

1 UNITED STATES PATENT AND TRADEMARK OFFICE

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3
4 BEFORE THE PATENT TRIAL AND APPEAL BOARD

5
6 ABBOTT LABORATORIES,
7 Petitioner,

8 v.

9 MIRACOR MEDICAL SA,
10 Patent Owner.

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12 IPR2025-00096
Patent 11,351,356

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17 Deposition of Dr. Georg Wieselthaler
via videoconference

18 January 15, 2026

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21 Reporter: Jude Arndt, CSR, CCR, RPR
CSR No. 084-004847
22 CCR No. 1450
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Page 2 1 Deposition of Dr. Georg Wieselthaler, taken via videoconference pursuant to Notice of Taking 2 Deposition, before Jude Arndt, a Certified Shorthand Reporter and Certified Court Reporter, on January 15, 3 2026. 4 APPEARANCES OF COUNSEL (All present via videoconference) 5 On Behalf of Abbott Laboratories and Peter Crosby: Winston & Strawn LLP 6 35 West Wacker Drive Chicago, IL 60602 312-558-8138 By: SARANYA RAGHAVAN sraghavan@winston.com 7 On Behalf of Miracor Medical SA: Barnes & Thornburg LLP 11 South Meridian Street Indianapolis, Indiana 46204 317-231-6422 By: TODD VARE todd.vare@btlaw.com 8 On Behalf of Miracor Medical SA: Barnes & Thornburg LLP One Marina Park Drive, Suite 1530 Boston, MA 02210 617-316-5315 By: DEREK ROLLER derek.roller@btlaw.com 17 Also present: Christine Stroia, concierge (via Zoom) 18 19 20 21 22 23 24	Page 4 1 [8:58 a.m.] 2 The witness, GEORG WIESELTHALER, first having 3 been duly sworn, testified as follows: 4 EXAMINATION 5 BY MR. VARE: 6 Q. Good morning, Doctor. Is it Wieselthaler? 7 Did I pronounce that correctly? 8 A. It's "Vieselthaler." I'm of Austrian 9 origin. 10 Q. "Vieselthaler." Okay. 11 A. "Vieselthaler," yes. 12 Q. I will try my best. 13 A. No, it's fine. It's fine. You know, 14 "George" is good enough. I lived, you know, for nearly 15 14 years in the U.S., and I mean, everybody calls you 16 "George" or "GW." 17 Q. So why don't you state your name for the 18 record, please. 19 A. Actually, my name is Dr. Georg 20 Wieselthaler, and I'm a medical doctor. 21 Q. And where do you currently live? 22 A. I live in Bahrain in Saudi Arabia. 23 Q. Okay. And do you work there? 24 A. Yes.
Page 3 1 INDEX OF INTERROGATION 2 Examination by Mr. Vare Page 4 Examination by Ms. Raghavan Page 77 3 4 INDEX OF EXHIBITS 5 (No exhibits were marked.) 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24	Page 5 1 Q. And where do you currently work? 2 A. I have actually two jobs. I work -- we 3 actually are building a hospital in Al Jaber in Saudi 4 Arabia, and I'm going to be the chair of the department 5 for CT surgery and the chief of staff, and hopefully 6 it's going to be finished in two months, and then we're 7 going to open up the hospital over there. 8 In addition to that, I work at King Fahd 9 University for Petroleum and Minerals, which is in 10 Dhahran, which is about 10 kilometers away, and I work 11 there at the department for biomedical engineering as a 12 consultant. 13 Q. Are you still affiliated with the 14 University of California in San Francisco? 15 A. I am a professor emeritus, and with that, 16 you know, I mean, I'm still affiliated. I don't work 17 there anymore, but I'm still affiliated as a professor 18 emeritus, you are. 19 Q. And you mentioned CT surgery. That's 20 cardiothoracic? 21 A. That is correct. 22 Q. Okay. And you understand this deposition 23 is being taken remotely today. 24 Where are you currently sitting for this

Page 6 1 deposition? 2 A. Actually, I'm sitting in Bahrain. And if 3 you mean -- 4 Q. Yes, like the current geographic location. 5 A. It's Bahrain. 6 Q. Okay. And is there anyone else in the 7 room with you? 8 A. No, I'm just by myself. 9 Q. Do you have any -- do you have any 10 documents with you or in front of you? 11 A. Yes, I have a clean -- a declaration 12 without any notes or without any marks, and that's more 13 or less, you know, what I have, just in case, you know, 14 I have to look up anything that I have put in my 15 declaration in order to name it word by word. 16 Q. And the declaration you're referring to is 17 your declaration that you provided on behalf of Abbott 18 in support of these IPR petitions? 19 A. That is correct. 20 Q. So I will be introducing exhibits, and 21 sometimes it takes a bit of time to appear. You have 22 access to Exhibit Share? 23 A. Yes. 24 Q. And do you see Exhibit 1041 before you	Page 8 1 BY MR. VARE: 2 Q. If it's easier to look at your hard copy 3 for your declaration, that's fine, too. 4 A. Okay. I see it right now. 5 Q. And is that your signature on Page 47? 6 A. Yes, that's my signature. 7 Q. And you signed it on Monday, December 8 22nd, 2025? 9 A. That is correct. 10 Q. When were you first contacted by Abbott to 11 prepare this declaration? 12 A. I think, you know, it was on the 14th of 13 December, as far as I can recall correctly. 14 Q. And do you recall who contacted you on 15 behalf of Abbott? 16 A. It was Kevin Boyle who actually contacted 17 me. 18 Q. And have you ever been an expert witness 19 before in any type of litigation or dispositive 20 proceedings? 21 A. Never. 22 Q. And have you ever consulted for Abbott 23 before? 24 A. Not for Abbott.
Page 7 1 now? Sometimes you may need to refresh. 2 A. Trying to see. No. 3 THE CONCIERGE: Do you remember where that 4 "refresh" button is? 5 A. Yeah, but anyways, I mean, I cannot access 6 it at this point. Because you told me go to the 7 gallery, but I mean, it doesn't -- oh, no, it's "view." 8 THE CONCIERGE: Oh, no, not in the Zoom 9 platform, in the Exhibit Share with the link that we 10 opened earlier. 11 A. Hold on a second. Maybe this is it here. 12 Here it is. Yes, I'm going to refresh. Okay. I see 13 Exhibit 1041. 14 BY MR. VARE: 15 Q. And is this the declaration that you 16 represented for these IPRs that you have in front of 17 you? 18 A. That is correct, yes. 19 Q. Okay. And if you would go to the last 20 page of the declaration, Page 47. 21 A. Yeah. Hold on a second, I just have to 22 move it a little bit. 23 THE CONCIERGE: And if you want me to 24 screen share, I can.	Page 9 1 Q. Have you consulted for Thoratec before? 2 A. Consulted, not -- you know, I just 3 actually was working with them, you know, but never 4 actually had a contract with them beforehand. 5 Q. Have you ever received any compensation 6 from either Abbott or Thoratec for any projects or 7 consulting or other work? 8 A. It was research grants through the 9 University of Vienna at that time. 10 Q. And that research grant was with Thoratec? 11 A. That was with Thoratec, yes. 12 Q. And what did the research grant -- what 13 was its purpose or objective? 14 A. Actually, we did animal trainings for 15 other centers. Actually, I was a proctor, and I did 16 trainings, you know, in our animal lab with the PVAD, 17 you know, and this is, you know, going back to probably 18 1995 or 1996 or so. 19 Q. So the research grant that you recall 20 having with Thoratec goes back to the mid-1990s? 21 A. Yeah. 22 Q. And it related to PVAD? 23 A. That's PVAD. Yeah, that's a pulsatile 24 device, you know, that actually was used in those days

Page 10 1 by our institution and many other institutions 2 worldwide. 3 Q. Have you ever had any research grant or 4 otherwise received any compensation with either 5 Thoratec or Abbott relating to any LVAD? 6 A. Actually, you know, it was that I was 7 supposed to, you know, relaunch the HeartMate 2 8 clinical trial, which actually fell apart. So we 9 actually didn't do that, you know, but we were supposed 10 to. 11 But we actually -- for this, we didn't get any 12 compensation, just due to the fact that it didn't work 13 out. 14 Q. And why did it fall apart? 15 A. Because we rejected in 2022 use the pump. 16 Because at that time -- you know, this was back in 17 2003, the pump actually was not working appropriately 18 in the way, you know, we actually wanted to see it in 19 the clinical setting. And I think, you know, this is 20 well-known that actually we rejected it. 21 And it was after the pump failed, you know, for 22 a couple times beforehand, and they had to refurbish 23 the pump, and we were supposed to, you know, relaunch 24 it in 2003, and also got the competent authority and	Page 12 1 gives you a number, and if these numbers actually did 2 not actually show you the same result, you know, then 3 you know something is wrong. 4 Q. And you stated Thoratec knew that the flow 5 estimator in the HeartMate 2 was giving wrong numbers? 6 MS. RAGHAVAN: Objection to form. 7 A. In -- sorry. 8 MS. RAGHAVAN: Go ahead, you can answer. 9 A. In a certain range. 10 BY MR. VARE: 11 Q. What do you mean by that? 12 A. If the range, you know, I mean, is 13 exceeding a certain flow or is going below a certain 14 flow and they actually changed that, you know -- when 15 the flow went up, you know, they actually just blanked 16 it out, you know, with a "plus, plus, plus," and when 17 it went below a certain flow, it had a "dash, dash, 18 dash." 19 And that's the -- that was the final version 20 that went into clinics afterwards. That was the 21 solution they came up. 22 Q. And were you presented with that solution 23 when -- at the time you rejected it at the University 24 of Vienna?
Page 11 1 everything ready. 2 But during the final animal testing, you know, 3 it turned out, you know, that it doesn't work 4 appropriately, and that's the reason why we rejected, 5 the university rejected it. 6 Q. And why do you believe it failed? What 7 were the reasons why -- 8 A. It's not -- it's not the pump that failed. 9 You know, it was actually the flow estimator that 10 failed. 11 Q. And how did it fail? 12 A. It actually just gave wrong numbers. 13 Q. Was it an electrical issue then, or was it 14 something else? 15 A. It was something else. 16 Q. And what was -- what was the reason why 17 you think it was giving wrong numbers? 18 A. It was not set up in the right way, and 19 actually, I mean, the company knew that. 20 And then later on, you know, because when you 21 actually doing animal experiments, you know, you also 22 have flow sensors on the outflow graft, so you know 23 exactly what is the flow that the pump produces. 24 And then you have a monitor, and the monitor	Page 13 1 A. Nope. 2 Q. By the way, you know that the inventor on 3 these patents is a gentleman by the name of Werner 4 Mohl, and he was also at the Medical University of 5 Vienna, correct? 6 A. Correct. 7 Q. Do you recall, did you ever interact with 8 Professor or Dr. Mohl? 9 A. Well, many ways. We were colleagues for a 10 long period of time until I left for UCSF, and for sure 11 I know him. 12 Q. Did you ever interact with him on any 13 particular projects at the university in Vienna? 14 A. No. Nope. 15 Q. Are you being paid for the work that you 16 did to prepare your declaration and appear today for 17 your deposition? 18 A. Yes. 19 Q. And what is your billing rate? 20 A. It's about \$600 US, you know, per hour. 21 Q. And you indicated that you were contacted 22 for the first time on -- around December 15th, correct? 23 MS. RAGHAVAN: Objection. Form. 24 BY MR. VARE:

4 (Pages 10 - 13)

Page 14 1 Q. Is that correct? 2 A. It must have been around this time, 3 correct. 4 Q. Okay. So approximately one week before 5 you finalized and signed your declaration? 6 A. That is correct. 7 Q. And how many hours did you put into your 8 declaration? 9 A. Probably some 30 hours, altogether. 10 Q. Did you write your declaration? 11 A. I wrote the declaration, you know, in 12 collaboration with the lawyers. It was more or less, 13 you know, a collaboration in that -- you know, I 14 actually just wrote it, and they actually just looked 15 at it and said, "Okay. Well, you have to put this in 16 in addition to that." 17 Q. Do you recall what they said to put in in 18 addition to that, like what specific things they asked 19 you to put in? 20 MS. RAGHAVAN: Objection. I'm going to 21 object to this entire line of questioning, and instruct 22 the witness not to answer, on the basis of 23 attorney-client privilege. 24 BY MR. VARE:	Page 16 1 your deposition today? 2 A. No. 3 Q. Did you not talk with any lawyers to 4 prepare for your deposition today? 5 A. Only the counsel, you know, that actually 6 just -- just guided me. 7 Q. And who is that counsel that you talked 8 to? 9 A. Kevin Boyle and Saranya, who is actually 10 with me. 11 Q. And did you have -- how did you talk? Did 12 you have video calls, telephone calls? 13 A. Video calls. 14 Q. And how many of those did you have? 15 A. Probably four of them. 16 Q. And approximately what was the aggregate 17 amount of time you spent on those four video calls? 18 A. I would say, you know, altogether, you 19 know, six hours. 20 Q. And that would be six hours of the 10 21 hours spent preparing? 22 A. More or less, yes. 23 Q. Did you talk to anyone else to prepare for 24 today's deposition?
Page 15 1 Q. Are you going to follow that instruction, 2 Dr. Wieselthaler? 3 A. Absolutely. 4 Q. Did you do anything to prepare for today's 5 deposition, Dr. Wieselthaler? 6 A. I just went through my declaration several 7 times, you know, and also I looked at the exhibits. 8 And that's more or less, you know -- I've been working 9 in this business, you know, for 40 years, you know, and 10 that's the reason why I can call myself, you know, an 11 expert. 12 Q. Approximately how long or how many hours 13 did you spend preparing for the deposition? 14 A. Maybe some 10 hours, altogether. 15 Q. Is that in addition to the 30 hours you 16 spent on the declaration itself? 17 A. Yep. 18 Q. And you said you reviewed your 19 declaration, reviewed the exhibits. 20 A. Yes. 21 Q. Any -- did you review any other documents 22 other than your declaration and the exhibits? 23 A. Nope. 24 Q. Did you talk to anybody to prepare for	Page 17 1 A. No. 2 Q. Did you talk to anyone other than lawyers 3 in preparing your declaration? 4 A. No. 5 Q. Did you talk to Peter Crosby to prepare 6 your declaration? 7 A. No. 8 Q. And you did not talk to him to prepare for 9 today's deposition? 10 A. No. 11 Q. Have you read Peter Crosby's depositions 12 taken in these IPR proceedings? 13 A. Nope. 14 Q. Have you read Peter Crosby's declarations 15 submitted in these IPR proceedings? 16 A. Nope. 17 Q. And you have not talked to anybody 18 associated with Abbott or Thoratec to prepare for 19 today's depositions or to prepare your declaration? 20 A. No. 21 MS. RAGHAVAN: Objection. Form. 22 BY MR. VARE: 23 Q. So if you look at Paragraph 6 of Exhibit 24 1041, that's your declaration.

5 (Pages 14 - 17)

Page 18 1 A. Yeah. 2 Q. You state -- are you there? 3 A. I'm there. 4 Q. You state you are a cardiothoracic surgeon 5 with experience in cardiac transplantation, mechanical 6 circulatory support, and advanced heart failure. 7 What is cardiac transplantation? 8 A. This is heart transplantation. 9 Q. Okay. And basically that is just a 10 surgery where you replace somebody's heart, correct? 11 A. Correct. 12 Q. And what is mechanical circulatory 13 support, in the context of your experience as a 14 cardiothoracic surgeon? 15 A. This is when you actually replace -- or 16 no, sorry. 17 This is when you are assisting a failing heart 18 with mechanical means. 19 Q. And is an LVAD an example of mechanical 20 circulatory support to assist a failing heart? 21 A. Absolutely. 22 Q. And then advanced heart failure, by its 23 name, is a failing heart, correct? 24 A. That is correct.	Page 20 1 a human patient? 2 A. If a patient goes into severe heart 3 failure, which is a life-threatening disease, you 4 actually want to salvage this patient, and you have 5 several means to do that. 6 First of all, you know, you actually apply 7 medication, which is in not so severe cases helpful, 8 but for sure, if the patient progresses in going to 9 severe and chronic heart failure, for example, then you 10 actually have to use mechanical means, for example, a 11 left ventricular assist device. 12 Q. And that's an LVAD? 13 A. That's an LVAD. 14 Q. Great. And are there also right 15 ventricular assist devices? 16 A. Correct. 17 Q. And do you have experience in those as 18 well? 19 A. Absolutely. 20 Q. So by your answer, you talked about severe 21 and advanced heart failure, I assume that there are 22 stages of heart failure? 23 A. That's correct. 24 Q. And what are those stages? Do they
Page 19 1 MS. RAGHAVAN: Objection. Form. 2 BY MR. VARE: 3 Q. So I'm going to ask you -- I want to 4 understand a little bit more about heart failure and 5 how LVADs are used to treat heart failure. 6 But if you look at Paragraph 3, so we can put 7 these questions I'm going to ask in that context, if 8 you look at Paragraph 3 of your report, you say, "All 9 of the opinions I express in this declaration have been 10 made from the standpoint of a POSITA at the time of the 11 invention of the challenged patents, which I'm informed 12 is the claimed priority date of February 27, 2007." 13 Do you see that? 14 A. I see it. 15 Q. And you understand that a POSITA means a 16 person of ordinary skill in the art? 17 A. I understand that. 18 Q. Okay. So as I said, I'm going to ask you 19 a series of questions I would like you to answer from 20 that perspective, so from the perspective of a POSITA 21 as of February 2007. 22 A. Correct. 23 Q. So as of February 2007, what were the 24 reasons why a surgeon would want to implant an LVAD in	Page 21 1 have -- I have read where they have A, B, C, and D 2 stages? 3 Is that your understanding as well? 4 A. Yes, but, you know, we actually -- in 5 medicine, you know, we grade them with the New York 6 Heart Failure Association, and it's one to four. 7 Q. Okay. And what would one be? 8 A. One would be, you know, a light form of 9 heart failure, you know, where you actually -- when you 10 exercise, you actually get slightly more or less, you 11 know, short of breath, and that's more or less what it 12 is. You have no severe restrictions in exercise 13 capabilities, and your heart function is relatively, 14 let's say, you know, okay. 15 Whereas in four, for example, you know, you have 16 severe restrictions, you know, in exercise 17 capabilities, and even in everyday life you have severe 18 restrictions in a way, you know, that you hardly can 19 walk, you get swollen legs, you know, you get edema, 20 pulmonary edema, and, you know, it's a life-threatening 21 disease, and it's a deadly disease, unfortunately. 22 Q. And what would Grade 2 reflect in terms of 23 the stages of heart failure? 24 A. Well, Grade 2 more or less, you know, is

Page 22 1 an aggravation of Grade 1, which actually means, you 2 know, that you actually have more severe symptoms of 3 heart failure. 4 You actually grade it, you know, more or less 5 with the ejection fraction, that the ejection fraction 6 in a normal person is in the range of 60 to 70 percent, 7 and this ejection fraction goes down, you know, to 50 8 or 40 percent, and that means you actually have 9 restrictions in your everyday life already. 10 Q. And what would Grade 3 in the progression 11 of heart failure projection relate to? 12 A. Well, Grade 3, once again, it's a further 13 aggravation, you know, of your -- of your disease. And 14 you have to actually keep in mind, you know, we have 15 chronic heart failure and we have acute heart failure, 16 and all these -- these different aggravation stages you 17 have only with chronic ones. 18 And that means, you know, at this time you have 19 already, you know, severe edema, you have, you know, I 20 mean, severe shortness of breath, even with short-term 21 exercise. And -- 22 Q. And then -- 23 A. -- then, you know, it's a 24 life-threatening disease.	Page 24 1 stage, you know, of heart failure, the muscle itself, 2 you know, actually changes. And you can actually have, 3 you know, a remodeling over time, and when you actually 4 just put in an LVAD and support the heart, you know, 5 you can also cause, you know, some de-remodeling. 6 This is something that, you know, is relatively 7 new, but it happens, you know, and we were able to, you 8 know, for example, you know, to put some patients, you 9 know, in Stage 4 on left ventricular assist devices, 10 support them over a certain period of time, usually a 11 longer period of time, means one year, one-and-a-half 12 years, and then, you know, the heart recovers and 13 de-remodels, and then you can actually take out the 14 pump once again. 15 Q. And did you experience this de-remodeling 16 with the use of an LVAD after 2007? Is that more 17 recent? 18 A. Nope. No, it was even before. The 19 first -- the first ones who actually expanded left 20 ventricular assist devices, you know, were done at the 21 University Hospital in Berlin. Dr. Muller and Dr. 22 Hetzer actually did that. They published that, I 23 think, in a series of about 20, 24 patients. And -- 24 but this is way before that.
Page 23 1 Q. And Grade 4 -- 2 A. I'm sorry. 3 Q. No, go ahead. I'm sorry. I didn't mean 4 to interrupt you. 5 A. No, you're more or less, you know, 6 bedridden to that extent, you know, that you hardly can 7 move anymore, you hardly can breathe appropriately 8 anymore, and your cardiac function, you know, is 9 severely reduced and cannot support the necessary blood 10 flow, you know, into the organs. 11 Q. And would Grade 4 be what you would call 12 advanced or end-stage heart failure, severe heart 13 failure? 14 A. It's in between three and four, yes. 15 Q. Okay. And again, as of February 2007, at 16 what stage would a cardiac surgeon consider an LVAD to 17 treat any of those heart failure stages? 18 A. It's late Stage 3, and definitely Stage 4. 19 Q. Have you heard the term "cardiac 20 remodeling"? 21 A. Correct. 22 Q. And what is that? 23 A. Well, actually, I mean, this is when you 24 actually just are for a longer period of time in the	Page 25 1 Q. Okay. And would you agree that cardiac 2 remodeling can -- and I think you have said this, but 3 it can alter the size of the chamber, the size of the 4 heart muscle itself, correct? 5 A. That is correct. 6 Q. And the wall thickness? 7 A. Also. 8 Q. And the shape of the heart? 9 A. Also. 10 Q. And the tissue composition? 11 A. Yes. 12 Q. And the heart itself can increase in both 13 geometry and size with cardiac remodeling in the -- 14 kind of the later stages of heart failure? 15 A. It can, you know, but once again, you 16 know, you have to distinguish between different forms 17 of heart failure. 18 There is an ischemic heart failure and there is 19 non-ischemic heart failure, dilative cardiomyopathy, 20 and they actually have different reasons and differ -- 21 you know, the geometry of the heart is a different one, 22 as well as, you know, the composition of the heart 23 muscle cells, you know, is a different one. 24 Q. So you mentioned kind of the phrase

Page 26 1 "ejection fraction" earlier, I believe. 2 So there is heart failure with what's called 3 reduced ejection fraction, correct? 4 A. Yes. 5 Q. And in that circumstance, the left 6 ventricular becomes dilated and weak? 7 A. Must not be. If you have ischemic 8 cardiomyopathy, you actually can keep the shape of the 9 heart, but due to the fact, you know, that you actually 10 have several ischemic areas, yeah, which actually are 11 areas, you know, that are actually replaced by scar, 12 the heart doesn't dilate in that way, and -- but the 13 ejection fraction goes down. 14 And that's -- you know, it's still HFrEF. That 15 means, you know, heart failure with reduced ejection 16 fraction. 17 Q. And another heart failure is with 18 preserved ejection fraction, correct? 19 A. Correct. 20 Q. And for the court reporter's benefit, when 21 you said HFrEF, heart failure with reduced ejection 22 fraction, it's commonly typed out capital H, capital F 23 little R, capital E, capital F, is that correct? 24 A. That is correct.	Page 28 1 Q. And that can increase pressure on the 2 lungs? 3 A. Absolutely. 4 Q. And would an LVAD be a treatment option 5 for both the reduced ejection fraction and preserved 6 ejection fraction symptoms? 7 A. In general, yes. 8 Q. So what I want to try to do is just kind 9 of sum up what I understand you have said. 10 But advanced or end-stage heart failure patients 11 can experience dilation, hypertrophy, altered shape, 12 valve dysfunction, chamber pressure overload -- those 13 are all what can happen to your heart in advanced heart 14 failure, correct? 15 A. That is symptoms, you know, that you 16 actually experience and develop, you know, with 17 advanced heart failure. That is correct. 18 Q. And certainly today but also as of 19 February 2007, all of these factors would influence how 20 an LVAD could be implanted, where its components could 21 be placed, what device you would use, what surgical 22 approach was safest, is that correct? 23 MS. RAGHAVAN: Objection. Form. 24 A. I think, you know, I mean, you have to
Page 27 1 Q. And with preserved ejection fraction, it's 2 commonly typed out capital H, capital F, little P, 3 capital E, capital F? 4 A. Correct. 5 Q. And with preserved ejection fraction, have 6 you heard it commonly referred to as like a stiff pump? 7 A. Well, for most of the reasons, you know, a 8 preserved eject -- heart failure with preserved 9 ejection fraction, it was called in the old days, you 10 know, a diastolic heart failure, which means, you know, 11 you have a stiffer heart, as you mentioned it 12 beforehand, and the heart cannot, you know, dilate, you 13 know, during diastole, which actually can have 14 different reasons. 15 Q. So in cases where the heart has in the -- 16 you know, in the end stages of heart failure, where the 17 heart is actually increased in size or geometry, that 18 can reduce the space in the patient's body in and 19 around the heart, correct? 20 A. Well, since this actually takes quite some 21 time, the -- for sure, you know, the heart expands in 22 size, but for example, you have to keep in mind the 23 heart is surrounded by the pericardium, and the 24 pericardium actually also expands.	Page 29 1 keep in mind, you know, the first successful implant of 2 a left ventricular assist device was done in 1966 by 3 Dr. Michael DeBakey, and since then we actually just 4 use left ventricular assist devices in the clinical 5 area. 6 BY MR. VARE: 7 Q. So is the answer to my question correct, 8 all of those factors would influence how you would 9 implant an LVAD, what implant you would use, where you 10 would put the components, what surgical approach you 11 would use? 12 MS. RAGHAVAN: Objection. Form. 13 A. I think, you know, I mean, from the clinic 14 point of view, you know, if you asked me as a cardiac 15 surgeon, there is a lot of different factors you have 16 to take into consideration to implant a pump. 17 And -- but you are correct, you know, those are 18 one or some of the factors, you know, that actually can 19 come into play, you know, when you want to implant the 20 left ventricular assist device. 21 BY MR. VARE: 22 Q. I want to ask you again, in the context of 23 February 2007, would larger and heavier LVAD pumps 24 constrain where you could place the pump?

Page 30 1 MS. RAGHAVAN: Objection. Form. 2 A. As a matter of fact, you know, I mean, you 3 actually have to take into consideration the first 4 generation of LVADs that we have been using and the 5 implantable ones, you know, which is the HeartMate 1 6 from Thoratec at that time and the Novacor pump from 7 WorldHeart. They actually were the size, you know, of 8 my hand, yeah, were huge devices, and needed to be 9 implanted, you know, in a peritoneal pocket. 10 BY MR. VARE: 11 Q. So larger and heavier pumps like those 12 would influence where you could place the pump in the 13 body, correct? 14 MS. RAGHAVAN: Objection. Form. 15 A. Correct. 16 BY MR. VARE: 17 Q. And in the example you gave, would you 18 have to create what's called a pump pocket in the 19 preperitoneal area, kind of to hold the pump? 20 A. You actually can place these pumps, you 21 know, in different areas, and it's not only a 22 preperitoneal or a peritoneal pocket; you can place it 23 also, you know, inside the chest, while knowing, you 24 know, that actually you have reduced space in order to	Page 32 1 Q. And where was that pump placed? 2 A. In a preperitoneal pocket. 3 Q. Have you ever -- have you -- 4 A. 2001 -- I'm sorry. 2001. 5 Q. Right, the 2001. 6 Have you ever personally implanted the pump that 7 was disclosed in the Bourque 2001 article? 8 A. No. No. 9 Q. And I take it you have not tried to -- 10 ever tried to put that pump in a human being? 11 A. No, actually it was not for human beings, 12 you know, available. 13 Q. Okay. And so you're not aware of anyone 14 who has ever put the pump disclosed in the Bourque 2001 15 in a human being, because it was never available for 16 human beings? 17 A. That is correct. 18 Q. Okay. Do you recall how bulky or heavy or 19 what the size of the Bourque 2001 pump was? 20 A. Yeah. 21 Q. And what do you recall it to be? What are 22 the measurements? If you want to look at -- I can 23 introduce Exhibit 1007 for you. 24 A. Yeah, please.
Page 31 1 do so. 2 Q. So one place where you can place these 3 LVAD pumps is preperitoneal -- preperitoneal, correct? 4 A. One place is, correct, preperitoneal, yes. 5 Q. And that is below the diaphragm? 6 A. Not exactly. Not exactly. It's actually 7 preperitoneal, as the definition says. If you 8 actually -- if you would place it, you know, below the 9 diaphragm, you actually would say subdiaphragmal. 10 Q. Okay. And is that -- is preperitoneal a 11 place in an abdominal pocket or a different pocket? 12 A. It's a pre -- it is in the peritoneal 13 region, but it's outside, you know, the peritoneum. 14 Q. Okay. 15 A. Which is, you know, no contact with the 16 gut or the stomach or any of these organs. 17 Q. And then you can also place LVADs 18 potentially in the pericardial area, or at the apex of 19 the heart? 20 A. Yes. Correct. 21 Q. And you have read -- you have read the 22 Bourque 2001 article? That's one of the exhibits to 23 your declaration? 24 A. Correct.	Page 33 1 THE REPORTER: Is this exhibit premarked 2 or being marked now? 3 MR. VARE: Most of the exhibits will have 4 been previously marked. So the one I'm going to 5 introduce is going to be Exhibit 1007, previously 6 marked. 7 BY MR. VARE: 8 Q. So let me know when Exhibit 1007 comes up. 9 A. No, not at this point. It's still 1041. 10 THE CONCIERGE: If you click -- are you 11 still in the document? 12 A. Actually, I mean, I am refreshing, as you 13 said. 14 THE CONCIERGE: Maybe click back. 15 A. Do I have to leave? 16 THE CONCIERGE: Yeah, leave the document 17 and go back one button now to the document. 18 A. Okay. It's 1007. That's correct, yeah? 19 BY MR. VARE: 20 Q. Yep. 21 A. I see it. 22 Q. And you're familiar with Exhibit 1007? 23 A. Yes. Just give me a moment. You know, 24 it's just loading.

Page 34 1 Q. Okay. 2 A. Here we go. Yeah, I see it. 3 Q. Are you familiar with Exhibit 1007? 4 A. Yes. 5 Q. And this is what has been referred to in 6 the papers as the Bourque 2001 reference? 7 A. Correct. 8 Q. And my question was, do you recall the 9 size and weight of the Bourque pump disclosed in 10 Exhibit 1007? 11 And rather than make you guess, I'll point you 12 to, on the first page, which is Page 401 of the actual 13 reference, under "materials and methods," the second 14 paragraph. 15 It says, "The mass of the HeartMate III device," 16 Roman numeral three -- 17 A. Uh-huh. 18 Q. -- "is 475 grams, including the motor, 19 535 grams, including the inflow cannula 20 recovery section, outflow graft, bend relief, and all 21 connected hardware." 22 Do you see that? 23 A. Yeah. 24 Q. And so the total mass of the pump device	Page 36 1 If you have to keep in mind, the HeartMate 1 and 2 the Novacor, for example, you know, were weighing 3 one-and-a-half to two kilos, and they were also 4 implanted. So the weight itself, you know, I mean, is 5 not a criteria. 6 Q. But it is correct that the MicroMed 7 DeBakey VAD was way smaller and weighed a lot less than 8 the Bourque 2001 LVAD? 9 A. That is correct. 10 Q. And the DeBakey LVAD, it was an axial flow 11 pump, correct? 12 A. Correct. 13 Q. And Bourque 2001 is disclosed as a 14 centrifugal flow pump? 15 A. Correct. 16 Q. Are there differences in axial flow versus 17 centrifugal flow LVADs? 18 MS. RAGHAVAN: Objection. Form. 19 A. There is a significant difference between 20 centrifugal and axial flow pumps. Both are rotary 21 blood pumps, but there is a difference in a way, you 22 know, that axial flow pumps, you know, the in and the 23 outflow is in the same axis, whereas, you know, in the 24 centrifugal pump it's a 90-degree angle in between
Page 35 1 was 475 grams? 2 A. Correct. 3 Q. And the diameter and the height of the 4 pump was 69 millimeters and 30 millimeters, 5 respectively? 6 A. Correct. 7 Q. And then the inflow and outflow -- the 8 inflow cannula and the outflow graft protruded several 9 millimeters outside of that, correct? 10 A. Correct. 11 Q. And the pump displaced 175 millimeters -- 12 milliliters? 13 A. Milliliters. Yes. That is correct. 14 Q. You referenced a MicroMed DeBakey LVAD in 15 your earlier testimony today? 16 A. Correct. 17 Q. Do you recall that? 18 And do you recall how the MicroMed DeBakey VAD 19 compared in size and weight to the Bourque pump? 20 A. Yeah, it was way smaller and lighter. 21 But once again, you know, I think for you to 22 understand, the weight itself, you know, is not a 23 parameter, you know, which actually can interfere, you 24 know, with the patient itself.	Page 37 1 inflow and outflow. 2 BY MR. VARE: 3 Q. And have you used both axial flow and 4 centrifugal flow pumps? 5 A. Yes. 6 Q. And as of 2007, had you implanted both 7 axial flow and centrifugal flow pumps? 8 A. Yes. 9 Q. And as of that time period, had you 10 decided which one was better? 11 A. I think, you know, this is not the correct 12 question, because you cannot say just because it's an 13 axial flow pump it's better or it's a centrifugal flow 14 pump it's better than the other one. It's different 15 parameters, you know, that you actually rely on and you 16 actually to say, okay, this is maybe the next 17 generation, for example. 18 So the axial flow pumps that we have been using 19 mainly was second generation, and whereas, you know, 20 the centrifugal pumps that we have been using, you 21 know, in those days -- and this was before 2007 -- were 22 at third generation already. 23 Q. What would be the different parameters as 24 between these two pumps?

Page 38 1 MS. RAGHAVAN: Objection. Form. 2 A. Axial flow pumps, you know, behave in a 3 different way, because their HQ curves, you know, are 4 different than centrifugal pumps. 5 And for sure, as I mentioned, you know, the 6 axial flow pumps we had at that time available, with 7 exception, you know, of the InCor pump, was more or 8 less, you know, second generation pumps, other second 9 generation pumps, which mean they had mechanical -- 10 because of mechanical bearings, whereas all the 11 centrifugal pumps that we actually have been using by 12 that day were third generation pumps, you know, with 13 magnetical levitated rotors. 14 BY MR. VARE: 15 Q. Are you familiar with the HeartWare HVAD? 16 A. Yes. 17 Q. And do you recall the HeartWare HVAD, how 18 it compared in size and weight to the Bourque 2001 19 pump? 20 A. Yes. 21 Q. Was it lighter and smaller? 22 A. It was lighter and smaller. 23 Q. And are you familiar with the DuraHeart 24 pump?	Page 40 1 BY MR. VARE: 2 Q. Where did you implant the DuraHeart pump 3 in a human? Like where in the body? 4 MS. RAGHAVAN: Objection. Form. 5 A. It was at that time, you know, implanted 6 in a preperitoneal pocket. 7 BY MR. VARE: 8 Q. Did you ever implant the DuraHeart pump at 9 the apex of the heart in the pericardial space? 10 A. Actually, in the pericardial space, it was 11 definitely too big, but once again, you know, on the 12 apical -- as in apical cannulation side, you actually 13 can place these pumps, you know, similar like the 14 VentrAssist pump, for example, in a subdiaphragmal 15 position, which is possible. 16 Q. Did you ever do that? 17 A. No, because I mean, we actually were in a 18 clinical trial, and you were not supposed to, you know, 19 change whatever you want to have. You were more or 20 less, you know, bound, you know, to what the company 21 and the PIs, you know, actually wanted. 22 Q. I have introduced what's been previously 23 marked as Exhibit 1046. This is one of the exhibits 24 that you cite in your declaration. And so let me know
Page 39 1 A. Yes. 2 Q. And you -- have you implanted the 3 DuraHeart pump? 4 A. Yes. 5 Q. And as of February 2007, had you implanted 6 the DuraHeart pump in a human? 7 A. Yes. 8 Q. And are you familiar with the size and 9 weight of the DuraHeart pump as to how it compared with 10 the Bourque 2001 pump? 11 A. More or less, yes. 12 Q. Do you recall if it was the DuraHeart pump 13 was lighter or smaller or heavier than the Bourque 2000 14 (sic) pump? 15 MS. RAGHAVAN: Objection. Form. 16 A. The DuraHeart pump, you know, was -- was 17 higher in height, yeah, and it has about five 18 centimeters of height, and -- height. And the size was 19 more or less the same, maybe a little bit less. 20 The weight, as I said, you know, doesn't play 21 any major role for us, because the patients actually 22 don't feel that. So for us, you know, as a cardiac 23 surgeon, I mean, we don't care about the weight itself. 24 It's more or less, you know, the size and the shape.	Page 41 1 when you can see it. 2 A. It doesn't show up yet. 3 THE CONCIERGE: I can't see it yet either. 4 MR. VARE: Hmm. 5 THE CONCIERGE: Would you like me to try 6 introducing it? 7 MR. VARE: No. 8 THE CONCIERGE: Okay. 9 MR. VARE: Had marked it. 10 A. I still don't see it. I'm sorry. 11 MR. VARE: Yeah, I need to -- let's see if 12 it now shows up. 13 A. No. 14 THE CONCIERGE: I see it now. 15 A. You see it? 16 THE CONCIERGE: Yeah, I see it now as 17 well. Trying refreshing one more time. 18 A. Oh, here we go. Yes. It's 1046, Tab 6, 19 right? 20 BY MR. VARE: 21 Q. Yes. Are you familiar with Exhibit 1046? 22 A. Yes. 23 Q. And this is one of the exhibits you cited 24 in your declaration?

Page 42 1 A. Correct. 2 Q. If you can look at -- maybe keeping the 3 Exhibit 1046 on your screen, and then look at your 4 paper copy of your declaration, Paragraph 77. 5 A. I see it. 6 Q. Now, Paragraph 77 is a paragraph 7 describing the DuraHeart, correct? 8 A. Correct. 9 Q. And Exhibit 1046 is an article describing 10 the DuraHeart? 11 A. Yeah. 12 Q. And Paragraph 77 in your declaration comes 13 into -- comes under a section on the previous page, 14 right before Paragraph 74, Number 1, where you state, 15 "Numerous LVADs comprising an axially aligned inflow 16 tube already existed." 17 A. Okay. 18 Q. And is it your testimony that the 19 DuraHeart is one of these axially aligned inflow tube 20 LVADs? 21 MS. RAGHAVAN: Objection. Form. 22 A. Due to the size, you know, they actually 23 had to put this knee or whatever you call it, elbow, on 24 the inflow, because they obviously didn't want to	Page 44 1 pump housing, correct? 2 A. Correct. 3 Q. And one of those components would be the 4 inflow tube? 5 A. Correct. 6 Q. Are you familiar with the Ventracor 7 VentrAssist? 8 A. Yes. 9 Q. Have you ever implanted a Ventracor 10 VentrAssist? 11 A. No. 12 Q. So I have introduced into your Exhibit 13 Share what's been previously marked as Exhibit 2013. 14 Let me know when that pops up. 15 A. Okay. It's there. 16 Q. Are you familiar with Exhibit 2013? 17 A. I'm familiar. 18 Q. And this -- Exhibit 2013 describes the 19 Ventracor VentrAssist LVAD? 20 A. Correct. 21 Q. And it's dated 2007, correct? 22 A. Correct. 23 Q. And does this article disclose where the 24 VentrAssist was implanted?
Page 43 1 implant the pump, you know, subdiaphragmal, as I 2 mentioned beforehand, which would be an option that 3 they could do it. 4 So they actually just turned the pump around 90 5 degrees and actually put this changed inflow on top of 6 the pump, and that's the reason, you know, why they 7 have a different inflow. 8 But the inflow to the pump itself, you know, is 9 still, you know, in a 90-degree angle to the outflow; 10 otherwise it wouldn't be a centrifugal pump. 11 BY MR. VARE: 12 Q. But if you look at Figure 2 of Exhibit 13 1046. 14 A. Can you show that, please? 15 Q. It's on -- if you look at Exhibit 1046, 16 it's on Page 327 of that article. 17 A. Okay, hold on. 327. Yes. 18 Q. And the upper left, you see Figure 2? 19 A. Yeah. 20 Q. And 2A is the DuraHeart, correct? 21 A. Correct. 22 Q. And you would agree that Figure 2A shows 23 the -- all three components extending from the circular 24 pump housing are extending radially outward from that	Page 45 1 A. It discloses it, yes. 2 Q. And where does it disclose it being 3 implanted? 4 A. If you just look at Figure 2, you see, you 5 know, that it's a straight inflow cannula, and it's 6 placed -- you know, it can be only placed due to the 7 size, you know, subdiaphragmal. 8 Q. And if you look at Page 737 of the 9 article, Exhibit 2013. 10 A. Yes. 11 Q. And there's a section that begins "2.2, 12 surgical technique." 13 A. Wait a minute. Yeah. 14 Q. And it states that the VentrAssist was 15 implanted in a pocket on the left side below the 16 diaphragm, correct? 17 A. Yes. Correct. 18 Q. Either in a pocket formed in the posterior 19 rectus sheath or in a preperitoneal position behind the 20 rectus? 21 A. Correct. 22 Q. And that's consistent with your testimony 23 that that's where it was implanted? 24 A. Correct.

Page 46 1 Q. Have you ever seen the inflow cannula on a 2 VentrAssist? 3 A. Yeah. 4 Q. And what's your recollection of what it 5 looks like? 6 A. It's -- actually, they had to make it, you 7 know, longer than normal just due to the fact, you 8 know, that the pump, you know, is placed, you know, 9 below the diaphragm. 10 But it's a straight inflow cannula, and more or 11 less, you know, follows, you know, the line of a 12 centrifugal pump, which means, you know, the inflow is 13 in a 90-degree angle to the outflow. 14 Q. So would you agree that the apex of the 15 heart does not lead straight down? 16 MS. RAGHAVAN: Objection. Form. 17 A. Well, you cannot say that. It really 18 depends, you know, I mean, what kind of disease the 19 patient has, number one. 20 Number two, you know, I mean, for example, how 21 the patient, you know, is shaped. You have tall 22 patients, you know, that actually have a completely 23 different configuration of their heart than, for 24 example, small patients.	Page 48 1 Q. Why don't we take a short break, maybe 2 five or 10 minutes. Does that work with you, Doctor? 3 A. Absolutely. 4 [A recess was taken.] 5 THE REPORTER: We are back on the record. 6 BY MR. VARE: 7 Q. Doctor, are you familiar with the -- 8 what's disclosed in the Wampler patent, which is 9 Exhibit 1008? 10 A. Yes. Can you actually just tell me, you 11 know, where in my declaration I have that? 12 Q. Yeah. You cite two figures from the 13 Wampler patent on Pages 30 and 31 of your declaration. 14 A. Okay. 15 Q. You cite Figure 5 and Figure 13. 16 A. Okay. Yep. 17 Q. And in particular you say in Paragraph 53 18 that the development of the HeartWare HVAD progressed 19 from the design disclosed in Wampler's patent shown 20 below, and you cut and paste the Figure 13 from that 21 patent. 22 Do you see that? 23 A. That is correct. 24 Q. And I believe you testified that you have
Page 47 1 But the main big difference is, you know, if you 2 have these huge dilated hearts with dilated 3 cardiomyopathy, they actually can actually switch their 4 apex to the lateral wall, to the lateral side, let's 5 say it that way. 6 BY MR. VARE: 7 Q. So when you're accessing the left 8 ventricular through the apex of the heart, are you 9 approaching at an angle in comparison to the long axis 10 of the body? 11 A. Yes. 12 MS. RAGHAVAN: Objection. Form. 13 BY MR. VARE: 14 Q. Okay. And was -- is that your 15 understanding as well how the HVAD was implanted? 16 A. Can you precise that? 17 Q. I'll have to -- let me ask -- I'll 18 withdraw that question, and I'm going to actually move 19 on to the HVAD. 20 But before I do that, you have also mentioned 21 the Jarvik 2000 in your declaration, correct? 22 A. Correct. 23 Q. And that was another axial flow LVAD? 24 A. Correct.	Page 49 1 in fact implanted the HeartWare HVAD? 2 A. That is correct. 3 Q. You were involved in some of the very 4 first clinical trials implanting the HeartWare HVAD in 5 humans? 6 A. I did the very first implant worldwide, 7 yes. 8 Q. And that was in March of 2006, I believe? 9 A. That is correct. 10 Q. And do you recall in your declaration that 11 you cited certain exhibits referencing the HeartWare 12 HVAD? 13 A. Can you actually just mention that to me? 14 Q. Yeah. So like Paragraph 52, you cite 15 Exhibit 1049. 16 A. Exhibit 1049. Yeah. Uh-huh. I see it. 17 Yeah. 18 Q. So I'll pull that up and show it to you. 19 Let me know when that pops up. 20 A. Yeah. Okay. It's there. 21 Q. This is an article written by you, 22 correct? 23 A. Correct. 24 Q. And it's -- it was published online June

Page 50 1 3rd, 2022? 2 A. Correct. 3 Q. And it's titled, "My Journey with the 4 HeartWare Ventricular Assist Device, An Obituary"? 5 A. Correct. 6 Q. And you're talking about your work 7 developing and implanting and clinical trials relating 8 to the HeartWare HVAD in this article? 9 A. That is correct. 10 Q. Is -- can you -- you can scroll through 11 it, the document online, but can you identify anywhere 12 in this article where you actually show a diagram or a 13 picture or an image of the HeartWare HVAD? 14 A. No. 15 Q. There's not one in there? 16 A. No. Because at that time, you know, the 17 HeartWare was implanted, you know, I think 22,000 times 18 worldwide, and there was -- that was definitely not, I 19 mean, an idea from myself, you know, to more or less, 20 you know, show the HeartWare HVAD, you know, to the -- 21 to the world, because everybody knew it by then. It 22 was more or less the story, you know, my story with the 23 HeartWare. 24 Q. Okay. I appreciate your explanation, but	Page 52 1 for the first time, you know, in 2011, in January, I 2 think. And it was the first interview I got. 3 Q. So I introduced another exhibit that's 4 been premarked as Exhibit 1015. Let me know when you 5 have that pulled up. 6 A. Yeah. 1015. Yeah. It's Jeff LaRose. 7 Q. Yeah. This is a one-page document you 8 cited, Exhibit 1015, authored by Jeff LaRose -- 9 A. Yeah. 10 Q. -- and three other individuals, correct? 11 A. Correct. 12 Q. And I believe you have described Jeff 13 LaRose as the senior engineer on the HeartWare HVAD? 14 A. That is correct. 15 Q. Now, this article is dated March 2004, 16 correct? 17 A. Correct. 18 Q. Does this abstract, Exhibit 1015, show any 19 pictures or images or drawings of the HeartWare HVAD 20 that you implanted later in March 2006? 21 A. Nope. 22 Q. I have introduced another document into 23 Exhibit Share, which has been premarked as Exhibit 24 1044. Let me know when that pops up.
Page 51 1 to answer my question, you don't put any picture or 2 image or drawing of the HeartWare HVAD in this article, 3 Exhibit 1049, do you? 4 A. Oh, it's -- wait a minute. You know, 5 maybe it's on the -- no, it's not. Because even the 6 tiny little picture they put up, you know, on the left 7 upper corner is not an HVAD. As far as -- you know, I 8 can see, it's an axial flow pump they put in there. 9 Because this is -- this is what the journal did, you 10 know, not me. 11 Q. On Page 6 of this article, Exhibit 1049, 12 at the very bottom, you say -- are you there? I don't 13 want to -- 14 A. Yeah. 15 Q. On the very bottom, you say, "In 2011, I 16 moved from Vienna to the United States and started 17 working at the University of California-San Francisco, 18 UCSF." 19 Do you see that? 20 A. That is correct. 21 Q. And prior to that time, you had no 22 involvement with UCSF? 23 A. That is correct. I got actually hired, 24 you know, in 2011, and I interviewed there, you know,	Page 53 1 A. I see it. 2 Q. And this is another document you cited to 3 describe your work with implanting and clinical 4 development of the HeartWare HVAD, correct? 5 A. Correct. 6 Q. And Exhibit 1044 is a HeartWare press 7 release dated March 24, 2006, correct? 8 A. Correct. 9 Q. And it's titled, "The First Human Implant 10 of HeartWare's HVAD"? 11 A. Correct. 12 Q. And that's -- this is a press release of 13 your first implant of the HeartWare in a human patient 14 on March 22nd, 2006, correct? 15 A. Correct. 16 Q. And in this press release, do they show a 17 picture or image or drawing of the HeartWare HVAD that 18 you implanted on March 22nd, 2006? 19 A. No. 20 Q. The pump drawing, which was labeled Figure 21 13 in Paragraph 53 of your declaration. 22 A. 53? Hold on. Yep, I have it. 23 Q. That is not the same structure and 24 configuration of the HeartWare HVAD that you implanted

Page 54 1 in a human on March 6 -- or March 22nd, 2006, is it? 2 MS. RAGHAVAN: Objection. Form. 3 A. It's -- it's the Wampler pump, you know, 4 not the HVAD. 5 BY MR. VARE: 6 Q. Not the HVAD? 7 A. No, it's not the HVAD. 8 Q. Okay. Thank you. 9 A. And this is what I state, you know. "The 10 development of the HeartWare HVAD progressed from the 11 design disclosed in Wampler's patent, shown below." 12 This is what I stated in my declaration. 13 Q. So I have introduced a new exhibit, 14 labeled Exhibit two thou -- 2024, 2024. Let me when 15 that pulls up. 16 A. Yeah. 17 Q. And Exhibit 2024 is a PowerPoint 18 presentation, 64 pages. It has your name on the cover 19 page, associated with the Division of Cardiothoracic 20 Surgery, University of California-San Francisco. 21 Do you recognize this PowerPoint presentation? 22 A. Yes. 23 Q. And is this a PowerPoint presentation that 24 you prepared?	Page 56 1 A. Yes. 2 Q. And is that -- do you recognize that pump? 3 A. Yes. 4 Q. What is that pump? 5 A. That's the HeartWare HVAD. 6 Q. And is that the HeartWare HVAD that you 7 implanted beginning in March of 2006? 8 A. Correct. 9 Q. Let's scroll down to what's Page 54 of 10 this PowerPoint presentation. 11 A. Yep. 12 Q. And this, again, is labeled "HeartWare, 13 HVAD." 14 Do you recognize this slide? 15 A. Yes. 16 Q. And do you see the diagram in the upper 17 left of the slide? 18 A. Yes. 19 Q. And do you recognize that? 20 A. Yes. 21 Q. And is that the HeartWare HVAD that you 22 implanted that you describe in your declaration as 23 implanting beginning in March of 2006? 24 MS. RAGHAVAN: Objection. Form.
Page 55 1 A. Yes. 2 Q. And are you familiar with it? 3 A. Yes. 4 Q. And would this PowerPoint presentation 5 have been prepared after you had joined University of 6 California in San Francisco? 7 A. Definitely. 8 Q. So after 2011? 9 A. Correct. 10 Q. So I want to scroll through here or have 11 you scroll through here to -- it's Page 51 of the 12 document. 13 A. Uh-huh. Yes. 14 Q. And is this a slide showing the HeartWare 15 HVAD that you implanted, beginning in March of 2006? 16 A. That's an explosion view of the HeartWare 17 HVAD, correct. 18 Q. And that explosion view is how you 19 understood the HeartWare HVAD to be configured? 20 A. Correct. 21 MS. RAGHAVAN: Objection. Form. 22 BY MR. VARE: 23 Q. And if you go to the next page, 52. Do 24 you see that picture, image?	Page 57 1 A. It is the HeartWare HVAD. 2 BY MR. VARE: 3 Q. Okay. And the Figure 13 in your 4 declaration at Paragraph 53 is not the same device as 5 that shown in your presentation, Exhibit 2024, is it? 6 A. It's not the same, but once again, I 7 mentioned in 53, "The development of the HeartWare HVAD 8 progressed from the design disclosed in Wampler's 9 patent." And this is -- 10 Q. It's not -- but it's not the same device? 11 A. It's not the same device. 12 Q. Have you ever implanted a device 13 configured as shown in Figure 13 in your declaration? 14 MS. RAGHAVAN: Objection. Form. 15 A. No. 16 BY MR. VARE: 17 Q. And so all of your explanation of 18 implanting and clinically developing the HeartWare HVAD 19 is of the HeartWare HVAD pumps that we're looking at in 20 Exhibit 2024, correct? 21 MS. RAGHAVAN: Objection. Form. 22 A. Besides, you know, the HeartMate 3 later 23 on, the clinical application of HeartMate 3, which 24 actually had the same -- the same shape, you know. It

15 (Pages 54 - 57)

Page 58 1 was by "27" definitely, you know, only the HVAD. 2 BY MR. VARE: 3 Q. So just to be clear, you have never 4 implanted in a human being an LVAD configured or 5 structured as shown in Figure 13 of your declaration? 6 MS. RAGHAVAN: Objection. Form. 7 A. I never implanted an LVAD, you know, that 8 never came into existence, you know, and therefore I 9 couldn't implant it either in animal nor in a human 10 being. 11 BY MR. VARE: 12 Q. I have put into Exhibit Share another 13 exhibit marked as Exhibit 2025. Let me know when you 14 have that exhibit. 15 A. Oh, it says "it looks like you don't have 16 permission for this resource," interesting enough. 17 Q. For Exhibit Share? 18 A. Oh, now it's -- now it's here. 19 Q. Okay. 20 A. Where do I have that, you know, in my 21 declaration? 22 Q. You do not. 23 A. I do not. 24 Q. No, you do not cite what I have shown you	Page 60 1 of the HeartWare HVAD pump that we looked at in your 2 PowerPoint presentation, Exhibit 2025? 3 A. That is correct. 4 Q. And this is the exploded view of the 5 HeartWare HVAD pump that you would have implanted in 6 your clinical trials and development of HeartWare HVAD? 7 MS. RAGHAVAN: Objection. Form. 8 A. That is correct. 9 BY MR. VARE: 10 Q. Now, in your declaration, Paragraph 55. 11 A. Yeah. 12 Q. You say in late 2023, you agreed to a 13 consultancy role with HeartWare to develop the HVAD. 14 A. Correct. 15 Q. Did you have to sign a non-disclosure 16 agreement with HeartWare when you began working with 17 them to develop the HVAD? 18 A. Absolutely. 19 Q. And -- 20 A. Even when -- when they actually showed the 21 pump for the first time at the road show, which I 22 mentioned in my article, the obituary, I had to sign an 23 NDA, which actually is normal. This is nothing new. 24 Q. And did that NDA restrict you in any way
Page 59 1 as Exhibit 2025. 2 So I'm going to ask you first -- so this is a 3 journal article authored by Jeff LaRose and others on 4 the "Design Concepts and Principle of Operation of the 5 HeartWare Ventricular Assist System" published in 2010. 6 Do you see that? 7 A. I see that. 8 Q. And do you recognize this article? 9 A. It's long ago. 10 Q. Do you recall reading this article at some 11 point in time? 12 A. Probably, but actually I cannot recall it 13 at this point. I'm sorry. 14 Q. Jeff LaRose is the -- was the senior 15 engineer that you talk about in your declaration, 16 correct? He was -- he was at HeartWare? 17 A. Yes. He was -- 18 Q. And is this the same Jeff LaRose -- 19 A. This is the same Jeff LaRose. 20 Q. -- who is the first author? 21 And if you look at Page 286 of Exhibit 2025, you 22 see Figure 2? 23 A. Figure 2, yeah. 24 Q. Yeah. And is that the same exploded view	Page 61 1 with respect to talking about or publishing your work 2 developing the HeartWare HVAD? 3 MS. RAGHAVAN: Objection. Form. 4 A. As soon as, you know, I started to consult 5 for HeartWare, I mean, this was not relevant anymore, 6 and there was at that time, I mean, you know, not that 7 much to publish, especially from the clinical point of 8 view. 9 BY MR. VARE: 10 Q. So I'm asking, when you signed -- you 11 signed an NDA with HeartWare when you started working 12 with them to develop the HVAD, correct? 13 A. Just due to the fact that, you know, we 14 actually have been, you know, in the clinical setting 15 using at that time the MicroMed pump, and started to 16 work on the DuraHeart pump from the clinical point of 17 view, so I needed to disclose that I would not actually 18 pass on any information to others. 19 Q. Okay. So the answer to my question is, 20 when you started working with HeartWare in late 2003, 21 you signed an NDA that restricted you from publishing 22 or talking about what you were doing with others, 23 correct? 24 MS. RAGHAVAN: Objection. Form.

Page 62 1 A. It was part of my contract, you know, with 2 HeartWare. 3 BY MR. VARE: 4 Q. Okay. And did the NDA restrict you from 5 publishing the work that you were doing to develop the 6 HeartWare HVAD -- 7 A. No. 8 Q. -- for a period of time? 9 A. Nope. 10 Q. So you could -- you believe that the NDA 11 allowed you to publish as soon as you began 12 developmental work on the HVAD? 13 MS. RAGHAVAN: Objection. Form. 14 A. There was no -- yeah, there was no reason, 15 you know, to publish anything, you know, if we actually 16 started to develop something. This would be unreal. 17 BY MR. VARE: 18 Q. So it wasn't until you would have 19 developed an actual HVAD pump that you would have 20 published anything about it, correct? 21 MS. RAGHAVAN: Objection. Form. 22 A. That is correct. And my first 23 publication, you know, with the HVAD was I think in 24 2007, as far as I can recall, about the first	Page 64 1 A. It was implanted, I mean, in a clinical 2 setting, in the -- in a preperitoneal pocket. 3 Q. Okay. And if you look at Exhibit 1046, 4 Page 3. 5 A. Yeah. 6 Q. It talks about the displacement volume, 7 the weight, and the height of the DuraHeart? 8 A. Yeah. 9 Q. And I think I asked you this earlier, so I 10 apologize if I did, but the weight and the height and 11 the displacement of the DuraHeart is similar to the 12 weight, height, and displacement of the Bourque 2001 13 pump? 14 MS. RAGHAVAN: Objection. Form. 15 A. It comes close. 16 BY MR. VARE: 17 Q. If you look at Figure 2A of Exhibit 1046. 18 A. Yeah. 19 Q. And that figure shows a picture of the 20 DuraHeart that you implanted? 21 A. Correct. 22 Q. I'm introducing another exhibit, which is 23 marked Exhibit 2026. And let me know when you have 24 that exhibit.
Page 63 1 implantation of -- the experience of the first 2 implantation with the HVAD. 3 BY MR. VARE: 4 Q. And did you cite that document in your 5 declaration? 6 A. Nope. Because I think, you know, Exhibit 7 1049, I mean, more or less is only the obituary paper, 8 you know, that I wrote. But I mean, once again, I 9 mean, this was in 2022 that I actually had it. 10 Q. Well, let's switch gears a bit to the 11 DuraHeart. And you began talking about the DuraHeart 12 in your declaration in Paragraph 62. 13 Do you see that? 14 A. Just a sec. Yep. 15 Q. And then at Page 65 (sic), you state that, 16 "By 2006, the DuraHeart pump had been implanted in 20 17 patients." 18 A. That is correct. 19 Q. And you cite Exhibit 1046 for that, which 20 we have looked at before? 21 A. Yeah. So let me go back. 1046. Here we 22 go. Yeah. Uh-huh. Which one is -- yeah. Okay. 23 Q. And I think we established this, but where 24 was the DuraHeart implanted? What part of the body?	Page 65 1 A. I guess I didn't mention that, you know, 2 in my -- in my declaration, but it's one of the papers, 3 you know, that actually we wrote from our experience. 4 You know, Nishinaka used to be a fellow, you know, in 5 Vienna, and he was working with me. 6 Q. So you have answered questions I haven't 7 asked yet, but I'll go ahead and ask them. 8 You recognize Exhibit 2026, correct? 9 A. Correct. 10 Q. And this is an article published in 2006, 11 and you are one of the co-authors? 12 A. Correct. 13 Q. And the first author is a gentleman by the 14 name of Tomohiro Nishinaka, correct? 15 A. That is correct. 16 Q. And this article, 2026, Exhibit 2026, 17 describes the first clinical experience implanting the 18 DuraHeart LVAD? 19 A. That is correct. 20 MS. RAGHAVAN: Objection. Form. 21 BY MR. VARE: 22 Q. So in the -- in the "methods and results," 23 it says, "Four male patient had end-stage heart -- left 24 heart failure and received a DuraHeart VAD as a left

Page 66 1 ventricular assist device for 2 bridge-to-transplantation." 3 What is bridge-to-transplantation? Is that 4 bridge to a heart transplant surgery? 5 A. Yes. 6 Q. And I have also seen bridge-to-destination 7 described in the use of LVADs. 8 Are you familiar with that? 9 A. There is nothing, you know, in 10 terminology, you know, that is a bridge-to-termination 11 (sic), unless you say you have a temporary assist 12 device that actually just bridges the time until you 13 have a durable left ventricular assist device 14 available. But it's a completely different story, I'm 15 sorry to say that. 16 Q. What do you mean by that, completely 17 different story? 18 A. Because you actually are talking about 19 LVADs, which means left ventricular assist devices, 20 which are supposed to be mainly, you know, used in the 21 clinical terminology, and I think that's important. 22 The clinical terminology means, you know, that 23 you have a durable left ventricular assist device, you 24 know, that is fully implanted, and that means, you	Page 68 1 same DuraHeart device that we looked at as shown in 2 Exhibit 1046? 3 A. I think that's correct. 4 Q. 1046 would be in your -- like on your 5 screen. And -- 6 A. Yeah. 7 Q. If you want to pull that up again, it was 8 Figure 2A. 9 A. Let me just do it. 46, right? Yeah. 10 Uh-huh. 11 Q. Yep. And so the DuraHeart device shown in 12 Figures 1 and 3 of Exhibit 2026, and as -- is the same 13 DuraHeart device shown in Figure 2A of Exhibit 1046, 14 correct? 15 A. Correct. 16 Q. And that has both the inflow and outflow 17 extending radially outward from an axis of rotation of 18 the impeller, correct? 19 A. Correct. 20 Q. And just to make clear, the DuraHeart VAD 21 that you are describing your implantation experience 22 with in Exhibit 2026 was placed in the left side 23 preperitoneal upper abdominal pocket, correct? 24 A. Correct.
Page 67 1 know, the patient can stay on that particular device 2 for a long period of time, years. I have patients 3 with, you know, 14, 15 years on these devices, yeah. 4 And various -- you know, the temporary assist 5 devices that we have, you actually are supposed to 6 replace them or actually take them out after a short 7 period of time. 8 So you can have a bridge-to-destination therapy, 9 which means, you know, you bridge a short period of 10 time with a temporary left ventricular, or any kind -- 11 an ECMO can be the same, you know, an ECMO is not an 12 LVAD -- for an implantation with a durable left 13 ventricular assist device. 14 Q. So if you look at Figure 1 of the Exhibit 15 2026, the Nishinaka article. 16 Do you see that? 17 A. Uh-huh. Yeah. 18 Q. And you recognize that as the HeartWare -- 19 or I'm sorry, the DuraHeart LVAD? 20 A. That's correct. 21 Q. DuraHeart VAD. And that's the one that 22 you would have implanted clinically in a human being? 23 A. Correct. 24 Q. And what's shown in Figure 1? Is that the	Page 69 1 Q. When you wrote this article in 2006, was 2 it -- was it your opinion that it was -- it was still 3 debatable which type of rotary pump, axial or 4 centrifugal, was preferable for application in patients 5 requiring higher pump flows or long-term support? 6 A. Can you actually rephrase that, you know, 7 and give me a more precise question, please? 8 Q. Okay. Why don't we just look at the words 9 that were written then. So go to Page 1424 of Exhibit 10 2026. 11 A. 26? 2026, you said? 12 Q. Exhibit 2026. That's a Nishinaka article, 13 Page 1424. 14 A. Okay. And once again, page? 15 Q. 1424. 16 A. 24. Yep. 17 Q. It's the second-to-last paragraph under 18 "discussion." 19 A. Uh-huh. 20 Q. And you write, "It is still debatable 21 which type of rotary pump, axial or centrifugal, 22 including the magnetically levitated DuraHeart, is 23 preferable for application in patients requiring higher 24 pump flows and/or long-term support."

Page 70 1 Do you see that? 2 A. I see that, yeah. 3 Q. And did you agree with that statement at 4 the time you wrote it in 2006? 5 A. Definitely, yes. 6 Q. And at the end of that paragraph, you say, 7 "Nevertheless, further study is needed to confirm 8 whether such theoretical advantages are verified in 9 clinical use." 10 Do you see that? 11 A. That is correct. Yeah. 12 Q. And did you agree with that, that further 13 study was needed to confirm whether the theoretical 14 advantages could be verified in clinical use? 15 A. Yes. 16 Q. Is that -- 17 A. Because I mean, at that time, you know, we 18 only had actually a small cohort of patients available, 19 you know, who actually got implanted, and, you know, 20 you always go for evidence. You have to. This is 21 medicine, yeah. Evidence-based medicine. 22 Q. Let's turn back to your declaration, 23 Doctor. 24 A. Yeah.	Page 72 1 assist devices, as well as, you know, I mean, people 2 who actually just work, you know, in this field is 3 pretty small. 4 And I definitely know Mr. Bourque. I know most 5 of the authors, you know, that you actually have on all 6 the different exhibits, just due to the fact that, you 7 know, first of all, I'm long enough, you know, in this 8 business; and second of all, you know, I mean, I can 9 say, you know, that I have a very good relationship 10 with many of them, due to the fact that I am an 11 engineer as well as a doctor. 12 Q. Can you answer my question, which was, do 13 you cite any evidence, documents, or interviews to 14 support your statement in Paragraph 80? Is there any 15 citation whatsoever in Paragraph 80? 16 MS. RAGHAVAN: Objection. Form. 17 A. There is this exhibit, you know, 2007, 18 that actually I used in my statement and in my 19 declaration in order to explain that. 20 BY MR. VARE: 21 Q. And that's in Paragraph 81? 22 A. 81. 23 Q. But nothing in Paragraph 80, and so you 24 want to go to 81.
Page 71 1 Q. And let's go to Paragraph 80. 2 A. Okay. 3 Q. And in Paragraph 80, you say that, "By 4 2006, Mr. Bourque had already begun redesigning the 5 prototype from 2021 to eliminate the elbow and make 6 other enhancements to miniaturize the device so that 7 the HeartMate 3 could be implanted directly to the apex 8 of the heart." Correct? 9 A. Correct. 10 Q. And the HeartMate 3 is, you're referring 11 to the clinical -- clinically approved HeartMate 3 that 12 was introduced in 2016 or 2017, correct? 13 A. 2014, yeah. 14 Q. Okay. And that's the same Mr. Bourque 15 that was the author of the article, Exhibit 1007, 16 correct? 17 A. Correct. 18 Q. Do you cite any evidence, any documents, 19 any interviews, anything, in Paragraph 80 to support 20 your statement that you knew that he had already begun 21 redesigning the prototype from 2001? 22 A. I mean, you have to take into 23 consideration and know that our community of heart 24 failure surgeons who actually do implants of mechanical	Page 73 1 And so the cite to support both Paragraph 80 and 2 Paragraph 81 would be Exhibit 2007? 3 A. Yeah. I think, you know, you have to take 4 into consideration, and this is a explanation for that, 5 that by the time we did the first implant of the HVAD, 6 you know, and even before that, a lot of people were 7 criticizing Mr. Bourque and Thoratec, you know, about 8 the size of the HeartMate 3, due to the fact, you know, 9 that they actually were supposed to, you know, make 10 changes to it, because everybody in the community told 11 them, you know, I mean, why are you having such a big 12 pump, you know, it's not necessary, do this, do that in 13 order to change that. 14 And I think, you know, this is what Kevin 15 Bourque, you know, more or less took into consideration 16 and started working on it. 17 MR. VARE: Just for formality purpose, 18 I'll move to strike as nonresponsive. 19 BY MR. VARE: 20 Q. You identified Exhibit 2007, which I have 21 now put into the Exhibit Share. 22 A. Okay. 23 Q. And let me know when you see that. 24 A. Yes.

Page 74 1 Q. And this document, Exhibit 2007, reflects 2 an interview published online by the Abbott Newsroom of 3 Kevin Bourque, correct? 4 A. Correct. 5 Q. And the date of this published interview 6 is December 16th, 2021? 7 A. Correct. 8 Q. And earlier you testified that you have 9 not talked to Kevin Bourque in preparation of your 10 declaration or for this deposition, correct? 11 A. Correct. 12 Q. So you didn't talk to Kevin Bourque about 13 this interview published in December of 2021? 14 A. Correct. 15 Q. And you did not talk to Kevin Bourque to 16 confirm the statements you make, your opinions that you 17 have in Paragraphs 80 and 81 and 82 in your 18 declaration? 19 A. Correct. No, I did not talk to him. 20 MR. VARE: Why don't we take a break. I 21 want to see where I'm at and see if we can conclude. 22 THE REPORTER: Off the record. 23 [A recess was taken.] 24 THE REPORTER: We are back on the record.	Page 76 1 know, place pumps, you know, straight at the apex and 2 still the heart is not wiggling enough, because the 3 heart has very specific contraction. You know, I mean, 4 it's like a screw, yeah? It's not like this or 5 whatever; it's like a screw, yeah? 6 In addition to that, when you put in an LVAD, a 7 left ventricular assist device, you unload the heart, 8 yeah, because I mean, it's in parallel to the natural 9 flow of the blood. 10 Q. And so the weight of the LVAD pump 11 directly implanted to the apex of the heart, your 12 opinion is the weight doesn't matter, you can have a 13 really heavy pump? 14 MS. RAGHAVAN: Objection. Form. 15 A. No -- no, it matters. I'm sorry. Because 16 once again, you know -- and I think this has been 17 mentioned, you know, several times. The size of the 18 device actually plays a role, because you want to make 19 it small so you actually can implant it, you know, for 20 example, into the pericardial space and not use a 21 second compartment of the body, like a preperitoneal 22 pocket or even, you know, the pelvic region, you know, 23 to place the pump. That is definitely something, you 24 know, you try to avoid.
Page 75 1 BY MR. VARE: 2 Q. Thank you. Doctor, when you implant an 3 LVAD and you attach it directly to the apex of the 4 heart, do you need to be careful not to resist the 5 movement of the heart during beating? 6 A. No. 7 MS. RAGHAVAN: Objection. Form. 8 A. The issue is, you know -- and this is just 9 for you to know -- the heart is restricted by itself, 10 you know, within the pericardium, so it cannot move to 11 the left or to the right. It actually stays the way it 12 is, you know. It just moves inside the pericardium, 13 yeah? 14 BY MR. VARE: 15 Q. Okay. 16 A. When you do the implant, you open the 17 pericardium. That means, you know, you give the heart 18 a little bit more wiggle room, yeah? But this wiggle 19 room immediately, you know, is filled up by fluid that 20 actually -- I mean, always when you open or cut into a 21 area, you know, in the chest or in the abdomen, 22 whatsoever, you always have plasma that actually fills, 23 you know, up the area around, yeah? 24 So it -- that's the reason why you can, you	Page 77 1 MR. VARE: Okay. Thank you. I don't have 2 any further questions. I appreciate your time today. 3 A. It was a pleasure. 4 EXAMINATION 5 BY MS. RAGHAVAN: 6 Q. Doctor, I do have a few follow-up 7 questions for you. We can -- we can jump right in, if 8 that's okay. 9 A. Yes. 10 Q. Can you turn back to Exhibit 1015? 11 A. Sorry. Sorry, it's just loading. 12 Q. No problem. 13 A. Yes. 14 Q. Do you recall that counsel asked you some 15 questions about this article? 16 A. Yes. 17 Q. Do you recall that counsel asked you 18 whether this document contains an illustration or a 19 figure of the pump, the HeartWare pump? 20 A. Yes. 21 Q. And you agreed that it didn't, correct? 22 A. Correct. 23 Q. Would you agree that this document 24 includes a textual description of the pump design for

Page 78 1 an HVAD? 2 A. Absolutely, yes. 3 MR. VARE: Objection. Objection. Form. 4 Leading. 5 A. I think you have to take into 6 consideration, you know, this is not a journal article. 7 This is an abstract, you know, for a presentation at 8 the ASAIO meeting, you know, in 2004. 9 And usually, in a abstract for a presentation 10 for a society, you know, you hardly put in pictures 11 because there is no space for it, so you actually just 12 more or less limit yourself to a declaration, you know, 13 I mean, how this pump or whatever you do is actually 14 mentioned. 15 You know, it's -- in a formal paper, it would be 16 the methods, yeah, but in an abstract, you know, it has 17 a completely different form, yeah, and you hardly would 18 put pictures, you know, in an abstract, you know, for 19 an abstract for a society meeting. 20 MR. VARE: I'll move to strike the 21 response as nonresponsive. 22 MS. RAGHAVAN: I believe it was responsive 23 to my question. Thank you. 24 BY MS. RAGHAVAN:	Page 80 1 A. Once again -- 2 MR. VARE: Objection. Objection. This is 3 outside the scope of his declaration. 4 MS. RAGHAVAN: I disagree. You can 5 answer. 6 A. ASAIO, you know, is the American Society 7 For Artificial Internal Organs, which actually is the 8 forum, you know, for pat -- for developers, you know, 9 and clinicians who work, you know, with artificial 10 machines, you know, like left ventricular assist 11 devices, total artificial hearts, and so on. 12 And it actually is an annual meeting, and you 13 actually present more or less -- you know, if you're in 14 academia, you actually have to present, because, I 15 mean, once again, it's just part of your job at the 16 university. If you actually an industry person, you 17 only allowed, you know, to publish and to make public 18 things, you know, that you actually have secured, you 19 know, to patents and so on. 20 And it is, you know, as I said, an annual 21 meeting where the whole community meets. That's the 22 reason why we know each other very well. You know, Dr. 23 Frazier, for example, you know, used to be the director 24 of the MCS program at Texas Heart, and he was also
Page 79 1 Q. Are you familiar with the ASAIO meeting 2 that took place in connection with this article? 3 A. Yeah. 4 Q. Were -- did you attend that meeting? 5 A. Yeah. 6 Q. Did that meeting -- during that meeting, 7 was the HeartWare HVAD discussed? 8 A. Yeah. 9 Q. Was the design of the HeartWare HVAD 10 disclosed or discussed during that ASAIO meeting? 11 A. I'm pretty sure it was, because I mean, 12 once again, industry actually just discusses things 13 publicly, I mean, they have the IP secured, you know, 14 with a patent. 15 And Jeff LaRose, you know, is the chief 16 scientific officer of -- or was the chief scientific 17 officer of HeartWare, and whatever, I mean, he actually 18 disclosed to the public probably was secured, 100 19 percent was secured, you know, by IP and the patent. 20 MR. VARE: Move to strike as 21 nonresponsive. 22 BY MS. RAGHAVAN: 23 Q. What is your recollection of the ASAIO 24 meeting?	Page 81 1 involved, you know, in the animal experiments of the 2 HeartWare HVAD. We did that, you know, in his 3 institution. 4 MR. VARE: I'll move to strike as 5 nonresponsive and outside the scope of both his 6 declaration and the scope of my cross-examination. 7 BY MS. RAGHAVAN: 8 Q. Can you turn to Exhibit 1044, Doctor? 9 A. Yes. 10 Q. You recall counsel asked you questions 11 about this document as well? 12 A. Yes. 13 Q. And this document is dated March 24, 2006, 14 correct? 15 A. Correct. 16 Q. And it concerns the first human implant of 17 HeartWare's HVAD, is that correct? 18 A. That is correct. 19 Q. By the time of this article, is it your 20 opinion that a person of ordinary skill in the art knew 21 what the HeartWare HVAD pump looked like? 22 MR. VARE: Objection. Form. Leading. 23 And it's outside the scope. 24 A. Well, I think, you know, at that time

Page 82 1 everybody knew how the HeartWare HVAD, you know, 2 actually was looking. And as a matter of fact, you 3 know, one month later was the International Society of 4 Heart and Lung Transplantation meeting in Madrid, and I 5 actually presented, you know, the first implant, you 6 know, in Madrid, about this implant. 7 MR. VARE: Move to strike as nonresponsive 8 and outside the scope. 9 BY MS. RAGHAVAN: 10 Q. What is the basis of your statement that 11 everybody knew how the HeartWare HVAD was looking as of 12 2006? 13 MR. VARE: Move -- it's objection as to 14 leading and also outside the scope. 15 A. Am I supposed to answer? 16 BY MS. RAGHAVAN: 17 Q. You can answer. 18 A. Well, the issue was, you know, that -- and 19 I think, you know, this has been mentioned already, 20 everybody tried, you know, to make the pumps small, you 21 know, so they can be implanted into -- in the heart 22 either, because I mean, Jarvik in 2000 actually was the 23 first one who did that, yeah? 24 He actually -- the Jarvik pump was so small that	Page 84 1 My question was, what is the basis of your 2 statement that everybody knew how the HeartWare HVAD 3 pump looked? 4 MR. VARE: Which just confirms my 5 objection as appropriate. 6 MS. RAGHAVAN: Thank you for the 7 commentary. 8 A. Anyway -- 9 MR. VARE: Same objection to the question. 10 It's outside the scope, beyond the scope of the 11 declaration, and also outside the scope of the 12 cross-examination. 13 BY MS. RAGHAVAN: 14 Q. You can answer. 15 A. Okay. So since, actually, in 2004 Jeff 16 LaRose, you know, was presenting the shape and the 17 size, you know, of the pump of the HeartWare HVAD pump, 18 you know, at that size -- at that time, it was more or 19 less, you know, evident, you know, to the community, 20 you know, that HeartWare is working on such a pump, you 21 know, with a small size, you know. 22 At that time, the question for us was, you know, 23 from the development group, to have, you know, a 24 controller that is appropriate, you know, the shape of
Page 83 1 you actually could place it inside the left apical 2 wall. And so I mean, this was more or less the 3 objection of everybody, to make the pumps as small as 4 possible, yeah? And so it was more or less, you know, 5 relevant to all the investigators, you know, and 6 developers of pumps to be able to, you know, make small 7 pumps. 8 And for sure, you know, since Wampler had in 9 1999 already, you know, the idea to implement the 10 inflow cannula into the housing of the pump, yeah, and 11 to make with that move, you know, the pump smaller so 12 you can implant it, you know, into the 13 intrapericardial -- the idea, you know, is not new, 14 yeah, and was available, you know, to a lot of people 15 in the field. 16 So it was just a matter of fact, I mean, that 17 you actually are able to do that appropriately and to 18 make pumps smaller so they actually can be placed, you 19 know, into the pericardial space. 20 MR. VARE: I'll move to strike the 21 response, the answer as nonresponsive and outside the 22 scope of his declaration and my cross-examination. 23 BY MS. RAGHAVAN: 24 Q. So going back to the HeartWare HVAD.	Page 85 1 the controller. We actually implemented a lot of 2 interesting new stuff, for example, you know, storage 3 media in an end. 4 But the pump -- the frozen design of the pump, 5 you know, was around beginning of 2004, and that's the 6 reason why Jeff LaRose actually could present it at the 7 ASAIO meeting. 8 MR. VARE: I'll move to strike the answer 9 as nonresponsive and outside the scope of his 10 declaration and cross-examination. 11 MS. RAGHAVAN: I believe you already 12 objected, Todd. 13 And I have no further questions for you, Doctor. 14 Thank you. 15 MR. VARE: Thank you. Nothing further 16 from me. 17 [11:24 a.m.] 18 THE REPORTER: Todd, are you just needing 19 an e-transcript? 20 MR. VARE: We need just a transcript, we 21 need a rough as soon as possible, and then we do need 22 to expedite the final transcript, because we have got a 23 pleading due shortly. So what are your expedited 24 options here?

Page 86 1 THE REPORTER: We can get it before the 2 end of the week even. 3 MR. VARE: Awesome. That will work. 4 THE REPORTER: And then Saranya, what are 5 you needing? 6 MS. RAGHAVAN: We'll get a rough as well, 7 and then we can do standard delivery on the final. 8 9 [SIGNATURE RESERVED.] 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24	Page 88 1 Veritext Legal Solutions 2 1100 Superior Ave 3 Suite 1820 4 Cleveland, Ohio 44114 5 Phone: 216-523-1313 6 January 16, 2026 7 To: Ms. Raghavan 8 Case Name: Abbott Laboratories v. Miracor Medical SA 9 Veritext Reference Number: 7828108 10 Witness: Dr. Georg Wieselthaler Deposition Date: 1/15/2026 11 Dear Sir/Madam: 12 Enclosed please find a deposition transcript. Please have the witness 13 review the transcript and note any changes or corrections on the 14 included errata sheet, indicating the page, line number, change, and 15 the reason for the change. Have the witness' signature notarized and 16 forward the completed page(s) back to us at the Production address 17 shown 18 above, or email to production-midwest@veritext.com. 19 If the errata is not returned within thirty days of your receipt of 20 this letter, the reading and signing will be deemed waived. 21 Sincerely, 22 Production Department 23 24 NO NOTARY REQUIRED IN CA
Page 87 1 C E R T I F I C A T E 2 3 I, Jude Arndt, a Certified Shorthand Reporter 4 and Certified Court Reporter, do hereby certify that 5 DR. GEORG WIESELTHALER, prior to the commencement of 6 the examination, was sworn by me via videoconference on 7 January 15, 2026, to testify the truth, the whole truth 8 and nothing but the truth. 9 I DO FURTHER CERTIFY that the foregoing is a 10 true and accurate transcript of the proceedings as 11 taken stenographically by and before me at the time, 12 place and on the date hereinbefore set forth. 13 I DO FURTHER CERTIFY that I am neither a 14 relative nor employee nor attorney nor counsel of any 15 of the parties to this action, and that I am neither a 16 relative nor employee of such attorney or counsel, and 17 that I am not financially interested in this action. 18 19 20 JUDE ARNDT, CSR, CCR, RPR 21 CSR NO. 084-004847 22 CCR NO. 1450 23 24	Page 89 1 DEPOSITION REVIEW 2 CERTIFICATION OF WITNESS 3 ASSIGNMENT REFERENCE NO: 7828108 4 CASE NAME: Abbott Laboratories v. Miracor Medical SA 5 DATE OF DEPOSITION: 1/15/2026 6 WITNESS' NAME: Dr. Georg Wieselthaler 7 In accordance with the Rules of Civil 8 Procedure, I have read the entire transcript of 9 my testimony or it has been read to me. 10 I have made no changes to the testimony 11 as transcribed by the court reporter. 12 13 Date _____ Dr. Georg Wieselthaler 14 Sworn to and subscribed before me, a 15 Notary Public in and for the State and County, 16 the referenced witness did personally appear 17 and acknowledge that: 18 They have read the transcript; 19 They signed the foregoing Sworn 20 Statement; and 21 Their execution of this Statement is of 22 their free act and deed. 23 24 I have affixed my name and official seal 25 this _____ day of _____, 20____. _____ Notary Public _____ Commission Expiration Date

23 (Pages 86 - 89)

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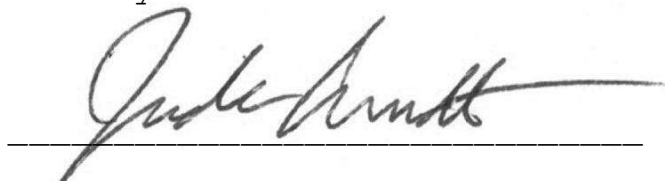
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C E R T I F I C A T E

I, Jude Arndt, a Certified Shorthand Reporter and Certified Court Reporter, do hereby certify that DR. GEORG WIESELTHALER, prior to the commencement of the examination, was sworn by me via videoconference on January 15, 2026, to testify the truth, the whole truth and nothing but the truth.

I DO FURTHER CERTIFY that the foregoing is a true and accurate transcript of the proceedings as taken stenographically by and before me at the time, place and on the date hereinbefore set forth.

I DO FURTHER CERTIFY that I am neither a relative nor employee nor attorney nor counsel of any of the parties to this action, and that I am neither a relative nor employee of such attorney or counsel, and that I am not financially interested in this action.

A handwritten signature in cursive script, reading "Jude Arndt", is written over a horizontal line.

JUDE ARNDT, CSR, CCR, RPR

CSR NO. 084-004847

CCR NO. 1450