CERTIFICATION OF MICRO ENTITY STATUS
(GROSS INCOME BASIS)

Application Number or Control Number (if applicable):	Patent Number (if applicable):
11/187,107	
First Named Inventor:	**Title of Invention:**
Shattil, Steve	Cooperative Beam-Forming in Wireless Networks

The applicant hereby certifies the following—

(1) **SMALL ENTITY REQUIREMENT -** The applicant qualifies as a small entity as defined in 37 CFR 1.27.

(2) **APPLICATION FILING LIMIT -** Neither the applicant nor the inventor nor a joint inventor has been named as the inventor or a joint inventor on more than four previously filed U.S. patent applications, excluding provisional applications and international applications under the Patent Cooperation Treaty (PCT) for which the basic national fee under 37 CFR 1.492(a) was not paid, and also excluding patent applications for which the applicant has assigned all ownership rights or is obligated to assign all ownership rights as a result of the applicant's previous employment.

(3) **GROSS INCOME LIMIT ON APPLICANTS AND INVENTORS -** Neither the applicant nor the inventor nor a joint inventor, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986 (26 U.S.C. 61(a)), exceeding the "Maximum Qualifying Gross Income" reported on the USPTO website at http://www.uspto.gov/patents/law/micro_entity.jsp which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.

(4) **GROSS INCOME LIMIT ON PARTIES WITH AN "OWNERSHIP INTEREST" -** Neither the applicant nor the inventor nor a joint inventor has assigned, granted, or conveyed, nor is under an obligation by contract or law to assign, grant, or convey, a license or other ownership interest in the application concerned to an entity that, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code of 1986, exceeding the "Maximum Qualifying Gross Income" reported on the USPTO website at http://www.uspto.gov/patents/law/micro_entity.jsp which is equal to three times the median household income for that preceding calendar year, as most recently reported by the Bureau of the Census.

SIGNATURE by a party set forth in 37 CFR 1.33(b)

Signature	/Steven J Shattil/				
Name	Steven J Shattil				
Date	07/19/2013	Telephone	720-234-4351	Registration No.	40170

☐ There is more than one inventor and I am one of the inventors who are jointly identified as the applicant. Additional certification form(s) signed by the other joint inventor(s) are included with this form.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Subst. for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Appn. Number:	11/187,107
Filing Date:	07/22/2005
Inventor:	Shattil
Art Unit:	2617
Examiner:	Stephen, Emem O
Docket Number:	CBF 001

CERTIFICATION STATEMENT

The Commissioner is hereby authorized to charge the fee pursuant to 37 CFR 1.17(P) in the amount of $45 to the credit card indicated in the attached form 2038.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

/Steven J Shattil/

_____

Steve J. Shattil, Reg. No. 40,170
P.O. Box 17355
Boulder, CO 80308-0355
Tel. (720) 234-4351

Doc Code: TRAN.LET

Document Description: Transmittal Letter

PTO/SB/21 (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	11/187,107
Filing Date	07/22/2005
First Named Inventor	Shattil
Art Unit	2617
Examiner Name	Stephen, Emem O
Attorney Docket Number	CBF-001
Total Number of Pages in This Submission	

ENCLOSURES *(Check all that apply)*

- [x] Fee Transmittal Form
  - [x] Fee Attached
- [ ] Amendment/Reply
  - [ ] After Final
  - [ ] Affidavits/declaration(s)
- [ ] Extension of Time Request
- [ ] Express Abandonment Request
- [x] Information Disclosure Statement
- [ ] Certified Copy of Priority Document(s)
- [ ] Reply to Missing Parts/ Incomplete Application
  - [ ] Reply to Missing Parts under 37 CFR 1.52 or 1.53
- [ ] Drawing(s)
- [ ] Licensing-related Papers
- [ ] Petition
- [ ] Petition to Convert to a Provisional Application
- [ ] Power of Attorney, Revocation Change of Correspondence Address
- [ ] Terminal Disclaimer
- [ ] Request for Refund
- [ ] CD, Number of CD(s) _____
  - [ ] Landscape Table on CD
- [ ] After Allowance Communication to TC
- [ ] Appeal Communication to Board of Appeals and Interferences
- [ ] Appeal Communication to TC **(Appeal Notice, Brief, Reply Brief)**
- [ ] Proprietary Information
- [ ] Status Letter
- [x] Other Enclosure(s) (please Identify below):

PTO/SB/15A

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name			
Signature	/Steven J Shattil/		
Printed name	Steven J Shattil		
Date	07/19/2013	Reg. No.	40170

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	/Steven J Shattil/		
Typed or printed name	Steven J Shattil	Date	07/19/2013

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Privacy Act Statement

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4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal

Application Number:	11187107
Filing Date:	22-Jul-2005
Title of Invention:	Cooperative beam-forming in wireless networks
First Named Inventor/Applicant Name:	Steve J. Shattil
Filer:	Steven J Shattil
Attorney Docket Number:	CBF 001

Filed as Micro Entity

Utility under 35 USC 111(a) Filing Fees

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Miscellaneous:				
Submission- Information Disclosure Stmt	3806	1	45	45
		Total in USD ($)		**45**

Electronic Acknowledgement Receipt	
EFS ID:	16369070
Application Number:	11187107
International Application Number:	
Confirmation Number:	5994
Title of Invention:	Cooperative beam-forming in wireless networks
First Named Inventor/Applicant Name:	Steve J. Shattil
Correspondence Address:	Steven J Shattil - P.O. Box 17355 - Boulder CO 80308-0355 US 720 234 4351 genghiscomm@comcast.net
Filer:	Steven J Shattil
Filer Authorized By:	
Attorney Docket Number:	CBF 001
Receipt Date:	19-JUL-2013
Filing Date:	22-JUL-2005
Time Stamp:	17:57:37
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	$45

RAM confirmation Number	4843
Deposit Account	
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Form (SB08)	sb0008a_01.pdf	292712 12d38e107d62ae2472a9100e7d1c34d90f1696e2	no	2
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
2	Information Disclosure Statement (IDS) Form (SB08)	sb0008a_02.pdf	295590 955b1fcc79c8e7b3dd97b91bce8062796cbad9ee	no	2
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	Information Disclosure Statement (IDS) Form (SB08)	sb0008a_03.pdf	280035 8218fff0f25dc68c627a160b7aad5f3dc75c306d	no	2
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
4	Information Disclosure Statement (IDS) Form (SB08)	sb0008b_04.pdf	350605 b33b955c0eb81bcaf6f3dd143877a850bd9cf955	no	2
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
5	Certification of Micro Entity (Gross Income Basis)	sb0015a.pdf	132729 e71e8eba253ec1e0c1184367dceca9be3a93232e	no	2
Warnings:					
Information:					
6	Non Patent Literature	10145854_non_final_rejection_04_27_2006.pdf	498807 bc0d080c391aa39c0e2f072a6056c59449fbf311	no	17
Warnings:					
Information:					
7	Non Patent Literature	10145854_non_final_rejection_11_27_2006.pdf	390968 93be6ca7ece0add229390b71a1573176f8a9c198	no	8

Warnings:					
Information:					
8	Non Patent Literature	10145854_non_final_rejection_04_09_2007.pdf	906226 ca4cff8aef6d5b7dac91ab7e6334831af6260812	no	30
Warnings:					
Information:					
9	Non Patent Literature	10145854_non_final_rejection_09_09_2008.pdf	334669 5f2b5eb2930c9853bdbadff126ae51f74258345b	no	14
Warnings:					
Information:					
10	Non Patent Literature	10145854_Notice_of_Allowance.pdf	246194 f10181550fa105a43866dd9b22665dfa8072e3dd	no	4
Warnings:					
Information:					
11	Non Patent Literature	12545572_non_final_rejection_06_06_2013.pdf	631547 289578b7bba2aa5274346524503544d15b1a1739	no	18
Warnings:					
Information:					
12	Non Patent Literature	12545572_non_final_rejection_10_05_2012.pdf	707513 dc3d2a9490fa9652863c0c557f677c991d7c8d92	no	19
Warnings:					
Information:					
13	Non Patent Literature	12545572_final_rejection_02_28_2012.pdf	432145 01673c1ef924adb50cfc191f959fa1d27401dfe3	no	14
Warnings:					
Information:					
14	Non Patent Literature	12545572_non_final_rejection_10_14_2011.pdf	414126 fdeaad036ce2e30342b92e9f86306a39148260ca	no	13
Warnings:					
Information:					
15	Transmittal Letter	CoverLetterIDS.pdf	50649 a899896682c816ccb7094a7a31575b3a08ce7d57	no	1
Warnings:					
Information:					
16	Transmittal Letter	sb0021.pdf	293856 7113d3943ef767fad750e0ed0d73905328b8f7bd	no	2

Warnings:					
Information:					
17	Fee Worksheet (SB06)	fee-info.pdf	29768 cdbf797d8c6b0ba07db0294860527c8ff590e926	no	2
Warnings:					
Information:					
		Total Files Size (in bytes):	6288139		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

<u>New Applications Under 35 U.S.C. 111</u>
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

<u>National Stage of an International Application under 35 U.S.C. 371</u>
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

<u>New International Application Filed with the USPTO as a Receiving Office</u>
If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/08a (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 4

Complete if Known	
Application Number	11/187,107
Filing Date	07/22/2005
First Named Inventor	Shattil
Art Unit	2617
Examiner Name	Stephen, Emem O
Attorney Docket Number	CBF-001

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number Number-Kind Code[2] *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US- 6,996,076 B1	02-07-2006	Forbes et al.	
	A2	US- 6,292,473 B1	09-18-2001	Duske et al.	
	A3	US- 2003/0026222 A1	02-06-2003	Kotzin	
	A4	US- 6,532,224 B1	03-11-2003	Dailey	
	A5	US- 7,508,798 B2	03-24-2009	Tong et al.	
	A6	US- 7,391,804 B2	06-24-2008	Shattil	
	A7	US- 7,606,137 B2	10-20-2009	Shattil	
	A8	US- 7,593,449 B2	09-22-2009	Shattil	
	A9	US- 7,787,514 B2	08-31-2010	Shattil	
	A10	US- 7,283,799 B2	10-16-2007	Shattil	
	A11	US- 7,406,261 B2	07-29-2008	Shattil	
	A12	US- 7,010,048 B1	03-07-2006	Shattil	
	A13	US- 7,317,750 B2	01-08-2008	Shattil	
	A14	US- 6,686,879 B2	02-03-2004	Shattil	
	A15	US- 7,286,604 B2	10-23-2007	Shattil	
	A16	US- 6,211,671 B1	04-03-2001	Shattil	
	A17	US- 5,955,992	09-21-1999	Shattil	
	A18	US- 7,076,168 B1	07-11-2006	Shattil	
	A19	US- 7,418,043 B2	08-26-2008	Shattil	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3]–Number[4]–Kind Code[5] *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T[6]

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 4

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First Named Inventor	Shattil
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Examiner Name	Stephen, Emem O
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U. S. PATENT DOCUMENTS

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	A20	US- 2005/0198199 A1	09-08-2005	Dowling	
	A21	US- 2002/0034191 A1	03-21-2002	Shattil	
	A22	US- 2003/0206527 A1	11-06-2003	Yim	
	A23	US- 2003/0133469 A1	07-17-2003	Brockmann et al	
	A24	US- 5,943,332	08-24-1999	Liu et al	
	A25	US- 6,674,999 B2	01-06-2004	Ramachandran	
	A26	US- 6,233,248 B1	05-15-2001	Sautter et al	
	A27	US- 6,389,034 B1	05-14-2002	Guo et al	
	A28	US- 6,320,897 B1	11-20-2001	Fattouche et al	
	A29	US- 6,728,295 B1	04-27-2004	Nallanathan et al	
	A30	US- 6,567,482 B1	05-20-2003	Popovic	
	A31	US- 5,940,379 A	08-17-1999	Startup et al	
	A32	US- 6,266,702 B1	07-24-2001	Darnell et al	
	A33	US- 6,507,319 B2	01-14-2003	Sikina	
	A34	US- 7,031,309 B1	04-18-2006	Startup et al	
	A35	US- 7,155,255 B2	12-26-2006	Blum et al	
	A36	US- 6,704,794 B1	03-09-2004	Kejriwal et al	
	A37	US- 4,550,402	10-29-1985	Gable et al	
	A38	US- 6,650,645 B2	11-18-2003	Scott et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3]–Number[4]–Kind Code[5] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T[6]

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 3 of 4

Complete if Known	
Application Number	11/187,107
Filing Date	07/22/2005
First Named Inventor	Shattil
Art Unit	2617
Examiner Name	Stephen, Emem O
Attorney Docket Number	CBF-001

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number Number-Kind Code[2] *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A39	US- 5,412,648 A	05-02-1995	Fan	
	A40	US- 7,194,766 B2	03-20-2007	Noehring et al	
	A41	US- 7,149,211 B2	12-12-2006	Bennett et al	
	A42	US- 6,236,642 B1	05-22-2001	Shaffer et al	
	A43	US- 6,980,768 B2	12-27-2005	Arend et al	
	A44	US- 6,944,168 B2	09-13-2005	Paatela et al	
	A45	US- 4,700,341	10-13-1987	Huang	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3]–Number[4]–Kind Code[5] *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T[6]

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Sheet 4 of 4

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Examiner Name	Stephen, Emem O
Attorney Docket Number	CBF-001

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
	D1	Non-Final Office Action dated 10/14/2011 from corresponding US Application Number 12/545,572 (Our Ref. No. CBF-002).	
	D2	Final Office Action dated 02/28/2012 from corresponding US Application Number 12/545,572 (Our Ref. No. CBF-002).	
	D3	Non-Final Office Action dated 10/05/2012 from corresponding US Application Number 12/545,572 (Our Ref. No. CBF-002).	
	D4	Non-Final Office Action dated 06/06/2013 from corresponding US Application Number 12/545,572 (Our Ref. No. CBF-002).	
	D5	Non-Final Office Action dated 04/27/2006 from corresponding US Application Number 10/145,854 (Our Ref. No. CINET-001).	
	D6	Non-Final Office Action dated 11/27/2006 from corresponding US Application Number 10/145,854 (Our Ref. No. CINET-001).	
	D7	Non-Final Office Action dated 04/09/2007 from corresponding US Application Number 10/145,854 (Our Ref. No. CINET-001).	
	D8	Non-Final Office Action dated 09/09/2008 from corresponding US Application Number 10/145,854 (Our Ref. No. CINET-001).	
	D9	Notice of Allowance dated 02/25/2009 from corresponding US Application Number 10/145,854 (Our Ref. No. CINET-001).	

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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.