urethane reaction between the isocyanate groups on silica substrate surface and hydroxyl or amino groups on nanoparticle surface (**FIG. 26**), via the oxidation reaction between the thiol groups on silica substrate surface and the ones on nanoparticle surface (**FIG. 27**), via the "Click" chemistry between azide groups on silica substrate surface and alkyne groups on nanoparticle surface (**FIG. 28**), via the thiol exchange reaction between 2-pyridyldithiol groups on silica substrate surface and thiol groups on nanoparticle surface (**FIG. 29**), via the coordination reaction between boronic acid groups on silica substrate surface and diol groups on nanoparticle surface (**FIG. 30**), via the UV light-irradiated addition reaction between C=C bonds on substrate surface and C=C bonds on nanoparticle surface (**FIG. 31**) and the like. Suitable methods of conjugating sensor elements to gold substrate are known in the art and include, for example, conjugation via Au-thiol bonds (**FIG. 32**), via the amidation reaction between the carboxylic acid groups on gold substrate surface and the amino groups on nanoparticle surface (**FIG. 33**), via "Click" chemistry between the azide groups on gold substrate surface and the alkyne groups on nanoparticle surface (**FIG. 34**), via urethane reaction between the NHS groups on gold substrate surface and the amino groups on nanoparticle surface (**FIG. 35**), via the ring-opening reaction between the epoxy groups on gold substrate surface and amino groups on nanoparticle surface (**FIG. 36**), via the coordination reaction between boronic acid groups on silica substrate surface and diol groups on nanoparticle surface (**FIG. 37**), via the UV light-irradiated addition reaction between C=C bonds on gold substrate surface and C=C bonds on nanoparticle surface (**FIG. 38**), via the "Ligand-Receptor" interaction between biotin on gold substrate surface and avidin on nanoparticle surface (**FIG. 39**), via the "Host-Guest" interaction between a-cyclodextrin (a-CD) on gold substrate surface and adamantine (Ad) on nanoparticle surface (**FIG. 40**), and the like.

[00225] In another example, so-called "click chemistry" can be used to attach the functional surface groups to the core structures of the nanoparticles (see, e.g., the Sigma Aldrich catalog and U.S. Pat. No. 7,375,234, which are both incorporated herein by reference in their entireties). Of the reactions comprising the click chemistry field, one example is the Huisgen 1,3-dipolar cycloaddition of alkynes to azides to form 1,4-disubsituted-1,2,3-triazoles. The copper (I)-catalyzed reaction is mild and very efficient, requiring no protecting groups, and requiring no purification in many cases. The azide and alkyne functional groups are generally inert to biological molecules and aqueous

environments. The triazole has similarities to the ubiquitous amide moiety found in nature, but unlike amides, is not susceptible to cleavage. Additionally, they are nearly impossible to oxidize or reduce.

[00226] The plurality of sensor elements may be attached to the substrate randomly or in a distinct pattern. The sensor elements may be substantially uniformly positioned. The pattern of the arranged sensor elements may vary according to the pattern in which the sensor elements are attached to the substrate. Each sensor element is separated by a distance. The distance between the sensor elements (e.g. nanoparticles) arranged on the substrate may vary depending on the length of the linker used to attach or other fabrication conditions. According to various embodiments, the plurality of sensor elements on the array can be fabricated having a desired inter-element distance and pattern. Suitable distinct patterns are known in the art, including, but not limited to, parallel lines, squares, circles, triangles and the like. Further, the sensor elements may be arranged in rows, or columns. In some embodiments, the substrate is a flat substrate, in other embodiments, the substrate is in the form of microchannels or nanochannels. For illustrative purposes only, suitable embodiments are described in **FIG. 15-22**. The sensor elements may be contained within microchannels or nanochannels that restrict or control the flow of the sample through the sensor array. Suitable microchannels can range from 10 μm to about 100 μm in size.

[00227] In some embodiments, non-limiting examples of the plurality of sensor elements include, but are not limited to, (a) a plurality of sensor elements made of the same material but differing in physiochemical properties, (b) a plurality of sensor elements where one or more sensor element is made of a different material with the same or differing physiochemical properties, (c) a plurality of sensor elements made of the same material differing in size, (d) a plurality of sensor elements made of different material with relatively the same size; (e) a plurality of sensor elements made of different material and made of different sizes, (f) a plurality of sensor elements in which each element is made of a different material, (g) a plurality of sensor elements having different charges, among others. The plurality of sensor elements can be in any suitable combination of two or more sensor elements in which each sensor element provides a unique biomolecule corona signature. For example, the plurality of sensor elements may include one or more liposome and one or more nanoparticle described herein. In one embodiment, the plurality of sensor elements can be a plurality of liposomes with

varying lipid content and/or varying charges (cationic/anionic/neutral). In another embodiment, the plurality of sensors may contain one or more nanoparticle made of the same material but of varying sizes and physiochemical properties. In another embodiment, the plurality of sensors may contain one or more nanoparticle made of differing materials (e.g. silica and polystyrene) with similar or varying sizes and/or physiochemical properties (e.g. modifications, for example, $-NH_2$, -COOH functionalization). These combinations are purely provided as examples and are non-limiting to the scope of the invention.

[00228] The angle of curvature on the surface of the particles can change depending on the size of the particles. This change in angle of curvature in turn changes the surface area to which proteins may attach and interact with each other on the particles. As shown in **FIG. 58**, increasing the size of the particle results in a change in the amount of protein bound and also in the pattern of proteins attached to the different sized nanoparticles (in this example, the SDS-PAGE analysis of proteins on nanoparticles of diameters of 0.1 μm, 3 μm and 4 μm are shown).

[00229] The novelty of the sensor array is it not only can detect different proteins between the different sensor elements, but the ability to compare the levels of the same protein between the different sensor elements. For example, not to be bound by any theory but in order to illustrate the uniqueness of the present sensory array, a theoretical example is described. In some embodiments, the sample is contacted with a first sensor element A, a second sensor element B, and a third sensor element C, wherein each sensor element produces a distant protein corona signature (i.e., A', B' and C'). The compositions of each protein corona signature A', B' and C' can be different from one another. In some embodiments, A' B' and C' can comprise the same protein but in a different amount, which can provide additional proteomic information not obtainable by characterizing the sample with previously known approaches. In other words, each unique corona protein information from each nanoparticle serves as unique variables, and therefore provides more data proteomics data. For example, albumin may be found in the protein corona signature of only one sensor element A, in the signature of two sensors, e.g. A and B, B and C or A and C, or in all three sensor biomolecule signatures (A, B, C). Further, the sensor array does not just determine the presence or absence of the protein, e.g., albumin, but it also can determine the comparison of the amount of the protein from one sensor to the other. For example, albumin may be

found at concentration X in the signature of A, the concentration of 1/3X in sensor B and at a concentration of 2X in sensor C for a specific biomolecule fingerprint. In another biomolecule fingerprint, the same protein, albumin, could be found in 3 different concentrations, for example, 1/8X in sensor D, 3X in sensor E and 1/4 X in sensor F. Thus, a plurality of sensors gives not only a data point for the concentration of a protein, but there can be a comparison of the concentration of the protein between two or more sensors. Further, the concentration or rare or low-abundant proteins can be compared to the concentration of a known protein providing further data regarding the protein coronas. For example, for illustrative purposes, the concentration of an unknown protein, e.g. protein Z, may be compared to the amount of a known protein, e.g. albumin in the different biomolecule coronas. For example, Z may be found at a ratio to albumin of 1:8 on sensor A, 1:50 on sensor B, and not present on sensor C. **FIG. 12** provides an analysis of the comparison of rare proteins to albumin concentration within protein coronas analyzed. Thus, statistical analysis can take both the presence of a protein, the relative concentration between each sensor element, and the concentration of a rare or low-abundant protein as compared to a known protein of a particular concentration when analyzing the data.

[00230] In some embodiments, a channel is formed by lithography, etching, embossing, or molding of a polymeric surface. In general, the fabrication process may involve one or more of any of these processes, and different parts of the array may be fabricated using different methods and assembled or bonded together.

[00231] Lithography involves use of light or other form of energy such as electron beam to change a material. Typically, a polymeric material or precursor (e.g. photoresist, a light-resistant material) is coated on a substrate and is selectively exposed to light or other form of energy. Depending on the photoresist, exposed regions of the photoresist either remain or are dissolved in subsequent processing steps known generally as "developing." This process results in a pattern of the photoresist on the substrate. In some embodiments, the photoresist is used as a master in a molding process. In some embodiments, a polymeric precursor is poured on the substrate with photoresist, polymerized (i.e. cured) and peeled off.

[00232] In some embodiments, the photoresist is used as a mask for an etching process. For example, after patterning photoresist on a silicon substrate, channels can be etched into the substrate using a deep reactive ion etch (DRIE) process or other

chemical etching process known in the art (e.g. plasma etch, KOH etch, HF etch, etc.). The photoresist is removed, and the substrate is bonded to another substrate using one of any bonding procedures known in the art (e.g. anodic bonding, adhesive bonding, direct bonding, eutectic bonding, etc.). Multiple lithographic and etching steps and machining steps such as drilling may be included as required.

[00233] In some embodiments, a polymeric substrate may be heated and pressed against a master mold for an embossing process. The master mold may be formed by a variety of processes, including lithography and machining. The polymeric substrate is then bonded with another substrate to form channels and/or a mixing apparatus. Machining processes may be included if necessary.

[00234] In some embodiments, a molten polymer or metal or alloy is injected into a suitable mold and allowed to cool and solidify for an injection molding process. The mold typically consists of two parts that allow the molded component to be removed. Parts thus manufactured may be bonded to result in the substrate.

[00235] In some embodiments, sacrificial etch may be used to form channels. Lithographic techniques may be used to pattern a material on a substrate. This material is covered by another material of different chemical nature. This material may undergo lithography and etch processes, or other machining process. The substrate is then exposed to a chemical agent that selectively removes the first material. Channels are formed in the second material, leaving voids where the first material was present before the etch process.

[00236] In some embodiments, microchannels are directly machined into a substrate by laser machining or CNC machining. Several layers thus machined may be bonded together to obtain the final substrate.

[00237] In some embodiments, the width or height of each channel ranges from approximately 1 μm to approximately 1000 μm. In some embodiments, the width or height of each channel ranges from approximately 5 μm to approximately 500 μm. In some embodiments, the width or height of each channel ranges from approximately 10 μm to approximately 100 μm. In some embodiments, the width or height of each channel a ranges from approximately 25 μm to approximately 100 μm. In some embodiments, the width or height of each channel ranges from approximately 50 μm to approximately 100 μm. In some embodiments, the width or height of each channel ranges from approximately 75 μm to approximately 100 μm. In some embodiments, the

width or height of each channel ranges from approximately 10 μm to approximately 75 μm. In some embodiments, the width or height of each channel ranges from approximately 10 μm to approximately 50 μm. In some embodiments, the width or height of each channel ranges from approximately 10 μm to approximately 25 μm.

[00238] In some embodiments, the maximum width or height of a channel is approximately 1 μm, approximately 5 μm, approximately 10 μm, approximately 20 μm, approximately 30 μm, approximately 40 μm, approximately 50 μm, approximately 60 μm, approximately 70 μm, approximately 80 μm, approximately 90 μm, approximately 100 μm, approximately 250 μm, approximately 500 μm, or approximately 1000 μm.

[00239] In some embodiments, the width of each channel ranges from approximately 5 μm to approximately 100 μm. In some embodiments, the width of a channel is approximately 5 μm, approximately 10 μm, approximately 15 μm, approximately 20 μm, approximately 25 μm, approximately 30 μm, approximately 35 μm, approximately 40 μm, approximately 45 μm, approximately 50 μm, approximately 60 μm, approximately 70 μm, approximately 80 μm, approximately 90 μm, or approximately 100 μm.

[00240] In some embodiments, the height of each channel ranges from approximately 10 μm to approximately 1000 μm. In some embodiments, the height of a channel is approximately 10 μm, approximately 100 μm, approximately 250 μm, approximately 400 μm, approximately 500 μm, approximately 600 μm, approximately 750 μm, or approximately 1000 μm. In specific embodiments, the height of the channel(s) through which the sample flows is approximately 500 μm. In specific embodiments, the height of the channel(s) through which the sample flows is approximately 500 μm.

[00241] In some embodiments, the length of each channel ranges from approximately 100 μm to approximately 10 cm. In some embodiments, the length of a channel is approximately 100 μm, approximately 1.0 mm, approximately 10 mm, approximately 100 mm, approximately 500 mm, approximately 600 mm, approximately 700 mm, approximately 800 mm, approximately 900 mm, approximately 1.0 cm, approximately 1.1 cm, approximately 1.2 cm, approximately 1.3 cm, approximately 1.4 cm, approximately 1.5 cm, approximately 5 cm, or approximately 10 cm. In specific embodiments, the length of the channel(s) through which the sample flows is approximately 1.0 cm. In specific embodiments, the length of the channel(s) through which the sample flows is approximately 1.0 cm.

Biomolecule Corona Nanosystem

[00242] Provided herein is biomolecule corona nanosystem or sensor arrays comprising, consisting essentially of or consists of a plurality of sensor elements wherein the plurality of sensor elements differ from each other in at least one physiocochemical property. In some embodiments, a plurality of sensor elements are a plurality of nanoparticles. In some embodiments, a plurality of nanoparticles are a plurality of liposomes. In some embodiments, each sensor element is able to bind a plurality of biomolecules in a complex biological sample to produce a biomolecule corona signature. In some embodiments, each sensor elements has a distinct biomolecule corona signature.

[00243] The biomolecule corona signature refers to the composition, signature or pattern of different biomolecules that are bound to each separate sensor element or each nanoparticle. In some cases, the biomolecule corona signature is a protein corona signature. In another case, the biomolecule corona signature is a polysaccharide corona signature. In yet another case, the biomolecule corona signature is a metabolite corona signature. In some cases, the biomolecule corona signature is a lipidomic corona signature. The signature not only refers to the different biomolecules but also the differences in the amount, level or quantity of the biomolecule bound to the sensor element or the nanoparticle, or differences in the conformational state of the biomolecule that is bound to the sensor element or the nanoparticle. It is contemplated that the biomolecule corona signatures of each sensor elements may contain some of the same biomolecules, may contain distinct biomolecules with regard to the other sensor elements or nanoparticles, and/or may differ in level or quantity, type or confirmation of the biomolecule. The biomolecule corona signature may depend on not only the physiocochemical properties of the sensor element or the nanopaprticle, but also the nature of the sample and the duration of exposure. In some embodiments, the biomolecule corona signature comprises the biomolecules found in a soft corona and a hard corona.

[00244] In some embodiments, the sensor array comprises, consists essentially of or consists of a first sensor element that produces a first biomolecule corona signature and at least one second sensor element or at least one nanoparticle that produces at least one second biomolecule corona signature when the sensor array is contacted with a complex biological sample. A biomolecule fingerprint is the combination of the first

biomolecule signature and the at least one second biomolecule signature. It is contemplated that the biomolecule signature can be made from at least two biomolecule corona signatures to as many different biomolecule signatures are assayed, e.g. at least 1000 different biomolecule corona signatures. The biomolecule corona can be assayed separately for each sensor element to determine the biomolecule corona signature for each element each nanoparticle, or each liposome and combined to determine the biomolecule fingerprint or the two or more biomolecule corona can be assayed at the same time to develop the biomolecule fingerprint at once.

[00245] The biomolecule fingerprint can distinguish between different possible biological states (e.g., disease states) of a subject. In some embodiments, the biomolecule fingerprint is associated with the development of a disease or disorder and/or is able associated with a disease state of the subject.

[00246] In some embodiments, the biomolecule fingerprint is able to determine a disease state for a subject. The term "disease state" for a subject as used herein refers to the ability of sensor array of the present technology to be able to differentiate between the different states of a disease within a subject. This term encompasses a pre-disease state or precursor state of a disease or disorder (a state in which the subject may not have any outward signs or symptoms of the disease or disorder but will develop the disease or disorder in the future) and a disease state in which the subject has a stage of the disease or disorder (e.g., an early, intermediate or late stage of the disease or disorder). In other words, the disease state is a spectrum that encompasses a continuum regarding the health of a subject with respect to a disease or disorder. The array of the present invention is able to distinguish different diseases states for a subject by determining a biomolecule fingerprint which can be compared to differing biomolecule fingerprints that are associated with different disease states on the spectrum and to healthy subjects. In another example, the biomolecule fingerprint may be associated with a pre-disease state or precursor disease state, in which the subject appears healthy at the time with no outward signs or symptoms of a disease, but will develop the disease in the future. In another example, the biomolecule fingerprint may indicate that the subject has the disease, and is able to distinguish if the disease is in the early, intermediate or late stages by the unique biomolecule fingerprint associated with each stage.

[00247] As discussed above, the disease state also includes a precursor state of a

disease or disorder. This precursor state is a state in which the subject does not have any outward signs or symptoms of the disease or disorder (although there may be submacro changes within the biomolecules of the subject found in their blood or other biological fluids) but will develop the disease or disorder in the future. This precursor state can also be described as a state in which the first pathological changes of a disease are seen, e.g. changes in the biomolecule fingerprint of a biological sample from the patient.

[00248] Another example of a biological state is a healthy, non-disease state. The array can also determine a specific biomolecule fingerprint associated with a healthy, non-disease state, where the subject will not develop the disease in the future. In this case, the subject has no evidence at the time of the test that they either have the disease or will develop the disease in the future.

[00249] Herein the term "biological state" encompasses any biological characteristic of a subject which can be manifested in a biological sample as defined herein. A biological state can be detected using the methods disclosed herein where two subjects who differ in the biological state manifest those differences in the composition of a sample. For example, a biological state includes a disease state of a subject. A disease state can be detected when the disease state gives rise to changes in the molecular composition (e.g., level of one or more proteins) of a sample of a subject expressing the disease state relative to a sample of a subject not having the disease state (i.e., where the biological state is a healthy state or non-disease state).

[00250] Another example of a biological state is a level of responsiveness of a subject to a particular therapeutic treatment (e.g. administration of one or a combination of drugs or pharmaceuticals). In some embodiments, a biological state is responsiveness (e.g., with respect to a particular threshold of analysis) of a subject to a particular drug. In another embodiment, a biological state is non-responsiveness (e.g., with respect to a particular threshold of analysis) of a subject to a particular drug. In some embodiments, the level of responsiveness of a subject to a drug (i.e., biological state of responsiveness to the drug or biological state of non-responsiveness to the drug) is associated with factors such as variability in metabolism or pharmacokinetics of the drug between subjects.

[00251] Another example of a biological state is the level of immune response exhibited by a subject. In some embodiments, the biological state can be increased

immune response. In other embodiments, the biological state can be decreased immune response. Immune response can differ between subjects as a result of a number of variables. For example, immune response can differ between subjects as a result of differing exposure to an exogenously introduced antigen (e.g. associated with a virus or bacteria), as a result of differences in their susceptibility to an autoimmune disease or disorder, or secondarily as a result of a response to other biological states in a subject (e.g., disease states such as cancer).

[00252] Other examples of biological states that can be associated with a biomolecule fingerprint include susceptibility of a subject to adverse effects associated with administration of a drug, and identification of a subject's potential to exhibit an allergic reaction to administration of a particular composition or substance.

[00253] The innovation in this sensor arrays and associated methods differ from the current methods of detecting or measuring the presence or absence or levels of certain biomarkers to predict if a subject may have a pre-disposition or likelihood of developing a disease or disorder at a very early stages of the disease, before any signs or symptoms can be monitored can be assayed. Suitably, the array is able to differentiate the health of the subject from no disease or disorder, having a precursor of a disease or disorder, and having the disease or disorder. However, the invention is not limited to those embodiments and covers the spectrum of other disease states that may occur within the continuum of health and disease of a subject.

[00254] Further, the innovation of the present invention sensor arrays can be demonstrated by the following examples. The sensor array of the present invention is very sensitive and able to detect not only changes in small amounts of biomolecules within a sample, but rely on the interactions between the biomolecules. For example, not to be bound by any theory but in order to illustrate the uniqueness of the present sensory array, a theoretical example is described. If, for example, biological samples are collected from a subject over time (e.g., before any signs and symptoms of the disease, pre-disease state and during early and intermediate stages of a disease). In these samples, by just measuring a level of a biomolecule X in the sample (e.g. quantitation of amount) it may be found that the concentration does not alter over the different disease states. Thus, measuring biomolecule X would not be a useful marker for the disease However, using the present sensor array, although the level of biomarker X may be the same, the interaction of biomolecule X with other biomolecules,

Y and Z, may change the composition of the protein corona signature associated with the sample over time and samples. For example, biomarker X may change its association from associating with biomolecule Y to biomolecule Z, or over time interaction of X with Y and Z leads to a conformational change in X. This type of changes, that does not change the overall concentration but will change the unique biomolecule fingerprint associated with the disease state (that includes biomolecule X) would allow for the distinction and association with other disease states. This would not be discovered if you use methods of the art of just measuring the quantitation of biomolecule X within the sample, as this level stayed the same throughout the entire disease. This is an unexpectedly highly specific and useful assay not seen before. With this assay, biomarkers of a disease that would not previously been characterized as biomarkers can be determined including, patterns of biomarkers, as these marker may not change in absolute amounts within the samples, but will have a consistent and measurable change with regard to the interaction with the sensor elements in the sensor array. The ability to distinguish patterns by analysis of the biomolecule corona allows for the ability to associate these patterns with different disease states.

[00255] As discussed above, the present sensor array has the ability to detect proteins over ten order of magnitude, which is a higher sensitivity than any previously described methods. The uniqueness of the present sensor array is found in the ability of the array to detect proteins regardless of the level of concentration within the sample. The assay relies on the ability of the biomolecule (e.g. protein), known or unknown, within the sample to interact with the sensor elements, and to interact differently and at different amounts with different sensor elements and also to interact with the other biomolecules associated with the sensor element. This in turn, allows for there to be the ability to detect low-abundance and rare proteins even in the presence of high abundant proteins (such as albumin) within the sample. As demonstrated in **FIG. 12** of Example 1, the ability of the senor array to detect concentrations over 10 order of magnitude even in the presence of high abundant proteins has been shown. Further, the sensor array is also able to detect proteins with unknown/unreported plasma concentrations, which previously have not been found with current methods. One unique feature among others of the present sensor array is the ability of the present system to be able to detect low-abundant proteins and rare proteins. The present sensor array can be used to not only determine a disease state (no disease, pre-disease, or early and late

stage disease) but in some cases to distinguish between subtypes of diseases (e.g. distinguish between different types of cancer, e.g. lung cancer, breast cancer, myeloma, etc).

Detection Methods

[00256] The present sensor array may be used in a variety of methods described herein. The ability to determine a unique biomolecule fingerprint for samples provides a novel and innovative means to measure a specific disease state in a subject. These biomolecule fingerprints can be used to determine the disease state of a subject, diagnosing or prognosing a disease in a subject or identifying unique patterns of biomarkers that are associated with a disease state or a disease or disorder. For example, the changes in the biomolecule fingerprint in a subject over time (days, months, years) allows for the ability to track a disease or disorder in a subject (e.g. disease state) which may be broadly applicable to determination of a biomolecule fingerprint that can be associated with the early stage of a disease or any other disease state. As discussed above, the ability to detect a disease early on, for example cancer, even before it fully develops or metastasizes allows for a significant increase in positive outcomes for those patients and the ability to increase life expectancy and lower mortality associated with that disease. Therefore, the sensor array of the present invention provides a unique opportunity to be able to develop biomolecule fingerprints associated with the pre-stages or precursor states of the disease.

[00257] It is understood that even before a disease has progressed into showing any measurable signs or symptoms, that at the macroscopic level, there are changes taking place within the body and biological systems of a subject. Being able to recognize these early pre-disease signs contemplated by use of the sensor array of the present invention. The inventors have found that by comparing the biomolecule fingerprint of a subject during different times of a disease state (e.g. before a disease has shown symptoms and developed, after early signs or symptoms of the disease, and/or at late stages of the disease) provides a unique biomolecule fingerprint linked to the different disease states linked to the disease progression. In other words, a biomolecule fingerprint can be determined that would allow one to be able to identify subjects that are going to develop a disease at a later time. This would allow for early monitoring and early treatment, greatly improving the outcome of the subject diagnosed with the

disease.

[00258] In some embodiments, a method of detecting a disease or disorder in a subject are provided. The method comprises the steps of (a) obtaining a sample from the subject; (b) contacting the sample with a sensor array as described herein, and (c) determining a biomolecule fingerprint associated with the sample, wherein the biomolecule fingerprint differentiates the health of subject in a disease state, for example, from no disease or disorder, having a precursor of a disease or disorder, and having disease or disorder.

[00259] The step of determining a biomolecule fingerprint associated with the sample may comprise detecting the biomolecule corona signature for at least two sensor elements, wherein the combination of the at least two biomolecule corona signatures produces the biomolecule fingerprint. In some embodiments, the biomolecule corona signatures of the at least two sensor elements are assayed separately and the results combined to determine the biomolecule fingerprint. In some embodiments the biomolecule corona signatures of the at least two elements are assayed at the same time or in the same sample.

[00260] In some embodiments, the method of determining the biomolecule fingerprint comprises detecting and determining the biomolecular corona signatures of the at least two sensor elements. In some embodiments, this step can be done by separating the plurality of biomolecules attached to each sensor element (e.g. separating the biomolecule corona from the sensor element) and assaying the plurality of biomolecules to determine the composition of the plurality of biomolecule coronas to determine a biomolecule fingerprint. Depending on the design of the array, in some instances the composition of each biomolecule corona signature of each sensor element is assayed independently, and the results are combined to produce the biomolecule fingerprint (e.g. each sensor element is in a separate channel or compartment wherein the specific composition of the biomolecule corona for that specific sensor element can be separately analyzed (e.g. either by detaching the biomolecules and assaying by mass spectrometry and/or chromatography or by detecting the plurality of biomolecules still attached to the sensor element by fluorescence, luminescence or other means). In another embodiment, the at least two sensor elements are on the same array and the composition of the biomolecule corona for the at least two sensor elements is assayed at the same time by dissociating the biomolecule corona from both sensor elements into

one solution and assaying that solution to determining a biomolecule signature. This later method would be the method of choice if using a chip array technology.

[00261] Methods of assaying the plurality of biomolecules that make up the biomolecule corona signature or the biomolecule fingerprint are known in the art and include, but are not limited to, for example, gel-electrophoresis, liquid chromatography, mass spectrometry, nuclear magnetic resonance spectroscopy (NMR), fourier transform infrared spectroscopy (FTIR), circular dichroism, Raman spectrometry, and a combination thereof. In a preferred embodiment, the assay is by liquid chromatography, mass spectrometry or a combination thereof.

[00262] In a preferred embodiment, the sensor assay is a non-label array.

[00263] In some embodiments, it is contemplated that labelled arrays may be used, wherein a corona signature is able to be determined as a change is signal (e.g. fluorescence, luminescence, charge, colormetric dyes). Suitable example is shown in **FIG. 42 and 43**. For example, an array may include chemically responsive colorants in a printable formulation for detection and identification of biomolecules based on the equilibrium interactions with the biomolecules and responsive dyes.

[00264] In some embodiments, the sensor element comprises a complex with a first component and a polymer fluorophore or other quencher component chemically complementary to the first component where such a complex having an initial background or reference fluorescence. Once the first component comes into contact with the biomolecule corona, it will affect the quenching of the fluorophore and this change in fluorescence can be measured. After the sensor is irradiated and/or excited with a laser, the effect and/or change in fluorescence for each sensor element can be measured and compared to the background fluorescence to produce the biomolecule fingerprint.

[00265] The sensor arrays and methods described herein can be used to determine a disease state, and/or prognose or diagnose a disease or disorder. The diseases or disorders contemplated include, but are not limited to, for example, cancer, cardiovascular disease, endocrine disease, inflammatory disease, a neurological disease and the like.

[00266] In one embodiment, the disease or disorder is cancer. In suitable embodiments, the sensor array and methods described herein is not only able to diagnose cancer (e.g. determine if a subject (a) does not have cancer, (b) is in a pre-

cancer development stage, (c) is in early stage of cancer, (d) is in a late stage of cancer) but in some embodiments is able to determine the type of cancer. As demonstrated in the examples below, a sensor array comprising six sensor elements was able to accurately determine the disease state of the presence or absence of cancer. Additionally, the Examples demonstrate that a sensor array comprising six sensor elements was able to distinguish between different cancer types (e.g. lung cancer, glioblastoma, meningioma, myeloma and pancreatic cancer).

[00267] The term “cancer” and "tumor" as used herein interchangeably and are meant to encompass any cancer, neoplastic and preneoplastic disease that is characterized by abnormal growth of cells. Cancer may, for example, be selected from the group consisting of lung cancer, pancreas cancer, myeloma, myeloid leukemia, meningioma, glioblastoma, breast cancer, esophageal squamous cell carcinoma, gastric adenocarcinoma, prostate cancer, bladder cancer, ovarian cancer, thyroid cancer, neuroendocrine cancer, colon carcinoma, ovarian cancer, head and neck cancer, Hodgkin’s Disease, non-Hodgkin’s lymphomas, rectum cancer, urinary cancers, uterine cancers, oral cancers, skin cancers, stomach cancer, brain tumors, liver cancer, laryngeal cancer, esophageal cancer, mammary tumors, fibrosarcoma, myxosarcoma, liposarcoma, chondrosarcoma, osteogenic sarcoma, chordoma, angiosarcoma, endotheliosarcoma, Ewing’s sarcoma, squamous cell carcinoma, basal cell carcinoma, adenocarcinoma, sweat gland carcinoma, sebaceous gland carcinoma, papillary carcinoma, papillary adenocarcinomas, cystandeocarcinoma, medullary carcinoma, bronchogenic carcinoma, renal cell carcinoma, hepatoma, bile duct carcinoma, choriocarcinoma, seminoma, embryonal carcinoma, Wilms’ tumor, cervical cancer, testicular tumor, endometrial cancer, lung carcinoma, small cell lung carcinoma, bladder carcinoma, epithelial carcinoma, glioblastomas, neuronomas, craniopharingiomas, schwannomas, glioma, astrocytoma, meningioma, melanoma, neuroblastoma, retinoblastoma, leukemias and lymphomas, acute lymphocytic leukemia and acute myelocytic polycythemia vera, multiple myeloma, Waldenstrom’s macroglobulinemia, and heavy chain disease, acute nonlymphocytic leukemias, chronic lymphocytic leukemia, chronic myelogenous leukemia, childhood-null acute lymphoid leukemia (ALL), thymic ALL, B-cell ALL, acute megakaryocytic leukemia, Burkitt’s lymphoma, and T cell leukemia, small and large non-small cell lung carcinoma, acute granulocytic leukemia, germ cell tumors, endometrial cancer, gastric cancer, hairy cell leukemia,

thyroid cancer and other cancers known in the art. In a preferred embodiment, the cancer is selected from the group consisting of lung cancer, pancreas cancer, myeloma, myeloid leukemia, meningioma, glioblastoma, breast cancer, esophageal squamous cell carcinoma, gastric adenocarcinoma, prostate cancer, bladder cancer, ovarian cancer, thyroid cancer, and neuroendocrine cancer.

[00268] As used herein, the terms "cardiovascular disease" (CVD) or "cardiovascular disorder" are used to classify numerous conditions affecting the heart, heart valves, and vasculature (e.g., veins and arteries) of the body and encompasses diseases and conditions including, but not limited to atherosclerosis, myocardial infarction, acute coronary syndrome, angina, congestive heart failure, aortic aneurysm, aortic dissection, iliac or femoral aneurysm, pulmonary embolism, atrial fibrillation, stroke, transient ischemic attack, systolic dysfunction, diastolic dysfunction, myocarditis, atrial tachycardia, ventricular fibrillation, endocarditis, peripheral vascular disease, and coronary artery disease (CAD). Further, the term cardiovascular disease refers to subjects that ultimately have a cardiovascular event or cardiovascular complication, referring to the manifestation of an adverse condition in a subject brought on by cardiovascular disease, such as sudden cardiac death or acute coronary syndrome, including, but not limited to, myocardial infarction, unstable angina, aneurysm, stroke, heart failure, non-fatal myocardial infarction, stroke, angina pectoris, transient ischemic attacks, aortic aneurysm, aortic dissection, cardiomyopathy, abnormal cardiac catheterization, abnormal cardiac imaging, stent or graft revascularization, risk of experiencing an abnormal stress test, risk of experiencing abnormal myocardial perfusion, and death.

[00269] As used herein, the ability to detect, diagnose or prognose cardiovascular disease, for example, atherosclerosis, can include determining if the patient is in a pre-stage of cardiovascular disease, has developed early, moderate or severe forms of cardiovascular disease, or has suffered one or more cardiovascular event or complication associated with cardiovascular disease.

[00270] Atherosclerosis (also known as arteriosclerotic vascular disease or ASVD) is a cardiovascular disease in which an artery-wall thickens as a result of invasion and accumulation and deposition of arterial plaques containing white blood cells on the innermost layer of the walls of arteries resulting in the narrowing and hardening of the arteries. The arterial plaque is an accumulation of macrophage cells or debris, and

contains lipids (cholesterol and fatty acids), calcium and a variable amount of fibrous connective tissue. Diseases associated with atherosclerosis include, but are not limited to, atherothrombosis, coronary heart disease, deep venous thrombosis, carotid artery disease, angina pectoris, peripheral arterial disease, chronic kidney disease, acute coronary syndrome, vascular stenosis, myocardial infarction, aneurysm or stroke.

[00271] For illustrative purposes, in one embodiment the sensor arrays may distinguish the different stages of atherosclerosis, including, but not limited to, the different degrees of stenosis in a subject.

[00272] Further, for illustrative purposes only, the examples below demonstrate the use of a sensor array to detect the different state of coronary artery disease. A sensor array containing six sensor elements was able to distinguish subjects with CAD as diagnosed by coronary angiography, patients with symptoms that had healthy coronary vessels (NO CAD), patients with restenosis (reoccurrence of CAD after treatment) and healthy subjects with no risk factors (**FIG. 53**). The present sensor array was sensitive enough to detect the difference between people who ad symptoms of coronary artery disease but did not have stenosis of the arteries (e.g. NO CAD vs CAD groups). This provides a novel diagnostic CAD test that can be used as a non-invasive screening for at risk patients.

[00273] The term "endocrine disease" is used to refer to a disorder associated with dysregulation of endocrine system of a subject. Endocrine diseases may result from a gland producing too much or too little of an endocrine hormone causing a hormonal imbalance, or due to the development of lesions (such as nodules or tumors) in the endocrine system, which may or may not affect hormone levels. Suitable endocrine diseases able to be treated include, but are not limited to, e.g., Acromegaly, Addison's Disease, Adrenal Cancer, Adrenal Disorders, Anaplastic Thyroid Cancer, Cushing's Syndrome, De Quervain's Thyroiditis, Diabetes, Follicular Thyroid Cancer, Gestational Diabetes, Goiters, Graves' Disease, Growth Disorders, Growth Hormone Deficiency, Hashimoto's Thyroiditis, Hurthle Cell Thyroid Cancer, Hyperglycemia, Hyperparathyroidism, Hyperthyroidism, Hypoglycemia, Hypoparathyroidism, Hypothyroidism, Low Testosterone, Medullary Thyroid Cancer, MEN 1, MEN 2A, MEN 2B, Menopause, Metabolic Syndrome, Obesity, Osteoporosis, Papillary Thyroid Cancer, Parathyroid Diseases, Pheochromocytoma, Pituitary Disorders, Pituitary Tumors, Polycystic Ovary Syndrome, Prediabetes, Silent, Thyroiditis, Thyroid Cancer, Thyroid

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Diseases, Thyroid Nodules, Thyroiditis, Turner Syndrome, Type 1 Diabetes, Type 2 Diabetes, and the like.

[00274] As referred to herein, inflammatory disease refers to a disease caused by uncontrolled inflammation in the body of a subject. Inflammation is a biological response of the subject to a harmful stimulus which may be external or internal such as pathogens, necrosed cells and tissues, irritants etc. However, when the inflammatory response becomes abnormal, it results in self-tissue injury and may lead to various diseases and disorders. Inflammatory diseases can include, but are not limited to, asthma, glomerulonephritis, inflammatory bowel disease, rheumatoid arthritis, hypersensitivities, pelvic inflammatory disease, autoimmune diseases, arthritis; necrotizing enterocolitis (NEC), gastroenteritis, pelvic inflammatory disease (PID), emphysema, pleurisy, pyelitis, pharyngitis, angina, acne vulgaris, urinary tract infection, appendicitis, bursitis, colitis, cystitis, dermatitis, phlebitis, rhinitis, tendonitis, tonsillitis, vasculitis, autoimmune diseases; celiac disease; chronic prostatitis, hypersensitivities, reperfusion injury; sarcoidosis, transplant rejection, vasculitis, interstitial cystitis, hay fever, periodontitis, atherosclerosis, psoriasis, ankylosing spondylitis, juvenile idiopathic arthritis, Behcet's disease, spondyloarthritis, uveitis, systemic lupus erythematosus, and cancer. For example, the arthritis includes rheumatoid arthritis, psoriatic arthritis, osteoarthritis or juvenile idiopathic arthritis, and the like.

[00275] Neurological disorders or neurological diseases are used interchangeably and refer to diseases of the brain, spine and the nerves that connect them. Neurological diseases include, but are not limited to, brain tumors, epilepsy, Parkinson's disease, Alzheimer's disease, ALS, arteriovenous malformation, cerebrovascular disease, brain aneurysms, epilepsy, multiple sclerosis, Peripheral Neuropathy, Post-Herpetic Neuralgia, stroke, frontotemporal dementia, demyelinating disease (including but are not limited to, multiple sclerosis, Devic's disease (i.e. neuromyelitis optica), central pontine myelinolysis, progressive multifocal leukoencephalopathy, leukodystrophies, Guillain-Barre syndrome, progressing inflammatory neuropathy, Charcot-Marie-Tooth disease, chronic inflammatory demyelinating polyneuropathy, and anti-MAG peripheral neuropathy) and the like. Neurological disorders also include immune-mediated neurological disorders (IMNDs), which include diseases with at least one component of the immune system reacts against host proteins present in the central or peripheral nervous system and contributes to disease pathology. IMNDs may include, but are not

limited to, demyelinating disease, paraneoplastic neurological syndromes, immune-mediated encephalomyelitis, immune-mediated autonomic neuropathy, myasthenia gravis, autoantibody-associated encephalopathy, and acute disseminated encephalomyelitis.

[00276] In a non-limiting example, the Examples below provide a method of diagnosing Alzheimer's in a patient using the sensor array and methods described herein. The sensor array was not only able to accurately distinguish between patients with or without Alzheimer's disease, but was also able to detect patients who were pre-symptomatic and developed Alzheimer's disease several years after the screening (as determined by cohort plasmas). This provides advantages of being able to treat a disease at a very early stage, even before development of the disease.

[00277] The sensor arrays and methods of the present invention in some embodiments are able to detect a pre-disease stage of a disease or disorder. A pre-disease stage is a stage at which the patient has not developed any signs or symptoms of the disease. A pre-cancerous stage would be a stage in which cancer or tumor or cancerous cells have not be identified within the subject. A pre-neurological disease stage would be a stage in which a person has not developed one or more symptom of the neurological disease. The ability to diagnose a disease before one or more sign or symptom of the disease is present allows for close monitoring of the subject and the ability to treat the disease at a very early stage, increasing the prospect of being able to halt progression or reduce the severity of the disease.

[00278] The sensor arrays and methods of the present invention in some embodiments are able to detect the early stages of a disease or disorder. Early stages of the disease refers to when the first signs or symptoms of a disease may manifest within a subject. Usually diseases able to be caught in either pre-disease development or in the early states are easier to treat and provide a more positive outcome for the patient. For example, for cancer, the early stages of a disease may include stage 0 and stage 1 cancer. Stage 0 cancer describes the cancer in situ, which means “in place” signifying that the cancer is still located in the place it started and have not spread to nearby tissues. This stage of cancer is often highly curable, usually by removing the entire tumor with surgery. Stage 1 cancer is usually a small cancer or tumor that has not grown deeply into nearby tissue and has not spread to lymph nodes or other parts of the body. Further, early stage of a disease may be a stage at which there are no outward signs or

symptoms. For example, in Alzheimer's disease an early stage may be a pre-Alzheimer's stage in which no symptoms are detected yet the patient will develop Alzheimer's months or years later.

[00279] In some embodiments, the sensor arrays and methods are able to detect intermediate stages of the disease. Intermediate states of the disease describe stages of the disease that have passed the first signs and symptoms and the patient is experiencing one or more symptom of the disease. For example, for cancer, stage II or III cancers are considered intermediate stages, indicating larger cancers or tumors that have grown more deeply into nearby tissue. In some instances, stage II or III cancers may have also spread to lymph nodes but not to other parts of the body.

[00280] Further, the sensor arrays and methods are able to detect late or advanced stages of the disease. Late or advanced stages of the disease may also be called "severe" or "advanced" and usually indicates that the subject is suffering from multiple symptoms and effects of the disease. For example, severe stage cancer includes stage IV, where the cancer has spread to other organs or parts of the body and is sometimes referred to as advanced or metastatic cancer.

[00281] In some embodiments, the methods of the present technology include comparing the protein fingerprint of the sample to a panel of protein fingerprints associated with a plurality of diseases and/or a plurality of disease states to determine if the sample indicates a disease and/or disease state. For example, samples can be collected from a population of subjects over time. Once the subjects develop a disease or disorder, the present invention allows for the ability to characterize and detect the changes in biomolecule fingerprints over time in the subject by comparing the biomolecule fingerprint of the sample from the same subject before they have developed a disease to the biomolecule fingerprint of the subject after they have developed the disease. In some embodiments, samples can be taken from cohorts of patients who all develop the same disease, allowing for analysis and characterization of the biomolecule fingerprints that are associated with the different stages of the disease for these patients (e.g. from pre-disease to disease states).

[00282] For illustrative purposes only, the examples have shown that the methods and sensor arrays of the present invention are able to distinguish not only between different types of cancers, but also between the different stages of the cancer (e.g. early stages of cancer).

[00283] Methods of determining a biomolecule fingerprint associated with at least one disease or disorder and/or a disease state are contemplated. The methods comprise the steps of obtaining a sample from at least two subjects diagnosed with the at least one disease or disorder or having the same disease state; contacting each sample with a sensor array described herein to determining a biomolecule fingerprint for each sensor array, and analyzing the fingerprint of the at least two samples to determine a biomolecule fingerprint associated with the at least one disease or disorder and/or disease state.

Classification of Biomolecule Corona

[00284] The method of determining the biomolecule fingerprint associated with the disease or disorder and/or disease state include the analysis of the biomolecule fingerprints of the at least two samples. This determination, analysis or statistical classification is done by methods known in the art, including, but not limited to, for example, a wide variety of supervised and unsupervised data analysis, machine learning, deep learning, and clustering approaches including hierarchical cluster analysis (HCA), principal component analysis (PCA), Partial least squares Discriminant Analysis (PLS-DA), random forest, logistic regression, decision trees, support vector machine (SVM), k-nearest neighbors, naive bayes, linear regression, polynomial regression, SVM for regression, K-means clustering, and hidden Markov models, among others. In other words, the biomolecule fingerprint of each sample are compared/analyzed with each other to determine with statistical significance what patterns are common between the individual fingerprints to determine a biomolecule fingerprint that is associated with the disease or disorder or disease state.

[00285] Generally, machine learning algorithms are used to construct models that accurately assign class labels to examples based on the input features that describe the example. In some case it may be advantageous to employ machine learning and/or deep learning approaches for the methods described herein. For example, machine learning can be used to associate the biomolecule fingerprint with various disease states (e.g. no disease, precursor to a disease, having early or late stage of the disease, etc.). For example, in some cases, one or more machine learning algorithms are employed in connection with a method of the invention to analyze data detected and obtained by the biomolecule corona and biomolecule fingerprints derived therefrom. For example, in one embodiment, machine learning can be coupled with the sensor array described

herein to determine not only if a subject has a pre-stage of cancer, cancer or does not have or develop cancer, but also to distinguish the type of cancer.

[00286] The Examples below have shown the ability of the sensor array described herein to determine the disease state for a number of different diseases, including, cancer, cardiovascular disease and neurological disease (e.g. Alzheimer's disease) with statistical significance. This assay is not limited to these specific embodiments, as the sensor array can be applied to a variety of diseases and disease states as described herein.

[00287] In some embodiments, the method includes obtaining samples from control subjects which are contacted with the sensor array to produce a control biomolecule fingerprint. These control biomolecule fingerprints can then be used to compare to the biomolecule fingerprints of the subjects with a disease or disorder and/or specific disease state to determine a biomolecule fingerprint specific to that disease or disorder and/or specific disease state.

[00288] The method may include, for example, obtaining control sample from at least one control subject, contacting the control sample with the sensor array to produce a plurality of control biomolecule corona, and assaying the plurality of biomolecules of each control biomolecule corona. The method may further comprise comparing the plurality of biomolecules of the plurality of control biomolecule corona with the plurality of biomolecules of the plurality of biomolecule corona from the subject with the disease or disorder to determine a biomolecule fingerprint associated with the at least one disease or disorder.

[00289] Methods of diagnosing or prognosing a disease or disorder are also contemplated. The methods comprise obtaining a sample from a subject; contacting the sample with a sensor array to produce a biomolecule fingerprint, and comparing the biomolecule fingerprint to a panel of biomolecule fingerprints associated with a plurality of diseases or disorders; and diagnosing or prognosing the disease or disorder.

[00290] In some embodiments, methods of identifying patterns of biomarkers or specific biomarkers associated with a disease or disorder are contemplated. Suitable methods, include, for example, preforming the methods described above (e.g. obtaining a samples from at least two subjects diagnosed with the disease or disorder and at least two control subjects; contacting each sample with the sensor array to produce a biomolecule fingerprint, and comparing the biomolecule fingerprint of the subjects with

the disease or disorder to the biomolecule fingerprint of the control subjects to determine at least one pattern and/or biomarker associated with the disease or disorder. Suitable, the method may comprise at least 2 disease subjects and at least two control subjects, alternatively at least 5 disease subjects and at least 5 control subjects, alternatively at least 10 disease subjects and at least 10 control subjects, alternatively at least 15 disease subjects and at least 15 control subjects, alternatively at least 20 disease subjects and at least 20 control subjects, and includes any variations in between (e.g. disease subjects from at least 2-100, and control subjects from at least 2-100).

[00291] In some embodiments, the arrays and methods allow for the determination of a pattern of biomarkers associated with the disease state or disease or disorder or, in some embodiments, specific biomarkers that are associated with the disease or disorder. Not only will biomarkers that may be associated with a disease state be able to be identified, for example, biomarkers listed herein, but new biomarkers or patterns of biomarkers that may be associated with a disease state or a disease or disorder may be determined. As discussed above, some biomarkers or patterns of biomarkers for a specific disease or disorder may be a change in a biomolecule associated with the sensor array of the present invention and differ from what is usually referred to as biomarkers in the art, e.g., and increase expression of a specific biomolecule associated with a disease. As discussed above, it may be the interaction of a biomolecule, e.g. biomolecule X, with other biomolecules, e.g. biomolecule Y and Z, that results in the ability to associate with a specific disease state and may not correlate with any change in the absolute concentration of biomarker X in the sample over time or disease state. Thus, a molecule that would not in the conventional sense be considered a biomarker since it does not change in absolute concentration in a sample from the pre-disease to disease state, may in view of the present disclosure be considered a biomolecule as its relative changes that are measured by the array of the present invention are associated with a disease state. In other words, it may be an increase or decrease in the interaction of biomolecule X (due to the interactions of X with the sensor elements and other biomolecules in the sample) with the array that provides a signal that a biomarker is associated with a disease state.

[00292] Suitable cancer biomarkers include, but are not limited to, for example, AHSG (α2-HS-Glycoprotein), AKR7A2 (Aflatoxin B1 aldehyde reductase), AKT3 (PKB γ), ASGR1 (ASGPR1), BDNF, BMP1 (BMP-1), BMPER, C9, CA6 (Carbonic anhydrase VI),

CAPG (CapG), CDH1 (Cadherin-1), CHRDL1 (Chordin-Like 1), CKB-CKM- (CK-MB), CLIC1 (chloride intracellular channel 1), CMA1 (Chymase), CNTN1 (Contactin-1), COL18A1 (Endostatin), CRP, CTSL2 (Cathepsin V), DDC (dopa decarboxylase), EGFR (ERBB1), FGA-FGB-FGG (D-dimer), FN1 (Fibronectin FN1.4), GHR (Growth hormone receptor), GPI (glucose phosphate isomerase), HMGB1 (HMG-1), HNRNPAB (hnRNP A/B), HP (Haptoglobin, Mixed Type), HSP90AA1 (HSP 90α), HSPA1A (HSP 70), IGFBP2 (IGFBP-2), IGFBP4 (IGFBP-4), IL12B-IL23A (IL-23), ITIH4 (Inter-α-trypsin inhibitor heavy chain H4), KIT (SCF sR), KLK3-SERPINA3 (PSA-ACT), L1CAM (NCAM-L1), LRIG3, MMP12 (MMP-12), MMP7 (MMP-7), NME2 (NDP kinase B), PA2G4 (ErbB3 binding protein Ebp1), PLA2G7 (LpPLA2/PAFAH), PLAUR (suPAR), PRKACA (PRKA C-α), PRKCB (PKC-β-II), PROK1 (EG-VEGF), PRSS2 (Trypsin-2), PTN (Pleiotrophin), SERPINA1 (α1-Antitrypsin), STC1 (Stanniocalcin-1), STX1A (Syntaxin 1A), TACSTD2 (GA733-1 protein), TFF3 (Trefoil factor 3), TGFBI (βIGH3), TPI1 (Triosephosphate isomerase), TPT1 (Fortilin), YWHAG (14-3-3 protein γ), YWHAH (14-3-3 protein eta), prostate cancer biomarkers, for example, PSA, Pro-PSA, PHI, PCA3, TMPRSS3:ERG, PCMT, MTEN, breast cancer markers, for example, epidermal growth factor receptor 2 (HER2) oncogene, melanoma biomarker BRAF, lung cancer biomarker EML4-ALK, A2ML1, BAX, C10orf47, Clorfl62, CSDA, EIFC3, ETFB, GABARAPL2, GUKl, GZMH, HIST1H3B, HLA-A, HSP90AA1, NRGN, PRDX5, PTMA, RABACl, RABAGAP1L, RPL22, SAP 18, SEPW1, SOX1, EGFR, EGFRvIII, apolipoprotein AI, apolipoprotein CIII, myoglobin, tenascin C, MSH6, claudin-3, claudin-4, caveolin-1, coagulation factor III, CD9, CD36, CD37, CD53, CD63, CD81, CD136, CD147, Hsp70, Hsp90, Rabl3, Desmocollin-1, EMP-2, CK7, CK20, GCDF15, CD82, Rab-5b, Annexin V, MFG-E8, HLA-DR, a miR200 microRNA, MDC, NME-2, KGF, PIGF, Flt-3L, HGF, MCP1, SAT-1, MIP-1-b, GCLM, OPG, TNF RII, VEGF-D, ITAC, MMP-10, GPI, PPP2R4, AKR1B1, Amy1A, MIP-1b, P-Cadherin, EPO and the like. For example, biomarkers for breast cancer include, but are not limited to, ER/PR, HER-2/neu, and the like. Biomarkers for colorectal cancer include, but are not limited to, for example, EGFR, KRAS, UGT1A1, and the like. Biomarkers associated with leukemia/lymophoma include, but are not limited to, e.g., CD20 antigen, CD30, FIP1L1-PDGFRalpha, PDGFR, Philladelphia Chromosome (BCR/ABL), PML/RAR alpha, TPMT, UGT1A1, and the like. Biomarker associated with lung cancer include but are not limited to, e.g., ALK, EGFR, KRAS and the like. Biomarkers are known in the art, and can be found in, for example, Bigbee W, Herberman RB. Tumor markers and immunodiagnosis. In: Bast RC Jr., Kufe

DW, Pollock RE, et al., editors. Cancer Medicine. 6th ed. Hamilton, Ontario, Canada: BC Decker Inc., 2003; Andriole G, Crawford E, Grubb R, et al. Mortality results from a randomized prostate-cancer screening trial. New England Journal of Medicine 2009; 360(13):1310–1319; Schröder FH, Hugosson J, Roobol MJ, et al. Screening and prostate-cancer mortality in a randomized European study. New England Journal of Medicine 2009; 360(13):1320–1328; Buys SS, Partridge E, Black A, et al. Effect of screening on ovarian cancer mortality: the Prostate, Lung, Colorectal and Ovarian (PLCO) Cancer Screening Randomized Controlled Trial. JAMA 2011; 305(22):2295–2303; Cramer DW, Bast RC Jr, Berg CD, et al. Ovarian cancer biomarker performance in prostate, lung, colorectal, and ovarian cancer screening trial specimens. Cancer Prevention Research 2011; 4(3):365–374; Sparano JA, Gray RJ, Makower DF, et al. Prospective validation of a 21-gene expression assay in breast cancer. New England Journal of Medicine 2015; First published online September 28, 2015. doi: 10.1056/NEJMoa1510764, incorporated by reference in their entireties.

[00293] Suitable, these methods can be used to determine biomarkers associated with cancer. For example, in one embodiment the cancer is glioblastoma, and wherein the biomarker is selected from the group consisting of HABP1, VTNC, CO3, ITIH2, ITIH1, CO7, FHR5, CBPN, ALBU, PLMN, CO4A, PRDX2, VWF, C4BPA, APOB, HBB, CNDP1, CRP, SAA4, APOE, CSCL7 and combinations thereof. In another embodiment, the cancer is meningioma, and wherein the biomarker is selected from the group consisting of FCN3, RET4, HABP2, CBPN and combinations thereof. In another embodiment, the cancer is pancreatic cancer and wherein the biomarker is selected from the group consisting of KNG1, IC1, CBPB2, TRFE, GELS, CXCL7, HPTR, PGK1, AACT, LUM, APOE, FIBB, APOA2, A1BG, A1AT, LBP, APOA1, H4, FIBG and combinations thereof. In another embodiment, the cancer is lung cancer and the biomarker is selected from the group consisting of CO0, CRP, SAA4, APOA1, A1AT, GELS and combinations thereof. In another embodiment, the cancer is myeloma and the biomarker is ALBU.

[00294] Biomarkers may also be associated with the cardiovascular disease which are known in the art and include, but are not limited to, lipid profile, glucose, and hormone level and physiological biomarkers based on measurement of levels of important biomolecules such as serum ferritin, triglyceride to HDLp (high density lipoproteins) ratio, lipophorin-cholesterol ratio, lipid-lipophorin ratio, LDL cholesterol level, HDLp and apolipoprotein levels, lipophorins and LTPs ratio, sphingolipids,

Omega-3 Index, and ST2 level, among others. Suitable biomarkers for cardiovascular disease can be found in the art, for example, but not limited to, in van Holten et al. "Ciculating Biomarkers for Predicting Cardiovascular Disease Risk; a Systemic Review and Comprehensive Overview of Meta-Analyses" PLoS One, 2013 8(4): e62080, incorporated by reference in its entirety.

[00295] Biomarkers may also be associated with a neurological disease. Suitable biomarkers are known in the art and include, but are not limited to, e.g., Aβ1–42, t-tau and p-tau 181, α-synuclein, among others. *See, e.g.,* Chintamaneni and Bhaskar "Biomarkers in Alzheimer's Disease: A Review" ISRN Pharmacol. 2012. 2012: 984786. Published online 2012 Jun 28, incorporated by reference in its entirety.

[00296] Biomarkers for inflammatory diseases are known in the art and include, but are not limited to, e.g., cytokines/chemokines, immune-related effectors, acute-phase proteins [C-reactive protein (CRP) and serum amyloid A (SAA)], reactive oxygen species (ROS) and reactive nitrogen species (RNS), prostaglandins and cyclooxygenase (COX)-related factors, and mediators such as transcription factors and growth factors , which can include, for example, C-reactive protein (CRP), S100, LIF, CXCL1, CXCL2, CXCL4, CXCL5, CXCL8, CXCL9, CXCL10, CCL2, CCL23, IL-Iβ, IL-IRa, TNF, IL-6, IL-10, IL-17A, IL-17F, IL-21, IL-22, IFNγ, CXCRl, CXCR4, CXCR5, GM-CSF, GM-CSFR, G-CSF, G-CSFR, EGF, VEGFA, LEP, SAA1, VCAM1, CRP, MMP1, MMP3, TNFRSF1A, RETN, CHI3L1, antinuclear antibodies (ANA), rheumatoid factor (RF), antibodies against cyclic citrullinated peptide (anti-CCP)] and for chronic IBD (fecal calprotectin), among others. Suitable biomarkers for inflammatory bowel disease, for example, include CRP, ESR, pANCA, ASCA, and fecal calprotectin. *See, e.g.,* Yi Fengming and Wu Jianbing, "Biomarkers of Inflammatory Bowel Disease," Disease Markers, vol. 2014, Article ID 710915, 11 pages, 2014. doi:10.1155/2014/710915, incorporated by reference in its entirety.

[00297] The terms "individual," "subject," and "patient" are used interchangeably herein irrespective of whether the subject has or is currently undergoing any form of treatment. As used herein, the term "subject" generally refers to any vertebrate, including, but not limited to a mammal. Examples of mammals including primates, including simians and humans, equines (e.g., horses), canines (e.g., dogs), felines, various domesticated livestock (e.g., ungulates, such as swine, pigs, goats, sheep, and the like), as well as domesticated pets (e.g., cats, hamsters, mice, and guinea pigs). Preferably, the subject is a human.

[00298] The arrays and methods described herein can be used under a number of different conditions to provide the desired biomolecule fingerprint. For example, the size of the sensor elements, the rate of flow of the sample through the sensor, the time of incubating the sensor array with the sample and the temperature at which the sensor array is incubated can all be changed to provide a reproducible biomolecule fingerprint. Suitable sizes of the sensor element include nanoscale sensor elements that have less than one micron in at least one direction.

[00299] Suitable time for incubating the array or plurality of sensor elements include, at least a few seconds, e.g. at least 10 seconds to about 24 hours, for example at least about 10 seconds, at least about 15 seconds, at least about 20 seconds, at least about 25 seconds, at least about 30 seconds, at least about 40 seconds, at least about 50 seconds, at least about 60 seconds, at least about 90 seconds, at least about 2 minutes, at least about 3 minutes, at least about 4 minutes, at least about 5 minutes, at least about 6 minutes, at least about 7 minutes, at least about 8 minutes, at least about 9 minutes, at least about 10 minutes, at least about 15 minutes, at least about 20 minutes, at least about 25 minutes, at least about 30 minutes, at least about 45 minutes, at least about 50 minutes, at least about 60 minutes, at least about 90 minutes, at least about 2 hours, at least about 3 hours, at least about 4 hours, at least about 5 hours, at least about 6 hours, at least about 7 hours, at least about 8 hours, at least about 9 hours, at least about 10 hours, at least about 12 hours, at least about 14 hours, at least about 15 hours, at least about 16 hours, at least about 17 hours, at least about 18 hours, at least about 19 hours, at least about 20 hours, and include any time and increment in between (e.g. 10 seconds, 11, 12, 13, 14, 15, 15, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60 seconds, etc.; 1 minute, 2, 3, 4, 5, 6, 7, 9, 10, 11, 12, 13, 14, 15, 15, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, etc.; 1 hour, 2 hours, 3, hours, 4, hours, 5 hours, 6 hours, 7 hours, 8 hours etc.)

[00300] Further, the temperature at which the assay is performed can be determined by one skilled in the art, and incudes temperatures between about 4 °C to about 40 °C, alternatively from about 4 °C to about 20 °C, alternatively from about 10°C to about 15 °C, alternatively from about 10 °C to about 40 °C, for example, at about 4 °C, about 5 °C, about 6°C, about 7 °C, about 8 °C, about 9°C, about 10°C, about 11 °C, about 12 °C, about

13°C, about 14 °C, about 15°C, about 16°C, about 17 °C, about 18°C, about 19°C, about 20°C, about 21 °C, about 22 °C, about 25°C, about 30°C, about 35 °C, about 37°C, etc. Suitable, the assay may be performed at room temperature (e.g. around about 37°C, for example from about 35 °C to about 40°C).

[00301] The methods of the present invention may comprise contacting the sample with the sensor array. The contacting of the sample with the sensor array may be using any suitable flow rate in which the sample can flow over the sensor array. In some aspects, the flow velocity of the streams, the Reynolds number, or the relative cross sectional areas of the flow streams can be altered to provide adequate contact between the sample and the sensor array.

[00302] For example, in embodiments using a nanochannel or microchannel the cross sectional area of the first stream can be more than 1% of the cross sectional area of the channel. In another example, the cross-sectional area of the first stream can be less than 90% of the cross sectional area of the channel. The cross sectional area ratio can be 10:1 to 1:10, 1:5 to 5:1, 1:3 to 3:1, 1:2 to 2:1 or 1:1.

[00303] In certain other circumstances, the flow of the sample over the array has a Reynolds number at the location of the introduction of the sample of between 300 and 1,000,000. In some instances, the location of the introduction of the sample is a nanochannel or microchannel.

Kits

[00304] Aspects of the present disclosure that are described with respect to methods can be utilized in the context of the sensor array or kits discussed in this disclosure. Similarly, aspects of the present disclosure that are described with respect to the sensor array and methods can be utilized in the context of the kits, and aspects of the present disclosure that are described with respect to kits can be utilized in the context of the methods and sensor array.

[00305] This disclosure provides kits. The kits can be suitable for use in the methods described herein. Suitable kits include a kit for determining a biomolecule fingerprint for a sample comprising a sensor array as described herein. In one aspect, the kit provides a sensor array comprising at least two sensor elements which have differing physiocochemical properties from each other. In some aspects, the kits provides a comparative panel of biomolecule fingerprints in order to use the biomolecule

fingerprint to determine a disease state for the subject. In some aspects, instructions on how to determine the biomolecule fingerprint are included. In some suitable embodiments, the sensor arrays are provided as chip arrays in the kit.

[00306] In other aspects, kits for determining a disease state of a subject or diagnosing or prognosing a disease in a subject are provided. Suitable kits include a sensor array comprising at least two sensor elements which have differing physiocochemical properties from each other to determining a biomolecule fingerprint. Further, the kit may further include a comparative panel of biomolecule fingerprint of different disease states or different diseases or disorders. Instructions on determining the biomolecule fingerprint and analysis are provided.

[00307] It should be apparent to those skilled in the art that many additional modifications beside those already described are possible without departing from the inventive concepts. In interpreting this disclosure, all terms should be interpreted in the broadest possible manner consistent with the context. Variations of the term "comprising" should be interpreted as referring to elements, components, or steps in a non-exclusive manner, so the referenced elements, components, or steps may be combined with other elements, components, or steps that are not expressly referenced. Embodiments referenced as "comprising" certain elements are also contemplated as "consisting essentially of" and "consisting of" those elements. The term "consisting essentially of" and "consisting of" should be interpreted in line with the MPEP and relevant Federal Circuit's interpretation. The transitional phrase “consisting essentially of” limits the scope of a claim to the specified materials or steps “and those that do not materially affect the basic and novel characteristic(s)” of the claimed invention. "Consisting of" is a closed term that excludes any element, step or ingredient not specified in the claim.

[00308] The following non-limiting examples are included for purposes of illustration only, and are not intended to limit the scope of the range of techniques and protocols in which the compositions and methods of the present invention may find utility, as will be appreciated by one of skill in the art and can be readily implemented.

EXAMPLES

EXAMPLE 1A. Label-Free Sensor Array for Early Detection of Cancer

[00309] The present Example provides a label-free sensor array for early detection of various cancers. The sensor array consists of three different cross-reactive liposomes with various surface charges (i.e., cationic (DOPG (1,2-dioleoyl-sn-glycero-3-phospho-(1′-rac-glycerol)), anionic (DOTAP(1,2-Dioleoyl-3-trimethylammonium-propane)-DOPE(dioleoylphosphatidylethanolamine)), and neutral (CHOL (DOPC-Cholesterol)), whose protein corona composition changes in response to their interactions with the plasma of patients who have different types of various cancers, i.e., lung, pancreas, myeloma, meningioma, and glioblastoma. Although no single protein corona composition is specific for any one cancer type, the changes in the corona composition pattern provide a unique "fingerprint" for each type of cancer.

Hard corona profiles of the sensor array elements using plasma from patients with cancers at early, intermediate, and advanced stages. The composition of the protein corona that forms on the surface of sensor array elements (nanoparticles) is strongly dependent on the physicochemical properties of those nanoparticles and, at the same time, can be strongly affected by the type of disease present in the donor of the human plasma used for incubation. The size and charge of the corona-coated nanoparticles, after incubation with plasma from patients with five different types of cancers (i.e., glioblastoma multiforme, lung cancer, meningioma, multiple myeloma, and pancreatic cancer) and healthy individuals (see **Table 1**), were probed using dynamic light scattering (DLS/Nanosight) and transmission electron microscopy (TEM), and the results demonstrated that the physicochemical properties of the corona-coated nanoparticles depended substantially on the type of cancer (**FIG. 2A,B)**.

Table 1. General information on patients (and their cancer types) whose plasma was used in this study.

PATIENT LABEL	AGE	GENDER	CANCER STAGE	CANCER STAGE CATEGORY
HEALTHY 1	43	F	----------	----------
HEALTHY 2	54	M	----------	----------
HEALTHY 3	67	F	----------	----------
HEALTHY 4	69	M	----------	----------
HEALTHY 5	61	F	----------	----------
PANCREAS 1	81	M	TNM: cT4 N+M+	Advanced

PANCREAS 2	76	M	TNM: cT2 N+	Moderate
PANCREAS 3	60	F	TNM: cT4 N+	Advanced
PANCREAS 4	75	M	TNM: cT3 N+M+	Advanced
PANCREAS 5	61	F	TNM: cT3 N+M+	Advanced
PANCREAS 6	71	F	TNM: cT3 N+	Advanced
PANCREAS 7	61	M	TNM: cT3 N+	Advanced
PANCREAS 8	67	M	TNM: cT3 N+	Advanced
LUNG 1	45	F	TNM: TIA N0 M0	Early
LUNG 2	24	M	TNM: TIA N0 M0	Early
LUNG 3	44	F	TNM: TIA N0 M0	Early
LUNG 4	48	F	TNM: TIA N0 M0	Early
LUNG 5	40	M	TNM: TIA N0 M0	Early
LUNG 6	47	F	TNM: TIA N0 M0	Early
LUNG 7	51	M	TNM: TIA N0 M0	Early
LUNG 8	52	F	TNM: TIA N0 M0	Early
MYELOMA 1	41	F	Onset II (52% Plasma cells in Bone Marrow; Monoclonal IgG-k)	Moderate
MYELOMA 2	60	F	Onset I (28% Plasma cells in Bone Marrow; Monoclonal IgG-k)	Early
MYELOMA 3	57	F	Onset I (8% Plasma cells in Bone Marrow; Monoclonal IgG-k)	Early
MYELOMA 4	63	M	Onset I (9% Plasma cells in Bone Marrow; Monoclonal IgG-k)	Early
MYELOMA 5	75	M	Onset II (42% Plasma cells in Bone Marrow; Monoclonal IgG-k)	Moderate
MYELOMA 6	46	F	Onset I (15% Plasma cells in Bone Marrow; Monoclonal IgG-L)	Early
MYELOMA 7	56	F	Onset I (44% Plasma cells in Bone Marrow; Monoclonal IgG-L)	Early
MYELOMA 8	70	F	Onset I (27% Plasma cells in Bone Marrow; Monoclonal IgG-L)	Early
GLIOBLASTOMA 1	58	M	WHO 4	Advanced
GLIOBLASTOMA 2	75	M	WHO 4	Advanced
GLIOBLASTOMA 3	76	M	WHO 4	Advanced
GLIOBLASTOMA 4	73	M	WHO 4	Advanced
GLIOBLASTOMA 5	76	F	WHO 4	Advanced
GLIOBLASTOMA 6	62	M	WHO 4	Advanced
GLIOBLASTOMA 7	48	M	WHO 4	Advanced
GLIOBLASTOMA 8	56	M	WHO 4	Advanced
MENINGIOMA 1	82	F	WHO 2	Moderate
MENINGIOMA 2	50	F	WHO 2	Moderate
MENINGIOMA 3	80	M	WHO 2	Moderate
MENINGIOMA 4	67	M	WHO 2	Moderate
MENINGIOMA 5	64	M	WHO 2	Moderate
MENINGIOMA 6	84	M	WHO 2	Early

MENINGIOMA 7	58	F	WHO 2	Early
MENINGIOMA 8	70	M	WHO 2	Early

[00310] Quantitative evaluation of the total protein adsorbed onto the nanoparticles was performed *via* the BCA or NanoOrange assay, and the results showed significant differences in the amounts of adsorbed proteins after incubation in plasma from patients with various types of cancers (**FIG. 2B**). The quantitative evaluation of the total protein adsorbed on the surface of liposomes showed strong dependency of protein amount on cancer type (**FIG. 2B**). The protein corona composition at the surface of three liposomes was evaluated by liquid chromatography-mass spectrometry (LC-MS/MS) in which the abundance of 1,800 known proteins was defined. The contribution of individual proteins and their categories (*i.e.*, complement, coagulation, tissue leakage, lipoproteins, acute phase, immunoglobulins, and other plasma proteins) to the corona composition were defined (**FIG. 2C**; **FIG. 3A-F**). These results demonstrated significant associations between the protein composition and not only the cancer type but also the type of sensor element (*i.e.*, type of nanoparticle).

[00311] According to an extensive body of literature, there are considerable relationships between cancer development and variations in complement, coagulation, tissue leakage, lipoproteins, acute phase, and immunoglobulins. Therefore, the cross-reactive interactions of these protein categories with nanoparticles may provide unique "fingerprints" for each type of cancer, which may facilitate cancer identification and discrimination. Consequently, one would expect the protein corona sensor array to cross-reactively adsorb a wide range of proteins involved in cancer induction and development that could be used for cancer identification and discrimination.

[00312] ***Develop supervised classification analysis to identify and discriminate among cancers using the protein corona sensor array outcomes.*** In order to investigate whether protein corona fingerprints (PCFs) of various sensor elements could be utilized as a biosensors and form unique patterns for different diseases, we have applied focused classification approaches to proteomic data on three liposomes' protein corona composition (cationic, anionic, and neutral). Details of the methods are described in the Methods section. A weighted-variable importance in the projection (VIP) score is introduced and applied for ranking of variables based on partial least squares discriminant analysis (PLS-DA) as a linear projection method. Selection of the

most relevant variables (proteins) in building the classification model can be guided by a set of obtained ranked variables. In this regard, top ranked variables were added to the model one by one, and the classification error of the PLS-DA model was monitored. We observed that the classification model has the minimum error by using only the top 69 features (**FIG. 4A**). The new 69-dimensional feature space was successfully used to discriminate 30 samples belonging to six classes by PLS-DA with a high classification accuracy (0.97) using leave-one-out and 10-fold cross-validation (**FIG. 4A,B**). The classification parameters are given in Table 2. The contribution of each single selected protein to the separation of each cancer group (VIP) is plotted on the y- and x- axis, respectively, to provide a visual representation of the relative specificity of the findings (**FIG. 4B-F**). The proteins with higher VIP scores could be considered the best informative or diagnostic set to discriminate each disease from controls and from among all cancer categories.

Table 2. Classification results obtained from two developed and non-linear models for six groups of samples.

Models/ classification parameters		**PLS-DA, 10 fold CV, LV=5 20 iteration**	**CPANN (8*8) 10 fold CV**
Specificity (CV)	**1**	1.00	1.00
	2	0.96	0.96
	3	1.00	1.00
	4	1.00	1.00
	5	1.00	1.00
	6	1.00	1.00
Sensitivity (CV)	**1**	1.00	1.00
	2	1.00	1.00
	3	1.00	0.80
	4	1.00	1.00
	5	1.00	1.00
	6	1.00	1.00

Class error (CV)	**1**	0.00	0.00
	2	0.03	0.02
	3	0.00	0.00
	4	0.00	0.00
	5	0.00	0.00
	6	0.00	0.00
1) Control 2) Glioblastoma 3) Meningioma 4) Myeloma 5) Pancreas 6) Lung cancers			

[00313] PLS-DA and counter propagation artificial neural network (CPANN) were then applied to the selected variables, and whole samples as supervised classification, linear, and non-linear approaches, respectively. It should be noted that the primary data set with all variables before variable selection, has poor discrimination and could not be separated into six groups.

[00314] Next, to further verify and analyze the data, we decided to take advantage of a non-linear classification method. Visualizing the feature space can help us understand the hidden structures and topological relationships among the patterns. To reduce the dimensionality of the feature space while preserving the topological relation in the data structure, the CPANN (a supervised variant of self-organizing maps/SOM) was used to learn and predict the class membership of the patterns, simultaneously producing a two-dimensional map of neurons and provide valuable information (from a non-linear approach) about the data structure. Details of the CPANN are provided in the Methods section. Different sizes for the CPANN map were checked using 10-fold cross-validation; a map including 64 (8×8) neurons was chosen due to the minimum classification error (**FIG. 9C**). Moreover, the topological structure of data in the high-dimensional space is reflected in the assignation map produced by CPANN (**FIG. 5C,D**). Considering the similarity of the neurons to the input vectors, the map can be partitioned into six distinct zones related to different type of cancers and control samples. Samples with the same class label are mapped onto nearby or the same neurons, which means that the selected variables provide information valuable in discriminating the samples in the feature space. The relative position and orientation of six zones on the map can contribute qualitative information on the similarities between types of cancers. To represent the effect of variable selection on the quality of mapping, another CPANN was

trained with all 1823 variables, and the resulting map shows that the selected biomarkers (variables) play an important role in discriminating among cancer types and classifying them properly (**FIG. 5C,D**).

[00315] On the basis of the obtained results, both linear and non-linear models showed high accuracy, deduced from their acceptable specificity, sensitivity, and class error values. Consistent with these findings, unsupervised clustering (HCA) based on 69 markers was able to strongly separate, various type of cancerous and control samples (**FIG. 5E-F**). As can be seen in **FIG. 5**, there is close similarity between the glioblastoma and meningioma groups of samples, implying difficulty in discrimination, most probably related to similar plasma proteomics patterns in these two brain cancers. These results reflect the fact that the plasma concentrations of many proteins in the corona differ considerably, not only among subjects with different types of cancers, but among healthy individuals as well.

[00316] To illustrate the biosensors' capability for pattern recognition, a set of analyses was performed on the data obtained from individual nanoparticles. As expected, the pattern of cancer-specific fingerprints could not be extracted solely from each nanoparticle's PCF. We found that pattern-recognition techniques applied to protein abundance in the protein corona formed on three different liposomes (cationic, anionic, and neutral) correctly distinguished not only cancerous from control samples, but also each type of cancer under consideration from the others.

[00317] ***Identification of proteins with crucial roles in cancer detection and discrimination as promising biomarkers for specific types of cancers.*** The use of biomarkers both before cancer diagnosis (in risk assessment and screening/early detection) and after diagnosis (in monitoring therapy, selecting additional therapy, and detecting recurrence) would yield substantial therapeutic and health-economic benefits. To understand the potential biological relevance of the 69 selected proteins that discriminate cancerous samples, we manually searched through previously published reports in PubMed on protein biomarkers of specific types of cancers that are upregulated or downregulated according to different disease stages. The resulting data were compared with the selected proteins in the model to identify matched markers and determine the biological relevance of the proposed model. Interestingly, we noted significant numbers of biomarkers specific to five investigated groups of cancers among the selected predictors that had been reported as specific cancer biomarkers (**FIG. 7B**).

[00318] The high specificity of the selected markers for discriminating among the five groups of cancers, which derives from the introduced protein corona sensor array approach, demonstrates a significant correlation with the work now under way in the complex cancer proteomics space; therefore this strategy not only provides a basis for cancer prediction but also translates that promise into reality. It is noteworthy that the discrimination between different groups occurs as a result of several predictors (and not individual biomarkers) that change simultaneously in a systematic manner, forming patterns unique to each specific type of cancer. On the basis of this evidence, the most informative predictors selected by the proposed model that have not already been reported as cancer-specific biomarkers may have great potential as new diagnosis biomarker candidates. To define their role in cancer development, the variation and functionality of these promising candidates in cancer patients should be carefully monitored. By focusing on the unique patterns derived from huge numbers of subjects via a set of informative predictors, researchers should be able to predict cancers at different stages more accurately than is possible using current methods.

[00319] ***Cohort data analysis.*** To probe the capacity of this innovative sensor array for very early detection of cancers, we used cohort plasma from healthy people who were diagnosed with one of the five types of cancers several years after plasma collection. Using the cohort samples, we evaluated whether our proposed models, both linear and non-linear, with 69 selected predictors could be utilized for cancer prediction.

[00320] To this end, the values related to 69 variables were put into the model, and the class membership of each cohort object was predicted given the fixed optimum parameters values. It is noteworthy that 19 variables (proteins), out of the 69 variables, were absence in the proteomics profile of protein corona sensor array of cohort samples; therefore their amount in the validation data matrix was zero. Interestingly, both linear and non-linear models provided good predictions for all five samples. The observed distance between training and cohort samples (**FIG. 6A,B**), most probably influenced by zero values that were added to data matrix for those 19 absent variables. As shown in **FIG. 6C, D**, the cohort samples were placed in the correct neuron related to Glioblastoma in the CPANN map. METHODS

[00321] ***Liposomes.*** Cholesterol (Chol) was purchased from Sigma Aldrich (St. Louis, MO, USA). DOPC (dioleoylphosphatidylcholine), DOPE

(dioleoylphosphatidylethanolamine), DOPG (1,2-dioleoyl-*sn*-glycero-3-phospho-(1′-*rac*-glycerol)), and DOTAP (1,2-Dioleoyl-3-trimethylammonium-propane) were purchased from Avanti Polar Lipids (Alabaster, AL, USA). DOPG, DOTAP-DOPE (1:1 molar ratio) and DOPC-Chol (1:1 molar ratio) liposomes were prepared by dissolving appropriate amounts of lipids 9:1 (v/v) in chloroform:methanol. The chloroform:methanol mixture was evaporated by rotary-evaporation. Lipid films were kept under vacuum overnight and hydrated with phosphate saline buffer (PBS) 10 mmol/l (pH 7.4) to a final lipid concentration of 1 mg/ml. The liposome suspensions obtained were sized by extrusion through a 50-nm polycarbonate carbonate filter by the Avanti Mini-Extruder (Avanti Polar Lipids, Alabaster, AL).

[00322] ***Human plasma collection, preparation, and storage.*** Human plasma (HP) was collected from healthy and cancer patients diagnosed with glioblastoma multiforme, lung cancer, meningioma, multiple myeloma, or pancreatic cancer. The present study was approved by the Ethical Committees of the Sapienza University of Rome (glioblastoma multiforme, meningioma, multiple myeloma), the University of Napoli Federico II (lung cancer), and the University Campus Bio-Medico di Roma (pancreatic cancer). In brief, blood was collected by venipuncture of healthy subjects and cancer patients by means of a BD P100 Blood Collection System (Franklin Lakes, NJ, USA) with push-button technology that reduces blood waste while minimizing the risk of contamination. After clot formation, samples were centrifuged at 1000 x g for 5 min to pellet the blood cells, and the supernatant was removed. After confirming the absence of hemolysis, plasma collected from each donor (1 ml) was split into 200-microliter aliquots and stored at -80° C in labeled Protein LoBind tubes until use. For analysis, the aliquots were thawed at 4°C and then allowed to warm at room temperature (RT).

[00323] ***Cohort plasma samples.*** We used human plasma from healthy people diagnosed with brain, lung, and pancreatic cancers within eight years after plasma collection. The plasma samples were collected through the NIH-funded Golestan Cohort Study, performed by the National Cancer Institute (NCI) in the USA, the International Agency for Research on Cancer (IARC) in France, and the Tehran University of Medical Sciences (TUMS) in Iran. This study involved the collection and storage of plasma from 50,000 healthy subjects, over 1,000 of whom went on to develop various types of cancers in subsequent years. Samples from five individuals per cancer were used in this

study. These important plasma samples provide us the unique opportunity to probe the capacity of our innovative protein corona sensor array for early detection of cancers.

[00324] ***Size and zeta-potential.*** Bare liposomes were incubated with HP (1:1 v/v) for 1 hour at 37°C. Subsequently samples were centrifuged at 14000 rpm for 15 minutes at 4°C to pellet liposome-HP complexes. The resulting pellet was washed three times with phosphate-buffered saline (PBS) and resuspended in ultrapure water. For size and zeta-potential measurements, 10 µL of each sample were diluted with 990 µL of distillated water. All size and zeta-potential measurements were performed at RT using a Zetasizer Nano ZS90 (Malvern, U.K.) equipped with a 5-mW HeNe laser (wavelength λ = 632.8 nm) and a digital logarithmic correlator. The normalized intensity autocorrelation functions were analyzed by the CONTIN method to obtain the distribution of the diffusion coefficient D of the particles. D is converted into an effective hydro- dynamic radius R_H by the Stokes–Einstein equation ($R_H = K_BT/6\pi\eta D$), where K_BT is the thermal energy and η is the solvent viscosity. Electrophoretic mobility of samples, u, was measured by laser Doppler electrophoresis. Zeta-potential was calculated by the Smoluchowski relation (zeta potential = $u\eta/\varepsilon$) where η and ε are the viscosity and the permittivity of the solvent phase, respectively). Size and zeta-potential of liposome-HP complexes are given as mean ± standard deviation (S.D.) of five independent measurements.

[00325] ***Protein assay.*** Liposome formulations were incubated with HP (1:1 v/v) for 1 hour at 37°C. Afterwards, liposome-HP complexes were pelleted at 15000 x g for 15 minutes at 4°C and washed three times with PBS. Washed pellet was resuspended in urea 8 mol/l, NH_4CO_3 50 mmol/l. 10 microliters of each sample were added to five wells of a 96-well plate. Protein quantification was made adding 150 microliters/well of Protein Assay reagent (Pierce, Thermo Scientific, Waltham, MA, USA). The multiwell was shaken and incubated at room temperature for 5 minutes. Absorbance was measured with GloMax Discover System (Promega, Madison, WI, USA) at 660 nm. Background effects were properly corrected and the protein concentration was calculated using the standard curve. Results are given as mean ± S.D. of five independent replicates.

[00326] Protein identification and quantification. The incubation procedure was performed as described elsewhere (Label-free quantitative analysis for studying the interactions between nanoparticles and plasma proteins. Analytical and Bioanalytical Chemistry, 2013, 405, 2-3, 635-645, incorporated by reference in its entirety). Liposome

formulations were incubated with HP (1:1 v/v) for 1 hour at 37°C. Samples were centrifuged at 15000 x g for 15 min to pellet liposome-HP complexes. The pellet was washed three times with 10 mmol/l Tris HCl (pH 7.4), 150 mmol/l NaCl and 1 mmol/l EDTA. After washing, the pellet was air dried and resuspended in the digestion buffer. The digestion and peptide desalting were carried out as previously described (Shotgun proteomic analytical approach for studying proteins adsorbed onto liposome surface. Analytical and Bioanalytical Chemistry, 2013, 401, 4, 1195-1202, incorporated by reference in its entirety). In brief, pellet was resuspended in 40 microliters of urea 8 mol/l, NH_4CO_3 50 mmol/l and digested by adding 2 micrograms of trypsin. The digested peptides were desalted using SPE C18 column, reconstituted with a suitable volume of a 0.1% formic acid solution, and stored at -80°C until analysis. Digested peptides were analyzed by nano-high-performance liquid chromatography (HPLC) coupled to tandem mass spectrometry (MS/MS). NanoHPLC MS/MS analysis was carried out using a Dionex Ultimate 3000 (Dionex Corporation Sunnyvale, CA, U.S.A.) directly connected to a hybrid linear ion trap-Orbitrap mass spectrometer (Orbitrap LTQ-XL, Thermo Scientific, Bremen, Germany) by a nanoelectrospray ion source. Peptide mixtures were enriched on a 300 µm ID × 5 mm Acclaim PepMap 100 C18 precolumn (Dionex Corporation Sunnyvale, CA, U.S.A.), employing a premixed mobile phase made up of ddH2O/ACN, 98/2 (v/v) containing 0.1% (v/v) HCOOH, at a flow-rate of 10 microliters/min. Peptide mixtures were then separated by reversed-phase (RP) chromatography. The largest set of peptides was detected using a 3-hour optimized LC gradient composed of a mobile phase A of ddH2O/HCOOH (99.9/0.1, v/v) and a mobile phase B of ACN/HCOOH (99.9/:0.1, v/v). MS spectra of eluting peptides were collected over an m/z range of 350-1700 using a resolution setting of 60,000 (full width at half-maximum at m/z 400), operating in the data-dependent mode. MS/MS spectra were collected for the five most abundant ions in each MS scan. Further details can be found elsewhere (Shotgun proteomic analytical approach for studying proteins adsorbed onto liposome surface. Analytical and Bioanalytical Chemistry, 2013, 401, 4, 1195-1202). For each experimental condition three independent samples (biological replicates) were prepared, each of which was measured in triplicate (technical replicates), yielding nine measurements for each experimental condition. RAW data files were submitted to Mascot (v2.3, Matrix Science, London, UK) using Thermo-Finningan LCQ/DECA RAW file data import filter to perform database searches against the non-redundant Swiss-Prot

database (09-2014, 546000 sequences, Homo Sapiens taxonomy restriction). For the database search, trypsin was specified as the proteolytic enzyme with a maximum of two missed cleavages. Carbamidomethylation was set as fixed modification of cysteine, whereas oxidation of methionine was chosen as variable modification. The monoisotopic mass tolerance for precursor ions and fragmentation ions were set to 10 ppm and 0.8 Da, respectively. Charge state of +2 or +3 were selected as precursor ions. Proteome output files were submitted to the commercial software Scaffold (v3.6, Proteome Software, Portland, Oregon, USA). Peptide identifications were validated if they surpassed a 95% probability threshold set by the PeptideproPhet algorithm. Protein identifications were accepted if they could be established at greater than 99.0% probability and contained at least two unique peptides. Proteins that contained shared peptides and could not be differentiated on the basis of MS/MS analysis alone were grouped to satisfy the principles of parsimony. Unweighted spectrum counts (USC) were used to assess the consistency of biological replicates in quantitative analysis, and normalized spectrum counts (NCS) was used to retrieve protein abundance.

[00327] ***Statistical Analysis.*** All statistical analyses were performed using PLS, Kohonen, and CPANN toolboxes, and graphs were created using Microsoft Excel, XLSTAT, and MATLAB.

[00328] ***Data matrix.*** Each raw of the predictor matrix (X) relating to each individual is derived from all proteins' abundance obtained from the three-protein corona sensor array (**FIG. 4A**). In the preprocessing step, the normalized data in matrix X, relative protein abundance (RPA), were auto-scaled.

Classification and Clustering.

[00329] ***Partial least squares discriminant analysis (PLS-DA).*** Partial least squares discriminant analysis is a well-known multivariate approach regarded as a linear classification and dimension reduction method consisting of two main parts a structural part, which searches for latent variables as linear combinations of original independent variables (*i.e.,* data matrix X), which have the maximum covariation with the corresponding dependent-variables (*i.e.,* class membership, Y). The measured components include the latent variables as scores and loadings, which show how the latent variables and their original ones are related. Based on the ability of PLSDA to reduce the dimensionality of the data, it allows a linear mapping and graphical

visualization of the different data patterns. PLS-DA is particularly well suited to deal with highly collinear and noisy patterns. The main problems associated with the large dataset in proteomics are the large number of monitored variables (i.e., proteins) and relatively small number of samples. Hence, there may be a high redundancy among variables, which render many of them uninformative and irrelevant to the classification. In this way, eliminating uninformative variables or finding new uncorrelated ones may improve the predictive performance of classification. Since in biomedical applications such as the present work, we must not only make decisions about whether a sample belongs to one of a number of known groups, but also determine which variables are most relevant for the best discrimination between classes, a method like PLS-DA is a good candidate approach for finding uncorrelated new latent variables while preserving the variation of the data The impotence power of the original variables to produce latent projections can also be calculated by the variable importance in the projection (VIP) analysis and can play a significant role in to decide about variables.

[00330] ***Identifying the most relevant variables based on weighted VIP.*** The partial least squares discriminant analysis (PLS- DA) was used to explore the VIP values associated with variables. VIP is a combined measure of how much a variable contributes to a description of the two sets of data: the dependent (Y) and the independent variables (X). The weights in a PLS model reflect the covariance between the independent and dependent variables, and the inclusion of the weights allows VIP to reflect not only how well the dependent variable is described but also how important that information is for the model of the independent variables.

[00331] An approach based on VIP score was developed to identify the best subset of variables. VIP scores can be calculated by performing PLS-DA on the dataset. In that approach, VIP scores of variables are calculated 50 times, each time using a random permutation of training and validation sets (random training sets were selected iteratively by considering 80-percent coverage of each class of objects). Considering the most important variables, the large VIP-score values (>2), the top 200 variables can be selected at each repetition and added to the top-variables pool. Afterward, a frequency of occurrence ($Freq_i$) and an average VIP-score ($\overline{VIP}_i$) for each variable can be obtained according to the top-variables pool. Thus, the selection of variable i (which has a high $\overline{VIP}_i$ value and low $\overline{VIP}_i$ value) is less recommended because of dependency on the training and validation sets. Therefore, the $\overline{VIP}_i$ value of each variable can be weighted

by $Freq_i$, and ranking of the most relevant variables can be done using the weighted $\overline{VIP_i}$. Fig_appr is a schematic diagram of the proposed approach. Selection of the most relevant variables to build the classification model can be guided by the obtained ranking as follows: The highly ranked variables were added one by one to the dataset, and the classification error of PLS-DA was calculated to find the minimum number of relevant predictors (**FIG. 4A**).

[00332] ***Counter-Propagation Artificial Neural Network (CPANN).*** The counter-propagation artificial neural network (CPANN) is a supervised variant of self-organizing map that consists of two layers of neurons arranged on a predefined N×N grid. CPANN can be used to map data from a high-dimensional feature space to a low-dimensional (typically 2) discrete space of neurons as well as to predict the class membership of the unknown samples. The input vectors (sample feature vector) and corresponding class membership vectors (a binary vector) are presented to the input and output layer of CPANN, respectively. The weight correction of the neurons in both layers performs based on competitive learning and cooperation of the neurons (See FIG. 67, Table 3). Hence, similar input vectors can be mapped on the same or adjacent neurons and vice versa. The final assignation map properly reveals the structure of the data in feature space and preserves the distance of patterns in the low-dimensional grid of neurons. **FIG. 9C** shows a high-quality assignation map of CPANN using top-ranked biomarkers. According to the distinct regions for each class, the risk of classification error is minimized. The proper size of the map can be decided by performing 10-fold cross-validation at different map sizes. The trained CPANN can be used to assign a class membership to an unlabeled sample. Presence of redundant and uninformative variables in training data will affect the quality of the map and increase the risk of an error of classification (**FIG. 5C**). The process is a nonlinear mapping, which helps visualize a high-dimensional input object on a two-dimensional neuron grid. It is a self-organized procedure and solves the issue of classification in a transparent way. More details about the CPANN method can be found in the following references.

[00333] ***Hierarchical clustering analysis (HCA).*** Hierarchical clustering analysis is an unsupervised method widely used to explore and visualize whole heterogeneous large data sets like those often used in proteomics into distinct and homogeneous clusters. Strategies for hierarchical clustering can be divided into two categories: agglomerative and divisive methods. The agglomerative procedure first separates each

object into its own individual cluster and then combines the clusters sequentially; similar objects or clusters are merged until every object belongs to only one cluster. The divisive procedure, in contrast, starts with all of the objects in one large cluster and gradually partitions them into smaller clusters until each object is in an individual cluster. Finally, objects are organized into a dendrogram whose branches are the defined clusters. In cluster analysis, to identify homogeneous sub-groups, the two important concepts, similarity (determining a numerical value for the similarity between objects and constructing a similarity matrix) and linkage (connection of an object to a group or not) should be defined. Herein, we applied agglomerative hierarchical clustering with furthest-neighbor linkage algorithm for unsupervised analysis based on the selected variables.

[00334] ***Cohort sample prediction.*** The predictive ability of both linear and non-linear variables, with 69 selected predictors, were assessed by cohort samples analysis. To this end, the values related to 69 variables were put into the model, and the class membership of each cohort object was predicted at the fixed optimum parameters values. The 19 variables (proteins) in the proteomics profile of our protein corona sensor array of cohort samples were not detected, and zero were considered for these variables in validation data matrix. Interestingly, both linear and non-linear models provide good predictions for all five samples. The distance between training and cohort samples shown in **FIG. 6A** is most probably related to zero values that were added to the data matrix for those 19 absent variables. We examined the effect of replaced zero values for absent variables by deleting these 19 proteins from both data matrices of the training and prediction sets; then the PLS-DA model was built and cohort samples were predicted. As expected, no large distance between cohort and training samples was observed.

Table 3. See FIG. 67 Correlation coefficient of CPANN weight map for each variable in the six classes.

For each variable, the correlation coefficient of corresponding weight map with the assignation map pattern can be calculated.

CC = 0: indicates no correlation between biomarker and the related class;

1> CC(i) > 0: accordance between the biomarker intensity and cancer related class.

0<CC<-1: an inverse correlation between biomarker value and cancer-related class.

The CC values >0.5 or <-0.5 are colored. For example, the weight map of biomarker 1282 is highly correlated with the cancer class 4 pattern on the assignation map, and it may be an important biomarker for the samples from patients with myeloma.

EXAMPLE 1B. In Depth analysis of human proteome using multi-nanoparticle protein corona characterization and machine learning enable accurate identification and discrimination of cancers at early stages

[00335] In a second embodiment, the collective protein corona data for a given plasma sample, derived from individual nanoparticles' protein corona profiles, is able to identify and discriminate different types of cancers using machine learning (e.g., random forest approach) to analyze the data. The sensor array as described in Example 1A was used and the data collected was further analyzed by machine learning in further detail. This sensor array (i.e. protein corona nanosystem) unambiguously and robustly identified cancer and allows for the discrimination among different types of cancers. This system can be used to predict cancer types using blind plasma samples. The capacity for very early detection by using the plasma of healthy people in existing cohorts who were diagnosed with cancers several years after plasma collection to determine a pre-cancer biomolecule fingerprint.

[00336] **FIG. 1** presents a schematic overview of the use of the sensor array (nanoparticle system) to use multi-protein-corona proteomics for cancer detection in known cancer patients and for cancer prediction using both blind plasma and cohort samples.

RESULTS

Protein corona profiles of the nanosystem using plasma from patients with cancers at early, intermediate, and advanced stages

[00337] Our protein corona nanosystem consists of three different cross-reactive liposomes with various surface charges [anionic (DOPG (1,2-dioleoyl-sn-glycero-3-phospho-(1′-rac-glycerol))), cationic (DOTAP (1,2-Dioleoyl-3-trimethylammonium-propane)-DOPE (dioleoylphosphatidylethanolamine)), and neutral (CHOL (DOPC-Cholesterol))], whose protein corona profiles were measured after exposure to the plasma of healthy subjects or patients with one of five cancers: lung, pancreas, myeloma, meningioma, or glioblastoma.

[00338] We performed proteomic analysis on each patient (5 patients/group) in triplicate for each of the three liposomes (see **FIG. 2A,B** for details on the size and charge of the liposomes) in our protein corona nanosystem (i.e., total trials: 3*(29)*3=261). Although no single protein corona composition is specific for any one cancer type as also described in Example 1A, the collective protein corona composition for a given plasma sample derived from different liposomes provided a unique "fingerprint" for each type of cancer.

[00339] Though the composition of the protein corona that forms on the surface of liposomes is strongly dependent on their physicochemical properties, it can also be strongly affected by the unique type, concentration, and conformation of proteins and other biomolecules present in a given patient's plasma. The size and charge of corona-coated liposomes were probed using dynamic light scattering (DLS/Nanosight), after incubation with plasma from patients with five different types of cancers (see **Table 1**) and healthy individuals. The results confirmed that the physicochemical properties of the corona-coated nanoparticles varied across different types of cancer (**FIG. 2A,B**).

[00340] Quantitative evaluation of the total protein adsorbed onto the liposomes was performed *via* the BCA (bicinchoninic acid) or NanoOrange assays, and the results confirmed significant differences in the amounts of adsorbed proteins after incubation in plasma from patients with various types of cancers (**FIG. 3A-F**). Quantitative evaluation of the total protein adsorbed onto the surface of liposomes showed the dependency of protein amount on cancer type (**FIG. 3A-F**). The protein corona composition at the surface of three liposomes was evaluated by liquid chromatography-tandem mass spectrometry (LC-MS/MS) in which 876 known proteins were defined. The contributions of individual proteins and their categories (i.e., complement, coagulation, tissue leakage, lipoproteins, acute phase, immunoglobulins, and other plasma proteins) to the corona composition were defined (**FIG. 3A-F**). The results

demonstrated associations between the protein composition and not only the cancer type but also the type of liposomes. The mechanism behind this variation is that the high surface-to-volume ratio of the nanoparticles provides a unique opportunity for a wide range of human plasma proteins (highly abundant proteins, less-abundant plasma proteins, and very rare proteins) to participate in the corona composition, without need for depletion of highly abundant proteins, and without a direct correlation to plasma protein concentrations. Furthermore, in our system, conformational changes in plasma proteins can also change the protein corona composition, via significantly altering the interaction site of proteins with nanoparticles. These characteristics make the protein corona profile unique among other approaches developed for the analysis of proteins in human plasma.

[00341] The protein corona compositions at the surface of liposomes contained a wide range of human plasma proteins including highly abundant proteins (e.g., albumin, transferrin, complement proteins, apolipoproteins, and alpha-2-macroglobulin) and very rare proteins (defined as <100 ng/ml) such as transforming growth factor beta-1-induced transcript 1 protein (~10 ng/ml), fructose-bisphosphate aldolase A (~20 ng/ml), thioredoxin (~18 ng/ml), and L-selectin (~92 ng/ml). We also identified 388 proteins without a known previous plasma concentrations (http://plasmaproteomedatabase.org/). The obtained protein information was then analyzed by a machine learning approach to probe the capacity of our protein corona nanosystem for robust and accurate cancer detection.

Development of classifier to detect and discriminate among cancers using protein corona nanosystem outcomes.

[00342] To evaluate the ability of the protein corona nanosystem to detect various cancers, we used proteomic data collected from the 3 distinct liposomes on 29 plasma samples (5 patients each from 5 cancer types; and 4 healthy samples) to train a classifier, specifically an algorithm that receives array measurements from a patient and outputs one of six labels (either one of the five cancer types, or healthy). Before training the classifier, raw data from the 3 nanoparticles were first 'de-noised' via a low-rank tensor factorization discussed in depth in a later section. This de-noising implicitly mitigates the significant variability observed in individual corona elements. We then trained a random forest classifier, a popular non-linear classification algorithm, on the

resulting de-noised data.

[00343] We tested the accuracy of this classifier on 16 blind samples (3 patients each from 5 cancer types; and 1 healthy sample). We measured overall classification accuracy for the task of correctly assigning these blind samples to one of the six labels, along with sensitivity and specificity for each of the five cancer types separately (**Table 4**). Due to the relatively small number of plasma samples (45 in total), and to ensure the robustness of our results, we performed this procedure (training a random forest classifier on 29 samples and measuring accuracy, sensitivities and specificities on the remaining 16 samples) a total of 1000 times. The training and tests sets in each of the 1000 replications of the experiment were chosen randomly from amongst all class-stratified partitions of the data. This approach allows us to calculate unbiased estimates of the p-value for the classification accuracies we report (see **Table 4**). Specifically, the last row of Table 4 shows that the average overall accuracy across the 1000 replications was 96.2%, or equivalently an overall error of 3.8%. We also observe a p-value of 0.04 for the null hypothesis that the overall classification error is lower than 87.5%, and therefore we can reject this null hypothesis with 95% confidence. Sensitivities for the five individual cancer types range from 87.4% to 100.0%, and individual specificities range from 97.0% to 100.0%.

Table 4. Overall classification accuracy, sensitivity, and specificity. Overall classification accuracy for protein corona nanosystem with one, two, and three liposomes (Column 2). Both classification accuracy and the associated p-values improve with additional liposomes. Individual sensitivity and specificity for glioblastoma, lung, meningioma, myeloma, and pancreatic cancers (Columns 3-12) also show that sensitivity and specificity improve with additional liposomes. Experimental results are averaged over 1000 independent draws of a training set comprising 29 plasmas, with evaluation on the remaining 16 plasmas. p-values are for the null hypothesis of a classification error lower than 87.5%.

		Glioblastoma		Lung		Meningioma		Myeloma		Pancreatic	
Array Size	Accuracy	Sens.	Spec.	Sens.	Spec.	Sens.	Spec.	Sens.	Spec.	Sens.	Spec.
One	86.0 (0.43)	80.1	96.5	85.9	98.4	83.9	96.3	88.1	97.1	89.6	97.7
Two	92.4 (0.18)	89.6	97.5	94.0	99.5	91.6	96.2	99.9	99.6	85.8	99.9

Three	96.2 (0.04)	100.0	98.9	94.0	100.0	98.5	97.0	100.0	100.0	87.4	99.5

The value of multi-liposomes in the protein corona nanosystem

[00344] To assess the importance of including multi-liposomes in the protein corona nanosystem, we repeated the entire classification procedure using 1000 different splits of the data into training and testing samples, but this time using data measured from only a single liposome. This was done for each of the three liposomes, and the results are reported in the first row of **Table 4**. Relative to the entire array including all three liposomes, the single-liposome arrays showed significantly lower accuracy, sensitivity, and specificity. We also re-performed the procedure for sets of two liposomes (there are three such unique sets); these results are found in the second row of **Table 4**. The two-liposome systems are more accurate than a one-liposome system, but still weaker than the entire array of three liposomes. Overall, this indicates the value of changes in the corona composition pattern between different liposomes and the necessity of including such multiple observations.

Variable and protein importance and stability

[00345] The random forest model also yields an importance score for each variable (i.e. each liposome-protein pair). This score essentially measures how important that variable was in discriminating patients of different cancer types. On an individual 'tree' of the random forest, the importance score of any variable used in constructing the tree is defined as the proportion of the training set that lies in the 'leaves' of nodes utilizing that variable (variables not used in constructing the tree are assigned a score of zero); then the overall importance score for a variable is the average of its importance scores on each tree.

[00346] For different biological families of proteins (the same as those used in **FIG. 3A-F**), we calculated the overall importance in discriminating different cancer types (**FIG. 10A, (a)-(c)**). The results suggest that different families of proteins are important in detecting different cancers. For example, acute phase proteins were relatively important in detecting meningioma, and lipoproteins were relatively important in detecting glioblastoma. Notably, these variations were distinct from the variations in the percentage of each category adsorbed onto the liposomes (**FIG. 10A, (d)-(f)**).

Interestingly, these results are in good agreement with the biological function of these protein categories. For example, it is well-accepted that lipid metabolisms are substantially altered in glioblastoma compared to the healthy tissue, which may be the main reason for the observed substantial changes in the interaction of lipoproteins with liposomes (**FIGS. 2C and 10A**). Details on the numbers of identified proteins and unique proteins in the corona composition of different liposomes and their combinations are provided **FIG. 10B.**

[00347] We also calculated the most important overall proteins (**FIG. 10C** and **Table 5**). These proteins were detected in combinations of all three liposomes, again showing the critical role of multi-liposomes in the nanosystem. We also evaluate the robustness of this set of 'important' proteins across the classifiers estimated on the 1000 splits of training data discussed earlier. Specifically, **FIG. 10B** shows the 25th to 75th percentiles of the importance scores for the 30 most important proteins on average. These show that the set of important proteins is robust to the split of data used for model training. Among these most important proteins (see **Table 5**), some have been recognized as playing critical roles in cancer development. For example, Ficolins (both Ficolin 2 and Ficolin 3) are serum pattern recognition molecules with opsonic properties with a substantial capacity to regulate complement activation. The serum concentrations of Ficolin 3 have been demonstrated to be higher in patients with ovarian cancer than in healthy subjects. Moreover, Ficolin 3 was identified in a differential proteomic analysis of prostate cancer serum, suggesting a role for this protein in prostate cancer as well. On the other hand, it is well established that Apolipoprotein A2 and its isoforms are overexpressed in prostate cancer serum and that the concentration of acute-phase proteins (e.g., complement proteins) can change by ≥ 25% in the presence of inflammatory disorders such as cancer. A clear association between cancer and the hemostatic system has long been documented. Hemostasis modulates blood flow by regulating the adhesion of platelets and deposition of fibrin. Several proteins involved in hemostasis have been connected to the regulation of angiogenesis. Among these, fibrinogen is the main protein in the hemostasis process and has been found in many tumors; it modulates angiogenesis and tumor growth and has been implicated in metastasis formation. Indeed, plasma levels of fibrinogen have been used to forecast clinical outcomes in patients with non-metastatic renal cell carcinoma, and to predict distant metastasis in pancreatic cancer.

Table 5. Information about the most important overall proteins, found to be detected on combinations of all three liposomes. * The concentrations are values obtained using spectral counting from the plasma proteome database (www.plasmaproteomedatabase.org).

Uniprot entry name	Protein description	Function	Amount in plasma*
FCN3	Ficolin-3	complement activation, lectin pathway	1 µg/ml
SAA4	Serum amyloid A-4 protein	chemoattractant activity	30 µg/ml
CBPN	Carboxypeptidase N catalytic chain	protects the body from vasoactive and inflammatory peptides containing C-terminal Arg or Ly	720 ng/ml
APOA2	Apolipoprotein A2	acute inflammatory response, lipid transport	750 µg/ml
CO7	Complement component C7	regulator of innate and adaptive immune response	2.6 µg/ml
FHR5	Complement factor H-related protein 5	complement activation, alternative pathway	11 ng/ml
COF1	Cofilin-1	actin cytoskeleton organization	140 ng/ml
HABP2	Hyaluronan-binding protein 2	serine-type endopeptidase activity	1.1 µg/ml
IGHG1	Immunoglobulin heavy constant gamma 1	antigen binding	N.A.
IGHG3	Immunoglobulin heavy constant gamma 3	antigen binding	N.A.
IGHG2	Immunoglobulin heavy constant gamma 2	antigen binding	N.A.
RET4	Retinol-binding protein 4	retinol transporter	580 µg/ml
VTNC	Vitronectin	cell adhesion	35 µg/ml
GRP78	78 kDa glucose-regulated protein	ATPase activity	100 ng/ml
KV118	Ig kappa chain V-I region WEA	Antigen binding	N.A.
CPN2	Carboxypeptidase N subunit 2	regulation of complement activation	2 µg/ml
COL11	Collectin-11	mannose binding, complement activation	N.A.
MASP1	Mannan-binding lectin serine	complement activation, lectin pathway	240 ng/ml

	protease 1		
FIBB	Fibrinogen beta chain	hemostasis	706 µg/ml
FIBA	Fibrinogen alpha chain	hemostasis	2.5 mg/ml
C1S	Complement C1s subcomponent	regulation of complement activation	50 µg/ml
FGL1	Fibrinogen-like protein 1	hemostasis	2.3 ng/ml
VWF	von Willebrand factor	hemostasis	110 µg/ml
CO6	Complement component C6	regulation of complement activation	40 µg/ml
IGHA1	Immunoglobulin heavy constant alpha 1	antigen binding	N.A.
IGLL5	Immunoglobulin lambda-like polypeptide 5	antigen binding	N.A.
CO8A	Complement component C8 alpha chain	regulation of complement activation	70-90 µg/ml
K1C14	Keratin, type I cytoskeletal 14	structural constituent of cytoskeleton	210 ng/ml
CRP	C-reactive protein	complement activation, classical pathway	2 µg/ml
CO8G	Complement component C8 gamma chain	regulation of complement activation	1.1 µg/ml

[00348] To further probe the role of the important proteins identified by our machine learning approach, we searched for them in the Open Targets database, a platform for therapeutic target identification and validation. That database calculates a disease-association score for each protein based on evidence from various other databases (including GWAS Catalog, UniProt, Gene2Phenotype, Cancer Gene Census, IntOGen, Europe PMC, and Reactome) to derive a score on a scale of 0 (lowest) to 1.0 (highest) of disease association. Of the proteins listed, three have strong associations with cancers. Hyaluronan Binding Protein has a very strong general association (1.0) with cancers; Fibrinogen Beta Chain has a moderately strong association (0.4) with lung cancer; while Keratin, Type 1 cytoskeletal 14 is strongly associated (0.72) with prostate cancer. Almost all the other proteins in **Table 5** have some degree of weak association (0.05 – 0.4) with various types of cancers. Hence, overall, the proteins have a linkage with

known cancer associations.

Overcoming variability in single measurements with Tensor factorization

[00349] Due to significant variability in patient populations, along with noise introduced through measurement error, we found that any single protein was insufficient for classification. For each of the 100 most-abundant proteins (yielding 3*100=300 variables), we calculated the average absolute z-score of that proteins concentration in the observed corona across patients of each cancer type. A higher z-score for a cancer type on a given protein indicates that this protein may be useful in detecting this cancer type. **FIG. 11** (blue bars) shows a histogram of these average absolute z-scores for each cancer type and the healthy group. We also measured average absolute z-scores for proteins previously linked to these specific cancer types, which are displayed in the same histogram in **FIG. 10** (light grey bars). Across all of these hundreds of variables, only a single protein has an absolute z-score above 2.0, and on only a single cancer type (Myeloma), suggesting that no single protein suffices for accurate classification.

[00350] The tensor decomposition that precedes our construction of a random forest effectively serves the role of computing a small number of *weighted averages* of protein composition in the observed corona on a given sample. This average is across all proteins and nanoparticles in a given plasma sample, and serves the role of mitigating the variability in the observed concentration of any given protein. For example, in **FIG. 11** (long, black bars), the average absolute z-score for one of these weighted averages is plotted for each cancer type. The absolute z-scores of these weighted averages are significantly higher than those of any single variable. In addition to the intuition this provides for how our approach overcomes variations in a single protein across samples with the same indication, this de-noising also materially impacts our ability to classify. Absent de-noising, simply training a random forest classifier on the raw corona data would yield a classification accuracy of 94% (at a p-value of 0.07) as opposed to 96.2% (at a p-value of 0.04) reported in **Table 4**. For the cohort data reported in **Table 7** de-noising enabled us to increase classification accuracy from 92% (at a p-value of 0.04) to 94.1% (at a p-value of 0.01).

[00351] Dependence of classification accuracy on data

[00352] Our final evaluation on the 45 non-cohort patients was of the value of data. Our original classifier was trained on 5 samples per cancer type. To measure the value of including more or less training data, we repeated the classification procedure (splitting the data into training and testing samples, training a classifier on the training samples, and measuring performance on the testing samples, all done 1000 times) for a varying number of training samples per class, ranging from four to six (**Table 6**. Classification accuracy, sensitivity, and specificity all increased with increasing numbers of training samples. With six training samples per class, overall accuracy reaches 96.8% (*i.e.* an overall error rate of 3.2%). This strongly suggests that accuracy, sensitivity, and specificity will continue to increase as more data are included in training the classifier.

Table 6. Classification accuracy improves with more data. (Column 2) Overall classification error when the training set consists of four, five, and six samples from each cancer, and associated p-values. Both classification error and the associated p-values improve with additional samples from each cancer. (Columns 3-12) Sensitivity and specificity for glioblastoma, lung, meningioma, myeloma, and pancreatic cancers when the training set consists of four to six samples. Experimental results are averaged over 1000 independent draws of a training set with four healthy patients, and four to six patients with each cancer type. p-values are for the null hypothesis of at least two classification errors.

		Glioblastoma		Lung		Meningioma		Myeloma		Pancreatic	
# Samples	Accuracy	Sens.	Spec.	Sens.	Spec.	Sens.	Spec.	Sens.	Spec.	Sens.	Spec.
Four	94.4 (0.14)	98.8	98.1	91.2	100.0	93.0	96.7	100.0	99.9	88.0	98.4
Five	96.2 (0.04)	100.0	98.9	94.0	100.0	98.5	97.0	100.0	100.0	87.4	99.5
Six	96.8 (0.01)	100.0	99.4	96.6	100.0	98.9	97.0	100.0	100.0	87.8	99.6

Sampling of low- and high-abundance plasma proteins with liposomes without the requirement of protein depletion

[00353] The multi-liposome protein corona nanosystem, using machine-learning techniques, produces a unique "fingerprint" protein pattern for each type of cancer and

for healthy individuals. In pursuit of the mechanism underlying the unique capacity of the protein corona in cancer identification and discrimination, we have thoroughly analyzed the corona composition for the contribution of both high- and low-abundance proteins, and compared those outcomes with the concentration of corona proteins in human plasma. In this regard, the contribution of each protein (e.g., protein X) to the corona composition and plasma was normalized with respect to albumin using (total peptides of albumin in protein corona)/(total peptides of protein X in protein corona) and (concentration of albumin in plasma)/(concentration of protein X in plasma), respectively. It is noteworthy that we manually searched the concentrations of identified corona proteins in plasma using an online proteome database (http://plasmaproteomedatabase.org/). As the total peptides of the albumin in the protein corona are proportional to albumin's total weight in the protein corona, dividing these peptides to the peptides of protein X is comparable with the ratio of albumin concentration to concentration of protein X in plasma. As shown in **FIG. 12**, we found that the plasma concentrations vary over 10 orders of magnitude (in log-log scale), while the liposomes detect these same proteins over 4-5 orders of magnitude. In other words, we revealed that the protein corona composition has a great capacity to concentrate a wide range of low-abundance proteins (≤100 ng/mg) and very rare proteins (≤10 ng/mg). This means that obtaining data on highly abundant proteins in the protein corona does not interfere with detection of peptides derived from less-abundant and rare proteins. It is worth noting that most of the detected proteins are low-abundance, rare, or unknown/unreported proteins. Participation of the low-abundance proteins in the corona composition is mainly due to the exchange of corona proteins with low affinity for proteins with higher affinity and slower adsorption kinetics.

[00354] Detection of low-abundance proteins in human plasma requires depletions of highly abundant proteins and post-depletion plasma-fractionation strategies; however, even using these depletion strategies, plasma proteomics has not been robustly successful in early detection of cancers. The results obtained with our system indicate that, in contrast to human plasma proteins, the protein corona composition contains a wide range of less-abundant and very rare plasma proteins, without need for depletion (which may cause unintended removal of low-abundance proteins/biomarkers). In addition, we found several proteins in the protein corona whose concentrations in

human plasma are unknown/unreported, possible due to their very low concentration in human plasma. More specifically, anionic, neutral, and cationic liposomes account for 323, 189, and 155 of proteins with unknown/unreported plasma concentration, respectively). The contribution of these proteins to the protein corona is indicated by the rectangular box on the right in **FIG. 12**.

[00355] The use of multi-liposomes (with distinct surface properties) provides a unique opportunity to increase the detection depth of the low-abundant proteins and very rare proteins (see **FIG. 12**), which substantially enhanced the sensitivity, specificity, and predictive accuracy of the protein corona nanosystem. Each liposome provided different patterns of contributed proteins with a strong dependency on cancer type.

Cancer detection and discrimination among cohort samples

[00356] Finally, to investigate the ability of the protein corona nanosystem to detect cancers at very early stages, we used cohort plasma (obtained from the NIH-funded Golestan Cohort Study; details are provided in the Methods section) from healthy people who were diagnosed several years after plasma collection with pancreatic, lung, and brain cancers. We followed the same procedure, performing 1000 experiments. In each experiment, we partitioned the data into 12 (4 each from 3 cancer types) training samples and 3 testing samples (one each from 3 cancer types). Observations were denoised via the previously described procedure, and then a random forest classifier was trained on the training samples. Finally, overall accuracy, sensitivity, and specificity were measured on the test samples (**Table 7**). Overall accuracy was 94.1% (an error rate of 5.9%), with a p-value of 0.01 for the null hypothesis of an overall accuracy <66.7% (thus rejected with 95% confidence). Sensitivities across the three cancer types ranged from 83.2% to 100.0%, and specificities ranged from 91.6% to 100.0%. These relatively high values suggest that the protein corona array can successfully detect cancers at their earliest stages.

Table 7. Overall Classification Accuracy, Sensitivity, and Specificity for Cohort Samples. (Column 2) Overall classification accuracy for one, two, and three liposomes. Both classification error and the associated p-values improve with the addition of liposomes to the nanosystem. (Columns 3-8) Sensitivity and Specificity for Brain, Lung,

and Pancreatic cancers, respectively. Again, sensitivity and specificity improve with the addition of liposomes. Experimental results are averaged over 1000 independent draws of a training set comprising 12 patients, with evaluation of the remaining 3 patients. p-values are for the null hypothesis of a classification accuracy <66.7%.

		Brain		Lung		Pancreatic	
Array Size	Accuracy	Sens.	Spec.	Sens.	Spec.	Sens.	Spec.
One	75.4 (0.23)	74.7	86.1	90.7	90.9	60.8	86.9
Two	80.5 (0.11)	92.3	89.7	76.3	92.1	73.1	88.9
Three	94.1 (0.01)	100.0	100.0	83.2	99.6	99.2	91.6

[00357] We also performed the same experiments with smaller subsets of liposomes, including each liposome individually, and all three unique sets of two liposomes. Again, overall accuracy, sensitivities and specificities were shown to increase dramatically as the number of liposomes increased, again indicating the value of the array system.

DISCUSSION

[00358] No prior study using protein corona or any other approach for fractionation of the plasma proteome has enabled concurrent multi-cancer screening with acceptable specificity, sensitivity, and predictive accuracy. This is the first time a sensor array has been developed with the specificity, sensitivity and predictive accuracy for not only cancer, but specific cancer subtypes.

[00359] The fractionation of the plasma proteome by the protein corona has been demonstrated to be unrelated to the abundance of specific plasma proteins and occurs instead via many factors including protein affinity for the nanoparticle surface through a wide range of forces including Coulomb forces, London dispersion, hydrogen-bond acidity and basicity, polarizability, lone-pair electrons, and protein-protein interactions between participating proteins in the corona structure. Protein corona composition has been shown to be dynamic and initially dominated by abundant proteins including albumin, immunoglobulin, and fibrinogen, which together with 19 other proteins comprise over 99% of the protein mass in the plasma proteome. The remaining 1% of the plasma proteome is comprised of over 10,000 proteins; a subset of these proteins with higher affinities and/or lower absorption kinetics for the nanoparticle surface

compete with the high-abundance proteins for inclusion in the corona composition. In addition to the exchange of the proteins with higher binding affinity for those with lower binding affinity at the surface of nanoparticles, there is a chance for a contribution by other low-affinity proteins in the outer protein corona layer due to their favorable protein-protein interactions with the already-formed protein corona layer. This means that the exchanged low-abundant proteins may be able to direct the formation of the protein corona toward adsorption of more low-abundance proteins.

[00360] Herein we analyze for the first time the relative concentration capacity of the protein corona in enriching low-abundance proteins (defined as <100 ng/ml), demonstrating that many of these proteins are at concentrations <10 ng/ml and approaching 1 pg/ml. More importantly, we show that many of these proteins play a crucial role in cancer identification and discrimination via machine-learning approaches. The role of the protein corona in concentrating a wide range of low-abundance and very rare proteins may go a long way towards overcoming the main reasons behind the limited success of current mass spectrometry techniques (including LC-MS/MS) in early detection of cancers, as these techniques can detect proteins at a dynamic range of 4-6 orders of magnitude. In other words, the protein corona composition enables sampling across a vast dynamic range of the plasma proteome, which can substantially enhance the depth of protein coverage without protein depletion. Since the protein corona obtained from a single nanoparticle constitutes at most several hundred distinct proteins (a small subset of the total proteome), we postulated that using multi-nanoparticles with distinct physiochemical properties might create additional dimensions of proteomic information: 1) each additional nanoparticle with distinct physicochemical properties potentially enables the recruitment of additional unique low-abundance proteins (**FIG. 12**); 2) corona proteins that overlap more than one nanoparticle surface participate at different corona contribution percentages, and thus alter the concentration and identity of other participating corona proteins; and 3rd) both unique and overlapping corona protein information from each nanoparticle serve as unique variables, and therefore provide more data to our machine learning approach. Combining more nanoparticles for plasma fractionating and proteomic analysis provides significantly more information for cancer detection and discrimination with superior sensitivity, specificity, and prediction accuracy compared to fewer nanoparticles (**Table 4**). Conceptually, our multi-nanoparticle approach is

similar to the olfactory system, in which the specificity of odorant recognition originates from the pattern of responses from several hundred highly cross-reactive olfactory receptors, where any one receptor provides incomplete information but the combination is highly specific in identifying a given odorant (i.e., in humans ~400 active receptors can detect and differentiate ~10,000 odorants, and in dogs ~1200 active receptors can detect ~1,000,000 odorants). Similar approaches have also been used by other investigators to detect and differentiate among diverse families of analytes, various foods and beverages, pathogenic bacteria and fungi, biomolecules, and even nanoparticles themselves.

[00361] Three different cross-reactive liposomes (with negative, neutral, and positive surface charges) were used whose protein corona profiles were measured after exposure to the plasma of individual patients who had one of five cancers: lung, pancreas, myeloma, meningioma, or glioblastoma. To identify and discriminate among cancers using the protein corona nanosystem outcomes, we used a well-defined random forest machine learning approach. We have designated 1000 different sets of samples as training (i.e., plasmas with known cancers and healthy conditions) or testing (i.e., blind plasmas) samples to ensure that our protein corona nanosystem is robust and accurate for cancer detection with excellent predictive accuracy. Although no one protein corona composition from a single nanoparticle was specific for any particular cancer type with acceptable predictive accuracy (i.e., 86.0% with p-value of 0.43 for the classification error lower than 87.5%), we found that the pattern of corona composition derived from the multi-liposomes provides a unique "fingerprint" for each type of cancer with excellent predictive accuracy (i.e., 96.2% with p-value of 0.04 for the classification error lower than 87.5%). These results, based on the deep analysis of the human proteome using multi-liposome protein corona characterization and machine learning, confirmed the promise of this system for unambiguous identification and discrimination of cancers and error-free discrimination against healthy subjects with excellent specificity (from 97.0% to 100.0%).

[00362] To probe the capacity of the protein corona nanosystem for very early detection of cancers, cohort plasma samples were used. These samples were collected from healthy people who were diagnosed with lung, pancreas, or brain cancer eight years after plasma collection. The outcomes of the protein corona nanosystem, using plasma from 15 patients in the cohort study, revealed that our approach accurately

identified and discriminated cancers even in these pre-diagnosis cohort samples, for which cancer detection was not possible with current alternatives. In agreement with our findings on the fresh plasma samples, multi-liposome plasma sampling provided superior classification accuracy (94.1% vs. 75.4%) and specificity [i.e., brain (100.0% vs. 86.1%) lung (96.6% vs. 90.9%), and pancreatic (91.6% vs. 86.9%)] compared to single-liposome sampling. It is noteworthy that the protein corona profiles of the cohort samples were different compared to the previous fresh cancer samples. This is mainly because of the long-frozen storage (around 10 years) of the cohort samples, as the samples were collected at the time of screening of healthy individuals. It is increasingly accepted that long-term storage of plasma samples can strongly affect the concentration and integrity of a subset of proteins, in turn altering the protein corona composition and decreasing the sensitivity of our protein corona nanosystem. Therefore, the maximal sensitivity of the nanosystem may be realized when using fresh plasma, either as part of a cancer screening or cancer work-up after diagnosis. The value in the latter setting may be that changes in the protein corona pattern over time may provide valuable information relevant to early tumor recurrence or the presence of residual disease after tumor resection.

[00363] In summary, we present proof-of-concept that the multi-nanoparticle protein corona nanosystem has considerable potential for increasing the depth of protein detection and thus a unique capacity to accurately detect and discriminate cancers even at their earliest stages, when existing technologies fail to detect disease. The multi-nanoparticle protein corona pattern derived from our protein corona nanosystem provides a unique multivariate "fingerprint" for cancer detection, which is not possible when the protein corona of only a single nanoparticle is analyzed. Furthermore, the protein corona pattern represents the collective enrichment of a wide range of plasma proteins (including both abundant and rare proteins) using distinct nanoparticles, clearly distinguishing it from other multivariate whole-plasma proteomic approaches that have failed to produce suitable results for early cancer detection. The depth of protein detection (the main limitation of protein analysis in human plasma) and predictive accuracy of the nanosystem may be further enhanced by using additional nanoparticles with different physicochemical properties. The successful predictive outcome of our machine-learning and protein-corona characterization approach for both blind fresh plasmas and retrospective cohort plasmas provides a suitable

foundation for subsequent prospective studies in cancer. Furthermore, the utility of the multi-nanoparticle protein corona nanosystem can be applied to other important human diseases for which early detection can significantly improve both longevity and quality of life.

[00364] ***Liposomes*** were prepared as described in Example 1A.

[00365] ***Human plasma collection, preparation, and storage*** was performed as described in Example 1A.

[00366] ***Cohort plasma samples*** were prepared as described in Example 1A. .

[00367] ***Transmission electron microscopy (TEM).*** Liposome formulations have been characterized by TEM as reported previously. Briefly, 10 µl of each sample was deposited onto Formvar-coated grids, negatively stained using 1% uranyl acetate, washed with ultrapure water, and air-dried. Measurements were performed with a Zeiss Libra 120, and image analysis was performed with ImageJ software.

[00368] ***Size and zeta-potential*** was determined as detailed in Example 1A.

[00369] ***Protein assay*** was carried out as described in Example 1A.

[00370] ***Protein identification and quantification*** was performed as in Example 1A. Unweighted spectrum counts (USC) were used to assess the consistency of biological replicates in quantitative analysis, and normalized spectrum counts (NCS) were used to retrieve protein abundance.

[00371] ***Statistical Analysis.*** All statistical analyses in the main text were performed in Python using the scikit-learn, numpy, and scipy packages, and figures and graphs were created using the bokeh package in Python, along with Microsoft Excel, XLSTAT, and MATLAB.

[00372] ***Data matrices.*** For all 60 plasma samples (45 non-cohort and 15 cohort), labeled as $i = 1, \ldots, 60$, a data matrix X_i (with 3 rows and ~900 columns) was generated such that each row of the matrix corresponds to the protein abundances of a single nanoparticle, as obtained from the protein corona nanosystem. As a preprocessing step, we converted the protein abundances to relative protein abundances (RPA) by normalizing the rows of all of the matrices.

Classification and Clustering

[00373] ***Tensor factorization.*** We treated the data as a three-mode tensor, the first

two modes corresponding to nanoparticles and proteins, and the third mode corresponding to plasma samples; this is essentially equivalent to stacking the observation matrices corresponding to each sample, X_i, on top of each other. The data were de-noised via a low Tucker rank tensor factorization *(135,136,137)* using code implemented in Python for this project (available for academic use upon request). Each matrix X_i, is approximated by a tensor decomposition that takes the form

$$X_i \sim US_iV^\top,$$

where U is a matrix whose rows can be viewed as latent features corresponding to each of the nanoparticles, and similarly V is a matrix whose rows can be viewed as latent features corresponding to each of the proteins; these latent features are shared across all of the data matrices. Finally, each S_i is a matrix encoding interactions between nanoparticle and protein features, and these are allowed to be unique between samples. We estimated this decomposition in two steps: we (a) estimated U and V via a truncated singular-value decomposition on the mode-1 and mode-2 unfoldings of the tensor, and then given these estimates, we (b) fit each S_i matrix separately via a least-squares calculation.

[00374] ***Random forest classification.*** The random forest model is a well-known machine learning algorithm for classification. A random forest is made up of multiple decision trees that each make simple classification decisions based on relatively few variables. These trees are created (or "trained") with different, randomly drawn subsets of variables so that it is likely that no two trees are identical. Given a new sample, each tree is traversed top-down until a set of training samples is reached at the bottom. Using the forest as a whole for classification amounts to having the multiple decision trees "vote" on a label (in this case, one of five cancer types, or healthy), where each tree's vote is made from the labels of the bottom set of training samples. For our own algorithm, each random forest consisted of 1000 decision trees and was trained using the scikit-learn package. Importance scores were also calculated using the same package.

EXAMPLE 2. Additional sensor array construction for detection of diseases

[00375] A sensor array consisting of 12 different cross-reactive nanoparticles including three liposomes, three superparamagnetic iron oxide nanoparticles, and six gold nanoparticles are made. These types of liposomes (DOPG (1,2-dioleoyl-sn-

glycero-3- phospho-(1′-rac-glycerol)), DOTAP(1,2-Dioleoyl-3-trimethylammonium-propane)-DOPE(dioleoylphosphatidylethanolamine), and CHOL (DOPC-Cholesterol)) with negative, neutral, and positive surface charges are synthesized according to our previous reports. Ultra-uniform PEG-coated superparamagnetic iron oxide nanoparticles 20 nm in size and of various PEG molecular weights (*i.e.*, 300, 3000, and 6000) are obtained from Micromod®. Gold nanoparticles, with core size of ~ 2 nm, and different surface functionalities are synthesized.

[00376] All of the nanoparticles are the same size but have different surface properties, which are expected to form significantly different protein corona compositions in response to the plasma of patients developing various types of cancers. The sensor array probes the capability of the protein corona sensor array to identify and discriminate among cancer types via the patient's plasma proteins, as described in Example 1.

[00377] Samples from a wide range of cancers including lung, pancreas, myeloma, meningioma, glioblastoma, esophageal squamous cell carcinoma, and gastric adenocarcinoma are probed. Protein corona arrays based on different nanoparticles (liposomes, iron oxide, and gold) are designed to probe the variation in biomolecule fingerprint among various cancer types. The sensor assay will also allow for the identification of proteins that are markers for specific types of cancers, including new and unknown biomarkers for different cancer cell types. The pattern recognition that can be determined based on the different types of cancer or the stage of cancer.

[00378] A wide range of human plasmas from healthy people that were later diagnosed with various types of cancers several years after plasma collection will be analyzed. The plasma samples were collected through a NIH-funded cohort study, named the Golestan Cohort Study, performed by the National Cancer Institute (NCI) in the USA, the International Agency for Research on Cancer (IARC) in France, and the Tehran University of Medical Sciences (TUMS) in Iran. This study involved the collection and storage of plasma from 50,000 healthy subjects. Over 1,000 of these subjects went on to develop various types of cancers in subsequent years. The samples are stored at IARC and being used by our team for analysis. These important plasma samples provide us a unique opportunity to probe the capacity of our innovative protein corona sensor array for early detection of cancers. In addition, we will also assess and identify proteins useful for the identification and

discrimination of these cancers.

[00379] We believe that the outcomes from applying this innovative sensor array to cohort plasma samples will not only be instrumental in the detection and screening of cancers at early stages but also help identify novel protein markers involved in cancer development. Our sensor array component choices have more specific capability compared to other developed methods to provide fingerprints for a wide variety of proteins in a non-specific, cross-reactive manner for identification and discrimination of cancers.

The hard corona profiles of the sensor array elements are probed using plasma from patients with cancers at intermediate and advanced stages:

[00380] The composition of the protein corona that forms on the surface of sensor array elements is strongly dependent on the physicochemical properties of those nanoparticles and, at the same time, can be strongly affected by the type of disease present in the donor of the human plasma used for incubation. To prepare the corona-coated nanoparticles, the 12 nanoparticles prepared are incubated with human plasma (separately) of nine types of cancers (lung, pancreas, myeloma, myeloid leukemia, meningioma, glioblastoma, breast, esophageal squamous cell carcinoma, and gastric adenocarcinoma) and isolated from free proteins *via* a well-defined centrifugation approach. Centrifugation is usually performed at 13000g for 30 min at 15 °C. The supernatant will be removed and the collected particles would be re-dispersed in 500 microlitter of PBS. The procedure will be repeated to get the loosely attached proteins removed. In order to remove the loosely attached proteins from the surface of nanoparticles, the collected nanoparticles will be redispersed in cold PBS (15°C) and collected via centrifugation. The size and charge of the corona-coated nanoparticles will then be determined using DLS/Nanosight and compared to their initial values obtained in buffer. Quantitative evaluation of the total protein adsorbed onto the nanoparticles will be performed *via* the BCA or NanoOrange assay, while qualitative shotgun proteomics analysis identify the proteins adsorbed onto the surface of the 12 nanoparticles. Briefly, after separation of proteins from the surface of nanoparticles (according to the protocol (Saha, K.; Rahimi, M.; Yazdani, M.; Kim, S. T.; Moyano, D. F.; Hou, S.; Das, R.; Mout, R.; Rezaee, F.; Mahmoudi, M. ACS nano 2016, 10, (4), 4421-4430, incorporated by reference), proteins are injected into a liquid chromatography-mass spectrometry (LC-MS/MS) apparatus. The proteins are

identified from the resulting data through screening of relevant databases. To obtain the total number of LC-MS/MS spectra for all peptides attributed to a matched protein, semi-[a]Polydispersity index from cumulant fitting quantitative assessment of the protein amount will be conducted through spectral counting (SpC). The normalized SpC (NpSpC) amounts of each protein identified in the LC-MS/MS spectra will be calculated using the following equation:

$$NpSpCk = \left(\frac{\frac{SpC}{(M_w)_k}}{\sum_{t=1}^{n} \left(\frac{Spc}{(M_w)_t} \right)} \right) \times 100$$

where NpSpCk is the normalized percentage of spectral count (i.e., raw counts of ions) for protein k, SpC is the spectral count, and Mw is the molecular weight (in kDa) of the protein k.

Develop supervised and unsupervised clustering analysis to identify and discriminate among cancers using the sensor array outcomes:

[00381] In order to investigate whether protein corona fingerprints (PCFs) of various sensor elements could be utilized as a biosensors and form unique patterns for different diseases (biomolecule corona signature), we have applied focused classification approaches to proteomic data from three liposomes' protein corona composition (cationic, anionic, and neutral) as described in Example 1.

EXAMPLE 3. Conjugation of nanoparticles to the substrate to make sensor arrays.

[00382] Different types of particles may be used as nanoscale sensor elements in the practice of this invention. Further, different methods of conjugation of the nanoscale sensor elements to the substrate are provided. Additionally, the nanoscale sensor elements may be attached to substrate in different patterns.

[00383] Specific examples of different configurations of sensor elements on different substrates are exemplified in **FIG. 15-43**.

EXAMPLE 4. Sensor array comprising silica and polystyrene nanoparticles for screening for cancer

[00384] This Example demonstrates that a sensor array of the present invention with different nanoparticles than those used in Example 1 is still able to detect cancer samples from healthy patient samples.

[00385] Utilizing the experimental protocol of Example 1, a sensor array was designed using functionalized silica and polystyrene particles. In this Example, a total of six nanoparticles: two nanoparticles types, *i.e.* polystyrene (P) and silica (S), with three different surface functionalization, *i.e.* none, amine modification and carboxyl modification (P-NH2, P-COOH, S-NH2 and S-COOH), were used.

[00386] Characterization of bare polystyrene and silica nanoparticles with different functionalization (none, amine modification (NK2) and carboxyl modification (COOH) is shown in **FIGS. 44A-44D** demonstrating their sizes, DLS and zeta potential of the bare particles and TEM images. The **c**haracterization of protein corona-coated polystyrene and silica nanoparticles with different functionalization is demonstrated in **FIGS. 45A-45D** with their sizes, DLS, zeta potential and TEMs of the protein-corona loaded polystyrene and silica nanoparticles.

[00387] The 6 nanoparticle sensor array was contacted with the plasma of healthy individuals or patients with rectum cancer, breast cancer, bladder cancer, thyroid cancer, uterus cancer, ovary cancer, kidney cancer (5 patients per cancer) as depicted in **FIG. 46** by the method described in Example 1. The protein corona profiles of polystyrene and silica nanoparticles (100 nm) were analyzed by SDS PAGE. Comparison of the protein corona of plain, amine-modified and carboxyl-modified particles by SDS PAGE is shown in **FIG. 47.**

[00388] The protein corona profiles for healthy plasma for polystyrene and silica nanoparticles (100 nm) analyzed by SDS-PAGE is shown in **FIG. 48.** Comparison of the protein corona of plain, amine-modified and carboxyl-modified particles.

[00389] The data was analyzed as described in Example 1. The statistical analysis and clustering results are depicted in **FIG. 49.** As depicted, the healthy individuals are able to be identified and classified as compared to the cancer patients (healthy controls are in the orthogonal space vs the cancer patient samples as seen in **FIG. 49**).

[00390] This Example demonstrates that this sensor array using a six nanoparticle array can discriminate and detect a patient with cancer from a healthy individual.

[00391] Materials: Three differently functionalized silica particles were purchased by Kisker-Products (https://www.kisker-biotech.com/); three differently functionalized polystyrene particles were purchased by Polyscience, Inc. (http://www.polysciences.com/). All the particles had the same size (100 nm). Their morphology, average size, polydispersity index (PDI) and zeta potential were

characterized by TEM, DLS and zeta potential measurements.

[00392] Experimental info: 1h incubation in 50% human healthy plasma. SDS PAGE: 4-20% acrylamide 45 min 40 mA/gel. Staining: Colloidal Blue Comassie overnight. nanoparticles used: 0.5 mg).

EXAMPLE 5. Protein corona sensor array nanosystem identifies coronary artery disease

[00393] Coronary artery disease (CAD) is the most common type of heart disease and represents the leading cause of death in both men and women. Early detection of CAD is crucial in preventing death, prolong the survival and ameliorate quality of life of patients. This Example describes the non-invasive, sensor array nanosystem containing six nanoparticles for ultraprecise detection of CAD using specific PC pattern recognition. While the PC of a single nanoparticle do not provide the required specificity, the multivariate PCs across six distinct nanoparticles with different surface chemistries provides the desirable information to selectively discriminate each cardiovascular condition under investigation.

[00394] CAD is a chronic condition which starts during adolescence and progresses gradually throughout the affected person's entire life. It is characterized by the presence of atherosclerotic plaques in the coronary arteries. The genesis of atherosclerosis lies in the dysfunction of the endothelium: when subjected to stress stimuli and inflammatory factors (e.g. oxidative stress and hemodynamic forces), endothelial cells express surface adhesion molecules inducing the recruitment of circulating leukocytes and low density lipoproteins (LDL) containing cholesterol.[5] These events induce the formation of the atherosclerotic plaques, which narrows the coronary artery and thus impairs the blood flow. Depending on the velocity of the plaque's development and on the severity of the artery obstruction, the symptoms can culminate in myocardial infarction.[5]

[00395] An accurate and in-time diagnosis of CAD in at-risk subjects is very important to promptly start an *ad hoc* therapy and avoid further complications. Coronary angiography is to date the most accurate and trustable method for CAD diagnosis. However, inserting a catheter into an artery of the arm (or neck or upper tight) up to the heart is invasive, costly and causes many side effects, including infections, injury to the catheterized artery, allergy and excessive bleeding. Therefore, there is an urgent need to develop new tests for CAD detection. While several inflammatory biomarkers

have been reported as useful for diagnosis, unfortunately, however, none of them are used in clinical practice, highlighting the still very prevalent need for new diagnostic tests. The inventors have developed a nano-based blood test as a new tool for diagnosis of CAD.

[00396] As demonstrated in the previous Examples for cancer, personalized PCs act as fingerprint of a given plasma condition. This Example uses the same approach to accurately define the formation of the atherosclerotic plaque, through its induced changes in plasma composition. Indeed, the plaque-associated cells (e.g. foam cells, macrophages, mast cells, monocytes, and T-cells) shed a wide range of biomolecules (e.g., cytokines, proteases, and vasoactive biomolecules) to the blood,[22] and thus may induce changes in the pattern of PC composition at the surface of various nanoparticles in respect to the PC of patients with no plaque formation.

[00397] This Example analyzed the PC formed around nanoparticles using plasma derived from i) patients who are diagnosed with CAD following coronary angiography (CAD), ii) patients with symptoms who had coronary angiography and their coronary vessels were found healthy (NO CAD), iii) restenosis (recurrence of CAD after treatment) and iv) healthy volunteers with no risk factors (e.g. family history, tobacco use, obesity, hypertension) for cardiovascular disease (CONTROL).

[00398] In order to have a wider spectrum of adsorbed proteins, the Example used six commercially available nanoparticles as the sensor array elements, with different composition and surface chemistry and/or functionalization, creating a 6 nanoparticles PC-based sensor array able to be used as an easy and non-invasive diagnostic test. This novel diagnostic CAD test to be used as pre-non-invasive screening for at-risk patients. Notably, these results demonstrated that PC patterns allowed the ultra-accurate discrimination between CAD, NO CAD, restenosis, and control patients, thus providing a novel, precise, non-invasive never developed before tool for blood-based diagnosis of CAD.

Results

[00399] In this Example, a total of six nanoparticles: two nanoparticles types, *i.e.* polystyrene (P) and silica (S), with three different surface functionalization, *i.e.* none, amine modification and carboxyl modification (P-NH2, P-COOH, S-NH2 and S-COOH), as described in **FIG. 50** were used. The size, zeta potential and morphology of nanoparticles before and after incubation in plasma have been measured to compare

differences in results between the synthetic identity of bare nanoparticles and their corresponding biological identity (PC-coated nanoparticles). Dynamic light scattering analysis showed that bare nanoparticles were all highly monodispersed, as demonstrated by polydispersity index ≤ 0.02, and homogeneous in size of about 100 nm, being in the range from 93 nm up to 120 nm (**FIG. 50A**). Following 1 h incubation with plasma of patients, sizes of all nanoparticles increased due to the presence of a layer of adsorbed proteins (PC), whose thickness and composition have been demonstrated to be dependent on protein concentration, surface properties and size of nanoparticles. All bare nanoparticles had negative surface charge (**FIG. 50B**), with those amine-functionalized slightly less negative than others due to the contribution of positive amine groups. These results were in line with specifications provided by the supplier and other studies. Modification with amine groups was not sufficient to switch the surface charge of silica and polystyrene nanoparticles characterized by all negatively charged residues on their surfaces at physiological pH.

[00400] Once exposed to plasma, all the surface charges became less negative (from -5 mV to -25 mV) due to the charge of most plasma proteins at physiological pH. Overall, the physicochemical properties of the PC-coated nanoparticles showed similar trends, being always bigger and less negative than their bare counterparts, irrespective of the plasma used for the incubation. However, when incubated with NO CAD plasma, P and S nanoparticles exhibited an increase in size of ≈ 85 nm, bigger than that showed using plasma of other conditions (40-50 nm). On the other hand, PC thickness of S-NH2 nanoparticles incubated with CAD plasma was bigger (≈ 40 nm) than those derived from incubation of the same nanoparticles with other plasma types (≈ 30 nm). Transmission electron microscopy showed that nanoparticles did not change their morphology and structure after incubation in plasma (**FIG. 50C**). Furthermore, an increase in the size after coating was observed, thus confirming results obtained by dynamic light scattering. Protein concentrations of different PCs were evaluated by Bradford assay, showing that overall all silica nanoparticles adsorbed less proteins in the PC than polystyrene nanoparticles (**FIG. 51A**). This observation was confirmed through analysis of PCs by 1D-SDS PAGE. Five patients with CAD, NO CAD and no risk for CAD (CONTROL) have been used to collect plasma and the PCs obtained for all nanoparticles have been resolved onto Comassie stained SDS PAGE gels (**FIG. 51B**). Gels have been analyzed by densitometry and differences in the amount of proteins in the

CAD, NO CAD and CONTROL PCs of the same nanoparticle have been detected (**FIG. 51C**, arrows). In some cases, we also noticed the presence and/or absence of some proteins in specific PCs (**FIG. 51C**, blue arrows). These results confirm that the formation of atherosclerosis plaque induces changes in plasma composition and, consequently, differences in PC. The protein corona were analyzed by LC-MS/MS analysis. More than 150 proteins have been identified in each PC-coated nanoparticle sample. In addition, spectral counting values, which represent the total number of fragmentation spectra for all peptides attributed to a specific protein, have been used to obtain information about the abundance of the proteins and, consequently, the percentage contribution of each identified proteins in the PCs. Differences in the percentage contribution of the top 20 abundant proteins in the PCs are reported in **FIG. 52**. The results demonstrated correlation between the PC composition, the plasma condition, the type of nanoparticle and the surface functionalization.

[00401] Data obtained from all samples have been collected, analyzed and classified: a key for each measurement was created by concatenating nanoparticle, surface modification, type of plasma and label. Proteins identified with less than 2 peptides were removed from consideration and TIBCO Spotfire Analyst 7.6.1 was used to pivot the data so that rows were identified by protein accession, columns by the key and values containing the percentage contribution.

[00402] Besides mathematical analysis, we analyzed the presence of exclusive proteins in the corona of a given condition. To do this, we created Venn Diagrams which facilitate the research of common and unique proteins in big data set of proteins or genes. The PCs of each nanoparticle have been analyzed separately, for a total of 6 classifications. We looked for exclusive proteins by comparing proteins common to all 5 patients-derived PCs for CAD, NO CAD and control. Our results show that for each nanoparticle used in this study, various specific proteins are exclusively identified in specific PC patterns. Among these, several proteins involved in the regulation of complement activation (complement factor H related protein 3, complement component C8 gamma) were exclusively identified in the PC pattern of CAD patients. This result is in line with the recently described role of complement activation in the pathogenesis of cardiovascular diseases (development of atherosclerosis, plaque rupture, and thrombosis). Another example is represented by apolipoprotein (a), considered an attractive biomarker candidate for use into clinical practice for CAD, which we have

detected exclusively in the PC pattern of CAD patients. Apolipoprotein (a) is the main component of lipoprotein (a), is well known to be subjected to proteolytical cleavage and its fragments accumulate in atherosclerotic plaques.

[00403] We confirmed the accuracy of the approach in discrimination of patients with CAD and NO CAD by analyzing 9 blind plasma samples (3 per each condition and 3 control). The PC was formed around the 6 nanoparticles of our PC sensor array nanosystem. Then, proteins in the PCs have been identified by LC-MS/MS (Supporting Information) and the results have been analyzed using the same classification and clustering approaches.

[00404] Statistical and data analysis is graphically represented in **FIG. 54** showing the discrete isolation of the classification of the CAD, NO CAD and CONTROL (no risk of CAD).

[00405] In conclusion, we have demonstrated the accuracy of a 6 nanoparticles PC sensor array for the detection of CAD. The PC sensor array nanosystem developed in this work demonstrated to also be sensitive and accurate in the discrimination of CAD, restenosis, NO CAD, and healthy individual, which further showing its own great potential value as technology platform to be used in clinical setting. Indeed, despite the presence of many symptoms typically associated to atherosclerotic plaque, the NO CAD group of patients under investigation in this work did not have any obstruction in their arteries.

[00406] The PC patterns resulting from this platform represent a unique multivariate fingerprint, which is more accurate and broad-spectrum than those obtained using the PCs of a single nanoparticle. The approach presented here may be of great value for the detection of not only CAD, but also other various human diseases, improving many patients' quality of life. Especially due to the non-invasiveness of the test, we envision that such a test would be used more willingly and more frequently than angiography by patients. And unlike angiography, this test is easy to administer and has no side effects, allowing patients to check the status of arteries from the very first symptoms, and have significantly reduced CAD complications due to the early detection.

Methods

[00407] Nanoparticles. Three differently functionalized silica particles were purchased by Kisker-Products (https://www.kisker-biotech.com/); three differently functionalized polystyrene particles were purchased by Polyscience, Inc.

(http://www.polysciences.com/). All the particles had the same size (100 nm). Their morphology, average size, polydispersity index (PDI) and zeta potential were characterized by TEM, DLS and zeta potential measurements.

[00408] Protein corona formation. The PCs were created by incubating 0.5mg of nanoparticles in deionized H_2O with the same volume of human plasma. Incubation was performed in 37°C under agitation for 1h. Immediately after incubation, centrifugation was executed at 14,000rpm and 10 °C for 30 minutes to form a pellet. Next, the pellet was washed and suspended in 200µl of phosphate-buffered saline (PBS) at 4 °C. The centrifugation measures were repeated three times under the same previous conditions. The pellet of the PC-coated nanoparticles was resuspended in 8M Urea, 50 mM ammonium bicarbonate to later run SDS-PAGE gels and LC/MSMS analysis or in deionized H_2O to later analyze the size and ζ-potential.

[00409] Nanoparticles characterization. Size and ζ-potential of bare and protein corona-coated nanoparticles have been characterized by diluting 10 µl of each sample in 1 ml total of distilled water. Measurements have been performed using a Zetasizer Nano ZS90 (Malvern, UK). Size and surface charge values are given as mean ± S.D. of three independent measurements.

[00410] Protein concentration assay. The amount of proteins within the corona was determined by Bradford assay (Bio-rad) using bovine serum albumin at a known concentration as the standard to build a 5-point standard curve (R^2=0.99). Protein concentrations are recorded as an average of three experiments ± S.D.

[00411] 1D-SDS PAGE gels. Proteins in the corona were dissolved in in 8M Urea, 50 mM ammonium bicarbonate. An equal amount of Laemmli buffer 2X was added to the pellet and heated for 5 min at 90°C before being loaded and resolved onto a 4-20% Mini-PROTEAN® TGX™ Precast Gels (Bio-Rad Laboratories, Hercules, CA) for 1h at 120V. Proteins were stained with Coomassie Brilliant Blue (Fisher Scientific, Fair Lawn, NJ, USA) overnight followed by extensive washing in ultra-pure water.

[00412] Mass spectrometry/ Statistics/PLS-DA. Mass spectrometry, statistical analysis and PLS-DA were performed as described in Example 1.

EXAMPLE 6. A multi-nanoparticle protein corona test for detection of Alzheimer's disease at early stage

[00413] The pathology of Alzheimer's disease begins decades before the detection of

clinical symptoms. The need for accurate and noninvasive early diagnosis for Alzheimer's disease is rapidly growing. To address the issue, a state-of-the-art sensor array nanosystem was developed, which successfully detects minuscule changes in plasma protein patterns and uses clustering techniques to determine the presence of Alzheimer's disease. The developed technology can also be applied in the future to diagnose other diseases since the sensor array creates unique fingerprints for each plasma proteome change due to diseases and is sensitive enough to capture such changes unlike current technologies.

[00414] As discussed in the previous Examples, PCs' composition changes based on the plasma proteome that can be altered as a consequence of disease, which results in "personalized protein coronas" (PPCs). The PPCs, however, cannot provide a robust and precise strategy for early detection of diseases mainly due to the huge overlapping of the similar proteins in the protein corona composition. In this study, using the PPCs formed around a multi-NP platform containing six nanoparticles, we provided a fingerprint-patterns for robust and precise detection of AD with unprecedented prediction accuracy and specificity. The developed sensor array test successfully distinguished between patients with and without AD, as well as patients who developed AD several years afterwards (using cohort plasmas). This Examples provides a feasible, noninvasive alternative to current AD detection, allowing the ability to provide unparalleled early detection and treatment.

Results and Discussion

[00415] The sensor array test consists of incubating plasma samples with 6 different nanoparticles. The latter are 100 nm polystyrene and silica nanoparticles, each with tunable surface chemistries (plain, -amino and -carboxyl conjugated here after referred to as P, P-NH2, P-COOH, S, S-NH2, S-COOH) and narrow size distribution as described in Example 5 for CAD analysis. As initial proof-of-concept, to search for differences between AD and control plasmas, the size, surface charge and morphology of the nanoparticles before and after PC formation have been analyzed. Nanoparticle tracking analysis (Nanosight) has been applied to characterize the size of nanoparticles. During such analysis, a laser beam illuminates the nanoparticles, from which the scattered light is visualized through an optical microscope. Meanwhile, a video is recorded by a camera aligned to the beam showing the movement of the nanoparticles (30-60 frame/sec). Before incubation in plasma, all nanoparticles were homogeneous in size (**FIG. 58**,

polystyrene nanoparticles 90-100 nm; silica nanoparticles 80-100 nm) with a negative surface charge consistent with those provided by the manufacturer (**Table 8**). After 1h incubation at 37°C under agitation in plasma, PC-coated nanoparticles have been recovered by centrifugation followed by extensive washings to remove unbound and loosely attached proteins. In all cases, we revealed an increase in the size of PC-coated nanoparticles and a wider size distribution, which indicates a less homogeneous population (**FIG. 54**, scatter plot). The average increase in size was 30 nm, thus indicating a 15-nm thickness of the PC layer, which is consistent with data reported in literature [1] and with what was observed using plasma from healthy volunteers (data not shown). The presence of plasma proteins on the nanoparticles' surface induced a change in their charge, which became less negative reaching the values typical of the plasma proteins (−20 mV to −0 mV to **Table 8**).

Table 8: zeta potentials of nanoparticles bare or after contacted with plasma

Zeta Potentials (mV)	Bare nanoparticles	Healthy plasma	AD plasma
P	-42.27 ± 0.54	-20.36 ± 1.54	-26.56 ± 2.14
P-NH2	-27.57 ± 1.63	-29.81 ± 1.55	-30.62 ± 0.74
P-COOH	-49.38 ± 1.1	-34.87 ± 0.78	-27.02 ± 0.71
S	-53.72 ± 1.05	-23.22 ± 1.55	-21.23 ± 0.54
S-NH2	-46.44 ± 0.86	-24.26 ± 0.95	-21.21 ± 0.38
S-COOH	-56.77 ± 0.14	-28.37 ± 0.87	-25.69 ± 1.31

[00416] Slight differences in the surface charge of the same nanoparticle incubated with AD plasma and healthy plasma were observed (**Table 8**). However, those differences were not substantial and thus not useful in the discrimination between different plasma's conditions. Transmission electron microscopy (TEM) was also used to evaluate the morphology of nanoparticles, which remained unchanged after PC formation. Indeed, both before and after incubation with plasma, all nanoparticles had a round homogeneous shape (**FIG. 55**). The presence of a thin layer of proteins, associated to an increase in the size of PC-coated nanoparticles, confirming the results obtained by Nanosight, was observed (**FIG. 55**).

[00417] PCs associated with the particles were resolved by gel electrophoresis (SDS-

PAGE) and later visualized by staining with Coomassie Brilliant Blue (**FIG. 56**). In general, by visually evaluating the lanes, the 6 nanoparticles had different PCs patterns, which was what expected and desired for the best performances of our approach. Silica nanoparticles seemed to adsorb less proteins on their surface than polystyrene nanoparticles. In most samples, the PC of plain polystyrene was more enriched than others in proteins at molecular weight ≈60 kDa, which are most likely attributable to Albumin. This was true both for AD patients' plasma and for control plasma (**FIG. 56**, arrows). The densitometric analysis of bands associated to each PC confirmed that silica nanoparticles generally adsorbed lower amount of proteins, but revealed also that a higher number of proteins constitute their PCs: as for example, more than one band is present at level of Albumin for polystyrene nanoparticles' PC (**FIG. 56**, arrows). On the other hand, several differences were recorded in the PPCs profiles of AD patients and healthy individual, particularly in the case of silica nanoparticles (**FIG. 56**, arrows). However, those differences were not statistically significant and not sufficient to accurately discriminate between the two groups of patients under investigation. To deeper investigate the PCs and to know the exact identity and amount of proteins composing the different PCs, all the samples have been analyzed by mass spectrometry. Spectral-counting label free analysis, widely employed for quantitative profiling of the PCs around nanoparticles [2-4], was used to determine the percentage contribution of each protein in the PCs. This calculation was done six times for each plasma patient (incubated separately with each nanoparticle), thus allowing the formation of an AD-specific PC profile derived from the combination of the contribution of the PCs related to six nanoparticles. Table 9 (See FIG. 68) describes the patient population used.

Conclusion

[00418] This work represents a proof-of-concept study for the development of a MNPC blood test for the diagnosis of AD. The MNPC test showed unprecedented prediction accuracy and specificity. While individual biomarkers blood-based tests are often associated with false positive and thus require further analyses to confirm the diagnosis, our approach records the interactions of all the high-affinity proteins with the set of 6 nanoparticles, thus allowing the creation of a high-specificity PC fingerprint of a given disease. The nanoparticles act as nano-concentrator of plasma proteins on their surface (each nanoparticle concentrates the plasma proteins with higher affinity

towards its surface): this helps in the revelation of proteins whose levels only change slightly in pathological conditions with respect to a healthy status. These little changes may belong both to high abundance and low abundance plasma proteins. In this study, we reported a relatively small number of patients because each patient's plasma was analyzed 6 times using different nanoparticles, and so the results obtained for each patient are 6 times more specific than those obtained when a single analysis is performed.

Materials and Methods

[00419] Nanoparticles. Silica particles (plain, amino and carboxyl-conjugated) were purchased by Kisker-Products (https://www.kisker-biotech.com/). Polystyrene particles (plain, amino and carboxyl-conjugated) were purchased by Polyscience, Inc. (http://www.polysciences.com/). According to manufacturer's all the particles had the same size (90-100 nm). Their morphology, average size and zeta potential were characterized as described later in this section.

[00420] Personalized protein corona formation.

[00421] The PCs were created by incubating 0.5mg of nanoparticles in deionized H_2O with the same volume of human plasma. Incubation was performed in 37°C under agitation for 1h. Immediately after incubation, PC-coated nanoparticles have been recovered by centrifugation (14,000rpm and 10°C for 30 minutes) and extensive washing in cold phosphate-buffered saline (PBS) to remove unbound or weakly bound proteins. The pellet of PC-coated nanoparticles was resuspended in 8M Urea, 50 mM ammonium bicarbonate for SDS-PAGE gels and LC/MSMS analysis or in deionized H_2O for dynamic light scattering and ζ-potential analyses.

[00422] Physicochemical characterization of nanoparticles. Size was measured by Nanoparticle tracking analysis (Nanosight, Malvern, UK). The software calculates the size according to ζ-potential of bare and PC-coated nanoparticles has been determined using a Zetasizer Nano ZS90 (Malvern). nanoparticles were diluted in water before the analysis to a concentration of 50 μg/ml. Size and surface charge values are given as mean ± S.D. of three independent measurements. For transmission electron microscopy (TEM) analysis, samples and grids have been labeled with 1% uranyl acetate. Tecnai G2 Spirit BioTWIN Transmission Electron Microscope equipped with an AMT 2k CCD camera was used.

[00423] One-dimensional gel electrophoresis. Personalized protein coronas were dissolved in 8M Urea, 50 mM ammonium bicarbonate. An equal amount of Laemmli buffer 2X was added to the pellet and heated for 5 min at 90˚C before being loaded and resolved onto a 4-20% Mini-PROTEAN® TGX™ Precast Gels (Bio-Rad Laboratories, Hercules, CA) for 1h at 120V. Proteins were stained with Coomassie Brilliant Blue (Fisher Scientific, Fair Lawn, NJ, USA) overnight followed by extensive washing in ultra-pure water. Densitometric analysis of the band intensities have been performed by ImageJ (website: imagej.nih.gov/ij/).

[00424] Protein identification and quantification by mass spectrometry. Proteins were reduced with 10 mM dithiothreitol (Sigma) for 1h at 56˚C and then alkylated with 55mM iodoacetamide (Sigma-Aldrich, St Loius, MO, USA) for 1h at 25°C in the dark. Proteins were then digested with modified trypsin (Promega, Madison, WI, USA) at an enzyme/substrate ratio of 1:50 in 100 mM ammonium acetate, pH 8.9 at 25°C overnight. Trypsin activity was halted by addition of acetic acid (99.9%, Sigma-Aldrich) to a final concentration of 5%. Peptides were desalted using C18 SpinTips (Protea, Morgantown, WV) then vacuum centrifuged and stored at −80 °C until the day of the analysis. Peptides were separated by reverse phase HPLC (Thermo Fisher, Waltham, MA Easy nLC1000) using a precolumn (made in house, 6 cm of 10 µm C18) and a self-pack 5 µm tip analytical column (12 cm of 5 µm C18, New Objective) over a 140-minute gradient before nano-electrospray using a QExactive mass spectrometer (Thermo Fisher). Solvent A was 0.1% formic acid and solvent B was 80% MeCN/0.1% formic acid. The gradient conditions were 2-10% B (0-3 min), 10-30% B (3-107 min), 30-40% B (107-121 min), 40-60% B (121-126 min), 60-100% B (126-127 min), 100% B (127-137 min), 100-0% B (137-138 min), 0% B (138-140 min), and the mass spectrometer was operated in a data-dependent mode. The parameters for the full scan MS were: resolution of 70,000 across 350-2000 m/z, AGC 3e6, and maximum IT 50 ms. The full MS scan was followed by MS/MS for the top 10 precursor ions in each cycle with a NCE of 28 and dynamic exclusion of 30 s. Raw mass spectral data files (.raw) were searched using Proteome Discoverer (Thermo Fisher) and Mascot version 2.4.1 (Matrix Science). Mascot search parameters were: 10 ppm mass tolerance for precursor ions; 15 millimass units (mmu) for fragment ion mass tolerance; 2 missed cleavages of trypsin; fixed modification was carbamidomethylation of cysteine; variable modifications were methionine oxidation. Only peptides with a Mascot score ≥ 25 were included in the data

analysis. Spectral counting was performed by summing the total number of peptides selected for fragmentation each protein.

EXAMPLE 7. Size of particles effects amount of protein bound

[00425] This Example demonstrates that the use of different size nanoparticles made of the same material provide a different biomolecule fingerprint for each size. Silica nanoparticles of three different diameters (100nm (0.1 μm), 3 μm and 4 μm) were incubated with the same plasma sample. The beads where analyzed by SDS-PAGE. As demonstrated in **FIG. 58**, the larger the beads, the more proteins are comprised within the biomolecule signature. Further, each bead size has a distinct biomolecule corona signature and thus the combination of different sizes of nanoparticle alone allow for the development of a distinct biomolecule fingerprint. As shown, there are distinct differences in the pattern or proteins between the three different sized beads.

[00426] A sensor array can be made using nanoparticles of different sizes which each will give a different biomolecule fingerprint.

EXAMPLE 8. Sensor Array can provide a biomolecule fingerprint comprising nucleic acids

[00427] The sensor array and associated biomolecule corona signature along with proteins contains nucleic acids that make up the biomolecule corona. This Example demonstrates the composition of nucleic acids that bind to **silica nanoparticles with neutral surface** nanoparticles. Nanoparticles were incubated with plasma, and the associated nucleic acids were analyzed (**FIG. 59**). **FIGS. 60 and 61** depict the analysis of nucleic acid in all samples and its content in plasma, (nucleic acid amount in plasma was 33.8 pg/μl). The nucleic acid content of the biomolecule coronas associated with the nanoparticle was analyzed. The proteins were either dissociated from nanoparticle using urea and the nucleic acids subsequently analyzed (**FIG. 62,** nucleic acid amount 14.0) or the proteins were not dissociated from the corona and the nucleic acids analyzed (**FIG. 63,** 14.4 pg/μl). Alternatively, the nanoparticles can be incubated with nucleic acids that have been purified from the plasma with a plasma kit and then incubated with the bare particles (**FIG. 64**, nucleic acid amount 13.2 pg/μl).

The outcomes revealed the capacity of nanoparticles in adsorption of nucleic acid in the biomolecular corona composition.

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Corrected Application Data Sheet 37 CFR 1.76	Attorney Docket Number	129319.00770.BWH24258
	Application Number	17/215,923
Title of Invention	SYSTEM AND SENSOR ARRAY	

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.
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Application Data Sheet 37 CFR 1.76	Attorney Docket Number	129319.00770.BWH24258
	Application Number	
Title of Invention	SYSTEM AND SENSOR ARRAY	

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Title of the Invention	SYSTEM AND SENSOR ARRAY		
Attorney Docket Number	129319.00770.BWH24258	**Small Entity Status Claimed**	☒
Application Type	Nonprovisional		
Subject Matter	Utility		
Total Number of Drawing Sheets (if any)	121	**Suggested Figure for Publication (if any)**	

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For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a). i

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country

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Publication Information:

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☐	**Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

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Prior Application Status	Pending		Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
17/215923	**Division of**	**17/099331**	**2020-11-16**
Prior Application Status	Pending		Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
17/099331	**Continuation in part of**	**15/880627**	**2018-01-26**
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PCT/US2017/067013	**Claims benefit of provisional**	**62/435409**	**2016-12-16**
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Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

☐ This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.
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Applicant 1 Remove

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NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). **However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).**

This Application Data Sheet **must** be signed by a patent practitioner if one or more of the applicants is a **juristic entity** (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, **all** joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of **all** joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

Signature	/Angeline R. Babel/			Date (YYYY-MM-DD)	2021-06-24
First Name	Angeline	Last Name	Babel	Registration Number	60886

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Application Data Sheet 37 CFR 1.76	Attorney Docket Number	129319.00770.BWH24258
	Application Number	
Title of Invention	SYSTEM AND SENSOR ARRAY	

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law orregulation.

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	System and Method for for Protein Corona Sensor Array for Early Detection of Diseases

As the below named inventor, I hereby declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☑ United States application or PCT international application number PCT/US2017/067013 filed on December 18, 2018.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

LEGAL NAME OF INVENTOR

Inventor: Omid Farokhzad Date (Optional): 1/3/2018

Signature: [signature]

Note: An application data sheet (PTO/AIA/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional PTO/SB/AIA01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Title of Invention	System and Method for for Protein Corona Sensor Array for Early Detection of Diseases

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This declaration is directed to:

- ☐ The attached application, or
- ☑ United States application or PCT international application number PCT/US2017/067013 filed on December 18, 2018.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

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LEGAL NAME OF INVENTOR

Inventor: Morteza Mahmoudi Date (Optional): 1/3/2018

Signature: MMahmoudi

Note: An application data sheet (PTO/AIA/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional PTO/SB/AIA01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	System and Method for Protein Corona Sensor Array for Early Detection of Diseases

As the below named inventor, I hereby declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☑ United States application or PCT international application number 15/880,627 filed on January 26, 2018.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

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LEGAL NAME OF INVENTOR

Inventor: Claudia Corbo Date (Optional): March 15th 2019

Signature: Claudia Corbo

Note: An application data sheet (PTO/AIA/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional PTO/SB/AIA01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Q&B 129319.00594

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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
17/215,923	03/29/2021	1642	1830	129319.00770.BWH24258	30	1

CONFIRMATION NO. 6706

CORRECTED FILING RECEIPT

26710
QUARLES & BRADY LLP
ATTN: IP DOCKET
411 E. WISCONSIN AVENUE
SUITE 2400
MILWAUKEE, WI 53202-4428

OC000000128813207

Date Mailed: 06/29/2021

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

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Inventor(s)

Omid Farokhzad, Waban, MA;
Morteza Mahmoudi, Brookline, MA;
Claudia Corbo, Milano, ITALY;

Applicant(s)

THE BRIGHAM AND WOMEN'S HOSPITAL, INC., Boston, MA;

Power of Attorney: The patent practitioners associated with Customer Number 26710

Domestic Priority data as claimed by applicant

This application is a DIV of 17/099,331 11/16/2020
which is a CIP of 15/880,627 01/26/2018 PAT 10866242
which is a CON of PCT/US2017/067013 12/18/2017
which claims benefit of 62/435,409 12/16/2016

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.) - None.
Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

PREOMICS EXHIBIT 1002
Page 1618 of 1861

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If Required, Foreign Filing License Granted: 04/05/2021

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 17/215,923**

Projected Publication Date: 10/07/2021

Non-Publication Request: No

Early Publication Request: No

** **SMALL ENTITY** **

Title

SYSTEM AND SENSOR ARRAY

Preliminary Class

436

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific

PREOMICS EXHIBIT 1002
Page 1619 of 1861

countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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PREOMICS EXHIBIT 1002
Page 1621 of 1861

To: pat-dept@quarles.com,,
From: PAIR_eOfficeAction@uspto.gov
Cc: PAIR_eOfficeAction@uspto.gov
Subject: Private PAIR Correspondence Notification for Customer Number 26710

Jun 29, 2021 04:05:42 AM

Dear PAIR Customer:

QUARLES & BRADY LLP
ATTN: IP DOCKET
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SUITE 2400
MILWAUKEE, WI 53202-4428
UNITED STATES

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Application	Document	Mailroom Date	Attorney Docket No.
17215923	APP.FILE.REC	06/29/2021	129319.00770.BWH24258

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Thank you for prompt attention to this notice,

UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION INFORMATION RETRIEVAL SYSTEM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	The Brigham and Women's Hospital, Inc.
Serial No.:	17/215,923
Filing Date:	March 29, 2021
Title:	SYSTEM AND SENSOR ARRAY
Examiner:	Lisa V. Cook
Art Unit:	1642
Conf. No.:	6706
Docket:	129319.00770.BWH24258

RESPONSE TO RESTRICTION REQUIREMENT AND ELECTION OF SPECIES REQUIREMENT

Dear Commissioner:

In response to the Office Action dated June 21, 2021, Applicant presents the following in support of patentability:

A listing of the claims begin on page 2 of this communication.

Remarks begin on page 7 of this communication.

In The Claims

1. (Original) A method for assaying a biological sample, comprising:

(a) contacting the biological sample with a plurality of particles comprising different particle types to permit biomolecules of the biological sample to bind to the plurality of particles and form coronas around the plurality of particles, wherein the coronas corresponding to the different of particle types (i) differ based on particle type, and (ii) comprise overlapping and distinct proteins;

(b) separating at least a subset of the plurality of particles comprising the coronas from the biological sample by removing the subset of the plurality of particles thereby producing a subset of proteins from the biological sample;

(c) assaying the subset of proteins of (b) with an instrument to detect, in the subset, proteins in the biological sample at concentrations across a broad dynamic range, thereby assaying the biological sample.

2. (Original) The method of claim 1, wherein the broad dynamic range comprises at least 6 orders of magnitude.

3. (Original) The method of claim 1, wherein the detecting of proteins in the biological sample comprises detecting a protein present in the sample at a concentration greater than 1 mg/ml and a protein present in the sample at a concentration of less than 10 ng/ml.

4. (Original) The method of claim 1, wherein the plurality of particles comprise iron oxide.

5. (Original) The method of claim 1, wherein the assaying is performed using gel-electrophoresis, liquid chromatography, mass spectrometry, nuclear magnetic resonance spectroscopy, Fourier transform infrared spectroscopy, circular dichroism, Raman spectroscopy, or any combination thereof.

6. (Original) The method of claim 1, wherein the dynamic range of the proteins detected exceeds a detection limit of the assaying.

7. (Original) The method of claim 1, wherein the dynamic range of the proteins detected spans 6 or more orders of magnitude.

8. (Original) The method of claim 1, wherein the detected proteins comprise proteins present in concentrations of 100 ng/ml or less in the biological sample.

9. (Original) The method of claim 1, wherein the assaying comprises quantitative determination of the total protein adsorbed onto a particle from among the plurality of particles.

10. (Original) The method of claim 1, wherein the assaying comprises quantitative determination of the relative amounts of different types of proteins adsorbed onto a particle from among the plurality of particles.

11. (Original) The method of claim 1, wherein step (b) further comprises removing loosely attached proteins from the particles prior to (c).

12. (Original) The method of claim 1, wherein a particle from among the plurality of particles forms a corona that is at least 15 nm thick.

13. (Original) The method of claim 1, wherein the contacting in (a) is performed for at least 1 minute.

14. (Original) The method of claim 1, wherein the assaying comprises detecting a protein from the corona of a first particle from among the plurality of particles and a protein from the corona of a second particle from among the plurality of particles

at the same time, wherein the first particle and the second particle are different particle types.

15. (Original) The method of claim 14, wherein the detecting is performed on an array.

16. (Original) The method of claim 1, wherein the assaying comprises determining the quantity of a protein in the corona of a particle from among the plurality of particles.

17. (Original) The method of claim 1, wherein the assaying comprises determining a ratio of two proteins in a corona of a particle from among the plurality of particles.

18. (Original) The method of claim 1, wherein the assaying further comprises digesting the proteins.

19. (Original) The method of claim 1, wherein the biological sample is divided into a plurality of sample volumes, wherein, in (a), contacting comprises separately incubating a particle of the plurality of particles with the biological sample in a sample volume of the plurality of sample volumes, such that one or more sample volume(s) of the plurality of sample volumes are incubated with a distinct particle type of the plurality of particles.

20. (Original) The method of claim 1, wherein the contacting the biological samples further comprises contacting the biological sample under multiple conditions that differ by one or more of: incubation time, incubation temperature, or flow of the biological sample in contact with the plurality of particles.

21. (Original) The method of claim 1, wherein the assaying comprises determining the conformational state of a protein from the biological sample.

22. (Original) The method of claim 1, wherein the assaying comprises measuring an association between two different proteins in the biological sample.

23. (Original) The method of claim 1, wherein the biological sample is selected from the group consisting of: blood, plasma, serum, lung lavage, menstrual blood, urine, processed tissue samples, amniotic fluid, cerebrospinal fluid, tears, saliva, sperm, chopped tissue, homogenized tissue, or any lysates or combinations thereof.

24. (Original) The method of claim 1, wherein the coronas that form around the plurality of particles further comprise a species of biomolecules selected from the group consisting of polysaccharides, sugars, lipids, lipoproteins, metabolites, oligonucleotides, or any combination thereof.

25. (Original) The method of claim 1, wherein at least one particle of the plurality of particles enriches for peptides other than albumin such that a ratio of an amount of albumin to a protein X that binds to the at least one particle is less than a ratio of an amount of albumin to the protein X in the biological sample.

26. (Original) The method of claim 1, wherein the different particle types differ from each other by one or more physicochemical properties, wherein the one or more physicochemical properties are selected from the group consisting of: composition, size, surface charge, hydrophobicity, hydrophilicity, surface functionality, surface topography, surface curvature, shape, and any combination thereof.

27. (Original) The method of claim 26, wherein the one or more physicochemical properties comprises surface functionality, wherein the surface functionality is selected from the group consisting of aminopropyl functionalization, amine functionalization, boronic acid functionalization, carboxylic acid functionalization, methyl functionalization, N-succinimidyl ester functionalization, PEG functionalization, streptavidin functionalization, methyl ether functionalization,

triethoxylpropylaminosilane functionalization, thiol functionalization, PCP functionalization, citrate functionalization, lipoic acid functionalization, BPEI functionalization, carboxyl functionalization, hydroxyl functionalization, and any combination thereof.

28. (Original) The method of claim 1, wherein the assaying comprises detecting a signal from a particle from among the plurality of particles, wherein the signal is selected from the group consisting of a fluorescence signal, a luminescence signal, a charge-based signal, and a colorimetric signal.

29. (Original) The method of claim 1, wherein the assaying comprises measuring the change in size of a particle of the plurality of particles following corona formation on said particle.

30. (Original) The method of claim 1, further comprising centrifuging the biological sample and the plurality of particles prior to (b).

REMARKS

I. Listing of the Claims

Claims 1-30 were pending in the present application. In the Office Action of June 21, 2021, claims 1-30 are subject to an Election of Species Requirement.

No amendments to the claims are provided herein, rather the listing of the claims is presented for the Examiner's convenience.

II. Election of Species Requirement

The Examiner contends that the claims are drawn to multiple distinct species and has issued an Election of Species requirement. For search and Examination purposes only, Applicant provides the following species elections:

1. Applicant is required to select a dynamic range parameter for consideration as it relates to claims 2, 6, and 7; Claims 1, 3-5, and 8-30 are generic.

In response, Applicant elects the dynamic range parameter as it relates to **claim 2**, which reads on claims 2, and 1, 3-5 and 8-30 which are generic.

2. The Examiner contends that this application contains claims directed to the following patentably distinct species of protein concentrations as it relates to claims 3 and 8; claims 1, 2, 4-7, and 9-30 are generic.

In response, Applicant elects species **a**, concentrations greater than 1mg/ml and a protein of less than 10ng/ml **(claim 3)**. This species reads on claim 1-7, and 9-30.

3. The Examiner contends that this application contains claims directed to patentably distinct species with regard to detection results/measured component as detailed in the action as it relates to claims 9-10, 14-15, 16-18, 21-22, and 28-29; claims 1-8, 11-13, 18-20, 23-27, and 30 are generic.

In response, Applicant elects species **d.** determining relative amounts of different types of protein absorbed onto a particle **(claim 10)**. This species election reads on claims 1-8, 10-13, 18-20, 23-27 and 30.

4. The Examiner asserts that the instant application contains claims directed to the allegedly patentably distinct species with regard to assay procedures. Applicant is required to select a single assay configuration for consideration; as it relates to claims 18, 19, and 20; claims 1-17 and 21-30 are generic.

In response, Applicant elects species **m.** dividing the biological sample into volumes **(claim 19)** which reads on claims 1-17, 19, 21-30.

CONCLUSION

Applicant submits this response is timely filed. No fees are believed to be due, but the Commissioner is hereby authorized charge any fees under 37 CFR § 1.17 that may be due on this response to Deposit Account 17-0055.

Respectfully submitted,

Date: August 20, 2021

/ Angeline R. Babel /

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PTO/SB/08a (01-10)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	17215923
Filing Date	2021-03-29
First Named Inventor	Farokhzad, Omid C.
Art Unit	1618
Examiner Name	COOK, LISA V
Attorney Docket Number	129319.00770

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	1	20090291454		2009-11-26	SIM ET AL.	
	2	20130052661		2013-02-28	HUO	
	3	20120046184		2013-02-23	DAWSON ET AL.	
	4	20120328594		2012-12-27	MCKENNA ET AL.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	17215923
	Filing Date	2021-03-29
	First Named Inventor	Farokhzad, Omid C.
	Art Unit	1618
	Examiner Name	COOK, LISA V
	Attorney Docket Number	129319.00770

	1	2012106385A2	WO		2012-08-09	APPRISE BIO, INC.		

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NON-PATENT LITERATURE DOCUMENTS Remove

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T[5]
	1	Monopoli, et al. Nanoparticle coronas take shape. Nature Nanotechnology. January 2011. Vol. 6.	
	2	Hadjidemetriou, et al. In Vivo Biomolecule Corona around Blood-Circulating, Clinically Used and Antibody-Targeted Lipid Bilayer Nanoscale Vesicles. ACSNANO. 2015. Vol. 9. No. 8. 8142-8156.	
	3	Colapicchioni et al. Personalized liposome-protein corona in the blood of breast, gastric and pancreatic cancer patients. Intl. J. of Biochem & Cell Biology. 2016. 180-187.	

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EXAMINER SIGNATURE

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	17215923
	Filing Date	2021-03-29
	First Named Inventor	Farokhzad, Omid C.
	Art Unit	1618
	Examiner Name	COOK, LISA V
	Attorney Docket Number	129319.00770

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

☒ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Angeline R. Babel/	Date (YYYY-MM-DD)	2021-09-13
Name/Print	Angeline R. Babel	Registration Number	60,886

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.

2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).

5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Notice of References Cited	Application/Control No. 17/215,923	Applicant(s)/Patent Under Reexamination Farokhzad et al.	
	Examiner LISA V COOK	Art Unit 1642	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	CPC Classification	US Classification
*	A	US-10866242-B2	12-2020	Farokhzad; Omid C.	G16B40/00	1/1
	B					
	C					
	D					
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	F					
	G					
	H					
	I					
	J					
	K					
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FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	CPC Classification
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	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
*	U	Hajipour et al. (Biomater. Sci., 2014, 2, 1210-1221) (Year: 2014)
*	V	Walkey, et al. (ACS Nano, 2014, 8, 2439-2455) (Year: 2014)
*	W	Hadjidemetriou et al. (Vol.9, No.8, pages 8142-8156, 2015). (Year: 2015)
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/215,923	03/29/2021	Omid Farokhzad	129319.00770.BWH24258	6706

26710 7590 09/22/2021

QUARLES & BRADY LLP
ATTN: IP DOCKET
411 E. WISCONSIN AVENUE
SUITE 2400
MILWAUKEE, WI 53202-4428

EXAMINER
COOK, LISA V

ART UNIT	PAPER NUMBER
1642	

NOTIFICATION DATE	DELIVERY MODE
09/22/2021	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

pat-dept@quarles.com

Office Action Summary	Application No. 17/215,923	Applicant(s) Farokhzad et al.	
	Examiner LISA V COOK	Art Unit 1642	AIA (FITF) Status Yes

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☑ Responsive to communication(s) filed on 8/20/21.

☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2a)☐ This action is **FINAL.** 2b) ☑ This action is non-final.

3)☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

4)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims*

5) ☑ Claim(s) 1-30 is/are pending in the application.

5a) Of the above claim(s) 6-9,14-18,20-22 and 28-29 is/are withdrawn from consideration.

6) ☐ Claim(s) _____ is/are allowed.

7) ☑ Claim(s) 1-5,10-13,19,23-27 and 30 is/are rejected.

8) ☐ Claim(s) _____ is/are objected to.

9) ☑ Claim(s) 1-30 are subject to restriction and/or election requirement

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to **PPHfeedback@uspto.gov.**

Application Papers

10)☑ The specification is objected to by the Examiner.

11)☐ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

a)☐ All b)☐ Some** c)☐ None of the:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☑ Notice of References Cited (PTO-892)

2) ☑ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date 4/19/21 - 5/18/21 - 9/13/21.

3) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date _____.

4) ☐ Other: _____.

Notice of Pre-AIA or AIA Status

The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

NON FINAL ACTION

Election/Restrictions

1. Applicant's election of the Species (dynamic range – claim 2, protein concentrations – claim 3, detection results/measured component – claim 10, and assay procedures – claim 19) in the reply filed on 8/20/21 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.01(a)).

2. The Restriction Requirement is deemed proper and is therefore made **FINAL**.

3. Claims 6-9, 14-18, 20-22, and 28-29 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected species, there being no allowable generic or linking claim. Election was made **without** traverse in the reply filed on 8/14/20.

4. Currently claims 1-5, 10-13, 19, 23-27, and 30 are under consideration.

Information Disclosure Statement

5. The listing of references in the specification is not a proper information disclosure statement. 37 CFR 1.98(b) requires a list of all patents, publications, or other information submitted for consideration by the Office, and MPEP § 609 A(1) states, "the list may not be incorporated into the specification but must be submitted in a separate paper." Therefore, unless the Examiner on form PTO-892 or Applicant on PTO-1449 cited the references they have not been considered.

6. The information disclosure statements filed 4/19/21, 5/18/21, and 9/13/21 have been considered as to the merits before First Action.

Specification

7. The specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

I. The use of the term “TWEEN”, which is a trade name or a mark used in commerce, has been noted in this application. For example see section 00212. The term should be accompanied by the generic terminology; furthermore the term should be capitalized wherever it appears or, where appropriate, include a proper symbol indicating use in commerce such as ™, SM , or ® following the term.

Although the use of trade names and marks used in commerce (i.e., trademarks, service marks, certification marks, and collective marks) are permissible in patent applications, the proprietary nature of the marks should be respected and every effort made to prevent their use in any manner which might adversely affect their validity as commercial marks.

II. The disclosure is objected to because it contains an embedded hyperlink and/or other form of browser-executable code. Applicant is required to delete the embedded hyperlink and/or other form of browser-executable code; references to websites should be limited to the top-level domain name without any prefix such as http:// or other browser-executable code. For example see Table 5 and sections 00347, 00391, 00407, 00419. See MPEP § 608.01.

Claim Objections

8. Claim 1 is objected to because of the following informalities: In line 5, claim 1 recites "the different of particle types". This appears to be a typo that should read [the different particle types]. Appropriate correction is required.

9. Claim 27 is objected to because of the following informalities: The claim utilizes several acronyms (PEG, PCP, and BPEI) without first defining what it represents in the independent claim. Although the acronyms may have art recognized meaning it is suggested that they are defined to eliminate uncertainty. The claims can reference acronyms, the material presented by the acronym must be clearly set forth at the first use of the acronym. For example, PEG is polyethylene glycol as defined in the disclosure in section 00210. Appropriate correction is required. However, Applicant is cautioned not to introduce new matter in to the claims.

Claim Rejections - 35 USC § 112

> The following is a quotation of 35 U.S.C. 112(b):
> (b) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the inventor or a joint inventor regards as the invention.
>
> The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph:
> The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

10. Claims 1-5, 10-13, 19, 23-27, and 30 are rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA), second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the inventor or a joint inventor, or for pre-AIA the applicant regards as the invention.

A. The term "broad dynamic range" in claims 1 and 2 is a relative term which renders the claim indefinite. The term "broad dynamic range" is not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention. The disclosure merely identifies procedures that are capable of measuring a broad dynamic range (i.e. mass spec) but does not actual identify what is meant by the term. For example see section 00176. Accordingly the phrase is ambiguous.

Claim Rejections - 35 USC § 103

11. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

A. Claims 1, 2, 4, 5, 10, 23, 24, 26, and 30 is/are rejected under 35 U.S.C. 103 as being unpatentable over Hajipour et al. (Biomater. Sci., 2014, 2, 1210-1221) in view of Walkey, et al. (ACS Nano, 2014, 8, 2439-2455).

Hajipour incubated two different nanoparticles ("NPs") (polystyrene and silica — having surfaces with different physicochemical properties) with plasma from human subjects with different diseases and determined a pattern of binding proteins that varied in a "personalized protein corona' ("PPC) manner correlated with the type of disease (abstract). Hajipour teaches that "we found that changes in plasma protein concentrations and structures (mediated by different disease states) affect the formation of the protein corona on a NP" (p. 1219). Hajipour defines a biomolecule fingerprint representative of the proteins that bind to the NPs and associates the fingerprint with the biological state — i.e. Fig. 2 SDS-PAGE of plasma proteins obtained from polystyrene and silica associated with breast cancer vs. healthy (the proteins are separated from the particles). Hajipour teaches identification of the proteins at 3.1.3 (page 1214) including albumin.

Hajipour et al. do not specifically teach the measurement of the biomolecule fingerprint by mass spectrometry (a method taught by the disclosure to measure a broad dynamic range of at least 6 magnitude – see section 00176).

However, Walkey teaches that protein corona fingerprinting of nanoparticles can be characterized by mass spectrometry (p. 2441-42) and Fig 1. Walkey also teaches on p. 2447 and in figure 6; that fingerprinting can be used to predict a variety of physiologically relevant markers:

"The protein corona fingerprinting strategy can be extended to predict the association of nanoparticles with other physiologically relevant cell types, such as endothelial cells, macrophages, and hepatocytes. Since nanoparticle--cell interactions determine downstream cellular responses, the protein corona finger print may also predict the activation of intracellular signaling cascades, cytokine secretion, gene expression, toxicity, and, by extension, *in vivo* pharmacokinetics, biodistribution, and organ response, Provided the appropriate models have been established, it is theoretically possible to predict multiple biological interactions and responses from a single characterization of the protein corona fingerprint. Moreover, since a protein corona forms around silica, metal oxide, lipid, and polymer nanoparticles, protein corona fingerprinting is applicable across nanoparticle classes,"

Thus, one of ordinary skill in the art following the teaching of Hajipour would reasonably consider applying the teaching of Walkey and utilize the well-known technique of characterizing proteins using mass spectrometry.

One of ordinary skill in the art would have a reasonable expectation of success in combining the references and arriving at the claimed invention because the prior art references both relate to the application of protein corona analysis in biological systems. Therefore, claims 1, 2, 4, 5, 10, 13, 23, 24, 26, and 30 are prima facie obvious.

The Supreme Court stated in KSR "if a technique has been used to improve one device, and a person of ordinary skill in the art would recognize that it would improve similar devices in the same way, using the technique is obvious unless its actual application is beyond that person's skill." KSR Intern. Co. v. Teleflex Inc., 127 S.Ct. 1727, 1731 (2007).

Because utilizing well-known mass spectrometry, NPs, and classification techniques is routinely used in methods similar to those claimed here, the application of the same technique would be obvious.

B. Claims 3, 11, 12, 13, 19, 25, and 27 is/are rejected under 35 U.S.C. 103 as being unpatentable over Hajipour et al. (Biomater. Sci., 2014, 2, 1210-1221) in view of Walkey, et al. (ACS Nano, 2014, 8, 2439-2455) and further in view of Hadjidemetriou et al. (Vol.9, No.8, pages 8142-8156, 2015).

Please see Hajipour et al. in view of Walkey et al. as set forth above.

Hajipour et al. in view of Walkey et al. do not specifically teach functionalizing the particles to measure proteins at concentrations > 1mg/ml and <10ng/ml, measuring ratios and coronas at least 15nm thick.

However, Hadjidemetrious et al. disclose that PEG coating had a minor effect on the molecular consistency of the protein coronas. The targeted liposome systems that contained a full IgG MoAb at the distal end of the PEG chains led to corona formation of higher total protein content. And the association of more proteins onto the surface of NPs in the presence of large molecular weight biological targeting ligands may also be relevant to other clinically used targeted, PEGylated constructs. See page 8152 2nd column, 1st paragraph.

In vivo protein corona formed onto intravenously injected, clinically used liposomes, based on the composition of the PEGylated liposomal formulation that constitutes the anticancer agent Doxil.

The formation of *in vivo* protein corona was determined after the recovery of the liposomes from the blood circulation of CD-1 mice 10 min postinjection (claim 13). In comparison, *in vitro* protein corona was formed by the incubation of liposomes in CD-1 mouse plasma. The *in vivo* and *in vitro* formed protein coronas were compared in terms of morphology, composition and cellular internalization. The protein coronas on bare (non-PEGylated) and monoclonal antibody (IgG) targeted liposomes of the same lipid composition were also comparatively investigated. A network of linear fibrillary structures constituted the *in vitro* formed protein corona, whereas the *in vivo* corona had a different morphology but did not appear to coat the liposome surface entirely. Even though the total amount of protein attached on circulating liposomes correlated with that observed from *in vitro* incubations, the variety of molecular species in the *in vivo* corona were considerably wider. Both *in vitro* and *in vivo* formed protein coronas were found to significantly reduce receptor binding and cellular internalization of antibody-conjugated liposomes; however, the *in vivo* corona formation did not lead to complete ablation of their targeting capability. See abstract.

With respect to proteins at concentrations > 1mg/ml and <10ng/ml, measuring ratios, and coronas at least 15nm thick; these limitations are found at least in figures 1, 2, and 5.

Therefore, it would have been *prima facie* obvious to one of ordinary skill in the art at the effective filing date of the instant invention to include the PEG functionalized nanoparticles taught by Hadjidemetriou et al. in the method of Hajipour et al. in view of Walkey et al. because Hadjidemetriou et al. demonstrated that PEG coating had a minor effect on the molecular consistency of the protein coronas.

The targeted liposome systems that contained a full IgG MoAb at the distal end of the PEG chains led to corona formation of higher total protein content.

One skilled in the art would have been motivated to employ the functionalized PEG particles in order to achieve in vitro and/or in vivo NP's for protein analyzes and treatment. See Hadjidemetriou et al. abstract.

Please Note: Examiner was unable to ascertain if the present claims were previously restricted in previous applications cited in the Obviousness Double Patenting rejections herein. Applicant is invited to show support of any restriction requirements that would invalidate the instant ODP rejections.

Double Patenting

12. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees.

A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s).

See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

13. Claims 1-5, 10, 23-24, 26, 27, and 30 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-26 of U.S. Patent No. 10,866,242.

Although the claims at issue are not identical, they are not patentably distinct from each other because both inventions are drawn to a methods of assaying biological samples wherein a plurality of particles with formed coronas are measured. The coronas are analyzed and employed to identify protein fingerprinting.

Therefore, the claims in US Patent #10,866,242 encompass the instantly claimed invention.

14. For reasons aforementioned, no claims are allowed.

15. Any inquiry concerning this communication or earlier communications from the examiner should be directed to LISA V COOK whose telephone number is (571)272-0816. The examiner can normally be reached on Monday-Friday from 9am to 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Misook Yu, can be reached at telephone number 571-272-0839. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR.

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Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

Lisa V. Cook
Patent Examiner
Art Unit 1642
Remsen
571-272-0816
9/19/21

/LISA V COOK/
Primary Examiner, Art Unit 1642

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	17/215,923	Farokhzad et al.
	Examiner	Art Unit
	LISA V COOK	1642

CPC - Searched*		
Symbol	**Date**	**Examiner**
G01N 31/00, 33/53, 33/57488; G06F19/24 (text search only)	09/19/2021	LVCook

CPC Combination Sets - Searched*		
Symbol	**Date**	**Examiner**

US Classification - Searched*			
Class	**Subclass**	**Date**	**Examiner**
435	7.1, 7.21, 283.1 (text search only)	09/19/2021	LVCook
436	501, 518 (text search only)	09/19/2021	LVCook
424	9.1, 130.1, 520 (text search only)	09/19/2021	LVCook
422	430 (text search only)	09/19/2021	LVCook
530	300, 350 (text search only)	09/19/2021	LVCook

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	**Date**	**Examiner**
Palm inventor name search	09/19/2021	LVCook
Google - keyword search	09/19/2021	LVCook
East patent database search	09/19/2021	LVCook
STN-biosis, caplus, embase, medline, japio	09/19/2021	LVCook
Related Applications - 17/099,331 and 15/880,627 now Patent #10,866,242	09/19/2021	LVCook

/LISA V COOK/ Primary Examiner, Art Unit 1642	

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	17/215,923	Farokhzad et al.
	Examiner	Art Unit
	LISA V COOK	1642

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner

/LISA V COOK/ Primary Examiner, Art Unit 1642	

PREOMICS EXHIBIT 1002
Page 1650 of 1861

Index of Claims	Application/Control No.	Applicant(s)/Patent Under Reexamination
	17/215,923	Farokhzad et al.
	Examiner	Art Unit
	LISA V COOK	1642

✓	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	O	Objected

CLAIMS

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

CLAIM		DATE								
Final	Original	06/16/2021	09/19/2021							
	1	÷	✓							
	2	÷	✓							
	3	÷	✓							
	4	÷	✓							
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	10	÷	✓							
	11	÷	✓							
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	14	÷	N							
	15	÷	N							
	16	÷	N							
	17	÷	N							
	18	÷	N							
	19	÷	✓							
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SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
17/215,923	03/29/2021	436	1642	129319.00770.BWH24258
	RULE			

APPLICANTS

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INVENTORS

Omid Farokhzad, Waban, MA;
Morteza Mahmoudi, Brookline, MA;
Claudia Corbo, Milano, ITALY;

**** CONTINUING DATA ***************************

This application is a DIV of 17/099,331 11/16/2020
which is a CIP of 15/880,627 01/26/2018 PAT 10866242
which is a CON of PCT/US2017/067013 12/18/2017
which claims benefit of 62/435,409 12/16/2016

**** FOREIGN APPLICATIONS ***************************

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Foreign Priority claimed	35 USC 119(a-d) conditions met	Verified and Acknowledged	Met after Allowance	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
❑ Yes ☑ No	❑ Yes ☑ No	/LISA V COOK/ Examiner's Signature	❑ Initials	MA	121	30	1

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UNITED STATES

TITLE

SYSTEM AND SENSOR ARRAY

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Doc code: IDS
Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	17215923
Filing Date	2021-03-29
First Named Inventor	Farokhzad, Omid C.
Art Unit	1618
Examiner Name	/LISA V COOK/
Attorney Docket Number	129319.00770

U.S.PATENTS

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	Attorney Docket Number	129319.00770

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	Attorney Docket Number	129319.00770

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EXAMINER SIGNATURE

Examiner Signature	/LISA V COOK/	Date Considered	09/19/2021

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	Examiner Name	– /LISA V COOK/
	Attorney Docket Number	129319.00770

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

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SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Angeline R. Babel/	Date (YYYY-MM-DD)	2021-04-19
Name/Print	Angeline R. Babel	Registration Number	60,886

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Page 1660 of 1861

Doc code: IDS
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(Not for submission under 37 CFR 1.99)

Application Number	17215923
Filing Date	2021-03-29
First Named Inventor	Farokhzad, Omid C.
Art Unit	~~1618~~
Examiner Name	COOK, LISA V
Attorney Docket Number	129319.00770

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	2	20130052661		2013-02-28	HUO	
	3	20120046184		2013-02-23	DAWSON ET AL.	
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	Examiner Name	COOK, LISA V
	Attorney Docket Number	129319.00770

	1	2012106385A2	WO		2012-08-09	APPRISE BIO, INC.		

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	1	Monopoli, et al. Nanoparticle coronas take shape. Nature Nanotechnology. January 2011. Vol. 6.	
	2	Hadjidemetriou, et al. In Vivo Biomolecule Corona around Blood-Circulating, Clinically Used and Antibody-Targeted Lipid Bilayer Nanoscale Vesicles. ACSNANO. 2015. Vol. 9. No. 8. 8142-8156.	
	3	Colapicchioni et al. Personalized liposome-protein corona in the blood of breast, gastric and pancreatic cancer patients. Intl. J. of Biochem & Cell Biology. 2016. 180-187.	

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Doc code: IDS
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First Named Inventor	Farokhzad, Omid C.
Art Unit	1618
Examiner Name	/LISA V COOK/
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	Application Number	17215923
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	First Named Inventor	Farokhzad, Omid C.
	Art Unit	1618
	Examiner Name	-- /LISA V COOK/
	Attorney Docket Number	129319.00770

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	Examiner Name	-- /LISA V COOK/
	Attorney Docket Number	129319.00770

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	Attorney Docket Number	129319.00770

	45	MAHMOUDI, M., Lohse, S., Murphy, C. J. & Suslick, K. S. Identification of Nanoparticles with a Colorimetric Sensor Array. ACS Sensors 1, 17–21 (2016).
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Examiner Signature	/LISA V COOK/	Date Considered	09/19/2021

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	17215923
Filing Date	2021-03-29
First Named Inventor	Farokhzad, Omid C.
Art Unit	~~1618~~
Examiner Name	-- /LISA V COOK/
Attorney Docket Number	129319.00770

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Angeline R. Babel/	Date (YYYY-MM-DD)	2021-04-19
Name/Print	Angeline R. Babel	Registration Number	60,886

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First Named Inventor	Farokhzad, Omid C.
Art Unit	1618
Examiner Name	/LISA V COOK/
Attorney Docket Number	129319.00770

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Name/Print	Angeline R. Babel	Registration Number	60,886

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Doc code: IDS
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	1	SALVADOR-MORALES, C., Zhang, L., Langer, R. & Farokhzad, O.C. Immunocompatibility properties of lipid–polymer hybrid nanoparticles with heterogeneous surface functional groups. Biomaterials 30, 2231-2240 (2009).
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	12	SIEGEL, R. L., Miller, K. D. & Jemal, A. Cancer statistics, 2015. CA: a cancer journal for clinicians 65, 5-29 (2015)	
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	23	SUNG, H.-J. et al. Identification and validation of SAA as a potential lung cancer biomarker and its involvement in metastatic pathogenesis of lung cancer. Journal of proteome research 10, 1383-1395 (2011).	
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Signature	/Angeline R. Babel/	Date (YYYY-MM-DD)	2021-04-19
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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

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(Not for submission under 37 CFR 1.99)

Application Number	17215923
Filing Date	2021-03-29
First Named Inventor	Farokhzad, Omid C.
Art Unit	1642
Examiner Name	Lisa Cook
Attorney Docket Number	129319.00770.BWH24258

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	1	2013/022995	WO		2013-02-14	CARIS LIFE SCIENCES LUXEMBOURG HOLDINGS, S.A.R.I.		

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	Examiner Name	Lisa Cook
	Attorney Docket Number	129319.00770.BWH24258

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

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Signature	/Angeline R. Babel/	Date (YYYY-MM-DD)	2021-05-17
Name/Print	Angeline R. Babel	Registration Number	60,886

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Filing Date	2021-03-29
First Named Inventor	Farokhzad, Omid C.
Art Unit	1618
Examiner Name	/LISA V COOK/
Attorney Docket Number	129319.00770

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Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6291183		2001-09-18	Pirrung	
	2	6361944		2002-03-26	Mirkin	
	3	6759010		2004-07-06	Lewis	
	4	6969615		2005-11-29	Knezevic	
	5	7442921		2008-10-28	Franzen	
	6	8021891		2011-09-20	Bird	
	7	9005994		2015-04-14	Huo	
	8	9234895		2016-01-12	Hood	

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	Attorney Docket Number	129319.00770

	9	9689039		2017-06-27	Wong	
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	1	20120046184		2012-02-23	Dawson	
	2	20130058923		2013-03-07	Huo	
	3	20170131276		2017-05-11	Johnston	
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	1	1308520	EP		2003-07-05	MicroBioSytems Limited Partnership		
	2	2209893	EP		2010-07-28	Brown		
	3	2010097785	WO		2010-09-02	University of College of Dublin, National Univer.		
	4	2010148365	WO		2011-05-19	Johnston		
	5	2011088128	WO		2011-07-21	University of Central Florida Research Foundation		
	6	2012068226	WO		2012-08-16	Liu		
	7	2018046542	WO		2018-03-15	The University of Manchester		
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	Art Unit	1618
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PREOMICS EXHIBIT 1002

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.V.C./

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	17215923
Filing Date	2021-03-29
First Named Inventor	Farokhzad, Omid C.
Art Unit	1618
Examiner Name	-- /LISA V COOK/
Attorney Docket Number	129319.00770

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Angeline R. Babel/	Date (YYYY-MM-DD)	2021-04-19
Name/Print	Angeline R. Babel	Registration Number	60,886

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
17/215,923	03/29/2021	Omid Farokhzad	129319.00770.BWH24258

CONFIRMATION NO. 6706

26710
QUARLES & BRADY LLP
ATTN: IP DOCKET
411 E. WISCONSIN AVENUE
SUITE 2400
MILWAUKEE, WI 53202-4428

PUBLICATION NOTICE

OC00000128961841

Title:SYSTEM AND SENSOR ARRAY

Publication No.US-2021-0311039-A1
Publication Date:10/07/2021

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Public Records Division. The Public Records Division can be reached by telephone at (571) 272-3150 or (800) 972-6382, by facsimile at (571) 273-3250, by mail addressed to the United States Patent and Trademark Office, Public Records Division, Alexandria, VA 22313-1450 or via the Internet.

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USPTO Automated Interview Request (AIR)

Dec 8 2021

This paper requesting to schedule and/or conduct an interview is appropriate because:

This submission is requested to be accepted as an authorization for this interview to communicate via the internet. Recognizing that Internet communications are not secure, I hereby authorize the USPTO to communicate with the undersigned concerning scheduling of the interview via video conference, instant messaging, or electronic mail, and to conduct the interview in accordance with office practice including video conferencing.

Name(s):
Angeline Babel

S-signature:
/Angeline Babel/

Registration Number:
60886

U.S. Application Number:
17215923

Confirmation Number:
6706

E-mail Address:
angeline.babel@quarles.com

Phone Number:
+1 283-2453

Proposed Time of Interview:
12-15-2021 11:00 AM ET

Alternative Proposed Time(s) of Interview:
12-15-2021 4:30 PM ET

Prefered Interview Type:
Telephonic

I am the applicant or applicant's representative for this application.

Topic for Discussion:
Discuss rejections and possible strategies forward (can also do 12/13 at 11, 1 or 3pm EST).

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/215,923	03/29/2021	Omid Farokhzad	129319.00770.BWH24258	6706

26710 7590 12/20/2021

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EXAMINER
COOK, LISA V

ART UNIT	PAPER NUMBER
1642	

NOTIFICATION DATE	DELIVERY MODE
12/20/2021	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

pat-dept@quarles.com

Applicant-Initiated Interview Summary	**Application No.** 17/215,923	**Applicant(s)** Farokhzad et al.		
	Examiner LISA V COOK	**Art Unit** 1642	**AIA (First Inventor to File) Status** Yes	**Page** 1 of 2

All Participants (applicant, applicants representative, PTO personnel)	**Title**	**Type**
LISA V COOK	Primary Examiner	WebEx/Video Conference
ANGELINE BABEL (QUARLES & BRADY)	Attorney of Record	
MARJORIE MORAN (WILSON SONSINI)	Applicant's Rep	
PHWEY GIL (WILSON SONSINI)	Attorney	

Date of Interview: 15 December 2021

Issues Discussed:

35 U.S.C. 103

Applicant's began with a general summary of the invention. Specifically contending that identifying the 6 order of magnitude (claim 2) is an art recognized term which encompasses the measurement of low abundant proteins and high abundant proteins in a sample. This measurement allows for an analysis of the complete protein expression in a subject; which maybe utilized to identify normal, abnormal, and/or potential candidate markers. Additionally Applicant presented proposed claim amendments and arguments that appear to overcome the references cited under 35 USC 103. Applicant argues that neither Hajipour et al. or Walkey teach a range of at least 6 magnitudes. Examiner will conduct an updated search of the prior art once the amendments are filed.

Non-statutory Double Patenting

ODP will be held in abeyance.

/LISA V COOK/ Primary Examiner, Art Unit 1642	

Applicant is reminded that a complete written statement as to the substance of the interview must be made of record in the application file. It is the applicants responsibility to provide the written statement, unless the interview was initiated by the Examiner and the Examiner has indicated that a written summary will be provided. See MPEP 713.04
Please further see:
MPEP 713.04
Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews, paragraph (b)
37 CFR § 1.2 Business to be transacted in writing

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete

PREOMICS EXHIBIT 1002
Page 1712 of 1861

and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

PREOMICS EXHIBIT 1002
Page 1713 of 1861

Cook, Lisa

From: Babel, Angeline <Angeline.Babel@quarles.com>
Sent: Tuesday, December 14, 2021 2:55 PM
To: Cook, Lisa
Cc: Babel, Angeline
Subject: 17/215,923 Interview on Wed Dec. 15 (4:30 pm)- agenda and call-in link. (Q&B: 129319.00770) [QBLLP-ACTIVE.FID41551602]
Attachments: US Appl 17215923 Interview Agenda.docx

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Re: Application No. 17/215,923

Dear Examiner Cook,

Please find attached the agenda for the Examiner call tomorrow, Wednesday December 15th at 4:30 and the link to the teams meeting. It has the list of participants. Please let me know if you received this or you need us to call you in for the call.

Angeline Babel

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US App. 17/215,923
Examiner Lisa Cook
Attorney Docket No: 129319.00770.BWH24258

Interview Agenda

Interview Date: Wednesday December 15, 2021, 4:30 PM Eastern/1:30 PM Pacific
Dial in Information: Microsoft Teams Conference (supplied by Quarles & Brady)

Attendees: Examiner Lisa Cook; Applicant's representatives Angeline Babel (Quarles & Brady), Marjorie Moran and Phwey Gil (Wilson Sonsini Goodrich & Rosati)

- Background Information
- Discuss rejection of the claims under 35 U.S.C. § 103 in light of:
    - Hajipour (*Biomater. Sci.*, 2014)
    - Walkey (*ACS Nano*, 2014)
    - Hadjidemetriou (*ACS Nano*, 2015)
- Non-Statutory Double Patenting

AMENDMENTS TO THE SPECIFICATION

Please amend paragraph [0212] on page 42 of the application as follows:

[0212] Suitable liposomes may be solid lipid nanoparticles (SLN) which can be made of solid lipid, emulsifier and/or water/solvent. SLN may include, but are not limited to, a combination of the following ingredients: triglycerides (tri-stearin), partial glycerides (Imwitor), fatty acids (stearic acid, palmitic acid), and steroids (cholesterol) and waxes (cetyl palmitate). Various emulsifiers and their combination (Pluronic F 68, F 127) have been used to stabilize the lipid dispersion. Suitable ingredients for the use in preparing SNL sensor elements include, but are not limited to, e.g., phospholipids, glycerol, poloxamer 188, soy phosphatidyl choline, compritol, cetyl palmitate, PEG 2000, PEG 4500, Tween® 85, ethyl oleate, Na alginate, ethanol/butanol, tristearin glyceride, PEG 400, isopropyl myristate, Pluronic F68, Tween® 80, trimyristin, tristearin, trilaurin, stearic acid, glyceryl caprate as Capmul®MCM C10, theobroma oil, triglyceride coconut oil, 1-octadecanol, glycerol behenate as Compritol® 888 ATO, glycerol palmitostearate as Precirol® ATO 5, and cetyl palmitate wax and the like.

Please amend paragraph [0341] on page 91 of the application as follows:

[0341] The protein corona compositions at the surface of liposomes contained a wide range of human plasma proteins including highly abundant proteins (e.g., albumin, transferrin, complement proteins, apolipoproteins, and alpha-2-macroglobulin) and very rare proteins (defined as <100 ng/ml) such as transforming growth factor beta-1-induced transcript 1 protein (˜10 ng/ml), fructose-bisphosphate aldolase A (˜20 ng/ml), thioredoxin (˜18 ng/ml), and L-selectin (˜92 ng/ml). We also identified 388 proteins without a known previous plasma concentrations (~~http://~~see website at plasmaproteomedatabase.org[[/]]). The obtained protein information was then analyzed by a machine learning approach to probe the capacity of our protein corona nanosystem for robust and accurate cancer detection.

Please amend paragraph [0347] on page 94 of the application as follows:

[00347] We also calculated the most important overall proteins (FIG. 10C and Table 5). These proteins were detected in combinations of all three liposomes, again showing the critical role of multi-liposomes in the nanosystem. We also evaluate the robustness of this set of 'important' proteins across the classifiers estimated on the 1000 splits of training data discussed earlier. Specifically, FIG. 10B shows the 25th to 75th percentiles of the importance scores for the 30 most important proteins on average. These show that the set of important proteins is robust to the split of data used for model training. Among these most important proteins (see Table 5), some have been recognized as playing critical roles in cancer development. For example, Ficolins (both Ficolin 2 and Ficolin 3) are serum pattern recognition molecules with opsonic properties with a substantial capacity to regulate complement activation. The serum concentrations of Ficolin 3 have been demonstrated to be higher in patients with ovarian cancer than in healthy subjects. Moreover, Ficolin 3 was identified in a differential proteomic analysis of prostate cancer serum, suggesting a role for this protein in prostate cancer as well. On the other hand, it is well established that Apolipoprotein A2 and its isoforms are overexpressed in prostate cancer serum and that the concentration of acute-phase proteins (e.g., complement proteins) can change by $\geq 25\%$ in the presence of inflammatory disorders such as cancer. A clear association between cancer and the hemostatic system has long been documented. Hemostasis modulates blood flow by regulating the adhesion of platelets and deposition of fibrin. Several proteins involved in hemostasis have been connected to the regulation of angiogenesis. Among these, fibrinogen is the main protein in the hemostasis process and has been found in many tumors; it modulates angiogenesis and tumor growth and has been implicated in metastasis formation. Indeed, plasma levels of fibrinogen have been used to forecast clinical outcomes in patients with non-metastatic renal cell carcinoma, and to predict distant metastasis in pancreatic cancer.

Table 5. Information about the most important overall proteins, found to be detected on combinations of all three liposomes. * The concentrations are values obtained using spectral counting from the plasma proteome database (~~www.~~ see website at plasmaproteomedatabase.org).

Please amend paragraph [0353] on page 98 of the application as follows:

[0353] The multi-liposome protein corona nanosystem, using machine-learning techniques, produces a unique “fingerprint” protein pattern for each type of cancer and for healthy individuals. In pursuit of the mechanism underlying the unique capacity of the protein corona in cancer identification and discrimination, we have thoroughly analyzed the corona composition for the contribution of both high- and low-abundance proteins, and compared those outcomes with the concentration of corona proteins in human plasma. In this regard, the contribution of each protein (e.g., protein X) to the corona composition and plasma was normalized with respect to albumin using (total peptides of albumin in protein corona)/(total peptides of protein X in protein corona) and (concentration of albumin in plasma)/(concentration of protein X in plasma), respectively. It is noteworthy that we manually searched the concentrations of identified corona proteins in plasma using an online proteome database (~~http://~~see website at plasmaproteomedatabase.org[[/]]). As the total peptides of the albumin in the protein corona are proportional to albumin's total weight in the protein corona, dividing these peptides to the peptides of protein X is comparable with the ratio of albumin concentration to concentration of protein X in plasma. As shown in FIG. 12, we found that the plasma concentrations vary over 10 orders of magnitude (in log-log scale), while the liposomes detect these same proteins over 4-5 orders of magnitude. In other words, we revealed that the protein corona composition has a great capacity to concentrate a wide range of low-abundance proteins (≤100 ng/mg) and very rare proteins (≤10 ng/mg). This means that obtaining data on highly abundant proteins in the protein corona does not interfere with detection of peptides derived from less-abundant and rare proteins. It is worth noting that most of the detected proteins are low-abundance, rare, or unknown/unreported proteins. Participation of the low-abundance proteins in the corona composition is mainly due to the exchange of corona proteins with low affinity for proteins with higher affinity and slower adsorption kinetics.

Please amend paragraph [0391] on page 110 of the application as follows:

[0391] Materials: Three differently functionalized silica particles were purchased by Kisker-Products (~~https://www.~~see website at kisker-biotech.com[[/]]); three differently functionalized polystyrene particles were purchased by Polyscience, Inc. (~~http://www.~~see website at polysciences.com[[/]]). All the particles had the same size (100 μm). Their morphology, average size, polydispersity index (PDI) and zeta potential were characterized by TEM, DLS and zeta potential measurements.

Please amend paragraph [0407] on page 115 of the application as follows:

[0407] Nanoparticles. Three differently functionalized silica particles were purchased by Kisker-Products (~~https://www.~~see website at kisker-biotech.com[[/]]); three differently functionalized polystyrene particles were purchased by Polyscience, Inc. (~~http://www.~~see website at polysciences.com[[/]]). All the particles had the same size (100 μm). Their morphology, average size, polydispersity index (PDI) and zeta potential were characterized by TEM, DLS and zeta potential measurements.

Please amend paragraph [0419] on page 120 of the application as follows:

[0419] Nanoparticles. Silica particles (plain, amino and carboxyl-conjugated) were purchased by Kisker-Products (~~https://www.~~see website at kisker-biotech.com[[/]]). Polystyrene particles (plain, amino and carboxyl-conjugated) were purchased by Polyscience, Inc. (~~http://www.~~see website at polysciences.com[[/]]). According to manufacturer's all the particles had the same size (90-100 μm). Their morphology, average size and zeta potential were characterized as described later in this section.

AMENDMENTS TO THE CLAIMS

This listing of claims replaces all prior versions and listing of claims in the above-referenced patent application. The following amendments do not constitute an admission regarding the patentability of the amended subject matter and should not be so construed.

Listing of the Claims

1. (Currently Amended) A method for assaying a biological sample, comprising:

(a) contacting the biological sample with a plurality of particles comprising different particle types to permit biomolecules of the biological sample to bind to the plurality of particles and form coronas around the plurality of particles, wherein the coronas corresponding to the different [[of]] particle types (i) differ based on particle type, and (ii) comprise overlapping and distinct proteins;

(b) separating at least a subset of the plurality of particles comprising the coronas from the biological sample by removing the subset of the plurality of particles thereby producing a subset of proteins from the biological sample;

(c) assaying the subset of proteins of (b) with an instrument to detect, in the subset, proteins in the biological sample at concentrations across a ~~broad~~ dynamic range comprising at least 6 orders of magnitude, thereby assaying the biological sample.

2. (Canceled)

3. (Original) The method of claim 1, wherein the detecting of proteins in the biological sample comprises detecting a protein present in the sample at a concentration greater than 1 mg/ml and a protein present in the sample at a concentration of less than 10 ng/ml.

4. (Original) The method of claim 1, wherein the plurality of particles comprise iron oxide.

5. (Original) The method of claim 1, wherein the assaying is performed using gel-electrophoresis, liquid chromatography, mass spectrometry, nuclear magnetic resonance spectroscopy, Fourier transform infrared spectroscopy, circular dichroism, Raman spectroscopy, or any combination thereof.

6. (Withdrawn) The method of claim 1, wherein the dynamic range of the proteins detected exceeds a detection limit of the assaying.

7. (Withdrawn) The method of claim 1, wherein the dynamic range of the proteins detected spans 6 or more orders of magnitude.

8. (Withdrawn) The method of claim 1, wherein the detected proteins comprise proteins present in concentrations of 100 ng/ml or less in the biological sample.

9. (Withdrawn) The method of claim 1, wherein the assaying comprises quantitative determination of the total protein adsorbed onto a particle from among the plurality of particles.

10. (Original) The method of claim 1, wherein the assaying comprises quantitative determination of the relative amounts of different types of proteins adsorbed onto a particle from among the plurality of particles.

11. (Original) The method of claim 1, wherein step (b) further comprises removing loosely attached proteins from the particles prior to (c).

12. (Original) The method of claim 1, wherein a particle from among the plurality of particles forms a corona that is at least 15 nm thick.

13. (Original) The method of claim 1, wherein the contacting in (a) is performed for at least 1 minute.

14. (Withdrawn) The method of claim 1, wherein the assaying comprises detecting a protein from the corona of a first particle from among the plurality of particles and a protein from the corona of a second particle from among the plurality of particles at the same time, wherein the first particle and the second particle are different particle types.

15. (Withdrawn) The method of claim 14, wherein the detecting is performed on an array.

16. (Withdrawn) The method of claim 1, wherein the assaying comprises determining the quantity of a protein in the corona of a particle from among the plurality of particles.

17. (Withdrawn) The method of claim 1, wherein the assaying comprises determining a ratio of two proteins in a corona of a particle from among the plurality of particles.

18. (Withdrawn) The method of claim 1, wherein the assaying further comprises digesting the proteins.

19. (Original) The method of claim 1, wherein the biological sample is divided into a plurality of sample volumes, wherein, in (a), contacting comprises separately incubating a particle of the plurality of particles with the biological sample in a sample volume of the plurality of sample volumes, such that one or more sample volume(s) of the plurality of sample volumes are incubated with a distinct particle type of the plurality of particles.

20. (Withdrawn) The method of claim 1, wherein the contacting the biological samples further comprises contacting the biological sample under multiple conditions that differ by one or more of: incubation time, incubation temperature, or flow of the biological sample in contact with the plurality of particles.

21. (Withdrawn) The method of claim 1, wherein the assaying comprises determining the conformational state of a protein from the biological sample.

22. (Withdrawn) The method of claim 1, wherein the assaying comprises measuring an association between two different proteins in the biological sample.

23. (Original) The method of claim 1, wherein the biological sample is selected from the group consisting of: blood, plasma, serum, lung lavage, menstrual blood, urine, processed tissue samples, amniotic fluid, cerebrospinal fluid, tears, saliva, sperm, chopped tissue, homogenized tissue, or any lysates or combinations thereof

24. (Original) The method of claim 1, wherein the coronas that form around the plurality of particles further comprise a species of biomolecules selected from the group consisting of polysaccharides, sugars, lipids, lipoproteins, metabolites, oligonucleotides, or any combination thereof

25. (Original) The method of claim 1, wherein at least one particle of the plurality of particles enriches for peptides other than albumin such that a ratio of an amount of albumin to a protein X that binds to the at least one particle is less than a ratio of an amount of albumin to the protein X in the biological sample.

26. (Original) The method of claim 1, wherein the different particle types differ from each other by one or more physicochemical properties, wherein the one or more physicochemical properties are selected from the group consisting of: composition, size, surface charge, hydrophobicity, hydrophilicity, surface functionality, surface topography, surface curvature, shape, and any combination thereof.

27. (Currently Amended) The method of claim 26, wherein the one or more physicochemical properties comprises surface functionality, wherein the surface functionality is selected from the group consisting of aminopropyl functionalization, amine functionalization, boronic acid functionalization, carboxylic acid functionalization, methyl functionalization, N-succinimidyl ester functionalization, polyethylene glycol ~~PEG~~ functionalization, streptavidin functionalization, methyl ether functionalization, triethoxylpropylaminosilane functionalization, thiol

functionalization, ~~PCP functionalization,~~ citrate functionalization, lipoic acid functionalization, polyethylene imine functionalization, ~~BPEI functionalization,~~ carboxyl functionalization, hydroxyl functionalization, and any combination thereof.

28. (Withdrawn) The method of claim 1, wherein the assaying comprises detecting a signal from a particle from among the plurality of particles, wherein the signal is selected from the group consisting of a fluorescence signal, a luminescence signal, a charge-based signal, and a colorimetric signal.

29. (Withdrawn) The method of claim 1, wherein the assaying comprises measuring the change in size of a particle of the plurality of particles following corona formation on said particle.

30. (Original) The method of claim 1, further comprising centrifuging the biological sample and the plurality of particles prior to (b).

REMARKS

Amendments to the Specification

Applicant herein amends the specification as required by the Office Action mailed September 20, 2021. In view of these corrections, Applicant respectfully requests the objections to the specification be withdrawn. No new matter is introduced by any amendment made herein. Entry of the amendment is respectfully requested.

Amendments to the Claims

Claim(s) 1-5, 10-13, 19, 23-27, and 30 were pending prior to entry of the abovementioned amendments. Claims 1 and 27 have been amended. Claim 2 has been cancelled. Claims 6-9, 14-18, 20-22, and 28-29 are withdrawn. Applicants reserve the right to pursue the subject matter of the withdrawn and cancelled claim in this or any other appropriate patent application. Support for the amendments to the claims is found in the original claims and throughout the specification. No new matter is introduced by any amendment made herein. Accordingly, upon entry, claims 1, 3-5, 10-13, 19, 23-27, and 30 will be pending and under examination.

Initial Remarks

Applicant thanks Examiner Cook for viewing the proposed amendment favorably during the interview conducted on December 15, 2021.

Claim Objections

Claim 1 stand objected to because of informalities. Without conceding in the basis of the objection, and solely to expedite prosecution, Applicant has amended claim 1 to clarify the claimed subject matter. Accordingly, Applicant respectfully requests the objection to claim 1 be withdrawn.

Claim 27 stand objected to because of informalities. Without conceding in the basis of the objection, and solely to expedite prosecution, Applicant has amended claim 27 to clarify the claimed subject matter. Accordingly, Applicant respectfully requests the objection to claim 27 be withdrawn.

Objections to the Specification

The Office has objected to the use of the term "TWEEN" and requested use of its proper trademark form. In response, Applicant has changed the term "TWEEN" to "TWEEN®".

The Office has also objected to the disclosure because it contains an embedded hyperlink and/or other form of browser-executable code. In response, Applicant has deleted the embedded hyperlink and/or other form of browser-executable code. In view of these amendments, Applicant respectfully requests withdrawal of the rejections.

Claim Rejections – 35 U.S.C. § 112

Claims 1-5, 10-13, 19, 23-27, and 30 stand rejected under 35 U.S.C. § 112(b) or 35 U.S.C. § 112 (pre-AIA), second paragraph. Without conceding in the basis of the rejection, and solely to expedite prosecution of the application, Applicant has amended claim 1 to recite "dynamic range" in place of "broad dynamic range", as discussed in the interview conducted between Applicant's representatives and Examiner Cook on December 15, 2021. Applicant respectfully submits that "dynamic range" is a term of art, and one of ordinary skill in the art would be reasonably apprised of the scope of the claims, as evidenced by, for example, Keshishian et al. (Mol. Cell. Proteomics, 2007; 6(12): 2212-2229) which was submitted in an IDS of the present application.

Accordingly, Applicant respectfully requests that the rejection of claim 1 be withdrawn. Applicant respectfully submits that claims 3-5, 10-13, 19, 23-27, and 30 depend from claim 1, therefore, Applicant respectfully requests that rejection of claims 3-5, 10-13, 19, 23-27, and 30 under 35 U.S.C. § 112 also be withdrawn.

Applicant respectfully submits that claim 2 is canceled herein. Without conceding in the basis of the rejection, Applicant submits that the 35 U.S.C. § 112 rejection of claim 2 is moot in view of the cancellation of this claim. Accordingly, Applicant respectfully requests that the rejections of claim 2 be withdrawn.

Claim Rejections – 35 U.S.C. § 103

Claims 1-2, 4-5, 10, 13, 23-24, 26, and 30 stand rejected under 35 U.S.C. § 103(a) over Hajipour et al (Biomater. Sci., 2014, 2, 1210-1221) in view of Walkey et al. (ACS Nano, 2014, 8, 2439-2455). Without conceding in the basis of the rejection, and solely to expedite prosecution of the application, Applicant has amended claim 1 to recite "dynamic range comprising at least 6 orders of magnitude", as discussed in the interview conducted between Applicant's representatives and Examiner Cook on December 15, 2021.

Applicant respectfully submits that, as recognized by the Office in the Non-Final Office Action dated September 22, 2021, Hajipour does not teach or suggest "dynamic range comprising at least 6 orders of magnitude".

Further, Applicant respectfully submits that, as discussed during the interview conducted between Applicant's representatives and Examiner Cook on December 15, 2021, Walkey does not teach or suggest "dynamic range comprising at least 6 orders of magnitude".

Applicant respectfully submits that claim 2 is canceled herein. Without conceding in the basis of the rejection, Applicant submits that the 35 U.S.C. § 103 rejection of claim 2 is moot in view of the cancellation of this claim.

Applicant respectfully submits that claims 4-5, 10, 13, 23-24, 26, and 30 are not obvious in view of the art of record for at least the reason that these claims depend from and include all of the elements of claim 1 as amended above, and recite additional elements of particular advantage and utility. As discussed above, Hajipour et al. and Walkey et al. do not meet all of the elements of independent claim 1, much less the combination of elements of claims 4-5, 10, 13, 23-24, 26, and 30.

Accordingly, Applicant respectfully requests that the rejections of claims 1-2, 4-5, 10, 23-24, 26, and 30 under 35 U.S.C. § 103(a) be withdrawn.

Claims 3, 11-13, 19, 25, and 27 stand rejected under 35 U.S.C. § 103 over Hajipour et al in view of Walkey et al. and further in view of Hadjidemetriou et al. (Vol. 9, No. 8, pages 8142-8156, 2015). Applicant respectfully submits that claims 3, 11-13, 19, 25, and 27 are not obvious

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in view of the art of record for at least the reason that these claims depend from and include all of the elements of claim 1 as amended above, and recite additional elements of particular advantage and utility. As discussed above, Hajipour et al. and Walkey et al. do not meet all of the elements of independent claim 1, much less the combination of elements of claims 3, 11-13, 19, 25, and 27.

Accordingly, Applicant respectfully requests that the § 103 rejections of claims 3, 11-13, 19, 25, and 27 be withdrawn.

Claim Rejections – Double Patenting

Claims 1-5, 10, 23-24, 26-27, and 30 are provisionally rejected on the ground of non-statutory double patenting as being unpatentable over claims 1-26 of U.S. Patent No. 10,866,242.

Applicant respectfully requests that this rejection be held in abeyance until there is an indication of allowable subject matter. See MPEP § 714.02.

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THE CONCLUSION

Deposit Account Authorization

No fees are believed to be necessary, but the Commissioner is authorized to charge any fees due under 37 C.F.R. 1.17 to Deposit Account No. 17-0055. The Commissioner is also authorized to treat this amendment and any future reply in this matter requiring a petition for an extension of time as incorporating a petition for extension of time for the appropriate length of time as provided by 37 CFR 1.136(a)(3); however, the Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees, except issue fees, which may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 17-0055.

Respectfully submitted,

QUARLES & BRADY LLP
33 EAST MAIN STREET, SUITE 900
MADISON, WI 53703-3095
(608) 283-2453

Date: December 22, 2021

By: /Angeline R. Babel/
Angeline R. Babel, PhD
Registration No. 60,886

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	The Brigham and Women's Hospital, Inc.	*Confirmation No. 6706*
Serial No.:	17/215,923	**CERTIFICATE OF EFS FILING**
Filed:	March 29, 2021	I hereby certify that this correspondence is being electronically transmitted to the United States Patent and Trademark Office, Commissioner for Patents, via the EFS on the date below.
For:	SYSTEM AND METHOD FOR PROTEIN CORONA SENSOR ARRAY FOR EARLY DETECTION OF DISEASES	December 22, 2021 /Debra M. Szumowski/ Debra M. Szumowski
Group Art Unit:	1642	
Examiner:	Cook, Lisa V	

RESPONSE TO NON-FINAL OFFICE ACTION

Dear Commissioner:

This paper responds to the Non-Final Office Action mailed September 22, 2021, setting an initial due date of December 22, 2021. Applicants have replied within the shortened statutory period for reply. Accordingly, this response is timely filed, and no fees are believed to be due.

The USPTO is authorized to charge any fees due in this application to Deposit Account No. 17-0055.

Interview Summary begins on page **2**.

Amendments to the Specification begin on page **3**.

Amendments to the Claims begin on page **7**.

Remarks begin on page **11**.

The Conclusion is on page **15**.

INTERVIEW SUMMARY

Applicant is appreciative of Examiner Cook for extending the courtesy of an examiner interview to Applicant's representatives, Angeline Babel (Quarles & Brady), Marjorie Moran and Phwey Gil (Wilson Sonsini Goodrich and Rosati), on December 15, 2021. During the interview, amendments and arguments consistent with those detailed herein were discussed.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/215,923	03/29/2021	Omid Farokhzad	129319.00770.BWH24258	6706

26710 7590 02/10/2022

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EXAMINER
COOK, LISA V

ART UNIT	PAPER NUMBER
1642	

NOTIFICATION DATE	DELIVERY MODE
02/10/2022	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

pat-dept@quarles.com

Office Action Summary	Application No. 17/215,923	Applicant(s) Farokhzad et al.	
	Examiner LISA V COOK	Art Unit 1642	AIA (FITF) Status Yes

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☑ Responsive to communication(s) filed on 12/22/21.

☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2a)☐ This action is **FINAL.** 2b) ☑ This action is non-final.

3)☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

4)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims*

5) ☑ Claim(s) 1 and 3-30 is/are pending in the application.

5a) Of the above claim(s) 6-9,14-18,20-22 and 28-29 is/are withdrawn from consideration.

6) ☐ Claim(s) _____ is/are allowed.

7) ☑ Claim(s) 1,3-5,10-13,19,23-27 and 30 is/are rejected.

8) ☐ Claim(s) _____ is/are objected to.

9) ☐ Claim(s) _____ are subject to restriction and/or election requirement

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to **PPHfeedback@uspto.gov.**

Application Papers

10)☐ The specification is objected to by the Examiner.

11)☐ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

a)☐ All b)☐ Some** c)☐ None of the:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☑ Notice of References Cited (PTO-892)

2) ☐ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date _____.

3) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date _____.

4) ☐ Other: _____.

Notice of Pre-AIA or AIA Status

The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

NON FINAL ACTION

Amendment Entry

1. Applicant's response to the Non-Final Action mailed 9/22/21 is acknowledged (paper filed 12/22/21). In the amendment filed therein claims 1 and 27 were modified. Claim 2 was canceled without prejudice or disclaimer.

2. Claims 6-9, 14-18, 20-22, and 28-29 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected species, there being no allowable generic or linking claim. Election was made **without** traverse in the reply filed on 8/14/20.

3. Currently claims 1, 3-5, 10-13, 19, 23-27, and 30 are under consideration.

4. Rejections and/or objections of record not reiterated herein have been withdrawn.

NEW GROUNDS OF REJECTIONS

Please Note: With regard to US Patent #10,866,242 (issued 12/15/20) the safe harbor provision of 35 U.S.C. § 121 against double patenting rejection does not apply in this case because the parent case was not pending at the time the instant application was filed (17/215,923 filed on 3/29/21). Thus, it is not a true Divisional of the parent case.

Claim Rejections - 35 USC § 103

5. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

A. Claims 1, 4, 5, 10, 23, 24, 26, and 30 is/are rejected under 35 U.S.C. 103 as being unpatentable over Hajipour et al. (Biomater. Sci., 2014, 2, 1210-1221) in view of Walkey, et al. (ACS Nano, 2014, 8, 2439-2455) and Farokhzad et al. (US Patent No. 10,866,242 B2).

Hajipour incubated two different nanoparticles ("NPs") (polystyrene and silica — having surfaces with different physicochemical properties) with plasma from human subjects with different diseases and determined a pattern of binding proteins that varied in a "personalized protein corona' ("PPC) manner correlated with the type of disease (abstract). Hajipour teaches that "we found that changes in plasma protein concentrations and structures (mediated by different disease states) affect the formation of the protein corona on a NP" (p. 1219). Hajipour defines a biomolecule fingerprint representative of the proteins that bind to the NPs and associates the fingerprint with the biological state — i.e. Fig. 2 SDS-PAGE of plasma proteins obtained from polystyrene and silica associated with breast cancer vs. healthy (the proteins are separated from the particles). Hajipour teaches identification of the proteins at 3.1.3 (page 1214) including albumin.

Hajipour et al. do not specifically teach the measurement of the biomolecule fingerprint by mass spectrometry (a method taught by the disclosure to measure a broad dynamic range of at least 6 magnitude – see section 00176).

However, Walkey teaches that protein corona fingerprinting of nanoparticles can be characterized by mass spectrometry (p. 2441-42) and Fig 1. Walkey also teaches on p. 2447 and in figure 6; that fingerprinting can be used to predict a variety of physiologically relevant markers:

"The protein corona fingerprinting strategy can be extended to predict the association of nanoparticles with other physiologically relevant cell types, such as endothelial cells, macrophages, and hepatocytes. Since nanoparticle--cell interactions determine downstream cellular responses, the protein corona finger print may also predict the activation of intracellular signaling cascades, cytokine secretion, gene expression, toxicity, and, by extension, *in vivo* pharmacokinetics, biodistribution, and organ response, Provided the appropriate models have been established, it is theoretically possible to predict multiple biological interactions and responses from a single characterization of the protein corona fingerprint. Moreover, since a protein corona forms around silica, metal oxide, lipid, and polymer nanoparticles, protein corona fingerprinting is applicable across nanoparticle classes,"

Thus, one of ordinary skill in the art following the teaching of Hajipour would reasonably consider applying the teaching of Walkey and utilize the well-known technique of characterizing proteins using mass spectrometry.

One of ordinary skill in the art would have a reasonable expectation of success in combining the references and arriving at the claimed invention because the prior art references both relate to the application of protein corona analysis in biological systems. Therefore, claims 1, 2, 4, 5, 10, 13, 23, 24, 26, and 30 are prima facie obvious.

The Supreme Court stated in KSR "if a technique has been used to improve one device, and a person of ordinary skill in the art would recognize that it would improve similar devices in the same way, using the technique is obvious unless its actual application is beyond that person's skill." KSR Intern. Co. v. Teleflex Inc., 127 S.Ct. 1727, 1731 (2007).

Because utilizing well-known mass spectrometry, NPs, and classification techniques is routinely used in methods similar to those claimed here, the application of the same technique would be obvious.

Although Hajipour et al. (Biomater. Sci., 2014, 2, 1210-1221) in view of Walkey, et al. (ACS Nano, 2014, 8, 2439-2455) teach mass spectrometry procedures they do not specifically recite the utility of an instrument to measure concentrations across a dynamic range of at least 6 orders of magnitude.

Farokhzad et al. disclose a sensor array that has a sensitivity and dynamic range of ten (10) orders of magnitude; allowing for protein detections in mass-spectrometry approaches. This novel sensor array has the ability to sample a greater dynamic range than has previously been achievable. The present sensor array allows for detection and determination of low abundant and rare proteins that we not previously able to be detected. See column 20 lines 20-32.

Additionally Farokhzad et al. disclose that their sensor array has the ability to detect proteins over ten order of magnitude which is a higher sensitivity than any previously described methods. The uniqueness of the present sensor array is found in the ability of the array to detect proteins regardless of the level of concentration within the sample.

The assay relies on the ability of the biomolecule (e.g. protein), known or unknown, within the sample to interact with the sensor elements, and to interact differently and at different amounts with different sensor elements and also to interact with the other biomolecules associated with the sensor element. This in turn, allows for there to be the ability to detect low-abundance and rare proteins even in the presence of high abundant proteins (such as albumin) within the sample. (See FIG. 12 of Example 1). One unique feature among others of the present sensor array is the ability of the present system to be able to detect low-abundant proteins and rare proteins. The present sensor array can be used to not only determine a disease state (no disease, pre-disease, or early and late stage disease) but in some cases to distinguish between subtypes of diseases (e.g. distinguish between different types of cancer, e.g. lung cancer, breast cancer, myeloma, etc). See column 39 lines 33-60.

It would have been *prima facie* obvious to one of ordinary skill in the art at the effective filing date of the instant invention to employ the sensor array that measures at least 6 orders of magnitude as exemplified by Farokhzad et al. in the methods of Hajipour et al. in view of Walkey et al. because Farokhzad et al. taught that blood plasma contains several thousands of different proteins with twelve orders of magnitude differences in the concentrations of these proteins. And their sensor array is able to detect changes within these blood samples over time or over disease states of the subject. Column 20 lines 33-45.

One of ordinary skill in the art would have been motivated to employ the sensor array of Farokhzad et al. for early disease detection and treatment.

B. Claims 3, 11, 12, 13, 19, 25, and 27 is/are rejected under 35 U.S.C. 103 as being unpatentable over Hajipour et al. (Biomater. Sci., 2014, 2, 1210-1221) in view of Walkey, et al. (ACS Nano, 2014, 8, 2439-2455) and Farokhzad et al. (US Patent No. 10,866,242 B2) and further in view of Hadjidemetriou et al. (Vol.9, No.8, pages 8142-8156, 2015).

Please see Hajipour et al. in view of Walkey et al. and Farokhzad et al. (US Patent No. 10,866,242 B2) as set forth above.

Hajipour et al. in view of Walkey et al. and Farokhzad et al. (US Patent No. 10,866,242 B2) do not specifically teach functionalizing the particles to measure proteins at concentrations > 1mg/ml and <10ng/ml, measuring ratios and coronas at least 15nm thick.

However, Hadjidemetrious et al. disclose that PEG coating had a minor effect on the molecular consistency of the protein coronas. The targeted liposome systems that contained a full IgG MoAb at the distal end of the PEG chains led to corona formation of higher total protein content. And the association of more proteins onto the surface of NPs in the presence of large molecular weight biological targeting ligands may also be relevant to other clinically used targeted, PEGylated constructs. See page 8152 2nd column, 1st paragraph.

In vivo protein corona formed onto intravenously injected, clinically used liposomes, based on the composition of the PEGylated liposomal formulation that constitutes the anticancer agent Doxil.

The formation of *in vivo* protein corona was determined after the recovery of the liposomes from the blood circulation of CD-1 mice 10 min postinjection (claim 13). In comparison, *in vitro* protein corona was formed by the incubation of liposomes in CD-1 mouse plasma. The *in vivo* and *in vitro* formed protein coronas were compared in terms of morphology, composition and cellular internalization. The protein coronas on bare (non-PEGylated) and monoclonal antibody (IgG) targeted liposomes of the same lipid composition were also comparatively investigated. A network of linear fibrillary structures constituted the *in vitro* formed protein corona, whereas the *in vivo* corona had a different morphology but did not appear to coat the liposome surface entirely. Even though the total amount of protein attached on circulating liposomes correlated with that observed from *in vitro* incubations, the variety of molecular species in the *in vivo* corona were considerably wider. Both *in vitro* and *in vivo* formed protein coronas were found to significantly reduce receptor binding and cellular internalization of antibody-conjugated liposomes; however, the *in vivo* corona formation did not lead to complete ablation of their targeting capability. See abstract.

With respect to proteins at concentrations > 1mg/ml and <10ng/ml, measuring ratios, and coronas at least 15nm thick; these limitations are found at least in figures 1, 2, and 5.

Therefore, it would have been *prima facie* obvious to one of ordinary skill in the art at the effective filing date of the instant invention to include the PEG functionalized nanoparticles taught by Hadjidemetriou et al. in the method of Hajipour et al. in view of Walkey et al. and Farokhzad et al. (US Patent No. 10,866,242 B2) because Hadjidemetriou et al. demonstrated that PEG coating had a minor effect on the molecular consistency of the protein coronas.

The targeted liposome systems that contained a full IgG MoAb at the distal end of the PEG chains led to corona formation of higher total protein content.

One skilled in the art would have been motivated to employ the functionalized PEG particles in order to achieve in vitro and/or in vivo NP's for protein analyzes and treatment. See Hadjidemetriou et al. abstract.

Response to Arguments

Applicant contends that the cited references do not teach or suggest a "dynamic range comprising at least 6 orders of magnitude". US Patent No. 10/866,242 has been added to teach the limitation.

Please Note: Examiner was unable to ascertain if the present claims were previously restricted in previous applications cited in the Obviousness Double Patenting rejections herein. Applicant is invited to show support of any restriction requirements that would invalidate the instant ODP rejections.

Double Patenting

6. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees.

A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s).

See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

7. Claims 1-5, 10, 23-24, 26, 27, and 30 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-26 of U.S. Patent No. 10,866,242.

Although the claims at issue are not identical, they are not patentably distinct from each other because both inventions are drawn to a methods of assaying biological samples wherein a plurality of particles with formed coronas are measured. The coronas are analyzed and employed to identify protein fingerprinting.

Therefore, the claims in US Patent #10,866,242 encompass the instantly claimed invention.

Response to Arguments

Applicant has requested that the rejection is held in abeyance. Accordingly the rejection in maintained.

8. For reasons aforementioned, no claims are allowed.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to LISA V COOK whose telephone number is (571)272-0816. The examiner can normally be reached on Monday-Friday from 9am to 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Misook Yu, can be reached at telephone number 571-272-0839. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://portal.uspto.gov/external/portal. Should you have questions about access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

Lisa V. Cook
Patent Examiner
Art Unit 1642
Remsen
571-272-0816
2/7/22

/LISA V COOK/
Primary Examiner, Art Unit 1642

Notice of References Cited	Application/Control No. 17/215,923	Applicant(s)/Patent Under Reexamination Farokhzad et al.	
	Examiner LISA V COOK	Art Unit 1642	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	CPC Classification	US Classification
*	A	US-10866242-B2	12-2020	Farokhzad; Omid C.	G01N33/6848	1/1
	B					
	C					
	D					
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FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	CPC Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
*	U	Hajipour et al. (Biomater. Sci., 2014, 2, 1210-1221) (Year: 2014)
*	V	Walkey, et al. (ACS Nano, 2014, 8, 2439-2455) (Year: 2014)
*	W	Hadjidemetriou et al. (Vol.9, No.8, pages 8142-8156, 2015). (Year: 2015)
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	17/215,923	Farokhzad et al.
	Examiner	Art Unit
	LISA V COOK	1642

CPC - Searched*		
Symbol	**Date**	**Examiner**
G01N 31/00, 33/53, 33/57488; G06F19/24 (text search only)	09/19/2021	LVCook
Updated as above	02/07/2022	LVCook

CPC Combination Sets - Searched*		
Symbol	**Date**	**Examiner**

US Classification - Searched*			
Class	**Subclass**	**Date**	**Examiner**
435	7.1, 7.21, 283.1 (text search only)	09/19/2021	LVCook
436	501, 518 (text search only)	09/19/2021	LVCook
424	9.1, 130.1, 520 (text search only)	09/19/2021	LVCook
422	430 (text search only)	09/19/2021	LVCook
530	300, 350 (text search only)	09/19/2021	LVCook
	Updated as above	02/07/2022	LVCook

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

/LISA V COOK/ Primary Examiner, Art Unit 1642	

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	17/215,923	Farokhzad et al.
	Examiner	Art Unit
	LISA V COOK	1642

Search Notes		
Search Notes	**Date**	**Examiner**
Palm inventor name search	09/19/2021	LVCook
Google - keyword search	09/19/2021	LVCook
East patent database search	09/19/2021	LVCook
STN-biosis, caplus, embase, medline, japio	09/19/2021	LVCook
Related Applications - 17/099,331 and 15/880,627 now Patent #10,866,242	09/19/2021	LVCook
Updated as above	02/07/2022	LVCook

Interference Search			
US Class/CPC Symbol	**US Subclass/CPC Group**	**Date**	**Examiner**

/LISA V COOK/ Primary Examiner, Art Unit 1642	

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Index of Claims	Application/Control No.	Applicant(s)/Patent Under Reexamination
	17/215,923	Farokhzad et al.
	Examiner	Art Unit
	LISA V COOK	1642

✓	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	O	Objected

CLAIMS

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

CLAIM		DATE								
Final	Original	06/16/2021	09/19/2021	02/07/2022						
	1	÷	✓	✓						
	2	÷	✓	-						
	3	÷	✓	✓						
	4	÷	✓	✓						
	5	÷	✓	✓						
	6	÷	N	N						
	7	÷	N	N						
	8	÷	N	N						
	9	÷	N	N						
	10	÷	✓	✓						
	11	÷	✓	✓						
	12	÷	✓	✓						
	13	÷	✓	✓						
	14	÷	N	N						
	15	÷	N	N						
	16	÷	N	N						
	17	÷	N	N						
	18	÷	N	N						
	19	÷	✓	✓						
	20	÷	N	N						
	21	÷	N	N						
	22	÷	N	N						
	23	÷	✓	✓						
	24	÷	✓	✓						
	25	÷	✓	✓						
	26	÷	✓	✓						
	27	÷	✓	✓						
	28	÷	N	N						
	29	÷	N	N						
	30	÷	✓	✓						

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	2	("10866242").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2022/02/07 18:11
L2	0	("l1andmagnitude").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2022/02/07 18:14
L3	1	l1 and magnitude	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2022/02/07 18:14

UNITED STATES PATENT AND TRADEMARK OFFICE

USPTO Automated Interview Request (AIR)

Apr 12 2022

This paper requesting to schedule and/or conduct an interview is appropriate because:

This submission is requested to be accepted as an authorization for this interview to communicate via the internet. Recognizing that Internet communications are not secure, I hereby authorize the USPTO to communicate with the undersigned concerning scheduling of the interview via video conference, instant messaging, or electronic mail, and to conduct the interview in accordance with office practice including video conferencing.

Name(s):
Angeline Babel (further names of participants will be provided in an agenda)

S-signature:
/Angeline Babel/

Registration Number:
60886

U.S. Application Number:
17215923

Confirmation Number:
6706

E-mail Address:
angeline.babel@quarles.com

Phone Number:
+1 6082832453

Proposed Time of Interview:
4-20-2022 11:00 AM ET

Alternative Proposed Time(s) of Interview:
4-20-2022 12:00 PM ET

Alternative Proposed Time(s) of Interview:
4-21-2022 3:00 PM ET

Prefered Interview Type:
Video Conference

I am the applicant or applicant's representative for this application.

Topic for Discussion:
Discuss the 103 rejections.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/215,923	03/29/2021	Omid Farokhzad	129319.00770.BWH24258	6706

26710 7590 04/26/2022

QUARLES & BRADY LLP
ATTN: IP DOCKET
411 E. WISCONSIN AVENUE
SUITE 2400
MILWAUKEE, WI 53202-4428

EXAMINER
COOK, LISA V

ART UNIT	PAPER NUMBER
1642	

NOTIFICATION DATE	DELIVERY MODE
04/26/2022	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

pat-dept@quarles.com

Applicant-Initiated Interview Summary	**Application No.** 17/215,923	**Applicant(s)** Farokhzad et al.		
	Examiner LISA V COOK	**Art Unit** 1642	**AIA (First Inventor to File) Status** Yes	**Page** 1 of 2

All Participants (applicant, applicants representative, PTO personnel)	**Title**	**Type**
LISA V COOK	Primary Examiner	WebEx/Video Conference
ANGELINE BABEL (REG NO. 60886)	Attorney of Record	
BRUCE KISLIUK	Attorney	
PHWEY GIL (DAN)	Attorney	

Date of Interview: 21 April 2022

Issues Discussed:

35 U.S.C. 103

Applicant (Bruce Kisliuk) contends that US Patent No. 10,866,242 does not qualify as prior art under 35 USC 102(a)(1) - 35 USC 102(a)(2) - or 35 USC 102(b)(c). In particular, Applicant argues that US Patent No. 10,866,242 has an effective filing date of 6/2018 which is 18 months prior to the instantly filed invention and is therefore ineligible under 102(a)(1); the patent is not eligible under 102(a)(2) because the statue is directed to another and the instant invention is the same inventor with the same priority; and the patent is not eligible under 102(b)(c) because of common inventorship (commonly owned). As such the patent can not be utilized in the obvious rejection under 35 USC 103. Examiner Cook will discuss the case with SPE Yu and conduct an updated search.

Non-statutory Double Patenting

Applicant will file a Terminal Disclaimer over US Patent No. 10,866,242.

/LISA V COOK/ Primary Examiner, Art Unit 1642	

Applicant is reminded that a complete written statement as to the substance of the interview must be made of record in the application file. It is the applicants responsibility to provide the written statement, unless the interview was initiated by the Examiner and the Examiner has indicated that a written summary will be provided. See MPEP 713.04
Please further see:
MPEP 713.04
Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews, paragraph (b)
37 CFR § 1.2 Business to be transacted in writing

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general

PREOMICS EXHIBIT 1002
Page 1751 of 1861

indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

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DO NOT ENTER-FOR DISCUSSION PURPOSES ONLY

US App. 17/215,923
Examiner Lisa Cook
Attorney Docket No: 129319.00770.BWH24258

Interview Agenda

Interview Date: Thursday, April 21, 2022, 4:00 PM Eastern/1:00 PM Pacific
Dial in Information: [[Microsoft Teams Conference]]

Attendees: Examiner Lisa Cook; Applicant's representatives Angeline Babel (Quarles & Brady), Bruce Kisliuk and Phwey Gil (Wilson Sonsini Goodrich & Rosati)

- Background Information
- Discuss rejection of the claims under 35 U.S.C. § 103 in light of:
    - Hajipour (*Biomater. Sci.*, 2014)
    - Walkey (*ACS Nano*, 2014)
    - Farokhzad (US10,866,242)
- Discuss Non-Statutory Double Patenting

From: Babel, Angeline
To: Cook, Lisa
Subject: Agenda for Examiner Interview 4/21- 4PMEST Application No. 17/215,923 [QBLLP-ACTIVE.FID41551624]
Date: Wednesday, April 20, 2022 5:56:44 PM
Attachments: BWH US Appl 17215923 Interview Agenda.docx

CAUTION: This email has originated from a source outside of USPTO. **PLEASE CONSIDER THE SOURCE** before responding, clicking on links, or opening attachments.

Dear Examiner Cook,
Thank you for scheduling the interview tomorrow. Attached is the agenda with participants.
Look forward to speaking to you.
Angeline



Angeline-Rose Babel, PhD / Partner
Angeline.Babel@quarles.com / LinkedIn BIO vCard
Quarles & Brady LLP
33 East Main Street, Suite 900 / Madison, WI 53703-3095
Office 608-283-2453 / quarles.com
Assistant Tracy Christianson 608-283-2652

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REMARKS

35 U.S.C. 103

The Office alleges that claims 1, 4, 5, 10, 23, 24, 26, and 30 are obvious over Hajipour et al. (Biomater. Sci., 2014, 2, 1210-1221) in view of Walkey et al. (ACS Nano, 2014, 8, 2439-2455) and Farokhzad et al. (US Patent No. 10,866,242)

In the Non-Final Office Action mailed on February 10, 2022, the Office acknowledges that Hajipour & Walkey "do not specifically recite the utility of an instrument to measure concentrations across a dynamic range of at least 6 orders of magnitude." *See Page 5, Par. 3*

The Office cites to Farokhzad et al. to cure this deficiency, alleging that "Farokhzad et al. has the ability to sample a greater dynamic range than has previously been achievable." Office Action at 5. The Office concludes that "one of ordinary skill in the art at the effective filing date of the instant invention [would have] employ[ed] the sensor array that measures at least 6 orders of magnitude as exemplified by Farokhzad et al. in the methods of Hajjipour et al. in view of Walkey et al." specifically because of the cited teachings of Farokhzad et al. *Id.* at 6. However, the Office fails to establish a *prima facie* case of obviousness because Farokhzad is not prior art against the instant claims. Applicant respectfully submits instead that Farokhzad et al. (US Patent No. 10,866,242) is not an eligible prior art reference under either 35 U.S.C. 102(a)(1) or 102(a)(2).

Farokhzad et al. is not an eligible prior art reference under 35 U.S.C. 102(a)(1) because the earliest publication of Farokhzad et al. was June 21, 2018, which is about 18 months after the earliest effective filing date of the present application, which is December 16, 2016.

Farokhzad et al. is also not an eligible prior art reference under 35 U.S.C. 102(a)(2) because 102(a)(2) requires that the reference "names another inventor and was effectively filed before the effective filing date of the claimed invention." Farokhzad et al. does not name "another inventor" compared to the present application.

Applicant submits that Farokhzad et al. is in the same priority chain as the present application, and therefore is not before the effective filing date of the present application. The effective filing date of both applications are the same.

Additionally, as can be seen from the USPTO record of the Applicant in both files, Farokhzad et al. and the present applications are commonly-owned and therefore the exception under 35 U.S.C. 102(b)(2(C) applies. Further, the present application is derived from the exact same inventive entity, so the exception under 35 U.S.C. 102(b)(2)(A) would also apply.

For the reasons provided above, Applicant respectfully submits that the obviousness rejection of claims 1, 4, 5, 10, 23, 24, 26, and 30 be withdrawn.

Double Patenting

Claims 1-5, 10, 23-24, 26, 27, and 30 were rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-26 of U.S. Patent No. 10,866,242.

Without conceding in the basis of the rejection, and solely to expedite prosecution, Applicant submits a terminal disclaimer herein.

THE CONCLUSION

Applicant believes the claims are now in condition for allowance. If any matters remain outstanding, Applicant requests the Examiner reach out to the Attorney of Record at the number below to try and expedite any further correspondence.

Deposit Account Authorization

No fees are believed to be necessary, but the Commissioner is authorized to charge any fees due under 37 C.F.R. 1.17 to Deposit Account No. 17-0055. The Commissioner is also authorized to treat this amendment and any future reply in this matter requiring a petition for an extension of time as incorporating a petition for extension of time for the appropriate length of time as provided by 37 CFR 1.136(a)(3); however, the Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees, except issue fees, which may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 17-0055.

Respectfully submitted,

QUARLES & BRADY LLP
33 EAST MAIN STREET, SUITE 900
MADISON, WI 53703-3095
(608) 283-2453

Date: May 6, 2022

By: /Angeline R. Babel/
Angeline R. Babel, PhD
Registration No. 60,886

Doc Code: DIST.E.FILE Document Description: Electronic Terminal Disclaimer - Filed	PTO/SB/26 U.S. Patent and Trademark Office Department of Commerce

Electronic Petition Request	**TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT**
Application Number	17215923
Filing Date	29-Mar-2021
First Named Inventor	Omid Farokhzad
Attorney Docket Number	129319.00770.BWH24258
Title of Invention	SYSTEM AND SENSOR ARRAY

☒ Filing of terminal disclaimer does not obviate requirement for response under 37 CFR 1.111 to outstanding Office Action

☒ This electronic Terminal Disclaimer is not being used for a Joint Research Agreement.

Owner	Percent Interest
The Brigham and Women's Hospital, Inc.	100%

The owner(s) with percent interest listed above in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of prior patent number(s)

10866242

as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later:
- expires for failure to pay a maintenance fee;
- is held unenforceable;
- is found invalid by a court of competent jurisdiction;
- is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
- has all claims canceled by a reexamination certificate;
- is reissued; or
- is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

◉ Terminal disclaimer fee under 37 CFR 1.20(d) is included with Electronic Terminal Disclaimer request.

○	I certify, in accordance with 37 CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d) required for this terminal disclaimer has already been paid in the above-identified application.

Applicant claims the following fee status:

◉ Small Entity

○ Micro Entity

○ Regular Undiscounted

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES

I certify, in accordance with 37 CFR 1.4(d)(4) that I am:

◉ An attorney or agent registered to practice before the Patent and Trademark Office who is of record in this application

Registration Number 60886

○ A sole inventor

○ A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application

○ A joint inventor; all of whom are signing this request

Signature	/Angeline R Babel/
Name	Angeline R Babel

*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).
Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

Doc Code: DISQ.E.FILE
Document Description: Electronic Terminal Disclaimer – Approved

Application No.: 17215923

Filing Date: 29-Mar-2021

Applicant/Patent under Reexamination: Farokhzad

Electronic Terminal Disclaimer filed on May 6, 2022

☒ APPROVED

This patent is subject to a terminal disclaimer

☐ DISAPPROVED

Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web

U.S. Patent and Trademark Office

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	The Brigham and Women's Hospital, Inc.	*Confirmation No. 6706*
Serial No.:	17/215,923	**CERTIFICATE OF EFS FILING**
Filed:	March 29, 2021	I hereby certify that this correspondence is being electronically transmitted to the United States Patent and Trademark Office, Commissioner for Patents, via the EFS on the date below.
For:	SYSTEM AND SENSOR ARRAY	May 6, 2022
Group Art Unit:	1642	/Debra M. Szumowski/ Debra M. Szumowski
Examiner:	Cook, Lisa V	

RESPONSE TO NON-FINAL OFFICE ACTION

Dear Commissioner:

This paper responds to the Non-Final Office Action mailed February 10, 2022, setting an initial due date of May 10, 2022. Applicants have replied within the shortened statutory period for reply. Accordingly, this response is timely filed, and no fees are believed to be due.

The USPTO is authorized to charge any fees due in this application to Deposit Account No. 17-0055.

Interview Summary begins on page **2**.

Remarks begin on page **3**.

The Conclusion is on page **5**.

INTERVIEW SUMMARY

Applicant is appreciative of Examiner Cook for extending the courtesy of an examiner interview to Applicant's representatives, Angeline Babel (Quarles & Brady, Reg. #60,886), Bruce Kisliuk and Phwey Gil (Wilson Sonsini Goodrich and Rosati), on April 21, 2022. During the interview, arguments consistent with those detailed herein were discussed.

PREOMICS EXHIBIT 1002
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PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	17215923
Filing Date	2021-03-29
First Named Inventor	Omid Farokhzad
Art Unit	1642
Examiner Name	Lisa V Cook
Attorney Docket Number	129319.00770.BWH24258

U.S.PATENTS Remove

Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	7375234		2008-05-20	SHARPLESS ET AL.	

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Examiner Initial*	Cite No	Publication Number	Kind Code[1]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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Examiner Initial*	Cite No	Foreign Document Number[3]	Country Code[2] i	Kind Code[4]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T[5]
	1							

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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T[5]

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	17215923
	Filing Date	2021-03-29
	First Named Inventor	Omid Farokhzad
	Art Unit	1642
	Examiner Name	Lisa V Cook
	Attorney Docket Number	129319.00770.BWH24258

	1	AGASTI et al. Adv Drug Deliv Rev. 2010 March 8; 62(3): 316-328. 2010.	
	2	ANDRIOLE, G. L., et al. "Mortality results from a randomized prostate-cancer screening trial." New England Journal of Medicine 360.13 (2009): 1310-1319.	
	3	BALLY, M., et al. "ALLY, M., et al. "Liposome and lipid bilayer arrays towards biosensing applications." Small 6.22 (2010): 2481-2497.	
	4	BIGBEE W, et al. Tumor markers and immunodiagnosis. Chapter 13 In: Bast RC Jr., Kufe DW, Pollock RE, et al., editors. Cancer Medicine. 6th ed. Hamilton, Ontario, Canada: BC Decker Inc., 2003;	
	5	BUYS SS, et al. Effect of screening on ovarian cancer mortality: the Prostate, Lung, Colorectal and Ovarian (PLCO) Cancer Screening Randomized Controlled Trial. JAMA 2011; 305(22):2295-2303;	
	6	CHINTAMANENI, M. et al. "Biomarkers in Alzheimer's disease: a review." ISRN pharmacology 2012 (2012): 984786.	
	7	CRAMER, D. W., et al. "Ovarian cancer biomarker performance in prostate, lung, colorectal, and ovarian cancer screening trial specimens." Cancer prevention research 4.3 (2011): 365-374.	
	8	EUROPEAN PATENT OFFICE. Partial Supplementary European Search Report for application 17881767.2. Mailed on April 21, 2020.	
	9	FENGMING, Y. et al. "Biomarkers of inflammatory bowel disease." Disease markers 2014 (2014).	
	10	MAHMOUDI et al. "Emerging understanding of the protein corona at the nano-bio interfaces" Nanotoday 11(6) Dec. 2016, pp. 817-832	
	11	MAHMOUDI et al. "Protein-Nanoparticle Interactions: Opportunities and Challenges" Chem. Rev., 2011, 111(9), pp. 5610-5637,	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	17215923
	Filing Date	2021-03-29
	First Named Inventor	Omid Farokhzad
	Art Unit	1642
	Examiner Name	Lisa V Cook
	Attorney Docket Number	129319.00770.BWH24258

	12	MILANI et al. "Reversible versus Irreversible Binding of Transferring to Polystyrene Nanoparticles: Soft and Hard Corona" ACS NANO, 2012, 6(3), pp. 2532-2541;	
	13	MIOTTO ET AL. "Protein corona as a proteome fingerprint: The example of hidden biomarkers for cow mastitis", Colloids and Surface, 140(19): 40-49 (2015).	
	14	MIRSHAFIEE et al. "Impact of protein pre-coating on the protein corona composition and nanoparticle cellular uptake" Biomaterials vol. 75, Jan 2016 pp. 295-304,	
	15	RAHMAN, M. et al. Protein-Nanoparticle Interactions, Spring Series in Biophysics 15, 2013	
	16	SACANNA, S. et al. "Thermodynamically stable pickering emulsions." Physical review letters 98.15 (2007): 158301.	
	17	SCHRITTWIESER ET AL., "Direct protein quantification in complex sample solutions by surface-engineered nanorod probes", SCIENTIFIC REPORTS, vol. 7, no. 1, 6 July 2017	
	18	SCHRÖDER FH, et al. Screening and prostate-cancer mortality in a randomized European study. New England Journal of Medicine 2009; 360(13):1320-1328;	
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	Filing Date	2021-03-29
	First Named Inventor	Omid Farokhzad
	Art Unit	1642
	Examiner Name	Lisa V Cook
	Attorney Docket Number	129319.00770.BWH24258

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Name/Print	Angeline R. Babel	Registration Number	60,886

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	Examiner Name	Lisa V Cook
	Attorney Docket Number	129319.00770.BWH24258

	34	U.S. Application No. 17/215,978 Final Office Action dated February 22, 2022	
	35	U.S. Serial No, 17/099,331Office Action dated August 17, 2021	
	36	U.S. Serial No. 15/880,627 Notice of Allowance dated September 18, 2020	
	37	U.S. Serial No. 15/880,627 Office Action dated December 18, 2018	
	38	U.S. Serial No. 15/880,627 Office Action dated January 30, 2020	
	39	U.S. Serial No. 15/880,627 Office Action dated June 18, 2019	
	40	U.S. Serial No. 15/880,627 Office Action dated June 20, 2018	
	41	U.S. Serial No. 17/099,331 Office Action dated April 20, 2021	
	42	U.S. Serial No. 17/215,966 Office Action September 23, 2021	
	43	U.S. Serial No. 17/215,978 Office Action dated June 10, 2021	
	44	U.S. Serial No. 17/215,978 Office Action dated October 8, 2021	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	17215923
	Filing Date	2021-03-29
	First Named Inventor	Omid Farokhzad
	Art Unit	1642
	Examiner Name	Lisa V Cook
	Attorney Docket Number	129319.00770.BWH24258

	45	Ueda, K., et al. A comprehensive peptidome profiling technology for the identification of early detection biomarkers for lung adenocarcinoma. PLoS One 6, e18567 (2011).
	46	VAN HONG NGUYEN, et al. Protein corona: a new approach for nanomedicine design. International journal of nanomedicine 12 (April 18, 2017): 3137-3151
	47	VELSTRA et al.: Improved classification of breast cancer peptide and protein profiles by combining two serum workup procedures. J Cancer Res Clin Oncol. 138(12):1983-1992 doi:10.1007/s00432-012-1273-4 (2012)
	48	Vilanova, O., et al. Understanding the Kinetics of Protein-Nanoparticle Corona Formation. ACS Nano 10, 10842-10850 (2016).
	49	VILLANUEVA et al.: Automated serum peptide profiling. Nat Protoc. 1(2):880-891 doi:10.1038/nprot.2006.128 (2006)
	50	VILLANUEVA et al.: Differential exoprotease activities confer tumor-specific serum peptidome patterns. J Clin Invest. 116(1):271-284 doi:10.1172/JCI26022 (2006)

If you wish to add additional non-patent literature document citation information please click the Add button Add

EXAMINER SIGNATURE

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	17215923
	Filing Date	2021-03-29
	First Named Inventor	Omid Farokhzad
	Art Unit	1642
	Examiner Name	Lisa V Cook
	Attorney Docket Number	129319.00770.BWH24258

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

☒ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	DRAFT	Date (YYYY-MM-DD)	2022-05-20
Name/Print	Angeline R. Babel	Registration Number	60,886

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.

2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).

5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

26710 7590 05/26/2022

QUARLES & BRADY LLP
ATTN: IP DOCKET
411 E. WISCONSIN AVENUE
SUITE 2400
MILWAUKEE, WI 53202-4428

EXAMINER
COOK, LISA V

ART UNIT	PAPER NUMBER
1642	

DATE MAILED: 05/26/2022

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/215,923	03/29/2021	Omid Farokhzad	129319.00770.BWH24258	6706

TITLE OF INVENTION: SYSTEM AND SENSOR ARRAY

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	$600	$0.00	$0.00	$600	08/26/2022

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

By fax, send to: (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

26710 7590 05/26/2022

QUARLES & BRADY LLP
ATTN: IP DOCKET
411 E. WISCONSIN AVENUE
SUITE 2400
MILWAUKEE, WI 53202-4428

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.

(Typed or printed name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/215,923	03/29/2021	Omid Farokhzad	129319.00770.BWH24258	6706

TITLE OF INVENTION: SYSTEM AND SENSOR ARRAY

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	$600	$0.00	$0.00	$600	08/26/2022

EXAMINER	ART UNIT	CLASS-SUBCLASS
COOK, LISA V	1642	435-007210

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

❑ Change of correspondence address (or Change of Correspondence Address form PTO/AIA/122 or PTO/SB/122) attached.

❑ "Fee Address" indication (or "Fee Address" Indication form PTO/AIA/47 or PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list
(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 _____
2 _____
3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) : ❑ Individual ❑ Corporation or other private group entity ❑ Government

4a. Fees submitted: ❑ Issue Fee ❑ Publication Fee (if required) ❑ Advance Order - # of Copies _____

4b. Method of Payment: *(Please first reapply any previously paid fee shown above)*

❑ Electronic Payment via EFS-Web ❑ Enclosed check ❑ Non-electronic payment by credit card (Attach form PTO-2038)

❑ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. _____

5. **Change in Entity Status** (from status indicated above)

❑ Applicant certifying micro entity status. See 37 CFR 1.29

❑ Applicant asserting small entity status. See 37 CFR 1.27

❑ Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.
NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _____ Date _____

Typed or printed name _____ Registration No. _____

PREOMICS EXHIBIT 1002
Page 1797 of 1861

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/215,923	03/29/2021	Omid Farokhzad	129319.00770.BWH24258	6706

26710 7590 05/26/2022

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411 E. WISCONSIN AVENUE
SUITE 2400
MILWAUKEE, WI 53202-4428

EXAMINER	
COOK, LISA V	

ART UNIT	PAPER NUMBER
1642	

DATE MAILED: 05/26/2022

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Notice of Allowability	Application No. 17/215,923	Applicant(s) Farokhzad et al.	
	Examiner LISA V COOK	Art Unit 1642	AIA (FITF) Status Yes

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☑ This communication is responsive to the reply filed 5/6/22.
   ☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

3. ☑ The allowed claim(s) is/are 1 and 3-30 (renumbered 1-29 respectively) . As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see **http://www.uspto.gov/patents/init_events/pph/index.jsp** or send an inquiry to **PPHfeedback@uspto.gov.**

4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   Certified copies:

   a) ☐ All b) ☐ Some* c) ☐ None of the:

   1. ☐ Certified copies of the priority documents have been received.
   2. ☐ Certified copies of the priority documents have been received in Application No. _____ .
   3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

   * Certified copies not received: _____ .

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
   ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____ .

   Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____.
3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material _____.
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date. _____.
5. ☐ Examiner's Amendment/Comment
6. ☑ Examiner's Statement of Reasons for Allowance
7. ☐ Other _____.

/LISA V COOK/
Primary Examiner, Art Unit 1642

Notice of Pre-AIA or AIA Status

The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

REASONS FOR ALLOWANCE

1. The following is an examiner's statement of reasons for allowance: The instant method requires measuring a subset of particles comprising coronas from a biological sample wherein the proteins are detected with an instrument that measures concentration across a dynamic range of at least 6 orders of magnitude. The closest prior art is US Patent Number 10,866,242. A Terminal Disclaimer has been filed over US Patent Number 10,866,242. Accordingly claims 1, 3-5, 10-13, and 19-30 are allowed. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

CLAIM REJOINDER

2. Claims 1, 3-5, 10-13, and 19-30 are allowable. Claims 6-9 and 14-18 previously withdrawn from consideration as a result of a restriction requirement, require all the limitations of an allowable claim.

Pursuant to the procedures set forth in MPEP § 821.04(a), **the restriction requirement as set forth in the Office action mailed on 6/21/21 is hereby withdrawn** and claims 6-9 and 14-18 are hereby rejoined and fully examined for patentability under 37 CFR 1.104.

In view of the withdrawal of the restriction requirement, applicant(s) are advised that if any claim presented in a continuation or divisional application is anticipated by, or includes all the limitations of, a claim that is allowable in the present application, such claim may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application.

Once the restriction requirement is withdrawn, the provisions of 35 U.S.C. 121 are no longer applicable. See *In re Ziegler*, 443 F.2d 1211, 1215, 170 USPQ 129, 131-32 (CCPA 1971). See also MPEP § 804.01.

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lisa Cook whose telephone number is 571-272-0816. The examiner works a flexible schedule but can normally be reached on Monday-Friday from 8am to 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Misook Yu, can be reached at telephone number 571-272-0839. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://portal.uspto.gov/external/portal.

Should you have questions about access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

Lisa V. Cook
Art Unit 1642
Remsen - Hoteling
(571) 272-0816
5/18/22

/LISA V COOK/
Primary Examiner, Art Unit 1642

Index of Claims	Application/Control No.	Applicant(s)/Patent Under Reexamination
	17/215,923	Farokhzad et al.
	Examiner	**Art Unit**
	LISA V COOK	1642

✓	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	O	Objected

CLAIMS

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

CLAIM		DATE								
Final	Original	06/16/2021	09/19/2021	02/07/2022	05/18/2022					
1	1	÷	✓	✓	=					
	2	÷	✓	-	-					
2	3	÷	✓	✓	=					
3	4	÷	✓	✓	=					
4	5	÷	✓	✓	=					
5	6	÷	N	N	=					
6	7	÷	N	N	=					
7	8	÷	N	N	=					
8	9	÷	N	N	=					
9	10	÷	✓	✓	=					
10	11	÷	✓	✓	=					
11	12	÷	✓	✓	=					
12	13	÷	✓	✓	=					
13	14	÷	N	N	=					
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15	16	÷	N	N	=					
16	17	÷	N	N	=					
17	18	÷	N	N	=					
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19	20	÷	N	N	=					
20	21	÷	N	N	=					
21	22	÷	N	N	=					
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23	24	÷	✓	✓	=					
24	25	÷	✓	✓	=					
25	26	÷	✓	✓	=					
26	27	÷	✓	✓	=					
27	28	÷	N	N	=					
28	29	÷	N	N	=					
29	30	÷	✓	✓	=					

Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	17/215,923	Farokhzad et al.
	Examiner	**Art Unit**
	LISA V COOK	1642

CPC						
Symbol					**Type**	**Version**
G01N	/	33	/	6848	F	2013-01-01
C01G	/	49	/	02	I	2013-01-01
G06K	/	9	/	00563	I	2013-01-01
G06N	/	20	/	20	I	2019-01-01
G06K	/	9	/	6267	I	2013-01-01
G01N	/	33	/	5432	I	2013-01-01
G01N	/	33	/	54326	I	2013-01-01
G01N	/	33	/	6842	I	2013-01-01
G16B	/	40	/	20	I	2019-02-01
G01N	/	33	/	57488	I	2013-01-01
C01P	/	2004	/	64	A	2013-01-01
B82Y	/	35	/	00	A	2013-01-01

CPC Combination Sets							
Symbol					**Type**	**Set**	**Ranking**	**Version**
	/		/					

NONE		**Total Claims Allowed:**	
(Assistant Examiner)	(Date)	29	
/LISA V COOK/ Primary Examiner, Art Unit 1642	18 May 2022	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	NONE

U.S. Patent and Trademark Office

Part of Paper No.: 20220513

PREOMICS EXHIBIT 1002
Page 1805 of 1861

Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	17/215,923	Farokhzad et al.
	Examiner	**Art Unit**
	LISA V COOK	1642

INTERNATIONAL CLASSIFICATION					
CLAIMED					
G01N	/	31	/	00	
G01N	/	33	/	53	

NON-CLAIMED				
	/		/	

US ORIGINAL CLASSIFICATION	
CLASS	**SUBCLASS**
435	7.21

CROSS REFERENCES(S)						
CLASS	**SUBCLASS (ONE SUBCLASS PER BLOCK)**					
435	7.1	283.1				
436	501	518				
424	9.1	130.1	520			
422	430					
530	300	350				

NONE		**Total Claims Allowed:**	
(Assistant Examiner)	(Date)	29	
/LISA V COOK/ Primary Examiner, Art Unit 1642	18 May 2022	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	NONE

U.S. Patent and Trademark Office

Part of Paper No.: 20220513

PREOMICS EXHIBIT 1002
Page 1806 of 1861

Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	17/215,923	Farokhzad et al.
	Examiner	**Art Unit**
	LISA V COOK	1642

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47

CLAIMS

Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1	9	10	18	19	27	28								
	2	10	11	19	20	28	29								
2	3	11	12	20	21	29	30								
3	4	12	13	21	22										
4	5	13	14	22	23										
5	6	14	15	23	24										
6	7	15	16	24	25										
7	8	16	17	25	26										
8	9	17	18	26	27										

NONE		**Total Claims Allowed:**	
(Assistant Examiner)	(Date)	29	
/LISA V COOK/ Primary Examiner, Art Unit 1642	18 May 2022	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	NONE

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PREOMICS EXHIBIT 1002
Page 1807 of 1861

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	17/215,923	Farokhzad et al.
	Examiner	Art Unit
	LISA V COOK	1642

CPC - Searched*		
Symbol	**Date**	**Examiner**
G01N 31/00, 33/53, 33/57488; G06F19/24 (text search only)	09/19/2021	LVCook
Updated as above	02/07/2022	LVCook
Updated as above	05/18/2022	LVCook

CPC Combination Sets - Searched*		
Symbol	**Date**	**Examiner**

US Classification - Searched*			
Class	**Subclass**	**Date**	**Examiner**
435	7.1, 7.21, 283.1 (text search only)	09/19/2021	LVCook
436	501, 518 (text search only)	09/19/2021	LVCook
424	9.1, 130.1, 520 (text search only)	09/19/2021	LVCook
422	430 (text search only)	09/19/2021	LVCook
530	300, 350 (text search only)	09/19/2021	LVCook
	Updated as above	02/07/2022	LVCook
	Updated as above	05/18/2022	LVCook

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

/LISA V COOK/ Primary Examiner, Art Unit 1642	

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	17/215,923	Farokhzad et al.
	Examiner	Art Unit
	LISA V COOK	1642

Search Notes		
Search Notes	**Date**	**Examiner**
Palm inventor name search	09/19/2021	LVCook
Google - keyword search	09/19/2021	LVCook
East patent database search	09/19/2021	LVCook
STN-biosis, caplus, embase, medline, japio	09/19/2021	LVCook
Related Applications - 17/099,331 and 15/880,627 now Patent #10,866,242	09/19/2021	LVCook
Updated as above	02/07/2022	LVCook
Updated as above	05/18/2022	LVCook

Interference Search			
US Class/CPC Symbol	**US Subclass/CPC Group**	**Date**	**Examiner**
435	7.1, 7.21, 283.1 (text search only)	05/18/2022	LVCook
436	501, 518 (text search only)	05/18/2022	LVCook
424	9.1, 130.1, 520 (text search only)	05/18/2022	LVCook
422	430 (text search only)	05/18/2022	LVCook
530	300, 350 (text search only)	05/18/2022	LVCook

/LISA V COOK/ Primary Examiner, Art Unit 1642	

PE2E SEARCH - Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	British Equivalents	Time Stamp
L8	17	"10866242"	(US-PGPUB; USPAT; USOCR; FIT (CN, FR, WO); FPRS; EPO; JPO; DERWENT; IBM_TDB)	OR	ON	ON	2022/05/18 11:08 PM
L9	49	(Artificial Intelligence) More Like: CN-113743460-A Text: (CN-114266370-A OR CN-106569030-A OR CN-113762328-A OR CN-108509537-B OR CN-112418355-A OR CN-105677791-A OR CN-112200260-A OR CN-110570122-A OR CN-110263945-A OR CN-111628531-A OR CN-113742543-A OR CN-113779892-A OR CN-113987724-A OR CN-113705772-A OR CN-107169628-B OR CN-108509537-A OR CN-112085272-A OR CN-103093097-A OR CN-112966930-A OR US-8670782-B2).did.	(US-PGPUB; USPAT; USOCR; FIT (AU, AP, AT, BE, BG, BR, BY, CA, CH, CN, CS, CU, CZ, DD, DE, DK, EA, EE, EP, ES, FI, FR, GB, HR, HU, ID, IE, IL, IS, IT, JP, KR, LT, LU, LV, MA, OA, RU, SU, WO, MC, MD, MY, NL, NO, NZ, PH, PL, PT, RO, RS, SE, SG, SI, SK, TH, TN, TR, TW, UA, VN); FPRS; EPO; JPO; DERWENT; IBM_TDB)	OR	ON	ON	2022/05/18 11:09 PM

PE2E SEARCH - Search History (Interference)

There are no Interference searches to show.

PRINTER RUSH
(PTO ASSISTANCE)

Application: 17215923	**Examiner:** Cook	**GAU:** 1642
From:	**Location:** IDC	**Creation Date:** 06/09/2022

Week Date: 5/23/2022

DOC CODE	DOC DATE	MISCELLANEOUS
☐ 1449		☐ Continuing Data
☒ IDS	05/22/2022	☐ Foreign Priority
☐ CLM		☐ Document Legibility
☐ IIFW/FWCLM		☐ Fees
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☐ DRW		☐ Other
☐ OATH		
☐ 312		
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APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR/ PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
17/215,923	03/29/2021	Farokhzad et al.	129319.00770.BWH2

		EXAMINER	
QUARLES & BRADY LLP ATTN: IP DOCKET 411 E. WISCONSIN AVENUE SUITE 2400 MILWAUKEE, WI 53202-4428		LISA V COOK	
		ART UNIT	PAPER
		1642	20220612

DATE MAILED: _____

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

The Information Disclosure Statements filed on 5/22/22 have been considered. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lisa Cook whose telephone number is 571-272-0816. The examiner works a flexible schedule but can normally be reached on Monday-Friday from 8am to 5pm.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	17215923
Filing Date	2021-03-29
First Named Inventor	Omid Farokhzad
Art Unit	1642
Examiner Name	Lisa V Cook
Attorney Docket Number	129319.00770.BWH24258

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/LISA V COOK/ 06/12/2022

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	17215923
	Filing Date	2021-03-29
	First Named Inventor	Omid Farokhzad
	Art Unit	1642
	Examiner Name	Lisa V Cook
	Attorney Docket Number	129319.00770.BWH24258

	1	VILLANUEVA, Josep et al., "Serum peptide profiling by magnetic particle-assisted, automated sample processing and MALDI-TOF mass spectrometry". Anal. Chem. (March 15, 2004), 76(6): 1560-1570.
	2	Vroman, L., Adams, A.L., Fischer, G.C. & Munoz, P.C. Interaction of high molecular weight kininogen, factor XII, and fibrinogen in plasma at interfaces. Blood 55, 156-159 (1980).
	3	WANG, et al., A magnetic nanoparticles relaxation sensor for protein-protein interaction detection at ultra-low magnetic field. Biosens Bioelectron. 15 June 2016. 80:661-665. doi: 10.1016/j.bios.2016.02.037.
	4	Wang, H., Li, L., Ding, L., Zhang, Z. & Pu, C. Association of genetic polymorphisms in the paraoxonase 1 gene with the risk and prognosis of non-small cell lung cancer in Chinese Han population. J Investig Med 60, 592-597 (2012).
	5	WESTMEIER et al.: The bio-corona and its impact on nanomaterial toxicity. European Journal of Nanomedicine 7 (3):153-168 https://doi.org/10.1515/ejnm-2015-0018 (2015)
	6	Williams, et al., Proteominer facilitates high-throughput proteomic analysis of low abundance proteins in the cartilage secretome (LB39). The Faseb journal, April 2014; 28(S1), Abstract. https://doi.org/10.1096/fasebj.28.1_supplement. lb39 (2014)
	7	Xu, S., et al. Toward designer magnetite/polystyrene colloidal composite microspheres with controllable nanostructures and desirable surface functionalities. Langmuir 28, 3271-3278 (2012).
	8	ZACCARIA, et al. Accessing to the minor proteome of red blood cells through the influence of the nanoparticle surface properties on the corona composition. International journal of nanomedicine 10 (March 9, 2015): 1869-1883
	9	ZANGANEH, et al. Protein corona: opportunities and challenges. The international journal of biochemistry & cell biology 75 (June 2016): 143-147.
	10	ZHANG et al.: Evaluation of a novel, integrated approach using functionalized magnetic beads, bench-top MALDI-TOF-MS with prestructured sample supports, and pattern recognition software for profiling potential biomarkers in human plasma. J Biomol Tech. 15(3):167-175 (2004)
	11	ZHANG et al.: Integrated Proteogenomic Characterization of Human High-Grade Serous Ovarian Cancer. Cell 166 (3):755-765 doi:10.1016/j.cell.2016.05.069 (2016)

/LISA V COOK/ 06/12/2022

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	17215923
	Filing Date	2021-03-29
	First Named Inventor	Omid Farokhzad
	Art Unit	1642
	Examiner Name	Lisa V Cook
	Attorney Docket Number	129319.00770.BWH24258

	12	ZHANG, X. et al., Preparation and characterization of superparamagnetic Fe3O4/CNTs nanocomposites Dual-drug carrier, Journal of Wuhan University of Technology-Mater. Sci. Ed., 32(1), 42-46. (Year: 2017)
	13	ZOU et al.: Synthesis and evaluation of superparamagnetic silica particles for extraction of glycopeptides in the microtiter plate format. Anal Chem. 80(4):1228-1234 doi:10.1021/ac701950h (2008)

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EXAMINER SIGNATURE

Examiner Signature	/LISA V COOK/	Date Considered	06/12/2022

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	17215923
Filing Date	2021-03-29
First Named Inventor	Omid Farokhzad
Art Unit	1642
Examiner Name	Lisa V Cook
Attorney Docket Number	129319.00770.BWH24258

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

☒ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	DRAFT	Date (YYYY-MM-DD)	2022-05-20
Name/Print	Angeline R. Babel	Registration Number	60,886

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).

5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PREOMICS EXHIBIT 1002

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(Not for submission under 37 CFR 1.99)

Application Number	17215923
Filing Date	2021-03-29
First Named Inventor	Omid Farokhzad
Art Unit	1642
Examiner Name	Lisa V Cook
Attorney Docket Number	129319.00770.BWH24258

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	1	10144955		2018-12-04	FERNANDO	
	2	5498545		1996-03-12	VESTAL	
	3	5928952		1999-07-27	HUTCHINS ET AL.	
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	6	6649419		2003-11-18	ANDERSON	
	7	6730517		2004-05-04	KOSTER ET AL.	
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	1	2007010252	WO		2007-01-25	SOLEXA LIMITED		
	2	2007091077	WO		2007-08-16	SOLEXA LIMITED		
	3	2009524828	JP		2009-07-02	RIOTTA		
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	5	103874770	CN		2014-06-18	CARIS LIFE SCIENCES LUXEMBOURG HOLDINGS SARL		

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See attached certification statement.

☒ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	DRAFT	Date (YYYY-MM-DD)	2022-05-20
Name/Print	Angeline R. Babel	Registration Number	60,886

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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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Doc code: IDS
Doc description: Information Disclosure Statement (IDS) Filed

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(Not for submission under 37 CFR 1.99)

Application Number	17215923
Filing Date	2021-03-29
First Named Inventor	Omid Farokhzad
Art Unit	1642
Examiner Name	Lisa V Cook
Attorney Docket Number	129319.00770.BWH24258

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	1	7375234		2008-05-20	SHARPLESS ET AL.	

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Examiner Signature	/LISA V COOK/	Date Considered	06/12/2022

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

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Examiner Name	Lisa V Cook
Attorney Docket Number	129319.00770.BWH24258

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

☒ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Angeline R. Babel/	Date (YYYY-MM-DD)	2022-05-20
Name/Print	Angeline R. Babel	Registration Number	60,886

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The information provided by you in this form will be subject to the following routine uses:

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2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).

5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

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Examiner Name	Lisa V Cook
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	34	U.S. Application No. 17/215,978 Final Office Action dated February 22, 2022	
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	37	U.S. Serial No. 15/880,627 Office Action dated December 18, 2018	
	38	U.S. Serial No. 15/880,627 Office Action dated January 30, 2020	
	39	U.S. Serial No. 15/880,627 Office Action dated June 18, 2019	
	40	U.S. Serial No. 15/880,627 Office Action dated June 20, 2018	
	41	U.S. Serial No. 17/099,331 Office Action dated April 20, 2021	
	42	U.S. Serial No. 17/215,966 Office Action September 23, 2021	
	43	U.S. Serial No. 17/215,978 Office Action dated June 10, 2021	
	44	U.S. Serial No. 17/215,978 Office Action dated October 8, 2021	

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	45	Ueda, K., et al. A comprehensive peptidome profiling technology for the identification of early detection biomarkers for lung adenocarcinoma. PLoS One 6, e18567 (2011).	
	46	VAN HONG NGUYEN, et al. Protein corona: a new approach for nanomedicine design. International journal of nanomedicine 12 (April 18, 2017): 3137-3151	
	47	VELSTRA et al.: Improved classification of breast cancer peptide and protein profiles by combining two serum workup procedures. J Cancer Res Clin Oncol. 138(12):1983-1992 doi:10.1007/s00432-012-1273-4 (2012)	
	48	Vilanova, O., et al. Understanding the Kinetics of Protein-Nanoparticle Corona Formation. ACS Nano 10, 10842-10850 (2016).	
	49	VILLANUEVA et al.: Automated serum peptide profiling. Nat Protoc. 1(2):880-891 doi:10.1038/nprot.2006.128 (2006)	
	50	VILLANUEVA et al.: Differential exoprotease activities confer tumor-specific serum peptidome patterns. J Clin Invest. 116(1):271-284 doi:10.1172/JCI26022 (2006)	

If you wish to add additional non-patent literature document citation information please click the Add button Add

EXAMINER SIGNATURE

Examiner Signature	/LISA V COOK/	Date Considered	06/12/2022

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	17215923
Filing Date	2021-03-29
First Named Inventor	Omid Farokhzad
Art Unit	1642
Examiner Name	Lisa V Cook
Attorney Docket Number	129319.00770.BWH24258

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

☒ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	DRAFT	Date (YYYY-MM-DD)	2022-05-20
Name/Print	Angeline R. Babel	Registration Number	60,886

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

PREOMICS EXHIBIT 1002

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Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.

2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).

5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PREOMICS EXHIBIT 1002

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH./L.S.

PRINTER RUSH
(PTO ASSISTANCE)

Application: 17215923	**Examiner:** Cook	**GAU:** 1642
From:	**Location:** IDC	**Creation Date:** 06/09/2022

Week Date: 5/23/2022

DOC CODE	DOC DATE	MISCELLANEOUS
☐ 1449		☐ Continuing Data
☒ IDS	05/22/2022	☐ Foreign Priority
☐ CLM		☐ Document Legibility
☐ IIFW/FWCLM		☐ Fees
☐ SRFW		☐ Petition (TC)
☐ DRW		☐ Other
☐ OATH		
☐ 312		
☐ SPEC		

[RUSH] Message:

Please initial/line through citations on IDS 05/22/2022 (all 4 occurrences).

Thank you

[XRUSH] Response:

The Information Disclosure Statements submitted
5/22/22 were considered. Thank you, LVCook

Initials: LVC

Examiner: PUBS contact - James Leija, (703) 756-1197
NOTE: This form will be included as part of the official USPTO record with the response document coded as XRUSH.
REV: AUG 16

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	17215923
	Filing Date	2021-03-29
	First Named Inventor	Farokhzad, Omid C.
	Art Unit	1618
	Examiner Name	-- /LISA V COOK/
	Attorney Docket Number	129319.00770

	9	9689039		2017-06-27	Wong	
Change(s) applied to document, /M.E.G/ 6/21/2023	10	9758811		2017-09-12	~~Caris Life Sciences Switzerland Holdings GmbH~~	Brown , et al.

If you wish to add additional U.S. Patent citation information please click the Add button. Add

U.S.PATENT APPLICATION PUBLICATIONS Remove

Examiner Initial*	Cite No	Publication Number	Kind Code[1]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20120046184		2012-02-23	Dawson	
	2	20130058923		2013-03-07	Huo	
	3	20170131276		2017-05-11	Johnston	
	4	20180136231		2018-05-17	~~Immunovia Ab~~	Borrebaeck et al.
	5	20180172694		2018-06-21	Farokhzad	
	6	20180356414		2018-12-13	Strano	
	7	20180361000		2018-12-20	Weissleder	

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/LISA V COOK/ 09/19/2021

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APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/215,923	09/06/2022	11435360	129319.00770.BWH24258	6706

26710 7590 08/17/2022

QUARLES & BRADY LLP
ATTN: IP DOCKET
411 E. WISCONSIN AVENUE
SUITE 2400
MILWAUKEE, WI 53202-4428

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Patents Stakeholder Experience (OPSE), Stakeholder Support Division (SSD) at (571)-272-4200.

INVENTOR(s) (Please see PAIR WEB site http://pair.uspto.gov for additional inventors):

Omid Farokhzad, Waban, MA;
Morteza Mahmoudi, Brookline, MA;
Claudia Corbo, Milano, ITALY;

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

THE BRIGHAM AND WOMEN'S HOSPITAL, INC., Boston, MA;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit SelectUSA.gov.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	The Brigham and Women's Hospital, Inc.
Patent No.:	11,435,360 B2
Issued:	September 6, 2022
Serial No.:	17/215,923
Filed:	March 29,2021
Examiner:	Lisa V. Cook
Art Unit:	1642
Confirm:	6706
Docket:	129319.00770.BWH24258

REQUEST FOR CERTIFICATE OF CORRECTION

Commissioner for Patents
Washington DC 20231

Dear Sir:

Accompanying this Request for a Certificate of Correction is a completed form PTO-1050, entitled Certificate of Correction. In reviewing the above issued patent, printing errors were discovered in the specification, which may affect the ability of a reader to understand the specification and claims.

Issuance of a Certificate of Correction for this patent is, therefore, requested. It is believed the listed errors are not due to Applicant's error, and that no fee is due. If this is not correct, and fee is required, please charge Deposit Account No. 17-0055 in the amount of the fee.

Respectfully submitted,

Date: 10/14/22

/Jack M. Cook/

Jack M. Cook
Quarles & Brady, LLP
Reg. No. 56098
Attorney for Applicant
411 East Wisconsin Avenue
Milwaukee WI 53202
414.277.5405

(PTO/SB/44 (08-07)
Approved for use through 09/30/2020. OMB 0651-0033
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Also Form PT0-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 11,435,360 B2
APPLICATION NO.: 17/215,923
ISSUE DATE : September 6, 2022
INVENTOR(S) : Omid Farokhzad, et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In The Specification:

Column 13, Line 41, "90-100 μm" should be --90-100 nm--.

Column 13, Line 49, "90-100 μm" should be --90-100 nm--.

Column 14, Line 2, "Image)" should be --ImageJ--.

Column 15, Line 50, "50,000 μm' should be --50,000 nm--.

Column 15, Line 51, "5 μm" should be ---5 nm-.

Column 15, Line 52, "5 μm to about 30000 μm" should be --5 nm to about 30000 nm--.

Column 15, Line 53, "5 μm to about 20,000 μm, alternatively about 5 μm" should be --5 nm to about 20,000 nm, alternatively about 5 nm--.

Column 15, Line 54, "10,000 μm," should be --10,000 nm--.

Column 15, Line 54, "5000 μm" should be --5000 nm--.

Column 15, Line 55, "5 μm to about 1000 μm" should be --5 nm to about 1000 nm--.

MAILING ADDRESS OF SENDER (Please do not use Customer Number below):

Jack M. Cook
Quarles & Brady, LLP
411 East Wisconsin Avenue
Milwaukee WI 53202

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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CERTIFICATE OF CORRECTION

PATENT NO. : 11,435,360 B2
APPLICATION NO.: 17/215,923
ISSUE DATE : September 6, 2022
INVENTOR(S) : Omid Farokhzad, et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 15, Line 56, "5 μm to about 500 μm, alternatively about 5 μm" should be --5 nm to about 500 nm, alternatively about 5 nm--.

Column 15, Lines 56-57, "50 μm, alternatively about 10 μm to 100 μm" should be --50 nm, alternatively about 10 nm to 100 nm--.

Column 15, Line 58, "20 μm to 200 μm, alternatively about 30 μm to 300 μm," should be --20 nm to 200 nm, alternatively about 30 nm to 300 nm,--.

Column 15, Line 59, "40 μm to 400 μm" should be --40 nm to 400 nm--.

Column 15, Lines 59-60, "50 μm to 500 μm, alternatively about 60 μm to 600 μm," should be --50 nm to 500 nm, alternatively about 60 nm to 600 nm--.

Column 15, Line 61, "70 μm to 700 μm" should be --70 nm to 700 nm--.

Column 15, Lines 61-62, "80 μm to 800 μm, alternatively about 90 μm to 900 μm" should be --80 nm to 800 nm, alternatively about 90 nm to 900 nm--.

Column 15, Line 63, "100 μm to 1000 μm" should be --100 nm to 1000 nm--.

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UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 11,435,360 B2
APPLICATION NO.: 17/215,923
ISSUE DATE : September 6, 2022
INVENTOR(S) : Omid Farokhzad, et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 15, line 64, "1000 μm to 10000 μm, alternatively about 10000 μm" should be --1000 nm to 10000 nm, alternatively about 10000 nm--.

Column 15, Line 65, "5000 μm" should be --50000 nm--.

Column 15, Line 66, "10 μm, 15 μm, 20 μm, 25 μm, 30 μm, 35 μm, 40 μm" should be --10 nm, 15 nm, 20 nm, 25 nm, 30 nm, 35 nm, 40 nm--.

Column 15, Line 67, "45 μm, 50 μm, 55 μm, 60 μm, 65 μm, 70 μm, 80 μm, 90 μm" should be --45 nm, 50 nm, 55 nm, 60 nm, 65 nm, 70 nm, 80 nm, 90 nm--.

Column 16, Lines 1-11, "100 μm, 125 μm, 150 μm, 175 μm, 200 μm, 225 μm, 250 μm, 275 μm, 300 μm, 350 μm, 400 μm, 450 μm, 500 μm, 550 μm, 600 μm, 650 μm, 700 μm, 750 μm, 800 μm, 850 μm, 900 μm, 1000 μm, 1200 μm, 1300 μm, 1400 μm, 1500 μm, 1600 μm, 1700 μm, 1800 μm, 1900 nm, 2000 μm, 2500 μm, 3000 μm, 3500 μm, 4000 μm, 4500 μm, 5000 μm, 5500 μm, 6000 nm, 6500 μm, 7000 μm, 7500 μm, 8000 μm, 8500 μm, 9000 μm, 10000 μm, 11000 μm, 12000 μm, 13000 μm, 14000 μm, 15000 μm, 16000 μm, 17000 μm, 18000 μm, 19000 μm, 20000 μm, 25000 μm, 30000 μm, 35000 μm, 40000 μm, 45000 μm, 50000 μm"

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This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 11,435,360 B2
APPLICATION NO.: 17/215,923
ISSUE DATE : September 6, 2022
INVENTOR(S) : Omid Farokhzad, et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Cont.:

should be --100 nm, 125 nm, 150 nm, 175 nm, 200 nm, 225 nm, 250 nm, 275 nm, 300 nm, 350 nm, 400 nm, 450 nm, 500 nm, 550 nm, 600 nm, 650 nm, 700 nm, 750 nm, 800 nm, 850 nm, 900 nm, 1000 nm, 1200 nm, 1300 nm, 1400 nm, 1500 nm, 1600 nm, 1700 nm, 1800 nm, 1900 nm, 2000 nm, 2500 nm, 3000 nm, 3500 nm, 4000 nm, 4500 nm, 5000 nm, 5500 nm, 6000 nm, 6500 nm, 7000 nm, 7500 nm, 8000 nm, 8500 nm, 9000 nm, 10000 nm, 11000 nm, 12000 nm, 13000 nm, 14000 nm, 15000 nm, 16000 nm, 17000 nm, 18000 nm, 19000 nm, 20000 nm, 25000 nm, 30000 nm, 35000 nm, 40000 nm, 45000 nm, 50000 nm--.

Column 16, Line 15-16, "5 μm to about 1000 μm" should be --5 nm to about 1000 nm--.

Column 16, Line 17, "5 μm to about 500 μm" should be --5 nm to about 500 nm--.

Column 16, Lines 17-18, "5 μm to about 400 μm, alternatively about 5 μm" should be --5 nm to about 400 nm, alternatively about 5 nm--.

Column 16, Lines 18-19, "300 μm, alternatively about 5 μm to about 200 μm" should be --300 nm, alternatively about 5 nm to about 200 nm--.

Column 16, Line 20, "5 μm to about 100 μm, alternatively about 5 μm" should be --5 nm to about 100 nm, alternatively about 5 nm--.

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411 East Wisconsin Avenue
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This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 11,435,360 B2
APPLICATION NO.: 17/215,923
ISSUE DATE : September 6, 2022
INVENTOR(S) : Omid Farokhzad, et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 16, Line 21, "50 μm, alternatively about 10 μm to about 1000 μm" should be --50 nm, alternatively about 10 nm to about 1000 nm--.

Column 16, Line 22, "10 μm to about 750 μm" should be --10 nm to about 750 nm--.

Column 16, Line 23, "10 μm to about 500 μm, alternatively about 10 μm" should be --10 nm to about 500 nm, alternatively about 10 nm--.

Column 16, Line 24, "250 μm, alternatively about 10 μm to about 200 μm" should be --250 nm, alternatively about 10 nm to about 200 nm--.

Column 16, Line 25, "10 μm to about 100 μm" should be --10 nm to about 100 nm--.

Column 16, Line 26, "50 μm to about 1000 μm, alternatively about 50 μm" should be --50 nm to about 1000 nm, alternatively about 50 nm--.

Column 16, Line 27, "500 μm, alternatively about 50 μm to about 250 μm" should be --500 nm, alternatively about 50 nm to about 250 nm--.

Column 16, Line 28, "50 μm to about 200 μm" should be --50 nm to about 200 nm--.

Column 16, Line 29, "50 μm to about 100 μm" should be --50 nm to about 100 nm--.

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Milwaukee WI 53202

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UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 11,435,360 B2
APPLICATION NO.: 17/215,923
ISSUE DATE : September 6, 2022
INVENTOR(S) : Omid Farokhzad, et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 16, Lines 30-35, "10 μm, 15 μm, 20 μm, 25 nm, 30 μm, 35 μm, 40 μm, 45 μm, 50 μm, 55 μm, 60 μm, 65 μm, 70 μm, 80 μm, 90 μm, 100 nm, 125 μm, 150 μm, 175 μm, 200 μm, 225 μm, 250 μm, 275 μm, 300 μm, 350 μm, 400 nm, 450 μm, 500 μm, 550 μm, 600 μm, 650 μm, 700 μm, 750 μm, 800 μm, 850 μm, 900 nm, 1000 μm"

should be --10 nm, 15 nm, 20 nm, 25 nm, 30 nm, 35 nm, 40 nm, 45 nm, 50 nm, 55 nm, 60 nm, 65 nm, 70 nm, 80 nm, 90 nm, 100 nm, 125 nm, 150 nm, 175 nm, 200 nm, 225 nm, 250 nm, 275 nm, 300 nm, 350 nm, 400 nm, 450 nm, 500 nm, 550 nm, 600 nm, 650 nm, 700 nm, 750 nm, 800 nm, 850 nm, 900 nm, 1000 nm--.

Column 21, Line 7, "100 μm" should be --100 nm--.

Column 48, Line 43, "131GH3" should be --βIGH3--.

Column 48, Line 51, "PRDXS" should be --PRDX5--.

Column 49, Line 32, "FHRS" should be --FHR5--.

Column 50, Line 11, "5100" should be --S100--.

Column 58, Line 41, "632.8 μm" should be --632.8 nm--.

MAILING ADDRESS OF SENDER (Please do not use Customer Number below):

Jack M. Cook
Quarles & Brady, LLP
411 East Wisconsin Avenue
Milwaukee WI 53202

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES PATENT AND TRADEMARK OFFICE

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It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 58, Line 66, "660 μm" should be --660 nm--.

Column 74, Line 24, "Image)" should be --ImageJ--.

Column 75, Line 34, "20 μm" should be --20 nm--.

Column 78, Line 1, "100 μm" should be --100 nm--.

Column 78, Line 6, "100 μm" should be --100 nm--.

Column 78, Line 24, "100 μm" should be --100 nm--.

Column 79, Line 58, "93 μm up to 120 μm" should be --93 nm up to 120 nm--.

Column 80, Line 13, "≈85 μm" should be --≈85 nm--.

Column 80, Line 14, "40-50 μm" should be --40-50 nm--.

Column 80, Line 16, "≈ 40 μm" should be --≈ 40 nm--.

Column 80, Line 17, "≈30 μm" should be --≈30 nm--.

Column 81 Line 59, "100 μm" should be --100 nm--.

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APPLICATION NO.: 17/215,923
ISSUE DATE : September 6, 2022
INVENTOR(S) : Omid Farokhzad, et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 83, Line 2, "100 μm" should be --100 nm--.

Column 83, Line 20, "90-100 μm" should be --90-100 nm--.

Column 83, Line 29, "30 μm" should be --30 nm--.

Column 84, Line 62, "μm" should be --nm--.

Column 85, Line 16, "50 ng/ml" should be --50 μg/ml--.

Column 85, Line 55, "138 mM" should be --138 min--.

Column 86, Line 2, "score 25" should be --score ≥ 25--.

Column 86, Line 13, "100 μm" should be --100nm--.

In The Claims:

Column 88, Claim 26, Lines 45-46, "glycol PEG functionalization" should be --glycol functionalization--.

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UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 11,435,360 B2
APPLICATION NO. : 17/215923
DATED : September 6, 2022
INVENTOR(S) : Omid Farokhzad et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Specification

Column 13, Line 41, "90-100 μm" should be --90-100 nm--.

Column 13, Line 49, "90-100 μm" should be --90-100 nm--.

Column 14, Line 2, "Image)" should be --ImageJ--.

Column 15, Line 50, "50,000 μm" should be --50,000 nm--.

Column 15, Line 51, "5 μm" should be --5 nm--.

Column 15, Line 52, "5 μm to about 30000 μm" should be --5 nm to about 30000 nm--.

Column 15, Line 53, "5 μm to about 20,000 μm, alternatively about 5 μm" should be --5 nm to about 20,000 nm, alternatively about 5 nm--.

Column 15, Line 54, "10,000 μm," should be --10,000 nm--.

Column 15, Line 54, "5000 μm" should be --5000 nm--.

Column 15, Line 55, "5 μm to about 1000 μm" should be --5 nm to about 1000 nm--.

Column 15, Line 56, "5 μm to about 500 μm, alternatively about 5 μm" should be --5 nm to about 500 nm, alternatively about 5 nm--.

Column 15, Lines 56-57, "50 μm, alternatively about 10 μm to 100 μm" should be --50 nm, alternatively about 10 nm to 100 nm--.

Signed and Sealed this
Fifteenth Day of November, 2022

Katherine Kelly Vidal

Katherine Kelly Vidal
Director of the United States Patent and Trademark Office

Column 15, Line 58, "20 μm to 200 μm, alternatively about 30 μm to 300 μm," should be --20 nm to 200 nm, alternatively about 30 nm to 300 nm,--.

Column 15, Line 59, "40 μm to 400 μm" should be --40 nm to 400 nm--.

Column 15, Lines 59-60, "50 μm to 500 μm, alternatively about 60 μm to 600 μm," should be --50 nm to 500 nm, alternatively about 60 nm to 600 nm--.

Column 15, Line 61, "70 μm to 700 μm" should be --70 nm to 700 nm--.

Column 15, Lines 61-62, "80 μm to 800 μm, alternatively about 90 μm to 900 μm" should be --80 nm to 800 nm, alternatively about 90 nm to 900 nm--.

Column 15, Line 63, "100 μm to 1000 μm" should be --100 nm to 1000 nm--.

Column 15, line 64, "1000 μm to 10000 μm, alternatively about 10000 μm" should be --1000 nm to 10000 nm, alternatively about 10000 nm--.

Column 15, Line 65, "5000 μm" should be --50000 nm--.

Column 15, Line 66, "10 μm, 15 μm, 20 μm, 25 μm, 30 μm, 35 μm, 40 μm" should be --10 nm, 15 nm, 20 nm, 25 nm, 30 nm, 35 nm, 40 nm--.

Column 15, Line 67, "45 μm, 50 μm, 55 μm, 60 μm, 65 μm, 70 μm, 80 μm, 90 μm" should be --45 nm, 50 nm, 55 nm, 60 nm, 65 nm, 70 nm, 80 nm, 90 nm--.

Column 16, Lines 1-11, "100 μm, 125 μm, 150 μm, 175 μm, 200 μm, 225 μm, 250 μm, 275 μm, 300 μm, 350 μm, 400 μm, 450 μm, 500 μm, 550 μm, 600 μm, 650 μm, 700 μm, 750 μm, 800 μm, 850 μm, 900 μm, 1000 μm, 1200 μm, 1300 μm, 1400 μm, 1500 μm, 1600 μm, 1700 μm, 1800 μm, 1900 nm, 2000 μm, 2500 μm, 3000 μm, 3500 μm, 4000 μm, 4500 μm, 5000 μm, 5500 μm, 6000 nm, 6500 μm, 7000 μm, 7500 μm, 8000 μm, 8500 μm, 9000 μm, 10000 μm, 11000 μm, 12000 μm, 13000 μm, 14000 μm, 15000 μm, 16000 μm, 17000 μm, 18000 μm, 19000 μm, 20000 μm, 25000 μm, 30000 μm, 35000 μm, 40000 μm, 45000 μm, 50000 μm" should be --100 nm, 125 nm, 150 nm, 175 nm, 200 nm, 225 nm, 250 nm, 275 nm, 300 nm, 350 nm, 400 nm, 450 nm, 500 nm, 550 nm, 600 nm, 650 nm, 700 nm, 750 nm, 800 nm, 850 nm, 900 nm, 1000 nm, 1200 nm, 1300 nm, 1400 nm, 1500 nm, 1600 nm, 1700 nm, 1800 nm, 1900 nm, 2000 nm, 2500 nm, 3000 nm, 3500 nm, 4000 nm, 4500 nm, 5000 nm, 5500 nm, 6000 nm, 6500 nm, 7000 nm, 7500 nm, 8000 nm, 8500 nm, 9000 nm, 10000 nm, 11000 nm, 12000 nm, 13000 nm, 14000 nm, 15000 nm, 16000 nm, 17000 nm, 18000 nm, 19000 nm, 20000 nm, 25000 nm, 30000 nm, 35000 nm, 40000 nm, 45000 nm, 50000 nm--.

Column 16, Line 15-16, "5 μm to about 1000 μm" should be --5 nm to about 1000 nm--.

Column 16, Line 17, "5 μm to about 500 μm" should be --5 nm to about 500 nm--.

Column 16, Lines 17-18, "5 μm to about 400 μm, alternatively about 5 μm" should be --5 nm to about 400 nm, alternatively about 5 nm--.

Column 16, Lines 18-19, "300 μm, alternatively about 5 μm to about 200 μm" should be --300 nm, alternatively about 5 nm to about 200 nm--.

Column 16, Line 20, "5 μm to about 100 μm, alternatively about 5 μm" should be --5 nm to about 100 nm, alternatively about 5 nm--.

Column 16, Line 21, "50 μm, alternatively about 10 μm to about 1000 μm" should be --50 nm, alternatively about 10 nm to about 1000 nm--.

Column 16, Line 22, "10 μm to about 750 μm" should be --10 nm to about 750 nm--.

Column 16, Line 23, "10 μm to about 500 μm, alternatively about 10 μm" should be --10 nm to about 500 nm, alternatively about 10 nm--.

Column 16, Line 24, "250 μm, alternatively about 10 μm to about 200 μm" should be --250 nm, alternatively about 10 nm to about 200 nm--.

Column 16, Line 25, "10 μm to about 100 μm" should be --10 nm to about 100 nm--.

Column 16, Line 26, "50 μm to about 1000 μm, alternatively about 50 μm" should be --50 nm to about 1000 nm, alternatively about 50 nm--.

Column 16, Line 27, "500 μm, alternatively about 50 μm to about 250 μm" should be --500 nm, alternatively about 50 nm to about 250 nm--.

Column 16, Line 28, "50 μm to about 200 μm" should be --50 nm to about 200 nm--.

Column 16, Line 29, "50 μm to about 100 μm" should be --50 nm to about 100 nm--.

Column 16, Lines 30-35, "10 μm, 15 μm, 20 μm, 25 nm, 30 μm, 35 μm, 40 μm, 45 μm, 50 μm, 55 μm, 60 μm, 65 μm, 70 μm, 80 μm, 90 μm, 100 nm, 125 μm, 150 μm, 175 μm, 200 μm, 225 μm, 250 μm, 275 μm, 300 μm, 350 μm, 400 nm, 450 μm, 500 μm, 550 μm, 600 μm, 650 μm, 700 μm, 750 μm, 800 μm, 850 μm, 900 nm, 1000 μm" should be --10 nm, 15 nm, 20 nm, 25 nm, 30 nm, 35 nm, 40 nm, 45 nm, 50 nm, 55 nm, 60 nm, 65 nm, 70 nm, 80 nm, 90 nm, 100 nm, 125 nm, 150 nm, 175 nm, 200 nm, 225 nm, 250 nm, 275 nm, 300 nm, 350 nm, 400 nm, 450 nm, 500 nm, 550 nm, 600 nm, 650 nm, 700 nm, 750 nm, 800 nm, 850 nm, 900 nm, 1000 nm--.

Column 21, Line 7, "100 μm" should be --100 nm--.

Column 48, Line 43, "131GH3" should be --βIGH3--.

Column 48, Line 51, "PRDXS" should be --PRDX5--.

Column 49, Line 32, "FHRS" should be --FHR5--.

Column 50, Line 11, "5100" should be --S100--.

Column 58, Line 41, "632.8 μm" should be --632.8 nm--.

Column 58, Line 66, "660 μm" should be --660 nm--.

Column 74, Line 24, "Image)" should be --ImageJ--.

Column 75, Line 34, "20 μm" should be --20 nm--.

Column 78, Line 1, "100 μm" should be --100 nm--.

Column 78, Line 6, "100 μm" should be --100 nm--.

Column 78, Line 24, "100 μm" should be --100 nm--.

Column 79, Line 58, "93 μm up to 120 μm" should be --93 nm up to 120 nm--.

Column 80, Line 13, "≈85 μm" should be --≈85 nm--.

Column 80, Line 14, "40-50 μm" should be --40-50 nm--.

Column 80, Line 16, "≈ 40 μm" should be --≈ 40 nm--.

Column 80, Line 17, "≈30 μm" should be --≈30 nm--.

Column 81, Line 59, "100 μm" should be --100 nm--.

Column 83, Line 2, "100 μm" should be --100 nm--.

Column 83, Line 20, "90-100 μm" should be --90-100 nm--.

Column 83, Line 29, "30 μm" should be --30 nm--.

Column 84, Line 62, "μm" should be --nm--.

Column 85, Line 16, "50 ng/ml" should be --50 μg/ml--.

Column 85, Line 55, "138 mM" should be --138 min--.

Column 86, Line 2, "score 25" should be --score ≥ 25--.

Column 86, Line 13, "100 μm" should be --100 nm--.

In the Claims

Column 88, Claim 26, Lines 45-46, "glycol PEG functionalization" should be --glycol functionalization--.