

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6345057

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
CLOYD WIRICK	10/09/2020
GREG P. JOSEPHSON	10/09/2020
DAVID F. SINGLE	10/12/2020
KERRY SAUTER	10/12/2020
RECEIVING PARTY DATA	
Name:	JAC OPERATIONS, INC.
Street Address:	TWO NORTH RIVERSIDE PLAZA
Internal Address:	SUITE 1300
City:	CHICAGO
State/Country:	ILLINOIS
Postal Code:	60606
PROPERTY NUMBERS Total: 3	
Property Type	Number
Application Number:	62650472
PCT Number:	US2019025190
Application Number:	17038205
CORRESPONDENCE DATA	
Fax Number:	(724)934-5461
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	7249345450
Email:	cbelleci@BLKLawGroup.com
Correspondent Name:	BLK LAW GROUP
Address Line 1:	3500 BROOKTREE ROAD
Address Line 2:	SUITE 200
Address Line 4:	WEXFORD, PENNSYLVANIA 19050
ATTORNEY DOCKET NUMBER:	JAC-FCA-1802
NAME OF SUBMITTER:	CATHERINE BELLECI
SIGNATURE:	/Catherine Belleci/
DATE SIGNED:	10/12/2020

	This document serves as an Oath/Declaration (37 CFR 1.63).
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Total Attachments: 5

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ASSIGNMENT

1. WHEREAS, we, Cloyd Wirick, residing in Mineral Point, County of Cambria, Commonwealth of Pennsylvania, Greg P. Josephson, residing in Salix, County of Cambria, Commonwealth of Pennsylvania, Dave Single residing in Portage, County of Cambria, Commonwealth of Pennsylvania and Kerry Sauter, residing in Sidman, County of Cambria, Commonwealth of Pennsylvania, and Robert J. Roxby, residing in Portage, County of Cambria, Commonwealth of Pennsylvania, have invented certain new and useful improvements in METHOD AND CAST COMPONENTS FOR COLD FORMED CENTER SILL RAIL CAR MODIFICATION PROGRAMS AND RAILCARS FORMED THEREBY, described and claimed in U.S. Provisional Patent Application filed March 30, 2018, bearing the Patent and Trademark number 62/650,472, International PCT Patent Application filed April 1, 2019 bearing Application number PCT/US19/25190 and U.S. Patent Application filed March 30, 2020, bearing Serial number 17/038,205 (herein "said applications");

II. AND, WHEREAS JAC Operations, Inc., a corporation of Delaware, having a place of business at Two North Riverside Plaza, Suite 1300 Chicago, Illinois 60606, hereinafter called the "assignee", is desirous of acquiring the entire right, title, and interest in and to said applications and the inventions and improvements therein disclosed.

III. NOW, THEREFORE, for good and valuable consideration paid to us by said assignee, the receipt of which is hereby acknowledged, we do hereby assign, sell, transfer, and set over unto said assignee our entire right, title and interest in and to said applications and the inventions and improvements therein disclosed for the United States and all foreign countries and all regular applications claiming the benefit of said applications, divisions, reissues, continuations, continuations-in-part, renewals, and/or extensions thereof, said assignee to have and to hold the interests herein assigned to the full ends of the terms of said regular applications claiming the benefit of said applications and said divisions, reissues, continuations, continuations-in-part, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.

IV. We covenant that we are the lawful owners of the said applications, inventions and improvements, that the same are unencumbered, except as the undersigned might be otherwise obligated to the assignee, that no license has been granted to make, use, or sell the said inventions or improvements or any of them, and that we have the full right to make this assignment.

V. And for the consideration aforesaid, we agree jointly and individually that we will communicate to said assignee or the representatives thereof any facts known to us respecting said inventions and improvements, and will, upon request, but without expense to us, testify in any legal proceedings, sign all lawful papers, execute all regular, divisional, reissue, continuation, continuation-in-part, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said assignee or by counsel for said assignee, to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned.

VI. I, the undersigned hereby assign my entire right, title and interest as set forth in sections I-V above. This assignment shall be binding upon my heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of said assignee.

Cloyd Wirick 10-9-2007
Cloyd Wirick /Date

VII. I, the undersigned hereby assign my entire right, title and interest as set forth in sections I-V above. This assignment shall be binding upon my heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of said assignee.

Greg P. Josephson /Date

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_____ Dave Single _____ /Date

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Kerry Sauter /Date

X. I, the undersigned hereby assign my entire right, title and interest as set forth in sections I-V above. This assignment shall be binding upon my heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of said assignee.

Robert J. Roxby /Date

ASSIGNMENT

I. WHEREAS, we, Cloyd Wirick, residing in Mineral Point, County of Cambria, Commonwealth of Pennsylvania, Greg P. Josephson, residing in Salix, County of Cambria, Commonwealth of Pennsylvania, Dave Single, residing in Portage, County of Cambria, Commonwealth of Pennsylvania and Kerry Sauter, residing in Sidman, County of Cambria, Commonwealth of Pennsylvania, and Robert J. Roxby, residing in Portage, County of Cambria, Commonwealth of Pennsylvania, have invented certain new and useful improvements in METHOD AND CAST COMPONENTS FOR COLD FORMED CENTER SILL RAIL CAR MODIFICATION PROGRAMS AND RAILCARS FORMED THEREBY, described and claimed in U.S. Provisional Patent Application filed March 30, 2018, bearing the Patent and Trademark number 62/650,472, International PCT Patent Application filed April 1, 2019 bearing Application number PCT/US19/25190 and U.S. Patent Application filed March 30, 2020, bearing Serial number 17/038,205 (herein "said applications");

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Cloyd Wirick

/Date

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Greg P. Josephson

/Date

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Dave Single

/Date

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Kerry Sauter

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Robert J. Roxby

/Date

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Cloyd Wirick

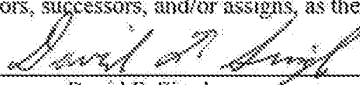
/Date

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Greg P. Josephson

/Date

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David F. Single

10-12-20

/Date

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Kerry Sauter

/Date

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Robert J. Roxby

/Date

ASSIGNMENT

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Cloyd Wirick

/Date

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Greg P. Josephson

/Date

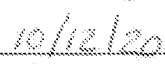
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Dave Single

/Date

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Kerry Sauter


/Date

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Robert J. Roxby

/Date

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Cloyd Wirick

/Date

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Greg P. Josephson

/Date

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Dave Single

/Date

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Kerry Sauter

/Date

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Robert J. Roxby
Robert J. Roxby

10-12-2020

/Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	METHOD AND CAST COMPONENTS FOR COLD FORMED CENTER SILL RAIL CAR MODIFICATION PROGRAMS AND RAILCARS FORMED THEREBY
As the below named inventor, I hereby declare that:	
This declaration is directed to: ☐ The attached application, or	
☒ United States application or PCT international application number <u>17/038,205</u>	
filed on <u>September 30, 2020</u>	
The above-identified application was made or authorized to be made by me.	
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.	
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.	
WARNING:	
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.	
LEGAL NAME OF INVENTOR	
Inventor: <u>Cloyd Wirick</u>	Date (Optional): <u>10-9-2020</u>
Signature: <u>Cloyd Wirick</u>	
Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.	

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. This information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	METHOD AND CAST COMPONENTS FOR COLD FORMED CENTER SILL RAIL CAR MODIFICATION PROGRAMS AND RAILCARS FORMED THEREBY
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As the below named inventor, I hereby declare that:

This declaration is directed to: ☐ The attached application, or
☒ United States application or PCT international application number 17/038,205
 filed on September 30, 2020

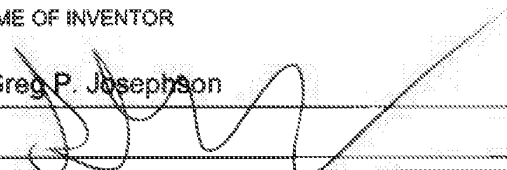
The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

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Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

LEGAL NAME OF INVENTOR	
Inventor: <u>Greg P. Josephson</u>	Date (Optional): <u>10/9/20</u>
Signature: 	

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	METHOD AND CAST COMPONENTS FOR COLD FORMED CENTER SILL RAIL CAR MODIFICATION PROGRAMS AND RAILCARS FORMED THEREBY
<p>As the below named inventor, I hereby declare that:</p> <p>This declaration is directed to: ☐ The attached application, or ☒ United States application or PCT international application number <u>17/038,205</u> filed on <u>September 30, 2020</u></p> <p>The above-identified application was made or authorized to be made by me.</p> <p>I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.</p> <p>I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.</p> <p style="text-align: center;">WARNING:</p> <p>Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.</p> <p>LEGAL NAME OF INVENTOR</p> <p>Inventor: <u>David F. Single</u> Date (Optional): <u>10-12-20</u></p> <p>Signature: <u><i>David F. Single</i></u></p> <p>Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.</p>	

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	METHOD AND CAST COMPONENTS FOR COLD FORMED CENTER SILL RAIL CAR MODIFICATION PROGRAMS AND RAILCARS FORMED THEREBY
As the below named inventor, I hereby declare that:	
This declaration is directed to: ☐ The attached application, or	
☒ United States application or PCT International application number <u>17/038,205</u>	
filed on <u>September 30, 2020</u>	
The above-identified application was made or authorized to be made by me.	
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.	
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.	
WARNING:	
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LEGAL NAME OF INVENTOR	
Inventor: <u>Kerry Sauter</u>	Date (Optional): <u>10/9/20</u>
Signature: <u>Kerry Sauter</u>	
Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.	

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	METHOD AND CAST COMPONENTS FOR COLD FORMED CENTER SILL RAIL CAR MODIFICATION PROGRAMS AND RAILCARS FORMED THEREBY
<p>As the below named inventor, I hereby declare that:</p> <p>This declaration is directed to: ☐ The attached application, or</p> <p style="margin-left: 150px;">☒ United States application or PCT international application number <u>17/038,205</u></p> <p style="margin-left: 150px;">filed on <u>September 30, 2020</u>.</p> <p>The above-identified application was made or authorized to be made by me.</p> <p>I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.</p> <p>I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.</p> <p style="text-align: center;">WARNING:</p> <p>Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.</p>	
<p>LEGAL NAME OF INVENTOR</p> <p>Inventor: <u>Robert J. Roxby</u> Date (Optional): <u>10-12-20</u></p> <p>Signature: <u><i>Robert J. Roxby</i></u></p>	
<p><small>Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.</small></p>	

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