

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC.,
Petitioner,

v.

NL GIKEN INC.,
Patent Owner.

Case No. IPR2024-01277
Patent No. 9,948,968

**PATENT OWNER'S MANDATORY NOTICES PURSUANT TO
37 C.F.R. § 42.8(a)(2)**

Patent Owner NL Giken Inc. hereby makes the following Mandatory Notices. These Notices are timely filed within 21 days of service of the Petition for *Inter Partes* Review by Petitioner Apple Inc.

I. REAL PARTY-IN-INTEREST

Patent Owner NL Giken Inc. is the Real Party-in-Interest.

II. RELATED MATTERS

A. United States Patent & Trademark Office

The application from which U.S. Patent No. 9,948,968 issued is a Continuation of U.S. Application No. 14/934,331 (U.S. Patent No. 9,762,964), which is a Continuation of U.S. Application No. 14/519,389 (U.S. Patent No. 9,210,484), which is a Continuation of U.S. Application No. 14/224,070 (U.S. Patent No. 8,893,187), which is a Continuation of U.S. Application No. 12/557,476 (U.S. Patent No. 8,726,028).

The following U.S. patent applications claim the benefit of the priority of the filing date of U.S. Patent No. 9,948,968:

- U.S. Patent Application No. 15/922,926 (U.S. Patent No. 10,880,592)
- U.S. Patent Application No. 17/108,017
- U.S. Patent Application No. 18/093,798
- U.S. Patent Application No. 18/643,903
- U.S. Patent Application No. 18/643,775

B. United States Patent Trial and Appeal Board

None.

C. U.S. District Court for the District of Delaware

- *NL Giken Inc. v. Apple Inc.*, No. 1:24-cv-00013
- *NL Giken Inc. v. Amazon.com, Inc.*, No. 1:24-cv-00028

III. LEAD AND BACKUP COUNSEL

Lead Counsel: Scott A. McKeown, Registration No. 42,866

Backup Counsel: Gerald B. Hrycyszyn, Registration No. 50,474

Backup Counsel: Victor Cheung, Registration No. 66,229

IV. SERVICE INFORMATION

Patent Owner consent to electronic service of all documents to the below email addresses:

Email: SMcKeown-PTAB@WolfGreenfield.com
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V. POWER OF ATTORNEY

The above-designated counsel are already counsel of record in the subject patent under PTO Customer Number 23628, and powers of attorney are of record

in the patent's file wrapper. Pursuant to 37 C.F.R. § 42.10(b), no additional power of attorney is being filed.

Respectfully submitted,
NL Giken Inc.

Date: September 11, 2024

/Scott A. McKeown /

Scott A. McKeown, Reg. No. 42,866
Gerald B. Hrycyszyn, Reg. No. 50,474
Victor Cheung, Reg. No. 66,229
WOLF, GREENFIELD & SACKS, P.C.

CERTIFICATE OF SERVICE UNDER 37 C.F.R. § 42.6 (E)(4)

I certify that on September 11, 2024, I will cause a copy of the foregoing document, including any exhibits filed therewith, to be served via electronic mail, as previously consented to by Petitioner, upon the following:

Philip W. Woo
D. Stuart Bartow
Paul Belnap

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Date: September 11, 2024

/MacAulay Rush /
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Paralegal
WOLF, GREENFIELD & SACKS, P.C.