

CERTIFICATION OF MICRO ENTITY STATUS
(GROSS INCOME BASIS)

Application Number or Control Number (if applicable):	Patent Number (if applicable):
First Named Inventor: Graham Dugoni	Title of Invention: System and Apparatus for Selectively Limiting User

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SIGNATURE by a party set forth in 37 CFR 1.33(b)

Signature	/OEK/				
Name	Omid E. Khalifeh				
Date	04/21/2015	Telephone	(310) 276-6664	Registration No.	67,018
☐	There is more than one inventor and I am one of the inventors who are jointly identified as the applicant. Additional certification form(s) signed by the other joint inventor(s) are included with this form.				

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Electronic Patent Application Fee Transmittal				
Application Number:				
Filing Date:				
Title of Invention:		System and Apparatus for Selectively Limiting User Control of an Electronic Device		
First Named Inventor/Applicant Name:		Graham Dugoni		
Filer:		Omid E. Khalifeh		
Attorney Docket Number:		012915		
Filed as Micro Entity				
Filing Fees for Utility under 35 USC 111(a)				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
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BASIC UTILITY PATENT FILING FEE-MCRO-ENT	3011	1	70	70
Utility Search Fee	3111	1	150	150
Utility Examination Fee	3311	1	180	180
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
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Electronic Acknowledgement Receipt

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Application Number:	14692530
International Application Number:	
Confirmation Number:	3927
Title of Invention:	System and Apparatus for Selectively Limiting User Control of an Electronic Device
First Named Inventor/Applicant Name:	Graham Dugoni
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Filer:	Omid E. Khalifeh
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Payment was successfully received in RAM	\$400
RAM confirmation Number	5249
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File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Specification	Spec.pdf	914735	no	20
			2c741f1887d930bd3e736e92a7f8aa1ae21e1237		
Warnings:					
Information:					
2	Claims	Claims.pdf	135409	no	4
			656f9a32b10e2bf45ef3d3421e8f46263d902f7e		
Warnings:					
Information:					
3	Drawings-only black and white line drawings	Figs.pdf	158161	no	4
			865e62e566a42c546719e04e9ec61a7966f875f9		
Warnings:					
Information:					
4	Abstract	Abstract.pdf	43850	no	1
			afd296aa95e5ddd2e7a5257c061d74b329c35308		
Warnings:					
Information:					
5	Oath or Declaration filed	Dec.pdf	350438	no	3
			a37fa98f954d8cdcb5a3b78fb33e92001a61db3a		
Warnings:					
Information:					
6	Certification of Micro Entity (Gross Income Basis)	sb0015a.pdf	95976	no	2
			e37bd50f74fec5f5f02c3ffecaa18bbdf68db960		
Warnings:					
Information:					
7	Fee Worksheet (SB06)	fee-info.pdf	35144	no	2
			40d2f29891e9bc984c346ff6a6207fafd995d86c		
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New International Application Filed with the USPTO as a Receiving Office

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IN

THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

FOR

SYSTEM AND APPARATUS FOR SELECTIVELY LIMITING
USER CONTROL OF AN ELECTRONIC DEVICE

BY

GRAHAM DUGONI

GOVERNMENT CONTRACT

Not applicable.

CROSS-REFERENCE TO RELATED APPLICATIONS

Pursuant to 35 USC 119, this Application claims the right of priority to Provisional Patent Application Serial No. 61/982,789 filed on April 22, 2014. The content of said application is incorporated herein by reference in its entirety.

STATEMENT RE. FEDERALLY SPONSORED RESEARCH/DEVELOPMENT

Not applicable.

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TECHNICAL FIELD

[0001] The disclosed subject matter relates generally to systems and apparatuses for limiting functionality of personal electronic devices and, more particularly, to locking cases and other techniques that selectively limit a user’s ability to access and control such electronic devices until predetermined conditions, such as geographic location and passage of time, are met.

BACKGROUND

[0002] Handheld and mobile electronic devices have become ubiquitous for conveniently enhancing wireless communication and connectivity. Still, the extent of their pervasive use has also proven to be unwelcome in certain settings.

[0003] In many instances, mobile electronic devices can distract users from appreciating their surroundings and company of others to a full extent. For example, many who bring their mobile electronic devices, such as cell phones, to social outings may find themselves foregoing live conversation to check their phones for text messages, missed calls, and other virtual communications. Additionally, as photo and video recording capabilities have improved, users have increasingly taken to using their mobile electronic devices to record performers, speakers, and even athletes at live events. This can be distracting to the performers and to others in the audience, detracting from overall enjoyment of the event. Indeed, this can even be harmful to the intellectual property rights of performers whose copyrighted or otherwise valuable and legally protectable displays and performances are digitally recorded and distributed without authorization.

[0004] Attempts have been made to limit use of mobile electronic devices. For example, U.S. Patent No. 7,181,229 to Singh et al. discloses a system that, in part, shuts off a

cell phone and prevents a user from turning the cell phone on while it is within a restricted area, such as a car. This solution is deficient however, because it completely prevents users from being notified of any incoming calls or messages that may require the user’s attention. The ‘229 patent further discloses a system that limits cell phone function when it is detected within an automobile. Aside from the geographically limited application of this invention, it is also deficient because it fails to blocks the screen to diminish possible temptation to use the device.

[0005] Other attempts to discourage use of mobile electronic devices at communal events and in certain venues have been largely ineffective. For example, providing signs and instructions to refrain from using mobile electronic devices in certain areas and/or during specified time periods are often ignored. Moreover, requiring people to leave mobile electronic devices at home, turn them off, or to physically turn them over to venue staff for the duration of an event is often met with resistance. This may be because users prefer not to be separated from their valuable personal property, fearing theft of their devices and electronically stored information, and even the risk of missing important messages or other notifications.

[0006] Thus, although various proposals have been made to solve the problem, none of those in existence combine the characteristics of the present invention. Therefore, there remains a need for a system and apparatus that selectively limits access to and/or disables functions of a mobile electronic device.

SUMMARY

[0007] The present disclosure is directed to techniques for limiting access to and control of personal mobile electronic devices within certain geographic locations or even during specified periods of time.

[0008] For purposes of summarizing, certain aspects, advantages, and novel features have been described. It is to be understood that not all such advantages may be achieved in accordance with any one particular embodiment. Thus, the disclosed subject matter may be embodied or carried out in a manner that achieves or optimizes one advantage or group of advantages without achieving all advantages as may be taught or suggested.

[0009] In one embodiment, among others, the apparatus is a case sized to accommodate a mobile electronic device. More particularly, the case may comprise a front and a rear panel secured together along longitudinally opposed side edges and laterally opposed lower edges. Configured in this manner, the front and rear panels and sealed edges may define an opening to receive the mobile electronic device.

[0010] The case may additionally comprise a locking means for at least partially securing the opening. The locking means may comprise opposing plates disposed on or within the front and rear panels of the case. More particularly, opposing plates respectively having securably mateable female and a male members are contemplated. It is additionally contemplated that the locking means may first render the electronic device inaccessible to the user, or in other words possessor, of the device and second be non-disengageable by the user of the mobile electronic device until predetermined condition is met. In other words, when engaged, the locking means may be physically impenetrable by the user of the mobile electronic device and may further be configured to disengage to provide the user with access to his or her mobile electronic device only under certain circumstances.

[0011] For example, predetermined condition may relate to geographic location or timing. Indeed, in one embodiment, the case may be used to render a mobile electronic device inaccessible during a communal event such as a show, demonstration, display, or athletic competition within a concert hall, center, arena, or similar venue. In such scenarios, the locking means may only become disengageable if a user leaves the venue. Alternatively, the locking means may only become disengageable at such a time as the communal event is completed. As yet another alternative, the locking means may become disengageable when a user locates venue staff who are equipped to physically disengage the locking means on their behalf.

[0012] In an embodiment, the locking means may be remotely engageable and disengageable. The locking means may be equipped with electronic receivers such as a radio frequency identification (RFID) configured to instruct the locking means to alternatively engage and disengage when it receives a signal from a proximity transmitter indicating that the case has respectively entered and exited defined geographical region. Thus, for example, the locking means may receive instructions to lock the device when it is located within an audience seating area of a venue or concert hall. Then, the locking device may receive instructions to unlock the device when it is located outside of the audience area of a venue or concert hall.

[0013] In still another embodiment, the locking device may be equipped with a microprocessor configured to receive Bluetooth™ or other wireless data signals from a beacon or transmitter within a defined perimeter. Thus, for example, receipt of a wireless data signal may cause the locking means on the case to engage so that it restricts access to the mobile electronic device housed within the case. In other examples, the absence of a wireless data signal may cause the locking device to engage.

[0014] It is contemplated that providing disclosed systems and apparatuses according to the disclosure and claims provided below may reduce undesirable behavior at communal events by eliminating distractions posed by mobile electronic devices and preventing unauthorized recordings, which together may enhance overall engagement at and enjoyment of such events.

[0015] Thus, it is an object of the invention to eliminate distractions caused by use of mobile electronic devices at communal events.

[0016] It is another object of the invention to prevent users from controlling their mobile electronic devices within certain locations.

[0017] It is yet another object of the invention to prevent users from controlling their mobile electronic devices during certain periods of time.

[0018] It is still another object of the invention to avoid possible infringement of intellectual property rights caused by unauthorized use of recording features available on many mobile electronic devices.

[0019] It is another object of the invention to protect personal privacy of those surrounding users of mobile electronic devices at communal events by preventing such users from photographing and recording them, and even further preventing such users from sharing any impermissibly captured photos and recordings.

[0020] One or more of the above-disclosed embodiments, in addition to certain alternatives, are provided in further detail below with reference to the attached figures. The disclosed subject matter is not, however, limited to any particular embodiment disclosed.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a perspective view of an embodiment of the case for selectively limiting user control of an electronic device.

FIG. 2 is an alternate perspective view of an embodiment of the case for selectively limiting user control of an electronic device.

FIG. 3 is a skeletal view of an embodiment of the case for selectively limiting user control of an electronic device.

FIG. 4 illustrates an embodiment of means for locking the embodiment of the case for selectively limiting user control of an electronic device in **FIGS. 1-3**.

FIGS. 5 and 6 show an embodiment of means for unlocking an embodiment of the case for selectively limiting user control of an electronic device.

FIG. 7 is a schematic representation of an embodiment of the system for selectively limiting user control of an electronic device.

FIG. 8 illustrates an exemplary embodiment of a mobile electronic device practicing an embodiment of the system for selectively limiting user control of the electronic device.

The disclosed embodiments may be better understood by referring to the figures in the attached drawings, as provided below. The attached figures are provided as non-limiting examples for providing an enabling description of the system and apparatus claimed. Attention is called to the fact, however, that the appended drawings illustrate only typical embodiments of this invention and are therefore not to be considered as limiting of its scope. One skilled in the art will understand that the invention may be practiced without some of the details included in order to provide a thorough enabling description of such embodiments.

Well-known structures and functions have not been shown or described in detail to avoid unnecessarily obscuring the description of the embodiments.

One embodiment of the invention is implemented as a program product for use with a computer system. The program(s) of the program product defines functions of the embodiments (including the methods described herein) and can be contained on a variety of computer-readable storage media. Illustrative computer-readable storage media include, but are not limited to: (i) non-writable storage media (e.g., read-only memory devices within a computer such as CD-ROM disks readable by a CD-ROM drive) on which information is permanently stored; (ii) writable storage media (e.g., floppy disks within a diskette drive or hard-disk drive) on which alterable information is stored. Such computer-readable storage media, when carrying computer-readable instructions that direct the functions of the present invention, are embodiments of the present invention. Other media include communications media through which information is conveyed to a computer, such as through a computer or telephone network, including wireless communications networks. The latter embodiment specifically includes transmitting information to/from the Internet and other networks. Such communications media, when carrying computer-readable instructions that direct the functions of the present invention, are embodiments of the present invention. Broadly, computer-readable storage media and communications media may be referred to herein as computer-readable media.

In general, the routines executed to implement the embodiments of the invention, may be part of an operating system or a specific application, component, program, module, object, or sequence of instructions. The computer program of the present invention typically is comprised of a multitude of instructions that will be translated by the native computer into a machine-readable format and hence executable instructions. Also, programs are comprised of

variables and data structures that either reside locally to the program or are found in memory or on storage devices. In addition, various programs described hereinafter may be identified based upon the application for which they are implemented in a specific embodiment of the invention. However, it should be appreciated that any particular program nomenclature that follows is used merely for convenience, and thus the invention should not be limited to use solely in any specific application identified and/or implied by such nomenclature.

For simplicity and clarity of illustration, the drawing figures illustrate the general manner of construction, and descriptions and details of well-known features and techniques may be omitted to avoid unnecessarily obscuring the invention. Additionally, elements in the drawing figures are not necessarily drawn to scale. For example, the dimensions of some of the elements in the figures may be exaggerated relative to other elements to help improve understanding of embodiments of the present invention. The same reference numerals in different figures denote the same elements.

The terms “first,” “second,” “third,” “fourth,” and the like in the description and in the claims, if any, are used for distinguishing between similar elements and not necessarily for describing a particular sequential or chronological order. It is to be understood that the terms so used are interchangeable under appropriate circumstances such that the embodiments described herein are, for example, capable of operation in sequences other than those illustrated or otherwise described herein. Furthermore, the terms “include,” and “have,” and any variations thereof, are intended to cover a non-exclusive inclusion, such that a process, method, system, article, device, or apparatus that comprises a list of elements is not necessarily limited to those elements, but may include other elements not expressly listed or inherent to such process, method, system, article, device, or apparatus.

The terms “couple,” “coupled,” “couples,” “coupling,” and the like should be broadly understood and refer to connecting two or more elements or signals, electrically, mechanically or otherwise. Two or more electrical elements may be electrically coupled, but not mechanically or otherwise coupled; two or more mechanical elements may be mechanically coupled, but not electrically or otherwise coupled; two or more electrical elements may be mechanically coupled, but not electrically or otherwise coupled. Coupling (whether mechanical, electrical, or otherwise) may be for any length of time, e.g., permanent or semi-permanent or only for an instant.

DETAILED DESCRIPTION

[0021] Having summarized various aspects of the present disclosure, reference will now be made in detail to that which is illustrated in the drawings. While the disclosure will be described in connection with these drawings, there is no intent to limit it to the embodiment or embodiments disclosed herein. Rather, the intent is to cover all alternatives, modifications and equivalents included within the spirit and scope of the disclosure as defined by the appended claims.

[0022] With reference to **FIGS. 1-4** the apparatus for selectively limiting user control of an electronic device may be embodied as a case **100** sized to accommodate a mobile electronic device **102**. More particularly, the case may comprise a front **104** and a rear panel **106** secured together along longitudinally opposed side edges **108** and laterally opposed lower edges **110**. The lower edges **110** may be secured by permanently stitching or gluing together, while the side edges **108** may be stitched or glued upward from the lower toward an opening **112** that is defined by the front and rear panels **104**, **106** and permanently secured edges **108**, **110** and further sized to receive the mobile electronic device **102**.

[0023] As illustrated, one embodiment of the apparatus may be a soft, flexible case. It may comprise various rugged materials such as neoprene, lycra, silicone rubber, spandex, vinyl, canvas, and any other material or combinations of materials sufficient to temporarily house a mobile electronic device. Additionally, various other configurations are contemplated for the shape of the apparatus as well. For example, the invention may comprise a rigid shell or box having a securable opening to receive a mobile electronic device. As such, one skilled in the art will recognize that the illustrated figures are offered by way of example and ease of understanding only, not of limitation.

[0024] The apparatus for selectively limiting user control of an electronic device further comprises a locking means **114** for at least partially securing the opening **112** so the mobile electronic device **102** is may be rendered inaccessible to and further uncontrollable by the user of the device although it may still remain in his possession.

[0025] In one embodiment, the locking means **114** comprises opposing plates disposed on or within the front and rear panels **106**, **108** of the case **100**, and to effect locking, each opposing plate has one of a securably mateable female **114a** and male **114b** member. Other locking means are, of course, contemplated. For example, magnetic plates, selectively releasable mesh, lockable zippers, and other means for at least partially securing the opening **112** may be sufficient to practice the invention. In this manner, the locking means **114** may first render the electronic device **102** inaccessible to the user by at least partially securing the opening **112** of the device, and second be non-disengageable by the user of the mobile electronic device until a predetermined condition is met.

[0026] One or more embodiments of the case **100** may be configured to selectively limit access to a mobile electronic device **102** in response to various predetermined conditions. In one embodiment, the case may be outfitted with locking means **114** comprising

a manual, key-operated latch wherein means for unlocking comprise a corresponding key. In such an embodiment, the case **100** may be manually locked or unlocked by venue staff or other members in possession of such a key. Thus, the system for selectively limiting user control of an electronic device may involve a predetermined condition requiring the user of the electronic device **102** to locate venue staff or other members in possession of the key to manually unlock the case **100** on user's behalf.

[0027] With reference to **FIGS. 5** and **6**, the system **500** for selectively limiting user control of an electronic device may comprise a locking means **114** having electronic receivers, such as a radio frequency identification (RFID) tag and means for unlocking the case **100** comprising an electronic article surveillance (EAS) detacher **502** for separating the mating portions of the locking means **114**. The EAS detacher **502** may be, for example, any of those known in the to contain strong magnets that interrupt electromagnetic fields such as those in the range of radio frequencies. Thus, in one embodiment represented by **FIG. 5**, the at least partially secured locking means **114** may be touched to the EAS detacher **502** so that any electromagnetic fields enabling the locking means **114** to remain secured are interrupted. This, in turn, may allow the locking means **114** to become unsecured and further exposes the mobile electronic device **102** within the case as in **FIG. 6**. In such an embodiment, it is contemplated that the predetermined condition involves locating an EAS detacher. In the event that the system and apparatus for selectively limiting user control of an electronic device is employed to reduce distractions at a communal event, such means for unlocking the case may be placed out side of any audience viewing area or even at venue exit ways.

[0028] The means for unlocking the case may also operate remotely to cause the case to automatically lock and unlock. For example, in one embodiment, the locking means may automatically lock and unlock when the case is determined to be, respectively, within and

outside of a defined geographical region. For example, with reference to **FIG. 7**, another embodiment of the system may comprise locking means **114** having electronic receivers such as the aforementioned RFID tag disposed therein and further configured to instruct the locking means to alternatively engage and disengage when it receives a signal from a proximity transmitter **702**. Thus, for example, the locking means may receive instructions to lock the device when it is located in **100a** the geographical region **704** within reach of the transmitter **702**. Then, the locking means **114** may receive instructions to unlock the device when it is located outside **100b** of the geographical region **704** within reach of the transmitter **702**. In such an embodiment, it is contemplated that the predetermined condition requires a user to exit a geographical region to regain access and control of his or her mobile electronic device. As a more particularized example, a transmitter signal may be configured to cover the geographical region of an audience seating area at a venue hosting a communal event. When within the audience seating area, the case **100a** may remain secured so that a user is unable to access or control the mobile electronic device contained therein. However, when the case **100b** travels outside of the audience seating area, such as to a foyer, lobby, or restroom, the locking means **114** may become unsecured to provide access to the mobile electronic device. It should be understood that such a limitation is offered by way of example only. The transmitter signal may be configured to cover any desirable area, and indeed a plurality of transmitters **702** may even be employed to enlarge or otherwise define the geographical region affecting access and control of the mobile electronic device.

[0029] In still another embodiment, the locking device may be equipped with a microprocessor configured to receive Bluetooth™ or other wireless data signals from a beacon or transmitter within a defined perimeter. Thus, for example, receipt of a wireless data signal may cause the locking means on the case to engage so that it restricts access to the

mobile electronic device housed within the case. In other examples, the absence of a wireless data signal may cause the locking device to engage.

[0030] In addition to geographical proximity to electromagnetic and other wireless data signals, the predetermined condition may also or alternatively relate to the passage of time. For example, the locking means may automatically become unsecured at the time that a communal event is scheduled to end or even during intermissions. Thus a transmitter may produce a signal causing the locking means to remain secured for a period of time. When the period of time elapses, the transmitter may stop producing the signal so that the locking means becomes unsecured. Of course, various other predetermined conditions and means for unlocking may signal or prompt the release of the locking means, and the foregoing are offered only for the purpose of enabling the system and apparatus. In any event, when engaged, the locking means may be physically impenetrable by the user of the mobile electronic device and may further be configured to automatically or manually disengage to provide the user with access to his or her mobile electronic device only under certain circumstances.

[0031] In still another embodiment, the mobile electronic device **102** itself includes an embodiment of the system for selectively limiting user control of an electronic device. The system can be implemented in numerous ways such as, for example and without limitation, those discussed above, and even as an application executed on the mobile electronic device **102**. In operation, an embodiment of the system receives information from integrated positioning technology, such Global Position Systems (GPS) to selectively limit a user's ability to control his or her mobile electronic device. Of course, one skilled in the art will recognize that various methods of determining the location of a mobile electronic device can be used.

[0032] In a further embodiment, a geofence may be created around a venue for a communal event, or other location where it may be desirable to limit use of a mobile electronic device, so that the system automatically disables certain functional capabilities of the mobile electronic device **102** any time the device comes within a predetermined distance of the venue or other location. For example, a mobile electronic device within the geofence may be rendered incapable of sending or receiving voice and/or text messages. As another example, a mobile electronic device within the geofence may be incapable of running applications or other programs operative to capture audio and/or visual recordings. As yet another example, a mobile electronic device within the geofence may be rendered incapable of receiving or transmitting data through the Internet and other networks.

[0033] **FIG. 8** illustrates an exemplary embodiment of a mobile electronic device **102** that may be compatible with one or more embodiments of the disclosed system and apparatus. The mobile electronic device **102** may be a cell phone, smart phone, or tablet computer but may also be embodied in any one of a wide variety of wired and/or wireless computing devices. As shown in **FIG. 8**, mobile electronic device **102** includes a processing device (processor) **802**, input/output interfaces **804**, a display **806**, a touchscreen interface **808**, a network interface **810**, memory **812**, and operating system **814**, a mass storage **816** and a GPS **818**, with each communicating across a local data bus **820**. Additionally, mobile electronic device **802** incorporates a system for selectively limiting user control of an electronic device **102**, which may optionally be configured to include programming instructions to selectively disable any of the aforementioned features of the mobile electronic device **102**. For example, in one embodiment, the system for selectively limiting user control of an electronic device **102** may communicate instructions to disable input/output interfaces **804**

[0034] The processing device **802** may include any custom made or commercially available processor, a central processing unit (CPU) or an auxiliary processor among several processors associated with the mobile electronic device **102**, a semiconductor based microprocessor (in the form of a microchip), a macroprocessor, one or more application specific integrated circuits (ASICs), a plurality of suitably configured digital logic gates, and other electrical configurations comprising discrete elements both individually and in various combinations to coordinate the overall operation of the system.

[0035] The memory **812** can include any one of a combination of volatile memory elements (e.g., random-access memory (RAM, such as DRAM, and SRAM, etc.)) and nonvolatile memory elements. The memory typically comprises native operating system **814**, one or more native applications, emulation systems, or emulated applications for any of a variety of operating systems and/or emulated hardware platforms, emulated operating systems, etc. For example, the applications may include application specific software which may comprise some or all the components of the mobile electronic device **102**. In accordance with such embodiments, the components are stored in memory and executed by the processing device. Note that although depicted separately in **FIG. 8**, the system selectively limiting user control of an electronic device **102** may be resident in memory such as memory **812**.

[0036] Touchscreen interface **808** may configured to detect contact within the display area of the display **806** and provides such functionality as on-screen buttons, menus, keyboards, etc. that allows users to navigate user interfaces by touch. Some embodiment of the mobile electronic device **102** will even comprise GPS **818** or other means to determine the location of the mobile electronic device **102**.

[0037] One of ordinary skill in the art will appreciate that the memory **814** can, and typically will, comprise other components that have been omitted for purposes of brevity. Note that in the context of this disclosure, a non-transitory computer-readable medium stores one or more programs for use by or in connection with an instruction execution system, apparatus, or device. With further reference to **FIG. 8**, network interface device **810** comprises various components used to transmit and/or receive data over a networked environment. When such components are embodied as an application, such as an application for executing and embodiment of the system for selectively limiting user control of the electronic device, the one or more components may be stored on a non-transitory computer-readable medium and executed by the processing device.

[0038] It should be emphasized that the above-described embodiments are merely examples of possible implementations. Many variations and modifications may be made to the above-described embodiments without departing from the principles of the present disclosure. All such modifications and variations are intended to be included herein within the scope of this disclosure and protected by the following claims.

[0039] Moreover, embodiments and limitations disclosed herein are not dedicated to the public under the doctrine of dedication if the embodiments and/or limitations: (1) are not expressly claimed in the claims; and (2) are or are potentially equivalents of express elements and/or limitations in the claims under the doctrine of equivalents.

CONCLUSIONS, RAMIFICATIONS, AND SCOPE

[0040] While certain embodiments of the invention have been illustrated and described, various modifications are contemplated and can be made without departing from the spirit and scope of the invention. For example, communal events are discussed as venues

for implementation of the invention, however it is contemplated that the system and apparatus disclosed may be applied to small scale and even private venues such as for example, a private home, a restaurant, members-only club, and even automobile or other vehicle. Accordingly, it is intended that the invention not be limited, except as by the appended claim(s).

[0041] The teachings disclosed herein may be applied to other systems and apparatuses, and may not necessarily be limited to any described herein. The elements and acts of the various embodiments described above can be combined to provide further embodiments. All of the above patents and applications and other references, including any that may be listed in accompanying filing papers, are incorporated herein by reference. Aspects of the invention can be modified, if necessary, to employ the systems, functions and concepts of the various references described above to provide yet further embodiments of the invention.

[0042] Particular terminology used when describing certain features or aspects of the invention should not be taken to imply that the terminology is being refined herein to be restricted to any specific characteristics, features, or aspects of the system and apparatus for selectively limiting user control of an electronic device with which that terminology is associated. In general, the terms used in the following claims should not be constructed to limit the system and apparatus for selectively limiting user control of an electronic device to the specific embodiments disclosed in the specification unless the above description section explicitly define such terms. Accordingly, the actual scope encompasses not only the disclosed embodiments, but also all equivalent ways of practicing or implementing the disclosed system and apparatus. The above description of embodiments of the system and

apparatus for selectively limiting user control of an electronic device is not intended to be exhaustive or limited to the precise form disclosed above or to a particular field of usage.

[0043] While specific embodiments of, and examples for, the method, system, and apparatus are described above for illustrative purposes, various equivalent modifications are possible for which those skilled in the relevant art will recognize.

[0044] While certain aspects of the method and system disclosed are presented below in particular claim forms, various aspects of the system and apparatus are contemplated in any number of claim forms. Thus, the inventor reserves the right to add additional claims after filing the application to pursue such additional claim forms for other aspects of the system and apparatus for selectively limiting user control of an electronic device.

CLAIMS

What is claimed is:

1. A case for selectively limiting a user’s ability to control a mobile electronic device, comprising:
 - a front and a rear panel each having first and second longitudinally opposed side edges and laterally opposed lower edges, the first, second, and lower edges being secured together to define an opening for receiving a mobile electronic device; and
 - a locking means for at least partially securing the opening so that the electronic device is rendered inaccessible to the user, the locking means being further non-disengageable by the user of the mobile electronic device until a predetermined condition is met.
2. The case of claim 1, wherein the locking means comprises a female and a male plate, the plates respectively disposed on each of the front and rear panels and configured to securably mate with one another.
3. The case of claim 2, wherein a radio frequency identification tag is further disposed in one or both of the female and male plates to receive instructions from a remote transmitter to disengage the locking means when the predetermined condition is met.

4. The case of claim 2, wherein a microprocessor is further disposed in one or both of the female and male plates to receive a wireless data signal from a beacon or transmitter to disengage the locking means when the predetermined condition is met.

5. The case of claim 1, wherein the predetermined condition is physical presence outside of a defined geographical region.

6. The case of claim 1, wherein the predetermined condition is the passage of time.

7. An apparatus for selectively limiting a user's ability to control to a mobile electronic device, comprising:

a shell defining a cavity sized to accommodate a mobile electronic device and having an opening to receive the mobile electronic device therein; and

a locking means for at least partially securing the opening of the shell so that the electronic device is rendered inaccessible to the user, the locking means being further non-disengageable by the user of the mobile electronic device until a predetermined condition is met.

8. The case of claim 7, wherein the predetermined condition is physical presence outside of a defined geographical region.

9. The case of claim 7, wherein the predetermined condition is the passage of time.

10. A system for selectively limiting user control of an electronic device,
comprising:

a case for a mobile electronic device operative to become locked so that the
user is unable to access the mobile electronic device contained therein until a
predetermined condition is met; and
means for unlocking the case.

11. The system of claim 10, wherein the case comprises:

a front and a rear panel each having first and second longitudinally opposed
side edges and laterally opposed lower edges, the first, second, and lower edges being
secured together to define an opening for receiving a mobile electronic device; and
a locking means for at least partially securing the opening.

12. The case of claim 11, wherein the locking means comprises a female and a
male plate, the plates respectively disposed on each of the front and rear panels and
configured to securably mate with one another.

13. The case of claim 11, wherein a radio frequency identification tag is further
disposed in one or both of the female and male plates to receive instructions from a remote
transmitter to disengage when the predetermined condition is met.

14. The case of claim 11, wherein a microprocessor is further disposed in one or both of the female and male plates to receive a wireless data signal from a beacon or transmitter to disengage the locking means when the predetermined condition is met.

15. The case of claim 10, wherein the predetermined condition is physical presence outside of a defined geographical region.

16. The case of claim 10, wherein the predetermined condition is the passage of time.

17. The system of claim 10, wherein the mobile electronic device is operative to receive instructions to disable one or more coded functions of the mobile electronic device.

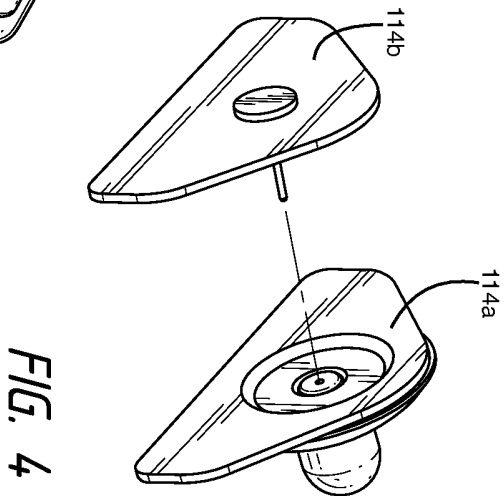
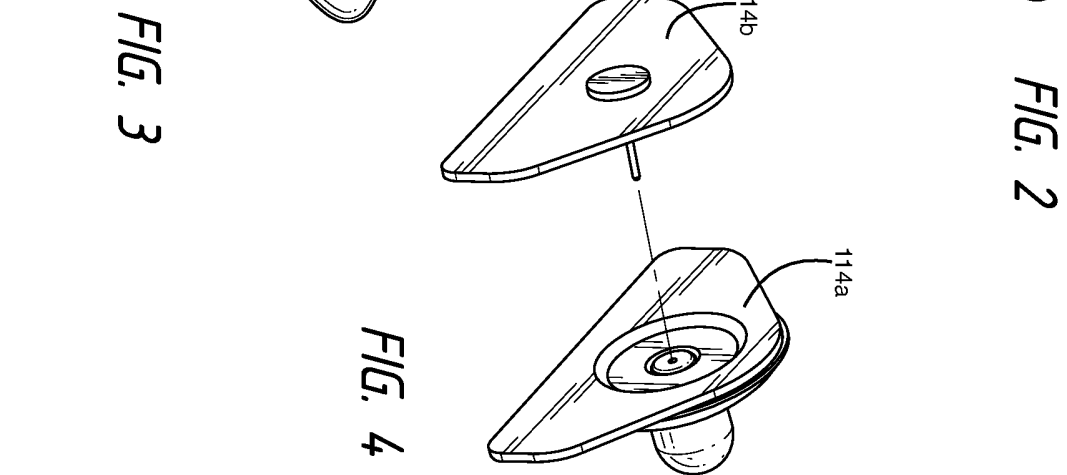
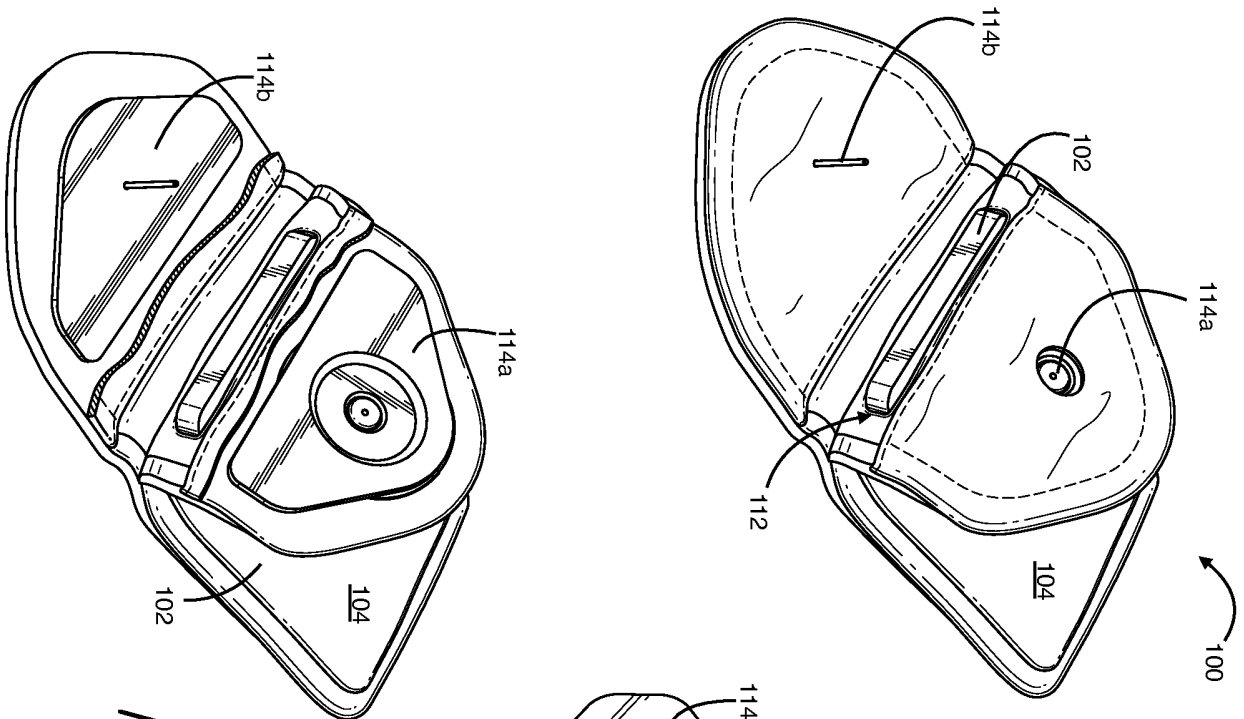
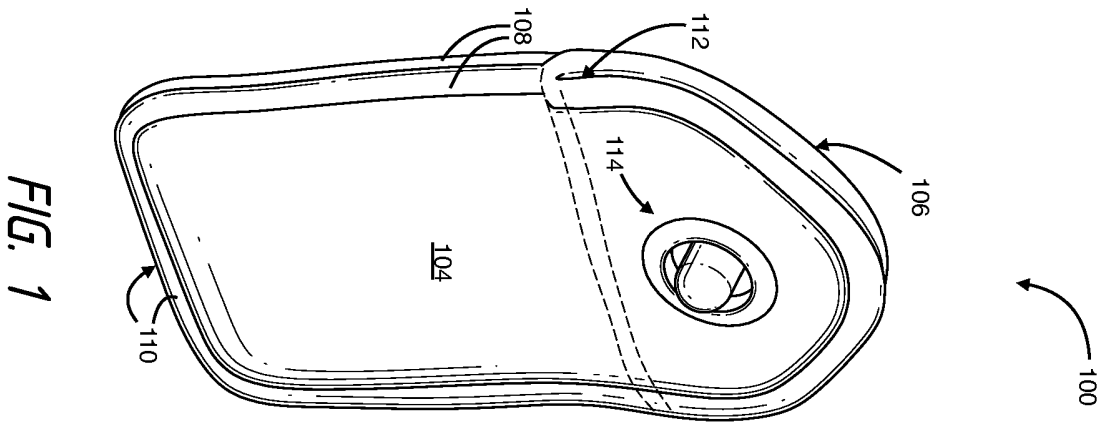


FIG. 5

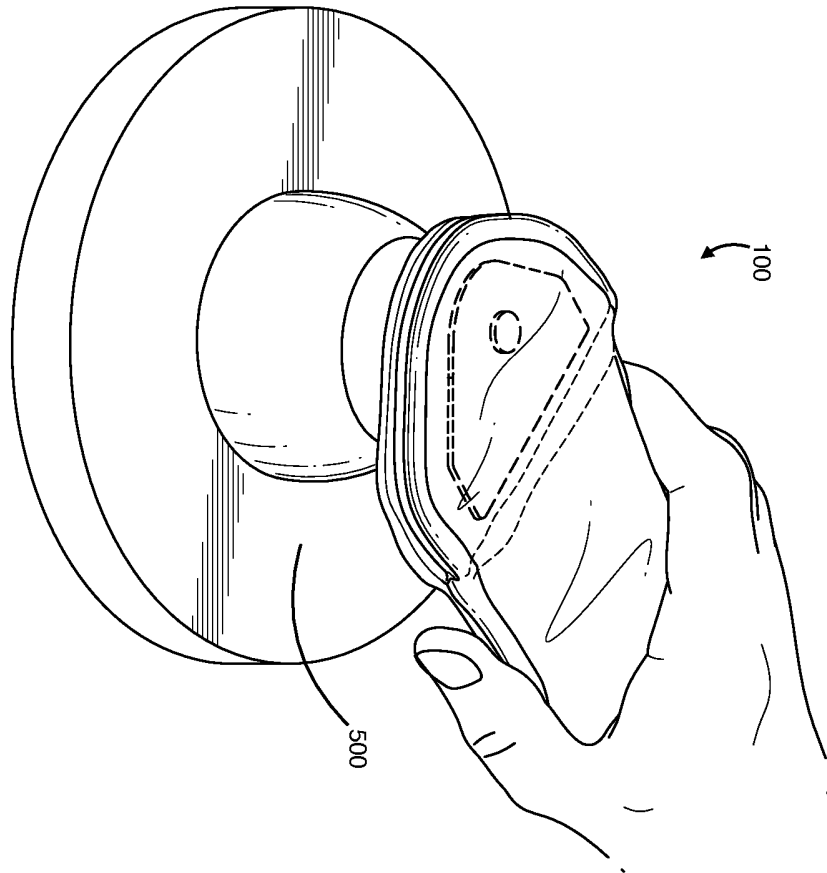
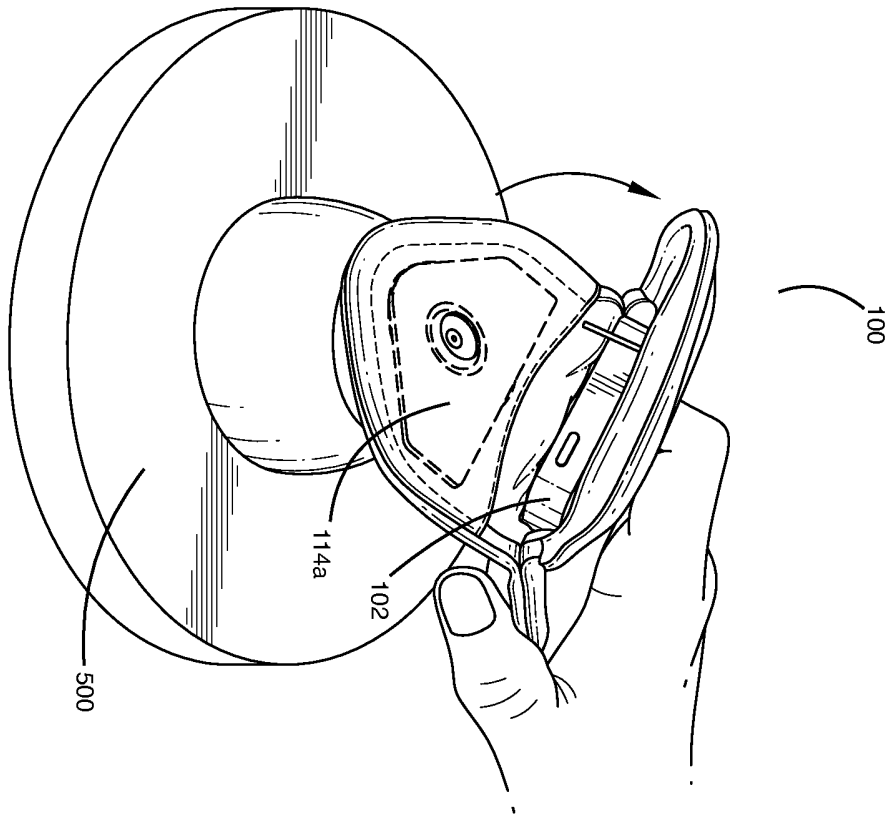


FIG. 6



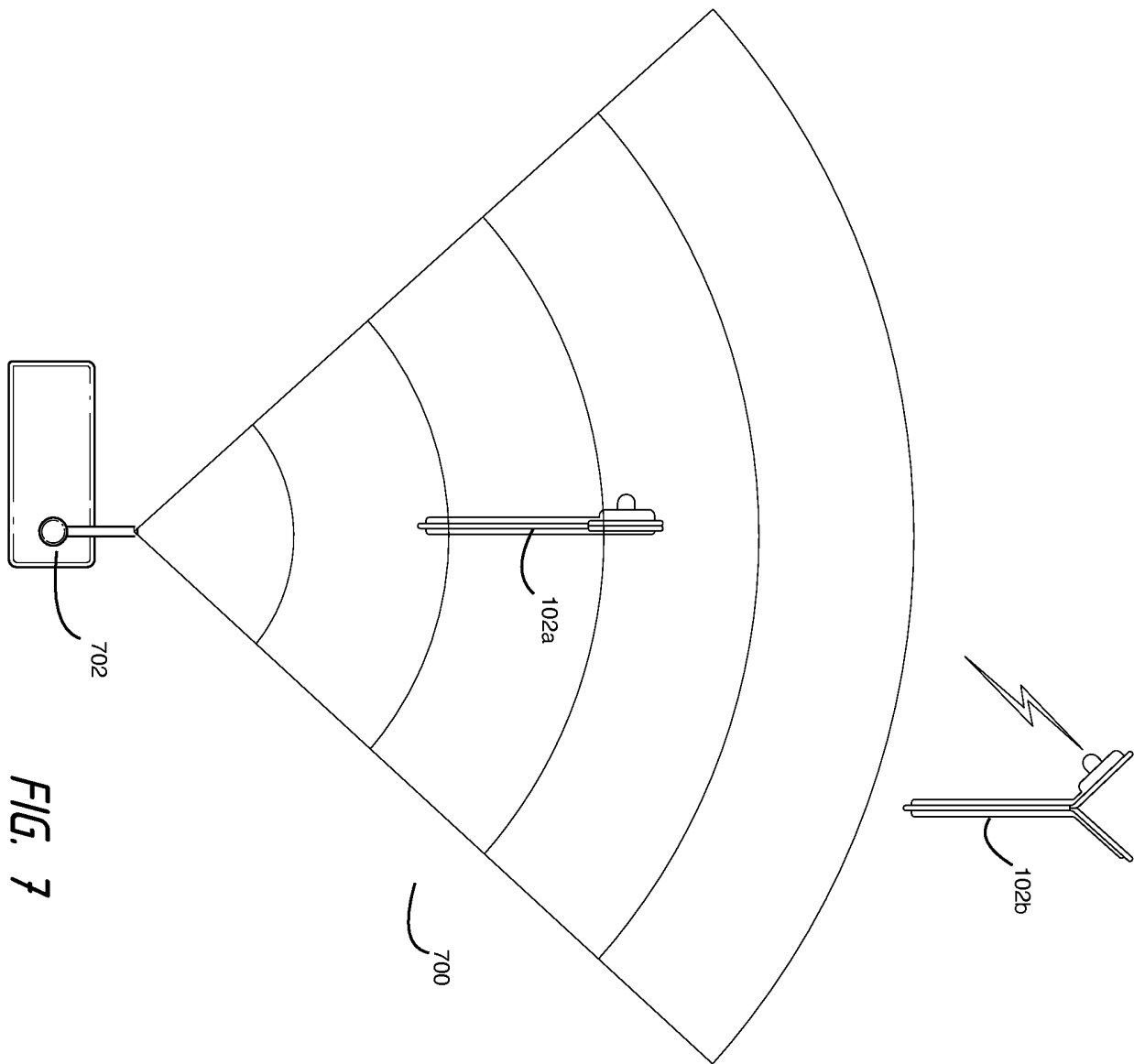


FIG. 7

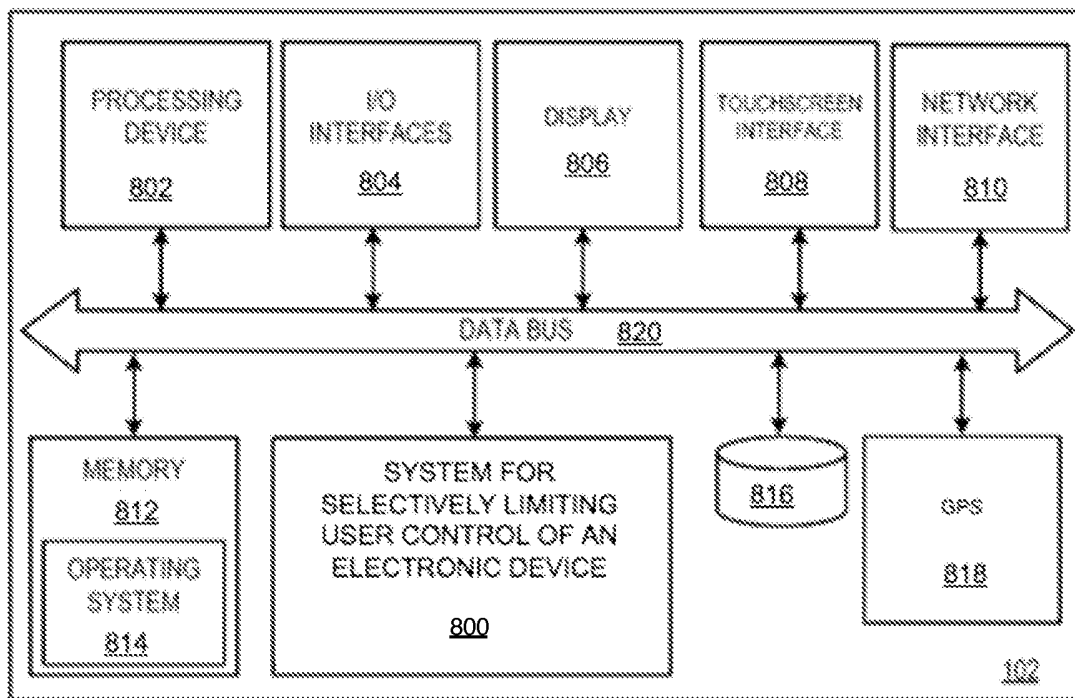


FIG. 8

ABSTRACT

A system and apparatus for selectively limiting user control of a mobile electronic device are provided. In an embodiment, the apparatus may be a case sized to receive a mobile electronic device, the case having a locking means to at least partially secure an opening thereof. When engaged, the locking means may be physically impenetrable by the user of the mobile electronic device and may further be configured to engage and disengage in the presence of one or more pre-determined conditions. For example, the lock may engage when the case is detected within a certain geographical region or even during a specified time. It is contemplated that providing disclosed systems and apparatuses may reduce undesirable behavior at communal events by eliminating distractions posed by mobile electronic devices and preventing unauthorized recordings, which together may enhance overall engagement at and enjoyment of such events.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		Attorney Docket Number	012915
		First Named Inventor	Graham Dugoni
☒ Declaration Submitted With Initial Filing OR ☐ Declaration Submitted After Initial Filing (surcharge (37 CFR 1.16(f)) required)		COMPLETE IF KNOWN	
		Application Number	
		Filing Date	
		Art Unit	
		Examiner Name	

System and Apparatus for Selectively Limiting User Control of an Electronic Device

(Title of the Invention)

As a below named inventor, I hereby declare that:

This declaration is directed to:

☒ The attached application,

OR

☐ United States Application Number or PCT International application number _____
filed on _____.

The above-identified application was made or authorized to be made by me.

I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Authorization To Permit Access To Application by Participating Office

☒ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified patent application is filed to have access to the above-identified patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified patent application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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DECLARATION — Utility or Design Patent Application

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LEGAL NAME OF SOLE OR FIRST INVENTOR:							
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)							
Graham Dugoni							
Inventor's Signature <i>Graham Dugoni</i>					Date (Optional)		
Residence: City San Francisco		State CA		Country U.S.A.			
Mailing Address 1560 Sacramento St., Suite 308,							
City San Francisco		State CA		Zip 94109		Country U.S.A.	
☐ Additional inventors are being named on the _____ supplemental sheet(s) PTO/AIA/10 attached hereto							

[Page 2 of 2]

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PATENT APPLICATION FEE DETERMINATION RECORD

Substitute for Form PTO-875

Application or Docket Number
14/692,530

APPLICATION AS FILED - PART I

(Column 1)		(Column 2)		SMALL ENTITY		OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA	RATE(\$)	FEE(\$)	RATE(\$)	FEE(\$)	
BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A		N/A	70	
SEARCH FEE (37 CFR 1.16(k), (i), or (m))	N/A	N/A	N/A		N/A	150	
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A		N/A	180	
TOTAL CLAIMS (37 CFR 1.16(i))	17	minus 20 = *			x 20 =	0.00	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	3	minus 3 = *			x 105 =	0.00	
APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).					0.00	
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))						0.00	
			TOTAL		TOTAL	400	

* If the difference in column 1 is less than zero, enter "0" in column 2.

APPLICATION AS AMENDED - PART II

		(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OTHER THAN SMALL ENTITY	
AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)	RATE(\$)	ADDITIONAL FEE(\$)		
	Total (37 CFR 1.16(i))	*	Minus	**	=	x	=	x	=		
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x	=	x	=		
	Application Size Fee (37 CFR 1.16(s))										
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))										
						TOTAL ADD'L FEE		TOTAL ADD'L FEE			

		(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OTHER THAN SMALL ENTITY	
AMENDMENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)	RATE(\$)	ADDITIONAL FEE(\$)		
	Total (37 CFR 1.16(i))	*	Minus	**	=	x	=	x	=		
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x	=	x	=		
	Application Size Fee (37 CFR 1.16(s))										
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))										
						TOTAL ADD'L FEE		TOTAL ADD'L FEE			

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.



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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	TOT CLAIMS	IND CLAIMS
14/692,530	04/21/2015	2642	400	012915	17	3

CONFIRMATION NO. 3927

FILING RECEIPT



OC000000074955449

103072
Omid E. Khalifeh
10866 Wilshire Blvd. Suite 400
Los Angeles, CA 90024

Date Mailed: 05/04/2015

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

Inventor(s)

Graham Dugoni, San Francisco, CA;

Applicant(s)

Graham Dugoni, San Francisco, CA;

Power of Attorney: None

Domestic Applications for which benefit is claimed - None.

A proper domestic benefit claim must be provided in an Application Data Sheet in order to constitute a claim for domestic benefit. See 37 CFR 1.76 and 1.78.

Foreign Applications for which priority is claimed (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <http://www.uspto.gov> for more information.) - None.

Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access - A proper **Authorization to Permit Access to Application by Participating Offices** (PTO/SB/39 or its equivalent) has been received by the USPTO.

If Required, Foreign Filing License Granted: 05/01/2015

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 14/692,530**

Projected Publication Date: 10/27/2016

Non-Publication Request: No

Early Publication Request: No

**** MICRO ENTITY ****

Title

System and Apparatus for Selectively Limiting User Control of an Electronic Device

Preliminary Class

455

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/692,530	04/21/2015	Graham Dugoni	012915	3927
103072	7590	10/03/2016		
Omid E. Khalifeh 10866 Wilshire Blvd. Suite 400 Los Angeles, CA 90024			EXAMINER CUMMING, WILLIAM D	
			ART UNIT	PAPER NUMBER
			2645	
			MAIL DATE	DELIVERY MODE
			10/03/2016	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 14/692,530	Applicant(s) DUGONI, GRAHAM	
	Examiner WILLIAM D. CUMMING	Art Unit 2645	AIA (First Inventor to File) Status Yes

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1) ☐ Responsive to communication(s) filed on _____.
☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.

3) ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

4) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims*

5) ☒ Claim(s) 1-17 is/are pending in the application.
5a) Of the above claim(s) _____ is/are withdrawn from consideration.

6) ☐ Claim(s) _____ is/are allowed.

7) ☒ Claim(s) 1-17 is/are rejected.

8) ☐ Claim(s) _____ is/are objected to.

9) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

Application Papers

10) ☐ The specification is objected to by the Examiner.

11) ☐ The drawing(s) filed on 4/21/2015 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

Priority under 35 U.S.C. § 119

12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

a) ☐ All b) ☐ Some** c) ☐ None of the:

1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

** See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)

2) ☐ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)
Paper No(s)/Mail Date _____.

3) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.

4) ☐ Other: _____.

DETAILED ACTION

Notice of Pre-AIA or AIA Status

1. The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

Drawings

2. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the radio frequency tag must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.
3. Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New

Sheet” pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Claim Rejections - 35 USC § 112

4. The following is a quotation of 35 U.S.C. 112(b):
(b) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the inventor or a joint inventor regards as the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph:
The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claim 5 is rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA), second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the inventor or a joint inventor, or for pre-AIA the applicant regards as the invention.

Claim 5 is indefinite because it does not define what physical presence is.

Is it the user, the case or the mobile electronic device outside a region?

Claim Rejections - 35 USC § 102

6. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a)(1) the claimed invention was patented, described in a printed publication, or in public use, on sale or otherwise available to the public before the effective filing date of the claimed invention.

8. Claim(s) 1, 7, 10, is/are rejected under 35 U.S.C. 102(a)(1) as being anticipated by United States Patent Application Publication 2012/0187003.

United States Patent Application Publication 2012/0187003 disclose a case (figure 1, #10) for selectively limiting a user's ability to control a mobile electronic device (#11), comprising a front and a rear panel (figure 3) each having first and second longitudinally opposed side edges and laterally opposed lower edges (#16 or 24), the first, second, and lower edges being secured together to define an opening for receiving a mobile electronic device (#14) and a locking means (#16 or 24) for at least partially securing the opening so that the electronic device (#14) is rendered inaccessible to the user, the locking

means (#16, or 24) being further non-disengageable by the user of the mobile electronic device (#14) until a predetermined condition is met (paragraphs 22 and 26).

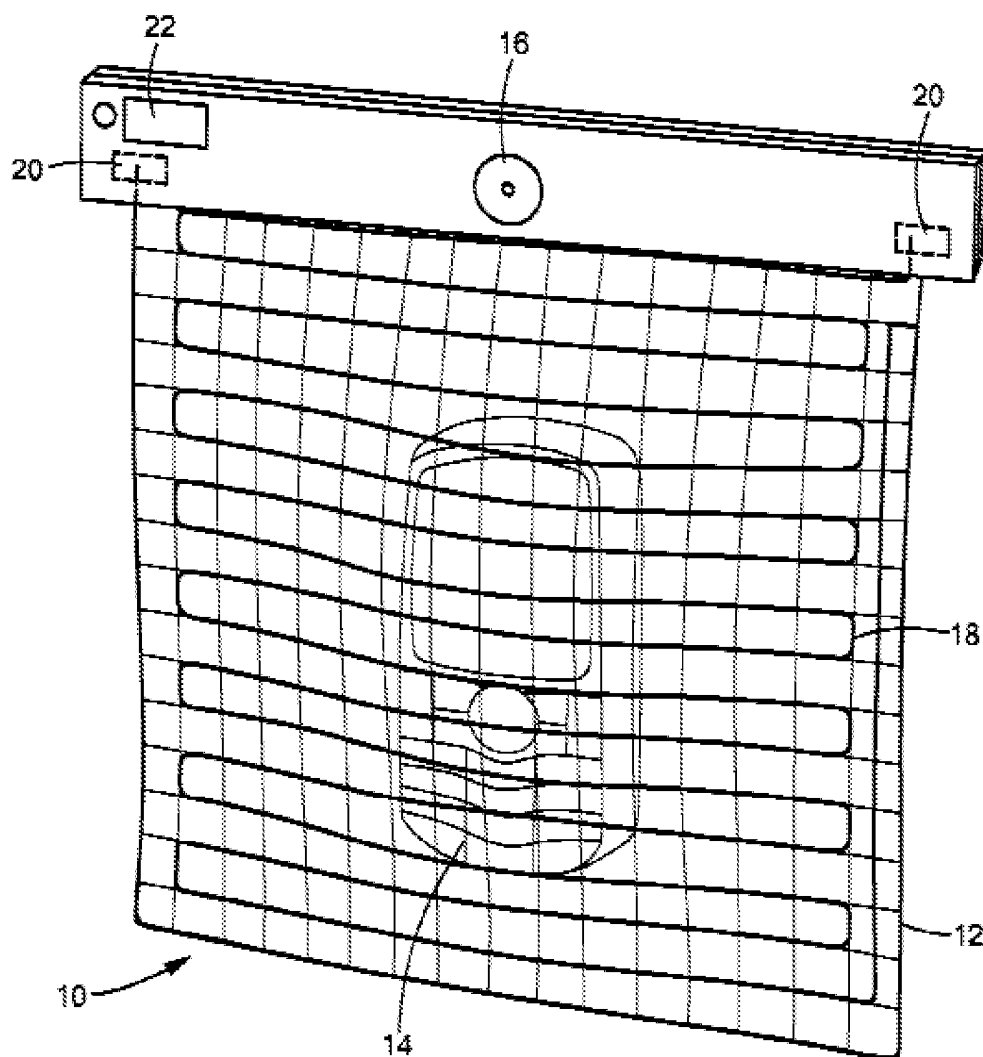


FIG. 1

Claim Rejections - 35 USC § 103

9. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

10. The following is a quotation of 35 U.S.C. 103 which forms the basis for all obviousness rejections set forth in this Office action:

A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section 102, if the differences between the claimed invention and the prior art are such that the claimed invention as a whole would have been obvious before the effective filing date of the claimed invention to a person having ordinary skill in the art to which the claimed invention pertains. Patentability shall not be negated by the manner in which the invention was made.

11. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103 are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

12. This application currently names joint inventors. In considering patentability of the claims the examiner presumes that the subject matter of the various claims was commonly owned as of the effective filing date of the claimed invention(s) absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and effective filing dates of each claim that was not commonly owned as of the effective filing date of the later invention in order for the examiner to consider the applicability of 35 U.S.C. 102(b)(2)(C) for any potential 35 U.S.C. 102(a)(2) prior art against the later invention.

13. Claims 2 and 12 is/are rejected under 35 U.S.C. 103 as being unpatentable over United States Patent Application Publication 2012/0187003 in view of United States Patent 6,499,638.

United States Patent Application Publication 2012/0187003 disclose all subject matter, note the above paragraph, except for the locking means comprises a female and a male plate, the plates respectively disposed on each of the front and rear panels and configured to securably mate with one another. United States Patent 6,499,638 teaches locking means (figure 1) comprises a female (#7) and a male plate (#5), the plates respectively disposed on each of the front (#1) and rear panels (#2) and configured to securably mate with one another, in a case, for the purpose of securing locking two plates together without much play between the plates.

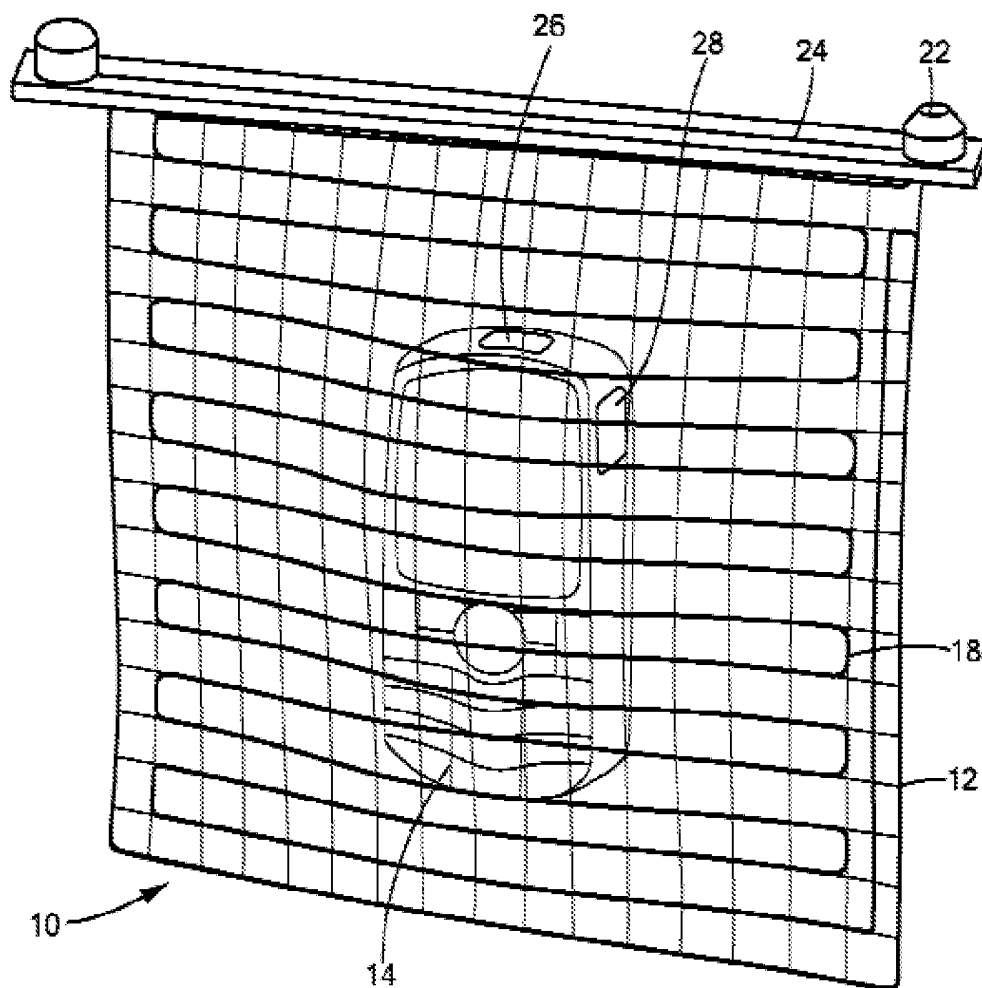


FIG. 2

FIG. 1 is a perspective view of a hand holding a mesh net 10. The net has a top edge 12 with a strap 14 and a buckle 16. A hand 18 is shown holding the net. A dashed line 20 indicates the net's shape when it is collapsed.

NO 2

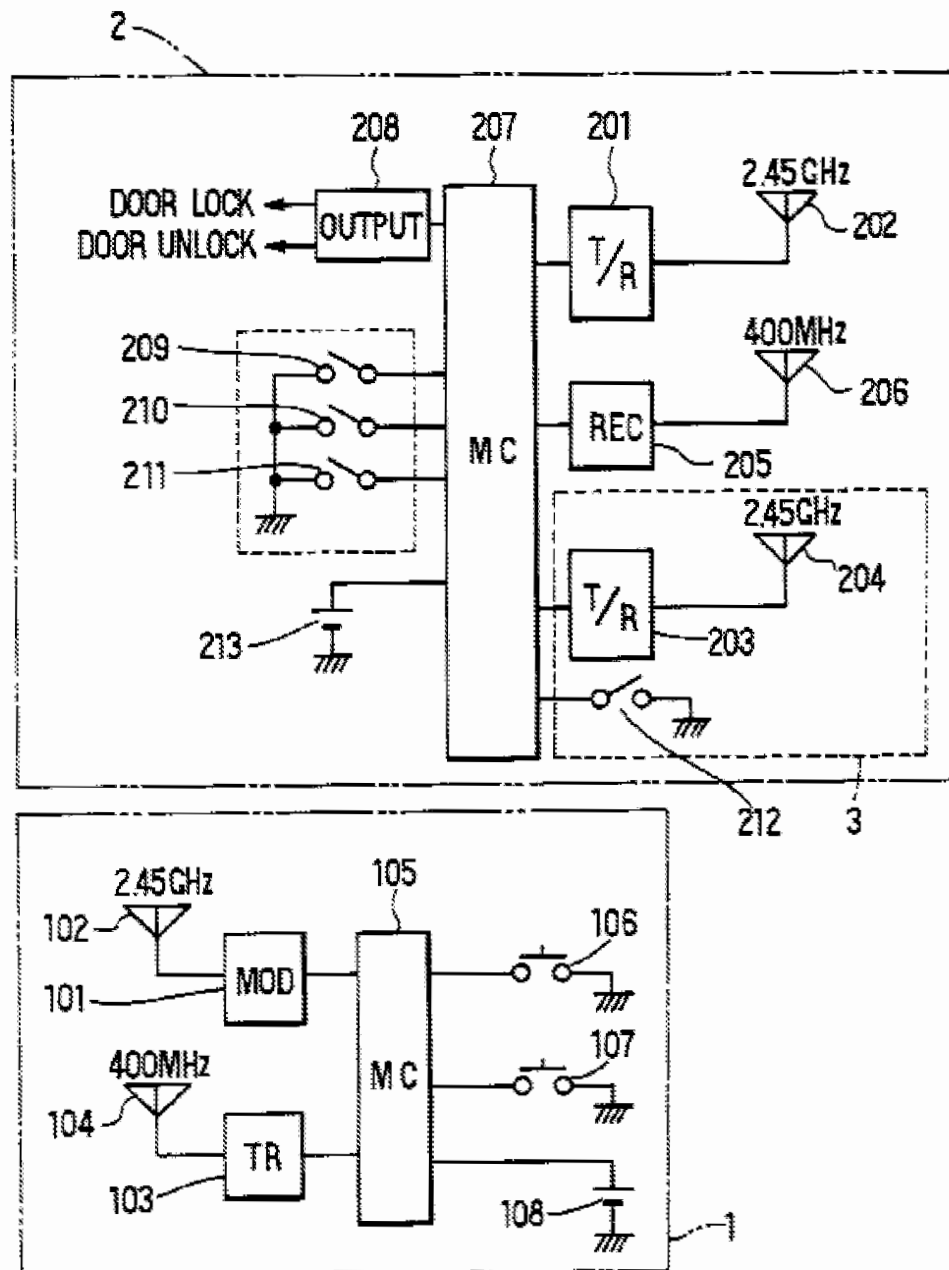
14. Claims 3, 4, 13, and 14 is/are rejected under 35 U.S.C. 103 as being unpatentable over United States Patent Application Publication 2012/0187003 in view of United States Patent 6,499,638 in further view of United States Patent 5,977,876.

United States Patent 5,977,876 teaches the use of a radio frequency identification tag is further disposed in one plate (figure 4, #12, 16, 18) to receive instructions from a remote transmitter (figure 2, #52) to disengage the locking means when the predetermined condition is met (#62) for the purpose of a case with remotely controlled locking mechanism construction wherein the same can be utilized for allowing a case to be opened via a portable, hand-held unit and further indicating when the case is being opened in an unauthorized manner. Hence, it would have been obvious to use of a radio frequency identification tag is further disposed in one plate to receive instructions from a remote transmitter to disengage the locking means when the predetermined condition is met, as taught by United States Patent 5,977,876, for the purpose of remotely controlled locking mechanism construction wherein the same can be utilized for allowing a case to be opened via a portable, hand-held unit and further indicating when the case is being opened in an unauthorized manner in the in the case for limiting a user's ability to control a mobile electronic device of United States Patent Application Publication 2012/0187003 in view of United States Patent 6,499,638 in order to remotely controlled locking mechanism for allowing the case to be opened via a portable, hand-held unit and further indicating when the case is being opened in an unauthorized manner.

15. Claims 5 and 15 is/are rejected under 35 U.S.C. 103 as being unpatentable over United States Patent Application Publication 2012/0187003 in view of United States Patent 6,218,929.

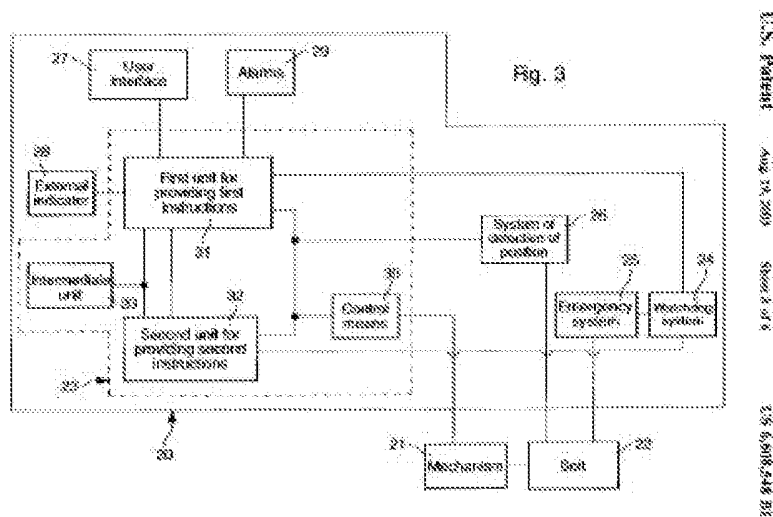
United States Patent 6,218,929 teaches the use of predetermined condition is physical presence outside of a defined geographical region for the purpose of the unlocking is enabled and disabled as long as the user is in the outside of the signal demodulating area and in the inside of the signal demodulating area, note column 2, lines 5-21. Hence, it would have been obvious for one ordinary skill in the art to incorporate the use of a predetermined condition is physical presence outside of a defined geographical region, as taught by United States Patent 6,218,929, in the case for limiting a user's ability to control a mobile electronic device of United States Patent Application Publication 2012/0187003 in order to unlock or lock the case as long as bag is in the outside of the signal demodulating area and in the inside of the signal demodulating area.

FIG. 1



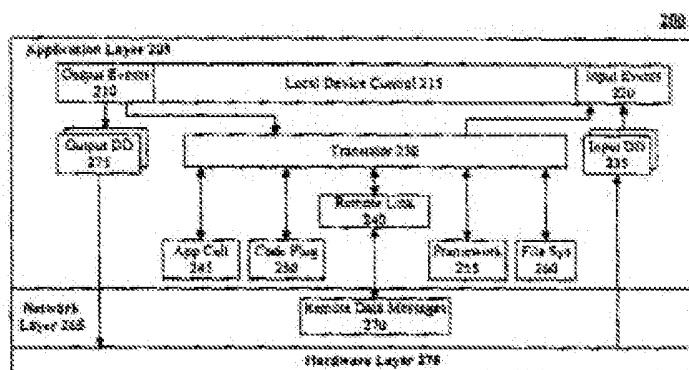
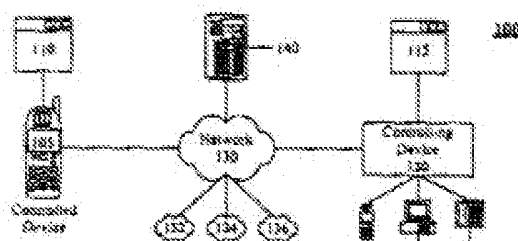
16. Claims 6 and 16 is/are rejected under 35 U.S.C. 103 as being unpatentable over United States Patent Application Publication 2012/0187003 in view of United States Patent 6,608,548.

United States Patent 6,608,548 teaches the use of the predetermined condition is the passage of time, note claim 2 for the purpose of unlocking and locking according to predetermined time conditions are maintained. Hence, it would have been obvious to incorporate the use of the predetermined condition is the passage of time, as taught by United States Patent 6,608,548 in the case for limiting a user's ability to control a mobile electronic device of United States Patent Application Publication 2012/0187003 in order to unlock and lock according to predetermined time conditions.

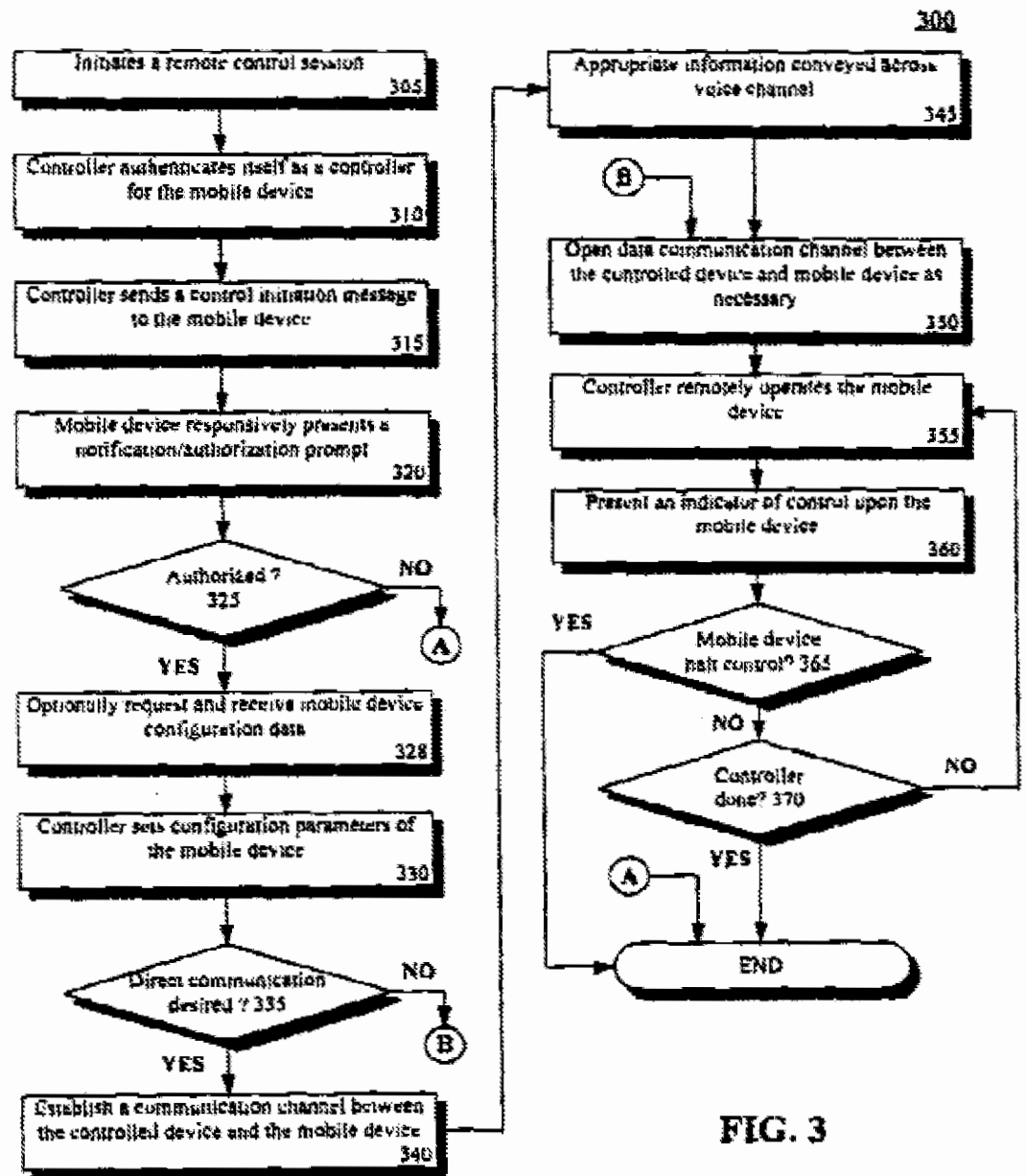


17. Claim 17 is/are rejected under 35 U.S.C. 103 as being unpatentable over United States Patent Application Publication 2012/0187003 in view of United States Patent 7,277,726.

United States Patent 7,277,726 teaches the use of the mobile electronic device is operative to receive instructions to disable one or more coded functions of the mobile electronic device, column 6, lines 41-53 for the purpose of remotely controlling a mobile device.



Hence, it would have been obvious to incorporate the use of the mobile electronic device is operative to receive instructions to disable one or more coded functions of the mobile electronic device, as taught by United States Patent 7,277,726 for the purpose of remotely controlling a mobile device in the case for limiting a user's ability to control a mobile electronic device of United States Patent Application Publication 2012/0187003 in order to remotely accessed by authorized users to enable or disable a plurality of options and functions on the wireless mobile device.



Conclusion

18. Communications via Internet email are at the discretion of the applicant. Without a written authorization by applicant in place, the USPTO will not respond via Internet email to any Internet correspondence which contains information subject to the confidentiality requirement as set forth in 35 U.S.C. 122. A paper copy of such correspondence and response will be placed in the appropriate patent application. Except for correspondence that only sets up an interview time, **ALL** correspondence between the Office and the applicant including applicant's representative must be placed in the appropriate patent application. If an email contains any information beyond scheduling an interview such as an interview agenda or authorization, it **MUST** be placed in the application.

For those applications where applicant wishes to communicate with the examiner via Internet communications, e.g., email or video conferencing tools, the following is a sample authorization form which may be used by applicant:

"Recognizing that Internet communications are not secure, I hereby authorize the USPTO to communicate with the undersigned and practitioners in accordance with 37 CFR 1.33 and 37 CFR 1.34 concerning any subject matter of this application by video conferencing, instant messaging, or electronic mail. I understand that a copy of these communications will be made of record in the application file."

Where a written authorization is given by the applicant, communications via Internet email, other than those under 35 U.S.C. 132 or which otherwise require a signature, may be used. In such case, a printed copy of the Internet email communications **MUST** be given a paper number, entered into the Patent Application Locating and Monitoring System (PALM) and entered in the patent application file (Doc Code is EMAIL). A reply to an Office action may **NOT** be communicated by applicant to the USPTO via Internet email. If such a reply is submitted by applicant via Internet email, a copy will be placed in the appropriate patent application file with an indication that the reply is **NOT ENTERED**.

USPTO employees are **NOT** permitted to initiate communications with applicants via Internet email unless there is a written authorization of record in the patent application by the applicant.

A paper copy of the Internet email, facsimile, telecopying, telefax or instant messaging contents **MUST** be made and placed in the patent application file, as required by the Federal Records Act, in the same manner as an Examiner Interview Summary Form is entered.

19. If applicants wish to request for an interview, an *"Applicant Initiated Interview Request"* form (PTOL-413A) should be submitted to the examiner prior to the interview in order to permit the examiner to prepare in advance for the interview and to focus on the issues to be discussed. This form should identify the participants of the interview, the proposed date of the interview, whether the interview will be personal, telephonic, or video conference, and should include a brief description of the issues to be discussed. A copy of the completed *"Applicant Initiated Interview Request"* form should be attached to the Interview Summary form, PTOL-413 at the completion of the interview and a copy should be given to applicant or applicant's representative.

20. Any inquiry concerning this communication or earlier communications from the examiner should be directed to **WILLIAM D. CUMMING** whose telephone number is (571)272-7861. The examiner can normally be reached on Monday, Wednesday, and Friday, 11:00am-8:00pm.

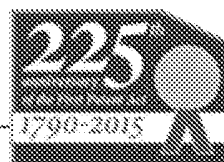
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, ANTHONY S. ADDY can be reached on 571-272-7795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

21. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/WILLIAM D CUMMING/
Primary Examiner, Art Unit 2645



UNITED STATES
PATENT AND
TRADEMARK OFFICE



WILLIAM CUMMING
PRIMARY PATENT EXAMINER
william.cumming@uspto.gov

Notice of References Cited	Application/Control No. 14/692,530	Applicant(s)/Patent Under Reexamination DUGONI, GRAHAM	
	Examiner WILLIAM D. CUMMING	Art Unit 2645	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	CPC Classification	US Classification
*	A	US-5,977,876 A	11-1999	Coleman; Joseph Anthony	A45C13/10	340/539.1
*	B	US-6,499,638 B2	12-2002	Campbell; Gregory L.	B62J9/001	190/18A
*	C	US-6,218,929 B1	04-2001	Furuta; Noritoshi	G07C9/00309	340/10.1
*	D	US-6,608,548 B1	08-2003	Pellaton; Pierre	G07C9/00912	340/5.1
*	E	US-2004/0166910 A1	08-2004	Ha, Myung Sub	A45C11/00	455/575.8
*	F	US-7,277,726 B2	10-2007	Ahya; Deepak P.	H04W24/00	455/418
*	G	US-2011/0312394 A1	12-2011	JEON; HYOUNG JIN	A45C11/00	455/575.8
*	H	US-2012/0187003 A1	07-2012	STEWART; Justin	B65D5/4291	206/216
*	I	US-2012/0217275 A1	08-2012	Yu; David Chen	A45F5/00	224/267
	J	US-				
	K	US-				
	L	US-				
	M	US-				

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	CPC Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

<i>Search Notes</i> 	Application/Control No. 14692530	Applicant(s)/Patent Under Reexamination DUGONI, GRAHAM
	Examiner WILLIAM D CUMMING	Art Unit 2645

CPC- SEARCHED		
Symbol	Date	Examiner
H04W 24/00	9/30/2016	WDC

CPC COMBINATION SETS - SEARCHED		
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED			
Class	Subclass	Date	Examiner
455	418, 575.8	9/30/2016	WDC

SEARCH NOTES		
Search Notes	Date	Examiner

INTERFERENCE SEARCH			
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner

	/WILLIAM D CUMMING/ Primary Examiner.Art Unit 2645
--	---

<i>Index of Claims</i> 	Application/Control No. 14692530	Applicant(s)/Patent Under Reexamination DUGONI, GRAHAM
	Examiner WILLIAM D CUMMING	Art Unit 2645

✓	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	O	Objected

☐ Claims renumbered in the same order as presented by applicant				☐ CPA		☐ T.D.		☐ R.1.47	
CLAIM		DATE							
Final	Original	09/30/2016							
	1	✓							
	2	✓							
	3	✓							
	4	✓							
	5	✓							
	6	✓							
	7	✓							
	8	✓							
	9	✓							
	10	✓							
	11	✓							
	12	✓							
	13	✓							
	14	✓							
	15	✓							
	16	✓							
	17	✓							



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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/692,530	04/21/2015	Graham Dugoni	012915

CONFIRMATION NO. 3927

PUBLICATION NOTICE



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Omid E. Khalifeh
10866 Wilshire Blvd. Suite 400
Los Angeles, CA 90024

Title:System and Apparatus for Selectively Limiting User Control of an Electronic Device

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Graham Dugoni
App. No. : 14/692,530
Filed : 04/21/2015
For : SYSTEM AND APPARATUS
FOR SELECTIVELY LIMITING
USER CONTROL OF AN
ELECTRONIC DEVICE
Examiner : William D. Cumming
Art Unit : 2645
Conf No. : 3927

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March 3, 2017

(Date)

/Omid E. Khalifeh/

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AMENDMENT AND RESPONSE TO
NON-FINAL OFFICE ACTION DATED 10/03/2016

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Madam:

In response to the October 3, 2016 Office Action (“Office Action”), please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.

AMENDMENTS TO THE CLAIMS

The listing of claims replaces all prior versions and listings of claims. Only those claims being amended herein show their changes in highlighted form, where insertions appear as underlined text (e.g., insertions) while deletions appear as strikethrough text or in brackets (e.g., ~~deletions~~ or [[deletions]]). Accordingly, please amend the Claims as follows:

1. (Currently Amended) A case for selectively limiting a user's ability to control [[a]]such user's own mobile electronic device, comprising:

a front and a rear panel each having first and second longitudinally opposed side edges and laterally opposed lower edges, the first, second, and lower edges being secured together to define an opening for receiving a mobile electronic device; and

a locking means for at least partially securing the opening so that the user's electronic device is rendered inaccessible to the user, the locking means being further non-disengageable by the user of the mobile electronic device until a predetermined condition is met.

2. (Original) The case of claim 1, wherein the locking means comprises a female and a male plate, the plates respectively disposed on each of the front and rear panels and configured to securably mate with one another.

3. (Currently Amended) The case of claim 2, wherein at least one of the female and male plates define a radio frequency identification tag ~~is further disposed in one or both of the female and male plates~~ operative to receive instructions from a remote transmitter to disengage the locking means when the predetermined condition is met.

4. (Original) The case of claim 2, wherein a microprocessor is further disposed in one or both of the female and male plates to receive a wireless data signal from a beacon or transmitter to disengage the locking means when the predetermined condition is met.

5. (Currently Amended) The case of claim 1, wherein the predetermined condition is physical presence of the case outside of a defined geographical region.

6. (Original) The case of claim 1, wherein the predetermined condition is the passage of time.

7. (Currently Amended) An apparatus for selectively limiting a user's ability to control such user's own mobile electronic device, comprising:

a shell defining a cavity sized to accommodate ~~[[a]]~~the user's mobile electronic device and having an opening to receive the user's mobile electronic device therein; and

a locking means for at least partially securing the opening of the shell so that the electronic device is rendered inaccessible to the user, the locking means being further non-disengageable by the user of the mobile electronic device until a predetermined condition is met.

8. (Currently Amended) The case of claim 7, wherein the predetermined condition is physical presence of the case outside of a defined geographical region.

9. (Original) The case of claim 7, wherein the predetermined condition is the passage of time.

10. (Currently Amended) A system for selectively limiting a user's control of such user's own electronic device, comprising:

a case sized to receive the user's~~for a~~ mobile electronic device and operative to become locked so that the user is unable to access his own mobile electronic device contained therein until a predetermined condition is met; and

means for unlocking the case.

11. (Original) The system of claim 10, wherein the case comprises:

a front and a rear panel each having first and second longitudinally opposed side edges and laterally opposed lower edges, the first, second, and lower edges being secured together to define an opening for receiving a mobile electronic device; and

a locking means for at least partially securing the opening.

Application No.: 14/692,530

Filing Date: April 21, 2015

12. (Original) The case of claim 11, wherein the locking means comprises a female and a male plate, the plates respectively disposed on each of the front and rear panels and configured to securably mate with one another.

13. (Currently Amended) The case of claim ~~[[11]]~~12, wherein at least one of the female and male plates define a radio frequency identification tag ~~is further disposed in one or both of the female and male plates~~ operative to receive instructions from a remote transmitter to disengage the locking means when the predetermined condition is met.

14. (Original) The case of claim 11, wherein a microprocessor is further disposed in one or both of the female and male plates to receive a wireless data signal from a beacon or transmitter to disengage the locking means when the predetermined condition is met.

15. (Original) The case of claim 10, wherein the predetermined condition is physical presence outside of a defined geographical region.

16. (Original) The case of claim 10, wherein the predetermined condition is the passage of time.

17. (Original) The system of claim 10, wherein the mobile electronic device is operative to receive instructions to disable one or more coded functions of the mobile electronic device.

REMARKS

The Applicant thanks the Examiner for their Examination of the present application. By way of summary: Claims 1-17 were pending in this application. In the present amendment, Applicant has amended Claims 1, 3, 5, 7, 8, 10, and 13. Accordingly, Claims 1-17 remain pending for consideration. No new matter is added. Support for the amended or the new claims is provided within the specification and the claims as filed.

The Applicant is not conceding that the subject matter recited in the amended or canceled claims is not patentable over the art cited by the Examiner. The amended, withdrawn or cancelled claims are provided solely to facilitate expeditious prosecution of the allowable subject matter. Applicant respectfully reserves the right to pursue claims, including the subject matter encompassed by the amended or cancelled claims in one or more continuing applications.

Objection(s) to the Drawings

In the Office Action, Examiner objected to the drawings under 37 C.F.R. 1.83(a) for failing to show every feature of the invention specified in the claims. Applicant respectfully traverses this objection. Claims 3 and 13 have been amended to clarify that male and female plates (char. refs. 114a, 114b in the drawings submitted with the above-captioned application) which may comprise the locking means, may themselves define the radio frequency identification tag ("RFID") as shown for example in FIG. 6 and whose exemplary operation is demonstrated in FIGS. 5-7. Applicant respectfully submits that the drawings show every feature of the invention specified in the claims.

Rejection of Claim 5 Under 35 U.S.C. § 112

Claim 5 is rejected under 35 U.S.C. § 112(b) as being indefinite for failing to particularly point out and distinctly claim the subject matter which the inventor or joint inventor regards as the invention. Claim 5 has been amended to recite clarify that the predetermined condition may be physical presence *of the case* outside of a defined geographical region. Applicant respectfully submits that the claim is in condition for allowance.

Rejection of Claims 1, 7, and 10 Under 35 U.S.C. § 102 (a)(1) – Stewart et al.

In the Office Action, Examiner rejected Claims 1, 7, and 10 under 35 U.S.C. § 102(a)(1) as being unpatentable over U.S. Patent Publication No. 2012/0187003 filed by Stewart et al. (“Stewart”). Applicant respectfully traverses these rejections and submits that the Claims in their original form satisfies the requirements of patentability. Nonetheless, Applicant has amended Claims 1, 7, and 10 in order to advance prosecution.

Stewart is generally directed toward a product protection safer/keeper apparatus alarmed to prevent shoplifters from misappropriating certain property for sale. That is, Stewart teaches guarding pre-packaged items available for purchase from potential thieves. (See e.g., Stewart, para. [0023]). However, Stewart does not teach or suggest that the apparatus be sized or configured to first receive a user’s *own* mobile electronic device, effecting limitation of a user’s engagement with his *own* personal property. In contrast to the Stewart disclosure, it may be seen that amended Claims 1, 7, and 10 of the above-captioned application call for locking a user’s own electronic device within the case. Applicant’s invention beneficially prevents owners or possessors of the mobile devices from being distracted by the functionality of such devices within certain geographic regions, such as an audience seating area at a venue hosting a communal event. (See e.g., Application, paras. [0018], [0041], [0045]). This in turn enables an increased opportunity to appreciate their surroundings and company of others to a fuller extent. Lacking this limitation, the Stewart disclosure instead provides means for identifying any unlawful possessors of unsold devices.

Thus, Stewart does not teach or suggest limiting a user’s access to his own property. Because Stewart does not teach or suggest each and every element of amended Claims 1, 7, and 10, Applicant submits that Claims 1, 7, and 10 are patentable over Stewart.

Accordingly, Applicant submits that Claims 1, 7, and 10 are patentably distinguished from the prior art and is in condition for allowance. Applicant respectfully requests such action.

Rejection of Claims 2-12 35 U.S.C. § 103 – Stewart alternatively in view of Campbell, Coleman, Furuta, Pellaton, and Ahya

Examiner also rejected Claims 2 and 12 in the above-captioned application under 35 U.S.C § 103 as being unpatentable over Stewart in view of U.S. Pat. No. 6,499,638 to Campbell

Application No.: 14/692,530
Filing Date: April 21, 2015

("Campbell"); Claims 3, 4, 13, and 14 under 35 U.S.C § 103 as being unpatentable over Stewart in view of Campbell, and further in view of U.S. Pat. No. 5,977,876 to Coleman ("Coleman"); Claims 5 and 15 under 35 U.S.C § 103 as being unpatentable over Stewart in view of U.S. Pat. No. 6,218,929 to Furuta et al. ("Furuta"); Claims 6 and 16 under 35 U.S.C § 103 as being unpatentable over Stewart in view of U.S. Pat. No. 6,608,548 to Pellaton et al. ("Pellaton"); and Claim 17 under 35 U.S.C § 103 as being unpatentable over Stewart in view of U.S. Pat. No. 7,277,726 to Ahya et al. ("Ahya"). Applicant respectfully traverses these rejections and submits that the Claims in their original forms satisfies the requirements of patentability.

FAILURE TO ESTABLISH PRIMA FACIE SHOWING OF OBVIOUSNESS

To establish a *prima facie* showing, the Examiner must satisfy three basic criteria. First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Third, the prior art reference (or references when combined) must teach or suggest all the claim recitations. The teaching or suggestion to make the claimed combination and the reasonable expectation of success must both be found in the prior art, and not based on applicant's disclosure. MPEP § 2142.

A proper § 103 rejection requires the Examiner to establish a *prima facie* showing of obviousness. It is then up to the applicant to rebut the *prima facie* showing with evidence that refutes the *prima facie* case, or with objective evidence of nonobviousness. Specifically, Examiners are required to identify at least some sort of express statements in the prior art that teach, suggest, or motivate one of ordinary skill. This is absent here. The simple and generic statement that it "would have been obvious" to combine enumerated elements of the cited references, without any more, is insufficient.

NO TEACHING, SUGGESTION OR MOTIVATION

A patent claim is obvious when the differences between the claimed invention and the prior art "are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art" 35 U.S.C. § 103; see also *Graham v. John Deere Co.*, 383 U.S. 1, 14, 86 S. Ct. 684, 15 L. Ed. 2d 545 (1966); *In re Dembiczak*, 175 F.3d 994,

Application No.: 14/692,530
Filing Date: April 21, 2015

998 (Fed. Cir. 1999). While obviousness is ultimately a legal determination, it is based on several underlying issues of fact, namely: (1) the scope and content of the prior art; (2) the level of skill of a person of ordinary skill in the art; (3) the differences between the claimed invention and the teachings of the prior art; and (4) the extent of any objective indicia of non-obviousness. See *Graham*, Supra, 383 U.S. at 17-18.

When obviousness is based on the teachings of multiple prior art references, such as in the present Office Action, the Examiner must establish some teaching, suggestion, or motivation that would have led a person of ordinary skill in the art to combine the relevant prior art teachings in the manner claimed. *Tec Air, Inc. v. Denso Mfg. Mich. Inc.*, 192 F.3d 1353, 1359-60 (Fed. Cir. 1999); *Pro-Mold & Tool Co. v. Great Lakes Plastics, Inc.*, 75 F.3d 1568, 1572 (Fed. Cir. 1996). The teaching, suggestion, or motivation to combine prior art references may be found explicitly or implicitly: 1) in the prior art references themselves; 2) in the knowledge of those of ordinary skill in the art that certain references, or disclosures in those references, are of special interest or importance in the field; or 3) from the nature of the problem to be solved, "leading inventors to look to references relating to possible solutions to that problem" *Ruiz v. A.B. Chance Co.*, 234 F.3d 654, 665 (Fed. Cir. 2000) (quoting *Pro-Mold*, 75 F.3d at 1572). Most critically, however, a person of ordinary skill in the art must not only have had some motivation to combine the prior art teachings, but some motivation to combine the prior art teachings *in the particular manner claimed*. See, e.g., *In re Kotzab*, 217 F.3d 1365, 1371 (Fed. Cir. 2000) ("**Particular** findings must be made as to the reason the skilled artisan, with no knowledge of the claimed invention, would have selected these components for combination in the manner claimed" (emphasis added)); *In re Rouffet*, 149 F.3d 1350, 1357 (Fed. Cir. 1998).

In other words, the question of whether a cited reference can properly be modified in a §103 rejection is not whether a particular limitation was known at time of invention, but rather whether there is a reason that would make it obvious for one of ordinary skill in the art at the time of the invention to modify the cited reference to include that particular limitation. As the U.S. Supreme Court has affirmed, "a patent composed of several elements is not proved obvious merely by demonstrating that each of its elements was, independently, known in the prior art... it can be important to identify a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does." See *KSR Int'l Co. v. Teleflex Inc.*, 127 S. Ct. 1727, 1741, 82 USPQ 2d 1385, 1397 (2007). That is, the Examiner must

Application No.: 14/692,530
Filing Date: April 21, 2015

show reasons that the skilled artisan, confronted with the same problems as the inventor and with no knowledge of the claimed invention, would select the elements from the cited prior art references for combination in the manner claimed. Such a suggestion, teaching, or motivation is critical. This is because "combining prior art references without evidence of such a suggestion, teaching, or motivation simply takes the inventor's disclosure as a blueprint for piecing together the prior art to defeat patentability [which is] the essence of hindsight." *In re Dembiczak*, supra, 175 F.3d at 999; see also *Ruiz*, 234 F.3d at 665.

Applicant asserts that there is no such teaching, suggestion, or motivation was established in the Office Action and hereby respectfully requests that Examiner provide such specific teaching, suggestion, or motivation in the prior art or to withdraw the rejection.

No Disclaimers or Disavowals

Although the present communication may include alterations to the application or claims, or characterizations of claim scope or referenced art, Applicant is not conceding in this application that previously pending claims are not patentable over the cited references. Rather, any alterations are characterizations are being made to facilitate expeditious prosecution of this application. Applicant reserves the right to pursue at a later date any previously pending or other broader or narrower claims that capture any subject matter supported by the present disclosure, including subject matter found to be specifically disclaimed herein or by any prior prosecution. Accordingly, reviewers of this or any parent, child or related prosecution history shall not reasonably infer that Applicant has made any disclaimers or disavowals of any subject matter supported by the present application.

Respectfully submitted,

OMNI LEGAL GROUP

Dated: March 3rd, 2017

By: /Omid E. Khalifeh/

Omid E. Khalifeh

Registration No. 67,018

Attorney of Record

(310) 276-6664

Electronic Patent Application Fee Transmittal				
Application Number:		14692530		
Filing Date:		21-Apr-2015		
Title of Invention:		System and Apparatus for Selectively Limiting User Control of an Electronic Device		
First Named Inventor/Applicant Name:		Graham Dugoni		
Filer:		Omid E. Khalifeh		
Attorney Docket Number:		012915		
Filed as Micro Entity				
Filing Fees for Utility under 35 USC 111(a)				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 2 months with \$0 paid	3252	1	150	150
Miscellaneous:				
Total in USD (\$)				150

Electronic Acknowledgement Receipt

EFS ID:	28527972
Application Number:	14692530
International Application Number:	
Confirmation Number:	3927
Title of Invention:	System and Apparatus for Selectively Limiting User Control of an Electronic Device
First Named Inventor/Applicant Name:	Graham Dugoni
Customer Number:	103072
Filer:	Omid E. Khalifeh
Filer Authorized By:	
Attorney Docket Number:	012915
Receipt Date:	03-MAR-2017
Filing Date:	21-APR-2015
Time Stamp:	13:56:11
Application Type:	Utility under 35 USC 111(a)

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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment/Req. Reconsideration-After Non-Final Reject	Amend.pdf	80101	no	1
			fc47900926a71c2e67bea378d96acb3078a4b26b		
Warnings:					
Information:					
2	Claims	Claims.pdf	64022	no	3
			c08ef09fede7c4c19217246a1ca11b8eb4160aaa		
Warnings:					
Information:					
3	Applicant Arguments/Remarks Made in an Amendment	Remarks.pdf	146859	no	5
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Warnings:					
Information:					
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875	Application or Docket Number 14/692,530	Filing Date 04/21/2015	☐ To be Mailed
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ENTITY: ☐ LARGE ☐ SMALL ☒ MICRO

APPLICATION AS FILED – PART I

(Column 1) (Column 2)

FOR	NUMBER FILED	NUMBER EXTRA		RATE (\$)	FEE (\$)
☐ BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A		N/A	
☐ SEARCH FEE (37 CFR 1.16(k), (j), or (m))	N/A	N/A		N/A	
☐ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A		N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 =	*		X \$ =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 =	*		X \$ =	
☐ APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).				
☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))					
* If the difference in column 1 is less than zero, enter "0" in column 2.				TOTAL	

APPLICATION AS AMENDED – PART II

(Column 1) (Column 2) (Column 3)

	03/03/2017	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 17	Minus	** 20	= 0		X \$20 =	0
	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		X \$105 =	0
	☐ Application Size Fee (37 CFR 1.16(s))							
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							
							TOTAL ADD'L FEE	0

(Column 1) (Column 2) (Column 3)

		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =	
	☐ Application Size Fee (37 CFR 1.16(s))							
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							
							TOTAL ADD'L FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE
/MONICA FRANCIS/

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/692,530	04/21/2015	Graham Dugoni	012915	3927
103072	7590	05/05/2017		
Omid E. Khalifeh 10866 Wilshire Blvd. Suite 400 Los Angeles, CA 90024			EXAMINER CUMMING, WILLIAM D	
			ART UNIT	PAPER NUMBER
			2645	
			MAIL DATE	DELIVERY MODE
			05/05/2017	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

DETAILED ACTION

Notice of Pre-AIA or AIA Status

1. The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

Claim Rejections - 35 USC § 112

2. The following is a quotation of the first paragraph of 35 U.S.C. 112(a):

(a) IN GENERAL.—The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor or joint inventor of carrying out the invention.

The following is a quotation of the first paragraph of pre-AIA 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

3. Claims 3 and 13 are rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-AIA), first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor or a joint inventor, or for pre-AIA the inventor(s), at the time the application was filed, had possession of the claimed invention.

The specification does not originally support and inadequately describe the one of the female or male plates “define” a radio frequency identification tag. The specification clearly states, “*With reference to FIGS. 5 and 6, the system 500 for selectively limiting user control of an electronic device may comprise a locking means 114 having electronic receivers, such as a radio frequency identification (RFID) tag and means for unlocking the case 100 comprising an electronic article surveillance (EAS) detacher 502 for separating the mating portions of the locking means 114.*” Also, “*For example, with reference to FIG. 7, another embodiment of the system may comprise locking means 114 having electronic receivers such as the aforementioned RFID tag disposed therein and further configured to instruct the locking means to alternatively engage and disengage when it receives a signal from a proximity transmitter 702.*”

4. The following is a quotation of 35 U.S.C. 112(b):
(b) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the inventor or a joint inventor regards as the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph:
The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claims 3 and 13 are rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA), second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the inventor or a joint inventor, or for pre-AIA the applicant regards as the invention.

Claims are unclear how the either a female or male plates can define a radio frequency identification tag since just plates are not RFID tags.

Claim Rejections - 35 USC § 102

6. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

7. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

8. Claim(s) 1, 7, 10, is/are rejected under 35 U.S.C. 102(a)(1) as being anticipated by United States Patent Application Publication 2012/0187003.

United States Patent Application Publication 2012/0187003 disclose a case (figure 1, #10) for selectively limiting a user's ability to control such user's own mobile electronic device (#11), comprising a front and a rear panel (figure 3) each having first and second longitudinally opposed side edges and laterally opposed lower edges (#16 or 24), the first, second, and lower edges being secured together to define an opening for receiving a mobile electronic device (#14) and a locking means (#16 or 24) for at least partially securing the opening so that the user's electronic device (#14) is rendered inaccessible to the user, the locking means (#16, or 24) being further non-disengageable by the user of the mobile electronic device (#14) until a predetermined condition is met (paragraphs 22 and 26).

Preamble limits the invention if it recites essential structure or steps, or if it is "necessary to give life, meaning, and vitality" to the claim. Generally, the preamble does not limit the claims.

Regarding "user's own" note that "*Similarly, security device 10 can include an RFID element such as a sensor 28. Inclusion of RFID sensor 28 can provide real-time information to a store owner about the identity of product 14 contained within pouch 12.*" The store owner owns and uses (i.e. it's being offered for sale) the mobile electronic device.

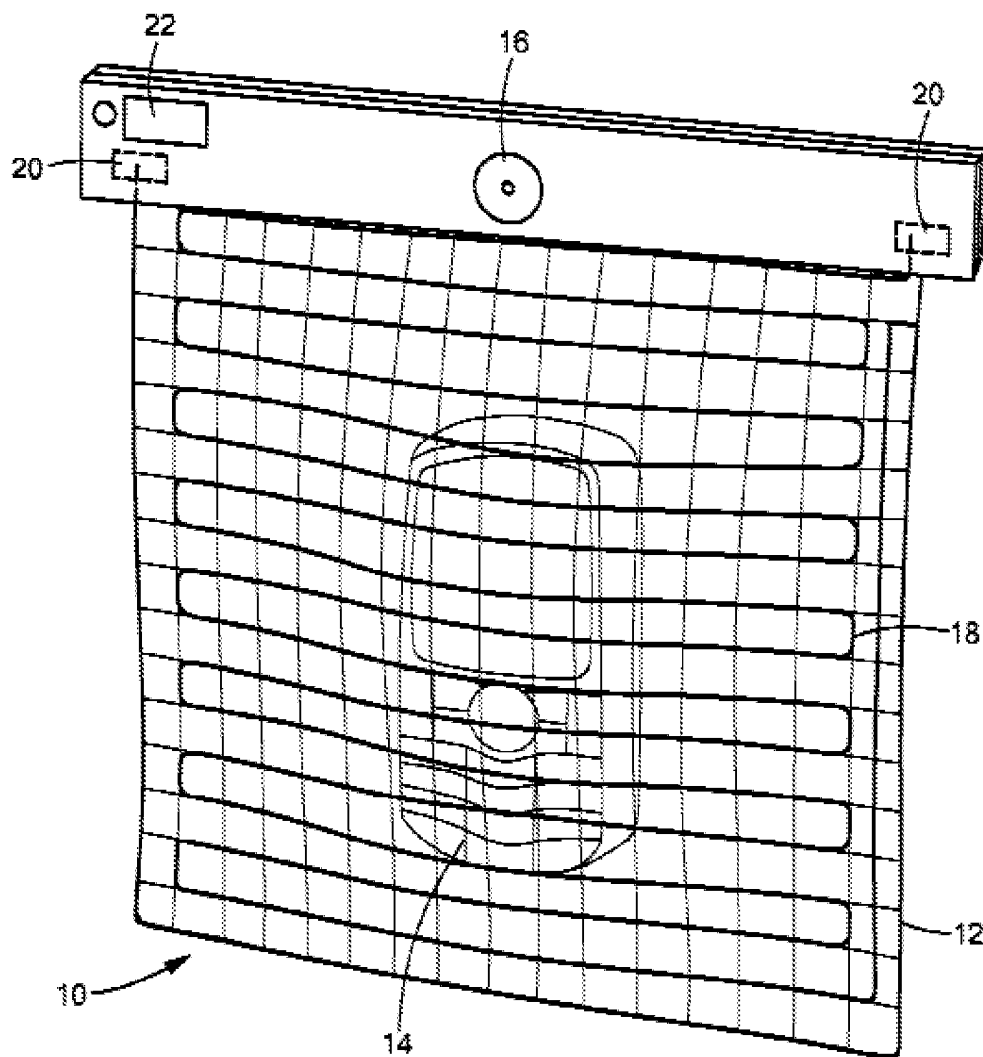


FIG. 1

Claim Rejections - 35 USC § 103

9. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

10. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

11. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103 are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

12. This application currently names joint inventors. In considering patentability of the claims the examiner presumes that the subject matter of the various claims was commonly owned as of the effective filing date of the claimed invention(s) absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and effective filing dates of each claim that was not commonly owned as of the effective filing date of the later invention in order for the examiner to consider the applicability of 35 U.S.C. 102(b)(2)(C) for any potential 35 U.S.C. 102(a)(2) prior art against the later invention.

13. Claims 2 and 12 is/are rejected under 35 U.S.C. 103 as being unpatentable over United States Patent Application Publication 2012/0187003 in view of United States Patent 6,499,638.

United States Patent Application Publication 2012/0187003 disclose all subject matter, note the above paragraph, except for the locking means comprises a female and a male plate, the plates respectively disposed on each of the front and rear panels and configured to securable mate with one another. United States Patent 6,499,638 teaches locking means (figure 1) comprises a female (#7) and a male plate (#5), the plates respectively disposed on each of the front (#1) and rear panels (#2) and configured to securable mate with one another, in a case, for the purpose of securing locking two plates together without much play between the plates.

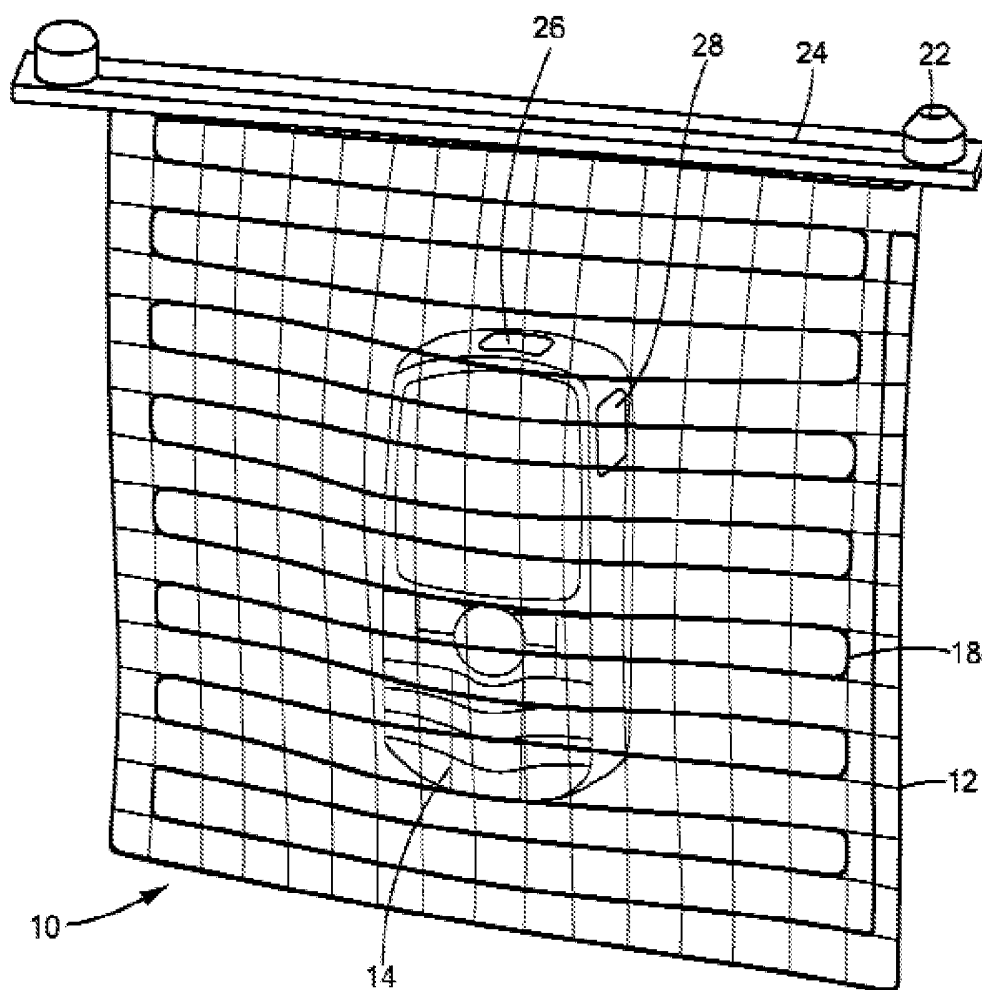


FIG. 2

Hence it would have been obvious to one of ordinary skill in the art to incorporate the use of locking means comprises a female and a male plate, the plates respectively disposed on each of the front and rear panels and configured to securable mate with one another, as taught by United States Patent 6,499,638 in the case for limiting a user's ability to control a mobile electronic device of United States Patent Application Publication 2012/0187003 for the purpose of securing locking two plates together without much play between the plates.

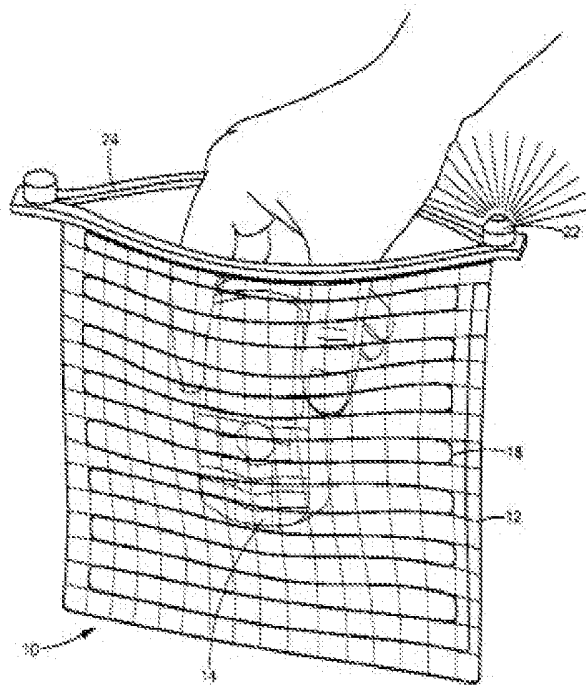


FIG. 3

14. Claims 3, 4, 13, and 14, as understood, is/are rejected under 35 U.S.C. 103 as being unpatentable over United States Patent Application Publication 2012/0187003 in view of United States Patent 6,499,638 in further view of United States Patent 5,977,876.

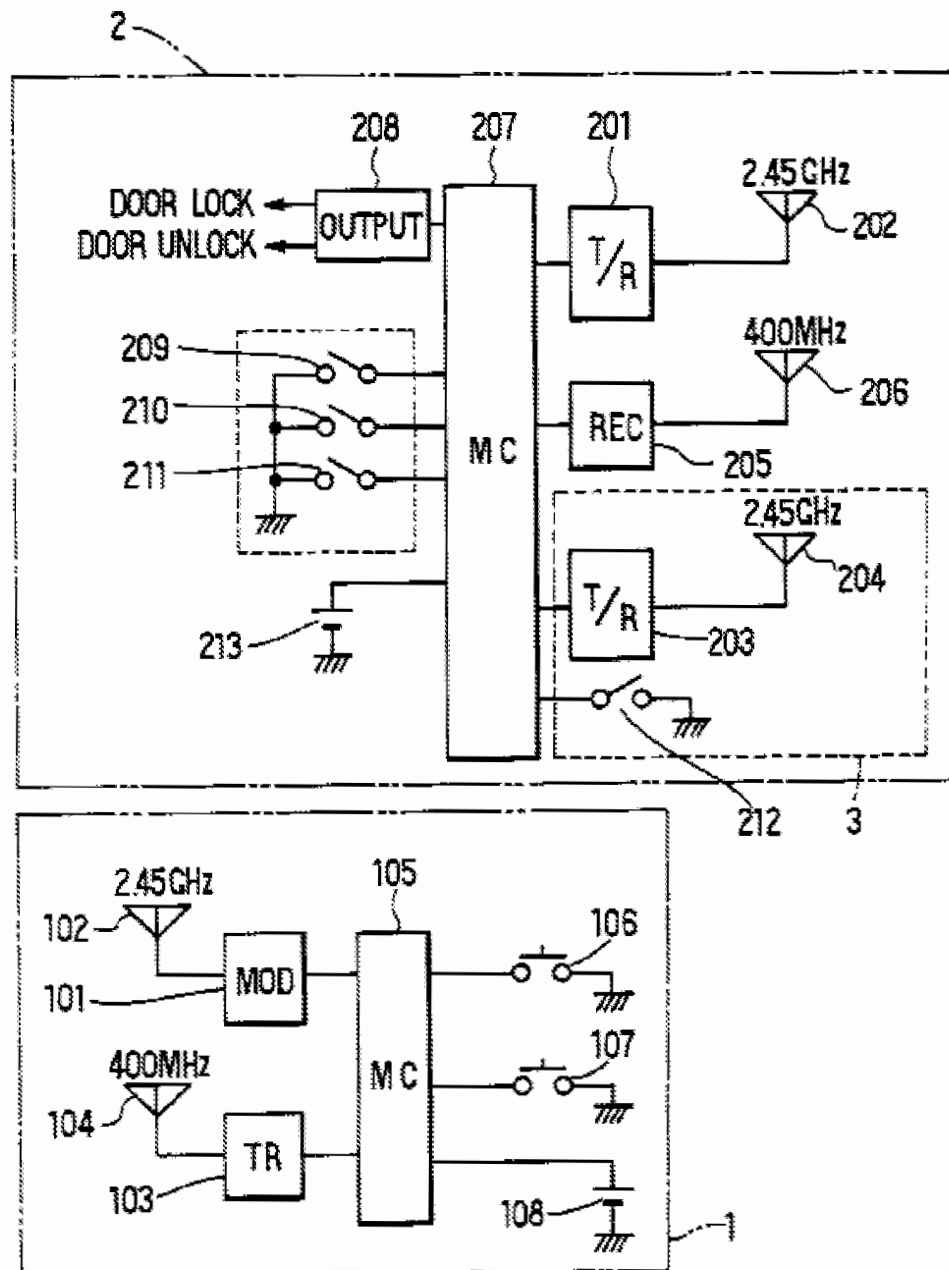
United States Patent 5,977,876 teaches the use of a radio frequency identification tag is further disposed in one plate (figure 4, #12, 16, 18) to receive instructions from a remote transmitter (figure 2, #52) to disengage the locking means when the predetermined condition is met (#62) for the purpose of a case with remotely controlled locking mechanism construction wherein the same can be utilized for allowing a case to be opened via a portable, hand-held unit and further indicating when the case is being opened in an unauthorized manner. Hence, it would have been obvious to use of a radio frequency identification tag is further disposed in one plate to receive instructions from a remote transmitter to disengage the locking means when the predetermined condition is met, as taught by United States Patent 5,977,876, for the purpose of remotely controlled locking mechanism construction wherein the same can be utilized for allowing a case to be opened via a portable, hand-held unit and further indicating when the case is being opened in an unauthorized manner in the in the case for limiting a user's ability to control a mobile electronic device of United States Patent Application Publication 2012/0187003 in view of United States Patent 6,499,638 in order to remotely controlled locking mechanism for allowing the case to be

opened via a portable, hand-held unit and further indicating when the case is being opened in an unauthorized manner.

15. Claims 5 and 15 is/are rejected under 35 U.S.C. 103 as being unpatentable over United States Patent Application Publication 2012/0187003 in view of United States Patent 6,218,929.

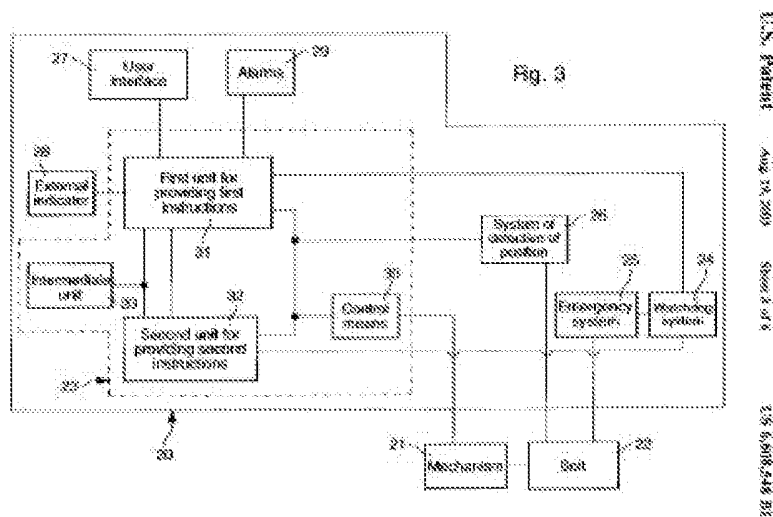
United States Patent 6,218,929 teaches the use of predetermined condition is physical presence outside of a defined geographical region for the purpose of the unlocking is enabled and disabled as long as the user is in the outside of the signal demodulating area and in the inside of the signal demodulating area, note column 2, lines 5-21. Hence, it would have been obvious for one ordinary skill in the art to incorporate the use of a predetermined condition is physical presence outside of a defined geographical region, as taught by United States Patent 6,218,929, in the case for limiting a user's ability to control a mobile electronic device of United States Patent Application Publication 2012/0187003 in order to unlock or lock the case as long as bag is in the outside of the signal demodulating area and in the inside of the signal demodulating area.

FIG. 1



16. Claims 6 and 16 is/are rejected under 35 U.S.C. 103 as being unpatentable over United States Patent Application Publication 2012/0187003 in view of United States Patent 6,608,548.

United States Patent 6,608,548 teaches the use of the predetermined condition is the passage of time, note claim 2 for the purpose of unlocking and locking according to predetermined time conditions are maintained. Hence, it would have been obvious to incorporate the use of the predetermined condition is the passage of time, as taught by United States Patent 6,608,548 in the case for limiting a user's ability to control a mobile electronic device of United States Patent Application Publication 2012/0187003 in order to unlock and lock according to predetermined time conditions.



17. Claim 17 is/are rejected under 35 U.S.C. 103 as being unpatentable over United States Patent Application Publication 2012/0187003 in view of United States Patent 7,277,726.

United States Patent 7,277,726 teaches the use of the mobile electronic device is operative to receive instructions to disable one or more coded functions of the mobile electronic device, column 6, lines 41-53 for the purpose of remotely controlling a mobile device.

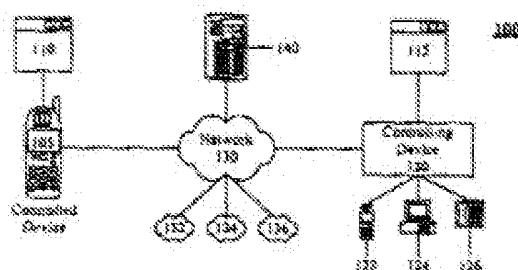


FIG. 1

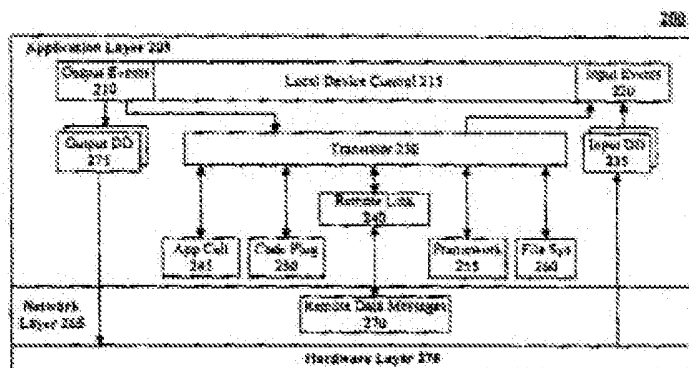


FIG. 2

Hence, it would have been obvious to incorporate the use of the mobile electronic device is operative to receive instructions to disable one or more coded functions of the mobile electronic device, as taught by United States Patent 7,277,726 for the purpose of remotely controlling a mobile device in the case for limiting a user's ability to control a mobile electronic device of United States Patent Application Publication 2012/0187003 in order to remotely accessed by authorized users to enable or disable a plurality of options and functions on the wireless mobile device.

Allowable Subject Matter

18. As allowable subject matter has been indicated, applicant's reply must either comply with all formal requirements or specifically traverse each requirement not complied with. See 37 CFR 1.111(b) and MPEP § 707.07(a).

19. Claims 8, 9, and 11 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Response to Arguments

20. Applicant's arguments filed March 3, 2017 have been fully considered but they are not persuasive.

Anticipatory reference need not duplicate, word for word, what is in claims; anticipation can occur when claimed limitation is "*inherent*" or otherwise implicit in relevant reference (Standard Havens Products Incorporated v. Gencor Industries Incorporated, 21 USPQ2d 1321). "*...a reference can anticipate a claim even if it 'd[oes] not expressly spell out' all of the limitations arranged or combined as in the claim, if a person of skill in the art, reading the reference, would 'at once envisage' the claimed arrangement or combination.*" Kennametal, Inc. v. Ingersoll Cutting Tool Co., 780 F.3d 1376, (Fed. Cir. 2015). Thus, "*a reference may still anticipate if that reference teaches that the disclosed components or functionalities may be combined and one of skill in the art would be able to implement the combination.*" This was an anticipation, without resort to obviousness, because the reference sufficiently disclosed making the combination, though not expressed a single embodiment or example, Blue Calypso, LLC v. Groupon, Inc. (Fed. Cir. Mar. 1, 2016). During examination before the Patent and Trademark Office, claims must be given their broadest reasonable interpretation and limitations from the specification may not be imputed to the claims (Ex parte Akamatsu, 22 USPQ2d, 1918; In re Zletz, 13 USPQ2d 1320, In re Priest, 199 USPQ 11). During examination of a patent application, a claim is given its broadest reasonable construction "*in light of the*

specification as it would be interpreted by one of ordinary skill in the art." In re Am. Acad. of Sci. Tech Ctr., 367 F.3d 1359, 1364 (Fed. Cir. 2004) (citations omitted) (internal quotation marks omitted). Additionally, "[t]hrough understanding the claim language may be aided by the explanations contained in the written description, it is important not to import into a claim limitations that are not a part of the claim." See SuperGuide Corp. v. DirecTV Enterprises, Inc., 358 F.3d 870, 875 (Fed. Cir. 2004). In response to Applicant's argument, the law of anticipation requires that a distinction be made between the invention described or taught and the invention claimed. It does not require that the reference "teach" what the subject patent teaches. Assuming that a reference is properly "prior art," it is only necessary that the claims under consideration "read on" something disclosed in the reference, i.e., all limitations of the claim are found in the reference, or "fully met" by it. It was held in In re Donohue, 226 USPQ 619, that, "It is well settled that prior art under 35 USC §102(b) must sufficiently describe the claimed invention to have placed the public in possession of it...Such possession is effected if one of ordinary skill in the art could have combine the description of the invention with his own knowledge to make the claimed invention." Clear inference to the artisan must be considered, In re Preda, 159 USPQ 342. A prior art reference must be considered together with the knowledge of one of ordinary skill in the pertinent art, In re Samour, 197 USPQ 1. During patent examination, the pending claims must be "given the broadest reasonable interpretation consistent with the specification." Claim term is not

limited to single embodiment disclosed in specification, since number of embodiments disclosed does not determine meaning of the claim term, and applicant cannot overcome "*heavy presumption*" that term takes on its ordinary meaning simply by pointing to preferred embodiment (Teleflex Inc. v. Ficosa North America Corp., CA FC, 6/21/02, 63 USPQ2d 1374). Applicant always has the opportunity to amend the claims during prosecution and broad interpretation by the examiner reduces the possibility that the claim, once issued, will be interpreted more broadly than is justified. In re Prater, 415 F.2d 1393, 1404-05, 162 USPQ 541, 550-51 (CCPA1969). "*Arguments that the alleged anticipatory prior art is nonanalogous art' or teaches away from the invention' or is not recognized as solving the problem solved by the claimed invention, [are] not germane' to a rejection under section 102.*" Twin Disc, Inc. v. United States, 231 USPQ 417, 424 (Cl. Ct. 1986) (quoting In re Self, 671 F.2d 1344, 213 USPQ 1, 7 (CCPA 1982)). A reference is no less anticipatory if, after disclosing the invention, the reference then disparages it. The question whether a reference "*teaches away*" from the invention is inapplicable to an anticipation analysis. Celeritas Technologies Ltd. v. Rockwell International Corp., 150 F.3d 1354, 1361, 47 USPQ2d 1516, 1522-23 (Fed. Cir.1998).

During examination, a claim must be given its broadest reasonable interpretation consistent with the specification as it would be interpreted by one of ordinary skill in the art. Because the applicant has the opportunity to amend claims during prosecution, giving a claim its broadest reasonable interpretation

will reduce the possibility that the claim, once issued, will be interpreted more broadly than is justified. The focus of the inquiry regarding the meaning of a claim should be what would be reasonable from the perspective of one of ordinary skill in the art.² See MPEP § 2111 for a full discussion of broadest reasonable interpretation. Under a broadest reasonable interpretation, words of the claim must be given their plain meaning, unless such meaning is inconsistent with the specification. The plain meaning of a term means the ordinary and customary meaning given to the term by those of ordinary skill in the art at the time of the invention. The ordinary and customary meaning of a term may be evidenced by a variety of sources, including the words of the claims themselves, the specification, drawings, and prior art. However, the best source for determining the meaning of a claim term is the specification—the greatest clarity is obtained when the specification serves as a glossary for the claim terms. The presumption that a term is given its ordinary and customary meaning may be rebutted by the applicant by clearly setting forth a different definition of the term in the specification. When the specification sets a clear path to the claim language, the scope of the claims is more easily determined and the public notice function of the claims is best served. During examination of a patent application, a claim is given its broadest reasonable construction "in light of the specification as it would be interpreted by one of ordinary skill in the art." In re Am. Acad. of Sci. Tech Ctr., 367 F.3d 1359, 1364 (Fed. Cir. 2004) (citations omitted) (internal quotation marks omitted). Additionally, "[t]hough understanding the claim language may be aided

by the explanations contained in the written description, it is important not to import into a claim limitations that are not a part of the claim." See SuperGuide Corp. v. DirecTVEnterprises, Inc., 358 F.3d 870, 875 (Fed. Cir. 2004). See MPEP § 2111.01 for a full discussion of the plain meaning of claim language.

Regarding "user's own" note that the reference states *"Similarly, security device 10 can include an RFID element such as a sensor 28. Inclusion of RFID sensor 28 can provide real-time information to a store owner about the identity of product 14 contained within pouch 12."* The store owner owns and uses the mobile electronic device, hopefully selling it for profit.

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., the apparatus be sized or configured to receive a user's own mobile device, effecting limiting of a user's engagement with his own personal property, being distracted by the functionality of the devices with certain geographic regions, an audience seating area at a venue hosting a communal event) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

In response to applicant's argument that, effecting limiting of a user's engagement with his own personal property, being distracted by the functionality of the devices with certain geographic regions, an audience seating area at a venue hosting a communal event, a recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim.

"[A]pparatus claims cover what a device is, not what a device does."

Hewlett-Packard Co.v.Bausch & Lomb Inc., 909 F.2d 1464, 1469, 15 USPQ2d 1525, 1528 (Fed. Cir. 1990) (emphasis in original). A claim containing a *"recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus"* if the prior art apparatus teaches all the structural limitations of the claim. Ex parte Masham, 2 USPQ2d 1647 (Bd. Pat. App. & Inter. 1987) (The preamble of claim 1 recited that the apparatus was *"for mixing flowing developer material"* and the body of the claim recited *"means for mixing ..., said mixing means being stationary and completely submerged in the developer material."* The claim was rejected over a reference which taught all the structural limitations of the claim for the intended use of mixing flowing developer. However, the mixer was only partially submerged in the developer material. The Board held that the

amount of submersion is immaterial to the structure of the mixer and thus the claim was properly rejected.).

Also, preamble limits the invention if it recites essential structure or steps, or if it is “*necessary to give life, meaning, and vitality*” to the claim. Generally, the preamble does not limit the claims.

Applicants have not presented any substantive arguments directed separately to the patentability of the dependent claims or related claims in each group, except as will be noted in this action. In the absence of a separate argument with respect to those claims, they now stand or fall with the representative independent claim. *See In re Young*, 927 F.2d 588, 590, 18 USPQ2d 1089, 1091 (Fed. Cir. 1991).

Applicants are just copying United States Patent Application Publication 2012/0187003 device and using for another intended use. The Examiner is not issuing a Notice of Allowance for a patent monopoly for the claimed apparatus which is a copy of prior art which only the intended use is difference.

Conclusion

21. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

22. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

23. After Final Consideration Pilot 2.0. Using information gathered from the After Final Consideration Pilot (AFCP), as well as input from stakeholders and examiners obtained through the RCE outreach initiative, the USPTO launched the After Final Consideration Pilot 2.0 (AFCP 2.0) on May 19, 2013. Designed to be more efficient and effective than the AFCP, AFCP 2.0 is part of the USPTO's on-going efforts towards compact prosecution and increased collaboration between examiners and stakeholders. Like AFCP, AFCP 2.0 authorizes additional time for examiners to search and/or consider responses after final rejection. Under AFCP 2.0, examiners will also use the additional time to schedule and conduct an interview to discuss the results of their search and/or consideration with you, if your response does not place the application in condition for allowance. In this way, you will benefit from the additional search and consideration afforded by the pilot, even when the results do not lead to allowance. In

addition, the procedure for obtaining consideration under AFCP 2.0 has been revised.

The revised procedure focuses the pilot on review of proposed claim amendments and

allows the USPTO to better evaluate the pilot. **To be eligible for consideration under**

AFCP 2.0, you must file a response under 37 CFR §1.116, which includes a request for

consideration under the pilot (Form PTO/SB/434) and an amendment to at least one

independent claim that does not broaden the scope of the independent claim in any

aspect. Please see the notice published in the Federal Register at 78 Fed. Reg. 29117

for a complete description of how to request consideration under AFCP 2.0. As was the

case with the AFCP, examiners will continue to use their professional judgment to

decide whether the response can be fully considered under AFCP 2.0. This will include

determining whether any additional search is required and can be completed within the

allotted time, in order to determine whether the application can be allowed. As always,

the option to request an interview with the examiner, consistent with MPEP 713, is

available to you irrespective of whether the submission was considered under AFCP

2.0. If you are considering filing a response to a final rejection under 37 CFR 1.116 that

you believe will lead to allowance of your application with only limited further searching

and/or consideration by the examiner, you should consider requesting consideration of

the response under AFCP 2.0.

24. If applicants wish to request for an interview, an *"Applicant Initiated Interview Request"* form (PTOL-413A) should be submitted to the examiner prior to the interview in order to permit the examiner to prepare in advance for the interview and to focus on the issues to be discussed. This form should identify the participants of the interview, the proposed date of the interview, whether the interview will be personal, telephonic, or video conference, and should include a brief description of the issues to be discussed. A copy of the completed *"Applicant Initiated Interview Request"* form should be attached to the Interview Summary form, PTOL-413 at the completion of the interview and a copy should be given to applicant or applicant's representative.

25. If applicants request an interview after this **final rejection**, prior to the interview, the intended purpose and content of the interview should be presented briefly, in writing. Such an interview may be granted if the examiner is convinced that disposal or clarification for appeal may be accomplished with only nominal further consideration. Interviews merely to **restate arguments** of record or to **discuss new limitations** which would require more than nominal reconsideration or new search will be denied.

26. Any inquiry concerning this communication or earlier communications from the examiner should be directed to **WILLIAM D. CUMMING** whose telephone number is (571)272-7861. The examiner can normally be reached on Monday, Wednesday, and Friday, 11:00am-8:00pm.

27. Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at <http://www.uspto.gov/interviewpractice>.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, ANTHONY S. ADDY can be reached on 571-272-7795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/WILLIAM D CUMMING/
Primary Examiner, Art Unit 2645

<i>Index of Claims</i> 	Application/Control No. 14692530	Applicant(s)/Patent Under Reexamination DUGONI, GRAHAM
	Examiner WILLIAM D CUMMING	Art Unit 2645

✓	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	O	Objected

☐ Claims renumbered in the same order as presented by applicant				☐ CPA		☐ T.D.		☐ R.1.47	
CLAIM		DATE							
Final	Original	09/30/2016	05/02/2017						
	1	✓	✓						
	2	✓	✓						
	3	✓	✓						
	4	✓	✓						
	5	✓	✓						
	6	✓	✓						
	7	✓	✓						
	8	✓	O						
	9	✓	O						
	10	✓	✓						
	11	✓	O						
	12	✓	✓						
	13	✓	✓						
	14	✓	✓						
	15	✓	✓						
	16	✓	✓						
	17	✓	✓						

CERTIFICATION AND REQUEST FOR CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0		
Practitioner Docket No.:	Application No.: 14/692,530	Filing Date: April 21, 2015
First Named Inventor: Graham Dugoni	Title: System and apparatus for selectively limiting user control of an electronic device	
APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0 (AFCP 2.0) OF THE ACCOMPANYING RESPONSE UNDER 37 CFR 1.116. 1. The above-identified application is (i) an original utility, plant, or design nonprovisional application filed under 35 U.S.C. 111(a) [a continuing application (<i>e.g.</i>, a continuation or divisional application) is filed under 35 U.S.C. 111(a) and is eligible under (i)], or (ii) an international application that has entered the national stage in compliance with 35 U.S.C. 371(c). 2. The above-identified application contains an outstanding final rejection. 3. Submitted herewith is a response under 37 CFR 1.116 to the outstanding final rejection. The response includes an amendment to at least one independent claim, and the amendment does not broaden the scope of the independent claim in any aspect. 4. This certification and request for consideration under AFCP 2.0 is the only AFCP 2.0 certification and request filed in response to the outstanding final rejection. 5. Applicant is willing and available to participate in any interview requested by the examiner concerning the present response. 6. This certification and request is being filed electronically using the Office's electronic filing system (EFS-Web). 7. Any fees that would be necessary consistent with current practice concerning responses after final rejection under 37 CFR 1.116, <i>e.g.</i>, extension of time fees, are being concurrently filed herewith. [There is no additional fee required to request consideration under AFCP 2.0.] 8. By filing this certification and request, applicant acknowledges the following: • Reissue applications and reexamination proceedings are not eligible to participate in AFCP 2.0. • The examiner will verify that the AFCP 2.0 submission is compliant, <i>i.e.</i>, that the requirements of the program have been met (see items 1 to 7 above). For compliant submissions: ○ The examiner will review the response under 37 CFR 1.116 to determine if additional search and/or consideration (i) is necessitated by the amendment and (ii) could be completed within the time allotted under AFCP 2.0. If additional search and/or consideration is required but cannot be completed within the allotted time, the examiner will process the submission consistent with current practice concerning responses after final rejection under 37 CFR 1.116, <i>e.g.</i>, by mailing an advisory action. ○ If the examiner determines that the amendment does not necessitate additional search and/or consideration, or if the examiner determines that additional search and/or consideration is required and could be completed within the allotted time, then the examiner will consider whether the amendment places the application in condition for allowance (after completing the additional search and/or consideration, if required). If the examiner determines that the amendment does not place the application in condition for allowance, then the examiner will contact the applicant and request an interview. ▪ The interview will be conducted by the examiner, and if the examiner does not have negotiation authority, a primary examiner and/or supervisory patent examiner will also participate. ▪ If the applicant declines the interview, or if the interview cannot be scheduled within ten (10) calendar days from the date that the examiner first contacts the applicant, then the examiner will proceed consistent with current practice concerning responses after final rejection under 37 CFR 1.116.		
Signature /Omid E. Khalifeh/	Date August 30, 2017	
Name (Print/Typed) Omid E. Khalifeh	Practitioner Registration No. 67,018	
Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.		
☒ * Total of <u>1</u> forms are submitted.		

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt	
EFS ID:	30259405
Application Number:	14692530
International Application Number:	
Confirmation Number:	3927
Title of Invention:	System and Apparatus for Selectively Limiting User Control of an Electronic Device
First Named Inventor/Applicant Name:	Graham Dugoni
Customer Number:	103072
Filer:	Omid E. Khalifeh
Filer Authorized By:	
Attorney Docket Number:	012915
Receipt Date:	01-SEP-2017
Filing Date:	21-APR-2015
Time Stamp:	20:59:03
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	Amend.pdf	80266	no	1
			5ae31c1cb9edae72175e829d0ff812e0095cd560		

Warnings:

This is not a USPTO supplied RCE SB30 form.

Information:

2	Claims	Claims.pdf	60881	no	2
			25d7d4db100e2afe97e43c54415b32c9a071f80		

Warnings:

Information:

3	Applicant Arguments/Remarks Made in an Amendment	Remarks.pdf	92949	no	3
			3c85e4909d2c1f9178954c281d5828b98274ab92		

Warnings:

Information:

4	Request for Continued Examination (RCE)	PTOSB434.pdf	201243	no	2
			f447fdac662a147db7a5660de922c3e5624dea63		

Warnings:

This is not a USPTO supplied RCE SB30 form.

Information:

Total Files Size (in bytes):			435339		
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Graham Dugoni
App. No. : 14/692,530
Filed : 04/21/2015
For : SYSTEM AND APPARATUS
FOR SELECTIVELY LIMITING
USER CONTROL OF AN
ELECTRONIC DEVICE
Examiner : William D. Cumming
Art Unit : 2645
Conf No. : 3927

**CERTIFICATE OF EFS WEB
TRANSMISSION**

I hereby certify that this correspondence, and any other attachment noted on the automated Acknowledgement Receipt, is being transmitted from within the Pacific Time zone to the Commissioner for Patents via EFS Web server on:

September 1, 2017

(Date)

/Omid E. Khalifeh/

Omid E. Khalifeh, Reg. No. 67,018

AMENDMENT AND RESPONSE TO
FINAL OFFICE ACTION DATED 05/05/2017

Mail Stop Amendment [AF]

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

In response to the May 5, 2017 Office Action ("Office Action"), please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.

AMENDMENTS TO THE CLAIMS

The listing of claims replaces all prior versions and listings of claims. Only those claims being amended herein show their changes in highlighted form, where insertions appear as underlined text (e.g., insertions) while deletions appear as strikethrough text or in brackets (e.g., ~~deletions~~ or [[deletions]]). Accordingly, please amend the Claims as follows:

1. – 7. (Canceled)

8. (Currently Amended) ~~The case of claim 7,~~ A case for selectively limiting a user's ability to control such user's own mobile electronic device, comprising,

a shell defining a cavity sized to accommodate the user's mobile electronic device and having an opening to receive the user's mobile electronic device therein; and

a locking means for at least partially securing the opening of the shell so that the electronic device is rendered inaccessible to the user, the locking means being further non-disengageable by the user of the mobile electronic device;

wherein the predetermined condition is physical presence of the case outside of a defined geographical region.

9. (Currently Amended) ~~The case of claim 7,~~ A case for selectively limiting a user's ability to control such user's own mobile electronic device, comprising,

a shell defining a cavity sized to accommodate the user's mobile electronic device and having an opening to receive the user's mobile electronic device therein; and

a locking means for at least partially securing the opening of the shell so that the electronic device is rendered inaccessible to the user, the locking means being further non-disengageable by the user of the mobile electronic device;

wherein the predetermined condition is the passage of time.

10. (Canceled).

11. (Currently Amended) ~~[[The]]~~ A system of claim 10, wherein the case comprises for selectively limiting a user's control of such user's own electronic device, comprising:

Application No.: 14/692,530

Filing Date: April 21, 2015

a case sized to receive the user's mobile electronic device having

a front and a rear panel each having first and second longitudinally opposed side edges and laterally opposed lower edges,

the first, second, and lower edges being secured together to define an opening for receiving a mobile electronic device, the case operative to become locked so that the user is unable to access his own mobile electronic device contained therein until a predetermined condition is met; [[and]]

a locking means for at least partially securing the opening; and means for unlocking the case.

12. (Original) The case of claim 11, wherein the locking means comprises a female and a male plate, the plates respectively disposed on each of the front and rear panels and configured to securably mate with one another.

13. (Currently Amended) The case of claim 12, wherein at least one of the female and male plates ~~define~~ comprise a radio frequency identification tag operative to receive instructions from a remote transmitter to disengage the locking means when the predetermined condition is met.

14. (Original) The case of claim 11, wherein a microprocessor is further disposed in one or both of the female and male plates to receive a wireless data signal from a beacon or transmitter to disengage the locking means when the predetermined condition is met.

15. (Currently Amended) The case of claim ~~[[10]]~~11, wherein the predetermined condition is physical presence outside of a defined geographical region.

16. (Currently Amended) The case of claim ~~[[10]]~~11, wherein the predetermined condition is the passage of time.

17. (Currently Amended) The system of claim ~~[[10]]~~11, wherein the mobile electronic device is operative to receive instructions to disable one or more coded functions of the mobile electronic device.

REMARKS

Applicant thanks the Examiner for their Examination of the present application. By way of summary: Claims 1-17 were pending in this application. In the present amendment, Applicant has amended Claims 8, 9, 11, 13 and 15-17 and canceled Claims 1-7 and 10. Accordingly, Claims 8, 9, and 11-17 remain pending for consideration. No new matter is added. Support for the amended claims is provided within the specification and the claims as filed.

Applicant is not conceding that the subject matter recited in the amended or canceled claims is not patentable over the art cited by the Examiner. The amended, withdrawn or canceled claims are provided solely to facilitate expeditious prosecution of the allowable subject matter without prejudice. Applicant respectfully reserves the right to pursue claims, including the subject matter encompassed by the amended or canceled claims in one or more continuing applications.

Objection(s) – Allowable Subject Matter

Claims 8, 9, and 11 were objected to as being dependent upon a rejected base claim but would have been allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Applicant has amended Claims 8 and 9 to each incorporate all of the limitations of canceled Claim 7 from which they depended. Claim 11 has been amended to incorporate all of the limitations of its base claim, canceled Claim 10. Claims 15 – 17 have been amended to reflect this change as well.

Applicant respectfully submits that amended Claims 8, 9, and 11 are in condition for allowance. Additionally, Claims 12 – 17 depend on amended Claim 11 and intervening claims and should be in condition for allowance by virtue of their dependence on an allowable base claim. Applicant requests such action.

Application No.: 14/692,530

Filing Date: April 21, 2015

Rejection of Claim 5 Under 35 U.S.C. § 112

Claims 3 and 13 were rejected under 35 U.S.C. §112(a) as being indefinite as failing to comply with the written description requirement. Notwithstanding the propriety of Examiner's rejections, Claim 3 has been canceled. Claim 13 has been amended to recite "at least one of the female and male plates comprise a radio frequency identification tag," which is consistent with portions of Applicant's Specification, paragraphs [0044] and [0045], cited by Examiner. Applicant respectfully submits that amended Claim 13 is in condition for allowance.

Rejection of Claims 1, 7, and 10 Under 35 U.S.C. § 102 (a)(1) – Stewart et al.

In the Office Action, Examiner rejected Claims 1, 7, and 10 under 35 U.S.C. § 102(a)(1) as being unpatentable over U.S. Patent Publication No. 2012/0187003 filed by Stewart et al. ("Stewart"). Applicant respectfully traverses these rejections and submits that the Claims in their original form satisfies the requirements of patentability. Nonetheless, Applicant has canceled Claims 1, 7, and 10 in order to advance prosecution. Applicant respectfully reserves the right to pursue claims, including the subject matter encompassed by the canceled claims, in one or more continuing applications.

Rejection of Claims 2-12 35 U.S.C. § 103 – Stewart alternatively in view of Campbell, Coleman, Furuta, Pellaton, and Ahya

Examiner also rejected Claims 2 and 12 in the above-captioned application under 35 U.S.C § 103 as being unpatentable over Stewart in view of U.S. Pat. No. 6,499,638 to Campbell ("Campbell"); Claims 3, 4, 13, and 14 under 35 U.S.C § 103 as being unpatentable over Stewart in view of Campbell, and further in view of U.S. Pat. No. 5,977,876 to Coleman ("Coleman"); Claims 5 and 15 under 35 U.S.C § 103 as being unpatentable over Stewart in view of U.S. Pat. No. 6,218,929 to Furuta et al. ("Furuta"); Claims 6 and 16 under 35 U.S.C § 103 as being unpatentable over Stewart in view of U.S. Pat. No. 6,608,548 to Pellaton et al. ("Pellaton"); and Claim 17 under 35 U.S.C § 103 as being unpatentable over Stewart in view of U.S. Pat. No. 7,277,726 to Ahya et al. ("Ahya").

Without admitting or denying the propriety of Examiner's rejections, Claims 2 – 6, have been canceled. Additionally, Applicant respectfully submits that amended Claims 12 – 17 depend

Application No.: 14/692,530
Filing Date: April 21, 2015

on allowable base Claim 11, discussed above, and intervening claims and are in condition for allowance by virtue of their dependence on an allowable base claim. Applicant respectfully reserves the right to pursue claims, including the subject matter encompassed by the canceled claims, in one or more continuing applications.

No Disclaimers or Disavowals

Although the present communication may include alterations to the application or claims, or characterizations of claim scope or referenced art, Applicant is not conceding in this application that previously pending claims are not patentable over the cited references. Rather, any alterations are characterizations are being made to facilitate expeditious prosecution of this application. Applicant reserves the right to pursue at a later date any previously pending or other broader or narrower claims that capture any subject matter supported by the present disclosure, including subject matter found to be specifically disclaimed herein or by any prior prosecution. Accordingly, reviewers of this or any parent, child or related prosecution history shall not reasonably infer that Applicant has made any disclaimers or disavowals of any subject matter supported by the present application.

Respectfully submitted,

OMNI LEGAL GROUP

Dated: September 1, 2017

By: /Omid E. Khalifeh/

Omid E. Khalifeh

Registration No. 67,018

Attorney of Record

(310) 276-6664

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					Application or Docket Number 14/692,530		Filing Date 04/21/2015		☐ To be Mailed	
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ENTITY: ☐ LARGE ☐ SMALL ☒ MICRO

APPLICATION AS FILED – PART I

FOR	NUMBER FILED	NUMBER EXTRA		RATE (\$)	FEE (\$)
☐ BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A		N/A	
☐ SEARCH FEE (37 CFR 1.16(k), (j), or (m))	N/A	N/A		N/A	
☐ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A		N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 =	*		X \$ =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 =	*		X \$ =	
☐ APPLICATION SIZE FEE (37 CFR 1.16(s))			If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).		
☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))					
* If the difference in column 1 is less than zero, enter "0" in column 2.				TOTAL	

APPLICATION AS AMENDED – PART II

	(Column 1)	(Column 2)	(Column 3)		RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	09/01/2017	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	
	Total (37 CFR 1.16(i))	* 9	Minus	** 20	= 0	X \$20 = 0
	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0	X \$105 = 0
	☐ Application Size Fee (37 CFR 1.16(s))					
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))					
TOTAL ADD'L FEE						0

	(Column 1)	(Column 2)	(Column 3)		RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	
	Total (37 CFR 1.16(i))	*	Minus	**	=	X \$ =
	Independent (37 CFR 1.16(h))	*	Minus	***	=	X \$ =
	☐ Application Size Fee (37 CFR 1.16(s))					
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))					
TOTAL ADD'L FEE						

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE
ALLYSON PURNELL

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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NOTICE OF ALLOWANCE AND FEE(S) DUE

103072 7590 09/18/2017
Omid E. Khalifeh
10866 Wilshire Blvd. Suite 400
Los Angeles, CA 90024

EXAMINER

CUMMING, WILLIAM D

ART UNIT

PAPER NUMBER

2645

DATE MAILED: 09/18/2017

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/692,530	04/21/2015	Graham Dugoni	012915	3927

TITLE OF INVENTION: System and Apparatus for Selectively Limiting User Control of an Electronic Device

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	MICRO	\$240	\$0	\$0	\$240	12/18/2017

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax **(571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

103072 7590 09/18/2017
Omid E. Khalifeh
10866 Wilshire Blvd. Suite 400
Los Angeles, CA 90024

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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14/692,530 04/21/2015 Graham Dugoni 012915 3927

TITLE OF INVENTION: System and Apparatus for Selectively Limiting User Control of an Electronic Device

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	MICRO	\$240	\$0	\$0	\$240	12/18/2017

EXAMINER	ART UNIT	CLASS-SUBCLASS
CUMMING, WILLIAM D	2645	455-456400

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, 1 _____
- (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 2 _____
- 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ Applicant certifying micro entity status. See 37 CFR 1.29
- ☐ Applicant asserting small entity status. See 37 CFR 1.27
- ☐ Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _____

Date _____

Typed or printed name _____

Registration No. _____



UNITED STATES PATENT AND TRADEMARK OFFICE

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Alexandria, Virginia 22313-1450
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/692,530	04/21/2015	Graham Dugoni	012915	3927
103072	7590	09/18/2017	EXAMINER	
Omid E. Khalifeh 10866 Wilshire Blvd. Suite 400 Los Angeles, CA 90024			CUMMING, WILLIAM D	
			ART UNIT	PAPER NUMBER
			2645	
DATE MAILED: 09/18/2017				

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Notice of Allowability	Application No. 14/692,530	Applicant(s) DUGONI, GRAHAM	
	Examiner WILLIAM D. CUMMING	Art Unit 2645	AIA (First Inventor to File) Status Yes

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to the amendment filed September 1, 2017.
☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.
2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.
3. ☒ The allowed claim(s) is/are 8,9, 11-17. As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

- a) ☐ All b) ☐ Some *c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- | | |
|--|---|
| 1. ☐ Notice of References Cited (PTO-892) | 5. ☐ Examiner's Amendment/Comment |
| 2. ☐ Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date _____ | 6. ☐ Examiner's Statement of Reasons for Allowance |
| 3. ☐ Examiner's Comment Regarding Requirement for Deposit
of Biological Material | 7. ☒ Other <u>PTO-2323.</u> |
| 4. ☐ Interview Summary (PTO-413),
Paper No./Mail Date _____ | |

/WILLIAM D CUMMING/
Primary Examiner, Art Unit 2645



UNITED STATES PATENT AND TRADEMARK OFFICE

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 Alexandria, Virginia 22313-1450
 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 3927

SERIAL NUMBER 14/692,530	FILING or 371(c) DATE 04/21/2015 RULE	CLASS 455	GROUP ART UNIT 2645	ATTORNEY DOCKET NO. 012915		
APPLICANTS INVENTORS Graham Dugoni, San Francisco, CA; ** CONTINUING DATA ***** ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED *** MICRO ENTITY ** 05/01/2015						
Foreign Priority claimed ☐ Yes ☒ No 35 USC 119(a-d) conditions met ☐ Yes ☒ No Verified and /WILLIAM D CUMMING/ Acknowledged Examiner's Signature		☐ Met after Allowance Initials	STATE OR COUNTRY CA	SHEETS DRAWINGS 4	TOTAL CLAIMS 17	INDEPENDENT CLAIMS 3
ADDRESS Omid E. Khalifeh 10866 Wilshire Blvd. Suite 400 Los Angeles, CA 90024 UNITED STATES						
TITLE System and Apparatus for Selectively Limiting User Control of an Electronic Device						
FILING FEE RECEIVED 400	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			☐ All Fees		
				☐ 1.16 Fees (Filing)		
				☐ 1.17 Fees (Processing Ext. of time)		
				☐ 1.18 Fees (Issue)		
				☐ Other _____		
			☐ Credit			

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	2158428	(radio or wirless or cordless or cellular or mobile) near5 (device or telephone or phone) or radiotelephone	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 18:31
L2	330	l1 near5 (locking or lock) near5 case	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 18:32
L3	373	security near5 case near10 l1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:07
L4	2471063	lock	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:08
L5	127	l3 and l4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:08
L6	421	security near5 (case or housing) near10 l1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:09
L7	142	l6 and l4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:09
L8	2	"20020130777"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:30
L9	2686	security near6 bag	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:33
L10	22162	female near5 plate	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:33
L11	3	l9 and l10	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:33
L12	1310	security near6 envelope	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:34

L13	0	l12 and l10	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:35
L14	4	female near5 pannel	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:35
L15	0	l14 and l9	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:36
L16	0	l14 and l12	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:36
L17	35004	security near5 (case or housing)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:37
L18	12	l17 and l10	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:37
L19	8487	female near5 panel	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2016/09/08 19:39
L20	7	l17 and l19	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2016/09/08 19:39
L21	41	l10 near5 bag	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:43
L22	0	receiver near5 unlocking near5 plates	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:58
L23	50976	radio near5 identification near5 tag	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 19:59
L24	779	unlocking near5 plates	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:00
L25	0	l23 and l24	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:00
L26	9	unlocking near5 l23	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:00
L27	71	receiver near5 disengage near5 locking	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;	OR	OFF	2016/09/08 20:06

			IBM_TDB			
L28	2	transmitter near5 disengage near5 locking	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:07
L29	71	signal near5 disengage near5 locking	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:08
L30	0	l6 and l29	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:09
L31	0	l3 and l29	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:09
L32	0	l9 and l29	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:09
L33	2	receiving near5 signal near5 unlock near5 housing	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:10
L34	10	receiving near5 signal near5 unlock near5 case	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:11
L35	1	receiving near5 signal near5 unlock near5 briefcase	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:13
L36	66	unlocking near5 outside near5 (region or area)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:24
L37	15	unlocking near5 passage near5 time	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:28
L38	251	disable near5 functions near5 l1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:40
L39	337630	("455").CLAS.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:40
L40	0	("l38andl39").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:41
L41	125	l38 and l39	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/08 20:41

EAST Search History (Interference)

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9/ 8/ 2016 8:46:11 PM

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AFCP 2.0 Decision

Application No.

14/692,530

Applicant(s)

DUGONI, GRAHAM

Examiner

WILLIAM D. CUMMING

Art Unit

2645

This is in response to the After Final Consideration Pilot request filed 01 September 2017.

1. **Improper Request** – The AFCP 2.0 request is improper for the following reason(s) and the after final amendment submitted with the request will be treated under pre-pilot procedure.

- ☐ An AFCP 2.0 request form PTO/SB/434 (or equivalent document) was not submitted.
- ☐ A non-broadening amendment to at least one independent claim was not submitted.
- ☐ A proper AFCP 2.0 request was submitted in response to the most recent final rejection.
- ☐ Other:

2. Proper Request

- A. After final amendment submitted with the request will not be treated under AFCP 2.0.

The after final amendment cannot be reviewed and a search conducted within the guidelines of the pilot program.

- ☐ The after final amendment will be treated under pre-pilot procedure.

- B. Updated search and/or completed additional consideration.

The examiner performed an updated search and/or completed additional consideration of the after final amendment within the time authorized for the pilot program. The result(s) of the updated search and/or completed additional consideration are:

- ☒ 1. All of the rejections in the most recent final Office action are overcome and a Notice of Allowance is issued herewith.
- ☐ 2. The after final amendment would not overcome all of the rejections in the most recent final Office action. See attached interview summary for further details.
- ☐ 3. The after final amendment was reviewed, and it raises a new issue(s). See attached interview summary for further details.
- ☐ 4. The after final amendment raises new issues, but would overcome all of the rejections in the most recent final Office action. A decision on determining allowability could not be made within the guidelines of the pilot. See attached interview summary for further details, including any newly discovered prior art.
- ☐ 5. Other:

Examiner Note: Please attach an interview summary when necessary as described above.

Issue Classification 	Application/Control No. 14692530	Applicant(s)/Patent Under Reexamination DUGONI, GRAHAM	
	Examiner WILLIAM D CUMMING	Art Unit 2645	

CPC					
Symbol				Type	Version
H04M		1	/ 72577	F	2013-01-01
H04W		12	/ 08	I	2013-01-01
H04M		1	/ 72572	A	2013-01-01
G06K		19	/ 005	I	2013-01-01
G06K		19	/ 0723	I	2013-01-01
H04M		1	/ 185	A	2013-01-01
H04M		1	/ 667	I	2013-01-01

CPC Combination Sets				
Symbol	Type	Set	Ranking	Version

NONE		Total Claims Allowed:	
		9	
(Assistant Examiner)	(Date)		
/WILLIAM D CUMMING/ Primary Examiner.Art Unit 2645	09/06/2017	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	6

Issue Classification 	Application/Control No. 14692530	Applicant(s)/Patent Under Reexamination DUGONI, GRAHAM
	Examiner WILLIAM D CUMMING	Art Unit 2645

US ORIGINAL CLASSIFICATION						INTERNATIONAL CLASSIFICATION													
CLASS		SUBCLASS				CLAIMED					NON-CLAIMED								
455		418				H	0	4	M	3 / 00 (2006.0)									
CROSS REFERENCE(S)						H	0	4	M	1 / 00 (2006.01.01)									
CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)																		
455	575.8																		

NONE		Total Claims Allowed:	
		9	
(Assistant Examiner)	(Date)		
/WILLIAM D CUMMING/ Primary Examiner.Art Unit 2645	09/06/2017	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	6

Issue Classification 	Application/Control No. 14692530	Applicant(s)/Patent Under Reexamination DUGONI, GRAHAM
	Examiner WILLIAM D CUMMING	Art Unit 2645

☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47															
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
	1	9	17												
	2														
	3														
	4														
	5														
	6														
	7														
1	8														
2	9														
	10														
3	11														
4	12														
5	13														
6	14														
7	15														
8	16														

NONE		Total Claims Allowed:	
		9	
(Assistant Examiner)	(Date)		
/WILLIAM D CUMMING/ Primary Examiner.Art Unit 2645	09/06/2017	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	6

<i>Index of Claims</i> 	Application/Control No. 14692530	Applicant(s)/Patent Under Reexamination DUGONI, GRAHAM
	Examiner WILLIAM D CUMMING	Art Unit 2645

✓	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	O	Objected

☐ Claims renumbered in the same order as presented by applicant					☐ CPA		☐ T.D.		☐ R.1.47	
CLAIM		DATE								
Final	Original	09/30/2016	05/02/2017	09/06/2017						
	1	✓	✓	-						
	2	✓	✓	-						
	3	✓	✓	-						
	4	✓	✓	-						
	5	✓	✓	-						
	6	✓	✓	-						
	7	✓	✓	-						
1	8	✓	O	=						
2	9	✓	O	=						
	10	✓	✓	-						
3	11	✓	O	=						
4	12	✓	✓	=						
5	13	✓	✓	=						
6	14	✓	✓	=						
7	15	✓	✓	=						
8	16	✓	✓	=						
9	17	✓	✓	=						

Search Notes 	Application/Control No. 14692530	Applicant(s)/Patent Under Reexamination DUGONI, GRAHAM
	Examiner WILLIAM D CUMMING	Art Unit 2645

CPC- SEARCHED		
Symbol	Date	Examiner
H04W 24/00	9/30/2016	WDC
update	9/6/2017	wdc

CPC COMBINATION SETS - SEARCHED		
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED			
Class	Subclass	Date	Examiner
455	418, 575.8	9/30/2016	WDC
update		9/6/2017	wdc

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

SEARCH NOTES		
Search Notes	Date	Examiner

INTERFERENCE SEARCH			
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
455	418, 575.8	9/6/2017	wdc
H04W	24/00	9/6/2017	WDC

	/WILLIAM D CUMMING/ Primary Examiner.Art Unit 2645
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United States Patent and Trademark Office
4000 CHAMBERS STREET, SUITE 1000
ALEXANDRIA, VIRGINIA 22304-1400
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

100072 0980 09/18/2017
Ornid E. Khalifeh
10566 Wilshire Blvd, Suite 400
Los Angeles, CA 90024

EXAMINER
CUMMING, WILLIAM D

ART UNIT PAPER NUMBER
3645

DATE MAILED: 09/18/2017

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14,692,536	06/21/2015	Graham Dugoni	012915	3827

TITLE OF INVENTION: System and Apparatus for Selectively Limiting User Content of an Electronic Device

APPL. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	MICRO	\$240	\$0	\$0	\$240	12/18/2017

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 3 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Do not use Block 1 for any change of address)

143672 7590 09/18/2017
Omid E. Khalifeh
10866 Wilshire Blvd, Suite 400
Los Angeles, CA 90024

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted transmitted to the USPTO (571) 273-2885, on the date indicated below:

Omid E. Khalifeh (Signature)
 10/13/2017 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DCKET NO.	CONFIRMATION NO.
14/692,530	04/21/2015	Graham Engoul	012915	3927

TITLE OF INVENTION: System and Apparatus for Selectively Limiting User Control of an Electronic Device

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEES DUE	DATE DUE
nonprovisional	MICRO	\$240	\$0	\$0	\$240	12/18/2017

EXAMINER	AFT UNIT	CLASS-SUBCLASS
CUMMING, WILLIAM D	2645	455-455800

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.335)

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47, Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) The names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Omid Legal Group
Omid E. Khalifeh

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
- ☒ Payment by credit card. Form PTO-2058 is attached.
- ☐ The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ Applicant certifying micro entity status. See 37 CFR 1.29
- ☒ Applicant asserting small entity status. See 37 CFR 1.27
- ☐ Applicant choosing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33, see 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature

Typed or printed name

Omid E. Khalifeh

Date

Registration No.

10/13/2017

67,018

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1400
Alexandria, Virginia 22304-1400
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY INVENT NO.	CONFIRMATION NO.
14/892,330	04/21/2015	Graham Eugene	001915	3927

(P)002 7996 09/18/2017
Omid E. Khalifeh
10866 Wilshire Blvd, Suite 400
Los Angeles, CA 90024

EXAMINER

CURRISON, WILLIAM D

ART UNIT PAPER NUMBER

2645

DATE MAILED: 09/18/2017

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal				
Application Number:		14692530		
Filing Date:		21-Apr-2015		
Title of Invention:		System and Apparatus for Selectively Limiting User Control of an Electronic Device		
First Named Inventor/Applicant Name:		Graham Dugoni		
Filer:		Omid E. Khalifeh		
Attorney Docket Number:		012915		
Filed as Small Entity				
Filing Fees for Utility under 35 USC 111(a)				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
UTILITY APPL ISSUE FEE	2501	1	480	480

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				480

Electronic Acknowledgement Receipt

EFS ID:	30657772
Application Number:	14692530
International Application Number:	
Confirmation Number:	3927
Title of Invention:	System and Apparatus for Selectively Limiting User Control of an Electronic Device
First Named Inventor/Applicant Name:	Graham Dugoni
Customer Number:	103072
Filer:	Omid E. Khalifeh
Filer Authorized By:	
Attorney Docket Number:	012915
Receipt Date:	13-OCT-2017
Filing Date:	21-APR-2015
Time Stamp:	21:05:55
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$480
RAM confirmation Number	101617INTEFSW21063800
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	NOA.pdf	969085	no	4
			87b302a821e9805cd25faef27a985544d2084a51		
Warnings:					
Information:					
2	Fee Worksheet (SB06)	fee-info.pdf	30369	no	2
			515ce7f08bf2c8f907e6ce8a436d91f3cb39476		
Warnings:					
Information:					
Total Files Size (in bytes):			999454		
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.					



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/692,530	11/14/2017	9819788	012915	3927

103072 7590 10/25/2017
Omid E. Khalifeh
10866 Wilshire Blvd. Suite 400
Los Angeles, CA 90024

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment is 20 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site <http://pair.uspto.gov> for additional applicants):

Graham Dugoni, San Francisco, CA;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit SelectUSA.gov.

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court _____ for the Central District of California _____ on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 5:21-cv-2105	DATE FILED 12/16/2021	U.S. DISTRICT COURT for the Central District of California
PLAINTIFF FOCALLY LLC, a Delaware limited liability company; and GRAHAM DUGONI, an individual		DEFENDANT WIN ELEMENTS LLC, a California limited liability company; JOHN NGUYEN, an individual; and DOES 1-10, inclusive
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 9,819,788	11/14/2017	Graham Dugoni
2		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
2			
3			
4			
5			

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE
-------	-------------------	------

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Graham Dugoni
App. No. : 14/692,530
Pat. No. : 9,819,788
Filed : 10/25/2017
For : SYSTEM AND APPARATUS
FOR SELECTIVELY LIMITING
USER CONTROL OF AN ELEC-
TRONIC DEVICE

**CERTIFICATE OF EFS WEB
TRANSMISSION**

I hereby certify that this correspondence, and any other attachment noted on the automated Acknowledgement Receipt, is being transmitted from within the Pacific Time zone to the Commissioner for Patents via EFS Web server on:

February 14, 2022

(Date)

/Omid E. Khalifeh/

Omid E. Khalifeh, Reg. No. 67,018

PETITION TO ACCEPT UNINTENTIONALLY

DELAYED DOMESTIC PRIORITY CLAIM UNDER 37 CFR 1.78(e)

Mail Stop Petitions

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Director:

Applicant hereby petitions the Director to accept an unintentionally delayed claim under 35 U.S.C. § 120 for the benefit of a prior-filed provisional application. More particularly, Applicant set forth the following claim of priority in the first sentences of its non-provisional patent application as captioned above:

Pat. No.: 8,465,496

Filing Date: April 5, 2011

Pursuant to 35 USC 119, this application claims the right of priority to Provisional Patent Application Ser. No. 61/982,789 filed on Apr. 22, 2014. The content of said application is incorporated herein by reference in its entirety.

However, Applicant did not set forth specific reference to the priority document in an Application Data Sheet. This oversight was entirely unintentional.

Applicant hereby respectfully requests acceptance of its claim that pursuant to 35 U.S.C. § 120, its Patent No. 9,819,788 claims the right of priority to Provisional Patent Application Ser. No. 61/982,789, filed on Apr. 22, 2014, and further assurance that the content of said application is incorporated therein by reference in its entirety.

The entire delay between the date the benefit claim was due under 37 CFR 1.78(a)(4) and the date the benefit claim was filed, by way of this petition, was unintentional.

Additionally, a Certificate of Correction is submitted herewith to correct inadvertent reference to 35 USC 119, rather than 35 U.S.C. § 120, in the Cross-Reference to Related Applications reflected in Applicant's Specification as filed.

A petition fee as set forth in 37 CFR 1.17(m) and a fee for certificate of correction under 37 CFR 1.20(a) are submitted concurrent with this petition.

Respectfully submitted,

OMNI LEGAL GROUP

Dated: February 14, 2022

By: /Omid E. Khalifeh/

Omid E. Khalifeh

Registration No. 67,018

Attorney of Record

(310) 276-6664

Electronic Patent Application Fee Transmittal				
Application Number:		14692530		
Filing Date:		21-Apr-2015		
Title of Invention:		System and Apparatus for Selectively Limiting User Control of an Electronic Device		
First Named Inventor/Applicant Name:		Graham Dugoni		
Filer:		Ariana Kristine Santoro		
Attorney Docket Number:		012915		
Filed as Small Entity				
Filing Fees for Utility under 35 USC 111(a)				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
PET. DELAY SUB OR RESTORE PRIORITY-CLAIM	2454	1	1050	1050
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
CERTIFICATE OF CORRECTION	2811	1	160	160
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				1210

Electronic Acknowledgement Receipt

EFS ID:	44995057
Application Number:	14692530
International Application Number:	
Confirmation Number:	3927
Title of Invention:	System and Apparatus for Selectively Limiting User Control of an Electronic Device
First Named Inventor/Applicant Name:	Graham Dugoni
Customer Number:	103072
Filer:	Ariana Kristine Santoro
Filer Authorized By:	
Attorney Docket Number:	012915
Receipt Date:	15-FEB-2022
Filing Date:	21-APR-2015
Time Stamp:	00:12:34
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$1210
RAM confirmation Number	E20222E113080712
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Post Allowance Communication - Incoming	YondrCorrection.pdf	163387	no	2
			944a25ab48c5609283d6a60c2ed08d7a71b0af0e		
Warnings:					
Information:					
2	Petition for review by the Office of Petitions	YondrPriorityPetition.pdf	92988	no	2
			7e3bba4b2a83a2bd5141608a767718bfa47cc065		
Warnings:					
Information:					
3	Fee Worksheet (SB06)	fee-info.pdf	40497	no	2
			796b64d0265eb75c1b141d77a48fef2bb36f836a		
Warnings:					
Information:					
Total Files Size (in bytes):			296872		
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.					

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 9,819,788

APPLICATION NO.: 14/692,530

ISSUE DATE : 11/14/2017

INVENTOR(S) : Graham Dugoni

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

CROSS-REFERENCE TO RELATED APPLICATIONS

Pursuant to ~~35 USC 119~~ 35 U.S.C. § 120, this application claims the right of priority to Provisional Patent Application Ser. No. 61/982,789 filed on Apr. 22, 2014. The content of said application is incorporated herein by reference in its entirety.

MAILING ADDRESS OF SENDER (Please do not use Customer Number below):

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7197789

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	GRAHAM DUGONI	02/25/2022
RECEIVING PARTY DATA		
Name:	YONDR, INC.	
Street Address:	330 N BRAND BLVD., SUITE 700	
City:	GLENDALE	
State/Country:	CALIFORNIA	
Postal Code:	91203	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	14692530
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	(310)276-6664	
Email:	info@omnilegalgroup.com	
Correspondent Name:	OMID E. KHALIFEH	
Address Line 1:	2029 CENTURY PARK EAST, SUITE 400	
Address Line 4:	LOS ANGELES, CALIFORNIA 90067	
NAME OF SUBMITTER:	ARIANA SANTORO	
SIGNATURE:	/Ariana Santoro/	
DATE SIGNED:	02/28/2022	
	This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 2		
source=Yondr patent assignment(Executed)#page1.tif		
source=Yondr patent assignment(Executed)#page2.tif		

PATENT ASSIGNMENT

Whereas, GRAHAM DUGONI (an individual, "ASSIGNOR"), whose mailing address is PO Box 170612, San Francisco, CA 94117, is the owner of patent applications and any Letters Patent which have been or may be in the future issued therefrom for the following Inventions:

- **SYSTEM AND APPARATUS FOR SELECTIVELY LIMITING USER CONTROL OF AN ELECTRONIC DEVICE**, described in United States Patent Application Number 14/692,530 filed on April 21, 2015.

Whereas, ASSIGNOR desires to convey, transfer, assign, deliver, and contribute, jointly, to YONDR, INC. (a corporation, "ASSIGNEE"), whose address is 330 N Brand Blvd, Suite 700, Glendale, CA 91203, all of his right, title, and interest in and to the Inventions.

Now, therefore, in consideration of the sum of \$1.00 USD, the receipt whereof is acknowledged, and other good and valuable consideration, I the owner, by these presents do sell, assign and transfer unto said ASSIGNEE the full and exclusive right to the said inventions in the United States and the entire rights, title and interest in and to any and all Patents which have been or may be granted therefore in the United States.

ASSIGNOR concurrently hereby sells, assigns, and transfers to ASSIGNEES the entire right, title, and interest in and to the Property for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that have been or may be granted in said countries foreign to the United States for said inventions.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents to issue the same to ASSIGNEE, in accordance with the terms of this instrument, the entire right, title, and interest in and to the same, for their use and behoof; and for the use and behoof of its successors, legal representatives, and assigns, to the full end of the term for which said Inventions may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

///

///

ASSIGNOR hereby covenants and agrees that it has the full right to convey the entire interest herein assigned, and that ASSIGNOR has not executed, and will not execute, any agreement in conflict herewith.

DocuSigned by:

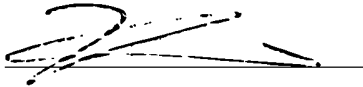
00E0EA9CA6164B4...

2/25/2022

Graham Dugoni, Inventor

Date

Before me appeared Omid E. Khalifeh, Esq. and acknowledged the foregoing instrument to be the free act and deed of the applicants.



2/25/2022

Date



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/692,530	04/21/2015	Graham Dugoni	012915	3927
103072	7590	07/12/2022	EXAMINER	
Omid E. Khalifeh 2029 Century Park East Suite 400 Los Angeles, CA 90067			CUMMING, WILLIAM D	
			ART UNIT	PAPER NUMBER
			2645	
			MAIL DATE	DELIVERY MODE
			07/12/2022	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

In re Patent No. 9,819,788
Issue Date: 14 Nov 2017
Application No. 14/692,530
Filing or 371(c) Date: 21 Apr 2015
Attorney Docket No. 012915

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:
:
:
:

REQUEST FOR INFORMATION

This is a request for clarifying information regarding the petition requesting the acceptance of an unintentional delayed priority claim, filed under 37 CFR 1.78, on February 15, 2022.

On January 18, 2022, paper(s) was/were filed indicating that there is a district court proceeding which involves this patent.

In order to receive a decision on the petition filed on February 15, 2022, petitioner is required to respond to this notice with the following information: 1) a confirmation or denial that the district court proceeding is ongoing; 2) an identification of any other ongoing court proceeding(s) which involve this patent; 3) for any ongoing court proceeding identified in this notice or in the response, petitioner should additionally confirm that a) the court(s) has/have been alerted that the petition was filed and b) that the court(s) does/do not object to the USPTO issuing a decision on the petition filed February 15, 2022; and 4) any other information including any documents or other evidence that may reasonably be deemed material to the decision on the petition.

Telephone inquiries concerning this notice may be directed to Anna Pagonakis at (571) 270-3505.

/Cheryl Gibson-Baylor/
Cheryl Gibson-Baylor
Office of Petitions

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7500373

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT		
CONVEYING PARTY DATA			
Name			Execution Date
GRAHAM DUGONI			08/23/2022
RECEIVING PARTY DATA			
Name:	YONDR, INC.		
Street Address:	330 N BRAND BLVD., SUITE 700		
City:	GLENDALE		
State/Country:	CALIFORNIA		
Postal Code:	91203		
PROPERTY NUMBERS Total: 3			
Property Type	Number		
Patent Number:	9819788		
Patent Number:	10623957		
Application Number:	16813437		
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	3102766664		
Email:	info@omnilegalgroup.com		
Correspondent Name:	OMID E. KHALIFEH		
Address Line 1:	2029 CENTURY PARK EAST, SUITE 438		
Address Line 4:	LOS ANGELES, CALIFORNIA 90067		
NAME OF SUBMITTER:	ARIANA SANTORO		
SIGNATURE:	/Ariana Santoro/		
DATE SIGNED:	08/23/2022		
	This document serves as an Oath/Declaration (37 CFR 1.63).		
Total Attachments: 2			
source=Confirmatory Yondr Patent Assignment#page1.tif			
source=Confirmatory Yondr Patent Assignment#page2.tif			

CONFIRMATORY PATENT ASSIGNMENT

Whereas, GRAHAM DUGONI (an individual, “ASSIGNOR”), whose mailing address is PO Box 170612, San Francisco, CA 94117, is the owner of patent applications and any Letters Patent which have been or may be in the future issued therefrom for the following Inventions:

- SYSTEM AND APPARATUS FOR SELECTIVELY LIMITING USER CONTROL OF AN ELECTRONIC DEVICE, described in United States Patent Application Number 14/692,530 filed on April 21, 2015, and which was granted on November 17, 2017 as United States Patent Number 9,819,788.
- SYSTEM AND APPARATUS FOR SELECTIVELY LIMITING USER CONTROL OF AN ELECTRONIC DEVICE, described in United States Patent No. 9819788, issued on November 14, 2017;
- SYSTEM AND APPARATUS FOR SELECTIVELY LIMITING USER CONTROL OF AN ELECTRONIC DEVICE, described in United States Patent No. 10623957 issued on April 14, 2020; and
- SYSTEM AND APPARATUS FOR SELECTIVELY LIMITING USER CONTROL OF AN ELECTRONIC DEVICE, described in United States Patent app. no. 16813437 filed on March 9, 2020.

Whereas, ASSIGNOR desires to convey, transfer, assign, deliver, and contribute, jointly, to YONDR, INC. (a corporation, “ASSIGNEE”), whose address is 330 N Brand Blvd, Suite 700, Glendale, CA 91203, all of his right, title, and interest in and to the Inventions.

Now, therefore, in consideration of the sum of \$1.00 USD, the receipt whereof is acknowledged, and other good and valuable consideration, I the owner, by these presents do sell, assign and transfer unto said ASSIGNEE the full and exclusive right to the said inventions in the United States and the entire rights, title and interest in and to any and all Patents which have been or may be granted therefore in the United States.

ASSIGNOR concurrently hereby sells, assigns, and transfers to ASSIGNEES the entire right, title, and interest in and to the Property for all countries foreign to the United States, including all rights of priority arising from the application(s) aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that have been or may be granted in said countries foreign to the United States for said inventions. For the avoidance of doubt, ASSIGNEE is hereby assigned all causes of action and enforcement rights for the

Property including all rights to pursue damages, injunctive relief, and other remedies for past and future infringement of the Property.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents to issue the same to ASSIGNEE, in accordance with the terms of this instrument, the entire right, title, and interest in and to the same, for their use and behoof; and for the use and behoof of its successors, legal representatives, and assigns, to the full end of the term for which said Inventions may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants and agrees that it has the full right to convey the entire interest herein assigned, and that ASSIGNOR has not executed, and will not execute, any agreement in conflict herewith.

DocuSigned by:

 00F0EA9CA6464B4...

8/23/2022

Graham Dugoni, Inventor

Date

DocuSigned by:

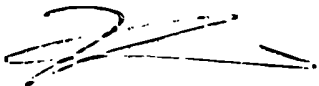
 00E0EA9CA6464B4...

8/23/2022

Graham Dugoni, Authorized Representative
 Yondr, Inc.

Date

Before me appeared Omid E. Khalifeh, Esq. and acknowledged the foregoing instrument to be the free act and deed of the applicants.



8/23/2022

Date

Confirmatory Patent Assignment
 Page 2 of 2

Be Smarter Ex1004-164

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**CERTIFICATE OF EFS WEB
TRANSMISSION**

Applicant : Graham Dugoni
App. No. : 14/692,530
Pat. No. : 9,819,788
Filed : 10/25/2017
For : SYSTEM AND APPARATUS
FOR SELECTIVELY LIMITING
USER CONTROL OF AN ELEC-
TRONIC DEVICE
Conf. No. : 3927

I hereby certify that this correspondence, and any other attachment noted on the automated Acknowledgement Receipt, is being transmitted from within the Pacific Time zone to the Commissioner for Patents via EFS Web server on:

August 24, 2022

(Date)

/Omid E. Khalifeh/

Omid E. Khalifeh, Reg. No. 67,018

RESPONSE TO REQUEST FOR INFORMATION

Mail Stop Petitions

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Miss Gibson-Baylor:

In response to the July 12, 2022 Request for Information, please accept Petitioner's response regarding the February 15, 2022 petition requesting the acceptance of an unintentionally delayed priority claim filed under 37 CFR § 1.78. Petitioner provides the following clarifying information:

Pat. No.: 9,819,788

Filing Date: April 21, 2015

- 1.) Petitioner confirms that the Central District of California (the “Court”) proceeding disclosed to the USPTO on January 28, 2022, pursuant to 35 U.S.C. § 290, is ongoing.
- 2.) No other proceeding involving Patent No. 9,819,788 is ongoing.
- 3a.) Petitioner alerted the Court on August 17, 2022 of the Petition currently pending before the USPTO.
- 3b.) Petitioner has no knowledge that the Court objects to the USPTO issuing a decision on the Petition.
- 4.) Please find submitted herewith a conformed copy of the August 17, 2022 filing with the Court. *See* Appendix A.

In light of the foregoing clarifying information, Petitioner respectfully requests that the Petition be granted. If additional clarifying information is necessary, please contact Petitioner’s attorney at the number below.

Respectfully submitted,

OMNI LEGAL GROUP

Dated: August 24, 2022

By: /Omid E. Khalifeh/

Omid E. Khalifeh

Registration No. 67,018

Attorney of Record

(310) 276-6664

Pat. No.: 9,819,788

Filing Date: April 21, 2015

Appendix A

1 Omid E. Khalifeh, SBN 267340
Ariana Santoro, SBN 300767
2 Lara A. Petersen, SBN 318475
3 **OMNI LEGAL GROUP**
2029 Century Park E, Suite 438
4 Los Angeles, California 90067
5 Phone: 310.276.6664
Facsimile: 310.305.1550
6 omid@omnilg.com
7 ariana@omnilg.com
lara@omnilg.com

8 Attorneys for Plaintiff,
9 YONDR, INC.

10
11 **UNITED STATES DISTRICT COURT**
12 **FOR THE CENTRAL DISTRICT OF CALIFORNIA**

13 Case No.: 5:21-cv-2105-JGB (KKx)

14 YONDR, INC., a Delaware corporation,

15
16 Plaintiff,

17 vs.

18 WIN ELEMENTS LLC, a California
19 limited liability company; JOHN
20 NGUYEN, an individual; and DOES 1-
21 10, inclusive,

22 Defendants.
23
24
25
26
27

**NOTICE OF PENDENCY OF
OTHER ACTION OR
PROCEEDING**

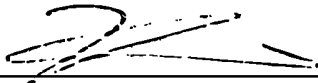
1 Pursuant to Local Rule 83-1.4, the undersigned counsel of record for Plaintiff
2 YONDR, INC. ("Plaintiff"), states that a Certificate of Correction for U.S. Patent
3 No. 9,819,788 (the "Patent") is pending before the Office of Petitions at the United
4 States Patent and Trademark Office (USPTO).

5 The Certificate of Correction is to correct priority in Plaintiff's Patent
6 because of an inadvertent error in the non-provisional patent application. As Plaintiff
7 has accused the above-named Defendants of infringing the Patent, notice is provided
8 to this Court of the aforementioned petition pending before the USPTO.

9 Plaintiff's counsel represents Plaintiff in all proceedings related to the Patent
10 before the USPTO and may be contacted regarding the matter.

11
12 RESPECTFULLY SUBMITTED this 17th day of August, 2022.

13
14 **OMNI LEGAL GROUP**

15
16 

17 Omid E. Khalifeh
18 Ariana Santoro
19 Lara A. Petersen
20 Attorneys for Plaintiff,
21 Yondr, Inc.
22
23
24
25
26
27

Electronic Acknowledgement Receipt

EFS ID:	46479174
Application Number:	14692530
International Application Number:	
Confirmation Number:	3927
Title of Invention:	System and Apparatus for Selectively Limiting User Control of an Electronic Device
First Named Inventor/Applicant Name:	Graham Dugoni
Customer Number:	103072
Filer:	Ariana Kristine Santoro
Filer Authorized By:	
Attorney Docket Number:	012915
Receipt Date:	24-AUG-2022
Filing Date:	21-APR-2015
Time Stamp:	16:33:42
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
------------------------	----

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	YondrRespReqInfo.pdf	269819 74bb2e43b270d234a132a3c042f5eb1a21b2fa53	no	5

Warnings:

Information:		
Total Files Size (in bytes):		269819
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Graham Dugoni

Application No.: 14/692,530

Filed: April 21, 2015

Patent No.: 9,819,788 B2

Issued: November 14, 2017

For: SYSTEM AND APPARATUS FOR
SELECTIVELY LIMITING USER
CONTROL OF AN ELECTRONIC
DEVICE

Examiner: William D. Cumming

Art Unit: 2645

Confirmation No. 3927

REQUEST FOR CERTIFICATE OF CORRECTION

Pursuant to 37 C.F.R. §1.323, Patentee submits herewith a Request for a Certificate of Correction for the above-identified patent. The attached Certificate of Correction corrects minor errors in Claims 1 and 2 as follows:

In the Claims:

Col. 10, lines 52-53 [Claim 1] "...electronic device; ..." should read – "...electronic device until a predetermined condition is met; ..."

Col. 10, lines 64-65 [Claim 2] "...electronic device; ..." should read – "...electronic device until a predetermined condition is met; ..."

On May 5, 2017, Claims 8 and 9, which were renumbered to claims 1 and 2 at issuance, were noted as allowable if rewritten in independent form. Applicant's

September 1, 2017 response intended to claim the allowable subject matter by modifying then Claims 8 and 9 into independent claims including all of the limitations of the base claim (Claim 7). However, due to a typographical error, the language "...until a predetermined condition is met..." was excluded from both claims. That language was present in Claim 7, but was inadvertently omitted from the amendments to then Claims 8 and 9.

Issued Claims 1 and 2 fall within the scope of the allowable subject matter based on the May 5, 2017 Office Action, and the amendments do not constitute new matter or require re-examination.

The fee set forth in 37 CFR §1.20(a) is submitted herewith. Please charge any additional fees that may be required in connection with this paper, or credit any overpayment to the undersigned's deposit account No. 500393.

Respectfully submitted,
SCHWABE, WILLIAMSON & WYATT, P.C.

Dated: February 7, 2023

/Steven J. Prewitt/
Steven J. Prewitt
Reg. No. 45023
Attorney for Patentee

Pacwest Center, Suite 1900
1211 SW Fifth Avenue
Portland, Oregon 97204
Telephone: 503-222-9981

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 9,819,788 B2
APPLICATION NO.: 14/692,530
ISSUE DATE : November 14, 2017
INVENTOR(S) : Graham Dugoni

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Claims:

Col. 10, lines 52-53 [Claim 1] "...electronic device; ..." should read – "...electronic device until a predetermined condition is met; ..."
Col. 10, lines 64-65 [Claim 2] "...electronic device; ..." should read – "...electronic device until a predetermined condition is met; ..."

MAILING ADDRESS OF SENDER (Please do not use Customer Number below):

SCHWABE WILLIAMSON & WYATT
1211 SW Fifth Avenue, Pacwest Center, Suite 1900
Portland, OR 97204

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Be Smarter Ex1004-174

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal				
Application Number:		14692530		
Filing Date:		21-Apr-2015		
Title of Invention:		System and Apparatus for Selectively Limiting User Control of an Electronic Device		
First Named Inventor/Applicant Name:		Graham Dugoni		
Filer:		Steven Johnson Prewitt/Melissa Canich		
Attorney Docket Number:		012915		
Filed as Small Entity				
Filing Fees for Utility under 35 USC 111(a)				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
CERTIFICATE OF CORRECTION	2811	1	160	160

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				160

Electronic Acknowledgement Receipt

EFS ID:	47490070
Application Number:	14692530
International Application Number:	
Confirmation Number:	3927
Title of Invention:	System and Apparatus for Selectively Limiting User Control of an Electronic Device
First Named Inventor/Applicant Name:	Graham Dugoni
Customer Number:	103072
Filer:	Steven Johnson Prewitt/Melissa Canich
Filer Authorized By:	Steven Johnson Prewitt
Attorney Docket Number:	012915
Receipt Date:	07-FEB-2023
Filing Date:	21-APR-2015
Time Stamp:	16:47:28
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	\$ 160
RAM confirmation Number	E202327G48233683
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	P001_POA_02-07-2023.pdf	199711	no	2
			79207397dede2c695b503a0508200f61746b88d9		
Warnings:					
Information:					
2	Assignee showing of ownership per 37 CFR 3.73	P001_373_Statement_02-07-2023.pdf	195618	no	3
			de37c7177284b2271bfe641db073da7cf2ef247b		
Warnings:					
Information:					
3	Request for Certificate of Correction	P001_Req_for_COC_02-07-2023.pdf	255660	no	4
			e4acfd75cec323f1faadffd389daa71968c87a7		
Warnings:					
Information:					
4	Fee Worksheet (SB06)	fee-info.pdf	38159	no	2
			3412b2d486f27fb4fd3e7e73ef9d120e5ba68bd5		
Warnings:					
Information:					
Total Files Size (in bytes):			689148		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c).

I hereby appoint:

☒ Practitioners associated with Customer Number: 25943

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

Name	Registration Number

As attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(c).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(c) to:

☒ The address associated with Customer Number: 25943

OR

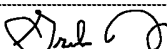
☐	Firm or individual name		
	Address		
	City	State	Zip
	Country		
	Telephone	Email	

Assignee name and address: Yondr, Inc.
330 N. Brand Blvd., Suite 700
Glendale, CA 91203

A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of the practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee.

Signature 

Date

Name Graham Dugoni

Telephone

Title Chief Executive Officer

This collection of information is required by 37 CFR 1.31, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public, which is to update (and by the USPTO to process) the file of a patent or reexamination proceeding. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 18 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

if you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)

Applicant/Patent Owner: Graham Dugoni

Application No./Patent No.: 9,819,788

Filed/Issue Date: 2017-11-14

Titled: SYSTEM AND APPARATUS FOR SELECTIVELY LIMITING USER CONTROL OF AN ELECTRONIC DEVICE

Yondr, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.

2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):

☐ The extent (by percentage) of its ownership interest is ____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.

☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 059116, Frame 0589, or for which a copy thereof is attached.

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Be Smarter Ex1004-183

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet(s).☒ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Steven J. Prewitt/

2023-02-07

Signature

Date

Steven J. Prewitt

45023

Printed or Typed Name

Title or Registration Number

[Page 2 of 2]

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/692,530	04/21/2015	Graham Dugoni	012915

25943
SCHWABE WILLIAMSON & WYATT
PACWEST CENTER, SUITE 1900
1211 SW FIFTH AVENUE
PORTLAND, OR 97204

CONFIRMATION NO. 3927
POA ACCEPTANCE LETTER



Date Mailed: 02/16/2023

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 02/07/2023.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/sleutchit/

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c).

I hereby appoint:

☒ Practitioners associated with Customer Number: 32361

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

Name	Registration Number

As attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(c).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(c) to:

☒ The address associated with Customer Number: 32361

OR

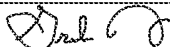
☐	Firm or individual name		
	Address		
	City	State	Zip
	Country		
	Telephone	Email	

Assignee name and address: Yondr, Inc.
12503 Venice Boulevard, Los Angeles, CA 90066

A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of the practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee.

Signature 

Date 2/22/2024

Name Graham Dugoni

Telephone 5033123319

Title CEO

A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995, unless the information collection has a currently valid OMB Control Number. The OMB Control Number for this information collection is 0651-0035. Public burden for this form is estimated to average 18 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to the Chief Administrative Officer, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 or email InformationCollection@uspto.gov. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.** If filing this completed form by mail, send to: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



ELECTRONIC ACKNOWLEDGEMENT RECEIPT

APPLICATION #
14/692,530

RECEIPT DATE / TIME
02/23/2024 08:08:31 AM Z ET

ATTORNEY DOCKET #
012915

Title of Invention

System and Apparatus for Selectively Limiting User Control of an Electronic Device

Application Information

APPLICATION TYPE Utility - Nonprovisional Application
under 35 USC 111(a)

PATENT # 9819788

CONFIRMATION # 3927

FILED BY Judi Cordo

PATENT CENTER # 64421657

FILING DATE 04/21/2015

CUSTOMER # 25943

FIRST NAMED
INVENTOR Graham Dugoni

CORRESPONDENCE
ADDRESS -

AUTHORIZED BY Nicholas Martin

Documents

TOTAL DOCUMENTS: 2

DOCUMENT	PAGES	DESCRIPTION	SIZE (KB)
222329_030001_power.pdf	1	Power of Attorney	157 KB
222329_030001_assignee_statement.pdf	2	Assignee showing of ownership per 37 CFR 3.73	88 KB

Digest

DOCUMENT	MESSAGE DIGEST(SHA-512)
222329_030001_power.pdf	77DFCE151460BD8625943152DB488DA695751FA2A0D93A55B033602596BD5735B329712E66DC54B98B0EF047A48677447B2468C4DCF1729007ACB5A9CE409334
222329_030001_assignee_statement.pdf	30D3A0F21C50456873AEB623512D020E1941F0E769ADDC8AD1C26F8E909D0431133C15E1E465730D35D55F7116345C31065

DAB6299FEAAA421D9F95247AD0B39

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)Applicant/Patent Owner: YONDR, INC.Application No./Patent No.: 14/692,530 / 9,819,788Filed/Issue Date: April 21, 2015 / November 14, 2017Titled: System and Apparatus for Selectively Limiting User Control of an Electronic DeviceYONDR, INC., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.
2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):
- ☐ The extent (by percentage) of its ownership interest is ____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 059116, Frame 0589, or for which a copy thereof is attached.
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet(s).☐ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Nicholas Martin/

February 23, 2024

Signature

Date

Nicholas Martin

60,926

Printed or Typed Name

Title or Registration Number

[Page 2 of 2]



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/692,530	04/21/2015	Graham Dugoni	012915

CONFIRMATION NO. 3927

POA ACCEPTANCE LETTER



OC00000069081836

32361
GREENBERG TRAURIG (NY)
One Vanderbilt Avenue
NEW YORK, NY 10017

Date Mailed: 03/06/2024

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 02/23/2024.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/qmjackson/



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/692,530	04/21/2015	Graham Dugoni	012915

CONFIRMATION NO. 3927

POWER OF ATTORNEY NOTICE



OC000000069081796

25943
SCHWABE WILLIAMSON & WYATT
PACWEST CENTER, SUITE 1900
1211 SW FIFTH AVENUE
PORTLAND, OR 97204

Date Mailed: 03/06/2024

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 02/23/2024.

- The Power of Attorney to you in this application has been revoked by the applicant. Future correspondence will be mailed to the new address of record (37 CFR 1.33).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/qmjackson/