

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CLEARCORRECT OPERATING LLC,
Petitioner,

v.

ALIGN TECHNOLOGY INC.,
Patent Owner.

Case IPR2025-00819
Patent No. 11,154,384

PETITIONER'S UPDATED EXHIBIT LIST

Pursuant to 37 C.F.R. § 42.63(e), Petitioner ClearCorrect Operating LLC hereby submits a current listing of Petitioner exhibits. Exhibits 1138-1141, 1144-1158, 1160-1161, and 1163-1168 are being filed today. Exhibits 1142-1143 are being served today.

Exhibit	Description
1001	U.S. Patent No. 11,154,384 B2 (issued Oct. 26, 2021)
1002	U.S. Patent No. 9,655,691 B2 (issued May 23, 2017)
1003	U.S. Patent Application Publication No. 2005/0100853 A1 (published May 12, 2005)
1004	U.S. Patent No. 10,973,613 B2 (issued Apr. 13, 2021)
1005	U.S. Patent Application Publication No. 2011/0020761 A1 (published Jan. 27, 2011)
1006	D.S. Porter and R.S. Beavers, <i>Dishwasher Safe</i> , PLASTICS TECHNOLOGY (Dec. 2007) [Appendix 1 to Declaration of Lauren Gluckman]
1007	Expert Declaration of Dr. Tim Osswald, Ph.D.
1008	International Patent Application Publication No. WO 2006/096558 A2 (published Sept. 14, 2006)
1009	Bayer Corporation, TEXIN [®] 990R <i>Thermoplastic Polyurethane</i> Product Information (Nov. 2002)
1010	Prosecution History of U.S. Patent Application No. 13/470,681 (now U.S. Patent No. 9,655,691 B2)
1011	Prosecution History of U.S. Patent Application No. 15/476,655 (now U.S. Patent No. 10,973,613 B2)
1012	Declaration of Lauren Gluckman

Exhibit	Description
1013	Appendix 2 to Declaration of Lauren Gluckman - Penn State University Libraries Public Catalog for EX1006, https://catalog.libraries.psu.edu/catalog/83884
1014	Align Technology Inc.'s Preliminary Infringement Contention Charts and Identification of Priority Dates, <i>Align Technology Inc. v. ClearCorrect Operating LLC</i> , Case No. 6:24-CV-00187-ADA-DTG (W.D. Tex. July 18, 2024)
1015	<i>Curriculum Vitae</i> of Dr. Tim Osswald, Ph.D.
1016	Prosecution History of U.S. Patent Application No. 17/214,487 (U.S. Patent No. 11,154,384 B2)
1017	Intentionally Left Blank
1018	Prosecution History of U.S. Patent Application No. 17/902,445 (now U.S. Patent No. 11,648,091 B2)
1019	W.J. Schrenk & T. Alfrey, Jr., <i>Chapter 15: Coextruded Multilayer Polymer Films and Sheets</i> , in POLYMER BLENDS, Vol. 2 129 (1978)
1020	U.S. Patent No. 5,692,894 (issued Dec. 2, 1997).
1021	Joint Claim Construction Statement, <i>Align Technology, Inc. v. ClearCorrect Operating, LLC</i> , Case No. 6:24-cv-00187-ADA-DTG (W.D. Tex. Jan. 3, 2025) (ECF No. 142)
1022	ClearCorrect's Preliminary Invalidity Contentions, <i>Align Technology, Inc. v. ClearCorrect Operating LLC</i> , Case No. 6:24-CV-00187 (W.D. Tex. Sept. 26, 2024)
1023	Complaint for Damages and Injunctive Relief, <i>Align Technology, Inc. v. ClearCorrect Operating, LLC</i> , Case No. 6:24-cv-00187 (W.D. Tex. Apr. 11, 2024) (ECF No. 1)
1024	Prosecution History of U.S. Patent Application No. 12/814,725 (published as U.S. Patent Application Publication No. 2011/0020761 A1)

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1025	Appendix 3 to Declaration of Lauren Gluckman - Penn State University Libraries MARC Record, https://catalog.libraries.psu.edu/catalog/83884/marc_view
1026	Internet Archive, <i>Bayer Polymers Product Guide – Properties</i> (archived May 26, 2001), Bayer Corporation – Polymers Division, https://web.archive.org/web/20010526121639/http://www.polymers-usa.bayer.com/orgs/bayer/unpro/ku/texin/990r.htm (last visited April 12, 2025) [Appendix 4 to Declaration of Lauren Gluckman]
1027	Appendix 5 to Declaration of Lauren Gluckman - Internet Archive, https://archive.org/
1028	Appendix 6 to Declaration of Lauren Gluckman - Wayback Machine, https://web.archive.org/
1029	Appendix 7 to Declaration of Lauren Gluckman - Bayer Polymers Division Search, https://web.archive.org/web/20250000000000*/bayer%20polymers%20division
1030	Appendix 8 to Declaration of Lauren Gluckman - Bayer Polymers Division Search, https://web.archive.org/web/20010615000000*/http://bayer.polysort.com/
1031	Appendix 9 to Declaration of Lauren Gluckman - Bayer Polymers Division Search, https://web.archive.org/web/20010331154037/http://bayer.polysort.com/
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1033	Appendix 11 to Declaration of Lauren Gluckman - Bayer Polymers Division Search, https://web.archive.org/web/20010411060538fw_/http://www.polymers-usa.bayer.com/products.html https://web.archive.org/web/20010411060538fw_/http://www.polymers-usa.bayer.com/products.html

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1035	Appendix 13 to Declaration of Lauren Gluckman - Bayer Polymers Division Search, https://web.archive.org/web/20010418213115/http://www.polymers-usa.bayer.com/orgs/bayer/unpro/p_TEXIN.htm
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1049	R. Ponitz, <i>Invisible Retainers</i> , 59 AM. J. ORTHO 266 (1971)
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1079	F. vom Saal and C. Hughes, <i>An Extensive New Literature Concerning Low-Dose Effects of Bisphenol A Shows the Need for a New Risk Assessment</i> , 113 ENV'T HEALTH PERSPECTIVES 926 (2005)

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1084	BPA Ban - Chicago, Illinois, 7-28-637 BPA-Free Kids Ordinance (Added Coun. J. 5-13-09, p. 62794, § 2; Amend Coun. J. 11-16-11, p. 13798, Art. II, § 6)
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1086	BPA Ban - Washington, House Bill Report HB 1180 (2009)
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1089	BPA Ban - Vermont, VT LEG 258370.1 No. 112 An Act Relating to Bisphenol A, S.247 (approved May 19, 2010)
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1096	<i>China Bans BPA in Infant Feeding Bottles</i> , SAFEGUARDS: SGS Consumer Testing Services (May 11, 2011)
1097	R. Trager, <i>US BPA Usage Could be Curbed</i> , Chemistry World, https://www.chemistryworld.com/news/us-bpa-usage-could-be-curbed/3004184.article (Mar. 11, 2009)
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1100	S. Rust and M. Kissinger, <i>Maker Acknowledges BPA Worries</i> , Milwaukee J. Sentinel, https://archive.jsonline.com/watchdog/watchdogreports/41186522.html (Mar. 12, 2009)
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1103	3M Press Release (May 9, 2023)
1104	U.S. Patent No. 9,022,903 B2 (issued May 5, 2015)
1105	Internet Archive Wayback Machine, <i>Tritan Availability Between Nov. 4, 2016-Feb. 18, 2009</i>
1106	U.S. Patent Pub. No. 2012/0136089 A1 (published May 31, 2012)
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1119	Federal Court Management Statistics-Profiles, United States District Courts – National Judicial Caseload Profile (Mar. 31, 2025) (available at https://www.uscourts.gov/sites/default/files/document/fcms_na_distprofile0331.2025.pdf)
1120	LegalMetric Time to Trial Report, Texas Western District Court, Patent Cases, January 2010 to August 2025
1121	WDTX Calendar Year 2024 Statistical Public Report (available at https://www.txwd.uscourts.gov/wp-content/uploads/District%20Statistics/2024/Calendar%20Year%202024%20Statistical%20Public%20Report.pdf?_wpnonce=70b2778e25)
1122	Jan. 31, 2025, WDTX Amended Order Assigning Business of the Court
1123	May 30, 2024, WDTX Order Assigning the Business of the Court as it relates to Patent Cases

Exhibit	Description
1124	Lex Machina, Cases Reassigned to Judge Albright (July 23, 2025)
1125	Lex Machina, Patent Cases Reassigned to Judge Albright (July 23, 2025)
1126	Fourth Scheduling Order, <i>Align Tech., Inc. v. ClearCorrect Operating, LLC</i> , No. 6:24-cv-00187-ADA-DTG (W.D. Tex. June 12, 2025) (ECF No. 188)
1127	Redacted Copy of Patent Owner's Motion to Stay June 17, 2025, Discovery Order Regarding Align's Production of Customer Contracts, <i>Align Tech., Inc. v. ClearCorrect Operating, LLC</i> , No. 6:24-cv-00187-ADA-DTG (W.D. Tex. July 8, 2025) (ECF No. 198)
1128	Order, <i>Align Tech., Inc. v. ClearCorrect Operating, LLC</i> , No. 6:24-cv-00187-ADA-DTG (W.D. Tex. July 8, 2025) (ECF No. 196)
1129	Defendants ClearCorrect Operating, LLC and ClearCorrect Holdings, Inc.'s Answer and Affirmative Defenses and Counterclaim-Plaintiffs' Counterclaims to Align Technology, Inc.'s Complaint for Damages and Injunctive Relief, <i>Align Technology, Inc. v. ClearCorrect Operating, LLC</i> , Case No. 6:24-cv-00187 (W.D. Tex. Apr. 11, 2024) (ECF No. 56)
1130	Claim Construction Order, <i>Align Tech., Inc. v. ClearCorrect Operating, LLC</i> , No. 6:24-cv-00187-ADA-DTG (W.D. Tex. June 5, 2025) (ECF No. 185)
1131	Joint Claim Construction Statement, <i>Align Tech., Inc. v. ClearCorrect Operating, LLC</i> , No. 6:24-cv-00187-ADA-DTG (W.D. Tex. Jan. 3, 2025) (ECF No. 142)
1132	Stipulated Joint Motion to Amend the Case Schedule, <i>Align Tech., Inc. v. ClearCorrect Operating, LLC</i> , No. 6:24-cv-00187-ADA-DTG (W.D. Tex. Mar. 18, 2025) (ECF No. 164)

Exhibit	Description
1133	Third Scheduling Order, <i>Align Tech., Inc. v. ClearCorrect Operating, LLC</i> , No. 6:24-cv-00187-ADA-DTG (W.D. Tex. Mar. 20, 2025) (ECF No. 165)
1134	Joint Motion to Amend the Case Schedule, <i>Align Tech., Inc. v. ClearCorrect Operating, LLC</i> , No. 6:24-cv-00187-ADA-DTG (W.D. Tex. Jun. 6, 2025) (ECF No. 187)
1135	Intentionally Left Blank
1136	USPTO Rescinds Memorandum Addressing Discretionary Denial Procedures (Feb. 28, 2025)
1137	Prosecution History of U.S. Patent Application No. 17/858,825 (now U.S. Patent No. 11,648,090 B2)
1138	Align Testing Spreadsheet (PDF) (CONFIDENTIAL – PARTIES AND BOARD ONLY)
1139	Align Testing Spreadsheet (PDF) (CONFIDENTIAL – PARTIES AND BOARD ONLY)
1140	 (CONFIDENTIAL – PARTIES AND BOARD ONLY)
1141	(CONFIDENTIAL – PARTIES AND BOARD ONLY)
1142	Align Testing Spreadsheet (native) (SERVED, NOT FILED)
1143	Align Testing Spreadsheet (native) (SERVED, NOT FILED)
1144	Align Technology 2013 Q4 Financial Slides
1145	Align Technology 2024 Q1 Financial Slides
1146	Align Technology, https://www.invisalign.com/provider (last visited April 23, 2026)

Exhibit	Description
1147	Align Technology, https://www.aligntech.com/patents (last visited April 23, 2026)
1148	Reply Expert Declaration of Dr. Tim Osswald, Ph.D.
1149	Transcript of Deposition of Dr. Robert Kimmel (April 17, 2026)
1150	Transcript of Deposition of Yan Chen (April 8, 2026) (CONFIDENTIAL – PARTIES AND BOARD ONLY)
1151	U.S. Patent Application Publication No. US 2012/0322951 (filed June 17, 2011) (“Eastman”)
1152	Internet Archive, <i>Eastman Tritan™ Copolyester FX100 Data Sheet</i> (archived January 22, 2014), Eastman Chemical Co., https://web.archive.org/web/20140122132516/http://ws.eastman.com/ProductCatalogApps/PageControllers/ProdDatasheet_PC.aspx?product=71068695 , dated March 30, 2010 (last visited May 29, 2026)
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1154	P. Yue et al., <i>Phase-field simulations of interfacial dynamics in viscoelastic fluids using finite elements with adaptive meshing</i> , 219 J. COMPUTATIONAL PHYSICS 47 (2006)
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1156	U.S. Patent No. 7,641,828 (filed Oct. 12, 2004; issued January 5, 2010) (“DeSimone”)
1157	Transcript of Deposition of Naga Kusima (April 24, 2026)

Exhibit	Description
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1161	HARRY R. ALLCOCK & FREDERICK W. LAMPE, CONTEMPORARY POLYMER CHEMISTRY (2d ed. 1990) (excerpt)
1162	Intentionally Left Blank
1163	U.S. Patent No. 7,210,926 (filed Nov. 10, 2003)
1164	U.S. Patent No. 4,826,420 (filed Dec. 14, 1987)
1165	S.R. Morris & C.T. Sunt, <i>Analysis of Forming Loads for Thermoplastic Composite Laminates</i> , 27 COMPOSITES 633 (1996)
1166	G. Latini et al., <i>DI-2-Ethylhexyl Phthalate and Endocrine Disruption: A Review</i> , 4 ENDOCRINE, METABOLIC & IMMUNE DISORDERS - DRUG TARGETS 37 (2004)
1167	Declaration of Kelley Hayes Greenhill
1168	<i>Bay Materials Announces Zendura® FLX™ Thermoformable Material for Orthodontic Clear Aligner Therapy Appliances</i> , PR News Wire, https://web.archive.org/web/20190527023742/https://www.prnewswire.com/news-releases/bay-materials-announces-zendura-flx-thermoformable-material-for-orthodontic-clear-aligner-therapy-appliances-300756563.html (last visited May 29, 2026)

Respectfully submitted,

Dated: May 29, 2026

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CERTIFICATE OF SERVICE

The undersigned certifies that a copy of the foregoing **Petitioner's Updated Exhibit List** and **Exhibits 1138-1158, 1160-1161, and 1163-1168** were served on May 29, 2026, via email directed to counsel of record for the Patent Owner at the following:

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