitations
22 from various Rapid Pulse patents that

1 use the verb you identified in the
2 left-hand column, correct?

3 MR. ODA: Objection. Form.

4 A That appears to be correct.

5 Q For each of the verbs that you have in
6 the left-hand column, you identify based
7 on the use of those verbs whether distal
8 flow is permitted, capped or required,
9 correct?

10 A That is correct, it's what's in this
11 table.

12 Q So for example, for the verb "prevent,"
13 in the left-hand column, you state that
14 distal flow is not permitted, right?

15 MR. ODA: Objection. Form.

16 A When it says permitted, I say no.

17 Q By no, for permitted, you mean not
18 permitted; is that correct?

19 A That would be my understanding.

20 Q For the verb "limit," you state that
21 distal flow is permitted and capped but
22 not required, correct?

1 A Correct.

2 Q Is it your view, that claims using the
3 term "limit," in connection with distal
4 flow, do not require any positive amount
5 of exit flow?

6 MR. ODA: Objection. Form.

7 A Please reread the question.

8 Q Sure. Is it your view, that claims
9 using the term limit in connection with
10 distal flow, do not require any positive
11 amount of exit flow?

12 MR. ODA: Objection. To form.

13 A That is correct.

14 Q Focusing on the row that you have for
15 limit, on the right-hand side you have
16 three sub rows for claim language from
17 Rapid Pulse patents, right?

18 A Yes. I have three examples over on the
19 right-hand side.

20 Q I'd like to focus on the middle sub row
21 in the row for limit, where you identify
22 RP-6, Claim 4.

1 Do you see that?

2 A I do.

3 Q You copied in a claim limitation that
4 states "Limited to a positive amount of
5 exit flow of approximately .1 ml to
6 approximately .5 ml."

7 Correct?

8 A Correct.

9 Q And is it your opinion that this claim
10 limitation does not require any distal
11 flow?

12 A That is what I have stated here in the
13 table, yes.

14 Q Now RP-6 refers to the '402 Patent,
15 right?

16 A Based on the table in Appendix A, that
17 appears to be correct.

18 Q I'm going to shift to asking some
19 questions about the references on which
20 you've opined in this proceeding,
21 starting with the Yang reference.
22 You're welcome to look at Yang, but your

1 declarations about Yang, whatever may be
2 helpful for you to answer the question.
3 Just let me know if something is helpful
4 to put up on the screen and I'll do it.

5 You've offered opinions about the
6 Yang reference that's Exhibit 1012,
7 right?

8 A I have.

9 Q That's on US 2017, 023, 953, correct?

10 A It is US 2017, 023, 8953A1.

11 Q Thanks for correcting my mistake.

12 Do you agree that a person of
13 ordinary skill in the art would
14 appreciate that the teaching of Yang is
15 to prevent forward flow?

16 MR. ODA: Objection. Form.

17 A I would say that the teaching of Yang
18 includes the embodiment of preventing
19 forward flow.

20 Q Do you agree that a person of ordinary
21 skill in the art would appreciate that
22 the teaching of the Yang reference as a

1 whole is to prevent forward flow?

2 MR. ODA: Objection. Form.

3 A There are many things taught within the
4 Yang reference. One of the items taught
5 is the prevention of forward flow.

6 Q Do you agree that operating in
7 arrangement as described by Yang would
8 naturally result in a prevention of
9 forward flow?

10 MR. ODA: Objection. Form.

11 A In some of his embodiments.

12 Q Do you agree that the arrangement of
13 Yang does not allow the clot to exit the
14 distal end of the catheter, i.e. forward
15 flow is prevented?

16 MR. ODA: Objection. Form.

17 A Without going into Yang and reading the
18 areas that you are referencing, I do not
19 recall whether he has any limitations
20 that would not allow forward flow.

21 Q For purposes of your opinions in this
22 proceeding, did you reach a view as to

1 whether the arrangement of Yang does not
2 allow the clot to exit the distal end of
3 the catheter, i.e. forward flow is
4 prevented?

5 MR. ODA: Objection. Form.

6 A I believe for this proceeding I have
7 come to the conclusion that Yang
8 discloses the controlling of the flow on
9 the distal end.

10 Q And we discussed earlier that you
11 believe controlling flow at the distal
12 end as required by the claims, can
13 include not having any flow of the
14 distal end, right?

15 A We discussed earlier, yes, that having
16 control of the flow at the distal end
17 would include controlling flow to having
18 zero flow of both entering or exiting
19 the end.

20 Q For purposes of your opinions in this
21 proceeding, did you reach a conclusion
22 as to whether the arrangement of Yang

1 allows the clot to exit the distal end
2 of the catheter, i.e. there is flow out
3 from the distal end of the catheter?

4 MR. ODA: Objection. Form.

5 A I believe I included references of there
6 being pressure waves that could create
7 flow out of the distal end of the
8 catheter. My preference would be if
9 you've got specific questions on Yang,
10 that we either go to those sections in
11 Yang or go to those sections in my
12 declaration.

13 Q My questions are about Yang as a whole,
14 so certainly if you need to take a look
15 at Yang, you're welcome to do it, but
16 I'm going to be asking about the
17 references as a whole. Let's move onto
18 Ofek, which is Exhibit 1007.

19 (Whereupon, U.S. Patent
20 10,322, 230 (Ofek) was marked
21 as Exhibit 1007 for
22 identification as of this

1 date.)

2 A I have it opened in front of me.

3 Q And you've offered opinions about this
4 Ofek reference, right?

5 A I have.

6 Q Do you agree that the system of Ofek is
7 operated so as to prevent forward flow
8 of the fluid out of the distal end of
9 the catheter?

10 MR. ODA: Objection. Form.

11 A I believe the system of Ofek operates to
12 control forward flow of fluid out of the
13 distal end of the catheter.

14 Q And I want to clarify what you mean by
15 control.

16 Do you mean that the system in Ofek
17 is operated to control fluid flow out of
18 the distal end of the catheter in the
19 sense that Ofek prevents that from
20 occurring?

21 MR. ODA: Objection. Form.

22 A That can be one embodiment.

1 Q Do you believe that Ofek controls fluid
2 flow out of the distal end of the
3 catheter in the sense that Ofek is
4 operated so that there is forward flow
5 of fluid out of the distal end?

6 MR. ODA: Objection. Form.

7 A You're asking me to go by memory here.

8 Q To be clear, I don't want you to go by
9 memory, if you need to look at Ofek, if
10 you need to look at your declaration,
11 that's fine. I just want your best
12 answer about Ofek.

13 A I believe Ofek discloses the control of
14 fluid in and out of the distal end. I
15 believe that his embodiments do allow
16 for flow to occur out or for flow to be
17 limited out or to not have flow out, but
18 I am going off of memory right now.

19 Q To be fair, Mr. Brown, I want your best
20 answers to this deposition, we're going
21 to rely on these answers. We're
22 entitled to rely on these answers. So

1 if there's anything you need to do to
2 give me your best answer, you ought to
3 do it.

4 A And that's why I've asked, if you've got
5 specific questions about things that are
6 in my declaration or items that are in
7 these patents, that you refer me to
8 these sections and we can discuss them.

9 Q You're aware, Mr. Brown, that for
10 purposes of obviousness, one needs to
11 consider references as a whole, right?
12 MR. ODA: Objection. Form.

13 A I believe that for obviousness, yes, we
14 must review the references and have an
15 understanding of them.

16 Q And in this proceeding you endeavored to
17 address the references based on the
18 entirety of their disclosures, right?
19 MR. ODA: Objection. Form.

20 A I believe I have reviewed them in their
21 entirety, yes.

22 Q Do you agree that in Ofek the dislodged

1 occlusion is required not -- let me
2 rephrase. I'll start again.

3 Do you agree that in Ofek, the
4 dislodged occlusion is required to not
5 enter the bloodstream?

6 MR. ODA: Objection. Form.

7 A I do not recall if that is a
8 requirement.

9 Q Let's take a look at Ofek at Column 3.

10 A I'm there.

11 Q And do you see there is a paragraph that
12 starts at Line 43 that begins Figure 2?

13 A I do.

14 Q I'd like to direct your attention down
15 in that paragraph to the sentence that
16 starts at Line 51. It starts just at
17 the end of Line 51, the right-hand side.

18 A I'm there.

19 Q The base lines.

20 Do you see that?

21 A I do.

22 Q Okay. And why don't you read that to

1 yourself and then I'll ask my question.

2 A Okay. I've read that sentence to the
3 end.

4 Q Do you agree that in Ofek, the dislodged
5 occlusion is required to not enter the
6 blood stream?

7 MR. ODA: Objection. Form.

8 A I believe in the embodiment that is
9 spoken to in this sentence that that is
10 true. That it is prevented from going
11 into the blood stream.

12 Q Do you believe there is some embodiment
13 in Ofek, where the dislodged occlusion
14 is allowed to enter the blood stream.

15 MR. ODA: Objection. Form.

16 A I do not recall if he has embodiments
17 that do allow the clot or the
18 dislodgement to enter the blood stream.

19 Q Did you rely on any such embodiments in
20 your opinions in this case?

21 MR. ODA: Objection. Form.

22 A I may have.

1 Q Is it correct, Mr. Brown, that you do
2 not know whether there's an embodiment
3 in Ofek where the dislodged occlusion is
4 allowed to enter the blood stream?

5 MR. ODA: Objection. Form.

6 A Not off of memory right now.

7 Q I instructed you that you're free to
8 review Ofek, you're free to review
9 either of your declarations about Ofek;
10 if you choose not to do that and that's
11 your best testimony, that's fine, we're
12 going to rely on it.

13 A I understand that and that's why I've
14 asked that rather than you just asking
15 these high level questions without any
16 reference to my declaration or to
17 patents, that we avoid doing that.

18 Q Well, Mr. Brown, I don't believe there's
19 any disclosure in Ofek where a dislodged
20 occlusion is allowed to enter the blood
21 stream. I can't therefore point you to
22 it. So I need to ask you: Do you

1 believe that there is any disclosure in
2 Ofek where an embodiment where the
3 dislodged occlusion is allowed to enter
4 the blood stream?

5 MR. ODA: Objection. Form.

6 A After quick review, I am not finding any
7 embodiments where the material that is
8 dislodged exits the catheter, but there
9 are a number of embodiments where the
10 flow is moving distally, but it is
11 reversed before the material that is
12 dislodged enters the blood stream.

13 Q Just to clarify, when you say that there
14 is flow moving distally, are you
15 referring to flow moving distally within
16 the catheter.

17 MR. ODA: Objection. Form.

18 A I am.

19 Which could include flow coming out
20 of the distal end of the catheter.

21 Q Do you agree that the level of pressure
22 at the distal end of the catheter must

1 always be less than the physiological
2 pressure since if that were not the case
3 then the arrangement would risk the
4 occlusion entering the blood stream.

5 MR. ODA: Objection. Form.

6 A I do not agree with that.

7 Q I'd like to turn to Mullins, which is
8 Exhibit 1008.

9 (Whereupon, U.S. Patent 11,
10 197, 977 (Mullins) was marked
11 as Exhibit 1008 for
12 identification as of this
13 date.)

14 A Yeah, I have it in front of me.

15 Q Do you agree that Mullins explicitly
16 teaches changing a vacuum level at the
17 distal end of the catheter to prevent
18 clogging and preventing forward flow to
19 reduce the risk of distal embolization?

20 MR. ODA: Objection. Form.

21 A I believe that Mullins teaches
22 controlling the pressure at the distal

1 end of the catheter to control fluid

2 flow in and out of the distal end.

3 Q Do you agree that Mullins teaches
4 preventing forward flow?

5 MR. ODA: Objection. Form.

6 A I believe that is one of the embodiments
7 of Mullins.

8 Q Do you agree that the person skilled in
9 the field would be motivated to operate
10 a clot removal system with no forward
11 flow in the manner described in Mullins?

12 MR. ODA: Objection. Form.

13 A I believe a person skilled in the art
14 would be motivated to use the teachings
15 of Mullins to create a system that has
16 no forward flow.

17 Q Do you agree that Mullins teaches the
18 retention of a level of pressure at the
19 distal end, which is less than
20 physiological pressure?

21 MR. ODA: Objection. Form.

22 A Please re-ask the question.

1 Q Sure. Do you agree that Mullins teaches
2 retention of a level of pressure at the
3 distal end which is less than
4 physiological pressure.

5 MR. ODA: Objection. Form.

6 A I believe he does. He teaches that in
7 one embodiment, the high limit is a
8 negative pressure, therefore, it would
9 be below physiological pressure.

10 Q Now, I'm going to ask a few questions
11 that relate to your analysis of
12 motivation to combine references in the
13 manner that you have. Yang and Mullins
14 and Ofek with Mullins.

15 MR. ODA: Charles, before you get
16 started on this line, perhaps a short
17 break, it's been about an hour.

18 MR. SANDERS: That would be fine.

19 MR. ODA: Maybe five or 10 minutes.

20 MR. SANDERS: Just let me know which.

21 MR. ODA: Let's go five since it feels
22 like we're on a roll.

1 MR. SANDERS: That works.

2 (Whereupon, a recess was taken
3 from 11:58 AM until 12:06 PM.)
4 Med.

5 COURT REPORTER: Back on.

6 Q Just to orient again, Mr. Brown, I
7 wanted to ask a few questions about what
8 a person of ordinary skill in the art
9 would be motivated to do in the context
10 of the combinations that you've made,
11 for example, Yang and Mullins, Ofek and
12 Mullins.

13 Do you agree that it would be
14 obvious to a skilled person that it
15 should be ensured that forward flow is
16 prevented?

17 MR. ODA: Objection. Form.

18 A I'm not quite understanding your
19 question. It's a very broad question.

20 Q Sure. My question goes to what a person
21 of ordinary skill in the art would have
22 been motivated to do at the time of the

1 invention based on the prior art,
2 including the references that you've
3 identified?

4 MR. ODA: Objection. Form.

5 Q Let's preface.

6 Do you agree that it would be
7 obvious to a skilled person that it
8 should be ensured that forward flow is
9 prevented?

10 MR. ODA: Objection. Form.

11 A I think it would be obvious in some
12 situations to ensure that forward flow
13 is prevented. It's not always the case.

14 Q In what situations did you have in mind
15 where that would not be the case?

16 MR. ODA: Objection. Form.

17 A It would depend upon the intent of
18 what's being treated. The mechanism of
19 action that was intended within the
20 design of the catheter. Some catheter
21 designs may be designed in such a way
22 that they have optimal performance with

1 some distal exit flow, others may not,
2 depending upon the parameters in which
3 they operate it.

4 Q Do you agree that the skilled person
5 would seek to preserve an overall
6 negative pressure in the catheter?

7 MR. ODA: Objection. Form.

8 A A person that is skilled in the art may
9 do that depending upon the intent of
10 what they're designing.

11 Q I want to shift gears and focus on
12 credibility. You have some strong
13 statements about patent owners and its
14 expert, Mr. Culbert's credibility, in
15 your reply declaration, agree?

16 MR. ODA: Objection. Form.

17 A I do not recall if I have made
18 statements to the level that you are
19 saying I have.

20 Q All right. Let's take a look back at
21 your reply declaration, Exhibit 1035.
22 I'd like to direct your attention to

1 Page, the first Page 1 to Page 2,
2 Paragraph 4.

3 A Okay. I am on Page 1, Paragraph 4.

4 Q You state that you've concluded that
5 patent owner's arguments rest on a
6 series of unfounded assumptions,
7 technical mischaracterization and flawed
8 reasoning, right?

9 A That's correct, I do state that.

10 Q And the next sentence you stated, "The
11 record demonstrates that Mr. Culbert
12 makes a number of inaccurate technical
13 assessments, misreads prior art
14 references in ways that are inconsistent
15 with their actual disclosures, makes
16 admissions and exceptions that directly
17 undermine his conclusions and fails to
18 understand the analysis and reasoning
19 articulated by petitioner, and agreed
20 with by the board and its institution
21 decision."

22 Right?

1 A That is correct.

2 Q In fact, you accuse the patent owner and
3 Mr. Culbert of engaging in a
4 disingenuous tactic, don't you?

5 MR. ODA: Objection. Form.

6 A I don't believe that I have said that.
7 What I have accused the patent owner and
8 Mr. Culbert of is what I have written
9 here.

10 Q Well, let's take a look at Page 21 of
11 your reply declaration.

12 And specifically Paragraph 39.

13 A I'm there.

14 Q Paragraph 39, it begins, "this tactic."

15 Do you see that?

16 A I do.

17 Q And in the prior paragraph,
18 Paragraph 38, you discuss patent owner's
19 and Mr. Culbert's statements, right?

20 A I do.

21 Q And in the first sentence of
22 Paragraph 39 you state "this tactic is

1 also disingenuous in view of the prior
2 art references themselves."

3 Do you see that?

4 A I do see that.

5 Q So you do accuse patent owner and
6 Mr. Culbert, in engaging in a
7 disingenuous tactic, right?

8 MR. ODA: Objection. Form.

9 A In this particular case, yes.

10 Q Mr. Brown, would you agree that parties
11 taking contradictory positions about the
12 teachings of a prior art reference would
13 bear on that party's credibility?

14 MR. ODA: Objection. Form. Scope.

15 A Please, reread the question.

16 Q Sure. Would you agree that parties
17 taking contradictory positions about the
18 teachings of a prior art reference would
19 bear on that party's credibility?

20 MR. ODA: Objection. Form. And scope.

21 A I believe that it is acceptable to take
22 contradictory positions, that doesn't

1 necessarily mean that the tactics are
2 disingenuous.

3 Q Let's take a look at the European
4 opposition that Penumbra submitted.
5 It's Exhibit 2035.

6 A You'll need to give me a minute, it's in
7 one of the binders.

8 Q Certainly.

9 (Whereupon, Kilburn & Strode
10 Letter, Dated 29 July 2025 was
11 marked as Exhibit 2035 for
12 identification as of this
13 date.)

14 A I have it in front of me.

15 Q Have you seen this before?

16 A Yes. I saw it during the writing of my
17 supplemental declaration.

18 Q Did you review it?

19 A I have reviewed portions of it.

20 Q And you agree with the statements that
21 Penumbra made in the portions of the
22 European opposition that you reviewed,

1 right?

2 MR. ODA: Objection. Scope and form.

3 A The portions of this document that I
4 have reviewed are related to the replies
5 from Rapid Pulse. I have not reviewed
6 this document in its entirety.

7 Q Oh. You agree with the portions of the
8 European opposition that you reviewed,
9 right?

10 MR. ODA: Objection. Form.

11 A I don't recall of anything that I did
12 not agree with.

13 Q In what you reviewed of the European
14 opposition, you did not see any
15 statements where you believed Penumbra
16 mischaracterized Yang, Mullins or Ofek,
17 right?

18 MR. ODA: Objection. Form and scope.

19 A That is a correct statement. I was not
20 associated with the writing in this
21 document.

22 Q All right. Did you have any involvement

1 in the European opposition?

2 A I did not.

3 Q I'd like to direct your attention to the
4 second page of Exhibit 1035, where
5 there's a heading for evidence and then
6 a list of documents below that.

7 MR. ODA: Sorry, Charles, where are we?

8 MR. SANDERS: It is Page 2 of
9 Exhibit 2035, the Europe European
10 opposition.

11 A I am there.

12 Q Do you see under the heading Evidence,
13 on the left-hand side there is a letter
14 followed by a number that is then
15 described to the right as a particular
16 document?

17 A I believe I do, if you're referring to
18 for instance P1, P2 P3.

19 Q Exactly. I'd like to focus on document
20 02.

21 Do you see that?

22 A I do.

1 Q 02 is the Yang reference that you
2 reviewed in this proceeding,
3 Exhibit 1012, right?

4 MR. ODA: Objection. Scope.

5 A It appears to be the same document.

6 Q Then you see right below that document
7 03 is identified as an Ofek reference?

8 MR. ODA: Objection. Scope.

9 A I do see that.

10 THE COURT: And that Ofek reference, 03,
11 is W0 2017/214573. Published
12 December 14, 2017.

13 Do you see that?

14 MR. ODA: Objection.

15 A I do.

16 Q Let's take a look at that document. I
17 don't know if you have with you the
18 references from the European proceeding,
19 but we can put it on in the screen and
20 drop it in the chat.

21 MR. ODA: You'll have to provide that to
22 us.

1 MR. SANDERS: Okay. Can we pull up PDF
2 file that's named W0 2017/214573,
3 please.

4 TECH SHERIDAN: Is this one also
5 premarked?

6 MR. SANDERS: No, it's not. It's going
7 to be Exhibit 2036.

8 (Whereupon, International
9 Application - W0 2017/214573
10 was marked as Exhibit 2037 for
11 identification as of this
12 date.)

13 TECH SHERIDAN: Should be displaying on
14 the screen now.

15 Q Mr. Brown, I'm only going to ask you
16 about the cover page. But let me know
17 when either have the document available
18 on the screen to review or downloaded it
19 so we can talk about the cover page.

20 A I do have the document in front of me on
21 the screen, is there any way of making
22 it larger.

1 Q Yeah, can we blow up the top part.

2 Can you read it now, Mr. Brown, or
3 do you need it larger?

4 A Yes, it is adequate now.

5 Q Do you see that this is WO 2017/214573,
6 identifying Ofek as the first inventor?

7 A I do see that.

8 Q And you see it identifies that it was
9 published on December 14, 2017?

10 A Yes, I do.

11 Q If you take a look now at the Ofek
12 reference that is in this proceeding,
13 Exhibit 1007.

14 A I have it in front of me.

15 Q Do you see that under prior publication
16 data it identifies the same publication
17 date, December 14, 2017?

18 A I do see that date under the prior
19 publication data.

20 Q And then if we go back now to WO
21 2017/214573, do you see that it
22 identifies the filing date as

1 June 9, 2017, which is the same filing
2 date identified in Ofek Exhibit 1007?

3 A I do see that they both have the same
4 filing date.

5 Q Do you see that both WO 2017/214573 and
6 Exhibit 1007, claim provisional
7 application filed on June 6th, 2016?

8 A In the information on the screen, I am
9 not finding provisional application.

10 Q Sure. Let me help.

11 Looking at the document on the
12 screen, which is the WO document, do you
13 see on the left-hand side there's a
14 paren 30 prior data that identifies an
15 application number with a date
16 June 9th, 2016?

17 A Yes, I do see that.

18 Q Do you see in Exhibit 1007 under related
19 US application data, the same
20 provisional application number is
21 identified with the same filing date of
22 June 9th, 2016?

1 A I do see that.

2 Q Now, I'd like to go back to the European
3 opposition on Exhibit 2035 on Page 2.

4 A Yeah, I'm there.

5 Q Go down to page to 010.

6 Do you see that?

7 A I am at 010.

8 Q And you see 010 is a Mullins reference,
9 right?

10 A Correct.

11 Q It's -- 010 is WO 2019/115809 published
12 June 20, 2019, right?

13 A Correct.

14 Q Let's now take a look at that document,
15 which we will put on the screen and in
16 the chat, which will become
17 Exhibit 2037.

18 (Whereupon, International
19 Application - WO 2019/115809
20 was marked as Exhibit 2038 for
21 identification as of this
22 date.)

1 A I am there.

2 Q I have been informed I made a mistake on
3 exhibit numbering because I forgot about
4 our pro hac motion. So I will clean
5 this up, first apologies, Mr. Brown.

6 So this document that we've just
7 pulled up WO 2019/115809 will actually
8 be Exhibit 2038. And the prior document
9 WO 2017/214573 will be Exhibit 2037 .

10 Mr. Brown, are you able to see the
11 WO 2019/115809 document?

12 A I am able to see the top portion of the
13 front page.

14 Q Do you see on the left-hand side below
15 paren 21, international application
16 number, it identifies PC TEP
17 2018/085064?

18 A I do see that.

19 Q Now, take a look at Mullins
20 Exhibit 1008, do you see it identifies
21 that same international patent number
22 under related US application data?

1 A I do see that.

2 Q And you see the filing date,
3 December 14, 2018, that's identified for
4 that application matches between
5 Exhibit 1008 and the WO document that we
6 have on the screen?

7 A That appears to be correct.

8 Q That does it for these exhibits.

9 A couple of general questions about
10 the '402 Patent claims. Feel free to
11 look back to '402 Patent back in your
12 declaration.

13 Let me know when you're ready.

14 A I am ready.

15 Q Do you agree that the claims in the '402
16 Patent involve varying suction pressure?
17 MR. ODA: Objection. Form.

18 A Is there a specific claim you'd like me
19 to review?

20 Q Oh, we can focus on Claim 1.

21 A Please re-ask your question.

22 Q I'll focus on Claim 1.

1 Let me start again.

2 Do you agree that Claim 1 of the
3 '402 Patent involves varying suction
4 pressure?

5 MR. ODA: Objection. Form.

6 A I believe Claim 1 includes changing the
7 level of vacuum at the distal end
8 catheter, which can include suction
9 pressure.

10 Q Do you agree that Claim 1 of the '402
11 Patent refers to cyclical aspiration?

12 A I believe it includes cyclical control
13 of the fluid in and out. Now whether
14 it's aspirating or not, we discussed
15 that previously.

16 Q In your reply declaration you address
17 objective consideration of
18 non-obviousness, right?

19 A Correct.

20 Q Do you agree that in 2018, there was an
21 unmet need for variable or cyclical
22 suction in aspiration thrombectomy

1 devices?

2 MR. ODA: Objection. Form.

3 A Please re-ask the question.

4 Q Do you agree that in 2018, there was an
5 unmet need for variable or cyclical
6 suction in aspiration thrombectomy
7 devices?

8 MR. ODA: Objection. Form.

9 A I would say there's always an unmet need
10 for devices, that's why things are
11 always being evolved and improved upon.

12 Q In 2018, were there any cyclical
13 aspiration thrombectomy devices on the
14 market?

15 MR. ODA: Objection. Form. Scope.

16 A I don't recall the exact date that
17 Penumbra launched a number of their
18 products. I believe that it was roughly
19 in that time frame.

20 Q I'd like to direct your attention back
21 to the European opposition Exhibit 2035.

22 A I have it in front of me.

1 Q And again, on Page 2.

2 A I am there.

3 Q You see there are reference 06?

4 A I do see 06.

5 Q You see this is a YouTube video entitled
6 Future Stroke Thrombectomy Devices -
7 Promise of Cyclical Aspiration?

8 A I do see that.

9 Q You understand that Penumbra submitted
10 this YouTube video to the European
11 patent office with it opposition?

12 MR. ODA: Objection. Scope.

13 A I am unaware of what they have submitted
14 other than what's written in this
15 document.

16 Q Did you review the references that are
17 listed here for Penumbra's European
18 opposition?

19 A I did not.

20 MR. ODA: Objection. Form.

21 Q Did you view the video 06?

22 A I did not.

1 Q I'd like to go forward in the European
2 opposition to Page 13.

3 Do you see there's a paragraph that
4 begins about half way down 05?

5 A I do see that.

6 Q And you see Penumbra stated "05 in
7 related presentations, 06 and 07,
8 provide a helpful snapshot of the state
9 of play of innovation in November 2018."

10 A I do see that.

11 Q And do you see in the following two
12 sentences that Penumbra identified these
13 videos as presentations from the Society
14 of Vascular and Interventional Neurology
15 annual meeting of 2018?

16 MR. ODA: Objection. Scope.

17 A I do see that.

18 Q Are you familiar with that meeting?

19 A I am not.

20 Q Did you attend?

21 MR. ODA: Objection. Scope.

22 A I did not.

1 MR. ODA: Just to clarify, those last
2 two objections were to scope, not form.

3 Q Let's take a look at the video 06, that
4 would be Exhibit 2039.

5 (Whereupon, YouTube video
6 entitled Future Stroke
7 Thrombectomy Devices - Promise
8 of Cyclical Aspiration was
9 marked as Exhibit 2039 for
10 identification as of this
11 date.)

12 TECH SHERIDAN: Counsel, what's the name
13 of the video.

14 MR. ODA: Sure. The video is entitled
15 Future of Stroke Thrombectomy Devices -
16 Promise of Cyclical Aspiration.

17 TECH SHERIDAN: All right. Standby.

18 MR. SANDERS: Can you stop it please.

19 Q Do you see the video here, titled
20 "Future Stroke of Thrombectomy Devices -
21 Promise of Cyclical Aspiration"?

22 MR. ODA: Objection. Scope.

1 A I see that, yes.

2 Q Do you see at the bottom of this cover
3 slide is November 15, 2018 and
4 identifies being from the Future of
5 Interventional Neurology session of the
6 SVIN 2018 annual meeting?

7 A I do see that.

8 MR. SANDERS: Is the technician able to
9 take a screenshot of this?

10 TECH SHERIDAN: Yes, I am.

11 MR. SANDERS: Great, would you please do
12 so and that will be Exhibit 2040.

13 TECH SHERIDAN: 2040, okay.

14 MR. SANDERS: Thank you.

15 (Whereupon, Cover Slide,
16 Future of Interventional
17 Neurology, SVIN 2018 Annual
18 Meeting, 11.15.18 was marked
19 as Exhibit 2040 for
20 identification as of this
21 date.)

22 Q Let's go forward in the video to the

1 4:49 mark.

2 (Plays video.)

3 TECH SHERIDAN: You said 4:49, counsel?

4 MR. SANDERS: I did.

5 Can you stop it again, so we don't hear
6 any noise.

7 Q Do you see the slide identifies in the
8 middle variable or cyclical suction as
9 an unmet need?

10 MR. ODA: Objection. Scope.

11 A I see that on this slide, yes.

12 MR. SANDERS: Can the technician please
13 stake a screenshot of this and this will
14 be Exhibit 2041.

15 (Whereupon, Screenshot 4:49 of
16 Future of Stroke Thrombectomy
17 Devices - Promise of Cyclical
18 Aspiration Youtube Video was
19 marked as Exhibit 2041 for
20 identification as of this
21 date.)

22 Q Mr. Brown, do you agree that variable or

1 cyclical suction was an unmet need in
2 aspiration Thrombectomy as of December
3 2014?

4 MR. ODA: Objection. Form and scope.

5 A I agree that there is always evolution
6 in these technologies. What this
7 particular slide is trying to
8 communicate is very difficult for me to
9 know. I will agree that that's what it
10 says on this slide, but I do not
11 understand or am not familiar with the
12 information leading up this particular
13 statement.

14 MR. SANDERS: Let's go forward now to
15 the 10:28 mark in the video and when you
16 get there, I would appreciate it if the
17 technician could stop it again, so we
18 don't hear the sound.

19 Q And Mr. Brown, I'd like to direct your
20 attention to the second main bullet, and
21 then the second sub bullet under.

22 Do you see it states need to vary

1 suction pressures, however, current
2 aspiration systems only provide uniform
3 suction pressure?

4 MR. ODA: Objection. Scope.

5 A I see that.

6 Q Do you agree with that description of
7 the state of the art in 2018?

8 MR. ODA: Objection. Scope and form.

9 A I agree that that statement is being
10 made in 2018.

11 The comment may be related to
12 what's commercially available, we know
13 that based on the art that there are
14 numerous inventions in this time period
15 of devices that provide cyclical
16 aspiration or cyclical pressures.

17 Q Do you agree that as of November 2018,
18 commercially available devices only
19 provided uniform pressures.

20 MR. ODA: Objection. Form and scope.

21 A I have no way of knowing right now
22 whether that statement is true of all

1 commercial devices.

2 Q In the third bullet, third main bullet,
3 identifies cyclical aspiration as a
4 breakthrough technology that could usher
5 in a new era of stroke thrombectomy.

6 Do you see that?

7 MR. ODA: Objection. Scope.

8 A I do see that.

9 Q Can we capture the screenshot here
10 please, and that will be Exhibit 2042.

11 (Whereupon, Screenshot 10:28
12 of Future of Stroke
13 Thrombectomy Devices - Promise
14 of Cyclical Aspiration Youtube
15 Video was marked as Exhibit
16 2042 for identification as of
17 this date.)

18 THE COURT: Okay.

19 Q Mr. Brown, I'd like to go back to your
20 reply declaration, Exhibit 1035.
21 Specifically Page 50, 50 in the middle
22 at the bottom, Paragraph 90.

1 A I am there.

2 Q You have a statement six lines down in
3 the paragraph.

4 "This is direct evidence that
5 Ofek's system can alternate between
6 positive and negative pressures to
7 successfully ingest clots and prevent
8 clogging."

9 Do you see that?

10 A I do.

11 Q And you quoted some language from Ofek
12 in the prior statement, right?

13 A Please reread the question.

14 Q Sure. In the statement before the one I
15 just read, immediately before it, you
16 quoted language from Ofek, right?

17 A Yes.

18 Q The language that you quoted from Ofek,
19 does not refer to ingest or ingestion,
20 right?

21 MR. ODA: Objection. Form.

22 A The quote appears to be referring to

1 providing impulses to dislodge an
2 occlusion.

3 Q Do you agree that dislodging an
4 occlusion is different from ingesting an
5 occlusion?

6 MR. ODA: Objection. Form.

7 A In this particular case, the dislodging
8 is happening due to the use of high
9 pressure, which would be the opposite to
10 ingestion which typically occurs with
11 low pressure.

12 Q Would you agree that "ingestion," refers
13 to sucking in?

14 A At a high level, yes.

15 Q Would you agree that "dislodging,"
16 refers to moving something from where
17 it's located?

18 A I do agree with that and it can occur
19 during ingestion.

20 Q You did not include a citation to Ofek
21 where Ofek refers to ingestion, right?

22 MR. ODA: Objection. Form.

1 A Not that I recall.

2 MR. SANDERS: Just to confirm here, we
3 may be finished.

4 MR. ODA: What's that?

5 MR. SANDERS: Thank you, Mr. Brown, we
6 can wrap it up.

7 MR. ODA: Okay. Let's take about a
8 30-minute break, does that work?

9 MR. SANDERS: No.

10 The deposition has only been about an
11 hour and a half.

12 MR. ODA: Okay, 20 minutes, does that
13 work.

14 MR. SANDERS: How about we come back in
15 five.

16 MR. ODA: Am I getting you right that
17 you're done with your questioning?

18 MR. SANDERS: Correct.

19 MR. ODA: No, five minutes is definitely
20 not going to work. We are permitted to
21 take a break between direct and
22 redirect, so. I'm going say at least

1 20 minutes.

2 MR. SANDERS: Take your time.

3 (Whereupon, a recess was taken
4 from 12:56 PM until 1:08 PM.)

5 MR. ODA: Go back on the record.

6 EXAMINATION BY

7 MR. ODA:

8 Q Mr. Brown, a few questions for you.

9 First, in preparing for this
10 deposition, reviewing your supplemental
11 declaration, did you see any typos or
12 any corrections that needed to be made?

13 A I did.

14 Q What were they?

15 A Paragraph 86, contains multiple examples
16 that are numbered.

17 Q Okay.

18 A Give me a minute to get to 86. So in
19 Paragraph 86, example No. 3, reads "the
20 preliminary cycle issues discussed
21 above, in relation to Claim 1." That
22 example was inadvertently added to these

1 examples, it should not be there. It
2 was meant to say the same thing as
3 example No. 6 which is the predetermined
4 cycle issues discussed above in relation
5 to Claim 1. So remove example No. 3.

6 Q All right.

7 A Then there was one other small typo here
8 on Paragraph 52.

9 In Paragraph 52, the third line,
10 starts "it could be understood or
11 obvious, as the controlling flow distal
12 flow out." I believe that first flow
13 does not belong there. So it should
14 read, "that could be understood or
15 obvious as controlling distal flow out."

16 Those are the only two things I
17 noticed.

18 Q Okay.

19 Mr. Brown, can you turn to starting
20 at Paragraph 10, of your supplemental
21 declaration.

22 A I am there.

1 Q Can you review Paragraphs 10 through, 10
2 through 13. I'm sorry, 6 through 13.

3 A Okay.

4 Q So within the portions you just
5 reviewed, you discussed the claim terms,
6 claim limitations for control fluid flow
7 in and out and limiting to a positive
8 amount of exit flow, correct?

9 A Correct.

10 Q And you discuss what your positions for
11 these claim limitations are and/or
12 patent owners' and Mr. Culbert's
13 positions are for these limitations,
14 right?

15 A Correct.

16 Q Can you characterize what your positions
17 are and what their positions are and the
18 difference between them?

19 A Yes.

20 The patent owner and Mr. Culbert
21 take and just focus on the action,
22 excuse me, the noun portion of the

1 claim, and so, for instance, they talk
2 about flow out or the positive exit
3 flow. And they are focusing on these as
4 being the requirements of the claim, but
5 they're ignoring the verb that is the
6 action in front of these.

7 And our position is that we
8 believed that the verb needs to be
9 included within the claim and the
10 meaning of the claim.

11 So for instance, talking about flow
12 out, their position is the fact it talks
13 about flow out that there must be flow
14 out.

15 Our position is the verb being
16 "controlling" flow out, that the verb
17 controlling must be included, therefore,
18 when you're controlling flow out that
19 can include both flow occurring and flow
20 not occurring.

21 The same way with positive exit
22 flow. Their position is since it says

1 positive exit flow, there must be
2 positive exit flow. My position is that
3 the positive exit flow is limited,
4 therefore, it is a maximum value or a
5 threshold. And that values less than
6 that can exist.

7 Q And in all of that, the only
8 specifically proposed construction is
9 the construction for the limiting
10 limitation, correct?

11 MR. SANDERS: Objection to form.

12 A That is correct. In short, I believe we
13 talked earlier about the construction of
14 terms, so for control, control is a
15 plain and and ordinary meaning of
16 control, and there comes to the term
17 limited or limiting, you know, my
18 construction is limiting it to the
19 maximum permitted about a positive exit
20 flow in the distal direction including
21 no exit flow.

22 Q Okay. With that in mind let's turn to

1 paragraphs -- starting at 41.

2 A I am at 41.

3 Q Can you please review that section which
4 is Paragraphs 41 through 48.

5 A I completed the reading.

6 Q Great.

7 So Paragraph 41, the final sentence
8 is "that premise is incorrect under both
9 the claim language and the prior" and
10 then the continuing Paragraphs 42 and 43
11 to discuss -- to discuss why and in 43
12 you state for all the reasons discussed
13 in my initial declaration and in this
14 declaration and the prior excludes the
15 independent claim limitation of control
16 fluid flow in and out.

17 It is -- whose claim instruction or
18 understanding of the claim terms, do
19 those paragraphs refer to?

20 MR. SANDERS: Objection. Form.

21 Q I'll rephrase.

22 In Paragraph 43, are you referring

1 to the prior art references disclosing
2 independent claim limitation of control
3 fluid flow in and out based on your
4 understanding of the claim limitation
5 meaning or under patent owners'?

6 MR. ODA: Objection. Form.

7 A I am making this the statement based on
8 my understanding of the term "control,"
9 which is if I remember right, is the
10 plain and ordinary meaning of the term
11 control.

12 Q Okay. And then you continue in
13 Paragraphs 44 through 48, do I
14 understand correctly that it is applied
15 to the extent where appropriate, patent
16 owner and Mr. Culbert's interpretation
17 of the claim limitations?

18 MR. SANDERS: Objection. Form.

19 A Correct, those paragraphs are addressing
20 their interpretation of the term
21 "control."

22 Q So then would it be accurate to say that

1 based on Paragraph 43, and your
2 discussion in your initial declaration,
3 that those prior art references disclose
4 the claim limitation of control fluid
5 flow in and out based on your
6 interpretation or based on your own
7 understanding of the ordinary meaning of
8 that claim limitation. Whereas, in
9 Paragraphs 44 through 47, you are
10 discussing whether the reference is
11 still disclosed or rendered obvious
12 depending on the reference, the claim
13 limitation under patent owner and
14 Mr. Culbert's interpretation of that
15 claim limitation; is that accurate?

16 MR. SANDERS: Objection. Form.

17 A That is accurate.

18 Q Okay. And specifically in Paragraph 47,
19 you are explaining that Mullins still
20 discloses the claim limitation control
21 fluid flow in and out under patent owner
22 and Mr. Culbert's interpretation,

1 correct?

2 MR. SANDERS: Objection. Form.

3 A Correct.

4 Q And does Paragraphs 45 and 46, at least
5 stand for the proposition that Yang and
6 Ofek at least render obvious that claim
7 limitations under patent owners'
8 interpretation?

9 A Yes. It does.

10 Q And optionally, if you need to review
11 it, in the next section Paragraphs 49
12 through 60, would you say that same
13 assessment is accurate with regard to
14 application of your proposed claim
15 construction and then analysis under
16 patent owners' interpretation of the
17 claim limiting the positive amount of
18 exit flow?

19 MR. SANDERS: Objection. Form.

20 A That is what is contained in the next
21 section.

22 Q All right. Okay. Moving to

1 paragraph -- moving up paragraph -- the
2 section starting at Paragraph 31.

3 A I am there.

4 Q In Paragraph 32, there's a chart that
5 was discussed earlier in this
6 deposition.

7 Do you see that?

8 A I do see it.

9 Q In the first row, which begins with
10 prevent, there are several rows, sub
11 rows in the right column.

12 Do you see that?

13 A I do.

14 Q The examples are labeled for
15 convenience, as RP-1, RP-2 and RP-EP1.

16 Do you see that?

17 A I do.

18 Q Is it accurate to say that RP-1 and RP-2
19 are the Rapid Pulse items that were
20 challenged which I believe are referred
21 to elsewhere as IPR-1 and IPR-2?

22 A Correct. RP-1 appears to be patent

1 '883. RP-2 is patent '253 and those are
2 the two patents challenged in IPR-1 and
3 IPR-2.

4 Q Okay. And what is RP-EP1? Let me
5 rephrase.

6 A Yeah.

7 Q Is it accurate to say that the RP-EP1 is
8 the European patent challenged in the EP
9 opposition Exhibit 2035?

10 MR. SANDERS: Objection. Form.

11 A That is correct. That is the patent
12 that RP-EP1 is referring to.

13 Q Okay. You've already mentioned earlier
14 in the deposition that you reviewed
15 portions of the EP opposition.

16 What specifically did you review, I
17 guess -- let me strike that.

18 Did you review the entire EP
19 opposition?

20 A I did not.

21 Q What did you review from the EP
22 opposition?

1 A I reviewed the claims of the EP
2 opposition patent, and compared those
3 claims to the claims of the
4 corresponding US patents that are
5 included here in this table.

6 Q Okay. What did that comparison inform
7 you about?

8 A The claims of the European patent are
9 almost identical to the '883 patent.

10 Q Okay. And is that in any way reflected
11 in this chart?

12 A Yes, it is. So RP-1, speaking here to
13 the operative claim language is saying
14 prevent forward flow and we go down to
15 RP-EP1 and the operative claim language
16 is the same as far as prevent forward
17 flow.

18 Q And does the specific term prevent
19 forward flow have a particular meaning?

20 A Yes. It does have a particular meaning.
21 The patent that these are associated
22 with, I believe, put a definition on

1 forward flow and I believe also the
2 patent office has agreed with that
3 definition.

4 And if I remember correctly it is
5 less than six microliters. It may
6 include six micro liters, I don't
7 recall. But it's 6 microliters.

8 Q Is that claim construction equally
9 applicable to this chart?

10 A Yes. That is what the intent is of the
11 operative claim terms on the left-hand
12 side. So we're over in the left column
13 where it says prevent. Prevent would
14 allow up to 6 microliters or less than
15 whatever the definition is.

16 Q Okay. And in the next row starting with
17 control, is control fluid flow in and
18 out broader than the prevent forward
19 flow claim limitation in the row above?

20 A Yes. I think it is broader than what
21 prevent is.

22 Q And what did -- in your opinion would

1 control flow in and out

2 encompass prevent forward flow?

3 A Yes. Absolutely.

4 Q Would the control fluid flow also

5 encompass each of the rows below it

6 which is limiting to a positive amount

7 of forward flow and causing an exit

8 volume forward exit flow?

9 A Yes. It does.

10 Q Great. Okay, let's turn to the next

11 section, starting at Paragraph 35.

12 Can you please review this section?

13 A The entire section?

14 Q Yeah, it's only 35 through 40.

15 A I completed the reading.

16 Q Earlier you were asked about the first

17 sentence in Paragraph 39, which states

18 this is also disingenuous and

19 specifically asked would you agree that

20 the parties taking contradictory

21 positions about the teachings of the

22 prior art reference would bear on that

1 party's credibility.

2 Can you clarify in greater detail
3 your answer to that question?

4 MR. SANDERS: Objection. Form.

5 A Yeah, I said it's acceptable to take
6 contradictory positions, which to
7 clarify what I'm referring to there,
8 the -- each party can take opposing
9 positions on something based on
10 different embodiments and that is
11 acceptable, but to take contradictory
12 positions on the same embodiments, you
13 know, that gets more difficult.

14 Q Okay. What would you characterize as
15 the position that patent owner is taking
16 with regard to contradictory positions,
17 for example, as you described in
18 Paragraph 38?

19 A Well, I'm saying here that the patent
20 owners position regarding the EP
21 opposition, attempts to now conflate the
22 limitations and create an alleged

1 contradiction where none exists.

2 Q Okay.

3 MR. ODA: I think the only thing I have
4 is there might have been one
5 transcription error earlier, let me
6 double check that's still there.

7 And this is mostly for the reporter's
8 purposes here, at 11:35, there was a
9 question, the question was: Do you
10 agree that the arrangement of Yang does
11 not allow the clot to exit the distal
12 end of catheter, i.e. forward flow is
13 prevented. And the answer was written
14 as without going into Yang and reading
15 the areas that you are referencing, I do
16 not recall whether he has any
17 limitations that would allow forward
18 flow. And I believe what Mr. Brown
19 said, I do not recall whether he has any
20 limitations that would not allow forward
21 flow.

22 I just wanted to capture that.

1 MR. SANDERS: Counsel's aware that this
2 is a rough transcript and the court
3 reporter will go back and make sure that
4 the transcript and the final reflects
5 what was said here.

6 MR. ODA: I feel like that would be a
7 tough one to catch on without having
8 heard the substance of it. Small errors
9 can be caught later.

10 MR. SANDERS: Mr. Oda, are you done with
11 your redirect for the questions.

12 MR. ODA: Yes, I have no further
13 questions.

14 EXAMINATION BY

15 MR. SANDERS:

16 MR. SANDERS: I just have a few,
17 Mr. Brown.

18 Q Prior to the redirect, did you discuss
19 any of your testimony with counsel?

20 A I did.

21 Q What did you discuss?

22 MR. ODA: Don't answer that. We are

1 permitted to talk with the witness
2 between direct and redirect.

3 Q Just to be clear, Mr. Brown, you
4 discussed the substance of your earlier
5 testimony with counsel, right?

6 MR. ODA: Go ahead.

7 A I'm just thinking about the question.

8 MR. ODA: You can answer it.

9 A Yes. I'm just making sure I answer it
10 correctly. Yes, the answer is yes.

11 Q What did you discuss with counsel about
12 the substance of your testimony?

13 MR. ODA: No, don't answer that. That
14 is -- he is again, Charles, you know,
15 this was not during a break during
16 direct testimony. You're asking about
17 what was discussed after direct
18 questioning.

19 MR. SANDERS: I am. And you're
20 instructing your witness not to answer.

21 MR. ODA: Don't answer for now, let's
22 take this off the record and discuss.

1 MR. SANDERS: Let me finish the rest of
2 what I would ask on my questions, then
3 we can go off the record and address
4 this issue. Remaining on the record.

5 Q You, Mr. Brown, testified that you
6 reviewed the claims of the European
7 opposition patent as part of your review
8 of the European opposition; is that
9 fair?

10 A That is fair.

11 Q Is that all you reviewed of the European
12 opposition?

13 A It is.

14 Q Why is that all you reviewed of the
15 European opposition?

16 A I was not asked to review any more of
17 it.

18 Q You were asked on redirect about the
19 section starting at Paragraph 35 of your
20 reply declaration, do you recall that?

21 A I do recall that.

22 Q And you state in Paragraph 35, starting

1 at the second sentence, "I also
2 understand that patent owner appears to
3 intend to argue that petitioner's
4 positions in the European opposition
5 against RP-EP1 allegedly contradicts its
6 arguments here. I disagree that any
7 such contradiction exists."

8 That's what you wrote, right?

9 A That is what I wrote.

10 Q And all you reviewed of the European
11 opposition to come to that conclusion
12 were the claims for the European patent,
13 right?

14 A That is correct.

15 MR. SANDERS: Now, we can go off the
16 record.

17 (Whereupon, a recess was taken
18 from 1:51 PM until 1:52 PM.)

19 MR. SANDERS: Back on the record.

20 Q So Mr. Brown, what did you discuss with
21 counsel about the substance of your
22 testimony during direct before your

1 redirect examination?

2 MR. ODA: I'm instructing the witness
3 not to answer the question of what the
4 substance was, that was discussed after
5 direct and before redirect. Other than
6 answering whether there was substance
7 discussed after redirect, before
8 redirect.

9 MR. SANDERS: We disagree that that's a
10 proper instruction. Reserve our right
11 to raise this with the board and hold
12 the deposition open accordingly.

13 With that we can go off the record and
14 be done.

15 MR. ODA: Great.

16 COURT REPORTER: Order rough drafts for
17 today.

18 MR. SANDERS: We are, yes.

19 MR. ODA: Sure.

20 MR. SANDERS: For our transcript order
21 we would like to have it at the latest
22 Wednesday, approximately.

1 COURT REPORTER: Do you want the same
2 Mr. Oda?

3 MR. ODA: Sure, yeah, we'll take it.

4 (Whereupon, this examination
5 was concluded at 1:54 PM.)
6
7

8 _____
9 BRIAN BROWN
10
11

12 Subscribed and sworn to
13 before me on this ____ day
14 of _____, _____.

15 _____
16 Notary Public
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WITNESS: BRIAN J. BROWN

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Exhibit 1035	Supplemental Declaration of Brian Brown for Inter Parties Review of U.S. Patent 11,406, 402	18
Exhibit 1007	U.S. Patent 10,322, 230 (Ofek)	27
Exhibit 1008	U.S. Patent 11, 197, 977 (Mullins)	34
Exhibit 2035	Kilburn & Strode Letter, Dated 29 July 2025	43
Exhibit 2037	International Application - WO 2017/214573	47
Exhibit 2038	International Application - WO 2019/115809	51

1 Exhibit 2039 YouTube video entitled 57
2 Future Stroke Thrombectomy
3 Devices - Promise of Cyclical
4 Aspiration
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6 Exhibit 2040 Cover Slide, Future of 58
7 Interventional Neurology,
8 SVIN 2018 Annual Meeting,
9 11.15.18
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11 Exhibit 2041 Screenshot 4:49 of 59
12 Future of Stroke Thrombectomy
13 Devices - Promise of Cyclical
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22 Exhibit 2042 Screenshot 10:28 of 62
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Aspiration Youtube Video

1 CERTIFICATE OF SHORTHAND REPORTER - NOTARY PUBLIC

2
3 I, KIARA MILLER, Certified Reporter and
4 Notary Public within and for the State of Florida
5 do hereby certify:

6 That BRIAN BROWN, the witness whose deposition
7 is hereinbefore set forth, was duly sworn by me
8 before the commencement of such deposition and that
9 such deposition was taken before me and is a true
10 record of the testimony given by such witness.

11 I further certify that the adverse party,
12 PENUMBRA, INC., was represented by counsel at the
13 deposition.

14 I further certify that the deposition of
15 BRIAN BROWN, occurred remotely via Zoom on Friday,
16 October 24, 2025, commencing at 10:55 AM to 1:54 PM.

17 I further certify that I am not related to
18 any of the parties to this action by blood or
19 marriage, I am not employed by or an attorney to
20 any of the parties to this action, and that I am
21 in no way interested, financially or otherwise, in
22 the outcome of this matter.

1 IN WITNESS WHEREOF, I have hereunto set my
2 hand this 24th day of October, 2025.

3 My commission expires:

4 June 12, 2029

5

6 *Kiara Miller*
7 _____

8 NOTARY PUBLIC IN AND FOR THE

9 STATE OF FLORIDA

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Conducted on October 24, 2025

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Date: 10/24/2025

Case: Penumbra, Inc. -v- RapidPulse, Inc. (PTAB)

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ACKNOWLEDGMENT OF DEPONENT

I, Brian J. Brown, do hereby acknowledge
that I have read and examined the foregoing
testimony, and the same is a true, correct and
complete transcription of the testimony given by me
and any corrections appear on the attached Errata
sheet signed by me.

30 Oct 2025

(Date)

Brian J. Brown

(Signature)