

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ECTO WORLD, LLC and SV3, LLC,
Petitioner,

v.

RAI STRATEGIC HOLDINGS, INC.,
Patent Owner.

Case IPR2024-01280
Patent 11,925,202

PATENT OWNER'S MANDATORY NOTICES

Patent Owner, RAI Strategic Holdings, Inc. (“Patent Owner”), provides the following mandatory notices pursuant to 37 C.F.R. § 42.8(a)(2).

I. REAL PARTIES-IN-INTEREST

In accordance with 37 C.F.R. § 42.8(b)(1), Patent Owner identifies RAI Strategic Holdings, Inc., R.J. Reynolds Vapor Company, RAI Innovations Company, and R.J. Reynolds Tobacco Company as real parties-in-interest.

II. RELATED MATTERS

Pursuant to 37 C.F.R. § 42.8(b)(2), Patent Owner states the following:

U.S. Patent No. 11,925,202 (“’202 patent”), which is the subject of this proceeding, is at issue in the following post grant review proceeding:

Proceeding	Petitioner
PGR2024-00049	Ecto World, LLC and SV3, LLC

The ’202 patent is involved in litigation at the United States International Trade Commission, Investigation No. 337-TA-1410, *Certain Disposable Vaporizer Devices*, filed on June 11, 2024 and instituted by the Commission on July 17, 2024.

The following matters involved patents related to the ’202 patent:

Patent	Case	Court	Case No.	Filed	Status
9,901,123	<i>Philip Morris Products SA v. RAI Strategic Holdings, Inc.</i>	PTAB	IPR2020-01602	September 18, 2020	Final Written Decision issued March 30, 2022
9,901,123	<i>Philip Morris Products SA v.</i>	PTAB	IPR2020-00919	May 8, 2020	Institution denied November 16, 2020

	<i>RAI Strategic Holdings, Inc.</i>				
9,814,268	<i>Philip Morris Products SA v. RAI Strategic Holdings, Inc.</i>	PTAB	IPR2020-00921	May 8, 2020	Final Written Decision issued June 30, 2022
9,901,123	<i>Certain Tobacco Heating Articles and Components Thereof</i>	ITC	337-TA-1199	April 8, 2020	Final Determination issued September 29, 2021
9,901,123	<i>Philip Morris Products S.A. v. RAI Strategic Holdings, Inc.</i>	CAFC	22-1846	May 31, 2022	Final Written Decision in IPR2020-01602 affirmed; Mandate issued October 23, 2023
9,901,123	<i>Philip Morris Products S.A. v. ITC</i>	CAFC	22-1227	December 7, 2021	Judgment in 337-TA-1199 affirmed; Mandate issued May 22, 2023
9,814,268 9,901,123	<i>RAI Strategic Holdings, Inc. et al v. Altria Client Services LLC et al</i>	EDVA	1:20-cv-00393	April 9, 2020	'268 patent dismissed April 25, 2023; '123 patent dismissed February 5, 2024

The '202 patent and U.S. Patent Nos. 9,814,268 and 9,901,123 claim priority to U.S. Serial No. 11/550,634 filed on October 18, 2006, now issued as U.S. Patent No. 7,726,320.

III. LEAD AND BACKUP COUNSEL AND SERVICE INFORMATION

In accordance with 37 C.F.R. §§ 42.8(b)(3), 42.8(b)(4), and 42.10(a), Patent Owner identifies the following lead and backup counsel and service information:

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Patent Owner consents to electronic service by email at the email addresses listed above.

Pursuant to 37 C.F.R. § 42.10(b), a Power of Attorney is being submitted to the Board herewith.

Respectfully submitted,

Dated: September 3, 2024

/David M. Maiorana/

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Counsel for Patent Owner

RAI Strategic Holdings, Inc.

CERTIFICATE OF SERVICE

Pursuant to 37 C.F.R. § 42.6, the undersigned certifies that a copy of the foregoing “Patent Owner’s Mandatory Notices” was served on September 3, 2024 by electronic mail upon the following counsel of record for Petitioners, Ecto World, LLC and SV3, LLC:

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