

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

BIO-RAD LABORATORIES, INC.,
Petitioner,

v.

CALIFORNIA INSTITUTE OF TECHNOLOGY,
Patent Owner

Case No. IPR2024-01451

U.S. Patent No. 11,827,921 B2

**PATENT OWNER'S UPDATED MANDATORY NOTICES
PURSUANT TO 37 C.F.R. § 42.8(a)(3)**

Mail Stop "PATENT BOARD"
Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Patent Owner California Institute of Technology (“Patent Owner”), hereby files these mandatory notices pursuant to 37 C.F.R. § 42.8(a)(3).

I. REAL PARTY-IN-INTEREST

The real party-in-interest is California Institute of Technology. With respect to ChromaCode, Inc., it is now a non-exclusive licensee of Patent Owner and has not controlled or funded this *inter partes* review proceeding.

II. RELATED MATTERS

U.S. Patent No. 11,827,921 is at issue in the pending district court litigation proceeding *In Re: ChromaCode Litigation*, Case No. 5:23-cv-04823-EKL (N.D. Cal., consolidated) filed September 20, 2023. This pending district court litigation also involves the related U.S. Patent Nos. 10,068,051 (“the ’051 patent”) and 10,770,170 (“the ’170 patent”). Additionally, there is a pending district court litigation proceeding *California Institute of Technology v. Bio-Rad Laboratories, Inc.*, Case No. 5:25-cv-01701-EKL (N.D. Cal.) involving the related U.S. Patent No. 12,168,797. The Board denied institution in a *inter partes* review challenging the ’051 Patent; *see* IPR2024-01177. A petition for *inter partes* review challenging the ’170 patent is pending as IPR2024-01178; there, the Board denied institution and Petitioner has filed a request for rehearing.

III. LEAD AND BACK-UP COUNSEL

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IV. SERVICE INFORMATION

Please address all correspondence to the lead and back-up counsel as shown above. Patent Owner consents to electronic service by e-mail at all of the email addresses listed above and LegalTm-Caltech-IPR@sheppardmullin.com.

Respectfully submitted,

Dated: April 28, 2025

/Jesse Salen/

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CERTIFICATE OF SERVICE

I certify that the above-captioned **PATENT OWNER'S UPDATED MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(3)** was served in its entirety on Petitioner's counsel of record as follows:

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