

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ZHUHAI COSMX BATTERY CO., LTD.,
Petitioner

v.

NINGDE AMPEREX TECHNOLOGY LIMITED,
Patent Owner

Case IPR2025-00431
U.S. Patent No. 11,799,131

PETITIONER’S UPDATED EXHIBIT LIST

EXHIBIT LIST

<u>Exhibit No.</u>	<u>Description</u>
1001	U.S. Patent No. 11,799,131 (“the ’131 Patent”)
1002	Prosecution history of U.S. Patent No. 11,799,131 (“the ’131 Patent file history”)
1003	Declaration of Dr. Brett Lucht, Ph.D.
1004	<i>Curriculum Vitae</i> of Dr. Brett Lucht, Ph.D.
1005	China Patent Application Publication No. CN 106099187A to Zeng et al. (“Zeng”)
1006	Certified English Translation of China Patent Application Publication No. CN 106099187A to Zeng et al. (“Zeng”)
1007	U.S. Patent Application Publication No. 2013/0224535 A1 to Matsuoka et al. (“Matsuoka”)
1008	U.S. Patent Application Publication No. 2017/0288268 A1 to Kim et al. (“Kim”)
1009	Japan Patent Application Publication No. 2009-252349 to Sunose et al. (“Sunose”)
1010	Certified English Translation of Japan Patent Application Publication No. 2009-252349 to Sunose et al. (“Sunose”)
1011	China Patent No. 108023117 to Su et al. (“Su”)
1012	Certified English Translation of China Patent No. 108023117 to Su et al. (“Su”)
1013	China Patent Application Publication No. CN 105552439 A to Zhou et al. (“Zhou”)
1014	Certified English Translation of China Patent Application Publication No. CN 105552439 A to Zhou et al. (“Zhou”)
1015	Chinese Patent No. 106848381 (“Hong”) and Certified Translation of Hong
1016	Petition (Paper 1) in <i>Zhuhai CosMX Battery Co. v. Ningde Ampere Tech. Ltd.</i> , IPR2023-00586 (PTAB Feb. 23, 2023)

<u>Exhibit No.</u>	<u>Description</u>
1017	Decision Denying Institution (Paper 14) in <i>Zhuhai CosMX Battery Co. v. Ningde Amperex Tech. Ltd.</i> , IPR2023-00586 (PTAB Aug. 18, 2023)
1018	National Library of Medicine PubChem Pages
1019	Millipore Sigma data sheets for ethylene carbonate (EC), propylene carbonate (PC), ethyl propionate (EP), propyl propionate (PP), diethyl carbonate, and fluorobenzene
1020	TCI America page for 1,3,6-Hexanetricarbonitrile
1021	Complaint, <i>Ningde Amperex Tech. Ltd. v. Zhuhai CosMX Battery Co.</i> , No. 2:24-cv-00728-JRG (E.D. Tex. Sept. 6, 2024), ECF 1.
1022	Reserved
1023	U.S. Patent Application Publication No. 2017/0324116 A1 to Youichi Ohashi (“Ohashi”)
1024	U.S. Patent Application Publication No. 2016/0294007 A1 to Wang Kefei (“Kefei”)
1025	U.S. Patent Application Publication No. 2017/0069934 A1 to Yun-Hee Kim et al. (“Kim ’934”)
1026	Prosecution history of U.S. Patent No. 11,769,910 (“the ’910 Patent file history”)
1027	Alleged Infringement Claim Chart for ’131 Patent
1028	Second Amended Complaint for Patent Infringement, <i>Ningde Amperex Tech. Ltd. v. Zhuhai CosMX Battery Co.</i> , No. 2:24-cv-00728-JRG (E.D. Tex. Jan. 28, 2025), ECF 23.

CERTIFICATE OF SERVICE (37 C.F.R. §§ 42.6(e), 42.105(a))

I certify that the above-captioned PETITIONER'S UPDATED EXHIBIT LIST and EX1028 were served upon the Patent Owner on April 1, 2025, by email as follows:

Christopher TL Douglas
Miranda Sooter
Casey Hickey
ALSTON & BIRD LLP
1120 South Tryon, Suite 300
Charlotte, NC 28203
christopher.douglas@alston.com
miranda.sooter@alston.com
casey.hickey@alston.com

ATL-CosMX-IPRs@alston.com

Madeline Byrd
ALSTON & BIRD LLP
90 Park Avenue
New York, NY 10016
maddy.byrd@alston.com

Brady Cox
ALSTON & BIRD LLP
2200 Ross Avenue, Suite 2300
Dallas, TX 75201
brady.cox@alston.com

Respectfully submitted,

LEE & HAYES, P.C.

/James D Stein/

James D. Stein
Registration No. 63,782
Lead Counsel for Petitioner

Date: April 1, 2025