

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO., Ltd. and
SAMSUNG ELECTRONICS AMERICA, INC.,
Petitioner

v.

HEADWATER RESEARCH LLC,
Patent Owner

Case IPR2025-00481
Patent 11,096,055

PETITIONER'S UPDATED EXHIBIT LIST

UPDATED EXHIBIT LIST

SAMSUNG-1001	U.S. Patent No. 11,096,055 to Raleigh (“the ’055 Patent”)
SAMSUNG-1002	Excerpts from the Prosecution History of the ’055 Patent (“the Prosecution History”)
SAMSUNG-1003	Declaration and Curriculum Vitae of Dr. Patrick Traynor
SAMSUNG-1004	Complaint for Patent Infringement in <i>Headwater Research LLC v. Samsung Electronics Co., Ltd. et al.</i> , 2-24-cv-00228 (EDTX), Apr. 03, 2024)
SAMSUNG-1005	U.S. Patent Publication No. 2009/0239584 (“Jheng”)
SAMSUNG-1006	PCT Patent Publication No. WO2004/031488 (“Chiu”)
SAMSUNG-1007	U.S. Patent Publication No. 2006/0039354 (“Rao”)
SAMSUNG-1008	U.S. Patent Publication No. 2006/0206941 (“Collins”)
SAMSUNG-1009	U.S. Patent Publication No. 2009/0149220 (“Camilleri”)
SAMSUNG-1010	U.S. Patent Publication No. 2004/0196796 (“Bajko”)
SAMSUNG-1011	U.S. Patent Publication No. 2005/0107066 (“Erskine”)
SAMSUNG-1012	U.S. Patent Publication No. 2005/0164737 (“Brown”)
SAMSUNG-1013	European Patent Publication No. 1 484 871 A1 (“Kelz”)
SAMSUNG-1014	3rd Generation Partnership Project; Technical Specification Group Terminals; Characteristics of the USIM application (Release 7) (“3GPP TS 31.102”)
SAMSUNG-1015	Digital cellular telecommunications system (Phase 2+); Specification of the Subscriber Identity Module-Mobile Equipment (SIM - ME) interface (“GSM 11.11”)
SAMSUNG-1016	U.S. Patent Publication No. 2008/0080458 (“Cole”)

SAMSUNG-1017	U.S. Patent Publication No. 2007/0184858 (“Landschaft”)
SAMSUNG-1018	3GPP2 S.R0048-A Version 4.0, 3G Mobile Equipment Identifier (MEID) Stage 1 (“3GPP2 S.R0048-A”).
SAMSUNG-1019	IEEE Standard for Local and Metropolitan Area Networks: Overview and Architecture (“IEEE 802-2001”)
SAMSUNG-1020	<i>Security Engineering</i> , Second Edition (“Anderson”)
SAMSUNG-1021	<i>Developing a new Protection Profile for (U)SIM UICC platforms</i> , (“Wary”)
SAMSUNG-1022	<i>Mobile Virtual Network Operators</i> , Teletronikk Volume 97 No. 4 – 2001 (“Thanh”)
SAMSUNG-1023	<i>The Symbian OS Architecture Sourcebook</i> (“Morris”)
SAMSUNG-1024	U.S. Patent Publication No. 2010/0273450 A1 (“Papineau”)
SAMSUNG-1025	Memorandum, Interim Procedure for Discretionary Denials in AIA Post-Grant Proceedings, June 21, 2022, available at https://www.uspto.gov/sites/default/files/documents/interim_proc_discretionary_denials_aia_parallel_district_court_litigation_memo_20220621_.pdf
SAMSUNG-1026	Samsung Stipulation Letter
SAMSUNG-1027	Docket Control Order, CASE NO. 2:24-CV-00228-JRG-RSP
SAMSUNG-1028	U.S. Patent No. 7,929,993 B2 (“Nagarajan”)
SAMSUNG-1029	<i>Learning XML</i> , Erik. T Ray (“Ray”), published January 2001
SAMSUNG-1030	U.S. Patent Publication No. 2008/0020755 (“Liu”)
SAMSUNG-1031	Stipulation

Respectfully submitted,

Dated May 28, 2025

/Usman Khan/

W. Karl Renner, Reg. No. 41,265

Jeremy J. Monaldo, Reg. No. 58,680

Usman A. Khan, Reg. No. 70,439

Fish & Richardson P.C.

60 South Sixth Street, Suite 3200

Minneapolis, MN 55402

T: 202-783-5070

F: 877-769-7945

Attorneys for Petitioner

CERTIFICATE OF SERVICE

Pursuant to 37 CFR §§ 42.6(e)(4) and 42.205(b), the undersigned certifies that on May 28, 2025, a complete and entire copy of this Petitioner's Updated Exhibit List and Exhibit 1031 were provided by Federal Express to the Patent Owner by serving the correspondence email address of record as follows:

Headwater Research LLC
C/O Farjani & Farjani LLP
26522 La Alameda Ave., Suite 360
Mission Viejo, CA 92691

/Diana Bradley/
Diana Bradley
Fish & Richardson P.C.
60 South Sixth Street, Suite 3200
Minneapolis, MN 55402
bradley@fr.com