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By Authority of the
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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No. EV069127235US

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☐ *Additional inventors are being named on the _____ separately numbered sheets attached hereto*

16235 U.S. PTO 60/478245 06/13/03

TITLE OF THE INVENTION (500 characters max)

Radio Frequency Identification Using Mobile Communication Device

Direct all correspondence to: **CORRESPONDENCE ADDRESS**

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ENCLOSED APPLICATION PARTS *(check all that apply)*

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☐ Application Data Sheet. See 37 CFR 1.76

☐ CD(s), Number

☑ Other (specify) Return receipt postcard

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☐ Applicant claims small entity status. See 37 CFR 1.27.

☑ A check or money order is enclosed to cover the filing fees

☑ The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: 500393

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Respectfully submitted,

SIGNATURE _____

Date 06/13/2003

TYPED or PRINTED NAME Aloysius T.C. AuYeung

REGISTRATION NO. *(if appropriate)* 35,432

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USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

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PROVISIONAL APPLICATION FOR UNITED STATES PATENT

FOR

RADIO FREQUENCY IDENTIFICATION USING MOBILE COMMUNICATION DEVICE

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FIELD OF THE INVENTION

The present invention relates to the fields of security, communication, and data processing. More specifically, the present invention is related to a radio frequency data input method, emulated using a mobile communication device.

BACKGROUND OF THE INVENTION

It wasn't that long ago, even residents in medium size cities still feel secured enough to leave their homes unlocked and/or their garages open. In general, one can access one's place of employment, including parking facilities as well as one's office without identification or access keys.

In the world of commerce, things were also simpler. One typically may shop and consume goods and services, at relatively low prices, without having to be affiliated with any programs or entities.

However, the world has become a lot more complex in recent years. Virtually, all properties of any value, premises, including one's home, have to be secured, even for relatively small towns and cities. One can hardly shop and consume any goods and services, without having signed up with some promotional frequent "usage" programs or becoming affiliated with the commercial entities. The employment of affinity marketing has reached a point even neighboring grocery chains employ them, and not just airlines, hotels, or wholesale discount retailers.

As a result, it is not uncommon to find a person having to carry a number of physical keys and access/identification cards/tags to gain access to secured premises, such as one's home, office, parking garage, and so forth. Additionally, the person is likely to carry a number of remote security control devices, such as

a key with remote control for gaining access to his/her vehicle, a garage door opener for gaining access to the person's garage at home, and so forth. The person is also likely to carry a number of affinity identification cards with member identifiers identifying the person as being affiliated with certain co-op or frequent patronage programs, such as wholesale discount retailers, airline or hotel frequent traveler program, and so forth, that entitle the person to certain benefits, such as discounts or rewards.

Accordingly, it will be desirable to reduce the number of access/affinity keys/cards/tags one needs to carry.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be described by way of exemplary embodiments, but not limitations, illustrated in the accompanying drawings in which like references denote similar elements, and in which:

Figure 1 illustrates an overview of the present invention, in accordance with one embodiment;

Figure 2 illustrates a method view of the present invention, in accordance with one embodiment;

Figure 3 illustrates the relevant hardware elements of the device of **Fig. 1** in further details, in accordance with one embodiment;

Figure 4 illustrates the transceiver of **Fig. 3** in further details, in accordance with another embodiment;

Figures 5a-5b illustrate the exploded views of two embodiments of the mobile communication device of **Fig. 1**;

Figures 5c-5d illustrate an exploded view of another embodiment of the mobile communication device of **Fig. 1**;

Figure 6a-6h illustrate a number of example screens of an end user interface, suitable for use to practice the present invention, in accordance with one embodiment; and

Figure 7 illustrates the operational flow of the relevant aspects of the software in support of the RFID feature of the present invention, in accordance with one embodiment.

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DETAILED DESCRIPTION OF THE INVENTION

The present invention includes a RFID method, more specifically, a RFID method, emulated using a mobile communication device, including the device itself, and certain hardware and/or software embodied therein for the practice of emulating the RFID method.

In the following description, various aspects of the present invention will be described. However, it will be apparent to those skilled in the art that the present invention may be practiced with only some or all aspects of the present invention. For purposes of explanation, specific numbers, materials and configurations are set forth in order to provide a thorough understanding of the present invention. However, it will be apparent to one skilled in the art that the present invention may be practiced without the specific details. In other instances, well-known features are omitted or simplified in order not to obscure the present invention.

Terminology

Parts of the description will be presented in data processing terms, such as data, selection, retrieval, generation, and so forth, consistent with the manner commonly employed by those skilled in the art to convey the substance of their work to others skilled in the art. As well understood by those skilled in the art, these quantities take the form of electrical, magnetic, or optical signals capable of being stored, transferred, combined, and otherwise manipulated through electrical and/or optical components of a processor and its subsystems.

Part of the descriptions will employ various abbreviations, including but are not limited to:

CDROM	Compact Disc Read Only Memory
DDRAM	Dynamic Direct Random Access Memory
DVD	Digital Versatile Disc
EEPROM	Electrically Eraseable Programmable Read-Only-Memory
HTTP	HyperText Transmission Protocol
SDRAM	Static Direct Random Access Memory
SMS	Small Messaging Service

The term "number" as used in this application to describe a data, including both its usage in the specification and the claims, typically refers to numeric data, as the word "number" is conventionally used in mathematics. However, in certain contexts, the "number" may also include alphabet or special characters, as the term is conventionally understood by those skilled in the art in those contexts. For examples, a driver's license number, a passport number, an employee number, or a student ID number, as each of these terms is conventionally used, often includes one or more alphabets or special characters, even though they are referred to as "numbers". The term accordingly is to be given the meaning that is consistent with the context under which the term is used.

Section Headings, Order of Descriptions and Embodiments

Section headings are merely employed to improve readability, and they are not to be construed to restrict or narrow the present invention.

Various operations will be described as multiple discrete steps in turn, in a manner that is most helpful in understanding the present invention, however, the

order of description should not be construed as to imply that these operations are necessarily order dependent. In particular, these operations need not be performed in the order of presentation.

The phrase "in one embodiment" is used repeatedly. The phrase generally does not refer to the same embodiment, however, it may. The terms "comprising", "having" and "including" are synonymous, unless the context dictates otherwise.

Overview

Refer now to **Figure 1**, wherein a block diagram illustrating an overview of the present invention **100**, in accordance with one embodiment, is shown. As illustrated, mobile communication device **102** is equipped with hardware and/or software elements **104** to perform its primary function, which is to facilitate a user of device **102** to engage in communication with another user of another communication device (not shown). Additionally, in accordance with the present invention, mobile communication device **102** is advantageously equipped with hardware and/or software elements **106** to facilitate provision or transfer of a key/identifier in a form a radio frequency signal **110**, which may be read e.g. by a radio frequency identifier (RFID) reader **120**. In turn, the provision of RFID **110** may be responded to by a system (not shown) with which RFID reader **120** is a part or coupled to.

As depicted in **Fig. 1**, blocks **104** and **106** "intersect" with one another. The "intersection" represents the fact that in preferred embodiments of the present invention, substantial portions of the hardware and/or software elements employed to provide a RFID, are the same elements employed to facilitate the

primary function of device **102**, i.e. to facilitate a user in communicating with another user of another communication device.

In other words, the present invention advantageously leverages on existing elements of mobile communication device **102**, and supplements them, to enable mobile communication device **102** to be able to provide a RFID, emulating a RFID transponder, as well as facilitating user communication.

As will be described in more detail below, in preferred embodiments, mobile communication device **102** is equipped to provide RFID, emulating an active and/or a passive RFID transponder.

Further, RFID **110** may be any keys and/or identifiers, including but not limited to security keys, such as garage door "keys", exterior or interior door keys, or identifiers, such as, employee numbers, driver's license numbers, social security numbers, credit card numbers (optionally, including expiration dates), affinity program member identifiers, and so forth.

Continue to refer to **Fig. 1**, except for the present invention incorporated therein, mobile communication device **102** represents a broad range of mobile communication devices known in the art, including but are not limited to wireless mobile phones and personal digital assistants equipped with communication capability.

The term "wireless mobile phone" as used herein, including the specification and the claims, refers to the class of telephony devices equipped to facilitate a user in communicating with another user of another communication device, notwithstanding the user's movement around different geographic areas, so long the wireless mobile phone is in contact with a base/service station of a wireless network service provider. The term includes the analog as well as the digital subclasses. Communication may be voice and/or data. The other user/communication device may be mobile or land line based.

RFID reader **120** represents a broad range of such devices known in the art or to be designed. Currently, most RFID readers **120** employ proprietary formats/protocols. That is, RFID readers **120** of different manufacturers tend to employ different frequencies, amplitudes, and/or keying schemes (which may be frequency shifting keying (FSK), amplitude shifting keying (ASK) or phase shifting keying (PSK)).

Methods of the present inventions, including elements **106**, in particular, added elements, will be described in turn below.

Method

Figure 2 illustrates a method view of the present invention, in accordance with one embodiment. As illustrated, device **102** is first provided with the RFIDs, block **202**. The RFIDs may be provided in any one of a number of known or to be designed manners, including but are not limited to

- manual entry, using a keypad of device **102**,
- read into device **102**, from an access/identification card, using a magnetic or optical card reader of device **102**,
- downloaded into device **102**, from a coupled computing device, through a serial/parallel port of device **102** or through a network interface of device **102** using SMS or HTTP messages.

Typically, provision of a RFID will include the manner the RFID is to be outputted, i.e. signaled. As alluded to earlier, the manner it is to be signaled is dependent on the format/protocol employed by the intended RFID reader **120**. For examples, for a garage door key, the format/protocol employed by RFID reader **120** of the garage opener system, and for an affinity program member identifier, the format/protocol employed by RFID reader **120** of the point-of-sale system of the entity offering the affinity program.

Alternatively, a default signaling format/protocol, such as an industry standard, the most popular proprietary format/protocol, or a selected proprietary format/protocol may be assumed instead, when one is not provided.

During operation, in response to a user instruction, typically after the user has selected the RFID to be outputted from a number of RFIDs stored in device **102**, block **203**, device **102** outputs the selected RFID as instructed, emulating an active RFID transponder, block **204**.

For the embodiment, one RFID, e.g. an access key/identifier (such as an employee number), may also be selected/designated as a default RFID, the output of which may be emulated in a passive manner. That is, the selected/designated default RFID will be outputted automatically, whenever device **102** is within the proximal presence, or more specifically, the operational space, of an intended RFID reader **120**.

Accordingly, device **102** monitors for probing signals of an intended RFID reader **120** (or a type of RFID readers **120**), to determine whether device **102** is within the operational space of such a RFID reader **120**, block **205**. On so determining, device **102** outputs the designated RFID automatically, emulating a passive RFID transponder, block **206**.

A Hardware/Software Implementation

Figure 3 illustrates the relevant elements of device **102**, in accordance with one embodiment. As illustrated, for the embodiment, device **102** includes processor **302**, memory **304**, persistent store **306**, transceiver **308**, and a number of other components **310**, coupled to each other via bus **312**.

Persistent store **306** and memory **304** are employed to store permanent and working copies of a software implementation of the operating logic **320** of device **102**, including the supplemental RFID feature **322**. In various

embodiments, persistent store **306** may be a EEPROM (or like kind variants, such as a Flash Memory, a Memory Stick), a magnetic or optical disk drive, a CDROM, a DVD drive and so forth. Memory **304** may be any SDRAM, DDRAM or other high speed volatile as way as non-volatile storage devices known in the art.

Processor **302** is employed to execute operating logic **320**, including RFID feature **322**. As will be readily apparent from the description to follow, operating logic **320** may be implemented in any one of a number of suitable system programming languages, including but not limited to high level languages that may be compiled into executable instructions supported by processor **302**. Processor **302** may be any one of a number of processors designed or to be designed for mobile devices.

Except for transceivers **308**, RFID feature **322** and the manner the various elements of **Fig. 3** are used to practice the present invention, the other illustrated elements are known in the art, and accordingly will not be further described. One embodiment of transceiver **308** will be described referencing **Fig. 4**, and one embodiment of RFID feature **322** will be described, referencing **Fig. 6-7**.

As described earlier, processor **302**, memory **304**, persistent store **306** and bus **312** may be shared elements of device **102**, also employed to implement the primary communication function of device **102**. However, in alternate embodiments, dedicated elements may be employed for some or all of these elements instead.

In one embodiment, device **102** is a wireless mobile telephone, an exploded view of which is illustrated in **Fig. 5a**. Wireless mobile phone **500**, in addition to the earlier described elements, also includes display **506**, control buttons **504**, keypad **502**, antenna **508**, body **512** and cover **514**. Body **512** is substantially rectangular in shape. Further, body **512** is palm-sized or smaller.

For the embodiment, cover **514** includes embedded electronic components having instructions, data, and/or locations to obtain such instructions and/or data to personalize, customize and/or enhance phone **500**. Phone **500** includes complementary electronic component interface **516** in support of such personalization, customization and/or enhancement.

Cover **514** may form a part of housing **512**, i.e. a required element to complete phone **500**, or it may be an accessory to be adorned by phone **500**, i.e. not a required element to complete phone **500**.

In another embodiment, device **102** is a PDA, an exploded view of which, is illustrated in **Fig. 5b**. PDA **502**, in addition to the earlier described elements, also includes display **524**, control buttons **522**, antenna **526**, body **532**, and cover **534**. Body **530** is also substantially rectangular in shape, as well as palm-sized or smaller.

Similarly, for the embodiment, cover **534** includes embedded electronic components having instructions, data, and/or locations to obtain such instructions and/or data to personalize, customize and/or enhance PDA **520**. PDA **520** includes complementary electronic component interface **536** in support of such personalization, customization and/or enhancement.

Cover **534** may form a part of housing **532**, i.e. a required element to complete PDA **520**, or it may be an accessory to be adorned by PDA **520**, i.e. not a required element to complete PDA **520**.

Figure 5c-5d illustrate yet another embodiment of device **102**, another wireless mobile telephone, an exploded view of which is illustrated. Wireless mobile phone **540** is similarly constituted as the earlier described embodiments, including body **542** and cover **544**. However, body **542** has a substantially boomerang or banana shape. Body **542** is also typically palm-sized or smaller.

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For the embodiment, cover **544** also includes embedded electronic components having instructions, data, and/or locations to obtain such instructions and/or data to personalize, customize and/or enhance phone **540**. Phone **540** includes complementary electronic component interface (not shown) in support of such personalization, customization and/or enhancement.

Cover **544** may form a part of housing **542**, i.e. a required element to complete phone **540**, or it may be an accessory to be adorned by phone **540**, i.e. not a required element to complete phone **540**.

In all or selected one(s) of these embodiments, some or all elements **106** in support of the RFID feature of the present invention may be provided through embedded electronic components of the housing/accessory covers.

Smart covers are the subject matters of subject matter of co-pending U.S. Application, number 10/087,098, filed March 1, 2002, entitled "Personalizing Electronic Devices and Smart Covering", and U.S. Application, number <insert>, filed May 2, 2003, entitled "Personalization of Mobile Electronic Devices and Smart Accessory Cover", which specifications are hereby fully incorporated by reference.

While all three embodiments of **Fig. 5a-5d** have been illustrated with smart covers and external antennas. In alternate embodiments, the present invention may be practiced without smart covers and/or external antennas. The present invention may be practiced with conventional covers, without embedded intelligence and/or internal antennas.

Transceiver

Figure 4 illustrates transceiver **308** of **Fig. 3** in further details, in accordance with one embodiment. As illustrated, for the embodiment, transceiver **308** includes a joint radio frequency (RF) transmit/receive (TX/RX)

section **402**, separate signal processing sections **408** and **410** for a range of higher frequencies and a range of low frequencies, switch **404** and splitter **406**. The elements are coupled to each other as shown.

For the embodiment, joint RF TX/RX **402** includes in particular, switch **420**, filters **412** and **422**, low noise amplifier **424** and power amplifier **414**, coupled to one another as shown. Switch **420** is employed to switch between transmitting and receiving RF signals. Filters **412** and **422**, low noise amplifier **424** and power amplifier **414** are employed to perform their conventional filtering and amplification functions on the transmit and receive signals.

For transmission, switch **404** switches between the output of high frequency signal processing **410** and the output of low frequency signal processing **408** to the transmit path of Joint RF TX/RX **402**.

For reception, splitter **406** splits the output of the receive path of Joint RF TX/RX **402** and provides the receive signal to high frequency signal processing **410** as well as low frequency signal processing **408**.

For the embodiment, high frequency signal processing **410** performs up and down conversions of the transmit and receive signals of the primary communication function of mobile communication device **102**, e.g. the transmit and receive signals of a voice call. In one embodiment, the transmit and receive signals are transmitted and received in the GHz ranges.

Low frequency signal processing **408**, on the other hand, performs up and down-conversions of the transmit and receive signals of the RFID feature, e.g. the output signal of a RFID to emulate either an active or a passive transponder, and the received probing signal of a RFID reader. In one embodiment, the transmit and receive signals are transmitted and received in the MHz ranges.

Up and down conversions, filtering, amplifications, and so forth, in and of themselves, except for the manner they are being used to provide RFIDs using a

mobile communication device, are known in the art, accordingly, will not be further described.

RFID Feature

Figures 6-7 illustrate selected portions of an example end user interface, and the operational flow of the relevant aspects of RFID feature **322** respectively, in accordance with one embodiment. **Figure 6** comprises **Fig. 6a-6h**.

As illustrated in **Fig. 6a**, for the embodiment, the user interface includes screen **602** having selectable text display "RFID" **604**, with which a user may interact to launch the RFID function (by selecting text display **604**, using e.g. control keys **504**).

As illustrated in **Fig. 6b**, for the embodiment, the user interface further includes screen **612** enumerating a list of RFIDs **614** stored in device **102**. List **614** may be displayed for example, among other situations, in response to a user's selection of text display **604** of screen **602**. A user may select one of the RFIDs, and instruct device **102** to output the selected RFID in a form of an appropriate radio frequency signal, emulating provision of the RFID by an active RFID transponder, using e.g. a "send/call" key of device **102**.

Screen **612** also includes selectable "option" button **616**, with which a user may interact to display a list of RFID management options, using e.g. control keys **504**.

As illustrated in **Fig. 6c**, for the embodiment, the user interface further includes screen **622** enumerating a list of RFID management options **624**, such as "add", "edit" or "delete" RFIDs. List **624** may be displayed for example, among other situations, in response to a user's selection of "option" **616** of screen **612**.

As illustrated in **Fig. 6d**, for the embodiment, the user interface further includes screen **632** displaying field **634**, through which a user may enter/edit a

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RFID name. Field **634** may be displayed for example, among other situations, in response to a user's selection of "add" or "edit" of screen **622**.

As illustrated in **Fig. 6e**, for the embodiment, the user interface further includes screen **642** displaying field **644**, through which a user may enter/edit a RFID. Field **644** may be displayed for example, among other situations, in response to a user indicating completion of entry of a RFID name using screen **632**.

As illustrated in **Fig. 6f**, for the embodiment, the user interface further includes screen **652** displaying a list of RFID types **654**, with which a user may select and associate with a RFID. List **654** may be displayed for example, among other situations, in response to a user indicating completion of entry of a RFID, using screen **642**, thereby allowing the user to associate a RFID reader type with the entered RFID.

Each RFID reader type is assumed to have a deterministic RFID signaling format/protocol. Accordingly, by selecting the RFID reader type, the user is effectively selecting or specifying the RFID signaling format/protocol. In alternate embodiment, a user may be requested to select the RFID signaling format/protocol explicitly, as opposed to implicitly, in the illustrated embodiment.

As illustrated in **Fig. 6g**, for the embodiment, the user interface further includes screen **662** displaying a request **664** to confirm whether a RFID is to be selected or designated as the default RFID to be used for emulation of passive transponders. Request **664** may be displayed for example, among other situations, in response to a user selecting a RFID reader type, using screen **652**, thereby allowing the user to (implicitly) associate a RFID format/protocol with the entered RFID.

As illustrated in **Fig. 6f**, for the embodiment, the user interface further includes screen **672** displaying a RFID and its details, including but not limited

the intended RFID reader type, whether to be designated as the default RFID for use in emulating passive RFID. Request **674** may be displayed for example, among other situations, in response to a user selecting the "edit" option, using screen **622**, or on completion of designating a RFID as the default RFID for emulating passive transponders, using screen **662**

Operationally, as illustrated in **Fig. 7**, upon receipt of a request to launch the RFID function, support logic of the RFID feature **322** is loaded and given execution control, block **702**. Thereafter, support logic **322** waits for user inputs, block **704**.

On receipt of a user input/request, support logic **322** determines the nature of the input/request, block **706**, taking into the context, i.e. the portion of the user interface being displayed, and with which the user just interacted in submitting the input/request.

As illustrated, on determining that the user has requested a current display list to be scrolled (e.g. RFID list **614** of screen **612**), support logic **322** causes the list to be scrolled as requested. Thereafter, support logic **322** returns to block **708** and waits for further input.

Similarly, on determining that the user has requested a selected RFID to be sent (emulating output of the RFID by an active RFID transponder), support logic **322** causes the RFID to be outputted in a form of an appropriate RF signal (in accordance with the associated intended RFID reader type). Thereafter, support logic **322** again returns to block **710** and waits for further input.

On determining that the user has requested a list of options to be displayed (e.g. selection of "option" **616** of screen **612**), support logic **322** causes the list of options to be displayed as requested. Thereafter, support logic **322** returns to block **712** and waits for further input.

On determining that the user has requested to add a RFID (e.g. selection of "Add" of screen **622**), support logic **322** facilitates addition of a RFID (e.g. successively guiding user entry of a RFID using screens **632-662**. Thereafter, support logic **322** returns to block **714** and waits for further input.

These are a few examples of user inputs/requests support logic **322** may support. The present invention contemplates other user inputs/requests may also be supported, and handled accordingly, block **716**.

Conclusion and Epilogue

Thus, it can be seen from the above descriptions, a novel RFID input method, emulated using a mobile communication device, has been described. The present invention advantageously improved the ease of use for a user to provide data captured in a device to another system, especially for data captured in e.g. a mobile device.

While the present invention has been described in terms of the earlier described embodiments, those skilled in the art will recognize that the invention is not limited to the embodiments described. The present invention can be practiced with modification and alteration within the spirit and scope of the appended claims. Thus, the description is to be regarded as illustrative instead of restrictive on the present invention.

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ABSTRACT

A mobile communication device is equipped with hardware and/or software components to enable the device to output a data in a form of a radio frequency signal, emulating outputting of the data by either an active or a passive RFID transponder. The data may be a security key or an identifier. Emulation of an active RFID transponder includes facilitating selection of the data and instruction to output by a user. Emulation of a passive RFID transponder includes detecting for proximal presence of a RFID reader. Either case, provision of the data to the mobile communication device may include provision of associated signal attribute(s).

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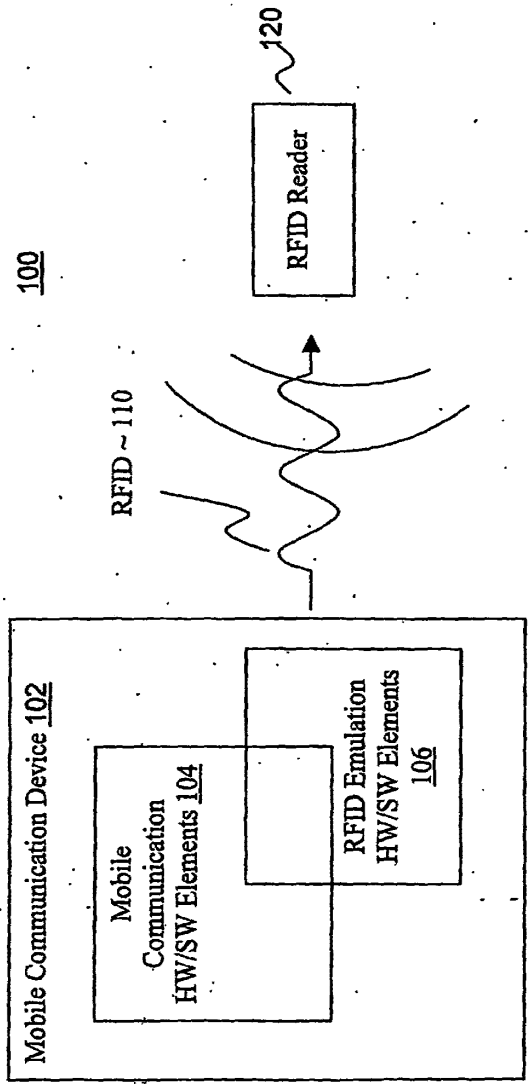

100
Mobile Communication Device 102
Mobile Communication HW/SW Elements 104
RFID Emulation HW/SW Elements 106
RFID ~ 110
120
RFID Reader

Figure 1

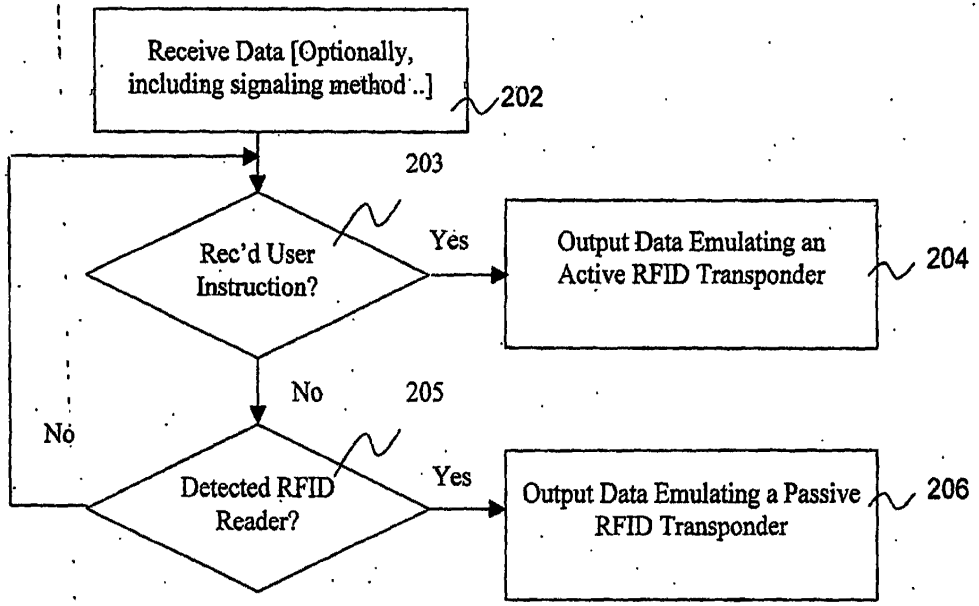

Receive Data [Optionally, including signaling method ..]
202
203
Rec'd User Instruction?
Yes
Output Data Emulating an Active RFID Transponder
204
No
205
No
Detected RFID Reader?
Yes
Output Data Emulating a Passive RFID Transponder
206

Figure 2

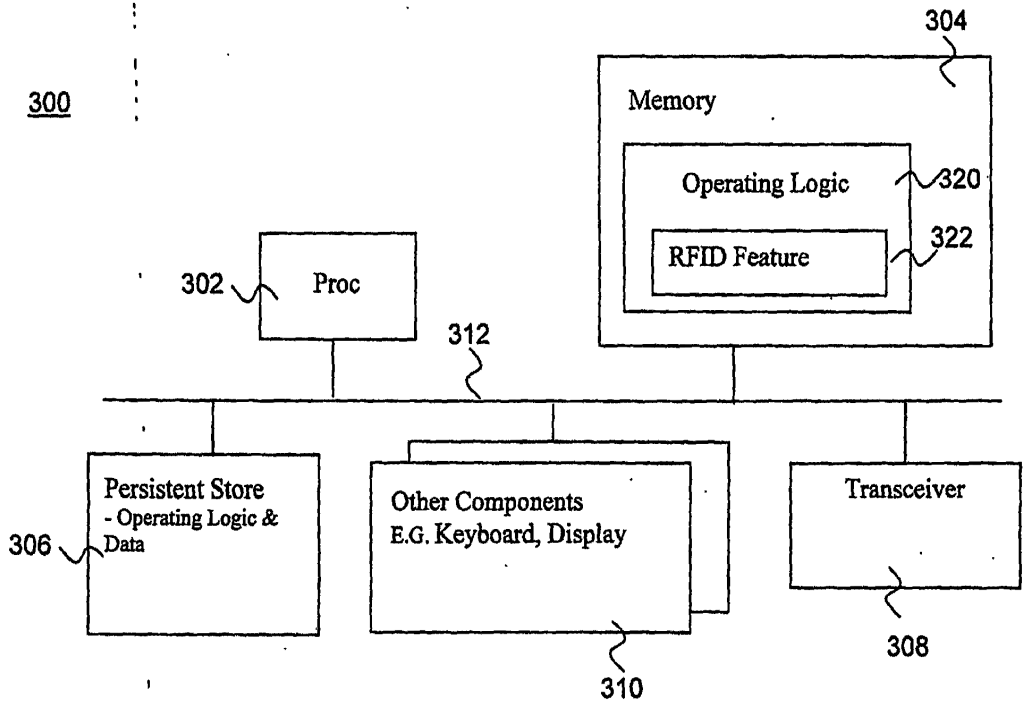
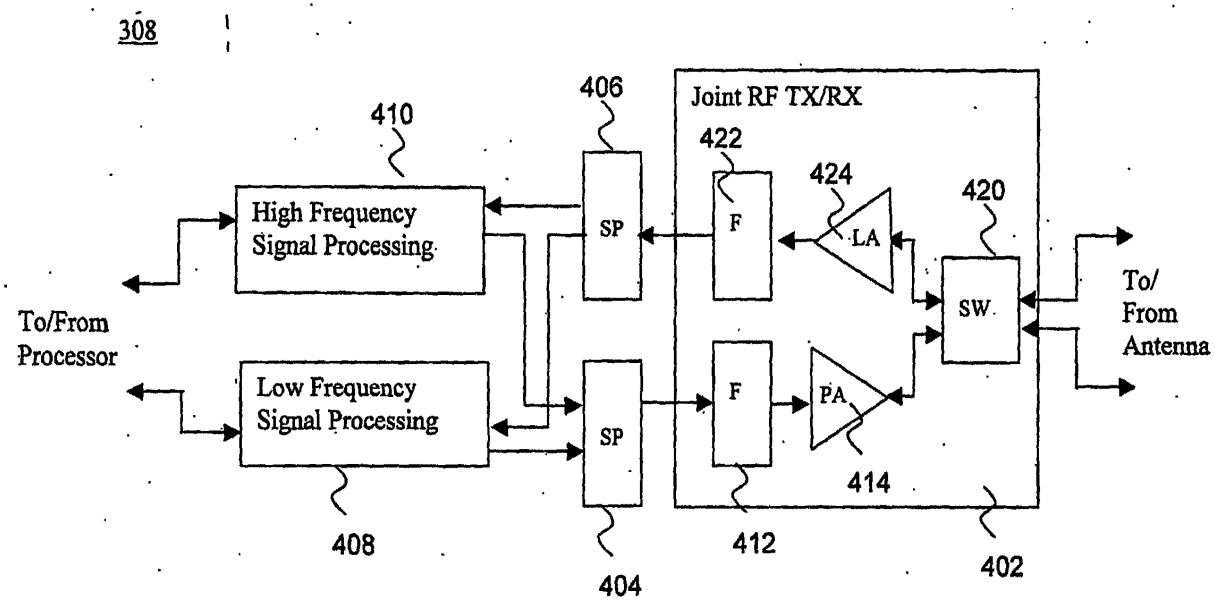

300
304
Memory
Operating Logic
320
RFID Feature
322
302
Proc
312
Persistent Store
- Operating Logic &
Data
306
Other Components
E.G. Keyboard, Display
310
Transceiver
308

Figure 3



Legend:
F = Filter
LA = Low Noise Amplifier
PA = Power Amplifier
SP=Splitter
SW = Switch

Figure 4

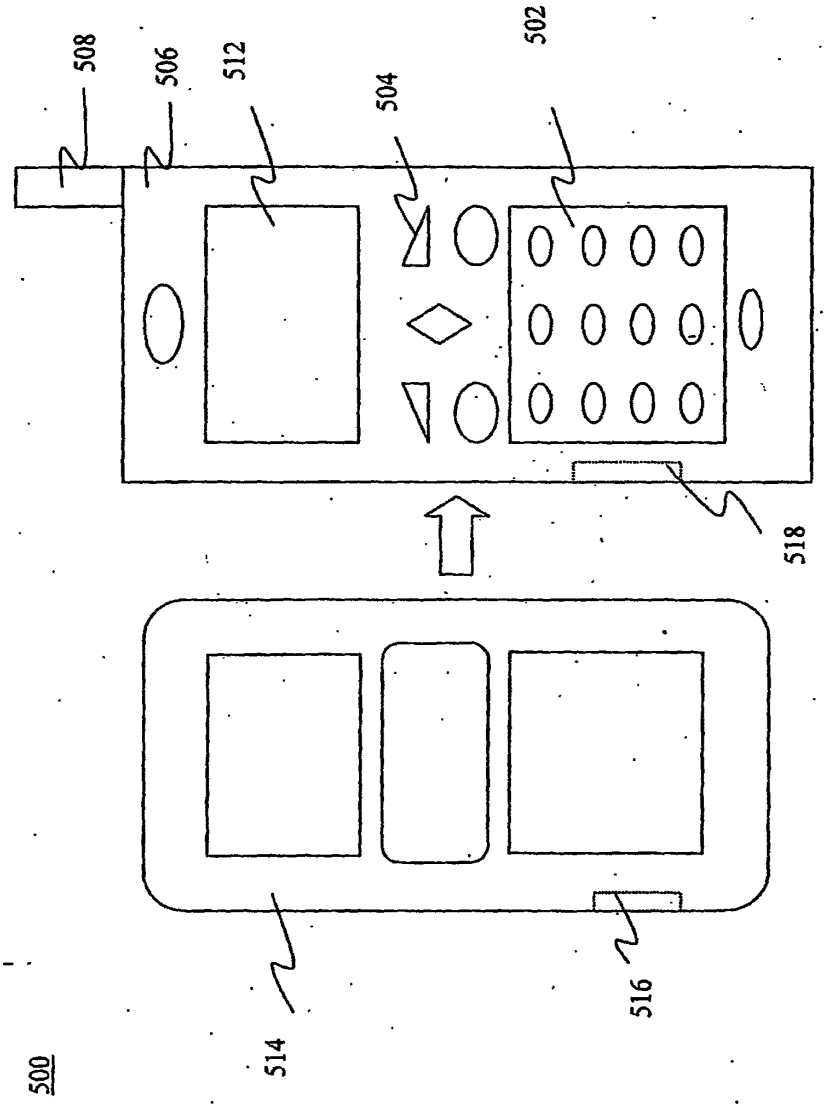


Figure 5a

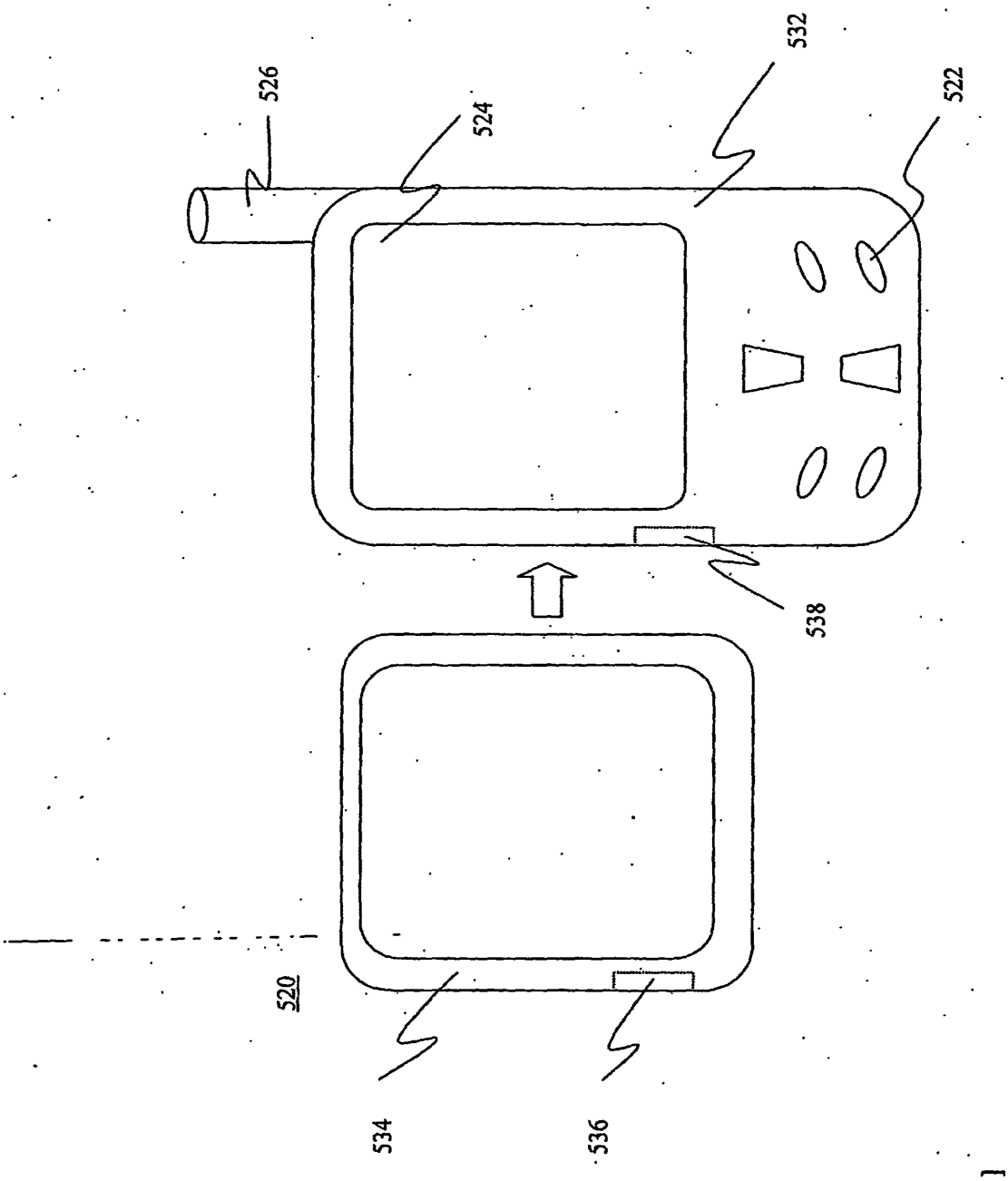


Figure 5b

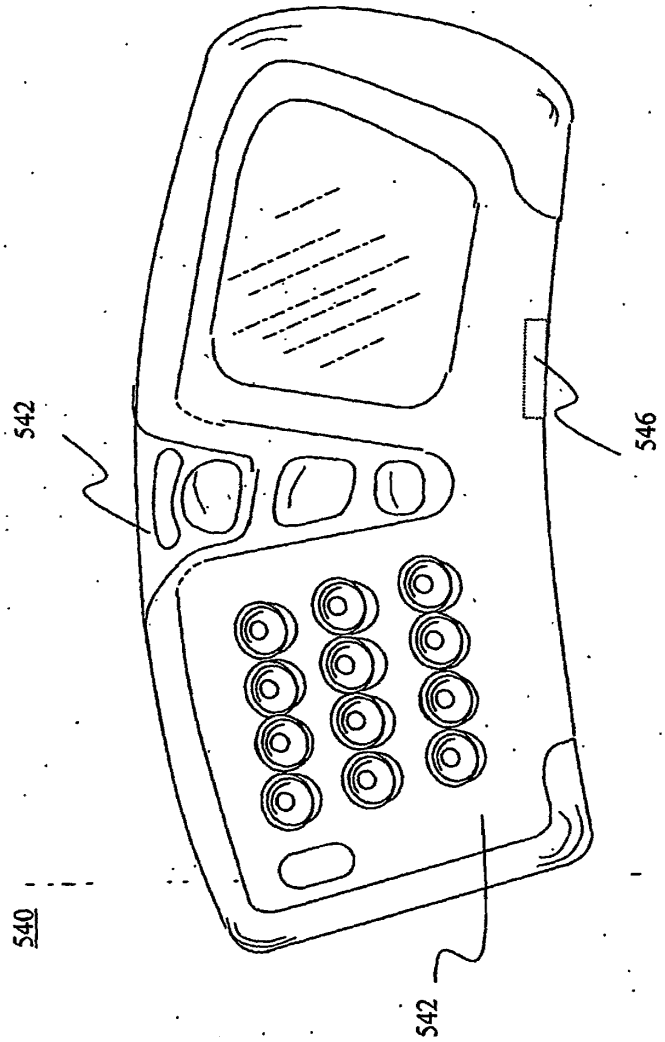


Figure 5c

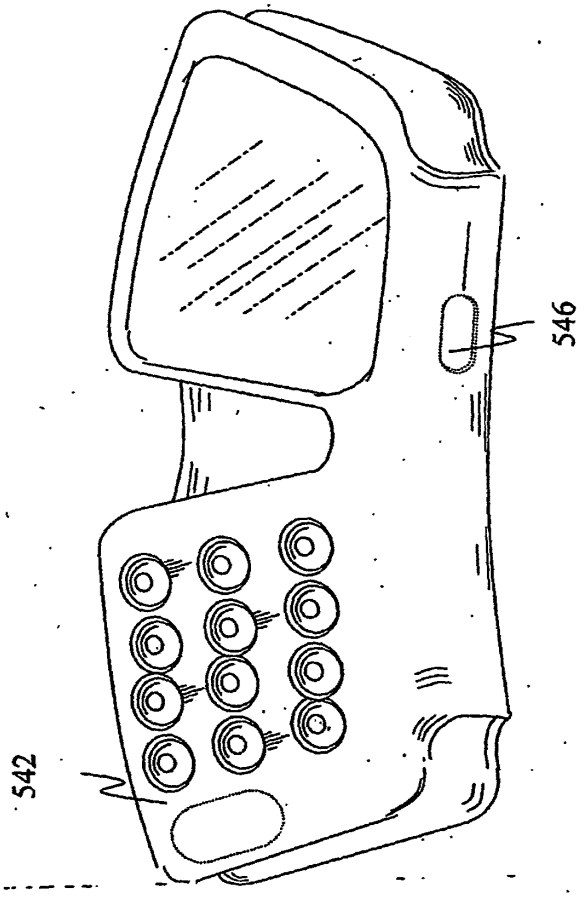


Figure 5d

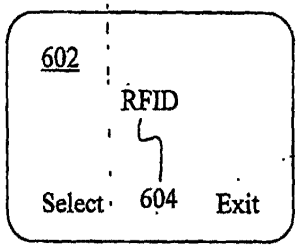


Figure 6a

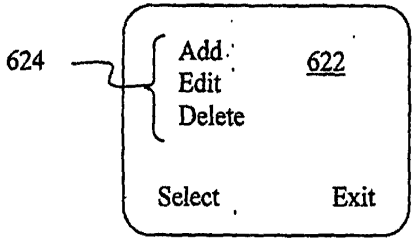


Figure 6c

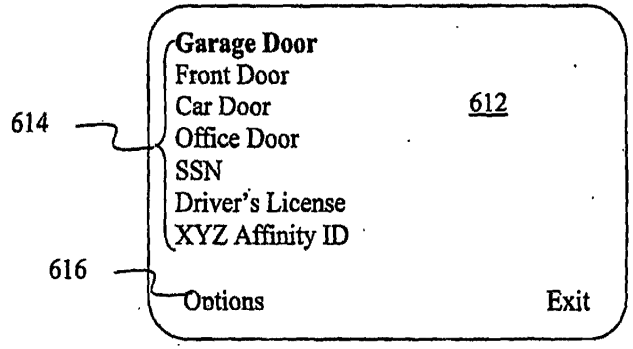


Figure 6b

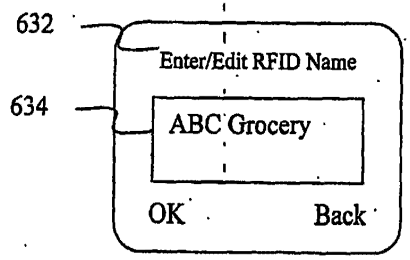


Figure 6d

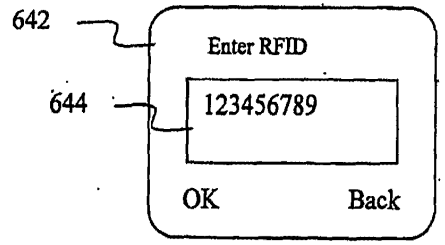


Figure 6e

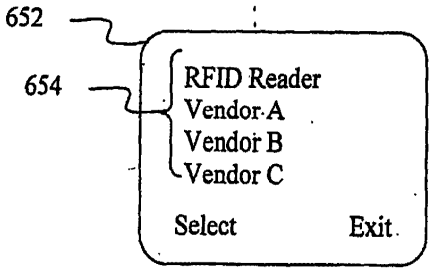


Figure 6f

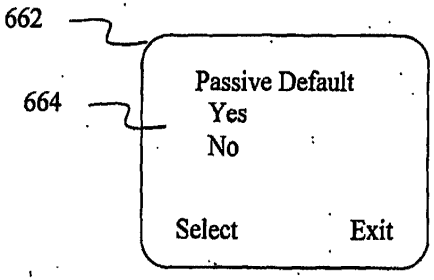


Figure 6g

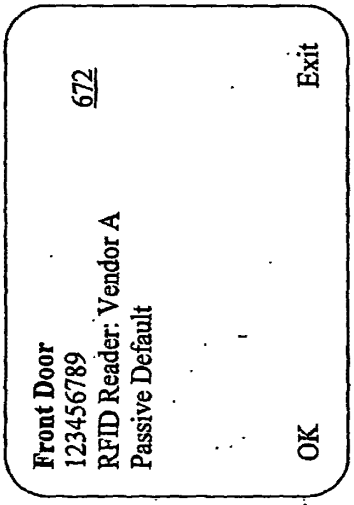

Front Door
12345789
RFID Reader: Vendor A
Passive Default
672
OK
Exit

Figure 6h

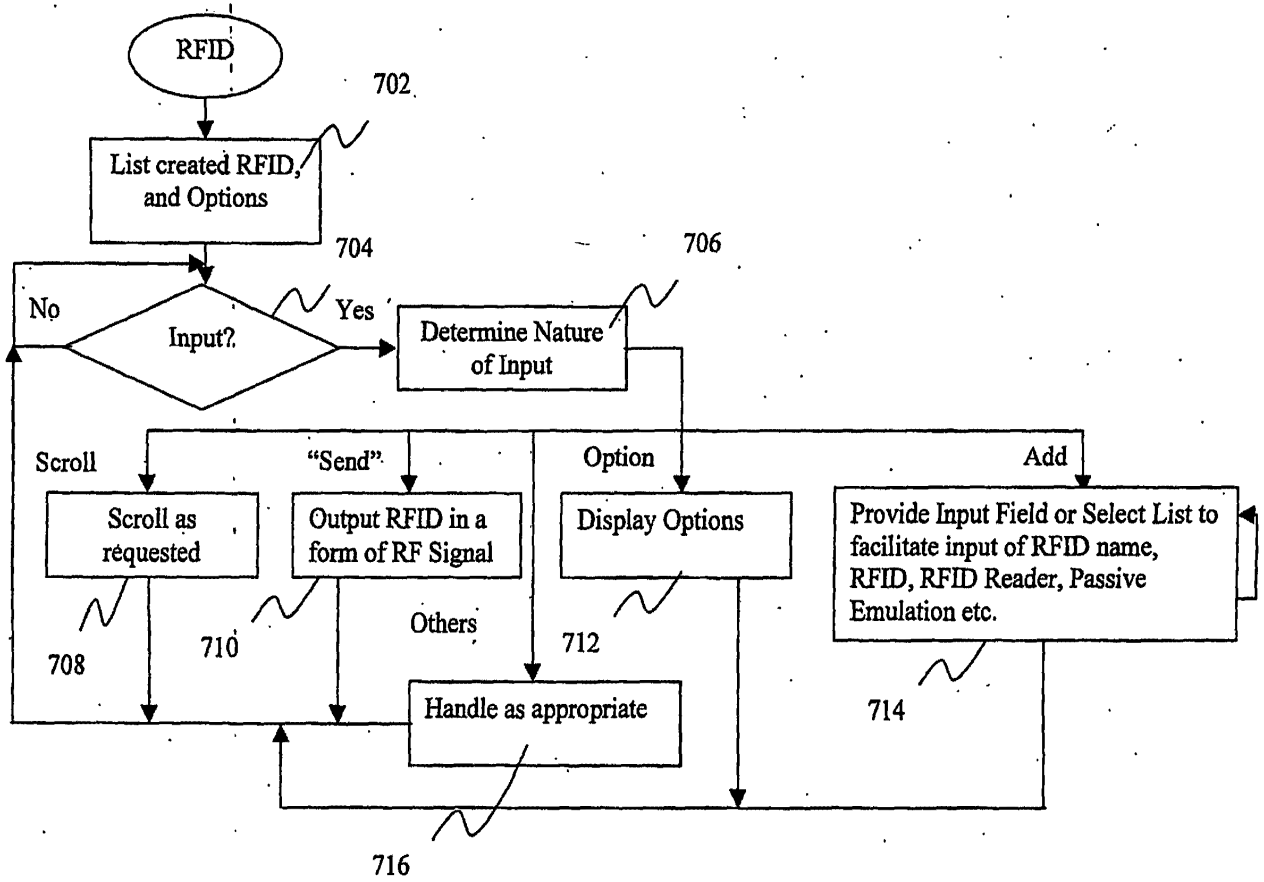

RFID
702
List created RFID, and Options
704
706
No
Input?
Yes
Determine Nature of Input
Scroll
"Send"
Option
Add
Scroll as requested
Output RFID in a form of RF Signal
Display Options
Provide Input Field or Select List to facilitate input of RFID name, RFID, RFID Reader, Passive Emulation etc.
Others
708
710
712
714
Handle as appropriate
716

Figure 7