UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CHARTER COMMUNICATIONS, INC.,
Petitioner

v.

ADAPTIVE SPECTRUM AND SIGNAL ALIGNMENT, INC.,
Patent Owner

Case IPR2025-00013
U.S. Patent No. 11,770,313

PATENT OWNER ADAPTIVE SPECTRUM AND SIGNAL ALIGNMENT, INC.'S MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(2)

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P.O. Box 1450
Alexandria, VA 22313-1450

Patent Owner, Adaptive Spectrum and Signal Alignment, Inc. ("Patent Owner"), hereby files these mandatory notices pursuant to 37 C.F.R. § 42.8(a)(2).

I. REAL PARTY-IN-INTEREST

The Patent Owner and real party-in-interest is Adaptive Spectrum and Signal Alignment, Inc.

II. RELATED MATTERS

U.S. Patent No. 11,770,313 ("the 313 patent") is at issue in *Adaptive Spectrum and Signal Alignment, Inc. v. Charter Communications, Inc.*, Case No. 2:24-cv-00124 (E.D. Tex.), filed February 21, 2024.

Petitioner has concurrently filed a Petition for *Inter Partes* Review of U.S. Patent No. 10,848,398 ("the '398 patent") (IPR2025-00012), which is also at issue in the above-captioned litigation proceeding. The '313 patent claims the benefit of the '398 patent.

On September 17, 2024, Petitioner filed a Petition for *Inter Partes* Review of U.S. Patent No. 7,809,996 (IPR2024-01379), which is also at issue in the above-captioned litigation proceeding.

On October 31, 2024, Petitioner filed a Petition for *Inter Partes* Review of U.S. Patent No. 11,477,108 (IPR2024-00087), which is also at issue in the above-captioned litigation proceeding.

On November 8, 2024, Petitioner filed a Petition for *Inter Partes* Review of

U.S. Patent No. 11,050,654 (IPR2024-00088), which is also at issue in the above-captioned litigation proceeding.

Pending U.S. Patent Application No. 18/372,124, which is scheduled to issue as U.S. Patent No. 12,155,545 on November 26, 2024, claims the benefit of the '313 patent.

III. LEAD AND BACK-UP COUNSEL

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IV. SERVICE INFORMATION

Please address all correspondence to the lead and back-up counsel as shown above. Patent Owner consents to electronic service by e-mail at all of the e-mail addresses listed above and PTAB@sternekessler.com.

Respectfully submitted,

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CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e))

I certify that the above-captioned **PATENT OWNER ADAPTIVE SPECTRUM AND SIGNAL ALIGNMENT, INC.'S MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(A)(2)** was served in its entirety on November 20, 2024 upon the following parties via electronic mail:

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