

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Affidavit of Foreclosure and Assignment
CONVEYING PARTY DATA	
Name	Execution Date
PANTA Systems, Inc.	05/17/2012
RECEIVING PARTY DATA	
Name:	Venture Lending & Leasing IV, Inc.
Street Address:	104 La Mesa Drive
Internal Address:	Suite 102
City:	Portola Valley
State/Country:	CALIFORNIA
Postal Code:	94028
PROPERTY NUMBERS Total: 10	
Property Type	Number
Patent Number:	7870106
Patent Number:	7721282
Application Number:	11709477
Patent Number:	8065737
Patent Number:	7793051
Application Number:	11026622
Application Number:	11818655
Application Number:	11818656
Application Number:	11818819
Application Number:	60625344
CORRESPONDENCE DATA	
Fax Number:	(206)224-0779
Phone:	(206)682-8100
Email:	efiling@cojk.com

OP \$400.00 7870106

501944672

PATENT

REEL: 028324 FRAME: 0627 Docker EX1020

Docker Inc. v. Intellectual Ventures II LLC - IPR2025-00840

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.

Correspondent Name: Kevan L. Morgan, Esq.
Address Line 1: Christensen O'Connor Johnson Kindness
Address Line 2: 1420 Fifth Avenue, Suite 2800
Address Line 4: Seattle, WASHINGTON 98101-2347

ATTORNEY DOCKET NUMBER:	5-50762
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NAME OF SUBMITTER:	Kevan L. Morgan
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Total Attachments: 5
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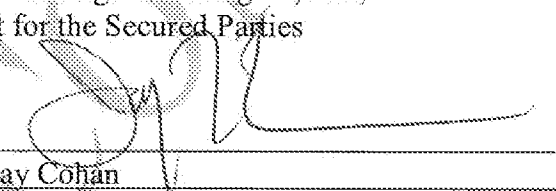
PATENT

AFFIDAVIT OF FORECLOSURE

1. Pursuant to that certain Loan and Security Agreement and that certain Intellectual Property Security Agreement, both dated as September 21, 2005 (together, the "**Agreement**"), Panta Systems, Inc. ("**Debtor**") granted to Venture Lending & Leasing IV, Inc. ("**VLL4**"), as collateral agent for itself and for Bridge Bank, N.A. ("**Bridge Bank**") (collectively, "**Secured Parties**") (VLL4 in its capacity as collateral agent, "**Agent**"), a security interest in its personal property, including, without limitation, the patents, patent applications and related rights set forth on attached Schedule 1 (the "**Assigned Patent Rights**"), to secure its obligations under (a) the Agreement, (b) a Promissory Note issued to VLL4, dated September 30, 2005, in the original principal amount of Three Million United States Dollars (\$3,000,000), and (c) a Promissory Note issued to Bridge Bank, dated September 30, 2005, in the original principal amount of One Million United States Dollars (\$1,000,000) ((b) and (c), collectively, the "**Notes**").
2. Following default by Debtor under the Agreement and the Notes, Agent, acting in its capacity as collateral agent for Secured Parties, became entitled to exercise rights of a secured party under Division 9 of the California Uniform Commercial Code (the "**UCC**"). On July 21, 2008, by written letter agreement between Debtor and Agent (the "**Peaceful Foreclosure Agreement**") and with the consent of Debtor, acquired all of Debtor's right, title and interest in and to the Patent Rights by "strict foreclosure" under 9620 of the UCC for the ratable benefit of Secured Parties.
3. As of July 21, 2008, Agent acquired all of Debtor's rights in the Patent Rights by acceptance in full satisfaction of Debtor's obligations to Secured Parties under the Agreement and Notes pursuant to Section 9620 of the California UCC, with the effect under Section 9622 of the California UCC of (a) transferring to Agent all of Debtor's rights in the Patent Rights, (b) discharging the security interest of Agent and Secured Parties, and (c) discharging any subordinate security interest or other subordinate lien.

Executed this 17th day of May, 2012.

Venture Lending & Leasing IV, Inc.,
as Agent for the Secured Parties

By: 
Name: Jay Cohen
Title: Vice President

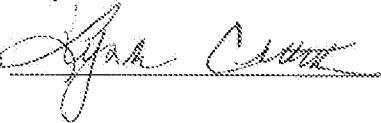
(Signature MUST be notarized - attached)

STATE OF CALIFORNIA)
) ss.
COUNTY OF SAN MATEO)

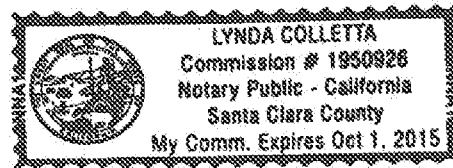
On May 17, 2012, before me, Lynda Colletta, Notary Public in and for said State, personally appeared Jay Cohan, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature



(Seal)



Schedule 1
Assigned Patent Rights

All of Seller's and Lenders' right, title, and interest that exist today and may exist in the future in and to any and all of the following property that was owned by Panta Systems, Inc. as of July 20, 2008, which as of that date comprised Collateral of Seller and Lenders (collectively, the "*Assigned Patent Rights*"):

(a) the provisional patent applications, patent applications and patents listed in the table below (the "*Patents*");

(b) all patents and patent applications (i) to which any of the Patents directly or indirectly claims priority, (ii) for which any of the Patents directly or indirectly forms a basis for priority, and/or (iii) that were co-owned applications that incorporate by reference, or are incorporated by reference into, the Patents;

(c) all reissues, reexaminations, extensions, continuations, continuations in part, continuing prosecution applications, requests for continuing examinations, divisions, registrations of any item in any of the foregoing categories (a) and (b);

(d) all foreign patents, patent applications, utility models, industrial design protection, design patent protection, and other governmental grants or issuances;

(e) all items in any of the foregoing in categories (b) through (d), whether or not expressly listed as Patents below and whether or not claims in any of the foregoing have been rejected, withdrawn, cancelled, or the like;

(f) inventions, invention disclosures, and discoveries described in any of the Patents and/or any item in the foregoing categories (b) through (e) that (i) are included in any claim in the Patents and/or any item in the foregoing categories (b) through (e), (ii) are subject matter capable of being reduced to a patent claim in a reissue or reexamination proceedings brought on any of the Patents and/or any item in the foregoing categories (b) through (e), and/or (iii) could have been included as a claim in any of the Patents and/or any item in the foregoing categories (b) through (e);

(g) all rights to apply in any or all countries of the world for patents, certificates of invention, utility models, industrial design protections, design patent protections, or other governmental grants or issuances of any type related to any item in any of the foregoing categories (a) through (f), including, without limitation, under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperation Treaty, or any other convention, treaty, agreement, or understanding;

(h) all causes of action (whether known or unknown or whether currently pending, filed, or otherwise) and other enforcement rights under, or on account of, any of the Patents and/or any item in any of the foregoing categories (b) through (g), including, without limitation, all causes of action and other enforcement rights for

- (1) damages,
- (2) injunctive relief, and
- (3) any other remedies of any kind

for past, current, and future infringement; and

(i) all rights to collect royalties and other payments under or on account of any of the Patents and/or any item in any of the foregoing categories (b) through (h).

<u>Patent or Application No.</u>	<u>Country</u>	<u>Filing Date</u>	<u>Title of Patent and First Named Inventor</u>
7870106	US	02/02/2006	Client side caching in a global file system Eric Pouyoul
7721282	US	03/30/2006	Block-level I/O subsystem for distributed application environment management James M. Mott
11/709477	US	02/21/2007	Root image caching and indexing for block- level distributed application management Pradip Kulkarni
8065737	US	02/28/2007	Virus scanning for block-level distributed application management Mukul Kumar
7370156	US	01/14/2005	Unity parallel processing system and method Ram Gupta
7793051	US	03/05/2007	Global shared memory subsystem James M. Mott

11/026622	US	12/30/2004	Branching store file system Tung M. Nguyen
11/818655	US	06/14/2007	Data replication and packup for block-level distributed application management Pradip Kulkarni
11/818656	US	06/14/2007	Load balancing for distributed application management Pradip Kulkarni
11/818819	US	06/14/2007	Software release installation for distributed application management Pradip Kulkarni
60/625344	US	11/04/2004	Unity parallel processing system and method Tung M. Nguyen