

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CHARTER COMMUNICATIONS, INC., AND PLUME DESIGN, INC.,
Petitioner

v.

ADAPTIVE SPECTRUM AND SIGNAL ALIGNMENT, INC.,
Patent Owner

Case IPR2025-00087
U.S. Patent No. 11,477,108

**PATENT OWNER ADAPTIVE SPECTRUM AND SIGNAL ALIGNMENT,
INC.’S MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(2)**

Mail Stop “PATENT BOARD”
Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Patent Owner, Adaptive Spectrum and Signal Alignment, Inc. (“Patent Owner”), hereby files these mandatory notices pursuant to 37 C.F.R. § 42.8(a)(2). These Notices are being timely filed within 21 days of service of the Petition.

I. REAL PARTY-IN-INTEREST

The Patent Owner and real party-in-interest is Adaptive Spectrum and Signal Alignment, Inc.

II. RELATED MATTERS

U.S. Patent No 11,447,108 (“the ’108 patent”) is at issue in *Adaptive Spectrum and Signal Alignment, Inc. v. Charter Communications, Inc.*, Case No. 2:24-cv-00124 (E.D. Tex.), filed February 21, 2024.

Petitioner has also filed Petitions for *Inter Partes* Review of U.S. Patent Nos. 7,809,996 (“the ’996 patent”) (IPR2024-01379), 10,848,398 (“the ’398 patent”) (IPR2025-00012), 11,050,654 (“the ’654 patent”) (IPR2025-00088), and 11,770,313 (“the ’313 patent”) (IPR2025-00013), which are also at issue in the above-captioned litigation proceeding.

The ’108 patent also claims the benefit of U.S. Patent Nos. 10,333,819 (“the ’819 patent”), 10,757,003 (“the ’003 patent”), and 9,369,379 (“the ’379 patent”).

III. LEAD AND BACK-UP COUNSEL

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IV. SERVICE INFORMATION

Please address all correspondence to the lead and back-up counsel as shown above. Patent Owner consents to electronic service by e-mail at all of the e-mail addresses listed above and PTAB@sternekessler.com.

Respectfully submitted,

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CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e))

I certify that the above-captioned **PATENT OWNER ADAPTIVE
SPECTRUM AND SIGNAL ALIGNMENT, INC.'S MANDATORY
NOTICES** was served in its entirety on November 21, 2024, upon the following
parties via electronic mail:

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