

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number	14228061
	Filing Date	2014-03-27
	First Named Inventor	NGUYEN; Thien
	Art Unit	3772
	Examiner Name	MAI, HAO D
	Attorney Docket Number	084892-514248

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	1	5720275		1998-02-24	PATIL et al.	
	2	6672305		2004-01-06	PARKER; Jeffrey D.	
	3	10390734		2019-08-27	JOHNSON et al.	
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	1	20120015317		2012-01-19	MILO; Anton G. C.	
	2	20170156831		2017-06-08	REYES; Hari Mark	

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3	20170156833	2017-06-08	REYES; Hari Mark
4	20200155284	2020-05-21	BAKER; Gary

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FOREIGN PATENT DOCUMENTS

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	1	2992161	FR	A1	2013-12-27	BRAUD VINCENT [FR]		
	2	100654392	KR	B1	2006-12-06	KIM JAE SOO [KR]		×
	3	0042939	WO	A1	2000-07-27	ENGERON MICHAEL G [US]		☐
	4	0061031	WO	A1	2000-10-19	COSTELLO WILLIAM J [US]		☐
	5	2018126150	WO	A1	2018-07-05	NOVO DENT LLC [US]		☐

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NON-PATENT LITERATURE DOCUMENTS

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1	Docket No. INCEP-001COC U.S. Patent Application 14/228,054; Final Office Action mailed 1-3-22.
2	Docket No. INCEP-005 U.S. Patent Application 16/870,745 Non-Final Office Action mailed 12-3-21.

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☒ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Tam Thanh Pham/	Date (YYYY-MM-DD)	2022-03-02
Name/Print	Tam Thanh Pham	Registration Number	50565

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Solmetex Ex. 2021
Ascentcare Dental Products v. Solmetex - IPR2025-01059

Bibliographic data

Title:	ORAL ILLUMINATOR
Pub/Pat no:	KR100654392B1
Pub/Issue Date:	2006-12-06
Inventor(s):	KIM JAE SOO[KR] KIM JAE SOO
Applicant(s):	KIM JAE SOO[KR]
Classification:	A61C19/00AI; A61C17/08AI
Application number:	KR20050085004 2005-09-13
Priority number:	KR20050085004 2005-09-13;

Abstract of KR100654392B1

An oral illuminator is provided to secure a proper treatment space within a user's mouth easily by letting a user sticking out its tongue to the inner side with a tongue protection portion and fixing the movement of the user's tongue. The oral illuminator includes a tube connecting portion(10), a tongue protection portion(20) and a bite block(30). A tube connecting hole(11) is formed on the tube connection portion(10). An optic fiber and a light tube sucking materials within a mouth with air suction are coupled on one side of the tube connecting hole(11). The tongue protection portion(20) is formed on the central side to let a user stick out its tongue to the inner side with upper and lower wings(21,22). The bite block(30) is formed between the tongue protection portion(20) and the tube connecting portion(10) to be bitten by the user and be fixed within the mouth.



(19)대한민국특허청(KR)
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A61C 19/00 (2006.01)	(11) 등록번호	10-0654392
A61C 17/08 (2006.01)	(24) 등록일자	2006년11월29일

(21) 출원번호	10-2005-0085004	(65) 공개번호
(22) 출원일자	2005년09월13일	(43) 공개일자
심사청구일자	2005년09월13일	

(73) 특허권자 김재수
서울 성북구 정릉동 1019 (27/6) 정릉성원아파트 102-1308

(72) 발명자 김재수
서울 성북구 정릉동 1019 (27/6) 정릉성원아파트 102-1308

(74) 대리인 이준영

(56) 선행기술조사문헌	
JP10099270 A	JP14136529 A
KR02260530000 Y1	KR03594470000 Y1
KR19970064557 A	
* 심사관에 의하여 인용된 문헌	

심사관 : 김용일

전체 청구항 수 : 총 7 항

(54) 구강조명기

(57) 요약

본 발명은 구강 내의 적절한 치료 공간을 용이하게 확보하며, 구강 내부 전체를 골고루 밝게 하여, 안정적으로 구강 내 치료를 할 수 있게 하는 구강조명기에 관한 것이다. 상기한 구강 조명기는 구강 내부를 밝게 하는 광섬유가 삽입 결합되고 구강 내에서 고정되어 구강 내의 치료를 위한 구강조명기에 있어서, 광섬유가 결합되고 구강 내 물질을 공기흡입으로 빨아들이는 라이트튜브가 일측으로 결합되는 튜브결합공이 형성되는 튜브결합부와; 상하측의 날개에 의해 혀를 내측으로 밀도록 중앙측에 형성되는 혀보호부와; 상기 혀보호부와 상기 튜브결합부 사이에 사용자의 치아로 물어 구강 내에 고정이 용이하도록 상하측으로 형성되는 바이트블럭으로 구성된다.

대표도

도 1

특허청구의 범위

청구항 1.

구강 내에서 구강 내의 치료를 돕기 위한 장치에 있어서,

광섬유가 결합되고 구강 내 물질을 공기흡입으로 빨아들이는 라이트튜브가 일측으로 결합되는 튜브결합공이 형성되는 튜브결합부와,

상하측의 날개에 의해 혀를 내측으로 밀도록 중앙측에 형성되는 혀보호부와,

상기 혀보호부와 상기 튜브결합부 사이에 사용자의 치아로 물어 구강 내에 고정되 용이하도록 상하측으로 형성되는 바이트블럭을 구비하는 것을 특징으로 하는 구강조명기.

청구항 2.

제 1항에 있어서,

상기 혀보호부는 아치형으로 형성되어 혀를 내측으로 밀도록 하며, 상하측의 각 날개에 구강 내 물질을 흡입하기 위한 흡입세공이 형성되는 것을 특징으로 하는 구강조명기.

청구항 3.

제 2항에 있어서,

상기 혀보호부는 상하측의 각 날개가 한 쌍의 날개인 제1날개 및 제2날개로 구비되며, 상기 제1날개와 제2날개 사이의 일측과 상기 튜브결합부의 내측을 연결하는 제1흡입공 및 제2흡입공이 형성되는 것을 특징으로 하는 구강조명기.

청구항 4.

제 1항에 있어서,

상기 바이트블럭은 사용자의 이가 결합되는 블럭요홈과 상기 블럭요홈의 양측으로 돌출된 돌기인 블럭돌기를 형성하는 것을 특징으로 하는 구강조명기.

청구항 5.

제 1항 내지 제 4항 중 어느 한 항에 있어서,

상기 튜브결합부는 상기 광섬유의 끝단이 삽입 결합되는 광섬유결합공이 상기 튜브결합공 내측에 형성되는 것을 특징으로 하는 구강조명기.

청구항 6.

제 5항에 있어서,

상기 튜브결합부는 상기 바이트블럭 내측에 형성된 통공과 연결되어 구강 내 물질을 흡입하도록 하는 블럭흡입공이 형성된 것을 특징으로 하는 구강조명기.

또한, 상기 바이오블럭은 사용자의 이가 결합되는 블럭요동과 상기 블럭요동의 양측으로 돌출된 돌기인 블럭돌기를 형성하는 것을 특징으로 한다.

또한, 상기 바이오블럭은 사용자의 이가 결합되는 블럭요동과 상기 블럭요동의 양측으로 돌출된 돌기인 블럭돌기를 형성하는 것을 특징으로 한다.

또한, 상기 바이오블럭은 사용자의 이가 결합되는 블럭요동과 상기 블럭요동의 양측으로 돌출된 돌기인 블럭돌기를 형성하는 것을 특징으로 한다.

여기서, 상기 바이오블럭은 아치형으로 형성되어 혀를 내측으로 밀도록 하며, 상하측의 각 턱간에 구강 내 물질을 흡입하기 위하여 흡입세공이 형성되는 것을 특징으로 한다.

즉으로 형성되는 바이오블럭으로 구성되는 것을 특징으로 한다.

형성되는 바이오블럭은; 상기 바이오블럭과 상기 바이오블럭 사이에 사용자의 치아로 물이 구강 내에 고정되어 용이하도록 상하 턱돌브가 일측으로 결합되는 바이오블럭이 형성되는 바이오블럭과; 상하측의 각 턱간에 의해서 혀를 내측으로 밀도록 중앙측에 고정되어 구강 내의 치료를 위한 구강조명기에 있어서, 광섬유가 결합되고 구강 내 물질을 공기흡입으로 빨아들이는 라이 상기와 같은 목적을 달성하기 위한 본 발명의 구강조명기는 구강 내부를 함께 하는 광섬유가 삽입 결합되고 구강 내에서

발명의 구성

본 발명은 상기와 같은 문제점을 해소하기 위한 안출된 것으로, 본 발명의 목적은 아치형으로 형성된 바이오블럭에 의하여 혀를 내측으로 밀어 혀의 움직임을 고정하고, 물지거부에 의하여 일측 물을 외부로 밀게 되어, 결국 구강 내의 적절한 치료를 용이하게 확보하며, 사용자의 이가 결합되는 바이오블럭에 의하여 사용자의 치아로 구강조명기를 안정적으로 고정할 수 있도록 하는 구강조명기를 제공하는 것이다.

발명이 이루고자 하는 기술적 과제

있다.

해 주어야 하며 석션라인을 계속적으로 움직여 시술자가 시술 중 어려움이 많아, 구강 내부의 시술이 곤란한 문제점이 많

문에 구강 내에서 이루어지는 작업이 쉽지 않았으며 시술 중 환자의 구강위치에 따라 조명등의 위치 또한 계속적으로 조절

시술하게 되더라도 각각 별도로 움직이기 때문에 시술자가 시술 중 정확한 시술이 어려웠다. 또한 시술 중 혀의 움직임을

발생되는 구강 내 물질을 외부로 배출하는 등의 보조작업을 하게 된다. 그러나 이러한 다수의 인원에 의해 보조를 받으며

이와 같은 종래의 구강 내 치료에 있어서, 보조원들은 구강 내에 조명을 비추며, 구강 내에 발생되는 질 또는 시술에 의해

다.

부를 시술하는 중 석션을 위한 어시스턴트가 필요하며 아무런 경력이 많은 어시스턴트라고 하여도 완벽히 석션을 할 수 없었

일반적으로 구강 내부의 치료에는 치과치료, 임파선 치료 외에도 구강 내의 많은 부위에 대한 치료가 있다. 이러한 구강 내

를 문고부 함께 하여, 안정적으로 구강 내 치료를 할 수 있게 하는 구강조명기에 관한 것이다.

본 발명은 구강조명기에 관한 것으로, 보다 상세하게는 구강 내의 적절한 치료 공간을 용이하게 확보하며, 구강 내부 전체

발명이 속하는 기술 및 그 분야의 종래기술

발명의 목적

발명의 상세한 설명

명세서

강조명기.

상기 바이오블럭을 중심으로 하여 상기 바이오블럭의 타측으로 턱개형상의 타측으로 돌출된 돌기가 더 형성되는 것을 특징으로 하는 구

제 6항에 있어서,

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또한, 상기 튜브결합부는 상기 광섬유의 끝단이 삽입 결합되는 광섬유결합공이 상기 튜브결합공 내측에 형성되는 것을 특징으로 한다.

또한, 상기 튜브결합부는 상기 바이트블럭 내측에 형성된 통공과 연결되어 구강 내 물질을 흡입하도록 하는 블럭흡입공이 형성되는 것을 특징으로 한다.

또한, 상기 허보호부를 중심으로 하여 상기 튜브결합부의 타측으로 날개형상의 불지지부가 더 형성되는 것을 특징으로 한다.

상기한 본 발명 구성을 첨부된 도면에 도시된 일 실시예를 참조하여 상세히 설명하면 다음과 같다.

도 1은 본 발명 구강조명기에 대한 사시도이고, 도 2는 본 발명 구강조명기와 라이트튜브의 분해 예시도이고, 도 3은 본 발명 구강조명기를 입에 착용하는 것에 대한 예시도이고, 도 4는 본 발명 구강조명기를 착용한 상태에 대한 예시도를 나타낸 것으로 설명의 편의상 함께 설명하기로 한다.

도시된 바와 같이, 본 발명에 따른 구강조명기(1)는, 구강 내부를 밝게 하는 광섬유(2)가 삽입 결합되어 구강 내측을 밝게 하여 구강 내에서 고정되어 구강 내의 치료가 원활하도록 구비된 것이다.

또한, 광섬유(2)가 결합되고 구강 내 물질을 공기흡입으로 빨아들이는 작용을 하는 도 2에서와 같은 라이트튜브(3)가 일측으로 결합되는 튜브결합공(11)이 형성되는 튜브결합부(10)를 형성한다.

이때, 라이트튜브(3)는 미도시된 장치인 라이트소스장치 및 공기흡입장치와 연결되어, 구강 내에 조명을 제공하여 구강 내를 밝게 할 뿐만 아니라, 구강 치료 시에 구강 내에 발생하는 침 또는 각종 제거해야 하는 물질을 흡입하도록 하는 구비된 것이다. 이때 구강조명기(1)는 대체로 투명한 재질 또는 불투명하더라도 빛이 적절히 굴절 및 반사되어 빛을 흡수하지 않고 구강 내부를 골고루 비출 수 있도록 함이 바람직하다. 그 재질은 실리콘 등의 합성수지재(플라스틱재) 또는 그 등가물일 수 있으며, 그 재질에 제한되는 것은 아니다. 아울러, 전체를 단일체로 형성하여, 구강 내 치료시에도 본 발명 구강조명기(1)가 분리되지 않도록 함이 바람직하다.

이와 같은 구강조명기(1)는 상하측의 날개(21)(22)에 의해 사용자의 혀를 내측으로 밀도록 구강조명기(1)의 중앙부분에 허보호부(20)를 구비한다. 이러한 상기 허보호부(20)와 상기 튜브결합부(10) 사이에는 사용자의 치아로 물어 구강 내에 고정되어 용이하도록 상하측으로 형성되는 바이트블럭(30)(30a)을 구비한다.

여기서, 상기 허보호부(20)는 아치형으로 형성되어 혀를 내측으로 밀도록 하며, 상하측의 각 날개(21)(22)에 구강 내 물질을 흡입하기 위한 흡입세공(23)(23a)을 형성하였으며, 또한, 각각 한 쌍의 날개인 제1날개(24) 및 제2날개(25)를 구비하며, 상기 제1날개(24)와 제2날개(25) 사이의 일측과 상기 튜브결합부(10)의 내측 튜브결합공(11)의 일측을 연결하는 제1흡입공(51) 및 제2흡입공(52)을 형성한다. 이와 같이 구비되는 제1흡입공(51) 및 제2흡입공(52)에 의하여, 상하측의 제1날개(24) 및 제2날개(25) 사이로 흡입되는 침 또는 구강 내 물질을 빨아들여 외부로 배출이 용이하게 된다.

또한, 상기 바이트블럭(30)은 사용자의 이가 결합되는 블럭요홈(31)과 상기 블럭요홈(31)의 양측으로 각각 돌출된 돌기인 블럭돌기(32)를 형성한다. 사용자의 치아는 블럭요홈(31) 부분을 물게 되면서, 블럭돌기(32)에 의해 지지되는 사용자의 치아가 바이트블럭(30)과 견고하게 지지되어, 사용자가 구강 내 치료를 받아도 구강조명기(1)가 요동되지 않게 된다.

아울러, 상기 튜브결합부(10)는 상기 광섬유(2)의 끝단이 삽입 결합되는 광섬유결합공(53)이 상기 튜브결합공(11) 내측에 형성되어, 튜브결합공(11) 내에 라이트튜브(3)를 결합한 상태에서 시술자의 조작에 의하여 광섬유결합공(53)에 광섬유(2)를 적절히 위치시키게 되면, 광섬유(2)로부터 발광되는 빛이 구강 내의 전체를 밝게 비추도록 구비한 것이다.

이에 더하여 상기 바이트블럭(30) 내측에 형성된 통공과 연결되어 구강 내 물질을 흡입하도록 하는 블럭흡입공(54)이 튜브결합부(10)의 튜브결합공(11) 내부 일측에 형성되도록 한다.

상기한 본 발명 구강조명기(1)에는 상기 허보호부(20)를 중심으로 하여 튜브결합부(10)의 타측으로는 날개형상의 불지지부(40)를 더 형성하였다. 그리하여 도 3에서와 같이 시술자의 일측 손으로 불지지부(40)를 잡고 사용자의 입에 착용하도록 하며, 시술 시에는 사용자의 볼 부분의 구강 내측에 불지지부(40)가 지지되어, 결국 볼 부분을 외향으로 밀어 구강 내의 시술 공간을 넓게 확보할 수가 있다.

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이와 같이 구성되는 본 발명 구강조명기(1)에 의한 시술 과정을 살펴보면 다음과 같다.

즉, 도 3 및 도 4에서와 같이, 사용자(치료 대상자)의 구강 내에 구강조명기(1)를 착용하기 전에, 도 2에서와 같이, 광섬유(2)가 내측에 구비되는 라이트튜브(3)의 끝단을 구강조명기(1)의 튜브결합부(10)의 튜브결합공(11) 내측으로 삽입 결합하게 된다. 이와 같은 라이트튜브(3)는 상기에서 언급한 바와 같이, 광섬유(2)를 통하여 빛을 발산하도록 하는 라이트소스장치(Compact Fiber Optic Light Source)와, 또한 침 또는 구강 내 물질을 공기의 흡입 압력으로 외부로 배출하기 위한 진공흡입장치와 연결되어 구비되는 것이다.

이와 같이 라이트튜브(3)와 결합된 상태에서 조작에 의하여 광섬유(2)의 끝단이 광섬유결합공(53)에 알맞게 위치하게 되며, 이와 같이 알맞은 위치에 광섬유(2)의 끝단이 위치하게 되면, 구강 내 전체적으로 골고루 밝게 비춰지도록 구강조명기(1)의 재질 및 형상을 알맞게 하였다. 즉 다수의 절곡부를 형성하는 등의 방법으로 투명, 불투명 또는 반투명 재질로 구강조명기(1) 전체를 일체로 형성하게 되면, 내측 일측에서 발산된 빛은 구강조명기(1) 물질 내부로 투여된 빛이 외부로 일부가 방출됨과 동시에 일부 빛은 내부와 외부의 경계에 의해 내부 반사되며, 이때 본 발명 구강조명기(1)의 많은 굴곡 형상 때문에 더욱 넓게 빛이 퍼지게 되어, 결국 구강 내부 전체를 골고루 비추게 되는 것이다.

이와 같이 라이트튜브(3) 및 광섬유(2)를 결합한 상태에서, 도 3에서와 같이 시술자의 일측 손은 튜브결합부(10)를 잡고 타측 손은 볼지지부(40)를 잡고 사용자의 구강 내측으로 착용하게 되면, 사용자는 치아로 상하측의 바이트블럭(30)을 물어 구강 내에서 구강조명기(1)가 요동되지 않도록 한다. 그 후, 도 4에서와 같이 볼지지부(40)를 치아 바깥쪽의 구강 내부로 넣어 일측 볼이 외향으로 밀려 결국 치료공간이 넓게 형성되는 것이다.

이와 같이 구비되는 구강조명기(1)에 의하면, 아치형으로 형성된 허보호부(20)에 의하여 혀를 내측으로 밀어 혀의 움직임을 고정하고, 볼지지부(40)에 의하여 일측 볼을 외부로 밀게 되어, 결국 구강 내의 치료 공간을 적절히 확보할 수 있게 된다.

또한 사용자의 이가 결합되는 바이트블럭(30)에 의하여 사용자의 치아로 구강조명기(1)를 안정적으로 고정하게 되며, 구강조명기(1)의 내부에서 빛을 발산하도록 하여 구강 내부 전체를 골고루 밝게 하기 때문에, 시술자는 안정적으로 구강 내 치료를 할 수 있게 되는 것이다.

그리고 흡입세공(23)(23a) 및 제1흡입공(51), 제2흡입공(52)과 함께 블럭흡입공(54)을 통하여, 구강 내에 생기는 침 또는 구강 내 물질을 계속하여 외부로 배출하기 때문에, 구강 내에는 항상 시술이 용이한 환경을 유지할 수 있게 된다.

발명의 효과

상기와 같이 구비되는 본 발명의 구강조명기에 의하면, 아치형으로 형성된 허보호부에 의하여 혀를 내측으로 밀어 혀의 움직임을 고정하고, 볼지지부에 의하여 일측 볼을 외부로 밀게 되어, 결국 구강 내의 적절한 치료 공간을 용이하게 확보하며, 사용자의 이가 결합되는 바이트블럭에 의하여 사용자의 치아로 구강조명기를 안정적으로 고정하며, 내부에서 빛을 발산하도록 하여 구강 내부 전체를 골고루 밝게 하며, 다수의 흡입공에 의하여 구강 내 물질을 외부로 배출하기 때문에, 시술자는 안정적으로 구강 내 치료를 할 수 있게 되는 효과가 있다.

도면의 간단한 설명

도 1은 본 발명 구강조명기에 대한 사시도이다.

도 2는 본 발명 구강조명기와 라이트튜브의 분해 예시도이다.

도 3은 본 발명 구강조명기를 입에 착용하는 것에 대한 예시도이다.

도 4는 본 발명 구강조명기를 착용한 상태에 대한 예시도이다.

<도면의 주요부분에 대한 부호의 설명>

1 : 구강조명기

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2 : 광섬유 3 : 라이트튜브

10: 튜브결합부 11: 튜브결합공

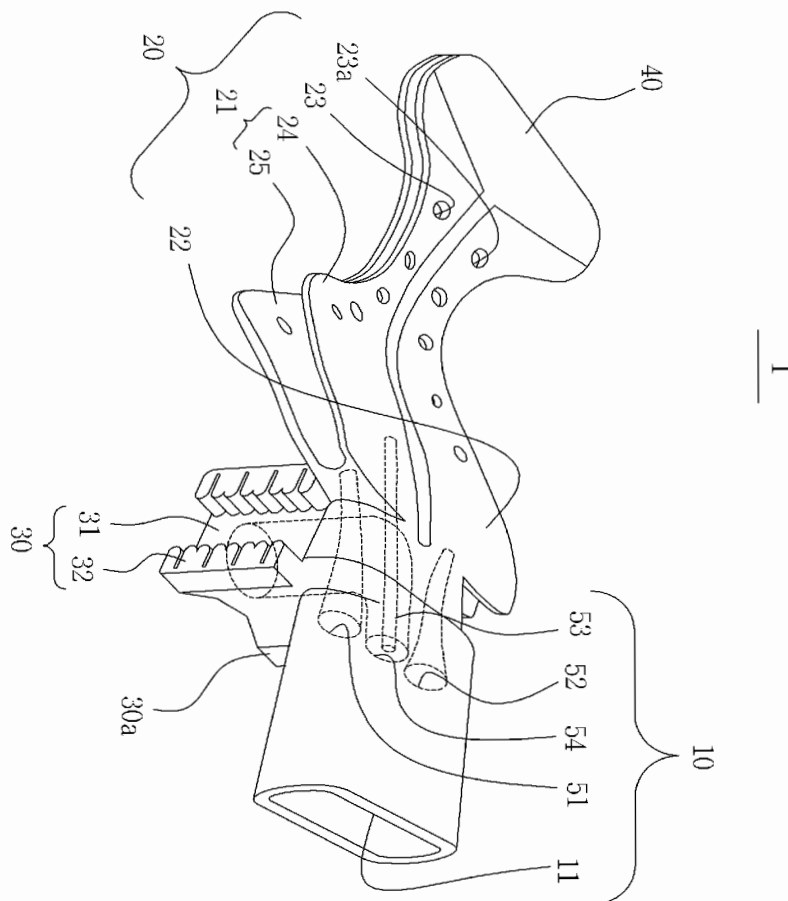
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31 : 블랙요즘 32 : 블랙돌기

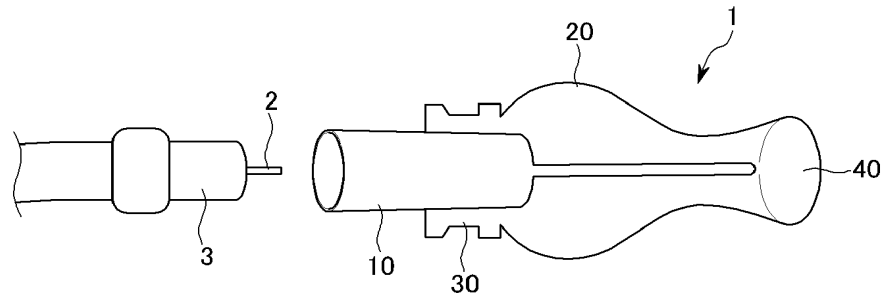
40 : 불지지부 53 : 광섬유결합공

도 9

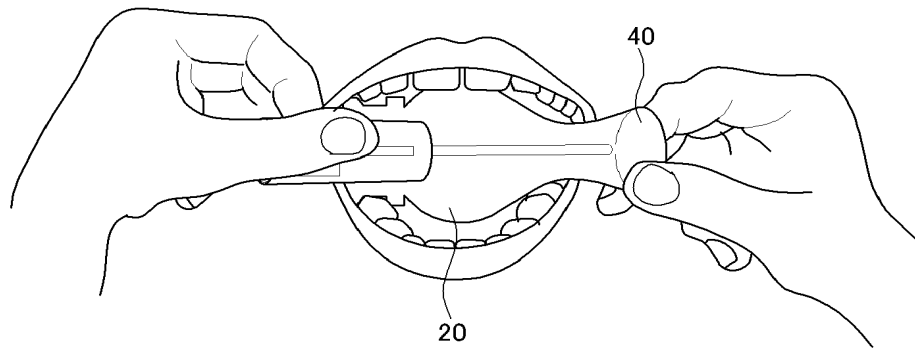
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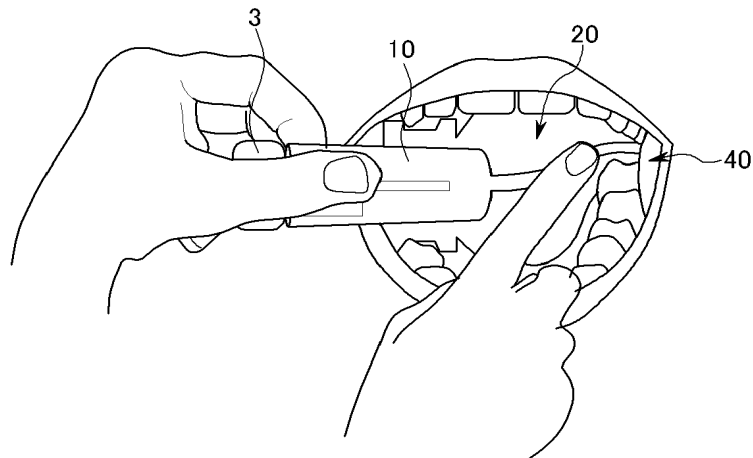
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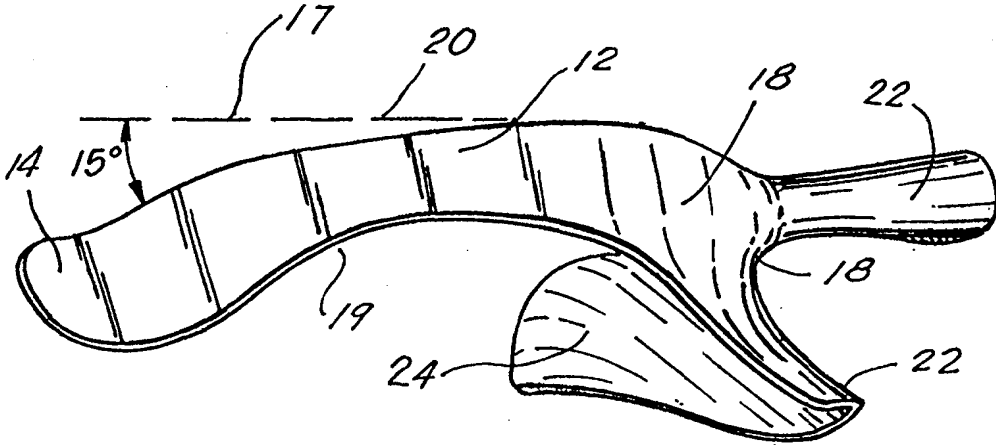
도면3



도면4



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(21) International Application Number: PCT/US00/01577 (22) International Filing Date: 21 January 2000 (21.01.00) (30) Priority Data: 09/234,588 21 January 1999 (21.01.99) US (71)(72) Applicant and Inventor: ENGERON, Michael, G. [US/US]; 206 Mystic Boulevard, Houma, LA 70360-2762 (US). (74) Agents: SMITH, Gregory, C. et al.; Garvey, Smith, Nehrbass & Doody L.L.C., 3 Lakeway Center, Suite 3290, 3838 North Causeway Boulevard, Metairie, LA 70002 (US).		(81) Designated States: AE, AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), DM, EE, EE (Utility model), ES, FI, FI (Utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KR (Utility model), KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>
(54) Title: IMPROVED RETRACTOR APPARATUS  (57) Abstract <p>This invention is a improved retractor apparatus for conducting orthodontic procedures in a dry field, the retractor including a first arm portion (12) for extending along the outer surface of a patient's cheek that can be grasped by the orthodontist performing the procedure; a second lower lip depressor portion (22) concavely formed for pressing against the lower lip as the orthodontist grasps the handle portion, a third cheek retractor portion (24) extending from the bridge (lip retractor) portion into the mouth of the patient and formed in a biconvex fashion to curve along the inner surface of the cheek of the patient; an upper lip retractor portion (29) that retracts, and elevates the upper lip as the apparatus is manipulated by the dentist; a bridge member situated at the confluence of the first arm, (handle) portion; the second arm (lower lip depressor) portion; the third arm (cheek retractor) portion; and the fourth arm (upper lip retractor) portion.</p>		

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TITLE OF THE INVENTION

"IMPROVED RETRACTOR APPARATUS"

5 CROSS-REFERENCE TO RELATED APPLICATIONS

Priority of US Patent Application Serial No. 09/234,588 filed January 21, 1999, incorporated herein by reference, is hereby claimed.

10 STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

Not applicable

REFERENCE TO A "MICROFICHE APPENDIX"

Not applicable

BACKGROUND OF THE INVENTION

15 1. Field of the Invention

The apparatus of the present invention relates to dental appliances. More particularly, the present invention relates to an improved retractor apparatus for retracting certain areas of a patient's mouth such as the lips and the cheek in order to allow greater access to the patient's mouth during dental work such as orthodontics.

20 2. General Background

Quite often in conducting dental work on a patient, such as orthodontics or the like type of operation, there is a requirement that the patient's lip and cheek be positioned so as to allow the dentist maximum access to the area of the mouth in which the operation will be conducted, which in most cases is in the area of the rear teeth such as the wisdom
25 teeth or molars. Furthermore, during certain procedures such as those conducted by an orthodontist, it is important to retract the soft tissue away from the teeth undergoing the orthodontic procedure in order to provide comfortable access to a dry field, including the buccal surfaces of maxillary and mandibular second molars simultaneously, for allowing

bonding of orthodontic appliances, such as braces, to the teeth.

While this is an important aspect of an appliance that would be utilized in order to meet these requirements, it is also important that the patient who is normally awake and aware and unanesthetized, be in as much comfort as possible during the procedure.

5 For example, there are appliances known in the art which have been utilized for affording access into a patient's mouth during a procedure. One of the more common types of retractors is called a Bishop Retractor, which is a surgical instrument apparatus which is grasped by a standing surgeon or an assistant who holds the cheek of the patient out with one hand while he, the surgeon, conducts a surgical procedure with the other
10 hand. The Bishop retractor is a rather simple instrument, and is used primarily, if not exclusively, in surgical procedures while the patient is anesthetized.

 Secondly, U.S. Patent No. 5,730,597, which was patented by Clifford Luttrell, and assigned to the United States of America, teaches a flat, buccal retractor which attempts to solve the problem in the art. However, this instrument butt or end is quite narrow and
15 sharp which would lead to discomfort for the patient, and also would not allow for keeping soft tissue and saliva out of the field in an orthodontic procedure. The narrow sharp-ended cheek retractor of Luttrell is designed to be used in an operating room setting by a standing surgeon on a fully anesthetized patient. It is designed to retract unsurgerized tissue to allow access to that soft tissue on the stretch to facilitate
20 surgerizing the stretched tissue. Furthermore, it could be used to retract surgerized tissue to allow visualization of, and access to, the bony maxilla beneath the soft tissue in order to allow instrumentation, irrigation, and cutting of the bony jaw with a rotary or reciprocating instrument. Again, these procedures are normally done in a wet field on an anesthetized patient by a standing surgeon.

25 The Luttrell instrument, in one embodiment, retracts either the left upper lip or the right lower lip, but not simultaneously. The other, mirror form of the instrument retracts the left lower lip or right upper lip, but again, not simultaneously. Therefore, there is a need in the industry for providing an improved retractor apparatus which would allow a dentist to work in a dry field for doing, in particular, orthodontic procedures, and
30 shaped in order to have greater comfort for the patient, and so constructed so that the instrument allows the left upper and lower lips, as well as the lip commissure and cheek, to be retracted simultaneously by the orthodontist. The mirror image instrument would

be used to retract the right upper and lower lips, as well as the right commissure and cheek. Furthermore, there is a need to allow such a device to be utilized wherein the dentist or orthodontist may conduct the procedure while being seated rather than having to hover over the patient, which one may have to do if one utilized the Luttrell device in the procedure.

BRIEF SUMMARY OF THE INVENTION

The apparatus of the present invention solves the shortcomings in the art in a simple and straightforward manner. What is provided is an improved retractor apparatus for conducting orthodontic procedures in a dry field, the retractor including a first arm portion for extending along the outer surface of a patient's cheek that can be grasped by the orthodontist performing the procedure; a second lower lip depressor portion concavely formed for pressing against the lower lip as the orthodontist grasps the handle portion, a third cheek retractor portion extending from the bridge (lip retractor) portion into the mouth of the patient and formed in a biconvex fashion to curve along the inner surface of the cheek of the patient; a fourth upper lip retractor portion that retracts and elevates the upper lip as the apparatus is manipulated by the dentist, a bridge member situated at the confluence of the first arm, (handle) portion, the second arm (lower lip depressor) portion, the third arm (cheek retractor) portion and the fourth arm (upper lip retractor) portion. The long axis of the handle portion extends at an incline relative to the lip depressor and cheek retractor portions for defining a thin waist portion on the handle so that the end of the handle may be grasped to depress the patient's lower lip, retract the upper lip, and to extend the cheek of the patient outward so as to provide an increased volume of space for conducting the orthodontic procedure in a dry field.

Therefore, it is a principal object of the present invention to provide a dental appliance which allows an orthodontist to conduct procedures on a patient who is awake while in greater comfort and retracting the lips and cheek portions away from the patient's teeth in order to establish a dry field comfortably within the mouth;

It is a further principal object of the present invention to provide an improved retractor apparatus for placement in a patient's mouth so that the apparatus may be held by a handle portion while the orthodontist is sitting, and as the handle portion is pulled on a slight incline, there is provided a lower lip depressor portion, an upper lip retractor

portion, and cheek retractor portion for establishing greater access to the patient's dry teeth while the patient is awake and substantially comfortable;

It is a further object of the present invention to provide an improved retractor apparatus which provides for substantially greater operational features in the prior art, and
5 allows an orthodontist to undertake procedures while being seated yet while simultaneously having access to the patient's mouth in a dry field.

BRIEF DESCRIPTION OF THE DRAWINGS

For a further understanding of the nature, objects, and advantages of the present invention, reference should be had to the following detailed description, read in
10 conjunction with the following drawings, wherein like reference numerals denote like elements and wherein:

Figures 1-8 illustrate various views of the preferred embodiment of the improved retractor apparatus of the present invention;

Figures 9A and 9B illustrate views of the apparatus placed in a patient's mouth
15 during an orthodontic procedure;

Figure 10 illustrates a view of the apparatus in a patient's mouth while the apparatus is held by the orthodontist;

Figures 11A and 11B illustrate the lower lip depressor portion, the bridge portion, the upper lip retractor portion and the cheek retractor portion respectively of the apparatus
20 of the present invention;

Figures 12A and 12B illustrates the apparatus of the present invention being utilized with a dental appliance such as a polishing instrument;

Figure 13 illustrates an isolated view of the apparatus retracting the lower lip of a patient during use and

25 Figure 14 illustrates a bottom view of the apparatus being utilized to retract the cheek and lower lip of a patient as the teeth are being polished with a dental instrument.

DETAILED DESCRIPTION OF THE INVENTION

Figures 1-14 illustrated the preferred embodiment of the apparatus of the present invention by the numeral 10. As illustrated in various views of the apparatus, particularly
30 as seen in Figures 1-8, there is illustrated improved retractor apparatus 10 which would comprise various portions, some portions which are more clearly illustrated in certain

figures than others. For example, in Figure 1 there is illustrated apparatus 10 having the exterior handle portion 12, handle portion 12 having an enlarged end portion 14 and a center waist portion 16 which then would emerge into a substantially centralized bridge portion 18. The handle portion 12 is shaped so that the thin waist portion 16 allows for
5 firmly grasping the handle during use and retraction without allowing the fingers to slip from the handle 12.

For purposes of further explanation of the construction of handle portion 12, reference is made to Figure 3 where handle portion 12 is illustrated, and it is seen that the handle portion 12 is substantially elongated and terminates in the enlarged portion 14,
10 the handle portion being somewhat thin in overall thickness along edge 19, and having an overall arcuate shape along line 20 as seen in Figure 3. Again, it is seen as the handle portion terminates at bridge portion 18 there is formed the three other principal portions of the apparatus 10. There is first seen the lower lip depressor portion 22 and as seen clearly in Figure 1, the cheek retractor portion 24 as seen more clearly in Figure 2, and
15 the upper lip retractor portion 29 as seen in Figures 1, 2 and 3. As seen in Figures 1-8, the lower lip depressor portion 22 includes an arcuate extended member 25 having an outer convex surface 26 and an interior concave surface 28. The concavity 28 is formed the length of the lip depressor portion 22 and would essentially engage the lower lip during use of the apparatus as will be explained further. The upper lip retractor portion
20 29 is also concave along surface 31 to engage and retract the upper lip comfortably. The bridge portion serves to retract the commissure of the mouth and as the confluence of the handle, upper lip retractor, lower lip retractor, and cheek retractor portions.

Turning now to the cheek retractor portion 24, it is seen that the cheek retractor portion 24 is seen clearly for example in Figure 6. Again, as seen in Figure 6 there is
25 illustrated the handle portion 12, the lower lip depressor portion 22, the cheek retractor portion 24 and the upper lip retractor portion 29. As illustrated, cheek retractor portion 24 is formed from the bridge portion 18 of the apparatus 10, and forms substantially a U shape extending substantially in the same direction of handle 12. The cheek retractor portion 24 has a concave surface 26 and a substantially convex surface 28 as seen in
30 Figures 6-8, the convex surface 28 being inserted into the mouth of the patient and pressing against the interior surface of the cheek as it is placed within the mouth of the patient. Further, which is not clearly seen in Figure 6, but is seen in Figure 7, is that the

cheek retractor portion 24, which illustrates the concave surface 28, would be pressed against the patient's cheek 39 as will be described further. However, as seen more clearly in Figure 7, concave surface 28 terminates in a biconvex end portion 27 which would when placed in the patient's mouth press against the rear wall of the patient's mouth deep in the vestibule 44 while the apparatus is being used. Again, this biconvex portion 27 would be seen again in Figure 9B as will be described further.

For example, turning now to Figure 9A, there is illustrated a patient 30 having the apparatus 10 inserted in the patient's mouth. As clearly seen, the hand 32 of the dentist is holding the handle portion 12 of the apparatus while the lower lip depressor portion 22 is holding the lower lip 35 of the patient 30 in the channel 28 formed by the lip depressor portion 22. Simultaneously, the cheek retractor portion 24 has been inserted and is pressing against the interior surface 38 of the patient's cheek, and retracting the cheek 39 of the patient outward in the direction of arrow 40. In this manner, there is then seen the lower lip 35 being depressed by depressor portion 22 cheek 39 being extended outward by the cheek retractor portion 24, and the upper lip being retracted upward by portion 29. There is defined a dry vestibule 44 within the mouth of the patient 30 for conducting orthodontic procedures. This is seen again in close up view in Figure 9B as was described earlier.

Again, as seen in Figure 10, the apparatus 10 is seen being held again by hand 32 with the patient 30 resting her head back while the apparatus has been inserted within her mouth. The handle portion 12 is grasped by the dentist's hand 32 and the lower lip 35 is being depressed downward while the cheek retractor portion 24 is pushing the cheek 35 outward and the upper lip is being retracted upward so as to have easy access to the buccal surfaces of the patient's upper and lower posterior teeth. It should be noted that because of the position of the handle portion 12 of the apparatus and for example as seen in Figure 3 the long axis of the handle portion 12 is approximately a 15 degree angle from the horizontal line 17. This allows a dentist to conduct work on the patient while being seated because of the angulation of the handle, so that when one pulls back it automatically retracts the cheek slightly outward and the lower lip is pushed further downward while the upper lip is retracted upward.

Turning now to Figures 11A and 11B, there is seen, again in 11A, an isolated view of the lower lip depressor portion 22 pressing downward on the lip 35 to provide

a greater volume of space in vestibule 44 for conducting work. As seen in 11B, the cheek retractor portion 24 extends from the bridge portion 18, and pushes the cheek outward while the very end 25 of the cheek retractor portion 24 is curving against the rear 27 of the mouth in the vestibule 44 in order to provide an entire arcuate retraction of the cheek along its inner surface as seen in 11B. In Figures 12A and 12B, the apparatus 10 is again illustrated so that a lip 35 is depressed downward by depressor portion 22, the cheek of the patient is retracted outward by the cheek retractor portion 24 and the upper lip is retracted up and away from the teeth by portion 29 so that the vestibule 44 within the patient's mouth is quite enlarged and an instrument 50, such as the type of instruments that would be used to bond orthodontic appliances can be inserted easily into the vestibule 44 for making direct contact with the patient's teeth 52, particularly the rear most teeth which are normally very difficult to access during the procedure.

Again, as was discussed earlier, Figure 13 is an isolated view of the patient's lower lip 35 being depressed downward by lip depressor portion 22 of apparatus 10 in the view.

In Figure 14, there is seen a substantially bottom view of the apparatus, again showing the linear formation of handle 10 with its slight curvature directed to bridge portion 18 wherein there is seen the lower lip depressor portion 22, the cheek retractor portion 24, and the upper lip retractor portion 29. As seen in this particular view, there is provided in phantom view the cheek 39 of the patient held within the opening 47 formed between the handle 12 and the cheek retractor portion 24 so that as the handle 12 is pulled in the direction of arrow 60, the cheek is pulled outward so that a cleaning instrument 50 of the type as was seen in Figures 12A and 12B may be utilized against the teeth 52 of a patient during use.

In the preferred embodiment, the apparatus of the present invention could be formed of any material such as stainless steel or molded plastic, which would be durable and light weight and would be the type of material that can be sterilized and used in the oral environment during orthodontic work. Of course, when the implement would be used on the left side, it would be a mirror image of a second implement that would have to be used on the right side by the orthodontist during orthodontic procedures or the like. The instruments would be provided in a mirror image pair. The instrument provided for use on the patient's right side would be a mirror image of that provided for use on the left.

The foregoing embodiments are presented by way of example only; the scope of the present invention is to be limited only by the following claims.

CLAIMS

- 1 1. An improved retractor apparatus, for use during dry orthodontic dental
2 procedures on a patient, comprising:
3 a. an elongated handle portion, adapted to extend along the outer cheek
4 for grasping by a person;
5 b. a lower lip depressor portion, further comprising a concave surface for
6 engaging the lower lip;
7 c. a cheek retracting portion, adapted to be positioned against an interior
8 surface of the cheek, and terminating in a biconvex end to conform to a rear portion of
9 the cheek;
10 d. an upper lip retractor portion, for engaging and retracting the upper lip;
11 e. the lower lip depressor portion forcing the lower lip downward, the
12 cheek retracting portion retracting the cheek outward, and the upper lip retractor portion
13 retracting the upper lip outward, all simultaneously, as the handle portion is moved away
14 from the cheek by the person, for providing visualization and access to the buccal
15 surfaces of the teeth during the dry orthodontic procedure, defining a larger patient
16 vestibule and for establishing a dry field for conducting the dental procedure in comfort.
- 1 2. The apparatus in claim 1, wherein a long axis of the handle portion is adapted
2 to extend at an angle approximately 30 degrees from a long axis of the cheek retractor
3 portion.
- 1 3. The apparatus in claim 1, wherein the bridge portion is situated at the
2 commissure of the mouth during use.
- 1 4. The apparatus in claim 2, wherein the angulated position of the handle portion
2 provides use of the apparatus while the user is seated.
- 1 5. The apparatus in claim 1, wherein the cheek retracting portion terminates in
2 a biconvex end portion for conforming to the rear portion of the cheek of the patient, so
3 as to provide a biconvex surface with which to comfortably retract the cheek during use.

1 6. The apparatus in claim 1, wherein the apparatus would be formed of molded
2 plastic or stainless steel to be sterilizable for use in a patient's mouth.

1 7. An improved retractor apparatus, for use during dry orthodontic dental
2 procedures, comprising:

3 a. a first elongated handle portion, adapted to extend along the outer
4 cheek for grasping by a dentist;

5 b. a second lower lip depressor portion, further comprising a concave
6 surface for engaging the lower lip;

7 c. a third cheek retractor portion, adapted to be positioned against an
8 interior surface of the cheek;

9 d. a fourth upper lip retractor portion, for engaging and retracting the
10 upper lip;

11 e. a bridge portion interconnecting the handle portion to the lower lip
12 depressor portion, the cheek retractor portion, and the upper lip retractor portion; and

13 f. the second lower lip depressor portion forcing the lower lip downward,
14 the cheek retracting portion retracting the cheek outward, and the upper lip retractor
15 portion retracting the upper lip upward, all simultaneously, as the handle portion is pulled
16 away from the cheek by the dentist, for defining a larger vestibule in the patient's mouth
17 to obtain access to the buccal surfaces of the teeth, and for establishing the dry field for
18 conducting the dental procedure.

1 8. The apparatus in claim 7, wherein a long axis of the handle portion is adapted
2 to extend at an angle of approximately 30 degrees upward from the horizontal, using a
3 long axis of the cheek retractor portion as the horizontal.

1 9. The apparatus in claim 7, wherein the angulation of the handle portion
2 provides for use of the apparatus while the user is seated.

1 10. The apparatus in claim 7, wherein the cheek retracting portion terminates in

2 a biconvex end portion for conforming to the rear portion of the cheek of the patient.

1 11. The apparatus in claim 7, wherein the apparatus would be formed of molded
2 plastic or stainless steel to be sterilizable for use in the mouth.

1 12. An improved retractor apparatus, for use during dry orthodontic dental
2 procedures, comprising:

3 a. a first elongated handle portion, is adapted to extend along the outer
4 cheek for grasping by a dentist;

5 b. a second lower lip depressor portion, further comprising a concave
6 surface for engaging the lower lip;

7 c. a third cheek retractor portion, adapted to be positioned against an
8 interior surface of the cheek;

9 d. a fourth upper lip retractor portion, for engaging and retracting the
10 upper lip;

11 e. a bridge portion interconnecting the handle portion to the lower lip
12 depressor portion, the cheek retractor portion, and the upper lip retractor portion and
13 situated at the commissure of the mouth during use; and

14 f. the second lower lip depressor portion forcing the lower lip downward,
15 the cheek retracting portion retracting the cheek outward, and the upper lip retractor
16 portion retracting the upper lip upward, all simultaneously, as the handle portion is pulled
17 away from the cheek by the dentist, for defining a larger vestibule in the patient's mouth,
18 to obtain access to the buccal surfaces of the teeth and for establishing the dry field for
19 conducting the dental procedure in greater comfort for the patient.

1 13. The apparatus in claim 12, wherein the long axis of the handle portion is
2 adapted to extend at an angle of approximately 30 degrees from the long axis of the cheek
3 retractor portion.

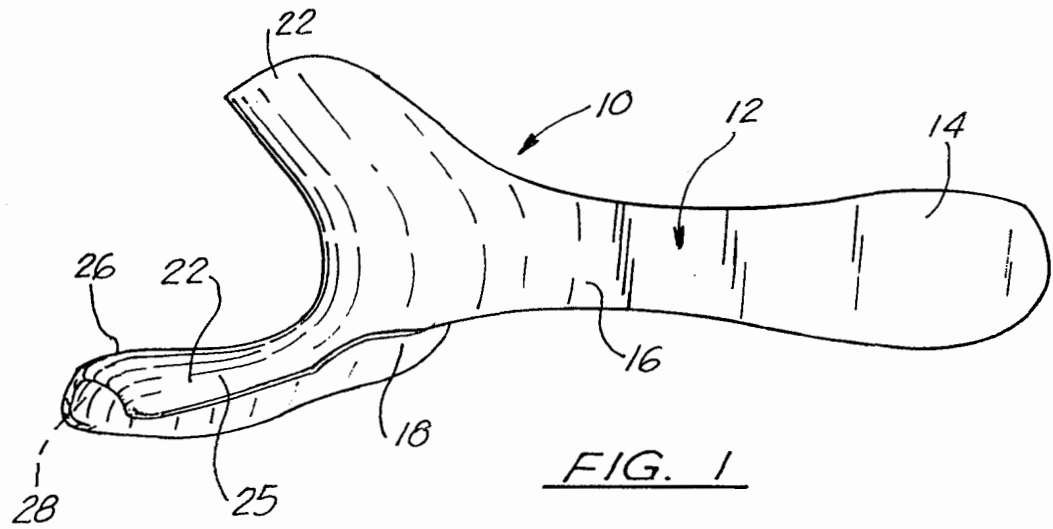
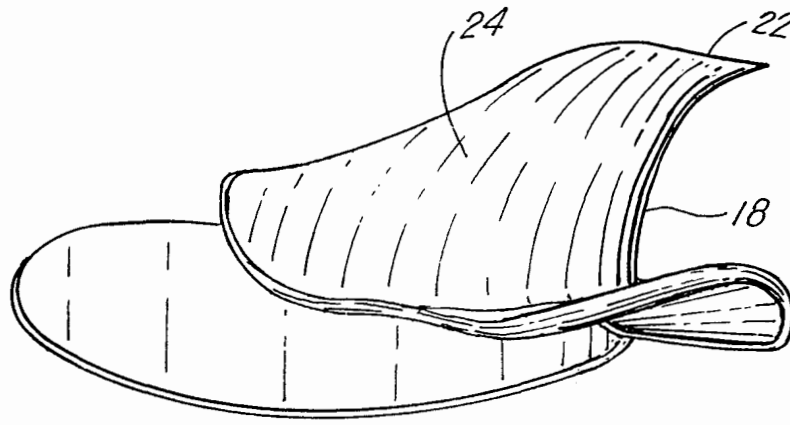
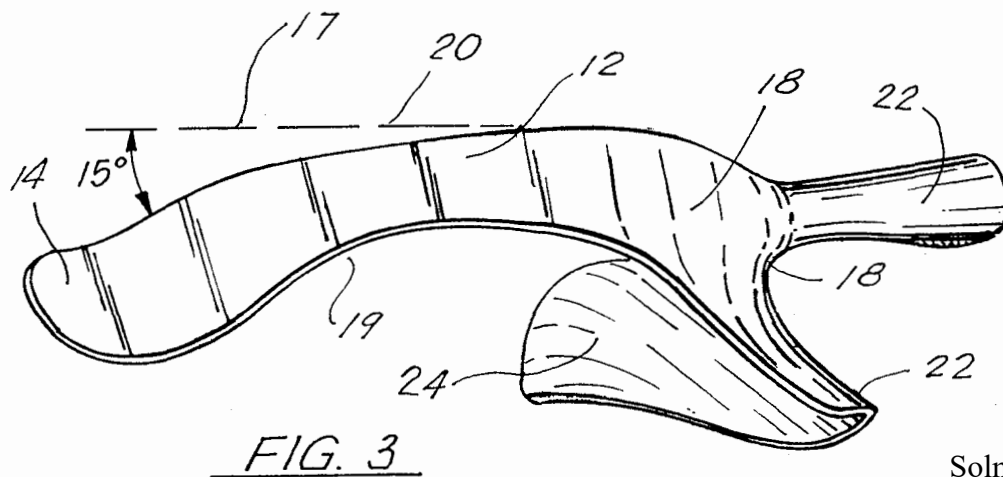
1 14. The apparatus in claim 12. wherein the angulated position of the handle
2 portion provides for use of the apparatus while the user is seated and the patient is

3 essentially supine in a dental chair.

1 15. The apparatus in claim 12, wherein the apparatus would be formed of molded
2 plastic or stainless steel so as to be sterilizable for use in the mouth.

1 16. The apparatus in claim 12, wherein the handle portion further comprises
2 a thin waist portion terminating in a broader end portion of the handle, for allowing use
3 of the apparatus yet preventing the hand grasping the handle from slipping off of the
4 handle during use.

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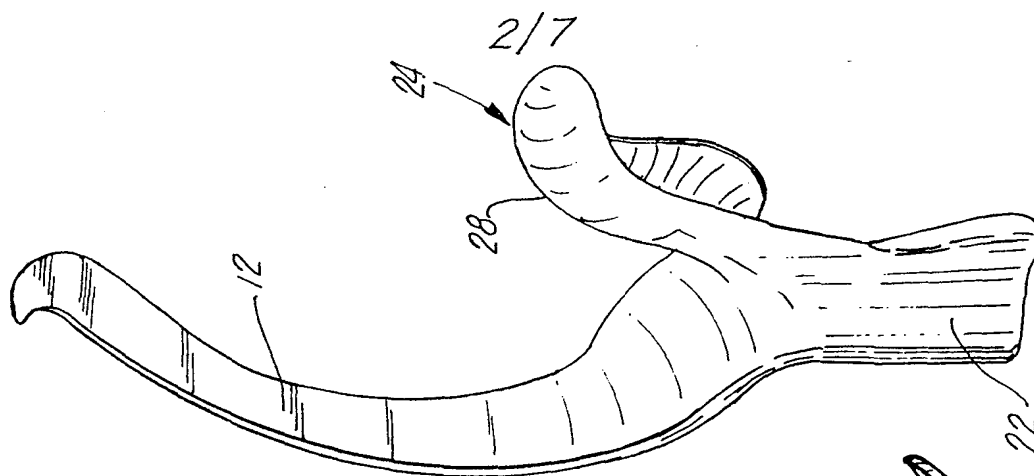


FIG. 8

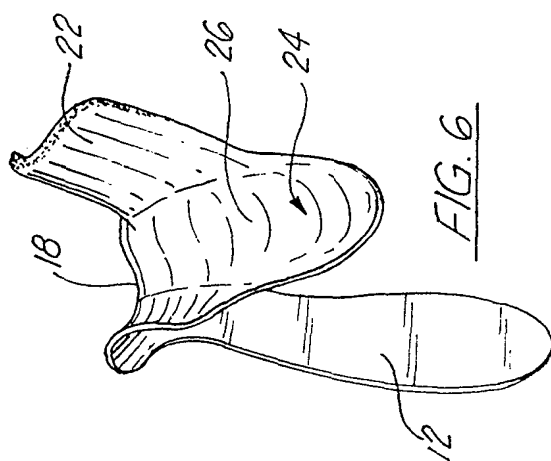


FIG. 6

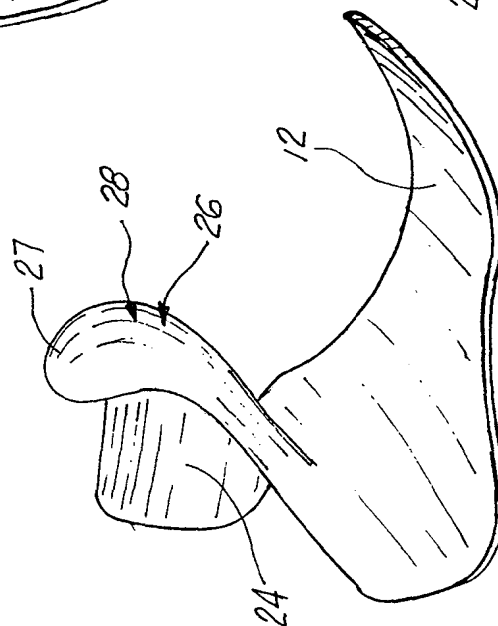


FIG. 7

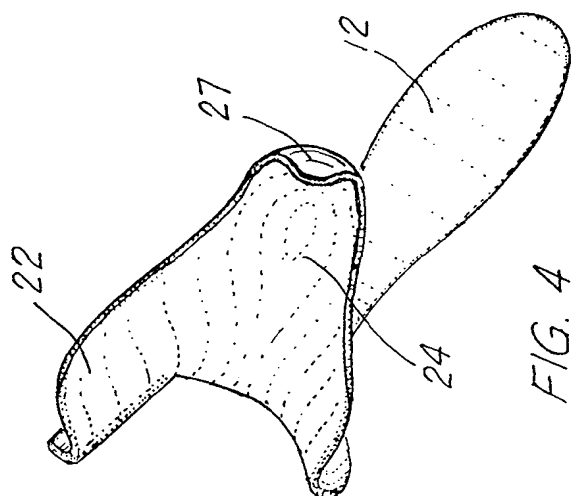


FIG. 4

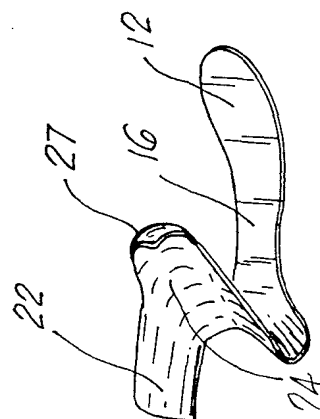
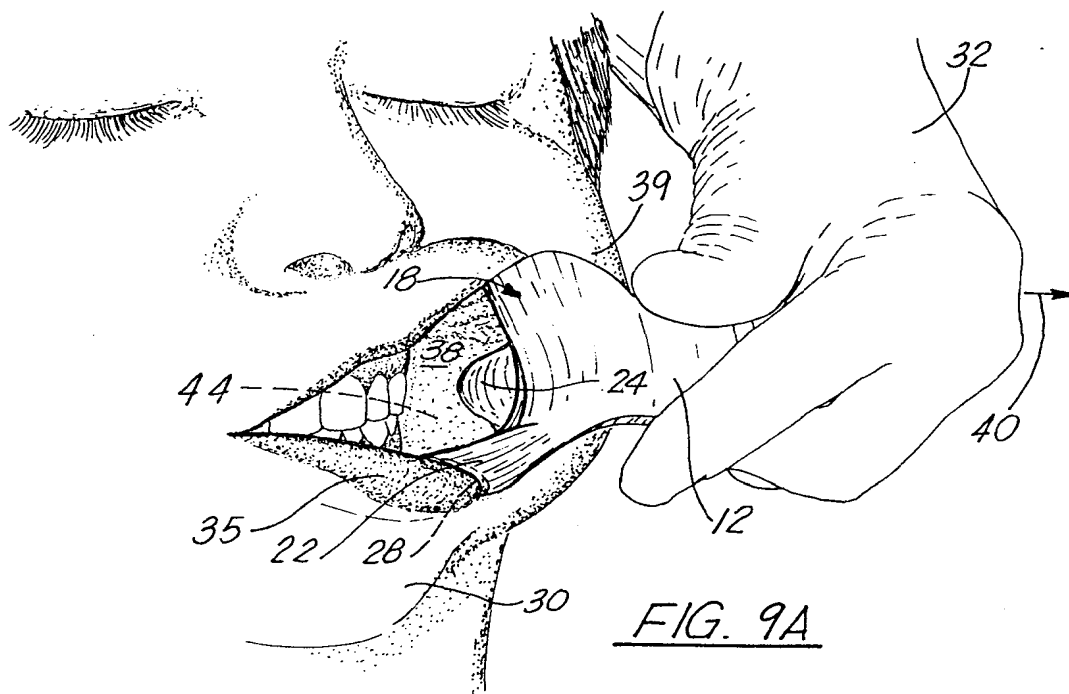
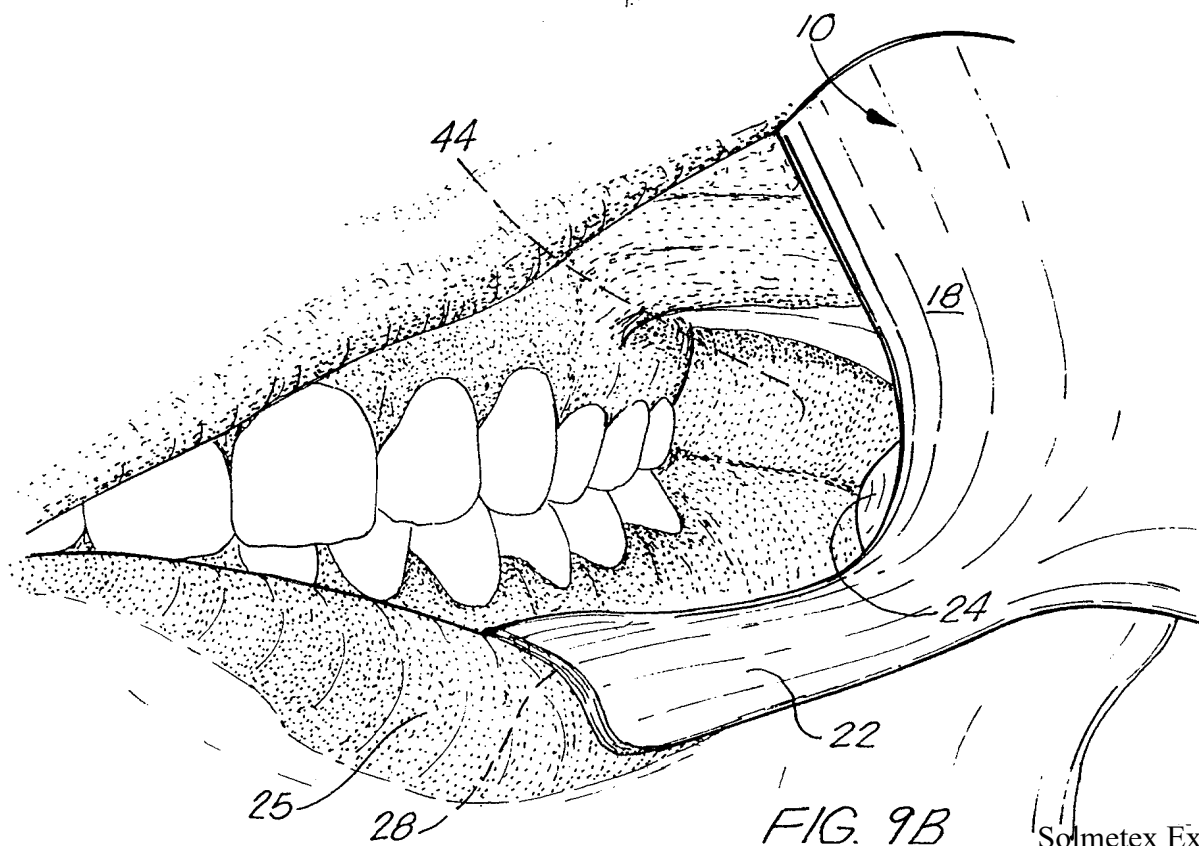


FIG. 5

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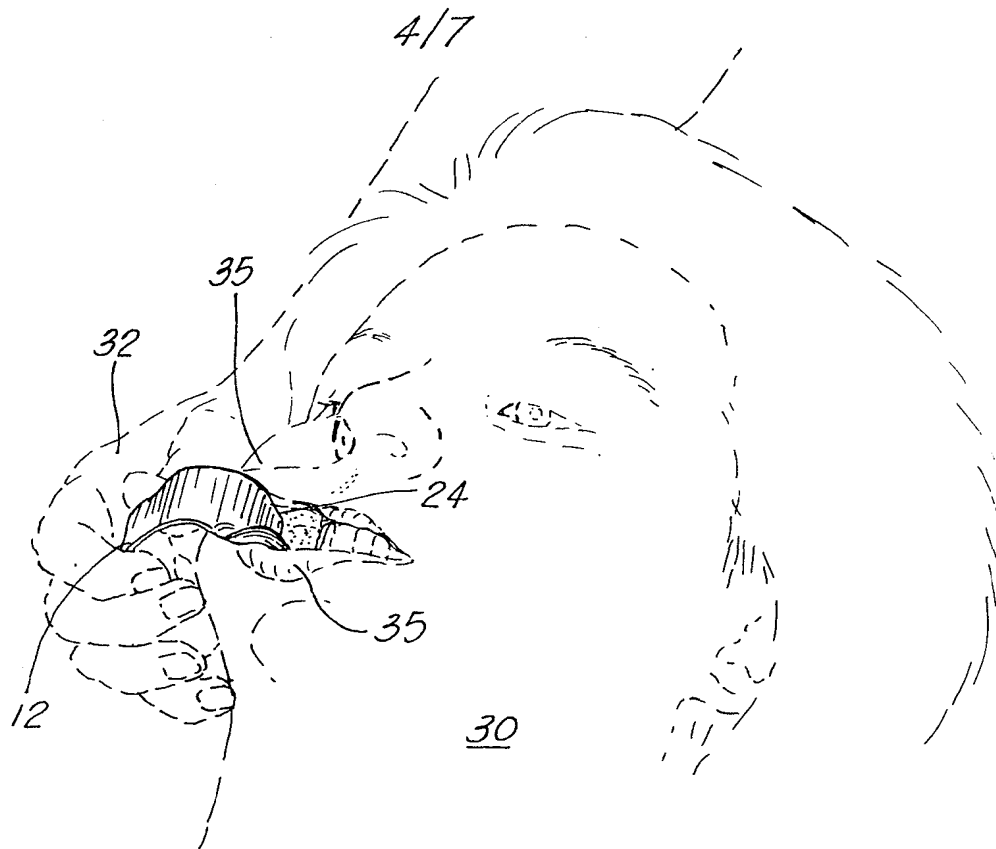


FIG. 10

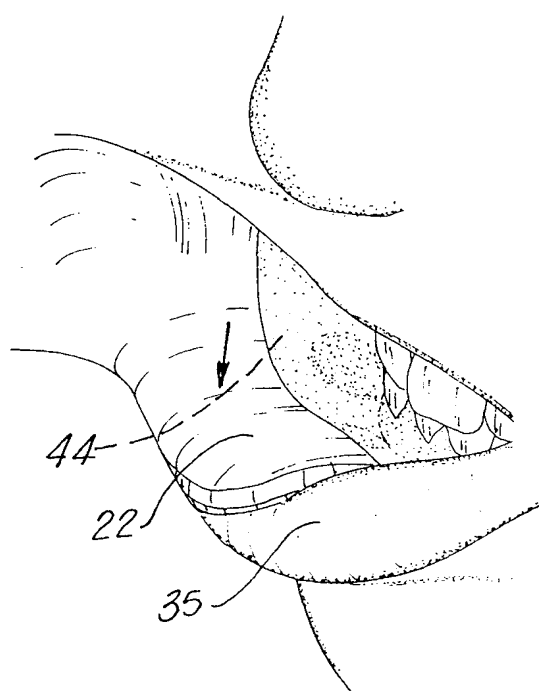


FIG. 11A

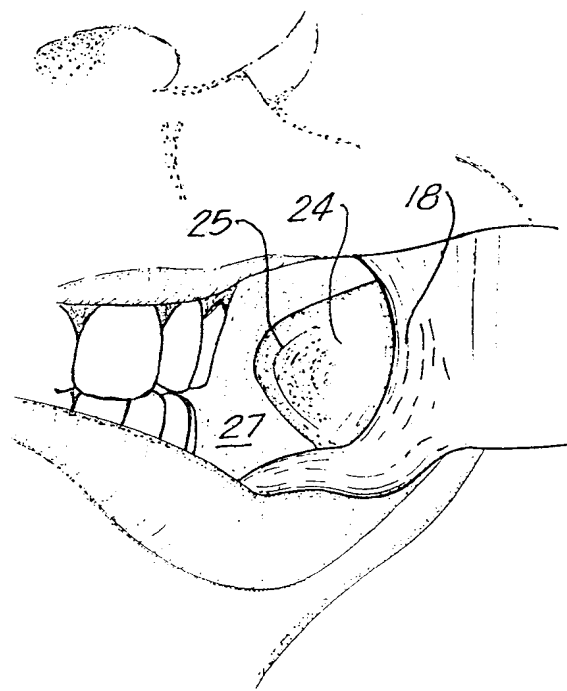


FIG. 11B

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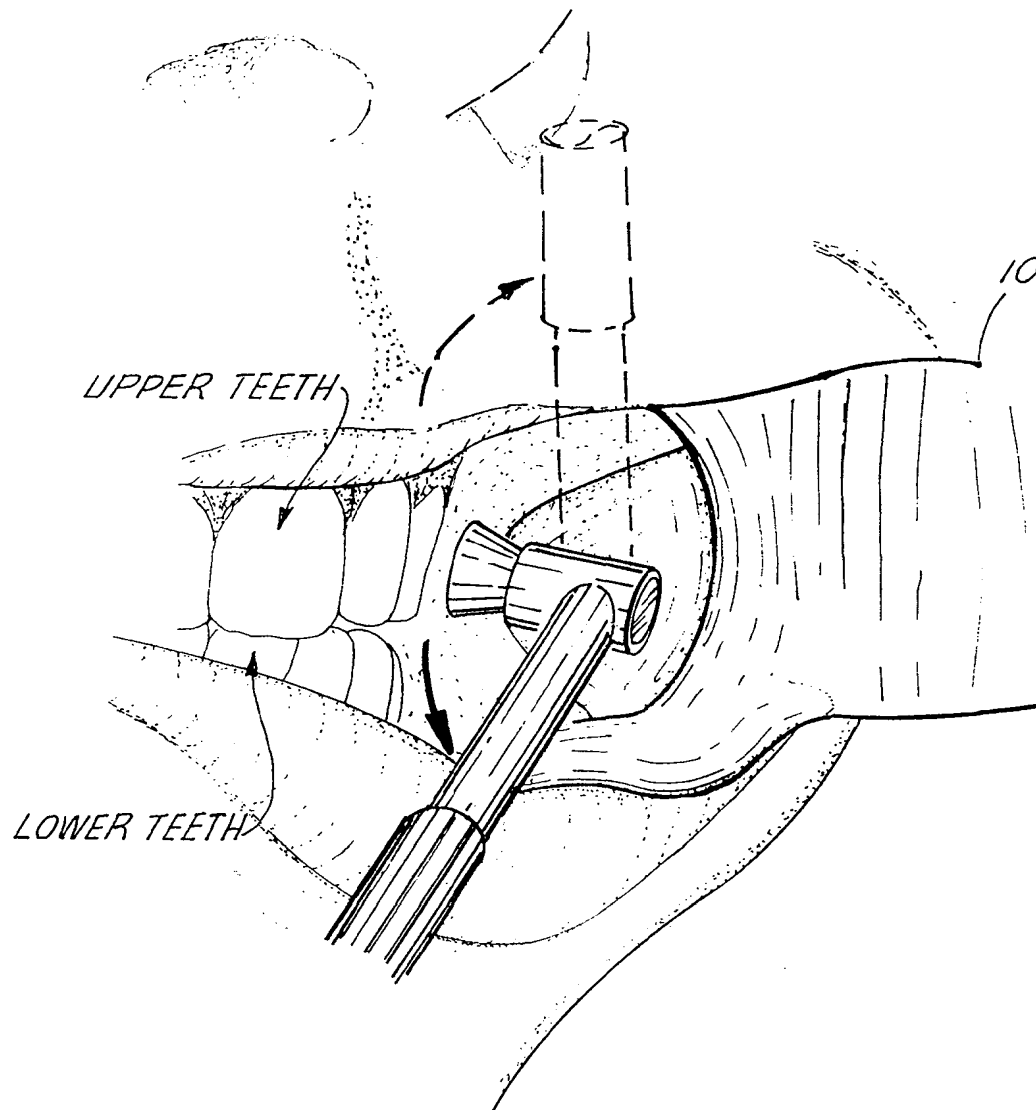
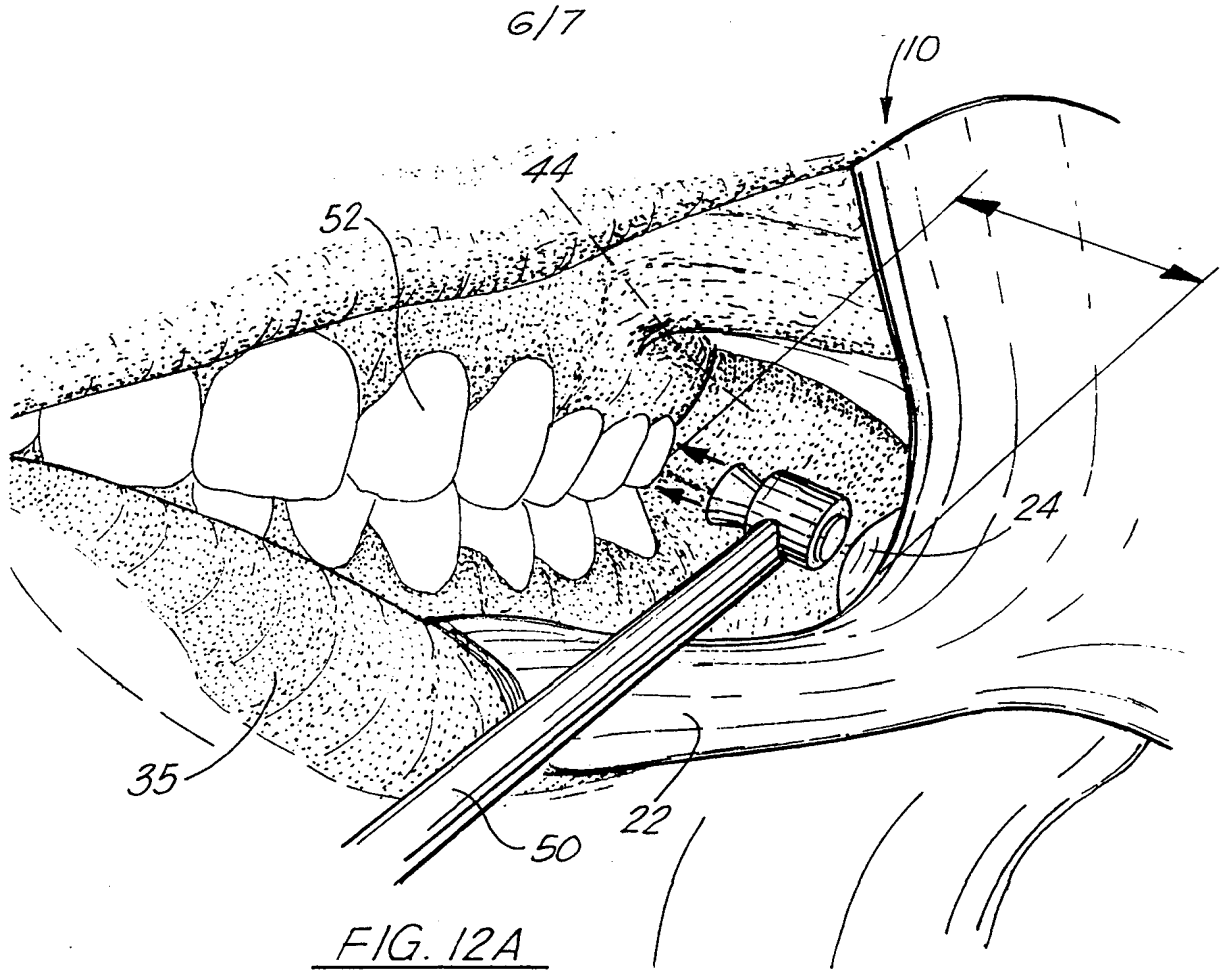
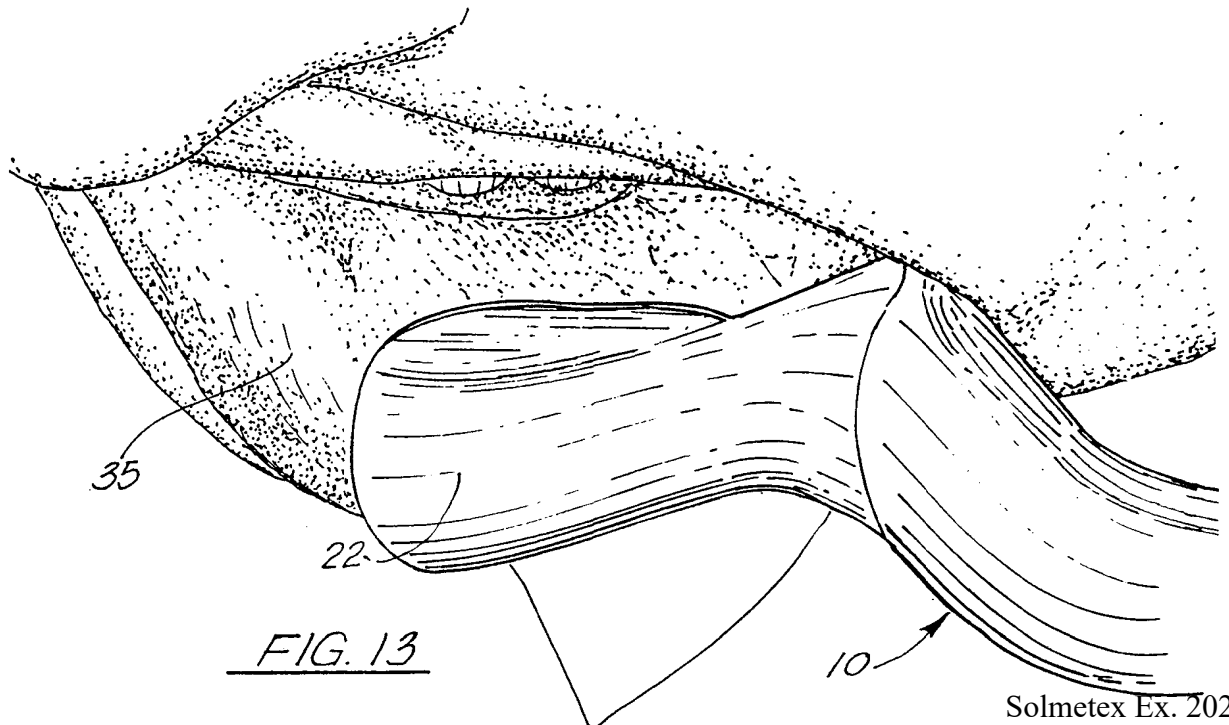


FIG. 12B



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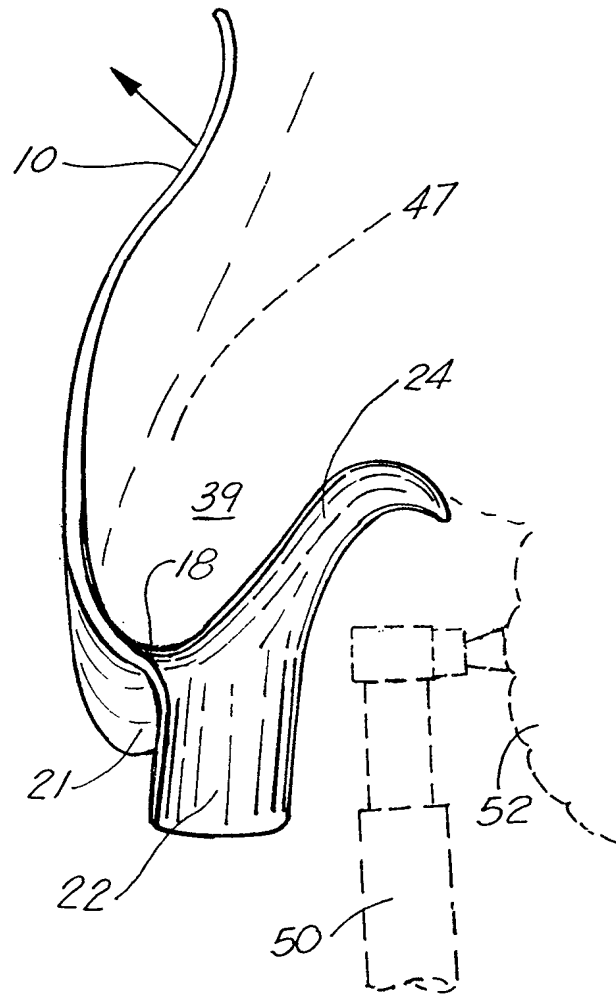


FIG. 14

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/01577

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :A61C 5/00

US CL :433/140; 600/237

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 433/140; 600/237, 238, 242

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,730,597 A (LUTTRELL) 24 March 1998, entire document.	1-16

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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Date of the actual completion of the international search 21 APRIL 2000	Date of mailing of the international search report 03 MAY 2000
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer NICK LUCCHESI Solmetex Ex. 2021 Ascenture Dental Products Solmetex - IPR2025-01059



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(71) Applicant: NOVO DENT, LLC [US/US]; 5435 Carmel Knolls Drive, San Diego, California 92130 (US).

(72) Inventors: JACOBSON SHAGAN, Sigal; 5435 Carmel Knolls Drive, San Diego, California 92130 (US). UR-RUTIA FABIAN, Pedro; 7 Ave 14-44 Zona 9 # 8, Guatemala City, 01009 (GT).

(74) Agent: BAKER, Gregory C. et al.; 230 South 500 East, Suite 300, Salt Lake City, Utah 84102 (US).

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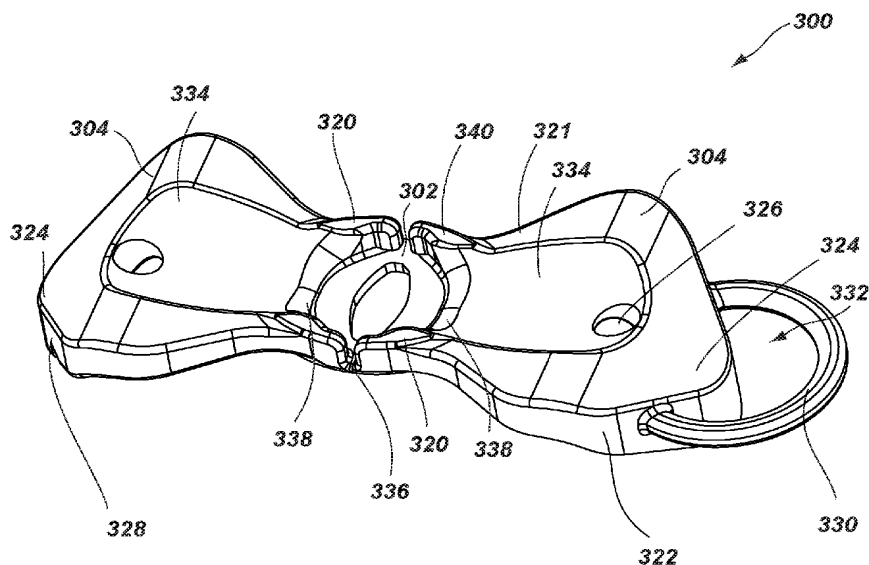


FIG. 7

(57) Abstract: A device for retaining a dental dam includes a flexible clamp including a central portion for receiving at least one tooth of a subject. Dental dam assemblies and methods for forming and using the clamps in dental dam assemblies are also disclosed.



Declarations under Rule 4.17:

- *as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))*
- *as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))*

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POLYMER DENTAL DAM CLAMP AND RELATED ASSEMBLIES AND METHODS

PRIORITY CLAIM

5 This application claims the benefit of the filing date of United States Provisional Patent Application Serial No. 62/440,189, filed December 29, 2016, for “POLYMER DENTAL DAM CLAMP AND RELATED ASSEMBLIES AND METHODS,” the disclosure of which is hereby incorporated herein in its entirety by this reference.

10 TECHNICAL FIELD

The disclosure relates generally to the field of dental devices and related assemblies and methods. In particular, the disclosure relates to a flexible retaining device that couples with a portion of a subject's mouth (*e.g.*, teeth), such as a polymer dental clamp, for retaining a dental dam, and related assemblies and methods.

15

BACKGROUND

Dental dams are employed in many dental procedures. The rubber dental dam is known in the art and has been utilized in dentistry since 1864. It comprises a thin, flexible piece of rubber employed to isolate one or more teeth during various dental procedures.

20 The purpose for using the rubber dental dam is to provide an optimum working environment for the dentist while protecting the patient during operative procedures.

The dam minimizes or eliminates saliva from the field of operation and may mildly retract the soft tissue around the tooth. Most procedures in operative dentistry are performed more efficiently by employing a dental dam, as it assures a dry working area during all steps of the procedure. Another advantage to operating in a dry field is that dental restorative materials do not develop their full properties in a wet operating environment. The rubber dam then permits a dentist to obtain the expected physical properties of materials because of the dry field.

The dental dam also protects the patient and the practitioner. The rubber dental dam protects the patient from the possibility of aspirating or swallowing debris. In addition, the dam will permit prompt recovery of any instruments dropped during the operative procedure. The dental dam also affords the practitioner protection from any infectious disease that may be present in the subject's mouth.

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To employ a dental dam, the dam may first be placed around and attached to a YOUNG™ holder, which is a frame for the dental dam. Holes are subsequently punched in the dam such that each hole corresponds to a tooth for placement thereover. The dental dam is then lubricated to facilitate the passing of the dam through the tooth/crown contact points. Alternatively, the holes may be punched first, the dam is installed over the teeth and is then stretched over the frame. In either order, the dental dam then must be stabilized using a retainer.

A metal retainer clamp is the most common form of stabilizing a dental dam and consists of four prongs and two jaws connected by a bow. The problem with utilizing the metal retainer clamp is that the clamp is not ideally configured for a specific tooth. Due to the variation in teeth across a single mouth, from sharp, thin incisors to broad, wide molars, many types of teeth are encountered. Further, every mouth has different sizes and shapes of teeth. As a result, with specific clamps designed for specific teeth, many types of clamps need to be stocked by the practitioner.

Another disadvantage in employing the metal retainer clamp is that the four prongs must contact a tooth, if they do not, the clamp may need to be modified, a process requiring still more time. Furthermore, even with four-point contact, the retainer can injure the gingiva (*i.e.*, gums), as well as the tooth, resulting in post-operative soreness. Accurate placement of the clamps is difficult to achieve and, as a result, the patient may need to be anesthetized allowing for more comfortable retainer placement on the anchor tooth. The clamp may also create sealing problems on the anchor tooth, which then creates leakage problems of wetness into the work area. Further disadvantages include reprocessing costs associated with utilizing the metal retainer clamps in multiple proceeds, such as the required sterilization of the clamps along with reprocessing and sterilization of any dental tools, such as dental clamp forceps, that are utilized to place the metal retainer clamps (*e.g.*, dental clamp forceps).

Alternatively, practitioners have used elastomeric cords for retaining dental dams. One such cord is disclosed in U.S. Patent 5,104,317, the disclosure of which is hereby incorporated herein in its entirety by this reference. To retain a dental dam with an elastomeric cord, the dental dam is first placed over the subject's teeth as described above. Next, the practitioner elongates a section of elastomeric cord thereby reducing the cross-sectional area of one section of the cord. The practitioner then interposes a portion of the elongated section between at least two adjacent teeth and over the dam. Once the cord is

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positioned, the practitioner allows the cord to expand to its original cross-sectional area, thereby prohibiting the removal of the dam from the teeth.

Although the elastomeric cords have proven useful once they are positioned, problems have arisen when the practitioner is installing and removing the elastomeric
5 cords. One problem has been that the elastomeric cords have been difficult to pick up and grip easily. The difficulty in gripping leads to difficulty when the practitioner must elongate the cord. Another problem is that the installation and removal of the cords requires at least two hands. In view of the limiting working area, the two-handed operation is a disadvantage. Furthermore, because teeth tend to have rounded corners, the
10 elastomeric cord only provides a small wedge where the space between the adjacent teeth is the narrowest.

DISCLOSURE

Described are clamping devices for use in dental procedures. For example, such
15 clamping devices may be utilized for retaining a dental device, such as a flexible clamp (*e.g.*, a polymer clamp) for retaining one or more portions of a dental dam. The flexible clamps may be provided in multiple sizes in order to be utilized on different sizes (*e.g.*, adult, child, *etc.*) and types of teeth (*e.g.*, molars, anterior teeth, *etc.*).

In some embodiments, the device for retaining a dental dam may comprise a
20 flexible clamp circumscribing a central aperture for receiving at least one tooth of a subject in the central aperture.

In some embodiments, the clamp may include a central portion including one or more thin bands and/or one or more bands (*e.g.*, reinforced bands) defining a central aperture. The clamp may also include one or more wings attached to the central portion
25 proximate the one or more reinforced bands, where one or more of the one or more reinforced bands or the one or more wings are configured to provide a surface for retaining the dental dam.

In some embodiments, the clamp may include a raised portion extending linearly across a midsection of the one or more wings transverse to a longitudinal axis of the clamp.
30 The clamp may also include a gripping portion located on the one or more wings between the raised portion of the one or more wings and the central portion of the clamp.

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In some embodiments, the flexible clamp (*e.g.*, polymer clamp) may optionally include one or more reinforcement structures (wires, springs, ribbons, combinations thereof, *etc.*) that are utilized to reinforce the structure of the flexible clamp.

Also disclosed is a dental dam assembly including a dental dam and a clamp. The clamp may comprise a polymer material and may be configured to retain the dental dam. In some embodiments, the clamp may include a central portion including one or more thin bands and/or one or more bands defining a central aperture. The clamp may also include one or more wings attached to the central portion proximate the one or more bands, the one or more wings configured to provide a surface to retain the dental dam relative to a tooth.

In some embodiments, the dental dam assembly may include a dental dam and a flexible clamp circumscribing a central aperture and for receiving at least one tooth of a subject in the central aperture to retain the dental dam relative to the at least one tooth.

In some embodiments, the disclosed dental clamps may be utilized with other dental devices and/or procedures. For example, a dental clamp itself, or in conjunction with other devices, may be utilized as dental matrices in a restoration process.

In some embodiments, a dental clamp may include a feature and/or mechanism that interacts with an adjacent structure (*e.g.*, another portion of the clamp) to secure one or more of the wings in a displaced position. The displaced position may enhance the ability of the user to thread a portion of the clamp (*e.g.*, one or more wings) through an aperture in a dental dam. Such a displaced position may be an upward position extending in a direction transverse (*e.g.*, perpendicular) to a major axis of the clamp (*e.g.*, where one or both wings extend from and substantially over the central portion).

In some embodiments, methods of retaining a dental dam include grasping at least one wing of a clamp; applying tension along an axis of the clamp to elongate at least one band proximate an aperture of a central portion of the clamp; centering the aperture of the central portion over an exposed tooth; securing a portion of the at least one band in an intermediate space between adjacent teeth by applying pressure to position the at least one band proximate the gingiva; releasing the applied tension to enable the at least one band to at least partially surround the exposed tooth; and retaining the dental dam proximate the exposed tooth with the at least one wing.

Further disclosed are methods for retaining a dental dam with the clamp and methods of forming the dental dam clamp according to the disclosure.

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BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 depicts a top view of a clamp for retaining a dental dam in accordance with an embodiment of the disclosure.

FIG. 2 depicts a front plan view of the clamp of FIG. 1.

5 FIG. 3 depicts an end view of the clamp of FIG. 1.

FIG. 4 depicts a top view of the clamp in use in a dental dam assembly in accordance with an embodiment of the disclosure.

FIG. 5 depicts an isometric view of a clamp for retaining a dental dam in accordance with an embodiment of the disclosure.

10 FIG. 6 depicts a front plan view of the clamp of FIG. 5.

FIG. 7 depicts an isometric view of a clamp for retaining a dental dam in accordance with an embodiment of the disclosure.

FIG. 8 depicts another isometric view of the clamp of FIG. 5.

15 MODE(S) FOR CARRYING OUT THE INVENTION

Illustrations presented herein are not necessarily meant to be actual views of any particular device, assembly, system, method, or components thereof, but are merely idealized representations, which are employed to describe embodiments of the disclosure. Additionally, elements common between figures may retain the same numerical
20 designation.

As used herein, the term “substantially,” in reference to a given parameter, means and includes to a degree that one skilled in the art would understand that the given parameter, property, or condition is met with a small degree of variance, such as within acceptable manufacturing tolerances. For example, a parameter that is substantially met
25 may be at least about 90% met, at least about 95% met, or even at least about 99% met.

FIG. 1 depicts a top view of a clamp for use in a dental procedure, such as, for example, an at least partially flexible clamp 100 for retaining a dental dam. In some embodiments, the clamp 100 may at least partially or entirely comprise a polymer (*e.g.*, rubbers, elastomers, silicon elastomers or rubbers, thermoplastic elastomers, plastics,
30 combinations thereof *etc.*) dental dam clamp for retaining a dental dam (*e.g.*, rubber dental dam) during a dental procedure. In some embodiments, the clamp 100 may be utilized to assist in temporarily retaining the dental dam in a desired position in order to isolate one or more teeth during a dental procedure. The clamp 100 comprises a central portion 102 (*e.g.*,

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a substantially elliptical, circular, or oval-shaped structure), which may comprise one or more thin bands 118 (*e.g.*, bands having a relatively smaller thickness taken in a radial or lateral direction) and one or more thicker or reinforced bands 120 (*e.g.*, bands having a relatively larger thickness taken in a radial or lateral direction). For example, two opposing
5 thin bands 118 and two opposing reinforced bands 120 may define a circumference of the substantially circular or oval-shaped structure of the central portion 102. In some embodiments, the thin bands 118 and/or reinforced bands 120 may be one continuous structure extending about (*e.g.*, surrounding) a central aperture 108 with relatively narrower and thicker portions as depicted in FIG. 1.

10 In some embodiments, the central portion 102 of the clamp 100 may include a cover portion (not shown) extending over the central aperture 108. Such a cover portion may act to cover (*e.g.*, shield, isolate) an upper portion of the subject's tooth or teeth that is received in the central aperture 108.

15 In some embodiments, the clamp 100, including the thin bands 118 and the reinforced bands 120 of the central portion 102, may be one continuous structure of a single material (*e.g.*, polymer material). In other embodiments, the clamp 100 may be formed of different sections or materials that have been affixed (*e.g.*, using an adhesive, *etc.*) to one another in order to form the clamp 100.

20 In some embodiments, one or more portions of the clamp 100 may comprise a material that is at least partially transparent in an imaging process. For example, one or more portions of the clamp 100 may comprise a radiolucent material that is at least partially transparent (*e.g.*, substantially entirely transparent) in images (*e.g.*, and/or will not interfere with other structures being imaged) taken by devices, such as, for example, cone beam computed tomography (CBCT) devices, X-rays devices, *etc.*

25 In some embodiments, the clamp 100 may include metal portions (*e.g.*, wire, spring, *etc.*) configured to provide additional support to the polymer material in high strain areas of the clamp 100, for example, in the reinforced bands 120. In other embodiments, each portion of the clamp 100 may be constructed exclusively of non-metallic materials (*e.g.*, rubber, plastic, silicone, polyimide, *etc.*). In addition, an increased thickness and/or
30 strength of material in the reinforced bands 120 enables a relatively higher tensile strength relative to the thin bands 118, while still retaining an at least partial ability to stretch (*e.g.*, elastically deform) around a subject's tooth or teeth. Such an effect enables the clamp 100 to maintain integrity without significantly tearing or damaging the clamp 100 while

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allowing the thin bands 118 to stretch (*e.g.*, elastically deform), thus minimizing a cross-sectional area of the thin bands 118 to enable placement thereof between adjoining teeth. In some embodiments, the clamp 100 may include a single body (*e.g.*, a molded article) consisting essentially of the polymer material. In some embodiments, the clamp 100 may
5 comprise a latex-free material, such as, for example, a radiopaque silicone medical grade material. Additional fillers may also be present in the polymer material. The clamp 100 may not be rigid and may be at least partially moldable, depending on the modulus of elasticity (*i.e.*, stiffness) of the material. The thin bands 118 and/or the reinforced bands 120 may include a band of silicone or elastomer. Selection of specific materials may
10 depend on desired physical properties including, for example, modulus of elasticity, compressive strength, and/or flexural strength. It may be appreciated that any suitable material may be used based on individual requirements.

While FIG. 1 depicts the clamp 100 including the central portion 102 having a generally oval shape with two opposing thin bands 118 (shown at the at top and bottom
15 sides of the central portion 102) and with two opposing reinforced bands 120 (shown at the left and right sides of the central portion 102), in other embodiments, the central portion 102 may be formed as any suitable size and shape, such as, for example, a C-shape or an arc-shape. By way of non-limiting example, a single reinforced band 120 may extend around a majority of the central portion 102, while a single thin band 118 may extend
20 around a minor section of the central portion 102, thereby providing a C-shape.

The central portion 102 of the clamp 100 may comprise the central aperture 108 defined by inner portions of the thin bands 118 and the reinforced bands 120. The central aperture 108 may be symmetric with respect to a longitudinal axis L of the clamp 100 and may be symmetric with respect to an axis transverse to the longitudinal axis L. However,
25 the central aperture 108 may, or may not, be circular or oval in shape. Rather, the central aperture 108 may have a non-uniform shape defined by the proximate locations and thicknesses of the surrounding thin bands 118 and the reinforced bands 120. For example, as shown in the embodiment of FIG. 1, the thin bands 118 have a relatively smaller cross-sectional area than the cross-sectional area of the reinforced bands 120. The cross-
30 sectional area of the thin bands 118 may approximate a cross-sectional area of dental floss. In addition, each of the thin bands 118 and the reinforced bands 120 may have a similar height to one another in a third direction (*i.e.*, out of the page, *see, e.g.*, FIG. 2) providing a coextensive surface with respect to one another. In other embodiments, the relative heights

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of the thin bands 118 and the reinforced bands 120 may be different and may not provide a coextensive surface.

The central portion 102 may include a web portion 106 (*e.g.*, an inner or innermost band) within at least a portion of an interior area of the central aperture 108. The web portion 106 may have a decreased thickness taken in a direction transverse to the longitudinal axis L (*e.g.*, as compared to one or more adjacent portions of the clamp 100, such as, the bands 118, 120) and may easily conform (*e.g.*, elastically deform) around a subject tooth. The central aperture 108 may include an elongated shape (*e.g.*, a slot) having an enlarged central portion 112 and two relatively narrow side portions 114 as defined by the web portion 106. In some embodiments, the enlarged central portion 112 may be concave along a centerline of the central aperture 108. As depicted in FIG. 1, the web portion 106 may extend from and/or be recessed within the inner portions of the thin bands 118 and the reinforced bands 120. In addition, the web portion 106 may be recessed from the upper surface of the central portion 102 (*e.g.*, recessed into the page from the perspective of FIG. 1). In some embodiments, sections of the web portion 106 proximate the thin bands 118 may be relatively narrower than sections of the web portion 106 proximate the reinforced bands 120. The web portion 106 may include a thin, flexible portion of the polymer material of the clamp 100. As depicted, the central aperture 108 may be configured to receive a tooth therethrough, while the web portion 106 hugs the tooth (*e.g.*, restricts around the tooth), providing additional surface area of polymer material to surround the tooth.

The clamp 100 may also comprise one or more wings 104 (*e.g.*, two opposing wings) attached to and generally extending away from the central portion 102 (*e.g.*, in a direction along the longitudinal axis L). The wings 104 may be located proximate the one or more reinforced bands 120. The wings 104 (*e.g.*, handles) may be polygonal in shape where the outer edges of the wings 104 may flare outward and then back inward meeting, for example, at a point. In some embodiments, the wings 104 may be one continuous structure of a single material (*e.g.*, polymer material) with the clamp 100 and the central portion 102, including the thin bands 118 and the reinforced bands 120. In other embodiments, the wings 104 may be formed of different sections or materials and affixed (*e.g.*, using an adhesive, *etc.*) to the central portion 102 of the clamp 100. In addition, the wings 104 may have a similar height with respect to the central portion 102 in the third direction (*i.e.*, out of the page) providing a coextensive surface with respect to the central

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portion 102. In other embodiments, the relative height of the wings 104 may not provide a coextensive surface with respect to the central portion 102, as shown in the embodiment of FIG. 2.

As depicted in FIG. 1, the wings 104 may be sized and shaped to be substantially symmetrical with respect to the central portion 102 or, in other embodiments, the wings 104 may be sized and shaped to be asymmetrical. Each of the wings 104 may include a raised portion 122 extending linearly (*e.g.*, transverse the longitudinal axis L) across a midsection thereof. The raised portion 122 may be located near an enlarged midsection of the wings 104, as depicted in FIG. 1. In some embodiments, the raised portion 122 may be formed to include an additional thickness relative to a thickness of the wings 104. In other embodiments, the raised portion 122 may be of a similar thickness as the wings 104, but may include an area having a heightened feature (*e.g.*, joint, bend, *etc.*) effectively providing an angle relative to a major plane of the wings 104, as shown in FIG. 2. Forming such an angle in the wings 104 may facilitate placement of the clamp 100 and retention of an associated device (*e.g.*, a dental dam).

The wings 104 may also include a gripping portion 124 located approximately between the raised portion 122 of the wings 104 and the central portion 102 of the clamp 100. The gripping portion 124 may provide an additional contour providing strength to the wings 104, along with providing increased grip strength while applying outward tension (*i.e.*, stretching) during application of the clamp 100. In some embodiments, the gripping portion 124 may be configured to express a logo or other such pattern unique to the clamp 100. For example, the gripping portion 124 as depicted in FIG. 1 corresponds to a product name (*i.e.*, “NC” representing the product name “Novoclamp”). Additional shapes and configurations of the wings 104 are contemplated. While the wings 104 are depicted as being two opposing wings 104 located proximate two reinforced bands 120, the wings 104 may also include one or more non-opposing wings for other embodiments of the central portion 102 (*e.g.*, C-shaped or arc-shaped configurations). However, it is to be appreciated that having two opposing wings 104 facilitates stretching of the clamp 100 during application. The wings 104 may also include one or more holes 126 in an outer end thereof remote from the central portion 102. In some embodiments, the one or more holes 126 may be utilized to retrieve and/or remove the clamp 100. For example, another dental device (*e.g.*, floss) may be passed through the one or more holes 126 in order to secure and/or retrieve the clamp 100 in the event it may become dislodged or removed from the

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subject's tooth. In some embodiments, the one or more holes 126 may be located and configured to enable retention of a dental instrument in order to facilitate stretching the wings 104 and to ensure accurate placement of the clamp 100.

In some embodiments, a length (*e.g.*, along the longitudinal axis L) of the clamp 100, including the central portion 102 and the wings 104, may be between about 4 mm and about 10 mm. In some embodiments, the length of the clamp 100 may be between about 5 mm and about 6 mm. In addition, a width (*i.e.*, transverse to the longitudinal axis L) of the clamp 100, may be between about 4 mm and about 10 mm. In some embodiments, the width of the clamp 100 may be between about 8 mm and about 10 mm.

FIG. 2 depicts a front plan view of the clamp 100 of FIG. 1 as viewed from a side surface thereof. As shown in FIG. 2, the clamp 100 may include a non-planar aspect with regard to the major surface of the clamp 100. As depicted, the wings 104 are configured to extend away from the central portion 102. In some embodiments, the wings 104 may be configured to be flush with and provide a coextensive surface with respect to the central portion 102. In other embodiments, the raised portion 122 may extend along a midsection of the wings 104. In other words, portions of the midsection of the wings 104 may slightly flair upward with respect to the major plane of the wings 104. In other embodiments, the raised portion 122 may include an additional thickness of material, as discussed above. In addition, the thickness of a sidewall 128 of the wings 104 may be the same as, or different than, the thickness of the central portion 102. For example, the thickness of the wings 104 may be greater than, or in some embodiments, less than, the thickness of the central portion 102 depending on strength of material requirements in specific locations. It is to be understood that any shape or size of wings 104 may be contemplated.

FIG. 3 depicts an end view of the clamp 100 of FIG. 1 as viewed from a lateral end surface thereof containing the central portion 102 and the wings 104. As depicted in FIG. 3, the wings 104 may also include an angle relative to the longitudinal axis L of the clamp 100. In other words, the wings 104 may include a slight bend or joint as seen from the end view of the clamp 100. In addition, the gripping portion 124 may be configured to include an addition thickness relative the major plane of the wings 104, as shown in FIGS. 2 and 3.

FIG. 4 depicts a top view of the clamp 100 in use in a dental dam assembly 130. The dental dam assembly 130 including the clamp 100 may be utilized to provide a support structure for retaining a dental dam proximate to an exposed tooth 138 (*e.g.*, a molar). The

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dental dam assembly 130 may include a dental dam 132 (*e.g.*, a rubber or latex dental dam) including a dental dam frame 134. The dental dam 132 may include one or more apertures 136 configured to expose and isolate one or more teeth during a dental procedure. As shown in FIG. 4, the exposed tooth 138 may extend through the one or more

5 apertures 136 in the dental dam 132. In order to facilitate retention of the dental dam 132 using the clamp 100, a support structure with sufficient strength of material is required to retain the dental dam 132 proximate the exposed tooth 138 without damaging the exposed tooth 138 and/or surrounding tissue.

While conventional metal retention clamps also retain the dental dam 132

10 proximate the exposed tooth 138, the metal clamps often require multiple attachment points thereto. In addition, metal retention clamps tend to be uncomfortable to the patient, often requiring the need to anaesthetize the gums proximate the clamps. However, embodiments of the instant disclosure may enable ease of use by surrounding the exposed tooth 138 with the clamp 100 constructed of a polymer material in combination with the unique physical

15 characteristics (*i.e.*, shape and size) as disclosed herein. Such configurations enable the clamp 100 to provide suitable support structure to retain the dental dam 132 without damaging teeth and/or crowns along with surrounding tissue. In addition, use of the clamp 100 including the polymer material enables placement without tearing or otherwise damaging the dental dam 132. Also, as a result of the position of the clamp, which is

20 closer to the gum, without any metal rim interfering, the dentist is enabled to preform an occlusal bite check while the dam is in place without any interference from the metal rim or other portion of the clamp.

In addition, metal retention clamps may require help from an assistant and may require placement with forceps. In contrast, the clamp 100 may be positioned without

25 requiring assistance (*e.g.*, the assistance of another practitioner, such as an assistant). Further, the one or more holes 126 of the clamp 100 may be located and configured to enable retention of a dental instrument in order to facilitate stretching the wings 104 and to ensure accurate placement of the clamp 100. In this manner, the clamp 100 may permit the dental dam 132 to be safely retained within the mouth of the subject during the procedure.

30 The clamp 100 may be available in more than one size, for example, a smaller size for incisors and/or canines (*i.e.*, front teeth) or pediatric molars and a larger size for molar and premolar teeth, providing a sensible alternative to multiple size options of the metal retainer clamps. Another advantage over metal retention clamps includes the clamp 100

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using relatively inexpensive materials and manufacturing processes, enabling an affordable single use (*i.e.*, disposable) article. Further, the clamp 100 involves an easy learning curve as the clamp 100 is easily and quickly positioned, thereby saving time during a procedure.

Conventional devices for shielding teeth may also include a DMG MINIDAM®
5 commercially available from DMG America, of Englewood, NJ. However, the DMG MiniDam itself constitutes a smaller version of a dental dam rather than a clamp to hold a traditional dental dam in place. Therefore, the DMG MiniDam may not protect a subject from inhalation of materials and may not completely isolate teeth from the oral environment. The DMG MiniDam is disclosed in International Publication No.
10 WO 2015/124785, the disclosure of which is hereby incorporated herein in its entirety by this reference. Another device for retaining a dental dam is disclosed in International Publication No. WO 96/39984, the disclosure of which is hereby incorporated herein in its entirety by this reference.

With continued reference to FIG. 4, the aperture 136 of the dental dam 132 may be
15 aligned over an occlusal surface (*i.e.*, bite side) of the exposed tooth 138. In some embodiments, the dental dam 132 may be attached to the dental dam frame 134 for stability in handling and to add tension to the fabric of the dental dam 132. In some embodiments, application of the clamp 100 includes positioning the clamp 100 (*e.g.*, after positioning the dental dam 132) by grasping the wings 104 of the clamp 100 and applying tension in a
20 tensioning direction along the longitudinal axis L to elongate the thin bands 118 proximate the central aperture 108 of the clamp 100. The central aperture 108 of the clamp 100 may then be centered over the exposed tooth 138. The thin bands 118 may be secured in an intermediate space between adjacent teeth by applying pressure in a downward direction in order to position the thin bands 118 proximate the base of the exposed tooth 138 and
25 proximate the gingiva (*i.e.*, gums). In other words, stretching the clamp 100 will enable the thin bands 118 to fully extend in order to elongate the thin bands 118 reducing the cross-sectional area thereof to any suitable size (*e.g.*, longer, thinner, *etc.*) relative to the resting position of the thin bands 118 in order to facilitate insertion between adjacent teeth.

Once positioned, the applied tension of the thin bands 118 may be released by
30 releasing the wings 104. The thin bands 118 may then return to their resting position, filling intermediate spaces around the exposed tooth 138. As depicted, surface areas of the wings 104 are configured to retain the dental dam 132 proximate the exposed tooth 138 while the thin bands 118 remain located between interproximal surface of the exposed

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tooth 138 and adjacent teeth during the dental procedure. Stated in another way, the clamp 100 may provide structural support to retain the dental dam 132 in place during the procedure by allowing the wings 104 to engage the fabric of the dental dam 132.

Following the procedure, the clamp 100 may be released from the dental dam 132 by
5 grasping the wings 104 and applying tension along the tensioning direction of the longitudinal axis L in order to re-stretch the thin bands 118 to facilitate removal thereof. Once the clamp 100 has been removed, the dental dam 132 may also be removed.

In other embodiments, the clamp 100 may be placed prior to placement of the dental dam 132. For example, the clamp 100 may be placed in a similar manner to that
10 discussed above. Thereafter, the dental dam 132 may be placed by moving (*e.g.*, bending, rotating) the wings 104 and passing (*e.g.*, threading) the wings 104 through the aperture 136. The wings 104 may be released and returned back in their original configuration in order to secure the dental dam 132.

In some embodiments, the clamp 100 may be utilized to at least partially prevent
15 cement from entering the gingival tissue of a subject by separating excess cement from the tissue of the subject. Such a feature may be utilized while cementing a crown on an implant abutment or prepped tooth and/or as an aid on the cementation of onlays, inlays, or veneers, *etc.*

In some embodiments, the clamp 100 may be utilized to at least partially prevent
20 gingival crevicular fluid (GCF) and/or blood from contaminating and interfering during cementation, bonding a restoration at the cervical part of the tooth or crown.

FIG. 5 depicts an isometric view of a clamp for use in a dental procedure, such as, for example, an at least partially flexible clamp 200 for retaining a dental dam that may be at least partially similar to the clamp 100 discussed above in relation to FIGS. 1 through 4.
25 FIG. 6 depicts a front plan view of the clamp of FIG. 5. As shown in FIGS. 5 and 6, the clamp 200 comprises a central portion 202. The clamp 200 may also comprise one or more wings 204 (*e.g.*, two opposing wings) attached to and generally extending away from the central portion 202.

The wings 204 may include an inner portion 220, a raised portion 222, and an end
30 portion 224 (*e.g.*, gripping portion) extending outward from the central portion 202. The raised portion 222 may be located near an enlarged midsection of the wings 204 and the end portion 224 may extend (*e.g.*, downward) from the raised portion 222 and inner portion 220 at an angle. For example, and as depicted, the end portion 224 may extend

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downward at a relatively greater angle than the clamp 100 (FIG. 1) (*e.g.*, 45 degrees or more, 50 degrees or more, *etc.*) from a midline (*e.g.*, similar to axis L (FIG. 1)) of the clamp 200. One or more of the end portions 224 may include a terminal end 228 having a reduced cross section. For example, the end portion 224 may taper to the terminal end 228, which may end in the form of a point (*e.g.*, a rounded point) that extends substantially in the same angled direction as the end portion 224 from the raised portion 222.

As depicted, the wings 204 may each include a respective hole 226 in an outer end thereof remote from the central portion 202 (*e.g.*, proximate the raised portion 222). As above, one or more of the holes 226 may be utilized with another dental instrument to manipulate the clamp 200.

In some embodiments, the clamp 200 may include a feature and/or mechanism that interacts with an adjacent structure (*e.g.*, another portion of the clamp 200) to secure one or more of the wings 204 in a displaced position (*e.g.*, a displaced position that enhances the ability of the user to thread the wing(s) 204 through an aperture in the dental dam 132 (FIG. 4)). Such a displaced position may be an upward position extending in a direction transverse (*e.g.*, perpendicular) to the axis L (FIG. 1) (*e.g.*, where one or both wings 204 extend from and substantially over the central portion 202).

For example, the clamp 200 may include one or more retention features (*e.g.*, ring 230) on a portion of the clamp 200 (*e.g.*, on a portion of one of the wings 204, such as, for example, the end portion 224). For example, the ring 230 may be coupled to (*e.g.*, partially embedded within) one of the wings 204. In some embodiments, the ring 230 may be substantially continuous and extend through at least a majority of (*e.g.*, an entirety) of the wing 204 (*e.g.*, in a lateral direction). In some embodiments, the ring 230 may be discontinuous (*e.g.*, a split ring) and extend only into a portion of the wing 204 to secure the ring 230 to the clamp 200. In some embodiments, the ring 230 may be coupled to the clamp 200 to enable the ring 230 to move (*e.g.*, rotate) relative to a portion of the clamp 200 (*e.g.*, the wing 204).

In other embodiments, a portion of the clamp 200 (*e.g.*, one or more of the wings 204) may include other retention and/or attachment features that interact with another adjacent structure of the clamp 200 (*e.g.*, the other wing 204) to secure one or more of the wings 204 in the displaced position, for example, an upward position extending in a direction transverse (*e.g.*, perpendicular) to the axis L (FIG. 1). For example, one or more of the wings 204 (*e.g.*, both wings 204) may include attachment features, such as,

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complementary fastening features, buttons, latches, hook-and-loop connectors, adhesives, combinations thereof, or other suitable features, to place the wing(s) 204 in the displaced position (*e.g.*, where both wings extend over the center portion 202).

In operation, the ring 230 (or other fastening feature) may be utilized to secure one or more of the wings 204 (*e.g.*, both wings 204) in the displaced positioned over (*e.g.*, substantially in vertical alignment with) the central portion 202. For example, the wings 204 may be lifted over the central portion 202 and the ring 230 may be rotated to capture a portion of the opposing wing 204 (*e.g.*, the wing 204 lacking the ring 230) in an opening 232 of the ring 230. For example, at least a portion of the end portion 224 (*e.g.*, the raised portion 222 and, optionally, the inner portion 222) of the opposing wing 204 lacking the ring 230 may be threaded through the opening 232. Once in the opening 232, a portion of the wings 204 (*e.g.*, the terminal end or portion 228, the end portion 224, the raised portion 222, or combinations thereof) may contact the ring 230 and secure the wings 204 in the displaced position.

In some embodiments, the wings 204 may be biased in the extended position (*e.g.*, as shown in FIGS. 5 and 6) in order to secure the wing 204 that is inserted into the ring 230 by applying an outward force on the ring 230 that is countered by the bias of the other wing 204 having the ring 203.

As noted above, the moving and securing the wings 204 in such a manner may enhance the ability of the user to thread the wing(s) 204 through an aperture in the dental dam 132 (FIG. 4). For example, such a feature minimizes the cross-sectional area of the clamp 200 in order for the clamp 200 to be threaded through the dental dam 132 without requiring substantially increasing the size of an aperture in the dam 132 that is to be fitted around a tooth and/or teeth.

In some embodiments, the ring 230 may be utilized to manipulate the clamp 200 during placement of the clamp 200 (*e.g.*, using the ring 230 to assist in placing the clamp 200 over a tooth) and/or may be used to manipulate the clamp 200 during placement of the dental dam 132 (FIG. 4) (*e.g.*, using the ring 230 to thread to a portion of the clamp 200 through the dental dam 132).

FIG. 7 depicts an isometric view (*e.g.*, a top view) of a clamp for use in a dental procedure, such as, for example, an at least partially flexible clamp 300 for retaining a dental dam that may be at least partially similar to the clamps 100, 200 discussed above in relation to FIGS. 1 through 6. FIG. 8 depicts another isometric view (*e.g.*, a bottom view) of

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the clamp of FIG. 7. As shown in FIGS. 7 and 8, the clamp 300 comprises a central portion 302. The clamp 300 may also comprise one or more wings 304 (*e.g.*, two opposing wings) attached to and generally extending away from the central portion 302 along a longitudinal axis of the clamp 300. The central portion 302 may include one or more bands 320 (*e.g.*, two
5 reinforced, semicircular bands 320, similar to the bands discussed above) at least partially surrounding a web portion 306. A central aperture 308 may be defined within at least a portion of an interior area of the web portion 306 (*e.g.*, a thin band). The web portion 306 may have a decreased thickness (*e.g.*, as compared to one or more adjacent portions of the clamp 300, such as, portions of the wings) and may easily conform (*e.g.*, elastically deform)
10 around a subject tooth. The central aperture 308 may include an elongated shape (*e.g.*, an elliptical or oval slot) having an enlarged central portion and two relatively narrow side portions as defined by the web portion 306.

In some embodiments, one or more recesses 336 may be defined at the central portion 302 (*e.g.*, in bands 320 providing a thickness similar or the same as the web portion 306).
15 Such recesses 336 may act to enable the central portion 302 to be elongated in order to be positioned over a tooth or teeth.

In some embodiments, the wings 304 may include recessed portions 336 (*e.g.*, concave) extending along the length (*e.g.*, a majority of the length) of the wings 304. Such recessed portions 334 may act to enhance the ability of the clamp 300 to be stretched (*e.g.*, by
20 providing a reduced thickness and/or by providing additional areas for a user to grasp the clamp 300).

In some embodiments, the bands 320 may include raised portions 340 at lateral sides of the central portion 302 (*e.g.*, bordering the recesses 336) and transition portions 338 (*e.g.*, portions that are rounded, tapered, chamfered, sloped, etc.) that gradually transition from the
25 central portion 302 (*e.g.*, the web portion 306) to a portion of the wings 304 (*e.g.*, the recessed portions 336). In other embodiments, the bands 320 may include raised portions 340 along a majority or an entirety of the bands 320.

As above, the wings 304 may include an inner portion 321, a raised portion 322, and an end portion 324 (*e.g.*, gripping portion, which may be used in conjunction with the
30 recessed portions 334) extending outward from the central portion 302. The raised portion 322 may be located near an enlarged midsection of the wings 304 and the end portion 324 may extend (*e.g.*, downward) from the raised portion 322 and inner portion 321 at an angle. One or more of the end portions 324 may include a terminal end 328 having a reduced

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cross section. For example, the end portion 324 may taper to the terminal end 328, which may end in the form of a point (*e.g.*, a rounded point) that extends substantially in the same angled direction as the end portion 324 from the raised portion 322.

As depicted, the wings 304 may each include a respective hole 326 in an outer end thereof remote from the central portion 302 (*e.g.*, proximate the raised portion 322). As
5 above, one or more of the holes 326 may be utilized with another dental instrument to manipulate the clamp 300.

In some embodiments, the clamp 300 may include a feature and/or mechanism that interacts with an adjacent structure (*e.g.*, another portion of the clamp 300) to secure one or
10 more of the wings 304 in a displaced position (*e.g.*, a displaced position that enhances the ability of the user to thread the wing(s) 304 through an aperture in the dental dam 132 (FIG. 4)). Such a displaced position may be an upward position extending in a direction transverse (*e.g.*, perpendicular) to the axis L (FIG. 1) (*e.g.*, where one or both wings 304 extend from and substantially over the central portion 302).

For example, the clamp 300 may include one or more retention features (*e.g.*, ring
15 330) on a portion of the clamp 300 (*e.g.*, on a portion of one of the wings 304, such as, for example, the end portion 324). For example, the ring 330 may be coupled to (*e.g.*, partially embedded within) one of the wings 304. In some embodiments, the ring 330 may be substantially continuous and extend through at least a majority of (*e.g.*, an entirety) of the
20 wing 304 (*e.g.*, in a lateral direction). In some embodiments, the ring 330 may be discontinuous (*e.g.*, a split ring) and extend only into a portion of the wing 304 to secure the ring 330 to the clamp 300. In some embodiments, the ring 330 may be coupled to the clamp 300 to enable the ring 330 to move (*e.g.*, rotate) relative to a portion of the clamp 300 (*e.g.*, the wing 304).

In other embodiments, a portion of the clamp 300 (*e.g.*, one or more of the wings
25 304) may include other retention and/or attachment features that interact with an another adjacent structure of the clamp 300 (*e.g.*, the other wing 304) to secure one or more of the wings 304 in the displaced position, for example, an upward position extending in a direction transverse (*e.g.*, perpendicular) to the axis L (FIG. 1). For example, one or more
30 of the wings 304 (*e.g.*, both wings 304) may include attachment features, such as, complementary fastening features, buttons, latches, hook-and-loop connectors, adhesives, combinations thereof, or other suitable features, to place the wing(s) 304 in the displaced position (*e.g.*, where both wings extend over the center portion 302).

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In operation, the ring 330 (or other fastening feature) may be utilized to secure one or more of the wings 304 (*e.g.*, both wings 304) in the displaced positioned over (*e.g.*, substantially in vertical alignment with) the central portion 302. For example, the wings 304 may be lifted over the central portion 302 and the ring 330 may be rotated to capture a portion of the opposing wing 304 (*e.g.*, the wing 304 lacking the ring 330) in an opening 332 of the ring 330. For example, at least a portion of the end portion 324 (*e.g.*, the raised portion 322 and, optionally, the inner portion 322) of the opposing wing 304 lacking the ring 330 may be threaded through the opening 332. Once in the opening 332, a portion of the wings 304 (*e.g.*, the terminal end or portion 328, the end portion 324, the raised portion 322, or combinations thereof) may contact the ring 330 and secure the wings 304 in the displaced position. For example, the ring 330 may be retained in a notch 329 defined in the terminal portion 328 of the wing 304.

In some embodiments, the wings 304 may be biased in the extended position (*e.g.*, as shown in FIGS. 7 and 8) in order to secure the wing 304 that is inserted into the ring 330 by applying an outward force on the ring 330 that is countered by the bias of the other wing 304 having the ring 303.

As noted above, the moving and securing the wings 304 in such a manner may enhance the ability of the user to thread the wing(s) 304 through an aperture in the dental dam 132 (FIG. 4). For example, such a feature minimizes the cross-sectional area of the clamp 300 in order for the clamp 300 to be threaded through the dental dam 132 without requiring substantially increasing the size of an aperture in the dam 132 that is to be fitted around a tooth and/or teeth.

In some embodiments, the ring 330 may be utilized to manipulate the clamp 300 during placement of the clamp 300 (*e.g.*, using the ring 330 to assist in placing the clamp 300 over a tooth) and/or may be used to manipulate the clamp 300 during placement of the dental dam 132 (FIG. 4) (*e.g.*, using the ring 330 to thread to a portion of the clamp 300 through the dental dam 132).

Embodiments of the present disclosure including a clamp including the polymer material may provide benefits and advantages of retaining the dental dam to allow retention thereof while avoiding damage to healthy teeth and gums. In contrast, many conventional metal retention clamps require rigid attachment to sidewalls of the teeth. Embodiments of the dental dam assembly described herein may be used by pediatric dentists, general

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dentists, orthodontists, implant surgeons and/or periodontists and may be employed in many oral surgery and orthodontic procedures.

As noted above, while dental clamps are discussed herein primarily in use with a dental dam assembly, the dental clamps may be utilized with other dental devices and/or procedures. For example, a dental clamp itself, or in conjunction with other devices, may be utilized as dental matrices in a restoration process. Such matrices may be utilized, for example, to form the interproximal surfaces of a tooth during fabrication of a direct restoration. In some embodiments, matrix bands or forms may be held in place by the dental clamp and/or may be integral to the body of the dental clamp. Once the clamp is positioned, the bands or forms may be utilized in forming a restoration on one or more adjacent teeth and/or on the tooth that is surrounded by the dental clamp.

Once being apprised of the instant disclosure, one of ordinary skill in the art will be able to make and use the devices and assemblies disclosed herein.

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CLAIMS

What is claimed is:

- 5 1. A dental device, comprising:
a clamp comprising a polymer material, the clamp further comprising:
a central portion including at least one band defining a central aperture; and
at least one wing attached to the central portion proximate the at least one band, the
at least one wing configured to provide a surface for retaining a portion of
10 another dental device.
2. The device of claim 1, wherein the clamp further comprises:
a raised portion extending linearly across a midsection of the at least one wing transverse to
a longitudinal axis of the clamp; and
15 a gripping portion located on the at least one wing between the raised portion of the at least
one wing and the central portion of the clamp.
3. The device of claim 1, wherein the clamp further comprises a web portion
proximate the central aperture of the central portion, wherein the web portion restricts at
20 least a portion of the central aperture, the central aperture configured to receive a tooth
therethrough proximate the web portion.
4. The device of claim 3, wherein the clamp includes at least two bands and
the central portion includes at least one recess defined between the at least two bands.
25
5. The device of claim 1, wherein the at least one band comprises two bands,
and wherein the central portion includes two recesses positioned between the two bands at
two lateral locations of the clamp, wherein each of the two bands and the two recesses each
define a portion of the central aperture.
30
6. The device of claim 1, further comprising at least one hole in an outer end of
the at least one wing remote from the central portion, wherein the at least one hole is
located and configured to enable retention of a dental instrument.

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7. The device of any one of claims 1 through 6, further comprising a retention feature on the at least one wing configured to position the at least one wing at least partially over the central portion.

5

8. The device of claim 7, wherein the at least one wing comprises two wings, and wherein the retention feature is configured to secure the two wings together at least partially over the central portion.

10 9. The device of claim 8, wherein the retention feature comprises a ring on one of the two wings, wherein the ring is configured to receive the other one of the two wings through an opening in the ring in order to secure the two wings together at least partially over the central portion.

15 10. The device of any one of claims 1 through 6, wherein a majority of the central portion and the at least one wing extends along a longitudinal axis of the clamp in a normal position and further comprising a retention feature on the at least one wing configured to position the at least one wing in a direction extending transverse to the longitudinal axis in a retained position.

20

11. A dental dam assembly, comprising:
a dental dam; and
a flexible clamp configured to retain the dental dam, the flexible clamp comprising:
a central portion including at least one band defining a central aperture; and
25 at least one wing attached to the central portion proximate the at least one band, the
at least one wing configured to provide a surface to retain the dental dam
relative to a tooth.

12. The dental dam assembly of claim 11, wherein the clamp comprises the
30 device of any one of claims 1 through 10.

13. A method of retaining a dental dam, comprising:
grasping at least one wing of a clamp;

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applying tension along an axis of the clamp to elongate at least one band proximate an aperture of a central portion of the clamp;
centering the aperture of the central portion over an exposed tooth;
securing a portion of the at least one band in an intermediate space between adjacent teeth
5 by applying pressure to position the at least one band proximate the gingiva;
releasing the applied tension to enable the at least one band to at least partially surround the exposed tooth; and
retaining the dental dam proximate the exposed tooth with the at least one wing.

10 14. The method of claim 13, further comprising positioning an aperture of the dental dam over the exposed tooth prior to placing the clamp.

15 15. The method of claim 13, further comprising placing the clamp over the tooth prior to positioning an aperture of the dental dam over the exposed tooth.

16. The method of any one of claims 13 through 15, further comprising:
moving the at least one wing to a displaced position extending at least partially over the central portion; and
retaining the at least one wing in the displaced position with a retention feature.

20 17. A device for retaining a dental dam comprising a flexible clamp circumscribing a central aperture and for receiving at least one tooth of a subject in the central aperture.

25 18. A dental dam assembly, comprising:
a dental dam; and
a flexible clamp circumscribing a central aperture and for receiving at least one tooth of a subject in the central aperture to retain the dental dam relative to the at least one tooth.

30

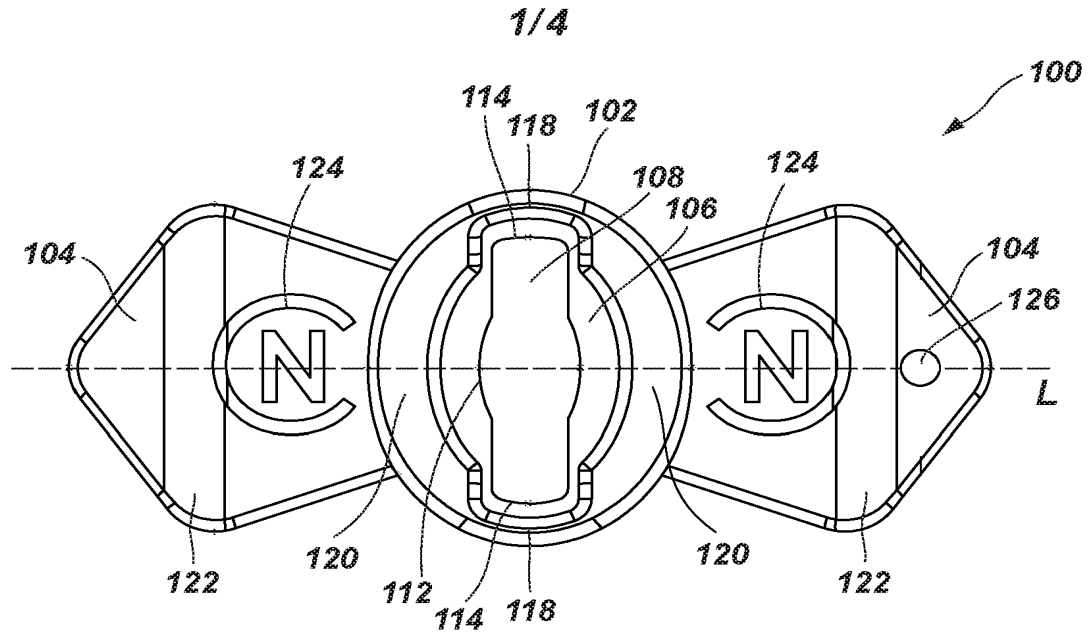


FIG. 1

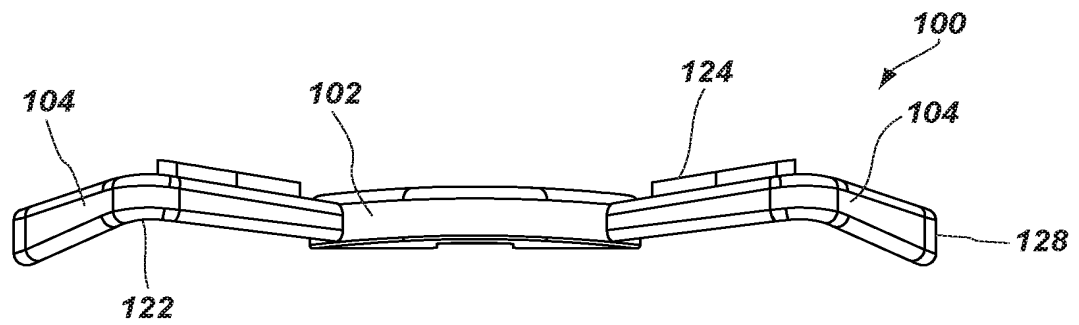


FIG. 2

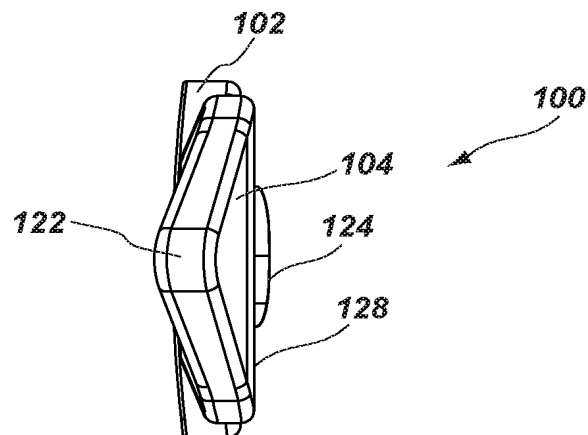
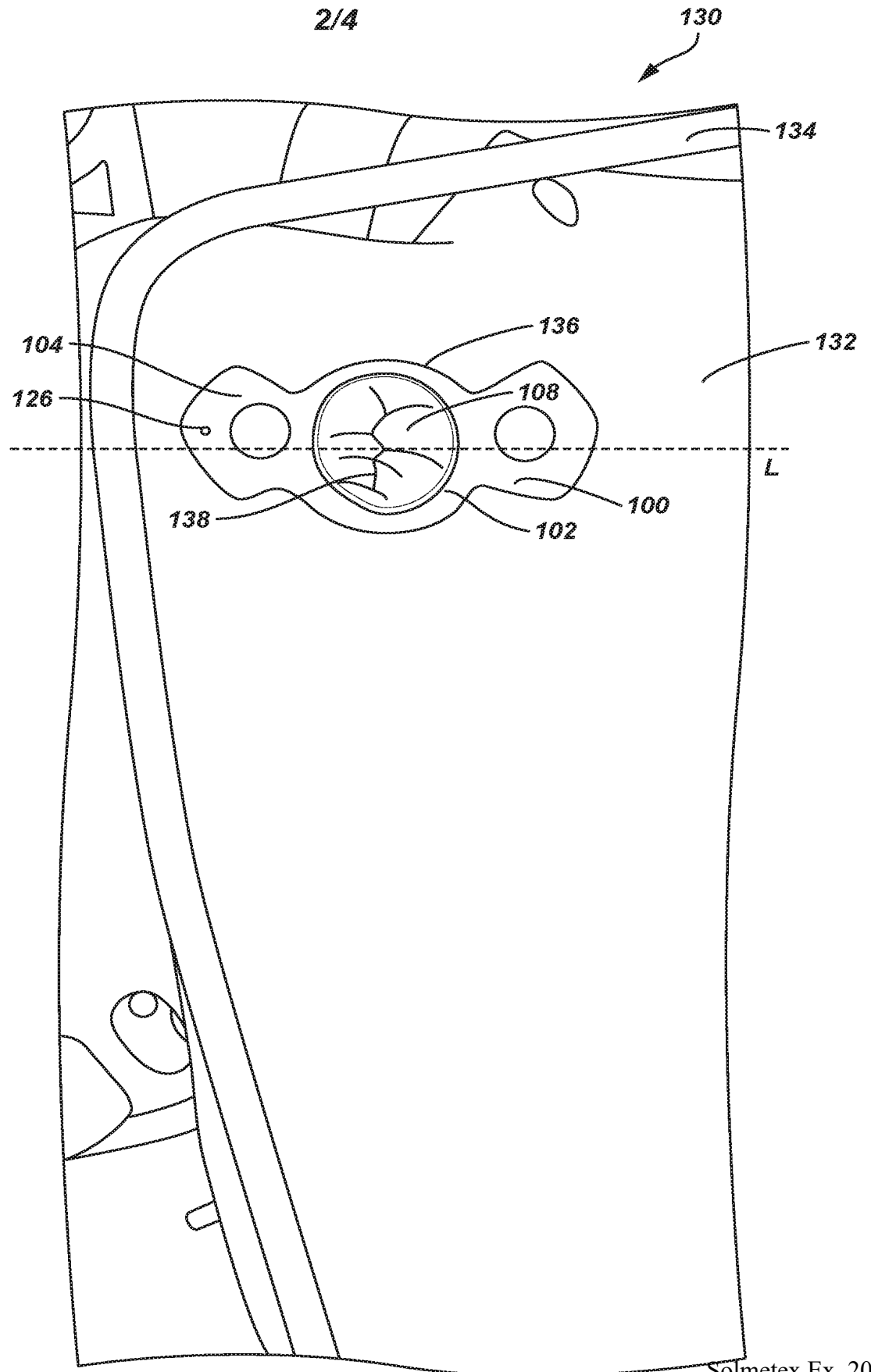
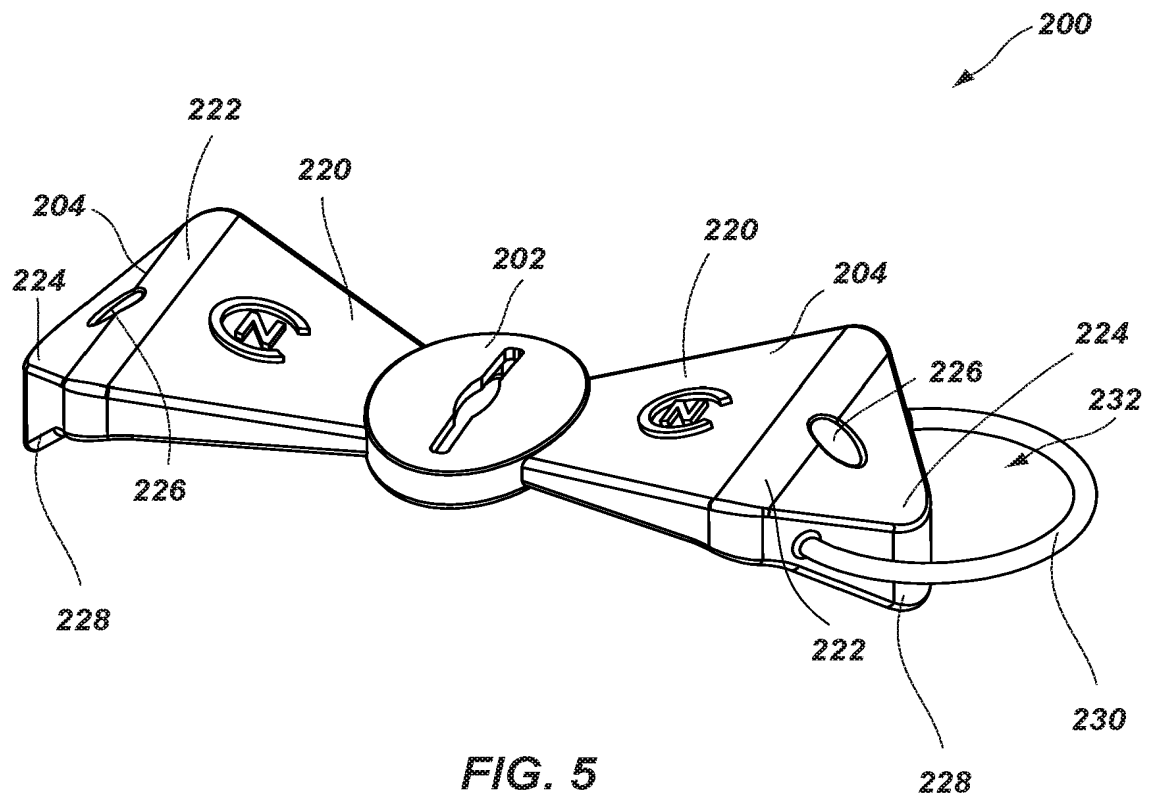
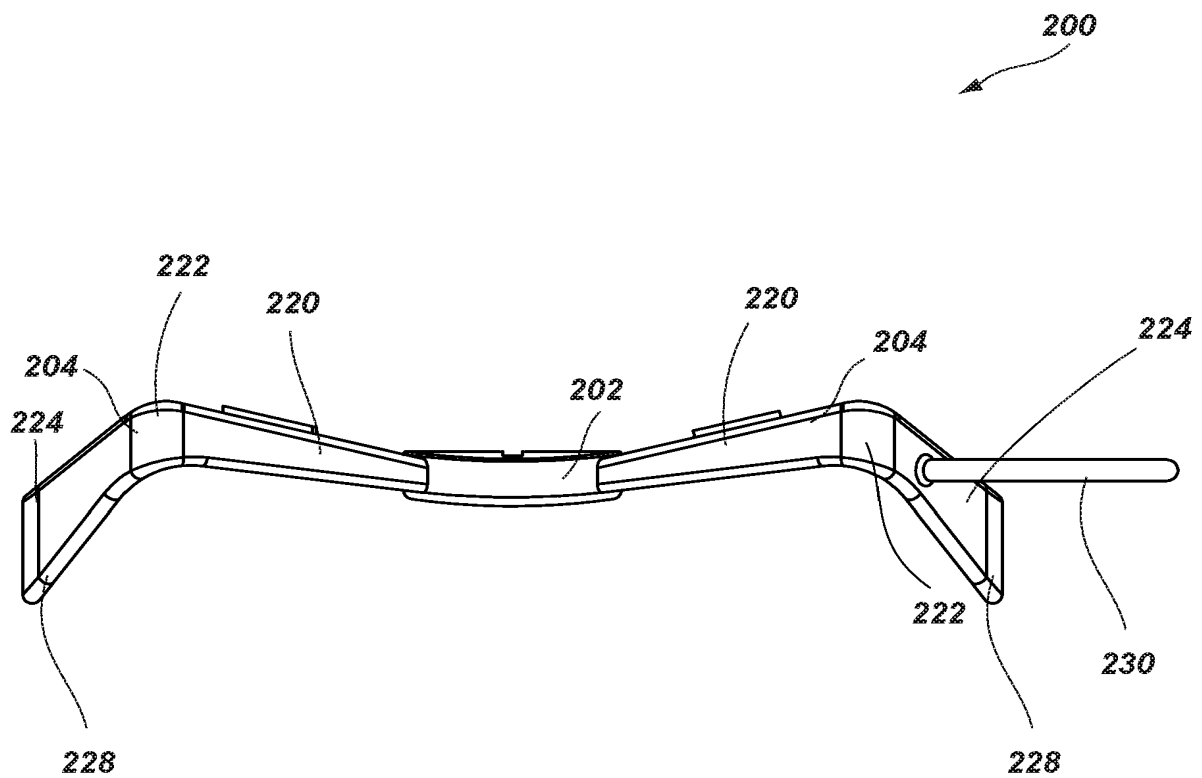


FIG. 3



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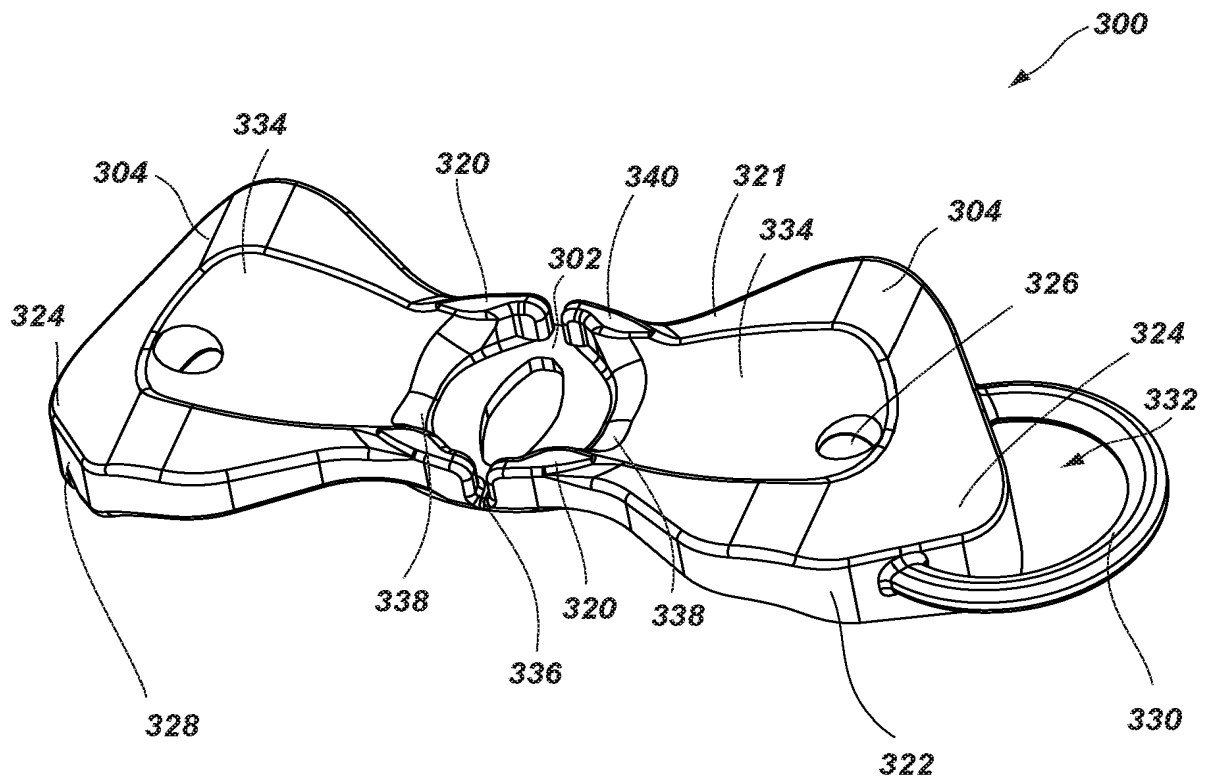


FIG. 7

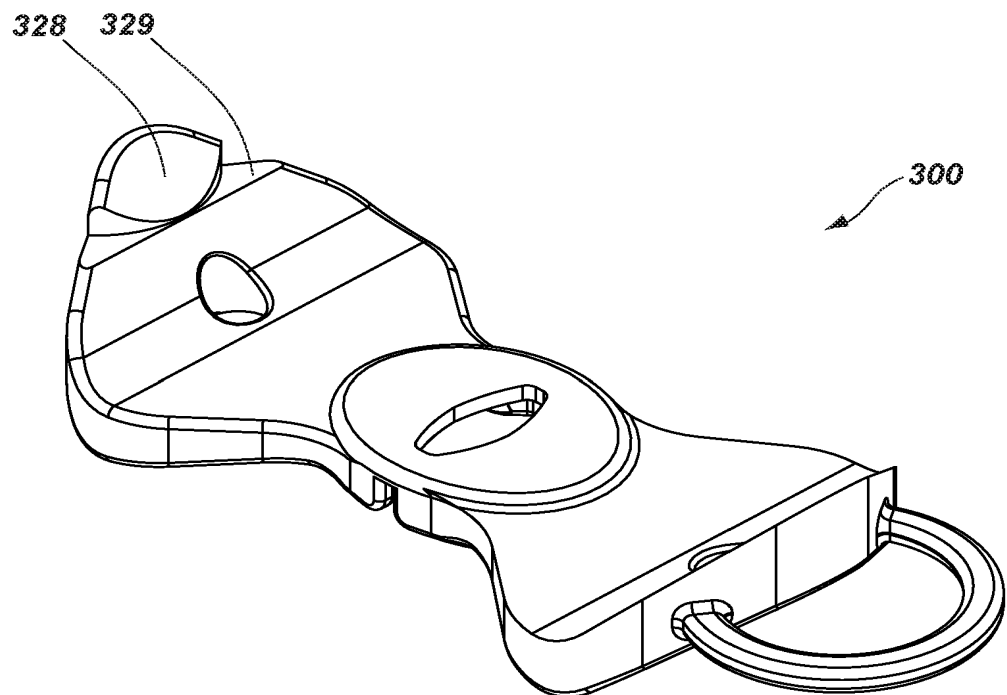


FIG. 8

Solmetex Ex. 2021

Centocore Dental Products v. Solmetex - IPR2025-01059

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US17/68979

A. CLASSIFICATION OF SUBJECT MATTER

IPC - A61C 5/82, 5/85, 5/90 (2018.01)

CPC - A61C 5/82, 5/85, 5/90

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

See Search History document

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

See Search History document

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

See Search History document

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X -- Y	DE 202014010138 U1 (MUHLBAUER TECHNOLOGY GMBH) March 10, 2015; see translation; abstract; paragraphs [0022], [0046], [0054]-[0055]; figures 4-5	1-6 ----- 10/1-6
X -- Y	US 1,336,746 A (IVORY, JW) April. 13, 1920; figures 6-7; pages 1-2	11, 13-14, 17-18 ----- 15
Y	US 2005/0244787 A1 (SUMMER, JD) November 3, 2005; figures 2, 7; paragraph [0035]	10/1-6
Y	US 2013/0252198 A1 (BOOS, J, et al.) September 26, 2013; figure 5; paragraphs [0023], [0027], [0031]	15

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

25 February 2018 (25.02.2018)

Date of mailing of the international search report

26 MAR 2018

Name and mailing address of the ISA/

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents

P.O. Box 1450, Alexandria, Virginia 22313-1450

Facsimile No. 571-273-8300

Authorized officer

Shane Thomas

PCT Helpdesk: 571-272-4300

PCT OSP: 571-272-7774

Form PCT/ISA/210 (second sheet) (January 2015)

Solmetex Ex. 2021

Ascentcare Dental Products v. Solmetex - IPR2025-01059

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US17/68979

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☒ Claims Nos.: 12
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

Form PCT/ISA/210 (continuation of first sheet (2)) (January 2015)

①⑨ RÉPUBLIQUE FRANÇAISE
INSTITUT NATIONAL
DE LA PROPRIÉTÉ INDUSTRIELLE
PARIS

①⑪ N° de publication :
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2 992 161

②① N° d'enregistrement national : **12 01799**

⑤① Int Cl⁸ : **A 61 C 5/14 (2013.01)**

①②

DEMANDE DE BREVET D'INVENTION

A1

②② Date de dépôt : 26.06.12.

③⑦ Priorité :

④③ Date de mise à la disposition du public de la
demande : 27.12.13 Bulletin 13/52.

⑤⑥ Liste des documents cités dans le rapport de
recherche préliminaire : *Se reporter à la fin du
présent fascicule*

⑥⑦ Références à d'autres documents nationaux
apparentés :

⑦① Demandeur(s) : **BRAUD VINCENT — FR.**

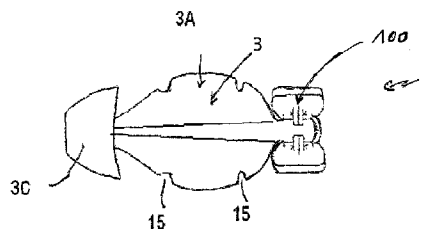
⑦② Inventeur(s) : **BRAUD VINCENT.**

⑦③ Titulaire(s) : **BRAUD VINCENT.**

⑦④ Mandataire(s) : **CABINET DAVID TOURNEL.**

⑤④ **PROTECTION INTRA-BUCCALE.**

⑤⑦ L'invention a pour objet un dispositif de protection buccale comprenant une cale 2 dentaire destinée à se positionner entre les arcades dentaires d'un côté de la bouche, une lame 3 de protection comportant une première partie 3A repoussant la langue et une seconde partie 3B venant en appui sur l'autre côté de la bouche dans le vestibule ce dispositif étant caractérisé en ce que la seconde partie de la lame est prolongée par un retour 3C pour former un crochet se positionnant à la commissure des lèvres.



FR 2 992 161 - A1

Solmetex Ex. 2021

Ascentcare Dental Products v. Solmetex - IPR2025-01059



PROTECTION INTRA-BUCCALE.

L'invention se rapporte à un dispositif de protection de la cavité buccale lors des interventions sur les dents.

Lors des interventions sur les dents, le patient doit tenir la bouche ouverte. Le chirurgien peut alors accéder aux dents pour intervenir sur celles-ci en prenant
5 soin de ne pas blesser l'intérieur de la joue et/ou la langue.

Donc, pour accéder aux dents, le patient doit maintenir la bouche ouverte ce qu'il fait. Cependant si l'intervention est longue, les mâchoires se rapprochent progressivement car maintenir la bouche ouverte pendant une longue période
10 n'est pas facile.

Le premier problème est donc le maintien de la bouche ouverte.

Le second problème est d'éviter de blesser la langue et/ou l'intérieur de la joue

Une technique ancienne, encore utilisée, consiste à écarter la joue et la langue avec des tampons cylindriques en coton que l'on place de part et d'autre de la
15 zone de travail et/ou entre les arcades dentaires.

Cette technique a été améliorée par des dispositifs qui permettent de repousser la langue vers l'arrière et maintenir la bouche ouverte.

Pour maintenir la bouche ouverte, il existe donc des dispositifs comportant une cale épaisse que l'on positionne entre les arcades inter-dentaires du côté opposé
20 à celui où se localise la dent à traiter.

Pour protéger la cavité buccale, il est connu d'utiliser une lame qui vient s'accrocher sur la cale épaisse précitée et se positionne pour repousser la langue derrière la lame.

Cette lame comporte une partie qui repousse la langue qui se raccorde à un volet latéral qui s'appuie sur l'autre côté de la bouche en se positionnant entre la joue et les dents dans le vestibule. La lame déployée à plat forme en vue de face une sorte de huit couché.

- 5 Pour que cette lame se positionne correctement et reste en place, la liaison entre la cale dentaire et la lame est rigide en sorte que c'est le positionnement de la cale qui oriente la lame. La rigidité de la liaison est contraignante dans la conception du dispositif et dans le positionnement car chaque bouche est particulière alors que le dispositif est du type standard.
- 10 L'invention vise à apporter une solution aux problèmes évoqués plus haut.

- A cet effet, l'invention a pour objet un dispositif de protection buccale comprenant une cale dentaire destinée à se positionner entre les arcades dentaires d'un côté de la bouche, une lame de protection comportant une première partie repoussant la langue et une seconde partie venant en appui sur
- 15 l'autre côté de la bouche ce dispositif étant caractérisé en ce que la seconde partie de la lame est prolongée par un retour pour former un crochet se positionnant à la commissure des lèvres .

L'invention sera bien comprise à l'aide de la description ci après faite à titre d'exemple non limitatif en regard du dessin qui représente :

- 20 FIG 1 : Le dispositif de protection vue en perspective

FIG 2 : le dispositif de la figure 1 vue de face

FIG 3 : le dispositif de la figure 1 vue de dessus

FIG 4 : La lame du dispositif de la figure 1 seule

FIG 5 : la lame de la figure 4 vue de face

FIG 6 : la cale seule en perspective

FIG 7 : la cale de la figure 6 en vue latérale

En se reportant au dessin, on voit un dispositif 1 de protection buccale que l'on utilise pour les interventions dentaires. Ce dispositif est destiné à maintenir la
5 bouche du patient ouverte et pour cela, on utilise une cale 2 dont la hauteur et sa position au niveau des arcades dentaires détermine l'ouverture de la bouche.

A cette cale est associée une lame 3 de protection comportant une première partie 3A et une seconde partie 3B venant en appui sur l'autre côté de la bouche ce dispositif étant caractérisé en ce que la seconde partie 3B de la lame est
10 prolongée par un retour 3C destiné à s'appuyer sur la joue externe pour former un crochet se positionnant à la commissure des lèvres.

Le crochet est tourné vers l'arrière.

Lorsque le dispositif est mis en place, il est tenu aux deux extrémités. Il est donc immobilisé d'un côté par la cale entre les mâchoires et de l'autre côté par le
15 crochet qui, formé par la seconde partie 3B et le retour 3C, est engagé avec la joue.

Il y a donc un positionnement sécurisant.

La première partie 3A repousse la langue et la protège, La seconde partie 3B vient protéger la joue en se glissant entre la joue et les dents, cette zone étant
20 appelée le vestibule.

En outre, la première partie 3A de la lame a une hauteur supérieure à la distance entre le plancher et le palais de la bouche ce qui contraint la lame à se plier lorsqu'elle est mise en place et donc cet « écrasement » augmente le maintien. Entre les différentes parties de la lame, il y a une réduction de matière pour
25 pouvoir orienter les parties les unes par rapport aux autres. On notera que la

réduction 30 entre la première partie 3A de la lame et la seconde partie 3B permet de se positionner derrière les molaires et d'obtenir un calage par pincement.

La mise en place peut commencer par le positionnement du crochet puis par la
5 position de la cale. Une pose en commençant par la cale est possible.

Ce retour ne sert pas à la protection mais permet de remplacer une liaison rigide entre la lame et la cale, par une liaison souple 100 du type à emboîtement rapide avec un jeu facilitant l'orientation de la lame par rapport à la cale. La liaison se fait sur la face avant de la cale, c'est-à-dire la face de la cale opposée au fond de
10 la bouche.

La liaison 100 entre la cale et la lame se présente de la manière suivante :

La lame 3 comporte une pièce mâle 5 en forme de profilé en Cé, la concavité 6 du Cé étant orientée vers la cale , ce profilé venant s'emboîter dans une cavité 7 complémentaire avec une ouverture déformable pour le passage en force du Cé.
15 La cavité 7 recevant le profilé en Cé est située à l'avant de la cale.

Lorsque la lame est associée à la cale, la concavité 6 du profilé coiffe un bourrelet 8. Ce profilé en Cé peut légèrement se déplacer angulairement par rapport à ce bourrelet et par conséquence permettre l'orientation de la lame 3. La cale présente donc une élasticité permettant le montage du profilé en Cé par
20 déformation élastique de la cale en ouvrant les lèvres 9 qui réduisent l'entrée de la cavité. Le montage de cette lame permet des ajustements en rotation et en translation de la lame par rapport à la cale. Le réglage est facilité car l'autre extrémité de la lame est positionnée à la commissure des lèvres.

L'extrémité libre de cette forme en demi-tube (profile en Cé) présente une butée
25 5A qui limite le déplacement axial du dit profilé dans la cavité 7 ouverte de la cale. La forme en demi-tube peut coulisser dans la limite de sa longueur

La cale est donc élastiquement déformable en compression et présente deux zones d'appui opposées pour les dents.

Cette élasticité (reste faible) de la cale présente un autre avantage pour le patient qui peut mordre la cale sans percevoir une mauvaise sensation et en mordant cette cale, il vient pincer le profilé en Cé qui se verrouille.

On a donc réalisé une fixation rapide, ajustable et souple.

Cette forme en demi-tube (hemi-cylindre creux) localisée sur le côté de la lame pour constituer une des deux parties complémentaires d'un moyen de liaison avec la cale se prolonge sensiblement jusqu'au bout de la deuxième partie de la lame avant le retour formant ainsi une nervure 10 longitudinale qui donne à la lame une rigidité dans sa forme ondulée longitudinalement.

Si on regarde le dispositif, la première partie 3A a une forme de feuille allongée qui après élargissement se rétrécit en s'éloignant de la cale pour se prolonger par une zone de transition 30 qui est courbe et positionne la deuxième partie 3B dans une direction de l'ordre de 90° par rapport à la première partie et, cette deuxième partie est prolongée par un retour 3C pour former un crochet.

La lame présente une face courbe dont la concavité est orientée vers l'avant donc vers l'entrée de la bouche. Le choix des variations d'épaisseurs de lame et de leurs localisations confère à cette lame plus ou moins de souplesse.

On notera la présence d'encoches 15 sur le bord de la lame pour permettre aux fluides de circuler et qui seront aspirés par un moyen non représenté.

Comme cela a été dit, la cale est compressive donc non strictement rigide. Des nervures 16 au niveau des zones d'appui empêchent le glissement suivant l'axe longitudinal de la cale. Les zones d'appui sont en outre concaves dans l'axe

transverse pour limiter transversalement le déplacement des dents et donc positionner les dites dents.

La liaison démontable entre la cale et la lame permet un démontage rapide et un traitement séparé des éléments constituant le dispositif pour une meilleure
5 stérilisation .

La matière de la cale peut être de nature différente à celle de la lame de sorte que l'on peut mouler chaque pièce individuellement ce qui évite les contraintes de moulage si on fait de la bi-injection.

Elle permet de choisir une cale parmi un jeu de cales et une lame parmi un jeu
10 de lames de tailles différentes.

Chaque pièce est stérilisable.

REVENDEICATIONS

1. Dispositif de protection buccale comprenant une cale 2 dentaire destinée à se positionner entre les arcades dentaires d'un coté de la bouche, une lame 3 de protection comportant une première partie 3A repoussant la
5 langue et une seconde partie 3B venant en appui sur l'autre coté de la bouche dans le vestibule ce dispositif étant caractérisé en ce que la seconde partie 3B de la lame 3 est prolongée par un retour 3C pour former un crochet se positionnant à la commissure des lèvres .
2. Dispositif de protection selon la revendication 1 caractérisée en ce que la
10 première partie 3A de la lame à une hauteur supérieure à la distance entre le plancher et le palais de la bouche ce qui contraint la lame à se plier et donc augmente le maintien
3. Dispositif de protection buccale selon la revendication 1 caractérisé en ce que la lame 3 est associée à la cale 2 par une liaison souple 100 du type à
15 emboîtement rapide avec un jeu facilitant l'orientation de la lame par rapport à la cale.
4. Dispositif de protection buccale selon la revendication 3 caractérisé en ce que la liaison souple 100 comprend une pièce mâle 5 en forme de profilé en Cé, la concavité 6 du Cé étant orientée vers la cale, ce profilé venant
20 s'emboîter dans une cavité 7 complémentaire de la cale 2 avec une ouverture déformable pour le passage en force du Cé.
5. Dispositif de protection buccale selon la revendication 4 caractérisé en ce que la longueur du profilé en Cé permet au dit profilé un déplacement en translation dans la cavité qui l'accueille.
- 25 6. Dispositif de protection buccale selon la revendication 4 ou 5 caractérisé en ce que la concavité du profilé en Cé coiffe un bourrelet 8 de la cale 2

7. Dispositif de protection buccale selon l'une quelconque des revendications précédentes caractérisé en ce que la cale est déformable élastiquement par compression et présente des zones d'appui opposées pour les dents.
- 5 8. Dispositif de protection buccale selon la revendication 7 caractérisé en ce que les zones d'appui des dents présentent des nervures 1b qui empêchent le glissement suivant l'axe longitudinal de la cale et ces zones d'appui sont en outre concaves dans l'axe transverse pour positionner transversalement les dents.
- 10 9. Dispositif de protection selon la revendication 1 caractérisé en ce que la première partie 3A de la lame 3 a une forme de feuille allongée qui se rétrécit en s'éloignant de la cale 2 pour se prolonger par une zone de transition 30 qui est courbe et positionne la deuxième partie 3B de la lame 3 dans une direction de l'ordre de 90° par rapport à la première partie 3A et, cette deuxième partie 3B est prolongée par un retour pour former un
- 15 crochet.
10. Dispositif de protection selon la revendication 9 caractérisé en ce qu'une réduction 30 de matière entre la première partie 3A de la lame et la seconde partie 3B permet un positionnement derrière les molaires et
- 20 d'obtenir un calage par pincement.

1 / 3

FIG 1

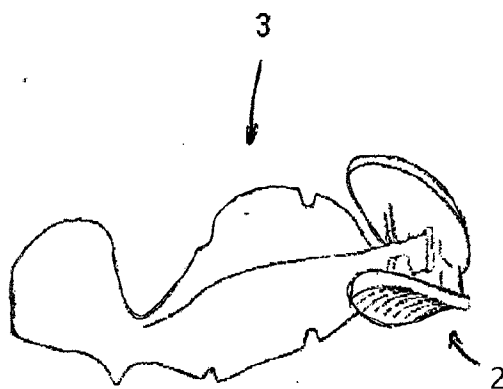


FIG 2

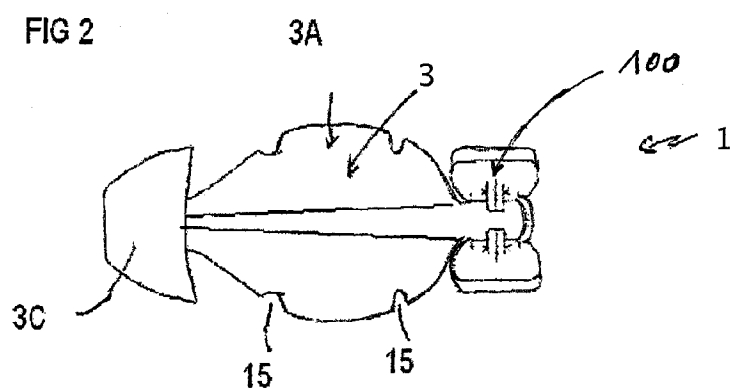
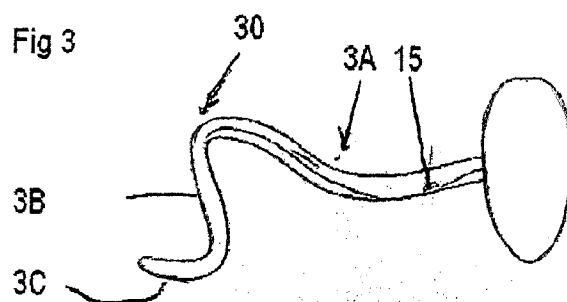


Fig 3





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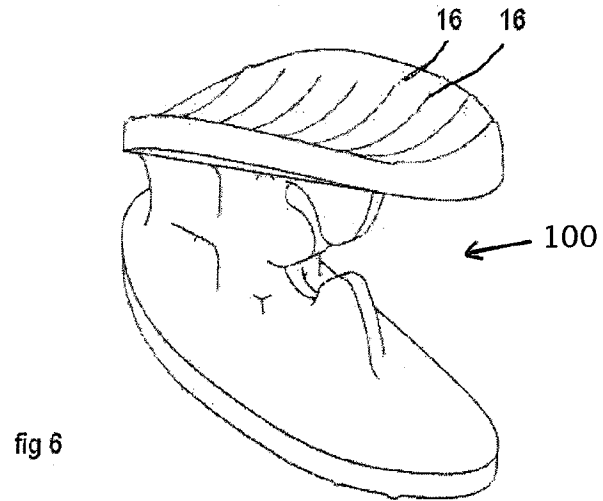
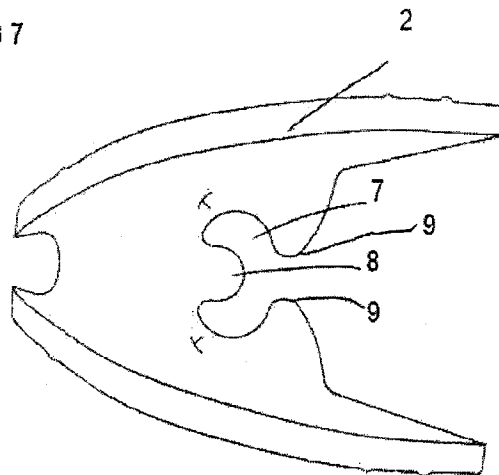


FIG 7



**RAPPORT DE RECHERCHE
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DOCUMENTS CONSIDÉRÉS COMME PERTINENTS		Revendication(s) concernée(s)	Classement attribué à l'invention par l'INPI
Catégorie	Citation du document avec indication, en cas de besoin, des parties pertinentes		
A	US 2003/134253 A1 (HIRSCH JAMES A [US] ET AL) 17 juillet 2003 (2003-07-17) * alinéa [0042] - alinéa [0060]; figures 1-7 *	1-10	A61C5/14
A	----- US 5 730 599 A (PAK ELIZABETH Y [US]) 24 mars 1998 (1998-03-24) * colonne 4, ligne 57-65; figures 6-9 * -----	1-10	
			DOMAINES TECHNIQUES RECHERCHÉS (IPC)
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ANNEXE AU RAPPORT DE RECHERCHE PRÉLIMINAIRE
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La présente annexe indique les membres de la famille de brevets relatifs aux documents brevets cités dans le rapport de recherche préliminaire visé ci-dessus.

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Document brevet cité au rapport de recherche	Date de publication	Membre(s) de la famille de brevet(s)	Date de publication
US 2003134253 A1	17-07-2003	US 2003134253 A1	17-07-2003
		US 2006084031 A1	20-04-2006
		US 2008090200 A1	17-04-2008
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		US 2011207076 A1	25-08-2011

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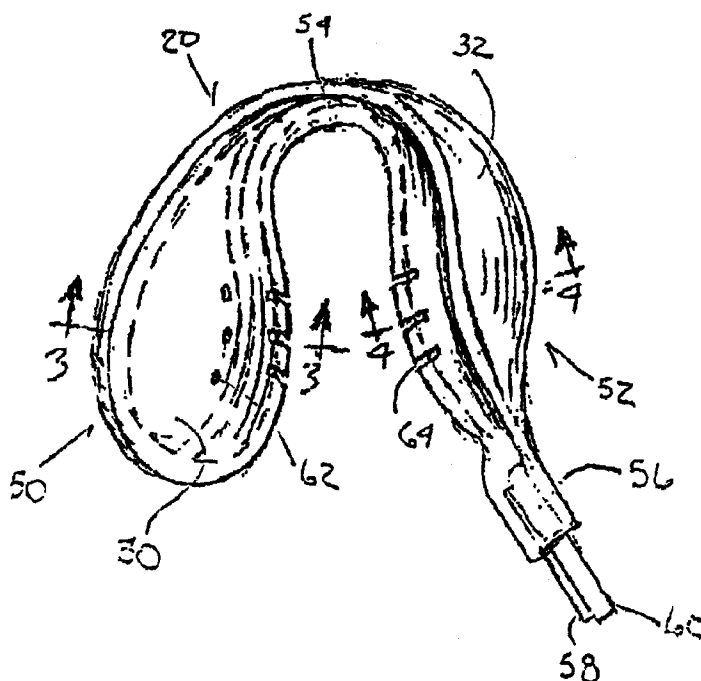
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(71)(72) Applicant and Inventor: COSTELLO, William, J. [US/US]; 904 Pebblebrook Lane, East Lansing, MI 48823 (US).			
(74) Agents: KNECHTEL, Robert, L. et al.; Knechtel, Demeur & Samlan, Suite 2810, 30 South Wacker Drive, Chicago, IL 60606 (US).			

(54) Title: ORAL ISOLATION DEVICE WITH EVACUATION CHAMBERS

(57) Abstract

An essentially U shaped oral isolation device (20) having a tongue arm (50), a buccal arm (52), and a hinge section (54) is provided. The device is an essentially hollow member having an upper suction chamber (46), and a lower suction chamber (48) within the hollows of the oral isolation device (20). Each chamber further comprises suction inlet apertures (62, 64) through which saliva, fluid, aerosol mist, and debris can be evacuated from the operative site; and a suction outlet chamber (56) through which the collected saliva, fluid, and debris are removed from the device. A U-shaped hinge member (54) joins the buccal arm (52), and the tongue arm (50). It has position memory which permits the user to squeeze the arm members toward one another for placement in the mouth; and upon release, the arm members exert opposing positional influence against the tongue, and cheek resulting in retraction of the tongue, the cheek, thereby, creating a clear operative field.



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Oral Isolation Device with Evacuation Chambers

I. TECHNICAL FIELD

The present invention relates to oral isolation devices for use in dental procedures and, more particularly, to an oral isolation device which optimizes the size of the operating field, provides unobstructed cheek and tongue retraction, and further includes fluid, aerosol, and debris evacuation chambers adapted to attach to suction devices, thereby keeping the operative field dry without causing tissue damage to surrounding tissue.

II. BACKGROUND OF THE INVENTION AND PRIOR ART

During oral procedures, the dentist encounters many unique surgical difficulties. For instance, the oral cavity is a small space within which to work; it is bacteria laden and rich in blood supply; there is saliva, debris and applied fluid and aerosol buildup; and, finally, many patients have difficulty in keeping their tongues from entering the operative field.

Over the years, many appliances have been devised to assist in maintaining a clear and clean operative field. One example is seen in U. S. Patent No. 1042133 to Marshall. This patent discloses a device for working on a patient's lower front teeth. This device includes a saliva ejector and a lower lip deflector and consists of a hollow double-bow tube having an exterior portion and an interior portion. The interior portion passes over

1 the lower front teeth and rests below the tongue. The exterior portion has a lower lip
2 deflector which hooks over the patient's lower lip exposing the front lower teeth. While
3 this device is suitable for working on the front lower teeth, it has no application for use
4 with the upper teeth or on lower teeth other than the front teeth. An additional
5 shortcoming of this device is that it only provides for evacuation of fluid collecting near
6 the lingual mucosa at the base of the tongue.

7 Two more examples are seen in U. S. Patent No. 1401646 to Roan (a saliva
8 ejector for use in dental procedures involving the lower jaw; it consists of two perforated
9 tubular portions, one of which is disposed on the lingual side of the alveolar ridge and the
10 other on the buccal side of the alveolar ridge. Optionally included is a tongue deflection
11 plate) and U. S. Patent No. 2830371 to Dahl (a variation of a hollow tube saliva ejector
12 system having a tongue holder; this device further including a chin plate). While these two
13 devices offer certain advances, they are not without shortcomings. First, they are
14 satisfactory for use only in lower jaw procedures. They have no application for upper jaw
15 procedures. Second, while the devices offer some tongue retraction capabilities, they do
16 so by tongue depression. Tongue depression can be quite uncomfortable for the patient
17 and is only a marginally effective technique since a patient's tongue can slip from beneath
18 the depressor. Third, both devices only provide for evacuation of pooled saliva and fluid,
19 with Dahl doing so only on the buccal side of the gums. Finally, neither device permits
20 retraction of the cheeks. Thus, the devices do not significantly increase the size of the

1 operating field.

2 Another example is seen in U. S. Patent No. 3090122 to Erickson which teaches a
3 dental appliance that provides for the collection and drainage of liquid and debris, partial
4 retraction of an adjacent cheek and depression of the tongue, and a bite support to
5 maintain the device's position and the patient's mouth open. A shortcoming of this device
6 is that it requires the patient bite down on the device to hold it into place. This can lead to
7 patient discomfort and movement of the device. A further shortcoming of this device is the
8 placement system may cause obstruction of the operative field. A further shortcoming of
9 this device is that it only retracts a small portion of the tongue and provides essentially no
10 retraction of the cheek, thereby only slightly increasing the operative field. A final
11 shortcoming of this device is that it collects only collected fluid and debris.

12 A further example is seen in U. S. Patent No. 4215984 to Moss. This patent
13 teaches a mouth prop consisting of upper and lower U-shaped sections, the sections
14 having apertures for extracting fluid and debris, cheek deflectors, a tongue depressor, and
15 upper and lower lip deflectors. While this device is an improvement in the art field, it is
16 not without its shortcomings.

17 A first shortcoming is that the device provides for tongue depression instead of
18 retraction; the drawback of which is discussed above. A second shortcoming is that the
19 device fits around the front of a patient's mouth, thereby creating a potential obstruction
20 to the operating field. Another shortcoming of the device is that while providing for cheek

1 retraction, it does so only passively, thus it only minimally increases the size of the
2 operating field. A last but significant shortcoming of the device is that while providing for
3 fluid and debris evacuation at not only the mandibular level but also the maxillary level, it
4 does so at only one suction volume. Thus, there is no ability to adjust suction flow
5 volumes to maintain the optimum flow for a given area.

6 Examples of other prior art are found in U. S. Patent Nos. 4259067, 4260378,
7 4632093, 4992046, 5037298, 5232362, and 5460524.

8 While the prior art provides certain advances in isolating oral tissue and evacuating
9 saliva from an operative field, the prior art suffers five general shortcomings. First, while
10 increasing the size of the operative field somewhat, none of the prior art maximizes the
11 size of the operative field. The prior art generally provides only discomfort causing
12 tongue depression and, at best, minimal cheek retraction. Second, the prior art, in some
13 fashion or another, obstructs the operative field in which a dentist must work. Third, the
14 prior art is all designed to be fixed in shape. It forces the patient's mouth to conform to it
15 as opposed to the device conforming to the patient's mouth. Fourth, the devices which
16 provide for saliva evacuation generally do so only in a passive sense. The devices are
17 designed to fit on either the lingual or buccal mucosa near the base of the alveolar ridge.
18 These designs only enable the devices to extract saliva and debris which have, through
19 gravitational flow, settled in the bottom of the mouth. This is often ineffective inasmuch
20 as a comfortable operating angle requires that a patient's head be tilted somewhat

1 backwards. Thus, the saliva and debris do not always congregate or collect in a position
2 convenient for evacuation by the device.

3 Fifth, the prior art that does provide an evacuation means other than in the floor of
4 the mouth, does so only at the same suction volume as that of the lower jaw saliva
5 evacuation. This invariably leads to a situation in which the misting aerosol spray, which
6 keeps drill bits and teeth cool and clears away debris, is not efficiently evacuated from the
7 operating field. If the suction flow rate is increased sufficiently to remove the misting spray,
8 the suction apparatus positioned near the base of the mouth will create a seal with
9 surrounding tissue, resulting in tissue damage and preventing removal of collected saliva and
10 debris. If, on the other hand, suction flow is decreased to a level in which accumulated fluid
11 can be extracted, the suction flow rate is ineffective at evacuating misting aerosol spray.

12 There is need, therefore, for an oral isolation device which provides an optimal size
13 to the operative field by retracting both the tongue and the cheek adjacent to preselected
14 oral tissue, maintains a clear operative field by creating no obstructions, and enables
15 efficient simultaneous evacuation of saliva, aerosol, and debris from the base of the mouth
16 and from the oral cavity.

17 **III. OBJECTS OF THE INVENTION**

18 It is an object of the present invention to provide an oral isolation device which
19 optimally retracts the tongue and adjacent cheek away from teeth and surrounding oral
20 tissue on which work is to be performed.

1 It is a further object of the present invention to provide such an oral isolation
2 device which has a spring action which enables the device to self-adjust to the patient's
3 mouth.

4 It is a further object of the present invention to provide such an oral isolation
5 device which minimizes operative field obstruction.

6 It is another object of the present invention to provide such an oral isolation device
7 that is essentially hollow and contains chambers having a plurality of suction inlet
8 apertures and a suction outlet aperture for each chamber, the suction outlet apertures
9 being adapted to be removably affixed to suction devices, thereby permitting each chamber
10 to be individually adjusted as to suction flow.

11 It is yet a further object of the present invention to provide such an oral isolation
12 device which is easy to use, causes no or minimal patient discomfort, and relieves the
13 dentist or dental assistant from the need to reposition suctioning devices during a dental
14 procedure.

15 **IV. SUMMARY OF THE INVENTION**

16 The above objects of the invention are provided for in an improved oral isolation
17 device. According to the invention, the oral isolation device is essentially a U-shaped
18 member having a tongue arm, a buccal arm, and a hinge section. The device is an
19 essentially hollow member preferably made of two complementary essentially U-shaped
20 halves. In the preferred embodiment, when the complementary halves are joined, an upper

1 suction chamber and a lower suction chamber are created within the hollows of the oral
2 isolation device. Each chamber further comprising suction inlet apertures through which
3 saliva, fluid, and debris can be evacuated from the operative site, and a suction outlet
4 chamber through which the collected saliva, fluid, and debris are removed from the
5 device.

6 The arms of the oral isolation device are preferably ovoid in shape, with one arm
7 being disposed against the tongue, and the opposite arm being disposed against the buccal
8 mucosa. The arm disposed against the tongue is concave with respect to the tongue, and
9 the arm disposed against the buccal mucosa is convex with respect to the buccal mucosa.
10 The two arms are sized such that they create a barrier to the tongue and cheek entering
11 the operative field, and are of a height sufficient to prevent the patient's mouth from
12 closing.

13 A U-shaped hinge member joins the buccal arm and the tongue arm. The hinge member
14 is smaller in height than the arm members. It has position memory which permits the user to
15 pinch the arm members toward one another for placement in the mouth and upon release, the
16 arm members directional induce the tongue and cheek away from the operative field.

17 Also provided is a method of use for the device in which the tongue arm and
18 buccal arms are forced toward one another. The hinged member is then inserted into the
19 patient's oral cavity and placed around the rearmost tooth, and preferably, posterior to the
20 alveolar ridge, of that side of the mouth on which work is to be performed. Upon proper

1 placement of the device, the user releases the pressure on the arms of the device, the arms
2 thereby exerting retraction pressure to the cheek and tongue, resulting in retraction of the
3 tongue and cheek, thereby creating a clear operative field.

4 A reduced pressure high volume device is then attached to the upper chamber
5 suction outlet and a low pressure reduced volume device is attached to the lower chamber
6 outlet.

7 In an alternative embodiment, the device is a solid member containing no chambers
8 and is used for cheek and tongue retraction during dental procedures wherein saliva and
9 debris evacuation is not required.

1 **V. BRIEF DESCRIPTION OF THE DRAWINGS**

2 Figure 1 depicts an top view of the inventive device.

3 Figure 2 depicts an exploded version of the device illustrating the two major sections
4 which comprise the device as viewed from the side.

5 Figure 3 depicts a cross section view of the tongue retraction arm of the device.

6 Figure 4 depicts a cross section of the cheek retraction arm of the device.

7 Figure 5 depicts a lateral perspective view of the anterior of the device.

8 Figure 6 illustrates placement of the inventive device in a patient's mouth.

9 Figure 7 depicts an alternate embodiment of the inventive device wherein the device is a
10 solid member having no suction outlet arm.

11 **VI. DESCRIPTION OF THE PREFERRED EMBODIMENT**

12 The following description of the preferred embodiment will be better understood
13 with reference to the appended drawings. As those skilled in the art understand, the oral
14 cavity is a difficult area within which to perform procedures. The dentist and patient are
15 best served when the size of the operative field is optimized. The primary goal is to isolate
16 the area in which a procedure is to be performed. A secondary goal is to keep that area as
17 free as possible from collected saliva, fluid, aerosol, and debris. The present invention
18 addresses those problems and concerns in an improved Oral Isolation Device (device) 20.

19 Turning to Figure 1, a top view of device 20 is depicted. Turning to Figure 2, it
20 can be seen that in its preferred embodiment, device 20 is comprised of two sections,

1 outer section 22 and inner section 24. While the preferred embodiment envisions an outer
2 section and an inner section, this is done for manufacturing purposes only. The scope and
3 spirit of the inventive device can be fully realized through other means such as, but not
4 limited to, an upper half and a lower half. Outer section 22 further comprises outer wall
5 26 and inner side 28. Inner section 24 further comprises inner wall 30 and outer side 32.
6 The perimeter 34 of outer section 22 curves slightly toward inner side 28 while the
7 perimeter 34 of inner section 24 curves toward outer side 32. Thus, when outer section
8 22 and inner section 24 are coformed together, they form a hollow space within inner side
9 28 and outer side 32. Turning to Figure 1, it can be seen that divider 38 extends along the
10 horizontal length of device 20. As depicted in Figure 2, divider 38 is shown positioned on
11 inner side 28 of outer section 22. While divider 38 is shown in such a position for
12 explanatory purposes, it is to be understood that divider 30 could be located also on outer
13 side 32. Also, while divider 38 is shown in the drawings as essentially a uniform line, it is
14 to be further understood that divider 38 may also be wider, creating a smaller space
15 between divider 38 and edge 40. Divider 38 may also be formed into device 20 after outer
16 section 22 and inner section 24 are coformed together.

17 During the manufacturing process, outer section 22 and inner section 24 may be
18 coformed together through any conventional means such as ultrasonic welding. Other
19 molding means such as thermal forming can also be used to manufacture the device.
20 However, due to the complexity of creating an essentially hollow U-shaped device, the

1 inventor found that welding two complementary sections to one another is preferred.

2 Device 20 is preferably made of a semi-rigid material; plastic is ideal.

3 Turning to Figure 3, it can be seen that once outer section 22 and inner section 24
4 are coformed together, an upper seam 42 and lower seam 44 create an edge 40 (Figure 1).
5 As also seen in Figures 3 and 4, divider 38 defines an upper chamber 46 and a lower
6 chamber 48. Thus, three dimensional space is created: a vertical space extending from
7 lower seam 42 and upper seam 44, a front-to-back created by the U-shaped configuration
8 of device 20, and a horizontal space created within the side wall. Thus, a hollow space
9 defining the chambers is created.

10 Returning to Figure 1, the completed apparatus can be broken down into
11 essentially three sections; a tongue retraction arm 50, a cheek retraction arm 52, and a
12 hinge member 54. As can be seen in Figure 2, tongue retraction arm 50 and cheek
13 retraction arm 52 are essentially ovoid in shape. Cheek retraction arm 52 further includes
14 suction outlet member 56. At its free end, suction outlet member 56 further divides into
15 low volume suction arm 58 and high volume suction arm 60. As seen in Figure 2, low
16 volume suction arm 58 and high volume suction arm 60 continue at separate passageways
17 62, 64 through the interior of suction outlet member 56. Low volume suction arm 58
18 terminates in lower chamber 48 and high volume suction arm 60 terminates in upper
19 chamber 46.

20 As seen in Figure 1, a posterior view of device 20, tongue retention arm 50 is
21 concave with respect to the tongue and as seen in Figures 3 and 4, cheek retraction arm 52

1 is convex with respect to the cheek. The inventor found that these geometric
2 configurations optimize the retraction ability of tongue retractor arm 50 in retracting the
3 tongue and cheek retraction arm 52 in retracting the cheek to create an optimum sized
4 operating field while at the same time creating a comfortable fit for the patient.

5 Tongue retraction arm 50 and cheek retraction arm 52 are preferably ovoid in
6 shape. This shape also adds to the retraction and comfort attributes of device 20. It is
7 also preferable that tongue retraction arm 50 and cheek retraction arm 52 are of such a
8 vertical size as to encourage a patient to keep his mouth open during a dental procedure.

9 As described above, in the preferred embodiment, device 20 is manufactured as a
10 two piece device. For purposes of the preferred embodiment, however, the device is
11 broken down into the tongue retraction arm 50, cheek retraction arm 52, and hinge 54. It
12 is to be understood that while hinge 54 is described as a separate section of the device and
13 can be viewed as a separate section in use of the device, in the preferred embodiment,
14 hinge 54 is part and parcel of the overall apparatus. As can be seen in Figures 1, 3, and 4,
15 hinge 54 is of a height less than that of tongue retraction arm 50 and cheek retraction arm
16 52. This is beneficial in that it makes hinge 54 easier to position and more comfortable for
17 the patient than if hinge 54 were the same height as the retraction arms. Hinge 54 has
18 position memory, which is beneficial in placement of the device 20, as more fully described
19 below. Upper chamber 46 and lower chamber 48 continue unobstructed through hinge 54
20 from cheek retraction arm 52 to tongue retraction arm 50.

1 As can be seen in Figures 1 and 2, inner section 24 also includes a plurality of ports
2 62 in upper chamber 46 and a plurality of slots 64 in lower chamber 48. While the
3 drawings depict the plurality of ports 62 near the upper edge 64 of divider 38, the
4 placement in this area is not critical. Likewise, the depiction of slot 64 near edge 40 of
5 lower chamber 48 is not critical. As described more fully below in use of device 20, ports
6 62 should be positioned so that they create optimum suctioning power for the removal of
7 aerosol mist and debris floating within the oral cavity during a dental procedure. Slots 64
8 should be positioned in an area of lower chamber 48 such that they are most effective in
9 evacuating accumulated saliva, fluid, and debris from the lower portion of the jaw during a
10 dental procedure.

11 As those skilled in the arts will quickly realize, however, port 62 should be placed
12 along the plane of occlusion since this placement optimizes the area in which aerosol mist and
13 floating debris are found and also is in the field of vision for a dentist during procedures.

14 To use device 20, the dentist or dental assistant grasps device 20 so that hinge 54
15 faces the posterior of the oral cavity and tongue retraction arm 50 and cheek retraction
16 arm 52 face the anterior of the oral cavity. The dentist or dental assistant then applies
17 compression pressure to tongue retraction arm 50 and cheek retraction arm 52, squeezing
18 the two arms close to one another. Device 20 is then placed in a patient's mouth so that
19 hinge 54 is inserted first and is fitted around the rear most teeth, and preferably around the
20 posterior edge of the alveolar ridge. When in proper position, hinge 54 will be behind the

1 rear most tooth, cheek retraction arm 52 will be on the buccal side of the alveolar ridge,
2 and tongue retraction arm 50 will be on the lingual side of the alveolar ridge. Once device
3 20 is placed in proper anterior - posterior position, the dentist or dental assistant then
4 releases the compression on tongue retraction arm 50 and cheek retraction arm 52. Upon
5 release of the compression pressure, the position memory of hinge 54 causes the tongue
6 retraction arm and cheek retraction arm to move in opposing directions, the tongue
7 retraction arm thereby retracting the tongue and the cheek retraction arm thereby
8 retracting the adjacent cheek. Placement of device 20 can be visualized in Figure 6. As
9 also seen in Figure 6, the only portion of device 20 that protrudes from the patient's
10 mouth is suction outlet member 56 which extends in a downward position near the most
11 lateral side of the patient's mouth.

12 High volume suction arm 60 is removably attached to a high volume suction
13 apparatus and low volume suction arm 58 is removably attached to a low volume suction
14 apparatus. In this manner, a high volume of suction can be applied to the upper chamber,
15 thus resulting in effective removal of aerosol mist and debris free-floating in the oral
16 cavity. At the same time, low volume of suction pressure can be applied to the lower
17 chamber, thus effectively removing collected saliva, fluid, and debris from the lingual and
18 buccal base of the mouth without causing damage to the surrounding mucosa.

19 In an alternate embodiment, as depicted in Figure 7, the inventor contemplates use
20 of the device without the necessity of attaching it to suction apparatus. In such use, the

1 device as described above can be used without attaching high volume suction arm 60 and
2 low volume suction arm 58 to a suction apparatus. However, the inventor contemplates
3 that the device can be made so that it is a solid or hollow member having no internal
4 chambers, ports, slots or suction outlet member. In this alternative embodiment, device 20
5 is positioned in the same manner as describe above, with the only exception being that
6 suction outlet member 56 will not be extending from the mouth and, obviously, no suction
7 apparatus being applied to device 20.

8 The above description of the preferred embodiment is for illustration purposes
9 only. As those skilled in he arts will quickly realize, there are many variations of the
10 inventive device which are possible yet remain within the scope and spirit of the invention.
11 Accordingly, the inventive device is only to be limited by the appended Claims.

VII. CLAIMS

What is claimed:

- 1 1. An oral isolation device for use in dental procedures comprising:
2 an essentially U-shaped retraction member, the retraction member further
3 being an essentially hollow member having an inner wall and an outer wall, and further
4 including a divider within the hollow area, the divider defining an upper chamber and a
5 lower chamber, the upper chamber having a first suction outlet for removably attaching to
6 a suction device and a plurality of ports extending through the inner wall, the lower
7 chamber having a second suction outlet for removably attaching to a suction device and a
8 plurality of apertures extending through the inner wall.
- 1 2. The oral isolation device of Claim 1 further including means for retracting a cheek.
- 1 3. The oral isolation device of Claim 2 wherein the means for retracting the cheek is
2 essentially oval in shape.
- 1 4. The oral isolation device of Claim 2 wherein the means for retracting the cheek is
2 convex in relation to the cheek.

1 5. The oral isolation device of Claim 1 further including means to retract the tongue.

1 6. The oral isolation device of Claim 5 wherein the means for retracting the tongue is
2 generally oval in shape.

1 7. The oral isolation device of Claim 5 wherein the means for retracting the tongue is
2 generally concave with respect to the tongue.

1 8. An essentially U-shaped retraction device for retracting a cheek and tongue away
2 from teeth comprising an inner portion and an outer portion, the inner portion and outer
3 portion defining an essentially hollow area, the hollow area further characterized by
4 including at least one chamber, a passageway connecting the at least one chamber to a
5 suction outlet, and a plurality of ports extending through the inner portion.

1 9. The essentially U-shaped device of Claim 8 further including means for retracting a
2 cheek.

1 10. The essentially U-shaped device of Claim 8 wherein the means for retracting the
2 cheek is essentially ovoid in shape.

- 1 11. The essentially U-shaped device of Claim 8 wherein the means for retracting the
2 cheek is convex in relation to the cheek.
- 1 12. The essentially U-shaped device of Claim 8 further including means to retract the
2 tongue.
- 1 13. The essentially U-shaped device of Claim 12 wherein the means for retracting the
2 tongue is generally ovoid in shape.
- 1 14. The essentially U-shaped device of Claim 12 wherein the means for retracting the
2 tongue is generally concave with respect to the tongue.
- 1 15. An oral isolation device for use in dental procedures to isolate preselected oral
2 tissue by retracting a cheek and tongue adjacent to the preselected oral tissue comprising
3 an essentially U-shaped member having a cheek retraction portion, a tongue retraction
4 portion, and a hinge member, the cheek retraction portion and tongue retraction portion
5 be co-joined to each other by the hinge member.

1 16. The oral isolation device of Claim 15 further including means for retracting a
2 cheek.

1 17. The oral isolation device of Claim 16 wherein the means for retracting the cheek is
2 essentially ovoid in shape.

1 18. The oral isolation device of Claim 16 wherein the means for retracting the cheek is
2 convex in relation to the cheek.

1 19. The oral isolation device of Claim 15 further including means to retract the tongue.

1 20. The oral isolation device of Claim 19 wherein the means for retracting the tongue
2 is generally ovoid in shape.

1 21. The oral isolation device of Claim 19 wherein the means for retracting the tongue
2 is generally concave with respect to the tongue.

1 22. The oral isolation device of Claim 15 wherein the hinge member is of a height less
2 than the cheek retraction portion and the tongue retraction portion.

1 23. The oral isolation device of Claim 15 wherein the hinge has position memory.

1 24. An oral isolation device for dental procedures which isolates preselected teeth by
2 retracting a patient's tongue and cheek adjacent to the preselected teeth comprising:
3 a first wall member for retracting the tongue;
4 a second wall member for retracting the cheek;
5 a substantially U-shaped center member connecting the first wall portion to
6 the second wall portion, the essentially U-shaped center member having a memory which
7 causes opposing lateral pressure on the first wall member and the second wall member;
8 an upper vacuum chamber extending within the first wall member, second
9 wall member, and U-shaped center member, the upper vacuum chamber further having an
10 inner wall portion, an outer wall portion, and a free end, the inner wall portion further
11 including a plurality of apertures and the free end further including a vacuum outlet
12 member;
13 a lower vacuum chamber extending within the first member, second wall
14 member, and U-shaped center member, the lower vacuum chamber further including an
15 inner portion, an outer portion, and a free end, the inner portion further containing a
16 plurality of apertures and the free end containing a vacuum outer member; and
17 means for supplying a vacuum to the upper chamber and the lower vacuum
18 chamber.

1 25. The apparatus according to Claim 24 wherein the first wall member extends from
2 the front of the mouth to the rear of the mouth and is substantially oval in shape along its
3 vertical axis, the first wall member inner portion and outer portion being concave with
4 respect to the cheek.

1 26. The apparatus according to Claim 24 wherein the second wall member extends
2 from the front of the mouth to the rear of the mouth and is substantially oval in shape
3 along its vertical axis, the first wall member inner portion and outer portion being convex
4 with respect to the cheek.

1 27. A method of creating a dental operating field comprising the steps of:
2 exerting squeezing pressure upon an oral isolation device having a tongue
3 retraction portion and a cheek retraction portion, the tongue retraction portion and cheek
4 retraction portion each having a free end and a hinged end, the tongue retraction portion
5 and cheek retraction portion hinged to one another at a hinge, thereby forming an
6 essentially U-shaped device, so that the tongue retraction portion and cheek retraction
7 portion move toward one another;
8 inserting the oral isolation device into a patient's mouth in such a manner
9 that the hinge is inserted into the patient's mouth before the free ends of the tongue
10 retraction portion and cheek portion retraction;
11 positioning the hinge around the posterior teeth on one side of the
12 patient's' mouth; and
13 releasing the exertional force on the tongue retraction portion and the
14 cheek retraction portion thereby retracting the tongue with the tongue retraction portion
15 and the cheek with the cheek retraction portion.

28. A method of creating a dental operating field comprising the steps of:

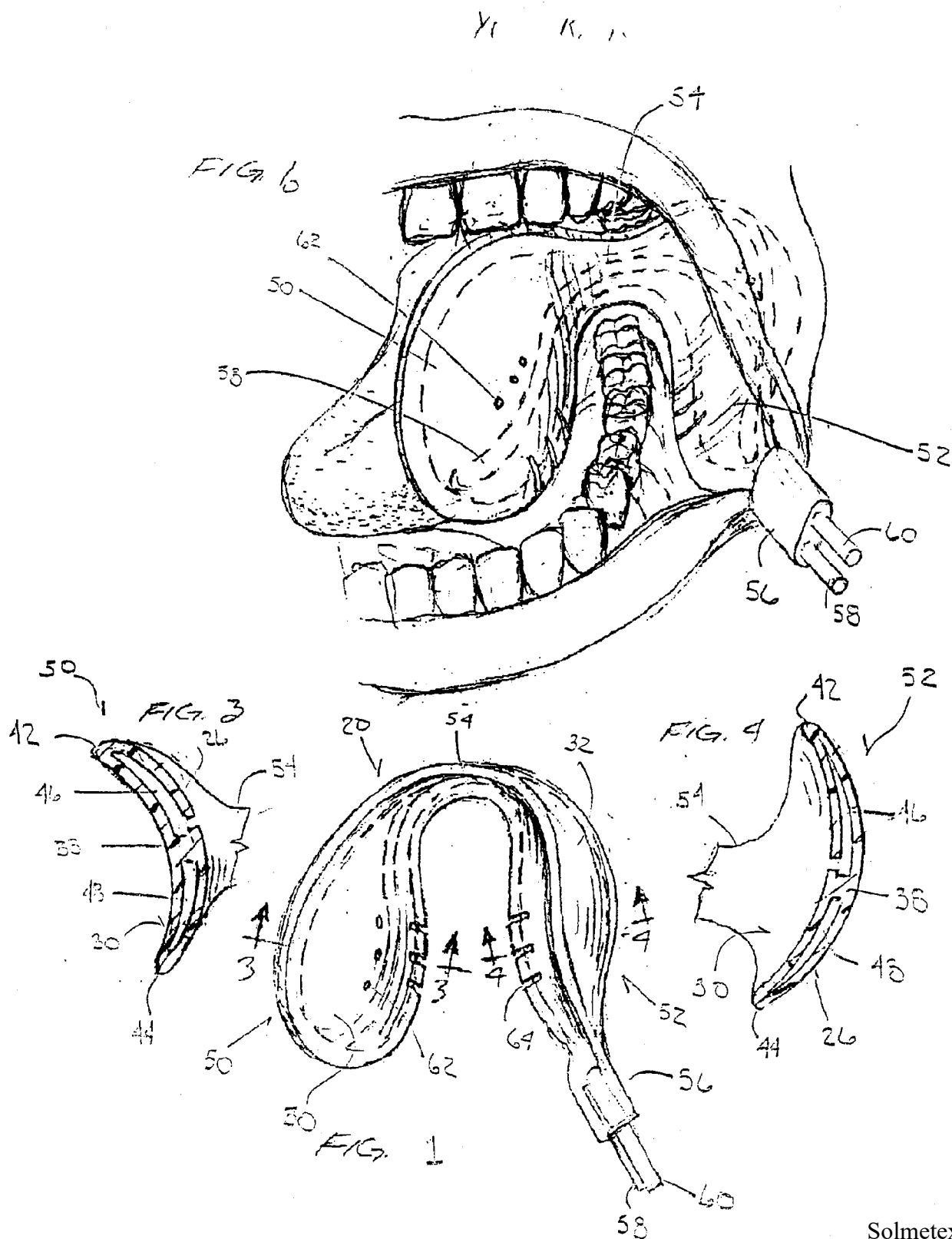
exerting a squeezing closing force upon an oral isolation device having a tongue retraction portion and a cheek retraction portion, the tongue retraction portion and cheek retraction portion each having a free end and a hinged end, the tongue retraction portion and cheek retraction portion hinged to one another at a hinge, thereby forming an essentially U-shaped device, the oral isolation device further being characterized by being an essentially hollow member having an upper chamber and a lower chamber, the upper chamber further comprising a plurality of ports and a suction outlet member, and the lower chamber further comprising a plurality of apertures and a second suction outlet member;

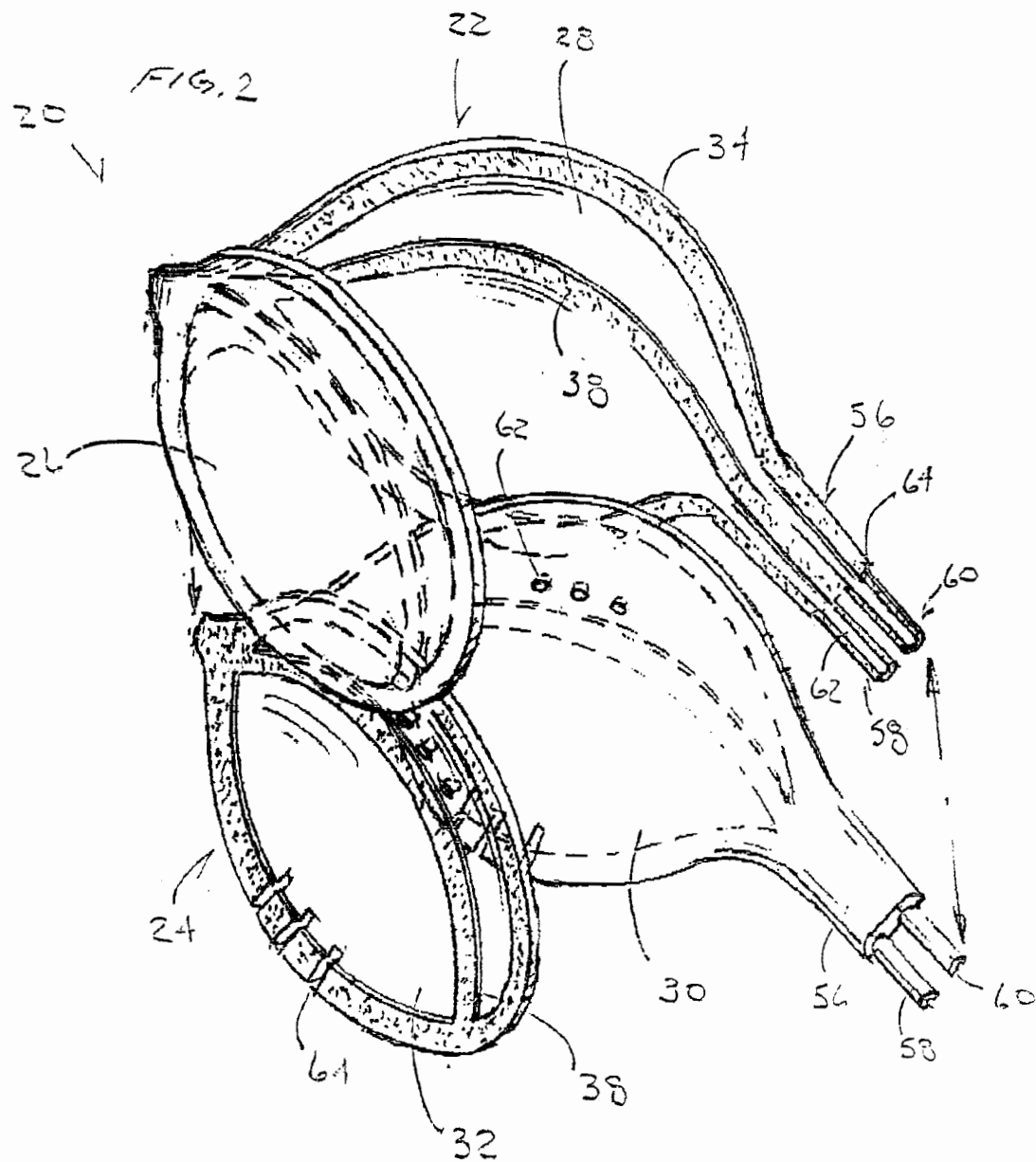
inserting the oral isolation device into a patient's mouth in such a manner that the hinge is inserted into the patient's mouth before the free ends of the tongue retraction portion and cheek portion retraction;

positioning the hinge around the posterior teeth on one side of the patient's' mouth;

releasing the exertional force on the tongue retraction portion and the cheek retraction portion thereby retracting the tongue with the tongue retraction portion and the cheek with the cheek retraction portion; and,

attaching the upper chamber suction outlet to a vacuum device and the lower chamber second suction outlet member to a second suction device.





INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/10219**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) :A61C 17/06

US CL :433/93

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 433/91, 93, 94, 136, 140; 600/206, 238

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 4,053,984 A (MOSS) 18 October 1977, entire document.	8, 9, 11-14 ----- 10
X --- Y	US 590,460 A (MEHLIG) 21 September 1897, entire document.	15-23 ----- 10



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

12 JUNE 2000

Date of mailing of the international search report

12 JUL 2000

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
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Washington, D.C. 20231

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Authorized officer

MELBA BUNGARNEB
Ascentcare Dental Products v. Solmetex - IPR2025-01059
Telephone No. (703) 305-0740

Electronic Acknowledgement Receipt

EFS ID:	45121623
Application Number:	14228061
International Application Number:	
Confirmation Number:	6191
Title of Invention:	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP
First Named Inventor/Applicant Name:	Thien Nguyen
Customer Number:	138718
Filer:	TamThanh Thi Pham/Lea Fong
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Receipt Date:	02-MAR-2022
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	INCEP-001COE_IDS_Statement _3-2-22.pdf Ascentcare Dental	98128 deb9f8230ef5dc1a34526d6171b35683987 67076	no Solmetex	2 Ex. 2021 2025-01059

Warnings:

Information:					
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6	Non Patent Literature	INCEP-001COC_Final_OA_1-3-22.pdf	471409	no	12
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8	Foreign Reference	FR_2992161.pdf	406182	no	14
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Warnings:					
Solmetex Ex. 2021					
Information: Ascentcare Dental Products v. Solmetex - IPR2025-01059					

9	Foreign Reference	WO_0061031.pdf	<div data-bbox="1026 46 1260 113">846387</div> <div data-bbox="1026 113 1260 222">fd2ea6f86439e1714549700efa0acb7fa2d8e484</div>	no	29
Warnings:					
Information:					
Total Files Size (in bytes):			5935792		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3772

Examiner: Hao D. Mai

Serial No.: 14/228,061

Filed: March 27, 2014

In re Application of: Thien Nguyen

Confirmation No.: 6191

Customer No.: 138718

Title: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. § 1.56 and 1.97-1.98

Examiner:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08, listing several references. The Examiner is requested to make these references of official record in the application.

The references cited may be material to examination of the application and are submitted in compliance with the Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

Applicants hereby declare, pursuant to 37 C.F.R. §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after having made a reasonable inquiry, no item of information contained in the information disclosure statement was

known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of the information disclosure statement.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference an admission that it is prior art or pertinent to this application.

Respectfully submitted,
POLSINELLI LLP

Dated: March 2, 2022

By: _____/Tam Thanh Pham/_____
Tam Thanh Pham (Reg. No. 50,565)
Polsinelli LLP
Three Embarcadero Center, Suite 2400
San Francisco, CA 94111
415-248-2100 (Main)
patentdocketing@polsinelli.com

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875	Application or Docket Number 14/228,061	Filing Date 03/27/2014	☐ To be Mailed
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ENTITY: ☐ LARGE ☒ SMALL ☐ MICRO

APPLICATION AS FILED - PART I

FOR	(Column 1) NUMBER FILED	(Column 2) NUMBER EXTRA	RATE (\$)	FEE (\$)
☐ BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A	
☐ SEARCH FEE (37 CFR 1.16(k), (i), or (m))	N/A	N/A	N/A	
☐ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 = *		x \$40 =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 = *		x \$210 =	
☐ APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).			
☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))				
* If the difference in column 1 is less than zero, enter "0" in column 2.			TOTAL	

APPLICATION AS AMENDED - PART II

	(Column 1)		(Column 2)	(Column 3)	RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	03/08/2022		CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	
	Total (37 CFR 1.16(i))	* 18	Minus	** 20	= 0	x \$50 = 0
	Independent (37 CFR 1.16(h))	* 2	Minus	*** 3	= 0	x \$240 = 0
	☐ Application Size Fee (37 CFR 1.16(s))					
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))					
TOTAL ADD'L FEE						0
AMENDMENT			CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	
	Total (37 CFR 1.16(i))	*	Minus	**	=	x \$0 =
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x \$0 =
	☐ Application Size Fee (37 CFR 1.16(s))					
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))					
TOTAL ADD'L FEE						
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.						LIE
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".						/ZETA T ADAMS/
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Dryshield, LLC

INVENTOR(S): Thien Nguyen, et al.

APPLICATION NO.: 14/228,061

FILED: March 27, 2014

TITLE: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

EXAMINER: Hao D. Mai

ART UNIT: 3772

CONF. NO.: 6191

ATTY.DKT.NO.: INCEP-001COE

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RESPONSE

Examiner Mai:

In response to the *Office Action* mailed December 8, 2021 (*Office Action*), the Applicant provides the following **amendments to the claims**, beginning on page **two**. The **remarks** and **conclusion** begin on pages **eight** and **twelve**, respectively.

IN THE CLAIMS

PLEASE AMEND THE CLAIMS AS FOLLOWS:

1. – 2. (cancelled)

3. (previously presented) The mouthpiece of claim 21, wherein the mouth prop comprises a bite block portion and a strap portion, wherein the strap portion corresponds to a circumference of the suction connector, wherein a cross section of an opening of the strap portion is parallel to the cross section of the first opening of the suction connector and parallel to the cross section of the suction port opening, wherein a first edge and a second edge of an external surface of the strap portion are adjacent to an external surface of the suction connector, wherein the first edge is opposite the second edge, and wherein the strap portion is injection-molded in a single piece with the bite block portion.

4. (previously presented) The mouthpiece of claim 3, wherein the strap portion is elastic.

5. (previously presented) The mouthpiece of claim 3, wherein an external surface of the suction connector comprises a notch region corresponding to the strap portion, the notch region separating the external surface into a first surface and a second surface and defining an interior surface coupled to the first surface by a first side wall and coupled to the second surface by a second side wall.

6. (previously presented) The mouthpiece of claim 3, wherein the suction connector further comprises an external plug protrusion corresponding to an opening through the bite block portion.

7. (previously presented) The mouthpiece of claim 6, wherein the plug protrusion fits into the opening through the bite block portion to provide additional crush-resistance and decreased compressibility during biting by a patient.

8. (previously presented) The mouthpiece of claim 21, wherein the suction connector comprises a cutout corresponding to a protrusion on a vacuum adapter for an interlocking fit.

9. (previously presented) The mouthpiece of claim 21, wherein the suction connector connects the interior of the pocket to a vacuum source, wherein activation of the vacuum source provides suction of fluids from the interior of the pocket toward the vacuum source.

10. (currently amended) The mouthpiece of claim 21, wherein at least one of the first wall, the second wall, ~~[[and/]]~~ or ~~a side~~ the connecting wall comprise a plurality of perforations, ~~wherein the side wall connects the first wall and the second wall.~~

11. (currently amended) The mouthpiece of claim 10, wherein activation of a vacuum source associated with suction connector extending from the first end of the main body portion draws fluids from an exterior of the main body portion through one or more of the plurality of perforations into the interior space of the pocket of the main body portion.

12. (previously presented) The mouthpiece of claim 21, further comprising a cheek retractor portion connected to the main body portion and having a surface that applies pressure when bent, wherein the pressure is based on resilience of the cheek retractor portion.

13. – 16. (cancelled)

17. (previously presented) The mouthpiece of claim 21, wherein the second wall further includes a stability bar protruding from an interior surface of the second wall and along a longitudinal axis of the main body portion.

18. (previously presented) The mouthpiece of claim 21, wherein the main body portion is formed by injection-molding as one piece.

19. (previously presented) The mouthpiece of claim 21, wherein a material that forms the main body portion is a flexible, translucent, high heat-resistant, autoclavable silicone-based material.

20. (previously presented) The mouthpiece of claim 21, wherein the mouth prop is interchangeable with a second mouth prop that is attachably and detachably associated with the main body portion, the second mouth prop being of a different size.

21. (currently amended) A mouthpiece comprising:

a main body portion configured as a pocket ~~having at least partially enclosing an interior space that extends from a first end to a second end of the main body portion~~, the pocket defined by:

a first wall extending from the first end to the second end, wherein the first wall is narrower at the second end than at the first end,

a second wall ~~parallel to~~ located at a distance from the first wall and extending from the first end to the second end, wherein the second wall is also narrower at the second end than at the first end, the second wall comprising a bridge structure that includes a plurality of protrusions integral with and protruding from an interior surface of the second wall and ~~substantially~~ extending [[a]] across the distance between the first wall and the second wall, wherein the bridge structure is not attached to the first wall, and wherein the plurality of protrusions of the bridge structure protrude from the interior surface of the second wall in a wave shape comprising one or more crests and one or more troughs~~[[;]], and~~

a connecting wall that connects one or more edges of the first wall to one or more corresponding edges of the second wall across the [[span]] distance between the first wall and the second wall; ~~[[and]]~~

a suction connector extending from [[a]] the first end of the main body portion and integral to the main body portion, the suction connector including a cavity extending longitudinally from the main body portion, the cavity in communication with the interior space of the pocket and extending from a first opening of the suction connector at the first end of the main body portion and through a length of the suction connector towards a suction port opening opposite from the main body portion; and

a mouth prop molded in one piece, and wherein the suction connector extends through and past the mouth prop.

22. (previously presented) The mouthpiece of claim 5, wherein the strap portion sits in the notch region when attached around the circumference of the suction connector and the strap portion has a thickness corresponding to a height of the first side wall and the second side wall so that the strap portion is flush with both the first surface and the second surface.

23. (previously presented) The mouthpiece of claim 22, wherein an interior surface of the strap portion is adjacent to the interior surface of the suction connector defined by the notch region

24. (currently amended) A mouthpiece comprising:

a main body portion configured as a pocket ~~having at least partially enclosing an interior space that extends from a first end to a second end of the main body portion~~, the pocket defined by:

a first wall extending from the first end to the second end, the first wall having an interior surface facing the interior space of the pocket, and

a second wall ~~parallel to~~ located at a distance from to the first wall and extending from the first end to the second end, the second wall having an interior surface facing the interior surface of the first[[,]] wall, the second wall comprising a bridge structure that includes a plurality of protrusions integral with and protruding from the interior surface of the second wall and ~~substantially~~ extending [[a]] across the distance between the first wall and the second wall, wherein the bridge structure is not attached to the first wall, and wherein the plurality of protrusions of the bridge structure protrude from the interior surface of the second wall in a wave shape comprising one or more crests and one or more troughs[;]], and

a connecting wall that connects one or more edges of the first wall to one or more corresponding edges of the second wall across the [[span]] distance between the first wall and the second wall; [[and]]

a mouth prop molded in one piece and located at [[a]] the first end of the main body portion; and

a suction connector integral with the main body portion and extending from the first end of the main body portion past the mouth prop, wherein the suction connector includes a cavity in communication with the interior space of the pocket.

REMARKS

Rejections under 35 U.S.C. § 112

Claims 3-12 and 15-24 are rejected under 35 U.S.C. § 112(a)-(b). *Office Action*, 2-4.

Independent claims 21 and 24 are amended to recite ‘an interior open space at least partially enclosed by the pocket’ and that the ‘connecting wall that connects one or more edges of the first wall to one or more corresponding edges of the second wall across the distance between the first wall and the second wall.’ Express support is found in the *Specification* as follows:

An exemplary main body portion 110, when placed in a mouth, may include an anterior wall facing the front of the mouth (e.g., the side with slit 170) and a posterior wall facing the back of the mouth. The two walls may **connect at a superior wall and an inferior wall** forming a **body that is at least partially enclosed**.

...

In some embodiments, a set of holes may also form a mesh along the walls of the **superior and inferior edges**.

Specification, [0035]; [0037]. References to ‘parallel’ no longer appear in the present claims; nor do the antecedent inconsistencies relating to ‘protrusions,’ ‘crests,’ ‘troughs,’ and ‘and.’ Based on the foregoing, Applicant submits that the present claims comply with the requirements of Section 112 and respectfully requests withdrawal of the rejections.

Rejections under 35 U.S.C. § 103

Claims 3-12, 15-18, and 20-24 are rejected under 35 U.S.C. § 103(a) as being unpatentable over *Krouzian* (U.S. Patent 3,758,950) in view of *Schubert* (U.S. Patent 4,167,814). *Office Action* at 5. Claim 19 is rejected under 35 U.S.C. § 103(a) as being unpatentable over *Krouzian* in view of *Schubert* and further in view of *Hirsch* (U.S. Patent Publication 2003/134253). *Id.* at 10. Claims 5 and 22-23 are rejected under 35 U.S.C. § 103(a) as being unpatentable over *Krouzian* in view of

Schubert and further in view of *Langhans* (U.S. Patent 2,019,612). *Id.* at 10. The Applicant respectfully disagrees and presents the following remarks.

Claim 21 recites as follows (emphasis added):

a main body portion configured as a pocket at least partially enclosing an interior space that extends from a first end to a second end of the main body portion, the pocket defined by:

a first wall extending from the first end to the second end, wherein the first wall is narrower at the second end than at the first end,

a second wall located at a distance from the first wall and extending from the first end to the second end, wherein the second wall is also narrower at the second end than at the first end, the second wall comprising a bridge structure that includes a plurality of protrusions integral with and protruding from an interior surface of the second wall and extending across the distance between the first wall and the second wall, wherein the bridge structure is not attached to the first wall, and wherein the plurality of protrusions of the bridge structure protrude from the interior surface of the second wall in a wave shape comprising one or more crests and one or more troughs, and

a connecting wall that connects one or more edges of the first wall to one or more corresponding edges of the second wall across the distance between the first wall and the second wall

The claimed main body appears in FIG. 1A (provided below).

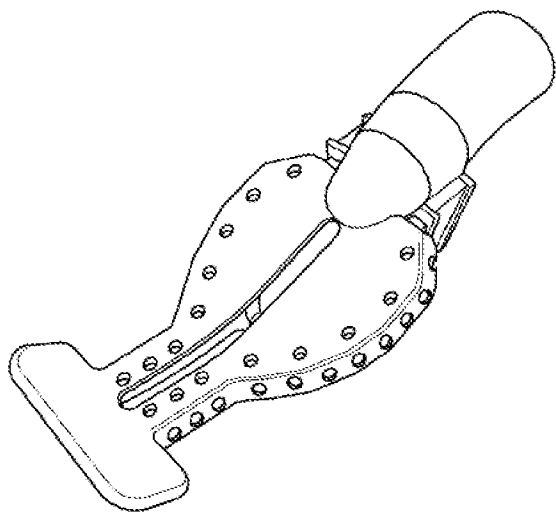
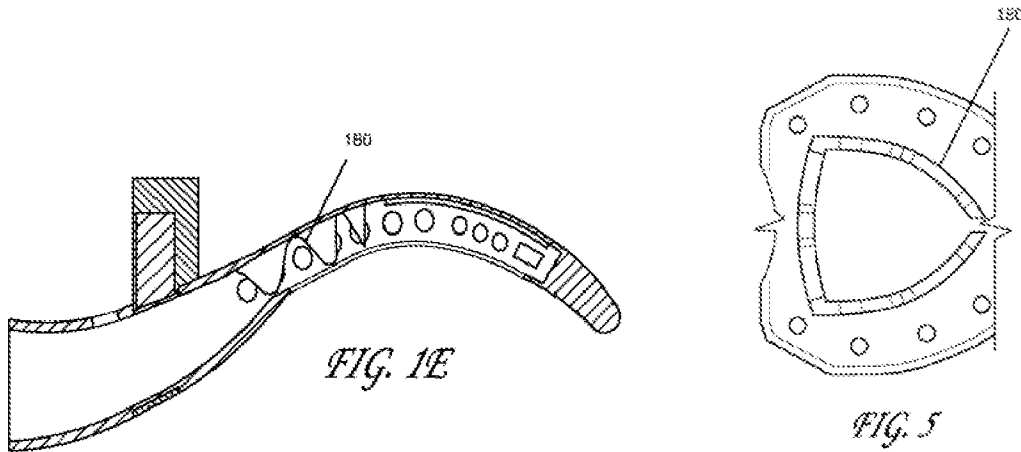


FIG. 1A

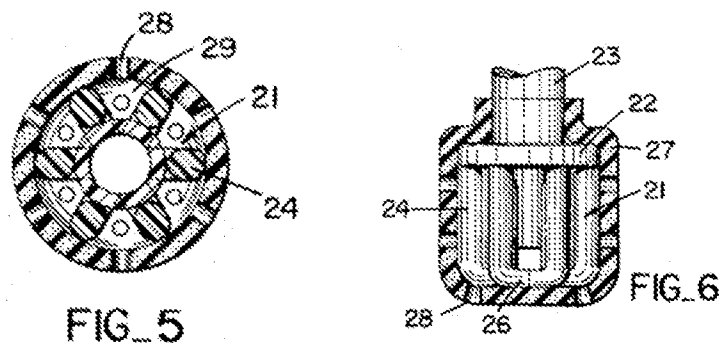
As illustrated, the ‘pocket’ of the ‘main body portion’ ‘at least partially enclos[es]’ an ‘interior space.’ The ‘pocket’ includes ‘a first wall extending from the first end to the second end’ and that ‘is narrower at the second end than at the first end,’ a corresponding ‘second wall located a distance from the first wall’ and likewise ‘extending from the first end to the second end’ and ‘narrower at the second end than at the first end,’ and a ‘connecting wall that connects one or more edges of the first wall to one or more corresponding edges of the second wall across the distance.’

The 'main body portion' further includes 'a bridge structure.' *Specification* at [0040] (describing "a bridge structure 180 on an interior surface" that includes a "wave-like protrusion that generally corresponds to the distance between the anterior and posterior walls extending substantially (within 1 mm) the full distance at its crest and substantially flush to the surface at its trough"). In addition, FIGs. 1E and 5 (provided below) illustrate views of such a 'bridge structure' as follows:



Krouzian fails to teach the claimed walls that are 'narrower at the second end than at the first end,' thereby failing to teach the claimed 'main body portion' that incorporates that same.

Krouzian also fails to disclose the claimed 'bridge structure.' The "circumferentially spaced bars 24" of *Krouzian* is completely enclosed by "core 21" as illustrated in FIGs. 5 and 6 of *Krouzian* below. *Krouzian*, 4: 1. 3-10. Because of such enclosure by the core, the thickness of the core prevents the bars from 'extending across the distance between the first wall and the second wall' as claimed.



Whereas the claims recite that the protrusions of the bridge structure are ‘protruding from an interior surface of the second wall,’ the bars of *Krouzian* do not **protrude** from the core. See *Office Action* (“a second wall (core 21 and/or ring)”). Moreover, the bars 24 of *Krouzian* further fails to teach ‘protrusions’ that ‘extending the distance between the first wall and the second wall’ as claimed. The “core 21” of *Krouzian* is flush with the “outer member or envelop 27” as illustrated in FIGs. 5 and 6 of *Krouzian* below. *Id.* at [col. 4: 1. 3-14]. Thus, *Krouzian* fails to disclose that there is ‘a distance between the first wall and the second wall’ as claimed, thereby failing to teach the the ‘protrusions’ are ‘extending across the distance’ as claimed. The “space 29 between the bars” of *Krouzian* is merely the space within the core 21, not ‘between the first wall and second wall’ as claimed. *Id.* Accordingly, bars that are completely enclosed within a core such as taught by *Krouzian* cannot disclose ‘a plurality of protrusions extending a distance between the first wall and the second wall’ as claimed. Further, the bars 24 of *Krouzian* are not configured in ‘a wave shape comprising one or more crests and one or more troughs’ as claimed.

Based on the foregoing, *Krouzian* therefore fails to disclose the claimed ‘bridge structure.’ *Schubert* is only cited in relation to the claimed ‘mouth prop’ and is completely silent regarding the claimed ‘bridge structure.’ Thus, *Krouzian* and *Schubert*, individually or in combination, fail to disclose ‘main body portion’ as specifically claimed.

Hirsch and *Langhans*, which are cited by the *Office Action* in relation to dependent claims cannot cure the deficiencies of *Krouzian* and *Schubert* with regards to the independent claims 21 and 24. *Office Action* at 8-9.

In view of the above remarks, the independent claim is patentable over the cited references. Furthermore, because each of the dependent claims incorporates by reference all the limitations of the independent claim from which it depends, the dependent claims are allowable for at least the same reasons. The Applicant therefore respectfully requests that the rejections of the claims under 35 U.S.C. § 103 be withdrawn.

CONCLUSION

Based on the foregoing remarks, the Applicant respectfully contends that all outstanding rejections and objections have been overcome. Accordingly, it is respectfully submitted that the present application is in condition for allowance. A Notice of Allowance is respectfully requested.

If the Examiner has any questions regarding the case, the Examiner is invited to contact the Applicant's undersigned representative.

Respectfully submitted,

March 8, 2022

By: /Tam Thanh Pham/
Tam Thanh Pham, Reg. No. 50,565
POLSINELLI LLP
3 Embarcadero Center, Suite 2400
San Francisco, CA 94111
Phone: (415) 248-2116
patentdocketing@polsinelli.com

Electronic Acknowledgement Receipt

EFS ID:	45176605
Application Number:	14228061
International Application Number:	
Confirmation Number:	6191
Title of Invention:	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP
First Named Inventor/Applicant Name:	Thien Nguyen
Customer Number:	138718
Filer:	TamThanh Thi Pham/Cheri Houle
Filer Authorized By:	TamThanh Thi Pham
Attorney Docket Number:	INCEP-001COE
Receipt Date:	08-MAR-2022
Filing Date:	27-MAR-2014
Time Stamp:	17:29:42
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		INCEP-001COE_RESP_3-8-22. pdf Ascentcare Dental	418257	yes Solmetex	12 Ex. 2021
			c2e061fe8b00605d2a938b0ff026aaf9c89f4ff3b Products v. Solmetex - IPR2025-01059		

	Multipart Description/PDF files in .zip description		
	Document Description	Start	End
	Amendment/Request for Reconsideration-After Non-Final Rejection	1	1
	Claims	2	7
	Applicant Arguments/Remarks Made in an Amendment	8	12
Warnings:			
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				Application Number	14/228,061
				Filing Date	March 27, 2014
				First Named Inventor:	Thien Nguyen
				Art Unit	3772
				Examiner Name	Hao D. Mai
Sheet	1	of	2	Attorney Docket Number	INCEP-001COE

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-	D267,586	1/11/1983	Hatlen	
		US-	4,975,057	12/4/1990	Dyfvermark	
		US-	5,730,599	3/24/1998	Pak	
		US-	6,652,276	11/25/2003	Fischer et al.	
		US-	6,655,960	12/2/2003	Fischer	
		US-	6,716,029	4/6/2004	Fischer et al.	
		US-	10,939,979	3/9/2021	Lombardi	
		US-	2018/0153637	6/7/2018	Al-Shawi et al.	
		US-	2020/0383560	12/10/2020	Day	
		US-				
		US-				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code ³ Number ⁴ Kind Codes (if known)				
		CN 104490483	4/8/2015	Huanghua Kangtian Medical Device		

Examiner Signature		Date Considered	
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		Application Number	14/228,061
		Filing Date	March 27, 2014
		First Named Inventor:	Thien Nguyen
		Art Unit	3772
		Examiner Name	Hao D. Mai
Sheet 2 of 2	Attorney Docket Number	INCEP-001COE	
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Docket No. INCEP-005 U.S. Patent Application 16/870,745 Final Office Action mailed 5/12/22	
		Docket No. INCEP-009D U.S. Patent Application 29/782,644 Final Office Action mailed 5/11/22	
		Docket No. INCEP-010D U.S. Patent Application 29/782,643 Final Office Action mailed 5/11/22	

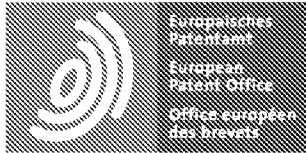
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Espacenet

CN104490483A Self-help oral cavity saliva aspirating and separating device

Applicants: HUANGHUA KANGTIAN MEDICAL DEVICE CO LTD

Inventors: HE QINGXUAN

Classifications:

IPC **A61C17/06;**

CPC **A61C17/04 (CN);**

Priorities: CN201510013679A 2015-01-12

Application: CN201510013679A 2015-01-12

Publication: CN104490483A 2015-04-08

Published as: CN104490483A, **CN104490483B**

Self-help oral cavity saliva aspirating and separating device

Abstract

The invention discloses a self-help oral cavity saliva aspirating and separating device. The self-help oral cavity saliva aspirating and separating device comprises an aspirating tube, a supporter and a saliva aspirating pad, wherein a tooth support is respectively arranged at two sides of the supporter; a saliva aspirating tube which is communicated from top to bottom is arranged inside the supporter; the saliva aspirating pad comprises a lower pad, an upper pad and an outlet tube, wherein the lower pad and the upper pad are respectively connected with the outlet tube to form an integrated structure; the lower pad and the upper pad are connected through a plurality of connecting pads, a saliva aspirating space is formed between the lower pad and the upper pad; the aspirating tube is connected to the top of the saliva aspirating tube; the saliva aspirating pad is connected to the outlet in the bottom of the saliva aspirating tube through the outlet tube. The self-help oral cavity saliva aspirating and separating device is used for aspirating the liquid in the oral cavity by being connected with the supporter with tooth supports through the flexible saliva aspirating pad through the aspirating tube; the aspirating tube, the supporter and the saliva aspirating pad can freely rotate, so that not only can the saliva aspirating effect of the oral cavity operation be improved, but also the operation comfort of the patient can be improved, thereby effectively improving the diagnosis and treatment efficiency, reducing the diagnosis and treatment time and lowering the pains of the patient.

CN104490483A Self-help oral cavity saliva aspirating and separating device

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The wording below is an initial machine translation of the original publication. To generate a version using the latest translation technology, go to the original language text and use Patent Translate.

Self-service oral saliva separation device

Technical field

[0001] The invention relates to the technical field of medical instruments, in particular to a self-service oral saliva separation device.

Background technique

Sucker is a medical device commonly used in stomatology. Its function is to suck out saliva and blood in the mouth during the operation to provide a clear medical vision. At present, self-service oral saliva separation devices for medical use are mostly disposable plastic single tubes. It is prone to clogging when the operation time is too long, and a new self-service oral suction saliva separation device needs to be replaced, which affects the progress of the operation.

Summary of the invention

In view of the deficiencies of the prior art, the present invention provides a self-service oral saliva separation device which is novel in structure, convenient to use, and not easily blocked.

The technical solution adopted by the present invention to solve the technical problem thereof is:

The utility model relates to a self-service oral saliva separation device, which comprises a straw, a supporter and a suction pad. A support is arranged on each side of the supporter, and a suction pipe is arranged inside and below the supporter, and the suction pad comprises a lower pad and an upper pad. The pad and the outlet tube, the lower pad and the upper pad are respectively connected with the outlet tube to form an integral structure, and the lower pad and the upper pad are connected by a plurality of connecting pads and form a certain suction space between the lower pad and the upper pad, and the straw is connected At the top of the suction tube, the suction pad is connected through the outlet tube at the inlet of the bottom of the suction tube.

A plurality of arcuate ribs are respectively disposed on the upper and lower sides of the trays on both sides of the supporter.

The bottom pad of the suction pad is provided with a hooking hole, and the bottom pad and the upper pad are respectively provided with a plurality of liquid suction holes.

The working principle of the invention is that the support is fixed on the teeth of the patient's mouth by the trays on both sides, and the suction pad connected with the support is placed inside the mouth, and the straw is connected with the external suction power mechanism, during the operation, The saliva and blood inside the mouth enter the inside of the suction pad, enter the suction tube of the support through the outlet tube of the suction pad, and finally suck out through the straw.

The invention is connected with the supporter with the tray by the flexible suction pad, and then sucks the liquid in the mouth through the straw, and the straw, the supporter and the suction pad can rotate freely, which not only improves the suction effect of the oral surgery. At the same time, it also improves the patient's surgical comfort, effectively improves the diagnosis rate, reduces the diagnosis and treatment time, and reduces the patient's pain.

DRAWINGS

The invention will now be further described with reference to the drawings and embodiments.

Figure 1 is a schematic view of the structure of the present invention;

Figure 2 is a schematic structural view of a support of the present invention;

Figure 3 is a side elevational view of the support of the present invention;

Figure 4 is a schematic view showing the structure of the suction pad of the present invention.

In the figure, 1 straw, 2 supporter, 3 suction pad, 21 suction tube, 22 inlet, 23 tooth holder, 24 curved rib, 31 under pad, 32 upper pad, 33 outlet tube, 34 connection pad, 35 hook Take holes, 36 suction holes.

Detailed ways

As shown in FIG. 1, FIG. 2, FIG. 3 and FIG. 4, a self-service oral saliva separation device comprises a straw 1, a support 2 and a suction pad 3, and a tray 23 is respectively arranged on both sides of the support 2. The support 2 is internally provided with a suction and suction pipe 21 connected up and down. The suction pad 3 includes an lower pad 31, an upper pad 32 and an outlet pipe 33. The lower pad 31 and the upper pad 32 are respectively connected with the outlet pipe 33 to form an integrated structure. The pad 31 and the upper pad 32 are connected by a plurality of connecting pads 34 and form a certain suction space between the lower pad 31 and the upper pad 32. The straw 1 is connected to the top of the suction tube 21, and the suction pad 3 passes through the outlet tube 33. Connected to the inlet 22 at the bottom of the suction tube 21, a plurality of curved ribs 24 are respectively arranged on the upper and lower sides of the trays 23 on both sides of the supporter 2, and a tailing hole 35 is provided at the tail of the lower pad 31 of the suction pad 3, and the lower pad is provided. 31 and a plurality of liquid suction holes 36 are respectively disposed on both sides of the upper pad 32.

The working principle of the invention is that the support 2 is fixed on the teeth of the patient's mouth by the trays 23 on both sides, and the suction pad 3 connected to the support 2 is placed inside the mouth, and the straw 1 is connected to the external suction power mechanism. During the operation, the saliva and blood inside the oral cavity enter the inside of the suction pad 3, enter the suction tube 21 of the holder 2 via the outlet tube 33 of the suction pad 3, and finally suck out through the suction tube 1.

CN104490483A Self-help oral cavity saliva aspirating and separating device

Data originating from sources other than the EPO may not be accurate, complete, or up to date.

The wording below is an initial machine translation of the original publication. To generate a version using the latest translation technology, go to the original language text and use Patent Translate.

1. The invention discloses a self-service oral saliva separation device, which comprises a straw, a supporter and a suction pad. A support is arranged on each side of the supporter, and a suction pipe connected up and down is arranged inside the supporter, and the suction pad comprises the lower part. The pad, the upper pad and the outlet tube, the lower pad and the upper pad are respectively connected with the outlet pipe to form an integral structure, and the lower pad and the upper pad are connected by a plurality of connecting pads and form a certain suction space between the lower pad and the upper pad. The straw is attached to the top of the suction tube, and the suction pad is connected to the inlet of the bottom of the suction tube through the outlet tube.
2. The self-service oral saliva separation device according to claim 1, wherein the upper and lower sides of the support are respectively provided with a plurality of arcuate ribs.
3. The self-service oral saliva separation device according to claim 1, wherein a suction hole is provided in the lower pad tail portion of the suction pad, and a plurality of liquid suction holes are respectively disposed on the lower pad and the upper pad.

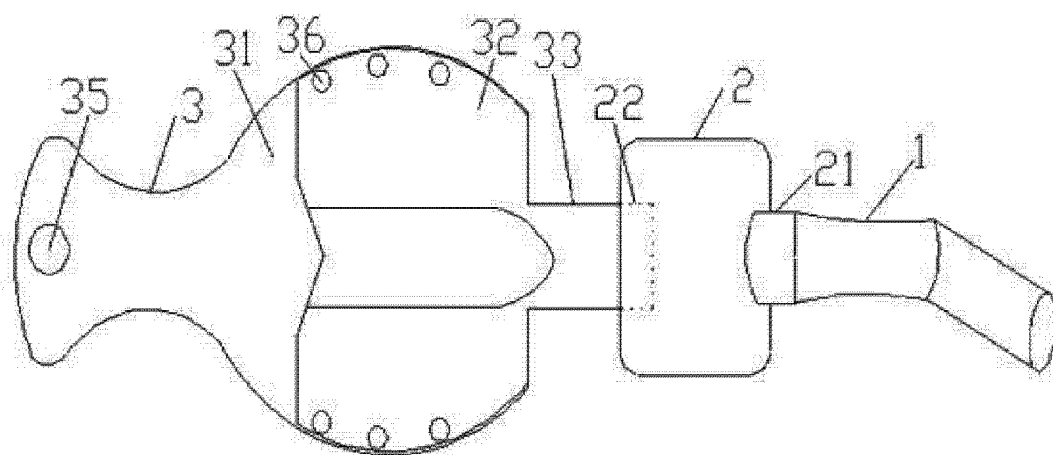


图 1

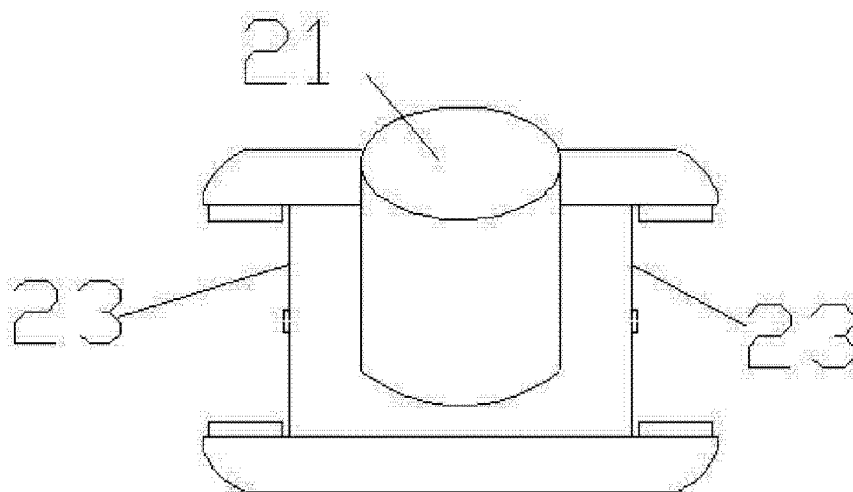


图 2

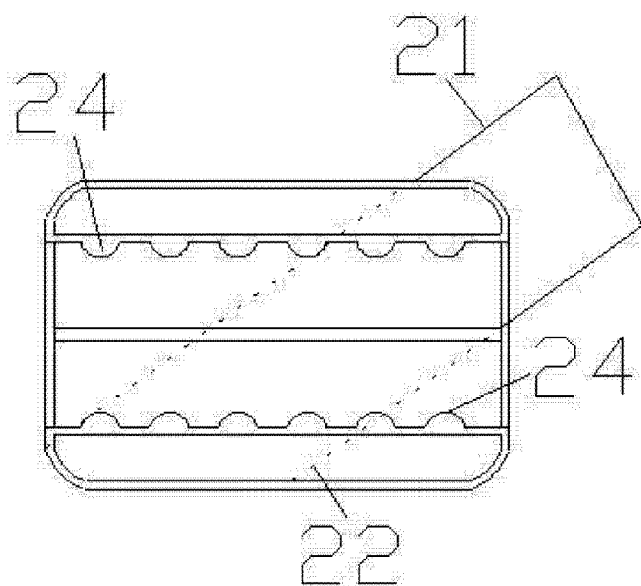


图 3

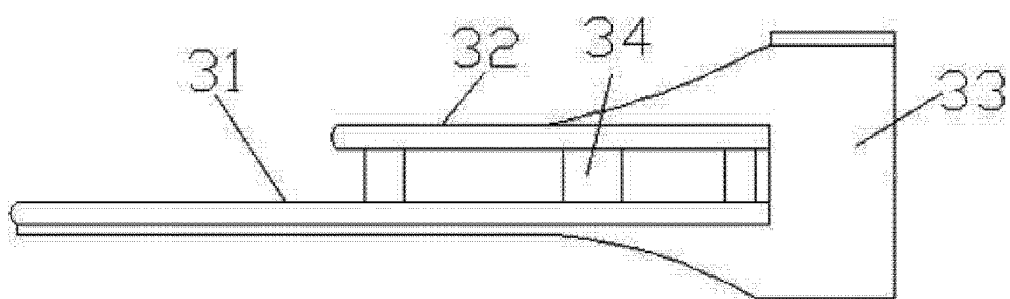


图 4

Electronic Acknowledgement Receipt

EFS ID:	45738990
Application Number:	14228061
International Application Number:	
Confirmation Number:	6191
Title of Invention:	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP
First Named Inventor/Applicant Name:	Thien Nguyen
Customer Number:	138718
Filer:	TamThanh Thi Pham/Lea Fong
Filer Authorized By:	TamThanh Thi Pham
Attorney Docket Number:	INCEP-001COE
Receipt Date:	24-MAY-2022
Filing Date:	27-MAR-2014
Time Stamp:	12:31:10
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	INCEP-001COE_IDS_Statement _5-20-22.pdf Ascentcare Dental	97293 c8176ee1cf077da2dabb879aeea62264143 c4c21	no Solmetex	2 Ex. 2021 IPR2025-01059

Warnings:

Information:					
2	Information Disclosure Statement (IDS) Form (SB08)	INCEP-001COE_IDS_1449_5-20 -22.pdf	223273 f9e72c9ea1e0c278e1202b277ce17eee9c3d1c3f	no	2
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3	Foreign Reference	CN_104490483.pdf	306679 aee54f91e9c83f3868077da269a68c0d828398c0	no	6
Warnings:					
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Total Files Size (in bytes):			627245		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3772

Examiner: Hao D. Mai

Serial No.: 14/228,061

Filed: March 27, 2014

In re Application of: Thien Nguyen

Confirmation No.: 6191

Customer No.: 138718

Title: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. § 1.56 and 1.97-1.98

Examiner:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08, listing several references. The Examiner is requested to make these references of official record in the application.

The references cited may be material to examination of the application and are submitted in compliance with the Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

Applicants hereby declare, pursuant to 37 C.F.R. §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after having made a reasonable inquiry, no item of information contained in the information disclosure statement was

known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of the information disclosure statement.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference an admission that it is prior art or pertinent to this application.

Respectfully submitted,
POLSINELLI LLP

Dated: May 20, 2022

By: _____/Tam Thanh Pham/_____
Tam Thanh Pham (Reg. No. 50,565)
Polsinelli LLP
Three Embarcadero Center, Suite 2400
San Francisco, CA 94111
415-248-2100 (Main)
patentdocketing@polsinelli.com



Espacenet

EP3965637A1 DENTAL MOUTHPIECE

Applicants: DRYSHIELD LLC [US]

Inventors: NGUYEN THIEN [US], PHAM TAM THANH [US], NGUYEN ETHAN [US], NGUYEN LAUREN [US]

Classifications:

IPC **A61B1/24; A61C17/00; A61C17/06; A61C17/10;**CPC **A61B1/24 (US); A61C17/08 (EP,US); A61C17/092 (EP); A61C5/90 (US);**

Priorities: US201962846353P 2019-05-10; US2020032228W 2020-05-08

Application: EP20804801A 2020-05-08

Publication: EP3965637A1 2022-03-16

Published as: AU2020274979A1; BR112021022474A2; CA3139689A1; CN114302667A; CO2021016591A2; EP3965637A1; SG11202112319YA; TW202108088A; US2020352680A1; WO2020231864A1

DENTAL MOUTHPIECE

Abstract

Abstract not available for EP3965637A1 -- abstract of corresponding document: WO2020231864A1

A dental mouthpiece is provided that may be attached to a high-suction dental adapter for the purpose of assisting the dental staff during dental procedures through chair-side, hands-free suction, and isolation. Such a mouthpiece may comprise a main body portion, a cheek retractor portion, and a suction connector portion. In an embodiment, the main body portion, cheek retractor portion, and suction connector portion may be molded in one piece, preferably by injection molding. The main body portion has an anterior wall inside the curve and a posterior wall outside the curve, and an at least one connector connecting the anterior wall and the posterior wall. The main body portion has an anterior intervening wall and the posterior intervening wall in between the anterior and posterior walls. The anterior intervening wall and the posterior intervening walls have alternating crests and troughs.

Electronic Acknowledgement Receipt

EFS ID:	45739038
Application Number:	14228061
International Application Number:	
Confirmation Number:	6191
Title of Invention:	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP
First Named Inventor/Applicant Name:	Thien Nguyen
Customer Number:	138718
Filer:	TamThanh Thi Pham/Lea Fong
Filer Authorized By:	TamThanh Thi Pham
Attorney Docket Number:	INCEP-001COE
Receipt Date:	24-MAY-2022
Filing Date:	27-MAR-2014
Time Stamp:	12:32:01
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Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	INCEP-001COE_IDS_Statement- 2_5-20-22.pdf Ascentcare Dental	96639 22764d73a3b332d7fd42841c9144cbd2f038 ce242	no Solmetex	2 Ex. 2021 IPR2025-01059

Warnings:

Information:					
2	Information Disclosure Statement (IDS) Form (SB08)	INCEP-001COE_IDS_1449-2_5- 20-22.pdf	177680 db2cf589313124c4662a8169a0899a6af0c1 a5ae	no	1
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3	Foreign Reference	EP_3965637.pdf	279485 b6facaed01b2b5b281800cdb7c2f1522b3f4 81fa	no	1
Warnings:					
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Total Files Size (in bytes):			553804		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3772

Examiner: Hao D. Mai

Serial No.: 14/228,061

Filed: March 27, 2014

In re Application of: Thien Nguyen

Confirmation No.: 6191

Customer No.: 138718

Title: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Examiner:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08, listing several references. The Examiner is requested to make these references of official record in the application.

The references cited may be material to examination of the application and are submitted in compliance with the Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

Applicants hereby declare, pursuant to 37 C.F.R. §1.97(e)(1), that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference an admission that it is prior art or pertinent to this application.

Respectfully submitted,
POLSINELLI LLP

Dated: May 20, 2022

By: _____/Tam Thanh Pham/_____
Tam Thanh Pham (Reg. No. 50,565)
Polsinelli LLP
Three Embarcadero Center, Suite 2400
San Francisco, CA 94111
415-248-2100 (Main)
patentdocketing@polsinelli.com

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	14/228,046
				Filing Date	March 27, 2014
				First Named Inventor:	Thien Nguyen
				Art Unit	3772
				Examiner Name	Hao D. Mai
Sheet	1	of	2	Attorney Docket Number	INCEP-001COA

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-				
		US-				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code³ Number⁴ Kind Codes (if known)				
		CA 3,139,689	11/19/2020	Dryshield, LLC		
		TW 202108088	3/1/2021	Dryshield, LLC		

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Ascentcare Dental Products v. Solmetex - IPR2025-01059

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<div>Substitute for Form 1449/PTO</div> <div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div>		Complete if Known	
		Application Number	14/228,061
		Filing Date	March 27, 2014
		First Named Inventor:	Thien Nguyen
		Art Unit	3772
		Examiner Name	Hao D. Mai
Sheet 2 of 2	Attorney Docket Number	INCEP-001COE	
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		PCT Application No. PCT/US2020/032228 International Preliminary Report on Patentability dated 11/16/21	

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Solmetex Ex 2021

Ascentone Dental Products, LLC - IPR2025-01059



(12) **DEMANDE DE BREVET CANADIEN
CANADIAN PATENT APPLICATION**

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(30) Priorité/Priority: 2019/05/10 (US62/846,353)

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A61C 17/10 (2006.01)
(71) Demandeur/Applicant:
DRYSHIELD, LLC, US
(72) Inventeurs/Inventors:
NGUYEN, THIEN, US;
PHAM, TAM THANH, US;
NGUYEN, ETHAN, US;
NGUYEN, LAUREN, US
(74) Agent: RICHES, MCKENZIE & HERBERT LLP

(54) Titre : EMBOUT BUCCAL DENTAIRE
(54) Title: DENTAL MOUTHPIECE

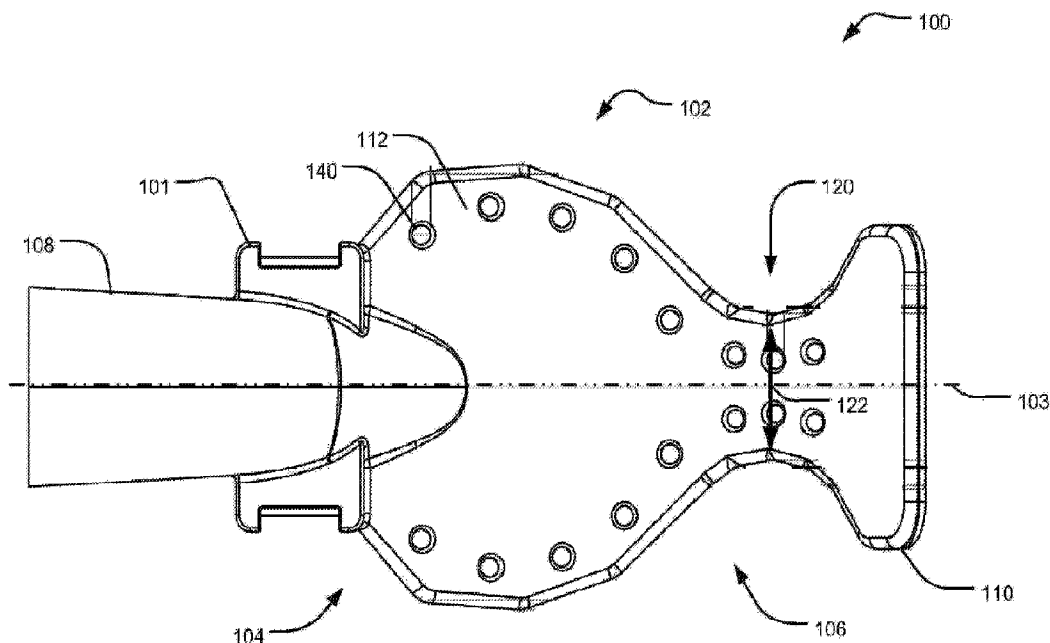


FIG. 1

(57) **Abrégé/Abstract:**

A dental mouthpiece is provided that may be attached to a high-suction dental adapter for the purpose of assisting the dental staff during dental procedures through chair-side, hands-free suction, and isolation. Such a mouthpiece may comprise a main body portion, a cheek retractor portion, and a suction connector portion. In an embodiment, the main body portion, cheek retractor portion, and suction connector portion may be molded in one piece, preferably by injection molding. The main body portion has an anterior wall inside the curve and a posterior wall outside the curve, and an at least one connector connecting the anterior wall and the posterior wall. The main body portion has an anterior intervening wall and the posterior intervening wall in between the anterior and posterior walls. The anterior intervening wall and the posterior intervening walls have alternating crests and troughs.

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Ascentcare Dental Products v Solmetex, IPR 2025-01059

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62/846,353 10 May 2019 (10.05.2019) US

(71) Applicant: **DRYSHIELD, LLC** [US/US]; 11230 Grace Avenue, Suite B, Fountain Valley, CA 92708 (US).

(72) Inventors: **NGUYEN, Thien**; 11230 Grace Avenue, Suite B, Fountain Valley, CA 92708 (US). **PHAM, Tam, Thanh**; 11230 Grace Avenue, Suite B, Fountain Valley, CA 92708 (US). **NGUYEN, Ethan**; 11230 Grace Avenue, Suite B, Fountain Valley, CA 92708 (US). **NGUYEN, Lauren**; 11230 Grace Avenue, Suite B, Fountain Valley, CA 92708 (US).

(74) Agent: **CHUNG, Ruby** et al.; Polsinelli LLP, Three Embarcadero Center, Suite 2400, San Francisco, CA 94111 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JO, JP, KE, KG, KH, KN, KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ,

(54) Title: DENTAL MOUTHPIECE

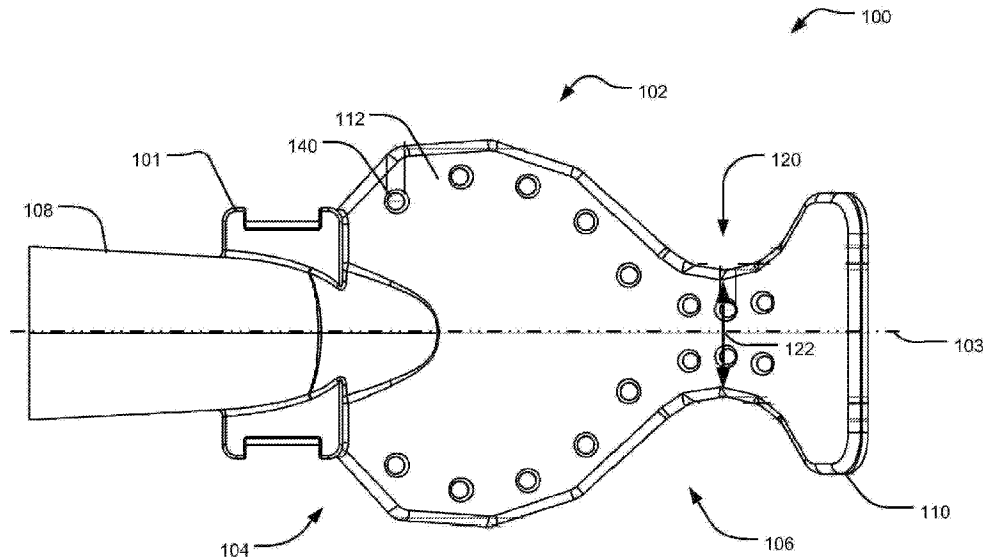


FIG. 1

(57) Abstract: A dental mouthpiece is provided that may be attached to a high-suction dental adapter for the purpose of assisting the dental staff during dental procedures through chair-side, hands-free suction, and isolation. Such a mouthpiece may comprise a main body portion, a cheek retractor portion, and a suction connector portion. In an embodiment, the main body portion, cheek retractor portion, and suction connector portion may be molded in one piece, preferably by injection molding. The main body portion has an anterior wall inside the curve and a posterior wall outside the curve, and an at least one connector connecting the anterior wall and the posterior wall. The main body portion has an anterior intervening wall and the posterior intervening wall in between the anterior and posterior walls. The anterior intervening wall and the posterior intervening walls have alternating crests and troughs.

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OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, WS, ZA, ZM, ZW.

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DENTAL MOUTHPIECE

CROSS REFERENCE TO RELATED APPLICATIONS

[0001] The present application claims the priority benefit of U.S. Provisional Patent Application Serial No. 62/846,353 filed May 10, 2019 entitled, "Dental Mouthpiece," the disclosures of which are incorporated herein by reference.

BACKGROUND OF THE INVENTION

1. Field of the Invention

[0002] The present invention generally relates to the field of dental mouthpieces. More specifically, the present invention relates to intraoral dental suction and isolation mouthpieces.

2. Description of Related Art

[0003] Various mouthpieces are currently used by dental health professionals, dental hygienists, and dental assistants in the field of dentistry. In the past, a dental patient has been treated by a traditional two-person team that comprises a dental professional and a dental assistant. Further, dental treatment may be provided by the team using many different types of dental equipment and materials. Such dental equipment and materials may include such items as an intraoral mirror, a bite block, a slow speed suction ejector, a high speed suction ejector, gauzes, cotton rolls, and dry angles. Each item of dental equipment may be used for different purposes, though some may be used in combination for some types of dental services. As such, a dental professional seeking to provide such dental services may need to use multiple items of such dental equipment. An important role of the dental assistant is therefore to assist the dental professional in coordinating the use of these multiple items of different equipment and materials.

[0004] There is, therefore, a need in the art for improved systems and methods of providing dental services in a more efficient, comfortable, and safe manner to the dental patient.

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SUMMARY OF THE CLAIMED INVENTION

[0005] Embodiments of a dental mouthpiece formed in a curve are disclosed. Such a mouthpiece may comprise of a main body portion at a central part of the curve having a first end and a second end, a suction connector portion connected to the main body portion at the first end, and a cheek retractor portion connected to the main body portion at the second end.

[0006] In some embodiments, the main body portion, the suction connector portion, and the cheek retractor portion may be molded in one piece, preferably by injection molding. In an exemplary embodiment, the mouthpiece may be made of a material that is flexible, translucent, conducive to injection molding, high heat-resistant, and autoclavable. Such a material may include silicone. Because the mouthpiece may be made of a high heat-resistant and autoclavable material, such a mouthpiece may be reusable.

[0007] The main body portion may comprise of an anterior wall inside the curve and a posterior wall outside the curve. The anterior wall and the posterior wall may define an interior space, within which at least one connector connects the anterior wall to the posterior wall. At least one anterior intervening wall extends from the anterior wall partially towards the posterior wall, and at least one posterior intervening wall extends from the posterior wall partially towards the anterior wall. The anterior intervening walls and the posterior intervening walls each has alternating crests and troughs.

BRIEF DESCRIPTION OF THE FIGURES

- [0008] FIG. 1 is a top view of the dental mouthpiece;
- [0009] FIG. 2 is an isometric view of a dental mouthpiece shown in FIG. 1;
- [0010] FIG. 3 is a rear view of the dental mouthpiece shown in FIG. 1;
- [0011] FIG. 4 is a bottom view of the dental mouthpiece shown in FIG. 1;
- [0012] FIG. 5 is a coronal top cross section view of the dental mouthpiece shown in FIG. 1;
- and
- [0013] FIG. 6 is a side view of the dental mouthpiece shown in FIG. 1;
- [0014] FIG. 7 is a side of the dental mouthpiece shown in FIG. 1 with an anterior wall of the mouthpiece pulled away from a posterior wall of the mouthpiece;
- [0015] FIG. 8 is a transverse cross section view of the dental mouthpiece shown in FIG. 1 at the intersection between the suction connector and the main body.
- [0016] FIG. 9 is a transverse cross section view of the dental mouthpiece shown in FIG. 1 at the intersection between the suction connector and the main body.
- [0017] FIG. 10 is a longitudinal cross section view of an embodiment of the dental mouthpiece shown in FIG. 1 with a wall connector.
- [0018] FIG. 11 is a longitudinal cross section view of an embodiment of the dental mouthpiece shown in FIG. 1 with column connectors.

DETAILED DESCRIPTION

[0019] Embodiments of the present invention may include a mouthpiece that may be attached to a high-suction dental adapter for the purpose of assisting the dental staff during dental procedures through chair-side, hands-free suction, and isolation. Such a mouthpiece may comprise a main body portion, a cheek retractor portion, a suction connector portion, a stability bar, and a bite block. In some embodiments, any combination of the main body portion, cheek retractor portion, suction connector portion, a stability bar and bite block (and sub-portions thereof) may be molded in one piece, preferably by injection molding. In an exemplary embodiment, the mouthpiece may be made of a material that is flexible, resilient, at least translucent, and conducive to injection molding. Such a material may include thermoplastic elastomers known in the art.

[0020] FIG. 1 is a top view of the dental mouthpiece. A dental mouthpiece 100 includes a main body portion 102 having a first end 104 and a second end 106 opposite the first end 104. A longitudinal axis 103 may extend from the first end 104 to the second end 106. In the illustrated embodiment, a suction connector portion 108 may be coupled to the first end 104 and a cheek retractor portion 110 may be coupled to the second end 106, though in other examples the mouthpiece 100 may not have a suction connector portion 108 and/or a cheek retractor portion 110. A bite block 101 may also be integrated to the suction connector portion 108 near the first end 104 of the main body portion 102, though the bite block 101 may be positioned anywhere on the suction connector portion 108.

[0021] The main body portion 101 may include a neck 120 extending from the second end 106 to the cheek retractor portion 110. The neck 120 may have a width 122 that is less than a width of the main body portion 102, a width of the cheek retractor portion 110, and/or a width of the suction connector portion 108, though the width 122 may be greater than the width of the main body portion 102, the cheek retractor portion 110, and/or the suction connector portion 108 in other examples.

[0022] In the illustrated embodiment, the suction connector portion 108, the cheek retractor portion 110, the bite block 101, and the main body portion 102 are constructed as one piece.

though in other examples each of the suction connector portion 108, the cheek retractor portion 110, the bite block 101, and/or the main body 102 may be separate pieces. For example, in some embodiments, the main body portion 102, the cheek retractor portion 110, and the suction connector portion 108 (and sub-portions thereof) may be molded as one-piece, preferably by injection molding and the bite block 101 may be a separate piece attachable to the suction connector portion 108. In an exemplary embodiment, the mouthpiece 100 may be made of a material that is flexible, resilient, translucent, and conducive to injection molding. Such a material may include thermoplastic elastomer.

[0023] FIG. 2 is an isometric view of a dental mouthpiece shown in FIG. 1. As illustrated in FIG. 2, the main body portion 102 may be shaped in a curve. Because the mouthpiece 100 is made of a flexible and resilient material (e.g., thermoplastic elastomer), the mouthpiece 100 may be bent when placed in a patient's mouth to conform to the shape of the mouth. When properly positioned, the suction connector portion 108 may protrude from one side of the patient's mouth, while the main body portion 102 lies against the back of the patient's mouth, and the cheek retractor portion 110 presses against the patient's cheek on the opposite side of the patient's mouth.

[0024] The cheek retractor portion 110 may be configured to press against and retract a patient's cheek away from the patient's teeth on an opposite side of the patient's mouth than the side from which the connection portion 108 extends. The flexibility of the material used to form the mouthpiece 100 allows for some bending when placed in the patient's mouth, but the resilience of the material further allows the mouthpiece 100 to apply pressure against a part of the patient's mouth when the mouthpiece 100 is released from bending. The material is resilient enough, for example, to allow the cheek retractor portion 110 to press against the inside of the patient's cheek with such pressure being sufficient to move the cheek away from the patient's teeth. The cheek retractor portion 110 is illustrated as a hammerhead distal region, which may be attached to the main body portion 102. The cheek retractor portion 110 may be solid in some examples, though may be hollow in other examples.

[0025] The main body portion 102 may comprise an anterior wall 112 on an inner part of the curve and a posterior wall 114 (also seen in FIG. 4) on an outer part of the curve. The

anterior wall 112 may face a front of the patient's mouth and the posterior wall 114 may face a back of the patient's mouth. The anterior wall 112 and the posterior wall 114 of the main body portion 102 may be configured in parallel to each other. The anterior wall 112 has a defined shape that may correspond to the defined shape of the posterior wall 114, though the anterior wall 112 may be a different shape than the posterior wall 114 in other examples. The defined shape may be wider at the first end 104 and narrower at the second end 106. In some embodiments, the shape corresponds to a shield shape though the shape may be, for example, a square, a straight line arrow, a rectangle, a star, an oval, a circle, or a shape that generally conforms to the intraoral shape of the patient's mouth. Differently-sized mouthpieces may be provided for differently-sized mouths of adults and children. Part of the shape at the first end 104 may be formed with thicker walls than the rest of the main body portion 102. Such thickening may provide additional stability at the first end 104. When positioned within the mouth of a patient, the anterior wall 112 and the posterior wall 114 are capable of blocking an airway of the patient, while the bite block 101 is positioned between the patient's teeth, the suction connector portion 108 extends from one side of the patient's mouth, and the cheek retractor portion 110 presses against the cheek on the opposite side of the patient's mouth.

[0026] The main body portion 102 may also include openings 140 located on the anterior wall 112 and/or the posterior wall 114. Such openings 140 (e.g., perforations, slit, aperture, etc.) allow for suction of air, fluids, and small debris from the patient's mouth through the openings 140 and into the interior space 126, and into the suction connector portion 108 towards a suction source. The openings 140 may be positioned on a perimeter of the shape of the anterior wall 112 and/or the posterior wall 114. In the same example, the openings 140 may be positioned on either side of the neck 120 on the anterior wall 112 and/or the posterior wall 114. In another example, the openings 140 are apertures. In the same example, the apertures are each the same size, though in other examples some or all of the apertures may be different sizes.

[0027] FIG. 3 is a rear view of the dental mouthpiece shown in FIG 1. As visible in FIG. 3, the suction connector portion 108 may be oval-shaped and also attached to the main body portion 102. The suction connector portion 108 may be formed with thicker walls than the main body portion 102 and configured to attach to a high-suction vacuum adapter and to assist in

transferring water, saliva, and debris from the interior space 126 to the external adapter for removal. The suction connector portion 108 may also include an internal stop to assist in sliding the mouthpiece onto the adapter to a desired depth.

[0028] The superior wall 116 in FIG. 3 may be used herein to refer to the side that rests against a roof of a patient's mouth when placed therein, and the inferior wall 118 may be used to refer to the side that rests against the floor of the patient's mouth. The superior wall 116 and inferior wall 118 may be formed identically, which may allow for the mouthpiece to change orientation such that the superior wall 116 may appear as the inferior wall 118 and vice versa, in the new orientation. When in use, the superior wall 116 and the inferior wall 118 of the main body portion 101 may serve to protect and separate the top of the mouth and the bottom of the mouth/tongue. In addition, the main body portion 101 may also serve to protect the back of the mouth (e.g., throat and airway) from falling debris.

[0029] FIG. 4 is a bottom view of the dental mouthpiece shown in FIG 1. The anterior wall 112 and the posterior wall 114 may be connected to each other at by at least one connector 124. In some embodiments, the suction connector portion 108 may have a cutout 142 (e.g., which may be shaped as a logo, a rectangular notch, a square notch, or a circular notch, or any shaped notch) providing extra interlocking with a corresponding protrusion (e.g., which may also be shaped as a logo, a rectangular protrusion, a square protrusion, or a circular protrusion, or any shaped protrusion) on an external high-suction vacuum adapter.

[0030] The bite block 101 may be reinforced by bite block openings 141 to create cavities in the bite block to result in a more stable bite block. In an embodiment, the bite block openings 141 may be two parallel rectangular openings that open at the bottom of the bite block. The bite block openings 141 may extend up to the suction connector portion 108 but not extending past the thickness of the suction connector portion 108.

[0031] FIG. 5 is a coronal top cross section view of the dental mouthpiece shown in FIG. 1. The main body portion 102 may include the bridge structure 136 that protrudes from the interior surface 135 of the posterior wall 114, as shown in FIG. 5 and also visible in FIGS. 7 and 8. In some embodiments, the bridge structure 136 may follow the shape of a logo (e.g., an arrowhead or shield). The bridge structure may have a gap at the tip of the arrowhead shape,

creating an open arc instead of a point of an arrow. In some embodiments, the bridge structure 136 may be centrally-located in the main body portion 110 of the mouthpiece 100. In some examples, such bridge structure 136 may protrude from the interior surface 135 in a wave shape with bridge crests 137 and bridge troughs 138. In other embodiments, the bridge structure 136 may protrude in the shape of battlements or trapezoids. The bridge crests 137 provide a plurality of contact points that are generally separate from the anterior wall 112. The bridge crests 137 near the opening 146 of the suction connector portion 108 may have a greater height than the bridge crests 137 further from the opening 146, as visible in FIGS. 7 and 8. The bridge crests 137 near the opening 146 of the suction connection portion 108 may also be longer than the bridge crests 137 further away from the suction connection portion 108 as shown later in FIG. 8. The bridge troughs 138 may be substantially flush or extend above the interior surface 135. The bridge crests 137 contact the anterior wall 112 during suction to keep the anterior wall 112 spaced away from the posterior wall 114 during suction, thereby preventing collapse of the anterior wall 112 or the posterior wall 114 into the interior space 126 so that debris and/or water can be evacuated through the interior space 126. Meanwhile, the bridge troughs 138 provide gaps that allow for suction of air, fluids, and small debris through the bridge structure 136. In other examples, the bridge structure 136 may be smooth, may include sharp crests and sharp troughs, circular crests and circular troughs, square crests and square troughs, or shape or combination of shapes of crests and troughs.

[0032] The main body portion 102 may further include a stability bar 143, shown in FIG. 5 and also visible in FIG. 7, that extends from approximately the center of the main body portion 102 toward the cheek retractor portion 110. Such stability bar 143 may protrude from the interior surface 135 of the posterior wall 114 along the longitudinal axis 103. In an embodiment, the stability bar 143 begins from the open arc of the bridge structure 136 and continue along the longitudinal axis 103 towards the second end 106 past the neck 120 and ends before reaching the cheek retractor portion 110. The stability bar 143 may protrude from the interior surface 135 of the posterior wall 114 towards the interior surface 113 of the anterior wall 112. The stability bar 143 may be of any height ranging from the height of the interior space 126 or any height less than the interior space 126. In an embodiment, the stability bar 143 may have attached

connectors 124 at specific locations that may attach the anterior wall 112 to the posterior wall 114. The connectors 124 on the stability bar 143 may assist with retraction, stability, support and curvature of the mouthpiece 100 during suction. In an exemplary embodiment, there may be multiple connectors 124 aligned with the stability bar 143 the stability bar 143, though the stability bar 143 may not be aligned with the at least one connector 124 in other examples.

[0033] FIG. 6 is a side view of the dental mouthpiece shown in FIG. 1. FIG. 6 illustrates the view of the inferior side that rests against the floor of the patient's mouth. The anterior wall 112 and the posterior wall 114 are spaced from each other and define the interior space 126. Other than the at least one connector 124, a stability bar 143, and a bridge structure 136, the interior space 126 is generally open and unobstructed, thereby allowing for suction to flow throughout the interior space 126. The interior space 126 between the anterior wall 112 and the posterior wall 114 generally follows the same defined shape of the anterior wall 112 and the posterior wall 114. In one example, the interior space 126 extends through the neck 120, though in other examples the interior space 126 may not extend through the neck 120. In yet other examples, the interior space 126 extends through the neck 120 and into the cheek retractor portion 120.

[0034] At least one anterior intervening wall 127 may extend from at least one edge 128 of the anterior wall 112 partially towards the posterior wall 114 and may have a thickness that extends from the at least one edge 128 to a mid-point between the anterior wall 112 and the posterior wall 114. The span of such anterior intervening wall 127 therefore may not extend the entire distance between the anterior wall 112 and the posterior wall 114. The thickness of such anterior intervening wall 127 may increase from the cheek retractor portion 110, through the neck 120, and to the first end 104. Such increasing depth may provide for increased rigidity at the first end 104. Such anterior intervening wall 127 may further be ridged in some embodiments, or have a smooth edge in other embodiments. In one example, the anterior intervening wall 127 includes an alternating crest 130 and trough 132. In the illustrated embodiment, the crests 130 are a flat surface and the troughs 132 are a cylindrical cutout surface, though the crests 130 and the troughs 132 may be any shape. In some examples, the crests 130 and the troughs 132 extend the entire depth of the anterior intervening wall 127, though in other examples the crests 130 and/or the troughs 132 may extend partially along the

anterior intervening wall 127. The crests 130 and the troughs 132 may provide further retraction, stability, support and curvature to the mouthpiece 100.

[0035] The posterior wall 114 may have a corresponding at least one posterior intervening wall 134 that extends from at least one edge 133 of the posterior wall 114 and partially extends towards the anterior wall 112. The posterior intervening wall 134 may likewise exhibit ridges that are the same, a mirror image, or different from the anterior intervening wall 127. In one example, the ridges of the anterior intervening wall 127 may be aligned with the ridges of the posterior intervening wall 134, as shown in FIG. 6. In combination, the anterior intervening wall 127 and the posterior intervening wall 134 and their respective aligned ridges may form an open mesh between the anterior wall 112 and the posterior wall 114. Such open mesh may follow the edges 128, 133 of each of the anterior wall 112 and the posterior wall 114 from the first end 104 to the second end 106. The open mesh between the anterior intervening wall 127 and the posterior intervening wall 134 allows for suction of air, fluids, and small debris from patient's mouth, through the mesh into the interior space 126 and into the suction connector portion 108 towards a suction source.

[0036] The anterior intervening wall 127 may join with the posterior intervening wall 134 at the superior wall 116 and the inferior wall 118 at near the suction connector portion 108 of the main body at the first end 104. The anterior intervening wall 127 may also join with the posterior intervening wall 134 at the superior wall 116 and the inferior wall 118 near the cheek retractor portion 110 at the second end 106. In some embodiments, the anterior intervening wall 127 may join with the posterior intervening wall 134 at the cheek retractor portion 110.

[0037] FIG. 7 is a side of the dental mouthpiece shown in FIG. 1 with an anterior wall of the mouthpiece pulled away from a posterior wall of the mouthpiece. The at least one connector 124 may span the distance between the anterior wall 112 and the posterior wall 114 within the interior space 126. In other words, the connector 124 may be attached to an interior surface 135 of the posterior wall 114 and to an interior surface 113 of the anterior wall 112. The at least one connector 124 may provide structural rigidity to the mouthpiece 100 and may be a pillar, column, wall, or the like. In the illustrated example, the at least one connector 124 includes three connectors, each in the shape of a pillar and linearly spaced from each other.

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[0038] FIG. 8 is a transverse cross section view of the dental mouthpiece shown in FIG. 1. The bridge crests 137 near the opening 146 of the suction connector portion 108 may have a greater height than the bridge crests 137 further from the opening 146. The bridge crests 137 near the opening 146 of the suction connection portion 108 may also be longer than the bridge crests 137 further away from the suction connection portion 108.

[0039] FIG. 9 is a transverse cross section view of the dental mouthpiece in FIG. 1. FIG 9 illustrates where the suction connector attaches to the main body. As visible in FIG. 9, the suction connector portion 108 may be oval-shaped and also attached to the main body portion 102 in a seamless transition until the main body reaches the bridge structure 136, where the bridge crests 137 and the bridge troughs 138 may partially block the opening of the main body portion 102 near the suction connector portion 108. The bite block 101 is attached on the outside of the suction connector portion 108 such a manner that the bite block does not interrupt the opening of the suction connector portion 108.

[0040] The suction connector portion 108 may include an opening 146 (also shown in FIG. 5), that opens into an interior space 126 of the main body portion 102 to allow for fluid communication between the interior space 126 and the suction connector portion 108. At least one suction connector portion wall 144 may extend from the anterior wall 112 to the posterior wall 114 near the opening 146 of the suction connector portion 108 to prevent collapse of the anterior wall 112 and the posterior wall 114 during suctioning. The at least one suction connector portion wall 144 includes a pair of walls positioned on either side of the opening 146 of the suction connector portion 108.

[0041] The bite block 101 may include bite block crests 147 and bite block troughs 148 on either sides of the bite block to create greater traction and support for the bite block. In an embodiment, the bite block crests 147 and bite block troughs 148 may create jagged shape or wave shape on either sides of the bite block, although the bite block crests 147 and bite block troughs 148 may be in any shape.

[0042] FIG. 10 is a cross section view of an embodiment of the dental mouthpiece shown in FIG. 1 with a wall connector. In this embodiment, the connector 124 connects the anterior wall 112 with the posterior wall 114 at the longitudinal axis 103 as a solid wall. The connector 124

extends from the neck 120 at the second end 106 some distance away from the cheek retractor portion 110 towards the first end 104 along the longitudinal axis 103 and stops near the opening of the bridge structure 136 around the mid-point of the main body portion 102. In other embodiment, the connector 124 may extend along the longitudinal axis 103 and stop anywhere within the interior space 126 of the main body portion.

[0043] FIG. 11 is a longitudinal cross section view of an embodiment of the dental mouthpiece shown in FIG. 1 with column connectors. In this embodiment, the connector 124 connects the anterior wall 112 with the posterior wall 114 at the longitudinal axis 103 as three evenly spaced cylindrical pillars in line with the stability bar 143. A first connector is positioned in the main body portion 102, a second connector is positioned near the neck 120, and a third connector is spaced near the cheek retractor portion 110. The linear spacing of the three connectors may provide additional rigidity to the neck 120, while maintaining a narrow width 122 of the neck 120. In other examples, such connectors 124 may be located in the area where a positioned mouthpiece 100 begins to wrap from one side of the mouth, to the back of the mouth, then to the other side of the mouth, thereby assisting in shaping the mouthpiece 100 to the general intraoral shape of a patient's mouth. In other examples, the at least one connector 124 may include one connector, two connectors, or more than two connectors and each connector may be positioned anywhere on the mouthpiece 100. In one example, the at least one connector 124 is a rib that extends from the main body portion 102, through the neck 120, and to the cheek retractor portion 110.

[0044] The mouthpiece as described herein may be used with a one-piece, autoclavable, high-suction vacuum adapter. Such a high-suction vacuum body adapter may be made of a single homogenous material. Having a single lever design, such a vacuum adapter may function in the same manner as all the current high-suction vacuum evacuators and saliva ejectors in controlling the removal of water, saliva, and debris from the oral cavity to the outside vacuum source. The single lever may be designed to control the removal of water, saliva, and debris from the at least partially enclosed so main body through a single, large evacuation conduit within the suction connector portion.

[0045] While various embodiments have been described above, it should be understood that they have been presented by way of example only, and not limitation. The descriptions are not intended to limit the scope of the invention to the particular forms set forth herein. Thus, the breadth and scope of a preferred embodiment should not be limited by any of the above-described exemplary embodiments. It should be understood that the above description is illustrative and not restrictive. To the contrary, the present descriptions are intended to cover such alternatives, modifications, and equivalents as may be included within the spirit and scope of the invention as defined by the appended claims and otherwise appreciated by one of ordinary skill in the art. The scope of the invention should, therefore, be determined not with reference to the above description, but instead should be determined with reference to the appended claims along with their full scope of equivalents.

CLAIMS

WHAT IS CLAIMED:

1. A dental mouthpiece formed in a curve, the dental mouthpiece comprising:
 - a main body portion at a central part of the curve having a first end and a second end, the main body portion having an anterior wall inside the curve and a posterior wall outside the curve, the anterior wall and the posterior wall defining an interior space, at least one connector connecting the anterior wall to the posterior wall, at least one anterior intervening wall extending from the anterior wall partially towards the posterior wall, and at least one posterior intervening wall extending from the posterior wall partially towards the anterior wall, the at least one anterior intervening wall and the at least one posterior intervening wall each having alternating crests and troughs;
 - a suction connector portion connected to the first end, the connector portion connecting the interior space to a vacuum suction source; and
 - a cheek retractor portion connected to the second end.
2. The mouthpiece of claim 1, wherein the at least one connector is a cylindrical shaped pillar.
3. The mouthpiece of claim 1, wherein the at least one connector is a wall spanning longitudinally in the main body portion in the interior space.
4. The mouthpiece of claim 1, further comprising a bridge structure in the interior space protruding from the posterior wall, wherein the protrusions are battlement-shaped, with spaced crests.
5. The mouthpiece of claim 4, wherein the protrusions near the suction connector is in greater height and length.

6. The mouthpiece of claim 4, wherein spaces between the crests are troughs of the battlement-shape.
7. The mouthpiece of claim 1, wherein the alternating crests and troughs on the anterior intervening wall and the posterior intervening wall are semi-circle shaped.
8. The mouthpiece of claim 1, wherein the anterior intervening wall and the posterior intervening wall have varying heights to maintain integrity of the main body portion.
9. The mouthpiece of claim 1, wherein the troughs of the anterior intervening wall and the posterior intervening wall decrease in size as the troughs approach the cheek retractor portion.
10. The mouthpiece of claim 1, wherein the anterior intervening wall and the posterior intervening wall meet at a point where the suction connector meets the main body.
11. The mouthpiece of claim 1, wherein the anterior intervening wall and the posterior intervening wall meet at a point where the main body meets the cheek retractor portion.
12. The mouthpiece of claim 1, further comprising a bite block integrated to the suction connector portion, wherein the bite block provide additional crush-resistance and decreased compressibility during biting by a patient.
13. The mouthpiece of claim 1, wherein the main body portion, the suction connector portion, and the cheek retractor portion are formed by injection-molding as one piece.
14. The mouthpiece of claim 1, wherein a material that forms the main body, the suction connector portion, and the cheek retractor portion is a flexible, translucent, high heat-resistant, autoclavable silicon-based material.

15. The mouthpiece of claim 1, wherein the posterior wall further includes a stability bar protruding from an interior surface of the posterior wall and along a longitudinal axis of the main body.
16. The mouthpiece of claim 15, wherein the at least one connector lies evenly spaced along the stability bar.
17. The mouthpiece of claim 1, wherein the suction connector portion comprises a cutout corresponding to a protrusion on a vacuum adapter interlocking fit.
18. The mouthpiece of claim 1, where in the suction connector portion connects to a vacuum source, wherein activation of the vacuum source provides suction of fluids from the interior space of the main body.
19. The mouthpiece of claim 18, wherein the activation of the vacuum source draws fluids from an exterior of the main body through the crests and troughs of the anterior intervening wall and the posterior intervening wall, into the interior space of the main body.
20. The mouthpiece of claim 1, wherein the cheek retractor portion includes a surface that applies pressure based on resilience of the cheek retractor portion.

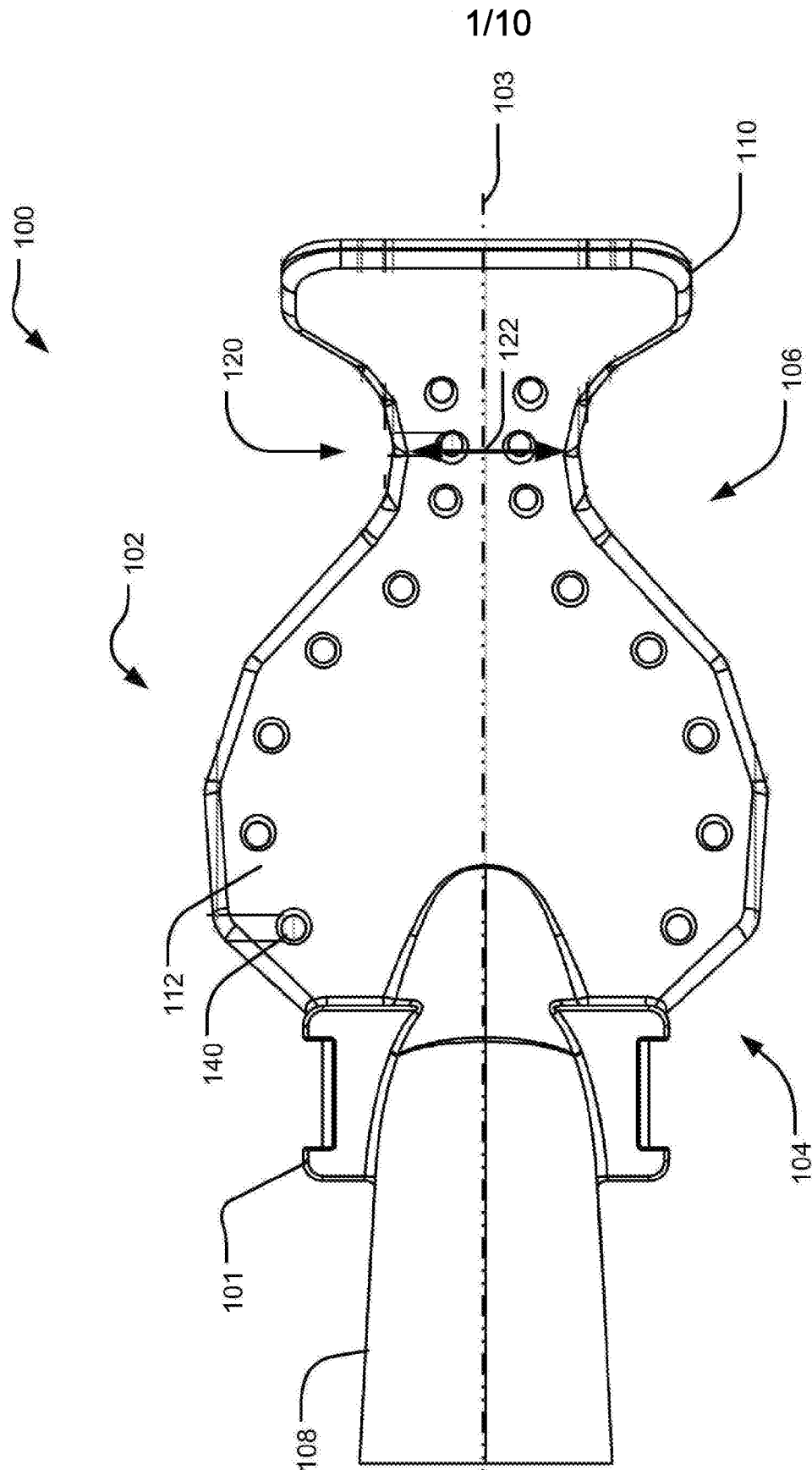
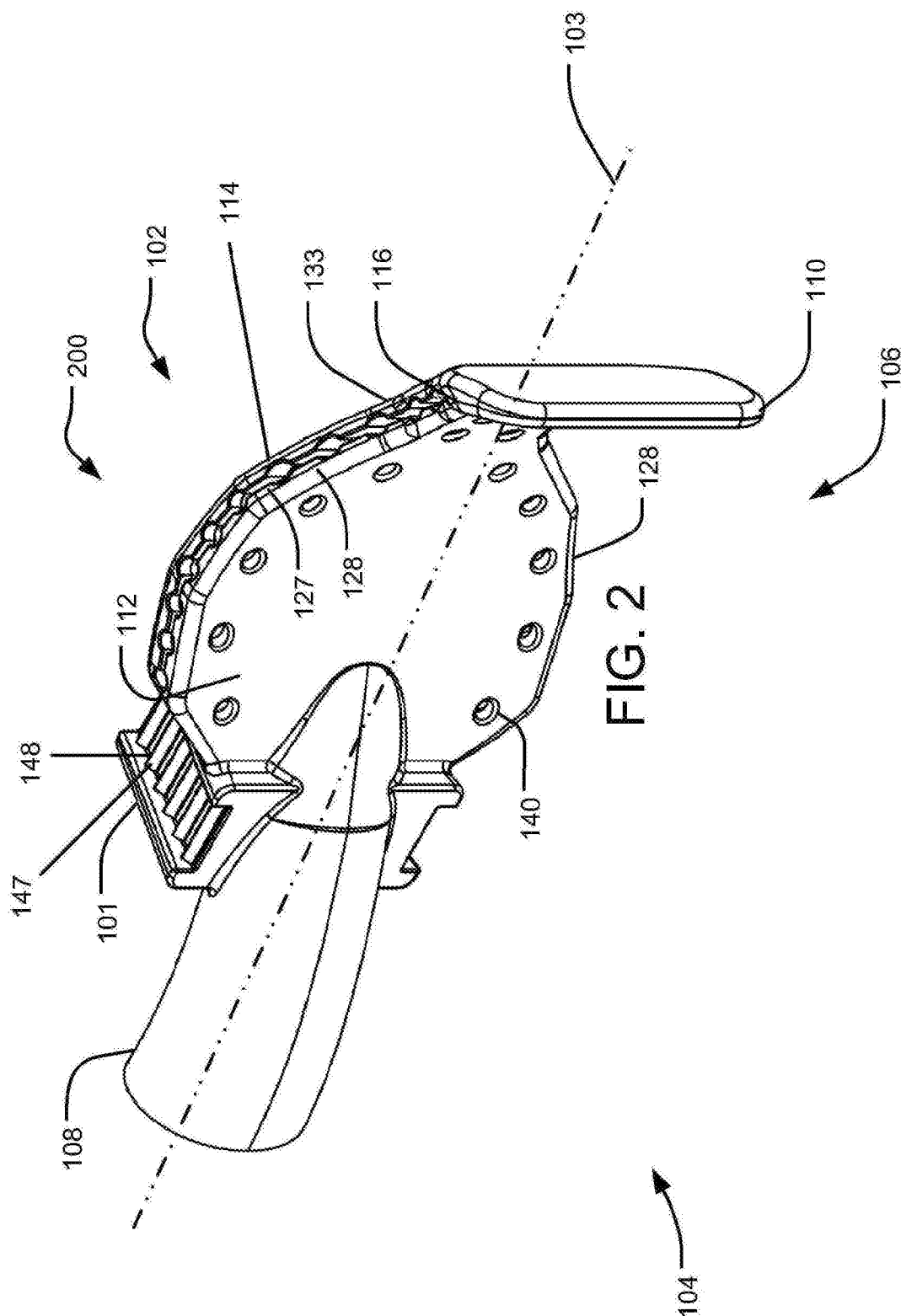


FIG. 1

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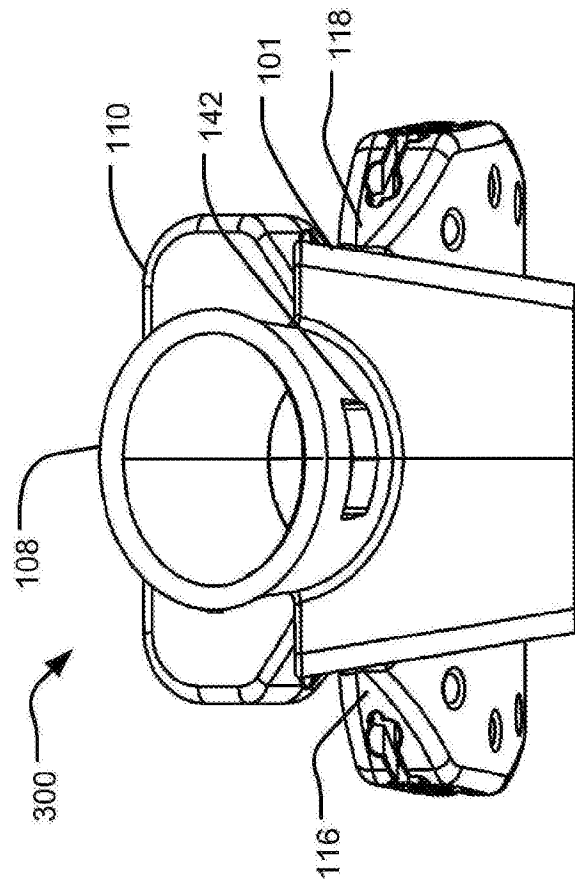


FIG. 3

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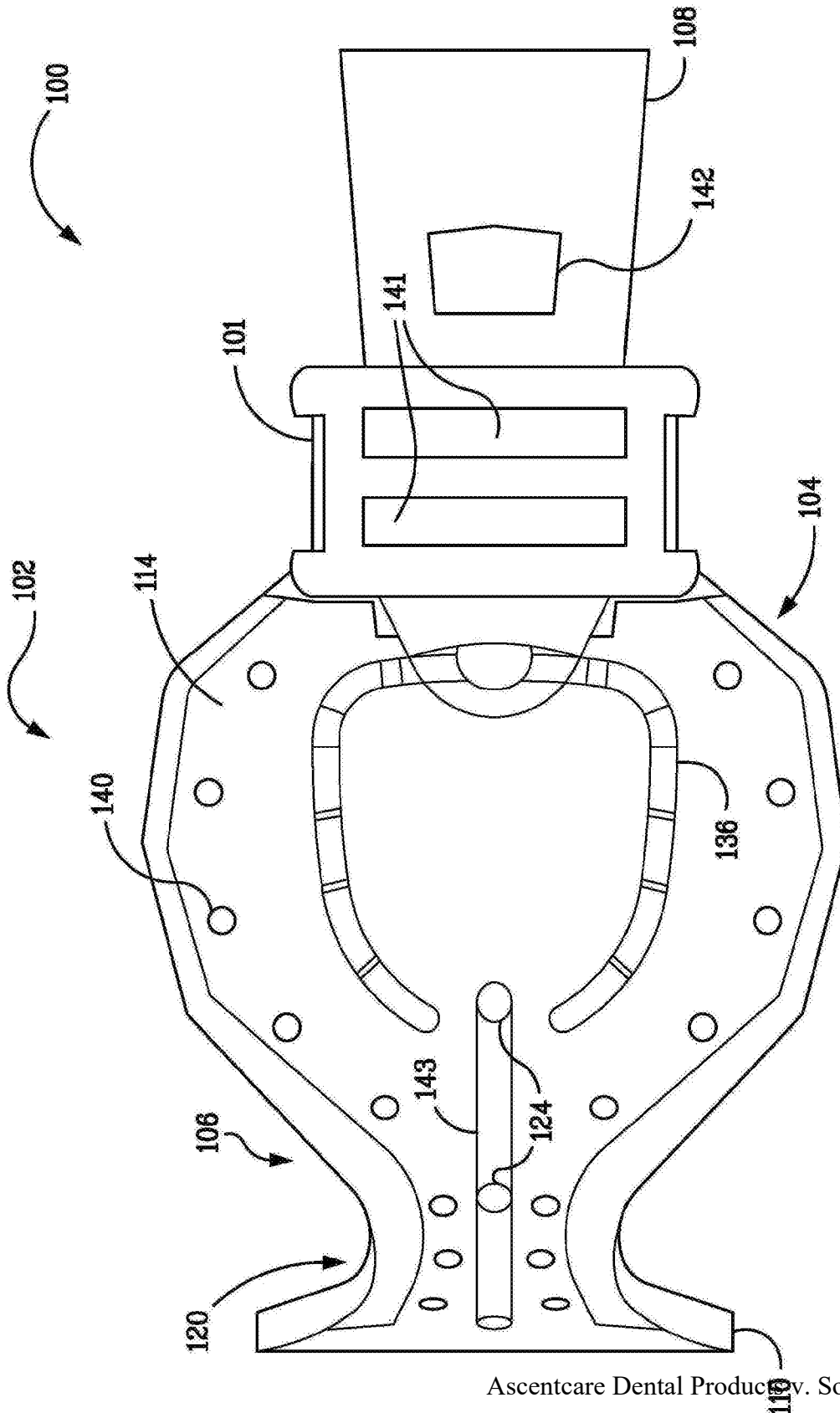
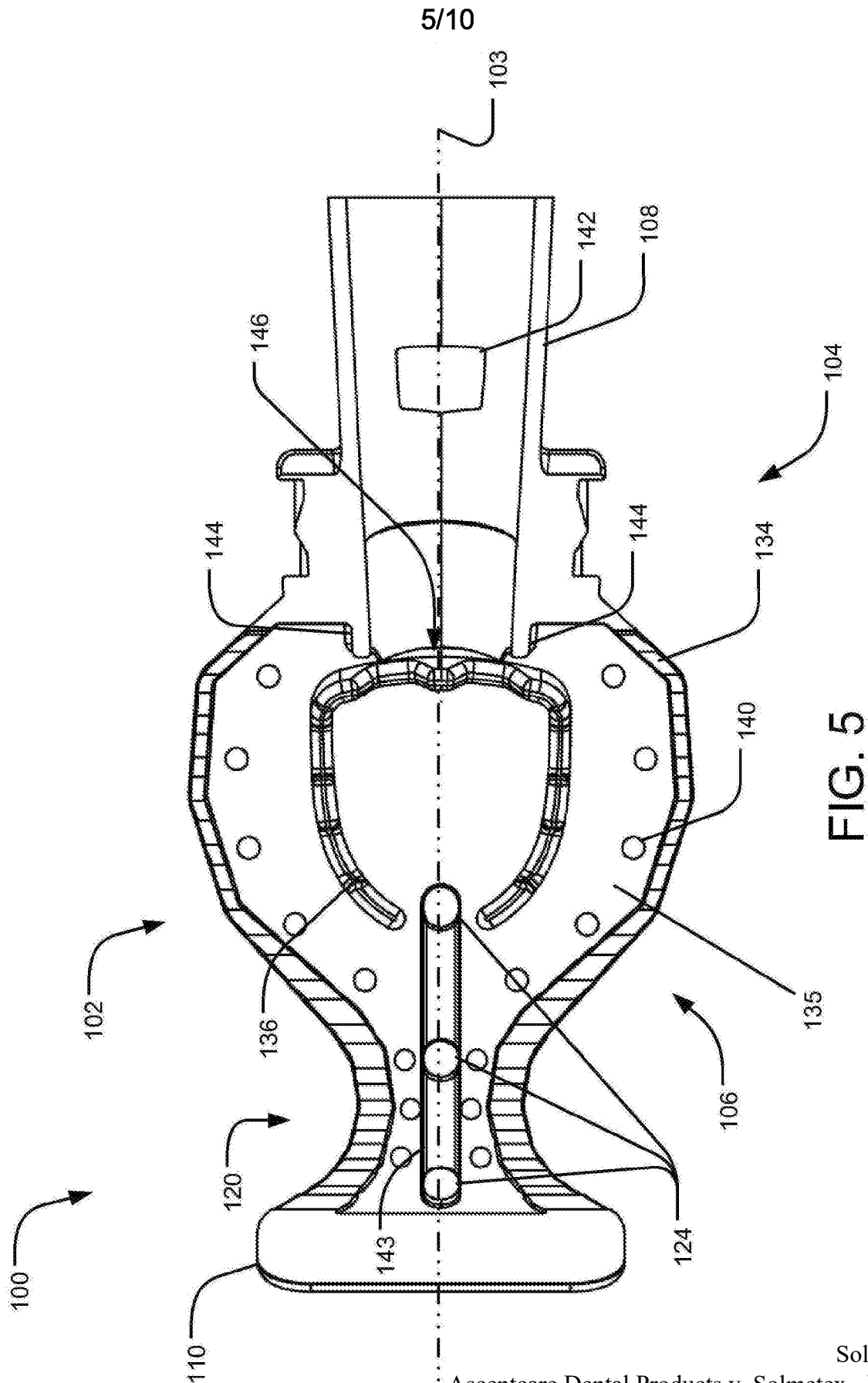


FIG. 4



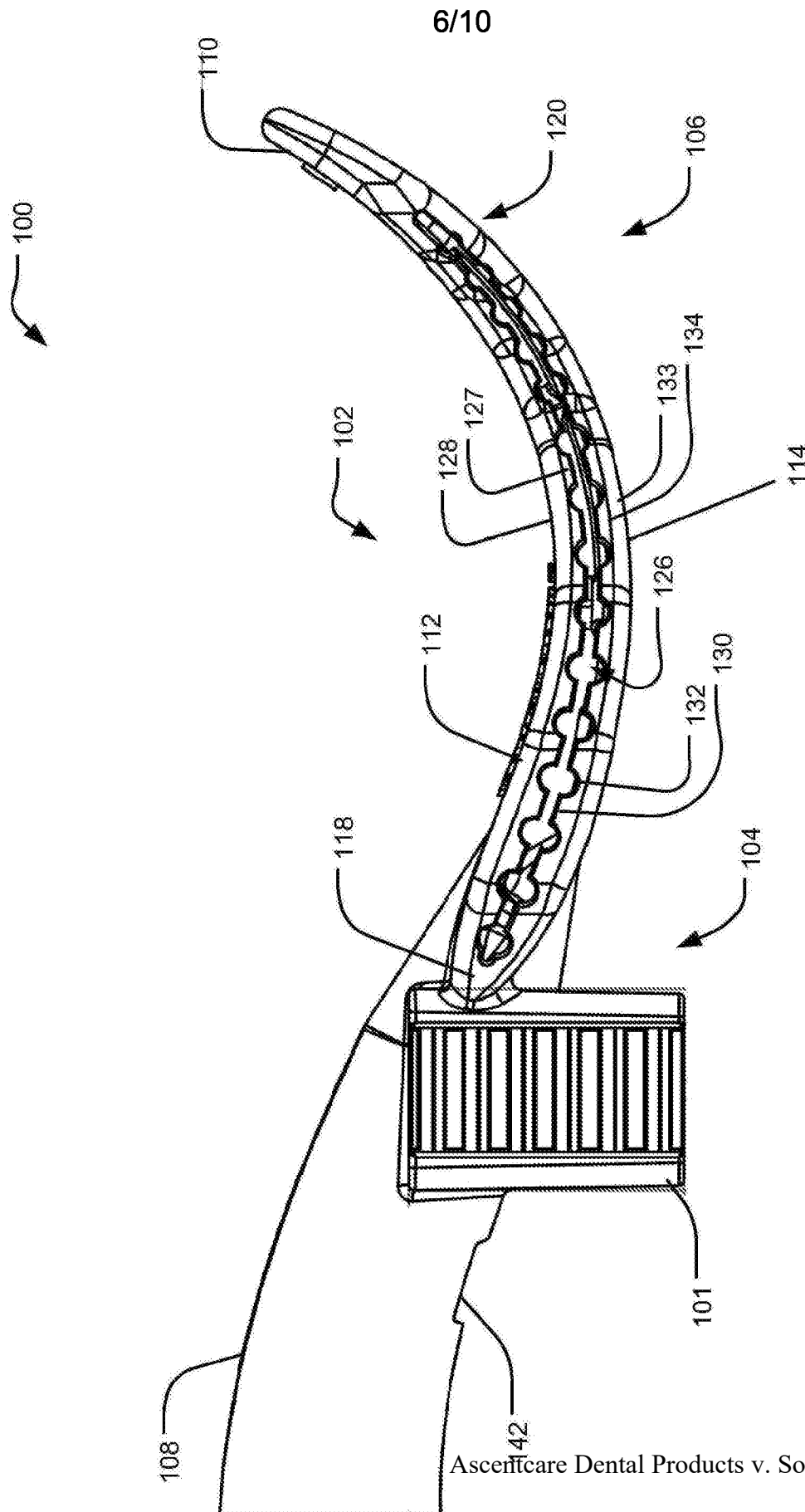


FIG. 6

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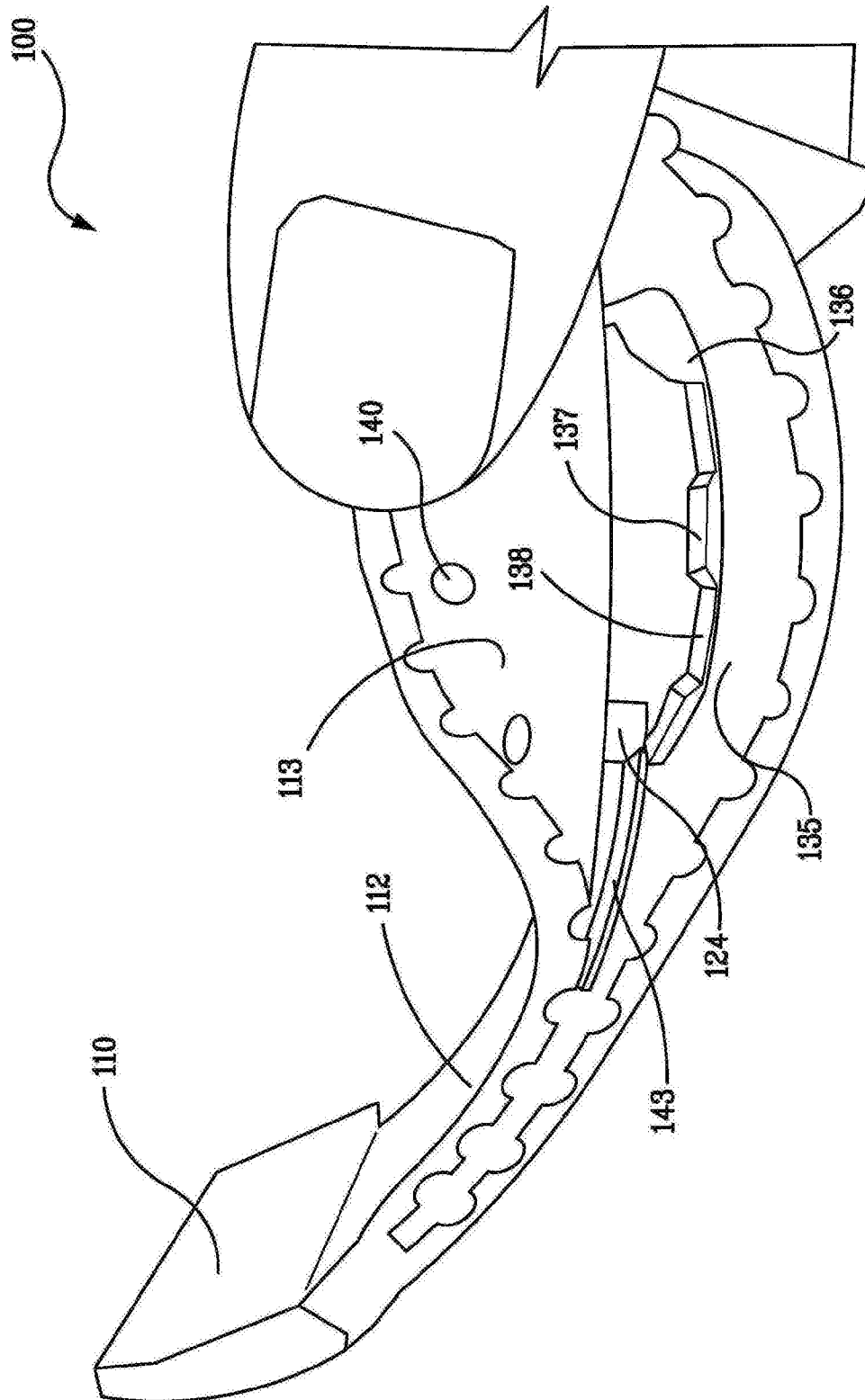
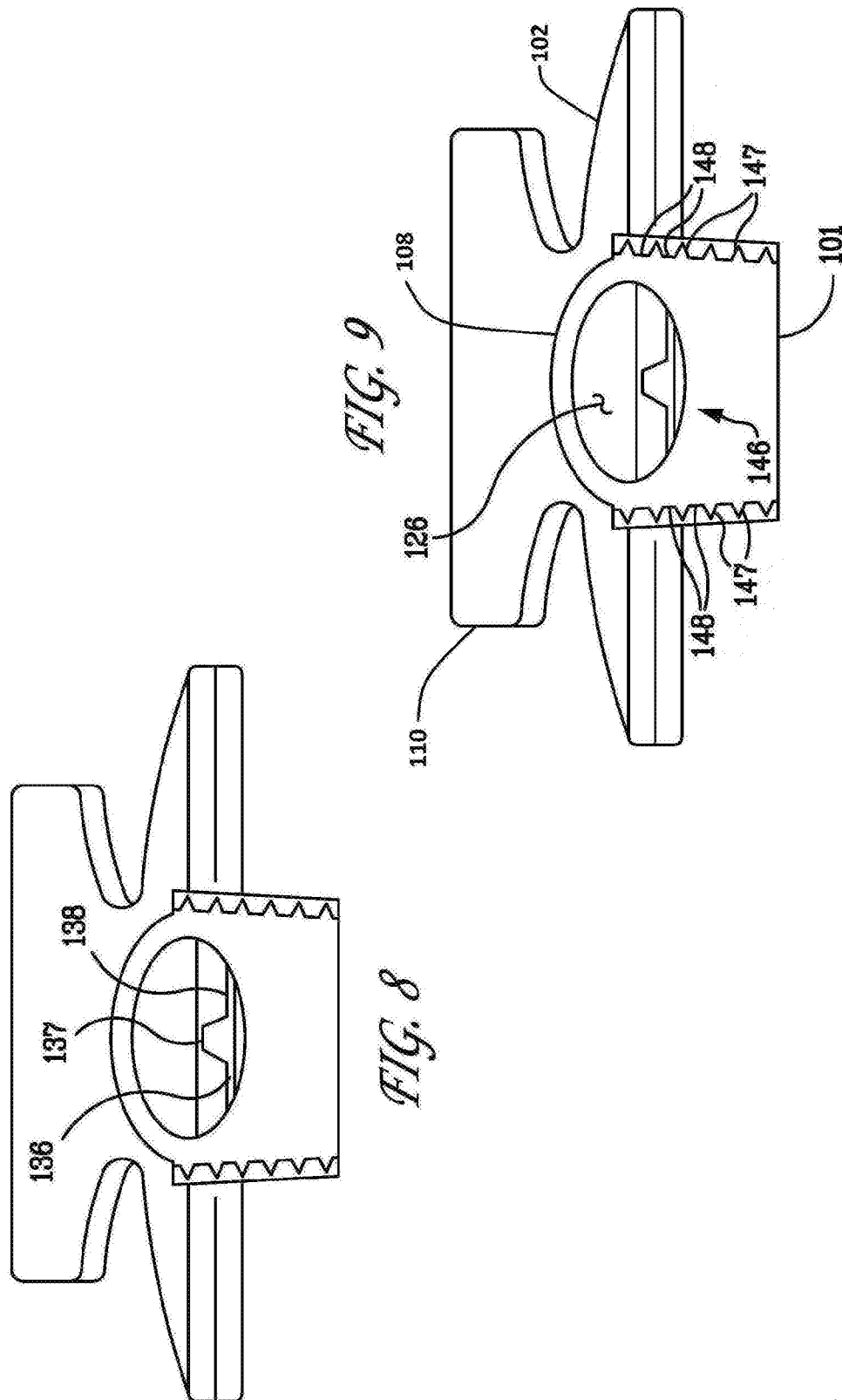


FIG. 7

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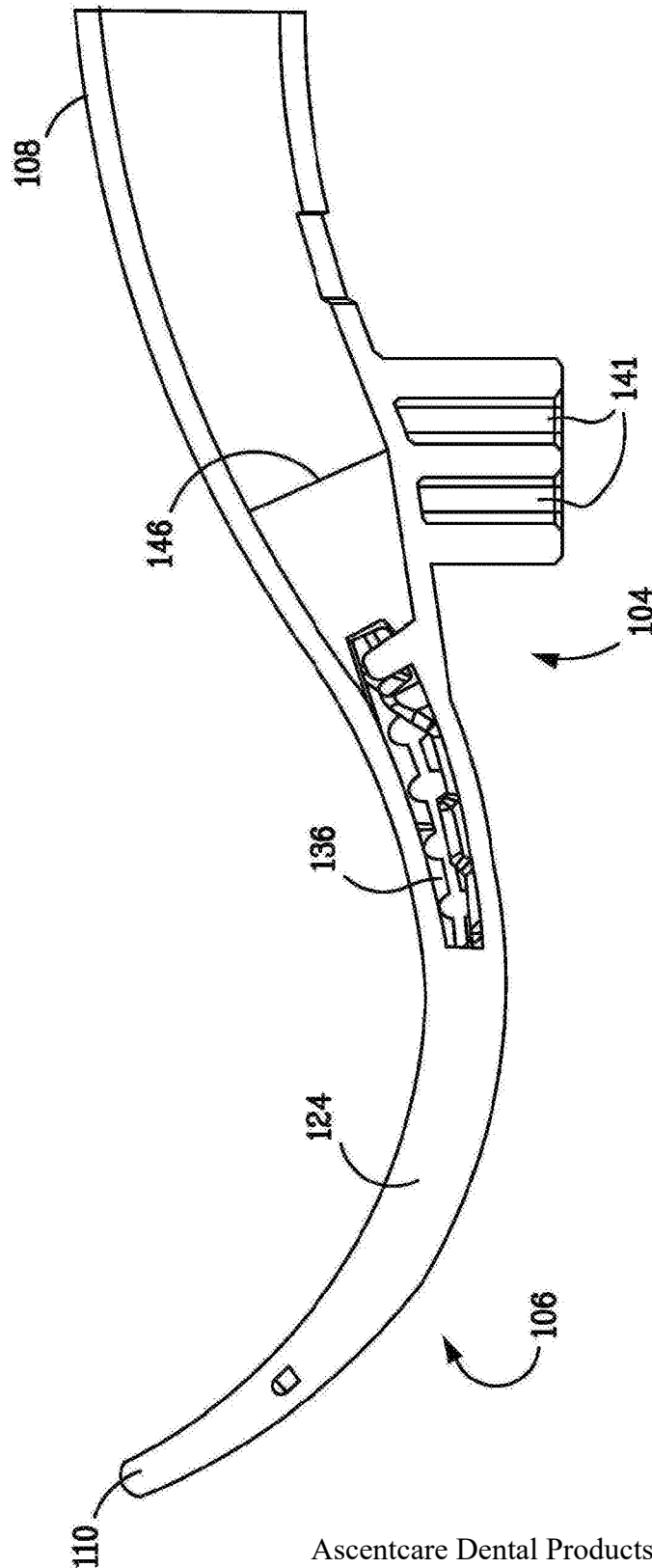


FIG. 10

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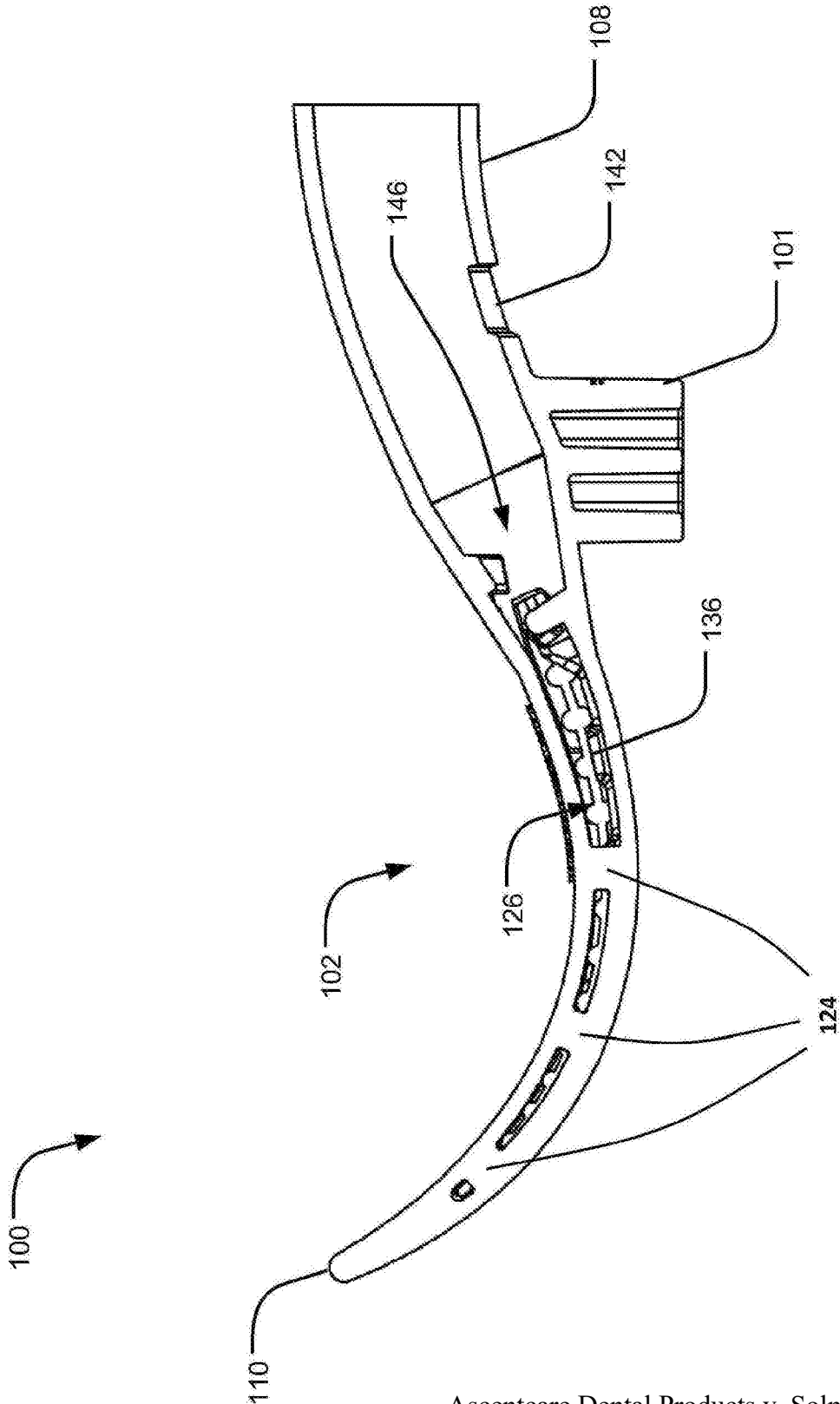


FIG. 11

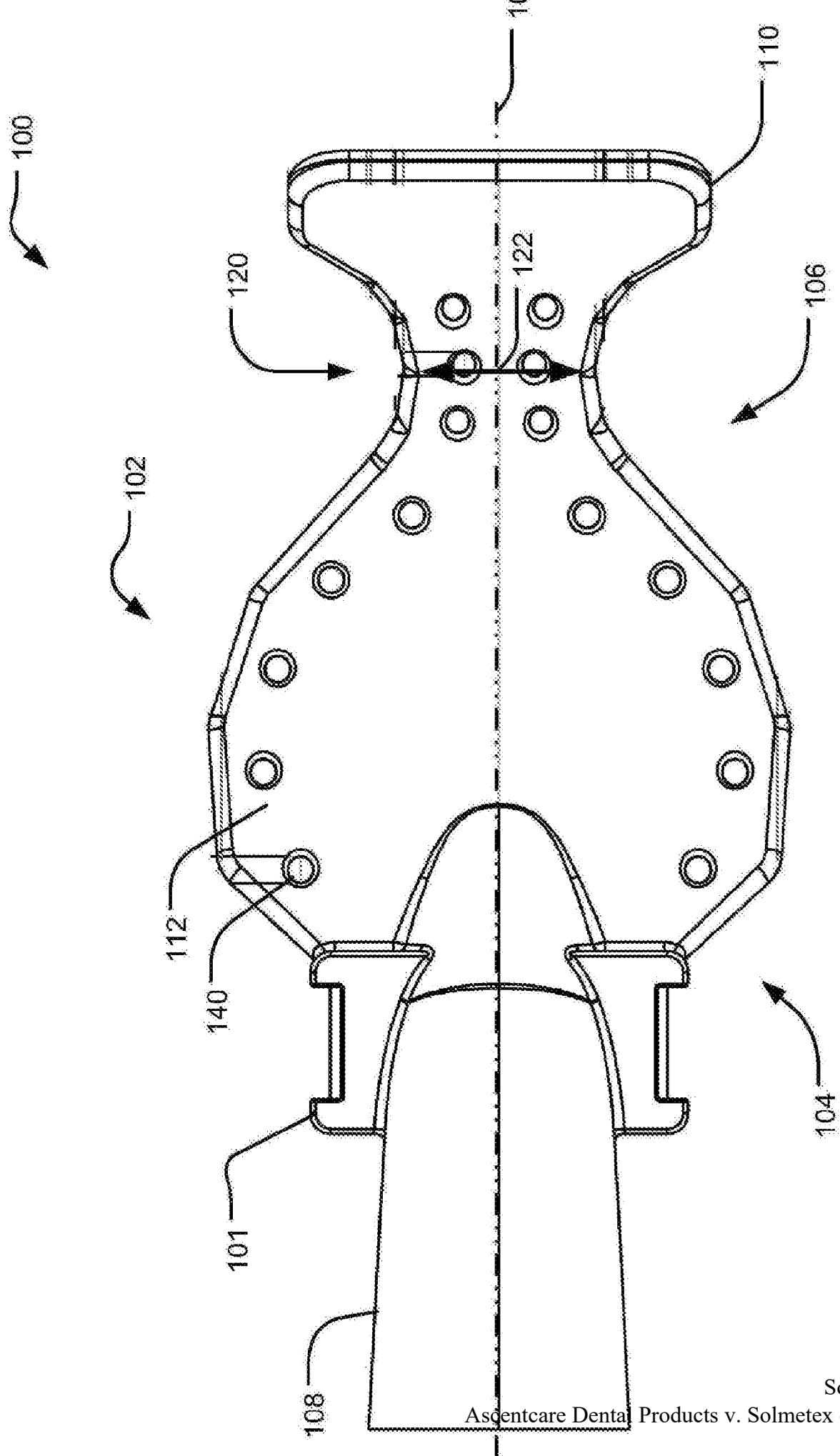


FIG. 1



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(71)申請人：美商盾艾煦優有限責任公司 (美國) DRYSHIELD LLC (US)
 美國

(72)發明人：阮 天 NGUYEN, THIEN (US)；潘 譚清 PHAM, TAM THANH (US)；阮 伊
 森 NGUYEN, ETHAN (US)；阮 羅倫 NGUYEN, LAUREN (US)

(74)代理人：陳長文

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(54)名稱

牙科用口腔件

(57)摘要

本發明提供一種牙科用口腔件，其可附接至一高吸力牙科接頭用於在牙科手術期間透過椅側免持式抽吸及隔離來協助牙科工作人員。此一口腔件可包括一主體部分、一頰牽開器部分及一抽吸連接器部分。在一實施例中，該主體部分、該頰牽開器部分及該抽吸連接器部分可較佳地藉由注射模製而模製成一單件。該主體部分具有曲線內部之一前壁及曲線外部之一後壁，且一至少一個連接器連接該前壁及該後壁。該主體部分在該前壁與該後壁之間具有一前中介壁及後中介壁。該前中介壁及該等後中介壁具有交替峰及谷。

A dental mouthpiece is provided that may be attached to a high-suction dental adapter for the purpose of assisting the dental staff during dental procedures through chair-side, hands-free suction, and isolation. Such a mouthpiece may comprise a main body portion, a cheek retractor portion, and a suction connector portion. In an embodiment, the main body portion, cheek retractor portion, and suction connector portion may be molded in one piece, preferably by injection molding. The main body portion has an anterior wall inside the curve and a posterior wall outside the curve, and an at least one connector connecting the anterior wall and the posterior wall. The main body portion has an anterior intervening wall and the posterior intervening wall in between the anterior and posterior walls. The anterior intervening wall and the posterior intervening walls have alternating crests and troughs.

指定代表圖：

符號簡單說明：

100:牙科用口腔件

101:咬塊

102:主體部分

103:縱向軸

104:第一端

106:第二端

108:抽吸連接器部分

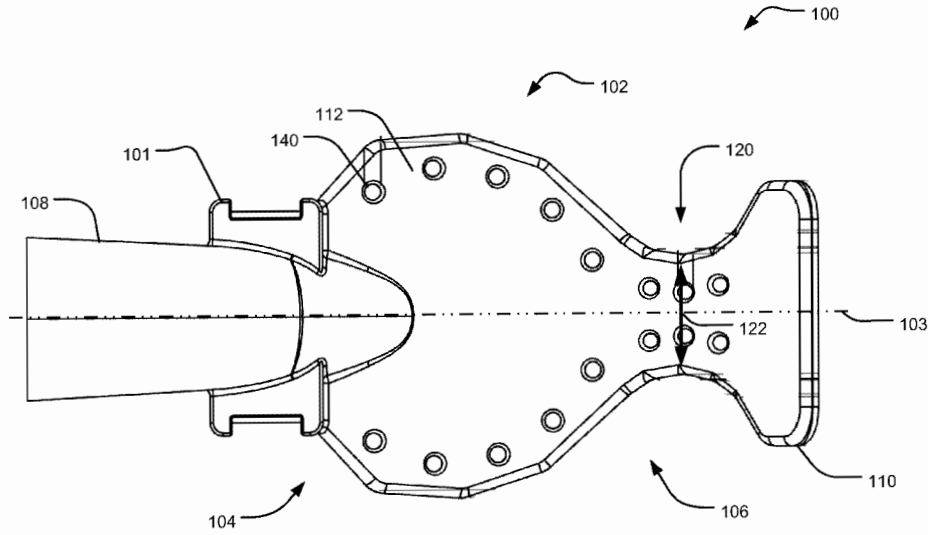
110:頰牽開器部分

112:前壁

120:頸部

122:寬度

140:開口



【圖1】

【發明說明書】

【中文發明名稱】

牙科用口腔件

【英文發明名稱】

DENTAL MOUTHPIECE

【技術領域】

【0001】 本發明大體上係關於牙科用口腔件之領域。更特定言之，本發明係關於口內牙科抽吸及隔離口腔件。

【先前技術】

【0002】 當前，牙科領域中之牙科健康專業人員、潔牙師及牙科助理使用各種口腔件。在過去，一牙科病人已由包括一牙科專業人員及一牙科助理之一傳統兩人團隊治療。此外，牙科治療可由團隊使用許多不同類型之牙科設備及材料提供。此等牙科設備及材料可包含諸如一口內鏡、一咬塊、一低速抽吸噴射器、一高速抽吸噴射器、紗布、棉卷及三角吸唾片(dry angle)之物品。牙科設備之各物品可用於不同目的，但一些可組合地用於一些類型之牙科服務。因而，尋求提供此等牙科服務之一牙科專業人員可需使用此牙科設備之多個物品。因此，牙科助理之一重要作用係協助牙科專業人員協調不同設備及材料之此多個物品之使用。

【0003】 因此，此項技術中需要以一更高效、舒適且安全之方式將牙科服務提供給牙科病人之改良系統及方法。

【發明內容】

【0004】 本發明揭示一種形成為一曲線之牙科用口腔件之實施例。

此一口腔件可包括：一主體部分，其在曲線之一中心部分處，具有一第一

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端及一第二端；一抽吸連接器部分，其在該第一端處連接至該主體部分；及一頻牽開器部分，其在該第二端處連接至該主體部分。

【0005】 在一些實施例中，該主體部分、該抽吸連接器部分及該頻牽開器部分可較佳地藉由注射模製而模製成一單件。在一例示性實施例中，該口腔件可由撓性、半透明、有利於注射模製、高耐熱且可熱壓之一材料製成。此一材料可包含聚矽氧。由於該口腔件可由一高耐熱且可熱壓之材料製成，所以此一口腔件可再用。

【0006】 該主體部分可包括該曲線內部之一前壁及該曲線外部之一後壁。該前壁及該後壁可界定一內部空間，在該內部空間內至少一個連接器將該前壁連接至該後壁。至少一個前中介壁從該前壁部分地朝向該後壁延伸，且至少一個後中介壁從該後壁部分地朝向該前壁延伸。該等前中介壁及該等後中介壁各具有交替峰及谷。

【圖式簡單說明】

【0007】 圖1係牙科用口腔件之一俯視圖；

【0008】 圖2係圖1中展示之一牙科用口腔件之一等角視圖；

【0009】 圖3係圖1中展示之牙科用口腔件之一後視圖；

【0010】 圖4係圖1中展示之牙科用口腔件之一仰視圖；

【0011】 圖5係圖1中展示之牙科用口腔件之一冠狀頂部橫截面視圖；及

【0012】 圖6係圖1中展示之牙科用口腔件之一側視圖；

【0013】 圖7係圖1中展示之牙科用口腔件之一側，其中口腔件之一前壁從口腔件之一後壁拉離；

【0014】 圖8係圖1中展示之牙科用口腔件在抽吸連接器與主體之間

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的交叉點處之一橫向橫截面視圖。

【0015】圖9係圖1中展示之牙科用口腔件在抽吸連接器與主體之間的交叉點處之一橫向橫截面視圖。

【0016】圖10係具有一壁連接器之圖1中展示之牙科用口腔件之一實施例之一縱向橫截面視圖。

【0017】圖11係具有柱連接器之圖1中展示之牙科用口腔件之一實施例之一縱向橫截面視圖。

【實施方式】

相關申請案之交叉參考

【0018】本申請案主張2019年5月10日申請之標題為「Dental Mouthpiece」之美國臨時專利申請案第62/846,353號之優先權利，該案之揭示內容以引用的方式併入本文中。

【0019】本發明之實施例可包含一種口腔件，其可附接至一高吸力牙科接頭以用於在牙科手術期間透過椅側免持式抽吸及隔離來協助牙科工作人員的目的。此一口腔件可包括一主體部分、一頰牽開器部分、一抽吸連接器部分、一穩定桿及一咬塊。在一些實施例中，主體部分、頰牽開器部分、抽吸連接器部分、一穩定桿及咬塊(及其子部分)之任何組合可較佳地藉由注射模製而模製成一單件。在一例示性實施例中，口腔件可由撓性、彈性、至少半透明且有利於注射模製之一材料製成。此一材料可包含此項技術中已知的熱塑性彈性體。

【0020】圖1係牙科用口腔件之一俯視圖。一牙科用口腔件100包含具有一第一端104及與第一端104相對之一第二端106之一主體部分102。

一縱向軸103可從第一端104延伸至第二端106。在所繪示實施例中，抽吸連接器105可從第一端104延伸。Ascentcare Dental Products v. Solmetex - IPR2025-01059

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吸連接器部分108可耦合至第一端104且一頰牽開器部分110可耦合至第二端106，但在其他實例中，口腔件100可不具有一抽吸連接器部分108及/或一頰牽開器部分110。一咬塊101亦可在主體部分102之第一端104附近整合至抽吸連接器部分108，但咬塊101可定位於抽吸連接器部分108上之任何處。

【0021】主體部分102可包含從第二端106延伸至頰牽開器部分110之一頸部120。頸部120可具有小於主體部分102之一寬度、頰牽開器部分110之一寬度及/或抽吸連接器部分108之一寬度之一寬度122，但在其他實例中，寬度122可大於主體部分102、頰牽開器部分110及/或抽吸連接器部分108之寬度。

【0022】在所繪示實施例中，抽吸連接器部分108、頰牽開器部分110、咬塊101及主體部分102被建構為單件，但在其他實例中，抽吸連接器部分108、頰牽開器部分110、咬塊101及/或主體102之各者可為單獨件。例如，在一些實施例中，主體部分102、頰牽開器部分110及抽吸連接器部分108（及其子部分）可較佳地藉由注射模製而模製為單件且咬塊101可為可附接至抽吸連接器部分108之一單獨件。在一例示性實施例中，口腔件100可由撓性、彈性、半透明且有利於注射模製之一材料製成。此一材料可包含熱塑性彈性體。

【0023】圖2係圖1中展示之一牙科用口腔件之一等角視圖。如圖2中繪示，主體部分102可塑形為一曲線。由於口腔件100由一撓性且彈性之材料（例如，熱塑性彈性體）製成，所以口腔件100可在放置於一病人之口腔中時彎曲以符合口腔之形狀。當適當定位時，抽吸連接器部分108可從病人口腔之一個側突出，而主體部分102抵靠病人口腔之後部，且頰牽

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開器部分110在病人口腔之相對側上壓抵於病人之面頰。

【0024】 頰牽開器部分110可經構形以在病人口腔之與連接部分108自其延伸之側相對之一側上壓抵於一病人之面頰且將病人之面頰遠離病人之牙齒牽開。用於形成口腔件100之材料之撓性容許在放置於病人口腔中時有些彎曲，但材料之彈性進一步容許口腔件100在口腔件100從彎曲釋放時對病人口腔之一部分施加壓力。例如，材料具有足夠彈性以容許頰牽開器部分110以足以使面頰移動遠離病人之牙齒之此壓力壓抵於病人之面頰內部。頰牽開器部分110被繪示為一錘頭遠端區，其可附接至主體部分102。頰牽開器部分110在一些實例中可為實心的，但在其他實例中可為中空的。

【0025】 主體部分102可包括曲線之一內部分上之一前壁112及曲線之一外部分上之一後壁114 (亦在圖4中所見)。前壁112可面向病人口腔之一前部且後壁114可面向病人口腔之一後部。主體部分102之前壁112及後壁114可經構形為彼此平行。前壁112具有可對應於後壁114之界定形狀之一界定形狀，但在其他實例中，前壁112可為不同於後壁114之一形狀。界定形狀可在第一端104處較寬且在第二端106處較窄。在一些實施例中，該形狀對應於一盾形，但該形狀可為例如一方形、一直線箭頭、一矩形、一星形、一橢圓形、一圓形或大體上符合病人口腔之口內形狀之一形狀。可針對成人及兒童之不同大小口腔提供不同大小之口腔件。第一端104處之形狀之部分可形成為具有比主體部分102之其餘部分更厚之壁。此增厚可在第一端104處提供額外穩定性。當定位於一病人之口腔內時，前壁112及後壁114能夠阻塞病人之一氣道，而咬塊101定位於病人牙齒之間，抽吸連接器部分108從病人口腔之一個側延伸，且頰牽開器部分110

在病人口腔之相對側上壓抵於面頰。

【0026】主體部分102亦可包含定位於前壁112及/或後壁114上之開口140。此等開口140 (例如，穿孔、狹縫、孔隙等)容許將來自病人口腔之空氣、流體及小碎屑抽吸通過開口140且進入至內部空間126中且朝向一抽吸源進入至抽吸連接器部分108中。開口140可定位於前壁112及/或後壁114之形狀之一周邊上。在相同實例中，開口140可定位於前壁112及/或後壁114上之頸部120之任一側上。在另一實例中，開口140係孔隙。在相同實例中，孔隙各具有相同大小，但在其他實例中，一些或全部孔隙可具有不同大小。

【0027】圖3係圖1中展示之牙科用口腔件之一後視圖。如圖3中可見，抽吸連接器部分108可為橢圓形且亦附接至主體部分102。抽吸連接器部分108可形成為具有比主體部分102更厚之壁且經構形以附接至一高吸力真空接頭且協助將水、唾液及碎屑從內部空間126轉移至外部接頭以進行移除。抽吸連接器部分108亦可包含一內部止檔以協助口腔件滑動至接頭上至一所要深度。

【0028】圖3中之上壁116可在本文中用於指代當放置於一病人口腔中時靠置於病人口腔頂之側，且下壁118可用於指代靠置於病人口腔底之側。上壁116及下壁118可相同地形成，此可容許口腔件改變定向，使得上壁116可在新定向中表現為下壁118且反之亦然。在使用時，主體部分102之上壁116及下壁118可用於保護且分離口腔頂及口腔底/舌底。另外，主體部分102亦可用於保護口腔後部(例如，咽喉及氣道)以免受掉落碎屑之影響。

【0029】圖4係圖1中展示之牙科用口腔件之一仰視圖。前壁112及

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後壁114可藉由至少一個連接器124彼此連接。在一些實施例中，抽吸連接器部分108可具有一切口142 (例如，其可塑形為一標識、一矩形凹口、一方形凹口或一圓形凹口或任何形狀之凹口)，從而提供與一外部高吸力真空接頭上之一對應突部(例如，其亦可塑形為一標識、一矩形突部、一方形突部或一圓形突部或任何形狀之突部)之額外互鎖。

【0030】 咬塊101可由咬塊開口141強化以在咬塊中產生腔以導致一更穩定咬塊。在一實施例中，咬塊開口141可為在咬塊之底部處敞開之兩個平行矩形開口。咬塊開口141可向上延伸至抽吸連接器部分108但不延伸過抽吸連接器部分108之厚度。

【0031】 圖5係圖1中展示之牙科用口腔件之一冠狀頂部橫截面視圖。主體部分102可包含從外壁114之內表面135突出之橋結構136，如圖5中展示且在圖7及圖8中亦可見。在一些實施例中，橋結構136可遵循一標識(例如，一箭頭或盾)之形狀。橋結構可在箭頭形狀之尖端處具有一間隙，從而產生一開弧而非一箭頭之一點。在一些實施例中，橋結構136可中心地定位於口腔件100之主體部分110中。在一些實例中，此橋結構136可以具有橋峰137及橋谷138之一波形從內表面135突出。在其他實施例中，橋結構136可以城垛或梯形之形狀突出。橋峰137提供大體上與前壁112分離之複數個接觸點。抽吸連接器部分108之開口146附近之橋峰137可具有大於較遠離於開口146之橋峰137之一高度，如圖7及圖8中可見。抽吸連接部分108之開口146附近之橋峰137亦可長於較遠離於抽吸連接部分108之橋峰137，如圖8中隨後展示。橋谷138可為實質上齊平的或在內表面135上方延伸。橋峰137在抽吸期間接觸前壁112以使前壁112在抽吸期間保持與後壁114隔開，藉此防止前壁112或後壁114塌陷至內部空間。

126中，使得可透過內部空間126排空碎屑及/或水。同時，橋谷138提供間隙，該等間隙容許透過橋結構136抽吸空氣、流體及小碎屑。在其他實例中，橋結構136可為平滑的、可包含尖峰及尖谷、圓形峰及圓形谷、方形峰及方形谷或峰及谷之形狀或形狀組合。

【0032】 主體部分102可進一步包含一穩定桿143 (在圖5中展示且在圖7中亦可見)，該穩定桿143從主體部分102之大致中心朝向頰牽開器部分110延伸。此穩定桿143可從後壁114之內表面135沿縱向軸103突出。在一實施例中，穩定桿143開始於橋結構136之開弧且沿縱向軸103繼續朝向第二端106經過頸部120且在到達頰牽開器部分110之前終止。穩定桿143可從後壁114之內表面135朝向前壁112之內表面113突出。穩定桿143可具有內部空間126之高度範圍內之任何高度或小於內部空間126之任何高度。在一實施例中，穩定桿143可在特定位置處具有經附接連接器124，該等經附接連接器124可將前壁112附接至後壁114。穩定桿143上之連接器124可在抽吸期間協助口腔件100之牽開、穩定、支撐及彎曲。在一例示性實施例中，多個連接器124可與穩定桿143對準，但在其他實例中，穩定桿143可不與至少一個連接器124對準。

【0033】 圖6係圖1中展示之牙科用口腔件之一側視圖。圖6繪示靠置於病人口腔底之下側之視圖。前壁112及後壁114彼此間隔且界定內部空間126。除至少一個連接器124、一穩定桿143及一橋結構136以外，內部空間126大體上敞開且無障礙，藉此容許吸力流動遍及內部空間126。前壁112與後壁114之間的內部空間126大體上遵循前壁112及後壁114之相同界定形狀。在一個實例中，內部空間126延伸穿過頸部120，但在其他實例中，內部空間126可不延伸穿過頸部120。在又其他實例中，內部空

間126延伸穿過頸部120且進入至頰牽開器部分120中。

【0034】至少一個前中介壁127可從前壁112之至少一個邊緣128部分地朝向後壁114延伸且可具有從至少一個邊緣128延伸至前壁112與後壁114之間的一中點之一厚度。因此，此前中介壁127之跨度可不延伸前壁112與後壁114之間的整個距離。此前中介壁127之厚度可從頰牽開器部分110通過頸部120增加至第一端104。此增加深度可在第一端104處提供增加剛性。此前中介壁127可在一些實施例中進一步成脊狀或在其他實施例中具有一平滑邊緣。在一個實例中，前中介壁127包含一交替峰130及谷132。在所繪示實施例中，峰130係一平坦表面且谷132係一圓柱形切口表面，但峰130及谷132可為任何形狀。在一些實例中，峰130及谷132延伸前中介壁127之整個深度，但在其他實例中，峰130及/或谷132可部分地沿前中介壁127延伸。峰130及谷132可為口腔件100提供進一步牽開、穩定、支撐及彎曲。

【0035】後壁114可具有從後壁114之至少一個邊緣133延伸且部分地朝向前壁112延伸之一對應至少一個後中介壁134。後中介壁134可同樣展現與前中介壁127相同、一鏡像或不同之脊。在一個實例中，前中介壁127之脊可與後中介壁134之脊對準，如圖6中展示。組合地，前中介壁127及後中介壁134及其等各自對準脊可在前壁112與後壁114之間形成一開孔網眼(open mesh)。此開孔網眼可跟隨前壁112及後壁114之各者之邊緣128、133從第一端104至第二端106。前中介壁127與後中介壁134之間的開孔網眼容許將來自病人口腔之空氣、流體及小碎屑抽吸通過網眼至內部空間126中且朝向一抽吸源進入至抽吸連接器部分108中。

【0036】前中介壁127可在第一端104處之主體之抽吸連接器部分108中。Ascentcare Dental Products v. Solmetex, IPR2025-01059

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108附近之上壁116及下壁118處與後中介壁134接合。前中介壁127亦可在第二端106處之頰牽開器部分110附近之上壁116及下壁118處與後中介壁134接合。在一些實施例中，前中介壁127可在頰牽開器部分110處與後中介壁134接合。

【0037】圖7係圖1中展示之牙科用口腔件之一側，其中口腔件之一前壁從口腔件之一後壁拉離。至少一個連接器124可橫跨內部空間126內之前壁112與後壁114之間的距離。換言之，連接器124可附接至後壁114之一內表面135及前壁112之一內表面113。至少一個連接器124可為口腔件100提供結構剛性且可為一支柱、柱、壁或類似物。在所繪示實例中，至少一個連接器124包含三個連接器，各具有一支柱之形狀且彼此線性地間隔。

【0038】圖8係圖1中展示之牙科用口腔件之一橫向橫截面視圖。抽吸連接器部分108之開口146附近之橋峰137可具有大於較遠離於開口146之橋峰137之一高度。抽吸連接部分108之開口146附近之橋峰137亦可長於較遠離於抽吸連接部分108之橋峰137。

【0039】圖9係圖1中之牙科用口腔件之一橫向橫截面視圖。圖9繪示抽吸連接器附接至主體之處。如圖9中可見，抽吸連接器部分108可為橢圓形且亦以一無縫過渡附接至主體部分102直至主體到達橋結構136，其中橋峰137及橋谷138可部分地阻擋抽吸連接器部分108附近之主體部分102之開口。咬塊101附接在抽吸連接器部分108之外部上，使得咬塊並不中斷抽吸連接器部分108之開口。

【0040】抽吸連接器部分108可包含一開口146（圖5中亦展示），該開口146通向主體部分102之一內部空間126以容許內部空間126與抽吸連

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接器部分108之間的流體連通。至少一個抽吸連接器部分壁144可在抽吸連接器部分108之開口146附近從前壁112延伸至後壁114以防止前壁112及後壁114在抽吸期間塌陷。至少一個抽吸連接器部分壁144包含定位於抽吸連接器部分108之開口146之任一側上之一對壁。

【0041】 咬塊101可包含咬塊之任一側上之咬塊峰147及咬塊谷148以為咬塊產生較大牽引力及支撐。在一實施例中，咬塊峰147及咬塊谷148可在咬塊之任一側上產生鋸齒形或波形，但咬塊峰147及咬塊谷148可具有任何形狀。

【0042】 圖10係具有一壁連接器之圖1中展示之牙科用口腔件之一實施例之一橫截面視圖。在此實施例中，連接器124在縱向軸103處連接前壁112與後壁114作為一實心壁。連接器124沿縱向軸103從第二端106處之頸部120遠離於頰牽開器部分110朝向第一端104延伸一段距離且在圍繞主體部分102之中點之橋結構136之開口附近停止。在另一實施例中，連接器124可沿縱向軸103延伸且在主體部分之內部空間126內之任何處停止。

【0043】 圖11係具有柱連接器之圖1中展示之牙科用口腔件之一實施例之一縱向橫截面視圖。在此實施例中，連接器124在縱向軸103處連接前壁112與後壁114作為與穩定桿143成一線之三個均勻間隔圓柱形支柱。一第一連接器定位於主體部分102中，一第二連接器定位於頸部120附近，且一第三連接器間隔在頰牽開器部分110附近。三個連接器之線性間隔可為頸部120提供額外剛性，同時維持頸部120之一窄寬度122。在其他實例中，此等連接器124可定位於其中一經定位口腔件100開始從口腔之一個側繞至口腔後部，接著繞至口腔之另一側，藉此協助將口腔件100

塑形為一病人口腔之一大致口內形狀之區域中。在其他實例中，至少一個連接器124可包含一個連接器、兩個連接器或兩個以上連接器且各連接器可定位於口腔件100上之任何處。在一個實例中，至少一個連接器124係從主體部分102延伸穿過頸部120至頰牽開器部分110之一肋部。

【0044】 如本文中描述之口腔件可與一單件式可熱壓高吸力真空接頭一起使用。此一高吸力真空體接頭可由一單一均質材料製成。在具有一單桿設計的情況下，此一真空接頭可以相同於所有當前高吸力真空抽吸器及排涎器之方式運作以控制將來自口腔之水、唾液及碎屑移除至外部真空源。單桿可經設計以控制將水、唾液及碎屑從至少部分地圍封之主體透過抽吸連接器部分內之一單一大排空導管移除。

【0045】 雖然上文已描述各種實施例，但應理解，其等僅藉由實例呈現且非限制性。該等描述不旨在將本發明之範疇限於本文中闡述之特定形式。因此，一較佳實施例之範圍及範疇不應限於上文描述之例示性實施例之任一者。應理解，上述描述係闡釋性且非限制性。相反地，本描述旨在涵蓋如可包含於由隨附發明申請專利範圍定義且以其他方式由一般技術者瞭解之本發明之精神及範疇內之此等替代方案、修改及等效物。因此，本發明之範疇不應參考上文描述來判定，而代替地應參考隨附發明申請專利範圍連同其等之等效物之完整範疇來判定。

【符號說明】

【0046】

100: 牙科用口腔件

101: 咬塊

102: 主體部分

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- 103: 縱向軸
- 104: 第一端
- 106: 第二端
- 108: 抽吸連接器部分
- 110: 頰牽開器部分
- 112: 前壁
- 113: 內表面
- 114: 後壁
- 116: 上壁
- 118: 下壁
- 120: 頸部
- 122: 寬度
- 124: 連接器
- 126: 內部空間
- 127: 前中介壁
- 128: 邊緣
- 130: 峰
- 132: 谷
- 133: 邊緣
- 134: 後中介壁
- 135: 內表面
- 136: 橋結構
- 137: 橋峰

138: 橋谷

140: 開口

141: 咬塊開口

142: 切口

143: 穩定桿

144: 抽吸連接器部分壁

146: 開口

147: 咬塊峰

148: 咬塊谷



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【發明摘要】**【中文發明名稱】**

牙科用口腔件

【英文發明名稱】

DENTAL MOUTHPIECE

【中文】

本發明提供一種牙科用口腔件，其可附接至一高吸力牙科接頭用於在牙科手術期間透過椅側免持式抽吸及隔離來協助牙科工作人員。此一口腔件可包括一主體部分、一頰牽開器部分及一抽吸連接器部分。在一實施例中，該主體部分、該頰牽開器部分及該抽吸連接器部分可較佳地藉由注射模製而模製成一單件。該主體部分具有曲線內部之一前壁及曲線外部之一後壁，且一至少一個連接器連接該前壁及該後壁。該主體部分在該前壁與該後壁之間具有一前中介壁及後中介壁。該前中介壁及該等後中介壁具有交替峰及谷。

【英文】

A dental mouthpiece is provided that may be attached to a high-suction dental adapter for the purpose of assisting the dental staff during dental procedures through chair-side, hands-free suction, and isolation. Such a mouthpiece may comprise a main body portion, a cheek retractor portion, and a suction connector portion. In an embodiment, the main body portion, cheek retractor portion, and suction connector portion may be molded in one piece, preferably by injection molding. The main body

portion has an anterior wall inside the curve and a posterior wall outside the curve.

the curve, and an at least one connector connecting the anterior wall and the posterior wall. The main body portion has an anterior intervening wall and the posterior intervening wall in between the anterior and posterior walls. The anterior intervening wall and the posterior intervening walls have alternating crests and troughs.

【指定代表圖】

圖1

【代表圖之符號簡單說明】

- 100: 牙科用口腔件
- 101: 咬塊
- 102: 主體部分
- 103: 縱向軸
- 104: 第一端
- 106: 第二端
- 108: 抽吸連接器部分
- 110: 頰牽開器部分
- 112: 前壁
- 120: 頸部
- 122: 寬度
- 140: 開口

【發明申請專利範圍】

【請求項1】

一種形成為一曲線之牙科用口腔件，該牙科用口腔件包括：

一主體部分，其在該曲線之一中心部分處，具有一第一端及一第二端，該主體部分具有該曲線內部之一前壁及該曲線外部之一後壁，該前壁及該後壁界定一內部空間，至少一個連接器將該前壁連接至該後壁，至少一個前中介壁從該前壁部分地朝向該後壁延伸，且至少一個後中介壁從該後壁部分地朝向該前壁延伸，該至少一個前中介壁及該至少一個後中介壁各具有交替峰及谷；

一抽吸連接器部分，其連接至該第一端，該連接器部分將該內部空間連接至一真空抽吸源；及

一頰牽開器部分，其連接至該第二端。

【請求項2】

如請求項1之口腔件，其中該至少一個連接器係一圓柱形支柱。

【請求項3】

如請求項1之口腔件，其中該至少一個連接器係在該內部空間中之該主體部分中縱向橫跨之一壁。

【請求項4】

如請求項1之口腔件，其進一步包括從該後壁突出之該內部空間中之一橋結構，其中該等突部係具有間隔峰之城垛形。

【請求項5】

如請求項4之口腔件，其中該抽吸連接器附近之該等突部具有較大高度及長度。

【請求項6】

如請求項4之口腔件，其中該等峰之間的空間係該城垛形之谷。

【請求項7】

如請求項1之口腔件，其中該前中介壁及該後中介壁上之該等交替峰及谷係半圓形的。

【請求項8】

如請求項1之口腔件，其中該前中介壁及該後中介壁具有變化高度以維持該主體部分之完整性。

【請求項9】

如請求項1之口腔件，其中該前中介壁及該後中介壁之該等谷在該等谷接近該頻牽開器部分時大小減小。

【請求項10】

如請求項1之口腔件，其中該前中介壁及該後中介壁在其中該抽吸連接器與該主體相接之一點處相接。

【請求項11】

如請求項1之口腔件，其中該前中介壁及該後中介壁在其中該主體與該頻牽開器部分相接之一點處相接。

【請求項12】

如請求項1之口腔件，其進一步包括整合至該抽吸連接器部分之一咬塊，其中該咬塊在由一病人咬住期間提供額外抗壓性及減小壓縮性。

【請求項13】

如請求項1之口腔件，其中該主體部分、該抽吸連接器部分及該頻牽開器部分藉由注射模製形成為一單件。

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【請求項14】

如請求項1之口腔件，其中形成該主體、該抽吸連接器部分及該頻牽開器部分之一材料係一撓性半透明高耐熱可熱壓矽基材料。

【請求項15】

如請求項1之口腔件，其中該後壁進一步包含從該後壁之一內表面突出且沿該主體之一縱向軸之一穩定桿。

【請求項16】

如請求項15之口腔件，其中該至少一個連接器沿該穩定桿均勻地間隔。

【請求項17】

如請求項1之口腔件，其中該抽吸連接器部分包括對應於一真空接頭互鎖配合上之一突部之一切口。

【請求項18】

如請求項1之口腔件，其中該抽吸連接器部分連接至一真空源，其中該真空源之啟動提供從該主體之該內部空間之流體抽吸。

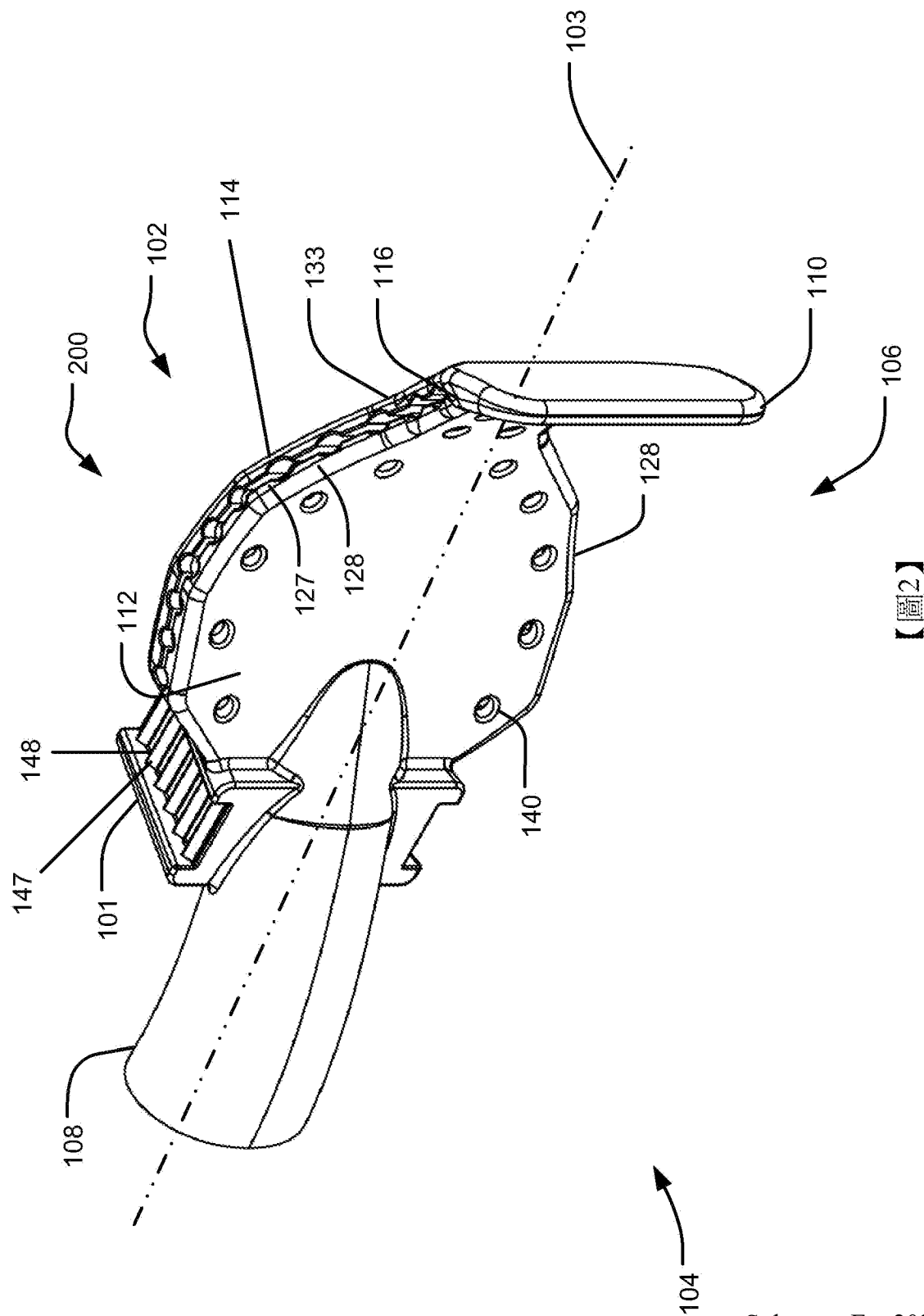
【請求項19】

如請求項18之口腔件，其中該真空源之該啟動將流體從該主體之一外部通過該前中介壁及該後中介壁之該等峰及谷抽取至該主體之該內部空間中。

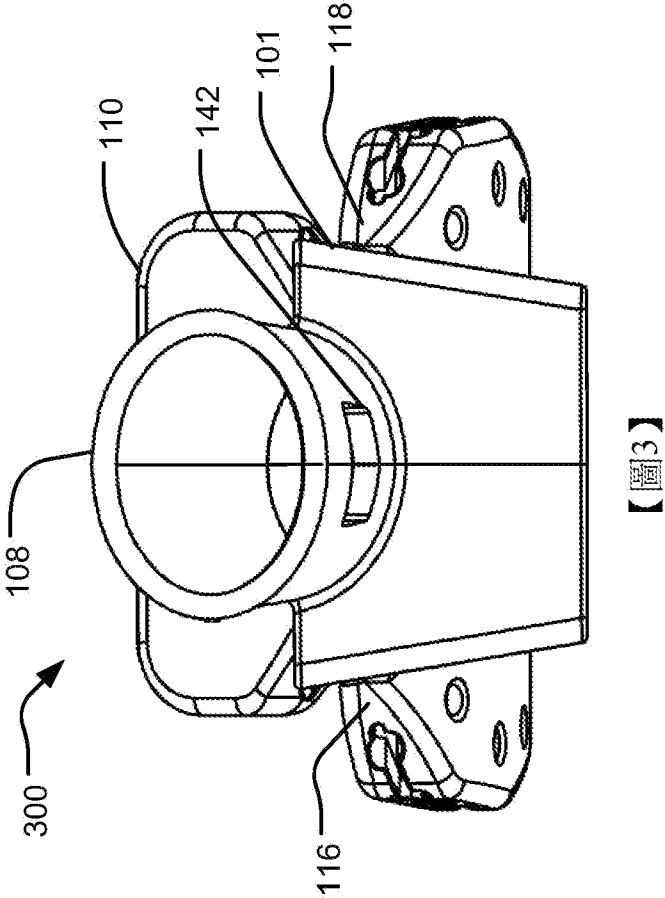
【請求項20】

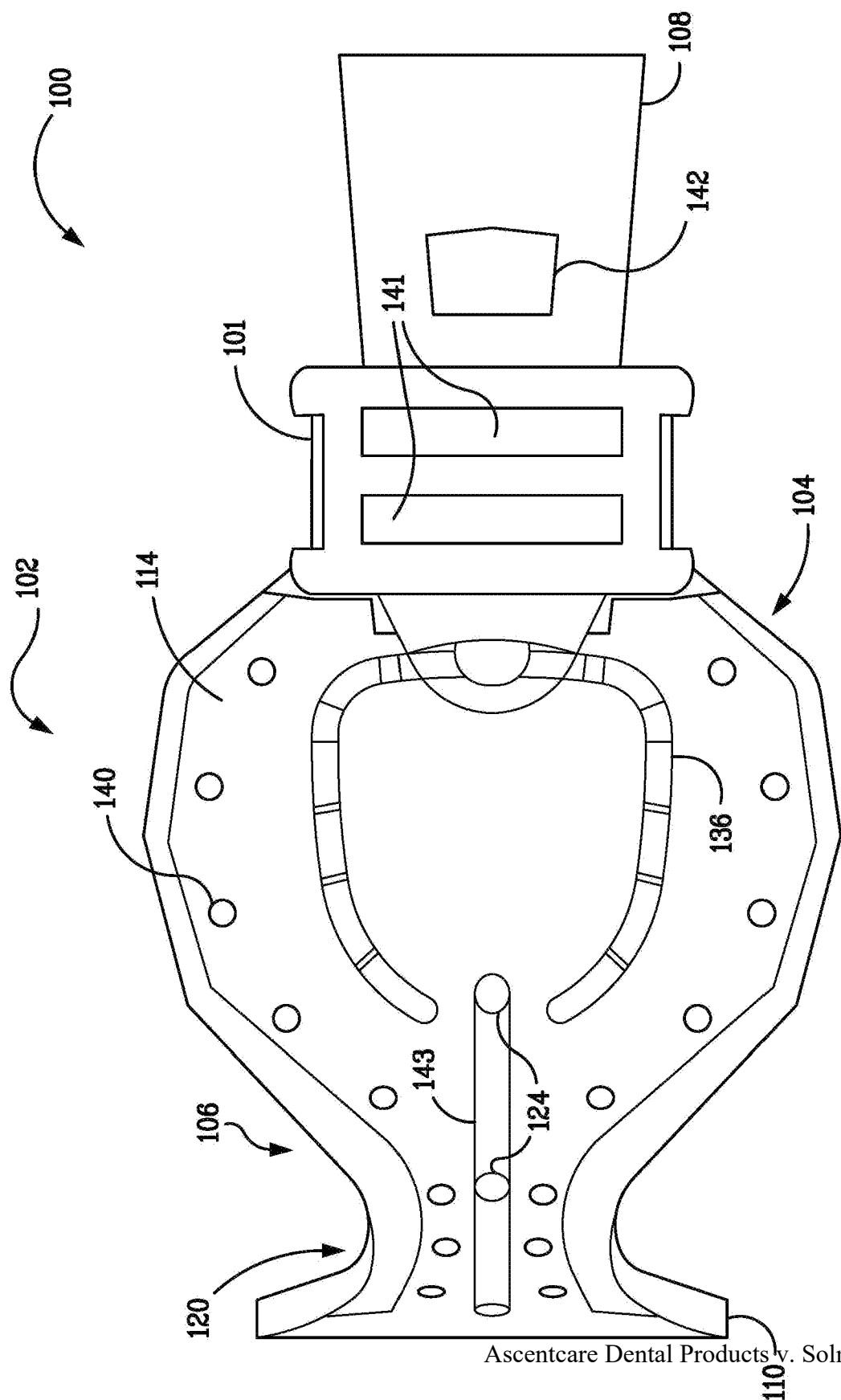
如請求項1之口腔件，其中該頻牽開器部分包含基於該頻牽開器部分之彈性施加壓力之一表面。



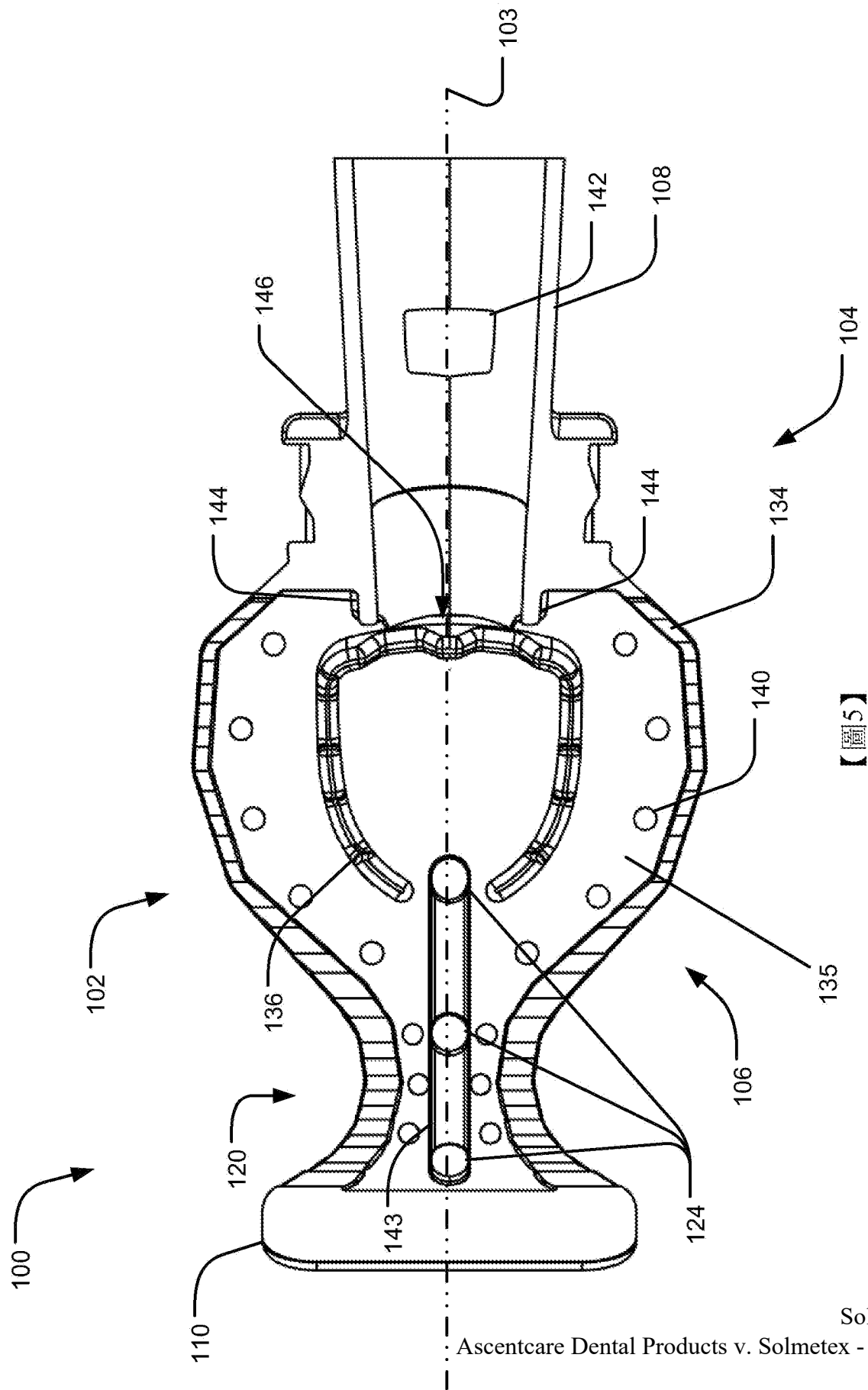


【圖2】

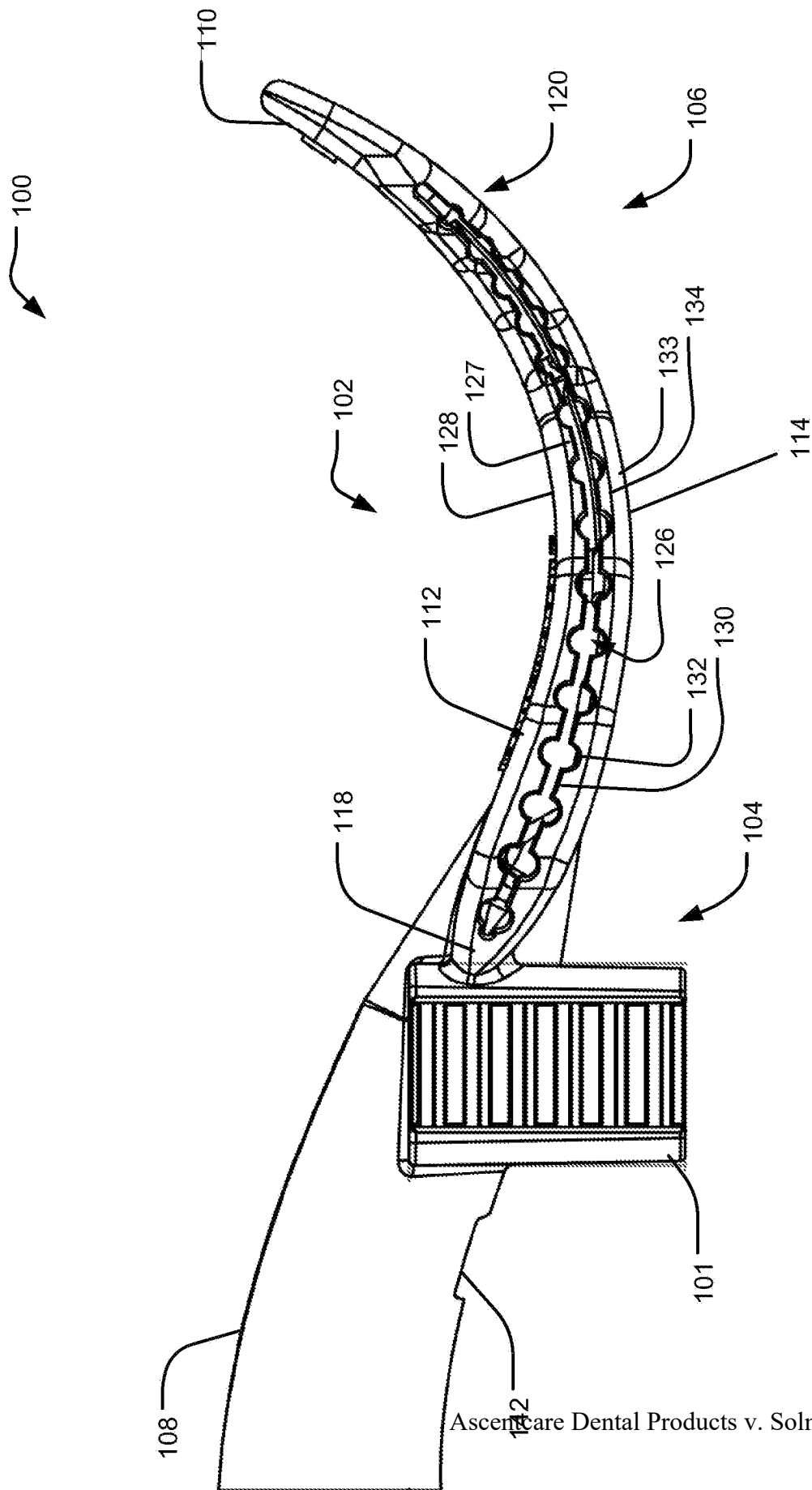




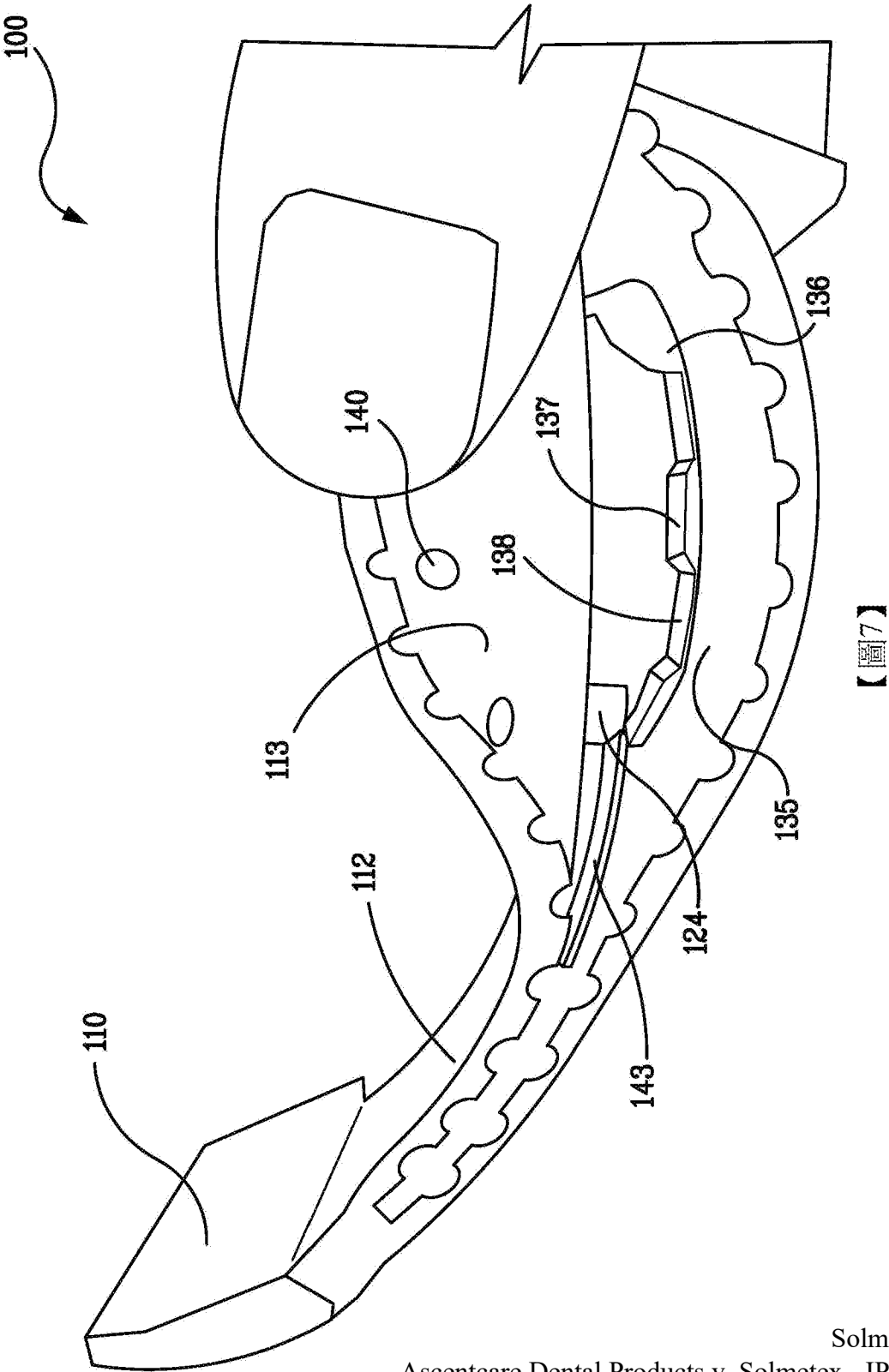
【圖4】



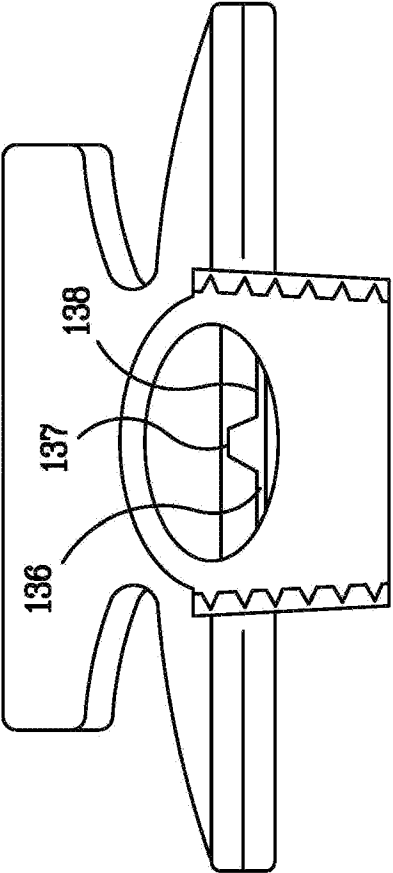
【圖5】



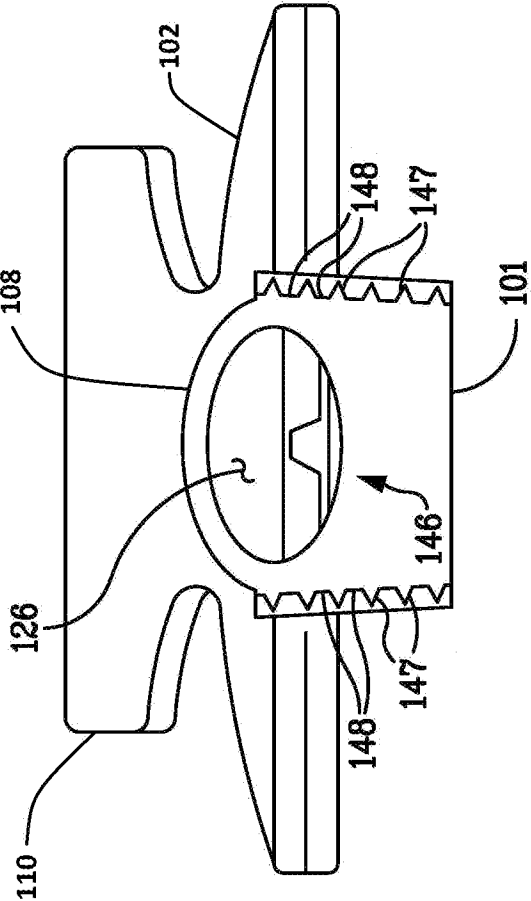
【6】



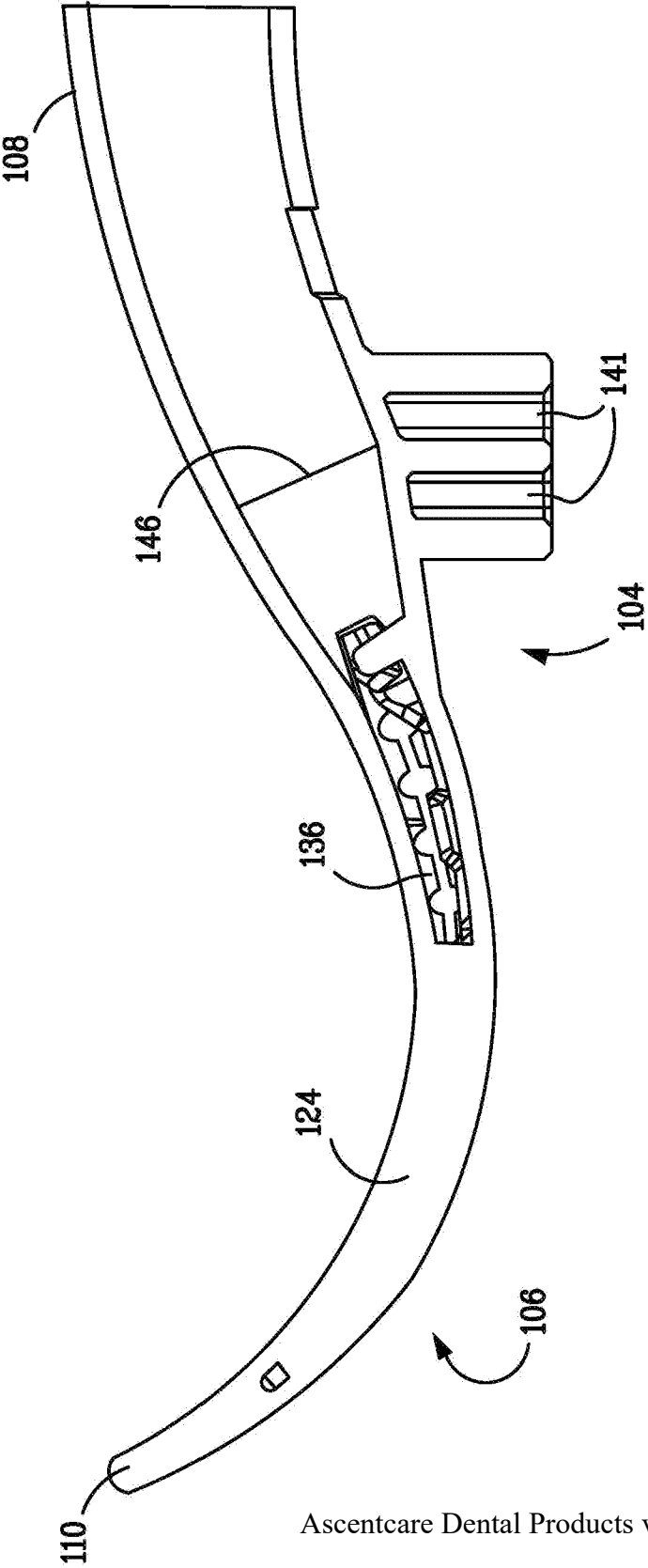
【圖7】



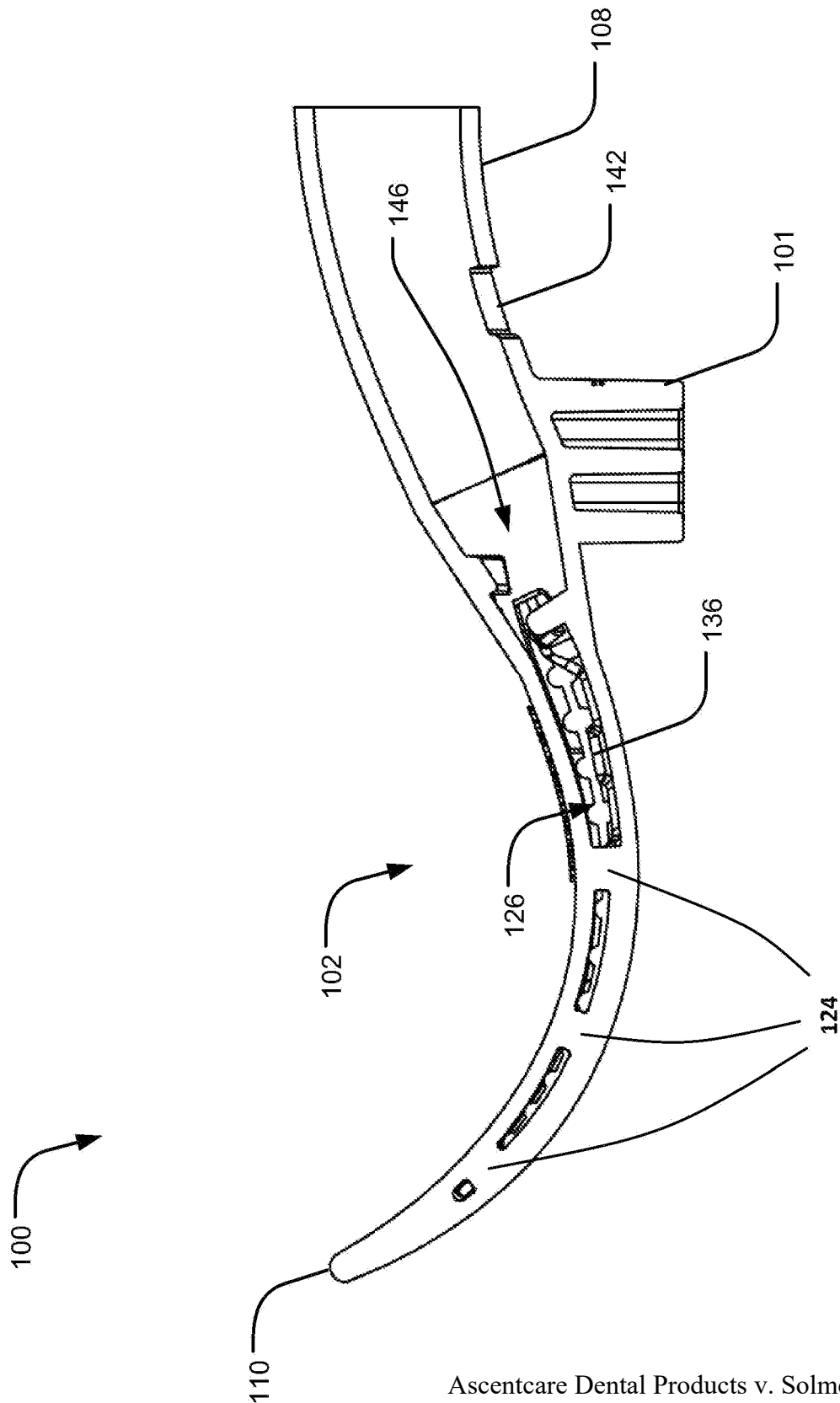
【圖8】



【圖9】



【圖 10】



【圖11】

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference INCEP-005PCT	FOR FURTHER ACTION	See item 4 below
International application No. PCT/US2020/032228	International filing date (<i>day/month/year</i>) 08 May 2020 (08.05.2020)	Priority date (<i>day/month/year</i>) 10 May 2019 (10.05.2019)
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237		
Applicant DRYSHIELD, LLC		

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis. I(a).																								
2.	This REPORT consists of a total of 7 sheets, including this cover sheet.																								
In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.																									
3.	<p>This report contains indications relating to the following items:</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; text-align: center;">☒</td> <td style="width: 30%;">Box No. I</td> <td style="width: 80%;">Basis of the report</td> </tr> <tr> <td style="text-align: center;">☐</td> <td>Box No. II</td> <td>Priority</td> </tr> <tr> <td style="text-align: center;">☐</td> <td>Box No. III</td> <td>Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</td> </tr> <tr> <td style="text-align: center;">☐</td> <td>Box No. IV</td> <td>Lack of unity of invention</td> </tr> <tr> <td style="text-align: center;">☒</td> <td>Box No. V</td> <td>Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</td> </tr> <tr> <td style="text-align: center;">☐</td> <td>Box No. VI</td> <td>Certain documents cited</td> </tr> <tr> <td style="text-align: center;">☐</td> <td>Box No. VII</td> <td>Certain defects in the international application</td> </tr> <tr> <td style="text-align: center;">☒</td> <td>Box No. VIII</td> <td>Certain observations on the international application</td> </tr> </table>	☒	Box No. I	Basis of the report	☐	Box No. II	Priority	☐	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	☐	Box No. IV	Lack of unity of invention	☒	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	☐	Box No. VI	Certain documents cited	☐	Box No. VII	Certain defects in the international application	☒	Box No. VIII	Certain observations on the international application
☒	Box No. I	Basis of the report																							
☐	Box No. II	Priority																							
☐	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability																							
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☒	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement																							
☐	Box No. VI	Certain documents cited																							
☐	Box No. VII	Certain defects in the international application																							
☒	Box No. VIII	Certain observations on the international application																							
4.	The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis .2).																								

<p style="text-align: center;">The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland</p>	<p>Date of issuance of this report 16 November 2021 (16.11.2021)</p> <hr/> <p>Authorized officer</p> <p style="text-align: center; font-weight: bold;">Nora Lindner</p> <p>e-mail pct.team5@wipo.int</p>
--	--

PATENT COOPERATION TREATY

From the
INTERNATIONAL SEARCHING AUTHORITY

To: JACEK MAREK WNUK
POL SINELLI LLP
THREE EMBARCADERO CENTER, SUITE
2400
SAN FRANCISCO, CA 94111

PCT

WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY

(PCT Rule 43bis.1)

Date of mailing
(day/month/year)

12 AUG 2020

Applicant's or agent's file reference
INCEP-005PCT

FOR FURTHER ACTION

See paragraph 2 below

International application No.

PCT/US 20/32228

International filing date (day/month/year)

08 May 2020 (08.05.2020)

Priority date (day/month/year)

10 May 2019 (10.05.2019)

International Patent Classification (IPC) or both national classification and IPC

IPC - A61B 1/24, A61C 17/00, A61C 17/06, A61C 17/10, A61C 5/14 (2020.01)

CPC - A61B 1/24, A61C 17/00, A61C 17/06, A61C 17/10, A61C 5/14, A61C 5/90

Applicant DRYSHIELD, LLC

1. This opinion contains indications relating to the following items:

- ☒ Box No. I Basis of the opinion
- ☐ Box No. II Priority
- ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step and industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☐ Box No. VII Certain defects in the international application
- ☒ Box No. VIII Certain observations on the international application

2. FURTHER ACTION

If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

Name and mailing address of the ISA/US
Mail Stop PCT, Attn: ISA/US
Commissioner for Patents
P.O. Box 1450, Alexandria, Virginia 22313-1450
Facsimile No. 571-273-8300

Date of completion of this opinion

27 JULY 2020

Authorized officer

Lee Young

PCT Help Desk

Telephone No. 571-272-4300

Form PCT/ISA/237 (cover sheet) (revised January 2019)

**WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY**

International application No.

PCT/US 20/32228

Box No. I Basis of this opinion

1. With regard to the **language**, this opinion has been established on the basis of:
 - ☒ the international application in the language in which it was filed.
 - ☐ a translation of the international application into _____ which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2. ☐ This opinion has been established taking into account the **rectification of an obvious mistake** authorized by or notified to this Authority under Rule 91 (Rule 43*bis*.1(b)).
3. ☐ With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, this opinion has been established on the basis of a sequence listing:
 - a. ☐ forming part of the international application as filed:
 - ☐ in the form of an Annex C/ST.25 text file.
 - ☐ on paper or in the form of an image file.
 - b. ☐ furnished together with the international application under PCT Rule 13*ter*.1(a) for the purposes of international search only in the form of an Annex C/ST.25 text file.
 - c. ☐ furnished subsequent to the international filing date for the purposes of international search only:
 - ☐ in the form of an Annex C/ST.25 text file (Rule 13*ter*.1(a)).
 - ☐ on paper or in the form of an image file (Rule 13*ter*.1(b) and Administrative Instructions, Section 713).
4. ☐ In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that forming part of the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
5. Additional comments:

**WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY**

International application No.

PCT/US 20/32228

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step and industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Claims	1-20	YES
	Claims	none	NO
Inventive step (IS)	Claims	none	YES
	Claims	1-20	NO
Industrial applicability (IA)	Claims	1-20	YES
	Claims	none	NO

2. Citations and explanations:

Claims 1-20 lack an inventive step under PCT Article 33(3) as being obvious over US 2017/0156832 A1 to Reyes in view of US 2014/0212838 A1 to Incept, Inc. (hereinafter Incept).

Regarding claim 1, Reyes teaches a dental mouthpiece (see fig. 1-9; para [0022]) the dental mouthpiece comprising: a main body portion at a central part of the mouthpiece having a first end and a second end (fig. 3 see in closed configuration the dental mouthpiece comprises a main body portion which is centrally circular with ends at suction piece 28 and the opposite, tapered distal end; para [0022]; para [0031]), the main body portion having an anterior wall (fig. 3, 5 see anterior wall 32; para [0028]) and a posterior wall (fig. 3, 5 see posterior wall 34; para [0028]), the anterior wall and the posterior wall defining an interior space (fig. 3, 5 see walls 32, 34 define space therebetween when the mouthpiece is closed), at least one connector connecting the anterior wall to the posterior wall (fig. 1 see connector 36/38 on trough 18/20; para [0028]; para [0032]), at least one anterior intervening wall extending from the anterior wall partially towards the posterior wall (fig. 1-5 see anterior intervening wall 8; para [0024]-[0025]), and at least one posterior intervening wall extending from the posterior wall partially towards the anterior wall (fig. 1-5 see posterior intervening wall 10; para [0024]-[0025]), the at least one anterior intervening wall and the at least one posterior intervening wall each having alternating crests and troughs (fig. 1-5 see crests 12 and troughs between crests 12; para [0034]; para [0027]); a suction connector portion connected to the first end (fig. 1-7 see suction connector portion 26; para [0031]), the connector portion connecting the interior space to a vacuum suction source (para [0029]; para [0031]); and a cheek retractor portion connected to the second end (fig. 1-3 see tapered distal end; para [0036]), but does not explicitly teach the dental mouthpiece formed in a curve, the main body at a central part of the curve, the anterior wall inside the curve nor the posterior wall outside the curve. However, in similar art, Incept teaches a dental mouthpiece formed in a curve (see fig. 1A, 1D-E; para [0033]) comprising a main body portion at a central part of the curve (fig. 1E see main body portion at the center of the curve between the left open channel and the right distal tip), the main body portion having an anterior wall inside the curve (fig. 1A-1D see anterior wall inside curve) and a posterior wall outside the curve (fig. 1A-1D see posterior wall outside the curve). Curved shaped of dental mouthpieces are well known in the art for improved fit within the mouth for retracting a cheek. Accordingly, it would have been obvious to a person having ordinary skill in the art to have shaped the mouthpiece taught by Reyes in a curve as taught by Incept, such that the main body is at a central part of the curve, the anterior wall is inside the curve, and the posterior wall is outside the curve, in order to optimize the shape of the mouthpiece to improve fit in mouth of a patient.

Regarding claim 2, Reyes in view of Incept teach the mouthpiece of claim 1, and Reyes modified by Incept further teaches wherein the at least one connector is a cylindrical shaped pillar (Reyes fig. 1 see connector includes cylindrical shaped pillar 36; para [0032]).

Regarding claim 3, Reyes in view of Incept teach the mouthpiece of claim 1, and Reyes modified by Incept further teaches wherein the at least one connector is a wall spanning longitudinally in the main body portion in the interior space (Reyes fig. 1 see connector includes wall 18/20; para [0028]).

Regarding claim 4, Reyes in view of Incept teach the mouthpiece of claim 1, but Reyes modified by Incept does not explicitly teach the mouthpiece further comprising a bridge structure in the interior space protruding from the posterior wall, wherein the bridge comprises protrusions, wherein the protrusions are battlement-shaped, with spaced crests. However, Incept teaches a mouthpiece comprising a bridge structure in an interior space (fig. 1E see bridge 180; para [0042]), wherein the bridge comprises protrusions (fig. 1E see protruding crests of bridge 180), wherein the protrusions are battlement-shaped, with spaced crests (fig. 1E see spaced crests form a battlement-shape; para [0042]). It would have been obvious to a person having ordinary skill in the art to have included a bridge structure as taught by Incept in the mouthpiece taught by Reyes in order to improve the transfer of fluids to the vacuum connection (see Incept para [0042]), thus improving the suction capabilities of the mouthpiece.

Regarding claim 5, Reyes in view of Incept teach the mouthpiece of claim 4, but Reyes modified by Incept does not explicitly teach wherein the protrusions near the suction connector is in greater height and length. However, Incept teaches wherein the protrusions near the suction connector are greater in size (see fig. 1E; para [0042]). Furthermore, Incept teaches the protrusions are shaped to improve the suction of fluids through the interior space (para [0042]). Accordingly, it would have been obvious to a person having ordinary skill in the art to have increased both the height and length of the protrusions near the suction connector in order to improve the suction of fluids through the interior space and thus improve the suction capabilities of the device.

-*-Continued in Supplemental Box*-*

WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 20/32228

Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

Claim 4: Regarding claim 4, the claim language is confusing and lacks clarity. Specifically, the recitation "protrusions" lacks proper antecedent basis. In order to give meaning to this claim such that an opinion may be rendered, it has been interpreted to read "The mouthpiece of claim 1, further comprising a bridge structure in the interior space protruding from the posterior wall, wherein the bridge comprises protrusions, wherein the protrusions are battlement-shaped, with spaced crests," which gives proper antecedent basis.

**WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY**

International application No.

PCT/US 20/32228

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of:

-*Box V.2 - Citations and Explanations-*

Regarding claim 6, Reyes in view of Incept teach the mouthpiece of claim 4, and Reyes modified by Incept further teaches wherein spaces between the crests are troughs of the battlement shape (see Incept fig. 1A spaces between crests are battlement-shaped troughs; para [0042]).

Regarding claim 7, Reyes in view of Incept teach the mouthpiece of claim 1, but Reyes modified by Incept does not explicitly teach wherein the alternating crests and troughs on the anterior intervening wall and the posterior intervening wall are semi-circle shaped. However, optimization of shape is within the ordinary skill of a person with the art. Furthermore, Reyes teaches the crests and troughs comprise sharp corners (see fig. 1-3; para [0034]; para [0025]-[0027]), but it is well known in the art that sharp corners are difficult and expensive to manufacture. Accordingly, it would have been obvious to a person having ordinary skill in the art to have optimized the shape of the crests and troughs to a semi-circle in order to prevent the presence of sharp corners to improve ease of manufacturing.

Regarding claim 8, Reyes in view of Incept teach the mouthpiece of claim 1, and Reyes modified by Incept further teaches wherein the anterior intervening wall and the posterior intervening wall have varying heights to maintain integrity of the main body portion (Reyes fig. 1-3 see presence of crests 12 and troughs therebetween varies the heights of intervening walls 8, 10 in order to provide integrity to the structure of the interior space; para [0034]).

Regarding claim 9, Reyes in view of Incept teach the mouthpiece of claim 1, and Reyes modified by Incept further teaches wherein the troughs of the anterior intervening wall and the posterior intervening wall decrease in size as the troughs approach the cheek retractor portion (Reyes fig. 1 see troughs between crests 12 become smaller in length as they approach the cheek retractor portion at the distal end).

Regarding claim 10, Reyes in view of Incept teach the mouthpiece of claim 1, and Reyes modified by Incept further teaches wherein the anterior intervening wall and the posterior intervening wall meet at a point where the suction connector meets the main body (Reyes fig. 1-3; para [0034]).

Regarding claim 11, Reyes in view of Incept teach the mouthpiece of claim 1, and Reyes modified by Incept further teaches wherein the anterior intervening wall and the posterior intervening wall meet at a point where the main body meets the cheek retractor portion (Reyes fig. 1-3; para [0034]).

Regarding claim 12, Reyes in view of Incept teach the mouthpiece of claim 1, but Reyes modified by Incept does not explicitly teach further comprising a bite block integrated to the suction connector portion, wherein the bite block provide additional crush-resistance and decreased compressibility during biting by a patient. However, Incept teaches the mouthpiece may provide a bite block (see claim 18-19). Bite blocks are well known in the art to prevent compression of a device entering the mouth cavity and to ensure that the mouth or airway remains open during a procedure. Accordingly, it would have been obvious to a person having ordinary skill in the art to have provided a bite block in the mouthpiece to protect the suction tube and maintain the mouth in an open position during a procedure.

Regarding claim 13, Reyes in view of Incept teach the mouthpiece of claim 1, but Reyes modified by Incept does not explicitly teach wherein the main body portion, the suction connector portion, and the cheek retractor portion are formed by injection-molding as one piece. However, Reyes teaches the device may be manufactured by any simplified process (para [0010]). Furthermore, Incept teaches the mouthpiece may be formed by injection-molding (para [0032]). Injection molding is well known in the art for easy and inexpensive manufacturing of a one-piece polymer. Accordingly, it would have been obvious to a person having ordinary skill in the art to have manufactured the mouthpiece by injection-molding in order to provide a cost-effective production of the device.

Regarding claim 14, Reyes in view of Incept teach the mouthpiece of claim 1, but Reyes modified by Incept does not explicitly teach wherein a material that forms the main body, the suction connector portion, and the cheek retractor portion is a flexible, translucent, high heat-resistant, autoclavable silicon-based material. However, Reyes teaches wherein the material of the mouthpiece is a suitable, flexible polymer (para [0023]). Furthermore, Incept teaches a mouthpiece formed of a flexible, translucent, high heat-resistant, autoclavable silicon-based material (para [0032]). It would have been obvious to a person having ordinary skill in the art to have provided a flexible, translucent, high heat-resistant, autoclavable silicon-based material to construct the mouthpiece in order to optimize the mouthpiece for strength and manufacturing properties.

Regarding claim 15, Reyes in view of Incept teach the mouthpiece of claim 1, and Reyes modified by Incept further teaches wherein the posterior wall further includes a stability bar protruding from an interior surface of the posterior wall and along a longitudinal axis of the main body (Reyes fig. 1-5 see stability bar 18/20 comprises connector 36/38; para [0028]; para [0032]).

Regarding claim 16, Reyes in view of Incept teach the mouthpiece of claim 15, and Reyes modified by Incept further teaches wherein the at least one connector lies evenly spaced along the stability bar (Reyes fig. 1-5 see stability bar 18/20 comprises connector 36/38; para [0028]; para [0032]).

Regarding claim 17, Reyes in view of Incept teach the mouthpiece of claim 1, but Reyes modified by Incept does not explicitly teach wherein the suction connector portion comprises a cutout corresponding to a protrusion on a vacuum adapter interlocking fit. However, Incept teaches wherein the dental mouthpiece comprises a suction connector (Incept fig. 2A-E see connector 120; para [0045]) which connects to a vacuum source with an interlocking fit by a cutout (Incept see fig. 2A-E cutout 230; para [0045]). It would have been obvious to a person having ordinary skill in the art to have included a interlocking fit to a vacuum source as taught by Incept in the connector portion taught by Reyes in order to improve the integrity of the connector and prevent failure during use.

-*Continued in next Supplemental Box-*

**WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY**

International application No.

PCT/US 20/32228

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of:

-*-Supplemental Box - Box V.2 - Citations and Explanations--*

Regarding claim 18, Reyes in view of Incept teach the mouthpiece of claim 1, and Reyes modified by Incept further teaches where in the suction connector portion connects to a vacuum source (Reyes para [0030]-[0031]; para [0036]-[0038]), wherein activation of the vacuum source provides suction of fluids from the interior space of the main body (Reyes para [0036]-[0038]).

Regarding claim 19, Reyes in view of Incept teach the mouthpiece of claim 18, and Reyes modified by Incept further teaches wherein the activation of the vacuum source draws fluids from an exterior of the main body through the crests and troughs of the anterior intervening wall and the posterior intervening wall, into the interior space of the main body (Reyes para [0036]-[0038]).

Regarding claim 20, Reyes in view of Incept teach the mouthpiece of claim 1, and Reyes modified by Incept further teaches wherein the cheek retractor portion includes a surface that applies pressure based on resilience of the cheek retractor portion (Reyes fig. 1-5 see outer surface of cheek retractor portion at distal end; para [0036]; para [0023] device is flexible).

Claims 1-20 have industrial applicability as defined by PCT Article 33(4) because the subject matter can be made or used by industry.

Electronic Acknowledgement Receipt

EFS ID:	45788530
Application Number:	14228061
International Application Number:	
Confirmation Number:	6191
Title of Invention:	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP
First Named Inventor/Applicant Name:	Thien Nguyen
Customer Number:	138718
Filer:	TamThanh Thi Pham/Lea Fong
Filer Authorized By:	TamThanh Thi Pham
Attorney Docket Number:	INCEP-001COE
Receipt Date:	24-MAY-2022
Filing Date:	27-MAR-2014
Time Stamp:	12:44:38
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
------------------------	----

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	INCEP-001COE_IDS_Statement-3_5-20-22.pdf Ascentcare Dental Products v. Solmetex - IPR2025-01059	96041 80ec39d65c086d05e44a93755690c890afad275b	no Solmetex Ex. 2021	2 2025-01059

Warnings:

Information:					
2	Information Disclosure Statement (IDS) Form (SB08)	INCEP-001COE_IDS_1449-3_5-20-22.pdf	222320 1b3e208f134617053f043238c3532792eca61f2c	no	2
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	Foreign Reference	CA_3139689.pdf	1273768 21df6b855712d8541bfca291de73ced66f8bcde	no	30
Warnings:					
Information:					
4	Foreign Reference	TW_202108088.pdf	5674108 13bd9b2eca6486b7b9441df641f913a246edc7a0	no	31
Warnings:					
Information:					
5	Non Patent Literature	PCTUS2020032228_InternationalPreliminaryReportOnPatentability_11-16-21.pdf	351747 4388434d3d0945d8683b19e6cf8b7bc55ed1101a	no	7
Warnings:					
Information:					
Total Files Size (in bytes):			7617984		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3772

Examiner: Hao D. Mai

Serial No.: 14/228,061

Filed: March 27, 2014

In re Application of: Thien Nguyen

Confirmation No.: 6191

Customer No.: 138718

Title: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. § 1.56 and 1.97-1.98

Examiner:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08, listing several references. The Examiner is requested to make these references of official record in the application.

The references cited may be material to examination of the application and are submitted in compliance with the Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

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Respectfully submitted,
POLSINELLI LLP

Dated: May 20, 2022

By: _____/Tam Thanh Pham/_____
Tam Thanh Pham (Reg. No. 50,565)
Polsinelli LLP
Three Embarcadero Center, Suite 2400
San Francisco, CA 94111
415-248-2100 (Main)
patentdocketing@polsinelli.com

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
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U.S. PATENT DOCUMENTS						
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		JP 2022-533276		7/21/2022	Dryshield, LLC		

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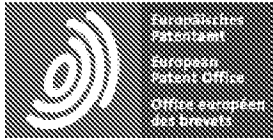
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ABSTRACT JP2022533276A

[0001]

¹³ A curved dental mouthpiece includes a main body portion positioned at the center of the curve and having a first end and a second end, and a suction connector portion connected to the first end, and a corner hook connected to the second end. The main body portion has a front wall on the inside of the curve and a rear wall on the outside of the curve. The front and rear walls define an interior space. At least one connector connects the front wall to the back wall. At least one front intermediate wall extends from the front wall partially toward the rear wall. At least one rear intermediate wall extends from the rear wall partially toward the front wall. At least one front intermediate wall and at least one rear intermediate wall each have alternating peaks and valleys. [Selection drawing] Fig. 1

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 米国(US)

(71)出願人 521492953
 ドライシールド、エルエルシー
 アメリカ合衆国、カリフォルニア州 92
 708、ファウンテン バレー、スイー
 ト ビー、グレース アベニュー 112
 30
 (74)代理人 100105924
 弁理士 森下 賢樹
 (72)発明者
 グエン、ティエン
 アメリカ合衆国、カリフォルニア州 92
 708、ファウンテン バレー、スイー
 ト ビー、グレース アベニュー 112
 30

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(54)【発明の名称】 歯科用マウスピース

(57)【要約】

【解決手段】曲線で構成された歯科用マウスピースは、曲線の中心部に位置し、第1端部および第2端部を有するメインボディ部と、第1端部に接続された吸引コネクタ部と、第2端部に接続された口角鉤部と、を備える。メインボディ部は、曲線の内側に前壁と、曲線の外側に後壁と、を備える。前壁および後壁は、内部空間を定義する。少なくとも1つのコネクタが、前壁を後壁に接続する。少なくとも1つの前側中間壁が、前壁から、部分的に後壁に向けて延びる。少なくとも1つの後側中間壁が、後壁から、部分的に前壁に向けて延びる。少なくとも1つの前側中間壁および少なくとも1つの後側中間壁は、それぞれ、交代する山および谷を有する。

【選択図】 図1

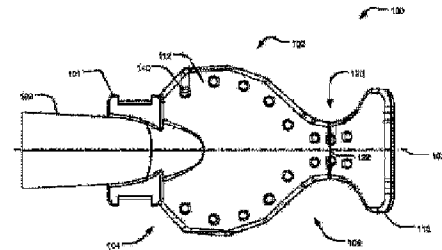


FIG. 1

【特許請求の範囲】

【請求項 1】

曲線で構成された歯科用マウスピースであって、
前記曲線の中心部に位置し、第 1 端部および第 2 端部を有するメインボディ部と、
前記第 1 端部に接続された吸引コネクタ部と、
前記第 2 端部に接続された口角鉤部と、
を備え、
前記メインボディ部は、前記曲線の内側に前壁と、前記曲線の外側に後壁と、を備え、
前記前壁および前記後壁は、内部空間を定義し、
少なくとも 1 つのコネクタが、前記前壁を前記後壁に接続し、
少なくとも 1 つの前側中間壁が、前記前壁から、部分的に前記後壁に向けて延び、
少なくとも 1 つの後側中間壁が、前記後壁から、部分的に前記前壁に向けて延び、
前記少なくとも 1 つの前側中間壁および前記少なくとも 1 つの後側中間壁は、それぞれ、
交代する山および谷を有し、
前記吸引コネクタ部は、前記内部空間を真空吸引源に接続することを特徴とする歯科
用マウスピース。

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【請求項 2】

前記吸引コネクタ部の少なくとも 1 つは、円柱状の柱であることを特徴とする請求項
1 に記載の歯科用マウスピース。

【請求項 3】

前記吸引コネクタ部の少なくとも 1 つは、前記内部空間内で、前記メインボディ部の
縦方向に広がる壁であることを特徴とする請求項 1 に記載の歯科用マウスピース。

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【請求項 4】

前記内部空間内に、前記後壁から突出するブリッジ構造をさらに備え、
この突出は、間隔を空けた山を備えた胸壁状であることを特徴とする請求項 1 に記載の
歯科用マウスピース。

【請求項 5】

前記吸引コネクタ部の近くの突出は、より高い高さで、より長い長さを持つことを
特徴とする請求項 4 に記載の歯科用マウスピース。

【請求項 6】

前記山の間の空間は、胸壁状の谷であることを特徴とする請求項 4 に記載の歯科用マウ
スピース。

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【請求項 7】

前記前側中間壁および前記後側中間壁の上で交代する山および谷は、半円状であること
を特徴とする請求項 1 に記載の歯科用マウスピース。

【請求項 8】

前記前側中間壁および前記後側中間壁は、前記メインボディ部の一体性を維持するため
に、高さが変化することを特徴とする請求項 1 に記載の歯科用マウスピース。

【請求項 9】

前記前側中間壁および前記後側中間壁の谷の大きさは、当該谷が前記口角鉤部に近づく
に従って、小さくなることを特徴とする請求項 1 に記載の歯科用マウスピース。

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【請求項 10】

前記前側中間壁および前記後側中間壁は、前記吸引コネクタ部が前記メインボディ部
と接触する場所で接触することを特徴とする請求項 1 に記載の歯科用マウスピース。

【請求項 11】

前記前側中間壁および前記後側中間壁は、前記メインボディ部が前記口角鉤部と接触す
る場所で接触することを特徴とする請求項 1 に記載の歯科用マウスピース。

【請求項 12】

前記吸引コネクタ部と一体化された咬合阻止器をさらに備え、
前記咬合阻止器は、患者の咬合中、さらなる圧縮抵抗および減少した圧縮性を与えるこ

とを特徴とする請求項 1 に記載の歯科用マウスピース。

【請求項 13】

前記メインボディ部、前記吸引コネクタ部および前記口角鉤部は、単一の部品として射出成形により形成されることを特徴とする請求項 1 に記載の歯科用マウスピース。

【請求項 14】

前記メインボディ部、前記吸引コネクタ部および前記口角鉤部を形成する材料は、柔軟で、半透明で、高耐熱で、オートクレーブ可能な、シリコンベースの材料であることを特徴とする請求項 1 に記載の歯科用マウスピース。

【請求項 15】

前記後壁は、前記後壁の内側表面から、前記メインボディ部の縦軸に沿って突出する安定バーをさらに含むことを特徴とする請求項 1 に記載の歯科用マウスピース。

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【請求項 16】

前記コネクタの少なくとも 1 つは、前記安定バーに沿って、等間隔に配置されることを特徴とする請求項 15 に記載の歯科用マウスピース。

【請求項 17】

前記吸引コネクタ部は、真空アダプタの連結用突起に対応する切り抜きを備えることを特徴とする請求項 1 に記載の歯科用マウスピース。

【請求項 18】

前記吸引コネクタ部は真空源に接続し、

前記真空源の起動により、前記メインボディ部の内部空間からの流体の吸引が行われることを特徴とする請求項 1 に記載の歯科用マウスピース。

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【請求項 19】

前記真空源の起動は、流体を、前記メインボディ部の外側から、前記前側中間壁および前記後側中間壁の山および谷を通して、前記メインボディ部の内部空間内に引き込むことを特徴とする請求項 18 に記載の歯科用マウスピース。

【請求項 20】

前記口角鉤部は、前記口角鉤部の弾性に基づいて圧力を与える面を含むことを特徴とする請求項 1 に記載の歯科用マウスピース。

【発明の詳細な説明】

【技術分野】

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【0001】

【関連出願への相互参照】

本出願は、2019年5月10日出願の米国仮特許出願第62/846,353号「歯科用マウスピース」に基づく優先権を主張する。当該仮特許出願の開示内容は、参照として本明細書に組み込まれる。

【0002】

本発明は、一般に歯科用マウスピースの分野に関する。より具体的には、本発明は、口腔内歯科用吸引器および分離型マウスピースに関する。

【背景技術】

【0003】

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現在歯科の分野では、歯科専門医、歯科衛生士、歯科助手などが様々なマウスピースを使用する。かつては歯科患者は、歯科専門医および歯科助手を含む従来型の2名のチームから治療を受けていた。さらに歯科治療には、多くの異なる歯科機器および材料が使われていた。こうした歯科機器および材料は、口腔内鏡、咬合阻止器、低速吸引エジェクター、高速吸引エジェクター、ガーゼ、コットンロール、ドライアングルなどを含む。こうした歯科機器のそれぞれは、異なる目的ごとに使われてもよく、歯科処置の目的に応じて組み合わせられてもよい。このように歯科処置を行う歯科専門医は、これら複数の歯科機器を使う必要があるだろう。従って歯科助手の重要な役割は、複数の異なる歯科機器および材料の使用に合わせて、歯科専門医を補助することにある。

【発明の概要】

【発明が解決しようとする課題】

【0004】

従ってこの分野では、より効率的で、快適で、安全な歯科処置サービスの提供を可能とするシステムおよび方法が必要とされる。

【課題を解決するための手段】

【0005】

曲線で構成された歯科用マウスピースの実施の形態が開示される。このような歯科用マウスピースは、曲線の中心部に位置し、第1端部および第2端部を有するメインボディ部と、第1端部に接続された吸引コネクタ部と、第2端部に接続された口角鉤部と、を備えてもよい。

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【0006】

ある実施の形態では、メインボディ部、吸引コネクタ部および口角鉤部は、射出成形により、一体成形されてもよい。ある実施の形態では、マウスピースは、柔軟で、半透明で、射出成形に有利な、高耐熱で、オートクレーブ可能な材料で作られてもよい。このような材料は、シリコンを含んでもよい。こうしたマウスピースは、高耐熱で、オートクレーブ可能な材料で作られるので、再生可能である。

【0007】

メインボディ部は、曲線の内側に前壁と、曲線の外側に後壁と、を備える。前壁および後壁は、内部空間を定義する。少なくとも1つのコネクタが、内部空間内で前壁を後壁に接続する。少なくとも1つの前側中間壁が、前壁から、部分的に後壁に向けて延び、少なくとも1つの後側中間壁が、後壁から、部分的に前壁に向けて延びる。少なくとも1つの前側中間壁および少なくとも1つの後側中間壁は、それぞれ、交代する山および谷を有する。

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【図面の簡単な説明】

【0008】

【図1】 歯科用マウスピースの上面図である。

【0009】

【図2】 図1の歯科用マウスピースの等角図である。

【0010】

【図3】 図1の歯科用マウスピースの後面図である。

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【0011】

【図4】 図1の歯科用マウスピースの底面図である。

【0012】

【図5】 図1の歯科用マウスピースの頂部環状断面図である。

【0013】

【図6】 図1の歯科用マウスピースの側面図である。

【0014】

【図7】 後壁から引き離された前壁を備える図1の歯科用マウスピースの側面図である。

【0015】

【図8】 吸引コネクタとメインボディとが交差するところでの、図1の歯科用マウスピースの横断面図である。

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【0016】

【図9】 吸引コネクタとメインボディとが交差するところでの、図1の歯科用マウスピースの横断面図である。

【0017】

【図10】 壁状コネクタを備える図1の歯科用マウスピースの縦断面図である。

【0018】

【図11】 柱状コネクタを備える図1の歯科用マウスピースの縦断面図である。

【発明を実施するための形態】

【0019】

本発明の実施の形態は、高吸引歯科用アダプタに取り付け可能なマウスピースであって、椅子の横での単独のハンズフリー吸引を実現することにより歯科スタッフを援助するものを含んでもよい。このようなマウスピースは、メインボディ部と、口角鉤部と、吸引コネクタ部と、安定バーと、咬合阻止器と、を備える。いくつかの実施の形態では、メインボディ部、口角鉤部、吸引コネクタ部、安定バーおよび咬合阻止器（およびそれらの一部）が、好ましくは射出成形により、一体成形されてもよい。ある典型的な実施の形態では、マウスピースは、柔軟で、弾力があり、少なくとも半透明で、射出成形に有利な材料で作られる。このような材料は、既知の熱可塑性エラストマーを含んでもよい。

【0020】

図1は、歯科用マウスピースの上面図である。歯科用マウスピース100は、第1端部104、および第1端部104の反対側に第2端部106を持つメインボディ部102を含む。図示された実施の形態では、吸引コネクタ部108が第1端部104に結合されており、口角鉤部110が第2端部106に結合されている。しかし別の実施の形態では、マウスピース100は、吸引コネクタ部108および／または口角鉤部110を持たなくてもよい。さらに咬合阻止器101が、メインボディ部102の第1端部104の近くで吸引コネクタ部108に一体化されている。しかし咬合阻止器101は、吸引コネクタ部108のどこに配置されてもよい。

【0021】

メインボディ部102は、第2端部106から口角鉤部110に延びる頸部120を含んでもよい。頸部120は、メインボディ部102の幅、口角鉤部110の幅および吸引コネクタ部108の幅より狭い幅122を持ってよい。しかし別の例では、幅122は、メインボディ部102の幅、口角鉤部110の幅および吸引コネクタ部108の幅より広くてもよい。

【0022】

図示された実施の形態では、吸引コネクタ部108、口角鉤部110、咬合阻止器101およびメインボディ部102は、1つの部品で構成されてもよい。しかし他の例では、吸引コネクタ部108、口角鉤部110、咬合阻止器101および／またはメインボディ部102の各々は、別個の部品で構成されてもよい。例えばいくつかの実施の形態では、メインボディ部102、口角鉤部110および吸引コネクタ部108（およびそれらの一部）が、好ましくは射出成形により、一体成形されている。咬合阻止器101は、別個の部品で構成され、吸引コネクタ部108に取り付け可能であってもよい。ある典型的な実施の形態では、マウスピース100は、柔軟で、弾力があり、少なくとも半透明で、射出成形に有利な材料で作られてもよい。このような材料は、熱可塑性エラストマーを含んでもよい。

【0023】

図2は、図1の歯科用マウスピースの等角図である。図2に示されるように、メインボディ部102は、曲線形状をしてよい。マウスピース100は柔軟で弾力のある材料（例えば、熱可塑性エラストマー）で作られるので、患者の口内に置かれると、患者の口の形に一致するような曲線となることができる。吸引コネクタ部108は、適切に配置されたとき、患者の口の片側から突出してもよい。一方このとき、メインボディ部102は、患者の口の後部にもたれて置かれ、口角鉤部110は、患者の口と反対側の頬を圧迫する。

【0024】

口角鉤部110は、患者の頬を圧迫し、吸引コネクタ部108が延びる方と反対側で、患者の頬を患者の歯から引っ込ませるように構成されてもよい。マウスピース100を形成する材料が柔軟であるため、マウスピース100は、患者の口内に置かれるとある程度曲がる。しかしこの材料の持つ弾力性により、マウスピース100は、曲げから開放されると、患者の口の一部に圧力を加えることができる。例えばマウスピース100の材料は、口角鉤部110が、患者の頬を歯から遠ざけるのに十分な圧力で患者の頬を圧迫する程度の弾力性を持つ。口角鉤部110は、メインボディ部102に取り付けられる。

ーヘッド状の遠位領域を持つものとして図示されている。口角鉤部 110 は、ある例では中身の詰まったものであってもよく、別の例では空洞のあるものであってもよい。

【0025】

メインボディ部 102 は、曲線の内側部分に前壁 112 を備え、曲線の外側部分に後壁 114 (図 4 にも示される) を備えてもよい。前壁 112 は、患者の口の前方に対向してもよい。後壁 114 は、患者の口の後方に対向してもよい。メインボディ部 102 の前壁 112 および後壁 114 は、互いに平行であるように構成されてもよい。前壁 112 は、後壁 114 の所定形状に対応する所定形状をしてもよい。しかし別の例では、前壁 112 は、後壁 114 と異なる形状をしてもよい。所定形状は、第 1 端部 104 においてはより幅が広く、第 2 端部 106 においてはより幅が狭いものであってもよい。いくつかの実施の形態では、所定形状は盾状に相当するものであってもよい。しかし所定形状は、例えば、正形状、直線矢印状、長形状、星状、楕円状、円状、その他の患者の口腔形状に一般に合致するものであってもよい。大人と子供の口のサイズが異なることに対応して、異なるサイズのマウスピースが与えられてもよい。第 1 端部 104 の部分は、メインボディ部 102 のその他の部分より厚い壁で形成されてもよい。このような厚さを与えることにより、第 1 端部 104 においてさらなる安定性を得ることができる。前壁 112 および後壁 114 は、患者の口内に置かれると、患者の気道を封鎖することができる。このとき、咬合阻止器 101 は、患者の歯と歯の間に置かれる。吸引コネクタ一部 108 は、患者の口の方の側から延びる。口角鉤部 110 は、患者の口内の他方側の頬を圧迫する。

【0026】

メインボディ部 102 は、前壁 112 の上および／または後壁 114 の上に位置づけられた開口 140 を含んでもよい。このような開口 140 (例えば、穿孔、スリット、アパーチャ等) により、当該開口 140 を通して空気、液体および小さなゴミ等を、患者の口から内部空間 126 内に吸引し、吸引コネクタ一部 108 を通して吸引源に送ることができる。開口 140 は、前壁 112 および／または後壁 114 の周辺に配置されてもよい。いくつかの例では、開口 140 は、前壁 112 および／または後壁 114 の頸部 120 のいずれかの側に配置されてもよい。別の例では、開口 140 は複数のアパーチャである。このとき、すべてのアパーチャが同じサイズであってもよいし、アパーチャの一部または全部が異なるサイズであってもよい。

【0027】

図 3 は、図 1 の歯科用マウスピースの後面図である。図 3 に示されるように、吸引コネクタ一部 108 は、楕円状であってもよく、メインボディ部 102 に取り付けられてもよい。吸引コネクタ一部 108 は、メインボディ部 102 より厚い壁で形成されてもよく、高吸引真空アダプタに取り付けられるように構成されてもよい。吸引コネクタ一部 108 は、水、唾液およびゴミ等を、内部空間 126 から除去用外部アダプタへ送ることを補助してもよい。吸引コネクタ一部 108 は、スライド中のマウスピースを、所望の深さのアダプタ位置で停止させるための内部ストッパを含んでもよい。

【0028】

以下、図 3 に示されるように、患者の口内に置かれたとき口内の上顎にもたれる側を意味するものとして、上壁 116 という用語が使われてもよい。同様に、以下、患者の口内に置かれたときに、口内の底にもたれる側を意味するものとして、下壁 118 という用語が使われてもよい。上壁 116 および下壁 118 は、同一に形成されてもよい。この場合、マウスピースの向きを変えることができる。このとき、新しい向きには、上壁 116 は、下壁 118 のように見える(逆もまた同様である)。使用中、メインボディ部 102 の上壁 116 および下壁 118 は、口内の頂部、ならびに、口内の底部および／または舌を保護し分離するものとして機能してもよい。さらにメインボディ部 102 は、口内の後部(例えば、喉や気道)を、落下するゴミからを保護し分離するものとして機能してもよい。

【0029】

図 4 は、図 1 の歯科用マウスピースの底面図である。前壁 112 および後壁 114 は、

少なくとも1つのコネクタ124を用いて、互いに接続されてもよい。いくつかの実施の形態では、吸引コネクタ部108は、切り抜き142（例えば、ロゴ、長方形の切り目、正方形の切り目、円形の切り目、その他の任意の形の切り目といった形状）を有してもよい。これにより、外部の高吸引真空アダプタ上の対応する突起（これもまた、例えば、ロゴ、長方形の切り目、正方形の切り目、円形の切り目、その他の任意の形の切り目といった形状であってよい）とのさらなる連結が可能となる。

【0030】

咬合阻止器101は、咬合阻止器101内に空洞を形成するための咬合阻止開口141により補強されてもよい。これにより、咬合阻止は、一層安定的となる。ある実施の形態では、咬合阻止開口141は、咬合阻止器101の底部で開口する、2つの平行な長方形の開口であってよい。咬合阻止開口141は、吸引コネクタ部108に向けて延びているが、その延びは吸引コネクタ部108の厚さを越えていなくてもよい。

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【0031】

図5は、図1の歯科用マウスピースの頂部環状断面図である。図5、7および8に示されるように、メインボディ部102は、後壁114の内側表面135から突出するブリッジ構造136を含んでもよい。いくつかの実施の形態では、ブリッジ構造136は、ロゴの形状（例えば、矢じり状または盾状）を持つ。ブリッジ構造は、矢じりの先端にギャップを有してもよい。これにより矢じりは、頂点ではなく、開いた弧を有する。いくつかの実施の形態では、ブリッジ構造136は、マウスピース100のメインボディ部102の中心部に配置されてもよい。いくつかの例では、こうしたブリッジ構造136は、ブリッジ山137およびブリッジ谷138を持つ波の形で、内側表面135から突出してもよい。別の実施の形態では、ブリッジ構造136は、胸壁または台形の形で突出してもよい。ブリッジ山137により、複数の接触点（これは、一般に後壁114から分離されている）が与えられる。図7および8に示されるように、吸引コネクタ部108の開口146の近くにあるブリッジ山137の高さは、開口146の遠くにあるブリッジ山137の高さより高くてもよい。図8に示されるように、吸引コネクタ部108の開口146の近くにあるブリッジ山137の長さは、開口146の遠くにあるブリッジ山137の長さより長くてもよい。ブリッジ谷138は、内側表面135と実質的に同じ高さか、または内側表面135の上方に延びていてもよい。吸引中、前壁112を後壁114から分離しておくために、ブリッジ谷138は前壁112と接触する。これにより、前壁112または後壁114が内部空間126内に落ち込むことが防止される。従って、ゴミおよび／または水は、内部空間126を通過して廃棄される。一方、ブリッジ谷138により、ギャップが与えられる。これにより、ブリッジ構造136を通して、空気、流体および小さなゴミ等を吸引することができる。別の例では、ブリッジ構造136は滑らかであってよい。あるいはブリッジ構造136は、鋭利な山および鋭利な谷、円状の山および円状の谷、正方形の山および正方形の谷、またはこれらの形状を組み合わせた形状の山および谷を含んでもよい。

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【0032】

図5および7に示されるように、メインボディ部102は、メインボディ部102のほぼ中央部から口角鉤部110に向けて延びる安定バー143をさらに含んでもよい。このような安定バー143は、後壁114の内側表面135から縦軸103に沿って突出してもよい。ある実施の形態では、安定バー143は、ブリッジ構造136の開いた弧から開始し、縦軸103に沿って頸部120の第2端部106に向けて続き、口角鉤部110に到達する前に終了する。安定バー143は、後壁114の内側表面135から、前壁112の内側表面113に向けて突出してもよい。安定バー143は、内部空間126の高さ以下の任意の高さであってよい。ある実施の形態では、安定バー143の特定の箇所に、前壁112を後壁114に取り付けるためのコネクタ124が取り付けられてもよい。安定バー143の上のコネクタ124は、吸引中に、マウスピース100の引っ込み、安定、支持および曲げを補助してもよい。ある典型的な実施の形態では、安定バー143に位置調整された複数のコネクタ124が与えられてもよい。しかし別の例では、安

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定バー 1 4 3 は、少なくとも 1 つのコネクター 1 2 4 に位置調整されなくてもよい。

【0033】

図 6 は、図 1 の歯科用マウスピースの側面図である。図 6 は、患者の口の底にもたれる下側を示す。前壁 1 1 2 および後壁 1 1 4 は、互いに間隔を空けて配置され、内部空間 1 2 6 を定義する。コネクター 1 2 4、安定バー 1 4 3 およびブリッジ構造 1 3 6 のうちの少なくとも 1 つ以外、一般に内部空間 1 2 6 は開いており非閉塞である。これにより、吸引は内部空間 1 2 6 を通って流れることができる。前壁 1 1 2 と後壁 1 1 4 との間の内部空間 1 2 6 は、一般に、前壁 1 1 2 および後壁 1 1 4 と同じ所定形状を持つ。ある例では、内部空間 1 2 6 は、頸部 1 2 0 を通って延びる。しかし別の例では、内部空間 1 2 6 は、頸部 1 2 0 を通って延びない。さらに別の例では、内部空間 1 2 6 は、頸部 1 2 0 を通って、口角鉤部 1 1 0 まで延びる。

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【0034】

少なくとも 1 つの前側中間壁 1 2 7 が、前壁 1 1 2 の少なくとも 1 つの端部 1 2 8 から、部分的に後壁 1 1 4 に向けて延びていてもよい。前側中間壁 1 2 7 は、少なくとも 1 つの端部 1 2 8 から、前壁 1 1 2 と後壁 1 1 4 との間の midpoint に延びる厚さを持ってもよい。従って、このような前側中間壁 1 2 7 の全長は、前壁 1 1 2 と後壁 1 1 4 との間隔全体の長さを越えなくてもよい。このような前側中間壁 1 2 7 の厚さは、口角鉤部 1 1 0 から、頸部 1 2 0 を通って、第 1 端部 1 0 4 まで漸次増加していてもよい。このような厚さの増加によって、第 1 端部 1 0 4 における剛性が強化されてもよい。いくつかの実施の形態では、このような前側中間壁 1 2 7 は、さらにうねを立てられてもよい。別の実施の形態では、このような前側中間壁 1 2 7 は、滑らかな端部を持ってもよい。いくつかの例では、前側中間壁 1 2 7 は、交代する山 1 3 0 および谷 1 3 2 を含む。図示された実施の形態では、山 1 3 0 は平坦な面であり、谷 1 3 2 は円柱状の切り取り面である。しかし、山 1 3 0 および谷 1 3 2 は、任意の形状であってもよい。いくつかの例では、山 1 3 0 および谷 1 3 2 は、前側中間壁 1 2 7 の深さ全体にわたって延びる。しかし別の例では、山 1 3 0 および／または谷 1 3 2 は、前側中間壁 1 2 7 の一部に沿って延びてもよい。山 1 3 0 および谷 1 3 2 は、マウスピース 1 0 0 に、さらなる引っ込み、安定、支持および曲げを与えてもよい。

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【0035】

後壁 1 1 4 は、少なくとも 1 つの対応する中間壁 1 3 4（これは、後壁 1 1 4 の少なくとも 1 つの端部 1 3 3 から延び、前壁 1 1 2 に向けて部分的に延びる）を有してもよい。後側中間壁 1 3 4 は、前側中間壁 1 2 7 と同じ強さのうねを持つ鏡像であってもよいし、前側中間壁 1 2 7 と異なるものであってもよい。図 6 に示されるように、ある例では、前側中間壁 1 2 7 のうねは、後側中間壁 1 3 4 のうねと位置調整されてもよい。組み合わせを考えると、前側中間壁 1 2 7、後側中間壁 1 3 4 およびそれぞれの位置調整されたうねは、前壁 1 1 2 と後壁 1 1 4 との間に、開いた網目を形成してもよい。このような開いた網目は、第 1 端部 1 0 4 から第 2 端部 1 0 6 までの間、前壁 1 1 2 および後壁 1 1 4 の各々の端部 1 2 8、1 3 3 に続いてよい。前側中間壁 1 2 7 と後側中間壁 1 3 4 との間の開いた網目を設けることにより、空気、流体および小さなゴミ等を、患者の口から、この網目を通して、内部空間 1 2 6 に吸引し、さらに吸引源に向けて吸引コネクター部 1 0 8 に送ることができる。

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【0036】

前側中間壁 1 2 7 は、第 1 端部 1 0 4 におけるメインボディの吸引コネクター部 1 0 8 の近くで、上壁 1 1 6 および下壁 1 1 8 で、後側中間壁 1 3 4 に接続されてもよい。あるいは前側中間壁 1 2 7 は、第 2 端部 1 0 6 における口角鉤部 1 1 0 の近くで、上壁 1 1 6 および下壁 1 1 8 で、後側中間壁 1 3 4 に接続されてもよい。いくつかの実施の形態では、前側中間壁 1 2 7 は、口角鉤部 1 1 0 で、後側中間壁 1 3 4 に接続されてもよい。

【0037】

図 7 は、後壁から引き離された前壁を備える図 1 の歯科用マウスピースの側面図である。少なくとも 1 つのコネクター 1 2 4 は、内部空間 1 2 6 内で、前壁 1 1 2 と後壁 1 1 4

後壁 114 の内側表面 135 および前壁 112 の内側表面 113 に取り付けられてもよい。言い換えれば、コネクタ 124 は、
少量なくとも 1 つのコネクタ 124 は、マウスピース 100 に構造的剛性を与えてもよ
く、ピラー、柱、壁等であってもよい。図示された例では、少なくとも 1 つのこのよう
なコネクタ 124 は、3 つのコネクタを含む。これら 3 つのコネクタの各々は、柱状
であり、互いに直線的に分離される。

【0038】

図 8 は、図 1 の歯科用マウスピースの横断面図である。吸引コネクタ 108 の開口
146 の近くにあるブリッジ山 137 の高さは、開口 146 の近くにあるブリッジ山 13
7 の高さより高くてもよい。また、吸引コネクタ 108 の開口 146 の近くにあるブ
リッジ山 137 の高さは、開口 146 の遠くにあるブリッジ山 137 の長さより長くても
よい。

【0039】

図 9 は、図 1 の歯科用マウスピースの横断面図である。図 9 は、吸引コネクタがメイ
ンボディに取り付けられるところでの歯科用マウスピースを示す。図 9 に示されるよう
に、吸引コネクタ 108 は、楕円形をしていてよい。さらに吸引コネクタ 108 は
、メインボディ 102 がブリッジ構造 136（ここでは、ブリッジ山 137 およびブリ
ッジ谷 138 が、吸引コネクタ 108 の近くでのメインボディ 102 の開口を部分
的に遮断してもよい）に到達するまでシームレスに移動して、メインボディ 102 に取
り付けられてもよい。咬合阻止器 101 が、吸引コネクタ 108 の開口に干渉しない
ように、吸引コネクタ 108 の外側に取り付けられる。

【0040】

（やはり図 5 に示されるように）吸引コネクタ 108 は、開口 146 を含んでもよ
い。開口 146 は、メインボディ 102 の内部空間 126 内に向けて開口する。これに
より、内部空間 126 と吸引コネクタ 108 とが流体連通する。吸引コネクタ 1
08 の開口の近くで、少なくとも 1 つの吸引コネクタ 144 が、前壁 112 から後壁
114 に延びてもよい。これにより、吸引中に、前壁 112 または後壁 114 が落ち込む
ことが防止される。少なくとも 1 つの吸引コネクタ 144 は、吸引コネクタ 10
8 の開口 146 のいずれかの側に配置された 1 対の壁を含む。

【0041】

咬合阻止器 101 は、咬合阻止器 101 をより強く牽引し支持するために、咬合阻止器
101 のいずれかの側に、咬合阻止山 147 と、咬合阻止谷 148 と、を含んでもよい。
ある実施の形態では、咬合阻止山 147 および咬合阻止谷 148 は、咬合阻止器 101 の
いずれかの側で、ぎざぎざの形状または波の形状を取る。しかし、咬合阻止山 147 およ
び咬合阻止谷 148 は、任意の形状を取ってもよい。

【0042】

図 10 は、壁状コネクタを備える図 1 の歯科用マウスピースの縦断面図である。この
実施の形態では、コネクタ 124 は、縦軸 103 で、強固な壁として、前壁 112 を後
壁 114 に接続する。コネクタ 124 は、口角鉤部 110 からいくらか離れたところに
ある第 2 端部 106 で頸部 120 から、縦軸 103 に沿って、第 1 端部 104 に向けて延
びる。そしてコネクタ 124 は、メインボディ 102 の中点を取り巻くブリッジ構造
136 の開口部近くで終端する。別の実施の形態では、コネクタ 124 は、縦軸 103
に沿って延び、メインボディ 102 の内部空間 126 内の任意の場所で終端する。

【0043】

図 11 は、柱状コネクタを備える図 1 の歯科用マウスピースの縦断面図である。この
実施の形態では、コネクタ 124 は、安定バー 143 を有する、縦軸 103 で等間隔で
隔てられた 3 つの円柱状の柱として、前壁 112 を後壁 114 に接続する。第 1 のコネク
タ 124 は、メインボディ 102 に配置される。第 2 のコネクタ 124 は、頸部 120 の近く
に配置される。そして第 3 のコネクタ 124 は、口角鉤部 110 の近くに隔てられる。3 つのコ
ネクタが直線的に分離されることにより、頸部 120 を狭い幅 122 に保ったまま、頸

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部 1 2 0 にさらなる剛性を持たせることができる。別の実施の形態では、このようなコネクタ 1 2 4 は、設置されたマウスピース 1 0 0 が口的一方から後方を通して他方まで巻き始める場所に配置されてもよい。これにより、マウスピース 1 0 0 は、患者の口の一般的な口腔内形状に合致することができる。別の例では、少なくとも 1 つのコネクタ 1 2 4 は、1 つのコネクタ、2 つのコネクタ、あるいは 3 つ以上のコネクタを含んでもよい。このとき、各コネクタは、マウスピース 1 0 0 の任意の場所に配置されてもよい。ある例では、少なくとも 1 つのコネクタ 1 2 4 は、メインボディ部 1 0 2 から、頸部 1 2 0 を通って、口角鉤部 1 1 0 まで延びるリブであってもよい。

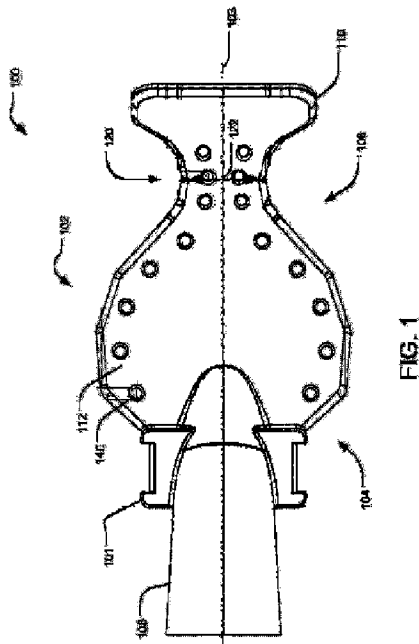
【 0 0 4 4 】

ここで説明したマウスピースは、一体型のオートクレーブ可能な高吸引真空アダプタとともに使われてもよい。このような高吸引真空アダプタは、単一の均質な材料で作られてもよい。こうした真空アダプタは、シングルレバーのデザインを持ちながら、水、唾液およびゴミ等を口腔から吸引源に制御可能に除去できる、現行のすべての高吸引真空エバキュエーターおよび唾液エジェクターと同様に機能することができる。シングルレバーは、少なくとも部分的に囲い込まれたメインボディから、吸引コネクタ内の単一かつ大型の除去導管を通して、水、唾液およびゴミ等を制御可能に除去するようにデザインされてよい。

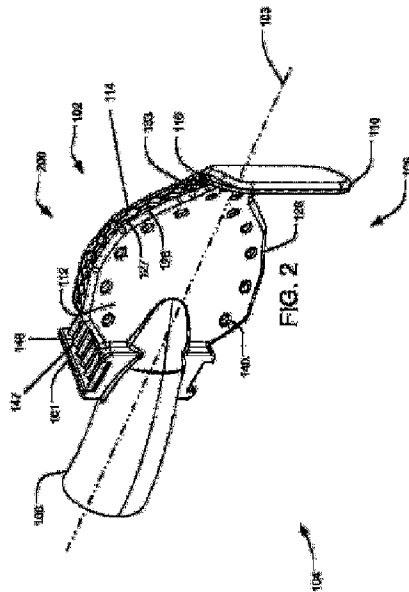
【 0 0 4 5 】

以上、様々な実施の形態を説明したが、これらは限定でなく例示を目的とすることに留意されたい。本明細書の説明は、本発明の範囲を特定の実施の形態に限定しない。従って、好ましい実施の形態の範囲およびスコープは、前述の典型的な実施の形態に限定されない。本明細書の説明は、模式的であり限定的ではないことに留意されたい。一方、本開示は、添付の請求項で定義されるものの他、当業者に理解可能な本発明の範囲に含まれるすべての変形、改良、均等物をカバーする。従って、本発明の範囲は、本明細書の説明によってではなく、すべての均等の範囲を含む請求項によって決定されなければならない。

【 図 1 】



【 図 2 】



【図 3】

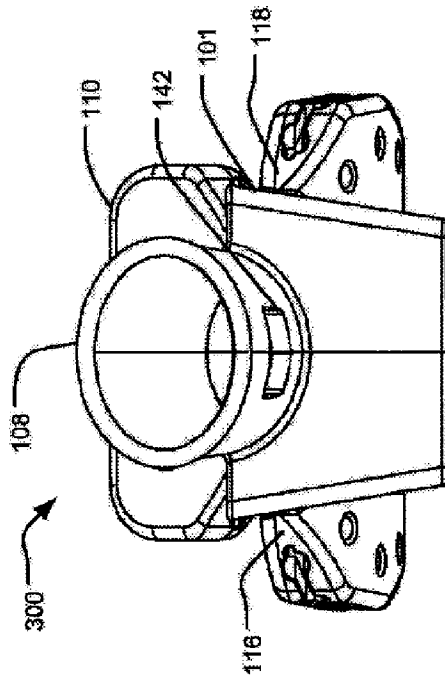


FIG. 3

【図 4】

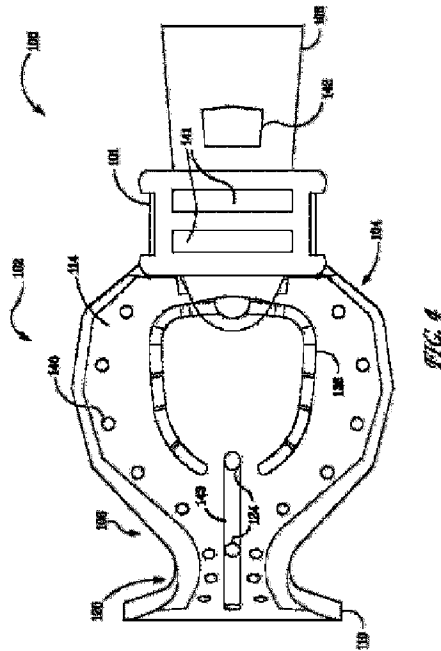


FIG. 4

【図 5】

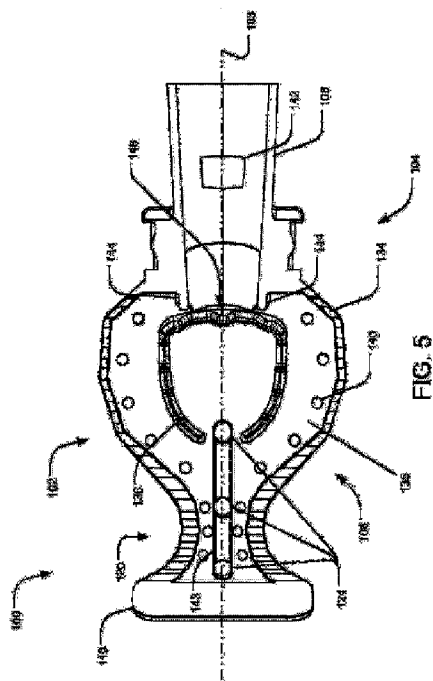


FIG. 5

【図 6】

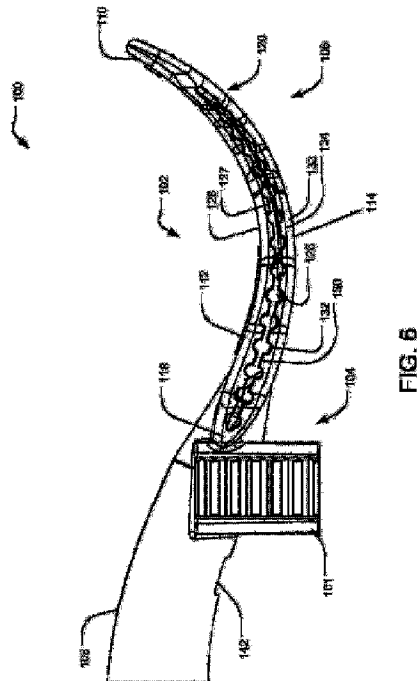
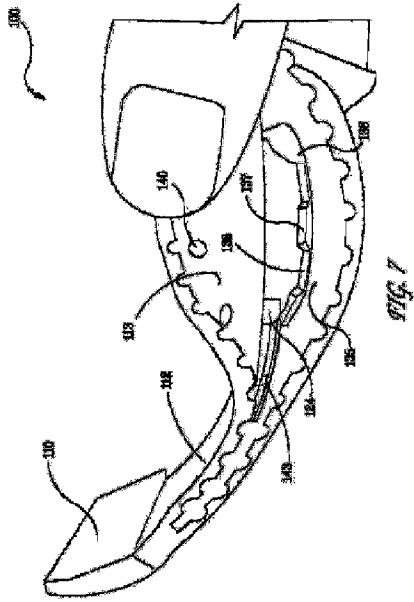
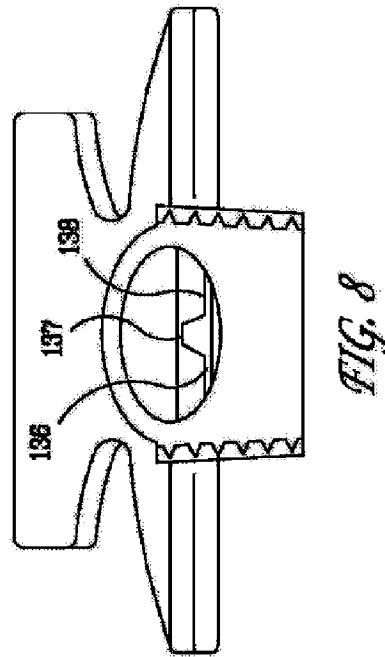


FIG. 6

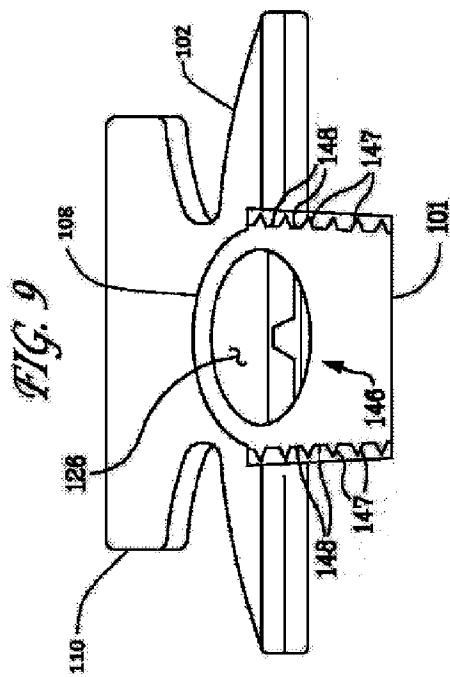
【図 7】



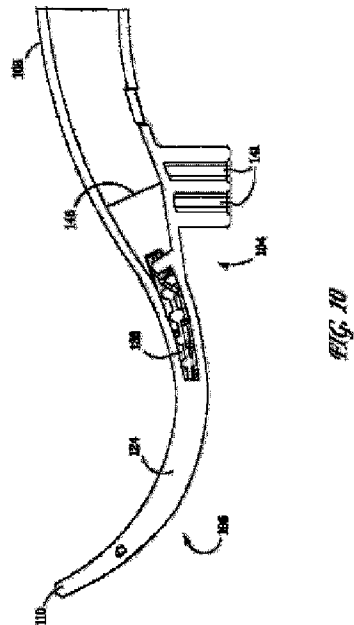
【図 8】



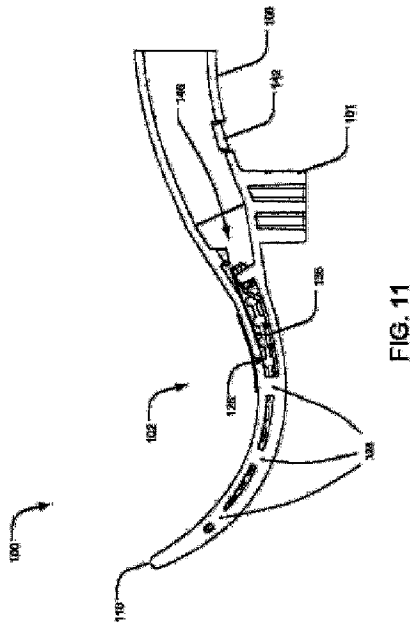
【図 9】



【図 10】



【図 11】



【国際調査報告】

INTERNATIONAL SEARCH REPORT		International application No. PCT/US 20/32228
A. CLASSIFICATION OF SUBJECT MATTER IPC - A61B 1/24, A61C 17/00, A61C 17/06, A61C 17/10, A61C 5/14 (2020.01) CPC - A61B 1/24, A61C 17/00, A61C 17/06, A61C 17/10, A61C 5/14, A61C 5/90		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) See Search History document		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched See Search History document		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) See Search History document		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 2017/0156832 A1 (Reyes) 8 June 2017 (08.06.2017), entire document	1-20
Y	US 2014/0212838 A1 (Incept, Inc.) 31 July 2014 (31.07.2014), entire document	1-20
A	US 2015/0335409 A1 (Innervite, Inc.) 26 November 2015 (26.11.2015), entire document	1-20
A	US 2009/0274991 A1 (Black et al.) 5 November 2009 (05.11.2009), entire document	1-20
A	US 2001/0008752 A1 (Hirsch et al.) 19 July 2001 (19.07.2001), entire document	1-20
☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "D" document cited by the applicant in the international application "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "Z" document member of the same patent family		
Date of the actual completion of the international search 27 JULY 2020		Date of mailing of the international search report 12 AUG 2020
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-8300		Authorized officer Lee Young Telephone No. PCT Helpdesk: 571-272-4300

Form PCT/ISA/210 (second sheet) (July 2019)

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(81)指定国・地域 AP(BW,BW,GH,GH,GM,GM,KE,KE,LR,LR,LS,LS,MW,MW,MZ,MZ,NA,NA,RW,RW,SD,SD,SL,SL,ST,ST,SZ,
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 UZ,VC,VC,VN,VN,WS,WS,ZA

(72)発明者 ファム、タム、タン

アメリカ合衆国、カリフォルニア州 9 2 7 0 8、ファウンテン バレー、 スイート ビー、グ
 レース アベニュー 1 1 2 3 0

(72)発明者 ゲエン、イーサン

アメリカ合衆国、カリフォルニア州 9 2 7 0 8、ファウンテン バレー、 スイート ビー、グ
 レース アベニュー 1 1 2 3 0

(72)発明者 ゲエン、ローレン

アメリカ合衆国、カリフォルニア州 9 2 7 0 8、ファウンテン バレー、 スイート ビー、グ
 レース アベニュー 1 1 2 3 0

Fターム(参考) 4C052 AA06 FF07

Electronic Acknowledgement Receipt

EFS ID:	46844298
Application Number:	14228061
International Application Number:	
Confirmation Number:	6191
Title of Invention:	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP
First Named Inventor/Applicant Name:	Thien Nguyen
Customer Number:	138718
Filer:	Ruby Lim Chung/Lea Fong
Filer Authorized By:	Ruby Lim Chung
Attorney Docket Number:	INCEP-001COE
Receipt Date:	19-OCT-2022
Filing Date:	27-MAR-2014
Time Stamp:	20:24:30
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	INCEP-001COE_IDS_Statement-2_10-19-22.pdf Ascentcare Dental Products v. Solmetex - IPR2025-01059	96640 a98df462e35f32b855a0b8de909522ab4fa794db	no Solmetex Ex. 2021	2 2025-01059

Warnings:

Information:					
2	Information Disclosure Statement (IDS) Form (SB08)	INCEP-001COE_IDS_1449-2_10-19-22.pdf	177586 7fd2a26dfda9b4ab64e1e06888f481403d23b8b	no	1
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3	Foreign Reference	JP_2022533276.pdf	592057 eeb467770b348a058ce66d73eb493a79d0b959e1	no	16
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3772

Examiner: Hao D. Mai

Serial No.: 14/228,061

Filed: March 27, 2014

In re Application of: Thien Nguyen

Confirmation No.: 6191

Customer No.: 138718

Title: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. § 1.56 and 1.97-1.98

Examiner:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08, listing several references. The Examiner is requested to make these references of official record in the application.

The references cited may be material to examination of the application and are submitted in compliance with the Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

Applicants hereby declare, pursuant to 37 C.F.R. §1.97(e)(1), that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference an admission that it is prior art or pertinent to this application.

Respectfully submitted,
POLSINELLI LLP

Dated: October 19, 2022

By: _____/Ruby L. Chung/_____
Ruby L. Chung (Reg. No. 76,809)
Polsinelli LLP
Three Embarcadero Center, Suite 2400
San Francisco, CA 94111
415-248-2100 (Main)
patentdocketing@polsinelli.com

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	14/228,061
				Filing Date	March 27, 2014
				First Named Inventor:	Thien Nguyen
				Art Unit	3772
				Examiner Name	Hao D. Mai
Sheet	1	of	2	Attorney Docket Number	INCEP-001COE

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-	D962,438	8/30/2022	Nguyen	
		US-	D962,439	8/30/2022	Nguyen	
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Ascentcare Dental Products v. Solmetex - IPR2025-01059

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<div>Substitute for Form 1449/PTO</div> <div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div>		Complete if Known	
		Application Number	14/228,061
		Filing Date	March 27, 2014
		First Named Inventor:	Thien Nguyen
		Art Unit	3772
		Examiner Name	Hao D. Mai
Sheet 2 of 2	Attorney Docket Number	INCEP-001COE	
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Docket No. INCEP-001COD U.S. Patent Application 14/228,057; Office Action mailed 9/2/22	

Examiner Signature		Date Considered	
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Ascentone Dental Products v. Solmetex - IPR2025-01059

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EFS ID:	46844284
Application Number:	14228061
International Application Number:	
Confirmation Number:	6191
Title of Invention:	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP
First Named Inventor/Applicant Name:	Thien Nguyen
Customer Number:	138718
Filer:	Ruby Lim Chung/Lea Fong
Filer Authorized By:	Ruby Lim Chung
Attorney Docket Number:	INCEP-001COE
Receipt Date:	19-OCT-2022
Filing Date:	27-MAR-2014
Time Stamp:	20:23:46
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	INCEP-001COE_IDS_Statement _10-19-22.pdf Ascentcare Dental	97292 bc19903185fbb0c1eaa10232588b9f4cfd5d adb8	no Solmetex Ex. 2021	2 25-01059

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Group Art Unit: 3772

Examiner: Hao D. Mai

Serial No.: 14/228,061

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In re Application of: Thien Nguyen

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Customer No.: 138718

Title: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. § 1.56 and 1.97-1.98

Examiner:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08, listing several references. The Examiner is requested to make these references of official record in the application.

The references cited may be material to examination of the application and are submitted in compliance with the Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

Applicants hereby declare, pursuant to 37 C.F.R. §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after having made a reasonable inquiry, no item of information contained in the information disclosure statement was

known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of the information disclosure statement.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference an admission that it is prior art or pertinent to this application.

Respectfully submitted,
POLSINELLI LLP

Dated: October 19, 2022

By: _____/Ruby L. Chung/_____
Ruby L. Chung (Reg. No. 76,809)
Polsinelli LLP
Three Embarcadero Center, Suite 2400
San Francisco, CA 94111
415-248-2100 (Main)
patentdocketing@polsinelli.com



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

138718 7590 11/30/2022
Polsinelli LLP
PO Box 140310
Kansas City, MO 64114-0310

EXAMINER

MAI, HAO D

ART UNIT

PAPER NUMBER

3772

DATE MAILED: 11/30/2022

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/228,061	03/27/2014	Thien Nguyen	INCEP-001COE	6191

TITLE OF INVENTION: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$600	\$0.00	\$0.00	\$600	02/28/2023

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980.

It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Ascentcare Dental Products v. Solmetex - IPR2025-01059

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

By fax, send to: (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

138718 7590 11/30/2022
Polsinelli LLP
PO Box 140310
Kansas City, MO 64114-0310

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.

(Typed or printed name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/228,061	03/27/2014	Thien Nguyen	INCEP-001COE	6191

TITLE OF INVENTION: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$600	\$0.00	\$0.00	\$600	02/28/2023

EXAMINER	ART UNIT	CLASS-SUBCLASS
MAI, HAO D	3772	433-093000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/AIA/122 or PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/AIA/47 or PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,

1 _____

(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) : ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. Fees submitted: ☐ Issue Fee ☐ Publication Fee (if required) ☐ Advance Order - # of Copies _____

4b. Method of Payment: (Please first reapply any previously paid fee shown above)

☐ Electronic Payment via EFS-Web ☐ Enclosed check ☐ Non-electronic payment by credit card (Attach form PTO-2038)

☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. _____

5. Change in Entity Status (from status indicated above)

☐ Applicant certifying micro entity status. See 37 CFR 1.29

☐ Applicant asserting small entity status. See 37 CFR 1.27

☐ Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _____

Date _____

Typed or printed name _____

Registration No. _____
Ascentcare Dental Products v. Solmetex - IPR2025-01059

Solmetex Ex. 2021



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/228,061	03/27/2014	Thien Nguyen	INCEP-001COE	6191
138718	7590	11/30/2022	EXAMINER	
Polsinelli LLP			MAI, HAO D	
PO Box 140310			ART UNIT	
Kansas City, MO 64114-0310			PAPER NUMBER	
			3772	
DATE MAILED: 11/30/2022				

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Solmetex Ex. 2021

Ascentcare Dental Products v. Solmetex - IPR2025-01059

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the application was not examined and the application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Solmetex Ex. 2021

Ascentcare Dental Products, Inc. Solmetex - IPR 2025-01059

Notice of Allowability	Application No. 14/228,061	Applicant(s) Nguyen et al.	
	Examiner HAO D MAI	Art Unit 3772	AIA (FITF) Status No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 10/19/2022.
☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

3. ☒ The allowed claim(s) is/are 3-12 and 17-24. As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.

4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:

a) ☐ All b) ☐ Some* c) ☐ None of the:

1. ☐ Certified copies of the priority documents have been received.

2. ☐ Certified copies of the priority documents have been received in Application No. _____.

3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892) 2. ☒ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____. 3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material _____. 4. ☐ Interview Summary (PTO-413), Paper No./Mail Date. _____.	5. ☐ Examiner's Amendment/Comment 6. ☒ Examiner's Statement of Reasons for Allowance 7. ☐ Other _____.
---	--

/Hao D Mai/ Examiner, Art Unit 3772	/NICHOLAS D LUCCHESI/ Primary Examiner, Art Unit 3772
--	--

DETAILED ACTION

Allowable Subject Matter

1. Claims 3-12 and 17-24 are allowed.
2. The following is an examiner's statement of reasons for allowance:

Regarding claim 21, the prior arts fail to disclose or reasonably teach a mouthpiece comprising, *inter alia*: a main body portion configured as a pocket at least partially enclosing an interior space that extends from a first end to a second end of the main body portion, the pocket defined by: a first wall extending from the first end to the second end, wherein the first wall is narrower at the second end than at the first end, a second wall located at a distance from the first wall and extending from the first end to the second end, wherein the second wall is also narrower at the second end than at the first end, the second wall comprising a bridge structure that includes a plurality of protrusions integral with and protruding from an interior surface of the second wall and extending across the distance between the first wall and the second wall, wherein the bridge structure is not attached to the first wall, and wherein the plurality of protrusions of the bridge structure protrude from the interior surface of the second wall in a wave shape comprising one or more crests and one or more troughs, and a connecting wall that connects one or more edges of the first wall to one or more corresponding edges of the second wall across the distance between the first wall and the second wall; [[and]] a suction connector extending from the first end of the main body portion and integral to the main body portion, the suction connector including a cavity extending longitudinally from the main body portion, the cavity in communication with the interior space of the pocket and extending from a first opening of the suction connector at the first end of the main body portion and through a length of the suction connector towards a suction port opening opposite from the main body portion; and a mouth prop molded in one piece, and wherein the suction connector extends through and past

the mouth prop, in combination with the elements and their arrangements as set forth in the claims.

Regarding claim 24, the prior arts fail to disclose or reasonably teach a mouthpiece comprising, *inter alia*: a main body portion configured as a pocket at least partially enclosing an interior space that extends from a first end to a second end of the main body portion, the pocket defined by: a first wall extending from the first end to the second end, the first wall having an interior surface facing the interior space of the pocket, and a second wall located at a distance from to the first wall and extending from the first end to the second end, the second wall having an interior surface facing the interior surface of the first wall, the second wall comprising a bridge structure that includes a plurality of protrusions integral with and protruding from the interior surface of the second wall and extending across the distance between the first wall and the second wall, wherein the bridge structure is not attached to the first wall, and wherein the plurality of protrusions of the bridge structure protrude from the interior surface of the second wall in a wave shape comprising one or more crests and one or more troughs, and a connecting wall that connects one or more edges of the first wall to one or more corresponding edges of the second wall across the distance between the first wall and the second wall; a mouth prop molded in one piece and located at the first end of the main body portion; and a suction connector integral with the main body portion and extending from the first end of the main body portion past the mouth prop, wherein the suction connector includes a cavity in communication with the interior space of the pocket, in combination with the elements and their arrangements as set forth in the claims.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to HAO D MAI whose telephone number is (571)270-3002. The examiner can normally be reached on Mon-Fri 8:00-4:30. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cris Rodriguez can be reached on (571) 272-4964. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Hao D Mai/
Examiner, Art Unit 3772

/NICHOLAS D LUCCHESI/
Primary Examiner, Art Unit 3772

<i>Search Notes</i> 	Application/Control No. 14/228,061	Applicant(s)/Patent Under Reexamination Nguyen et al.
	Examiner HAO D MAI	Art Unit 3772

CPC - Searched*		
Symbol	Date	Examiner
A61C 17/043, 046	11/16/2015	HDM
A61C 17/04, 0208	06/20/2016	HDM
Update Search	06/20/2016	HDM
Update Search	07/08/2019	HDM
Update Search	01/06/2020	HDM
A61C17/08	11/10/2022	HDM

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*			
Class	Subclass	Date	Examiner
433	91-92, 140	11/16/2015	HDM

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

/Hao D Mai/ Examiner, Art Unit 3772	Solmetex Ex. 2021 Ascentcare Dental Products v. Solmetex - IPR2025-01059
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<i>Search Notes</i> 	Application/Control No. 14/228,061	Applicant(s)/Patent Under Reexamination Nguyen et al.
	Examiner HAO D MAI	Art Unit 3772

Search Notes		
Search Notes	Date	Examiner
Inventor Search	11/16/2015	HDM
Review searches of parent case 14/100323	11/16/2015	HDM
Update Search	06/20/2016	HDM
Update Search	02/20/2017	HDM
Update Search	10/16/2017	HDM
Update Search	09/30/2018	HDM
Update Search	07/08/2019	HDM
Update Search	01/06/2020	HDM
Update Search	11/21/2020	HDM
Update Search	04/24/2021	HDM
Update Search	12/04/2021	HDM
Update PE2E Search	11/18/2022	HDM
Interference text search	11/18/2022	HDM

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner
A61C	17/0208,08	11/18/2022	HDM

/Hao D Mai/ Examiner, Art Unit 3772	Solmetex Ex. 2021 Ascentcare Dental Products v. Solmetex - IPR2025-01059
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Issue Classification 	Application/Control No. 14/228,061	Applicant(s)/Patent Under Reexamination Nguyen et al.
	Examiner HAO D MAI	Art Unit 3772

CPC						
Symbol					Type	Version
A61C	/	17	/	0208	F	2013-01-01
A61C	/	17	/	08	I	2019-05-01

CPC Combination Sets							
Symbol				Type	Set	Ranking	Version
	/		/				

/Hao D Mai/ Examiner, Art Unit 3772 (Assistant Examiner)	18 November 2022 (Date)	Total Claims Allowed: 18	
/NICHOLAS D LUCCHESI/ Primary Examiner, Art Unit 3772 (Primary Examiner)	19 November 2022 (Date)	O.G. Print Claim(s) 1	O.G. Print Figure 1x. 2021

Issue Classification 	Application/Control No. 14/228,061	Applicant(s)/Patent Under Reexamination Nguyen et al.
	Examiner HAO D MAI	Art Unit 3772

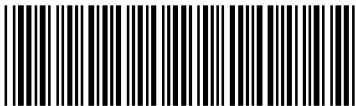
INTERNATIONAL CLASSIFICATION			
CLAIMED			
A61C	/	17	/ 02
A61C	/	17	/ 08

NON-CLAIMED			
	/		/

US ORIGINAL CLASSIFICATION	
CLASS	SUBCLASS

CROSS REFERENCES(S)						
CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)					

/Hao D Mai/ Examiner, Art Unit 3772 (Assistant Examiner)	18 November 2022 (Date)	Total Claims Allowed: 18	
/NICHOLAS D LUCCHESI/ Primary Examiner, Art Unit 3772 (Primary Examiner)	19 November 2022 (Date)	O.G. Print Claim(s) 1	O.G. Print Figure 1x. 2021

Issue Classification 	Application/Control No. 14/228,061	Applicant(s)/Patent Under Reexamination Nguyen et al.
	Examiner HAO D MAI	Art Unit 3772

☐ Claims renumbered in the same order as presented by applicant
 ☐ CPA
 ☒ T.D.
 ☐ R.1.47

CLAIMS															
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
	1	11	10	16	19										
	2	12	11	17	20										
2	3	13	12	1	21										
3	4		13	5	22										
4	5		14	6	23										
7	6		15	18	24										
8	7		16												
9	8	14	17												
10	9	15	18												

/Hao D Mai/ Examiner, Art Unit 3772 (Assistant Examiner)	18 November 2022 (Date)	Total Claims Allowed: 18
/NICHOLAS D LUCCHESI/ Primary Examiner, Art Unit 3772 (Primary Examiner)	19 November 2022 (Date)	O.G. Print Claim(s) 1 O.G. Print Figure 1 Solmetex Ex. 2021

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number	14/228,061	
				Filing Date	March 27, 2014	
				First Named Inventor:	Thien Nguyen	
				Art Unit	3772	
				Examiner Name	Hao D. Mai	
Sheet	1	of	1	Attorney Docket Number	INCEP-001COE	
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code² (If known)				
		US-				
		US-				
		US-				
		US-				
		US-				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code³ Number⁴ Kind Codes (if known)					
		JP 2022-533276		7/21/2022	Dryshield, LLC		

Examiner Signature	/Hao D Mai/	Date Considered	11/10/2022
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 3.

PATENT
INCEP-001COE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3772

Examiner: Hao D. Mai

Serial No.: 14/228,061

Filed: March 27, 2014

In re Application of: Thien Nguyen

Confirmation No.: 6191

Customer No.: 138718

Title: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

List of Co-Pending Patents or Patent Applications That May Be Directed Towards Similar
Subject Matter

Examiner's Initials	Application Number	Docket Number	First- Named Inventor	Title	Filing Date
	16/870,745	INCEP-005	Thien Nguyen	DENTAL MOUTHPIECE	May 8, 2020
Examiner's Signature:	/Hao D Mai/				Date: 11/18/2022

Respectfully submitted,
POL SINELLI LLP

Dated: August 18, 2020

By: _____/Ruby L. Chung/_____
Ruby L. Chung (Reg. No. 76,809)
Polsinelli LLP
Three Embarcadero Center, Suite 2400
San Francisco, CA 94111
415-248-2100 (Main)
patentdocketing@polsinelli.comSolmetex Ex. 2021
Ascentcare Dental Products v. Solmetex - IPR2025-01059

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
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				Filing Date	March 27, 2014	
				First Named Inventor:	Thien Nguyen	
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				Examiner Name	Hao D. Mai	
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Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-				
		US-				
		US-				
		US-				
		US-				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code ³ Number ⁴ Kind Codes (if known)				
		EP 3965637 (corresponds to WO 2020/231864)	3/16/2022	Dryshield, LLC		

Examiner Signature	/Hao D Mai/	Date Considered	11/10/2022
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Ascentcare Dental Products v. Solmetex - IPR2025-01059

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				Filing Date	March 27, 2014	
				First Named Inventor:	Thien Nguyen	
				Art Unit	3772	
				Examiner Name	Hao D. Mai	
Sheet	1	of	2	Attorney Docket Number	INCEP-001COE	
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-	D962,438	8/30/2022	Nguyen	
		US-	D962,439	8/30/2022	Nguyen	
		US-				
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FOREIGN PATENT DOCUMENTS							
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		Country Code ³ Number ⁴ Kind Codes (if known)					

Examiner Signature	/Hao D Mai/	Date Considered	11/10/2022
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<div>Substitute for Form 1449/PTO</div> <div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div>		Complete if Known	
		Application Number	14/228,061
		Filing Date	March 27, 2014
		First Named Inventor:	Thien Nguyen
		Art Unit	3772
		Examiner Name	Hao D. Mai
Sheet 2 of 2	Attorney Docket Number	INCEP-001COE	
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Docket No. INCEP-001COD U.S. Patent Application 14/228,057; Office Action mailed 9/2/22	

Examiner Signature	/Hao D Mai/	Date Considered	11/10/2022
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'Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English Translation is attached.

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If you need assistance in completing the form, call 1-800-351-9999, or visit the website [www.uspto.gov](#). **Assoc to Am Dental Products v Solmetex - IPR2025-01059**

PE2E SEARCH - Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	British Equivalents	Time Stamp
L196	2	(d962438 d962439).pn.	(US-PGPUB; USPAT; USOCR; FIT (AU, AP, AT, BE, BG, BR, BY, CA, CH, CN, CS, CU, CZ, DD, DE, DK, EA, EE, EP, ES, FI, FR, GB, HR, HU, ID, IE, IL, IS, IT, JP, KR, LT, LU, LV, MA, OA, RU, SU, WO, MC, MD, MY, NL, NO, NZ, PH, PL, PT, RO, RS, SE, SG, SI, SK, TH, TN, TR, TW, UA, VN); FPRS; EPO; JPO; DERWENT; IBM_TDB)	OR	ON	ON	2022/11/10 07:03 PM
L197	9	(D267586 "4975057" "5730599" "6652276" "6655960" "6716029" "10939279" "20180153637" "20200383560").pn.	(US-PGPUB; USPAT)	OR	ON	ON	2022/11/10 07:06 PM
L198	8	("5720275" "6672305" "10390734" "10390916" "20120015317" "20170156831" "20170156833" "20200155284").pn.	(US-PGPUB; USPAT)	OR	ON	ON	2022/11/10 07:09 PM
L199	1093	A61C17/0208,08.cpc.	(US-PGPUB; USPAT)	OR	ON	ON	2022/11/10 09:19 PM
L200	6112	A61C17/0208,08.cpc.	(US-PGPUB; USPAT; USOCR; FIT (AU, AP, AT, BE, BG, BR, BY, CA, CH, CN, CS, CU, CZ, DD, DE, DK, EA, EE, EP, ES, FI, FR, GB, HR, HU, ID, IE, IL, IS, IT, JP, KR, LT, LU, LV, MA, OA, RU, SU, WO, MC, MD, MY, NL, NO, NZ, PH, PL, PT, RO, RS, SE, SG, SI, SK, TH, TN, TR, TW, UA, VN); FPRS; EPO; JPO; DERWENT; IBM_TDB)	OR	ON	ON	2022/11/10 09:26 PM
L201	1	L200 AND ((suction OR vacuum)(prop)(wave)).c lm.	(US-PGPUB; USPAT; USOCR; FIT (AU, AP, AT, BE, BG, BR, BY, CA, CH, CN, CS, CU, CZ, DD, DE, DK, EA, EE, EP, ES, FI, FR, GB, HR, HU, ID, IE, IL, IS, IT, JP, KR, LT, LU, LV, MA, OA, RU, SU, WO, MC, MD, MY, NL, NO, NZ, PH, PL, PT, RO, RS, SE, SG, SI, SK, TH, TN, TR, TW, UA, VN); FPRS; EPO; JPO; DERWENT; IBM_TDB)	SAME	ON	ON	2022/11/10 09:28 PM

L202	146	L200 AND ((wave)).clm.	EE, EP, ES, FI, FR, GB, HR, HU, ID, IE, IL, IS, IT, JP, KR, LT, LU, LV, MA, OA, RU, SU, WO, MC, MD, MY, NL, NO, NZ, PH, PL, PT, RO, RS, SE, SG, SI, SK, TH, TN, TR, TW, UA, VN); FPRS; EPO; JPO; DERWENT; IBM_TDB)	SAME	ON	ON	2022/11/10 09:28 PM
L203	3	L200 AND ((wave) protrusion).clm.	(US-PGPUB; USPAT; USOCR; FIT (AU, AP, AT, BE, BG, BR, BY, CA, CH, CN, CS, CU, CZ, DD, DE, DK, EA, EE, EP, ES, FI, FR, GB, HR, HU, ID, IE, IL, IS, IT, JP, KR, LT, LU, LV, MA, OA, RU, SU, WO, MC, MD, MY, NL, NO, NZ, PH, PL, PT, RO, RS, SE, SG, SI, SK, TH, TN, TR, TW, UA, VN); FPRS; EPO; JPO; DERWENT; IBM_TDB)	SAME	ON	ON	2022/11/10 09:28 PM

PE2E SEARCH - Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	British Equivalents	Time Stamp
N63	1093	A61C17/0208,08.cpc.	(US-PGPUB; USPAT)	OR	ON	ON	2022/11/10 09:26 PM
N64	1	N63 AND ((wave) protrusion).clm.	(US-PGPUB; USPAT)	SAME	ON	ON	2022/11/10 09:30 PM
N66	7	N63 AND ((wave) bridge).clm.	(US-PGPUB; USPAT)	SAME	ON	ON	2022/11/10 09:30 PM

Solmetex Ex. 2021

Ascentcare Dental Products v. Solmetex - IPR2025-01059

Bibliographic Data

Application No: 14/228,061

Foreign Priority claimed: ☐ Yes ☒ No

35 USC 119 (a-d) conditions met: ☐ Yes ☐ No ☐ Met After Allowance

Verified and Acknowledged:
Examiner's Signature Initials

Title:

FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
03/27/2014	433	3772	
RULE			

APPLICANTS

Dryshield, LLC, Fountain Valley, CA, UNITED STATES

INVENTORS

Thien Nguyen, Santa Ana, CA, UNITED STATES

Tam Thanh Pham, San Francisco, CA, UNITED STATES

CONTINUING DATA

This application is a CON of 14100323 12/09/2013 PAT 8911232

14100323 has PRO of 61734939 12/07/2012

FOREIGN APPLICATIONS

IF REQUIRED, FOREIGN LICENSE GRANTED**

04/18/2014

**** SMALL ENTITY ****

STATE OR COUNTRY

UNITED STATES

ADDRESS

FILING FEE RECEIVED

\$730

Doc code: IDS

PTO/SB/08a (02-18)

Doc description: Information Disclosure Statement (IDS) Filed

Approved for use through 11/30/2020. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	14228061
Filing Date	2014-03-27
First Named Inventor	NGUYEN; Thien
Art Unit	3772
Examiner Name	MAI, HAO D
Attorney Docket Number	084892-514248

U.S.PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5720275		1998-02-24	PATIL et al.	
	2	6672305		2004-01-06	PARKER; Jeffrey D.	
	3	10390734		2019-08-27	JOHNSON et al.	
	4	10390916		2019-08-27	RASSIBI; Edmond	
If you wish to add additional U.S. Patent citation information please click the Add button.						Add

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Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20120015317		2012-01-19	MILO; Anton G. C.	
	2	20170156831		2017-06-08	REYES; Hari Mark	

Solmetex Ex. 2021
Ascentcare Dental Products v. Solmetex - IPR2025-01059

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Attorney Docket Number	084892-514248

3	20170156833	2017-06-08	REYES; Hari Mark
4	20200155284	2020-05-21	BAKER; Gary

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	2992161	FR	A1	2013-12-27	BRAUD VINCENT [FR]		
	2	100654392	KR	B1	2006-12-06	KIM JAE SOO [KR]		×
	3	0042939	WO	A1	2000-07-27	ENGERON MICHAEL G [US]		☐
	4	0061031	WO	A1	2000-10-19	COSTELLO WILLIAM J [US]		☐
	5	2018126150	WO	A1	2018-07-05	NOVO DENT LLC [US]		☐

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
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Solmetex Ex. 2021
Ascentcare Dental Products v. Solmetex - IPR2025-01059

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(Not for submission under 37 CFR 1.99)

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First Named Inventor	NGUYEN; Thien
Art Unit	3772
Examiner Name	MAI, HAO D
Attorney Docket Number	084892-514248

1	Docket No. INCEP-001COC U.S. Patent Application 14/228,054; Final Office Action mailed 1-3-22.
2	Docket No. INCEP-005 U.S. Patent Application 16/870,745 Non-Final Office Action mailed 12-3-21.

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature	/Hao D Mai/	Date Considered	11/10/2022
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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

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First Named Inventor	NGUYEN; Thien
Art Unit	3772
Examiner Name	MAI, HAO D
Attorney Docket Number	084892-514248

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☒ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Tam Thanh Pham/	Date (YYYY-MM-DD)	2022-03-02
Name/Print	Tam Thanh Pham	Registration Number	50565

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The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Solmetex Ex. 2021

Ascentcare Dental Products v. Solmetex - IPR2025-01059

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	14/228,061
				Filing Date	March 27, 2014
				First Named Inventor:	Thien Nguyen
				Art Unit	3772
				Examiner Name	Hao D. Mai
Sheet	1	of	2	Attorney Docket Number	INCEP-001COE

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-	D666,726	9/4/2012	Davis et al.	
		US-	D735,858	8/4/2015	Hirsch et al.	
		US-	D737,964	9/1/2015	Jessop et al.	
		US-	D782,047	3/21/2017	Ritter	
		US-	D782,048	3/21/2017	Ritter	
		US-	D787,069	5/16/2017	Ritter	
		US-	D787,070	5/16/2017	Ritter	
		US-	D868,958	12/3/2019	Reyes	
		US-	1,731,322	10/15/2029	Riddle	
		US-	5,009,595	4/23/1991	Osborn	
		US-	5,588,836	12/31/1996	Landis et al.	
		US-	6,241,521	6/5/2001	Garrison	
		US-	9,968,341	5/15/2018	Ritter	
		US-	2020/0352680	11/12/2020	Nguyen	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code³ Number⁴ Kind Codes (if known)				
		WO 2020/231864	11/19/2020	Dryshield, LLC		

Examiner Signature	/Hao D Mai/	Date Considered	11/18/2022
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	14/228,061
		Filing Date	March 27, 2014
		First Named Inventor:	Thien Nguyen
		Art Unit	3772
		Examiner Name	Hao D. Mai
Sheet	2	of	2
		Attorney Docket Number	INCEP-001COE
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Docket No. INCEP-001COA U.S. Patent Application 14/228,046; Final Office Action mailed 11/27/20	
		Docket No. INCEP-001COC U.S. Patent Application 14/228,054; Final Office Action mailed 12/22/20	
		Docket No. INCEP-001COD U.S. Patent Application 14/228,057; Office Action mailed 5/5/21	
		Docket No. INCEP-004DCOA U.S. Patent Application 29/477,888 Office Action mailed 3/21/17	

Examiner Signature	/Hao D Mai/	Date Considered	11/18/2022
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'Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English Translation is attached.

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SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

If you need assistance in completing the form, call 1-800-351-9009, or visit the USPTO website at www.uspto.gov. **Salmetex - IPR2025-01059**

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	14/228,046
				Filing Date	March 27, 2014
				First Named Inventor:	Thien Nguyen
				Art Unit	3772
				Examiner Name	Hao D. Mai
Sheet	1	of	2	Attorney Docket Number	INCEP-001COA

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code ³ Number ⁴ Kind Codes (if known)					
		CA 3,139,689		11/19/2020	Dryshield, LLC		
		TW 202108088		3/1/2021	Dryshield, LLC		

Examiner Signature	/Hao D Mai/	Date Considered	11/10/2022
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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Examiner Signature	/Hao D Mai/	Date Considered	11/10/2022
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If you need assistance in completing the form, call 1-800-421-9912 and select option 2.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number	14/228,061	
				Filing Date	March 27, 2014	
				First Named Inventor:	Thien Nguyen	
				Art Unit	3772	
				Examiner Name	Hao D. Mai	
Sheet	1	of	2	Attorney Docket Number	INCEP-001COE	
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code² (If known)				
		US-	D267,586	1/11/1983	Hatlen	
		US-	4,975,057	12/4/1990	Dyfvermark	
		US-	5,730,599	3/24/1998	Pak	
		US-	6,652,276	11/25/2003	Fischer et al.	
		US-	6,655,960	12/2/2003	Fischer	
		US-	6,716,029	4/6/2004	Fischer et al.	
		US-	10,939,979	3/9/2021	Lombardi	
		US-	2018/0153637	6/7/2018	Al-Shawi et al.	
		US-	2020/0383560	12/10/2020	Day	
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code³ Number⁴ Kind Codes (if known)					
		CN 104490483		4/8/2015	Huanghua Kangtian Medical Device		

Examiner Signature	/Hao D Mai/	Date Considered	11/10/2022
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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	14/228,061
		Filing Date	March 27, 2014
		First Named Inventor:	Thien Nguyen
		Art Unit	3772
		Examiner Name	Hao D. Mai
Sheet	2	of	2
		Attorney Docket Number	INCEP-001COE
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Docket No. INCEP-005 U.S. Patent Application 16/870,745 Final Office Action mailed 5/12/22	
		Docket No. INCEP-009D U.S. Patent Application 29/782,644 Final Office Action mailed 5/11/22	
		Docket No. INCEP-010D U.S. Patent Application 29/782,643 Final Office Action mailed 5/11/22	

Examiner Signature	/Hao D Mai/	Date Considered	11/10/2022
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'Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English Translation is attached.

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If you need assistance in completing the form, call 1-800-351-9999 or visit www.uspto.gov. **Salmetex - IPR2025-01059**

Electronic Acknowledgement Receipt

EFS ID:	47418610
Application Number:	14228061
International Application Number:	
Confirmation Number:	6191
Title of Invention:	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP
First Named Inventor/Applicant Name:	Thien Nguyen
Customer Number:	138718
Filer:	Ruby Lim Chung/Lea Fong
Filer Authorized By:	Ruby Lim Chung
Attorney Docket Number:	INCEP-001COE
Receipt Date:	24-JAN-2023
Filing Date:	27-MAR-2014
Time Stamp:	23:19:04
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	INCEP-001COE_IDS_Statement _1-24-23.pdf Ascentcare Dental	96267 5d065fb3b5ef62b462dcd11cb9112ec8f0a8ab2e	no Solmetex	2 Ex. 2021 2025-01059

Warnings:

Information:					
2	Information Disclosure Statement (IDS) Form (SB08)	INCEP-001COE_IDS_1449_1-24 -23.pdf	186598	no	1
			8e267ce332624fa0afee3ec6db7ebe589793 989e		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
Total Files Size (in bytes):			282865		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3772

Examiner: Hao D. Mai

Serial No.: 14/228,061

Filed: March 27, 2014

In re Application of: Thien Nguyen

Confirmation No.: 6191

Customer No.: 138718

Title: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. § 1.56 and 1.97-1.98

Examiner:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08, listing several references. The Examiner is requested to make these references of official record in the application.

The references cited may be material to examination of the application and are submitted in compliance with the Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference an admission that it is prior art or pertinent to this application.

Respectfully submitted,
POLSINELLI LLP

Dated: January 24, 2023

By: _____/Ruby L. Chung/_____
Ruby L. Chung (Reg. No. 76,809)
Polsinelli LLP
Three Embarcadero Center, Suite 2400
San Francisco, CA 94111
415-248-2100 (Main)
patentdocketing@polsinelli.com

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c).

I hereby appoint:



Practitioners associated with Customer Number:

138718

OR

Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

Name	Registration Number

As attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(c).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(c) to:



The address associated with Customer Number: 138718

OR

Firm or individual name

Address

City

State

Zip

Country

Telephone

Email

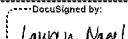
Assignee name and address: Solmetex, LLC
50 Bearfoot Rd.
Northborough, MA 01532

A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of the practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee.

Signature


PRO1A2 (10/1/2014)

Date 1/10/2023

Name Lauren Nagel

Telephone

Title Chief Operating Officer

This collection of information is required by 37 CFR 1.31, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public, which is to update (and by the USPTO to process) the file of a patent or reexamination proceeding. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 18 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 800-PTO-4517 or visit www.uspto.gov/patents

Solmetex Ex. 2021

Ascentcare Dental Products v. Solmetex - IPR2025-01059

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)Applicant/Patent Owner: SOLMETEX, LLCApplication No./Patent No.: 14/228,061 Filed/Issue Date: 03-27-2014Titled: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROPSOLMETEX, LLC, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.
2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):
- ☐ The extent (by percentage) of its ownership interest is _____. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Inventors To: INCEPT, INC.The document was recorded in the United States Patent and Trademark Office at
Reel 032545, Frame 0891, or for which a copy thereof is attached.2. From: INCEPT INCORPORATED To: DRYSHIELD, LLCThe document was recorded in the United States Patent and Trademark Office at
Reel 042916, Frame 0954, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Solmetex Ex. 2021
Ascentcare Dental Products v. Solmetex - IPR2025-01059

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(c)3. From: DRYSHIELD, LLC To: SOLMETEX, LLCThe document was recorded in the United States Patent and Trademark Office at
Reel 060107, Frame 0030, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet(s).☒ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Ruby Chung/1-23-23

Signature

Date

Ruby Chung76809

Printed or Typed Name

Title or Registration Number

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3772

Examiner: Hao D. Mai

Serial No.: 14/228,061

Filed: March 27, 2014

In re Application of: Thien Nguyen

Confirmation No.: 6191

Customer No.: 138718

Title: INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

APPLICANT'S COMMENTS ON REASONS FOR ALLOWANCE

Examiner Mai:

These comments are responsive to the Notice of Allowance mailed on November 30, 2022, which sets forth the Examiner's statement of reasons for allowance.

The Examiner is thanked for the kind allowance of all pending claims. Applicant acknowledges the Examiner's statement of reasons for allowance as set forth in the Notice of Allowance. However, Applicant points out that the reasons for allowability of the above referenced claims are not limited to the reasons for allowance as set forth in the Notice of Allowance, and that additional reasons for allowability may exist, each of which may be independently sufficient to establish the patentability of one or more pending claims.

Applicant respectfully reserves the right to introduce, articulate, or otherwise comment on any such additional reasons for allowance as may be appropriate in any future proceedings concerning the claimed invention.

Respectfully submitted,
POLSINELLI LLP

Dated: January 23, 2023

By: /Ruby Chung/
Ruby Chung (Reg. No. 76809)
POLSINELLI LLP
Three Embarcadero Center, Suite 2400
San Francisco, CA 94111
415-248-2100 (Main)
patentdocketing@polsinelli.com

Electronic Acknowledgement Receipt

EFS ID:	47411203
Application Number:	14228061
International Application Number:	
Confirmation Number:	6191
Title of Invention:	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP
First Named Inventor/Applicant Name:	Thien Nguyen
Customer Number:	138718
Filer:	Ruby Lim Chung/Cheri Houle
Filer Authorized By:	Ruby Lim Chung
Attorney Docket Number:	INCEP-001COE
Receipt Date:	25-JAN-2023
Filing Date:	27-MAR-2014
Time Stamp:	13:38:42
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	INCEP-001COE_POA_1-23-23.pdf Ascentcare Dental	233688 0241c074539429a6948ca3d658dbe65c3fc4f8d2	no Solmetex	2 Ex. 2021 2025-01059

Warnings:

Information:					
2	Assignee showing of ownership per 37 CFR 3.73	INCEP-001COE_373_STMT_1-23-23.pdf	111370	no	3
			3bfa1d91fc0bed1537fdad4188db83edf43e bc3b		
Warnings:					
Information:					
3	Miscellaneous Incoming Letter	INCEP-001COE_COMMENTS_1-23-23.pdf	108732	no	2
			df1ccfd584b1d3ebda980a6a9f5f9ec2f7785 8d2		
Warnings:					
Information:					
Total Files Size (in bytes):			453790		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					

CORRECTED ADS FORM

Application Number	14228061
Title of Invention	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

Inventor Information

****If no data is shown, no data has been corrected****

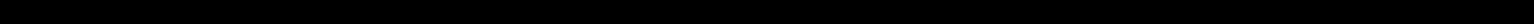
	Data of Record	Updated Data
Order Number	1	
Name	Thien Nguyen	

Residence Information

Residency	us-residency	
City	Santa Ana	
State	CA	
Country of Residence	US	

Mailing Address of Inventor

Address 1	11230 GRACE AVE.	
Address 2	SUITE B	
City,State/Province, Postal Code	FOUNTAIN VALLEY CA 92708	
Country	US	



	Data of Record	Updated Data
Order Number	2	
Name	Tam Thanh Pham	

Residence Information

Residency	us-residency	
City	San Francisco	
State	CA	
Country of Residence	US	

Mailing Address of Inventor

Address 1		<u>11230 GRACE AVE.</u>
Address 2		<u>SUITE B</u>
City,State/Province, Postal Code		<u>FOUNTAIN VALLEY CA 92708</u>
Country		<u>US</u>

Application Information

	Data of Record	Updated Data
Title of Invention	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP	
Attorney Docket Number	INCEP-001COE	
Entity Type	Small	

Domestic Benefit/National Stage Information

****If no data is shown, no data has been corrected****

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121,365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a).

		Data of Record	Updated Data
	Prior Application Status	patented	
	Application Number	14228061	
	Continuity Type	CON	
	Prior Application Number	14100323	
	Filing Date (YYYY-MM-DD)	2013-12-09	
	Patent Number	8911232	
	Issue Date (YYYY-MM-DD)	2014-12-16	
		Data of Record	Updated Data
	Prior Application Status	pending	<u>expired</u>
	Application Number	14100323	
	Continuity Type	PRO	
	Prior Application Number	61734939	
	Filing Date (YYYY-MM-DD)	2012-12-07	
	Patent Number		
	Issue Date (YYYY-MM-DD)		

Foreign Priority Information

****If no data is shown, no data has been corrected****

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

		Data of Record	Updated Data
	Application Number		
	Country		
	Filing Date		
	Access Code		

Applicant Information

****If no data is shown, no data has been corrected****

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

	Data of Record	Updated Data
Applicant Type	ASG	
If applicant is the legal representative, indicate the authority to file the patent application, the inventor is		
Name of the Deceased or Legally Incapacitated Inventor		
Applicant is an Organization	Yes	
Name		
Organization Name	Dryshield, LLC	<u>SOLMETEX, LLC</u>
Address 1		<u>50 BEARFOOT RD</u>
Address 2		
City,State/Province,Postal Code	Fountain Valley CA 92708	<u>NORTHBOROUGH MA 01532</u>
Country	US	
Phone Number		
Fax Number		

Solmetex Ex. 2021

Ascentcare Dental Products v. Solmetex - IPR2025-01059

Email Address		
---------------	--	--

Assignee Information including Non-Applicant Assignee Information

****If no data is shown, no data has been corrected****
Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office

	Data of Record	Updated Data
Order		
Applicant is an Organization		
Name		
Organization Name		

Mailing Address

Address 1		
Address 2		
City,State/Province,Postal Code		
Country		
Phone Number		
Fax Number		
Email Address		

Signature

NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b).

This Application Data Sheet **must** be signed by a patent practitioner if one or more of the applicants is a **juristic entity** (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, **all** joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of **all** joint inventor-applicants.

Solmetex Ex. 2021
Ascentcare Dental Products v. Solmetex - IPR2025-01059

See 37 CFR 1.4(d) for the manner of making signatures and certifications.
Corrected ADS 1.0

Signature	/Ruby Chung/	Registration Number	76809
First Name	Ruby	Last Name	Chung

Electronic Acknowledgement Receipt	
EFS ID:	47411245
Application Number:	14228061
International Application Number:	
Confirmation Number:	6191
Title of Invention:	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP
First Named Inventor/Applicant Name:	Thien Nguyen
Customer Number:	138718
Filer:	Ruby Lim Chung/Cheri Houle
Filer Authorized By:	Ruby Lim Chung
Attorney Docket Number:	INCEP-001COE
Receipt Date:	25-JAN-2023
Filing Date:	27-MAR-2014
Time Stamp:	13:41:59
Application Type:	Utility under 35 USC 111(a)

Payment information:					
Submitted with Payment			no		
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Application Data Sheet to update/ correct info	CorrectedADS.pdf Ascentcare Dental	125958	no	6
			74333b0c58195ec2a2a259215d8ed218c0f77e09 Products v. Solmetex		
Warnings:					

Solmetex Ex. 2021
25-01059

Information:	
Total Files Size (in bytes):	125958
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>	

FEE ADDRESS INDICATION FORM

Application Number	14228061	Art Unit	3772
Filing Date	27-Mar-2014	Examiner Name	HAO MAI
First Name Inventor	Thien Nguyen	Attorney Doc. Number	INCEP-001COE

INSTRUCTIONS: In order for the fee address identified on this form to be effective, the issue fee must have been paid for the application listed on this form. Only an address represented by a customer number can be established as the fee address for maintenance fee purposes (hereafter, fee address). A fee address should be established when correspondence related to maintenance fees should be mailed to a different address than the correspondence address for the application. For more information on customer numbers, see the Manual of Patent Examining Procedure (MPEP) § 403.

Please recognize as the Fee Address under the provisions of 37 CFR 1.363 the address associated with:

Customer Number:	138718 Polsinelli LLP PO Box 140310 Kansas City MO 64114-0310 UNITED STATES 4152482116 sfpatent@polsinelli.com
------------------	--

Signature :

I certify, in accordance with 37 CFR 1.4(d)(4) that I am an attorney or agent registered to practice before the Patent and Trademark Office who has filed and has been granted power of attorney in this application.

Signature	/Ruby Chung/	Date	01-23-2023
Name	Ruby Lim Chung	Registration Number	76809

Electronic Patent Application Fee Transmittal

Application Number:	14228061			
Filing Date:	27-Mar-2014			
Title of Invention:	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP			
First Named Inventor/Applicant Name:	Thien Nguyen			
Filer:	Ruby Lim Chung/Cheri Houle			
Attorney Docket Number:	INCEP-001COE			
Filed as Small Entity				
Filing Fees for Utility under 35 USC 111(a)				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
UTILITY APPL ISSUE FEE	2501	1	600	600
PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL	1504	1	0	0
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				600

Electronic Acknowledgement Receipt

EFS ID:	47411288
Application Number:	14228061
International Application Number:	
Confirmation Number:	6191
Title of Invention:	INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP
First Named Inventor/Applicant Name:	Thien Nguyen
Customer Number:	138718
Filer:	Ruby Lim Chung/Cheri Houle
Filer Authorized By:	Ruby Lim Chung
Attorney Docket Number:	INCEP-001COE
Receipt Date:	25-JAN-2023
Filing Date:	27-MAR-2014
Time Stamp:	13:47:05
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	\$600
RAM confirmation Number	E202310D47034250
Deposit Account	501662
Authorized User	Cheri Houle

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Ex. 2021
37 CFR 1.20 (Post Issuance fees) Ascentcare Dental Products v. Solmetex - IPR2025-01059
37 CFR 1.21 (Miscellaneous fees and charges)

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	Web85b.pdf	62979	no	2
			4b0168beaf8d364b6227e2e0cb139a91579ec524		
Warnings:					
Information:					
2	Maintenance Fee Address Change	web85feeaddress.pdf	42265	no	1
			6836c21cd4cf9c3b0369272252781c6318deaa3a0		
Warnings:					
Information:					
3	Fee Worksheet (SB06)	fee-info.pdf	40130	no	2
			fdfa3bad44868feae9610be8fb5402622aa45582a		
Warnings:					
Information:					
Total Files Size (in bytes):			145374		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					

Document Description: Issue Fee Payment (PTO-85B)

Issue Fee Transmittal Form

Application Number	Filing Date	First Named Inventor	Atty. Docket No.	Confirmation No.
14228061	27-Mar-2014	Thien Nguyen	INCEP-001COE	6191

TITLE OF INVENTION :

INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

Entity Status	Application Type	Art Unit	Class - Subclass	EXAMINER
Small	Utility under 35 USC 111(a)	3772	093000	HAO MAI
Issue Fee Due	Publication Due	Total Fee(s) Due	Date Due	Prev. Paid Fee
\$600	\$0	\$600	28-Feb-2023	\$0

1.Change of Correspondence Address and/or Indication Of Fee Address (37 CFR 1.33 & 1.363)

Current Correspondence Address:	Current Indicated Fee Address :
138718 Polsinelli LLP PO Box 140310 Kansas City MO 64114-0310 UNITED STATES 4152482116 patentdocketing@polsinelli.com	
☐ Change of correspondence address requested, system generated AIA/122-EFS form attached	☒ Fee Address indication requested, system generated SB/47-EFS form attached

2.Entity Status**Change in Entity Status**

- ☐ Applicant certifying micro entity status; system generated Micro Entity certification form attached. See 37 CFR 1.29.
Note: Absent a valid certification of micro entity status, issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
If this box is checked, you will be prompted to choose a micro entity status on the gross income basis (37 CFR 1.29(a)) or the institution of higher education basis (37 CFR 1.29(d)), and make the applicable certification online.
- ☒ Applicant asserting small entity status. See 37 CFR 1.27.
Note: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.
- ☐ Applicant changing to regular undiscounted fee status.
Note: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

Solmetex Ex. 2021
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Document Description: Issue Fee Payment (PTO-85B)

3.The Following Fee(s) Are Submitted:

☒ Issue Fee

☐ I authorize USPTO to apply my previously paid issue fee to the current fees due

☐ Publication Fee

☐ The Director is hereby authorized to apply my previously paid issue fee to the current fee due and to charge deficient fees to Deposit Account Number _____

☐ Advance Order - # of copies _____

☒ If in **addition** to the payment of the issue fee amount submitted with this form, there are any discrepancies in any amount(s) due, the Director is authorized to charge any deficiency, or credit any overpayment, to Deposit Account Number 501682.
The **issue fee must be submitted** with this form. **If payment of the issue fee does not accompany this form, checking this box and providing a deposit account number will NOT be effective to satisfy full payment of the fee(s) due.**

4.Firm and/or Attorney Names To Be Printed

NOTE: If no name is listed, no name will be printed
For printing on the patent front page, list to be displayed as entered

1. POLSINELLI LLP
2.
3.

5.Assignee Name(s) and Residence Data To Be Printed

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

Name	City	State	Country	Category
SOLMETEX, LLC	NORTHBOROUGH	MASSACHUSETTS	UNITED STATES	corporation

6.Signature

I certify, in accordance with 37 CFR 1.4(d)(4) that I am an attorney or agent registered to practice before the Patent and Trademark Office who has filed and has been granted power of attorney in this application. I also certify that this Fee(s) Transmittal form is being transmitted to the USPTO via EFS-WEB on the date indicated below.

Signature	/Ruby Chung/	Date	01-23-2023
Name	Ruby Lim Chung	Registration Number	76809

Solmetex Ex. 2021

Ascentcare Dental Products v. Solmetex - IPR2025-01059



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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/228,061	03/27/2014	Thien Nguyen	INCEP-001COE

CONFIRMATION NO. 6191

POA ACCEPTANCE LETTER

138718
Polsinelli LLP
PO Box 140310
Kansas City, MO 64114-0310



Date Mailed: 01/30/2023

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/25/2023.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/rmohamed/



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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
14/228,061	03/27/2014	3772	800	INCEP-001COE	20	1

138718
Polsinelli LLP
PO Box 140310
Kansas City, MO 64114-0310

CONFIRMATION NO. 6191
CORRECTED FILING RECEIPT



Date Mailed: 01/30/2023

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

Inventor(s)

Thien Nguyen, Santa Ana, CA;
Tam Thanh Pham, San Francisco, CA;

Applicant(s)

SOLMETEX, LLC, NORTHBOROUGH, MA;

Power of Attorney: The patent practitioners associated with Customer Number 138718

Domestic Priority data as claimed by applicant

This application is a CON of 14/100,323 12/09/2013 PAT 8911232
which claims benefit of 61/734,939 12/07/2012

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <http://www.uspto.gov> for more information.) - None.

Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: No

Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 04/18/2014

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 14/228,061**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

INTRAORAL DEVICE WITH DETACHABLE MOUTH PROP

Preliminary Class

433

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/228,061	03/27/2014	Thien Nguyen	INCEP-001COE	6191
7590 02/01/2023			EXAMINER MAI, HAO D	
Polsinelli LLP PO Box 140310 Kansas City, MO 64114-0310			ART UNIT 3772	
			NOTIFICATION DATE 02/01/2023	
			DELIVERY MODE ELECTRONIC	

NOTICE OF NON-COMPLIANT INFORMATION DISCLOSURE STATEMENT

An Information Disclosure Statement (IDS) filed 1.24.2023 in the above-identified application fails to meet the requirements of 37 CFR 1.97(d) for the reason(s) specified below. Accordingly, the IDS will be placed in the file, but the information referred to therein has not been considered.

The IDS is not compliant with 37 CFR 1.97(d) because:

- ☒ The IDS lacks a statement as specified in 37 CFR 1.97(e).
- ☐ The IDS lacks the fee set forth in 37 CFR 1.17(p).
- ☐ The IDS was filed after the issue fee was paid. Applicant may wish to consider filing a petition to withdraw the application from issue under 37 CFR 1.313(c) to have the IDS considered. See MPEP 1308.

N. Horne, for

571-272-4200 or 1-888-786-0101
Application Assistance Unit
Office of Data Management

Solmetex Ex. 2021
Ascentcare Dental Products v. Solmetex - IPR2025-01059

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	14/228,061
				Filing Date	March 27, 2014
				First Named Inventor:	Thien Nguyen
				Art Unit	3772
				Examiner Name	Hao D. Mai
Sheet	1	of	2	Attorney Docket Number	INCEP-001COE

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-	D666,726	9/4/2012	Davis et al.	
		US-	D735,858	8/4/2015	Hirsch et al.	
		US-	D737,964	9/1/2015	Jessop et al.	
		US-	D782,047	3/21/2017	Ritter	
		US-	D782,048	3/21/2017	Ritter	
		US-	D787,069	5/16/2017	Ritter	
		US-	D787,070	5/16/2017	Ritter	
		US-	D868,958	12/3/2019	Reyes	
Change(s) applied		US-	1,731,322 10-1929	10/15/2020	Riddle	
		US-	5,009,595	4/23/1991	Osborn	
document,		US-	5,588,836	12/31/1996	Landis et al.	
G./		US-	6,241,521	6/5/2001	Garrison	
		US-	9,968,341	5/15/2018	Ritter	
1/2022		US-	2020/0352680	11/12/2020	Nguyen	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code³ Number⁴ Kind Codes (if known)				
		WO 2020/231864	11/19/2020	Dryshield, LLC		

Examiner Signature	/Hao D Mai/	Date Considered	11/18/2022
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Based on Form PTO/SB/08A (08-03)

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /HDM/



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APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/228,061	02/28/2023	11589970	INCEP-001COE	6191

138718 7590 02/08/2023
Polsinelli LLP
PO Box 140310
Kansas City, MO 64114-0310

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Patents Stakeholder Experience (OPSE), Stakeholder Support Division (SSD) at (571)-272-4200.

INVENTOR(s) (Please see PAIR WEB site <http://pair.uspto.gov> for additional inventors):

Thien Nguyen, Santa Ana, CA;
Tam Thanh Pham, San Francisco, CA;

APPLICANT(s) (Please see PAIR WEB site <http://pair.uspto.gov> for additional applicants):

SOLMETEX, LLC, NORTHBOROUGH, MA;

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Ascendire Dental Products v. Solmetex - IP-2025-01059