

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)

Approved for use through 11/30/2020. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		17157436
	Filing Date		2021-01-25
	First Named Inventor	Glenn Norman Sanders	
	Art Unit	2648	
	Examiner Name	Keith Ferguson	
	Attorney Docket Number	ZZ0001.063	

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	10901680	B1	2021-01-26	Sanders, et al.	
	2	5471658	A	1995-11-28	Iacono, Gene	
	3	6075500	A	2000-06-13	Kurz, et al.	
	4	5479351	A	1995-12-26	Woo, et al.	
	5	6825875	B1	2004-11-30	Strub, et al.	
	6	6571211	B1	2003-05-27	Dwyer et al.	
	7	9336307	B2	2016-05-10	Sanders, et al.	
	8	8385814	B2	2013-02-26	Sanders, et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	17157436
Filing Date	2021-01-25
First Named Inventor	Glenn Norman Sanders
Art Unit	2648
Examiner Name	Keith Ferguson
Attorney Docket Number	ZZ0001.063

9	7929902	B1	2011-04-19	Sanders, et al.	
---	---------	----	------------	-----------------	--

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20020159179	A1	2002-10-31	Nagai, et al.	
	2	20040028041	A1	2004-02-12	GLEISSNER	
	3	20050271355	A1	2005-12-08	Gilor, Avraham	
	4	20060083388	A1	2006-04-20	Rothschild, Leigh	
	5	20060270465	A1	2006-11-30	Lee, et al.	
	6	20040220487	A1	2004-11-04	Vyshedskiy, et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T ⁵
-------------------	---------	--------------------------------------	---------------------------	------------------------	------------------	---	--	----------------

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	17157436
Filing Date	2021-01-25
First Named Inventor	Glenn Norman Sanders
Art Unit	2648
Examiner Name	Keith Ferguson
Attorney Docket Number	ZZ0001.063

1	WO 2004/091219	GB	A1	2004-10-21	Wood, et al.	☐
---	----------------	----	----	------------	--------------	--------------------------

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	Petition for Inter Partes Review of U.S. Patent No. 9,336,307, (Exhibits Listed Separately Herein) filed April 25, 2018, IPR2018-00972	☐
	2	File History of U.S. Patent Application No. 13/774,744 filed in IPR2018-00972 (EX 1002)	☐
	3	IWAMURA, SOICHI et al., Dropout Replacement with Backup Recording at Tape's Margin, (IEEE International Conference on Consumer Electronics Digest of Technical Papers) 1993, Pages 84-85, ISBN:0-7803-0843-3, USA, filed in IPR2018-00972 (EX 1007)	☐
	4	Declaration of John Tinsman dated April 25, 2018, filed in IPR2018-00972 (EX 1011)	☐
	5	Curriculum Vitae of John Tinsman filed in IPR2018-00972 (EX 1012)	☐
	6	Lee Provisional Patent Application No. 60/685, 974, filed in IPR2018-00972 (EX 1013)	☐
	7	Zaxcom Inc.'s First Amended Complaint (Including All Exhibits), Zaxcom, Inc. v. Lectrosonics, Inc., 1:17-cv-3408 (E.D. N.Y.), Filed Nov. 16, 2017	☐
	8	Zaxcom, Inc.'s Patent Owner Response (Including Non-Duplicative Exhibits) filed in Case IPR2018-00972 on February 5, 2019.	☐

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	17157436
Filing Date	2021-01-25
First Named Inventor	Glenn Norman Sanders
Art Unit	2648
Examiner Name	Keith Ferguson
Attorney Docket Number	ZZ0001.063

9	RF SOLUTIONS LTD., "LS-40MM - GPS Receiver Module", product specification dated September 1, 2004, UK, filed in IPR2018-00972 (ex 1019)	☐
10	Zaxcom, Inc.'s Patent Owner Preliminary Response Pursuant To 37 C.F.R. § 42.107(a) (Exhibits Omitted Since A Subset of Those Submitted with Item 8 Document) filed in Case IPR2018-00972 on August 8, 2018.	☐
11	Declaration of Glenn Norman Sanders filed with IPR2018-00972 Preliminary Response on August 8, 2018 as Exhibit 2001.	☐
12	Resume of James M. Defilippis filed with IPR2018-00972 Preliminary Response on August 8, 2018 as Exhibit 2002.	☐
13	Deva Recorder Information from http://www.zaxcom.com taken on July 8, 2005, filed with IPR2018-00972 Preliminary Response on August 8, 2018 as Exhibit 2023.	☐
14	RX4900 Photo filed with IPR2018-00972 Preliminary Response on August 8, 2018 as Exhibit 2024.	☐
15	Declaration of Ms. Rita Chipperson, Esq. filed with IPR2018-00972 Preliminary Response on August 8, 2018 as Exhibit 2078	☐
16	Declaration of Mr. James M. Defilippis filed with IPR2018-00972 Preliminary Response on August 8, 2018 as Exhibit 2079.	☐
17	A photograph of a Zaxcom device taken by March 2005 filed with IPR2018-00972 Preliminary Response on August 8, 2018 as Exhibit 2080.	☐
18	Diagram of a Zaxcom device (the IFBTX7) that was taken by March, 2005 filed with IPR2018-00972 Preliminary Response on August 8, 2018 as Exhibit 2081.	☐
19	Document of the Zaxcom TRX900 Wireless Microphone with integrated IFB, remote control, time-code and recording a Zaxcom device (the IFBTX7) that was created by March, 2005, filed with IPR2018-00972 Preliminary Response on August 8, 2018 as Exhibit 2082.	☐

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	17157436
Filing Date	2021-01-25
First Named Inventor	Glenn Norman Sanders
Art Unit	2648
Examiner Name	Keith Ferguson
Attorney Docket Number	ZZ0001.063

20	Zaxcom, Inc.'s Complaint, Zaxcom, Inc. v. Lectrosonics, Inc., 1 :17-cv-3408 (E.D.N.Y.), Filed Jun. 6, 2017.	☐
21	PTAB Decision issued November 7, 2018 for IPR2018-00972.	☐
22	Petition for Inter Partes Review of U.S. Patent No. 8,385,814 (Exhibits Listed Separately Herein) filed June 12, 2018, IPR2018-01130.	☐
23	Petition for Inter Partes Review of U.S. Patent No. 7,929,902 (Exhibits Listed Separately Herein) filed June 12, 2018, IPR2018-01129	☐
24	File History of U.S. Patent Appl. 11 /404,735, Filed with IPR2018-01129 Petition as Ex. 1002.	☐
25	File History of U.S. Patent Appl. 12/772,471, Filed with IPR2018-01130 Petition as Ex. 1002.	☐
26	RECON, Digital Video Recorder, available at https://web.archive.org/web/20030202025011/http://www.ffv.com:80/assets/products/Recon%20%20Side.PDF (last visited June 5, 2018), Filed with IPR2018-01130 Petition as Ex. 1022.	☐
27	Press Release, Fast Forward Video's Compact Recon™ DVR Board Helps Playback Technologies' Raptor DDRs Take Off, available at https://web.archive.org/web/20031217204947/http://www.ffv.com:80/assets/News/pressreleases/AUG2003/4-7-03%20Raptor%20Review.PDF (last visited June 5, 2018), Filed with IPR2018-01130 Petition as Ex. 1023.	☐
28	Press Release, Fast Forward Video to Launch New Store & View Recon™ Miniature DVR at NAB, available at https://web.archive.org/web/20031009075552/http://ffv.com:80/assets/News/pressreleases/AUG2003/1-31-03%20Store%20and%20View%20Release.PDF (last visited June 5, 2018), Filed with IPR2018-01130 Petition as Ex. 1024.	☐
29	Press Release, iShot Imaging's Body-Wearable Recorder Dresses Up with the Recon from Fast Forward Video, available at https://web.archive.org/web/20031217211521/http://www.ffv.com:80/assets/News/pressreleases/AUG2003/4-7-03%20Interrest%20Release.PDF (last visited June 5, 2018), Filed with IPR2018-01130 Petition as Ex. 1025.	☐
30	NAB's Hottest Products, available at https://www.tvtechnology.com/miscellaneous/nabs-hottest-products (last visited June 5, 2018), Filed with IPR2018-01130 Petition as Ex. 1026.	☐

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	17157436
Filing Date	2021-01-25
First Named Inventor	Glenn Norman Sanders
Art Unit	2648
Examiner Name	Keith Ferguson
Attorney Docket Number	ZZ0001.063

31	Zaxcom webpage, Digital Wireless, available at https://web.archive.org/web/20040605201811/http://zaxcom.com:80/audio/wireless.shtml (last visited June 5, 2018), Filed with IPR2018-01130 Petition as Ex. 1027.	☐
32	NIST, Time and Frequency Transfer using One-Way GPS, available at https://tf.nist.gov/time/one-way.htm (last visited June 5, 2018), Filed with IPR2018-01130 Petition as Ex. 1028.	☐
33	Sony LANC Protocol, available at http://www.boehmel.de/lanc.htm (last visited June 5, 2018), Filed with IPR2018-01130 Petition as Ex. 1029.	☐
34	Specification of the Broadcast Wave Format, EBU Tech 3285 Technical Specification, European Broadcasting Union, Version 1 (July 2001), Filed with IPR2018-01130 Petition as Ex. 1030.	☐
35	Document number 2004005, AV/C Tape Recorder/Player Subunit Specification 2.4, 1394 Trade Association, September 1, 2004, Filed with IPR2018-01130 Petition as Ex. 1031.	☐
36	PTAB Decision IPR2018-01130, January 24, 2019.	☐
37	Zaxcom, Inc.'s Patent Owner Preliminary Response Pursuant To 37 C.F.R. §42.107(a)(Exhibits Omitted Since A Subset of Those Submitted with Item 8 Document), IPR2018-01130.	☐
38	Zaxcom, Inc.'s Patent Owner Preliminary Response Pursuant To 37 C.F.R. §42.107(a),(Exhibits Omitted Since A Subset of Those Submitted with Item 8 Document) IPR2018-01129.	☐
39	PTAB Decision IPR2018-01129, January 24, 2019.	☐
40	Lectrosonics, Inc's Answer, New Mexico, Case No. 1:19-cv-00109-LF-KBM.	☐
41	Zaxcom, Inc.'s Complaint (Exhibits Omitted Since A Subset of Those Submitted with Item 7 Document), Zaxcom, Inc. v. Lectrosonics, Inc., 2:17-cv-02840 (D.N.J.), Filed Apr. 25, 2017.	☐

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	17157436
Filing Date	2021-01-25
First Named Inventor	Glenn Norman Sanders
Art Unit	2648
Examiner Name	Keith Ferguson
Attorney Docket Number	ZZ0001.063

42	Lectrosonics, Inc.'s Cross - Appellant Principal and Response Brief, Zaxcom, Inc. v. Lectrosonics, Inc., Case Nos. 20-1350, -1405, U.S. Court of Appeals for the Federal Circuit, Filed Sept. 4, 2020	☐
43	USPTO Intervenor Brief, Zaxcom, Inc., v. Lectrosonics, Inc., Case Nos. 20-1350, -1405, U.S. Court of Appeals for the Federal Circuit, Filed November 13, 2020.	☐
44	Zaxcom, Inc.'s Appellant Response Brief, Zaxcom, Inc., v. Lectrosonics, Inc., Case Nos. 2020 - 1921, -1922, -1943, -1944, U.S. Court of Appeals for the Federal Circuit, Filed December 1, 2020.	☐
45	Joint Appendix, Zaxcom, Inc., v. Lectrosonics, Inc., Case Nos. 20-1350, -1405, U.S. Court of Appeals for the Federal Circuit, Filed February 23, 2021.	☐
46	Cross - Appeal Reply Brief of Lectrosonics, Inc., Zaxcom, Inc., v. Lectrosonics, Inc., Case Nos. 20-1350, -1405, U.S. Court of Appeals for the Federal Circuit, Filed January 27, 2021.	☐
47	Corrected US Inventor Inc. Brief, Zaxcom, Inc., v. Lectrosonics, Inc., Case Nos. 2020 - 1921, -1922, -1943, -1944, U.S. Court of Appeals for the Federal Circuit, Filed January 19, 2021.	☐
48	Reply Brief and Cross Appeal Response Brief of Appellant Zaxcom, Inc., Zaxcom, Inc., v. Lectrosonics, Inc., Case Nos. 20-1350, -1405, U.S. Court of Appeals for the Federal Circuit, Filed December 23, 2020.	☐
49	Corrected Amicus Curiae, Zaxcom, Inc., v. Lectrosonics, Inc., Case Nos. 2020 - 1921, -1922, -1943, -1944, U.S. Court of Appeals for the Federal Circuit, Filed December 16, 2020.	☐
50	CORRECTED AMICUS BRIEF FILED by US Inventor, Inc., U.S. Court of Appeals for the Federal Circuit, Filed December 31, 2020.	☐

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature	/KEITH FERGUSON/	Date Considered	10/24/2022
--------------------	------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	17157436
Filing Date	2021-01-25
First Named Inventor	Glenn Norman Sanders
Art Unit	2648
Examiner Name	Keith Ferguson
Attorney Docket Number	ZZ0001.063

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	17157436
Filing Date	2021-01-25
First Named Inventor	Glenn Norman Sanders
Art Unit	2648
Examiner Name	Keith Ferguson
Attorney Docket Number	ZZ0001.063

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☒ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Rita C. Chipperson Reg. No. 54031/	Date (YYYY-MM-DD)	2022-10-13
Name/Print	Rita C. Chipperson	Registration Number	54031

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.