

From: Muller, F. (Frank)
Sent: Tuesday, December 9, 2008 8:27 PM
To: Erik Visscher <E.Visscher@dvme.nl>
Cc: lia.gerritse-vanbavel@kpn.com
Subject: RE: service-based authentication

Hi Erik,

Here is the reviewed material.

In the Word document, I have added my comments with Track Changes. The main point is that the SI manager does not own the RF, Bluetooth, WLAN, etc. modules itself, but only (secure) interfaces to all these modules. It would probably have been easier for you if I had accepted all previous changes first, but I thought of that too late. Unfortunately, I could not easily do this afterwards with the "Accept all changes" and "Compare" actions. So, now everything is still there, but of course you can see from the color what my changes are. (Sometimes these are old, but you can see that from the date.)

I am still a bit uncertain about what was meant in Claim 6; I have tried to sharpen this, but please check again whether this reflects your original intention for the claim correctly.

In the ppt. file, I have only made a few minor changes:

- In Figure 1, the radio module 119 is pulled out of the SI module 118.
- In Figure 1, 124 (SIU) moved from 116 (HLR) to 110 (MSC). (Although in another version, namely the version where the Challenge is modified, it should be in the HLR, but that is not the "preferred solution".)

Actually, Figure 3 should make it clear that 314a to 314d are not only in the network, but also in the terminal. But I was unable to modify that today. Would you be able to do that?

Regards,

Frank

From: Erik Visscher [<mailto:E.Visscher@dvme.nl>]
Sent: Monday December 8, 2008 9:58 AM
To: Gerritse, C.J. (Lia) (KPNCC L&R CIPO Intellectual Property)
CC: jeroen.laarakkers@tno.nl; frank.muller@tno.nl
Subject: RE: service-based authentication

Thanks for the info. I will pass the info on to Dorian.

I am still waiting for feedback on the service-based authentication application, but so far, everything is according to plan.

Regards,

De Vries & Metman
Erik Visscher

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From: lia.gerritse-vanbavel@kpn.com [<mailto:lia.gerritse-vanbavel@kpn.com>]
Sent: Monday December 8, 2008 9:26 AM
To: Erik Visscher
CC: jeroen.laarakkers@tno.nl; frank.muller@tno.nl
Subject: RE: service-based authentication

Hi Erik,
Are you guys still on schedule? I would really like to submit these two before Christmas. To speed up the formalities process, could you please already give Dorian titles so she can prepare everything as much as possible? Dorian's last day at work this year will be on December 19 ;-) !
Regards,
Lia

From: Erik Visscher [<mailto:E.Visscher@dvme.nl>]
Sent: Tuesday November 25, 2008 5:49 PM
To: Gerritse, C.J. (Lia) (KPNCC L&R CIPO Intellectual Property)
Subject: RE: service-based authentication

Yes indeed, and....I work best under pressure. Will it work with the numbers?

Regards,

De Vries & Metman
Erik Visscher

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From: lia.gerritse-vanbavel@kpn.com [<mailto:lia.gerritse-vanbavel@kpn.com>]
Sent: Tuesday November 25, 2008 5:24 PM
To: Erik Visscher; frank.muller@tno.nl
CC: jeroen.laarakkers@tno.nl
Subject: RE: service-based authentication

Nice, nice, nice. Will that whitelisting still work in 2008 (it's target time again ;-))?

From: Erik Visscher [<mailto:E.Visscher@dvme.nl>]
Sent: Tuesday November 25, 2008 1:24 PM
To: Muller, F. (Frank); Gerritse, C.J. (Lia) (KPNCC L&R CIPO Intellectual Property)
CC: Laarakkers, J. (Jeroen)
Subject: RE: service-based authentication

Dear Lia, Frank,

The application is indeed as good as finished. Attached is the amended application. The scope of changes that have been incorporated into the application based on "progressive insight" is substantial, so I would like to ask (Frank?) to take another look at this amended version before the application is filed.

Now that this is (as good as) finished, I will finish the whitelisting application so that it can be reviewed by the inventors as soon as possible.

Kind regards,

De Vries & Metman
Erik Visscher

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From: Muller, F. (Frank) [<mailto:frank.muller@tno.nl>]
Sent: Monday November 24, 2008 12:58 PM
To: Gerritse, C.J. (Lia) (KPNCC L&R CIPO Intellectual Property)
CC: Laarakkers, J. (Jeroen); E.Visscher@dvme.nl
Subject: RE: service-based authentication

Hi Lia,

The application for service-based authentication should be about finished now. See attached email from Erik from last week.

Regards,

Frank

From: lia.gerritse-vanbavel@kpn.com [<mailto:lia.gerritse-vanbavel@kpn.com>]
Sent: Monday November 24, 2008 9:45 AM
To: Muller, F. (Frank); E.Visscher@dvme.nl
Cc: Laarakkers, J. (Jeroen)
Subject: RE: service-based authentication

Gentlemen,

How are things progressing with this? I would like to submit this one soon, and if possible, another one from the RadioCards project in December. Will that work?

Regards,

Lia

Van: Muller, F. (Frank) [<mailto:frank.muller@tno.nl>]
Sent: Monday November 10, 2008 7:56 PM
To: Erik Visscher
CC: Laarakkers, J. (Jeroen); Gerritse, C.J. (Lia) (KPNCC L&R CIPO Intellectual Property)
Subject: RE: service-based authentication

Hi Erik,

Your text is very good basic material, but as I already wrote, it made me think a lot, which resulted in a number of new insights.

First, just a quick comment on the current text. Here is a version of the Word document modified with Track Changes and slightly modified figures. I have made changes to figures 1, 3, and 4.

The following points of attention with regard to the figures:

- Numbers 130 and 132 from Figure 1 do not appear in the text. Does that mean that they can be removed, or that an entity (e.g., the AC manager) should have been given that number, or should they actually have had a different number? For example, number 128 from the text does not appear in Figure 1, while that may have been the intention.
- 312 and 314 are not yet correctly included in Figure 3.

As I wrote in my previous email, it will be difficult to pass an eXpected Service Code to the AuC or to have it determined by the Service Identification Unit in the AuC. There are even more practical objections to the implementation examples as they are now. However, I would leave them as they are; after all, they are examples.

Below, the whole idea is now examined more fundamentally:

First, the requirements for the solution:

- An attacker must not be able to change the Service Code (SC); it must therefore be firmly embedded in the response.
- The HLR/AuC must not need to know the value of the SC. In the interface to the HLR/AuC, there are no options to pass this on; the HLR only receives the IMSI (International Mobile Subscriber Identity) of the user.
- The HLR/AuC only provides one value for XRES to the MSC/VLR, not a series of outcomes to try out. (The interface does not offer any options for this.)
- Backwards compatibility: the solution must also work with 'old' Mobile Stations and MSC/VLRs that do not include the SC in the calculation of the response.
- No changes to the (U)SIM. We do not see changes to the SIM or USIM specs as a realistic option.

These requirements lead to a solution in which it is not the HLR/AuC that makes an adjustment to the response, but the MSC/VLR. The AuC and the (U)SIM can simply continue to work as before.

This does mean that the customer's secret key cannot be involved in this, because they are only known to the AuC and the (U)SIM.

Then, I conclude that the mobile with the SC must modify the response RES and with a one-way function, so that it cannot be undone by the attacker. The MSC/VLR can release the same one-way function on the XRES and SC to check the RES'. I have put this (rough sketch) in an added Figure 5. Actually, this solution is already described in broad terms on page 5, line 30 to page 6, line 18, but it should be added as a preferred implementation example at the top of (the current) page 16. This solution also provides the desired backwards compatibility: if the mobile is not equipped with the option to take the SC with it, the MSC/VLR will not do this either, or vice versa.

In my opinion, this new event still corresponds exactly with Claim 1 and Claim 2. Claim 3 and 4 are tailored to the implementation example you described and do not match the new insights. So, I think that additional claims are needed. The old Claims 3 and 4 should remain, I think. Claims 5, 6, 7, 8, and 9 also fit the new insights. Claim 10 does not. Claims 11 to 15 do.

Furthermore, there should be a Service Identification Unit (or Trusted Module, or Authentication Manager, or something like that) in the mobile that securely determines via which interface the challenge has arrived and processes the correct Service Code in the RES'. This module should also be the only module that receives the RES value from the UICC; see my Figure 6. The 3G radio card could play this role, but it does not have to.

The only thing that is not yet fully secured, and for which I do not yet have a ready-made solution, is that the attacker could make the network or the mobile appear more stupid than it is (namely not able to include the SC in the response), and, in this way, abuse the backwards compatibility. But I don't need to have solved that already to be able to patent the idea so far?

I wanted to leave it at that for now. Can you call me if you have any questions about it, which I can well imagine, if I need to provide more detailed input, or if you want to discuss how we will proceed with this?

Regards,

Frank

From: Erik Visscher [<mailto:E.Visscher@dvme.nl>]
Sent: Wednesday October 8, 2008 3:40 PM
To: Laarakkers, J. (Jeroen)
Cc: Muller, F. (Frank); Hartog, T. (Tim); Fransen, F. (Frank); lia.gerritse-vanbavel@kpn.com
Subject: service-based authentication

Dear all,

Attached is the draft regarding the above application. Please let me have your critical comments and additions where necessary. I also have a question (see p.13 description).

Kind regards,

De Vries & Metman
Erik Visscher

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TRANSLATOR'S CERTIFICATE OF TRANSLATION

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Questel Project Number: []
Date: 05/07/2025

To whom it may concern:

I, Hugh T. Robinson, a translator fluent in the Dutch and English languages, on behalf of Questel, do solemnly and sincerely declare that the following is, to the best of my knowledge and belief, a true and correct translation of the document(s) listed below in a form that best reflects the intention and meaning of the original text.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. I further declare that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

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Signed this 7th day of May, 2025

Hugh Robinson

Signature

Hugh T. Robinson

Name _____