Data-Over-Cable Service Interface Specifications
DOCSIS 3.0

Operations Support System Interface Specification

CM-SP-OSSIv3.0-I05-071206

ISSUED

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Contents

1 SCOPE 1

1.1 Introduction and Purpose 1
1.2 Background 1
1.2.1 Broadband Access Network 1
1.2.2 Network and System Architecture 1
1.2.3 Service Goals 3
1.2.4 Statement of Compatibility 3
1.2.5 Reference Architecture 4
1.2.6 DOCSIS 3.0 Documents 4
1.3 Requirements 5
1.4 Conventions 5
1.5 Organization of Document 6
1.5.1 Annexes 6
1.5.2 Appendices 7

2 REFERENCES 8

2.1 Normative References 8
2.2 Informative References 10
2.3 Reference Acquisition 11

3 TERMS AND DEFINITIONS 13

4 ABBREVIATIONS AND ACRONYMS 16

4.1 XML Namespaces 21

5 OVERVIEW 23

5.1 DOCSIS 3.0 OSSI Key Features 23
5.1.1 Fault Management Features 24
5.1.2 Configuration Management Features 24
5.1.3 Performance Management Features 25
5.1.4 Security Management Features 25
5.1.5 Accounting Management Features 25
5.2 Technical Overview 25
5.2.1 Architectural Overview 26
5.2.2 Management Protocols 27
5.2.3 Object Models 27

6 OSSI MANAGEMENT PROTOCOLS 29

6.1 SNMP Protocol 29
6.1.1 Requirements for IPv6 30
6.2 IPDR Protocol 30
6.2.1 Introduction 30
6.2.2 CMTS Usage of IPDR Standards 31
6.2.3 IP Detail Record (IPDR) Standard 31
6.2.4 IPDR Streaming Model 34
6.2.5 IPDRDoc mapping for DOCSIS IPDR Streaming 41
6.2.6 CMTS IPDR Specifications Support 42
6.2.7 Requirements for IPv6 43

7 OSSI MANAGEMENT OBJECTS 44

7.1 SNMP Management Information Bases (MIBS) 44
7.1.1 IETF Drafts and Others 45
7.1.2 IETF RFCs 46
7.1.3 Managed objects requirements 47
7.2 IPDR Service Definition Schemas 61
7.2.1 Requirements for DOCSIS SAMIS Service Definitions 64
7.2.2 Requirements for DOCSIS Spectrum Measurement Service Definition 65
7.2.3 Requirements for DOCSIS Diagnostic Log Service Definitions 65
7.2.4 Requirements for DOCSIS CMTS CM Registration Status Service Definition 66
7.2.5 Requirements for DOCSIS CMTS CM Upstream Status Service Definition 66
7.2.6 Requirements for DOCSIS CMTS Topology Service Definition 66
7.2.7 Requirements for DOCSIS CPE Service Definition 67
7.2.8 Requirements for Auxiliary Schemas 67

8 OSSI FOR PHY, MAC AND NETWORK LAYERS 68

8.1 Fault Management 68
8.1.1 SNMP Usage 68
8.1.2 Event Notification 68
8.1.3 Throttling, Limiting and Priority for Event, Trap and Syslog 77
8.1.4 SNMPv3 Notification Receiver config file TLV 77
8.1.5 Non-SNMP Fault Management Protocols 85
8.2 Configuration Management 85
8.2.1 Version Control 85
8.2.2 System Configuration 86
8.2.3 Secure Software Download 87
8.2.4 CM configuration files, TLV-11 and MIB OIDs/values 92
8.2.5 IPDR Exporter Configuration 94
8.3 Accounting Management 94
8.3.1 Subscriber Usage Billing and class of services 94
8.3.2 DOCSIS Subscriber Usage Billing Requirements 100
8.4 Performance Management 100
8.4.1 Treatment and interpretation of MIB counters 100
8.5 Security Management 102
8.5.1 CMTS SNMP Modes of Operation 102
8.5.2 CMTS SNMP Access Control Configuration 102
8.5.3 CM SNMP Modes of Operation 102
8.5.4 CM SNMP Access Control Configuration 102
8.5.5 IPDR Streaming Protocol Security Model 114

9 OSSI FOR CMCI 115

9.1 SNMP Access via CMCI 115
9.2 Console Access 115
9.3 CM Diagnostic Capabilities 116
9.4 Protocol Filtering 116

10 OSSI FOR CM DEVICE 117

10.1 CM LED Requirements and Operation 117
10.1.1 Power On, Software Application Image Validation and Self Test 117
10.1.2 Scan for Primary Downstream Channel 118
10.1.3 Resolve CM-SG and Range 118
10.1.4 Becoming Operational 118
10.1.5 Data Link and Activity 118
10.2 Additional CM Operational Status Visualization Features 118
10.2.1 Secure Software Download 119

ANNEX A DETAILED MIB REQUIREMENTS (NORMATIVE) 120

A.1 MIB-Object Details 120
A.2 [RFC 2863] ifTable/ifXTable MIB-Object Details 170

ANNEX B IPDR FOR DOCSIS CABLE DATA SYSTEMS SUBSCRIBER USAGE BILLING RECORDS (NORMATIVE) 180

B.1 Service Definition 180
B.1.1 DOCSIS Service Requirements 180
B.1.2 SAMIS Usage Attribute List 181
B.2 IPDR Service Definition Schemas 183
B.2.1 DOCSIS-SAMIS-TYPE-1_3.5.1-A.1.xsd 183
B.2.2 DOCSIS-SAMIS-TYPE-2_3.5.1-A.1.xsd 184

ANNEX C AUXILIARY SCHEMAS FOR DOCSIS IPDR SERVICE DEFINITIONS (NORMATIVE)..186

C.1 Overview....186
C.2 XML Semantics 186
C.2.1 Import Element 186
C.2.2 Element References....187
C.3 CMTS Information 187
C.3.1 CmtsHostName 187
C.3.2 CmtsSysUpTime 187
C.3.3 CmtsIpv4Addr 188
C.3.4 CmtsIpv6Addr 188
C.3.5 CmtsMdIfName 188
C.3.6 CmtsMdIfIndex 188
C.3.7 DOCSIS-CMTS_3.5.1-A.1.xsd 188
C.4 CM Information 189
C.4.1 DOCSIS-CM_3.5.1-A.1.xsd 189
C.5 Record Information....193
C.5.1 Rectype....193
C.5.2 RecCreationTime 193
C.5.3 DOCSIS-REC_3.5.1-A.1.xsd....193
C.6 QoS Information 195
C.6.1 ServiceFlowChSet....195
C.6.2 ServiceType....195
C.6.3 ServiceDsMulticast 195
C.6.4 ServiceIdentifier....195
C.6.5 ServiceGateId 195
C.6.6 ServiceClassName 196
C.6.7 ServiceDirection 196
C.6.8 ServiceOctetsPassed 196
C.6.9 ServicePktsPassed 196
C.6.10 ServiceSlaDropPkts 197
C.6.11 ServiceSlaDelayPkts 197
C.6.12 ServiceTimeCreated....197
C.6.13 ServiceTimeActive....197
C.6.14 DOCSIS-QOS_3.5.1-A.1.xsd 197
C.7 CPE Information 199
C.7.1 CpeMacAddr....200
C.7.2 CpeIpv4Addr....200
C.7.3 CpeIpv6Addr....200
C.7.4 CpeFqdn 200
C.7.5 DOCSIS-CPE_3.5.1-A.1.xsd....201
C.8 Spectrum Measurement Information....201

C.8.1 DOCSIS-SPECTRUM_3.5.1-A.1.xsd *201*
C.9 Diagnostic Log Information 203
C.9.1 DOCSIS-DIAG-LOG_3.5.1-A.1.xsd *203*
C.9.2 DOCSIS-DIAG-LOG-DETAIL_3.5.1-A.1.xsd *204*
C.10 CMTS CM Upstream Status Information 206
C.10.1 DOCSIS-CMTS-CM-US_3.5.1-A.1.xsd *207*
C.11 CMTS CM Node Channel Information 210
C.11.1 DOCSIS-CMTS-CM-NODE-CH_3.5.1-A.1.xsd *210*
C.12 CMTS MAC Domain Node Information 211
C.12.1 DOCSIS-MD-NODE_3.5.1-A.1.xsd *211*

ANNEX D FORMAT AND CONTENT FOR EVENT, SYSLOG, AND SNMP NOTIFICATION (NORMATIVE) 213

ANNEX E APPLICATION OF MGMD-STD-MIB TO DOCSIS 3.0 MGMD DEVICES (NORMATIVE) 249

E.1 MGMD MIBs 249
E.2 CM Support of IGMP-STD-MIB [RFC 2933] 249
E.2.2 igmpCacheTable *251*
E.3 CMTS Support of MGMD-STD-MIB [ID MGMD] 252

ANNEX F PROTOCOL FILTERING (NORMATIVE) 253

F.1 Filtering Mechanisms 253
F.1.1 LLC Filters *253*
F.1.2 Special filters *254*
F.1.3 IP Protocol Filtering *255*
F.1.4 Protocol Classification through Upstream Drop Classifiers *255*
F.2 Subscriber Management and CM Policy Delegation 259

ANNEX G DIAGNOSTIC LOG (NORMATIVE) 260

G.1 Overview 260
G.2 Object Definitions 260
G.2.1 Type Definitions *261*
G.2.2 LogGlobal Object *262*
G.2.3 LogTriggersCfg Object *263*
G.2.4 Log Object *265*
G.2.5 LogDetail Object *266*

ANNEX H REQUIREMENTS FOR DOCS-IFEXT2-MIB (NORMATIVE) 267

ANNEX I LOAD BALANCING REQUIREMENTS (NORMATIVE) 278

I.1 Overview 278
I.1.1 Load Balancing Groups *278*
I.1.2 DOCSIS 2.0 and 3.0 Load Balancing Differences *279*
I.2 Object Definitions 279
I.2.1 Type Definitions *279*
I.2.2 Load Balancing Objects *280*

ANNEX J ENHANCED SIGNAL QUALITY MONITORING REQUIREMENTS (NORMATIVE) 296

J.1 Overview 296
J.2 Object Definitions 296
J.2.1 Type Definitions *297*
J.2.2 SignalQualityExt Object *298*
J.2.3 CmtsSignalQualityExt Object *298*
J.2.4 CMTS Spectrum Analysis Objects *299*

ANNEX K DOCSIS 3.0 DATA TYPE DEFINITIONS (NORMATIVE) 301

K.1 Overview 301
K.2 Data Types Mapping 301
K.2.1 Data Types Requirements and Classification 301
K.2.2 Data Types Mapping Methodology 302
K.2.3 General Data Types 302
K.2.4 Extended Data Types 303

ANNEX L SECURITY REQUIREMENTS (NORMATIVE) 305

L.1 Overview 305
L.2 Object Definitions 305
L.2.1 CmtsServerCfg Object 307
L.2.2 CmtsEncrypt Object 307
L.2.3 CmtsSavCtrl Object 308
L.2.4 CmtsCmEaeExclusion Object 308
L.2.5 SavCmAuth Object 309
L.2.6 SavCfgList Object 310
L.2.7 SavStaticList Object 310
L.2.8 CmtsCmSavStats Object 311
L.2.9 Certificate Revocation Objects 311

ANNEX M MULTICAST REQUIREMENTS (NORMATIVE) 315

M.1 Overview 315
M.2 Object Definitions 315
M.2.1 Multicast Authorization Object Model 315
M.2.2 Multicast Authorization Status Objects 319
M.2.3 Multicast QoS Configuration Object Model 322
M.2.4 Multicast Status Reporting Object Model 331

ANNEX N CM REGISTRATION AND UPSTREAM STATUS REQUIREMENTS (NORMATIVE) 335

N.1 Overview 335
N.2 Object Definitions 335
N.2.1 Type Definitions 335
N.2.2 CM Status Objects 341
N.2.3 CMTS CM Status Objects 348
N.2.4 CMTS CM Control Object 353

ANNEX O MEDIA ACCESS CONTROL (MAC) REQUIREMENTS (NORMATIVE) 355

O.1 Overview 355
O.1.1 Cable Modem Service Groups (CM-SGs) 355
O.1.2 Downstream Bonding Group (DBG) 355
O.1.3 Upstream Bonding Group (UBG) 355
O.2 Object Definitions 355
O.2.1 Type Definitions 355
O.2.2 Fiber Node Topology Objects 358
O.2.3 CMTS Topology Objects 360
O.2.4 CMTS Bonding Objects 363
O.2.5 RCC Configuration Objects 373
O.2.6 RCC Status Objects 377
O.2.7 Upstream Channel Extensions Objects 380
O.2.8 DOCSIS QOS Objects 383
O.2.9 QOS Statistics Objects 410
O.2.10 DSID Objects 423

ANNEX P SUBSCRIBER MANAGEMENT REQUIREMENTS (NORMATIVE) 432

P.1 Overview....432
P.2 Object Definitions....432
P.2.1 Subscriber Management Objects....433

ANNEX Q DOCSIS 3.0 SNMP MIB MODULES (NORMATIVE)....446

Q.1 DOCS-DIAG-MIB....446
Q.2 DOCS-SUBMGT3-MIB....456
Q.3 DOCS-SEC-MIB....477
Q.4 DOCS-MCAST-MIB....489
Q.5 DOCS-MCAST-AUTH-MIB....505
Q.6 DOCS-IF3-MIB....516
Q.7 DOCS-QOS3-MIB....573
Q.8 CLAB-TOPO-MIB....651
Q.9 DOCS-LOADBAL3-MIB....654

ANNEX R IPDR SERVICE DEFINITION SCHEMAS (NORMATIVE)....680

R.1 SAMIS Service Definition Schemas....680
R.2 Diagnostic Log Service Definition Schemas....680
R.2.1 DOCSIS-DIAG-LOG-TYPE_3.5.1-A.1.xsd....680
R.2.2 DOCSIS-DIAG-LOG-EVENT-TYPE_3.5.1-A.1.xsd....681
R.2.3 DOCSIS-DIAG-LOG-DETAIL-TYPE_3.5.1-A.1.xsd....682
R.3 Spectrum Measurement Service Definition Schema....683
R.3.1 DOCSIS-SPECTRUM-MEASUREMENT-TYPE_3.5.1-A.1.xsd....683
R.4 CMTS CM Registration Status Service Definition Schema....684
R.4.1 DOCSIS-CMTS-CM-REG-STATUS-TYPE_3.5.1-A.1.xsd....684
R.5 CMTS CM Upstream Status Service Definition Schema....686
R.5.1 DOCSIS-CMTS-CM-US-STATS-TYPE_3.5.1-A.1.xsd....686
R.6 CMTS Topology Service Definition Schema....687
R.6.1 DOCSIS-CMTS-TOPOLOGY-TYPE_3.5.1-A.1.xsd....687
R.7 CPE Service Definition Schema....688
R.7.1 DOCSIS-CPE-TYPE_3.5.1-A.1.xsd....688

APPENDIX I BUSINESS PROCESS SCENARIOS FOR SUBSCRIBER ACCOUNT MANAGEMENT (INFORMATIVE)....690

I.1 The Current Service Model: "One Traffic Class" and "Best effort"....690
I.2 The Current Billing Model: "Flat Rate" Billing....690
I.3 Flow Through Dynamic Provisioning....690
I.3.1 Integrating "front end" processes seamlessly with "back office" functions....691
I.3.2 Designing Classes of Service By Customer Type and Application....691
I.3.3 Usage-Based Billing....694
I.3.4 Designing Simple Usage-Based Billing Models....695
I.4 Conclusions....695

APPENDIX II SUMMARY OF CM AUTHENTICATION AND CODE FILE AUTHENTICATION (INFORMATIVE)....696

II.1 Authentication of the CM....696
II.1.1 Responsibility of the DOCSIS Root CA....696
II.1.2 Responsibility of the CM manufacturers....696
II.1.3 Responsibility of the operators....696
II.2 Authentication of the code file for the CM....697
II.2.1 Responsibility of the DOCSIS Root CA....697
II.2.2 Responsibility of the CM manufacturer....698
II.2.3 Responsibility of CableLabs....698
II.2.4 Responsibility of the operators....698

APPENDIX III DOCSIS IPDR SAMPLE INSTANCE DOCUMENTS (INFORMATIVE) 700

III.1 Collector Aggregation....700
III.2 Schema Location....700
III.3 DIAG-LOG-TYPE....700
III.3.1 Use Case....700
III.3.2 Instance Document....700
III.4 DIAG-LOG-DETAIL-TYPE....701
III.4.1 Use Case....701
III.4.2 Instance Document....701
III.5 DIAG-LOG-EVENT-TYPE....702
III.5.1 Use Case....702
III.5.2 Instance Document....702
III.6 SPECTRUM-MEASUREMENT-TYPE....702
III.6.1 Use Case....703
III.6.2 Instance Document....703
III.7 CMTS-CM-US-STATS-TYPE....704
III.7.1 Use Case....704
III.7.2 Instance Document....705
III.8 CMTS-CM-REG-STATUS-TYPE....706
III.8.1 Use Case....706
III.8.2 Instance Document....706
III.9 CMTS-TOPOLOGY-TYPE....707
III.9.1 Use Case....707
III.9.2 Instance Document....707
III.10 CPE-TYPE....707
III.10.1 Use Case....708
III.10.2 Instance Document....708
III.11 SAMIS-TYPE-1 and SAMIS-TYPE-2....708
III.11.1 Use Case....708
III.11.2 SAMIS Type 1 Instance Document....710
III.11.3 SAMIS Type 2 Instance Document....711

APPENDIX IV IPDR/SP MESSAGE ENCODING DETAILS....713

IV.1 IPDR/SP Message Header....713
IV.2 IPDR/SP Version Discovery Messages....713
IV.2.1 VERSION REQUEST....713
IV.2.2 VERSION RESPONSE....713
IV.3 IPDR/SP Connection Messages....714
IV.3.1 CONNECT....714
IV.3.2 CONNECT RESPONSE....714
IV.3.3 DISCONNECT....714
IV.4 IPDR/SP Error Messages....715
IV.5 IPDR/SP Flow Control Messages....715
IV.5.1 FLOW START/STOP....715
IV.5.2 SESSION START....715
IV.5.3 SESSION STOP....716
IV.6 IPDR/SP Template Messages....716
IV.6.1 TEMPLATE DATA....716
IV.6.2 MODIFY TEMPLATE RESPONSE....720
IV.6.3 START NEGOTIATION REJECT....720
IV.7 IPDR/SP Data Messages....721
IV.7.1 DATA....721
IV.8 IPDR/SP State Independent Messages....723
IV.8.1 GET SESSIONS RESPONSE....723

IV.8.2 GET TEMPLATES RESPONSE 723
IV.8.3 KEEP ALIVE 724

APPENDIX V SIGNAL QUALITY USE CASES (INFORMATIVE) 725

V.1 Normalization of RF Impairments Measurements 725
V.1.1 Problem Description 725
V.1.2 Use Cases 725
V.2 Upstream Spectrum Measurement Monitoring 728
V.2.1 Problem Description 728
V.2.2 Use Cases 728

APPENDIX VI OBJECT MODEL NOTATION 733

VI.1 Overview 733
VI.2 Object Model Diagram 733
VI.2.1 Classes 733
VI.2.2 Associations 733
VI.2.3 Generalization 734
VI.2.4 Dependencies 734
VI.2.5 Comment 734
VI.2.6 Diagram Notation 734
VI.3 Object Instance Diagram 734
VI.4 ObjectA Definition Example 735
VI.5 Common Terms Shortened 736
VI.5.1 Exceptions 737

APPENDIX VII RECEIVE CHANNEL OBJECT MODEL (INFORMATIVE) 738

VII.1 RCP/RCC Object Model 738
VII.2 RCP/RCC XML Schema 738
VII.3 XML Instance Document for DOCSIS Standard RCP profiles 740

APPENDIX VIII ACKNOWLEDGEMENTS (INFORMATIVE) 744

APPENDIX IX REVISION HISTORY (INFORMATIVE) 745

IX.1 Engineering Changes for CM-SP-OSSIv3.0-I02-070223 745
IX.2 Engineering Changes for CM-SP-OSSIv3.0-I03-070518 745
IX.3 Engineering Changes for CM-SP-OSSIv3.0-I04-070803 746
IX.4 Engineering Changes for CM-SP-OSSIv3.0-I05-071206 746

Figures

Figure 1-1 - The DOCSIS Network 2
Figure 1-2 - Transparent IP Traffic Through the Data-Over-Cable System 3
Figure 1-3 - Data-over-Cable Reference Architecture 4
Figure 6-1 - Basic Network Model (ref. [IPDR/BSR] from www.ipdr.org) 31
Figure 6-2 - IPDRDoc 3.5.1 Master Schema 32
Figure 6-3 - Sequence Diagram for DOCSIS Time Interval Session Streaming Requirements 38
Figure 6-4 - Sequence Diagram for DOCSIS Event Based Session Streaming Requirement 39
Figure 6-5 - Sequence Diagram for DOCSIS Ad-hoc Based Session Streaming Requirement 40
Figure 7-1 - ifIndex example for CMTS 51
Figure 7-2 - ifIndex example for CM 52
Figure 7-3 - DOCSIS IPDR Service Definition 63
Figure 7-4 - Billing Collection Interval Example 65
Figure 8-1 - Manufacturer control scheme 87
Figure 8-2 - Operator control scheme 88
Figure C-1 - Auxiliary Schema Import 186
Figure G-1 - Diagnostic Log Object Model Diagram 261
Figure I-1 – Load Balancing Object Model Diagram 281
Figure J-1 - Signal Quality Monitoring Object Model Diagram 296
Figure L-1 - Security Object Model Diagram 306
Figure L-2 - Certificate Revocation Object Model Diagram 312
Figure M-1 - Multicast Authorization Object Model Diagram 316
Figure M-2 - Multicast Configuration Object Model Diagram 324
Figure M-3 - Multicast Status Reporting Object Model Diagram 332
Figure N-1 - CM Status Object Model Diagram 342
Figure N-2 - CMTS CM Status Object Model Diagram 349
Figure O-1 - Fiber Node Topology Object Model Diagram 359
Figure O-2 - CMTS Topology Object Model Diagram 361
Figure O-3 - CMTS Bonding Object Model Diagram 364
Figure O-4 - RCC Configuration Object Model Diagram 373
Figure O-5 - RCC Status Object Model Diagram 377
Figure O-6 - Upstream Channel Extension Object Model Diagram 381
Figure O-7 – Qos Configuration Object Model Diagram 383
Figure O-8 – Qos Statistics Object Model Diagram 410
Figure O-9 – DSID Object Model Diagram 424
Figure P-1 - Subscriber Management Object Model Diagram 433
Figure II-1 - Authentication of the code file for the CM 697
Figure III-1 - Set of CM Services in an arbitrary period of time (Left Graphic) Set of Records associated to the Collection Interval 10:30 to 11:00 AM (Right Graphic) 710
Figure V-1 - Sequence Diagram for Streaming of Spectrum Analysis Measurement Data 730
Figure V-2 - Spectrum Amplitude Constructed Graph from collected data 732
Figure V-3 - Spectrum Amplitude Detail Graph from collected data 732

Figure VI-1 - Object Model UML Class Diagram Notation 734
Figure VI-2 - Object Instance Diagram for ObjectA 735
Figure VII-1 - RCP/RCC Object Model Diagram 738

Tables

Table 1-1 - DOCSIS 3.0 Series of Specifications 4
Table 1-2 - DOCSIS 3.0 Related Specifications 5
Table 4-1 - Public XML Namespaces 21
Table 4-2 - IPDR Service Definition Namespaces 21
Table 4-3 - Auxiliary Schema Namespaces 21
Table 5-1 - Management Features Requirements for DOCSIS 3.0 23
Table 6-1 - IETF SNMP-related RFCs 29
Table 6-2 - SMIv2 IETF SNMP-related RFCs 30
Table 6-3 - Diffie-Helman IETF SNMP-related RFC 30
Table 6-4 - IPDR-related Standards 30
Table 6-5 - DOCSIS IPDR Collection Methodologies Sequence Diagram Details 41
Table 6-6 - IPDRDoc Element/Attribute Mapping 41
Table 7-1 - IETF Drafts and Others 45
Table 7-2 - IETF RFCs 46
Table 7-3 - CM interface numbering 52
Table 7-4 - CmStatusValue and ifOperStatus relationship 53
Table 7-5 – USB State and ifOperStatus relationship 53
Table 7-6 – DOCSIS 3.0 IPDR Service Definitions and Schemas 61
Table 8-1 - CM default event reporting mechanism versus priority 74
Table 8-2 - CMTS default event reporting mechanism versus priority (non-volatile Local Log support only) 75
Table 8-3 - CMTS default event reporting mechanism versus priority (volatile Local Log support only) 76
Table 8-4 - CMTS default event reporting mechanism versus priority 76
Table 8-5 - Event Priorities Assignment for CMs and CMTS 76
Table 8-6 - SNMPv3 Notification Receiver TLV Mapping 78
Table 8-7 - snmpNotifyTable 79
Table 8-8 - snmpTargetAddrTable 79
Table 8-9 - snmpTargetAddrExtTable 80
Table 8-10 - snmpTargetParamsTable 80
Table 8-11 - snmpNotifyFilterProfileTable 81
Table 8-12 - snmpNotifyFilterTable 81
Table 8-13 - snmpCommunityTable 82
Table 8-14 - usmUserTable 82
Table 8-15 - vacmContextTable 83
Table 8-16 - vacmSecurityToGroupTable 83
Table 8-17 - vacmAccessTable 84
Table 8-18 - vacmViewTreeFamilyTable 84
Table 8-19 - sysDescr Format 86

Table 8-20 - Subscriber Usage Billing Model Mapping to DOCSIS Management Object....98
Table 8-21 - SNMPv1v2c Coexistence Configuration TLV Mapping....109
Table 8-22 - snmpCommunityTable....110
Table 8-23 - snmpTargetAddrTable....110
Table 8-24 - snmpTargetAddrExtTable....111
Table 8-25 - vacmSecurityToGroupTable....111
Table 8-26 - vacmAccessTable....112
Table 8-27 - SNMPv3 Access View Configuration TLV Mapping....113
Table 8-28 - vacmViewTreeFamilyTable....113
Table A-1 - MIB Implementation Support....120
Table A-2 - SNMP Access Requirements....120
Table A-3 - MIB Object Details....121
Table A-4 - [RFC 2863] ifTable/ifXTable MIB-Object Details for Ethernet and USB Interfaces....170
Table A-5 - [RFC 2863] ifTable/ifXTable MIB-Object Details for MAC and RF Interfaces....172
Table A-6 - [RFC 2863] ifTable/ifXTable Counter32 and Counter64 MIB-Object Details for Ethernet and USB Interfaces....173
Table A-7 - [RFC 2863] ifTable/ifXTable Counter32 and Counter64 MIB-Object Details for MAC and RF Interfaces....175
Table C-1 - CMTS Information Attributes....187
Table C-2 - Record Information Attributes....193
Table C-3 - QoS Information Attributes....195
Table C-4 - CPE Information Attributes....200
Table D-1 - Event Format and Content....214
Table E-1 - IGMP-STD-MIB igmpInterfaceTable Objects....250
Table E-2 - IGMP-STD-MIB igmpCacheTable Objects....252
Table F-1 - Sample docsDevNmAccessIp Values....254
Table F-2 - Mapping of docsDevFilteripTable [RFC 2669] to UDCs for Layer 3 & 4 Criteria....257
Table F-3 - Upstream Drop Classification Values for LLC/MAC Classification....258
Table G-1 - Data Type Definitions....262
Table G-2 - LogGlobal Object....262
Table G-3 - LogTriggersCfg Object....264
Table G-4 - Log Object....265
Table G-5 - LogDetail Object....266
Table I-1 – Data Type Definitions....279
Table J-1 - Data Type Definitions....297
Table J-2- SignalQualityExt Object....298
Table J-3 - CmtsSignalQualityExt Object....299
Table J-4 - CmtsSpectrumAnalysisMeas Object....299
Table K-1 - General Data Types....302
Table K-2 - Extended Data Types....304
Table L-1 - CmtsServerCfg Object....307
Table L-2 - CmtsEncrypt Object....307
Table L-3 - CmtsSavCtrl Object....308
Table L-4 - CmtsCmEaeExclusion Object....308

Table L-5 - SavCmAuth Object 309
Table L-6 - SavCfgList Object 310
Table L-7 - SavStaticList Object 311
Table L-8 - CmtsCmSavStats Object 311
Table L-9 - CertificateRevocationMethod Object 312
Table L-10 - CmtsCertRevocationList Object 313
Table L-11 - CmtsOnlineCertStatusProtocol Object 314
Table M-1 - Ctrl Object 316
Table M-2 - ProfileSessRule Object 317
Table M-3 - Profiles Object 319
Table M-4 - CmtsCmStatus Object 319
Table M-5 - StaticSessRule Object 321
Table M-6 - CmtsGrpCfg Object 325
Table M-7 - GrpSvcClass Object 328
Table M-8 - GrpQosCfg Object 329
Table M-9 - CmtsGrpPhsCfg Object 330
Table M-10 - CmtsGrpEncryptCfg Object 331
Table M-11 - DsidPhs Object 332
Table M-12 - CmtsReplSess Object 333
Table N-1 - Data Type Definitions 335
Table N-2 - Pre-3.0 DOCSIS and DOCSIS 3.0 CM Registration status mapping 338
Table N-3 - Pre-3.0 DOCSIS and DOCSIS 3.0 CMTS CM Registration status mapping 340
Table N-4 - CmStatus Object 343
Table N-5 - CmStatusUs Object 345
Table N-6 - CmCapabilities Object 346
Table N-7 - CmtsCmRegStatus Object 349
Table N-8 - CmtsCmUsStatus Object 352
Table N-9 - CmtsCmCtrlCmd Object 354
Table O-1 - Data Type Definitions 356
Table O-2 - FiberNodeCfg Object 359
Table O-3 - ChFnCfg Object 360
Table O-4 - MdNodeStatus Object 362
Table O-5 - MdDsSgStatus Object 362
Table O-6 - MdUsSgStatus Object 363
Table O-7 - MdChCfg Object 364
Table O-8 - MdCfg Object 365
Table O-9 - MdUsToDsChMapping Object 369
Table O-10 - DsChSet Object 369
Table O-11 - UsChSet Object 370
Table O-12 - BondingGrpCfg Object 370
Table O-13 - DsBondingGrpStatus Object 371
Table O-14 - UsBondingGrpStatus Object 372
Table O-15 - RccCfg Object 374
Table O-16 - RxModuleCfg Object 375

Table O-17 - RxChCfg Object 376
Table O-18 - RccStatus Object 377
Table O-19 - RxModuleStatus Object 378
Table O-20 - RxChStatus Object 379
Table O-21 - UsChExt Object 381
Table O-22 – PktClass Object 384
Table O-23 –ParamSet Object 390
Table O-24 –ServiceFlow Object 400
Table O-25 – ServiceClass Object 402
Table O-26 - PHS Object 405
Table O-27 – CmtsMacToSrvFlow Object 407
Table O-28 - ServiceFlowSidCluster Object 407
Table O-29 – GrpServiceFlow Object 408
Table O-30 - GrpPktClass Object 409
Table O-31 –ServiceFlowStats Object 411
Table O-32 – UpstreamStats Object 412
Table O-33 – DynamicServiceStats Object 413
Table O-34 – ServiceFlowLog Object 418
Table O-35 – UpChCounterExt Object 420
Table O-36 – ServiceFlowCcfStats Object 420
Table O-37 – CmServiceUsStats Object 421
Table O-38 - CmDsid Object 425
Table O-39 - CmtsDsid Object 426
Table O-40 - CmDsidStats Object 428
Table O-41 - CmDsidClient Object 429
Table O-42 - CmtsDebugDsid Object 430
Table O-43 - CmtsDebugDsidStats Object 430
Table P-1 - Base Object 434
Table P-2 - CpeCtrl Object 435
Table P-3 - CpeIp Object 437
Table P-4 - Grp Object 438
Table P-5 - FilterGrp Object 441
Table III-1 - Sample of records for the period 10:30 to 11:00 AM 709
Table V-1 - RF Management Statistics available in DOCSIS 3.0 726
Table V-2 -Spectrum Analysis Measurement Constructed Graph from collected data 731
Table VI-1 - ObjectA Example Table Layout 735
Table VI-2 - Shortened Common Terms 736

1 SCOPE

1.1 Introduction and Purpose

This specification is part of the DOCSIS® family of specifications developed by Cable Television Laboratories (CableLabs). In particular, this specification is part of a series of specifications that define the third generation of high-speed data-over-cable systems. This specification was developed for the benefit of the cable industry, and includes contributions by operators and vendors from North America, Europe, and other regions.

1.2 Background

1.2.1 Broadband Access Network

A coaxial-based broadband access network is assumed. This may take the form of either an all-coax or hybrid-fiber/coax (HFC) network. The generic term "cable network" is used here to cover all cases.

A cable network uses a tree-and-branch architecture with analog transmission. The key functional characteristics assumed in this document are the following:

- Two-way transmission.
- A maximum optical/electrical spacing between the CMTS and the most distant CM of 100 miles in each direction, although typical maximum separation may be 10-15 miles.
- A maximum differential optical/electrical spacing between the CMTS and the closest and most distant modems of 100 miles in each direction, although this would typically be limited to 15 miles.

At a propagation velocity in fiber of approximately 1.5 ns/ft, 100 miles of fiber in each direction results in a round-trip delay of approximately 1.6 ms.

1.2.2 Network and System Architecture

1.2.2.1 The DOCSIS Network

The elements that participate in the provisioning of DOCSIS services are shown in Figure 1-1.

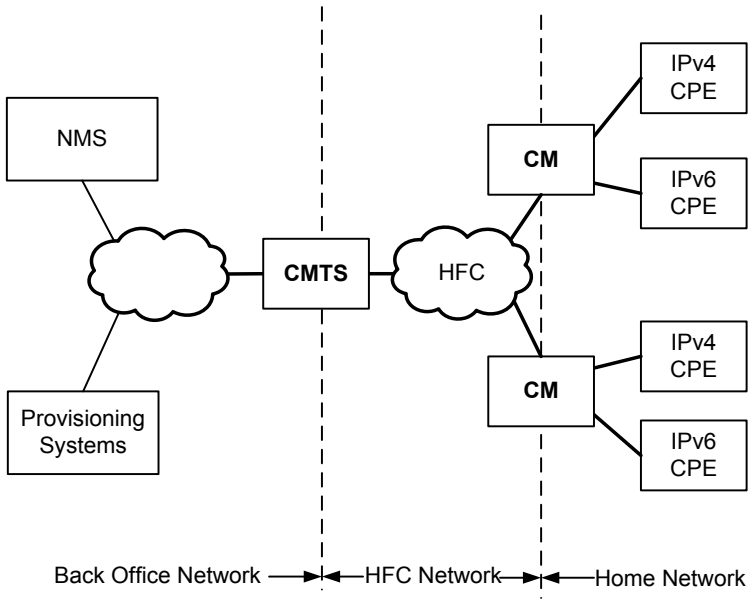


Figure 1-1 - The DOCSIS Network

The CM connects to the operator's HFC network and to a home network, bridging packets between them. Many CPEs devices can connect to the CMs' LAN interfaces CPE devices can be embedded with the CM in a single device, or they can be separate standalone devices (as shown in Figure 1-1). CPE devices may use IPv4, IPv6 or both forms of IP addressing. Examples of typical CPE devices are home routers, set-top devices, and personal computers.

The CMTS connects the operator's back office and core network with the HFC network. Its main function is to forward packets between these two domains, and between upstream and downstream channels on the HFC network.

Various applications are used to provide back office configuration and other support to the devices on the DOCSIS network. These applications use IPv4 and/or IPv6 as appropriate to the particular operator's deployment. The following applications include:

- Provisioning Systems
  - The DHCP servers provide the CM with initial configuration information, including the device IP address(es), when the CM boots.
  - The Config File server is used to download configuration files to CMs when they boot. Configuration files are in binary format and permit the configuration of the CM's parameters. The Config File server is also used to download software upgrades to the CM.
  - The Time Protocol server provides Time Protocol clients, typically CMs, with the current time of day.
  - Certificate Revocation server provides certificate status.
- Network Management System (NMS)
  - The SNMP Manager allows the operator to configure and monitor SNMP Agents, typically the CM and the CMTS.
  - The syslog server collects messages pertaining to the operation of devices.
  - The IPDR Collector server allows the operator to collect bulk statistics in an efficient manner

1.2.3 Service Goals

As cable operators have widely deployed high-speed data services on cable television systems, the demand for bandwidth has increased. Additionally, networks have scaled to such a degree that IPv4 address constraints are becoming a burden on network operations. To this end, CableLabs' member companies have decided to add new features to the DOCSIS® specification for the purpose of increasing channel capacity, enhancing network security, expanding addressability of network elements, and deploying new service offerings.

The DOCSIS system allows transparent bi-directional transfer of Internet Protocol (IP) traffic, between the cable system head-end and customer locations, over an all-coaxial or hybrid-fiber/coax (HFC) cable network. This is shown in simplified form in Figure 1-2.

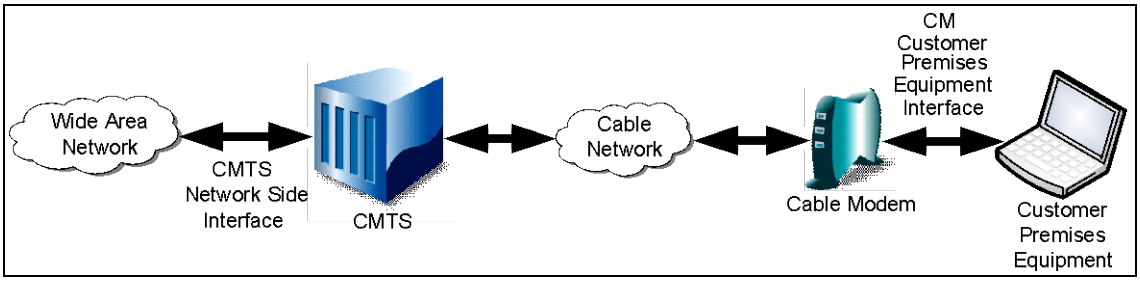


Figure 1-2 - Transparent IP Traffic Through the Data-Over-Cable System

1.2.4 Statement of Compatibility

This specification defines the DOCSIS 3.0 interface. Prior generations of DOCSIS were commonly referred to as DOCSIS 1.0, 1.1 and 2.0. DOCSIS 3.0 is backward-compatible with equipment built to the previous specifications. DOCSIS 3.0-compliant CMs interoperate seamlessly with DOCSIS 2.0, DOCSIS 1.1 and DOCSIS 1.0 CMTSs. DOCSIS 3.0-compliant CMTSs seamlessly support DOCSIS 2.0, DOCSIS 1.1 and DOCSIS 1.0 CMs.

1.2.5 Reference Architecture

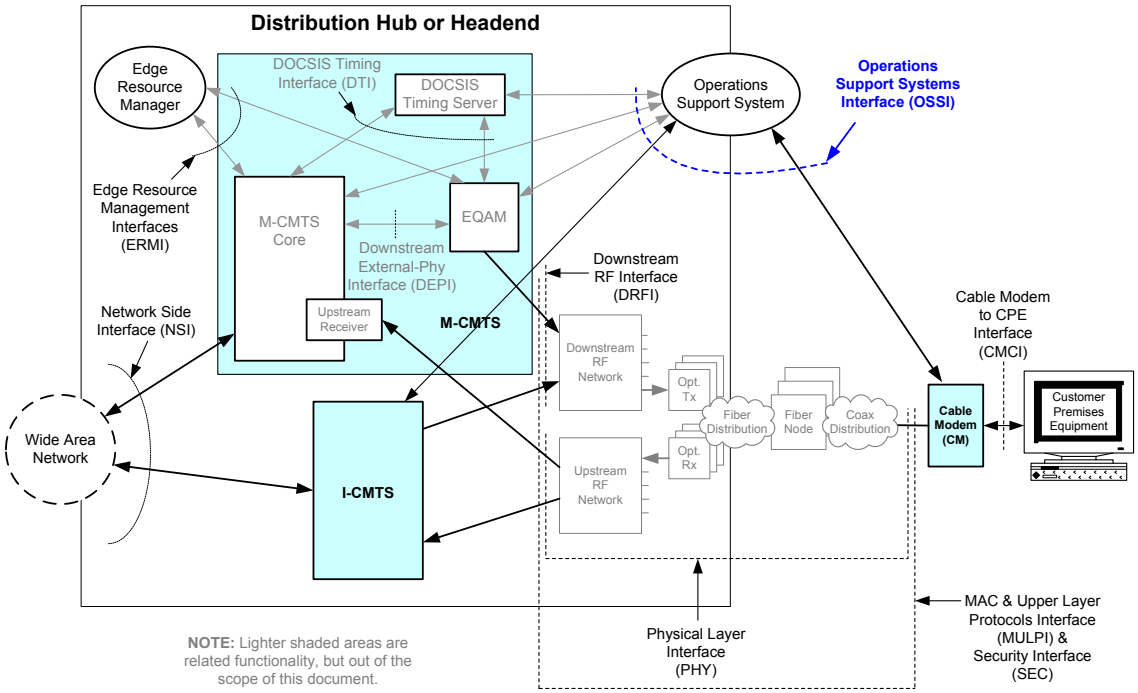


Figure 1-3 - Data-over-Cable Reference Architecture

The reference architecture for data-over-cable services and interfaces is shown in Figure 1-3.

1.2.6 DOCSIS 3.0 Documents

A list of the specifications in the DOCSIS 3.0 series is provided in Table 1-1. For further information, please refer to http://www.cablemodem.com.

Table 1-1 - DOCSIS 3.0 Series of Specifications

Designation	Title
CM-SP-PHYv3.0	Physical Layer Specification
CM-SP-MULPIv3.0	Media Access Control and Upper Layer Protocols Interface Specification
CM-SP-OSSIv3.0	Operations Support System Interface Specification
CM-SP-SECv3.0	Security Specification

This specification is defining the interface for the Operations Support Systems Interface (OSSI).

Related DOCSIS specifications are listed in Table 1-2.

Table 1-2 - DOCSIS 3.0 Related Specifications

Designation	Title
CM-SP-eDOCSIS	eDOCSIS™ Specification
CM-SP-CMCI	Cable Modem CPE Interface Specification
CM-SP-DRFI	Downstream Radio Frequency Interface Specification
CM-SP-DTI	DOCSIS Timing Interface Specification
CM-SP-DEPI	Downstream External PHY Interface Specification
CM-SP-DSG	DOCSIS Set-Top Gateway Interface Specification
CM-SP-ERMI	Edge Resource Manager Interface Specification
CM-SP-M-OSSI	M-CMTS Operations Support System Interface Specification
CM-SP-L2VPN	Layer 2 Virtual Private Networks Specification
CM-SP-TEI	TDM Emulation Interface Specification

1.3 Requirements

Throughout this document, the words that are used to define the significance of particular requirements are capitalized. These words are:

"MUST" This word means that the item is an absolute requirement of this specification.

"MUST NOT" This phrase means that the item is an absolute prohibition of this specification.

"SHOULD" This word means that there may exist valid reasons in particular circumstances to ignore this item, but the full implications should be understood and the case carefully weighed before choosing a different course.

"SHOULD NOT" This phrase means that there may exist valid reasons in particular circumstances when the listed behavior is acceptable or even useful, but the full implications should be understood and the case carefully weighed before implementing any behavior described with this label.

"MAY" This word means that this item is truly optional. One vendor may choose to include the item because a particular marketplace requires it or because it enhances the product, for example; another vendor may omit the same item.

This document defines many features and parameters, and a valid range for each parameter is usually specified. Equipment (CM and CMTS) requirements are always explicitly stated. Equipment must comply with all mandatory (MUST and MUST NOT) requirements to be considered compliant with this specification. Support of non-mandatory features and parameter values is optional.

1.4 Conventions

In this specification the following convention applies any time a bit field is displayed in a figure. The bit field should be interpreted by reading the figure from left to right, then from top to bottom, with the MSB being the first bit so read and the LSB being the last bit so read.

`MIB syntax and XML Schema syntax is represented by this code sample font.`

Note: Notices and/or Warnings are identified by this style font and label.

1.5 Organization of Document

Section 1 provides an overview of the DOCSIS 3.0 series of specifications including the DOCSIS reference architecture and statement of compatibility.

Section 2 includes a list of normative and informative references used within this specification.

Section 3 defines the terms used throughout this specification.

Section 4 defines the acronyms used throughout this specification.

Section 5 provides a technical overview and lists the DOCSIS 3.0 key features for the functional area of this specification.

Section 6 defines requirements for the OSSI SNMP and IPDR management protocols.

Section 7 defines the requirements for the OSSI management objects including SNMP MIBs and IPDR Service Definitions.

Section 8 defines the OSSI requirements for the PHY, MAC and Network Layers.

Section 9 defines the OSSI requirements for the Cable Modem to CPE Interface (CMCI).

Section 10 defines the OSSI requirements for the Cable Model device including LED operations.

1.5.1 Annexes[1]

Annex A includes a detailed list of MIB object requirements for the CM and CMTS.

Annex B defines the IPDR Service Definition and associated schema for Subscriber Account Management.

Annex C defines the IPDR Service Definition auxiliary schemas.

Annex D includes a detailed list of DOCSIS events and the associated formats.

Annex E defines the MGMD-STD-MIB requirements for DOCSIS 3.0 MGMD devices.

Annex F defines protocol filtering requirements.

Annex G defines the object model for the DOCSIS 3.0 Diagnostic Log feature.

Annex H defines the requirements for DOCS-IFEXT2-MIB.

Annex I defines the object model for the DOCSIS 3.0 Load Balancing requirements.

Annex J defines the object model for the DOCSIS 3.0 Enhanced Signal Quality Monitoring feature.

Annex K defines the DOCSIS 3.0 data type definitions.

Annex L defines the object model for the DOCSIS 3.0 Security requirements.

[1] revised per OSSIv3.0-N-07.0481-2 by ab on 7/17/07.

Annex M defines the object model for the DOCSIS 3.0 IP Multicast requirements.

Annex N defines the object model for the CM registration and upstream status requirements.

Annex O defines the object model for the MAC requirements.

Annex P defines the object model for the Subscriber Management requirements.

Annex Q defines the DOCSIS 3.0 MIB modules.

Annex R defines the DOCSIS 3.0 IPDR Service Definition schemas.

1.5.2 Appendices

Appendix I identifies business process scenarios for Subscriber Account Management.

Appendix II provides a summary of Cable Modem authentication and code file authentication including areas of responsibility.

Appendix III includes example IPDR Instance Documents.

Appendix IV includes a list of IPDR/SP message encoding examples.

Appendix V identifies signal quality monitoring use cases for use as operational guideline examples.

Appendix VI provides an overview of the Object Model Notation using UML.

Appendix VII includes an RCC/RCP object diagram and corresponding XML Schema and Instance Documents.

Appendix VIII includes acknowledgements and contains a list of contributors.

2 REFERENCES

2.1 Normative References[2]

In order to claim compliance with this specification, it is necessary to conform to the following standards and other works as indicated, in addition to the other requirements of this specification. Notwithstanding, intellectual property rights may be required to use or implement such normative references.

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2.3 Reference Acquisition

CableLabs Specifications:

- Cable Television Laboratories, Inc., 858 Coal Creek Circle, Louisville, CO 80027;
  Phone +1-303-661-9100; Fax +1-303-661-9199; Internet: http://www.cablelabs.com

ANSI Specifications:

- American National Standards Institute, Inc. 1819 L Street, NW, 6th floor
  Washington, DC 20036; Phone +1-202-293-8020; Fax +1-202-293-9287. Internet: http://www.ansi.org

IETF Specifications:

- IETF Secretariat, c/o Corporation for National Research Initiatives, 1895 Preston White Drive, Suite 100, Reston, VA 20191-5434; Phone +1-703-620-8990; Fax +1-703-620-9071. Internet: http://www.ietf.org.

IPDR Specifications:

- 13 Mizzenmast Road, Nantucket, MA 02554; Phone +1-508-325-6169; Fax +1-508-325-6169.
  Internet: http://www.ipdr.org

ISO Specifications

- International Organization for Standardization (ISO), 1, rue de Varembé, Case postale 56, CH-1211 Geneva 20, Switzerland, Phone +41 22 749 01 11; Fax +41 22 733 34 30; Internet: http://www.iso.org

ITU Recommendations:

- Place des Nations, CH-1211, Geneva 20, Switzerland; Phone +41-22-730-51-11; Fax +41-22-733-7256.
  Internet: http://www.itu.int

World Wide Web Consortium (W3C)

- Massachusetts Institute of Technology, 32 Vassar Street, Room 32-G515. Cambridge, MA 02139; Phone +1-617-253-2613; Fax +1-617-258-5999. http://www.w3.org/Consortium/

3 TERMS AND DEFINITIONS[4]

This specification uses the following terms:

Allocation	A group of contiguous mini-slots in a MAP which constitute a single transmit opportunity.
Bridging CMTS	A CMTS that makes traffic forwarding decisions between its Network Systems Interfaces and MAC Domain Interfaces based upon the Layer 2 Ethernet MAC address of a data frame.
Burst	A single continuous RF signal from the upstream transmitter, from transmitter on to transmitter off.
Cable Modem (CM)	A modulator-demodulator at subscriber locations intended for use in conveying data communications on a cable television system.
Cable Modem Termination System (CMTS)	Cable modem termination system, located at the cable television system head-end or distribution hub, which provides complementary functionality to the cable modems to enable data connectivity to a wide-area network.
Cable Modem Termination System - Network Side Interface (CMTS-NSI)	The interface, defined in [NSI], between a CMTS and the equipment on its network side.
Cable Modem to CPE Interface (CMCI)	The interface, defined in [CMCI], between a CM and CPE.
Carrier-to-Noise plus Interference Ratio (CNIR)	The ratio of the expected commanded received signal power at the CMTS input to the noise plus interference in the channel.
Channel	The frequency spectrum occupied by a signal. Usually specified by center frequency and bandwidth parameters.
Chip	Each of the 128 bits comprising the S-CDMA spreading codes.
Classifier	A set of criteria used for packet matching according to TCP, UDP, IP, LLC, and/or 802.1P/Q packet fields. A classifier maps each packet to a Service Flow. A Downstream Classifier is used by the CMTS to assign packets to downstream service flows. An Upstream Classifier is used by the CM to assign packets to upstream service flows.
Customer	See End User.
Customer Premises Equipment (CPE)	Equipment at the end user's premises; MAY be provided by the end user or the service provider.
Downstream (DS)	In cable television, the direction of transmission from the head-end to the subscriber.
End User	A human being, organization, or telecommunications system that accesses the network in order to communicate via the services provided by the network.
FCAPS	A set of principles for managing networks and systems, wherein each letter represents one principle. F is for Fault, C is for Configuration, A is for Accounting, P is for Performance, S is for Security.
Fiber Node	A point of interface between a fiber trunk and the coaxial distribution.

[4] Section revised per OSSIv3.0-07.0403-1, #8, 9, & 10 on 5/8/07 by KN.

Hybrid Fiber/Coax (HFC) System	A broadband bidirectional shared-media transmission system using fiber trunks between the head-end and the fiber nodes, and coaxial distribution from the fiber nodes to the customer locations.
Inform	A confirmed SNMP message for asynchronous notification of events from an SNMP entity.
International Organization for Standardization (ISO)	An international standards body, commonly known as the International Standards Organization.
IPDRDoc	Master IPDR Schema Document [IPDR/BSR]
Local Log	A volatile or non-volatile log stored within a network element.
Logical Upstream Channel	A MAC entity identified by a unique channel ID and for which bandwidth is allocated by an associated MAP message. A physical upstream channel may support multiple logical upstream channels. The associated UCD and MAP messages completely describe the logical channel.
Media Access Control (MAC) address	The "built-in" hardware address of a device connected to a shared medium.
MAC Domain	A subcomponent of the CMTS that provides data forwarding services to a set of downstream and upstream channels.
MAC Domain Cable Modem Service Group	The subset of a Cable Modem Service Group which is confined to the Downstream Channels and Upstream Channels of a single MAC domain. Differs from a CM-SG only if multiple MAC domains are assigned to the same CM-SGs.
MAC Domain Downstream Service Group	The subset of a Downstream Service Group (DS-SG) which is confined to the Downstream Channels of a single MAC domain. An MD-DS-SG differs from a DS-SG only when multiple MAC domains are configured per CM-SG.
MAC Domain Upstream Service Group	The subset of an Upstream Service Group (US-SG) which is confined to the Upstream Channels of a single MAC Domain. An MD-US-SG differs from a US-SG only when multiple MAC domains are defined per CM-SG.
Micro-reflections	Echoes in the forward or reverse transmission path due to impedance mismatches between the physical plant components. Micro-reflections are distinguished from discrete echoes by having a time difference (between the main signal and the echo) on the order of 1 microsecond. Micro-reflections cause departures from ideal amplitude and phase characteristics for the transmission channel.
Mini-Slot	A "mini-slot" is an integer multiple of 6.25-microsecond increments.
Network Management	The functions related to the management of data link layer and physical layer resources and their stations across the data network supported by the hybrid fiber/coax system.
Network Management System (NMS)	The hardware and software components used by the Network Provider to manage its networks as a whole. The Network Management System provides an end-to-end network view of the entire network enabling management of the network elements contained in the network.
Notification	Information emitted by a managed object relating to an event that has occurred within the managed object.
Open Systems Interconnection (OSI)	A framework of ISO standards for communication between different systems made by different vendors, in which the communications process is organized into seven different categories that are placed in a layered sequence based on their relationship to the user. Each layer uses the layer immediately below it and provides a service to the layer above. Layers 7 through 4 deal with end-to-end communication between the message source and destination, and layers 3 through 1 deal with network functions.

Physical (PHY) Layer	Layer 1 in the Open System Interconnection (OSI) architecture; the layer that provides services to transmit bits or groups of bits over a transmission link between open systems and which entails electrical, mechanical and handshaking procedures.
Pre-3.0 DOCSIS	Versions of CableLabs Data-Over-Cable-Service-Interface-Specifications (DOCSIS) prior to the DOCSIS 3.0 suite of specifications.
Primary Service Flow	All CMs have a Primary Upstream Service Flow and a Primary Downstream Service Flow. They ensure that the CM is always manageable and they provide a default path for forwarded packets that are not classified to any other Service Flow.
QoS Parameter Set	The set of Service Flow Encodings that describe the Quality of Service attributes of a Service Flow or a Service Class.
Routing CMTS	A CMTS that makes traffic forwarding decisions between its Network System Interfaces and MAC Domain Interfaces based upon the Layer 3 (network) address of a packet.
Service Class	A set of queuing and scheduling attributes that is named and that is configured at the CMTS. A Service Class is identified by a Service Class Name. A Service Class has an associated QoS Parameter Set.
Service Class Name	An ASCII string by which a Service Class may be referenced in modem configuration files and protocol exchanges.
Service Flow	A MAC-layer transport service which provides unidirectional transport of packets from the upper layer service entity to the RF and shapes, polices, and prioritizes traffic according to QoS traffic parameters defined for the Flow.
Service Flow Identifier (SFID)	An identifier assigned to a service flow by the CMTS [32 bits].
Service Identifier (SID)	An Identifier assigned by the CMTS to an Active or Admitted Upstream Service Flow [14 bits].
Simple Network Management Protocol (SNMP)	A network management protocol of the IETF.
SNMP Agent	The term "agent" is used throughout this section to refer to 1) a SNMPv1/v2 agent or 2) a SNMPv3 entity [RFC 3411] which contains command responder and notification originator applications.
SNMP Manager	The term "manager" is used throughout this section to refer to 1) a SNMPv1/v2 manager or 2) a SNMPv3 entity [RFC 3411] which contains command generator and/or notification receiver applications.
Subscriber	See End User.
Syslog	A protocol that provides the transport of event notifications messages across IP networks.
Trap	An unconfirmed SNMP message for asynchronous notification of events from an SNMP entity.
Upstream (US)	The direction from the subscriber location toward the head-end.

4 ABBREVIATIONS AND ACRONYMS[5]

This specification uses the following abbreviations:

ACK	Acknowledge
ANSI	American National Standards Institute
ARP	Address Resolution Protocol
ASCII	American Standard Code for Information Interchange
ASM	Any Source Multicast
ASN.1	Abstract Syntax Notation 1
BOOTR	Boot ROM
BPI	Baseline Privacy Interface
BPI+	Baseline Privacy Interface Plus
BPKM	Baseline Privacy Key Management
BSR	Business Solution Requirements
BSS	Business Support System
CA	Certificate Authority
CableLabs	Cable Television Laboratories
CATV	Community Access Television, Cable Television
CDC	Communications Device Class
CLI	Command Line Interface
CM	Cable Modem
CMCI	Cable Modem to CPE Interface
CMIM	Cable Modem Interface Mask
CM-SG	Cable Modem Service Group
CMTS	Cable Modem Termination System
CNIR	Carrier-to-Noise plus Interference Ratio
CoS	Class of Service
CPE	Customer Premises Equipment
CPU	Central Processing Unit
CRANE	Common Reliable Accounting for Network Elements
CRL	Certificate Revocation List
CSA	Code Signing Agent
CSR	Customer Service Representative
CVC	Code Verification Certificate
dB	Decibel
DBC	Dynamic Bonding Change
DBG	Downstream Bonding Group
DCC	Dynamic Channel Change

[5] Section revised per OSSIv3.0-07.0403-1,#11 & 12 on 5/8/07 by KN.

DCID	Downstream Channel Identifier
DCS	Downstream Channel Set
DEPI	Downstream External Physical layer Interface
DES	Digital Encryption Standard
DH	Diffie-Hellman
DHCP	Dynamic Host Configuration Protocol
DNS	Domain Name Service
DOCSIS	Data-Over-Cable Service Interface Specifications
DoS	Denial of Service
DS	Downstream
DSAP	Destination Service Access Point
DSCP	Differentiated Services Code Point
DSID	Downstream Service Identifier
EAE	Early Authentication and Encryption
ERMI	Edge Resource Manager Interface
eSAFE	Embedded Service/Application Functional Entity
EUI-64	64-bit Extended Unique Identifier
FC	Frame Control
FCAPS	Fault, Configuration, Accounting, Performance, Security
FEC	Forward Error Correction
FQDN	Fully Qualified Domain Name
FSM	Finite State Machine
GC	Group Configuration
GCR	Group Classifier Rule
GMAC	Group Media Access Control
GMT	Greenwich Mean Time
GQC	Group Quality of Service Configuration
GSF	Group Service Flow
HFC	Hybrid Fiber/Coax (HFC) System
HMAC	Keyed-Hash Message Authentication Code
IANA	Internet Assigned Numbers Authority
ICMP	Internet Control Message Protocol
ID	Identifier
IDL	Interactive Data Language
IEEE	Institute of Electrical and Electronics Engineers
IETF	Internet Engineering Task Force
IGMP	Internet Group Management Protocol
INIT	Initialize or Initialization
IP	Internet Protocol
IPv4	Internet Protocol version 4

IPv6	Internet Protocol version 6
IPCDN	Internet Protocol over Cable Data Network (IETF working group)
IPDR	Internet Protocol Detail Record
IR	Internet Protocol Detail Record Recorder
ISO	International Standards Organization
ITU	International Telecommunications Union
ITU-T	Telecommunication Standardization Sector of the International Telecommunication Union
LAN	Local Area Network
LED	Light Emitting Diode
LLC	Logical Link Control
LSB	Least Significant Bit
MAC	Media Access Control
MAP	Bandwidth Allocation Map
M-CMTS	Modular Cable Modem Termination System
MD-CM-SG	Media Access Control Domain Cable Modem Service Group
MDD	MAC Domain Descriptor
MD-DS-SG	MAC Domain Downstream Service Group
MD-US-SG	MAC Domain Upstream Service Group
MDF	Multicast DSID Forwarding
MER	Modulation Error Ratio
MGCP	Media Gateway Control Protocol
MGMD	Multicast Group Membership Discovery
MIB	Management Information Base
MLD	Multicast Listener Discovery
MP	Multipart
MSB	Most Significant Bit
MSO	Multiple Systems Operator
MTA	Multimedia Terminal Adapter
MTC	Multiple Transmit Channel
NACO	Network Access Control Object
NE	Network Element
NMS	Network Management System
NSI	Network Side Interface
OCSP	Online Certificate Status Protocol
OID	Object Identifier
OM	Object Model
OSI	Open Systems Interconnection
OSS	Operations Support System
OSSI	Operations Support System Interface
PC	Personal Computer

PCMM	PacketCable™ Multimedia
PDU	Protocol Data Unit
PHY	Physical Layer
PS	CableHome Portal Services
QAM	Quadrature Amplitude Modulation
QoS	Quality of Service
PHS	Payload Header Suppression
QPSK	Quadrature Phase-Shift Keying
RCC	Receive Channel Configuration
RCP	Receive Channel Profile
RCP-ID	Receive Channel Profile Identifier
RCS	Receive Channel Set
REG	Registration
RFC	Request for Comments
RF	Radio Frequency
RFI	Radio Frequency Interface
RNG	Range or Ranging
ROM	Read Only Memory
SA	Security Association or Source Address
SAID	Security Association Identifier
SAMIS	Subscriber Accounting Management Interface Specification
SAV	Source Address Verification
SC	Service Consumer
S-CDMA	Synchronous Code Division Multiple Access
SCN	Service Class Name
SE	Service Element
SF	Service Flow
SFID	Service Flow Identifier
SG	Service Group
SID	Service Identifier
SIP	Session Initiation Protocol
SLA	Service Level Agreement
SMI	Structure of Management Information
SMIv1	Structure of Management Information Version 1
SMIv2	Structure of Management Information Version 2
SNAP	Sub-network Access Protocol
SNMP	Simple Network Management Protocol
SNMPv1	Version 1 of the Simple Network Management Protocol
SNMPv2c	Version 2C of the Simple Network Management Protocol
SNMPv3	Version 3 of the Simple Network Management Protocol

SNR	Signal to Noise Ratio
SOHO	Small Office – Home Office
SP	Streaming Protocol
SRT	Source Routing Transparent
SSD	Secure Software Download
SSM	Source Specific Multicast
STB	Set-top Box
STP	Spanning Tree Protocol
SW	Software
SYNC	Synchronize or Synchronization
TBD	To Be Determined (or To Be Deferred)
TEK	Traffic Encryption Key
TLV	Type/Length/Value
TCP	Transmission Control Protocol
TCS	Transmit Channel Set
TFTP	Trivial File Transfer Protocol
TOD	Time Of Day
TOS	Type of Service
UBG	Upstream Bonding Group
UCC	Upstream Channel Change
UCD	Upstream Channel Descriptor
UCID	Upstream Channel Identifier
UDC	Upstream Drop Classifier
UDP	User Datagram Protocol
UML	Unified Modeling Language
URL	Uniform Resource Locator
US	Upstream
USB	Universal Serial Bus
USM	User-based Security Model
UTC	Coordinated Universal Time
UUID	Universally Unique Identifier
VACM	View-based Access Control Model
VLAN	Virtual Local Area Network
VPN	Virtual Private Network
XDR	External Data Representation
XML	Extensible Markup Language
XSD	XML Schema Definition

4.1 XML Namespaces

This specification uses the following XML namespace prefixes to indicate the corresponding public XML namespaces.

Table 4-1 - Public XML Namespaces

Prefix	XML Namespace	Specification Reference
xsd	http://www.w3.org/2001/XMLSchema	[W3 XSD1.0]
xsi	http://www.w3.org/2001/XMLSchema-instance	[W3 XSD1.0]
ipdr	http://www.ipdr.org/namespaces/ipdr	[IPDR/SSDG]

This specification defines the following XML namespaces for DOCSIS IPDR Service Definitions.

Table 4-2 - IPDR Service Definition Namespaces

Prefix	XML Namespace
DOCSIS-SAMIS-TYPE-1	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SAMIS-TYPE-1
DOCSIS-SAMIS-TYPE-2	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SAMIS-TYPE-2
DOCSIS-CMTS-CM-US-STATS-TYPE	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-US-STATS-TYPE
DOCSIS-CMTS-CM-REG-STATUS-TYPE	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-REG-STATUS-TYPE
DOCSIS-CMTS-TOPOLOGY-TYPE	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-TOPOLOGY-TYPE
DOCSIS-SPECTRUM-MEASUREMENT-TYPE	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SPECTRUM-MEASUREMENT-TYPE
DOCSIS-CPE-TYPE	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CPE-TYPE
DOCSIS-DIAG-LOG-TYPE	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-TYPE
DOCSIS-DIAG-LOG-EVENT-TYPE	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-EVENT-TYPE
DOCSIS-DIAG-LOG-DETAIL-TYPE	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-DETAIL-TYPE

This specification defines the following XML namespaces for DOCSIS auxiliary schemas.

Table 4-3 - Auxiliary Schema Namespaces

Prefix	XML Namespace
DOCSIS-CMTS	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS
DOCSIS-CM	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM
DOCSIS-CPE	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CPE

Prefix	XML Namespace
DOCSIS-QOS	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-QOS
DOCSIS-REC	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC
DOCSIS-CMTS-CM-US	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-US
DOCSIS-CMTS-CM-NODE-CH	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-NODE-CH
DOCSIS-MD-NODE	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-MD-NODE
DOCSIS-SPECTRUM	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SPECTRUM
DOCSIS-DIAG-LOG	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG
DOCSIS-DIAG-LOG-DETAIL	http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-DETAIL

5 OVERVIEW

This section provides a brief description of the key management features introduced in DOCSIS 3.0. These features are categorized according to the five conceptual categories of management developed as part of ITU Recommendation [ITU-T M.3400]. This set of management categories is referred to as the FCAPS model, represented by the individual management categories of Fault, Configuration, Accounting, Performance and Security.

In addition to the description of features, the rationale behind the introduction of object models is presented. Section 5.1 discusses the requirements introduced in this specification for DOCSIS 3.0. Section 5.2 is a technical introduction to the detailed models in support of the user requirements.

5.1 DOCSIS 3.0 OSSI Key Features

DOCSIS 3.0 introduces a number of features that build upon features introduced in previous versions of DOCSIS. This specification includes the key new features for the Operations Support System Interface (OSSI) based on the requirements established with both the introduction of new DOCSIS 3.0 features and enhancements to management capabilities that are designed to improve operational efficiencies for the MSO.

Table 5-1 summarizes the new requirements that support new 3.0 features and the enhancements to existing management features. The table shows the management features along with the traditional Network Management Functional areas (Fault, Configuration, Accounting, Performance and Security) for the Network Elements (NE) Cable Modem (CM), Cable Modem Termination System (CMTS) and the corresponding OSI layer where those features operate.

Table 5-1 - Management Features Requirements for DOCSIS 3.0

Features	Management Functional Area	OSI layer	NE	Description
Multiple Upstream Channels per port	Configuration	PHY	CMTS	Provisioning physical upstream ports that support multiple upstream receivers according to their capabilities
Plant Topology		PHY, MAC (Data Link)	CMTS	Provisioning flexible arrangements of US/DS channels for channel bonding configuration to reflect HFC plant topology
Enhanced Diagnostics	Fault	PHY, MAC, Network	CMTS	Detailed log of different conditions associated with the CM registration state and operation that may indicate plant problems affecting service availability
Enhanced Performance Data Collection	Performance	PHY, MAC, Network	CMTS	IPDR streaming of large statistical data sets such as CMTS CM Status information with less performance impact on the CMTS resources
Enhanced Signal Quality Monitoring		PHY	CMTS	To gather information on narrow band ingress and distortion affecting the quality of the RF signals

Usage Based Billing	Accounting	PHY, MAC, Network	CMTS	Update SAMIS to 3.0 specification requirements
Enhanced Security	Configuration, Fault, Performance, Security	MAC, Network	CM/CMTS	Updates to management models to support The DOCSIS 3.0 security features.
IPv6	Configuration, Fault, Performance	Network	CM/CMTS	Updates to management models to support IPv6 provisioning, CM IP stack management, CMTS and CM IP Filtering requirements
Channel Bonding	Configuration, Fault, Performance	PHY, MAC	CM/CMTS	Update existing management models and include new events to support DS and US channel bonding
IP Multicast	Configuration, Fault, Performance	MAC, Network	CM/CMTS	Update existing management modes to support new multicast capabilities such as SSM, IGMP v3, MLD v1 and v2

It needs to be noted that pre-3.0 DOCSIS Network Management models used IETF RFCs that were defined to use only IPv4. After the introduction of IPv6, IETF IPv6 compliant MIBs are not backward compatible with IPv4 based MIBs required by pre-3.0 DOCSIS. In contrast, provisioning system backward compatibility is a key requirement for management. To accommodate these two conflicting requirements (backwards compatibility and IPv6 support using combined v4/v6 MIBs), DOCSIS 3.0 requires maintaining backward compatibility for provisioning but not monitoring. This approach minimizes the additional costs that will be required if both versions of MIBs are required in the CM and CMTSs for provisioning and monitoring purposes.

It is important to emphasize that DOCSIS 3.0 Network Management requirements accentuate the need for proactive maintenance, traffic analysis and dimensioning of services (see section on Performance Management Features) in an effort to minimize critical fault conditions and the occurrence of failures.

5.1.1 Fault Management Features

The DOCSIS 3.0 fault management requirements include:

- Extended lists of detailed events related to the new set of DOCSIS 3.0 features.
- A new diagnostic tool that enables the detection of unstable CM operation, such as:
  - CM repeat registration attempts
  - Station maintenance retry sequences

5.1.2 Configuration Management Features

The Configuration of the DOCSIS protocols for CM/CMTS interactions for configuring features in support of PHY MAC/QoS and Security (BPI) uses the CM configuration file and CMTS policies via MAC messages exchange. The reporting of configuration state information is done via SNMP MIB objects. This model provides a CM standard configuration with minimal operator intervention.

The DOCSIS 3.0 configuration requirements include:

- Updates to CM configuration parameters to support IPv6 and channel bonding, enhanced security and IP multicast.
- Updates to CMTS configuration in support of multiple upstream channels per port, HFC plant topology, channel bonding, security, IPv6, and IP multicast.

- Security enhancements for the CM provisioning process, such as TFTP proxy, configuration file learning, certificate revocation list, etc.

5.1.3 Performance Management Features

The DOCSIS 3.0 performance management requirements include:

- DOCSIS 3.0 requires an efficient mechanism for collecting large data sets as described above. The identified data sets are:
  1. The CMTS resident CM status information
  2. Additional granularity of QoS statistics for bonded and non bonded channels to aid in network capacity planning and dimensioning
  3. Enhanced signal quality monitoring for granular plant status
- Minimizing redundant information collection associated with differing services provided by the CMTS (statistics for PacketCable voice may incorporate large data sets for DOCSIS PHY and MAC)
- Support for CM and CMTS host resource statistics, such as memory and CPU utilization

5.1.4 Security Management Features

Security Management includes both security of management information (e.g., SNMP access control) and management of network security related to authentication, authorization and privacy of data plane communications.

DOCSIS 3.0 includes new features to strengthen the confidentiality of user data over the HFC network and the authenticity of CMs for features such as software upgrades. Both features improve the protection of the DOCSIS network against theft of service and denial of service attacks.

SNMPv1, v2c management of 3.0 CMs is essential due to the extensive deployment of SNMP frameworks utilizing NmAccess configuration. The NmAccess approach has been deprecated by the IETF.

In order to address the enhancements and comply with the IETF decision, the DOCSIS 3.0 security management requirements include:

- Extensions are required in the management models of CM and CMTS to report configuration status, error conditions and statistics of the new security features
- Replacement of NmAccess is required using a method compatible with the SNMPv3 framework to configure SNMP v1 and v2c access controls

Note: The management of security models such as PKI (Public Key Infrastructure) for the management of cable modem X.509 certificates are outside the scope of DOCSIS 3.0 Network Management Requirements.

5.1.5 Accounting Management Features

The CMTS supports collection of usage information for use in a billing interface known as SAMIS (Subscriber Accounting Management Interface Specification). SAMIS uses the business model defined by IPDR.org and IPDR streaming protocol [IPDR/SP] for the reliable and resource efficient transmission of accounting data. Extensions are required for SAMIS to support IPv6, channel bonding and IP Multicast. There are no accounting requirements for the CM. Refer to Section 8.3 for further details.

5.2 Technical Overview

The technical overview presented in this section details functional areas of the FCAPS management model addressed by DOCSIS.

5.2.1 Architectural Overview

This section defines the functional areas of network management in terms of FCAPS (Fault, Configuration, Accounting, Performance and Security) as applied to the management of a DOCSIS network.

The requirements in the previous section were grouped both according to the management functional area and the relevant DOCSIS layer (using the OSI reference model) where they apply. This section provides an overview of the functions supported by each area. Even though specific functions are described for each area, there are interdependencies amongst all these functions to achieve the overall objective of efficient and proactive management of the DOCSIS network.

Fault management seeks to identify, isolate, correct and record system faults. Configuration management modifies system configuration variables and collects configuration information. Accounting management collects usage statistics for subscribers, sets usage quotas and bills users according to their use of the system. Performance management focuses on the collection of performance metrics, analysis of these metrics and the setting of thresholds and rate limits. Security management encompasses identification and authorization of users and equipment, provides audit logs and alerting functions, as well as providing vulnerability assessment.

5.2.1.1 Fault Management

The goals of fault management are to provide failure detection, diagnosis, and perform or indicate necessary fault correction. Fault identification relies on the ability to monitor and detect problems, such as error-detection events. Fault resolution relies on the ability to diagnose and correct problems, such as executing a sequence of diagnostic test scripts, and correcting equipment or configuration faults. DOCSIS supports Event Reporting using Local Log, syslog and SNMP notifications.

For the CMTS, syslog messages or SNMP notifications are used to deliver the critical events that cause service interruption and need immediate response. Examples of these events are interface state up/down, and threshold events when the total number of CMs in a fault condition exceeds a configured threshold.

5.2.1.2 Configuration Management

Configuration management is concerned with adding, initializing, maintaining and updating network elements. In a DOCSIS environment, network elements include CMs and CMTSs.

Configuration management is primarily concerned with network control via modifying operating parameters on network elements such as the CM and CMTS. Configuration parameters could include both physical resources (for example, an Ethernet interface) and logical objects (for example, QoS parameters for a given service flow).

While the network is in operation, configuration management is responsible for monitoring the configuration state and making changes in response to commands by a management system or some other network management function.

For example, a performance management function may detect that response time is degrading due to a high number of uncorrected frames, and may issue a configuration management change to modify the modulation type from 16 QAM to QPSK. A fault management function may detect and isolate a fault and may issue a configuration change to mitigate or correct that fault.

5.2.1.3 Accounting Management

Accounting management, in general, includes collection of usage data and permits billing the customer based on the subscriber's use of network resources. The CMTS is the network element that is responsible for providing the usage statistics to support billing. Billing is outside the scope of this specification.

Subscriber Account Management Interface Specification (SAMIS) is defined to enable prospective vendors of Cable Modems and Cable Modem Termination Systems to address the operational requirements of subscriber account management in a uniform and consistent manner. It is the intention that this would enable operators and other interested parties to define, design and develop Operations and Business Support Systems necessary for the commercial deployment of different classes of service over cable networks, with accompanying usage-based billing of services for each individual subscriber.

5.2.1.4 Performance Management

Performance management functions include collecting statistics of parameters such as number of frames lost at the MAC layer and number of codeword errors at the PHY layer. These monitoring functions are used to determine the health of the network and whether the offered Quality of Service (QoS) to the subscriber is met. The quality of signal at the PHY layer is an indication of plant conditions.

The previous versions of DOCSIS OSSI specification defines SNMP polling as the collection mechanism for CM and CMTS statistics for performance management. SNMP polling of CMs is scalable and widely deployed with specialized engines that minimize the upstream bandwidth allocated to management during the polling intervals. In contrast, the CMTS SNMP polling is not scalable since it addresses large data sets comprised of data from thousands of CMs connected to the same CMTS.

To overcome the existing CMTS limitations, this specification includes the IPDR Streaming Protocol [IPDR/SP] which provides reliable streaming of subscriber usage data and other statistics. In addition, the IPDR streaming process enables pro-active maintenance by management systems in collecting large data sets from the CMTS.

5.2.1.5 Security Management

Security management is concerned with both security of management information to protect the MSOs operations systems as well as managing the security information. The latter is used to authenticate and secure the traffic on the HFC. Security of the management interface is required to prevent end users from accessing and initiating configuration changes that may provide them with services for which they are not entitled or could result in the degradation or denial of services for other subscribers.

5.2.2 Management Protocols

As noted earlier in this section DOCSIS OSSI specification uses the Simple Network Management Protocol (SNMP) versions 1, 2c and 3 to define the management information for DOCSIS network elements in support of the functional areas mentioned in the previous section. SNMP is primarily a polling based protocol where the management system retrieves data such as counter values and state information. There are events defined as a notification that are used to inform the management systems of fault conditions and security violations. The support for SNMP versions is continued in DOCSIS 3.

The SNMP polling mechanism was not considered to be the appropriate long term approach to obtaining increasingly large and detailed usage information from the CMTS. A streaming protocol developed by the IPDR organization was introduced to offer an efficient mechanism for CMTSs to transfer statistics to a collector over connection oriented (TCP) continuous stream. The processing of the data is outside the scope of the CMTS and delegated to the IPDR collector and management systems to perform. DOCSIS 3.0 OSSI specification expands the use of the IPDR protocol to other management areas in order to optimize timeliness and resource efficiency in the transfer of large amounts of performance metrics to the management systems.

5.2.3 Object Models

Prior versions of the DOCSIS OSSI specifications developed management information models, suitable for use with Simple Network Management Protocol. For the subscriber usage data using Internet Protocol Data Records (IPDR), XML schema definitions were included in the specification. DOCSIS 3.0 OSSI introduces an expanded IPDR

paradigm where XML formatted records are streamed to a collector for all categories of statistical data pertinent to the FCAPs management model.

The management models when using SNMP are described using the Structure of Management Information Version 2 (SMIv2) [RFC 2578] and the design of these models is determined by the capabilities of the protocol. With the introduction of IPDR for other management areas beyond Accounting management, this specification introduces a new approach for representing managed objects.

The approach is based on an object oriented modeling approach well known in the industry for capturing requirements and analyzing the data in a protocol independent representation. This approach defines requirements with use cases to describe the interactions between the operations support systems and the network element. The management information is represented in terms of objects along with their attributes and the interactions between these encapsulated objects (or also referred to as entities in some representations). With the introduction of several new, complex features in DOCSIS 3.0 and the operator needs for a more proactive and efficient approach to management information, object modeling methodologies offer the ability to reuse the same definitions when new protocols are introduced in the future.

Refer to Appendix VI for object modeling concepts used throughout this specification.

6 OSSI MANAGEMENT PROTOCOLS

6.1 SNMP Protocol

The SNMPv3 protocol has been selected as the communication protocol for management of data-over-cable services.

CM MUST implement SNMPv3 protocol.

CMTS MUST implement SNMPv3 protocol.

Although SNMPv3 offers advantages, many existing management systems may not be capable of supporting SNMPv3; therefore, support of SNMPv1 and SNMPv2c are needed.

CM MUST implement SNMPv1 and SNMPv2c protocol.

CMTS MUST implement SNMPv1 and SNMPv2c protocol.

The IETF SNMP-related RFCs listed in Table 6-1 are supported by the CM and CMTS.

Table 6-1 - IETF SNMP-related RFCs

[RFC 3410]	Introduction and Applicability Statements for Internet Standard Management Framework
[RFC 3411]	An Architecture for Describing Simple Network Management Protocol (SNMP) Management Frameworks
[RFC 3412]	Message Processing and Dispatching for the Simple Network Management Protocol (SNMP)
[RFC 3413]	Simple Network Management Protocol (SNMP) Applications
[RFC 3414]	User-based Security Model (USM) for version 3 of the Simple Network Management Protocol (SNMPv3)
[RFC 3415]	View-based Access Control Model (VACM) for the simple Network Management Protocol (SNMP)
[RFC 3416]	Version 2 of the Protocol Operations for the Simple Network Management Protocol (SNMP)
[RFC 3417]	Transport Mappings for the Simple Network Management Protocol (SNMP)
[RFC 3418]	Management Information Base for the Simple Network Management Protocol (SNMP)
[RFC 3419]	Textual Conventions for Transport Addresses
[RFC 3584]	Coexistence between Version 1, Version 2, and Version 3 of the Internet-standard Network Management Framework
[RFC 3826]	The Advanced Encryption Standard (AES) Cipher Algorithm in the SNMP User-based Security Model
[RFC 1901]	Introduction to Community-based SNMPv2 (Informational)
[RFC 1157]	A Simple Network Management Protocol

For support of SMIv2, Table 6-2 lists the IETF SNMP-related RFCs which are supported by the CM and CMTS.

Table 6-2 - SMIv2 IETF SNMP-related RFCs

[RFC 2578]	Structure of Management Information Version 2 (SMIv2)
[RFC 2579]	Textual Conventions for SMIv2
[RFC 2580]	Conformance Statements for SMIv2

For support of Diffie-Helman Key exchange for the User Based Security Model, Table 6-3 lists the IETF SNMP-related RFC which is supported by the CM and CMTS.

Table 6-3 - Diffie-Helman IETF SNMP-related RFC

[RFC 2786]	Diffie-Helman USM Key Management Information Base and Textual Convention

6.1.1 Requirements for IPv6

Several transport domains were initially defined for SNMP (see [RFC 3417]). To support IPv6, [RFC 3419] adds a new set of transport domains not only for SNMP but for any application protocol.

The CM MUST support the recommendations of [RFC 3419] to support SNMP over IPv6.

The CMTS MUST support the recommendations of [RFC 3419] to support SNMP over IPv6.

6.2 IPDR Protocol

6.2.1 Introduction

This section defines the IPDR Streaming Protocol [IPDR/SP] requirements for the CMTS. Unless otherwise indicated, the term "IPDR Exporter" refers to the CMTS. A collector system is often referred to as an "IPDR Collector" and conforms to [IPDR/BSR] and in particular to [IPDR/SP] specification. IPDR collector management requirements are outside the scope of this specification. See Section 6.2.3 for a brief overview of the IPDR Standard.

[IPDR/SP] provides scalable solutions for the collection of high volume management data related to performance, usage, and operational status of the cable networks. The [IPDR/SP] scalability benefits are for both the CMTS and the data collection systems. The CMTS gains in reduced computing resources, compared with other management protocols, such as SNMP, when generating comparable data sets. The collector systems benefit from [IPDR/SP] by reducing the costs associated with reliable data collection, scalable growth in number of records, and multiple types of data sets over the same collection platform. See [IPDR/SP] for additional information about the streaming protocol design considerations.

Note: [IPDR/SP] applied to SAMIS is already supported by DOCSIS 2.0 OSSI specification. This specification updates the SAMIS Service Definition to support the DOCSIS 3.0 feature sets.

[IPDR/SP] and in general, IPDR.org protocols are not required for CMs.

The IPDR.org standards listed in Table 6-4 are supported by CMTS.

Table 6-4 - IPDR-related Standards

[IPDR/SP]	IPDR/SP Protocol Specification
[IPDR/BSR]	IPDR Business Solution Requirements - Network Data Management Usage (NDM-U)
[IPDR/SSDG]	IPDR Service Specification Design Guide
[IPDR/XDR]	IPDR/XDR File Encoding Format

[IPDR/CAPAB]	IPDR/Capability File Format

6.2.2 CMTS Usage of IPDR Standards

This specification defines new IPDR Service Definitions for performance and monitoring management applications beyond DOCSIS 2.0 SAMIS. The list of DOCSIS 3.0 IPDR Service Definitions is listed in Section 7.1.3.29.

6.2.3 IP Detail Record (IPDR) Standard

The IPDR Organization (see http://www.ipdr.org) has defined a generic model for using XML Schema in IP Detail Recording applications. This specification extends IPDR applications as described in Section 6.2.2. The following subsections describe the IPDR standard and its application.

6.2.3.1 IPDR Network Model

The IPDR Network Model is given in the [IPDR/BSR] specification and is portrayed in Figure 6-1. In this network model, the Service Consumer (SC) is the Cable Data Service Subscriber identified by their Cable Modem MAC address, current CM IP address, and current CPE IP addresses. The Service Element (SE) is the CMTS identified by its host name, IP address, and current value of its sysUpTime object. The IPDR Recorder (IR) is the record formatter and exporter function that creates the data record compliant to [IPDR/BSR] based on the DOCSIS schemas. The IPDR Store (IS) and the IPDR Transmitter (IT) are two kinds of collector functions that receive IPDR XDR records from the IR exporter function as specified in Section 6.2.4. The CMTS implements the IPDR Recorder (IR) functions and is often referred to as the "Exporter". The IT/IS collector functions receive IDPR XDR records on a collection cycle determined by the IR exporter function.

The A-interface is not specified by the [IPDR/BSR] specification because it is an internal interface between the SE and the IR exporter components. The B-interface between the IR exporter and the IT/IS collector components is specified by the IPDR Streaming Protocol [IPDR/SP] and the considerations of Appendix IV of this specification. The CMTS supports the B-interface.

Note: The highlighted blocks and interfaces depicted in Figure 6-1 are the only ones defined in this specification. The A, C, D, E, and F interfaces are beyond the scope of this specification.

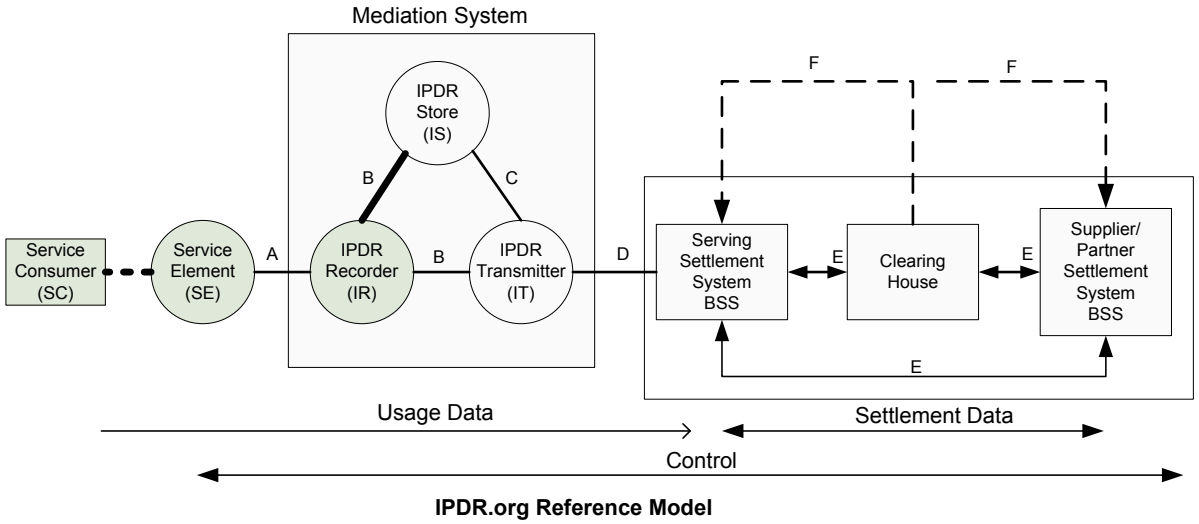


Figure 6-1 - Basic Network Model (ref. [IPDR/BSR] from www.ipdr.org)

6.2.3.2 IPDR Transport High Level Protocol Requirements

To facilitate processing of the DOCSIS IPDR Service Definitions by a large number of mediation systems, an Extensible Markup Language (XML) [W3 XML1.0] format is required. Specifically, the IP Detail Record (IPDR) standard as described in IPDR.org's [IPDR/BSR] is used to model the DOCSIS IPDR Service Definitions outlined in Section 6.2.2.

To improve the performance of storage and transmission of the BSR XML records, a compression mechanism is required. [IPDR/XDR] describes a compact encoding of IPDR Docs, utilizing the IETF XDR specification language [RFC 1832].

To improve the network performance of the data collection activity, a reliable high-throughput TCP stream is used to transfer data records between the record formatter and the collection system. Furthermore, at the application layer the streaming protocol [IPDR/SP] described in Section 6.2.4 is implemented to scale the collection of data in a reliable manner for both Exporters and Collectors.

To ensure the end-to-end privacy and integrity of the billing records, while either stored or in transit, an authentication and encryption mechanism between the record formatter and the collection system is desirable. The security model is detailed in Section 8.5.4.9.

6.2.3.3 IPDR Record Structure

The Master IPDR Schema Document (IPDRDoc) [IPDR/BSR] defines the generic structure of any IPDR document regardless of application. The IPDRDoc defines the hierarchy of elements within an IPDR instance document that are supported by the CMTS as shown in Figure 6-2 below.

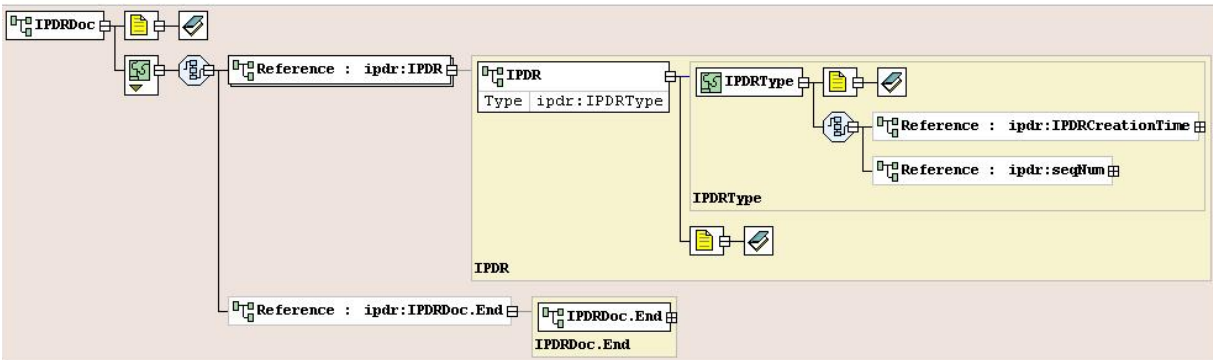


Figure 6-2 - IPDRDoc 3.5.1 Master Schema

6.2.3.4 Service Definition Schemas

Service definition schemas are defined based on the guidelines listed in [IPDR/SSDG]. Refer to the applicable Annex as defined in Table 7-6 for each service definition schema.

6.2.3.5 Service Definition Instance Documents

To complete the definition of an application specific IPDR record structure (see [IPDR/SSDG]), an application instance schema must be provided that imports the basic IPDRDoc master schema.

1. The IPDRDoc element is the outermost element that describes the IPDR file itself. It defines the XML namespace, the identity of the XML schema document, the version of the specification, the timestamp for the file, a unique document identifier, and the identity of the IPDR recorder. An IPDRDoc is composed of multiple IPDR records.

The attributes for the IPDRDoc element are defined as follows:

a) xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"

Constant: the IPDR XML namespace identifier. Defined by ipdr.org.

b) xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"

Constant: the XML Schema Instance Namespace identifier. Defined by the W3C Consortium.

c) xmlns= "http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr"

Constant: the DOCSIS XML namespace identifier. Defined by CableLabs.

d) xsi:schemaLocation="*.xsd"

Constant: the name of the DOCSIS service definition schema file. Refer to Table 7-6 for a list of the DOCSIS service definition schema files.

e) version="<IPDR BSR version>-A.n "

Constant: the version of the IPDR document. Defined by Cable Television Laboratories, Inc. This specification follows the IPDR.org convention of <IPDR BSR version>-A.n where n is a sequence number for versioning starting at 1. For example, the first version of a DOCSIS IPDRDoc instance document in compliance with version 3.5.1 of [IPDR/BSR] is defined as "3.5.1-A.1".

f) creationTime ="yyyy-mm-ddThh:mm:ssZ"

UTC time stamp at the time the IPDR Record is created (in ISO format). For example: creationTime="2002-06-12T21:11:21Z". Note that IPDR timestamps are always specified in UTC/GMT (Z). The compact representation of this element is the 32-bit unsignedLong value since EPOCH [IPDR/XDR].

g) docId="<32-bit UTC timestamp>-0000-0000-0000-<48-bit MAC address>"

The unique document identifier. The DOCSIS docId is in a simplified format that is compatible with the Universally Unique Identifier (UUID) format required by the IPDR [IPDR/BSR] specification.

The CMTS MUST implement the docId attribute as follows:

- The 32-bit UTC timestamp component MUST be the IPDRDoc creationTime in seconds since the epoch 1 Jan 1970 UTC formatted as eight hex digits.
- The 48-bit MAC address component MUST be the Ethernet address of the CMTS management interface formatted as 12 hex digits.
- All other components MUST be set to zero.

In the context of the minimum 15-minute IPDR billing file collection cycle specified in this document, this simplified UUID is guaranteed to be unique across all CMTSs and for the foreseeable future.

h) IPDRRecorderInfo="hostname.mso.com"

IPDRRecorderInfo identifies the IPDR Recorder (IR) from the network model in Figure 6-1. Since the CMTS includes the IPDR Recorder function, the CMTS MUST populate the IPDRRecorderInfo attribute with its fully qualified hostname. If a hostname is not available, then the CMTS MUST populate the IPDRRecorderInfo attribute with its IPv4 address formatted in dotted decimal notation.

2. An IPDR element describes a single DOCSIS service application specific record. The IPDR record is further structured into DOCSIS specific sub elements that describe the details of the CMTS, the subscriber (CM and CPE), and the service application itself. The attributes for the IPDR element are:

   xsi:type="*-TYPE"

   Constant: identifies the DOCSIS application specific type of the IPDR record. Examples of types based on the DOCSIS Service Definitions listed in Table 7-6.

   In addition to the DOCSIS service specific sub-elements, the following sub-elements for the IPDR element are:

   a) IPDRCreationTime

   The IPDRCreationTime element identifies the time associated with the counters for this record. The IPDRCreationTime element uses the same format as the IPDRDoc creationTime attribute (see 1f. above). The CMTS MUST NOT support IPDRCreationTime element.

Note: This sub element is optional in the basic IPDR 3.5.1 schema, and is required by previous DOCSIS specifications. This specification deprecates that requirement and prohibits usage of IPDRCreationTime.

   b) seqNum

   The CMTS MUST NOT support seqNum elements of the basic IPDR 3.5.1 schema.

Note: There is no ordering implied in DOCSIS IPDRs within an IPDRDoc.

3. IPDRDoc.End is the last element inside IPDRDoc. It defines the count of IPDRs that are contained in the file and the ending timestamp for the file creation. The attributes of IPDRDoc.End are:

   a) count="nnnn"

   Where "nnnn" is the decimal count of the number of IPDR records in this IPDRDoc.

   b) endTime ="yyyy-mm-ddThh:mm:ssZ"

   Where endTime is the UTC time stamp at the time the file is completed (see 1f. above).

   For [IPDR/SP] protocol, it is left to the collector to generate IPDRDoc.End based on SessionStop message for a specific docId, see Section 6.2.5. In addition, IPDRDoc.End is an [IPDR/BSR] optional field and it is included in this section for information purposes with no requirements for CMTS Exporter.

6.2.4 IPDR Streaming Model

DOCSIS IPDR Service records are built by the record formatter on the CMTS and are then transmitted to the collection system using the IPDR Streaming Protocol [IPDR/SP].

The [IPDR/SP] Protocol is an application running over a reliable, connection oriented transport layer protocol such as TCP. It allows exporting high volume of Data Records from a Service Element with an efficient use of network, storage, and processing resources. There are also bi-directional control message exchanges, though they only comprise a small portion of the traffic.

The [IPDR/SP] was built upon two existing specifications, namely IPDR's [IPDR/BSR] [IPDR/XDR] file format and Common Reliable Accounting for Network Elements (CRANE) [RFC 3423].

It enables efficient and reliable delivery of any data, mainly Data Records from Service Elements (the record formatters that are denoted as the "Exporters") to any collection systems (that are denoted as the "Collectors"), such as mediation systems and BSS/OSS.

Note: The term "Exporter" corresponds to the CMTS, unless otherwise specified.

Since the IPDR Streaming Protocol could run over different transport layers in future versions, a transport neutral version negotiation is needed. [IPDR/SP] supports a negotiation mechanism running over UDP. Either the Exporter or the Collector could inquire about the Streaming Protocol version and transport layer support by sending a UDP packet on a configured UDP port.

6.2.4.1 Sessions and Collector Priorities

A Session is a logical connection between an Exporter and one or more Collectors for the purpose of delivering Data Records. For any given Session, a single active Collector will be targeted with those Data Records. Multiple Sessions may be maintained concurrently in an Exporter or Collector, in which case they are distinguished by Session IDs. For a complete specification of the Sessions, see [IPDR/SP].

A Collector is assigned a Priority value. Data Records need to be delivered to the Collector with the highest Priority value (the primary Collector) within a Session. The Collector Priority reflects the Exporter's preference regarding which Collector will receive Data Records. The assignment of the Collector Priority needs to consider factors such as geographical distance, communication cost, and Collector loading, etc. It is also possible for several Collectors to have the same priority. In this case, the selection method is vendor-specific.

6.2.4.2 Documents and Collection Methodologies

The IPDR/SP Protocol provides for open-ended streaming of data records as they are created, or as an option, logical boundaries may also be placed between groups of data records as well. A logical range of data records is called a document. For more information on this topic see [IPDR/SP]. Even though [IPDR/SP] supports the IPDRDoc instance documents requirements, the IPDRDoc is handled by the collector and not by the exporter. The collector can, for example, create IPDRDoc based on sessions start/stop sequence sent by the exporter, or based on number of records received.

In this specification, an IPDR document is defined as a series of records that were generated during the interval an IPDR session lasted or during a time interval called collection interval. Each DOCSIS IPDR Service Definition has its own requirements in terms of how IPDR documents are generated. For example, [IPDR/SP] sessions are created on a schedule basis, an open-ended session or a per-request session. Below is a list of collection methodologies:

Time Interval Session: The exporter follows a schedule based session to stream data on a periodic time interval. The collector creates the IPDRDoc within those demarcation points. Note that the Time Interval Session is managed by the exporter as being delimited by session start/stop messages. A collector initiated flow operation is possible as well; the collector issues Flow Stop messages to stop the exporter streaming. Finally, it is possible to control the Time Interval Session at either end-points. A Time Interval Session may close immediately after the exporter streams the records or remain open until the end of the time interval in which case, the exporter stops the session and starts a new session for the next time interval.

Event Based Session: It consists of an open-ended session or a Time Interval Session. During the time the IPDR session is open the exporter can stream records at any time, thus the name "Event Based Session". In the case of an open-ended session, the collector could create documents based on size, number of records received, timestamps (to simulate Time Interval Sessions), or never creates an IPDRDoc.

Ad-hoc Session: Per request (from a Collector), the exporter creates a session and closes it when either the data is streamed or a closing command is generated. Note that commanded open and close could be within the exporter/collector [IPDR/SP] connection/session messages or by other management interface triggers.

Some variations of the collection methodologies above include the possibility that an open-ended session demarcated by the collector as IPDR document by time where the records are received.

In cases where periodic records exporting applies (Time Interval Session), the DOCSIS IPDR Service Definition needs to specify the handling of records deleted in the exporter before the scheduled time for data streaming. That is accomplished either with an immediate record if exporter does not want to retain such record in memory, or wait until the next periodic interval to report that data. It is also required to distinguish between the record being a periodically exported record or a final record. This specification defines a periodic record as an "interim" record and a final record as a "stop" record.

6.2.4.3 Data Types and Message Format

[IPDR/SP] describes its message format using an augmented form of [RFC 1832], External Data Representation (XDR) [IPDR/XDR]. Two augmentations of XDR used by [IPDR/XDR] that enable a more concise and formal C style syntax for describing protocol message formats, are as follows:

Support for indefinite length specification. This allows for stream based encoding of information without knowing or calculating the entire length of a message or document in advance. The value of -1 in a length field indicates that, based on Template information, a decoder be able to determine where a message completes.

No 32-bit alignment padding. Beginning in IPDR 3.5.1, both [IPDR/XDR] and [IPDR/SP] remove the padding constraint specified by XDR. This allows for specification to the byte level of structures. This augmentation is described in [RFC 1832], "Areas for Future Enhancement".

For a complete specification of the [IPDR/SP] message format see the Message Format section of that specification.

The type IDs for the base types and the derived types used in the protocol, the data structure as well as the data representation are described in the Data Types section of [IPDR/SP] specification.

6.2.4.4 Templates and Service Definitions

The IPDR/SP Protocol utilizes the concept of Templates in order to eliminate the transmission of redundant information such as field identifiers and typing information on a per data record basis.

A Template is an ordered list of Field Identifiers. A Field Identifier is the specification of a Field in the Template. A Template references an IPDR Service Definition. It specifies a data item that a Service Element (e.g., CMTS) may export. Each Field specifies the Type of the Field. [IPDR/SP] specifies that Templates may be optionally negotiated upon setup of the communication between the Exporter and the Collector. This allows the Exporter to avoid sending Fields that the Collector is not interested in. Several Templates can be used concurrently (for different types of records). Fields contained in a Template could be enabled or disabled. An enabled Field implies that the outgoing data record will contain the data item specified by the key. A disabled Field implies that the outgoing record will omit the specified data item. The enabling/disabling mechanism further reduces bandwidth requirements; it could also reduce processing in Service Elements, as only needed data items are produced. For a complete specification of the IPDR streaming Templates, refer to the Templates section of [IPDR/SP].

The IPDR/SP Protocol incorporates IPDR/Service Definitions [IPDR/SSDG], based on XML-Schema, by reference.

A Template references an IPDR Service Definition document, where a more complete definition of the Template is included. IPDR Service Definitions describe in detail the properties of the various data records and their fields (see Service Specification Design Guide 3.5.1 [IPDR/SSDG].)

6.2.4.5 Flow Control and Data Reliability

Flow control mechanisms are employed to ensure that data is sent from an Exporter to a Collector only if it is ready to receive data. Four messages are employed to support flow control:

- FlowStart and FlowStop are sent by the Collector to indicate whether it is ready or not ready to receive data.
- SessionStart and SessionStop messages are sent by the Exporter to designate the associated Collector the active/inactive Collector and to provide information about the IPDR document being transmitted within the Session.
- Flow control mechanisms are likewise used to indicate to the Collector whether the Exporter considers the Collector to be a primary or backup Collector. The Flow control also provides information on the data sequence numbers and document Id so that the Collectors can collectively guarantee that no Data Records are lost. For the complete specification of the IPDR flow control mechanism refer to the Flow Control section of [IPDR/SP].
- To further reduce the likelihood of data loss IPDR/SP Messages are acknowledged after they have been processed and the record information has been placed in persistent storage. Refer to the Data Transfer section of [IPDR/SP].

6.2.4.5.1 DOCSIS IPDR/SP Flow Diagrams

Figure 6-3 illustrates the Streaming Protocol flow diagram based on the DOCSIS default Streaming Flow (the Time Interval based Session Streaming) set of requirements. Figure 6-4 illustrates the Streaming Protocol flow for Event Based Session.

Figure 6-5 illustrates the Streaming Protocol flow for the ad-hoc Session. The Ad-hoc Streaming flow diagram shown is one of the types. The Time Interval based Session Streaming can also be treated as on Ad-hoc streaming flow. Neither these diagrams nor the explanations provided in limit the ability of a Collector or Exporter (CMTS) to be fully compliant with the IPDR Streaming Protocol flow diagram [IPDR/SP]. Note that these figure models a DocId boundary (established by the IPDR Streaming Session Start/Stop messages) that is used to identify the records created during a collection interval (see Section 6.2.4.2). A single continuously open session/document will span a single collection interval and will be closed at the end of the interval. Figure 6-3 represents a complete IPDR session/document and assumes the model of periodic data streaming with interim and stop records. Each entity instance of the DOCSIS IPDR Service will include one or more Interim records and one Stop record when the entity in the DOCSIS IPDR service is deleted. If a Service entity instance is both created and deleted within the same collection interval, then only a single Stop record is exported.

Since the collection interval may be up to 24 hours long, it is likely that Keep-Alive messages will be sent periodically to indicate that the session/document is still open but there are no Stop records to export at the moment. Later, at the end of the collection interval, the current session/document is terminated with a SessionStop message, a new DocId is created, and the next session/document is started with a SessionStart message.

Note: The sequence diagram shown in Figure 6-3, Figure 6-4 and Figure 6-5 does not include optional Template Negotiation and the mandatory KeepAlive messages.

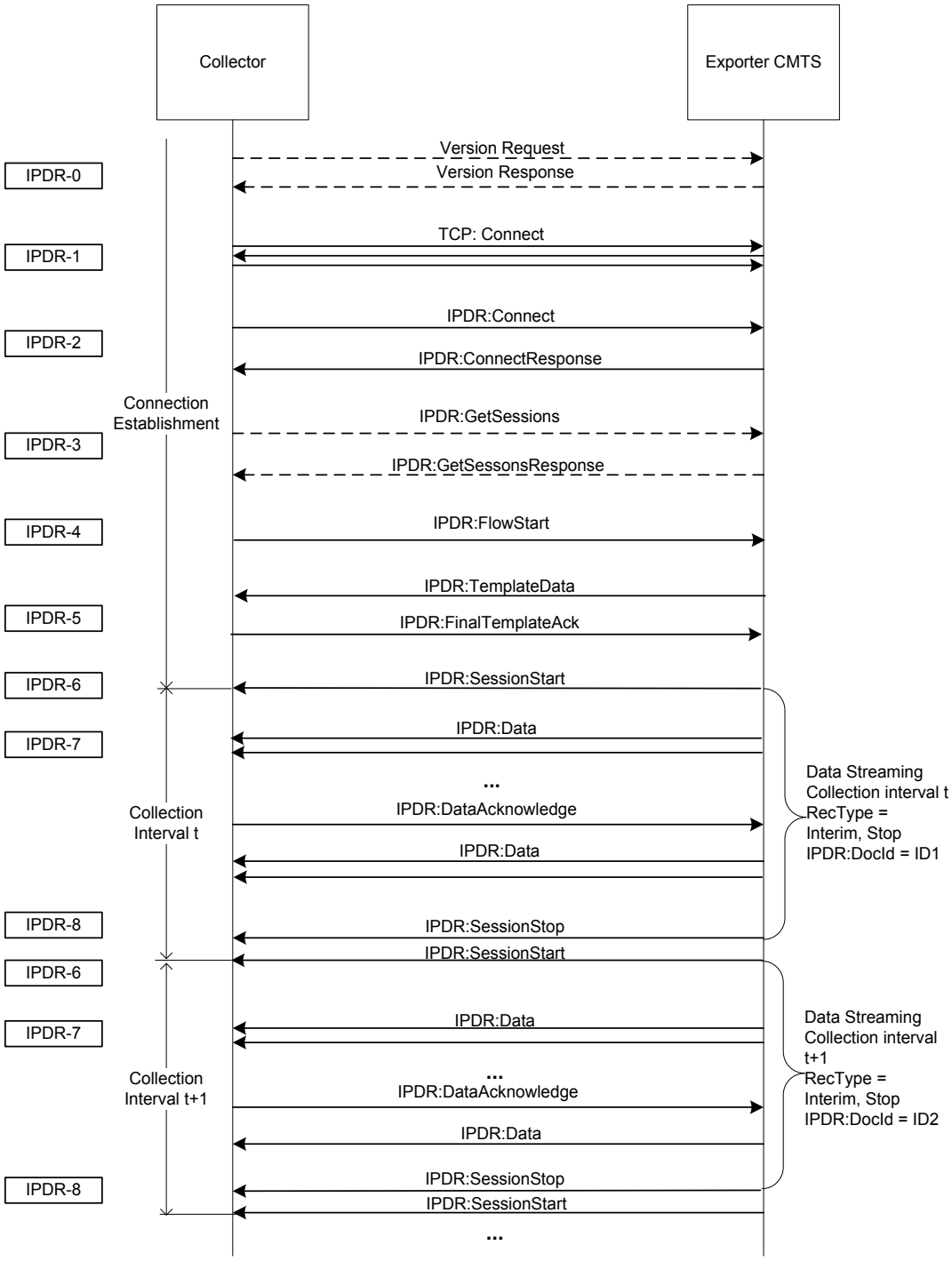


Figure 6-3 - Sequence Diagram for DOCSIS Time Interval Session Streaming Requirements

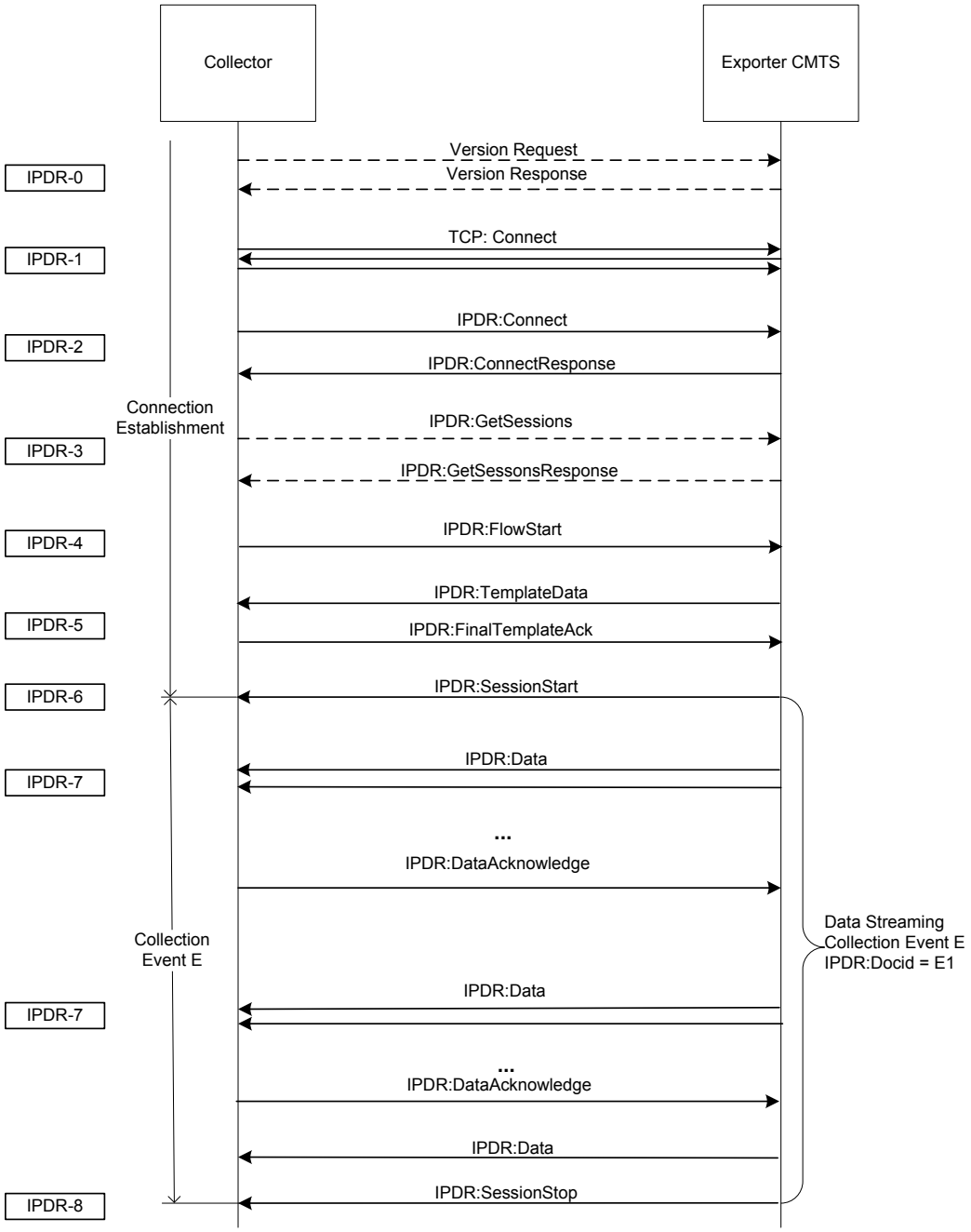


Figure 6-4 - Sequence Diagram for DOCSIS Event Based Session Streaming Requirement

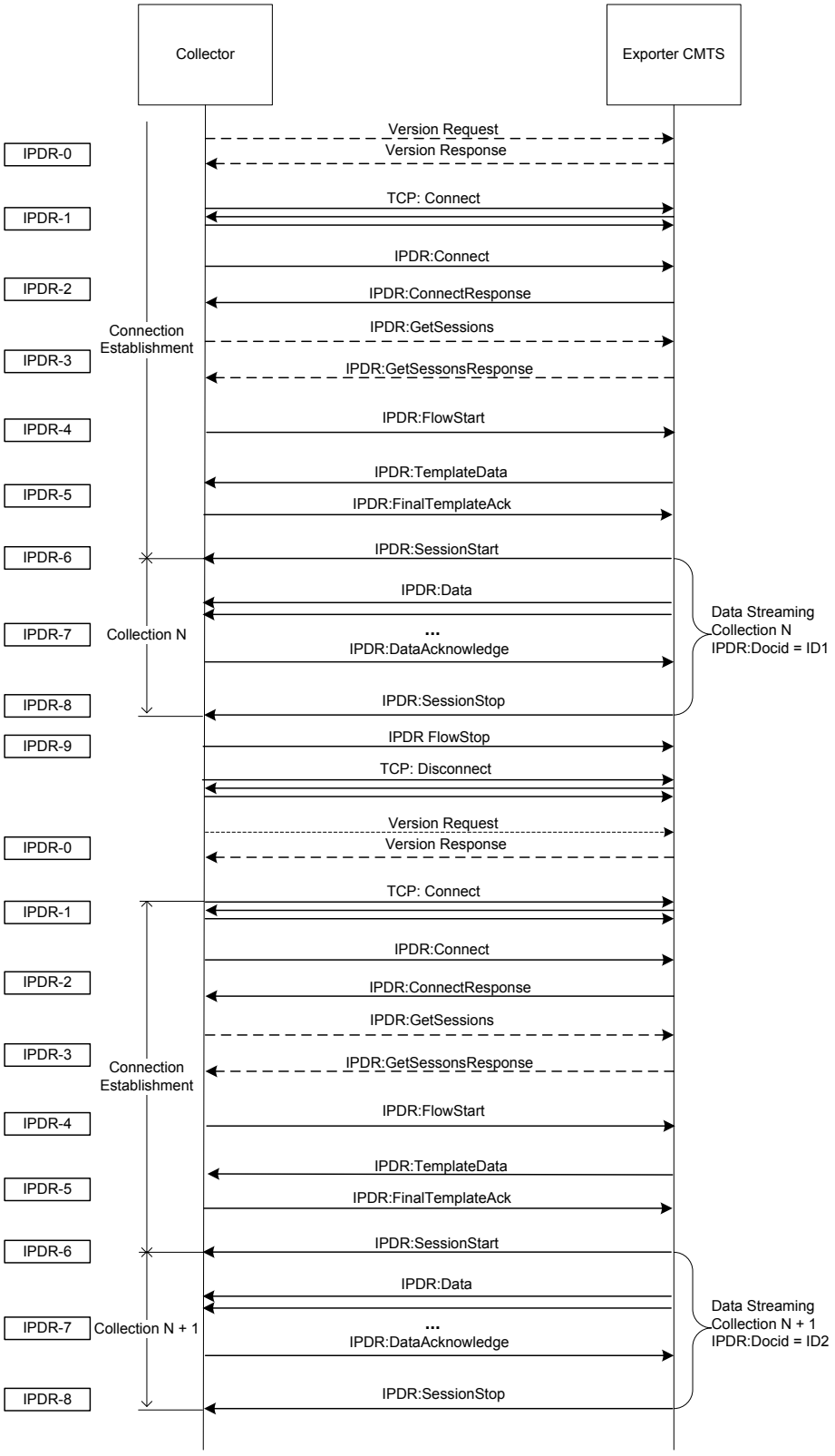


Figure 6-5 - Sequence Diagram for DOCSIS Ad-hoc Based Session Streaming Requirement

Table 6-5 - DOCSIS IPDR Collection Methodologies Sequence Diagram Details

Identifier	Streaming Sequence Diagram Description
IPDR-0	Prior to Streaming Connection, Collector may query Exporter for version request (discovery).
IPDR-1	Collector initiates the TCP connection: Port 4737
IPDR-2	Collector sends IPDR Connect message, sets capabilities flags and KeepAlive value Exporter (CMTS) replies with IPDR ConnectResponse message, see Appendix IV.
IPDR-3	Collector may request Sessions description to know what session ID and associated templates to use for streaming by GetSessions message request. Exporter (CMTS) reply with the GetSessionsResponse message.
IPDR-4	Collector is ready to start receiving data. Sends IPDR FlowStart message.
IPDR-5	Exporter (CMTS) sends a TemplateData message, see Appendix IV. Collector responds with FinalTemplateData message, see Appendix IV.
IPDR-6	Exporter (CMTS) starts the Session by sending IPDR SessionStart message. See Appendix IV.
IPDR-7	Data is streamed by Exporter (CMTS) and acknowledged by Collector IPDR DataAcknowledge messages.
IPDR-8	Exporter (CMTS) closes the IPDR Session with a SessionStop.
IPDR-9	Collector sends a IPDR FlowStop message to indicate that it is no longer able to participate in a particular session.
	Repeat Steps IPDR-6 through IPDR-8 based on the provisioned collection interval.

6.2.4.6 Message Detail and IDL Definition

The complete message set defined for IPDR/SP and the normative IDL specification for constructing IPDR/SP messages are defined in [IPDR/SP].

6.2.5 IPDRDoc mapping for DOCSIS IPDR Streaming

The IPDRDoc records may be constructed by the Collector for the purpose of storing or to be communicated to other instances through the Collector's D-interface mentioned in Section 6.2.3.1. The IPDRDoc is identified by a docId that is used to tag all of the IPDR records contained within the document. To do so, IPDRDoc in [IPDR/SP] is scoped to the IPDR/SP Session boundary as described in Section 6.2.4.5.1 and the IPDR/SP transport elements listed in the Table 6-6 below.

Table 6-6 - IPDRDoc Element/Attribute Mapping

Element or Attribute of IPDRDoc	IPDR/SP Mapping
docId	IPDR:SP:SessionStart:documentId (see Section 6.2.3.5 item 1.g)
version	3.5.1-A.1; In general this field contains the version content of the schemaName of the first TemplateBlock within a negotiated Template after FinalTemplateDataAck
creationTime	IPDR:SP:SessionStartExporterBootTime

IPDRRecorderInfo	reverse DNS lookup of Exporter IP
IPDRType	Refer to the Data Type section of [IPDR/SP]
ipdr:IPDRCreationTime	Not supported (see Section 6.2.3.5)
ipdr:seqNum	Not supported (see Section 6.2.3.5) IPDR reliable transport is handled via IPDR:SP:DataSequenceNum
IPDRDoc.End (optional)	
count	reflect number of records After closing the Session (Session Stop): IPDR:SP:DataAcknowledge:SequenceNumber - IPDR:SP:SessionStart:FirstRecordSequenceNumber
endTime	Time since epoc time when SessionStop was received

6.2.6 CMTS IPDR Specifications Support

The CMTS MUST support [IPDR/SP] as the transport mechanism for all DOCSIS Service Definitions.

The CMTS MUST support data records encoded by [IPDR/XDR] File Encoding Format, Version 3.5.1 [IPDR/XDR] specification.

The CMTS MAY support the UDP-based Service Discovery Protocol described in the IPDR Streaming Protocol section in [IPDR/SP].

The CMTS MAY support the advertisement upon request of IPDR capabilities as described in IPDR/Capability File Format, Version 3.5.0.1 [IPDR/CAPAB]. The retrieval of this file is vendor dependent. The same information is available by the Service Discovery described above.

6.2.6.1 IPDR Streaming Protocol

The CMTS MUST support the minimum conformance feature set for the IPDR Streaming Protocol as follows:

6.2.6.1.1 IPDR/SP Transport Protocol

The CMTS MUST support IPDR Streaming Protocol [IPDR/SP] over TCP.

6.2.6.1.2 Streaming Flow Control and Messaging

[IPDR/SP] defines three main states in its model: 1) Connection, 2) Flow and 3) Session. Connections are initiated by either Collectors or Exporters. Flows are initiated by Collectors only and Sessions are initiated by Exporters (CMTSs) only. See Table 1 of [IPDR/SP] for details. [6]

6.2.6.1.2.1 Streaming Flow Connection and Messaging[7]

The CMTS MUST support a minimum of two IPDR streaming connections.

IPDR streaming includes Template Negotiation allowing Collectors to adjust the data streams to include only the information that is relevant to their systems. The CMTS MAY support Template Negotiation, therefore the support of the IPDR/SP message MODIFY TEMPLATE RESPONSE is optional. If the CMTS implements Template Negotiation capability, then all messages within the Template Negotiation phase MUST be supported as described in

[6] Revised per OSSIv3.0-07.0442-3 on 5/9/07 by PO.
[7] Revised per OSSIv3.0-N-07.0477-2 by ab on 7/10/07, and per OSSIv3.0-N-07.0506-3 by ab on 10/11/07.

the Protocol Sequence section of [IPDR/SP]. If the CMTS does not implement Template Negotiation, a Collector MODIFY TEMPLATE message MUST be replied to with a MODIFY TEMPLATE RESPONSE having a preconfigured Template Set as described in Appendix IV.

The CMTS MAY support IPDR Capability File Negotiation. If the CMTS supports IPDR Capability File Negotiation, then Communication Negotiation MUST be supported. Communication Negotiation allows the Exporter and the Collector to negotiate communication parameters. The Communication Negotiation allows both the Collector and the Exporter to acknowledge that they are capable of participating in the exchange of records via IPDR Streaming as and identify their ability to support optional protocol capabilities.

6.2.6.1.2.2 Streaming Flow Sessions

The CMTS MUST support a minimum of one Data Streaming Session per connection.

The CMTS MUST handle a minimum of one Template per Session, which is transmitted to the Collector via the TEMPLATE DATA message as described in [IPDR/SP]. See Appendix IV for details of CMTS default TEMPLATE DATA message requirements.

When a Service Definition requires time interval session streaming, the CMTS MUST support a minimum collection interval of 15 minutes.

See Section 6.2.4.2 for the definition of the relationship between IPDR/SP Sessions, [IPDR/XDR] documents, and collection intervals.

6.2.7 Requirements for IPv6

The CMTS MUST support IPDR/SP for IPv4 addresses. IPv6 support, even though possible in some scenarios, is left out of scope until IPDR.org defines an interoperable mechanism to support both IPv4 and IPv6.

7 OSSI MANAGEMENT OBJECTS

7.1 SNMP Management Information Bases (MIBS)

This section defines the minimum set of managed objects required to support the management of a CM. This section defines the minimum set of managed objects required to support the management of a CMTS.

The CM MAY augment the required MIBs with objects from other standard or vendor-specific MIBs where appropriate. The CMTS MAY augment the required MIBs with objects from other standard or vendor-specific MIBs where appropriate.

The DOCSIS OSSI 3.0 specification has priority over the IETF MIBs and all objects. Though deprecated or optional in the IETF MIB, the object can be required by this specification as mandatory.

The CM MUST implement the MIB requirements in accordance with this specification regardless of the value of an IETF MIB object's status (e.g., deprecated or optional).

The CMTS MUST implement the MIB requirements in accordance with this specification regardless of the value of an IETF MIB object's status (e.g., deprecated or optional).

If not required by this specification, deprecated objects are optional. If a CM implements a deprecated MIB object, the CM MUST implement the MIB object correctly according to the MIB definition. If a CMTS implements a deprecated MIB object, the CMTS MUST implement the MIB object correctly according to the MIB definition.

If a CM does not implement a deprecated MIB object, the following conditions MUST be met:

The CM MUST NOT instantiate the deprecated MIB object.

The CM MUST respond with the appropriate error/exception condition, such as noSuchObject for SNMPv2c, when an attempt to access the deprecated MIB object is made.

If a CMTS does not implement a deprecated MIB object, the following conditions MUST be met:

The CMTS MUST NOT instantiate the deprecated MIB object.

The CMTS MUST respond with the appropriate error/exception condition, such as noSuchObject for SNMPv2c, when an attempt to access the deprecated MIB object is made

If not required by this specification, additional objects are optional. If a CM implements any additional MIB objects, the CM MUST implement the MIB object correctly according to the MIB definition. If a CMTS implements any additional MIB objects, the CMTS MUST implement the MIB object correctly according to the MIB definition.

If a CM does not implement one or more additional MIB objects, the following conditions MUST be met:

The CM MUST NOT instantiate the additional MIB object or objects.

The CM MUST respond with the appropriate error/exception condition, such as noSuchObject for SNMPv2c when an attempt to access the non existent additional MIB object is made, when the additional MIB object or objects are accessed.

If a CMTS does not implement one or more additional objects, the following conditions MUST be met:

The CMTS MUST NOT instantiate the additional MIB object or objects.

The CMTS MUST respond with the appropriate error/exception condition, such as noSuchObject for SNMPv2c, when an attempt to access the non existent additional MIB object is made.

If not required by this specification, obsolete objects are optional. If a CM implements an obsolete MIB object, the CM MUST implement the MIB object correctly according to the MIB definition. If a CMTS implements an obsolete MIB object, the CMTS MUST implement the MIB object correctly according to the MIB definition.

If a CM does not implement an obsolete MIB object, the following conditions MUST be met:

- The CM MUST NOT instantiate the obsolete MIB object.
- The CM MUST respond with the appropriate error/exception condition, such as noSuchObject for SNMPv2c, when an attempt to access the obsolete MIB object is made.

If a CMTS does not implement an obsolete MIB object, the following conditions MUST be met:

- The CMTS MUST NOT instantiate the obsolete MIB object.
- The CMTS MUST respond with the appropriate error/exception condition, such as noSuchObject for SNMPv2c, when an attempt to access the obsolete MIB object is made

Section 7.1.1 and Section 7.1.2 include an overview of the MIB modules required for management of the facilities specified in the [MULPI] and [SEC] specifications.

7.1.1 IETF Drafts and Others[8]

Table 7-1 - IETF Drafts and Others[9]

Reference	MIB Module	Applicable Device(s)
Annex H	DOCSIS Interface Extension 2 MIB Module: DOCS-IFEXT2-MIB	CM and CMTS
Annex Q	CableLabs Topology MIB Module: CLAB-TOPO-MIB	CMTS
Annex Q	DOCSIS Diagnostic Log MIB Module: DOCS-DIAG-MIB	CMTS
Annex Q	DOCSIS Interface 3 MIB Module: DOCS-IF3-MIB	CM and CMTS
Annex Q	DOCSIS Multicast MIB Module: DOCS-MCAST-MIB	CMTS
Annex Q	DOCSIS Multicast Authorization MIB Module: DOCS-MCAST-AUTH-MIB	CMTS
Annex Q	DOCSIS Quality of Service 3 MIB Module: DOCS-QOS3-MIB	CM and CMTS

[8] revised per OSSIv3.0-N-07.0481-2 by ab on 7/17/07.
[9] table edited per OSSIv3.0-N-07.0376-1 by ab on 5/3/07 and per OSSIv3.0-N-07.0402-1, #2 on 5.7/07 by KN. Also, per OSSIv3.0-N-07.0522-7 by ab on 11/5/07, and OSSIv3.0-N-07.0553-4 by ab on 11/13/07.

Reference	MIB Module	Applicable Device(s)
Annex Q	DOCSIS Security MIB Module: DOCS-SEC-MIB	CMTS
Annex Q	DOCSIS Subscriber Management 3 MIB Module: DOCS-SUBMGT3-MIB	CMTS
[ID MGMD]	Multicast Group Membership Discovery MIB Module: MGMD-STD-MIB	CMTS
Annex Q	DOCSIS Load Balancing 3 MIB Module: DOCS-LOADBAL3-MIB	CMTS
[M-OSSI]	DOCSIS DRF MIB Module: DOCS-DRF-MIB	CMTS

7.1.2 IETF RFCs

Table 7-2 - IETF RFCs[10]

Reference	MIB Module	Applicable Device(s)
[RFC 2786]	Diffie-Helman USM Key MIB Module: SNMP-USM-DH-OBJECTS-MIB	CM and CMTS
[RFC 2790]	Host Resources MIB Module: HOST-RESOURCES-MIB	CM and CMTS
[RFC 2863]	Interfaces Group MIB Module: IF-MIB	CM and CMTS
[RFC 2933]	Internet Group Management Protocol MIB Module: IGMP-STD-MIB	CM
[RFC 3083]	DOCSIS Baseline Privacy MIB Module: DOCS-BPI-MIB	CM
[RFC 3410] [RFC 3411] [RFC 3412] [RFC 3413] [RFC 3414] [RFC 3415] [RFC 3584]	SNMPv3 MIB Modules: SNMP-FRAMEWORK-MIB, SNMP-MPD-MIB, SNMP-NOTIFICATION-MIB, SNMP-TARGET-MIB, SNMP-USER-BASED-SM-MIB, SNMP-VIEW-BASED-ACM-MIB, SNMP-COMMUNITY-MIB	CM and CMTS
[RFC 3418]	SNMPv2 MIB Module: SNMPv2-MIB	CM and CMTS
[RFC 3433]	Entity Sensor MIB Module: ENTITY-SENSOR-MIB	CMTS
[RFC 3635]	Ethernet Interface MIB Module: EtherLike-MIB	CM and CMTS

[10] table edited per OSSIv3.0-N-07.0376-1 by ab on 5/3/07, and again per OSSIv3.0-N-07.0522-7 by ab on 11/5/07.

Reference	MIB Module	Applicable Device(s)
[RFC 4022]	Transmission Control Protocol MIB Module: TCP-MIB	CM and CMTS
[RFC 4113]	User Datagram Protocol MIB Module: UDP-MIB	CM and CMTS
[RFC 4131]	DOCSIS Baseline Privacy Plus MIB Module: DOCS-IETF-BPI2-MIB	CM and CMTS
[RFC 4133]	Entity MIB Module: ENTITY-MIB	CMTS
[RFC 4188]	Bridge MIB Module: BRIDGE-MIB	CM and CMTS
[RFC 4293]	Internet Protocol MIB Module: IP-MIB	CM and CMTS
[RFC 4546]	DOCSIS RF MIB Module: DOCS-IF-MIB	CM and CMTS
[RFC 4547]	DOCSIS Event MIB Module: DOCS-IETF-CABLE-DEVICE-NOTIFICATION-MIB	CM and CMTS
[RFC 4639]	DOCSIS Device MIB Module: DOCS-CABLE-DEVICE-MIB	CM and CMTS

7.1.3 Managed objects requirements[11]

The following sections detail additional implementation requirements for the RFCs listed.

The CM MUST implement the compliance and syntax of the MIB objects as specified in Annex A.

The CMTS MUST implement the compliance and syntax of the MIB objects as specified in Annex A.

The CM MUST support a minimum of 10 available SNMP table rows, unless otherwise specified by RFC or DOCSIS specification. The CMTS MUST support a minimum of 10 available SNMP table rows, unless otherwise specified by RFC or DOCSIS specification. The CM minimum number of available SNMP table rows SHOULD mean rows (per table) that are available to support device configuration. The CMTS minimum number of available SNMP table rows SHOULD mean rows (per table) that are available to support device configuration. The CM used (default) SNMP table row entries MUST NOT apply to the minimum number of available SNMP table rows. The CMTS used (default) SNMP table row entries MUST NOT apply to the minimum number of available SNMP table rows.

7.1.3.1 Requirements for DOCSIS Device MIB [RFC 4639][12]

The CM MUST implement [RFC 4639].

The CMTS MUST implement [RFC 4639].

[11] revised per OSSIv3.0-N-07.0481-2 by ab on 7/17/07, and per OSSIv3.0-N-07.0553-4 by ab on 11/13/07.
[12] revised per OSSIv3.0-N-07.0395-3 by ab on 5/4/07.

NOTE: [RFC 4639] includes Compliance requirements for DIFFSERV-MIB [RFC 3289] to support IPv6 filtering as a replacement for the deprecated docsDevFilterIpTable. For backwards compatibility, this specification has requirements for docsDevFilterIpTable. IPv6 filtering requirements are specified in Annex F. This specification does not define requirements for [RFC 3289].[13]

Additional requirements affecting [RFC 4639] are also found in Section 9.4 Protocol Filtering.

7.1.3.2 Requirements for DOCSIS RF MIB [RFC 4546][14]

The CMTS MUST implement [RFC 4546].

The CM MUST implement [RFC 4546].

The CMTS MUST report the value of docsIfDownChannelPower [RFC 4546] within 2 db of the actual power specified in dBmV as specified in [PHY].

If the CMTS provides an IF Output, the CMTS MUST report a value of zero for the docsIfDownChannelPower MIB object.

If downstream transmit power management is not implemented, the CMTS MUST support the MIB object docsIfDownChannelPower [RFC 4546] as read-only and report the value of 0 (zero).

The CM MUST implement the docsIfDownChannelPower MIB object with read-only access. The CM MUST report a power value for docsIfDownChannelPower within 3 dB of the actual received channel power when operated at nominal line-voltage, at normal room temperature (refer to [PHY]).

For any 1 dB change in input power, the CM MUST report a power change in the same direction that is not less than 0.6 dB and not more than 1.4 dB, as specified in [PHY].

The CMTS MUST implement read-write access for the docsIfDownChannelFrequency object, if the CMTS is in control of the downstream frequency. However, if a CMTS provides IF Output, the CMTS MUST implement read-only access for the docsIfDownChannelFrequency object and return 0.

The CMTS MUST implement the range for the docsIfQosProfMaxTransmitBurst object the same as the range defined in the "Maximum Upstream Channel Transmit Burst Configuration Setting" section of [MULPI].

The maximum number of modulation profiles that a CMTS can support in docsIfCmtsModulationTable is vendor-specific.

The CMTS MAY provide pre-defined modulation profiles (entries in the DOCS-IF-MIB docsIfCmtsModulationTable) for the purpose of being used by operators directly, or as templates to define other modulation profiles. The pre-defined modulation profiles provided by the CMTS MAY be read-only to prevent users from making accidental modifications. Consequently, adding or creating entries with new docsIfCmtsModIntervalUsageCode values and the same docsIfCmtsModIndex value as a pre-defined modulation profile could result in an error.

The modulation profiles are PHY layer specific. Modulation profiles with the same value of docsIfCmtsModIndex might not be optimal for all upstream channels with different PHY hardware. As a result, re-using modulation profiles for upstream channels with different PHY hardware could decrease upstream performance. Therefore, SNMP set operations might result in an error when modulation profiles with the same value of docsIfCmtsModIndex are assigned to upstream channels with different PHY hardware.

[13] OSSIv3.0-N-07.0395 #1, po 5/15/07

[14] revised per OSSIv3.0-N-07.0392-1 by ab on 5/3/07. Per OSSIv3.0-N-07.0443-2 and OSSIv3.0-N-07.0446-4 #9 on 5/10/07 by KN, and per OSSIv3.0-N-07.0477-2 by ab on 7/10/07 and OSSIv3.0-N-07.0481-2 on 7/17/07.

The CMTS supports the ability to configure upstream and downstream channel IDs via read-create access to the docsIf3MdChCfgChId object in the DOCS-IF3-MIB. To support this ability, the CMTS implements the MIB objects docsIfDownChannelId and docsIfUpChannelId with read-only access. When a downstream channel is not assigned to a MAC Domain then the CMTS MUST report the corresponding docsIfDownChannelId as zero. Note that the configuration of channel IDs is expected to take place prior to any modems being present on those channels, since any CMs that are already online will re-initialize and/or attempt to use a channel other than the one intended. The CMTS MUST ensure that an upstream or downstream channel ID is unique within a MAC Domain.

The CMTS MUST support the objects in the docsIfCmtsUpChannelCounterTable that are described in the DOCS-IF-MIB as being optional. However, certain impairment events on the upstream channel (e.g., burst noise) could be indistinguishable from collisions, and hence could be counted as such.

The CMTS MUST support the objects in docsIfCmtsServiceTable to report CMs registered in DOCSIS 1.0 CoS mode. The CMTS MAY report CMs registered in DOCSIS 1.1 QoS mode in docsIfCmtsServiceTable.

The CMTS assigns a unique numeric identifier to each individual CM that is used for per-CM reporting and management purposes. DOCSIS 3.0 defines this identifier as docsIf3CmtsCmRegStatusId. Prior to DOCSIS 3.0 this identifier was docsIfCmtsCmStatusIndex [RFC 4546]. DOCSIS 3.0 CMTS requirements include MIB modules based on docsIfCmtsCmStatusIndex; therefore, the CMTS MUST consider docsIfCmtsCmStatusIndex to be the same identifier as docsIf3CmtsCmRegStatusId for the purpose of CM identification in MIB modules defined through SNMP conceptual row extension, and SNMP conceptual row augmentation. See section "Relation between INDEX and AUGMENTS clauses" of [RFC 2578] for details on these concepts.

The CM MUST extend the MIB Textual-Convention DocsisVersion to include the enumeration 'docsis30'. The CMTS MUST extend the MIB Textual-Convention DocsisVersion to include the enumeration 'docsis30'. The extended DocsisVersion Textual-Convention is shown below.

```
   DocsisVersion ::= TEXTUAL-CONVENTION
       STATUS          current
       DESCRIPTION
           "'docsis10' indicates DOCSIS 1.0.
            'docsis11' indicates DOCSIS 1.1.
            'docsis20' indicates DOCSIS 2.0.
            'docsis30' indicates DOCSIS 3.0."
       SYNTAX        INTEGER {
            docsis10 (1),
            docsis11 (2),
            docsis20 (3),
            docsis30 (4)
       }
       REFERENCE
           "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
            Specification CM-SP-MULPIv3.0-I03-070223, DOCSIS
            Version section of the Common Radio Frequency
            Interface Encodings Annex."
```

The MIB object docsIfDocsisBaseCapability, based on the DocsisVersion Textual-Convention, includes an updated REFERENCE to align with the extended DocsisVersion Textual-Convention.

```
   docsIfDocsisBaseCapability OBJECT-TYPE
           SYNTAX      DocsisVersion
           MAX-ACCESS  read-only
           STATUS      current
           DESCRIPTION
               "Indication of the DOCSIS capability of the device."
           REFERENCE
               "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
                Specification CM-SP-MULPIv3.0-I03-070223, DOCSIS
                Version section of the Common Radio Frequency
                Interface Encodings Annex."
```

```
        ::= { docsIfBaseObjects 5 }
```

The CMTS MUST implement the docsIfDownChannelWidth value based on the value of docsIf3MdCfgDownChannelAnnex. The CMTS MUST derive instances of the docsIfDownChannelAnnex from the values of docsIf3MdCfgDownChannelAnnex in a given MAC Domain.

The docsIfCmtsSyncInterval object applies to Primary-Capable Downstream interfaces within the MAC Domain.

7.1.3.3 Requirements for Interfaces Group MIB [RFC 2863]

The CMTS MUST implement the interface MIB [RFC 2863].

The CM MUST implement the interface MIB [RFC 2863].

The ifType object associated with a DOCSIS interface can have the following enumerated values:

- CATV MAC interface: docsCableMacLayer (127)
- CATV downstream channel: docsCableDownstream (128)
- CATV M-CMTS downstream channel: docsCableMCmtsDownstream (229) (See [M-OSSI])
- CATV upstream interface: docsCableUpStream (129)
- CATV logical upstream channel: docsCableUpstreamChannel (205)

7.1.3.3.1 Interface organization and numbering[15]

Assigned interface numbers for CATV-MAC and Ethernet (Ethernet-like interface) are used in both the NMAccessTable and IP/LLC filtering table to configure access and traffic policy at these interfaces. These configurations are generally encoded in the configuration file using TLV encoding.

The following statements define the CM interface-numbering scheme requirements:

CM MUST implement an instance of ifEntry for each configured CATV-MAC interface, downstream channel, upstream interface, and for all of its LAN interfaces. If a CATV-MAC interface consists of more than one upstream and downstream channel, the CM MUST populate the ifTable with a separate instance of ifEntry for each channel.

The CM MAY fix LAN interfaces during the manufacturing process or determine these dynamically during the operation of the CM based on whether or not an interface has a CPE device attached to it.

If the CM has multiple CPE interfaces, but only one CPE interface that can be enabled at any given time, the CM MUST populate the ifTable to contain only the entry corresponding to the enabled or the default CPE interface.

The CM MUST populate the ifTable as specified in Table A-4 through Table A-7 of Annex A.2. The CM MUST maintain entries in the ifTable for the CATV downstream and CATV upstream interfaces for which the CMTS have configured DS Receive Channels and US Transmit Channels respectively for this particular CM, and not for the total number of the CM receivers and transmitters the CM supports. CMTS configured Receive Channels and Transmit Channels for a CM are defined in [MULPI].

While the CM is registered, the CM SHOULD use a different ifIndex to allocate a new CMTS configured Receive Channel or Transmit Channel, and avoid the reuse of previously assigned IfIndexes that are not currently part of the CMTS configured Receive Channel Set (RCS) or Transmit Channel Set (TCS).

[15] revised per OSSIv3.0-N-07.0540-4 by ab on 11/8/07.

When a CATV DS or US interface is configured as part of a RCS or TCS with a new channel id, the CM MUST update the ifCounterDiscontinuityTime and ifLastChange MIB variables.

The CM MUST populate ifStackTable with an entry for the CATV-MAC interface and include the CATV downstream and CATV upstream interfaces are reported in the ifTable.

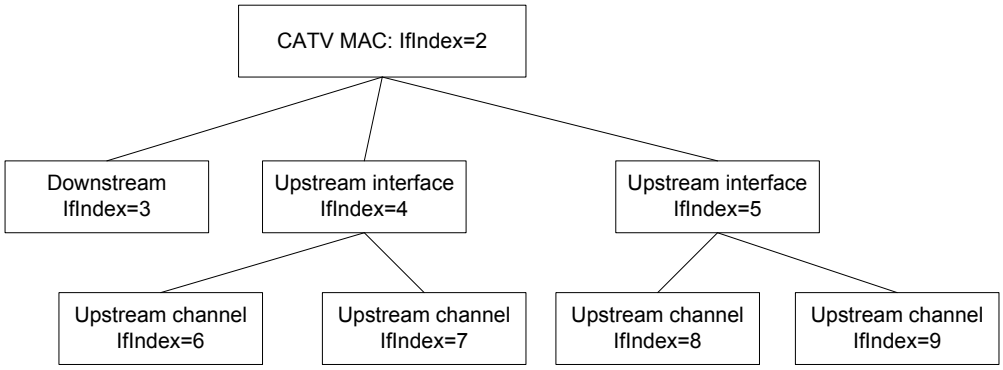
The CM MUST implement the MIB variable ifStackLastChange to report the value of sysUpTime where the ifStackTable change as a consequence of an addition or removal of a channel id from a CM-SG as defined in [MULPI].

The following statements define the CMTS interface-numbering scheme requirements:

The CMTS MUST implement an instance of ifEntry for each CATV-MAC interface, downstream channel, upstream interface, logical upstream channel, and any other interface type that exists in the CMTS.

The CMTS MUST populate the ifStackTable with the associations of CATV-MAC interfaces to upstream and downstream channels as defined in the MdChCfg configuration object (see Annex O).

The following example illustrates a MAC interface with one downstream and two upstream interfaces, each with two logical upstream channels for a CMTS.

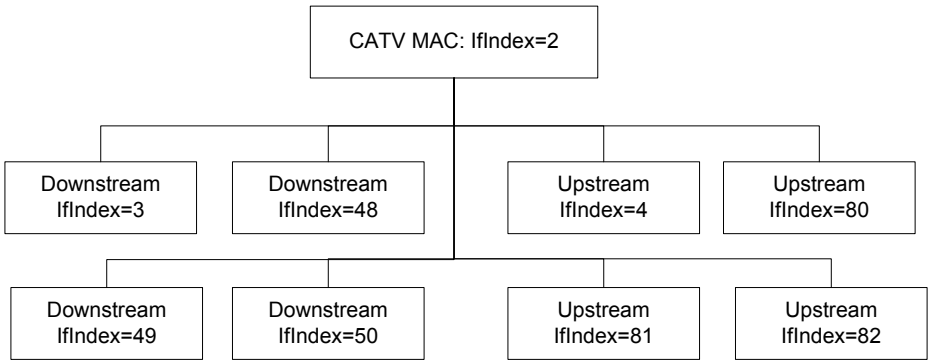


Implementation of ifStackTable for this example:

ifStackHigherLayer	ifStackLowerLayer
0	2
2	3
2	4
2	5
3	0
4	6
4	7
5	8
5	9
6	0
7	0
8	0
9	0

Figure 7-1 - ifIndex example for CMTS

The following example illustrates a MAC interface with four downstream and four upstream interfaces for a CM.



Implementation of ifStackTable for this example:

ifStackHigherLayer	ifStackLowerLayer
0	2
2	3
2	4
2	48
2	49
2	50
2	80
2	81
2	82
3	0
4	0
48	0
49	0
50	0
80	0
81	0
82	0

Figure 7-2 - ifIndex example for CM

The CM MUST number its interfaces as described in Table 7-3.

Table 7-3 - CM interface numbering

Interface	Type
1	Primary CPE interface
2	CATV-MAC
3	One of the CATV downstream interface
4	One of the CATV upstream interfaces
5 - 15	Additional CPE interfaces
16 - 31	eDOCSIS eSAFE interfaces
32 - 47	Additional CPE interfaces
48 - 79	Additional CATV downstream interfaces
80 - 111	Additional CATV upstream interfaces

At any time, the CM MUST use ifIndex 3 for one of its downstream channels.

At any time, the CM MUST use ifIndex 4 for one of its upstream channels.

For example, if the RCS is configured with channels on ifIndex 3 and 48 and the Dynamic Bonding Change DBC message demands ifIndex 3 be removed, the ifIndex 48 becomes ifIndex 3.

If the CM has more than one CPE interface, the vendor is required to define which of the n CPE interfaces is the primary CPE interface. The CM is permitted to have its primary CPE interface fixed during the manufacturing process, or determine it dynamically during operation based on which interface has a CPE device attached to it. Regardless of the number of CPE interfaces the CM has, or how the primary CPE interface is determined, the CM will set the primary interface to interface number 1.

The CM MAY have additional CPE interfaces fixed during the manufacturing process or determined dynamically during operation based on which interface has a CPE device attached to it. Additional CPE interface ifIndexes are described in Table 7-3.

7.1.3.3.2 ifOperStatus Relationships[16]

7.1.3.3.2.1 CmStatusValue and ifOperStatus Relationship

The CM MUST ensure that its CATV-MAC, downstream and upstream interfaces conform to the following relationships of ifOperStatus and CmStatusValue (see Annex N) when ifAdminStatus value of those interfaces is 'up':

Table 7-4 - CmStatusValue and ifOperStatus relationship

IfOperStatus	CmStatusValue
'down'	'other', 'notReady'
'dormant'	'notSynchronized', 'phySynchronized', 'usParametersAcquired', 'rangingComplete', 'dhcpV4Complete', 'dhcpV6Complete', 'todEstablished', 'configFileDownloadComplete', 'startRegistration', 'bpiInit', 'accessDenied'
'up'	'registrationComplete', 'securityEstablished', 'operational'

7.1.3.3.2.2 USB state and ifOperStatus Relationships[17]

If the CM support USB as CPE interfaces, the CM SHOULD report the value of the MIB object ifOperStatus as follows:

Table 7-5 – USB State and ifOperStatus relationship

IfOperStatus	USB states and other conditions (see [USB])
'down'	'Attached', 'Powered', 'Default', and STALL operation
'dormant'	'Suspended', 'Address'
'up'	'Configured'

[16] Section changed per OSSIv3.0-N-07.0402-1 on 5/7/07 by KN.
[17] Section added per OSSIv3.0-N-07.0402-1, #9 on 5/7/07 by KN.

7.1.3.3.3 ifAdminStatus and Traffic[18]

The CMTS MUST NOT accept or forward any traffic over an interface whose ifAdminStatus is 'down', (traffic includes data and MAC management traffic where applicable).

The CM MUST NOT accept or forward any traffic over an interface whose ifAdminStatus is 'down', (traffic includes data and MAC management traffic where applicable).

7.1.3.3.4 SNMP Notification Control Requirements[19]

If a multi-layer interface model is present in the device, each sub-layer for which there is an entry in the ifTable can generate linkUp/Down traps. Since interface state changes would tend to propagate through the interface stack (from top to bottom, or bottom to top), it is likely that several traps would be generated for each linkUp/Down occurrence. The ifLinkUpDownTrapEnable object allows managers to control SNMP notification generation, and configure only the interface sub-layers of interest.

The CMTS MUST implement the MIB object ifLinkUpDownTrapEnable specified in [RFC 2863].

The CM MUST implement the MIB object ifLinkUpDownTrapEnable specified in [RFC 2863].

For linkUp/Down events on CM DOCSIS interfaces, the CM SHOULD generate an SNMP notification for the CM MAC interface and not for any sub-layers of the interface. Therefore, the CM MUST have its default setting of ifLinkUpDownTrapEnable for the CM MAC interface set to 'enabled'. The CM MUST have its default setting of ifLinkUpDownTrapEnable for the RF-Up interface(s) set to 'disable'. The CM MUST have its default setting of ifLinkUpDownTrapEnable for the RF-Down interface(s) set to 'disabled'. The CM SHOULD have its default setting of ifLinkUpDownTrapEnable for interfaces 1 and 5 through 47 listed in Table 7-3 set to 'disabled'.

For linkUp/Down events on CMTS DOCSIS interfaces, the CMTS SHOULD generate an SNMP notification for each CMTS interface. Therefore, the CMTS MUST have its default setting of ifLinkUpDownTrapEnable for each CMTS interface (MAC, RF-Downstream(s), RF-Upstream(s)) set to 'enabled'.

7.1.3.3.5 ifTable and IfXTable Counters[20]

DOCSIS 3.0 has introduced changes in the CM and CMTS requirements for the ifTable and ifXTable [RFC 2863] interface counter objects to accommodate channel bonding.

Application of the [RFC 2863] ifTable and ifXTable MIB counter objects are done on a per-interface basis for DOCSIS 3.0 and are detailed in Table A-6 and A-7 of Annex A.2. These tables define specific SNMP Access and MIB requirements for each of the interface counters defined in [RFC 2863]. The CM MUST only count octets on the downstream and upstream interfaces. The CM MAY implement the packet counters from [RFC 2863], but when implemented on these interfaces, the counter object will return a value of zero. The CMTS MUST only count octets on the downstream and upstream interfaces (logical and physical). The CMTS MAY implement the packet counters from [RFC 2863], but when implemented on these interfaces, the counter object will return a value of zero. The CM and CMTS ethernet and MAC interfaces count both packet and octet counters. Per the requirements in [RFC 2863] Counter Size section, a given interface may support only 32-bit or 64-bit (High Capacity), or both sets of counters based on interface speed.

The CM MUST implement the ifTable and ifXTable [RFC 2863] Counter32 and Counter64 MIB objects as defined for each interface in Table A-6 and Table A-7 of Annex A.2.

[18] Section changed per OSSIv3.0-N-07.0402-1, #10 on 5/7/07 by KN.
[19] revised per OSSIv3.0-N-07.0397-2 by ab on 5/4/07 AND per OSSIv3.0-N-07.0402-1, #11 on 5/7/07 by KN. Section also revised per OSSI-N-07.0397, #1 on 5/16/07 by KN.
[20] Section added per OSSIv3.0-N-07.0402-1 #12 on 5/7/07 by KN. Revised per OSSIv3.0-N-07.0540-4 by ab on 11/6/07.

The CMTS MUST implement the ifTable and ifXTable [RFC 2863] Counter32 and Counter64 MIB objects as defined for each interface in Table A-6 and Table A-7 of Annex A.2.

7.1.3.3.6 ifSpeed and ifHighSpeed[21]

The CM MUST report in ifSpeed and ifHighSpeed MIB objects the current configured speed of the interface as stated in [RFC 2863]. See Annex A.2 for details on particular interfaces type.

The CMTS MUST report in ifSpeed and ifHighSpeed MIB objects the current configured speed of the interface as stated in [RFC 2863]. See Annex A.2 for details on particular interfaces type.

7.1.3.3.7 ifDescr[22]

7.1.3.3.7.1 IfDescr for USB interfaces

If the CM support USB as CPE interfaces, the CM MUST report the value of the MIB object ifDescr for these interfaces as follows:

USB <dbcUSB> CDC Ethernet; <any text>

<dbcUSB> corresponds to the USB version in the format JJ.M.N (JJ – major version number, M – minor version number, N – sub-minor version number). See Standard USB Descriptor Definitions from [USB] specification.

E.g., if the dbcUSB field in the USB descriptor is 0x0213, <dbcUSB> is presented in ifDescr MIb object as "2.1.3" and a value of 0x2000 in the dbcUSB field of the USB Descriptor is represented as "2.0" in ifDescr MIB object. In both cases without double quotes.

<Any text> indicates a vendor specific text.

A complete example of ifDescr for an USB device is as follows (Assume dbcUBC 0x2000) :

USB 2.0 CDC Ethernet; <any text>

7.1.3.4 Requirements for Ethernet Interface MIB [RFC 3635]

The CMTS MUST implement [RFC 3635] for each of its Ethernet interfaces.

The CMs MUST implement [RFC 3635] if Ethernet interfaces are present.

7.1.3.5 Requirements for Bridge MIB [RFC 4188][23]

The CM MUST implement the Bridge MIB [RFC 4188] to support the forwarding requirements defined in [MULPI].

If a CMTS is a Bridging CMTS, the CMTS MUST implement the Bridge MIB [RFC 4188] to manage the bridging process and represent information about the MAC Forwarder states.

The CM MUST implement a managed object (see docsDevSTPControl in [RFC 4639]) that controls the 802.1d spanning tree protocol (STP) policy in accordance with [MULPI] requirements.

[21] Section added per OSSIv3.0-N-07.0402-1, #13 on 5/7/07 by KN.
[22] Section added per OSSIv3.0-N-07.0402-1, #14 on 5/7/07 by KN.
[23] revised per OSSIv3.0-N-07.0475-3 by ab on 7/12/07, and per OSSIv3.0-N-07.0516-2 by ab on 10/15/07

If STP is enabled for the CM or CMTS, then the CM or CMTS implements the dot1dStp scalar group [RFC 4188] and optionally the dot1dStpPortTable [RFC 4188] as specified in Annex A.

7.1.3.6 Requirements for Internet Protocol MIB [RFC 4293]

The CMTS and CMs requirements for [RFC 4293] are defined in the following sections.

7.1.3.6.1 The IP Group

The CMTS MUST implement the ipv4GeneralGroup.

The CM MUST implement the ipv4GeneralGroup.

The CMTS MUST implement the ipv6GeneralGroup2.

The CM MUST implement the ipv6GeneralGroup2.

The CMTS MUST implement the ipv4InterfaceTable.

The CM MUST implement the ipv4InterfaceTable.

The CMTS MAY implement the ipSystemStatsTable.

The CM MAY implement the ipSystemStatsTable.

The CMTS MUST implement the ipIfStatsTable.

The CM MAY implement the ipIfStatsTable.

The CMTS MUST implement the ipAddressPrefixTable.

The CM MAY implement the ipAddressPrefixTable.

The CMTS MUST implement the ipAddressTable as Read-Only.

The CM MAY implement the ipAddressTable.

The CMTS MUST implement the ipNetToPhysicalTable

The CM MAY implement the ipNetToPhysicalTable

The CMTS MUST implement the ipDefaultRouterTable.

The CM MAY implement the ipDefaultRouterTable.

The CMTS MUST implement the ipv6RouterAdvertTable.

The CM MUST NOT implement the ipv6RouterAdvertTable.

7.1.3.6.2 The ICMP Group

The CMTS MUST implement the icmpStatsTable.

The CM MUST implement the icmpStatsTable.

The CMTS MUST implement the icmpMsgStatsTable.

The CM MUST implement the icmpMsgStatsTable.

7.1.3.7 Requirements for User Datagram Protocol MIB [RFC 4113][24]

The CMTS SHOULD implement the UDP-MIB [RFC 4113].

The CM MAY implement the UDP-MIB in [RFC 4113].

7.1.3.8 Requirements for Transmission Control Protocol (TCP) MIB [RFC 4022]

7.1.3.8.1 The TCP Group

The CMTS SHOULD implement the TCP group in [RFC 4022].

The CM MAY implement the TCP group in [RFC 4022].

7.1.3.9 Requirements for SNMPv2 MIB [RFC 3418]

7.1.3.9.1 The System Group

The CMTS MUST implement the System Group of [RFC 3418].

The CM MUST implement the System Group of [RFC 3418].

See Section 8.2.1 for sysDescr requirements details.

7.1.3.9.2 The SNMP Group

This group provides SNMP protocol statistics and protocol errors counters.

The CMTS MUST implement The SNMP Group from [RFC 3418].

The CM MUST implement The SNMP Group from [RFC 3418].

7.1.3.10 Requirements for Internet Group Management Protocol MIB [RFC 2933]

The CM MUST implement [RFC 2933].

Refer to Annex E for DOCSIS 3.0 IGMP-STD-MIB CM implementation details.

The CM IGMP Passive and Active Modes (see Annex E) are maintained for backward compatibility with pre-3.0 DOCSIS systems, including the support of [RFC 2933]. For CMs operating with DSID Based Forwarding enabled, the CM is not responsible for proxying or snooping Multicast traffic, thus no MGMD or RFC 2933 MIB requirements are needed on the CM. When CMs operate with DSID Based Multicast forwarding disabled, the CM is required to support RFC 2933 passive mode. The CM may support RFC 2933 Active mode per the requirements in Annex E.

7.1.3.11 Requirements for Multicast Group Membership Discovery MIB [ID MGMD]

The CMTS MUST implement [ID MGMD].

[24] Section revised per OSSIv3.0-N-07.0404-1, #2 on 5/11/07 by KN.

Refer to Annex E for DOCSIS 3.0 MGMD CMTS implementation details.

7.1.3.12 Requirements for DOCSIS Baseline Privacy Plus MIB [RFC 4131]

The CMTS MUST implement [RFC 4131].

The CMTS MUST implement the CMTS extensions to [RFC 4131] listed in Annex L.

The CM MUST implement [RFC 4131].

The CM MUST implement the CM extensions to [RFC 4131] listed in Annex L.

The CMTS MUST report values for the MIB object docsBpi2CmtsCACertTrust of either 'trusted', 'untrusted', or 'root'. The CMTS MAY persist entries with a docsBpi2CmtsCACertTrust value of 'chained' across reboots. The CMTS MUST be capable of removing entries in the docsBpi2CmtsCACertTable via SNMP by setting the row status to 'destroy'. The CMTS MUST NOT allow new entries to be created for certificates that already exist in the docsBpi2CmtsCACertTable.

The CMTS MUST persist the entries in docsBpi2CmtsProvisionedCmCertTable across reboots. The CMTS MUST be capable of removing entries in docsBpi2CmtsProvisionedCmCertTable via SNMP by setting the row status to 'destroy'. The CMTS MUST NOT allow new entries to be created for certificates that already exist in the docsBpi2CmtsProvisionedCmCertTable.

The CMTS MUST extend the MIB object docsBpi2CmtsAuthBpkmCmCertValid enumerations as follows:

```
docsBpi2CmtsAuthBpkmCmCertValid         OBJECT-TYPE
     SYNTAX    INTEGER {
                       unknown (0),
                       validCmChained (1),
                       validCmTrusted (2),
                       invalidCmUntrusted (3),
                       invalidCAUntrusted (4),
                       invalidCmOther (5),
                       invalidCAOther (6),
                       invalidCmRevoked(7),
                       invalidCARevoked(8),
                       ocspStatusUnknown(9)
                       }
     MAX-ACCESS     read-only
     STATUS    current
     DESCRIPTION
          "Contains the reason why a CM's certificate is deemed
     valid or invalid.
     Return unknown(0) if the CM is running BPI mode.
     ValidCmChained(1) means the certificate is valid
        because it chains to a valid certificate.
     ValidCmTrusted(2) means the certificate is valid
        because it has been provisioned (in the
        docsBpi2CmtsProvisionedCmCert table) to be trusted.
     InvalidCmUntrusted(3) means the certificate is invalid
        because it has been provisioned (in the
        docsBpi2CmtsProvisionedCmCert table) to be untrusted.
     InvalidCAUntrusted(4) means the certificate is invalid
        because it chains to an untrusted certificate.
     InvalidCmOther(5) and InvalidCAOther(6) refer to
        errors in parsing, validity periods, etc., which are
        attributable to the CM certificate or its chain,
        respectively; additional information may be found
        in docsBpi2AuthRejectErrorString for these types
        of errors.
     invalidCmRevoked(7) means the certificate is
       invalid as it was marked as revoked.
```

```
        invalidCARevoked(8) means the CA certificate is
          invalid as it was marked as revoked.
        ocspStatusUnknown(9) means the CM is considered trusted
          but the certificate was not verified against OCSP server
          or the server reports 'unknown'"
        REFERENCE
             "DOCSIS Security Specification CM-SP-SECv3.0-I01-060804,
              Certificate Revocation section."
        ::= { docsBpi2CmtsAuthEntry 19 }
```

7.1.3.13 Requirements for Diffie-Helman USM Key MIB [RFC 2786]

The CM MUST implement [RFC 2786].

The CMTS MAY implement [RFC 2786].

7.1.3.14 Requirements for DOCSIS Baseline Privacy MIB [RFC 3083]

The CM MUST implement [RFC 3083].

Due to the editorial error in [RFC 3083] documented in the corresponding Errata for [RFC 3083], the CM MUST use the following definition for docsBpiCmAuthState and not the definition in [RFC 3083]:

```
docsBpiCmAuthState OBJECT-TYPE
    SYNTAX INTEGER {
            start(1),
            authWait(2),
            authorized(3),
            reauthWait(4),
            authRejectWait(5)
           }
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
       "The value of this object is the state of the CM authorization FSM.
       The start state indicates that FSM is in its initial state."
    REFERENCE
        "DOCSIS Baseline Privacy Interface Specification, States Section."
    ::= { docsBpiCmBaseEntry 3 }
```

In addition, the CM MAY create new entries in the docsBpiCmTEKTable for any multicast SAID(s) it receives in Auth-Reply messages. If the CM implements multicast SAID(s) in the docsBpiCmTEKTable, the CM MUST use the multicast SAID as an index in the docsBpiCmTEKTable in the docsIfCmServiceId field. If the multicast SAID is used in the docsBpiCmTEKTable, the CM MUST NOT allow a corresponding entry in the docsIfCmServiceTable for the multicast SAID, due to the definition of the docsIfCmServiceId in the DOCS-IF-MIB.

7.1.3.15 Requirements for DOCSIS Event MIB [RFC 4547]

The CM MUST implement DOCS-IETF-CABLE-DEVICE-NOTIFICATION-MIB [RFC 4547].

The CMTS MUST implement DOCS-IETF-CABLE-DEVICE-NOTIFICATION-MIB [RFC 4547].

7.1.3.16 Requirements for SNMPv3 MIB Modules

The CM MUST implement the MIBs defined in [RFC 3411] through [RFC 3415] and [RFC 3584].

The CMTS MUST implement the MIBs defined in [RFC 3411] through [RFC 3415] and [RFC 3584].

The CM MUST support the default value of 'volatile' for any SNMPv3 object with a StorageType syntax. This overrides the default value specified in [RFC 3411] through [RFC 3415] and [RFC 3584]. The CM MUST only

accept the value of 'volatile' for any SNMPv3 object with a StorageType syntax. An attempted set to a value of 'other', 'nonVolatile', 'permanent', or 'readOnly' will result in an "inconsistentValue" error. Values other than the valid range (1-5) would result in a "wrongValue" error.

The CM SHOULD support a minimum of 30 available rows in the vacmViewTreeFamilyTable object.

The CMTS SHOULD support a minimum of 30 available rows in the vacmViewTreeFamilyTable object.

7.1.3.17 Requirements for Entity MIB [RFC 4133]

This section is a placeholder depending on the outcome of the Bonded Channel as an interface discussions currently happening in the MULPI working groups.

The CMTS MUST implement the ENTITY-MIB [RFC 4133].

7.1.3.18 Requirements for Entity Sensor MIB [RFC 3433]

The CM MAY implement the Entity Sensor MIB [RFC 3433].

The CMTS MUST implement the Entity Sensor MIB [RFC 3433].

7.1.3.19 Requirements for Host Resources MIB [RFC 2790]

The CM MUST implement the HOST-RESOURCES-MIB [RFC 2790].

The CMTS MUST implement the HOST-RESOURCES-MIB [RFC 2790]. [25]

7.1.3.20 Requirements for DOCSIS Interface Extension 2 MIB (Annex H)

The CM MUST implement DOCS-IFEXT2-MIB, as specified in Annex H.

The CMTS MUST implement DOCS-IFEXT2-MIB, as specified in Annex H.

7.1.3.21 Requirements for CableLabs Topology MIB (Annex Q)

The CMTS MUST implement CLAB-TOPO-MIB, as specified in Annex Q.

7.1.3.22 Requirements for DOCSIS Diagnostic Log MIB (Annex Q)

The CMTS MUST implement DOCS-DIAG-MIB, as specified in Annex Q.

7.1.3.23 Requirements for DOCSIS Interface 3 MIB (Annex Q)

The CMTS MUST implement the DOCS-IF3-MIB, as specified in Annex Q.

The CM MUST implement the DOCS-IF3-MIB, as specified in Annex Q.

7.1.3.24 Requirements for DOCSIS Multicast MIB (Annex Q)

The CMTS MUST implement the DOCS-MCAST-MIB, as specified in Annex Q.

[25] Text revised per OSSIv3.0-N-07.0402-1, #4 on 5/7/07 by KN.

7.1.3.25 Requirements for DOCSIS Multicast Authorization MIB (Annex Q)

The CMTS MUST implement the DOCS-MCAST-AUTH-MIB, as specified in Annex Q.

7.1.3.26 Requirements for DOCSIS Quality of Service 3 MIB (Annex Q)

The CMTS MUST implement the DOCS-QOS3-MIB, as specified in Annex Q.

The CM MUST implement the DOCS-QOS3-MIB, as specified in Annex Q.

7.1.3.27 Requirements for DOCSIS Security MIB (Annex Q)

The CMTS MUST implement the DOCS-SEC-MIB, as specified in Annex Q.

7.1.3.28 Requirements for DOCSIS Subscriber Management 3 MIB (Annex Q)

The CMTS MUST implement the DOCS-SUBMGT3-MIB, as specified in Annex Q.

7.1.3.29 Requirements for DOCSIS Load Balancing 3 MIB (Annex Q)

The CMTS MUST implement the DOCS-LOADBAL3-MIB, as specified in Annex Q.

7.1.3.30 Requirements for DOCSIS DRF MIB [M-OSSI]

The CMTS MUST implement the managed objects from DOCS-DRF-MIB [M-OSSI] specified in Annex A for all the Downstream Channel interfaces that are integrated (ifType = 'docsCableDownstream').

7.2 IPDR Service Definition Schemas

This section defines the IPDR Service Definitions required for DOCSIS 3.0. Table 7-6 lists the DOCSIS 3.0 IPDR Service Definitions, corresponding schemas, applicable device and object model specification reference. Refer to Section 6.2 for an overview of the IPDR/SP protocol and Section 8.5 for an overview of the SAMIS IPDR Service Definition. The Service Definition schemas are defined in Annex R.

Table 7-6 – DOCSIS 3.0 IPDR Service Definitions and Schemas[26]

Object Model Reference	Schema	Applicable Device(s)
Annex B	Subscriber Account Management Interface Specification (SAMIS) Service Definition: SAMIS-TYPE-1 Schema Definition: DOCSIS-SAMIS-TYPE-1_3.5.1-A.1.xsd Subscriber Account Management Interface Specification (SAMIS Optimized) Service Definition: SAMIS-TYPE-2 Schema Definition: DOCSIS-SAMIS-TYPE-2_3.5.1-A.1.xsd	CMTS only

[26] revised per OSSIv3.0-N-07.0550-2 by ab on 11/9/07.

Annex G	Diagnostic Log Service Definition: DIAG-LOG-TYPE Schema Definition: DOCSIS-DIAG-LOG-TYPE_3.5.1-A.1.xsd Service Definition: DIAG-LOG-EVENT-TYPE Schema Definition: DOCSIS-DIAG-LOG-EVENT-TYPE_3.5.1-A.1.xsd Service Definition: DIAG-LOG-DETAIL-TYPE Schema Definition: DOCSIS-DIAG-LOG-DETAIL-TYPE_3.5.1-A.1.xsd	CMTS only
Annex J	Spectrum Measurement Service Definition: SPECTRUM-MEASUREMENT-TYPE Schema Definition: DOCSIS-SPECTRUM-MEASUREMENT-TYPE_3.5.1-A.1.xsd	CMTS only
Annex N	CMTS CM Registration Status Information Service Definition: CMTS-CM-REG-STATUS-TYPE Schema Definition: DOCSIS-CMTS-CM-REG-STATUS-TYPE_3.5.1-A.1.xsd CMTS CM Upstream Status Information Service Definition: CMTS-CM-US-STATS-TYPE Schema Definition: DOCSIS-CMTS-CM-US-STATS-TYPE_3.5.1-A.1.xsd	CMTS only
Annex O	CMTS Topology Service Definition: CMTS-TOPOLOGY-TYPE Schema Definition: DOCSIS-CMTS-TOPOLOGY-TYPE_3.5.1-A.1.xsd	CMTS only
Annex P	CPE Service Definition: CPE-TYPE Schema Definition: DOCSIS-CPE-TYPE_3.5.1-A.1.xsd	CMTS only

Figure 7-3 represents the high level organization of the DOCSIS IPDR Service Definitions listed in Table 7-6. The DOCSIS IPDR Service Definitions are XML schemas derived from the IPDR Master Schema document (IPDRDoc). See Section 6.2.3.3 for details of the IPDR Master Schema. This specification names DOCSIS IPDR Service Definitions in the form of DOCSIS-<SERVICE-NAME>-TYPE (e.g., DOCSIS-SAMIS-TYPE-1, DOCSIS-DIAG-LOG-TYPE).

In addition to the conventional IPDR Service Definition models, this specification defines Object Model Schemas (Auxiliary Schemas) to represent network components being referenced by the Service Definitions themselves. For example, the DOCSIS-CMTS-INFO Auxiliary Schema offers Topology information at the Physical and MAC layer of the CMTS-CM arrangements. For the same example, a DOCSIS Service Definition (service aware) can include the object schema DOCSIS-CMTS-INFO to complete the CM-CMTS identification and to offer context for the statistics and parameters reported in the document records. This modular abstraction allows the definition of different schema documents for the same Service Definition at different elements of the collection infrastructure. Refer to Annex C for a list of Auxiliary Schemas defined for DOCSIS 3.0.

One example is the SAMIS model that supports two different models (see detailed SAMIS requirements in Annex B):

- The Service Definition Schema DOCSIS-SAMIS-TYPE-1

Each document record contains the information modeled by the Service Definition DOCSIS-CMTS-INFO. CMTS-CM related information is duplicated for each SAMIS record.

- The Service Definition Schema DOCSIS-SAMIS-TYPE-2

Each document record contains a reference to the last updated DOCSIS-CMTS-INFO, reducing the amount of data sent over the network. DOCSIS-CMTS-INFO information is sent periodically (e.g., any time an update to the CMTS-CM Status is performed). The collector system is in charge of correlating the information received from records of DOCSIS-SAMIS-TYPE-2 and DOCSIS-CMTS-INFO to re-create the equivalent record obtained when using the DOCSIS-SAMIS-TYPE-1 Service Definition schema.

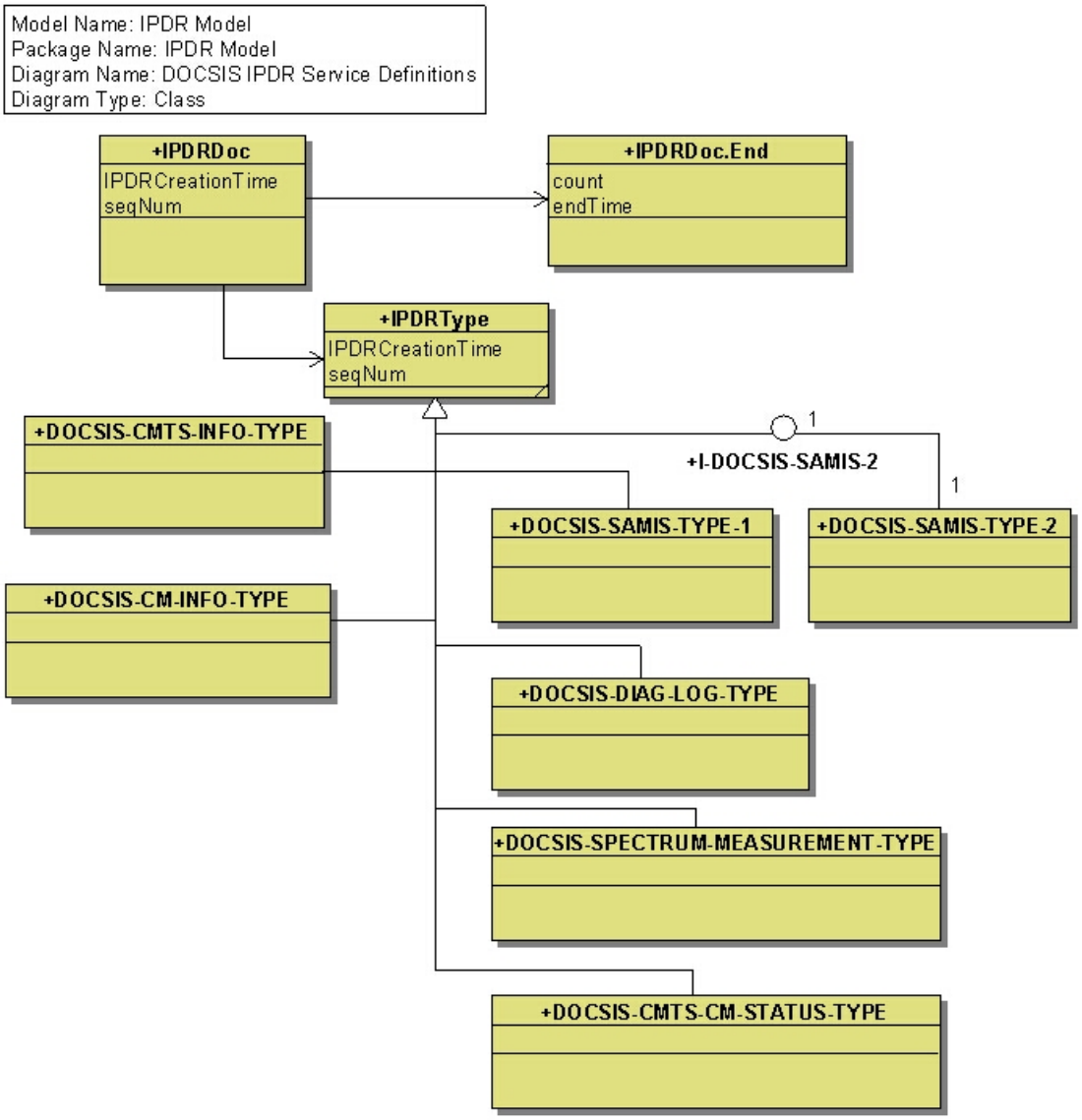


Figure 7-3 - DOCSIS IPDR Service Definition

This section defines the minimum set of objects required to support the DOCSIS 3.0 IPDR Service Definitions. The CMTS MAY define IPDR Service Definitions which extend the DOCSIS requirements to include vendor-specific features.

7.2.1 Requirements for DOCSIS SAMIS Service Definitions

The CMTS MUST implement SAMIS-TYPE-1 as specified in Annex B.

The CMTS MUST implement SAMIS-TYPE-2 as specified in Annex B.

7.2.1.1 Records Collection

Subscriber Usage Billing Records report the absolute traffic counter values for each Service Flow used by a Cable Modem or Class of Service (Subscriber) that has become active during the billing collection interval as seen at the end of the interval. It is understood that CMs registering in DOCSIS 1.0 mode are associated to SIDs and CMs that register in DOCSIS 1.1 mode are associated to SFIDs. In this section the term SFID/SID is used to refer to both cases. The collection interval is defined as the time between:

- The creation of the previous billing document denoted as Tprev.
- The creation of the current billing document denoted as Tnow.

In reference to Figure 7-4 below, there are two kinds of records reported for a SFID/SID in the current billing document: 1) SFIDs/SIDs that are still running at the time the billing document is created (called 'Interim' records) and 2) terminated SFIDs/SIDs that have been deleted and logged during the collection interval (called 'Stop' records). The CMTS MUST report 'Interim' records at the end of the collection interval. The CMTS MUST NOT record a provisioned or admitted state SF that was deleted before it became active in the billing document, even though it was logged by the CMTS.

The CMTS MUST report any currently running SFIDs/SIDs using Tnow as the timestamp for its counters and identify them in the IPDR RecType element as 'Interim'. The CMTS MUST report a terminated SFIDs/SIDs only once in the current billing document. Terminated SFIDs/SIDs have a deletion time (Tdel) later than Tprev. A CMTS MUST report a terminated SFID/SID using its Tdel from the log as the timestamp for its counters and identify it in the IPDR RecType element as 'Stop'. Note that the timestamps are based on the formatter's reporting times. Since the collection cycle may vary over time, the reporting times in the billing document can be used to construct an accurate time base over sequences of billing documents.

In the example shown in Figure 7-4 below there are four Service Flows recorded for a Subscriber in the current billing document being created at Tnow. SFa is a long running SF that was running during the previous collection interval (it has the same SFID in both the current and the previous billing documents). SFa was recorded as type Interim at Tprev in the previous billing document and is recorded again as type Interim at Tnow in the current document. SFb is a running SF that was created during the current collection interval. SFb is recorded as type Interim for the first time at Tnow in the current document. SFc is a terminated SF that was running during the previous collection interval but was deleted and logged during the current collection interval. SFc was recorded respectively as type Interim at Tprev in the previous billing document and is reported as type Stop at the logged Tdel(c) in the current document. SFd is a terminated SF that was both created and deleted during the current collection interval. SFd is reported only once as type Stop at the logged Tdel(d) in the current billing document only.

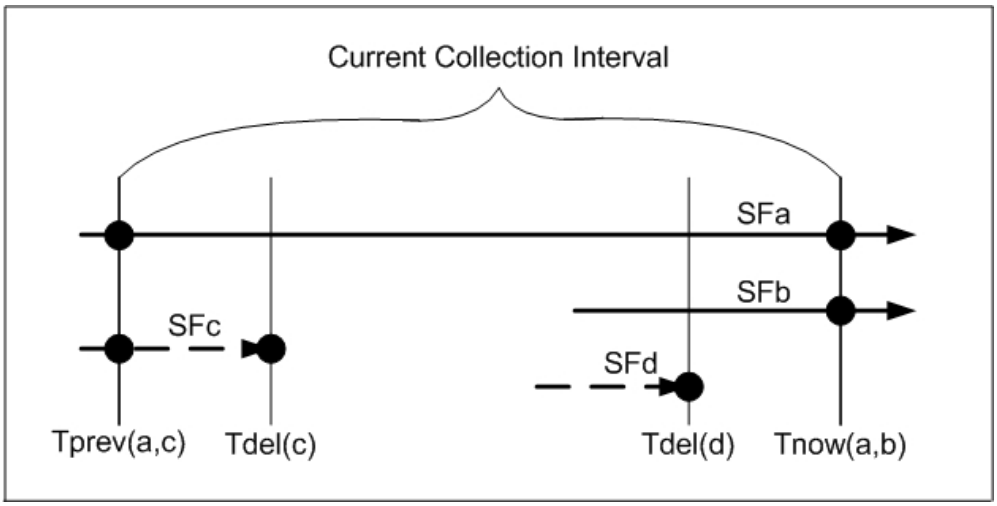


Figure 7-4 - Billing Collection Interval Example

7.2.1.2 Template Negotiation

The CMTS MAY support Template Negotiation (see Section 6.2.6.1.2.1) for the DOCSIS SAMIS Service Definitions. Refer to Appendix IV for details on the IPDR Template messages.

7.2.2 Requirements for DOCSIS Spectrum Measurement Service Definition

The CMTS MUST implement SPECTRUM-MEASUREMENT-TYPE as specified in Annex R.

7.2.2.1 Record Collection

This Service Definition defines the IPDR Streaming using a two step process:

- SNMP or other configuration management interface such as CLI is used to configure the diagnostic (i.e., create the interface and attributes; destroy the interface).
- IPDR/SP is used to stream the measurement statistics (large data set).

Spectrum Measurement records report the spectrum measurement statistics for all the pre-configured interfaces and their attributes as specified in Annex J.

These records are not reported on a schedule-based interval, they are only triggered by pre-configuration of an ad-hoc collection session. The rate at which records are streamed when only one interface is configured will not exceed the estimated time interval defined in Annex J. If more than one interface is configured, that rate can be lower than the estimated time interval defined in Annex J.

7.2.2.2 Template Negotiation

The CMTS MAY support Template Negotiation (see Section 6.2.6.1.2.1) for the DOCSIS Spectrum Measurement Service Definition. Refer to Appendix IV for details on the IPDR Template messages.

7.2.3 Requirements for DOCSIS Diagnostic Log Service Definitions

The CMTS MUST implement DIAG-LOG-TYPE as specified in Annex R.

The CMTS MUST implement DIAG-LOG-EVENT-TYPE as specified in Annex R.

The CMTS MUST implement DIAG-LOG-DETAIL-TYPE as specified in Annex R.

7.2.3.1 Record Collection

This Service Definition defines the IPDR Streaming using a two step process:

- SNMP or other configuration management interface such as CLI is used to configure the Diagnostic Log
- IPDR/SP is used to stream the Diagnostic Log instances.

Diagnostic Log record collection can be schedule-based or event-based. The CMTS can stream either type of record to a collector.

For schedule-based Diagnostic Log records, the CMTS streams a snapshot of the Diagnostic Log at the scheduled collection time.

For event-based Diagnostic Log records, the CMTS streams the record when the event is logged in the Diagnostic Log.

7.2.3.2 Template Negotiation

The CMTS MAY support Template Negotiation (see Section 6.2.6.1.2.1) for the DOCSIS Diagnostic Log Service Definition. Refer to Appendix IV for details on the IPDR Template messages.

7.2.4 Requirements for DOCSIS CMTS CM Registration Status Service Definition

The CMTS MUST implement CMTS-CM-REG-STATUS-TYPE as specified in Annex R.

7.2.4.1 Template Negotiation

The CMTS MAY support Template Negotiation (see Section 6.2.6.1.2.1) for the DOCSIS CMTS CM Registration Status Service Definition. Refer to Appendix IV for details on the IPDR Template messages.

7.2.5 Requirements for DOCSIS CMTS CM Upstream Status Service Definition

The CMTS MUST implement CMTS-CM-US-STATS-TYPE as specified in Annex R.

7.2.5.1 Template Negotiation

The CMTS MAY support Template Negotiation (see Section 6.2.6.1.2.1) for the DOCSIS CMTS CM Upstream Status Service Definition. Refer to Appendix IV for details on the IPDR Template messages.

7.2.6 Requirements for DOCSIS CMTS Topology Service Definition

The CMTS MUST implement CMTS-TOPOLOGY-TYPE as specified in Annex R.

7.2.6.1 Record Collection

This Service Definition defines the IPDR Streaming using a two step process:

- SNMP or other configuration management interface such as CLI is used to configure the topology.
- IPDR/SP is used to stream the topology information.

7.2.6.2 Template Negotiation

The CMTS MAY support Template Negotiation (see Section 6.2.6.1.2.1) for the DOCSIS CMTS Topology Service Definition. Refer to Appendix IV for details on the IPDR Template messages.

7.2.7 Requirements for DOCSIS CPE Service Definition

The CMTS MUST implement CPE-TYPE as specified in Annex R.

7.2.7.1 Template Negotiation

The CMTS MAY support Template Negotiation (see Section 6.2.6.1.2.1) for the DOCSIS CPE Service Definition. Refer to Appendix IV for details on the IPDR Template messages.

7.2.8 Requirements for Auxiliary Schemas

The CMTS MUST implement the auxiliary schemas as specified in Annex C.

8 OSSI FOR PHY, MAC AND NETWORK LAYERS

8.1 Fault Management

This section defines requirements for remote monitoring/detection, diagnosis, reporting, and correction of problems. Refer also to Section 7, OSSI MANAGEMENT OBJECTS, for requirements for managed objects supporting CMTS and CM fault management.

8.1.1 SNMP Usage[27]

In the DOCSIS environment, SNMP is used to achieve the goals of fault management: remote detection, diagnosis, reporting, and correction of CM and CMTS network faults. Therefore, the CM MUST support SNMP management traffic across the CATV MAC interfaces as long as the CM has ranged and registered. In addition, the CM MUST support SNMP management traffic across the CPE interfaces regardless of the CM's connectivity state.

The CM SNMP access might be restricted by configuration parameters to support the operator's policy goals. Cable operators' CM installation personnel can use SNMP queries from a station on the CMCI side to perform on-site CM and diagnostics and fault classification (note that this may require temporary provisioning of the CM from a local DHCP server). Further, CMCI side subscriber applications, using SNMP queries, can diagnose simple post-installation problems, avoiding visits from service personnel and minimizing help desk telephone queries.

The cable device (CMTS/CM) sends SNMP notifications to one or more NMSs (subject to operator imposed policy). CM and CMTS requirements for SNMP notifications are detailed in Section 8.1.2**.** The cable device (CMTS/CM) sends events to a syslog server. CM and CMTS requirements for syslog events are detailed in Section 8.1.2.

8.1.2 Event Notification

A cable device (CMTS/CM) is required to generate asynchronous events that indicate malfunction situations and notify about important events. Three methods for reporting events are defined by this specification. These three methods are listed below:

1. Stored in Local Log (docsDevEventTable [RFC 4639]).
2. Reported to other SNMP entities as an SNMP notification.
3. Sent as a system log (syslog) event message to a syslog server.

Event notification, implemented by a cable device (CMTS/CM), is fully configurable via the Cable Device MIB [RFC 4639], by priority level including the ability to disable SNMP notifications, syslog transmission, and Local Logging. Refer to Section 7.1.3 for Cable Device MIB implementation requirements.

A CM supports event notification functions including local event logging, syslog (limiting/throttling) and SNMP notification (limiting/throttling), as specified in [RFC 4639] and this specification. A CM operating in SNMP v1/v2c NmAccess mode is required to support SNMP trap control as specified in [RFC 4639] and this specification. A CM operating in SNMP Coexistence mode is required to supports SNMP notification functions, as specified in [RFC 3416] and [RFC 3413] and this specification.

A CMTS supports event notification functions including local event logging, SYSLOG (limiting/throttling) and SNMP notification (limiting/throttling), as specified in [RFC 4639] and this specification. If a CMTS supports SNMP v1/v2c NmAccess mode, it is required to support SNMP trap control as specified in [RFC 4639] and this specification. A CMTS operating in SNMP Coexistence mode supports event notification functions, including SNMP notification, as specified in [RFC 3416] and [RFC 3413] and this specification.

[27] Text revised per OSSIv3.0-N-07.0403-1, #1 on 5/8/07 by KN.

8.1.2.1 Format of Events

Annex D lists all DOCSIS events.

The following sections explain in detail how to report these events by any of the three mechanisms (local event logging, SNMP notification and syslog).

8.1.2.1.1 Local Event Logging[28]

A CM MUST maintain Local Log events, defined in Annex D, in both local-volatile storage and local non volatile storage. A CMTS MUST maintain Local Log events, defined in Annex D, in local-volatile storage or local non volatile storage or both. A CMTS MAY retain in local non-volatile storage events designated for local volatile storage. A CM MAY retain in local non-volatile storage events designated for local volatile storage. A CMTS MAY retain in local volatile storage events designated for local non-volatile storage. A CM MAY retain in local volatile storage events designated for local non-volatile storage.

A CM MUST implement its Local Log as a cyclic buffer with a minimum of ten entries. A CMTS MUST implement its Local Log as a cyclic buffer. The number of entries supported by the CMTS for the Local Log is vendor specific with a minimum of ten entries. The CM Local Log non-volatile storage events MUST persist across reboots. The CMTS Local Log MAY persist across reboots. The CM MUST provide access to the Local Log events through the docsDevEventTable [RFC 4639]. The CMTS MUST provide access to the Local Log events through the docsDevEventTable [RFC 4639].

Aside from the procedures defined in this document, event recording conforms to the requirements of [RFC 4639]. Event descriptions are defined in English. A CM MUST implement event descriptors such that no event descriptor is longer than 255 characters, which is the maximum defined for SnmpAdminString [RFC 3411]. A CMTS MUST implement event descriptors such that no event descriptor is longer than 255 characters, which is the maximum defined for SnmpAdminString [RFC 3411].

Events are identical if their EventIds are identical. For identical events occurring consecutively, the CM MAY choose to store only a single event. If a CM stores as a single event multiple identical events that occur consecutively, the CM MUST reflect in the event description the most recent event.

The EventId digit is a 32-bit unsigned integer. EventIds ranging [RFC 4639] from 0 to (231 - 1) are reserved by DOCSIS. The CM MUST report in the docsDevEvTable [RFC 4639] the EventId as a 32-bit unsigned integer and convert the EventId from the error codes defined in Annex D to be consistent with this number format. The CMTS MUST report in the docsDevEvTable [RFC 4639] the EventId as a 32-bit unsigned integer and convert the EventId from the error codes defined in Annex D to be consistent with this number format.

The CM MUST implement EventIds ranging from 231 to (232 – 1) as vendor-specific EventIds using the following format:

- Bit 31 is set to indicate vendor-specific event
- Bits 30-16 contain the lower 15 bits of the vendor's SNMP enterprise number
- Bits 15-0 are used by the vendor to number events

The CMTS MUST implement EventIds ranging from 231 to (232 – 1) as vendor-specific EventIds using the following format:

- Bit 31 is set to indicate vendor-specific event
- Bits 30-16 contain the lower 15 bits of the vendor's SNMP enterprise number

[28] revised per OSSIv3.0-N-07.0477-2 by ab on 7/12/07.

- Bits 15-0 are used by the vendor to number events

Section 8.1.2.1.3 describes rules to generate unique EventIds from the error code.

The [RFC 4639] docsDevEvIndex object provides relative ordering of events in the log. The creation of local-volatile and local non volatile logs necessitates a method for synchronizing docsDevEvIndex values between the two Local Logs after reboot. The CM MUST adhere to the rules listed below for creating local volatile and local non-volatile logs following a re-boot. A CMTS which supports local non volatile storage MUST adhere to the rules listed below for creating local volatile and local non-volatile logs following a re-boot:

- Renumber the values of docsDevEvIndex maintained in the local non-volatile log beginning with 1.
- Initialize the local volatile log with the contents of the local non-volatile log.
- Use the value of the last restored non-volatile docsDevEvIndex plus one as the docsDevEvIndex for the first event recorded in the new active session's local volatile log.

The CM MUST clear both the local volatile and local non-volatile event logs when an event log reset is initiated through an SNMP SET of the docsDevEvControl object [RFC 4639]. The CMTS MUST clear both the local volatile and local non-volatile event logs when an event log reset is initiated through an SNMP SET of the docsDevEvControl object [RFC 4639].

8.1.2.1.2 SNMP Notifications[29]

A CM MUST implement the generic SNMP notifications according to Table A-3. A CMTS MUST implement the generic SNMP notifications according to Table A-3:

When any event causes a generic SNMP notification occurrence in the CM, the CM MUST send notifications if throttling/limiting mechanisms defined in [RFC 4639] and other limitations [RFC 3413] do not restrict notification sending.

When any event causes a generic SNMP notification occurrence in a CMTS, the CMTS MUST send notifications if throttling/limiting mechanism [RFC 4639] and other limitations [RFC 3413] do not restrict notification sending.

A CM MUST implement SNMP notifications defined in the DOCS-IETF-CABLE-DEVICE-NOTIFICATION-MIB [RFC 4547]. A CMTS MUST implement SNMP notifications defined in the DOCS-IETF-CABLE-DEVICE-NOTIFICATION-MIB [RFC 4547]. A CMTS MUST implement SNMP notifications defined in DOCS-DIAG-MIB Annex Q.

A CM operating in SNMP v1/v2c NmAccess mode MUST support SNMPv1 and SNMPv2c Traps as defined in [RFC 3416].

A CMTS operating in SNMP v1/v2c NmAccess mode MUST support SNMPv1 and SNMPv2c Traps as defined in [RFC 3416].

A CM operating in SNMP Coexistence mode MUST support SNMP notification type 'trap' and 'inform' as defined in [RFC 3416] and [RFC 3413].

A CMTS operating in SNMP Coexistence mode MUST support SNMP notification type 'trap' and 'inform' as defined in [RFC 3416] and [RFC 3413].

When an SNMP notification, which defined in [RFC 4547] and controlled by docsDevCmNotifControl object of this MIB is enabled in a CM, the CM MUST send notifications for any event, if docsDevEvControl object [RFC 4639],

[29] Updated per OSSIv3.0-N-07.0511-2 by ab on 10/11/07.

throttling/limiting mechanism [RFC 4639] and [RFC 3413] limitations applied later do not restrict notification sending. [30]

When an SNMP notification, which defined in [RFC 4547] and controlled by docsDevCmtsNotifControl object of this MIB is enabled in a CMTS, the CMTS MUST send notifications for any event, if docsDevEvControl object [RFC 4639], throttling/limiting mechanism [RFC 4639] and [RFC 3413] limitations applied later do not restrict notification sending.

The CM MUST NOT report via SNMP notifications vendor-specific events that are not described in instructions submitted with certification testing application documentation. The CMTS MUST NOT report via SNMP notifications vendor-specific events that are not described in instructions submitted with certification testing application documentation.

8.1.2.1.3 Syslog message format

When the CM sends a syslog message for a DOCSIS-defined event, the CM MUST send it in the following format:

```
<level>CABLEMODEM[vendor]: <eventId> text vendor-specific-text
```

When the CMTS sends a syslog message for a DOCSIS-defined event, the CMTS MUST send it in the following format:

```
<level>TIMESTAMP HOSTNAME CMTS[vendor]: <eventId> text vendor-specific-text
```

Where:

- *level* is an ASCII representation of the event priority, enclosed in angle brackets, which is constructed as an OR of the default Facility (128) and event priority (0-7). The resulting level ranges between 128 and 135.
- *TIMESTAMP* and *HOSTNAME* follow the format of [RFC 3164]. The single space after *TIMESTAMP* is part of the *TIMESTAMP* field. The single space after *HOSTNAME* is part of the *HOSTNAME* field.
- *vendor* is the vendor name for the vendor-specific syslog messages or DOCSIS for the standard DOCSIS messages.
- *eventId* is an ASCII representation of the INTEGER number in decimal format, enclosed in angle brackets, which uniquely identifies the type of event. The CM MUST equate the eventId with the value stored in the docsDevEvId object in docsDevEventTable. The CMTS MUST equate the eventId with the value stored in the docsDevEvId object in docsDevEventTable. For the standard DOCSIS events this number is converted from the error code using the following rules:
  - The number is an eight-digit decimal number.
  - The first two digits (left-most) are the ASCII code for the letter in the Error code.
  - The next four digits are filled by 2 or 3 digits between the letter and the dot in the Error code with zero filling in the gap in the left side.
  - The last two digits are filled by the number after the dot in the Error code with zero filling in the gap in the left side.

  For example, event D04.2 is converted into 68000402, and Event I114.1 is converted into 73011401. This convention only uses a small portion of available number space reserved for DOCSIS (0 to 2^{31}-1). The first letter of an error code is always in upper-case. See Annex D for event definitions.
- *text* contains the textual description for the standard DOCSIS event message, as defined in Annex D.
- *vendor-specific-text* contains vendor specific information. This field is optional.

[30] docsDevCmtsTrapControl changed to docsDevCmtsNotifControl in this para and following para per OSSIv3.0-N-07.0442-3, 5/9/07, PO

For example, the syslog event for the event D04.2, "ToD Response received - Invalid data format", is as follows:

```
<132>CABLEMODEM[DOCSIS]: <68000402> ToD Response received - Invalid data format
```

The number 68000402 in the example is the number assigned by DOCSIS to this particular event.

The CM MAY report non-DOCSIS events in the standard syslog message format [RFC 3164] rather than the DOCSIS syslog message format defined above.

The CMTS MAY report non-DOCSIS events in the standard syslog message format [RFC 3164] rather than the DOCSIS syslog message format defined above.

When the CM sends a syslog message for an event not defined in this specification, the CM MAY send it according to the format and semantics of the elements defined above.

When the CMTS sends a syslog message for an event not defined in this specification, the CMTS MAY send it according to the format and semantics of the elements defined above.

8.1.2.2 BIT Values for docsDevEvReporting [RFC 4639]

Permissible BIT values for [RFC 4639] docsDevEvReporting objects include:

1: local(0)

2: traps(1)

3: syslog(2)

4: localVolatile(8)

5: stdInterface(9)

Bit-0 means non-volatile Local Log storage and bit-8 is used for volatile Local Log storage (see 8.1.2.1). Bit-1 means SNMP Notifications which correspond to both SNMP Trap and SNMP Inform.

For backward compatibility with Pre-3.0 DOCSIS devices, the CM MUST support bit-3 in docsDevEvReporting BITS encoding for volatile Local Log storage.

For backward compatibility with Pre-3.0 DOCSIS devices, the CMTS MUST support bit-3 in docsDevEvReporting BITS encoding for volatile Local Log storage.

DOCSIS 3.0 devices need to support bit override mechanisms during SNMP SET operations with either one-byte or two-byte BITS encoding for docsDevEvReporting for backward compatibility with Pre-3.0 DOCSIS behavior.

The CM MUST use the bit-3 value to set both bit-3 and bit-8 for SNMP SET operations on docsDevEvReporting using a one-byte BITS encoded value. Therefore, the CM reports bit-3 and bit-8 with identical values for SNMP GET operations.

The CMTS MUST use the bit-3 value to set both bit-3 and bit-8 for SNMP SET operations on docsDevEvReporting using a one-byte BITS encoded value, therefore, the CMTS reports bit-3 and bit-8 with identical values for SNMP GET operations.

The CM MUST use the bit-8 value to set both bit-3 and bit-8 for SNMP SET operations, irrespective of the bit-3 value, on docsDevEvReporting using a two or more byte BITS encoded value.

The CMTS MUST use the bit-8 value to set bit-3 and bit-8 for SNMP SET operations, irrespective of the bit-3 value, on docsDevEvReporting using a two or more byte BITS encoded value.

The CM MAY support bit-9 in docsDevEvReporting BITS encoding in accordance with [RFC 4639] definition.

The CMTS MAY support bit-9 in docsDevEvReporting BITS encoding in accordance with [RFC 4639] definition.

A CM that reports an event by SNMP Notification or syslog MUST also report the event by a Local Log (volatile or non-volatile).

A CMTS that reports an event by SNMP Notification or syslog MUST also report the event by a Local Log (volatile or non-volatile).

Combinations of docsDevEvReporting with traps(1) and/or syslog(2) bits with no Local Log bits (bit-0, bit-3 or bit-8) set are known as unacceptable combinations.

The CM MUST reject and report a 'Wrong Value' error for SNMPv2c/v3 PDUs or a 'Bad Value' error for SNMPv1 PDUs for any attempt to set docsDevEvReporting with unacceptable combinations.

The CM MUST accept any SNMP SET operation to docsDevEvReporting different than the unacceptable combinations.

The CM MUST ignore any undefined bits in docsDevEvReporting on SNMP SET operations and report a zero value for those bits.

The CMTS MUST reject and report a 'Wrong Value' error for SNMPv2c/v3 PDUs or a 'Bad Value' error for SNMPv1 PDUs for any attempt to set docsDevEvReporting with unacceptable combinations.

The CMTS MUST accept any SNMP SET operation to docsDevEvReporting different than the unacceptable combinations.

The CMTS MUST ignore any undefined bits in docsDevEvReporting on SNMP SET operations and report a zero value for those bits.

Refer to Section 8.1.2.1.1 for details on Local Log requirements for the CMTS and CM.

The CM MUST maintain the non-volatile storage when both non-volatile Local Log and volatile Local Log bits are set for a specific docsDevEvReporting event priority. The CM MAY maintain the volatile storage when both non-volatile Local Log and volatile Local Log bits are set for a specific docsDevEvReporting event priority. When both non-volatile Local Log and volatile Local Log bits are set for a specific docsDevEvReporting event priority, the CM MUST NOT report duplicate events in the docsDevEventTable.

If CMTS supports both volatile and non-volatile storage, the CMTS MUST maintain the non-volatile storage when both non-volatile Local Log and volatile Local Log bits are set for a specific docsDevEvReporting event priority. If CMTS supports both volatile and non-volatile storage, the CMTS MAY maintain the volatile storage when both non-volatile Local Log and volatile Local Log bits are set for a specific docsDevEvReporting event priority. When both non-volatile Local Log and volatile Local Log bits are set for a specific docsDevEvReporting event priority, the CMTS MUST NOT report duplicate events in the docsDevEventTable.

8.1.2.3 Standard DOCSIS events for CMs

The DOCS-CABLE-DEVICE-MIB [RFC 4639] defines 8 priority levels and a corresponding reporting mechanism for each level.

Emergency event (priority 1)
Reserved for vendor-specific 'fatal' hardware or software errors that prevents normal system operation and causes the reporting system to reboot.

Every vendor may define their own set of emergency events. Examples of such events might be 'no memory buffers available', 'memory test failure', etc.

Alert event (priority 2)
A serious failure, which causes the reporting system to reboot, but it is not caused by hardware or software malfunctioning.

Critical event (priority 3)
A serious failure that requires attention and prevents the device from transmitting data, but could be recovered without rebooting the system. Examples of such events might be configuration file problems detected by the modem or the inability to get an IP address from the DHCP server.

Error event (priority 4)
A failure occurred that could interrupt the normal data flow, but will not cause the modem to re-register. Error events could be reported in real time by using the trap or syslog mechanism.

Warning event (priority 5)
A failure occurred that could interrupt the normal data flow, but will not cause the modem to re-register. 'Warning' level is assigned to events that both CM and CMTS have information about. To prevent sending the same event, both from the CM and the CMTS, the trap and syslog reporting mechanism is disabled by default for the CM for this level.

Notice event (priority 6)
The event is important, but is not a failure and could be reported in real time by using the trap or syslog mechanism. For a CM, an example of a Notice event is any event from 'SW UPGRADE SUCCESS' group.

Informational event (priority 7)
The event is of marginal importance, and is not failure, but could be helpful for tracing the normal modem operation.

Debug event (priority 8)
Reserved for vendor-specific non-critical events.

During CM initialization or reinitialization, the CM MUST support, as a minimum, the default event reporting mechanism shown in Table 8-1.

The CM MAY implement default reporting mechanisms above the minimum requirements listed in Table 8-1.

The reporting mechanism for each priority could be changed from the default reporting mechanism by using docsDevEvReporting object of DOCS-CABLE-DEVICE-MIB [RFC 4639].

The CM MUST populate the code of an event (as defined in Annex D) with Critical or Alert event priority through the docsIfCmStatusCode SNMP object of DOCS-IF-MIB [RFC 4546] before it recovers from the event. The CM MUST persist the docsIfCmStatusCode across system reinitializations.

Table 8-1 - CM default event reporting mechanism versus priority

Event Priority	Local Log Non-volatile	SNMP Notification	Syslog	Local Log Volatile
Emergency	Yes	No	No	No

Alert	Yes	No	No	No
Critical	Yes	No	No	No
Error	No	Yes	Yes	Yes
Warning	No	No	No	Yes
Notice	No	Yes	Yes	Yes
Informational	No	No	No	No
Debug	No	No	No	No

The CM MUST format notifications that it generates for standard DOCSIS events as specified in Annex D.

8.1.2.4 Standard DOCSIS events for CMTS

CMTSs use the same levels of the event priorities as a CM (see Section 8.1.2.3); however, the priority definition of the events is different. Events with the priority level of 'Warning' and less, specify problems that could affect the individual user (for example, individual CM registration problem).

Every CMTS vendor may define their own set of 'Alert' events.

Priority level of 'Error' indicates problems with a group of CMs (for example CMs that share same upstream channel).

Priority level of 'Critical' indicates a problem that affects the whole cable system operation, but is not a faulty condition of the CMTS device.

Priority level of 'Emergency' is vendor-specific and indicates problems with the CMTS hardware or software, which prevents CMTS operation.

During CMTS initialization or reinitialization, the CMTS MUST support, as a minimum, the default event reporting mechanism shown in Table 8-2 or Table 8-3 or Table 8-4.

The CMTS MAY implement default reporting mechanisms above the minimum requirements listed in Table 8-2 or Table 8-3 or Table 8-4 with the exception of the 'Debug' priority level.

The reporting mechanism for each priority could be changed from the default reporting mechanism by using docsDevEvReporting object of DOCS-CABLE-DEVICE-MIB [RFC 4639].

Table 8-2 - CMTS default event reporting mechanism versus priority (non-volatile Local Log support only)

Event Priority	Local Log Non-volatile	SNMP Notification	Syslog	Local Log Volatile
Emergency	Yes	No	No	Not Used
Alert	Yes	No	No	Not Used
Critical	Yes	Yes	Yes	Not Used
Error	Yes	Yes	Yes	Not Used
Warning	Yes	Yes	Yes	Not Used
Notice	Yes	Yes	Yes	Not Used
Informational	No	No	No	Not Used

Event Priority	Local Log Non-volatile	SNMP Notification	Syslog	Local Log Volatile
Debug	No	No	No	Not Used

Table 8-3 - CMTS default event reporting mechanism versus priority (volatile Local Log support only)

Event Priority	Local Log Non-volatile	SNMP Notification	Syslog	Local Log Volatile
Emergency	Not Used	No	No	Yes
Alert	Not Used	No	No	Yes
Critical	Not Used	Yes	Yes	Yes
Error	Not Used	Yes	Yes	Yes
Warning	Not Used	Yes	Yes	Yes
Notice	Not Used	Yes	Yes	Yes
Informational	Not Used	No	No	No
Debug	Not Used	No	No	No

Table 8-4 - CMTS default event reporting mechanism versus priority

Event Priority	Local Log Non-volatile	SNMP Notification	Syslog	Local Log Volatile
Emergency	Yes	No	No	No
Alert	Yes	No	No	No
Critical	Yes	Yes	Yes	No
Error	No	Yes	Yes	Yes
Warning	No	Yes	Yes	Yes
Notice	No	Yes	Yes	Yes
Informational	No	No	No	No
Debug	No	No	No	No

The CMTS MUST format notifications for standard DOCSIS events as specified in Annex D.

8.1.2.5 Event Priorities for DOCSIS and Vendor Specific Events

A CM MUST assign DOCSIS and vendor specific events as indicated in Table 8-5.

A CMTS MUST assign DOCSIS and vendor specific events as indicated in Table 8-5.

Table 8-5 - Event Priorities Assignment for CMs and CMTS

Event Priority	CM Event Assignment	CMTS Event Assignment
Emergency	Vendor Specific	Vendor Specific
Alert	DOCSIS and Vendor Specific (optional*)	Vendor Specific

Critical	DOCSIS and Vendor Specific (optional*)	DOCSIS and Vendor Specific (optional*)
Error	DOCSIS and Vendor Specific (optional*)	DOCSIS and Vendor Specific (optional*)
Warning	DOCSIS and Vendor Specific (optional*)	DOCSIS and Vendor Specific (optional*)
Notice	DOCSIS and Vendor Specific (optional*)	DOCSIS and Vendor Specific (optional*)
Informational	DOCSIS and Vendor Specific (optional*)	DOCSIS and Vendor Specific (optional*)
Debug	Vendor Specific	Vendor Specific
Note*: Vendor-specific optional event definitions are recommended only where the CM/CMTS allows for sufficient storage of such events.		

8.1.3 Throttling, Limiting and Priority for Event, Trap and Syslog

8.1.3.1 Trap and Syslog Throttling, Trap and Syslog Limiting

A CM MUST support SNMP TRAP/INFORM and syslog throttling and limiting as described in DOCS-CABLE-DEVICE-MIB [RFC 4639], regardless of SNMP mode. A CMTS MUST support SNMP TRAP/INFORM and syslog throttling and limiting as described in DOCS-CABLE-DEVICE-MIB [RFC 4639], regardless of SNMP mode.

8.1.4 SNMPv3 Notification Receiver config file TLV

This section specifies processing requirements for the SNMPv3 Notification Receiver TLV [MULPI] when present in the configuration file. The SNMPv3 Notification Receiver TLV is used to configure SNMPv3 tables for notification transmission. The CM MUST process the SNMPv3 Notification Receiver TLV only if the CM is in SNMPv3 Coexistence Mode.

Based on the SNMPv3 Notification Receiver TLV, the CM MUST create entries in the following tables in order to cause the desired trap transmission:

- snmpNotifyTable
- snmpTargetAddrTable
- snmpTargetParamsTable
- snmpNotifyFilterProfileTable
- snmpNotifyFilterTable
- snmpCommunityTable
- usmUserTable
- vacmContextTable
- vacmSecurityToGroupTable
- vacmAccessTable
- vacmViewTreeFamilyTable

The CM MUST not set to 'active' an entry created using the SNMPv3 Notification Receiver TLV (see the Common Radio Frequency Interface Encodings Annex of [MULPI]) which does not satisfy the corresponding [RFC 3413] requirements to do so. This type of misconfiguration doesn't stop the CM from registering, however the SNMP notification process may not work as expected.

The mapping from the TLV to these tables is described in the following section.

8.1.4.1 Mapping of TLV fields into created SNMPv3 table rows[31]

The following sections illustrate how the fields from the config file SNMPv3 Notification Receiver TLV elements are placed into the SNMPv3 tables. The TLV fields are shown below as:

Table 8-6 - SNMPv3 Notification Receiver TLV Mapping

Sub-TLVs	Variable Name	Associated MIB Object
SNMPv3 Notification Receiver IPv4 Address	*TAddress*	snmpTargetAddrTAddress [RFC 3413]
SNMPv3 Notification Receiver IPv6 Address	*TAddress*	snmpTargetAddrTAddress [RFC 3413]
SNMPv3 Notification Receiver UDP Port Number	*Port*	snmpTargetAddrTAddress [RFC 3413]
SNMPv3 Notification Receiver Trap Type	*TrapType*	see following sections
SNMPv3 Notification Receiver Timeout	*Timeout*	snmpTargetAddrTimeout [RFC 3413]
SNMPv3 Notification Receiver Retries	*Retries*	snmpTargetAddrRetryCount [RFC 3413]
SNMPv3 Notification Receiver Filtering Parameters	*FilterOID*	see following sections
SNMPv3 Notification Receiver Security Name	*SecurityName*	see following sections

The variable names from Table 8-6 are defined as follows:

<*TAddress*> A 32-bit IPv4 or IPv6 address of a notification receiver

<*Port*> A 16-bit UDP Port number on the notification receiver to receive the notifications

<*TrapType*> Defines the notification type as explained above

<*Timeout*> 16-bit timeout, in milliseconds to wait before sending a retry of an Inform Notification

<*Retries*> 16-bit number of times to retry an Inform after the first Inform transmission

<*FilterOID*> The OID of the snmpTrapOID value that is the root of the MIB subtree that defines all of the notifications to be sent to the Notification Receiver.

<*SecurityName*> The security name specified on the TLV element, or "@config" if not specified.

Table 8-7 through Table 8-18 are shown in the order that the agent will search down through them when a notification is generated in order to determine to whom to send the notification, and how to fill out the contents of the notification packet.

In configuring entries in these SNMPv3 tables, note the following:

The Community Name for traps in SNMPv1 and SNMPv2 packets is configured as "public". The Security Name in traps and informs in SNMPv3 packets where no security name has been specified is configured as "@config", in which case the security level is "noAuthNoPriv".

Several columnar objects are configured with a value beginning with the string "@config". If these tables are configured through other mechanisms, network operators should not use values beginning with "@config" to avoid conflicts with the mapping process specified here.

[31] Section revised per OSSIv3.0-N-07.0435-2, #1 on 5/10/07 by KN.

8.1.4.1.1 snmpNotifyTable

The snmpNotifyTable is defined in the "Notification MIB Module" section of [RFC 3413].

The CM MUST create two rows with fixed values if one or more SNMPv3 Notification Receiver TLV elements are present in the config file.

Table 8-7 - snmpNotifyTable

Column Name (* = Part of Index)	1st Row Column Value	2nd Row Column Value
* snmpNotifyName	"@config_inform"	"@config_trap"
snmpNotifyTag	"@config_inform"	"@config_trap"
snmpNotifyType	inform (2)	trap (1)
snmpNotifyStorageType	volatile (2)	volatile (2)
snmpNotifyRowStatus	active (1)	active (1)

8.1.4.1.2 snmpTargetAddrTable

The snmpTargetAddrTable is defined in the "Definitions" section of [RFC 3413].

The CM MUST create one row in snmpTargetAddrTable for each of either SNMPv3 Notification Receiver Ipv4 Address encoding, SNMPv3 Notification Receiver IPv6 Address encoding of SNMPv3 Notification Receiver TLV in the config file.

Thus, two entries are created in this table if both SNMPv3 Notification Receiver IPv4 Address and SNMPv3 Notification Receiver IPv6 Address sub-TLVs are included in the same TLV. All other parameters are the same.

Table 8-8 - snmpTargetAddrTable

Column Name (* = Part of Index)	Column Value
* snmpTargetAddrName	"@config_n_IPv[4 | 6]" where n is 0..m-1 and m is the number of SNMPv3 Notification Receiver config file TLVs @config_n_IPv4 is for an entry created if SNMPv3 Notification Receiver config file TLV contains <TrapType> of TDomain SnmpUDPAddress @config_n_IPv6 is for an entry created if SNMPv3 Notification Receiver config file TLV contains <TrapType> of TDomain TransportAddressIPv6
snmpTargetAddrTDomain	IPv4: snmpUDPDomain [RFC 3417] IPv6: transportDomainUdpIpv6 [RFC 3419]
snmpTargetAddrTAddress (IP Address and UDP Port of the Notification Receiver)	IPv4: SnmpUDPAddress [RFC 3417] OCTET STRING (6) Octets 1-4: <*TAddress*> Octets 5-6: <*Port*> IPv6: TransportAddressIPv6 [RFC 3419] OCTET STRING (18) Octets 1-16: <*TAddress*> Octets 17-18: <*Port*>
snmpTargetAddrTimeout	<*Timeout*>
snmpTargetAddrRetryCount	<*Retries*>

snmpTargetAddrTagList	"@config_trap" if <*TrapType*> is 1, 2, or 4 "@config_inform" if <*TrapType*> is 3 or 5,
snmpTargetAddrParams	"@config_n"
snmpTargetAddrStorageType	volatile (2)
snmpTargetAddrRowStatus	active (1)

8.1.4.1.3 snmpTargetAddrExtTable

The snmpTargetAddrExtTable is defined in the "SNMP Community MIB Module" section of [RFC 3584].

The CM MUST create one row in snmpTargetAddrExtTable for each SNMPv3 Notification Receiver TLV in the config file.

Table 8-9 - snmpTargetAddrExtTable

Column Name (* = Part of Index)	Column Value
* snmpTargetAddrName	"@config_n_IPv[4 | 6]" where n is 0..m-1 and m is the number of SNMPv3 Notification Receiver config file TLVs (see Table 8-8 for details).
snmpTargetAddrTMask	<Zero-length OCTET STRING>
snmpTargetAddrMMS	SM Maximum Message Size

8.1.4.1.4 snmpTargetParamsTable

The snmpTargetParamsTable is defined in the "Definitions" section of [RFC 3413].

The CM MUST create one row in snmpTargetParamsTable for each SNMPv3 Notification Receiver TLV in the config file.

Table 8-10 - snmpTargetParamsTable

Column Name (* = Part of Index)	Column Value
* snmpTargetParamsName	"@config_n" where n is 0..m-1 and m is the number of SNMPv3 Notification Receiver config file TLVs
snmpTargetParamsMPModel SYNTAX: SnmpMessageProcessingModel	SNMPv1 (0) if <*TrapType*> is 1 SNMPv2c (1) if <*TrapType*> is 2 or 3 SNMPv3 (3) if <*TrapType*> is 4 or 5
snmpTargetParamsSecurityModel SYNTAX: SnmpSecurityModel	SNMPv1 (1) if <*TrapType*> is 1 SNMPv2c (2) if <*TrapType*> is 2 or 3 USM (3) if <*TrapType*> is 4 or 5 **NOTE:** The mapping of SNMP protocol types to value here are different from snmpTargetParamsMPModel

snmpTargetParamsSecurityName	If <*TrapType*> is 1, 2, or 3, or if the <*Security Name*> field is zero-length: "@config" If <*TrapType*> is 4 or 5, and the <*Security Name*> field is non-zero length: <*SecurityName*>
snmpTargetParamsSecurityLevel	If <*TrapType*> is 1, 2, or 3, or if the <*Security Name*> field is zero-length: noAuthNoPriv (1) If <*TrapType*> is 4 or 5, and the <*Security Name*> field is non-zero length: The security level of <*SecurityName*>
snmpTargetParamsStorageType	volatile (2)
snmpTargetParamsRowStatus	active (1)

8.1.4.1.5 snmpNotifyFilterProfileTable

The snmpNotifyFilterProfileTable is defined in the "Notification MIB Module" section of [RFC 3413].

The CM MUST create one row in snmpNotifyFilterProfileTable for each SNMPv3 Notification Receiver TLV that has a non-zero <*FilterOID*>.

Table 8-11 - snmpNotifyFilterProfileTable

Column Name (* = Part of Index)	Column Value
* snmpTargetParamsName	"@config_n" where n is 0..m-1 and m is the number of SNMPv3 Notification Receiver config file TLVs
snmpNotifyFilterProfileName	"@config_n" where n is 0..m-1 and m is the number of SNMPv3 Notification Receiver config file TLVs
snmpNotifyFilterProfileStorType	volatile (2)
snmpNotifyFilterProfileRowStatus	active (1)

8.1.4.1.6 snmpNotifyFilterTable

The snmpNotifyFilterTable is defined in the "Notification MIB Module" section of [RFC 3413].

The CM MUST create one row in snmpNotifyFilterTable for each SNMPv3 Notification Receiver TLV that has a non-zero <*FilterOID*>.

Table 8-12 - snmpNotifyFilterTable

Column Name (* = Part of Index)	Column Value
* snmpNotifyFilterProfileName	"@config_n" where n is 0..m-1 and m is the number of SNMPv3 Notification Receiver config file TLVs
* snmpNotifyFilterSubtree	<*FilterOID*>
snmpNotifyFilterMask	<Zero-length OCTET STRING>

snmpNotifyFilterType	included (1)
snmpNotifyFilterStorageType	volatile (2)
snmpNotifyFilterRowStatus	active (1)

8.1.4.1.7 snmpCommunityTable

The snmpCommunityTable is defined in the "SNMP Community MIB Module" section of [RFC 3584].

The CM MUST create one row in snmpCommunityTable with fixed values if one or more SNMPv3 Notification Receiver TLVs are present in the config file. This causes SNMPv1 and v2c notifications to contain the community string in snmpCommunityName.

Table 8-13 - snmpCommunityTable

Column Name (* = Part of Index)	Column Value
* snmpCommunityIndex	"@config"
snmpCommunityName	"public"
snmpCommunitySecurityName	"@config"
snmpCommunityContextEngineID	<the engineID of the cable modem>
snmpCommunityContextName	<Zero-length OCTET STRING>
snmpCommunityTransportTag	<Zero-length OCTET STRING>
snmpCommunityStorageType	volatile (2)
snmpCommunityStatus	active (1)

8.1.4.1.8 usmUserTable

The usmUserTable is defined in the "Definitions" section of [RFC 3414].

The CM MUST create one row in usmUserTable with fixed values if one or more SNMPv3 Notification Receiver TLVs are present in the config file. Other rows are created, one each time the engine ID of a trap receiver is discovered. This specifies the user name on the remote notification receivers to which notifications are to be sent.

One row in the usmUserTable is created. When the engine ID of each notification receiver is discovered, the agent copies this row into a new row and replaces the 0x00 in the usmUserEngineID column with the newly-discovered value.

Table 8-14 - usmUserTable

Column Name (* = Part of Index)	Column Value
* usmUserEngineID	0x00
* usmUserName	"@config" When other rows are created, this is replaced with the <*SecurityName*> field from the SNMPv3 Notification Receiver config file TLV.
usmUserSecurityName	"@config" When other rows are created, this is replaced with the <*SecurityName*> field from the SNMPv3 Notification Receiver config file TLV.
usmUserCloneFrom	<don't care> This row cannot be cloned.

usmUserAuthProtocol	None When other rows are created, this is replaced with None or MD5, depending on the security level of the V3 User.
usmUserAuthKeyChange	<don't care> Write-only
usmUserOwnAuthKeyChange	<don't care> Write-only
usmUserPrivProtocol	None When other rows are created, this is replaced with None or DES, depending on the security level of the V3 User.
usmUserPrivKeyChange	<don't care> Write-only
usmUserOwnPrivKeyChange	<don't care> Write-only
usmUserPublic	<Zero-length OCTET STRING>
usmUserStorageType	volatile (2)
usmUserStatus	active (1)

8.1.4.1.9 vacmContextTable

The vacmContextTable is defined in the "Definitions" section of [RFC 3415].

The CM MUST create one row in vacmContextTable with the zero length octet string for vacmContextName object.

Table 8-15 - vacmContextTable

Column Name (* = Part of Index)	Column Value
* vacmContextName	<Zero-length OCTET STRING>

8.1.4.1.10 vacmSecurityToGroupTable

The vacmSecurityToGroupTable is defined in the "Definitions" section of [RFC 3415].

The CM MUST create three rows in vacmSecurityToGroupTable with fixed values if one or more SNMPv3 Notification Receiver TLVs are present in the config file.

Table 8-16 depicts the three rows with fixed values which are used for the SNMPv3 Notification Receiver TLV entries with <*TrapType*> set to 1, 2, or 3, or with a zero-length <*SecurityName*>. The SNMPv3 Notification Receiver TLV entries with <*TrapType*> set to 4 or 5 and a non-zero length <*SecurityName*> will use the rows created in the vacmSecurityToGroupTable by the DH Kickstart process.

Table 8-16 - vacmSecurityToGroupTable

Column Name (* = Part of Index)	First Row Column Value	Second Row Column Value	Third Row Column Value
* vacmSecurityModel	SNMPV1 (1)	SNMPV2c (2)	USM (3)
* vacmSecurityName	"@config"	"@config"	"@config"
vacmGroupName	"@configV1"	"@configV2"	"@configUSM"

vacmSecurityToGroupStorageType	volatile (2)	volatile (2)	volatile (2)
vacmSecurityToGroupStatus	active (1)	active (1)	active (1)

8.1.4.1.11 vacmAccessTable

The vacmAccessTable is defined in the "Definitions" section of [RFC 3415].

The CM MUST create three rows in vacmAccessTable with fixed values if one or more SNMPv3 Notification Receiver TLVs are present in the config file.

Table 8-17 depicts the three rows with fixed values which are used for the SNMPv3 Notification Receiver TLV entries with <*TrapType*> set to 1, 2, or 3, or with a zero-length <*SecurityName*>. The SNMPv3 Notification Receiver TLV entries with <*TrapType*> set to 4 or 5 and a non-zero length <*SecurityName*> will use the rows created in the vacmAccessTable by the DH Kickstart process.

Table 8-17 - vacmAccessTable

Column Name (* = Part of Index)	Column Value	Column Value	Column Value
* vacmGroupName	"@configV1"	"@configV2"	"@configUSM"
* vacmAccessContextPrefix	<zero-length string>	<zero-length string>	<zero-length string>
* vacmAccessSecurityModel	SNMPV1 (1)	SNMPV2c (2)	USM (3)
* vacmAccessSecurityLevel	noAuthNoPriv (1)	noAuthNoPriv (1)	noAuthNoPriv (1)
vacmAccessContextMatch	exact (1)	exact (1)	exact (1)
vacmAccessReadViewName	<Zero-length OCTET STRING>	<Zero-length OCTET STRING>	<Zero-length OCTET STRING>
vacmAccessWriteViewName	<Zero-length OCTET STRING>	<Zero-length OCTET STRING>	<Zero-length OCTET STRING>
vacmAccessNotifyViewName	"@config"	"@config"	"@config"
vacmAccessStorageType	volatile (2)	volatile (2)	volatile (2)
vacmAccessStatus	active (1)	active (1)	active (1)

8.1.4.1.12 vacmViewTreeFamilyTable

The vacmViewTreeFamilyTable is defined in the "Definitions" section of [RFC 3415].

The CM MUST create one row in vacmViewTreeFamilyTable with fixed values if one or more SNMPv3 Notification Receiver TLVs are present in the config file.

This row is used for the SNMPv3 Notification Receiver TLV entries with <*TrapType*> set to 1, 2, or 3 or with a zero-length <*SecurityName*>. The SNMPv3 Notification Receiver TLV entries with <*TrapType*> set to 4 or 5 and a non-zero length <*SecurityName*> will use the rows created in the vacmViewTreeFamilyTable by the DH Kickstart process.

Table 8-18 - vacmViewTreeFamilyTable

Column Name (* = Part of Index)	Column Value
* vacmViewTreeFamilyViewName	"@config"

* vacmViewTreeFamilySubtree	1.3
vacmViewTreeFamilyMask	<default from MIB>
vacmViewTreeFamilyType	included (1)
vacmViewTreeFamilyStorageType	volatile (2)
vacmViewTreeFamilyStatus	active (1)

8.1.5 Non-SNMP Fault Management Protocols

The OSS can use a variety of tools and techniques to examine faults at multiple layers. For the IP layer, useful non-SNMP based tools include ping (ICMP Echo and Echo Reply), and trace route (UDP and various ICMP Destination Unreachable flavors). The CM MUST respond to ICMP Echo Request (ping) messages received through its CMCI [CMCI] interface(s) to enable local connectivity testing from a subscriber's PC to the modem. The CM MUST support IP end-station generation of ICMP error messages and processing of all ICMP messages. The CMTS MUST support IP end-station generation of ICMP error messages and processing of all ICMP messages.

Syslog requirements are defined in Section 8.1.2.

8.2 Configuration Management

Modifying the configuration information of a CM and/or CMTS can be categorized as *non-operational* or *operational*.

Non-operational changes occur when a NMS issues a modify command to a CM/CMTS, and the change doesn't affect the operating environment. For example, a NMS can change contact information, such as the name and address of the person responsible for a CMTS.

Operational changes occur when a NMS issues a modify command to a CM/CMTS, and the change affects the underlying resource or environment. For example, a NMS can change the CMTS stored value for the CMTS MIC which in turn will cause a change in the CM authorization policy during registration.

The CM and CMTS are required to support the SNMP protocol interface as specified in Section 6. Section 7 defines the SNMP MIB objects that are required to be supported by a CM and CMTS.

In addition to the SNMP interface to modify the attribute values stored in the CM and CMTS, vendor specific methods such as Command Line Interface (CLI) or an HTTP interface could be present. Irrespective of the method used, it is necessary to assure the data integrity as a result of changes performed using different interfaces. For example when the attribute value is modified using one management interface, this changed value is reported when that attribute is accessed from any of the other interfaces. When a change in the value of the attribute does not succeed, requesting the same change from another interface also results in failure (assuming the same level of access control for all those interfaces for the specific operation). If an event is generated as a result of making the change in one management interface, this is reported independent of how the change was initiated.

8.2.1 Version Control

The CM MUST support software revision and operational parameter configuration interrogation.

The CM includes the hardware version, boot ROM image version, vendor name, current software version, and model number in the sysDescr object (from [RFC 3418]).

The CM MUST support docsDevSwCurrentVers MIB object (from [RFC 4639]) and report the current software version of the CM.

The CM MUST report for the sysDescr object the Type and Value fields identified in Table 8-19:

Table 8-19 - sysDescr Format

Type	Value
HW_REV	<Hardware Version>
VENDOR	<Vendor Name>
BOOTR	<Boot ROM Version>
SW_REV	<Software Version>
MODEL	<Model Number>

The CM MUST report each Type and Value for the sysDescr object identified in Table 8-20; with each Type field and corresponding Value field separated with a colon followed by a single blank space and each Type-Value pair is separated by a semicolon followed by a single blank space. The correct format is illustrated below.

HW_REV: <value>; VENDOR: <value>; BOOTR: <value>; SW_REV: <value>; MODEL: <value>

For instance, a sysDescr of a CM of vendor X, hardware version 5.2, boot ROM image version 1.4, software version 2.2, and model number Z is formatted as follows:

any text<<HW_REV: 5.2; VENDOR: X; BOOTR: 1.4; SW_REV: 2.2; MODEL: Z>>any text

The CM MUST report all of the information necessary in determining what software the CM is capable of being upgraded to. If any fields in Table 8-19 are not applicable, the CM MUST report "NONE" as the value.

For instance, a sysDescr of a CM of vendor X, hardware version 5.2, no boot ROM image information, software version 2.2, and model number Z is formatted as follows:

any text<<HW_REV: 5.2; VENDOR: X; BOOTR: NONE; SW_REV: 2.2; MODEL: Z>>any text

The intent of specifying the format of sysDescr is to define how to report information in a consistent manner so that sysDescr field information can be programmatically parsed. This format specification does not intend to restrict the vendor's hardware version numbering policy.

The CMTS MUST implement the sysDescr object (from [RFC 3418]). For the CMTS, the format and content of the information in sysDescr is vendor-dependent.

8.2.2 System Configuration

The CM MUST support system configuration by configuration file, configuration-file-based SNMP encoded object, and SNMP Set operation. The CM MUST support any valid configuration file created in accordance with configuration file size limitations defined in the CM Configuration Interface Specification Annex in [MULPI].

The CMTS MUST support system configuration through SNMP Set operation.

8.2.3 Secure Software Download[32]

The CM Secure Software Download (SSD) process is documented in detail in the Secure Software Download section of [SEC].

The CM MUST use the Secure Software Download mechanism to perform software upgrade regardless of the version (pre-3.0 DOCSIS or 3.0 DOCSIS) of the CMTS to which it is connected.

There are two available Secure Software Download schemes: the manufacturer control scheme and the operator control scheme.

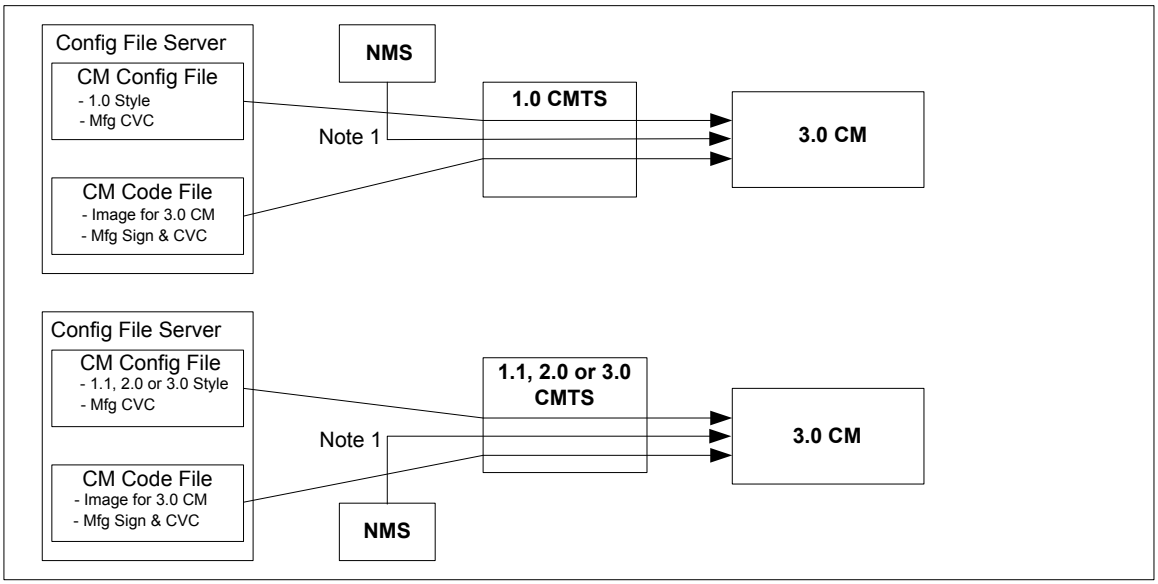


Figure 8-1 - Manufacturer control scheme

In reference to Figure 8-1 above:

Note 1: Use docsDevSoftware group ([RFC 2669], [RFC 4639]) in case that the software downloading is triggered by the MIB.

[32] revised per OSSIv3.0-N-07.0477-2 by ab on 7/12/07.

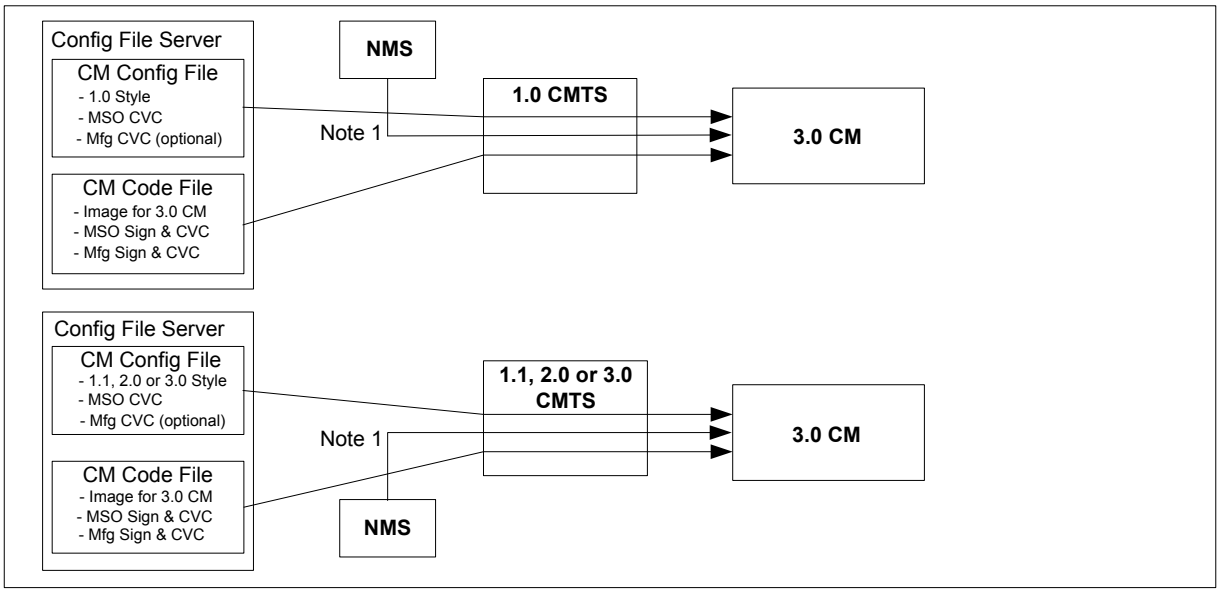


Figure 8-2 - Operator control scheme

In reference to Figure 8-2 above:

Note 1: Use docsDevSoftware group ([RFC 2669], [RFC 4639]) in case that the software downloading is triggered by the MIB.

Prior to Secure Software Download initialization, CVC information needs to be initialized at the CM for software upgrade. Depending on the scheme (described above) that the operator chooses to implement, the CM requires appropriate CVC information in the configuration file. It is recommended that CVC information always be present in the configuration file so that a device will always have the CVC information initialized and read if the operator decides to use a SNMP-initiated upgrade as a method to trigger a Secure Software Download operation. If the operator decides to use a configuration-file-initiated upgrade as a method to trigger Secure Software Download, CVC information needs to be present in the configuration file at the time the modem is rebooted to get the configuration file that will trigger the upgrade only.

There are two methods to trigger Secure Software Download: SNMP-initiated and configuration-file-initiated. The CM MUST support both SNMP-initiated and configuration-file-initiated methods to trigger Secure Software Download. The CMTS MAY support either one or both methods to trigger Secure Software Download.

The following describes the SNMP-initiated mechanism. Prior to a SNMP-initiated upgrade, a CM MUST have valid X.509-compliant code verification certificate information. From a network management station:

1. Set docsDevSwServerAddressType to 'ipv4' or 'ipv6'
2. Set docsDevSwServerAddress to the IPv4 or IPv6 address of the Config File server for software upgrades
3. Set docsDevSwFilename to the file path name of the software upgrade image
4. Set docsDevSwAdminStatus to 'upgradeFromMgt'

If docsDevSwAdminStatus is set to 'ignoreProvisioningUpgrade', the CM MUST ignore any software download configuration file setting and not attempt a configuration file initiated upgrade.

The CM MUST preserve the value of docsDevSwAdminStatus across reset/reboots until over-written from an SNMP manager or by a TLV-11 [MULPI] setting in the CM configuration file. That is, the value of docsDevSwAdminStatus is required to persist across CM reboots.

The CM MUST report 'allowProvisioningUpgrade' as the default value of docsDevSwAdminStatus until it is over-written by 'ignoreProvisioningUpgrade', following a successful SNMP-initiated software upgrade or otherwise altered by the management station.

The CM MUST preserve the value of docsDevSwOperStatus across reset/reboots. That is, the value of the CM's docsDevSwOperStatus object is required to persist across resets to report the outcome of the last software upgrade attempt.

After the CM has completed a configuration-file-initiated secure software upgrade, the CM MUST reboot and become operational with the correct software image as specified in [MULPI]. After the CM is registered following a reboot after a configuration file initiated secure software upgrade, the CM MUST adhere to the following requirements:

- The CM MUST report 'allowProvisioningUpgrade' as the value for docsDevSwAdminStatus.
- The CM MAY report the filename of the software currently operating on the CM as the value for docsDevSwFilename.
- The CM MAY report the IP address of the Config File server containing the software that is currently operating on the CM as the value for docsDevSwServerAddress.
- The CM MUST report 'completeFromProvisioning' as the value for docsDevSwOperStatus.
- The CM MUST report the current version of the software that is operating on the CM as the value for docsDevSwCurrentVers.

After the CM has completed an SNMP-initiated secure software upgrade, the CM MUST reboot and become operational with the correct software image as specified in [MULPI]. After the CM is registered following a reboot after an SNMP-initiated secure software upgrade, the CM MUST adhere to the following requirements:

- The CM MUST report 'ignoreProvisioningUpgrade' as the value for docsDevSwAdminStatus.
- The CM MAY report the IP address of the Config File server containing the software that is currently operating on the CM as the value for docsDevSwServerAddress.
- The CM MUST report 'completeFromMgt' as the value for docsDevOperStatus.
- The CM MUST report the current version of the software that is operating on the CM as the value for docsDevSwCurrentVers.

If the value of docsDevSwAdminStatus is 'ignoreProvisioningUpgrade', the CM MUST ignore any software upgrade value that is optionally included in the CM configuration file and become operational with the current software image after the CM is registered. After the CM is registered following a reboot with a software upgrade value in the CM configuration file, the CM MUST adhere to the following requirements:

- The CM MUST report 'ignoreProvisioningUpgrade' as the value for docsDevSwAdminStatus.
- The CM MAY report the filename of the software currently operating on the CM as the value for docsDevSwFilename.
- The CM MAY report the IP address of the Config File server containing the software that is currently operating on the CM as the value for docsDevSwServerAddress.
- The CM MUST report 'completeFromMgt' as the value for docsDevSwOperStatus.
- The CM MUST report the current version of the software that is operating on the CM as the value for docsDevSwCurrentVers.

Retries due to a power loss or reset are only required for an SNMP-initiated upgrade. If a power loss or reset occurs during a configuration-file-initiated upgrade, the CM will follow the upgrade TLV directives in the configuration file upon reboot. It will not retry the previous upgrade. The config file upgrade TLVs essentially provides a retry mechanism that is not available for an SNMP-initiated upgrade.

If a CM suffers a loss of power or resets during an SNMP-initiated upgrade, the CM MUST resume the upgrade without requiring manual intervention. When the CM resumes the upgrade process after a reset that occurred during an SNMP-initiated software upgrade, the CM MUST adhere to the following requirements:

- The CM MUST report 'upgradeFromMgt' as the value for docsDevSwAdminStatus.
- The CM MUST report the filename of the software image to be upgraded as the value for docsDevSwFilename.
- The CM MUST report the IP address of the Config File server containing the software upgrade image to be upgraded as the value for docsDevSwServerAddress.
- The CM MUST report 'inProgress' as the value for docsDevSwOperStatus.
- The CM MUST report the current version of software that is operating on the CM as the value for docsDevSwCurrentVers.

In the case where the CM reaches the maximum number of TFTP Download Retries, as specified in the Parameters and Constraints Annex of [MULPI], resulting from multiple losses of power or resets during an SNMP-initiated upgrade, the CM MUST behave as specified in [MULPI]. In this case, after the CM is registered, the CM MUST adhere to the following requirements:

- The CM MUST report 'allowProvisioningUpgrade' as the value for docsDevSwAdminStatus.
- The CM MUST report the filename of the software that failed the upgrade process as the value for docsDevSwFilename.
- The CM MUST report the IP address of the Config File server containing the software that failed the upgrade process as the value for docsDevSwServerAddress.
- The CM MUST report 'other' as the value for docsDevSwOperStatus.
- The CM MUST report the current version of software that is operating on the CM as the value for docsDevSwCurrentVers.

When the CM reboots following a reset that occurred during a configuration file-initiated software download, the CM MUST ignore the fact that a previous upgrade was in progress and either not perform an upgrade if no upgrade TLVs are present in the config file, or if upgrade TLVs are present, take the action described in the requirements in the section Downloading Cable Modem Operating Software of [MULPI], at the time of the reboot.

In the case where the CM had a configuration-file-initiated upgrade in progress during a reset and if there are no upgrade TLVs in the config file upon reboot, the CM MUST adhere to the following requirements:

- The CM MUST report 'allowProvisioningUpgrade' as the value for docsDevSwAdminStatus.
- The CM MAY report the filename of the current software image as the value for docsDevSwFilename.
- The CM MAY report the IP address of the Config File server containing the software that is currently operating in the CM as the value for docsDevSwServerAddress.
- The CM MUST report 'other' as the value for docsDevSwOperStatus.
- The CM MUST report the current version of software that is operating on the CM as the value for docsDevSwCurrentVers.

In the case where the CM had a configuration-file-initiated upgrade in progress during a reset, if there are upgrade TLVs in the config file upon reboot, the CM MUST adhere to the following requirements:

- The CM MUST report 'allowProvisioningUpgrade' as the value for docsDevSwAdminStatus.
- The CM MUST report the filename contained in TLV-9 [MULPI] of the config file as the value for docsDevSwFilename.
- The CM MUST report the IP address of the Config File server containing the software to be loaded into the CM (either the value of TLV-21 [MULPI] in the config file if present, or the address of the configuration file Config File server if TLV-21 is not present) as the value for docsDevSwServerAddress, per the requirements stated in the section "Downloading Cable Modem Operating Software" of [MULPI].
- The CM MUST report 'inProgress' as the value for docsDevSwOperStatus.
- The CM MUST report the current version of software that is operating on the CM as the value for docsDevSwCurrentVers.

If a CM exhausts the required number of TFTP Request Retries, as specified in the Parameters and Constraints Annex of [MULPI], the CM MUST behave as specified in [MULPI]. If a CM exhausts the maximum number of configured TFTP Request Retries without successfully downloading the specified file, the CM MUST fall back to last known working image and proceed to an operational state. After a CM falls back to the last known working software image after exhausting the maximum number of configured TFTP Request Retries without successfully downloading the specified file, the CM MUST adhere to the following requirements:

- The CM MUST report 'allowProvisioningUpgrade' as the value for docDevSwAdminStautus.
- The CM MUST report the filename of the software that failed the upgrade process as the value for docDevSwFilename.
- The CM MUST report the IP address of the Config File server containing the software that failed the upgrade process as the value for docsDevSwServerAddress.
- The CM MUST report 'failed' as the value for docsDevSwOperStatus.
- The CM MUST report the current version of software that is operating on the CM as the value for docsDevSwCurrentVers.

In the case where a CM successfully downloads (or detects during download) an image that is not intended for the CM device, the CM behaves as specified in the section "Downloading Cable Modem Operating Software" of [MULPI]. If a CM successfully downloads an image that is not intended for it, or detects during the download of a software image that the image is not for itself, the CM MUST adhere to the following requirements:

- The CM MUST report 'allowProvisioningUpgrade' as the value for docsDevSwAdminStatus.
- The CM MUST report the filename of the software that failed the upgrade as the value for docsDevSwFilename.
- The CM MUST report the IP address of the Config File server containing the software that failed the upgrade process as the value for docsDevSwServerAddress.
- The CM MUST report 'other' as the value for docsDevSwOperStatus.
- The CM MUST report the current version of software that is operating on the CM as the value for docsDevSwCurrentVers.

In the case where the CM determines that the download image is damaged or corrupted, the CM MUST reject the newly downloaded image. The CM MAY re-attempt to download if the maximum number of TFTP Download Retries has not been reached, as specified in the Parameters and Constants Annex of [MULPI]. If the CM chooses not to retry, the CM MUST fall back to the last known working image and proceed to an operational state and generate appropriate event notification as specified in Annex D. If the CM does not retry to download a corrupted

software image and falls back to the last known working software image, the CM MUST adhere to the following requirements:

- The CM MUST report 'allowProvisioningUpgrade' as the value for docsDevSwAdminStatus.
- The CM MUST report the filename of the software that failed the upgrade as the value for docsDevSwFilename.
- The CM MUST report the IP address of the Config File server containing the software that failed the upgrade process as the value for docsDevSwServerAddress.
- The CM MUST report 'other' as the value for docsDevSwOperStatus.
- The CM MUST report the current version of software that is operating on the CM as the value for docsDevSwCurrentVers.

In the case where the CM determines that the image is damaged or corrupted, the CM MAY re-attempt to download the new image if the maximum number of TFTP Download Retries has not been reached, as specified in Parameters and Constraints Annex of [MULPI]. On the final consecutive failed retry of the CM software download attempt, the CM MUST fall back to the last known working image and proceed to an operational state and generate appropriate event notification as specified in Annex D. If a CM falls back to the last known working software image after failing the defined consecutive retry attempts, the CM MUST send two notifications, one to notify that the max retry limit has been reached, and another to notify that the image is damaged. Immediately after the CM reaches the operational state after failing the defined consecutive retry attempts to download a software image and falling back to the last known working software image, the CM MUST adhere to the following requirements:

- The CM MUST report 'allowProvisioningUpgrade' as the value for docsDevSwAdminStatus.
- The CM MUST report the filename of the software that failed the upgrade as the value for docsDevSwFilename.
- The CM MUST report the IP address of the Config File server containing the software that failed the upgrade process as the value for docsDevSwServerAddress.
- The CM MUST report 'other' as the value for docsDevSwOperStatus.
- The CM MUST report the current version of software that is operating on the CM as the value for docsDevSwCurrentVers.

8.2.4 CM configuration files, TLV-11 and MIB OIDs/values

The following sections define the use of CM configuration file TLV-11 elements and the CM rules for translating TLV-11 elements into SNMP PDU (SNMP MIB OID/instance and MIB OID/instance value combinations; also referred to as SNMP varbinds).

This section also defines the CM behaviors, or state transitions, after either pass or fail of the CM configuration process.

For TLV-11 definitions refer to the Common Radio Frequency Interface Encodings Annex of [MULPI].

8.2.4.1 CM configuration file TLV-11 element translation (to SNMP PDU)

TLV-11 translation defines the process used by the CM to convert CM configuration file information (TLV-11 elements) into SNMP PDU (varbinds). The CM is required to translate CM configuration file TLV-11 elements into a single SNMP PDU containing (n) MIB OID/instance and value components (SNMP varbinds). Once a single SNMP PDU is constructed, the CM processes the SNMP PDU and determines the CM configuration pass/fail based on the rules for CM configuration file processing, described below. However, if a CM is not physically capable of processing a potentially large single CM configuration file-generated SNMP PDU, the CM is still required to behave

as if all MIB OID/instance and value components (SNMP varbinds) from CM configuration file TLV-11 elements are processed as a single SNMP PDU.

In accordance with [RFC 3416], the single CM configuration file generated SNMP PDU will be treated "as if simultaneous" and the CM MUST behave consistently, regardless of the order in which TLV-11 elements appear in the CM configuration file, or SNMP PDU.

The CM configuration file MUST NOT contain duplicate TLV-11 elements (duplicate means SNMP MIB object has identical OID). If the configuration file received by the CM contains duplicate TLV-11 elements, the CM MUST reject the configuration file.

8.2.4.1.1 Rules for CreateAndGo and CreateAndWait[33]

The CM MUST support 'createAndGo' [RFC 2579] for row creation.

The CM MAY support 'createAndWait' [RFC 2579]. If the CM supports 'createAndWait', there is the constraint that CM configuration file TLV-11 elements MUST NOT be duplicated (all SNMP MIB OID/instance must be unique). If a CM constructs an SNMP PDU from a CM configuration file TLV-11 element that contains an SNMP 'createAndWait' value for a given SNMP MIB OID/instance, the CM MUST NOT also include in that SNMP PDU an SNMP Active value for the same SNMP MIB OID/instance (and vice versa). A CM MAY accept a configuration file that contains a TLV-11 'createAndWait' element if the intended result is to create an SNMP table row which will remain in the SNMP 'notReady' or SNMP 'notInService' state until a non-configuration file SNMP PDU is issued, from an SNMP manager, to update the SNMP table row status.

Both SNMP 'notReady' and SNMP 'notInService' states are valid table row states after an SNMP 'createAndWait' instruction.

8.2.4.2 CM configuration TLV-11 elements not supported by the CM

If any CM configuration file TLV-11 elements translate to SNMP MIB OIDs that are not MIB OID elements supported by the CM, then the CM MUST ignore those SNMP varbinds, and treat them as if they had not been present, for the purpose of CM configuration. This means that the CM will ignore SNMP MIB OIDs for other vendors' private MIBs as well as standard MIB elements that the CM does not support.

CMs that do not support SNMP CreateAndWait for a given SNMP MIB table MUST ignore, and treat as if not present, the set of columns associated with the SNMP table row.

If any CM configuration file TLV-11 element(s) are ignored, then the CM MUST report them via the CM configured notification mechanism(s), after the CM is registered. The CM MUST report ignored configuration file TLV-11 elements following the notification method in accordance with Section 8.1.2.3.

8.2.4.3 CM state after CM configuration file processing success

After successful CM configuration via CM configuration file, the CM MUST proceed to register with the CMTS and proceed to its operational state.

8.2.4.4 CM state after CM configuration file processing failure

If any CM configuration file generated SNMP PDU varbind performs an illegal set operation (illegal, bad, or inconsistent value) to any MIB OID/instance supported by the CM, the CM MUST reject the configuration file. The CM MUST NOT proceed with CM registration if it fails to download and process the configuration file.

[33] revised per OSSIv3.0-N-07.0477-2 by ab on 7/10/07.

8.2.5 IPDR Exporter Configuration

The CMTS SHOULD provide a management interface for IPDR Streaming set of mandatory requirements not limited to:

- Authorized Collectors access list.
- Redundant Collector Policies for Streaming Sessions.
- Configuration of Time intervals for exporting.
- IPDR/SP KeepAlive ackSequenceInterval and ackTimeInterval parameters.

8.3 Accounting Management

This specification defines an accounting management interface for subscriber usage-based applications denominated Subscriber Account Management Interface Specification (SAMIS). SAMIS is defined to enable prospective vendors of cable modems and cable modem termination systems to address the operational requirements of subscriber account management in a uniform and consistent manner. It is the intention that this would enable operators and other interested parties to define, design and develop Operations and Business Support Systems necessary for the commercial deployment of different class of services over cable networks, with accompanying usage-based billing of services for each individual subscriber.

Subscriber Account Management described here refers to the following business processes and terms:

Class of Service Provisioning Processes, which are involved in the automatic and dynamic provisioning and enforcement of subscribed class of policy-based service level agreements (SLAs).

Usage-Based Billing Processes, which are involved in the processing of bills based on services rendered to and consumed by paying subscribers. This Specification focuses primarily on bandwidth-centric usage-based billing scenarios. It complements the PacketCable™ Event Messages Specifications.

The business processes defined above are aligned with the scenarios for Subscriber Account Management described in Appendix I of this specification. In order to develop the DOCSIS-OSS Subscriber Account Management Specification, it is necessary to consider high-level business processes common to cable operators and the associated operational scenarios. These issues are discussed in Annex B.

8.3.1 Subscriber Usage Billing and class of services

The [MULPI] specification uses the concept of class of service, as the term to indicate the type of data services a CM requests and receives from the CMTS, (see [MULPI]). From a high level perspective class of services are observed as subscriber types (e.g., residential or business) and the DOCSIS RFI MAC layer parameters fulfill the subscriber service needs.

The [MULPI] specification supports two service class definition types: DOCSIS 1.1 QoS which offers queuing and scheduling services and the backward compatible DOCSIS 1.0 Class of Service (CoS) which offers only Queuing services.

8.3.1.1 DOCSIS 1.1 Quality of Service (QoS)[34]

The [MULPI] specification provides a mechanism for a Cable Modem (CM) to register with its Cable Modem Termination System (CMTS) and to configure itself based on external QoS parameters when it is powered up or reset.

[34] revised per OSSIv3.0-N-07.0480-3 by ab on 7/12/07.

To quote (in part) from the Theory of Operation section of [MULPI]:

The principal mechanism for providing enhanced QoS is to classify packets traversing the RF MAC interface into a Service Flow. A Service Flow is a unidirectional flow of packets that provide a particular Quality of Service. The CM and the CMTS provide this QoS by shaping, policing, and prioritizing traffic according to the QoS Parameter Set defined for the Service Flow.

The requirements for Quality of Service include:

- A configuration and registration function for pre-configuring CM-based QoS Service Flows and traffic parameters.
- Utilization of QoS traffic parameters for downstream Service Flows.
- Classification of packets arriving from the upper layer service interface to a specific active Service Flow
- Grouping of Service Flow properties into named Service Classes, so upper layer entities and external applications (at both the CM and the CMTS) can request Service Flows with desired QoS parameters in a globally consistent way.

A Service Class Name (SCN) is defined in the CMTS by provisioning (see Annex O). An SCN provides an association to a QoS Parameter Set. Service Flows that are created using an SCN are considered to be "named" Service Flows. The SCN identifies the service characteristics of a Service Flow to external systems such as a billing system or customer service system. For consistency in billing, operators should ensure that SCNs are unique within an area serviced by the same BSS that utilizes this interface. A descriptive SCN might be something like PrimaryUp, GoldUp, VoiceDn, or BronzeDn to indicate the nature and direction of the Service Flow to the external system.

A Service Package implements a Service Level Agreement (SLA) between the MSO and its Subscribers on the RFI interface. A Service Package might be known by a name such as Gold, Silver, or Bronze. A Service Package is itself implemented by the set of named Service Flows (using SCNs) that are placed into a CM Configuration File[35] that is stored on a Config File server. The set of Service Flows defined in the CM Config File are used to create active Service Flows when the CM registers with the CMTS. Note that many Subscribers are assigned to the same Service Package and, therefore, many CMs use the same CM Config File to establish their active Service Flows.

A Service Package has to define at least two Service Flows known as Primary Service Flows that are used by default when a packet matches none of the classifiers for the other Service Flows. A CM Config File that implements a Service Package, therefore, must define the two primary Service Flows using SCNs (e.g., PrimaryUp and PrimaryDn) that are known to the CMTS if these Service Flows are to be visible to external systems by this billing interface. Note that it is often the practice in a usage sensitive billing environment to segregate the operator's own maintenance traffic, to and from the CM, into the primary service flows so that this traffic is not reflected in the traffic counters associated the subscriber's SLA service flows.

The [MULPI] specification also provides for dynamically created Service Flows. An example could be a set of dynamic Service Flows created by an embedded PacketCable Multimedia Terminal Adapter (MTA) to manage VoIP signaling and media flows. All dynamic Service Flows must be created using an SCN known to the CMTS if they are to be visible to the billing system. These dynamic SCNs do not need to appear in the CM Config File but the MTA may refer to them directly during its own initialization and operation.

During initialization, a CM communicates with a DHCP Server that provides the CM with its assigned IP address and, in addition, receives a pointer to the Config File server that stores the assigned CM Config File for that CM. The CM reads the CM Config File and forwards the set of Service Flow definitions (using SCNs) up to the CMTS. The CMTS then performs a macro-expansion on the SCNs (using its provisioned SCN templates) into QoS

[35] The CM Configuration File contains several kinds of information needed to properly configure the CM and its relationship with the CMTS, but for the sake of this discussion only the Service Flow and Quality of Service components are of interest

Parameter Sets sent in the Registration Response for the CM. Internally, each active Service Flow is identified by a 32-bit SFID assigned by the CMTS to a specific CM (relative to the RFI interface). For billing purposes, however, the SFID is not sufficient as the only identifier of a Service Flow because the billing system cannot distinguish the class of service being delivered by one SFID from another. Therefore, the SCN is necessary, in addition to the SFID, to identify the Service Flow's class of service characteristics to the billing system.

The billing system can then rate the charges differently for each of the Service Flow traffic counts based on its Service Class (e.g., Gold octet counts are likely to be charged more than Bronze octet counts). Thus, the billing system obtains, from the CMTS, the traffic counts for each named Service Flow (identified by SFID and SCN) that a subscriber's CM uses during the billing data collection interval. This is true even if multiple active Service Flows (i.e., SFIDs) are created using the same SCN for a given CM over time. This will result in multiple billing records for the CM for Service Flows that have the same SCN (but different SFIDs). Note that the SFID is the primary key to the Service Flow. When an active Service Flow exists across multiple sequential billing files, the SFID allows the sequence of recorded counter values to be correlated to the same Service Flow instance.

8.3.1.2 DOCSIS 1.0 Class of Service (CoS)

The [MULPI] specification also provides the backward compatible mechanism to support DOCSIS 1.0 Class of Service for any CM version being provisioned with a DOCSIS 1.0-style config file.

DOCSIS 1.0 CoS offers, for the CM, upstream queuing services consisting of minimum guarantee upstream bandwidth, traffic priority, and maximum packet size per transmit opportunity. CoS also offers a policy mechanism for upstream and downstream Maximum bandwidth allocation per CM.

Even though the Subscriber Account Management Interface Specification defined herein was intended for billing services which use the DOCSIS 1.1 QoS feature set. However, the existing DOCSIS 1.0 CM installed-based merits the addition of DOCSIS 1.0 Class of Service profiles into the usage billing record with the following considerations:

The Subscriber Usage Billing record is not capable of differentiating a Service Package (as described in Section 8.3.1.1). In other words, for CoS there is no equivalent to SCN of DOCSIS 1.1 QoS that could be used to differentiate CMs with different CoS provisioning parameters or in the occurrence of CMs provisioned with more than one CoS configuration set.

DOCSIS 1.0 Class of Service Management interface [RFC 4546] does not provide a standard set of downstream data traffic counters associated to the CM queuing services. This Subscriber Usage Billing interface requires the implementation of downstream counters in a proprietary manner.

8.3.1.3 High-Level Requirements for Subscriber Usage Billing Records

This section provides the high-level, functional requirements of this interface.

The CMTS provides formatted Subscriber Usage Billing Records for all subscribers attached to the CMTS, on demand, to mediation or billing systems.

The transfer of these Usage Billing Records from the CMTS to the mediation/billing system uses the streaming model defined in [IPDR/SP]. This is a mechanism for transmission of Usage Billing Records in near "real-time" from the CMTS to the mediation system.

The CMTS needs to support a minimum billing record transfer interval of 15 minutes.

The CMTS MUST support the processing and transmitting of Subscriber Usage Billing Records as follows:

- A Subscriber Usage Billing Record identifies the CMTS by host name and IP address and the date and time record is sent. The sysUpTime value for the CMTS is recorded, as well as the MAC domain, downstream

and upstream information, the CM is registered on to facilitate the characterization of cable interfaces usage.

- A Subscriber Usage Billing Record is identified by CM MAC address (but not necessarily sorted). The Subscriber's current CM IP address is also present in the billing record for the Subscriber. If the CMTS is tracking CPE addresses behind the Subscriber's CM, then these CPE MAC and IP addresses are also be present in the billing record as well. CPE FQDNs (Fully Qualified Domain Name) are be present in the billing record only if gleaned from DHCP relay agent transactions (reverse DNS queries are not required).
- A Subscriber Usage Billing Record has entries for each active Service Flow (identified by SFID and Service Class Name) used by all CMs operating in DOCSIS 1.1 (or higher) registration mode during the collection interval. This includes all currently running Service Flows, as well as all terminated Service Flows that were deleted and logged during the collection interval. A provisioned or admitted state SF that was deleted before it became active, is not recorded in the billing document, even though it was logged by the CMTS. For CMs registered in DOCSIS 1.0 mode Service Class Name is not used and left empty.
- A Subscriber Usage Billing Record of a CM provisioned with DOCSIS 1.0 CoS is identified by Service Identifier (SID). The CMTS records information for primary SIDs and not for temporary SIDs. In other words, only information pertaining after the CM registration period is recorded.
- A Subscriber Usage Billing Record identifies a running Service Flows or a terminated Service Flows, as well as DOCSIS 1.0 running CM SIDs or a de-registered CMs. A terminated Service Flow or DOCSIS 1.0 SID is reported into a Subscriber Usage Billing Record once. Similarly, records for CMs running DOCSIS 1.0 Class of Service are based on Upstream Queue Services of the [RFC 4546] and proprietary information for downstream information.
- A Subscriber Usage Billing Record identifies the Service Flow or DOCSIS 1.0 CoS direction as upstream or downstream. It collects the number of packets and octets passed for each upstream and downstream Service Flow. The number of packets dropped and the number of packets delayed due to enforcement of QoS maximum throughput parameters (SLA) are also be collected for each Service Flow. In the case of an upstream Service Flow, the reported SLA drop and delay counters represent only the QoS policing performed by the CMTS. Note that since it is possible for a Subscriber to switch back and forth from one service package to another, or to have dynamic service flows occur multiple times, it is possible that there will be multiple Subscriber Usage Records for a given SCN during the collection period. This could also occur if a CM re-registers for any reason (such as CM power failure).
- All traffic counters within a Subscriber Usage Billing Record are absolute 32-bit or 64-bit counters. These traffic counters need to be reset to zero by the CMTS if it re-initializes its management interface. The CMTS sysUpTime value is used to determine if the management interface has been reset between adjacent collection intervals. It is expected that the 64-bit counters will not roll over within the service lifetime of the class of service CMTS.

8.3.1.4 Subscriber Usage Billing Records Mapping to Existing DOCSIS Data model[36]

In Section 8.3.1.3 the High-level requirements for Subscriber Usage Billing includes counters for consumption based billing. Part of that section deals with the collection of counters associated to DOCSIS 1.0 Class of service and DOCSIS 1.1 Quality of Service. The mapping described below is required to consistently define the Subscriber Usage Billing service specification based on mandatory and well-defined counter requirements as much as possible.

There are trade-offs when defining Subscriber Usage Billing service specifications to cover two different specification requirements. In particular, DOCSIS 1.1 Mode of operation defines QoS as the scheduling and queue prioritization mechanism in Section 8.3.1.1, while DOCSIS 1.0 mode of CM operation is based on the queue prioritization mechanism named CoS as described in Section 8.3.1.2, respectively. The [MULPI] specification does not define MAC layer primitives for usage counters associated to SFIDs and SIDs to be mapped to Management models like SNMP or this Subscriber Usage Billing service specification.

[36] revised per OSSIv3.0-N-07.0480-3 by ab on 7/12/07.

DOCSIS mandatory QoS and CoS counter requirements are contained in this specification. They are defined as SNMP SMI data models in Annex O and CoS [RFC 4546] respectively; see Section 7.1 for details.

This section illustrates the mapping of Subscriber Usage Billing Records for CMs registered in DOCSIS 1.0 mode in the CMTS based on the QoS model. The main design advantages of this approach include:

- Smooth transition to all QoS based DOCSIS networks,
- DOCSIS MAC schedulers are known to map CoS queues into QoS queues rather than define two separate schedulers and counter managers.
- Uniform DOCSIS QoS based networks will simplify the management model (will happen after DOCSIS 1.0 CMs are updated to 1.1 QoS provisioning).
- Simplify the Subscriber Usage Billing service specification based on one XML schema rather than two separate definitions for DOCSIS 1.1 QoS and DOCSIS 1.0 CoS.
- Unifies both Capacity Management and Subscriber Usage Billing management by normalizing upstream and downstream Services, regardless of the Queue discipline. This abstraction layer is relevant especially for capacity management and for further extensions to areas not covered by Annex O, such as multicast SAIDs to SFIDs for proper capacity accounting.

The disadvantage of this design is the possible efficiency cost of meaningless QoS based billing elements in CoS related records where DOCSIS 1.0 is a significant proportion of the provisioned CMs, but limited to few bytes per record with the XDR encoding [IPDR/XDR].

Table 8-20 describes the Subscriber Usage Billing model mapping to this specification standard management object base and other requirements not defined in this specification. See Table Notes immediately following Table 8-20.

Table 8-20 - Subscriber Usage Billing Model Mapping to DOCSIS Management Object

Subscriber Usage Billing Service Definition Elements		**DOCS- QOS3-MIB** **DOCSIS QoS model Unicast and Multicast SFs**	**DOCS-IF-MIB** **DOCSIS CoS model Unicast CM Service Classes**
Elements	**Type**	**OBJECT-TYPE Record Interim, Stop**	**OBJECT-TYPE Record Interim, Stop[2]**
serviceIdentifier	UnsignedInt	docsQosServiceFlowId[1],	DocsIfCmtsServiceId
serviceGateId	UnsignedInt		N/A[5]
serviceClassName	String	docsQosParamSetServiceClassName[1], docsQosServiceFlowLogServiceClassName	N/A[3]
serviceDirection	UnsignedInt	docsQosServiceFlowDirection, docsQosServiceFlowLogDirection	Proprietary encoded[4]
serviceOctetPassed	UnsignedLong	docsQosServiceFlowOctets, docsQosServiceFlowLogOctets	docsIfCmtsServiceInOctets
servicePktsPassed	UnsignedLong	docsQosServiceFlowPkts, docsQosServiceFlowLogPkts	docsIfCmtsServiceInPackets
serviceSlaDropPkts	UnsignedInt	docsQosServiceFlowPolicedDropPkts, docsQosServiceFlowLogPolicedDropPkts	Implementation Dependent[4]
serviceSlaDelayPkts	UnsignedInt	docsQosServiceFlowPolicedDelayPkts, docsQosServiceFlowLogPolicedDelayPkts	Implementation Dependent[4]
serviceTimeCreated	UnsignedInt	docsQosServiceFlowTimeCreated, docsQosServiceFlowLogTimeCreated	Implementation Dependent[4]

serviceTimeActive	UnsignedInt	docsQosServiceFlowTimeActive, docsQosServiceFlowLogTimeActive	Implementation Dependent[4]

Notes:

1 serviceIdentifier: for interim records applicable only to 'active' Service Flows

2 Stop Records are held in memory in a proprietary manner until being sent to the Collector.

3 Object not applicable and reported as zero-length string

4 All the [RFC 4546] Queuing Services in docsIfCmtsServiceTable are upstream. For downstream services, the [RFC 4546] does not provide counters and objects primitives. It is common industry to include vendor specific extensions for docsIfCmtsServiceTable for accounting CM downstream packets. This common practice might assume only one Class of Service being provisioned in the CM.

5 serviceGateId is not part of the DOCSIS QoS model but is available from [PKT-PCMM]

The Subscriber Usage Billing relationships for DOCSIS 1.0 Class of Service are:

- serviceDirection is encoded as 'upstream' for Upstream CM SIDs. For CM downstream traffic, this element is encoded as 'downstream'.
- serviceOctetsPassed corresponds to docsIfCmtsServiceInOctets for upstream SIDs. CM downstream traffic octet counters are proprietary.
- servicePktsPassed corresponds to docsIfCmtsServiceInPackets for upstream SIDs. CM downstream traffic octet counters are proprietary.
- serviceSlaDropPkts are implementation dependent, if not supported the CMTS reports a zero value.
- serviceSlaDelayPkts are implementation dependent, if not supported the CMTS reports a zero value.
- serviceTimeCreated is implementation dependent and is required.
- serviceTimeActive is implementation dependent and is required.

These elements are defined in Annex C.

Multicast flows usage billing consumption and counters is not currently defined. This diagram is only a place holder for future extensibility.

For the case of DOCSIS 1.0 Class of Service, records for Downstream CM traffic are assigned to the first CM SID of its upstream queues. This model for practical reasons is expected to have only one Queue Service (SID) when provisioned in DOCSIS 1.0 CoS but is not limited to this.

The model above is intended to de-couple the internal management primitives of the required MIB objects as an indication that both processes might be updated independently, or as direct relationships of existing management objects. Therefore, in the case of an active Subscriber Usage Billing IPDR/SP Session, the CMTS MAY not allow the deletion of Service Flow log records until they have been exported by [IPDR/SP].

The CMTS MUST retain a terminated SID of a DOCSIS 1.0 Class of Service (CM de-registers) in memory until being successfully exported by [IPDR/SP].

8.3.1.5 SAMIS Records Optimization

The CMTS MAY provide mechanisms to prevent exporting Subscriber Usage Billing Records (record suppression) that contain redundant information from a Collector perspective. If traffic counters (octets or packets) of a SFID or DOCSIS 1.0 SID reported in a previous collection interval do not change, the CMTS MUST NOT generate a record of this SFID or DOCSIS 1.0 SID for this collection interval. The serviceTimeActive counter is not considered a traffic counter and therefore does not influence record suppression.

8.3.1.6 Billing Collection Interval Subscriber Usage Billing Records Export

In the case of streaming data at the end of a collection interval, The CMTS (Exporter) MAY create a new IPDR document by starting, and stopping an IPDR/SP Session every collection period. Note that between scheduled collection cycles, the CMTS and the Collector(s) maintain an open TCP stream Connection and the Collector is also in a flow ready state. Thus, the CMTS MAY maintain an open session and transmit Data Records at any time. As an alternative, the CMTS MAY initiate a new Session when it is ready to transmit a complete set of IPDR records to the Collector for the current collection interval, or maintain an open Session all time. Once the complete set of IPDR records has been transmitted, the CMTS MAY end the Session thereby closing the IPDR document for the current collection interval. The CMTS MAY also leave the session open until the next collection interval. In addition to the scheduled collection cycles, the CMTS MAY also initiate an unscheduled Session with a Collector whenever it needs to transmit IPDR records for terminated SFs because it is in danger of losing data (e.g., its SF log buffer is about to overflow). This unscheduled Session will only contain RecType = Stop IPDR records for the terminated SFs in the log buffer, thereby clearing the buffer. It is imperative that logged SFs are only reported once into an IPDR document. If no connection is available (e.g., for an unscheduled Session or constantly open Session) with a Collector, then the CMTS MUST delete the oldest SF log entries first.

Other Management strategies may provide Collector control over the streaming data by executing FlowStop and FlowStart at its convenience (for example to perform load balancing or force the termination of streaming from an Exporter).

8.3.2 DOCSIS Subscriber Usage Billing Requirements

The CMTS MUST support Subscriber Usage Billing by implementing this Subscriber Accounting Management Interface Specification (SAMIS) based on IPDR.org's BSR specification version 3.5 [IPDR/BSR].

8.4 Performance Management

At the CATV MAC and PHY layers, performance management focuses on the monitoring of the effectiveness of cable plant segmentation and rates of upstream traffic and collisions. Instrumentation is provided in the form of the standard interface statistics [RFC 2863] and service queue statistics (from [RFC 4546] and Annex O). It is not anticipated that the CMTS upstream bandwidth allocation function will require active network management intervention and tuning.

At the LLC layer, the performance management focus is on bridge traffic management. The CM implements the Bridge MIB [RFC 4188] as specified in Section 7.1.3.5 and 1. If the CMTS implements transparent bridging, it implements the Bridge MIB [RFC 4188] as specified in Section 7.1.3.5.

The CMTS diagnostic log capabilities, as described in Annex G, provides early detection of CM and cable plant problems.

The DOCS-IF-MIB [RFC 4546] includes variables to track PHY state such as codeword collisions and corruption, signal-to-noise ratios, transmit and receive power levels, propagation delays, micro-reflections, in channel response, and sync loss. The DOCS-IF-MIB [RFC 4546] also includes counters to track MAC state, such as collisions and excessive retries for requests, immediate data transmits, and initial ranging requests. Annex J provides enhanced signal quality monitoring and diagnostic capabilities for detecting cable plant.

A final performance concern is the ability to diagnose unidirectional loss. Both the CM and CMTS implement the MIB-II [RFC 1213] Interfaces Group [RFC 2863] as specified in Section 7.1.3.3 and 1.

8.4.1 Treatment and interpretation of MIB counters

Octet and packet counters implemented as counter32 and counter64 MIB objects are monotonically increasing positive integers with no specific initial value and a maximum value based on the counter size that will roll-over to

zero when it is exceeded. In particular, counters are defined such that the only meaningful value is the difference between counter values as seen over a sequence of counter polls. However, there are two situations that can cause this consistent monotonically increasing behavior to change: 1) resetting the counter due to a system or interface reinitialization or 2) a rollover of the counter when it reaches its maximum value of 2^{32}-1 or 2^{64}-1. In these situations, it must be clear what the expected behavior of the counters should be.

Case 1: The state of an interface changes resulting in an "interface counter discontinuity" as defined in [RFC 2863].

In the case where the state of an interface within the CM changes resulting in an "interface counter discontinuity" [RFC 2863], the CM value of the ifXTable.ifXEntry.ifCounterDiscontinuityTime for the affected interface MUST be set to the current value of sysUpTime and ALL counters for the affected interface set to ZERO. When setting the ifAdminStatus of the affected interface to down(2), the CM MUST NOT consider this as an interface reset.

In the case where the state of an interface within the CMTS changes resulting in an "interface counter discontinuity" [RFC 2863], the CMTS value of the ifXTable.ifXEntry.ifCounterDiscontinuityTime for the affected interface MUST be set to the current value of sysUpTime and ALL counters for the affected interface set to ZERO. When setting the ifAdminStatus of the affected interface to down(2), the CMTS MUST NOT consider this as an interface reset.

Case 2: SNMP Agent Reset.

An SNMP Agent Reset is defined as the reinitialization of the SNMP Agent software caused by a device reboot or device reset initiated through SNMP.

In the case of an SNMP Agent Reset within the CM, the CM MUST:

- set the value of sysUpTime to zero (0)
- set all interface ifCounterDiscontinuityTime values to zero (0)
- set all interface counters to zero (0)
- set all other counters maintained by the CM SNMP Agent to zero (0).

In the case of an SNMP Agent Reset within the CMTS, the CMTS MUST:

- set the value of sysUpTime to zero (0)
- set all interface ifCounterDiscontinuityTime values to zero (0)
- set all interface counters to zero (0)
- set all other counters maintained by the CMTS SNMP Agent to zero (0).

Case 3: Counter Rollover.

When a counter32 object within the CM reaches its maximum value of 4,294,967,295, the next value MUST be ZERO. When a counter64 object within the CM reaches its maximum value of 18,446,744,073,709,551,615, the next value MUST be ZERO.

When a counter32 object within the CMTS reaches its maximum value of 4,294,967,295, the next value MUST be ZERO. When a counter64 object within the CMTS reaches its maximum value of 18,446,744,073,709,551,615, the next value MUST be ZERO.

Note: Unless a CM or CMTS vendor provides a means outside of SNMP to preset a counter64 or counter32 object to an arbitrary value, it will not be possible to test any rollover scenarios for counter64 objects (and many counter32 objects as well). This is because it is not possible for these counters to rollover during the service life of the device (see discussion in section 3.1.6 of [RFC 2863]).

8.5 Security Management

The cable device (CMTS/CM) is required to provide SNMP responses in accordance with the SNMP framework defined in [RFC 3411] through [RFC 3416] and the guidelines defined in this section.

8.5.1 CMTS SNMP Modes of Operation

CMTS MUST support SNMP Coexistence Mode subject to the following requirements and limitations:

- SNMP v1/v2c/v3 Packets are processed as described in [RFC 3411] through [RFC 3415] and [RFC 3584].
- SNMP Access control is determined by the SNMP-COMMUNITY-MIB [RFC 3584], and SNMP-TARGET-MIB [RFC 3413], SNMP-VIEW-BASED-ACM-MIB [RFC 3415], and SNMP-User-Based-SM-MIB [RFC 3414].
- The SNMP-COMMUNITY-MIB [RFC 3584] controls SNMPv1/v2c packet community string associations to a security name to select entries for access control in the SNMP-VIEW-BASED-ACM-MIB [RFC 3415].
- The SNMP-USER-BASED-SM-MIB [RFC 3414] and SNMP-VIEW-BASED-ACM-MIB [RFC 3415] control SNMPv3 packets.
- SNMP Notification destinations are specified in the SNMP-TARGET-MIB and SNMP-NOTIFICATION-MIB [RFC 3413].

The CMTS MAY support SNMPv3 with AES encryption as defined in [RFC 3826].

8.5.2 CMTS SNMP Access Control Configuration

The CMTS SNMP access control initial configuration is outside of the scope of this specification. However, the CMTS MUST support the SNMPv3 key change mechanism defined in [RFC 3414].

8.5.3 CM SNMP Modes of Operation

The CM MUST support SNMPv1, SNMPv2c, and SNMPv3 as well as SNMP-coexistence [RFC 3584] subject to the requirements in the following sections.

The CM access control configuration supports SNMPv1v2c in NmAccess mode and SNMPv1v2c Coexistence mode as described in [RFC 4639] and Section 8.5.4.7 respectively.

8.5.4 CM SNMP Access Control Configuration

The CM SNMP access control is configured via the CM config file and later updated for an authorized entity. The confidentiality and authenticity of the information in the config file is defined in [MULPI] and [SEC]. The CM access control configuration supports SNMPv3 configuration through the Diffie-Hellman SNMP Kickstart process defined in Section 8.5.4.5.

8.5.4.1 SNMP operation before CM registration[37]

IP connectivity between the CM and the SNMP management station MUST be implemented as described in Section 9.1.

The CM MUST provide read-only access to the following MIB objects prior to CM registration:

- docsIfDownChannelFrequency

[37] Section revised per OSSIv3.0-07.0403-1,#2 on 5/8/07 by KN, and per OSSIv3.0-07.0469-2 on 7/10/07 by ab, and per OSSIv3.0-N-07.0477-2 by ab on 7/12/07.

- docsIfDownChannelPower
- docsIf3CmStatusValue
- docsDevEventTable

The CM MAY provide read-only access to the following MIB objects prior to CM registration:

- sysDescr
- sysUptime
- ifTable
- ifXTable
- docsIfUpChannelFrequency
- docsIfSignalQualityTable
- docsIfCmCmtsAddress
- docsIfCmStatusUsTxPower
- docsDevSwCurrentVers

The CM MUST NOT provide access to the following information prior to CM registration:

- CoS and QoS service flow information
- Configuration file contents
- Secure Software Download information
- Key authentication and encryption material
- SNMP management and control
- DOCSIS functional modules statistics and configuration
- Network provisioning hosts and servers IPs addresses

Additionally, prior to registration, the CM MUST adhere to the following requirements:

- The CM MAY provide access to additional information not listed in the statements above.
- The CM MUST NOT provide SNMP access from the RF interface prior to registration.
- The CM MUST accept any SNMPv1/v2c packets regardless of SNMP community string.
- The CM MUST drop all SNMPv3 packets.

The CM MUST NOT complete registration prior to successful processing of all MIB elements in the configuration file.

The CM MUST complete registration prior to beginning calculation of the public values in the USMDHKickstartTable.

If the CM configuration file contains SNMPv3 parameters, the CM MUST drop all SNMPv3 packets prior to calculating the public values in the USMDHKickstartTable.

8.5.4.2 SNMP operation after CM registration

After registration, the CM can be in one of the following SNMP operation modes:

- SNMPv1/v2c NmAccess mode
- SNMP Coexistence mode

Note: OpenAccess mode available in pre-3.0 DOCSIS OSSI specifications is not supported in DOCSIS 3.0.

The CM MUST NOT provide SNMP access if the configuration file does not contain SNMP access control TLVs such as docsDevNmAccessTable or SNMP coexistence TLV-11 or TLV-34, TLV-53 or TLV-54.

The SNMP mode of the CM is determined by the contents of the CM config file as follows:

The CM is in SNMPv1/v2c NmAccess mode if the CM configuration file contains docsDevNmAccessTable settings for SNMP access control, does not contain SNMP coexistence TLV-11, TLV-34, TLV-38, TLV-53 or TLV-54 [MULPI].

The CM is in SNMP coexistence mode if the CM configuration file contains snmpCommunityTable settings and/or TLV-34.1/34.2 and/or TLV-38. In this case, any entries made to the docsDevNmAccessTable are ignored.

SNMPv1/v2c NmAccess Mode (using docsDevNmAccess Table)

- The CM MUST implement docsDevNmAccessTable which controls access and trap destinations as described in [RFC 4639] for backward compatibility with pre-3.0 DOCSIS.
- The CM MUST process SNMPv1/v2c packets only in NmAccess mode and drop all SNMPv3 packets.
- The CM MUST NOT allow access to SNMPv3 MIBs as defined in [RFC 3411] through [RFC 3415] and [RFC 3584] while in NmAccess mode.

8.5.4.3 SNMP Coexistence Mode[38]

The CM MUST process SNMPv1/v2c/v3 messages for SNMP Access Control and SNMP notifications as described by [RFC 3411] through [RFC 3415] and [RFC 3584] as follows:

- The SNMP-COMMUNITY-MIB controls the translation of SNMPv1/v2c packet community string into security name which select entries in the SNMP-USER-BASED-SM-MIB. Access control is provided by the SNMP-VIEW-BASED-ACM-MIB.
- SNMP-USER-BASED-SM-MIB and SNMP-VIEW-BASED-ACM-MIB controls SNMPv3 packets.
- Notification destinations are specified in the SNMP-TARGET-MIB, SNMP-NOTIFICATION-MIB and SNMP-VIEW-BASED-ACM-MIB.
- The CM MUST NOT provide access to docsDevNmAccessTable.

When SNMPv3 is configured the CM conforms to the rules described in the following subsections.

8.5.4.3.1 During calculation of USMDHKickstartTable public value

- The CM MUST NOT allow SNMP access from the RF port.
- The CM MAY continue to allow access from the CPE port with the limited access as configured by the SNMP-COMMUNITY-MIB, SNMP-TARGET-MIB, SNMP-VIEW-BASED-ACM-MIB and SNMP-USER-BASED-SM-MIB.

[38] subsection deleted per OSSIv3.0-N-07.0385-1 by ab on 5/3/07.

8.5.4.4 SNMPv3 Initialization and Key Changes

Note that the SNMPv3 Initialization and Key Change process defined below is based on [RFC 2786] which always configures the SNMP agent with SNMPv3 HMAC-MD5-96 as the authentication protocol and CBC-DES as the privacy protocol, both specified in [RFC 3414]. Therefore, this specification does not provide a mechanism to initialize SNMPv3 using CFB128-AES-128 for privacy key, as defined in [RFC 3826] or any other configuration defined in [RFC 3414] and are left out of scope of this specification.

The DOCSIS 3.0 CM is designated as having a "very-secure" security posture in the context of [RFC 3414] and [RFC 3415] which means, that default usmUserTable and VACM tables entries defined in Appendix A of [RFC 3414] and Appendix A of [RFC 3415] MUST NOT be present. The major implication for the CM is that only the config file can be used to provide the initial SNMPv3 security configuration.

[RFC 2786] provides a mechanism to kick start an SNMPv3 agent User-based Security Model [RFC 3414] and extensions to the same model for key change. [RFC 2786] does not define the mechanism to configure the initial key material for the kick start process. This specification defines the configuration requirements to initialize the SNMPv3 KickStart initialization defined in [RFC 2786] to configure SNMPv3 for the CM.

The CM MUST support the config file TLV-34 as defined in [MULPI] to configure the initial key material (KickStart Security Name and KickStart Public Number) used for the SNMPv3 agent initialization.

The TLV-34.1 KickStart Security Name corresponds to the SNMPv3 userName [RFC 3414] to be initialized in the CM.

The TLV-34.2 KickStart Public Number is a Diffie-Helman public number generated as described in the description of usmDHKickstartMgrPublic MIB object of [RFC 2786].

The CM MUST support a minimum of 5 entries of TLV-34 in the config file.

The CM MUST provide, by default, pre-defined entries in the USM table and VACM tables to correctly create the userName 'dhKickstart' with security level 'noAuthNoPriv' that has read-only access to system group and usmDHkickstartTable of [RFC 2786].

The CM MUST provide access to TLV-34 [MULPI] and dhKickstart defined userNames in usmUserTable as follows:

- Access as specified in the config file or the default access if corresponding to usernames defined above
- StorageType is 'permanent'
- Prohibit entry deletion
- Entries do not persist across MAC initialization

8.5.4.4.1 SNMPv3 Initialization

For each of up to five different TLV-34 (KickStart Security Name, KickStart Public Number) [MULPI] pairs from the configuration file, the CM MUST populate in the usmDHKickstartTable the MIB objects usmDHKickstartSecurityName and usmDHKickstartMgrPublic (each pair as an entry).

When a usmDHKickstartMgrPublic instance is set with a valid value during the initialization, the CM MUST create a corresponding row in the usmUserTable as defined in the clause description of usmDHKickstartMgrPublic MIB object of [RFC 2786].

After the CM has registered with the CMTS:

The CM MUST populate the usmDHKickstartMyPublic MIB object of the usmDHKickstartTable as defined in [RFC 2786] for each entry that a non-zero length usmDHKickstartSecurityName and usmDHKickstartMgrPublic.

[RFC 2786] Textual Convention DHKeyChange defines the mechanism to determine the Diffie-Helman shared secret for the CM and the SNMP manager. With the Diffie-Helman shared secret, the CM and other entities can derive the SNMPv3 privacy and authentication keys for the corresponding USM userName.

The CM MUST derive the USM userName security and authentication keys as described in the description clause of the usmDHKickstartMgrPublic MIB object of [RFC 2786].

At this point the CM has completed its SNMPv3 initialization process.

After SNMPv3 initialization process has been finished, the CM MUST allow appropriate access level to a valid securityName with the correct authentication key and/or privacy key.

The CM MUST properly populate keys to appropriate tables as specified by the SNMPv3-related RFCs and [RFC 2786].

The following describes the process that the manager uses to derive the CM's unique authentication key and privacy key:

- The SNMP manager accesses the contents of the usmDHKickstartTable using the security name of 'dhKickstart' with no authentication.
- The SNMP manager gets the value of the CM's usmDHKickstartMyPublic number associated with the securityName for which the manager wants to derive authentication and privacy keys.
- Using the private random number, the manager can calculate the DH shared secret. From that shared secret, the manager can derive operational authentication and confidentiality keys for the securityName that the manager is going to use to communicate with the CM.

8.5.4.4.2 DH Key Changes

The CMs MUST support the key-change mechanism specified in the textual convention DHKeyChange of [RFC 2786].

8.5.4.5 View-based Access Control Model (VACM) Profile

This section addresses the default VACM profile for DOCSIS CMs operating in SNMP Coexistence mode.

The CM MUST support pre-installed entries in VACM tables of [RFC 3415] as follows:

- The system manager, with full read/write/config access:

  vacmSecurityModel: 3 (USM)
  vacmSecurityName: docsisManager
  vacmGroupName: docsisManager
  vacmSecurityToGroupStorageType: permanent
  vacmSecurityToGroupStatus: active

- An operator/CSR with read/reset access to full modem:

  vacmSecurityModel: 3 (USM)
  vacmSecurityName: docsisOperator
  vacmGroupName: docsisOperator
  vacmSecurityToGroupStorageType: permanent

vacmSecurityToGroupStatus: active

- RF Monitoring with read access to RF plant statistics:

  vacmSecurityModel: 3 (USM)
  vacmSecurityName: docsisMonitor
  vacmGroupName: docsisMonitor
  vacmSecurityToGroupStorageType: permanent
  vacmSecurityToGroupStatus: active

- User debugging with read access to 'useful' variables:

  vacmSecurityModel: 3 (USM)
  vacmSecurityName: docsisUser
  vacmGroupName: docsisUser
  vacmSecurityToGroupStorageType: permanent
  vacmSecurityToGroupStatus: active

- Group name to view translations

  vacmAccessContextMatch: exact
  vacmAccessReadViewName: docsisManagerView
  vacmAccessWriteViewName: docsisOperatorWriteView
  vacmAccessNotifyViewName: docsisManagerView
  vacmAccessStorageType: permanent
  vacmAccessStatus: active
  v vacmGroupName: docsisManager
  vacmAccessContextPrefix: "
  vacmAccessSecurityModel: 3 (USM)
  vacmAccessSecurityLevel: AuthPriv
  vacmAccessContextMatch: exact
  vacmAccessReadViewName: docsisManagerView
  vacmAccessWriteViewName: docsisManagerView
  vacmAccessNotifyViewName: docsisManagerView
  vacmAccessStorageType: permanent
  vacmAccessStatus: active
  vacmGroupName: docsisOperator
  vacmAccessContextPrefix: "
  vacmAccessSecurityModel: 3 (USM)
  vacmAccessSecurityLevel: AuthPriv & AuthNoPriv
  acmGroupName: docsisMonitor
  vacmAccessContextPrefix: "
  vacmAccessSecurityModel: 3 (USM)
  vacmAccessSecurityLevel: AuthNoPriv
  vacmAccessContextMatch: exact
  vacmAccessReadViewName: docsisMonitorView
  vacmAccessWriteViewName: "
  vacmAccessNotifyViewName: docsisMonitorView
  vacmAccessStorageType: permanent
  vacmAccessStatus: active
  vacmGroupName: docsisUser
  vacmAccessContextPrefix: "
  vacmAccessSecurityModel: 3 (USM)
  vacmAccessSecurityLevel: AuthNoPriv
  vacmAccessContextMatch: exact
  vacmAccessReadViewName: docsisUserView

vacmAccessWriteViewName: "
vacmAccessNotifyViewName: "
vacmAccessStorageType: permanent
vacmAccessStatus: active

The CM includes, by default, the following views referred from the VACM entries above:

- docsisManagerView

  subtree: 1.3.6.1 (Entire MIB)

- docsisOperatorWriteView

  subtree: docsDevBase
  subtree: docsDevSoftware
  subtree: docsDevEvControl
  subtree: docsDevEvThrottleAdminStatus

- docsisMonitorView

  subtree: 1.3.6.1.2.1.1 (system)
  subtree: docsIfBaseObjects
  subtree: docsIfCmObjects

- docsisUserView

  subtree 1.3.6.1.2.1.1 (system)
  subtree: docsDevBase
  subtree: docsDevSwOperStatus
  subtree: docsDevSwCurrentVersion
  subtree docsDevServerConfigFile
  subtree: docsDevEventTable
  subtree: docsDevCpeTable
  subtree: docsIfUpstreamChannelTable
  subtree: docsIfDownstreamChannelTable
  subtree: docsIfSignalQualityTable
  subtree: docsIfCmStatusTable

The CM MUST also support additional VACM users as they are configured via an SNMP-embedded configuration file.

8.5.4.6 SNMPv3 initialization failure

In case of failure to complete SNMPv3 initialization (i.e., NMS cannot access CM via SNMPv3 PDU), the CM is in the SNMP Coexistence mode and will allow SNMPv1/v2c access if and only if the SNMP-COMMUNITY-MIB entries (and related entries) are configured.

8.5.4.7 SNMPv1v2c Coexistence Configuration config file TLV

This section specifies CM processing requirements for the SNMPv1v2c Coexistence Configuration TLV [MULPI] when present in the configuration file. The SNMPv1v2c Coexistence Configuration TLV is used to configure SNMPv3 tables for SNMPv1 and v2c access. The CM MUST process SNMPv1v2c Coexistence Configuration TLV in conjunction with SNMP TLV-11 containing SNMPv3 tables, TLV-38, as well as SNMPv3 Access View Configuration TLV (see Section 8.5.4.8).

Based on the SNMPv1v2c Coexistence Configuration TLV, the CM MUST create entries in the following tables in order to cause the desired SNMP Access:

- snmpCommunityTable
- snmpTargetAddrTable
- vacmSecurityToGroupTable
- vacmAccessTable

The mapping from the TLV to these tables is described in the following section.

8.5.4.7.1 Mapping of TLV fields into SNMPv3 tables

The following section describes the mapping of SNMPv1v2c Coexistence Configuration TLV into SNMPv3 entries:

Table 8-21 - SNMPv1v2c Coexistence Configuration TLV Mapping

Sub-TLVs	Variable Name	Associated MIB Object
SNMPv1v2c Community Name	CommunityName	snmpCommunityName [RFC 3584]
SNMPv1v2c Transport Address Access		
SNMPv1v2c Transport Address	TAddress	snmpTargetAddrTAddress [RFC 3413]
SNMPv1v2c Transport Address Mask	TMask	snmpTargetAddrTMask [RFC 3584]
SNMPv1v2c Access View Type	AccessViewType	
SNMPv1v2c Access View Name	AccessViewName	vacmAccessReadViewName and vacmAccessWriteViewName [RFC 3415]

The CM is not required to verify the consistency of linkage of tables unless specified. It is intended that the SNMP agent will handle the corresponding configuration problems as part of the normal SNMP incoming requests (e.g., generating internal abstract data elements like noSuchView [RFC 3415]).

Table 8-23 through Table 8-28 describe the CM procedures to populate the SNMP Management Framework Message Processing and Access Control Subsystems [RFC 3412].

In configuring entries in these SNMPv3 tables, note the following:

- The ReadViewName and WriteViewName may correspond to default entries as defined in Section 8.5.4.6, individual entries defined by TLV-11 or entries created using SNMPv3 Access View Configuration (see Section 8.5.4.8).
- Several columnar objects are configured with indexes with the string "@CMconfig". If these tables are configured through other mechanisms, Network operators should not use values beginning with "@CMconfig" to avoid conflicts with the mapping process specified here.

8.5.4.7.2 snmpCommunityTable

The snmpCommunityTable is defined in the "SNMP Community MIB Module" section of [RFC 3584].

The CM MUST create one row in snmpCommunityTable for each SNMPv1v2c Coexistence Configuration TLV in the config file as follows:

- The CM MUST set in snmpCommunityIndex the keyword @CMconfig_n where 'n' is a sequential number starting at 0 for each TLV processed (e.g., "@CMconfig_0", "@CMconfig_1", etc.)
- The CM MUST create space separated tags in snmpCommunityTransportTag for each SNMPv1v2c Community Name sub-TLV of the SNMPv1v2c Coexistence Configuration TLV in the config file.

Table 8-22 - snmpCommunityTable

Column Name (* = Part of Index)	Column Value
* snmpCommunityIndex	"@CMconfig_n" where n is 0..m-1 and m is the number of SNMPv1v2c Community Name config file TLVs
snmpCommunityName	<*CommunityName*>
snmpCommunitySecurityName	"@CMconfig_n"
snmpCommunityContextEngineID	<the engineID of the cable modem>
snmpCommunityContextName	<Zero-length OCTET STRING>
snmpCommunityTransportTag	"@CMconfigTag_n" where n is 0..m-1 and m is the number of SNMPv1v2c Coexistence Configuration config file TLVs
snmpCommunityStorageType	volatile (2)
snmpCommunityStatus	active (1)

8.5.4.7.3 snmpTargetAddrTable

The snmpTargetAddrTable is defined in the "Definitions" section of [RFC 3413].

The CM MUST create one row in snmpTargetAddrTable for each SNMPv1v2c Transport Address Access sub-TLV of the SNMPv1v2c Coexistence Configuration TLV in the config file.

Table 8-23 - snmpTargetAddrTable

Column Name (* = Part of Index)	Column Value
* snmpTargetAddrName	"@CMconfigTag_n_i" where n is 0..m-1 and m is the number of SNMPv1v2c Coexistence Configuration config file TLVs. Where i is 0..p-1 and p is the number of SNMPv1v2c Transport Address Access sub-TLV within the SNMPv1v2c Coexistence Configuration config file TLV n
snmpTargetAddrTDomain	IPv4: snmpUDPDomain [RFC 3417] IPv6: transportDomainUdpIpv6 [RFC 3419]
snmpTargetAddrTAddress (IP Address and UDP Port)	IPv4: SnmpUDPAddress [RFC 3417] OCTET STRING (6) Octets 1-4: <TAddress> Octets 5-6: <TAddress> IPv6: TransportAddressIPv6 [RFC 3419] OCTET STRING (18) Octets 1-16: <TAddress> Octets 17-18: <TAddress>
snmpTargetAddrTimeout	Default from MIB
snmpTargetAddrRetryCount	Default from MIB
snmpTargetAddrTagList	"@CMconfigTag_n" where n is 0..m-1 and m is the number of SNMPv1v2c Coexistence Configuration config file TLVs
snmpTargetAddrParams	'00'h (null character)

snmpTargetAddrStorageType	volatile (2)
snmpTargetAddrRowStatus	active (1)

8.5.4.7.4 snmpTargetAddrExtTable

The snmpTargetAddrExtTable is defined in the "SNMP Community MIB Module" section of [RFC 3584].

The CM MUST create one row in snmpTargetAddrExtTable for each SNMPv1v2c Transport Address Access sub-TLV of the SNMPv1v2c Coexistence Configuration TLV in the config file.

Table 8-24 - snmpTargetAddrExtTable

Column Name (* = Part of Index)	Column Value
* snmpTargetAddrName	"@CMconfigTag_n_i" where n is 0..m-1 and m is the number of SNMPv1v2c Coexistence Configuration config file TLVs. Where i is 0..p-1 and p is the number of SNMPv1v2c Transport Address Access sub-TLV within the SNMPv1v2c Coexistence Configuration config file TLV n
snmpTargetAddrTMask	<Zero-length OCTET STRING> when <*TMask*> is not provided in the ith SNMPv1v2c Transport Address Access sub-TLV IPv4: SnmpUDPAddress [RFC 3417] OCTET STRING (6) Octets 1-4: <*TMask*> Octets 5-6: <UDP Port> IPv6: TransportAddressIPv6 [RFC 3419] OCTET STRING (18) Octets 1-16: <*TMask*> Octets 17-18: <UDP Port>
snmpTargetAddrMMS	SM Maximum Message Size

8.5.4.7.5 vacmSecurityToGroupTable

The vacmSecurityToGroupTable is defined in the "Definitions" section of [RFC 3415].

The CM MUST create two rows in vacmSecurityGroupTable for each SNMPv1v2c Coexistence Configuration TLV in the config file as follows:

The CM MUST set in vacmSecurityName the keyword @CMconfig_n where 'n' is a sequential number starting at 0 for each SNMPv1v2c Coexistence Configuration TLV processed (e.g., "@CMconfig_0", "@CMconfig_1", etc.).

The CM MUST set in vacmGroupName the keyword @CMconfigV1_n for the first row and @CMconfigV2_n for the second row where 'n' is a sequential number starting at 0 for each SNMPv1v2c Coexistence Configuration TLV processed (e.g., "@CMconfigV1_0", "@CMconfigV1_1", etc.).

Table 8-25 - vacmSecurityToGroupTable

Column Name (* = Part of Index)	First Row Column Value	Second Row Column Value
* vacmSecurityModel	SNMPV1 (1)	SNMPV2c (2)
* vacmSecurityName	"@CMconfig_n"	"@CMconfig_n"
vacmGroupName	"@CMconfigV1_n"	"@CMconfigV2_n"
vacmSecurityToGroupStorageType	volatile (2)	volatile (2)

vacmSecurityToGroupStatus	active (1)	active (1)

8.5.4.7.6 vacmAccessTable

The vacmAccessTable is defined in the "Definitions" section of [RFC 3415].

The CM MUST create two rows in vacmAccessTable for each SNMPv1v2c Coexistence Configuration TLV in the config file as follows:

The CM MUST set in vacmGroupName the keyword @CMconfigV1_n for the first row and @CMconfigV2_n for the second row where 'n' is a sequential number starting at 0 for each SNMPv1v2c Coexistence Configuration TLV processed (e.g., "@CMconfigV1_0", "@CMconfigV1_1", etc.).

Table 8-26 - vacmAccessTable

Column Name (* = Part of Index)	Column Value	Column Value
* vacmGroupName	"@CMconfigV1_n"	"@CMconfigV2_n"
* vacmAccessContextPrefix	<zero-length string>	<zero-length string>
* vacmAccessSecurityModel	SNMPV1 (1)	SNMPV2c (2)
* vacmAccessSecurityLevel	noAuthNoPriv (1)	noAuthNoPriv (1)
vacmAccessContextMatch	exact (1)	exact (1)
vacmAccessReadViewName	Set <*AccessViewName*>	Set <*AccessViewName*>
vacmAccessWriteViewName	When <*AccessViewType*> == '2' Set <*AccessViewName*> Otherwise, set <Zero-length OCTET STRING>	When <*AccessViewType*> == '2' Set <*AccessViewName*> Otherwise, set <Zero-length OCTET STRING>
vacmAccessNotifyViewName	<Zero-length OCTET STRING>	<Zero-length OCTET STRING>
vacmAccessStorageType	volatile (2)	volatile (2)
vacmAccessStatus	active (1)	active (1)

8.5.4.8 SNMPv3 Access View Configuration config file TLV

This section specifies CM processing requirements for SNMPv3 Access View Configuration TLVs when present in the configuration file. The SNMPv3 Access View Configuration TLV is used to configure the table vacmViewTreeFamilyTable in a simplified way. The CM MUST process SNMPv3 Access View Configuration TLV in conjunction with SNMP TLV-11 containing SNMPv3 tables, TLV-38 as well as SNMPv1v2c Coexistence Configuration TLV (see Section 8.5.4.7).

The mapping from the TLV to these tables is described in the following section.

8.5.4.8.1 Mapping of TLV fields into SNMPv3 tables

The following section describes the mapping of SNMPv3 Access View Configuration TLVs into vacmViewTreeFamilyTable:

Table 8-27 - SNMPv3 Access View Configuration TLV Mapping

Sub-TLVs	Variable Name	Associated MIB Object [RFC 3415]
SNMPv3 Access View Name	AccessViewName	vacmViewTreeFamilyViewName
SNMPv3 Access View Subtree	AccessViewSubTree	vacmViewTreeFamilySubtree
SNMPv3 Access View Mask	AccessViewMask	vacmViewTreeFamilyMask
SNMPv3 Access View Type	AccessViewType	vacmViewTreeFamilyType

Disconnected entries in the CM SNMP access configuration database are not expected to be detected by the CM as part of the configuration. Eventually, the SNMP agent will not grant access to SNMP requests, for example, to disconnected Security Names and View trees as a result of a TLV configuration mistake.

Table 8-28 describes the CM procedures to populate the SNMP Management Framework Access Control Subsystem [RFC 3412].

In configuring entries for SNMPv3 Access View Configuration TLV, note the following:

One entry is created for each TLV. Some Access Views may have a number of included/excluded OID branches. Only Access View Name will be common for all these OID branches. To support such type of Access View with multiple included/excluded OID branches a number of multiple SNMPv3 Access View Configuration TLVs need to be defined in configuration file.

8.5.4.8.2 vacmViewTreeFamilyTable

The vacmViewTreeFamilyTable is defined in the "Definitions" section of [RFC 3415].

The CM MUST create one row in vacmViewTreeFamilyTable for each SNMPv3 Access View Configuration TLV in the config file. The CM MUST reject the config file if two SNMPv3 Access View Configuration TLVs have identical index components relative to vacmViewTreeFamilyTable. In such instance, the CM would not be able to create an entry for the second TLV containing the duplicate index.

The CM MUST set the object vacmViewTreeFamilySubtree to 1.3.6 when no sub-TLV SNMPv3 Access View Subtree is defined in the config file.

The CM MUST set the object vacmViewTreeFamilyMask to the default zero-length string when no sub-TLV SNMPv3 Access View Mask is defined.

The CM MUST set the object vacmViewTreeFamilyType to the default value 1 (included) when no sub-TLV SNMPv3 Access View Type is defined.

Table 8-28 - vacmViewTreeFamilyTable

Column Name (* = Part of Index)	Column Value
* vacmViewTreeFamilyViewName	*<AccessViewName>*
* vacmViewTreeFamilySubtree	*<AccessViewSubTree>*
vacmViewTreeFamilyMask	*<AccessViewMask>*
vacmViewTreeFamilyType	*<AccessViewType>*
vacmViewTreeFamilyStorageType	volatile (2)
vacmViewTreeFamilyStatus	active (1)

8.5.4.9 SNMP CPE Access Control Configuration config file TLV[39]

The 'SNMP CPE Access Control' config File TLV (See [MULPI]) provides a mechanism to filter SNMP PDU-requests originating from a CMCI interface.

The CM MUST enforce the requirements of 'SNMP CPE Access Control' when configured in SNMP Coexistence mode.

The CM MAY ignore the 'SNMP CPE Access Control' encodings when configured in NmAccess mode.

When applicable, the CM MUST enforce the 'SNMP CPE Access Control' requirements to enable or disable SNMP Access originating from a CMCI interface directed to any CM provisioned IP addresses (See [MULPI]) or any of the CM's CMCI IP addresses defined in Section 9.1, and prior to SNMP protocol specific access control mechanisms such as SNMPv3 Access View, or NmAccess settings.

8.5.5 IPDR Streaming Protocol Security Model

Refer to [IPDR/SP] for the IPDR/SP Security recommendations. The IPDR/SP Security Model is out of the scope of this specification.

[39] section added per OSSIv3.0-N-07.0400-1 by ab on 5/4/07.

9 OSSI FOR CMCI[40]

This section defines the operational mechanisms needed to support the transmission of data over cable services between a cable modem (CM) and customer premise equipment (CPE). Specifically, this section outlines the following:

- SNMP access via CMCI
- Console Access
- CM diagnostic capabilities
- Protocol Filtering
- Required MIBs

9.1 SNMP Access via CMCI[41]

DOCSIS 3.0 CMs have provisions for dual-stack management or management of the CM using SNMP over IPv4 and IPv6. During provisioning, the management of the CM is determined by the MSO. However, SNMP access from the CMCI port(s) for diagnostic purposes prior to the CM being registered needs to operate in a dual-stack management mode and allow access for both IPv4 and IPv6 hosts. CM SNMP access from the CMCI before completing the CMTS registration process MUST comply with the access requirements specified in Section 8.5.4.1. The CM DHCP-acquired IP MUST ignore SNMP requests from CMCI before registration.

CM SNMP access from the CMCI after completing the CMTS registration process MUST comply with the access requirements specified in Section 8.5.4.2. The CM DHCP-acquired IP MUST accept SNMP requests from CMCI after completing registration.

The CM MUST support SNMP access through the following IP addresses regardless of the CM registration state:

- The CM MUST support 192.168.100.1, as the well-known diagnostic IP address accessible only from the CMCI interfaces. The CM MUST support the well-known diagnostic IP address, 192.168.100.1, on all physical interfaces associated with the CMCI. The CM MUST drop SNMP requests coming from the CATV interface targeting the well-known IP address.
- The CM MAY also implement alternative IPv4 interfaces like link-local method described in [RFC 3927]. If implemented, the CM MUST restrict the IP address range described in "Address Selection, Defense and Delivery" of [RFC 3927] to 169.254.1.0 to 169.254.254.255 inclusive.
- The CM MUST support an IPv6, EUI-64 link-local scope address in the format FE:80:02:01::<*vendorId*>:FFFE:<*remainingMacAddress*> of the CMCI port. The CM MUST drop SNMP requests coming from the RF interface targeting this well-known IPv6 address. Refer to [RFC 4291] for additional details.

9.2 Console Access[42]

The CM MUST NOT allow access to the CM functions by a console port. In this specification, a console port is defined as a communication path, either hardware or software, that allows a user to issue commands to modify the

[40] Section revised per OSSIv3.0-07.0403-1, #3 on 5/8/07 by KN.
[41] revised per OSSIv3.0-N-07.0400-1 by ab on 5/4/07.
[42] Text revised per OSSIv3.0-07.0403-1,#4 on 5/8/07 by KN.

configuration or operational status of the CM. The CM MUST only allow access using DOCSIS defined RF interfaces and operator-controlled SNMP access by the CMCI.

9.3 CM Diagnostic Capabilities

The CM MAY have a diagnostic interface for debugging and troubleshooting purposes. The CM's diagnostic interface MUST be limited by default to the requirements described in Section 8.5.4 before and after registration. The CM's diagnostic interface SHOULD be disabled by default after registration has been completed. The CM MAY provide additional controls that will enable the MSO to alter or customize the diagnostic interface, such as by the configuration process or management through the setting of a proprietary MIB.

9.4 Protocol Filtering

The CM MUST be capable of filtering traffic to and from the host CPE as defined in Annex F. [43]

[43] Section following this deleted per OSSIv3.0-07.0403-1,#5 on 5/8/07 by KN.

10 OSSI FOR CM DEVICE[44]

The CM SHOULD support standard front-panel LEDs (Light Emitting Diodes) that present straightforward information about the registration state of the CM so as to facilitate efficient customer support operations.

10.1 CM LED Requirements and Operation[45]

A CM SHOULD support LEDs which have three states: 1) unlit, 2) flash, 3) lit solid. A CM LED in the 'flash' state SHOULD turn on and off with a 50% duty cycle at a frequency not less than 2 cycles per second. A CM SHOULD support LEDs which light sequentially, following the normal CM initialization procedure specified in [MULPI]. In this way, the installer can detect a failure that prevents the CM from becoming operational.

A CM SHOULD have a minimum of five externally visible LEDs divided into three functional groups as indicated below:

BOX: This group SHOULD have 1 LED labeled as POWER for the BOX status.

DOCSIS: This group SHOULD have 3 LEDs labeled as DS, US, and ONLINE for the DOCSIS interface status. The LEDs in the DOCSIS group SHOULD be in the order: DS, US, and ONLINE, from left to right, or top to bottom, as appropriate for the orientation of the device.

CPE: This group SHOULD have a minimum of 1 LED labeled as LINK for the LINK status. The CM MAY have multiple LEDs in the CPE group to represent individual CPE interface types and parameters. These CM CPE LEDs MAY be labeled according to their associated interface types.

There is no specific requirement for labeling the functional groups. The overall CM LED distribution SHOULD be in the order: POWER, DS, US, ONLINE, and LINK.

The CM SHOULD use these LEDs to indicate that the following modes of operation are in progress, or have completed successfully:

- Power on, Software Application Image Validation and Self Test
- Scan for Primary Downstream Channel
- Resolve CM-SG and Range
- Becoming Operational
- Data Link and Activity

The CM SHOULD operate its LEDs as described in the following sections for each of the above modes of operation.

10.1.1 Power On, Software Application Image Validation and Self Test[46]

The CM SHOULD, when turned on, place the LEDs, or at least the DOCSIS Group LEDs (DS, US, ONLINE), in the 'flash' state while the CM performs the system initialization of the Operational System, CM application load, and any proprietary self-tests. Following the successful completion of the steps above, the CM SHOULD place the LEDs, or at least the DOCSIS Group LEDs, in the 'lit solid' state for one second, after which the CM places the

[44] Text revised per OSSIv3.0-07.0403-1,#6 on 5/8/07 by KN.
[45] revised per OSSIv3.0-N-07.0477-2 by ab on 7/12/07.
[46] revised per OSSIv3.0-N-07.0447-2 by ab on 7/10/07.

POWER LED in the 'lit solid' state. The CM MAY also place the LINK LED in the 'lit solid' state if a CPE device is properly connected (see Section 10.1.5 below). If the system initialization, described above, results in a failure, the CM SHOULD place the LEDs, or at least the DOCSIS Group LEDs in the 'flash' state, in which they should remain.

10.1.2 Scan for Primary Downstream Channel[47]

The CM SHOULD place the DS LED in the 'flash' state as the CM scans for a Downstream DOCSIS channel. The CM SHOULD place the DS LED in the 'lit solid' state when the CM MAC layer has already synchronized, as defined in the "Cable Modem Initialization and Reinitialization" section of [MULPI]. Whenever the CM is scanning for a downstream channel and attempting to synchronize to a downstream channel, the CM SHOULD place the DS LED in the 'flash' state and the US LED and ONLINE LED in the 'unlit' state.

10.1.3 Resolve CM-SG and Range[48]

After the CM places the DS LED in the 'lit solid' state, the CM SHOULD place the US LED in the 'flash' state and the ONLINE LED in the 'unlit' state while the CM is determining CM-SGs and performing ranging. When the CM completes a successful initial ranging, the CM SHOULD place the US LED in the 'lit solid' state. For further information on obtaining US parameters, see the section "Obtain Upstream Parameters" in [MULPI]).

10.1.4 Becoming Operational

After the CM places the US LED in the 'lit solid' state, the CM SHOULD place the ONLINE LED in the 'flash' state while the CM continues the process to become operational. When the CM is operational, the CM SHOULD place the ONLINE LED in the 'lit solid' state. Operational is defined according the section "Cable Modem Initialization and Reinitialization" in [MULPI]. If at any point there is a failure in the registration process that causes the CM to not become operational, including but not limited to ranging, DHCP, configuration file download, registration, and Baseline Privacy initialization, the CM SHOULD place the ONLINE LED in the 'flash' state.

If the CM becomes operational and the CM configuration file has the Network Access Control Object (NACO) set to zero (0), the CM SHOULD place the ONLINE LED in the 'unlit' state and place both the 'DS and US LEDs in the 'flash' state. Refer to the Common Radio Frequency Interface Encodings Annex of [MULPI] for details on the Network Access Control Object (NACO).

10.1.5 Data Link and Activity

The CM SHOULD place the LINK LED in the 'lit solid' state when a CPE device is connected and the CM is not bridging data. The CM SHOULD place the LINK LED in the 'flash' state ONLY when the CM is bridging data during the CM operational state and NACO set to one (1). The CM SHOULD NOT place the LINK LED in the 'flash' state for data traffic originating or terminating at the CM device itself.

If LINK is detected with a CPE device, the CM MAY set the LINK LED to the 'lit solid' state any time after the power and self test steps are completed.

10.2 Additional CM Operational Status Visualization Features

The CM MAY change the DOCSIS defined LED behavior when the CM is in a vendor proprietary mode of operation. The CM MUST NOT have additional LEDs that reveal DOCSIS specific information about the configuration file content, or otherwise clearly specified (see NACO visualization in Section 10.1.4 and 10.1.5).

[47] revised per OSSIv3.0-N-07.0447-2 by ab on 7/10/07.
[48] revised per OSSIv3.0-N-07.0447-2 by ab on 7/10/07.

10.2.1 Secure Software Download

The CM SHOULD signal that a Secure Software Download [SEC] is in process, by setting the DS LED and the US LED to the 'flash' state, and the ONLINE LED to the 'lit solid' state.

Annex A Detailed MIB Requirements (Normative)

This Annex defines the SNMP MIB modules and MIB variables required for DOCSIS 3.0 CM and CMTS devices.

Table A-1 - MIB Implementation Support[49]

Requirement Type	Table Notation	Description
Deprecated	D	Deprecated objects are optional. If a vendor chooses to implement the object, the object MUST be implemented correctly according to the MIB definition. If a vendor chooses not to implement the object, an agent MUST NOT instantiate such object and MUST respond with the appropriate error/exception condition (e.g., no such object for SNMPv2c).
Mandatory	M	The object MUST be implemented correctly according to the MIB definition
Not Applicable	NA	Not applicable to the device.
Not Supported	N-Sup	An agent MUST NOT instantiate such object and MUST respond with the appropriate error/exception condition (e.g., no such object for SNMPv2c).
Optional	O	A vendor can choose to implement or not implement the object. If a vendor chooses to implement the object, the object MUST be implemented correctly according to the MIB definition. If a vendor chooses not to implement the object, an agent MUST NOT instantiate such object and MUST respond with the appropriate error/exception condition (e.g., no such object for SNMPv2c).
Obsolete	Ob	In SNMP convention, obsolete objects should not be implemented. This specification allows vendors to implement or not implement obsolete objects. If a vendor chooses to implement an obsoleted object, the object MUST be implemented correctly according to the MIB definition. If a vendor chooses not to implement the obsoleted object, the SNMP agent MUST NOT instantiate such object and MUST respond with the appropriate error/exception condition (e.g., 'noSuchObject' for SNMPv2c).

Table A-2 - SNMP Access Requirements

SNMP Access Type	Table Notation	Description
N-Acc	Not Accessible	The object is not accessible and is usually an index in a table
Read Create	RC	The access of the object MUST be implemented as Read-Create
Read Write	RW	The access of the object MUST be implemented as Read-Only
Read Only	RO	The access of the object MUST be implemented as Read-Write
Read Create or Read Only	RC/RO	The access of the object MUST be implemented as either Read-Create or Read-Only as described in the MIB definition
Read Write / Read Only	RW/RO	The access of the object MUST be implemented as either Read-Write or Read-Only as described in the MIB definition
Accessible for SNMP Notifications	Acc-FN	These objects are used for SNMP Notifications by the CMTS and CM SNMP Agents

A.1 MIB-Object Details[50]

The CM instantiates SNMP MIB objects based on its configuration and operational parameters acquired during registration. Below are denominations for several Table A-3 columns that indicate modes of operation where a CM has specific management requirements for certain MIB object instantiation and syntax.

The CM operates in either "1.0 CoS Mode" or "1.1 QoS Mode" based on the config file style.

The CM SNMP access control configuration is either NmAccess Mode or SNMP Coexistence Mode.

The CM upstream channel types can be categorized as "TDMA/ATDMA upstream" and "SCDMA upstream".

[49] revised per OSSIv3.0-N-07.0512-1 by ab on 10/11/07, and per OSSIv3.0-N-07.0516-2 by ab on 10/15/07.
[50] revised per OSSIv3.0-N-07.0475-3 by ab on 7/12/07, and then per OSSIv3.0-N-07.0522-7 by ab on 11/6/07, and per OSSIv3.0-N-07.0564-4 by ab on 11/13/07.

***Table A-3 - MIB Object Details*[51]**

DOCS-IF-MIB [RFC 4546]						
Object			**CM**	**Access**	**CMTS**	**Access**
docsIfDownstreamChannelTable			M	N-Acc	M	N-Acc
docsIfDownstreamChannelEntry			M	N-Acc	M	N-Acc
docsIfDownChannelId			M	RO	M	RW
docsIfDownChannelFrequency			M	RO	M	RW/RO
docsIfDownChannelWidth			M	RO	M	RO
docsIfDownChannelModulation			M	RO	M	RW
docsIfDownChannelInterleave			M	RO	M	RW
docsIfDownChannelPower			M	RO	M	RW/RO
docsIfDownChannelAnnex			M	RO	M	RO
docsIfDownChannelStorageType			M	RO	M	RO
Object	**CM TDMA/ATDMA upstream**	**Access**	**CM SCDMA upstream**	**Access**	**CMTS**	**Access**
docsIfUpstreamChannelTable	M	N-Acc	M	N-Acc	M	N-Acc
docsIfUpstreamChannelEntry	M	N-Acc	M	N-Acc	M	N-Acc
docsIfUpChannelId	M	RO	M	RO	M	RO
docsIfUpChannelFrequency	M	RO	M	RO	M	RC
docsIfUpChannelWidth	M	RO	M	RO	M	RC
docsIfUpChannelModulationProfile	M	RO	M	RO	M	RC
docsIfUpChannelSlotSize	M	RO	M	RO	M	RC/RO
docsIfUpChannelTxTimingOffset	M	RO	M	RO	M	RO
docsIfUpChannelRangingBackoffStart	M	RO	M	RO	M	RC
docsIfUpChannelRangingBackoffEnd	M	RO	M	RO	M	RC
docsIfUpChannelTxBackoffStart	M	RO	M	RO	M	RC
docsIfUpChannelTxBackoffEnd	M	RO	M	RO	M	RC
docsIfUpChannelScdmaActiveCodes	O	RO	M	RO	M	RC
docsIfUpChannelScdmaCodesPerSlot	O	RO	M	RO	M	RC
docsIfUpChannelScdmaFrameSize	O	RO	M	RO	M	RC
docsIfUpChannelScdmaHoppingSeed	O	RO	M	RO	M	RC
docsIfUpChannelType	M	RO	M	RO	M	RC
docsIfUpChannelCloneFrom	O	RO	M	RO	M	RC
docsIfUpChannelUpdate	O	RO	M	RO	M	RC
docsIfUpChannelStatus	O	RO	M	RO	M	RC
docsIfUpChannelPreEqEnable	M	RO	M	RO	M	RC
docsIfQosProfileTable	M	N-Acc	M	N-Acc	O	N-Acc
docsIfQosProfileEntry	M	N-Acc	M	N-Acc	O	N-Acc
docsIfQosProfIndex	M	N-Acc	O	N-Acc	O	N-Acc
docsIfQosProfPriority	M	RO	O	RO	O	RC/RO
docsIfQosProfMaxUpBandwidth	M	RO	O	RO	O	RC/RO
docsIfQosProfGuarUpBandwidth	M	RO	O	RO	O	RC/RO
docsIfQosProfMaxDownBandwidth	M	RO	O	RO	O	RC/RO

51 Table replaced per OSSIv3.0-N-07.0475-3 by ab on 7/12/07. Updated per OSSIv3.0-N-07.0511-2 by ab on 10/11/07, per OSSIv3.0-N-07.0528-2 by ab on 10/19/07, per OSSIv3.0-N-07.0522-7 by ab on 11/6/07, per OSSIv3.0-N-07.0553-4 by ab on 11/13/07, and per OSSIv3.0-N-07.0564-4 by ab on 11/13/07.

docsIfQosProfMaxTxBurst	D	RO	D	RO	D	RC/RO
docsIfQosProfBaselinePrivacy	M	RO	O	RO	O	RC/RO
docsIfQosProfStatus	M	RO	O	RO	O	RC/RO
docsIfQosProfMaxTransmitBurst	M	RO	O	RO	O	RC/RO
docsIfQosProfStorageType	M	RO	O	RO	O	RO
Object			**CM**	**Access**	**CMTS**	**Access**
docsIfSignalQualityTable			M	N-Acc	M	N-Acc
docsIfSignalQualityEntry			M	N-Acc	M	N-Acc
docsIfSigQIncludesContention			M	RO	M	RO
docsIfSigQUnerroreds			M	RO	M	RO
docsIfSigQCorrecteds			M	RO	M	RO
docsIfSigQUncorrectables			M	RO	M	RO
docsIfSigQSignalNoise			D	RO	D	RO
docsIfSigQMicroreflections			M	RO	M	RO
docsIfSigQEqualizationData			M	RO	M	RO
docsIfSigQExtUnerroreds			M	RO	M	RO
docsIfSigQExtCorrecteds			M	RO	M	RO
docsIfSigQExtUncorrectables			M	RO	M	RO
docsIfDocsisBaseCapability			M	RO	M	RO
docsIfCmMacTable			M	N-Acc	NA	
docsIfCmMacEntry			M	N-Acc	NA	
docsIfCmCmtsAddress			M	RO	NA	
docsIfCmCapabilities			M	RO	NA	
docsIfCmRangingTimeout			Ob	RW	NA	
docsIfCmRangingTimeout			M	RW	NA	
docsIfCmStatusTable			D	N-Acc	NA	
docsIfCmStatusEntry			D	N-Acc	NA	
docsIfCmStatusValue			D	RO	NA	
docsIfCmStatusCode			D	RO	NA	
docsIfCmStatusTxPower			D	RO	NA	
docsIfCmStatusResets			D	RO	NA	
docsIfCmStatusLostSyncs			D	RO	NA	
docsIfCmStatusInvalidMaps			D	RO	NA	
docsIfCmStatusInvalidUcds			D	RO	NA	
docsIfCmStatusInvalidRangingResponses			D	RO	NA	
docsIfCmStatusInvalidRegistrationResponses			D	RO	NA	
docsIfCmStatusT1Timeouts			D	RO	NA	
docsIfCmStatusT2Timeouts			D	RO	NA	
docsIfCmStatusT3Timeouts			D	RO	NA	
docsIfCmStatusT4Timeouts			D	RO	NA	
docsIfCmStatusRangingAborteds			D	RO	NA	
docsIfCmStatusDocsisOperMode			D	RO	NA	
docsIfCmStatusModulationType			D	RO	NA	
docsIfCmStatusEqualizationData			D	RO	NA	
docsIfCmStatusUCCs			D	RO	NA	
docsIfCmStatusUCCFails			D	RO	NA	
Object			**CM**	**Access**	**CMTS**	**Access**

docsIfCmServiceTable			M	N-Acc	NA	
docsIfCmServiceEntry			M	N-Acc	NA	
docsIfCmServiceId			M	N-Acc	NA	
docsIfCmServiceQosProfile			M	RO	NA	
docsIfCmServiceTxSlotsImmed			M	RO	NA	
docsIfCmServiceTxSlotsDed			M	RO	NA	
docsIfCmServiceTxRetries			M	RO	NA	
docsIfCmServiceTxExceeds			M	RO	NA	
docsIfCmServiceRqRetries			M	RO	NA	
docsIfCmServiceRqExceededs			M	RO	NA	
docsIfCmServiceExtTxSlotsImmed			M	RO	NA	
docsIfCmServiceExtTxSlotsDed			M	RO	NA	
Object			**CM**	**Access**	**CMTS**	**Access**
docsIfCmtsMacTable			NA		M	N-Acc
docsIfCmtsMacEntry			NA		M	N-Acc
docsIfCmtsCapabilities			NA		M	RO
docsIfCmtsSyncInterval			NA		M	RW
docsIfCmtsUcdInterval			NA		M	RW/RO
docsIfCmtsMaxServiceIds			NA		M	RO
docsIfCmtsInsertionInterval			NA		Ob	RW/RO
docsIfCmtsInvitedRangingAttempts			NA		M	RW/RO
docsIfCmtsInsertInterval			NA		M	RW/RO
docsIfCmtsMacStorageType			NA		M	RW/RO
docsIfCmtsStatusTable			NA		D	N-Acc
docsIfCmtsStatusEntry			NA		D	N-Acc
docsIfCmtsStatusInvalidRangeReqs			NA		D	RO
docsIfCmtsStatusRangingAborteds			NA		D	RO
docsIfCmtsStatusInvalidRegReqs			NA		D	RO
docsIfCmtsStatusFailedRegReqs			NA		D	RO
docsIfCmtsStatusInvalidDataReqs			NA		D	RO
docsIfCmtsStatusT5Timeouts			NA		D	RO
docsIfCmtsCmStatusTable			NA		D	N-Acc
docsIfCmtsCmStatusEntry			NA		D	N-Acc
docsIfCmtsCmStatusIndex			NA		D	N-Acc
docsIfCmtsCmStatusMacAddress			NA		D	RO
docsIfCmtsCmStatusIpAddress			NA		D	RO
docsIfCmtsCmStatusDownChannelIfIndex			NA		D	RO
docsIfCmtsCmStatusUpChannelIfIndex			NA		D	RO
docsIfCmtsCmStatusRxPower			NA		D	RO
docsIfCmtsCmStatusTimingOffset			NA		D	RO
docsIfCmtsCmStatusEqualizationData			NA		D	RO
docsIfCmtsCmStatusValue			NA		D	RO
docsIfCmtsCmStatusUnerroreds			NA		D	RO
docsIfCmtsCmStatusCorrecteds			NA		D	RO
docsIfCmtsCmStatusUncorrectables			NA		D	RO
docsIfCmtsCmStatusSignalNoise			NA		D	RO
docsIfCmtsCmStatusMicroreflections			NA		D	RO
docsIfCmtsCmStatusExtUnerroreds			NA		D	RO

docsIfCmtsCmStatusExtCorrecteds			NA		D	RO
docsIfCmtsCmStatusExtUncorrectables			NA		D	RO
docsIfCmtsCmStatusDocsisRegMode			NA		D	RO
docsIfCmtsCmStatusModulationType			NA		D	RO
docsIfCmtsCmStatusInetAddressType			NA		D	RO
docsIfCmtsCmStatusInetAddress			NA		D	RO
docIfCmtsCmStatusValueLastUpdate			NA		D	RO
docsIfCmtsCmStatusHighResolutionTimingOffset			NA		D	RO
docsIfCmtsServiceTable			NA		M	N-Acc
docsIfCmtsServiceEntry			NA		M	N-Acc
docsIfCmtsServiceId			NA		M	N-Acc
docsIfCmtsServiceCmStatusIndex			NA		M	RO
docsIfCmtsServiceAdminStatus			NA		M	RW/RO
docsIfCmtsServiceQosProfile			NA		M	RO
docsIfCmtsServiceCreateTime			NA		M	RO
docsIfCmtsServiceInOctets			NA		M	RO
docsIfCmtsServiceInPackets			NA		M	RO
docsIfCmtsServiceNewCmStatusIndex			NA		M	RO
docsIfCmtsModulationTable			NA		M	N-Acc
docsIfCmtsModulationEntry			NA		M	N-Acc
docsIfCmtsModIndex			NA		M	N-Acc
docsIfCmtsModIntervalUsageCode			NA		M	N-Acc
docsIfCmtsModControl			NA		M	RC
docsIfCmtsModType			NA		M	RC
docsIfCmtsModPreambleLen			NA		M	RC
docsIfCmtsModDifferentialEncoding			NA		M	RC
docsIfCmtsModFECErrorCorrection			NA		M	RC
docsIfCmtsModFECCodewordLength			NA		M	RC
docsIfCmtsModScramblerSeed			NA		M	RC
docsIfCmtsModMaxBurstSize			NA		M	RC
docsIfCmtsModGuardTimeSize			NA		M	RO
docsIfCmtsModLastCodewordShortened			NA		M	RC
docsIfCmtsModScrambler			NA		M	RC
docsIfCmtsModByteInterleaverDepth			NA		M	RC
docsIfCmtsModByteInterleaverBlockSize			NA		M	RC
docsIfCmtsModPreambleType			NA		M	RC
docsIfCmtsModTcmErrorCorrectionOn			NA		M	RC
docsIfCmtsModScdmaInterleaverStepSize			NA		M	RC
docsIfCmtsModScdmaSpreaderEnable			NA		M	RO
docsIfCmtsModScdmaSubframeCodes			NA		M	RC
docsIfCmtsModChannelType			NA		M	RC
docsIfCmtsModStorageType			NA		M	RC
docsIfCmtsQosProfilePermissions			NA		M	RW /RO
docsIfCmtsMacToCmTable			NA		M	N-Acc
docsIfCmtsMacToCmEntry			NA		M	N-Acc
docsIfCmtsCmMac			NA		M	N-Acc
docsIfCmtsCmPtr			NA		M	RO

docsIfCmtsChannelUtilizationInterval			NA	NA	M	RW
DocsIfCmtsChannelUtilizationTable			NA		M	N-Acc
DocsIfCmtsChannelUtilizationEntry			NA		M	N-Acc
docsIfCmtsChannelUtIfType			NA		M	N-Acc
docsIfCmtsChannelUtId			NA		M	N-Acc
docsIfCmtsChannelUtUtilization			NA		M	RO
DocsIfCmtsDownChannelCounterTable			NA		M	N-Acc
DocsIfCmtsDownChannelCounterEntry			NA		M	N-Acc
docsIfCmtsDownChnlCtrId			NA		M	RO
docsIfCmtsDownChnlCtrTotalBytes			NA		M	RO
docsIfCmtsDownChnlUsedBytes			NA		M	RO
docsIfCmtsDownChnlExtTotalBytes			NA		M	RO
docsIfCmtsDownChnlExtUsedBytes			NA		M	RO
DocsIfCmtsUpChannelCounterTable			NA		M	N-Acc
DocsIfCmtsUpChannelCounterEntry			NA		M	N-Acc
docsIfCmtsUpChnlCtrId			NA		M	RO
docsIfCmtsUpChnlCtrTotalMslots			NA		M	RO
docsIfCmtsUpChnlCtrUcastGrantedMslot			NA		M	RO
docsIfCmtsUpChnlCtrTotalCntnMslots			NA		M	RO
docsIfCmtsUpChnlCtrUsedCntnMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtTotalMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtUcastGrantedMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtTotalCntnMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtUsedCntnMslots			NA		M	RO
docsIfCmtsUpChnlCtrCollCntnMslots			NA		M	RO
docsIfCmtsUpChnlCtrTotalCntnReqMslots			NA		M	RO
docsIfCmtsUpChnlCtrUsedCntnReqMslots			NA		M	RO
docsIfCmtsUpChnlCtrCollCntnReqMslots			NA		M	RO
docsIfCmtsUpChnlCtrTotalCntnReqDataMslots			NA		M	RO
docsIfCmtsUpChnlCtrUsedCntnReqDataMslots			NA		M	RO
docsIfCmtsUpChnlCtrCollCntnReqDataMslots			NA		M	RO
docsIfCmtsUpChnlCtrTotalCntnInitMaintMslots			NA		M	RO
docsIfCmtsUpChnlCtrUsedCntnInitMaintMslots			NA		M	RO
docsIfCmtsUpChnlCtrCollCntnInitMaintMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtCollCntnMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtTotalCntnReqMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtUsedCntnReqMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtCollCntnReqMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtTotalCntnReqDataMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtUsedCntnReqDataMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtCollCntnReqDataMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtTotalCntnInitMaintMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtUsedCntnInitMaintMslots			NA		M	RO
docsIfCmtsUpChnlCtrExtCollCntnInitMaintMslots			NA		M	RO
DOCS-DRF-MIB [M-OSSI]						
Object			**CM**	**Access**	**CMTS**	**Access**
docsDrfDownstreamTable			NA		M	N-Acc
docsDrfDownstreamEntry			NA		M	N-Acc

docsDrfDownstreamPhyDependencies			NA		M	RO
docsDrfDownstreamCapabilitiesTable			NA		M	N-Acc
docsDrfDownstreamCapabilitiesEntry			NA		M	N-Acc
docsDrfDownstreamCapabFrequency			NA		M	RO
docsDrfDownstreamCapabBandwidth			NA		M	RO
docsDrfDownstreamCapabPower			NA		M	RO
docsDrfDownstreamCapabModulation			NA		M	RO
docsDrfDownstreamCapabInterleaver			NA		M	RO
docsDrfDownstreamCapabJ83Annex			NA		M	RO
docsDrfDownstreamCapabConcurrentServices			NA		NA	
docsDrfDownstreamCapabServicesTransport			NA		NA	
docsDrfDownstreamCapabMuting			NA		M	RO
docsDrfGroupDependencyTable			NA		M	N-Acc
docsDrfGroupDependencyEntry			NA		M	N-Acc
docsDrfGroupDependencyPhyParam			NA		M	N-Acc
docsDrfGroupDependencyPhysicalIndex			NA		M	N-Acc
docsDrfGroupDependencyGroupID			NA		O	RO
docsDrfGroupDependencyType			NA		M	RO
docsDrfChannelBlockTable			NA		M	N-Acc
docsDrfChannelBlockEntry			NA		M	N-Acc
docsDrfChannelBlockPhysicalIndex			NA		M	N-Acc
docsDrfChannelBlockNumberChannels			NA		M	RO
docsDrfChannelBlockCfgNumberChannels			NA		M	RW
docsDrfChannelBlockMute			NA		M	RW
docsDrfChannelBlockTestType			NA		M	RW
docsDrfChannelBlockTestIfIndex			NA		M	RW
IF-MIB [RFC 2863]						
Object			**CM**	**Access**	**CMTS**	**Access**
ifNumber			M	RO	M	RO
IfTableLastChange			M	RO	M	RO
ifTable **Note**: The ifTable Counter32 objects are not reflected here, refer to Table A-6 and Table A-7 of Section A.2 for details on these objects.			M	N-Acc	M	N-Acc
ifEntry			M	N-Acc	M	N-Acc
IfIndex			M	RO	M	RO
ifDescr			M	RO	M	RO
ifType			M	RO	M	RO
ifMtu			M	RO	M	RO
ifSpeed			M	RO	M	RO
ifPhysAddress			M	RO	M	RO
ifAdminStatus			M	RW	M	RW
ifOperStatus			M	RO	M	RO
ifLastChange			M	RO	M	RO
ifOutQLen			D	RO	D	RO
ifSpecific			D	RO	D	RO
ifXTable **Note**: The ifXTable Counter32 and Counter64 objects are not reflected here, refer to Table A-6 and Table A-7 of Section A.2 for details on these objects			M	N-Acc	M	N-Acc
ifXEntry			M	N-Acc	M	N-Acc

ifName			M	RO	M	RO
ifLinkUpDownTrapEnable			M	RW	M	RW
ifHighSpeed			M	RO	M	RO
ifPromiscuousMode			M	RW/RO	M	RW/RO
ifConnectorPresent			M	RO	M	RO
ifAlias			M	RW/RO	M	RW/RO
ifCounterDiscontinuityTime			M	RO	M	RO
ifStackTable			M	N-Acc	M	N-Acc
ifStackEntry			M	N-Acc	M	N-Acc
ifStackHigherLayer			M	N-Acc	M	N-Acc
ifStackLowerLayer			M	N-Acc	M	N-Acc
ifStackStatus			M	RC/RO	M	RC/RO
ifStackLastChange			M	RC/RO	M	RC/RO
ifRcvAddressTable			O	N-Acc	O	N-Acc
ifRcvAddressEntry			O	N-Acc	O	N-Acc
ifRcvAddressAddress			O	N-Acc	O	N-Acc
ifRcvAddressStatus			O	RC	O	RC
IfRcvAddressType			O	RC	O	RC
Notification						
linkUp			M	Acc-FN	M	Acc-FN
linkDown			M	Acc-FN	M	Acc-FN
ifTestTable			M	N-Acc	O	N-Acc
ifTestEntry			M	N-Acc	O	N-Acc
ifTestId			O	RW	O	RW
ifTestStatus			O	RW	O	RW
ifTestType			O	RW	O	RW
ifTestResult			O	RO	O	RO
ifTestCode			O	RO	O	RO
ifTestOwner			O	RW	O	RW
BRIDGE-MIB [RFC 4188]						
Object			**CM**	**Access**	**CMTS**	**Access**
dot1dBase						
dot1dBaseBridgeAddress			M	RO	M	RO
dot1dBaseNumPorts			M	RO	M	RO
dot1dBaseType			M	RO	M	RO
dot1dBasePortTable			M	N-Acc	M	N-Acc
dot1dBasePortEntry			M	N-Acc	M	N-Acc
dot1dBasePort			M	RO	M	RO
dot1dBasePortIfIndex			M	RO	M	RO
dot1dBasePortCircuit			M	RO	M	RO
dot1dBasePortDelayExceededDiscards			M	RO	M	RO
dot1dBasePortMtuExceededDiscards			M	RO	M	RO
dot1dStp						
dot1dStpProtocolSpecification			M	RO	M	RO
dot1dStpPriority			M	RW	M	RW
dot1dStpTimeSinceTopologyChange			M	RO	M	RO
dot1dStpTopChanges			M	RO	M	RO

dot1dStpDesignatedRoot			M	RO	M	RO
dot1dStpRootCost			M	RO	M	RO
dot1dStpRootPort			M	RO	M	RO
dot1dStpMaxAge			M	RO	M	RO
dot1dStpHelloTime			M	RO	M	RO
dot1dStpHoldTime			M	RO	M	RO
dot1dStpForwardDelay			M	RO	M	RO
dot1dStpBridgeMaxAge			M	RW	M	RW
dot1dStpBridgeHelloTime			M	RW	M	RW
dot1dStpBridgeForwardDelay			M	RW	M	RW
dot1dStpPortTable			O	N-Acc	O	N-Acc
dot1dStpPortEntry			O	N-Acc	O	N-Acc
dot1dStpPort			O	RO	O	RO
dot1dStpPortPriority			O	RW	O	RW
dot1dStpPortState			O	RO	O	RO
dot1dStpPortEnable			O	RW	O	RW
dot1dStpPortPathCost			O	RW	O	RW
dot1dStpPortDesignatedRoot			O	RO	O	RO
dot1dStpPortDesignatedCost			O	RO	O	RO
dot1dStpPortDesignatedBridge			O	RO	O	RO
dot1dStpPortDesignatedPort			O	RO	O	RO
dot1dStpPortForwardTransitions			O	RO	O	RO
dot1dStpPortPathCost32			O	RO	O	RO
dot1dTp						
dot1dTpLearnedEntryDiscards			M	RO	M	RO
dot1dTpAgingTime			M	RW	M	RW
dot1dTpFdbTable			M	N-Acc	M	N-Acc
dot1dTpFdbEntry			M	N-Acc	M	N-Acc
dot1dTpFdbAddress			M	RO	M	RO
dot1dTpFdbPort			M	RO	M	RO
dot1dTpFdbStatus			M	RO	M	RO
dot1dTpPortTable			M	N-Acc	M	N-Acc
dot1dTpPortEntry			M	N-Acc	M	N-Acc
dot1dTpPort			M	RO	M	RO
dot1dTpPortMaxInfo			M	RO	M	RO
dot1dTpPortInFrames			M	RO	M	RO
dot1dTpPortOutFrames			M	RO	M	RO
dot1dTpPortInDiscards			M	RO	M	RO
dot1dStaticTable			O	N-Acc	O	N-Acc
dot1dStaticEntry			O	N-Acc	O	N-Acc
dot1dStaticAddress			O	RW	O	RW
dot1dStaticReceivePort			O	RW	O	RW
dot1dStaticAllowedToGoTo			O	RW	O	RW
dot1dStaticStatus			O	RW	O	RW
Notification						
newRoot			O	Acc-FN	O	Acc-FN
topologyChange			O	Acc-FN	O	Acc-FN
DOCS-CABLE-DEVICE-MIB [RFC 4639]						

Object			CM	Access	CMTS	Access
docsDevBase						
docsDevRole			M	RO	O	RO
docsDevDateTime			M	RO/RW	M	RW
docsDevResetNow			M	RW	O	RW
docsDevSerialNumber			M	RO	O	RO
docsDevSTPControl			M	RW/RO	O	RW/RO
docsDevIgmpModeControl			N-Sup		NA	
docsDevMaxCpe			M	RW	NA	
Object	**CM in NmAccess Mode**	**Access**	**CM in SNMP Coexistence Mode**	**Access**	**CMTS**	**Access**
docsDevNmAccessTable	M	N-Acc	N-Sup		O	N-Acc
docsDevNmAccessEntry	M	N-Acc	N-Sup		O	N-Acc
docsDevNmAccessIndex	M	N-Acc	N-Sup		O	N-Acc
docsDevNmAccessIp	M	RC	N-Sup		O	RC
docsDevNmAccessIpMask	M	RC	N-Sup		O	RC
docsDevNmAccessCommunity	M	RC	N-Sup		O	RC
docsDevNmAccessControl	M	RC	N-Sup		O	RC
docsDevNmAccessInterfaces	M	RC	N-Sup		O	RC
docsDevNmAccessStatus	M	RC	N-Sup		O	RC
docsDevNmAccessTrapVersion	M	RC	N-Sup		O	RC
Object			**CM**	**Access**	**CMTS**	**Access**
docsDevSoftware						
docsDevSwServer			D	RW	D	RW
docsDevSwFilename			M	RW	O	RW
docsDevSwAdminStatus			M	RW	O	RW
docsDevSwOperStatus			M	RO	O	RO
docsDevSwCurrentVers			M	RO	O	RO
docsDevSwServerAddressType			M	RO	O	RO
docsDevSwServerAddress			M	RO	O	RO
docsDevSwServerTransportProtocol			M	RO	O	RO
docsDevServer						
docsDevServerBootState			D	RO	N-Sup	
docsDevServerDhcp			D	RO	N-Sup	
docsDevServerTime			D	RO	N-Sup	
docsDevServerTftp			D	RO	N-Sup	
docsDevServerConfigFile			M	RO	N-Sup	
docsDevServerDhcpAddressType			M	RO	N-Sup	
docsDevServerDhcpAddress			M	RO	N-Sup	
docsDevServerTimeAddressType			M	RO	N-Sup	
docsDevServerTimeAddress			M	RO	N-Sup	
docsDevServerConfigTftpAddressType			M	RO	N-Sup	
docsDevServerConfigTftpAddress			M	RO	N-Sup	
docsDevEvent						
docsDevEvControl			M	RW	M	RW
docsDevEvSyslog			D	RW	D	RW

docsDevEvThrottleAdminStatus			M	RW	M	RW
docsDevEvThrottleInhibited			D	RO	D	RO
docsDevEvThrottleThreshold			M	RW	M	RW
docsDevEvThrottleInterval			M	RW	M	RW
docsDevEvControlTable			M	N-Acc	M	N-Acc
docsDevEvControlEntry			M	N-Acc	M	N-Acc
docsDevEvPriority			M	N-Acc	M	N-Acc
docsDevEvReporting			M	RW	M	RW
docsDevEventTable			M	N-Acc	M	N-Acc
docsDevEventEntry			M	N-Acc	M	N-Acc
docsDevEvIndex			M	N-Acc	M	N-Acc
docsDevEvFirstTime			M	RO	M	RO
docsDevEvLastTime			M	RO	M	RO
docsDevEvCounts			M	RO	M	RO
docsDevEvLevel			M	RO	M	RO
docsDevEvId			M	RO	M	RO
docsDevEvText			M	RO	M	RO
docsDevEvSyslogAddressType			M	RW	M	RW
docsDevEvSyslogAddress			M	RW	M	RW
docsDevEvThrottleThresholdExceeded			M	RO	M	RO
docsDevFilter						
docsDevFilterLLCUnmatchedAction			M	RW	O	RW
docsDevFilterLLCTable			M	N-Acc	O	N-Acc
docsDevFilterLLCEntry			M	N-Acc	O	N-Acc
docsDevFilterLLCIndex			M	N-Acc	O	N-Acc
docsDevFilterLLCStatus			M	RC	O	RC
docsDevFilterLLCIfIndex			M	RC	O	RC
docsDevFilterLLCProtocolType			M	RC	O	RC
docsDevFilterLLCProtocol			M	RC	O	RC
docsDevFilterLLCMatches			M	RO	O	RO
docsDevFilterIpDefault			M	RW	O	RW
docsDevFilterIpTable			M	N-Acc	D	N-Acc
docsDevFilterIpEntry			M	N-Acc	D	N-Acc
docsDevFilterIpIndex			M	N-Acc	D	N-Acc
docsDevFilterIpStatus			M	RC	D	RC
docsDevFilterIpControl			M	RC	D	RC
docsDevFilterIpIfIndex			M	RC	D	RC
docsDevFilterIpDirection			M	RC	D	RC
docsDevFilterIpBroadcast			M	RC	D	RC
docsDevFilterIpSaddr			M	RC	D	RC
docsDevFilterIpSmask			M	RC	D	RC
docsDevFilterIpDaddr			M	RC	D	RC
docsDevFilterIpDmask			M	RC	D	RC
docsDevFilterIpProtocol			M	RC	D	RC
docsDevFilterIpSourcePortLow			M	RC	D	RC
docsDevFilterIpSourcePortHigh			M	RC	D	RC
docsDevFilterIpDestPortLow			M	RC	D	RC
docsDevFilterIpDestPortHigh			M	RC	D	RC

docsDevFilterIpMatches			M	RO	D	RO
docsDevFilterIpTos			M	RC	D	RC
docsDevFilterIpTosMask			M	RC	D	RC
docsDevFilterIpContinue			D	RC	D	RC
docsDevFilterIpPolicyId			D	RC	D	RC
docsDevFilterPolicyTable			D	N-Acc	D	N-Acc
docsDevFilterPolicyEntry			D	N-Acc	D	N-Acc
docsDevFilterPolicyIndex			D	N-Acc	D	N-Acc
docsDevFilterPolicyId			D	RC	D	RC
docsDevFilterPolicyStatus			D	RC	D	RC
docsDevFilterPolicyPtr			D	RC	D	RC
docsDevFilterTosTable			D	N-Acc	D	N-Acc
docsDevFilterTosEntry			D	N-Acc	D	N-Acc
docsDevFilterTosIndex			D	N-Acc	D	N-Acc
docsDevFilterTosStatus			D	RC	D	RC
docsDevFilterTosAndMask			D	RC	D	RC
docsDevFilterTosOrMask			D	RC	D	RC
docsDevCpe						
docsDevCpeEnroll			O	RW	N-Sup	
docsDevCpeIpMax			O	RW	N-Sup	
docsDevCpeTable			Ob	N-Acc	N-Sup	
docsDevCpeEntry			Ob	N-Acc	N-Sup	
docsDevCpeIp			Ob	N-Acc	N-Sup	
docsDevCpeSource			Ob	RO	N-Sup	
docsDevCpeStatus			Ob	RC	N-Sup	
docsDevCpeInetTable			O	N-Acc	N-Sup	
docsDevCpeInetEntry			O	N-Acc	N-Sup	
docsDevCpeInetType			O	N-Acc	N-Sup	
docsDevCpeInetAddr			O	RC	N-Sup	
docsDevCpeInetSource			O	RO	N-Sup	
docsDevCpeInetRowStatus			O	RC	N-Sup	
IP-MIB [RFC 4293]						
Object			**CM**	**Access**	**CMTS**	**Access**
ipv4GeneralGroup						
ipForwarding			M	RW	M	RW
ipDefaultTTL			M	RW	M	RW
ipReasmTimeout			M	RW	M	RW
ipv6GeneralGroup2						
ipv6IpForwarding			M	RW	M	RW
ipv6IpDefaultHopLimit			M	RW	M	RW
ipv4InterfaceTableLastChange			M	RO	M	RO
ipv4InterfaceTable			M	N-Acc	M	N-Acc
ipv4InterfaceEntry			M	N-Acc	M	N-Acc
ipv4InterfaceIfIndex			M	N-Acc	M	N-Acc
ipv4InterfaceReasmMaxSize			M	RO	M	RO
ipv4InterfaceEnableStatus			M	RW	M	RW
ipv4InterfaceRetransmitTime			M	RO	M	RO

ipv6InterfaceTableLastChange			M	RO	M	RO
ipv6InterfaceTable			M	N-Acc	M	N-Acc
ipv6InterfaceEntry			M	N-Acc	M	N-Acc
ipv6InterfaceIfIndex			M	N-Acc	M	N-Acc
ipv6InterfaceReasmMaxSize			M	RO	M	RO
ipv6InterfaceIdentifier			M	RO	M	RO
ipv6InterfaceEnableStatus			M	RW	M	RW
ipv6InterfaceReachableTime			M	RO	M	RO
ipv6InterfaceRetransmitTime			M	RO	M	RO
ipv6InterfaceForwarding			M	RW	M	RW
ipSystemStatsTable			O	N-Acc	O	N-Acc
ipSystemStatsEntry			O	N-Acc	O	N-Acc
ipSystemStatsIPVersion			O	N-Acc	O	N-Acc
ipSystemStatsInReceives			O	RO	O	RO
ipSystemStatsHCInReceives			O	RO	O	RO
ipSystemStatsInOctets			O	RO	O	RO
ipSystemStatsHCInOctets			O	RO	O	RO
ipSystemStatsInHdrErrors			O	RO	O	RO
ipSystemStatsInNoRoutes			O	RO	O	RO
ipSystemStatsInAddrErrors			O	RO	O	RO
ipSystemStatsInUnknownProtos			O	RO	O	RO
ipSystemStatsInTruncatedPkts			O	RO	O	RO
ipSystemStatsInForwDatagrams			O	RO	O	RO
ipSystemStatsHCInForwDatagrams			O	RO	O	RO
ipSystemStatsReasmReqds			O	RO	O	RO
ipSystemStatsReasmOKs			O	RO	O	RO
ipSystemStatsReasmFails			O	RO	O	RO
ipSystemStatsInDiscards			O	RO	O	RO
ipSystemStatsInDelivers			O	RO	O	RO
ipSystemStatsHCInDelivers			O	RO	O	RO
ipSystemStatsOutRequests			O	RO	O	RO
ipSystemStatsHCOutRequests			O	RO	O	RO
ipSystemStatsOutNoRoutes			O	RO	O	RO
ipSystemStatsOutForwDatagrams			O	RO	O	RO
ipSystemStatsHCOutForwDatagrams			O	RO	O	RO
ipSystemStatsOutDiscards			O	RO	O	RO
ipSystemStatsOutFragReqds			O	RO	O	RO
ipSystemStatsOutFragOKs			O	RO	O	RO
ipSystemStatsOutFragFails			O	RO	O	RO
ipSystemStatsOutFragCreates			O	RO	O	RO
ipSystemStatsOutTransmits			O	RO	O	RO
ipSystemStatsHCOutTransmits			O	RO	O	RO
ipSystemStatsOutOctets			O	RO	O	RO
ipSystemStatsHCOutOctets			O	RO	O	RO
ipSystemStatsInMcastPkts			O	RO	O	RO
ipSystemStatsHCInMcastPkts			O	RO	O	RO
ipSystemStatsInMcastOctets			O	RO	O	RO
ipSystemStatsHCInMcastOctets			O	RO	O	RO

ipSystemStatsOutMcastPkts			O	RO	O	RO
ipSystemStatsHCOutMcastPkts			O	RO	O	RO
ipSystemStatsOutMcastOctets			O	RO	O	RO
ipSystemStatsHCOutMcastOctets			O	RO	O	RO
ipSystemStatsInBcastPkts			O	RO	O	RO
ipSystemStatsHCInBcastPkts			O	RO	O	RO
ipSystemStatsOutBcastPkts			O	RO	O	RO
ipSystemStatsHCOutBcastPkts			O	RO	O	RO
ipSystemStatsDiscontinuityTime			O	RO	O	RO
ipSystemStatsRefreshRate			O	RO	O	RO
ipIfStatsTableLastChange			O	RO	O	RO
ipIfStatsTable			O	N-Acc	M	N-Acc
ipIfStatsEntry			O	N-Acc	M	N-Acc
ipIfStatsIPVersion			O	N-Acc	M	N-Acc
ipIfStatsIfIndex			O	N-Acc	M	N-Acc
ipIfStatsInReceives			O	RO	M	RO
ipIfStatsHCInReceives			O	RO	M	RO
ipIfStatsInOctets			O	RO	M	RO
ipIfStatsHCInOctets			O	RO	M	RO
ipIfStatsInHdrErrors			O	RO	M	RO
ipIfStatsInNoRoutes			O	RO	M	RO
ipIfStatsInAddrErrors			O	RO	M	RO
ipIfStatsInUnknownProtos			O	RO	M	RO
ipIfStatsInTruncatedPkts			O	RO	M	RO
ipIfStatsInForwDatagrams			O	RO	M	RO
ipIfStatsHCInForwDatagrams			O	RO	M	RO
ipIfStatsReasmReqds			O	RO	M	RO
ipIfStatsReasmOKs			O	RO	M	RO
ipIfStatsReasmFails			O	RO	M	RO
ipIfStatsInDiscards			O	RO	M	RO
ipIfStatsInDelivers			O	RO	M	RO
ipIfStatsHCInDelivers			O	RO	M	RO
ipIfStatsOutRequests			O	RO	M	RO
ipIfStatsHCOutRequests			O	RO	M	RO
ipIfStatsOutForwDatagrams			O	RO	M	RO
ipIfStatsHCOutForwDatagrams			O	RO	M	RO
ipIfStatsOutDiscards			O	RO	M	RO
ipIfStatsOutFragReqds			O	RO	M	RO
ipIfStatsOutFragOKs			O	RO	M	RO
ipIfStatsOutFragFails			O	RO	M	RO
ipIfStatsOutFragCreates			O	RO	M	RO
ipIfStatsOutTransmits			O	RO	M	RO
ipIfStatsHCOutTransmits			O	RO	M	RO
ipIfStatsOutOctets			O	RO	M	RO
ipIfStatsHCOutOctets			O	RO	M	RO
ipIfStatsInMcastPkts			O	RO	M	RO
ipIfStatsHCInMcastPkts			O	RO	M	RO
ipIfStatsInMcastOctets			O	RO	M	RO

ipIfStatsHCInMcastOctets			O	RO	M	RO
ipIfStatsOutMcastPkts			O	RO	M	RO
ipIfStatsHCOutMcastPkts			O	RO	M	RO
ipIfStatsOutMcastOctets			O	RO	M	RO
ipIfStatsHCOutMcastOctets			O	RO	M	RO
ipIfStatsInBcastPkts			O	RO	M	RO
ipIfStatsHCInBcastPkts			O	RO	M	RO
ipIfStatsOutBcastPkts			O	RO	M	RO
ipIfStatsHCOutBcastPkts			O	RO	M	RO
ipIfStatsDiscontinuityTime			O	RO	M	RO
ipIfStatsRefreshRate			O	RO	M	RO
ipAddressPrefixTable			O	N-Acc	M	N-Acc
ipAddressPrefixEntry			O	N-Acc	M	N-Acc
ipAddressPrefixIfIndex			O	N-Acc	M	N-Acc
ipAddressPrefixType			O	N-Acc	M	N-Acc
ipAddressPrefixPrefix			O	N-Acc	M	N-Acc
ipAddressPrefixLength			O	N-Acc	M	N-Acc
ipAddressPrefixOrigin			O	RO	M	RO
ipAddressPrefixOnLinkFlag			O	RO	M	RO
ipAddressPrefixAutonomousFlag			O	RO	M	RO
ipAddressPrefixAdvPreferredLifetime			O	RO	M	RO
ipAddressPrefixAdvValidLifetime			O	RO	M	RO
ipAddressSpinLock			O	RW	M	RW
ipAddressTable			O	N-Acc	M	N-Acc
ipAddressEntry			O	N-Acc	M	N-Acc
ipAddressAddrType			O	N-Acc	M	N-Acc
ipAddressAddr			O	N-Acc	M	N-Acc
ipAddressIfIndex			O	RC	M	RO
ipAddressType			O	RC	M	RO
ipAddressPrefix			O	RO	M	RO
ipAddressOrigin			O	RO	M	RO
ipAddressStatus			O	RC	M	RO
ipAddressCreated			O	RC	M	RO
ipAddressLastChanged			O	RC	M	RO
ipAddressRowStatus			O	RC	M	RO
ipAddressStorageType			O	RC	M	RO
ipNetToPhysicalTable			O	N-Acc	M	N-Acc
ipNetToPhysicalEntry			O	N-Acc	M	N-Acc
ipNetToPhysicalIfIndex			O	N-Acc	M	N-Acc
ipNetToPhysicalNetAddressType			O	N-Acc	M	N-Acc
ipNetToPhysicalNetAddress			O	N-Acc	M	N-Acc
ipNetToPhysicalPhysAddress			O	RC	M	RC
ipNetToPhysicalLastUpdated			O	RO	M	RO
ipNetToPhysicalType			O	RC	M	RC
ipNetToPhysicalState			O	RO	M	RO
ipNetToPhysicalRowStatus			O	RC	M	RC
ipDefaultRouterTable			O	N-Acc	M	N-Acc
ipDefaultRouterEntry			O	N-Acc	M	N-Acc

ipDefaultRouterAddressType			O	N-Acc	M	N-Acc
ipDefaultRouterAddress			O	N-Acc	M	N-Acc
ipDefaultRouterIfIndex			O	N-Acc	M	N-Acc
ipDefaultRouterLifetime			O	RC	M	RC
ipDefaultRouterPreference			O	RO	M	RO
ipv6RouterAdvertGroup						
ipv6RouterAdvertSpinLock			N-Sup		O	RW
ipv6RouterAdvertTable			N-Sup		M	N-Acc
ipv6RouterAdvertEntry			N-Sup		M	N-Acc
ipv6RouterAdvertIfIndex			N-Sup		M	N-Acc
ipv6RouterAdvertSendAdverts			N-Sup		M	RC
ipv6RouterAdvertMaxInterval			N-Sup		M	RC
ipv6RouterAdvertMinInterval			N-Sup		M	RC
ipv6RouterAdvertManagedFlag			N-Sup		M	RC
ipv6RouterAdvertOtherConfigFlag			N-Sup		M	RC
ipv6RouterAdvertLinkMTU			N-Sup		M	RC
ipv6RouterAdvertReachableTime			N-Sup		M	RC
ipv6RouterAdvertRetransmitTime			N-Sup		M	RC
ipv6RouterAdvertCurHopLimit			N-Sup		M	RC
ipv6RouterAdvertDefaultLifetime			N-Sup		M	RC
ipv6RouterAdvertRowStatus			N-Sup		M	RC
icmpStatsTable			M	N-Acc	M	N-Acc
icmpStatsEntry			M	N-Acc	M	N-Acc
icmpStatsIPVersion			M	N-Acc	M	N-Acc
icmpStatsInMsgs			M	RO	M	RO
icmpStatsInErrors			M	RO	M	RO
icmpStatsOutMsgs			M	RO	M	RO
icmpStatsOutErrors			M	RO	M	RO
icmpMsgStatsTable			M	N-Acc	M	N-Acc
icmpMsgStatsEntry			M	N-Acc	M	N-Acc
icmpMsgStatsIPVersion			M	N-Acc	M	N-Acc
icmpMsgStatsType			M	N-Acc	M	N-Acc
icmpMsgStatsInPkts			M	RO	M	RO
icmpMsgStatsOutPkts			M	RO	M	RO
UDP-MIB [RFC 4113]						
Object			**CM**	**Access**	**CMTS**	**Access**
UDPGroup						
udpInDatagrams			O	RO	O	RO
udpNoPorts			O	RO	O	RO
udpInErrors			O	RO	O	RO
udpOutDatagrams			O	RO	O	RO
udpEndpointTable			O	N-Acc	O	N-Acc
udpEndpointEntry			O	N-Acc	O	N-Acc
udpEndpointLocalAddressType			O	N-Acc	O	N-Acc
udpEndpointLocalAddress			O	N-Acc	O	N-Acc
udpEndpointLocalPort			O	N-Acc	O	N-Acc
udpEndpointRemoteAddressType			O	N-Acc	O	N-Acc
udpEndpointRemoteAddress			O	N-Acc	O	N-Acc

udpEndpointRemotePort			O	N-Acc	O	N-Acc
udpEndpointInstance			O	N-Acc	O	N-Acc
udpEndpointProcess			O	RO	O	RO
TCP-MIB [RFC 4022]						
Object			**CM**	**Access**	**CMTS**	**Access**
tcpBaseGroup						
tcpRtoAlgorithm			O	RO	O	RO
tcpRtoMin			O	RO	O	RO
tcpRtoMax			O	RO	O	RO
tcpMaxConn			O	RO	O	RO
tcpActiveOpens			O	RO	O	RO
tcpPassiveOpens			O	RO	O	RO
tcpAttemptFails			O	RO	O	RO
tcpEstabResets			O	RO	O	RO
tcpCurrEstab			O	RO	O	RO
tcpInSegs			O	RO	O	RO
tcpOutSegs			O	RO	O	RO
tcpRetransSegs			O	RO	O	RO
tcpInErrs			O	RO	O	RO
tcpOutRsts			O	RO	O	RO
tcpHCGroup						
tcpHCInSegs			O	RO	O	RO
tcpHCOutSegs			O	RO	O	RO
tcpConnectionTable			O	N-Acc	O	N-Acc
tcpConnectionEntry			O	N-Acc	O	N-Acc
tcpConnectionLocalAddressType			O	N-Acc	O	N-Acc
tcpConnectionLocalAddress			O	N-Acc	O	N-Acc
tcpConnectionLocalPort			O	N-Acc	O	N-Acc
tcpConnectionRemAddressType			O	N-Acc	O	N-Acc
tcpConnectionRemAddress			O	N-Acc	O	N-Acc
tcpConnectionRemPort			O	N-Acc	O	N-Acc
tcpConnectionState			O	RW	O	RW
tcpConnectionProcess			O	RO	O	RO
tcpListenerTable			O	N-Acc	O	N-Acc
tcpListenerEntry			O	N-Acc	O	N-Acc
tcpListenerLocalAddressType			O	N-Acc	O	N-Acc
tcpListenerLocalAddress			O	N-Acc	O	N-Acc
tcpListenerLocalPort			O	N-Acc	O	N-Acc
tcpListenerProcess			O	RO	O	RO
SNMPv2-MIB [RFC 3418]						
Object			**CM**	**Access**	**CMTS**	**Access**
SystemGroup						
sysDescr			M	RO	M	RO
sysObjectID			M	RO	M	RO
sysUpTime			M	RO	M	RO
sysContact			M	RW	M	RW
sysName			M	RW	M	RW
sysLocation			M	RW	M	RW

sysServices			M	RO	M	RO
sysORLastChange			M	RO	M	RO
sysORTable			M	N-Acc	M	N-Acc
sysOREntry			M	N-Acc	M	N-Acc
sysORIndex			M	N-Acc	M	N-Acc
sysORID			M	RO	M	RO
sysORDescr			M	RO	M	RO
sysORUpTime			M	RO	M	RO
SNMPGroup						
snmpInPkts			M	RO	M	RO
snmpInBadVersions			M	RO	M	RO
snmpOutPkts			Ob	RO	Ob	RO
snmpInBadCommunityNames			M	RO	M	RO
snmpInBadCommunityUses			M	RO	M	RO
snmpInASNParseErrs			M	RO	M	RO
snmpInTooBigs			Ob	RO	Ob	RO
snmpInNoSuchNames			Ob	RO	Ob	RO
snmpInBadValues			Ob	RO	Ob	RO
snmpInReadOnlys			Ob	RO	Ob	RO
snmpInGenErrs			Ob	RO	Ob	RO
snmpInTotalReqVars			Ob	RO	Ob	RO
snmpInTotalSetVars			Ob	RO	Ob	RO
snmpInGetRequests			Ob	RO	Ob	RO
snmpInGetNexts			Ob	RO	Ob	RO
snmpInSetRequests			Ob	RO	Ob	RO
snmpInGetResponses			Ob	RO	Ob	RO
snmpInTraps			Ob	RO	Ob	RO
snmpOutTooBigs			Ob	RO	Ob	RO
snmpOutNoSuchNames			Ob	RO	Ob	RO
snmpOutBadValues			Ob	RO	Ob	RO
snmpOutGenErrs			Ob	RO	Ob	RO
snmpOutGetRequests			Ob	RO	Ob	RO
snmpOutGetNexts			Ob	RO	Ob	RO
snmpOutSetRequests			Ob	RO	Ob	RO
snmpOutGetResponses			Ob	RO	Ob	RO
snmpOutTraps			Ob	RO	Ob	RO
snmpEnableAuthenTraps			M	RW	M	RW
snmpSilentDrops			M	RO	M	RO
snmpProxyDrops			M	RO	M	RO
snmpTrapsGroup						
coldStart			O	Acc-FN	M	Acc-FN
warmStart			O	Acc-FN	O	Acc-FN
authenticationFailure			M	Acc-FN	M	Acc-FN
snmpSetGroup						
snmpSetSerialNo			M	RW	M	RW
Etherlike-MIB [RFC 3635]						
Object			**CM**	**Access**	**CMTS**	**Access**
dot3StatsTable			M	N-Acc	M	N-Acc

dot3StatsEntry			M	N-Acc	M	N-Acc
dot3StatsIndex			M	RO	M	RO
dot3StatsAlignmentErrors			M	RO	M	RO
dot3StatsFCSErrors			M	RO	M	RO
dot3StatsInternalMacTransmitErrors			M	RO	M	RO
dot3StatsFrameTooLongs			M	RO	M	RO
dot3StatsInternalMacReceiveErrors			M	RO	M	RO
dot3StatsSymbolErrors			M	RO	M	RO
dot3StatsSingleCollisionFrames			M	RO	O	RO
dot3StatsMultipleCollisionFrames			M	RO	O	RO
dot3StatsDeferredTransmissions			M	RO	O	RO
dot3StatsLateCollisions			M	RO	O	RO
dot3StatsExcessiveCollisions			M	RO	O	RO
dot3StatsCarrierSenseErrors			O	RO	O	RO
dot3StatsDuplexStatus			M	RO	O	RO
dot3StatsSQETestErrors			O	RO	N-Sup	
dot3CollTable			O	N-Acc	O	N-Acc
dot3CollEntry			O	N-Acc	O	N-Acc
dot3CollCount			O	NA	O	NA
dot3CollFrequencies			O	RO	O	RO
dot3ControlTable			O	N-Acc	O	N-Acc
dot3ControlEntry			O	N-Acc	O	N-Acc
dot3ControlFunctionsSupported			O	RO	O	RO
dot3ControlInUnknownOpcodes			O	RO	O	RO
dot3PauseTable			O	N-Acc	O	N-Acc
dot3PauseEntry			O	N-Acc	O	N-Acc
dot3PauseAdminMode			O	RW	O	RW
dot3PauseOperMode			O	RO	O	RO
dot3InPauseFrames			O	RO	O	RO
dot3OutPauseFrames			O	RO	O	RO

DOCS-BPI-MIB [RFC 3083]

Object	CM in DOCSIS 1.0 CoS mode	Access	CM in DOCSIS 1.1 QoS Mode	Access	CMTS	Access
docsBpiCmBaseTable	M	N-Acc	N-Sup		NA	
docsBpiCmBaseEntry	M	N-Acc	N-Sup		NA	
docsBpiCmPrivacyEnable	M	RO	N-Sup		NA	
docsBpiCmPublicKey	M	RO	N-Sup		NA	
docsBpiCmAuthState	M	RO	N-Sup		NA	
docsBpiCmAuthKeySequenceNumber	M	RO	N-Sup		NA	
docsBpiCmAuthExpires	M	RO	N-Sup		NA	
docsBpiCmAuthReset	M	RW	N-Sup		NA	
docsBpiCmAuthGraceTime	M	RO	N-Sup		NA	
docsBpiCmTEKGraceTime	M	RO	N-Sup		NA	
docsBpiCmAuthWaitTimeout	M	RO	N-Sup		NA	
docsBpiCmReauthWaitTimeout	M	RO	N-Sup		NA	
docsBpiCmOpWaitTimeout	M	RO	N-Sup		NA	
docsBpiCmRekeyWaitTimeout	M	RO	N-Sup		NA	
docsBpiCmAuthRejectWaitTimeout	M	RO	N-Sup		NA	

docsBpiCmAuthRequests	M	RO	N-Sup		NA	
docsBpiCmAuthReplies	M	RO	N-Sup		NA	
docsBpiCmAuthRejects	M	RO	N-Sup		NA	
docsBpiCmAuthInvalids	M	RO	N-Sup		NA	
docsBpiCmAuthRejectErrorCode	M	RO	N-Sup		NA	
docsBpiCmAuthRejectErrorString	M	RO	N-Sup		NA	
docsBpiCmAuthInvalidErrorCode	M	RO	N-Sup		NA	
docsBpiCmAuthInvalidErrorString	M	RO	N-Sup		NA	
docsBpiCmTEKTable	M	N-Acc	N-Sup		NA	
docsBpiCmTEKEntry	M	N-Acc	N-Sup		NA	
docsBpiCmTEKPrivacyEnable	M	RO	N-Sup		NA	
docsBpiCmTEKState	M	RO	N-Sup		NA	
docsBpiCmTEKExpiresOld	M	RO	N-Sup		NA	
docsBpiCmTEKExpiresNew	M	RO	N-Sup		NA	
docsBpiCmTEKKeyRequests	M	RO	N-Sup		NA	
docsBpiCmTEKKeyReplies	M	RO	N-Sup		NA	
docsBpiCmTEKKeyRejects	M	RO	N-Sup		NA	
docsBpiCmTEKInvalids	M	RO	N-Sup		NA	
docsBpiCmTEKAuthPends	M	RO	N-Sup		NA	
docsBpiCmTEKKeyRejectErrorCode	M	RO	N-Sup		NA	
docsBpiCmTEKKeyRejectErrorString	M	RO	N-Sup		NA	
docsBpiCmTEKInvalidErrorCode	M	RO	N-Sup		NA	
docsBpiCmTEKInvalidErrorString	M	RO	N-Sup		NA	
Object			**CM**	**Access**	**CMTS**	**Access**
docsBpiCmtsBaseTable			NA		N-Sup	
docsBpiCmtsBaseEntry			NA		N-Sup	
docsBpiCmtsDefaultAuthLifetime			NA		N-Sup	
docsBpiCmtsDefaultTEKLifetime			NA		N-Sup	
docsBpiCmtsDefaultAuthGraceTime			NA		N-Sup	
docsBpiCmtsDefaultTEKGraceTime			NA		N-Sup	
docsBpiCmtsAuthRequests			NA		N-Sup	
docsBpiCmtsAuthReplies			NA		N-Sup	
docsBpiCmtsAuthRejects			NA		N-Sup	
docsBpiCmtsAuthInvalids			NA		N-Sup	
docsBpiCmtsAuthTable			NA		N-Sup	
docsBpiCmtsAuthEntry			NA		N-Sup	
docsBpiCmtsAuthCmMacAddress			NA		N-Sup	
docsBpiCmtsAuthCmPublicKey			NA		N-Sup	
docsBpiCmtsAuthCmKeySequenceNumber			NA		N-Sup	
docsBpiCmtsAuthCmExpires			NA		N-Sup	
docsBpiCmtsAuthCmLifetime			NA		N-Sup	
docsBpiCmtsAuthCmGraceTime			NA		N-Sup	
docsBpiCmtsAuthCmReset			NA		N-Sup	
docsBpiCmtsAuthCmRequests			NA		N-Sup	
docsBpiCmtsAuthCmReplies			NA		N-Sup	
docsBpiCmtsAuthCmRejects			NA		N-Sup	
docsBpiCmtsAuthCmInvalids			NA		N-Sup	
docsBpiCmtsAuthRejectErrorCode			NA		N-Sup	

docsBpiCmtsAuthRejectErrorString			NA		N-Sup	
docsBpiCmtsAuthInvalidErrorCode			NA		N-Sup	
docsBpiCmtsAuthInvalidErrorString			NA		N-Sup	
docsBpiCmtsTEKTable			NA		N-Sup	
docsBpiCmtsTEKEntry			NA		N-Sup	
docsBpiCmtsTEKLifetime			NA		N-Sup	
docsBpiCmtsTEKGraceTime			NA		N-Sup	
docsBpiCmtsTEKExpiresOld			NA		N-Sup	
docsBpiCmtsTEKExpiresNew			NA		N-Sup	
docsBpiCmtsTEKReset			NA		N-Sup	
docsBpiCmtsKeyRequests			NA		N-Sup	
docsBpiCmtsKeyReplies			NA		N-Sup	
docsBpiCmtsKeyRejects			NA		N-Sup	
docsBpiCmtsTEKInvalids			NA		N-Sup	
docsBpiCmtsKeyRejectErrorCode			NA		N-Sup	
docsBpiCmtsKeyRejectErrorString			NA		N-Sup	
docsBpiCmtsTEKInvalidErrorCode			NA		N-Sup	
docsBpiCmtsTEKInvalidErrorString			NA		N-Sup	
docsBpiIpMulticastMapTable			NA		N-Sup	
docsBpiIpMulticastMapEntry			NA		N-Sup	
docsBpiIpMulticastAddress			NA		N-Sup	
docsBpiIpMulticastprefixLength			NA		N-Sup	
docsBpiIpMulticastServiceId			NA		N-Sup	
docsBpiIpMulticastMapControl			NA		N-Sup	
docsBpiMulticastAuthTable			NA		N-Sup	
docsBpiMulticastAuthEntry			NA		N-Sup	
docsBpiMulticastServiceId			NA		N-Sup	
docsBpiMulticastCmMacAddress			NA		N-Sup	
docsBpiMulticastAuthControl			NA		N-Sup	
DOCS-IETF-BPI2-MIB [RFC 4131]						
Object	**CM in DOCSIS 1.0 CoS mode**	**Access**	**CM in DOCSIS 1.1 QoS Mode**	**Access**	**CMTS**	**Access**
docsBpi2CmBaseTable	O	N-Acc	M	N-Acc	NA	
docsBpi2CmBaseEntry	O	N-Acc	M	N-Acc	NA	
docsBpi2CmPrivacyEnable	O	RO	M	RO	NA	
docsBpi2CmPublicKey	O	RO	M	RO	NA	
docsBpi2CmAuthState	O	RO	M	RO	NA	
docsBpi2CmAuthKeySequenceNumber	O	RO	M	RO	NA	
docsBpi2CmAuthExpiresOld	O	RO	M	RO	NA	
docsBpi2CmAuthExpiresNew	O	RO	M	RO	NA	
docsBpi2CmAuthReset	O	RW	M	RW	NA	
docsBpi2CmAuthGraceTime	O	RO	M	RO	NA	
docsBpi2CmTEKGraceTime	O	RO	M	RO	NA	
docsBpi2CmAuthWaitTimeout	O	RO	M	RO	NA	
docsBpi2CmReauthWaitTimeout	O	RO	M	RO	NA	
docsBpi2CmOpWaitTimeout	O	RO	M	RO	NA	
docsBpi2CmRekeyWaitTimeout	O	RO	M	RO	NA	
docsBpi2CmAuthRejectWaitTimeout	O	RO	M	RO	NA	

docsBpi2CmSAMapWaitTimeout	O	RO	M	RO	NA	
docsBpi2CmSAMapMaxRetries	O	RO	M	RO	NA	
docsBpi2CmAuthentInfos	O	RO	M	RO	NA	
docsBpi2CmAuthRequests	O	RO	M	RO	NA	
docsBpi2CmAuthReplies	O	RO	M	RO	NA	
docsBpi2CmAuthRejects	O	RO	M	RO	NA	
docsBpi2CmAuthInvalids	O	RO	M	RO	NA	
docsBpi2CmAuthRejectErrorCode	O	RO	M	RO	NA	
docsBpi2CmAuthRejectErrorString	O	RO	M	RO	NA	
docsBpi2CmAuthInvalidErrorCode	O	RO	M	RO	NA	
docsBpi2CmAuthInvalidErrorString	O	RO	M	RO	NA	
docsBpi2CmTEKTable	O	N-Acc	M	N-Acc	NA	
docsBpi2CmTEKEntry	O	N-Acc	M	N-Acc	NA	
docsBpi2CmTEKSAId	O	N-Acc	M	N-Acc	NA	
docsBpi2CmTEKSAType	O	RO	M	RO	NA	
docsBpi2CmTEKDataEncryptAlg	O	RO	M	RO	NA	
docsBpi2CmTEKDataAuthentAlg	O	RO	M	RO	NA	
docsBpi2CmTEKState	O	RO	M	RO	NA	
docsBpi2CmTEKKeySequenceNumber	O	RO	M	RO	NA	
docsBpi2CmTEKExpiresOld	O	RO	M	RO	NA	
docsBpi2CmTEKExpiresNew	O	RO	M	RO	NA	
docsBpi2CmTEKKeyRequests	O	RO	M	RO	NA	
docsBpi2CmTEKKeyReplies	O	RO	M	RO	NA	
docsBpi2CmTEKKeyRejects	O	RO	M	RO	NA	
docsBpi2CmTEKInvalids	O	RO	M	RO	NA	
docsBpi2CmTEKAuthPends	O	RO	M	RO	NA	
docsBpi2CmTEKKeyRejectErrorCode	O	RO	M	RO	NA	
docsBpi2CmTEKKeyRejectErrorString	O	RO	M	RO	NA	
docsBpi2CmTEKInvalidErrorCode	O	RO	M	RO	NA	
docsBpi2CmTEKInvalidErrorString	O	RO	M	RO	NA	
docsBpi2CmIpMulticastMapTable	O	N-Acc	M	N-Acc	NA	
docsBpi2CmIpMulticastMapEntry	O	N-Acc	M	N-Acc	NA	
docsBpi2CmIpMulticastIndex	O	N-Acc	M	N-Acc	NA	
docsBpi2CmIpMulticastAddressType	O	RO	M	RO	NA	
docsBpi2CmIpMulticastAddress	O	RO	M	RO	NA	
docsBpi2CmIpMulticastSAId	O	RO	M	RO	NA	
docsBpi2CmIpMulticastSAMapState	O	RO	M	RO	NA	
docsBpi2CmIpMulticastSAMapRequests	O	RO	M	RO	NA	
docsBpi2CmIpMulticastSAMapReplies	O	RO	M	RO	NA	
docsBpi2CmIpMulticastSAMapRejects	O	RO	M	RO	NA	
docsBpi2CmIpMulticastSAMapRejectErrorCode	O	RO	M	RO	NA	
docsBpi2CmIpMulticastSAMapRejectErrorString	O	RO	M	RO	NA	
Object			**CM**	**Access**	**CMTS**	**Access**
docsBpi2CmDeviceCertTable			M	N-Acc	NA	
docsBpi2CmDeviceCertEntry			M	N-Acc	NA	
docsBpi2CmDeviceCmCert			M	RW/RO	NA	
docsBpi2CmDeviceManufCert			M	RO	NA	
docsBpi2CmCryptoSuiteTable			M	N-Acc	NA	

docsBpi2CmCryptoSuiteEntry			M	N-Acc	NA	
docsBpi2CmCryptoSuiteIndex			M	N-Acc	NA	
docsBpi2CmCryptoSuiteDataEncryptAlg			M	RO	NA	
docsBpi2CmCryptoSuiteDataAuthentAlg			M	RO	NA	
docsBpi2CmtsBaseEntryTable			NA		M	N-Acc
docsBpi2CmtsBaseEntryEntry			NA		M	N-Acc
docsBpi2CmtsDefaultAuthLifetime			NA		M	RW
docsBpi2CmtsDefaultTEKLifetime			NA		M	RW
docsBpi2CmtsDefaultSelfSignedManufCertTrust			NA		M	RW
docsBpi2CmtsCheckCertValidityPeriods			NA		M	RW
docsBpi2CmtsAuthentInfos			NA		M	RO
docsBpi2CmtsAuthRequests			NA		M	RO
docsBpi2CmtsAuthReplies			NA		M	RO
docsBpi2CmtsAuthRejects			NA		M	RO
docsBpi2CmtsAuthInvalids			NA		M	RO
docsBpi2CmtsSAMapRequests			NA		M	RO
docsBpi2CmtsSAMapReplies			NA		M	RO
docsBpi2CmtsSAMapRejects			NA		M	RO
docsBpi2CmtsAuthEntryTable			NA		M	N-Acc
docsBpi2CmtsAuthEntryEntry			NA		M	N-Acc
docsBpi2CmtsAuthCmMacAddress			NA		M	N-Acc
docsBpi2CmtsAuthCmBpiVersion			NA		M	RO
docsBpi2CmtsAuthCmPublicKey			NA		M	RO
docsBpi2CmtsAuthCmKeySequenceNumber			NA		M	RO
docsBpi2CmtsAuthCmExpiresOld			NA		M	RO
docsBpi2CmtsAuthCmExpiresNew			NA		M	RO
docsBpi2CmtsAuthCmLifetime			NA		M	RW
docsBpi2CmtsAuthCmGraceTime			NA		Ob	RO
docsBpi2CmtsAuthCmReset			NA		M	RW
docsBpi2CmtsAuthCmInfos			NA		M	RO
docsBpi2CmtsAuthCmRequests			NA		M	RO
docsBpi2CmtsAuthCmReplies			NA		M	RO
docsBpi2CmtsAuthCmRejects			NA		M	RO
docsBpi2CmtsAuthCmInvalids			NA		M	RO
docsBpi2CmtsAuthRejectErrorCode			NA		M	RO
docsBpi2CmtsAuthRejectErrorString			NA		M	RO
docsBpi2CmtsAuthInvalidErrorCode			NA		M	RO
docsBpi2CmtsAuthInvalidErrorString			NA		M	RO
docsBpi2CmtsAuthPrimarySAId			NA		M	RO
docsBpi2CmtsAuthBpkmCmCertValid			NA		M	RO
docsBpi2CmtsAuthBpkmCmCert			NA		M	RO
docsBpi2CmtsTEKTable			NA		M	N-Acc
docsBpi2CmtsTEKEntry			NA		M	N-Acc
docsBpi2CmtsTEKSAId			NA		M	N-Acc
docsBpi2CmtsTEKSAType			NA		M	RO
docsBpi2CmtsTEKDataEncryptAlg			NA		M	RO
docsBpi2CmtsTEKDataAuthentAlg			NA		M	RO
docsBpi2CmtsTEKLifetime			NA		M	RW
docsBpi2CmtsTEKKeySequenceNumber			NA		M	RO

docsBpi2CmtsTEKExpiresOld			NA		M	RO
docsBpi2CmtsTEKExpiresNew			NA		M	RO
docsBpi2CmtsTEKReset			NA		M	RW
docsBpi2CmtsKeyRequests			NA		M	RO
docsBpi2CmtsKeyReplies			NA		M	RO
docsBpi2CmtsKeyRejects			NA		M	RO
docsBpi2CmtsTEKInvalids			NA		M	RO
docsBpi2CmtsKeyRejectErrorCode			NA		M	RO
docsBpi2CmtsKeyRejectErrorString			NA		M	RO
docsBpi2CmtsTEKInvalidErrorCode			NA		M	RO
docsBpi2CmtsTEKInvalidErrorString			NA		M	RO
docsBpi2CmtsIpMulticastMapTable			NA		M	N-Acc
docsBpi2CmtsIpMulticastMapEntry			NA		M	N-Acc
docsBpi2CmtsIpMulticastIndex			NA		M	N-Acc
docsBpi2CmtsIpMulticastAddressType			NA		M	RO
docsBpi2CmtsIpMulticastAddress			NA		M	RO
docsBpi2CmtsIpMulticastMask			NA		M	RO
docsBpi2CmtsIpMulticastSAId			NA		M	RO
docsBpi2CmtsIpMulticastSAType			NA		M	RO
docsBpi2CmtsIpMulticastDataEncryptAlg			NA		M	RO
docsBpi2CmtsIpMulticastDataAuthentAlg			NA		M	RO
docsBpi2CmtsIpMulticastSAMapRequests			NA		M	RO
docsBpi2CmtsIpMulticastSAMapReplies			NA		M	RO
docsBpi2CmtsIpMulticastSAMapRejects			NA		M	RO
docsBpi2CmtsIpMulticastSAMapRejectErrorCode			NA		M	RO
docsBpi2CmtsIpMulticastSAMapRejectErrorString			NA		M	RO
docsBpi2CmtsIpMulticastMapControl			NA		M	RO
docsBpi2CmtsIpMulticastMapStorageType			NA		M	RO
docsBpi2CmtsMulticastAuthTable			NA		D	N-Acc
docsBpi2CmtsMulticastAuthEntry			NA		D	N-Acc
docsBpi2CmtsMulticastAuthSAId			NA		D	N-Acc
docsBpi2CmtsMulticastAuthCmMacAddress			NA		D	N-Acc
docsBpi2CmtsMulticastAuthControl			NA		D	RC/RO
docsBpi2CmtsProvisionedCmCertTable			NA		M	N-Acc
docsBpi2CmtsProvisionedCmCertEntry			NA		M	N-Acc
docsBpi2CmtsProvisionedCmCertMacAddress			NA		M	N-Acc
docsBpi2CmtsProvisionedCmCertTrust			NA		M	RC
docsBpi2CmtsProvisionedCmCertSource			NA		M	RO
docsBpi2CmtsProvisionedCmCertStatus			NA		M	RC
docsBpi2CmtsProvisionedCmCert			NA		M	RC
docsBpi2CmtsCACertTable			NA		M	N-Acc
docsBpi2CmtsCACertEntry			NA		M	N-Acc
docsBpi2CmtsCACertIndex			NA		M	N-Acc
docsBpi2CmtsCACertSubject			NA		M	RO
docsBpi2CmtsCACertIssuer			NA		M	RO
docsBpi2CmtsCACertSerialNumber			NA		M	RO
docsBpi2CmtsCACertTrust			NA		M	RC
docsBpi2CmtsCACertSource			NA		M	RO
docsBpi2CmtsCACertStatus			NA		M	RC

docsBpi2CmtsCACert			NA		M	RC
docsBpi2CmtsCACertThumprint			NA		M	RO
docsBpi2CodeDownloadGroup						
docsBpi2CodeDownloadStatusCode			M	RO	O	RO
docsBpi2CodeDownloadStatusString			M	RO	O	RO
docsBpi2CodeMfgOrgName			M	RO	O	RO
docsBpi2CodeMfgCodeAccessStart			M	RO	O	RO
docsBpi2CodeMfgCvcAccessStart			M	RO	O	RO
docsBpi2CodeCoSignerOrgName			M	RO	O	RO
docsBpi2CodeCoSignerCodeAccessStart			M	RO	O	RO
docsBpi2CodeCoSignerCvcAccessStart			M	RO	O	RO
docsBpi2CodeCvcUpdate			M	RW	O	RW
DOCS-LOADBAL3-MIB (Annex I)						
Object			**CM**	**Access**	**CMTS**	**Access**
docsLoadbal3System			NA		M	
docsLoadbal3SystemEnable			NA		M	RW
docsLoadbal3SystemEnableError			NA		M	RO
docsLoadbal3ChgOverGroup			NA		M	
docsLoadbal3ChgOverGroupMacAddress			NA		M	RW
docsLoadbal3ChgOverGroupInitTech			NA		M	RW
docsLoadbal3ChgOverGroupForceUCC			NA		M	RW
docsLoadbal3ChgOverGroupdownFrequency			NA		M	RW
docsLoadbal3ChgOverGroupMdIfIndex			NA		M	RW
docsLoadbal3ChgOverGroupRcpId			NA		M	RW
docsLoadbal3ChgOverGroupRccId			NA		M	RW
docsLoadbal3ChgOverGroupUsChSet			NA		M	RW
docsLoadbal3ChgOverGroupServiceFlowInfo			NA		M	RW
docsLoadbal3ChgOverGroupTransactionId			NA		M	RW
docsLoadbal3ChgOverGroupCommit			NA		M	RW
docsLoadbal3ChgOverGroupLastCommit			NA		M	RO
docsLoadbal3ChgOverStatusTable			NA		M	N-Acc
docsLoadbal3ChgOverStatusEntry			NA		M	N-Acc
docsLoadbal3ChgOverStatusId			NA		M	RO
docsLoadbal3ChgOverStatusMacAddr			NA		M	RO
docsLoadbal3ChgOverStatusInitTech			NA		M	RO
docsLoadbal3ChgOverStatusDownFrequency			NA		M	RO
docsLoadbal3ChgOverStatusMdIfIndex			NA		M	RO
docsLoadbal3ChgOverStatusRcpId			NA		M	RO
docsLoadbal3ChgOverStatusRccId			NA		M	RO
docsLoadbal3ChgOverStatusUsChSet			NA		M	RO
docsLoadbal3ChgOverStatusServiceFlowInfo			NA		M	RO
docsLoadbal3ChgOverStatusCmd			NA		M	RO
docsLoadbal3ChgOverStatusTransactionId			NA		M	RO
docsLoadbal3ChgOverStatusValue			NA		M	RO
docsLoadbal3ChgOverStatusUpdate			NA		M	RO

docsLoadbal3CmtsCmParamsTable			NA		M	N-Acc
docsLoadbal3CmtsCmParamsEntry			NA		M	N-Acc
docsLoadbal3CmtsCmParamsProvGrpId			NA		M	RO
docsLoadbal3CmtsCmParamsCurrentGrpId			NA		M	RO
docsLoadbal3CmtsCmParamsProvServiceTypeID			NA		M	RO
docsLoadbal3CmtsCmParamsCurrentServiceTypeID			NA		M	RO
docsLoadbal3CmtsCmParamsPolicyId			NA		M	RO
docsLoadbal3CmtsCmParamsPriority			NA		M	RO
docsLoadbal3GeneralGrpDefaults			NA		M	RO
docsLoadbal3GeneralGrpDefaultsEnable			NA		M	RO
docsLoadbal3GeneralGrpDefaultsPolicyId			NA		M	RO
docsLoadbal3GeneralGrpDefaultsInitTech			NA		M	RO
docsLoadbal3GeneralGrpCfgTable			NA		M	N-Acc
docsLoadbal3GeneralGrpCfgEntry			NA		M	N-Acc
docsLoadbal3GeneralGrpCfgNodeName			NA		M	N-Acc
docsLoadbal3GeneralGrpCfgEnable			NA		M	RC
docsLoadbal3GeneralGrpCfgPolicyId			NA		M	RC
docsLoadbal3GeneralGrpCfgInitTech			NA		M	RC
docsLoadbal3GeneralGrpCfgStatus			NA		M	RC
docsLoadbal3ResGrpCfgTable			NA		M	N-Acc
docsLoadbal3ResGrpCfgEntry			NA		M	N-Acc
docsLoadbal3ResGrpCfgId			NA		M	N-Acc
docsLoadbal3ResGrpCfgMdIfIndex			NA		M	RC
docsLoadbal3ResGrpCfgDsChList			NA		M	RC
docsLoadbal3ResGrpCfgUsChList			NA		M	RC
docsLoadbal3ResGrpCfgEnable			NA		M	RC
docsLoadbal3ResGrpCfgInitTech			NA		M	RC
docsLoadbal3ResGrpCfgPolicyId			NA		M	RC
docsLoadbal3ResGrpCfgServiceTypeId			NA		M	RC
docsLoadbal3ResGrpCfgStatus			NA		M	RC
docsLoadbal3GrpStatusTable			NA		M	N-Acc
docsLoadbal3GrpStatusEntry			NA		M	N-Acc
docsLoadbal3GrpStatusId			NA		M	N-Acc
docsLoadbal3GrpStatusCfgIdOrZero			NA		M	RO
docsLoadbal3GrpStatusMdIfIndex			NA		M	RO
docsLoadbal3GrpStatusMdCmSgId			NA		M	RO
docsLoadbal3GrpStatusDsChList			NA		M	RO
docsLoadbal3GrpStatusUsChList			NA		M	RO
docsLoadbal3GrpStatusEnable			NA		M	RO
docsLoadbal3GrpStatusInitTech			NA		M	RO
docsLoadbal3GrpStatusPolicyId			NA		M	RO
docsLoadbal3GrpStatusChgOverSuccess			NA		M	RO
docsLoadbal3GrpStatusChgOverFails			NA		M	RO
docsLoadbal3RestrictCmCfgTable			NA		M	N-Acc

docsLoadbal3RestrictCmCfgEntry			NA		M	N-Acc
docsLoadbal3RestrictCmCfgId			NA		M	N-Acc
docsLoadbal3RestrictCmCfgMacAddr			NA		M	RC
docsLoadbal3RestrictCmCfgMacAddrMask			NA		M	RC
docsLoadbal3RestrictCmCfgGrpId			NA		M	RC
docsLoadbal3RestrictCmCfgServiceTypeId			NA		M	RC
docsLoadbal3RestrictCmCfgStatus			NA		M	RC
docsLoadbal3PolicyTable			NA		M	N-Acc
docsLoadbal3PolicyEntry			NA		M	N-Acc
docsLoadbal3PolicyId			NA		M	N-Acc
docsLoadbal3PolicyRuleId			NA		M	N-Acc
docsLoadbal3PolicyPtr			NA		M	RC
docsLoadbal3PolicyRowStatus			NA		M	RC
docsLoadbal3BasicRuleTable			NA		M	N-Acc
docsLoadbal3BasicRuleEntry			NA		M	N-Acc
docsLoadbal3BasicRuleId			NA		M	N-Acc
docsLoadbal3BasicRuleEnable			NA		M	RC
docsLoadbal3BasicRuleDisStart			NA		M	RC
docsLoadbal3BasicRuleDisPeriod			NA		M	RC
docsLoadbal3BasicRuleRowStatus			NA		M	RC
DOCS-IFEXT2-MIB (Annex H)						
Object			**CM**	**Access**	**CMTS**	**Access**
docsIfExt2CmMscStatusTable			M	N-Acc	NA	
docsIfExt2CmMscStatusEntry			M	N-Acc	NA	
docsIfExt2CmMscStatusState			M	NA	NA	
docsIfExt2CmMscStatusPowerShortfall			M	RO	NA	
docsIfExt2CmMscStatusCodeRatio			M	RO	NA	
docsIfExt2CmMscStatusMaximumScheduledCodes			M	RO	NA	
docsIfExt2CmMscStatusPowerHeadroom			M	RO	NA	
docsIfExt2CmMscStatusEffectivePower			M	RO	NA	
docsIfExt2CmMscStatusIUC2Control			M	RW	NA	
docsIfExt2CmtsObjects						
docsIfExt2CmtsMscGlobalEnable			NA		M	RW
docsIfExt2CmtsCmMscStatusTable			NA		O	N-Acc
docsIfExt2CmtsCmMscStatusEntry			NA		O	N-Acc
docsIfExt2CmtsCmMscStatusPowerShortfall			NA		O	RO
docsIfExt2CmtsCmMscStatusCodeRatio			NA		O	RO
docsIfExt2CmtsCmMscStatusMaximumScheduledCodes			NA		O	RO
docsIfExt2CmtsCmMscStatusPowerHeadroom			NA		O	RO
docsIfExt2CmtsCmMscStatusMeasuredSNR			NA		O	RO
docsIfExt2CmtsCmMscStatusEffectiveSNR			NA		O	RO
docsIfExt2CmtsUpChannelMscTable			NA		O	N-Acc
docsIfExt2CmtsUpChannelMscEntry			NA		O	N-Acc
docsIfExt2CmtsUpChannelMscState			NA		O	RW
docsIfExt2CmtsUpChannelMSCTotalCMs			NA		O	RO
docsIfExt2CmtsUpChannelMSCLimitIUC1			NA		O	RO

docsIfExt2CmtsUpChannelMSCMinimumValue			NA		O	RW
docsIfExt2CmtsUpChannelTable			NA		O	N-Acc
docsIfExt2CmtsUpChannelEntry			NA		O	N-Acc
docsIfExt2CmtsUpChannelTotalCMs			NA		O	RO
HOST-RESOURCES-MIB [RFC 2790]						
Object			**CM**	**Access**	**CMTS**	**Access**
hrDeviceTable			O	N-Acc	M	N-Acc
hrDeviceEntry			O	N-Acc	M	N-Acc
hrDeviceIndex			O	RO	M	RO
hrDeviceType			O	RO	M	RO
hrDeviceDescr			O	RO	M	RO
hrDeviceID			O	RO	M	RO
hrDeviceStatus			O	RO	M	RO
hrDeviceErrors			O	RO	M	RO
hrSystem						
hrMemorySize			M	RO	M	RO
hrStorageTable			M	N-Acc	M	N-Acc
hrStorageEntry			M	N-Acc	M	N-Acc
hrStorageIndex			M	RO	M	RO
hrStorageType			M	RO	M	RO
hrStorageDescr			M	RO	M	RO
hrStorageAllocationUnits			M	RO	M	RO
hrStorageSize			M	RO	M	RO
hrStorageUsed			M	RO	M	RO
hrStorageAllocationFailures			O	RO	O	RO
hrSWRunTable			O	N-Acc	M	N-Acc
hrSWRunEntry			O	N-Acc	M	N-Acc
hrSWRunIndex			O	RO	M	RO
hrSWRunName			O	RO	M	RO
hrSWRunID			O	RO	M	RO
hrSWRunPath			O	RO	O	RO
hrSWRunParameters			O	RO	O	RO
hrSWRunType			O	RO	M	RO
hrSWRunStatus			O	RO	O	RO
hrSWRunPerfTable			O	N-Acc	M	N-Acc
hrSWRunPerfEntry			O	N-Acc	M	N-Acc
hrSWRunIndex			O	N-Acc	M	N-Acc
hrSWRunPerfCPU			O	RO	M	RO
hrSWRunPerfMem			O	RO	M	RO
hrProcessorTable			M	N-Acc	M	N-Acc
hrProcessorEntry			M	N-Acc	M	N-Acc
hrProcessorFrwID			M	RO	M	RO
hrProcessorLoad			M	RO	M	RO
ENTITY-MIB [RFC 4133]						
Object			**CM**	**Access**	**CMTS**	**Access**
entPhysicalTable			NA		M	N-Acc
entPhysicalEntry			NA		M	N-Acc
entPhysicalIndex			NA		M	N-Acc

entPhysicalDescr			NA		M	RO
entPhysicalVendorType			NA		M	RO
entPhysicalContainedIn			NA		M	RO
entPhysicalClass			NA		M	RO
entPhysicalParentRelPos			NA		M	RO
entPhysicalName			NA		M	RO
entPhysicalHardwareRev			NA		M	RO
entPhysicalFirmwareRev			NA		M	RO
entPhysicalSoftwareRev			NA		M	RO
entPhysicalSerialNum			NA		M	RO/RW
entPhysicalMfgName			NA		M	RO
entPhysicalModelName			NA		M	RO
entPhysicalAlias			NA		M	RO/RW
entPhysicalAssetID			NA		M	RO/RW
entPhysicalIsFRU			NA		M	RO
entPhysicalMfgDate			NA		O	RO
entPhysicalUris			NA		O	RW
entAliasMappingTable			NA		M	N-Acc
entAliasMappingEntry			NA		M	N-Acc
entAliasLogicalIndexOrZero			NA		M	N-Acc
entAliasMappingIdentifier			NA		M	RO
entPhysicalContainsTable			NA		M	N-Acc
entPhysicalContainsEntry			NA		M	N-Acc
entPhysicalChildIndex			NA		M	RO
entLastChangeTime			NA		M	RO

ENTITY-SENSOR-MIB [RFC 3433]						
Object			**CM**	**Access**	**CMTS**	**Access**
entPhySensorTable			O	N-Acc	M	N-Acc
entPhySensorEntry			O	N-Acc	M	N-Acc
entPhySensorType			O	RO	M	RO
entPhySensorScale			O	RO	M	RO
entPhySensorPrecision			O	RO	M	RO
entPhySensorValue			O	RO	M	RO
entPhySensorOperStatus			O	RO	M	RO
entPhySensorUnitsDisplay			O	RO	M	RO
entPhySensorValueTimeStamp			O	RO	M	RO
entPhySensorValueUpdateRate			O	RO	M	RO

DOCS-IETF-CABLE-DEVICE-NOTIFICATION-MIB [RFC 4547]						
Object	**CM in DOCSIS 1.0 CoS mode**	**Access**	**CM in DOCSIS 1.1 QoS Mode**	**Access**	**CMTS**	**Access**
docsDevCmNotifControl	O	RW	M	RW	NA	
docsDevCmtsNotifControl	NA		NA		M	RW
Notification						
docsDevCmInitTLVUnknownNotif	N-Sup		M	Acc-FN	NA	
docsDevCmDynServReqFailNotif	N-Sup		M	Acc-FN	NA	
docsDevCmDynServRspFailNotif	N-Sup		M	Acc-FN	NA	

docsDevCmDynServAckFailNotif	N-Sup		M	Acc-FN	NA	
docsDevCmBpiInitNotif	N-Sup		M	Acc-FN	NA	
docsDevCmBPKMNotif	N-Sup		M	Acc-FN	NA	
docsDevCmDynamicSANotif	N-Sup		M	Acc-FN	NA	
docsDevCmDHCPFailNotif	M	Acc-FN	M	Acc-FN	NA	
docsDevCmSwUpgradeInitNotif	M	Acc-FN	M	Acc-FN	NA	
docsDevCmSwUpgradeFailNotif	M	Acc-FN	M	Acc-FN	NA	
docsDevCmSwUpgradeSuccessNotif	M	Acc-FN	M	Acc-FN	NA	
docsDevCmSwUpgradeCVCFailNotif	M	Acc-FN	M	Acc-FN	NA	
docsDevCmTODFailNotif	O	Acc-FN	M	Acc-FN	NA	
docsDevCmDCCReqFailNotif	O	Acc-FN	M	Acc-FN	NA	
docsDevCmDCCRspFailNotif	O	Acc-FN	M	Acc-FN	NA	
docsDevCmDCCAckFailNotif	O	Acc-FN	M	Acc-FN	NA	
Notification			**CM**	**Access**	**CMTS**	**Access**
docsDevCmtsInitRegReqFailNotif			NA		M	Acc-FN
docsDevCmtsInitRegRspFailNotif			NA		M	Acc-FN
docsDevCmtsInitRegAckFailNotif			NA		M	Acc-FN
docsDevCmtsDynServReqFailNotif			NA		M	Acc-FN
docsDevCmtsDynServRspFailNotif			NA		M	Acc-FN
docsDevCmtsDynServAckFailNotif			NA		M	Acc-FN
docsDevCmtsBpiInitNotif			NA		M	Acc-FN
docsDevCmtsBPKMNotif			NA		M	Acc-FN
docsDevCmtsDynamicSANotif			NA		M	Acc-FN
docsDevCmtsDCCReqFailNotif			NA		M	Acc-FN
docsDevCmtsDCCRspFailNotif			NA		M	Acc-FN
docsDevCmtsDCCAckFailNotif			NA		M	Acc-FN
SNMP-USM-DH-OBJECTS-MIB [RFC 2786]						
Object	**CM in NmAccess Mode**	**Access**	**CM in SNMP Coexistence Mode**	**Access**	**CMTS**	**Access**
usmDHParameters	N-Sup		M	RW	O	RW
usmDHUserKeyTable	N-Sup		M	N-Acc	O	N-Acc
usmDHUserKeyEntry	N-Sup		M	N-Acc	O	N-Acc
usmDHUserAuthKeyChange	N-Sup		M	RC	O	RC
smDHUserOwnAuthKeyChange	N-Sup		M	RC	O	RC
usmDHUserPrivKeyChange	N-Sup		M	RC	O	RC
usmDHUserOwnPrivKeyChange	N-Sup		M	RC	O	RC
usmDHKickstartTable	N-Sup		M	N-Acc	O	N-Acc
usmDHKickstartEntry	N-Sup		M	N-Acc	O	N-Acc
usmDHKickstartIndex	N-Sup		M	N-Acc	O	N-Acc
usmDHKickstartMyPublic	N-Sup		M	RO	O	RO
usmDHKickstartMgrPublic	N-Sup		M	RO	O	RO
usmDHKickstartSecurityName	N-Sup		M	RO	O	RO
SNMP-VIEW-BASED-ACM-MIB [RFC 3415]						
Object	**CM in NmAccess Mode**	**Access**	**CM in SNMP Coexistence Mode**	**Access**	**CMTS**	**Access**

vacmContextTable	N-Sup		M	N-Acc	M	N-Acc
vacmContextEntry	N-Sup		M	N-Acc	M	N-Acc
vacmContextName	N-Sup		M	RO	M	RO
vacmSecurityToGroupTable	N-Sup		M	N-Acc	M	N-Acc
vacmSecurityToGroupEntry	N-Sup		M	N-Acc	M	N-Acc
vacmSecurityModel	N-Sup		M	N-Acc	M	N-Acc
vacmSecurityName	N-Sup		M	N-Acc	M	N-Acc
vacmGroupName	N-Sup		M	RC	M	RC
vacmSecurityToGroupStorageType	N-Sup		M	RC	M	RC
vacmSecurityToGroupStatus	N-Sup		M	RC	M	RC
vacmAccessTable	N-Sup		M	N-Acc	M	N-Acc
vacmAccessEntry	N-Sup		M	N-Acc	M	N-Acc
vacmAccessContextPrefix	N-Sup		M	N-Acc	M	N-Acc
vacmAccessSecurityModel	N-Sup		M	N-Acc	M	N-Acc
vacmAccessSecurityLevel	N-Sup		M	N-Acc	M	N-Acc
vacmAccessContextMatch	N-Sup		M	RC	M	RC
vacmAccessReadViewName	N-Sup		M	RC	M	RC
vacmAccessWriteViewName	N-Sup		M	RC	M	RC
vacmAccessNotifyViewName	N-Sup		M	RC	M	RC
vacmAccessStorageType	N-Sup		M	RC	M	RC
vacmAccessStatus	N-Sup		M	RC	M	RC
vacmViewSpinLock	N-Sup		M	RW	M	RW
vacmViewTreeFamilyTable	N-Sup		M	N-Acc	M	N-Acc
vacmViewTreeFamilyEntry	N-Sup		M	N-Acc	M	N-Acc
vacmViewTreeFamilyViewName	N-Sup		M	N-Acc	M	N-Acc
vacmViewTreeFamilySubtree	N-Sup		M	N-Acc	M	N-Acc
vacmViewTreeFamilyMask	N-Sup		M	RC	M	RC
vacmViewTreeFamilyType	N-Sup		M	RC	M	RC
vacmViewTreeFamilyStorageType	N-Sup		M	RC	M	RC
vacmViewTreeFamilyStatus	N-Sup		M	RC	M	RC
SNMP-COMMUNITY-MIB [RFC 3584]						
Object	**CM in NmAccess Mode**	**Access**	**CM in SNMP Coexistence Mode**	**Access**	**CMTS**	**Access**
snmpCommunityTable	N-Sup		M	N-Acc	M	N-Acc
snmpCommunityEntry	N-Sup		M	N-Acc	M	N-Acc
snmpCommunityIndex	N-Sup		M	N-Acc	M	N-Acc
snmpCommunityName	N-Sup		M	RC	M	RC
snmpCommunitySecurityName	N-Sup		M	RC	M	RC
snmpCommunityContextEngineID	N-Sup		M	RC	M	RC
snmpCommunityContextName	N-Sup		M	RC	M	RC
snmpCommunityTransportTag	N-Sup		M	RC	M	RC
snmpCommunityStorageType	N-Sup		M	RC	M	RC
snmpCommunityStatus	N-Sup		M	RC	M	RC
SnmpTargetExtTable	N-Sup		M	N-Acc	M	N-Acc
SnmpTargetExtEntry	N-Sup		M	N-Acc	M	N-Acc
snmpTargetAddrTMask	N-Sup		M	RC	M	RC
snmpTargetAddrMMS	N-Sup		M	RC	M	RC

snmpTrapAddress	N-Sup		O	ACC-FN	O	ACC-FN
snmpTrapCommunity	N-Sup		O	ACC-FN	O	ACC-FN
SNMP-FRAMEWORK-MIB [RFC 3411]						
Object	**CM in NmAccess Mode**	**Access**	**CM in SNMP Coexistence Mode**	**Access**	**CMTS**	**Access**
snmpEngineGroup						
snmpEngineID	N-Sup		M	RO	M	RO
snmpEngineBoots	N-Sup		M	RO	M	RO
snmpEngineTime	N-Sup		M	RO	M	RO
snmpEngineMaxMessageSize	N-Sup		M	RO	M	RO
SNMP-MPD-MIB [RFC 3412]						
Object	**CM in NmAccess Mode**	**Access**	**CM in SNMP Coexistence Mode**	**Access**	**CMTS**	**Access**
snmpMPDStats						
snmpUnknownSecurityModels	N-Sup		M	RO	M	RO
snmpInvalidMsgs	N-Sup		M	RO	M	RO
snmpUnknownPDUHandlers	N-Sup		M	RO	M	RO
SNMP Applications [RFC 3413]						
Object	**CM in NmAccess Mode**	**Access**	**CM in SNMP Coexistence Mode**	**Access**	**CMTS**	**Access**
snmpTargetSpinLock	N-Sup		M	RW	M	RW
snmpTargetAddrTable	N-Sup		M	N-Acc	M	N-Acc
snmpTargetAddrEntry	N-Sup		M	N-Acc	M	N-Acc
snmpTargetAddrName	N-Sup		M	N-Acc	M	N-Acc
snmpTargetAddrTDomain	N-Sup		M	RC	M	RC
snmpTargetAddrTAddress	N-Sup		M	RC	M	RC
snmpTargetAddrTimeout	N-Sup		M	RC	M	RC
snmpTargetAddrRetryCount	N-Sup		M	RC	M	RC
snmpTargetAddrTagList	N-Sup		M	RC	M	RC
snmpTargetAddrParams	N-Sup		M	RC	M	RC
snmpTargetAddrStorageType	N-Sup		M	RC	M	RC
snmpTargetAddrRowStatus	N-Sup		M	RC	M	RC
snmpTargetParamsTable	N-Sup		M	N-Acc	M	N-Acc
snmpTargetParamsEntry	N-Sup		M	N-Acc	M	N-Acc
snmpTargetParamsName	N-Sup		M	N-Acc	M	N-Acc
snmpTargetParamsMPModel	N-Sup		M	RC	M	RC
snmpTargetParamsSecurityModel	N-Sup		M	RC	M	RC
snmpTargetParamsSecurityName	N-Sup		M	RC	M	RC
snmpTargetParamsSecurityLevel	N-Sup		M	RC	M	RC
snmpTargetParamsStorageType	N-Sup		M	RC	M	RC
snmpTargetParamsRowStatus	N-Sup		M	RC	M	RC
snmpUnavailableContexts	N-Sup		M	RO	M	RO
snmpUnknownContexts	N-Sup		M	RO	M	RO
snmpNotifyTable	N-Sup		M	N-Acc	M	N-Acc
snmpNotifyEntry	N-Sup		M	N-Acc	M	N-Acc

snmpNotifyName	N-Sup		M	N-Acc	M	N-Acc
snmpNotifyTag	N-Sup		M	RC	M	RC
snmpNotifyType	N-Sup		M	RC	M	RC
snmpNotifyStorageType	N-Sup		M	RC	M	RC
snmpNotifyRowStatus	N-Sup		M	RC	M	RC
snmpNotifyFilterProfileTable	N-Sup		M	N-Acc	M	N-Acc
snmpNotifyFilterProfileEntry	N-Sup		M	N-Acc	M	N-Acc
snmpNotifyFilterProfileName	N-Sup		M	RC	M	RC
snmpNotifyFilterProfileStorType	N-Sup		M	RC	M	RC
snmpNotifyFilterProfileRowStatus	N-Sup		M	RC	M	RC
snmpNotifyFilterTable	N-Sup		M	N-Acc	M	N-Acc
snmpNotifyFilterEntry	N-Sup		M	N-Acc	M	N-Acc
snmpNotifyFilterSubtree	N-Sup		M	N-Acc	M	N-Acc
snmpNotifyFilterMask	N-Sup		M	RC	M	RC
snmpNotifyFilterType	N-Sup		M	RC	M	RC
snmpNotifyFilterStorageType	N-Sup		M	RC	M	RC
snmpNotifyFilterRowStatus	N-Sup		M	RC	M	RC
SNMP-USER-BASED-SM-MIB [RFC 3414]						
Object	**CM in NmAccess Mode**	**Access**	**CM in SNMP Coexistence Mode**	**Access**	**CMTS**	**Access**
usmStats						
usmStatsUnsupportedSecLevels	N-Sup		M	RO	M	RO
usmStatsNotInTimeWindows	N-Sup		M	RO	M	RO
usmStatsUnknownUserNames	N-Sup		M	RO	M	RO
usmStatsUnknownEngineIDs	N-Sup		M	RO	M	RO
usmStatsWrongDigests	N-Sup		M	RO	M	RO
usmStatsDecryptionErrors	N-Sup		M	RO	M	RO
usmUser						
usmUserSpinLock	N-Sup		M	RW	M	RW
usmUserTable	N-Sup		M	N-Acc	M	N-Acc
usmUserEntry	N-Sup		M	N-Acc	M	N-Acc
usmUserEngineID	N-Sup		M	N-Acc	M	N-Acc
usmUserName	N-Sup		M	N-Acc	M	N-Acc
usmUserSecurityName	N-Sup		M	RO	M	RO
usmUserCloneFrom	N-Sup		M	RC	M	RC
usmUserAuthProtocol	N-Sup		M	RC	M	RC
usmUserAuthKeyChange	N-Sup		M	RC	M	RC
usmUserOwnAuthKeyChange	N-Sup		M	RC	M	RC
usmUserPrivProtocol	N-Sup		M	RC	M	RC
usmUserPrivKeyChange	N-Sup		M	RC	M	RC
usmUserOwnPrivKeyChange	N-Sup		M	RC	M	RC
usmUserPublic	N-Sup		M	RC	M	RC
usmUserStorageType	N-Sup		M	RC	M	RC
usmUserStatus	N-Sup		M	RC	M	RC
IGMP-STD-MIB [RFC 2933]						
Object			**CM**	**Access**	**CMTS**	**Access**
igmpInterfaceTable			M	N-Acc	NA	

igmpInterfaceEntry			M	N-Acc	NA	
igmpInterfaceIfIndex			M	N-Acc	NA	
igmpInterfaceQueryInterval			M	RC	NA	
igmpInterfaceStatus			M	RC	NA	
igmpInterfaceVersion			M	RO	NA	
igmpInterfaceQuerier			M	RO	NA	
igmpInterfaceQueryMaxResponseTime			M	RC	NA	
igmpInterfaceQuerierUpTime			M	RO	NA	
igmpInterfaceQuerierExpiryTime			M	RO	NA	
igmpInterfaceVersion1QuerierTimer			M	RO	NA	
igmpInterfaceWrongVersionQueries			M	RO	NA	
igmpInterfaceJoins			M	RO	NA	
igmpInterfaceProxyIfIndex			M	RO	NA	
igmpInterfaceGroups			M	RO	NA	
igmpInterfaceRobustness			M	RC	NA	
igmpInterfaceLastMemberQueryIntvl			M	RC	NA	
igmpCacheTable			M	N-Acc	NA	
igmpCacheEntry			M	N-Acc	NA	
igmpCacheAddress			M	N-Acc	NA	
igmpCacheIfIndex			M	N-Acc	NA	
igmpCacheSelf			M	RC	NA	
igmpCacheLastReporter			M	RO	NA	
igmpCacheUpTime			M	RO	NA	
igmpCacheExpiryTime			M	RO	NA	
igmpCacheStatus			M	RO	NA	
igmpCacheVersion1HostTimer			M	RO	NA	
MGMD-STD-MIB [ID MGMD]						
Object			**CM**	**Access**	**CMTS**	**Access**
mgmdRouterInterfaceTable			NA		M	N-Acc
mgmdRouterInterfaceEntry			NA		M	N-Acc
mgmdRouterInterfaceIfIndex			NA		M	N-Acc
mgmdRouterInterfaceQuerierType			NA		M	N-Acc
mgmdRouterInterfaceQueryInterval			NA		M	RC
mgmdRouterInterfaceStatus			NA		M	RC
mgmdRouterInterfaceVersion			NA		M	RC
mgmdRouterInterfaceQuerier			NA		M	RO
mgmdRouterInterfaceQueryMaxResponseTime			NA		M	RC
mgmdRouterInterfaceQuerierUpTime			NA		M	RO
mgmdRouterInterfaceQuerierExpiryTime			NA		M	RO
mgmdRouterInterfaceWrongVersionQueries			NA		M	RO
mgmgRouterInterfaceJoins			NA		M	RO
mgmdRouterInterfaceProxyIfIndex			NA		M	RO/RC
mgmdRouterInterfaceGroups			NA		M	RO
mgmdRouterInterfaceRobustness			NA		M	RC
mgmdRouterInterfaceLastMembQueryIntvl			NA		M	RC
mgmdRouterCacheTable			NA		M	N-Acc
mgmdRouterCacheEntry			NA		M	N-Acc
mgmdRouterCacheAddressType			NA		M	N-Acc

mgmdRouterCacheAddress			NA		M	N-Acc
mgmdRouterCacheIfIndex			NA		M	N-Acc
mgmdRouterCacheLastReporter			NA		M	RO
mgmdRouterCacheUpTime			NA		M	RO
mgmdRouterCacheExpiryTime			NA		M	RO
mgmdRouterCacheVersion1HostTimer			NA		M	RO
DOCS-DIAG-MIB (Annex Q)						
Object			**CM**	**Access**	**CMTS**	**Access**
docsDiagLogGlobal						
docsDiagLogMaxSize			NA		M	RW
docsDiagLogCurrentSize			NA		M	RO
docsDiagLogNotifyLogSizeHighThrshld			NA		M	RW
docsDiagLogNotifyLogSizeLowThrshld			NA		M	RW
docsDiagLogAging			NA		M	RW
docsDiagLogResetAll			NA		M	RW
docsDiagLogLastResetTime			NA		M	RO
docsDiagLogClearAll			NA		M	RW
docsDiagLogLastClearTime			NA		M	RO
docsDiagLogNotifCtrl			NA		M	RW
docsDiagLogTriggersCfg						
docsDiagLogIncludeTriggers			NA		M	RW
docsDiagLogEnableAgingTriggers			NA		M	RW
docsDiagLogRegTimeInterval			NA		M	RW
docsDiagLogRegDetail			NA		M	RW
docsDiagLogRangingRetryType			NA		M	RW
docsDiagLogRangingRetryThrhld			NA		M	RW
docsDiagLogRangingRetryStationMaintNum			NA		M	RW
docsDiagLogTable			NA		M	N-Acc
docsDiagLogEntry			NA		M	N-Acc
docsDiagLogCmMacAddr			NA		M	RO
docsDiagLogLastUpdateTime			NA		M	RO
docsDiagLogCreateTime			NA		M	RO
docsDiagLogLastRegTime			NA		M	RO
docsDiagLogRegCount			NA		M	RO
docsDiagLogRangingRetryCount			NA		M	RO
docsDiagLogDetailTable			NA		M	N-Acc
docsDiagLogDetailEntry			NA		M	N-Acc
docsDiagLogDetailTypeValue			NA		M	N-Acc
docsDiagLogDetailCount			NA		M	RO
docsDiagLogDetailLastUpdate			NA		M	RO
docsDiagLogDetailLastErrorText			NA		M	RO
Notifications						
docsDiagLogSizeHighThrshldReached			NA		M	Notif
docsDiagLogSizeLowThrshldReached			NA		M	Notif
docsDiagLogSizeFull			NA		M	Notif
DOCS-QOS3-MIB (Annex Q)						
Object	**CM in DOCSIS 1.0 CoS mode**	**Access**	**CM in DOCSIS 1.1 QoS Mode**	**Access**	**CMTS**	**Access**

docsQosPktClassTable	N-Sup		M	N-Acc	M	N-Acc
docsQosPktClassEntry	N-Sup		M	N-Acc	M	N-Acc
docsQosPktClassId	N-Sup		M	N-Acc	M	N-Acc
docsQosPktClassDirection	N-Sup		M	RO	M	RO
docsQosPktClassPriority	N-Sup		M	RO	M	RO
docsQosPktClassIpTosLow	N-Sup		M	RO	M	RO
docsQosPktClassIpTosHigh	N-Sup		M	RO	M	RO
docsQosPktClassIpTosMask	N-Sup		M	RO	M	RO
docsQosPktClassIpProtocol	N-Sup		M	RO	M	RO
docsQosPktClassIpSourceAddr	N-Sup		M	RO	M	RO
docsQosPktClassIpSourceMask	N-Sup		M	RO	M	RO
docsQosPktClassIpDestAddr	N-Sup		M	RO	M	RO
docsQosPktClassIpDestMask	N-Sup		M	RO	M	RO
docsQosPktClassSourcePortStart	N-Sup		M	RO	M	RO
docsQosPktClassSourcePortEnd	N-Sup		M	RO	M	RO
docsQosPktClassDestPortStart	N-Sup		M	RO	M	RO
docsQosPktClassDestPortEnd	N-Sup		M	RO	M	RO
docsQosPktClassDestMacAddr	N-Sup		M	RO	M	RO
docsQosPktClassDestMacMask	N-Sup		M	RO	M	RO
docsQosPktClassSourceMacAddr	N-Sup		M	RO	M	RO
docsQosPktClassEnetProtocolType	N-Sup		M	RO	M	RO
docsQosPktClassEnetProtocol	N-Sup		M	RO	M	RO
docsQosPktClassUserPriLow	N-Sup		M	RO	M	RO
docsQosPktClassUserPriHigh	N-Sup		M	RO	M	RO
docsQosPktClassVlanId	N-Sup		M	RO	M	RO
docsQosPktClassState	N-Sup		M	RO	M	RO
docsQosPktClassPkts	N-Sup		M	RO	M	RO
docsQosPktClassBitMap	N-Sup		M	RO	M	RO
docsQosPktClassIpAddrType	N-Sup		M	RO	M	RO
docsQosPktClassFlowLabel	N-Sup		M	RO	M	RO
docsQosPktClassCmInterfaceMask	N-Sup		M	RO	M	RO
docsQosParamSetTable	N-Sup		M	N-Acc	M	N-Acc
docsQosParamSetEntry	N-Sup		M	N-Acc	M	N-Acc
docsQosParamSetServiceClassName	N-Sup		M	RO	M	RO
docsQosParamSetPriority	N-Sup		M	RO	M	RO
docsQosParamSetMaxTrafficRate	N-Sup		M	RO	M	RO
docsQosParamSetMaxTrafficBurst	N-Sup		M	RO	M	RO
docsQosParamSetMinReservedRate	N-Sup		M	RO	M	RO
docsQosParamSetMinReservedPkt	N-Sup		M	RO	M	RO
docsQosParamSetActiveTimeout	N-Sup		M	RO	M	RO
docsQosParamSetAdmittedTimeout	N-Sup		M	RO	M	RO
docsQosParamSetMaxConcatBurst	N-Sup		M	RO	M	RO
docsQosParamSetSchedulingType	N-Sup		M	RO	M	RO
docsQosParamSetNomPollInterval	N-Sup		M	RO	M	RO
docsQosParamSetTolPollJitter	N-Sup		M	RO	M	RO
docsQosParamSetUnsolicitGrantSize	N-Sup		M	RO	M	RO
docsQosParamSetNomGrantInterval	N-Sup		M	RO	M	RO
docsQosParamSetTolGrantJitter	N-Sup		M	RO	M	RO
docsQosParamSetGrantsPerInterval	N-Sup		M	RO	M	RO

docsQosParamSetTosAndMask	N-Sup		M	RO	M	RO
docsQosParamSetTosOrMask	N-Sup		M	RO	M	RO
docsQosParamSetMaxLatency	N-Sup		M	RO	M	RO
docsQosParamSetType	N-Sup		M	N-Acc	M	N-Acc
docsQosParamSetRequestPolicyOct	N-Sup		M	RO	M	RO
docsQosParamSetBitMap	N-Sup		M	RO	M	RO
docsQosParamSetServiceFlowId	N-Sup		M	N-Acc	M	N-Acc
docsQosParamSetRequiredAttrMask	N-Sup		M	RO	M	RO
docsQosParamSetForbiddenAttrMask	N-Sup		M	RO	M	RO
docsQosParamSetAttrAggrRuleMask	N-Sup		M	RO	M	RO
docsQosParamSetAppId	N-Sup		M	RO	M	RO
docsQosParamSetMultiplierContentionReqWindow	N-Sup		M	RO	M	RO
docsQosParamSetMultiplierBytesReq	N-Sup		M	RO	M	RO
docsQosParamSetMaxReqPerSidCluster	N-Sup		M	RO	M	RO
docsQosParamSetMaxOutstandingBytesPerSidCluster	N-Sup		M	RO	M	RO
docsQosParamSetMaxTotBytesReqPerSidCluster	N-Sup		M	RO	M	RO
docsQosParamSetMaxTimeInSidCluster	N-Sup		M	RO	M	RO
docsQosParamSetPeakTrafficRate	N-Sup		M	RO	M	RO
docsQosParamSetDsResequencing	N-Sup		M	RO	M	RO
docsQosServiceFlowTable	N-Sup		M	N-Acc	M	N-Acc
docsQosServiceFlowEntry	N-Sup		M	N-Acc	M	N-Acc
docsQosServiceFlowId	N-Sup		M	N-Acc	M	N-Acc
docsQosServiceFlowSID	N-Sup		M	RO	M	RO
docsQosServiceFlowDirection	N-Sup		M	RO	M	RO
docsQosServiceFlowPrimary	N-Sup		M	RO	M	RO
docsQosServiceFlowParamSetTypeStatus	N-Sup		M	RO	M	RO
docsQosServiceFlowChSetId	N-Sup		M	RO	M	RO
docsQosServiceFlowAttrAssignSuccess	N-Sup		M	RO	M	RO
docsQosServiceFlowDsid	N-Sup		M	RO	M	RO
docsQosServiceFlowStatsTable	N-Sup		M	N-Acc	M	N-Acc
docsQosServiceFlowStatsEntry	N-Sup		M	N-Acc	M	N-Acc
docsQosServiceFlowPkts	N-Sup		M	RO	M	RO
docsQosServiceFlowOctets	N-Sup		M	RO	M	RO
docsQosServiceFlowTimeCreated	N-Sup		M	RO	M	RO
docsQosServiceFlowTimeActive	N-Sup		M	RO	M	RO
docsQosServiceFlowPHSUnknowns	N-Sup		M	RO	M	RO
docsQosServiceFlowPolicedDropPkts	N-Sup		M	RO	M	RO
docsQosServiceFlowPolicedDelayPkts	N-Sup		M	RO	M	RO
Object			**CM**	**Access**	**CMTS**	**Access**
docsQosUpstreamStatsTable			NA		M	N-Acc
docsQosUpstreamStatsEntry			NA		M	N-Acc
docsQosSID			NA		M	N-Acc
docsQosUpstreamFragments			NA		M	RO
docsQosUpstreamFragDiscards			NA		M	RO
docsQosUpstreamConcatBursts			NA		M	RO
Object	**CM in DOCSIS 1.0 CoS mode**	**Access**	**CM in DOCSIS 1.1 QoS Mode**	**Access**	**CMTS**	**Access**
docsQosDynamicServiceStatsTable	N-Sup		M	N-Acc	M	N-Acc

docsQosDynamicServiceStatsEntry	N-Sup		M	N-Acc	M	N-Acc
docsQosIfDirection	N-Sup		M	N-Acc	M	N-Acc
docsQosDSAReqs	N-Sup		M	RO	M	RO
docsQosDSARsps	N-Sup		M	RO	M	RO
docsQosDSAAcks	N-Sup		M	RO	M	RO
docsQosDSCReqs	N-Sup		M	RO	M	RO
docsQosDSCRsps	N-Sup		M	RO	M	RO
docsQosDSCAcks	N-Sup		M	RO	M	RO
docsQosDSDReqs	N-Sup		M	RO	M	RO
docsQosDSDRsps	N-Sup		M	RO	M	RO
docsQosDynamicAdds	N-Sup		M	RO	M	RO
docsQosDynamicAddFails	N-Sup		M	RO	M	RO
docsQosDynamicChanges	N-Sup		M	RO	M	RO
docsQosDynamicChangeFails	N-Sup		M	RO	M	RO
docsQosDynamicDeletes	N-Sup		M	RO	M	RO
docsQosDynamicDeleteFails	N-Sup		M	RO	M	RO
docsQosDCCReqs	N-Sup		M	RO	M	RO
docsQosDCCRsps	N-Sup		M	RO	M	RO
docsQosDCCAcks	N-Sup		M	RO	M	RO
docsQosDCCs	N-Sup		M	RO	M	RO
docsQosDCCFails	N-Sup		M	RO	M	RO
docsQosDCCRspDeparts	N-Sup		M	RO	M	RO
docsQosDCCRspArrives	N-Sup		M	RO	M	RO
docsQosDbcReqs	N-Sup		M	RO	M	RO
docsQosDbcRsps	N-Sup		M	RO	M	RO
docsQosDbcAcks	N-Sup		M	RO	M	RO
docsQosDbcSuccesses	N-Sup		M	RO	M	RO
docsQosDbcFails	N-Sup		M	RO	M	RO
docsQosDbcPartial	N-Sup		M	RO	M	RO
Object			**CM**	**Access**	**CMTS**	**Access**
docsQosServiceFlowLogTable			NA		M	N-Acc
docsQosServiceFlowLogEntry			NA		M	N-Acc
docsQosServiceFlowLogIndex			NA		M	N-Acc
docsQosServiceFlowLogIfIndex			NA		M	RO
docsQosServiceFlowLogSFID			NA		M	RO
docsQosServiceFlowLogCmMac			NA		M	RO
docsQosServiceFlowLogPkts			NA		M	RO
docsQosServiceFlowLogOctets			NA		M	RO
docsQosServiceFlowLogTimeDeleted			NA		M	RO
docsQosServiceFlowLogTimeCreated			NA		M	RO
docsQosServiceFlowLogTimeActive			NA		M	RO
docsQosServiceFlowLogDirection			NA		M	RO
docsQosServiceFlowLogPrimary			NA		M	RO
docsQosServiceFlowLogServiceClassName			NA		M	RO
docsQosServiceFlowLogPolicedDropPkts			NA		M	RO
docsQosServiceFlowLogPolicedDelayPkts			NA		M	RO
docsQosServiceFlowLogControl			NA		M	RW
docsQosServiceClassTable			NA		M	N-Acc

docsQosServiceClassEntry			NA		M	N-Acc
docsQosServiceClassName			NA		M	N-Acc
docsQosServiceClassStatus			NA		M	RC
docsQosServiceClassPriority			NA		M	RC
docsQosServiceClassMaxTrafficRate			NA		M	RC
docsQosServiceClassMaxTrafficBurst			NA		M	RC
docsQosServiceClassMinReservedRate			NA		M	RC
docsQosServiceClassMinReservedPkt			NA		M	RC
docsQosServiceClassMaxConcatBurst			NA		M	RC
docsQosServiceClassNomPollInterval			NA		M	RC
docsQosServiceClassTolPollJitter			NA		M	RC
docsQosServiceClassUnsolicitGrantSize			NA		M	RC
docsQosServiceClassNomGrantInterval			NA		M	RC
docsQosServiceClassTolGrantJitter			NA		M	RC
docsQosServiceClassGrantsPerInterval			NA		M	RC
docsQosServiceClassMaxLatency			NA		M	RC
docsQosServiceClassActiveTimeout			NA		M	RC
docsQosServiceClassAdmittedTimeout			NA		M	RC
docsQosServiceClassSchedulingType			NA		M	RC
docsQosServiceClassRequestPolicy			NA		M	RC
docsQosServiceClassTosAndMask			NA		M	RC
docsQosServiceClassTosOrMask			NA		M	RC
docsQosServiceClassDirection			NA		M	RC
docsQosServiceClassStorageType			NA		M	RC
docsQosServiceClassDSCPOverwrite			NA		M	RC
docsQosServiceClassRequiredAttrMask			NA		M	RC
docsQosServiceClassForbiddenAttrMask			NA		M	RC
docsQosServiceClassAttrAggrRuleMask			NA		M	RC
docsQosServiceClassAppId			NA		M	RC
docsQosServiceClassMultiplierContentionReqWindow			NA		M	RC
docsQosServiceClassMultiplierBytesReq			NA		M	RC
docsQosServiceClassMaxReqPerSidCluster			NA		M	RC
docsQosServiceClassMaxOutstandingBytesPerSidCluster			NA		M	RC
docsQosServiceClassMaxTotBytesReqPerSidCluster			NA		M	RC
docsQosServiceClassMaxTimeInSidCluster			NA		M	RC
docsQosServiceClassPeakTrafficRate			NA		M	RC
Object	**CM in DOCSIS 1.0 CoS mode**	**Access**	**CM in DOCSIS 1.1 QoS Mode**	**Access**	**CMTS**	**Access**
docsQosPHSTable	N-Sup		M	N-Acc	M	N-Acc
docsQosPHSEntry	N-Sup		M	N-Acc	M	N-Acc
docsQosPHSField	N-Sup		M	RO	M	RO
docsQosPHSMask	N-Sup		M	RO	M	RO
docsQosPHSSize	N-Sup		M	RO	M	RO
docsQosPHSVerify	N-Sup		M	RO	M	RO
docsQosPHSIndex	N-Sup		M	RO	M	RO
Object			**CM**	**Access**	**CMTS**	**Access**
docsQosCmtsMacToSrvFlowTable			NA		M	N-Acc

docsQosCmtsMacToSrvFlowEntry			NA		M	N-Acc
docsQosCmtsCmMac			NA		M	N-Acc
docsQosCmtsServiceFlowId			NA		M	N-Acc
docsQosCmtsIfIndex			NA		M	RO
Object	**CM in DOCSIS 1.0 CoS mode**	**Access**	**CM in DOCSIS 1.1 QoS Mode**	**Access**	**CMTS**	**Access**
docsQosServiceFlowSidClusterTable	N-Sup		M	N-Acc	M	N-Acc
docsQosServiceFlowSidClusterEntry	N-Sup		M	N-Acc	M	N-Acc
docsQosServiceFlowSidClusterId	N-Sup		M	N-Acc	M	N-Acc
docsQosServiceFlowSidClusterUcid	N-Sup		M	N-Acc	M	N-Acc
docsQosServiceFlowSidClusterSid	N-Sup		M	RO	M	RO
Object			**CM**	**Access**	**CMTS**	**Access**
docsQosGrpServiceFlowTable			NA		M	N-Acc
docsQosGrpServiceFlowEntry			NA		M	N-Acc
docsQosGrpServiceFlowIsDef			NA		M	RO
docsQosGrpServiceFlowQosConfigId			NA		M	RO
docsQosGrpServiceFlowNumSess			NA		M	RO
docsQosGrpPktClassTable			NA		M	N-Acc
docsQosGrpPktClassEntry			NA		M	N-Acc
docsQosGrpPktClassGrpConfigId			NA		M	RO
docsQosUpChCounterExtTable			NA		M	N-Acc
docsQosUpChCounterExtEntry			NA		M	N-Acc
docsQosUpChCounterExtSgmtValids			NA		M	RO
docsQosUpChCounterExtSgmtDiscards			NA		M	RO
docsQosServiceFlowCcfStatsTable			NA		M	N-Acc
docsQosServiceFlowCcfStatsEntry			NA		M	N-Acc
docsQosServiceFlowCcfStatsSgmtValids			NA		M	RO
docsQosServiceFlowCcfStatsSgmtLost			NA		M	RO
Object	**CM in DOCSIS 1.0 CoS mode**	**Access**	**CM in DOCSIS 1.1 QoS Mode**	**Access**	**CMTS**	**Access**
docsQosCmServiceUsStatsTable	N-Sup		M	N-Acc	NA	
docsQosCmServiceUsStatsEntry	N-Sup		M	N-Acc	NA	
docsQosCmServiceUsStatsTxSlotsImmed	N-Sup		M	RO	NA	
docsQosCmServiceUsStatsTxSlotsDed	N-Sup		M	RO	NA	
docsQosCmServiceUsStatsTxRetries	N-Sup		M	RO	NA	
docsQosCmServiceUsStatsTxExceededs	N-Sup		M	RO	NA	
docsQosCmServiceUsStatsRqRetries	N-Sup		M	RO	NA	
docsQosCmServiceUsStatsRqExceededs	N-Sup		M	RO	NA	
docsQosCmServiceUsStatsSgmts	N-Sup		M	RO	NA	
Object			**CM**	**Access**	**CMTS**	**Access**
docsQosCmtsDsidTable			NA		M	N-Acc
docsQosCmtsDsidEntry			NA		M	N-Acc
docsQosCmtsDsidDsid			NA		M	N-Acc
docsQosCmtsDsidUsage			NA		M	RO
docsQosCmtsDsidDsChSet			NA		M	RO
docsQosCmtsDsidReseqWaitTime			NA		M	RO
docsQosCmtsDsidReseqWarnThrshld			NA		M	RO
docsQosCmtsDsidStatusHoldOffTimerSeqOutOfRng			NA		M	RO

docsQosCmtsDsidCurrentSeqNum			NA		M	RO
docsQosCmtsDebugDsidTable			NA		M	N-Acc
docsQosCmtsDebugDsidEntry			NA		M	N-Acc
docsQosCmtsDebugDsidDsid			NA		M	N-Acc
docsQosCmtsDebugDsidRowStatus			NA		M	RC
docsQosCmtsDebugDsidStatsTable			NA		M	N-Acc
docsQosCmtsDebugDsidStatsEntry			NA		M	N-Acc
docsQosCmtsDebugDsidStatsDsIfIndex			NA		M	N-Acc
docsQosCmtsDebugDsidStatsDsidPackets			NA		M	RO
docsQosCmtsDebugDsidStatsDsidOctets			NA		M	RO
docsQosCmDsidTable			M	N-Acc	NA	
docsQosCmDsidEntry			M	N-Acc	NA	
docsQosCmDsidDsid			M	N-Acc	NA	
docsQosCmDsidUsage			M	RO	NA	
docsQosCmDsidNumReseqChs			M	RO	NA	
docsQosCmDsidReseqChList			M	RO	NA	
docsQosCmDsidReseqWaitTime			M	RO	NA	
docsQosCmDsidReseqWarnThrshld			M	RO	NA	
docsQosCmDsidStatusHoldOffTimerSeqOutOfRng			M	RO	NA	
docsQosCmDsidOutOfRangeDiscards			M	RO	NA	
docsQosCmDsidNextExpectedSeqNum			M	RO	NA	
docsQosCmDsidCmInterfaceMask			M	RO	NA	
docsQosCmDsidFwdCmInterfaceMask			M	RO	NA	
docsQosCmDsidStatsTable			M	N-Acc	NA	
docsQosCmDsidStatsEntry			M	N-Acc	NA	
docsQosCmDsidStatsDsid			M	N-Acc	NA	
docsQosCmDsidStatsSeqNumMissing			M	RO	NA	
docsQosCmDsidStatsSkewThreshExceeds			M	RO	NA	
docsQosCmDsidStatsOutOfRangePackets			M	RO	NA	
docsQosCmDsidStatsNumPackets			M	RO	NA	
docsQosCmDsidClientTable			M	N-Acc	NA	
docsQosCmDsidClientEntry			M	N-Acc	NA	
docsQosCmDsidClientDsid			M	N-Acc	NA	
docsQosCmDsidClientClientMacId			M	N-Acc	NA	
docsQosCmDsidClientClientMacAddr			M	RO	NA	
DOCS-IF3-MIB (Annex Q)						
Object			**CM**	**Access**	**CMTS**	**Access**
docsIf3MdNodeStatusTable			NA		M	N-Acc
docsIf3MdNodeStatusEntry			NA		M	N-Acc
docsIf3MdNodeStatusNodeName			NA		M	N-Acc
docsIf3MdNodeStatusMdCmSgId			NA		M	N-Acc
docsIf3MdNodeStatusMdDsSgId			NA		M	RO
docsIf3MdNodeStatusMdUsSgId			NA		M	RO
docsIf3MdDsSgStatusTable			NA		M	N-Acc
docsIf3MdDsSgStatusEntry			NA		M	N-Acc
docsIf3MdDsSgStatusMdDsSgId			NA		M	N-Acc
docsIf3MdDsSgStatusChSetId			NA		M	RO
docsIf3MdUsSgStatusTable			NA		M	N-Acc

docsIf3MdUsSgStatusEntry			NA		M	N-Acc
docsIf3MdUsSgStatusMdUsSgId			NA		M	N-Acc
docsIf3MdUsSgStatusChSetId			NA		M	RO
docsIf3UsChExtTable			O	N-Acc	O	N-Acc
docsIf3UsChExtEntry			O	N-Acc	O	N-Acc
docsIf3UsChExtSacCodeHoppingSelectionMode			O	RO	O	RO
docsIf3UsChExtScdmaSelectionStringActiveCodes			O	RO	O	RO
docsIf3CmStatusTable			M	N-Acc	NA	
docsIf3CmStatusEntry			M	N-Acc	NA	
docsIf3CmStatusValue			M	RO	NA	
docsIf3CmStatusCode			M	RO	NA	
docsIf3CmStatusResets			M	RO	NA	
docsIf3CmStatusLostSyncs			M	RO	NA	
docsIf3CmStatusInvalidMaps			M	RO	NA	
docsIf3CmStatusInvalidUcds			M	RO	NA	
docsIf3CmStatusInvalidRangingRsps			M	RO	NA	
docsIf3CmStatusInvalidRegRsps			M	RO	NA	
docsIf3CmStatusT1Timeouts			M	RO	NA	
docsIf3CmStatusT2Timeouts			M	RO	NA	
docsIf3CmStatusUCCsSuccesses			M	RO	NA	
docsIf3CmStatusUCCFails			M	RO	NA	
docsIf3CmStatusUsTable			M	N-Acc	NA	
docsIf3CmStatusUsEntry			M	N-Acc	NA	
docsIf3CmStatusUsTxPower			M	RO	NA	
docsIf3CmStatusUsT3Timeouts			M	RO	NA	
docsIf3CmStatusUsT4Timeouts			M	RO	NA	
docsIf3CmStatusUsRangingAborteds			M	RO	NA	
docsIf3CmStatusUsModulationType			M	RO	NA	
docsIf3CmStatusUsEqData			M	RO	NA	
docsIf3CmStatusUsT3Exceededs			M	RO	NA	
docsIf3CmStatusUsIsMuted			M	RO	NA	
docsIf3CmStatusUsRangingStatus			M	RO	NA	
docsIf3CmCapabilities						
docsIf3CmCapabilitiesReq			M	RO	NA	
docsIf3CmCapabilitiesRsp			M	RO	NA	
docsIf3CmtsCmRegStatusTable			NA		M	N-Acc
docsIf3CmtsCmRegStatusEntry			NA		M	N-Acc
docsIf3CmtsCmRegStatusId			NA		M	N-Acc
docsIf3CmtsCmRegStatusMacAddr			NA		M	RO
docsIf3CmtsCmRegStatusIPv6Addr			NA		M	RO
docsIf3CmtsCmRegStatusIPv6LinkLocal			NA		M	RO
docsIf3CmtsCmRegStatusIPv4Addr			NA		M	RO
docsIf3CmtsCmRegStatusValue			NA		M	RO
docsIf3CmtsCmRegStatusMdIfIndex			NA		M	RO
docsIf3CmtsCmRegStatusMdCmSgId			NA		M	RO
docsIf3CmtsCmRegStatusRcpId			NA		M	RO
docsIf3CmtsCmRegStatusRccStatusId			NA		M	RO
docsIf3CmtsCmRegStatusRcsId			NA		M	RO
docsIf3CmtsCmRegStatusTcsId			NA		M	RO

docsIf3CmtsCmRegStatusServiceType			NA		M	RO
docsIf3CmtsCmRegStatusLastRegTime			NA		M	RO
docsIf3CmtsCmRegStatusAddrResolutionReqs			NA		M	RO
docsIf3CmtsCmUsStatusTable			NA		M	N-Acc
docsIf3CmtsCmUsStatusEntry			NA		M	N-Acc
docsIf3CmtsCmUsStatusChIfIndex			NA		M	N-Acc
docsIf3CmtsCmUsStatusModulationType			NA		M	RO
docsIf3CmtsCmUsStatusRxPower			NA		M	RO
docsIf3CmtsCmUsStatusSignalNoise			NA		M	RO
docsIf3CmtsCmUsStatusMicroreflections			NA		M	RO
docsIf3CmtsCmUsStatusEqData			NA		M	RO
docsIf3CmtsCmUsStatusUnerroreds			NA		M	RO
docsIf3CmtsCmUsStatusCorrecteds			NA		M	RO
docsIf3CmtsCmUsStatusUncorrectables			NA		M	RO
docsIf3CmtsCmUsStatusHighResolutionTimingOffset			NA		M	RO
docsIf3CmtsCmUsStatusIsMuted			NA		M	RO
docsIf3CmtsCmUsStatusRangingStatus			NA		M	RO
docsIf3MdCfgTable			NA		M	N-Acc
docsIf3MdCfgEntry			NA		M	N-Acc
docsIf3MdCfgMddInterval			NA		M	RW
docsIf3MdCfgIpProvMode			NA		M	RW
docsIf3MdCfgCmStatusEvCtlEnabled			NA		M	RW
docsIf3MdCfgUsFreqRange			NA		M	RW
docsIf3MdCfgMcastDsidFwdEnabled			NA		O	RW
docsIf3MdCfgMultRxChModeEnabled			NA		M	RW
docsIf3MdCfgMultTxChModeEnabled			NA		M	RW
docsIf3MdCfgEarlyAuthEncrCtrl			NA		M	RW
docsIf3MdCfgTftpProxyEnabled			NA		M	RW
docsIf3MdCfgSrcAddrVerifEnabled			NA		M	RW
docsIf3MdCfgDownChannelAnnex			NA		M	RW
docsIf3MdCfgCmUdcEnabled			NA		M	RW
docsIf3MdCfgSendUdcRulesEnabled			NA		O	RW
docsIf3MdCfgServiceTypeIdList			NA		M	RW
docsIf3MdChCfgTable			NA		M	N-Acc
docsIf3MdChCfgEntry			NA		M	N-Acc
docsIf3MdChCfgChIfIndex			NA		M	N-Acc
docsIf3MdChCfgIsPriCapableDs			NA		M	RC
docsIf3MdChCfgChId			NA		M	RC
docsIf3MdChCfgSfProvAttrMask			NA		M	RC
docsIf3MdChCfgRowStatus			NA		M	RC
docsIf3MdUsToDsChMappingTable			NA		M	N-Acc
docsIf3MdUsToDsChMappingEntry			NA		M	N-Acc
docsIf3MdUsToDsChMappingUsIfIndex			NA		M	N-Acc
docsIf3MdUsToDsChMappingDsIfIndex			NA		M	N-Acc
docsIf3MdUsToDsChMappingMdIfIndex			NA		M	RO
docsIf3DsChSetTable			NA		M	N-Acc
docsIf3DsChSetEntry			NA		M	N-Acc
docsIf3DsChSetId			NA		M	N-Acc
docsIf3DsChSetChList			NA		M	RO

docsIf3UsChSetTable			NA		M	N-Acc
docsIf3UsChSetEntry			NA		M	N-Acc
docsIf3UsChSetId			NA		M	N-Acc
docsIf3UsChSetChList			NA		M	RO
docsIf3BondingGrpCfgTable			NA		M	N-Acc
docsIf3BondingGrpCfgEntry			NA		M	N-Acc
docsIf3BondingGrpCfgDir			NA		M	N-Acc
docsIf3BondingGrpCfgCfgId			NA		M	N-Acc
docsIf3BondingGrpCfgChList			NA		M	RC
docsIf3BondingGrpCfgSfProvAttrMask			NA		M	RC
docsIf3BondingGrpCfgDsidReseqWaitTime			NA		M	RC
docsIf3BondingGrpCfgDsidReseqWarnThrshld			NA		M	RC
docsIf3BondingGrpCfgRowStatus			NA		M	RC
docsIf3DsBondingGrpStatusTable			NA		M	N-Acc
docsIf3DsBondingGrpStatusEntry			NA		M	N-Acc
docsIf3DsBondingGrpStatusChSetId			NA		M	N-Acc
docsIf3DsBondingGrpStatusMdDsSgId			NA		M	RO
docsIf3DsBondingGrpStatusCfgId			NA		M	RO
docsIf3UsBondingGrpStatusTable			NA		M	N-Acc
docsIf3UsBondingGrpStatusEntry			NA		M	N-Acc
docsIf3UsBondingGrpStatusChSetId			NA		M	N-Acc
docsIf3UsBondingGrpStatusMdUsSgId			NA		M	RO
docsIf3UsBondingGrpStatusCfgId			NA		M	RO
docsIf3RccCfgTable			NA		M	N-Acc
docsIf3RccCfgEntry			NA		M	N-Acc
docsIf3RccCfgRcpId			NA		M	N-Acc
docsIf3RccCfgRccCfgId			NA		M	N-Acc
docsIf3RccCfgVendorSpecific			NA		M	RC
docsIf3RccCfgDescription			NA		M	RC
docsIf3RccCfgRowStatus			NA		M	RC
docsIf3RxChCfgTable			NA		M	N-Acc
docsIf3RxChCfgEntry			NA		M	N-Acc
docsIf3RxChCfgRcId			NA		M	N-Acc
docsIf3RxChCfgChIfIndex			NA		M	RO
docsIf3RxChCfgPrimaryDsIndicator			NA		M	RC
docsIf3RxChCfgRcRmConnectivityId			NA		M	RC
docsIf3RxChCfgRowStatus			NA		M	RC
docsIf3RxModuleCfgTable			NA		M	N-Acc
docsIf3RxModuleCfgEntry			NA		M	N-Acc
docsIf3RxModuleCfgRmId			NA		M	N-Acc
docsIf3RxModuleCfgRmRmConnectivityId			NA		M	RC
docsIf3RxModuleCfgFirstCenterFrequency			NA		M	RC
docsIf3RxModuleCfgRowStatus			NA		M	RC
docsIf3RccStatusTable			NA		M	N-Acc
docsIf3RccStatusEntry			NA		M	N-Acc
docsIf3RccStatusRcpId			NA		M	N-Acc
docsIf3RccStatusRccStatusId			NA		M	N-Acc
docsIf3RccStatusRccCfgId			NA		M	RO
docsIf3RccStatusValidityCode			NA		M	RO

docsIf3RccStatusValidityCodeText			NA		M	RO
docsIf3RxChStatusTable			M	N-Acc	M	N-Acc
docsIf3RxChStatusEntry			M	N-Acc	M	N-Acc
docsIf3RxChStatusRcId			M	N-Acc	M	N-Acc
docsIf3RxChStatusChIfIndex			M	RO	M	RO
docsIf3RxChStatusPrimaryDsIndicator			M	RO	M	RO
docsIf3RxChStatusRcRmConnectivityId			M	RO	M	RO
docsIf3RxModuleStatusTable			M	N-Acc	M	N-Acc
docsIf3RxModuleStatusEntry			M	N-Acc	M	N-Acc
docsIf3RxModuleStatusRmId			M	N-Acc	M	N-Acc
docsIf3RxModuleStatusRmRmConnectivityId			M	RO	M	RO
docsIf3RxModuleStatusFirstCenterFrequency			M	RO	M	RO
docsIf3SignalQualityExtTable			M	N-Acc	M	N-Acc
docsIf3SignalQualityExtEntry			M	N-Acc	M	N-Acc
docsIf3SignalQualityExtRxMER			M	RO	M	RO
docsIf3SignalQualityExtRxMerSamples			M	RO	M	RO
docsIf3CmtsSignalQualityExtTable			NA		M	N-Acc
docsIf3CmtsSignalQualityExtEntry			NA		M	N-Acc
docsIf3CmtsSignalQualityExtCNIR			NA		M	RO
docsIf3CmtsSignalQualityExtExpectedRxSignalPower			NA		M	RW
docsIf3CmtsSpectrumAnalysisMeasTable			NA		M	N-Acc
docsIf3CmtsSpectrumAnalysisMeasEntry			NA		M	N-Acc
docsIf3CmtsSpectrumAnalysisMeasAmplitudeData			NA		M	RO
docsIf3CmtsSpectrumAnalysisMeasTimeInterval			NA		M	RO
docsIf3CmtsSpectrumAnalysisMeasRowStatus			NA		M	RC
docsIf3UsChExtTable			M	N-Acc	M	N-Acc
docsIf3UsChExtEntry			M	N-Acc	M	N-Acc
docsIf3UsChExtSacCodeHoppingSelectionMode			M	RO	M	RO
docsIf3UsChExtScdmaSelectionStringActiveCodes			M	RO	M	RO
docsIf3CmtsCmCtrlCmd						
docsIf3CmtsCmCtrlCmdMacAddr			NA		M	RW
docsIf3CmtsCmCtrlCmdMuteUsChId			NA		M	RW
docsIf3CmtsCmCtrlCmdMuteInterval			NA		M	RW
docsIf3CmtsCmCtrlCmdDisableForwarding			NA		M	RW
docsIf3CmtsCmCtrlCmdCommit			NA		M	RW
docsIf3CmDpvStatsTable			M	N-Acc	NA	
docsIf3CmDpvStatsEntry			M	N-Acc	NA	
docsIf3CmDpvStatsGrpId			M	N-Acc	NA	
docsIf3CmDpvStatsLastMeasLatency			M	RO	NA	
docsIf3CmDpvStatsLastMeasTime			M	RO	NA	
docsIf3CmDpvStatsMinLatency			M	RO	NA	
docsIf3CmDpvStatsMaxLatency			M	RO	NA	
docsIf3CmDpvStatsAvgLatency			M	RO	NA	
docsIf3CmDpvStatsNumMeas			M	RO	NA	
docsIf3CmDpvStatsLastClearTime			M	RO	NA	
DOCS-SUBMGT3-MIB (Annex Q)						
Object			**CM**	**Access**	**CMTS**	**Access**

docsSubMgt3Base						
docsSubMgt3BaseCpeMaxIpv4Def			NA		M	RW
docsSubMgt3BaseCpeMaxIpv6PrefixDef			NA		M	RW
docsSubMgt3BaseCpeActiveDef			NA		M	RW
docsSubMgt3BaseCpeLearnableDef			NA		M	RW
docsSubMgt3BaseSubFilterDownDef			NA		M	RW
docsSubMgt3BaseSubFilterUpDef			NA		M	RW
docsSubMgt3BaseCmFilterDownDef			NA		M	RW
docsSubMgt3BaseCmFilterUpDef			NA		M	RW
docsSubMgt3BasePsFilterDownDef			NA		M	RW
docsSubMgt3BasePsFilterUpDef			NA		M	RW
docsSubMgt3BaseMtaFilterDownDef			NA		M	RW
docsSubMgt3BaseMtaFilterUpDef			NA		M	RW
docsSubMgt3BaseStbFilterDownDef			NA		M	RW
docsSubMgt3BaseStbFilterUpDef			NA		M	RW
docsSubMgt3CpeCtrlTable			NA		M	N-Acc
docsSubMgt3CpeCtrlEntry			NA		M	N-Acc
docsSubMgt3CpeCtrlMaxCpeIpv4			NA		M	RW
docsSubMgt3CpeCtrlMaxCpeIpv6Prefix			NA		M	RW
docsSubMgt3CpeCtrlActive			NA		M	RW
docsSubMgt3CpeCtrlLearnable			NA		M	RW
docsSubMgt3CpeCtrlReset			NA		M	RW
docsSubMgt3CpeCtrlLastReset			NA		M	RW
docsSubMgt3CpeIpTable			NA		M	N-Acc
docsSubMgt3CpeIpEntry			NA		M	N-Acc
docsSubMgt3CpeIpId			NA		M	N-Acc
docsSubMgt3CpeIpAddrType			NA		M	RO
docsSubMgt3CpeIpAddr			NA		M	RO
docsSubMgt3CpeIpAddrPrefixLen			NA		M	RO
docsSubMgt3CpeIpLearned			NA		M	RO
docsSubMgt3CpeIpType			NA		M	RO
docsSubMgt3GrpTable			NA		M	N-Acc
docsSubMgt3GrpEntry			NA		M	N-Acc
docsSubMgt3GrpUdcGroupIds			NA		M	RW
docsSubMgt3GrpUdcSentInRegRsp			NA		M	RW
docsSubMgt3GrpSubFilterDs			NA		M	RW
docsSubMgt3GrpSubFilterUs			NA		M	RW
docsSubMgt3GrpCmFilterDs			NA		M	RW
docsSubMgt3GrpCmFilterUs			NA		M	RW
docsSubMgt3GrpPsFilterDs			NA		M	RW
docsSubMgt3GrpPsFilterUs			NA		M	RW
docsSubMgt3GrpMtaFilterDs			NA		M	RW
docsSubMgt3GrpMtaFilterUs			NA		M	RW
docsSubMgt3GrpStbFilterDs			NA		M	RW
docsSubMgt3GrpStbFilterUs			NA		M	RW
docsSubMgt3FilterGrpTable			NA		M	N-Acc
docsSubMgt3FilterGrpEntry			NA		M	N-Acc
docsSubMgt3FilterGrpGrpId			NA		M	N-Acc
docsSubMgt3FilterGrpRuleId			NA		M	N-Acc

docsSubMgt3FilterGrpAction			NA		M	RC
docsSubMgt3FilterGrpPriority			NA		M	RC
docsSubMgt3FilterGrpIpTosLow			NA		M	RC
docsSubMgt3FilterGrpIpTosHigh			NA		M	RC
docsSubMgt3FilterGrpIpTosMask			NA		M	RC
docsSubMgt3FilterGrpIpProtocol			NA		M	RC
docsSubMgt3FilterGrpInetAddrType			NA		M	RC
docsSubMgt3FilterGrpInetSrcAddr			NA		M	RC
docsSubMgt3FilterGrpInetSrcMask			NA		M	RC
docsSubMgt3FilterGrpInetDestAddr			NA		M	RC
docsSubMgt3FilterGrpInetDestMask			NA		M	RC
docsSubMgt3FilterGrpSrcPortStart			NA		M	RC
docsSubMgt3FilterGrpSrcPortEnd			NA		M	RC
docsSubMgt3FilterGrpDestPortStart			NA		M	RC
docsSubMgt3FilterGrpDestPortEnd			NA		M	RC
docsSubMgt3FilterGrpDestMacAddr			NA		M	RC
docsSubMgt3FilterGrpDestMacMask			NA		M	RC
docsSubMgt3FilterGrpSrcMacAddr			NA		M	RC
docsSubMgt3FilterGrpEnetProtocolType			NA		M	RC
docsSubMgt3FilterGrpEnetProtocol			NA		M	RC
docsSubMgt3FilterGrpUserPriLow			NA		M	RC
docsSubMgt3FilterGrpUserPriHigh			NA		M	RC
docsSubMgt3FilterGrpVlanId			NA		M	RC
docsSubMgt3FilterGrpClassPkts			NA		M	RO
docsSubmgt3FilterGrpFlowLabel			NA		M	RC
docsSubmgt3FilterGrpCmInterfaceMask			NA		M	RC
docsSubMgt3FilterGrpRowStatus			NA		M	RC
CLAB-TOPO-MIB (Annex Q)						
Object			**CM**	**Access**	**CMTS**	**Access**
clabTopoFiberNodeCfgTable			NA		M	N-Acc
clabTopoFiberNodeCfgEntry			NA		M	N-Acc
clabTopoFiberNodeCfgNodeName			NA		M	N-Acc
clabTopoFiberNodeCfgNodeDescr			NA		M	RC
clabTopoFiberNodeCfgRowStatus			NA		M	RC
clabTopoChFnCfgTable			NA		M	N-Acc
clabTopoChFnCfgEntry			NA		M	N-Acc
clabTopoChFnCfgNodeName			NA		M	N-Acc
clabTopoChFnCfgChIfIndex			NA		M	N-Acc
clabTopoChFnCfgRowStatus			NA		M	RC
DOCS-MCAST-AUTH-MIB (Annex Q)						
Object			**CM**	**Access**	**CMTS**	**Access**
docsMcastAuthCtrl						
docsMcastAuthCtrlEnable			NA		M	RW
docsMcastAuthCtrlDefProfileNameList			NA		M	RW
docsMcastAuthCtrlDefAction			NA		M	RW
docsMcastAuthCtrlDefMaxNumSess			NA		M	RW
docsMcastAuthCmtsCmStatusTable			NA		M	N-Acc
docsMcastAuthCmtsCmStatusEntry			NA		M	N-Acc

docsMcastAuthCmtsCmStatusCfgProfileNameList			NA		M	RO
docsMcastAuthCmtsCmStatusCfgListId			NA		M	RO
docsMcastAuthCmtsCmStatusMaxNumSess			NA		M	RO
docsMcastAuthCmtsCmStatusCfgParamFlag			NA		M	RO
docsMcastAuthProfileSessRuleTable			NA		M	N-Acc
docsMcastAuthProfileSessRuleEntry			NA		M	N-Acc
docsMcastAuthProfileSessRuleId			NA		M	N-Acc
docsMcastAuthProfileSessRulePriority			NA		M	RC
docsMcastAuthProfileSessRulePrefixAddrType			NA		M	RC
docsMcastAuthProfileSessRuleSrcPrefixAddr			NA		M	RC
docsMcastAuthProfileSessRuleSrcPrefixLen			NA		M	RC
docsMcastAuthProfileSessRuleGrpPrefixAddr			NA		M	RC
docsMcastAuthProfileSessRuleGrpPrefixLen			NA		M	RC
docsMcastAuthProfileSessRuleAction			NA		M	RC
docsMcastAuthProfileSessRuleRowStatus			NA		M	RC
docsMcastAuthStaticSessRuleTable			NA		O	N-Acc
docsMcastAuthStaticSessRuleEntry			NA		O	N-Acc
docsMcastAuthStaticSessRuleCfgListId			NA		O	N-Acc
docsMcastAuthStaticSessRuleId			NA		O	N-Acc
docsMcastAuthStaticSessRulePriority			NA		O	RO
docsMcastAuthStaticSessRulePrefixAddrType			NA		O	RO
docsMcastAuthStaticSessRuleSrcPrefixAddr			NA		O	RO
docsMcastAuthStaticSessRuleSrcPrefixLen			NA		O	RO
docsMcastAuthStaticSessRuleGrpPrefixAddr			NA		O	RO
docsMcastAuthStaticSessRuleGrpPrefixLen			NA		O	RO
docsMcastAuthStaticSessRuleAction			NA		O	RO
docsMcastAuthProfilesTable			NA		M	N-Acc
docsMcastAuthProfilesEntry			NA		M	N-Acc
docsMcastAuthProfilesName			NA		M	N-Acc
docsMcastAuthProfilesDescription			NA		M	RC
docsMcastAuthProfilesRowStatus			NA		M	RC
DOCS-MCAST-MIB (Annex Q)						
Object			**CM**	**Access**	**CMTS**	**Access**
docsMcastCmtsGrpCfgTable			NA		M	N-Acc
docsMcastCmtsGrpCfgEntry			NA		M	N-Acc
docsMcastCmtsGrpCfgId			NA		M	N-Acc
docsMcastCmtsGrpCfgRulePriority			NA		M	RC
docsMcastCmtsGrpCfgPrefixAddrType			NA		M	RC
docsMcastCmtsGrpCfgSrcPrefixAddr			NA		M	RC
docsMcastCmtsGrpCfgSrcPrefixLen			NA		M	RC
docsMcastCmtsGrpCfgGrpPrefixAddr			NA		M	RC
docsMcastCmtsGrpCfgGrpPrefixLen			NA		M	RC
docsMcastCmtsGrpCfgTosLow			NA		M	RC
docsMcastCmtsGrpCfgTosHigh			NA		M	RC
docsMcastCmtsGrpCfgTosMask			NA		M	RC
docsMcastCmtsGrpCfgQosConfigId			NA		M	RC
docsMcastCmtsGrpCfgEncryptConfigId			NA		M	RC
docsMcastCmtsGrpCfgPhsConfigId			NA		M	RC

docsMcastCmtsGrpCfgRowStatus			NA		M	RC
docsMcastCmtsGrpEncryptCfgTable			NA		M	N-Acc
docsMcastCmtsGrpEncryptCfgEntry			NA		M	N-Acc
docsMcastCmtsGrpEncryptCfgId			NA		M	N-Acc
docsMcastCmtsGrpEncryptCfgCtrl			NA		M	RC
docsMcastCmtsGrpEncryptCfgAlg			NA		M	RC
docsMcastCmtsGrpEncryptCfgRowStatus			NA		M	RC
docsMcastCmtsGrpPhsCfgTable			NA		M	N-Acc
docsMcastCmtsGrpPhsCfgEntry			NA		M	N-Acc
docsMcastCmtsGrpPhsCfgId			NA		M	N-Acc
docsMcastCmtsGrpPhsCfgPhsField			NA		M	RC
docsMcastCmtsGrpPhsCfgPhsMask			NA		M	RC
docsMcastCmtsGrpPhsCfgPhsSize			NA		M	RC
docsMcastCmtsGrpPhsCfgPhsVerify			NA		M	RC
docsMcastCmtsGrpPhsCfgRowStatus			NA		M	RC
docsMcastCmtsGrpQosCfgTable			NA		M	N-Acc
docsMcastCmtsGrpQosCfgEntry			NA		M	N-Acc
docsMcastCmtsGrpQosCfgId			NA		M	N-Acc
docsMcastCmtsGrpQosCfgServiceClassName			NA		M	RC
docsMcastCmtsGrpQosCfgQosCtrl			NA		M	RC
docsMcastCmtsGrpQosCfgAggSessLimit			NA		M	RC
docsMcastCmtsGrpQosCfgAppId			NA		M	RC
docsMcastCmtsGrpQosCfgRowStatus			NA		M	RC
docsMcastCmtsReplSessTable			NA		M	N-Acc
docsMcastCmtsReplSessEntry			NA		M	N-Acc
docsMcastCmtsReplSessPrefixAddrType			NA		M	N-Acc
docsMcastCmtsReplSessGrpPrefix			NA		M	N-Acc
docsMcastCmtsReplSessSrcPrefix			NA		M	N-Acc
docsMcastCmtsReplSessMdIfIndex			NA		M	N-Acc
docsMcastCmtsReplSessDcsId			NA		M	N-Acc
docsMcastCmtsReplSessServiceFlowId			NA		M	N-Acc
docsMcastCmtsReplSessDsid			NA		M	RO
docsMcastCmtsReplSessSaid			NA		M	RO
docsMcastDefGrpSvcClass						
docsMcastDefGrpSvcClassDef			NA		M	RW
docsMcastDsidPhsTable			M	N-Acc	M	N-Acc
docsMcastDsidPhsEntry			M	N-Acc	M	N-Acc
docsMcastDsidPhsDsid			M	N-Acc	M	N-Acc
docsMcastDsidPhsPhsField			M	RO	M	RO
docsMcastDsidPhsPhsMask			M	RO	M	RO
docsMcastDsidPhsPhsSize			M	RO	M	RO
docsMcastDsidPhsPhsVerify			M	RO	M	RO
DOCS-SEC-MIB (Annex Q)						
Object			**CM**	**Access**	**CMTS**	**Access**
docsSecCmtsCertRevocationList						
docsSecCmtsCertRevocationListUrl			NA		M	RW
docsSecCmtsCertRevocationListRefreshInterval			NA		M	RW
docsSecCmtsCertRevocationListLastUpdate			NA		M	RO

docsSecCmtsOnlineCertStatusProtocol						
docsSecCmtsOnlineCertStatusProtocolUrl			NA		M	RW
docsSecCmtsOnlineCertStatusProtocolSignatureBypass			NA		M	RW
docsSecCmtsServerCfg						
docsSecCmtsServerCfgTftpOptions			NA		M	RW
docsSecCmtsServerCfgConfigFileLearningEnable			NA		M	RW
docsSecCmtsEncrypt						
docsSecCmtsEncryptEncryptAlgPriority			NA		M	RW
docsSecCmtsSavControl						
docsSecCmtsSavControlCmAuthEnable			NA		M	RW
docsSecCmtsCmEaeExclusionTable			NA		M	N-Acc
docsSecCmtsCmEaeExclusionEntry			NA		M	N-Acc
docsSecCmtsCmEaeExclusionId			NA		M	N-Acc
docsSecCmtsCmEaeExclusionMacAddr			NA		M	RC
docsSecCmtsCmEaeExclusionMacAddrMask			NA		M	RC
docsSecCmtsCmEaeExclusionRowStatus			NA		M	RC
docsSecSavCmAuthTable			NA		M	N-Acc
docsSecSavCmAuthEntry			NA		M	N-Acc
docsSecSavCmAuthGrpName			NA		M	RO
docsSecSavCmAuthStaticPrefixListId			NA		M	RO
docsSecSavCfgListTable			NA		M	N-Acc
docsSecSavCfgListEntry			NA		M	N-Acc
docsSecSavCfgListName			NA		M	N-Acc
docsSecSavCfgListRuleId			NA		M	N-Acc
docsSecSavCfgListPrefixAddrType			NA		M	RC
docsSecSavCfgListPrefixAddr			NA		M	RC
docsSecSavCfgListPrefixLen			NA		M	RC
docsSecSavCfgListRowStatus			NA		M	RC
docsSecSavStaticListTable			NA		M	N-Acc
docsSecSavStaticListEntry			NA		M	N-Acc
docsSecSavStaticListId			NA		M	N-Acc
docsSecSavStaticListRuleId			NA		M	N-Acc
docsSecSavStaticListPrefixAddrType			NA		M	RO
docsSecSavStaticListPrefixAddr			NA		M	RO
docsSecSavStaticListPrefixLen			NA		M	RO
docsSecCmtsCmSavStatsTable			NA		M	N-Acc
docsSecCmtsCmSavStatsEntry			NA		M	N-Acc
docsSecCmtsCmSavStatsSavDiscards			NA		M	RO
docsSecCmtsCertificate						
docsSecCmtsCertificateCertRevocationMethod			NA		M	RW

A.2 [RFC 2863] ifTable/ifXTable MIB-Object Details[52]

Refer to [RFC 2863] for MIB object descriptions. Table A-1 includes DOCSIS 3.0 specific object information.

The following tables detail the specific ifTable and ifXTable MIB objects and values that are expected for the interfaces on the CMTS and CM.

Section 7.1.3.3.5 has defined the requirements for the [RFC 2863] ifTable and ifXTable MIB objects. This section applies these general requirements to each of the CMTS and CM interfaces. Table A-4 defines the specific requirements for the CMTS ethernet (NSI) and CM ethernet, USB and other interfaces. Table A-5 defines the specific requirements for the CM and CMTS upstream, downstream and MAC interfaces. Table A-4 and Table A-5 exclude the Counter32 and Counter64 MIB objects as these counter objects are defined in Table A-6 and Table A-7.

In order to simplify and compile all the requirements for the Counter32 and Counter64 MIB objects in a single location, the specific SNMP Access requirements and MIB implementation details that are normally detailed in Annex A.1 are reflected in Table A-6 and Table A-7. The nomenclature for the MIB implementation details can be found in Table A-1 and the SNMP Access Requirements are detailed in Table A-2 of Annex A.1. Please refer to these tables for the values found for each of the interfaces in Table A-6 and Table A-7.

Table A-4 - [RFC 2863] ifTable/ifXTable MIB-Object Details for Ethernet and USB Interfaces[53]

MIB Objects	CMTS-Ethernet	CM-Ethernet	CM USB CDC Ethernet	CM-CPE Other Type
IfTable				
ifIndex	(n)	1 or [4+(n)]	1 or [4+(n)]	1 or [4+(n)]
ifDescr			See 7.1.3.3.7.1	
ifType	6	6	160	(IANA num)
ifMtu	1500	1500	1500	Media dependent
ifSpeed	10,000,000, 100,000,000, …	10,000,000, 100,000,000, …	12,000,000, 480,000,000	speed
ifPhysAddress	MAC Address of this interface	MAC Address of this interface	MAC Address of this interface	Physical Address of this interface

[52] section revised per OSSIv3.0-N-07.0540-4 by ab on 11/8/07.

53 Table revised per OSSIv3.0-N-07.0397-2 by ab on 5/4/07, per OSSIv3.0-N-07.0402-1 on 5/7/07, per OSSIv3.0-N-07. OSSIv3.0-N-07.0410-4, #18 & 19 or OSSIv3.0-N-0395-3. Further revised per OSSIv3.0-N-07.0514-2 by ab on 10/15/07.

MIB Objects	CMTS-Ethernet	CM-Ethernet	CM USB CDC Ethernet	CM-CPE Other Type
ifAdminStatus For CM: When a managed system initializes, all interfaces start with ifAdminStatus in the up(1) state. As a result of explicit management action, ifAdminStatus is then changed to either the down(2) or testing(3) states (or remains in the up(1) state). For CMTS: When a managed system initializes, all interface start with ifAdminStatus in the up(1) state. As a result of either explicit management or configuration information saved via other non-SNMP method (i.e., CLI commands) retained by the managed system, ifAdminStatus is then changed to either the down(2) or testing(3) states (or remains in the up(1) state).	up(1), down(2), testing(3)	up(1), down(2), testing(3)	up(1), down(2), testing(3)	up(1), down(2), testing(3)
ifOperStatus	up(1), down(2), testing(3), dormant(5), notPresent(6)	up(1), down(2), testing(3), dormant(5), notPresent(6)	See 7.1.3.3.2.2	up(1), down(2), testing(3), dormant(5), notPresent(6)
ifLastChange				
ifXTable				
ifName				
ifLinkUpDownTrapEnable Note: See Section 7.1.3.3.4 for details				
ifHighSpeed	10, 100, …	10, 100, …	12, 480	speed
ifPromiscuousMode	true, false	true, false	true, false	true, false
ifConnectorPresent				
ifAlias				
ifCounterDiscontinuityTime				

Note: Refer to Table A-6 for Counter32 and Counter64 MIB object details.

Table A-5 - [RFC 2863] ifTable/ifXTable MIB-Object Details for MAC and RF Interfaces[54]

MIB Objects	CMTS-MAC	CMTS-Downstream	CMTS-Upstream Physical Interface	CMTS-Upstream Logical Channel	CM-MAC	CM-Downstream	CM-Upstream
IfTable							
ifIndex	(n)	(n)	(n)	(n)	2	3	4
ifDescr							
ifType	127	128	129	205	127	128	129
ifMtu [For RF Upstream/Downstream; the value includes the length of the MAC header.	1500	1764	1764	1764	1500	1764	1764
ifSpeed [For RF Downstream; This is the symbol rate multiplied by the number of bits per symbol. For RF Upstream; This is the raw band-width in bits per second of this interface, regarding the highest speed modulation profile that is defined. This is the symbol rate multiplied with the number of bits per symbol for this modulation profile.]	0	~64-QAM=30,341,646 ~256-QAM=42,884,296	(n)	(n)	0	~64-QAM=30,341,646 ~256-QAM=42,884,296	(n)
ifPhysAddress:	MAC Address of this interface	Empty-String	Empty-String	Empty-String	MAC Address of this interface	Empty-String	Empty-String
ifAdminStatus: [For CM: When a managed system initializes, all interfaces start with ifAdminStatus in the up(1) state. As a result of explicit management action, ifAdminStatus is then changed to either the down(2) or testing(3) states (or remains in the up(1) state). For CMTS: When a managed system initializes, all interface start with ifAdminStatus in the up(1) state. As a result of either explicit management or configuration information saved via other non SNMP method (i.e., CLI commands) retained by the managed system, ifAdminStatus is then changed to either the down(2) or testing(3) states (or remains in the up(1) state).]	up(1), down(2), testing(3)	up(1), down(2), testing(3)	up(1), down(2), testing(3)	up(1), down(2), testing(3)	up(1), down(2), testing(3)	up(1), down(2), testing(3)	up(1), down(2), testing(3)
ifOperStatus:	up(1), down(2), testing(3), dormant(5), notPresent(6)	up(1), down(2), testing(3), dormant(5), notPresent(6)	up(1), down(2), testing(3), dormant(5), notPresent(6)	up(1), down(2), testing(3), dormant(5), notPresent(6)	up(1), down(2), testing(3), dormant(5), notPresent(6)	up(1), down(2), testing(3), dormant(5), notPresent(6)	up(1), down(2), testing(3), dormant(5), notPresent(6)
ifLastChange:							

[54] table reformatted per OSSIv3.0-N-07.0397-2 by ab on 5/4/07 and revised per OSSIv3.0-N-07.0402-1, #7 on 5.7.07 by KN. Further revised per OSSIv3.0-N-07.0514-2 by ab on 10/15/07.

MIB Objects	CMTS-MAC	CMTS-Downstream	CMTS-Upstream Physical Interface	CMTS-Upstream Logical Channel	CM-MAC	CM-Downstream	CM-Upstream
ifXTable							
ifName							
ifLinkUpDownTrapEnable See Section 7.1.3.3.5							
ifHighSpeed[For RF Downstream; This is the symbol rate multiplied with the number of bits per symbol. For RF Upstream; This is the raw bandwidth in bits per second of this interface, regarding the highest speed modulation profile that is defined. This is the symbol rate multiplied with the number of bits per symbol for this modulation profile.]	0	~64-QAM=30,~256-QAM=42	(n)*	(n)**	0	~64-QAM=30,~256-QAM=42	(n)
ifPromiscuousMode	true, false	false	true, false	true	true	true	false
ifConnectorPresent							
ifAlias							
ifCounterDiscontinuityTime							

Note: Refer to Table A-7 for Counter32 and Counter64 MIB object details.

Table A-6 - [RFC 2863] ifTable/ifXTable Counter32 and Counter64 MIB-Object Details for Ethernet and USB Interfaces

MIB Counter Objects	ACCESS	CMTS-Ethernet	CM-Ethernet	CM-USB	CM-CPE Other Type
ifTable					
ifInOctets	RO	M	M	M	M
ifInUcastPkts	RO	M	M	M	M
ifInDiscards	RO	M	M	M	M
ifInErrors	RO	M	M	M	M
ifInUnknownProtos	RO	M	M	M	M
ifOutOctets	RO	M	M	M	M
ifOutUcastPkts	RO	M	M	M	M
ifOutDiscards	RO	M	M	M	M
ifOutErrors	RO	M	M	M	M

MIB Counter Objects	ACCESS	CMTS-Ethernet	CM-Ethernet	CM-USB	CM-CPE Other Type
ifXTable					
ifInMulticastPkts	RO	M	M	M	M
ifInBroadcastPkts	RO	M	M	M	M
ifOutMulticastPkts	RO	M	M	M	M
ifOutBroadcastPkts	RO	M	M	M	M
IfHCInOctets	RO	O	O	O	O
ifHCInUcastPkts	RO	O	O	O	O
ifHCInMulticastPkts	RO	O	O	O	O
ifHCInBroadcastPkts	RO	O	O	O	O
ifHCOutOctets	RO	O	O	O	O
ifHCOutUcastPkts	RO	O	O	O	O
ifHCOutMulticastPkts	RO	O	O	O	O
ifHCOutBroadcastPkts	RO	O	O	O	O

In Table A-7, the packet and octet counters are implemented based on the requirements in Section 7 of this specification. In this table, the value NA means that the particular counter is not applicable to this interface. Objects labeled as NA or O in Table A-7 can be optionally implemented and if implemented, the object will return 0 when read.

Table A-7 - [RFC 2863] ifTable/ifXTable Counter32 and Counter64 MIB-Object Details for MAC and RF Interfaces

MIB Counter Objects	Access	CMTS-MAC	CMTS-Downstream	CMTS-Upstream Physical Interface	CMTS-Upstream Logical Channel	CM-MAC	CM-Downstream	CM-Upstream
ifTable								
ifInOctets [For RF Upstream/Downstream (where not zero); This includes MAC packets as well as data packets, and includes the length of the MAC header, this does not include any PHY overhead. For MAC Layer; The total number of data octets received on this interface, targeted for upper protocol layers. For MAC; The total number of data octets (bridge data, data target for the managed device) received on this interface from RF-downstream interface and before application of protocol filters defined in [RFC 4639].	RO	M	NA	M	M	M	M	NA
ifInUcastPkts [For RF Upstream/ Downstream (where not zero); This includes data packets as well as MAC layer packets, this does not include any PHY overhead. For MAC Layer; The number of Unicast packets received on this interface, targeted for upper protocol layers. For MAC layer; the number of Unicast data packets (bridge data, data target for the managed device) received on this interface from RF-downstream interface before application of protocol filters defined in [RFC 4639].	RO	M	NA	O	O	M	O	NA
ifInDiscards	RO	M	NA	O	O	M	O	NA
ifInErrors	RO	M	NA	O	O	M	O	NA
ifInUnknownProtos	RO	M	NA	O	O	M	O	NA
ifOutOctets [For RF Upstream/ Downstream (where not zero); This includes MAC packets as well as data packets, and includes the length of the MAC header, this does not include any PHY overhead. For MAC Layer; The total number of octets, received from upper protocol layers and transmitted on this interface. For MAC; The total number of data octets (bridge data, data generated from the managed device) transmitted on this interface to RF-upstream interface after application of protocol filters defined in [RFC 4639].	RO	M	M	NA	NA	M	NA	M

MIB Counter Objects	Access	CMTS-MAC	CMTS-Downstream	CMTS-Upstream Physical Interface	CMTS-Upstream Logical Channel	CM-MAC	CM-Downstream	CM-Upstream
ifOutUcastPkts [For RF Upstream/ Downstream (where not zero); This includes MAC packets as well as data packets, this does not include any PHY overhead. For MAC Layer; The number of Unicast packets, received from upper protocol layers and transmitted on this interface. For MAC layer; The number of Unicast data packets (bridge data, data generated from the managed device) transmitted on this interface to RF-upstream interface after application of protocol filters defined in [RFC 4639].	RO	M	O	NA	NA	M	NA	O
ifOutDiscards	RO	M	O	NA	NA	M	NA	O
ifOutErrors	RO	M	O	NA	NA	M	NA	O
ifXTable								
ifInMulticastPkts [For RF Upstream/ Downstream (where not zero); This includes data packets as well as MAC layer packets, this does not include any PHY overhead. For MAC Layer; The number of Multicast packets received on this interface, targeted for upper protocol layers. For MAC layer; the number of Multicast data packets (bridge data, data targeted for the managed device) received on this interface from RF-downstream interface before application of protocol filter defined in [RFC 4639].	RO	M	NA	O	O	M	O	NA
ifInBroadcastPkts [For RF Upstream/ Downstream (where not zero); This includes data packets as well as MAC layer packets, this does not include any PHY overhead. For MAC Layer; The number of Broadcast packets received on this interface, targeted for upper protocol layers. For MAC layer; The number of Broadcast data packets (bridge data, data targeted for the managed device) received on this interface from RF-downstream interface before application of protocol filter defined in [RFC 4639].	RO	M	NA	O	O	M	O	NA

MIB Counter Objects	Access	CMTS-MAC	CMTS-Downstream	CMTS-Upstream Physical Interface	CMTS-Upstream Logical Channel	CM-MAC	CM-Downstream	CM-Upstream
ifOutMulticastPkts [For RF Upstream/ Downstream (where not zero); This includes MAC packets as well as data packets, this does not include any PHY overhead. For MAC Layer; The number of Multicast packets received from upper protocol layers and transmitted on this interface. For MAC layer; The number of Multicast data packets (bridge data, data generated from the managed device) transmitted on this interface to RF-upstream interface after application of protocol filters defined in [RFC 4639].	RO	M	O	NA	NA	M	NA	O
ifOutBroadcastPkts [For RF Upstream/ Downstream (where not zero); This includes MAC packets as well as data packets, this does not include any PHY overhead. For MAC Layer; The number of Broadcast packets, received from upper protocol layers and transmitted on this interface. For MAC layer; The number of Broadcast data packets (bridge data, data generated from the managed device) transmitted on this interface to RF-upstream interface after application of protocol filters defined in [RFC 4639].	RO	M	O	NA	NA	M	NA	O
IfHCInOctets [For RF Upstream/Downstream (where not zero); This includes MAC packets as well as data packets, and includes the length of the MAC header, this does not include any PHY overhead. For MAC Layer; The total number of data octets received on this interface, targeted for upper protocol layers.]	RO	M	NA	M	M	M	M	NA
ifHCInUcastPkts [For RF Upstream/ Downstream (where not zero); This includes data packets as well as MAC layer packets, this does not include any PHY overhead. For MAC Layer; The number of Unicast packets received on this interface, targeted for upper protocol layers. For MAC layer; the number of Unicast data packets (bridge data, data target for the managed device) received on this interface from RF-downstream interface before application of protocol filters defined in [RFC 4639].	RO	O	NA	O	O	O	O	NA

MIB Counter Objects	Access	CMTS-MAC	CMTS-Downstream	CMTS-Upstream Physical Interface	CMTS-Upstream Logical Channel	CM-MAC	CM-Downstream	CM-Upstream
ifHCInMulticastPkts [For RF Upstream/ Downstream (where not zero); This includes data packets as well as MAC layer packets, this does not include any PHY overhead. For MAC Layer; The number of Multicast packets received on this interface, targeted for upper protocol layers. For MAC layer; the number of Multicast data packets (bridge data, data targeted for the managed device) received on this interface from RF-downstream interface before application of protocol filter defined in [RFC 4639].	RO	O	NA	O	O	O	O	NA
ifHCInBroadcastPkts [For RF Upstream/ Downstream (where not zero); This includes data packets as well as MAC layer packets, this does not include any PHY overhead. For MAC Layer; The number of Broadcast packets received on this interface, targeted for upper protocol layers. For MAC layer; The number of Broadcast data packets (bridge data, data targeted for the managed device) received on this interface from RF-downstream interface before application of protocol filter defined in [RFC 4639].	RO	O	NA	O	O	O	O	NA
ifHCOutOctets [For RF Upstream/ Downstream (where not zero); This includes MAC packets as well as data packets, and includes the length of the MAC header, this does not include any PHY overhead. For MAC Layer; The total number of octets, received from upper protocol layers and transmitted on this interface.]	RO	M	M	NA	NA	M	NA	M
ifHCOutUcastPkts [For RF Upstream/ Downstream (where not zero); This includes MAC packets as well as data packets, this does not include any PHY overhead. For MAC Layer; The number of Unicast packets, received from upper protocol layers and transmitted on this interface. For MAC layer; The number of Unicast data packets (bridge data, data generated from the managed device) transmitted on this interface to RF-upstream interface after application of protocol filters defined in [RFC 4639].	RO	O	O	NA	NA	O	NA	O

MIB Counter Objects	Access	CMTS-MAC	CMTS-Downstream	CMTS-Upstream Physical Interface	CMTS-Upstream Logical Channel	CM-MAC	CM-Downstream	CM-Upstream
ifHCOutMulticastPkts [For RF Upstream/ Downstream (where not zero); This includes MAC packets as well as data packets, this does not include any PHY overhead. For MAC Layer; The number of Multicast packets received from upper protocol layers and transmitted on this interface. For MAC layer; The number of Multicast data packets (bridge data, data generated from the managed device) transmitted on this interface to RF-upstream interface after application of protocol filters defined in [RFC 4639].	RO	O	O	NA	NA	O	NA	O
ifHCOutBroadcastPkts [For RF Upstream/ Downstream (where not zero); This includes MAC packets as well as data packets, this does not include any PHY overhead. For MAC Layer; The number of Broadcast packets, received from upper protocol layers and transmitted on this interface. For MAC layer; The number of Broadcast data packets (bridge data, data generated from the managed device) transmitted on this interface to RF-upstream interface after application of protocol filters defined in [RFC 4639].	RW	O	O	NA	NA	O	NA	O

Annex B IPDR for DOCSIS Cable Data Systems Subscriber Usage Billing Records (Normative)

B.1 Service Definition

Cable Data Systems consist of Cable Modem Termination Systems (CMTSs), located at a Multiple Service Operator's (MSO's) head-end office, that provide broadband Internet access to subscribers connected via Cable Modems (CMs), through the Hybrid Fiber/Coax (HFC) cable plant. These Cable Data Systems comply with the Data-Over-Cable Service Interface Specifications (DOCSIS) sponsored by Cable Television Laboratories, Inc. The IPDR format for Cable Data Systems Subscriber Usage Billing Records specified herein, support the DOCSIS 1.1, 2.0 and 3.0 Operations Support System Interface Specification (OSSI). The DOCSIS 1.1, 2.0 and 3.0 OSSI specifications require the CMTS to provide usage-billing records for all bandwidth consumed by the subscribers connected to it by their Cable Modems, when polled by the MSO's billing or mediation system.

B.1.1 DOCSIS Service Requirements

1. Cable Data Service is "always on". Thus, from the CMTS perspective, there are no subscriber log-on events to track, but rather, in a manner similar to electric power utilities, there are only data traffic flows to meter and police.
2. Cable Data Subscribers are uniquely identified by their Cable Modem MAC addresses (i.e., Ethernet addresses). Note that a CM is usually assigned a dynamic IP address via DHCP, so the IP address of a subscriber may change over time. Since the CM MAC address is constant, it is used to identify the subscriber's usage billing records. All Internet traffic generated by the subscriber's CPE is bridged by the CM to and from the CMTS. The subscriber's packet and byte (octet) traffic counts are recorded by the CMTS in Service Flow counters associated with the CM MAC address. A CM may have two or more Service Flows active during a collection interval. Note that the current IP addresses of the CM and all the CPE in use during the collection interval are recorded for auditing purposes.
3. Cable Data Service is metered and enforced against a Service Level Agreement (SLA) that specifies the Quality of Service (QoS) that an MSO provides to a subscriber. An MSO typically has several Service Packages to offer to their subscribers, such as "Gold", "Silver", or "Bronze". Each of the Service Packages implements a specific SLA and is available for a specific price. A Service Package is implemented by a set of Service Flows that are known to the billing system by their Service Flow IDs (SFIDs) and Service Class Names (SCNs). Service Flows are the unit of billing data collection for a Cable Data Subscriber. In addition, since a subscriber may change their Service Package over time, it is very likely that a given subscriber will have several IPDRs, one for each Service Flow they have used during the collection interval. Basic Service Packages can be offered for legacy DOCSIS 1.0 networks or CMs being provisioned with DOCSIS 1.0 Class of Services.
4. Bandwidth in a Cable Data System is measured separately in both the downstream and upstream directions (relative to the CMTS). Each Service Flow is unidirectional and may be associated with packet traffic of a specific type (e.g., TCP or UDP). Since most SLAs provide for asymmetric bandwidth guarantees, it is necessary to separate the downstream and upstream traffic flows in the billing usage records. Bandwidth used is measured in both packets and octets. If the CM is registered in DOCSIS 1.0 mode, statistics associated to the CM SID are collected for upstream and downstream data flows.
5. The bandwidth guarantee component of the SLA is enforced and metered by the CMTS with the assistance of the CM. However, the CM is not considered a trusted device because of its location on the Customer's Premises, so the CMTS is expected to provide all of the usage billing information for each subscriber connected to it. SLA metrics are not measured for DOCSIS 1.0 Class of Service type of usage billing records.
6. Since an SLA may require the CMTS to enforce bandwidth limits by dropping or delaying packets that exceed the maximum throughput bandwidth for a Service Flow, the SLA dropped packets counters and delayed packets counters are also included in the usage records for each Service Flow. These counters are not intended to compute billable subscriber usage but rather are available to the billing and customer care systems to enable "up-selling" to subscribers who consistently exceed their subscribed service level. Thus, subscribers whose

usage patterns indicate a large number of dropped octets are probably candidates for an upgrade to a higher SLA that supports their true application bandwidth demands which, in turn, generates more revenue for the MSO.

7. The packet and octet values in the usage billing records are based on absolute 64-bit counters maintained in the CMTS. These counters may be reset when the CMTS system resets, therefore the CMTS system up time (see CmtsSysUpTime in Annex C) is included in the IPDRDoc so that the billing or mediation system can correlate counters that appear to regress.

B.1.2 SAMIS Usage Attribute List

A DOCSIS SAMIS IPDR record is constructed from a number of attributes that describe the IPDR itself, the CMTS that is serving the subscriber, the subscriber's CM, and the QoS attributes and counters.

B.1.2.1 CMTS Information

A DOCSIS SAMIS IPDR record contains attributes that identify the CMTS that is serving the subscriber. The CMTS attributes are defined in the CMTS Information section of Annex C. Note that the CMTS information attributes defined in Annex C can be streamed independently (i.e., in other IPDR record types) from the SAMIS IPDR and then correlated at the Collector using the CmtsHostName attribute.

DOCSIS SAMIS Type 1 IPDR records contain the following CMTS attributes:

- CmtsHostName
- CmtsSysUpTime
- CmtsIpv4Addr
- CmtsIpv6Addr
- CmtsMdIfName
- CmtsMdIfIndex

DOCSIS SAMIS Type 2 IPDR records contain the following CMTS attributes:

- CmtsHostName
- CmtsSysUpTime
- CmtsMdIfName
- CmtsMdIfIndex

B.1.2.2 CM Information[55]

A DOCSIS SAMIS IPDR record contains attributes that uniquely identify the CM. Each SAMIS IPDR for a given CM within the IPDRDoc will contain identical values for these attributes. The CM attributes are defined in the CM Information section of Annex C. Note that the CM information attributes defined in Annex C can be streamed independently (i.e., in other IPDR record types) from the SAMIS IPDR and then correlated at the Collector.

DOCSIS SAMIS Type 1 IPDR records contain the following CM attributes:

- CmMacAddr
- CmIpv4Addr
- CmIpv6Addr

[55] Section revised per OSSIv3.0-N-07.0447-2, #13 on 5/10/07 by KN.

- CmIpv6LinkLocalAddr
- CmServiceType
- CmRegStatusValue
- CmLastRegTime

DOCSIS SAMIS Type 2 IPDR records contain the following CM attributes:

- CmMacAddr

B.1.2.3 Record Information

A DOCSIS SAMIS IPDR record contains attributes that identify the type of record and creation time. The Record attributes are defined in the Record Information section of Annex C.

DOCSIS SAMIS Type 1 and Type 2 IPDR records contain the following CM attributes:

- RecType
- RecCreationTime

B.1.2.4 QoS Information[56]

A DOCSIS SAMIS IPDR record contains the following attributes that identify the service flow and contain the counters maintained by the CMTS for that service flow of DOCSIS CMs in 1.1 operational mode (i.e., QoS attributes). The QoS attributes are defined in the QoS Information section of Annex C.

DOCSIS SAMIS Type 1 and Type 2 IPDR records contain the following CM attributes:

- ServiceFlowChSet
- ServiceType
- ServiceDsMulticast
- ServiceIdentifer
- ServiceGateId
- ServiceClassName
- ServiceDirection
- ServiceOctetsPassed
- ServicePktsPassed
- ServiceSlaDropPkts
- ServiceSlaDelayPkts
- ServiceTimeCreated
- ServiceTimeActive

[56] revised per OSSIv3.0-N-07.0478-2 by ab on 7/12/07.

B.2 IPDR Service Definition Schemas

The section defines the IPDR Service Definition schemas for the SAMIS feature. Refer to Annex C for the global element definitions referenced in the Service Definition schema files.

B.2.1 DOCSIS-SAMIS-TYPE-1_3.5.1-A.1.xsd[57]

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-SAMIS-TYPE-1"
        xmlns:DOCSIS-SAMIS-TYPE-
1="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SAMIS-TYPE-1"
        xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
        xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
        xmlns:DOCSIS-
QOS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-QOS"
        xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS/DOCSIS-CMTS_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM/DOCSIS-CM_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
QOS" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
QOS/DOCSIS-QOS_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC/DOCSIS-REC_3.5.1-A.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd">
        <annotation>
            <documentation>Import auxiliary schemas
            for global element references.</documentation>
        </annotation>
    </include>
    <annotation>
        <documentation>DOCSIS-SAMIS-TYPE-1 is an IPDR Service Definition
         schema defining the Subscriber Account Management (SAMIS)
         Type 1 IPDR data record which references the imported global elements.
         SAMIS-TYPE-1 is based on the inclusive streaming model where all
         fields are included in each streamed record.</documentation>
         <documentation>
         <ipdr:reference>
                DOCSIS 3.0 Operations Support System Interface Specification
                CM-SP-OSSIv3.0-I01-061207, Annex B.
         </ipdr:reference>
         </documentation>
    </annotation>
    <complexType name="SAMIS-TYPE-1">
        <complexContent>
            <extension base="ipdr:IPDRType">
                <sequence>
                    <element ref="DOCSIS-CMTS:CmtsHostName"/>
```

[57] Section revised per OSSIv3.0-N-07.0447-2 # 14 on 5/10/07 by KN, and per OSSIv3.0-N-07.0478-2 by ab on 7/12/07.

```
                    <element ref="DOCSIS-CMTS:CmtsSysUpTime"/>
                    <element ref="DOCSIS-CMTS:CmtsIpv4Addr"/>
                    <element ref="DOCSIS-CMTS:CmtsIpv6Addr"/>
                    <element ref="DOCSIS-CMTS:CmtsMdIfName"/>
                    <element ref="DOCSIS-CMTS:CmtsMdIfIndex"/>
                    <element ref="DOCSIS-CM:CmMacAddr"/>
                    <element ref="DOCSIS-CM:CmIpv4Addr"/>
                    <element ref="DOCSIS-CM:CmIpv6Addr"/>
                    <element ref="DOCSIS-CM:CmIpv6LinkLocalAddr"/>
                    <element ref="DOCSIS-CM:CmServiceType"/>
                    <element ref="DOCSIS-CM:CmRegStatusValue"/>
                    <element ref="DOCSIS-CM:CmLastRegTime"/>
                    <element ref="DOCSIS-REC:RecType"/>
                    <element ref="DOCSIS-REC:RecCreationTime"/>
                    <element ref="DOCSIS-QOS:ServiceFlowChSet"/>
                    <element ref="DOCSIS-QOS:ServiceType"/>
                    <element ref="DOCSIS-QOS:ServiceDsMulticast"/>
                    <element ref="DOCSIS-QOS:ServiceIdentifier"/>
                    <element ref="DOCSIS-QOS:ServiceGateId"/>
                    <element ref="DOCSIS-QOS:ServiceClassName"/>
                    <element ref="DOCSIS-QOS:ServiceDirection"/>
                    <element ref="DOCSIS-QOS:ServiceOctetsPassed"/>
                    <element ref="DOCSIS-QOS:ServicePktsPassed"/>
                    <element ref="DOCSIS-QOS:ServiceSlaDropPkts"/>
                    <element ref="DOCSIS-QOS:ServiceSlaDelayPkts"/>
                    <element ref="DOCSIS-QOS:ServiceTimeCreated"/>
                    <element ref="DOCSIS-QOS:ServiceTimeActive"/>
                </sequence>
            </extension>
        </complexContent>
    </complexType>
</schema>
```

B.2.2 DOCSIS-SAMIS-TYPE-2_3.5.1-A.1.xsd[58]

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-SAMIS-TYPE-2"
        xmlns:DOCSIS-SAMIS-TYPE-
2="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SAMIS-TYPE-2"
        xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
        xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
        xmlns:DOCSIS-
QOS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-QOS"
        xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS/DOCSIS-CMTS_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM/DOCSIS-CM_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
QOS" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
QOS/DOCSIS-QOS_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
```

[58] revised per OSSIv3.0-N-07.0478-2 by ab on 7/12/07.

```
REC/DOCSIS-REC_3.5.1-A.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd">
        <annotation>
            <documentation>Import auxiliary schemas
            for global element references.</documentation>
        </annotation>
    </include>
    <annotation>
        <documentation>DOCSIS-SAMIS-TYPE-2 is an IPDR Service Definition
         schema defining the Subscriber Account Management (SAMIS)
         Type 2 IPDR data record which references the imported global elements.
         SAMIS-TYPE-2 is based on the optimized streaming model where only
         updated fields are included in each streamed record.</documentation>
         <documentation>
         <ipdr:reference>
                DOCSIS 3.0 Operations Support System Interface Specification
                CM-SP-OSSIv3.0-I01-061207, Annex B.
         </ipdr:reference>
         </documentation>
    </annotation>
    <complexType name="SAMIS-TYPE-2">
        <complexContent>
            <extension base="ipdr:IPDRType">
                <sequence>
                    <element ref="DOCSIS-CMTS:CmtsHostName"/>
                    <element ref="DOCSIS-CMTS:CmtsSysUpTime"/>
                    <element ref="DOCSIS-CMTS:CmtsMdIfName"/>
                    <element ref="DOCSIS-CMTS:CmtsMdIfIndex"/>
                    <element ref="DOCSIS-CM:CmMacAddr"/>
                    <element ref="DOCSIS-REC:RecType"/>
                    <element ref="DOCSIS-REC:RecCreationTime"/>
                    <element ref="DOCSIS-QOS:ServiceFlowChSet"/>
                    <element ref="DOCSIS-QOS:ServiceType"/>
                    <element ref="DOCSIS-QOS:ServiceDsMulticast"/>
                    <element ref="DOCSIS-QOS:ServiceIdentifier"/>
                    <element ref="DOCSIS-QOS:ServiceGateId"/>
                    <element ref="DOCSIS-QOS:ServiceClassName"/>
                    <element ref="DOCSIS-QOS:ServiceDirection"/>
                    <element ref="DOCSIS-QOS:ServiceOctetsPassed"/>
                    <element ref="DOCSIS-QOS:ServicePktsPassed"/>
                    <element ref="DOCSIS-QOS:ServiceSlaDropPkts"/>
                    <element ref="DOCSIS-QOS:ServiceSlaDelayPkts"/>
                    <element ref="DOCSIS-QOS:ServiceTimeCreated"/>
                    <element ref="DOCSIS-QOS:ServiceTimeActive"/>
                </sequence>
            </extension>
        </complexContent>
    </complexType>
</schema>
```

Annex C Auxiliary Schemas for DOCSIS IPDR Service Definitions (Normative)

C.1 Overview

This Annex defines a set of auxiliary schema files for the DOCSIS IPDR Service Definitions defined in Annex R. In some cases, the auxiliary schema element definitions are derived from attributes defined in object models from other Annexes within this specification. Otherwise the attributes are defined within this Annex before the inclusion of the auxiliary schema file.

An auxiliary schema file defines global elements that are referenced in various DOCSIS IPDR Service Definition schemas. The purpose for defining auxiliary schemas is to allow defining global elements that can be externally referenced in multiple DOCSIS IPDR Service Definition schemas. This allows for modularization of schema documents and easier extensibility.

C.2 XML Semantics

C.2.1 Import Element

DOCSIS IPDR Service Definition schemas are often composed from multiple schema documents (called auxiliary schemas). This is accomplished through the import mechanism since the Service Definition schema and auxiliary schemas have different namespaces.

Auxiliary schemas are imported in any one of the DOCSIS IPDR Service Definition schemas using the import element as follows:

```
<import namespace="<Auxiliary Schema Namespace>" schemaLocation="<Auxiliary Schema Location>"/>
```

The import element appears at the top level of the Service Definition schema document. Figure C-1 shows an example of the import mechanism.

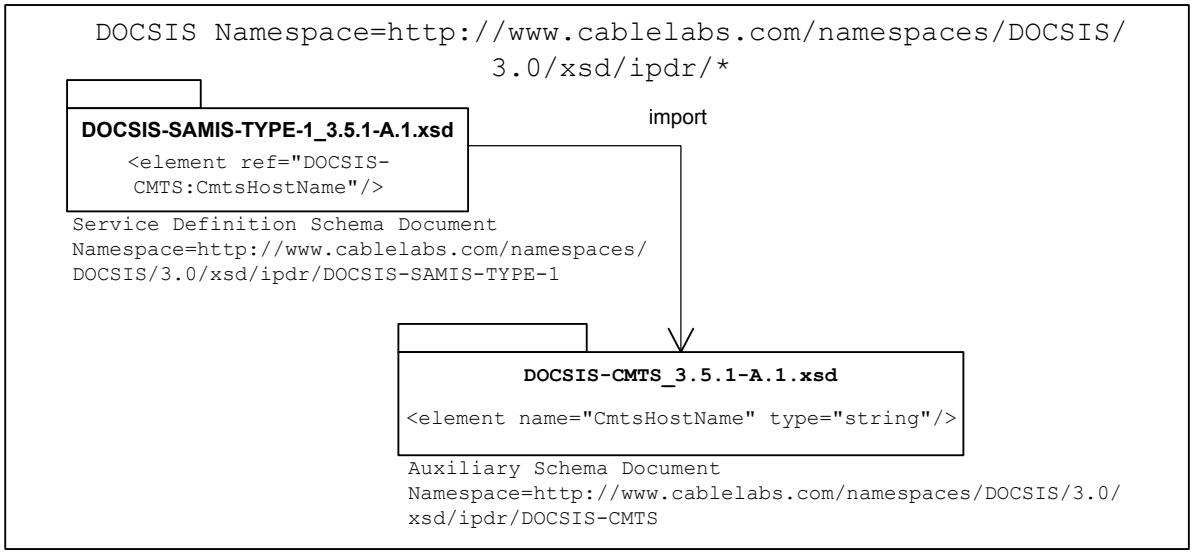


Figure C-1 - Auxiliary Schema Import

C.2.2 Element References

In many instances, an object model defines a group of objects where each object defines a set of attributes. Attributes are then realized in XML schemas as element definitions (not XML attribute definitions). Therefore the terms 'attribute' and 'element' are often interchangeable). It should be clarified that object model attributes (as defined in this specification) are not the same as XML attributes (as often used in XML Schemas). IPDR schemas do not define XML attributes.

DOCSIS IPDR Service Definition schema documents reference global element declarations from auxiliary schemas using a ref attribute. For example, a Service Definition schema references the CmtsHostName global element using the ref attribute as follows:

```
<element ref="DOCSIS-CMTS:CmtsHostName"/>
```

Figure C-1 shows the CmtsHostName global element declaration in the auxiliary schema DOCSIS-CMTS_3.5.1-A.1.xsd and the element reference in the Service Definition schema DOCSIS-SAMIS-TYPE-1_3.5.1-A.1.xsd.

C.3 CMTS Information

The DOCSIS CMTS Information auxiliary schema contains the following attributes that identify a CMTS.

Table C-1 - CMTS Information Attributes

Category	Attribute Name	Type	Presence	Permitted Values
Who	CmtsHostName	String	Required	FQDN
When	CmtsSysUpTime	unsignedInt	Required	nnnnnnnnn
Who	CmtsIpv4Addr	ipV4Addr	Required	nnn.nnn.nnn.nnn
Who	CmtsIpv6Addr	ipV6Addr	Required	xxxx:xxxx:xxxx:xxxx:xxxx:xxxx:xxxx:xxxx
What	CmtsMdIfName	String	Required	SIZE (0..50)
What	CmtsMdIfIndex	unsignedInt	Required	nnnnnnnnn

C.3.1 CmtsHostName

CmtsHostName is the fully qualified domain name (FQDN) of the CMTS. This attribute will contain an empty string only if the CMTS does not have a domain name. A null FQDN will be represented as <CmtsHostName></CmtsHostName > or < CmtsHostName />. An example FQDN is "cmts01.mso.com.".

References: [RFC 2821].

C.3.2 CmtsSysUpTime

CmtsSysUpTime is the sysUpTime value taken from the CMTS at the time the IPDR record is created, formatted in decimal notation and represented in XDR compact representation as a 32-bit integer. This is the number of 100ths of a second since initialization of the CMTS system or CMTS interface module, whichever is most appropriate for a given CMTS architecture. For any given Service Flow or DOCSIS 1.0 SID reported in an IPDRDoc, it is required that the value be monotonically increased to minimize SFIDs and SIDs reusage within a two reporting intervals, unless the system or interface represented by the sysUpTime value has been reinitialized. If the value has decreased, this can be used by the Collector as a hint that the service flow counters are likely to have regressed. It is specifically not required that the value of CmtsSysUpTime be the same for all records in an IPDRDoc.

References: [RFC 3418].

C.3.3 CmtsIpv4Addr

CmtsIpv4Addr is the IPv4 address for the CMTS. This element is formatted in standard decimal dotted notation such as 10.10.100.1. The XDR compact representation of this element is a 32-bit integer.

C.3.4 CmtsIpv6Addr

CmtsIpv6Addr is the IPv6 address for the CMTS. This element is formatted in colon separated 2-byte block hexadecimal notation such as FEDC:AB19:12FE:0234:98EF:1178:8891:CAFF. The XDR compact representation of this element is a 32-bit integer.

C.3.5 CmtsMdIfName

CmtsMdIfName contains the first 50 characters of the ifName from the Interfaces Group MIB for the row entry corresponding to the CMTS MAC Domain interface (ifType = 127) for this CM. The ifName is defined as: "The textual name of the interface. The value of this object should be the name of the interface as assigned by the local device and should be suitable for use in commands entered at the device's 'console'. This might be a text name, such as 'le0' or a simple port number, such as '1', depending on the interface naming syntax of the device. If several entries in the ifTable together represent a single interface as named by the device, then each will have the same value of ifName. Note that for an agent which responds to SNMP queries concerning an interface on some other (proxied) device, then the value of ifName for such an interface is the proxied device's local name for it. If there is no local name, or this attribute is otherwise not applicable, then this attribute contains a zero-length string.

References: [RFC 2863].

C.3.6 CmtsMdIfIndex

CmtsMdIfIndex is the ifIndex from the Interfaces Group MIB for the CMTS MAC Domain interface (described in CmtsMdIfName). This value makes the ServiceIdentifier unique.

References: [RFC 2863].

C.3.7 DOCSIS-CMTS_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-CMTS"
        xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <annotation>
        <documentation>DOCSIS-CMTS_3.5.1-A.1 is an auxiliary schema
        which defines information specific to a CMTS.</documentation>
        <documentation>
        <ipdr:reference>DOCSIS 3.0 Operations Support System Interface
        Specification CM-SP-OSSIv3.0-I01-061207, Annex C.
        </ipdr:reference>
        </documentation>
    </annotation>
    <element name="CmtsHostName" type="string">
        <annotation>
            <documentation>Contains the Fully Qualified Domain Name (FQDN) of the
CMTS.
            If the CMTS does not have a domain name, it contains an empty string.
```

```
            </documentation>
        </annotation>
    </element>
    <element name="CmtsSysUpTime" type="unsignedInt">
        <annotation>
            <documentation>Contains a 32-bit count of hundredths of a second
            since system initialization, in decimal notation.</documentation>
        </annotation>
    </element>
    <element name="CmtsIpv4Addr" type="ipdr:ipV4Addr">
        <annotation>
            <documentation>Contains the IPv4 address of the CMTS.
            If the CMTS IPv4 address is unassigned or unknown, it
            contains an empty string.</documentation>
        </annotation>
    </element>
    <element name="CmtsIpv6Addr" type="ipdr:ipV6Addr">
        <annotation>
            <documentation>Contains the IPv6 address of the CMTS.
            If the CMTS IPv6 address is unassigned or unknown, it
            contains an empty string.</documentation>
        </annotation>
    </element>
    <element name="CmtsMdIfName">
        <annotation>
            <documentation>Contains the first 50 characters of the
            ifName from the Interfaces Group MIB for the row entry corresponding
            to the CMTS Mac Domain interface (ifType = 127).</documentation>
        </annotation>
        <simpleType>
            <restriction base="string">
                <maxLength value="50"/>
                <minLength value="0"/>
            </restriction>
        </simpleType>
    </element>
    <element name="CmtsMdIfIndex" type="unsignedInt">
        <annotation>
            <documentation>Contains the ifIndex for the CMTS MAC domain
             interface (described in CmtsMdIfName).</documentation>
        </annotation>
    </element>
</schema>
```

C.4 CM Information

Refer to the CmtsCmRegStatus object of Annex N for the definition of the CM attributes.

C.4.1 DOCSIS-CM_3.5.1-A.1.xsd[59]

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-CM"
        xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr" version="3.5.1-A.1"
elementFormDefault="qualified" attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <annotation>
        <documentation>DOCSIS-CM_3.5.1-A.1 is an auxiliary schema
```

[59] Section revised per OSSIv3.0-N-07.0447-2, #4 on 5/10/07 by KN.

```
        which defines CM information.</documentation>
        <documentation>
            <ipdr:reference>DOCSIS 3.0 Operations Support System Interface
            Specification CM-SP-OSSIv3.0-I01-061207, Annex C.</ipdr:reference>
        </documentation>
    </annotation>
    <element name="CmMacAddr" type="ipdr:macAddress">
        <annotation>
            <documentation>Contains the MAC Address of the CM.
             If the CM has multiple MAC Addresses, it contains the
             MAC address associated with the Cable (i.e. RF MAC)
interface.</documentation>
        </annotation>
    </element>
    <element name="CmRegStatusId" type="unsignedInt">
        <annotation>
            <documentation>
                Contains the id value to uniquely identify a CM.
            </documentation>
        </annotation>
    </element>
    <element name="CmIpv4Addr" type="ipdr:ipV4Addr">
        <annotation>
            <documentation>Contains the IPv4 address of the CM.
            If the CM IPv4 address is unassigned or unknown, it
            contains an empty string. If the CM has multiple IPv4 addresses,
            it contains the IPv4 address associated with the
            Cable (i.e. RF MAC) interface.
            </documentation>
        </annotation>
    </element>
    <element name="CmIpv6Addr" type="ipdr:ipV6Addr">
        <annotation>
            <documentation>Contains the IPv6 address of the CM.
            If the CM IPv6 address is unassigned or unknown, it
            contains an empty string.</documentation>
        </annotation>
    </element>
    <element name="CmIpv6LinkLocalAddr" type="ipdr:ipV6Addr">
        <annotation>
            <documentation>Contains the IPv6 Link Local address of
            the CM. If the CM IPv6 Link Local address is unassigned or unknown,
            it contains an empty string.</documentation>
        </annotation>
    </element>
    <element name="CmServiceType" type="string">
        <annotation>
            <documentation> This attribute denotes the queueing services the CM
registered, either DOCSIS 1.1 QoS or DOCSIS 1.0 CoS mode.</documentation>
        </annotation>
        <simpleType>
            <restriction base="integer">
                <enumeration value="1">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>DOCSIS 1.0 CoS mode</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="2">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>DOCSIS 1.1 QoS mode</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
```

```
            </restriction>
        </simpleType>
    </element>

    <element name="CmRegStatusValue">
        <annotation>
            <documentation>Contains the current Cable Modem connectivity state,
            as specified in the OSSI Specification.
            Returned status information is the CM status as assumed by the
CMTS.</documentation>
        </annotation>
        <simpleType>
            <restriction base="integer">
                <enumeration value="1">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>other</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="2">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>initialRanging</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="4">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>rangingAutoAdjComplete</ipdr:enumMeaning
>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="10">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>startEae</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="11">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>startDhcpv4</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="12">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>startDhcpv6</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="5">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>dhcpv4Complete</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="13">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>dhcpv6Complete</ipdr:enumMeaning>
```

```
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="14">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>startConfigFileDownload</ipdr:enumMeanin
g>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="15">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>configFileDownloadComplete</ipdr:enumMea
ning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="16">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>startRegistration</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="6">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>registrationComplete</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="8">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>operational</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="9">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>bpiInit</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="17">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>forwardingDisabled</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="18">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>rfMuteAll</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
            </restriction>
        </simpleType>
    </element>
    <element name="CmLastRegTime" type="dateTime">
        <annotation>
            <documentation>Contains the date and time value when
```

```
            the CM was last registered.</documentation>
        </annotation>
    </element>
</schema>
```

C.5 Record Information

The DOCSIS Record Information auxiliary schema contains the following attributes which define information about an IPDR record.

Table C-2 - Record Information Attributes

Category	Attribute Name	Type	Presence	Permitted Values
What	RecType	Integer	Required	Interim(1) Stop(2) Start(3) Event(4)
When	RecCreationTime	dateTimeMsec	Required	yyyy-mm-ddThh:mm:ss.mmmZ

C.5.1 Rectype

The service flow type may be either Interim or Stop. An Interim type indicates a running service flow. A Stop type indicates a terminated service flow. A terminated service flow is only reported once in the IPDRDoc that is created on the cycle after the service flow is deleted. An Interim service flow is reported in each IPDRDoc that is created while it is running.

The CMTS MUST include in the IPDR record the current sample of the active counters for a running service flow or DOCSIS 1.0 SID.

The CMTS MUST include in the IPDR record the final, logged counter values for a terminated service flow.

C.5.2 RecCreationTime[60]

The RecCreationTime ="yyyy-mm-ddThh:mm:ssZ" UTC time stamp at the time the data for the record was acquired based on CMTSsysUpTime (see CMTS Information section) value. The compact representation of this attribute is the 64-bit Long value since Epoch Time.

The CMTS MUST NOT delete the internal logged SF counters until after the terminated service flow has been recorded into an IPDR record that has been transmitted to a collector and acknowledged or stored in non-volatile memory, regardless of any other capability to manage them via SNMP through the DOCS- QOS3-MIB. DOCSIS 1.0 CoS related counters are maintained in a similar way, after SID termination, the CMTS MUST keep those values (regardless of SID reallocation for other CM or services) and export them in a ' Stop' record during the next IPDR collection interval.

The time zone is always GMT for DOCSIS IPDRs.

References: Annex O.

C.5.3 DOCSIS-REC_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-REC"
        xmlns:DOCSIS-
```

[60] revised per OSSIv3.0-N-07.0480-3 by ab on 7/12/07.

```
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr" version="3.5.1-A.1"
elementFormDefault="qualified" attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <annotation>
        <documentation>DOCSIS-REC_3.5.1-A.1 is an auxiliary schema
        which defines IPDR Record information.</documentation>
        <documentation>
            <ipdr:reference>DOCSIS 3.0 Operations Support System Interface
            Specification CM-SP-OSSIv3.0-I01-061207, Annex C.</ipdr:reference>
        </documentation>
    </annotation>
    <element name="RecType">
        <annotation>
            <documentation>Contains the IPDR record type.
            'Interim' identifies a running record.
            'Stop' identifies the end of a record.
            'Start' identifies the start of a record.
            'Event' identifies a single message record containing all information.
            </documentation>
        </annotation>
        <simpleType>
            <restriction base="integer">
                <enumeration value="1">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>Interim</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="2">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>Stop</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="3">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>Start</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="4">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>Event</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
            </restriction>
        </simpleType>
    </element>
    <element name="RecCreationTime" type="ipdr:dateTimeMsec">
        <annotation>
            <documentation>Contains a 64-bit count of milliseconds UTC time stamp
            at the time the data for the record was acquired.</documentation>
        </annotation>
    </element>
</schema>
```

C.6 QoS Information[61]

The DOCSIS QoS Information auxiliary schema contains the following attributes which define QoS information such as service flow information and counters.

Table C-3 - QoS Information Attributes

Category	Attribute Name	Type	Presence	Permitted Values
Where	ServiceFlowChSet	hexBinary	Required	SIZE (1..255)
What	ServiceType	unsignedInt	Required	32-bit integer
What	ServiceDsMulticast	boolean	Required	true, false
What	ServiceIdentifier	unsignedInt	Required	32-bit integer
What	ServiceGateId	unsignedInt	Required	32-bit integer
What	ServiceClassName	String	Required	ASCII string identifier
What	ServiceDirection	Integer	Required	Downstream(1) Upstream(2)
What	ServiceOctetsPassed	unsignedLong	Required	64-bit counter, in decimal notation
What	ServicePktsPassed	unsignedLong	Required	64-bit counter, in decimal notation
What	ServiceSlaDropPkts	unsignedInt	Required	32-bit counter, in decimal notation
What	ServiceSlaDelayPkts	unsignedInt	Required	32-bit integer, in decimal notation
When	ServiceTimeCreated	unsignedInt	Required	32-bit integer
When	ServiceTimeActive	unsignedInt	Required	32-bit integer

C.6.1 ServiceFlowChSet

The ServiceFlowChSet attribute contains the set of channels configured for the service flow. Each octet represents the channel id of a channel.

C.6.2 ServiceType

The ServiceType attribute contains the application identifier associated with the service flow.

C.6.3 ServiceDsMulticast

The ServiceDsMulticast attribute indicates whether the service flow is multicast or unicast. A value of 'true' indicates a multicast service flow. A value of 'false' indicates a unicast service flow.

C.6.4 ServiceIdentifier

The ServiceIdentifier attribute contains the internal service flow identifier (SFID) for DOCSIS 1.1 QoS provisioned CMs, or the service ID SID for CMs provisioned in DOCSIS 1.0 mode known to the CMTS. This attribute is needed to correlate the IPDRs for an individual service flows or DOCSIS 1.0 SIDs between adjacent IPDR records when computing delta counters. To avoid potential confusion in the billing system, it is desirable that the CMTS not reuse the ServiceIdentifier component for a minimum of two collection cycles. Depending of the collection interval and services dynamics, this goal may not be practical. As an intermediate solution a CMTS MAY assign ServiceIdentifier (SFIDs/SIDs) values with a monotonically increasing pattern.

C.6.5 ServiceGateId[62]

The "GateID" associated with the service flow (SFID). For DOCSIS 1.0 service ID (SID) and non-Dynamic service flows, a zero value is reported.

References: [PKT-DQOS]; [PKT-PCMM]; [MULPI].

[61] revised per OSSIv3.0-N-07.0478-2 by ab on 7/12/07.
[62] section added per OSSIv3.0-N-07.0478-2 by ab on 7/12/07.

C.6.6 ServiceClassName

The ServiceClassName attribute contains the name associated with the QoS parameter set for this service flow in the CMTS. The SCN is an ASCII string identifier, such as "GoldUp" or "SilverDn", which can be used by external operations systems to assign, monitor, and bill for different levels of bandwidth service without having to interpret the details of the QoS parameter set itself. A service flow is associated with an SCN whenever a cable modem configuration file uses the SCN to define an active service flow. A dynamic service flow application such as PacketCable may also assign an SCN to a service flow as a parameter during the dynamic creation of the service flow. Note that the use of SCNs is optional within the context of the DOCSIS 3.0 MAC and Upper Layer Protocols Interface Specification, however, for operational purposes, especially when billing for tiered data services per this specification, their use often becomes mandatory. Since this policy is within the control of the operator, the use of SCNs is not mandatory in this specification, but rather highly recommended.

The CMTS MUST include the ServiceClassName attribute in the IPDR record. The CMTS MUST encode this attribute as a zero length string if no SCN is used to identify the service flow.

References: [PKT-DQOS]; [MULPI].

C.6.7 ServiceDirection

The CMTS MUST include the ServiceDirection attribute, which identifies the service flow direction relative to the CMTS RFI interface, as follows:

- Identifies DOCSIS 1.1 downstream service flows passing packets from the CMTS to the CM or DOCSIS 1.0 downstream traffic records.
- Identifies upstream DOCSIS 1.1 service flows passing packets from the cable modem to the CMTS or DOCSIS 1.0 CM upstream SIDs.

C.6.8 ServiceOctetsPassed

The CMTS MUST include the ServiceOctetsPassed attribute as follows:

- For DOCSIS QoS service flows, ServiceOctetsPassed contains the current (or final) 64-bit count of the number of octets passed, formatted in decimal notation.
- For DOCSIS CoS CM provisioning, ServiceOctetsPassed contains the current (or final) count of octets passed by this SID or CM Downstream packets, depending on ServiceDirection.

If the RecType is Interim, then this is the current value of the running counter. If the RecType is Stop, then this is the final value of the terminated counter. The 64-bit counter value will not wrap around within the service lifetime of the CMTS.

C.6.9 ServicePktsPassed

The CMTS MUST include the ServicePktsPassed attribute as follows:

- For DOCSIS QoS service flows, ServicePktsPassed contains the current (or final) 64-bit count of the number of packets passed, formatted in decimal notation.
- For DOCSIS CoS CM provisioning, ServicePktsPassed contains the current (or final) count of packets passed by this SID or CM Downstream packets, depending on ServiceDirection.

If the RecType is Interim, then this is the current value of the running counter. If the RecType is Stop, then this is the final value of the terminated counter. The 64-bit counter value will not wrap around within the service lifetime of the CMTS.

C.6.10 ServiceSlaDropPkts

The CMTS MUST include the ServiceSlaDropPkts attribute as follows:

- For DOCSIS QoS service flows, ServiceSlaDropPkts contains the current (or final) count of packets dropped by this service flow.
- For DOCSIS CoS CM provisioning, ServiceSlaDropPkts is optional; if not supported, a zero value is reported.

This is based on a 32-bit counter value maintained in the CMTS where it is unlikely to overflow within the service lifetime of the DOCSIS Qos or CoS service. Note that this value is the count of packets dropped by the CMTS for upstream service flows. Upstream packets dropped by the CM are not counted here.

C.6.11 ServiceSlaDelayPkts

The CMTS MUST include the ServiceSlaDelayPkts attribute as follows:

- For DOCSIS QoS service flows, ServiceSlaDelayPkts contains the current (or final) count of packets delayed by this service flow.
- For DOCSIS CoS CM provisioning, ServiceSlaDelayPkts is optional; if not supported, a zero value is reported.

This is based on a 32-bit counter value maintained in the CMTS where it is unlikely to overflow within the service lifetime of the DOCSIS Qos or CoS service. This counter value will not overflow within the service lifetime of the CMTS. Note that this value is the count of packets delayed by the CMTS for upstream service flows. Upstream packets delayed by the CM are not counted here.

C.6.12 ServiceTimeCreated

The CMTS MUST include the ServiceTimeCreated attribute which contains the value of CMTSsysUpTime or CMTS interface module, whichever is most appropriate for a given CMTS architecture when service flow was created. For a given service flow instance, this value is required to be the same in every IPDRDoc file until the service flow is deleted and no longer being reported. If the value is not consistent between IPDRDoc files, this must be interpreted by the Collector as a completely new service flow instance.

C.6.13 ServiceTimeActive

The CMTS MUST include the ServiceTimeActive attribute as follows:

- For DOCSIS QoS service flows, ServiceTimeActive contains the total time that the service flow is active in seconds.
- For DOCSIS CoS CM provisioning, ServiceTimeActive contains the total time the non-temporary SID is active.

If RecType is 'Stop(2)', the CMTS MUST report the total number of active seconds when the service flow was deleted or the total number of seconds until the DOCSIS CoS provisioned CM de-registers.

C.6.14 DOCSIS-QOS_3.5.1-A.1.xsd[63]

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-QOS"
```

[63] revised per OSSIv3.0-N-07.0478-2 by ab on 7/12/07, and per OSSIv3.0-N-07.0506-3 by ab on 10/11/07.

```
        xmlns:DOCSIS-
QOS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-QOS"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr" version="3.5.1-A.1"
elementFormDefault="qualified" attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <annotation>
        <documentation>DOCSIS-QOS_3.5.1-A.1 is an auxiliary schema
        which defines Quality of Service (QOS) information.</documentation>
        <documentation>
        <ipdr:reference>DOCSIS 3.0 Operations Support System Interface Specification
        CM-SP-OSSIv3.0-I01-061207, Annex C.
        </ipdr:reference>
        </documentation>
    </annotation>
    <element name="ServiceFlowChSet">
        <annotation>
            <documentation>Contains the set of channels
            configured for the service flow. Each octet represents the
            channel id of a channel.</documentation>
        </annotation>
        <simpleType>
            <restriction base="hexBinary">
                <maxLength value="255"/>
                <minLength value="1"/>
            </restriction>
        </simpleType>
    </element>
    <element name="ServiceType" type="unsignedInt">
        <annotation>
            <documentation>Contains the application identifier associated
            with the service flow.</documentation>
        </annotation>
    </element>
    <element name="ServiceDsMulticast" type="boolean">
        <annotation>
            <documentation>Indicates whether the service flow
            is multicast or unicast. A value of 'true' indicates a multicast
            service flow. A value of 'false' indicates a unicast service
flow</documentation>
        </annotation>
    </element>
    <element name="ServiceIdentifier" type="unsignedInt">
        <annotation>
            <documentation>Contains a 32-bit Service Flow ID of the SF, in decimal
notation.</documentation>
        </annotation>
    </element>
    <element name="ServiceGateId" type="unsignedInt">
        <annotation>
            <documentation> 32-bit GateID of the SF, or zero if not applicable, in
decimal notation.</documentation>
        </annotation>
    </element>
    <element name="ServiceClassName" type="string">
        <annotation>
            <documentation>Contains the Service Class Name (SCN) of the Service
Flow.</documentation>
        </annotation>
    </element>
    <element name="ServiceDirection">
        <annotation>
            <documentation>Contains the direction of the SF from the CMTS cable
interface.</documentation>
        </annotation>
        <simpleType>
```

```
            <restriction base="integer">
                <enumeration value="1">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>Downstream</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="2">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>Upstream</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
            </restriction>
        </simpleType>
    </element>
    <element name="ServiceOctetsPassed" type="unsignedLong">
        <annotation>
            <documentation>Contains a 64-bit absolute counter value of octest passed
by this SF.</documentation>
        </annotation>
    </element>
    <element name="ServicePktsPassed" type="unsignedLong">
        <annotation>
            <documentation>Contains a 64-bit absolute counter value of octets passed
by this SF.</documentation>
        </annotation>
    </element>
    <element name="ServiceSlaDropPkts" type="unsignedInt">
        <annotation>
            <documentation>Contains a 32-bit absolute counter value of packets dropped
exceeding SLA by this SF (Downstream only).</documentation>
        </annotation>
    </element>
    <element name="ServiceSlaDelayPkts" type="unsignedInt">
        <annotation>
            <documentation>Contains a 32-bit absolute counter value of packets delayed
exceeding SLA by this SF (Downstream only).</documentation>
        </annotation>
    </element>
    <element name="ServiceTimeCreated" type="unsignedInt">
        <annotation>
            <documentation>Contains the value of CmtsSysUpTime when the Service
            Flow was created for DOCSIS QOS CM provisioning. For DOCSIS
            COS CM provisioning, it is the time the non-temporary SID is created.
            For downstream CM traffic it indicates the time the CM registers.
            </documentation>
        </annotation>
    </element>
    <element name="ServiceTimeActive" type="unsignedInt">
        <annotation>
            <documentation>Contains the total time that the Service Flow
            was active, specified in seconds.</documentation>
        </annotation>
    </element>
</schema>
```

C.7 CPE Information

The DOCSIS CPE Information auxiliary schema contains the following attributes that uniquely identify a CPE.

Table C-4 - CPE Information Attributes

Category	Attribute Name	Type	Presence	Permitted Values
Who	CpeMacAddr	macAddress	Required	nn:nn:nn:nn:nn:nn
Who	CpeIpv4Addr	ipV4Addr	Required	nnn.nnn.nnn.nnn
Who	CpeIpv6Addr	ipV6Addr	Required	xxxx:xxxx:xxxx:xxxx:xxxx:xxxx:xxxx:xxxx
Who	CpeFqdn	String	Required	FQDN

C.7.1 CpeMacAddr[64]

The Ethernet MAC address of each CPE using this CM during the reporting interval. The CMTS normally tracks CPE MAC addresses per CM, but there may be cases where they are not reported in this element, in which case the value of this element is encoded as macAddress type with value of all zeros.

C.7.2 CpeIpv4Addr[65]

The IPv4 address assigned to each CPE using this CM during the reporting interval. If the CMTS is not tracking CPE IP addresses, then the value of this element is encoded as ipV4Addr type with value of all zeros. This element may be non-null only for the default upstream SID/service flow for a CM, and gives the current known CPE IP addresses on the CM's Ethernet interface regardless of the SID/SF from which the CPE IP address was learned. All CPE IP addresses maintained in an ARP table for a cable MAC interface must be reported in this field of at least one IPDR record. It is not expected that CpeIpv4Addr values reported are unique to a single CM, since the CMTS may implement multiple overlapping private IP address spaces.

Note: The configuration state of the DOCS-SUBMGT3-MIB influences whether CPE IP addresses are being tracked by the CMTS and are thus being reported in the IPDRs (the DOCS-SUBMGT3-MIB controls the CM and CPE filters on the CMTS). Other mechanisms such as the ARP table may also be used in this case.

C.7.3 CpeIpv6Addr[66]

The IPv6 address assigned to each CPE using this CM during the reporting interval. If the CMTS is not tracking CPE IP addresses, then the value of this element is encoded as ipV6Addr type with value of all zeros. This element may be non-null only for the default upstream SID/service flow for a CM, and gives the current known CPE IP addresses on the CM's Ethernet interface regardless of the SID/SF from which the CPE IP address was learned. All CPE IP addresses maintained in an ARP table for a cable MAC interface must be reported in this field of at least one IPDR record. It is not expected that CmCpeIpv6Addr values reported are unique to a single CM, since the CMTS may implement multiple overlapping private IP address spaces.

C.7.4 CpeFqdn[67]

The Fully Qualified Domain Name (FQDN) assigned to each CPE using this CM during the reporting interval. If the CMTS is not tracking CPE FQDNs, then this element will be the zero-length string. This element includes only CPE FQDNs gleaned by the CMTS, such as from DHCP relay, and otherwise stored in the CMTS for reporting or other purposes. It is not required for the CMTS to query perform reverse DNS query to obtain the FQDN of a CPE IP address otherwise reported in the CpeIpv4Addr or CpeIpv6Addr field. An example FQDN is "Cpe1@cm1.cmts2.com.".

References: [RFC 2821].

[64] revised per OSSIv3.0-N-07.0506-3 by ab on 10/11/07.
[65] revised per OSSIv3.0-N-07.0506-3 by ab on 10/11/07.
[66] revised per OSSIv3.0-N-07.0506-3 by ab on 10/11/07.
[67] revised per OSSIv3.0-N-07.0506-3 by ab on 10/11/07.

C.7.5 DOCSIS-CPE_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-CPE"
        xmlns:DOCSIS-
CPE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CPE"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr" version="3.5.1-A.1"
elementFormDefault="qualified" attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <annotation>
        <documentation>DOCSIS-CPE_3.5.1-A.1 is an auxiliary schema
        which defines CPE information.</documentation>
        <documentation>
        <ipdr:reference>Data-Over-Cable Service Interface Specifications: Operations
        Support System Interface Specification SP-CM-OSSIv3.0-I01-061207, Annex C.
        </ipdr:reference>
        </documentation>
    </annotation>
    <element name="CpeMacAddr" type="ipdr:macAddress">
        <annotation>
            <documentation>Contains the MAC address of the CPE.</documentation>
        </annotation>
    </element>
    <element name="CpeIpv4Addr" type="ipdr:ipV4Addr">
        <annotation>
            <documentation>Contains the IPv4 addresses of the CPE. If the CPE IPv4
address
                        is unassigned or unknown, it contains an empty
string.</documentation>
        </annotation>
    </element>
    <element name="CpeIpv6Addr" type="ipdr:ipV6Addr">
        <annotation>
            <documentation>Contains the IPv6 address of the CPE. If the CPE IPv6
address
                        is unassigned or unknown, it contains an empty
string.</documentation>
        </annotation>
    </element>
    <element name="CpeFqdn" type="string">
        <annotation>
            <documentation>Contains the Fully Qualified Domain Name (FQDN) of the CPE.
                        If the CPE FQDN is unassigned or unknown, it contains an empty
string.</documentation>
        </annotation>
    </element>
</schema>
```

C.8 Spectrum Measurement Information

Refer to the CmtsSpectrumAnalysisMeas object of Annex J for the definition of the Spectrum Measurement attributes.

C.8.1 DOCSIS-SPECTRUM_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
SPECTRUM"
        xmlns:DOCSIS-
SPECTRUM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SPECTRUM"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr" version="3.5.1-A.1"
```

```
elementFormDefault="qualified" attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <annotation>
        <documentation>DOCSIS-SPECTRUM_3.5.1-A.1 is an auxiliary schema
        which defines Spectrum Analysis and Measurement information.</documentation>
        <documentation>
        <ipdr:reference>Data-Over-Cable Service Interface Specifications: Operations
        Support System Interface Specification SP-CM-OSSIv3.0-I01-061207, Annex J.
        </ipdr:reference>
        </documentation>
    </annotation>
    <element name="SpectrumAnalysisMeasIfIndex" type="unsignedInt">
        <annotation>
            <documentation>Contains the ifIndex of the CMTS logical upstream
channel.</documentation>
        </annotation>
    </element>
    <element name="SpectrumAnalysisMeasChCenterFreq" type="integer">
        <annotation>
            <documentation>Contains the center of the frequency band associated with the
            CMTS physical upstream interface. It contains zero if the frequency
            is undefined or unknown.</documentation>
            <documentation>
                <ipdr:units>hertz</ipdr:units>
            </documentation>
        </annotation>
    </element>
    <element name="SpectrumAnalysisMeasFreqSpan" type="unsignedInt">
        <annotation>
            <documentation>Contains the width of the band across which the spectral
            amplitudes characterizing the channel are measured.</documentation>
            <documentation>
                <ipdr:units>hertz</ipdr:units>
            </documentation>
        </annotation>
    </element>
    <element name="SpectrumAnalysisMeasNumOfBins" type="unsignedInt">
        <annotation>
            <documentation>The number of data points or bins that compose the
            spectral data. The leftmost bin corresponds to the lower band
            edge, the rightmost bin corresponds to the upper band edge,
            and the middle bin center is aligned with the center frequency
            of the analysis span.</documentation>
            <documentation>
                <ipdr:units>bins</ipdr:units>
            </documentation>
        </annotation>
    </element>
    <element name="SpectrumAnalysisMeasResolutionBW" type="unsignedInt">
        <annotation>
            <documentation>Contains the resolution bandwidth
            (defined as the equivalent noise bandwidth) of each bin.</documentation>
            <documentation>
                <ipdr:units>hertz</ipdr:units>
            </documentation>
        </annotation>
    </element>
    <element name="SpectrumAnalysisMeasBinSpacing" type="unsignedInt">
        <annotation>
            <documentation>Contains the frequency separation between adjacent bins,
            not to exceed 25 kHz for channel widths less than or equal 6.4
MHz.</documentation>
            <documentation>
                <ipdr:units>hertz</ipdr:units>
            </documentation>
```

```
        </annotation>
    </element>
    <element name="SpectrumAnalysisMeasAmplitude" type="hexBinary">
        <annotation>
            <documentation>A sequence of two byte elements. Each element
            represents the spectral amplitudes in relation to the expected
            received signal power of a bin, in units of 0.01dB. That is, a
            test CMTS input signal with square-root raised-cosine spectrum,
            bandwidth equal to the expected received signal bandwidth, and
            power equal to the expected received signal power, which is
            present for the entire spectrum sampling period, will exhibit a
            spectrum measurement of 0 dB average power in each bin of the
            signal passband.
            Each bin element amplitude value format is 2's complement which
            provides a range of -327.68 dB to 327.67 dB amplitude value
            for the bin measurement.
            </documentation>
        </annotation>
    </element>
</schema>
```

C.9 Diagnostic Log Information

Refer to the DiagLog and DiagLogDetail objects of Annex G for the definition of the Diagnostic Log attributes.

C.9.1 DOCSIS-DIAG-LOG_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-DIAG-LOG"
        xmlns:DOCSIS-DIAG-
LOG="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <annotation>
        <documentation>DOCSIS-DIAG-LOG_3.5.1-A.1 is an auxiliary schema
        which defines Diagnostic Log (DiagLog) information.</documentation>
        <documentation>
        <ipdr:reference>Data-Over-Cable Service Interface Specifications: Operations
        Support System Interface Specification SP-CM-OSSIv3.0-I01-061207, Annex G.
        </ipdr:reference>
        </documentation>
    </annotation>
    <element name="LastUpdateTime" type="dateTime">
        <annotation>
            <documentation>Contains the last time-of-day when a cable modem triggered
one or more of it's detectors.</documentation>
        </annotation>
    </element>
    <element name="CreateTime" type="dateTime">
        <annotation>
            <documentation>Contains the time-of-day when a cable modem was initially
added to the diagnostic log.</documentation>
        </annotation>
    </element>
    <element name="LastRegTime" type="dateTime">
        <annotation>
            <documentation>Contains the time-of-day when a cable modem last
registered.</documentation>
        </annotation>
    </element>
```

```
    <element name="RegCount" type="unsignedInt">
        <annotation>
            <documentation>Contains the number of times the Registration diagnostic
detector has been triggered for a cable modem.</documentation>
        </annotation>
    </element>
    <element name="RangingRetryCount" type="unsignedInt">
        <annotation>
            <documentation>Contains the number of times the Ranging Retry diagnostic
detector has been triggered for a cable modem.</documentation>
        </annotation>
    </element>
    <element name="TriggerFlagValue">
        <annotation>
            <documentation>Contains the diagnostic log trigger type
value.</documentation>
        </annotation>
        <simpleType>
            <restriction base="integer">
                <enumeration value="1">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>Registration</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="2">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>RangingRetry</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
            </restriction>
        </simpleType>
    </element>
</schema>
```

C.9.2 DOCSIS-DIAG-LOG-DETAIL_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-DIAG-LOG-DETAIL"
        xmlns:DOCSIS-DIAG-LOG-
DETAIL="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-
DETAIL"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <annotation>
        <documentation>DOCSIS-DIAG-LOG-DETAIL_3.5.1-A.1 is an auxiliary schema
        which defines Diagnostic Log Detail (DiagLogDetail)
information.</documentation>
        <documentation>
        <ipdr:reference>Data-Over-Cable Service Interface Specifications: Operations
        Support System Interface Specification SP-CM-OSSIv3.0-I01-061207, Annex G.
        </ipdr:reference>
        </documentation>
    </annotation>
    <element name="TypeValue">
        <annotation>
            <documentation>Contains detailed log types which include all possible
values for all triggers that need detailed counter information.</documentation>
        </annotation>
```

```
        <simpleType>
            <restriction base="integer">
                <enumeration value="1">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>other</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="2">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>initialRanging</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="3">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>rangingAutoAdjComplete</ipdr:enumMeaning
>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="4">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>startEae</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="5">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>startDhcpv4</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="6">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>startDhcpv6</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="7">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>dhcpv4Complete</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="8">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>dhcpv6Complete</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="9">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>startConfigFileDownload</ipdr:enumMeanin
g>
                        </documentation>
                    </annotation>
                </enumeration>
```

```
                <enumeration value="10">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>configFileDownloadComplete</ipdr:enumMea
ning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="11">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>startRegistration</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="12">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>registrationComplete</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="13">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>bpiInit</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="14">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>operational</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
            </restriction>
        </simpleType>
    </element>
    <element name="Count" type="unsignedInt">
        <annotation>
            <documentation>Contains a count of values specified by
TypeValue.</documentation>
        </annotation>
    </element>
    <element name="LastUpdate" type="dateTime">
        <annotation>
            <documentation>Contains the time-of-day when this DiagLogDetail object
instance was last updated.</documentation>
        </annotation>
    </element>
    <element name="LastErrorText" type="string">
        <annotation>
            <documentation> Contains the Event ID and Event Text (as defined in Annex
D or vendor specific)
            of the event condition that triggers the update of the DiagLogDetail
            object for the TypeValue this instance represents.
            The format is:
            &lt;Event ID&gt; Event Text</documentation>
        </annotation>
    </element>
</schema>
```

C.10 CMTS CM Upstream Status Information

Refer to the CmtsCmUsStatus object of Annex N for the definition of the CMTS CM Upstream Status attributes.

C.10.1 DOCSIS-CMTS-CM-US_3.5.1-A.1.xsd[68]

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
    targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS-CM-US"
    xmlns:DOCSIS-CMTS-CM-
US="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-US"
    xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
    version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <annotation>
        <documentation>
            DOCSIS-CMTS-CM-US is an auxiliary schema that defines
            the CM's upstream channel status information.
        </documentation>
        <documentation>
            <ipdr:reference>
                DOCSIS 3.0 Operations Support System Interface Specification
                CM-SP-OSSIv3.0-I01-061207, Annex N.
            </ipdr:reference>
        </documentation>
    </annotation>
    <element name="CmtsCmUsChIfName">
        <annotation>
            <documentation>
                Contains the first 50 characters of the
                ifName from the Interfaces Group MIB for the row entry corresponding
                to the CMTS upstream interface (ifType = 129).
            </documentation>
        </annotation>
            <simpleType>
            <restriction base="string">
                <maxLength value="50"/>
                <minLength value="0"/>
            </restriction>
        </simpleType>
    </element>
    <element name="CmtsCmUsChIfIndex" type="unsignedInt">
        <annotation>
            <documentation>
             Contains the ifIndex for the upstream interface (described in
CmtsCmUsChIfName).
            </documentation>
        </annotation>
    </element>
    <element name="CmtsCmUsModulationType">
        <annotation>
            <documentation>
            Contains the modulation type currently used by this
            upstream channel.
            </documentation>
        </annotation>
        <simpleType>
            <restriction base="integer">
                <enumeration value="0">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>unknown</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
```

[68] Section revised per OSSIv3.0-N-07.0410-4, #11 on 5/8/07 by KN.

```
                <enumeration value="1">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>tdma</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="2">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>atdma</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="3">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>scdma</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="4">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>tdmaAndAtdma</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
            </restriction>
        </simpleType>
    </element>
    <element name="CmtsCmUsRxPower" type="integer">
        <annotation>
            <documentation>
            Contains the receive power as perceived for the upstream channel.
             </documentation>
        </annotation>
    </element>
    <element name="CmtsCmUsSignalNoise" type="integer">
        <annotation>
            <documentation>
            Contains Signal/Noise ratio as perceived for upstream data from the CM.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsCmUsMicroreflections" type="unsignedByte">
        <annotation>
            <documentation>
            Contains the microreflections received on this interface.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsCmUsEqData" type="string">
        <annotation>
            <documentation>
                Contains the equalization data for the CM.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsCmUsUnerroreds" type="unsignedInt">
        <annotation>
            <documentation>
                Contains the codewords received without error from the CM.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsCmUsCorrecteds" type="unsignedInt">
```

```
        <annotation>
            <documentation>
                Contains codewords received with correctable errors from the CM.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsCmUsUncorrectables" type="unsignedInt">
        <annotation>
            <documentation>
            Contains codewords received with uncorrectable errors from the CM.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsCmUsHighResolutionTimingOffset" type="integer">
        <annotation>
            <documentation>
                 Contains the higher resolution timing offset to provide a
                 finer granularity timing offset.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsCmUsIsMuted" type="boolean">
        <annotation>
            <documentation>Denotes if the CM's upstream channel has been muted
             via CM-CTRL-REQ/CM-CTRL-RSP message exchange.</documentation>
        </annotation>
    </element>
    <element name="CmtsCmUsRangingStatus">
        <annotation>
            <documentation>Contains the ranging status
             of the CM</documentation>
        </annotation>
        <simpleType>
            <restriction base="integer">
                <enumeration value="1">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>other</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="2">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>aborted</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="3">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>retriesExceeded</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="4">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>success</ipdr:enumMeaning>
                        </documentation>
                    </annotation>
                </enumeration>
                <enumeration value="5">
                    <annotation>
                        <documentation>
                            <ipdr:enumMeaning>continue</ipdr:enumMeaning>
                        </documentation>
```

```
                    </annotation>
                </enumeration>
            </restriction>
        </simpleType>
    </element>
</schema>
```

C.11 CMTS CM Node Channel Information

Refer to the CmtsCmRegStatus object of Annex N for the definition of the CMTS CM Node Channel attributes.

C.11.1 DOCSIS-CMTS-CM-NODE-CH_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
    targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS-CM-NODE-CH"
    xmlns:DOCSIS-CMTS-CM-NODE-
CH="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-NODE-CH"
    xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
    version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <annotation>
        <documentation>
            DOCSIS-CMTS-CM-NODE-CH is an auxiliary schema that
            defines the CM's MAC Domain registration status from the
            CMTS perspective.
        </documentation>
        <documentation>
            <ipdr:reference>
                DOCSIS 3.0 Operations Support System Interface Specification
                CM-SP-OSSIv3.0-I01-061207, Annex N.
            </ipdr:reference>
        </documentation>
    </annotation>
    <element name="CmtsMdCmSgId" type="unsignedInt">
        <annotation>
            <documentation>
                Contains the ID of the MAC Domain Cable Modem Service
                Group Id (MD-CM-SG-ID) in which the cable modem is registered.
                If the ID is unknown, the CMTS reports a value of zero.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsRcpId">
        <annotation>
            <documentation>
                Contains the RCP-ID associated with the CM.
                If unknown, the CMTS returns an empty string.
            </documentation>
        </annotation>
        <simpleType>
            <restriction base="string">
                <length fixed="true" value="5"/>
            </restriction>
        </simpleType>
    </element>
    <element name="CmtsRccStatusId" type="unsignedInt">
        <annotation>
            <documentation>
                Contains the RCC id the CMTS used to configure the CM
                receive channel set during registration.
                If unknown, the CMTS returns 0.
```

```
            </documentation>
        </annotation>
    </element>
    <element name="CmtsRcsId" type="unsignedShort">
        <annotation>
            <documentation>
                 Contains the Receive Channel Set (RCS) that the CM is currently
using.
                 If unknown, the CMTS returns the value zero.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsTcsId" type="unsignedShort">
        <annotation>
            <documentation>
                Contains the Transmit Channel Set (TCS) that the CM is currently
using.
                If unknown, the CMTS returns the value zero.
            </documentation>
        </annotation>
    </element>
</schema>
```

C.12 CMTS MAC Domain Node Information

Refer to the MdNodeStatus, MdDsSgStatus and MdUsSgStatus objects of Annex O for the definition of the MAC Domain (MD) Node attributes.

C.12.1 DOCSIS-MD-NODE_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
    targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
MD-NODE"
    xmlns:DOCSIS-MD-
NODE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-MD-NODE"
    xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
    version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <annotation>
        <documentation>
            DOCSIS-MD-NODE is an auxiliary schema that defines the
            association of upstream and downstream channel within
            a particular MAC Domain that is connected to one or more
            fiber nodes.
        </documentation>
        <documentation>
            <ipdr:reference>
                DOCSIS 3.0 Operations Support System Interface Specification
                CM-SP-OSSIv3.0-I01-061207, Annex O.
            </ipdr:reference>
        </documentation>
    </annotation>
    <element name="CmtsNodeName" type="string">
        <annotation>
            <documentation>
                Contains the textual display name for a fiber node.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsMdCmSgId" type="unsignedInt">
        <annotation>
```

```
            <documentation>
                Contains the MD-CM-SG-ID.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsMdDsSgId" type="unsignedByte">
        <annotation>
            <documentation>
                Contains the corresponding MD-DS-SG-ID of the
                MD-CM-SG-ID.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsMdUsSgId" type="unsignedByte">
        <annotation>
            <documentation>
                Contains the corresponding MD-US-SG-ID of the
                MD-CM-SG-ID.
            </documentation>
        </annotation>
    </element>
    <element name="CmtsMdDsSgChList">
        <annotation>
            <documentation>
                Contains the ordered list of downstream channels of the
                MD-DS-SG-ID. Each octet represents a 8-bit DCID of a channel
                represented in hexadecimal format.
            </documentation>
        </annotation>
                <simpleType>
            <restriction base="hexBinary">
                <maxLength value="255"/>
                <minLength value="1"/>
            </restriction>
        </simpleType>
    </element>
    <element name="CmtsMdUsSgChList">
        <annotation>
            <documentation>
                Contains the ordered list of upstream channels of the
                MD-US-SG-ID. Each octet represents a 8-bit UCID of a channel.
            </documentation>
        </annotation>
                <simpleType>
            <restriction base="string">
                <maxLength value="255"/>
                <minLength value="1"/>
            </restriction>
        </simpleType>
    </element>
</schema>
```

Annex D Format and Content for Event, SYSLOG, and SNMP Notification (Normative)

Table D-1 in this Annex summarizes the format and content for event, syslog, and SNMP notifications required for DOCSIS 3.0-compliant CMTS and CM.

Each row specifies a possible event that may appear in the CM or CMTS. These events are to be reported by a cable device through local event logging, and may be accompanied by syslog or SNMP notification.

The "Process" and "Sub-Process" columns indicate in which stage the event happens. The "CM Priority" and "CMTS Priority" columns indicate the priority the event is assigned in the CM or CMTS. These priorities are the same as is reported in the docsDevEvLevel object in the cable device MIB [RFC 2669] and in the LEVEL field of the syslog.

The "Event Message" column specifies the event text, which is reported in the docsDevEvText object of the cable device MIB and the text field of the syslog. The "Message Notes And Details" column provides additional information about the event text in the "Event Message" column. Some of the text fields include variable information. The variables are explained in the "Message Notes And Details" column. Some of the variables are only required in the syslog and are described in this column as well.

The CM MAY append additional vendor-specific text to the end of the event text reported in the docsDevEvText object and the syslog text field.

The CMTS MAY append additional vendor-specific text to the end of the event text reported in the docsDevEvText object and the syslog text field.

The "Error Code Set" column specifies the error code. The "Event ID" column indicates a unique identification number for the event, which is assigned to the docsDevEvId object in the cable device MIB and the <eventId> field of the syslog. The "Notification Name" column specifies the SNMP notification, which notifies this event to an SNMP event receiver.

The syslog format, as well as the rules to uniquely generate an event ID from the error code, are described in Section 8.1.2.1.3 of this specification.

The SNMP notifications are defined in the Cable Device Notification MIB [RFC 4547] DOCS-IETF-CABLE-DEVICE-NOTIFICATION-MIB.

Table D-1 - Event Format and Content[69]

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Authentication and Encryption								
BPKM	AUTH-FSM	Warning	Error	Auth Reject – No Information	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B301.2	66030102	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	AUTH-FSM	Warning	Error	Auth Reject – Unauthorized CM	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B301.3	66030103	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	AUTH-FSM	Warning	Error	Auth Reject – Unauthorized SAID	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B301.4	66030104	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	AUTH-FSM	Error	Error	Auth Reject – Permanent Authorization Failure	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B301.8	66030108	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	AUTH-FSM	Warning	Error	Auth Reject – Time of Day not acquired	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B301.9	66030109	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	AUTH-FSM	Alert	Error	CM Certificate Error	For SYSLOG only, append: MAC addr: <P1> P1=Mac Addr of CMTS (for CM) or CM (for CMTS)	B301.11	66030111	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	AUTH-FSM	Informational	Informational	Auth Reject – EAE disabled	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B301.12	66030110	docsDevCmtsBPKMNotif

[69] table replaced per OSSIv3.0-N-0500-4 by ab on 7/19/07, and updated per OSSIv3.0-N-07.0551-4 by ab on 11/12/07.

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
BPKM	AUTH-FSM	Warning	Error	Auth Invalid – No Information	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B302.2	66030202	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	AUTH-FSM	Warning	Error	Auth Invalid – Unauthorized CM	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B302.3	66030203	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	AUTH-FSM	Warning	Error	Auth Invalid – Unsolicited	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B302.5	66030205	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	AUTH-FSM	Warning	Error	Auth Invalid – Invalid Key Sequence Number	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B302.6	66030206	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	AUTH-FSM	Warning	Error	Auth Invalid – Message (Key Request) Authentication Failure	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B302.7	66030207	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	AUTH-FSM	Warning	Error	Unsupported Crypto Suite	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B303.0	66030300	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	AUTH-FSM	Informational		Authorized	For CM SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CM	B401.0	66040100	docsDevCmBPKMNotif,
BPKM	AUTH-FSM	Informational		Auth Pend	For CM SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CM	B402.0	66040200	docsDevCmBPKMNotif,
BPKM	AUTH-FSM	Informational		Auth Comp	For CM SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CM	B403.0	66040300	docsDevCmBPKMNotif,
BPKM	AUTH-FSM	Informational		Stop	For CM SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CM	B404.0	66040400	docsDevCmBPKMNotif,

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
BPKM	CERTIFICATE REVOCATION		Warning	Failed to retrieve CRL from <P1>	P1 = CRL Server IP	B304.0	66030400	docsDevCmtsBPKMNotif
BPKM	CERTIFICATE REVOCATION		Warning	Failed to retrieve OCSP status		B304.1	66030401	docsDevCmtsBPKMNotif
BPKM	TEK-FSM	Warning	Error	Key Reject – No Information	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B501.2	66050102	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	TEK-FSM	Warning	Error	Key Reject – Unauthorized SAID	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B501.3	66050103	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	TEK-FSM	Warning	Error	TEK Invalid – No Information	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B502.3	66050203	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
BPKM	TEK-FSM	Warning	Error	TEK Invalid – Invalid Key Sequence Number	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B502.6	66050206	docsDevCmBPKMNotif, docsDevCmtsBPKMNotif
Dynamic SA	SA MAP-FSM	Informational		SA Map State Machine Started	For CM SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CM	B601.0	66060100	docsDevCmDynamicSANotif
Dynamic SA	SA MAP-FSM	Warning	Error	Unsupported Crypto Suite	For SYSLOG only, append: MAC addr: <P1>. P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B602.0	66060200	docsDevCmDynamicSANotif, docsDevCmtsDynamicSANotif
Dynamic SA	SA MAP-FSM	Error		Map Request Retry Timeout	For CM SYSLOG only append: MAC addr: <P1>. P1 = Mac Addr of CMTS	B603.0	66060300	docsDevCmDynamicSANotif
Dynamic SA	SA MAP-FSM	Informational		Unmap	For CM SYSLOG only append: MAC addr: <P1>. P1 = Mac Addr of CMTS	B604.0	66060400	docsDevCmDynamicSANotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Dynamic SA	SA MAP-FSM	Informational	Informational	Map Reject – Downstream Traffic Flow Not Mapped to BPI+ SAID (EC=8)	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B605.10	66060510	docsDevCmDynamicSANotif, docsDevCmtsDynamicSANotif
Dynamic SA	SA MAP-FSM	Warning	Error	Map Reject – Not Authorized for Requested Downstream Traffic Flow (EC=7)	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B605.9	66060509	docsDevCmDynamicSANotif, docsDevCmtsDynamicSANotif
Dynamic SA	SA MAP-FSM	Warning	Error	Mapped to Existing SAID	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B606.0	66060600	docsDevCmDynamicSANotif, docsDevCmtsDynamicSANotif
Dynamic SA	SA MAP-FSM	Warning	Error	Mapped to New SAID	For SYSLOG only, append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	B607.0	66060700	docsDevCmDynamicSANotif, docsDevCmtsDynamicSANotif
Init (BPI+)	DOCSIS 1.0 CONFIG FILE	Error	Notice	Missing BP Configuration Setting TLV Type: <P1>	P1 = missing required TLV Type	B101.0	66010100	docsDevCmBpiInitNotif, docsDevCmtsBpiInitNotif
Init (BPI+)	DOCSIS 1.0 CONFIG FILE	Alert	Notice	Invalid BP Configuration Setting Value: <P1> for Type: <P2>	P1=The TLV Value for P2.P2 = The first Configuration TLV Type that contain invalid value.	B102.0	66010200	docsDevCmBpiInitNotif, docsDevCmtsBpiInitNotif
DBC, DCC and UCC								
DBC	DBC Request	Warning		CMTS Bad DBC – confirmation code <P1>: <P2>	P1=<Confirmation Code> P2=<Confirmation> See [MULPI] Annex C.4 Confirmation Code	C501.0	67050100	
DBC	DBC Request	Warning		DBC-REQ denied – confirmation code <P1>: <P2>	P1=<Confirmation Code> P2=<Confirmation> See [MULPI] Annex C.4 Confirmation Code	C502.0	67050200	
DBC	DBC Response		Notice	Unknown DBC transaction		C601.0	67060100	
DBC	DBC Response		Warning	DBC-REQ rejected – confirmation code <P1>: <P2>	P1=<Confirmation Code> P2=<Confirmation> See [MULPI] Annex C.4 Confirmation Code	C602.0	67060200	

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DBC	DBC Response		Warning	DBC-RSP not received		C603.0	67060300	
DBC	DBC Response		Warning	Bad CM DBC-RSP: <P1>	P1="unspecified reason" | "authentication failure" | "msg syntax error"	C604.0	67060400	
DBC	DBC Response		Warning	DBC-RSP Partial Service <P1>	P1=<reason>	C605.0	67060500	
DBC	DBC Acknowledgement	Error		DBC-ACK not received		C701.0	67070100	
DBC	DBC Acknowledgement	Notice		Bad CMTS DBC-ACK: <P1>	P1="unspecified reason" | "unknown transaction ID" | "authentication failure" | "msg syntax error"	C702.0	67070200	
DCC	DCC Request	Error	Warning	DCC rejected already there		C201.0	67020100	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif
DCC	DCC Request	Informational	Notice	DCC depart old		C202.0	67020200	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif
DCC	DCC Request	Informational	Notice	DCC arrive new		C203.0	67020300	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif
DCC	DCC Request	Critical	Warning	DCC aborted unable to acquire new downstream channel		C204.0	67020400	
DCC	DCC Request	Critical	Warning	DCC aborted no UCD for new upstream channel		C205.0	67020500	
DCC	DCC Request	Critical	Warning	DCC aborted unable to communicate on new upstream channel		C206.0	67020600	
DCC	DCC Request	Error	Warning	DCC rejected unspecified reason		C207.0	67020700	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif
DCC	DCC Request	Error	Warning	DCC rejected permanent – DCC not supported		C208.0	67020800	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DCC	DCC Request	Error	Warning	DCC rejected service flow not found		C209.0	67020900	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif
DCC	DCC Request	Error	Warning	DCC rejected required parameter not present		C210.0	67021000	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif
DCC	DCC Request	Error	Warning	DCC rejected authentication failure		C211.0	67021100	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif
DCC	DCC Request	Error	Warning	DCC rejected multiple errors		C212.0	67021200	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif
DCC	DCC Request	Error	Warning	DCC rejected, duplicate SF reference-ID or index in message		C215.0	67021500	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif
DCC	DCC Request	Error	Warning	DCC rejected parameter invalid for context		C216.0	67021600	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif
DCC	DCC Request	Error	Warning	DCC rejected message syntax error		C217.0	67021700	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif
DCC	DCC Request	Error	Warning	DCC rejected message too big		C218.0	67021800	docsDevCmDccReqFailNotif, docsDevCmtsDccReqFailNotif
DCC	DCC Request	Error	Warning	DCC rejected 2.0 mode disabled		C219.0	67021900	docsDevCmDccReqFailNotif,docsDevCmtsDccReqFailNotif
DCC	DCC Response		Warning	DCC-RSP not received on old channel		C301.0	67030100	docsDevCmDccRspFailNotif, docsDevCmtsDccRspFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DCC	DCC Response		Warning	DCC-RSP not received on new channel		C302.0	67030200	docsDevCmDccRspFailNotif, docsDevCmtsDccRspFailNotif
DCC	DCC Response		Warning	DCC-RSP rejected unspecified reason		C303.0	67030300	docsDevCmDccRspFailNotif, docsDevCmtsDccRspFailNotif
DCC	DCC Response		Warning	DCC-RSP rejected unknown transaction ID		C304.0	67030400	docsDevCmDccRspFailNotif, docsDevCmtsDccRspFailNotif
DCC	DCC Response		Warning	DCC-RSP rejected authentication failure		C305.0	67030500	docsDevCmDccRspFailNotif, docsDevCmtsDccRspFailNotif
DCC	DCC Response		Warning	DCC-RSP rejected message syntax error		C306.0	67030600	docsDevCmDccRspFailNotif, docsDevCmtsDccRspFailNotif
DCC	DCC Acknowledgement	Error	Warning	DCC-ACK not received		C401.0	67040100	docsDevCmDccAckFailNotif, docsDevCmtsDccAckFailNotif
DCC	DCC Acknowledgement	Error	Warning	DCC-ACK rejected unspecified reason		C402.0	67040200	docsDevCmDccAckFailNotif, docsDevCmtsDccAckFailNotif
DCC	DCC Acknowledgement	Error	Warning	DCC-ACK rejected unknown transaction ID		C403.0	67040300	docsDevCmDccAckFailNotif, docsDevCmtsDccAckFailNotif
DCC	DCC Acknowledgement	Error	Warning	DCC-ACK rejected authentication failure		C404.0	67040400	docsDevCmDccAckFailNotif, docsDevCmtsDccAckFailNotif
DCC	DCC Acknowledgement	Error	Warning	DCC-ACK rejected message syntax error		C405.0	67040500	docsDevCmDccAckFailNotif, docsDevCmtsDccAckFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
UCC	UCC Request	Error		UCC-REQ received with invalid or out of range US channel ID.		C01.0	67000100	
UCC	UCC Request	Error		UCC-REQ received unable to send UCC-RSP.		C02.0	67000200	
UCC	UCC Response		Warning	UCC-RSP not received on previous channel ID.		C101.0	67010100	
UCC	UCC Response		Warning	UCC-RSP received with invalid channel ID.		C102.0	67010200	
UCC	UCC Response		Warning	UCC-RSP received with invalid channel ID on new channel.		C103.0	67010300	
DHCP, TOD and TFTP								
DHCP		Error		DHCP RENEW sent – No response for <P1>	P1=IPv4 or IPv6	D101.0	68010100	
DHCP		Error		DHCP REBIND sent – No response for <P1>	P1=IPv4 or IPv6	D102.0	68010200	
DHCP		Error		DHCP RENEW sent – Invalid DHCP<P1> option	P1=v4 or v6	D103.0	68010300	
DHCP		Error		DHCP REBIND sent – Invalid DHCP option		D104.0	68010400	
DHCP		Notice		DHCP Reconfigure received		D105.0	68010500	
DHCP		Notice		DHCP Renew - lease parameters <P1> modified	P1 = list of params that changed at renew	D106.0	68010600	
DHCP		Error		Primary lease failed, IPv4 fallback initiated		D107.0	68010700	
Init	DHCP	Critical		DHCP FAILED – Discover sent, no offer received		D01.0	68000100	
Init	DHCP	Critical		DHCP FAILED – Request sent, No response		D02.0	68000200	
Init	DHCP	Critical		DHCP FAILED – Requested Info not supported.		D03.0	68000300	

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Init	DHCP	Critical		DHCP FAILED – Response doesn't contain ALL the valid options		D03.1	68000301	
Init	DHCP	Critical		DHCP failed – RS sent, no RA received		D12.0	68001200	
Init	DHCP	Critical		DHCP Failed – Invalid RA		D12.1	68001201	
Init	DHCP	Critical		DHCP failed – DHCP Solicit sent, No DHCP Advertise received		D12.2	68001202	
Init	DHCP	Critical		DHCP failed – DHCP Request sent, No DHCP REPLY received		D12.3	68001203	
Init	DHCP	Error		Primary address acquired, secondary failed		D12.4	68001204	
Init	DHCP	Error		Primary address failed, secondary active		D12.5	68001205	
Init	IPv6 Address Acquisition	Critical		Link-Local address failed DAD		D13.1	68001301	
Init	IPv6 Address Acquisition	Critical		DHCP lease address failed DAD		D13.2	68001302	
Init	TOD	Warning		ToD request sent – No Response received		D04.1	68000401	
Init	TOD	Warning		ToD Response received – Invalid data format		D04.2	68000402	
Init	TFTP	Critical		TFTP failed – Request sent – No Response		D05.0	68000500	
Init	TFTP	Critical		TFTP failed – configuration file NOT FOUND	For SYSLOG only: append: File name = <P1> P1 = requested file name	D06.0	68000600	
Init	TFTP	Critical		TFTP Failed – OUT OF ORDER packets		D07.0	68000700	
Init	TFTP	Critical		TFTP file complete – but failed Message Integrity check MIC	For SYSLOG only: append: File name = <P1> P1 = file name of TFTP file	D08.0	68000800	
Init	TFTP	Critical		TFTP file complete – but missing mandatory TLV		D09.0	68000900	
Init	TFTP	Critical		TFTP Failed – file too big		D10.0	68001000	

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Init	TFTP	Critical		TFTP file complete- but doesn't enable 2.0 Mode – conflicts with current US channel type	For SYSLOG only: append: File name = <P1> P1 = file name of TFTP file	D11.0	68001100	
TOD		Error		ToD request sent- No Response received		D04.3	68000403	docsDevCmTODFailNotif
TOD		Error		ToD Response received – Invalid data format		D04.4	68000404	docsDevCmTODFailNotif
Secure Software Download								
SW Upgrade	SW UPGRADE INIT	Notice		SW Download INIT – Via NMS	For SYSLOG only, append: SW file: <P1> - SW server: < P2>. P1 = SW file name and P2 = Tftp server IP address	E101.0	69010100	docsDevCmSwUpgradeInitNotif
SW Upgrade	SW UPGRADE INIT	Notice		SW Download INIT – Via Config file <P1>	P1 = CM config file name. For SYSLOG only, append: SW file: <P2> - SW server: < P3>. P2 = SW file name and P3 = Tftp server IP address	E102.0	69010200	docsDevCmSwUpgradeInitNotif
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		SW Upgrade Failed during download – Max retry exceed (3)	For SYSLOG only, append: SW file: <P1> - SW server: < P2>. P1 = SW file name and P2 = Tftp server IP address	E103.0	69010300	docsDevCmSwUpgradeFailNotif
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		SW Upgrade Failed Before Download – Server not Present	For SYSLOG only, append: SW file: <P1> - SW server: < P2>. P1 = SW file name and P2 = Tftp server IP address	E104.0	69010400	docsDevCmSwUpgradeFailNotif
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		SW upgrade Failed before download – File not Present	For SYSLOG only, append: SW file: <P1> - SW server: < P2>. P1 = SW file name and P2 = Tftp server IP address	E105.0	69010500	docsDevCmSwUpgradeFailNotif
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		SW upgrade Failed before download –TFTP Max Retry Exceeded	For SYSLOG only, append: SW file: <P1> - SW server: < P2>. P1 = SW file name and P2 = Tftp server IP address	E106.0	69010600	docsDevCmSwUpgradeFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		SW upgrade Failed after download –Incompatible SW file	For SYSLOG only, append: SW file: <P1> - SW server: < P2>. P1 = SW file name and P2 = Tftp server IP address	E107.0	69010700	docsDevCmSwUpgradeFailNotif
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		SW upgrade Failed after download – SW File corruption	For SYSLOG only, append: SW file: <P1> - SW server: < P2>. P1 = SW file name and P2 = Tftp server IP address	E108.0	69010800	docsDevCmSwUpgradeFailNotif
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		Disruption during SW download – Power Failure	For SYSLOG only, append: SW file: <P1> - SW server: < P2>. P1 = SW file name and P2 = Tftp server IP address	E109.0	69010900	docsDevCmSwUpgradeFailNotif
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		Disruption during SW download – RF removed	For SYSLOG only, append: SW file: <P1> - SW server: < P2>. P1 = SW file name and P2 = Tftp server IP address	E110.0	69011000	docsDevCmSwUpgradeFailNotif
SW Upgrade	SW UPGRADE SUCCESS	Notice		SW download Successful – Via NMS	For SYSLOG only, append: SW file: <P1> - SW server: < P2>. P1 = SW file name and P2 = Tftp server IP address	E111.0	69011100	docsDevCmSwUpgradeSuccessNotif
SW Upgrade	SW UPGRADE SUCCESS	Notice		SW download Successful – Via Config file	For SYSLOG only, append: SW file: <P1> - SW server: < P2>. P1 = SW file name and P2 = Tftp server IP address	E112.0	69011200	docsDevCmSwUpgradeSuccessNotif
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		Improper Code File Controls	For SYSLOG only, append: Code File: <P1> - Code File Server: <P2>. P1= Code file name, P2 = code file server IP address	E201.0	69020100	docsDevCmSwUpgradeFailNotif
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		Code File Manufacturer CVC Validation Failure	For SYSLOG only, append: Code File: <P1> - Code File Server: <P2>. P1= Code file name, P2 = code file server IP address	E202.0	69020200	docsDevCmSwUpgradeFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		Code File Manufacturer CVS Validation Failure	For SYSLOG only, append: Code File: <P1> - Code File Server: <P2>. P1= Code file name, P2 = code file server IP address	E203.0	69020300	docsDevCmSwUpgradeFailNotif
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		Code File Co-Signer CVC Validation Failure	For SYSLOG only, append: Code File: <P1> - Code File Server: <P2>. P1= Code file name, P2 = code file server IP address	E204.0	69020400	docsDevCmSwUpgradeFailNotif
SW Upgrade	SW UPGRADE GENERAL FAILURE	Error		Code File Co-Signer CVS Validation Failure	For SYSLOG only, append: Code File: <P1> - Code File Server: <P2>. P1= Code file name, P2 = code file server IP address	E205.0	69020500	docsDevCmSwUpgradeFailNotif
SW Upgrade	VERIFICATION OF CVC	Error		Improper Configuration File CVC Format	For SYSLOG only, append: Config File: <P1> - TFTP Server: <P2> P1 = Config File Name P2 = TFTP Server IP Address	E206.0	69020600	docsDevCmSwUpgradeCVCFailNotif
SW Upgrade	VERIFICATION OF CVC	Error		Configuration File CVC Validation Failure	For SYSLOG only, append: Config File: <P1> - TFTP Server: <P2> P1 = Config File Name P2 = TFTP Server IP Address	E207.0	69020700	docsDevCmSwUpgradeCVCFailNotif
SW Upgrade	VERIFICATION OF CVC	Error		Improper SNMP CVC Format	For SYSLOG only, append: SNMP Manager: <P1>. P1= IP Address of SNMP Manager	E208.0	69020800	docsDevCmSwUpgradeCVCFailNotif
SW Upgrade	VERIFICATION OF CVC	Error		SNMP CVC Validation Failure	For SYSLOG only, append: SNMP Manager: <P1>. P1=IP Address of SNMP Manager	E209.0	69020900	docsDevCmSwUpgradeCVCFailNotif
Registration and TLV-11								
Init	REGISTRATION RESPONSE	Critical		REG-RSP – invalid format or not recognized		I01.0	73000100	

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Init	REGISTRATION RESPONSE	Critical		REG RSP not received		I02.0	73000200	
Init	REGISTRATION RESPONSE	Critical		REG RSP bad SID <P1>		I03.0	73000300	
Init	REGISTRATION REQUEST		Warning	Service unavailable – Other	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I04.0	73000400	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Service unavailable – Unrecognized configuration setting	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I04.1	73000401	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Service unavailable – Temporarily unavailable	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I04.2	73000402	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Service unavailable – Permanent	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I04.3	73000403	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Registration rejected authentication failure: CMTS MIC invalid	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I05.0	73000500	docsDevCmtsInitRegReqFailNotif
Init	3.0 SPECIFIC REGISTRATION REQUEST		Warning	Registration authentication failure: REG REQ rejected –TLV parameters do not match learned config file TLV parameters	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I05.1	73000501	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	REG REQ has Invalid MAC header	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I101.0	73010100	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	REG REQ has Invalid SID or not in use	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I102.0	73010200	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	REG REQ missed Required TLVs	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I104.0	73010400	docsDevCmtsInitRegReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Init	REGISTRATION REQUEST		Warning	Bad DS FREQ – Format Invalid	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I105.0	73010500	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad DS FREQ – Not in use	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I105.1	73010501	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad DS FREQ – Not Multiple of 62500 Hz	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I105.2	73010502	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad US CH – Invalid or Unassigned	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I106.0	73010600	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad US CH – Change followed with (RE-) Registration REQ	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I106.1	73010601	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad US CH – Overload	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I107.0	73010700	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Network Access has Invalid Parameter	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I108.0	73010800	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad Class of Service – Invalid Configuration	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I109.0	73010900	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad Class of Service – Unsupported class	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I110.0	73011000	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad Class of Service – Invalid class ID or out of range	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I111.0	73011100	docsDevCmtsInitRegReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Init	REGISTRATION REQUEST		Warning	Bad Max DS Bit Rate – Invalid Format	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I112.0	73011200	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad Max DS Bit Rate Unsupported Setting	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I112.1	73011201	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad Max US Bit – Invalid Format	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I113.0	73011300	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad Max US Bit Rate – Unsupported Setting	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I113.1	73011301	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad US Priority Configuration – Invalid Format	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I114.0	73011400	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad US Priority Configuration – Setting out of Range	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I114.1	73011401	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad Guaranteed Min US CH Bit rate Configuration setting – Invalid Format	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I115.0	73011500	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad Guaranteed Min US CH Bit rate Configuration setting – Exceed Max US Bit Rate	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I115.1	73011501	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad Guaranteed Min US CH Bit rate Configuration setting – Out of Range	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I115.2	73011502	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Bad Max US CH Transmit Burst configuration setting – Invalid Format	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I116.0	73011600	docsDevCmtsInitRegReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Init	REGISTRATION REQUEST		Warning	Bad Max US CH Transmit Burst configuration setting – Out of Range	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I116.1	73011601	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Invalid Modem Capabilities configuration setting	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I117.0	73011700	docsDevCmtsInitRegReqFailNotif
Init	REGISTRATION REQUEST		Warning	Configuration file contains parameter with the value outside of the range	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I118.0	73011800	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Unspecified reason	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I201.0	73020100	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Unrecognized configuration setting	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I201.1	73020101	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Major service flow error	For CMTS SYSLOG only, append: MAC Addr: <P2>. P2 = CM MAC address	I201.10	73020110	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Major classifier error	For CMTS SYSLOG only, append: MAC Addr: <P2>. P2 = CM MAC address	I201.11	73020111	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Major PHS rule error	For CMTS SYSLOG only, append: MAC Addr: <P2>. P2 = CM MAC address	I201.12	73020112	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Multiple major errors	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I201.13	73020113	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Message syntax error <P1>	P1 = massager CMTS SYSLOG only, append: MAC Addr: <P2>. P2 = CM MAC address	I201.14	73020114	docsDevCmtsInitRegReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Primary service flow error <P1>	P1 = Service Flow Reference. For CMTS SYSLOG only, append: MAC Addr: <P2>. P2 = CM MAC address	I201.15	73020115	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – temporary no resource	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I201.2	73020102	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Permanent administrative	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I201.3	73020103	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Required parameter not present <P1>	P1 = TLV type, It is up to the vendor to support 1 or maneuver CMTS SYSLOG only, append: MAC Addr: <P2>. P2 = CM MAC address	I201.4	73020104	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Header suppression setting not supported	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I201.5	73020105	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Multiple errors	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I201.6	73020106	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – duplicate reference-ID or index in message	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I201.7	73020107	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – parameter invalid for context <P1>	P1 = TLV parameter For CMTS SYSLOG only, append: MAC Addr: <P2>. P2 = CM MAC address	I201.8	73020108	docsDevCmtsInitRegReqFailNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Authorization failure	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I201.9	73020109	docsDevCmtsInitRegReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Init	1.1 and 2.0 SPECIFIC REGISTRATION RESPONSE	Critical		REG RSP contains service flow parameters that CM cannot support <P1>	P1 = Service Flow ID	I251.0	73025100	
Init	1.1 and 2.0 SPECIFIC REGISTRATION RESPONSE	Critical		REG RSP contains classifier parameters that CM cannot support <P1>	P1 = Service Flow ID	I251.1	73025101	
Init	1.1 and 2.0 SPECIFIC REGISTRATION RESPONSE	Critical		REG RSP contains PHS parameters that CM cannot support <P1>	P1 = Service Flow ID	I251.2	73025102	
Init	1.1 and 2.0 SPECIFIC REGISTRATION RESPONSE	Critical		Registration RSP rejected unspecified reason		I251.3	73025103	
Init	1.1 and 2.0 SPECIFIC REGISTRATION RESPONSE	Critical		Registration RSP rejected message syntax error <P1>	P1 = message	I251.4	73025104	
Init	1.1 and 2.0 SPECIFIC REGISTRATION RESPONSE	Critical		Registration RSP rejected message too big <P1>	P1 = # of characters	I251.5	73025105	
Init	2.0 SPECIFIC REGISTRATION RESPONSE	Warning		REG-RSP received after REG-ACK. Returning to 1.x transmit mode		I261.0	73026100	
Init	REGISTRATION ACKNOWLEDGEMENT		Warning	REG aborted no REG-ACK	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I301.0	73030100	docsDevCmtsInitRegAckFailNotif
Init	REGISTRATION Acknowledgement		Warning	REG ACK rejected unspecified reason	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I302.0	73030200	docsDevCmtsInitRegAckFailNotif
Init	REGISTRATION ACKNOWLEDGEMENT		Warning	REG ACK rejected message syntax error	For CMTS SYSLOG only, append: MAC Addr: <P1>. P1 = CM MAC address	I303.0	73030300	docsDevCmtsInitRegAckFailNotif
Init	TLV-11 PARSING	Notice		TLV-11 – unrecognized OID		I401.0	73040100	docsDevCmInitTLVUnknownNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Init	TLV-11 PARSING	Critical		TLV-11 – Illegal Set operation failed		I402.0	73040200	docsDevCmInitTLVUnknownNotif
Init	TLV-11 PARSING	Critical		TLV-11 – Failed to set duplicate elements		I403.0	73040300	docsDevCmInitTLVUnknownNotif
Init	1.1 and 2.0 SPECIFIC REGISTRATION REQUEST		Warning	REG REQ rejected – Message too big <P1>	P1 = # of characters. For CMTS SYSLOG only, append: MAC Addr: <P2>. P2 = CM MAC address	I201.16	73020116	docsDevCmtsInitRegReqFailNotif
QoS								
Service Flow	Service Flow Assignment		Notice	Attribute Masks for SF (SFID <P1>) do not satisfy those in the SCN <P2>	P1 = SFID P2 = SCN	K101.0	75010100	
General								
		Informational		A transmit opportunity was missed because the MAP arrived too late.		N01.0	78000100	
Ranging								
Init	RANGING	Critical		No Maintenance Broadcasts for Ranging opportunities received – T2 time-out		R01.0	82000100	
Init	RANGING	Critical		No Ranging Response received – T3 time-out		R02.0	82000200	
Init	RANGING	Critical		Ranging Request Retries exhausted		R03.0	82000300	
Init	RANGING	Critical		Received Response to Broadcast Maintenance Request, But no Unicast Maintenance opportunities received – T4 time out		R04.0	82000400	
Init	RANGING	Critical		Started Unicast Maintenance Ranging – No Response received – T3 time-out		R05.0	82000500	

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Init	RANGING	Critical		Unicast Maintenance Ranging attempted – No response – Retries exhausted		R06.0	82000600	
Init	RANGING	Critical		Unicast Ranging Received Abort Response – Re-initializing MAC		R07.0	82000700	
Init	RANGING		Warning	No Ranging Requests received from POLLED CM (CMTS generated polls).		R101.0	82010100	
Init	RANGING		Warning	Retries exhausted for polled CM (report MAC address). After 16 R101.0 errors.		R102.0	82010200	
Init	RANGING		Warning	Unable to Successfully Range CM (report MAC address) Retries Exhausted.	NOTE: this is different from R102.0 in that it was able to try, i.e., got REQs but failed to Range properly.	R103.0	82010300	
Init	RANGING		Warning	Failed to receive Periodic RNG-REQ from modem (SID X), timing-out SID.		R104.0	82010400	
Dynamic Services								
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Unspecified reason	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.0	83000100	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Unrecognized configuration setting	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.1	83000101	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Classifier not found	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.10	83000110	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Classifier exists	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.11	83000111	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – PHS rule exists	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.13	83000113	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Duplicated reference-ID or index in message	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.14	83000114	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Multiple upstream flows	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.15	83000115	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Multiple downstream flows	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.16	83000116	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Classifier for another flow	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.17	83000117	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – PHS rule for another flow	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.18	83000118	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Parameter invalid for context	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.19	83000119	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Temporary no resource	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.2	83000102	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Authorization failure	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.20	83000120	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Major service flow error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.21	83000121	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Major classifier error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.22	83000122	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Major PHS rule error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.23	83000123	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Multiple major errors	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.24	83000124	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Message syntax error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.25	83000125	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Message too big	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.26	83000126	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Temporary DCC	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.27	83000127	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Permanent administrative	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.3	83000103	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Required parameter not present	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.4	83000104	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Header suppression setting not supported	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.5	83000105	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Service flow exists	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.6	83000106	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – HMAC Auth failure	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.7	83000107	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Add aborted	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.8	83000108	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Add rejected – Multiple errors	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S01.9	83000109	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Unspecified reason	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.0	83000200	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Unrecognized configuration setting	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.1	83000201	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Classifier not found	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.10	83000210	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Classifier exists	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.11	83000211	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – PHS rule not found	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.12	83000212	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – PHS rule exists	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.13	83000213	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Duplicated reference-ID or index in message	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.14	83000214	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Multiple upstream flows	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.15	83000215	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Multiple downstream flows	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.16	83000216	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Classifier for another flow	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.17	83000217	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – PHS rule for another flow	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.18	83000218	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Invalid parameter for context	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.19	83000219	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Temporary no resource	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.2	83000202	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Authorization failure	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.20	83000220	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Major service flow error	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.21	83000221	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected –Major classifier error	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.22	83000222	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Major PHS error	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.23	83000223	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Multiple major errors	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.24	83000224	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Message syntax error	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.25	83000225	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Message too big	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.26	83000226	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Temporary DCC	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.27	83000227	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Permanent administrative	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.3	83000203	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Requester not owner of service flow	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.4	83000204	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Service flow not found	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.5	83000205	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Required parameter not present	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.6	83000206	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Header suppression setting not supported	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.7	83000207	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – HMAC Auth failure	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.8	83000208	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Change rejected – Multiple errors	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S02.9	83000209	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Delete rejected – Unspecified reason	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S03.0	83000300	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Delete rejected – Requester not owner of service flow	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S03.1	83000301	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Delete rejected – Service flow not found	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S03.2	83000302	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Delete rejected – HMAC Auth failure	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S03.3	83000303	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE REQUEST	Error	Warning	Service Delete rejected – Message syntax error	For SYSLOG only: append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S03.4	83000304	docsDevCmDynServReqFailNotif, docsDevCmtsDynServReqFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected –Invalid transaction ID	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.0	83010100	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add aborted – No RSP	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.1	83010101	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected – PHS rule exists	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.10	83010110	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected –Duplicate reference_ID or index inmessage	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.11	83010111	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected –Classifier for another flow – MACaddr: <P1>	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.12	83010112	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected –Parameter invalid for context	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.13	83010113	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected –Major service flow error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.14	83010114	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected –Major classifier error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.15	83010115	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected –Major PHS Rule error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.16	83010116	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected –Multiple major errors	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.17	83010117	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected –Message too big	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.18	83010118	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected – HMAC Auth failure	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.2	83010102	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected – Message syntax error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.3	83010103	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected –Unspecified reason – MACaddr: <P1	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.4	83010104	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected –Unrecognized configuration setting	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.5	83010105	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected –Required parameter not present	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.6	83010106	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected – Service Flow exists	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.7	83010107	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected – Multiple errors	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.8	83010108	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Add Response rejected – Classifier exists	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S101.9	83010109	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Invalid transaction ID.	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.0	83010200	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change aborted-No RSP	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.1	83010201	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Duplicated reference-ID or index in	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.10	83010210	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Invalid parameter for context	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.11	83010211	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Major classifier error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.12	83010212	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Major PHS rule error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.13	83010213	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Multiple Major errors	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.14	83010214	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Message too big	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.15	83010215	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – HMAC Auth failure	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.2	83010202	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Message syntax error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.3	83010203	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Unspecified reason	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.4	83010204	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Unrecognized configuration setting	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.5	83010205	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Required parameter not present	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.6	83010206	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Multiple errors	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.7	83010207	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – Classifier exists	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.8	83010208	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Change Response rejected – PHS rule exists	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S102.9	83010209	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE RESPONSE	Error	Warning	Service Delete Response rejected – Invalid transaction ID	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S103.0	83010300	docsDevCmDynServRspFailNotif, docsDevCmtsDynServRspFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE ACKNOWLEDGEMENT	Error	Warning	Service Add Response rejected – Invalid Transaction ID	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S201.0	83020100	docsDevCmDynServAckFailNotif, docsDevCmtsDynServAckFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE ACKNOWLEDGEMENT	Error	Warning	Service Add Aborted – No ACK	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S201.1	83020101	docsDevCmDynServAckFailNotif, docsDevCmtsDynServAckFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE ACKNOWLEDGEMENT	Error	Warning	Service Add ACK rejected – HMAC auth failure	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S201.2	83020102	docsDevCmDynServAckFailNotif, docsDevCmtsDynServAckFailNotif

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
DYNAMIC SERVICES	DYNAMIC SERVICE ACKNOWLEDGEMENT	Error	Warning	Service Add ACK rejected- Message syntax error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S201.3	83020103	docsDevCmDynServAckFailNotif, docsDevCmtsDynServAckFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE ACKNOWLEDGEMENT	Error	Warning	Service Change ACK rejected – Invalid transaction ID	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S202.0	83020200	docsDevCmDynServAckFailNotif, docsDevCmtsDynServAckFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE ACKNOWLEDGEMENT	Error	Warning	Service Change Aborted – No ACK	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S202.1	83020201	docsDevCmDynServAckFailNotif, docsDevCmtsDynServAckFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE ACKNOWLEDGEMENT	Error	Warning	Service Change ACK rejected – HMAC Auth failure	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S202.2	83020202	docsDevCmDynServAckFailNotif, docsDevCmtsDynServAckFailNotif
DYNAMIC SERVICES	DYNAMIC SERVICE ACKNOWLEDGEMENT	Error	Warning	Service Change ACK rejected – Message syntax error	For SYSLOG only append: MAC addr: <P1> P1 = Mac Addr of CMTS (for CM) or CM (for CMTS)	S202.3	83020203	docsDevCmDynServAckFailNotif, docsDevCmtsDynServAckFailNotif
Downstream Acquisition								
Init	DOWNSTREAM ACQUISITION	Critical		SYNC Timing Synchronization failure – Failed to acquire QAM/QPSK symbol timing		T01.0	84000100	
Init	DOWNSTREAM ACQUISITION	Critical		SYNC Timing Synchronization failure – Failed to acquire FEC framing		T02.0	84000200	
Init	DOWNSTREAM ACQUISITION	Critical		SYNC Timing Synchronization failure, Acquired FEC framing – Failed to acquire MPEG2 Sync		T02.1	84000201	

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
Init	DOWNSTREAM ACQUISITION	Critical		SYNC Timing Synchronization failure – Failed to acquire MAC framing		T03.0	84000300	
Init	DOWNSTREAM ACQUISITION	Critical		SYNC Timing Synchronization failure – Failed to receive MAC SYNC frame within time-out period		T04.0	84000400	
Init	DOWNSTREAM ACQUISITION	Critical		SYNC Timing Synchronization failure – Loss of Sync		T05.0	84000500	
Upstream Acquisition								
Init	OBTAIN UPSTREAM PARAMETERS	Critical		No UCDs Received – Timeout		U01.0	85000100	
Init	OBTAIN UPSTREAM PARAMETERS	Critical		UCD invalid or channel unusable		U02.0	85000200	
Init	OBTAIN UPSTREAM PARAMETERS	Critical		UCD & SYNC valid – NO MAPS for this channel		U04.0	85000400	
Init	OBTAIN UPSTREAM PARAMETERS	Critical		US channel wide parameters not set before Burst Descriptors		U06.0	85000600	
Diagnostic Log								
Diag	LogSize	N/A	Warning	Diagnostic log size reached high threshold. Enabled detectors: <P1> Log maximum size: <P2>	P1 = (ASCII hex representation of enabled diagnostic log detectors bit mask) P2 = maximum size of the diagnostic log	V001.0	86000100	docsDiagLogSizeHighThrshldReached
Diag	LogSize	N/A	Notice	Diagnostic log size dropped to low threshold. Enabled detectors: <P1> Log maximum size: <P2>	P1 = (ASCII hex representation of enabled diagnostic log detectors bit mask) P2 = maximum size of the diagnostic log	V002.0	86000200	docsDiagLogSizeLowThrshldReached
Diag	LogSize	N/A	Warning	Diagnostic log size reached full threshold. Enabled detectors: <P1> Log maximum size: <P2>	P1 = (ASCII hex representation of enabled diagnostic log detectors bit mask) P2 = maximum size of the diagnostic log	V003.0	86000300	docsDiagLogSizeFull

Process	Sub-Process	CM Priority	CMTS Priority	Event Message	Message Notes And Details	Error Code Set	Event ID	Notification Name
IPDR								
IPDR	IPDR/SP Protocol	N/A	Notice	IPDR Connection Terminated. Collector IP:<P1> Session ID: <P2> Error Code: <P3> Error Description: <P4>	P1 = Collector IP Address P2 = Session ID P3 = Error Code P4 = Error Description	W001.0	87000100	
IPDR	IPDR/SP Redundancy	N/A	Warning	IPDR Collector Failover Error: Backup Collector IP: <P1>	P1 = Backup Collector IP	W002.0	87000200	
Multicast								
Multicast	QoS		Warning	Aggregate Session Limit defined by GC,GQC entry (<P1>) exceeded by join for (<P2>) from CM <P3>	P1 = GC ID,GQC ID P2 = S,G of the join P3 = CM MAC Addr Note: The event only records the CM MAC Addr though the Join could be from a CM or a CPE behind it.	Y101.0	89010100	
Multicast	Authorization		Notice	Multicast session <P1> not authorized for CM <P2>	P1 = S,G of the join P2 = CM MAC Addr	Y102.0	89010200	
Multicast	Authorization		Informational	Multicast Profile <P1> created for CM <P2>	P1 = Profile Name P2 = CM MAC Addr	Y103.0	89010300	
DSG Reserved Events (See [DSG] for Event Definitions)								
						Gxxxx.xx		
eDOCSIS Reserved Events (See [eDOCSIS] for Event Definitions)								
						Hxxxx.xx		
M-CMTS Reserved Events (See [M-OSSI] for Event Definitions)								
						Mxxxx.xx		

Annex E Application of MGMD-STD-MIB to DOCSIS 3.0 MGMD Devices (Normative)

E.1 MGMD MIBs

DOCSIS 3.0 defines three methods for forwarding multicast traffic [MULPI]. The first method is referred to as DSID based Multicast Forwarding. In this mode, the CMTS, not the CM, controls the forwarding of multicast traffic to CPE devices behind the CM. The second method is called GMAC Explicit Multicast Forwarding. In this mode, a DSID is used for filtering downstream packets and for some forwarding of multicast, but the CMTS also includes a GMAC address for the IP Multicast Group to allow the CM to utilize some hardware forwarding assistance. When the CM is operating in GMAC Explicit forwarding mode, the CM plays a completely passive role in the IGMP or MGMD framework and passes all membership traffic and related messages to the CMTS. The final forwarding mode is MDF Disabled. In this mode, the CM acts as it did in DOCSIS 2.0 and snoops the IGMP membership and related messages.

A CMTS that supports MGMD supports the MGMD-STD-MIB [ID MGMD] As such, this section describes the application of the IETF [ID MGMD] to MGMD devices. The tables in the MGMD-STD- MIB [ID MGMD] have been condensed to two tables, with additional MIB objects added to match the IGMP-STD-MIB defined in [RFC 2933]. The MGMD MIB will also include information about MLD (Multicast Listener Discovery) from [RFC 3019] to support IPv6.

DOCSIS 3.0 CMs are required to support only the [RFC 2933] MIB objects. The reasoning for this is that a DOCSIS 3.0 CM registered with a DOCSIS 3.0 CMTS will not play an active role in managing the IGMP traffic for CPE devices behind it. When DOCSIS 3.0 CMs are registered with Multicast DSID Forwarding disabled or are registered with a Pre-3.0 DOCSIS CMTS, the CM will only forward IGMPv2 traffic; thus the requirement for these CMs is to support the objects defined in [RFC 2933].

The MGMD-STD-MIB [ID MGMD] is organized into two distinct tables; the interface and cache tables. The MGMD Interface Table contains entries for each interface that supports MGMD on a device. This includes the NSI and HFC interfaces for the CMTS. The MGMD Cache Table contains one row for each IP Multicast Group for which there are active members on a given interface. If the CMTS is implemented as a Multicast router, active multicast group membership MAY exist on both the NSI and HFC interfaces.

Support of the MGMD-STD-MIB [ID MGMD] is presented in terms of MGMD capabilities supported by the CMTS.

E.2 CM Support of IGMP-STD-MIB [RFC 2933]

There are two types of interfaces applicable to IGMP on the DOCSIS 3.0 CM when it is registered with Multicast DSID Forwarding disabled or with a Pre-3.0 DOCSIS CMTS. These are the HFC-Side and CMCI-Side interfaces, respectively. Application of the IGMP-STD-MIB to DOCSIS 3.0 CMs is presented in terms of passive and active CM operation and these two interface types. The CM MUST implement the passive IGMP mode. Additionally, the CM MAY implement the active IGMP mode. If the CM implements the active IGMP mode, the CM MUST support a capability to switch between modes.

The following table defines the objects that are expected to be supported in the CM when operating in Active or Passive Proxy modes. Any deviation or clarification of the expected values from [RFC 2933] is noted in the sections following the table. If the requirements for a given MIB object denote per [RFC 2933], the expected values for the objects do not deviate from the expectations defined in the RFC.

Table E-1 - IGMP-STD-MIB igmpInterfaceTable Objects

MIB OBJECT	CM PASSIVE		CM ACTIVE	
	HFC	CMCI	HFC	CMCI
igmpInterfaceIfIndex	"2"	"1"	"2"	"1"
igmpInterfaceQueryInterval	R/O Always "0"	Per [RFC 2933]	R/O Always "0"	Per [RFC 2933]
igmpInterfaceStatus	Per [RFC 2933]	Per [RFC 2933]	Per [RFC 2933]	Per [RFC 2933]
igmpInterfaceVersion	"2"	"2"	"2"	"2"
igmpInterfaceQuerier	Per [RFC 2933]	Per [RFC 2933]	Per [RFC 2933]	Per [RFC 2933]
igmpInterfaceQueryMaxResponseTime	R/O Always "0"	R/O	R/O Always "0"	Per [RFC 2933]
igmpInterfaceQuerierUpTime	Per [RFC 2933]	"0"	Per [RFC 2933]	Per [RFC 2933]
igmpInterfaceQuerierExpiryTime	"0"	"0"	"0"	"0"
igmpInterfaceVersion1QuerierTimer	"0"	"0"	Per [RFC 2933]	Per [RFC 2933]
igmpInterfaceWrongVersionQueries	Per [RFC 2933]	Per [RFC 2933]	Per [RFC 2933]	Per [RFC 2933]
igmpInterfaceJoins	"0"	Per [RFC 2933]	"0"	Per [RFC 2933]
igmpInterfaceProxyIfIndex	"0"	"2"	"0"	"2"
igmpInterfaceGroups	"0"	Per [RFC 2933]	"0"	Per [RFC 2933]
igmpInterfaceRobustness	"0"	"0"	Per [RFC 2933]	Per [RFC 2933]
igmpInterfaceLastMemberQueryIntvl	R/O Always "0"	R/O See details below	R/O Always "0"	0-255 ms, default 100 ms.

E.2.1.1 igmpInterfaceQueryInterval

E.2.1.1.1 Passive Mode

CMCI-side: The value of igmpInterfaceQueryInterval of a CM in Passive Mode is the interval between queries received from an upstream Querier.

E.2.1.1.2 Active Mode

CMCI-side: The Query Interval is the interval between General Queries sent by the CMCI Querier. Default: 125 seconds

E.2.1.2 igmpInterfaceQuerier

E.2.1.2.1 Passive Mode

HFC-side: The HFC side's igmpInterfaceQuerier of a CM in Passive Mode is the address of an upstream IGMP Querier device.

CMCI-side: The CMCI side's igmpInterfaceQuerier of a CM in Passive Mode is the address of an upstream IGMP Querier device.

E.2.1.2.2 Active Mode

HFC-side: The HFC side's igmpInterfaceQuerier of a CM in Active Mode is the address of an upstream IGMP Querier.

CMCI-side: Active CMs report the CMCI Interface. However, active CMs that participate in IGMP Querier negotiation on the CMCI may report a different CPE.

E.2.1.3 igmpInterfaceQueryMaxResponseTime

E.2.1.3.1 Passive Mode

CMCI-side: This value is derived from observation of maximum query response time advertised in IGMPv2 queries received from an upstream querier.

E.2.1.3.2 Active Mode

CMCI-side: The maximum query response time advertised in IGMPv2 queries on this interface.

E.2.1.4 igmpInterfaceQuerierExpiryTime

E.2.1.4.1 Passive Mode

CMCI-side: In Passive Proxy mode, the CM will return a 0 for this object.

E.2.1.4.2 Active Mode

CMCI-side: In Active mode, the CM is an active querier for the CMCI attached networks. As such, the value of this object is 0.

E.2.1.5 igmpInterfaceJoins

E.2.1.5.1 All Modes

CMCI-side: The CM counts all unsolicited membership reports for the CMCI interface only.

E.2.1.6 igmpInterfaceGroups

E.2.1.6.1 All Modes

CMCI-side: This counter contains the number of entries in the Cache table for this interface.

E.2.1.7 igmpInterfaceLastMembQueryIntvl

E.2.1.7.1 Passive Mode

CMCI-side: This read-only value is derived from Group-Specific Queries sent in response to Leave Group messages received from an upstream querier.

E.2.1.7.2 Active Mode

CMCI-side: The Last Member Query Interval is the Max Response Time inserted into Group-Specific Queries sent in response to Leave Group messages, and is also the amount of time between Group-Specific Query messages. This value may be tuned to modify the "leave latency" of the network. A reduced value results in reduced time to detect the loss of the last member of a group. Valid entries for this object range between 0 and 255 ms with a default value of 100.

E.2.2 igmpCacheTable

The following table defines the objects that are expected to be supported in the CM when operating in Active or Passive Proxy modes. Any deviation or clarification of the expected values from [RFC 2933] is noted in the sections

following the table. If the requirements for a given MIB object denote Per [RFC 2933], the expected values for the objects do not deviate from the expectations defined in the RFC.

Table E-2 - IGMP-STD-MIB igmpCacheTable Objects

MIB OBJECT	CM PASSIVE		CM ACTIVE	
	HFC	CMCI	HFC	CMCI
igmpCacheAddress	N/A	Per [RFC 2933]	N/A	Per [RFC 2933]
igmpCacheIfIndex	N/A	"1"	N/A	"1"
igmpCacheSelf	N/A	R/O Always FALSE	N/A	See Below
igmpCacheLastReporter	N/A	Per [RFC 2933]	N/A	Per [RFC 2933]
igmpCacheUpTime	N/A	Per [RFC 2933]	N/A	Per [RFC 2933]
igmpCacheExpiryTime	N/A	Per [RFC 2933]	N/A	Per [RFC 2933]
igmpCacheStatus	N/A	Per [RFC 2933]	N/A	Per [RFC 2933]
igmpCacheVersion1HostTimer	N/A	"0"	N/A	Per [RFC 2933]

E.2.2.1 igmpCacheAddress

E.2.2.1.1 All Modes

CMCI-side: This object reflects the address of the active IP Multicast Group on the CMCI interface.

E.2.2.2 igmpCacheSelf

E.2.2.2.1 Passive Mode

CMCI-side: The CM's igmpCacheSelf is always set to false in passive mode.

E.2.2.2.2 Active Mode

CMCI-side: Implementation specific. If the CM is configured to be a member of the group, then membership reports are sent with the IP Address of the CM but only be sent in proxy for active sessions on the CMCI (e.g., the CM should not be a member of a multicast group that is not active on the CMCI). If the CM is not configured to be a member, then the source IP Address of membership reports should be set to the current value of the igmpCacheLastReporter address.

E.3 CMTS Support of MGMD-STD-MIB [ID MGMD][70]

The CMTS MUST support the mgmdRouterInterfaceTable and the mgmdRouterCacheTable from the MGMD-STD-MIB [ID MGMD] on the NSI interface(s) where IP multicast is supported.

The CMTS MUST support the mgmdRouterInterfaceTable and the mgmdRouterCacheTable from the MGMD-STD-MIB [ID MGMD] within each MAC Domain where IP multicast is forwarded.

[70] revised per OSSIv3.0-N-07.0498-1 by ab on 7/17/07.

Annex F Protocol Filtering (Normative)

DOCSIS 3.0 supports two IP protocol filtering methods consisting of the legacy IP filtering mechanism specified in[RFC 4639] and Upstream Drop Classifiers (UDCs) which are an outgrowth of the QoS classification mechanism. IP filtering continues to operate in DOCSIS 3.0 as it has in previous versions of the specification, though the minimum number of filtering rules has been increased from sixteen (16) to sixty-four (64). IP filters are limited to support of IPv4 protocols, while UDCs can be used for IPv4, IPv6 and LLC in a common framework with QoS classification. UDCs and IP filters are mutually exclusive modes and only one filtering method is permitted to operate at a time.

UDCs are modeled on the existing QoS Classifiers that were introduced in DOCSIS 1.1. UDCs apply only to the CM, the RF interface and only in the upstream direction of flow. The use of UDCs facilitates delegation of upstream protocol filtering at the CM through parameters in the configuration file that can be controlled by the CMTS. Any packet classified by the Upstream Drop Classifier rule is discarded, conceptually similarly to directing an IP route to "null 0" or output to /dev/null in a UNIX system.

As with IP filters, UDC rules may be configured through the CM configuration file statically, assigned dynamically from the CMTS through a Group ID reference in the CM configuration file, dynamically added, changed or deleted after registration through a DSC (Dynamic Service Change) MAC management message from the CMTS, or both the static and dynamic configuration methods may be used together. The CMTS alone provides the downstream protocol filtering and can further reinforce the upstream classification policy through Subscriber Management traffic filtering functionality.

Among the specific requirements for classification at the CM, the CM is required to perform protocol filtering from the host CPE(s) to the RF interface when UDCs are enabled, or protocol filtering from any interface to or from the RF interface when IP filtering is enabled. All ICMP (ICMPv4 and ICMPv6) and IP packets will be forwarded from the CMCI interface to the RFI upstream interface based on rules outlined in the Upstream Drop Classifiers section of [MULPI], unless they are specifically required to be discarded according to applied protocol filtering or classification rules.

It is recommended that to avoid unexpected behavior, consumption of excess local resources and oversized configuration files, the configuration of Upstream Drop Classifiers not be configured simultaneously with the legacy IP filters. It should also be noted that when a DOCSIS 3.0 CM registers with a DOCSIS 3.0 CMTS when UDCs are enabled, only the UDC parameters will be utilized by the CM. When a DOCSIS 3.0 CM registers with a pre-DOCSIS 3.0 CMTS, or a pre-DOCSIS 3.0 CM is registered with a DOCSIS 3.0 CMTS, only the IP filters configured in the CM configuration file are used.

F.1 Filtering Mechanisms

The legacy DOCSIS filters are subdivided into two (2) filtering layers (LLC and IP) at the CM. The two legacy classification/filtering layers at the CM are docsDevFilterIpTable and docsDevFilterLlcTable. Classifiers cover both the LLC and IP criteria, matching much of the functionality of the legacy filtering mechanisms. However, classifier LLC criteria are complimentary and not designed to fully displace the legacy LLC filtering mechanism. See Section F.1.4.1 for comparisons and other considerations.

F.1.1 LLC Filters

The CM MUST apply LLC filters (from [RFC 4639]), to layer-2 frames entering from any interface. The CM MUST NOT apply LLC filters from docsDevFilterLlcTable (ie, ARP requests, SNMP responses) for traffic sourced from the CM. The CM MUST support a minimum of 10 LLC protocol filter entries in the docsDevFilterLlcTable.

F.1.2 Special filters[71]

Special filters include IP spoofing filters, inter-eSAFE and eSAFE to CPE communications and SNMP access filters such as SNMPv1/v2c NmAccess mode (see Section 8.5.4.2) and SNMP CPE Access Control (see Section 8.5.4.9).

F.1.2.1 IP Spoofing Filters

DOCSIS 3.0 CMs MAY implement an IP spoofing filter as specified in [RFC 4639]. IP spoofing filters MUST only be applied to packets entering the CM from CMCI interface(s). If a CM supports the IP spoofing filter functionality specified in [RFC 4639], the CM MUST adhere to the following requirements:

- Implement all MIB objects in the docsDevCpeGroup
- The default value of docsDevCpeIpMax = -1

F.1.2.2 Additional requirement on dot1dTpFdbTable [RFC 4188]

CM CPE MAC addresses learned via the CM configuration file MUST set the dot1dTpFdbStatus to "mgmt". It is assumed that the number of "mgmt"-configured CM CPE MAC addresses is less than, or equal to, the TLV type-18 value (Maximum Number of CPE).

F.1.2.3 SNMP Access Filter

The SNMP access filters MUST be applied to SNMP packets entering from any interface and destined for the CM. SNMP access filters MUST be applied after IP spoofing filters for the packets entering the CM from the CMCI interface. Since SNMP access filter function is controlled by docsDevNmAccessTable, SNMP access filter is available and applies only when the CM is in SNMP v1/v2c NmAccess mode.

When the CM is running in SNMP Coexistence mode, SNMP access MUST be controlled and specified by the MIB Objects in [RFC 3411] through [RFC 3415], and [RFC 3584].

F.1.2.3.1 docsDevNmAccessIP and docsDevNmAccessIpMask[72]

A CM that implements docsDevNmAccessTable MUST apply the following rules in order to determine whether to permit SNMP access from a given source IP address (SrcIpAddr):

1. If (docsDevNmAccessIp == "255.255.255.255"), the CM MUST permit the access from any SrcIpAddr.
2. If ((docsDevNmAccessIp AND docsDevNmAccessIpMask) == (SrcIpAddr AND docsDevNmAccessIpMask)), the CM MUST permit the access from SrcIpAddr.
3. If neither #1 nor #2 is applied, the CM MUST NOT permit the access from SrcIpAddr.

The CM's default value of the docsDevNmAccessIpMask MUST be set to "0.0.0.0".

The following table contains sample MIB values and the access granted by those values.

Table F-1 - Sample docsDevNmAccessIp Values

docsDevNmAccessIp	docsDevNmAccessIpMask	Access
255.255.255.255	Any IP Address Mask	Any NMS
Any IP Address	0.0.0.0	Any NMS
Any IP Address except 255.255.255.255	255.255.255.255	Single NMS
0.0.0.0	255.255.255.255	No NMS (disables all access)

[71] revised per OSSIv3.0-N-07.0400-1 by ab on 5/4/07.
[72] revised per OSSIv3.0-N-07.0477-2 by ab on 7/10/07.

If the CMTS implements docsDevNmAccessTable, the same rules as stated above for the CM are followed.

F.1.3 IP Protocol Filtering[73]

The CM MUST support the SNMP table docsDevFilterIpTable for all interfaces. The CM MUST support a minimum of 64 IP filter rules.

If the CMTS enables Upstream Drop Classifiers during registration (see Upstream Drop Classifiers section of [MULPI]), the CM MUST make the docsDevFilterIpTable inaccessible and report an error 'noSuchName' for SNMPv1 PDU requests or 'inconsistentName' error for SNMPv2 PDU requests.

The objects docsDevFilterIpSourcePortLow, docsDevFilterIpSourcePortHigh, docsDevFilterIpDestPortLow, and docsDevFilterIPDestPortHigh within the CM MUST be applied to TCP or UDP packets, as opposed to applying only when docsDevFilterIpProtocol is set to udp(17) or tcp(6) as specified in [RFC 4639]. Thus, if a packet is TCP or UDP, these MIB objects represent the inclusive lower and upper bounds of the transport-layer source and destination port ranges that are to be matched; otherwise, they are ignored during matching.

To match TCP and UDP packets only, it is recommended to create two filter entries in the docsDevFilterIpTable, one with docsDevFilterIpProtocol set to tcp(6) and one set to udp(17), each with the appropriate docsDevFilterIp*Port* values. Creating a single entry with docsDevFilterIpProtocol set to "any" (using value 256, all 255 IP protocols are affected) and appropriate docsDevFilterIp*Port* values may not lead to the desired behavior as such entry could also match any non-TCP and non-UDP packets.

F.1.4 Protocol Classification through Upstream Drop Classifiers[74]

The Upstream Drop Classifier (UDC) is a structural convention re-using the definition of upstream classifiers from [MULPI]. A unique top-level TLV (Upstream Drop Packet Classification Encoding, TLV 60) defines UDCs and distinguishes this type of classifier from the QoS classifier type (Upstream Packet Classification Encoding, TLV 22). UDCs are used to discard a packet matched to the classifier rule criteria. See the Upstream Drop Packet Classification Encoding section in the Common Radio Frequency Interface Encodings Annex of [MULPI] for more details.

UDCs are not assigned service flows by the CMTS in the manner that QoS classifiers are, the packet discard function is implicit whenever the top-level TLV (TLV 60) is used. Care needs to be taken to avoid conflicts in the configuration and management of rule order priority due to the use of a common priority numbering space that is shared between QoS and Upstream Drop Classifiers.

The classifier TLVs, 22 and 60, are used to construct a hierarchy of static and/or dynamic rules by priority rule order to classify against L2 (MAC addresses, VLAN tags, Cable Modem Interface Mask (CMIM), etc), L3 (source/destination IP address or prefix) or L4 criteria (TCP, UDP and other IP protocol types). Classifier rules (UDCs) may be configured on the CM dynamically using the DSC MAC Management Message (MMM).

Further requirements for UDCs as they pertain to the CM are specified in the Upstream Drop Classifiers section of [MULPI]. For more information regarding D3.0 CMTS requirements with regards to capability signalling in the MDD MAC Management Message, refer to the CMTS Upstream Drop Classifier Capability section of [MULPI]. For more information regarding D3.0 CM requirements with regards to the capability signalling in the REG-REQ or REG-REQ-MP MAC Management Message, refer to the Upstream Drop Classifiers section of [MULPI].

The CM MUST support a minimum of 64 UDC rules.

[73] revised per OSSIv3.0-N-07.0385-1 by ab on 5/3/07, and per OSSIv3.0-N-07.0477-2 by ab on 7/12/07, and per OSSIv3.0-N-07.0510-1 by ab on 10/11/07.
[74] revised per OSSIv3.0-N-07.0385-1 by ab on 5/3/07.

The following section is informational regarding similarities in criteria for IP classification between IP filters and UDC classifiers at the CM.

F.1.4.1 Comparison of UDCs to IP Filters

The similarities and differences between UDCs and IP Filtering (docsDevIPFilterTable) are as follows:

F.1.4.1.1 IP Version and Protocol Type Support

- IP filters support only IPv4 protocols with support for rules for UDP, TCP (but not both) or all IP protocols.
- UDCs support IPv6, IPv4 and can have rules for TCP, UDP, both TCP and UDP, or all IP protocols.

F.1.4.1.2 Purposes for IP Filtering

- IP filters provide limited protection to other customer's CPE within the local IP subnet (IPv4) by virtue of discarding undesireable or disruptive traffic generated by CPE connected to the CM.
- UDCs provide limited protection to other customer's CPE within the local IP subnet and/or prefix (IPv4/IPv6) by virtue of discarding undesirable or disruptive traffic generated by CPE connected to the CM.

F.1.4.1.3 Direction of Filtering/Classification

- IP filters can be configured to operate bi-directionally and are associated with an ifIndex to apply to any interface.
- UDCs primarily protect the operator's network from untrusted customer CPEs and play no role in the downstream (inbound) direction and apply only to the RF interface.
- The CMIM provides the UDC with the ability to filter against specific CPE in the direction of flow to the RF interface.
- Conditional CPE to eSAFE or eSAFE to eSAFE protocol filtering is performed by special filters in either scenario, which is separate and distinct from either IP filters or UDCs.

F.1.4.1.4 Filtering of traffic from the CM

- IP filters do not filter traffic generated from or destined to the CM host stack.
- UDC filters classify traffic generated from the CM host stack, with UDCs behaving like QoS classifiers in this regards as defined in the Service Flows and Classifiers section of [MULPI].

F.1.4.1.5 Other Features Unique to UDCs

- All L2 LLC/MAC criteria.
- Subscriber Management (delegation) and PCMM Integration (automation and dynamic operation).

F.1.4.2 Comparison of QoS and Upstream Drop Classifiers

The primary difference between QoS classifiers and Upstream Drop Classifiers is that QoS classifiers use a reference to a Service Flow with the Service Flow ID actually assigned by the CMTS, while the UDCs are not associated with any service flow at all. UDCs utilize a new top level TLV, TLV 60, which duplicates parameters from TLV 22 (upstream QoS settings) to identify that the classifier is a UDC. The use of these parameters within TLV 60 establishes that all packets matched to the classifier rule will be immediately dropped without further processing or queuing. As a result of this design, the CMTS does not track UDCs and holds no state information as to their operation, which differs significantly from the requirements for a QoS classifier and its associated service flow.

Dynamic reconfiguration of UDC rules is accomplished by the DSC (Dynamic Service Change) MAC Management Message from the CMTS. There is no SNMP writable object within the docsIetfQoSPktClassTable and thus only a

MMM message such as DSC can change variables in the table. The method by which a CMTS receives commands to send a DSC message to a given CM is outside of the scope of this specification.

F.1.4.3 Upstream Drop Classifiers[75]

The Upstream Drop Classifier configuration structure is strictly designed to discard packets before they reach the output queue of the RFI interface and does not require attributes such as PHS or QoS. Upstream Drop Classifiers have a many-to-one relationship between UDC rules and the packet discard function. UDCs operate only within the local context of the CM. Any packet matched by a classifier rule is immediately discarded.

The CM will ignore UDC parameters which are incompatible with the packet discard function when they are configured in the CM configuration file.

F.1.4.4 IP Classification Rule Order Priority[76]

QoS rule priority generally supersedes drop rules, though this is a configuration decision and not dictated in these specifications. For example, during a viral outbreak or DoS attack, it may be preferable to apply drop rules with higher priority relative to QoS rules to more efficiently drop packets that match those associated with a virus, worm or DoS attack.

For the purposes of classifying IP protocols, the following objects listed in Table F-2 (second column) are encoded within TLV 60 and shown in comparison with [RFC 2669] (first column in Table F-2) to construct L3/L4 rule criteria to enforce the operator's security policy.

Table F-2 - Mapping of docsDevFilteripTable [RFC 2669] to UDCs for Layer 3 & 4 Criteria

IP Filters [RFC 2669]	UDC TLV 60 encodings	Description
docsDevFilterIpIndex	Id	Rule index
docsDevFilterIpControl	- no equivalent	discard, accept, policy(*1)
docsDevFilterIpIfIndex	CMIM	CM interface(s)(*2)
docsDevFilterIpDirection	- no equivalent	inbound, outbound, both(*3)
docsDevFilterIpBroadcast	- no equivalent	Broadcast and multicast or all packets
- no equivalent	Rule priority	Directs order of processing
docsDevFilterIpStatus	- no equivalent	Activation state(*4)
docsDevFilterIpProtocol	IpProtocol	IP transport type, e.g., TCP, UDP
- no equivalent	FlowLabel	IPv6 flow label
docsDevFilterIpSaddr	IpSourceAddr	IP source address/prefix
docsDevFilterIpSmask	IpSourceMask	IP source mask/prefix length
docsDevFilterIpDaddr	IpDestAddr	IP dest. Address/prefix
docsDevFilterIpDmask	IpDestMask	IP dest. mask/prefix length
docsDevFilterIpTos	IpTosLow	Legacy type of service range low
	IpTosHigh	Legacy type of service range high
docsDevFilterIpTosMask	IpTosMask	Legacy type of service mask
docsDevFilterIpSourcePortLow	SourcePortStart	TCP/UDP source port range start
docsDevFilterIpSourcePortHigh	SourcePortEnd	TCP/UDP source port range end
docsDevFilterIpDestPortLow	DestPortStart	TCP/UDP source port range start
docsDevFilterIpDestPortHigh	DestPortEnd	TCP/UDP source port range end
docsDevFilterIpContinue	- no equivalent	Continue comparing rules on matches(*5)
docsDevFilterIpPolicyId	- no equivalent	Extensions for other criterion

NOTES:
(*1) UDCs only perform discard actions.
(*2) CMIM allows for multiple interfaces per rule, while [RFC 2669] aggregates only CPE interface.
(*3) UDCs only perform upstream filtering.
(*4) UDCs are always active. The SNMP docsDevFilterIpTable table provides RowStatus for controlling the activation state of IP filters.
(*5) UDCs do not continue performing packet comparisons after a match.

[75] revised per OSSIv3.0-N-07.0497-2 by ab on 7/17/07.
[76] revised per OSSIv3.0-N-07.0480-3 by ab on 7/12/07, and per OSSIv3.0-N-07.0497-2 by ab on 7/17/07.

The SNMP table docsQosPktClassTable from DOCS- QOS3-MIB of Annex Q is used for reporting of both QoS Classifiers and Drop Classifiers at the CM. The docsQosPktClassPkts object within docsQosPktClassTable is used to count packet matches to each classifier rule.[77]

F.1.4.5 LLC/MAC Classification through UDCs[78]

L2 criteria such as MAC address source and destination, header type, 802.1p/q VLAN tag or user_priority and Cable Modem Interface Mask (CMIM) may be classified and discarded as deemed necessary by the operator. This capability is an augmentation of the LLC filtering, though unlike UDC IP filtering, is not designed to fully replace legacy LLC filtering. The legacy LLC filtering takes place at an earlier stage than the QoS engine that also controls UDCs and is typically very efficient, if somewhat limited in rule entries (typically no more than ten LLC rules). If the number of LLC rules required exceeds ten, or otherwise might benefit from dynamic operation via a Policy Server based PCMM framework, UDCs need to be considered.

For the purposes of classifying MAC protocols, the following variables listed in Table F-3 (second column) are encoded within TLV 60 and shown in comparison with [RFC 2669] (first column in Table F-2). The variables described here are used to construct L2 rule criteria to enforce the operator's security policy. Note that this LLC filtering criteria does not rule out the LLC filters from [RFC 2669], but compliments LLC filtering to include other criterion such as VLAN ID and user priority.

Table F-3 - Upstream Drop Classification Values for LLC/MAC Classification

LLC Filters [RFC 2669]	UDC TLV 60 encodings	Description
docsDevFilterLLCIndex	Id	Rule index
docsDevFilterLLCIfIndex	CMIM	CM interface
- no equivalent	Rule priority	Directs order of processing
docsDevFilterLLCStatus	- no equivalent	Activation state
- no equivalent	SourceMacAddr	Source MAC address
- no equivalent	DestMacAddr	Destination MAC address
docsDevFilterLLCProtocolType	EnetProtocolType	Ethernet protocol type
docsDevFilterLLCProtocol	EnetProtocol	Ethernet protocol
- no equivalent	802.1p User priority low	Ethernet user priority range low
- no equivalent	802.1p User priority high	Ethernet user priority range high
- no equivalent	VLAN ID	12 bit Ethernet VLAN ID

The SNMP table docsQosPktClassTable from DOCS- QOS3-MIB is used for reporting of both QoS Classifiers and Drop Classifiers at the CM. The docsQosPktClassPkts object within docsQosPktClassTable is used to count packet matches to each classifier rule.

F.1.4.6 Example of IP Protocol Filtering and Upstream Drop Classification

Each classifier rule will have a unique priority level that will instruct the CM as to the order in which those rules are compared against a given packet. The IP protocol filtering takes place in a specific table within the CM. The two modes are mutually exclusive and should not be configured concurrently.

While UDC classification and IP protocol filtering techniques cannot be used concurrently, QoS classifiers can and do co-exist with IP Protocol Filtering parameters. The processing of packets through IP filters or classifiers proceeds as follows:

- A packet enters the CMCI interface from the CPE destined for the RFI interface

[77] Revised per OSSIv3.0-N-07.0442-3 on 5/9/07 by PO.
[78] revised per OSSIv3.0-N-07.0480-3 by ab on 7/16/07.

- LLC packet processing occurs in the LLC filter table if parameters are specified against L2 criteria (MAC address, 802.1p/Q VLAN ID or user_priority flags, etc.)
- The packet's IP contents are compared against EITHER the parameters in the IP Filter Table or QoS Classifier Table;
  - if IP Filters then;
    - each packet is compared with each of the rules in the IP filter table by index entry order (lowest to highest) until a match occurs and the packet is dropped
    - if there is no match against the configured IP filter rules, the packet is then passed to the QoS engine to be processed by any QoS classification rules and the packet assigned to a service flow
  - if UDCs then;
  - each packet is compared with the rules in the QoS classification table by rule priority order (from highest priority to lowest in the range of 0-255)
    - The first classifier rule match against a packet directs the packet to the appropriate service flow or the packet is immediately discarded based on the type of Classifier
      - a packet matching an Upstream Drop Classifier (TLV type-60) rule will be discarded without queuing or further processing
      - a packet matching a QoS classifier rule will be directed to a service flow ID assigned by the CMTS and the packet queued for the next upstream burst opportunity
    - a packet which does not match any of the static or dynamic classifier rules for either QoS or Upstream Drop Classifiers is directed to the primary service flow and the packet queued for the next upstream burst opportunity

F.2 Subscriber Management and CM Policy Delegation

The Subscriber Management capabilities of the CMTS may be leveraged to control groups of CMs for the upstream and downstream direction of flow independently. Through configuration of group labels in the CM's configuration profile, a given CM's upstream and downstream filtering can be enforced directly at the CMTS, or delegated (in the case of the upstream direction only) to the CM.

Annex G DIAGNOSTIC LOG (Normative)

G.1 Overview

The Diagnostic Log allows operators to diagnose and troubleshoot potential problems with Cable Modems (CMs), CMTS cable interfaces, or the cable plant by detecting and tracking CMs that have intermittent connectivity problems or unstable operations including:

- CM repeated registration
- Station Maintenance retry

Only detected CMs are reported in the Diagnostic Log for further analysis. Diagnostic Log entries are aged out based on the configuration of the specific aging attributes.

G.2 Object Definitions

This section describes the object definitions for the Diagnostic Log object model.

The DOCSIS Diagnostic Log object model is depicted in Figure G-1. This diagram graphically presents the individual DOCSIS Diagnostic Log objects and their attributes. The DOCSIS Diagnostic Log MIB and the DOCSIS Diagnostic Log IPDR Service Definition schema, are derived from the object model.

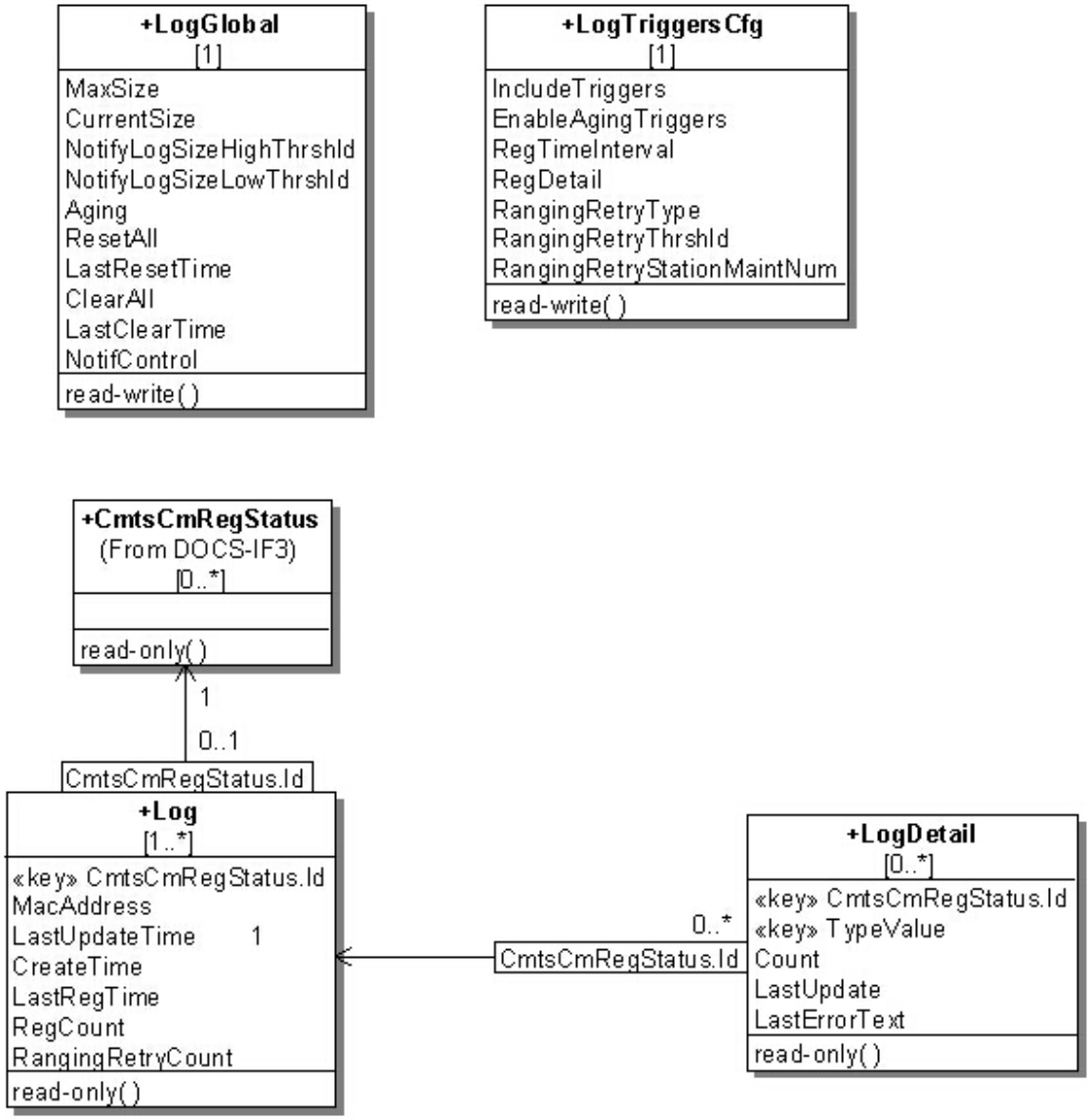


Figure G-1 - Diagnostic Log Object Model Diagram

G.2.1 Type Definitions

This section defines data types used in the object definitions for the Diagnostic Log object model.

Table G-1 - Data Type Definitions

Data Type Name	Base Type	Permitted Values
TriggerFlag	EnumBits	registration(0) rangingRetry(1)
RegistrationDetailFlag	EnumBits	other(0) initialRanging(1) rangingAutoAdjComplete(2) startEae(3) startDhcpv4(4) startDhcpv6(5) dhcpv4Complete(6) dhcpv6Complete(7) table g startConfigFileDownload(8) configFileDownloadComplete(9) startRegistration(10) registrationComplete(11) bpiInit(12) operational(13)

G.2.1.1 TriggerFlag

This data type defines the union of Diagnostic Log trigger types. Bit 0 represents the registration trigger, Bit 1 represents the ranging retry trigger.

G.2.1.2 RegistrationDetailFlag[79]

This data type defines an enumerated union of CM states used for the registration trigger detection.

The named bits associated with this type correspond to a subset of the names for the enumerations in CmtsCmRegState data type.

G.2.2 LogGlobal Object

This object defines the parameters to manage and control the instantiation of CMs in the Diagnostic Log object.

The CMTS MUST persist the values of the attributes of the LogGlobal object across reinitializations.

Table G-2 - LogGlobal Object

Attribute Name	Type	Access	Type Constraints	Units	Default
MaxSize	unsignedInt	read-write	1..4294967295	instances	100
CurrentSize	Gauge32	read-only	0..4294967295	instances	N/A
NotifyLogSizeHighThrshld	unsignedInt	read-write	1..4294967295	instances	80
NotifyLogSizeLowThrshld	unsignedInt	read-write	1..4294967295	instances	60
Aging	unsignedInt	read-write	15..86400	minutes	10080
ResetAll	boolean	read-write		N/A	N/A
LastResetTime	dateTime	read-only		N/A	N/A
ClearAll	boolean	read-write		N/A	N/A
LastClearTime	dateTime	read-only		N/A	N/A
NotifCtrl	EnumBits	read-write	highThresholdReached(0) lowThresholdReached(1) full(2)	N/A	"H

G.2.2.1 MaxSize

This attribute indicates the maximum number of CM instances that can be reported in the Log.

[79] This section revised per OSSIv3.0-N-07.0410-4 #21 on 5/8/07 by KN.

G.2.2.2 CurrentSize

This attribute indicates the number of CM instances currently reported in the Log. It will not exceed MaxSize.

G.2.2.3 NotifyLogSizeHighThrshld[80]

This attribute is the Log high threshold value. When the number of instances in the Log exceeds this value, the CMTS will trigger a HighThreshold event.

G.2.2.4 NotifyLogSizeLowThrshld[81]

This attribute is the Log low threshold value. When the number of instances in Log drops to this value, the CMTS will trigger a LowThreshold event, but only if the Log number of instances previously exceeded the NotifyLogSizeHighThrshld value.

G.2.2.5 Aging

This attribute defines a period of time after which an instance in the Log and its corresponding LogDetail instance (if present) are removed unless the Log instance is updated by an enabled trigger detection process.

G.2.2.6 ResetAll

This attribute, when set to 'true', causes all counter attributes for all instances in Log and LogDetail to be reset to zero. When read, this attribute always returns 'false'.

G.2.2.7 LastResetTime

This attribute returns the date and time that all the counters in the Log, LogDetail and all the trigger related objects were reset to 0 due to the ResetAll attribute being set to 'true'. The special value of all '00'Hs indicates that the entries in the Log have never been reset.

G.2.2.8 ClearAll

This attribute, when set to 'true', removes all instances from the Log and LogDetail. When read, this attribute always returns 'false'.

G.2.2.9 LastClearTime

This attribute returns the date and time that all the instances in the Log and LogDetail, and all trigger-related objects were removed due to the ClearAll attribute being set to 'true'. The special value of all '00'Hs indicates that the entries in the Log have never been destroyed.

G.2.2.10 NotifCtrl

This attribute is used to enable diagnostic log related notifications. Setting bit 0 enables notification for reaching log size high threshold. Setting bit 1 enables notification for returning back to log size low threshold after reaching log size high threshold. Setting bit 2 enables notification for Diagnostic Log size full.

G.2.3 LogTriggersCfg Object

This object defines the parameters to configure the Diagnostic Log triggers. One or more triggers can be configured to define the actions of creating or updating CM entries into the Diagnostic Log.

[80] revised per OSSIv3.0-N-07.0550-2 by ab on 11/9/07.
[81] revised per OSSIv3.0-N-07.0550-2 by ab on 11/9/07.

The CMTS MUST persist the values of the attributes of the LogTriggersCfg object across reinitializations.

Table G-3 - LogTriggersCfg Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IncludeTriggers	TriggerFlag	read-write		N/A	'C0'H
EnableAgingTriggers	TriggerFlag	read-write		N/A	''H
RegTimeInterval	unsignedInt	read-write	60..86400	seconds	90
RegDetail	RegistrationDetailFlag	read-write		N/A	''H
RangingRetryType	Enum	read-write	consecutiveMiss(1) missRatio(2)	N/A	1
RangingRetryThrhld	unsignedByte	read-write	3..12	N/A	6
RangingRetryStationMaintNum	unsignedShort	read-write	60..65535	N/A	90

G.2.3.1 IncludeTriggers

This attribute turns individual diagnostic triggers on and off at a given time when each trigger is set to '1' or '0' respectively.

G.2.3.2 EnableAgingTriggers

This attribute enables and disables the aging of individual triggers at a given time when each trigger is set to '1' or '0' respectively. If a log entry is added by multiple triggers, and aging is disabled for one of those triggers, the CMTS MUST NOT age out such entry.

G.2.3.3 RegTimeInterval

This attribute is an operator empirically derived, worst-case number of seconds which the CM requires to complete registration. If the CM has not completed the registration stage within this registration time interval, the CM will be added to the Diagnostic Log.

G.2.3.4 RegDetail

This attribute provides for setting a bit representing a CM registration state to enable counting the number of times the CMTS determines that such CM reaches that state as the last state before failing to proceed further in the registration process and within the time interval considered for the CM registration trigger detection.

G.2.3.5 RangingRetryType

This attribute selects the type of ranging retry trigger to be enable in the Diagnostic Log. A CM failure to perform ranging when a ranging opportunity is scheduled by the CMTS is counted as ranging miss. The ranging retry trigger can be configured to either look at consecutive ranging misses or ranging miss ratio over total number of station maintenance opportunities for a certain time period. Setting this object to 'consecutiveMiss' will select consecutive ranging misses as ranging retry trigger criteria. Setting this object to 'missRatio' will select ranging miss ratio as ranging retry criteria.

G.2.3.6 RangingRetryThrshld

This attribute indicates the maximum number of consecutive intervals in which the CMTS does not detect a CM acknowledgement of a MAC-layer station maintenance message before the CM is added to the Diagnostic Log. The value of RangingRetryType decides if consecutive ranging miss or ranging miss ratio is used as trigger.

G.2.3.7 RangingRetryStationMaintNum

This attribute indicates the number of station maintenance opportunities to monitor for the ranging retry trigger. This value implies time intervals in a certain range. DOCSIS specifies that the CMTS schedules ranging opportunities to

CMs be sufficiently smaller than T4. There is no fixed formula to derive at a fixed time interval, that is, how many ranging opportunities may be offered to a CM by the CMTS; hence, using the number of station maintenance opportunities provides a ratio with the fixed denominators, while also taking the time factor into consideration.

G.2.4 Log Object

This object represents the diagnostic information for a CM. An instance of this object represents a single CM summary of the diagnostic information detected by one or more triggers. When the CM object instance already exists and a trigger occurs, the LastUpdateTime and corresponding counter attributes are updated for that CM.

Table G-4 - Log Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	key	1..4294967295	N/A	N/A
CmMacAddr	MacAddress	read-only		N/A	N/A
LastUpdateTime	dateTime	read-only		N/A	N/A
CreateTime	dateTime	read-only		N/A	N/A
LastRegTime	dateTime	read-only		N/A	N/A
RegCount	Counter32	read-only		flaps	N/A
RangingRetryCount	Counter32	read-only		retries	N/A

G.2.4.1 Id

This attribute contains an instance of the CmtsCmRegStatusId (Annex N).

G.2.4.2 CmMacAddr

This attribute is the MAC address of the CM.

G.2.4.3 LastUpdateTime

This attribute is the date and time value that indicates when this instance was last updated.

G.2.4.4 CreateTime

This attribute is the date and time value that indicates when this instance was created. When a CM is detected by one of the diagnostic triggers, a new instance will be created provided that there is not already an instance for that CM. If an instance is removed and then re-created, there may be a discontinuity in the statistical objects associated with the instance. This timestamp can be used to detect those discontinuities.

G.2.4.5 LastRegTime

This attribute indicates the last date and time the CM registered.

G.2.4.6 RegCount

This attribute counts the number of times the registration trigger condition was detected for the CM.

G.2.4.7 RangingRetryCount

This attribute counts the number of times the ranging retry trigger condition was detected for the CM.

G.2.5 LogDetail Object

This object represents the detailed diagnostic information for a CM. There may be multiple instances for a given CM if more than one state from DetailType is enabled.

This object extends the Log object.

Table G-5 - LogDetail Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	key	1..4294967295	N/A	N/A
TypeValue	CmtsCmRegState	key		N/A	N/A
Count	Counter32	read-only		last state	N/A
LastUpdate	dateTime	read-only		N/A	N/A
LastErrorText	AdminString	read-only		N/A	N/A

G.2.5.1 Id

This attribute contains an instance of the Id attribute from the Log object.

G.2.5.2 TypeValue

This attribute indicates the detail type this instance is tracking and logging information for a particular CM. For the registration trigger, this list indicates the CM registration state prior to the trigger occurrence. There are no enumerated values for the ranging retry trigger.

G.2.5.3 Count

This attribute counts the number of times a particular state or process is detected by a trigger to be the last state or process before it failed to proceed further within the threshold values of that trigger.

G.2.5.4 LastUpdate

This attribute indicates the date and time when this instance was last updated.

G.2.5.5 LastErrorText

This attribute indicates the Event ID and Event Text (DOCSIS-defined or vendor-specific) of the event condition that triggered the update of the LogDetail object for the TypeValue this instance represents.

The CMTS MAY leave the Event ID empty if the Event ID is not defined.

The format to represent the error text is <Event ID> Event Text

Examples:

<2500001> Failure during state X

<> Unspecified

References: Annex D.

Annex H Requirements for DOCS-IFEXT2-MIB (Normative)

```
DOCS-IFEXT2-MIB DEFINITIONS ::= BEGIN
IMPORTS
    MODULE-IDENTITY,
    OBJECT-TYPE,
    Unsigned32,
    Gauge32
        FROM SNMPv2-SMI
    TruthValue
        FROM SNMPv2-TC
    OBJECT-GROUP,
    MODULE-COMPLIANCE
        FROM SNMPv2-CONF
    ifIndex
        FROM IF-MIB
    docsIfCmtsCmStatusIndex,
    TenthdBmV,
    TenthdB
        FROM DOCS-IF-MIB
    clabProjDocsis
        FROM CLAB-DEF-MIB;


docsIfExt2Mib MODULE-IDENTITY
    LAST-UPDATED    "200411101700Z" -- November 10, 2004
    ORGANIZATION    "Cable Television Laboratories, Inc"
    CONTACT-INFO
         "
          Postal: Cable Television Laboratories, Inc.
          400 Centennial Parkway
          Louisville, Colorado 80027-1266
          U.S.A.
          Phone: +1 303-661-9100
          Fax: +1 303-661-9199
          E-mail: mibs@cablelabs.com"
    DESCRIPTION
        "This MIB module contains the management objects that enhance
         DOCSIS 2.0 feature set.
         It includes SCDMA capability of limiting the number of codes
         assigned to a CM over the total active codes, referred as
         Maximum Scheduled Codes (MSC)."
    REVISION "200406231700Z"
    DESCRIPTION
        "Initial version of this MIB module."
         REVISION "200411101700Z"
    DESCRIPTION
        "Re-rooted to clabProjDocsis.5"
::= { clabProjDocsis 5 }


-- ------------------------------------------------------
-- Textual Conventions
-- ------------------------------------------------------


-- ------------------------------------------------------------------
-- Main Groups
-- ------------------------------------------------------------------


docsIfExt2Notifications OBJECT IDENTIFIER ::= { docsIfExt2Mib 0}
docsIfExt2MibObjects    OBJECT IDENTIFIER ::= { docsIfExt2Mib 1}
docsIfExt2BaseObjects   OBJECT IDENTIFIER ::= { docsIfExt2MibObjects 1 }
docsIfExt2CmObjects     OBJECT IDENTIFIER ::= { docsIfExt2MibObjects 2 }
docsIfExt2CmtsObjects   OBJECT IDENTIFIER ::= { docsIfExt2MibObjects 3 }
```

```
-- ------------------------------------------------------------------
-- Base Group Objects
-- Applicable to both CM and CMTS
-- ------------------------------------------------------------------


-- ------------------------------------------------------------------
-- CM Group Objects
-- ------------------------------------------------------------------


-- ------------------------------------------------------------------
-- CM Maximum Scheduled Codes
-- docsIfExt2CmMscStatusTable extends docsIfCmStatusTable
-- ------------------------------------------------------------------


docsIfExt2CmMscStatusTable OBJECT-TYPE
        SYNTAX      SEQUENCE OF DocsIfExt2CmMscStatusEntry
        MAX-ACCESS  not-accessible
        STATUS      current
        DESCRIPTION
            "This table extends the docsIfCmStatusTable to report
             the CM MSC status."
        ::= { docsIfExt2CmObjects 1 }


docsIfExt2CmMscStatusEntry OBJECT-TYPE
        SYNTAX     DocsIfExt2CmMscStatusEntry
        MAX-ACCESS not-accessible
        STATUS     current
        DESCRIPTION
            "As an extension of docsIdCmStatusTable this table has
             entries for each ifEntry with an ifType of
             docsCableMaclayer(127)
             This entry is instantiated by the CM only if the
             CM is currently in SCDMA mode."
        INDEX { ifIndex }
        ::= { docsIfExt2CmMscStatusTable 1 }


DocsIfExt2CmMscStatusEntry ::= SEQUENCE
       {
       docsIfExt2CmMscStatusState                      INTEGER,
       docsIfExt2CmMscStatusPowerShortfall             TenthdB,
       docsIfExt2CmMscStatusCodeRatio                  Unsigned32,
       docsIfExt2CmMscStatusMaximumScheduledCodes      Unsigned32,
       docsIfExt2CmMscStatusPowerHeadroom              TenthdB,
       docsIfExt2CmMscStatusEffectivePower             TenthdBmV,
       docsIfExt2CmMscStatusIUC2Control                INTEGER
       }



docsIfExt2CmMscStatusState OBJECT-TYPE


        SYNTAX      INTEGER {
                channelEnabled(1),
                channelDisabled(2),
                active(3),
                inactive(4),
                unknown(5)
            }
        MAX-ACCESS  read-only
```

```
        STATUS      current
        DESCRIPTION
            "Indicates the current state of the Maximum Scheduled Codes
             feature on the CM.


             channelEnabled(1) S-CDMA Maximum Scheduled Codes
                        is enabled in the UCD TLV-17.

             channelDisabled(2) S-CDMA Maximum Scheduled Codes
                         is disabled in the UCD TLV-17 or a UCD has been
                         received that does not contain that TLV.

             Active(3) The CM has received a Ranging Response that
                         contains a non-zero TLV-10
                         and therefore has MSC activated. This state
                         overrides the channelEnabled(1) state.

             Inactive(4) The CM has received a Ranging Response that
                         does not contain TLV-10 or has it
                         set to 0. This state overrides the
                         channelEnabled(1) state.

             Unknown(5) CM has not yet received a UCD to determine
                        if MSC is enabled or disabled on that channel."
        DEFVAL { unknown }
        ::= { docsIfExt2CmMscStatusEntry 1 }


docsIfExt2CmMscStatusPowerShortfall OBJECT-TYPE
        SYNTAX      TenthdB
        UNITS       "TenthdB"
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "Indicates the Power Shortfall at the CM, in units of
             tenth dB.
             The value is provided by the CM and is sent in the RNG-REQ
             message. It is internally calculated in units of quarter
             dB and is rounded upward for this MIB object to the nearest
             tenth dB. A value of zero indicates that the CM has no power
             shortfall."
        REFERENCE
            "Data-Over-Cable Service Interface Specifications: Radio
             Frequency Interface Specification Section 8.3.5"
        DEFVAL { 0 }
        ::= { docsIfExt2CmMscStatusEntry 2 }


docsIfExt2CmMscStatusCodeRatio OBJECT-TYPE
        SYNTAX      Unsigned32 ( 2 | 8 | 16 | 32)
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "Indicates the maximum ratio of number of active codes to
             Maximum Scheduled Codes that the CM can support, as
             reported in the Ranging Request."
        REFERENCE
            "Data-Over-Cable Service Interface Specifications: Radio
             Frequency Interface Specification Section 8.3.5"
        ::= { docsIfExt2CmMscStatusEntry 3 }


docsIfExt2CmMscStatusMaximumScheduledCodes OBJECT-TYPE
        SYNTAX      Unsigned32 (0 | 4..128)
        MAX-ACCESS  read-only
        STATUS      current
```

```
        DESCRIPTION
            "Indicates the Maximum Scheduled Codes in use by the CM.
             The value is provided by the CMTS through a TLV value in
             the RNG-RSP message. Note that not all values from 4..128
             are valid.
             A value of zero when docsIfExt2CmMscStatusSate is active(3)
             indicates there is no maximum scheduled codes value. This
             object should remain at zero when
             docsIfExt2CmMscStatusState is not active(3)."
        REFERENCE
            "Data-Over-Cable Service Interface Specifications: Radio
             Frequency Interface Specification Section 8.3.6"
        DEFVAL { 0 }
        ::= { docsIfExt2CmMscStatusEntry 4 }


docsIfExt2CmMscStatusPowerHeadroom OBJECT-TYPE
        SYNTAX      TenthdB
        UNITS       "TenthdB"
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "Indicates the Maximum Scheduled Codes Power Headroom in
             use by the CM, in units of tenth dB. The value is
             provided by the CMTS in units of quarter dB through
             TLV-11 in the RNG-RSP message and is rounded upward for
             this MIB object to the nearest tenth dB. A value of zero
             indicates the CMTS provided no Power Headroom. This
             object should remain at zero when
             docsIfExt2CmMscStatusState is not active(3)."
        REFERENCE
            "Data-Over-Cable Service Interface Specifications: Radio
             Frequency Interface Specification Section 8.3.6"
        DEFVAL { 0 }
        ::= { docsIfExt2CmMscStatusEntry 5 }


docsIfExt2CmMscStatusEffectivePower OBJECT-TYPE
        SYNTAX      TenthdBmV
        UNITS       "TenthdBmV"
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "Indicates the Effective Transmit Power of the CM. This is
             the power that would result hypothetically if, in a
             spreader-on frame, the CM were to transmit on all active
             codes with 64QAM modulation. This object should be equal
             to docsIfCmStatusTxPower when docsIfExt2CmMscStatusState
             is not active(3)."
        REFERENCE
            "Data-Over-Cable Service Interface Specifications: Radio
             Frequency Interface Specification Section 6.2.18.2.2"
        ::= { docsIfExt2CmMscStatusEntry 6 }


docsIfExt2CmMscStatusIUC2Control OBJECT-TYPE
        SYNTAX      INTEGER {
                     noIUC2(1),
                     limitedIUC2(2),
                     freeIUC2(3)
                    }
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
           "The CM usage of IUC2 when MSC is enabled:
           noIUC2(1): CM usage of IUC2 is prohibited
           limitedIUC2(2): CM is allowed to use IUC2 as long as
```

```
           the CM does not exceed MSC
           freeIUC2(3): CM is allowed to use IUC2 without regard
           for MSC.
           With limitedIUC2(2), there may be cases where a CM cannot
           ensure that the use of IUC2 would not exceed MSC. In these
           cases, the CM MUST NOT use IUC2. "
        REFERENCE
            "Data-Over-Cable Service Interface Specifications: Radio
             Frequency Interface Specification Section 6.2.11.6"
        DEFVAL { noIUC2 }
        ::= { docsIfExt2CmMscStatusEntry 7 }


-- ---------------------------------------------------------------------
-- CMTS Group Objects
-- ---------------------------------------------------------------------


docsIfExt2CmtsMscGlobalEnable OBJECT-TYPE
        SYNTAX      TruthValue
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "Indicates the global state of the Maximum Scheduled Code
             feature on the CMTS. The value true(1) indicates that this
             feature can be enabled on individual logical channels on
             the CMTS. The value false(2) indicates that the feature is
             not in operations on the CMTS. Note that the MIB object
             docsIfExt2CmtsUpChannelMscState exists to enable or disable
             Maximum Scheduled Codes on a per logical channel basis."
        DEFVAL { false }
        ::= { docsIfExt2CmtsObjects 1 }


-- ---------------------------------------------------------------------
-- CMTS reported Cm's Maximum Scheduled Codes
-- docsIfExt2CmtsCmMscStatusTable extends docsIfCmtsCmStatusTable
-- ---------------------------------------------------------------------


docsIfExt2CmtsCmMscStatusTable OBJECT-TYPE
        SYNTAX      SEQUENCE OF DocsIfExt2CmtsCmMscStatusEntry
        MAX-ACCESS  not-accessible
        STATUS      current
        DESCRIPTION
            "This table extends the docsIfCmtsCmStatusTable to report
             CM MSC status."
        ::= { docsIfExt2CmtsObjects 2 }


docsIfExt2CmtsCmMscStatusEntry OBJECT-TYPE
       SYNTAX      DocsIfExt2CmtsCmMscStatusEntry
       MAX-ACCESS  not-accessible
       STATUS      current
       DESCRIPTION
           "An entry for MSC CM status report. Only CMs allocated
            to SCDMA upstream channels are included in this table."
       INDEX { docsIfCmtsCmStatusIndex }
       ::= { docsIfExt2CmtsCmMscStatusTable 1 }


DocsIfExt2CmtsCmMscStatusEntry ::= SEQUENCE
       {
       docsIfExt2CmtsCmMscStatusPowerShortfall         TenthdB,
       docsIfExt2CmtsCmMscStatusCodeRatio              Unsigned32,
       docsIfExt2CmtsCmMscStatusMaximumScheduledCodes  Unsigned32,
       docsIfExt2CmtsCmMscStatusPowerHeadroom          TenthdB,
```

```
       docsIfExt2CmtsCmMscStatusMeasuredSNR            TenthdB,
       docsIfExt2CmtsCmMscStatusEffectiveSNR           TenthdB
       }


docsIfExt2CmtsCmMscStatusPowerShortfall OBJECT-TYPE
        SYNTAX      TenthdB
        UNITS       "TenthdB"
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "Indicates the Power Shortfall at the CM, in units of
             tenth dB.
             The value is provided by the CM and is sent in the
             RNG-REQ message. It is internally calculated in units
             of quarter dB and is rounded upward for this MIB object
             to the nearest tenth dB.
             A value of zero indicates that the CM has no power
             shortfall."
        REFERENCE
            "Data-Over-Cable Service Interface Specifications: Radio
             Frequency Interface Specification Section 8.3.5"
        DEFVAL { 0 }
        ::= { docsIfExt2CmtsCmMscStatusEntry 1 }


docsIfExt2CmtsCmMscStatusCodeRatio OBJECT-TYPE
        SYNTAX      Unsigned32 (2 | 8 | 16 | 32)
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "Indicates the maximum ratio of number of active codes to
             Maximum Scheduled Codes that the CM reported in the
             Ranging Request."
        REFERENCE
            "Data-Over-Cable Service Interface Specifications: Radio
             Frequency Interface Specification Section 8.3.5"
        ::= { docsIfExt2CmtsCmMscStatusEntry 2 }


docsIfExt2CmtsCmMscStatusMaximumScheduledCodes OBJECT-TYPE
        SYNTAX      Unsigned32 (0 | 4..128)
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "Indicates the Maximum Scheduled Codes value in use by
             the CM. The value is provided by the CMTS through a TLV
             in the RNG-RSP message. Note that not all values from
             4..128 are valid.
             A value of zero indicates there is no Maximum Scheduled
             Codes, or that the CM does not support the Maximum
             Scheduled Codes feature."
        REFERENCE
            "Data-Over-Cable Service Interface Specifications: Radio
             Frequency Interface Specification Section 8.3.6"
        DEFVAL { 0 }
        ::= { docsIfExt2CmtsCmMscStatusEntry 3 }


docsIfExt2CmtsCmMscStatusPowerHeadroom OBJECT-TYPE
        SYNTAX      TenthdB
        UNITS       "TenthdB"
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "Indicates the Maximum Scheduled Codes Power Headroom
             in use by the CM, in units of tenth dB. The value is
```

```
            provided by the CMTS in units of quarter dB through a
            TLV value in the RNG-RSP message and is rounded upward
            for this MIB object to the nearest tenth dB. A value of zero
            indicates the CMTS provided no Power Headroom."
        REFERENCE
            "Data-Over-Cable Service Interface Specifications: Radio
             Frequency Interface Specification Section 8.3.6"
             DEFVAL { 0 }
             ::= { docsIfExt2CmtsCmMscStatusEntry 4 }


docsIfExt2CmtsCmMscStatusMeasuredSNR OBJECT-TYPE
        SYNTAX      TenthdB
        UNITS       "TenthdB"
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "The signal to noise ratio perceived for this cable modem
             on the upstream logical channel as of the last station
             maintenance."
        REFERENCE
            "Data-Over-Cable Service Interface Specifications: Radio
             Frequency Interface Specification Section 6.2.18.2.2"
        ::= { docsIfExt2CmtsCmMscStatusEntry 5 }


docsIfExt2CmtsCmMscStatusEffectiveSNR OBJECT-TYPE
        SYNTAX      TenthdB
        UNITS       "TenthdB"
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "The signal to noise ratio estimated for this cable modem
             on the upstream logical channel, referenced to 64QAM
             modulation. It is defined as the measured SNR at the
             last station maintenance, minus the CM power shortfall,
             plus the Power Headroom, minus the constellation gain of
             the station maintenance burst.
             Note that the value of the object
             docsIfCmtsCmStatusSignalNoise will be identical to the
             value of this object when Maximum Scheduled Codes
             is enabled."
        REFERENCE
            "Data-Over-Cable Service Interface Specifications: Radio
             Frequency Interface Specification Section 6.2.18.2.2"
        ::= { docsIfExt2CmtsCmMscStatusEntry 6 }


-- ---------------------------------------------------------------------
-- CMTS MSC Upstream Logical Channel State
-- docsIfExt2CmtsUpChannelMscTable extends docsIfUpstreamChannelTable
-- ---------------------------------------------------------------------


docsIfExt2CmtsUpChannelMscTable OBJECT-TYPE
        SYNTAX      SEQUENCE OF DocsIfExt2CmtsUpChannelMscEntry
        MAX-ACCESS  not-accessible
        STATUS      current
        DESCRIPTION
            "This table extends the docsIfUpstreamChannelTable to report
             CM MSC status."
        ::= { docsIfExt2CmtsObjects 3 }


docsIfExt2CmtsUpChannelMscEntry OBJECT-TYPE
       SYNTAX      DocsIfExt2CmtsUpChannelMscEntry
       MAX-ACCESS  not-accessible
```

```
        STATUS      current
        DESCRIPTION
            "An entry for MSC upstream status report. Only SCDMA logical
             upstream channels (i.e., ifType=docsCableUpstreamChannel(205)
             and docsIfUpChannelType=scdma(3)) are included in this table."
        INDEX { ifIndex }
        ::= { docsIfExt2CmtsUpChannelMscTable 1 }


DocsIfExt2CmtsUpChannelMscEntry ::= SEQUENCE
        {
        docsIfExt2CmtsUpChannelMscState            INTEGER,
        docsIfExt2CmtsUpChannelMSCTotalCMs         Gauge32,
        docsIfExt2CmtsUpChannelMSCLimitIUC1        Unsigned32,
        docsIfExt2CmtsUpChannelMSCMinimumValue     Unsigned32
        }


docsIfExt2CmtsUpChannelMscState OBJECT-TYPE


         SYNTAX      INTEGER {
                 channelEnabled(1),
                 channelDisabled(2),
                 dormant(3)
         }
         MAX-ACCESS  read-write
         STATUS      current
         DESCRIPTION
             "Indicates the state of the Maximum Scheduled Codes feature
              for an individual logical channel on the CMTS.
              The state will transition automatically from dormant(5) to
              ChannelEnabled(1) and vice-versa when the feature is
              globally enabled by the object
              docsIfExt2CmtsMscGlobalEnable.


              channelEnabled(1) S-CDMA Maximum Scheduled Codes
                        is enabled in the UCD TLV-17.

              channelDisabled(2) S-CDMA Maximum Scheduled Codes
                         is disabled in the UCD TLV-17 or a UCD has been
                         sent that does not contain that TLV.

              Dormant(3) The CMTS channel is being set to
                        channelEnabled(2) but MSC is globally disabled
                        (docsIfExt2CmtsMscGlobalEnable is false(2) ).
                         This value is explicitly read-only."
         DEFVAL { channelDisabled }
         ::= { docsIfExt2CmtsUpChannelMscEntry 1 }


docsIfExt2CmtsUpChannelMSCTotalCMs OBJECT-TYPE
         SYNTAX      Gauge32
         MAX-ACCESS  read-only
         STATUS      current
         DESCRIPTION
             "Total number of CMs with docsIfCmtsCmStatusValue of
              registrationComplete with MSC active."
         ::= { docsIfExt2CmtsUpChannelMscEntry 2 }


docsIfExt2CmtsUpChannelMSCLimitIUC1 OBJECT-TYPE
         SYNTAX      Unsigned32 (0..128)
         UNITS       "codes"
         MAX-ACCESS  read-only
         STATUS      current
```

```
        DESCRIPTION
            "Indicates that, in order to prevent
             CMs from exceeding MSC, the CMTS will limit the scheduling of
             broadcast IUC1 to at most this many SCDMA codes per SCMDA
             frame."
        ::= { docsIfExt2CmtsUpChannelMscEntry 3 }


docsIfExt2CmtsUpChannelMSCMinimumValue OBJECT-TYPE
        SYNTAX      Unsigned32 (4..128)
        UNITS       "codes"
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "When Maximum Scheduled Codes is enabled, instructs the
             CMTS to assign cable modems MSC values no less than this value."
        DEFVAL   { 4 }
        ::= { docsIfExt2CmtsUpChannelMscEntry 4 }


-- ---------------------------------------------------------------------
-- CMTS Upstream Logical Channel Extension
-- docsIfExt2CmtsUpChannelTable extends docsIfUpstreamChannelTable
-- ---------------------------------------------------------------------


docsIfExt2CmtsUpChannelTable OBJECT-TYPE
        SYNTAX      SEQUENCE OF DocsIfExt2CmtsUpChannelEntry
        MAX-ACCESS  not-accessible
        STATUS      current
        DESCRIPTION
            "This table extends the docsIfUpstreamChannelTable to report
             additional upstream status information."
        ::= { docsIfExt2CmtsObjects 4 }


docsIfExt2CmtsUpChannelEntry OBJECT-TYPE
       SYNTAX      DocsIfExt2CmtsUpChannelEntry
       MAX-ACCESS  not-accessible
       STATUS      current
       DESCRIPTION
           "An entry for upstream status information."
       INDEX { ifIndex }
       ::= { docsIfExt2CmtsUpChannelTable 1 }


DocsIfExt2CmtsUpChannelEntry ::= SEQUENCE
       {
       docsIfExt2CmtsUpChannelTotalCMs      Gauge32
       }


docsIfExt2CmtsUpChannelTotalCMs OBJECT-TYPE
        SYNTAX      Gauge32
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "Total number of CMs with docsIfCmtsCmStatusValue of
             registrationComplete."
        ::= { docsIfExt2CmtsUpChannelEntry 1 }


-- ---------------------------------------------------------
-- Conformance definitions
-- ---------------------------------------------------------
```

```
docsIfExt2Conformance    OBJECT IDENTIFIER ::= { docsIfExt2Mib 2 }
docsIfExt2Compliances    OBJECT IDENTIFIER ::= { docsIfExt2Conformance 1 }
docsIfExt2Groups         OBJECT IDENTIFIER ::= { docsIfExt2Conformance 2 }


docsIfExt2Compliance MODULE-COMPLIANCE
     STATUS current
     DESCRIPTION
          "The compliance statement for DOCSIS 20 enhanced features."


MODULE -- docsIfExt2Mib

-- conditionally mandatory groups


GROUP docsIfExt2CmGroup
        DESCRIPTION
            "Group of objects of enhanced DOCSIS 2.0 features
             applicable to CMs only."


-- conditionally optional groups
GROUP docsIfExt2CmtsGroup
        DESCRIPTION
            "Group of objects of enhanced DOCSIS 2.0 features
             applicable to CMTSes only. It is optional for a
             CMTS to implement the MIB objects in this group."


::= { docsIfExt2Compliances 1}


docsIfExt2CmGroup OBJECT-GROUP
        OBJECTS {
                 docsIfExt2CmMscStatusState,
                 docsIfExt2CmMscStatusPowerShortfall,
                 docsIfExt2CmMscStatusCodeRatio,
                 docsIfExt2CmMscStatusMaximumScheduledCodes,
                 docsIfExt2CmMscStatusPowerHeadroom,
                 docsIfExt2CmMscStatusEffectivePower,
                 docsIfExt2CmMscStatusIUC2Control
        }
        STATUS      current
        DESCRIPTION
            "Group of objects implemented in Cable Modems."
        ::= { docsIfExt2Groups 1 }


docsIfExt2CmtsGroup OBJECT-GROUP
        OBJECTS {
                 docsIfExt2CmtsMscGlobalEnable,
                 docsIfExt2CmtsCmMscStatusPowerShortfall,
                 docsIfExt2CmtsCmMscStatusCodeRatio,
                 docsIfExt2CmtsCmMscStatusMaximumScheduledCodes,
                 docsIfExt2CmtsCmMscStatusPowerHeadroom,
                 docsIfExt2CmtsCmMscStatusMeasuredSNR,
                 docsIfExt2CmtsCmMscStatusEffectiveSNR,
                 docsIfExt2CmtsUpChannelMscState,
                 docsIfExt2CmtsUpChannelMSCTotalCMs,
                 docsIfExt2CmtsUpChannelMSCLimitIUC1,
                 docsIfExt2CmtsUpChannelMSCMinimumValue,
                 docsIfExt2CmtsUpChannelTotalCMs
        }
        STATUS      current
        DESCRIPTION
            "Group of objects implemented in Cable Modem Termination
```

```
     Systems."
::= { docsIfExt2Groups 2 }
END
```

Annex I Load Balancing Requirements (Normative)[82]

I.1 Overview

This Annex defines management object extensions for load balancing operations.

The [MULPI] specification Autonomous Load Balancing section defines two modes of operation for the CMTS to load balance cable modems:

- Autonomous Load Balancing

  Autonomous Load Balancing refers to an algorithm implemented at the CMTS whereby the CMTS directly takes actions to manage the distribution of CMs across the available channels. The specifics of the Load Balancing algorithm is left for vendor definition. Cable modems can be provisioned (either by the CM config file, or by management objects defined here) to be assigned to Restricted Load Balancing Groups, or can be automatically assigned to General Load Balancing Groups (See [MULPI] General Load Balancing Groups and Restricted Load Balancing Groups sections).

  In addition to assignment to a Load Balancing Group, each CM has certain load balancing parameters. The load balancing parameters for a CM can be configured in the CM's configuration file, configured directly in the CMTS, or inherited from the configuration of the Load Balancing Group to which the CM is assigned. The CM load balancing parameters help the CMTS determine which CMs are likely candidates to be balanced across the network, as well as the initialization technique to be used in the balancing operation The Load Balancing Group defines the service group or list of channels over which the CM is allowed to be balanced within a MAC Domain. The CMTS could also provide load balancing capabilities across MAC Domains. (See [MULPI] Autonomous Load Balancing section for more details). The management objects defined here provide a global (CMTS-wide) enable/disable for Autonomous Load Balancing, as well as the ability to enable/disable Autonomous Load Balancing on a Group-by-Group basis.

  During Autonomous Load Balancing operations, changes to plant topology, MAC Domain structure, Channel Sets, Load Balancing Groups, etc. could produce unexpected results on those operations. Therefore, it might be advisable or even required by the CMTS implementation for the operator to disable Autonomous Load Balancing prior to making such changes. Moreover, an attempt to enable Load Balancing could be rejected if the CMTS detects configuration issues that would prevent normal Load Balancing operation.

- Externally-Directed Load Balancing

  The Externally-Directed Load Balancing operation is performed via a management interface where the operator directs the CMTS to move a particular CM from its current channel configuration to a new channel configuration . Since Externally-Directed Load Balancing has the potential to run at cross-purposes with Autonomous Load Balancing, the CMTS is not required to support Externally-Directed Load Balancing when the Autonomous Load Balancing operation is enabled. The process of externally directing a CM to a different set of channels is also referred to as the "change-over" operation.

I.1.1 Load Balancing Groups

There are two types of Load Balancing Groups: Restricted Load Balancing Groups and General Load Balancing Groups. The Restricted Load Balancing Groups are a list of channels where the CM is confined to be balanced by the CMTS. By definition a Restricted Load Balancing Group needs to consist of a subset of channels of a single CM-SG. The General Load Balancing Group comprises all the channels within a MD-CM-SG, and as such there is a one-to-one relationship between General Load Balancing Groups and MD-CM-SGs.

[82] revised per OSSIv3.0-N-07.0481-2 by ab on 7/17/07, and again per OSSIv3.0-N-07.0522-7 by ab on 11/5/07.

I.1.2 DOCSIS 2.0 and 3.0 Load Balancing Differences

As in DOCSIS 2.0, the Externally-Directed Load Balancing functionality supports single (us & ds) change-over operations (via DCC/UCC) for CMs not operating in Multiple Receive Channel mode. For CMs operating in Multiple Receive Channel mode, the DOCSIS 3.0 CMTS also supports channel-set change-over operations (via DBC or DCC and REG-RSP-MP) (see [MULPI]).

Another difference in load balancing operation between DOCSIS 2.0 and DOCSIS 3.0 is the interpretation of General and Restricted Load Balancing Groups. In DOCSIS 2.0, General Load Balancing Groups are configured explicitly by the operator. In DOCSIS 3.0, General Load Balancing Groups are generated automatically by the CMTS based on the MD-CM-SGs described in the CMTS topology configuration. In DOCSIS 2.0, the operator configures Restricted Load Balancing Groups either to resolve ambiguous plant topologies (essentially, topologies where the MD-CM-SG cannot be uniquely determined solely by the US/DS channel pair used in Initial Ranging) or to implement service-related restrictions on the set of channels available to a particular CM (e.g. business vs. residential). In DOCSIS 3.0, the topology resolution algorithm effectively eliminates the first purpose for defining Restricted Load Balancing Groups; operators would then only configure Restricted Load Balancing Groups to effect service-related restrictions. (See [MULPI]).

I.2 Object Definitions

This section defines the objects associated with load balancing operations.

I.2.1 Type Definitions

This section defines data types used in the object definitions for the Diagnostic Log object model.

Table I-1 – Data Type Definitions

Data Type Name	Base Type	Permitted Values
ChChgInitTechMap	Enum	reinitializeMac(0) broadcastInitRanging(1) unicastInitRanging(2) initRanging(3) direct(4)

I.2.1.1 ChChgInitTechMap

This data type enumerates the allowed initialization techniques for Dynamic Channel Change (DCC) and Dynamic Bonding Change (DBC) operations. The techniques are represented by the 5 most significant bits (MSB). Bits 0 through 4 map to initialization techniques 0 through 4.

Each bit position represents the internal associated technique as described below:

- 'reinitializeMac'

  Reinitialize the MAC

- 'broadcastInitRanging'

  Perform Broadcast initial ranging on new channel before normal operation

- 'unicastInitRanging'

  Perform unicast ranging on new channel before normal operation

- 'initRanging'

Perform either broadcast or unicast ranging on new channel before normal operation

- 'direct'

  Use the new channel(s) directly without re-initializing or ranging

Multiple bits may be set to 1 to allow the CMTS to select the most suitable technique in a proprietary manner.

An empty value or a value with all bits in '0' means no channel changes allowed

References: MULPI Initialization Technique.

I.2.2 Load Balancing Objects

This section defines the load balancing related objects.

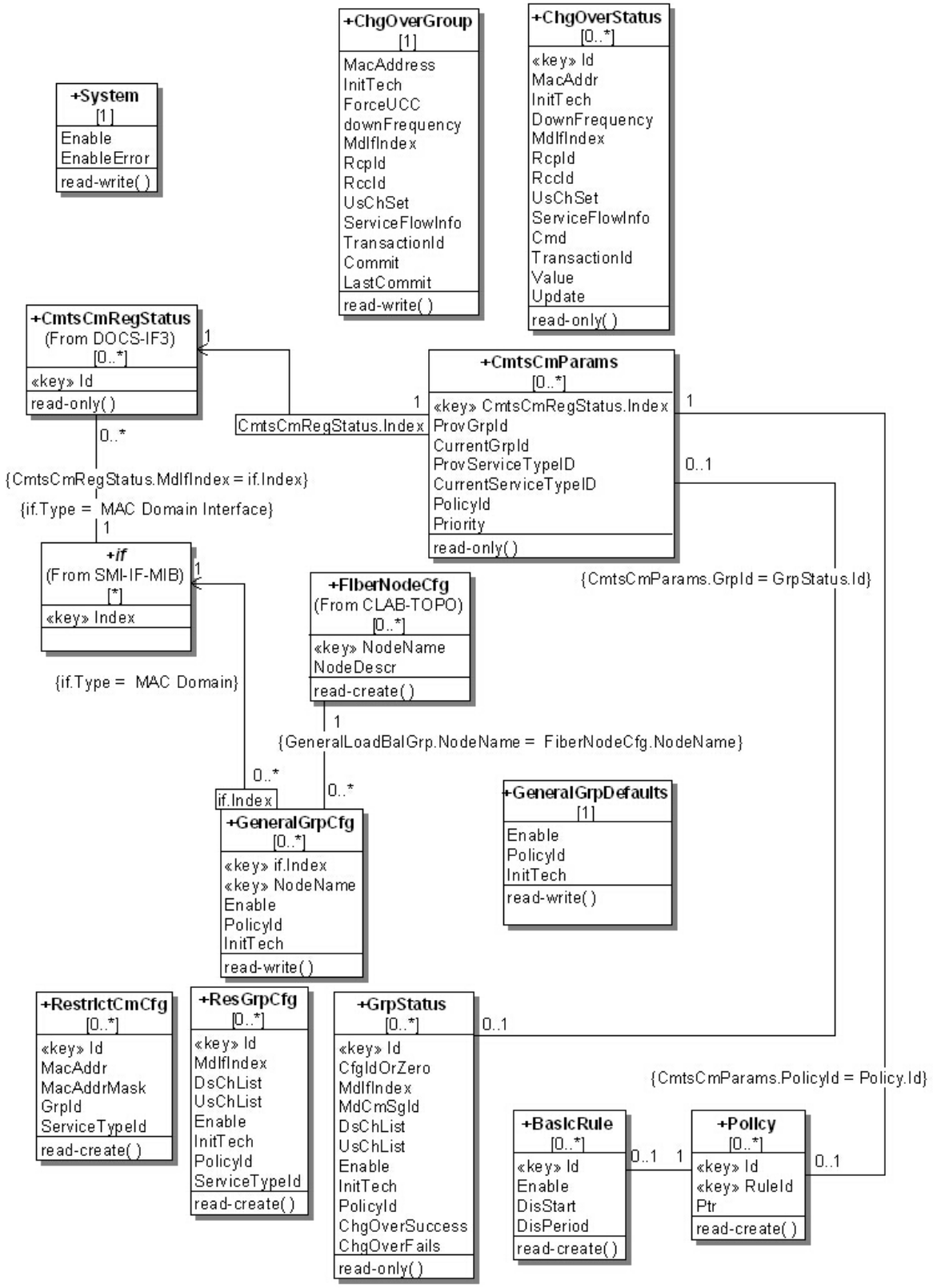


Figure I-1 – Load Balancing Object Model Diagram

I.2.2.1 System Object

This object represents the control and status of Autonomous Load Balancing Operations.

Attribute Name	Type	Access	Type Constraints	Units	Default
Enable	boolean	read-write		N/A	true
EnableError	AdminString	read-only	SIZE(0..255)	N/A	"H

I.2.2.1.1 Enable

This attribute when set to 'true' enables Autonomous Load Balancing operation on the CMTS, otherwise Autonomous Load Balancing is disabled. A failure to enable Autonomous Load Balancing operation is registered in the EnableError attribute.

When Autonomous Load Balancing is enabled, the CMTS may reject Externally-Directed Load Balancing operations. However, even when Autonomous Load Balancing is disabled, the CMTS is required to assign load balancing parameters to CMs as provisioned in the configuration file and/or RestrictCM object.

This attribute value persists after system reinitialization. There might be cases where this attribute reports a failure and Load Balancing is enabled, for example after system reinitialization where Load Balancing was previously set to enabled but there are issues with the CMTS configuration.

I.2.2.1.2 EnableError

This attribute represents a text message that describes a failure to enable load balancing due configuration errors, or other considerations. The zero-length string indicates no errors occurred during the last Autonomous Load Balancing activation.

I.2.2.2 ChgOverGrp Object

This object represents the Externally-Directed Load Balancing command interface. This object provide the controls of change-over operations for CMs. A change-over operation consist of externally-initiated requests to change the CM downstream and/or upstream channel configuration using DOCSIS MAC Message mechanism such as UCC, DCC, DBC or combinations of them. Committed change-over operations are reported in the ChangeOverStatus object.

Attribute Name	Type	Access	Type Constraints	Units	Default
MacAddress	MacAddress	read-write	Mandatory	N/A	'000000000000'H
InitTech	ChChgInitTechMap	read-write		N/A	'F8'H
ForceUCC	boolean	read-write		N/A	false
DownFrequency	unsignedInt	read-write		Hertz	0
MdIfIndex	InterfaceIndexOrZero	read-write		N/A	0
RcpId	RcpId	read-write		N/A	'0000000000'H
RccId	unsignedByte	read-write		N/A	0
UsChSet	ChannelList	read-write		N/A	"H
ServiceFlowInfo	hexBinary	read-write	SIZE (0..128)	N/A	"H
TransactionId	unsignedShort	read-write		N/A	0
Commit	boolean	read-write		N/A	'false'
LastCommit	TimeStamp	read-only		N/A	0

I.2.2.2.1 MacAddress

This attribute represents the MAC address of the cable modem that the CMTS instructs to move to a new downstream and/or upstream channel set.

I.2.2.2.2 InitTech

This attribute represents the initialization technique that the cable modem is instructed to use when performing multiple-channel change-over operation. The value of this attribute applies to all upstream channels in the channel set.

I.2.2.2.3 ForceUCC

This attribute when set to 'true' indicates that the CMTS forces UCC messages instead of DCC messages. In some cases the CMTS may still use UCC commands even though this attribute value is 'false', for example in an upstream-only change-over operation directed to a CM that the CMTS is aware is only capable of UCC, but the operator is not aware of the CM capabilities. This attribute value is ignored when the target CM for the change-over operation is in MRC mode, or the UsChSet attribute is the zero-length string, or the operation includes changes for downstream channels.

I.2.2.2.4 DownFrequency

This attribute represents a single-downstream frequency to which the cable modem is instructed to move using a DCC request. The value zero indicates that this attribute is ignored during a commit operation.

I.2.2.2.5 MdIfIndex

This attribute describes the MAC Domain Interface index of the triplet: Mac Domain, RCP-ID and RCC Status Index of the RccStatus object that represents the RCC used in the change-over operation. This MAC Domain Interface Index is also used to provide context for the UsChSet and ServiceFlowInfo attributes.

I.2.2.2.6 RcpId

This attribute describes the RCP-ID of the triplet: Mac Domain, RCP-ID and RCC Status Index of the RccStatus object that represents the RCC used in the change-over operation.

I.2.2.2.7 RccId

This attribute describes the RCC Status Index of the triplet: Mac Domain, RCP-ID and RCC Status Index of the RccStatus object that represents the RCC used in the change-over operation.

I.2.2.2.8 UsChSet

This attribute describes the Channel list (within the context of the MAC domain identified by MdIfIndex) that represents the final TCS expected from the change-over operation.

When the operation is intended for an RCC-only, this attribute is set to zero and the attribute InitTech is ignored.

I.2.2.2.9 ServiceFlowInfo

This attribute provides a list of Service Flow ID-Channel Set ID pairs used to control Service Flow assignment in the change-over operation. This is intended as an override to the normal assignment based on SF attributes. This attribute is encoded as a series of 32-bit pairs as follows:

- The first four bytes correspond to the value of the Service Flow ID (attribute Id of the ServiceFlow object of the DOCSIS QOS objects.
- The last four bytes correspond to the value of the attribute ChSetId of the UsChSet or DsChSet object of the CMTS Bonding Objects.

If this attribute does not include tuples for some of the CM's Service Flows, the CMTS determines the respective channels based on SF attributes. Service Flow ID-Channel Set ID pairs matching upstream service flows are ignored if the change-over operation does not affect the TCC of the CM. Similarly, Service Flow ID-Channel Set ID pairs matching downstream service flows are ignored if the change-over operation does not affect the RCC of the CM.

I.2.2.2.10 TransactionId

This attribute represents an operator identifier for the change-over operation to be used to correlate logged information in the ChangeOver3 Status object. The CMTS uses this value as the Transaction ID in the DBC-REQ or DCC-REQ message transmitted in association with this operation. If this value is set to zero the CMTS defines its own MAC message Transaction ID value.

I.2.2.2.11 Commit

This attribute when set to 'true' triggers the change-over operation for Externally-Directed Load Balancing.

Setting this attribute to 'true' is known as a commit operation. A commit operation is considered successful if the CMTS considers that the entered information is valid and the transaction can be initiated. It does not imply that the channel-change operation itself (i.e. UCC, DCC, DBC transaction) reports success or completion. A commit operation is considered unsuccessful if the CMTS determines that there are invalid attributes values in the ChangeOver object such that the change-over operation cannot be initiated.

After system initialization all ChangeOver object parameters are set to default values.

After a successful commit operation all ChangeOver object parameters are set to default values with the exception of this attribute (commit) that is set to 'true'. An unsuccessful commit operation is rejected and this attribute reports false in subsequent value queries.

With regard to error checking on a commit operation, the following aspects are defined:

- The CMTS rejects the commit operation when the MAC address in MacAddr attribute is not from an existing and operational cable modem in the CMTS.
- The CMTS rejects the commit operation when there is already a change-over operation in progress for the CM, i.e. the corresponding attribute value in the ChangeOverStatus object is one of 'messageSent', 'modemDeparting' or 'waitToSendMessage'.
- The CMTS rejects the commit operation when neither of the upstream or downstream attribute parameters of the change-over operation are set.

- When the CM is in MRC disabled mode, only UCC/DCC commands are valid, therefore:
  - The CMTS ignores the values of RcpId, RccId, and ServiceFlowInfo in the commit operation.
  - The CMTS rejects the commit operation if neither of DownFrequency or UsChSet were set to non-default values.
  - The CMTS rejects the commit operation when the UsChSet indicates more than one upstream channel.
  - A single-upstream-channel change-over operation (no downstream information) is rejected if the upstream channel information corresponds to a non-existent channel or a channel with operational status down.

- The CMTS rejects the commit operation for a downstream frequency that the CMTS can determine to be invalid. For example, the downstream frequency corresponds to a channel that is part of the MD-DS-SG in which the target CM is currently registered, and this Downstream Channel is known to be operationally down, in a test mode, mute state, etc.
- To move a MRC/MTC-capable CM to a MRC/MTC enabled MAC Domain, the operator needs to reinitialize the CM via a DCC operation by including the appropriate DownFrequency and an InitTech allowing only the 'reinitialize MAC' initialization technique.

- When the CM is in MRC enabled mode, DCC and DBC commands are valid, therefore:
  - The CMTS rejects the commit operation if both the Downstream Frequency (via the DownFrequency attribute) and the RCC (via the RcpId and RccId) are set to non-default values.
  - The CMTS rejects the commit operation if the MdIfIndex attribute value is invalid, or if the triplet MdIfIndex, RcpId, RccId does not resolve in a valid RCC, or at least one of the indicated downstream channels is know to be operationally down, in a test mode, mute state, etc.
  - The CMTS rejects the commit operation if it can detect the UsChSet includes one or more channels that are not part of the US-SG of the CM, or any of those channels are in operational status down.
  - The CMTS rejects the commit operation if a service flow entry in the ServiceFlowInfo attribute includes channels that are not part of the CMs target RCS or TCS.

After processing the commit operation the CMTS creates or overwrites (if it already exists) an instance of the ChgOverStatus object for the associated CM.

After a successful commit operation, the CMTS initiates the change-over transaction using the most appropriate technique. The potential techniques are:

- UCC - For upstream-channel-only changes on CMs not operating in MRC mode.
- DCC - For upstream and/or downstream channel changes on CMs not operating in MRC mode.
- DCC followed by channel assignment in REG-RSP-MP - For MAC Domain re-assignment on CMs operating in MRC mode. In this case, the change-over command might only include a downstream frequency, or might include an RCC defined in the target MAC domain. The upstream channel set may or may not be provided. The only applicable Initialization Technique for this operation is 'reinitializeMAC'.
- DBC - For upstream and/or downstream channel set changes on CMs operating in MRC mode.

I.2.2.2.12 LastCommit

The value of sysUpTime when the attribute Commit was last set to true. Zero if never set.

I.2.2.3 ChgOverStatus Object

This object reports the status of cable modems instructed to move to a new downstream and/or upstream channel or channel sets when commanded either by an operation in the ChgOver object. An instance in this object is created for each change-over operation committed successfully. If the instance value attribute is not final (the change-over operation is still pending completion), this instance is expected to be updated at some point later to reflect the final state of the change-over operation.

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	key		N/A	N/A
MacAddr	MacAddress	read-only		N/A	N/A
InitTech	ChChgInitTechMap	read-only		N/A	N/A
DownFrequency	unsignedInt	read-only		N/A	N/A

Attribute Name	Type	Access	Type Constraints	Units	Default
MdIfIndex	InterfaceIndexOrZero	read-only	Interface Index of the MAC interface	N/A	N/A
RcpId	RcpId	read-only		N/A	N/A
RccId	unsignedByte	read-only		N/A	N/A
UsChSet	ChannelList	read-only		N/A	N/A
ServiceFlowInfo	hexBinary	read-only		N/A	N/A
Cmd	Enum	read-only	ucc dcc dbc crossMD	N/A	N/A
TransactionId	unsignedShort	read-write		N/A	N/A
Value	Enum	read-only	messageSent(1) noOpNeeded(2) modemDeparting(3) waitToSendMessage(4) cmOperationRejected(5) cmtsOperationRejected(6) timeOutT13(7) timeOutT15(8) rejectinit(9) success(10) dbcTimeout(11)	N/A	N/A
Update	TimeStamp	read-only		N/A	N/A

I.2.2.3.1 Id

This key represents a monotonically increasing value for the record that stores the status of the change-over operation. When the ChOverStatus object exceeds the size limit of this object the lowest Id value instances are removed so that the total number of entries no longer exceeds the size limit allowing the CMTS to maintain the most current entries.

I.2.2.3.2 MacAddr

This attribute represents the Mac address set in the ChgOver object commit operation.

I.2.2.3.3 InitTech

The initialization technique set in change-over operation.

I.2.2.3.4 DownFrequency

This attribute represents the Downstream frequency set in the ChgOver object commit operation, or zero

I.2.2.3.5 MdIfIndex

This attribute represents the MAC Domain Interface index set in the ChgOver object commit operation, or zero.

I.2.2.3.6 RcpId

This attribute represents the RCP-ID set in the MultipleChChgOver object commit operation, or all zeros RCP-ID value.

I.2.2.3.7 RccId

This attribute represents the RCC Status Index set in the ChgOver object commit operation, or zero.

I.2.2.3.8 UsChSet

This attribute represents the Upstream Channel Set in the ChgOver object commit operation, or zero.

I.2.2.3.9 ServiceFlowInfo

This attribute represents the list of Service Flow-Channel Set ID pairs set in the ChgOver object commit operation, or zero-length string.

I.2.2.3.10 Cmd

The load balancing MAC Management Message exchange type used by the CMTS for the change-over operation in the ChgOver object commit operation.

- 'ucc' indicates the usage of Upstream Channel Change (UCC) messages exchange.
- 'dcc' indicates the usage of Dynamic Channel Change (DCC) messages exchange.
- 'dbc' indicates the usage of Dynamic Bonding Change (DCC) messages exchange
- 'crossMD' although this term does not correspond to a MAC Management Message type, it indicates the movement of a CM to a different MAC Domain that includes a sequence of different MAC Management Messages types (i.e. DCC to move the CM to the correct MAC Domain, followed by channel assignment in REG-RSP-MP).

I.2.2.3.11 TransactionId

This attribute represents the transaction Id value used in the change-over operation.

I.2.2.3.12 Value

This attribute represents the status of the specified change-over operation. The enumerations are:

Change-over using DCC message exchange:

- 'modemDeparting'

  The cable modem has responded with a change-over response of either a DCC-RSP with a confirmation code of depart(180) or a UCC-RSP.

- 'timeOutT13'

  Failure due to no DCC-RSP with confirmation code depart(180) received prior to expiration of the T13 timer.

- 'timeOutT15'

  T15 timer timed out prior to the arrival of a bandwidth request, RNG-REQ message, or DCC-RSP message with confirmation code of arrive(181) from the cable modem.

Change-over using DBC message exchange:

- 'dbcTimeout'

  The number of DBC-REQ retries was exceeded and no DBC-RSP was received

Change-over CMTS verifications:

- 'messageSent'

The CMTS has sent a DOCSIS MAC message request to instruct the CM to do the change-over operation.

- 'noOpNeed'

  A change-over operation was requested in which neither the DS and US channels where the CM is operational changed.

- 'waitToSendMessage'

  The specified operation is active and CMTS is waiting to send the channel change message with channel info to the cable modem.

- 'cmOperationRejected'

  Channel Change operation was rejected by the cable modem.

- 'cmtsOperationRejected'

  Channel Change operation was rejected by the Cable Modem Termination System.

- 'rejectInit'

  Operation rejected due to unsupported initialization tech requested.

- 'success'

  CMTS received an indication that the CM successfully completed the change-over operation. e.g., If an initialization technique of re-initialize the MAC is used, success in indicated by the receipt of a DCC-RSP message with a confirmation code of depart(180) or DBC confirmation code ok/success. In all other DCC cases, success is indicated by: (1) the CMTS received a DCC-RSP message with confirmation code of arrive(181) or (2) the CMTS internally confirms the presence of the CM on the new channel(s).

I.2.2.3.13 Update

The value of sysUpTime when the attribute Value of this instance was last updated.

I.2.2.4 CmtsCmParams Object

This object represents the autonomous load balancing parameters provisioned for cable modem. The CMTS selects the cable modem Load Balancing Group (GrpId attribute of this object) from multiple sources by following the rules and sequence described below:

The CMTS selects the assignment of the CM to a Load Balancing Group by determining first if the CM is in a Restricted Load Balancing Group or in its absence to the General Load Balancing group that corresponds to the MD-CM-SG of the CM. The selection of the Restricted Load Balancing group is achieved by first matching the CM in the RestrictCmCfg Object and if no match is found, by selecting the best match within the ResGrpCfg object.

The best match within the ResGrpCfg follows the MULPI requirements on precedences of the CM signaled TLVs: ServiceType ID and Load Balancing Group ID (for backward compatibility of provisioned Group IDs)

References: MULPI, Channel Assignment During Registration section

Attribute Name	Type	Access	Type Constraints	Units	Default
CmtsCmRegStatusId	unsignedInt	read-only		N/A	N/A
ProvGrpId	unsignedInt	read-only		N/A	N/A
CurrentGrpId	unsignedInt	read-only		N/A	N/A
ServiceTypeID	string	read-only	SIZE (0..16)	N/A	N/A

Attribute Name	Type	Access	Type Constraints	Units	Default
PolicyId	unsignedInt	read-only		N/A	N/A
Priority	unsignedInt	read-only		N/A	N/A

I.2.2.4.1 CmtsCmRegStatusId

This key is the CMTS generated unique identifier of a CM for status report purposes.

I.2.2.4.2 ProvGrpId

This attribute indicates the provisioned Load Balancing Group ID TLV the CM signaled to the CMTS during registration, or zero if not provisioned in the CM.

I.2.2.4.3 CurrentGrpId

This attribute references the Load Balancing Group Identifier (Id attribute from the GrpStatus object) associated with the cable modem after the CMTS validates the CM Load Balancing Group ID TLV, Service Type ID TLV and Restricted CM list. The value zero indicates that the Load Balancing Group is invalid, or the General Load Balancing Group is invalid due ambiguous topology resolution.

I.2.2.4.4 ProvServiceTypeID

This attribute indicates the provisioned Service Type ID TLV the CM signaled to the CMTS during registration, or the zero-length string if not provisioned in the CM.

I.2.2.4.5 CurrentServiceTypeID

This attribute represents the Service Type ID the CMTS picked from the Restricted Group of Restricted CM list, or the Service Type Id TLV the CM signaled to the CMTS during registration, or the zero-length string if none was used.

I.2.2.4.6 PolicyId

This attribute references the Load Balancing Policy ID associated to the cable modem either from the configuration file or from the general or Restricted Load Balancing Groups CMTS configuration.

I.2.2.4.7 Priority

This attribute references the Load Balancing Priority associated to the cable modem either from the configuration file or from the General or Restricted Load Balancing Groups CMTS configuration.

I.2.2.5 GeneralGrpDefaults Object

This object provides the default load balancing parameters for General Load Balancing Groups (MD-CM-SGs) that are used when instances of GeneralGrpCfg are created by the CMTS.

Attribute Name	Type	Access	Type Constraints	Units	Default
Enable	boolean	read-write		N/A	'true'
PolicyId	unsignedInt	read-write		N/A	0
InitTech	ChChgInitTechMap	read-write		N/A	'00'H

I.2.2.5.1 Enable

This attribute represents the default value for the Enable attribute of the GeneralLoadBalGrp object.

I.2.2.5.2 PolicyId

This attribute represents the default value for the PolicyId attribute of the GeneralLoadBalGrp object.

I.2.2.5.3 InitTech

This attribute represents the default value for the InitTechnique attribute of the GeneralLoadBalGrp object.

I.2.2.6 GeneralGrpCfg Object

This object allows configuration of load balancing parameters for General Load Balancing Groups by way of MAC Domain-Fiber Node pairs. In many deployments, a MAC Domain-Fiber Node pair will equate to an MD-CM-SG (which always equates to a GLBG). In the case where an MD-CM-SG spans multiple Fiber Nodes, there will be multiple instances of this object that represent the General Load Balancing Group (MD-CM-SG). The CMTS MUST enforce that such instances all have the same attribute values. Any time a fiber node is associated to a MAC Domain an instance of this object is defined by the CMTS and populated with default values from the GeneralGrpDefaults object. Similarly when a fiber node is no longer paired with a MAC Domain the corresponding instance is deleted from the object.

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	Interface Index of the MAC interface	N/A	N/A
NodeName	NodeName	key		N/A	N/A
Enable	boolean	read-write		N/A	'true'
PolicyId	unsignedInt	read-write		N/A	0
InitTech	ChChgInitTechMap	read-write		N/A	'00'H

I.2.2.6.1 ifIndex

This key represents the MAC Domain Interface index being associated with a fiber node.

I.2.2.6.2 NodeName

This key represents the fiber node name being associated with a MAC Domain.

I.2.2.6.3 Enable

This attribute when set to 'true' enables Autonomous Load Balancing for the General Load Balancing Group associated with this instance. When set to 'false' Autonomous Load Balancing is disabled.

I.2.2.6.4 PolicyId

This attribute defines the default load balancing policy for the General Load Balancing Group associated with this instance.

I.2.2.6.5 InitTech

This attribute defines the load balancing initialization technique for the General Load Balancing Group associated with this instance.

I.2.2.7 ResGrpCfg Object

This object represents the configuration of Restricted Load Balancing Groups.

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	key		N/A	N/A

Attribute Name	Type	Access	Type Constraints	Units	Default
MdIfIndex	InterfaceIndex	read-create	Interface Index of the MAC interface	N/A	N/A
DsChList	ChannelList	read-create		N/A	"H
UsChList	ChannelList	read-create		N/A	"H
Enable	boolean	read-create		N/A	True
InitTech	ChChgInitTechMap	read-create		N/A	'00'H
PolicyId	unsignedInt	read-create		N/A	0
ServiceTypeId	TagList	read-create		N/A	""

I.2.2.7.1 Id

This key represents a unique index assigned to the Restricted Load Balancing Group by the user for provisioning purposes. This value is unique within a CMTS and is matched with the CM signaled Load Balancing Group ID TLV value when determining the CM Load Balancing Group assignment based on such TLV value.

References: MULPI, Channel Assignment During Registration section.

I.2.2.7.2 MdIfIndex

This attribute represents the MAC domain where the Restricted Load balancing Group applies. The value zero is allowed to indicate that vendor-specific mechanisms are used to define the Restricted Load Balancing Group. For example, to provide Load Balancing Groups across MAC domains.

I.2.2.7.3 DsChList

This attribute contains the list of downstream channels of the Restricted Load Balancing Group.

I.2.2.7.4 UsChList

This attribute contains the list of upstream channels of the Restricted Load Balancing Group.

I.2.2.7.5 Enable

This attribute when set to 'true' enables Autonomous Load Balancing on this Restricted Load Balancing Group. The value 'false' disables the load balancing operation on this group.

I.2.2.7.6 InitTech

This attribute represents the initialization techniques that the CMTS can use to load balance cable modems in the Load Balancing Group. By default this object is initialized with all the defined bits having a value of '1'.

I.2.2.7.7 PolicyId

This attribute represents the default load balancing policy of this Restricted Load Balancing Group. A policy is described by a set of conditions (rules) that govern the load balancing process for a cable modem. The CMTS assigns this Policy ID value to a cable modem associated with the group ID when the cable modem does not signal a Policy ID during registration. The Policy ID value is intended to be a numeric reference to an instance of the Policy object. However, It is not required to have an existing or active policy instance in which case it indicates no policy is associated with the Load Balancing Group. The Policy ID of value 0 is reserved to indicate no policy is associated with the load balancing group.

I.2.2.7.8 ServiceTypeId

This attribute represent a space separated list of ServiceType IDs that will be compared against the cable modem provisioned Service Type ID to determine the most appropriate Restricted Load Balancing Group.

References: MULPI, Channel Assignment During Registration section

I.2.2.8 GrpStatus Object

This object represents the status of all General and Restricted Load Balancing Groups in this CMTS. This object summarizes the load balancing parameters that applies to CMTS system wide Load Balancing Groups. The Load Balancing Groups defined in this object include the configured Restricted Load Balancing Groups and the General Load Balancing Groups derived from the GeneralGrpCfg object.

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	read-only		N/A	N/A
CfgIdOrZero	unsignedInt	read-only		N/A	N/A
MdIfIndex	InterfaceIndexOrZero	read-only	Interface Index of the MAC interface	N/A	N/A
MdCmSgId	unsignedInt	read-only		N/A	N/A
DsChList	ChannelList	read-only		N/A	N/A
UsChList	ChannelList	read-only		N/A	N/A
Enable	boolean	read-only		N/A	N/A
InitTech	ChChgInitTechMap	read-only		N/A	N/A
PolicyId	unsigneInt	read-only		N/A	N/A
ChgOverSuccess	Counter32	read-only		N/A	N/A
ChgOverFails	Counter32	read-only		N/A	N/A

I.2.2.8.1 Id

This key represents an unique identifier of a Load Balancing Group in the CMTS.

I.2.2.8.2 CfgIdOrZero

This attribute references the Id attribute of the instance of the ResGrpCfg this instance corresponds to. The value zero indicates that the instance corresponds to a General Load Balancing Group.

I.2.2.8.3 MdIfIndex

This attribute represents the MAC domain where the Load Balancing Group applies. The value zero is allowed to indicate that vendor-specific mechanisms are used in load balancing operations. For example, to provide Load Balancing Groups across MAC domains.

I.2.2.8.4 MdCmSgId

This attribute corresponds to the MD-CM-SG-ID that includes all the upstream and downstream channels of the Load Balancing Group. The value zero indicates that this instance corresponds to a Restricted Load Balancing Group . If there are vendor-specific Load Balancing Groups configuration (e.g., MdIfIndex set to zero), this attribute value might not be meaningful.

I.2.2.8.5 DsChList

This attribute contains the list of downstream channels of the Load Balancing Group. If there are vendor-specific Load Balancing Groups configuration (e.g., MdIfIndex set to zero), this attribute value might not be meaningful.

I.2.2.8.6 UsChList

This attribute contains the list of the upstream channels of the Load Balancing Group. If there are vendor-specific Load Balancing Groups configuration (e.g., MdIfIndex set to zero), this attribute value might not be meaningful.

I.2.2.8.7 Enable

This attribute when set to 'true' indicates that load balancing is enabled on this group, or disabled if set to 'false'.

I.2.2.8.8 InitTech

This attribute indicates the initialization techniques that the CMTS can use when load balancing cable modems that are associated with the Load Balancing Group.

I.2.2.8.9 PolicyId

This attribute indicates the Policy that the CMTS can use when load balancing cable modems that are associated with the Load Balancing Group.

I.2.2.8.10 ChgOverSuccess

This attribute counts the number of successful Autonomous Load Balancing operations associated with this Load Balancing Group.

I.2.2.8.11 ChgOverFails

This attribute counts the number of failed Autonomous load balancing operations associated with this Load Balancing Group.

I.2.2.9 RestrictCmCfg Object

This object describes the list of cable modems being statically provisioned at the CMTS to a Restricted Load Balancing Group. When a CM registers it is either assigned to

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	read-create		N/A	
MacAddr	MacAddress	read-create			'000000000000'H
MacAddrMask	OctetString	read-create		N/A	''H
GrpId	unsignedInt	read-create		N/A	0
ServiceTypeId	string	read-create	SIZE (0..16)	N/A	""

I.2.2.9.1 Id

This key represents the unique identifier of an instance in this object. the CMTS maintains an unique instance per MAC Address/MAC Address Mask combination

I.2.2.9.2 MacAddr

This attribute represents the Mac Address of the cable modem within the Restricted Load Balancing Group.

I.2.2.9.3 MacAddrMask

This attribute corresponds to a bit mask acting as a wild card to associate a cable modem MAC addresses to a Restricted Load Balancing Group ID referenced by a restricted group Id or a Service Type ID. The cable modem matching criteria is performed by bit-Anded the cable modem MAC address with the MacAddrMAsk attribute and being compared with the bit-ANDed of attributes MacdAddr and MacAddrMask. A cable modem MAC address look up is performed first with instances containing this attribute value not null, if several entries match, the largest consecutive bit match from MSB to LSB is used. Empty value is equivalent to the bit mask all in ones.

I.2.2.9.4 GrpId

The attribute represents the Restricted Load Balancing Group identifier of this entry associated with the cable modem MAC address - MAC address mask combination. The value zero indicates that the instance is matched only against the ServiceTypeId value.

I.2.2.9.5 ServiceTypeId

This attribute represents the Service Type Id associated with this cable modem MAC address - MAC Address mask combination.. The zero-length string indicates that the instance is matched only against the GrpId value, if both GrpId and this attribute are not present the instance is ignored for matching purposes.

I.2.2.10 Policy Object

This object describes the set of load balancing policies. Instances from this object might be referenced by GrpStatus object . All the rules contained in a load balancing policy apply to an Autonomous Load Balancing operations. Load balancing rules are defined within this specification or can be vendor-defined as well.

The CMTS MUST persist all instances of Policy object across reinitializations.

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	key	Mandatory	N/A	N/A
RuleId	unsignedInt	key	Mandatory	N/A	N/A
Ptr	URL	read-create	Mandatory	N/A	N/A

I.2.2.10.1 Id

This key represents the identifier of a load balancing policy.

I.2.2.10.2 RuleId

This key represents a rule contained within a balancing policy.

I.2.2.10.3 Ptr

This attribute represents a reference to an instance in a rule or other policy object like BasicRule object.

I.2.2.11 BasicRule Object

This object represents a basic ruleset applicable to a load balancing policy that references it.

The CMTS MUST persist all instances of BasicRule object across reinitializations.

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	key		N/A	

Attribute Name	Type	Access	Type Constraints	Units	Default
Enable	Enum	read-create	Enabled(1) Disabled(2) disabledPeriod(3)	N/A	''disabled'
DisStart	unsignedInt	read-create		N/A	0
DisPeriod	unsignedInt	read-create		N/A	0

I.2.2.11.1 Id

This key represents a unique identifier for balancing ruleset of this object.

I.2.2.11.2 Enable

This attribute when set to 'enabled' enables Autonomous Load Balancing (independently of the load balancing group enable/disable state), the rule set is disabled if set to 'disabled', or is disabled during a period of time it set to 'disabledPeriod'.

I.2.2.11.3 DisStart

This attribute disables load balancing from the time stated by this attribute when the attribute Enable is set to 'disablePeriod'. The time is defined in seconds since midnight.

I.2.2.11.4 DisPeriod

This attribute disables load balancing until the time stated by this attribute when the attribute Enable is set to 'disablePeriod'. The time is defined in seconds of the wall clock since midnight.

Annex J Enhanced Signal Quality Monitoring Requirements (Normative)

J.1 Overview

This Annex addresses the Enhanced Signal Quality Monitoring requirements for plant conditions.

J.2 Object Definitions

This section defines the Enhanced Signal Quality Monitoring objects including the associated attributes.

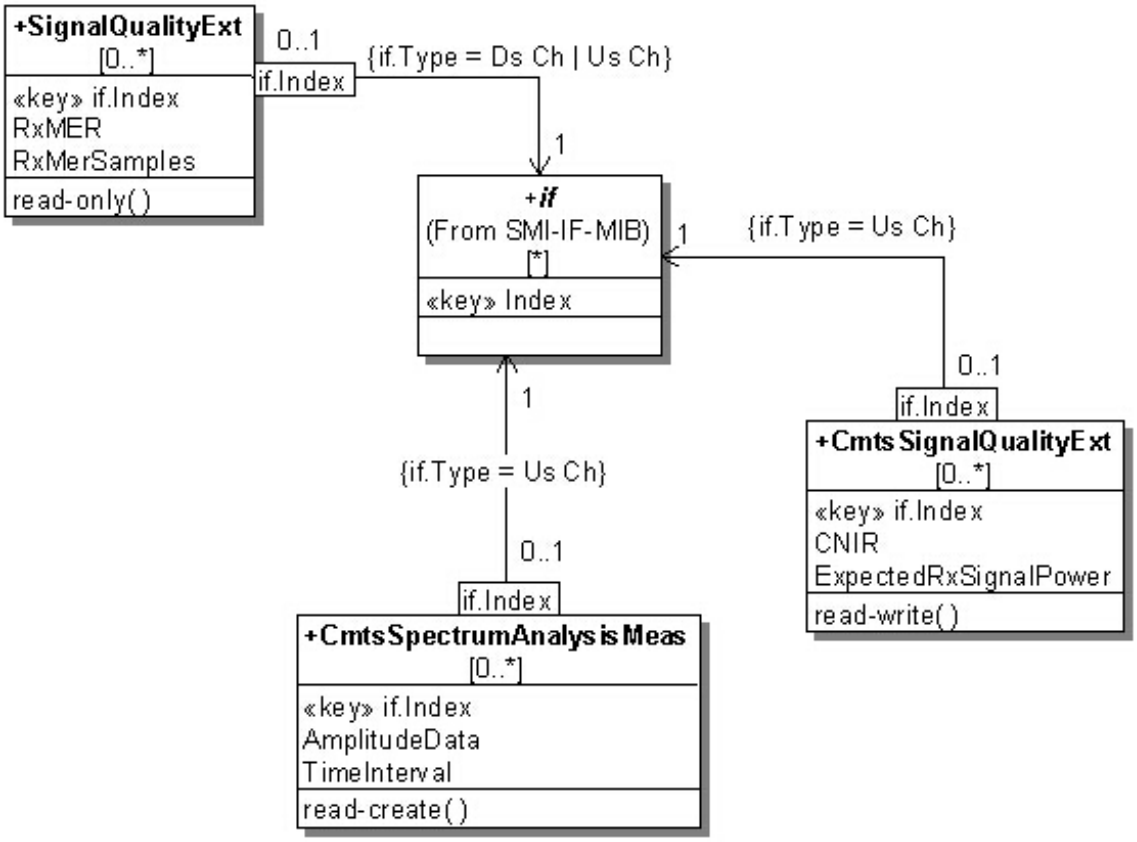


Figure J-1 - Signal Quality Monitoring Object Model Diagram[83]

[83] This figure replaced per OSSIv3.0-N-07.0445-3, #1 on 5/10/07 by KN

J.2.1 Type Definitions

This section defines data types used in the object definitions for the Enhanced Signal Quality Monitoring object model.

Table J-1 - Data Type Definitions

Data Type Name	Base Type	Permitted Values
BinAmplitudeData	hexBinary	SIZE(0 | 20..65535)

J.2.1.1 BinAmplitudeData[84]

This data type represents a sequence of spectral amplitudes. Each spectral amplitude value corresponds to a bin.

The format of the bin measurement is as follows.

Sequence of:

4 bytes: ChCenterFreq

The center frequency of the upstream channel.

4 bytes: FreqSpan

The width in Hz of the band across which the spectral amplitudes characterizing the channel are measured.

4 bytes: NumberOfBins

The number of data points or bins that compose the spectral data. The leftmost bin corresponds to the lower band edge, the rightmost bin corresponds to the upper band edge, and the middle bin center is aligned with the center frequency of the analysis span.

4 bytes: BinSpacing

The frequency separation between adjacent bin centers. It is derived from the frequency span and the number of bins or data points. The bin spacing is computed from

$$BinSpacing = \frac{FrequencySpan}{NumberOfBins - 1}$$

The larger the number of bins the finer the resolution.

4 bytes: ResolutionBW

The resolution bandwidth or equivalent noise bandwidth of each bin. If spectral windowing is used (based on vendor implementation), the bin spacing and resolution bandwidth would not generally be the same.

n bytes: Amplitude (2 bytes * NumberOfBins)

[84] revised per OSSIv3.0-N-07.0539-1 by ab on 11/6/07.

A sequence of two byte elements. Each element represents the spectral amplitudes in relation to the received signal power of a bin, for the expected commanded received signal power at the CMTS input, assuming QPSK0 modulation, in units of 0.01dB. That is, a test CMTS input signal with square-root raised-cosine spectrum, bandwidth equal to the expected received signal bandwidth, and power equal to the expected received signal power, which is present for the entire spectrum sampling period, will exhibit a spectrum measurement of 0 dB average power in each bin of the signal passband.

Each bin element amplitude value format is 2's complement which provides a range of -327.68 dB to 327.67 dB amplitude value for the bin measurement.

J.2.2 SignalQualityExt Object

This object provides an in-channel received modulation error ratio metric for CM and CMTS.

Table J-2- SignalQualityExt Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	Interface Index of downstream channel and logical upstream channel	N/A	N/A
RxMER	TenthdB	read-only	-2147483648..2147483647	TenthdB	N/A
RxMerSamples	unsignedInt	read-only		N/A	N/A

J.2.2.1 IfIndex

This key represents the interface index of the downstream channel for the CM or the logical upstream channel for the CMTS to which this instance applies.

J.2.2.2 RxMER

RxMER provides an in-channel received Modulation Error Ratio (MER). RxMER is defined as an estimate, provided by the demodulator, of the ratio:

(average constellation energy with equally likely symbols) / (average squared magnitude of error vector)

RxMER is measured just prior to FEC (trellis/Reed-Solomon) decoding. RxMER includes the effects of the HFC channel as well as implementation effects of the modulator and demodulator. Error vector estimation may vary among demodulator implementations. The CMTS RxMER is averaged over a given number of bursts at the burst receiver, which may correspond to transmissions from multiple users. In the case of S-CDMA mode, RxMER is measured on the de-spread signal.

J.2.2.3 RxMerSamples

RxMerSamples is a statistically significant number of symbols for the CM, or bursts for the CMTS, processed to arrive at the RxMER value. For the CMTS, the MER measurement includes only valid bursts that are not in contention regions.

J.2.3 CmtsSignalQualityExt Object

This object provides metrics and parameters associated with received carrier, noise and interference power levels in the upstream channels of the CMTS.

The CMTS MUST persist the configurable values of all instances of CmtsSignalQualityExt across reinitialization.

Table J-3 - CmtsSignalQualityExt Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	Interface Index of logical upstream channel	N/A	N/A
CNIR	TenthdB	read-only		TenthdB	N/A
ExpectedRxSignalPower	TenthdBmV	read-write		TenthdBmV	N/A

J.2.3.1 IfIndex

This key represents the interface index of the logical upstream of the CMTS to which this instance applies.

J.2.3.2 CNIR[85]

This attribute provides an upstream in-channel Carrier-to-Noise plus Interference Ratio (CNIR). CNIR is defined as the ratio of the expected commanded received signal power at the CMTS input, assuming QPSK0 modulation, to the noise plus interference in the channel. This measurement occurs prior to the point at which the desired CM signal, when present, is demodulated. The measurement includes the effect of the receive matched filter but does not include the effect of any ingress filtering. Both the signal power and noise/interference power are referenced to the same point, e.g., CMTS input.

J.2.3.3 ExpectedRxSignalPower

This attribute provides the power of the expected commanded received signal in the channel, referenced to the CMTS input.

J.2.4 CMTS Spectrum Analysis Objects[86]

This group of objects provides an upstream in-channel spectrum analysis capability, indicating how much noise and interference there is within the channel, as well as where in the channel the interference is located. A measurement here is a data collection event that provides frequency content information of the energy within the channel without the contribution of the actual CM signal. This measurement is updated at a rate that is no greater than a given time interval. The frequency bins are a discrete set of frequencies with values that provide the amount of energy represented in that frequency content of the signal. A worst case spectrum estimation frequency bin spacing of 25 kHz has been defined for spans of 6.4 MHz or less; finer resolutions are acceptable. This measurement occurs prior to the point at which the desired CM signal, when present, is demodulated. The measurement spectrum may or may not include the effect of the receive matched filter. The measured spectrum does not include the effect of any ingress filtering.

J.2.4.1 CmtsSpectrumAnalysisMeas Object

This object is used to configure the logical upstream interfaces to perform the spectrum measurements. This object supports creation and deletion of instances.

The CMTS is not required to persist instances of this object across reinitializations.

Table J-4 - CmtsSpectrumAnalysisMeas Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key		N/A	N/A

[85] revised per OSSIv3.0-N-07.0539-1 by ab on 11/6/07.
[86] revised per OSSIv3.0-N-07.0539-1 by ab on 11/6/07.

AmplitudeData	BinAmplitudeData	read-only		N/A	N/A
TimeInterval	unsignedInt	read-only		milliseconds	N/A

J.2.4.1.1 IfIndex

IfIndex is a key which represents the interface identifier (e.g., ifIndex) of the CMTS logical upstream channel. The CMTS MAY provide simultaneous measurements of logical upstream channels within a single upstream physical interface.

J.2.4.1.2 AmplitudeData

This attribute provides a list of the spectral amplitudes corresponding to the frequency bins ordered from lowest to highest frequencies covering the frequency span. Information about the center frequency, frequency span, number of bins and resolution bandwidth are included to provide context to the measurement point

The CMTS MUST support the number of bins as an odd number in order to provide a spectrum representation that is symmetric about the middle data point or bin. The CMTS MUST support a number of bins greater than or equal to 257 for frequency spans greater than or equal to 6.4 MHz.

The CMTS MUST NOT exceed 25 kHz bin spacing for measurement of frequency spans less than or equal to 6.4 MHz.

The bins measurements are updated periodically at time intervals given by the TimeInterval attribute.

J.2.4.1.3 TimeInterval

TimeInterval is the CMTS estimated average repetition period of measurements. This attribute defines the average rate at which new spectra can be retrieved.

Annex K DOCSIS 3.0 Data Type Definitions (Normative)

K.1 Overview

This specification has requirements for the SNMP protocol and IPDR Service Definitions for network management functions.

In previous OSSI specification versions, SNMP SMIv2 has been used as the methodology to represent DOCSIS managed objects. This approach is valid for SNMP as the protocol for the management interface. However, as new paradigms such as IPDR are introduced for DOCSIS management interfaces, protocol-agnostic representation of management information is necessary.

This Annex includes the data type definitions for the object models defined for use in DOCSIS 3.0. The Unified Modeling Language (UML) is used for modeling the management requirements in DOCSIS 3.0. The data types defined in this Annex are mapped for use with both SNMP and IPDR XML schemas.

Basic UML notation used in this specification and explained in Appendix VI.

K.2 Data Types Mapping

XML is becoming the standard for data definition models. With XML data transformations can be done with or without a model (DTD or Schema definition). DTDs and XML schemas provides additional data validation layer to the applications exchanging XML data. There are several models to map formal notation constructs like ASN.1 to XML [ITU-R X.692], UML to XML, or XML by itself can be used for modeling purposes.

Each area of data information interest approaches XML and defines data models and/or data containment structures and data types. Similarly, SNMP took and modified a subset of ASN.1 for defining the Structured Management Information SMIv1 and SMIv2.

Due to the lack of a unified data model and data types for Network Management a neutral model would be appropriated to allow capturing specific requirements and methodologies from existing protocols and allow forward or reverse engineering of those standards like SNMP to the general object model and vice versa.

K.2.1 Data Types Requirements and Classification

The object model has to provide seamless translation for SMIv2 requirements, in particular when creating MIB modules based on the object model, this specification needs to provide full support of [RFC 2578], [RFC 2579] and the clarifications and recommendations of [RFC 4181].

The object model has to provide seamless translation for IPDR modeling requirements which is by itself a subset of XML representations with some IPDR extensions.

Thus, there are two data type groups defined for modeling purposes and mapping to protocol data notation roundtrip:[87]

1. General Data types

   Required data types to cover all the management syntax and semantic requirement for all OSSI supported data models. In this category are data types defined in SNMP SMIv2 [RFC 2578] and IPDR.org data types [IPDR/XDR] and [IPDR/SSDG].

[87] SNMP [RFC 2578], XML-schema, [W3 XSD1.0] and IPDR –e.g., XDR specification [IPDR/XDR] - define "Primitive", "Derived", "Base", "Application" types, etc. For the purpose of the OSSI data model, General Data types and Extended Data types terms are used.

2. Extended Data types

   Management protocols specialization based on frequent usage or special semantics. Required data types to cover all the syntax requirement for all OSSI supported data models. In this category are SNMP TEXTUAL-CONVENTION clauses [RFC 2579] of mandatory or recommended usage by [RFC 2579] and [RFC 4181] when modeling for SNMP MIB modules.

K.2.2 Data Types Mapping Methodology

The specification "XML Schema Part 2: Data types Second Edition" is based on [ISO 11404] which provides a language-independent data types (see XML Schema reference). The mapping proposed below uses a subset of the XML schema data types to cover both SNMP forward and reverse engineering and as well IPDR types. Any additional protocol being added should be feasible to provide the particular mappings.

SMIv2 has an extensive experience of data types for management purposes, for illustration consider Counter32 and Counter64 SMIv2 types [RFC 2578]. The XML schema data types makes no distinction of derived 'decimal' types and the semantics that are associated to counters, e.g., counters do not necessarily start at 0.

Since the object model needs to cover the mapping of objects to SNMP, the mapping in Section K.2.4 is heavily based on most common SNMP TEXTUAL-CONVENTION descriptors [RFC 2579] and others IETF commonly used type definitions as well as DOCSIS already defined types in MIB modules required by this specification.

Most of the SNMP information associated to data types are reduced to size and range constraints and specialized enumerations.

K.2.3 General Data Types

The Table K-1 represents the mapping between the OSSI object model General Types and their equivalent representation for SNMP MIB Modules and IPDR Service Definitions. The permitted values for the data types are indicated in terms of value ranges and string length when applicable. The OM Data Type column includes the data types to map either to IPDR or SNMP or both, using the appropriated type in the corresponding protocol if applicable or available. The SNMP Mapping references to SNMP data types are defined in [RFC 2578] or as described below. The IPDR Mappings are referenced in [IPDR/XDR] and [IPDR/SSDG], or as specified below.

Note that SNMP does not provide float, double or long XML-Schema data types. Also, SNMP might map a type to a SNMP subtyped value. For example, unsignedByte data type maps to Unsigned32 subtyped to the appropriate range indicated by the Permitted Values (0..255 in this case). Other data types are mapped to SNMP TEXTUAL-CONVENTIONS as indicated by the references.

Table K-1 - General Data Types

OM Data Type	XML-Schema data type	Permitted Values	SNMP Mapping	IPDR Mapping
Enum	int	-2147483648..2147483647	INTEGER	integer
EnumBits	hexBinary		BITS	hexBinary
Int	int	-2147483648..2147483647	Integer32	int
unsignedInt	unsignedInt	0..4294967295	Unsigned32	unsignedInt
long	long	-9223372036854775808..-9223372036854775807	N/A	long
unsignedLong	unsignedLong	0..18446744073709551615	CounterBasedGauge64 [RFC 2856]	unsignedLong
Float	float	IEEE single-precision 32-bit floating point type IEEE 754-1985	N/A	float
double	double	IEEE double-precision 64-bit floating point type IEEE 754-1985	N/A	double
Base64Binary	base64Binary		SnmpAdminString [RFC 3411]	base64Binary

OM Data Type	XML-Schema data type	Permitted Values	SNMP Mapping	IPDR Mapping
hexBinary	hexBinary		OCTET STRING	hexBinary
string	string		SnmpAdminString [RFC 3411]	string
boolean	boolean		TruthValue [RFC 2579]	boolean
Byte	byte	-128..127	Integer32	byte
unsignedByte	unsignedByte	0..255	Unsigned32	unsignedByte
Short	short	-32768..32767	Integer32	short
unsignedShort	unsignedShort	0..65535	Unsigned32	unsignedShort
TimeTicks	unsignedInt		OBJECT IDENTIFIER	
TimeTicks	unsignedInt		TimeTicks	
Gauge32,	unsignedInt		Gauge32	
Counter32,	unsignedInt		Counter32	
Counter64	unsignedLong		Counter64	
IpAddress	hexBinary	SIZE (4)	IpAddress	
Opaque	hexBinary		Opaque	
dateTime	dateTime		DateAndTime	dateTime
dateTimeMsec	unsignedLong		CounterBasedGauge64 [RFC 2856]	ipdr:dateTimeMsec
InetAddressIPv4	hexBinary	SIZE (4)	InetAddressIPv4 [RFC 4001]	ipdr:ipV4Addr
InetAddressIPv6	hexBinary	SIZE (16)	InetAddressIPv6 [RFC 4001]	ipdr:ipV6Addr
InetAddress			InetAddress [RFC 4001]	N/A
InetAddressType			InetAddressType [RFC 4001]	N/A
Uuid	hexBinary		OCTET STRING	ipdr:uuid
dateTimeUsec	unsignedLong		CounterBasedGauge64 [RFC 2856]	ipdr:dateTimeUsec
MacAddress	hexBinary	SIZE (6)	MacAddress	ipdr:macAddress

K.2.4 Extended Data Types[88]

There are two sources of Extended Data Types: Protocol specific data types, and OSSI data types

The subset of IPDR derived DataTypes [IPDR/SSDG] and [IPDR/XDR] are included in the General Data Types section as they are few. SNMP derived types are defined in SNMP MIB Modules. The most important are in [RFC 2579] which is part of SNMP STD 58 and are considered in many aspects part of the SNMP protocol. Other MIB modules TEXTUAL-CONVENTION definitions have been adopted and recommended (e.g., [RFC 4181]) for re-usability and semantics considerations in order to unify management concepts; some relevant RFCs that include common used textual conventions are [RFC 4001], [RFC 2863], [RFC 3411], and [RFC 3419] among others (see [RFC 4181]).

Table K-2 includes the most relevant data types taken from SNMP to provide a direct mapping of the OSSI object model to SNMP MIB modules. A few have taken a more general name as they are used across the object models and may apply to IPDR high level modeling as well. For example, TagList comes from [RFC 3413] SnmpTaglist and preserves its semantics, AdminString comes from [RFC 3411] SnmpAdminString.

In general when an OSSI object model needs to reference an existing SNMP textual convention for the purpose of round trip design from UML to SNMP, these textual conventions can be added to this list. Other sources of textual

[88] revised per OSSIv3.0-N-07.0480-3 by ab on 7/12/07.

conventions not listed here are from MIB modules specific to DOCSIS either as RFCs or Annex documents in this specification. Some of those are [RFC 4546], Annex H, and Annex I.

OSSI data types are also defined in this specification in the Data Type section of OSSI annexes; for example, 1, Annex O, and Annex M.

Table K-2 - Extended Data Types[89]

OM Data Type	XML-Schema data type	Permitted Values	SNMP Mapping	IPDR Mapping
PhysicalIndex	unsignedInt	1..2147483647	Integer32	unsignedInt
PhysicalIndexOrZero	unsignedInt	0..2147483647	Integer32	unsignedInt
TagValue	string	SIZE (0..255)	SnmpTagValue	string
TagList	string	SIZE (0..255)	SnmpTagList	string
AdminString	string	SIZE (0..255)	SnmpAdminString	string
PhysAddress	hexBinary		PhysAddress	hexBinary
TestAndIncr	unsignedInt	0..2147483647	TestAndIncr	unsignedInt
anyURI	string		AutonomousType	string
AttributeReference	anyURI		VariablePointer	string
ObjectReference	anyURI		RowPointer	string
RowStatus	int		RowStatus	int
TimeStamp	unsignedInt		TimeStamp	unsignedInt
duration	unsignedInt	0..2147483647	TimeInterval	unsignedInt
StorageType	int		StorageType	int
TDomain	anyURI		TDomain	anyURI
TAddress	hexBinary	SIZE (1..255)	TAddress	hexBinary
DisplayString	string	SIZE (0..255)	DisplayString	string
TransportAddress	hexBinary	SIZE (0..255)	TransportAddress	hexBinary
InetAddressPrefixLength	unsignedInt	0..2040	Unsigned32	unsignedInt
InetPortNumber	unsignedInt	0..65535	Unsigned32	unsignedInt
InetVersion	int		INTEGER	int
IANAifType	int		INTEGER	int
DocsisQosVersion	int		DocsisQosVersion [RFC 4546]	int
DocsisUpstreamType	int		DocsisUpstreamType [RFC 4546]	int
DocsEqualizerData	hexBinary		DocsEqualizerData [RFC 4546]	hexBinary
TenthdBmV	int		TenthdBmV [RFC 4546]	int
TenthdB	int		TenthdB [RFC 4546]	int

[89] Table revised per OSSIv3.0-N-07.0447-2, #8 on 5/10/07 by KN, and per OSSIv3.0-N-07.0550-2 by ab on 11/9/07.

Annex L Security Requirements (Normative)

L.1 Overview

This Annex addresses the security requirements from the Operational Support System perspective and defines the object model for DOCSIS 3.0 security managed objects. Refer to [SEC] for detailed security requirements.

L.2 Object Definitions

This section defines the security objects including the associated attributes.

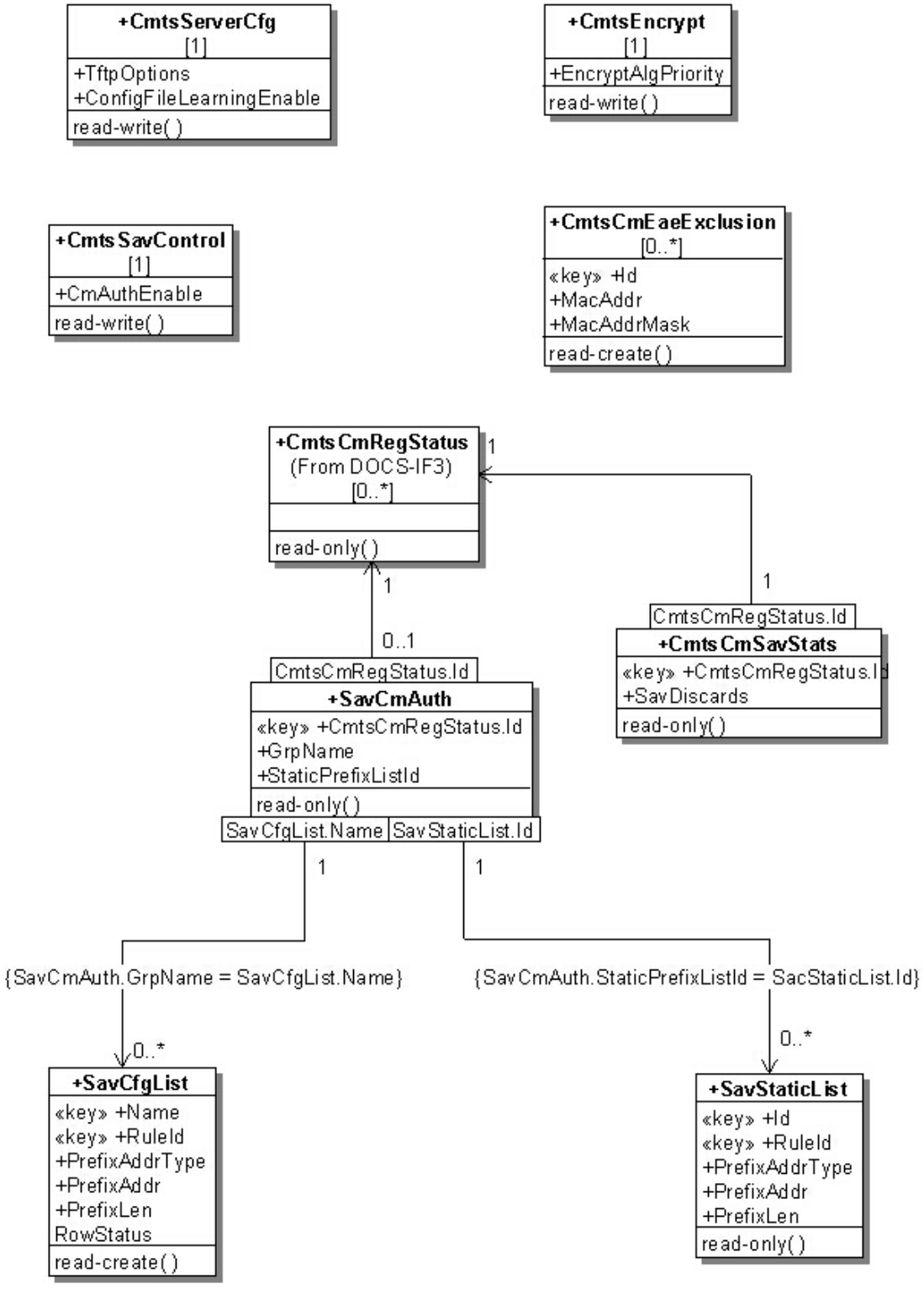


Figure L-1 - Security Object Model Diagram

L.2.1 CmtsServerCfg Object

This object defines attributes for configuring TFTP Configuration File Security features.

The CMTS MUST persist the values of the attributes of the CmtsServerCfg object across reinitializations.

Table L-1 - CmtsServerCfg Object

Attribute Name	Type	Access	Type Constraints	Units	Default
TftpOptions	EnumBits	read-write	hwAddr(0) netAddr(1)	N/A	"H
ConfigFileLearningEnable	boolean	read-write		N/A	true

L.2.1.1 TftpOptions

This attribute instructs the CMTS to insert the source IP address and/or MAC address of received TFTP packets into the TFTP option fields before forwarding the packets to the Config File server.

This attribute is only applicable when the TftpProxyEnabled attribute of the MdCfg object is 'true'.

References: Annex O, MdCfg Object Section.

L.2.1.2 ConfigFileLearningEnable.

This attribute enables and disables Configuration File Learning functionality.

If this attribute is set to 'true' the CMTS will respond with Authentication Failure in the REG-RSP message when there is a mismatch between learned config file parameters and REG-REQ parameters. If this attribute is set to 'false', the CMTS will not execute config file learning and mismatch check.

This attribute is only applicable when the TftpProxyEnabled attribute of the MdCfg object is 'true'.

References: Annex O, MdCfg Object Section; [SEC] Secure Provisioning Section; [MULPI].

L.2.2 CmtsEncrypt Object

This object includes an attribute which defines the order in which encryption algorithms are to be applied.

The CMTS MUST persist the values of the attributes of the CmtsEncrypt object across reinitializations.

Table L-2 - CmtsEncrypt Object

Attribute Name	Type	Access	Type Constraints	Units	Default
EncryptAlgPriority	TagList	read-write	aes128CbcMode des56CbcMode des40CbcMode	N/A	"aes128CbcMode des56CbcMode des40CbcMode"

L.2.2.1 EncryptAlgPriority

This attribute allows for configuration of a prioritized list of encryption algorithms the CMTS will use when selecting the primary SAID encryption algorithm for a given CM. The CMTS selects the highest priority encryption algorithm from this list that the CM supports. By default the following encryption algorithms are listed from highest to lowest priority (left being the highest): 128 bit AES, 56 bit DES, 40 bit DES.

An empty list indicates that the CMTS attempts to use the latest and robust encryption algorithm supported by the CM. The CMTS will ignore unknown values or unsupported algorithms.

L.2.3 CmtsSavCtrl Object

This object defines attributes for global Source Address Verification (SAV) configuration.

The CMTS MUST persist the values of the attributes of the CmtsSavCtrl object across reinitializations.

References: [SEC] Secure Provisioning Section.

Table L-3 - CmtsSavCtrl Object

Attribute Name	Type	Access	Type Constraints	Units	Default
CmAuthEnable	boolean	read-write		N/A	true

L.2.3.1 CmAuthEnable

This attribute enables or disables Source Address Verification (SAV) for CM configured policies in the SavCmAuth object. If this attribute is set to 'false', the CM configured policies in the SavCmAuth object are ignored.

This attribute is only applicable when the SrcAddrVerificationEnabled attribute of the MdCfg object is 'true'.

References: Annex O, MdCfg Object Section.

L.2.4 CmtsCmEaeExclusion Object

This object defines a list of CMs or CM groups to exclude from Early Authentication and Encryption (EAE). This object allows overrides to the value of EAE Control for individual CMs or group of CMs for purposes such as debugging. The CMTS MUST support a minimum of 30 instances of the CmtsCmEaeExclusion object.

This object is only applicable when the EarlyAuthEncryptCtrl attribute of the MdCfg object is enabled.

This object supports the creation and deletion of multiple instances.

The CMTS MUST persist all instances of CmtsCmEaeExclusion across reinitializations.

References: Annex O, MdCfg Object Section; [SEC] Early Authentication and Encryption Section.

Table L-4 - CmtsCmEaeExclusion Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	key	1..4294967295	N/A	N/A
MacAddr	MacAddress	read-create		N/A	'000000000000'H
MacAddrMask	MacAddress	read-create		N/A	'FFFFFFFFFFFF'H

L.2.4.1 Id

This key uniquely identifies the exclusion MAC address rule.

L.2.4.2 MacAddr

This attribute identifies the CM MAC address. A match is made when a CM MAC address bitwise ANDed with the MacAddrMask attribute equals the value of this attribute.

L.2.4.3 MacAddrMask

This attribute identifies the CM MAC address mask and is used with the MacAddr attribute.

L.2.5 SavCmAuth Object

This object defines a read-only set of SAV policies associated with a CM that the CMTS will use in addition to the CMTS verification of an operator assigned IP Address being associated with a CM. When the CMTS has not resolved a source address of a CM CPE, the CMTS verifies if the CM CPE is authorized to pass traffic based on this object. These object policies include a list of subnet prefixes (defined in the SavStaticList object) or a SAV Group Name that could reference a CMTS configured list of subnet prefixes (defined in SavCfgList object) or vendor-specific policies. The CMTS populates the attributes of this object for a CM from that CM's config file.

This object is only applicable when the SrcAddrVerificationEnabled attribute of the MdCfg object is 'true' and the CmAuthEnable attribute of the CmtsSavCtrl object is 'true'.

The CMTS is not required to persist instances of this object across reinitializations.

References: Annex O, MdCfg Object Section; [SEC] Secure Provisioning Section; [MULPI] Common Radio Frequency Interface Encodings Annex.

Table L-5 - SavCmAuth Object

Attribute Name	Type	Access	Type Constraints	Units	Default
CmtsCmRegStatusId	unsignedInt	key	1..4294967295	N/A	N/A
GrpName	AdminString	read-only		N/A	N/A
StaticPrefixListId	unsignedInt	read-only		N/A	N/A

L.2.5.1 CmtsCmRegStatusId

This attribute is a key which uniquely identifies the CM. This attribute matches an index value of the CMTS CM Registration Status object.

References: Annex N, CmtsCmRegStatus Object Section.

L.2.5.2 GrpName

This attribute references the Name attribute of the SavCfgList object of a CM. If the CM signaled group name is not configured in the CMTS, the CMTS ignores this attribute value for the purpose of Source Address Verification. The CMTS MUST allow the modification of the GrpName object and use the updated SAV rules for newly discovered CPEs from CMs..When a source IP address is claimed by two CMs (e.g., detected as duplicated), the CMTS MUST use the current SAV rules defined for both CMs in case the SAV GrpName rules may have been updated. In the case of a persisting conflict, it is up to vendor-implementation to decide what CM should hold the SAV authorization.

The zero-length string indicates that no SAV Group was signaled by the CM. The zero-length value or a non-existing reference in the SavCfgList object means the SavCfgListName is ignored for the purpose of SAV.

References: [MULPI] Common Radio Frequency Interface Encodings Annex.

L.2.5.3 StaticPrefixListId

This attribute identifies the reference to a CMTS created subnet prefix list based on the CM signaled static prefix list TLV elements. The CMTS may reuse this attribute value to reference more than one CM when those CMs have signaled the same subnet prefix list to the CMTS.

The value zero indicates that no SAV static prefix encodings were signaled by the CM.

L.2.6 SavCfgList Object

This object defines the CMTS configured subnet prefix extension to the SavCmAuth object.

This object supports the creation and deletion of multiple instances.

Creation of a new instance of this object requires the PrefixAddrType and PrefixAddr attributes to be set.

The CMTS MUST persist all instances of SavCfgList across reinitializations.

Table L-6 - SavCfgList Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Name	AdminString	key	SIZE (1..16)	N/A	N/A
RuleId	unsignedInt	key	1..4294967295	N/A	N/A
PrefixAddrType	InetAddressType	read-create	ipv4(1), ipv6(2)	N/A	N/A
PrefixAddr	InetAddress	read-create		N/A	N/A
PrefixLen	InetAddressPrefixLength	read-create		N/A	0

L.2.6.1 Name

This attribute is the key that identifies the instance of the SavCmAuth object to which this object extension belongs.

L.2.6.2 RuleId

This attribute is the key that identifies a particular subnet prefix rule of an instance of this object

L.2.6.3 PrefixAddrType

This attribute identifies the IP address type of this subnet prefix rule.

L.2.6.4 PrefixAddr

This attribute corresponds to the IP address of this subnet prefix rule in accordance to the PrefixAddrType attribute.

L.2.6.5 PrefixLen

This attribute defines the length of the subnet prefix to be matched by this rule.

L.2.7 SavStaticList Object

This object defines a subnet prefix extension to the SavCmAuth object based on CM statically signaled subnet prefixes to the CMTS.

When a CM signals to the CMTS static subnet prefixes, the CMTS MUST create a List Id to be referenced by the CM in the SavCmAuth StaticPrefixListId attribute, or the CMTS MAY reference an existing List Id associated to previously registered CMs in case of those subnet prefixes associated with the List Id match the ones signaled by the CM.

The CMTS MAY persist instances of this object across reinitializations.

References: [MULPI] Common Radio Frequency Interface Encodings Annex.

Table L-7 - SavStaticList Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	key	1..4294967295	N/A	N/A
RuleId	unsignedInt	key	1..4294967295	N/A	N/A
PrefixAddrType	InetAddressType	read-only	ipv4(1), ipv6(2)	N/A	N/A
PrefixAddr	InetAddress	read-only		N/A	N/A
PrefixLen	InetAddressPrefixLength	read-only		N/A	N/A

L.2.7.1 Id

This key uniquely identifies the index that groups multiple subnet prefix rules. The CMTS assigns this value per CM or may reuse it among multiple CMs that share the same list of subnet prefixes.

L.2.7.2 RuleId

This attribute is the key that identifies a particular static subnet prefix rule of an instance of this object.

L.2.7.3 PrefixAddrType

This attribute identifies the IP address type of this subnet prefix rule.

L.2.7.4 PrefixAddr

This attribute corresponds to the IP address of this subnet prefix rule in accordance to the PrefixAddrType attribute.

L.2.7.5 PrefixLen

This attribute defines the length of the subnet prefix to be matched by this rule.

L.2.8 CmtsCmSavStats Object

This object provides a read-only list of SAV counters for different service theft indications.

Table L-8 - CmtsCmSavStats Object

Attribute Name	Type	Access	Type Constraints	Units	Default
CmtsCmRegStatusId	unsignedInt	key	1..4294967295	N/A	N/A
SavDiscards	Counter32	read-only		N/A	N/A

L.2.8.1 CmtsCmRegStatusId

This key uniquely identifies the CM. This attribute matches an index value of the CMTS CM Registration Status object.

References: Annex N, CmtsCmRegStatus Object Section.

L.2.8.2 SavDiscards

This attribute provides the information about number of dropped upstream packets due to SAV failure.

L.2.9 Certificate Revocation Objects

Refer to the Certificate Revocation section of [SEC] for details on the two methods (CRL and OCSP) supported for certification revocation.

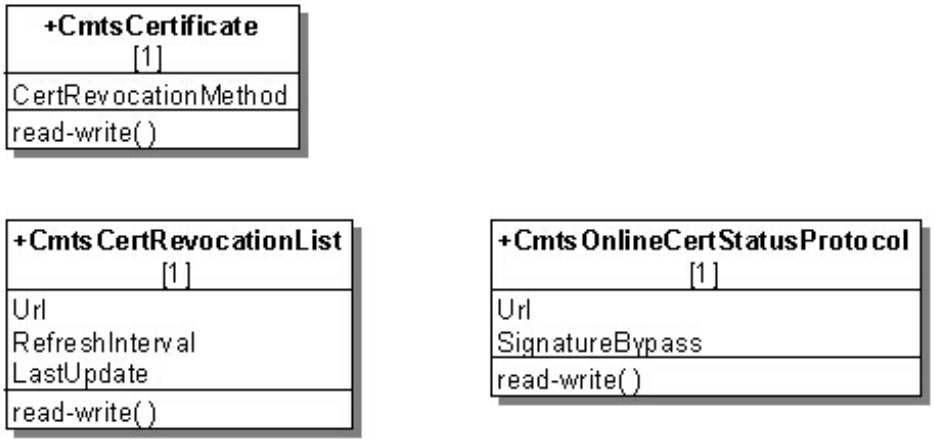


Figure L-2 - Certificate Revocation Object Model Diagram

L.2.9.1 CmtsCertificate Object

This object defines attributes for global certificate revocation configuration.

The CMTS MUST persist the values of the attributes of the CertificateRevocationMethod object across reinitializations.

References: [SEC] BPI+ X.509 Certificate Profile and Management Section.

Table L-9 - CertificateRevocationMethod Object

Attribute Name	Type	Access	Type Constraints	Units	Default
CertRevocationMethod	Enum	read-write	none(1) crl(2) ocsp(3) crlAndOcsp(4)	N/A	none

L.2.9.1.1 CertRevocationMethod

This attribute identifies which certificate revocation method is to be used by the CMTS to verify the cable modem certificate validity. The certificate revocation methods include Certification Revocation List (CRL) and Online Certificate Status Protocol (OCSP).

The following options are available:

The option 'none' indicates that the CMTS does not attempt to determine the revocation status of a certificate.

The option 'crl' indicates the CMTS uses a Certificate Revocation List (CRL) as defined by the Url attribute of the CmtsCertRevocationList object. When the value of this attribute is changed to 'crl', it triggers the CMTS to retrieve the CRL from the URL specified by the Url attribute. If the value of this attribute is 'crl' when the CMTS starts up, it triggers the CMTS to retrieve the CRL from the URL specified by the Url attribute.

The option 'ocsp' indicates the CMTS uses the Online Certificate Status Protocol (OCSP) as defined by the Url attribute of the CmtsOnlineCertStatusProtocol object.

The option 'crlAndOcsp' indicates the CMTS uses both the CRL as defined by the Url attribute in the CmtsCertRevocationList object and OCSP as defined by the Url attribute in the CmtsOnlineCertStatusProtocol object.

L.2.9.2 CmtsCertRevocationList Object

This object defines a CRL location URL and periodic refresh interval value. The CRL location URL defines from where the CMTS will retrieve the CRL file. The periodic refresh interval value indicates how often the CMTS will retrieve the CRL file for updates if the tbsCertList.nextUpdate attribute in the file is absent.

This object is only applicable when the CertRevocationMethod attribute of the CmtsCertificate object is set to 'crl' or 'crlAndOcsp'.

The CMTS MUST persist the values of the attributes of the CmtsCertRevocationList object across reinitializations.

References: [SEC] BPI+ X.509 Certificate Profile and Management section.

Table L-10 - CmtsCertRevocationList Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Url	AdminString	read-write	Uniform Resource Locator	N/A	""
RefreshInterval	unsignedInt	read-write	1..524160	minutes	10080
LastUpdate	dateTime	read-only		N/A	N/A

L.2.9.2.1 Url

This attribute contains the URL from where the CMTS will retrieve the CRL. When this attribute is set to a URL value different from the current value, it triggers the CMTS to retrieve the CRL from that URL. If the value of this attribute is a zero-length string, the CMTS does not attempt to retrieve the CRL.

References: [SEC] BPI+ X.509 Certificate Profile and Management section.

L.2.9.2.2 RefreshInterval

This attribute contains the refresh interval for the CMTS to retrieve the CRL (referred to in the Url attribute) with the purpose of updating its Certificate Revocation List. This attribute is meaningful if the tbsCertList.nextUpdate attribute does not exist in the last retrieved CRL, otherwise the value 0 is returned.

References: [SEC] BPI+ X.509 Certificate Profile and Management section.

L.2.9.2.3 LastUpdate

This attribute contains the last date and time when the CRL was retrieved by the CMTS. This attribute returns the initial EPOC time if the CRL has not been updated. The CMTS MUST persist the value of LastUpdate across reinitializations.

L.2.9.3 CmtsOnlineCertStatusProtocol Object

This object contains an OCSP Responder URL and an attribute to bypass signature checking of the OCSP response. The CMTS will use the URL for OCSP communications in checking a certificate's revocation status. This object is only applicable when the CertRevocationMethod attribute of the CmtsCertificate object is set to 'ocsp' or 'crlAndOcsp'.

The CMTS MUST persist the values of the attributes of the CmtsOnlineCertStatusProtocol object across reinitializations.

Table L-11 - CmtsOnlineCertStatusProtocol Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Url	AdminString	read-write	Uniform Resource Locator	N/A	""
SignatureBypass	boolean	read-write		N/A	false

L.2.9.3.1 Url

This attribute contains the URL string to retrieve OCSP information. If the value of this attribute is a zero-length string, the CMTS does not attempt to request the status of a CM certificate.

References: [SEC] BPI+ X.509 Certificate Profile and Management section; [RFC 2560].

L.2.9.3.2 SignatureBypass

This attribute enables or disables signature checking on OCSP response messages.

References: [SEC] BPI+ X.509 Certificate Profile and Management section; [RFC 2560].

Annex M Multicast Requirements (Normative)

M.1 Overview

This Annex addresses the DOCSIS 3.0 management requirements for Multicast QoS and Multicast Authorization. It covers the management object models for each feature as well as the SNMP Management object definitions required for DOCSIS 3.0. Refer to [MULPI] for Multicast requirements details.

The aspects this Annex covers are:

- Multicast Authorization: The CMTS authorization module that allows operators to selectively authorize access to multicast content for subscribers,
- Multicast Configuration: Includes per multicast session policies to configure QoS, DSID-indexed Packet Header Suppression and BPI encryption of multicast sessions,
- Multicast status reporting: CM and CMTS reporting of multicast session status and statistics.

M.2 Object Definitions

M.2.1 Multicast Authorization Object Model

This model provides the Multicast Conditional Access Model for the authorization of clients to join multicast sessions. The components of the Multicast Authorization model are:

- Control, global configuration of Multicast authorization
- CmtsCmStatus, per-CM configuration of Multicast session rules for authorization
- StaticSessRule, DOCSIS static authorization
- ProfileSessRule, DOCSIS Multicast profile-based authorization

The CMTS MAY support the StaticSessRule object.

These Multicast Authorization objects and other signaling mechanisms defined in [MULPI] replace the Multicast Authorization feature defined in DOCS-IETF-BPI2-MIB module [RFC 4131], therefore, the SNMP table docsBpi2CmtsMulticastAuthTable is not required to be supported by the CMTS, and the CMTS does not require support for docsBpi2CmtsIpMulticastMapTable entry creation (see 1).

For the purpose of multicast authorization these terms are defined:

- A Multicast Authorization Static Session rule consists of a pair source prefix address and group prefix address, an authorization action and a priority signaled by a CM in IP Multicast Authorization Static Session Rule Subtype Encoding during registration.
- A Multicast Authorization Profile Session rule consist of a pair source and group prefix addresses, an authorization action and a priority configured in the CMTS. This rule corresponds to the expansion of the IP Multicast Authorization Profile Name Subtype encoding signaled by the CM during registration.

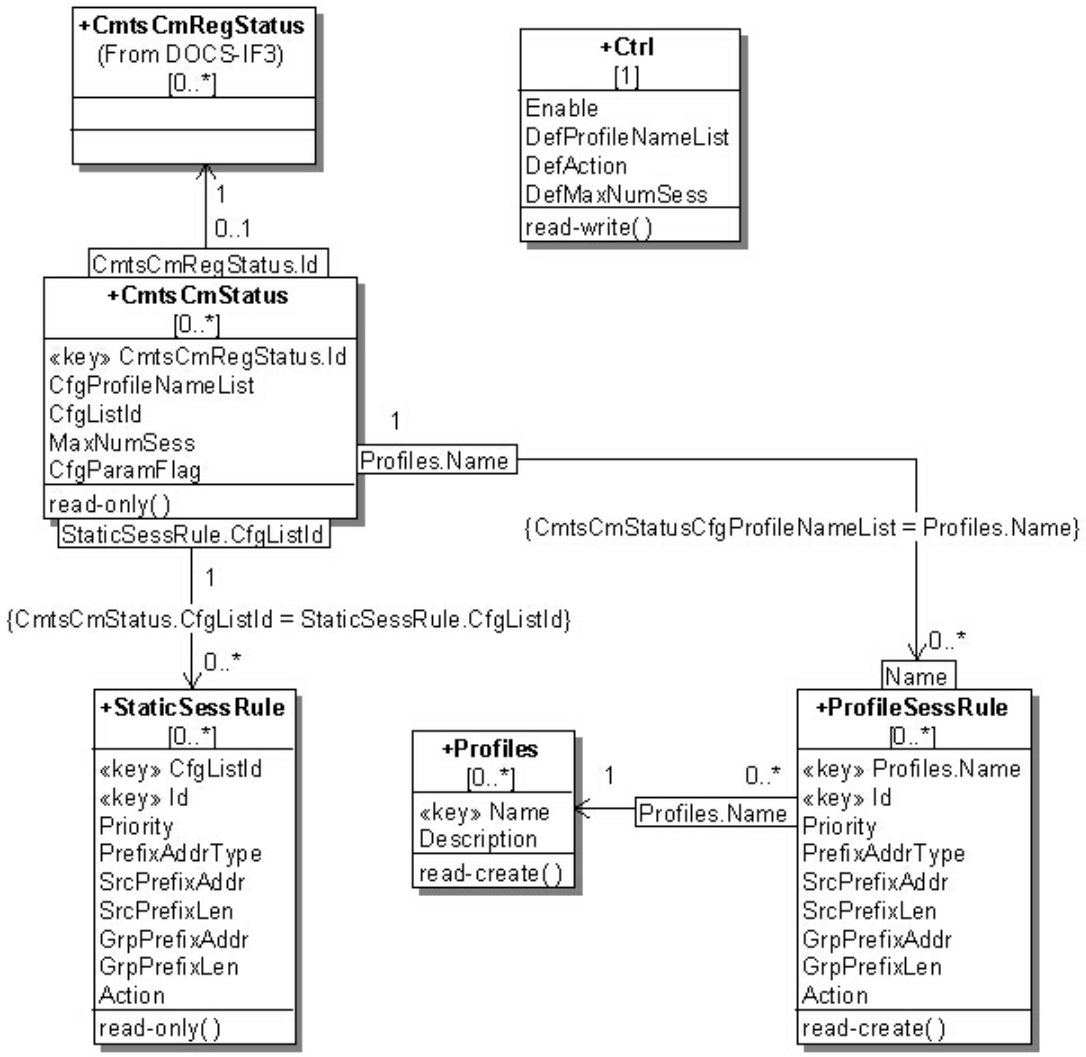


Figure M-1 - Multicast Authorization Object Model Diagram

M.2.1.1 Ctrl Object

This object defines the CMTS global behavior for Multicast Authorization. Some parameters are included as part of the CM configuration process. In absence of those parameters, default values defined by attributes of this object are used.

The CMTS MUST persist the values of the attributes of the Ctrl object across reinitializations.

Table M-1 - Ctrl Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Enable	Enum	read-write	enable(1) disable(2)	N/A	disable
DefProfileNameList	TagList	read-write		N/A	"H
DefAction	Enum	read-write	permit(1) deny(2)	N/A	deny
DefMaxNumSess	unsignedShort	read-write		N/A	0

M.2.1.1.1 Enable

This attribute enables the enforcement of Multicast Authorization feature. When this attribute is set to 'enable', Multicast Authorization is enforced; otherwise, clients are permitted to join any IP multicast session. The factory default value of this attribute is 'disable'.

M.2.1.1.2 DefProfileNameList

This attribute indicates one or more Multicast Authorization Profiles that are used by the CMTS when CMs register with no Multicast Join Authorization encodings in the REG-REQ-(MP). When IP Multicast Authorization is enforced, this attribute provides the default set of Multicast Authorization Profiles the CMTS enforces for a CM in case the CM did not signal a set of profiles during the registration process. If the Default Multicast Authorization Group Name is a -zero-length string, the DefAction attribute determines whether a join request is authorized. If the CMTS supports more than one profile name as a default, the CMTS enforces each of the profiles in order of occurrence until the maximum number of profiles is reached.

M.2.1.1.3 DefAction

This attribute defines the default authorization action when no IP Multicast Session Rule is determined to match a client's IP multicast JOIN request. The factory default of this attribute is 'deny'.

M.2.1.1.4 DefMaxNumSess

This attribute indicates the default maximum number of multicast sessions that clients reached through a particular CM are allowed to join. A DefMaxNumSess value of 0 indicates that no dynamic joins are permitted. A Maximum Multicast Sessions Encoding value of 65535 (the largest valid value) indicates that the CMTS permits any number of sessions to be joined by clients reached through the CM.

References: [MULPI] Maximum Multicast Sessions section.

M.2.1.2 ProfileSessRule Object

This object defines Operator configured profiles to be matched during the authorization process.

This object supports the creation and deletion of multiple instances.

Creation of a new instance of this object requires the following attributes to be set:

- PrefixAddrType
- SrcPrefixAddr
- SrcPrefixLen
- GrpPrefixAddr
- GrpPrefixLen

The CMTS MUST persist all instances of the ProfileSessRule object across reinitializations.

Table M-2 - ProfileSessRule Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Name	AdminString	key	SIZE (1..15)	N/A	N/A
Id	unsignedInt	key	1..4294967295	N/A	N/A
Priority	unsignedInt	read-create		N/A	0
PrefixAddrType	InetAddressType	read-create	ipv4(1) ipv6(2)	N/A	N/A

Attribute Name	Type	Access	Type Constraints	Units	Default
SrcPrefixAddr	InetAddress	read-create		N/A	N/A
SrcPrefixLen	InetAddressPrefixLength	read-create		N/A	N/A
GrpPrefixAddr	InetAddress	read-create		N/A	N/A
GrpPrefixLen	InetAddressPrefixLength	read-create		N/A	N/A
Action	Enum	read-create	accept(1) deny(2)	N/A	deny

M.2.1.2.1 Name

This attribute is a unique name that associates the IP Multicast Authorization Profile Name Subtype encoding signaled by CMs with the a set of Multicast Authorization Profile Session Rules.

M.2.1.2.2 Id

This attribute provides a unique identifier for each CMTS configured Multicast Authorization Profile Session rule within a Multicast Authorization Profile Name.

M.2.1.2.3 Priority

This attribute configures the rule priority for the static session rule. Higher values indicate a higher priority. If more than one session rule matches a joined session, the session rule with the highest rule priority determines the authorization action.

M.2.1.2.4 PrefixAddrType

This attribute identifies the address family for the multicast session (S,G) which corresponds to the SrcPrefixAddr and GrpPrefixAddr attributes respectively.

M.2.1.2.5 SrcPrefixAddr

This attribute identifies a specific Multicast Source Address defined for this rule. A Source Address that is all zeros is defined as 'all source addresses' (*, G). Source prefix addresses are unicast addresses.

References: [RFC 3569] section 6; [RFC 3306] sections 5 and 6.

M.2.1.2.6 SrcPrefixLen[90]

This attribute identifies the prefix length associated with a range of Source (S) IP multicast group addresses. For Group or ASM based sessions this attribute is set to 0.

M.2.1.2.7 GrpPrefixAddr[91]

This attribute is the IP address corresponding to an IP multicast group.

M.2.1.2.8 GrpPrefixLen[92]

This attribute identifies the prefix length associated with a range of Group Destination IP multicast addresses.

[90] Revised per OSSIv3.0-N-07.0411-3 #1 on 5/7/07 by KN.
[91] Revised per OSSIv3.0-N-07.0411-3 #1 on 5/7/07 by KN.
[92] Revised per OSSIv3.0-N-07.0411-3 #1 on 5/7/07 by KN.

M.2.1.2.9 Action

This attribute specifies the authorization action for a session join attempt that matches the session rule.

The value 'accept' indicates that the rule permits a matching multicast join request is allowed. The value 'deny' indicates that a matching multicast join request is denied.

M.2.1.3 Profiles Object

This object contains the description of the Multicast Authorization profiles for administrative purposes.

This object supports the creation and deletion of multiple instances.

Creation of a new instance of this object requires the Description attribute to be set.

The CMTS MUST persist all instances of the Profiles object across reinitializations.

Table M-3 - Profiles Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Name	AdminString	key	SIZE (1..15)	N/A	N/A
Description	AdminString	read-create		N/A	N/A

M.2.1.3.1 Name

This attribute is a unique name or identifier for a Multicast Authorization Profile.

M.2.1.3.2 Description

This attribute is a human readable description of the Multicast Authorization Profile.

M.2.2 Multicast Authorization Status Objects

M.2.2.1 CmtsCmStatus Object

This object maintains per-CM status of Multicast Authorization policies to be applied to this CM. The CM acquires these policy parameters through the CM registration process, or in the absence of some or all of those parameters, from the Ctrl Object.

This object is meaningful when the Ctrl Enable attribute is set to 'enable'.

In the process of authorizing a CM client's session request the CMTS MUST check rules defined in StaticSessRule object and then rules defined in ProfileSessRule object. In the case of multiple multicast session matches, the rule priority attribute defines the final selected session rule. The selection of a session rules when multiple matches have the same priority is vendor specific.

The CMTS MAY report in the CmtsCmStatus object CMs that do not signal any IP Multicast Authorization Encodings in the registration process.

Table M-4 - CmtsCmStatus Object

Attribute Name	Type	Access	Type Constraints	Units	Default
CmtsCmRegStatusId	unsignedInt	key	1..4294967295	N/A	N/A
CfgProfileNameList	TagList	read-only		N/A	N/A

Attribute Name	Type	Access	Type Constraints	Units	Default
CfgListId	unsignedInt	read-only		N/A	N/A
MaxNumSess	unsignedShort	read-only		sessions	N/A
CfgParamFlag	EnumBits	read-only	profile(0) staticMulticast(1) maxNumSessions(2)	N/A	N/A

M.2.2.1.1 CmtsCmRegStatusId

This attribute is a key which uniquely identifies the CM. This attribute matches an index value of the CMTS CM Registration Status object.

References: Annex N, CmtsCmRegStatus Object Section.

M.2.2.1.2 CfgProfileNameList

This attribute indicates the set of Profile Names associated with the CM.

This attribute indicates the CM signaled 'IP Multicast Authorization Profile Name' encodings during the CM registration process, or in the absence of instances of that config file parameter, the DefProfileNameList attribute from the Ctrl object.

References: [MULPI] IP Multicast Profile Name Subtype sections.

M.2.2.1.3 CfgListId

This attribute identifies the reference to a CMTS created Session Rule List based on the CM signaled 'IP Multicast Authorization Static Session Rule' encodings. The CMTS may reuse this attribute value to reference more than one CM that have signaled the same list of Session Rules to the CMTS.

The value zero indicates that the CM did not signal Multicast Session Rules to the CMTS or the CMTS does not support the StaticSessRule, in which case, the CMTS ignores any CM signalled Session Rule encodings during registration.

References: [MULPI] IP Multicast Join Authorization Static Session Rule Subtype section in the Common Radio Frequency Interface Encodings Annex.

M.2.2.1.4 MaxNumSess

This attribute indicates the CM signaled value in Maximum Multicast Sessions Encoding during the CM registration process. If this value is missing the DefMaxNumSess attribute of the Ctrl object is used to determine the maximum number of multicast sessions this client may forward. The value 0 indicates that no dynamic joins are permitted. The value 65535 (the largest valid value) indicates that the CMTS permits any number of sessions to be joined by clients reached through the CM.

References: [MULPI] Maximum Multicast Sessions Encoding section in the Common Radio Frequency Interface Encodings Annex.

M.2.2.1.5 CfgParamFlag

This attribute represents the functions that are activated through the registration process.

The bit 'profile' indicates whether the CM signaled 'IP Multicast Authorization Profile Name Subtype' encodings.

The bit 'staticMulticast' indicates whether the CM signaled 'IP Multicast Authorization Static Session Rule Subtype' encodings.

The bit 'maxNumSessions' indicates whether the CM signaled the 'Maximum Multicast Sessions' encoding.

M.2.2.2 StaticSessRule Object

This object defines the Session authorization Rules based on the CM or group of CMs signaled in IP Multicast Join Authorization Static Session Subtype encoding This object reflects the Static Session rules that were included in the CM registration request message.

The CMTS MAY persist all instances of the StaticSessRule object across reinitializations.

References: [MULPI] IP Multicast Join Authorization Static Session Rule Subtype section in the Common Radio Frequency Interface Encodings Annex.

Table M-5 - StaticSessRule Object

Attribute Name	Type	Access	Type Constraints	Units	Default
CfgListId	unsignedInt	key	1..4294967295	N/A	N/A
Id	unsignedInt	key	1..4294967295	N/A	N/A
Priority	unsignedByte	read-only		N/A	N/A
PrefixAddrType	InetAddressType	read-only	ipv4(1) ipv6(2)	N/A	N/A
SrcPrefixAddr	InetAddress	read-only		N/A	N/A
SrcPrefixLen	InetAddressPrefixLength	read-only		N/A	N/A
GrpPrefixAddr	InetAddress	read-only		N/A	N/A
GrpPrefixLen	InetAddressPrefixLength	read-only		N/A	N/A
Action	Enum	read-only	permit(1) deny(2)	N/A	N/A

M.2.2.2.1 CfgListid

This attribute contains a CMTS-derived value for a set of multicast static session rules associated to one or more CMs.

M.2.2.2.2 Id

This attribute provides an identifier for each Multicast Authorization Static Session rule in the IP Multicast Join Authorization Static Session SubType communicated by a CM or group of CMs during registration.

M.2.2.2.3 Priority

This attribute defines the rule priority for the static session rule. Higher values indicate a higher priority. If more than one session rule matches a joined session, the session rule with the highest rule priority determines the authorization action.

M.2.2.2.4 PrefixAddrType

This attribute identifies the address family for the multicast session (S,G) which corresponds to the SrcPrefixAddr and GrpPrefixAddr attributes respectively.

M.2.2.2.5 SrcPrefixAddr

This attribute identifies a specific Multicast Source Address defined for this rule. A Source Address that is all zeros is defined as 'all source addresses (*, G)'. Source Prefix Addresses are unicast host addresses.

References: [RFC 3569] section 6; [RFC 3306] sections 5 and 6.

M.2.2.2.6 SrcPrefixLen[93]

This attribute identifies the prefix length associated with a range of Source (S) IP multicast group addresses. For group or ASM-based sessions this attribute is set to 0.

M.2.2.2.7 GrpPrefixAddr[94]

This attribute is the IP address corresponding to an IP multicast group.

M.2.2.2.8 GrpPrefixLen[95]

This attribute identifies the prefix length associated with a range of Group Destination IP multicast addresses.

M.2.2.2.9 Action

This attribute specifies the authorization action for a session join attempt that matches the session rule.

The value 'accept' indicates that the rule permits a matching multicast join request is allowed. The value 'deny' indicates that a matching multicast join request is denied.

M.2.3 Multicast QoS Configuration Object Model[96]

This object model defines the configuration requirements for multicast session QoS and privacy over the HFC by extending the DOCSIS QoS model [MULPI] and Baseline Privacy Interface (BPI) [SEC] requirements respectively. The components of the Multicast Configuration model are:

- CmtsGrpCfg, the Multicast Group Configuration rules for Multicast that includes QoS, Encryption and DSID-based Packet Header suppression,
- CmtsGrpQosCfg, the QoS policies for Multicast Sessions,
- GrpSvcClass, default SCN template reference for unclassified Multicast sessions,
- CmtsGrpPhsCfg, DSID-indexed PHS rules configuration for Multicast sessions,
- CmtsGrpEncryptCfg, encryption rules configuration for Multicast sessions,
- GrpServiceflow (see Annex O), extends the Service Flows information to report parameters of multicast service flows, known as Group Service Flows (GSFs),
- GrpPktClass (see Annex O), extends the Service Flows packet classification information to report multicast specific parameters.

The management of QoS for Multicast requires that the CMTS support the CmtsGrpCfg, CmtsGrpQosCfg, GrpSvcClass, CmtsGrpEncryptCfg, GrpServiceflow and GrpPktClass objects.

The representation of GSFs for management purposes is similar to unicast service flows. A GSF is a specialization of unicast service flows, therefore, the DOCSIS QOS Model [MULPI] and the QoS management model from Annex O applies to GSFs with some considerations:

[93] Revised per OSSIv3.0-N-07.0411-3 #2 on 5/7/07 by KN.
[94] Revised per OSSIv3.0-N-07.0411-3 #2 on 5/7/07 by KN.
[95] Revised per OSSIv3.0-N-07.0411-3 #2 on 5/7/07 by KN.
[96] revised per OSSIv3.0-N-07.0480-3 by ab on 7/12/07.

- GSFs have corresponding Service Flow IDs in the downstream direction. The CMTS represents GSFs in the QoS model from Annex O, in particular, in ServiceFlow, PktClass, ParamSet, ServiceFlowStats, and ServiceFlowLog. GSFs are never signalled to the CM.
- GSFs have no corresponding mapping to CM MAC Addresses as unicast service flows, therefore, CmtsMacToSrvFlow does not contain information related to GSFs. Instead the GrpServiceflow indicates the SFIDs of GSFs per-MAC domain.
- To complete the classification of the multicast traffic to a GSF, entries in the Group Configuration object are used to build a Group Classifier Rule (GCR) when there is a nonzero value for QosConfigId [MULPI].[97]
- docsQosPHSTable does not apply to GSF-GCR pairs, instead configurable DSID-Indexed PHS rules are defined in the CmtsGrpPhsCfg object.
- The CM does not report GSFs as part of its Service Flow information; the CM is only aware of the DSID context of a GSF (see Annex O).

[97] Revised per OSSIv3.0-N-07.0411-3 #5 on 5/7/07 by KN.

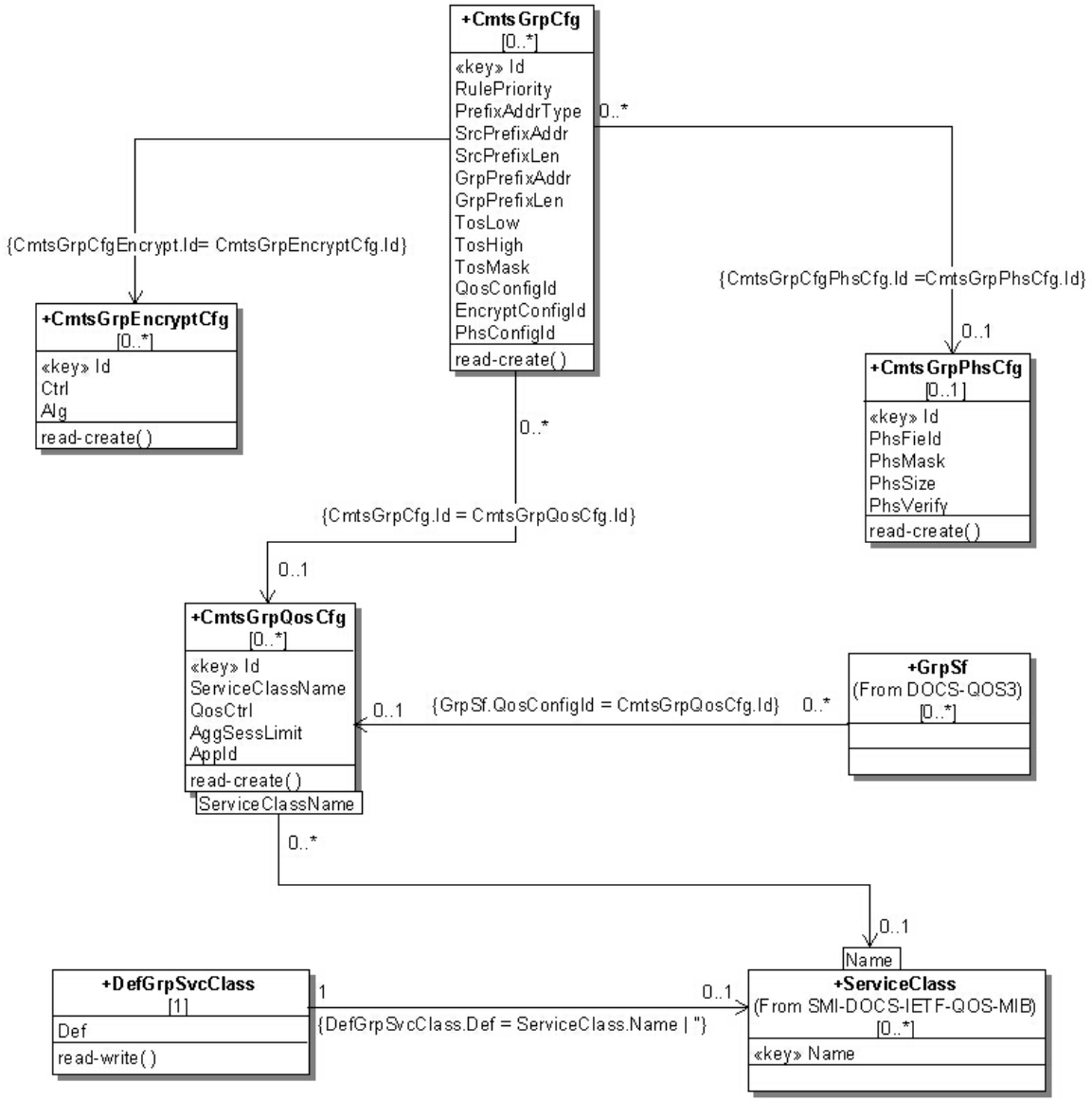


Figure M-2 - Multicast Configuration Object Model Diagram

M.2.3.1 CmtsGrpCfg Object

This object controls the QoS, PHS and encryption settings for downstream forwarding of IP multicast sessions. An IP multicast session is replicated to one or more Downstream Channel Sets (DCSs), where each DCS is either a single downstream channel or a downstream bonding group of multiple channels. The CMTS determines on which DCSs to replicate a multicast session based on IP multicast membership reports ('joins') or other vendor-specific static configuration.

The CmtsGrpCfg object allows for the configuration of a range of sessions through the SrcPrefixAddr and GrpPrefixAddr and SrcPrefixLen and GrpPrefixLen attributes.

The CmtsGrpCfg object allows for the configuration of QoS, Encryption and PHS for multicast sessions. Cable operators can specify configuration rules for a range of multicast sessions through the tuple of (SrcPrefixAddr, SrcPrefixLen, GrpPrefixAddr, GrpPrefixLen) attributes in an entry. The QosCfgId attribute identifies the QoS rule, the EncryptCfgId identifies the encryption rule and the PhsCfgId identifies the PHS rule for a particular entry. Even if an entry indicates a range of multicast sessions the Encryption and PHS rules are applied on a per-session basis. Thus, when an Operator configures PHS rules or Encryption for a given GroupConfig entry, each session has those rules applied on a per session and per replication basis. Group PHS and Group Encryption rules are indicated by using a non-zero value for the PhsCfgId and EncryptCfgId respectively.[98]

The CmtsGrpQosCfgQosCtrl attribute from the CmtsGrpQosCfg object is used to determine if the traffic for a range of multicast sessions identified by an entry in the CmtsGrpCfg object will be transmitted in an "Aggregate-Session" Group Service Flow or will be transmitted separately for each session using "Single-Session" Group Service Flows. Even if the range of multicast sessions are transmitted on an "Aggregate-Session" Group Service Flow, the PHS and Encryption rules are always applied individually to a multicast session on a per-session DSID basis prior to being transmitted on an "Aggregate-Session" Group Service Flow (GSF).

This object supports the creation and deletion of multiple instances.

Creation of a new instance of this object requires the following attributes to be set

- RulePriority
- PrefixAddrType
- SrcPrefixAddr
- SrcPrefixLen
- GrpPrefixAddr
- GrpPrefixLen
- TosLow
- TosHigh
- TosMask

The CMTS MUST persist all instances of the CmtsGrpCfg object across system reinitializations.

Table M-6 - CmtsGrpCfg Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	key	1..4294967295	N/A	N/A
RulePriority	unsignedByte	read-create		N/A	N/A
PrefixAddrType	InetAddressType	read-create	ipv4(1) ipv6(2)	N/A	N/A
SrcPrefixAddr	InetAddress	read-create		N/A	N/A
SrcPrefixLen	InetAddressPrefixLength	read-create		N/A	N/A
GrpPrefixAddr	InetAddress	read-create		N/A	N/A
GrpPrefixLen	InetAddressPrefixLength	read-create		N/A	N/A
TosLow	hexBinary	read-create	SIZE (1)	N/A	N/A
TosHigh	hexBinary	read-create	SIZE (1)	N/A	N/A
TosMask	hexBinary	read-create	SIZE (1)	N/A	N/A
QosCfgId	unsignedShort	read-create		N/A	0
EncryptCfgId	unsignedShort	read-create		N/A	0

[98] Revised per OSSIv3.0-N-07.0411-3 #4 on 5/7/07 by KN.

Attribute Name	Type	Access	Type Constraints	Units	Default
PhsCfgId	unsignedShort	read-create		N/A	0

M.2.3.1.1 Id

This attribute represents the unique identifier of instances of this object. This attribute is the key that identifies unique instances of the CmtsGrpCfg Object.

M.2.3.1.2 RulePriority

This attribute indicates the priority of this entry used to resolve which instance of this object apply when a newly replicated multicast session matches multiple entries. Higher values indicate a higher priority. Valid values for this attribute are 0..63 and 192..255 in order to not conflict with CMTS internally-created instances that use the range 64..191.

M.2.3.1.3 PrefixAddrType

This attribute identifies the address family for the multicast session (S,G) of the Group Configuration (GC) which corresponds to the SrcPrefixAddr and GrpPrefixAddr attributes respectively.

M.2.3.1.4 SrcPrefixAddr

This attribute defines the IP source address prefix of the IP multicast session. Source prefix addresses are unicast host addresses.

References: [RFC 3569] section 6; [RFC 3306] sections 5 and 6.

M.2.3.1.5 SrcPrefixLen[99]

This attribute identifies the prefix length associated with a range of Source (S) IP multicast group addresses. For Group or ASM based sessions this attribute is set to 0.

M.2.3.1.6 GrpPrefixAddr[100]

This attribute is the IP address corresponding to an IP multicast group.

M.2.3.1.7 GrpPrefixLen[101]

This attribute identifies the prefix length associated with a range of Group Destination IP multicast addresses.

M.2.3.1.8 TosLow

This attribute identifies the low value of a range of the TOS byte value to be defined in a packet classifier this GC instantiates in the GCR in order to limit the GCR-matched traffic to a particular set of DSCPs. This applies to the IPv4 TOS byte and the IPv6 Traffic Class byte.

The IP TOS octet, as originally defined in [RFC 791], has been superseded by the 6-bit Differentiated Services Field and the 2-bit Explicit Congestion Notification Field.

References: [RFC 791]; [RFC 3260]; [RFC 3168].

[99] Revised per OSSIv3.0-N-07.0411-3 #3 on 5/7/07 by KN.
[100] Revised per OSSIv3.0-N-07.0411-3 #3 on 5/7/07 by KN.
[101] Revised per OSSIv3.0-N-07.0411-3 #3 on 5/7/07 by KN.

M.2.3.1.9 TosHigh

This attribute identifies the high value of a range of the TOS byte value to be defined in a packet classifier this GC instantiates in the GCR in order to limit the GCR-matched traffic to a particular set of DSCPs. This applies to the IPv4 TOS byte and the IPv6 Traffic Class byte.

The IP TOS octet, as originally defined in [RFC 791], has been superseded by the 6-bit Differentiated Services Field (DSField, [RFC 3260]) and the 2-bit Explicit Congestion Notification Field (ECN field, [RFC 3168]).

References: [RFC 791]; [RFC 3260]; [RFC 3168].

M.2.3.1.10 TosMask

This attribute identifies the mask value bitwise ANDed with a TOS byte value to be defined in a packet classifier this GC instantiates in the GCR in order to limit the GCR-matched traffic to a particular set of DSCPs. This applies to the IPv4 TOS byte and the IPv6 Traffic Class byte.

The IP TOS octet, as originally defined in [RFC 791], has been superseded by the 6-bit Differentiated Services Field (DSField, [RFC 3260]) and the 2-bit Explicit Congestion Notification Field (ECN field, [RFC 3168]).

References: [RFC 791]; [RFC 3260]; [RFC 3168].

M.2.3.1.11 QosCfgId[102]

This attribute identifies an instance in CmtsGrpQosCfg for configuring the QoS for the replication of the sessions matching this CmtsGrpCfg instance.

The value 0 indicates that all replications referenced by this CmtsGrpCfg instance will be forwarded to the default GSF.

M.2.3.1.12 EncryptCfgId

This attribute identifies an instance in CmtsGrpEncryptCfg for configuring the encryption of replications derived from this GC.

The value 0 indicates no encryption for all replications derived from this GC.

M.2.3.1.13 PhsCfgId

This attribute identifies an instance in CmtsGrpPhsCfg that configures DSID-indexed PHS compression for all replications derived from this GC.

The value 0 indicates no PHS compression for all replications derived from this GC.

M.2.3.2 GrpSvcClass Object

This object provides the name of the Default Group Service Class. The CMTS instantiates a Default Group Service Flow with the QOS param Set indicated by this Service Class Name reference on every Downstream Channel Set to which it replicates multicast packets that are otherwise unclassified by a Group Classifier Rule.

The CMTS MUST persist the value of the attributes of the GrpSvcClass object across reinitializations.

[102] revised per OSSIv3.0-N-07.0499-1 by ab on 7/17/07.

Table M-7 - GrpSvcClass Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Def	AdminString	read-write	SIZE (0..15)	N/A	"H

M.2.3.2.1 Def[103]

This attribute references a Service Class Name QoS Parameter Set template. This attribute is used to expand the QoS parameter Set of QoS for multicast sessions that uses a default QoS policy.

References: Annex O.

M.2.3.3 GrpQosCfg Object[104]

This object configures the QoS configured for Multicast sessions replicated to any Downstream Channel Set. It does not control which particular DCSs to which the CMTS replicates a multicast session.

An instance of this object is called a GQC entry. A GQC entry controls how the CMTS instantiates a Group Classifier Rule (GCR) on the DCS to match packets of the multicast session. A Group Classifier Rule (GCR) uses source and destination IP address and ToS criteria.

A GQC entry controls how and with what QoS parameters a Group Service Flow (GSF) is created on a DCS. All downstream multicast packets are scheduled on a GSF. The QoS Type attribute of the GQC entry controls whether the CMTS creates one GSF for each single IP multicast session or whether the CMTS creates one GSF for the aggregate of all sessions that match the GQC criteria. The GQC instance contains a reference to a Service Class Name QoS Parameter Set template. The Service Class defines the list of QoS parameters for the GSF(s) instantiated for the GQC entry.

A CMTS identifies one Service Class as the Default Group QoS Service Class. The CMTS instantiates a Default Group Service Flow on each single-channel DCS based on the parameters of the Default Group QoS Service Class.

The set of GCRs and GSFs instantiated on a DCS control how QoS is provided to multicast packets replicated to the DCS. For each multicast packet, the CMTS classifies the packet to the highest priority matching GCR on that DCS. The GCR refers to a single GSF, which controls the scheduling of the packets on the DCS. If the multicast packet does not match any GCR on the DCS, the packet is scheduled on the Default Group Service Flow of the DCS. The CMTS replicates unclassified multicast traffic to only DCSs consisting of a single downstream channel. Thus, the Maximum Sustained Traffic Rate QoS parameter of the Default Group Service Class limits the aggregate rate of unclassified multicast traffic on each downstream channel.

The CMTS is expected to instantiate GCRs and GSFs controlled by the entries in this table only for the duration of replication of the multicast sessions matching the entry.

This object supports the creation of multiple instances.

Creation of new instances of this object require the following objects to be set:

- SvcClassName
- QosCtrl
- AggSessLimit

The CMTS MUST persist all instances of the CmtsGrpQosCfg object across system reinitialization.

[103] revised per OSSIv3.0-N-07.0480-3 by ab on 7/12/07.
[104] revised per OSSIv3.0-N-07.0473-3 by ab on 7/12/07.

Table M-8 - GrpQosCfg Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedShort	key		N/A	N/A
SvcClassName	AdminString	read-create	SIZE (1..15)	N/A	N/A
QosCtrl	Enum	read-create	singleSession(1) aggregateSession(2)	N/A	
AggSessLimit	unsignedShort	read-create	1.. 65535	sessions	N/A
AppId	unsignedInt	read-create		N/A	0

M.2.3.3.1 Id

This attribute identifies a unique Group QoS Configuration object instance.

M.2.3.3.2 SvcClassName

This attribute identifies the Service Class Name reference for the set of QoS parameters for this GQC.

M.2.3.3.3 QosCtrl[105]

This attribute identifies how Group Classifier Rules (GCRs) and Group Service Flows (GSFs) are instantiated when multiple sessions match the (S,G) criteria of this entry. If 'singleSession', the CMTS creates a unique GCR and a unique GSF for the session. If this object's value is 'aggregateSession', all sessions matching this criterion are aggregated into the same GSF.

M.2.3.3.4 AggSessLimit[106]

This attribute identifies the maximum number of sessions that may be aggregated in an aggregated Service Flow. This value is ignored in case of a GQC entry with QosCtrl set to 'singleSession'.

M.2.3.3.5 AppId

This attribute allows the operator to configure a Cable Operator defined Application Identifier for multicast sessions, e.g., an Application Manager ID and Application Type. This Application Identifier can be used to influence admission control or other policies in the CMTS that are outside of the scope of this specification. This parameter is optional in defining QoS for multicast sessions.

If the value of this attribute is different from the value of the AppId in the referenced SCN for this GQC instance, the value of this attribute is used.

References: [MULPI] Application Identifier section in the Common Radio Frequency Interface Encodings Annex; [PKT-PCMM] Policy Server and CMTS Interface section.

M.2.3.4 CmtsGrpPhsCfg Object[107]

This object controls the configuration of DSID-indexed PHS for multicast sessions. Configuration of PHS Rules via this object are applied to individual multicast sessions even if the referenced GrpCfg object identified a GrpQosCfg instance with a QosCtrl of 'aggregateSession'.

This object supports the creation and deletion of instances.

[105] Revised per OSSIv3.0-N-07.0411-3 #7 on 5/7/07 by KN.
[106] Revised per OSSIv3.0-N-07.0411-3 #7 on 5/7/07 by KN.
[107] revised per OSSIv3.0-N-07.0447-2 by ab on 7/10/07.

Creation of multiple instances of this object require the following attributes to be set:

- PhsField
- PhsMask
- PhsSize

The CMTS MUST persist all instances of the CmtsGrpPhsCfg object across system reinitializations.

Table M-9 - CmtsGrpPhsCfg Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedShort	key	1..65535	N/A	N/A
PhsField	hexBinary	read-create	SIZE (0..255)	N/A	N/A
PhsMask	hexBinary	read-create	SIZE (0..32)	N/A	N/A
PhsSize	unsignedByte	read-create	0..255	Bytes	N/A
PhsVerify	boolean	read-create		N/A	false

M.2.3.4.1 Id

This attribute identifies the unique identifier of a PHS rule that is referenced by the GrpCfg object.

M.2.3.4.2 PhsField

This attribute defines the bytes of the DOCSIS header which must be suppressed/restored by the sending/receiving device.

M.2.3.4.3 PhsMask

This attribute defines the bit mask which is used in combination with the PhsField to define which bytes in header must be suppressed/restored by the sending or receiving device.

Each bit of this bit mask corresponds to a byte in the PhsField, with the least significant bit corresponding to the first byte of the PhsField.

Each bit of the bit mask specifies whether or not the corresponding byte should be suppressed in the packet. A bit value of '1' indicates that the byte should be suppressed by the sending device and restored by the receiving device.

A bit value of '0' indicates that the byte should not be suppressed by the sending device or restored by the receiving device.

If the bit mask does not contain a bit for each byte in the PhsField then the bit mask is extended with bit values of '1' to be the necessary length.

M.2.3.4.4 PhsSize

This attribute specifies the number of bytes in the header to be suppressed and restored.

The value of this object matches the number of bytes the bits indicated in the PhsField attribute.

M.2.3.4.5 PhsVerify

This attribute specifies the Payload Header Suppression verification value of 'true' the sender must verify PhsField is the same as what is contained in the packet to be suppressed.

M.2.3.5 CmtsGrpEncryptCfg Object

This object controls the configuration of the Security Association (SA) and the encryption algorithm used for multicast sessions.

This object supports the creation and deletion of instances.

The CMTS MUST persist all instances of the CmtsGrpEncryptCfg object across system reinitializations.

Table M-10 - CmtsGrpEncryptCfg Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedShort	key		N/A	N/A
Ctrl	Enum	read-create	cmts(1) mgmt(2)	N/A	mgmt
Alg	DocsBpkmDataEncryptAlg	read-create	des56CbcMode(1) des40CbcMode(2) aes128CbcMode(4)	N/A	des56CbcMode

M.2.3.5.1 Id

This attribute specifies the unique identifier of instances of this object.

M.2.3.5.2 Ctrl

This attribute controls whether the CMTS can select the encryption algorithm or if this can be set manually using the Alg attribute. If this attribute is set to 'cmts', the CMTS can select the encryption algorithm for the Security Association (SA). If this attribute is set to 'mgmt', the Alg attribute is used to define the encryption algorithm for this SA.

M.2.3.5.3 Alg

This attribute defines which encryption algorithm will be used for an SA referenced by this object when the Ctrl is set to 'mgmt'.

M.2.4 Multicast Status Reporting Object Model

This Model provides the replication and reporting aspects of multicast sessions for CM and CMTS. The components of the Multicast status reporting model are:

- CmtsReplSess, Multicast Sessions replications per MAC domain for the CMTS.
- CmtsDsidPhs, PHS information for DSID for CMTS.
- See Annex O for additional requirements that apply to Multicast, in particular QoS extensions for GSFs, GCRs, and DSIDs.[108]

[108] Revised per OSSIv3.0-N-07.0411-3 #6 on 5/7/07 by KN.

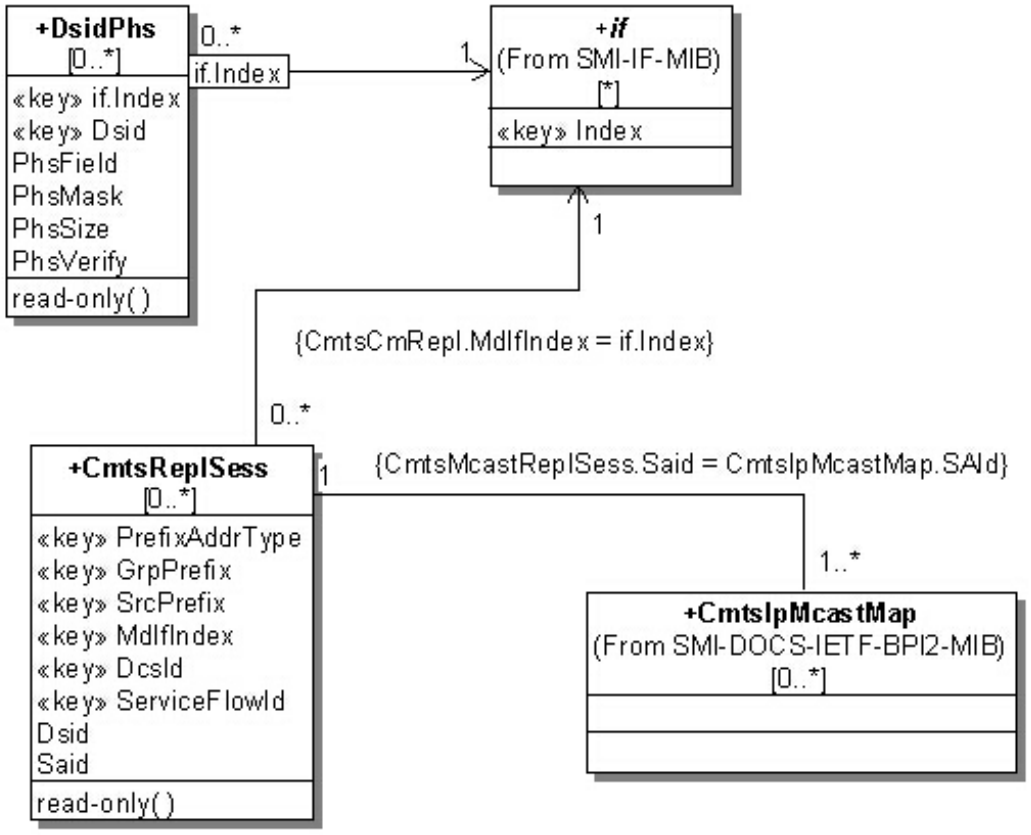


Figure M-3 - Multicast Status Reporting Object Model Diagram

M.2.4.1 DsidPhs Object

This object reports the set of DSID-Indexed PHS rules that are signaled between the CMTS and CMs as part of the Multicast Sessions setup. The attributes PhsMask, PhsSize and PhsVerify comes from the configuration object CmtsGrpPhsCfg. The value of the PhsField attribute is derived by the CMTS from the CmtsGrpCfg object parameters, and possibly other IP header information of the multicast session that the CMTS is capable of knowing prior to the multicast session setup. In cases where the PhsSize is longer than the CMTS knowledge of IP/TCP header fields, the CMTS extends the PhsMask with bits set to 0 until reaching the equivalent PhsSize value.

Table M-11 - DsidPhs Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key		N/A	N/A
Dsid	Dsid	key		N/A	N/A
PhsField	hexBinary	read-only	SIZE (0..255)	N/A	N/A
PhsMask	hexBinary	read-only	SIZE (0..32)	N/A	N/A
PhsSize	unsignedByte	read-only	0..255	bytes	N/A
PhsVerify	boolean	read-only		N/A	false

M.2.4.1.1 IfIndex

This attribute represents the MAC Domain interface Index where the DSID-Indexed PHS rule applies.

M.2.4.1.2 Dsid

This attribute represents the 20-bit DSID associated with this PHS rule.

M.2.4.1.3 PhsField

This attribute defines the bytes of the header which must be suppressed/restored by the sending/receiving device.

M.2.4.1.4 PhsMask

This attribute defines the Payload Header Suppression mask in the header to be suppressed and restored.

M.2.4.1.5 PhsSize

This attribute defines the number of bytes in the header to be suppressed and restored.

M.2.4.1.6 PhsVerify

This attribute, when set to 'true', indicates that the sender must verify that the PHS Field attribute value is the same is the same as what is contained in the packet to be suppressed.

M.2.4.2 CmtsReplSess Object

This object describes the replication of IP Multicast sessions onto the different Downstream Channel Sets of a CMTS. Each DCS may be either a single downstream channel or a bonding group of multiple downstream channels. Each IP Multicast session is identified by a combination of IP source and IP Destination group address '(S,G). The CMTS replicates each IP packet in an (S,G) session onto one or more Downstream Channel Sets (DCSs), each of which is implemented in a MAC Domain. The CMTS assigns each replication a Downstream Service ID (DSID) that is unique per MAC Domain.

Table M-12 - CmtsReplSess Object

Attribute Name	Type	Access	Type Constraints	Units	Default
PrefixAddrType	InetAddressType	key	ipv4(1) ipv6(2)	N/A	N/A
GrpPrefix	InetAddress	key		N/A	N/A
SrcPrefix	InetAddress	key		N/A	N/A
MdIfIndex	InterfaceIndex	key		N/A	N/A
DcsId	ChSetId	key		N/A	N/A
ServiceFlowId	unsignedInt	key	1..4294967295	N/A	N/A
Dsid	Dsid	read-only		N/A	N/A
Said	DocsSAid	read-only	1..16383	N/A	N/A

M.2.4.2.1 PrefixAddrType

This attribute defines the address type for the GrpPrefix and SrcPrefix addresses.

M.2.4.2.2 GrpPrefix

This attribute defines the group G of a particular (S,G) IP multicast session.

M.2.4.2.3 SrcPrefix

This attribute identifies a specific Multicast Source Address. A Source Address that is all zeros is defined as 'all source addresses (*, G)'.

References: [RFC 3569] section 6; [RFC 3306] sections 5 and 6.

M.2.4.2.4 MdIfIndex

This attribute defines the MAC Domain Interface index of the channel to which the (S,G) session is replicated.

M.2.4.2.5 DcsId

This attribute provides the reference for the Downstream Channel within a MAC Domain that the multicast session (S,G) is replicated to.

M.2.4.2.6 ServiceFlowId

This attribute indicates the service flow into which packets are classified for this replication of the multicast session (S,G)

M.2.4.2.7 Dsid

This attribute defines the Downstream Service ID (DSID) label with which the CMTS labels all packets of the (S,G) session on the DCS of a MAC Domain. The DSID value is unique per MAC domain.

M.2.4.2.8 Said

This attribute defines the Security Association ID (SAID) of this multicast replication session. The value 0 indicates no SAID associated with this session.

Annex N CM Registration and Upstream Status Requirements (Normative)

N.1 Overview

This Annex defines two sets of CM management objects for reporting, the CM registration status object and the Cable Modem (CM) upstream status.

N.2 Object Definitions

This section defines the CM registration status objects and upstream status objects from the CM and CMTS perspective. The object model consists of read-only attributes.

N.2.1 Type Definitions[109]

This section defines data types to represent information related to the CM registration process.

Table N-1 - Data Type Definitions[110]

Data Type Name	Base Type	Permitted Values
CmRegState	Enum	other(1) notReady(2) notSynchronized(3) phySynchronized(4) dsTopologyResolutionInProgress(21) usParametersAcquired(5) rangingInProgress(22) rangingComplete(6) eaeInProgress(14) dhcpv4InProgress(15) dhcpv6InProgress(16) dhcpV4Complete(7) dhcpV6Complete(17) todEstablished(8) securityEstablished(9) configFileDownloadComplete(10) registrationInProgress(18) registrationComplete(11) accessDenied(13) operational(12) bpiInit(19) forwardingDisabled(20) rfMuteAll(23)

[109] Section revised per OSSIv3.0-N-07.0447-2 #1 on 5/10/07 by KN.
[110] Table revised per OSSIv3.0-N-07.0410-4, #1 on 5/8/07 by KN.

Data Type Name	Base Type	Permitted Values
CmtsCmRegState	Enum	other (1) initialRanging(2) rangingAutoAdjComplete (4) startEae (10) startDhcpV4 (11) startDhcpV6(12) dhcpV4Complete(5) dhcpV6Complete(13) startConfigFileDownload(14) configFileDownloadComplete(15) startRegistration(16) registrationComplete(6) operational (8) bpiInit (9) forwardingDisabled(17) rfMuteAll(18)
RangingState	Enum	other (1) aborted(2) retriesExceeded(3) success(4) continue(5) timeoutT4(6)
Tlv8	hexBinary	

N.2.1.1 CmRegState[111]

This data type defines the CM connectivity state as reported by the CM.

References: [MULPI] Cable Modem - CMTS Interaction section.

The enumerated values associated with the CmRegState are:

- other

  'other' indicates any state not described below.

- notReady

  'notReady' indicates that the CM has not started the registration process yet.

- notSynchronized

  'notSynchronized' indicates that the CM has not initiated or completed the synchronization of the downstream physical layer

- phySynchronized

  'phySynchronized' indicates that the CM has completed the synchronization of the downstream physical layer

- dsTopologyResolutionInProgress

  'dsTopologyResolutionInProgress' indicates that the CM is attempting to determine its MD-DS-SG.

- usParametersAcquired

  'usParametersAcquired' indicates that the CM has completed the upstream parameters acquisition or have completed the downstream and upstream service groups resolution, whether the CM is registering in a pre-3.0 or a 3.0 CMTS.

[111] Section revised per OSSIv3.0-N-07.0410-4, #2 on 5/8/07 by KN

- rangingInProgress

  'rangingInProgress' indicates that the CM has initiated the initial ranging process.

- rangingComplete

  'rangingComplete' indicates that the CM has completed initial ranging and received a Ranging Status of success from the CMTS in the RNG-RSP message.

- eaeInProgress

  'eaeInProgress' indicates that the CM has sent an Auth Info message for EAE.

- dhcpv4InProgress

  'dhcpv4InProgress' indicates that the CM has sent a DHCPv4 DISCOVER to gain IP connectivity

- dhcpv6InProgress

  'dhcpv6InProgress' indicates that the CM has sent an DHCPv6 Solicit message.

- dhcpv4Complete

  'dhcpv4Complete' indicates that the CM has received a DHCPv4 ACK message from the CMTS.

- dhcpv6Complete

  'dhcpv6Complete' indicates that the CM has received a DHCPv6 Reply message from the CMTS.

- todEstablished

  'todEstablished' indicates that the CM has successfully acquired time of day. If the ToD is acquired after the CM is operational, this value SHOULD not be reported.

- securityEstablished

  'securityEstablished' indicates that the CM has successfully completed the BPI initialization process.

- configFileDownloadComplete

  'configFileDownloadComplete' indicates that the CM has completed the config file download process.

- registrationInProgress

  'registrationInProgress' indicates that the CM has sent a Registration Request (REG-REQ or REG-REQ-MP)

- registrationComplete

  'registrationComplete' indicates that the CM has successfully completed the Registration process with the CMTS.

- accessDenied

  'accessDenied' indicates that the CM has received a registration aborted notification from the CMTS.

- operational

  'operational' indicates that the CM has completed all necessary initialization steps and is operational.

- bpiInit

'bpiInit' indicates that the CM has started the BPI initialization process as indicated in the CM config file. If the CM already performed EAE, this state is skipped by the CM.

- forwardingDisabled

  'forwardingDisabled' indicates that the registration process was completed, but the network access option in the received configuration file prohibits forwarding.

- rfMuteAll

  'rfMuteAll' indicates that the CM is instructed to mute all channels in the CM-CTRL-REQ message from CMTS.

The following table provides a mapping of Pre-3.0 DOCSIS and DOCSIS 3.0 registration states as reported by CM.

Table N-2 - Pre-3.0 DOCSIS and DOCSIS 3.0 CM Registration status mapping[112]

CM Pre-3.0 DOCSIS (from docsIfCmStatusValue)	CM DOCSIS 3.0
other(1)	other(1)
notReady(2)	notReady(2)
notSynchronized(3)	notSynchronized(3)
phySynchronized(4)	phySynchronized(4)
	dsTopologyResolutionInProgress(21)
usParametersAcquired(5)	usParametersAcquired(5)
	rangingInProgress(22)
rangingComplete(6)	rangingComplete(6)
	eaeInProgress(14)
	dhcpv4InProgress(15)
	dhcpv6InProgress(16)
ipComplete(7)	dhcpv4Complete(7)
	dhcpv6Complete(17)
todEstablished(8)	todEstablished(8)
securityEstablished(9)	securityEstablished(9)
paramTransferComplete(10)	configFileDownloadComplete(10)
	registrationInProgress(18)
registrationComplete(11)	registrationComplete(11)
accessDenied(13)	accessDenied(13)
operational(12)	operational(12)
	bpiInit (19)
	forwardingDisabled(20)
	rfMuteAll(23)
NOTE: DOCSIS 3.0 introduces new CM registration states which are given higher enumeration values even though they are intermediate CM registration states.	

N.2.1.2 CmtsCmRegState[113]

This data type defines the CM connectivity states as reported by the CMTS.

References: [MULPI] Cable Modem - CMTS Interaction section.

[112] Table revised per OSSIv3.0-N-07.0410-4, #2 on 5/8/07 by KN.
[113] Section revised per OSSIv3.0-N-07.0410-4 on 5/8/07 by KN.

The enumerated values associated with the CmtsCmRegState are:

- other

  'other' indicates any state not described below.

- initialRanging

  'initialRanging' indicates that the CMTS has received an Initial Ranging Request message from the CM, and the ranging process is not yet complete.

- rangingAutoAdjComplete

  'rangingAutoAdjComplete' indicates that the CM has completed initial ranging and the CMTS sends a Ranging Status of success in the RNG-RSP.

- startEae

  'startEae' indicates that the CMTS has received an Auth Info message for EAE from the CM.

- startDhcpv4

  'startDhcpv4' indicates that the CMTS has received a DHCPv4 DISCOVER message from the CM.

- startDhcpv6

  'startDhcpv6' indicates that the CMTS has received a DHCPv6 Solicit message from the CM.

- dhcpv4Complete

  'dhcpv4Complete' indicates that the CMTS has sent a DHCPv4 ACK message to the CM.

- dhcpv6Complete

  'dhcpv6Complete' indicates that the CMTS has sent a DHCPv6 Reply message to the CM.

- startConfigFileDownload

  'startConfigFileDownload' indicates that the CM has started the config file download. If the TFTP Proxy feature is not enabled, the CMTS may not report this state.

- configFileDownloadComplete

  'configFileDownloadComplete' indicates that the CM has completed the config file download process. If the TFTP Proxy feature is not enabled, the CMTS is not required to report this state.

- startRegistration

  'startRegistration' indicates that the CMTS has received a Registration Request (REG-REQ or REG-REQ-MP) from the CM.

- registrationComplete

  'registrationComplete' indicates that the CMTS has received a Registration Acknowledge (REG-ACK) with a confirmation code of okay/success.

- operational

  'operational' indicates that the CM has completed all necessary initialization steps and is operational.

- bpiInit

  'bpiInit' indicates that the CMTS has received an Auth Info or Auth Request message as part of BPI Initialization.

- forwardingDisabled

  'forwardingDisabled' indicates that the CM registration process was completed, but the network access option in the received configuration file prohibits the CM from forwarding.

- rfMuteAll

  'rfMuteAll' indicates that the CM is instructed to mute all channels in the CM-CTRL-REQ message from CMTS.

The following table provides a mapping of Pre-3.0 DOCSIS and DOCSIS 3.0 registration states as reported by CMTS.

Table N-3 - Pre-3.0 DOCSIS and DOCSIS 3.0 CMTS CM Registration status mapping

Pre-3.0 DOCSIS (from docsIfCmtsCmStatusValue)	DOCSIS 3.0
other (1)	other (1)
ranging (2)	initialRanging(2)
rangingAborted (3)	
rangingComplete (4)	rangingAutoAdjComplete (4)
	startEae (10)
	startDhcpv4 (11)
	startDhcpv6(12)
ipComplete(5)	dhcpv4Complete(5)
	dhcpv6Complete(13)
	startConfigFileDownload(14)
	configFileDownloadComplete(15)
	startRegistration(16)
registrationComplete (6)	registrationComplete(6)
accessDenied (7)	
operational (8)	operational (8)
registeredBPIInitializing (9)	bpiInit (9)
	forwardingDisabled(17)
	rfMuteAll(18)
NOTE: There are additional states introduced in DOCSIS 3.0. The new states are given a higher enumeration value though they are intermediate states in the CM registration states.	

N.2.1.3 Tlv8

This data type represents a single TLV encoding. This first octet represents the Type of the TLV. The second octet represents an unsigned 8-bit Length of the subsequent Value part of the TLV. The remaining octets represent the value. The Value could be an atomic value or a sequence of one or more sub-TLVs.

References: [MULPI] Common Radio Frequency Interface Encodings Annex.

N.2.1.4 RangingState[114]

This data type defines the ranging status of the Upstream Channel.

References: [MULPI] Cable Modem - CMTS Interaction section.

The enumerated values associated with the RangingState are:

[114] Section added per OSSIv3.0-N0-7.0410-4, #13 on 5/8/07 by KN.

- other

  'other' indicates any state not described below.

- aborted

  'aborted' indicates that the CMTS has sent a ranging abort.

- retriesExceeded

  'retriesExceeded' indicates CM ranging retry limit has been exceeded.

- success

  'success' indicates that the CMTS has sent a ranging success in the ranging response.

- continue

  'continue' indicates that the CMTS has sent a ranging continue in the ranging response.

- timeoutT4

  'timeoutT4' indicates that the T4 timer expired on the CM.

N.2.2 CM Status Objects

This section defines the CM registration status objects and upstream status objects from the CM perspective.

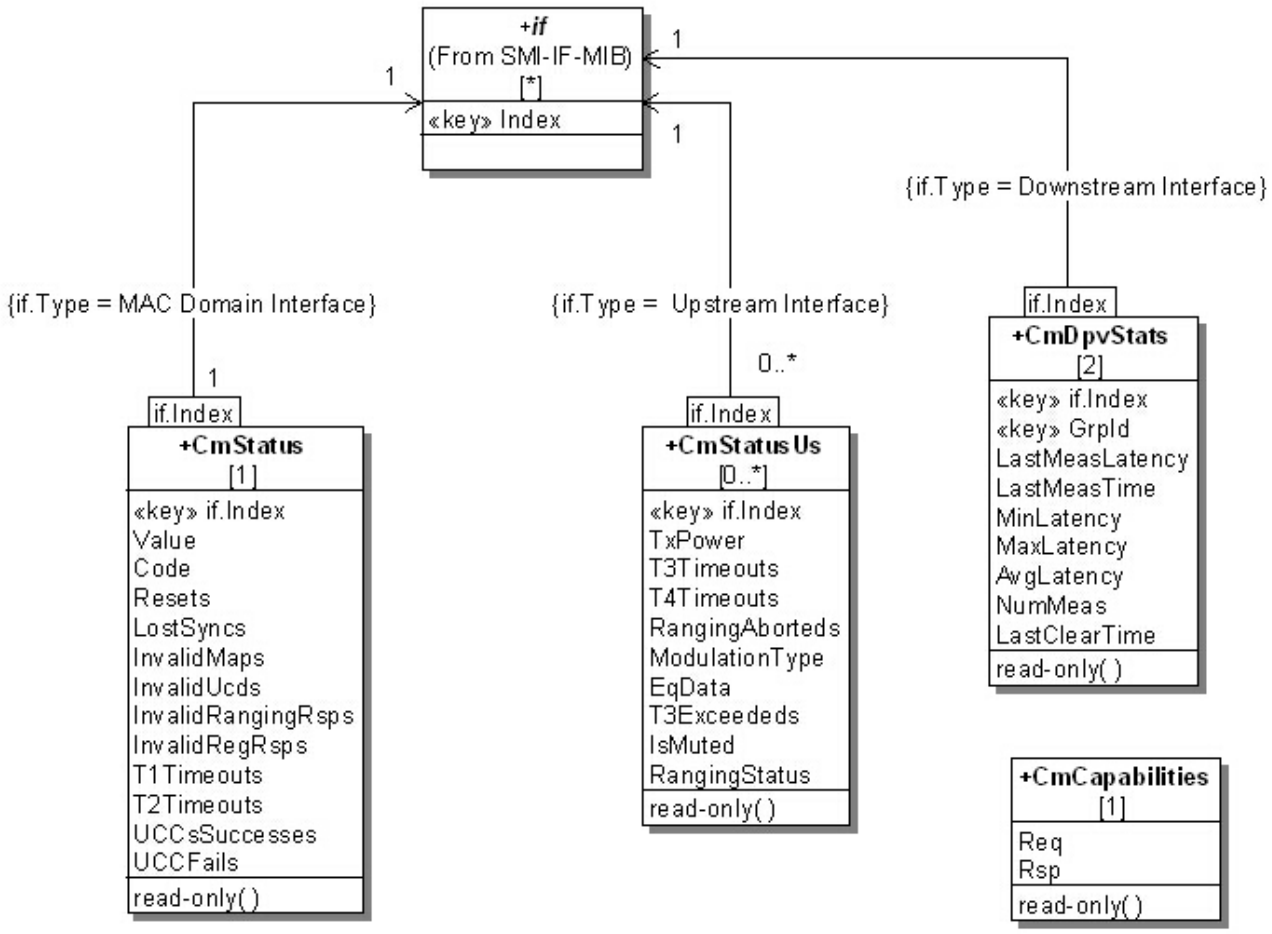


Figure N-1 - CM Status Object Model Diagram[115]

N.2.2.1 CmStatus Object

This object provides CM connectivity status information of the CM previously available in the SNMP table docsIfCmStatusTable.

References: [RFC 4546].

[115] Figure N-1 replaced per OSSIv3.0-N-07.0445-3, # 4 on 5/10/07 by KN, and again per OSSIv3.0-N-07-0554-4 by ab on 11/13/07.

Table N-4 - CmStatus Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	Interface Index of the MAC interface	N/A	N/A
Value	CmRegState	read-only		N/A	N/A
Code	hexBinary	read-only	SIZE(0 | 5 | 6)	N/A	N/A
Resets	Counter32	read-only		resets	N/A
LostSyncs	Counter32	read-only		messages	N/A
InvalidMaps	Counter32	read-only		maps	N/A
InvalidUcds	Counter32	read-only		messages	N/A
InvalidRangingRsps	Counter32	read-only		messages	N/A
InvalidRegRsps	Counter32	read-only		messages	N/A
T1Timeouts	Counter32	read-only		timeouts	N/A
T2Timeouts	Counter32	read-only		timeouts	N/A
UccSuccesses	Counter32	read-only		attempts	N/A
UccFails	Counter32	read-only		attempts	N/A

N.2.2.1.1 IfIndex

This attribute denotes the MAC Domain interface index of the CM.

N.2.2.1.2 Value

This attribute denotes the current CM connectivity state. For the case of IP acquisition related states, this attribute reflects states for the current CM provisioning mode, not the other DHCP process associated with dual stack operation.

References: [MULPI] Establishing IP Connectivity section.

N.2.2.1.3 Code

This attribute denotes the status code for CM as defined in the OSSI Specification. The status code consists of a single character indicating error groups, followed by a two- or three-digit number indicating the status condition, followed by a decimal. An example of a returned value could be 'T101.0'. The zero-length hex string indicates no status code yet registered.

References: Annex D.

N.2.2.1.4 Resets

This attribute denotes the number of times the CM reset or initialized this interface. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the CM MAC Domain interface.

References: [RFC 2863].

N.2.2.1.5 LostSyncs

This attribute denotes the number of times the CM lost synchronization with the downstream channel. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the CM MAC Domain interface.

References: [RFC 2863].

N.2.2.1.6 InvalidMaps

This attribute denotes the number of times the CM received invalid MAP messages. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the CM MAC Domain interface.

References: [RFC 2863].

N.2.2.1.7 InvalidUcds

This attribute denotes the number of times the CM received invalid UCD messages. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the CM MAC Domain interface.

References: [RFC 2863].

N.2.2.1.8 InvalidRangingRsps

This attribute denotes the number of times the CM received invalid ranging response messages. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the CM MAC Domain interface.

References: [RFC 2863].

N.2.2.1.9 InvalidRegRsps

This attribute denotes the number of times the CM received invalid registration response messages. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the CM MAC Domain interface.

N.2.2.1.10 T1Timeouts

This attribute denotes the number of times counter T1 expired in the CM. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the CM MAC Domain interface.

References: [RFC 2863].

N.2.2.1.11 T2Timeouts

This attribute denotes the number of times counter T2 expired in the CM. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the CM MAC Domain interface.

References: [RFC 2863].

N.2.2.1.12 UccSuccesses

This attribute denotes the number of successful Upstream Channel Change transactions. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the CM MAC Domain interface.

References: [RFC 2863].

N.2.2.1.13 UccFails

This attribute denotes the number of failed Upstream Channel Change transactions. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the CM MAC Domain interface.

References: [RFC 2863].

N.2.2.2 CmStatusUs Object[116]

This object defines PHY and MAC information about the CM's upstream channels operating in Multiple Transmit Channel (MTC) mode or in a Pre-3.0 DOCSIS transmit channel mode. This object provides per-CM Upstream channel information previously available in the SNMP table docsIfCmStatusTable.

Table N-5 - CmStatusUs Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	Interface Index of upstream interface	N/A	N/A
TxPower	TenthdBmV	read-only		TenthdBmV	N/A
T3Timeouts	Counter32	read-only		timeouts	N/A
T4Timeouts	Counter32	read-only		timeouts	N/A
RangingAborteds	Counter32	read-only		attempts	N/A
ModulationType	DocsisUpstreamType	read-only		N/A	N/A
EqData	DocsEqualizerData	read-only		N/A	N/A
T3Exceededs	Counter32	read-only		timeouts	N/A
IsMuted	boolean	read-only		N/A	N/A
RangingStatus	RangingState	read-only		N/A	N/A

N.2.2.2.1 IfIndex

This attribute denotes the interface index of the upstream interface to which this instance applies.

N.2.2.2.2 TxPower

This attribute demotes the operational CM transmit power for this upstream channel

N.2.2.2.3 T3Timeouts

This attribute denotes the number of times counter T3 expired in the CM for this upstream channel. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the associated upstream channel.

References: [RFC 2863].

N.2.2.2.4 T4Timeouts

This attribute denotes the number of times counter T4 expired in the CM for this upstream channel. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the associated upstream channel.

References: [RFC 2863].

[116] Section revised per OSSIv3.0-N-07.0410-4, #4 on 5/8/07 by KN.

N.2.2.2.5 RangingAborteds

This attribute denotes the number of times the ranging process was aborted by the CMTS. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the associated upstream channel.

References: [RFC 2863].

N.2.2.2.6 ModulationType

This attribute denotes the modulation type status currently used by the CM for this upstream channel. Since this object specifically identifies PHY Layer mode, the shared upstream channel type 'tdmaAndAtdma' is not permitted.

References: [RFC 2863].

N.2.2.2.7 EqData

This attribute denotes the pre-equalization data for the specified upstream channel on this CM after convolution with data indicated in the RNG-RSP. This data is valid when docsIfUpChannelPreEqEnable is set to 'true'.

References: [RFC 4546].

N.2.2.2.8 T3Exceededs

This attribute denotes the number of times for excessive T3 timeouts. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the associated upstream channel.

References: [RFC 2863].

N.2.2.2.9 IsMuted

This attribute denotes whether the upstream channel is muted.

References: [MULPI] Media Access Control Specification section.

N.2.2.2.10 RangingStatus

This attribute denotes ranging status of this upstream channel.

References: [MULPI] Media Access Control Specification section.

N.2.2.3 CmCapabilities Object

This object defines attributes of the CM capabilities.

Table N-6 - CmCapabilities Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Req	Tlv8	read-only		N/A	N/A
Rsp	Tlv8	read-only		N/A	N/A

N.2.2.3.1 Req

This attribute contains the TLV encoding for TLV-5 sent in a REG-REQ. The first byte of this encoding is expected to be '05'H.

References: [MULPI] Modem Capabilities Encoding section in the Common Radio Frequency Interface Encodings Annex.

N.2.2.3.2 Rsp

This attribute contains the TLV encoding for TLV-5 (see the Modem Capabilities Encoding section in Common Radio Frequency Interface Encodings Annex of [MULPI]) received in a REG-RSP. The first byte of this encoding is expected to be '05'H.

References: [MULPI] Modem Capabilities Encoding section in the Common Radio Frequency Interface Encodings Annex.

N.2.2.4 CmDpvStats Object[117]

This object represents the DOCSIS Path Verify Statistics collected in the cable modem device. The CMTS controls the logging of DPV statistics in the cable modem. Therefore the context and nature of the measurements are governed by the CMTS and not self-descriptive when read from the CM.

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	Interface index of downstream interface		N/A
GrpId	unsignedByte	key	1..2	N/A	N/A
LastMeasLatency	unsignedInt	read-only		nanoseconds	N/A
LastMeasTime	dateTime	read-only		NA	N/A
MinLatency	unsignedInt	read-only		nanoseconds	N/A
MaxLatency	unsignedInt	read-only		nanoseconds	N/A
AvgLatency	unsignedInt	read-only		nanoseconds	N/A
NumMeas	unsignedInt	read-only		nanoseconds	N/A
LastClearTime	dateTime	read-only		N/A	N/A

N.2.2.4.1 ifIndex

This key represents the interface Index of the Downstream Interface where the measurements are taken.

N.2.2.4.2 GrpId

This key represents the DPV Group ID. The CM reports two instance of DPV statistics per downstream normally referred as Statistical Group 1 and Statistical Group 2.

N.2.2.4.3 LastMeasLatency

This attribute represents the last latency measurement for this statistical group.

N.2.2.4.4 LastMeasTime

This attribute represents the last measurement time of the last latency measurement for this statistical group. This attribute reports the EPOC time value when no measurements are being reported or after the statistics were cleared.

[117] section added per OSSIv3.0-N-07.0554-4 by ab on 11/13/07.

N.2.2.4.5 MinLatency

This attribute represents the minimum latency measurement for this statistical group since the last time statistics were cleared.

N.2.2.4.6 MaxLatency

This attribute represents the maximum latency measurement for this statistical group since the last time statistics were cleared.

N.2.2.4.7 AvgLatency

This attribute represents the average latency measurement for this statistical group since the last time statistics were cleared. The averaging mechanism is controlled by the CMTS

References: [MULPI] DPV Math section

N.2.2.4.8 NumMeas

This attribute represents the number of latency measurements made for this statistical group since the last time statistics were cleared.

N.2.2.4.9 LastClearTime

This attribute represents the last time statistics were cleared for this statistical group, otherwise this attribute reports the EPOC time value.

N.2.3 CMTS CM Status Objects

This section defines the CM registration status objects and upstream status objects from the CMTS perspective.

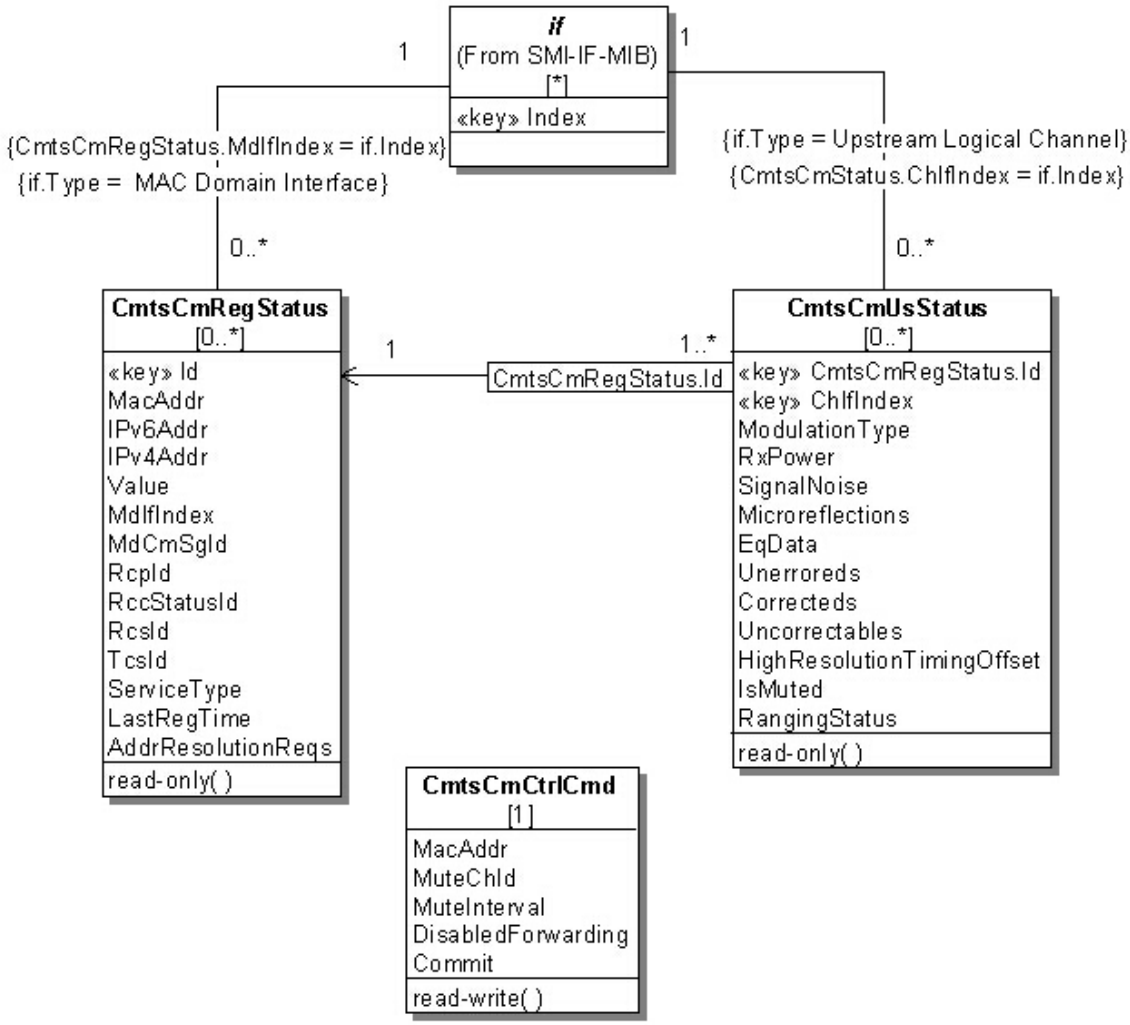


Figure N-2 - CMTS CM Status Object Model Diagram[118]

N.2.3.1 CmtsCmRegStatus Object[119]

This object defines attributes that represent the CM's registration status as tracked by the CMTS.

Table N-7 - CmtsCmRegStatus Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	key	1..4294967295	N/A	N/A
MacAddr	MacAddress	read-only		N/A	N/A
Ipv6Addr	InetAddressIPv6	read-only		N/A	N/A
Ipv6LinkLocal	InetAddressIPv6	read-only		N/A	N/A
Ipv4Addr	InetAddressIPv4	read-only		N/A	N/A
Value	CmtsCmRegState	read-only		N/A	N/A
MdIfIndex	InterfaceIndexOrZero	read-only		N/A	N/A

[118] Figure N-2 replaced per OSSIv3.0-N-07.0445-3, #5 on 5/10/07 by KN.
[119] Section revised per OSSIv3.0-N-07.0447-2 #2 on 5/10/07 by KN.

Attribute Name	Type	Access	Type Constraints	Units	Default
MdCmSgId	unsignedInt	read-only		N/A	N/A
RcpId	RcpId	read-only		N/A	N/A
RccStatusId	unsignedInt	read-only		N/A	N/A
RcsId	ChSetId	read-only		N/A	N/A
TcsId	ChSetId	read-only		N/A	N/A
ServiceType	DocsisQosVersion	read-only		N/A	N/A
LastRegTime	dateTime	read-only		N/A	N/A
AddrResolutionReqs	Counter32	read-only		N/A	N/A

N.2.3.1.1 Id

This attribute uniquely identifies a CM. The CMTS MUST assign a single id value for each CM MAC address seen by the CMTS. The CMTS SHOULD ensure that the association between an Id and MAC Address remains constant during CMTS uptime.

N.2.3.1.2 MacAddr

This attribute demotes the MAC address of the CM. If the CM has multiple MAC addresses, this is the MAC address associated with the MAC Domain interface.

N.2.3.1.3 Ipv6Addr

This attribute denotes the IPv6 address of the CM. If the CM has no Internet address assigned, or the Internet address is unknown, the value of this attribute is the all zeros address.

N.2.3.1.4 Ipv6LinkLocal

This attribute denotes the IPv6 local scope address of the CM.

N.2.3.1.5 Ipv4Addr

This attribute demotes the IPv4 address of the CM. If the CM has no IP address assigned, or the IP address is unknown, this object returns 0.0.0.0.

N.2.3.1.6 Value

This attribute denotes the current CM connectivity state.

References: [MULPI] Cable Modem Initialization and Reinitialization section.

N.2.3.1.7 MdIfIndex

This attribute denotes the interface Index of the CMTS MAC Domain where the CM is active. If the interface is unknown, the CMTS returns a value of zero.

N.2.3.1.8 MdCmSgId

This attribute denotes the ID of the MAC Domain CM Service Group Id (MD-CM-SG-ID) in which the CM is registered. If the ID is unknown, the CMTS returns a value of zero.

References: [MULPI] Cable Modem Service Group (CM-SG) section.

N.2.3.1.9 RcpId

This attribute denotes the RCP-ID associated with the CM. If the RCP-ID is unknown the CMTS returns a five octet long string of zeros.

References: [MULPI] RCP-ID section in the Common Radio Frequency Interface Encodings Annex.

N.2.3.1.10 RccStatusId

This attribute denotes the RCC Id the CMTS used to configure the CM receive channel set during the registration process. If unknown, the CMTS returns the value zero.

N.2.3.1.11 RcsId

This attribute denotes the Receive Channel Set (RCS) that the CM is currently using. If the RCS is unknown, the CMTS returns the value zero.

References: [MULPI] Cable Modem Physical Receive Channel Configuration section and the Receive Channels section in the Common Radio Frequency Interface Encodings Annex.

N.2.3.1.12 TcsId

This attribute denotes Transmit Channel Set (TCS) the CM is currently using. If the TCS is unknown, the CMTS returns the value zero.

References: [MULPI] Changes to the Transmit Channel Set section.

N.2.3.1.13 ServiceType

This attribute denotes the queueing services the CM registered, either DOCSIS 1.1 QoS or DOCSIS 1.0 CoS mode.

N.2.3.1.14 LastRegTime

This attribute denotes the last time the CM registered.

N.2.3.1.15 AddrResolutionReqs

This attribute denotes the number of upstream packets received on the SIDs assigned to a CM that are any of the following:

Upstream IPv4 ARP Requests

Upstream IPv6 Neighbor Solicitation Requests

(For Routing CMTSs) Upstream IPv4 or IPv6 packets to unresolved destinations in locally connected downstream in the HFC.

Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the associated MAC Domain interface.

References: [SEC] Secure Provisioning section; [RFC 2863].

N.2.3.2 CmtsCmUsStatus Object[120]

This object defines status information of the CM currently in use by Upstream Logical Channels, as reported by the CMTS.

Table N-8 - CmtsCmUsStatus Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Id	unsignedInt	key	1..4294967295	N/A	N/A
ChIfIndex	InterfaceIndex	key		N/A	N/A
ModulationType	DocsisUpstreamType	read-only		N/A	N/A
RxPower	TenthdBmV	read-only		TenthdBmV	N/A
SignalNoise	TenthdB	read-only		TenthdB	N/A
Microreflections	unsignedShort	read-only		-dBc	N/A
EqData	DocsEqualizerData	read-only		N/A	N/A
Unerroreds	Counter32	read-only		N/A	N/A
Correcteds	Counter32	read-only		N/A	N/A
Uncorrectables	Counter32	read-only		N/A	N/A
HighResolutionTimingOffset	int	read-only		time tick/(64*256)	N/A
IsMuted	boolean	read-only		N/A	N/A
RangingStatus	RangingState	read-only		N/A	N/A

N.2.3.2.1 Id

This attribute represents the CMTS assigned Id to the CM in the CmtsCmRegStatus object.

N.2.3.2.2 ChIfIndex

This attribute represents an upstream logical interface. The CMTS instantiates each one of the channels in the current Transmit Channel Set of the CM in this object.

N.2.3.2.3 ModulationType

This attribute represents the modulation type currently used by this upstream channel.

N.2.3.2.4 RxPower

This attribute represents the receive power of this upstream channel.

N.2.3.2.5 SignalNoise

This attribute represents Signal/Noise ratio as perceived for upstream data from the CM on this upstream channel.

N.2.3.2.6 Microreflections

This attribute represents microreflections received on this upstream channel.

N.2.3.2.7 EqData

This attribute represents the equalization data for the CM on this upstream channel.

[120] Section revised per OSSIv3.0-N-07.0410-4 # 5 on 5/8/07 by KN, and per OSSIv3.0-N-07.0550-2 by ab on 11/9/07.

N.2.3.2.8 Unerroreds

This attribute represents the codewords received without error from the CM on this upstream channel. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the associated upstream channel.

References: [RFC 2863].

N.2.3.2.9 Correcteds

This attribute represents the codewords received with correctable errors from the CM on this upstream channel. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the associated upstream channel.

References: [RFC 2863].

N.2.3.2.10 Uncorrectables

This attribute represents the codewords received with uncorrectable errors from the CM on this upstream channel. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the associated upstream channel.

References: [RFC 2863].

N.2.3.2.11 HighResolutionTimingOffset

This attribute represents the current measured round trip time on this CM's upstream channel in units of (6.25 microseconds/(64*256)). This attribute returns zero if the value is unknown.

N.2.3.2.12 IsMuted

This attribute has a value 'true' to indicate that the CM's upstream channel has been muted via CM-CTRL-REQ/CM-CTRL-RSP message exchange.

References: [MULPI] Media Access Control Specification section.

N.2.3.2.13 RangingStatus

This attribute denotes ranging status of the CM on this upstream channel as reported by the CMTS.

References: [MULPI] Media Access Control Specification section.

N.2.4 CMTS CM Control Object[121]

This section defines the CMTS CM Control Command object.

N.2.4.1 CmtsCmCtrlCmd Object

The CMTS CM Control Command object allows an operator to trigger the CMTS to send a CM-CTRL-REQ message to the specified CM with specific parameters.

[121] Section added per OSSIv3.0-N-07.0413-3, #1 on 5/11/07 by KN.

The CMTS is not required to persist the values of the attributes of the CmtsCmCtrlCmd object across reinitializations.

References: [MULPI] Media Access Control Specification section.

Table N-9 - CmtsCmCtrlCmd Object

Attribute Name	Type	Access	Type Constraints	Units	Default
MacAddr	MacAddress	read-write		N/A	'000000000000'H
MuteUsChId	ChId	read-write		N/A	0
MuteInterval	unsignedInt	read-write		milliseconds	0
DisableForwarding	boolean	read-write		N/A	false
Commit	Enum	read-write	mute(1) cmReinit(2) disableFowarding(3)	N/A	'mute'

N.2.4.1.1 MacAddr

This attribute represents the MAC Address of the CM which the CMTS is instructed to send the CM-CTRL-REQ message.

N.2.4.1.2 MuteUsChId

This attribute represents the Upstream Channel ID (UCID) to mute or unmute. A value of zero indicates all upstream channels. This attribute is only applicable when the Commit attribute is set to 'mute'.

N.2.4.1.3 MuteInterval

This attribute represents the length of time that the mute operation is in effect. This attribute is only applicable when the Commit attribute is set to 'mute'. A value of 0 is an indication to unmute the channel referenced by the MuteUsChId attribute while a value of 0xFFFFFFFF is used to mute the channel referenced by the MuteUsChId attribute indefinitely.

N.2.4.1.4 DisableForwarding

When set to 'true', this attribute disables data forwarding to the CMCI ports when the Commit attribute is set to 'disableForwarding'. When set to 'false', this attribute enables data forwarding to the CMCI ports when the Commit attribute is set to 'disableForwarding'. This attribute is only applicable when the Commit attribute is set to 'disableForwarding'.

N.2.4.1.5 Commit

This attribute indicates the type of command for the CMTS to trigger in the CM-CTRL-REQ message. This attribute will return the value of the last operation performed or the default if no operation has been performed.

Annex O Media Access Control (MAC) Requirements (Normative)

O.1 Overview

This Annex defines management object extensions for Media Access Control (MAC) information, including DOCSIS interface configuration, RF Topology, Channel Bonding, QOS, and related extensions.

O.1.1 Cable Modem Service Groups (CM-SGs)

The HFC RF combining and splitting topology between a CMTS and Cable Modems results in distinct sets of CMs called Cable Modem Service Groups (CM-SGs) that are served by distinct combinations (i.e., non-overlapping subsets) of Downstream Channels and Upstream Channels. Because a MAC Domain defines a separate number space for many DOCSIS protocol elements (e.g., DSIDs, SAIDs, etc), an operator should define separate MAC Domains that serve disjoint subsets of CM-SGs rather than a single MAC Domain for all CM-SGs.

O.1.2 Downstream Bonding Group (DBG)

A Downstream Bonding Group (DBG) is a set of Downstream Channels (DCs) on which the CMTS distributes packets. The CMTS enforces that all Downstream Channels of a DBG are contained within the same MAC Domain Downstream Service Group (MD-DS-SG). A CMTS permits configuration of a Downstream Channel as a member of multiple DBGs. A CMTS can restrict the assignment of Downstream Channels to DBGs based on vendor product implementation. For example, a CMTS product implementation may restrict the set of Downstream Channels that could be bonded to a given Bonded Channel Set to a subset of the downstream channels in the MAC Domain.

O.1.3 Upstream Bonding Group (UBG)

An Upstream Bonding Group (UBG) is a set of Upstream Channels (UCs) on which upstream data forwarding service may be provided to a single CM. All Upstream Channels in an Upstream Bonding Group must be contained within the same MAC Domain Upstream Service Group (MD-US-SG). A CMTS permits configuration of an Upstream Channel as a member of multiple UBGs. A CMTS can restricts the assignment of Upstream Channels to UBGs based on vendor product implementation. For example, a CMTS product implementation could restrict the set of Upstream Channels that could be bonded to a subset of the downstream channels in the MAC Domain.

O.2 Object Definitions

This section defines the MAC objects including the associated attributes.

The CMTS object model contains several read-create objects that contain references to other read-create objects. For example, ChFnCfg object contains a NodeName attribute that references an instance of the FiberNodeCfg object. The CMTS is not required to implement dangling references, i.e., allow an object to contain a reference to another object instance that does not yet exist. This could require an operator to create and delete object instances in an order to avoid dangling references. For example, a FiberNodeCfg object might need to be instantiated before a ChFnCfg object is instantiated that references it. Likewise, a ChFnCfg object instance that references a NodeName might need to be deleted before the FiberNodeCfg object instance for that NodeName is deleted.

O.2.1 Type Definitions[122]

This section defines data types used in the object definitions for the Diagnostic Log object model.

[122] revised per OSSIv3.0-N-07.0480-3 by ab on 7/12/07.

Table O-1 - Data Type Definitions

Data Type Name	Base Type	Permitted Values
NodeName	string	SIZE(0..16)
ChId	unsignedByte	0..255
ChSetId	unsignedInt	0..4294967295
ChannelList	hexBinary	SIZE (0..255)
AttributeMask	EnumBits	bonded(0) lowLatency(1) highAvailability(2)
AttrAggrRuleMask	hexBinary	SIZE (4)
RcpId	hexBinary	SIZE (5)
Dsid	unsignedInt	0..1048575
ScdmaSelectionString	hexBinary	SIZE (16)
IfDirection	Enum	downstream (1) upstream (2)
BitRate	unsignedInt	0..4294967295
SchedulingType	Enum	undefined (1) bestEffort (2) nonRealTimePollingService (3) realTimePollingService (4) unsolicitedGrantServiceWithAD (5) unsolicitedGrantService (6)

O.2.1.1 NodeName

This data type is a human readable string that represents the name of a fiber node. Internationalization is supported by conforming to the SNMP textual convention SnmpAdminString.

References: [RFC 3411].

O.2.1.2 ChId

This data type is an 8-bit number that represents a provisioned Downstream Channel ID (DCID) or a provisioned Upstream Channel ID (UCID). A Channel Id is unique per direction within a MAC Domain. The value zero is reserved for use when the channel ID is unknown.

References: [MULPI] Upstream Channel Descriptor (UCD) section.

O.2.1.3 ChSetId

This data type is a CMTS-derived unique number within a MAC Domain used to reference a Channel Set within the CMTS. Values in the range of 1 to 255 define a single-channel Channel Set and correspond to either the Downstream Channel ID (DCID) or an Upstream Channel ID (UCID) of that channel. Values greater than 255 indicate a Channel Set consisting of two or more channels in the same direction within the MAC Domain. The value zero is reserved for use when the Channel Set is unknown.

References: [MULPI] Channel Bonding section.

O.2.1.4 ChannelList

This data type represents a unique set of channel IDs in either the upstream or the downstream direction. Each octet represents a UCID or DCID depending on the direction of the channels within the list. The CMTS MUST ensure that this combination of channels is unique per direction within the MAC Domain.

A query to retrieve the value of an attribute of this type, returns the set of channels in the channel list in ascending order of Channel Ids.

O.2.1.5 AttributeMask

This data type consists of a sequence of 32-bit positions used to select the bonding group or the channel to which a service flow is assigned. DOCSIS defines three types of Attribute Masks for which this type applies: The Provisioned Attribute Mask that is configured to a Bonding Group or a single-channel, whereas the Required Attribute and the Forbidden Attribute Mask are part of the Service Flow QOS Parameter Set to be matched with the Provisioned Attribute Mask of CMTS-configured Bonding Groups or single-channels. DOCSIS reserves the assignment of the meaning of the first 8 bit positions (left to right) as follows:

Bit 0: 'bonding'

Bit 1: 'lowLatency'

Bit 2: 'highAvailability'

Bit positions 3-15 are reserved.

Bit positions 16-31 are freely assigned by operators to represent their own constraints on the channel(s) selection for a particular service flow.

References: [MULPI] Service Flow Assignment section.

O.2.1.6 AttrAggrRuleMask

This data type represents a sequence of 32-bit positions that defines logical (e.g., AND, OR) operations to match against the channel list Provisioned Mask and Service Flow Required Mask bit positions when the CMTS is determining the service flow for assignment to a bonding group not configured by the management system.

References: [MULPI] Service Flow Assignment section.

O.2.1.7 RcpId

This data type defines a 'Receive Channel Profile Identifier' (RCP-ID). An RCP-ID consists of 5-octet length string where the first 3-bytes (from left to right corresponds to the Organizational Unique ID (OUI) followed by a two-byte vendor-maintained identifier to represent multiple versions or models of RCP-IDs.

References: [MULPI] RCP-ID section in the Common Radio Frequency Interface Encodings Annex.

O.2.1.8 Dsid[123]

This data type defines the 20-bit Downstream Service Identifier used by the CM for downstream resequencing, filtering, and forwarding. The value zero is reserved for use when the DSID is unknown or does not apply.

References: [MULPI] DSID Definition section.

O.2.1.9 ScdmaSelectionString

This data type represents the S-CDMA selection string for active codes used with Selectable Active Codes Mode 2.

[123] revised per OSSIv3.0-N-07.0551-4 by ab on 11/12/07.

A 128-bit string indicating which codes are active. The first element in the string corresponds to code 0 (the all-ones code), and the last element in the string corresponds to code 127. A '1' element in the string indicates an active code, and a '0' indicates an unused code.

References: [PHY] Mini-slot Numbering Parameters in UCD section.

O.2.1.10 IfDirection

Indicates a direction on an RF MAC interface. The value downstream(1) is from Cable Modem Termination System to Cable Modem. The value upstream(2) is from Cable Modem to Cable Modem Termination System.

Valid enumerations for the data type are:

- downstream(1)
- upstream(2)

Reference: [MULPI] Terms and Definitions section.

O.2.1.11 BitRate

The rate of traffic in units of bits per second. Used to specify traffic rate for QoS.

O.2.1.12 SchedulingType

The scheduling service provided by a CMTS for an upstream Service Flow. This parameter must be reported as 'undefined' for downstream QoS Parameter Sets.

Valid enumerations for the data type are:

- undefined(1)
- bestEffort(2)
- nonRealTimePollingService(3)
- realTimePollingService(4)
- unsolicited GrantServiceWithAD(5)
- unsolicitedGrantService(6)

Reference: [MULPI] Service Flow Scheduling Type section.

O.2.2 Fiber Node Topology Objects

This section defines the Fiber Node topology related objects.

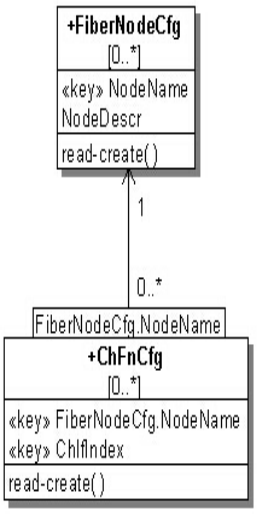


Figure O-1 - Fiber Node Topology Object Model Diagram

O.2.2.1 FiberNodeCfg Object

This object defines the cable HFC plant Fiber Nodes known at a CMTS.

This object supports the creation and deletion of multiple instances.

The CMTS MUST persist all instances of FiberNodeCfg across reinitializations.

Table O-2 - FiberNodeCfg Object

Attribute Name	Type	Access	Type Constraints	Units	Default
NodeName	NodeName	key	SIZE (1..16)	N/A	
NodeDescr	AdminString	read-create		N/A	"H

O.2.2.1.1 NodeName

This key represents a human-readable name for a fiber node.

References: [MULPI] RF Topology Configuration section.

O.2.2.1.2 NodeDescription

This attribute represents a human-readable description of the node.

O.2.2.2 ChFnCfg Object

This object defines the RF topology by defining the connectivity of a CMTS's downstream and upstream channels to the fiber nodes. Each instance of this object describes connectivity of one downstream or upstream channel with a single fiber node.

This object supports the creation and deletion of multiple instances.

The CMTS MUST persist all instances of ChFnCfg across reinitializations.

Table O-3 - ChFnCfg Object

Attribute Name	Type	Access	Type Constraints	Units	Default
NodeName	NodeName	key	SIZE (1..16)	N/A	N/A
ChIfIndex	InterfaceIndex	key		N/A	N/A

O.2.2.2.1 NodeName

This key represents a human-readable assigned name for the fiber node. The NodeName should exist in the FiberNodeCfg object prior to use in this object.

O.2.2.2.2 ChIfIndex

This key represents the interface index of an upstream or downstream channel associated with this fiber node. In the upstream direction, only ifIndices docsCableUpstream channels are reflected.

O.2.3 CMTS Topology Objects

This section defines the CMTS topology related objects.

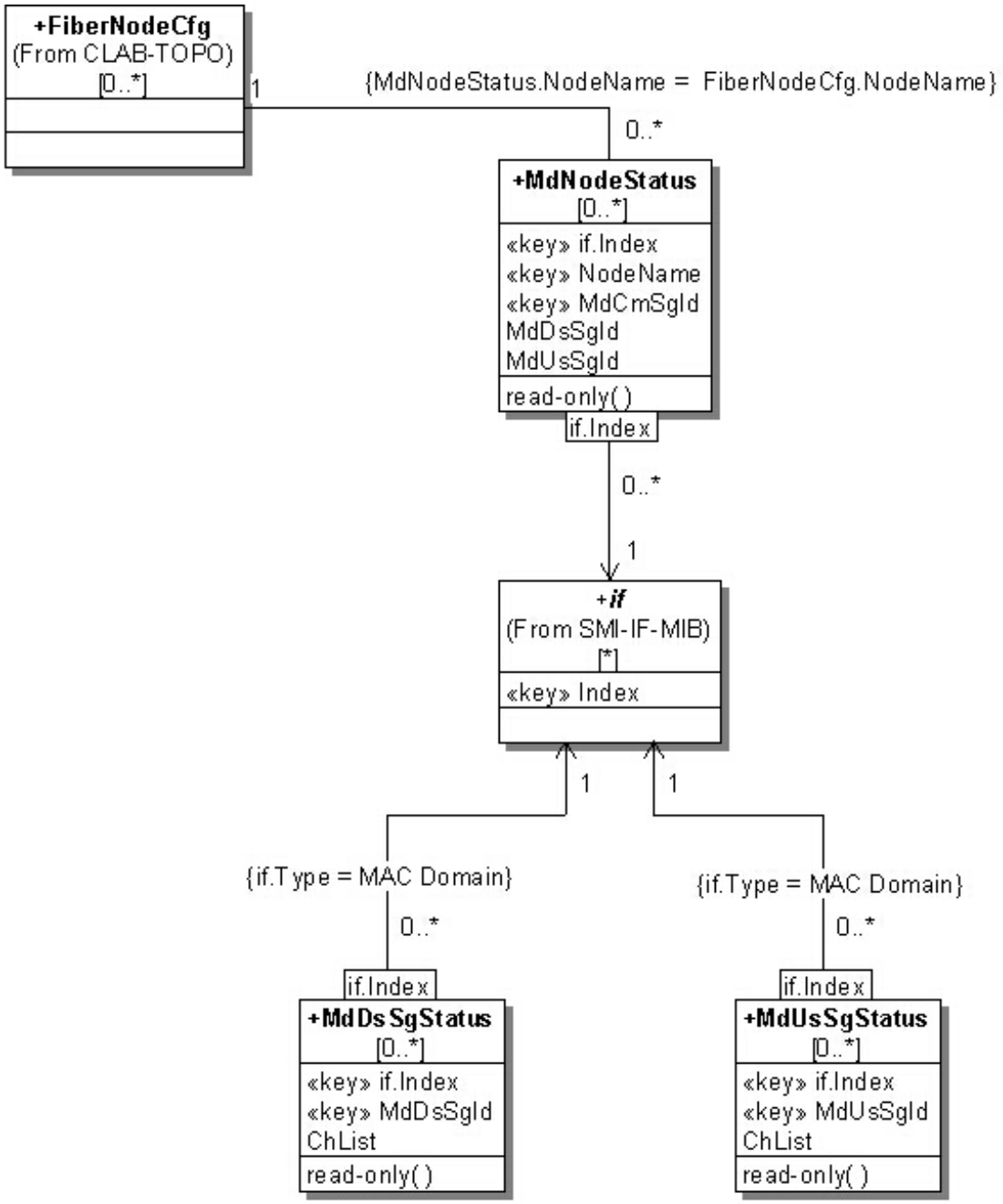


Figure O-2 - CMTS Topology Object Model Diagram

O.2.3.1 MdNodeStatus Object

This object reports the MD-DS-SG-ID and MD-US-SG-ID associated with a MD-CM-SG-ID within a MAC Domain and the Fiber Nodes reached by the MD-CM-SG.

Table O-4 - MdNodeStatus Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
NodeName	NodeName	key	SIZE (1..16)	N/A	N/A
MdCmSgId	unsignedInt	key	1..4294967295	N/A	N/A
MdDsSgId	unsignedByte	read-only	1..255	N/A	N/A
MsUsSgId	unsignedByte	read-only	1..255	N/A	N/A

O.2.3.1.1 IfIndex

This key represents the interface index of the MAC Domain associated with the fiber node to which this instance applies.

O.2.3.1.2 NodeName

This key represents the name of a fiber node associated with a MD-CM-SG of a MAC Domain.

O.2.3.1.3 MdCmSgId

This attribute is a key and indicates the MD-CM-SG-ID of this instance. A particular MdCmSgId in a MAC Domain is associated with one or more Fiber Nodes.

O.2.3.1.4 MdDsSgId

This attribute corresponds to the MD-DS-SG-ID of the MD-CM-SG of this object instance. The MdDsSgId values are unique within a MAC Domain.

O.2.3.1.5 MdUsSgId

This attribute corresponds to the MD-US-SG-ID of the MD-CM-SG of this object instance. The MdUsSgId values are unique within a MAC Domain.

O.2.3.2 MdDsSgStatus Object

This object returns the list of downstream channel set associated with a MAC Domain MD-DS-SG-ID.

Table O-5 - MdDsSgStatus Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
MdDsSgId	unsignedByte	key	1..255	N/A	N/A
ChSetId	ChSetId	read-only		N/A	N/A

O.2.3.2.1 IfIndex

This key represents the interface index of the MAC Domain to which the MD-DS-SG-ID applies.

O.2.3.2.2 MdDsSgId

This key represents a MD-DS-SG-ID in a Mac Domain.

O.2.3.2.3 ChSetId

This attribute represents a reference to the list of downstream channels of the MD-DS-SG-ID.

O.2.3.3 MdUsSgStatus Object

This object returns the list of upstream channels associated with a MAC Domain MD-US-SG-ID.

Table O-6 - MdUsSgStatus Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
MdUsSgId	unsignedByte	key	1..255	N/A	N/A
ChSetId	ChSetId	read-only		N/A	N/A

O.2.3.3.1 IfIndex

This key represents the interface index of the MAC Domain to which the MD-DS-SG-ID applies.

O.2.3.3.2 MdUsSgId

This key represents a MD-US-SG-ID in a Mac Domain.

O.2.3.3.3 ChSetId

This attribute represents a reference to the list of upstream channels of the MD-US-SG-ID.

O.2.4 CMTS Bonding Objects

This section defines the CMTS topology related objects.

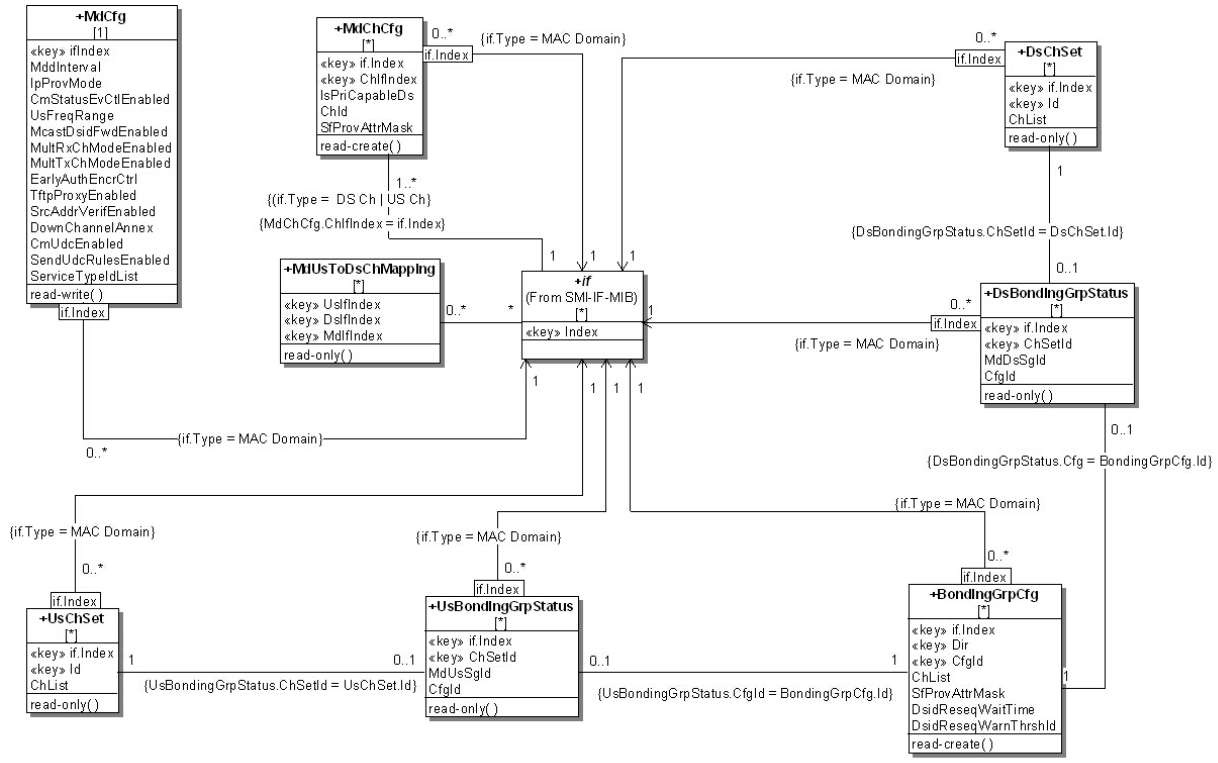


***Figure O-3 - CMTS Bonding Object Model Diagram*[124]**

O.2.4.1 MdChCfg Object

This object configures the association of downstream and upstream channels to a particular MAC Domain (MD) on a CMTS. The creation of channels and MAC domain object interface instances is vendor-specific. In particular, the assignment of the channel interface index is normally vendor-specific. Therefore, this object is intended only for associating channels to a MAC Domain and assumes that those channels were previously configured.

The CMTS MAY have restrictions on which channels can be configured in the same MAC Domain. For example, it could require the upstream channels to be from the same line card.

This object supports the creation and deletion of multiple instances.

Creation of a new instance of this object requires the ChId attribute to be set.

The CMTS MUST persist all instances of MdChCfg across reinitializations.

***Table O-7 - MdChCfg Object*[125]**

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
ChIfIndex	InterfaceIndex	key	InterfaceIndex of downstream or upstream channel	N/A	N/A

[124] Figure O-3 replaced per OSSIv3.0-N-07.0445-3, #3 on 5/10/07 by KN, and again per OSSIv3.0-N-07.0481-2 by ab on 7/17/07, and again per OSSIv3.0-N-07.0522-7 by ab on 11/6/07.
[125] edited table per OSSIv3.0-N-07.0384-1 by ab on 5/3/07.

Attribute Name	Type	Access	Type Constraints	Units	Default
IsPriCapableDs	boolean	read-create		N/A	
ChId	ChId	read-create	1..255	N/A	N/A
SfProvAttrMask	AttributeMask	read-create		N/A	'00000000'H

O.2.4.1.1 IfIndex

This key represents the interface index of the MAC Domain to which this instance applies. The CMTS MAY restrict the value chosen for this object.

O.2.4.1.2 ChIfIndex

This key represents the interface index of an existing logical upstream (ifType docsCableUpstreamChannel(205)) or downstream (ifTypes docsCableDownstream(128) and docsCableMCmtsDownstream(229)) channel that is configured to be part of the MAC Domain.

The CMTS could require that all upstream logical channels under the same physical upstream interface be assigned to one MAC Domain.

O.2.4.1.3 IsPriCapableDs[126]

If set to 'true', this attribute configures the downstream channel as Primary-Capable.The default value for a downstream channel is 'true'.This attribute is not relevant for upstream interfaces, therefore it reports the value 'false' for such interfaces. A CMTS MAY restrict the permitted value of this attribute based upon physical channel capabilities.

O.2.4.1.4 ChId

This attribute contains the 8-bit Downstream Channel ID (DCID) or Upstream Channel ID (UCID) configured for the channel in the MAC Domain.

O.2.4.1.5 SfProvAttrMask

This attribute contains Provisioned Attribute Mask of non-bonded service flow assignment to this channel.

O.2.4.2 MdCfg Object[127]

This object contains MAC domain level control and configuration attributes

The CMTS MUST persist all instances of MdCfg across reinitializations.

Table O-8 - MdCfg Object[128]

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
MddInterval	unsignedShort	read-write	1..2000	milliseconds	2000
IpProvMode	Enum	read-write	ipv4Only(0) ipv6Only(1) alternate(2) dualStack(3)	N/A	ipv6Only

[126] section revised per OSSIv3.0-N-07.0384-1 by ab on 5/3/07.
[127] revised per OSSIv3.0-N-07.0392-1 by ab on 5/3/07 and per OSSIv3.0-N-07.0441-4, #11 on 9/9/07 by KN, and per OSSIv3.0-N-07.0481-2 by ab on 7/17/07.
[128] Table revised per OSSIv3.0-N-07.0446-4, #4 on 5/10/07 by KN, and per OSSIv3.0-N-07.0522-7 by ab on 11/6/07.

Attribute Name	Type	Access	Type Constraints	Units	Default
CmStatusEvCtlEnabled	boolean	read-write		N/A	true
UsFreqRange	Enum	read-write	standard(0) extended(1)	N/A	standard
McastDsidFwdEnabled	boolean	read-write		N/A	true
MultRxChModeEnabled	boolean	read-write		N/A	true
MultTxChModeEnabled	boolean	read-write		N/A	true
EarlyAuthEncryptCtrl	Enum	read-write	disableEae(1) enableEaeRangingBasedEnforcement(2) enableEaeCapabilityBasedEnforcement(3) enableEaeTotalEnforcement(4)	N/A	enableEaeRangingBasedEnforcement
TftpProxyEnabled	boolean	read-write		N/A	true
SrcAddrVerifEnabled	boolean	read-write		N/A	true
DownChannelAnnex	Enum	read-write	unknown(1) other(2) annexA(3) annexB(4) annexC(5)	N/A	unknown
CmUdcEnabled	boolean	read-write		N/A	false
SendUdcRulesEnabled	boolean	read-write		N/A	false
ServiceTypeIdList	TagList	read-write	SIZE (0..256)	N/A	"H

O.2.4.2.1 ifIndex

This key represents the interface index of the MAC Domain to which this instance applies.

O.2.4.2.2 MddInterval

This attribute configures the interval for the insertion of MDD messages in each downstream channel of a MAC Domain.

References: [MULPI] Parameters and Constants Annex.

O.2.4.2.3 IpProvMode

This attribute configures the IP provisioning mode for a MAC Domain.

When this attribute is set to 'ipv4Only' the CM will acquire a single IPv4 address for the CM management stack.

When this attribute is set to 'ipv6Only' the CM will acquire a single IPv6 address for the CM management stack.

When this attribute is set to 'alternate' the CM will acquire a single IPv6 address for the CM management stack and, if failures occur, the CM will fall back to provisioning and operation with an IPv4 address.

When this attribute is set to 'dualStack' the CM will acquire both an IPv6 and IPv4 address for provisioning and operation.

References: [MULPI] IP Initialization Parameters TLV section.

O.2.4.2.4 CmStatusEvCtlEnabled

If set to 'true', this attribute enables the signaling of the CM-Status Event reporting mechanism.

References: [MULPI] CM-STATUS Event Control section.

O.2.4.2.5 UsFreqRange

This attribute indicates in MDD messages the upstream frequency upper band edge of an upstream Channel.

A value 'standard' means Standard Frequency Range and a value 'extended' means Extended Frequency Range.

References: [MULPI] Upstream Frequency Upper Band Edge TLV section.

O.2.4.2.6 McastDsidFwdEnabled

If set to 'true', this attribute enables the CMTS to use IP Multicast DSID Forwarding (MDF) for the MAC domain.

References: [MULPI] Multicast DSID-based Forwarding (MDF) Modes section in the Compatibility with Previous Versions of DOCSIS Annex.

O.2.4.2.7 MultRxChModeEnabled[129]

If set to 'true', this attribute enables Downstream Channel Bonding for the MAC Domain.

References: [MULPI] Downstream Channel Bonding section.

O.2.4.2.8 MultTxChModeEnabled

If set to 'true', this attribute enables Multiple Transmit Channel (MTC) Mode for the MAC Domain.

References: [MULPI] Upstream Channel Bonding section.

O.2.4.2.9 EarlyAuthEncryptCtrl

This attribute enables or disables early authentication and encryption (EAE) signaling for the MAC Domain. It also defines the type of EAE enforcement in the case that EAE is enabled.

If set to 'disableEAE', EAE is disabled for the MAC Domain.

If set to 'enableEaeRangingBasedEnforcement', 'enableEaeCapabilityBasedEnforcement' or 'enableEaeTotalEnforcement', EAE is enabled for the MAC Domain.

The following EAE enforcement methods are defined in the case where EAE signaling is enabled:

The option 'enableEaeRangingBasedEnforcement' indicates EAE is enforced on CMs that perform ranging with a B-INIT-RNG-REQ message.

The option 'enableEaeCapabilityBasedEnforcement' indicates EAE is enforced on CMs that perform ranging with a B-INIT-RNG-REQ message in which the EAE capability flag is set.

The option 'enableEaeTotalEnforcement' indicates EAE is enforced on all CMs regardless of their EAE capabilities.

References: [SEC] Early Authentication and Encryption section.

O.2.4.2.10 TftpProxyEnabled

If set to 'true', this attribute enables TFTP Proxy functionality for the MAC Domain.

[129] revised per OSSIv3.0-N-07.0392-1 by ab on 5/3/07.

References: [SEC] TFTP Configuration File Security section.

O.2.4.2.11 SrcAddrVerifiEnabled[130]

If set to 'true', this attribute enables Source Address Verification (SAV) functionality for the MAC Domain.

References: [SEC] Source Address Verification section.

O.2.4.2.12 DownChannelAnnex[131]

This attribute defines the ITU-J-83 Annex being used for this MAC Domain. The value of this attribute indicates the conformance of the implementation to important regional cable standards. Valid enumerations for the attribute are:

- 'unknown'
- 'other'
- 'annexA' : Annex A from ITU-J83 is used
- 'annexB' : Annex B from ITU-J83 is used
- 'annexC' : Annex C from ITU-J83 is used

Values 6-255 are reserved.

O.2.4.2.13 CmUdcEnabled

If set to 'true', this attribute instructs the CMTS MAC Domain to enable Upstream Drop Classifiers (UDC) for the CMs attempting registration in this MAC Domain.

References: [MULPI], Upstream Drop Classifiers Section

O.2.4.2.20 SendUdcRulesEnabled

If set to 'true' and when the CM signals to the CMTS 'Upstream Drop Classifier Group ID' encodings, this attribute instructs the CMTS MAC Domain to send the Subscriber Management Filters rules associated with the 'Upstream Drop Classifier Group ID'encodings to the CM in the form of UDCs when the following conditions occurs:

- The attribute CmUdcEnabled value for this MAC Domain is set to 'true', and
- The CM has the UDC capability advertised as supported.

If there is no a single Subscriber Management Filter configured in the CMTS for the CM's signaled UDC Group ID, the CMTS does not send UDC encodings to the CM.

It is vendor specific whether the CMTS maintains enforcement of the CM signaled or default Subscriber Management Filter groups in the upstream direction.

References: [MULPI], Upstream Drop Classifiers Section

O.2.4.2.21 ServiceTypeIdList[132]

This attribute indicates the list of Service Type IDs associated with the MAC Domain.

[130] Section revised per OSSIv3.0-N-07.0441-4, #12 on 5/9/07 by KN.
[131] Section added per OSSIv3.0-N-07.0446-4, #5 on 5/10/07 by KN.
[132] Section added per OSSIv3.0-N-07.0522-7 by ab on 11/6/07.

During the CM registration process the CMTS will attempt to redirect the CM to a MAC Domain where the CM' Service Type TLV is contained in this attribute.

References: [MULPI], Service Type Identifier section in the Common Radio Frequency Interface Encodings Annex.

O.2.4.3 MdUsToDsChMapping Object[133]

This object returns the set of downstream channels that carry UCDs and MAPs for a particular upstream channel in a MAC Domain.

Table O-9 - MdUsToDsChMapping Object

Attribute Name	Type	Access	Type Constraints	Units	Default
UsIfIndex	InterfaceIndex	key	Interface Index of a logical upstream channel	N/A	N/A
DsIfIIndex	InterfaceIndex	key		N/A	N/A
MdIfIndex	InterfaceIndex	read-only		N/A	N/A

O.2.4.3.1 UsIfIndex

This key represents the interface index of the logical upstream channel (ifType docsCableUpstreamChannel(205)) to which this instance applies.

O.2.4.3.2 DsIfIndex

This key represents the interface index of a downstream channel (ifTypes docsCableDownstream(128) and docsCableMCmtsDownstream(229)) carrying in UCD and MAP messages associated with the upstream channel defined by this instance.

O.2.4.3.3 MdIfIndex

This attribute represents the MAC domain of the upstream and downstream channels of this instance.

O.2.4.4 DsChSet Object

This object defines a set of downstream channels. These channel sets may be associated with channel bonding groups, MD-DS-SGs, MD-CM-SGs, or any other channel set that the CMTS may derive from other CMTS processes.

References: [MULPI] Partial Service Encoding section and Cable Modem Attribute Masks section in the Common Radio Frequency Interface Encodings Annex.

Table O-10 - DsChSet Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	InterfaceIndex of the MAC Domain interface	N/A	N/A
Id	ChSetId	key		N/A	N/A
ChList	ChannelList	read-only		N/A	N/A

O.2.4.4.1 IfIndex

This key represents the MAC Domain interface index where the downstream channel set is defined.

[133] Section revised per OSSIv3.0-N-07.0441-4, #17 on 5/9/07 by KN.

O.2.4.4.2 Id

This key defines a reference identifier for the downstream channel set within the MAC Domain.

O.2.4.4.3 ChList

This attribute defines the ordered list of channels that comprise the upstream channel set.

O.2.4.5 UsChSet Object

This object defines a set of upstream channels. These channel sets may be associated with channel bonding groups, MD-US-SGs, MD-CM-SGs, or any other channel set that the CMTS may derive from other CMTS processes.

References: [MULPI] Partial Service Encoding section and Cable Modem Attribute Masks section in the Common Radio Frequency Interface Encodings Annex.

Table O-11 - UsChSet Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	InterfaceIndex of the MAC Domain interface	N/A	N/A
Id	ChSetId	key		N/A	N/A
ChList	ChannelList	read-only		N/A	N/A

O.2.4.5.1 IfIndex

This key represents the MAC Domain interface index where the upstream channel set is defined.

O.2.4.5.2 Id

This key defines a reference identifier for the upstream channel set within the MAC Domain.

O.2.4.5.3 ChList

This attribute defines the ordered list of channels that comprise the upstream channel set.

O.2.4.6 BondingGrpCfg Object[134]

This object defines statically configured Downstream Bonding Groups and Upstream Bonding Groups on the CMTS.

This object supports the creation and deletion of multiple instances.

Creation of a new instance of this object requires the ChList attribute to be set.

The CMTS MUST persist all instances of BondingGrpCfg across reinitializations.

Table O-12 - BondingGrpCfg Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	InterfaceIndex of Mac Domain interface	N/A	N/A
Dir	IfDirection	key		N/A	N/A
Id	unsignedShort	key	1..65535	N/A	N/A
ChList	ChannelList	read-create	SIZE (2..255)	N/A	N/A
SfProvAttrMask	AttributeMask	read-create		N/A	'80000000'H

[134] revised per OSSIv3.0-N-07.0480-3 by ab on 7/16/07.

Attribute Name	Type	Access	Type Constraints	Units	Default
DsidReseqWaitTime	unsignedByte	read-create	0 | 1..180 | 255	hundredMicro seconds	180
DsidReseqWarnThrshld	unsignedByte	read-create	0..179 | 255	hundredMicro seconds	0

O.2.4.6.1 IfIndex

This key represents the interface index of the MAC Domain to which this instance applies.

O.2.4.6.2 Dir

This key represents whether this bonding group is an Upstream Bonding Group or a Downstream Bonding Group.

O.2.4.6.3 CfgId

This key represents the configured bonding group identifier in the indicated direction for the MAC Domain. This attribute is used for the sole purpose of tracking bonding groups defined by management systems.

O.2.4.6.4 ChList

This attribute contains the list of channels of the bonding group.

O.2.4.6.5 SfProvAttrMask

This attribute represents the Provisioned Attribute Mask encoding for the bonding group.

References: [MULPI] Service Flow Assignment section.

O.2.4.6.6 DsidReseqWaitTime

For a Downstream Bonding Group, this attribute provides the DSID Resequencing Wait Time that is to be used for all DSIDs associated with this Downstream Bonding Group. The value of 255 indicates that the DSID Resequencing Wait Time is determined by the CMTS. The value zero in not supported for downstream bonding groups.

For an Upstream Bonding Group, this attribute has no meaning and returns the value 0.

O.2.4.6.7 DsidReseqWarnThrshld

For a Downstream Bonding Group, this attribute provides the DSID Resequencing Warning Threshold that is to be used for all DSIDs associated with this Downstream Bonding Group. The value of 255 indicates that the DSID Resequencing Warning Threshold is determined by the CMTS. The value of 0 indicates that the threshold warnings are disabled. When the value of DsidReseqWaitTime is less than 255, the CMTS MUST use the smaller of DsidReseqWarningThrshld and DsidReseqWaitTime.

For an Upstream Bonding Group, this attribute has no meaning and returns the value 255

O.2.4.7 DsBondingGrpStatus Object

This object returns administratively-configured and CMTS defined downstream bonding groups.

Table O-13 - DsBondingGrpStatus Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A

ChSetId	ChSetId	key		N/A	N/A
MdDsSgId	unsignedByte	read-only		N/A	N/A
CfgId	unsignedShort	read-only		N/A	N/A

O.2.4.7.1 IfIndex

This key represents the interface index of the MAC Domain of the bonding group of this instance.

O.2.4.7.2 ChSetId

This key represents the identifier for the Downstream Bonding Group or the single-downstream channel of this instance.

O.2.4.7.3 MdDsSgId

This attribute corresponds to the MD-DS-SG-ID that includes all the downstream channels of the Downstream Bonding Group. The value zero indicates that the bonding group does not contain channels from a single MD-DS-SG and therefore the bonding group is not valid and usable.

O.2.4.7.4 CfgId[135]

This attribute provides the BondingGrpCfgId for the downstream bonding group if it was configured. Otherwise, the zero value indicates that the CMTS will define the bonding group.

O.2.4.8 UsBondingGrpStatus Object

This object returns administratively-configured and CMTS-defined upstream bonding groups.

Table O-14 - UsBondingGrpStatus Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
ChSetId	ChSetId	key		N/A	N/A
MdUsSgId	unsignedByte	read-only		N/A	N/A
CfgId	unsignedShort	read-only		N/A	N/A

O.2.4.8.1 IfIndex

This key represents the interface index of the MAC Domain of the bonding group of this instance.

O.2.4.8.2 ChSetId

This key represents the identifier for the Upstream Bonding Group or the single-upstream channel of this instance.

O.2.4.8.3 MdUsSgId

This attribute corresponds to the MD-US-SG-ID that includes all the upstream channels of the Upstream Bonding Group. The value zero indicates that the bonding group does not contain channels from a single MD-US-SG and therefore the bonding group is not valid and usable.

[135] section revised per OSSIv3.0-N-07.0384-1 by ab on 5/3/07.

O.2.4.8.4 CfgId[136]

This attribute provides the BondingGrpCfgId for the upstream bonding group if it was configured. Otherwise, the zero value indicates that the CMTS defines the bonding group.

O.2.5 RCC Configuration Objects[137]

This section defines the CMTS Receive Channel Configuration (RCC) Configuration objects.

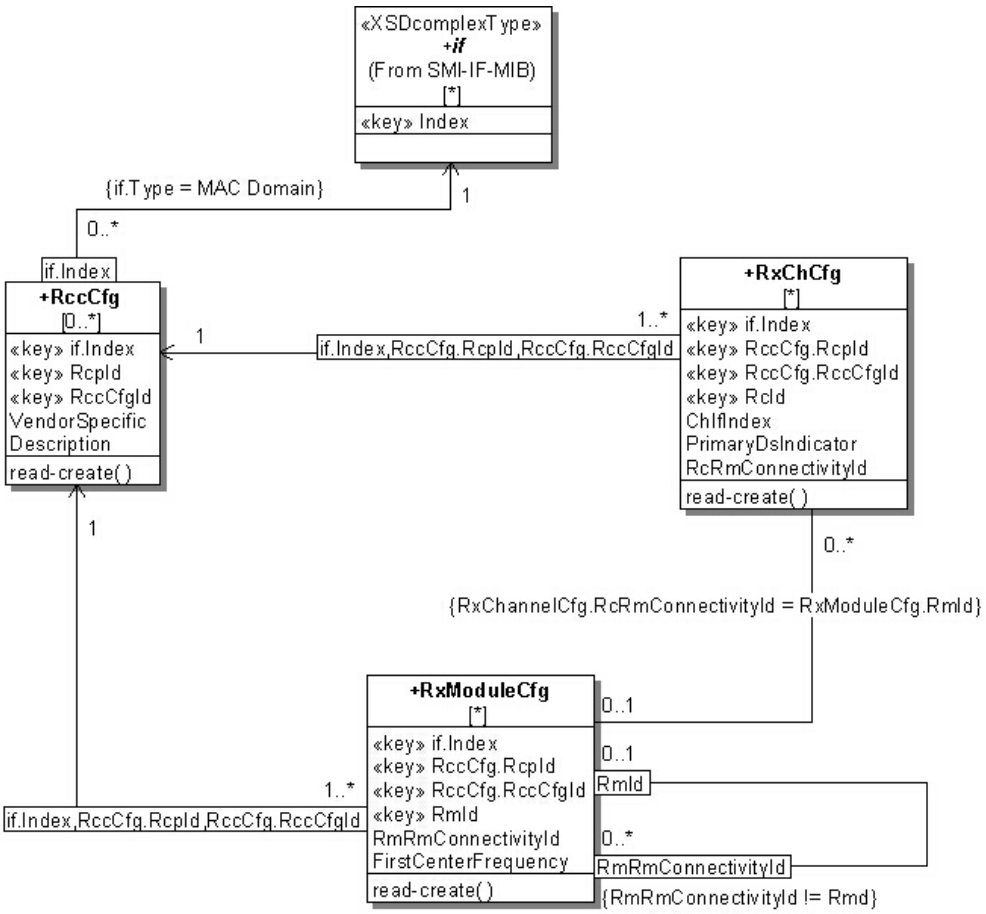


Figure O-4 - RCC Configuration Object Model Diagram

O.2.5.1 RccCfg Object

This object identifies the scope of the Receive Channel Configuration (RCC) and provides a top level container for the Receive Module and Receive Channel objects. The CMTS selects an instance of this object to assign to a CM when it registers.

This object supports the creation and deletion of multiple instances.

The CMTS MUST persist all instances of RccCfg across reinitializations.

[136] section revised per OSSIv3.0-N-07.0384-1 by ab on 5/3/07.
[137] previous section (MdCmSgLoadBalStats Object) deleted per OSSIv3.0-N-07.0481-2 by ab on 7/17/07.

Table O-15 - RccCfg Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
RcpId	RcpId	key		N/A	N/A
RccCfgId	unsignedShort	key	1..65535	N/A	N/A
VendorSpecific	hexBinary	read-create	0..252	N/A	"H
Description	AdminString	read-create	0..15	N/A	""

O.2.5.1.1 IfIndex

This key represents the interface index of the MAC Domain to which this instance applies.

O.2.5.1.2 RcpId[138]

This key represents the 'Receive Channel Profile Identifier' (RCP-ID) configured for the MAC Domain indicated by this instance.

References: [MULPI] Standard Receive Channel Profile Encodings Annex.

O.2.5.1.3 RccCfgId

This key denotes an RCC combination assignment for a particular RcpId and is unique per combination of MAC Domain and RcpId.

O.2.5.1.4 VendorSpecific

This attribute contains vendor-specific information of the CM Receive Channel configuration.

References: [MULPI] Receive Channel Profile/Configuration Vendor Specific Parameters section in the Common Radio Frequency Interface Encodings Annex.

O.2.5.1.5 Description

This attribute contains a human-readable description of the CM RCP Configuration.

O.2.5.2 RxModuleCfg Object

The Receive Module Configuration object permits an operator to configure how CMs with certain Receive Channel Profiles (RCPs) will configure the Receive Modules within their profile upon CM registration. When a CM registers with an RCP for which all Receive Module Indices (RmIds) are configured in this object and all Receive Channels are configured within the Receive Channel (RxCh) object, the CMTS SHOULD use the configuration within these objects to set the Receive Channel Configuration assigned to the CM in a REG-RSP message. A CMTS MAY require configuration of all pertinent Receive Module and Receive Channel instances in order to register a CM that reports a Receive Channel Profile. If the CM reports multiple RCPs, and Receive Module and Receive Channel objects have instances for more than one RCP reported by the CM, the particular RCP selected by the CMTS is not specified. A CMTS is not restricted to assigning Receive Modules based only on the contents of this object.

This object supports the creation and deletion of multiple instances.

Creation of a new instance of this object requires the reference of a valid RccCfg instance.

The CMTS MUST persist all instances of RxModuleCfg across reinitializations.

[138] Section revised per OSSIv3.0-N-07.0412-2 on 5/8/07 by KN.

Table O-16 - RxModuleCfg Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
RcpId	RcpId	key		N/A	N/A
RccCfgId	unsignedByte	key	1..255	N/A	N/A
RmId	unsignedByte	key	1..255	N/A	N/A
RmRmConnectivityId	unsignedByte	read-create		N/A	0
FirstCenterFrequency	unsignedInt	read-create		Hz	0

O.2.5.2.1 IfIndex

This key represents the interface index of the MAC Domain to which this instance applies.

O.2.5.2.2 RcpId

This key represents the RCP-ID to which this instance applies.

O.2.5.2.3 RccCfgId

This key represents a configuration identifier of the RCC combination assignment for a particular RcpId.

O.2.5.2.4 RmId

This key represents an identifier of a Receive Module instance within the Receive Channel Profile.

References: [MULPI] Receive Module Index in the Common Radio Frequency Interface Encodings Annex.

O.2.5.2.5 RmRmConnectivityId

This attribute represents the higher level (i.e., closer to RF) Receive Module to which this Receive Module connects. If this object contains a zero value (and thus no Receive Module Connectivity), the Receive Module Connectivity TLV is omitted from the RCC.

Within a single instance of the RxModule object, the RmRmConnectivityId attribute cannot contain the same value as the RmId attribute. The RmRmConnectivityId attribute points to a separate RxModule object instance with the same value of RccCfgId.

References: [MULPI] Receive Module Connectivity section in the Common Radio Frequency Interface Encodings Annex.

O.2.5.2.6 FirstCenterFrequency

This attribute represents the center frequency, in Hz, and a multiple of 62500, that indicates the low frequency channel of the Receive Module, or 0 if not applicable to the Receive Module.

References: [MULPI] Receive Module First Channel Center Frequency Assignment section in the Common Radio Frequency Interface Encodings Annex.

O.2.5.3 RxChCfg Object

The Receive Channel Configuration object permits an operator to configure how CMs registered with certain Receive Channel Profiles will configure the Receive Channels within their profile. When a CM registers with an RCP for which all Receive Channel Indices (RcIds) are configured in the Receive Module object and all Receive Channels are configured within this object, the CMTS SHOULD use the configuration within these objects to set the

Receive Channel Configuration returned to the CM in a REG-RSP message. A CMTS MAY require configuration of all pertinent Receive Module and Receive Channel instances in order to register a CM that reports a Receive Channel Profile (RCP), including any standard Receive Channel Profiles. If the CM reports multiple RCPs, and Receive Module and Receive Channel objects have instances for more than one RCP, the particular RCP selected by the CMTS is not specified. A CMTS is not restricted to assigning Receive Modules based only on the contents of this object.

This object supports the creation and deletion of multiple instances.

Creation of a new instance of this object requires the reference of a valid RccCfg instance and the ChIfIndex attribute to be set.

The CMTS MUST persist all instances of RxChCfg across reinitializations.

Table O-17 - RxChCfg Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
RcpId	RcpId	key		N/A	N/A
RccCfgId	unsignedByte	key	1..255	N/A	N/A
RcId	unsignedByte	key	1..255	N/A	N/A
ChIfIndex	InterfaceIndex	read-create		N/A	N/A
PrimaryDsIndicator	boolean	read-create		N/A	false
RcRmConnectivityId	unsignedByte	read-create		N/A	0

O.2.5.3.1 ifIndex

This key represents the interface index of the MAC Domain to which this instance applies.

O.2.5.3.2 RcpId

This key represents the RCP-ID to which this instance applies.

O.2.5.3.3 RccCfgId

This key represents a configuration identifier of the RCC combination assignment for a particular RcpId.

O.2.5.3.4 RcId

This key represents an identifier for the parameters of the Receive Channel instance within the Receive Channel Profile.

References: [MULPI] Receive Channel Index section in the Common Radio Frequency Interface Encodings Annex.

O.2.5.3.5 ChIfIndex

This attribute contains the interface index of a Downstream Channel that this Receive Channel Instance defines.

O.2.5.3.6 PrimaryDsIndicator

If set to 'true', this attribute indicates the Receive Channel is to be the primary-capable downstream channel for the CM receiving this RCC. Otherwise, the downstream channel is to be a non-primary-capable channel.

References: [MULPI] Receive Channel Primary Downstream Channel Indicator section in the Common Radio Frequency Interface Encodings Annex.

O.2.5.3.7 RcRmConnectivityId

This attribute indicates the Receive Module (via the RmId from the RxModule object) to which this Receive Channel connects. If this object contains a zero value (and thus no Receive Channel Connectivity), the Receive Channel Connectivity TLV is omitted from the RCC.

References: [MULPI] Receive Channel Connectivity section in the Common Radio Frequency Interface Encodings Annex.

O.2.6 RCC Status Objects

This section defines the CMTS Receive Channel Configuration (RCC) Status objects.

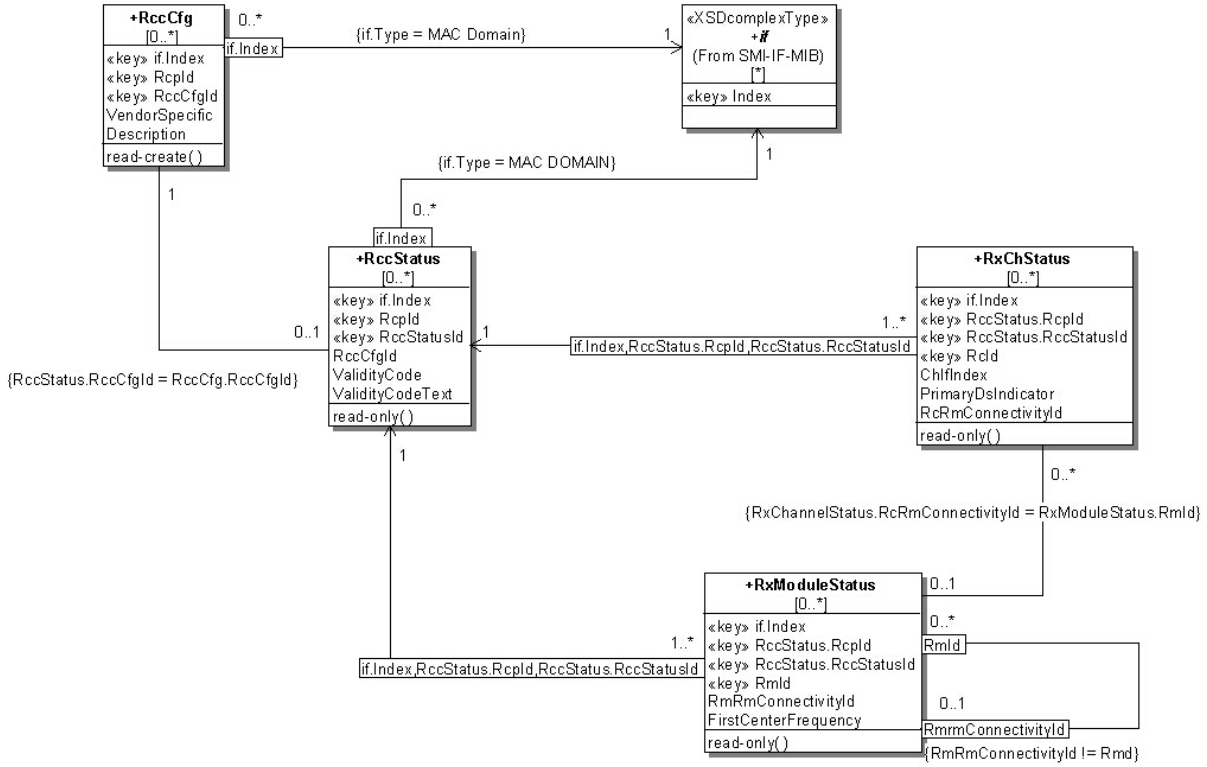


Figure O-5 - RCC Status Object Model Diagram

O.2.6.1 RccStatus Object

The RCC Status object provides a read-only view of the statically-configured (from the RccCfg object) and dynamically-created RCCs.

The CMTS creates an RCC Status instance for each unique MAC Domain Cable Modem Service Group (MD-CM-SG) to which it signals an RCC to the CM.

Table O-18 - RccStatus Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
RcpId	RcpId	key		N/A	N/A

Attribute Name	Type	Access	Type Constraints	Units	Default
RccStatusId	unsignedByte	key	1..255	N/A	N/A
RccCfgId	unsignedByte	read-only		N/A	N/A
ValidityCode	Enum	read-only	other(1) valid(2) invalid(3) wrongPrimaryDs(4) missingPrimaryDs(5) multiplePrimaryDs(6) duplicateDs(7) wrongFrequencyRange(8) wrongConnectivity(9)	N/A	N/A
ValidityCodeText	AdminString	read-only		N/A	N/A

O.2.6.1.1 IfIndex

This key represents the interface index of the MAC Domain to which this instance applies.

O.2.6.1.2 RcpId

This key represents the RCP-ID to which this instance applies.

O.2.6.1.3 RccStatusId

This key represents an RCC combination for a particular RcpId either from an RCC configuration object or a CMTS-determined RCC and is unique per combination of MAC Domain IfIndex and RcpId.

O.2.6.1.4 RccCfgId

This attribute identifies an RCC-Configured combination from which this instance was defined. If nonzero, it corresponds to the RccCfg instance from which the RCC was created. Zero means that the RCC was dynamically created by the CMTS.

O.2.6.1.5 ValidityCode

This attribute indicates whether the RCC instance of this object is valid or not. An RCC Status instance from a configured or a dynamic RCC could become invalid, for example, due changes in the topology.

O.2.6.1.6 ValidityCodeText

This attribute contains the CMTS vendor-specific log information from the Receive Channel Configuration Status encoding.

O.2.6.2 RxModuleStatus Object[139]

The Receive Module Status object provides a read-only view of the statically configured and dynamically created Receive Modules within an RCC. When this object is defined on the CM, the value of RccStatusId is always 1.

Table O-19 - RxModuleStatus Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
RcpId	RcpId	key		N/A	N/A
RccStatusId	unsignedByte	key	1..255	N/A	N/A
RmId	unsignedByte	key	1..255	N/A	N/A

[139] Section modified per OSSIv3.0-N-07.0422-5 #6 on 5/11/07 by KN.

Attribute Name	Type	Access	Type Constraints	Units	Default
RmRmConnectivityId	unsignedByte	read-only		N/A	N/A
FirstCenterFrequency	unsignedInt	read-only		Hz	N/A

O.2.6.2.1 IfIndex

This key represents the interface index of the MAC Domain to which this instance applies.

O.2.6.2.2 RcpId

This key represents the RCP-ID to which this instance applies.

O.2.6.2.3 RccStatusId[140]

This key represents an RCC combination for a particular RcpId either from an RCC configuration object or a CMTS determined RCC and is unique per combination of MAC Domain interface index and RcpId. Note that when this attribute is instantiated at the CM, its value will always be 1.

O.2.6.2.4 RmId

This key represents an identifier of a Receive Module instance within the Receive Channel Profile.

References: [MULPI] Receive Module Index section in the Common Radio Frequency Interface Encodings Annex.

O.2.6.2.5 RmRmConnectivityId

This attribute represents the Receive Module to which this Receive Module connects. Requirements for module connectivity are detailed in the RmRmConnectivityId of the RccCfg object.

O.2.6.2.6 FirstCenterFrequency

This attribute represents the low frequency channel of the Receive Module, or 0 if not applicable to the Receive Module.

O.2.6.3 RxChStatus Object[141]

The Receive Channel Status object reports the status of the statically-configured and dynamically-created Receive Channels within an RCC. When this object is defined on the CM, the value of RccStatusId is always 1.

Table O-20 - RxChStatus Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
RcpId	RcpId	key		N/A	N/A
RccStatusId	unsignedByte	key	1..255	N/A	N/A
RcId	unsignedByte	key	1..255	N/A	N/A
ChIfIndex	InterfaceIndex	read-only	InterfaceIndex of Downstream Channel assigned to the Receive Channel	N/A	N/A
PrimaryDsIndicator	boolean	read-only		N/A	N/A
RcRmConnectivityId	unsignedByte	read-only		N/A	N/A

[140] Section revised per OSSIv3.0-N-07.0422-5, #1 on 5/10/07 by KN.
[141] Section revised per oSSIv3.0-N-07.0422-5, #5 on 5/11/07 byKN.

O.2.6.3.1 IfIndex

This key represents the interface index of the MAC Domain to which this instance applies.

O.2.6.3.2 RcpId

This key represents the RCP-ID to which this instance applies.

O.2.6.3.3 RccStatusId[142]

This key represents an RCC combination for a particular RcpId either from an RCC configuration object or a CMTS determined RCC. It is unique per combination of MAC Domain interface index and RcpId. Note that when this attribute is instantiated at the CM, its value will always be 1.

O.2.6.3.4 RcId

This key represents an identifier for the parameters of the Receive Channel instance within the Receive Channel Profile.

O.2.6.3.5 ChIfIndex

This attribute contains the interface index of the Downstream Channel that this Receive Channel Instance defines.

O.2.6.3.6 PrimaryDsIndicator

If set to 'true', this attribute indicates the Receive Channel is to be the primary-capable downstream channel for the CM receiving this RCC. Otherwise, the downstream channel is to be a non-primary-capable channel.

O.2.6.3.7 RcRmConnectivityId

This attribute identifies the Receive Module to which this Receive Channel connects. A value a zero indicates that the Receive Channel Connectivity TLV is omitted from the RCC.

O.2.7 Upstream Channel Extensions Objects

This section defines extensions for the upstream channel for DOCSIS 3.0.

[142] Section revised per OSSIv3.0-N-007.0422-5, #2 on 5/10/07 by KN.

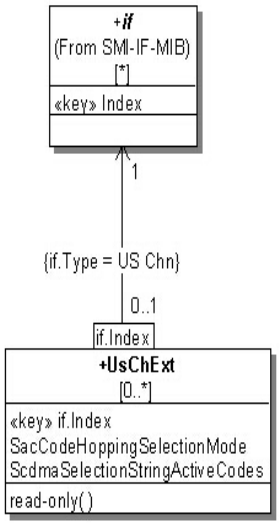


Figure O-6 - Upstream Channel Extension Object Model Diagram

O.2.7.1 UsChExt Object

This object defines management extensions for upstream channels, in particular SCDMA parameters.

Table O-21 - UsChExt Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	InterfaceIndex of MAC Domain interface	N/A	N/A
SacCodeHoppingSelectionMode	Enum	read-only	none(0) sac1NoCodeHopping(1) sac1CodeHoppingMode1(2) sac2CodeHoppingMode2(3) sac2NoCodeHopping(4)	N/A	N/A
ScdmaSelectionStringActiveCodes	ScdmaSelectionString	read-only		N/A	N/A

O.2.7.1.1 IfIndex

This key represents the interface index of the logical upstream channel to which this instance applies.

O.2.7.1.2 SacCodeHoppingSelectionMode

This attribute indicates the selection mode for active codes and code hopping.

- 'none'

  Non-SCDMA channel

- 'sac1NoCodeHopping'

Selectable active codes mode 1 and code hopping disabled

- 'sac1CodeHoppingMode1'

  Selectable active codes mode 1 and code hopping mode 1

- 'sac2CodeHoppingMode2'

  Selectable active codes mode 2 and code hopping mode 2

- 'sac2NoCodeHopping'

  Selectable active codes mode 2 and code hopping disabled

References: [PHY] Mini-slot Numbering Parameters in UCD section.

O.2.7.1.3 ScdmaSelectionStringActiveCodes

This attribute represents the active codes of the upstream channel and it is applicable only when SacCodeHoppingSelectionMode is 'sac2CodeHoppingMode2.

References: [PHY] Mini-slot Numbering Parameters in UCD section.

O.2.8 DOCSIS QOS Objects[143]

This section defines the reporting of the DOCSIS QOS configuration.

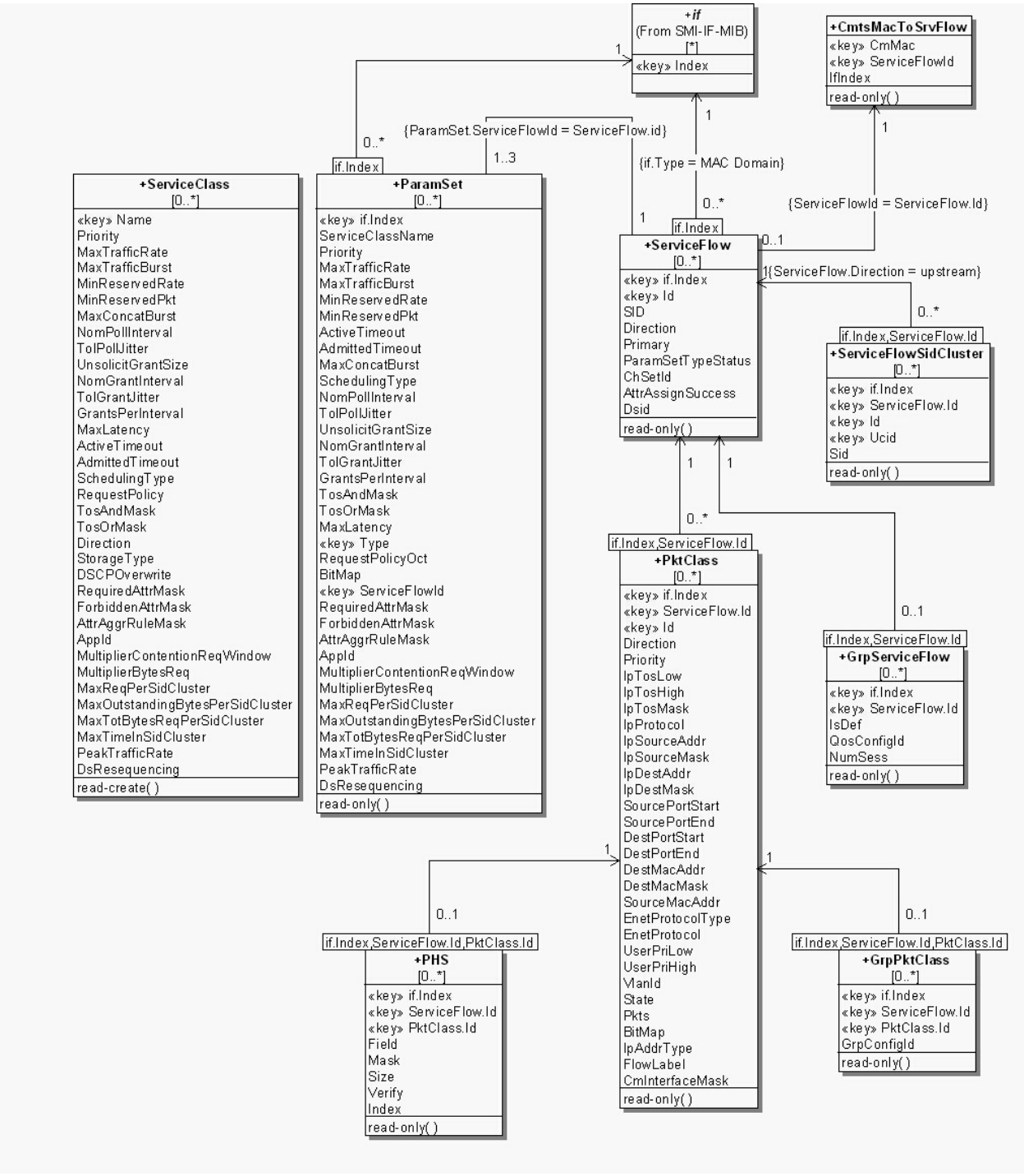


Figure O-7 – Qos Configuration Object Model Diagram[144]

[143] section replaced per OSSIv3.0-N-07.0480-3 by ab on 7/13/07.
[144] Figure O-7 replaced per OSSIv3.0-N-07.0445-3, #7 on 5/10/07 by KN.

O.2.8.1 PktClass

This object describes the packet classification configured on the CM or CMTS. The model is that a packet either received as input from an interface or transmitted for output on an interface may be compared against an ordered list of rules pertaining to the packet contents. Each rule is an instance of this object. A matching rule provides a Service Flow ID to which the packet is classified. All rules need to match for a packet to match a classifier. The attibutes in this row correspond to a set of Classifier Encoding parameters in a DOCSIS MAC management message. The BitMap attribute indicates which particular parameters were present in the classifier as signaled in the DOCSIS message. If the referenced parameter was not present in the signaled Classifier, the corresponding attribute in this instance reports a value as specified by that attribute description.

References: [MULPI] Service Flows and Classifiers section.

Table O-22 – PktClass Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	Key	Interface Index of MAC Domain interface	N/A	N/A
ServiceFlowId	Unsigned32	key	1..4294967295	N/A	N/A
Id	unsignedInt	key	1..65535	N/A	N/A
Direction	IfDirection	read-only		N/A	N/A
Priority	unsignedByte	read-only		N/A	N/A
IpTosLow	hexBinary	read-only		N/A	N/A
IpTosHigh	hexBinary	read-only		N/A	N/A
IpTosMask	hexBinary	read-only		N/A	N/A
IpProtocol	unsignedShort	read-only		N/A	N/A
IpSourceAddr	InetAddress	read-only		N/A	N/A
IpSourceMask	InetAddress	read-only		N/A	N/A
IpDestAddr	InetAddress	read-only		N/A	N/A
IpDestMask	InetAddress	read-only		N/A	N/A
SourcePortStart	InetPortNumber	read-only		N/A	N/A
SourcePortEnd	InetPortNumber	read-only		N/A	N/A
DestPortStart	InetPortNumber	read-only		N/A	N/A
DestPortEnd	InetPortNumber	read-only		N/A	N/A
DestMacAddr	MacAddress	read-only		N/A	N/A
DestMacMask	MacAddress	read-only		N/A	N/A
SourceMacAddr	MacAddress	read-only		N/A	N/A
EnetProtocolType	Enum	read-only		N/A	N/A
EnetProtocol	Integer32	read-only	0..65535	N/A	N/A
UserPriLow	unsignedByte	read-only		N/A	N/A
UserPriHigh	unsignedByte	read-only		N/A	N/A
VlanId	unsignedInt	read-only		N/A	N/A
State	Enum	read-only	active(1) inactive(2)	N/A	N/A
Pkts	Counter64	read-only		packets	
BitMap	EnumBits	read-only		N/A	N/A
IpAddrType	InetAddressType	read-only		N/A	N/A
FlowLabel	unsignedInt	read-only	0..1048575	N/A	N/A
CmInterfaceMask	DocsL2vpnIfList	read-only		N/A	N/A

O.2.8.1.1 ifIndex

This key represents the interface index of the MAC Domain of the Service Flow.

O.2.8.1.2 ServiceFlowId

This key represents an identifier assigned to a Service Flow by CMTS within a MAC Domain. The value 0 is used only for the purpose of reporting instances pertaining UDCs and not used for association of QOS classifiers to service flows.

O.2.8.1.3 Id

This key indicates the assigned identifier to the packet classifier instance by the CMTS, which is unique per Service Flow. For UDCs this corresponds to the Service Flow Reference of the classifier.

References: [MULPI] Classifier Identifier section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.4 Direction

This attribute indicates the direction to which the classifier is applied.

O.2.8.1.5 Priority

This attribute specifies the order of evaluation of the classifiers. The higher the value, the higher the priority. The value of 0 is used as default in provisioned Service Flows Classifiers. The default value of 64 is used for dynamic Service Flow Classifiers. If the referenced parameter is not present in a classifier, this attribute reports the default value as defined above.

References: [MULPI] Rule Priority section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.6 IpTosLow

This attribute indicates the low value of a range of TOS byte values. If the referenced parameter is not present in a classifier, this attribute reports the value of 0. The IP TOS octet as originally defined in [RFC 791] has been superseded by the 6-bit Differentiated Services Field (DSField, [RFC 3260]) and the 2-bit Explicit Congestion Notification Field (ECN field, [RFC 3168]). This object is defined as an 8-bit octet as defined by the DOCSIS Specification for packet classification.

References: [MULPI] IPv4 Type of Service Range and Mask and IPv6 Traffic Class Range and Mask sections in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.7 IpTosHigh

This attribute indicates the 8-bit high value of a range of TOS byte values. If the referenced parameter is not present in a classifier, this attribute reports the value of 0. The IP TOS octet as originally defined in [RFC 791] has been superseded by the 6-bit Differentiated Services Field (DSField, [RFC 3260]) and the 2-bit Explicit Congestion Notification Field (ECN field, [RFC 3168]). This object is defined as an 8-bit octet as defined by the DOCSIS Specification for packet classification.

References: [MULPI] IPv4 Type of Service Range and Mask and IPv6 Traffic Class Range and Mask sections in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.8 IpTosMask

This attribute indicates the mask value is bitwise ANDed with TOS byte in an IP packet, and this value is used for range checking of TosLow and TosHigh. If the referenced parameter is not present in a classifier, this attribute reports the value of 0. The IP TOS octet as originally defined in [RFC 791] has been superseded by the 6-bit

Differentiated Services Field (DSField, [RFC 3260]) and the 2-bit Explicit Congestion Notification Field (ECN field, [RFC 3168]). This object is defined as an 8-bit octet per the DOCSIS Specification for packet classification.

References: [MULPI] IPv4 Type of Service Range and Mask and IPv6 Traffic Class Range and Mask sections in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.9 IpProtocol

This attribute indicates the value of the IP Protocol field required for IP packets to match this rule. The value 256 matches traffic with any IP Protocol value. The value 257 by convention matches both TCP and UDP. If the referenced parameter is not present in a classifier, this attibute reports the value of 258.

References: [MULPI] IP Protocol and IPv6 Next Header Type sections in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.10 IpSourceAddr

This attribute specifies the value of the IP Source Address required for packets to match this rule. An IP packet matches the rule when the packet IP Source Address bitwise ANDed with the IpSourceMask value equals the IpSourceAddr value. The address type of this object is specified by IpAddrType. If the referenced parameter is not present in a classifier, this object reports the value of '00000000'H.

References: [MULPI] IPv4 Source Address and IPv6 Source Address sections in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.11 IpSourceMask

This attribute specifies which bits of a packet's IP Source Address are compared to match this rule. An IP packet matches the rule when the packet source address bitwise ANDed with the IpSourceMask value equals the IpSourceAddr value. The address type of this attribute is specified by IpAddrType. If the referenced parameter is not present in a classifier, this attribute reports the value of 'FFFFFFFF'H.

References: [MULPI] IPv4 Source Mask and IPv6 Source Prefix Length (bits) sections in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.12 IpDestAddr

This attribute specifies the value of the IP Destination Address required for packets to match this rule. An IP packet matches the rule when the packet IP Destination Address bitwise ANDed with the IpDestMask value equals the IpDestAddr value. The address type of this attribute is specified by IpAddrType. If the referenced parameter is not present in a classifier, this attribute reports the value of '00000000'H.

References: [MULPI] IPv4 Destination Address and IPv6 Destination Address sections in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.13 IpDestMask

This attribute specifies which bits of a packet's IP Destination Address are compared to match this rule. An IP packet matches the rule when the packet destination address bitwise ANDed with the IpDestMask value equals the IpDestAddr value. The address type of this attribute is specified by IpAddrType. If the referenced parameter is not present in a classifier, this attribute reports the value of 'FFFFFFFF'H.

References: [MULPI] IPv4 Destination Mask and IPv6 Destination Prefix Length (bits) sections in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.14 SourcePortStart

This attribute specifies the low-end inclusive range of TCP/UDP source port numbers to which a packet is compared. This attribute is irrelevant for non-TCP/UDP IP packets. If the referenced parameter is not present in a classifier, this attribute reports the value of 0.

References: [MULPI] TCP/UDP Source Port Start section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.15 SourcePortEnd

This attribute specifies the high-end inclusive range of TCP/UDP source port numbers to which a packet is compared. This attribute is irrelevant for non-TCP/UDP IP packets. If the referenced parameter is not present in a classifier, this attribute reports the value of 65535.

References: [MULPI] TCP/UDP Source Port End section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.16 DestPortStart

This attribute specifies the low-end inclusive range of TCP/UDP destination port numbers to which a packet is compared. If the referenced parameter is not present in a classifier, this attribute reports the value of 0.

References: [MULPI] TCP/UDP Destination Port Start section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.17 DestPortEnd

This attribute specifies the high-end inclusive range of TCP/UDP destination port numbers to which a packet is compared. If the referenced parameter is not present in a classifier, this attribute reports the value of 65535.

References: [MULPI] TCP/UDP Destination Port End section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.18 DestMacAddr

An Ethernet packet matches an entry when its destination MAC address bitwise ANDed with DestMacMask equals the value of DestMacAddr. If the referenced parameter is not present in a classifier, this attribute reports the value of '000000000000'H.

References: [MULPI] Destination MAC Address section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.19 DestMacMask

An Ethernet packet matches an entry when its destination MAC address bitwise ANDed with DestMacMask equals the value of DestMacAddr. If the referenced parameter is not present in a classifier, this attribute reports the value of '000000000000'H.

References: [MULPI] Destination MAC Address section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.20 SourceMacAddr

An Ethernet packet matches this entry when its source MAC address equals the value of this attribute. If the referenced parameter is not present in a classifier, this attribute reports the value of 'FFFFFFFFFFFF'.

References: [MULPI] Source MAC Address section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.21 EnetProtocolType

This attribute indicates the format of the layer 3 protocol ID in the Ethernet packet. A value of 'none' means that the rule does not use the layer 3 protocol type as a matching criteria. A value of 'ethertype' means that the rule applies only to frames that contain an EtherType value. Ethertype values are contained in packets using the Dec-Intel-Xerox (DIX) encapsulation or the RFC1042 Sub-Network Access Protocol (SNAP) encapsulation formats. A value of 'dsap' means that the rule applies only to frames using the IEEE802.3 encapsulation format with a Destination Service Access Point (DSAP) other than 0xAA (which is reserved for SNAP). A value of 'mac' means that the rule applies only to MAC management messages for MAC management messages. A value of 'all' means that the rule matches all Ethernet packets. If the Ethernet frame contains an 802.1P/Q Tag header (i.e., EtherType 0x8100), this attribute applies to the embedded EtherType field within the 802.1P/Q header. If the referenced parameter is not present in a classifier, this attribute reports the value of 0.

References: [MULPI] Ethertype/DSAP/MacType section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.22 EnetProtocol

If EnetProtocolType is 'none', this attribute is ignored when considering whether a packet matches the current rule. If EnetProtocolType is 'ethertype', this attribute gives the 16-bit value of the EtherType that the packet must match in order to match the rule. If EnetProtocolType is 'dsap', the lower 8 bits of this attribute's value must match the DSAP byte of the packet in order to match the rule. If EnetProtocolType is 'mac', the lower 8 bits of this attribute's value represent a lower bound (inclusive) of MAC management message type codes matched, and the upper 8 bits represent the upper bound (inclusive) of matched MAC message type codes. Certain message type codes are excluded from matching, as specified in the reference. If the Ethernet frame contains an 802.1P/Q Tag header (i.e., EtherType 0x8100), this attribute applies to the embedded EtherType field within the 802.1P/Q header. If the referenced parameter is not present in the classifier, the value of this attribute is reported as 0.

References: [MULPI] Ethertype/DSAP/MacType section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.23 UserPriLow

This attribute applies only to Ethernet frames using the 802.1P/Q tag header (indicated with EtherType 0x8100). Such frames include a 16-bit Tag that contains a 3-bit Priority field and a 12-bit VLAN number. Tagged Ethernet packets must have a 3-bit Priority field within the range of PriLow to PriHigh in order to match this rule. If the referenced parameter is not present in the classifier, the value of this attribute is reported as 0.

References: [MULPI] IEEE 802.1P User_Priority section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.24 UserPriHigh

This attribute applies only to Ethernet frames using the 802.1P/Qtag header (indicated with EtherType 0x8100). Such frames include a 16-bit Tag that contains a 3-bit Priority field and a 12-bit VLAN number. Tagged Ethernet packets must have a 3-bit Priority field within the range of PriLow to PriHigh in order to match this rule. If the referenced parameter is not present in the classifier, the value of this attribute is reported as 7.

References: [MULPI] IEEE 802.1P User_Priority section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.25 VlanId

This attribute applies only to Ethernet frames using the 802.1P/Q tag header. Tagged packets must have a VLAN Identifier that matches the value in order to match the rule. If the referenced parameter is not present in the classifier, the value of this attribute is reported as 0.

References: [MULPI] IEEE 802.1Q VLAN_ID section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.26 State

This attribute indicates whether or not the classifier is enabled to classify packets to a Service Flow. If the referenced parameter is not present in the classifier, the value of this attribute is reported as 'true'.

References: [MULPI] Classifier Activation State section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.27 Pkts

This attribute counts the number of packets that have been classified using this entry. This includes all packets delivered to a Service Flow maximum rate policing function, whether or not that function drops the packets. This counter's last discontinuity is the ifCounterDiscontinuityTime for the same ifIndex that indexes this attribute.

O.2.8.1.28 BitMap

This attribute indicates which parameter encodings were actually present in the DOCSIS packet classifier encoding signaled in the DOCSIS message that created or modified the classifier. Note that Dynamic Service Change messages have replace semantics, so that all non-default parameters must be present whether the classifier is being created or changed. A bit of this attribute is set to 1 if the parameter indicated by the comment was present in the classifier encoding, and to 0 otherwise. Note that BITS are encoded most significant bit first, so that if, for example, bits 6 and 7 are set, this attribute is encoded as the octet string '030000'H.

O.2.8.1.29 IpAddrType

This attribute indicates the type of the Internet address for IpSourceAddr, IpSourceMask, IpDestAddr, and IpDestMask. If the referenced parameter is not present in a classifier, this object reports the value of 'ipv4'.

O.2.8.1.30 FlowLabel

This attribute represents the Flow Label field in the IPv6 header to be matched by the classifier. The value zero indicates that the Flow Label is not specified as part of the classifier and is not matched against the packets.

References: [MULPI] IPv6 Flow Label section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.1.31 CmInterfaceMask

This attribute represents a bit-mask of the CM in-bound interfaces to which this classifer applies. This attribute only applies to QOS upstream Classifiers and upstream Drop Classifiers. For QOS downstream classifiers this object reports the zero-length string.

References: [MULPI] CM Interface Mask (CMIM) Encoding section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2 ParamSet Object

This object describes the set of QOS parameters defined in a managed device. DOCSIS 1.0 COS service profiles are not represented in this object. Each row corresponds to a DOCSIS QOS Parameter Set as signaled via DOCSIS MAC management messages.Each attribute of an instance of this object corresponds to one or part of oneService Flow Encoding. The BitMap attribute indicates which particular parameters were signaled in the original registration or dynamic service request message that created the QOS Parameter Set. In many cases, even if a QOS Parameter Set parameter was not signaled, the DOCSIS specification calls for a default value to be used. That default value is reported as the value of the corresponding attribute in this object instance. Many attributes are not applicable, depending on the Service Flow direction, upstream scheduling type or Service Flow bonding configuration. The attribute value reported in this case is specified by those attributes descriptions.

References: [MULPI] Service Flow Encodings section in the Common Radio Frequency Interface Encodings Annex.

Table O-23 –ParamSet Object

Attribute Name	Type	Access	Type Constraints	Units	Default (See attribute Description)
ifIndex	InterfaceIndex	key	Interface Index of MAC Domain interface	N/A	N/A
ServiceClassName	AdminString	read-only	SIZE (0..15)	N/A	N/A
Priority	unsignedByte	read-only	0..7	N/A	N/A
MaxTrafficRate	BitRate	read-only		bps	N/A
MaxTrafficBurst	unsignedInt	read-only		bytes	N/A
MinReservedRate	BitRate	read-only		bps	N/A
MinReservedPkt	unsignedShort	read-only		bytes	N/A
ActiveTimeout	unsignedShort	read-only		seconds	N/A
AdmittedTimeout	unsignedShort	read-only		seconds	N/A
MaxConcatBurst	unsignedShort	read-only		bytes	N/A
SchedulingType	SchedulingType	read-only		N/A	N/A
NomPollInterval	unsignedInt	read-only		microseconds	N/A
TolPollJitter	unsignedInt	read-only		microseconds	N/A
UnsolicitGrantSize	unsignedShort	read-only		bytes	N/A
NomGrantInterval	unsignedInt	read-only		microseconds	N/A
TolGrantJitter	unsignedInt	read-only		microseconds	N/A
GrantsPerInterval	unsignedByte	read-only	0..127	dataGrants	N/A
TosAndMask	hexBinary	read-only	SIZE (1)	N/A	N/A
TosOrMask	hexBinary	read-only	SIZE (1)	N/A	N/A
MaxLatency	unsignedInt	read-only		microseconds	N/A
Type	Enum	key	active (1) admitted (2) provisioned (3)	N/A	N/A
RequestPolicyOct	hexBinary	read-only	SIZE (4)	N/A	N/A

Attribute Name	Type	Access	Type Constraints	Units	Default (See attribute Description)
BitMap	EnumBits	read-only	trafficPriority(0) maxTrafficRate(1) maxTrafficBurst(2) minReservedRate(3) minReservedPkt(4) activeTimeout(5) admittedTimeout(6) maxConcatBurst(7) schedulingType(8) requestPolicy(9) nomPollInterval(10) tolPollJitter(11) unsolicitGrantSize(12) nomGrantInterval(13) tolGrantJitter(14) grantsPerInterval(15) tosOverwrite(16) maxLatency(17) requiredAttrMask(18) forbiddenAttrMask(19) attrAggrMask(20) applicationId(21) multipCntnReqWindow(22) multipBytesReq(23) maxReqPerSidCluster(24) maxOutstandingBytesPerSidCluster(25) maxTotalBytesReqPerSidCluster(26) maximumTimeInSidCluster(27) peakTrafficRate(28) dsResequencing(29)		N/A
ServiceFlowId	unsignedInt	key	1.. 4294967295		N/A
RequiredAttrMask	AttributeMask	read-only			N/A
ForbiddenAttrMask	AttributeMask	read-only			N/A
AttrAggrRuleMask	AttrAggrRuleMask	read-only	SIZE (0 | 4)		N/A
AppId	unsignedInt	read-only			N/A
MultiplierContentionReqWindow	unsignedByte	read-only	0 | 4..12	eighths	N/A
MultiplierBytesReq	unsignedByte	read-only	1 | 2 | 4 | 8 | 16	requests	N/A
MaxReqPerSidCluster	unsignedByte	read-only		bytes	N/A
MaxOutstandingBytesPerSidCluster	unsignedInt	read-only		bytes	N/A
MaxTotBytesReqPerSidCluster	unsignedInt	read-only		bytes	N/A
MaxTimeInSidCluster	unsignedShort	read-only		milliseconds	N/A
PeakTrafficRate	unsignedInt	read-only		bps	N/A
DsResequencing	Enum	read-only	resequencingDsid(0) noResequencingDsid(1)	NA	N/A

O.2.8.2.1 ifIndex

This key represents the interface index of the MAC Domain of the Service Flow.

O.2.8.2.2 ServiceClassName

This attribute represents the Service Class Name from which the parameter set values were derived. If the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set, this attribute returns the zero-length string.

References: [MULPI] Service Class Name section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.3 Priority

This attribute represents the relative priority of a Service Flow. Higher numbers indicate higher priority. This priority should only be used to differentiate Service Flow from identical parameter sets. This attribute returns 0 if the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set or if the parameter is not applicable.

References: [MULPI] Traffic Priority section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.4 MaxTrafficRate

This attribute represents the maximum sustained traffic rate allowed for this Service Flow in bits/sec. It counts all MAC frame data PDUs from the bytes following the MAC header HCS to the end of the CRC. The number of bytes forwarded is limited during any time interval. The value 0 means no maximum traffic rate is enforced. This attribute applies to both upstream and downstream Service Flows. This attribute returns 0 if the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set, or if the parameter is not applicable.

References: [MULPI] Maximum Sustained Traffic Rate section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.5 MaxTrafficBurst

This attribute specifies the token bucket size in bytes for this parameter set. The value is calculated from the byte following the MAC header HCS to the end of the CRC. This object is applied in conjunction with MaxTrafficRate to calculate maximum sustained traffic rate. If the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set, this attribute returns 3044 for scheduling types 'bestEffort', 'nonRealTimePollingService' and 'realTimePollingService'. If this parameter is not applicable, it is reported as 0.

References: [MULPI] Maximum Traffic Burst section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.6 MinReservedRate

This attribute specifies the guaranteed minimum rate in bits/sec for this parameter set. The value is calculated from the byte following the MAC header HCS to the end of the CRC. The value of 0 indicates that no bandwidth is reserved. If the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set, this attribute returns 0. If the parameter is not applicable, it is reported as 0.

References: [MULPI] Minimum Reserved Traffic Rate section of the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.7 MinReservedPkt

This attribute specifies an assumed minimum packet size in bytes for which the MinReservedRate will be provided. The value is calculated from the byte following the MAC header HCS to the end of the CRC. If the referenced parameter is omitted from a DOCSIS QOS parameter set, the used and reported value is CMTS implementation and the CM reports a value of 0. If the referenced parameter is not applicable to the direction or scheduling type of the Service Flow, both CMTS and CM report the value 0.

References: [MULPI] Assumed Minimum Reserved Rate Packet Size, in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.8 ActiveTimeout

This attribute specifies the maximum duration in seconds that resources remain unused on an active service flow before the CMTS signals that both the active and admitted parameter sets are null. The value 0 signifies an infinite amount of time. If the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set, this attribute returns 0.

References: [MULPI] Timeout for Active QoS Parameters section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.9 AdmittedTimeout

This attribute specifies the maximum duration in seconds that resources remain in admitted state before resources must be released. The value of 0 signifies an infinite amount of time. If the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set, this attribute returns 200.

References: [MULPI] Timeout for Admitted QoS Parameters section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.10 MaxConcatBurst

This attribute specifies the maximum concatenated burst in bytes that an upstream Service Flow is allowed. The value is calculated from the FC byte of the Concatenation MAC Header to the last CRC byte of the last concatenated MAC frame, inclusive. The value of 0 specifies no maximum burst. If the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set, this attribute returns the value of 1522 for scheduling types 'bestEffort', 'nonRealTimePollingService', and 'realTimePollingService'. If the parameter is not applicable, it is reported as 0.

References: [MULPI] Maximum Concatenated Burst section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.11 SchedulingType

This attribute specifies the upstream scheduling service used for upstream Service Flow. If the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set of an upstream Service Flow, this attribute returns the value of 'bestEffort'. For QOS parameter sets of downstream Service Flows, this attribute's value is reported as 'undefined'.

References: [MULPI] Service Flow Scheduling Type section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.12 NomPollInterval

This attribute specifies the nominal interval in microseconds between successive unicast request opportunities on an upstream Service Flow. This attribute applies only to upstream Service Flows with SchedulingType of value 'nonRealTimePollingService', 'realTimePollingService', and 'unsolictedGrantServiceWithAD'. The parameter is mandatory for 'realTimePollingService'. If the parameter is omitted with 'nonRealTimePollingService', the CMTS uses an implementation-dependent value. If the parameter is omitted with 'unsolictedGrantServiceWithAD(5)' the CMTS uses the value of the Nominal Grant Interval parameter. In all cases, the CMTS reports the value it is using when the parameter is applicable. The CM reports the signaled parameter value if it was signaled. Otherwise, it returns 0. If the referenced parameter is not applicable to the direction or scheduling type of the corresponding DOCSIS QOS Parameter Set, both CMTS and CM report this attribute's value as 0.

References: [MULPI] Polling Interval section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.13 TolPollJitter

This attribute specifies the maximum amount of time in microseconds that the unicast request interval may be delayed from the nominal periodic schedule on an upstream Service Flow. This parameter is applicable only to upstream Service Flows with a SchedulingType of 'realTimePollingService' or 'unsolictedGrantServiceWithAD'. If the referenced parameter is applicable but not present in the corresponding DOCSIS QOS Parameter Set, the CMTS uses an implementation-dependent value and reports the value it is using. The CM reports a value of 0 in this case. If the parameter is not applicable to the direction or upstream scheduling type of the Service Flow, both CMTS and CM report this attribute's value as 0.

References: [MULPI] Tolerated Poll Jitter section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.14 UnsolicitGrantSize

This attribute specifies the unsolicited grant size in bytes. The grant size includes the entire MAC frame data PDU from the Frame Control byte to the end of the MAC frame. The referenced parameter is applicable only for upstream flows with a SchedulingType of 'unsolicitedGrantServicewithAD' or 'unsolicitedGrantService', and it is mandatory when applicable. Both CMTS and CM report the signaled value of the parameter in this case. If the referenced parameter is not applicable to the direction or scheduling type of the corresponding DOCSIS QOS Parameter Set, both CMTS and CM report this attribute's value as 0.

References: [MULPI] Unsolicited Grant Size section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.15 NomGrantInterval

This attribute specifies the nominal interval in microseconds between successive data grant opportunities on an upstream Service Flow. The referenced parameter is applicable only for upstream flows with a SchedulingType of 'unsolicitedGrantServicewithAD' or 'unsolicitedGrantService(6)', and it is mandatory when applicable. Both CMTS and CM report the signaled value of the parameter in this case. If the referenced parameter is not applicable to the direction or scheduling type of the corresponding DOCSIS QOS Parameter Set, both CMTS and CM report this attribute's value as 0.

References: [MULPI] Nominal Grant Interval section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.16 TolGrantJitter

This attribute specifies the maximum amount of time in microseconds that the transmission opportunities may be delayed from the nominal periodic schedule. The referenced parameter is applicable only for upstream flows with a SchedulingType of 'unsolicitedGrantServicewithAD' or 'unsolicitedGrantService(6)', and it is mandatory when applicable. Both CMTS and CM report the signaled value of the parameter in this case. If the referenced parameter is not applicable to the direction or scheduling type of the corresponding DOCSIS QOS Parameter Set, both CMTS and CM report this attribute's value as 0.

References: [MULPI] Tolerated Grant Jitter section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.17 GrantsPerInterval

This attribute specifies the number of data grants per Nominal Grant Interval (NomGrantInterval). The referenced parameter is applicable only for upstream flows with a SchedulingType of 'unsolicitedGrantServicewithAD' or 'unsolicitedGrantService', and it is mandatory when applicable. Both CMTS and CM report the signaled value of the parameter in this case. If the referenced parameter is not applicable to the direction or scheduling type of the corresponding DOCSIS QOS Parameter Set, both CMTS and CM report this attribute's value as 0.

References: [MULPI] Grants per Interval section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.18 TosAndMask

This attribute specifies the AND mask for the IP TOS byte for overwriting an IPv4 packet's TOS value or IPv6 packet's Traffic Class value. The IP packet TOS byte is bitwise ANDed with TosAndMask, then the result is bitwise ORed with TosORMask and the result is written to the IP packet TOS byte. A value of 'FF'H for TosAndMask and a value of '00'H for TosOrMask means that the IP Packet TOS byte is not overwritten. This combination is reported if the referenced parameter is not present in a QOS Parameter Set. The IP TOS octet as originally defined in [RFC 791] has been superseded by the 6-bit Differentiated Services Field (DSField, [RFC 3260]) and the 2-bit Explicit Congestion Notification Field (ECN field, [RFC 3168]). The IPv6 Traffic Class octet [RFC 2460] is consistent with that new definition. Network operators should avoid specifying values of TosAndMask and TosORMask that would result in the modification of the ECN bits. In particular, operators should not use values of TosAndMask that have either of the least-significant two bits set to 0. Similarly, operators should not use values of TosORMask that have either of the least-significant two bits set to 1. Even though this attribute is only enforced by the CMTS, the CM reports the value as signaled in the referenced parameter.

References: [MULPI] IP Type Of Service (DSCP) Overwrite section in the Common Radio Frequency Interface Encodings Annex; [RFC 3168]; [RFC 3260]; [RFC 2460]; [RFC 791].

O.2.8.2.19 TosOrMask

This attribute specifies the OR mask for the IPv4 TOS value or IPv6 Traffic Class value. See the description of TosAndMask for further details. The IP TOS octet, as originally defined in [RFC 791] has been superseded by the 6-bit Differentiated Services Field (DSField, [RFC 3260]) and the 2-bit Explicit Congestion Notification Field (ECN field, [RFC 3168]). The IPv6 Traffic Class octet [RFC 2460] is consistent with that new definition. Network operators should avoid specifying values of TosAndMask and TosORMask that would result in the modification of the ECN bits.

References: [MULPI] IP Type Of Service (DSCP) Overwrite section in the Common Radio Frequency Interface Encodings Annex; [RFC 3168]; [RFC 3260]; [RFC 2460]; [RFC 791].

O.2.8.2.20 MaxLatency

This attribute specifies the maximum latency between the reception of a packet by the CMTS on its NSI and the forwarding of the packet to the RF interface. A value of 0 signifies no maximum latency is enforced. This attribute only applies to downstream Service Flows. If the referenced parameter is not present in the corresponding downstream DOCSIS QOS Parameter Set, this attribute returns 0. This parameter is not applicable to upstream DOCSIS QOS Parameter Sets, so its value is reported as 0 in that case.

References: [MULPI] Maximum Downstream Latency section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.21 Type

This key represents the QoS Parameter Set Type of the Service Flow. The following values are defined: 'active' Indicates the Active QOS parameter set, describing the service currently being provided by the DOCSIS MAC domain to the service flow. 'admitted' Indicates the Admitted QOS Parameter Set, describing services reserved by the DOCSIS MAC domain for use by the service flow. 'provisioned' Indicates the QOS Parameter Set defined in the DOCSIS CM Configuration file for the service flow.

References: [MULPI] Service Flow Scheduling Type section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.22 RequestPolicyOct

This attribute specifies which transmit interval opportunities the CM omits for upstream transmission requests and packet transmissions. This object takes its default value for downstream Service Flows. Unless otherwise indicated, a bit value of 1 means that a CM must not use that opportunity for upstream transmission. The format of this string enumerated the bits from 0 to 31 from left to right, for example bit 0 corresponds to the left most bit of the fourth octet. (octets numbered from right to left). The bit positions are defined as follows:

'broadcastReqOpp' all CMs broadcast request opportunities

'priorityReqMulticastReq' priority request multicast request opportunities

'reqDataForReq' request/data opportunities for requests

'reqDataForData' request/data opportunities for data

'piggybackReqWithData' piggyback requests with data

'concatenateData' concatenate data

'fragmentData' fragment data

'suppressPayloadHeaders' suppress payload headers

'dropPktsExceedUGSize' A value of 1 means that the service flow must drop packets that do not fit in the Unsolicited Grant size. If the referenced parameter is not present in a QOS Parameter Set, the value of this object is reported as '00000000'H.

References: [MULPI] Request/ Transmission Policy section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.23 BitMap

This attribute indicates the set of QOS Parameter Set parameters actually signaled in the DOCSIS registration or dynamic service request message that created or modified the QOS Parameter Set. A bit is set to 1 when the associated parameter is present in the original request as follows:

'trafficPriority' Traffic Priority

'maxTrafficRate' Maximum Sustained Traffic Rate

'maxTrafficBurst' Maximum Traffic Burst

'minReservedRate' Minimum Reserved Traffic Rate

'minReservedPkt' Assumed Minimum Reserved Rate Packet Size

'activeTimeout' Timeout for Active QoS Parameters

'admittedTimeout' Timeout for Admitted QoS Parameters

'maxConcatBurst' Maximum Concatenated Burst

'schedulingType' Service Flow Scheduling Type

'requestPolicy' Request/Transmission Policy

'nomPollInterval' Nominal Polling Interval

'tolPollJitter' Tolerated Poll Jitter

'unsolicitGrantSize' Unsolicited Grant Size

'nomGrantInterval' Nominal Grant Interval

'tolGrantJitter' Tolerated Grant Jitter

'grantsPerInterval' Grants per Interval

'tosOverwrite' IP Type of Service (DSCP) Overwrite

'maxLatency' Maximum Downstream Latency

'requiredAttrMask' Service Flow Required Attribute Mask

'forbiddenAttrMask Service Flow Forbidden Attribute Mask

'attrAggrMask' Service Flow Attribute Aggregation Mask

'applicationId' Application Identifier

'multipCntnReqWindow' Multiplier to Contention Request Backoff Window

'multipBytesReq' Multiplier to Number of Bytes Requested

'maxReqPerSidCluster' Maximum Requests per SID Cluster

'maxOutstandingBytesPerSidCluster' Maximum Outstanding Bytes per SID Cluster

'maxTotalBytesReqPerSidCluster' Maximum Total Bytes Requested per SID Cluster

'maximumTimeInSidCluster' Maximum Time in the SID Cluster

'peakTrafficRate' Downstream Peak Traffic Rate

'dsResequencing' Downstream Resequencing

Note that when Service Class names are expanded, the registration or dynamic response message may contain parameters expanded by the CMTS based on a stored service class. These expanded parameters are not indicated by a 1 bit in this attribute. Note that even though some QOS Parameter Set parameters may not be signaled in a message (so that the parameter's bit in this object is 0), the DOCSIS specification requires that default values be used. These default values are reported as the corresponding attribute.

References: [MULPI] Service Flow Encodings section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.24 ServiceFlowId

This key represents the Service Flow ID for the service flow.

References: [MULPI] Service Identifier section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.25 RequiredAttrMask

This attribute specifies the Required Attribute Mask to compare with the Provisioned Required Attributes when selecting the bonding groups for the service flow.

If the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set, this attribute returns '00000000'H.

References: [MULPI] Service Flow Required Attribute Mask section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.26 ForbiddenAttrMask

This attribute specifies the Forbidden Attribute Mask to compare with the Provisioned Forbidden Attributes when selecting the bonding groups for the service flow.

References: [MULPI] Service Flow Forbidden Attribute Mask section in the Common Radio Frequency Interface Encodings Annex.

If the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set, this attribute returns '00000000'H.

O.2.8.2.27 AttrAggrRuleMask

This attribute specifies the Attribute Aggregation Mask to compare the Service Flow Required and Forbidden Attributes with the CMTS dynamically-created bonding group when selecting the bonding groups for the service flow.

References: [MULPI] Service Flow Attribute Aggregation Mask section in the Common Radio Frequency Interface Encodings Annex.

If the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set, this attribute returns '00000000'H.

O.2.8.2.28 AppId

This attribute represents the Application Identifier associated with the service flow for purposes beyond the scope of this specification.

If the referenced parameter is not present in the corresponding DOCSIS QOS Parameter Set, this attribute returns 0.

References: [MULPI] Application Identifier section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.29 MultiplierContentionReqWindow

This attribute specifies the multiplier to be applied by a CM when performing contention request backoff for data requests. This attribute only applies to upstream Service Flows in 3.0 operation. if the referenced parameter is not present in the upstream DOCSIS QOS Parameter Set, or is not applicable, this attribute returns 8.

References: [MULPI] Multiplier to Contention Request Backoff Window section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.30 MultiplierBytesReq

This attribute specifies the assumed bandwidth request multiplier. This attribute only applies to upstream Service Flows in 3.0 operation. If the referenced parameter is not present in the upstream DOCSIS QOS Parameter Set, or is not applicable, this attribute returns 4.

References: [MULPI] Multiplier to Number of Bytes Requested section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.31 MaxReqPerSidCluster

This attribute specifies the maximum number of requests that a CM can make within a given SID Cluster before it must switch to a different SID Cluster to make further requests. A value of 0 indicates there is no limit. This attribute only applies to upstream Service Flows in 3.0 operation, in other cases it is reported as 0. If the referenced parameter is not present in the DOCSIS QOS Parameter Set, this attribute returns 0.

References: [MULPI] Maximum Requests per SID Cluster section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.32 MaxOutstandingBytesPerSidCluster

This attribute specifies the maximum number of bytes for which a CM can have requests outstanding on a given SID Cluster. If defined number of bytes are outstanding and further requests are required, the CM must switch to a different SID Cluster if one is available. A value of 0 indicates there is no limit. This attribute only applies to upstream Service Flows in 3.0 operation, in other cases it is reported as 0. If the referenced parameter is not present in the DOCSIS QOS Parameter Set, this attribute returns 0.

References: [MULPI] Maximum Outstanding Bytes per SID Cluster section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.33 MaxTotBytesReqPerSidCluster

This attribute specifies the maximum total number of bytes a CM can have requested using a given SID Cluster before it must switch to a different SID Cluster to make further requests. A value of 0 indicates there is no limit. This attribute only applies to upstream Service Flows in 3.0 operation, in other cases it is reported as 0. If the referenced parameter is not present in the DOCSIS QOS Parameter Set, this attribute returns 0.

References: [MULPI] Maximum Total Bytes Requested per SID Cluster section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.34 MaxTimeInSidCluster

This attribute specifies the maximum time in milliseconds that a CM may use a particular SID Cluster before it must switch to a different SID Cluster to make further requests. A value of 0 indicates there is no limit. This attribute only applies to upstream Service Flows in 3.0 operation, in other cases it is reported as 0. If the referenced parameter is not present in the DOCSIS QOS Parameter Set, this attribute returns 0.

References: [MULPI] Maximum Time in the SID Cluster section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.35 PeakTrafficRate

This attribute specifies the rate parameter 'P' of a token-bucket-based peak rate limiter for packets of a downstream service flow. A value of 0 signifies no Downstream Peak Traffic Rate is enforced. This attribute only applies to

downstream Service Flows. If the referenced parameter is not present in the corresponding downstream DOCSIS QOS Parameter Set, this attribute returns 0. This parameter is not applicable to upstream DOCSIS QOS Parameter Sets, so its value is reported as 0 in that case.

References: [MULPI] Downstream Peak Traffic Rage section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.2.36 DsResequencing

This attribute specifies if a resequending DSID need to be allocated to the service flow.

The value 'resequencingDsid' indicates that a resequencing DSID is required if the service flow is assigned to a downstream bonding group

The value 'noResequencingDsid' indicates no resequencing DSID is associated with the service flow.

This attribute only applies to downstream Service Flows in 3.0 operation. If the referenced parameter is not present in the corresponding downstream DOCSIS QOS Parameter Set, this attribute returns 0. This parameter is not applicable to upstream DOCSIS QOS Parameter Sets, so its value is reported as 0 in that case.

References: [MULPI] Downstream Resequencing section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.3 ServiceFlow Object

This object describes the set of DOCSIS-QOS Service Flows in a managed device.

References: [MULPI] Service Flows and Classifiers section.

Table O-24 –ServiceFlow Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	Interface Index of MAC Domain interface	N/A	N/A
Id	unsignedInt	key		N/A	N/A
SID	unsignedShort	read-only		N/A	N/A
Direction	IfDirection	read-only		N/A	N/A
Primary	boolean	read-only		N/A	N/A
ParamSetTypeStatus	EnumBits	read-only	active(0) admitted(1) provisioned(2)	N/A	N/A
ChSetId	ChSetId	read-only		N/A	N/A
AttrAssignSuccess	boolean	read-only		N/A	N/A
Dsid	Dsid	read-only		N/A	N/A

O.2.8.3.1 ifIndex

This key represents the interface index of the MAC Domain of the Service Flow.

O.2.8.3.2 Id

This key represents an identifier assigned to a Service Flow by CMTS within a MAC Domain. The value 0 is used only for the purpose of reporting instances of the PktClass object pertaining UDCs and not used for association of QOS classifiers to service flows.

References: [MULPI] Service Flow Identifier section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.3.3 SID

Service Identifier (SID) assigned to an admitted or active Service Flow. This attribute reports a value of 0 if a Service ID is not associated with the Service Flow. Only active or admitted upstream Service Flows will have a Service ID (SID).

References: [MULPI] Service Identifier section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.3.4 Direction

This attribute represents the direction of the Service Flow.

O.2.8.3.5 Primary

This attribute reflects whether Service Flow is the primary or a secondary Service Flow.

O.2.8.3.6 ParamSetTypeStatus

This attribute represents the status of the service flow based on the admission state. 'active' bit set to '1' indicates that the service flow is active and that the corresponding QOS ParamSet is stored in the CMTS. 'admitted' bit set to '1' indicates that the service flow resources were reserved and that the corresponding QOS ParamSet is stored in the CMTS. 'provisioned' bit set to '1' indicates that the service flow was defined in the CM config file and that the corresponding QOS ParamSet is stored in the CMTS.

References: [MULPI] Service Flow Section.

O.2.8.3.7 ChSetId

This attribute represents the Channel Set Id associated with the service flow.

O.2.8.3.8 AttrAssignSuccess

If set to 'true', this attribute indicates that the current channel set associated with the service flow meets the Required and Forbidden Attribute Mask encodings.

References: [MULPI] Service Flow section.

O.2.8.3.9 Dsid

This attribute indicates the DSID associated with the downstream service flow. downstream service flows without a DSID or upstream Service Flows report the value zero.

O.2.8.4 ServiceClass

This object describes a provisioned service class on a CMTS. Each object instance defines a template for certain DOCSIS QOS Parameter Set values. When a CM creates or modifies an Admitted QOS Parameter Set for a Service Flow, it may reference a Service Class Name instead of providing explicit QOS Parameter Set values. In this case, the CMTS populates the QOS Parameter Set with the applicable corresponding values from the named Service Class. Subsequent changes to a Service Class row do not affect the QOS Parameter Set values of any service flows already admitted. A service class template applies to only a single direction, as indicated in the ServiceClassDirection attribute.

Table O-25 – ServiceClass Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Name	SnmpAdminString	key		N/A	N/A
Priority	unsignedByte	read-create		N/A	0
MaxTrafficRate	BitRate	read-create		bps	0
MaxTrafficBurst	unsignedInt	read-create		bytes	3044
MinReservedRate	BitRate	read-create		bps	0
MinReservedPkt	unsignedShort	read-create		bytes	N/A
MaxConcatBurst	unsignedShort	read-create		bytes	1522
NomPollInterval	unsignedInt	read-create		microseconds	0
TolPollJitter	unsignedInt	read-create		microseconds	0
UnsolicitGrantSize	unsignedShort	read-create		bytes	0
NomGrantInterval	unsignedInt	read-create		microseconds	0
TolGrantJitter	unsignedInt	read-create		microseconds	0
GrantsPerInterval	unsignedByte	read-create		dataGrants	0
MaxLatency	unsignedInt	read-create		microseconds	0
ActiveTimeout	unsignedShort	read-create		seconds	0
AdmittedTimeout	unsignedShort	read-create		seconds	200
SchedulingType	SchedulingType	read-create		N/A	bestEffort
RequestPolicy	hexBinary	read-create		N/A	'00000000'H
TosAndMask	hexBinary	read-create	SIZE(1)	N/A	N/A
TosOrMask	hexBinary	read-create	SIZE(1)	N/A	N/A
Direction	IfDirection	read-create		N/A	upstream
StorageType	StorageType	read-create		N/A	nonVolatile
DSCPOverwrite	DscpOrAny	read-create		N/A	-1
RequiredAttrMask	AttributeMask	read-create		N/A	'00000000'H
ForbiddenAttrMask	AttributeMask	read-create		N/A	'00000000'H
AttrAggregationMask	AttrAggrRuleMask	read-create		N/A	'00000000'H
AppId	unsignedInt	read-create		N/A	N/A
MultiplierContentionReqWindow	unsignedByte	read-create	4..12	eighths	8
MultiplierBytesReq	unsignedByte	read-create	1 | 2 | 4 | 8 | 16	N/A	4
MaxReqPerSidCluster	unsignedByte	read-create	0 = unlimited	requests	0
MaxOutstandingBytesPerSidCluster	unsignedInt	read-create	0 = unlimited	bytes	0
MaxTotBytesReqPerSidCluster	unsignedInt	read-create	0 = unlimited	bytes	0
MaxTimeInSidCluster	unsignedShort	read-create	0 = unlimited	milliseconds	0
PeakTrafficRate	unsignedInt	read-create	0 = downstream peak traffic rate is not limited.	bps	0
DsResequencing	Enum	read-create	resequencingDsid(0) noResequencingDsid(1)	NA	0

O.2.8.4.1 Name

This key indicates the Service Class Name associated with this object instance. DOCSIS specifies that the maximum size is 16 ASCII characters including a terminating zero. The terminating zero is not represented in this SnmpAdminString syntax attribute.

References: [MULPI] Service Class Name section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.4.2 Priority

This attribute is the template for the Priority attribute of the QoS Parameter Set.

O.2.8.4.3 MaxTrafficRate

This attribute is the template for the MaxTrafficRate attribute of the QoS Parameter Set.

O.2.8.4.4 MaxTrafficBurst

This attribute is the template for the MaxTrafficBurst attribute of the QoS Parameter Set.

O.2.8.4.5 MinReservedRate

This attribute is the template for the MinReservedRate attribute of the QoS Parameter Set.

O.2.8.4.6 MinReservedPkt

This attribute is the template for the MinReservedPkt attribute of the QoS Parameter Set.

O.2.8.4.7 MaxConcatBurst

This attribute is the template for the MaxConcatBurst attribute of the QoS Parameter Set.

O.2.8.4.8 NomPollInterval

This attribute is the template for the NomPollInterval attribute of the QoS Parameter Set.

O.2.8.4.9 TolPollJitter

This attribute is the template for the TolPolJitter attribute of the QoS Parameter Set.

O.2.8.4.10 UnsolicitGrantSize

This attribute is the template for the UnsolicitGrantSize attribute of the QoS Parameter Set.

O.2.8.4.11 NomGrantInterval

This attribute is the template for the NomGrantInterval attribute of the QoS Parameter Set.

O.2.8.4.12 TolGrantJitter

This attribute is the template for the TolGrantJitter attribute of the QoS Parameter Set.

O.2.8.4.13 GrantsPerInterval

This attribute is the template for the GrantsPerInterval attribute of the QoS Parameter Set.

O.2.8.4.14 MaxLatency

This attribute is the template for the MaxLatency attribute of the QoS Parameter Set.

O.2.8.4.15 ActiveTimeout

This attribute is the template for the ActiveTimeout attribute of the QoS Parameter Set.

O.2.8.4.16 AdmittedTimeout

This attribute is the template for the AddmitedTimeout attribute of the QoS Parameter Set.

O.2.8.4.17 SchedulingType

This attribute is the template for the SchedulingType attribute of the QoS Parameter Set.

O.2.8.4.18 RequestPolicy

This attribute is the template for the RequestPolicyOct attribute of the QoS Parameter Set.

O.2.8.4.19 TosAndMask

This attribute is the template for the TosAndMask attribute of the QoS Parameter Set.

O.2.8.4.20 TosOrMask

This attribute is the template for the TosOrMask attribute of the QoS Parameter Set.

O.2.8.4.21 Direction

This attribute is the template for the Direction attribute of the QoS Parameter Set.

O.2.8.4.22 StorageType

This attribute defines whether this row is kept in volatile storage and lost upon reboot or whether it is backed up by non-volatile or permanent storage. 'permanent' entries need not allow writable access to any instance attribute.

O.2.8.4.23 DSCPOverwrite

This attribute allows the overwrite of the DSCP field per RFC 3260.

If this attribute is -1, then the corresponding TosAndMask value is set to be 'FF'H and TosOrMask is set to '00'H. Otherwise, this attribute is in the range of 0..63, and the corresponding TosAndMask value is '03'H and TosOrMaskvalue is this attribute value shifted left by two bit positions.

O.2.8.4.24 RequiredAttrMask

This attribute is the template for the RequiredAttrMask attribute of the QoS Parameter Set.

O.2.8.4.25 ForbiddenAttrMask

This attribute is the template for the ForbiddenAttrMask attribute of the QoS Parameter Set.

O.2.8.4.26 AttrAggrRuleMask

This attribute is the template for the AttrAggregationMask attribute of the QoS Parameter Set.

O.2.8.4.27 AppId

This attribute is the template for the AppId attribute of the QoS Parameter Set.

O.2.8.4.28 MultiplierContentionReqWindow

This attribute is the template for the MultiplierContentionReqWindow attribute of the QoS Parameter Set.

O.2.8.4.29 MultiplierBytesReq

This attribute is the template for the MultiplierBytesReq attribute of the QoS Parameter Set.

O.2.8.4.30 MaxReqPerSidCluster

This attribute is the template for the MaxReqPerSidCluster attribute of the QoS Parameter Set.

O.2.8.4.31 MaxOutstandingBytesPerSidCluster

This attribute is the template for the MaxOutstandingBytesPerSidCluster attribute of the QoS Parameter Set.

O.2.8.4.32 MaxTotBytesReqPerSidCluster

This attribute is the template for the MaxTotBytesReqPerSidCluster attribute of the QoS Parameter Set.

O.2.8.4.33 MaxTimeInSidCluster

This attribute is the template for the MaxTimeInSidCluster attribute of the QoS Parameter Set.

O.2.8.4.34 PeakTrafficRate

This attribute is the template for the PeakTrafficRate attribute of the QoS Parameter Set.

O.2.8.4.35 DsResequencing

This attribute is the template for the DsResequencing attribute of the QoS Parameter Set.

O.2.8.5 PHS Object

This object describes the set of payload header suppression of Service Flows.

References: [MULPI] Payload Header Suppression section in the Common Radio Frequency Interface Encodings Annex.

Table O-26 - PHS Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	Interface Index of MAC Domain interface	N/A	N/A
ServiceFlowId	unsignedInt	key		N/A	N/A
PktClassId	unsignedInt	key		N/A	N/A
Field	hexBinary	read-only		N/A	N/A
Mask	hexBinary	read-only		N/A	N/A
Size	unsignedByte	read-only		bytes	N/A
Verify	boolean	read-only		N/A	N/A
Index	Integer32	read-only		N/A	N/A

O.2.8.5.1 ifIndex

This key represents the interface index of the MAC Domain of the Service Flow.

O.2.8.5.2 ServiceFlowId

This key represents an identifier assigned to a Service Flow by CMTS within a MAC Domain.

O.2.8.5.3 PktClassId

This key indicates the assigned identifier to the packet classifier instance by the CMTS, which is unique per Service Flow.

O.2.8.5.4 Field

This attribute indicates the Payload Header suppression field defines the bytes of the header that must be suppressed/restored by the sending/receiving device. The number of octets in this attribute should be the same as the value of PHSSize.

References: [MULPI] Payload Header Suppression Field (PHSF) section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.5.5 Mask

This attribute defines the bit mask that is used in combination with the PHSField. It defines which bytes in the header must be suppressed/restored by the sending or receiving device. Each bit of this bit mask corresponds to a byte in the PHSField, with the least significant bit corresponding to the first byte of the PHSField. Each bit of the bit mask specifies whether the corresponding byte should be suppressed in the packet. A bit value of '1' indicates that the byte should be suppressed by the sending device and restored by the receiving device. A bit value of '0' indicates that the byte should not be suppressed by the sending device or restored by the receiving device. If the bit mask does not contain a bit for each byte in the PHSField, then the bit mask is extended with bit values of '1' to be the necessary length.

References: [MULPI] Payload Header Suppression Mask (PHSM) section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.5.6 Size

This attribute specifies the number of bytes in the header to be suppressed and restored. The value of this attribute matches the numberof bytes in the Field attribute.

References: [MULPI] Payload Header Suppression Size (PHSS) section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.5.7 Verify

If 'true', this attribute indicates that the sender must verify that the PHS Field is the same as the content in the packet to be suppressed.

References: [MULPI] Payload Header Suppression Verification (PHSV) section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.5.8 Index

This attribute uniquely references the PHS rule for a given service flow.

References: [MULPI] Payload Header Suppression Index (PHSI) section in the Common Radio Frequency Interface Encodings Annex.

O.2.8.6 CmtsMacToSrvFlow

This object provides the mapping of unicast service flows with the cable modem the service flows belongs to.

Table O-27 – CmtsMacToSrvFlow Object

Attribute Name	Type	Access	Type Constraints	Units	Default
CmMac	MacAddress	key		N/A	N/A
ServiceFlowId	unsignedInt	key		N/A	N/A
IfIndex	InterfaceIndex	read-only	Interface Index of MAC Domain interface	N/A	N/A

O.2.8.6.1 CmMac

This key represents the MAC address for the referenced CM.

O.2.8.6.2 ServiceFlowId

This key represents the identifier of the Service Flow.

O.2.8.6.3 IfIndex

This attribute represents the interface index of the MAC domain of the Service Flow and where the CableModem is registered.

O.2.8.7 ServiceFlowSidCluster Object[145]

This object defines the SID clusters associated with an upstream service flow.

References: [MULPI] Service Flow SID Cluster Assignments section in the Common Radio Frequency Interface Encodings Annex.

Table O-28 - ServiceFlowSidCluster Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	Key	Interface Index of MAC Domain interface	N/A	N/A
ServiceFlowId	unsignedInt	Key	1.. 4294967295	N/A	N/A
Id	unsignedByte	Key	0..7	N/A	N/A
Ucid	ChId	Key	1..255	N/A	N/A
Sid	unsignedInt	Read-only	1..16383	N/A	N/A

O.2.8.7.1 IfIndex

This key represents the interface index of the MAC Domain of the Service Flow SID cluster.

O.2.8.7.2 ServiceFlowId

This key represents the Service Flow ID for the service flow.

O.2.8.7.3 Id

This key represents the identifier of the SID Cluster.

References: [MULPI] SID Cluster ID section in the Common Radio Frequency Interface Encodings Annex.

[145] Section revised per OSSIv3.0-N-07.0437-4, #1 on 5/9/07 per KN.

O.2.8.7.4 Ucid

This key represents the upstream Channel ID mapped to the corresponding SID.

O.2.8.7.5 Sid

This attribute represents the SID assigned to the upstream channel in this SID Cluster.

O.2.8.8 GrpServiceFlow Object

This object provides extensions to the service flow information for Group Service Flows (GSFs).

References: [MULPI] QoS Support for Joined IP Multicast Traffic section.

Table O-29 – GrpServiceFlow Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	Interface Index of MAC Domain interface	N/A	N/A
ServiceFlowId	unsignedInt	key	1.. 4294967295	N/A	N/A
IsDef	boolean	read-only		N/A	N/A
QosCfgId	unsignedShort	read-only		N/A	N/A
NumSess	unsignedShort	read-only	1..65535	sessions	N/A

O.2.8.8.1 ifIndex

This key represents the interface index of the MAC Domain of the Group Service Flow.

O.2.8.8.2 ServiceFlowId

This key represents the Service Flow ID for the Service Flow.

References: [MULPI] QoS section.

O.2.8.8.3 IsDef

This attribute indicates whether the GSF QOS Parameter Set corresponds to the Default Group Service Flow.

References: Annex M.

O.2.8.8.4 QosCfgId

This attribute indicates the Group QoS Configuration (GQC) identifier used of the creation of this GSF. The value zero indicates that the service flow is using the default service flow policy.

References: Annex M.

O.2.8.8.5 NumSess

This attribute indicates the number of sessions that are configured in an aggregated Service Flow. If this is a single session replication, the value of this attribute is 1.

References: Annex M.

O.2.8.9 GrpPktClass Object

This object provides additional packet classification information for Group Classifier References (GCRs) in a Group Service Flow (GSF).

References: [MULPI] QoS Support for Joined IP Multicast Traffic section.

Table O-30 - GrpPktClass Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	Interface Index of MAC Domain interface	N/A	N/A
ServiceFlowId	unsignedInt	key	1..4294967295	N/A	N/A
PktClassId	unsignedShort	key	1..65535	N/A	N/A
GrpCfgId	unsignedInt	read-only	1..4294967295	N/A	N/A

O.2.8.9.1 IfIndex

This key represents the interface index of the MAC Domain to which this instance applies.

O.2.8.9.2 ServiceFlowId

This key represents the Service Flow ID of the service flow.

References: [MULPI] QoS section.

O.2.8.9.3 PktClassId

This key represents the Classifier ID of a GCR associated with a GSF.

References: [MULPI] QoS section.

O.2.8.9.4 GrpCfgId

This attribute indicates the GC identifier used of the creation of this GSF.

References: Annex M.

O.2.9 QOS Statistics Objects[146]

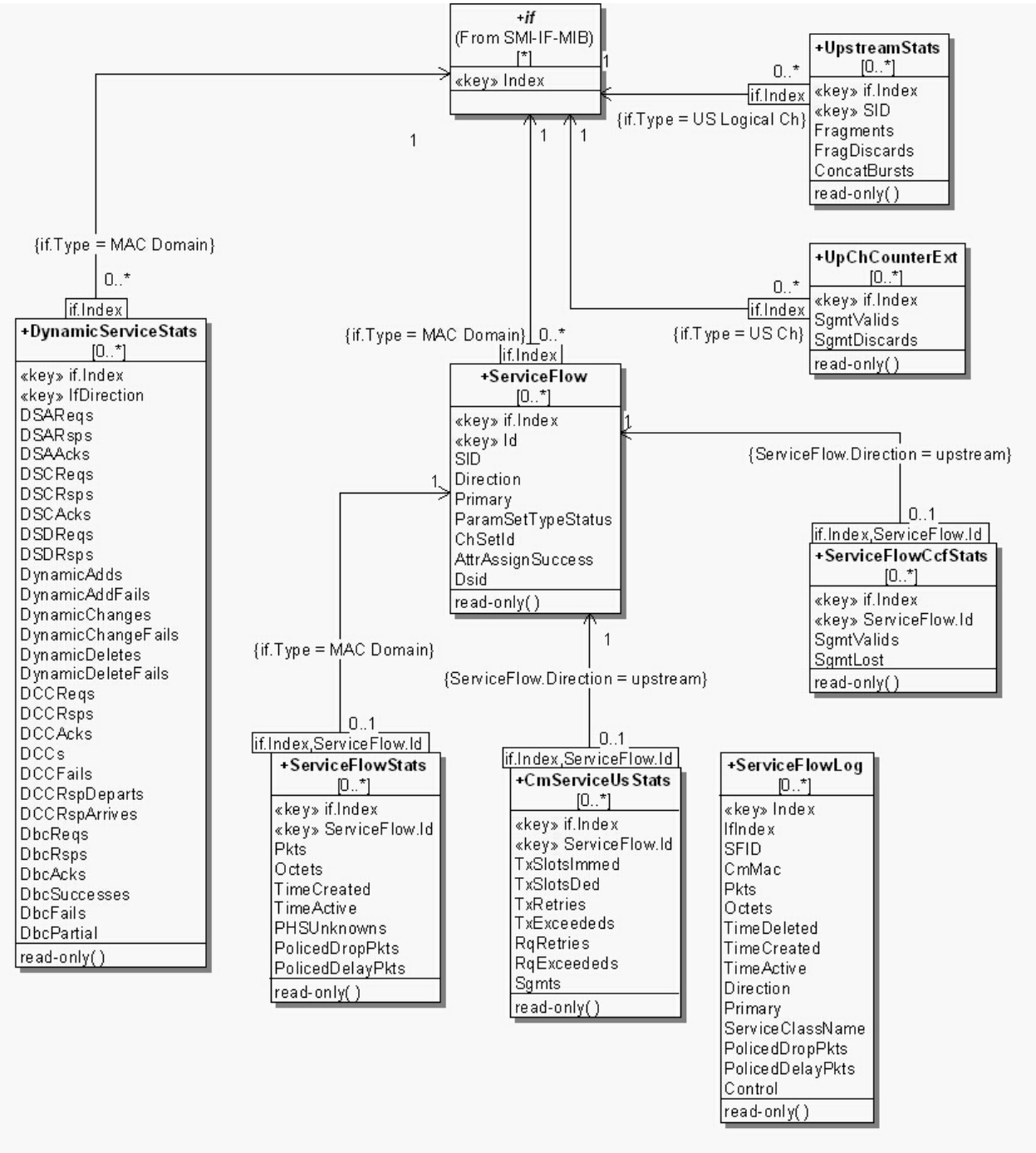


Figure O-8 – Qos Statistics Object Model Diagram

O.2.9.1 ServiceFlowStats

This object describes statistics associated with the Service Flows in a managed device.

[146] section replaced per OSSIv3.0-N-07.0480-3 by ab on 7/13/07.

Table O-31 –ServiceFlowStats Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	Interface Index of MAC Domain interface	N/A	N/A
ServiceFlowId	Unsigned32	key	1..4294967295	N/A	N/A
Pkts	Counter64	read-only		packets	N/A
Octets	Counter64	read-only		bytes	N/A
Created	TimeStamp	read-only		N/A	N/A
Active	Counter32	read-only		seconds	N/A
PHSUnknowns	Counter32	read-only		packets	N/A
PolicedDropPkts	Counter32	read-only		packets	N/A
PolicedDelayPkts	Counter32	read-only		packets	N/A

O.2.9.1.1 ifIndex

This key represents the interface index of the MAC Domain of the Service Flow.

O.2.9.1.2 ServiceFlowId

This key represents an identifier assigned to a Service Flow by CMTS within a MAC Domain.

O.2.9.1.3 Pkts

For outgoing Service Flows, this attribute counts the number of Packet Data PDUs forwarded to this Service Flow. For incoming upstream CMTS service flows, this attribute counts the number of Packet Data PDUs actually received on the Service Flow identified by the SID for which the packet was scheduled. CMs not classifying downstream packets may report this attribute's value as 0 for downstream Service Flows. This attribute does not count MAC-specific management messages. Particularly for UGS flows, packets sent on the primary Service Flow in violation of the UGS grant size should be counted only by the instance of this attribute that is associated with the primary service flow. Unclassified upstream user data packets (i.e., non- MAC-management) forwarded to the primary upstream Service Flow should be counted by the instance of this attribute that is associated with the primary service flow. This attribute does include packets counted by ServiceFlowPolicedDelayPkts, but does not include packets counted by ServiceFlowPolicedDropPkts and ServiceFlowPHSUnknowns. This counter's last discontinuity is the ifCounterDiscontinuityTime for of the associated MAC Domain interface index.

O.2.9.1.4 Octets

This attribute indicates the count of the number of octets from the byte after the MAC header HCS to the end of the CRC for all packets counted in the ServiceFlowPkts attribute for this row. Note that this counts the octets after payload header suppression and before payload header expansion have been applied. This counter's last discontinuity is the ifCounterDiscontinuityTime for of the associated MAC Domain interface index.

O.2.9.1.5 Created

This attribute indicates the value of sysUpTime when the service flow was created.

O.2.9.1.6 Active

This attribute indicates the number of seconds that the service flow has been active. This counter's last discontinuity is the ifCounterDiscontinuityTime for of the associated MAC Domain interface index.

O.2.9.1.7 PHSUnknowns

For incoming upstream CMTS service flows, this attribute counts the number of packets received with an unknown payload header suppression index. The service flow is identified by the SID for which the packet was scheduled. On a CM, only this attribute's instance for the primary downstream service flow counts packets received with an unknown payload header suppression index. All other downstream service flows on CM report this attributes value as 0. All outgoing service flows report this attribute's value as 0. This counter's last discontinuity is the ifCounterDiscontinuityTime for of the associated MAC Domain interface index.

O.2.9.1.8 PolicedDropPkts

For outgoing service flows, this attribute counts the number of Packet Data PDUs classified to this service flow dropped due to: (1) implementation-dependent excessive delay while enforcing the Maximum Sustained Traffic Rate; or (2) UGS packets dropped due to exceeding the Unsolicited Grant Size with a Request/Transmission policy that requires such packets to be dropped. Classified packets dropped due to other reasons must be counted in ifOutDiscards for the interface of this service flow. This attribute reports 0 for incoming service flows. This counter's last discontinuity is the ifCounterDiscontinuityTime for of the associated MAC Domain interface index.

O.2.9.1.9 PolicedDelayPkts

This attribute counts only outgoing packets delayed in order to maintain the Maximum Sustained Traffic Rate. This attribute will always report a value of 0 for UGS flows because the Maximum Sustained Traffic Rate does not apply. This attribute is 0 for incoming service flows. This counter's last discontinuity is the ifCounterDiscontinuityTime for of the associated MAC Domain interface index.

O.2.9.2 UpstreamStats

This object describes statistics associated with upstream service flows. All counted frames must be received without a Frame Check Sequence (FCS) error.

Table O-32 – UpstreamStats Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	Interface Index of Upstream Logical Channel	N/A	N/A
SID	unsignedShort	key		N/A	N/A
Fragments	Counter32	read-only		fragments	N/A
FragDiscards	Counter32	read-only		fragments	N/A
ConcatBursts	Counter32	read-only		headers	N/A

O.2.9.2.1 ifIndex

This key represents the interface index of the logical upstream interface to which this instance applies.

O.2.9.2.2 SID

This key identifies a service ID for an admitted or active upstream service flow.

O.2.9.2.3 Fragments

This attribure indicates the number of fragmentation headers received on an upstream service flow, regardless of whether the fragment was correctly reassembled into a valid packet. This counter's last discontinuity is the ifCounterDiscontinuityTime for of the associated MAC Domain interface index.

O.2.9.2.4 FragDiscards

This attribute indicates the number of upstream fragments discarded and not assembled into a valid upstream packet. This counter's last discontinuity is the ifCounterDiscontinuityTime for of the associated MAC Domain interface index.

O.2.9.2.5 ConcatBursts

This attribute indicates the number of concatenation headers received on an upstream service flow. This counter's last discontinuity is the ifCounterDiscontinuityTime for of the associated MAC Domain interface index.

O.2.9.3 DynamicServiceStats

This object describes statistics associated with the Dynamic Service Flows, Dynamic Channel Changes and Dynamic Bonding Changes in a managed device within a MAC Domain. For each MAC Domain there are two instances for the for the upstream and downstream direction. On the CMTS, the downstream direction instance indicates messages transmitted or transactions originated by the CMTS. The upstream direction intance indicates messages received or transaction originated by the CM. On the CM, the downstream direction instance indicates messages received or transactions originated by the CMTS. The upstream direction instance indicates messages transmitted by the CM or transactions originated by the CM.

Table O-33 – DynamicServiceStats Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	Interface Index of MAC Domain interface	N/A	N/A
IfDirection	IfDirection	read-only		N/A	N/A
DSAReqs	Counter32	read-only		messages	N/A
DSARsps	Counter32	read-only		messages	N/A
DSAAcks	Counter32	read-only		messages	N/A
DSCReqs	Counter32	read-only		messages	N/A
DSCRsps	Counter32	read-only		messages	N/A
DSCAcks	Counter32	read-only		messages	N/A
DSDReqs	Counter32	read-only		messages	N/A
DSDRsps	Counter32	read-only		messages	N/A
DynamicAdds	Counter32	read-only		messages	N/A
DynamicAddFails	Counter32	read-only		messages	N/A
DynamicChanges	Counter32	read-only		messages	N/A
DynamicChangeFails	Counter32	read-only		messages	N/A
DynamicDeletes	Counter32	read-only		messages	N/A
DynamicDeleteFails	Counter32	read-only		messages	N/A
DCCReqs	Counter32	read-only		messages	N/A
DCCRsps	Counter32	read-only		messages	N/A
DCCAcks	Counter32	read-only		messages	N/A
DCCs	Counter32	read-only		messages	N/A
DCCFails	Counter32	read-only		messages	N/A
DCCRspDeparts	Counter32	read-only		messages	N/A
DCCRspArrives	Counter32	read-only		messages	N/A
DbcReqs	Counter32	read-only		messages	N/A
DbcRsps	Counter32	read-only		messages	N/A
DbcAcks	Counter32	read-only		messages	N/A
DbcSuccesses	Counter32	read-only		transactions	N/A
DbcFails	Counter32	read-only		transactions	N/A
DbcPartial	Counter32	read-only		transactions	N/A

O.2.9.3.1 ifIndex

This key represents the interface index of the MAC Domain.

O.2.9.3.2 IfDirection

This attribute indicates the interface direction for the instance the statistics are collected.

O.2.9.3.3 DSAReqs

This attribute indicates the number of Dynamic Service Addition Requests, including retries. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Addition section; [RFC 2863].

O.2.9.3.4 DSARsps

The number of Dynamic Service Addition Responses, including retries. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Addition section; [RFC 2863].

O.2.9.3.5 DSAAcks

The number of Dynamic Service Addition Acknowledgements, including retries. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Addition section; [RFC 2863].

O.2.9.3.6 DSCReqs

The number of Dynamic Service Change Requests, including retries. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Change section; [RFC 2863].

O.2.9.3.7 DSCRsps

The number of Dynamic Service Change Responses, including retries. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Change section; [RFC 2863].

O.2.9.3.8 DSCAcks

The number of Dynamic Service Change Acknowledgements, including retries. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Change section; [RFC 2863].

O.2.9.3.9 DSDReqs

The number of Dynamic Service Delete Requests, including retries. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Deletion section; [RFC 2863].

O.2.9.3.10 DSDRsps

The number of Dynamic Service Delete Responses, including retries. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Change section; [RFC 2863].

O.2.9.3.11 DynamicAdds

The number of successful Dynamic Service Addition transactions. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Addition section; [RFC 2863].

O.2.9.3.12 DynamicAddFails

The number of failed Dynamic Service Addition transactions. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Addition section; [RFC 2863].

O.2.9.3.13 DynamicChanges

The number of successful Dynamic Service Change transactions. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Change section; [RFC 2863].

O.2.9.3.14 DynamicChangeFails

The number of failed Dynamic Service Change transactions. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Change section; [RFC 2863].

O.2.9.3.15 DynamicDeletes

The number of successful Dynamic Service Delete transactions. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Delete section; [RFC 2863].

O.2.9.3.16 DynamicDeleteFails

The number of failed Dynamic Service Delete transactions. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Service Delete section; [RFC 2863].

O.2.9.3.17 DCCReqs

The number of Dynamic Channel Change Request messages traversing an interface. This count is nonzero only on downstream direction rows. This count should include the number of retries. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Downstream and/or Upstream Channel Changes section; [RFC 2863].

O.2.9.3.18 DCCRsps

The number of Dynamic Channel Change Response messages traversing an interface. This count is nonzero only on upstream direction rows. This count should include the number of retries. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Downstream and/or Upstream Channel Changes section; [RFC 2863].

O.2.9.3.19 DCCAcks

The number of Dynamic Channel Change Acknowledgement messages traversing an interface. This count is nonzero only on downstream direction rows. This count should include the number of retries. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Downstream and/or Upstream Channel Changes section; [RFC 2863].

O.2.9.3.20 DCCs

The number of successful Dynamic Channel Change transactions. This count is nonzero only on downstream direction rows. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Downstream and/or Upstream Channel Changes section; [RFC 2863].

O.2.9.3.21 DCCFails

The number of failed Dynamic Channel Change transactions. This count is nonzero only on downstream direction rows. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Downstream and/or Upstream Channel Changes section; [RFC 2863].

O.2.9.3.22 DccRspDeparts

This attribute contains the number of Dynamic Channel Change Response (depart) messages. It only applies to upstream direction. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Downstream and/or Upstream Channel Changes section; [RFC 2863].

O.2.9.3.23 DccRspArrives

This attribute contains the number of Dynamic Channel Change Response (arrive) messages and should include retries. It only applies to the upstream direction. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Downstream and/or Upstream Channel Changes section; [RFC 2863].

O.2.9.3.24 DbcReqs

This attribute contains the number of Dynamic Bonding Change Requests, including retries. It only applies to the upstream direction. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Bonding Change (DBC) section; [RFC 2863].

O.2.9.3.25 DbcRsps

This attribute contains the number of Dynamic Bonding Change Responses, including retries. It only applies to the upstream direction. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Bonding Change (DBC) section; [RFC 2863].

O.2.9.3.26 DbcAcks

This attribute contains the number of Dynamic Bonding Change Acknowledgements, including retries. It only applies to the downstream direction. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Bonding Change (DBC) section; [RFC 2863].

O.2.9.3.27 DbcSuccesses

This attribute contains the number of fully successful Dynamic Bonding Change transactions. It only applies to the downstream direction and does not include DBC transactions that result in Partial Service. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Bonding Change (DBC) section; [RFC 2863].

O.2.9.3.28 DbcFails

This attribute contains the number of failed Dynamic Bonding Change transactions. It only applies to the downstream direction. Note that Partial Service is not considered a failed transaction. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Bonding Change (DBC) section; [RFC 2863].

O.2.9.3.29 DbcPartial

This attribute contains the number of unsuccessful Dynamic Bonding Change transactions that result in Partial Service. IT only applies to the downstream direction. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Dynamic Bonding Change (DBC) section; [RFC 2863].

O.2.9.4 ServiceFlowLog

This object contains a log of the disconnected Service Flows in a managed device.

Table O-34 – ServiceFlowLog Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Index	unsignedInt	key		N/A	N/A
IfIndex	InterfaceIndex	read-only		N/A	N/A
SFID	unsignedInt	read-only		N/A	N/A
CmMac	MacAddress	read-only		N/A	N/A
Pkts	Counter64	read-only		packets	N/A
Octets	Counter64	read-only		bytes	N/A
TimeDeleted	TimeStamp	read-only		N/A	N/A
TimeCreated	TimeStamp	read-only		N/A	N/A
TimeActive	Counter32	read-only		seconds	N/A
Direction	RfMacIfDirection	read-only		N/A	N/A
Primary	boolean	read-only		N/A	N/A
ServiceClassName	SnmpAdminString	read-only		N/A	N/A
PolicedDropPkts	Counter32	read-only		packets	N/A
PolicedDelayPkts	Counter32	read-only		packets	N/A
Control	Enum	read-write	active(1) destroy(6)	N/A	N/A

O.2.9.4.1 Index

This key indicates an unique index for a logged service flow.

O.2.9.4.2 IfIndex

This attribute indicates the MAC Domain Interface index where the service flow was present.

O.2.9.4.3 SFID

This attribute indicates the identifier assigned to the service flow.

O.2.9.4.4 CmMac

This attribute indicates the MAC address of the cable modem associated with the service flow.

O.2.9.4.5 Pkts

This attribute indicates the final value of the Pkts attribute in the ServiceFlowStats object for the service flow.

O.2.9.4.6 Octets

This attribute indicates the final value of the Pkts attribute in the ServiceFlowStats object for the service flow.

O.2.9.4.7 TimeDeleted

This attribute indicates the value of sysUpTime when the service flow was deleted.

O.2.9.4.8 TimeCreated

This attribute indicates the value of sysUpTime when the service flow was created.

O.2.9.4.9 TimeActive

This attribute indicates the total time that the service flow was active.

O.2.9.4.10 Direction

This attribute indicates the value of Service Flow direction for the service flow.

O.2.9.4.11 Primary

If set to 'true', this attribute indicates that the Service Flow in the log was a Primary Service Flow, otherwise, a Secondary Service Flow.

O.2.9.4.12 ServiceClassName

This attribute indicates the value of ServiceClassName for the provisioned QOS Parameter Set of the service flow.

O.2.9.4.13 PolicedDropPkts

This attribute indicates the final value of PolicedDropPkts attribute of the ServiceFlowStats object for the service flow.

O.2.9.4.14 PolicedDelayPkts

This attribute indicates the final value of PolicedDelayPkts attribute of the ServiceFlowStats object for the service flow.

O.2.9.4.15 Control

This attribute when set to 'destroy' removes this instance from the object. Reading this attribute returns the value 'active'.

O.2.9.5 UpChCounterExt Object

This object provides extensions for upstream channel bonding.

References: [MULPI] Channel Bonding section.

Table O-35 – UpChCounterExt Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	Interface Index of upstream channel	N/A	N/A
SgmtValids	Counter32	read-only		segments	N/A
SgmtDiscards	Counter32	read-only		segments	N/A

O.2.9.5.1 IfIndex

This key represents the interface index of the upstream channel to which this instance applies.

O.2.9.5.2 SgmtValids

This attribute contains the number of segments correctly received on the upstream channel. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated upstream channel.

References: [MULPI] Upstream and Downstream Common Aspects section; [RFC 2863].

O.2.9.5.3 SgmtDiscards

This attribute represents the total number of discarded segments on this channel due to segment HCS problems. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated upstream channel.

References: [MULPI] Continuous Concatenation and Fragmentation section; [RFC 2863].

O.2.9.6 ServiceFlowCcfStats Object

This object provides upstream service flow statistics on upstream fragments for Continous Concatenation and Fragmentation (CCF).

References: [MULPI] Continuous Concatenation and Fragmentation section.

Table O-36 – ServiceFlowCcfStats Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	Interface Index of MAC Domain interface	N/A	N/A
ServiceFlowId	unsignedInt	key	1..4294967295	N/A	N/A
SgmtValids	Counter32	read-only		segments	N/A
SgmtLost	Counter32	read-only		segments	N/A

O.2.9.6.1 IfIndex

This key represents the interface index of the upstream channel to which this instance applies.

O.2.9.6.2 ServiceFlowId

This key represents the Service Flow ID for the service flow.

References: [MULPI] QoS section.

O.2.9.6.3 SgmtValids

This attribute contains the number of segments counted on this service flow regardless of whether the fragment was correctly reassembled into valid packets. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Continuous Concatenation and Fragmentation section; [RFC 2863].

O.2.9.6.4 SgmtLost

This attribute counts the number of segments which the CMTS segment reassembly function determines were lost. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Continuous Concatenation and Fragmentation section; [RFC 2863].

O.2.9.7 CmServiceUsStats Object

This object defines DOCSIS MAC services primitive statistics of upstream service flows. In pre-3.0 DOCSIS devices these statistics exist per SID for either CoS or QoS services in the SNMP table docsIfCmServiceTable.

A 3.0 CM with CoS configuration (DOCSIS 1.0 mode) reports the statistics defined in the SNMP table docsIfCmServiceTable. A 3.0 CM with QoS configuration reports this object regardless of whether Multiple Transmit Channel is enabled or disabled.

References: [MULPI] Upstream Data Transmission section.

Table O-37 – CmServiceUsStats Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	Interface Index of MAC Domain interface	N/A	N/A
ServiceFlowId	unsignedInt	key	1.. 4294967295	N/A	N/A
TxSlotsImmed	Counter32	read-only		mini-slots	N/A
TxSlotsDed	Counter32	read-only		mini-slots	N/A
TxRetries	Counter32	read-only		attempts	N/A
TxExceededs	Counter32	read-only		attempts	N/A
RqRetries	Counter32	read-only		attempts	N/A
RqExceededs	Counter32	read-only		attempts	N/A
Sgmts	Counter32	read-only		segments	N/A

O.2.9.7.1 IfIndex

This key represents the interface index of the MAC Domain to which this instance applies.

O.2.9.7.2 ServiceFlowId

This key represents the Service Flow ID for the service flow.

References: [MULPI] QoS section.

O.2.9.7.3 TxSlotsImmed

This attribute contains the number of upstream mini-slots which have been used to transmit data PDUs in immediate (contention) mode. This includes only those PDUs that are presumed to have arrived at the head-end (i.e., those which were explicitly acknowledged.) It does not include retransmission attempts or mini-slots used by Requests. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Upstream Bandwidth Allocation section; [RFC 2863].

O.2.9.7.4 TxSlotsDed

This attribute contains the number of upstream mini-slots which have been used to transmit data PDUs in dedicated mode (i.e., as a result of a unicast Data Grant). Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Upstream Data Transmission section; [RFC 2863].

O.2.9.7.5 TxRetries

This attribute contains the number of attempts to transmit data PDUs containing requests for acknowledgment that did not result in acknowledgment. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the associated MAC Domain interface index.

References: [MULPI] Upstream Bandwidth Allocation section; [RFC 2863].

O.2.9.7.6 TxExceededs

This attribute contains the number of data PDUs transmission failures due to excessive retries without acknowledgment. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Upstream Bandwidth Allocation section; [RFC 2863].

O.2.9.7.7 RqRetries

This attribute contains the number of attempts to transmit bandwidth requests which did not result in acknowledgment. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Upstream Bandwidth Allocation section; [RFC 2863].

O.2.9.7.8 RqExceededs

This attribute contains the number of requests for bandwidth which failed due to excessive retries without acknowledgment. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Upstream Bandwidth Allocation section; [RFC 2863].

O.2.9.7.9 Sgmts

This attribute contains the number of segments transmitted on this service flow. Discontinuities in the value of this counter can occur at reinitialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime of the associated MAC Domain interface index.

References: [MULPI] Upstream and Downstream Common Aspects section; [RFC 2863].

O.2.10 DSID Objects[147]

This section defines Downstream Service Identifier (DSID) related objects.

[147] figure replaced per OSSIv3.0-N-07.0480-3 by ab on 7/16/07.

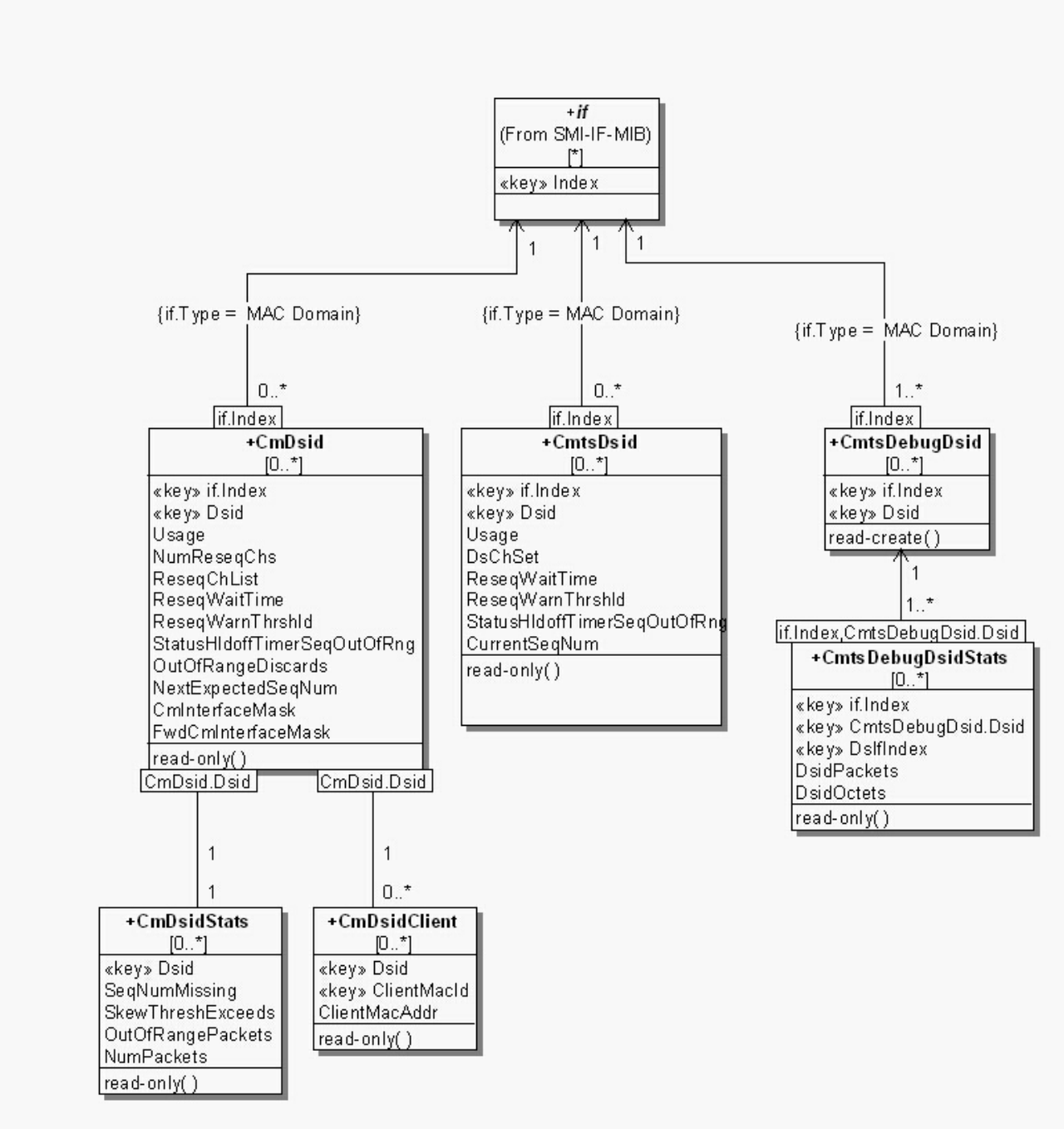


Figure O-9 – DSID Object Model Diagram

O.2.10.1 CmDsid Object

This object describes the DSID information stored in the CM.

The CM reports the current status of existing DSIDs. When a DSID is created during the registration process or a DBC transaction, a corresponding object instance is created. If a DSID is deleted or changed via a DBC message the corresponding object instance is deleted or updated respectively.

Table O-38 - CmDsid Object[148]

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	Interface Index of MAC Domain interface	N/A	N/A
Dsid	Dsid	key		N/A	N/A
Usage	EnumBits	read-only	resequencing(0) multicastCapable(1)	N/A	N/A
NumReseqChs	unsignedShort	read-only	1..65535	N/A	N/A
ReseqChList	ChannelList	read-only		N/A	N/A
ReseqWaitTime	unsignedByte	read-only	1..180	hundredMicroseconds	N/A
ReseqWarnThrshld	unsignedByte	read-only	0..179	hundredMicroseconds	N/A
StatusHldoffTimerSeqOutOfRng	unsignedShort	read-only		20 milliseconds	N/A
OutOfRangeDiscards	Counter32	read-only		N/A	N/A
NextExpectedSeqNum	unsignedShort	read-only		N/A	N/A
CmInterfaceMask	DocsL2vpnIfList	read-only		N/A	N/A
FwdCmInterfaceMask	DocsL2vpnIfList	read-only		N/A	N/A

O.2.10.1.1 IfIndex

This key represents the interface index of the MAC Domain associated with the DSID.

O.2.10.1.2 Dsid

This key represents the DSID.

O.2.10.1.3 Usage

This attribute indicates the properties of the DSID. The bits are defined as follows:

- 'resequencing'

This bit is set to 1 for a Resequencing DSID.

- 'multicastCapable'

This bit is set to 1 for a DSID that is capable of transporting multicast traffic (e.g., the DSID has multicast forwarding attributes).

O.2.10.1.4 NumReseqChs

This attribute represents the number of channels in the downstream resequencing channel list for this DSID.

O.2.10.1.5 ReseqChList

This attribute represents the Downstream Channel Set over which the DSID is being resequenced.

O.2.10.1.6 ReseqWaitTime

This attribute represents the DSID Resequencing Wait Time that is used for this DSID. This attribute is only valid when the Usage attribute has the resequencing bit set to 1. This attribute returns a value of 0 when the Usage attribute has the resequencing bit set to 0.

[148] Table revised per OSSIv3.0-N-07.0412-2, #3 on 5/8/07 by KN, and per OSSIv3.0-N-07.0480-3 by ab on 7/13/07.

O.2.10.1.7 ReseqWarnThrshld

This attribute represents the DSID Resequencing Warning Threshold that is used for this DSID. The value of 0 indicates that the threshold warnings are disabled. This attribute is only valid when the Usage attribute has the resequencing bit set to 1. This attribute returns a value of 0 when the Usage attribute has the resequencing bit set to 0.

O.2.10.1.8 StatusHldoffTimerSeqOutOfRng

This attribute represents the hold-off timer for reporting Out-of-Range Events via the CM-STATUS MAC Management message. This attribute is only valid when the Usage attribute has the resequencing bit set to 1. This attribute returns a value of 0 when the Usage attribute has the resequencing bit set to 0.

O.2.10.1.9 OutOfRangeDiscards[149]

This attribute represents the current count of out-of-range packets discarded by the CM for a given resequencing context since an in-range packet was received. When this count exceeds 1000 and more than two minutes have elapsed since an in-range packet was received, the CM will reacquire sequence numbers for this resequencing context.

O.2.10.1.10 NextExpectedSeqNum

This attribute represents the Next Expected Packet Sequence Number for a given resequencing context. This attribute is only valid when the Usage attribute has the resequencing bit set to 1. This attribute returns a value of 0 when the Usage attribute has the resequencing bit set to 0.

O.2.10.1.11 CmInterfaceMask

This attribute represents the bitmap of the interfaces communicated to the CM in a Multicast DSID encoding.

O.2.10.1.12 FwdCmInterfaceMask

This attribute represents the bitmap of the interfaces to which the CM forwards multicast traffic: a logical OR of interfaces identified in CmInterfaceMask and interfaces associated with the client MAC addresses identified in the docsDevCmDsidMac instances for this DSID.

O.2.10.2 CmtsDsid Object

This object describes DSID information stored in the CMTS.

The CMTS reports the current status of existing DSIDs. When a DSID is created during the registration process or a DBC transaction, a corresponding object instance is created. If a DSID is deleted or changed via a DBC message the corresponding object instance is deleted or updated respectively.

Table O-39 - CmtsDsid Object[150]

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key	Interface Index of MAC Domain interface	N/A	N/A
Dsid	Dsid	key		N/A	N/A

[149] revised per OSSIv3.0-N-07.0480-3 by ab on 7/17/07.
[150] Table revised per OSSIv3.0-N-07.0412-2, #3 on 5/8/07 by KN.

Attribute Name	Type	Access	Type Constraints	Units	Default
Usage	EnumBits	read-only	resequencing(0) multicastCapable(1) multicastReplication(2) bonding(3)	N/A	N/A
DsChSet	ChSetId	read-only		N/A	N/A
ReseqWaitTime	unsignedByte	read-only	1..180	hundredMicroseconds	N/A
ReseqWarnThrshld	unsignedByte	read-only	0..179	hundredMicroseconds	N/A
StatusHldoffTimerSeqOutOfRng	unsignedShort	read-only		20 milliseconds	N/A
CurrentSeqNum	unsignedShort	read-only		N/A	N/A

O.2.10.2.1 IfIndex

This key represents the interface index of the MAC Domain associated with the DSID.

O.2.10.2.2 Dsid

This key represents the DSID.

O.2.10.2.3 Usage

This attribute indicates the properties of the DSID. The bits are defined as follows:

- 'resequencing'

This bit is set to 1 for a Resequencing DSID.

- 'multicastCapable'

This bit is set to 1 for a DSID that is capable of transporting multicast traffic (i.e., the DSID has multicast forwarding attributes).

- 'multicastReplication'

This bit is set to 1 for a DSID that is used for transporting a multicast replication (i.e., there is a corresponding instance of the CmtsReplSess object).

- 'bonding'

This bit is set to a 1 for a DSID that is associated with a bonding group.

References: Annex M; [MULPI] DSID Encodings section in the Common Radio Frequency Interface Encodings Annex.

O.2.10.2.4 DsChSet

This attribute represents the Downstream Channel Set over which the DSID is being resequenced.

O.2.10.2.5 ReseqWaitTime

This attribute represents the DSID Resequencing Wait Time that is used for this DSID. This attribute is only valid when the Usage attribute has the resequencing bit set to 1. This attribute returns a value of 0 when the Usage attribute has the resequencing bit set to 0.

O.2.10.2.6 ReseqWarnThrshld

This attribute represents the DSID Resequencing Warning Threshold that is used for this DSID. The value of 0 indicates that the threshold warnings are disabled. This attribute is only valid when the Usage attribute has the resequencing bit set to 1. This attribute returns a value of 0 when the Usage attribute has the resequencing bit set to 0.

O.2.10.2.7 StatusHldoffTimerSeqOutOfRng

This attribute represents the hold-off timer for reporting Out-of-Range Events via the CM-STATUS MAC Management message. This attribute is only valid when the Usage attribute has the resequencing bit set to 1. This attribute returns a value of 0 when the Usage attribute has the resequencing bit set to 0.

O.2.10.2.8 LastSeqNum

This attribute reports the value of the most recent sequence number assigned by the CMTS for this DSID. This attribute is only valid when the Usage attribute has the resequencing bit set to 1. This attribute returns a value of 0 when the Usage attribute has the resequencing bit set to 0.

O.2.10.3 CmDsidStats Object

This object defines a set of statistics the CM collects per DSID.

Table O-40 - CmDsidStats Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IifIndex	InterfaceIndex	key		N/A	N/A
Dsid	Dsid	key		N/A	N/A
SeqNumMissing	Counter32	read-only		N/A	N/A
SkewThresh	unsignedByte	read-only	0..5	milliseconds	N/A
OutOfRangePackets	Counter32	read-only		packets	N/A
NumPackets	Counter32	read-only		packets	N/A

O.2.10.3.1 IfIndex

This key represents the interface index of the MAC Domain associated with the DSID.

O.2.10.3.2 Dsid

This key represents the DSID.

O.2.10.3.3 SeqNumMissing

This attribute counts the number of times a resequencing context is missing and skipped, In this case one or more data packet are lost.

O.2.10.3.4 SkewThreshExceeds[151]

This attribute counts in-range sequenced packets which were successfully received by the CM after a wait time longer than the Resequencing Warning Threshold.

References: [MULPI] Downstream Sequencing section.

[151] revised per OSSIv3.0-N-07.0480-3 by ab on 7/16/07.

O.2.10.3.5 OutOfRangePackets

This attribute counts the number of packets Counter received in a DSID reassembly context where the sequence number which is out of range.

References: [MULPI] Receive Channels section.

O.2.10.3.6 NumPackets

This attribute counts the total number of data packets of a DSID context forwarded for further processing.

O.2.10.4 CmDsidClient Object

This object contains the client MAC addresses that the CMTS requests that the CM uses to replicate Multicast DSIDs during registration or during a DBC transaction.

When a DSID is created that includes client MAC addresses, or when client MAC addresses are added to a DSID, new rows are created to indicate the added client MAC addresses. When a Client MAC address is deleted from a DSID, the corresponding row is deleted. When a DSID is deleted, all corresponding rows are deleted, too.

References: [MULPI] DSID Encodings section in the Common Radio Frequency Interface Encodings Annex.

Table O-41 - CmDsidClient Object

Attribute Name	Type	Access	Type Constraints	Units	Default
Dsid	Dsid	key		N/A	N/A
MacId	unsignedShort	key	1..65535	N/A	N/A
MacAddr	MacAddress	read-only		N/A	N/A

O.2.10.4.1 Dsid

This key defines the DSID that the client MAC addresses are associated with.

O.2.10.4.2 MacId

This key defines a uniquely identified Client Mac Addresses associated with the DSID.

O.2.10.4.3 MacAddr

This attribute defines a client MAC address to which Multicast traffic labeled with this DSID should be forwarded.

O.2.10.5 CmtsDebugDsid Object

The CMTS Debug DSID object contains the control of DSID debug statistics reporting

An instance in this object defines the DSID and MAC domain to which the CmtsDebugDsidStats collects statistics for the downstream channel associated with that DSID and MAC Domain. The deletion of an instance stops the reporting of statistics for the specified DSID.

This object supports instance creation and deletion.

The CMTS MUST support at least one instance of this object.

Creation of a new instance of this object requires a valid MAC Domain and a current DSID value.

The CMTS MUST NOT persist instances created in the CmtsDebugDsid object across system reinitializations.

Table O-42 - CmtsDebugDsid Object

Attribute Name	Type	Access	Type Constraints	Units	Default
IfIndex	InterfaceIndex	key		N/A	N/A
Dsid	Dsid	key		N/A	N/A

O.2.10.5.1 IfIndex

This attribute represents the interface index of the MAC Domain to which an instance of this object applies.

O.2.10.5.2 Dsid

This attribute represents the DSID value to be debugged, identified by the IfIndex attribute of this object.

O.2.10.6 CmtsDebugDsidStats Object

The CMTS Debug DSID Stats object describes statistics at the CMTS for the forwarding of DSID-labeled downstream packets.

The CMTS creates an instance for every combination of MAC Domain, DSID value, and downstream channel on which packets labeled with that DSID are transmitted. The CMTS MUST NOT delete such instances while the corresponding CmtsDebug object control instance exists.

The CMTS is not required to persist instances of this object across reinitializations.

Table O-43 - CmtsDebugDsidStats Object

Attribute Name	Type	Access	Type Constraints	Units	Default
ifIndex	InterfaceIndex	key	Interface Index of MAC Domain interface	N/A	N/A
Dsid	Dsid	key	0..1048575	N/A	N/A
DsIfIndex	InterfaceIndex	key	InterfaceIndex of downstream channel	N/A	N/A
DsidPackets	Counter32	read-only		packets	N/A
DsidOctets	Counter32	read-only		octets	N/A

O.2.10.6.1 ifIndex

This key represents the interface index of the MAC Domain to which this instance applies.

O.2.10.6.2 Dsid

This key represents the Downstream Service ID (DSID).

O.2.10.6.3 DsIfIndex

This key represents an Interface Index of a downstream channel that belongs to the DSID

O.2.10.6.4 DsidPackets[152]

This attribute is a counter which contains the number of packets transmitted by the CMTS which are labeled with the DSID on the downstream channel. Discontinuities in the value of this counter can occur as indicated by the value of ifCounterDiscontinuityTime of the associated Downstream interface index.

[152] revised per OSSIv3.0-N-07.0480-3 by ab on 7/16/07.

O.2.10.6.5 DsidOctets[153]

This attribute counts the number of bytes transmitted by the CMTS which are labeled with the DSID on the downstream interface. Discontinuities in the value of this counter can occur as indicated by the value of ifCounterDiscontinuityTime of the associated Downstream interface index.

[153] revised per OSSIv3.0-N-07.0480-3 by ab on 7/16/07.

Annex P Subscriber Management Requirements (Normative)

P.1 Overview

This Annex defines management objects for Subscriber Management. This model provides CMTS enforcement of CM and CPE packet filtering, maximum number of CM CPEs.

P.2 Object Definitions[154]

This model provides the Subscriber Management packet filtering policies for CMs and CPE behind the CM. The Subscriber Management model provides the CMTS with policy management of upstream and downstream filtering traffic on a CM basis through DOCSIS defined CPE types. The components of the Subscriber Management model are:

- Base, default configuration parameters
- CpeCtrl, per-CM control and usage of Subscriber Management features
- CpeIp, per-CM list of CPE's IPv4 addresses and IPv6 prefixes
- Grp, per-CM filter groups
- FilterGrp, list of classifiers of a filter group

DOCSIS 3.0 Subscriber Management aligns the packet classification parameters of the filters groups with the QOS classification criteria. To that extend, as an optional CMTS feature, a Subscriber Management Filter Group ID or a set of those IDs can be associated with Upstream Drop Classifier Group ID(s) (see [MULPI]). In this situation the CMTS Subscriber Management Filter groups are provisioned to the CM in the form of Upstream Drop Classifiers (UDCs) during the registration process.

[154] Section revised per OSSIv3.0-N-07.0441-4, #1 on 5/9/07 by KN.

P.2.1 Subscriber Management Objects

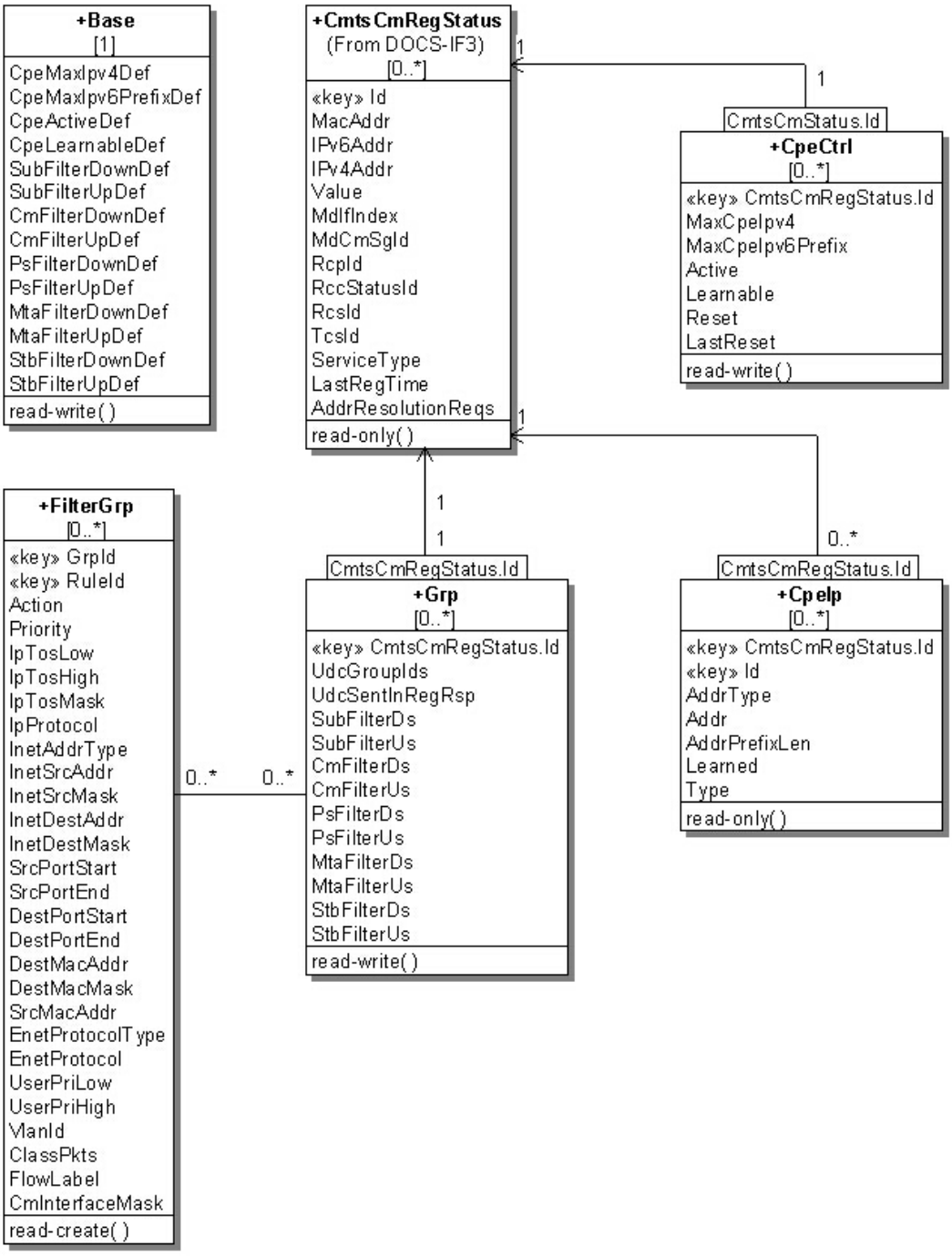


Figure P-1 - Subscriber Management Object Model Diagram[155]

[155] Figure P-1 replaced per OSSIv3.0-N-07.0445-3, #6 on 5/10/07 by KN.

P.2.1.1 Base Object

This object defines the configuration parameters of Subscriber Management features for the CM in case the CM does not signal any of the parameters during the registration process.

Table P-1 - Base Object[156]

Attribute Name	Type	Access	Type Constraints	Units	Default
CpeMaxIpv4Def	unsignedShort	read-write	0..1023	N/A	16
CpeMaxIpv6PrefixDef	unsignedShort	read-write	0..1023	N/A	16
CpeActiveDef	boolean	read-write		N/A	false
CpeLearnableDef	boolean	read-write		N/A	false
SubFilterDownDef	unsignedShort	read-write	0..1024	N/A	0
SubFilterUpDef	unsignedShort	read-write	0..1024	N/A	0
CmFilterDownDef	unsignedShort	read-write	0..1024	N/A	0
CmFilterUpDef	unsignedShort	read-write	0..1024	N/A	0
PsFilterDownDef	unsignedShort	read-write	0..1024	N/A	0
PsFilterUpDef	unsignedShort	read-write	0..1024	N/A	0
MtaFilterDownDef	unsignedShort	read-write	0..1024	N/A	0
MtaFilterUpDef	unsignedShort	read-write	0..1024	N/A	0
StbFilterDownDef	unsignedShort	read-write	0..1024	N/A	0
StbFilterUpDef	unsignedShort	read-write	0..1024	N/A	0

P.2.1.1.1 CpeMaxIpv4Def

This attribute represents the maximum number of IPv4 addresses allowed for the CM's CPE if not signaled in the registration process.

P.2.1.1.2 CpeMaxIpv6PrefixDef

This attribute represents the maximum number of IPv6 prefixes allowed for the CM CPEs if not signaled in the registration process.[157]

P.2.1.1.3 CpeActiveDef

This attribute represents the default value for enabling Subscriber Management filters and controls in the CM if the parameter is not signaled in the DOCSIS Registration process.

P.2.1.1.4 CpeLearnableDef

This attribute represents the default value for enabling the CPE learning process for the CM if the parameter is not signaled in the DOCSIS Registration process.

P.2.1.1.5 SubFilterDownDef

This attribute represents the default value for the subscriber (CPE) downstream filter group for the CM if the parameter is not signaled in the DOCSIS Registration process.

P.2.1.1.6 SubFilterUpDef

This attribute represents the default value for the subscriber (CPE) upstream filter group for the CM if the parameter is not signaled in the DOCSIS Registration process.

[156] First 2 rows modified per OSSI3.0-N-07.0444-3, 5/10/07 per PO.
[157] Section added per per OSSI3.0-N-07.0444-3, 5/10/07 per PO.

P.2.1.1.7 CmFilterDownDef

This attribute represents the default value for the CM stack downstream filter group applying to the CM if the parameter is not signaled in the DOCSIS Registration process.

P.2.1.1.8 CmFilterUpDef

This attribute represents the default value for the CM stack upstream filter group for the CM if the parameter is not signaled in the DOCSIS Registration process.

P.2.1.1.9 PsFilterDownDef

This attribute represents the default value for the PS or eRouter downstream filter group for the CM if the parameter is not signaled in the DOCSIS Registration process.

P.2.1.1.10 PsFilterUpDef

This attribute represents the default value for the PS or eRouter upstream filter group for the CM if the parameter is not signaled in the DOCSIS Registration process.

P.2.1.1.11 MtaFilterDownDef

This attribute represents the default value for the MTA downstream filter group for the CM if the parameter is not signaled in the DOCSIS Registration process.

P.2.1.1.12 MtaFilterUpDef

This attribute represents the default value for the MTA upstream filter group for the CM if the parameter is not signaled in the DOCSIS Registration process.

P.2.1.1.13 StbFilterDownDef

This attribute represents the default value for the STB downstream filter group for the CM if the parameter is not signaled in the DOCSIS Registration process.

P.2.1.1.14 StbFilterUpDef

This attribute represents the default value for the STB upstream filter group for the CM if the parameter is not signaled in the DOCSIS Registration process.

P.2.1.2 CpeCtrl Object

This object maintains per-CM traffic policies enforced by the CMTS. The CMTS acquires the CM traffic policies through the CM registration process, or in the absence of some or all of those parameters, from the Base object. The CM information and controls are meaningful and used by the CMTS, but only after the CM is operational.

Table P-2 - CpeCtrl Object[158]

Attribute Name	Type	Access	Type Constraints	Units	Default
CmtsCmRegStatusId	unsignedShort	key	1..4294967295	N/A	N/A
MaxCpeIpv4	unsignedShort	read-write	0..1023	N/A	N/A
MaxCpeIpv6Prefix	unsignedShort	read-write	0..1023	N/A	N/A
Active	boolean	read-write		N/A	N/A
Learnable	boolean	read-write		N/A	N/A

[158] Table revised per OSSI3.0-N-07.0444-3, 5/10/07 per PO.

Attribute Name	Type	Access	Type Constraints	Units	Default
Reset	boolean	read-write		N/A	N/A
LastReset	TimeStamp	read-write		N/A	N/A

P.2.1.2.1 CmtsCmRegStatusId

This key is the CMTS generated unique identifier of a CM for status report purposes.

P.2.1.2.2 MaxCpeIpv4

This attribute represents the number of simultaneous IPv4 addresses permitted for CPEs connected to the CM. When the MaxCpeIpv4 attribute is set to zero (0), all IPv4 CPE traffic from the CM is dropped. The CMTS configures this attribute with whichever of the 'Subscriber Management CPE IPv4 List' or 'Subscriber Management Control-MaxCpeIPv4' signaled encodings is greater, or in the absence of all of those provisioning parameters, with the CpeMaxIpv4Def from the Base object. This limit applies to learned and DOCSIS-provisioned entries but not to entries added through some administrative process (e.g., statically) at the CMTS. Note that this attribute is only meaningful when the Active attribute of the CM is set to 'true'.[159]

References: [MULPI] Subscriber Management TLVs section of the Common Radio Frequency Interface Encodings Annex.

P.2.1.2.3 MaxCpeIpv6Prefix

This attribute represents the number of simultaneous IPv6 prefixes permitted for CPEs connected to the CM.

When the MaxCpeIpv6Prefix attribute is set to zero (0), all IPv6 CPE traffic from the CM is dropped. The CMTS configures this attribute with whichever of the 'Subscriber Management CPE IPv6 List' or 'Subscriber Management Control Max Cpe IPv6 Prefix' signaled encodings is greater, or in the absence of all of those provisioning parameters, with the CpeMaxIpv6PrefixDef from the Base object. This limit applies to learned and DOCSIS-provisioned entries but not to entries added through some administrative process (e.g., statically) at the CMTS. Note that this attribute is only meaningful when the Active attribute of the CM is set to 'true'.

References: [MULPI] Subscriber Management TLVs section of the Common Radio Frequency Interface Encodings Annex.[160]

P.2.1.2.4 Active

This attribute controls the application of subscriber management to this CM. If this is set to 'true', CMTS-based CPE control is active, and all the actions required by the various filter policies and controls apply at the CMTS. If this is set to false, no subscriber management filtering is done at the CMTS (but other filters may apply). If not set through DOCSIS provisioning, this object defaults to the value of the Active attribute of the Base object.

References: [MULPI] Subscriber Management TLVs section of the Common Radio Frequency Interface Encodings Annex.

P.2.1.2.5 Learnable

This attribute controls whether the CMTS may learn (and pass traffic for) CPE IP addresses associated with a CM. If this is set to 'true', the CMTS may learn up to the CM MaxCpeIp value less any DOCSIS-provisioned entries related to this CM. The nature of the learning mechanism is not specified here. If not set through DOCSIS provisioning, this object defaults to the value of the CpeLearnableDef attribute from the Base object. Note that this attribute is only

[159] Para revised per OSSI3.0-N-07.0444-3, 5/10/07 per PO.
[160] Section added per per OSSI3.0-N-07.0444-3, 5/10/07 per PO.

meaningful if docsSubMgtCpeCtrlActive is 'true' to enforce a limit in the number of CPEs learned. CPE learning is always performed for the CMTS for security reasons.

References: [MULPI] Subscriber Management TLVs section of the Common Radio Frequency Interface Encodings Annex.

P.2.1.2.6 Reset

If set to 'true', this attribute commands the CMTS to delete the instances denoted as 'learned' addresses in the CpeIp object. This attribute always returns false on read.

P.2.1.2.7 LastReset

This attribute represents the system Up Time of the last set to 'true' of the Reset attribute of this instance. Zero if never reset.

P.2.1.3 CpeIp Object

This object defines the list of IP Addresses behind the CM known by the CMTS. If the Active attribute of the CpeCtrl object associated with a CM is set to 'true' and the CMTS receives an IP packet from a CM that contains a source IP address that does not match one of the CPE IP addresses associated with this CM, one of two things occurs. If the number of CPE IPs is less than the MaxCpeIp of the CpeCtrl object for that CM, the source IP address is added to this object and the packet is forwarded; otherwise, the packet is dropped.

Table P-3 - CpeIp Object[161]

Attribute Name	Type	Access	Type Constraints	Units	Default
CmtsCmRegStatusId	unsignedShort	key	1..4294967295	N/A	N/A
Id	unsignedInt	key	1..1023	N/A	N/A
AddrType	InetAddressType	read-only		N/A	N/A
Addr	InetAddress	read-only		N/A	N/A
AddrPrefixLen	InetAddressPrefixLength	read-only		N/A	N/A
Learned	boolean	read-only		N/A	N/A
Type	Enum	read-only	cpe(1) ps(2) mta(3) stb(4) tea(5) erouter(6)	N/A	N/A

P.2.1.3.1 CmtsCmRegStatusId

This key is the CMTS generated unique identifier of a CM for status reporting purposes.

P.2.1.3.2 Id

This attribute represents a unique identifier for a CPE IP of the CM. An instance of this attribute exists for each CPE provisioned in the 'Subscriber Management CPE IPv4 Table' or 'Subscriber Management CPE IPv6 Table' encodings. An entry is created either through the included CPE IP addresses in the provisioning object, or CPEs learned from traffic sourced from the CM.

References: [MULPI] Common Radio Frequency Interface Encodings Annex.

[161] Table revised per OSSI3.0-N-07.0444-3, 5/10/07 per PO.

P.2.1.3.3 AddrType

The type of Internet address of the Addr attribute.

P.2.1.3.4 Addr

This attribute represents the IP address either set from provisioning or learned via address gleaning or other forwarding means.

P.2.1.3.5 AddrPrefixLen

This attribute represents the prefix length associated with the IP subnet prefix either set from provisioning or learned via address gleaning or other forwarding means. For IPv4 CPE addresses this attribute generally reports the value 32 (32 bits) to indicate a unicast IPv4 address. For IPv6 this attribute represents either a discrete IPv6 unicast address (a value of 128 bits, equal to /128 prefix length) or a subnet prefix length (such as 56 bits, equal to /56 prefix length).[162]

P.2.1.3.6 Learned

This attribute is set to 'true' when the IP address was learned from IP packets sent upstream rather than via the CM provisioning process.

P.2.1.3.7 Type

This attribute represents the type of CPE based on the following classifications: 'cpe' Regular CPE clients, 'ps' CableHome Portal Server (PS), 'mta' PacketCable Multimedia Terminal Adapter (MTA), 'stb' Digital Set-top Box (STB), 'tea' T1 Emulation adapter (TEA), 'erouter' Embedded Router (eRouter).

P.2.1.4 Grp Object[163]

This object defines the set of downstream and upstream filter groups that the CMTS applies to traffic associated with that CM.

References: [MULPI] Subscriber Management TLVs section in the Common Radio Frequency Interface Encodings Annex.

Table P-4 - Grp Object

Attribute Name	Type	Access	Type Constraints	Units	Default
CmtsCmRegStatusId	unsignedShort	key	1..4294967295	N/A	N/A
UdcGroupIds	TagList	read-only		N/A	"H
UdcSentInRegRsp	boolean	read-only		N/A	'false'
SubFilterDs	unsignedShort	read-write	0..1024	N/A	N/A
SubFilterUs	unsignedShort	read-write	0..1024	N/A	N/A
CmFilterDs	unsignedShort	read-write	0..1024	N/A	N/A
CmFilterUs	unsignedShort	read-write	0..1024	N/A	N/A
PsFilterDs	unsignedShort	read-write	0..1024	N/A	N/A
PsFilterUs	unsignedShort	read-write	0..1024	N/A	N/A
MtaFilterDs	unsignedShort	read-write	0..1024	N/A	N/A
MtaFilterUs	unsignedShort	read-write	0..1024	N/A	N/A
StbFilterDs	unsignedShort	read-write	0..1024	N/A	N/A
StbFilterUs	unsignedShort	read-write	0..1024	N/A	N/A

[162] Section added per per OSSI3.0-N-07.0444-3, 5/10/07 per PO.
[163] Section revised per OSSIv3.0-N-07.0441-4, #20 on 5/9/07 by KN.

P.2.1.4.1 CmtsCmRegStatusId

This key is the CMTS generated unique identifier of a CM for status report purposes.

P.2.1.4.2 UdcGroupIds

This attribute represents the filter group(s) associated with the CM signaled 'Upstream Drop Classifier Group ID' encodings during the registration process. UDC Group IDs are integer values and this attribute reports them as decimal numbers that are space-separated. The zero-length string indicates that the CM didn't signal UDC Group IDs.

This attribute provides two functions:

- Communicate the CM the configured UDC Group ID(s), irrespective of the CM being provisioned to filter upstream traffic based on IP Filters or UDCs.
- Optionally, and with regards to the CMTS, if the value of the attribute UdcSentInReqRsp is 'true', indicates that the filtering rules associated with the Subscriber Management Group ID(s) will be sent during registration to the CM. It is vendor specific whether the CMTS updates individual CM UDCs after registration when rules are changed in the Grp object.

P.2.1.4.3 UdcSentInRegRsp

This attribute represents the CMTS upstream filtering status for this CM. The value 'true' indicates that the CMTS has sent UDCs to the CM during registration process. In order for a CMTS to send UDCs to a CM, the CMTS MAC Domain needs to be enabled via the MAC Domain attribute SendUdcRulesEnabled and the CM had indicated the UDC capability support during the registration process. The value 'false' indicates that the CMTS was not enabled to send UDCs to the CMs in the MAC Domain, or the CM did not advertise UDC support in its capabilities encodings, or both. Since the CMTS capability to send UDCs to CMs during the registration process is optional, the CMTS is not required to instantiate this attribute.

P.2.1.4.4 SubFilterDs

This attribute represents the filter group applied to traffic destined for subscriber's CPE attached to the referenced CM (attached to CM CPE interfaces). This value corresponds to the 'Subscriber Downstream Group' value of the 'Subscriber Management Filter Groups' encoding signaled during the CM registration or in its absence, to the SubFilterDownDef attribute of the Base object. The value zero or a filter group ID not configured in the CMTS means no filtering is applied to traffic destined to hosts attached to this CM.

P.2.1.4.5 SubFilterUs

This attribute represents the filter group applied to traffic originating from subscriber's CPE attached to the referenced CM (attached to CM CPE interfaces). This value corresponds to the 'Subscriber Upstream Group' value of the 'Subscriber Management Filter Groups' encoding signaled during the CM registration or in its absence, to the SubFilterUpDef attribute of the Base object. The value zero or a filter group ID not configured in the CMTS means no filtering is applied to traffic originating from hosts attached to this CM.

P.2.1.4.6 CmFilterDs

This attribute represents the filter group applied to traffic destined for the CM itself. This value corresponds to the 'CM Downstream Group' value of the 'Subscriber Management Filter Groups' encoding signaled during the CM registration or in its absence, to the CmFilterDownDef attribute of the Base object. The value zero or a filter group ID not configured in the CMTS means no filtering is applied to traffic destined to this CM.

P.2.1.4.7 CmFilterUs

This attribute represents the filter group applied to traffic originating from the CM itself. This value corresponds to the 'Subscriber Upstream Group' value of the 'Subscriber Management Filter Groups' encoding signaled during the CM registration or in its absence, to the SubFilterUpDef attribute of the Base object. The value zero or a filter group ID not configured in the CMTS means no filtering is applied to traffic originating from this CM.

P.2.1.4.8 PsFilterDs

This attribute represents the filter group applied to traffic destined to the Embedded CableHome Portal Services Element or the Embedded Router on the referenced CM. This value corresponds to the 'PS Downstream Group' value of the 'Subscriber Management Filter Groups' encoding signaled during the CM registration or in its absence, to the SubFilterDownDef attribute of the Base object. The value zero or a filter group ID not configured in the CMTS means no filtering is applied to traffic destined to the Embedded CableHome Portal Services Element or Embedded Router on this CM.

P.2.1.4.9 PsFilterUs

This attribute represents the filter group applied to traffic originating from the Embedded CableHome Portal Services Element or Embedded Router on the referenced CM. This value corresponds to the 'PS Upstream Group' value of the 'Subscriber Management Filter Groups' encoding signaled during the CM registration or in its absence, to the SubFilterUpDef attribute of the Base object. The value zero or a filter group ID not configured in the CMTS means no filtering is applied to traffic originating from the Embedded CableHome Portal Services Element or Embedded Router on this CM.

P.2.1.4.10 MtaFilterDs

This attribute represents the filter group applied to traffic destined to the Embedded Multimedia Terminal Adapter on the referenced CM. This value corresponds to the 'MTA Downstream Group' value of the 'Subscriber Management Filter Groups' encoding signaled during the CM registration or in its absence, to the SubFilterDownDef attribute of the Base object. The value zero or a filter group ID not configured in the CMTS means no filtering is applied to traffic destined to the Embedded Multimedia Terminal Adapter on this CM.

P.2.1.4.11 MtaFilterUs

This attribute represents the filter group applied to traffic originating from the Embedded Multimedia Terminal Adapter on the referenced CM. This value corresponds to the 'MTA Upstream Group' value of the 'Subscriber Management Filter Groups' encoding signaled during the CM registration or in its absence, to the SubFilterUpDef attribute of the Base object. The value zero or a filter group ID not configured in the CMTS means no filtering is applied to traffic originating from the Embedded Multimedia Terminal Adapter on this CM.

P.2.1.4.12 StbFilterDs

This attribute represents the filter group applied to traffic destined for the Embedded Set-Top Box on the referenced CM. This value corresponds to the 'STB Downstream Group' value of the 'Subscriber Management Filter Groups' encoding signaled during the CM registration or in its absence, to the SubFilterDownDef attribute of the Base object. The value zero or a filter group ID not configured in the CMTS means no filtering is applied to traffic destined to the Embedded Set-Top Box on this CM.

P.2.1.4.13 StbFilterUs

This attribute represents the filter group applied to traffic originating from the Embedded Set-Top Box on the referenced CM. This value corresponds to the 'STB Upstream Group' value of the 'Subscriber Management Filter Groups' encoding signaled during the CM registration or in its absence, to the SubFilterUpDef attribute of the Base

object. The value zero or a filter group ID not configured in the CMTS means no filtering is applied to traffic originating from the Embedded Set-Top Box on this CM.

P.2.1.5 FilterGrp Object[164]

This object describes a set of filter or classifier criteria. Classifiers are assigned by group to the individual CMs. That assignment is made via the 'Subscriber Management TLVs' encodings sent upstream from the CM to the CMTS during registration, or in their absence, default values configured in the CMTS.

A Filter Group ID (GrpId) is a set of rules that correspond to the expansion of a UDC Group ID into individual UDC rules. The UDC Group IDs are linked to Ids of the FilterGrp object so the CMTS can signal those filter rules as UDCs to the CM during the registration process. Implementation of L2 classification criteria is optional for the CMTS; LLC/MAC upstream and downstream filter criteria can be ignored during the packet matching process.

Table P-5 - FilterGrp Object

Attribute Name	Type	Access	Type Constraints	Units	Default
GrpId	unsignedShort	key	1..65535	N/A	N/A
RuleId	unsignedShort	key	1..65535	N/A	N/A
Action	Enum	read-create	permit(1) deny(2)	N/A	permit
Priority	unsignedShort	read-create		N/A	0
IpTosLow	hexBinary	read-create	SIZE (1)	N/A	'00'H
IpTosHigh	hexBinary	read-create	SIZE (1)	N/A	'00'H
IpTosMask	hexBinary	read-create	SIZE (1)	N/A	'00'H
IpProtocol	unsignedShort	read-create	0..257	N/A	256
InetAddrType	InetAddressType	read-create		N/A	unknown
InetSrcAddr	InetAddress	read-create		N/A	"H
InetSrcMask	InetAddress	read-create		N/A	"H
InetDestAddr	InetAddress	read-create		N/A	"H
InetDestMask	InetAddress	read-create		N/A	"H
SrcPortStart	InetPortNumber	read-create		N/A	0
SrcPortEnd	InetPortNumber	read-create		N/A	65535
DestPortStart	InetPortNumber	read-create		N/A	0
DestPortEnd	InetPortNumber	read-create		N/A	65535
DestMacAddr	MacAddress	read-create		N/A	'000000000000'H
DestMacMask	MacAddress	read-create		N/A	'000000000000'H
SrcMacAddr	MacAddress	read-create		N/A	'FFFFFFFFFFFF'H
EnetProtocolType	Enum	read-create	none(0) ethertype(1) dsap(2) mac(3) all(4)	N/A	none
EnetProtocol	unsignedShort	read-create		N/A	0
UserPriLow	unsignedShort	read-create	0..7	N/A	0
UserPriHigh	unsignedShort	read-create	0..7	N/A	7
VlanId	unsignedShort	read-create	0 | 1..4094	N/A	0
ClassPkts	Counter64	read-only		N/A	N/A
FlowLabel	unsignedInt	read-create	0..1048575	N/A	0
CmInterfaceMask	DocsL2vpnIfList	read-create		N/A	"H

P.2.1.5.1 GrpId

This key is an identifier for a set of classifiers known as a filter group. Each CM may be associated with several filter groups for its upstream and downstream traffic, one group per target end point on the CM as defined in the Grp object. Typically, many CMs share a common set of filter groups.

[164] Section revised per OSSIv3.0-N-07.0441-4, #2 & 4 on 5/9/07 by KN.

P.2.1.5.2 RuleId

This key represents an ordered classifier identifier within the group. Filters are applied in order if the Priority attribute is not supported.

P.2.1.5.3 Action

This attribute represents the action to take upon this filter matching. 'permit' means to stop the classification matching and accept the packet for further processing. 'deny' means to drop the packet.

P.2.1.5.4 Priority

This attribute defines the order in which the classifiers are compared against packets. The higher the value, the higher the priority.

P.2.1.5.5 IpTosLow

This attribute represents the low value of a range of ToS (Type of Service) octet values. The IP ToS octet, as originally defined in [RFC 791], has been superseded by the 6-bit Differentiated Services Field (DSField, [RFC 3260]) and the 2-bit Explicit Congestion Notification Field (ECN field, [RFC 3168]). This attribute is defined as an 8-bit octet as per the DOCSIS Specification for packet classification.

References: [MULPI]; [RFC 791]; [RFC 3168]; [RFC 3260].

P.2.1.5.6 IpTosHigh

This attribute represents the high value of a range of ToS octet values. The IP ToS octet, as originally defined in [RFC 791], has been superseded by the 6-bit Differentiated Services Field (DSField, [RFC 3260]) and the 2-bit Explicit Congestion Notification Field (ECN field, [RFC 3168]). This attribute is defined as an 8-bit octet as per the DOCSIS Specification for packet classification.

References: [MULPI]; [RFC 791]; [RFC 3168]; [RFC 3260].

P.2.1.5.7 IpTosMask

This attribute represents the mask value that is bitwise ANDed with ToS octet in an IP packet, and the resulting value is used for range checking of IpTosLow and IpTosHigh.

P.2.1.5.8 IpProtocol

This attribute represents the value of the IP Protocol field required for IP packets to match this rule. The value 256 matches traffic with any IP Protocol value. The value 257 by convention matches both TCP and UDP.

P.2.1.5.9 InetAddrType

The type of the Internet address for InetSrcAddr, InetSrcMask, InetDestAddr, and InetDestMask.

P.2.1.5.10 InetSrcAddr

This attribute specifies the value of the IP Source Address required for packets to match this rule. An IP packet matches the rule when the packet's IP Source Address bitwise ANDed with the InetSrcMask value equals the InetSrcAddr value. The address type of this object is specified by the InetAddrType attribute.

P.2.1.5.11 InetSrcMask

This attribute represents which bits of a packet's IP Source Address are compared to match this rule. An IP packet matches the rule when the packet's IP Source Address bitwise ANDed with the InetSrcMask value equals the InetSrcAddr value. The address type of this object is specified by InetAddrType.

P.2.1.5.12 InetDestAddr

This attribute specifies the value of the IP Destination Address required for packets to match this rule. An IP packet matches the rule when the packet's IP Destination Address bitwise ANDed with the InetSrcMask value equals the InetDestAddr value. The address type of this object is specified by the InetAddrType attribute.

P.2.1.5.13 InetDestMask

This attribute represents which bits of a packet's IP Destination Address are compared to match this rule. An IP packet matches the rule when the packet's IP Destination Address bitwise ANDed with the InetDestMask value equals the InetDestAddr value. The address type of this object is specified by InetAddrType.

P.2.1.5.14 SrcPortStart

This attribute represents the low-end inclusive range of TCP/UDP source port numbers to which a packet is compared. This attribute is irrelevant for non-TCP/UDP IP packets.

P.2.1.5.15 SrcPortEnd

This attribute represents the high-end inclusive range of TCP/UDP source port numbers to which a packet is compared. This attribute is irrelevant for non-TCP/UDP IP packets.

P.2.1.5.16 DestPortStart

This attribute represents the low-end inclusive range of TCP/UDP destination port numbers to which a packet is compared. This attribute is irrelevant for non-TCP/UDP IP packets.

P.2.1.5.17 DestPortEnd

This attribute represents the high-end inclusive range of TCP/UDP destination port numbers to which a packet is compared. This attribute is irrelevant for non-TCP/UDP IP packets.

P.2.1.5.18 DestMacAddr

This attribute represents the criteria to match against an Ethernet packet MAC address bitwise ANDed with DestMacMask.

P.2.1.5.19 DestMacMask

An Ethernet packet matches an entry when its destination MAC address bitwise ANDed with the DestMacMask attribute equals the value of the DestMacAddr attribute.

P.2.1.5.20 SrcMacAddr

This attribute represents the value to match against an Ethernet packet source MAC address.

P.2.1.5.21 EnetProtocolType[165]

This attribute indicates the format of the layer 3 protocol ID in the Ethernet packet. A value of 'none' means that the rule does not use the layer 3 protocol type as a matching criteria. A value of 'ethertype' means that the rule applies only to frames that contain an EtherType value. Ethertype values are contained in packets using the DEC-Intel-Xerox (DIX) encapsulation or the [RFC 1042] Sub-Network Access Protocol (SNAP) encapsulation formats. A value of 'dsap' means that the rule applies only to frames using the IEEE802.3 encapsulation format with a Destination Service Access Point (DSAP) other than 0xAA (which is reserved for SNAP). A value of 'mac' means that the rule applies only to MAC management messages for MAC management messages. A value of 'all' means that the rule matches all Ethernet packets. If the Ethernet frame contains an 802.1P/Q Tag header (i.e., EtherType 0x8100), this attribute applies to the embedded EtherType field within the 802.1p/Q header.

The value 'mac' is only used for passing UDCs to CMs during Registration. The CMTS ignores filter rules that include the value of this attribute set to 'mac' for CMTS enforced upstream and downstream subscriber management filter group rules.

References: [RFC 1042] Sub-Network Access Protocol (SNAP) encapsulation formats.

P.2.1.5.22 EnetProtocol

This attribute represents the Ethernet protocol type to be matched against the packets. For EnetProtocolType set to 'none', this attribute is ignored when considering whether a packet matches the current rule. If the attribute EnetProtocolType is 'ethertype', this attribute gives the 16-bit value of the EtherType that the packet must match in order to match the rule. If the attribute EnetProtocolType is 'dsap', the lower 8 bits of this attribute's value must match the DSAP byte of the packet in order to match the rule. If the Ethernet frame contains an 802.1p/Q Tag header (i.e., EtherType 0x8100), this attribute applies to the embedded EtherType field within the 802.1p/Q header.

P.2.1.5.23 UserPriLow

This attribute applies only to Ethernet frames using the 802.1p/Q tag header (indicated with EtherType 0x8100). Such frames include a 16-bit Tag that contains a 3-bit Priority field and a 12-bit VLAN number. Tagged Ethernet packets must have a 3-bit Priority field within the range of PriLow to PriHigh in order to match this rule.

P.2.1.5.24 UserPriHigh

This attribute applies only to Ethernet frames using the 802.1p/Q tag header (indicated with EtherType 0x8100). Such frames include a 16-bit Tag that contains a 3-bit Priority field and a 12-bit VLAN number. Tagged Ethernet packets must have a 3-bit Priority field within the range of PriLow to PriHigh in order to match this rule.

P.2.1.5.25 VlanId

This attribute applies only to Ethernet frames using the 802.1p/Q tag header. Tagged packets must have a VLAN Identifier that matches the value in order to match the rule.

P.2.1.5.26 ClassPkts

This attribute counts the number of packets that have been classified (matched) using this rule entry. This includes all packets delivered to a Service Flow maximum rate policing function, whether or not that function drops the packets. Discontinuities in the value of this counter can occur at re-initialization of the managed system, and at other times as indicated by the value of ifCounterDiscontinuityTime for the CM MAC Domain interface.

[165] Section revised per OSSIv3.0-N-07.0441-4, #9 on 5/9/07 by KN.

P.2.1.5.27 FlowLabel

This attribute represents the Flow Label field in the IPv6 header to be matched by the classifier.

The value zero indicates that the Flow Label is not specified as part of the classifier and is not matched against packets.

P.2.1.5.28 CmInterfaceMask

This attribute represents a bit-mask of the CM in-bound interfaces to which this classifier applies.

This attribute only applies to upstream Drop Classifiers being sent to CMs during the registration process.

Annex Q DOCSIS 3.0 SNMP MIB Modules (Normative)

This Annex includes the SNMP MIB modules which define the DOCSIS 3.0 management objects.

Q.1 DOCS-DIAG-MIB[166]

```
DOCS-DIAG-MIB DEFINITIONS ::= BEGIN
IMPORTS
        NOTIFICATION-TYPE,
        MODULE-IDENTITY,
        OBJECT-TYPE,
        Unsigned32,
        Gauge32,
        Counter32
                FROM SNMPv2-SMI
        TruthValue,
        MacAddress,
        DateAndTime,
        TEXTUAL-CONVENTION
                FROM SNMPv2-TC
        OBJECT-GROUP,
        MODULE-COMPLIANCE,
        NOTIFICATION-GROUP
                FROM SNMPv2-CONF
        SnmpAdminString
                FROM SNMP-FRAMEWORK-MIB
        CmtsCmRegState,
        docsIf3CmtsCmRegStatusId
                FROM DOCS-IF3-MIB
        docsDevEvLevel,
        docsDevEvId
                FROM DOCS-CABLE-DEVICE-MIB
        clabProjDocsis
                FROM CLAB-DEF-MIB;


docsDiagMib MODULE-IDENTITY
        LAST-UPDATED    "200705180000Z" -- May 18, 2007
        ORGANIZATION    "Cable Television Laboratories, Inc."
        CONTACT-INFO
            "Postal: Cable Television Laboratories
              858 Coal Creek Circle
              Louisville, CO 80027-9750
              U.S.A.
              Phone: +1 303-661-9100
              Fax:   +1 303-661-9199
              E-mail:  mibs@cablelabs.com"
        DESCRIPTION
            "This is the MIB Module for the Diagnostic Log for
            DOCSIS-compliant Cable Modem Termination Systems (CMTS).

            The purpose of the Diagnostic Log is to prevent service
            interruptions by early detection of potential problems.
            It can also be used for fault management and for
            troubleshooting the cable network.

            The Diagnostic Log contains Cable Modems that show certain
            potential problematic behaviors, as determined by the CMTS.
            These detection criteria are defined as diagnostic
            triggers.

            The diagnostic trigger is an information filter to avoid
            inundating a management agent with data which is less
```

[166] Section revised per OSSIv3.0-N-07.0412-2, #2 on 5/8/07 and per OSSIv3.0-N-07.0410-4, #22 on 5/8/07 by KN.

```
            meaningful as a function of size.

            There are 2 diagnostic triggers defined. Each trigger
            maintains a counter for each Cable Modem that is caught
            by that trigger.

            (1) Registration Trigger

            (2) Ranging Retry Trigger

            Copyright 1999-2007 Cable Television Laboratories, Inc.
            All rights reserved."
        REVISION "200705180000Z" -- May 18, 2007
        DESCRIPTION
            "Revised Version includes ECN OSSIv3.0-N-07.0410-4
             and published as I03."
        REVISION "200612070000Z"  -- Dec 7, 2006
        DESCRIPTION
            "Initial version, published as part of the CableLabs
            OSSIv3.0 specification CM-SP-OSSIv3.0-I01-061207."
        ::= { clabProjDocsis 9 }

--
-- Textual Conventions
--
TriggerFlag  ::=  TEXTUAL-CONVENTION
        STATUS    current
        DESCRIPTION
            "This type defines the Diagnostic Log Trigger Type.
            Bit 0 selects the registration trigger.
            Bit 1 selects the ranging retry trigger."
        SYNTAX    BITS {
            registration(0),
            rangingRetry(1)
        }

RegistrationDetailFlag ::=  TEXTUAL-CONVENTION
        STATUS    current
        DESCRIPTION
             "This data type defines an enumerated union of CM states
             used for the registration trigger detection. The named
             bits associated with this type correspond to a subset
             of the names for the enumerations in CmtsCmRegState
             data type."
        SYNTAX BITS {
            other(0),
            initialRanging(1),
            rangingAutoAdjComplete(2),
            startEae(3),
            startDhcpv4(4),
            startDhcpv6(5),
            dhcpv4Complete(6),
            dhcpv6Complete(7),
            startConfigFileDownload(8),
            configFileDownloadComplete(9),
            startRegistration(10),
            registrationComplete(11),
            bpiInit(12),
            operational(13)
        }

--
-- Diagnostic Sub-tree Definitions
--
docsDiagLogNotifications   OBJECT IDENTIFIER ::= { docsDiagMib 0 }
docsDiagLogMibObjects      OBJECT IDENTIFIER ::= { docsDiagMib 1 }
docsDiagLogGlobal          OBJECT IDENTIFIER
```

```
                                    ::= { docsDiagLogMibObjects 1 }
docsDiagLogTriggersCfg     OBJECT IDENTIFIER
                                    ::= { docsDiagLogMibObjects 2 }

--
-- Diagnostic Log global objects
--
docsDiagLogMaxSize OBJECT-TYPE
        SYNTAX      Unsigned32 (1..4294967295)
        UNITS       "entries"
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "The maximum number of Cable Modem entries that
            docsDiagLogTable can report."
        DEFVAL { 100 }
        ::= { docsDiagLogGlobal 1 }

docsDiagLogCurrentSize OBJECT-TYPE
        SYNTAX      Gauge32 (0..4294967295)
        UNITS       "entries"
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "The total number of Cable Modem entries reported in the
            docsDiagLogTable.
            The maximum value possible will be docsDiagLogMaxSize."
        ::= { docsDiagLogGlobal 2 }

docsDiagLogNotifyLogSizeHighThrshld OBJECT-TYPE
        SYNTAX      Unsigned32 (1..4294967295)
        UNITS       "entries"
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "If the number of entries in docsDiagLogTable exceeds
            this value, CMTS will trigger the appropriate event."
        DEFVAL { 80 }
        ::= { docsDiagLogGlobal 3 }

docsDiagLogNotifyLogSizeLowThrshld OBJECT-TYPE
        SYNTAX      Unsigned32 (1..4294967295)
        UNITS       "entries"
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "If the number of entries in docsDiagLogTable exceeded
            docsDiagLogNotifyLogSizeHighThrshld earlier and then drops
            to this value, CMTS will trigger the appropriate event."
        DEFVAL { 60 }
        ::= { docsDiagLogGlobal 4 }

docsDiagLogAging OBJECT-TYPE
        SYNTAX      Unsigned32 (15..86400)
        UNITS       "minutes"
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "Aging threshold for the Diagnostic Log.  Periodically, a
            process scans through the Diagnostic log and removes those
            Cable Modems from docsDiagLogTable and
            docsDiagLogDetailTable that have no new detections for a
            time interval longer than this threshold in minutes."
        DEFVAL { 10080 } -- one week
        ::= { docsDiagLogGlobal 5 }

docsDiagLogResetAll OBJECT-TYPE
```

```
        SYNTAX      TruthValue
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "If set to 'true', all counter objects for
            all entries in docsDiagLogTable and docsDiagLogDetailTable
            are reset to zero. Reading this object always returns
            'false'."
        ::= { docsDiagLogGlobal 6 }

docsDiagLogLastResetTime OBJECT-TYPE
        SYNTAX      DateAndTime
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "The last time that all the counters in the
            docsDiagLogTable and docsDiagLogDetailTable are
            reset to 0. The special value of all '00'Hs indicates
            that the Entries in these tables have never been reset."
        ::= { docsDiagLogGlobal  7 }

docsDiagLogClearAll OBJECT-TYPE
        SYNTAX      TruthValue
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "If set to 'true', all entries from the
            docsDiagLogTable and docsDiagLogDetailTable are removed.
            Reading this object always returns 'false'."
        ::= { docsDiagLogGlobal 8 }

docsDiagLogLastClearTime OBJECT-TYPE
        SYNTAX      DateAndTime
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "The last time that all the entries in the docsDiagLogTable
            and docsDiagLogDetailTable are destroyed. The special
            value of all '00'Hs indicates that the
            entries in these tables have never been destroyed."
        ::= { docsDiagLogGlobal  9 }

docsDiagLogNotifCtrl OBJECT-TYPE
        SYNTAX BITS {
            highThresholdReached(0),
            lowThresholdReached(1),
            full(2)
            }
        MAX-ACCESS read-write
        STATUS current
        DESCRIPTION
            "Used to enable diagnostic log related notifications.

            Setting bit 0 enables notification for reaching log size
            high threshold,

            Setting bit 1 enables notification for returning back to
            log size low threshold after reaching log size high
            threshold,

            Setting bit 2 enables notification for diagnostic log size
            full."
        DEFVAL { ''H }
        ::= { docsDiagLogGlobal 10 }

--
-- Configuration parameters for Diagnostic Triggers
```

```
--
docsDiagLogIncludeTriggers OBJECT-TYPE
        SYNTAX      TriggerFlag
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "Turns individual diagnostic triggers on and off.
            Multiple triggers can be turned on or off at a given time.
            Setting a particular bit to '1' turns on corresponding
            diagnostic trigger. Setting a particular bit to '0' turns
            off corresponding diagnostic trigger."
        DEFVAL { 'C0'H }
        ::= { docsDiagLogTriggersCfg 1 }

docsDiagLogEnableAgingTriggers OBJECT-TYPE
        SYNTAX      TriggerFlag
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "Enables and disables the aging of triggers
            based on the trigger type. Aging out can be enabled or
            disabled for multiple triggers at a given time. Setting
            a particular bit to '1' enables the aging out of log
            entries that are created by corresponding diagnostic
            trigger. Setting a particular bit to '0' disables the
            aging out of log entries that are created by corresponding
            diagnostic trigger."
        DEFVAL { ''H }
        ::= { docsDiagLogTriggersCfg 2 }

docsDiagLogRegTimeInterval OBJECT-TYPE
        SYNTAX      Unsigned32 (60..86400)
        UNITS       "seconds"
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "Configurable parameter for the Registration trigger.
            The registration time interval is an empirically derived,
            worst-case number of seconds which the Cable Modem requires
            to complete registration. If the Cable Modem has not
            completed the registration stage within this registration
            time interval, the Cable Modem will be added to the
            Diagnostic Log."
        DEFVAL { 90 }
        ::= { docsDiagLogTriggersCfg 3 }

docsDiagLogRegDetail OBJECT-TYPE
        SYNTAX RegistrationDetailFlag
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "Setting a bit representing a CM registration state will
            enable counting the number of times the CMTS determines
            that such CM reaches that state as the last state before
            failing to proceed further in the registration process and
            within the time interval considered for the CM
            registration trigger detection."
        DEFVAL { ''H }
        ::= { docsDiagLogTriggersCfg 4 }

docsDiagLogRangingRetryType OBJECT-TYPE
        SYNTAX      INTEGER {
            consecutiveMiss(1),
            missRatio(2)
        }
        MAX-ACCESS  read-write
        STATUS      current
```

```
        DESCRIPTION
            "Configuration parameter for the Ranging Retry Trigger.
            When a Cable Modem failed to perform ranging when a ranging
            opportunity is scheduled by CMTS, it is counted as ranging
            miss.
            Ranging retry trigger can be configured to either look at
            consecutive ranging misses or ranging miss ratio over
            total number of station maintenance opportunities for a
            certain time period.

            If set to '1', this will select consecutive ranging
            misses as ranging retry trigger criteria.

            If set to '2', this will select ranging miss ratio
            as ranging retry trigger criteria."
        DEFVAL { 1 }
        ::= { docsDiagLogTriggersCfg 5 }

docsDiagLogRangingRetryThrhld OBJECT-TYPE
        SYNTAX      Unsigned32 (3..12)
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "Configurable parameter for the Ranging Retry Trigger.
            When the number of times a Cable Modem does not acknowledge
            a MAC-layer station maintenance message from a CMTS exceeds
            this threshold, the Cable Modem will be add to the
            Diagnostic Log.
            The value of docsDiagLogRangingRetryType, decides if
            consecutive ranging miss or ranging miss ratio is used as
            trigger."
        DEFVAL { 6 }
        ::= { docsDiagLogTriggersCfg 6 }

docsDiagLogRangingRetryStationMaintNum OBJECT-TYPE
        SYNTAX      Unsigned32 (60..65535)
        MAX-ACCESS  read-write
        STATUS      current
        DESCRIPTION
            "Number of station maintenance opportunities to monitor for
            ranging retry trigger. This value implies time intervals
            in a certain range. DOCSIS requires the CMTS to schedule
            ranging opportunity to Cable Modem sufficiently smaller
            than T4.
            There is no fixed formula to derive at a fixed time
            interval, how many ranging opportunities would be offered
            to a Cable Modem by the CMTS, hence using the number of
            station maintenance opportunities provides ratio with the
            fixed denominators while also taking time factor into
            consideration."
        DEFVAL { 90 }
        ::= { docsDiagLogTriggersCfg 7 }

--
-- Diagnostic Log Table
--
docsDiagLogTable OBJECT-TYPE
        SYNTAX      SEQUENCE OF DocsDiagLogEntry
        MAX-ACCESS  not-accessible
        STATUS      current
        DESCRIPTION
            "This table keeps the Diagnostic Log of the Cable Modems,
            it is indexed by docsIf3CmtsCmRegStatusId."
        REFERENCE
            "DOCSIS 3.0 Operation and Support System Interface
            Specification CM-SP-OSSIv3.0-I01-061207, Diagnostic Log
            Annex."
```

```
        ::= { docsDiagLogMibObjects 3 }

docsDiagLogEntry OBJECT-TYPE
        SYNTAX      DocsDiagLogEntry
        MAX-ACCESS  not-accessible
        STATUS      current
        DESCRIPTION
            "A conceptual row entry in this table is a per Cable Modem
            summary of the diagnostic information detected by one or
            more triggers.
            There can be only one entry in this for a given Cable
            Modem."
        INDEX {
                docsIf3CmtsCmRegStatusId
              }
        ::= { docsDiagLogTable 1 }

DocsDiagLogEntry ::= SEQUENCE {
            docsDiagLogCmMacAddr                MacAddress,
            docsDiagLogLastUpdateTime           DateAndTime,
            docsDiagLogCreateTime               DateAndTime,
            docsDiagLogLastRegTime              DateAndTime,
            docsDiagLogRegCount                 Counter32,
            docsDiagLogRangingRetryCount        Counter32
        }

docsDiagLogCmMacAddr OBJECT-TYPE
        SYNTAX      MacAddress
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "MAC address of the Cable Modem."
        ::= { docsDiagLogEntry 1 }

docsDiagLogLastUpdateTime OBJECT-TYPE
        SYNTAX      DateAndTime
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "The time and date value when this entry was last updated.
            When a Cable Modem is detected by one of the diagnostic
            trigger, if this table already has an entry for that
            Cable Modem, the entry will be updated."
        ::= { docsDiagLogEntry 2 }

docsDiagLogCreateTime OBJECT-TYPE
        SYNTAX      DateAndTime
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "The time and date value that this entry was added to this
            table. When a Cable Modem is detected by one of the
            diagnostic triggers, if this table has no entry for that
            cable mode, a new entry will be created. If an entry is
            removed and then re-added, there can be a discontinuity in
            the statistical objects associated with this entry.
            This timestamp can be used to detect those discontinuities."
        ::= { docsDiagLogEntry 3 }

docsDiagLogLastRegTime OBJECT-TYPE
        SYNTAX      DateAndTime
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "The last time this Cable Modem registered."
        ::= { docsDiagLogEntry 4 }
```

```
docsDiagLogRegCount OBJECT-TYPE
        SYNTAX      Counter32
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "The number of times the registration trigger condition was
            detected for this Cable Modem."
        ::= { docsDiagLogEntry 5 }

docsDiagLogRangingRetryCount OBJECT-TYPE
        SYNTAX      Counter32
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "The number of times the ranging retry trigger condition was
            detected for this Cable Modem."
        ::= { docsDiagLogEntry 6 }

--
-- Detailed Log Table
--
docsDiagLogDetailTable OBJECT-TYPE
        SYNTAX     SEQUENCE OF DocsDiagLogDetailEntry
        MAX-ACCESS not-accessible
        STATUS     current
        DESCRIPTION
            "This table contains detailed diagnostic information
            for Cable Modems already in docsDiagLogTable."
        REFERENCE
            "DOCSIS 3.0 Operation and Support System Interface
            Specification CM-SP-OSSIv3.0-I01-061207, Diagnostic Log
            Annex."
        ::= { docsDiagLogMibObjects 4 }

docsDiagLogDetailEntry OBJECT-TYPE
        SYNTAX      DocsDiagLogDetailEntry
        MAX-ACCESS  not-accessible
        STATUS      current
        DESCRIPTION
            "A conceptual row in this table contains one single type of
            detailed diagnostic information for a Cable Modem.

            This table shall be instantiated only if at least one
            detail condition is enabled, via docsDiagLogRegDetail.

            There can be multiple entries in this table for a given
            cable modem, if more than one detail condition is enabled."
        INDEX {
                docsIf3CmtsCmRegStatusId,
                docsDiagLogDetailTypeValue
              }
        ::= { docsDiagLogDetailTable 1 }

DocsDiagLogDetailEntry ::= SEQUENCE {
            docsDiagLogDetailTypeValue       CmtsCmRegState,
            docsDiagLogDetailCount           Counter32,
            docsDiagLogDetailLastUpdate      DateAndTime,
            docsDiagLogDetailLastErrorText   SnmpAdminString
        }

docsDiagLogDetailTypeValue OBJECT-TYPE
        SYNTAX      CmtsCmRegState
        MAX-ACCESS  not-accessible
        STATUS      current
        DESCRIPTION
            "The diagnostic detail type this entry represents."
        ::= { docsDiagLogDetailEntry 1 }
```

```
docsDiagLogDetailCount OBJECT-TYPE
        SYNTAX      Counter32
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "The counter value of this Cable Modem that reached
            this diagnostic detail state as the last state before
            it failed to proceed further."
        ::= { docsDiagLogDetailEntry 2 }

docsDiagLogDetailLastUpdate OBJECT-TYPE
        SYNTAX      DateAndTime
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "Time and date value when this entry was last updated."
        ::= { docsDiagLogDetailEntry 3 }

docsDiagLogDetailLastErrorText OBJECT-TYPE
        SYNTAX      SnmpAdminString
        MAX-ACCESS  read-only
        STATUS      current
        DESCRIPTION
            "Contains the Event ID and Event Text of the event condition
            that triggers this entry.  The format is:
            <Event ID> Event Text"
        REFERENCE
            "DOCSIS 3.0 Operation and Support System Interface
            Specification CM-SP-OSSIv3.0-I01-061207, Format and Content
            for Event, Syslog, and SNMP Notification. Annex."
        ::= { docsDiagLogDetailEntry 4 }

--
-- Notifications
--
docsDiagLogSizeHighThrshldReached     NOTIFICATION-TYPE
        OBJECTS {
            docsDevEvLevel,
            docsDevEvId,
            docsDiagLogIncludeTriggers,
            docsDiagLogMaxSize
            }
        STATUS  current
        DESCRIPTION
            "This notification indicates that the current size of
            Diagnostic Log grows beyond
            docsDiagLogNotifyLogSizeHighThrshld."
        ::= { docsDiagLogNotifications 1 }

docsDiagLogSizeLowThrshldReached    NOTIFICATION-TYPE
        OBJECTS {
            docsDevEvLevel,
            docsDevEvId,
            docsDiagLogIncludeTriggers,
            docsDiagLogMaxSize
            }
        STATUS  current
        DESCRIPTION
            "This notification indicates that the current size of
            Diagnostic Log drops to docsDiagLogNotifyLogSizeLowThrshld
            after it exceeded docsDiagLogNotifyLogSizeHighThrshld
            earlier."
        ::= { docsDiagLogNotifications 2 }

docsDiagLogSizeFull    NOTIFICATION-TYPE
        OBJECTS {
```

```
            docsDevEvLevel,
            docsDevEvId,
            docsDiagLogIncludeTriggers,
            docsDiagLogMaxSize
            }
        STATUS  current
        DESCRIPTION
            "This notification indicates that the Diagnostic Log is
            full."
        ::= { docsDiagLogNotifications 3 }

--
-- Conformance definitions
--
docsDiagLogConformance   OBJECT IDENTIFIER ::= { docsDiagMib 2 }
docsDiagLogCompliances   OBJECT IDENTIFIER
                               ::= { docsDiagLogConformance 1 }
docsDiagLogGroups        OBJECT IDENTIFIER
                               ::= { docsDiagLogConformance 2 }

docsDiagLogCompliance MODULE-COMPLIANCE
        STATUS        current
        DESCRIPTION
            "The compliance statement for the diagnostic log MIB for
            DOCSIS compliant Cable Modem Termination Systems"

        MODULE  --  docsDiagMib

        GROUP docsDiagLogBaseGroup
        DESCRIPTION
            "Mandatary in all CMTSes"

        GROUP docsDiagLogNotificationGroup
        DESCRIPTION
            "Mandatary in all CMTSes"

        ::= { docsDiagLogCompliances 1 }

docsDiagLogBaseGroup OBJECT-GROUP
        OBJECTS {
            docsDiagLogMaxSize,
            docsDiagLogCurrentSize,
            docsDiagLogNotifyLogSizeHighThrshld,
            docsDiagLogNotifyLogSizeLowThrshld,
            docsDiagLogAging,
            docsDiagLogResetAll,
            docsDiagLogLastResetTime,
            docsDiagLogClearAll,
            docsDiagLogLastClearTime,
            docsDiagLogNotifCtrl,

            docsDiagLogIncludeTriggers,
            docsDiagLogEnableAgingTriggers,
            docsDiagLogRegTimeInterval,
            docsDiagLogRegDetail,
            docsDiagLogRangingRetryType,
            docsDiagLogRangingRetryThrhld,
            docsDiagLogRangingRetryStationMaintNum,

            docsDiagLogCmMacAddr,
            docsDiagLogLastUpdateTime,
            docsDiagLogCreateTime,
            docsDiagLogLastRegTime,
            docsDiagLogRegCount,
            docsDiagLogRangingRetryCount,

            docsDiagLogDetailCount,
```

```
            docsDiagLogDetailLastUpdate,
            docsDiagLogDetailLastErrorText
            }
        STATUS    current
        DESCRIPTION
            "CMTS is required to support objects in this group"
        ::= { docsDiagLogGroups 1 }

docsDiagLogNotificationGroup NOTIFICATION-GROUP
        NOTIFICATIONS {
            docsDiagLogSizeHighThrshldReached,
            docsDiagLogSizeLowThrshldReached,
            docsDiagLogSizeFull
            }
        STATUS    current
        DESCRIPTION
            "CMTS is required to support objects in this group"
        ::= { docsDiagLogGroups 2 }
END
```

Q.2 DOCS-SUBMGT3-MIB[167]

```
DOCS-SUBMGT3-MIB DEFINITIONS ::= BEGIN
  IMPORTS
    MODULE-IDENTITY,
    OBJECT-TYPE,
    Unsigned32,
    Counter64
             FROM SNMPv2-SMI          -- RFC 2578
    RowStatus,
    TruthValue,
    TimeStamp,
    MacAddress
             FROM SNMPv2-TC           -- RFC 2579
    OBJECT-GROUP,
    MODULE-COMPLIANCE
             FROM SNMPv2-CONF         -- RFC 2580
    InetAddressType,
    InetAddress,
    InetAddressPrefixLength,
    InetPortNumber
             FROM INET-ADDRESS-MIB    -- RFC 4001
    docsIf3CmtsCmRegStatusEntry,
    docsIf3CmtsCmRegStatusId
             FROM DOCS-IF3-MIB
   SnmpTagList
             FROM SNMP-TARGET-MIB     -- RFC 3411
   DocsL2vpnIfList
             FROM DOCS-L2VPN-MIB
    clabProjDocsis
             FROM CLAB-DEF-MIB;


docsSubmgt3Mib MODULE-IDENTITY
     LAST-UPDATED    "200705180000Z" -- May 18, 2007
     ORGANIZATION    "Cable Television Laboratories, Inc."
     CONTACT-INFO
         "
         Postal: Cable Television Laboratories, Inc.
         858 Coal Creek Circle
         Louisville, Colorado 80027-9750
         U.S.A.
```

[167] Section revised per OSSIv3.0-N-07.0441-4, #3, 6, 7, 10, 19, 22, & 23 on 5/9/07 and OSSIv3.0-N-07.0445-3, #12 on 5/10/07 by KN. Section updated per 07.0444-3 5/10/07, #10, 12, & 13, by PO

```
         Phone: +1 303-661-9100
         Fax:   +1 303-661-9199
         E-mail: mibs@cablelabs.com"
     DESCRIPTION
        "This MIB module contains the management objects for the
        CMTS control of the IP4 and IPv6 traffic with origin and
        destination to CMs and/or CPEs behind the CM."
    REVISION    "200705180000Z" -- May 18, 2007
    DESCRIPTION
            "Revised Version includes ECNs
            OSSIv3.0-N-07.0445-3
            OSSIv3.0-N-07.0444-3
            OSSIv3.0-N-07.0441-4
            and published as I03"
     REVISION        "200612071700Z" -- Dec 7, 2006
     DESCRIPTION
        "Initial version, published as part of the CableLabs
        OSSIv3.0 specification CM-SP-OSSIv3.0-I01-061207
        Copyright 1999-2006 Cable Television Laboratories, Inc.
        All rights reserved."
     ::= {  clabProjDocsis 10 }

-- Textual Conventions

-- Object Definitions
docsSubmgt3MibObjects  OBJECT IDENTIFIER ::= { docsSubmgt3Mib 1 }

docsSubmgt3Base OBJECT IDENTIFIER ::= { docsSubmgt3MibObjects 1 }

docsSubmgt3BaseCpeMaxIpv4Def OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1023)
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the maximum number of IPv4
        Addresses allowed for the CM's CPEs if not signaled in the
        registration process."
     DEFVAL { 16 }
     ::= { docsSubmgt3Base 1 }

docsSubmgt3BaseCpeMaxIpv6PrefixDef OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1023)
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the maximum number of IPv6
         prefixes allowed for the CM's CPEs if not signaled in
         the registration process."
     DEFVAL { 16 }
     ::= { docsSubmgt3Base 2 }

docsSubmgt3BaseCpeActiveDef OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the default value for enabling
        Subscriber Management filters and controls
        in the CM if the parameter is not signaled in the DOCSIS
        Registration process."
     DEFVAL { false }
     ::= { docsSubmgt3Base 3 }

docsSubmgt3BaseCpeLearnableDef OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-write
     STATUS      current
```

```
      DESCRIPTION
         "This attribute represents the default value for enabling
         the CPE learning process for the CM if the parameter
         is not signaled in the DOCSIS Registration process."
      DEFVAL { true }
      ::= { docsSubmgt3Base 4 }

docsSubmgt3BaseSubFilterDownDef OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1024)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the default value for the
         subscriber (CPE) downstream filter group for the
         CM if the parameter is not signaled in the DOCSIS Registration
         process."
      DEFVAL { 0 }
      ::= { docsSubmgt3Base 5 }

docsSubmgt3BaseSubFilterUpDef OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1024)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the default value for the
         subscriber (CPE) upstream filter group for the CM
         if the parameter is not signaled in the DOCSIS Registration
         process."
      DEFVAL { 0 }
      ::= { docsSubmgt3Base 6 }

docsSubmgt3BaseCmFilterDownDef OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1024)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the default value for the
         CM stack downstream filter group applying to the CM
         if the parameter is not signaled in the DOCSIS Registration
         process."
      DEFVAL { 0 }
      ::= { docsSubmgt3Base 7 }

docsSubmgt3BaseCmFilterUpDef OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1024)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the default value for the
         CM stack upstream filter group applying to the CM if
         the parameter is not signaled in the DOCSIS Registration
         process."
      DEFVAL { 0 }
      ::= { docsSubmgt3Base 8 }

docsSubmgt3BasePsFilterDownDef OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1024)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the default value for the
         PS or eRouter downstream filter group for the CM if
         the parameter is not signaled in the DOCSIS Registration
         process."
      DEFVAL { 0 }
      ::= { docsSubmgt3Base 9 }
```

```
docsSubmgt3BasePsFilterUpDef OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1024)
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the default value for the
        PS or eRouter upstream filter group for the CM if the
        parameter is not signaled in the DOCSIS Registration
        process."
     DEFVAL { 0 }
     ::= { docsSubmgt3Base 10 }

docsSubmgt3BaseMtaFilterDownDef OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1024)
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the default value for the
        MTA downstream filter group for the CM if the parameter
        is not signaled in the DOCSIS Registration process."
     DEFVAL { 0 }
     ::= { docsSubmgt3Base 11 }

docsSubmgt3BaseMtaFilterUpDef OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1024)
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the default value for the
        MTA upstream filter group for the CM if the parameter
        is not signaled in the DOCSIS Registration process."
     DEFVAL { 0 }
     ::= { docsSubmgt3Base 12 }

docsSubmgt3BaseStbFilterDownDef OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1024)
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the default value for the
        STB downstream filter group for the CM if the parameter
        is not signaled in the DOCSIS Registration process."
     DEFVAL { 0 }
     ::= { docsSubmgt3Base 13 }

docsSubmgt3BaseStbFilterUpDef OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1024)
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the default value for the
        STB upstream filter group for the CM if the parameter
        is not signaled in the DOCSIS Registration process."
     DEFVAL { 0 }
     ::= { docsSubmgt3Base 14 }

docsSubmgt3CpeCtrlTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsSubmgt3CpeCtrlEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object maintains per-CM traffic policies enforced
        by the CMTS. The CMTS acquires the CM traffic policies
        through the CM registration process, or in the
        absence of some or all of those parameters, from the
        Base object. The CM information and controls are meaningful
        and used by the CMTS, but only after the CM is
```

```
        operational."
      ::= { docsSubmgt3MibObjects 2}

docsSubmgt3CpeCtrlEntry OBJECT-TYPE
      SYNTAX      DocsSubmgt3CpeCtrlEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsSubmgt3CpeCtrlTable.
           The CMTS does not persist the instances of the CpeCtrl
           object across reinitializations."
      AUGMENTS { docsIf3CmtsCmRegStatusEntry }
      ::= { docsSubmgt3CpeCtrlTable 1 }

DocsSubmgt3CpeCtrlEntry ::= SEQUENCE {
      docsSubmgt3CpeCtrlMaxCpeIpv4
                   Unsigned32,
      docsSubmgt3CpeCtrlMaxCpeIpv6Prefix
                   Unsigned32,
      docsSubmgt3CpeCtrlActive
                   TruthValue,
      docsSubmgt3CpeCtrlLearnable
                   TruthValue,
      docsSubmgt3CpeCtrlReset
                   TruthValue,
      docsSubmgt3CpeCtrlLastReset
                   TimeStamp
      }

docsSubmgt3CpeCtrlMaxCpeIpv4 OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1023)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the number of simultaneous
         IP v4 addresses permitted for CPE connected to the CM.
         When the MaxCpeIpv4 attribute is set to zero (0), all Ipv4 CPE
         traffic from the CM is dropped. The CMTS configures this
         attribute with whichever of the 'Subscriber Management CPE IPv4
         List' or 'Subscriber Management Control-Max_CpeIPv4' signaled
         encodings is greater, or in the absence of all of those
         provisioning parameters, with the CpeMaxIp v4Def
         from the Base object. This limit applies to learned
         and DOCSIS-provisioned entries but not to entries added
         through some administrative process at the CMTS.
         Note that this attribute is only meaningful when the
         Active attribute of the CM is set to 'true'."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I04-070518, Subscriber Management
          TLVs section in the Common Radio Frequency Interface Encodings
          Annex."
      ::= { docsSubmgt3CpeCtrlEntry 1 }

docsSubmgt3CpeCtrlMaxCpeIpv6Prefix OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1023)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the number of simultaneous
         IPv6 prefixes permitted for CPE connected to the
         CM.
         When the MaxCpeIpv6Prefix is set to zero (0), all IPv6 CPE
         traffic from the CM is dropped. The CMTS configures this
         attribute with whichever of the 'Subscriber Management CPE IPv6 List'
         or'Subscriber Management Control Max Cpe IPv6 Prefix'
         signaled encodings is greater, or in the absence of all of those
```

```
         provisioning parameters, with the CpeMaxIpv6PrefixDef
         from the Base object. This limit applies to learned
         and DOCSIS-provisioned entries but not to entries added
         through some administrative process at the CMTS.
         Note that this attribute is only meaningful when the
         Active attribute of the CM is set to 'true'."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I04-070518, Subscriber Management
          TLVs section in the Common Radio Frequency Interface Encodings
          Annex."
      ::= { docsSubmgt3CpeCtrlEntry 2 }

docsSubmgt3CpeCtrlActive OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute controls the application of subscriber
         management to this CM. If this is set to 'true',
         CMTS-based CPE control is active, and all the actions
         required by the various filter policies and controls
         apply at the CMTS. If this is set to false, no subscriber
         management filtering is done at the CMTS (but other
         filters may apply). If not set through DOCSIS provisioning,
         this object defaults to the value of the Active
         attribute of the Base object."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I04-070518, Subscriber Management
          Control section in the Common Radio Frequency Interface
          Encodings Annex."
      ::= { docsSubmgt3CpeCtrlEntry 3 }

docsSubmgt3CpeCtrlLearnable OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute controls whether the CMTS may learn
         (and pass traffic for) CPE IP addresses associated
         with a CM. If this is set to 'true', the CMTS may learn up
         to the CM MaxCpeIp value less any DOCSIS-provisioned
         entries related to this CM. The nature of the learning
         mechanism is not specified here. If not set through
         DOCSIS provisioning, this object defaults to the
         value of the CpeLearnableDef attribute from the Base
         object. Note that this attribute is only meaningful
         if docsSubMgtCpeControlActive is 'true' to enforce
         a limit in the number of CPEs learned. CPE learning
         is always performed for the CMTS for security reasons."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I04-070518, Subscriber Management
          Control section in the Common Radio Frequency Interface
          Encodings Annex."
      ::= { docsSubmgt3CpeCtrlEntry 4 }

docsSubmgt3CpeCtrlReset OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "If set to 'true', this attribute commands the CMTS
         to delete the instances denoted as 'learned' addresses
         in the CpeIp object. This attribute always returns
         false on read."
```

```
     ::= { docsSubmgt3CpeCtrlEntry 5 }

docsSubmgt3CpeCtrlLastReset OBJECT-TYPE
     SYNTAX      TimeStamp
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the system Up Time of the
        last set to 'true' of the Reset attribute of this instance.
        Zero if never reset."
     ::= { docsSubmgt3CpeCtrlEntry 6 }

docsSubmgt3CpeIpTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsSubmgt3CpeIpEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object defines the list of IP Addresses behind
        the CM known by the CMTS.

        If the Active attribute of the CpeCtrl object associated
        with a CM is set to 'true' and the CMTS receives an
        IP packet from a CM that contains a source IP address that
        does not match one of the CPE IP addresses associated
        with this CM, one of two things occurs. If the number
        of CPE IPs is less than the MaxCpeIp of the CpeCtrl object
        for that CM, the source IP address is added to this
        object and the packet is forwarded; otherwise, the
        packet is dropped."
     ::= { docsSubmgt3MibObjects 3}

docsSubmgt3CpeIpEntry OBJECT-TYPE
     SYNTAX      DocsSubmgt3CpeIpEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsSubmgt3CpeIpTable."
     INDEX {
             docsIf3CmtsCmRegStatusId,
             docsSubmgt3CpeIpId
           }
     ::= { docsSubmgt3CpeIpTable 1 }

DocsSubmgt3CpeIpEntry ::= SEQUENCE {
     docsSubmgt3CpeIpId
                  Unsigned32,
     docsSubmgt3CpeIpAddrType
                  InetAddressType,
     docsSubmgt3CpeIpAddr
                  InetAddress,
     docsSubmgt3CpeIpAddrPrefixLen
                  InetAddressPrefixLength,
     docsSubmgt3CpeIpLearned
                  TruthValue,
     docsSubmgt3CpeIpType
                  INTEGER
     }

docsSubmgt3CpeIpId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..1023)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute represents a unique identifier for
        a CPE IP of the CM. An instance of this attribute exists
        for each CPE provisioned in the 'Subscriber Management
        CPE IPv4 Table' or 'Subscriber Management CPE
```

```
        IPv6 Table' encodings. An entry is created either through
        the included CPE IP addresses in the provisioning
        object, or CPEs learned from traffic sourced from the
        CM."
     ::= { docsSubmgt3CpeIpEntry 1 }

docsSubmgt3CpeIpAddrType OBJECT-TYPE
     SYNTAX      InetAddressType
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "The type of Internet address of the Addr attribute."
     ::= { docsSubmgt3CpeIpEntry 2 }

docsSubmgt3CpeIpAddr OBJECT-TYPE
     SYNTAX      InetAddress
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the IP address either set
        from provisioning or learned via address gleaning
        or other forwarding means."
     ::= { docsSubmgt3CpeIpEntry 3 }

docsSubmgt3CpeIpAddrPrefixLen OBJECT-TYPE
     SYNTAX      InetAddressPrefixLength
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the prefix length associated with
        the IP subnet prefix either set from provisioning or learned
        via address gleaning or other forwarding means. For IPv4 CPE
        addresses this attribute generally reports the value 32
        (32 bits) to indicate a unicast IPv4 address. For IPv6, this
        attribute represents either an IPv6 unicast address
        (128 bits, equal to /128 prefix length) or a subnet prefix
        length (for example 56 bits, equal to /56 prefix length)."
     ::= { docsSubmgt3CpeIpEntry 4 }

docsSubmgt3CpeIpLearned OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute is set to 'true' when the IP address
        was learned from IP packets sent upstream rather than
        via the CM provisioning process."
     ::= { docsSubmgt3CpeIpEntry 5 }

docsSubmgt3CpeIpType OBJECT-TYPE
     SYNTAX      INTEGER {
                           cpe(1),
                           ps(2),
                           mta(3),
                           stb(4),
                           tea(5),
                           erouter(6)
                         }
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the type of CPE based on
        the following classification below:
                    'cpe' Regular CPE clients.
                    'ps'  CableHome Portal Server (PS)
                    'mta' PacketCable Multimedia Terminal Adapter (MTA)
                    'stb' Digital Set-top Box (STB).
```

```
                    'tea' T1 Emulation adapter (TEA)
                    'erouter' Embedded Router (eRouter)"
     ::= { docsSubmgt3CpeIpEntry 6 }

docsSubmgt3GrpTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsSubmgt3GrpEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object defines the set of downstream and upstream
        filter groups that the CMTS applies to traffic associated
        with that CM."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I01-060804, Subscriber
         Management TLVs section in the Common Radio Frequency
         Interface Encodings Annex."
     ::= { docsSubmgt3MibObjects 4}

docsSubmgt3GrpEntry OBJECT-TYPE
     SYNTAX      DocsSubmgt3GrpEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsSubmgt3GrpTable.
          The CMTS does not persist the instances of the Grp
          object across reinitializations."
     AUGMENTS { docsIf3CmtsCmRegStatusEntry }
     ::= { docsSubmgt3GrpTable 1 }

DocsSubmgt3GrpEntry ::= SEQUENCE {
     docsSubMgt3GrpUdcGroupIds
                    SnmpTagList,
     docsSubMgt3GrpUdcSentInRegRsp
                    TruthValue,
     docsSubmgt3GrpSubFilterDs
                    Unsigned32,
     docsSubmgt3GrpSubFilterUs
                    Unsigned32,
     docsSubmgt3GrpCmFilterDs
                    Unsigned32,
     docsSubmgt3GrpCmFilterUs
                    Unsigned32,
     docsSubmgt3GrpPsFilterDs
                    Unsigned32,
     docsSubmgt3GrpPsFilterUs
                    Unsigned32,
     docsSubmgt3GrpMtaFilterDs
                    Unsigned32,
     docsSubmgt3GrpMtaFilterUs
                    Unsigned32,
     docsSubmgt3GrpStbFilterDs
                    Unsigned32,
     docsSubmgt3GrpStbFilterUs
                    Unsigned32
     }

docsSubMgt3GrpUdcGroupIds OBJECT-TYPE
     SYNTAX      SnmpTagList
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the filter group(s) associated
        with the CM signaled 'Upstream Drop Classifier Group ID'
       encodings during the registration process. UDC Group IDs are
       integer values and this attribute reports them as decimal
       numbers that are space-separated. The zero-length string indicates
```

```
        that the CM didn't signal UDC Group IDs.
        This attribute provides two functions:
        - Communicate the CM the configured UDC Group ID(s), irrespective
        of the CM being provisioned to filter upstream traffic based
        on IP Filters or UDCs.
        - Optionally, and with regards to the CMTS, if the value of the
        attribute UdcSentInReqRsp is 'true', indicates that the filtering
        rules associated with the Subscriber Management Group ID(s) will
        be sent during registration to the CM. It is vendor specific
        whether the CMTS updates individual CM UDCs after registration
        when rules are changed in the Grp object."
      DEFVAL { ''H }
      ::= { docsSubmgt3GrpEntry 1 }

docsSubMgt3GrpUdcSentInRegRsp OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the CMTS upstream filtering
         status for this CM. The value 'true' indicates that the
         CMTS has sent UDCs to the CM during registration process.

         In order for a CMTS to send UDCs to a CM, the CMTS MAC Domain
         needed to be enabled via the MAC Domain attribute
         SendUdcRulesEnabled and the CM had indicated the UDC capability
         support during the registration process. The value 'false'
         indicates that the CMTS was not enabled to sent UDCs to the
         CMs in the MAC Domain, or the CM does not advertised UDC
         support in its capabilities encodings, or both. Since the
         CMTS capability to sent UDCs to CMs during the registration
         process is optional, the CMTS is not required to implement
         the value 'true'."
      DEFVAL { false }
      ::= { docsSubmgt3GrpEntry 2 }

docsSubmgt3GrpSubFilterDs OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1024)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the filter group applied
         to traffic destined for subscriber's CPE attached to the
         referenced CM (attached to CM CPE interfaces). This
         value corresponds to the 'Subscriber Downstream
         Group' value of the 'Subscriber Management Filter Groups'
         encoding signaled during the CM registration
         or in its absence, to the SubFilterDownDef attribute
         of the Base object. The value zero or a filter group
         ID not configured in the CMTS means no filtering is applied
         to traffic destined to hosts attached to this CM."
      ::= { docsSubmgt3GrpEntry 3 }

docsSubmgt3GrpSubFilterUs OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1024)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the filter group applied
         to traffic originating from subscriber's CPE attached
         to the referenced CM (attached to CM CPE interfaces).
         This value corresponds to the 'Subscriber Upstream
         Group' value of the 'Subscriber Management Filter
         Groups' encoding signaled during the CM registration
         or in its absence, to the SubFilterUpDef attribute
         of the Base object. The value zero or a filter group
         ID not configured in the CMTS means no filtering
```

```
         is applied to traffic originating from hosts attached
         to this CM."
      ::= { docsSubmgt3GrpEntry 4 }

docsSubmgt3GrpCmFilterDs OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1024)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the filter group applied
         to traffic destined for the CM itself. This value corresponds
         to the 'CM Downstream Group' value of the
         'Subscriber Management Filter Groups' encoding signaled
         during the CM registration or in its absence,
         to the CmFilterDownDef attribute of the Base object.
         The value zero or a filter group ID not configured in
         the CMTS means no filtering is applied to traffic destined
         to the CM."
      ::= { docsSubmgt3GrpEntry 5 }

docsSubmgt3GrpCmFilterUs OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1024)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the filter group applied
         to traffic originating from the CM itself. This value
         corresponds to the 'Subscriber Upstream Group'
         value of the 'Subscriber Management Filter Groups'
         encoding signaled during the CM registration or in its
         absence, to the SubFilterUpDef attribute of the Base
         object. The value zero or a filter group ID not configured
         in the CMTS means no filtering is applied to traffic
         originating from this CM."
      ::= { docsSubmgt3GrpEntry 6 }

docsSubmgt3GrpPsFilterDs OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1024)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the filter group applied
         to traffic destined to the Embedded CableHome Portal
         Services Element or the Embedded Router on the referenced
         CM. This value corresponds to the 'PS Downstream
         Group' value of the 'Subscriber Management Filter
         Groups' encoding signaled during the CM registration
         or in its absence, to the SubFilterDownDef attribute
         of the Base object. The value zero or a filter
         group ID not configured in the CMTS means no filtering
         is applied to traffic destined to the Embedded CableHome
         Portal Services Element or Embedded Router on
         this CM."
      ::= { docsSubmgt3GrpEntry 7 }

docsSubmgt3GrpPsFilterUs OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1024)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the filter group applied
         to traffic originating from the Embedded CableHome
         Portal Services Element or Embedded Router on the
         referenced CM. This value corresponds to the 'PS Upstream
         Group' value of the 'Subscriber Management Filter
         Groups' encoding signaled during the CM registration
         or in its absence, to the SubFilterUpDef attribute
```

```
        of the Base object. The value zero or a filter group
        ID not configured in the CMTS means no filtering is
        applied to traffic originating from the Embedded CableHome
        Portal Services Element or Embedded Router
        on this CM."
     ::= { docsSubmgt3GrpEntry 8 }

docsSubmgt3GrpMtaFilterDs OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1024)
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the filter group applied
        to traffic destined to the Embedded Multimedia Terminal
        Adapter on the referenced CM. This value corresponds
        to the 'MTA Downstream Group' value of the 'Subscriber
        Management Filter Groups' encoding signaled
        during the CM registration or in its absence, to
        the SubFilterDownDef attribute of the Base object.
        The value zero or a filter group ID not configured in the
        CMTS means no filtering is applied to traffic destined
        to the Embedded Multimedia Terminal Adapter on
        this CM."
     ::= { docsSubmgt3GrpEntry 9 }

docsSubmgt3GrpMtaFilterUs OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1024)
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the filter group applied
        to traffic originating from the Embedded Multimedia
        Terminal Adapter on the referenced CM. This value
        corresponds to the 'MTA Upstream Group' value of the
        'Subscriber Management Filter Groups' encoding signaled
        during the CM registration or in its absence,
        to the SubFilterUpDef attribute of the Base object.
        The value zero or a filter group ID not configured in
        the CMTS means no filtering is applied to traffic originating
        from the Embedded Multimedia Terminal Adapter
        on this CM."
     ::= { docsSubmgt3GrpEntry 10 }

docsSubmgt3GrpStbFilterDs OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1024)
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the filter group applied
        to traffic destined for the Embedded Set-Top Box on
        the referenced CM. This value corresponds to the 'STB
        Downstream Group' value of the 'Subscriber Management
        Filter Groups' encoding signaled during the CM
        registration or in its absence, to the SubFilterDownDef
        attribute of the Base object. The value zero or
        a filter group ID not configured in the CMTS means no filtering
        is applied to traffic destined to the Embedded
        Set-Top Box on this CM."
     ::= { docsSubmgt3GrpEntry 11 }

docsSubmgt3GrpStbFilterUs OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1024)
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the filter group applied
        to traffic originating from the Embedded Set-Top
```

```
         Box on the referenced CM. This value corresponds to the
         'STB Upstream Group' value of the 'Subscriber Management
         Filter Groups' encoding signaled during the
         CM registration or in its absence, to the SubFilterUpDef
         attribute of the Base object. The value zero or
         a filter group ID not configured in the CMTS means no filtering
         is applied to traffic originating from the
         Embedded Set-Top Box on this CM."
      ::= { docsSubmgt3GrpEntry 12 }

docsSubmgt3FilterGrpTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsSubmgt3FilterGrpEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object describes a set of filter or classifier
         criteria. Classifiers are assigned by group to the
         individual CMs. That assignment is made via the 'Subscriber
         Management TLVs' encodings sent upstream from
         the CM to the CMTS during registration or in their
         absence, default values configured in the CMTS.
         A Filter Group ID (GrpId) is a set of rules that correspond
         to the expansion of a UDC Group ID into UDC individual
         classification rules.  The Filter Group Ids are generated
         whenever the CMTS is configured to send UDCs during the CM
         registration process. Implementation of L2 classification
         criteria is optional for the CMTS; LLC/MAC upstream and
         downstream filter criteria can be ignored during the packet
         matching process."
      ::= { docsSubmgt3MibObjects 5}

docsSubmgt3FilterGrpEntry OBJECT-TYPE
      SYNTAX      DocsSubmgt3FilterGrpEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsSubmgt3FilterGrpTable.
           The CMTS persists all instances of the FilterGrp object
           across reinitializations."
      INDEX {
              docsSubmgt3FilterGrpGrpId,
              docsSubmgt3FilterGrpRuleId
            }
      ::= { docsSubmgt3FilterGrpTable 1 }

DocsSubmgt3FilterGrpEntry ::= SEQUENCE {
      docsSubmgt3FilterGrpGrpId
                   Unsigned32,
      docsSubmgt3FilterGrpRuleId
                   Unsigned32,
      docsSubmgt3FilterGrpAction
                   INTEGER,
      docsSubmgt3FilterGrpPriority
                   Unsigned32,
      docsSubmgt3FilterGrpIpTosLow
                   OCTET STRING,
      docsSubmgt3FilterGrpIpTosHigh
                   OCTET STRING,
      docsSubmgt3FilterGrpIpTosMask
                   OCTET STRING,
      docsSubmgt3FilterGrpIpProtocol
                   Unsigned32,
      docsSubmgt3FilterGrpInetAddrType
                   InetAddressType,
      docsSubmgt3FilterGrpInetSrcAddr
                   InetAddress,
      docsSubmgt3FilterGrpInetSrcMask
```

```
                InetAddress,
     docsSubmgt3FilterGrpInetDestAddr
                InetAddress,
     docsSubmgt3FilterGrpInetDestMask
                InetAddress,
     docsSubmgt3FilterGrpSrcPortStart
                InetPortNumber,
     docsSubmgt3FilterGrpSrcPortEnd
                InetPortNumber,
     docsSubmgt3FilterGrpDestPortStart
                InetPortNumber,
     docsSubmgt3FilterGrpDestPortEnd
                InetPortNumber,
     docsSubmgt3FilterGrpDestMacAddr
                MacAddress,
     docsSubmgt3FilterGrpDestMacMask
                MacAddress,
     docsSubmgt3FilterGrpSrcMacAddr
                MacAddress,
     docsSubmgt3FilterGrpEnetProtocolType
                INTEGER,
     docsSubmgt3FilterGrpEnetProtocol
                Unsigned32,
     docsSubmgt3FilterGrpUserPriLow
                Unsigned32,
     docsSubmgt3FilterGrpUserPriHigh
                Unsigned32,
     docsSubmgt3FilterGrpVlanId
                Unsigned32,
     docsSubmgt3FilterGrpClassPkts
                Counter64,
     docsSubmgt3FilterGrpFlowLabel
                Unsigned32,
     docsSubmgt3FilterGrpCmInterfaceMask
                DocsL2vpnIfList,
     docsSubmgt3FilterGrpRowStatus
                RowStatus
     }

docsSubmgt3FilterGrpGrpId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..65535)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key is an identifier for a set of classifiers known
        as a filter group. Each CM may be associated with
        several filter groups for its upstream and downstream
        traffic, one group per target end point on the CM as
        defined in the Grp object. Typically, many CMs share
        a common set of filter groups."
     ::= { docsSubmgt3FilterGrpEntry 1 }

docsSubmgt3FilterGrpRuleId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..65535)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents an ordered classifier identifier
        within the group.  Filters are applied in order if
        the Priority attribute is not supported."
     ::= { docsSubmgt3FilterGrpEntry 2 }

docsSubmgt3FilterGrpAction OBJECT-TYPE
     SYNTAX      INTEGER {
                        permit(1),
                        deny(2)
                        }
```

```
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the action to take upon
         this filter matching.  'permit' means to stop the classification
         matching and accept the packet for further
         processing.  'deny' means to drop the packet."
      DEFVAL { permit }
      ::= { docsSubmgt3FilterGrpEntry 3 }

docsSubmgt3FilterGrpPriority OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute defines the order in which classifiers
         are compared against packets. The higher the value,
         the higher the priority."
      DEFVAL { 0 }
      ::= { docsSubmgt3FilterGrpEntry 4 }

docsSubmgt3FilterGrpIpTosLow OBJECT-TYPE
      SYNTAX      OCTET STRING (SIZE (1))
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the low value of a range
         of ToS (Type of Service) octet values. This object is
         defined as an 8-bit octet as per the DOCSIS Specification
         for packet classification.
         The IP ToS octet, as originally defined in RFC 791, has
         been superseded by the 6-bit Differentiated Services
         Field (DSField, RFC 3260) and the 2-bit Explicit
         Congestion Notification Field (ECN field, RFC 3168)."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804.
          RFC 791.
          RFC 3260.
          RFC 3168."
      DEFVAL { '00'H }
      ::= { docsSubmgt3FilterGrpEntry 5 }

docsSubmgt3FilterGrpIpTosHigh OBJECT-TYPE
      SYNTAX      OCTET STRING (SIZE (1))
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the high value of a range
         of ToS octet values. This object is defined as an 8-bit
         octet as per the DOCSIS Specification for packet classification.
         The IP ToS octet, as originally defined in RFC 791, has
         been superseded by the 6-bit Differentiated Services
         Field (DSField, RFC 3260) and the 2-bit Explicit
         Congestion Notification Field (ECN field, RFC 3168)."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804.
          RFC 791.
          RFC 3260.
          RFC 3168."
      DEFVAL { '00'H }
      ::= { docsSubmgt3FilterGrpEntry 6 }

docsSubmgt3FilterGrpIpTosMask OBJECT-TYPE
      SYNTAX      OCTET STRING (SIZE (1))
      MAX-ACCESS  read-create
```

```
      STATUS      current
      DESCRIPTION
         "This attribute represents the mask value that is bitwise
         ANDed with ToS octet in an IP packet, and the resulting value
         is used for range checking of IpTosLow and IpTosHigh."
      DEFVAL { '00'H }
      ::= { docsSubmgt3FilterGrpEntry 7 }

docsSubmgt3FilterGrpIpProtocol OBJECT-TYPE
      SYNTAX      Unsigned32 (0..257)
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the value of the IP Protocol
         field required for IP packets to match this rule.
         The value 256 matches traffic with any IP Protocol value.
         The value 257 by convention matches both TCP and
         UDP."
      DEFVAL { 256 }
      ::= { docsSubmgt3FilterGrpEntry 8 }

docsSubmgt3FilterGrpInetAddrType OBJECT-TYPE
      SYNTAX      InetAddressType
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "The type of the Internet address for InetSrcAddr,
         InetSrcMask, InetDestAddr, and InetDestMask."
      DEFVAL { unknown }
      ::= { docsSubmgt3FilterGrpEntry 9 }

docsSubmgt3FilterGrpInetSrcAddr OBJECT-TYPE
      SYNTAX      InetAddress
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute specifies the value of the IP Source Address
         required for packets to match this rule. An IP packet
         matches the rule when the packet's IP Source Address
         bitwise ANDed with the InetSrcMask value equals
         the InetSrcAddr value. The address type of this object
         is specified by the InetAddressType attribute."
      DEFVAL { ''H }
      ::= { docsSubmgt3FilterGrpEntry 10 }

docsSubmgt3FilterGrpInetSrcMask OBJECT-TYPE
      SYNTAX      InetAddress
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents which bits of a packet's
         IP Source Address are compared to match this rule. An
         IP packet matches the rule when the packet's IP Source
         Address bitwise ANDed with the InetSrcMask value equals
         the InetSrcAddr value. The address type of this
         object is specified by InetAddrType."
      DEFVAL { ''H }
      ::= { docsSubmgt3FilterGrpEntry 11 }

docsSubmgt3FilterGrpInetDestAddr OBJECT-TYPE
      SYNTAX      InetAddress
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute specifies the value of the IP Destination
         Address required for packets to match this rule.
         An IP packet matches the rule when the packet's IP Destination
```

```
         Address bitwise ANDed with the InetSrcMask
         value equals the InetDestAddr value. The address type
         of this object is specified by the InetAddrType attribute."
      DEFVAL { ''H }
      ::= { docsSubmgt3FilterGrpEntry 12 }

docsSubmgt3FilterGrpInetDestMask OBJECT-TYPE
      SYNTAX      InetAddress
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents which bits of a packet's
         IP Destination Address are compared to match this rule.
         An IP packet matches the rule when the packet's IP Destination
         Address bitwise ANDed with the InetDestMask value
         equals the InetDestAddr value. The address type
         of this object is specified by InetAddrType."
      DEFVAL { ''H }
      ::= { docsSubmgt3FilterGrpEntry 13 }

docsSubmgt3FilterGrpSrcPortStart OBJECT-TYPE
      SYNTAX      InetPortNumber
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the low-end inclusive
         range of TCP/UDP source port numbers to which a packet
         is compared. This attribute is irrelevant for non-TCP/UDP
         IP packets."
      DEFVAL { 0 }
      ::= { docsSubmgt3FilterGrpEntry 14 }

docsSubmgt3FilterGrpSrcPortEnd OBJECT-TYPE
      SYNTAX      InetPortNumber
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the high-end inclusive
         range of TCP/UDP source port numbers to which a packet
         is compared. This attribute is irrelevant for non-TCP/UDP
         IP packets."
      DEFVAL { 65535 }
      ::= { docsSubmgt3FilterGrpEntry 15 }

docsSubmgt3FilterGrpDestPortStart OBJECT-TYPE
      SYNTAX      InetPortNumber
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the low-end inclusive
         range of TCP/UDP destination port numbers to which a
         packet is compared. This attribute is irrelevant for
         non-TCP/UDP IP packets."
      DEFVAL { 0 }
      ::= { docsSubmgt3FilterGrpEntry 16 }

docsSubmgt3FilterGrpDestPortEnd OBJECT-TYPE
      SYNTAX      InetPortNumber
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the high-end inclusive
         range of TCP/UDP destination port numbers to which a packet
         is compared. This attribute is irrelevant for non-TCP/UDP
         IP packets."
      DEFVAL { 65535 }
      ::= { docsSubmgt3FilterGrpEntry 17 }
```

```
docsSubmgt3FilterGrpDestMacAddr OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute represents the criteria to match against
        an Ethernet packet MAC address bitwise ANDed
        with DestMacMask."
     DEFVAL { '000000000000'H }
     ::= { docsSubmgt3FilterGrpEntry 18 }

docsSubmgt3FilterGrpDestMacMask OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "An Ethernet packet matches an entry when its
        destination MAC address bitwise ANDed with
        the DestMacMask attribute equals the value of
        the DestMacAddr attribute."
     DEFVAL { '000000000000'H }
     ::= { docsSubmgt3FilterGrpEntry 19 }

docsSubmgt3FilterGrpSrcMacAddr OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute represents the value to match against
        an Ethernet packet source MAC address."
     DEFVAL { 'FFFFFFFFFFFF'H }
     ::= { docsSubmgt3FilterGrpEntry 20 }

docsSubmgt3FilterGrpEnetProtocolType OBJECT-TYPE
     SYNTAX      INTEGER {
                         none(0),
                         ethertype(1),
                         dsap(2),
                         mac(3),
                         all(4)
                         }
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute indicates the format of the layer 3
        protocol ID in the Ethernet packet. A value of 'none'
        means that the rule does not use the layer 3 protocol
        type as a matching criteria. A value of 'ethertype'
        means that the rule applies only to frames that contain
        an EtherType value. EtherType values are contained
        in packets using the DEC-Intel-Xerox (DIX) encapsulation
        or the RFC 1042 Sub-Network Access Protocol
        (SNAP) encapsulation formats. A value of 'dsap' means
        that the rule applies only to frames using the IEEE802.3
        encapsulation format with a Destination Service
        Access Point (DSAP) other than 0xAA (which is reserved
        for SNAP). A value of 'mac' means that the rule
        applies only to MAC management messages for MAC management
        messages. A value of 'all' means that the rule
        matches all Ethernet packets. If the Ethernet frame
        contains an 802.1P/Q Tag header (i.e., EtherType
        0x8100), this attribute applies to the embedded EtherType
        field within the 802.1p/Q header.
        The value 'mac' is only used for passing UDCs to CMs during
        Registration. The CMTS ignores filter rules that include
        the value of this attribute set to 'mac' for CMTS enforced
```

```
         upstream and downstream subscriber management filter group
         rules."
      REFERENCE
         "RFC1042 Sub-Network Access Protocol (SNAP) encapsulation
         formats."
      DEFVAL { none }
      ::= { docsSubmgt3FilterGrpEntry 21 }

docsSubmgt3FilterGrpEnetProtocol OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the Ethernet protocol
         type to be matched against the packets. For EnetProtocolType
         set to 'none', this attribute is ignored when considering
         whether a packet matches the current rule.
         If the attribute EnetProtocolType is 'ethertype',
         this attribute gives the 16-bit value of the EtherType
         that the packet must match in order to match the rule.
         If the attribute EnetProtocolType is 'dsap', the lower
         8 bits of this attribute's value must match the DSAP
         octet of the packet in order to match the rule. If the Ethernet
         frame contains an 802.1p/Q Tag header (i.e.,
         EtherType 0x8100), this attribute applies to the embedded
         EtherType field within the 802.1p/Q header."
      DEFVAL { 0 }
      ::= { docsSubmgt3FilterGrpEntry 22 }

docsSubmgt3FilterGrpUserPriLow OBJECT-TYPE
      SYNTAX      Unsigned32 (0..7)
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute applies only to Ethernet frames using
         the 802.1p/Q tag header (indicated with EtherType
         0x8100). Such frames include a 16-bit Tag that contains
         a 3-bit Priority field and a 12-bit VLAN number.
         Tagged Ethernet packets must have a 3-bit Priority
         field within the range of PriLow to PriHigh in order to
         match this rule."
      DEFVAL { 0 }
      ::= { docsSubmgt3FilterGrpEntry 23 }

docsSubmgt3FilterGrpUserPriHigh OBJECT-TYPE
      SYNTAX      Unsigned32 (0..7)
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute applies only to Ethernet frames using
         the 802.1p/Q tag header (indicated with EtherType
         0x8100). Such frames include a 16-bit Tag that contains
         a 3-bit Priority field and a 12-bit VLAN number.
         Tagged Ethernet packets must have a 3-bit Priority
         field within the range of PriLow to PriHigh in order to
         match this rule."
      DEFVAL { 7 }
      ::= { docsSubmgt3FilterGrpEntry 24 }

docsSubmgt3FilterGrpVlanId OBJECT-TYPE
      SYNTAX      Unsigned32 (0 | 1..4094)
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute applies only to Ethernet frames using
         the 802.1p/Q tag header. Tagged packets must have
         a VLAN Identifier that matches the value in order to
```

```
         match the rule."
      ::= { docsSubmgt3FilterGrpEntry 25 }

docsSubmgt3FilterGrpClassPkts OBJECT-TYPE
      SYNTAX      Counter64
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute counts the number of packets
         that have been classified (matched) using this rule
         entry. This includes all packets delivered to a Service
         Flow maximum rate policing function, whether
         or not that function drops the packets. Discontinuities
         in the value of this counter can occur at re-initialization
         of the managed system, and at other times
         as indicated by the value of ifCounterDiscontinuityTime
         for the CM MAC Domain interface."
      ::= { docsSubmgt3FilterGrpEntry 26 }

docsSubmgt3FilterGrpFlowLabel OBJECT-TYPE
      SYNTAX      Unsigned32 (0..1048575)
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the Flow Label field in
         the IPv6 header to be matched by the classifier.
         The value zero indicates that the Flow Label is not specified
         as part of the classifier and is not matched against packets."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I03-070223, IPv6 Flow
         Label section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsSubmgt3FilterGrpEntry 27 }

docsSubmgt3FilterGrpCmInterfaceMask OBJECT-TYPE
      SYNTAX      DocsL2vpnIfList
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents a bit-mask of the CM in-bound
         interfaces to which this classifier applies.
         This attribute only applies to upstream Drop Classifiers
         being sent to CMs during the registration process."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I03-070223, CM
         Interface Mask (CMIM) Encoding section in the Common
         Radio Frequency Interface Encodings Annex."
      ::= { docsSubmgt3FilterGrpEntry 28 }

docsSubmgt3FilterGrpRowStatus OBJECT-TYPE
      SYNTAX      RowStatus
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "The conceptual row status of this object."
      ::= { docsSubmgt3FilterGrpEntry 29 }

-- Conformance Definitions
docsSubmgt3MibConformance OBJECT IDENTIFIER ::= { docsSubmgt3Mib 2 }
docsSubmgt3MibCompliances OBJECT IDENTIFIER
                                ::= { docsSubmgt3MibConformance 1 }
docsSubmgt3MibGroups      OBJECT IDENTIFIER
                                ::= { docsSubmgt3MibConformance 2 }

docsSubmgt3Compliance MODULE-COMPLIANCE
```

```
STATUS      current
DESCRIPTION
        "The compliance statement for devices that implement the DOCSIS
         Subscriber Management 3 MIB."

    MODULE -- this MODULE
MANDATORY-GROUPS {
     docsSubmgt3Group
     }
::= { docsSubmgt3MibCompliances 1 }
docsSubmgt3Group OBJECT-GROUP
    OBJECTS {
     docsSubmgt3BaseCpeMaxIpv4Def,
     docsSubmgt3BaseCpeMaxIpv6PrefixDef,
     docsSubmgt3BaseCpeActiveDef,
     docsSubmgt3BaseCpeLearnableDef,
     docsSubmgt3BaseSubFilterDownDef,
     docsSubmgt3BaseSubFilterUpDef,
     docsSubmgt3BaseCmFilterDownDef,
     docsSubmgt3BaseCmFilterUpDef,
     docsSubmgt3BasePsFilterDownDef,
     docsSubmgt3BasePsFilterUpDef,
     docsSubmgt3BaseMtaFilterDownDef,
     docsSubmgt3BaseMtaFilterUpDef,
     docsSubmgt3BaseStbFilterDownDef,
     docsSubmgt3BaseStbFilterUpDef,
     docsSubmgt3CpeCtrlMaxCpeIpv4,
     docsSubmgt3CpeCtrlMaxCpeIpv6Prefix,
     docsSubmgt3CpeCtrlActive,
     docsSubmgt3CpeCtrlLearnable,
     docsSubmgt3CpeCtrlReset,
     docsSubmgt3CpeCtrlLastReset,
     docsSubmgt3CpeIpAddrType,
     docsSubmgt3CpeIpAddr,
     docsSubmgt3CpeIpAddrPrefixLen,
     docsSubmgt3CpeIpLearned,
     docsSubmgt3CpeIpType,
     docsSubMgt3GrpUdcGroupIds,
     docsSubMgt3GrpUdcSentInRegRsp,
     docsSubmgt3GrpSubFilterDs,
     docsSubmgt3GrpSubFilterUs,
     docsSubmgt3GrpCmFilterDs,
     docsSubmgt3GrpCmFilterUs,
     docsSubmgt3GrpPsFilterDs,
     docsSubmgt3GrpPsFilterUs,
     docsSubmgt3GrpMtaFilterDs,
     docsSubmgt3GrpMtaFilterUs,
     docsSubmgt3GrpStbFilterDs,
     docsSubmgt3GrpStbFilterUs,
     docsSubmgt3FilterGrpAction,
     docsSubmgt3FilterGrpPriority,
     docsSubmgt3FilterGrpIpTosLow,
     docsSubmgt3FilterGrpIpTosHigh,
     docsSubmgt3FilterGrpIpTosMask,
     docsSubmgt3FilterGrpIpProtocol,
     docsSubmgt3FilterGrpInetAddrType,
     docsSubmgt3FilterGrpInetSrcAddr,
     docsSubmgt3FilterGrpInetSrcMask,
     docsSubmgt3FilterGrpInetDestAddr,
     docsSubmgt3FilterGrpInetDestMask,
     docsSubmgt3FilterGrpSrcPortStart,
     docsSubmgt3FilterGrpSrcPortEnd,
     docsSubmgt3FilterGrpDestPortStart,
     docsSubmgt3FilterGrpDestPortEnd,
     docsSubmgt3FilterGrpDestMacAddr,
     docsSubmgt3FilterGrpDestMacMask,
     docsSubmgt3FilterGrpSrcMacAddr,
```

```
     docsSubmgt3FilterGrpEnetProtocolType,
     docsSubmgt3FilterGrpEnetProtocol,
     docsSubmgt3FilterGrpUserPriLow,
     docsSubmgt3FilterGrpUserPriHigh,
     docsSubmgt3FilterGrpVlanId,
     docsSubmgt3FilterGrpClassPkts,
     docsSubmgt3FilterGrpFlowLabel,
     docsSubmgt3FilterGrpCmInterfaceMask,
     docsSubmgt3FilterGrpRowStatus
     }
    STATUS      current
    DESCRIPTION
         "Group of objects implemented in the CMTS."
    ::= { docsSubmgt3MibGroups 1 }
END
```

Q.3 DOCS-SEC-MIB[168]

```
DOCS-SEC-MIB DEFINITIONS ::= BEGIN
  IMPORTS
    MODULE-IDENTITY,
    OBJECT-TYPE,
    Unsigned32,
    Counter32
             FROM SNMPv2-SMI          -- RFC 2578
    TruthValue,
    MacAddress,
    RowStatus,
    DateAndTime
             FROM SNMPv2-TC           -- RFC 2579
    OBJECT-GROUP,
    MODULE-COMPLIANCE
             FROM SNMPv2-CONF         -- RFC 2580
    SnmpAdminString
             FROM SNMP-FRAMEWORK-MIB  -- RFC 3411
    SnmpTagList
             FROM SNMP-TARGET-MIB     -- RFC 3411
    InetAddressType,
    InetAddress,
    InetAddressPrefixLength,
    InetPortNumber
             FROM INET-ADDRESS-MIB    -- RFC 4001
    docsIf3CmtsCmRegStatusEntry,
    docsIf3CmtsCmRegStatusId
             FROM DOCS-IF3-MIB
    clabProjDocsis
             FROM CLAB-DEF-MIB;

docsSecMib MODULE-IDENTITY
     LAST-UPDATED    "200702230000Z" -- February 23, 2007
     ORGANIZATION    "Cable Television Laboratories, Inc."
     CONTACT-INFO
         "
          Postal: Cable Television Laboratories, Inc.
          858 Coal Creek Circle
          Louisville, Colorado 80027-9750
          U.S.A.
          Phone: +1 303-661-9100
          Fax:   +1 303-661-9199
          E-mail: mibs@cablelabs.com"
     DESCRIPTION
        "This MIB module contains the management objects for the
        management of the security requirements in the DOCSIS
        Security Specification."
```

[168] Text in this MIB changed per ECN OSSIv3.0-N-06.0357-1 on 2/7/07 and per OSSIv3.0-N-07.0445-3, #8 on 5/10/07 by KN.

```
    REVISION "200702230000Z" -- February 23, 2007
    DESCRIPTION
            "Revised Version includes ECN OSSIv3.0-N-06.0357-1
            and published as IO2"

     REVISION        "200612071700Z" -- December 7, 2006
     DESCRIPTION
        "Initial version, published as part of the CableLabs
        OSSIv3.0 specification CM-SP-OSSIv3.0-I01-061207
        Copyright 1999-2006 Cable Television Laboratories, Inc.
        All rights reserved."
     ::= {  clabProjDocsis 11}

-- Textual Conventions

-- Object Definitions
docsSecMibObjects  OBJECT IDENTIFIER ::= { docsSecMib 1 }

docsSecCmtsServerCfg OBJECT IDENTIFIER ::= { docsSecMibObjects 1 }

docsSecCmtsServerCfgTftpOptions OBJECT-TYPE
     SYNTAX      BITS {
                        hwAddr(0),
                        netAddr(1)
                      }

     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute instructs the CMTS to insert the source
        IP address and/or MAC address of received TFTP packets
        into the TFTP option fields before forwarding
        the packets to the Config File server.
        This attribute is only applicable when the TftpProxyEnabled
        attribute of the MdCfg object is 'true'."
     REFERENCE
        "DOCSIS 3.0 Operations Support System Interface
         Specification CM-SP-OSSIv3.0-I01-061207,
         MdCfg Object Section in the Media Access Control (MAC)
         Requirements Annex."
     DEFVAL { ''H }
     ::= { docsSecCmtsServerCfg 1 }

docsSecCmtsServerCfgConfigFileLearningEnable OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute enables and disables Configuration
        File Learning functionality.
        If this attribute is set to 'true' the CMTS will respond
        with Authentication Failure in the REG-RSP message
        when there is a mismatch between learned config file
        parameters and REG-REQ parameters. If this attribute
        is set to 'false', the CMTS will not execute config
        file learning and mismatch check.
        This attribute is only applicable when the TftpProxyEnabled
        attribute of the MdCfg object is 'true'."
     REFERENCE
        "DOCSIS 3.0 Operations Support System Interface
         Specification CM-SP-OSSIv3.0-I01-061207,
         MdCfg Object Section in the Media Access Control (MAC)
         Requirements Annex.
         DOCSIS 3.0 Security Specification
         CM-SP-SECv3.0-I01-060804, Secure Provisioning Section.
         DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I01-060804."
```

```
     DEFVAL { true }
     ::= { docsSecCmtsServerCfg 2 }

docsSecCmtsEncrypt OBJECT IDENTIFIER ::= { docsSecMibObjects 2 }

docsSecCmtsEncryptEncryptAlgPriority OBJECT-TYPE
     SYNTAX      SnmpTagList
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute allows for configuration of a prioritized
        list of encryption algorithms the CMTS will
        use when selecting the primary SAID encryption algorithm
        for a given CM. The CMTS selects the highest priority
        encryption algorithm from this list that the CM
        supports. By default the following encryption algorithms
        are listed from highest to lowest priority (left
        being the highest): 128 bit AES, 56 bit DES, 40 bit
        DES.
        An empty list indicates that the CMTS attempts to use
        the latest and robust encryption algorithm supported
        by the CM. The CMTS will ignore unknown values or unsupported
        algorithms."
     DEFVAL { "aes128CbcMode des56CbcMode des40CbcMode" }
     ::= { docsSecCmtsEncrypt 1 }

docsSecCmtsCmEaeExclusionTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsSecCmtsCmEaeExclusionEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object defines a list of CMs or CM groups to exclude
        from Early Authentication and Encryption (EAE).
        This object allows overrides to the value of EAE Control
        for individual CMs or group of CMs for purposes
        such as debugging. The CMTS supports a minimum of
        30 instances of the CmtsCmEaeExclusion object.
        This object is only applicable when the EarlyAuthEncryptCtrl
        attribute of the MdCfg object is enabled.

        This object supports the creation and deletion of multiple
        instances."
     REFERENCE
         "DOCSIS 3.0 Operations Support System Interface
         Specification CM-SP-OSSIv3.0-I01-061207,
         MdCfg Object Section in the Media Access Control (MAC)
         Requirements Annex.
         DOCSIS 3.0 Security Specification
         CM-SP-SECv3.0-I01-060804, Early Authentication And
         Encryption (EAE) Section."
     ::= { docsSecMibObjects 3}

docsSecCmtsCmEaeExclusionEntry OBJECT-TYPE
     SYNTAX      DocsSecCmtsCmEaeExclusionEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsSecCmtsCmEaeExclusion.
          The CMTS persists all instances of CmtsCmEaeExclusion
          across reinitializations."
     INDEX {
             docsSecCmtsCmEaeExclusionId
           }
     ::= { docsSecCmtsCmEaeExclusionTable 1 }

DocsSecCmtsCmEaeExclusionEntry ::= SEQUENCE {
     docsSecCmtsCmEaeExclusionId
```

```
                Unsigned32,
     docsSecCmtsCmEaeExclusionMacAddr
                MacAddress,
     docsSecCmtsCmEaeExclusionMacAddrMask
                MacAddress,
     docsSecCmtsCmEaeExclusionRowStatus
                RowStatus
     }

docsSecCmtsCmEaeExclusionId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..4294967295)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key uniquely identifies the exclusion MAC address
        rule."
     ::= { docsSecCmtsCmEaeExclusionEntry 1 }

docsSecCmtsCmEaeExclusionMacAddr OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute identifies the CM MAC address. A match
        is made when a CM MAC address bitwise ANDed with the
        MacAddrMask attribute equals the value of this attribute."
     DEFVAL { '000000000000'H }
     ::= { docsSecCmtsCmEaeExclusionEntry 2 }

docsSecCmtsCmEaeExclusionMacAddrMask OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute identifies the CM MAC address mask
        and is used with the MacAddr attribute."
     DEFVAL { 'FFFFFFFFFFFF'H }
     ::= { docsSecCmtsCmEaeExclusionEntry 3 }

docsSecCmtsCmEaeExclusionRowStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "Controls and reflects the status of rows in this
        table. There is no restriction on changing values in
        a row of this table while the row is active."
     ::= { docsSecCmtsCmEaeExclusionEntry 4 }

docsSecCmtsSavControl OBJECT IDENTIFIER ::= { docsSecMibObjects 4 }

docsSecCmtsSavControlCmAuthEnable OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute enables or disables Source Address
        Verification (SAV) for CM configured policies in the
        SavCmAuth object. If this attribute is set to 'false',
        the CM configured policies in the SavCmAuth object
        are ignored.
        This attribute is only applicable when the
        SrcAddrVerificationEnabled attribute of the MdCfg object is
        'true'."
     REFERENCE
        "DOCSIS 3.0 Operations Support System Interface
         Specification CM-SP-OSSIv3.0-I01-061207,
```

```
          MdCfg Object Section in the Media Access Control (MAC)
          Requirements Annex."
      DEFVAL { true }
      ::= { docsSecCmtsSavControl 1 }

docsSecSavCmAuthTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsSecSavCmAuthEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object defines a read-only set of SAV policies
         associated with a CM that the CMTS will use in addition
         to the CMTS verification of an operator assigned IP
         Address being associated with a CM. When the CMTS has
         not resolved a source address of a CM CPE, the CMTS verifies
         if the CM CPE is authorized to pass traffic based
         on this object. These object policies include a list
         of subnet prefixes (defined in the SavStaticList
         object) or a SAV Group Name that could reference a CMTS
         configured list of subnet prefixes (defined in SavCfgList
         object) or vendor-specific policies. The CMTS
         populates the attributes of this object for a CM from
         that CM's config file.
         This object is only applicable when the
         SrcAddrVerificationEnabled attribute of the MdCfg object is
         'true' and the CmAuthEnable attribute of the CmtsSavCtrl
         object is 'true'.
         The CMTS is not required to persist instances of this
         object across reinitializations."
      REFERENCE
         "DOCSIS 3.0 Operations Support System Interface
          Specification CM-SP-OSSIv3.0-I01-061207,
          MdCfg Object Section in the Media Access Control (MAC)
          Requirements Annex.
          DOCSIS 3.0 Security Specification
          CM-SP-SECv3.0-I01-060804, Secure Provisioning Section.
          DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804,
          Common Radio Frequency Interface Encodings Annex."
      ::= { docsSecMibObjects 5}

docsSecSavCmAuthEntry OBJECT-TYPE
      SYNTAX      DocsSecSavCmAuthEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsSecSavCmAuth."
      INDEX {
              docsIf3CmtsCmRegStatusId
            }
      ::= { docsSecSavCmAuthTable 1 }

DocsSecSavCmAuthEntry ::= SEQUENCE {
      docsSecSavCmAuthGrpName
                   SnmpAdminString,
      docsSecSavCmAuthStaticPrefixListId
                   Unsigned32
      }

docsSecSavCmAuthGrpName OBJECT-TYPE
      SYNTAX      SnmpAdminString
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute references the Name attribute of the
         SavCfgList object of a CM. If the CM signaled group
         name is not configured in the CMTS, the CMTS ignores this
```

```
        attribute value for the purpose of Source Address
        Verification. The CMTS must allow the modification
        of the GrpName object and use the updated SAV rules for
        newly discovered CPEs from CMs. When a source IP address
        is claimed by two CMs (e.g., detected as duplicated),
        the CMTS must use the current SAV rules defined
        for both CMs in case the SAV GrpName rules may have been
        updated. In the case of a persisting conflict, it is
        up to vendor-implementation to decide what CM should
        hold the SAV authorization.
        The zero-length string indicates that no SAV Group was
        signaled by the CM. The zero-length value or a non-existing
        reference in the SavCfgList object means the
        SavCfgListName is ignored for the purpose of SAV."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I01-060804,
         Common Radio Frequency Interface Encodings Annex."
     ::= { docsSecSavCmAuthEntry 1 }

docsSecSavCmAuthStaticPrefixListId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute identifies the reference to a CMTS
        created subnet prefix list based on the CM signaled static
        prefix list TLV elements. The CMTS may reuse this
        attribute value to reference more than one CM when
        those CMs have signaled the same subnet prefix list to
        the CMTS.
        The value zero indicates that no SAV static prefix encodings
        were signaled by the CM."
     ::= { docsSecSavCmAuthEntry 2 }

docsSecSavCfgListTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsSecSavCfgListEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object defines the CMTS configured subnet prefix
        extension to the SavCmAuth object.
        This object supports the creation and deletion of multiple
        instances.
        Creation of a new instance of this object requires the
        PrefixAddrType and PrefixAddr attributes to be set."
     ::= { docsSecMibObjects 6}

docsSecSavCfgListEntry OBJECT-TYPE
     SYNTAX      DocsSecSavCfgListEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsSecSavCfgList.
          The CMTS persists all instances of SavCfgList
          across reinitializations."
     INDEX {
             docsSecSavCfgListName,
             docsSecSavCfgListRuleId
           }
     ::= { docsSecSavCfgListTable 1 }

DocsSecSavCfgListEntry ::= SEQUENCE {
     docsSecSavCfgListName
                  SnmpAdminString,
     docsSecSavCfgListRuleId
                  Unsigned32,
```

```
     docsSecSavCfgListPrefixAddrType
                 InetAddressType,
     docsSecSavCfgListPrefixAddr
                 InetAddress,
     docsSecSavCfgListPrefixLen
                 InetAddressPrefixLength,
     docsSecSavCfgListRowStatus
                 RowStatus
     }

docsSecSavCfgListName OBJECT-TYPE
     SYNTAX      SnmpAdminString (SIZE (1..16))
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute is the key that identifies the instance
        of the SavCmAuth object to which this object extension
        belongs."
     ::= { docsSecSavCfgListEntry 1 }

docsSecSavCfgListRuleId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..4294967295)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute is the key that identifies a particular
        subnet prefix rule of an instance of this object."
     ::= { docsSecSavCfgListEntry 2 }

docsSecSavCfgListPrefixAddrType OBJECT-TYPE
     SYNTAX      InetAddressType
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute identifies the IP address type of this
        subnet prefix rule."
     ::= { docsSecSavCfgListEntry 3 }

docsSecSavCfgListPrefixAddr OBJECT-TYPE
     SYNTAX      InetAddress
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute corresponds to the IP address of this
        subnet prefix rule in accordance to the PrefixAddrType
        attribute."
     ::= { docsSecSavCfgListEntry 4 }

docsSecSavCfgListPrefixLen OBJECT-TYPE
     SYNTAX      InetAddressPrefixLength
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute defines the length of the subnet prefix
        to be matched by this rule."
     ::= { docsSecSavCfgListEntry 5 }

docsSecSavCfgListRowStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "The row creation control of this conceptual row.
        An entry in this table can be set to active
        only when the following attributes are correctly
        assigned:
           PrefixAddrType
```

```
            PrefixAddress
         There are no restrictions to modify or delete
         entries in this table."
      ::= { docsSecSavCfgListEntry 6 }



docsSecSavStaticListTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsSecSavStaticListEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object defines a subnet prefix extension to the
         SavCmAuth object based on CM statically signaled
         subnet prefixes to the CMTS.
         When a CM signals to the CMTS static subnet prefixes,
         the CMTS must create a List Id to be referenced by the CM
         in the SavCmAuth StaticPrefixListId attribute, or
         the CMTS may reference an existing List Id associated
         to previously registered CMs in case of those subnet
         prefixes associated with the List Id match the ones
         signaled by the CM."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I01-060804,
         Common Radio Frequency Interface Encodings Annex."
      ::= { docsSecMibObjects 7}

docsSecSavStaticListEntry OBJECT-TYPE
      SYNTAX      DocsSecSavStaticListEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsSecSavStaticList.
           The CMTS may persist instances of this object
           across reinitializations."
      INDEX {
              docsSecSavStaticListId,
              docsSecSavStaticListRuleId
            }
      ::= { docsSecSavStaticListTable 1 }

DocsSecSavStaticListEntry ::= SEQUENCE {
      docsSecSavStaticListId
                   Unsigned32,
      docsSecSavStaticListRuleId
                   Unsigned32,
      docsSecSavStaticListPrefixAddrType
                   InetAddressType,
      docsSecSavStaticListPrefixAddr
                   InetAddress,
      docsSecSavStaticListPrefixLen
                   InetAddressPrefixLength
      }

docsSecSavStaticListId OBJECT-TYPE
      SYNTAX      Unsigned32 (1..4294967295)
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This key uniquely identifies the index that groups
         multiple subnet prefix rules. The CMTS assigns this
         value per CM or may reuse it among multiple CMs that share
         the same list of subnet prefixes."
      ::= { docsSecSavStaticListEntry 1 }

docsSecSavStaticListRuleId OBJECT-TYPE
```

```
      SYNTAX      Unsigned32 (1..4294967295)
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This key identifies a particular static subnet prefix
         rule of an instance of this object."
      ::= { docsSecSavStaticListEntry 2 }

docsSecSavStaticListPrefixAddrType OBJECT-TYPE
      SYNTAX      InetAddressType
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute identifies the IP address type of this
         subnet prefix rule."
      ::= { docsSecSavStaticListEntry 3 }

docsSecSavStaticListPrefixAddr OBJECT-TYPE
      SYNTAX      InetAddress
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute corresponds to the IP address of this
         subnet prefix rule in accordance to the PrefixAddrType
         attribute."
      ::= { docsSecSavStaticListEntry 4 }

docsSecSavStaticListPrefixLen OBJECT-TYPE
      SYNTAX      InetAddressPrefixLength
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute defines the length of the subnet prefix
         to be matched by this rule."
      ::= { docsSecSavStaticListEntry 5 }

docsSecCmtsCmSavStatsTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsSecCmtsCmSavStatsEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object provides a read-only list of SAV counters
         for different service theft indications."
      ::= { docsSecMibObjects 8}

docsSecCmtsCmSavStatsEntry OBJECT-TYPE
      SYNTAX      DocsSecCmtsCmSavStatsEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsSecCmtsCmSavStats."
      AUGMENTS { docsIf3CmtsCmRegStatusEntry }
      ::= { docsSecCmtsCmSavStatsTable 1 }

DocsSecCmtsCmSavStatsEntry ::= SEQUENCE {
      docsSecCmtsCmSavStatsSavDiscards
                   Counter32
      }

docsSecCmtsCmSavStatsSavDiscards OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute provides the information about number
         of dropped upstream packets due to SAV failure."
      ::= { docsSecCmtsCmSavStatsEntry 1 }
```

```
docsSecCmtsCertificate OBJECT IDENTIFIER ::= { docsSecMibObjects 9 }

docsSecCmtsCertificateCertRevocationMethod OBJECT-TYPE
     SYNTAX      INTEGER {
                           none(1),
                           crl(2),
                           ocsp(3),
                           crlAndOcsp(4)
                         }
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute identifies which certificate revocation
        method is to be used by the CMTS to verify the cable
        modem certificate validity. The certificate revocation
        methods include Certification Revocation
        List (CRL) and Online Certificate Status Protocol
        (OCSP).
        The following options are available:
        The option 'none' indicates that the CMTS does not attempt
        to determine the revocation status of a certificate.

        The option 'crl' indicates the CMTS uses a Certificate
        Revocation List (CRL) as defined by the Url attribute
        of the CmtsCertRevocationList object. When the
        value of this attribute is changed to 'crl', it triggers
        the CMTS to retrieve the CRL from the URL specified
        by the Url attribute. If the value of this attribute
        is 'crl' when the CMTS starts up, it triggers the CMTS
        to retrieve the CRL from the URL specified by the Url attribute.

        The option 'ocsp' indicates the CMTS uses the Online
        Certificate Status Protocol (OCSP) as defined by the
        Url attribute of the CmtsOnlineCertStatusProtocol
        object.

        The option 'crlAndOcsp' indicates the CMTS uses both
        the CRL as defined by the Url attribute in the
        CmtsCertRevocationList object and OCSP as defined by the Url
        attribute in the CmtsOnlineCertStatusProtocol
        object.
        The CMTS persists the values of the CertRevocationMethod
        attribute across reinitializations."
     DEFVAL { none }
     ::= { docsSecCmtsCertificate 1 }

docsSecCmtsCertRevocationList OBJECT IDENTIFIER
                                          ::= { docsSecMibObjects 10 }

docsSecCmtsCertRevocationListUrl OBJECT-TYPE
     SYNTAX      SnmpAdminString
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute contains the URL from where the CMTS
        will retrieve the CRL. When this attribute is set to
        a URL value different from the current value, it triggers
        the CMTS to retrieve the CRL from that URL. If the
        value of this attribute is a zero-length string, the
        CMTS does not attempt to retrieve the CRL.
        The CMTS persists the value of Url across
        reinitializations."
     REFERENCE
         "DOCSIS 3.0 Security Specification
         CM-SP-SECv3.0-I01-060804, BPI+ X.509 Certificate Profile
         and Management Section."
```

```
     DEFVAL { "" }
     ::= { docsSecCmtsCertRevocationList 1 }

docsSecCmtsCertRevocationListRefreshInterval OBJECT-TYPE
     SYNTAX      Unsigned32 (1..524160)
     UNITS       "minutes"
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute contains the refresh interval for
        the CMTS to retrieve the CRL (referred to in the Url attribute)
        with the purpose of updating its Certificate
        Revocation List. This attribute is meaningful if
        the tbsCertList.nextUpdate attribute does not exist
        in the last retrieved CRL, otherwise the value 0 is
        returned.
        The CMTS persists the value of RefreshInterval across
        reinitializations."
     REFERENCE
         "DOCSIS 3.0 Security Specification
         CM-SP-SECv3.0-I01-060804, BPI+ X.509 Certificate Profile
         and Management Section."
     DEFVAL { 10080 }
     ::= { docsSecCmtsCertRevocationList 2 }

docsSecCmtsCertRevocationListLastUpdate OBJECT-TYPE
     SYNTAX      DateAndTime
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute contains the last date and time when
        the CRL was retrieved by the CMTS. This attribute returns
        the initial EPOC time if the CRL has not being updated.
        The CMTS persists the value of LastUpdate across
        reinitializations."
     ::= { docsSecCmtsCertRevocationList 3 }

docsSecCmtsOnlineCertStatusProtocol OBJECT IDENTIFIER
                                          ::= { docsSecMibObjects 11 }

docsSecCmtsOnlineCertStatusProtocolUrl OBJECT-TYPE
     SYNTAX      SnmpAdminString
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute contains the URL string to retrieve
        OCSP information. If the value of this attribute is
        a zero-length string, the CMTS does not attempt to request
        the status of a CM certificate.
        The CMTS persists the value of Url across
        reinitializations."
     REFERENCE
         "DOCSIS 3.0 Security Specification
         CM-SP-SECv3.0-I01-060804, BPI+ X.509 Certificate Profile
         and Management Section.
         RFC 2560."
     DEFVAL { "" }
     ::= { docsSecCmtsOnlineCertStatusProtocol 1 }

docsSecCmtsOnlineCertStatusProtocolSignatureBypass OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute enables or disables signature checking
        on OCSP response messages.
        The CMTS persists the value of SignatureBypass across
```

```
        reinitializations."
      REFERENCE
          "DOCSIS 3.0 Security Specification
          CM-SP-SECv3.0-I01-060804, BPI+ X.509 Certificate Profile
          and Management Section.
          RFC 2560."
      DEFVAL { false }
      ::= { docsSecCmtsOnlineCertStatusProtocol 2 }


-- Conformance Definitions
docsSecMibConformance OBJECT IDENTIFIER ::= { docsSecMib 2 }
docsSecMibCompliances OBJECT IDENTIFIER ::= { docsSecMibConformance 1 }
docsSecMibGroups      OBJECT IDENTIFIER ::= { docsSecMibConformance 2 }

docsSecCompliance MODULE-COMPLIANCE
STATUS      current
DESCRIPTION
        "The compliance statement for devices that implement the DOCSIS
         Security MIB."

    MODULE -- this MODULE
MANDATORY-GROUPS {
     docsSecGroup
     }

::= { docsSecMibCompliances 1 }

docsSecGroup OBJECT-GROUP
    OBJECTS {
     docsSecCmtsCertRevocationListUrl,
     docsSecCmtsCertRevocationListRefreshInterval,
     docsSecCmtsCertRevocationListLastUpdate,
     docsSecCmtsOnlineCertStatusProtocolUrl,
     docsSecCmtsOnlineCertStatusProtocolSignatureBypass,
     docsSecCmtsServerCfgTftpOptions,
     docsSecCmtsServerCfgConfigFileLearningEnable,
     docsSecCmtsEncryptEncryptAlgPriority,
     docsSecCmtsSavControlCmAuthEnable,
     docsSecCmtsCmEaeExclusionMacAddr,
     docsSecCmtsCmEaeExclusionMacAddrMask,
     docsSecCmtsCmEaeExclusionRowStatus,
     docsSecSavCmAuthGrpName,
     docsSecSavCmAuthStaticPrefixListId,
     docsSecSavCfgListPrefixAddrType,
     docsSecSavCfgListPrefixAddr,
     docsSecSavCfgListPrefixLen,
     docsSecSavCfgListRowStatus,
     docsSecSavStaticListPrefixAddrType,
     docsSecSavStaticListPrefixAddr,
     docsSecSavStaticListPrefixLen,
     docsSecCmtsCmSavStatsSavDiscards,
     docsSecCmtsCertificateCertRevocationMethod
     }
    STATUS      current
    DESCRIPTION
         "Group of objects implemented in the CMTS."
    ::= { docsSecMibGroups 1 }
END
```

Q.4 DOCS-MCAST-MIB[169]

```
DOCS-MCAST-MIB DEFINITIONS ::= BEGIN
  IMPORTS
    MODULE-IDENTITY,
    OBJECT-TYPE,
    Unsigned32
             FROM SNMPv2-SMI          -- RFC 2578
    RowStatus,
    TruthValue
             FROM SNMPv2-TC           -- RFC 2579
    OBJECT-GROUP,
    MODULE-COMPLIANCE
             FROM SNMPv2-CONF         -- RFC 2580
    SnmpAdminString
             FROM SNMP-FRAMEWORK-MIB  -- RFC 3411
    InetAddressType,
    InetAddress,
    InetAddressPrefixLength
             FROM INET-ADDRESS-MIB    -- RFC 4001
    InterfaceIndex,
    ifIndex
             FROM IF-MIB              -- RFC 2863
    DocsBpkmDataEncryptAlg,
    DocsSAId
             FROM DOCS-IETF-BPI2-MIB  -- RFC 4131
    ChSetId,
    Dsid
             FROM DOCS-IF3-MIB
    clabProjDocsis
             FROM CLAB-DEF-MIB;

docsMcastMib MODULE-IDENTITY
     LAST-UPDATED    "200708030000Z" -- August 3, 2007
     ORGANIZATION    "Cable Television Laboratories, Inc."
     CONTACT-INFO
         "Postal: Cable Television Laboratories, Inc.
         858 Coal Creek Circle
         Louisville, Colorado 80027-9750
         U.S.A.
         Phone: +1 303-661-9100
         Fax:   +1 303-661-9199
         E-mail: mibs@cablelabs.com"
     DESCRIPTION
        "This MIB module contains the management objects for the
        management of Multicast over DOCSIS to support Multicast
        DSID forwarding and or bonded multicast."
     REVISION        "200708030000Z" -- August 3, 2007
    DESCRIPTION
            "Revised Version includes ECNs
             OSSIv3.0-N-07.0499-1
             OSSIv3.0-N-07.0473-3
             and is published as I04"
     REVISION        "200612071700Z" -- Dec 7, 2006
     DESCRIPTION
        "Initial version, published as part of the CableLabs
        OSSIv3.0 specification CM-SP-OSSIv3.0-I01-061207
        Copyright 1999-2006 Cable Television Laboratories, Inc.
        All rights reserved."
     ::= {  clabProjDocsis 18}

-- Textual Conventions
```

[169] Section revised per OSSIv3.0-N-07.0411-3 #8 & #9 on 5/7/07 by KN and per OSSIv3.0-N-07.0445-3, #10 on 5/10/07 by KN, and per OSSIv3.0-N-07.0473-3 by ab on 7/12/07, and per OSSIv3.0-N-07.0499-1 by ab on 7/17/07, and per OSSIv3.0-N-07.0550-2 by ab on 11/9/07, and per OSSIv3.0-N-07.0551-4 by ab on 11/12/07.

```
-- Object Definitions
docsMcastMibObjects  OBJECT IDENTIFIER ::= { docsMcastMib 1 }

docsMcastCmtsGrpCfgTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsMcastCmtsGrpCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object controls the QoS, PHS and encryption settings
        for downstream forwarding of IP multicast sessions.
        An IP multicast session is replicated to one
        or more Downstream Channel Sets (DCSs), where each
        DCS is either a single downstream channel or a downstream
        bonding group of multiple channels. The CMTS determines
        on which DCSs to replicate a multicast session
        based on IP multicast membership reports ('joins')
        or other vendor-specific static configuration.

        The CmtsGrpCfg object allows for the configuration
        of a range of sessions through the SrcPrefixAddr and
        GrpPrefixAddr and SrcPrefixLen and GrpPrefixLen attributes.

        The CmtsGrpCfg object allows for the configuration
        of QoS, Encryption and PHS for multicast sessions. Cable
        operators can specify configuration rules for
        a range of multicast sessions through the tuple of
        (SrcPrefixAddr,SrcPrefixLen, GrpPrefixAddr, GrpPrefixLen)
        attributes in an entry. The QosConfigId attribute
        identifies the QoS rule, the EncryptionConfigId
        identifies the encryption rule and the PhsConfigId
        identifies the PHS rule for a particular entry.
        Even if an entry indicates a range of multicast sessions
        the Encryption and PHS rules are applied on a per-session
        basis. That is, a separate DSID is assigned
        to an individual multicast session from a range of multicast
        sessions identified by an entry in the CmtsGrpCfg
        object and a PHS rule (indicated by the PhsCfgId)
        is applied individually to each session which is
        identified by the DSID assigned for that session. Similarly,
        a separate SAID is assigned to individual multicast
        session from range identified by the CmtsGrpCfg
        object.
        The CmtsGrpQosConfigQosCtrl attribute from the CmtsGrpQosCfg
        object is used to determine if the traffic
        for a range of multicast sessions identified by an
        entry in the CmtsGrpCfg object will be transmitted in
        an 'Aggregate-Session' Group Service Flow or will
        be transmitted separately for each session using
        'Single-Session' Group Service Flows.  Even if the range
        of multicast sessions are transmitted on an 'Aggregate-Session'
        Group Service Flow, the PHS and Encryption
        rules are always applied individually to a multicast
        session on a per-session DSID basis prior to
        being transmitted on an 'Aggregate-Session' Group
        Service Flow (GSF).

        This object supports the creation and deletion of multiple
        instances.
        Creation of a new instance of this object requires the
        following attributes to be set
        RulePriority
        PrefixAddrType
        SrcPrefixAddr
        SrcPrefixLen
        GrpPrefixAddr
        GrpPrefixLen
```

```
        IpDsLow
        IpDsHigh
        IpDsMask"
     ::= { docsMcastMibObjects 1}

docsMcastCmtsGrpCfgEntry OBJECT-TYPE
     SYNTAX      DocsMcastCmtsGrpCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsMcastCmtsGrpCfg.
          The CMTS persists all instances of the CmtsGrpConfig object
          across system reinitializations."
     INDEX {
             docsMcastCmtsGrpCfgId
           }
     ::= { docsMcastCmtsGrpCfgTable 1 }

DocsMcastCmtsGrpCfgEntry ::= SEQUENCE {
     docsMcastCmtsGrpCfgId
                  Unsigned32,
     docsMcastCmtsGrpCfgRulePriority
                  Unsigned32,
     docsMcastCmtsGrpCfgPrefixAddrType
                  InetAddressType,
     docsMcastCmtsGrpCfgSrcPrefixAddr
                  InetAddress,
     docsMcastCmtsGrpCfgSrcPrefixLen
                  InetAddressPrefixLength,
     docsMcastCmtsGrpCfgGrpPrefixAddr
                  InetAddress,
     docsMcastCmtsGrpCfgGrpPrefixLen
                  InetAddressPrefixLength,
     docsMcastCmtsGrpCfgTosLow
                  OCTET STRING,
     docsMcastCmtsGrpCfgTosHigh
                  OCTET STRING,
     docsMcastCmtsGrpCfgTosMask
                  OCTET STRING,
     docsMcastCmtsGrpCfgQosConfigId
                  Unsigned32,
     docsMcastCmtsGrpCfgEncryptConfigId
                  Unsigned32,
     docsMcastCmtsGrpCfgPhsConfigId
                  Unsigned32,
     docsMcastCmtsGrpCfgRowStatus
                  RowStatus
     }

docsMcastCmtsGrpCfgId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..4294967295)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute represents the unique identifier
        of instances of this object. This attribute is
        the key that identifies unique instances of the CmtsGrpConfig
        object."
     ::= { docsMcastCmtsGrpCfgEntry 1 }

docsMcastCmtsGrpCfgRulePriority OBJECT-TYPE
     SYNTAX      Unsigned32 (0..255)
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute indicates the priority of this entry
        used to resolve which instance of this object apply
```

```
         when a newly replicated multicast session matches
         multiple entries. Higher values indicate a higher
         priority. Valid values for this attribute are 0..63
         and 192..255 in order to not conflict with CMTS
         internally-created instances that use the range
         64..191."
      ::= { docsMcastCmtsGrpCfgEntry 2 }

docsMcastCmtsGrpCfgPrefixAddrType OBJECT-TYPE
      SYNTAX      InetAddressType
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute identifies the address family for
         the multicast session (S,G) of the GC which corresponds
         to the SrcPrefixAddr and GrpPrefixAddr attributes
         respectively."
      ::= { docsMcastCmtsGrpCfgEntry 3 }

docsMcastCmtsGrpCfgSrcPrefixAddr OBJECT-TYPE
      SYNTAX      InetAddress
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute defines the IP source address prefix
         of the IP multicast session.
          Source prefix addresses are unicast host addresses."
      REFERENCE
         "RFC 3569.
          RFC 3306."
      ::= { docsMcastCmtsGrpCfgEntry 4 }

docsMcastCmtsGrpCfgSrcPrefixLen OBJECT-TYPE
      SYNTAX      InetAddressPrefixLength
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute identifies the prefix of a range of
         Source (S) IP multicast group addresses. For Group or
         ASM based sessions this attribute is set to 0."
      DEFVAL { 0 }
      ::= { docsMcastCmtsGrpCfgEntry 5 }

docsMcastCmtsGrpCfgGrpPrefixAddr OBJECT-TYPE
      SYNTAX      InetAddress
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute identifies the prefix of a range of
         destination IP multicast group addresses."
      ::= { docsMcastCmtsGrpCfgEntry 6 }

docsMcastCmtsGrpCfgGrpPrefixLen OBJECT-TYPE
      SYNTAX      InetAddressPrefixLength
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute identifies the prefix of a range of
         IP multicast group addresses."
      REFERENCE
           "DOCSIS 3.0 Operation and Support System Interface
            Specification CM-SP-OSSIv3.0-I01-061207, Multicast QoS
            Configuration Object Model section."

      DEFVAL { 0 }
      ::= { docsMcastCmtsGrpCfgEntry 7 }
```

```
docsMcastCmtsGrpCfgTosLow OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE (1))
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute identifies the low value of a range
        of  the TOS byte value to be defined in a packet classifier
        this GC instantiates in the GCR in order to limit the
        GCR-matched traffic to a particular set of DSCPs.
         This applies to the IPv4 TOS byte and the IPv6 Traffic
        Class byte.
        The IP TOS octet, as originally defined in RFC 791, has
        been superseded by the 6-bit Differentiated Services
        Field and the 2-bit Explicit Congestion Notification
        Field."
     REFERENCE
        "RFC 791.
         RFC 3260.
         RFC3168."
     ::= { docsMcastCmtsGrpCfgEntry 8 }

docsMcastCmtsGrpCfgTosHigh OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE(1))
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute identifies the high value of a range
        of  the TOS byte value to be defined in a packet classifier
        this GC instantiates in the GCR in order to limit
        the GCR-matched traffic to a particular set of DSCPs.
        This applies to the IPv4 TOS byte and the IPv6 Traffic
        Class byte.
        The IP TOS octet, as originally defined in RFC 791, has
        been superseded by the 6-bit Differentiated Services
        Field (DSField, RFC 3260) and the 2-bit Explicit
        Congestion Notification Field (ECN field, RFC 3168)."
     REFERENCE
        "RFC 791.
         RFC 3260.
         RFC 3168."
     ::= { docsMcastCmtsGrpCfgEntry 9 }

docsMcastCmtsGrpCfgTosMask OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE(1))
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute identifies the mask value bitwise
        ANDed with a TOS byte value to be defined in a packet classifier
        this GC instantiates in the GCR in order to limit
        the GCR-matched traffic to a particular set of DSCPs.
         This applies to the IPv4 TOS byte and the IPv6 Traffic
        Class byte.
        The IP TOS octet, as originally defined in RFC 791, has
        been superseded by the 6-bit Differentiated Services
        Field (DSField, RFC 3260) and the 2-bit Explicit
        Congestion Notification Field (ECN field, RFC 3168)."
     REFERENCE
        "RFC 791.
         RFC 3260.
         RFC 3168."
     ::= { docsMcastCmtsGrpCfgEntry 10 }

docsMcastCmtsGrpCfgQosConfigId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     MAX-ACCESS  read-create
     STATUS      current
```

```
      DESCRIPTION
         "This attribute identifies an instance in CmtsGrpQosCfg
         for configuring the QoS for the replication
         of the sessions matching this CmtsGrpCfg instance.
         The value 0 indicates that all replications referenced
         by this CmtsGrpCfg instance will be forwarded to the
         default GSF."
      REFERENCE
                  "DOCSIS 3.0 Operation and Support System Interface
                  Specification CM-SP-OSSIv3.0-I04-070803, Multicast QoS
                  Configuration Object Model section."

      DEFVAL { 0 }
      ::= { docsMcastCmtsGrpCfgEntry 11 }

docsMcastCmtsGrpCfgEncryptConfigId OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute identifies an instance in CmtsGrpEncryptionCfg
         for configuring the encryption of replicationsderived
         from this GC.
         The value 0 indicates no encryption for all replications
         derived from this GC."
      REFERENCE
                  "DOCSIS 3.0 Operation and Support System Interface
                  Specification CM-SP-OSSIv3.0-I01-061207, Multicast QoS
                  Configuration Object Model section."

      DEFVAL { 0 }
      ::= { docsMcastCmtsGrpCfgEntry 12 }

docsMcastCmtsGrpCfgPhsConfigId OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute identifies an instance in CmtsGrpPhsCfg
         that configures DSID-indexed PHS compression
         for all replications derived from this GC.
         The value 0 indicates no PHS compression for all replications
         derived from this GC."
      REFERENCE
                  "DOCSIS 3.0 Operation and Support System Interface
                   Specification CM-SP-OSSIv3.0-I01-061207, Multicast QoS
                   Configuration Object Model section."

      DEFVAL { 0 }
      ::= { docsMcastCmtsGrpCfgEntry 13 }

docsMcastCmtsGrpCfgRowStatus OBJECT-TYPE
      SYNTAX      RowStatus
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "The status of this instance."
      ::= { docsMcastCmtsGrpCfgEntry 14 }

docsMcastCmtsGrpEncryptCfgTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsMcastCmtsGrpEncryptCfgEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object controls the configuration of the Security
         Association (SA) and the encryption algorithm
         used for multicast sessions.
```

```
        This object supports the creation and deletion of instances."
     ::= { docsMcastMibObjects 2}

docsMcastCmtsGrpEncryptCfgEntry OBJECT-TYPE
     SYNTAX      DocsMcastCmtsGrpEncryptCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsMcastCmtsGrpEncryptCfg.
         The CMTS persists all instances of the CmtsGrpEncryptionConfig
         object across system reinitializations."
     INDEX {
             docsMcastCmtsGrpEncryptCfgId
           }
     ::= { docsMcastCmtsGrpEncryptCfgTable 1 }

DocsMcastCmtsGrpEncryptCfgEntry ::= SEQUENCE {
     docsMcastCmtsGrpEncryptCfgId
                  Unsigned32,
     docsMcastCmtsGrpEncryptCfgCtrl
                  INTEGER,
     docsMcastCmtsGrpEncryptCfgAlg
                  DocsBpkmDataEncryptAlg,
     docsMcastCmtsGrpEncryptCfgRowStatus
                  RowStatus
     }

docsMcastCmtsGrpEncryptCfgId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute specifies the unique identifier of
        instances of this object"
     ::= { docsMcastCmtsGrpEncryptCfgEntry 1 }

docsMcastCmtsGrpEncryptCfgCtrl OBJECT-TYPE
     SYNTAX      INTEGER {
                           cmts(1),
                           mgmt(2)
                         }
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute controls whether the CMTS can select
        the encryption algorithm or if this can be set manually
        using the Alg attribute.  If this attribute is set
        to 'cmts', the CMTS can select the encryption algorithm
        for the Security Association (SA).  If this attribute
        is set to 'mgmt', the Alg attribute is used to define
        the encryption algorithm for this SA."
     DEFVAL { mgmt }
     ::= { docsMcastCmtsGrpEncryptCfgEntry 2 }

docsMcastCmtsGrpEncryptCfgAlg OBJECT-TYPE
     SYNTAX      DocsBpkmDataEncryptAlg
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute defines which encryption algorithm
        will be used for an SA referenced by this object when
        the Ctrl is set to 'mgmt'."
     REFERENCE
        "DOCSIS 3.0 Security Specification
         CM-SP-SECv3.0-I01-060804,Security-Capabilities
         section."
     DEFVAL { des56CbcMode }
```

```
     ::= { docsMcastCmtsGrpEncryptCfgEntry 3 }

docsMcastCmtsGrpEncryptCfgRowStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "The status of this instance."
     ::= { docsMcastCmtsGrpEncryptCfgEntry 4 }

docsMcastCmtsGrpPhsCfgTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsMcastCmtsGrpPhsCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object controls the configuration of DSID-indexed
        PHS for multicast sessions. Configuration of
        PHS Rules via this table must not be based on the configuration
        of a range of sessions, but must be configured
        on a single multicast session.
        Creation of multple instances of this object require
        the following attributes to be set:
        PhsField
        PhsMask
        PhsSize"
     ::= { docsMcastMibObjects 3}

docsMcastCmtsGrpPhsCfgEntry OBJECT-TYPE
     SYNTAX      DocsMcastCmtsGrpPhsCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsMcastCmtsGrpPhsCfg.
         The CMTS persists all instances of the CmtsGrpPhsConfig
         object across system reinitializations."
     INDEX {
             docsMcastCmtsGrpPhsCfgId
           }
     ::= { docsMcastCmtsGrpPhsCfgTable 1 }

DocsMcastCmtsGrpPhsCfgEntry ::= SEQUENCE {
     docsMcastCmtsGrpPhsCfgId
                  Unsigned32,
     docsMcastCmtsGrpPhsCfgPhsField
                  OCTET STRING,
     docsMcastCmtsGrpPhsCfgPhsMask
                  OCTET STRING,
     docsMcastCmtsGrpPhsCfgPhsSize
                  Unsigned32,
     docsMcastCmtsGrpPhsCfgPhsVerify
                  TruthValue,
     docsMcastCmtsGrpPhsCfgRowStatus
                  RowStatus
     }

docsMcastCmtsGrpPhsCfgId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute identifies the unique identifier
        of a PHS rule that is referenced by the GrpConfig object."
     ::= { docsMcastCmtsGrpPhsCfgEntry 1 }

docsMcastCmtsGrpPhsCfgPhsField OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE (0..255))
     MAX-ACCESS  read-create
```

```
      STATUS      current
      DESCRIPTION
         "This attribute defines the bytes of the DOCSIS header
         which must be suppressed/restored by the sending/receiving
         device."
      ::= { docsMcastCmtsGrpPhsCfgEntry 2 }

docsMcastCmtsGrpPhsCfgPhsMask OBJECT-TYPE
      SYNTAX      OCTET STRING (SIZE (0..32))
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute defines the bit mask which is used in
         combination with the PhsField  to define which bytes
         in header must be suppressed/restored by the sending
         or receiving device.
         Each bit of this bit mask corresponds to a byte in the
         PhsField, with the least significant bit corresponding
         to the first byte of the PhsField.
         Each bit of the bit mask specifies whether or not the
         corresponding byte should be suppressed in the packet.
         A bit value of '1' indicates that the byte should be
         suppressed by the sending device and restored by the
         receiving device.
         A bit value of '0' indicates that the byte should not be
         suppressed by the sending device or restored by the
         receiving device.
         If the bit mask does not contain a bit for each byte in the
         PhsField then the bit mask is extended with bit values
         of '1' to be the  necessary length."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804,
          Payload Header Suppression Encodings section and
          Payload Header Suppression Mask (PHSM) in the Common
          Radio Frequency Interface Encodings Annex."
      ::= { docsMcastCmtsGrpPhsCfgEntry 3 }

docsMcastCmtsGrpPhsCfgPhsSize OBJECT-TYPE
      SYNTAX      Unsigned32 (0..255)
      UNITS       "Bytes"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute specifies the number of bytes in the
         header to be suppressed and restored.
         The value of this object matches the number of bytes the
         bits indicated in the PhsField attribute."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804, Payload Header
          Suppression Encodings section and Payload Header Suppression
          Size (PHSS) section in the Common Radio Frequency Interface
          Encodings Annex."
      ::= { docsMcastCmtsGrpPhsCfgEntry 4 }

docsMcastCmtsGrpPhsCfgPhsVerify OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute specifies the Payload Header Suppression
         verification value of 'true' the sender must
         verify PhsField is the same as what is contained in the
         packet to be suppressed."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
```

```
          Specification CM-SP-MULPIv3.0-I01-060804, Payload Header
          Suppression Encodings section and Payload Header Suppression
          Size (PHSS) section in the Common Radio Frequency Interface
          Encodings Annex."
      DEFVAL { false }
      ::= { docsMcastCmtsGrpPhsCfgEntry 5 }

docsMcastCmtsGrpPhsCfgRowStatus OBJECT-TYPE
      SYNTAX      RowStatus
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "The status of this instance."
      ::= { docsMcastCmtsGrpPhsCfgEntry 6 }

docsMcastCmtsGrpQosCfgTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsMcastCmtsGrpQosCfgEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object configures the QoS configured for Multicast
         sessions replicated to any Downstream Channel
         Set. It does not control which particular DCSs to which
         the CMTS replicates a multicast session.
         An instance in this object is called a GQC entry. A GQC
         entry controls how the CMTS instantiates a Group Classifier
         Rule (GCR) on the DCS to match packets of the multicast
         session.  A Group Classifier Rule (GCR) uses
         source and destination IP address and ToS criteria.

         A GQC entry controls how and with what QoS parameters
         a Group Service Flow (GSF) is created on a DCS. All downstream
         multicast packets are scheduled on a GSF. The
         QoS Type attribute of the GQC entry controls whether
         the CMTS creates one GSF for each single IP multicast
         session or whether the CMTS creates one GSF for the aggregate
         of all sessions that match the GQC criteria.
          The GQC instance contains a reference to a Service Class
         from docsIetfQosServiceClassTable. The Service
         Class defines the list of QoS parameters for the GSF(s)
         instantiated for the GQC entry.
         A CMTS identifies one Service Class as the Default Group
         QoS Service Class.  The CMTS instantiates a Default
         Group Service Flow on each single-channel DCS based
         on the parameters of the Default Group QoS Service
         Class.
         The set of GCRs and GSFs instantiated on a DCS control
         how QoS is provided to multicast packets replicated
         to the DCS.   For each multicast packet, the CMTS classifies
         the packet to the highest priority matching GCR
         on that DCS. The GCR refers to a single GSF, which controls
         the scheduling of the packets on the DCS.   If the
         multicast packet does not match any GCR on the DCS, the
         packet is scheduled on the Default Group Service Flow
         of the DCS. The CMTS replicates unclassified multicast
         traffic to only DCSs consisting of a single downstream
         channel.  Thus, the Maximum Sustained Traffic
         Rate QoS parameter of the Default Group Service Class
         limits the aggregate rate of unclassified multicast
         traffic on each downstream channel.
         The CMTS is expected to instantiate GCRs and GSFs controlled
         by the entries in this table only for the duration
         of replication of the multicast sessions matching
         the entry.
         This object supports the creation of multiple instances.

         Creation of new instances of this object require the
```

```
        following objects to be set:
        ServiceClassName
        QosCtrl
        AggSessLimit"
     ::= { docsMcastMibObjects 4}

docsMcastCmtsGrpQosCfgEntry OBJECT-TYPE
     SYNTAX      DocsMcastCmtsGrpQosCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsMcastCmtsGrpQosCfg.
         The CMTS persists all instances of the CmtsGrpQosConfig
         object across system reinitialization."
     INDEX {
             docsMcastCmtsGrpQosCfgId
           }
     ::= { docsMcastCmtsGrpQosCfgTable 1 }

DocsMcastCmtsGrpQosCfgEntry ::= SEQUENCE {
     docsMcastCmtsGrpQosCfgId
                  Unsigned32,
     docsMcastCmtsGrpQosCfgServiceClassName
                  SnmpAdminString,
     docsMcastCmtsGrpQosCfgQosCtrl
                  INTEGER,
     docsMcastCmtsGrpQosCfgAggSessLimit
                  Unsigned32,
     docsMcastCmtsGrpQosCfgAppId
                  Unsigned32,
     docsMcastCmtsGrpQosCfgRowStatus
                  RowStatus
     }

docsMcastCmtsGrpQosCfgId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute identifies a unique Group QoS Configuration
        object instance."
     ::= { docsMcastCmtsGrpQosCfgEntry 1 }

docsMcastCmtsGrpQosCfgServiceClassName OBJECT-TYPE
     SYNTAX      SnmpAdminString (SIZE (1..15))
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute identifies the Service Class Name
        reference for the set of QoS parameters for this GQC."
     REFERENCE
                 "DOCSIS 3.0 Operation and Support System Interface
                  Specification CM-SP-OSSIv3.0-I01-061207, Multicast QoS
                 Configuration Object Model section."

     DEFVAL { ''H }
     ::= { docsMcastCmtsGrpQosCfgEntry 2 }

docsMcastCmtsGrpQosCfgQosCtrl OBJECT-TYPE
     SYNTAX      INTEGER {
                           singleSsession(1),
                           aggregateSession(2)
                         }
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute identifies how Group Classifier Rules
```

```
         (GCRs) and Group Service Flows (GSFs) are instantiated
         when multiple sessions match the (S,G) criteria
         of this entry. If 'singleSession', the CMTS creates
         a unique GCR and a unique GSF for the session. If this
         object's value is 'aggregateSession', all sessions
         matching this criterion are aggregated into the
         same GSF."
      REFERENCE
                  "DOCSIS 3.0 Operation and Support System Interface
                   Specification CM-SP-OSSIv3.0-I01-061207, Multicast QoS
         Configuration Object Model section."
      ::= { docsMcastCmtsGrpQosCfgEntry 3 }

docsMcastCmtsGrpQosCfgAggSessLimit OBJECT-TYPE
      SYNTAX      Unsigned32 (1..65535)
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute identifies the maximum number of sessions
         that may be aggregated in an aggregated Service
         Flow. This value is ignored in case of a GQC entry with QosCtrl
         set to 'singleSession'."
      REFERENCE
                  "DOCSIS 3.0 Operation and Support System Interface
                   Specification CM-SP-OSSIv3.0-I01-061207, Multicast QoS
         Configuration Object Model section."
      ::= { docsMcastCmtsGrpQosCfgEntry 4 }

docsMcastCmtsGrpQosCfgAppId OBJECT-TYPE
      SYNTAX      Unsigned32
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute allows the operator to configure a Cable
         Operator defined Application Identifier for multicast
         sessions, e.g., an Application Manager ID and
         Application Type.
         This Application Identifier can be used to influence
         admission control or other policies in the CMTS that
         are outside of the scope of this specification.
         This parameter is optional in defining QoS for
         multicast sessions.
         If the value of this attribute is different from the
         value of the AppId in the referenced SCN for this GQC
         instance, the value of this attribute is used."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I04-070518, Application
         Idenfifier section in the Common TLV Encodings Annex;
         PacketCable Multimedia Specification PKT-SP-MM-I03-051221,
         Policy Server and CMTS Interface section."
      DEFVAL { 0 }
      ::= { docsMcastCmtsGrpQosCfgEntry 5 }

docsMcastCmtsGrpQosCfgRowStatus OBJECT-TYPE
      SYNTAX      RowStatus
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "The status of this instance."
      ::= { docsMcastCmtsGrpQosCfgEntry 6 }

docsMcastCmtsReplSessTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsMcastCmtsReplSessEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
```

```
        "This object describes the replication of IP Multicast
        sessions onto the different Downstream Channel
        Sets of a CMTS.  Each DCS may be either a single downstream
        channel or a bonding group of multiple downstream
        channels. Each IP Multicast session is identified
        by a combination of IP source and IP Destination group
        address '(S,G).  The CMTS replicates each IP packet
        in an (S,G) session onto one or more Downstream Channel
        Sets (DCSs), each of which is implemented in a MAC
        Domain.  The CMTS assigns each replication a Downstream
        Service ID (DSID) that is unique per MAC Domain."
     ::= { docsMcastMibObjects 5}

docsMcastCmtsReplSessEntry OBJECT-TYPE
     SYNTAX      DocsMcastCmtsReplSessEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsMcastCmtsReplSess."
     INDEX {
             docsMcastCmtsReplSessPrefixAddrType,
             docsMcastCmtsReplSessGrpPrefix,
             docsMcastCmtsReplSessSrcPrefix,
             docsMcastCmtsReplSessMdIfIndex,
             docsMcastCmtsReplSessDcsId,
             docsMcastCmtsReplSessServiceFlowId
           }
     ::= { docsMcastCmtsReplSessTable 1 }

DocsMcastCmtsReplSessEntry ::= SEQUENCE {
     docsMcastCmtsReplSessPrefixAddrType
                  InetAddressType,
     docsMcastCmtsReplSessGrpPrefix
                  InetAddress,
     docsMcastCmtsReplSessSrcPrefix
                  InetAddress,
     docsMcastCmtsReplSessMdIfIndex
                  InterfaceIndex,
     docsMcastCmtsReplSessDcsId
                  ChSetId,
     docsMcastCmtsReplSessServiceFlowId
                  Unsigned32,
     docsMcastCmtsReplSessDsid
                  Dsid,
     docsMcastCmtsReplSessSaid
                  DocsSAId
     }

docsMcastCmtsReplSessPrefixAddrType OBJECT-TYPE
     SYNTAX      InetAddressType
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This atrribute defines the address type for the GrpPrefix
        and SrcPrefix addresses."
     ::= { docsMcastCmtsReplSessEntry 1 }

docsMcastCmtsReplSessGrpPrefix OBJECT-TYPE
     SYNTAX      InetAddress
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute defines the group G of a particular
        (S,G) IP multicast session."
     ::= { docsMcastCmtsReplSessEntry 2 }

docsMcastCmtsReplSessSrcPrefix OBJECT-TYPE
```

```
      SYNTAX      InetAddress
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This attribute identifies a specific Multicast Source
         Address.  A Source Address that is all zeros is defined
         as 'all source addresses  (*, G)'."
      REFERENCE
         "RFC 3569.
          RFC 3306."
      ::= { docsMcastCmtsReplSessEntry 3 }

docsMcastCmtsReplSessMdIfIndex OBJECT-TYPE
      SYNTAX      InterfaceIndex
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This attribute defines the MAC Domain Interface index
         of the channel to which the (S,G) session is replicated."
      ::= { docsMcastCmtsReplSessEntry 4 }

docsMcastCmtsReplSessDcsId OBJECT-TYPE
      SYNTAX      ChSetId
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This attribute provides the reference for the Downstream
         Channel Set within a MAC Domain that the multicast
         session (S,G) is replicated to."
      ::= { docsMcastCmtsReplSessEntry 5 }

docsMcastCmtsReplSessServiceFlowId OBJECT-TYPE
      SYNTAX      Unsigned32 (1..4294967295)
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This attribute indicates the service flow into which
         packets are classified for this replication of the
         multicast session (S,G)."
      ::= { docsMcastCmtsReplSessEntry 6 }

docsMcastCmtsReplSessDsid OBJECT-TYPE
      SYNTAX      Dsid
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute defines the Downstream Service ID
         (DSID) label with which the CMTS labels all packets of
         the (S,G) session on the DCS of a MAC Domain.   The DSID
         value is unique per MAC domain."
      ::= { docsMcastCmtsReplSessEntry 7 }

docsMcastCmtsReplSessSaid OBJECT-TYPE
      SYNTAX      DocsSAId
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute defines the Security Association
         ID (SAID) of this multicast replication session. The
         value 0 indicates no SAID assocated with this session."
      ::= { docsMcastCmtsReplSessEntry 8 }

docsMcastDefGrpSvcClass OBJECT IDENTIFIER ::= { docsMcastMibObjects 6 }

docsMcastDefGrpSvcClassDef OBJECT-TYPE
      SYNTAX      SnmpAdminString (SIZE (0..15))
      MAX-ACCESS  read-write
```

```
     STATUS      current
     DESCRIPTION
        "This attribute references an instance of the SNMP
        table docsIetfQosServiceClassName object. This attribute
        is used to expand the QoS parameter Set of QoS
        for multicast sessions that uses a default QoS policy.
        The CMTS persists all instances of this attribute across
        reinitializations."
     REFERENCE
        "RFC 4323."
     DEFVAL { ''H }
     ::= { docsMcastDefGrpSvcClass 1 }

docsMcastDsidPhsTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsMcastDsidPhsEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object reports the set of DSID-Indexed PHS rules
        that are signaled between the CMTS and CMs as part
        of the  Multicast Sessions setup. The attributes PhsMask,
        PhsSize and PhsVerify comes from the configuration
        object CmtsGrpPhsCfg. The value of the PhsField
        attribute is derived by the CMTS from the CmtsGrpCfg
        object parameters, and possibly other IP header informaton
        of the multicast session that the CMTS is capable
        of knowning prior to the multicast session setup.
        In cases where the PhsSize is longer than the CMTS
        knowledge of IP/TCP header fields, the CMTS extends
        the PhsMask with bits set to  0  until reaching the equivalent
        PhsSize value."
     ::= { docsMcastMibObjects 7}

docsMcastDsidPhsEntry OBJECT-TYPE
     SYNTAX      DocsMcastDsidPhsEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsMcastDsidPhs.
         The ifIndex key corresponds to the MAC Domain interface
         where the PHSI-Indexed DSID is configured."
     INDEX {
             ifIndex,
             docsMcastDsidPhsDsid
           }
     ::= { docsMcastDsidPhsTable 1 }

DocsMcastDsidPhsEntry ::= SEQUENCE {
     docsMcastDsidPhsDsid
                  Dsid,
     docsMcastDsidPhsPhsField
                  OCTET STRING,
     docsMcastDsidPhsPhsMask
                  OCTET STRING,
     docsMcastDsidPhsPhsSize
                  Unsigned32,
     docsMcastDsidPhsPhsVerify
                  TruthValue
     }

docsMcastDsidPhsDsid OBJECT-TYPE
     SYNTAX      Dsid
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute represents the 20-bit DSID associated
        with this PHS rule."
```

```
     ::= { docsMcastDsidPhsEntry 1 }

docsMcastDsidPhsPhsField OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE (0..255))
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute defines the bytes of the header which
        must be suppressed/restored by the sending/receiving
        device."
     ::= { docsMcastDsidPhsEntry 2 }

docsMcastDsidPhsPhsMask OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE (0..32))
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute defnes the Payload Header Suppression
        mask in the header to be suppressed and restored."
     ::= { docsMcastDsidPhsEntry 3 }

docsMcastDsidPhsPhsSize OBJECT-TYPE
     SYNTAX      Unsigned32 (0..255)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute defines the the number of bytes in the
        header to be suppressed and restored."
     ::= { docsMcastDsidPhsEntry 4 }

docsMcastDsidPhsPhsVerify OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute, when set to  'true', indicates that
        the sender must verify that the PHS Field attribute
        value is the same is the same as what is contained in the
        packet to be suppressed."
     ::= { docsMcastDsidPhsEntry 5 }

-- Conformance Definitions
docsMcastMibConformance OBJECT IDENTIFIER ::= { docsMcastMib 2 }
docsMcastMibCompliances OBJECT IDENTIFIER
                              ::= { docsMcastMibConformance 1 }
docsMcastMibGroups      OBJECT IDENTIFIER
                              ::= { docsMcastMibConformance 2 }

docsMcastCompliance MODULE-COMPLIANCE
STATUS      current
DESCRIPTION
        "The compliance statement for devices that implement the DOCSIS
         Multicast MIB."

    MODULE -- this MODULE
MANDATORY-GROUPS {
     docsMcastGroup
     }
::= { docsMcastMibCompliances 1 }
docsMcastGroup OBJECT-GROUP
    OBJECTS {
     docsMcastCmtsReplSessDsid,
     docsMcastCmtsReplSessSaid,
     docsMcastDefGrpSvcClassDef,
     docsMcastDsidPhsPhsField,
     docsMcastDsidPhsPhsMask,
     docsMcastDsidPhsPhsSize,
```

```
     docsMcastDsidPhsPhsVerify,
     docsMcastCmtsGrpCfgRulePriority,
     docsMcastCmtsGrpCfgPrefixAddrType,
     docsMcastCmtsGrpCfgSrcPrefixAddr,
     docsMcastCmtsGrpCfgSrcPrefixLen,
     docsMcastCmtsGrpCfgGrpPrefixAddr,
     docsMcastCmtsGrpCfgGrpPrefixLen,
     docsMcastCmtsGrpCfgTosLow,
     docsMcastCmtsGrpCfgTosHigh,
     docsMcastCmtsGrpCfgTosMask,
     docsMcastCmtsGrpCfgQosConfigId,
     docsMcastCmtsGrpCfgEncryptConfigId,
     docsMcastCmtsGrpCfgPhsConfigId,
     docsMcastCmtsGrpCfgRowStatus,
     docsMcastCmtsGrpQosCfgServiceClassName,
     docsMcastCmtsGrpQosCfgQosCtrl,
     docsMcastCmtsGrpQosCfgAggSessLimit,
     docsMcastCmtsGrpQosCfgAppId,
     docsMcastCmtsGrpQosCfgRowStatus,
     docsMcastCmtsGrpEncryptCfgCtrl,
     docsMcastCmtsGrpEncryptCfgAlg,
     docsMcastCmtsGrpEncryptCfgRowStatus,
     docsMcastCmtsGrpPhsCfgPhsField,
     docsMcastCmtsGrpPhsCfgPhsMask,
     docsMcastCmtsGrpPhsCfgPhsSize,
     docsMcastCmtsGrpPhsCfgPhsVerify,
     docsMcastCmtsGrpPhsCfgRowStatus
     }
    STATUS      current
    DESCRIPTION
         "Group of objects implemented in the CMTS."
    ::= { docsMcastMibGroups 1 }
END
```

Q.5 DOCS-MCAST-AUTH-MIB

```
DOCS-MCAST-AUTH-MIB DEFINITIONS ::= BEGIN
  IMPORTS
    MODULE-IDENTITY,
    OBJECT-TYPE,
    Unsigned32
             FROM SNMPv2-SMI          -- RFC 2578
    RowStatus
             FROM SNMPv2-TC           -- RFC 2579
    OBJECT-GROUP,
    MODULE-COMPLIANCE
             FROM SNMPv2-CONF         -- RFC 2580
    SnmpAdminString
             FROM SNMP-FRAMEWORK-MIB  -- RFC 3411
    InetAddressType,
    InetAddress,
    InetAddressPrefixLength
             FROM INET-ADDRESS-MIB    -- RFC 4001
    SnmpTagList
             FROM SNMP-TARGET-MIB     -- RFC 3411
    docsIf3CmtsCmRegStatusId
             FROM DOCS-IF3-MIB
    clabProjDocsis
             FROM CLAB-DEF-MIB;

docsMcastAuthMib MODULE-IDENTITY
     LAST-UPDATED    "200612071700Z" -- Dec 7, 2006
     ORGANIZATION    "Cable Television Laboratories, Inc."
     CONTACT-INFO
         "
         Postal: Cable Television Laboratories, Inc.
```

```
          858 Coal Creek Circle
          Louisville, Colorado 80027-9750
          U.S.A.
          Phone: +1 303-661-9100
          Fax:   +1 303-661-9199
          E-mail: mibs@cablelabs.com"
      DESCRIPTION
         "This MIB module contains the management objects for the
         management of the CMTS Multicast Authorization Module."
      REVISION        "200612071700Z" -- Dec 7, 2006
      DESCRIPTION
         "Initial version, published as part of the CableLabs
         OSSIv3.0 specification CM-SP-OSSIv3.0-I01-061207
         Copyright 1999-2006 Cable Television Laboratories, Inc.
         All rights reserved."
      ::= {  clabProjDocsis 19}

-- Textual Conventions

-- Object Definitions
docsMcastAuthMibObjects  OBJECT IDENTIFIER ::= { docsMcastAuthMib 1 }

docsMcastAuthCtrl OBJECT IDENTIFIER ::= { docsMcastAuthMibObjects 1 }

docsMcastAuthCtrlEnable OBJECT-TYPE
      SYNTAX      INTEGER {
                            enable(1),
                            disable(2)
                          }
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute enables the enforcement of Multicast
         Autorization feature. When this attribute is set
         to 'enable' Multicast Authorization is enforced;
         otherwise clients are permitted to join any IP multicast
         session. The factory default value of this attribute
         is 'disable'."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804, IP Multicast
          Join Authorization section."
      DEFVAL { disable }
      ::= { docsMcastAuthCtrl 1 }

docsMcastAuthCtrlDefProfileNameList OBJECT-TYPE
      SYNTAX      SnmpTagList
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "When IP Multicast Authorization is enforced, this
         attribute provides the default set of Multicast Authorization
         Profiles the CMTS enforces for a CM in the
         case that this CM didn't signal a set of profiles during
         the registration process.  If the Default Multicast
         Authorization Group Name is zero length string,
         the DefAction attribute determines whether a join request
         is authorized when a CM registers without a Multicast
         Authorization Profile Set or a list of config
         File Session Rules. If the CMTS supports more than 1
         profile name as a default, the CMTS enforces each of the
         profiles in order until the maximum number of profiles
         is reached. This attribute indicates one or more
         Multicast Authorization Profiles."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804, IP Multicast
```

```
         Profile Name Subtype section."
      DEFVAL { ''H }
      ::= { docsMcastAuthCtrl 2 }

docsMcastAuthCtrlDefAction OBJECT-TYPE
      SYNTAX      INTEGER {
                            permit(1),
                            deny(2)
                          }
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute defines the default authorization
         action when no IP Multicast Session Rule is determined
         to match a client's IP multicast join request."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804, Session Rules
          section."
      DEFVAL { deny }
      ::= { docsMcastAuthCtrl 3 }

docsMcastAuthCtrlDefMaxNumSess OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute indicates the default maximum number
         of multicast sessions that clients reached through
         a CM are allowed to join. If set to zero, the maximum
         number of sessions is not limited by the CMTS. A DefMaxNumSess
         value of 0 indicates that no dynamic joins
         are permitted."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804, Maximum Multicast
          Sessions section."
      DEFVAL { 0 }
      ::= { docsMcastAuthCtrl 4 }

docsMcastAuthCmtsCmStatusTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsMcastAuthCmtsCmStatusEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object maintains per-CM status of Multicast
         Authorization policies to be applied to this CM. The
         CM acquires these policy parameters through the CM registration
         process, or in the absence of some or all
         of those parameters, from the Ctrl Object.
         This object is meaningful when the Control Enable attribute
         is set to 'enable'.
         In the process of authorizing a CM client's session request
         the CMTS must check rules defined in StaticSessRule
         object and then rules defined in ProfileSessRule
         object. In the case of multiple multicast session
         matches, the rule priority attribute defines the
         final selected session rule. The selection of a session
         rules when multiple matches have the same priority
         is vendor specific.
         The CMTS MAY report in the CmtsCmStatus object CMs that
         do not signal any IP Multicast Authorization Encodings
         in the registration process. "
      ::= { docsMcastAuthMibObjects 2}

docsMcastAuthCmtsCmStatusEntry OBJECT-TYPE
      SYNTAX      DocsMcastAuthCmtsCmStatusEntry
```

```
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsMcastAuthCmtsCmStatus."
      INDEX {
              docsIf3CmtsCmRegStatusId
            }
      ::= { docsMcastAuthCmtsCmStatusTable 1 }

DocsMcastAuthCmtsCmStatusEntry ::= SEQUENCE {
      docsMcastAuthCmtsCmStatusCfgProfileNameList
                  SnmpTagList,
      docsMcastAuthCmtsCmStatusCfgListId
                  Unsigned32,
      docsMcastAuthCmtsCmStatusMaxNumSess
                  Unsigned32,
      docsMcastAuthCmtsCmStatusCfgParamFlag
                  BITS
      }

docsMcastAuthCmtsCmStatusCfgProfileNameList OBJECT-TYPE
      SYNTAX      SnmpTagList
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the set of Profile Names
         associated with the CM.
         This attribute indicates the CM signaled 'IP Multicast
         Authorization Profile Name' encodings during the
         CM registration process, or in the absence of instances
         of that config file parameter, the DefProfileNameList
         attribute from the Ctrl object."
      ::= { docsMcastAuthCmtsCmStatusEntry 1 }

docsMcastAuthCmtsCmStatusCfgListId OBJECT-TYPE
      SYNTAX      Unsigned32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute identifies the reference to a CMTS
         created Session Rule List based on the CM signaled 'IP
         Multicast Authorization Static Session Rule' encodings.
         The CMTS may reuse this attribute value to reference
         more than one CM that have signaled the same list
         of Session Rules to the CMTS.
         The value zero indicates that the CM did not signal Multicast
         Session Rules to the CMTS or the CMTS does not
         support the StaticSessRule, in which case, the CMTS
         ignores any CM signalled Session Rule endocings during
         registration."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804,IP Multicast Join
          Authorization Static Session Rule Subtype section in the
          Common Radio Frequency Interface Encodings Annex."
      ::= { docsMcastAuthCmtsCmStatusEntry 2 }

docsMcastAuthCmtsCmStatusMaxNumSess OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      UNITS       "sessions"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the CM signaled value in
         Maximum Multicast Sessions Encoding during the CM registration
         process. If this value is missing the DefMaxNumSess
         attribute of the Ctrl object is used to determine
```

```
         the maximum number of multicast sessions this
         client may forward. The value 0 indicates that no
         dynamic joins are permitted. The value 65535 (the largest
         valid value) indicates that the CMTS permits any
         number of sessions to be joined by clients reached
         through the CM."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804, Maximum Multicast
          Sessions Encoding section in the Common Radio Frequency
          Interface Encodings Annex."
      ::= { docsMcastAuthCmtsCmStatusEntry 3 }

docsMcastAuthCmtsCmStatusCfgParamFlag OBJECT-TYPE
      SYNTAX      BITS {
                         profile(0),
                         staticMulticast(1),
                         maxNumSessions(2)
                          }
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the functions that are
         activated through the registration process.
         The bit 'profile' indicates whether the CM signaled
         'IP Multicast Authorization Profile Name Subtype'
         encodings.
         The bit 'staticMulticast' indicates whether the CM
         signaled 'IP Multicast Authorization Static Session
         Rule Subtype' encodings.
         The bit 'maxNumSess' indicates whether the CM signaled
         the ' Maximum Multicast Sessions' encoding."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804"
      ::= { docsMcastAuthCmtsCmStatusEntry 4 }

docsMcastAuthProfileSessRuleTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsMcastAuthProfileSessRuleEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object defines Operator configured profiles
         to be matched during the authorization process.
         This object supports the creation and deletion of multiple
         instances.
         Creation of a new instance of this object requires the
         following attributes to be set:
         PrefixAddrType
         SrcPrefixAddr
         SrcPrefixLen
         GrpPrefixAddr
         GrpPrefixLen."
      ::= { docsMcastAuthMibObjects 3}

docsMcastAuthProfileSessRuleEntry OBJECT-TYPE
      SYNTAX      DocsMcastAuthProfileSessRuleEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsMcastAuthProfileSessRule.
          The CMTS persists all instances of the ProfileSessRule
          object across reinitializations."
      INDEX {
              docsMcastAuthProfilesName,
              docsMcastAuthProfileSessRuleId
            }
```

```
     ::= { docsMcastAuthProfileSessRuleTable 1 }

DocsMcastAuthProfileSessRuleEntry ::= SEQUENCE {
     docsMcastAuthProfileSessRuleId
                  Unsigned32,
     docsMcastAuthProfileSessRulePriority
                  Unsigned32,
     docsMcastAuthProfileSessRulePrefixAddrType
                  InetAddressType,
     docsMcastAuthProfileSessRuleSrcPrefixAddr
                  InetAddress,
     docsMcastAuthProfileSessRuleSrcPrefixLen
                  InetAddressPrefixLength,
     docsMcastAuthProfileSessRuleGrpPrefixAddr
                  InetAddress,
     docsMcastAuthProfileSessRuleGrpPrefixLen
                  InetAddressPrefixLength,
     docsMcastAuthProfileSessRuleAction
                  INTEGER,
     docsMcastAuthProfileSessRuleRowStatus
                  RowStatus
     }

docsMcastAuthProfileSessRuleId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..4294967295)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute provides a unique identifier for each
        CMTS configured Multicast Authorization Profile
        Session rule within a Multicast Authorization Profile
        Name."
     ::= { docsMcastAuthProfileSessRuleEntry 1 }

docsMcastAuthProfileSessRulePriority OBJECT-TYPE
     SYNTAX      Unsigned32 (0..255)
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute configures the rule priority for the
        static session rule. Permitted values for this attribute
        range from 0..255. Higher values indicate a
        higher priority. If more than one session rule matches
        a joined session, the session rule with the highest
        rule priority determines the authorization action."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I01-060804, Session Rules
         section."
     ::= { docsMcastAuthProfileSessRuleEntry 2 }

docsMcastAuthProfileSessRulePrefixAddrType OBJECT-TYPE
     SYNTAX      InetAddressType
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute identifies the address family for
        the multicast session (S,G) which corresponds to the
        SrcPrefixAddr and GrpPrefixAddr attributes respectively."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I01-060804, Session Rules
         section."
     ::= { docsMcastAuthProfileSessRuleEntry 3 }

docsMcastAuthProfileSessRuleSrcPrefixAddr OBJECT-TYPE
     SYNTAX      InetAddress
```

```
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute identifies a specific Multicast Source
         Address defined for  this rule.  A Source Address
         that is all zeros is defined as 'all source addresses'
          (*, G).   Source prefix addresses are unicast addresses."
      REFERENCE
         "RFC 3569.
          RFC 3306"
      ::= { docsMcastAuthProfileSessRuleEntry 4 }

docsMcastAuthProfileSessRuleSrcPrefixLen OBJECT-TYPE
      SYNTAX      InetAddressPrefixLength
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute identifies the prefix of a range of
         Source (S) IP multicast group addresses. For Group or
         ASM based sessions this attribute is set to 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804, Session Rules
          section."
      ::= { docsMcastAuthProfileSessRuleEntry 5 }

docsMcastAuthProfileSessRuleGrpPrefixAddr OBJECT-TYPE
      SYNTAX      InetAddress
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute identifies the prefix of a range of
         destination IP multicast group addresses."
      ::= { docsMcastAuthProfileSessRuleEntry 6 }

docsMcastAuthProfileSessRuleGrpPrefixLen OBJECT-TYPE
      SYNTAX      InetAddressPrefixLength
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute identifies the prefix of a range of
         IP multicast group addresses."
      ::= { docsMcastAuthProfileSessRuleEntry 7 }

docsMcastAuthProfileSessRuleAction OBJECT-TYPE
      SYNTAX      INTEGER {
                            accept(1),
                            deny(2)
                          }
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute specifies the authorization action
         for a session join attempt that matches the session
         rule.
         The value 'accept' indicates that the rule permits a
         matching multicast join request is allowed .  The value
         'deny' indicates that a matching multicast join request
         is denied."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804, Session Rules
          section."
      DEFVAL { deny }
      ::= { docsMcastAuthProfileSessRuleEntry 8 }

docsMcastAuthProfileSessRuleRowStatus OBJECT-TYPE
```

```
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "The status of this instance."
     ::= { docsMcastAuthProfileSessRuleEntry 9 }

docsMcastAuthStaticSessRuleTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsMcastAuthStaticSessRuleEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object defines the Session authorization Rules
        based on the CM or group of CMs signaled in IP Multicast
        Join Authorization Static Session Subtype encoding
         This object reflects the Static Session rules
        that were included in the CM registration request message."
     ::= { docsMcastAuthMibObjects 4}

docsMcastAuthStaticSessRuleEntry OBJECT-TYPE
     SYNTAX      DocsMcastAuthStaticSessRuleEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsMcastAuthStaticSessRule.
         The CMTS may persist all instances of the StaticSessRule
         object across reinitializations."
     INDEX {
             docsMcastAuthStaticSessRuleCfgListId,
             docsMcastAuthStaticSessRuleId
           }
     ::= { docsMcastAuthStaticSessRuleTable 1 }

DocsMcastAuthStaticSessRuleEntry ::= SEQUENCE {
     docsMcastAuthStaticSessRuleCfgListId
                  Unsigned32,
     docsMcastAuthStaticSessRuleId
                  Unsigned32,
     docsMcastAuthStaticSessRulePriority
                  Unsigned32,
     docsMcastAuthStaticSessRulePrefixAddrType
                  InetAddressType,
     docsMcastAuthStaticSessRuleSrcPrefixAddr
                  InetAddress,
     docsMcastAuthStaticSessRuleSrcPrefixLen
                  InetAddressPrefixLength,
     docsMcastAuthStaticSessRuleGrpPrefixAddr
                  InetAddress,
     docsMcastAuthStaticSessRuleGrpPrefixLen
                  InetAddressPrefixLength,
     docsMcastAuthStaticSessRuleAction
                  INTEGER
     }

docsMcastAuthStaticSessRuleCfgListId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..4294967295)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute contains a CMTS-derived value for
        a set of multicast static session rules associated to
        one or more CMs."
     ::= { docsMcastAuthStaticSessRuleEntry 1 }

docsMcastAuthStaticSessRuleId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..4294967295)
     MAX-ACCESS  not-accessible
```

```
      STATUS      current
      DESCRIPTION
         "This attribute provides an identifier for each Multicast
         Authorization Static Session rule in the IP
         Multicast Join Authorization Static Session SubType
         communicated by a CM or group of CMs during registration."
      ::= { docsMcastAuthStaticSessRuleEntry 2 }

docsMcastAuthStaticSessRulePriority OBJECT-TYPE
      SYNTAX      Unsigned32 (0..255)
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute defines the rule priority for the static
         session rule. Higher values indicate a higher
         priority. If more than one session rule matches a joined
         session, the session rule with the highest rule priority
         determines the authorization action."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I01-060804, RulePriority
          section in the Common Radio Frequency Interface Encodings
          Annex."
      ::= { docsMcastAuthStaticSessRuleEntry 3 }

docsMcastAuthStaticSessRulePrefixAddrType OBJECT-TYPE
      SYNTAX      InetAddressType
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute identifies the address family for
         the multicast session (S,G) which corresponds to the
         SrcPrefixAddr and GrpPrefixAddr attributes respectively."
      ::= { docsMcastAuthStaticSessRuleEntry 4 }

docsMcastAuthStaticSessRuleSrcPrefixAddr OBJECT-TYPE
      SYNTAX      InetAddress
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute identifies a specific Multicast Source
         Address defined for  this rule.  A Source Address
         that is all zeros is defined as 'all source addresses
          (*, G)'. Source Prefix Addresses are unicast host addresses."
      REFERENCE
         "RFC 3569.
          RFC 3306."
      ::= { docsMcastAuthStaticSessRuleEntry 5 }

docsMcastAuthStaticSessRuleSrcPrefixLen OBJECT-TYPE
      SYNTAX      InetAddressPrefixLength
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute identifies the prefix of a range of
         Source (S) IP multicast group addresses. For ASM-based
         sessions, this attribute is set to 0."
      ::= { docsMcastAuthStaticSessRuleEntry 6 }

docsMcastAuthStaticSessRuleGrpPrefixAddr OBJECT-TYPE
      SYNTAX      InetAddress
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute identifies the prefix of a range of
         destination IP multicast group addresses."
      ::= { docsMcastAuthStaticSessRuleEntry 7 }
```

```
docsMcastAuthStaticSessRuleGrpPrefixLen OBJECT-TYPE
     SYNTAX      InetAddressPrefixLength
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute identifies the prefix of a range of
        IP multicast group addresses."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I01-060804,Group Prefix
         Length Subtype section in the Common Radio Frequency
         Interface Encodings Annex."
     ::= { docsMcastAuthStaticSessRuleEntry 8 }

docsMcastAuthStaticSessRuleAction OBJECT-TYPE
     SYNTAX      INTEGER {
                           permit(1),
                           deny(2)
                         }
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute specifies the authorization action
        for a session join attempt that matches the session
        rule.
        The value 'accept' indicates that the rule permits a
        matching multicast join request is allowed .  The value
        'deny' indicates that a matching multicast join request
        is denied."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I01-060804, Authorization
         Action section in the Common Radio Frequency Interface
          Encodings Annex."
     ::= { docsMcastAuthStaticSessRuleEntry 9 }

docsMcastAuthProfilesTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsMcastAuthProfilesEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object contains the description of the Multicast
        Authorization profiles for administrative purposes.

        This object supports the creation and deletion of multiple
        instances.
        Creation of a new instance of this object requires the
        Description attribute to be set."
     ::= { docsMcastAuthMibObjects 5}

docsMcastAuthProfilesEntry OBJECT-TYPE
     SYNTAX      DocsMcastAuthProfilesEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsMcastAuthProfiles.
         The CMTS persists all instances of the Profiles
         object across reinitializations"
     INDEX {
             docsMcastAuthProfilesName
           }
     ::= { docsMcastAuthProfilesTable 1 }

DocsMcastAuthProfilesEntry ::= SEQUENCE {
     docsMcastAuthProfilesName
                  SnmpAdminString,
```

```
     docsMcastAuthProfilesDescription
                  SnmpAdminString,
     docsMcastAuthProfilesRowStatus
                  RowStatus
     }

docsMcastAuthProfilesName OBJECT-TYPE
     SYNTAX      SnmpAdminString (SIZE (1..15))
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute is a unique name or identifier for a
        Multicast Authorization Profile."
     ::= { docsMcastAuthProfilesEntry 1 }

docsMcastAuthProfilesDescription OBJECT-TYPE
     SYNTAX      SnmpAdminString
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is a human readable description of
        the Multicast Authorization Profile."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I01-060804, IP Multicast
         Profile Name Subtype section."
     ::= { docsMcastAuthProfilesEntry 2 }

docsMcastAuthProfilesRowStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "The status of this instance."
     ::= { docsMcastAuthProfilesEntry 3 }

-- Conformance Definitions
docsMcastAuthMibConformance OBJECT IDENTIFIER ::= { docsMcastAuthMib 2 }
docsMcastAuthMibCompliances OBJECT IDENTIFIER
                                ::= { docsMcastAuthMibConformance 1 }
docsMcastAuthMibGroups      OBJECT IDENTIFIER
                                ::= { docsMcastAuthMibConformance 2 }

docsMcastAuthCompliance MODULE-COMPLIANCE
STATUS      current
DESCRIPTION
        "The compliance statement for devices that implement the DOCSIS
         Multicast Authorization MIB."

    MODULE -- this MODULE
MANDATORY-GROUPS {
     docsMcastAuthGroup
     }
::= { docsMcastAuthMibCompliances 1 }
docsMcastAuthGroup OBJECT-GROUP
    OBJECTS {
     docsMcastAuthCtrlEnable,
     docsMcastAuthCtrlDefProfileNameList,
     docsMcastAuthCtrlDefAction,
     docsMcastAuthCtrlDefMaxNumSess,
     docsMcastAuthCmtsCmStatusCfgProfileNameList,
     docsMcastAuthCmtsCmStatusCfgListId,
     docsMcastAuthCmtsCmStatusMaxNumSess,
     docsMcastAuthCmtsCmStatusCfgParamFlag,
     docsMcastAuthProfileSessRulePriority,
     docsMcastAuthProfileSessRulePrefixAddrType,
     docsMcastAuthProfileSessRuleSrcPrefixAddr,
```

```
     docsMcastAuthProfileSessRuleSrcPrefixLen,
     docsMcastAuthProfileSessRuleGrpPrefixAddr,
     docsMcastAuthProfileSessRuleGrpPrefixLen,
     docsMcastAuthProfileSessRuleAction,
     docsMcastAuthProfileSessRuleRowStatus,
     docsMcastAuthStaticSessRulePriority,
     docsMcastAuthStaticSessRulePrefixAddrType,
     docsMcastAuthStaticSessRuleSrcPrefixAddr,
     docsMcastAuthStaticSessRuleSrcPrefixLen,
     docsMcastAuthStaticSessRuleGrpPrefixAddr,
     docsMcastAuthStaticSessRuleGrpPrefixLen,
     docsMcastAuthStaticSessRuleAction,
     docsMcastAuthProfilesDescription,
     docsMcastAuthProfilesRowStatus
     }
    STATUS      current
    DESCRIPTION
         "Group of objects implemented in the CMTS."
    ::= { docsMcastAuthMibGroups 1 }
END
```

Q.6 DOCS-IF3-MIB[170]

```
DOCS-IF3-MIB DEFINITIONS ::= BEGIN
  IMPORTS
    MODULE-IDENTITY,
    OBJECT-TYPE,
    Integer32,
    Unsigned32,
    Counter32
             FROM SNMPv2-SMI         -- RFC 2578
    TEXTUAL-CONVENTION,
    RowStatus,
    TruthValue,
    MacAddress,
    DateAndTime
             FROM SNMPv2-TC          -- RFC 2579
    OBJECT-GROUP,
    MODULE-COMPLIANCE
             FROM SNMPv2-CONF        -- RFC 2580
    SnmpAdminString
             FROM SNMP-FRAMEWORK-MIB -- RFC 3411
    SnmpTagList
             FROM SNMP-TARGET-MIB    -- RFC 3413
    InetAddressIPv6,
    InetAddressIPv4
             FROM INET-ADDRESS-MIB   --  RFC 4001
    ifIndex,
    InterfaceIndex,
    InterfaceIndexOrZero
             FROM IF-MIB             --  RFC 2863
    TenthdB,
    TenthdBmV,
    DocsisUpstreamType,
    DocsEqualizerData,
    DocsisQosVersion
             FROM DOCS-IF-MIB        -- RFC 4546
```

[170] Text in this MIB changed by KN per ECN OSSIv3.0-N-06.0357-1 on 2/7/07, and then per OSSIv3.0-N-07.0384-1 and OSSIv3.0-N-07.0392-1 by ab on 5/3/07. Per OSSIv3.0-N-07.0410-4, #6, 7, 8, 9, 15, 16, & 20 on 5/8/07 and OSSIv3.0-N-07.0441-4, # 14, 15, 16, & 18 on 5/9/07 and per OSSIv3.0-N-07.0446-4, # 6, 7, &8 and per OSSIv3.0-N-07.0445-3, # 2 & 9. Then per OSSIv3.0-N-07.0447-2, #5, 7, 15, & 16 on 5/10/07 by KN. Section modified per OSSIv3.0-N-07.0422-3, #3 & 4 on 5/11/07 by KN. Also per OSSIv3.0-N-07.0413-3, #2 pm 5/11/07 by KN. Also per OSSIv3.0-N-07.0480-3 by ab on 7/16/07 and OSSIv3.0-N-07.0481-2 by ab on 7/17/07. Again per OSSIv3.0-N-07.0531-1 by ab on 10/19/07, and per OSSIv3.0-N-07.0539-1 by ab on 11/6/07, and per OSSIv3.0-N-07.0550-2 by ab on 11/9/07, and per OSSIv3.0-N-07.0551-4 by ab on 11/12/07. Replaced entirely per OSSIv3.0-N-07.0554-4 by ab on 11/13/07.

```
    NodeName
             FROM CLAB-TOPO-MIB
    clabProjDocsis
              FROM CLAB-DEF-MIB;

docsIf3Mib MODULE-IDENTITY
     LAST-UPDATED    "200712060000Z" --  December 6, 2007
     ORGANIZATION    "Cable Television Laboratories, Inc."
     CONTACT-INFO
         "
         Postal: Cable Television Laboratories, Inc.
         858 Coal Creek Circle
         Louisville, Colorado 80027-9750
         U.S.A.
         Phone: +1 303-661-9100
         Fax:   +1 303-661-9199
         E-mail: mibs@cablelabs.com"
     DESCRIPTION
        "This MIB module contains the management objects for the
        management of DOCSIS 3.0 features, primarly channel bonding,
        interface topology and enhanced signal quality montoring."
    REVISION "200712060000Z"  -- December 6, 2007
    DESCRIPTION
            "Revised Version includes ECNs
            OSSIv3.0-N-07.0522-6
            OSSIv3.0-N-07.0554-4
            and published as I05"
    REVISION "200708030000Z"  -- August 3, 2007
    DESCRIPTION
            "Revised Version includes ECNs
            OSSIv3.0-N-07.0481-2
            OSSIv3.0-N-07.0480-3
            and published as I04"
    REVISION "200705180000Z"  -- May 18, 2007
    DESCRIPTION
            "Revised Version includes ECNs
            OSSIv3.0-N-07.0447-2
            OSSIv3.0-N-07.0446-4
            OSSIv3.0-N-07.0445-3
            OSSIv3.0-N-07.0441-4
            OSSIv3.0-N-07.0422-5
            OSSIv3.0-N-07.0413-3
            OSSIv3.0-N-07.0410-4
            OSSIv3.0-N-07.0392-1
            OSSIv3.0-N-07.0384-1
            OSSIv3.0-N-06.0357-1
            and published as I03"
    REVISION "200702230000Z" -- February 23, 2007
    DESCRIPTION
            "Revised Version includes ECN OSSIv3.0-N-06.0357-1
            and published as IO2"
     REVISION        "200612071700Z" -- December 7, 2006
     DESCRIPTION
        "Initial version, published as part of the CableLabs
        OSSIv3.0 specification CM-SP-OSSIv3.0-I01-061207
        Copyright 1999-2007 Cable Television Laboratories, Inc.
        All rights reserved."
     ::= {  clabProjDocsis 20 }

-- Textual Conventions

CmRegState ::= TEXTUAL-CONVENTION
     STATUS      current
     DESCRIPTION
         "This data type defines the CM connectivity state as reported
         by the CM.
         The enumerated values associated with the CmRegState are:
```

```
'other'
 indicates any state not described below.
'notReady'
 indicates that the CM has not started the registration process
 yet.
'notSynchronized'
 indicates that the CM has not initiated or completed the
 synchronization of the downstream physical layer
'phySynchronized'
 indicates that the CM has completed the synchronization of
 the downstream physical layer
'dsTopologyResolutionInProgress'
 indicates that the CM is attempting to determine its MD-DS-SG
'usParametersAcquired'
 indicates that the CM has completed the upstream parameters
 acquisition or have completed the downstream and upstream
 service groups resolution, wheater the CM is registering in
 a pre-3.0 or a 3.0 CMTS.
'rangingInProgress'
 indicates that the CM has initiated the ranging process.
'rangingComplete'
 indicates that the CM has completed initial ranging and
 received a Ranging Status of success from the CMTS in the
 RNG-RSP message.
'eaeInProgress'
 indicates that the CM has sent an Auth Info message for EAE.
'dhcpv4InProgress'
 indicates that the CM has sent a DHCPv4 DISCOVER to gain
 IP connectivity.
'dhcpv6InProgress'
 indicates that the CM has sent an DHCPv6 Solicit message.
'dhcpv4Complete'
 indicates that the CM has received a DHCPv4 ACK message from
 the CMTS.
'dhcpv6Complete'
 indicates that the CM has received a DHCPv6 Reply message from
 the CMTS.
'todEstablished'
 indicates that the CM has successfully acquired time of day.
 If the ToD is acquired after the CM is operational, this
 value should not be reported.
'securityEstablished'
 indicates that the CM has successfully completed the BPI
 initialization process.
'configFileDownloadComplete'
 indicates that the CM has completed the config file download
 process.
'registrationInProgress'
 indicates that the CM has sent a Registration Request
 (REG-REQ or REG-REQ-MP)
'registrationComplete'
 indicates that the CM has successfully completed the
 Registration process with the CMTS.
'accessDenied'
 indicates that the CM has received a registration aborted
 notification from the CMTS
'operational'
 indicates that the CM has completed all necessary
 initialization steps and is operational.
'bpiInit'
 indicates that the CM has started the BPI initialization
 process as indicated in the CM config file. If the CM already
 performed EAE, this state is skipped by the CM.
'forwardingDisabled'
 indicates that the registration process was completed, but
 the network access option in the received configuration file
 prohibits forwarding.
```

```
          'rfMuteAll'
           indicates that the CM is instructed to mute all channels
           in the CM-CTRL-REQ message from CMTS."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Cable Modem -
          CMTS Interaction section."
      SYNTAX      INTEGER {other(1),
                           notReady(2),
                           notSynchronized(3),
                           phySynchronized(4),
                           dsTopologyResolutionInProgress(21),
                           usParametersAcquired(5),
                           rangingInProgress(22),
                           rangingComplete(6),
                           eaeInProgress(14),
                           dhcpv4InProgress(15),
                           dhcpv6InProgress(16),
                           dhcpv4Complete(7),
                           dhcpv6Complete(17),
                           todEstablished(8),
                           securityEstablished(9),
                           configFileDownloadComplete(10),
                           registrationInProgress(18),
                           registrationComplete(11),
                           accessDenied(13),
                           operational(12),
                           bpiInit (19),
                           forwardingDisabled(20),
                           rfMuteAll(23)
                          }

CmtsCmRegState ::= TEXTUAL-CONVENTION
      STATUS      current
      DESCRIPTION
         "This data type defines the CM connectivity states as reported
         by the CMTS.
         The enumerated values associated with the CmtsCmRegState are:

         'other'
          indicates any state not described below.
         'initialRanging'
          indicates that the CMTS has received an Initial Ranging
          Request message from the CM, and the ranging process is not yet
          complete.
         'rangingAutoAdjComplete'
          indicates that the CM has completed initial ranging and the
          CMTS sends  a Ranging Status of success in the RNG-RSP.
         'startEae'
          indicates that the CMTS has received an Auth Info message for
          EAE from the CM.
         'startDhcpv4'
          indicates that the CMTS has received a DHCPv4 DISCOVER message
          from the CM.
         'startDhcpv6'
          indicates that the CMTS has received a DHCPv6 Solicit message
          from the CM.
         'dhcpv4Complete'
          indicates that the CMTS has sent a DHCPv4 ACK message to the
          CM.
         'dhcpv6Complete'
          indicates that the CMTS has sent a DHCPv6 Reply message to the
          CM.
         'startConfigFileDownload'
          indicates that the CM has started the config file download.
          If the TFTP Proxy feature is not enabled, the CMTS may not
          report this state.
```

```
        'configFileDownloadComplete'
         indicates that the CM has completed the config file download
         process.  If the TFTP Proxy feature is not enabled, the CMTS
         is not required to report this state.
        'startRegistration'
         indicates that the CMTS has received a Registration
         Request (REG-REQ or REG-REQ-MP) from the CM.
        'registrationComplete'
         indicates that the CMTS has received a Registration Acknowledge
         (REG-ACK) with a confirmation code of okay/success.
        'operational'
         indicates that the CM has completed all necessary
         initialization steps and is operational.
        'bpiInit'
         indicates that the CMTS has received an Auth Info or Auth
         Request message as part of BPI Initialization.
        'forwardingDisabled'
         indicates that the registration process was completed, but
         the network access option in the received configuration
         file prohibits forwarding.
        'rfMuteAll'
         indicates that the CM is instructed to mute all channels
         in the CM-CTRL-REQ message from CMTS."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Cable Modem -
         CMTS Interaction section."
     SYNTAX      INTEGER {other (1),
                          initialRanging(2),
                          rangingAutoAdjComplete(4),
                          startEae(10),
                          startDhcpv4 (11),
                          startDhcpv6(12),
                          dhcpv4Complete(5),
                          dhcpv6Complete(13),
                          startConfigFileDownload(14),
                          configFileDownloadComplete(15),
                          startRegistration(16),
                          registrationComplete(6),
                          operational (8),
                          bpiInit(9),
                          forwardingDisabled(17),
                          rfMuteAll(18)
                         }

ScdmaSelectionString  ::= TEXTUAL-CONVENTION
     STATUS      current
     DESCRIPTION
        "This data type represents the S-CDMA selection string
         for active codes used with Selectable Active Codes Mode 2.
         A 128-bit string indicating which codes are active.
         The first element in the string corresponds to code 0
         (the all-ones code), and the last element in the string
         corresponds to code 127.  A '1' element in the string
         indicates an active code, and a '0' indicates an unused
         code."
     REFERENCE
         "DOCSIS 3.0 Physical Layer Specification
         CM-SP-PHYv3.0-I05-070803, Mini-slot Numbering Parameters
         in UCD section."
     SYNTAX      OCTET STRING (SIZE(16))

AmplitudeData  ::= TEXTUAL-CONVENTION
     STATUS      current
     DESCRIPTION
        "This data type represents a sequence of spectral amplitudes.
        Each spectral amplitude value corresponds to a bin.
```

```
         The format of the bin measurement is as follows.
         Sequence of:
           4 bytes: ChCenterFreq
                    The center frequency of the upstream channel.
           4 bytes: FreqSpan
                    The width in Hz of the band across which the spectral
                    amplitudes characterizing the channel are measured.
           4 bytes: NumberOfBins
                    The number of data points or bins that compose the
                    spectral data. The leftmost bin corresponds to the
                    lower band edge, the rightmost bin corresponds to the
                    upper band edge, and the middle bin center is aligned
                    with the center frequency of the analysis span.
           4 bytes: BinSpacing
                    The frequency separation between adjacent bin
                    centers. It is derived from the frequency span and
                    the number of bins or data points.
                    The bin spacing is computed as:

                              BinSpacing = FrequencySpan/(NumberOfBins -1)

                    The larger the number of bins the finer the
                    resolution.
           4 bytes: ResolutionBW
                    The resolution bandwidth or equivalent noise
                    bandwidth of each bin. If spectral windowing is used
                    (based on vendor implementation), the bin spacing and
                    resolution bandwidth would not generally be the same.
           n bytes: Amplitude (2 bytes * NumberOfBins)
                    A sequence of two byte elements. Each element
                    represents the spectral amplitudes in relation to the
                    expected received signal power of a bin, in units of
                    0.01dB. That is, a test CMTS input signal with
                    square-root raised-cosine spectrum, bandwidth equal
                    to the expected received signal bandwidth, and power
                    equal to the expected received signal power, which
                    is present for the entire spectrum sampling period,
                    will exhibit a spectrum measurement of 0 dB average
                    power in each bin of the signal passband.
                    Each bin element amplitude value format is 2's
                    complement which provides a range of -327.68 dB to
                    327.67 dB amplitude value for the bin measurement."
      SYNTAX      OCTET STRING (SIZE(0 | 2..255))

Tlv8 ::= TEXTUAL-CONVENTION
      STATUS      current
      DESCRIPTION
         "This data type represents a single TLV encoding.
         This first octet represents the Type of the TLV.
         The second octet represents an unsigned 8-bit Length of the
         subsequent Value part of the TLV.  The remaining octets
         represent the value. The Value could be an atomic value or a
         sequence of one or more sub-TLVs."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Common Radio
          Frequency Interface Encodings Annex."
      SYNTAX      OCTET STRING (SIZE(0 | 2..255))

ChId ::= TEXTUAL-CONVENTION
      DISPLAY-HINT "d"
      STATUS      current
      DESCRIPTION
         "This data type is an 8-bit number that represents a provisioned
         Downstream Channel ID (DCID) or a provisioned Upstream Channel
         ID (UCID). A Channel Id is unique per direction within a MAC
         Domain. The value zero is reserved for use when the channel ID
```

```
         is unknown."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Upstream Channel
          Descriptor (UCD) section."
      SYNTAX      Unsigned32 (0..255)

ChSetId ::= TEXTUAL-CONVENTION
      DISPLAY-HINT "d"
      STATUS      current
      DESCRIPTION
         "This data type is a CMTS-derived unique number within a MAC
         Domain used to reference a Channel Set within the CMTS.
         Values in the range of 1 to 255 define a single-channel Channel
         Set and correspond to either the Downstream Channel ID (DCID)
         or an Upstream Channel ID (UCID) of that channel.
         Values greater than 255 indicate a Channel Set consisting of two
         or more channels in the same direction within the MAC Domain.
         The value zero is reserved for use when the Channel Set is
         unknown."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Channel
          Bonding section."
      SYNTAX      Unsigned32

ChannelList ::= TEXTUAL-CONVENTION
      DISPLAY-HINT "1d,"
      STATUS      current
      DESCRIPTION
         "This data type represents a unique set of channel IDs in
         either the upstream or the downstream direction.  Each octet
         represents a UCID or DCID depending on the direction of the
         channels within the list.  The CMTS must ensure that this
         combination of channels is unique per direction within the MAC
         Domain.

         In order to facilitate Channel ID combinatorial uniqueness
         across all channel lists, a query to retrieve the value of
         an attribute of this type, returns the set of channels in
         the channel list in ascending order of Channel Ids."
      SYNTAX      OCTET STRING (SIZE (0|2..255))

AttributeMask ::= TEXTUAL-CONVENTION
      STATUS      current
      DESCRIPTION
         "This data type consists of a sequence of 32-bit positions used
         to select the bonding group or the channel to which a service
         flow is assigned. DOCSIS defines three types of Attribute Masks
         for which this type applies: The Provisionied Attribute Mask
         that is configured to a Bonding Group or a single-channel,
         whereas the Required Attribute and the Forbidden Attribute
         Mask are part of the Service Flow QOS Parameter Set to be
         matched with the Provisioned Attribute Mask of CMTS-configured
         Bonding Groups or single-channels. DOCSIS reserves the
         assignment of the meaning of the first 8 bit positions
         (left to right) as follows:
             Bit 0: 'bonding'
             Bit 1: 'lowLatency'
             Bit 2: 'highAvailability'
             Bit positions 3-15 are reserved.

         Bit positions 16-31 are freely assigned by operators to
         represent their own constraints on the channel(s) selection
         for a particular service flow."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
```

```
         Specification CM-SP-MULPIv3.0-I06-071206, Service Flow
         Assignment section."
      SYNTAX      BITS {
                        bonding(0),
                        lowLatency(1),
                        highAvailability(2)
                       }

AttrAggrRuleMask ::= TEXTUAL-CONVENTION
      STATUS      current
      DESCRIPTION
         "This data type represents a sequence of 32-bit positions that
         defines logical (e.g. AND, OR) operations to match against the
         channel list Provisioned Mask and Service Flow Required Mask
         bit positions when the CMTS is determining the service flow
         for assignment to a bonding group not configured by the
         management system."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Service Flow
          Assignment section."
      SYNTAX      OCTET STRING (SIZE (4))

RcpId ::= TEXTUAL-CONVENTION
      STATUS      current
      DESCRIPTION
         "This data type defines a 'Receive Channel Profile Identifier'
         (RCP-ID). An RCP-ID consists of 5-octet length string where the
         first 3-bytes (from left to right corresponds to the
         Organizational Unique ID (OUI) followed by a two-byte
         vendor-maintained identifier to represent multiple versions or
         models of RCP-IDs."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, RCP-ID section in
          the Common Radio Frequency Interface Encodings Annex."
      SYNTAX      OCTET STRING (SIZE (5))

Dsid ::= TEXTUAL-CONVENTION
      DISPLAY-HINT "d"
      STATUS      current
      DESCRIPTION
         "This data type defines the 20-bit Downstream Service Identifier
         (DSID) used by the CM for downstream resequencing, filtering,
         and forwarding. The value zero is reserved for use when the
         DSID is unknown or does not apply."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, DSID Definition
          section."
      SYNTAX      Unsigned32 (0..1048575)

RangingState ::= TEXTUAL-CONVENTION
      STATUS      current
      DESCRIPTION
         "This data type defines the CM ranging state as reported
         by the CMTS.
         The enumerated values associated with the RangingState are:

         'other'
          indicates any state not described below.
         'aborted'
          indicates that the CMTS has sent a ranging abort.
         'retriesExceeded'
          indicates that the CM ranging retry limit has exceeded.
         'success'
          indicates that the CMTS has sent a ranging success in the
```

```
         ranging response.
        'continue'
         indicates that the CMTS has sent a ranging continue in the
         ranging response.
        'timeoutT4'
         indicates that the T4 timer expired on the CM."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Cable Modem -
         CMTS Interaction section."
     SYNTAX      INTEGER {other(1),
                          aborted(2),
                          retriesExceeded(3),
                          success(4),
                          continue(5),
                          timeoutT4(6)
                         }

IfDirection ::= TEXTUAL-CONVENTION
    STATUS      current
    DESCRIPTION
       "Indicates a direction on an RF MAC interface.

       The value downstream(1) is from Cable Modem
       Termination System to Cable Modem.

       The value upstream(2) is from Cable Modem to
       Cable Modem Termination System."
    SYNTAX      INTEGER {
                         downstream(1),
                         upstream(2)
                        }

-- Object Definitions
docsIf3MibObjects  OBJECT IDENTIFIER ::= { docsIf3Mib 1 }

docsIf3CmStatusTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3CmStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object defines attributes of the CM connectivity
        status.This object provides CM connectivity status
        information of the CM previously available in
        the SNMP table docsIfCmStatusTable."
     REFERENCE
        "RFC 4546"
     ::= { docsIf3MibObjects 1}

docsIf3CmStatusEntry OBJECT-TYPE
     SYNTAX      DocsIf3CmStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3CmStatusTable.
         An instance exist for the CM MAC Domain Interface."
     INDEX {
             ifIndex
           }
     ::= { docsIf3CmStatusTable 1 }

DocsIf3CmStatusEntry ::= SEQUENCE {
     docsIf3CmStatusValue
                  CmRegState,
     docsIf3CmStatusCode
                  OCTET STRING,
     docsIf3CmStatusResets
```

```
                Counter32,
     docsIf3CmStatusLostSyncs
                Counter32,
     docsIf3CmStatusInvalidMaps
                Counter32,
     docsIf3CmStatusInvalidUcds
                Counter32,
     docsIf3CmStatusInvalidRangingRsps
                Counter32,
     docsIf3CmStatusInvalidRegRsps
                Counter32,
     docsIf3CmStatusT1Timeouts
                Counter32,
     docsIf3CmStatusT2Timeouts
                Counter32,
     docsIf3CmStatusUCCsSuccesses
                Counter32,
     docsIf3CmStatusUCCFails
                Counter32
     }

docsIf3CmStatusValue OBJECT-TYPE
     SYNTAX      CmRegState
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute denotes the current CM connectivity
        state. For the case of IP acquisition related states,
        this attribute reflects states for the current CM
        provisioning mode, not the other DHCP process associated
        with dual stack operation."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Establishing
         IP Connectivity section."
     ::= { docsIf3CmStatusEntry 1 }

docsIf3CmStatusCode OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE( 0 | 5 | 6 ))
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute denotes the status code for CM as defined
        in the OSSI Specification. The status code consists
        of a single character indicating error groups,
        followed by a two- or three-digit number indicating
        the status condition, followed by a decimal. An example
        of a returned value could be 'T101.0'. The zero-length
        hex string indicates no status code yet registered."
     REFERENCE
        "DOCSIS 3.0 Operations Support System Interface
         Specification CM-SP-OSSIv3.0-I01-061207, Format and Content
         for Event, Syslog, and SNMP Notification Annex."
     ::= { docsIf3CmStatusEntry 2 }

docsIf3CmStatusResets OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "resets"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute denotes the number of times the CM reset
        or initialized this interface. Discontinuities
        in the value of this counter can occur at re-initialization
        of the managed system, and at other times as
        indicated by the value of ifCounterDiscontinuityTime
        for the CM MAC Domain interface."
```

```
      REFERENCE
         "RFC 2863."
      ::= { docsIf3CmStatusEntry 3 }

docsIf3CmStatusLostSyncs OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "messages"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute denotes the number of times the CM lost
         synchronization with the downstream channel. Discontinuities
         in the value of this counter can occur
         at re-initialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime for the CM MAC Domain interface."
      REFERENCE
         "RFC 2863."
      ::= { docsIf3CmStatusEntry 4 }

docsIf3CmStatusInvalidMaps OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "maps"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute denotes the number of times the CM received
         invalid MAP messages. Discontinuities in the
         value of this counter can occur at re-initialization
         of the managed system, and at other times as indicated
         by the value of ifCounterDiscontinuityTime for
         the CM MAC Domain interface."
      REFERENCE
         "RFC 2863."
      ::= { docsIf3CmStatusEntry 5 }

docsIf3CmStatusInvalidUcds OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "messages"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute denotes the number of times the CM received
         invalid UCD messages. Discontinuities in the
         value of this counter can occur at re-initialization
         of the managed system, and at other times as indicated
         by the value of ifCounterDiscontinuityTime for
         the CM MAC Domain interface."
      REFERENCE
         "RFC 2863."
      ::= { docsIf3CmStatusEntry 6 }

docsIf3CmStatusInvalidRangingRsps OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "messages"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute denotes the number of times the CM received
         invalid ranging response messages. Discontinuities
         in the value of this counter can occur at re-initialization
         of the managed system, and at other
         times as indicated by the value of ifCounterDiscontinuityTime
         for the CM MAC Domain interface."
      REFERENCE
         "RFC 2863."
      ::= { docsIf3CmStatusEntry 7 }
```

```
docsIf3CmStatusInvalidRegRsps OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "messages"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute denotes the number of times the CM received
        invalid registration response messages. Discontinuities
        in the value of this counter can occur
        at re-initialization of the managed system, and at
        other times as indicated by the value of
        ifCounterDiscontinuityTime for the CM MAC Domain interface."
     REFERENCE
        "RFC 2863."
     ::= { docsIf3CmStatusEntry 8 }

docsIf3CmStatusT1Timeouts OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "timeouts"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute denotes the number of times counter
        T1 expired in the CM. Discontinuities in the value of
        this counter can occur at re-initialization of the
        managed system, and at other times as indicated by the
        value of ifCounterDiscontinuityTime for the CM MAC
        Domain interface."
     REFERENCE
        "RFC 2863."
     ::= { docsIf3CmStatusEntry 9 }

docsIf3CmStatusT2Timeouts OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "timeouts"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute denotes the number of times counter
        T2 expired in the CM. Discontinuities in the value of
        this counter can occur at re-initialization of the
        managed system, and at other times as indicated by the
        value of ifCounterDiscontinuityTime for the CM MAC
        Domain interface."
     REFERENCE
        "RFC 2863."
     ::= { docsIf3CmStatusEntry 10 }

docsIf3CmStatusUCCsSuccesses OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "attempts"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute denotes the number of successful Upstream
        Channel Change transactions. Discontinuities
        in the value of this counter can occur at re-initialization
        of the managed system, and at other times
        as indicated by the value of ifCounterDiscontinuityTime
         for the CM MAC Domain interface."
     REFERENCE
        "RFC 2863."
     ::= { docsIf3CmStatusEntry 11 }

docsIf3CmStatusUCCFails OBJECT-TYPE
     SYNTAX      Counter32
```

```
      UNITS       "attempts"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute denotes the number of failed Upstream
         Channel Change transactions. Discontinuities
         in the value of this counter can occur at re-initialization
         of the managed system, and at other times as indicated
         by the value of ifCounterDiscontinuityTime
         for the CM MAC Domain interface."
      REFERENCE
         "RFC 2863."
      ::= { docsIf3CmStatusEntry 12 }

docsIf3CmStatusUsTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsIf3CmStatusUsEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object defines PHY and MAC information about
         the CM's upstream channels operating in Multiple Transmit
         Channel (MTC) mode or in a Pre-3.0 DOSCIS transmit
         channel mode. This object provides per-CM Upstream
         channel information previously available in the
         SNMP table docsIfCmStatusTable."
      ::= { docsIf3MibObjects 2}

docsIf3CmStatusUsEntry OBJECT-TYPE
      SYNTAX      DocsIf3CmStatusUsEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsIf3CmStatusUsTable.
          An instance exist for the CM upstream channels configured for
          data transmission."
      INDEX {
              ifIndex
            }
      ::= { docsIf3CmStatusUsTable 1 }

DocsIf3CmStatusUsEntry ::= SEQUENCE {
      docsIf3CmStatusUsTxPower
                  TenthdBmV,
      docsIf3CmStatusUsT3Timeouts
                  Counter32,
      docsIf3CmStatusUsT4Timeouts
                  Counter32,
      docsIf3CmStatusUsRangingAborteds
                  Counter32,
      docsIf3CmStatusUsModulationType
                  DocsisUpstreamType,
      docsIf3CmStatusUsEqData
                  DocsEqualizerData,
      docsIf3CmStatusUsT3Exceededs
                  Counter32,
      docsIf3CmStatusUsIsMuted
                  TruthValue,
      docsIf3CmStatusUsRangingStatus
                  RangingState
      }

docsIf3CmStatusUsTxPower OBJECT-TYPE
      SYNTAX      TenthdBmV
      UNITS       "TenthdBmV"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
```

```
        "This attribute represents the operational CM transmit
        power for this upstream channel."
     ::= { docsIf3CmStatusUsEntry 1 }

docsIf3CmStatusUsT3Timeouts OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "timeouts"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute denotes the number of times counter
        T3 expired in the CM for this upstream channel. Discontinuities
        in the value of this counter can occur at
        re-initialization of the managed system, and at other
        times as indicated by the value of ifCounterDiscontinuityTime
        for the associated upstream channel."
     REFERENCE
        "RFC 2863."
     ::= { docsIf3CmStatusUsEntry 2 }

docsIf3CmStatusUsT4Timeouts OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "timeouts"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute denotes the number of times counter
        T4 expired in the CM for this upstream channel. Discontinuities
        in the value of this counter can occur at
        re-initialization of the managed system, and at other
        times as indicated by the value of ifCounterDiscontinuityTime
        for the associated upstream channel."
     REFERENCE
        "RFC 2863."
     ::= { docsIf3CmStatusUsEntry 3 }

docsIf3CmStatusUsRangingAborteds OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "attempts"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute denotes the number of times the ranging
        process was aborted by the CMTS. Discontinuities
        in the value of this counter can occur at re-initialization
        of the managed system, and at other times as
        indicated by the value of ifCounterDiscontinuityTime
        ([RFC2863]) for the associated upstream channel."
     REFERENCE
        "RFC 2863."
     ::= { docsIf3CmStatusUsEntry 4 }

docsIf3CmStatusUsModulationType OBJECT-TYPE
     SYNTAX      DocsisUpstreamType
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates modulation type status
        currently used by the CM for this upstream channel. Since
        this object specifically identifies PHY Layer
        mode, the shared upstream channel type 'tdmaAndAtdma'
        is not permitted."
     REFERENCE
        "RFC 2863."
     ::= { docsIf3CmStatusUsEntry 5 }

docsIf3CmStatusUsEqData OBJECT-TYPE
```

```
      SYNTAX      DocsEqualizerData
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the pre-equalization data
         for the specified upstream Channel on this CM after
         convolution with data indicated in the RNG-RSP. This
         data is valid when docsIfUpChannelPreEqEnable
         RFC 4546 is set to true."
      REFERENCE
         "RFC 2863.
          RFC 4546."
      ::= { docsIf3CmStatusUsEntry 6 }

docsIf3CmStatusUsT3Exceededs OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "timeouts"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute denotes the number of times for excessive
         T3 timeouts. Discontinuities in the value of
         this counter can occur at re-initialization of the managed
         system, and at other times as indicated by the
         value of ifCounterDiscontinuityTime for the associated
         upstream channel."
      REFERENCE
         "RFC 2863."
      ::= { docsIf3CmStatusUsEntry 7 }

docsIf3CmStatusUsIsMuted OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute denotes whether the upstream
         channel is muted."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206 section
          Media Access Control specification."
      ::= { docsIf3CmStatusUsEntry 8 }

docsIf3CmStatusUsRangingStatus OBJECT-TYPE
      SYNTAX      RangingState
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute denotes the ranging state of the CM."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, section
          Media Access Control specification."
      ::= { docsIf3CmStatusUsEntry 9 }

docsIf3CmtsCmRegStatusTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsIf3CmtsCmRegStatusEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object defines attributes that represent the CM's
         registration status as tracked by the CMTS."
      ::= { docsIf3MibObjects 3}

docsIf3CmtsCmRegStatusEntry OBJECT-TYPE
      SYNTAX      DocsIf3CmtsCmRegStatusEntry
      MAX-ACCESS  not-accessible
```

```
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3CmtsCmRegStatusTable."
     INDEX {
             docsIf3CmtsCmRegStatusId
           }
     ::= { docsIf3CmtsCmRegStatusTable 1 }

DocsIf3CmtsCmRegStatusEntry ::= SEQUENCE {
     docsIf3CmtsCmRegStatusId
                 Unsigned32,
     docsIf3CmtsCmRegStatusMacAddr
                 MacAddress,
     docsIf3CmtsCmRegStatusIPv6Addr
                 InetAddressIPv6,
     docsIf3CmtsCmRegStatusIPv6LinkLocal
                 InetAddressIPv6,
     docsIf3CmtsCmRegStatusIPv4Addr
                 InetAddressIPv4,
     docsIf3CmtsCmRegStatusValue
                 CmtsCmRegState,
     docsIf3CmtsCmRegStatusMdIfIndex
                 InterfaceIndexOrZero,
     docsIf3CmtsCmRegStatusMdCmSgId
                 Unsigned32,
     docsIf3CmtsCmRegStatusRcpId
                 RcpId,
     docsIf3CmtsCmRegStatusRccStatusId
                 Unsigned32,
     docsIf3CmtsCmRegStatusRcsId
                 ChSetId,
     docsIf3CmtsCmRegStatusTcsId
                 ChSetId,
     docsIf3CmtsCmRegStatusServiceType
                 DocsisQosVersion,
     docsIf3CmtsCmRegStatusLastRegTime
                 DateAndTime,
     docsIf3CmtsCmRegStatusAddrResolutionReqs
                 Counter32
     }

docsIf3CmtsCmRegStatusId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..4294967295)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute uniquely identifies a CM.  The CMTS
        must assign a single id value for each CM MAC address seen
        by the CMTS.  The CMTS should ensure that the association
        between an Id and MAC Address remains constant
        during CMTS uptime."
     ::= { docsIf3CmtsCmRegStatusEntry 1 }

docsIf3CmtsCmRegStatusMacAddr OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the MAC address of the CM.
        If the CM has multiple MAC addresses, this is the MAC
        address associated with the MAC Domain interface."
     ::= { docsIf3CmtsCmRegStatusEntry 2 }

docsIf3CmtsCmRegStatusIPv6Addr OBJECT-TYPE
     SYNTAX      InetAddressIPv6
     MAX-ACCESS  read-only
     STATUS      current
```

```
      DESCRIPTION
         "This attribute represents the IPv6 address of the
         CM. If the CM has no Internet address assigned, or the
         Internet address is unknown, the value of this attribute
         is the all zeros address."
      ::= { docsIf3CmtsCmRegStatusEntry 3 }

docsIf3CmtsCmRegStatusIPv6LinkLocal OBJECT-TYPE
      SYNTAX      InetAddressIPv6
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the IPv6 local scope address
         of the CM. If the CM has no link local address assigned,
         or the Internet address is unknown, the value
         of this attribute is the all zeros address."
      ::= { docsIf3CmtsCmRegStatusEntry 4 }

docsIf3CmtsCmRegStatusIPv4Addr OBJECT-TYPE
      SYNTAX      InetAddressIPv4
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the IPv4 address of this
         CM. If the CM has no IP address assigned, or the IP address
         is unknown, this object returns 0.0.0.0."
      ::= { docsIf3CmtsCmRegStatusEntry 5 }

docsIf3CmtsCmRegStatusValue OBJECT-TYPE
      SYNTAX      CmtsCmRegState
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the current CM connectivity
         state."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Cable Modem
          Initialization and Reinitialization section."
      ::= { docsIf3CmtsCmRegStatusEntry 6 }

docsIf3CmtsCmRegStatusMdIfIndex OBJECT-TYPE
      SYNTAX      InterfaceIndexOrZero
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the interface Index of
         the CMTS MAC Domain where the CM is active. If the interface
         is unknown, the CMTS returns a value of zero."
      ::= { docsIf3CmtsCmRegStatusEntry 7 }

docsIf3CmtsCmRegStatusMdCmSgId OBJECT-TYPE
      SYNTAX      Unsigned32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the ID of the MAC Domain
         CM Service Group Id (MD-CM-SG-ID) in which the CM is registered.
         If the ID is unknown, the CMTS returns a value
         of zero."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Cable Modem
          Service Group (CM-SG) section."
      ::= { docsIf3CmtsCmRegStatusEntry 8 }

docsIf3CmtsCmRegStatusRcpId OBJECT-TYPE
```

```
      SYNTAX      RcpId
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the RCP-ID associated
         with the CM. If the RCP-ID is unknown the CMTS returns
         a five octet long string of zeros."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, RCP-ID
          section in the Common Radio Frequency Interface
          Encodings Annex."
      ::= { docsIf3CmtsCmRegStatusEntry 9 }

docsIf3CmtsCmRegStatusRccStatusId OBJECT-TYPE
      SYNTAX      Unsigned32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the RCC Id the CMTS used
         to configure the CM receive channel set during the registration
         process. If unknown, the CMTS returns the
         value zero."
      ::= { docsIf3CmtsCmRegStatusEntry 10 }

docsIf3CmtsCmRegStatusRcsId OBJECT-TYPE
      SYNTAX      ChSetId
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the Receive Channel Set
         (RCS) that the CM is currently using. If the RCS is unknown,
         the CMTS returns the value zero."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Cable Modem
          Physical Receive Channel Configuration section and the
          Receive Channels section in the Common Radio Frequency
          Interface Encodings Annex."
      ::= { docsIf3CmtsCmRegStatusEntry 11 }

docsIf3CmtsCmRegStatusTcsId OBJECT-TYPE
      SYNTAX      ChSetId
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents Transmit Channel Set (TCS)
         the CM is currently using. If the TCS is unknown,
         the CMTS returns the value zero."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Changes to
          the Transmit Channel Set section."
      ::= { docsIf3CmtsCmRegStatusEntry 12 }

docsIf3CmtsCmRegStatusServiceType OBJECT-TYPE
      SYNTAX      DocsisQosVersion
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute denotes the queueing services the CM
         registered, either DOCSIS 1.1 QoS or DOCSIS 1.0 CoS mode."
      ::= { docsIf3CmtsCmRegStatusEntry 13 }

docsIf3CmtsCmRegStatusLastRegTime OBJECT-TYPE
      SYNTAX      DateAndTime
      MAX-ACCESS  read-only
```

```
     STATUS      current
     DESCRIPTION
        "This attribute represents the last time the CM registered."
     ::= { docsIf3CmtsCmRegStatusEntry 14 }

docsIf3CmtsCmRegStatusAddrResolutionReqs OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute counts represents the number of upstream
        packets received on the SIDs assigned to a CM that
        are any of the following:
        Upstream IPv4 ARP Requests
        Upstream IPv6 Neighbor Solicitation Requests
        (For routing CMTSs) Upstream IPv4 or IPv6 packets to
        unresolved destinations in locally connected downstream
        subnets in the HFC.
        Discontinuities in the value of this counter can occur
        at re-initialization of the managed system, and at
        other times as indicated by the value of
        ifCounterDiscontinuityTime for the associated MAC Domain
        interface."
     REFERENCE
         "DOCSIS 3.0 Security Specification CM-SP-MULPIv3.0-I06-071206,
         Secure Provisioning section.
         RFC 2863."
     ::= { docsIf3CmtsCmRegStatusEntry 15 }

docsIf3CmtsCmUsStatusTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3CmtsCmUsStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object defines status information of the CM
        currently in use Upstream Logical Channels, as reported
        by the CMTS."
     ::= { docsIf3MibObjects 4}

docsIf3CmtsCmUsStatusEntry OBJECT-TYPE
     SYNTAX      DocsIf3CmtsCmUsStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3CmtsCmUsStatusTable."
     INDEX {
             docsIf3CmtsCmRegStatusId,
             docsIf3CmtsCmUsStatusChIfIndex
           }
     ::= { docsIf3CmtsCmUsStatusTable 1 }

DocsIf3CmtsCmUsStatusEntry ::= SEQUENCE {
     docsIf3CmtsCmUsStatusChIfIndex
                  InterfaceIndex,
     docsIf3CmtsCmUsStatusModulationType
                  DocsisUpstreamType,
     docsIf3CmtsCmUsStatusRxPower
                  TenthdBmV,
     docsIf3CmtsCmUsStatusSignalNoise
                  TenthdB,
     docsIf3CmtsCmUsStatusMicroreflections
                  Unsigned32,
     docsIf3CmtsCmUsStatusEqData
                  DocsEqualizerData,
     docsIf3CmtsCmUsStatusUnerroreds
                  Counter32,
     docsIf3CmtsCmUsStatusCorrecteds
```

```
                Counter32,
     docsIf3CmtsCmUsStatusUncorrectables
                Counter32,
     docsIf3CmtsCmUsStatusHighResolutionTimingOffset
                Integer32,
     docsIf3CmtsCmUsStatusIsMuted
                TruthValue,
     docsIf3CmtsCmUsStatusRangingStatus
                RangingState

     }

docsIf3CmtsCmUsStatusChIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndex
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute is a key that represents the ifIndex
        of the upstream interface."
     ::= { docsIf3CmtsCmUsStatusEntry 1 }

docsIf3CmtsCmUsStatusModulationType OBJECT-TYPE
     SYNTAX      DocsisUpstreamType
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the modulation type currently
        used by this upstream channel."
     ::= { docsIf3CmtsCmUsStatusEntry 2 }

docsIf3CmtsCmUsStatusRxPower OBJECT-TYPE
     SYNTAX      TenthdBmV
     UNITS       "TenthdBmV"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the receive power of this
        upstream channel."
     ::= { docsIf3CmtsCmUsStatusEntry 3 }

docsIf3CmtsCmUsStatusSignalNoise OBJECT-TYPE
     SYNTAX      TenthdB
     UNITS       "TenthdB"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents Signal/Noise ratio as
        perceived for upstream data from the CM on this upstream
        channel."
     ::= { docsIf3CmtsCmUsStatusEntry 4 }

docsIf3CmtsCmUsStatusMicroreflections OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     UNITS       "-dBc"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents microreflections received
        on this upstream channel."
     ::= { docsIf3CmtsCmUsStatusEntry 5 }

docsIf3CmtsCmUsStatusEqData OBJECT-TYPE
     SYNTAX      DocsEqualizerData
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the equalization data
```

```
        for the CM on this upstream channel."
     ::= { docsIf3CmtsCmUsStatusEntry 6 }

docsIf3CmtsCmUsStatusUnerroreds OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the codewords received
        without error from the CM on this interface. Discontinuities
        in the value of this counter can occur at re-initialization
        of the managed system, and at other
        times as indicated by the value of ifCounterDiscontinuityTime
        for the associated upstream channel."
     REFERENCE
        "RFC 2863."
     ::= { docsIf3CmtsCmUsStatusEntry 7 }

docsIf3CmtsCmUsStatusCorrecteds OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the codewords received
        with correctable errors from the CM on this upstream
        channeli. Discontinuities in the value of this counter
        can occur at re-initialization of the managed system,
        and at other times as indicated by the value of
        ifCounterDiscontinuityTime for the associated upstream
        channel."
     REFERENCE
        "RFC 2863."
     ::= { docsIf3CmtsCmUsStatusEntry 8 }

docsIf3CmtsCmUsStatusUncorrectables OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the codewords received
        with uncorrectable errors from the CM on this upstream
        channel. Discontinuities in the value of this counter
        can occur at re-initialization of the managed
        system, and at other times as indicated by the value of
        ifCounterDiscontinuityTime for the associated upstream
        channel."
     REFERENCE
        "RFC 2863."
     ::= { docsIf3CmtsCmUsStatusEntry 9 }

docsIf3CmtsCmUsStatusHighResolutionTimingOffset OBJECT-TYPE
     SYNTAX      Integer32
     UNITS       "time tick/(64*256)"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the current measured round
        trip time on this CM's upstream channel in units
        of (6.25 microseconds/(64*256)).  This attribute returns
        zero if the value is unknown."
     ::= { docsIf3CmtsCmUsStatusEntry 10 }

docsIf3CmtsCmUsStatusIsMuted OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
```

```
        "This attribute has a value 'true' to indicate that
        the CM's upstream channel has been muted via
        CM-CTRL-REQ/CM-CTRL-RSP message exchange."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, section
         Media Access Control specification."
     ::= { docsIf3CmtsCmUsStatusEntry 11 }

docsIf3CmtsCmUsStatusRangingStatus OBJECT-TYPE
     SYNTAX      RangingState
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute denotes the ranging state of the CM."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, section
         Media Access Control specification."
     ::= { docsIf3CmtsCmUsStatusEntry 12 }

docsIf3MdChCfgTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3MdChCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object configures the association of downstream
        and upstream channels to a particular MAC Domain
        (MD) on a CMTS.  The creation of channels and MAC domain
        object interface instances is vendor-specific.
        In particular, the assignment of the channel interface
        index is normally vendor-specific. Therefore,
        this object is intended only for associating channels
        to a MAC Domain and assumes that those channels were
        previously configured.
        The CMTS may have restrictions on which channels can
        be configured in the same MAC Domain.  For example, it
        could require the upstream channels to be from the same
        line card.
        This object supports the creation and deletion of multiple
        instances.
        Creation of a new instance of this object requires the
        ChId attribute to be set."
     ::= { docsIf3MibObjects 5}

docsIf3MdChCfgEntry OBJECT-TYPE
     SYNTAX      DocsIf3MdChCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3MdChCfgTable.
         The ifIndex key corresponds to the MAC Domain interface
         where the channel is configured.
         The CMTS persists all instances of MdChCfg across
         reinitializations."
     INDEX {
             ifIndex,
             docsIf3MdChCfgChIfIndex
           }
     ::= { docsIf3MdChCfgTable 1 }

DocsIf3MdChCfgEntry ::= SEQUENCE {
     docsIf3MdChCfgChIfIndex
                  InterfaceIndex,
     docsIf3MdChCfgIsPriCapableDs
                  TruthValue,
     docsIf3MdChCfgChId
```

```
                ChId,
     docsIf3MdChCfgSfProvAttrMask
                AttributeMask,
     docsIf3MdChCfgRowStatus
                RowStatus
     }

docsIf3MdChCfgChIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndex
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the interface index of an existing
        upstream or downstream channel that is configured
        to be part of the MAC Domain.
        For the case of upstream interfaces the CMTS could reject
        the assignment of upstream logical channels under
        the same physical upstream interface to different
        MAC Domains."
     ::= { docsIf3MdChCfgEntry 1 }

docsIf3MdChCfgIsPriCapableDs OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "If set to 'true', this attribute configures the downstream
        channel as Primary-Capable.
        The default value for a downstream channel is 'true'.
        This attribute is not relevant for upstream interfaces,
        therefore it reports the value 'false' for such interfaces.
        A CMTS may restrict the permitted value of this attribute
        based upon physical channel capabilities."
     ::= { docsIf3MdChCfgEntry 2 }

docsIf3MdChCfgChId OBJECT-TYPE
     SYNTAX      ChId (1..255)
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute contains the 8-bit Downstream Channel
        ID (DCID) or Upstream Channel ID (UCID) configured
        for the channel in the MAC Domain."
     ::= { docsIf3MdChCfgEntry 3 }

docsIf3MdChCfgSfProvAttrMask OBJECT-TYPE
     SYNTAX      AttributeMask
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute contains Provisioned Attribute Mask
        of non-bonded service flow assignment to this channel."
     DEFVAL { '00000000'H }
     ::= { docsIf3MdChCfgEntry 4 }

docsIf3MdChCfgRowStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "The status of this instance."
     ::= { docsIf3MdChCfgEntry 5 }

docsIf3RccCfgTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3RccCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
```

```
     DESCRIPTION
        "This object identifies the scope of the Receive Channel
        Configuration (RCC) and provides a top level container
        for the Receive Module and Receive Channel
        objects.  The CMTS selects an instance of this object
        to assign to a CM when it registers.
        This object supports the creation and deletion of multiple
        instances."
     ::= { docsIf3MibObjects 6}

docsIf3RccCfgEntry OBJECT-TYPE
     SYNTAX      DocsIf3RccCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3RccCfgTable.
         The ifIndex key corresponds to the MAC Domain interface
         where the RCC is configured.
          The CMTS persists all instances of RccCfg across
          reinitializations."
     INDEX {
             ifIndex,
             docsIf3RccCfgRcpId,
             docsIf3RccCfgRccCfgId
           }
     ::= { docsIf3RccCfgTable 1 }

DocsIf3RccCfgEntry ::= SEQUENCE {
     docsIf3RccCfgRcpId
                  RcpId,
     docsIf3RccCfgRccCfgId
                  Unsigned32,
     docsIf3RccCfgVendorSpecific
                  OCTET STRING,
     docsIf3RccCfgDescription
                  SnmpAdminString,
     docsIf3RccCfgRowStatus
                  RowStatus
     }

docsIf3RccCfgRcpId OBJECT-TYPE
     SYNTAX      RcpId
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the 'Receive Channel Profile
        Identifier' (RCP-ID) configured for the MAC Domain
        indicated by this instance."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Standard
         Receive Channel Profile Encodings Annex."
     ::= { docsIf3RccCfgEntry 1 }

docsIf3RccCfgRccCfgId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..65535)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key denotes an RCC combination assignment for
        a particular RcpId and is unique per combination of
        MAC Domain and RcpId."
     ::= { docsIf3RccCfgEntry 2 }

docsIf3RccCfgVendorSpecific OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE(0..252))
     MAX-ACCESS  read-create
```

```
      STATUS      current
      DESCRIPTION
         "This attribute contains vendor-specific information
         of the CM Receive Channel configuration."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Receive Channel
          Profile/Configuration Vendor Specific Parameters section
          in the Common Radio Frequency Interface Encodings Annex."
      DEFVAL { ''H }
      ::= { docsIf3RccCfgEntry 3 }

docsIf3RccCfgDescription OBJECT-TYPE
      SYNTAX      SnmpAdminString (SIZE (0..15))
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute contains a human-readable description
         of the CM RCP Configuration."
      DEFVAL { "" }
      ::= { docsIf3RccCfgEntry 4 }

docsIf3RccCfgRowStatus OBJECT-TYPE
      SYNTAX      RowStatus
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "The status of this instance."
      ::= { docsIf3RccCfgEntry 5 }

docsIf3RccStatusTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsIf3RccStatusEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "The RCC Status object provides a read-only view of
         the statically-configured (from the RccCfg object)
         and dynamically-created RCCs.
         The CMTS creates an RCC Status instance for each unique
         MAC Domain Cable Modem Service Group (MD-CM-SG) to
         which it signals an RCC to the CM."
      ::= { docsIf3MibObjects 7}

docsIf3RccStatusEntry OBJECT-TYPE
      SYNTAX      DocsIf3RccStatusEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsIf3RccStatusTable.
          The ifIndex key corresponds to the MAC Domain interface
          where the RCC is configured."
      INDEX {
              ifIndex,
              docsIf3RccStatusRcpId,
              docsIf3RccStatusRccStatusId
             }
      ::= { docsIf3RccStatusTable 1 }

DocsIf3RccStatusEntry ::= SEQUENCE {
      docsIf3RccStatusRcpId
                   RcpId,
      docsIf3RccStatusRccStatusId
                   Unsigned32,
      docsIf3RccStatusRccCfgId
                   Unsigned32,
      docsIf3RccStatusValidityCode
                   INTEGER,
```

```
     docsIf3RccStatusValidityCodeText
                 SnmpAdminString
     }

docsIf3RccStatusRcpId OBJECT-TYPE
     SYNTAX      RcpId
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the RCP-ID to which this instance
        applies."
     ::= { docsIf3RccStatusEntry 1 }

docsIf3RccStatusRccStatusId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..255)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents an RCC combination for a particular
        RcpId either from an RCC configuration object
        or a CMTS-determined RCC and is unique per combination
        of MAC Domain IfIndex and RcpId."
     ::= { docsIf3RccStatusEntry 2 }

docsIf3RccStatusRccCfgId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute identifies an RCC-Configured combination
        from which this instance was defined. If nonzero,
        it corresponds to the RccCfg instance from which
        the RCC was created. Zero means that the  RCC was dynamically
        created by the CMTS."
     ::= { docsIf3RccStatusEntry 3 }

docsIf3RccStatusValidityCode OBJECT-TYPE
     SYNTAX      INTEGER {other(1),
                          valid(2),
                          invalid(3),
                          wrongPrimaryDs(4),
                          missingPrimaryDs(5),
                          multiplePrimaryDs(6),
                          duplicateDs(7),
                          wrongFrequencyRange(8),
                          wrongConnectivity(9)
                          }
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates whether the RCC instance
        of this object is valid or not. An RCC Status instance
        from a configured or a dynamic RCC could become invalid,
        for example, due changes in the topology."
     ::= { docsIf3RccStatusEntry 4 }

docsIf3RccStatusValidityCodeText OBJECT-TYPE
     SYNTAX      SnmpAdminString
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute contains the CMTS vendor-specific
        log information from the Receive Channel Configuration
        Status encoding."
     ::= { docsIf3RccStatusEntry 5 }

docsIf3RxChCfgTable OBJECT-TYPE
```

```
     SYNTAX      SEQUENCE OF DocsIf3RxChCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The Receive Channel Configuration object permits
        an operator to configure how CMs registered with certain
        Receive Channel Profiles will configure the Receive
        Channels within their profile. When a CM registers
        with an RCP for which all Receive Channel Indices
        (RcIds) are configured in the Receive Module object
        and all Receive Channels are configured within this
        object, the CMTS should use the configuration within
        these objects to set the Receive Channel Configuration
        returned to the CM in a REG-RSP message.  A CMTS
        may require configuration of all pertinent Receive
        Module and Receive Channel instances in order to register
        a CM that reports a Receive Channel Profile (RCP),
        including any standard Receive Channel Profiles.
        If the CM reports multiple RCPs, and Receive Module
        and Receive Channel objects have instances for more
        than one RCP, the particular RCP selected by the CMTS
        is not specified.  A CMTS is not restricted to assigning
        Receive Modules based only on the contents of this
        object.
        This object supports the creation and deletion of multiple
        instances.
        Creation of a new instance of this object requires the
        ChIfIndex attribute to be set and a valid reference of
        a RccCfg instance."
     ::= { docsIf3MibObjects 8}

docsIf3RxChCfgEntry OBJECT-TYPE
     SYNTAX      DocsIf3RxChCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3RxChCfgTable.
         The ifIndex key corresponds to the MAC Domain interface
         where the RCC is configured.
         The CMTS persists all instances of ReceiveChannelCfg across
         reinitializations."
     INDEX {
             ifIndex,
             docsIf3RccCfgRcpId,
             docsIf3RccCfgRccCfgId,
             docsIf3RxChCfgRcId
           }
     ::= { docsIf3RxChCfgTable 1 }

DocsIf3RxChCfgEntry ::= SEQUENCE {
     docsIf3RxChCfgRcId
                  Unsigned32,
     docsIf3RxChCfgChIfIndex
                  InterfaceIndex,
     docsIf3RxChCfgPrimaryDsIndicator
                  TruthValue,
     docsIf3RxChCfgRcRmConnectivityId
                  Unsigned32,
     docsIf3RxChCfgRowStatus
                  RowStatus
     }

docsIf3RxChCfgRcId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..255)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
```

```
         "This key represents an identifier for the parameters
         of the Receive Channel instance within the Receive
         Channel Profile."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Receive
         Channel Index section in the Common Radio Frequency
         Interface Encodings Annex."
      ::= { docsIf3RxChCfgEntry 1 }

docsIf3RxChCfgChIfIndex OBJECT-TYPE
      SYNTAX      InterfaceIndex
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute contains the interface index of a Downstream
         Channel that this Receive Channel Instance
         defines."
      ::= { docsIf3RxChCfgEntry 2 }

docsIf3RxChCfgPrimaryDsIndicator OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "If set to 'true', this attribute indicates the Receive
         Channel is to be the primary-capable downstream
         channel for the CM receiving this RCC. Otherwise, the
         downstream channel is to be a non-primary-capable
         channel."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Receive Channel
         Primary Downstream Channel Indicator section in the Common
         Radio Frequency Interface Encodings Annex."
      DEFVAL { false }
      ::= { docsIf3RxChCfgEntry 3 }

docsIf3RxChCfgRcRmConnectivityId OBJECT-TYPE
      SYNTAX      Unsigned32 (0..255)
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute indicates the Receive Module (via
         the RmId from the ReceiveModule object) to which this
         Receive Channel connects.  If this object contains
         a zero value (and thus no Receive Channel Connectivity),
         the Receive Channel Connectivity TLV is omitted
         from the RCC."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Receive
         Channel Connectivity section in the Common Radio
         Frequency Interface Encodings Annex."
      DEFVAL { 0 }
      ::= { docsIf3RxChCfgEntry 4 }

docsIf3RxChCfgRowStatus OBJECT-TYPE
      SYNTAX      RowStatus
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "The status of this instance."
      ::= { docsIf3RxChCfgEntry 5 }

docsIf3RxChStatusTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsIf3RxChStatusEntry
```

```
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The Receive Channel Status object reports the status
        of the statically-configured and dynamically-created
        Receive Channels within an RCC."
     ::= { docsIf3MibObjects 9}

docsIf3RxChStatusEntry OBJECT-TYPE
     SYNTAX      DocsIf3RxChStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3RxChStatusTable.
         The ifIndex key corresponds to the MAC Domain interface
         where the RCC is configured. When this object is defined
         on the CM, the value of RccStatusId is always 1."
     INDEX {
             ifIndex,
             docsIf3RccStatusRcpId,
             docsIf3RccStatusRccStatusId,
             docsIf3RxChStatusRcId
           }
     ::= { docsIf3RxChStatusTable 1 }

DocsIf3RxChStatusEntry ::= SEQUENCE {
     docsIf3RxChStatusRcId
                  Unsigned32,
     docsIf3RxChStatusChIfIndex
                  InterfaceIndex,
     docsIf3RxChStatusPrimaryDsIndicator
                  TruthValue,
     docsIf3RxChStatusRcRmConnectivityId
                  Unsigned32
     }

docsIf3RxChStatusRcId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..255)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents an identifier for the parameters
        of the Receive Channel instance within the Receive
        Channel Profile."
     ::= { docsIf3RxChStatusEntry 1 }

docsIf3RxChStatusChIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndex
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute contains the interface index of the
        Downstream Channel that this Receive Channel Instance
        defines."
     ::= { docsIf3RxChStatusEntry 2 }

docsIf3RxChStatusPrimaryDsIndicator OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "If set to 'true', this attribute indicates the Receive
        Channel is to be the primary-capable downstream
        channel for the CM receiving this RCC. Otherwise, the
        downstream channel is to be a non-primary-capable
        channel."
     ::= { docsIf3RxChStatusEntry 3 }
```

```
docsIf3RxChStatusRcRmConnectivityId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..255)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute identifies the Receive Module to which
        this Receive Channel connects.  A value a zero indicates
        that the Receive Channel Connectivity TLV is
        omitted from the RCC."
     ::= { docsIf3RxChStatusEntry 4 }

docsIf3RxModuleCfgTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3RxModuleCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The Receive Module Configuration object permits
        an operator to configure how CMs with certain Receive
        Channel Profiles (RCPs) will configure the Receive
        Modules within their profile upon CM registration.
         When a CM registers with an RCP for which all Receive
        Module Indices (RmIds) are configured in this object
        and all Receive Channels are configured within the
        Receive Channel (ReceiveChannel) object, the CMTS
        should use the configuration within these objects to
        set the Receive Channel Configuration assigned to
        the CM in a REG-RSP message.  A CMTS may require configuration
        of all pertinent Receive Module and Receive
        Channel instances (i.e., MIB table entries) in order
        to register a CM that reports a Receive Channel Profile.
         If the CM reports multiple RCPs, and Receive Module
        and Receive Channel objects have instances (i.e.,
        MIB table entries) for more than one RCP reported by
        the CM, the particular RCP selected by the CMTS is not
        specified.  A CMTS is not restricted to assigning Receive
        Modules based only on the contents of this object.

        This object supports the creation and deletion of multiple
        instances.
        Creation of a new instance of this object requires the
        reference of a valid RccCfg instance."
     ::= { docsIf3MibObjects 10}

docsIf3RxModuleCfgEntry OBJECT-TYPE
     SYNTAX      DocsIf3RxModuleCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3RxModuleCfgTable.
         The ifIndex key corresponds to the MAC Domain interface
         where the RCC is configured."
     INDEX {
             ifIndex,
             docsIf3RccCfgRcpId,
             docsIf3RccCfgRccCfgId,
             docsIf3RxModuleCfgRmId
           }
     ::= { docsIf3RxModuleCfgTable 1 }

DocsIf3RxModuleCfgEntry ::= SEQUENCE {
     docsIf3RxModuleCfgRmId
                  Unsigned32,
     docsIf3RxModuleCfgRmRmConnectivityId
                  Unsigned32,
     docsIf3RxModuleCfgFirstCenterFrequency
                  Unsigned32,
```

```
     docsIf3RxModuleCfgRowStatus
                 RowStatus
     }

docsIf3RxModuleCfgRmId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..255)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents an identifier of a Receive Module
        instance within the Receive Channel Profile."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Receive Module
        Index in the Common Radio Frequency Interface Encodings
        Annex."
     ::= { docsIf3RxModuleCfgEntry 1 }

docsIf3RxModuleCfgRmRmConnectivityId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..255)
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute represents the higher level (i.e.
        closer to RF) Receive Module to which this Receive Module
        connects.  If this object contains a zero value (and
        thus no Receive Module Connectivity), the Receive
        Module Connectivity TLV is omitted from the RCC.
        Within a single instance of the ReceiveModule object,
        the RmRmConnectivityId attribute cannot contain
        the same value as the RmId attribute.  The RmRmConnectivityId
        attribute points to a separate ReceiveModule
        object instance with the same value of RccCfgId."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Receive Module
         Connectivity section in the Common Radio Frequency Interface
         Encodings Annex."
     DEFVAL { 0 }
     ::= { docsIf3RxModuleCfgEntry 2 }

docsIf3RxModuleCfgFirstCenterFrequency OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "Hz"
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute represents the center frequency,
        in Hz, and a multiple of 62500, that indicates the lowest
        frequency channel of the Receive Module, or 0 if not
        applicable to the Receive Module."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Receive Module
         First Channel Center Frequency Assignment section in the
         Common Radio Frequency Interface Encodings Annex."
     ::= { docsIf3RxModuleCfgEntry 3 }

docsIf3RxModuleCfgRowStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "The status of this instance."
     ::= { docsIf3RxModuleCfgEntry 4 }

docsIf3RxModuleStatusTable OBJECT-TYPE
```

```
     SYNTAX      SEQUENCE OF DocsIf3RxModuleStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The Receive Module Status object provides a read-only
        view of the statically configured and dynamically
        created Receive Modules within an RCC."
     ::= { docsIf3MibObjects 11}

docsIf3RxModuleStatusEntry OBJECT-TYPE
     SYNTAX      DocsIf3RxModuleStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3RxModuleStatusTable.
         The ifIndex key corresponds to the MAC Domain interface
         where the RCC is configured. When this object is defined
         on the CM, the value of RccStatusId is always 1."
     INDEX {
             ifIndex,
             docsIf3RccStatusRcpId,
             docsIf3RccStatusRccStatusId,
             docsIf3RxModuleStatusRmId
           }
     ::= { docsIf3RxModuleStatusTable 1 }

DocsIf3RxModuleStatusEntry ::= SEQUENCE {
     docsIf3RxModuleStatusRmId
                  Unsigned32,
     docsIf3RxModuleStatusRmRmConnectivityId
                  Unsigned32,
     docsIf3RxModuleStatusFirstCenterFrequency
                  Unsigned32
     }

docsIf3RxModuleStatusRmId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..255)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents an identifier of a Receive Module
        instance within the Receive Channel Profile."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Receive
         Module Index section in the Common Radio Frequency
         Interface Encodings Annex."
     ::= { docsIf3RxModuleStatusEntry 1 }

docsIf3RxModuleStatusRmRmConnectivityId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..255)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the Receive Module to which
        this Receive Module connects. Requirements for
        module connectivity are detailed in the RmRmConnectivityId
        of the RccCfg object."
     ::= { docsIf3RxModuleStatusEntry 2 }

docsIf3RxModuleStatusFirstCenterFrequency OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "Hz"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the low frequency channel
```

```
        of the Receive Module, or 0 if not applicable to the
        Receive Module."
      ::= { docsIf3RxModuleStatusEntry 3 }

docsIf3MdNodeStatusTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3MdNodeStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object reports the MD-DS-SG-ID and MD-US-SG-ID
        associated with a MD-CM-SG-ID within a MAC Domain
        and the Fiber Nodes reached by the MD-CM-SG."
     ::= { docsIf3MibObjects 12}

docsIf3MdNodeStatusEntry OBJECT-TYPE
     SYNTAX      DocsIf3MdNodeStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3MdNodeStatusTable.
         The ifIndex key corresponds to the MAC Domain interface
         where the MD-CM-SG-ID is configured."
     INDEX {
             ifIndex,
             docsIf3MdNodeStatusNodeName,
             docsIf3MdNodeStatusMdCmSgId
           }
     ::= { docsIf3MdNodeStatusTable 1 }

DocsIf3MdNodeStatusEntry ::= SEQUENCE {
     docsIf3MdNodeStatusNodeName
                  NodeName,
     docsIf3MdNodeStatusMdCmSgId
                  Unsigned32,
     docsIf3MdNodeStatusMdDsSgId
                  Unsigned32,
     docsIf3MdNodeStatusMdUsSgId
                  Unsigned32
     }

docsIf3MdNodeStatusNodeName OBJECT-TYPE
     SYNTAX      NodeName (SIZE (1..16))
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the name of a fiber node associated
        with a MD-CM-SG of a MAC Domain."
     ::= { docsIf3MdNodeStatusEntry 1 }

docsIf3MdNodeStatusMdCmSgId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..4294967295)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute is a key and indicates the MD-CM-SG-ID
        of this instance. A particular MdCmSgId in a MAC Domain
        is associated with one or more Fiber Nodes."
     ::= { docsIf3MdNodeStatusEntry 2 }

docsIf3MdNodeStatusMdDsSgId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..255)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute corresponds to the MD-DS-SG-ID of
        the MD-CM-SG of this object instance. The MdDsSgId values
        are unique within a MAC Domain."
```

```
     ::= { docsIf3MdNodeStatusEntry 3 }

docsIf3MdNodeStatusMdUsSgId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..255)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute corresponds to the MD-US-SG-ID of
        the MD-CM-SG of this object instance. The MdUsSgId values
        are unique within a MAC Domain."
     ::= { docsIf3MdNodeStatusEntry 4 }

docsIf3MdDsSgStatusTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3MdDsSgStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object returns the list of downstream channel
        associated with a MAC Domain MD-DS-SG-ID."
     ::= { docsIf3MibObjects 13}

docsIf3MdDsSgStatusEntry OBJECT-TYPE
     SYNTAX      DocsIf3MdDsSgStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3MdDsSgStatusTable.
         The ifIndex key corresponds to the MAC Domain interface
         where the MD-DS-SG-ID is configured.
         The CMTS is not required to persist instances of this
         object across reinitializations."
     INDEX {
             ifIndex,
             docsIf3MdDsSgStatusMdDsSgId
           }
     ::= { docsIf3MdDsSgStatusTable 1 }

DocsIf3MdDsSgStatusEntry ::= SEQUENCE {
     docsIf3MdDsSgStatusMdDsSgId
                  Unsigned32,
     docsIf3MdDsSgStatusChSetId
                  ChSetId
     }

docsIf3MdDsSgStatusMdDsSgId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..255)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents a MD-DS-SG-ID in a Mac Domain."
     ::= { docsIf3MdDsSgStatusEntry 1 }

docsIf3MdDsSgStatusChSetId OBJECT-TYPE
     SYNTAX      ChSetId
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents a reference to the list
        of downstream channels of the MD-DS-SG-ID."
     ::= { docsIf3MdDsSgStatusEntry 2 }

docsIf3MdUsSgStatusTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3MdUsSgStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object returns the list of upstream channels
```

```
        associated with a MAC Domain MD-US-SG-ID."
     ::= { docsIf3MibObjects 14}

docsIf3MdUsSgStatusEntry OBJECT-TYPE
     SYNTAX      DocsIf3MdUsSgStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3MdUsSgStatusTable.
         The ifIndex key corresponds to the MAC Domain interface
         where the MD-DS-SG-ID is configured.
         The CMTS is not required to persist instances of this
         object across reinitializations."
     INDEX {
             ifIndex,
             docsIf3MdUsSgStatusMdUsSgId
           }
     ::= { docsIf3MdUsSgStatusTable 1 }

DocsIf3MdUsSgStatusEntry ::= SEQUENCE {
     docsIf3MdUsSgStatusMdUsSgId
                  Unsigned32,
     docsIf3MdUsSgStatusChSetId
                  ChSetId
     }

docsIf3MdUsSgStatusMdUsSgId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..255)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents a MD-US-SG-ID in a Mac Domain."
     ::= { docsIf3MdUsSgStatusEntry 1 }

docsIf3MdUsSgStatusChSetId OBJECT-TYPE
     SYNTAX      ChSetId
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents a reference to the list
        of upstream channels of the MD-US-SG-ID."
     ::= { docsIf3MdUsSgStatusEntry 2 }

docsIf3MdUsToDsChMappingTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3MdUsToDsChMappingEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object returns the set of downstream channels
        that carry UCDs and MAPs for a particular upstream channel
        in a MAC Domain."
     ::= { docsIf3MibObjects 15}

docsIf3MdUsToDsChMappingEntry OBJECT-TYPE
     SYNTAX      DocsIf3MdUsToDsChMappingEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3MdUsToDsChMappingTable."
     INDEX {
             docsIf3MdUsToDsChMappingUsIfIndex,
             docsIf3MdUsToDsChMappingDsIfIndex,
             docsIf3MdUsToDsChMappingMdIfIndex
           }
     ::= { docsIf3MdUsToDsChMappingTable 1 }

DocsIf3MdUsToDsChMappingEntry ::= SEQUENCE {
```

```
     docsIf3MdUsToDsChMappingUsIfIndex
                  InterfaceIndex,
     docsIf3MdUsToDsChMappingDsIfIndex
                  InterfaceIndex,
     docsIf3MdUsToDsChMappingMdIfIndex
                  InterfaceIndex
     }

docsIf3MdUsToDsChMappingUsIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndex
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the interface index of the upstream
        channel to which this instance applies."
     ::= { docsIf3MdUsToDsChMappingEntry 1 }

docsIf3MdUsToDsChMappingDsIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndex
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the interface index of a downstream
        channel carrying in UCDs and Maps associated with
        the upstream channel defined by this instance."
     ::= { docsIf3MdUsToDsChMappingEntry 2 }

docsIf3MdUsToDsChMappingMdIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndex
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This key represents the MAC domain of the upstream
        and downstream channels of this instance."
     ::= { docsIf3MdUsToDsChMappingEntry 3 }

docsIf3MdCfgTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3MdCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object contains MAC domain level control and
        configuration attributes."
     ::= { docsIf3MibObjects 16}

docsIf3MdCfgEntry OBJECT-TYPE
     SYNTAX      DocsIf3MdCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3MdCfgTable.
         The CMTS persists all instances of MdCfg across
         reinitializations.
         The ifIndex key corresponds to the MAC Domain interface."
     INDEX {
             ifIndex
           }
     ::= { docsIf3MdCfgTable 1 }

DocsIf3MdCfgEntry ::= SEQUENCE {
     docsIf3MdCfgMddInterval
                  Unsigned32,
     docsIf3MdCfgIpProvMode
                  INTEGER,
     docsIf3MdCfgCmStatusEvCtlEnabled
                  TruthValue,
     docsIf3MdCfgUsFreqRange
```

```
                INTEGER,
     docsIf3MdCfgMcastDsidFwdEnabled
                TruthValue,
     docsIf3MdCfgMultRxChModeEnabled
                TruthValue,
     docsIf3MdCfgMultTxChModeEnabled
                TruthValue,
     docsIf3MdCfgEarlyAuthEncrCtrl
                INTEGER,
     docsIf3MdCfgTftpProxyEnabled
                TruthValue,
     docsIf3MdCfgSrcAddrVerifEnabled
                TruthValue,
     docsIf3MdCfgDownChannelAnnex
                INTEGER,
     docsIf3MdCfgCmUdcEnabled
                TruthValue,
     docsIf3MdCfgSendUdcRulesEnabled
                TruthValue,
     docsIf3MdCfgServiceTypeIdList
                SnmpTagList
     }

docsIf3MdCfgMddInterval OBJECT-TYPE
     SYNTAX      Unsigned32 (1..2000)
     UNITS       "milliseconds"
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute configures the interval for the insertion
        of MDD messages in each downstream channel of
        a MAC Domain."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Parameters
         and Constants Annex."
     DEFVAL { 2000 }
     ::= { docsIf3MdCfgEntry 1 }

docsIf3MdCfgIpProvMode OBJECT-TYPE
     SYNTAX      INTEGER {
        ipv4Only(0),
        ipv6Only(1),
        alternate(2),
        dualStack(3)
     }
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute configures the CMTS IP provisioning
        mode for a MAC Domain.
        When this attribute is set to 'ipv4Only' the CM will acquire
        a single IPv4 address for the CM management stack.
        When this attribute is set to 'ipv6Only' the CM will acquire
        a single IPv6 address for the CM management stack.
        When this attribute is set to 'alternate' the CM will acquire a
        single IPv6 address for the CM management stack and, if failures
        occur, the CM will fall back to provision and operation with
        an IPv4 address.
        When this attribute is set to 'dualStack' the CM will acquire both
        an IPv6 and IPv4 address for provisioning and operation."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, IP Initialization
         Parameters TLV section."
     DEFVAL { ipv6Only }
     ::= { docsIf3MdCfgEntry 2 }
```

```
docsIf3MdCfgCmStatusEvCtlEnabled OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "If set to 'true', this attribute enables the signaling
        of the CM-Status Event reporting mechanism."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, CM-STATUS
         Event Control section "
     DEFVAL { true }
     ::= { docsIf3MdCfgEntry 3 }

docsIf3MdCfgUsFreqRange OBJECT-TYPE
     SYNTAX      INTEGER {
                           standard(0),
                           extended(1)
                         }
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute indicates in MDD messages the upstream
        frequency upper band edge of an upstream Channel.

        A value 'standard' means Standard Frequency Range and
        a value 'extended' means Extended Frequency Range."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Upstream
         Frequency Upper Band Edge TLV section."
     DEFVAL { standard }
     ::= { docsIf3MdCfgEntry 4 }

docsIf3MdCfgMcastDsidFwdEnabled OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "If set to 'true', this attribute enables the CMTS to
        use IP Multicast DSID Forwarding (MDF) for the MAC domain."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Multicast
         DSID-based Forwarding (MDF) Modes section in the
         Compatibility with Previous Versions of DOCSIS Annex."
     DEFVAL { true }
     ::= { docsIf3MdCfgEntry 5 }

docsIf3MdCfgMultRxChModeEnabled OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "If set to 'true', this attribute enables Downstream
        Channel Bonding for the MAC Domain."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Downstream
         Channel Bonding section."
     DEFVAL { true }
     ::= { docsIf3MdCfgEntry 6 }

docsIf3MdCfgMultTxChModeEnabled OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-write
```

```
      STATUS      current
      DESCRIPTION
         "If set to 'true', this attribute enables Multiple
         Transmit Channel (MTC) Mode for the MAC Domain."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Upstream
          Channel Bonding section."
      DEFVAL { true }
      ::= { docsIf3MdCfgEntry 7 }

docsIf3MdCfgEarlyAuthEncrCtrl OBJECT-TYPE
      SYNTAX      INTEGER {
                            disableEae(1),
                            enableEaeRangingBasedEnforcement(2),
                            enableEaeCapabilityBasedEnforcement(3),
                            enableEaeTotalEnforcement(4)
                          }
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute enables or disables early authentication
         and encryption (EAE) signaling for the MAC Domain.
         It also defines the type of EAE enforcement in
         the case that EAE is enabled.
         If set to 'disableEAE', EAE is disabled for the MAC Domain.

         If set to 'enableEaeRangingBasedEnforcement',
         'enableEaeCapabilityBasedEnforcement'
         or 'enableEaeTotalEnforcement',
         EAE is enabled for the MAC Domain.

         The following EAE enforcement methods are defined in
         the case where EAE signaling is enabled:
         The option 'enableEaeRangingBasedEnforcement' indicates
         EAE is enforced on CMs that perform ranging
         with a B-INIT-RNG-REQ message.
         The option 'enableEaeCapabilityBasedEnforcement'
         indicates EAE is enforced on CMs that perform ranging
         with a B-INIT-RNG-REQ message in which the EAE capability
         flag is set.
         The option 'enableEaeTotalEnforcement' indicates
         EAE is enforced on all CMs regardless of their EAE
         capabilities."
      REFERENCE
          "DOCSIS 3.0 Security Specification CM-SP-SECv3.0-I06-071206,
           Early Authentication and Encryption section."
      DEFVAL { enableEaeRangingBasedEnforcement }
      ::= { docsIf3MdCfgEntry 8 }

docsIf3MdCfgTftpProxyEnabled OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "If set to 'true', this attribute enables TFTP Proxy
         functionality for the MAC Domain."
      REFERENCE
          "DOCSIS 3.0 Security Specification CM-SP-SECv3.0-I06-071206,
          TFTP Configuration File Security section."
      DEFVAL { true }
      ::= { docsIf3MdCfgEntry 9 }

docsIf3MdCfgSrcAddrVerifEnabled OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-write
      STATUS      current
```

```
      DESCRIPTION
         "If set to 'true', this attribute enables Source Address
         Verification (SAV) functionality for the MAC
         Domain."
      REFERENCE
          "DOCSIS 3.0 Security Specification CM-SP-SECv3.0-I06-071206,
          Source Address Verification section."
      DEFVAL { true }
      ::= { docsIf3MdCfgEntry 10 }

docsIf3MdCfgDownChannelAnnex  OBJECT-TYPE
      SYNTAX      INTEGER {
          unknown(1),
          other(2),
          annexA(3),
          annexB(4),
          annexC(5)
         }
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
          "This attribute defines the ITU-J-83 Annex being used
           for this MAC Domain.  The value of this attribute
           indicates the conformance of the implementation to
           important regional cable standards.
           Valid enumerations for the attribute are:
           unknown
           other
           annexA : Annex A from ITU-J83 is used.
           annexB : Annex B from ITU-J83 is used.
           annexC : Annex C from ITU-J83 is used.
           Values 6-255 are reserved."
       DEFVAL { unknown }
      ::= { docsIf3MdCfgEntry 11 }

docsIf3MdCfgCmUdcEnabled OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "If set to 'true', this attribute instructs the CMTS MAC
          Domain to enable Upstream Drop Classifiers (UDC) for the
          CMs attempting registration in this MAC Domain."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Subscriber Management
          TLVs section in the Common Radio Frequency Interface Encodings
          Annex."
      DEFVAL { false }
      ::= { docsIf3MdCfgEntry 12 }

docsIf3MdCfgSendUdcRulesEnabled OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "If set to 'true' and when the CM signals to the CMTS
         'Upstream Drop Classifier Group ID' encodings, this attribute
         instructs the CMTS MAC Domain to send the Subscriber
         Management Filters rules associated with the 'Upstream Drop
         Classifier Group ID' encodings to the CM in the form of UDCs
         when the following conditions occurs:
         - The attribute CmUdcEnabled value for this MAC Domain
           is set to 'true', and
         - The CM has the UDC capability advertised as supported.
         If there is no a single Subscriber Management Filter
         configured in the CMTS for the CM's signaled UDC Group ID,
```

```
         the CMTS does not send UDC encodings to the CM.

         It is vendor specific whether the CMTS maintains enforcement
         of the CM signaled or default Subscriber Management Filter
         groups in the upstream direction."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Subscriber Management
          TLVs section in the Common Radio Frequency Interface Encodings
          Annex."
      DEFVAL { false }
      ::= { docsIf3MdCfgEntry 13 }

docsIf3MdCfgServiceTypeIdList OBJECT-TYPE
      SYNTAX      SnmpTagList
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribure indicates the list of Service Type IDs
         associated with the MAC Domain.
         During the CM registration process the CMTS will attempt to
         redirect the CM to a MAC Domain where the CM' Service Type TLV
         is contained in this attribute."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Service Type
          Identifier section in the Common Radio Frequency Interface
          Encodings Annex."
      DEFVAL { "" }
      ::= { docsIf3MdCfgEntry 14 }

docsIf3BondingGrpCfgTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsIf3BondingGrpCfgEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object defines statically configured Downstream
         Bonding Groups and Upstream Bonding Groups on
         the CMTS.
         This object supports the creation and deletion of multiple
         instances.
         Creation of a new instance of this object requires the
         ChList attribute to be set."
      ::= { docsIf3MibObjects 17}

docsIf3BondingGrpCfgEntry OBJECT-TYPE
      SYNTAX      DocsIf3BondingGrpCfgEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsIf3BondingGrpCfgTable.
          The ifIndex key corresponds to the MAC Domain interface
          where the Bonding Group is configured.
          The CMTS persists all instances of BondingGrpCfg
          across reinitializations."
      INDEX {
              ifIndex,
              docsIf3BondingGrpCfgDir,
              docsIf3BondingGrpCfgCfgId
            }
      ::= { docsIf3BondingGrpCfgTable 1 }

DocsIf3BondingGrpCfgEntry ::= SEQUENCE {
      docsIf3BondingGrpCfgDir
                  IfDirection,
      docsIf3BondingGrpCfgCfgId
                  Unsigned32,
```

```
     docsIf3BondingGrpCfgChList
                  ChannelList,
     docsIf3BondingGrpCfgSfProvAttrMask
                  AttributeMask,
     docsIf3BondingGrpCfgDsidReseqWaitTime
                  Unsigned32,
     docsIf3BondingGrpCfgDsidReseqWarnThrshld
                  Unsigned32,
     docsIf3BondingGrpCfgRowStatus
                  RowStatus
     }

docsIf3BondingGrpCfgDir OBJECT-TYPE
     SYNTAX      IfDirection
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute defines the ordered list of channels
        that comprise the upstream channel set"
     ::= { docsIf3BondingGrpCfgEntry 1 }

docsIf3BondingGrpCfgCfgId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..65535)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the configured bonding group
        identifier in the indicated direction for the MAC Domain.
        This attribute is used for the sole purpose of tracking
        bonding groups defined by management systems."
     ::= { docsIf3BondingGrpCfgEntry 2 }

docsIf3BondingGrpCfgChList OBJECT-TYPE
     SYNTAX      ChannelList (SIZE (2..255))
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute contains the list of channels of the
        bonding group."
     ::= { docsIf3BondingGrpCfgEntry 3 }

docsIf3BondingGrpCfgSfProvAttrMask OBJECT-TYPE
     SYNTAX      AttributeMask
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute represents the Provisioned Attribute
        Mask encoding for the bonding group."
     DEFVAL { '80000000'H }
     ::= { docsIf3BondingGrpCfgEntry 4 }

docsIf3BondingGrpCfgDsidReseqWaitTime OBJECT-TYPE
     SYNTAX      Unsigned32 (0 | 1..180 | 255)
     UNITS       "hundredMicroseconds"
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "For a Downstream Bonding Group, this attribute provides
        the DSID Resequencing Wait Time that is to be used
        for all DSIDs associated with this Downstream Bonding
        Group.  The value of 255 indicates that the DSID
        Resequencing Wait Time is determined by the CMTS. The
        value zero in not supported for downstream  bonding
        groups.
        For an Upstream Bonding Group, this attribute has no
        meaning and returns the value 0."
     REFERENCE
```

```
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Service Flow
         Assignment section."
      DEFVAL { 180 }
      ::= { docsIf3BondingGrpCfgEntry 5 }

docsIf3BondingGrpCfgDsidReseqWarnThrshld OBJECT-TYPE
      SYNTAX      Unsigned32 (0..179 | 255)
      UNITS       "hundredMicroseconds"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "For a Downstream Bonding Group, this attribute provides
         the DSID Resequencing Warning Threshold that
         is to be used for all DSIDs associated with this Downstream
         Bonding Group. The value of 255 indicates that
         the DSID Resequencing Warning Threshold is determined
         by the CMTS.  The value of 0 indicates that the threshold
         warnings are disabled.  When the value of DsidReseqWaitTime
         is less than 255, the CMTS must use the
         smaller of DsidReseqWarningThrshld and DsidReseqWaitTime."
      DEFVAL { 0 }
      ::= { docsIf3BondingGrpCfgEntry 6 }

docsIf3BondingGrpCfgRowStatus OBJECT-TYPE
      SYNTAX      RowStatus
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "The status of this instance."
      ::= { docsIf3BondingGrpCfgEntry 7 }

docsIf3DsBondingGrpStatusTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsIf3DsBondingGrpStatusEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object returns administratively-configured
         and CMTS defined downstream bonding groups."
      ::= { docsIf3MibObjects 18}

docsIf3DsBondingGrpStatusEntry OBJECT-TYPE
      SYNTAX      DocsIf3DsBondingGrpStatusEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsIf3DsBondingGrpStatusTable.
          The ifIndex key corresponds to the MAC Domain interface
          where the Bonding Group is configured."
      INDEX {
              ifIndex,
              docsIf3DsBondingGrpStatusChSetId
            }
      ::= { docsIf3DsBondingGrpStatusTable 1 }

DocsIf3DsBondingGrpStatusEntry ::= SEQUENCE {
      docsIf3DsBondingGrpStatusChSetId
                   ChSetId,
      docsIf3DsBondingGrpStatusMdDsSgId
                   Unsigned32,
      docsIf3DsBondingGrpStatusCfgId
                   Unsigned32
      }

docsIf3DsBondingGrpStatusChSetId OBJECT-TYPE
      SYNTAX      ChSetId
      MAX-ACCESS  not-accessible
```

```
     STATUS      current
     DESCRIPTION
        "This key represents the identifier for the Downstream
        Bonding Group or the single-downstream channel
        of this instance."
     ::= { docsIf3DsBondingGrpStatusEntry 1 }

docsIf3DsBondingGrpStatusMdDsSgId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..255)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute corresponds to the MD-DS-SG-ID that
        includes all the downstream channels of the Downstream
        Bonding Group. The value zero indicates that the
        bonding group does not contain channels from a single
        MD-DS-SG and therefore the bonding group is not valid
        and usable."
     ::= { docsIf3DsBondingGrpStatusEntry 2 }

docsIf3DsBondingGrpStatusCfgId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute provides the BondingGrpCfgId for
        the downstream bonding group if it was configured.
        Otherwise, the zero value indicates that the CMTS will
        define the bonding group."
     ::= { docsIf3DsBondingGrpStatusEntry 3 }

docsIf3UsBondingGrpStatusTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3UsBondingGrpStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object returns administratively-configured
        and CMTS-defined upstream bonding groups."
     ::= { docsIf3MibObjects 19}

docsIf3UsBondingGrpStatusEntry OBJECT-TYPE
     SYNTAX      DocsIf3UsBondingGrpStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3UsBondingGrpStatusTable.
         The ifIndex key corresponds to the MAC Domain interface
         where the Bonding Group is configured."
     INDEX {
             ifIndex,
             docsIf3UsBondingGrpStatusChSetId
           }
     ::= { docsIf3UsBondingGrpStatusTable 1 }

DocsIf3UsBondingGrpStatusEntry ::= SEQUENCE {
     docsIf3UsBondingGrpStatusChSetId
                  ChSetId,
     docsIf3UsBondingGrpStatusMdUsSgId
                  Unsigned32,
     docsIf3UsBondingGrpStatusCfgId
                  Unsigned32
     }

docsIf3UsBondingGrpStatusChSetId OBJECT-TYPE
     SYNTAX      ChSetId
     MAX-ACCESS  not-accessible
     STATUS      current
```

```
     DESCRIPTION
        "This key represents the identifier for the Upstream
        Bonding Group or the single-upstream channel of this
        instance."
     ::= { docsIf3UsBondingGrpStatusEntry 1 }

docsIf3UsBondingGrpStatusMdUsSgId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..255)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute corresponds to the MD-US-SG-ID that
        includes all the upstream channels of the Upstream
        Bonding Group. The value zero indicates that the bonding
        group does not contain channels from a single MD-US-SG
        and therefore the bonding group is not valid
        and usable."
     ::= { docsIf3UsBondingGrpStatusEntry 2 }

docsIf3UsBondingGrpStatusCfgId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute provides the BondingGrpCfgId for
        the upstream bonding group if it was configured.
        Otherwise, the zero value indicates that the CMTS
        defines the bonding group."
     ::= { docsIf3UsBondingGrpStatusEntry 3 }

docsIf3UsChExtTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3UsChExtEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object defines management extensions for upstream
        channels, in particular SCDMA parameters."
     ::= { docsIf3MibObjects 20}

docsIf3UsChExtEntry OBJECT-TYPE
     SYNTAX      DocsIf3UsChExtEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3UsChExtTable.
         The ifIndex key corresponds to each of the upstream
         channels."
     INDEX {
             ifIndex
           }
     ::= { docsIf3UsChExtTable 1 }

DocsIf3UsChExtEntry ::= SEQUENCE {
     docsIf3UsChExtSacCodeHoppingSelectionMode
                  INTEGER,
     docsIf3UsChExtScdmaSelectionStringActiveCodes
                  ScdmaSelectionString
     }

docsIf3UsChExtSacCodeHoppingSelectionMode OBJECT-TYPE
     SYNTAX      INTEGER {
                           none(0),
                           sac1NoCodeHopping(1),
                           sac1CodeHoppingMode1(2),
                           sac2CodeHoppingMode2(3),
                           sac2NoCodeHopping(4)
                         }
```

```
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the selection mode for active
         codes and code hopping.
         'none'
            Non-SCDMA channel
         'sac1NoCodeHopping'
            Selectable active codes mode 1 and code hopping disabled

         'sac1CodeHoppingMode1'
            Selectable active codes mode 1 and code hopping mode
         1
         'sac2CodeHoppingMode2'
           Selectable active codes mode 2 and code hopping mode
         2
         'sac2NoCodeHopping'
           Selectable active codes mode 2 and code hopping disabled."
      REFERENCE
          "DOCSIS 3.0 Physical Layer Specification
          CM-SP-PHYv3.0-I05-070803, Mini-slot Numbering
          Parameters in UCD section."
      ::= { docsIf3UsChExtEntry 1 }

docsIf3UsChExtScdmaSelectionStringActiveCodes OBJECT-TYPE
      SYNTAX      ScdmaSelectionString
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the active codes of the
         upstream channel and it is applicable only when
         SacCodeHoppingSelectionMode is 'sac2CodeHoppingMode2."
      REFERENCE
          "DOCSIS 3.0 Physical Layer Specification
          CM-SP-PHYv3.0-I05-070803, Mini-slot Numbering
          Parameters in UCD section."
      ::= { docsIf3UsChExtEntry 2 }

docsIf3CmCapabilities OBJECT IDENTIFIER ::= { docsIf3MibObjects 21 }

docsIf3CmCapabilitiesReq OBJECT-TYPE
      SYNTAX      Tlv8
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the TLV encoding for TLV-5
         sent in a REG-REQ.  The first byte of this encoding is
         expected to be '05'H."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Modem Capabilities
          Encoding section in the Common Radio Frequency Interface
          Encodings Annex."
      ::= { docsIf3CmCapabilities 1 }

docsIf3CmCapabilitiesRsp OBJECT-TYPE
      SYNTAX      Tlv8
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the TLV encoding for TLV-5
         received in a REG-RSP.
         The first byte of this encoding is expected to be '05'H."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Modem Capabilities
          Encoding section in the Common Radio Frequency Interface
```

```
          Encodings Annex."
      ::= { docsIf3CmCapabilities 2 }

docsIf3UsChSetTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsIf3UsChSetEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object defines a set of upstream channels. These
         channel sets may be associated with channel bonding
         groups, MD-US-SGs, MD-CM-SGs, or any other channel
         set that the CMTS may derive from other CMTS processes."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Partial
          Service Encoding section and Cable Modem Attribute
          Masks section in the Common Radio Frequency Interface
          Encodings Annex."
      ::= { docsIf3MibObjects 22}

docsIf3UsChSetEntry OBJECT-TYPE
      SYNTAX      DocsIf3UsChSetEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsIf3UsChSetTable.
          The ifIndex key corresponds to the MAC Domain interface
          where the upstream channel set is defined."
      INDEX {
              ifIndex,
              docsIf3UsChSetId
            }
      ::= { docsIf3UsChSetTable 1 }

DocsIf3UsChSetEntry ::= SEQUENCE {
      docsIf3UsChSetId
                   ChSetId,
      docsIf3UsChSetChList
                   ChannelList
      }

docsIf3UsChSetId OBJECT-TYPE
      SYNTAX      ChSetId
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This key defines a reference identifier for the upstream
         channel set within the MAC Domain."
      ::= { docsIf3UsChSetEntry 1 }

docsIf3UsChSetChList OBJECT-TYPE
      SYNTAX      ChannelList
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute defines the ordered list of channels
         that comprise the upstream channel set"
      ::= { docsIf3UsChSetEntry 2 }

docsIf3DsChSetTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsIf3DsChSetEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object defines a set of downstream channels.
         These channel sets may be associated with channel bonding
         groups, MD-DS-SGs, MD-CM-SGs, or any other channel
```

```
        set that the CMTS may derive from other CMTS processes."
      REFERENCE
          "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, Partial
          Service Encoding section and Cable Modem Attribute
          Masks section in the Common Radio Frequency Interface
          Encodings Annex."
      ::= { docsIf3MibObjects 23}

docsIf3DsChSetEntry OBJECT-TYPE
      SYNTAX      DocsIf3DsChSetEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsIf3DsChSetTable.
          The ifIndex key corresponds to the MAC Domain interface
          where the downstream channel set is defined."
      INDEX {
              ifIndex,
              docsIf3DsChSetId
            }
      ::= { docsIf3DsChSetTable 1 }

DocsIf3DsChSetEntry ::= SEQUENCE {
      docsIf3DsChSetId
                   ChSetId,
      docsIf3DsChSetChList
                   ChannelList
      }

docsIf3DsChSetId OBJECT-TYPE
      SYNTAX      ChSetId
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This key defines a reference identifier for the downstream
         channel set within the MAC Domain."
      ::= { docsIf3DsChSetEntry 1 }

docsIf3DsChSetChList OBJECT-TYPE
      SYNTAX      ChannelList
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute defines the ordered list of channels
         that comprise the upstream channel set."
      ::= { docsIf3DsChSetEntry 2 }

docsIf3SignalQualityExtTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsIf3SignalQualityExtEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object provides an in-channel received modulation
         error ratio metric for CM and CMTS."
      ::= { docsIf3MibObjects 24}

docsIf3SignalQualityExtEntry OBJECT-TYPE
      SYNTAX      DocsIf3SignalQualityExtEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsIf3SignalQualityExtTable.
          The ifIndex key corresponds to each of the upstream
          channels."
      INDEX {
              ifIndex
```

```
          }
     ::= { docsIf3SignalQualityExtTable 1 }

DocsIf3SignalQualityExtEntry ::= SEQUENCE {
     docsIf3SignalQualityExtRxMER
                  TenthdB,
     docsIf3SignalQualityExtRxMerSamples
                  Unsigned32
     }

docsIf3SignalQualityExtRxMER OBJECT-TYPE
     SYNTAX      TenthdB (-2147483648..2147483647)
    UNITS     "TenthdB"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "RxMER provides an in-channel received Modulation
        Error Ratio (MER). RxMER is defined as an estimate,
        provided by the demodulator, of the ratio:
        (average constellation energy with equally likely
        symbols) / (average squared magnitude of error vector)

        RxMER is measured just prior to FEC (trellis/Reed-Solomon)
        decoding. RxMER includes the effects of the
        HFC channel as well as implementation effects of the
        modulator and demodulator. Error vector estimation
        may vary among demodulator implementations.  The CMTS
        RxMER is averaged over a given number of bursts at
        the burst receiver, which may correspond to transmissions
        from multiple users. In the case of S-CDMA mode,
        RxMER is measured on the de-spread signal."
     ::= { docsIf3SignalQualityExtEntry 1 }

docsIf3SignalQualityExtRxMerSamples OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "RxMerSamples is a statistically significant number
        of symbols for the CM, or bursts for the CMTS, processed
        to arrive at the RxMER value. For the CMTS, the MER
        measurement includes only valid bursts that are not
        in contention regions."
     ::= { docsIf3SignalQualityExtEntry 2 }

docsIf3CmtsSignalQualityExtTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3CmtsSignalQualityExtEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object provides metrics and parameters associated
        with received carrier, noise and interference
        power levels in the upstream channels of the CMTS."
     ::= { docsIf3MibObjects 25}

docsIf3CmtsSignalQualityExtEntry OBJECT-TYPE
     SYNTAX      DocsIf3CmtsSignalQualityExtEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3CmtsSignalQualityExtTable.
         The ifIndex key corresponds to each of the upstream
         channels.
         The CMTS persists the configured values of all instances of
         CmtsSignalQualityExt across reinitialization."
     INDEX {
             ifIndex
```

```
          }
     ::= { docsIf3CmtsSignalQualityExtTable 1 }

DocsIf3CmtsSignalQualityExtEntry ::= SEQUENCE {
     docsIf3CmtsSignalQualityExtCNIR
                  TenthdB,
     docsIf3CmtsSignalQualityExtExpectedRxSignalPower
                  TenthdBmV
     }

docsIf3CmtsSignalQualityExtCNIR OBJECT-TYPE
     SYNTAX      TenthdB
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute provides an upstream in-channel Carrier-to-Noise
        plus Interference Ratio (CNIR). CNIR
        is defined as the ratio of the expected commanded
        received signal power at the CMTS input, assuming
        QPSK0 modulation, to the noise plus
        interference in the channel.
        This measurement occurs prior to the point at which
        the desired CM signal, when present, is demodulated.
        The measurement includes the effect of the receive matched
        filter but does not include the effect of any ingress filtering.
        Both the signal power and noise/interference power
        are referenced to the same point, e.g., CMTS input."
     ::= { docsIf3CmtsSignalQualityExtEntry 1 }

docsIf3CmtsSignalQualityExtExpectedRxSignalPower OBJECT-TYPE
     SYNTAX      TenthdBmV
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "ExpectedReceivedSignalPower is the power of the
        expected commanded received signal in the channel,
        referenced to the CMTS input."
     ::= { docsIf3CmtsSignalQualityExtEntry 2 }

docsIf3CmtsSpectrumAnalysisMeasTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3CmtsSpectrumAnalysisMeasEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object is used to configure the logical upstream
        interfaces to perform the spectrum measurements.
        This object supports creation and deletion of instances."
     ::= { docsIf3MibObjects 26}

docsIf3CmtsSpectrumAnalysisMeasEntry OBJECT-TYPE
     SYNTAX      DocsIf3CmtsSpectrumAnalysisMeasEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3CmtsSpectrumAnalysisMeasTable.
         The ifIndex key corresponds to each of the upstream
         channels.
         The CMTS is not required to persist instances of this
         object across reinitializations."
     INDEX {
             ifIndex
           }
     ::= { docsIf3CmtsSpectrumAnalysisMeasTable 1 }

DocsIf3CmtsSpectrumAnalysisMeasEntry ::= SEQUENCE {
     docsIf3CmtsSpectrumAnalysisMeasAmplitudeData
                  AmplitudeData,
```

```
     docsIf3CmtsSpectrumAnalysisMeasTimeInterval
                  Unsigned32,
     docsIf3CmtsSpectrumAnalysisMeasRowStatus
                  RowStatus
     }

docsIf3CmtsSpectrumAnalysisMeasAmplitudeData OBJECT-TYPE
     SYNTAX      AmplitudeData
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute provides a list of the spectral amplitudes
        corresponding to the frequency bins ordered
        from lowest to highest frequencies covering the frequency
        span. Information about the center frequency,
        frequency span, number of bins and resolution bandwidth
        are included to provide context to the measurement
        point
        The CMTS must support the number of bins as an odd number
        in order to provide a spectrum representation that
        is symmetric about the middle data point or bin. The
        CMTS must support a number of bins greater than or equal
        to 257 for frequency spans greater than or equal to
        6.4 MHz.

        The CMTS must not exceed 25 kHz bin spacing for measurement
        of frequency spans less than or equal to 6.4 MHz.

        The bins measurements are updated periodically at time
        intervals given by the TimeInterval attribute."
     ::= { docsIf3CmtsSpectrumAnalysisMeasEntry 1 }

docsIf3CmtsSpectrumAnalysisMeasTimeInterval OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "milliseconds"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "TimeInterval is the CMTS estimated average repetition
        period of measurements. This attribute defines
        the average rate at which new spectra can be retrieved."
     ::= { docsIf3CmtsSpectrumAnalysisMeasEntry 2 }

docsIf3CmtsSpectrumAnalysisMeasRowStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "The status of this instance."
     ::= { docsIf3CmtsSpectrumAnalysisMeasEntry 3 }

docsIf3CmtsCmCtrl OBJECT IDENTIFIER ::= { docsIf3MibObjects 27 }

docsIf3CmtsCmCtrlCmdMacAddr OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the MAC Address of the CM which the
        CMTS is instructed to send the CM-CTRL-REQ message."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206,
         Media Access Control Specification section."
     DEFVAL { '000000000000'H }
     ::= { docsIf3CmtsCmCtrl 1 }
```

```
docsIf3CmtsCmCtrlCmdMuteUsChId OBJECT-TYPE
     SYNTAX      ChId
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the Upstream Channel ID (UCID) to
        mute or unmute.  A value of zero indicates all upstream
        channels.  This attribute is only applicable when the
        docsIf3CmtsCmCtrlCmdCommit attribute is set to
        'mute'."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206,
         Media Access Control Specification section."
     DEFVAL { 0 }
     ::= { docsIf3CmtsCmCtrl 2 }

docsIf3CmtsCmCtrlCmdMuteInterval OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "milliseconds"
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the length of time that the mute
        operation is in effect.  This attribute is only applicable
        when the docsIf3CmtsCmCtrlCmdCommit attribute is set to
        'mute'.  A value of 0 is an indication to unmute the
        channel referenced by the docsIf3CmtsCmCtrlCmdMuteUsChId
        attribute while a value of 0xFFFFFFFF is used to mute
        the channel referenced by the docsIf3CmtsCmCtrlCmdMuteUsChId
        attribute indefinitely."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206,
         Media Access Control Specification section."
     DEFVAL { 1 }
     ::= { docsIf3CmtsCmCtrl 3 }

docsIf3CmtsCmCtrlCmdDisableForwarding OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "When set to 'true', this attribute disables data forwarding
        to the CMCI when the docsIf3CmtsCmCtrlCmdCommit attribute is
        set to 'disableForwarding'.
        When set to 'false', this attribute enables data forwarding
        to the CMCI when the docsIf3CmtsCmCtrlCmdCommit attribute is
        set to 'disableForwarding'.
        This attribute is only applicable when the
        docsIf3CmtsCmCtrlCmdCommit attribute is set to
        'disableForwarding'."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206,
         Media Access Control Specification section."
     DEFVAL { false }
     ::= { docsIf3CmtsCmCtrl 4 }

docsIf3CmtsCmCtrlCmdCommit OBJECT-TYPE
     SYNTAX      INTEGER {
                         mute(1),
                         cmReinit(2),
                         disableForwarding(3) }
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
```

```
        "This attribute indicates the type of command for the
        CMTS to trigger in the CM-CTRL-REQ message.
        This attribute will return the value of the last operation
        performed or the default value if no operation has been
        performed."
     REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206,
         Media Access Control Specification section."
     DEFVAL { mute }
     ::= { docsIf3CmtsCmCtrl 5 }


docsIf3CmDpvStatsTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsIf3CmDpvStatsEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object represents the DOCSIS Path Verify Statistics
        collected in the cable modem device.
        The CMTS controls the logging of DPV statistics in the
        cable modem. Therefore the context and nature of the
        measurements are governed by the CMTS and not self-descriptive
        when read from the CM."
     ::= { docsIf3MibObjects 28}

docsIf3CmDpvStatsEntry OBJECT-TYPE
     SYNTAX      DocsIf3CmDpvStatsEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsIf3CmDpvStatsTable."
     INDEX {
             ifIndex,
             docsIf3CmDpvStatsGrpId
           }
     ::= { docsIf3CmDpvStatsTable 1 }

DocsIf3CmDpvStatsEntry ::= SEQUENCE {
     docsIf3CmDpvStatsGrpId
                  Unsigned32,
     docsIf3CmDpvStatsLastMeasLatency
                  Unsigned32,
     docsIf3CmDpvStatsLastMeasTime
                  DateAndTime,
     docsIf3CmDpvStatsMinLatency
                  Unsigned32,
     docsIf3CmDpvStatsMaxLatency
                  Unsigned32,
     docsIf3CmDpvStatsAvgLatency
                  Unsigned32,
     docsIf3CmDpvStatsNumMeas
                  Unsigned32,
     docsIf3CmDpvStatsLastClearTime
                  DateAndTime
     }

docsIf3CmDpvStatsGrpId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..2)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the DPV Group ID. The CM reports
        two instance of DPV statistics per downstream normally
        referred as Statistical Group 1 and Statistical
        Group 2."
     ::= { docsIf3CmDpvStatsEntry 1 }
```

```
docsIf3CmDpvStatsLastMeasLatency OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "nanoseconds"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attrtibute represents the last latency measurement
        for this statistical group."
     ::= { docsIf3CmDpvStatsEntry 2 }

docsIf3CmDpvStatsLastMeasTime OBJECT-TYPE
     SYNTAX      DateAndTime
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the last measurement time
        of the last latency measurement for this statistical
        group.
        This attribute reports the EPOC time value when no measurements
        are being reported or after the statistics
        were cleared."
     ::= { docsIf3CmDpvStatsEntry 3 }

docsIf3CmDpvStatsMinLatency OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "nanoseconds"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the minimum latency measurement
        for this statistical group since the last
        time statistics were cleared."
     ::= { docsIf3CmDpvStatsEntry 4 }

docsIf3CmDpvStatsMaxLatency OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "nanoseconds"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the maximum latency measurement
        for this statistical group since the last
        time statistics were cleared."
     ::= { docsIf3CmDpvStatsEntry 5 }

docsIf3CmDpvStatsAvgLatency OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "nanoseconds"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the average latency measurement
        for this statistical group since the last
        time statistics were cleared. The averaging mechanism
        is controlled by the CMTS, and can be a simple average
        (mean) or an exponential moving average."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, DOCSIS Path
         Verification section."
     ::= { docsIf3CmDpvStatsEntry 6 }

docsIf3CmDpvStatsNumMeas OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "measurements"
     MAX-ACCESS  read-only
```

```
     STATUS      current
     DESCRIPTION
        "This attribure represents the number of latency measurements
        made for this statistical group since the
        last time statistics were cleared."
     ::= { docsIf3CmDpvStatsEntry 7 }

docsIf3CmDpvStatsLastClearTime OBJECT-TYPE
     SYNTAX      DateAndTime
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the last time statistics
        were cleared for this statistical group."
     ::= { docsIf3CmDpvStatsEntry 8 }


-- Conformance Definitions
docsIf3MibConformance OBJECT IDENTIFIER ::= { docsIf3Mib 2 }
docsIf3MibCompliances OBJECT IDENTIFIER ::= { docsIf3MibConformance 1 }
docsIf3MibGroups      OBJECT IDENTIFIER ::= { docsIf3MibConformance 2 }

docsIf3CmtsCompliance MODULE-COMPLIANCE
STATUS      current
DESCRIPTION
        "The compliance statement for the CMTS."

    MODULE -- this MODULE
MANDATORY-GROUPS {
     docsIf3CmtsGroup
     }
OBJECT docsIf3CmtsCmUsStatusRangingStatus
     SYNTAX      RangingState {other(1),
                               aborted(2),
                               retriesExceeded(3),
                               success(4),
                               continue(5)
                              }
     DESCRIPTION
        "The CMTS does not report 'timeoutT4'."

::= { docsIf3MibCompliances 1 }

docsIf3CmCompliance MODULE-COMPLIANCE
STATUS      current
DESCRIPTION
        "The compliance statement for the CM."

    MODULE -- this MODULE
MANDATORY-GROUPS {
     docsIf3CmGroup
     }
::= { docsIf3MibCompliances 2 }

docsIf3CmtsGroup OBJECT-GROUP
    OBJECTS {
     docsIf3SignalQualityExtRxMER,
     docsIf3SignalQualityExtRxMerSamples,
     docsIf3MdNodeStatusMdDsSgId,
     docsIf3MdNodeStatusMdUsSgId,
     docsIf3MdDsSgStatusChSetId,
     docsIf3MdUsSgStatusChSetId,
     docsIf3CmtsSignalQualityExtCNIR,
     docsIf3CmtsSignalQualityExtExpectedRxSignalPower,
     docsIf3CmtsSpectrumAnalysisMeasAmplitudeData,
     docsIf3CmtsSpectrumAnalysisMeasTimeInterval,
     docsIf3CmtsSpectrumAnalysisMeasRowStatus,
```

```
docsIf3CmtsCmRegStatusMacAddr,
docsIf3CmtsCmRegStatusIPv6Addr,
docsIf3CmtsCmRegStatusIPv6LinkLocal,
docsIf3CmtsCmRegStatusIPv4Addr,
docsIf3CmtsCmRegStatusValue,
docsIf3CmtsCmRegStatusMdIfIndex,
docsIf3CmtsCmRegStatusMdCmSgId,
docsIf3CmtsCmRegStatusRcpId,
docsIf3CmtsCmRegStatusRccStatusId,
docsIf3CmtsCmRegStatusRcsId,
docsIf3CmtsCmRegStatusTcsId,
docsIf3CmtsCmRegStatusServiceType,
docsIf3CmtsCmRegStatusLastRegTime,
docsIf3CmtsCmRegStatusAddrResolutionReqs,
docsIf3CmtsCmUsStatusModulationType,
docsIf3CmtsCmUsStatusRxPower,
docsIf3CmtsCmUsStatusSignalNoise,
docsIf3CmtsCmUsStatusMicroreflections,
docsIf3CmtsCmUsStatusEqData,
docsIf3CmtsCmUsStatusUnerroreds,
docsIf3CmtsCmUsStatusCorrecteds,
docsIf3CmtsCmUsStatusUncorrectables,
docsIf3CmtsCmUsStatusHighResolutionTimingOffset,
docsIf3CmtsCmUsStatusIsMuted,
docsIf3CmtsCmUsStatusRangingStatus,
docsIf3UsChExtSacCodeHoppingSelectionMode,
docsIf3UsChExtScdmaSelectionStringActiveCodes,
docsIf3MdCfgMddInterval,
docsIf3MdCfgIpProvMode,
docsIf3MdCfgCmStatusEvCtlEnabled,
docsIf3MdCfgUsFreqRange,
docsIf3MdCfgMcastDsidFwdEnabled,
docsIf3MdCfgMultRxChModeEnabled,
docsIf3MdCfgMultTxChModeEnabled,
docsIf3MdCfgEarlyAuthEncrCtrl,
docsIf3MdCfgTftpProxyEnabled,
docsIf3MdCfgSrcAddrVerifEnabled,
docsIf3MdCfgDownChannelAnnex,
docsIf3MdCfgCmUdcEnabled,
docsIf3MdCfgSendUdcRulesEnabled,
docsIf3MdCfgServiceTypeIdList,
docsIf3MdChCfgIsPriCapableDs,
docsIf3MdChCfgChId,
docsIf3MdChCfgSfProvAttrMask,
docsIf3MdChCfgRowStatus,
docsIf3MdUsToDsChMappingMdIfIndex,
docsIf3DsChSetChList,
docsIf3UsChSetChList,
docsIf3BondingGrpCfgChList,
docsIf3BondingGrpCfgSfProvAttrMask,
docsIf3BondingGrpCfgDsidReseqWaitTime,
docsIf3BondingGrpCfgDsidReseqWarnThrshld,
docsIf3BondingGrpCfgRowStatus,
docsIf3DsBondingGrpStatusMdDsSgId,
docsIf3DsBondingGrpStatusCfgId,
docsIf3UsBondingGrpStatusMdUsSgId,
docsIf3UsBondingGrpStatusCfgId,
docsIf3RccCfgVendorSpecific,
docsIf3RccCfgDescription,
docsIf3RccCfgRowStatus,
docsIf3RxChCfgChIfIndex,
docsIf3RxChCfgPrimaryDsIndicator,
docsIf3RxChCfgRcRmConnectivityId,
docsIf3RxChCfgRowStatus,
docsIf3RxModuleCfgRmRmConnectivityId,
docsIf3RxModuleCfgFirstCenterFrequency,
docsIf3RxModuleCfgRowStatus,
```

```
     docsIf3RccStatusRccCfgId,
     docsIf3RccStatusValidityCode,
     docsIf3RccStatusValidityCodeText,
     docsIf3RxChStatusChIfIndex,
     docsIf3RxChStatusPrimaryDsIndicator,
     docsIf3RxChStatusRcRmConnectivityId,
     docsIf3RxModuleStatusRmRmConnectivityId,
     docsIf3RxModuleStatusFirstCenterFrequency,
     docsIf3CmtsCmCtrlCmdMacAddr,
     docsIf3CmtsCmCtrlCmdMuteUsChId,
     docsIf3CmtsCmCtrlCmdMuteInterval,
     docsIf3CmtsCmCtrlCmdDisableForwarding,
     docsIf3CmtsCmCtrlCmdCommit
     }
    STATUS      current
    DESCRIPTION
         "Group of objects implemented in the CMTS"
    ::= { docsIf3MibGroups 1 }

docsIf3CmGroup OBJECT-GROUP
    OBJECTS {
     docsIf3SignalQualityExtRxMER,
     docsIf3SignalQualityExtRxMerSamples,
     docsIf3CmStatusValue,
     docsIf3CmStatusCode,
     docsIf3CmStatusResets,
     docsIf3CmStatusLostSyncs,
     docsIf3CmStatusInvalidMaps,
     docsIf3CmStatusInvalidUcds,
     docsIf3CmStatusInvalidRangingRsps,
     docsIf3CmStatusInvalidRegRsps,
     docsIf3CmStatusT1Timeouts,
     docsIf3CmStatusT2Timeouts,
     docsIf3CmStatusUCCsSuccesses,
     docsIf3CmStatusUCCFails,
     docsIf3CmStatusUsTxPower,
     docsIf3CmStatusUsT3Timeouts,
     docsIf3CmStatusUsT4Timeouts,
     docsIf3CmStatusUsRangingAborteds,
     docsIf3CmStatusUsModulationType,
     docsIf3CmStatusUsEqData,
     docsIf3CmStatusUsT3Exceededs,
     docsIf3CmStatusUsIsMuted,
     docsIf3CmStatusUsRangingStatus,
     docsIf3CmCapabilitiesReq,
     docsIf3CmCapabilitiesRsp,
     docsIf3UsChExtSacCodeHoppingSelectionMode,
     docsIf3UsChExtScdmaSelectionStringActiveCodes,
     docsIf3RxChStatusChIfIndex,
     docsIf3RxChStatusPrimaryDsIndicator,
     docsIf3RxChStatusRcRmConnectivityId,
     docsIf3RxModuleStatusRmRmConnectivityId,
     docsIf3RxModuleStatusFirstCenterFrequency,
     docsIf3CmDpvStatsLastMeasLatency,
     docsIf3CmDpvStatsLastMeasTime,
     docsIf3CmDpvStatsMinLatency,
     docsIf3CmDpvStatsMaxLatency,
     docsIf3CmDpvStatsAvgLatency,
     docsIf3CmDpvStatsNumMeas,
     docsIf3CmDpvStatsLastClearTime
     }
    STATUS      current
    DESCRIPTION
         "Group of objects implemented in the CM"
    ::= { docsIf3MibGroups 2 }

END
```

Q.7 DOCS-QOS3-MIB[171]

```
DOCS-QOS3-MIB DEFINITIONS ::= BEGIN
  IMPORTS
    MODULE-IDENTITY,
    OBJECT-TYPE,
    Unsigned32,
    Counter32,
    Counter64
             FROM SNMPv2-SMI           -- RFC 2578
    TEXTUAL-CONVENTION,
    RowStatus,
    TruthValue,
    MacAddress,
    TimeStamp,
    StorageType
             FROM SNMPv2-TC            -- RFC 2579
    OBJECT-GROUP,
    MODULE-COMPLIANCE
             FROM SNMPv2-CONF          -- RFC 2580
    SnmpAdminString
             FROM SNMP-FRAMEWORK-MIB   -- RFC 3411
    InterfaceIndex,
    ifIndex
             FROM IF-MIB               -- RFC 2863
    InetAddressType,
    InetAddress,
    InetPortNumber
             FROM INET-ADDRESS-MIB
    DscpOrAny
             FROM DIFFSERV-DSCP-TC
    IfDirection,
    AttributeMask,
    AttrAggrRuleMask,
    ChSetId,
    Dsid,
    ChId,
    ChannelList
             FROM DOCS-IF3-MIB
    clabProjDocsis,
    DocsL2vpnIfList
             FROM CLAB-DEF-MIB;

docsQosMib MODULE-IDENTITY
     LAST-UPDATED    "200712060000Z" -- December 6, 2007
     ORGANIZATION    "Cable Television Laboratories, Inc."
     CONTACT-INFO
        "Postal: Cable Television Laboratories, Inc.
        858 Coal Creek Circle
        Louisville, Colorado 80027-9750
        U.S.A.
        Phone: +1 303-661-9100
        Fax:   +1 303-661-9199
        E-mail: mibs@cablelabs.com"
     DESCRIPTION
        "This MIB module contains the management objects for the
        management of QOS for channel bonding.
        Copyright 1999-2007 Cable Television Laboratories, Inc.
        All rights reserved."
     REVISION        "200712060000Z" -- December 6, 2007
     DESCRIPTION
        "Revised Version includes ECN OSSIv3.0-N-07.0522-3
        and published as I05"
     REVISION        "200708030000Z" -- August 03, 2007
     DESCRIPTION
```

[171] MIB replaced per OSSIv3.0-N-07.0480-3 by ab on 7/13/07, and again per OSSIv3.0-N-07.0552-4 by ab on 11/12/07.

```
         "Revised Version includes ECN OSSIv3.0-N-07.0480-3
         and published as I04"
      REVISION        "200705180000Z" -- May 18, 2007
      DESCRIPTION
         "Revised Version includes ECNs
         OSSIv3.0-N-07.0445-3
         OSSIv3.0-N-07.0437-4
         OSSIv3.0-N-07.0412-2
         OSSIv3.0-N-07.0410-4
         and published as I03"
      REVISION        "200612071700Z" -- Dec 7, 2006
      DESCRIPTION
         "Initial version, published as part of the CableLabs
         OSSIv3.0 specification CM-SP-OSSIv3.0-I01-061207."
      ::= {  clabProjDocsis 21 }

-- Textual Conventions

BitRate ::= TEXTUAL-CONVENTION
    DISPLAY-HINT "d"
    STATUS      current
    DESCRIPTION
       "The rate of traffic in unit of bits per second.
       Used to specify traffic rate for QOS."
    SYNTAX          Unsigned32

SchedulingType ::= TEXTUAL-CONVENTION
    STATUS          current
    DESCRIPTION
       "The scheduling service provided by a CMTS for an
       upstream Service Flow.  If the parameter is omitted
       from an upstream QOS Parameter Set, this object
       takes the value of bestEffort (2).  This parameter
       must be reported as undefined (1) for downstream
       QOS Parameter Sets."
    SYNTAX      INTEGER {
                         undefined (1),
                         bestEffort (2),
                         nonRealTimePollingService(3),
                         realTimePollingService(4),
                         unsolictedGrantServiceWithAD(5),
                         unsolictedGrantService(6)
                        }

docsQosMibObjects  OBJECT IDENTIFIER ::= { docsQosMib 1 }

docsQosPktClassTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsQosPktClassEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object describes the packet classification
        configured on the CM or CMTS.
        The model is that a packet either received as input from
        an interface or transmitted for output on an interface
        may be compared against an ordered list of rules
        pertaining to the packet contents.  Each rule is an instance
        of this object.  A matching rule provides a Service
        Flow ID to which the packet is classified.
        All rules need to match for a packet to match a classifier.


        The attibutes in this row correspond to a set of Classifier
        Encoding parameters in a DOCSIS MAC management
        message.  The BitMap attribute indicates which particular
        parameters were present in the classifier as
        signaled in the DOCSIS message.
```

```
         If the referenced parameter was not present in the signaled
         Classifier, the corresponding attribute in
         this instance reports a value as specified by that attribute
         description."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Service Flows and
         Classifiers section."
      ::= { docsQosMibObjects 1}

docsQosPktClassEntry OBJECT-TYPE
      SYNTAX      DocsQosPktClassEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "The conceptual row of docsQosPktClassTable.
          The ifIndex key corresponds to the MAC Domain interface
          of the service flow of this classifier."
      INDEX { ifIndex,
              docsQosServiceFlowId,
              docsQosPktClassId
            }
      ::= { docsQosPktClassTable 1 }

DocsQosPktClassEntry ::= SEQUENCE {
      docsQosPktClassId
                    Unsigned32,
      docsQosPktClassDirection
                    IfDirection,
      docsQosPktClassPriority
                    Unsigned32,
      docsQosPktClassIpTosLow
                    OCTET STRING,
      docsQosPktClassIpTosHigh
                    OCTET STRING,
      docsQosPktClassIpTosMask
                    OCTET STRING,
      docsQosPktClassIpProtocol
                    Unsigned32,
      docsQosPktClassIpSourceAddr
                    InetAddress,
      docsQosPktClassIpSourceMask
                    InetAddress,
      docsQosPktClassIpDestAddr
                    InetAddress,
      docsQosPktClassIpDestMask
                    InetAddress,
      docsQosPktClassSourcePortStart
                    InetPortNumber,
      docsQosPktClassSourcePortEnd
                    InetPortNumber,
      docsQosPktClassDestPortStart
                    InetPortNumber,
      docsQosPktClassDestPortEnd
                    InetPortNumber,
      docsQosPktClassDestMacAddr
                    MacAddress,
      docsQosPktClassDestMacMask
                    MacAddress,
      docsQosPktClassSourceMacAddr
                    MacAddress,
      docsQosPktClassEnetProtocolType
                    INTEGER,
      docsQosPktClassEnetProtocol
                    Unsigned32,
      docsQosPktClassUserPriLow
                    Unsigned32,
```

```
      docsQosPktClassUserPriHigh
                  Unsigned32,
      docsQosPktClassVlanId
                  Unsigned32,
      docsQosPktClassState
                  INTEGER,
      docsQosPktClassPkts
                  Counter64,
      docsQosPktClassBitMap
                  BITS,
      docsQosPktClassIpAddrType
                  InetAddressType,
      docsQosPktClassFlowLabel
                  Unsigned32,
      docsQosPktClassCmInterfaceMask
                  DocsL2vpnIfList
      }

docsQosPktClassId OBJECT-TYPE
      SYNTAX      Unsigned32 (1..65535)
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This key indicates the assigned identifier to the
         packet classifier instance by the CMTS, which is unique
         per Service Flow.
         For UDCs this corresponds to the Service Flow Reference
         of the classifier."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Classifier
         Identifier section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosPktClassEntry 1 }

docsQosPktClassDirection OBJECT-TYPE
      SYNTAX      IfDirection
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the direction to which the
         classifier is applied."
      ::= { docsQosPktClassEntry 2 }

docsQosPktClassPriority OBJECT-TYPE
      SYNTAX      Unsigned32 (0..255)
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the order of evaluation
         of the classifiers.
         The higher the value, the higher the priority.
         The value of 0 is used as default in provisioned Service
         Flows Classifiers.
         The default value of 64 is used for dynamic Service Flow
         Classifiers.

         If the referenced parameter is not present in a classifier,
         this attribute reports the default
         value as defined above."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Rule Priority
         section in the Common Radio Frequency Interface Encodings
         Annex."
      ::= { docsQosPktClassEntry 3 }
```

```
docsQosPktClassIpTosLow OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE (1))
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the low value of a range of
        TOS byte values.
        If the referenced parameter is not present in a classifier,
        this attribute reports the value of 0.

        The IP TOS octet, as originally defined in RFC 791, has
        been superseded by the 6-bit Differentiated Services
        Field (DSField, RFC 3260) and the 2-bit Explicit
        Congestion Notification Field (ECN field, RFC 3168).
        This object is defined as an 8-bit octet as per the DOCSIS
        Specification for packet classification."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, IPv4 Type of
        Service Range and Mask and IPv6 Traffic Class Range and
        Mask sections in the Common Radio Frequency Interface
        Encodings Annex."
     ::= { docsQosPktClassEntry 4 }

docsQosPktClassIpTosHigh OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE (1))
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the 8-bit high value of a
        range of TOS byte values.
        If the referenced parameter is not present in a classifier,
        this attribute reports the value of 0.
        The IP TOS octet as originally defined in RFC 791 has been
        superseded by the 6-bit Differentiated Services
        Field (DSField, RFC 3260) and the 2-bit Explicit Congestion
        Notification Field (ECN field, RFC 3168).
        This object is defined as an 8-bit octet as defined by
        the DOCSIS Specification for packet classification."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, IPv4 Type of
        Service Range and Mask and IPv6 Traffic Class Range and
        Mask sections in the Common Radio Frequency Interface
        Encodings Annex."
     ::= { docsQosPktClassEntry 5 }

docsQosPktClassIpTosMask OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE (1))
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the mask value is bitwise
        ANDed with TOS byte in an IP packet, and this value is
        used for range checking of TosLow and TosHigh.

        If the referenced parameter is not present in a classifier,
        this attribute reports the value of 0.

        The IP TOS octet as originally defined in RFC 791 has been
        superseded by the 6-bit Differentiated Services
        Field (DSField, RFC 3260) and the 2-bit Explicit Congestion
        Notification Field (ECN field, RFC 3168).
        This object is defined as an 8-bit octet per the DOCSIS
        Specification for packet classification."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
```

```
         Specification CM-SP-MULPIv3.0-I06-071206, IPv4 Type of
         Service Range and Mask and IPv6 Traffic Class Range and
         Mask sections in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosPktClassEntry 6 }

docsQosPktClassIpProtocol OBJECT-TYPE
      SYNTAX      Unsigned32 (0..258)
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the value of the IP Protocol
         field required for IP packets to match this rule.

         The value 256 matches traffic with any IP Protocol value.
         The value 257 by convention matches both TCP and
         UDP.
         If the referenced parameter is not present in a classifier,
         this attibute reports the value of 258."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, IP Protocol and
         IPv6 Next Header Type sections in the Common Radio Frequency
         Interface Encodings Annex."
      ::= { docsQosPktClassEntry 7 }

docsQosPktClassIpSourceAddr OBJECT-TYPE
      SYNTAX      InetAddress
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the value of the IP Source
         Address required for packets to match this rule.
         An IP packet matches the rule when the packet IP Source
         Address bitwise ANDed with the InetSourceMask value
         equals the InetSourceAddr value.
         The address type of this object is specified by InetAddressType.

         If the referenced parameter is not present in a classifier,
         this object reports the value of '00000000'H."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, IPv4 Source Address
         and IPv6 Source Address sections in the Common Radio Frequency
         Interface Encodings Annex."
      ::= { docsQosPktClassEntry 8 }

docsQosPktClassIpSourceMask OBJECT-TYPE
      SYNTAX      InetAddress
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies which bits of a packet's
         IP Source Address are compared to match this rule.
         An IP packet matches the rule when the packet source address
         bitwise ANDed with the InetSourceMask value
         equals the docsIetfQosIpPktClassInetSourceAddr
         value.
         The address type of this attribute is specified by InetAddressType.

         If the referenced parameter is not present in a classifier,
         this attribute reports the value of 'FFFFFFFF'H."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, IPv4 Source Mask
         and IPv6 Source Prefix Length (bits) sections in the Common Radio
         Frequency Interface Encodings Annex."
```

```
     ::= { docsQosPktClassEntry 9 }

docsQosPktClassIpDestAddr OBJECT-TYPE
     SYNTAX      InetAddress
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute specifies the value of the IP Destination
        Address required for packets to match this rule.

        An IP packet matches the rule when the packet IP Destination
        Address bitwise ANDed with the InetDestMask
        value equals the InetDestAddr value.
        The address type of this attribute is specified by
        InetAddressType.

        If the referenced parameter is not present in a classifier,
        this attribute reports the value of '00000000'H."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, IPv4 Destination
        Address and IPv6 Destination Address sections in the Common
        Radio Frequency Interface Encodings Annex."
     ::= { docsQosPktClassEntry 10 }

docsQosPktClassIpDestMask OBJECT-TYPE
     SYNTAX      InetAddress
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute specifies which bits of a packet's
        IP Destination Address are compared to match this rule.

        An IP packet matches the rule when the packet destination
        address bitwise ANDed with the InetDestMask value
        equals the docsIetfQosIpPktClassInetDestAddr
        value.
        The address type of this attribute is specified by InetAddressType.

        If the referenced parameter is not present in a classifier,
        this attribute reports the value of 'FFFFFFFF'H."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, IPv4 Destination
        Mask and IPv6 Destination Prefix Length (bits) sections in
        the Common Radio Frequency Interface Encodings Annex."
     ::= { docsQosPktClassEntry 11 }

docsQosPktClassSourcePortStart OBJECT-TYPE
     SYNTAX      InetPortNumber
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute specifies the low-end inclusive range
        of TCP/UDP source port numbers to which a packet
        is compared.  This attribute is irrelevant for non-TCP/UDP
        IP packets.
        If the referenced parameter is not present in a classifier,
        this attribute reports the value of 0."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, TCP/UDP Source
        Port Start section in the Common Radio Frequency Interface
        Encodings Annex."
     ::= { docsQosPktClassEntry 12 }

docsQosPktClassSourcePortEnd OBJECT-TYPE
```

```
      SYNTAX      InetPortNumber
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the high-end inclusive
         range of TCP/UDP source port numbers to which a packet
         is compared.  This attribute is irrelevant for non-TCP/UDP
         IP packets.
         If the referenced parameter is not present in a classifier,
         this attribute reports the value of 65535."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, TCP/UDP Source
         Port End section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosPktClassEntry 13 }

docsQosPktClassDestPortStart OBJECT-TYPE
      SYNTAX      InetPortNumber
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the low-end inclusive  range
         of TCP/UDP destination port numbers to which a packet
         is compared.
         If the referenced parameter is not present in a classifier,
         this attribute reports the value of 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, TCP/UDP
         Destination Port Start section in the Common Radio Frequency
         Interface Encodings Annex."
      ::= { docsQosPktClassEntry 14 }

docsQosPktClassDestPortEnd OBJECT-TYPE
      SYNTAX      InetPortNumber
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the high-end inclusive
         range of TCP/UDP destination port numbers to which a
         packet is compared.
         If the referenced parameter is not present in a classifier,
         this attribute reports the value of 65535."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, TCP/UDP Destination
         Port End section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosPktClassEntry 15 }

docsQosPktClassDestMacAddr OBJECT-TYPE
      SYNTAX      MacAddress
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "An Ethernet packet matches an entry when its destination
         MAC address bitwise ANDed with DestMacMask equals
         the value of DestMacAddr.
         If the referenced parameter is not present in a classifier,
         this attribute reports the value of '000000000000'H."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Destination MAC
         Address section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosPktClassEntry 16 }
```

```
docsQosPktClassDestMacMask OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "An Ethernet packet matches an entry when its destination
        MAC address bitwise ANDed with DestMacMask equals
        the value of DestMacAddr.
        If the referenced parameter is not present in a classifier,
        this attribute reports the value of '000000000000'H."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Destination
        MAC Address section in the Common Radio Frequency Interface
        Encodings Annex."
     ::= { docsQosPktClassEntry 17 }

docsQosPktClassSourceMacAddr OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "An Ethernet packet matches this entry when its source
        MAC address equals the value of this attribute.
        If the referenced parameter is not present in a classifier,
        this attribute reports the value of 'FFFFFFFFFFFF'"
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Source MAC
        Address section in the Common Radio Frequency Interface
        Encodings Annex."
     ::= { docsQosPktClassEntry 18 }

docsQosPktClassEnetProtocolType OBJECT-TYPE
     SYNTAX      INTEGER {

                         none(0),
                         ethertype(1),
                         dsap(2),
                         mac(3),
                         all(4)
                       }
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the format of the layer 3
        protocol ID in the Ethernet packet.  A value of 'none'
        means that the rule does not use the layer 3 protocol type
        as a matching criteria.
        A value of 'ethertype' means that the rule applies only
        to frames that contain an EtherType value.  Ethertype
        values are contained in packets using the Dec-Intel-Xerox
        (DIX) encapsulation or the RFC1042 Sub-Network
        Access Protocol (SNAP) encapsulation formats.

        A value of 'dsap' means that the rule applies only to frames
        using the IEEE802.3 encapsulation format with
        a Destination Service Access Point (DSAP) other than
        0xAA (which is reserved for SNAP).

        A value of 'mac' means that the rule applies only to MAC
        management messages for MAC management messages.

        A value of 'all' means that the rule matches all Ethernet
        packets.
        If the Ethernet frame contains an 802.1P/Q Tag header
```

```
         (i.e., EtherType 0x8100), this attribute applies
         to the embedded EtherType field within the 802.1P/Q
         header.
         If the referenced parameter is not present in a classifier,
         this attribute reports the value of 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206,
         Ethertype/DSAP/MacType section in the Common Radio
         Frequency Interface Encodings Annex."
      ::= { docsQosPktClassEntry 19 }

docsQosPktClassEnetProtocol OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "If EnetProtocolType is 'none', this attribute is
         ignored when considering whether a packet matches the
         current rule.
         If EnetProtocolType is 'ethertype', this attribute
         gives the 16-bit value of the EtherType that the packet
         must match in order to match the rule.
         If EnetProtocolType is 'dsap', the lower 8 bits of this
         attribute's value must match the DSAP byte of the packet
         in order to match the rule.
         If EnetProtocolType is 'mac', the lower 8 bits of this
         attribute's value represent a
         lower bound (inclusive) of MAC management message type
         codes matched, and the upper 8 bits represent the
         upper bound (inclusive) of matched MAC message type
         codes.  Certain message type codes are excluded from
         matching, as specified in the reference.
         If the Ethernet frame contains an 802.1P/Q Tag header
         (i.e., EtherType 0x8100), this attribute applies
         to the embedded EtherType field within the 802.1P/Q
         header.
         If the referenced parameter is not present in the classifier,
         the value of this attribute is reported as 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206,
         Ethertype/DSAP/MacType section in the Common Radio
         Frequency Interface Encodings Annex."
      ::= { docsQosPktClassEntry 20 }

docsQosPktClassUserPriLow OBJECT-TYPE
      SYNTAX      Unsigned32 (0..7)
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute applies only to Ethernet frames using
         the 802.1P/Q tag header (indicated with EtherType
         0x8100).  Such frames include a 16-bit Tag that contains
         a 3-bit Priority field and a 12-bit VLAN number.

         Tagged Ethernet packets must have a 3-bit Priority field
         within the range of PriLow to PriHigh in order to
         match this rule.
         If the referenced parameter is not present in the classifier,
         the value of this attribute is reported as 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, IEEE 802.1P
         User_Priority section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosPktClassEntry 22 }
```

```
docsQosPktClassUserPriHigh OBJECT-TYPE
     SYNTAX      Unsigned32 (0..7)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute applies only to Ethernet frames using
        the 802.1P/Qtag header (indicated with EtherType
        0x8100).  Such frames include a 16-bit Tag that contains
        a 3-bit Priority field and a 12-bit VLAN number.

        Tagged Ethernet packets must have a 3-bit Priority field
        within the range of PriLow to
        PriHigh in order to match this rule.
        If the referenced parameter is not present in the classifier,
        the value of this attribute is reported as 7."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, IEEE 802.1P
        User_Priority section in the Common Radio Frequency Interface
        Encodings Annex."
     ::= { docsQosPktClassEntry 23 }

docsQosPktClassVlanId OBJECT-TYPE
     SYNTAX      Unsigned32 (0 | 1..4094)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute applies only to Ethernet frames
        using the 802.1P/Q tag header.
        Tagged packets must have a VLAN Identifier that matches
        the value in order to match the rule.
        If the referenced parameter is not present in the classifier,
        the value of this attribute is reported as 0."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, IEEE 802.1Q VLAN_ID
        section in the Common Radio Frequency Interface Encodings Annex."
     ::= { docsQosPktClassEntry 24 }

docsQosPktClassState OBJECT-TYPE
     SYNTAX      INTEGER {

                       active(1),
                       inactive(2)
                     }
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates whether or not the classifier
        is enabled to classify packets to a Service Flow.

        If the referenced parameter is not present in the classifier,
        the value of this attribute is reported as 'true'."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Classifier
        Activation State section in the Common Radio Frequency Interface
        Encodings Annex."
     ::= { docsQosPktClassEntry 25 }

docsQosPktClassPkts OBJECT-TYPE
     SYNTAX      Counter64
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute counts the number of packets that have
```

```
        been classified using this entry. This includes
        all packets delivered to a Service Flow maximum rate
        policing function, whether or not that function drops
        the packets.
        This counter's last discontinuity is the
        ifCounterDiscontinuityTime for the same ifIndex that
        indexes this attribute."
     ::= { docsQosPktClassEntry 26 }

docsQosPktClassBitMap OBJECT-TYPE
     SYNTAX      BITS {

                        rulePriority(0),
                        activationState(1),
                        ipTos(2),
                        ipProtocol(3),
                        ipSourceAddr(4),
                        ipSourceMask(5),
                        ipDestAddr(6),
                        ipDestMask(7),
                        sourcePortStart(8),
                        sourcePortEnd(9),
                        destPortStart(10),
                        destPortEnd(11),
                        destMac(12),
                        sourceMac(13),
                        ethertype(14),
                        userPri(15),
                        vlanId(16),
                        flowLabel(17),
                        cmInterfaceMask(18)
                      }
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates which parameter encodings
        were actually present in the DOCSIS packet classifier
        encoding signaled in the DOCSIS message that created
        or modified the classifier.  Note that Dynamic
        Service Change messages have replace semantics, so
        that all non-default parameters must be present whether
        the classifier is being created or changed.
        A bit of this attribute is set to 1 if the parameter indicated
        by the comment was present in the classifier encoding,
        and to 0 otherwise.
        Note that BITS are encoded most significant bit first,
        so that if, for example, bits 6 and 7 are set, this attribute
        is encoded as the octet string '030000'H."
     ::= { docsQosPktClassEntry 27 }

docsQosPktClassIpAddrType OBJECT-TYPE
     SYNTAX      InetAddressType
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the type of the Internet
        address for InetSourceAddr, InetSourceMask, InetDestAddr,
        and InetDestMask.
        If the referenced parameter is not present in a classifier,
        this object reports the value of 'ipv4'."
     ::= { docsQosPktClassEntry 28 }

docsQosPktClassFlowLabel OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1048575)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
```

```
         "This attribute represents the Flow Label field in
         the IPv6 header to be matched by the classifier.
         The value zero indicates that the Flow Label is not specified
         as part of the classifier and is not matched against
         the packets."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, IPv6 Flow Label
         section in the Common Radio Frequency Interface Encodings
         Annex."
      ::= { docsQosPktClassEntry 29 }

docsQosPktClassCmInterfaceMask OBJECT-TYPE
      SYNTAX      DocsL2vpnIfList
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents a bit-mask of the CM in-bound
         interfaces to which this classifer applies.
         This attribute only applies to QOS upstream Classifiers
         and upstream Drop Classifiers. For QOS downstream
         classifiers this object reports the zero-length
         string."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, CM Interface
         Mask (CMIM) Encoding section in the Common Radio Frequency
         Interface Encodings Annex."
      ::= { docsQosPktClassEntry 30 }

docsQosParamSetTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosParamSetEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object describes the set of QOS parameters defined
         in a managed device.
         DOCSIS 1.0 COS service profiles are not represented
         in this object.
         Each row corresponds to a DOCSIS QOS Parameter Set as
         signaled via DOCSIS MAC management messages.Each attribute
         of an instance of this object corresponds to
         one or part of oneService Flow Encoding.
         The BitMap attribute indicates which particular parameters
         were signaled in the original registration
         or dynamic service request message that created the
         QOS Parameter Set.
         In many cases, even if a QOS Parameter Set parameter was
         not signaled, the DOCSIS specification calls for
         a default value to be used.  That default value is reported
         as the value of the corresponding attribute in this
         object instance.

         Many attributes are not applicable, depending on the
         Service Flow direction, upstream scheduling type
         or Service Flow bonding configuration.  The attribute
         value reported in this case is specified by those attributes
         descriptions."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Service Flow
         Encodings section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosMibObjects 2}

docsQosParamSetEntry OBJECT-TYPE
      SYNTAX      DocsQosParamSetEntry
```

```
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "The conceptual row of docsQosParamSetTable.
          The ifIndex key corresponds to the MAC Domain interface of the
          service flow of this Qos Param Set."
      INDEX { ifIndex,
              docsQosParamSetType,
              docsQosParamSetServiceFlowId
            }
      ::= { docsQosParamSetTable 1 }

DocsQosParamSetEntry ::= SEQUENCE {
      docsQosParamSetServiceClassName
                    SnmpAdminString,
      docsQosParamSetPriority
                    Unsigned32,
      docsQosParamSetMaxTrafficRate
                    BitRate,
      docsQosParamSetMaxTrafficBurst
                    Unsigned32,
      docsQosParamSetMinReservedRate
                    BitRate,
      docsQosParamSetMinReservedPkt
                    Unsigned32,
      docsQosParamSetActiveTimeout
                    Unsigned32,
      docsQosParamSetAdmittedTimeout
                    Unsigned32,
      docsQosParamSetMaxConcatBurst
                    Unsigned32,
      docsQosParamSetSchedulingType
                    SchedulingType,
      docsQosParamSetNomPollInterval
                    Unsigned32,
      docsQosParamSetTolPollJitter
                    Unsigned32,
      docsQosParamSetUnsolicitGrantSize
                    Unsigned32,
      docsQosParamSetNomGrantInterval
                    Unsigned32,
      docsQosParamSetTolGrantJitter
                    Unsigned32,
      docsQosParamSetGrantsPerInterval
                    Unsigned32,
      docsQosParamSetTosAndMask
                    OCTET STRING,
      docsQosParamSetTosOrMask
                    OCTET STRING,
      docsQosParamSetMaxLatency
                    Unsigned32,
      docsQosParamSetType
                    INTEGER,
      docsQosParamSetRequestPolicyOct
                    OCTET STRING,
      docsQosParamSetBitMap
                    BITS,
      docsQosParamSetServiceFlowId
                    Unsigned32,
      docsQosParamSetRequiredAttrMask
                    AttributeMask,
      docsQosParamSetForbiddenAttrMask
                    AttributeMask,
      docsQosParamSetAttrAggrRuleMask
                    AttrAggrRuleMask,
      docsQosParamSetAppId
                    Unsigned32,
```

```
      docsQosParamSetMultiplierContentionReqWindow
                  Unsigned32,
      docsQosParamSetMultiplierBytesReq
                  Unsigned32,
      docsQosParamSetMaxReqPerSidCluster
                  Unsigned32,
      docsQosParamSetMaxOutstandingBytesPerSidCluster
                  Unsigned32,
      docsQosParamSetMaxTotBytesReqPerSidCluster
                  Unsigned32,
      docsQosParamSetMaxTimeInSidCluster
                  Unsigned32,
      docsQosParamSetPeakTrafficRate
                  Unsigned32,
      docsQosParamSetDsResequencing
                  INTEGER
      }

docsQosParamSetServiceClassName OBJECT-TYPE
      SYNTAX      SnmpAdminString (SIZE(0..15))
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the Service Class Name
         from which the parameter set values were derived.
         If the referenced parameter is not present in the corresponding
         DOCSIS QOS Parameter Set, this attribute returns the
         zero-length string."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Service Class Name
         section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 4 }

docsQosParamSetPriority OBJECT-TYPE
      SYNTAX      Unsigned32 (0..7)
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the relative priority
         of a Service Flow. Higher numbers indicate higher priority.

         This priority should only be used to differentiate Service
         Flow from identical parameter sets.
         This attribute returns 0 if the referenced parameter
         is not present in the corresponding DOCSIS QOS Parameter
         Set or if the parameter is not applicable."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Traffic Priority
         section in the Common Radio Frequency Interface Encodings
         Annex."
      ::= { docsQosParamSetEntry 5 }

docsQosParamSetMaxTrafficRate OBJECT-TYPE
      SYNTAX      BitRate
      UNITS       "bps"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the maximum sustained
         traffic rate allowed for this Service Flow in bits/sec.
         It counts all MAC frame data PDUs from the bytes following
         the MAC header HCS to the end of the CRC. The number
         of bytes forwarded is limited during any time interval.
         The value 0 means no maximum traffic rate is enforced.
```

```
         This attribute applies to both upstream and
         downstream Service Flows.
         This attribute returns 0 if the referenced parameter
         is not present in the corresponding DOCSIS QOS Parameter
         Set, or if the parameter is not applicable."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Maximum Sustained
         Traffic Rate section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 6 }

docsQosParamSetMaxTrafficBurst OBJECT-TYPE
      SYNTAX      Unsigned32
      UNITS       "bytes"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the token bucket size in
         bytes for this parameter set. The value is calculated
         from the byte following the MAC header HCS to  the end
         of the CRC. This object is applied in conjunction with
         MaxTrafficRate to calculate maximum sustained traffic
         rate.
         If the referenced parameter is not present in the corresponding
         DOCSIS QOS Parameter Set, this attribute
         returns 3044 for scheduling types 'bestEffort', 'nonRealTimePollingService'
         and 'realTimePollingService'.

         If this parameter is not applicable, it is reported as
         0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Maximum Traffic
         Burst section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 7 }

docsQosParamSetMinReservedRate OBJECT-TYPE
      SYNTAX      BitRate
      UNITS       "bps"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the guaranteed minimum
         rate in bits/sec for this parameter set. The value is
         calculated from the byte following the MAC header HCS
         to the end of the CRC. The value of 0 indicates that no
         bandwidth is reserved.
         If the referenced parameter is not present in the corresponding
         DOCSIS QOS Parameter Set, this attribute
         returns 0. If the parameter is not applicable, it is
         reported as 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Minimum Reserved
         Traffic Rate section of the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 8 }

docsQosParamSetMinReservedPkt OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      UNITS       "bytes"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies an assumed minimum packet
```

```
         size in bytes for which the MinReservedRate will be
         provided. The value is calculated from the byte following
         the MAC header HCS to the end of the CRC. If the referenced
         parameter is omitted from a DOCSIS QOS parameter
         set, the used and reported value is CMTS implementation
         and the CM reports a value of 0. If the referenced
         parameter is not applicable to the direction or
         scheduling type of the Service Flow, both CMTS and CM
         report the value 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Assumed Minimum
         Reserved Rate Packet Size, in the Common Radio Frequency
         Interface Encodings Annex."
      ::= { docsQosParamSetEntry 9 }

docsQosParamSetActiveTimeout OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      UNITS       "seconds"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the maximum duration in
         seconds that resources remain unused on an active service
         flow before the CMTS signals that both the active
         and admitted parameter sets are null. The value 0 signifies
         an infinite amount of time.
         If the referenced parameter is not present in the corresponding
         DOCSIS QOS Parameter Set, this attribute
         returns 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Timeout for Active
         QoS Parameters section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 10 }

docsQosParamSetAdmittedTimeout OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      UNITS       "seconds"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the maximum duration in
         seconds that resources remain in admitted state before
         resources must be released. The value of 0 signifies
         an infinite amount  of time. If the referenced parameter
         is not present in the corresponding DOCSIS QOS
         Parameter Set, this attribute returns 200."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Timeout for Admitted
         QoS Parameters section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 11 }

docsQosParamSetMaxConcatBurst OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      UNITS       "bytes"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the maximum concatenated
         burst in bytes that an upstream Service Flow is allowed.

         The value is calculated from the FC byte of the Concatenation
         MAC Header to the last CRC byte of the last concatenated
```

```
         MAC frame, inclusive.  The value of 0 specifies
         no maximum burst.
         If the referenced parameter is not present in the corresponding
         DOCSIS QOS Parameter Set, this attribute
         returns the value of 1522 for scheduling types 'bestEffort',
         'nonRealTimePollingService', and  'realTimePollingService'.
         If the parameter is not applicable,
         it is reported as 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Maximum Concatenated
         Burst section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 12 }

docsQosParamSetSchedulingType OBJECT-TYPE
      SYNTAX      SchedulingType
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the upstream scheduling
         service used for upstream Service Flow.
         If the referenced parameter is not present in the corresponding
         DOCSIS QOS Parameter Set of an upstream Service
         Flow, this attribute returns the value of 'bestEffort'.
         For QOS parameter sets of downstream Service
         Flows, this attribute's value is reported as 'undefined'."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Service Flow
         Scheduling Type section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 13 }

docsQosParamSetNomPollInterval OBJECT-TYPE
      SYNTAX      Unsigned32
      UNITS       "microseconds"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the nominal interval in
         microseconds between successive unicast request opportunities
         on an upstream Service Flow.
         This attribute applies only to upstream Service Flows
         with SchedulingType of value 'nonRealTimePollingService',
         'realTimePollingService', and 'unsolictedGrantServiceWithAD'.
         The parameter is mandatory
         for 'realTimePollingService'. If the parameter
         is omitted with 'nonRealTimePollingService',
         the CMTS uses an implementation-dependent value. If
         the parameter is omitted with 'unsolictedGrantServiceWithAD(5)'
         the CMTS uses the value of the Nominal
         Grant Interval parameter. In all cases, the CMTS reports
         the value it is using when the parameter is applicable.
         The CM reports the signaled parameter value
         if it was signaled. Otherwise, it returns 0.
         If the referenced parameter is not applicable to the
         direction or scheduling type of the corresponding DOCSIS
         QOS Parameter Set, both CMTS and CM report this
         attribute's value as 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Polling Interval
         section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 14 }
```

```
docsQosParamSetTolPollJitter OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "microseconds"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute specifies the maximum amount of time
        in microseconds that the unicast request interval
        may be delayed from the nominal periodic schedule on
        an upstream Service Flow. This parameter is applicable
        only to upstream Service Flows with a SchedulingType
        of 'realTimePollingService' or 'unsolictedGrantServiceWithAD'.

        If the referenced parameter is applicable but not present
        in the corresponding DOCSIS QOS Parameter Set,
        the CMTS uses an implementation-dependent value and
        reports the value it is using. The CM reports a value
        of 0 in this case.
        If the parameter is not applicable to the direction or
        upstream scheduling type of the Service Flow, both
        CMTS and CM report this attribute's value as 0."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Tolerated Poll
        Jitter section in the Common Radio Frequency Interface
        Encodings Annex."
     ::= { docsQosParamSetEntry 15 }

docsQosParamSetUnsolicitGrantSize OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     UNITS       "bytes"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute specifies the unsolicited grant size
        in bytes. The grant size includes the entire MAC frame
        data PDU from the Frame Control byte to the end of
        the MAC frame.
        The referenced parameter is applicable only for upstream
        flows with a SchedulingType of  'unsolicitedGrantServicewithAD'
        or 'unsolicitedGrantService',
        and it is mandatory when applicable. Both CMTS and
        CM report the signaled value of the parameter in this
        case.
        If the referenced parameter is not applicable to the
        direction or scheduling type of the corresponding DOCSIS
        QOS Parameter Set, both CMTS and CM report this
        attribute's value as 0."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Unsolicited
        Grant Size section in the Common Radio Frequency Interface
        Encodings Annex."
     ::= { docsQosParamSetEntry 16 }

docsQosParamSetNomGrantInterval OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "microseconds"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute specifies the nominal interval in
        microseconds between successive data grant opportunities
        on an upstream Service Flow.
        The referenced parameter is applicable only for upstream
        flows with a SchedulingType of 'unsolicitedGrantServicewithAD'
        or 'unsolicitedGrantService(6)',
```

```
         and it is mandatory when applicable. Both CMTS
         and CM report the signaled value of the parameter in this
         case.
         If the referenced parameter is not applicable to the
         direction or scheduling type of the corresponding DOCSIS
         QOS Parameter Set, both CMTS and CM report this
         attribute's value as 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Nominal Grant
         Interval section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 17 }

docsQosParamSetTolGrantJitter OBJECT-TYPE
      SYNTAX      Unsigned32
      UNITS       "microseconds"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the maximum amount of time
         in microseconds that the transmission opportunities
         may be delayed from the nominal periodic schedule.
         The referenced parameter is applicable only for
         upstream flows with a SchedulingType of 'unsolicitedGrantServicewithAD'
         or 'unsolicitedGrantService(6)',
         and it is mandatory when applicable. Both CMTS
         and CM report the signaled value of the parameter
         in this case.
         If the referenced parameter is not applicable to the
         direction or scheduling type of the corresponding DOCSIS
         QOS Parameter Set, both CMTS and CM report this
         attribute's value as 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Tolerated Grant
         Jitter section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 18 }

docsQosParamSetGrantsPerInterval OBJECT-TYPE
      SYNTAX      Unsigned32 (0..127)
      UNITS       "dataGrants"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the number of data grants
         per Nominal Grant Interval (NomGrantInterval). The
         referenced parameter is applicable only for upstream
         flows with a SchedulingType of 'unsolicitedGrantServicewithAD'
         or 'unsolicitedGrantService',
         and it is mandatory when applicable. Both CMTS and CM
         report the signaled value of the parameter in this case.

         If the referenced parameter is not applicable to the
         direction or scheduling type of the corresponding DOCSIS
         QOS Parameter Set, both CMTS and CM report this
         attribute's value as 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Grants per
         Interval section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 19 }

docsQosParamSetTosAndMask OBJECT-TYPE
      SYNTAX      OCTET STRING (SIZE(1))
```

```
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the AND mask for the IP TOS
         byte for overwriting an IPv4 packet's TOS value or IPv6
         packet's Traffic Class value. The IP packet TOS byte
         is bitwise ANDed with TosAndMask, then the result
         is bitwise ORed with TosORMask and the result is written
         to the IP packet TOS byte.
         A value of 'FF'H for TosAndMask and a value of '00'H for
         TosOrMask means that the IP Packet TOS byte is not overwritten.

         This combination is reported if the referenced parameter
         is not present in a QOS Parameter Set.
         The IP TOS octet as originally defined in RFC 791 has been
         superseded by the 6-bit Differentiated Services
         Field (DSField, RFC 3260) and the 2-bit Explicit Congestion
         Notification Field (ECN field, RFC 3168).
         The IPv6 Traffic Class octet RFC 2460 is consistent with
         that new definition.
         Network operators SHOULD avoid specifying values of
         TosAndMask and TosORMask that would result in the modification
         of the ECN bits.
         In particular, operators should not use values of TosAndMask
         that have either of the least-significant
         two bits set to 0. Similarly, operators should not use
         values of TosORMask that have either of the least-significant
         two bits set to 1.
         Even though this attribute is only enforced by the CMTS,
         the CM reports the value as signaled in the referenced
         parameter."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, IP Type Of Service
         (DSCP) Overwrite section in the Common Radio Frequency
         Interface Encodings Annex; [RFC 3168]; [RFC 3260];
         [RFC 2460]; [RFC 791]."
      ::= { docsQosParamSetEntry 20 }

docsQosParamSetTosOrMask OBJECT-TYPE
      SYNTAX      OCTET STRING (SIZE (1))
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the OR mask for the IPv4 TOS
         value or IPv6 Traffic Class value. See the description
         of TosAndMask for further details.
         The IP TOS octet, as originally defined in RFC 791 has
         been superseded by the 6-bit Differentiated Services
         Field (DSField, RFC 3260) and the 2-bit Explicit Congestion
         Notification Field (ECN field, RFC 3168).
         The IPv6 Traffic Class octet RFC 2460 is consistent
         with that new definition.
         Network operators SHOULD avoid specifying values of
         TosAndMask and TosORMask that would result in the modification
         of the ECN bits."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, IP Type Of Service
         (DSCP) Overwrite section in the Common Radio Frequency
         Interface Encodings Annex; [RFC 3168]; [RFC 3260];
         [RFC 2460]; [RFC 791]."
      ::= { docsQosParamSetEntry 21 }

docsQosParamSetMaxLatency OBJECT-TYPE
      SYNTAX      Unsigned32
      UNITS       "microseconds"
```

```
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the maximum latency between
         the reception of a packet by the CMTS on its NSI and
         the forwarding of the packet to the RF interface. A value
         of 0 signifies no maximum latency is enforced. This
         attribute only applies to downstream Service Flows.

         If the referenced parameter is not present in the corresponding
         downstream DOCSIS QOS Parameter Set, this
         attribute returns 0. This parameter is not applicable
         to upstream DOCSIS QOS Parameter Sets, so its value
         is reported as 0 in that case."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Maximum Downstream
         Latency section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 22 }

docsQosParamSetType OBJECT-TYPE
      SYNTAX      INTEGER {

                          active (1),
                          admitted(2),
                          provisioned(3)
                        }
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This key represents the QoS Parameter Set Type of the
         Service Flow.
         The following values are defined:
         'active'
         Indicates the Active QOS parameter set, describing
         the service currently being provided by the DOCSIS MAC
         domain to the service flow.
         'admitted'
         Indicates the Admitted QOS Parameter Set, describing
         services reserved by the DOCSIS MAC domain for use
         by the service flow.
         'provisioned'
         Indicates the QOS Parameter Set defined in the DOCSIS
         CM Configuration file for the service flow."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Service Flow
         Scheduling Type section in the Common Radio Frequency
         Interface Encodings Annex; [RFC 4323]."
      ::= { docsQosParamSetEntry 23 }

docsQosParamSetRequestPolicyOct OBJECT-TYPE
      SYNTAX      OCTET STRING (SIZE (4))
                  -- A 32-bit mask represented most significant byte
                  -- first.  The 32-bit integer represented in this
                  -- manner equals the binary value of the referenced
                  -- integer parameter of the DOCSIS RFI
                  -- specification.
                  -- The BITS syntax is not used in order to avoid
                  -- the confusion caused by different bit-numbering
                  -- conventions.
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies which transmit interval
         opportunities the CM omits for upstream transmission
```

```
        requests and packet transmissions. This object
        takes its default value for downstream Service Flows.
        Unless otherwise indicated, a bit value of 1 means
        that a CM must not use that opportunity for upstream transmission.

        The format of this string enumerated the bits from 0 to
        31 from left to right, for example bit 0 corresponds
        to the left most bit of the fourth octet. (octets numbered
        from right to left). The bit positions are defined
        as follows:
           'broadcastReqOpp'
               all CMs broadcast request opportunities
           'priorityReqMulticastReq'
               priority request multicast request opportunities
           'reqDataForReq'
               request/data opportunities for requests
           'reqDataForData'
               request/data opportunities for data
           'piggybackReqWithData'
               piggyback requests with data
           'concatenateData'
               concatenate data
           'fragmentData'
               fragment data
           'suppressPayloadHeaders'
               suppress payload headers
           'dropPktsExceedUGSize'
               A value of 1 means that the service flow must drop
               packets that do not fit in the Unsolicited Grant size.

        If the referenced parameter is not present in a QOS Parameter
        Set, the value of this object is reported as '00000000'H."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Request/
        Transmission Policy section in the Common Radio Frequency
        Interface Encodings Annex."
     ::= { docsQosParamSetEntry 24 }

docsQosParamSetBitMap OBJECT-TYPE
     SYNTAX      BITS {

                         trafficPriority(0),
                         maxTrafficRate(1),
                         maxTrafficBurst(2),
                         minReservedRate(3),
                         minReservedPkt(4),
                         activeTimeout(5),
                         admittedTimeout(6),
                         maxConcatBurst(7),
                         schedulingType(8),
                         requestPolicy(9),
                         nomPollInterval(10),
                         tolPollJitter(11),
                         unsolicitGrantSize(12),
                         nomGrantInterval(13),
                         tolGrantJitter(14),
                         grantsPerInterval(15),
                         tosOverwrite(16),
                         maxLatency(17),
                         requiredAttrMask(18),
                         forbiddenAttrMask(19),
                         attrAggrMask(20),
                         applicationId(21),
                         multipCntnReqWindow(22),
                         multipBytesReq(23),
                         maxReqPerSidCluster(24),
```

```
                    maxOutstandingBytesPerSidCluster(25),
                    maxTotalBytesReqPerSidCluster(26),
                    maximumTimeInSidCluster(27),
                    peakTrafficRate(28),
                    dsResequencing(29)
                  }
MAX-ACCESS  read-only
STATUS      current
DESCRIPTION
   "This attribute indicates the set of QOS Parameter
   Set parameters actually signaled in the DOCSIS registration
   or dynamic service request message that created
   or modified the QOS Parameter Set. A bit is set to
   1 when the associated parameter is present in the original
   request as follows:
        'trafficPriority'  Traffic Priority
        'maxTrafficRate'  Maximum Sustained Traffic Rate

        'maxTrafficBurst'  Maximum Traffic Burst
        'minReservedRate'  Minimum Reserved Traffic Rate

        'minReservedPkt'  Assumed Minimum Reserved Rate Packet
   Size
        'activeTimeout'  Timeout for Active QoS Parameters

        'admittedTimeout'  Timeout for Admitted QoS Parameters

        'maxConcatBurst'  Maximum Concatenated Burst
        'schedulingType'  Service Flow Scheduling Type
        'requestPolicy'  Request/Transmission Policy
        'nomPollInterval'  Nominal Polling Interval
        'tolPollJitter'  Tolerated Poll Jitter
        'unsolicitGrantSize'  Unsolicited Grant Size
        'nomGrantInterval'  Nominal Grant Interval
        'tolGrantJitter'  Tolerated Grant Jitter
        'grantsPerInterval'  Grants per Interval
        'tosOverwrite'  IP Type of Service (DSCP) Overwrite
        'maxLatency'  Maximum Downstream Latency
        'requiredAttrMask'  Service Flow Required Attribute
                         Mask
        'forbiddenAttrMask  Service Flow Forbidden Attribute
                         Mask
        'attrAggrMask'  Service Flow Attribute Aggregation
                     Mask
        'applicationId'  Application Identifier
        'multipCntnReqWindow'  Multiplier to Contention
                            Request Backoff Window
        'multipBytesReq'  Multiplier to Number of Bytes Requested
        'maxReqPerSidCluster'  Maximum Requests per SID Cluster
        'maxOutstandingBytesPerSidCluster'  Maximum Outstanding
                                          Bytes per SID Cluster
        'maxTotalBytesReqPerSidCluster'  Maximum Total
                                       Bytes Requested per SID
                                       Cluster
        'maximumTimeInSidCluster'  Maximum Time in the SID Cluster
        'peakTrafficRate'  Downstream Peak Traffic Rate
        'dsResequencing'    Downstream Resequencing

   Note that when Service Class names are expanded, the
   registration or dynamic response message may contain
   parameters expanded by the CMTS based on a stored service
   class. These expanded parameters are not indicated
   by a 1 bit in this attribute.
   Note that even though some QOS Parameter Set parameters
   may not be signaled in a message (so that the parameter's
   bit in this object is 0), the DOCSIS specification
   requires that default values be used. These default
```

```
         values are reported as the corresponding attribute."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Service Flow
         Encodings section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 25 }

docsQosParamSetServiceFlowId OBJECT-TYPE
      SYNTAX      Unsigned32 (1.. 4294967295)
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This key represents the Service Flow ID for the service
         flow."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Service
         Identifier section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 26 }

docsQosParamSetRequiredAttrMask OBJECT-TYPE
      SYNTAX      AttributeMask
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the Required Attribute
         Mask to compare with the Provisioned Required Attributes
         when selecting the bonding groups for the service
         flow.
         If the referenced parameter is not present in the corresponding
         DOCSIS QOS Parameter Set, this attribute returns '00000000'H."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Service Flow Required
         Attribute Mask section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 27 }

docsQosParamSetForbiddenAttrMask OBJECT-TYPE
      SYNTAX      AttributeMask
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the Forbidden Attribute
         Mask to compare with the Provisioned Forbidden Attributes
         when selecting the bonding groups for the service
         flow.
         If the referenced parameter is not present in the corresponding
         DOCSIS QOS Parameter Set, this attribute returns '00000000'H."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Service Flow Forbidden
         Attribute Mask section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 28 }

docsQosParamSetAttrAggrRuleMask OBJECT-TYPE
      SYNTAX      AttrAggrRuleMask
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the Attribute Aggregation
         Mask to compare the Service Flow Required and Forbidden
         Attributes with the CMTS dynamically-created
         bonding group when selecting the bonding groups for
```

```
         the service flow.
         If the referenced parameter is not present in the corresponding
         DOCSIS QOS Parameter Set, this attribute returns '00000000'H."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Service Flow
         Attribute Aggregation Mask section in the Common Radio
         Frequency Interface Encodings Annex."
      ::= { docsQosParamSetEntry 29 }

docsQosParamSetAppId OBJECT-TYPE
      SYNTAX      Unsigned32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the Application Identifier
         associated with the service flow for purposes beyond the
         scope of this specification.
         If the referenced parameter is not present in the corresponding
         DOCSIS QOS Parameter Set, this attribute returns 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Application
         Identifier section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosParamSetEntry 30 }

docsQosParamSetMultiplierContentionReqWindow OBJECT-TYPE
      SYNTAX      Unsigned32 (4..12)
      UNITS       "eighths"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the multiplier to be applied by a
         CM when performing contention request backoff for data requests.
         This attribute only applies to upstream Service Flows in 3.0
         operation. If the referenced parameter is not present in the
         upstream DOCSIS QOS Parameter Set or is not applicable, this
         attribute returns 8."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Multiplier to
         Contention Request Backoff Window section in the Common
         Radio Frequency Interface Encodings Annex."
      ::= { docsQosParamSetEntry 31 }

docsQosParamSetMultiplierBytesReq OBJECT-TYPE
      SYNTAX      Unsigned32 (1 | 2 | 4 | 8 | 16)
      UNITS       "requests"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the assumed bandwidth request
         multiplier. This attribute only applies to upstream Service
         Flows in 3.0 operation. If the referenced parameter is not
         present in the upstream DOCSIS QOS Parameter Set, or is not
         applicable, this attribute returns 4."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Multiplier
         to Number of Bytes Requested section in the Common
         Radio Frequency Interface Encodings Annex."
      ::= { docsQosParamSetEntry 32 }

docsQosParamSetMaxReqPerSidCluster OBJECT-TYPE
      SYNTAX      Unsigned32 (0..255)
      UNITS       "bytes"
```

```
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the maximum number of requests
         that a CM can make within a given SID Cluster before it must
         switch to a different SID Cluster to make further requests.
         A value of 0 indicates there is no limit.

         This attribute only applies to upstream Service Flows in 3.0
         operation, in other cases it is reported as 0. If the
         referenced parameter is not present in the DOCSIS QOS
         Parameter Set, this attribute returns 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Maximum Requests
         per SID Cluster section in the Common Radio Frequency
         Interface Encodings Annex."
      ::= { docsQosParamSetEntry 33 }

docsQosParamSetMaxOutstandingBytesPerSidCluster OBJECT-TYPE
      SYNTAX      Unsigned32
      UNITS       "bytes"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the maximum number of bytes
         for which a CM can have requests outstanding on a given
         SID Cluster. If defined number of bytes are outstanding
         and further requests are required, the CM must switch to
         a different SID Cluster if one is available. A value of 0
         indicates there is no limit.

         This attribute only applies to upstream Service Flows in 3.0
         operation, in other cases it is reported as 0.
         If the referenced parameter is not present in the DOCSIS QOS
         Parameter Set, this attribute returns 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Maximum
         Outstanding Bytes per SID Cluster section in the Common
         Radio Frequency Interface Encodings Annex."
      ::= { docsQosParamSetEntry 34 }

docsQosParamSetMaxTotBytesReqPerSidCluster OBJECT-TYPE
      SYNTAX      Unsigned32
      UNITS       "bytes"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the maximum total number of bytes
         a CM can have requested using a given SID Cluster before it
         must switch to a different SID Cluster to make further
         requests. A value of 0 indicates there is no limit.

         This attribute only applies to upstream Service Flows in 3.0
         operation, in other cases it is reported as 0.
         If the referenced parameter is not present in the DOCSIS QOS
         Parameter Set, this attribute returns 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Maximum Total Bytes
         Requested per SID Cluster section in the Common Radio
         Frequency Interface Encodings Annex."
      ::= { docsQosParamSetEntry 35 }

docsQosParamSetMaxTimeInSidCluster OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
```

```
      UNITS       "milliseconds"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the maximum time in milliseconds
         that a CM may use a particular SID Cluster before it must
         switch to a different SID Cluster to make further requests.
         A value of 0 indicates there is no limit.

         This attribute only applies to upstream Service Flows in 3.0
         operation, in other cases it is reported as 0.
         If the referenced parameter is not present in the DOCSIS QOS
         Parameter Set, this attribute returns 0."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Maximum Time in
         the SID Cluster section in the Common Radio Frequency
         Interface Encodings Annex."
      ::= { docsQosParamSetEntry 36 }

docsQosParamSetPeakTrafficRate OBJECT-TYPE
      SYNTAX      Unsigned32
      UNITS       "bps"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies the rate parameter 'P' of a
         token-bucket-based peak rate limiter for packets of a
         downstream service flow. A value of 0 signifies no
         Downstream Peak Traffic Rate is enforced.

         This attribute only applies to downstream Service Flows.
         If the referenced parameter is not present in the
         corresponding downstream DOCSIS QOS Parameter Set,
         this attribute returns 0.
         This parameter is not applicable to upstream DOCSIS QOS
         Parameter Sets, so its value is reported as 0 in that case."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Downstream
         Peak Traffic Rage section in the Common Radio Frequency
         Interface Encodings Annex."
      ::= { docsQosParamSetEntry 37 }

docsQosParamSetDsResequencing OBJECT-TYPE
      SYNTAX      INTEGER {
                           resequencingDsid(0),
                           noResequencingDsid(1)
                          }
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute specifies if a resequending DSID need to be
         allocated to the service flow.
         The value 'resequencingDsid'  indicates that a resequencing DSID
         is required if the service flow is assigned to a downstream
         bonding group.
         The value 'noResequencingDsid' indicates no resequencing DSID
         is associated with the service flow.

         This attribute only applies to downstream Service Flows in 3.0
         operation. If the referenced parameter is not present in the
         corresponding downstream DOCSIS QOS Parameter Set, this
         attribute returns 0. This parameter is not applicable to
         upstream DOCSIS QOS Parameter Sets, so its value is reported
         as 0 in that case."
      REFERENCE
```

```
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Downstream
        Peak Traffic Rage section in the Common Radio Frequency
        Interface Encodings Annex."
     ::= { docsQosParamSetEntry 38 }

docsQosServiceFlowTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsQosServiceFlowEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object describes the set of DOCSIS-QOS Service
        Flows in a managed device."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206,  Service
        lows and Classifiers section."
     ::= { docsQosMibObjects 3}

docsQosServiceFlowEntry OBJECT-TYPE
     SYNTAX      DocsQosServiceFlowEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The conceptual row of docsQosServiceFlowTable.
        The ifIndex key corresponds to the MAC Domain interface
        of this service flow."
     INDEX { ifIndex,
             docsQosServiceFlowId
           }
     ::= { docsQosServiceFlowTable 1 }

DocsQosServiceFlowEntry ::= SEQUENCE {
     docsQosServiceFlowId
                  Unsigned32,
     docsQosServiceFlowSID
                  Unsigned32,
     docsQosServiceFlowDirection
                  IfDirection,
     docsQosServiceFlowPrimary
                  TruthValue,
     docsQosServiceFlowParamSetTypeStatus
                  BITS,
     docsQosServiceFlowChSetId
                  ChSetId,
     docsQosServiceFlowAttrAssignSuccess
                  TruthValue,
     docsQosServiceFlowDsid
                  Dsid
     }

docsQosServiceFlowId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents an identifier assigned to a Service
        Flow by CMTS within a MAC Domain.
        The value 0 is used only for the purpose of reporting instances
        of the PktClass object pertaining UDCs and
        not used for association of QOS classifiers to service
        flows."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Service Flow
        Identifier section in the Common Radio Frequency Interface
        Encodings Annex."
```

```
     ::= { docsQosServiceFlowEntry 1 }

docsQosServiceFlowSID OBJECT-TYPE
     SYNTAX      Unsigned32 (0..16383)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "Service Identifier (SID) assigned to an admitted
        or active Service Flow.  This attribute
        reports a value of 0 if a Service ID is not associated with
        the Service Flow.  Only active
        or admitted upstream Service Flows will have a Service
        ID (SID)."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Service
        Identifier section in the Common Radio Frequency Interface
        Encodings Annex."
     ::= { docsQosServiceFlowEntry 6 }

docsQosServiceFlowDirection OBJECT-TYPE
     SYNTAX      IfDirection
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the direction of the Service
        Flow."
     ::= { docsQosServiceFlowEntry 7 }

docsQosServiceFlowPrimary OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute reflects whether Service Flow is the
        primary
        or a secondary Service Flow."
     ::= { docsQosServiceFlowEntry 8 }

docsQosServiceFlowParamSetTypeStatus OBJECT-TYPE
     SYNTAX      BITS {

                       active(0),
                       admitted(1),
                       provisioned(2)
                     }
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the status of the service
        flow based on the admission state.
        'active' bit set to '1' indicates that the service flow
        is active and that the corresponding QOS ParamSet
        is stored in the CMTS.
        'admitted' bit set to '1' indicates that the service
        flow resources were reserved  and that the corresponding
        QOS ParamSet is stored in the CMTS.
        'provisioned' bit set to '1' indicates that the service
        flow was defined in the CM config file and that the
        corresponding QOS ParamSet is stored in the CMTS."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Service
        Flow Section."
     ::= { docsQosServiceFlowEntry 9 }

docsQosServiceFlowChSetId OBJECT-TYPE
```

```
      SYNTAX      ChSetId
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the Channel Set Id associated
         with the service flow."
      ::= { docsQosServiceFlowEntry 10 }

docsQosServiceFlowAttrAssignSuccess OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "If set to 'true', this attribute indicates that the
         current channel set associated with the service flow
         meets the Required and Forbidden Attribute Mask encodings."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Service Flow
         section."
      ::= { docsQosServiceFlowEntry 11 }

docsQosServiceFlowDsid OBJECT-TYPE
      SYNTAX      Dsid
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the DSID associated with
         the downstream service flow. downstream service flows
         without a DSID or upstream Service Flows report
         the value zero."
      ::= { docsQosServiceFlowEntry 12 }

docsQosServiceFlowStatsTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosServiceFlowStatsEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object describes statistics associated with
         the  Service Flows in a managed device."
      ::= { docsQosMibObjects 4}

docsQosServiceFlowStatsEntry OBJECT-TYPE
      SYNTAX      DocsQosServiceFlowStatsEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "The conceptual row of docsQosServiceFlowStatsTable.
         The ifIndex key corresponds to the MAC Domain interface
         of the service flow."
      INDEX { ifIndex,
              docsQosServiceFlowId
            }
      ::= { docsQosServiceFlowStatsTable 1 }

DocsQosServiceFlowStatsEntry ::= SEQUENCE {
      docsQosServiceFlowPkts
                    Counter64,
      docsQosServiceFlowOctets
                    Counter64,
      docsQosServiceFlowTimeCreated
                    TimeStamp,
      docsQosServiceFlowTimeActive
                    Counter32,
      docsQosServiceFlowPHSUnknowns
                    Counter32,
      docsQosServiceFlowPolicedDropPkts
```

```
                Counter32,
     docsQosServiceFlowPolicedDelayPkts
                Counter32
     }

docsQosServiceFlowPkts OBJECT-TYPE
     SYNTAX      Counter64
     UNITS       "packets"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "For outgoing Service Flows, this attribute counts
        the number of Packet Data PDUs forwarded to this Service
        Flow.  For incoming upstream CMTS service flows,
        this attribute counts the number of Packet Data PDUs
        actually received on the Service Flow identified by
        the SID for which the packet was scheduled.  CMs not classifying
        downstream packets may report this attribute's
        value as 0 for downstream Service Flows.  This
        attribute does not count MAC-specific management
        messages.

        Particularly for UGS flows, packets sent on the primary
        Service Flow in violation of the UGS grant size should
        be counted only by the instance of this attribute
        that is associated with the primary service flow.

        Unclassified upstream user data packets (i.e., non-
        MAC-management) forwarded to the primary upstream
        Service Flow should be counted by the instance of this
        attribute that is associated with the primary service
        flow.

        This attribute does include packets counted by
        ServiceFlowPolicedDelayPkts, but does not include packets
        counted by ServiceFlowPolicedDropPkts and
        ServiceFlowPHSUnknowns.


        This counter's last discontinuity is the
        ifCounterDiscontinuityTime for of the associated MAC Domain
        interface index."
     ::= { docsQosServiceFlowStatsEntry 1 }

docsQosServiceFlowOctets OBJECT-TYPE
     SYNTAX      Counter64
     UNITS       "bytes"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the count of the number of
        octets from the byte after the MAC header HCS to the end
        of the CRC for all packets counted in the ServiceFlowPkts
        attribute for this row.  Note that this counts
        the octets after payload header suppression and before
        payload header expansion have been applied.

        This counter's last discontinuity is the
        ifCounterDiscontinuityTime for of the associated MAC Domain
        interface index."
     ::= { docsQosServiceFlowStatsEntry 2 }

docsQosServiceFlowTimeCreated OBJECT-TYPE
     SYNTAX      TimeStamp
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
```

```
        "This attribute indicates the value of sysUpTime when
        the service flow was created."
     ::= { docsQosServiceFlowStatsEntry 3 }

docsQosServiceFlowTimeActive OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "seconds"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the number of seconds that
        the service flow has been active.

        This counter's last discontinuity is the
        ifCounterDiscontinuityTime for of the associated MAC Domain
        interface index."
     ::= { docsQosServiceFlowStatsEntry 4 }

docsQosServiceFlowPHSUnknowns OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "packets"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "For incoming upstream CMTS service flows, this attribute
        counts the number of packets received with an
        unknown payload header suppression index.
        The service flow is identified by the SID for which the
        packet was scheduled.
        On a CM, only this attribute's instance for the primary
        downstream service flow counts packets received
        with an unknown payload header suppression index.  All
        other downstream service flows on CM report this attributes
        value as 0.
        All outgoing service flows report this attribute's
        value as 0.

        This counter's last discontinuity is the
        ifCounterDiscontinuityTime for of the associated MAC Domain
        interface index."
     ::= { docsQosServiceFlowStatsEntry 5 }

docsQosServiceFlowPolicedDropPkts OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "packets"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "For outgoing service flows, this attribute counts
        the number of Packet Data PDUs classified to this service
        flow dropped due to:
        (1) implementation-dependent excessive delay while
        enforcing the Maximum Sustained Traffic Rate; or

        (2) UGS packets dropped due to exceeding the Unsolicited
        Grant Size with a Request/Transmission policy
        that requires such packets to be dropped.

        Classified packets dropped due to other reasons must
        be counted in ifOutDiscards for the interface of this
        service flow.  This attribute reports 0 for incoming
        service flows.

        This counter's last discontinuity is the
        ifCounterDiscontinuityTime for of the associated MAC Domain
        interface index."
     ::= { docsQosServiceFlowStatsEntry 6 }
```

```
docsQosServiceFlowPolicedDelayPkts OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "packets"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute counts only outgoing packets delayed
        in order to maintain the Maximum Sustained Traffic
        Rate.  This attribute will always report a value of
        0 for UGS flows because the Maximum Sustained Traffic
        Rate does not apply.  This attribute is 0 for incoming
        service flows.

        This counter's last discontinuity is the
        ifCounterDiscontinuityTime for of the associated MAC Domain
        interface index."
     ::= { docsQosServiceFlowStatsEntry 7 }

docsQosUpstreamStatsTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsQosUpstreamStatsEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object describes statistics associated with
        upstream service flows.  All counted frames must be
        received without a Frame Check Sequence (FCS) error."
     ::= { docsQosMibObjects 5}

docsQosUpstreamStatsEntry OBJECT-TYPE
     SYNTAX      DocsQosUpstreamStatsEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The conceptual row of docsQosUpstreamStatsTable.
        The ifIndex key corresponds to the upstream logical channel
        interface of the service flow."
     INDEX { ifIndex,
             docsQosSID
           }
     ::= { docsQosUpstreamStatsTable 1 }

DocsQosUpstreamStatsEntry ::= SEQUENCE {
     docsQosSID
                  Unsigned32,
     docsQosUpstreamFragments
                  Counter32,
     docsQosUpstreamFragDiscards
                  Counter32,
     docsQosUpstreamConcatBursts
                  Counter32
     }

docsQosSID OBJECT-TYPE
     SYNTAX      Unsigned32 (1..16383)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key identifies a service ID for an admitted
        or active upstream service flow."
     ::= { docsQosUpstreamStatsEntry 1 }

docsQosUpstreamFragments OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "fragments"
     MAX-ACCESS  read-only
     STATUS      current
```

```
      DESCRIPTION
         "This attribure indicates the number of fragmentation
         headers received on an upstream service flow, regardless
         of whether the fragment was correctly reassembled
         into a valid packet.

         This counter's last discontinuity is the
         ifCounterDiscontinuityTime for of the associated MAC Domain
         interface index."
      ::= { docsQosUpstreamStatsEntry 2 }

docsQosUpstreamFragDiscards OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "fragments"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the number of upstream fragments
         discarded and not assembled into a valid upstream
         packet.
         This counter's last discontinuity is the
         ifCounterDiscontinuityTime for of the associated MAC Domain
         interface index."
      ::= { docsQosUpstreamStatsEntry 3 }

docsQosUpstreamConcatBursts OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "headers"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the number of concatenation
         headers received on an upstream service flow.
         This counter's last discontinuity is the
         ifCounterDiscontinuityTime for of the associated MAC Domain
         interface index."
      ::= { docsQosUpstreamStatsEntry 4 }

docsQosDynamicServiceStatsTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosDynamicServiceStatsEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object describes statistics associated with
         the Dynamic Service Flows, Dynamic Channel Changes
         and Dynamic Bonding Changes in a managed device within
         a MAC Domain.
         For each MAC Domain there are two instances for the for
         the upstream and downstream
         direction.
         On the CMTS, the downstream direction instance indicates
         messages transmitted or transactions originated
         by the CMTS.  The upstream direction intance indicates
         messages received or transaction originated
         by the CM.
         On the CM, the downstream direction instance indicates
         messages received or transactions originated by
         the CMTS.  The upstream direction instance indicates
         messages transmitted by the CM or transactions originated
         by the CM."
      ::= { docsQosMibObjects 6}

docsQosDynamicServiceStatsEntry OBJECT-TYPE
      SYNTAX      DocsQosDynamicServiceStatsEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
```

```
        "The conceptual row of docsQosDynamicServiceStatsTable.
        The ifIndex key corresponds to the MAC Domain interface
        of the service flow."
    INDEX { ifIndex,
            docsQosIfDirection
          }
    ::= { docsQosDynamicServiceStatsTable 1 }

DocsQosDynamicServiceStatsEntry ::= SEQUENCE {
    docsQosIfDirection
                IfDirection,
    docsQosDSAReqs
                Counter32,
    docsQosDSARsps
                Counter32,
    docsQosDSAAcks
                Counter32,
    docsQosDSCReqs
                Counter32,
    docsQosDSCRsps
                Counter32,
    docsQosDSCAcks
                Counter32,
    docsQosDSDReqs
                Counter32,
    docsQosDSDRsps
                Counter32,
    docsQosDynamicAdds
                Counter32,
    docsQosDynamicAddFails
                Counter32,
    docsQosDynamicChanges
                Counter32,
    docsQosDynamicChangeFails
                Counter32,
    docsQosDynamicDeletes
                Counter32,
    docsQosDynamicDeleteFails
                Counter32,
    docsQosDCCReqs
                Counter32,
    docsQosDCCRsps
                Counter32,
    docsQosDCCAcks
                Counter32,
    docsQosDCCs
                Counter32,
    docsQosDCCFails
                Counter32,
    docsQosDCCRspDeparts
                Counter32,
    docsQosDCCRspArrives
                Counter32,
    docsQosDbcReqs
                Counter32,
    docsQosDbcRsps
                Counter32,
    docsQosDbcAcks
                Counter32,
    docsQosDbcSuccesses
                Counter32,
    docsQosDbcFails
                Counter32,
    docsQosDbcPartial
                Counter32
    }
```

```
docsQosIfDirection OBJECT-TYPE
     SYNTAX      IfDirection
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This attribute indicates the interface direction
        for the instance the statistics are collected."
     ::= { docsQosDynamicServiceStatsEntry 1 }

docsQosDSAReqs OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the number of Dynamic Service
        Addition Requests, including retries.
        Discontinuities in the value of this counter can occur
        at reinitialization of the managed system, and at
        other times as indicated by the value of
        ifCounterDiscontinuityTime of the associated MAC Domain
        interface index."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
        Addition section; [RFC 2863]."
     ::= { docsQosDynamicServiceStatsEntry 2 }

docsQosDSARsps OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "The number of Dynamic Service Addition Responses,
        including retries.
        Discontinuities in the value of this counter can occur
        at reinitialization of the managed system, and at
        other times as indicated by the value of
        ifCounterDiscontinuityTime of the associated MAC Domain
        interface index."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
        Addition section; [RFC 2863]."
     ::= { docsQosDynamicServiceStatsEntry 3 }

docsQosDSAAcks OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "The number of Dynamic Service Addition Acknowledgements,
        including retries.
        Discontinuities in the value of this counter can occur
        at reinitialization of the managed system, and at
        other times as indicated by the value of
        ifCounterDiscontinuityTime of the associated MAC Domain
        interface index."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
        Addition section; [RFC 2863]."
     ::= { docsQosDynamicServiceStatsEntry 4 }

docsQosDSCReqs OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
```

```
      DESCRIPTION
         "The number of Dynamic Service Change Requests, including
         retries.
         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
         Change section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 5 }

docsQosDSCRsps OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "The number of Dynamic Service Change Responses, including
         retries.
         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
         Change section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 6 }

docsQosDSCAcks OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "The number of Dynamic Service Change Acknowledgements,
         including retries.
         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
         Change section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 7 }

docsQosDSDReqs OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "The number of Dynamic Service Delete Requests, including
         retries.
         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
         Delete section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 8 }
```

```
docsQosDSDRsps OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "The number of Dynamic Service Delete Responses, including
        retries.
        Discontinuities in the value of this counter can occur
        at reinitialization of the managed system, and at
        other times as indicated by the value of
        ifCounterDiscontinuityTime of the associated MAC Domain
        interface index."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
        Delete section; [RFC 2863]."
     ::= { docsQosDynamicServiceStatsEntry 9 }

docsQosDynamicAdds OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "The number of successful Dynamic Service Addition
        transactions.
        Discontinuities in the value of this counter can occur
        at reinitialization of the managed system, and at
        other times as indicated by the value of
        ifCounterDiscontinuityTime of the associated MAC Domain
        interface index."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
        Addition section; [RFC 2863]."
     ::= { docsQosDynamicServiceStatsEntry 10 }

docsQosDynamicAddFails OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "The number of failed Dynamic Service Addition transactions.

        Discontinuities in the value of this counter can occur
        at reinitialization of the managed system, and at
        other times as indicated by the value of
        ifCounterDiscontinuityTime of the associated MAC Domain
        interface index."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
        Addition section; [RFC 2863]."
     ::= { docsQosDynamicServiceStatsEntry 11 }

docsQosDynamicChanges OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "The number of successful Dynamic Service Change transactions.

        Discontinuities in the value of this counter can occur
        at reinitialization of the managed system, and at
        other times as indicated by the value of
        ifCounterDiscontinuityTime of the associated MAC Domain
        interface index."
```

```
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
         Change section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 12 }

docsQosDynamicChangeFails OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "The number of failed Dynamic Service Change transactions.

         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
         Change section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 13 }

docsQosDynamicDeletes OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "The number of successful Dynamic Service Delete transactions.

         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
         Delete section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 14 }

docsQosDynamicDeleteFails OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "The number of failed Dynamic Service Delete transactions.

         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Service
         Delete section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 15 }

docsQosDCCReqs OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "The number of Dynamic Channel Change Request messages
         traversing an interface.  This count is nonzero
```

```
         only on downstream direction rows. This count should
         include the number of retries.
         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Downstream
         and/or Upstream Channel Changes section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 16 }

docsQosDCCRsps OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "The number of Dynamic Channel Change Response messages
         traversing an interface. This count is nonzero
         only on upstream direction rows.  This count should
         include the number of retries.
         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Downstream
         and/or Upstream Channel Changes section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 17 }

docsQosDCCAcks OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "The number of Dynamic Channel Change Acknowledgement
         messages traversing an interface.  This count is
         nonzero only on downstream direction rows. This count
         should include the number of retries.
         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Downstream
         and/or Upstream Channel Changes section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 18 }

docsQosDCCs OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "The number of successful Dynamic Channel Change transactions.
         This count is nonzero only on downstream
         direction rows.
         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
```

```
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Downstream
         and/or Upstream Channel Changes section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 19 }

docsQosDCCFails OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "The number of failed Dynamic Channel Change transactions.
         This count is nonzero only on downstream direction
         rows.
         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and at
         other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Downstream
         and/or Upstream Channel Changes section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 20 }

docsQosDCCRspDeparts OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the number of Dynamic Channel
         Change Response (depart) messages. It only applies
         to upstream direction. Discontinuities in the
         value of this counter can occur at reinitialization
         of the managed system, and at other times as indicated
         by the value of ifCounterDiscontinuityTime of the
         associated MAC Domain interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Downstream
         and/or Upstream Channel Changes section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 21 }

docsQosDCCRspArrives OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the number of Dynamic Channel
         Change Response (arrive) messages and should include
         retries. It only applies to the upstream direction.
         Discontinuities in the value of this counter
         can occur at reinitialization of the managed system,
         and at other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Downstream
         and/or Upstream Channel Changes section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 22 }

docsQosDbcReqs OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the number of Dynamic Bonding
```

```
         Change Requests, including retries. It only applies
         to the upstream direction. Discontinuities in
         the value of this counter can occur at reinitialization
         of the managed system, and at other times as indicated
         by the value of ifCounterDiscontinuityTime of
         the associated MAC Domain interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Bonding
         Change (DBC) section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 23 }

docsQosDbcRsps OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the number of Dynamic Bonding
         Change Responses, including retries. It only applies
         to the upstream direction. Discontinuities
         in the value of this counter can occur at reinitialization
         of the managed system, and at other times as indicated
         by the value of ifCounterDiscontinuityTime
         of the associated MAC Domain interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Bonding
         Change (DBC) section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 24 }

docsQosDbcAcks OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the number of Dynamic Bonding
         Change Acknowledgements, including retries.
         It only applies to the downstream direction. Discontinuities
         in the value of this counter can occur at reinitialization
         of the managed system, and at other times
         as indicated by the value of ifCounterDiscontinuityTime
         of the associated MAC Domain interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Bonding
         Change (DBC) section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 25 }

docsQosDbcSuccesses OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the number of fully successful
         Dynamic Bonding Change transactions. It only
         applies to the downstream direction and does not include
         DBC transactions that result in Partial Service.
         Discontinuities in the value of this counter can occur
         at reinitialization of the managed system, and
         at other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Bonding
         Change (DBC) section; [RFC 2863]."
      ::= { docsQosDynamicServiceStatsEntry 26 }
```

```
docsQosDbcFails OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute contains the number of failed Dynamic
        Bonding Change transactions. It only applies to
        the downstream direction. Note that Partial Service
        is not considered a failed transaction. Discontinuities
        in the value of this counter can occur at reinitialization
        of the managed system, and at other times
        as indicated by the value of ifCounterDiscontinuityTime
        of the associated MAC Domain interface index."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Bonding
        Change (DBC) section; [RFC 2863]."
     ::= { docsQosDynamicServiceStatsEntry 27 }

docsQosDbcPartial OBJECT-TYPE
     SYNTAX      Counter32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute contains the number of unsuccessful
        Dynamic Bonding Change transactions that result
        in Partial Service. IT only applies to the downstream
        direction. Discontinuities in the value of this counter
        can occur at reinitialization of the managed system,
        and at other times as indicated by the value of
        ifCounterDiscontinuityTime of the associated MAC
        Domain interface index."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Dynamic Bonding
        Change (DBC) section; [RFC 2863]."
     ::= { docsQosDynamicServiceStatsEntry 28 }

docsQosServiceFlowLogTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsQosServiceFlowLogEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object contains a log of the disconnected Service
        Flows in a managed device."
     ::= { docsQosMibObjects 7}

docsQosServiceFlowLogEntry OBJECT-TYPE
     SYNTAX      DocsQosServiceFlowLogEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The conceptual row of docsQosServiceFlowLogTable."
     INDEX { docsQosServiceFlowLogIndex }
     ::= { docsQosServiceFlowLogTable 1 }

DocsQosServiceFlowLogEntry ::= SEQUENCE {
     docsQosServiceFlowLogIndex
                  Unsigned32,
     docsQosServiceFlowLogIfIndex
                  InterfaceIndex,
     docsQosServiceFlowLogSFID
                  Unsigned32,
     docsQosServiceFlowLogCmMac
                  MacAddress,
     docsQosServiceFlowLogPkts
```

```
                Counter64,
     docsQosServiceFlowLogOctets
                Counter64,
     docsQosServiceFlowLogTimeDeleted
                TimeStamp,
     docsQosServiceFlowLogTimeCreated
                TimeStamp,
     docsQosServiceFlowLogTimeActive
                Counter32,
     docsQosServiceFlowLogDirection
                IfDirection,
     docsQosServiceFlowLogPrimary
                TruthValue,
     docsQosServiceFlowLogServiceClassName
                SnmpAdminString,
     docsQosServiceFlowLogPolicedDropPkts
                Counter32,
     docsQosServiceFlowLogPolicedDelayPkts
                Counter32,
     docsQosServiceFlowLogControl
                INTEGER
     }

docsQosServiceFlowLogIndex OBJECT-TYPE
     SYNTAX      Unsigned32 (1..4294967295)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key indicates an unique index for a logged service
        flow."
     ::= { docsQosServiceFlowLogEntry 1 }

docsQosServiceFlowLogIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndex
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the MAC Domain Interface
        index where the service flow was present."
     ::= { docsQosServiceFlowLogEntry 2 }

docsQosServiceFlowLogSFID OBJECT-TYPE
     SYNTAX      Unsigned32 (1..4294967295)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the identifier assigned
        to the service flow."
     ::= { docsQosServiceFlowLogEntry 3 }

docsQosServiceFlowLogCmMac OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the MAC address of the cable
        modem associated with the service flow."
     ::= { docsQosServiceFlowLogEntry 4 }

docsQosServiceFlowLogPkts OBJECT-TYPE
     SYNTAX      Counter64
     UNITS       "packets"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the final value of the Pkts
        attribute in the ServiceFlowStats object for the
```

```
        service flow."
     ::= { docsQosServiceFlowLogEntry 5 }

docsQosServiceFlowLogOctets OBJECT-TYPE
     SYNTAX      Counter64
     UNITS       "bytes"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the final value of the Pkts
        attribute in the ServiceFlowStats object for the
        service flow."
     ::= { docsQosServiceFlowLogEntry 6 }

docsQosServiceFlowLogTimeDeleted OBJECT-TYPE
     SYNTAX      TimeStamp
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the value of sysUpTime when
        the service flow was deleted."
     ::= { docsQosServiceFlowLogEntry 7 }

docsQosServiceFlowLogTimeCreated OBJECT-TYPE
     SYNTAX      TimeStamp
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the value of sysUpTime when
        the service flow was created."
     ::= { docsQosServiceFlowLogEntry 8 }

docsQosServiceFlowLogTimeActive OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "seconds"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the total time that the service
        flow was active."
     ::= { docsQosServiceFlowLogEntry 9 }

docsQosServiceFlowLogDirection OBJECT-TYPE
     SYNTAX      IfDirection
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the value of Service Flow
        direction for the service flow."
     ::= { docsQosServiceFlowLogEntry 11 }

docsQosServiceFlowLogPrimary OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "If set to 'true', this attribute indicates that the
        Service Flow in the log was a Primary Service Flow, otherwise,
        a Secondary Service Flow."
     ::= { docsQosServiceFlowLogEntry 12 }

docsQosServiceFlowLogServiceClassName OBJECT-TYPE
     SYNTAX      SnmpAdminString (SIZE(0..15))
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the value of ServiceClassName
```

```
         for the provisioned QOS Parameter Set of the service
         flow."
      ::= { docsQosServiceFlowLogEntry 13 }

docsQosServiceFlowLogPolicedDropPkts OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "packets"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the final value of PolicedDropPkts
         attribute of the ServiceFlowStats object
         for the service flow."
      ::= { docsQosServiceFlowLogEntry 14 }

docsQosServiceFlowLogPolicedDelayPkts OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "packets"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the final value of PolicedDelayPkts
         attribute of the ServiceFlowStats object
         for the service flow."
      ::= { docsQosServiceFlowLogEntry 15 }

docsQosServiceFlowLogControl OBJECT-TYPE
      SYNTAX      INTEGER {

                        active(1),
                        destroy(6)
                      }
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute when set to 'destroy' removes this
         instance from the object.
         Reading this attribute returns the value 'active'."
      ::= { docsQosServiceFlowLogEntry 16 }

docsQosServiceClassTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosServiceClassEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object describes a provisioned service class
         on a CMTS.
         Each object instance defines a template for certain
         DOCSIS QOS Parameter Set values.  When a CM creates or
         modifies an Admitted QOS Parameter Set for a Service
         Flow, it may reference a Service Class Name instead of
         providing explicit QOS Parameter
         Set values.  In this case, the CMTS populates the QOS Parameter
         Set with the applicable
         corresponding values from the named Service Class.
         Subsequent changes to a Service Class row do not affect
         the QOS Parameter Set values of any service flows already
         admitted.
         A service class template applies to only a single direction,
         as indicated in the ServiceClassDirection
         attribute."
      ::= { docsQosMibObjects 8}

docsQosServiceClassEntry OBJECT-TYPE
      SYNTAX      DocsQosServiceClassEntry
      MAX-ACCESS  not-accessible
      STATUS      current
```

```
      DESCRIPTION
         "The conceptual row of docsQosServiceClassTable."
      INDEX { docsQosServiceClassName }
      ::= { docsQosServiceClassTable 1 }

DocsQosServiceClassEntry ::= SEQUENCE {
      docsQosServiceClassName
                  SnmpAdminString,
      docsQosServiceClassStatus
                  RowStatus,
      docsQosServiceClassPriority
                  Unsigned32,
      docsQosServiceClassMaxTrafficRate
                  BitRate,
      docsQosServiceClassMaxTrafficBurst
                  Unsigned32,
      docsQosServiceClassMinReservedRate
                  BitRate,
      docsQosServiceClassMinReservedPkt
                  Unsigned32,
      docsQosServiceClassMaxConcatBurst
                  Unsigned32,
      docsQosServiceClassNomPollInterval
                  Unsigned32,
      docsQosServiceClassTolPollJitter
                  Unsigned32,
      docsQosServiceClassUnsolicitGrantSize
                  Unsigned32,
      docsQosServiceClassNomGrantInterval
                  Unsigned32,
      docsQosServiceClassTolGrantJitter
                  Unsigned32,
      docsQosServiceClassGrantsPerInterval
                  Unsigned32,
      docsQosServiceClassMaxLatency
                  Unsigned32,
      docsQosServiceClassActiveTimeout
                  Unsigned32,
      docsQosServiceClassAdmittedTimeout
                  Unsigned32,
      docsQosServiceClassSchedulingType
                  SchedulingType,
      docsQosServiceClassRequestPolicy
                  OCTET STRING,
      docsQosServiceClassTosAndMask
                  OCTET STRING,
      docsQosServiceClassTosOrMask
                  OCTET STRING,
      docsQosServiceClassDirection
                  IfDirection,
      docsQosServiceClassStorageType
                  StorageType,
      docsQosServiceClassDSCPOverwrite
                  DscpOrAny,
      docsQosServiceClassRequiredAttrMask
                  AttributeMask,
      docsQosServiceClassForbiddenAttrMask
                  AttributeMask,
      docsQosServiceClassAttrAggrRuleMask
                  AttrAggrRuleMask,
      docsQosServiceClassAppId
                  Unsigned32,
      docsQosServiceClassMultiplierContentionReqWindow
                  Unsigned32,
      docsQosServiceClassMultiplierBytesReq
                  Unsigned32,
      docsQosServiceClassMaxReqPerSidCluster
```

```
                Unsigned32,
     docsQosServiceClassMaxOutstandingBytesPerSidCluster
                Unsigned32,
     docsQosServiceClassMaxTotBytesReqPerSidCluster
                Unsigned32,
     docsQosServiceClassMaxTimeInSidCluster
                Unsigned32,
     docsQosServiceClassPeakTrafficRate
                Unsigned32,
     docsQosServiceClassDsResequencing
                INTEGER
     }

docsQosServiceClassName OBJECT-TYPE
     SYNTAX      SnmpAdminString (SIZE(1..15))
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key indicates the Service Class Name associated
        with this object instance.  DOCSIS specifies
        that the maximum size is 16 ASCII characters including
        a terminating zero.  The terminating zero is not
        represented in this SnmpAdminString syntax attribute."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Service Class
        Name section in the Common Radio Frequency Interface
        Encodings Annex."
     ::= { docsQosServiceClassEntry 1 }

docsQosServiceClassStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "The conceptual row status of this object."
     ::= { docsQosServiceClassEntry 3 }

docsQosServiceClassPriority OBJECT-TYPE
     SYNTAX      Unsigned32 (0..7)
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the Priority attribute
        of the QoS Parameter Set."
     DEFVAL { 0 }
     ::= { docsQosServiceClassEntry 4 }

docsQosServiceClassMaxTrafficRate OBJECT-TYPE
     SYNTAX      BitRate
     UNITS       "bps"
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the MaxTrafficRate
        attribute of the QoS Parameter Set."
     DEFVAL { 0 }
     ::= { docsQosServiceClassEntry 5 }

docsQosServiceClassMaxTrafficBurst OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "bytes"
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the MaxTrafficBurst
        attribute of the QoS Parameter Set."
```

```
      DEFVAL { 3044 }
      ::= { docsQosServiceClassEntry 6 }

docsQosServiceClassMinReservedRate OBJECT-TYPE
      SYNTAX      BitRate
      UNITS       "bps"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute is the template for the MinReservedRate
         attribute of the QoS Parameter Set."
      DEFVAL { 0 }
      ::= { docsQosServiceClassEntry 7 }

docsQosServiceClassMinReservedPkt OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      UNITS       "bytes"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute is the template for the MinReservedPkt
         attribute of the QoS Parameter Set."
      ::= { docsQosServiceClassEntry 8 }

docsQosServiceClassMaxConcatBurst OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      UNITS       "bytes"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute is the template for the MaxConcatBurst
         attribute of the QoS Parameter Set."
      DEFVAL { 1522 }
      ::= { docsQosServiceClassEntry 9 }

docsQosServiceClassNomPollInterval OBJECT-TYPE
      SYNTAX      Unsigned32
      UNITS       "microseconds"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute is the template for the NomPollInterval
         attribute of the QoS Parameter Set."
      DEFVAL { 0 }
      ::= { docsQosServiceClassEntry 10 }

docsQosServiceClassTolPollJitter OBJECT-TYPE
      SYNTAX      Unsigned32
      UNITS       "microseconds"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute is the template for the TolPolJitter
         attribute of the QoS Parameter Set."
      DEFVAL { 0 }
      ::= { docsQosServiceClassEntry 11 }

docsQosServiceClassUnsolicitGrantSize OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      UNITS       "bytes"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute is the template for the UnsolicitGrantSize
         attribute of the QoS Parameter Set."
      DEFVAL { 0 }
      ::= { docsQosServiceClassEntry 12 }
```

```
docsQosServiceClassNomGrantInterval OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "microseconds"
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the NomGrantInterval
        attribute of the QoS Parameter Set."
     DEFVAL { 0 }
     ::= { docsQosServiceClassEntry 13 }

docsQosServiceClassTolGrantJitter OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "microseconds"
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the TolGrantJitter
        attribute of the QoS Parameter Set."
     DEFVAL { 0 }
     ::= { docsQosServiceClassEntry 14 }

docsQosServiceClassGrantsPerInterval OBJECT-TYPE
     SYNTAX      Unsigned32 (0..127)
     UNITS       "dataGrants"
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the GrantsPerInterval
        attribute of the QoS Parameter Set."
     DEFVAL { 0 }
     ::= { docsQosServiceClassEntry 15 }

docsQosServiceClassMaxLatency OBJECT-TYPE
     SYNTAX      Unsigned32
     UNITS       "microseconds"
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the MaxLatency
        attribute of the QoS Parameter Set."
     DEFVAL { 0 }
     ::= { docsQosServiceClassEntry 16 }

docsQosServiceClassActiveTimeout OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     UNITS           "seconds"
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the ActiveTimeout
        attribute of the QoS Parameter Set."
     DEFVAL { 0 }
     ::= { docsQosServiceClassEntry 17 }

docsQosServiceClassAdmittedTimeout OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     UNITS           "seconds"
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the AddmitedTimeout
        attribute of the QoS Parameter Set."
     DEFVAL { 200 }
     ::= { docsQosServiceClassEntry 18 }
```

```
docsQosServiceClassSchedulingType OBJECT-TYPE
     SYNTAX      SchedulingType
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the SchedulingType
        attribute of the QoS Parameter Set."
     DEFVAL { bestEffort }
     ::= { docsQosServiceClassEntry 19 }

docsQosServiceClassRequestPolicy OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE(4))
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the ReqPolicyOct
        attribute of the QoS Parameter Set."
    DEFVAL       { '00000000'H }
     ::= { docsQosServiceClassEntry 20 }

docsQosServiceClassTosAndMask OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE(1))
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the TosAndMask
        attribute of the QoS Parameter Set."
     ::= { docsQosServiceClassEntry 21 }

docsQosServiceClassTosOrMask OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE(1))
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the TosOrMask attribute
        of the QoS Parameter Set."
     ::= { docsQosServiceClassEntry 22 }

docsQosServiceClassDirection OBJECT-TYPE
     SYNTAX      IfDirection
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the Direction attribute
        of the QoS Parameter Set."
     DEFVAL { upstream }
     ::= { docsQosServiceClassEntry 23 }

docsQosServiceClassStorageType OBJECT-TYPE
     SYNTAX      StorageType
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute defines whether this row is kept in
        volatile storage and lost upon reboot or whether
        it is backed up by non-volatile or permanent storage.
        'permanent' entries need not allow writable access to
        any instance attribute."
     DEFVAL { nonVolatile }
     ::= { docsQosServiceClassEntry 24 }

docsQosServiceClassDSCPOverwrite OBJECT-TYPE
     SYNTAX      DscpOrAny
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute allows the overwrite of the DSCP field
```

```
        per RFC 3260.
        If this attribute is -1, then the corresponding TosAndMask
        value is set to be 'FF'H and TosOrMask is set to
        '00'H.  Otherwise, this attribute is in the range of
0..63,
        and the corresponding TosAndMask value is '03'H
        and TosOrMaskvalue is this attribute value shifted
        left by two bit positions."
     DEFVAL { -1 }
     ::= { docsQosServiceClassEntry 25 }

docsQosServiceClassRequiredAttrMask OBJECT-TYPE
     SYNTAX      AttributeMask
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the RequiredAttrMask
        attribute of the QoS Parameter Set."
     DEFVAL { '00000000'H }
     ::= { docsQosServiceClassEntry 26 }

docsQosServiceClassForbiddenAttrMask OBJECT-TYPE
     SYNTAX      AttributeMask
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the ForbiddenAttrMask
        attribute of the QoS Parameter Set."
     DEFVAL { '00000000'H }
     ::= { docsQosServiceClassEntry 27 }

docsQosServiceClassAttrAggrRuleMask OBJECT-TYPE
     SYNTAX      AttrAggrRuleMask
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the AttrAggregationMask
        attribute of the QoS Parameter Set."
     DEFVAL { '00000000'H }
     ::= { docsQosServiceClassEntry 28 }

docsQosServiceClassAppId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the AppId attribute
        of the QoS Parameter Set."
     ::= { docsQosServiceClassEntry 29 }

docsQosServiceClassMultiplierContentionReqWindow OBJECT-TYPE
     SYNTAX      Unsigned32 (4..12)
     UNITS       "eighths"
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute is the template for the MultiplierContentionReqWindow
        attribute of the QoS Parameter
        Set."
     DEFVAL { 8 }
     ::= { docsQosServiceClassEntry 30 }

docsQosServiceClassMultiplierBytesReq OBJECT-TYPE
     SYNTAX      Unsigned32 (1 | 2 | 4 | 8 | 16)
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
```

```
        "This attribute is the template for the MultiplierBytesReq
        attribute of the QoS Parameter Set."
      DEFVAL { 4 }
      ::= { docsQosServiceClassEntry 31 }

docsQosServiceClassMaxReqPerSidCluster OBJECT-TYPE
      SYNTAX      Unsigned32 (0..255)
      UNITS       "requests"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute is the template for the MaxReqPerSidCluster
         attribute of the QoS Parameter Set."
      DEFVAL { 0 }
      ::= { docsQosServiceClassEntry 32 }

docsQosServiceClassMaxOutstandingBytesPerSidCluster OBJECT-TYPE
      SYNTAX      Unsigned32
      UNITS       "bytes"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute is the template for the MaxOutstandingBytesPerSidCluster
         attribute of the QoS Parameter
         Set."
      DEFVAL { 0 }
      ::= { docsQosServiceClassEntry 33 }

docsQosServiceClassMaxTotBytesReqPerSidCluster OBJECT-TYPE
      SYNTAX      Unsigned32
      UNITS       "bytes"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute is the template for the MaxTotBytesReqPerSidCluster
         attribute of the QoS Parameter Set."

      DEFVAL { 0 }
      ::= { docsQosServiceClassEntry 34 }

docsQosServiceClassMaxTimeInSidCluster OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      UNITS       "milliseconds"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute is the template for the MaxTimeInSidCluster
         attribute of the QoS Parameter Set."
      DEFVAL { 0 }
      ::= { docsQosServiceClassEntry 35 }

docsQosServiceClassPeakTrafficRate OBJECT-TYPE
      SYNTAX      Unsigned32
      UNITS       "bps"
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute is the template for the PeakTrafficRate
         attribute of the QoS Parameter Set."
      DEFVAL { 0 }
      ::= { docsQosServiceClassEntry 36 }

docsQosServiceClassDsResequencing OBJECT-TYPE
      SYNTAX      INTEGER {
                           resequencingDsid(0),
                           noResequencingDsid(1)
                          }
```

```
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute is the template for the DsResequencing
         attribute of the QoS Parameter Set."
      DEFVAL { 0 }
      ::= { docsQosServiceClassEntry 37 }


docsQosPHSTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosPHSEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object describes the set of payload header suppression
         of Service Flows."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Payload Header
         Suppression section in the Common Radio Frequency Interface
         Encodings Annex."
      ::= { docsQosMibObjects 10}

docsQosPHSEntry OBJECT-TYPE
      SYNTAX      DocsQosPHSEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "The conceptual row of docsQosPHSTable.
         The ifIndex key corresponds to the MAC Domain interface
         of the service flow of the classifier that includes this
         PHS rule."
      INDEX { ifIndex,
              docsQosServiceFlowId,
              docsQosPktClassId
            }
      ::= { docsQosPHSTable 1 }

DocsQosPHSEntry ::= SEQUENCE {
      docsQosPHSField
                   OCTET STRING,
      docsQosPHSMask
                   OCTET STRING,
      docsQosPHSSize
                   Unsigned32,
      docsQosPHSVerify
                   TruthValue,
      docsQosPHSIndex
                   Unsigned32
      }

docsQosPHSField OBJECT-TYPE
      SYNTAX      OCTET STRING (SIZE (0..255))
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the Payload Header suppression
         field defines the bytes of the header that must
         be suppressed/restored by the sending/receiving
         device.
         The number of octets in this attribute should be the same
         as the value of PHSSize."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Payload Header
         Suppression Field (PHSF) section in the Common Radio
         Frequency Interface Encodings Annex."
```

```
     ::= { docsQosPHSEntry 2 }

docsQosPHSMask OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE (0..32))
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute defines the bit mask that is used in
        combination with the PHSField.  It defines which bytes
        in the header must be suppressed/restored by the sending
        or receiving device.

        Each bit of this bit mask corresponds to a byte in the PHSField,
        with the least
        significant bit corresponding to the first byte of the
        PHSField.

        Each bit of the bit mask specifies whether the corresponding
        byte should be suppressed in the packet.  A bit
        value of '1' indicates that the byte should be suppressed
        by the sending device and restored by the receiving
        device.
        A bit value of '0' indicates that the byte should not be
        suppressed by the sending device or restored by the
        receiving device.
        If the bit mask does not contain a bit for each byte in the
        PHSField, then the bit mask is extended with bit values
        of '1' to be the necessary length."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Payload Header
        Suppression Mask (PHSM) section in the Common Radio Frequency
        Interface Encodings Annex."
     ::= { docsQosPHSEntry 3 }

docsQosPHSSize OBJECT-TYPE
     SYNTAX      Unsigned32 (0..255)
     UNITS       "bytes"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute specifies the number of bytes in the
        header to be suppressed  and restored.
        The value of this attribute matches the numberof bytes
        in the Field attribute."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Payload Header Suppression
        Size (PHSS) section in the Common Radio Frequency Interface
        Encodings Annex."
     ::= { docsQosPHSEntry 4 }

docsQosPHSVerify OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "If 'true', this attribute indicates that the sender
        must verify that the PHS Field
        is the same as the content in the packet to be suppressed."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Payload Header
        Suppression Verification (PHSV) section in the Common Radio
        Frequency Interface Encodings Annex."
     ::= { docsQosPHSEntry 5 }
```

```
docsQosPHSIndex OBJECT-TYPE
     SYNTAX      Unsigned32 (1..255)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute uniquely references the PHS rule for
        a given service flow."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Payload Header
        Suppression Index (PHSI) section in the Common Radio
        Frequency Interface Encodings Annex."
     ::= { docsQosPHSEntry 7 }

docsQosCmtsMacToSrvFlowTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsQosCmtsMacToSrvFlowEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object provides the mapping of unicast service
        flows with the cable modem the service flows belongs
        to."
     ::= { docsQosMibObjects 11}

docsQosCmtsMacToSrvFlowEntry OBJECT-TYPE
     SYNTAX      DocsQosCmtsMacToSrvFlowEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The conceptual row of docsQosCmtsMacToSrvFlowTable."
     INDEX {
             docsQosCmtsCmMac,
             docsQosCmtsServiceFlowId
           }
     ::= { docsQosCmtsMacToSrvFlowTable 1 }

DocsQosCmtsMacToSrvFlowEntry ::= SEQUENCE {
     docsQosCmtsCmMac
                  MacAddress,
     docsQosCmtsServiceFlowId
                  Unsigned32,
     docsQosCmtsIfIndex
                  InterfaceIndex
     }

docsQosCmtsCmMac OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the MAC address for the referenced
        CM."
     ::= { docsQosCmtsMacToSrvFlowEntry 1 }

docsQosCmtsServiceFlowId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..4294967295)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the identifier of the Service
        Flow."
     ::= { docsQosCmtsMacToSrvFlowEntry 2 }

docsQosCmtsIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndex
     MAX-ACCESS  read-only
     STATUS      current
```

```
     DESCRIPTION
        "This attribute represents the interface index of
        the MAC domain of the Service Flow and where the CableModem
        is registered."
     ::= { docsQosCmtsMacToSrvFlowEntry 3 }

docsQosServiceFlowSidClusterTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsQosServiceFlowSidClusterEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object defines the SID clusters associated with
        an upstream service flow."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206,  Service Flow SID
        Cluster Assignments section in the Common Radio Frequency
        Interface Encodings Annex."
     ::= { docsQosMibObjects 12}

docsQosServiceFlowSidClusterEntry OBJECT-TYPE
     SYNTAX      DocsQosServiceFlowSidClusterEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The conceptual row of docsQosServiceFlowSidClusterTable.
        The ifIndex key corresponds to the MAC Domain interface
        of the service flow."
     INDEX { ifIndex,
             docsQosServiceFlowId,
             docsQosServiceFlowSidClusterId,
             docsQosServiceFlowSidClusterUcid
           }
     ::= { docsQosServiceFlowSidClusterTable 1 }

DocsQosServiceFlowSidClusterEntry ::= SEQUENCE {
     docsQosServiceFlowSidClusterId
                  Unsigned32,
     docsQosServiceFlowSidClusterUcid
                  ChId,
     docsQosServiceFlowSidClusterSid
                  Unsigned32
     }

docsQosServiceFlowSidClusterId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..7)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the SID Cluster ID of the SID Cluster."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, SID Cluster ID
        section in the Common Radio Frequency Interface Encodings
        Annex."
     ::= { docsQosServiceFlowSidClusterEntry 1 }

docsQosServiceFlowSidClusterUcid OBJECT-TYPE
     SYNTAX      ChId (1..255)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the upstream Channel ID mapped
        to the corresponding SID."
     ::= { docsQosServiceFlowSidClusterEntry 2 }

docsQosServiceFlowSidClusterSid OBJECT-TYPE
```

```
      SYNTAX      Unsigned32 (1..16383)
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the SID assigned to the
         upstream channel in this SID Cluster."
      ::= { docsQosServiceFlowSidClusterEntry 3 }

docsQosGrpServiceFlowTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosGrpServiceFlowEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object provides extensions to the service flow
         information for Group Service Flows (GSFs)."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, QoS Support
         for Joined IP Multicast Traffic section."
      ::= { docsQosMibObjects 13}

docsQosGrpServiceFlowEntry OBJECT-TYPE
      SYNTAX      DocsQosGrpServiceFlowEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "The conceptual row of docsQosGrpServiceFlowTable.
         The ifIndex key corresponds to the MAC Domain interface
         of the group service flow."
      INDEX { ifIndex,
              docsQosServiceFlowId
            }
      ::= { docsQosGrpServiceFlowTable 1 }

DocsQosGrpServiceFlowEntry ::= SEQUENCE {
      docsQosGrpServiceFlowIsDef
                   TruthValue,
      docsQosGrpServiceFlowQosConfigId
                   Unsigned32,
      docsQosGrpServiceFlowNumSess
                   Unsigned32
      }

docsQosGrpServiceFlowIsDef OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates whether the GSF QOS Parameter
         Set corresponds to the Default Group Service Flow."
      REFERENCE
         "DOCSIS 3.0 Operations Support System Interface Specification
         CM-SP-OSSIv3.0-I05-071206, Multicast Requirements Annex."
      ::= { docsQosGrpServiceFlowEntry 1 }

docsQosGrpServiceFlowQosConfigId OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the Group QoS Configuration
         (GQC) identifier used of the creation of this GSF.
         The value zero indicates that the Service Flow is using
         the default service flow."
      REFERENCE
         "DOCSIS 3.0 Operations Support System Interface Specification
         CM-SP-OSSIv3.0-I05-071206, Multicast Requirements Annex."
```

```
     ::= { docsQosGrpServiceFlowEntry 2 }

docsQosGrpServiceFlowNumSess OBJECT-TYPE
     SYNTAX      Unsigned32 (1..65535)
     UNITS       "sessions"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the number of sessions that
        are configured in an aggregated Service Flow.  If
        this is a single session replication, the value of this
        attribute is 1."
     REFERENCE
        "DOCSIS 3.0 Operations Support System Interface Specification
        CM-SP-OSSIv3.0-I05-071206, Multicast Requirements Annex."
     ::= { docsQosGrpServiceFlowEntry 3 }

docsQosGrpPktClassTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsQosGrpPktClassEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object provides additional packet classification
        information for Group Classifier References
        (GCRs) in a Group Service Flow (GSF)."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, QoS Support for
        Joined IP Multicast Traffic section."
     ::= { docsQosMibObjects 14}

docsQosGrpPktClassEntry OBJECT-TYPE
     SYNTAX      DocsQosGrpPktClassEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The conceptual row of docsQosGrpPktClassTable.
        The ifIndex key corresponds to the MAC Domain interface of the
        service flow of this group classifier."
     INDEX { ifIndex,
             docsQosServiceFlowId,
             docsQosPktClassId
           }
     ::= { docsQosGrpPktClassTable 1 }

DocsQosGrpPktClassEntry ::= SEQUENCE {
     docsQosGrpPktClassGrpConfigId
                  Unsigned32
     }

docsQosGrpPktClassGrpConfigId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..4294967295)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the GC identifier used of
        the creation of this GSF."
     REFERENCE
        "DOCSIS 3.0 Operations Support System Interface Specification
        CM-SP-OSSIv3.0-I05-071206, Multicast Requirements Annex."
     ::= { docsQosGrpPktClassEntry 1 }

docsQosUpChCounterExtTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsQosUpChCounterExtEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
```

```
         "This object provides extensions for service flow
         statistics for fragmentation for channel bonding."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Channel
         Bonding section."
      ::= { docsQosMibObjects 15}

docsQosUpChCounterExtEntry OBJECT-TYPE
      SYNTAX      DocsQosUpChCounterExtEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "The conceptual row of docsQosUpChCounterExtTable.
         The ifIndex key corresponds to the upstream Channel Interface
         of the service flow."
      INDEX { ifIndex }
      ::= { docsQosUpChCounterExtTable 1 }

DocsQosUpChCounterExtEntry ::= SEQUENCE {
      docsQosUpChCounterExtSgmtValids
                   Counter32,
      docsQosUpChCounterExtSgmtDiscards
                   Counter32
      }

docsQosUpChCounterExtSgmtValids OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "segments"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the total number segments
         processed on this channel. Discontinuities in the
         value of this counter can occur at reinitialization
         of the managed system, and at other times as indicated
         by the value of ifCounterDiscontinuityTime of the
         associated MAC Domain interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Upstream and
         Downstream Common Aspects section; [RFC 2863]."
      ::= { docsQosUpChCounterExtEntry 1 }

docsQosUpChCounterExtSgmtDiscards OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "segments"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the total number of discarded
         segments on this channel due to segment HCS problems.
         Discontinuities in the value of this counter
         can occur at reinitialization of the managed system,
         and at other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Continuous
         Concatenation and Fragmentation section;[RFC 2863]."
      ::= { docsQosUpChCounterExtEntry 2 }

docsQosServiceFlowCcfStatsTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosServiceFlowCcfStatsEntry
      MAX-ACCESS  not-accessible
      STATUS      current
```

```
     DESCRIPTION
        "This object provides upstream service flow statistics
        for Continous Concatenation and Fragmentation
        (CCF).
        The CMTS MAY not instantate this object for service flows
        that do not use CCF or return the zero value on individual
        counter's statistics."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Continuous
        Concatenation and Fragmentation section."
     ::= { docsQosMibObjects 16}

docsQosServiceFlowCcfStatsEntry OBJECT-TYPE
     SYNTAX      DocsQosServiceFlowCcfStatsEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The conceptual row of docsQosServiceFlowCcfStatsTable.
        The ifIndex key corresponds to the MAC Domain interface
        of the service flow."
     INDEX { ifIndex,
             docsQosServiceFlowId
           }
     ::= { docsQosServiceFlowCcfStatsTable 1 }

DocsQosServiceFlowCcfStatsEntry ::= SEQUENCE {
     docsQosServiceFlowCcfStatsSgmtValids
                  Counter32,
     docsQosServiceFlowCcfStatsSgmtLost
                  Counter32
     }

docsQosServiceFlowCcfStatsSgmtValids OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "segments"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute contains the number of segments counted
        on this service flow regardless of whether the
        fragment was correctly reassembled into valid packets.
        Discontinuities in the value of this counter can
        occur at reinitialization of the managed system, and
        at other times as indicated by the value of
        ifCounterDiscontinuityTime of the associated MAC Domain
        interface index."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Continuous
        Concatenation and Fragmentation section; [RFC 2863]."
     ::= { docsQosServiceFlowCcfStatsEntry 1 }

docsQosServiceFlowCcfStatsSgmtLost OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "segments"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute counts the number of segments which
        the CMTS segment reassembly function determines were
        lost. Discontinuities in the value of this counter
        can occur at reinitialization of the managed system,
        and at other times as indicated by the value of
        ifCounterDiscontinuityTime of the associated MAC Domain
        interface index."
     REFERENCE
```

```
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Continuous
        Concatenation and Fragmentation section; [RFC 2863]."
     ::= { docsQosServiceFlowCcfStatsEntry 2 }

docsQosCmServiceUsStatsTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsQosCmServiceUsStatsEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object defines DOCSIS MAC services primitive
        statistics of upstream service flows. In pre-3.0 DOCSIS
        devices this statistics exists per SID for either
        Cos or Qos services in the SNMP table docsIfCmServiceTable.

        A 3.0 CM with CoS configuration (DOCSIS 1.0 mode) reports
        the statistics defined in the SNMP table docsIfCmServiceTable.
        A 3.0 CM with QoS configuration reports
        this object regardless of whether Multiple Transmit
        Channel is enabled or disabled."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
        Specification CM-SP-MULPIv3.0-I06-071206, Upstream Data
        Transmission section."
     ::= { docsQosMibObjects 17}

docsQosCmServiceUsStatsEntry OBJECT-TYPE
     SYNTAX      DocsQosCmServiceUsStatsEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The conceptual row of docsQosCmServiceUsStatsTable.
        The ifIndex key corresponds to the MAC Domain interface
        of the service flow."
     INDEX { ifIndex,
             docsQosServiceFlowId
           }
     ::= { docsQosCmServiceUsStatsTable 1 }

DocsQosCmServiceUsStatsEntry ::= SEQUENCE {
     docsQosCmServiceUsStatsTxSlotsImmed
                  Counter32,
     docsQosCmServiceUsStatsTxSlotsDed
                  Counter32,
     docsQosCmServiceUsStatsTxRetries
                  Counter32,
     docsQosCmServiceUsStatsTxExceededs
                  Counter32,
     docsQosCmServiceUsStatsRqRetries
                  Counter32,
     docsQosCmServiceUsStatsRqExceededs
                  Counter32,
     docsQosCmServiceUsStatsSgmts
                  Counter32
     }

docsQosCmServiceUsStatsTxSlotsImmed OBJECT-TYPE
     SYNTAX      Counter32
     UNITS       "mini-slots"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute contains the number of upstream mini-slots
        which have been used to transmit data PDUs in
        immediate (contention) mode. This includes only those
        PDUs that are presumed to have arrived at the head-end
        (i.e., those which were explicitly acknowledged.)
```

```
         It does not include retransmission attempts or
         mini-slots used by Requests.  Discontinuities in the
         value of this counter can occur at reinitialization
         of the managed system, and at other times as indicated
         by the value of ifCounterDiscontinuityTime of the
         associated MAC Domain interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Upstream
         Bandwidth Allocation section; [RFC 2863]."
      ::= { docsQosCmServiceUsStatsEntry 1 }

docsQosCmServiceUsStatsTxSlotsDed OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "mini-slots"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the number of upstream mini-slots
         which have been used to transmit data PDUs in
         dedicated mode (i.e., as a result of a unicast Data Grant).
         Discontinuities in the value of this counter
         can occur at reinitialization of the managed system,
         and at other times as indicated by the value of
         ifCounterDiscontinuityTime of the associated MAC Domain
         interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Upstream Data
         Transmission section; [RFC 2863]."
      ::= { docsQosCmServiceUsStatsEntry 2 }

docsQosCmServiceUsStatsTxRetries OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "attempts"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the number of attempts to
         transmit data PDUs containing requests for acknowledgment
         that did not result in acknowledgment. Discontinuities
         in the value of this counter can occur at
         reinitialization of the managed system, and at other
         times as indicated by the value of ifCounterDiscontinuityTime
         for the associated MAC Domain interface
         index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Upstream Bandwidth
         Allocation section; [RFC 2863]."
      ::= { docsQosCmServiceUsStatsEntry 3 }

docsQosCmServiceUsStatsTxExceededs OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "attempts"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the number of attempts to
         transmit bandwidth requests which did not result in
         acknowledgment. Discontinuities in the value of this
         counter can occur at reinitialization of the managed
         system, and at other times as indicated by the value
         of ifCounterDiscontinuityTime of the associated
         MAC Domain interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
```

```
         Specification CM-SP-MULPIv3.0-I06-071206, Upstream Bandwidth
         Allocation section; [RFC 2863]."
      ::= { docsQosCmServiceUsStatsEntry 4 }

docsQosCmServiceUsStatsRqRetries OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "attempts"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the number of attempts to
         transmit bandwidth requests which did not result in
         acknowledgment. Discontinuities in the value of this
         counter can occur at reinitialization of the managed
         system, and at other times as indicated by the value
         of ifCounterDiscontinuityTime of the associated
         MAC Domain interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Upstream
         Bandwidth Allocation section; [RFC 2863]."
      ::= { docsQosCmServiceUsStatsEntry 5 }

docsQosCmServiceUsStatsRqExceededs OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "attempts"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the number of requests for
         bandwidth which failed due to excessive retries without
         acknowledgment. Discontinuities in the value
         of this counter can occur at reinitialization of the
         managed system, and at other times as indicated by the
         value of ifCounterDiscontinuityTime of the associated
         MAC Domain interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Upstream Bandwidth
         Allocation section; [RFC 2863]."
      ::= { docsQosCmServiceUsStatsEntry 6 }

docsQosCmServiceUsStatsSgmts OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "segments"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute contains the number of segments sent
         on this service flow. Discontinuities in the value
         of this counter can occur at reinitialization of the
         managed system, and at other times as indicated by the
         value of ifCounterDiscontinuityTime of the associated
         MAC Domain interface index."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Upstream and
         Downstream Common Aspects section; RFC 2863."
      ::= { docsQosCmServiceUsStatsEntry 7 }

docsQosCmtsDsidTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosCmtsDsidEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object describes DSID information stored in
         the CMTS.
```

```
        The CMTS reports the current status of existing DSIDs.
        When a DSID is created during the registration process
        or a DBC transaction, a corresponding object instance
        is created.   If a DSID is deleted or changed via
        a DBC message the corresponding object instance is deleted
        or updated respectively."
     ::= { docsQosMibObjects 18}

docsQosCmtsDsidEntry OBJECT-TYPE
     SYNTAX      DocsQosCmtsDsidEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The conceptual row of docsQosCmtsDsidTable.
        The ifIndex key corresponds to the MAC Domain interface
        of the DSID."
     INDEX { ifIndex,
             docsQosCmtsDsidDsid
           }
     ::= { docsQosCmtsDsidTable 1 }

DocsQosCmtsDsidEntry ::= SEQUENCE {
     docsQosCmtsDsidDsid
                  Dsid,
     docsQosCmtsDsidUsage
                  BITS,
     docsQosCmtsDsidDsChSet
                  ChSetId,
     docsQosCmtsDsidReseqWaitTime
                  Unsigned32,
     docsQosCmtsDsidReseqWarnThrshld
                  Unsigned32,
     docsQosCmtsDsidStatusHldoffTimerSeqOutOfRng
                  Unsigned32,
     docsQosCmtsDsidCurrentSeqNum
                  Unsigned32
     }

docsQosCmtsDsidDsid OBJECT-TYPE
     SYNTAX      Dsid
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the DSID."
     ::= { docsQosCmtsDsidEntry 1 }

docsQosCmtsDsidUsage OBJECT-TYPE
     SYNTAX      BITS {

                       resequencing(0),
                       multicastCapable(1),
                       multicastReplication(2),
                       bonding(3)
                     }
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the properties of the DSID.
        The bits are defined as follows:
        'resequencing' This bit is set to 1 for a Resequencing
        DSID.
        'multicastCapable'  This bit is set to 1 for a DSID that
        is capable of transporting multicast traffic (i.e.,
        the DSID has multicast forwarding attributes).
        'multicastReplication' This bit is set to 1 for a DSID
        that is used for transporting a multicast replication
        (i.e. there is a corresponding instance of the MulticastRepl
```

```
         object).
         'bonding' This bit is set to a 1 for a DSID that is associated
         with a bonding group."
      REFERENCE
         "DOCSIS 3.0 Operations Support System Interface Specification
         CM-SP-OSSIv3.0-I05-071206, Multicast Requirements Annex.
         DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, DSID Encodings section
         in the Common Radio Frequency Interface Encodings Annex."
      ::= { docsQosCmtsDsidEntry 2 }

docsQosCmtsDsidDsChSet OBJECT-TYPE
      SYNTAX      ChSetId
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the Downstream Channel
         Set over which the DSID is being resequenced."
      ::= { docsQosCmtsDsidEntry 3 }

docsQosCmtsDsidReseqWaitTime OBJECT-TYPE
      SYNTAX      Unsigned32 (1..180)
      UNITS       "hundredMicroseconds"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the DSID Resequencing
         Wait Time that is used for this DSID. This attribute is
         only valid when the Usage attribute has the resequencing
         bit set to 1. This attribute returns a value of 0
         when the Usage attribute has the resequencing bit set
         to 0."
      ::= { docsQosCmtsDsidEntry 4 }

docsQosCmtsDsidReseqWarnThrshld OBJECT-TYPE
      SYNTAX      Unsigned32 (0..179)
      UNITS       "hundredMicroseconds"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the DSID Resequencing
         Warning Threshold that is used for this DSID. The value
         of 0 indicates that the threshold warnings are disabled.
         This attribute is only valid when the Usage attribute
         has the resequencing bit set to 1. This attribute
         returns a value of 0 when the Usage attribute has
         the resequencing bit set to 0."
      ::= { docsQosCmtsDsidEntry 5 }

docsQosCmtsDsidStatusHldoffTimerSeqOutOfRng OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      UNITS       "20milliseconds"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the hold-off timer for
         reporting Out-of-Range Events via the CM-STATUS MAC
         Management message. This attribute is only valid when
         the Usage attribute has the resequencing bit set
         to 1. This attribute returns a value of 0 when the Usage
         attribute has the resequencing bit set to 0."
      ::= { docsQosCmtsDsidEntry 6 }

docsQosCmtsDsidCurrentSeqNum OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      MAX-ACCESS  read-only
      STATUS      current
```

```
      DESCRIPTION
         "This attribute reports the value of the most recent
         sequence number assigned by the CMTS for this DSID.
         This attribute is only valid when the Usage attribute
         has the resequencing bit set to 1. This attribute returns
         a value of 0 when the Usage attribute has the resequencing
         bit set to 0."
      ::= { docsQosCmtsDsidEntry 7 }

docsQosCmtsDebugDsidTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosCmtsDebugDsidEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "The CMTS Debug DSID object contains the control of
         DSID debug statistics reporting
         An instance in this object defines the DSID and MAC domain
         to which the CmtsDebugDsidStats collects statistics
         for the downstream channel associated with that
         DSID and MAC Domain. The deletion of an instance stops
         the reporting of statistics for the specified DSID.

         This object supports instance creation and deletion.

         The CMTS MUST support at least one instance of this object."
      ::= { docsQosMibObjects 19}

docsQosCmtsDebugDsidEntry OBJECT-TYPE
      SYNTAX      DocsQosCmtsDebugDsidEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "The conceptual row of docsQosCmtsDebugDsidTable.
         The ifIndex key corresponds to the MAC Domain interface
         of the DSID."
      INDEX { ifIndex,
              docsQosCmtsDebugDsidDsid
            }
      ::= { docsQosCmtsDebugDsidTable 1 }

DocsQosCmtsDebugDsidEntry ::= SEQUENCE {
      docsQosCmtsDebugDsidDsid
                   Dsid,
      docsQosCmtsDebugDsidRowStatus
                   RowStatus
      }

docsQosCmtsDebugDsidDsid OBJECT-TYPE
      SYNTAX      Dsid
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This attribute represents the DSID value to be debugged,
         identified by the IfIndex attribute of this object."
      ::= { docsQosCmtsDebugDsidEntry 1 }

docsQosCmtsDebugDsidRowStatus OBJECT-TYPE
      SYNTAX      RowStatus
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "The conceptual row status of this object."
      ::= { docsQosCmtsDebugDsidEntry 2 }

docsQosCmtsDebugDsidStatsTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosCmtsDebugDsidStatsEntry
      MAX-ACCESS  not-accessible
```

```
     STATUS      current
     DESCRIPTION
        "The CMTS Debug DSID Stats object describes statistics
        at the CMTS for the forwarding of DSID-labeled downstream
        packets.
        The CMTS creates an instance for every combination of
        MAC Domain, DSID value, and downstream channel on which
        packets labeled with that DSID are transmitted.
        The CMTS does not delete such instances while the corresponding
        CmtsDebug object control instance exists."
     ::= { docsQosMibObjects 20}

docsQosCmtsDebugDsidStatsEntry OBJECT-TYPE
     SYNTAX      DocsQosCmtsDebugDsidStatsEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "The conceptual row of docsQosCmtsDebugDsidStatsTable.
        The ifIndex key corresponds to the MAC Domain interface
        of the DSID."
     INDEX { ifIndex,
             docsQosCmtsDebugDsidDsid,
             docsQosCmtsDebugDsidStatsDsIfIndex
           }
     ::= { docsQosCmtsDebugDsidStatsTable 1 }

DocsQosCmtsDebugDsidStatsEntry ::= SEQUENCE {
     docsQosCmtsDebugDsidStatsDsIfIndex
                  InterfaceIndex,
     docsQosCmtsDebugDsidStatsDsidPackets
                  Counter64,
     docsQosCmtsDebugDsidStatsDsidOctets
                  Counter64
     }

docsQosCmtsDebugDsidStatsDsIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndex
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents an interface index of a downstream
        channel that belongs to the DSID"
     ::= { docsQosCmtsDebugDsidStatsEntry 1 }

docsQosCmtsDebugDsidStatsDsidPackets OBJECT-TYPE
     SYNTAX      Counter64
     UNITS       "packets"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute is a counter which contains the number
        of packets transmitted by the CMTS which are labeled
        with the DSID on the downstream channel. Discontinuities in
        the value of this counter can occur as indicated by the value
        of ifCounterDiscontinuityTime of the associated Downstream
        interface index."
     ::= { docsQosCmtsDebugDsidStatsEntry 2 }

docsQosCmtsDebugDsidStatsDsidOctets OBJECT-TYPE
     SYNTAX      Counter64
     UNITS       "octets"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute counts the number of bytes transmitted
        by the CMTS which are labeled with the DSID on the
        downstream interface. Discontinuities in the value of
```

```
         this counter can occur as indicated by the value of
         ifCounterDiscontinuityTime of the associated Downstream
         interface index."
      ::= { docsQosCmtsDebugDsidStatsEntry 3 }

docsQosCmDsidTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosCmDsidEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "When a DSID is created, during the registration process
         or a DBC transaction,. The CM reports the current
         status of existing DSID, for example, if a DSID  is deleted
         or changed via DBC messages the corresponding
         object instance is deleted or updated respectively."
      ::= { docsQosMibObjects 21}

docsQosCmDsidEntry OBJECT-TYPE
      SYNTAX      DocsQosCmDsidEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "The conceptual row of docsQosCmDsidTable
         The ifIndex key corresponds to the MAC Domain interface
         of the DSID."
      INDEX { ifIndex,
              docsQosCmDsidDsid
            }
      ::= { docsQosCmDsidTable 1 }

DocsQosCmDsidEntry ::= SEQUENCE {
      docsQosCmDsidDsid
                   Dsid,
      docsQosCmDsidUsage
                   BITS,
      docsQosCmDsidNumReseqChs
                   Unsigned32,
      docsQosCmDsidReseqChList
                   ChannelList,
      docsQosCmDsidReseqWaitTime
                   Unsigned32,
      docsQosCmDsidReseqWarnThrshld
                   Unsigned32,
      docsQosCmDsidStatusHldoffTimerSeqOutOfRng
                   Unsigned32,
      docsQosCmDsidOutOfRangeDiscards
                   Unsigned32,
      docsQosCmDsidNextExpectedSeqNum
                   Unsigned32,
      docsQosCmDsidCmInterfaceMask
                   DocsL2vpnIfList,
      docsQosCmDsidFwdCmInterfaceMask
                   DocsL2vpnIfList
      }

docsQosCmDsidDsid OBJECT-TYPE
      SYNTAX      Dsid
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This key represents the DSID."
      ::= { docsQosCmDsidEntry 1 }

docsQosCmDsidUsage OBJECT-TYPE
      SYNTAX      BITS {

                          resequencing(0),
```

```
                        multicastCapable(1)
                      }
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the properties of the DSID.
        The bits are defined as follows:
        'resequencing' :  This bit is set to 1 for a Resequencing
        DSID.
        'multicastCapable' :  This bit is set to 1 for a DSID that
        is capable of transporting multicast traffic (e.g.,
        the DSID has multicast forwarding attributes)."
     ::= { docsQosCmDsidEntry 2 }

docsQosCmDsidNumReseqChs OBJECT-TYPE
     SYNTAX      Unsigned32 (1..65535)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the number of channels
        in the downstream resequencing channel list for this
        DSID."
     ::= { docsQosCmDsidEntry 3 }

docsQosCmDsidReseqChList OBJECT-TYPE
     SYNTAX      ChannelList
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the Downstream Channel
        Set over which the DSID is being resequenced."
     ::= { docsQosCmDsidEntry 4 }

docsQosCmDsidReseqWaitTime OBJECT-TYPE
     SYNTAX      Unsigned32 (1..180)
     UNITS       "hundredMicroseconds"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the DSID Resequencing
        Wait Time that is used for this DSID. This attribute is
        only valid when the Usage attribute has the resequencing
        bit set to 1. This attribute returns a value of 0
        when the Usage attribute has the resequencing bit set
        to 0."
     ::= { docsQosCmDsidEntry 5 }

docsQosCmDsidReseqWarnThrshld OBJECT-TYPE
     SYNTAX      Unsigned32 (0..179)
     UNITS       "hundredMicroseconds"
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the DSID Resequencing
        Warning Threshold that is used for this DSID. The value
        of 0 indicates that the threshold warnings are disabled.
        This attribute is only valid when the Usage attribute
        has the resequencing bit set to 1. This attribute
        returns a value of 0 when the Usage attribute has
        the resequencing bit set to 0."
     ::= { docsQosCmDsidEntry 6 }

docsQosCmDsidStatusHldoffTimerSeqOutOfRng OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     UNITS       "20milliseconds"
     MAX-ACCESS  read-only
     STATUS      current
```

```
      DESCRIPTION
         "This attribute represents the hold-off timer for
         reporting Out-of-Range Events via the CM-STATUS MAC
         Management message. This attribute is only valid when
         the Usage attribute has the resequencing bit set
         to 1. This attribute returns a value of 0 when the Usage
         attribute has the resequencing bit set to 0."
      ::= { docsQosCmDsidEntry 7 }

docsQosCmDsidOutOfRangeDiscards OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the current count of out-of-range
         packets discarded by the CM for a given resequencing context
         since an in-range packet was received.  When this count exceeds
         1000 and more than two minutes have elapsed since an in-range
         packet was received, the CM will reacquire sequence numbers for
         this resequencing context."
      ::= { docsQosCmDsidEntry 8 }

docsQosCmDsidNextExpectedSeqNum OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the Next Expected Packet
         Sequence Number for a given resequencing context.
         This attribute is only valid when the Usage attribute
         has the resequencing bit set to 1. This attribute returns
         a value of 0 when the Usage attribute has the resequencing
         bit set to 0."
      ::= { docsQosCmDsidEntry 9 }

docsQosCmDsidCmInterfaceMask OBJECT-TYPE
      SYNTAX      DocsL2vpnIfList
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the bitmap of the interfaces
         communicated to the CM in a Multicast DSID encoding."
      ::= { docsQosCmDsidEntry 10 }

docsQosCmDsidFwdCmInterfaceMask OBJECT-TYPE
      SYNTAX      DocsL2vpnIfList
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the bitmap of the interfaces
         to which the CM forwards multicast traffic: a logical
         OR of interfaces identified in CmInterfaceMask
         and interfaces associated with the client MAC addresses
         identified in the docsDevCmDsidMac instances
         for this DSID."
      ::= { docsQosCmDsidEntry 11 }

docsQosCmDsidStatsTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosCmDsidStatsEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object defines a set of statistics the CM collects
         per Dsid."
      ::= { docsQosMibObjects 22}

docsQosCmDsidStatsEntry OBJECT-TYPE
```

```
      SYNTAX      DocsQosCmDsidStatsEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "The conceptual row of docsQosCmDsidStatsTable."
      INDEX { docsQosCmDsidStatsDsid }
      ::= { docsQosCmDsidStatsTable 1 }

DocsQosCmDsidStatsEntry ::= SEQUENCE {
      docsQosCmDsidStatsDsid
                   Dsid,
      docsQosCmDsidStatsSeqNumMissing
                   Counter32,
      docsQosCmDsidStatsSkewThreshExceeds
                   Counter32,
      docsQosCmDsidStatsOutOfRangePackets
                   Counter32,
      docsQosCmDsidStatsNumPackets
                   Counter64
      }

docsQosCmDsidStatsDsid OBJECT-TYPE
      SYNTAX      Dsid
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This key represents the interface index of the MAC
         Domain associated with the DSID."
      ::= { docsQosCmDsidStatsEntry 1 }

docsQosCmDsidStatsSeqNumMissing OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "packets"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute counts the number of times the Next Expected
         Packet Sequence Number is declared lost. In this case one or
         more data packets are lost. This is generally caused by
         downstream packet loss."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Downstream
         Sequencing section."
      ::= { docsQosCmDsidStatsEntry 2 }

docsQosCmDsidStatsSkewThreshExceeds OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "packets"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute counts in-range sequenced packets
         which were successfully received by the CM after a wait
         time longer than the Resequencing Warning Threshold."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Skew Requirements
         section."
      ::= { docsQosCmDsidStatsEntry 3 }

docsQosCmDsidStatsOutOfRangePackets OBJECT-TYPE
      SYNTAX      Counter32
      UNITS       "packets"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
```

```
         "This attribute counts the number of packets Counter
         received in a DSID reassembly context where the sequence
         number which is out of range."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, Receive Channels
         section."
      ::= { docsQosCmDsidStatsEntry 4 }

docsQosCmDsidStatsNumPackets OBJECT-TYPE
      SYNTAX      Counter64
      UNITS       "packets"
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute counts the total number of data packets
         of a DSID context forwarded for further processing."
      ::= { docsQosCmDsidStatsEntry 5 }

docsQosCmDsidClientTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsQosCmDsidClientEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object contains the client MAC addresses that
         the CMTS requests that the CM uses to replicate Multicast
         DSIDs during registration or during a DBC transaction.

         When a DSID is created that includes client MAC addresses,
         or when client MAC addresses are added to a DSID,
         new rows are created to indicate the added client MAC
         addresses. When a Client MAC address is deleted from
         a DSID, the corresponding row is deleted.  When a DSID
         is deleted, all corresponding rows are deleted, too."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I06-071206, DSID Encodings
         section in the Common Radio Frequency Interface Encodings
         Annex."
      ::= { docsQosMibObjects 23}

docsQosCmDsidClientEntry OBJECT-TYPE
      SYNTAX      DocsQosCmDsidClientEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "The conceptual row of docsQosCmDsidClientTable."
      INDEX { docsQosCmDsidClientDsid,
              docsQosCmDsidClientClientMacId
            }
      ::= { docsQosCmDsidClientTable 1 }

DocsQosCmDsidClientEntry ::= SEQUENCE {
      docsQosCmDsidClientDsid
                   Dsid,
      docsQosCmDsidClientClientMacId
                   Unsigned32,
      docsQosCmDsidClientClientMacAddr
                   MacAddress
      }

docsQosCmDsidClientDsid OBJECT-TYPE
      SYNTAX      Dsid
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This key defines the DSID that the client MAC addresses
```

```
        are associated with."
     ::= { docsQosCmDsidClientEntry 1 }

docsQosCmDsidClientClientMacId OBJECT-TYPE
     SYNTAX      Unsigned32 (1..65535)
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key defines a uniquely identified Client Mac
        Addresses associated with the DSID."
     ::= { docsQosCmDsidClientEntry 2 }

docsQosCmDsidClientClientMacAddr OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute defines a client MAC address to which
        Multicast traffic labeled with this DSID should be
        forwarded."
     ::= { docsQosCmDsidClientEntry 3 }

docsQosMibConformance OBJECT IDENTIFIER ::= { docsQosMib 2 }
docsQosMibCompliances OBJECT IDENTIFIER ::= { docsQosMibConformance 1 }
docsQosMibGroups         OBJECT IDENTIFIER ::= { docsQosMibConformance 2 }

docsQosCompliance MODULE-COMPLIANCE
STATUS      current
DESCRIPTION
        "The compliance statement of managed objects common for Cable Modem
        Termination Sytems (CMTS) and Cable Modems (CM)."

    MODULE -- this MODULE
MANDATORY-GROUPS {
     docsQosBaseGroup
     }

GROUP docsQosCmtsGroup
        DESCRIPTION
            "This group is mandatory for Cable Modem Termination
             Systems (CMTS) and is not implemented for Cable Modems
             (CM)."

GROUP docsQosCmGroup
        DESCRIPTION
            "This group is mandatory for Cable Modems (CM)TS) and is
            not implemented for Cable Modems Termination Systems (CMTS)."

::= { docsQosMibCompliances 1 }

docsQosBaseGroup OBJECT-GROUP
    OBJECTS {
     docsQosPktClassDirection,
     docsQosPktClassPriority,
     docsQosPktClassIpTosLow,
     docsQosPktClassIpTosHigh,
     docsQosPktClassIpTosMask,
     docsQosPktClassIpProtocol,
     docsQosPktClassIpSourceAddr,
     docsQosPktClassIpSourceMask,
     docsQosPktClassIpDestAddr,
     docsQosPktClassIpDestMask,
     docsQosPktClassSourcePortStart,
     docsQosPktClassSourcePortEnd,
     docsQosPktClassDestPortStart,
     docsQosPktClassDestPortEnd,
     docsQosPktClassDestMacAddr,
```

```
docsQosPktClassDestMacMask,
docsQosPktClassSourceMacAddr,
docsQosPktClassEnetProtocolType,
docsQosPktClassEnetProtocol,
docsQosPktClassUserPriLow,
docsQosPktClassUserPriHigh,
docsQosPktClassVlanId,
docsQosPktClassState,
docsQosPktClassPkts,
docsQosPktClassBitMap,
docsQosPktClassIpAddrType,
docsQosPktClassFlowLabel,
docsQosPktClassCmInterfaceMask,
docsQosParamSetServiceClassName,
docsQosParamSetPriority,
docsQosParamSetMaxTrafficRate,
docsQosParamSetMaxTrafficBurst,
docsQosParamSetMinReservedRate,
docsQosParamSetMinReservedPkt,
docsQosParamSetActiveTimeout,
docsQosParamSetAdmittedTimeout,
docsQosParamSetMaxConcatBurst,
docsQosParamSetSchedulingType,
docsQosParamSetNomPollInterval,
docsQosParamSetTolPollJitter,
docsQosParamSetUnsolicitGrantSize,
docsQosParamSetNomGrantInterval,
docsQosParamSetTolGrantJitter,
docsQosParamSetGrantsPerInterval,
docsQosParamSetTosAndMask,
docsQosParamSetTosOrMask,
docsQosParamSetMaxLatency,
docsQosParamSetRequestPolicyOct,
docsQosParamSetRequiredAttrMask,
docsQosParamSetForbiddenAttrMask,
docsQosParamSetAttrAggrRuleMask,
docsQosParamSetAppId,
docsQosParamSetMultiplierContentionReqWindow,
docsQosParamSetMultiplierBytesReq,
docsQosParamSetMaxReqPerSidCluster,
docsQosParamSetMaxOutstandingBytesPerSidCluster,
docsQosParamSetMaxTotBytesReqPerSidCluster,
docsQosParamSetMaxTimeInSidCluster,
docsQosParamSetPeakTrafficRate,
docsQosParamSetDsResequencing,
docsQosParamSetBitMap,
docsQosServiceFlowSID,
docsQosServiceFlowDirection,
docsQosServiceFlowPrimary,
docsQosServiceFlowParamSetTypeStatus,
docsQosServiceFlowChSetId,
docsQosServiceFlowAttrAssignSuccess,
docsQosServiceFlowDsid,
docsQosServiceFlowPkts,
docsQosServiceFlowOctets,
docsQosServiceFlowTimeCreated,
docsQosServiceFlowTimeActive,
docsQosServiceFlowPHSUnknowns,
docsQosServiceFlowPolicedDropPkts,
docsQosServiceFlowPolicedDelayPkts,
docsQosDSAReqs,
docsQosDSARsps,
docsQosDSAAcks,
docsQosDSCReqs,
docsQosDSCRsps,
docsQosDSCAcks,
docsQosDSDReqs,
```

```
     docsQosDSDRsps,
     docsQosDynamicAdds,
     docsQosDynamicAddFails,
     docsQosDynamicChanges,
     docsQosDynamicChangeFails,
     docsQosDynamicDeletes,
     docsQosDynamicDeleteFails,
     docsQosDCCReqs,
     docsQosDCCRsps,
     docsQosDCCAcks,
     docsQosDCCs,
     docsQosDCCFails,
     docsQosDCCRspDeparts,
     docsQosDCCRspArrives,
     docsQosDCCRspDeparts,
     docsQosDCCRspArrives,
     docsQosDbcReqs,
     docsQosDbcRsps,
     docsQosDbcAcks,
     docsQosDbcSuccesses,
     docsQosDbcFails,
     docsQosDbcPartial,
     docsQosPHSField,
     docsQosPHSMask,
     docsQosPHSSize,
     docsQosPHSVerify,
     docsQosPHSIndex,
     docsQosServiceFlowSidClusterSid
     }
    STATUS      current
    DESCRIPTION
       "Group of objects implemented in both CM and CMTS."
    ::= { docsQosMibGroups 1 }

docsQosCmtsGroup OBJECT-GROUP
    OBJECTS {
     docsQosUpstreamFragments,
     docsQosUpstreamFragDiscards,
     docsQosUpstreamConcatBursts,
     docsQosServiceFlowLogIfIndex,
     docsQosServiceFlowLogSFID,
     docsQosServiceFlowLogCmMac,
     docsQosServiceFlowLogPkts,
     docsQosServiceFlowLogOctets,
     docsQosServiceFlowLogTimeDeleted,
     docsQosServiceFlowLogTimeCreated,
     docsQosServiceFlowLogTimeActive,
     docsQosServiceFlowLogDirection,
     docsQosServiceFlowLogPrimary,
     docsQosServiceFlowLogServiceClassName,
     docsQosServiceFlowLogPolicedDropPkts,
     docsQosServiceFlowLogPolicedDelayPkts,
     docsQosServiceFlowLogControl,
     docsQosServiceClassStatus,
     docsQosServiceClassPriority,
     docsQosServiceClassMaxTrafficRate,
     docsQosServiceClassMaxTrafficBurst,
     docsQosServiceClassMinReservedRate,
     docsQosServiceClassMinReservedPkt,
     docsQosServiceClassMaxConcatBurst,
     docsQosServiceClassNomPollInterval,
     docsQosServiceClassTolPollJitter,
     docsQosServiceClassUnsolicitGrantSize,
     docsQosServiceClassNomGrantInterval,
     docsQosServiceClassTolGrantJitter,
     docsQosServiceClassGrantsPerInterval,
     docsQosServiceClassMaxLatency,
```

```
      docsQosServiceClassActiveTimeout,
      docsQosServiceClassAdmittedTimeout,
      docsQosServiceClassSchedulingType,
      docsQosServiceClassRequestPolicy,
      docsQosServiceClassTosAndMask,
      docsQosServiceClassTosOrMask,
      docsQosServiceClassDirection,
      docsQosServiceClassStorageType,
      docsQosServiceClassDSCPOverwrite,
      docsQosServiceClassRequiredAttrMask,
      docsQosServiceClassForbiddenAttrMask,
      docsQosServiceClassAttrAggrRuleMask,
      docsQosServiceClassAppId,
      docsQosServiceClassMultiplierContentionReqWindow,
      docsQosServiceClassMultiplierBytesReq,
      docsQosServiceClassMaxReqPerSidCluster,
      docsQosServiceClassMaxOutstandingBytesPerSidCluster,
      docsQosServiceClassMaxTotBytesReqPerSidCluster,
      docsQosServiceClassMaxTimeInSidCluster,
      docsQosServiceClassPeakTrafficRate,
      docsQosServiceClassDsResequencing,
      docsQosCmtsIfIndex,
      docsQosGrpServiceFlowIsDef,
      docsQosGrpServiceFlowQosConfigId,
      docsQosGrpServiceFlowNumSess,
      docsQosGrpPktClassGrpConfigId,
      docsQosUpChCounterExtSgmtValids,
      docsQosUpChCounterExtSgmtDiscards,
      docsQosServiceFlowCcfStatsSgmtValids,
      docsQosServiceFlowCcfStatsSgmtLost,
      docsQosCmtsDsidUsage,
      docsQosCmtsDsidDsChSet,
      docsQosCmtsDsidReseqWaitTime,
      docsQosCmtsDsidReseqWarnThrshld,
      docsQosCmtsDsidStatusHldoffTimerSeqOutOfRng,
      docsQosCmtsDsidCurrentSeqNum,
      docsQosCmtsDebugDsidRowStatus,
      docsQosCmtsDebugDsidStatsDsidPackets,
      docsQosCmtsDebugDsidStatsDsidOctets
      }
    STATUS      current
     DESCRIPTION
        "Group of objects implemented in the CMTS only."
     ::= { docsQosMibGroups 2 }

docsQosCmGroup OBJECT-GROUP
     OBJECTS {
      docsQosCmServiceUsStatsTxSlotsImmed,
      docsQosCmServiceUsStatsTxSlotsDed,
      docsQosCmServiceUsStatsTxRetries,
      docsQosCmServiceUsStatsTxExceededs,
      docsQosCmServiceUsStatsRqRetries,
      docsQosCmServiceUsStatsRqExceededs,
      docsQosCmServiceUsStatsSgmts,
      docsQosCmDsidUsage,
      docsQosCmDsidNumReseqChs,
      docsQosCmDsidReseqChList,
      docsQosCmDsidReseqWaitTime,
      docsQosCmDsidReseqWarnThrshld,
      docsQosCmDsidStatusHldoffTimerSeqOutOfRng,
      docsQosCmDsidOutOfRangeDiscards,
      docsQosCmDsidNextExpectedSeqNum,
      docsQosCmDsidCmInterfaceMask,
      docsQosCmDsidFwdCmInterfaceMask,
      docsQosCmDsidStatsSeqNumMissing,
      docsQosCmDsidStatsSkewThreshExceeds,
      docsQosCmDsidStatsOutOfRangePackets,
```

```
     docsQosCmDsidStatsNumPackets,
     docsQosCmDsidClientClientMacAddr
     }
   STATUS      current
    DESCRIPTION
       "Group of objects implemented in the CM only."
    ::= { docsQosMibGroups 3 }
END
```

Q.8 CLAB-TOPO-MIB

```
CLAB-TOPO-MIB DEFINITIONS ::= BEGIN
  IMPORTS
    MODULE-IDENTITY,
    OBJECT-TYPE
             FROM SNMPv2-SMI         -- RFC 2578
    TEXTUAL-CONVENTION,
    RowStatus
             FROM SNMPv2-TC          -- RFC 2579
    OBJECT-GROUP,
    MODULE-COMPLIANCE
             FROM SNMPv2-CONF        -- RFC 2580
    SnmpAdminString
             FROM SNMP-FRAMEWORK-MIB -- RFC 3411
    InterfaceIndex
             FROM IF-MIB             -- RFC 2863
    clabCommonMibs
             FROM CLAB-DEF-MIB;


clabTopoMib MODULE-IDENTITY
     LAST-UPDATED    "200612071700Z" -- Dec 7, 2006
     ORGANIZATION    "Cable Television Laboratories, Inc."
     CONTACT-INFO
         "
          Postal: Cable Television Laboratories, Inc.
          858 Coal Creek Circle
          Louisville, Colorado 80027-9750
          U.S.A.
          Phone: +1 303-661-9100
          Fax:   +1 303-661-9199
          E-mail: mibs@cablelabs.com"
     DESCRIPTION
        "This MIB module contains the management objects for the
        management of fiber nodes in the Cable plant."
     REVISION        "200612071700Z" -- Dec 7, 2006
     DESCRIPTION
        "Initial version, published as part of the CableLabs
        OSSIv3.0 specification CM-SP-OSSIv3.0-I01-061207
        Copyright 1999-2006 Cable Television Laboratories, Inc.
        All rights reserved."
     ::= { clabCommonMibs 2 }

-- Textual Conventions

NodeName ::= TEXTUAL-CONVENTION
     STATUS      current
     DESCRIPTION
        "This data type is a human readable string that represents
        the name of a fiber node. Internationalization is supported
        by conforming to the SNMP textual convention SnmpAdminString."
     REFERENCE
        "RFC 3411."
     SYNTAX      OCTET STRING (SIZE(0..16))

-- Object Definitions
clabTopoMibObjects  OBJECT IDENTIFIER ::= { clabTopoMib 1 }
```

```
clabTopoFiberNodeCfgTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF ClabTopoFiberNodeCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object defines the cable HFC plant Fiber Nodes
        known at a CMTS.
        This object supports the creation and deletion of multiple
        instances."
     ::= { clabTopoMibObjects 1}

clabTopoFiberNodeCfgEntry OBJECT-TYPE
     SYNTAX      ClabTopoFiberNodeCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of clabTopoFiberNodeCfg.
         The CMTS persists all instances of FiberNodeCfg
         across reinitializations."
     INDEX {
             clabTopoFiberNodeCfgNodeName
           }
     ::= { clabTopoFiberNodeCfgTable 1 }

ClabTopoFiberNodeCfgEntry ::= SEQUENCE {
     clabTopoFiberNodeCfgNodeName
                  NodeName,
     clabTopoFiberNodeCfgNodeDescr
                  SnmpAdminString,
     clabTopoFiberNodeCfgRowStatus
                  RowStatus
     }

clabTopoFiberNodeCfgNodeName OBJECT-TYPE
     SYNTAX      NodeName (SIZE (1..16))
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents a human-readable name for a fiber
        node."
     REFERENCE
        "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
         Specification CM-SP-MULPIv3.0-I01-060804, RF Topology
         Configuration section."
     ::= { clabTopoFiberNodeCfgEntry 1 }

clabTopoFiberNodeCfgNodeDescr OBJECT-TYPE
     SYNTAX      SnmpAdminString
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "Administratively configured human-readable description
        of the fiber node"
     DEFVAL { ''H }
     ::= { clabTopoFiberNodeCfgEntry 2 }

clabTopoFiberNodeCfgRowStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "The status of this instance."
     ::= { clabTopoFiberNodeCfgEntry 3 }

clabTopoChFnCfgTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF ClabTopoChFnCfgEntry
```

```
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object defines the RF topology by defining the
        connectivity of a CMTS's downstream and upstream channels
        to the fiber nodes. Each instance of this object
        describes connectivity of one downstream or upstream
        channel with a single fiber node.
        This object supports the creation and deletion of multiple
        instances."
     ::= { clabTopoMibObjects 2}

clabTopoChFnCfgEntry OBJECT-TYPE
     SYNTAX      ClabTopoChFnCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of clabTopoChFnCfg.
          The CMTS persists all instances of ChFnCfg
          across reinitializations."
     INDEX {
             clabTopoFiberNodeCfgNodeName,
             clabTopoChFnCfgChIfIndex
           }
     ::= { clabTopoChFnCfgTable 1 }

ClabTopoChFnCfgEntry ::= SEQUENCE {
     clabTopoChFnCfgChIfIndex
                  InterfaceIndex,
     clabTopoChFnCfgRowStatus
                  RowStatus
     }

clabTopoChFnCfgChIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndex
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the interface index of an upstream
        or downstream channel associated with this fiber
        node. In the upstream direction, only ifIndices
        docsCableUpstream channels are reflected."
     ::= { clabTopoChFnCfgEntry 1 }

clabTopoChFnCfgRowStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "The status of this instance."
     ::= { clabTopoChFnCfgEntry 2 }

-- Conformance Definitions
clabTopoMibConformance OBJECT IDENTIFIER ::= { clabTopoMib 2 }
clabTopoMibCompliances OBJECT IDENTIFIER
                              ::= { clabTopoMibConformance 1 }
clabTopoMibGroups      OBJECT IDENTIFIER
                              ::= { clabTopoMibConformance 2 }

clabTopoCompliance MODULE-COMPLIANCE
STATUS      current
DESCRIPTION
        "The compliance statement for devices that implement the
         CableLabs Topology MIB."

    MODULE -- this MODULE
MANDATORY-GROUPS {
```

```
     clabTopoGroup
     }
::= { clabTopoMibCompliances 1 }

clabTopoGroup OBJECT-GROUP
    OBJECTS {
     clabTopoFiberNodeCfgNodeDescr,
     clabTopoFiberNodeCfgRowStatus,
     clabTopoChFnCfgRowStatus
     }
    STATUS      current
    DESCRIPTION
         "Group of objects implemented in the CMTS."
    ::= { clabTopoMibGroups 1 }
END
```

Q.9 DOCS-LOADBAL3-MIB[172]

```
DOCS-LOADBAL3-MIB DEFINITIONS ::= BEGIN
  IMPORTS
     MODULE-IDENTITY,
     OBJECT-TYPE,
     Unsigned32,
     Counter32
             FROM SNMPv2-SMI        -- [RFC2578]
     TEXTUAL-CONVENTION,
     RowStatus,
     TruthValue,
     MacAddress,
     TimeStamp,
     RowPointer
             FROM SNMPv2-TC         -- [RFC2579]
     OBJECT-GROUP,
     MODULE-COMPLIANCE
             FROM SNMPv2-CONF       -- [RFC2580]
    InterfaceIndexOrZero,
    InterfaceIndex,
    ifIndex
                           FROM IF-MIB            -- [RFC2863]
    SnmpAdminString
             FROM SNMP-FRAMEWORK-MIB -- RFC 3411
    SnmpTagList
             FROM SNMP-TARGET-MIB   -- [RFC 3413]
    RcpId,
    ChannelList,
    docsIf3CmtsCmRegStatusEntry
             FROM DOCS-IF3-MIB
    NodeName
             FROM CLAB-TOPO-MIB
    clabProjDocsis
              FROM CLAB-DEF-MIB;

docsLoadbal3Mib MODULE-IDENTITY
     LAST-UPDATED    "200712060000Z" -- December 6, 2007
     ORGANIZATION    "Cable Television Laboratories, Inc."
     CONTACT-INFO
         "
         Postal: Cable Television Laboratories, Inc.
         858 Coal Creek Circle
         Louisville, Colorado 80027-9750
         U.S.A.
         Phone: +1 303-661-9100
         Fax:   +1 303-661-9199
         E-mail: mibs@cablelabs.com"
```

[172] Section added per OSSIv3.0-N-07.0522-7 by ab on 11/5/07.

```
     DESCRIPTION
        "This MIB module contains the management objects for
         the DOCSIS 3.0 CMTS Load Balanding operation.
         Copyright 1999-2006 Cable Television Laboratories, Inc.
         All rights reserved."
     REVISION        "200712060000Z" -- December 6, 2007
     DESCRIPTION
        "Initial version, published as part of the CableLabs
        OSSIv3.0 specification CM-SP-OSSIv3.0-I05-071206 via
        ECN OSSIv3.0-N-07.0522-7
"
     ::= {  clabProjDocsis 22}


-- Textual Conventions

ChChgInitTechMap   ::=  TEXTUAL-CONVENTION
    STATUS  current
    DESCRIPTION
        "This data type enumerates the allowed initialization
        techniques for Dynamic Channel Change (DCC) and Dynamic
        Bonding Change (DBC) operations. The techniques are represented
        by the 5 most significant bits (MSB). Bits 0 through 4 map to
        initialization techniques 0 through 4.

        Each bit position represents the internal associated technique
        as described below:

        'reinitializeMac' Reinitialize the MAC
        'broadcastInitRanging'
          Perform Broadcast initial
          ranging on new channel before
          normal operation
        'unicastInitRanging'
          Perform unicast ranging on new
          channel before normal operation
        'initRanging'
          Perform either broadcast or
          unicast ranging on new channel before
          normal operation
        'direct'
          Use the new channel(s) directly
          without re-initializing or ranging

         Multiple bits may be set to 1 to allow the CMTS to select the
         most suitable technique in a proprietary manner.
         An empty value or a value with all bits in '0' means no channel
         changes allowed"
    REFERENCE
        "DOCSIS 3.0 MAC and Uper Layer Protocol Specification,
        CM-SP-MULPIv3.0-I06-071206, Channel Assignment During
        Registration section."
    SYNTAX BITS {
        reinitializeMac(0),
        broadcastInitRanging(1),
        unicastInitRanging(2),
        initRanging(3),
        direct(4)
    }

docsLoadbal3MibObjects  OBJECT IDENTIFIER ::= { docsLoadbal3Mib 1 }

docsLoadbal3System OBJECT IDENTIFIER ::= { docsLoadbal3MibObjects 1 }

docsLoadbal3SystemEnable OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-write
```

```
      STATUS      current
      DESCRIPTION
         "This attribute when set to 'true' enables Autonomous
         Load Balancing operation on the CMTS, otherwise
         Autonomous Load Balancing is disabled. A failure to
         enable Autonomous Load Balancing operation is registered
         in the EnableError attribute.
         When Autonomous Load Balancing is enabled, the CMTS
         may reject Externally-Directed Load Balancing operations.
         However, even when Autonomous Load Balancing
         is disabled, the CMTS is required to assign load balancing
         parameters to CMs as provisioned in the configuration
         file and/or RestrictCM object.
         This attribute value persists after system reinitialization.
         There might be cases where this attribute
         reports a failure and Load Balancing is enabled, for
         example after system reinitialization where Load
         Balancing was previously set to enabled but there are
         issues with the CMTS configuration."
      REFERENCE
         "DOCSIS 3.0 Operations Support System Interface
         Specification CM-SP-OSSIv3.0-I06-071206 Externally-Directed
         Load Balancing section."
      DEFVAL { true }
      ::= { docsLoadbal3System 1 }

docsLoadbal3SystemEnableError OBJECT-TYPE
      SYNTAX      SnmpAdminString
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents a text message that describes
         a failure to enable load balancing due configuration
         errors, or other considerations. The zero-length
         string indicates no errors occurred during the
         last Autonomous Load Balancing activation."
      ::= { docsLoadbal3System 2 }

docsLoadbal3ChgOverGroup OBJECT IDENTIFIER ::= { docsLoadbal3MibObjects 2 }

docsLoadbal3ChgOverGroupMacAddress OBJECT-TYPE
      SYNTAX      MacAddress
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the MAC address of the cable
         modem that the CMTS instructs to move to a new downstream
         and/or upstream channel set."
      DEFVAL { '000000000000'H }
      ::= { docsLoadbal3ChgOverGroup 1 }

docsLoadbal3ChgOverGroupInitTech OBJECT-TYPE
      SYNTAX      ChChgInitTechMap
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the initialization technique
         that the cable modem is instructed to use when
         performing multiple-channel change-over operation.
         The value of this attribute applies to all upstream
         channels in the channel set."
      ::= { docsLoadbal3ChgOverGroup 2 }

docsLoadbal3ChgOverGroupForceUCC OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-write
      STATUS      current
```

```
      DESCRIPTION
         "This attribute when set to 'true' indicates that the CMTS
         forces UCC messages instead of DCC messages. In some cases
         the CMTS may still use UCC commands even though this
         attribute value is 'false', for example in an upstream-only
         change-over operation directed to a CM that the CMTS is
         aware is only capable of UCC, but the operator is not aware
         of the CM capabilities.  This attribute value is ignored when
         the target CM for the change-over operation is in MRC mode,
         or the UsChSet attribute is the zero-length string, or the
         operation includes changes for downstream channels."
      DEFVAL { false }
      ::= { docsLoadbal3ChgOverGroup 3 }

docsLoadbal3ChgOverGroupdownFrequency OBJECT-TYPE
      SYNTAX      Unsigned32
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents a single-downstream frequency
         to which the cable modem is instructed to move
         using a DCC request. The value zero indicates that
         this attribute is ignored during a commit operation."

      DEFVAL { 0 }
      ::= { docsLoadbal3ChgOverGroup 4 }

docsLoadbal3ChgOverGroupMdIfIndex OBJECT-TYPE
      SYNTAX      InterfaceIndexOrZero
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute describes the MAC Domain Interface
         index of the triplet: Mac Domain, RCP-ID and RCC Status
         Index of the RccStatus object that represents the
         RCC used in the change-over operation.  This MAC Domain
         Interface Index is also used to provide context for
         the UsChSet and ServiceFlowInfo attributes."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206, CM Receive Channel
          (RCP/RCC) Encodings section and DOCSIS 3.0 Operations Support
          System Interface Specification CM-SP-OSSIv3.0-I05-071206, RCC
          Status Objects section."
      DEFVAL { 0 }
      ::= { docsLoadbal3ChgOverGroup 5 }

docsLoadbal3ChgOverGroupRcpId OBJECT-TYPE
      SYNTAX      RcpId
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute describes the RCP-ID of the triplet:
         Mac Domain, RCP-ID and RCC Status Index of the RccStatus
         object that represents the RCC  used in the change-over
         operation."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206  CM Receive Channel
          (RCP/RCC) Encodings section and  DOCSIS 3.0 Operations Support
          System Interface Specification CM-SP-OSSIv3.0-I05-071206,
          RCC Status Objects
          section."
      DEFVAL { '0000000000'H }
      ::= { docsLoadbal3ChgOverGroup 6 }

docsLoadbal3ChgOverGroupRccId OBJECT-TYPE
```

```
      SYNTAX      Unsigned32 (0..255)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute describes the RCC Status Index of the
         triplet: Mac Domain, RCP-ID and RCC Status Index of
         the RccStatus object that  represents the RCC used in
         the change-over operation."
      REFERENCE
         "DOCSIS 3.0 MAC and Upper Layer Protocols Interface
          Specification CM-SP-MULPIv3.0-I06-071206,  CM Receive
          Channel (RCP/RCC) Encodings section and  DOCSIS 3.0
          Operations Support System Interface Specification
          CM-SP-OSSIv3.0-I05-071206 RCC Status Objects section."
      DEFVAL { 0 }
      ::= { docsLoadbal3ChgOverGroup 7 }

docsLoadbal3ChgOverGroupUsChSet OBJECT-TYPE
      SYNTAX      ChannelList
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute describes the Channel list (within
         the context of the MAC domain identified by MdIfIndex)
         that represents the final TCS expected from the change-over
         operation.
         When the operation is intended for an RCC-only, this
         attribute is set to zero and the attribute InitTech is
         ignored."
      DEFVAL { ''H }
      ::= { docsLoadbal3ChgOverGroup 8 }

docsLoadbal3ChgOverGroupServiceFlowInfo OBJECT-TYPE
      SYNTAX      OCTET STRING (SIZE(0..128))
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute provides a list of Service Flow ID-Channel
         Set ID pairs used to control Service Flow assignment
         in the change-over operation. This is intended
         as an override to the normal assignment based on SF
         attributes.  This attribute is encoded as a series of
         32-bit pairs as follows:
         - The first four bytes correspond to the value of the Service
         Flow ID (attribute Id of the ServiceFlow object
         of the DOCSIS QOS objects.
         - The last four bytes correspond to the value of the attribute
         ChSetId of the UsChSet or DsChSet object of the
         CMTS Bonding Objects.

         If this attribute does not include tuples for some of
         the CM's Service Flows, the CMTS determines the respective
         channels based on SF attributes. Service Flow
         ID-Channel Set ID pairs matching upstream service
         flows are ignored if the change-over operation does
         not affect the TCC of the CM.  Similarly, Service Flow
         ID-Channel Set ID pairs matching downstream service
         flows are ignored if the change-over operation does
         not affect the RCC of the CM."
      DEFVAL { ''H }
      ::= { docsLoadbal3ChgOverGroup 9 }

docsLoadbal3ChgOverGroupTransactionId OBJECT-TYPE
      SYNTAX      Unsigned32 (0..65535)
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
```

```
         "This attribute represents an operator identifier
         for the change-over operation to be used to correlate
         logged information in the ChangeOver3 Status object.
         The CMTS uses this value as the Transaction ID in
         the DBC-REQ or DCC-REQ message transmitted in association
         with this operation. If this value is set to zero
         the CMTS defines its own MAC message Transaction ID
         value."
      DEFVAL { 0 }
      ::= { docsLoadbal3ChgOverGroup 10 }

docsLoadbal3ChgOverGroupCommit OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute when set to 'true' triggers the change-over
         operation for Externally-Directed Load Balancing.

         Setting this attribute to 'true' is known as a commit
         operation. A commit operation is considered successful
         if the CMTS considers that the entered information
         is valid and the transaction can be initiated. It
         does not imply that the channel-change operation itself
         (i.e. UCC, DCC, DBC transaction) reports success
         or completion.  A commit operation is considered unsuccessful
         if the CMTS determines that there are invalid
         attributes values in the ChangeOver object such
         that the change-over operation cannot be initiated.


         After system initialization all ChangeOver object
         parameters are set to default values.
         After a successful commit operation all ChangeOver
         object parameters are set to default values with the
         exception of this attribute (commit) that is set to 'true'.
         An unsuccessful commit operation is rejected
         and this attribute reports false in subsequent value
         queries.
         With regard to error checking on a commit operation,
         the following aspects are defined:
         - The CMTS rejects the commit operation when the MAC address
         in MacAddr attribute is not from an existing and
         operational cable modem in the CMTS.
         - The CMTS rejects the commit operation when there is
         already a change-over operation in progress for the
         CM, i.e. the corresponding attribute value in the -ChangeOverStatus
         object is one of 'messageSent', 'modemDeparting'
         or 'waitToSendMessage'.
         - The CMTS rejects the commit operation when neither
         of the upstream or downstream attribute parameters
         of the change-over operation are set.

         When the CM is in MRC disabled mode, only UCC/DCC commands
         are valid, therefore:
         - The CMTS ignores the values of RcpId, RccId, and ServiceFlowInfo
         in the commit operation.
         - The CMTS rejects the commit operation if neither of
         DownFrequency or UsChSet were set to non-default values.

         - The CMTS rejects the commit operation when the UsChSet
         indicates more than one upstream channel.
         - A single-upstream-channel change-over operation
          (no downstream information) is rejected if the upstream
         channel information corresponds to a non-existent
         channel or a channel with operational status down.
```

```
        - The CMTS rejects the commit operation for a downstream
        frequency that the CMTS can determine to be invalid.
        For example, the downstream frequency corresponds
        to a channel that is part of the MD-DS-SG in which the
        target CM is currently registered, and this Downstream
        Channel is known to be operationally down, in a
        test mode, mute state, etc.
        - To move a MRC/MTC-capable CM to a MRC/MTC enabled MAC
        Domain, the operator needs to reinitialize the CM via
        a DCC operation by including the appropriate DownFrequency
        and an InitTech allowing only the 'reinitialize
        MAC' initialization technique.

        When the CM is in MRC enabled mode, DCC and DBC commands
        are valid, therefore:
        - The CMTS rejects the commit operation if both the Downstream
        Frequency (via the DownFrequency attribute)
        and the RCC (via the RcpId and RccId) are set to non-default
        values.
        - The CMTS rejects the commit operation if the MdIfIndex
        attribute value is invalid, or if the triplet MdIfIndex,
        RcpId, RccId does not resolve in a valid RCC,
        or at least one of the indicated downstream channels
        is know to be operationally down, in a test mode, mute
        state, etc.
        - The CMTS rejects the commit operation if it can detect
        the UsChSet includes one or more channels that are
        not part of the US-SG of the CM, or any of those channels
        are in operational status down.
        - The CMTS rejects the commit operation if a service flow
        entry in the ServiceFlowInfo attribute includes
        channels that are not part of the CMs target RCS or TCS.


        After processing the commit operation the CMTS creates
        or overwrites (if it already exists) an instance
        of the ChgOverStatus object for the associated CM.

        After a successful commit operation, the CMTS initiates
        the change-over transaction using the most appropriate
        technique.  The potential techniques are:
        - UCC - For upstream-channel-only changes on CMs not
        operating in MRC mode.
        - DCC - For upstream and/or downstream channel changes
        on CMs not operating in MRC mode.
        - DCC followed by channel assignment in REG-RSP-MP -
        For MAC Domain re-assignment on CMs operating in MRC
        mode.  In this case, the change-over command might only
        include a downstream frequency, or might include
        an RCC defined in the target MAC domain.  The upstream
        channel set may or may not be provided.  The only applicable
        Initialization Technique for this operation
        is 'reinitializeMAC'.
        - DBC - For upstream and/or downstream channel set changes
        on CMs operating in MRC mode."
     DEFVAL { false }
     ::= { docsLoadbal3ChgOverGroup 11 }

docsLoadbal3ChgOverGroupLastCommit OBJECT-TYPE
     SYNTAX      TimeStamp
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "The value of sysUpTime when  the attribute Commit was
        last set to true. Zero if never set."
     ::= { docsLoadbal3ChgOverGroup 12 }
```

```
docsLoadbal3ChgOverStatusTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsLoadbal3ChgOverStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object reports the status of cable modems instructed
        to move to a new downstream and/or upstream channel
        or channel sets when commanded either by an operation
        in the ChgOver object. An instance in this object
        is created for each change-over operation committed
        successfully. If the instance value attribute
        is not final (the change-over operation is still pending
        completion), this instance is expected to be updated
        at some point later to reflect the final state
        of the change-over operation."
     ::= { docsLoadbal3MibObjects 3}

docsLoadbal3ChgOverStatusEntry OBJECT-TYPE
     SYNTAX      DocsLoadbal3ChgOverStatusEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsLoadbal3ChgOverStatus."
     INDEX { docsLoadbal3ChgOverStatusId }
     ::= { docsLoadbal3ChgOverStatusTable 1 }

DocsLoadbal3ChgOverStatusEntry ::= SEQUENCE {
     docsLoadbal3ChgOverStatusId
                  Unsigned32,
     docsLoadbal3ChgOverStatusMacAddr
                  MacAddress,
     docsLoadbal3ChgOverStatusInitTech
                  ChChgInitTechMap,
     docsLoadbal3ChgOverStatusDownFrequency
                  Unsigned32,
     docsLoadbal3ChgOverStatusMdIfIndex
                  InterfaceIndex,
     docsLoadbal3ChgOverStatusRcpId
                  RcpId,
     docsLoadbal3ChgOverStatusRccId
                  Unsigned32,
     docsLoadbal3ChgOverStatusUsChSet
                  ChannelList,
     docsLoadbal3ChgOverStatusServiceFlowInfo
                  OCTET STRING,
     docsLoadbal3ChgOverStatusCmd
                  INTEGER,
     docsLoadbal3ChgOverStatusTransactionId
                  Unsigned32,
     docsLoadbal3ChgOverStatusValue
                  INTEGER,
     docsLoadbal3ChgOverStatusUpdate
                  TimeStamp
     }

docsLoadbal3ChgOverStatusId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents a monotonically increasing value
        for the record that stores the status of the change-over
        operation.  When the ChOverStatus object exceeds
        the size limit of this object the lowest Id value
        instances are removed so that the total number of entries
        no longer exceeds the size limit allowing the CMTS
        to maintain the most current entries."
```

```
     ::= { docsLoadbal3ChgOverStatusEntry 1 }

docsLoadbal3ChgOverStatusMacAddr OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the Mac address set in the
        ChgOver object commit operation."
     ::= { docsLoadbal3ChgOverStatusEntry 2 }

docsLoadbal3ChgOverStatusInitTech OBJECT-TYPE
     SYNTAX      ChChgInitTechMap
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "The initialization technique set in change-over
        operation."
     ::= { docsLoadbal3ChgOverStatusEntry 3 }

docsLoadbal3ChgOverStatusDownFrequency OBJECT-TYPE
     SYNTAX      Unsigned32 (0..1000000000)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the new downstream frequency
        to which the cable modem is instructed to move.
        The value 0 indicates that the CMTS does not create a
        TLV for the downstream frequency in the DCC-REQ message.
        This object has no meaning when executing UCC operations."

     ::= { docsLoadbal3ChgOverStatusEntry 4 }

docsLoadbal3ChgOverStatusMdIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndex
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the MAC Domain Interface
        index set in the ChgOver3 object commit operation,
        or zero."
     ::= { docsLoadbal3ChgOverStatusEntry 5 }

docsLoadbal3ChgOverStatusRcpId OBJECT-TYPE
     SYNTAX      RcpId
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the RCP-ID set in the MultipleChChgOver
        object commit operation, or all zeros
        RCP-ID."
     ::= { docsLoadbal3ChgOverStatusEntry 6 }

docsLoadbal3ChgOverStatusRccId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..255)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the RCC Status Index set
        in the ChgOver3 object commit operation, or zero."

     ::= { docsLoadbal3ChgOverStatusEntry 7 }

docsLoadbal3ChgOverStatusUsChSet OBJECT-TYPE
     SYNTAX      ChannelList
     MAX-ACCESS  read-only
     STATUS      current
```

```
     DESCRIPTION
        "This attribute represents the Upstream Channel Set
        ID in the ChgOver3 object commit operation, or zero."

     ::= { docsLoadbal3ChgOverStatusEntry 8 }

docsLoadbal3ChgOverStatusServiceFlowInfo OBJECT-TYPE
     SYNTAX      OCTET STRING (SIZE(0..484))
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the list of Service Flow-Channel
        Set ID pairs set in the ChgOver object commit
        operation, or zero-length string."
     ::= { docsLoadbal3ChgOverStatusEntry 9 }

docsLoadbal3ChgOverStatusCmd OBJECT-TYPE
     SYNTAX      INTEGER {

                         ucc(1),
                         dcc(2),
                         dbc(3),
                         crossMD(4)
                        }
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "The load balancing MAC Management Message exchange
        type used by the CMTS for the change-over operation
        in the ChgOver object commit operation.
        - 'ucc' indicates the usage of Upstream Channel Change
        (UCC) messages exchange.
        - 'dcc' indicates the usage of Dynamic Channel Change
        (DCC) messages exchange.
        - 'dbc' indicates the usage of Dynamic Bonding  Change
        (DCC) messages exchange
        - 'crossMD' although this term does not correspond to
        a MAC Management Message type, it indicates the movement
        of a CM to a different MAC Domain that includes a
        sequence of different MAC Management Messages types
        (i.e. DCC to move the CM to the correct MAC Domain, followed
        by channel assignment in REG-RSP-MP)."
     ::= { docsLoadbal3ChgOverStatusEntry 10 }

docsLoadbal3ChgOverStatusTransactionId OBJECT-TYPE
     SYNTAX      Unsigned32 (0..65535)
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the transaction Id value
        used in the change-over operation."
     ::= { docsLoadbal3ChgOverStatusEntry 11 }

docsLoadbal3ChgOverStatusValue OBJECT-TYPE
     SYNTAX      INTEGER {

                         messageSent(1),
                         noOpNeeded(2),
                         modemDeparting(3),
                         waitToSendMessage(4),
                         cmOperationRejected(5),
                         cmtsOperationRejected(6),
                         timeOutT13(7),
                         timeOutT15(8),
                         rejectinit(9),
                         success(10),
                         dbcTimeout(11)
```

```
                    }
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the status of the specified
         change-over operation. The enumerations are:

         Change-over using  DCC message exchange:
         'modemDeparting'
         The cable modem has responded with a change-over response
         of either a DCC-RSP with a confirmation code of
         depart(180) or a UCC-RSP.
         'timeOutT13'
         Failure due to no DCC-RSP with confirmation code depart(180)
         received prior to expiration of the T13 timer.

         'timeOutT15'
         T15 timer timed out prior to the arrival of a bandwidth
         request, RNG-REQ message, or DCC-RSP message with
         confirmation code of arrive(181) from the cable modem.

         Change-over using  DBC message exchange:
         'dbcTimeout'
         The number of DBC-REQ retries was exceeded and no DBC-RSP
         was received
         Change-over CMTS verifications:
         'messageSent'
         The CMTS has sent a DOCSIS MAC message request to instruct
         the CM to do the change-over operation.
         'noOpNeed'
          A change-over operation was requested in which neither
         the DS and US channels where the CM is operational
         changed.
         'waitToSendMessage'
          The specified operation is active and CMTS is waiting
         to send the channel change message with channel info
         to the cable modem.
         'cmOperationRejected'
          Channel Change operation was rejected by the cable modem.

         'cmtsOperationRejected'
         Channel Change operation was rejected by the Cable Modem
         Termination System.
         'rejectInit'
         Operation rejected due to unsupported initialization
         tech requested.
         'success'
         CMTS received an indication that the CM successfully
         completed the change-over operation. e.g., If an initialization
         technique of re-initialize the MAC is
         used, success in indicated by the receipt of a DCC-RSP
         message with a confirmation code of depart(180) or
         DBC confirmation code ok/success. In all other DCC
         cases, success is indicated by: (1) the CMTS received
         a DCC-RSP message with confirmation code of arrive(181)
         or (2) the CMTS internally confirms the presence
         of the CM on the new channel(s)."
      ::= { docsLoadbal3ChgOverStatusEntry 12 }

docsLoadbal3ChgOverStatusUpdate OBJECT-TYPE
      SYNTAX      TimeStamp
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "The value of sysUpTime when the attribute value of
         this instance was last updated."
      ::= { docsLoadbal3ChgOverStatusEntry 13 }
```

```
docsLoadbal3CmtsCmParamsTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsLoadbal3CmtsCmParamsEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object represents the autonomous load balancing
        parameters provisioned for cable modem. The CMTS
        selects the cable modem Load Balancing Group (GrpId
        attribute of this object) from multiple sources by
        following the rules and sequence described below:

        The CMTS selects the assignment of the CM to a Load Balancing
        Group by determining first if the CM is in a Restricted
        Load Balancing Group or in its absence to the General
        Load Balancing group that corresponds to the
        MD-CM-SG of the CM. The selection of the Restricted Load
        Balancing group is achieved by first matching the
        CM in the RestrictCmCfg Object and if no match is found,
        by selecting the best match within the ResGrpCfg
        object.
        The best match within the ResGrpCfg follows the MULPI
        requirements on precedences of the CM signaled TLVs:
        ServiceType ID and Load Balancing Group ID (for backward
        compatibility of provisioned Group IDs)."
     REFERENCE
        "DOCSIS 3.0 MAC and Uper Layer Protocol Specification,
        CM-SP-MULPIv3.0-I06-071206, Channel Assignment During
        Registration section."
     ::= { docsLoadbal3MibObjects 4}

docsLoadbal3CmtsCmParamsEntry OBJECT-TYPE
     SYNTAX      DocsLoadbal3CmtsCmParamsEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsLoadbal3CmtsCmParams."
     AUGMENTS { docsIf3CmtsCmRegStatusEntry }
     ::= { docsLoadbal3CmtsCmParamsTable 1 }

DocsLoadbal3CmtsCmParamsEntry ::= SEQUENCE {
     docsLoadbal3CmtsCmParamsProvGrpId
                  Unsigned32,
     docsLoadbal3CmtsCmParamsCurrentGrpId
                  Unsigned32,
     docsLoadbal3CmtsCmParamsProvServiceTypeID
                  SnmpAdminString,
     docsLoadbal3CmtsCmParamsCurrentServiceTypeID
                  SnmpAdminString,
     docsLoadbal3CmtsCmParamsPolicyId
                  Unsigned32,
     docsLoadbal3CmtsCmParamsPriority
                  Unsigned32
     }

docsLoadbal3CmtsCmParamsProvGrpId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute indicates the provisioned Load Balancing
        Group ID TLV the CM signaled to the CMTS during
        registration, or zero if not provisioned in the CM."

     ::= { docsLoadbal3CmtsCmParamsEntry 1 }

docsLoadbal3CmtsCmParamsCurrentGrpId OBJECT-TYPE
```

```
      SYNTAX      Unsigned32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute references the Load Balancing Group
         Identifier (Id attribute from the GrpStatus object)
         associated with the cable modem after the CMTS validates
         the CM Load Balancing Group ID TLV, Service Type
         ID TLV and Restricted CM list. The value zero indicates
         that the Load Balancing Group is invalid, or the
         General Load Balancing Group is invalid due ambiguous
         topology resolution."
      ::= { docsLoadbal3CmtsCmParamsEntry 2 }

docsLoadbal3CmtsCmParamsProvServiceTypeID OBJECT-TYPE
      SYNTAX      SnmpAdminString (SIZE(0..16))
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the provisioned Service
         Type ID TLV the CM signaled to the CMTS during registration,
         or the zero-length string if not provisioned
         in the CM."
      ::= { docsLoadbal3CmtsCmParamsEntry 3 }

docsLoadbal3CmtsCmParamsCurrentServiceTypeID OBJECT-TYPE
      SYNTAX      SnmpAdminString (SIZE(0..16))
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute represents the Service Type ID the
         CMTS picked from the Restricted Group of Restricted
         CM list, or the Service Type Id TLV the CM signaled to the
         CMTS during registration, or the zero-length string
         if none was used."
      ::= { docsLoadbal3CmtsCmParamsEntry 4 }

docsLoadbal3CmtsCmParamsPolicyId OBJECT-TYPE
      SYNTAX      Unsigned32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute references the Load Balancing Policy
         ID associated to the cable modem either from the configuration
         file or from the General or Restricted
         Load Balancing Groups CMTS configuration."
      ::= { docsLoadbal3CmtsCmParamsEntry 5 }

docsLoadbal3CmtsCmParamsPriority OBJECT-TYPE
      SYNTAX      Unsigned32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute references the Load Balancing Priority
         associated to the cable modem either from the configuration
         file or from the General or Restricted
         Load Balancing Groups CMTS configuration."
      ::= { docsLoadbal3CmtsCmParamsEntry 6 }

docsLoadbal3GeneralGrpDefaults OBJECT IDENTIFIER ::= { docsLoadbal3MibObjects 5 }

docsLoadbal3GeneralGrpDefaultsEnable OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-write
      STATUS      current
      DESCRIPTION
         "This attribute represents the default value for the
```

```
        Enable attribute of the GeneralLoadBalGrp object."

     DEFVAL { true }
     ::= { docsLoadbal3GeneralGrpDefaults 1 }

docsLoadbal3GeneralGrpDefaultsPolicyId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the default value for the
        PolicyId attribute of the GeneralLoadBalGrp object."

     DEFVAL { 0 }
     ::= { docsLoadbal3GeneralGrpDefaults 2 }

docsLoadbal3GeneralGrpDefaultsInitTech OBJECT-TYPE
     SYNTAX      ChChgInitTechMap
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute represents the default value for the
        InitTechnique attribute of the GeneralLoadBalGrp
        object."
     DEFVAL { 0 }
     ::= { docsLoadbal3GeneralGrpDefaults 3 }

docsLoadbal3GeneralGrpCfgTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsLoadbal3GeneralGrpCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object provides the parameters for the General
        Load Balancing Groups of MD-CM-SGs associated with
        MAC Domain-Fiber Node pairs. This object allows configuration
        of load balancing parameters for General
        Load Balancing Groups by way of MAC Domain-Fiber
        Node pairs.  In many deployments, a MAC Domain-Fiber
        Node pair will equate to an MD-CM-SG (which always equates
        to a GLBG).  In the case where an MD-CM-SG spans multiple
        Fiber Nodes, there will be multiple instances
        of this object that represent the General Load Balancing
        Group (MD-CM-SG).  The CMTS MUST enforce that
        such instances all have the same attribute values.  Any
        time a fiber node is associated to a MAC Domain an instance
        of this object is defined by the CMTS and populated
        with default values from the GeneralGrpDefaults
        object. Similarly when a fiber node is no longer paired
        with a MAC Domain the corresponding instance is
        deleted from the object."
     ::= { docsLoadbal3MibObjects 6}

docsLoadbal3GeneralGrpCfgEntry OBJECT-TYPE
     SYNTAX      DocsLoadbal3GeneralGrpCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsLoadbal3GeneralGrpCfg.
          The CMTS MUST persist all instances of GeneralGrpCfg across
reinitializations."
     INDEX { ifIndex,docsLoadbal3GeneralGrpCfgNodeName }
     ::= { docsLoadbal3GeneralGrpCfgTable 1 }

DocsLoadbal3GeneralGrpCfgEntry ::= SEQUENCE {
     docsLoadbal3GeneralGrpCfgNodeName
                  NodeName,
     docsLoadbal3GeneralGrpCfgEnable
```

```
                TruthValue,
     docsLoadbal3GeneralGrpCfgPolicyId
                Unsigned32,
     docsLoadbal3GeneralGrpCfgInitTech
                ChChgInitTechMap,
     docsLoadbal3GeneralGrpCfgStatus
                RowStatus
     }

docsLoadbal3GeneralGrpCfgNodeName OBJECT-TYPE
     SYNTAX      NodeName
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the fiber node name being associated
        with a MAC Domain."
     ::= { docsLoadbal3GeneralGrpCfgEntry 1 }

docsLoadbal3GeneralGrpCfgEnable OBJECT-TYPE
     SYNTAX      TruthValue
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute when set to 'true' enables Autonomous
        Load Balancing for the General Load Balancing Group
        associated with this instance. When set to 'false'
        Autonomous Load Balancing is disabled."
     DEFVAL { true }
     ::= { docsLoadbal3GeneralGrpCfgEntry 2 }

docsLoadbal3GeneralGrpCfgPolicyId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute defines the default load balancing
        policy for the General Load Balancing Group associated
        with this instance."
     DEFVAL { 0 }
     ::= { docsLoadbal3GeneralGrpCfgEntry 3 }

docsLoadbal3GeneralGrpCfgInitTech OBJECT-TYPE
     SYNTAX      ChChgInitTechMap
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "This attribute defines the load balancing initialization
        technique for the General Load Balancing Group
        associated with this instance."
     DEFVAL { '00'H }
     ::= { docsLoadbal3GeneralGrpCfgEntry 4 }

docsLoadbal3GeneralGrpCfgStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-write
     STATUS      current
     DESCRIPTION
        "The conceptual row status of this object."
     ::= { docsLoadbal3GeneralGrpCfgEntry 5 }

docsLoadbal3ResGrpCfgTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsLoadbal3ResGrpCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object represents the configuration of Restricted
        Load Balancing Groups."
```

```
     ::= { docsLoadbal3MibObjects 7}

docsLoadbal3ResGrpCfgEntry OBJECT-TYPE
     SYNTAX      DocsLoadbal3ResGrpCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsLoadbal3ResGrpCfg.
          The CMTS MUST persist all instances of ResGrpCfg across reinitializations."
     INDEX { docsLoadbal3ResGrpCfgId }
     ::= { docsLoadbal3ResGrpCfgTable 1 }

DocsLoadbal3ResGrpCfgEntry ::= SEQUENCE {
     docsLoadbal3ResGrpCfgId
                  Unsigned32,
     docsLoadbal3ResGrpCfgMdIfIndex
                  InterfaceIndexOrZero,
     docsLoadbal3ResGrpCfgDsChList
                  ChannelList,
     docsLoadbal3ResGrpCfgUsChList
                  ChannelList,
     docsLoadbal3ResGrpCfgEnable
                  TruthValue,
     docsLoadbal3ResGrpCfgInitTech
                  ChChgInitTechMap,
     docsLoadbal3ResGrpCfgPolicyId
                  Unsigned32,
     docsLoadbal3ResGrpCfgServiceTypeId
                  SnmpTagList,
     docsLoadbal3ResGrpCfgStatus
                  RowStatus
     }

docsLoadbal3ResGrpCfgId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents a unique index assigned to the
        Restricted Load Balancing Group by the user for provisioning
        purposes. This value is unique within a CMTS
        and is matched with the CM signaled Load Balancing Group
        ID TLV value when determining the CM Load Balancing
        Group assignment based on such TLV value."
     REFERENCE
        "DOCSIS 3.0 MAC and Uper Layer Protocol Specification,
        CM-SP-MULPIv3.0-I06-071206, Channel Assignment During
        Registration section."
     ::= { docsLoadbal3ResGrpCfgEntry 1 }

docsLoadbal3ResGrpCfgMdIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndexOrZero
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute represents the MAC domain where the
        Restricted Load balancing Group applies. The value
        zero is allowed to indicate that vendor-specific mechanisms
        are used to define the Restricted Load Balancing
        Group. For example, to provide Load Balancing
        Groups across MAC domains.
        "
     ::= { docsLoadbal3ResGrpCfgEntry 2 }

docsLoadbal3ResGrpCfgDsChList OBJECT-TYPE
     SYNTAX      ChannelList
     MAX-ACCESS  read-create
```

```
      STATUS      current
      DESCRIPTION
         "This attribute contains the list of downstream channels
         of the Restricted Load Balancing Group."
      DEFVAL { ''H }
      ::= { docsLoadbal3ResGrpCfgEntry 3 }

docsLoadbal3ResGrpCfgUsChList OBJECT-TYPE
      SYNTAX      ChannelList
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute contains the list of upstream channels
         of the Restricted Load Balancing Group."
      DEFVAL { ''H }
      ::= { docsLoadbal3ResGrpCfgEntry 4 }

docsLoadbal3ResGrpCfgEnable OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute when set to 'true' enables Autonomous
         Load Balancing on this Restricted Load Balancing
         Group. The value 'false' disables the load balancing
         operation on this group."
      DEFVAL { true }
      ::= { docsLoadbal3ResGrpCfgEntry 5 }

docsLoadbal3ResGrpCfgInitTech OBJECT-TYPE
      SYNTAX      ChChgInitTechMap
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the initialization techniques
         that the CMTS can use to load balance cable modems
         in the Load Balancing Group. By default this object
         is initialized with all the defined bits having
         a value of '1'."
      ::= { docsLoadbal3ResGrpCfgEntry 6 }

docsLoadbal3ResGrpCfgPolicyId OBJECT-TYPE
      SYNTAX      Unsigned32
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the default load balancing
         policy of this Restricted Load Balancing Group.
         A policy is described by a set of conditions (rules)
         that govern the load balancing process for a cable modem.
         The CMTS assigns this Policy ID value to a cable modem
         associated with the group ID when the cable modem
         does not signal a Policy ID during registration.

          The Policy ID value is intended to be a numeric reference
         to an instance of  the Policy object. However, It is
         not required to have an existing or active policy instance
         in which case it indicates no policy is associated
         with the Load Balancing Group.

          The Policy ID of value 0 is reserved to indicate no policy
         is associated with the load balancing group."
      DEFVAL { 0 }
      ::= { docsLoadbal3ResGrpCfgEntry 7 }

docsLoadbal3ResGrpCfgServiceTypeId OBJECT-TYPE
      SYNTAX      SnmpTagList
```

```
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represent a space separated list of
         ServiceType IDs that will be compared against the cable
         modem provisioned Service Type ID to determine
         the most appropriate Restricted Load Balancing Group."
      REFERENCE
         "DOCSIS 3.0 MAC and Uper Layer Protocol Specification,
         CM-SP-MULPIv3.0-I06-071206, Channel Assignment During
         Registration section."
      ::= { docsLoadbal3ResGrpCfgEntry 8 }

docsLoadbal3ResGrpCfgStatus OBJECT-TYPE
      SYNTAX      RowStatus
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "The conceptual row status of this object."
      ::= { docsLoadbal3ResGrpCfgEntry 9 }

docsLoadbal3GrpStatusTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsLoadbal3GrpStatusEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object represents the status of all General
         and Restricted Load Balancing Groups in this CMTS.
         This object summarizes the load balancing parameters
         that applies to CMTS system wide Load Balancing Groups.
         The Load Balancing Groups defined in this object
         include the configured Restricted Load Balancing
         Groups and the General Load Balancing Groups derived
         from the GeneralGrpCfg object."
      ::= { docsLoadbal3MibObjects 8}

docsLoadbal3GrpStatusEntry OBJECT-TYPE
      SYNTAX      DocsLoadbal3GrpStatusEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
          "The conceptual row of docsLoadbal3GrpStatus."
      INDEX { docsLoadbal3GrpStatusId }
      ::= { docsLoadbal3GrpStatusTable 1 }

DocsLoadbal3GrpStatusEntry ::= SEQUENCE {
      docsLoadbal3GrpStatusId
                   Unsigned32,
      docsLoadbal3GrpStatusCfgIdOrZero
                   Unsigned32,
      docsLoadbal3GrpStatusMdIfIndex
                   InterfaceIndexOrZero,
      docsLoadbal3GrpStatusMdCmSgId
                   Unsigned32,
      docsLoadbal3GrpStatusDsChList
                   ChannelList,
      docsLoadbal3GrpStatusUsChList
                   ChannelList,
      docsLoadbal3GrpStatusEnable
                   TruthValue,
      docsLoadbal3GrpStatusInitTech
                   ChChgInitTechMap,
      docsLoadbal3GrpStatusPolicyId
                   Unsigned32,
      docsLoadbal3GrpStatusChgOverSuccess
                   Counter32,
      docsLoadbal3GrpStatusChgOverFails
```

```
                Counter32
     }

docsLoadbal3GrpStatusId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents an unique identifier of a Load
        Balancing Group in the CMTS."
     ::= { docsLoadbal3GrpStatusEntry 1 }

docsLoadbal3GrpStatusCfgIdOrZero OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute references the Id attribute of the
        instance of the ResGrpCfg this instance corresponds
        to. The value zero indicates that the instance corresponds
        to a General Load Balancing Group."
     ::= { docsLoadbal3GrpStatusEntry 2 }

docsLoadbal3GrpStatusMdIfIndex OBJECT-TYPE
     SYNTAX      InterfaceIndexOrZero
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute represents the MAC domain where the
        Load Balancing Group applies. The value zero is allowed
        to indicate that vendor-specific mechanisms are
        used in load balancing operations. For example, to
        provide Load Balancing Groups across MAC domains."

     ::= { docsLoadbal3GrpStatusEntry 3 }

docsLoadbal3GrpStatusMdCmSgId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute corresponds to the MD-CM-SG-ID that
        includes all the upstream and downstream channels
        of the Load Balancing Group. The value zero indicates
        that this instance corresponds to a Restricted  Load
        Balancing Group . If there are vendor-specific Load
        Balancing Groups configuration ( e.g. MdIfIndex
        set to zero), this attribute value might not be meaningful."

     ::= { docsLoadbal3GrpStatusEntry 4 }

docsLoadbal3GrpStatusDsChList OBJECT-TYPE
     SYNTAX      ChannelList
     MAX-ACCESS  read-only
     STATUS      current
     DESCRIPTION
        "This attribute contains the list of downstream channels
        of the Load Balancing Group. If there are vendor-specific
        Load Balancing Groups configuration (
        e.g. MdIfIndex set to zero), this attribute value might
        not be meaningful."
     ::= { docsLoadbal3GrpStatusEntry 5 }

docsLoadbal3GrpStatusUsChList OBJECT-TYPE
     SYNTAX      ChannelList
     MAX-ACCESS  read-only
     STATUS      current
```

```
      DESCRIPTION
         "This attribute contains the list of the upstream channels
         of the Load Balancing Group. If there are vendor-specific
         Load Balancing Groups configuration
         ( e.g. MdIfIndex set to zero), this attribute value might
         not be meaningful."
      ::= { docsLoadbal3GrpStatusEntry 6 }

docsLoadbal3GrpStatusEnable OBJECT-TYPE
      SYNTAX      TruthValue
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute when set to 'true' indicates that load
         balancing is enabled on this group, or disabled if
         set to 'false'."
      ::= { docsLoadbal3GrpStatusEntry 7 }

docsLoadbal3GrpStatusInitTech OBJECT-TYPE
      SYNTAX      ChChgInitTechMap
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the initialization techniques
         that the CMTS can use when load balancing cable
         modems that are associated with the Load Balancing
         Group."
      ::= { docsLoadbal3GrpStatusEntry 8 }

docsLoadbal3GrpStatusPolicyId OBJECT-TYPE
      SYNTAX      Unsigned32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute indicates the Policy that the CMTS
         can use when load balancing cable modems that are associated
         with the Load Balancing Group."
      ::= { docsLoadbal3GrpStatusEntry 9 }

docsLoadbal3GrpStatusChgOverSuccess OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute counts the number of successful Autonomous
         Load Balancing operations associated with
         this Load Balancing Group.
         "
      ::= { docsLoadbal3GrpStatusEntry 10 }

docsLoadbal3GrpStatusChgOverFails OBJECT-TYPE
      SYNTAX      Counter32
      MAX-ACCESS  read-only
      STATUS      current
      DESCRIPTION
         "This attribute counts the number of failed Autonomous
         load balancing operations associated with this
         Load Balancing Group."
      ::= { docsLoadbal3GrpStatusEntry 11 }

docsLoadbal3RestrictCmCfgTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsLoadbal3RestrictCmCfgEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object describes the list of cable modems being
         statically provisioned at the CMTS to a Restricted
```

```
        Load Balancing Group.
        When a CM registers it is either assigned to
        "
     ::= { docsLoadbal3MibObjects 9}

docsLoadbal3RestrictCmCfgEntry OBJECT-TYPE
     SYNTAX      DocsLoadbal3RestrictCmCfgEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
         "The conceptual row of docsLoadbal3RestrictCmCfg.
          The CMTS MUST persist all instances of RestrictCmCfg across
reinitializations."
     INDEX { docsLoadbal3RestrictCmCfgId }
     ::= { docsLoadbal3RestrictCmCfgTable 1 }

DocsLoadbal3RestrictCmCfgEntry ::= SEQUENCE {
     docsLoadbal3RestrictCmCfgId
                  Unsigned32,
     docsLoadbal3RestrictCmCfgMacAddr
                  MacAddress,
     docsLoadbal3RestrictCmCfgMacAddrMask
                  MacAddress,
     docsLoadbal3RestrictCmCfgGrpId
                  Unsigned32,
     docsLoadbal3RestrictCmCfgServiceTypeId
                  OCTET STRING,
     docsLoadbal3RestrictCmCfgStatus
                  RowStatus
     }

docsLoadbal3RestrictCmCfgId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the unique identifier of an instance
        in this object.
        the CMTS maintains an unique instance per MAC Address/MAC
        Address Mask combination"
     ::= { docsLoadbal3RestrictCmCfgEntry 1 }

docsLoadbal3RestrictCmCfgMacAddr OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute represents the Mac Address of the cable
        modem within the Restricted Load Balancing Group."

     DEFVAL { '000000000000'H }
     ::= { docsLoadbal3RestrictCmCfgEntry 2 }

docsLoadbal3RestrictCmCfgMacAddrMask OBJECT-TYPE
     SYNTAX      MacAddress
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute corresponds to a  bit mask acting as
        a wild card to associate a cable modem MAC addresses
        to a Restricted Load Balancing Group ID referenced by
        a restricted group Id or a Service Type ID.
        The cable modem matching criteria is performed by bit-Anded
        the cable modem MAC address with the MacAddrMAsk
        attribute and being compared with the bit-ANDed
        of attributes MacdAddr and MacAddrMask.
```

```
         A cable modem MAC address look up is performed first with
         instances containing this attribute value not null,
         if several entries match, the largest consecutive
         bit match from MSB to LSB is used. Empty value is equivalent
         to the bit mask all in ones."
      DEFVAL { ''H }
      ::= { docsLoadbal3RestrictCmCfgEntry 3 }

docsLoadbal3RestrictCmCfgGrpId OBJECT-TYPE
      SYNTAX      Unsigned32
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "The attribute represents the Restricted Load Balancing
         Group identifier of this entry associated with
         the cable modem MAC address - MAC address mask combination.
         The value zero indicates that the instance
         is matched only against the ServiceTypeId value."

      DEFVAL { 0 }
      ::= { docsLoadbal3RestrictCmCfgEntry 4 }

docsLoadbal3RestrictCmCfgServiceTypeId OBJECT-TYPE
      SYNTAX      OCTET STRING (SIZE(0..16))
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "This attribute represents the Service Type Id associated
         with this cable modem MAC address - MAC Address
         mask combination.. The zero-length string indicates
         that the instance is matched only against the GrpId
         value, if both GrpId and this attribute are not present
         the instance is ignored for matching purposes."

      DEFVAL { "" }
      ::= { docsLoadbal3RestrictCmCfgEntry 5 }

docsLoadbal3RestrictCmCfgStatus OBJECT-TYPE
      SYNTAX      RowStatus
      MAX-ACCESS  read-create
      STATUS      current
      DESCRIPTION
         "Indicates the status of this conceptual object.

          There is no restriction on setting columns in this table
         any time."
      ::= { docsLoadbal3RestrictCmCfgEntry 6 }

docsLoadbal3PolicyTable OBJECT-TYPE
      SYNTAX      SEQUENCE OF DocsLoadbal3PolicyEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
         "This object describes the set of load balancing policies.
         Instances from this object might be referenced
         by GrpStatus object . All the rules contained in a
         load balancing policy apply to an Autonomous Load Balancing
         operations. Load balancing rules are defined
         within this specification or can be vendor-defined
         as well."
      ::= { docsLoadbal3MibObjects 10}

docsLoadbal3PolicyEntry OBJECT-TYPE
      SYNTAX      DocsLoadbal3PolicyEntry
      MAX-ACCESS  not-accessible
      STATUS      current
      DESCRIPTION
```

```
         "The conceptual row of docsLoadbal3Policy.
          The CMTS MUST persist all instances of Policy object across
reinitializations."
     INDEX { docsLoadbal3PolicyId,docsLoadbal3PolicyRuleId }
     ::= { docsLoadbal3PolicyTable 1 }

DocsLoadbal3PolicyEntry ::= SEQUENCE {
     docsLoadbal3PolicyId
                  Unsigned32,
     docsLoadbal3PolicyRuleId
                  Unsigned32,
     docsLoadbal3PolicyPtr
                  RowPointer,
     docsLoadbal3PolicyRowStatus
                  RowStatus
     }

docsLoadbal3PolicyId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents the identifier of a load balancing
        policy."
     ::= { docsLoadbal3PolicyEntry 1 }

docsLoadbal3PolicyRuleId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents a rule contained within a balancing
        policy."
     ::= { docsLoadbal3PolicyEntry 2 }

docsLoadbal3PolicyPtr OBJECT-TYPE
     SYNTAX      RowPointer
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute represents a reference to an instance
        in a rule or other policy object like BasicRule object."

     ::= { docsLoadbal3PolicyEntry 3 }

docsLoadbal3PolicyRowStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "The conceptual row status of this object."
     ::= { docsLoadbal3PolicyEntry 4 }

docsLoadbal3BasicRuleTable OBJECT-TYPE
     SYNTAX      SEQUENCE OF DocsLoadbal3BasicRuleEntry
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This object represents a basic ruleset applicable
        to a load balancing policy that references it.
        "
     ::= { docsLoadbal3MibObjects 11}

docsLoadbal3BasicRuleEntry OBJECT-TYPE
     SYNTAX      DocsLoadbal3BasicRuleEntry
     MAX-ACCESS  not-accessible
     STATUS      current
```

```
     DESCRIPTION
         "The conceptual row of docsLoadbal3BasicRule.
          The CMTS MUST persist all instances of BasicRule object across
reinitializations."
     INDEX { docsLoadbal3BasicRuleId }
     ::= { docsLoadbal3BasicRuleTable 1 }

DocsLoadbal3BasicRuleEntry ::= SEQUENCE {
     docsLoadbal3BasicRuleId
                  Unsigned32,
     docsLoadbal3BasicRuleEnable
                  INTEGER,
     docsLoadbal3BasicRuleDisStart
                  Unsigned32,
     docsLoadbal3BasicRuleDisPeriod
                  Unsigned32,
     docsLoadbal3BasicRuleRowStatus
                  RowStatus
     }

docsLoadbal3BasicRuleId OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  not-accessible
     STATUS      current
     DESCRIPTION
        "This key represents a unique identifier for balancing
        ruleset of this object."
     ::= { docsLoadbal3BasicRuleEntry 1 }

docsLoadbal3BasicRuleEnable OBJECT-TYPE
     SYNTAX      INTEGER {

                       enabled(1),
                       disabled(2),
                       disabledPeriod(3)
                     }
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute when set to 'enabled' enables Autonomous
        Load Balancing (independently of the load balancing
        group enable/disable state), the rule set is
        disabled if set to 'disabled', or is disabled during
        a period of time it set to 'disabledPeriod'."
     DEFVAL { disabled }
     ::= { docsLoadbal3BasicRuleEntry 2 }

docsLoadbal3BasicRuleDisStart OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute disables load balancing from the time
        stated by this attribute when the attribute Enable
        is set to 'disablePeriod'. The time is defined in seconds
        since midnight."
     DEFVAL { 0 }
     ::= { docsLoadbal3BasicRuleEntry 3 }

docsLoadbal3BasicRuleDisPeriod OBJECT-TYPE
     SYNTAX      Unsigned32
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "This attribute disables load balancing until the
        time stated by this attribute when the attribute Enable
        is set to 'disablePeriod'. The time is defined in
```

```
        seconds of the wall clock since midnight.
        "
     DEFVAL { 0 }
     ::= { docsLoadbal3BasicRuleEntry 4 }

docsLoadbal3BasicRuleRowStatus OBJECT-TYPE
     SYNTAX      RowStatus
     MAX-ACCESS  read-create
     STATUS      current
     DESCRIPTION
        "The conceptual row status of this object."
     ::= { docsLoadbal3BasicRuleEntry 5 }

docsLoadbal3MibConformance OBJECT IDENTIFIER ::= { docsLoadbal3Mib 2 }
docsLoadbal3MibCompliances OBJECT IDENTIFIER ::= { docsLoadbal3MibConformance 1 }
docsLoadbal3MibGroups         OBJECT IDENTIFIER ::= { docsLoadbal3MibConformance 2 }

docsLoadbal3Compliance MODULE-COMPLIANCE
STATUS      current
DESCRIPTION
        "The compliance statement for..."

    MODULE -- this MODULE
MANDATORY-GROUPS {
     docsLoadbal3Group
     }
::= { docsLoadbal3MibCompliances 1 }
docsLoadbal3Group OBJECT-GROUP
    OBJECTS {
     docsLoadbal3SystemEnable,
     docsLoadbal3SystemEnableError,
     docsLoadbal3PolicyPtr,
     docsLoadbal3PolicyRowStatus,
     docsLoadbal3BasicRuleEnable,
     docsLoadbal3BasicRuleDisStart,
     docsLoadbal3BasicRuleDisPeriod,
     docsLoadbal3BasicRuleRowStatus,
     docsLoadbal3ChgOverGroupMacAddress,
     docsLoadbal3ChgOverGroupInitTech,
     docsLoadbal3ChgOverGroupForceUCC,
     docsLoadbal3ChgOverGroupdownFrequency,
     docsLoadbal3ChgOverGroupMdIfIndex,
     docsLoadbal3ChgOverGroupRcpId,
     docsLoadbal3ChgOverGroupRccId,
     docsLoadbal3ChgOverGroupUsChSet,
     docsLoadbal3ChgOverGroupServiceFlowInfo,
     docsLoadbal3ChgOverGroupTransactionId,
     docsLoadbal3ChgOverGroupCommit,
     docsLoadbal3ChgOverGroupLastCommit,
     docsLoadbal3ChgOverStatusMacAddr,
     docsLoadbal3ChgOverStatusInitTech,
     docsLoadbal3ChgOverStatusDownFrequency,
     docsLoadbal3ChgOverStatusMdIfIndex,
     docsLoadbal3ChgOverStatusRcpId,
     docsLoadbal3ChgOverStatusRccId,
     docsLoadbal3ChgOverStatusUsChSet,
     docsLoadbal3ChgOverStatusServiceFlowInfo,
     docsLoadbal3ChgOverStatusCmd,
     docsLoadbal3ChgOverStatusTransactionId,
     docsLoadbal3ChgOverStatusValue,
     docsLoadbal3ChgOverStatusUpdate,
     docsLoadbal3CmtsCmParamsProvGrpId,
     docsLoadbal3CmtsCmParamsCurrentGrpId,
     docsLoadbal3CmtsCmParamsProvServiceTypeID,
     docsLoadbal3CmtsCmParamsCurrentServiceTypeID,
     docsLoadbal3CmtsCmParamsPolicyId,
     docsLoadbal3CmtsCmParamsPriority,
```

```
     docsLoadbal3GeneralGrpDefaultsEnable,
     docsLoadbal3GeneralGrpDefaultsPolicyId,
     docsLoadbal3GeneralGrpDefaultsInitTech,
     docsLoadbal3GeneralGrpCfgEnable,
     docsLoadbal3GeneralGrpCfgPolicyId,
     docsLoadbal3GeneralGrpCfgInitTech,
     docsLoadbal3GeneralGrpCfgStatus,
     docsLoadbal3ResGrpCfgMdIfIndex,
     docsLoadbal3ResGrpCfgDsChList,
     docsLoadbal3ResGrpCfgUsChList,
     docsLoadbal3ResGrpCfgEnable,
     docsLoadbal3ResGrpCfgInitTech,
     docsLoadbal3ResGrpCfgPolicyId,
     docsLoadbal3ResGrpCfgServiceTypeId,
     docsLoadbal3ResGrpCfgStatus,
     docsLoadbal3GrpStatusCfgIdOrZero,
     docsLoadbal3GrpStatusMdIfIndex,
     docsLoadbal3GrpStatusMdCmSgId,
     docsLoadbal3GrpStatusDsChList,
     docsLoadbal3GrpStatusUsChList,
     docsLoadbal3GrpStatusEnable,
     docsLoadbal3GrpStatusInitTech,
     docsLoadbal3GrpStatusPolicyId,
     docsLoadbal3GrpStatusChgOverSuccess,
     docsLoadbal3GrpStatusChgOverFails,
     docsLoadbal3RestrictCmCfgMacAddr,
     docsLoadbal3RestrictCmCfgMacAddrMask,
     docsLoadbal3RestrictCmCfgGrpId,
     docsLoadbal3RestrictCmCfgServiceTypeId,
     docsLoadbal3RestrictCmCfgStatus
     }
    STATUS      current
    DESCRIPTION
         "Group of objects implemented in"
    ::= { docsLoadbal3MibGroups 1 }
END
```

Annex R IPDR Service Definition Schemas (Normative)

This Annex defines the DOCSIS 3.0 IPDR Service Definition schemas. Refer to Annex C for the global element definitions referenced in the Service Definition schema files.

R.1 SAMIS Service Definition Schemas

Refer to Annex B for the SAMIS Service Definition schema definitions.

R.2 Diagnostic Log Service Definition Schemas

The section defines the IPDR Service Definition schemas for the Diagnostic Log feature defined in Annex G.

R.2.1 DOCSIS-DIAG-LOG-TYPE_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-DIAG-LOG-TYPE"
        xmlns:DOCSIS-DIAG-LOG-
TYPE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-TYPE"
        xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
        xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
        xmlns:DOCSIS-DIAG-
LOG="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM/DOCSIS-CM_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC/DOCSIS-REC_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
DIAG-LOG"
schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-
LOG/DOCSIS-DIAG-LOG_3.5.1-A.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd">
        <annotation>
            <documentation>Import auxiliary schemas
            for global element references.</documentation>
        </annotation>
    </include>
    <annotation>
        <documentation>DOCSIS-DIAG-LOG-TYPE is an IPDR Service Definition
         schema defining the CMTS Diagnostic Log Type IPDR data
         record which references the imported global elements.</documentation>
         <documentation>
         <ipdr:reference>
                DOCSIS 3.0 Operations Support System Interface Specification
                CM-SP-OSSIv3.0-I01-061207, Annex G.
         </ipdr:reference>
         </documentation>
    </annotation>
    <complexType name="DIAG-LOG-TYPE">
        <annotation>
            <documentation>The IPDR Record containing one diagnostic log entry
```

```
representing a single
      cable modem which has triggered at least one of the diagnostic
triggers.</documentation>
        </annotation>
        <complexContent>
            <extension base="ipdr:IPDRType">
                <sequence>
                    <element ref="DOCSIS-CM:CmMacAddr"/>
                    <element ref="DOCSIS-DIAG-LOG:LastUpdateTime"/>
                    <element ref="DOCSIS-DIAG-LOG:CreateTime"/>
                    <element ref="DOCSIS-DIAG-LOG:LastRegTime"/>
                    <element ref="DOCSIS-DIAG-LOG:RegCount"/>
                    <element ref="DOCSIS-DIAG-LOG:RangingRetryCount"/>
                    <element ref="DOCSIS-REC:RecType"/>
                </sequence>
            </extension>
        </complexContent>
    </complexType>
</schema>
```

R.2.2 DOCSIS-DIAG-LOG-EVENT-TYPE_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-DIAG-LOG-EVENT-TYPE"
        xmlns:DOCSIS-DIAG-LOG-EVENT-
TYPE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-EVENT-
TYPE"
        xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
        xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
        xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
        xmlns:DOCSIS-DIAG-
LOG="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG"
        xmlns:DOCSIS-DIAG-LOG-
DETAIL="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-
DETAIL"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS/DOCSIS-CMTS_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM/DOCSIS-CM_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
DIAG-LOG"
schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-
LOG/DOCSIS-DIAG-LOG_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC/DOCSIS-REC_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
DIAG-LOG-DETAIL"
schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-
LOG-DETAIL/DOCSIS-DIAG-LOG-DETAIL_3.5.1-A.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd">
        <annotation>
            <documentation>Import auxiliary schemas
            for global element references.</documentation>
        </annotation>
    </include>
```

```
    <annotation>
        <documentation>DOCSIS-DIAG-LOG-EVENT-TYPE is an IPDR Service Definition
         schema defining the CMTS Diagnostic Log Event Type IPDR data
         record which references the imported global elements.</documentation>
         <documentation>
         <ipdr:reference>
                DOCSIS 3.0 Operations Support System Interface Specification
                CM-SP-OSSIv3.0-I01-061207, Annex G.
         </ipdr:reference>
         </documentation>
    </annotation>
    <complexType name="DIAG-LOG-EVENT-TYPE">
        <annotation>
            <documentation>The event-based IPDR Record containing only the required
elements for updating
      the diagnostic log located outside of the exporter.</documentation>
        </annotation>
        <complexContent>
            <extension base="ipdr:IPDRType">
                <sequence>
                    <element ref="DOCSIS-CM:CmMacAddr"/>
                    <element ref="DOCSIS-CMTS:CmtsSysUpTime"/>
                    <element ref="DOCSIS-DIAG-LOG:TriggerFlagValue"/>
                    <element ref="DOCSIS-DIAG-LOG-DETAIL:TypeValue"/>
                    <element ref="DOCSIS-DIAG-LOG-DETAIL:LastErrorText"/>
                    <element ref="DOCSIS-REC:RecType"/>
                </sequence>
            </extension>
        </complexContent>
    </complexType>
</schema>
```

R.2.3 DOCSIS-DIAG-LOG-DETAIL-TYPE_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-DIAG-LOG-DETAIL-TYPE"
        xmlns:DOCSIS-DIAG-LOG-DETAIL-
TYPE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-DETAIL-
TYPE"
        xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
        xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
        xmlns:DOCSIS-DIAG-LOG-
DETAIL="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-
DETAIL"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM/DOCSIS-CM_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC/DOCSIS-REC_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
DIAG-LOG-DETAIL"
schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-
LOG-DETAIL/DOCSIS-DIAG-LOG-DETAIL_3.5.1-A.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd">
        <annotation>
            <documentation>Import auxiliary schemas
            for global element references.</documentation>
        </annotation>
```

```
    </include>
    <annotation>
        <documentation>DOCSIS-DIAG-LOG-DETAIL-TYPE is an IPDR Service Definition
         schema defining the CMTS Diagnostic Log Detail Type IPDR data
         record which references the imported global elements.</documentation>
         <documentation>
         <ipdr:reference>
                DOCSIS 3.0 Operations Support System Interface Specification
                CM-SP-OSSIv3.0-I01-061207, Annex G.
         </ipdr:reference>
         </documentation>
    </annotation>
    <complexType name="DIAG-LOG-DETAIL-TYPE">
        <annotation>
            <documentation>The IPDR Record containing one diagnostic log detail entry
representing a single
      cable modem which has triggered at least one of the diagnostic
triggers.</documentation>
        </annotation>
        <complexContent>
            <extension base="ipdr:IPDRType">
                <sequence>
                    <element ref="DOCSIS-CM:CmMacAddr"/>
                    <element ref="DOCSIS-DIAG-LOG-DETAIL:TypeValue"/>
                    <element ref="DOCSIS-DIAG-LOG-DETAIL:Count"/>
                    <element ref="DOCSIS-DIAG-LOG-DETAIL:LastUpdate"/>
                    <element ref="DOCSIS-DIAG-LOG-DETAIL:LastErrorText"/>
                    <element ref="DOCSIS-REC:RecType"/>
                </sequence>
            </extension>
        </complexContent>
    </complexType>
</schema>
```

R.3 Spectrum Measurement Service Definition Schema

The section defines the IPDR Service Definition schema for the Enhanced Signal Quality Monitoring feature defined in Annex J.

R.3.1 DOCSIS-SPECTRUM-MEASUREMENT-TYPE_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-SPECTRUM-MEASUREMENT-TYPE"
        xmlns:DOCSIS-SPECTRUM-MEASUREMENT-
TYPE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SPECTRUM-
MEASUREMENT-TYPE"
        xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
        xmlns:DOCSIS-
SPECTRUM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SPECTRUM"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS/DOCSIS-CMTS_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
SPECTRUM"
schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
SPECTRUM/DOCSIS-SPECTRUM_3.5.1-A.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd">
```

```
        <annotation>
            <documentation>Import auxiliary schemas
            for global element references.</documentation>
        </annotation>
    </include>
    <annotation>
        <documentation>DOCSIS-SPECTRUM-MEASUREMENT-TYPE is an IPDR Service
         Definition schema defining the Enhanced Signal Quality
         Monitoring Spectrum Measurement Type IPDR data record which
         references the imported global elements.</documentation>
        <documentation>
            <ipdr:reference>
                DOCSIS 3.0 Operations Support System Interface Specification
                CM-SP-OSSIv3.0-I01-061207, Annex J.
            </ipdr:reference>
        </documentation>
    </annotation>
    <complexType name="SPECTRUM-MEASUREMENT-TYPE">
        <annotation>
            <documentation>
               Frequency Span is derived from the SpectrumAnalysisCfgBinSpacing and
               the length of the SpectrumAnalysisMeasurementBinAmplitude
               string divided by two (i.e., the Number of Bins).
            </documentation>
        </annotation>
        <complexContent>
            <extension base="ipdr:IPDRType">
                <sequence>
                    <element ref="DOCSIS-CMTS:CmtsHostName"/>
                    <element ref="DOCSIS-CMTS:CmtsSysUpTime"/>
                    <element ref="DOCSIS-SPECTRUM:SpectrumAnalysisMeasIfIndex"/>
                    <element ref="DOCSIS-SPECTRUM:SpectrumAnalysisMeasChCenterFreq"/>
                    <element ref="DOCSIS-SPECTRUM:SpectrumAnalysisMeasFreqSpan"/>
                    <element ref="DOCSIS-SPECTRUM:SpectrumAnalysisMeasNumOfBins"/>
                    <element ref="DOCSIS-SPECTRUM:SpectrumAnalysisMeasResolutionBW"/>
                    <element ref="DOCSIS-SPECTRUM:SpectrumAnalysisMeasBinSpacing"/>
                    <element ref="DOCSIS-SPECTRUM:SpectrumAnalysisMeasAmplitude"/>
                </sequence>
            </extension>
        </complexContent>
    </complexType>
</schema>
```

R.4 CMTS CM Registration Status Service Definition Schema

The section defines the IPDR Service Definition schema for the CMTS CM Registration Status information defined in Annex N.

R.4.1 DOCSIS-CMTS-CM-REG-STATUS-TYPE_3.5.1-A.1.xsd[173]

```
<?xml version="1.0"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-CMTS-CM-REG-STATUS-TYPE"
        xmlns:DOCSIS-CMTS-CM-REG-STATUS-
TYPE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-REG-
STATUS-TYPE"
        xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
        xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
        xmlns:DOCSIS-CMTS-CM-NODE-
CH="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-NODE-CH"
```

[173] Section revised per OSSIv3.0-N-07.0447-2, #3 on 5/10/07 by KN.

```
        xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" attributeFormDefault="unqualified"
elementFormDefault="qualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS/DOCSIS-CMTS_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM/DOCSIS-CM_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS-CM-NODE-CH"
schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-
CM-NODE-CH/DOCSIS-CMTS-CM-NODE-CH_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC/DOCSIS-REC_3.5.1-A.1.xsd"/>
    <annotation>
        <documentation>
            DOCSIS-CMTS-CM-REG-STATUS is an IPDR Service Definition Schema
            that defines the Registration status of the CM as perceived by
            the CMTS.
        </documentation>
        <documentation>
            <ipdr:reference>
                DOCSIS 3.0 Operations Support System Interface Specification
                CM-SP-OSSIv3.0-I01-061207, Annex N.
            </ipdr:reference>
        </documentation>
    </annotation>
    <complexType name="CMTS-CM-REG-STATUS-TYPE">
        <annotation>
            <documentation>
                The IPDR Record containing one CM registration status instance
                representing a single cable modem that has registered with
                the CMTS.
            </documentation>
        </annotation>
        <complexContent>
            <extension base="ipdr:IPDRType">
                <sequence>
                    <element ref="DOCSIS-CMTS:CmtsHostName"/>
                    <element ref="DOCSIS-CMTS:CmtsSysUpTime"/>
                    <element ref="DOCSIS-CMTS:CmtsMdIfName"/>
                    <element ref="DOCSIS-CMTS:CmtsMdIfIndex"/>
                    <element ref="DOCSIS-CMTS-CM-NODE-CH:CmtsMdCmSgId"/>
                    <element ref="DOCSIS-CMTS-CM-NODE-CH:CmtsRcpId"/>
                    <element ref="DOCSIS-CMTS-CM-NODE-CH:CmtsRccStatusId"/>
                    <element ref="DOCSIS-CMTS-CM-NODE-CH:CmtsRcsId"/>
                    <element ref="DOCSIS-CMTS-CM-NODE-CH:CmtsTcsId"/>
                    <element ref="DOCSIS-CM:CmMacAddr"/>
                    <element ref="DOCSIS-CM:CmIpv4Addr"/>
                    <element ref="DOCSIS-CM:CmIpv6Addr"/>
                    <element ref="DOCSIS-CM:CmIpv6LinkLocalAddr"/>
                    <element ref="DOCSIS-CM:CmServiceType"/>
                    <element ref="DOCSIS-CM:CmRegStatusValue"/>
                    <element ref="DOCSIS-CM:CmLastRegTime"/>
                    <element ref="DOCSIS-REC:RecType"/>
                    <element ref="DOCSIS-REC:RecCreationTime"/>
                </sequence>
            </extension>
        </complexContent>
```

```
    </complexType>
</schema>
```

R.5 CMTS CM Upstream Status Service Definition Schema

The section defines the IPDR Service Definition schema for the CMTS CM Upstream Status information defined in Annex N.

R.5.1 DOCSIS-CMTS-CM-US-STATS-TYPE_3.5.1-A.1.xsd[174]

```
<?xml version="1.0"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-CMTS-CM-US-STATS-TYPE"
        xmlns:DOCSIS-CMTS-CM-US-STATS-
TYPE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-US-STATS-
TYPE"
        xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
        xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
        xmlns:DOCSIS-CMTS-CM-
US="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-US"
        xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" attributeFormDefault="unqualified"
elementFormDefault="qualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS/DOCSIS-CMTS_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM/DOCSIS-CM_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS-CM-US"
schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-
CM-US/DOCSIS-CMTS-CM-US_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC/DOCSIS-REC_3.5.1-A.1.xsd"/>
    <annotation>
        <documentation>
            DOCSIS-CMTS-CM-US-STATS is an IPDR Service Definition Schema
            that defines the Upstream Channel statistics. This definition
            supports multiple upstream channels.
        </documentation>
        <documentation>
            <ipdr:reference>
                DOCSIS 3.0 Operations Support System Interface Specification
                CM-SP-OSSIv3.0-I01-061207, Annex N.
            </ipdr:reference>
        </documentation>
    </annotation>
    <complexType name="CMTS-CM-US-STATS-TYPE">
        <complexContent>
            <annotation>
                <documentation>
                    The IPDR Record containing one upstream status instance
                    representing a single cable modem.
```

[174] Section revised per OSSIv3.0-N-07.0410-4, #12 on 5/8/07 by KN.

```
            </documentation>
        </annotation>
        <extension base="ipdr:IPDRType">
            <sequence>
                <element ref="DOCSIS-CMTS:CmtsHostName"/>
                <element ref="DOCSIS-CMTS:CmtsSysUpTime"/>
                <element ref="DOCSIS-CMTS:CmtsMdIfName"/>
                <element ref="DOCSIS-CMTS:CmtsMdIfIndex"/>
                <element ref="DOCSIS-CM:CmMacAddr"/>
                <element ref="DOCSIS-CM:CmRegStatusId"/>
                <element ref="DOCSIS-CMTS-CM-US:CmtsCmUsChIfName"/>
                <element ref="DOCSIS-CMTS-CM-US:CmtsCmUsChIfIndex"/>
                <element ref="DOCSIS-CMTS-CM-US:CmtsCmUsModulationType"/>
                <element ref="DOCSIS-CMTS-CM-US:CmtsCmUsRxPower"/>
                <element ref="DOCSIS-CMTS-CM-US:CmtsCmUsSignalNoise"/>
                <element ref="DOCSIS-CMTS-CM-US:CmtsCmUsMicroreflections"/>
                <element ref="DOCSIS-CMTS-CM-US:CmtsCmUsEqData"/>
                <element ref="DOCSIS-CMTS-CM-US:CmtsCmUsUnerroreds"/>
                <element ref="DOCSIS-CMTS-CM-US:CmtsCmUsCorrecteds"/>
                <element ref="DOCSIS-CMTS-CM-US:CmtsCmUsUncorrectables"/>
                <element ref="DOCSIS-CMTS-CM-
US:CmtsCmUsHighResolutionTimingOffset"/>
                 <element ref="DOCSIS-CMTS-CM-US:CmtsCmUsIsMuted"/>
                 <element ref="DOCSIS-CMTS-CM-
US:CmtsCmUsRangingStatus"/>                    <element ref="DOCSIS-REC:RecType"/>
                </sequence>
            </extension>
        </complexContent>
    </complexType>
</schema>
```

R.6 CMTS Topology Service Definition Schema

The section defines the IPDR Service Definition schema for the CMTS Topology information defined in Annex O.

R.6.1 DOCSIS-CMTS-TOPOLOGY-TYPE_3.5.1-A.1.xsd[175]

```
<?xml version="1.0"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-CMTS-TOPOLOGY-TYPE"
        xmlns:DOCSIS-CMTS-TOPOLOGY-
TYPE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-TOPOLOGY-
TYPE"
        xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
        xmlns:DOCSIS-MD-
NODE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-MD-NODE"
        xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" attributeFormDefault="unqualified"
elementFormDefault="qualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS/DOCSIS-CMTS_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
MD-NODE"
schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-MD-
NODE/DOCSIS-MD-NODE_3.5.1-A.1.xsd"/>
```

[175] revised per OSSIv3.0-N-07.0506-3 by ab on 10/11/07.

```
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC/DOCSIS-REC_3.5.1-A.1.xsd"/>
    <annotation>
        <documentation>
            DOCSIS-CMTS-TOPOLOGY-TYPE is an IPDR Service Definition Schema
            that defines the RF topology information that shows the
            connectivities of downstream and upstream channels to the fiber
            nodes within the CMTS.
        </documentation>
        <documentation>
            <ipdr:reference>
                DOCSIS 3.0 Operations Support System Interface Specification
                CM-SP-OSSIv3.0-I01-061207, Annex O.
            </ipdr:reference>
        </documentation>
    </annotation>
    <complexType name="CMTS-TOPOLOGY-TYPE">
        <complexContent>
            <annotation>
                <documentation>
                    This IPDR record contains the association of upstream and
                    downstream channels to each unique pair of MD-CM-SG and
                    Fiber Node.
                </documentation>
            </annotation>
            <extension base="ipdr:IPDRType">
                <sequence>
                    <element ref="DOCSIS-CMTS:CmtsHostName"/>
                    <element ref="DOCSIS-CMTS:CmtsSysUpTime"/>
                    <element ref="DOCSIS-CMTS:CmtsIpv4Addr"/>
                    <element ref="DOCSIS-CMTS:CmtsIpv6Addr"/>
                    <element ref="DOCSIS-CMTS:CmtsMdIfName"/>
                    <element ref="DOCSIS-CMTS:CmtsMdIfIndex"/>
                    <element ref="DOCSIS-MD-NODE:CmtsNodeName"/>
                    <element ref="DOCSIS-MD-NODE:CmtsMdCmSgId"/>
                    <element ref="DOCSIS-MD-NODE:CmtsMdDsSgId"/>
                    <element ref="DOCSIS-MD-NODE:CmtsMdUsSgId"/>
                    <element ref="DOCSIS-MD-NODE:CmtsMdDsSgChList"/>
                    <element ref="DOCSIS-MD-NODE:CmtsMdUsSgChList"/>
                    <element ref="DOCSIS-REC:RecType"/>
                </sequence>
            </extension>
        </complexContent>
    </complexType>
</schema>
```

R.7 CPE Service Definition Schema

The section defines the IPDR Service Definition schemas for the CPE information defined in Annex C.

R.7.1 DOCSIS-CPE-TYPE_3.5.1-A.1.xsd

```
<?xml version="1.0" encoding="UTF-8"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSI
S-CPE-TYPE"
        xmlns:DOCSIS-CPE-
TYPE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CPE-TYPE"
        xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
        xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
        xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
        xmlns:DOCSIS-
```

```
CPE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CPE"
        xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
        version="3.5.1-A.1" elementFormDefault="qualified"
attributeFormDefault="unqualified">
    <import namespace="http://www.ipdr.org/namespaces/ipdr"
schemaLocation="http://www.ipdr.org/public/IPDRDoc3.5.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CMTS/DOCSIS-CMTS_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CM/DOCSIS-CM_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
REC/DOCSIS-REC_3.5.1-A.1.xsd"/>
    <import namespace="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CPE" schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CPE/DOCSIS-CPE_3.5.1-A.1.xsd"/>
    <include schemaLocation="http://www.ipdr.org/public/IPDRTypes.xsd">
        <annotation>
            <documentation>Import auxiliary schemas
            for global element references.</documentation>
        </annotation>
    </include>
    <annotation>
        <documentation>DOCSIS-CPE-TYPE is an IPDR Service Definition
         schema defining the Customer Premise Equipment (CPE)
         Type IPDR data record which references the imported global elements.
         </documentation>
         <documentation>
         <ipdr:reference>
            DOCSIS 3.0 Operations Support System Interface Specification
            CM-SP-OSSIv3.0-I01-061207, Annex P.
         </ipdr:reference>
         </documentation>
    </annotation>
    <complexType name="CPE-TYPE">
        <complexContent>
            <extension base="ipdr:IPDRType">
                <sequence>
                    <element ref="DOCSIS-CMTS:CmtsHostName"/>
                    <element ref="DOCSIS-CMTS:CmtsSysUpTime"/>
                    <element ref="DOCSIS-CMTS:CmtsMdIfName"/>
                    <element ref="DOCSIS-CMTS:CmtsMdIfIndex"/>
                    <element ref="DOCSIS-CM:CmMacAddr"/>
                    <element ref="DOCSIS-REC:RecType"/>
                    <element ref="DOCSIS-CPE:CpeMacAddr"/>
                    <element ref="DOCSIS-CPE:CpeIpv4Addr"/>
                    <element ref="DOCSIS-CPE:CpeIpv6Addr"/>
                    <element ref="DOCSIS-CPE:CpeFqdn"/>
                </sequence>
            </extension>
        </complexContent>
    </complexType>
</schema>
```

Appendix I Business Process Scenarios For Subscriber Account Management (Informative)

In order to develop a Subscriber Account Management Policy, it is necessary to consider high-level business processes common to cable operators and the associated operational scenarios. The following definitions represent a generalized view of key processes involved. It is understood that business process terminology varies among different cable operators, distinguished by unique operating environments and target market segments.

For the purpose of this specification, Subscriber Account Management refers to the following business processes and terms:

- Quality of Service Provisioning Processes, which are intrinsic to the automatic, dynamic provisioning and enforcement of subscribed policy-based service level agreements (SLAs)
- Usage-Based and Flat-Rate Billing Processes, which are involved in the processing of bills based on services rendered to and consumed by the paying subscriber

I.1 The Current Service Model: "One Traffic Class" and "Best effort"

The Internet strives to be an egalitarian society of sorts, where all Internet Protocol (IP) packets are treated reasonably equally. Given that all IP packets have approximately equal right-of-way over the Internet, it is a largely "first-come, first-served" type of service level arrangement. Such traffic parameters as response time and packet delivery are on a "best effort" basis only.

Unfortunately, while all IP packets are theoretically equal, certain classes of IP packets may need to be processed differently under certain conditions. When transmitting data packets, traffic congestion can cause unpredictable delays, packet loss and resulting customer frustrations with the service offering. However, in a convergent IP internetwork where best effort data packets are intermixed with those having delay, jitter or loss sensitivities, such as voice and streaming video, "best effort only" quality levels may be insufficient when the network becomes congested. While the addition of QoS to the service portfolio does mitigate some of these issues while the network is under stress, care must be taken in the design of the QoS policies given the added complexity in operating the network and the risk of over engineering the QoS architecture and under engineering capacity.

Certain applications require more guarantees than others and need to be carefully chosen before investing the time, effort and capital expense to architect network service level guarantees. QoS policies do not obviate the necessity of proper capacity planning and trend analysis in network behavior. QoS only allows a contingency plan for a very small number of flows for specific applications to be forwarded with acceptable performance metrics even when network capacity is largely consumed.

I.2 The Current Billing Model: "Flat Rate" Billing

As DOCSIS services evolve from "dumb" pipes and best effort service delivery to more application-centric and customer-centric models, serious consideration must be given to the flexibility of the billing model. There will be scenarios where a fixed rate, flat fee is appropriate to the profile of the customer and the service being offered, while at other times it is more appropriate for both the operator and customer alike to operate on a usage based model. No single billing model will suite all customer or service profiles.

I.3 Flow Through Dynamic Provisioning

"Back Office" usage-based accounting and subscriber billing is an increasingly important competitive differentiator in the emerging application-oriented data services. A customer may be provisioned to obtain an initial service profile

a best effort data service at a given upstream and downstream speed (as is the case today in most operators). Classification of the customers traffic, however, may reveal certain trends and applications which might be better suited, for either the operator (for capacity management) or for the customer (for performance) to be provisioned dynamically to address the unique requirements of the customer's application traffic flows. For the purposes of simplification of the marketing of such advanced services, the "package" might contain a list of applications for which special treatment can be given as a value-add to boost the customer's experience. However, the enhancement of one application can render the performance of another less prioritized application to perform quite poorly.

I.3.1 Integrating "front end" processes seamlessly with "back office" functions

A long-standing business axiom states that accountability exists only with the right measurements; business prospers only with the proper management of information. An effective subscriber account management strategy for DOCSIS ought to meet three (3) major requirements:

Automatic & Dynamic Flow-Through Provisioning
The first requirement is to integrate service orders through the billing system with provisioning of the CM with an appropriate initial profile and subsequently manage all services dynamically based on the session and application requirements, but within the limitations of the available channel capacity.

Semi-Guaranteed and Guaranteed Quality of Service
The second requirement is to offer differentiated classes of service for the subscriber's various applications, such as varying bit rates and performance guarantees to maintain a particular service level associated with that application or class and provision for minimum sustained, maximum sustained and burst capacity allocation.

Data Collection, Warehousing & Usage Billing
The third requirement is to capture a subscriber's actual usage patterns and calculate the bill based on the rate associated with the customer's subscribed service levels. The operator will also compare the service guarantees for the subscriber's traffic against the service level to which the customer has subscribed and if necessary (as with a true guarantee), adjust the rate on the bill to reflect an outage or packet loss in excess of the customer's service level agreement.

I.3.2 Designing Classes of Service By Customer Type and Application

Designing the service classes leads directly to the intrinsic characteristics of the service offerings. While flexibility in service class definitions and their attendant billing models provides the customer with more choices, care must be taken to avoid undue complexity. The more varied and sophisticated the service classes, the more complex the packaging and communication of the service's attributes and limitations to customers in addition to complexities inherent in implementing such service classes into the operators' OSS/BSS systems. When designing different classes of service offerings, a cable operator might consider the following:

- Customer category, such as business vs. residential
    - Business/small office/home office accounts have a few overlapping and a few unique characteristics that might benefit from being separate classes in at least some markets along attributes such as capacity, time of day, Virtual Private Network services, pricing and bundling. A residential customer can be a business user by day and a purely residential customer by night, for example.
- Guaranteed and semi-guaranteed service levels for a particular application, such as for an operator provided voice or video conferencing service or a higher service level for data services. This class could be added to any customer profile alone or in combination with other classes.
- By time of day and/or day of week, as with customers who telecommute, splitting the bill between the subscriber and the subscriber's employer or employee service aggregator. Like the other classes of service, this class could be added alone or in combination with other classes onto any customer profile.

- "On Demand" as ordered or scheduled, including such operator promotions as a free high-tier try-out, which can be added to any customer profile alone or in combination with other classes.

I.3.2.1 Examples of Service Profiles

Service profiles define the characteristics of the CM configuration file (either static or dynamically generated by the provisioning server) and have the following characteristics:

- Either a specific upstream/downstream or a profile with unlimited upstream/downstream bit rate. The unlimited profile has certain benefits in terms of dynamic application of classes on top of the underlying profile. With classification controlling user experience and capacity, all CMs in the operator's network could, in theory, use exactly the same "uncapped" CM profile with the classes of service (a QoS application with or without guarantees) defining the actual service attributes such as speed, delivery and application prioritization.
    - Commercial Small Business profile with or without upstream/downstream bandwidth limitations
    - Residential Premium profile with or without upstream/downstream bandwidth limitations
    - Residential Standard profile with or without upstream/downstream bandwidth limitations
- Configure the characteristics of the default primary service flow (assumes DOCSIS 1.1 or higher notion of QoS profiles), this is usually a best effort flow used by all unclassified traffic

I.3.2.2 Classes of Service Examples

Classes of service define the guaranteed and non-guaranteed bit rate, latency, jitter, packet loss granted to a particular Service Flow using DOCSIS QoS mechanisms. In particular, the use of these mechanisms to provide non-guaranteed variable bit rate services for data traffic (by setting a relatively low minimum sustained rate, a fairly low maximum sustained rate and a very high burst rate) provide opportunities to differentiate service without the cumbersome requirements of true bandwidth reservations, latency, jitter and packet loss. Such types of strict guarantees are best suited to applications that may require them during network congestion, such as VoIP.

The benefits to a strong commercial strategy include maximizing the use of network capacity during the residential off-peak hours. A large percentage of commercial customers can help flatten the typical off-peak to on-peak traffic rates in which on-peak is often observed to be three to four times higher than off-peak. Commercial customers primarily generate traffic during residential off-peak, rendering the overall network utilization relatively flat due to orthogonal customer class usage patterns.

The following is a sample of service classes that overlay the common service profile. These classes are mostly of an unguaranteed bit rate or packet delivery quality and heavily biased towards burst rates:

- Platinum Service for Business Accounts
  Business accounts subscribing to this service are guaranteed a minimum sustained data rate downstream of 6 Mbit/s, a sustained maximum downstream data rate of 15 Mbit/s and if excess channel or bonding group capacity is available, the customer is allowed to burst to 35 Mbit/s. The minimum sustained upstream data rate of 3 Mbit/s, a sustained maximum upstream rate of 10 Mbit/s and if channel or bonding group capacity is available, a burst of up to 25 Mbit/s (bursts will be between 250 ms to 750 ms duration, longer than the other classes).
    - PacketCable VoIP protocols are prioritized, with each allocated up to 384 Kbit symmetric bit rate with prioritization through the queue to reduce latency and jitter. 384 Kbit bi-directional assumes an uncompressed G.711 codec and a three-way call (192Kbit symmetric per call session)
        - E-911 calls are prioritized above all traffic except management traffic to ensure that the service is suitable for primary line emergency phone service replacement
    - Layer 2 VPNs terminating within the operator are prioritized below voice services but above unclassified data traffic. Layer 3 VPNs operated by the customer are treated as normal, undifferentiated traffic

- Platinum Service for Residential Accounts
  Residential accounts subscribing to this service are guaranteed a minimum sustained data rate of 6 Mbit/s, a sustained maximum downstream rate of 13 Mbit/s and if excess channel or bonding group capacity is available, the customer is allowed to burst to 30 Mbit/s. The minimum sustained upstream data rate is 1.5 Mbit/s, a sustained maximum upstream rate of 8 Mbit/s and if channel or bonding group capacity is available, a burst of up to 25 Mbit/s (a short burst is defined as 250-500 ms duration).
  - PacketCable MGCP and SIP protocols are prioritized, with each allocated up to 384 Kbit symmetric bit rate with prioritization through the queue to reduce latency and jitter. 384 Kbit bi-directional assumes an uncompressed G.711 codec and a three-way call (192Kbit symmetric per call session)
    - E-911 calls are prioritized above all traffic except management traffic to ensure that the service is suitable for primary line phone replacement
  - Layer 2 VPNs terminating within the operator are prioritized below voice services but above unclassified data traffic. Layer 3 VPNs operated by the customer are treated as normal, undifferentiated traffic.
  - P2P traffic will be prioritized over unclassified data traffic within the customer's capacity allocation with further proxy and redirect functions controlling which nodes are visible to the P2P client software.
- Gold Service for SOHO Accounts
  On a time of day basis, this class will receive different levels of service with regards to bit rates and prioritization. During business hours between 6:00 AM and 5:00 PM, this class receives a 5 Mbit/s minimum sustained downstream data rate, a maximum sustained downstream data rate of 8 Mbit/s and a burst rate of up to 25 Mbit/s. The minimum sustained upstream data rate of 1 Mbit/s, a sustained maximum upstream rate of 6 Mbit/s and if channel or bonding group capacity is available, a burst of up to 25 Mbit/s.
  - PacketCable MGCP and SIP protocols are prioritized, with each allocated up to 384 Kbit symmetric bit rate with prioritization through the queue to reduce latency and jitter. 384 Kbit bi-directional assumes an uncompressed G.711 codec and a three-way call (192Kbit symmetric per call session)
    - E-911 calls are prioritized above all traffic except management traffic to ensure that the service is suitable for primary line phone replacement
  - Video conferencing through H.323 is prioritized above L2 VPN traffic, below SIP/MGCP traffic and above all unclassified data traffic.
  - Layer 2 VPNs terminating within the operator are prioritized below voice services but above unclassified data traffic. Layer 3 VPNs operated by the customer are treated as normal, undifferentiated traffic.
  - During residential peak-time between 5:00 PM and 6:00 AM, this class receives a 4 Mbit/s minimum sustained downstream data rate, a maximum sustained downstream data rate of 7 Mbit/s and a burst rate of up to 25 Mbit/s. The minimum sustained upstream data rate of 768 Kbit/s, a sustained maximum upstream rate of 5 Mbit/s and if channel or bonding group capacity is available, a burst of up to 25 Mbit/s.
    - PacketCable MGCP and SIP protocols are prioritized, with each allocated up to 384 Kbit symmetric bit rate with prioritization through the queue to reduce latency and jitter. 384 Kbit bi-directional assumes an uncompressed G.711 codec and a three-way call (192Kbit symmetric per call session)
      - E-911 calls are prioritized above all traffic except management traffic to ensure that the service is suitable for primary line phone replacement
    - P2P traffic will be prioritized over unclassified data traffic within the customer's capacity allocation with further proxy and redirect functions controlling which nodes are visible to the P2P client software.
- Silver Service for Residential Accounts
  This class receives a minimum sustained downstream data rate of 2 Mbit/s and a maximum sustained downstream data rate of 6 Mbit/s and a burst rate of up to 16 Mbit/s if sufficient capacity exists in the channel or bonding group. A minimum sustained upstream data rate of 512 Kbit/s, a sustained maximum upstream rate of 2 Mbit/s and if channel or bonding group capacity is available, a burst of up to 16 Mbit/s.

    - PacketCable MGCP and SIP are prioritized, with each allocated up to 384 Kbit symmetric bit rate with prioritization through the queue. 384 Kbit bi-directional assumes an uncompressed G.711 codec and a three-way call (192Kbit per call session)
        - E-911 calls are prioritized above all traffic except management traffic to ensure that the service is suitable for primary line phone replacement
    - P2P traffic will be prioritized over unclassified data traffic within the customer's capacity allocation with further proxy and redirect functions controlling which nodes are visible to the P2P client software.
- "On Demand"
  This class of "on demand" service allows a subscriber to request additional bandwidth available for a specific period of time. For example, a subscriber can go to an operator's web site and request increased bandwidth service levels from his registered subscribed class of service from their currently subscribed rate to a maximum upstream/downstream data rate of 25 Mbit/s upstream by 35 Mbit/s downstream between the hours of 2 PM to 4 AM of the following day, after which the customer's subscribed service level will return to it's original service level. The provisioning server will check the scheduled bandwidth commitments and utilization history to decide whether such "on demand" services can be granted, or assign a lower bandwidth commitment, informs the customer via the website scheduling engine and set the adjusted commitment for the requested time.
    - PacketCable VoIP protocols are prioritized, with each allocated up to 384 Kbit symmetric bit rate with prioritization through the queue. 384 Kbit bi-directional assumes an uncompressed G.711 codec and a three-way call (192Kbit per call session)
        - E-911 calls are prioritized above all traffic except management traffic to ensure that the service is suitable for primary line phone replacement
    - P2P traffic will be prioritized over unclassified data traffic within the customer's capacity allocation with further proxy and redirect functions controlling which nodes are visible to the P2P client software.

Many service classes can co-exist on a single account service profile. Service classes can be dynamically applied (added, changed or removed) and the control applied layer 3 through layer 7 (the IP network through application layer) and not in the DOCSIS configuration file (service profile) as is commonplace today. The underlying service profile is often best configured as an "uncapped" service with the only limit being the available capacity of the channel or bonding group and a simple best effort service level.

The classes themselves provide additional refinement as to the upper, lower and burst quotas to police the bit rates, with application-specific QoS applied to such services as operator provided/partnership provided VoIP, video conferencing or customer controlled applications such as P2P.

Session based QoS for specific applications (must be known in advance, it is not possible to dynamically configure QoS for applications of unknown characteristics and requirements) can provide incremental revenue as an add-on to the basic High Speed Internet service, or be "bundled" with the service as a value-add. While the customer satisfaction with these approaches has the potential to be very high, it is important to weigh the benefits and manage the complexity of these services through both phased introduction and care in the crafting of the marketing message in support of such services.

I.3.3 Usage-Based Billing

A complete billing solution involves the following processes:

- A matrix of billing options appropriate to the services being offered
    - Usage based H.S.I. services
        - Session based services, such as special application delivery/quality guarantees
        - Scheduled (On-Demand) data rate adjustments

    - 95th percentile burstable rate billing
  - Capture and manage subscriber account and service subscription information
  - Estimate future usage based on past history
  - Collect billable event data
  - Generate and rate billing records
  - Calculate, prepare and deliver bill
  - Flat rate billing for simple services and service profiles
- Process and manage bill payment information and records
- Handle customer account inquires
- Manage debt and fraud

I.3.4 Designing Simple Usage-Based Billing Models

In support of the offering of different classes of service is a new set of billing processes, which are based on the accounting of actual usage of subscribed service by each subscriber calculated by the associated fee structures.

There are several alternatives to implementing usage-based billing. The following offers a few examples:

- Billing Based on an Average Bandwidth Usage

  The average bandwidth usage is defined as the total octets transmitted divided by the billing period. This type of accounting does not fully take into account burst rates above the average rate and can cause the bill to fluctuate more than the 95th percentile approach.

- Billing Based on Peak Bandwidth Usage

  The peak bandwidth usage is the highest bandwidth usage sample during the entire billing period. Each usage sample is defined as the average bandwidth usage over a data collection period (typically 10 minutes). Since it is usually the peak usage pattern that creates the highest possibility of access problems for the cable operator, therefore, it is reasonable to charge for such usage. One scheme of peak usage billing referred to as "95 percentile billing". The process is as follows: At the end of each billing period, the billing software examines the usage records of each subscriber and it "throws away" the top five percent of usage records of that period, then charges the subscriber on the next highest bandwidth usage.

- "Flat Monthly Fee", Plus Usage Billing Based on the Class of Service Subscribed

  Any usage beyond the minimum guaranteed bandwidth for that particular subscriber service class is subject to an extra charge based on the number of bytes transmitted.

- Billing for "On Demand" Service

  This special billing process is to support the "On Demand" Service offering described in the above sections.

I.4 Conclusions

There is no single billing model that is appropriate for all services or all customer classes. The type of service being delivered (the service class); the pricing of that service and the target customer will dictate the most effective model for approaching the ideal compromise.

Appendix II Summary of CM Authentication and Code File Authentication (Informative)

The purpose of this appendix is to provide the overview of the two authentication mechanisms defined by the DOCSIS 3.0 Security specification [SEC] as well as to provide an example of the responsibility assignment for actual operation but not to add any new requirements for the CMTS or the CM. Please refer to [SEC] regarding the requirement for the CMTS and the CM.

II.1 Authentication of the CM

When the CM is required to run EAE or BPI+, the CMTS authenticates the CM by verifying the CM Device certificate and the manufacturer CA certificate. These certificates are contained in the Auth Request and Auth Info packets respectively, and are sent to the CMTS by the CM. Only CMs with valid certificates will be authorized by the CMTS.

II.1.1 Responsibility of the DOCSIS Root CA

The DOCSIS Root CA is responsible for the following:

- Storing the DOCSIS Root private key in secret
- Maintaining the DOCSIS Root CA certificate
- Issuing manufacturer CA certificates (centralized or distributed) which are signed by the DOCSIS Root CA
- Maintaining the CRL of the manufacturer CA
- Providing the operators with the CRL

The DOCSIS Root CA or CableLabs is likely to put the DOCSIS Root CA on their Web or Config File server to let the operators (or the CMTS, on behalf of the operator) download it.

II.1.2 Responsibility of the CM manufacturers

The CM manufacturers are responsible for the following:

- Storing the manufacturer CA private key in secret
- If using the "Distributed Model" manufacturers maintain their manufacturer CA certificate. The manufacturer CA certificate is usually signed by the DOCSIS Root CA, but can be self-signed until the DOCSIS Root CA issues it based on the CableLabs policy.
- If using the "Distributed Model" manufacturers issue their CM certificates
- Putting the manufacturer CA certificate in the CM's software
- Putting each CM certificate in the CM's secure non-volatile memory
- Providing the operators with revocation status of CM certificates. This may be in CRL format. However, the detail of the format and the method of delivery are TBD.

II.1.3 Responsibility of the operators

The operators are responsible for the following:

- Maintaining that the CMTSs have an accurate date and time. If a CMTS has a wrong date or time, the invalid certificate may be authenticated or the valid certificate may not be authenticated.

- Putting the DOCSIS Root CA certificate in the CMTS during the CMTS provisioning using the BPI+ MIB or the CMTS's proprietary function. The operator may have a server to manage this certificate for one or more CMTS(s).
- Putting the manufacturer CA certificate(s) in the CMTS during the CMTS provisioning using the BPI+ MIB or the CMTS's proprietary function (optional). The operator may have a server to manage this certificate for one or more CMTSs.
- Maintaining the status of the certificates in the CMTSs if desired using the BPI+ MIB or the CMTS's proprietary function (optional). The operator may have a server to manage all the status of the certificates recorded in one or more CMTSs.
- The operator may have a server to manage the DOCSIS Root CA certificate, manufacturer CA certificate(s) and also the status of the certificates recorded in one or more CMTSs.
- Maintaining a certificate revocation server (CRL or OCSP) for the CMTS based on the CRLs provided by the DOCSIS Root CA and the manufacturer CAs (optional).

II.2 Authentication of the code file for the CM

When a CM downloads a code file from a Config File server, the CM must authenticate the code file as defined in [SEC]. The CM installs the new image and restarts using it only if verification of the code image was successful (as defined in [SEC]). If authentication fails, the CM rejects the code file downloaded from the Config File server and continues to operate using the current code. The CM performs a software download, whether initiated by the configuration file or SNMP, only if it was initialized with a valid CVC received in the CM configuration file. In addition to the code file authentication by the CM, the operators may authenticate the code file before they put it on the Config File server. The following figure shows the summary of these mechanisms.

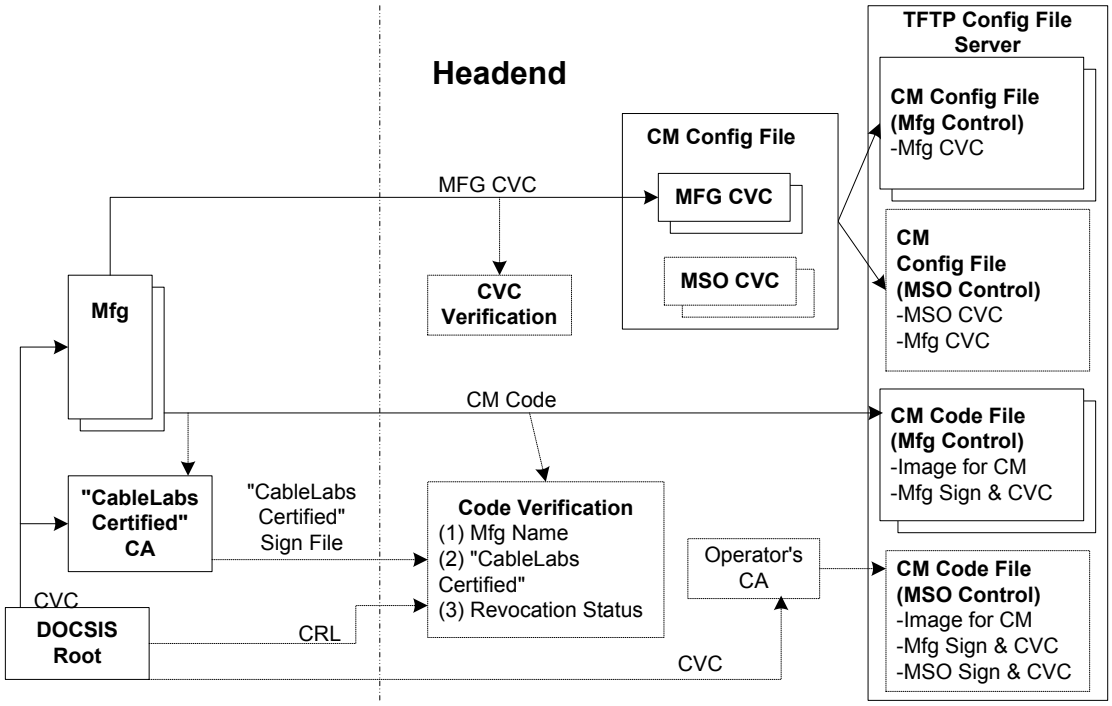


Figure II-1 - Authentication of the code file for the CM

II.2.1 Responsibility of the DOCSIS Root CA

The DOCSIS Root CA is responsible for the following:

- Storing the DOCSIS Root private key in secret
- Maintaining the DOCSIS Root CA certificate
- Issuing the code verification certificates (CVCs) for the CM manufacturers, for the operators, and for "CableLabs Certified™"
- The Root CA may maintain the CRL of the CVCs and provide it to the operators.

II.2.2 Responsibility of the CM manufacturer

The CM manufacturers are responsible for the following:

- Storing the manufacturer CVC private key in secret
- Storing the DOCSIS Root CA certificate in the CM.
- Maintaining the manufacturer CVC ([SEC] only allows CVCs signed by the DOCSIS Root CA and does not accept self-signed CVCs)
- Generating the code file with the manufacturer's SW image, CVC and signature
- Providing the operators with the code file and the manufacturer CVC

II.2.3 Responsibility of CableLabs

CableLabs is responsible for the following:

- Storing the "CableLabs Certified" CVC private key in secret
- Maintaining the "CableLabs Certified" CVC signed by the DOCSIS Root CA
- Issuing the "CableLabs Certified" signature file for the DOCSIS CM code file certified by CableLabs

II.2.4 Responsibility of the operators

Operators have the following responsibilities and options:

- Verifying the manufacturer CVC and signature in the code file provided by the manufacturer prior to using it (optional). The code file may be rejected (not used to upgrade CMs) if the manufacturer signature or CVC is invalid.
- Checking if the code file provided by the CM manufacturer is "CableLabs Certified" by verifying the "CableLabs Certified" CVC and signature in the "CableLabs Certified" signature file against the code file before the operator loads the code file on the Config File server (optional).
- Maintaining the operator code signing agent (CSA) by storing the operator CVC private key in secret and maintaining the operator's (co-signer) CVC issued by the DOCSIS Root CA (optional)
- Generating the MSO-controlled code file by adding the operator's CVC and signature to the original code file provided by the CM manufacturer (optional)
- Checking if the CVC provided by the CM manufacturer is valid (optional)
- Putting the appropriate CVC(s) in the CM configuration file. In the case that the original code file is to be downloaded to the CMs, the CM configuration file must contain the valid CVC from the CM's manufacturer. In case that the operator-controlled code file is to be downloaded, the CM configuration file must contain the valid CVC of the operator and may contain the valid CVC from the CM manufacturer. If a CVC is not present the CM configuration file, or the CVCs that are present are invalid, the CM will not initiate a software download if

instructed to via SNMP or the CM configuration file. Note that the CM may be registered and authorized by the CMTS and become operational regardless of whether the CM configuration file contains valid CVCs.

Appendix III DOCSIS IPDR Sample Instance Documents (Informative)

This appendix provides a sampling of the XML Instance Documents which conform to the corresponding DOCSIS IPDR Service Definition schemas defined in Annex R.

III.1 Collector Aggregation

IPDRDoc is expected to be aggregated by the Collector with the IPDR/SP data streamed within the session start stop boundary.

III.2 Schema Location

The schemaLocation attribute [W3 XSD1.0] is used to associate a XML Instance Document to a published schema XSD document.

The DOCSIS XML Schema location is defined and maintained by CableLabs as:

http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/<Service-Definition-Schema>_3.5.1-A.1.xsd

Note: The schema location is a Uniform Resource Location (URL) which points to the actual schema file.

III.3 DIAG-LOG-TYPE

This section provides a sample XML Instance Document for the Diagnostic Log Service Definition, DIAG-LOG-TYPE and corresponding XML Schema DOCSIS-DIAG-LOG-TYPE_3.5.1-A.1.xsd.

III.3.1 Use Case

The CMTS "cmts01.mso.com" logs an entry in its diagnostic log for the CM with MAC Address 00-09-36-A7-70-89 when the CM fails to register. The CM last registered at 9:15 on 06/04/2006. The registration trigger count has reached 3. The CM was originally added to the diagnostic log at 9:30 on 06/04/2006. The latest trigger occurred at 6:30 on 06/05/2006. The CMTS streams this information to a Collector as shown in the following instance document.

III.3.2 Instance Document

```
<?xml version="1.0"?>
<ipdr:IPDRDoc xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
         xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
         xmlns="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-
LOG-TYPE"
         xmlns:DOCSIS-DIAG-
LOG="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG"
         xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
         xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
         xsi:schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/D
OCSIS-DIAG-LOG-TYPE
           http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-
TYPE/DOCSIS-DIAG-LOG-TYPE_3.5.1-A.1.xsd"
         docId="3d07ba27-0000-0000-0000-1a2b3c4d5e6f"
         creationTime="2006-06-05T07:11:00Z"
         IPDRRecorderInfo="cmts01.mso.com"
         version="3.5.1-A.1">
```

```
    <ipdr:IPDR xsi:type="DIAG-LOG-TYPE">
        <DOCSIS-CM:CmMacAddr>00-09-36-A7-70-89</DOCSIS-CM:CmMacAddr>
        <DOCSIS-DIAG-LOG:LastUpdateTime>2006-06-05T06:30:00Z</DOCSIS-DIAG-
LOG:LastUpdateTime>
        <DOCSIS-DIAG-LOG:CreateTime>2006-06-04T09:30:00Z</DOCSIS-DIAG-LOG:CreateTime>
        <DOCSIS-DIAG-LOG:LastRegTime>2006-06-04T09:15:00Z</DOCSIS-DIAG-
LOG:LastRegTime>
        <DOCSIS-DIAG-LOG:RegCount>3</DOCSIS-DIAG-LOG:RegCount>
        <DOCSIS-DIAG-LOG:RangingRetryCount>0</DOCSIS-DIAG-LOG:RangingRetryCount>
        <DOCSIS-REC:RecType>1</DOCSIS-REC:RecType>
    </ipdr:IPDR>
    <ipdr:IPDRDoc.End count="1" endTime="2006-06-05T07:15:00Z"/>
</ipdr:IPDRDoc>
```

III.4 DIAG-LOG-DETAIL-TYPE

This section provides a sample XML Instance Document for the Diagnostic Log Service Definition, DIAG-LOG-DETAIL-TYPE and corresponding XML Schema DOCSIS-DIAG-LOG-DETAIL-TYPE_3.5.1-A.1.xsd.

III.4.1 Use Case

The CMTS "cmts01.mso.com" logs an entry in its diagnostic log for the CM with MAC Address 00-09-36-A7-70-89 when the CM fails to register. The CM last triggered a registration diagnostic log entry at 6:30 on 06/05/2006. The detail Count of 1 represents the total number of times the CM had reached the startRegistration (TypeValue=11) state before failing the registration process. The corresponding event is:

<73000401> Service Unavailable – Unrecognized configuration setting

The CMTS streams this information to a Collector as shown in the following instance document.

III.4.2 Instance Document

```
<?xml version="1.0"?>
<ipdr:IPDRDoc xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
         xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
         xmlns="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-
LOG-DETAIL-TYPE"
         xmlns:DOCSIS-DIAG-LOG-
DETAIL="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-
DETAIL"
         xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
         xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
         xsi:schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/D
OCSIS-DIAG-LOG-DETAIL-TYPE
           http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-
DETAIL-TYPE/DOCSIS-DIAG-LOG-DETAIL-TYPE_3.5.1-A.1.xsd"
         docId="3d07ba27-0000-0000-0000-1a2b3c4d5e6f"
         creationTime="2006-06-05T07:11:00Z"
         IPDRRecorderInfo="cmts01.mso.com"
         version="3.5.1-A.1">
    <ipdr:IPDR xsi:type="DIAG-LOG-DETAIL-TYPE">
        <DOCSIS-CM:CmMacAddr>00-09-36-A7-70-89</DOCSIS-CM:CmMacAddr>
        <DOCSIS-DIAG-LOG-DETAIL:TypeValue>11</DOCSIS-DIAG-LOG-DETAIL:TypeValue>
        <DOCSIS-DIAG-LOG-DETAIL:Count>1</DOCSIS-DIAG-LOG-DETAIL:Count>
        <DOCSIS-DIAG-LOG-DETAIL:LastUpdate>2006-06-05T06:30:00Z</DOCSIS-DIAG-LOG-
DETAIL:LastUpdate>
        <DOCSIS-DIAG-LOG-DETAIL:LastErrorText>
            &lt;73000401&gt; Service Unavailable - Unrecognized configuration setting
        </DOCSIS-DIAG-LOG-DETAIL:LastErrorText>
        <DOCSIS-REC:RecType>1</DOCSIS-REC:RecType>
    </ipdr:IPDR>
```

```
    <ipdr:IPDRDoc.End count="1" endTime="2006-06-05T07:15:00Z"/>
</ipdr:IPDRDoc>
```

III.5 DIAG-LOG-EVENT-TYPE

This section provides a sample XML Instance Document for the Diagnostic Log Service Definition, DIAG-LOG-EVENT-TYPE and corresponding XML Schema DOCSIS-DIAG-LOG-EVENT-TYPE_3.5.1-A.1.xsd.

III.5.1 Use Case

At the CMTS sysUpTime "2226878", the CMTS "cmts01.mso.com" detects a diagnostic log trigger for the CM with MAC Address 00-09-36-A7-70-89 when the CM fails to register (TriggerFlagValue of 1 indicates a registration trigger). The CM had reached the startRegistration (TypeValue=11) state before failing the registration process. The corresponding event is:

<73000401> Service Unavailable – Unrecognized configuration setting

Since the RecType value of 4 indicates an event based record, the CMTS autonomously streams this information to a Collector as shown in the following instance document.

III.5.2 Instance Document

```
<?xml version="1.0"?>
<ipdr:IPDRDoc xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
         xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
         xmlns="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-
LOG-EVENT-TYPE"
         xmlns:DOCSIS-DIAG-
LOG="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG"
         xmlns:DOCSIS-DIAG-LOG-
DETAIL="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-
DETAIL"
         xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
         xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
         xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
         xsi:schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/D
OCSIS-DIAG-LOG-EVENT-TYPE
           http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-DIAG-LOG-
EVENT-TYPE/DOCSIS-DIAG-LOG-EVENT-TYPE_3.5.1-A.1.xsd"
         docId="3d07ba27-0000-0000-0000-1a2b3c4d5e6f"
         creationTime="2006-06-05T07:11:00Z"
         IPDRRecorderInfo="cmts01.mso.com"
         version="3.5.1-A.1">
    <ipdr:IPDR xsi:type="DIAG-LOG-EVENT-TYPE">
        <DOCSIS-CM:CmMacAddr>00-09-36-A7-70-89</DOCSIS-CM:CmMacAddr>
        <DOCSIS-CMTS:CmtsSysUpTime>2226878</DOCSIS-CMTS:CmtsSysUpTime>
        <DOCSIS-DIAG-LOG:TriggerFlagValue>1</DOCSIS-DIAG-LOG:TriggerFlagValue>
        <DOCSIS-DIAG-LOG-DETAIL:TypeValue>11</DOCSIS-DIAG-LOG-DETAIL:TypeValue>
        <DOCSIS-DIAG-LOG-DETAIL:LastErrorText>
            &lt;73000401&gt; Service Unavailable - Unrecognized configuration setting
        </DOCSIS-DIAG-LOG-DETAIL:LastErrorText>
        <DOCSIS-REC:RecType>4</DOCSIS-REC:RecType>
    </ipdr:IPDR>
    <ipdr:IPDRDoc.End count="1" endTime="2006-06-05T07:15:00Z"/>
</ipdr:IPDRDoc>
```

III.6 SPECTRUM-MEASUREMENT-TYPE

This section provides a sample XML Instance Document for the Spectrum Measurement Service Definition, SPECTRUM-MEASUREMENT-TYPE and corresponding XML Schema DOCSIS-SPECTRUM-MEASUREMENT-TYPE_3.5.1-A.1.xsd.

III.6.1 Use Case

Refer to "Use Case 3 Data Analysis" in Appendix V for the Use Case defining the following XML Instance Document.

This instance document includes the "current" data plot from the Use Case mentioned above. For clarity, each eight data points in the element SpectrumAnalysisMeasAmplitude of the XML Instance Document are shown per line inside the comment above the element instance. The Center Frequency data is indicated in one line alone (i.e., "FFF5"). Each data point in the comment is delimited with a single space for readability and is not part of the actual XML Instance Document.

III.6.2 Instance Document

```
<ipdr:IPDRDoc xmlns="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
SPECTRUM-MEASUREMENT-TYPE" xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
             xmlns:DOCSIS-
SPECTRUM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SPECTRUM"
xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
IPDRRecorderInfo="cmts01.mso.com"
             creationTime="2006-06-05T07:11:00Z" docId="3d07ba27-0000-0000-0000-
1a2b3c4d5e6f" version="3.5.1-A.1"
             xsi:schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/i
pdr/DOCSIS-SPECTRUM-MEASUREMENT-TYPE
             http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SPECTRUM-
MEASUREMENT-TYPE/DOCSIS-SPECTRUM-MEASUREMENT-TYPE_3.5.1-A.1.xsd">
    <ipdr:IPDR xsi:type="SPECTRUM-MEASUREMENT-TYPE">
        <DOCSIS-CMTS:CmtsHostName>cmts01.mso.com.</DOCSIS-CMTS:CmtsHostName>
        <DOCSIS-CMTS:CmtsSysUpTime>2226878</DOCSIS-CMTS:CmtsSysUpTime>
        <DOCSIS-SPECTRUM:SpectrumAnalysisMeasIfIndex>5</DOCSIS-
SPECTRUM:SpectrumAnalysisMeasIfIndex>
        <DOCSIS-SPECTRUM:SpectrumAnalysisMeasChCenterFreq>25000000</DOCSIS-
SPECTRUM:SpectrumAnalysisMeasChCenterFreq>
        <DOCSIS-SPECTRUM:SpectrumAnalysisMeasFreqSpan>6400000</DOCSIS-
SPECTRUM:SpectrumAnalysisMeasFreqSpan>
        <DOCSIS-SPECTRUM:SpectrumAnalysisMeasNumOfBins>257</DOCSIS-
SPECTRUM:SpectrumAnalysisMeasNumOfBins>
        <DOCSIS-SPECTRUM:SpectrumAnalysisMeasResolutionBW>25000</DOCSIS-
SPECTRUM:SpectrumAnalysisMeasResolutionBW>
        <DOCSIS-SPECTRUM:SpectrumAnalysisMeasBinSpacing>12500</DOCSIS-
SPECTRUM:SpectrumAnalysisMeasBinSpacing>
        <!-- The following data instance is formatted for readability
            F07A F7F4 FC64 FE23 FEDE FFF7 FFDF FFF9
            FFFA FFFC FFF8 FFF0 FFF7 000F 000C FFF7
            0009 001B FFE8 FFFE FFDA FFE9 FFFE FFEB
            0007 0001 0002 0004 000A 0014 FFFD 000C
            FFFB 0029 000A FFFB FFFA FFDC 000B FFFA
            FFF8 0003 FFF3 000E FFEF FFE6 FFFE FFF3
            FFF7 FFD0 FFF7 0013 FFFD 0009 000D 001A
            0016 FFE4 0013 FFF7 0010 000A 0019 0005
            0019 0000 0003 FFF8 FFDE FFFB 0009 0007
            FFEA FFF5 0006 FFFC 0339 074A 06A4 0010
            0011 0030 FFF1 0022 0028 FFFE FFF3 0001
            0001 FFFF FFF7 001D FFFB FFFB FFED FFFF
            000D FFF7 FFF9 0002 000B FFEB 000B 0018
            0004 001F FFF5 0003 000F 0005 FFE6 001B
            FFFB 000A 0000 000E 000A 0019 0022 0017
            FFED FFEE 000F FFF4 0008 FFE3 FFEC 0020
            FFF5
```

```
            0025 0018 FFD5 FFE8 FFF7 0017 FFF1 0013
            FFFD FFEB 0003 FFFE FFF3 FFF8 0017 0015
            FFEE FFEC FFE6 001A 0029 FFFF FFF7 FFFA
            FFE0 FFF3 000C 0001 0002 000A FFF9 FFE2
            0022 0016 0008 0013 0006 FFFF FFF0 000F
            0000 0006 FFED 001F FFF2 0006 FFFD FFF5
            0000 0019 0009 FFC1 FFE8 0008 0026 001D
            0018 FFFD 0003 FFFE 001D 0009 0004 FFE7
            FFF5 001C 0027 FFE7 000B FFFF FFF0 FFDC
            FFE1 001B 001C 0034 FFFD 0008 0000 0027
            0009 FFF0 FFF2 FFFE FFFA FFFB 0014 0016
            FFFE FFFE 0018 0000 0006 FFDC FFF6 FFFE
            FFFF 000A 000E 0015 0023 FFF5 0001 000C
            000B 0001 FFF9 000E 0024 FFF7 0000 FFFE
            0022 FFEF 000F FFFC 0002 0004 0011 FFF2
            000D FFFB 000F FEFA FE39 FBED F87E F098 -->
        <DOCSIS-
SPECTRUM:SpectrumAnalysisMeasAmplitude>F07AF7F4FC64FE23FEDEFFF7FFDFFFF9FFFAFFFCFFF8FFF
0FFF7000F000CFFF70009001BFFE8FFFEFFDAFFE9FFFEFFEB00070001000200040000A0014FFFD000CFFFB0
029000AFFFBFFFAFFDC000BFFFAFFF80003FFF3000EFFEFFFE6FFFEFFF3FFF7FFD0FFF70013FFFD0009000
D001A0016FFE40013FFF70010000A00190005001900000003FFF8FFDEFFFB00090007FFEAFFF50006FFFC0
339074A06A4001000110030FFF100220028FFFEFFF300010001FFFFFFF7001DFFFBFFFBFFEDFFFF000DFFF
7FFF90002000BFFEB000B00180004001FFFF50003000F0005FFE6001BFFFB000A0000000E000A001900220
017FFEDFFEE000FFFF40008FFE3FFEC0020FFF500250018FFD5FFE8FFF70017FFF10013FFFDFFEB0003FFF
EFFF3FFF800170015FFEEFFECFFE6001A0029FFFFFFF7FFFAFFE0FFF3000C00010002000AFFF9FFE200220
016000800130006FFFFFFF0000F00000006FFED001FFFF20006FFFDFFF5000000190009FFC1FFE80008002
6001D0018FFFD0003FFFE001D00090004FFE7FFF5001C0027FFE7000BFFFFFFFF0FFDCFFE1001B001C0034F
FFD0008000000270009FFF0FFF2FFFEFFFAFFFB00140016FFFEFFFE001800000006FFDCFFF6FFFEFFFF000
A000E00150023FFF50001000C000B0001FFF9000E0024FFF70000FFFE0022FFEF000FFFFC000200040011F
FF2000DFFFB000FFEFAFE39FBEDF87EF098</DOCSIS-SPECTRUM:SpectrumAnalysisMeasAmplitude>
    </ipdr:IPDR>
    <ipdr:IPDRDoc.End count="1" endTime="2006-06-05T07:15:00Z"></ipdr:IPDRDoc.End>
</ipdr:IPDRDoc>
```

III.7 CMTS-CM-US-STATS-TYPE[176]

This section provides a sample XML Instance Document for the CMTS CM Upstream Statistics Service Definition, CMTS-CM-US-STATS-TYPE and corresponding XML Schema DOCSIS-CMTS-CM-US-STATS-TYPE_3.5.1-A.1.xsd.

III.7.1 Use Case

At a CMTS sysUpTime of "2226878", the CMTS "cmts01.mso.com" with MAC Domain ifName of "Int0/1" and MAC Domain ifIndex of "456", streams the upstream status information of a CM with MAC Address "00-09-36-A7-70-89" connected to upstream channel ifName of "Int/0/1/4" and upstream channel ifIndex of "17". In addition, the CmRegStatusId of "1" and the following upstream status information of CM are included in the record:

ModulationType = 1

RxPower = -5

SignalNoise = 361

Microreflections = 0

EqData = 0x0401080000700028ff60ffa0018000783db000000080fe98ff70ffe8ff58003800480138

Unerroreds = 219678

Correcteds = 10

Uncorrectables = 5

[176] Section revised per OSSIv3.0-N-07.0410-4, #15 on 5/8/07 by KN.

HighResolutionTimingOffset = 5

IsMuted = 0

RangingStatus = 4

III.7.2 Instance Document

```
<?xml version="1.0"?>
<ipdr:IPDRDoc xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
         xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
         xmlns="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-
CM-US-STATS-TYPE"
         xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
         xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
         xmlns:DOCSIS-CMTS-CM-
US="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-US"
         xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
         xsi:schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/D
OCSIS-CMTS-CM-US-STATS-TYPE
                http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-
US-STATS-TYPE/DOCSIS-CMTS-CM-US-STATS-TYPE_3.5.1-A.1.xsd"
         docId="3d07ba27-0000-0000-0000-1a2b3c4d5e6f"
         creationTime="2006-06-05T07:11:00Z"
         IPDRRecorderInfo="cmts01.mso.com"
         version="3.5.1-A.1">
<ipdr:IPDR xsi:type="CMTS-CM-US-STATS-TYPE">
        <DOCSIS-CMTS:CmtsHostName>cmts01.mso.com</DOCSIS-CMTS:CmtsHostName>
        <DOCSIS-CMTS:CmtsSysUpTime>2226878</DOCSIS-CMTS:CmtsSysUpTime>
        <DOCSIS-CMTS:CmtsMdIfName>Int0/1</DOCSIS-CMTS:CmtsMdIfName>
        <DOCSIS-CMTS:CmtsMdIfIndex>456</DOCSIS-CMTS:CmtsMdIfIndex>
        <DOCSIS-CM:CmMacAddr>00-09-36-A7-70-89</DOCSIS-CM:CmMacAddr>
        <DOCSIS-CM:CmRegStatusId>1</DOCSIS-CM:CmRegStatusId>
        <DOCSIS-CMTS-CM-US:CmtsCmUsChIfName>Int/0/1/4</DOCSIS-CMTS-CM-
US:CmtsCmUsChIfName>
        <DOCSIS-CMTS-CM-US:CmtsCmUsChIfIndex>17</DOCSIS-CMTS-CM-US:CmtsCmUsChIfIndex>
        <DOCSIS-CMTS-CM-US:CmtsCmUsModulationType>1</DOCSIS-CMTS-CM-
US:CmtsCmUsModulationType>
        <DOCSIS-CMTS-CM-US:CmtsCmUsRxPower>-5</DOCSIS-CMTS-CM-US:CmtsCmUsRxPower>
        <DOCSIS-CMTS-CM-US:CmtsCmUsSignalNoise>361</DOCSIS-CMTS-CM-
US:CmtsCmUsSignalNoise>
        <DOCSIS-CMTS-CM-US:CmtsCmUsMicroreflections>0</DOCSIS-CMTS-CM-
US:CmtsCmUsMicroreflections>
        <DOCSIS-CMTS-CM-US:CmtsCmUsEqData>
            0401080000700028ff60ffa0018000783db000000080fe98ff70ffe8ff58003800480138
        </DOCSIS-CMTS-CM-US:CmtsCmUsEqData>
        <DOCSIS-CMTS-CM-US:CmtsCmUsUnerroreds>219678</DOCSIS-CMTS-CM-
US:CmtsCmUsUnerroreds>
        <DOCSIS-CMTS-CM-US:CmtsCmUsCorrecteds>10</DOCSIS-CMTS-CM-
US:CmtsCmUsCorrecteds>
        <DOCSIS-CMTS-CM-US:CmtsCmUsUncorrectables>5</DOCSIS-CMTS-CM-
US:CmtsCmUsUncorrectables>
        <DOCSIS-CMTS-CM-US:CmtsCmUsHighResolutionTimingOffset>5</DOCSIS-CMTS-CM-
US:CmtsCmUsHighResolutionTimingOffset>
        <DOCSIS-CMTS-CM-US:CmtsCmUsIsMuted>0</DOCSIS-CMTS-CM-US:CmtsCmUsIsMuted>
        <DOCSIS-CMTS-CM-US:CmtsCmUsRangingStatus>4</DOCSIS-CMTS-CM-
US:CmtsCmUsRangingStatus>
        <DOCSIS-REC:RecType>1</DOCSIS-REC:RecType>
    </ipdr:IPDR>
    <ipdr:IPDRDoc.End count="1" endTime="2006-06-05T07:15:00Z"/>
</ipdr:IPDRDoc>
```

III.8 CMTS-CM-REG-STATUS-TYPE[177]

This section provides a sample XML Instance Document for the CMTS CM Registration Status Service Definition, CMTS-CM-REG-STATUS-TYPE and corresponding XML Schema DOCSIS-CMTS-CM-REG-STATUS-TYPE_3.5.1-A.1.xsd.

III.8.1 Use Case

At a CMTS sysUpTime of "2226878", the CMTS "cmts01.mso.com" with MAC Domain ifName of "Int0/1" and MAC Domain ifIndex of "456", streams the registration status information of a CM with MAC Address "00-09-36-A7-70-89", having an ip4Address of "55.12.48.113", ipv6Address of "2001:0400:0000:0000:0209:36FF:FEA7:7089", ipv6 link local address of "FE80:0000:0000:0000:0209:36FF:FEA7:7089, registration status value of "8" and ServiceType as "2"(DOCSIS 1.1 QoS mode). The CM last registered with the CMTS at 9:15GMT on 06/04/2006. In addition, the CMTS CM Channel information consisting of MAC Domain Cable Modem Service Group Id of "17", Receive Channel Profile Id of "MYCID", Receive Channel Configuration status Id of "5", Receive Channel Set Id of "5' and Transmit Channel Set If of "5" is also included in the record.

III.8.2 Instance Document

```
<?xml version="1.0"?>
<ipdr:IPDRDoc xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
         xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
         xmlns="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-
CM-REG-STATUS-TYPE"
         xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
         xmlns:DOCSIS-CMTS-CM-NODE-
CH="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-NODE-CH"
         xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
         xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
         xsi:schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/D
OCSIS-CMTS-CM-REG-STATUS-TYPE
             http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-CM-
REG-STATUS-TYPE/DOCSIS-CMTS-CM-REG-STATUS-TYPE_3.5.1-A.1.xsd"
         docId="3d07ba27-0000-0000-0000-1a2b3c4d5e6f"
         creationTime="2006-06-05T07:11:00Z"
         IPDRRecorderInfo="cmts01.mso.com"
         version="3.5.1-A.1">
<ipdr:IPDR xsi:type="CMTS-CM-REG-STATUS-TYPE">
        <DOCSIS-CMTS:CmtsHostName>cmts01.mso.com</DOCSIS-CMTS:CmtsHostName>
        <DOCSIS-CMTS:CmtsSysUpTime>2226878</DOCSIS-CMTS:CmtsSysUpTime>
        <DOCSIS-CMTS:CmtsMdIfName>Int0/1</DOCSIS-CMTS:CmtsMdIfName>
        <DOCSIS-CMTS:CmtsMdIfIndex>456</DOCSIS-CMTS:CmtsMdIfIndex>
        <DOCSIS-CMTS-CM-NODE-CH:CmtsMdCmSgId>17</DOCSIS-CMTS-CM-NODE-CH:CmtsMdCmSgId>
        <DOCSIS-CMTS-CM-NODE-CH:CmtsRcpId>MYCID</DOCSIS-CMTS-CM-NODE-CH:CmtsRcpId>
        <DOCSIS-CMTS-CM-NODE-CH:CmtsRccStatusId>5</DOCSIS-CMTS-CM-NODE-
CH:CmtsRccStatusId>
        <DOCSIS-CMTS-CM-NODE-CH:CmtsRcsId>5</DOCSIS-CMTS-CM-NODE-CH:CmtsRcsId>
        <DOCSIS-CMTS-CM-NODE-CH:CmtsTcsId>5</DOCSIS-CMTS-CM-NODE-CH:CmtsTcsId>
        <DOCSIS-CM:CmMacAddr>00-09-36-A7-70-89</DOCSIS-CM:CmMacAddr>
        <DOCSIS-CM:CmIpv4Addr>55.12.48.113</DOCSIS-CM:CmIpv4Addr>
        <DOCSIS-CM:CmIpv6Addr>2001:0400:0000:0000:0209:36FF:FEA7:7089</DOCSIS-
CM:CmIpv6Addr>
        <DOCSIS-
CM:CmIpv6LinkLocalAddr>FE80:0000:0000:0000:0209:36FF:FEA7:7089</DOCSIS-
CM:CmIpv6LinkLocalAddr>
        <DOCSIS-CM:CmServiceType>2</DOCSIS-CM:CmServiceType>
```

[177] Section revised per OSSIv3.0-N-07.0447-2, #9 on 5/10/07 by KN.

```
        <DOCSIS-CM:CmRegStatusValue>8</DOCSIS-CM:CmRegStatusValue>
        <DOCSIS-CM:CmLastRegTime>2006-06-04T09:15:00Z</DOCSIS-CM:CmLastRegTime>
        <DOCSIS-REC:RecType>1</DOCSIS-REC:RecType>
        <DOCSIS-REC:RecCreationTime>2006-06-05T07:11:00Z</DOCSIS-REC:RecCreationTime>
    </ipdr:IPDR>
    <ipdr:IPDRDoc.End count="1" endTime="2006-06-05T07:15:00Z"/>
</ipdr:IPDRDoc>
```

III.9 CMTS-TOPOLOGY-TYPE

This section provides a sample XML Instance Document for the CMTS Topology Service Definition, CMTS-TOPOLOGY-TYPE and corresponding XML Schema DOCSIS-CMTS-TOPOLOGY-TYPE_3.5.1-A.1.xsd.

III.9.1 Use Case

At a CMTS sysUpTime of "2226878", the CMTS "cmts01.mso.com" with ipv4Address of "10.40.57.11", ipv6Address of "2001:0400:0000:0000:0000:FF00:FE00:0000", MAC Domain ifName of "Int0/1" and MAC Domain ifIndex of "456", streams the topology information consisting of Node Name as "DENVER288", MAC Domain Cable Modem Service Group Id of "1010", MAC Domain Downstream Service Group Id of "2", MAC Domain Upstream Service Group Id "5", MAC Domain Downstream Service Group Channel List of "01020304" and MAC Domain Upstream Service Group Channel List of "0A0B0C3D".

III.9.2 Instance Document

```
<?xml version="1.0"?>
<ipdr:IPDRDoc xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
         xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
         xmlns="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-
TOPOLOGY-TYPE"
         xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
         xmlns:DOCSIS-MD-
NODE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-MD-NODE"
         xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
         xsi:schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/D
OCSIS-CMTS-TOPOLOGY-TYPE
             http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS-
TOPOLOGY-TYPE/DOCSIS-CMTS-TOPOLOGY-TYPE_3.5.1-A.1.xsd"
         docId="3d07ba27-0000-0000-0000-1a2b3c4d5e6f"
         creationTime="2006-06-05T07:11:00Z"
         IPDRRecorderInfo="cmts01.mso.com"
         version="3.5.1-A.1">
<ipdr:IPDR xsi:type="CMTS-TOPOLOGY-TYPE">
        <DOCSIS-CMTS:CmtsHostName>cmts01.mso.com</DOCSIS-CMTS:CmtsHostName>
        <DOCSIS-CMTS:CmtsSysUpTime>2226878</DOCSIS-CMTS:CmtsSysUpTime>
        <DOCSIS-CMTS:CmtsIpv4Addr>10.40.57.11</DOCSIS-CMTS:CmtsIpv4Addr>
        <DOCSIS-CMTS:CmtsIpv6Addr>2001:0400:0000:0000:0000:FF00:FE00:0000</DOCSIS-
CMTS:CmtsIpv6Addr>
        <DOCSIS-CMTS:CmtsMdIfName>Int0/1</DOCSIS-CMTS:CmtsMdIfName>
        <DOCSIS-CMTS:CmtsMdIfIndex>456</DOCSIS-CMTS:CmtsMdIfIndex>
        <DOCSIS-MD-NODE:CmtsNodeName>DENVER2881</DOCSIS-MD-NODE:CmtsNodeName>
        <DOCSIS-MD-NODE:CmtsMdCmSgId>1010</DOCSIS-MD-NODE:CmtsMdCmSgId>
        <DOCSIS-MD-NODE:CmtsMdDsSgId>2</DOCSIS-MD-NODE:CmtsMdDsSgId>
        <DOCSIS-MD-NODE:CmtsMdUsSgId>5</DOCSIS-MD-NODE:CmtsMdUsSgId>
        <DOCSIS-MD-NODE:CmtsMdDsSgChList>01020304</DOCSIS-MD-NODE:CmtsMdDsSgChList>
        <DOCSIS-MD-NODE:CmtsMdUsSgChList>0A0B0C3D</DOCSIS-MD-NODE:CmtsMdUsSgChList>
        <DOCSIS-REC:RecType>1</DOCSIS-REC:RecType>
    </ipdr:IPDR>
    <ipdr:IPDRDoc.End count="1" endTime="2006-06-05T07:15:00Z"/>
</ipdr:IPDRDoc>
```

III.10 CPE-TYPE

This section provides a sample XML Instance Document for the CPE Service Definition, CPE-TYPE and corresponding XML Schema DOCSIS-CPE-TYPE_3.5.1-A.1.xsd.

III.10.1 Use Case

At a CMTS sysUpTime of "2226878", the CMTS "cmts01.mso.com" streams the CPE record for a CPE with MAC Address 00-08-22-B4-66-90 corresponding to a CM with MAC Address 00-09-36-A7-70-89 and a CMTS MAC Domain ifName of "Int0/1" and ifIndex of 456. In addition, the CPE IPv4 address of 192.168.0.11, IPv6 address of 2001:0400:0000:0000:0000:1000:FFFF:0000 and FQDN of "somehost.example.com." are included in the record.

III.10.2 Instance Document

```
<?xml version="1.0"?>
<ipdr:IPDRDoc xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
              xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
              xmlns="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
CPE-TYPE"
              xmlns:DOCSIS-
CPE="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CPE"
              xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
              xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
              xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
              xsi:schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/i
pdr/DOCSIS-CPE-TYPE
                  http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CPE-
TYPE/DOCSIS-CPE-TYPE_3.5.1-A.1.xsd"
              docId="3d07ba27-0000-0000-0000-1a2b3c4d5e6f" version="3.5.1-A.1"
creationTime="2006-06-05T07:11:00Z" IPDRRecorderInfo="cmts01.mso.com">
    <ipdr:IPDR xsi:type="CPE-TYPE">
        <DOCSIS-CMTS:CmtsHostName>cmts01.mso.com.</DOCSIS-CMTS:CmtsHostName>
        <DOCSIS-CMTS:CmtsSysUpTime>2226878</DOCSIS-CMTS:CmtsSysUpTime>
        <DOCSIS-CMTS:CmtsMdIfName>Int0/1</DOCSIS-CMTS:CmtsMdIfName>
        <DOCSIS-CMTS:CmtsMdIfIndex>456</DOCSIS-CMTS:CmtsMdIfIndex>
        <DOCSIS-CM:CmMacAddr>00-09-36-A7-70-89</DOCSIS-CM:CmMacAddr>
        <DOCSIS-REC:RecType>1</DOCSIS-REC:RecType>
        <DOCSIS-CPE:CpeMacAddr>00-08-22-B4-66-90</DOCSIS-CPE:CpeMacAddr>
        <DOCSIS-CPE:CpeIpv4Addr>192.168.0.11</DOCSIS-CPE:CpeIpv4Addr>
        <DOCSIS-CPE:CpeIpv6Addr>2001:0400:0000:0000:0000:1000:FFFF:0000</DOCSIS-
CPE:CpeIpv6Addr>
        <DOCSIS-CPE:CpeFqdn>somehost.example.com.</DOCSIS-CPE:CpeFqdn>
    </ipdr:IPDR>
    <ipdr:IPDRDoc.End count="1" endTime="2006-06-05T07:15:00Z"/>
</ipdr:IPDRDoc>
```

III.11 SAMIS-TYPE-1 and SAMIS-TYPE-2

III.11.1 Use Case

The Type 1 and Type 2 XML Instance Documents defined in the following sections represent the same use case, but differ in the amount of data which is streamed. Type 1 streams the full record containing all CMTS, CM and service statistics counters. The optimized record, Type 2, only streams those elements that are needed in each record instance such that correlation can be performed at the collector.

NOTE: The instance documents presented below represent one streaming record for illustrative purposes only. The full set of streaming records for the defined use case are not included.

The use case represented in this section is defined in the following section.

III.11.1.1 Example Usage Record Streaming model Containing diverse services[178]

Table III-1 includes a set of records from a bigger set that contains active Service Flows/ CoS for the collection interval from 10:30 AM to 11:00 AM of a day Nov 10 2004 (30 minutes intervals) PCxx correspond to PacketCable™ 1.5 voice calls; FLPxx correspond to CMs flapping in the registration process after some time being online; CMxx correspond to CMs with steady registration, and passing data. Not all the statistics are presented and for simplicity only Upstream data is shown in this example.

Table III-1 - Sample of records for the period 10:30 to 11:00 AM

Device	TimeStart	TimeEnd	TimeLast (sec)	RecType	Device	TimeStart	TimeEnd	TimeLast (sec)	RecType
PC02	10:42:01	10:44:42	161	Stop	CM08	8:16:46	12:05:34	13728	Interim
PC09	10:35:11	10:36:46	95	Stop	CM09	9:47:07	11:36:04	6537	Interim
FLP01	10:44:33	11:07:30	1377	Interim	CM10	9:48:39	11:49:21	7242	Interim
FLP02	10:13:53	10:34:49	1256	Stop	CM11	9:05:29	12:30:36	12307	Interim
FLP02	10:35:25	10:58:08	1363	Stop	CM12	8:40:34	12:17:30	13016	Interim
FLP02	10:58:47	11:14:39	952	Interim	CM14	8:08:13	11:27:41	11968	Interim
FLP03	10:13:39	10:38:26	1487	Stop	CM15	8:04:46	10:44:59	9613	Stop
FLP03	10:39:00	11:05:32	1592	Interim	CM16	9:31:22	11:18:15	6413	Interim
FLP04	10:17:50	10:33:35	945	Stop	CM17	8:44:49	10:53:03	7694	Stop
FLP04	10:34:11	10:56:43	1352	Stop	CM19	9:07:13	11:28:10	8457	Interim
FLP04	10:57:18	11:13:22	964	Interim	CM24	8:02:37	10:35:35	9178	Stop
CM01	9:06:43	11:50:29	9826	Interim	CM25	10:15:27	11:38:47	5000	Interim
CM02	9:54:13	12:31:34	9441	Interim	CM26	10:57:44	11:26:00	1696	Interim
CM03	9:27:57	12:58:43	12646	Interim	CM29	10:10:35	11:34:02	5007	Interim
CM04	8:56:05	12:07:37	11492	Interim	CM32	10:12:35	11:11:12	3517	Interim
CM05	9:03:01	11:30:46	8865	Interim	CM33	10:10:13	12:20:49	7836	Interim
CM06	9:49:23	12:58:20	11337	Interim	CM34	10:57:58	10:58:41	43	Stop
CM07	8:19:37	12:59:17	16780	Interim	CM36	10:58:36	12:38:25	5989	Interim

Table III-1 shows in the left side, an arbitrary set of active CM services from start to end: Basic, Premium and Business services (SCN being associated by the CMTS) are here static services and PacketCable Services (SCN = G711) represent VoIP calls over PacketCable infrastructure. Note that CMTS have signaled in a proprietary manner a SCN = Basic for CMs in 1.0 mode of operation; this could be considered a CMTS specific feature for filling the SCN with the purpose of aggregating that service segment and does not constitute a CMTS requirement

The right side of Figure III-1 corresponds to the records that are reported for the collector interval 10:30 to 11:00 AM as RecType 'Stop' or 'Interim'.

[178] revised per OSSIv3.0-N-07.0478-2 by ab on 7/12/07.

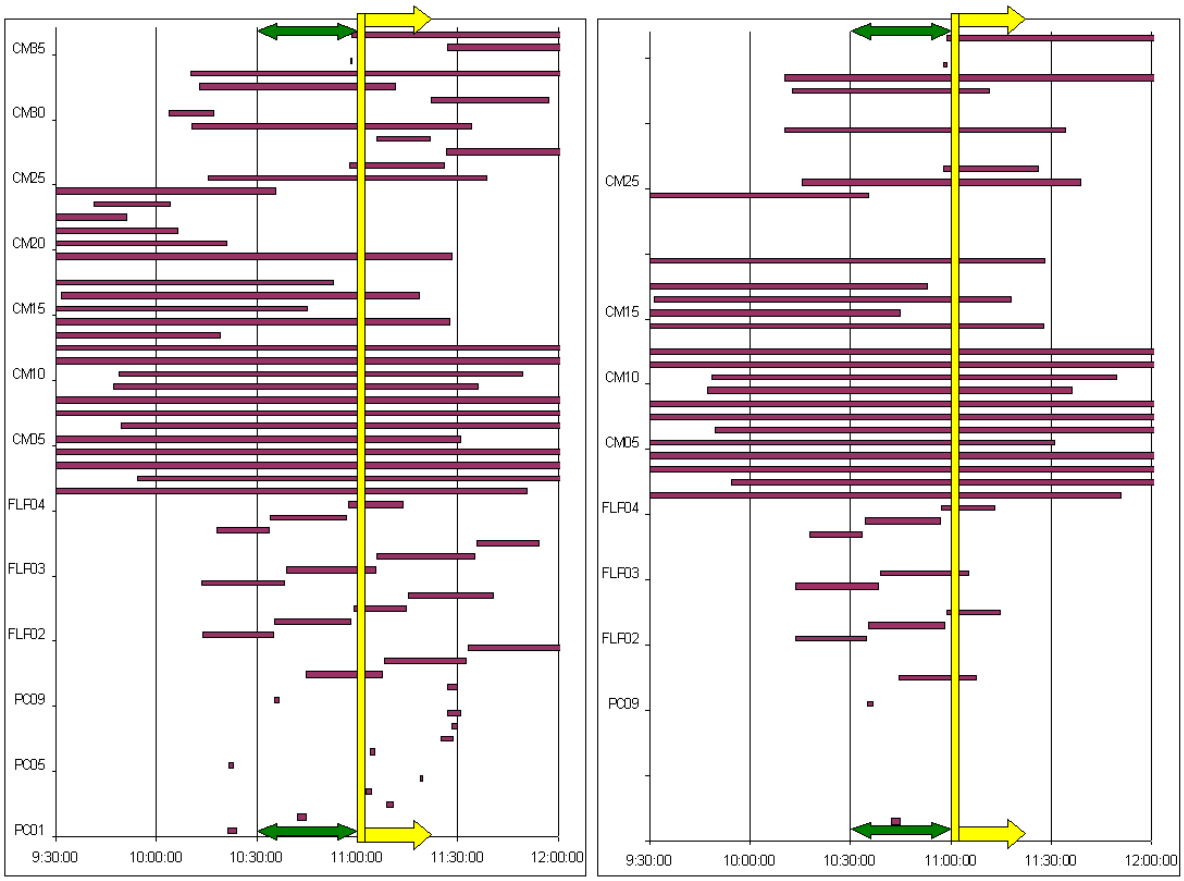


Figure III-1 - Set of CM Services in an arbitrary period of time (Left Graphic)
Set of Records associated to the Collection Interval 10:30 to 11:00 AM (Right Graphic)

One example instance of the corresponding records sent by exporter for the time interval 10:30 to 11:00 AM as indicated in the figures above is represented in the below IPDRDoc XML format. IPDRDoc is expected to be aggregated by the Collector with the IPDR/SP data streamed within the session start stop boundary.

III.11.2 SAMIS Type 1 Instance Document[179]

```
<?xml version='1.0' ?>
<ipdr:IPDRDoc xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
            xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
            xmlns="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
SAMIS-TYPE-1"
            xmlns:DOCSIS-
QOS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-QOS"
            xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
            xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
            xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
            xsi:schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr
/DOCSIS-SAMIS-TYPE-1
               http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SAMIS-
TYPE-1/DOCSIS-SAMIS-TYPE-1_3.5.1-A.1.xsd"
            docId="3d07ba27-0000-0000-0000-1a2b3c4d5e6f"
```

[179] Section revised per OSSIv3.0-N-07.0447-2, #10 on 5/10/07 by KN, and per OSSIv3.0-N-0478-2 by ab on 7/12/07.

```
           version="3.5.1-A.1"
           creationTime="2004-11-10T07:11:05Z"
           IPDRRecorderInfo="cmts01.mso.com">
    <ipdr:IPDR xsi:type="SAMIS-TYPE-1">
        <DOCSIS-CMTS:CmtsHostName>cmts01.mso.com.</DOCSIS-CMTS:CmtsHostName>
        <DOCSIS-CMTS:CmtsSysUpTime>2226878</DOCSIS-CMTS:CmtsSysUpTime>
        <DOCSIS-CMTS:CmtsIpv4Addr>10.40.57.11</DOCSIS-CMTS:CmtsIpv4Addr>
        <DOCSIS-CMTS:CmtsIpv6Addr>2001:0400:0000:0000:0000:FF00:FE00:0000</DOCSIS-
CMTS:CmtsIpv6Addr>
        <DOCSIS-CMTS:CmtsMdIfName>Int0/1</DOCSIS-CMTS:CmtsMdIfName>
        <DOCSIS-CMTS:CmtsMdIfIndex>456</DOCSIS-CMTS:CmtsMdIfIndex>
        <DOCSIS-CM:CmMacAddr>00-09-36-A7-70-89</DOCSIS-CM:CmMacAddr>
        <DOCSIS-CM:CmIpv4Addr>55.12.48.113</DOCSIS-CM:CmIpv4Addr>
        <DOCSIS-CM:CmIpv6Addr>2001:0400:0000:0000:0000:1000:FF00:0000</DOCSIS-
CM:CmIpv6Addr>
        <DOCSIS-
CM:CmIpv6LinkLocalAddr>FE80:0000:0000:0000:0209:36FF:FEA7:7089</DOCSIS-
CM:CmIpv6LinkLocalAddr>
        <DOCSIS-CM:CmServiceType>2</DOCSIS-CM:CmServiceType>
        <DOCSIS-CM:CmRegStatusValue>8</DOCSIS-CM:CmRegStatusValue>
        <DOCSIS-CM:CmLastRegTime>2006-06-04T09:15:00Z</DOCSIS-CM:CmLastRegTime>
        <DOCSIS-REC:RecType>1</DOCSIS-REC:RecType>
        <DOCSIS-REC:RecCreationTime>2004-11-10T07:11:05Z</DOCSIS-REC:RecCreationTime>
        <DOCSIS-QOS:ServiceFlowChSet>01020304</DOCSIS-QOS:ServiceFlowChSet>
        <DOCSIS-QOS:ServiceType>10000</DOCSIS-QOS:ServiceType>
        <DOCSIS-QOS:ServiceDsMulticast>false</DOCSIS-QOS:ServiceDsMulticast>
        <DOCSIS-QOS:ServiceIdentifier>361</DOCSIS-QOS:ServiceIdentifier>
        <DOCSIS-QOS:ServiceGateId>500</DOCSIS-QOS:ServiceGateId>
        <DOCSIS-QOS:ServiceClassName>Premium</DOCSIS-QOS:ServiceClassName>
        <DOCSIS-QOS:ServiceDirection>2</DOCSIS-QOS:ServiceDirection>
        <DOCSIS-QOS:ServiceOctetsPassed>16486400</DOCSIS-QOS:ServiceOctetsPassed>
        <DOCSIS-QOS:ServicePktsPassed>82431</DOCSIS-QOS:ServicePktsPassed>
        <DOCSIS-QOS:ServiceSlaDropPkts>412</DOCSIS-QOS:ServiceSlaDropPkts>
        <DOCSIS-QOS:ServiceSlaDelayPkts>8</DOCSIS-QOS:ServiceSlaDelayPkts>
        <DOCSIS-QOS:ServiceTimeCreated>2210822</DOCSIS-QOS:ServiceTimeCreated>
        <DOCSIS-QOS:ServiceTimeActive>161</DOCSIS-QOS:ServiceTimeActive>
    </ipdr:IPDR>
    <ipdr:IPDRDoc.End count="1" endTime="2004-11-10T07:11:08Z"/>
</ipdr:IPDRDoc>
```

III.11.3 SAMIS Type 2 Instance Document[180]

```
<?xml version='1.0' ?>
<ipdr:IPDRDoc xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
           xmlns:ipdr="http://www.ipdr.org/namespaces/ipdr"
           xmlns="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-
SAMIS-TYPE-2"
           xmlns:DOCSIS-
QOS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-QOS"
           xmlns:DOCSIS-
CMTS="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CMTS"
           xmlns:DOCSIS-
CM="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-CM"
           xmlns:DOCSIS-
REC="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-REC"
           xsi:schemaLocation="http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr
/DOCSIS-SAMIS-TYPE-2
              http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SAMIS-
TYPE-2/DOCSIS-SAMIS-TYPE-2_3.5.1-A.1.xsd"
           docId="3d07ba27-0000-0000-0000-1a2b3c4d5e6f"
           version="3.5.1-A.1"
           creationTime="2004-11-10T07:11:05Z"
           IPDRRecorderInfo="cmts01.mso.com">
    <ipdr:IPDR xsi:type="SAMIS-TYPE-2">
        <DOCSIS-CMTS:CmtsHostName>cmts01.mso.com.</DOCSIS-CMTS:CmtsHostName>
```

[180] revised per OSSIv3.0-N-07.0478-2 by ab on 7/12/07.

```
        <DOCSIS-CMTS:CmtsSysUpTime>2226878</DOCSIS-CMTS:CmtsSysUpTime>
        <DOCSIS-CMTS:CmtsMdIfName>Int0/1</DOCSIS-CMTS:CmtsMdIfName>
        <DOCSIS-CMTS:CmtsMdIfIndex>456</DOCSIS-CMTS:CmtsMdIfIndex>
        <DOCSIS-CM:CmMacAddr>00-09-36-A7-70-89</DOCSIS-CM:CmMacAddr>
        <DOCSIS-REC:RecType>1</DOCSIS-REC:RecType>
        <DOCSIS-REC:RecCreationTime>2004-11-10T07:11:05Z</DOCSIS-REC:RecCreationTime>
        <DOCSIS-QOS:ServiceFlowChSet>01020304</DOCSIS-QOS:ServiceFlowChSet>
        <DOCSIS-QOS:ServiceType>10000</DOCSIS-QOS:ServiceType>
        <DOCSIS-QOS:ServiceDsMulticast>false</DOCSIS-QOS:ServiceDsMulticast>
        <DOCSIS-QOS:ServiceIdentifier>361</DOCSIS-QOS:ServiceIdentifier>
        <DOCSIS-QOS:ServiceGateId>500</DOCSIS-QOS:ServiceGateId>
        <DOCSIS-QOS:ServiceClassName>Premium</DOCSIS-QOS:ServiceClassName>
        <DOCSIS-QOS:ServiceDirection>2</DOCSIS-QOS:ServiceDirection>
        <DOCSIS-QOS:ServiceOctetsPassed>16486400</DOCSIS-QOS:ServiceOctetsPassed>
        <DOCSIS-QOS:ServicePktsPassed>82431</DOCSIS-QOS:ServicePktsPassed>
        <DOCSIS-QOS:ServiceSlaDropPkts>412</DOCSIS-QOS:ServiceSlaDropPkts>
        <DOCSIS-QOS:ServiceSlaDelayPkts>8</DOCSIS-QOS:ServiceSlaDelayPkts>
        <DOCSIS-QOS:ServiceTimeCreated>2210822</DOCSIS-QOS:ServiceTimeCreated>
        <DOCSIS-QOS:ServiceTimeActive>161</DOCSIS-QOS:ServiceTimeActive>
    </ipdr:IPDR>
    <ipdr:IPDRDoc.End count="1" endTime="2004-11-10T07:11:08Z"/>
</ipdr:IPDRDoc>
```

Appendix IV IPDR/SP Message Encoding Details

The CMTS encodes the IPDR/SP messages as indicated in the following subsections. Refer to Table 1 of [IPDR/SP] for the complete message set for IPDR/SP including the direction for each message. This section provides an example of the schematic representation of the XDR encoding of the CMTS Subscriber Usage Billing (SAMIS-TYPE-1) messages originating from the CMTS (i.e., Exporter-to-Collector).

IV.1 IPDR/SP Message Header

For details on connection related messages, see the section on Common Header in [IPDR/SP]. The messageLen field value of 'n' denotes the total length of the IPDR/SP Message in octets including the header.

```
<IPDRStreamingHeader>
        <version> 2 </version>
        <!– Encoded as a CONNECT message –>
        <messageId> 0x05 </messageId>
        <!– Encoded as zero since this is a
  connection related message –>
        <sessionId> 0 </sessionId>
        <!— No flags are defined in [IPDR/SP] →
        <messageFlags> 0 </messageFlags>
        <!– A value of n denotes the total length of the IPDR/SP
 Message in octets including the header –>
        <messageLen> n </messageLen>
</IPDRStreamingHeader>
```

IV.2 IPDR/SP Version Discovery Messages

IV.2.1 VERSION REQUEST

```
<VersionRequest>
        <!– The CMTS is using 10.10.3.1 as the IP address –>
        <requesterAddress> 10.10.3.1  </requesterAddress>
        <!– The CMTS boot time in seconds from epoch time –>
        <requesterBootTime> 1157564677261 </requesterBootTime>
        <!– version 2 –>
        <msg> IPDR </msg>
</VersionRequest>
```

IV.2.2 VERSION RESPONSE

```
<VersionResponse>
        <ProtocolInfo>
                <!– using TCP as transportTypeId –>
                <transportType> 1 </transportType>
                <!– IPDR Streaming Protocol version supported →
                <protocolVersion> 2 </protocolVersion>
                <!– The standard TCP port 4737 –>
                <portNumber> 4737 </portNumber>
                <!— unused →
                <reserved> 0 </reserved>
        </ProtocolInfo>
```

```
</VersionResponse>
```

IV.3 IPDR/SP Connection Messages

IV.3.1 CONNECT

```
<Connect>
        <IPDRStreamingHeader>
            <version> 2 </version>
            <!– denotes a CONNECT message –>
            <messageId> 0x05 </messageId>
<!– Encoded as zero since this is a
   connection related message –>
            <sessionId> 0 </sessionId>
            <messageFlags> 0 </messageFlags>
            <messageLength> n </messageLength>
        </IPDRStreamingHeader>
        <!– The CMTS is using 10.10.3.1 as the IP address –>
        <initiatorAddress> 10.10.3.1 </initiatorAddress>
        <!– The standard TCP port 4737 –>
        <initiatorPort> 4737 </initiatorPort>
        <!– The capabilities encoding assumes the Structures bit (S) and
      Template Negotiation bit (T) are both enabled. –>
        <capabilities> 5 </capabilities>
        <!– 60 second keep alive interval –>
        <keepAliveInterval> 60 </keepAliveInterval>
        <!— Vendor Identifier of the connection
  initiator (exporter) → 
        <vendorId> CMTS Vendor XYZ </vendorId>
    </Connect>
```

IV.3.2 CONNECT RESPONSE

```
    <ConnectResponse>
        <IPDRStreamingHeader>
            <version> 2 </version>
            <!– messageId denotes a CONNECT RESPONSE message –>
            <messageId> 0x06 </messageId>
            <sessionId> 0 </sessionId>
            <messageFlags> 0 </messageFlags>
            <messageLength> n </messageLength>
        </IPDRStreamingHeader>
        <!– The capabilities encoding assumes the Structures bit (S) and
            Template Negotiation bit (T) are both enabled. –>
        <capabilities> 5 </capabilities>
        <keepAliveInterval> 60 </keepAliveInterval>
<!— Vendor Identifier of the responder (exporter) → 
        <vendorId> CMTS Vendor XYZ </vendorId>
    </ConnectResponse>
```

IV.3.3 DISCONNECT

```
    <Disconnect>
        <IPDRStreamingHeader>
```

```
                <version> 2 </version>
                <!– messageId denotes a DISCONNECT message –>
                <messageId> 0x07 </messageId>
                <sessionId> 0 </sessionId>
                <messageFlags> 0 </messageFlags>
                <messageLength> n </messageLength>
        </IPDRStreamingHeader>
</Disconnect>
```

IV.4 IPDR/SP Error Messages

```
<Error>
        <IPDRStreamingHeader>
                <version> 2 </version>
                <!– messageId denotes an ERROR message –>
                <messageId> 0x23 </messageId>
                <!– the sessionId in which this error has occurred –>
                <sessionId> session1 </sessionId>
                <messageFlags> 0 </messageFlags>
                <messageLength> n </messageLength>
        </IPDRStreamingHeader>
        <!– time of error in seconds from epoch time –>
        <timeStamp> 1157564677261 </timeStamp>
        <!– this errorCode corresponds to keepalive expired
    error (standard error code 0). It has the MSB (for dataType short)
    set to indicate a session specific error –>
        <errorCode> 32768 </errorCode>
        <!– the standard error description for errorCode 0 –>
        <description> keepalive expired </description>
</Error>
```

IV.5 IPDR/SP Flow Control Messages

IV.5.1 FLOW START/STOP

CMTS expects IPDR collector to issue FLOW START before it can start session transmission. The sessionId in the common header will be 0 if only a single session is supported. If multiple sessions are supported, the sessionId in FLOW START message will be one of the sessionIds configured on the CMTS and the IPDR collector.

If IPDR collector issues FLOW STOP, the current session corresponding to the sessionId in the header will be stopped for transmission. If only a single session is supported, sessionId will be 0. If multiple sessions are supported, the sessionId in the common header of the FLOW STOP message will be one of the sessionIds configured on the CMTS and the IPDR collector.

IV.5.2 SESSION START

```
<SessionStart>
        <IPDRStreamingHeader>
                <version> 2 </version>
                <!– messageId denotes a SESSION START message –>
                <messageId> 0x08 </messageId>
```

```
                <!– uses session1 as the sessionId –>
                <sessionId> session1 </sessionId>
                <messageFlags> 0 </messageFlags>
                <messageLength> n </messageLength>
        </IPDRStreamingHeader>
        <!– boot time of cmts in seconds from epoch time –>
        <exporterBootTime> 1157564677261 </exporterBootTime>
        <!– no records dropped in gap situations –>
<!– uses 1 as the first sequence number of data record –>
        <firstRecordSequenceNumber> 1 </firstRecordSequenceNumber>
        <droppedRecordCount> 0 </droppedRecordCount>
        <!– the primary collector –>
        <primary> 1 </primary>
        <!– uses 30 seconds as the maximum time between acknowledge
            from collector –>
        <ackTimeInterval> 30 </ackTimeInterval>
        <!– number of unacknowledged records is 0 –>
        <ackSequenceInterval> 0 </ackSequenceInterval>
        <!– uses the following UUID in the data being sent
            in this session –>
        <documentId> C8A93279-0000-0000-0000-0002FC84F870 </documentId>
</SessionStart>
```

IV.5.3 SESSION STOP

```
<SessionStop>
        <IPDRStreamingHeader>
                <version> 2 </version>
                <!– messageId denotes a SESSION STOP message –>
                <messageId> 0x09 </messageId>
                <sessionId> session1 </sessionId>
                <messageFlags> 0 </messageFlags>
                <messageLength> n </messageLength>
        </IPDRStreamingHeader>
        <!– this reasonCode denotes end of data for session –>
        <reasonCode> 0 </reasonCode>
        <!– the standard description associated with reasonCode 0 –>
        <reasonInfo> end of data for session </reasonInfo>
</SessionStop>
```

IV.6 IPDR/SP Template Messages

IV.6.1 TEMPLATE DATA [181]

```
<TemplateData>
        <IPDRStreamingHeader>
                <version> 2 </version>
                <!– messageId denotes a TEMPLATE DATA message –>
                <messageId> 0x10 </messageId>
                <sessionId> 0 </sessionId>
                <messageFlags> 0 </messageFlags>
                <messageLength> n </messageLength>
        </IPDRStreamingHeader>
```

[181] Section revised per OSSIv3.0-N-07.0447-2, #11 on 5/10/07 by KN, and per OSSIv3.0-N-07.0478-2 by ab on 7/12/07.

```
            <!– configId 0 denotes Template Set Configuration change
    is not supported –>
            <configId> 0 </configId>
            <!– flags denote non negotiable Template Data message –>
            <flags> 0 </flags>
            <TemplateBlock>
                  <!– The templateId 1 is used by exporter –>
                  <templateId> 1 </templateId>
                  <!– reference to IPDR service specification –>
<schemaName> http://www.cablelabs.com/namespaces/DOCSIS/3.0/xsd/ipdr/DOCSIS-SAMIS-
TYPE-1/DOCSIS-SAMIS-TYPE-1_3.5.1-A.1.xsd
                  </schemaName>
                  <!– reference to typeName –>
                  <typeName> DOCSIS-SAMIS-TYPE-1:SAMIS-TYPE-1 </typeName>
                  <fieldDescriptor>
                        <!– dataType of the filed –>
                        <typeId> string </typeId>
                        <!– field code for this filed –>
                        <fieldId> 1 </fieldId>
                        <!– namespace qualified filed name for CmtsHostName –>
                        <fieldName> DOCSIS-CMTS:CmtsHostName </fieldName>
                        <!– This filed is enabled –>
                        <isEnabled> 1 </isEnabled>
                  </fieldDescriptor>
                  <fieldDescriptor>
                        <typeId> unsignedInt </typeId>
                        <fieldId> 2 </fieldId>
                        <fieldName> DOCSIS-CMTS:CmtsSysUpTime</fieldName>
                        <isEnabled> 1 </isEnabled>
                  </fieldDescriptor>
                  <fieldDescriptor>
                        <typeId> ipV4addr </typeId>
                        <fieldId> 3 </fieldId>
                        <fieldName>
DOCSIS-CMTS:CmtsIpv4Addr
</fieldName>
                        <isEnabled> 1 </isEnabled>
                  </fieldDescriptor>
                  <fieldDescriptor>
                        <typeId> ipV6addr </typeId>
                        <fieldId> 4 </fieldId>
                        <fieldName>
DOCSIS-CMTS:CmtsIpv6Addr
</fieldName>
                        <isEnabled> 1 </isEnabled>
                  </fieldDescriptor>
                  <fieldDescriptor>
                        <typeId> string </typeId>
                        <fieldId> 5 </fieldId>
                        <fieldName>
                              DOCSIS-CMTS:CmtsMdIfName
                        </fieldName>
                        <isEnabled> 1 </isEnabled>
                  </fieldDescriptor>
                  <fieldDescriptor>
                        <typeId> unsignedInt </typeId>
                        <fieldId> 6 </fieldId>
                        <fieldName>
DOCSIS-CMTS:CmtsMdIfIndex
</fieldName>
                        <isEnabled> 1 </isEnabled>
                        </fieldDescriptor>
                  <fieldDescriptor>
```

```
                        <typeId> macAddr </typeId>
                        <fieldId> 7 </fieldId>
                        <fieldName> DOCSIS-CM:CmMacAddr </fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> ipV4Addr </typeId>
                        <fieldId> 8 </fieldId>
                        <fieldName> DOCSIS-CM:CmIpv4Addr </fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> ipV6Addr </typeId>
                        <fieldId> 9 </fieldId>
                        <fieldName> DOCSIS-CM:CmIpv6Addr </fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> ipV6Addr </typeId>
                        <fieldId> 10 </fieldId>
                        <fieldName>
                            DOCSIS-CM:CmIpv6LinkLocalAddr
                        </fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> string </typeId>
                        <fieldId> 11 </fieldId>
                        <fieldName>
                            DOCSIS-CM:CmServiceType
                        </fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> integer </typeId>
                        <fieldId> 12 </fieldId>
                        <fieldName> DOCSIS-CM:CmRegStatusValue </fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> dateTime </typeId>
                        <fieldId> 13 </fieldId>
                        <fieldName>
DOCSIS-CM:CmLastRegTime
</fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> integer </typeId>
                        <fieldId> 14 </fieldId>
                        <fieldName> DOCSIS-REC:RecType </fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> dateTimeMsec </typeId>
                        <fieldId> 15 </fieldId>
                        <fieldName>
                            DOCSIS-REC:RecCreationTime
                         </fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> hexBinary </typeId>
                        <fieldId> 16 </fieldId>
                        <fieldName>
                            DOCSIS-QOS:ServiceFlowChSet
                        </fieldName>
```

```
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> unsignedInt </typeId>
                        <fieldId> 17 </fieldId>
                        <fieldName>
                            DOCSIS-QOS:ServiceType
                        </fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> boolean </typeId>
                        <fieldId> 18 </fieldId>
                        <fieldName>
DOCSIS-QOS:ServiceDsMulticast
</fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> unsignedInt </typeId>
                        <fieldId> 19 </fieldId>
                        <fieldName>
DOCSIS-QOS:ServiceIdentifier
</fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> unsignedInt </typeId>
                        <fieldId> 20 </fieldId>
                        <fieldName>
DOCSIS-QOS:GateId
</fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> string </typeId>
                        <fieldId> 21 </fieldId>
                        <fieldName>
DOCSIS-QOS:ServiceClassName
</fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> integer </typeId>
                        <fieldId> 22 </fieldId>
                        <fieldName>
DOCSIS-QOS:ServiceDirection
</fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> unsignedLong </typeId>
                        <fieldId> 23 </fieldId>
                        <fieldName>
DOCSIS-QOS:ServiceOctetsPassed
</fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
                        <typeId> unsignedLong </typeId>
                        <fieldId> 24 </fieldId>
                        <fieldName>
DOCSIS-QOS:ServicePktsPassed
</fieldName>
                        <isEnabled> 1 </isEnabled>
                    </fieldDescriptor>
                    <fieldDescriptor>
```

```
                        <typeId> unsignedInt </typeId>
                        <fieldId> 25 </fieldId>
                        <fieldName>
DOCSIS-QOS:ServiceSlaDropPkts
</fieldName>
                        <isEnabled> 1 </isEnabled>
                  </fieldDescriptor>
                  <fieldDescriptor>
                        <typeId> unsignedInt </typeId>
                        <fieldId> 26 </fieldId>
<fieldName>
DOCSIS-QOS:ServiceSlaDelayPkts
 </fieldName>
                        <isEnabled> 1 </isEnabled>
                  </fieldDescriptor>
                  <fieldDescriptor>
                        <typeId> unsignedInt </typeId>
                        <fieldId> 27 </fieldId>
                        <fieldName>
DOCSIS-QOS:ServiceTimeCreated
                        </fieldName>
                        <isEnabled> 1 </isEnabled>
                  </fieldDescriptor>
                  <fieldDescriptor>
                        <typeId> unsignedInt </typeId>
                        <fieldId> 28 </fieldId>
                        <fieldName>
DOCSIS-QOS:ServiceTimeActive
</fieldName>
                        <isEnabled> 1 </isEnabled>
                  </fieldDescriptor>
            </TemplateBlock>
      </TemplateData>
```

IV.6.2 MODIFY TEMPLATE RESPONSE

The MODIFY TEMPLATE RESPONSE message is optional as specified in [IPDR/SP].

```
<ModifyTemplateResponse>
            <IPDRStreamingHeader>
                  <version> 2 </version>
                  <!– messageId denotes a MODIFY TEMPLATE
                      RESPONSE message –>
                  <messageId> 0x1b </messageId>
                  <sessionId> session1 </sessionId>
                  <messageFlags> 0 </messageFlags>
                  <messageLength> n </messageLength>
            </IPDRStreamingHeader>
            <!– configId 0 denotes Template Set Configuration change
                is not supported –>
            <configId> 0 </configId>
            <!– unused flags –>
            <flags> 0 </flags>
            <TemplateBlock>
[The template Block as described in Template Data
                                                      (Section IV.6.1)]
            </TemplateBlock>
</ModifyTemplateResponse>
```

IV.6.3 START NEGOTIATION REJECT

The START NEGOTIATION REJECT message is optional as specified in [IPDR/SP].

```
<StartNegotiationReject>
            <IPDRStreamingHeader>
                  <version> 2 </version>
                  <!– messageId denotes a START NEGOTIATION
                     REJECT message –>
                  <messageId> 0x1e </messageId>
                  <!– the sessionId associated –>
                  <sessionId> session1 </sessionId>
                  <messageFlags> 0 </messageFlags>
                  <messageLength> n </messageLength>
            </IPDRStreamingHeader>
      </StartNegotiationReject>
```

IV.7 IPDR/SP Data Messages

IV.7.1 DATA [182]

```
      <Data>
            <IPDRStreamingHeader>
                  <version> 2 </version>
                  <!– messageId denotes a DATA message –>
                  <messageId> 0x20 </messageId>
                  <sessionId> session1 </sessionId>
                  <messageFlags> 0 </messageFlags>
                  <messageLength> n </messageLength>
            </IPDRStreamingHeader>
            <!– used templateId 1 corresponding to this session –>
            <templateId> 1 </templateId>
            <!– configId 0 denotes Template Set Configuration change
                is not supported –>
            <configId> 0 </configId>
            <!– indicates that this data is not a duplicate –>
            <flags> 0 </flags>
<!– sequence number relative to this session. This is the first
                Record being sent –>
            <sequenceNum> 1 </sequenceNum>
            <!– the data record –>
            <dataRecord>
                  <ipdr:IPDR xsi:type="SAMIS-TYPE-1">
                        <DOCSIS-CMTS:CmtsHostName>
cmts01.mso.com
</DOCSIS-CMTS:CmtsHostName>
                        <DOCSIS-CMTS:CmtsSysUpTime>
2226878
</DOCSIS-CMTS:CmtsSysUpTime>
                        <DOCSIS-CMTS:CmtsIpv4Addr>
10.40.57.11
</DOCSIS-CMTS:CmtsIpv4Addr>
                        <DOCSIS-CMTS:CmtsIpv6Addr>
2001:0400:0000:0000:0000:FF00:FE00:0000
</DOCSIS-CMTS:CmtsIpv6Addr>
                        <DOCSIS-CMTS:CmtsMdIfName>
```

[182] Section revised per OSSIv3.0-N-07.0447-2, #12 on 5/10/07 by KN.

```
Int0/1
</DOCSIS-CMTS:CmtsMdIfName>
                        <DOCSIS-CMTS:CmtsMdIfIndex>
456
</DOCSIS-CMTS:CmtsMdIfIndex>
                        <DOCSIS-CM:CmMacAddr>
00-09-36-A7-70-89
</DOCSIS-CM:CmMacAddr>
                        <DOCSIS-CM:CmIpv4Addr>
55.12.48.113
</DOCSIS-CM:CmIpv4Addr>
                        <DOCSIS-CM:CmIpv6Addr>
2001:0400:0000:0000:0000:1000:FF00:0000
</DOCSIS-CM:CmIpv6Addr>
                        <DOCSIS-CM:CmIpv6LinkLocalAddr>
                              FE80:0000:0000:0000:0209:36FF:FEA7:7089
                        </DOCSIS-CM:CmIpv6LinkLocalAddr>
                        <DOCSIS-CM:CmServiceType>
                              2
                        </DOCSIS-CM:CmServiceType>
                        <DOCSIS-CM:CmRegStatusValue>
                              8
                        </DOCSIS-CM:CmRegStatusValue>
                        <DOCSIS-CM:CmLastRegTime>
                              2006-06-04T09:15:00Z
                        </DOCSIS-CM:CmLastRegTime>
                        <DOCSIS-REC:RecType>1</DOCSIS-REC:RecType>
                        <DOCSIS-REC:RecCreationTime>
2004-11-10T07:11:05Z
</DOCSIS-REC:RecCreationTime>
                        <DOCSIS-QOS:ServiceFlowChSet>
01020304
</DOCSIS-QOS:ServiceFlowChSet>
                        <DOCSIS-QOS:ServiceType>10000</DOCSIS-QOS:ServiceType>
                        <DOCSIS-QOS:ServiceDsMulticast>
False
</DOCSIS-QOS:ServiceDsMulticast>
                        <DOCSIS-QOS:ServiceIdentifier>
361
</DOCSIS-QOS:ServiceIdentifier>
                        <DOCSIS-QOS:ServiceClassName>
Premium
</DOCSIS-QOS:ServiceClassName>
                        <DOCSIS-QOS:ServiceDirection>
2
</DOCSIS-QOS:ServiceDirection>
                        <DOCSIS-QOS:ServiceOctetsPassed>
16486400
</DOCSIS-QOS:ServiceOctetsPassed>
                        <DOCSIS-QOS:ServicePktsPassed>
82431
</DOCSIS-QOS:ServicePktsPassed>
                        <DOCSIS-QOS:ServiceSlaDropPkts>
412
</DOCSIS-QOS:ServiceSlaDropPkts>
                        <DOCSIS-QOS:ServiceSlaDelayPkts>
8
</DOCSIS-QOS:ServiceSlaDelayPkts>
                        <DOCSIS-QOS:ServiceTimeCreated>
2210822
</DOCSIS-QOS:ServiceTimeCreated>
                        <DOCSIS-QOS:ServiceTimeActive>
161
```

```
</DOCSIS-QOS:ServiceTimeActive>
                    </ipdr:IPDR>
              </dataRecord>
       </Data>
```

IV.8 IPDR/SP State Independent Messages

IV.8.1 GET SESSIONS RESPONSE

```
       <GetSessionsResponse>
              <IPDRStreamingHeader>
                    <version> 2 </version>
                    <!– messageId denotes a GET SESSIONS RESPONSE message –>
                    <messageId> 0x15 </messageId>
                    <sessionId> session1 </sessionId>
                    <messageFlags> 0 </messageFlags>
                    <messageLength> n </messageLength>
              </IPDRStreamingHeader>
<!– using requestId 5, assuming the initial GET SESSIONS Request
                 had the same requestId –>
              <requestId> 5 </requestId>
              <!– description of supported sessions
    Note: Additional SessionBlocks to represent other
    session configurations are left to vendor discretion –>
              <SessionBlock>
                    <!– sessionId associated with this session –>
                    <sessionId> session1 </sessionId>
                    <!– reserved/not used –>
                    <reserved/>
                    <!– the optional session name, same as sessionId –>
                    <sessionName> session1 </sessionName>
                    <!– session description –>
<sessionDescription>
SAMIS TYPE-1 session
</sessionDescription>
                    <!– uses 30 seconds as the maximum time between acknowledge
                       from collector –>
                    <ackTimeInterval> 30 </ackTimeInterval>
                    <!– number of unacknowledged records is 0 –>
                    <ackSequenceInterval> 0 </ackSequenceInterval>
              </SessionBlock>
       </GetSessionsResponse>
```

IV.8.2 GET TEMPLATES RESPONSE

```
       <GetTemplatesResponse>
              <IPDRStreamingHeader>
                    <version> 2 </version>
                    <!– messageId denotes GET TEMPLATES
                       RESPONSE message –>
                    <messageId> 0x17 </messageId>
                    <sessionId> 0 </sessionId>
                    <messageFlags> 0 </messageFlags>
                    <messageLength> n </messageLength>
              </IPDRStreamingHeader>
```

```
            <!– using requestId 5, assuming the initial GET TEMPLATES Request
                had the same requestId –>
            <requestId> 5 </requestId>
            <!– configId 0 denotes Template Set Configuration change
                is not supported –>
            <configId> 0 </configId>
            <TemplateBlock>
                 [The template Block as described in Template
Data(Section IV.6.1)]
            </TemplateBlock>
      </GetTemplatesResponse>
```

IV.8.3 KEEP ALIVE

```
      <KeepAlive>
            <IPDRStreamingHeader>
                  <version> 2 </version>
                  <!– messageId denotes the KEEP ALIVE message –>
                  <messageId> 0x40 </messageId>
                  <sessionId> 0 </sessionId>
                  <messageFlags> 0 </messageFlags>
                  <messageLength> n </messageLength>
            </IPDRStreamingHeader>
      </KeepAlive>
```

Appendix V Signal Quality Use Cases (Informative)

This appendix describes several use cases where the Signal Quality Monitoring features introduced in DOCSIS 3.0 can be utilized to manage the HFC plant.

To maintain the HFC network in optimal conditions constant monitoring of the physical characteristics is desired. This practice helps in the early detection of plant problems. These problems, if not properly corrected could cause degradation of services that are offered over the DOCSIS network. The RF impairments may often be the root cause of the problem affecting the quality of services offered over DOCSIS. These impairments result in excessive logging, and poor statistics indicating a lower quality of experience for customer of the services.

Ideally, rather than inferring the presence of RF impairments in the HFC from DOCSIS MAC statistics (for example), the use of Signaling Quality measurement equipment dedicated to monitor the HFC spectrum is desired. However, the cost of such equipment and its associated management and operation may not be justifiable. Instead, active network elements such as CMTSs have evolved their capabilities to report RF measurements using an SNMP management interface. The main advantage of this approach is the constant availability of information across the network. Such information can be correlated to determine e.g., a group of CMs with a common tap in the HFC path reporting the same measurements problem. The signal monitoring approach is similar to how specialized equipment is used to further isolate the problems based on the coarse measurements from a CMTS.

This appendix describes use cases for two main categories of the Enhanced Signaling Quality Monitoring features of DOCSIS 3.0:

- Normalization of RF Impairments Measurements
- Spectrum Amplitude Measurements for Upstream Interfaces

V.1 Normalization of RF Impairments Measurements

V.1.1 Problem Description

DOCSIS [RFC 4546] provides SNR (Signal-to-Noise) measurement. SNR among other measurements are available on a per CM basis and per interface.

SNR values reported may not be uniform amongst different CMTS vendors. Therefore it might not be possible to compare and analyze information from different devices to determine the HFC plant conditions.

V.1.2 Use Cases

Major contributors to impairments in the DOCSIS channels are linear distortion, non-linear distortion, impulse noise and ingress noise.

DOCSIS pre-equalization provides a mechanism to correct the linear distortion of each individual CM transmission. Ingress noise robustness has no specification requirements beyond the assumed RF plant conditions in [PHY]. However, vendors have provided mechanisms to mitigate noise and ingress interference in plants that have more severe noise conditions than the ones assumed in the [PHY] specification.

The available RF measurements in DOCSIS 3.0 are listed in Table V-1 where the DOCSIS 3.0 added features are indicated in **bold** text and are the basis for the use cases of this section. In general, downstream RF measurements are performed by individual CMs while the upstream measurements are performed by the CMTS either at an interface or at a CM level. Based on CMTS and CM interactions, the CM provides an indirect measure of the distortion in the upstream channel through its pre-equalization coefficients.

Table V-1 - RF Management Statistics available in DOCSIS 3.0

CM (Downstream Measurements)	CMTS (Upstream Measurements)	Measurements Categories
SNR	SNR	Noise conditions
RxMER	RxMER	
	CNIR	
	Expected Received Power	Power level
Correctable/uncorrectable errors	Correctable/uncorrectable errors per CM	FEC performance statistics
	Correctable/uncorrectable errors per US interface	
Downstream micro-reflections	Upstream micro-reflections per CM	Linear distortion
CM post-equalization data	CM pre-equalization[1]	
Note: [1]CM may provide more accurate pre-equalization coefficient than what the CMTS is able to calculate.		

The following use cases refer to the noise measurement enhancements for DOCSIS 3.0.

V.1.2.1 Use Case 1: Figure of Merit Estimation for Logical Upstream Channel

This Use Case defines a Figure of Merit for Logical Upstream Channel measurement that an operator can use to periodically collect information to characterize the performance of the HFC part of the Cable distribution network.

To overcome non-uniform SNR measurements, DOCSIS 3.0 defines two measurements: RxMER (Receive Modulation Error Rate) and CNIR (Carrier to Noise plus Interference Ratio). These provide better indication of the HFC plant impairments and the corrections achieved by the CMTS through compensation techniques. Combining RxMER and CNIR, a Figure of Merit of impairment compensation efficiency can be defined when noise or interference is present.

RxMER measures the average quantization error just prior to FEC, and CNIR measures the carrier to noise plus interference ratio prior to demodulation. A Figure of Merit of how efficiently interference and distortion is compensated in a logical channel can be defined as:

Figure of Merit (logical channel) = RxMER – CNIR

The variables from Annex J to retrieve are:

- RxMER: docsIf3SignalQualityExtRxMER
- CNIR: docsIf3CmtsSignalQualityExtCNIR

The Figure of Merit is relevant when the device is capable of suppressing ingressors, thus increasing the RxMER value with respect to the channel CNIR.

To minimize the uncertainties in measuring the Figure of Merit due to distortion that is unique to individual upstream paths between a CM and CMTS, it is advisable to operate with pre-equalization on (see docsIfUpChannelPreEqEnable of [RFC 4546]).

V.1.2.2 Use Case 2 Figure of Merit Estimation per CM[183]

This Use Case defines a Figure of Merit per CM transmission. Similar to Use Case 1, the operator can periodically collect information to characterize the performance of CMs in terms of figure of Merit for the given CMTS the CM is attached to.

Unlike RxMER, the SNR parameter is unique for each CM. This allows you to define a Figure of Merit on a per CM basis. A Figure of Merit of how efficiently interference and distortion affecting a CM is compensated can be defined as:

Figure of Merit (CM) = SNR (CM) – CNIR (of the logical upstream channel)

The variables from Annex Q and Annex J to retrieve are:

- SNR: docsIf3CmtsCmUsStatusSignalNoise
- CNIR: docsIf3CmtsSignalQualityExtCNIR

This Figure of Merit indicates if a CM, through its pre-equalization mechanism, is efficiently compensating the linear distortion in its upstream path.

V.1.2.3 Use Case 3 Absolute Noise and Interference Estimation[184]

Traditionally CMTSs are expected to command the CMs' power transmission so that the CMTS received power is close to 0 dBmV across all CMs.

This Use Case defines how an operator may derive the absolute value of the noise plus interference (in dBmV) from the reported value (CNIR in dB) which is a relative measure.

For example, CNIR and ExpectedRxSignalPower can be used to estimate noise and interference levels (N+I) across the operator's network in dBmV as:

N + I = CNIR – ExpectedRxSignalPower (CMs of the logical upstream channel)

Operators may determine the difference between the target and the actual received power at the CMTS using the following equation:

CM Offset Power = CM Rx Power – ExpectedRxSignalPower

The variables from Annex Q and Annex J to retrieve are:

- CM Rx Power: docsIf3CmtsCmUsStatusRxPower
- ExpectedRxSignalPower: docsIf3CmtsSignalQualityExtExpectedRxSignalPower

V.1.2.3.1 CM Estimated CNIR

Operators may estimate individual CM CNIR by combining the CNIR obtained for the logical channel and the CM offset power as follows:

CM Estimated CNIR = CM Offset Power + CNIR

[183] section revised per OSSIv3.0-N-07.0550-2 by ab on 11/9/07.
[184] section revised per OSSIv3.0-N-07.0550-2 by ab on 11/9/07.

CM Offset Power: The difference between the actual received CM power level and the expected commanded received signal power at the CMTS.

The variables from Annex Q and Annex J to retrieve are:

- CNIR: docsIf3CmtsSignalQualityExtCNIR
- CM Rx Power: docsIf3CmtsCmUsStatusRxPower
- Expected Commanded Received Signal Power: docsIf3CmtsSignalQualityExtExpectedRxSignalPower

V.2 Upstream Spectrum Measurement Monitoring

V.2.1 Problem Description

Placing spectrum analyzers to obtain granular spectrum monitoring to achieve extensive coverage of the number of nodes, the number of channels, increased frequency of samples, and with increased frequency resolution is cost prohibitive and cumbersome. Such limited coverage complicates agile troubleshooting of plant spectrum.

V.2.2 Use Cases

DOCSIS 3.0 adds the spectrum monitoring feature where the management system requests CMTSs to perform spectrum measurement over an upstream channel.

V.2.2.1 Use Case 1 Spectrum Analysis Measurement Setup

This Use Case describes the operator configuration procedure to start the measurements of spectrum amplitude values for a specific channel.

The operator only needs to select the logical upstream channel for which the upstream receiver will capture the spectrum amplitude. SNMP is used to trigger the test using a read-create RowStatus object set to 'CreateAndGo'.

The CMTS reports the following pre-configured parameters (refer to Annex J for object details):

- The *NumberOfBins* is the number of data points that compose the spectral data.
- The *FrequencySpan* is the width of the band across which the spectral amplitudes characterizing the channel are measured.
- The *ResolutionBW* is the equivalent noise bandwidth for each bin.
- The *TimeInterval* is the estimated average repetition period of measurements defining the average rate at which new spectra can be retrieved. An SNMP manager should not attempt to collect the data at a higher rate than the value specified.
- The *BinSpacing* is the frequency separation between adjacent bin centers.

V.2.2.2 Use Case 2 Data Retrieval

This Use Case describes a typical procedure for the retrieval of spectrum amplitude data from the CMTS. The data can be retrieved via SNMP or streamed by the CMTS using the Spectrum Amplitude IPDR Service Definition defined in Annex J.

Section 8 illustrates the detailed steps for the IPDR connection establishment and data retrieval. The following process briefly defines the data retrieval process. Refer to Section 6.2 for details on the IPDR Streaming Protocol.

The collector opens a connection with the CMTS. If a reliable collection mechanism is not required, there is no need to have a backup collector.

The CMTS is configured to generate data for a given interface.

When the CMTS setup is complete, it starts the transfer of information to the collector.

The operator can then use an application to plot the information collected as shown in Figure V-1 and Figure V-2.

When the operator no longer wishes to continue retrieving information, the operator can remove the measurement point in the CMTS which suspends the data generation and export. The operator can then tear down the previously established IPDR/SP connection.

The Figure V-1 shows the sequence diagram for streaming of spectrum analysis measurement data. The operator selects the logical upstream channel of interest. The CMTS starts the data streaming to the collector. After the data is captured, the streaming may be terminated.

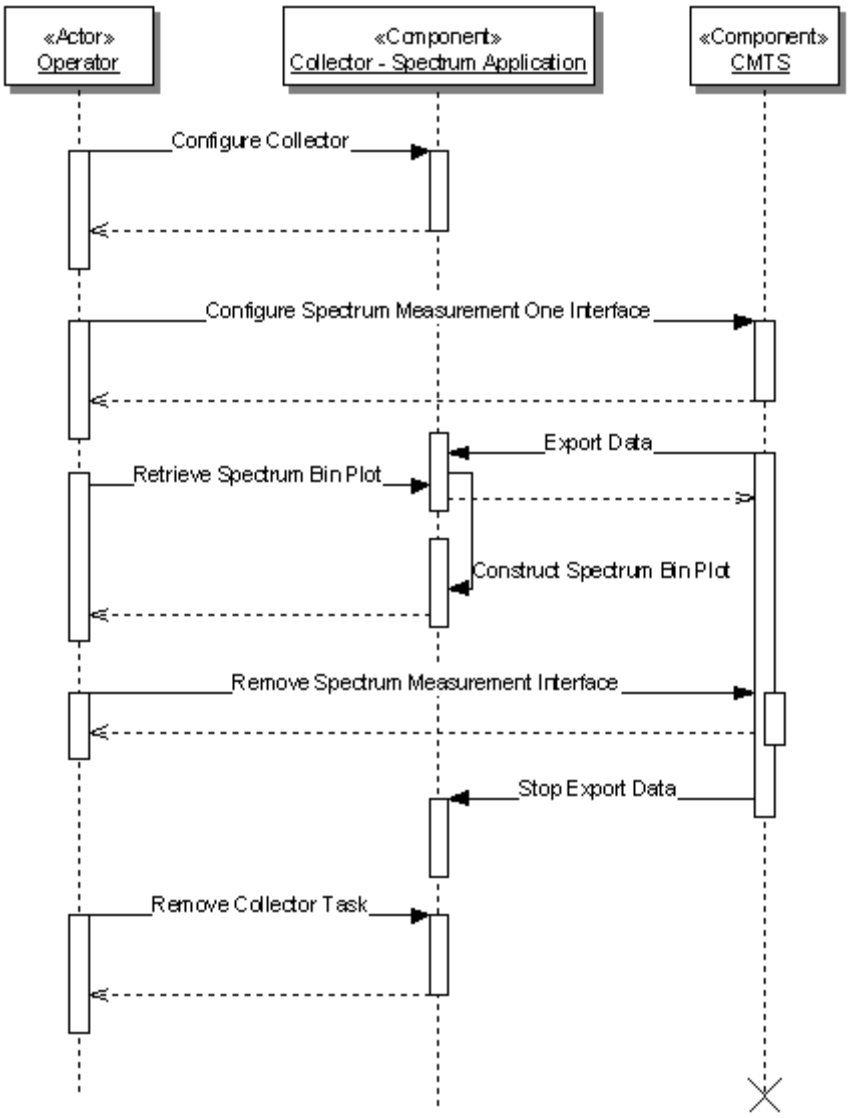


Figure V-1 - Sequence Diagram for Streaming of Spectrum Analysis Measurement Data

V.2.2.3 Use Case 3 Data Analysis

Table V-2 shows a data point for a given time and plotted in Figure V-2 and Figure V-3 as the "current" data series. For this analysis, the following parameters are known from the configuration:

Center Frequency of the channel is 25000000 Hz and is reported in the 129th bin (assuming 257 bins).

Frequency Span is 3200000 Hz (Channel Width)

Bin Spacing is 12500 Hz

From the collected data, the following parameters can be derived:

Frequency of the lower bin is 23400000 Hz

Frequency of the upper bin is 26600000 Hz

Figure V-2 shows the plotted graph of two data series. The first series "Current" consist of the current spectral content characterized by the frequency bin amplitude values. The second data series is the "Maximum" amplitude values per frequency bin recorded over time (max hold). Each time a new measurement point is collected the figure is updated. Figure V-3 zooms around 24 MHz to show the presence of an interferer.

Table V-2 -Spectrum Analysis Measurement Constructed Graph from collected data

First Bin Frequency (For Reference)	Bin Amplitude Values for 8 bins (Decimal)	Bin Amplitude Values for 8 bins (Hexadecimal)
23400000	-39.73 -20.60 -9.23 -4.77 -2.90 -0.08 -0.32 -0.07	F07A F7F4 FC64 FE23 FEDE FFF7 FFDF FFF9
23500000	-0.06 -0.03 -0.08 -0.16 -0.08 0.16 0.13 -0.09	FFFA FFFC FFF8 FFF0 FFF7 000F 000C FFF7
23600000	0.10 0.28 -0.24 -0.02 -0.38 -0.23 -0.01 -0.20	0009 001B FFE8 FFFE FFDA FFE9 FFFE FFEB
23700000	0.08 0.02 0.03 0.04 0.11 0.20 -0.03 0.13	0007 0001 0002 0004 000A 0014 FFFD 000C
23800000	-0.05 0.42 0.11 -0.05 -0.05 -0.36 0.12 -0.06	FFFB 0029 000A FFFB FFFA FFDC 000B FFFA
23900000	-0.07 0.03 -0.13 0.15 -0.17 -0.25 -0.01 -0.13	FFF8 0003 FFF3 000E FFEF FFE6 FFFE FFF3
24000000	-0.09 -0.47 -0.08 0.19 -0.03 0.09 0.13 0.27	FFF7 FFD0 FFF7 0013 FFFD 0009 000D 001A
24100000	0.23 -0.27 0.19 -0.08 0.17 0.11 0.25 0.06	0016 FFE4 0013 FFF7 0010 000A 0019 0005
24200000	0.26 0.00 0.03 -0.08 -0.33 -0.05 0.10 0.08	0019 0000 0003 FFF8 FFDE FFFB 0009 0007
24300000	-0.21 -0.11 0.07 -0.03 8.25 18.67 17.01 0.16	FFEA FFF5 0006 FFFC 0339 074A 06A4 0010
24400000	0.17 0.48 -0.15 0.34 0.40 -0.01 -0.12 0.02	0011 0030 FFF1 0022 0028 FFFE FFF3 0001
24500000	0.01 0.00 -0.08 0.30 -0.04 -0.04 -0.19 -0.01	0001 FFFF FFF7 001D FFFB FFFB FFED FFFF
24600000	0.13 -0.08 -0.07 0.02 0.12 -0.20 0.11 0.25	000D FFF7 FFF9 0002 000B FFEB 000B 0018
24700000	0.04 0.32 -0.11 0.03 0.16 0.06 -0.26 0.28	0004 001F FFF5 0003 000F 0005 FFE6 001B
24800000	-0.05 0.11 0.01 0.14 0.10 0.26 0.34 0.23	FFFB 000A 0000 000E 000A 0019 0022 0017
24900000	-0.18 -0.17 0.15 -0.11 0.08 -0.29 -0.20 0.32	FFED FFEE 000F FFF4 0008 FFE3 FFEC 0020
25000000	-0.10	FFF5
25012500	0.37 0.24 -0.43 -0.24 -0.09 0.23 -0.14 0.19	0025 0018 FFD5 FFE8 FFF7 0017 FFF1 0013
25112500	-0.02 -0.20 0.03 -0.01 -0.12 -0.07 0.24 0.22	FFFD FFEB 0003 FFFE FFF3 FFF8 0017 0015
25212500	-0.17 -0.20 -0.26 0.27 0.42 0.00 -0.08 -0.06	FFEE FFEC FFE6 001A 0029 FFFF FFF7 FFFA
25312500	-0.31 -0.12 0.13 0.02 0.03 0.10 -0.06 -0.30	FFE0 FFF3 000C 0001 0002 000A FFF9 FFE2
25412500	0.35 0.23 0.08 0.19 0.06 0.00 -0.15 0.16	0022 0016 0008 0013 0006 FFFF FFF0 000F
25512500	0.00 0.06 -0.19 0.32 -0.13 0.06 -0.03 -0.10	0000 0006 FFED 001F FFF2 0006 FFFD FFF5
25612500	0.00 0.26 0.09 -0.63 -0.23 0.09 0.38 0.30	0000 0019 0009 FFC1 FFE8 0008 0026 001D
25712500	0.24 -0.03 0.03 -0.01 0.30 0.09 0.05 -0.25	0018 FFFD 0003 FFFE 001D 0009 0004 FFE7
25812500	-0.11 0.29 0.39 -0.24 0.11 -0.01 -0.16 -0.36	FFF5 001C 0027 FFE7 000B FFFF FFF0 FFDC
25912500	-0.31 0.27 0.28 0.53 -0.03 0.08 0.00 0.40	FFE1 001B 001C 0034 FFFD 0008 0000 0027
26012500	0.10 -0.16 -0.13 -0.02 -0.05 -0.05 0.20 0.23	0009 FFF0 FFF2 FFFE FFFA FFFB 0014 0016
26112500	-0.01 -0.01 0.24 0.00 0.06 -0.36 -0.09 -0.02	FFFE FFFE 0018 0000 0006 FFDC FFF6 FFFE
26212500	0.00 0.10 0.15 0.21 0.36 -0.11 0.01 0.13	FFFF 000A 000E 0015 0023 FFF5 0001 000C
26312500	0.11 0.01 -0.07 0.15 0.36 -0.08 0.01 -0.02	000B 0001 FFF9 000E 0024 FFF7 0000 FFFE
26412500	0.35 -0.17 0.16 -0.03 0.03 0.05 0.18 -0.14	0022 FFEF 000F FFFC 0002 0004 0011 FFF2
26512500	0.13 -0.04 0.15 -2.62 -4.54 -10.43 -19.22 -39.43	000D FFFB 000F FEFA FE39 FBED F87E F098

NOTE: This first column corresponds to the frequency of the first spectrum amplitude bin value of each row and is for reference only (i.e., not part of the reported data array). The decimal representation of the reported data array is shown in the second column. The hexadecimal representation of the reported data array is shown in the third column. Each data point is delimited with a single space for readability.

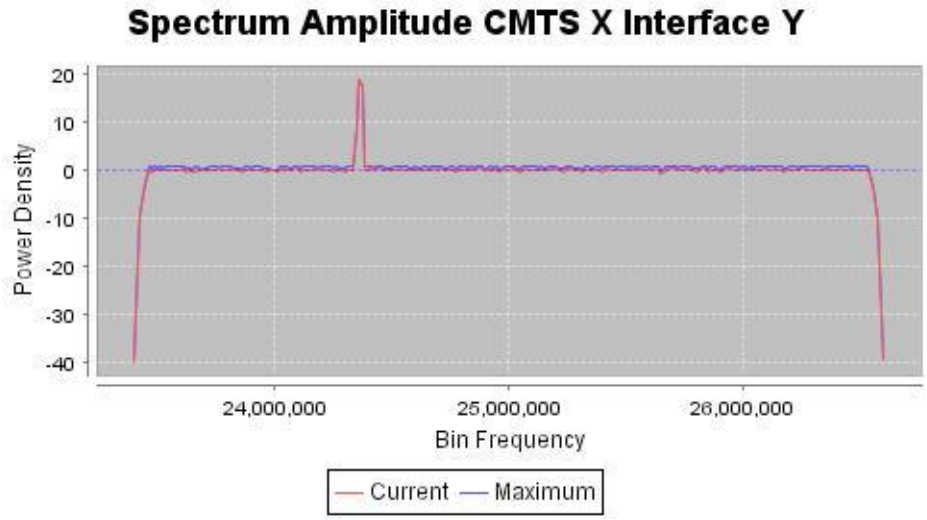


Figure V-2 - Spectrum Amplitude Constructed Graph from collected data

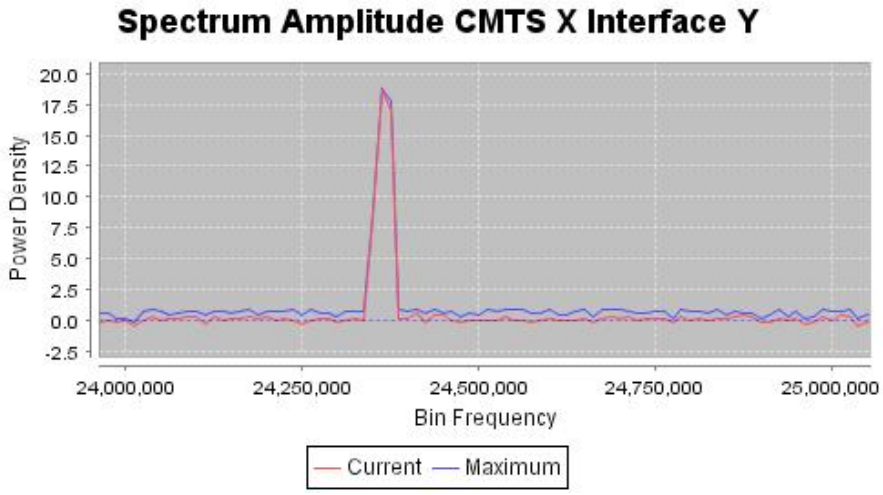


Figure V-3 - Spectrum Amplitude Detail Graph from collected data

Appendix VI Object Model Notation

This appendix illustrates the UML notation used throughout this specification to define object models.

VI.1 Overview

The Unified Modeling Language (UML) is a unified model for object oriented analysis and design (OOA&D). UML is an OMG standard and is an accepted ISO specification [ISO 19501].

UML defines a general-purpose, graphical modeling language that can be applied to any application domain (e.g., communications) and implementation platforms (e.g., J2EE).

VI.2 Object Model Diagram

The OSSI object model diagram is represented by the UML Class Diagram. The class diagram describes the types of objects existing in a system and their static relationship.

VI.2.1 Classes

Classes are generally represented by a square box with three compartments. The top compartment contains the class name (used here as the object name) with the first letter capitalized. The middle compartment contains the list of attributes with the first letter of each attribute in lower case. The bottom compartment contains the list of operations. For the purposes of this specification, the methods section of the class box is not used (suppressed) and the implementation level details of the attributes are omitted.

Attributes also include a visibility notation which precedes the attribute name and is one of the following:

- '+' public (default)
- '-' private
- '#' protected

If the above notation is omitted from the attribute, the default of public is implied. For the purposes of this specification, the protected visibility generally refers to indexes of MIB tables, schema instances, etc.

An interface is represented in the class diagram as an object with the keyword <<interface>> preceding the object name. In general, an interface is a declaration of a set of public features and obligations (such as get methods).

VI.2.2 Associations

A class diagram also contains associations which represent relationships between instances of classes. An association has two ends with each end attached to one of the classes. The association end also has a multiplicity indicator which defines how many objects may participate in the relationship. Multiplicity notation is as follows:

- '1' exactly one
- '*' zero or more (default)
- '0..1' zero or one (optional)
- 'm..n' numerically specified

If the above notation is omitted from the association end, the default of '*' is implied.

If one end of the association contains an open arrowhead, this implies navigability in the direction indicated by the arrow.

VI.2.3 Generalization

Generalization is the concept of creating subclasses from superclasses and is also known as inheritance within programming languages. Subclasses include (or inherit) all the elements of the superclass and may override inherited methods. Subclasses are more specific classes while superclasses are generalized classes.

The UML notation for Generalization is shown as a line with a hollow triangle as an arrowhead pointing to the generalized class.

VI.2.4 Dependencies

Dependencies between two classes are represented by a dashed arrow between two objects. The object at the tail of the arrow depends on the object at the other end.

VI.2.5 Comment

A Comment in a class diagram is a textual annotation attached to any element. This is represented as a note symbol with a dashed line connecting the note with the element.

VI.2.6 Diagram Notation

Figure VI-1 highlights the UML Class Diagram notation discussed in this section.

Figure VI-1 is not a complete representation of the UML Class Diagram notation, but captures those concepts used throughout this specification.

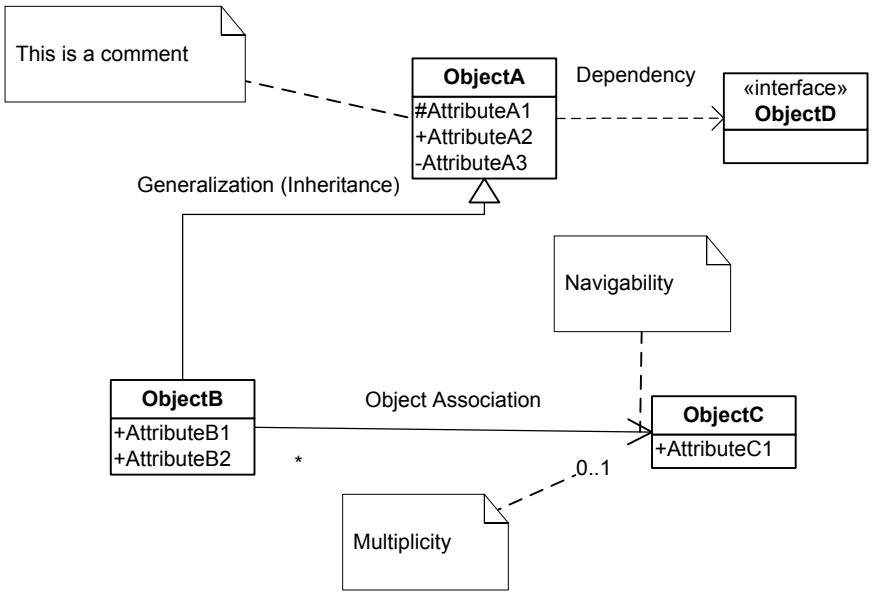


Figure VI-1 - Object Model UML Class Diagram Notation

VI.3 Object Instance Diagram

An Object Instance Diagram represents the objects in a system during one snapshot in time. In this diagram, the class objects are instantiated.

Figure V-2 shows an Object Instance Diagram for an instantiation (myObjectA) of ObjectA from Figure VI-1.

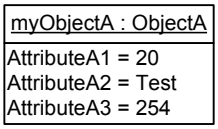


Figure VI-2 - Object Instance Diagram for ObjectA

VI.4 ObjectA Definition Example[185]

This section defines the details of the object and its associated attributes as defined in the object model diagram. The description of the object includes behavior, persistence requirements (if any), object creation and deletion behavior (if any), etc.

Table VI-1 lists the attributes the object defined in the object model. The object table is derived from the object model diagram where each row in the table represents an attribute of the object.

The "Attribute Name" column contains each defined attribute of the object. The naming convention for attributes is to capitalize the first letter and each letter of successive words within the name. Also, attribute names typically do not include any of the object name elements since this would cause duplication when the object and attributes are realized in SNMP.

The "Type" column contains the data type for the attribute. The data type can be a simple type such as unsignedInt or a defined data type such as EnumBits. DOCSIS 3.0 data types are defined in Annex K.

The "Access" column indicates the attributes accessibility (as mapped to an SNMP object for example). Example values include "key", "read-only", "read-write", and "read-create".

The "Type Constraints" column lists constraints on the normal data type specified in the "Type" column. If there are no defined constraints for the attribute, this column is empty. The example below for AttributeA1 lists a constraint on the unsignedInt Type where the range starts from 1 instead of normally starting from 0 for an unsignedInt.

The "Units" column lists units for the attribute or "N/A" if the attribute does not have units.

The "Default" column contains the default value for the attribute or "N/A" if the attribute does not have a default value or in cases where the attribute's description defines rules for the initialization value.

The sections following the table are attribute descriptions which might include behavioral requirements or references.

Table VI-1 - ObjectA Example Table Layout

Attribute Name	Type	Access	Type Constraints	Units	Default
AttributeA1	unsignedInt	key	1..4294967295	N/A	N/A
AttributeA2	AdminString	read-write	SIZE (1..15)	N/A	N/A
AttributeA3	unsignedByte	read-create		seconds	60

VI.4.1.1 AttributeA1

[185] revised per OSSIv3.0-N-07.0480-3 by ab on 7/16/07.

AttributeA1 is a key defined for…

Note: Objects which represent a table (in an SNMP MIB realization) and have N number of instances need to include at least one "key" attribute which is used to denote the instance or id. Key attributes are typically denoted with a protected visibility whereas all other attributes are denoted with a public visibility.

VI.4.1.2 AttributeA2

AttributeA2 is …

Note: Persistence requirements are documented at the object level, not at the attribute level.

VI.4.1.3 AttributeA3

AttributeA3 is …

VI.5 Common Terms Shortened[186]

The following table lists common terms which have been shortened to allow shorter SNMP MIB names. These shortened names are desired to be used consistently throughout the object models, SNMP MIBs and IPDR schemas. However, in some cases it might not be possible to maintain parity with pre-3.0 DOCSIS requirements.

Table VI-2 - Shortened Common Terms[187]

Original Word	Shortened Word
Address	Addr
Aggregate	Agg
Algorithm	Alg
Application	App
Attribute	Attr
Authorization	Auth
Channel	Ch
Command	Cmd
Config*	Cfg
Control	Ctrl
Default	Def
Destination	Dest
Direction	Dir
Downstream	Ds
Encryption	Encrypt
Equalization	Eq
Group	Grp
Length	Len
Maximum	Max
Minimum	Min
Multicast	Mcast
Provision*	Prov
Receive	Rx
Registration	Reg
Replication	Repl

[186] revised per OSSIv3.0-N-07.0480-3 by ab on 7/12/07.
[187] Table modified per OSSIv3.0-N-07.0413-3, #4 on 5/11/07 by KN.

Original Word	Shortened Word
Request	Req
Resequence	Reseq
Resequencing	Reseq
Response	Rsp
Segment	Sgmt
Sequence	Seq
Service	Svc
ServiceFlow	Sf
Session(s)	Sess
Source	Src
Threshold	Thrshld
Total	Tot
Transmit	Tx
Upstream	Us
* indicates a wildcard	

VI.5.1 Exceptions

Data types and managed objects do not consistently use the shortened names. Also, the term ServiceFlowId remains unchanged. Service and ServiceFlow are often not shortened to retain backward compatibility with QoS managed objects.

Appendix VII Receive Channel Object Model (Informative)

This appendix provides an object model of the Receive Channel Profiles and Receive Channel Configuration (RCP/RCC) from the Common Radio Frequency Interface Encodings Annex of [MULPI] that NMS integrators may use for the purpose of auditing and verification of configuration management with RCP/RCCs in consideration. The appendix also provides a XML schema for the object model and an XML instance document for the RCPs defined in the Standard Receive Channel Profile Encodings Annex of [MULPI].

VII.1 RCP/RCC Object Model

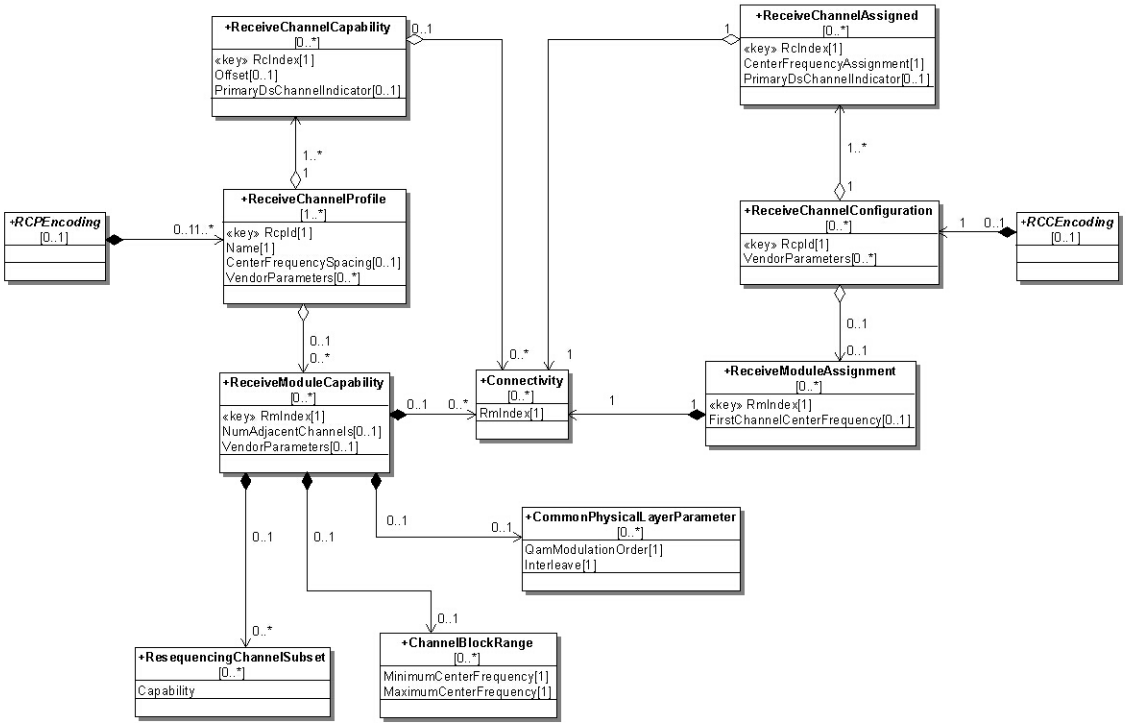


Figure VII-1 - RCP/RCC Object Model Diagram

VII.2 RCP/RCC XML Schema

```
<?xml version="1.0" encoding="UTF-8"?>
<!-- 2006 (c)CableLabs. All rights reserved -->
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema" elementFormDefault="qualified">
  <!-- Class: <<XSDcomplexType>> RCPMessage -->
  <xs:element name="RCPMessage" type="RCPMessage"/>
  <xs:complexType name="RCPMessage">
    <xs:sequence>
      <xs:element ref="ReceiveChannelProfile" minOccurs="1" maxOccurs="unbounded"/>
    </xs:sequence>
  </xs:complexType>
  <!-- Class: <<XSDcomplexType>> RCCMessage -->
  <xs:element name="RCCMessage" type="RCCMessage"/>
  <xs:complexType name="RCCMessage">
    <xs:sequence>
      <xs:element ref="ReceiveChannelConfiguration"/>
```

```
    </xs:sequence>
  </xs:complexType>
  <!-- Class: <<XSDcomplexType>> ReceiveChannelProfile -->
  <xs:element name="ReceiveChannelProfile" type="ReceiveChannelProfile"/>
  <xs:complexType name="ReceiveChannelProfile">
    <xs:sequence minOccurs="1" maxOccurs="unbounded">
      <xs:element name="RcpId" type="xs:hexBinary"/>
      <xs:element name="Name" type="xs:string"/>
      <xs:element name="CenterFrequencySpacing" type="xs:unsignedByte" minOccurs="0"
maxOccurs="1"/>
      <xs:element ref="ReceiveModuleCapability" minOccurs="0" maxOccurs="unbounded"/>
      <xs:element ref="ReceiveChannelCapability" minOccurs="1" maxOccurs="unbounded"/>
    </xs:sequence>
  </xs:complexType>
  <!-- Class: <<XSDcomplexType>> ReceiveChannelConfiguration -->
  <xs:element name="ReceiveChannelConfiguration" type="ReceiveChannelConfiguration"/>
  <xs:complexType name="ReceiveChannelConfiguration">
    <xs:sequence minOccurs="0" maxOccurs="unbounded">
      <xs:element name="RcpId" type="xs:hexBinary"/>
      <xs:element ref="ReceiveChannelAssigned" minOccurs="1" maxOccurs="unbounded"/>
      <xs:element ref="ReceiveModuleAssignment" minOccurs="0" maxOccurs="1"/>
    </xs:sequence>
  </xs:complexType>
  <!-- Class: ReceiveChannelCapability -->
  <xs:element name="ReceiveChannelCapability" type="ReceiveChannelCapability"/>
  <xs:complexType name="ReceiveChannelCapability">
    <xs:sequence>
      <xs:element name="RcIndex" type="xs:unsignedByte"/>
      <xs:element name="Offset" type="xs:unsignedByte" minOccurs="0" maxOccurs="1"/>
      <xs:element name="PrimaryDsChannelIndicator" type="xs:boolean" minOccurs="0"
maxOccurs="1" default="false"/>
      <xs:element name="VendorParameters" type="xs:string" minOccurs="0"
maxOccurs="unbounded"/>
      <xs:element ref="Connectivity" minOccurs="0" maxOccurs="unbounded"/>
    </xs:sequence>
  </xs:complexType>
  <!-- Class: ReceiveChannelAssigned -->
  <xs:element name="ReceiveChannelAssigned" type="ReceiveChannelAssigned"/>
  <xs:complexType name="ReceiveChannelAssigned">
    <xs:sequence>
      <xs:element name="RcIndex" type="xs:unsignedByte"/>
      <xs:element name="CenterFrequencyAssignment" type="xs:unsignedInt"/>
      <xs:element name="PrimaryDownstreamChannelIndicator" type="xs:boolean"
minOccurs="0" maxOccurs="1" default="false"/>
      <xs:element name="VendorParameters" type="xs:string" minOccurs="0"
maxOccurs="unbounded"/>
      <xs:element ref="Connectivity"/>
    </xs:sequence>
  </xs:complexType>
  <!-- Class: <<XSDattributeGroup>> Connectivity -->
  <xs:element name="Connectivity" type="Connectivity"/>
  <xs:complexType name="Connectivity">
    <xs:sequence>
      <xs:element name="RmIndex" type="xs:unsignedByte"/>
    </xs:sequence>
  </xs:complexType>
  <!-- Class: <<XSDcomplexType>> ReceiveModuleCapability -->
  <xs:element name="ReceiveModuleCapability" type="ReceiveModuleCapability"/>
  <xs:complexType name="ReceiveModuleCapability">
    <xs:sequence>
      <xs:element name="RmIndex" type="xs:unsignedByte"/>
      <xs:element name="NumAdjacentChannels" type="xs:unsignedByte" minOccurs="0"
maxOccurs="1"/>
      <xs:element name="VendorParameters" type="xs:string" minOccurs="0"
maxOccurs="1"/>
      <xs:element ref="ResequencingChannelSubset" minOccurs="0"
maxOccurs="unbounded"/>
```

```
      <xs:element ref="Connectivity" minOccurs="0" maxOccurs="unbounded"/>
      <xs:element ref="CommonPhysicalLayerParameter" minOccurs="0" maxOccurs="1"/>
      <xs:element ref="ChannelBlockRange" minOccurs="0" maxOccurs="1"/>
    </xs:sequence>
  </xs:complexType>
  <!-- Class: <<XSDcomplexType>> ReceiveModuleAssignment -->
  <xs:element name="ReceiveModuleAssignment" type="ReceiveModuleAssignment"/>
  <xs:complexType name="ReceiveModuleAssignment">
    <xs:sequence>
      <xs:element name="RmIndex" type="xs:unsignedByte"/>
      <xs:element name="FirstChannelCenterFrequency" type="xs:unsignedInt"
minOccurs="0" maxOccurs="1"/>
      <xs:element name="VendorParameters" type="xs:string" minOccurs="0"
maxOccurs="unbounded"/>
      <xs:element ref="Connectivity"/>
    </xs:sequence>
  </xs:complexType>
  <!-- Class: <<XSDgroup>> ChannelBlockRange -->
  <xs:element name="ChannelBlockRange" type="ChannelBlockRange"/>
  <xs:complexType name="ChannelBlockRange">
    <xs:sequence>
      <xs:element name="MinimumCenterFrequency" type="xs:unsignedInt"/>
      <xs:element name="MaximumCenterFrequency" type="xs:unsignedInt"/>
    </xs:sequence>
  </xs:complexType>
  <!-- Class: <<XSDgroup>> ResequencingChannelSubset -->
  <xs:element name="ResequencingChannelSubset" type="ResequencingChannelSubset"/>
  <xs:complexType name="ResequencingChannelSubset">
    <xs:sequence>
      <xs:element name="Capability" type="xs:unsignedByte" minOccurs="0"
maxOccurs="1"/>
    </xs:sequence>
  </xs:complexType>
  <!-- Class: <<XSDattributeGroup>> CommonPhysicalLayerParameter -->
  <xs:element name="CommonPhysicalLayerParameter"
type="CommonPhysicalLayerParameter"/>
  <xs:complexType name="CommonPhysicalLayerParameter">
    <xs:sequence>
      <xs:element name="QamModulationOrder" type="xs:boolean"/>
      <xs:element name="Interleave" type="xs:boolean"/>
    </xs:sequence>
  </xs:complexType>
</xs:schema>
```

VII.3 XML Instance Document for DOCSIS Standard RCP profiles

```
<RCPMessage xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:noNamespaceSchemaLocation="file://c:\Documents%20and%20Settings\bhedstrom\My%20D
ocuments\Specifications\DOCSIS\3.0\MULPI%20Spec\Receive%20Channel%20Class%20Diagram.xs
d">
<!-- J.83 Annex B profiles-->
    <!-- 2 Channel Standard Receive Channel Profile for 6 MHz DOCSIS
         See Table E-1 of DOCSIS 3.0 MAC And Upper Layer Protocol Interface
         Specification CM-SP-MULPIv3.0-I01-060804 -->
    <ReceiveChannelProfile>
        <RcpId>0010000002</RcpId>
        <Name>CLAB-6M-002</Name>
        <CenterFrequencySpacing>6</CenterFrequencySpacing>
        <ReceiveModuleCapability>
            <RmIndex>1</RmIndex>
            <NumAdjacentChannels>10</NumAdjacentChannels>
        </ReceiveModuleCapability>
        <ReceiveChannelCapability>
            <RcIndex>1</RcIndex>
            <PrimaryDsChannelIndicator>true</PrimaryDsChannelIndicator>
            <Connectivity>
```

```
            <RmIndex>1</RmIndex> <!--0x40-->
        </Connectivity>
    </ReceiveChannelCapability>
    <ReceiveChannelCapability>
        <RcIndex>2</RcIndex>
        <PrimaryDsChannelIndicator>false</PrimaryDsChannelIndicator>
        <Connectivity>
            <RmIndex>1</RmIndex> <!--0x40-->
        </Connectivity>
    </ReceiveChannelCapability>
</ReceiveChannelProfile>

<!-- 3 Channel Standard Receive Channel Profile for 6 MHz DOCSIS
     See Table E-2 of DOCSIS 3.0 MAC And Upper Layer Protocol Interface
     Specification CM-SP-MULPIv3.0-I01-060804 -->
<ReceiveChannelProfile>
    <RcpId>0010000003</RcpId>
    <Name>CLAB-6M-003</Name>
    <CenterFrequencySpacing>6</CenterFrequencySpacing>
    <ReceiveModuleCapability>
        <RmIndex>1</RmIndex>
        <NumAdjacentChannels>10</NumAdjacentChannels>
    </ReceiveModuleCapability>
    <ReceiveChannelCapability>
        <RcIndex>1</RcIndex>
        <PrimaryDsChannelIndicator>true</PrimaryDsChannelIndicator>
        <Connectivity>
            <RmIndex>1</RmIndex> <!--0x40-->
        </Connectivity>
    </ReceiveChannelCapability>
    <ReceiveChannelCapability>
        <RcIndex>2</RcIndex>
        <PrimaryDsChannelIndicator>false</PrimaryDsChannelIndicator>
        <Connectivity>
            <RmIndex>1</RmIndex> <!--0x40-->
        </Connectivity>
    </ReceiveChannelCapability>
    <ReceiveChannelCapability>
        <RcIndex>3</RcIndex>
        <PrimaryDsChannelIndicator>false</PrimaryDsChannelIndicator>
        <Connectivity>
            <RmIndex>1</RmIndex> <!--0x40-->
        </Connectivity>
    </ReceiveChannelCapability>
</ReceiveChannelProfile>

<!-- 4 Channel Standard Receive Channel Profile for 6 MHz DOCSIS
     See Table E-3 of DOCSIS 3.0 MAC And Upper Layer Protocol Interface
     Specification CM-SP-MULPIv3.0-I01-060804 -->
<ReceiveChannelProfile>
    <RcpId>0010000004</RcpId>
    <Name>CLAB-6M-004</Name>
    <CenterFrequencySpacing>6</CenterFrequencySpacing>
    <ReceiveModuleCapability>
        <RmIndex>1</RmIndex>
        <NumAdjacentChannels>10</NumAdjacentChannels>
    </ReceiveModuleCapability>
    <ReceiveChannelCapability>
        <RcIndex>1</RcIndex>
        <PrimaryDsChannelIndicator>true</PrimaryDsChannelIndicator>
        <Connectivity>
            <RmIndex>1</RmIndex> <!--0x40-->
        </Connectivity>
    </ReceiveChannelCapability>
    <ReceiveChannelCapability>
        <RcIndex>2</RcIndex>
        <PrimaryDsChannelIndicator>false</PrimaryDsChannelIndicator>
```

```
            <Connectivity>
                <RmIndex>1</RmIndex> <!--0x40-->
            </Connectivity>
        </ReceiveChannelCapability>
        <ReceiveChannelCapability>
            <RcIndex>3</RcIndex>
            <PrimaryDsChannelIndicator>false</PrimaryDsChannelIndicator>
            <Connectivity>
                <RmIndex>1</RmIndex> <!--0x40-->
            </Connectivity>
        </ReceiveChannelCapability>
        <ReceiveChannelCapability>
            <RcIndex>4</RcIndex>
            <PrimaryDsChannelIndicator>false</PrimaryDsChannelIndicator>
            <Connectivity>
                <RmIndex>1</RmIndex> <!--0x40-->
            </Connectivity>
        </ReceiveChannelCapability>
    </ReceiveChannelProfile>

<!-- J.83 Annex A profiles-->
    <!-- 2 Channel Standard Receive Channel Profile for 8 MHz DOCSIS
         See Table E-4 of DOCSIS 3.0 MAC And Upper Layer Protocol Interface
         Specification CM-SP-MULPIv3.0-I01-060804 -->
    <ReceiveChannelProfile>
        <RcpId>0010001002</RcpId>
        <Name>CLAB-8M-002</Name>
        <CenterFrequencySpacing>8</CenterFrequencySpacing>
        <ReceiveModuleCapability>
            <RmIndex>1</RmIndex>
            <NumAdjacentChannels>7</NumAdjacentChannels>
        </ReceiveModuleCapability>
        <ReceiveChannelCapability>
            <RcIndex>1</RcIndex>
            <PrimaryDsChannelIndicator>true</PrimaryDsChannelIndicator>
            <Connectivity>
                <RmIndex>1</RmIndex> <!--0x40-->
            </Connectivity>
        </ReceiveChannelCapability>
        <ReceiveChannelCapability>
            <RcIndex>2</RcIndex>
            <PrimaryDsChannelIndicator>false</PrimaryDsChannelIndicator>
            <Connectivity>
                <RmIndex>1</RmIndex> <!--0x40-->
            </Connectivity>
        </ReceiveChannelCapability>
    </ReceiveChannelProfile>

    <!-- 3 Channel Standard Receive Channel Profile for 8 MHz DOCSIS
         See Table E-5 of DOCSIS 3.0 MAC And Upper Layer Protocol Interface
         Specification CM-SP-MULPIv3.0-I01-060804 -->
    <ReceiveChannelProfile>
        <RcpId>0010001003</RcpId>
        <Name>CLAB-8M-003</Name>
        <CenterFrequencySpacing>8</CenterFrequencySpacing>
        <ReceiveModuleCapability>
            <RmIndex>1</RmIndex>
            <NumAdjacentChannels>7</NumAdjacentChannels>
        </ReceiveModuleCapability>
        <ReceiveChannelCapability>
            <RcIndex>1</RcIndex>
            <PrimaryDsChannelIndicator>true</PrimaryDsChannelIndicator>
            <Connectivity>
                <RmIndex>1</RmIndex> <!--0x40-->
            </Connectivity>
        </ReceiveChannelCapability>
        <ReceiveChannelCapability>
```

```
            <RcIndex>2</RcIndex>
            <PrimaryDsChannelIndicator>false</PrimaryDsChannelIndicator>
            <Connectivity>
                <RmIndex>1</RmIndex> <!--0x40-->
            </Connectivity>
        </ReceiveChannelCapability>
        <ReceiveChannelCapability>
            <RcIndex>3</RcIndex>
            <PrimaryDsChannelIndicator>false</PrimaryDsChannelIndicator>
            <Connectivity>
                <RmIndex>1</RmIndex> <!--0x40-->
            </Connectivity>
        </ReceiveChannelCapability>
    </ReceiveChannelProfile>

    <!-- 4 Channel Standard Receive Channel Profile for 8 MHz DOCSIS
         See Table E-6 of DOCSIS 3.0 MAC And Upper Layer Protocol Interface
         Specification CM-SP-MULPIv3.0-I01-060804 -->
    <ReceiveChannelProfile>
        <RcpId>0010001004</RcpId>
        <Name>CLAB-8M-004</Name>
        <CenterFrequencySpacing>8</CenterFrequencySpacing>
        <ReceiveModuleCapability>
            <RmIndex>1</RmIndex>
            <NumAdjacentChannels>7</NumAdjacentChannels>
        </ReceiveModuleCapability>
        <ReceiveChannelCapability>
            <RcIndex>1</RcIndex>
            <PrimaryDsChannelIndicator>true</PrimaryDsChannelIndicator>
            <Connectivity>
                <RmIndex>1</RmIndex> <!--0x40-->
            </Connectivity>
        </ReceiveChannelCapability>
        <ReceiveChannelCapability>
            <RcIndex>2</RcIndex>
            <PrimaryDsChannelIndicator>false</PrimaryDsChannelIndicator>
            <Connectivity>
                <RmIndex>1</RmIndex> <!--0x40-->
            </Connectivity>
        </ReceiveChannelCapability>
        <ReceiveChannelCapability>
            <RcIndex>3</RcIndex>
            <PrimaryDsChannelIndicator>false</PrimaryDsChannelIndicator>
            <Connectivity>
                <RmIndex>1</RmIndex> <!--0x40-->
            </Connectivity>
        </ReceiveChannelCapability>
        <ReceiveChannelCapability>
            <RcIndex>4</RcIndex>
            <PrimaryDsChannelIndicator>false</PrimaryDsChannelIndicator>
            <Connectivity>
                <RmIndex>1</RmIndex> <!--0x40-->
            </Connectivity>
        </ReceiveChannelCapability>
    </ReceiveChannelProfile>
</RCPMessage>
```

Appendix VIII Acknowledgements (Informative)

On behalf of the cable industry and our member companies, CableLabs would like to thank the following individuals for their contributions to the development of this specification.

Contributor	Company Affiliation
Ben Bekele	Cox Cable
Eduardo Cardona	CableLabs
Kirk Erichsen	Time Warner
Deepak Gargeshwari	C-COR
Bill Hanks	Arris Group
Brian Hedstrom	CableLabs
Marco Laburada	Sunrise Telecom
Jaan Leemet	Sunrise Telecom
Kevin Luehrs	CableLabs
Fred Oko	C-COR
Sivakumar Patchayappan	Cisco
Michael Patrick	Motorola
Lucy Pollak	Texas Instruments
Lakshmi Raman	CableLabs
Sebastien Roy	Sunrise Telecom
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Frances Shen	Cisco
Pak Siripunkaw	Comcast Cable
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Charles Zheng	Cisco

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Appendix IX Revision History (Informative)

IX.1 Engineering Changes for CM-SP-OSSIv3.0-I02-070223

The following Engineering Changes are incorporated into CM-SP-OSSIv3.0-I02-070223:

ECN	ECN Date	Summary
OSSIv3.0-N-06.0357-1	1/17/2007	OSSIv3.0 MIB Corrections for docsIf3CmtsSpectrumAnalysisMeasRowStatus and docsSecMib

IX.2 Engineering Changes for CM-SP-OSSIv3.0-I03-070518

The following Engineering Changes are incorporated into CM-SP-OSSIv3.0-I03-070518:

EC Identifier	ECN Date	Summary
OSSIv3.0-N-07.0448-1	4/18/2007	Editorial change for Table O-28
OSSIv3.0-N-07.0447-2	4/18/2007	Clarification of modem capabilities reported by CMTS
OSSIv3.0-N-07.0446-4	4/18/2007	Clarifications for the configuration of the Annex of Downstrean Channels in a Mac Domain
OSSIv3.0-N-07.0445-3	4/18/2007	Object Model Diagrams Updates for multiple ECNs
OSSIv3.0-N-07.0444-3	4/18/2007	Max CPE IPv4 and Max IPv6 Prefix Support in OSSI
OSSIv3.0-N-07.0443-2	4/18/2007	Compliance requirements for RFC 4546
OSSIv3.0-N-07.0442-3	4/18/2007	Miscellaneous editorial corrections
OSSIv3.0-N-07.0441-4	4/18/2007	UDC updates for Subsciber Management Annex P
OSSIv3.0-N-07.0437-4	4/18/2007	Object model for SfSidCluster (Annex O) has correct indexes, however the corresponding MIB docsQos3SfSidClusterTable is missing the ServiceFlowId index
OSSIv3.0-N-07.0436-1	4/18/2007	Continuation of OSSIv2.0-N-05.0225-2 'OSSI changes in support of SID expansion' for docsQosUpstreamStatsEntry
OSSIv3.0-N-07.0435-2	4/18/2007	Updates for TLV 38 to support simultaneous IPv4 and IPv6 target Addresses
OSSIv3.0-N-07.0424-3	4/18/2007	Updates to clarify references to docsIfCmtsCmStatusTable and docsIfCmStatusTable
OSSIv3.0-N-07.0422-5	4/18/2007	Clarification to the index RccStatusId on the CM
OSSIv3.0-N-07.0413-3	4/11/2007	Addition of CMTS debug object for triggering CM-CTRL-REQ
OSSIv3.0-N-07.0412-2	4/11/2007	Annex O clarifications for RCP-ID and Hold-Off Timer for Sequence Out-of-Range Events Units
OSSIv3.0-N-07.0411-3	4/11/2007	Multicast Annex Clarifications
OSSIv3.0-N-07.0410-4	4/18/2007	Updates for CM and CMTS registration states object, CmUsStatus object and CmtsCmUsStatus object.
OSSIv3.0-N-07.0404-1	3/28/2007	Alignment of Section 7.1.3.7 with Annex A requirements
OSSIv3.0-N-07.0403-1	3/28/2007	CMCI Interface Types requirements simplifications

OSSIv3.0-N-07.0402-1	3/28/2007	USB Management requirements simplifications
OSSIv3.0-N-07.0400-1	3/21/2007	SNMP Access from CPE and control
OSSIv3.0-N-07.0397-2	3/21/2007	ifLinkUpDownTrapEnable requirement clarifications
OSSIv3.0-N-07.0395-3	3/14/2007	Clarifications to Section 7.1.3.1 and corresponding rows in Annex A Table A-3
OSSIv3.0-N-07.0392-1	3/7/2007	Clarifications to CMTS MIB requirements
OSSIv3.0-N-07.0386-1	2/28/2007	Removal of SNMP ColdStart notification after Diffie-Hellman kickstart process is completed
OSSIv3.0-N-07.0385-1	2/28/2007	CM filtering Requirements and Clarifications
OSSIv3.0-N-07.0384-1	2/28/2007	Annex O attributes clarifications
OSSIv3.0-N-07.0376-1	1/31/2007	Replace reference [ID CD MIB] with new RFC 4639

IX.3 Engineering Changes for CM-SP-OSSIv3.0-I04-070803

The following Engineering Changes are incorporated into CM-SP-OSSIv3.0-I04-070803:

EC Identifier	ECN Date	Summary
OSSIv3.0-N-07.0469-2	6/20/2007	Updates for MIB object access for CM access before registration
OSSIv3.0-N-07.0473-3	7/5/2007	Clarifications for the Application ID in OSSIv3.0
OSSIv3.0-N-07.0475-3	7/5/2007	New Format OSSI Annex A Table A.3 and updated requirements
OSSIv3.0-N-07.0477-2	6/27/2007	Correction of malformed requirements for ReqPro tagging
OSSIv3.0-N-07.0478-2	6/27/2007	Updates to SAMIS IPDR Service definitions
OSSIv3.0-N-07.0480-3	7/5/2007	Restructure of QOS Management requirements
OSSIv3.0-N-07.0481-2	7/5/2007	Changes for the MdCfg Object and Load Balancing Changes
OSSIv3.0-N-07.0497-2	7/5/2007	Upstream Drop Classifier Corrections
OSSIv3.0-N-07.0498-1	7/5/2007	Clarifications for MGMD MIB support for CMTS
OSSIv3.0-N-07.0499-1	7/5/2007	Clarification to QosCfgId in the CMTS Group Config object
OSSIv3.0-N-07.0500-4	7/5/2007	Annex D Event Definitions for MULPI
OSSIv3.0-N-07.0501-1	7/5/2007	Remove Section 11 (introduced into I03 in error by CableLabs' editor)

IX.4 Engineering Changes for CM-SP-OSSIv3.0-I05-071206

The following Engineering Changes are incorporated into CM-SP-OSSIv3.0-I05-071206:

EC Identifier	ECN Date	Summary
OSSIv3.0-N-07.0473-3	6/20/2007	Corrected an editorial error in the implementation of change #3.
OSSIv3.0-N-07.0506-3	7/25/2007	Clarification on IPDR requirements.
OSSIv3.0-N-07.0510-1	8/1/2007	Requirement clarification for UDC provisioning and docsDevFilterIpTable access
OSSIv3.0-N-07.0511-2	8/1/2007	Expand CM requirement for standard SNMP Notification list for ReqPro tagging

OSSIv3.0-N-07.0512-1	8/1/2007	Annex A Clarification of ENTITY-SENSOR-MIB requirements for the CM
OSSIv3.0-N-07.0514-2	9/19/2007	Clarification of CM and CMTS support of ifPhysAddress from ifTable in RFC 2863
OSSIv3.0-N-07.0516-2	9/5/2007	Bridge MIB requirement clarifications for STP
OSSIv3.0-N-07.0522-7	10/31/2007	DOCSIS 3.0 Load Balancing Requirements
OSSIv3.0-N-07.0528-2	10/17/2007	Removal of docsIf3CmStatusDocsisOperMode from Annex A table
OSSIv3.0-N-07.0531-1	10/17/2007	cmRegState Textual Convention
OSSIv3.0-N-07.0539-1	10/24/2007	DOCSIS 3.0 Enhanced Signal Quality Monitoring Clarification
OSSIv3.0-N-07.0540-4	10/31/2007	Clarifications for RFC 2863 interface counters
OSSIv3.0-N-07.0550-2	10/24/2007	Editorial Corrections for OSSIv3.0 I04
OSSIv3.0-N-07.0551-4	10/24/2007	Annex D Event Definition for Multicast & MaxNumSess MIB correction
OSSIv3.0-N-07.0552-4	10/31/2007	CMTS Management rquirements for PHSI
OSSIv3.0-N-07.0553-4	10/24/2007	OSSI3.0 updates for DRFI and PHY3.0 Cm Power Requirements and Entity MIB requirements
OSSIv3.0-N-07.0554-4	10/31/2007	DOCSIS Path Verify Management requirements for CM
OSSIv3.0-N-07.0564-4	10/24/2007	Removal of Grant Mode and CCF mode from Annex A
OSSIv3.0-N-07.0565-2	10/24/2007	Reintroduce changes #2+3 in ECN-07.0424-3 which were never incorporated into published spec