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Transcript of Maureen Valley, DMD, MPH, MS

Date: April 15, 2026

Case: ClearCorrect Operating, LLC -v- Align Technology, Inc. (PTAB)

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UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD

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CLEARCORRECT OPERATING, :
LLC, :
Petitioner, : Case No. IPR2025-00816
v. : U.S. Patent No.
ALIGN TECHNOLOGY, INC., : 11,369,456
Patent Owner. :
- - - - - X

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Deposition of MAUREEN VALLEY, DMD, MPH, MS
Conducted Virtually
Wednesday, April 15, 2026
12:02 p.m. EDT

Job No.: 628318
Pages 1 - 43
Reported by: Debra A. Whitehead

1 Deposition of MAUREEN VALLEY, DMD, MPH, MS,
2 conducted virtually.

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5 Pursuant to notice, before Debra Ann Whitehead,
6 E-Notary Public in and for the Commonwealth of
7 Virginia.

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C O N T E N T S

EXAMINATION OF MAUREEN VALLEY, DMD, MPH, MS	PAGE
By Ms. Mathew	6

EXHIBITS MARKED IN TODAY'S SESSION

(None Marked)

EXHIBITS MARKED IN PRIOR SESSIONS

(Not Attached)

DEPOSITION EXHIBIT	PAGE
Exhibit 2013	18
Exhibit 2014	7

1 P R O C E E D I N G S
2 MAUREEN VALLEY, DMD, MPH, MS,
3 having been duly sworn, testified as follows:
4 EXAMINATION BY COUNSEL FOR PETITIONER
5 BY MS. MATHEW:
6 Q Can you please state your full name, for
7 the record.
8 A Dr. Maureen Valley.
9 Q Hi, Dr. Valley. How are you today?
10 A Fine, thank you.
11 Q You understand that you are here today as
12 a witness providing testimony that may be
13 submitted to the PTAB.
14 Is that correct?
15 A Correct.
16 Q And do you understand that this
17 deposition concerns IPR2025-00816?
18 A Correct.
19 Q And you understand that proceeding
20 involves U.S. Patent Number 11,369,456. Correct?
21 A Correct.
22 Q Have you been deposed before?

1 A Yes.

2 Q How many times?

3 A May I refer to my CV?

4 Q Are you referring to Exhibit 2014 that
5 was submitted in this deposition -- in this IPR
6 proceeding?

7 A That would be Exhibit 2014, Valley CV.

8 Q Yes. You may look at that.

9 (Exhibit 2014, previously marked, not
10 attached.)

11 A Six.

12 Q And were any of those depositions in
13 connection with IPR proceedings?

14 A Again, I'm going to review to my CV --
15 refer to my CV.

16 Two.

17 Q Dr. Valley, I'd like to go over some
18 ground rules for the deposition. You can put your
19 CV aside for now.

20 A Okay.

21 Q I will be asking you questions today. If
22 you don't understand a question just let me know,

1 and I'll do my best to clarify.

2 If you answer a question, I will assume
3 that you understand it.

4 Is that okay?

5 A Yes.

6 Q Because we have a transcript for our
7 conversation today, please state your answers
8 verbally, without using silent answers, such as
9 nodding.

10 Do you understand that?

11 A Yes.

12 Q We may take breaks every hour or so. If
13 you need a break other than those, just ask. But
14 you may have -- but you will have to answer any
15 pending questions before we take a break.

16 Is that fair?

17 A Yes.

18 Q You cannot discuss the substance of your
19 testimony with Align's counsel during breaks.

20 Okay?

21 A Okay.

22 Q Do you understand that you are testifying

1 under oath today?

2 A Yes.

3 Q Do you understand that means you are
4 sworn to tell the truth as if you were in a
5 courtroom with a judge and jury?

6 A Yes.

7 Q Have you taken any medications that could
8 alter your memory or judgment today?

9 A No.

10 Q Is there any reason you cannot provide
11 complete and accurate testimony today?

12 A No.

13 Q Do you have any files or programs open on
14 your screen besides the Zoom meeting?

15 A No.

16 Q I would ask that you do not open any
17 files or programs during the deposition.

18 Is that acceptable?

19 A Yes.

20 Q Do you have a physical copy of the
21 declaration you submitted in this proceeding with
22 you today?

1 A Yes.

2 Q I would ask that you do not look at any
3 documents or papers that are not introduced during
4 this deposition.

5 Is that acceptable?

6 A Yes.

7 Q Do you have any communications within
8 view or earshot?

9 A No.

10 Q Is anyone else in the room with you or
11 able to hear you right now?

12 A No.

13 Q You were retained by Align Technology,
14 Inc., in connection with this IPR proceeding.

15 Is that correct?

16 A Correct.

17 Q Are you being compensated for your
18 testimony in this case?

19 A Yes.

20 Q How much are you being compensated?

21 A I am being compensated for my -- is your
22 question for my testimony today?

1 Q We can start with that. How much are you
2 being compensated for your testimony today?

3 A I believe, and I can confirm if I can
4 take a look at my declaration, I believe it's \$650
5 an hour. But I can confirm by looking at my
6 declaration.

7 Q That's not necessary right now.
8 Do you recall how much you are being
9 compensated for your work on this case outside of
10 this deposition?

11 A Yes.

12 Q How much are you being compensated for
13 your work outside of this deposition in this case?

14 A \$550 an hour.

15 Q Other than your hourly rate, do you
16 receive any other form of compensation from Align?

17 A No.

18 Q Have you been retained as an expert by
19 Align for cases other than this IPR?

20 A Yes.

21 Q Approximately how many times has Align
22 retained you as an expert?

1 A May I refer to my CV?

2 Q Yes, you may.

3 And for the record, you are referring to
4 Exhibit 2014 submitted in this proceeding.

5 Is that correct?

6 A Correct.

7 I have been retained by Align for eight
8 expert witness cases. They've hired me for eight
9 cases in the past. That does not include my
10 current work.

11 Q Dr. Valley, I'm looking at Pages 3
12 through 4 of your -- of Exhibit 2014.

13 Is that where you are looking at well?

14 A Yes.

15 Q I see ten different listings here.

16 A Yes.

17 Q You said previously that you had been
18 retained in eight cases.

19 I guess which eight cases are you
20 referring to?

21 A I'm referring to past cases in which I've
22 been retained, and those are the first eight

1 cases.

2 The last two cases which comes up for a
3 total of ten, these are cases I'm currently
4 involved in.

5 Q On Page 3 of Exhibit 2014, there's an
6 entry for your work spanning May 2019 through
7 January 2020. That includes seven different IPR
8 proceedings for which you were retained.

9 Is that correct?

10 A That's correct. And I apologize if I
11 stated my answer incorrectly for your previous
12 question. I -- in my mind I consider it one case.
13 I'm not an attorney, so I'm not counting every
14 separate IPR. So I stand corrected.

15 Q So, Dr. Valley, including each individual
16 IPR, how many times have you been retained by
17 Align as an expert for cases?

18 MR. ARGENTI: Objection. Form.

19 A So if I'm referring to -- it -- could you
20 clarify your question?

21 Do you mean, for example, when I was
22 retained between May 2019 and January 2020, I'm

1 unclear if I should count each of the IPRs as a
2 very separate case or count this as one time I was
3 retained by Align.

4 Q So counting each IPR as a separate case,
5 how many times have you been retained by Align as
6 an expert?

7 MR. ARGENTI: Objection. Form.

8 A Are you asking in the past or in the past
9 and including current?

10 Q In the past -- I will rephrase.

11 Counting each IPR as a separate case, how
12 many times have you been retained by Align as an
13 expert in the past?

14 MR. ARGENTI: Objection. Form.

15 A So if I were to count the one time I was
16 hired to represent Align as their expert witness
17 from May 2019 to January 2020, if I were to break
18 that up into seven IPR cases, then I would add
19 that, plus the other -- other seven times, to come
20 up with a total of 14.

21 Q Other than in this IPR involving the '456
22 patent, are you currently under retainer in any

1 other cases for Align?

2 A Yes.

3 Q Which case is that?

4 A It is on Page 4. There are -- besides
5 the case today, there's two other cases I'm
6 currently involved in. One of them is listed on
7 Page 4. That work began March 2025, and I'm
8 currently working with that. And that is the --
9 the U.S. District Court for the Western District
10 of Texas, in Waco. That's one.

11 And another one, which is not listed on
12 the CV, maybe because it hadn't officially begun
13 at the time I submitted this CV, is, I'm working
14 as an expert witness for Align in a case with
15 Angel Align, and I'm their expert witness for
16 Align. It's an ITC case.

17 MR. ARGENTI: I'm just going to jump in.
18 Given that I'm not sure about the status of the
19 more recent engagements, I think we need to
20 designate this transcript Confidential at this
21 point.

22 Q Dr. Valley, does your work on the Angel

1 Align case -- strike that.

2 Have you submitted a written report in
3 the case involving Angel Align?

4 MR. ARGENTI: Objection. Scope.
5 Relevance.

6 A Are you asking have I to date submitted a
7 formal report in my work in the ITC Align Angel --
8 Angel Align case? Is that a formal report you're
9 asking have I submitted?

10 Q Yes.

11 A Not to date.

12 Q Do you expect to submit a formal report
13 in that ITC Align case, Angel --

14 MR. ARGENTI: Objection. Objection.
15 Scope, relevance.

16 And, Dr. Valley, I'll caution you not to
17 disclose any communications that you've had with
18 counsel regarding your work in that matter.

19 Q Dr. Valley, when were you retained for
20 your work in the ITC Angel case?

21 MR. ARGENTI: Objection. Scope.
22 Relevance.

1 A I can't say for sure. I do believe I
2 received contact in 2025, but I can't -- I can't
3 recall for sure when that was.

4 Q Is your -- are you still under retainer
5 in those two cases that you referenced, the ITC
6 case involving Angel Align and the case before the
7 U.S. District Court for the Western District of
8 Texas involving Align?

9 MR. ARGENTI: Objection. Form.
10 Relevance.

11 A Yes.

12 Q Approximately what percentage of your
13 expert witness income comes from the work you've
14 done for Align?

15 A All of it.

16 Q So you've only ever been retained as an
17 expert for Align.

18 Is that correct?

19 A That's correct.

20 Q You've submitted a written declaration in
21 this proceeding.

22 Is that correct?

1 A That's correct.

2 MS. MATHEW: I am going to share my
3 screen at this time.

4 (Exhibit 2013, previously marked, not
5 attached.)

6 Q Are you able to see my screen,
7 Dr. Valley?

8 A Yes.

9 Q Do you recognize this document?

10 A Yes.

11 MR. ARGENTI: I'm sorry. Just to jump
12 in. On my screen I see a message that says,
13 "Jency Mathew has paused screen sharing."

14 Are others seeing a document on the
15 screen?

16 (Discussion off the record; technical
17 difficulty.)

18 MR. ARGENTI: There it is. I can see
19 that document now.

20 Q Dr. Valley, do you see this document that
21 I'm sharing on the screen?

22 A Yes.

1 Q I'm sharing Exhibit 2013, submitted in
2 IPR2025-00816.

3 Do you recognize this document?

4 A I do.

5 Q On Page 81 of this document do you see
6 that it is dated February 3rd, 2026?

7 A Yes.

8 Q And is that your signature?

9 A It is.

10 Q Does this appear to be the declaration
11 you submitted for the '456 patent in this
12 proceeding?

13 A Yes.

14 Q Approximately how much time did you spend
15 working on your declaration?

16 A It was a while ago. You know, I -- if
17 you're asking for how many hours, I honestly
18 couldn't say. I don't recall.

19 Q Can you approximate how many hours you
20 spent working on your declaration?

21 A It was more than ten. It was less than
22 50. Maybe even less than 40. Maybe less than --

1 maybe 30, maybe up to more than ten, between ten
2 and 30.

3 This is a pure estimate. I really
4 don't -- I didn't come prepared to give you that
5 answer.

6 Q Approximately how much time did you spend
7 preparing for this deposition?

8 A Let me see. More than ten hours.

9 Q Was it more than 15 hours?

10 A Possibly.

11 Q Was it more than 20 hours?

12 A Possibly not, no. Probably not, if I
13 were to estimate.

14 Q Other than Align's counsel, did you speak
15 with anyone else about your opinions in this case?

16 A No.

17 Q Do you have a doctorate in dental
18 science?

19 A I have a D.M.D., that's a doctorate in
20 the field of dentistry.

21 Q And what does a D.M.D. stand for?

22 A Interesting enough, sometimes I even get

1 confused myself. May I look towards my CV?

2 Q Yes, you may.

3 A Yeah, Doctor of Dental Medicine.

4 Q Do you have a doctorate in dental
5 science?

6 A No; I have a Doctor of Dental Medicine, a
7 D.M.D.

8 Q Do you have a Ph.D. in any field?

9 A No.

10 Q Do you have a computer science degree?

11 A No.

12 Q Do you have any engineering degrees?

13 A No.

14 Q Do you have experience with software
15 development?

16 A No.

17 Q Have you ever designed software for
18 three-dimensional digital modeling?

19 A No.

20 Q Have you used clear aligners to treat
21 patients in 2006 or earlier?

22 A Yes.

1 Q Which brands of clear aligners have you
2 used in 2006 or earlier?

3 A If you can clarify your question when you
4 say "clear aligners," because there's different --
5 there are different kinds of clear aligners, and
6 they have different -- different purposes.

7 Would you like me to list all of the
8 clear aligners that I may have used with different
9 purposes?

10 Q So what are the -- yeah, what are the
11 different kinds of clear aligners that you used in
12 2006 or earlier?

13 A So if I were to define clear aligner as
14 being an appliance made of a clear polymer
15 material used in the field of orthodontics, then
16 as of 2006 I used the Invisalign brand. I used
17 the Essix brand. And then I used -- I performed
18 techniques with clear aligners using other polymer
19 company materials.

20 Because at that time, and even to this
21 day, you can manually make clear aligners and you
22 can order the polymer material from different

1 companies. And Raintree Essix is one of those
2 companies, probably the most popular at that time.

3 But I also used polymer sheets and made
4 my own clear aligners. I used sheets from -- that
5 were purchased from other companies besides
6 Raintree Essix.

7 And then, lastly, in 2006 I would order
8 clear aligners as retainers from -- from the labs
9 that I worked with, that I was connected with,
10 with practices that I -- the practices and the
11 university that I worked at.

12 Q When did you first begin using Invisalign
13 brand aligners?

14 A I first started using them when they were
15 initially provided in the market. I was one of
16 the very first users in my area, in the state, in
17 the U.S., in the world.

18 Q Do you recall when Invisalign aligners
19 were first provided in the market?

20 A I believe it was approximately 1999 or
21 2000, if I have that correct. I'm just guessing
22 here, but that -- around that time frame is when

1 they were made available to orthodontists for use.

2 Q When did you -- sorry. Strike that.

3 In 2006 or earlier, did you use digital
4 platforms for designing treatment using clear
5 aligners?

6 A Could you repeat that question?

7 Q In 2006 or earlier, did you use digital
8 platforms for designing treatments using clear
9 aligners?

10 A Yes.

11 Q What was the name of that digital
12 software?

13 A That was Invisalign's ClinCheck software.

14 Q When did you first begin using
15 Invisalign's ClinCheck software?

16 A At the same time that I initially began
17 using the product, which was immediately when the
18 product was released to orthodontists to use.
19 And, again, that time frame was somewhere between
20 1999 and 2000.

21 Q Other than Invisalign's ClinCheck
22 software, did you use any digital systems for

1 designing treatments using clear aligners?

2 A No.

3 Q Other than Invisalign's ClinCheck
4 software, did you use any digital systems for
5 designing treatment using clear aligners in 2006
6 or earlier?

7 A No.

8 Q Were there any other digital systems for
9 clear aligner treatment planning on the market in
10 2006 or earlier?

11 MR. ARGENTI: Objection. Scope.
12 Relevance.

13 A May I refer to my CV?

14 Q Yes, you may.

15 A I'm not certain, but I believe there was.

16 Q What is the basis for your belief that
17 there were other digital systems for clear aligner
18 treatment planning on the market in 2006 or
19 earlier?

20 MR. ARGENTI: Objection. Scope.
21 Relevance.

22 A Well, I'm not certain about the time

1 frame entirely. I'm speculating here.

2 I do recall Orthoclear was another system
3 that was -- was using digital platforms in clear
4 aligner systems.

5 So I'm a little fuzzy. I know that they
6 were one of the first other companies to come out
7 with this type of technology and appliance. So it
8 could have been around that time, but I really
9 don't believe there were a lot of other options at
10 that time, if any. But I'm only speculating.

11 Q In or before 2006, approximately what
12 proportion of orthodontists had experience with
13 using digital platforms for designing treatments
14 using clear aligners?

15 A Could you repeat your question?

16 Q In or before 2006, approximately what
17 proportion of orthodontists had experience with
18 using digital platforms for designing treatments
19 using clear aligners?

20 A I couldn't give you an exact percentage.
21 I wasn't asked to calculate that in my
22 declaration.

1 What I do know is that it took quite a
2 while for orthodontists to accept the type of
3 clear aligner treatment that Invisalign had.
4 There was a lot of skepticism. It was something
5 very new. And in the field of orthodontics it
6 takes a while for a new product to be welcomed or
7 accepted, for many, many reasons.

8 So what I can say is that the number
9 of -- the amount of orthodontists that used
10 Invisalign, or even if there were other products
11 like it at the time, was -- was not prevalent as
12 it is today. It took quite a while for them to
13 accept it.

14 But once they accepted it, it -- after
15 several years, it really took off.

16 Q In or before 2006, was the use of digital
17 platforms for designing treatments with clear
18 aligners prevalent among orthodontists?

19 A No.

20 And it might be good to also, these --
21 your last two questions, when you say,
22 "orthodontists," to define are we talking

1 orthodontists in the world, orthodontists in the
2 United States. Because it took several years even
3 for Invisalign to be a product that was used
4 throughout the world.

5 And initially it was mainly confined to
6 the U.S.

7 Q In or before 2006, was the use of digital
8 platforms for designing treatments with clear
9 aligners prevalent among orthodontists in the
10 United States?

11 A Again, I wasn't asked to research this
12 question. I don't have exact numbers.

13 But if you're asking me for my experience
14 what my take on that was going back in time, I
15 would not use the word "prevalent," no. By no
16 means.

17 MS. MATHEW: All right. We're about 40
18 minutes in. Can we go off the record and take a
19 break?

20 MR. ARGENTI: Okay.

21 (A recess was taken.)

22 BY MS. MATHEW:

1 Q Dr. Valley, over the course of your
2 expert witness work for Align, how much
3 compensation have you received in total?

4 A I don't know.

5 Q Can you approximate how much compensation
6 you've received from Align in connection with your
7 expert work on their behalf?

8 A That would be difficult.

9 Q Have you received more than \$50,000 in
10 compensation for your expert work on behalf of
11 Align?

12 A Yes.

13 Q Have you received more than \$100,000 in
14 compensation for your expert work on behalf of
15 Align?

16 A If we're talking going back to 2006, I
17 would say that's fair, yes.

18 Q Are you able to estimate how much
19 compensation you received for your expert work on
20 behalf of Align since 2006?

21 A No; I have not calculated that, and it
22 would be difficult for me to estimate.

1 Q Is it more than 200,000?

2 A I'm not sure.

3 Q Can you approximate how many hours you've
4 spent for expert work on behalf of Align?

5 A No, I couldn't answer that fairly. I
6 didn't calculate my hours or my compensation in
7 preparation for this deposition. So I couldn't
8 say for sure, and I wouldn't want to be
9 inaccurate.

10 Q Separate from the expert work listed in
11 your CV, have you received compensation from
12 Align?

13 A In my career?

14 Q Yes, in your career have you received
15 compensation from Align separate from compensation
16 for the expert work listed in your CV?

17 A Yes.

18 Q Approximately how much compensation have
19 you received from Align separate from the expert
20 work listed in your CV?

21 A Again, I couldn't tell you. My other
22 work in relation to Align was several years ago.

1 Q When did you first receive compensation
2 from Align separate from your compensation for the
3 expert witness work listed in your CV?

4 A I'm going to refer to my CV to help my
5 memory with that.

6 So I was one of the initial speakers in
7 their speakers bureau that went out and taught the
8 Invisalign system to orthodontists, and I'm
9 looking for the date.

10 Here we go. Between September 2002 and
11 November 2006 I was hired by Align to be one of
12 their first people to go out and teach the
13 Invisalign system to other orthodontists. So I
14 did receive compensation for that.

15 And then on Page 4 of my CV, under
16 Educational Consulting Experience, between
17 September 2002 and July 2004 Align hired me by the
18 hour to help create content, like PowerPoint
19 content, for some of these courses. And I was
20 also compensated for my time with that.

21 Q Can you direct me to where in your CV it
22 refers to that work you did for Align between

1 September 2002 and June 2004 creating content?

2 A On Page 4 of my CV, in the middle of the
3 page, under Educational Consulting Experience.

4 Q Have you received compensation from Align
5 separate from the compensation for your expert
6 work and those two instances that you identified?

7 A No.

8 Q Approximately how much compensation did
9 you receive from Align in connection with your
10 work as an orthodontic speaker between September
11 2002 and November 2006?

12 A I couldn't give you an accurate amount
13 today. I didn't come prepared for that.

14 Q Can you approximate how much compensation
15 you received from Align as an orthodontic speaker
16 between September 2002 and November 2006?

17 A No. But what I can say is, I -- I did
18 several certification courses, and I was paid per
19 course. Don't recall what I was paid per course,
20 but that's how the compensation worked.

21 Q Approximately how many certification
22 courses did you do during that period between

1 September 2002 and November 2006?

2 A Once again, I'm not sure I can say
3 precisely how many. And this was back in -- this
4 was 20 years ago.

5 I would say it was at least ten, maybe a
6 few more than that.

7 Q Do you recall how long those courses
8 were, on average?

9 A Yes; they were -- in general a
10 certification course was a one-day course for
11 orthodontists, and there would be two speakers.
12 There would be an orthodontist like myself, and
13 again there was just a handful at that time. And
14 we would talk for half the day. Again, this was
15 between 2002 and 2006.

16 And our job was to introduce the
17 Invisalign system, which was a very new system.
18 So we had to really teach -- teach what this was
19 about, as opposed to, for instance, the braces
20 method. That's what -- that was the standard of
21 care, braces method at the time.

22 So half the day I would introduce the

1 system. It was much more, I'd say, rudimentary
2 than it is today. And try to teach the dentists
3 how to do it even from -- a lot of the dentists
4 didn't even have a computer that could run the
5 software. So teaching them about, you know, how
6 to get a computer, how to get started, what
7 Invisalign -- what you could expect from it.
8 Which our goals were much less -- were a lot
9 simpler then.

10 And then the other half of the day there
11 would be a marketing person who would teach the
12 dentists what's the cost of Invisalign, how do you
13 incorporate it into your practice, how do you
14 educate your patients and your staff on this.

15 Because once again, this was a completely
16 different shift in orthodontics, completely novel,
17 different way of treating patients. So that's
18 what the courses at that time were about.

19 They were one-day courses. I would speak
20 for half the day.

21 Q Were you compensated for your time
22 preparing for those courses?

1 A No.

2 Q Do you recall an estimate of how much
3 compensation you received for each of those
4 courses?

5 A I don't recall. I could say it was more
6 than a thousand, but -- maybe 2,000, somewhere
7 around there, approximating.

8 But I would have to be there for the
9 entire day. And these would -- my time would be
10 early in the morning until even past the time that
11 the course concluded, just to wrap things up.

12 So it was a -- it was a long day.

13 Q Did you perform any other consulting work
14 on behalf of align?

15 A No.

16 I may add to that, I would go to some
17 university programs and also introduce this
18 technique. There were -- there were a few
19 universities that invited me to come to speak with
20 their residents, their orthodontic residents. But
21 that was not -- that was more of an exception.

22 Because a lot of universities did not

1 want to teach their residents the Invisalign
2 system. They -- they had -- they were very
3 skeptical about it.

4 Q And is your work for -- strike that.
5 Is your work on behalf of Align for the
6 university programs listed on your CV?

7 A Yes. On Page 5, under Presentations and
8 Speaking Engagements. September 2002 to November
9 2006. I did Invisalign certification courses and
10 university programs. I was an orthodontic
11 speaker.

12 Q And approximately how many university
13 programs did you participate in?

14 A More than one. Maybe two or three,
15 maybe. Not a lot. As --

16 Q And were you -- sorry, you may finish.

17 A As I noted, it wasn't well-received in
18 the universities. Those universities I went to
19 were more open-minded.

20 Q Were you compensated for your work on
21 behalf of Align at -- for those university
22 programs?

1 A Yes.

2 Q Approximately how much were you
3 compensated?

4 A I believe it was the same. It was a
5 daily rate. And I believe it was around the same
6 amount as I got for doing a daily certification
7 course, I believe.

8 Q Did you do any other consulting work for
9 Align?

10 A No.

11 Let me back up a little bit. Do you mean
12 consulting or education?

13 Q Let's start with consulting.

14 Did you do any other consulting work for
15 Align?

16 A No.

17 Q Did you do any educational work for
18 Align?

19 A I guess I would say no. I was the -- an
20 associate professor at the University of the
21 Pacific, and I ran the orthodontic clinic. I was
22 the director. And part of my duties were to, you

1 know, teach the residents and dental students
2 about orthodontics.

3 And I did teach the Invisalign system to
4 them. But Align did not pay me directly for that.
5 That was more in the general sense of teaching
6 dental students in orthodontics about orthodontics
7 and techniques available, braces and other.

8 Q Did you have any other affiliation with
9 Align other than the instances that we've
10 discussed today?

11 MR. ARGENTI: Objection. Form.

12 A Can you explain "affiliations."

13 Q Did you have any agreements with Align?

14 A I did not have any other agreements with
15 Align.

16 Q Other than financial compensation, did
17 you receive any benefits from Align?

18 A No. But just to be clear and thorough,
19 when Align went public, they allowed stock to be
20 purchased, those initial stock.

21 My husband at the time, and -- he
22 purchased some stock. He at the time was -- he

1 took care of all of our finances in the family. I
2 didn't -- I didn't.

3 So there was some stock that was
4 purchased by my husband. We were married. And,
5 but shortly thereafter we sold it. I don't know
6 why he made that decision. This was in the very
7 early days.

8 I do not own any stock now, nor have I
9 owned any stock since that, the initial IPO. And
10 it wasn't a lot.

11 And that would be the extent of anything
12 with Align. I'm just trying to be thorough.

13 Q I appreciate that.

14 Do you recall approximately how long you
15 held that initial stock before selling?

16 A I think it was -- I think he -- a year or
17 less. And several years later I wish he hadn't
18 done that. But it wasn't something we made a
19 whole lot of money off of. Like I said, it wasn't
20 a lot. We were unsure. And it certainly didn't
21 make in our marriage a whole lot of money. And it
22 was quick.

1 MS. MATHEW: Thank you for your time
2 today, Dr. Valley.

3 We have no further questions.

4 THE WITNESS: Thank you.

5 MR. ARGENTI: In that case, why don't we
6 take a short break, and I can get back to see if I
7 have any redirect.

8 (A recess was taken.)

9 MR. ARGENTI: No questions on redirect.

10 Thank you for your time, Dr. Valley.

11 THE WITNESS: Thank you.

12 (Off the record at 1:30 p.m. EDT.)

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ACKNOWLEDGMENT OF DEPONENT

I, MAUREEN VALLEY, DMD, MPH, MS, do
hereby acknowledge that I have read and examined
the foregoing testimony, and the same is a true,
correct and complete transcription of the
testimony given by me, and any corrections appear
on the attached Errata sheet signed by me.

(DATE)

(SIGNATURE)

C E R T I F I C A T E

I, Debra Ann Whitehead, E-Notary Public in and for
the Commonwealth of Virginia, do hereby certify:

That MAUREEN VALLEY, DMD, MPH, MS, the
witness whose deposition is hereinbefore set forth,
was duly sworn by me before the commencement of such
deposition and that such deposition was taken
virtually and is a true record of the testimony
given by such witness.

I further certify that the adverse party,
ALIGN TECHNOLOGY, INC., was represented by counsel
at the deposition.

I further certify that the deposition of
MAUREEN VALLEY, DMD, MPH, MS, occurred virtually, on
Wednesday, April 15, 2026, from 12:02 p.m. to 1:30
p.m. EDT.

1 I further certify that I am not related to
2 any of the parties to this action by blood or
3 marriage, I am not employed by or an attorney to any
4 of the parties to this action, and that I am in no
5 way interested, financially or otherwise, in the
6 outcome of this matter.

7
8 IN WITNESS WHEREOF, I have hereunto set my
9 hand this 18th day of April, 2026.

10
11 My commission expires:
12 October 31, 2027

13
14
15  

16
17 E-NOTARY PUBLIC IN AND FOR THE
18 COMMONWEALTH OF VIRGINIA

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