Examiner-Initiated Interview Summary	Application No. 15/997,091	Applicant(s) Olson et al.		
	Examiner AMBER R ORLANDO	Art Unit 1776	AIA (First Inventor to File) Status No	Page 1 of 2

All participants (applicant, applicants representative, PTO personnel):

1. AMBER R ORLANDO (Primary Examiner); Telephonic 2. Nicholas Lansatella (Attorney); Telephonic

Date of Interview: 15 August 2019

Claims Discussed: 1, 41, 42

Amendment proposed: An amendment to claims 1, 41 and 42 to overcome 112 issues was discussed.

Issues Discussed:

Item(s) under 35 U.S.C. 112:
The examiner stated that essential steps were missing from the method. Specifically how the sorbent is capable of collecting mercury in the gas if the sorbent does not necessarily have to be within the mercury containing gas.

Proposed Amendments:
The applicant suggested changing the claims to state "collecting mercury in the mercury containing gas with a sorbent added to the mercury containing gas, the sorbent comprising activated carbon". The examiner agreed that this would overcome the 112 issue but the pending double patenting rejections preclude the examiner from making the application allowable.

/AMBER R ORLANDO/ Primary Examiner, Art Unit 1776	

Applicant is reminded that a complete written statement as to the substance of the interview must be made of record in the application file. It is the applicants responsibility to provide the written statement, unless the interview was initiated by the Examiner and the Examiner has indicated that a written summary will be provided. See MPEP 713.04
Please further see:
MPEP 713.04
Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews, paragraph (b)
37 CFR § 1.2 Business to be transacted in writing

Applicant recordation instructions: It is not necessary for applicant to provide a separate record of the substance of interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	15/997,091	Olson et al.
	Examiner	Art Unit
	AMBER R ORLANDO	1776

CPC - Searched*		
Symbol	Date	Examiner

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*			
Class	Subclass	Date	Examiner

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	Date	Examiner
Text Search (See east search history)	07/23/2018	AO
Inventor Search (DAV)	07/23/2018	AO
Text Search (See east search history)	10/29/2018	AO
Text Search (See east search history)	01/07/2019	AO
IDS Search (EAST and DAV)	08/17/2019	AO

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner

/AMBER R ORLANDO/ Primary Examiner, Art Unit 1776	/AMBER R ORLANDO/ Primary Examiner, Art Unit 1776

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Substitute for form 1449A/PTO **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Use as many sheets as necessary)	*Complete if Known*	
	Application Number	15/997,091
	Filing Date	June 4, 2018
	First Named Inventor	Edwin S. Olson
	Group Art Unit	1776
	Examiner Name	Amber Orlando
Sheet 1 of 1	Attorney Docket No: 4820.001U10	

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document
	US-10,343,114	7/9/2019	Olson, Edwin S, et al.
	US-20120100053A1	4/26/2012	Durham, Michael D, et al.
	US-20130157845A1	6/20/2013	Nalepa, Christopher J, et al.

FOREIGN PATENT DOCUMENTS

Examiner Initial *	Foreign Document Number	Publication Date	Name of Patentee or Applicant of cited Document	T 1
	WO-2012030560A1	3/8/2012	Nalepa, Christopher J, et al.	

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

Examiner Initial *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 1
	"Application Serial No. 15/382,114, Final Office Action mailed 06-21-19", 31 pgs	
	"Application Serial No. 15/589,359, Advisory Action mailed 05-22-19", 3 pgs	
	"Application Serial No. 15/589,359, Non Final Office Action mailed 06-21-19", 7 pgs	
	"Application Serial No. 15/978,760, Notice of Allowability mailed 05-30-19", 3 pgs	
	"Application Serial No. 15/978,760, Notice of Allowance mailed 05-08-19", 7 pgs	

EXAMINER /AMBER R ORLANDO/ DATE CONSIDERED 08/17/2019

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	"Application Serial No. 15/295,594, Response filed 4-23-19 to Non Final Office Action mailed 10-23-18", 45 pgs	
	"Application Serial No. 15/382,114, Response filed 3-12-19 to Non Final Office Action mailed 12-12-18", 24 pgs	
	"Application Serial No. 15/589,359, Final Office Action mailed 03-01-19", 10 pgs	
	"Application Serial No. 15/589,359, Response filed 04-30-19 to Final Office Action mailed 03-01-19", 11 pgs.	
	"Application Serial No. 15/978,760, Ex Parte Quayle Action mailed 04-19-19", 13 pgs	
	"Application Serial No. 15/978,760, Response filed 03-20-19 to Final Office Action mailed 12-26-18", 90 pgs.	
	"Application Serial No. 15/978,760, Response filed 4-23-19 to Ex Parte Quayle Action mailed 04-19-19", 15 pgs	
	"Coal Combustion Products (CCPs): Characteristics, Utilization and Beneficiation", Edited by Tom Robl, et al., Woodhead Publishing (Elsevier), (2017), 565 pgs	
	"Control of Mercury Emissions from Coal Fired Electric Utility Boilers: An Update", US EPA Air Pollution Prevention and Control Division, National Risk Management Research Laboratory, Office of Research and Development, (February 18, 2005), 59 pgs	
	"Sorbent Enhancement Additives for Mercury Control", US DOE Office of Fossil Energy, National Energy Technology Laboratory, (June 2008), 4 pgs	
	BENSON, STEVEN A., et al., "JV Task 73 - Mercury Control Technologies for Electric Utilities Burning Subbituminous Coals - Draft Final Report", University of North Dakota Energy and Environmental Research Center, (June 2005), 165 pgs	
	BENSON, STEVEN A., et al., "Pilot-and Full-Scale Demonstration of Advanced Mercury Control Technologies for Lignite-Fired Power Plants", University of North Dakota Energy and Environmental Research Center, (February 2005), 97 pgs	
	BERRY, MARK, et al., "Mercury control evaluation of calcium bromide injection into a PRB-fired furnace with an SCR", Proc. of the Air Quality VI Conference, Arlington, VA, (2007), 9 pgs	

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	Examiner Name	Amber Orlando
Sheet 2 of 2	Attorney Docket No: 4820.001U10	

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

Examiner Initial *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 1
	BROWN, THOMAS D., et al., "Mercury Measurement and Its Control: What We Know, Have Learned, and Need to Further Investigate", Journal of the Air and Waste Management Association, 49(6), (June 1999), 98 pgs	
	FEELEY, THOMAS J., et al., "A Review of DOE/NETL's Mercury Control Technology R and D Program for Coal-Fired Power Plants", DOE/NETL Hg R and D Program Review, (April 2003), 32 pgs	
	FRENCH, CHARLES L., et al., "Study of Hazardous Air Pollutant Emissions from Electric Utility Steam Generating Units - Final Report to Congress: Volume 1", US EPA Office of Air Quality Planning and Standards, (February 1998), 502 pgs	
	GHORISHI, BEHROOZ S., et al., "In-Flight Capture of Elemental Mercury by Chlorine-Impregnated Activated Carbon", Proc. of the 94th Annual Meeting of the Air and Waste Management Association, Orlando, FL, (June 2001), 14 pgs	
	JONES, ANDREW P., et al., "DOE/NETL's Phase II Mercury Control Technology Field Testing Program: Preliminary Economic Analysis of Activated Carbon Injection", Environmental Science and Technology, 41(4), (2007), 7 pgs	
	KEATING, MARTHA H., et al., "Mercury Study Report to Congress Volume 1: Executive Summary", US EPA Office of Air Quality Planning and Standards, (December 1997), 95 pgs	
	KILGROE, JAMES D., et al., "Control of Mercury Emissions from Coal-Fired Electric Utility Boilers: Interim Report", US EPA Office of Research and Development, National Risk Management Laboratory, Air Pollution Prevention and Control Division, (December 2001), 485 pgs	
	NELSON, SID JR., et al., "How China Can Leapfrog the World in Mercury Emission Reductions", Proc. of the AWMA Intl. Specialty Conference on Leapfrogging Opportunities for Air Quality Improvement, (2010), 24 pgs	
	PAVLISH, JOHN H., et al., "Status review of mercury control options for coal-fired power plants", Fuel Processing Technology, Vol. 82, (2003), 77 pgs	
	YANG, YANG, et al., "Effect of HBr formation on mercury oxidation via CaBr(2) addition to coal during combustion", RSC Advances, 6(64), (2016), 7 pgs	

EXAMINER /AMBER R ORLANDO/ DATE CONSIDERED 08/17/2019

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US PATENT DOCUMENTS			
Examiner Initial *	**USP Document Number**	**Publication Date**	**Name of Patentee or Applicant of cited Document**
	US-20190009247A1	1/10/2019	Olson, Edwin S, et al.

FOREIGN PATENT DOCUMENTS				
Examiner Initial *	**Foreign Document Number**	**Publication Date**	**Name of Patentee or Applicant of cited Document**	**T 1**

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS		
Examiner Initial *	**Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.**	**T 1**
	"Application Serial No. 14/712,558, Amendment and Response filed 12-03-18 to Non Final Office Action mailed 10-19-18", 28 pgs	
	"Application Serial No. 14/712,558, Final Office Action mailed 01-11-19", 27 pgs	
	"Application Serial No. 15/382,114, Non Final Office Action mailed 12-12-18", 46 pgs	
	"Application Serial No. 15/589,359, Amendment and Response filed 01-17-19 to Non Final Office Action mailed 10-22-18", 14 pgs	
	"Application Serial No. 15/974,343, Amendment and Response filed 12-03-18 to Non Final Office Action mailed 10-29-18", 37 pgs	
	"Application Serial No. 15/974,343, Final Office Action mailed 01-14-19", 25 pgs	
	"Application Serial No. 15/978,760, Amendment and Response filed 12-03-18 to Non Final Office Action mailed 10-10-18", 25 pgs	
	"Application Serial No. 15/978,760, Final Office Action mailed 12-26-18", 21 pgs	

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EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	2	"20120100053"	US-PGPUB; USPAT	ADJ	ON	2019/08/17 11:40
L2	1	"20130157845"	US-PGPUB; USPAT	ADJ	ON	2019/08/17 11:40
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S3	0	(95/134.ccls. or 95/142.ccls. or 110/345.ccls. or 423/210.ccls.) and sorbent and promoter and activated carbond and mercury	US-PGPUB; USPAT	ADJ	ON	2013/09/09 09:20
S7	73	("20020150516" | "4786483" | "5409522" | "5505766" | "6383981" | "6878358" | "20020033097" | "4530765" | "5346674" | "6080281" | "4708853" | "6027551" | "6136072" | "6258334" | "6818043" | "20060057044" | "20080207443" | "20010002387" | "3826618" | "4814152" | "4820318" | "4889698" | "5891324" | "6528030" | "20050227146" | "5419834" | "7942566" | "20080134888" | "3662523" | "3849267" | "4196173" | "4500327" | "6942840" | "20020134242" | "20030136509" | "20050147549" | "20060112823" | "20080134888" | "6471936" | "20010003116" | "20050019240" | "20060048646" | "4956162" | "5575982" | "6372187" | "6375909" | "7435286" | "7514052" | "20050074380" | "20090081092" | "5288306" | "1984164" | "4101631" | "5695726" | "6080281" | "6848374" | "20050000197" | "5300137" | "5607496" | "5672323" | "6214304" | "6953494" | "5245120" | "20070168213" | "7938571" | "20040003716" | "20040013589" | "3194629" | "3786619" | "5827352" | "20040109800" | "20070295347" | "5435980" | "6136749" | "20030206846").PN.	US-PGPUB; USPAT	ADJ	ON	2015/03/17 12:25
S8	13	"11209163"	US-PGPUB; USPAT	ADJ	ON	2015/03/17 12:28
S9	3	"11209163" and non carbon	US-PGPUB; USPAT	ADJ	ON	2015/03/17 12:28
S10	161	(B01D53/64, B01D2257/602, B01D2253/104, B01D2253/10,	US-PGPUB; USPAT	ADJ	ON	2015/03/17 13:49

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S13	172	(B01D53/64, B01D2257/602, B01D2253/104, B01D2253/10, B01D53/10).cpc. and (halogen or halide of HBr) with sorbent	US-PGPUB; USPAT	ADJ	ON	2015/08/20 22:04
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S15	67	"6136749"	US-PGPUB; USPAT	ADJ	ON	2016/05/27 09:11
S16	20	"684374"	US-PGPUB; USPAT	ADJ	ON	2016/05/27 09:12
S17	1	"20040003716"	US-PGPUB; USPAT	ADJ	ON	2016/05/27 09:12
S18	68	"6848374"	US-PGPUB; USPAT	ADJ	ON	2016/05/27 09:12
S19	41	"7435286"	US-PGPUB; USPAT	ADJ	ON	2016/05/27 09:13
S20	13	"8168147"	US-PGPUB; USPAT	ADJ	ON	2016/05/27 09:13
S21	69	(95/134.ccls. or 95/142.ccls. or 110/345.ccls. or 423/210.ccls.) and activated carbon and promoter	US-PGPUB; USPAT	ADJ	ON	2016/06/07 09:06
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S25	28	"5266280"	US-PGPUB; USPAT	ADJ	ON	2016/06/07 13:41
S26	281	sorbent with mercury and (diameter or size) with ("30" or "40" or "50" or "60" or "70") with (sorbent or carbon)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/09/29 15:01
S27	148	sorbent with mercury and (diameter or size) with ("30" or "40" or "50" or "60" or "70") with (sorbent or carbon) and halogen	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/09/29 15:02
S28	159	sorbent with mercury and (diameter or size) with ("30" or "40" or "50" or "60" or "70") with (sorbent or carbon) and halogen$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/09/29 16:18

S29	206	(sorbent or activated carbon) with mercury and (diameter or size) with ("30" or "40" or "50" or "60" or "70") with (sorbent or carbon) and halogen$4 and activated carbon	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/09/29 16:22
S30	195	(B01D53/64, B01D2257/602, B01D2253/104, B01D2253/10, B01D53/10).cpc. and (halogen or halide of HBr) with sorbent	US-PGPUB; USPAT	ADJ	ON	2016/09/30 13:48
S33	6	"20040003719"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 10:56
S34	49	"2004003716"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 10:57
S35	81	"6848374"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 10:58
S36	56	"7435286"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 11:02
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S38	0	("40" microns and halocarbon sorbent).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 11:05
S39	0	(halocarbon sorbent).clm. and "40" microns and olson.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 11:05
S40	65	"40" microns and olson.in.	US-PGPUB;	ADJ	ON	2016/11/16

			USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			11:06
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S42	29	("40" microns and sorbent).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 11:06
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S44	36	(sorbent).clm. and olson.in.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 11:08
S45	0	(sorbent).clm. and olson.in. and "40" micron	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 11:08
S46	17	"11209163"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 11:09
S47	2	"20110233133"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 11:27
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			IBM_TDB			
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S52	40	"6312489"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 11:33
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S55	5	"8518142"	US-PGPUB; USPAT; USOCR	ADJ	ON	2016/11/16 11:54
S56	4	"8747505"	US-PGPUB; USPAT; USOCR	ADJ	ON	2016/11/16 11:57
S57	2	"14318270"	US-PGPUB; USPAT; USOCR	ADJ	ON	2016/11/16 12:06
S58	13	pac with size with sorbent	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/11/16 12:13
S59	197	(B01D53/64, B01D2257/602, B01D2253/104, B01D2253/10,	US-PGPUB; USPAT	ADJ	ON	2016/11/16 15:23

		B01D53/10).cpc. and (halogen or halide of HBr) with sorbent				
S60	0	(B01D53/64, B01D2257/602, B01D2253/104, B01D2253/10, B01D53/10).cpc. and (halogen or halide of HBr) with sorbent "4198388"	US-PGPUB; USPAT	ADJ	ON	2016/11/17 12:39
S61	10	"4198388"	US-PGPUB; USPAT	ADJ	ON	2016/11/17 12:39
S62	0	"2010083696"	US-PGPUB; USPAT	ADJ	ON	2016/11/17 13:32
S63	2	"20100083696"	US-PGPUB; USPAT	ADJ	ON	2016/11/17 13:32
S64	2	absorp$5 with mea with methanol with acid	US-PGPUB; USPAT	ADJ	ON	2016/11/17 13:36
S65	2	"20070283813"	US-PGPUB; USPAT	ADJ	ON	2016/11/17 13:39
S66	21	"4469596"	US-PGPUB; USPAT	ADJ	ON	2016/11/21 08:29
S67	4	"2003229245"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2017/05/10 10:36
S68	2	"20030229245"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2017/05/10 10:36
S69	45	"5,338,458"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2017/05/10 10:38
S70	45	"5338458"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2017/05/10 10:38
S71	213	(B01D53/64, B01D2257/602, B01D2253/104, B01D2253/10, B01D53/10).cpc. and (halogen or halide of HBr) with sorbent	US-PGPUB; USPAT	ADJ	ON	2017/05/10 11:02
S72	64	("1786483" | "1984164" | "2317857" | "20010002387" | "20010003116" | "20020134242" | "20020150516" | "20030136509" | "20040003716" | "20040013589" | "20050019240" | "20060048646" | "20060191835" | "20090062119" | "20100047146" | "20120183458" | "20140056787" | "3194629" | "3662523" | "3786619" |	US-PGPUB; USPAT	ADJ	ON	2017/05/10 11:20

		"3826618" | "3849267" | "4196173" | "4500327" | "4814152" | "4820318" | "4889698" | "4959162" | "5300137" | "5409522" | "5462908" | "5505766" | "5575982" | "5607496" | "5672323" | "5695726" | "5827352" | "5891324" | "6027551" | "6080281" | "6136072" | "6136749" | "6214304" | "6258334" | "6372187" | "6375909" | "6383981" | "6471936" | "6528030" | "6818043" | "6848374" | "6878358" | "6942840" | "6953494" | "7101631" | "7435286" | "7479263" | "7514052" | "7563311" | "7611564" | "8007749" | "8168147" | "8168149" | "8512655").PN.				
S73	3	("20090235848" | "20110076210" | "20150246315").PN.	US-PGPUB; USPAT	ADJ	ON	2017/05/10 11:31
S79	3	("20090235848" | "20110076210" | "20140224121").PN.	US-PGPUB; USPAT	ADJ	ON	2017/06/27 09:36
S80	96	("1984164" | "2317857" | "20010002387" | "20010003116" | "20020043496" | "20020134242" | "20020150516" | "20030104937" | "20030136509" | "20040003716" | "20040013589" | "20040076570" | "20050019240" | "20060048646" | "20060191835" | "20080182747" | "20080292512" | "20090062119" | "20090136401" | "20090320678" | "20100047146" | "20110168018" | "20120183458" | "20130280156" | "20140056787" | "20140255279" | "20140308188" | "20150098878" | "3194629" | "3662523" | "3786619" | "3826618" | "3849267" | "3876393" | "4094777" | "4101631" | "4196173" | "4338896" | "4500327" | "4708853" | "4786483" | "4814152" | "4820318" | "4889698" | "4956162" | "5300137" | "5336835" | "5409522" | "5462908" | "5505766" | "5575982" | "5607496" | "5672323" | "5695726" | "5827352" | "5891324" | "6027551" | "6080281" | "6136072" | "6136749" | "6214304" | "6258334" | "6372187" | "6375909" | "6383981" | "6471936" | "6528030" | "6638485" | "6808692" | "6818043" | "6848374" | "6878358" | "6942840" | "6953494" | "6960329" | "7081434" | "7211707" | "7435286" | "7479263" | "7514052" | "7521032" | "7544338" | "7563311" | "7611564" | "7622092" | "7722843" | "7767174" | "7780765" | "8007749" | "8168147" | "8168149" | "8216535" | "8512655" | "8652235" | "8821819" | "9011805").PN.	US-PGPUB; USPAT	ADJ	ON	2017/06/27 09:41
S81	593	honeycomb with plug$4 and plug$4 with length	US-PGPUB; USPAT	ADJ	ON	2017/06/27 14:45
S82	0	honeycomb with plug$4 and plug$4 with length with less than with "5"	US-PGPUB; USPAT	ADJ	ON	2017/06/27 14:45
S83	0	honeycomb with plug$4 and plug$4 with length with less than	US-PGPUB; USPAT	ADJ	ON	2017/06/27 14:45
S84	127	honeycomb with plug$4 and plug$4 with	US-PGPUB;	ADJ	ON	2017/06/27

		length with "5"	USPAT			14:46
S85	241	honeycomb with plug$4 and plug$4 with length with mm	US-PGPUB; USPAT	ADJ	ON	2017/06/27 14:47
S86	41	honeycomb with plug$4 and plug$4 with length with "3 mm "	US-PGPUB; USPAT	ADJ	ON	2017/06/27 14:49
S87	28	honeycomb with plug$4 and plug$4 with length with "3 mm " and plug$4 with porosity	US-PGPUB; USPAT	ADJ	ON	2017/06/27 14:52
S88	28	honeycomb and plug$4 with length with "3 mm " and plug$4 with porosity	US-PGPUB; USPAT	ADJ	ON	2017/06/27 14:53
S89	43	honeycomb and plug$4 with porosity with "100"	US-PGPUB; USPAT	ADJ	ON	2017/06/27 15:06
S90	20	honeycomb and plug$4 with pore (size or diameter) with "100"	US-PGPUB; USPAT	ADJ	ON	2017/06/27 15:07
S91	8	saw with honeycomb with filter	US-PGPUB; USPAT	ADJ	ON	2017/06/29 13:31
S92	230	(B01D53/64, B01D2257/602, B01D2253/104, B01D2253/10, B01D53/10).cpc. and (halogen or halide of HBr) with sorbent	US-PGPUB; USPAT	ADJ	ON	2017/12/01 14:36
S93	2	"20060260468"	US-PGPUB; USPAT	ADJ	ON	2018/07/03 10:34
S94	19	engine with air with inlet with filter$4 with cone	US-PGPUB; USPAT	ADJ	ON	2018/07/03 15:26
S95	36	engine with air with inlet with filter$4 with (cone or conical or (diameter with (increas$4 or decreas$4)))	US-PGPUB; USPAT	ADJ	ON	2018/07/03 15:27
S96	24	("3771638" | "3870495" | "3872012" | "4157902" | "4702754" | "5125940" | "5522909" | "5549724" | "5632793").PN. OR ("5888260").URPN.	US-PGPUB; USPAT; USOCR	ADJ	ON	2018/07/03 15:31
S97	41	("0153535" | "0439091" | "1398685" | "1530163" | "1887052" | "2087688" | "4003836" | "4018580" | "4032455" | "4039308" | "4065276" | "4261710" | "4410341" | "4414110" | "4699715" | "5106397" | "5178656" | "5522909" | "5549722" | "5549724" | "5888260" | "6397842" | "6508052" | "D401597" | "D401942" | "D403414" | "D403416").PN. OR ("6808552").URPN.	US-PGPUB; USPAT; USOCR	ADJ	ON	2018/07/03 15:31
S98	15	("D403416").URPN.	USPAT	ADJ	ON	2018/07/03 15:33
S99	0	"20030089233"	USPAT	ADJ	ON	2018/07/04 12:29
S100	3	"20030089233"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/07/04 12:29
S101	26	"6833023"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/07/04 12:29
S102	2	"20070168213"	US-PGPUB; USPAT;	ADJ	ON	2018/07/09 13:08

			USOCR; FPRS; EPO; JPO			
S103	14	carbocations with sorbent with bromine	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/07/09 13:14
S104	0	a side forming a cross sectional shape of each of the first	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/07/09 13:47
S105	0	non of side forming	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/07/09 13:56
S106	0	none of side forming	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/07/09 13:56
S107	0	none of sides forming	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/07/09 13:56
S109	13	olson.in. and (alkali and promoter and earth).clm.	US-PGPUB; USPAT	ADJ	ON	2018/07/17 16:22
S110	0	olson.in. and (alkali and promoter and earth with vapor).clm.	US-PGPUB; USPAT	ADJ	ON	2018/07/17 16:22
S111	10	olson.in. and (alkali and promoter with vapor).clm.	US-PGPUB; USPAT	ADJ	ON	2018/07/17 16:22
S112	0	"2015008283"	US-PGPUB; USPAT	ADJ	ON	2018/07/23 06:26
S113	2	"20150082833"	US-PGPUB; USPAT	ADJ	ON	2018/07/23 06:26
S114	24	olson.in. and liquid.clm. and promoted	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/07/23 07:50
S115	75	remov$4 with mercury and (br or bromine) with liquid with carbon	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/07/23 07:54
S116	0	separating greater than "70" wt% of the mercury	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/07/23 09:17
S117	0	((separating greater) with (of the mercury)).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/07/23 09:17

S118	20	"11209163"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/07/23 09:43
S119	40	(during with after with mercury with sorbent)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/01 17:38
S120	304	sorbent with mercury and sorbent with boiler	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/01 17:39
S121	2	"20070168213"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/01 17:41
S122	18	before with burn$4 with coal with sorbent	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/01 17:42
S123	204	("0174348" | "0202092" | "0208011" | "0224649" | "0229159" | "0298727" | "0346765" | "0347078" | "0367014" | "0537926" | "0537998" | "0541025" | "0625754" | "0647622" | "0685719" | "0688782" | "0700888" | "0744908" | "0846338" | "0894110" | "0896876" | "0911960" | "0945331" | "0945846" | "1112547" | "1167471" | "1167472" | "1183445" | "1788466" | "1984164" | "20020065581" | "20020114749" | "20020184817" | "20030088370" | "20030103882" | "20040003716" | "20040013589" | "20040086439" | "20040219083" | "20050039598" | "20060047526" | "20060210463" | "2016821" | "2059388" | "2089599" | "2511288" | "3194629" | "3288576" | "3437476" | "3599610" | "3662523" | "3725530" | "3764496" | "3823676" | "3838190" | "3849267" | "3849537" | "3956458" | "3961020" | "3974254" | "4040802" | "4075282" | "4094777" | "4101631" | "4115518" | "4148613" | "4174373" | "4196173" | "4226601" | "4233274" | "4272250" | "4280817" | "4305726" | "4322218" | "4377599" | "4387653" | "4394354" | "4440100" | "4472278" | "4474896" | "4519807" | "4519995" | "4555392" | "4582936" | "4602918" | "4629721" | "4693731" | "4716137" | "4741278" | "4758418" | "4764219" | "4786483" | "4804521" | "4807542" | "4824441" | "4830829" | "4873930" | "4886519" | "4892567" | "4915818" | "4933158" | "4936047" | "4964889" | "5013358" | "5024171" |	US-PGPUB; USPAT; USOCR	ADJ	ON	2018/10/01 17:42

		"5049163" | "5116793" | "5126300" | "5137854" | "5162598" | "5190566" | "5238488" | "5350728" | "5368617" | "5409522" | "5435980" | "5447703" | "5460643" | "5505746" | "5505766" | "5571490").PN. OR ("5587003" | "5618508" | "5635150" | "5659100" | "5670122" | "5733516" | "5738834" | "5787823" | "5810910" | "5897688" | "5910292" | "5989506" | "6024931" | "6083289" | "6240859" | "6258334" | "6372187" | "6375909" | "6475451" | "6521021" | "6528030" | "6533842" | "6558454" | "6610263" | "6613110" | "6719828" | "6732055" | "6737031" | "6746531" | "6790420" | "6808692" | "6848374" | "6878358" | "6942840" | "6953494" | "6962617" | "6974564" | "6975975" | "7468170" | "7507083" | "7674442" | "7758827" | "7776301").PN.				
S124	1	"15573128"	US-PGPUB; USPAT; USOCR	ADJ	ON	2018/10/02 12:07
S125	2	"20110207597"	US-PGPUB; USPAT; USOCR	ADJ	ON	2018/10/02 12:08
S126	2	"20070168213"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/02 17:30
S127	71	sorbent with before with coal and remov$4 with mercury	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/02 17:30
S128	234	"6953494"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/02 17:38
S129	121	"5435980"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/02 17:40
S130	35	promoter with sorbent and "30" g	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/02 22:19
S131	28	promoter with sorbent with mercury and "30" g	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/02 22:19
S132	4	promoter with sorbent with mercury and ("30" g).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/02 22:20

S133	4	promot$4 with sorbent with mercury and ("30" g).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/02 22:23
S134	4	promot$4 with sorbent with mercury and pre.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/02 22:41
S135	2	promot$4 with sorbent with mercury and pre-heater	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/02 22:42
S136	2	promot$4 with sorbent with mercury and pre heater	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 08:36
S137	57	promot$4 with sorbent with mercury and pre	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 08:36
S138	24	sorbent with preheat$4 with coal	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 08:39
S139	121	"5435980"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 08:47
S140	23	"11209163"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 11:28
S141	5	"14102896"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 11:48
S142	7	"12429058"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 12:09
S143	4	"12202595"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 12:23
S144	20	"12201595"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 12:24

S145	3	"14564860"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 12:27
S146	1	"15978760"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 12:28
S147	1	"15589359"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 12:32
S148	1	"15853029"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 12:37
S149	8	"15997091"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 12:42
S150	6	"14712558"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 12:42
S151	2	"15951970"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 15:18
S152	4	"15382114"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 15:47
S153	1	"15853029"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 15:51
S154	2	"20070168213"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 18:18
S155	69	"4279873"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/03 18:42
S156	75	"7435286"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 07:59

S157	4	"15295594"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 08:08
S158	1	("20180257030").PN.	US-PGPUB; USPAT	ADJ	ON	2018/10/04 09:31
S159	86	"5569436"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 09:32
S160	6	"5785935"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 09:33
S161	8	"6475471"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 09:33
S162	53	"6103205"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 11:46
S163	0	pyrolysis with char with mercury with activated	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 11:49
S164	17	pyrolysis with char with mercury	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 11:49
S165	121	pyrolysis with char with activated carbon	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 11:50
S166	0	pyrolysis with char with activated carbon with mercury with sorbent	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 11:50
S167	43	pyrolysis with char with activated carbon and mercury with sorbent	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 11:50
S168	118	pyrolysis with char with tire	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 12:04
S169	58	pyrolysis with char with activated carbon and mercury	US-PGPUB; USPAT; USOCR;	ADJ	ON	2018/10/04 12:04

			FPRS; EPO; JPO			
S170	8	"20040081724"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 15:07
S171	4	"14128179"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/04 15:10
S172	84	activat$4 carbon with boiler with coal and remov$4 with mercury	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/15 13:27
S173	88	activat$4 carbon with boiler with coal and (remov$4 or filter$4 or absorb$4 or adsorb$4) with mercury	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/15 13:27
S174	0	mercury with stabiliz$4 with sulfer dioxide	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/15 14:23
S175	75	"7435286"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/15 15:07
S176	6	"9468886"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/16 06:48
S177	17	"8821819"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/16 06:51
S178	15	"8652235"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/16 06:55
S179	93	"6136749"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/24 09:08
S180	4	"9757689"	US-PGPUB; USPAT	ADJ	ON	2018/10/24 12:37
S181	9	"8939388"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/29 09:59

S182	669	urea with inject$4 with filter$4 with exhaust	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/29 10:15
S183	2	urea with inject$4 with filter$4 with exhaust and urea with quench$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/29 10:16
S184	13	"3615165"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/29 10:35
S185	136	urea with quench$4 with remov$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/29 10:39
S186	48	urea dust with scrub$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/29 11:25
S187	38	"6221155"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/29 12:04
S188	6	"9468886"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/10/29 14:12
S189	773	ammonium with remov$4 with mercury	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/12/03 14:14
S190	108	ammonium with salt with remov$4 with mercury	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/12/03 14:15
S191	45	ammonium with salt with remov$4 with mercury and activated carbon	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/12/03 14:15
S192	42	"5556447"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/12/03 14:21
S193	174	"4500327"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/12/03 14:21

S194	72	"4500327" and ammonium	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/12/03 14:23
S195	3	anionic couterion	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/12/03 14:32
S196	3480	anionic counterion	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/12/03 14:32
S197	2422	anionic counterion and ammonium with salt	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/12/03 14:32
S198	9	anionic counterion and ammonium with salt and remov$4 with mercury	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/12/03 14:32
S199	52	"6960329"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/12/03 14:50
S200	4	"20040003716"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2018/12/06 11:23
S201	1	"45219176".FMID.	US-PGPUB; USPAT; FPRS	ADJ	ON	2018/12/06 13:32
S202	0	pyrolysis with char with activated carbon with mercury with sorbent	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2019/01/07 09:41
S203	284	(sorbent or activated carbon) with mercury and (diameter or size) with ("30" or "40" or "50" or "60" or "70") with (sorbent or carbon) and halogen$4 and activated carbon	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2019/04/15 14:29
S204	88	activat$4 carbon with boiler with coal and (remov$4 or filter$4 or absorb$4 or adsorb$4) with mercury	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2019/04/15 14:29
S205	42	"6132790"	US-PGPUB; USPAT	ADJ	ON	2019/06/12 16:06
S206	19	remov$4 with mercury and calcium nitrate with sorbent	US-PGPUB; USPAT	ADJ	ON	2019/06/12 16:55

S207	1	removv$4 with mercury with calcium nitrate	US-PGPUB; USPAT	ADJ	ON	2019/06/12 16:57
S208	1	"20040146613"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2019/06/13 12:59
S209	71	("6372187").URPN.	USPAT	ADJ	ON	2019/06/18 10:24
S210	48	("6372187").URPN. and (iodide or HI)	USPAT		ON	2019/06/18 10:25
S211	61	(iodide or HI) with inject$4 and mercury with remov$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2019/06/18 10:30
S212	85	(iodide or HI) with coal and mercury with remov$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2019/06/18 10:35
S213	131	"6558454"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2019/06/18 10:41
S214	5	"15295594"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2019/07/30 12:48
S215	82	"7435286"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2019/07/30 16:29
S216	65	"4013516"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2019/07/30 21:01
S217	24	"4699896"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	ADJ	ON	2019/07/30 21:01

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875	Application or Docket Number 15/997,091	Filing Date 06/04/2018	☐ To be Mailed

ENTITY: ☑ LARGE ☐ SMALL ☐ MICRO

APPLICATION AS FILED - PART I

FOR	(Column 1) NUMBER FILED	(Column 2) NUMBER EXTRA		RATE ($)	FEE ($)
☐ BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A		N/A	
☐ SEARCH FEE (37 CFR 1.16(k), (i), or (m))	N/A	N/A		N/A	
☐ EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A		N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 =	*		x $100 =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 =	*		x $460 =	
☐ APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is $310 ($155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).				
☐ MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))					
* If the difference in column 1 is less than zero, enter "0" in column 2.				TOTAL	

APPLICATION AS AMENDED - PART II

		(Column 1)		(Column 2)	(Column 3)			
AMENDMENT	08/28/2019	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE ($)	ADDITIONAL FEE ($)
	Total (37 CFR 1.16(i))	* 30	Minus	** 30	= 0		x $100 =	0
	Independent (37 CFR 1.16(h))	* 3	Minus	*** 3	= 0		x $460 =	0
	☐ Application Size Fee (37 CFR 1.16(s))							
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							
							TOTAL ADD'L FEE	0

		(Column 1)		(Column 2)	(Column 3)			
AMENDMENT		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE ($)	ADDITIONAL FEE ($)
	Total (37 CFR 1.16(i))	*	Minus	**	=		x $0 =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=		x $0 =	
	☐ Application Size Fee (37 CFR 1.16(s))							
	☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							
							TOTAL ADD'L FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.	LIE
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".	/BRENDA V HARRISON/
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".	
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.	

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Edwin S. Olson et al.	Examiner: Amber Orlando
Serial No.: 15/997,091	Group Art Unit: 1776
Filed: June 4, 2018	Docket No.: 4820.001U10
Customer No.: 21186	Confirmation No.: 5161

Title: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

AMENDMENT & RESPONSE UNDER 37 C.F.R. § 1.111

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In response to the Office dated January 11, 2019, please amend the application as follows:

IN THE CLAIMS

Please amend the claims as follows:

1. (Currently Amended) A method for reducing mercury in a mercury-containing gas, the method comprising:

combusting coal in a combustion chamber, the coal comprising an additive comprising Br_2, HBr, a bromide compound, or a combination thereof, to form the mercury-containing gas; and

collecting mercury in the mercury-containing gas with a sorbent added to the mercury-containing gas, the sorbent comprising activated carbon.

2.-8. (Canceled)

9. (Original) The method of claim 1, further comprising injecting an alkaline sorbent into the mercury-containing gas stream.

10. (Original) The method of claim 9, wherein the alkaline sorbent is selected from the group consisting of alkali elements, alkaline earth elements, alkali salts, alkaline earth salts, and combinations thereof.

11. (Canceled)

12. (Original) The method of claim 1, wherein the activated carbon is chosen from powdered activated carbon, granular activated carbon, carbon black, carbon fiber, aerogel carbon, pyrolysis char, and combinations thereof.

13-15. (Canceled)

16. (Previously Presented) The method of claim 1, wherein the sorbent has a composition comprising from about 1 to about 30 grams of the element bromine per 100 grams of activated carbon.

17. (Canceled)

18. (Original) The method of claim 1, comprising collecting greater than 7● wt% of the mercury in the mercury-containing gas.

19. (Previously Presented) The method of claim 1, comprising collecting greater than 70 wt% of the mercury in the mercury-containing gas with the sorbent.

20. (Previously Presented) The method of claim 1, further comprising:

measuring mercury content of the mercury-containing gas; and

modifying, in response to the measured mercury content,

an injection rate of injecting the sorbent comprising activated carbon into the mercury-containing gas,

an amount of the additive comprising the Br_2, HBr, bromide compound, or a combination thereof, added to the coal, or

a combination thereof.

21. (Previously Presented) The method of claim 20, wherein the measuring of the mercury content of the mercury-containing gas is performed substantially continuously.

22. (Previously Presented) The method of claim 1, further comprising:

modifying, in response to a measured mercury content,

an injection rate of injecting the sorbent comprising activated carbon into the mercury-containing gas,

an amount of the Br_2, HBr, bromide compound, or a combination thereof, added to the coal, or

a combination thereof.

23. (Previously Presented) The method of claim 1, wherein the coal comprises a subbituminous coal.

24. (Previously Presented) The method of claim 1, wherein the coal comprises a lignite coal.

25. (Previously Presented) The method of claim 1, wherein the coal comprises the added Br_2, HBr, the bromide compound, or a combination thereof, added to the coal before the coal enters the combustion chamber.

26. (Previously Presented) The method of claim 1, wherein the combustion chamber comprises the added Br_2, HBr, the bromide compound, or a combination thereof.

27. (Previously Presented) The method of claim 1, wherein the coal is combusted in the combustion chamber at a coal-combustion facility, wherein the Br_2, HBr, bromide compound, or combination thereof, is added to the coal before the coal enters the combustion chamber, wherein the addition of the Br_2, HBr, bromide compound, or combination thereof, to the coal is performed at the coal-combustion facility.

28. (Previously Presented) The method of claim 1, wherein the coal is combusted in the combustion chamber at a coal-combustion facility, wherein the Br_2, HBr, bromide compound, or combination thereof, is added to the coal before the coal enters the combustion chamber, wherein the addition of the Br_2, HBr, bromide compound, or combination thereof, to the coal is performed at a site other than the coal-combustion facility.

29. (Previously Presented) The method of claim 1, wherein the combustion chamber is an electric utility coal combustion chamber.

30. (Currently Amended) The method of claim 1, ~~wherein the combustion chamber is a coal combustion furnace~~further comprising:

measuring mercury content of the mercury-containing gas; and

modifying, in response to the measured mercury content, an injection rate of injecting the sorbent comprising activated carbon into the mercury-containing gas.

31. (Previously Presented) The method of claim 1, wherein the mercury-containing gas comprises about 1 g to about 30 g of the element bromine per 100 g of the sorbent.

32. (Currently Amended) The method of claim 1, ~~wherein the sorbent that collects the mercury comprises the element bromine and mercury~~further comprising:

measuring mercury content of the mercury-containing gas; and

modifying, in response to the measured mercury content, an amount of the additive comprising the Br_2, HBr, bromide compound, or a combination thereof, added to the coal.

33. (Previously Presented) The method of claim 1, wherein the sorbent in the mercury-containing gas comprises about 1 g to about 30 g of the added Br_2, HBr, the bromide compound, or a combination thereof, per 100 g of the sorbent.

34. (Previously Presented) The method of claim 1, wherein the Br_2, HBr, or the bromide compound is contacted with the sorbent in vapor form, gaseous form, liquid form, or in an organic solvent.

35. (Previously Presented) The method of claim 1, wherein the sorbent injected into the mercury-containing gas is a sorbent obtained by contacting a base sorbent with a halogen or halide promoter prior to injection of the sorbent to the mercury-containing gas.

36. (Previously Presented) The method of claim 1, wherein the sorbent injected into the mercury-containing gas is free of contact with a halogen or halide promoter prior to injection of the sorbent into the mercury-containing gas.

37. (Previously Presented) The method of claim 1, wherein the injection of the sorbent into the mercury-containing gas occurs upstream of a particulate separator or a scrubber.

38. (Previously Presented) The method of claim 37, wherein the particulate separator comprises an electrostatic precipitator, a baghouse, a fabric filter, or a combination thereof.

39. (Previously Presented) The method of claim 1, wherein the coal comprises added halide sorbent enhancement additive that comprises the added Br_2, HBr, the bromide compound, or combination thereof.

40. (Previously Presented) The method of claim 1, wherein the sorbent enhancement additive comprises the bromide compound.

41. (Currently Amended) A method of separating mercury from a mercury-containing gas, the method comprising:

combusting coal in a combustion chamber, wherein

the coal comprises added Br_2, HBr, bromide compound, or a combination thereof, added to the coal before the coal enters the combustion chamber, or

the combustion chamber comprises added Br_2, HBr, bromide compound, or a combination thereof, or

a combination thereof; and

collecting mercury in the mercury-containing gas with a sorbent added to the mercury-containing gas, the sorbent comprising activated carbon.

42. (Currently Amended) A method of separating mercury from a mercury-containing gas, the method comprising:

combusting coal in a combustion chamber, to provide the mercury-containing gas, wherein the mercury-containing gas comprises a halogen or halide promoter comprising HBr, Br^-, or a combination thereof, wherein

the coal comprises added Br_2, HBr, bromide compound, or a combination thereof, added to the coal before the coal enters the combustion chamber, or

the combustion chamber comprises added Br_2, HBr, bromide compound, or a combination thereof, or

a combination thereof;

collecting mercury in the mercury-containing gas with a sorbent added to the mercury-containing gas, the sorbent comprising activated carbon;

separating the sorbent contacted with the mercury from the mercury-containing gas;

measuring the mercury content of the mercury-containing gas; and

modifying, in response to the measured mercury content of the mercury-containing gas,

an injection rate of injecting the sorbent into the mercury-containing gas,

an amount of the Br_2, HBr, bromide compound, or a combination thereof, added to the coal or the combustion chamber, or

a combination thereof.

REMARKS

This responds to the Office Action dated January 11, 2019.

Claims 1, 30, 32, and 41-42 are amended, no claims are canceled, and no claims are added; as a result, claims 1, 9-10, 12, 16, and 18-42 are now pending in this application.

Independent claims 1, 41, and 42 are presently amended to recite "...collecting mercury in the mercury-containing gas with a sorbent added to the mercury-containing gas, the sorbent comprising activated carbon...." Support can be found in the present published U.S. application at Figure 11 and paragraph [0237].

Dependent claim 30 is presently amended to recite "...~~wherein the combustion chamber is a coal combustion furnace~~further comprising: measuring mercury content of the mercury-containing gas; and modifying, in response to the measured mercury content, an injection rate of injecting the sorbent comprising activated carbon into the mercury-containing gas." Dependent claim 32 is presently amended to recite "...~~wherein the sorbent that collects the mercury comprises the element bromine and mercury~~further comprising: measuring mercury content of the mercury-containing gas; and modifying, in response to the measured mercury content, an amount of the additive comprising the Br_2, HBr, bromide compound, or a combination thereof, added to the coal." Amended claims 30 and 32 recite features from dependent claim 20.

No new matter is added via the amendments herein.

The Rejection of Claims Under § 112

I. The Office Action rejected claims 1, 9, 10, 12, 16, and 18-42 under 35 U.S.C. § 112(b) or 35 U.S.C. § 112 (pre-AIA), second paragraph, as allegedly being indefinite. The Office Action rejected claim 11 under 35 U.S.C. § 112(b) or 35 U.S.C. § 112 (pre-AIA), second paragraph, as being allegedly incomplete for omitting essential steps, such omission amounting to a gap between the steps.

The Office Action states that "[t]he omitted steps are: the sorbent being added to the mercury containing gas stream."

It is believed that the Office Action intended to refer to "claim 1" instead of "claim 11".

These rejections are respectfully traversed.

Independent claims 1, 41, and 42 are presently amended to recite "...collecting mercury in the mercury-containing gas with a sorbent added to the mercury-containing gas, the sorbent comprising activated carbon...."

Dependent claims 9, 10, 12, 16, and 18-40 are rejected solely due to dependence on independent claim 1. Therefore, dependent claims 9, 10, 12, 16, and 18-40 are patentable for the reasons given herein for patentability of independent claim 1.

Applicant therefore respectfully requests reconsideration and withdrawal of the rejections of claims 1, 9, 10, 12, 16, and 18-42 under 35 U.S.C. § 112(b) or 35 U.S.C. § 112 (pre-AIA), second paragraph.

Double Patenting Rejections

II. Double patenting rejections.

II(A). The Office Action rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-30 of U.S. patent no. 7,435,286 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-30 of U.S. patent no. 7,435,286 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(B). The Office Action rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-25 of patent no. 8,168,147 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-25 of U.S. patent no. 8,168,147 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(C). The Office Action rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-60 of U.S. patent no. 8,512,655 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-60 of U.S. patent no. 8,512,655 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(D). The Office Action rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-60 of U.S. patent no. 8,821,819 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-60 of U.S. patent no. 8,821,819 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(E). The Office Action rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-33 of U.S. patent no. 9,757,689 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-33 of U.S. patent no. 9,757,689 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(F). The Office Action rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-20 of U.S. patent no. 9,468,886 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-20 of U.S. patent no. 9,468,886 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(G). The Office Action rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-30 of U.S. patent no. 8,652,235 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-30 of U.S. patent no. 8,652,235 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(H). The Office Action provisionally rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-16 of copending application no. 15/295,594 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-16 of copending application no. 15/295,594 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(I). The Office Action provisionally rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 8-20 of copending application no. 15/589,359 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 8-20 of copending application no. 15/589,359 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(J). The Office Action provisionally rejected claims 1, 9, 1●, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-20 of copending application no. 15/853,029 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-20 of copending application no. 15/853,029 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(K). The Office Action provisionally rejected claims 1, 9, 1●, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-20 of copending application no. 14/712,558 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-20 of copending application no. 14/712,558 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(L). The Office Action provisionally rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-20 of copending application no. 15/951,970 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-20 of copending application no. 15/951,970 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(M). The Office Action provisionally rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-20 of copending application no. 15/382,114 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-20 of copending application no. 15/382,114 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(N). The Office Action provisionally rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-20 of copending application no. 15/853,029 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-20 of copending application no. 15/853,029 in view of Felsvang. This rejection appears to be a duplicate of the rejection listed herein at **II(J)**.

However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(O). The Office Action provisionally rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-20 of copending application no. 15/978,760 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-20 of copending application no. 15/978,760 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

II(P). The Office Action provisionally rejected claims 1, 9, 10, 12, 16, and 18-42 on the ground of nonstatutory double patenting over claims 1-10, 14, and 21-25 of copending application no. 15/974,343 in view of U.S. patent no. 5,435,980 ("Felsvang").

This rejection is respectfully traversed. Applicant does not admit that claims 1, 9, 10, 12, 16, and 18-42 are obvious over claims 1-10, 14, and 21-25 of copending application no. 15/974,343 in view of Felsvang. However, merely to expedite prosecution, a Terminal Disclaimer in compliance with 37 C.F.R. § 1.321 is enclosed herewith to obviate these rejections.

Applicant therefore respectfully requests reconsideration and withdrawal of the double patenting rejection.

CONCLUSION

Applicant respectfully submit that the claims are in condition for allowance, and notification to that effect is earnestly requested. The Examiner is invited to telephone the undersigned at (612) 349-9580 to facilitate prosecution of this application.

If necessary, please charge any additional fees or deficiencies, or credit any overpayments to Deposit Account No. 19-0743.

Respectfully submitted,

SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. Box 2938
Minneapolis, Minnesota 55402
(612) 349-9580

Date August 28, 2019

By /Nicholas P. Lanzatella/
Nicholas P. Lanzatella
Reg. No. 63,803

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Edwin S. Olson et al.	Examiner: Amber Orlando
Serial No.: 15/997,091	Group Art Unit: 1776
Filed: June 4, 2018	Docket: 4820.001U1
Customer No.: 21186	Confirmation No.: 5161

Title: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Information Disclosure Statement be entered and the documents listed on the attached PTO 1449 Form be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the PTO 1449 Form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. § 1.97(c)(2), Applicant hereby authorizes the Commissioner to charge the fee of $240.00 as set forth in 37 C.F.R. § 1.17(p), to Deposit Account No. 19-0743. Please charge any additional fees or deficiencies, or credit any overpayment to Deposit Account No. 19-0743.

Pursuant to 37 C.F.R. § 1.98(a)(2), copies of cited U.S. Patents and Published Applications, and Non-Published Applications identifiable by USPTO Serial Number, are no longer required to be provided to the Office. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R § 1.98(a)(2).

The Examiner is invited to contact the undersigned at the telephone number indicated if there are any questions regarding this communication.

Respectfully submitted,

SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 349-9580

Date August 28, 2019 By /Nicholas P. Lanzatella/
Nicholas P. Lanzatella
Reg. No. 63,803

NPL:bam

Modified form PTO/SB/08A(04-07) OMB 651-0031
US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449A/PTO **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Use as many sheets as necessary)	*Complete if Known*	
	Application Number	15/997,091
	Filing Date	June 4, 2018
	First Named Inventor	Edwin S. Olson
	Group Art Unit	1776
	Examiner Name	Amber Orlando
Sheet 1 of 1	Attorney Docket No: 4820.001U10	

US PATENT DOCUMENTS			
Examiner Initial *	**USP Document Number**	**Publication Date**	**Name of Patentee or Applicant of cited Document**

FOREIGN PATENT DOCUMENTS				
Examiner Initial *	**Foreign Document Number**	**Publication Date**	**Name of Patentee or Applicant of cited Document**	**T 1**

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS		
Examiner Initial *	**Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.**	**T 1**
	"Application Serial No. 14/712,558, Response filed 07-10-19 to Final Office Action mailed - 1-11-19", 57 pgs.	
	"Application Serial No. 15/295,594, Final Office Action mailed 08-02-19", 18 pgs	
	"Application Serial No. 15/589,359, Notice of Allowance mailed 07-31-19", 7 pgs	
	"Application Serial No. 15/589,359, Response filed 07-16-19 to Non Final Office Action mailed 06-21-19", 7 pgs.	
	"Application Serial No. 15/974,343, Non Final Office Action mailed 08-21-19", 13 pgs	
	"Application Serial No. 15/974,343, Response Filed 07-10-2019 to Final Office Action mailed 01-14-19", 81 pgs	

EXAMINER **DATE CONSIDERED**

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant is to place a check mark here if English language Translation is attached

Modified PTO/AIA/26 (04-14)
Approved for use through 07/31/2016. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT	Docket Number 4820.001U10

In re Application of: Edwin S. Olson et al.

Application No.: 15/997,091

Filed: June 4, 2018

For: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

The applicant, **Midwest Energy Emissions Corp**, owner of the entire interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of **prior patent No.(s)/prior Application No.(s)** **7,435,286; 8,168,147, 8,512,655, 8,821,819, 9,757,689, 9,468,886, 8,652,235, 15/295,594, 15/589,359, 15/853,029, 14/712,558, 15/951,970, 15/382,114, 15/978,760, 15/974,343** as the term of said **prior patent(s)/prior Application No.(s)** is presently shortened by any terminal disclaimer. The applicant hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the **prior patent(s)** are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the applicant does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term of the **prior patent(s)**, "as the term of said **prior patent(s)** is presently shortened by any terminal disclaimer," in the event that said **prior patent(s)** later:

expires for failure to pay a maintenance fee;
is held unenforceable;
is found invalid by a court of competent jurisdiction;
is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
has all claims canceled by a reexamination certificate;
is reissued; or
is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

1. ☐ The undersigned is the applicant. If the applicant is an assignee, the undersigned is authorized to act on behalf of the assignee.

I hereby acknowledge that any willful false statements made are punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

2. ☒ The undersigned is an attorney or agent of record. Reg. No. 63,803

/Nicholas P. Lanzatella/ — August 28, 2019

Nicholas P. Lanzatella — **Date**

Attorney — 612-349-9580

Title — **Telephone Number**

☒ Terminal disclaimer fee under 37 CFR 1.20(d) included.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Amended PTO/AIA/82B(07-13)
Approved for use through 11/30/2014. OMB 0651-0035
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POWER OF ATTORNEY BY APPLICANT

I hereby revoke all previous powers of attorney given in the application identified in either the attached transmittal letter or the boxes below.

Application Number	Filing Date

☒ I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above: **21186**

OR

☐ I hereby appoint Practitioner(s) named in the attached list (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the patent application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above. (Note: Complete form PTO/AIA/82C.)

Please recognize or change the correspondence address for the application identified in the attached transmittal letter to:

☒ The address associated with the above mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

☐ Firm or Individual Name					
Address					
City		State		Zip	
Country					
Telephone		Email			

I am the Applicant (if the Applicant is a juristic entity, list the Applicant name in the box):

Midwest Energy Emissions Corp.

☐ Inventor or Joint Inventor (title not required below)

☐ Legal Representative of a Deceased or Legally Incapacitated Inventor (title not required below)

☒ Assignee or Person to Whom the Inventor is Under an Obligation to Assign (provide signer's title if applicant is a juristic entity)

☐ Person Who Otherwise Shows Sufficient Proprietary Interest (e.g., a petition under 37 CFR 1.46(b)(2) was granted in the application or is concurrently being filed with this document) (provide signer's title if applicant is a juristic entity)

SIGNATURE of Applicant for Patent

The undersigned (whose title is supplied below) is authorized to act on behalf of the applicant (e.g., where the applicant is a juristic entity).

Signature	John Pavlish	Date (Optional)	6/9/2017
Name	John Pavlish		
Title	Chief Technology Officer		

NOTE: Signature - This form must be signed by the applicant in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. If more than one applicant, use multiple forms.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Amended PTO/AIA/96 (08-12)
Approved for use through 01/31/2013. OMB 0651-0031
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)

Applicant/Patent Owner: Midwest Energy Emissions Corp

Application No./Patent No.: 15/997,091 Filed/Issue Date: June 4, 2018

Titled: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

Mid west Energy E mis so rs Corp (Name of Assignee) a Corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.

2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):

   ☐ The extent (by percentage) of its ownership interest is _____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.

   ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

   Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3 ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

   Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 042647, Frame 0351-0359, or for which a copy thereof is attached.

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

   The document was recorded in the United States Patent and Trademark Office at

   Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

   The document was recorded in the United States Patent and Trademark Office at

   Reel _____, Frame _____, or for which a copy thereof is attached.

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Amended PTO/AIA/96 (08-12)
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STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Nicholas P. Lanzatella/

Signature

August 28, 2019

Date

Nicholas P. Lanzatella

Printed or Typed Name

USPTO Reg. No. 63,803

Title or Registration Number

Electronic Patent Application Fee Transmittal

Application Number:	15997091
Filing Date:	04-Jun-2018
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Filer:	Edward James Sandor/Beth Moon
Attorney Docket Number:	4820.001US10

Filed as Large Entity

Filing Fees for Utility under 35 USC 111(a)

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Miscellaneous:				
SUBMISSION- INFORMATION DISCLOSURE STMT	1806	1	240	240
STATUTORY OR TERMINAL DISCLAIMER	1814	1	160	160
		Total in USD ($)		**400**

Electronic Acknowledgement Receipt

EFS ID:	37007123
Application Number:	15997091
International Application Number:	
Confirmation Number:	5161
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Customer Number:	21186
Filer:	Edward James Sandor/Beth Moon
Filer Authorized By:	Edward James Sandor
Attorney Docket Number:	4820.001US10
Receipt Date:	28-AUG-2019
Filing Date:	04-JUN-2018
Time Stamp:	15:55:12
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	$400
RAM confirmation Number	E20198RF58132288
Deposit Account	
Authorized User	
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		signed4820_001U10_NFOA_TD_POA_SIDS.pdf	3744905 1dfc63d6147f81cd7de2a44f6b972825b6143c55	yes	23

Multipart Description/PDF files in .zip description

Document Description	Start	End
Transmittal Letter	1	1
Amendment/Req. Reconsideration-After Non-Final Reject	2	2
Claims	3	8
Applicant Arguments/Remarks Made in an Amendment	9	16
Miscellaneous Incoming Letter	17	18
Information Disclosure Statement (IDS) Form (SB08)	19	19
Terminal Disclaimer Filed	20	20
Power of Attorney	21	21
Assignee showing of ownership per 37 CFR 3.73	22	23

Warnings:

Information:

2	Non Patent Literature	npl1_4820001U10_OARN_08232019.pdf	1415213 82e99d7aeee8913bcc69c1142a40bad6c697395c	no	14

Warnings:

Information:

3	Non Patent Literature	npl2_4820007US3_FOAR_08022019.pdf	1878950 641caeec846be5b8f4f82836921e3fd4838bba89	no	18

Warnings:					
Information:					
4	Non Patent Literature	npl3_4820003US2_NOAR_07312019.pdf	1126931 f2c93637028800514d0b15699722fab44e8a92f6	no	7
Warnings:					
Information:					
5	Non Patent Literature	npl4_4820003US2_AARN_07-16-19.pdf	118986 9788f7869e88c1fce840d36db4710cf0077e1625	no	7
Warnings:					
Information:					
6	Non Patent Literature	npl5_4820001US9_OARN-PE_08212019.pdf	1240882 8990fa62f3e2bbe07fbc3c9967a673a3dd157f41	no	13
Warnings:					
Information:					
7	Non Patent Literature	npl6_4820001US8_20190710_Rsp.pdf	13134577 bc339491f8f4dd4d51579dc7c940d56f6f025b31	no	81
Warnings:					
Information:					
8	Fee Worksheet (SB06)	fee-info.pdf	31882 33c5c0983b5630496c264ae88a1cb4a05df9bbf2	no	2
Warnings:					
Information:					
			Total Files Size (in bytes):	22692326	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Edwin S. Olson et al.
Title: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

Docket No.:	4820.001U10	Serial No.:	15/997,091
Filed:	June 4, 2018	Due Date:	November 23, 2019
Examiner:	Amber Orlando	Group Art Unit:	1776
Customer No.:	21186	Confirmation No.:	5161

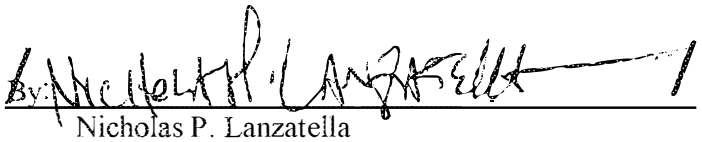
Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

X Amendment and Response under 37 C.F.R. § 1.111 (15 pgs.)
X Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.) Copies of Cited References (6).
X Authorization to charge Deposit Account 19-0743 in the amount of $240.00 to cover the fee for consideration of Information Disclosure Statement under 37 C.F.R. § 1.97(c).
X Terminal Disclaimer (1 pg.)
X Authorization to charge Deposit Account 19-0743 in the amount of $160.00 to cover the fee for the Terminal Disclaimer
X Power of Attorney (1 pg.)
X Statement Under 37 CFR 3.73 (c) (2 pgs.)

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743. If applicable, any papers or fees supplied herewith are considered to be timely filed pursuant to 37 C.F.R. § 1.7(a), the response period falling on a Federal Holiday, Saturday or Sunday being extended to the next succeeding business day.

SCHWEGMAN LUNDBERG & WOESSNER, P.A.
Customer No.: 21186

By: 
Nicholas P. Lanzatella
Reg. No. 63,803

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
15/997,091	06/04/2018	Edwin S. Olson	4820.001US10

CONFIRMATION NO. 5161

21186
SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

POA ACCEPTANCE LETTER

OC000000110784491

Date Mailed: 08/30/2019

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/28/2019.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at **(571) 272-4000** or **(571) 272-4200** or **1-888-786-0101**.

/fly/

Application Number	Application/Control No.	Applicant(s)/Patent under Reexamination	
* 15/997,091 *	15/997,091	Olson et al.	
	Examiner	Art Unit	
	ORLANDO, AMBER ROSE	1776	

Document Code - DISQ	Internal Document - DO NOT MAIL

TERMINAL DISCLAIMER	☑ APPROVED	☐ DISAPPROVED
Date Filed: 28 August 2019	This patent is subject to a Terminal Disclaimer	

Approved/Disapproved by:
/JEAN PROCTOR/
Technology Center: OPLC
Telephone: (571)272-1040

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	15997091
Filing Date	2018-06-04
First Named Inventor	Edwin S. Olson
Art Unit	1776
Examiner Name	Amber Orlando
Attorney Docket Number	4820.001U10

U.S.PATENTS Remove

Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5556447		1996-09-17	Srinivasachar, Srivats, et al.	
	2	7361209		2008-04-22	Durham, Michael D, et al.	

If you wish to add additional U.S. Patent citation information please click the Add button. Add

U.S.PATENT APPLICATION PUBLICATIONS Remove

Examiner Initial*	Cite No	Publication Number	Kind Code[1]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20020114749	A1	2002-08-22	Cole, Jerald Alan	
	2	20030113239	A1	2003-06-19	Pahlman, John E, et al.	
	3	20030161771	A1	2003-08-28	Oehr, Klaus H	
	4	20040244657	A1	2004-12-09	Srinivasachar, Srivats, et al.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	15997091
	Filing Date	2018-06-04
	First Named Inventor	Edwin S. Olson
	Art Unit	1776
	Examiner Name	Amber Orlando
	Attorney Docket Number	4820.001U10

	5	20070259781	A1	2007-11-08	Sinha, Rabindra K	
	6	20140099244	A1	2014-04-10	Olson, Edwin S, et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button. Add

FOREIGN PATENT DOCUMENTS Remove

Examiner Initial*	Cite No	Foreign Document Number[3]	Country Code[2] i	Kind Code[4]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T[5]
	1							

If you wish to add additional Foreign Patent Document citation information please click the Add button Add

NON-PATENT LITERATURE DOCUMENTS Remove

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T[5]
	1	"Application Serial No. 16/509,071, Non Final Office Action mailed 09-11-19", 21 pgs.	
	2	"Application Serial No. 14/712,558, Non Final Office Action mailed 09-12-19", 15 pgs.	

If you wish to add additional non-patent literature document citation information please click the Add button Add

EXAMINER SIGNATURE

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (**Not for submission under 37 CFR 1.99)**		
	Application Number	15997091
	Filing Date	2018-06-04
	First Named Inventor	Edwin S. Olson
	Art Unit	1776
	Examiner Name	Amber Orlando
	Attorney Docket Number	4820.001U10

[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	15997091
	Filing Date	2018-06-04
	First Named Inventor	Edwin S. Olson
	Art Unit	1776
	Examiner Name	Amber Orlando
	Attorney Docket Number	4820.001U10

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

☒ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Nicholas P. Lanzatella/	Date (YYYY-MM-DD)	2019-09-13
Name/Print	Nicholas P. Lanzatella	Registration Number	63,803

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.

2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).

5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal

Application Number:	15997091
Filing Date:	04-Jun-2018
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Filer:	David L. Buck/Jamie Johnson
Attorney Docket Number:	4820.001US10

Filed as Large Entity

Filing Fees for Utility under 35 USC 111(a)

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Miscellaneous:				
SUBMISSION- INFORMATION DISCLOSURE STMT	1806	1	240	240
		Total in USD ($)		**240**

Electronic Acknowledgement Receipt

EFS ID:	37164378
Application Number:	15997091
International Application Number:	
Confirmation Number:	5161
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Customer Number:	21186
Filer:	David L. Buck/Jamie Johnson
Filer Authorized By:	David L. Buck
Attorney Docket Number:	4820.001US10
Receipt Date:	13-SEP-2019
Filing Date:	04-JUN-2018
Time Stamp:	17:28:31
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	$240
RAM confirmation Number	E20199CH28559927
Deposit Account	
Authorized User	
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Form (SB08)	15997091_ids_09-13-2019.pdf	626706 462385230e0c8ceb3d9647f7fddca78b276abb7a	no	5
Warnings:					
Information:					
2	Non Patent Literature	0001_2_npl_4820001u11_oarnpe_20190911_f.pdf	919625 be77f8a0223c428c1430376e4ce89a6435783ad9	no	21
Warnings:					
Information:					
3	Non Patent Literature	0002_2_npl_4820001us7_oarn_09122019_f.pdf	707212 20b74b46aa12c11fdb31bbd6854cf1ee78a35d2c	no	15
Warnings:					
Information:					
4	Fee Worksheet (SB06)	fee-info.pdf	30656 c464cbc984303bb499edfbaf5eb993fb2897a756	no	2
Warnings:					
Information:					
		Total Files Size (in bytes):	2284199		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

21186 7590 09/18/2019
SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

EXAMINER
ORLANDO, AMBER ROSE

ART UNIT	PAPER NUMBER
1776	

DATE MAILED: 09/18/2019

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/997,091	06/04/2018	Edwin S. Olson	4820.001US10	5161

TITLE OF INVENTION: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	$1000	$0.00	$0.00	$1000	12/18/2019

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

PTOL-85 (Rev. 02/11)

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

By fax, send to: (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

21186 7590 09/18/2019
SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.

(Typed or printed name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/997,091	06/04/2018	Edwin S. Olson	4820.001US10	5161

TITLE OF INVENTION: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	$1000	$0.00	$0.00	$1000	12/18/2019

EXAMINER	ART UNIT	CLASS-SUBCLASS
ORLANDO, AMBER ROSE	1776	423-210000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

❑ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

❑ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-09 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list
(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1_____
2_____
3_____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) : ❑ Individual ❑ Corporation or other private group entity ❑ Government

4a. Fees submitted: ❑Issue Fee ❑Publication Fee (if required) ❑Advance Order - # of Copies _____

4b. Method of Payment: *(Please first reapply any previously paid fee shown above)*

❑ Electronic Payment via EFS-Web ❑ Enclosed check ❑ Non-electronic payment by credit card (Attach form PTO-2038)

❑ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. _____

5. **Change in Entity Status** (from status indicated above)

❑ Applicant certifying micro entity status. See 37 CFR 1.29

❑ Applicant asserting small entity status. See 37 CFR 1.27

❑ Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.
NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _____ Date _____

Typed or printed name _____ Registration No. _____

AMEREN UE EXHIBIT 1027
Page 622

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/997,091	06/04/2018	Edwin S. Olson	4820.001US10	5161

21186 7590 09/18/2019

SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

EXAMINER	
ORLANDO, AMBER ROSE	

ART UNIT	PAPER NUMBER
1776	

DATE MAILED: 09/18/2019

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

PTOL-85 (Rev. 02/11)

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Notice of Allowability	Application No. 15/997,091	Applicant(s) Olson et al.	
	Examiner AMBER R ORLANDO	Art Unit 1776	AIA (FITF) Status No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☑ This communication is responsive to the correspondence filed 08/30/2019.
   ☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

3. ☑ The allowed claim(s) is/are See Continuation Sheet . As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see **http://www.uspto.gov/patents/init_events/pph/index.jsp** or send an inquiry to **PPHfeedback@uspto.gov.**

4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   Certified copies:

   a) ☐ All b) ☐ Some *c) ☐ None of the:

   1. ☐ Certified copies of the priority documents have been received.
   2. ☐ Certified copies of the priority documents have been received in Application No. _____ .
   3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

   * Certified copies not received: _____ .

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
   ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____ .

   Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____.
3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material _____.
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date. _____.
5. ☑ Examiner's Amendment/Comment
6. ☐ Examiner's Statement of Reasons for Allowance
7. ☐ Other _____.

/AMBER R ORLANDO/
Primary Examiner, Art Unit 1776

Continuation of 3. The allowed claim(s) is/are: 1,9-10,12,16 and 18-42

DETAILED ACTION

Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

Terminal Disclaimer

The terminal disclaimer filed on 08/28/2019 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of **7,435,286; 8,168,147; 8,512,655; 8,821,819; 9,757,689; 9,468,886; 8,652,235; 15/295,594; 15/589,359; 15/853,029; 14/712, 558; 15951,870; 15/382,114; 15/798,760; 15/974,343** has been reviewed and is accepted. The terminal disclaimer has been recorded.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to AMBER ROSE ORLANDO whose telephone number is (571)270-3149. The examiner can normally be reached on Monday-Thursday 7:00-5:30.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Duane Smith can be reached on (571)272-1166. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

AMBER ROSE. ORLANDO
Primary Examiner
Art Unit 1776

/AMBER R ORLANDO/
Primary Examiner, Art Unit 1776

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination
	15/997,091	Olson et al.
	Examiner	Art Unit
	AMBER R ORLANDO	1776

CPC - Searched*		
Symbol	Date	Examiner

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*			
Class	Subclass	Date	Examiner

* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	Date	Examiner
Text Search (See east search history)	07/23/2018	AO
Inventor Search (DAV)	07/23/2018	AO
Text Search (See east search history)	10/29/2018	AO
Text Search (See east search history)	01/07/2019	AO
IDS Search (EAST and DAV)	08/17/2019	AO

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner
	SEE EAST SEARCH HISTORY	09/09/2019	AO

/AMBER R ORLANDO/ Primary Examiner, Art Unit 1776	/AMBER R ORLANDO/ Primary Examiner, Art Unit 1776

Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	15/997,091	Olson et al.
	Examiner	**Art Unit**
	AMBER R ORLANDO	1776

CPC						
Symbol					**Type**	**Version**
B01D	/	53	/	64	F	2013-01-01
B01D	/	53	/	10	I	2013-01-01
B01J	/	20	/	20	I	2013-01-01
B01J	/	20	/	22	I	2013-01-01
B01D	/	2253	/	102	A	2013-01-01
B01D	/	2257	/	602	A	2013-01-01
Y10S	/	95	/	901	A	2013-01-01

CPC Combination Sets							
Symbol					**Type**	**Set**	**Ranking**	**Version**
	/		/					

NONE		**Total Claims Allowed:**	
(Assistant Examiner)	(Date)	30	
/AMBER R ORLANDO/ Primary Examiner, Art Unit 1776	09 September 2019	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	11

U.S. Patent and Trademark Office — Part of Paper No.: 20190909

Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	15/997,091	Olson et al.
	Examiner	**Art Unit**
	AMBER R ORLANDO	1776

INTERNATIONAL CLASSIFICATION				
CLAIMED				
B01D	/	53	/	64
B01D	/	53	/	10
B01J	/	20	/	20
B01J	/	20	/	22

NON-CLAIMED				
	/		/	

US ORIGINAL CLASSIFICATION	
CLASS	**SUBCLASS**

CROSS REFERENCES(S)						
CLASS	**SUBCLASS (ONE SUBCLASS PER BLOCK)**					

NONE		**Total Claims Allowed:**	
(Assistant Examiner)	(Date)	30	
/AMBER R ORLANDO/ Primary Examiner, Art Unit 1776	09 September 2019	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	11

U.S. Patent and Trademark Office — Part of Paper No.: 20190909

Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination
	15/997,091	Olson et al.
	Examiner	**Art Unit**
	AMBER R ORLANDO	1776

☑ Claims renumbered in the same order as presented by applicant ☐ CPA ☑ T.D. ☐ R.1.47

CLAIMS

Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original

NONE		**Total Claims Allowed:**	
(Assistant Examiner)	(Date)	30	
/AMBER R ORLANDO/ Primary Examiner, Art Unit 1776	09 September 2019	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	11

U.S. Patent and Trademark Office — Part of Paper No.: 20190909

Modified form PTO/SB/08A(04-07) OMB 651-0031
US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Use as many sheets as necessary)	*Complete if Known*	
	Application Number	15/997,091
	Filing Date	June 4, 2018
	First Named Inventor	Edwin S. Olson
	Group Art Unit	1776
	Examiner Name	Amber Orlando
Sheet 1 of 1	Attorney Docket No: 4820.001U10	

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document

FOREIGN PATENT DOCUMENTS

Examiner Initial *	Foreign Document Number	Publication Date	Name of Patentee or Applicant of cited Document	T 1

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

Examiner Initial *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 1
	"Application Serial No. 14/712,558, Response filed 07-10-19 to Final Office Action mailed - 1-11-19", 57 pgs.	
	"Application Serial No. 15/295,594, Final Office Action mailed 08-02-19", 18 pgs	
	"Application Serial No. 15/589,359, Notice of Allowance mailed 07-31-19", 7 pgs	
	"Application Serial No. 15/589,359, Response filed 07-16-19 to Non Final Office Action mailed 06-21-19", 7 pgs.	
	"Application Serial No. 15/974,343, Non Final Office Action mailed 08-21-19", 13 pgs	
	"Application Serial No. 15/974,343, Response Filed 07-10-2019 to Final Office Action mailed 01-14-19", 81 pgs	

EXAMINER /AMBER R ORLANDO/ DATE CONSIDERED 09/09/2019

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant is to place a check mark here if English language Translation is attached

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.R.O/

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Edwin S. Olson et al.	Examiner:	Amber Orlando
Serial No.:	15/997,091	Group Art Unit:	1776
Filed:	June 4, 2018	Docket:	4820.001U10
Customer No.:	21186	Confirmation No.:	5161
Title:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY		

COMMUNICATION RE: UPDATE TO APPLICATION DATA SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants hereby request that the information provided on the Application Data Sheet filed in the above-referenced application on June 4, 2018, be updated with the information as provided on the enclosed marked up Application Data Sheet.

We therefore submit a marked up copy of the Application Data Sheet which shows an update to the Applicant Information.

Once this request is entered, it is respectfully requested that the USPTO update their records and provide confirmation of the above request by way of an Updated Filing Receipt.

It is believed that no fee is required to fulfill this request, however, if necessary, please charge any additional required fees or credit overpayment to Deposit Account No. 1 90743.

Respectfully submitted,

Schwegman Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 349-9580

Date 09/27/201 9 By /Nicholas P. Lanzatella/

Nicholas P. Lanzatella
Reg. No. 63,803

Amended PTO/AIA/01 (06-12)
Approved for use through 01/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

As the below named inventor, I hereby declare that:

This declaration is directed to:

☐ The attached application, or

☒ United States application or PCT international application number 15/974,343, filed on May 8, 2018 .

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than (5) years, or both.

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

LEGAL NAME OF INVENTOR

Inventor: John H. Pavlish Date (Optional): 9-27-2019

Signature: John H Pavlish

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional PTO/AIA/01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Edwin S. Olson et al.	Examiner: Amber Orlando	
Serial No.:	15/997,091	Group Art Unit: 1776	
Filed:	June 4, 2018	Docket: 4820.001U10	
Customer No.:	21186	Confirmation No.: 5161	
Title:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY		

COMMUNICATION RE: LATE SUBMISSION OF DECLARATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with 37 CFR 1.63, we submit the Signed Declaration. Corresponding fees with regard to the late submission were paid with the filing of the application on June 4, 2018 therefore applicants believe no additional fees are due at this time.

Applicants assume the application is now in proper order and in condition for allowance. Please direct any inquiries to the undersigned at (612) 349-9580. If necessary, please charge any additional fees or credit overpayment to Deposit Account 19-0743.

Respectfully submitted,

Schwegman Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 349-9580

Date 09/27/2019

By /Nicholas P. Lanzatella/
Nicholas P. Lanzatella
Reg. No. 63,803

NPL:CMG:dmj

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Edwin S. Olson et al.	Examiner:	Amber Orlando
Serial No.:	15/997,091	Group Art Unit:	1776
Filed:	June 4, 2018	Docket No.:	4820.001U10
Customer No.:	21186	Confirmation No.:	5161
Title:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY		

COMMUNICATION RE: FEE ADDRESS

Mail Stop Issue Fee
Commissioner for Patents
P.O.Box 1450
Alexandria, VA 22313-1450

In response to the Notice of Allowance and Issue Fee Due, please record the Fee Address under the provisions of 37 CFR 1.363 as the following:

Customer Number 21186

Please direct any inquiries to the undersigned attorney at (612) 349-9580.

Respectfully submitted,

SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 349-9580

Date 09/27/2019 By /Nicholas P. Lanzatella/
Nicholas P. Lanzatella
Reg. No. 63,803

NPL:CMG:dmj

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

By fax, send to: (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

21186 7590 09/18/2019
SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.

(Typed or printed name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/997,091	06/04/2018	Edwin S. Olson	4820.001US10	5161

TITLE OF INVENTION: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	$1000	$0.00	$0.00	$1000	12/18/2019

EXAMINER	ART UNIT	CLASS-SUBCLASS
ORLANDO, AMBER ROSE	1776	423-210000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-09 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list
(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Schwegman Lundberg
2 & Woessner, P.A.
3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE: Midwest Energy Emissions Corp.

(B) RESIDENCE: (CITY and STATE OR COUNTRY): Lewis Center, OH

Please check the appropriate assignee category or categories (will not be printed on the patent) : ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. Fees submitted: ☒ Issue Fee ☐ Publication Fee (if required) ☐ Advance Order - # of Copies _____

4b. Method of Payment: *(Please first reapply any previously paid fee shown above)*

☒ Electronic Payment via EFS-Web ☐ Enclosed check ☐ Non-electronic payment by credit card (Attach form PTO-2038)

☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. 19-0743

5. **Change in Entity Status** (from status indicated above)

☐ Applicant certifying micro entity status. See 37 CFR 1.29

☐ Applicant asserting small entity status. See 37 CFR 1.27

☐ Applicant changing to regular undiscounted fee status.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.
NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _____ Date 09/27/2019

Typed or printed name Nicholas P. Lanzatella Registration No. 63,803

PTOL-85 Part B (08-18) Approved for use through 01/31/2020 OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Edwin S. Olson et al.
Title: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

Docket No.:	4820.001U10	Serial No.:	15/997,091
Filed:	June 4, 2018	Due Date:	December 18, 2019
Examiner:	Amber Orlando	Group Art Unit:	1776
Customer No.:	21186	Confirmation No.:	5161

Notice of Allowance Date: September 18, 2019

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following:

X	Authorization to charge Deposit 19-0743 in the amount of $1,000.00 to cover the Large Entity Issue Fee Payment.
X	Issue Fee Transmittal (Form PTOL-85).
X	Communication Re: Fee Address (1 page).
X	Communication Re: Late Submission of Declaration (1 pg.).
X	Signed Declaration (1 pg.).
X	Communication Re: Update to Application Data Sheet (1 Pg.).
X	Marked Up Application Data Sheet (6 Pgs.).
X	Statement Under 37 CFR 3.73 (c) (2 pgs.)
X	Copy of Signed Assignment (20 pgs.)

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN LUNDBERG & WOESSNER, P.A. By /Nicholas P. Lanzatella/
Customer No.: 21186 Nicholas P. Lanzatella
NPL:CMG:dmj Reg. No. 63,803

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being filed using the USPTO's electronic filing system EFS-Web, and is addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 27th day of September, 2019.

Diana Johnson /Diana Johnson/
Name Signature

Electronic Patent Application Fee Transmittal

Application Number:	15997091
Filing Date:	04-Jun-2018
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Filer:	James Lee Shands/Diana Johnson
Attorney Docket Number:	4820.001US10

Filed as Large Entity

Filing Fees for Utility under 35 USC 111(a)

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
UTILITY APPL ISSUE FEE	1501	1	1000	1000

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Extension-of-Time:				
Miscellaneous:				
			Total in USD ($)	**1000**

Electronic Acknowledgement Receipt

EFS ID:	37303545
Application Number:	15997091
International Application Number:	
Confirmation Number:	5161
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Customer Number:	21186
Filer:	James Lee Shands/Diana Johnson
Filer Authorized By:	James Lee Shands
Attorney Docket Number:	4820.001US10
Receipt Date:	27-SEP-2019
Filing Date:	04-JUN-2018
Time Stamp:	15:28:14
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	$1000
RAM confirmation Number	E20199QF28515360
Deposit Account	190743
Authorized User	Diana Johnson

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.16 (National application filing, search, and examination fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.19 (Document supply fees)

37 CFR 1.20 (Post Issuance fees)

37 CFR 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		4820001U10IssuefeeSigned.pdf	18322477 05e4a4ba8b96b858529b0d25d6dd9cb9696209ef	yes	35

Multipart Description/PDF files in .zip description

Document Description	Start	End
Miscellaneous Incoming Letter	15	35
Assignee showing of ownership per 37 CFR 3.73	13	14
Application Data Sheet	7	12
Request for Corrected Filing Receipt	6	6
Oath or Declaration filed	5	5
Miscellaneous Incoming Letter	4	4
Miscellaneous Incoming Letter	3	3
Issue Fee Payment (PTO-85B)	2	2
Transmittal Letter	1	1

Warnings:

Information:

2	Fee Worksheet (SB06)	fee-info.pdf	30311 6d00e2b880def7c181f547873669 3ecc44b7a467	no	2

Warnings:

Information:

Total Files Size (in bytes):	18352788

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

CONFIRMATORY ASSIGNMENT

THIS CONFIRMATORY ASSIGNMENT is made by Edwin S. Olson residing at 223 Circle Hills Dr, Grand Forks, ND 58201 ("Assignor"), to Energy and Environmental Research Center Foundation, a North Dakota nonprofit corporation having a business address of 15 N. 23rd Street, Stop 9018, Grand Forks, North Dakota, 58202-9018 ("Assignee") and is effective as of the date this Confirmatory Assignment is executed by Assignor.

WHEREAS, Assignor has invented the inventions disclosed in the patents and patent applications listed in Exhibit A attached (collectively, and including all such inventions and all patents and patent applications for such inventions that have now been, or may in the future be, filed and/or granted for such inventions, including without limitation those patents and patent applications listed in Exhibit A, "Inventions") and, for good and valuable consideration, has agreed to assign, and has assigned, all of Assignor's right, title and interest in and to the Inventions to Assignee; and

WHEREAS, Assignor desires to confirm and assure the assignment, sale and transfer to Assignee of any and all right, title, and interest Assignor has in the Inventions; and

WHEREAS, this Confirmatory Assignment confirms that all Inventions listed in Exhibit A belong exclusively to Assignee and that Assignor has assigned, sold and transferred to Assignee, and hereby further assigns, sells and transfers to Assignee, without any requirement of further consideration, the entire right, title and interest in and to the Inventions, in the United States and its territorial possessions, and in all foreign countries, including without limitation all rights to claim priority in and to any and all improvements which are disclosed in the Inventions, and that upon the request of the Assignee, Assignor will take such further actions, including without limitation execution and delivery of instruments of conveyance, as may be appropriate to give full and proper effect to such assignment.

NOW THEREFORE, in consideration of the foregoing premises, the sum of Ten Dollars ($10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby assigns, sells and transfers to Assignee Assignor's entire right, title and interest in and to the Inventions, in the United States and its territorial possessions, and in all foreign countries, including without limitation: (1) all rights to claim priority in and to the Inventions and/or any and all improvements which are disclosed in the Inventions; (2) all rights with respect to the Inventions, including all United States patents and/or other

governmental grants and/or issuances that may be granted with respect to the Inventions and/or from any direct and/or indirect divisionals, continuations, continuations-in-part and/or other patent applications claiming priority rights from the Inventions; (3) all reissues, reexaminations, extensions and/or registrations of the foregoing; (4) all non-United States patents, patent applications and/or counterparts relating to the foregoing, including without limitation certificates of invention, utility models, industrial design protection, design patent protection and other governmental grants and/or issuances, and including the right to file United States and/or foreign applications directly in the name of Assignee, its successors and assigns; (5) all causes of action and/or remedies related to the foregoing (including without limitation, the right to sue for past, present and/or future infringement, misappropriation and/or violation of rights related to any of the foregoing and the right to collect royalties and/or other payments under or on account of any of the foregoing); and, (6) any and all other rights and/or interests arising out of, in connection with and/or in relation to the foregoing.

Assignor hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Confirmatory Assignment.

Assignor agrees now, and at any time and from time to time in the future, upon request of Assignee, Assignee's successors, legal representatives and/or assigns, and at Assignee's expense, to take, execute, acknowledge, and deliver all such further acts and deeds, assignments, instruments of transfer or conveyance, registrations for assignments, powers of attorney, declarations and/or assurances as may be required for the assigning, selling, transferring, assuring and confirming to Assignee, and Assignee's successors and assigns, and/or for aiding and assisting in collecting and reducing to possession, the rights assigned, sold and transferred under this Confirmatory Assignment, to participate in proceedings regarding such rights (including without limitation, any litigation and/or patent office proceedings) and/or to or perfect and/or defend title to such rights.

Assignor requests the respective patent office or governmental agency in each jurisdiction to issue any and all patents, certificates of invention, utility models and/or other governmental grants and/or issuances that may be granted upon the rights assigned, sold and transferred under this Confirmatory Assignment in the name of the Assignee, as the assignee to the entire interest therein.

AMEREN UE EXHIBIT 1027
Page 646

The terms and conditions of this Confirmatory Assignment will inure to the benefit of Assignee, and Assignee's successors, legal representatives and assigns, and will be binding upon Assignor, and Assignor's successors, legal representatives and assigns.

By: Edwin S. Olson
(Edwin S. Olson, *Signature MUST be attested*)

ATTESTATION

ATTESTATION OF SIGNATURE PURSUANT TO 28 U.S.C. 1746

The undersigned witnessed the signature of Edwin S. Olson to the above Confirmatory Assignment and makes the following statements:

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.

2. Edwin S. Olson is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on August 25, 2014 to execute the above Confirmatory Assignment.

3. Edwin S. Olson subscribed to the above Confirmatory Assignment.

I declare under penalty of perjury under the laws of the United States of America that the statements made in the three (3) numbered paragraphs immediately above are true and correct.

EXECUTED on August 25, 2014 (date)

James R Duggan
Print Name: James R Duggan

Exhibit A

1. U.S. Patent No. 7,435,286, issued October 14, 2008, titled "Sorbents for the Oxidation and Removal of Mercury"

2. U.S. Patent No. 7,615,101, issued November 10, 2009, titled "High Energy Dissociation for Mercury Control Systems"

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AMEREN UE EXHIBIT 1027
Page 648

16. U.S. Patent Application Serial No. 14/195,360, filed on March 6, 2013, titled "Activated Carbon Sorbent Including Nitrogen and Methods of Using the Same"

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20. U.S. Provisional Patent Application Serial No. 60/947,543, filed on July 2,2007, titled "Mercury Control Using Moderate-Temperature Dissociation of Halogen Compounds"

21. U.S. Provisional Patent Application Serial No. 61/773,549, filed on March 6, 2013, titled "Activated Carbon Sorbent Including Nitrogen and Methods of Using the Same"

CONFIRMATORY ASSIGNMENT

THIS CONFIRMATORY ASSIGNMENT is made by John H. Pavlish residing at 4204 210th St SW, East Grand Forks, MN ("Assignor"), to Energy and Environmental Research Center Foundation, a North Dakota nonprofit corporation having a business address of 15 N. 23rd Street, Stop 9018, Grand Forks, North Dakota, 58202-9018 ("Assignee") and is effective as of the date this Confirmatory Assignment is executed by Assignor.

WHEREAS, Assignor has invented the inventions disclosed in the patents and patent applications listed in Exhibit A attached (collectively, and including all such inventions and all patents and patent applications for such inventions that have now been, or may in the future be, filed and/or granted for such inventions, including without limitation those patents and patent applications listed in Exhibit A, "Inventions") and, for good and valuable consideration, has agreed to assign, and has assigned, all of Assignor's right, title and interest in and to the Inventions to Assignee; and

WHEREAS, Assignor desires to confirm and assure the assignment, sale and transfer to Assignee of any and all right, title, and interest Assignor has in the Inventions; and

WHEREAS, this Confirmatory Assignment confirms that all Inventions listed in Exhibit A belong exclusively to Assignee and that Assignor has assigned, sold and transferred to Assignee, and hereby further assigns, sells and transfers to Assignee, without any requirement of further consideration, the entire right, title and interest in and to the Inventions, in the United States and its territorial possessions, and in all foreign countries, including without limitation all rights to claim priority in and to any and all improvements which are disclosed in the Inventions, and that upon the request of the Assignee, Assignor will take such further actions, including without limitation execution and delivery of instruments of conveyance, as may be appropriate to give full and proper effect to such assignment.

NOW THEREFORE, in consideration of the foregoing premises, the sum of Ten Dollars ($10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby assigns, sells and transfers to Assignee Assignor's entire right, title and interest in and to the Inventions, in the United States and its territorial possessions, and in all foreign countries, including without limitation: (1) all rights to claim priority in and to the Inventions and/or any and all improvements which are disclosed in the Inventions; (2) all rights with respect to the Inventions, including all United States patents and/or other

governmental grants and/or issuances that may be granted with respect to the Inventions and/or from any direct and/or indirect divisionals, continuations, continuations-in-part and/or other patent applications claiming priority rights from the Inventions; (3) all reissues, reexaminations, extensions and/or registrations of the foregoing; (4) all non-United States patents, patent applications and/or counterparts relating to the foregoing, including without limitation certificates of invention, utility models, industrial design protection, design patent protection and other governmental grants and/or issuances, and including the right to file United States and/or foreign applications directly in the name of Assignee, its successors and assigns; (5) all causes of action and/or remedies related to the foregoing (including without limitation, the right to sue for past, present and/or future infringement, misappropriation and/or violation of rights related to any of the foregoing and the right to collect royalties and/or other payments under or on account of any of the foregoing); and, (6) any and all other rights and/or interests arising out of, in connection with and/or in relation to the foregoing.

Assignor hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Confirmatory Assignment.

Assignor agrees now, and at any time and from time to time in the future, upon request of Assignee, Assignee's successors, legal representatives and/or assigns, and at Assignee's expense, to take, execute, acknowledge, and deliver all such further acts and deeds, assignments, instruments of transfer or conveyance, registrations for assignments, powers of attorney, declarations and/or assurances as may be required for the assigning, selling, transferring, assuring and confirming to Assignee, and Assignee's successors and assigns, and/or for aiding and assisting in collecting and reducing to possession, the rights assigned, sold and transferred under this Confirmatory Assignment, to participate in proceedings regarding such rights (including without limitation, any litigation and/or patent office proceedings) and/or to or perfect and/or defend title to such rights.

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AMEREN UE EXHIBIT 1027
Page 651

The terms and conditions of this Confirmatory Assignment will inure to the benefit of Assignee, and Assignee's successors, legal representatives and assigns, and will be binding upon Assignor, and Assignor's successors, legal representatives and assigns.

By: _____
(John H. Pavlish, *Signature MUST be attested*)

ATTESTATION

ATTESTATION OF SIGNATURE PURSUANT TO 28 U.S.C. 1746

The undersigned witnessed the signature of John H. Pavlish to the above Confirmatory Assignment and makes the following statements:

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.

2. John H. Pavlish is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on August 25, 2014 to execute the above Confirmatory Assignment.

3. John H. Pavlish subscribed to the above Confirmatory Assignment.

I declare under penalty of perjury under the laws of the United States of America that the statements made in the three (3) numbered paragraphs immediately above are true and correct.

EXECUTED on August 25, 2014 (date)

James R Duzan
Print Name: James R Duzan

Exhibit A

1. U.S. Patent No. 7,435,286, issued October 14, 2008, titled "Sorbents for the Oxidation and Removal of Mercury"

2. U.S. Patent No. 7,615,101, issued November 10, 2009, titled "High Energy Dissociation for Mercury Control Systems"

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CONFIRMATORY ASSIGNMENT

THIS CONFIRMATORY ASSIGNMENT is made by Michael J. Holmes residing at 2144 S 38th St, Grand Forks, ND ("Assignor"), to Energy and Environmental Research Center Foundation, a North Dakota nonprofit corporation having a business address of 15 N. 23rd Street, Stop 9018, Grand Forks, North Dakota, 58202-9018 ("Assignee") and is effective as of the date this Confirmatory Assignment is executed by Assignor.

WHEREAS, Assignor has invented the inventions disclosed in the patents and patent applications listed in Exhibit A attached (collectively, and including all such inventions and all patents and patent applications for such inventions that have now been, or may in the future be, filed and/or granted for such inventions, including without limitation those patents and patent applications listed in Exhibit A, "Inventions") and, for good and valuable consideration, has agreed to assign, and has assigned, all of Assignor's right, title and interest in and to the Inventions to Assignee; and

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WHEREAS, this Confirmatory Assignment confirms that all Inventions listed in Exhibit A belong exclusively to Assignee and that Assignor has assigned, sold and transferred to Assignee, and hereby further assigns, sells and transfers to Assignee, without any requirement of further consideration, the entire right, title and interest in and to the Inventions, in the United States and its territorial possessions, and in all foreign countries, including without limitation all rights to claim priority in and to any and all improvements which are disclosed in the Inventions, and that upon the request of the Assignee, Assignor will take such further actions, including without limitation execution and delivery of instruments of conveyance, as may be appropriate to give full and proper effect to such assignment.

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governmental grants and/or issuances that may be granted with respect to the Inventions and/or from any direct and/or indirect divisionals, continuations, continuations-in-part and/or other patent applications claiming priority rights from the Inventions; (3) all reissues, reexaminations, extensions and/or registrations of the foregoing; (4) all non-United States patents, patent applications and/or counterparts relating to the foregoing, including without limitation certificates of invention, utility models, industrial design protection, design patent protection and other governmental grants and/or issuances, and including the right to file United States and/or foreign applications directly in the name of Assignee, its successors and assigns; (5) all causes of action and/or remedies related to the foregoing (including without limitation, the right to sue for past, present and/or future infringement, misappropriation and/or violation of rights related to any of the foregoing and the right to collect royalties and/or other payments under or on account of any of the foregoing); and, (6) any and all other rights and/or interests arising out of, in connection with and/or in relation to the foregoing.

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AMEREN UE EXHIBIT 1027
Page 656

The terms and conditions of this Confirmatory Assignment will inure to the benefit of Assignee, and Assignee's successors, legal representatives and assigns, and will be binding upon Assignor, and Assignor's successors, legal representatives and assigns.

By: _____
(Michael J. Holmes, *Signature MUST be attested*)

ATTESTATION

ATTESTATION OF SIGNATURE PURSUANT TO 28 U.S.C. 1746

The undersigned witnessed the signature of Michael J. Holmes to the above Confirmatory Assignment and makes the following statements:

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.

2. Michael J. Holmes is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on August 22, 2014 to execute the above Confirmatory Assignment.

3. Michael J. Holmes subscribed to the above Confirmatory Assignment.

I declare under penalty of perjury under the laws of the United States of America that the statements made in the three (3) numbered paragraphs immediately above are true and correct.

EXECUTED on August 22, 2014 (date)

James R Duzan
Print Name: JAMES R DUZAN

Exhibit A

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9. U.S. Patent No. 8,652,235, issued February 18, 2014, titled "Sorbents for the Oxidation and Removal of Mercury"

10. U.S. Patent No. 8,807,056, issued August 19, 2014, titled "Mercury Control Using Moderate-Temperature Dissociation of Halogen Compounds"

11. U.S. Patent Application Serial No. 13/544,971, filed on July 9, 2012, titled "Mercury Control Using Moderate-Temperature Dissociation of Halogen Compounds"

12. U.S. Patent Application Serial No. 13/966,768, filed on August 14, 2013, titled "Sorbents for the Oxidation and Removal of Mercury"

13. U.S. Patent Application Serial No. 14/102,896, filed on December 11, 2013, titled "Sorbents for the Oxidation and Removal of Mercury"

14. U.S. Patent Application Serial No. 14/318,270, filed on June 27, 2014, titled "Sorbents for the Oxidation and Removal of Mercury"

15. U.S. Patent Application Serial No. 14/446,992, filed on July 30, 2014, titled "Mercury Control Using Moderate-Temperature Dissociation of Halogen Compounds"

AMEREN UE EXHIBIT 1027
Page 658

16. U.S. Provisional Patent Application Serial No. 60/605,640, filed on August 30, 2004, titled "Sorbents for the Oxidation and Removal of Mercury"

17. U.S. Provisional Patent Application Serial No. 60/464,868, filed on April 23, 2003, titled "Process for Regenerating a Spent Sorbent"

18. U.S. Provisional Patent Application Serial No. 60/947,543, filed on July 2,2007, titled "Mercury Control Using Moderate-Temperature Dissociation of Halogen Compounds"

AMEREN UE EXHIBIT 1027
Page 659

ASSIGNMENT

THIS ASSIGNMENT is made and effective as of April 24, 2017 by and between the ENERGY AND ENVIRONMENTAL RESEARCH CENTER FOUNDATION ("**EERC Foundation**"), a non-profit corporation organized under the laws of the State of North Dakota, having its principal place of business at 15 North 23rd Street, Stop 9018, Grand Forks, North Dakota 58202, and MIDWEST ENERGY EMISSIONS CORP., a Delaware corporation ("**ME_2C**"), having its principal place of business at 670 D Enterprise Drive, Lewis Center, Ohio 43035.

WHEREAS, EERC Foundation owns by ASSIGNMENT from the named inventors recorded in the United States Patent and Trademark Office, the right, title, and interest in the patents and patent applications listed in **Appendix A** attached hereto; and

WHEREAS, ME_2C desires to own EERC Foundation's entire right, title, and interest in and to the patents and patent applications listed in **Appendix A**.

NOW THEREFORE, be it known that, for good and valuable consideration, receipt of which is hereby acknowledged, the EERC Foundation hereby sells, assigns, transfers and sets over to ME_2C, its lawful successors and assigns, all rights, titles, interests, and accrued causes of action for damages for infringement of, all patents, patent applications, all divisions, substitutions, continuations, continuations-in-part, reissues, reexaminations, and extensions thereof, together with all foreign counterparts thereof and patents issuing thereon derived from or claiming priority of the United States provisional patent application or other provisional patent application filed for an issued patent or patent application as set forth in **Appendix A**;

AND, EERC Foundation hereby represents, warrants and agrees that EERC Foundation has the full right to convey the interests assigned by this ASSIGNMENT, and has obtained the approval from the U.S. Department of Energy and from the U.S. Environmental Protection Agency necessary to transfer the interests assigned hereby to ME_2C;

AND, this ASSIGNMENT may be executed in counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.

IN WITNESS WHEREOF, each party has caused its authorized representative to execute this ASSIGNMENT, effective as of the date indicated above.

Energy and Environmental Research Center Foundation

By: _____
Name: Ron Ness
Title: President

Midwest Energy Emissions Corp.

By: _____
Name: Richard H. Gross
Title: Chief Financial Officer

ACKNOWLEDGMENT

State of North Dakota)
) ss:
County of Burleigh)

KAY A. ROTH
Notary Public
State of North Dakota
My Commission Expires September 4, 2021

On the 27th day of April, 2017, before me, the undersigned, personally appeared Ron Ness, personally known to me or proved to me on the basis of satisfactory evidence to be the person who executed this instrument on behalf of Energy and Environmental Research Center Foundation, and acknowledged that he executed it in such representative capacity.

Kay A. Roth
Notary Public

ACKNOWLEDGMENT

State of Ohio)
) ss:
County of Delaware)

On the 19 day of May April, 2017, before me, the undersigned, personally appeared Richard H. Gross, personally known to me or proved to me on the basis of satisfactory evidence to be the person who executed this instrument on behalf of Midwest Energy Emissions Corp., and acknowledged that he executed it in such representative capacity.

Geraldine A. Craig
Notary Public

GERALDINE A. CRAIG - NOTARY PUBLIC
STATE OF OHIO
My Commission Expires

APPENDIX A
PATENTS AND PATENT APPLICATIONS

Issued Patents

U.S. Patents

- U.S. Patent 7,435,286 – Sorbents for the Oxidation and Removal of Mercury (05-001)
- U.S. Patent 8,173,566 – Process for Regenerating a Spent Sorbent (PAC Regen) (03-023)
- U.S. Patent 8,168,147 – Sorbents for the Oxidation and Removal of Mercury (09-012)
- U.S. Patent 8,312,822 – Mercury Control Using Moderate-Temperature Dissociation of Halogen Compounds (06-001)
- U.S. Patent 8,440,585 – Process for Regenerating a Spent Sorbent (03-023US1 CONT)
- U.S. Patent 7,615,101 – High Energy Dissociation for Mercury Control Systems (05-015)
- U.S. Patent 7,628,969 – Multifunctional Abatement of Air Pollutants in Flue Gas (09006CIP of 05-015)
- U.S. Patent 8,807,056 – Mercury Control Using Moderate-Temperature Dissociation of Halogen Compounds (06-001CONT)
- U.S. Patent 8,512,655 – Sorbents for the Oxidation and Removal of Mercury (09-012CONT1)
- U.S. Patent 8,652,235 – Sorbents for the Oxidation and Removal of Mercury (09-013CIP)
- U.S. Patent 8,821,819 – Sorbents for the Oxidation and Removal of Mercury (09-012CONT2)
- U.S. Patent 9,011,805 – Carbon Nanocomposite Sorbent and Methods of Using the Same for Separation of One or More Materials from a Gas Stream (12-001)
- U.S. Patent 9,155,997 – Mercury Control Using Moderate-Temperature Dissociation of Halogen Compounds (06-001CONT2)
- U.S. Patent 9,468,886- Sorbents for the Oxidation and Removal of Mercury (09-013CIP CONT1)

Foreign Patents

- Canada Patent 2,523,132 – Process for Regenerating a Spent Sorbent (03-023)
- Canada Patent 2,584,327 – Sorbents for the Oxidation and Removal of Mercury (05-001)

  Canadian Patent 2,757,309 Sorbents for the Oxidation and Removal of Mercury (09-013)

- China Patent ZL200580037037.0 (Certificate No. 635764) – Sorbents and Flue Gas Additives for the Oxidation and Removal of Mercury (05-001)

- Europe Patent 1 796 816 – Sorbents for the Oxidation and Removal of Mercury (05-001EP)
- Europe Divisional No. 2 431 087 – Removal of Mercury from Flue Gas (05-001EU Div1)
  - Validating the patent application in Germany for issuance of patent
  - Validating the patent application in the Netherlands for issuance of patent
  - Validating the patent application in the United Kingdom for issuance of patent
- Europe Patent 2 429 685 – Sorbents for the Oxidation and Removal of Mercury (09013EP)
  - Validating the patent application in Germany for issuance of patent
  - Validating the patent application in the Netherlands for issuance of patent
  - Validating the patent application in the United Kingdom for issuance of patent 200480017704.4
- China Patent ZL200680041485.2 – High-Energy Dissociation for Mercury Control Systems (05-015CN)
- Europe Patent 1 931 449 – High-Energy Dissociation for Mercury Control Systems (05015EP)
  - Validating the patent application in Germany for issuance of patent
  - Validating the patent application in the Netherlands for issuance of patent
  - Validating the patent application in the United Kingdom for issuance of patent
- China Patent ZL201080017698.8 Process for Regenerating a Spent Sorbent (PAC Regen) (09-013CN)
- China Patent ZL200480017704.4 Process for Regenerating a Spent Sorbent (PAC Regen) (03-023CN)

Pending Patent Applications

U.S. Patents

- Activated Carbon Sorbent Including Nitrogen and Methods of Using the Same – Application Serial No. 14/195,360 (13-004)
- Sorbents for the Oxidation and Removal of Mercury – Application Serial No. 14/318,270 (09-012CONT3)
- Sorbents for the Oxidation and Removal of Mercury – Application Serial No. 15/295,594 (09-013CONT2)
- Carbon Nanocomposite Sorbent and Methods of Using the Same for Separation of One or More Materials from a Gas Stream – Application Serial No. 14/564,860 (12-001Cont)
- Sorbents for the Oxidation and Removal of Mercury – Application Serial No. 14/712,558 (09-012CONT4)
- Mercury Control Using Moderate-Temperature Dissociation of Halogen Compounds – Application Serial No. 14/880,463 (06-001CONT3)
- Promoted Ammonium Salt-Protected Activated Carbon Sorbent Particles For Removal of Mercury From Gas Streams-Application Serial No. 15/382,114 (13-004 CIP)

AMEREN UE EXHIBIT 1027
Page 663

- Sorbent Comprising Carbon and Nitrogen and Methods of Using the Same—Application Serial No. 15/449,112 (13-004 CIP2)
- Carbon Nanocomposite Sorbent and Methods of Using the Same for Separation of One or More Materials from a Gas Stream – Application Serial No. 15/452,527 (12-001Cont2)

Foreign Patents

- Process for Regenerating a Spent Sorbent (PAC Regen) (03-023)
  - Application pending in European Patent Office – Application Serial No. 04750664.7
- Sorbents and Flue Gas Additives for the Oxidation and Removal of Mercury (05-001)
- Note: A different divisional – Application Serial No. 11 189 249.3 – was abandoned as unpatentable over prior art
- Carbon Nanocomposite Sorbent and Methods of Using the Same for Separation of One or More Materials from a Gas Stream (12-001PCT)
  - Application pending in Europe
  - Application pending in China
  - Application pending in Canada
- Activated Carbon Sorbent Including Nitrogen and Methods of Using the Same (13-004PCT)
  - Application pending in Canada
  - Application pending in China
  - Application pending in Europe

Provisional Patent Applications

U.S. Patents

- Sorbents for the Oxidation and Removal of Mercury – U.S. Provisional Patent Application Serial No. 60/605,640 (05-001PRV)
- Process for Regenerating a Spent Sorbent – U.S. Provisional Patent Application Serial No. 60/464,868 (03-023PRV)
- Mercury Control Using Moderate-Temperature Dissociation of Halogen Compounds – U.S. Provisional Patent Application Serial No. 60/947,543 (06-001PRV)
- Activated Carbon Sorbent Including Nitrogen and Methods of Using the Same – Application Serial Number 61/773,549 (13-004PRV)

Appendix A

Application #	SLW File #	Patent #
11/209,163	4820.001US1	7,435,286
10/554,018	4820.002US1	8,173,566
12/419,219	4820.001US3	8,168,147
12/167,054	4820.008US1	8,312,822
13/425,288	4820.002US2	8,440,585
11/220,810	4820.009US1	7,615,101
12/177,771	4820.010US1	7,628,969
13/544,971	4820.008US2	8,807,056
13/427,665	4820.001US4	8,512,655
12/429,058	4820.007US1	8,652,235
13/966,768	4820.001US5	8,821,819
13/453,274	4820.006US1	9,011,805
14/446,992	4820.008US3	9,155,997
14/102,896	4820.007US2	9,468,886
14/195,360	4820.003US1	9,669,355
14/318,270	4820.001US6	
15/295,594	4820.007US3	
14/564,860	4820.006US2	9,662,629
14/712,558	4820.001US7	
14/880,463	4820.008US4	9,675,931
15/382,114	4820.004US1	
15/449,112	4820.005US1	
15/452,527	4820.006US3	
60/605,640	4820.001PRV	
60/464,868	4820.002PRV	
60/947,543	4820.008PRV	
61/773,549	4820.003PRV	

Amended PTO/AIA/96 (08-12)
Approved for use through 01/31/2013. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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STATEMENT UNDER 37 CFR 3.73(c)

Applicant/Patent Owner: Midwest Energy Emissions Corp.

Application No./Patent No.: 15/997,091 Filed/Issue Date: June 4, 2018

Titled: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

Midwest Energy Emissions Corp. a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.

2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):

   ☐ The extent (by percentage) of its ownership interest is _____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.

   ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

   Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3 ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

   Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame ____, or for which a copy thereof is attached.

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

   The document was recorded in the United States Patent and Trademark Office at

   Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

   The document was recorded in the United States Patent and Trademark Office at

   Reel _____, Frame _____, or for which a copy thereof is attached.

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Nicholas P. Lanzatella/

Signature

09/27/2019

Date

Nicholas P. Lanzatella

Printed or Typed Name

USPTO Reg. No. 63,803

Title or Registration Number

Modified PTO/AIA/14 (12-13)
Approved for use through 04/30/2017. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Application Data Sheet 37 CFR 1.76	Attorney Docket Number	4820.001U10
	Application Number	15/997,091
Title of Invention	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY	

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.
This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Secrecy Order 37 CFR 5.2:

☐ Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Inventor Information:

Inventor:

Legal Name

Prefix	Given Name	Middle Name	Family Name	Suffix

Residence Information (Select One) ☐ US Residency ☐ Non US Residency ☐ Active US Military Service

City		State/Province		Country of Residence	

Mailing Address of Inventor:

Address 1	
Address 2	

City		State/Province	
Postal Code		Country	

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below.
For further information see 37 CFR 1.33(a).

☐ An Address is being provided for the correspondence Information of this application.

Customer Number	
Email Address	

Application Information:

Title of the Invention			
Attorney Docket Number		Small Entity Status Claimed ☐	
Application Type			
Subject Matter			
Suggested Class (if any)		Sub Class (if any)	
Suggested Technology Center (if any)			
Total Number of Drawing Sheets (if any)		Suggested Figure for Publication (if any)	

AMEREN UE EXHIBIT 1027
Page 668

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	4820.001U10
	Application Number	15/997,091
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This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Filing By Reference:

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country Publication

Publication Information:

☐ Request Early Publication (Fee required at time of Request 37 CFR 1.219)

☐ Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Entereither Customer Number or complete the Representative Name section below. If bothsections are completed the Customer Number will be used for the Representative Information during processing.

Please Select One:	☐ Customer	☐ US Patent Practitioner	☐ Limited Recognition (37 CFR 11.9)
Customer Number			

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status			
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)

Foreign Priority Information:

AMEREN UE EXHIBIT 1027
Page 669

Modified PTO/AIA/14 (12-13)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Application Data Sheet 37 CFR 1.76	Attorney Docket Number	4820.001U10
	Application Number	15/997,091
Title of Invention	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY	

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.
This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)i the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the POX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

Application Number	Country	Parent Filing Date (YYYY-MM-DD)	Access Code (if applicable)

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	4820.001U10
	Application Number	15/997,091
Title of Invention	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY	

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This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant must opt-out of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is ONLY reviewed and processed with the INITIAL filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. Priority Document Exchange (PDX) - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h)(1).

B. Search Results from U.S. Application to EPO - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)

☐ **A. Applicant DOES NOT authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.**

☐ **B. Applicant DOES NOT authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.**

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

AMEREN UE EXHIBIT 1027
Page 671

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	4820.001U10
	Application Number	15/997,091
Title of Invention	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY	

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This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Applicant: ~~Midwest Energy Emissions Corp~~ Midwest Energy Emissions Corp.

If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.

☒ Assignee	☐ Legal Representative under 35 U.S.C. 117	☐ Joint Inventor
☐ Person to whom the inventor is obligated to assign	☐ Person who shows sufficient proprietary interest	

If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:

☐ Deceased	☐ Legally incapacitated

Name of the Deceased or Legally Incapacitated Inventor :

If the Applicant is an Organization check here: ☒

Organization Name: ~~Midwest Energy Emissions Corp~~ Midwest Energy Emissions Corp.

Prefix	Given Name	Middle Name	Family Name	Suffix

Mailing Address Information:

Address 1	670 D Enterprise Drive		
Address 2			
City	Lewis Center	**State/Province**	OH
Country	United States of America	**Postal Code**	43035
Phone Number			
Email Address			

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	4820.001U10
	Application Number	15/997,091
Title of Invention	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY	

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.
This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.

If the Assignee or Non-Applicant Assignee is an Organization check here. ☐

Prefix	Given Name	Middle Name	Family Name	Suffix

Mailing Address Information For Assignee including Non-Applicant Assignee:

Address 1			
Address 2			
City		State/Province	
Country		Postal Code	
Phone Number		Fax Number	
Email Address			

Signature:

NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). **However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).**

This Application Data Sheet **must** be signed by a patent practitioner if one or more of the applicants is **a juristic entity** (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, **all** joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of **all** joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

Signature	/Nicholas P. Lanzatella/			Date (YYYY-MM-DD)	2019-09-27
First Name	Nicholas	Last Name	Lanzatella	Registration Number	63,803

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-14**

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
15/997,091	06/04/2018	1776	2720	4820.001US10	20	1

CONFIRMATION NO. 5161

CORRECTED FILING RECEIPT

21186
SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402



Date Mailed: 10/02/2019

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

Inventor(s)

Edwin S. Olson, Grand Forks, ND;
Michael J. Holmes, Thompson, ND;
John H. Pavlish, East Grand Forks, MN;

Applicant(s)

Midwest Energy Emissions Corp., Lewis Center, OH;

Power of Attorney: The patent practitioners associated with Customer Number 21186

Domestic Priority data as claimed by applicant

This application is a CON of 15/974,343 05/08/2018
which is a CON of 15/951,970 04/12/2018
which is a CON of 14/712,558 05/14/2015
which is a CON of 14/318,270 06/27/2014 PAT 9757689
which is a CON of 13/966,768 08/14/2013 PAT 8821819
which is a CON of 13/427,665 03/22/2012 PAT 8512655
which is a CON of 12/419,219 04/06/2009 PAT 8168147
which is a CON of 12/201,595 08/29/2008 ABN
which is a CON of 11/209,163 08/22/2005 PAT 7435286
which claims benefit of 60/605,640 08/30/2004

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.) - None.

Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 06/21/2018

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 15/997,091**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

Preliminary Class

423

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign

patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit http://www.SelectUSA.gov or call +1-202-482-6800.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/997,091	06/04/2018	Edwin S. Olson	4820.001US10	5161

21186 7590 10/23/2019
SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

EXAMINER
ORLANDO, AMBER ROSE

ART UNIT	PAPER NUMBER
1776	

NOTIFICATION DATE	DELIVERY MODE
10/23/2019	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

SLW@blackhillsip.com
uspto@slwip.com

CORRECTED ***Notice of Allowability***	**Application No.** 15/997,091	**Applicant(s)** Olson et al.	
	Examiner AMBER R ORLANDO	**Art Unit** 1776	**AIA (FITF) Status** No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☑ This communication is responsive to the IDS filed 09/13/2019.
   ☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

3. ☑ The allowed claim(s) is/are See Continuation Sheet . As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see **http://www.uspto.gov/patents/init_events/pph/index.jsp** or send an inquiry to **PPHfeedback@uspto.gov.**

4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   Certified copies:

   a) ☐ All b) ☐ Some *c) ☐ None of the:

   1. ☐ Certified copies of the priority documents have been received.
   2. ☐ Certified copies of the priority documents have been received in Application No. _____ .
   3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

   * Certified copies not received: _____ .

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
   ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____ .

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____.
3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material _____.
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date. _____.
5. ☐ Examiner's Amendment/Comment
6. ☐ Examiner's Statement of Reasons for Allowance
7. ☐ Other _____.

/AMBER R ORLANDO/
Primary Examiner, Art Unit 1776

Continuation of 3. The allowed claim(s) is/are: 1,9-10,12,16 and 18-42

Doc code: IDS
Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	15997091
Filing Date	2018-06-04
First Named Inventor	Edwin S. Olson
Art Unit	1776
Examiner Name	Amber Orlando
Attorney Docket Number	4820.001U10

U.S.PATENTS Remove

Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5556447		1996-09-17	Srinivasachar, Srivats, et al.	
	2	7361209		2008-04-22	Durham, Michael D, et al.	

If you wish to add additional U.S. Patent citation information please click the Add button. Add

U.S.PATENT APPLICATION PUBLICATIONS Remove

Examiner Initial*	Cite No	Publication Number	Kind Code[1]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20020114749	A1	2002-08-22	Cole, Jerald Alan	
	2	20030113239	A1	2003-06-19	Pahlman, John E, et al.	
	3	20030161771	A1	2003-08-28	Oehr, Klaus H	
	4	20040244657	A1	2004-12-09	Srinivasachar, Srivats, et al.	

/AMBER R ORLANDO/ 10/12/2019

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.R.O/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	15997091
Filing Date	2018-06-04
First Named Inventor	Edwin S. Olson
Art Unit	1776
Examiner Name	Amber Orlando
Attorney Docket Number	4820.001U10

	5	20070259781	A1	2007-11-08	Sinha, Rabindra K	
	6	20140099244	A1	2014-04-10	Olson, Edwin S, et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button. Add

FOREIGN PATENT DOCUMENTS Remove

Examiner Initial*	Cite No	Foreign Document Number[3]	Country Code[2] i	Kind Code[4]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T[5]
	1							

If you wish to add additional Foreign Patent Document citation information please click the Add button Add

NON-PATENT LITERATURE DOCUMENTS Remove

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T[5]
	1	"Application Serial No. 16/509,071, Non Final Office Action mailed 09-11-19", 21 pgs.	
	2	"Application Serial No. 14/712,558, Non Final Office Action mailed 09-12-19", 15 pgs.	

If you wish to add additional non-patent literature document citation information please click the Add button Add

EXAMINER SIGNATURE

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

/AMBER R ORLAND●/ 10/12/2019

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.R.O/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	15997091
Filing Date	2018-06-04
First Named Inventor	Edwin S. Olson
Art Unit	1776
Examiner Name	Amber Orlando
Attorney Docket Number	4820.001U10

[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

/AMBER R ●RLANDO/ 10/12/2019

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.R.O/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	15997091
Filing Date	2018-06-04
First Named Inventor	Edwin S. Olson
Art Unit	1776
Examiner Name	Amber Orlando
Attorney Docket Number	4820.001U10

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

☒ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Nicholas P. Lanzatella/	Date (YYYY-MM-DD)	2019-09-13
Name/Print	Nicholas P. Lanzatella	Registration Number	63,803

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.R.O/

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.

2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.

4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).

5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.

9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.R.O/

Doc Code: PET.AUTO Document Description: Petition automatically granted by EFS-Web	PTO/SB/140 U.S. Patent and Trademark Office Department of Commerce

Electronic Petition Request	**PETITION TO WITHDRAW AN APPLICATION FROM ISSUE AFTER PAYMENT OF THE ISSUE FEE UNDER 37 CFR 1.313(c)**
Application Number	15997091
Filing Date	04-Jun-2018
First Named Inventor	Edwin Olson
Art Unit	1776
Examiner Name	AMBER ORLANDO
Attorney Docket Number	4820.001US10
Title	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

An application may be withdrawn from issue for further action upon petition by the applicant. To request that the Office withdraw an application from issue, applicant must file a petition under this section including the fee set forth in § 1.17(h) and a showing of good and sufficient reasons why withdrawal of the application from issue is necessary.

APPLICANT HEREBY PETITIONS TO WITHDRAW THIS APPLICATION FROM ISSUE UNDER 37 CFR 1.313(c).

A grantable petition requires the following items:
(1) Petition fee; and
(2) One of the following reasons:
(a) Unpatentability of one or more claims, which must be accompanied by an unequivocal statement that one or more claims are unpatentable, an amendment to such claim or claims, and an explanation as to how the amendment causes such claim or claims to be patentable;
(b) Consideration of a request for continued examination in compliance with § 1.114 (for a utility or plant application only); or
(c) Express abandonment of the application. Such express abandonment may be in favor of a continuing application, but not a CPA under 37 CFR 1.53(d).

Petition Fee

- ○ Small Entity
- ○ Micro Entity
- ◉ Regular Undiscounted

Reason for withdrawal from issue

◯ One or more claims are unpatentable ◉ Consideration of a request for continued examination (RCE) (List of Required Documents and Fees) ◯ Applicant hereby expressly abandons the instant application (any attorney/agent signing for this reason must have power of attorney pursuant to 37 CFR 1.32(b)).
RCE request,submission, and fee. ☒ I certify, in accordance with 37 CFR 1.4(d)(4) that : The RCE request ,submission, and fee have already been filed in the above-identified application on 2019.07.11 ☐ Are attached.
THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES I certify, in accordance with 37 CFR 1.4(d)(4) that I am: ◉ An attorney or agent registered to practice before the Patent and Trademark Office who has been given power of attorney in this application. ◯ An attorney or agent registered to practice before the Patent and Trademark Office, acting in a representative capacity. ◯ A sole inventor ◯ A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application ◯ A joint inventor; all of whom are signing this e-petition

Signature	/Nicholas P. Lanzatella/
Name	Nicholas P. Lanzatella
Registration Number	63803

Electronic Patent Application Fee Transmittal

Application Number:	15997091
Filing Date:	04-Jun-2018
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Filer:	Janal M. Kalis/Megan Reed
Attorney Docket Number:	4820.001US10

Filed as Large Entity

Filing Fees for Utility under 35 USC 111(a)

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Basic Filing:				
PETITION FEE- 37 CFR 1.17(H) (GROUP III)	1464	1	140	140
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Extension-of-Time:				
Miscellaneous:				
			Total in USD ($)	**140**

UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Decision Date : November 11, 2019

In re Application of :

Edwin Olson

DECISION ON PETITION

UNDER CFR 1.313(c)(2)

Application No : 15997091

Filed : 04-Jun-2018

Attorney Docket No : 4820.001US10

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed November 11, 2019, to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED.**

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU 1776 for processing of the request for continuing examination under 37 CFR 1.114 .

Office of Petitions

Electronic Acknowledgement Receipt

EFS ID:	37715847
Application Number:	15997091
International Application Number:	
Confirmation Number:	5161
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Customer Number:	21186
Filer:	Janal M. Kalis/Megan Reed
Filer Authorized By:	Janal M. Kalis
Attorney Docket Number:	4820.001US10
Receipt Date:	11-NOV-2019
Filing Date:	04-JUN-2018
Time Stamp:	16:42:28
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	$140
RAM confirmation Number	E2019AAG42258519
Deposit Account	
Authorized User	
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Petition automatically granted by EFS	petition-request.pdf	31762 c7762aeacd1a2ba40408e016f9274dbd12308c99	no	2
Warnings:					
Information:					
2	Fee Worksheet (SB06)	fee-info.pdf	30677 3f039c1d0c8ab21dbdaadf3a091627f1504dc40c	no	2
Warnings:					
Information:					
		Total Files Size (in bytes):	62439		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL		
	Application Number	15/997,091
	Filing Date	June 4, 2018
	First Named Inventor	Edwin S. Olson
	Confirmation Number	5161
	Group Art Unit	1776
	Examiner Name	Amber Orlando
	Attorney Docket Number	4820.001U10
	Customer No.	21186

This is a Request for Continued Examination (RCE) under 37 C.F.R § 1.114 of the above-identified application entitled
SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

1. Submission required under 37 C.F.R. § 1.114:

   X Petition to Withdraw from Issue under 37 CFR 1.313(c)(2) submitted as a web-based ePetition via EFS-Web.

   X Certification And Request For Consideration Of An Information Disclosure Statement Filed After Payment Of The Issue Fee Under The QPIDS Pilot Program (1 page), Form 1449 (2 page), and copies of cited documents (14).

   X Certification And Request For Prioritized Examination (Track 1) (1 pg.)

2. Fees

   X Subject to the conditions of the QPIDS Pilot Program as stated above: Authorization to charge deposit account 19-0743 in the amount of $1900.00 to pay the subsequent RCE filing fee required under 37 C.F.R. § 1.17(e)(2).

   X Authorization to charge deposit account 19-0743 in the amount of $240.00 to pay the fee for IDS submission required under 37 C.F.R. § 1.17(p).

   X Authorization to charge deposit account 19-0743 in the amount of $140.00 for the fee under 37 CFR 1.17(h).

   X Authorization to charge deposit account 19-0743 in the amount of $4000.00 for the Prioritized Examination (Track 1) filing fee as set forth in 37 C.F.R. § 1.17(c); and Authorization to charge Deposit Account 19-0743 for the Processing Fee (Track 1) set forth in 37 C.F.R. § 1.17(i) in the amount of $140.00.

The Commissioner is hereby authorized to charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN LUNDBERG & WOESSNER, P.A.

By: /Nicholas P. Lanzatella/
Nicholas P. Lanzatella
Reg. No. 63,803

CERTIFICATION AND REQUEST FOR CONSIDERATION OF AN INFORMATION DISCLOSURE STATEMENT FILED AFTER PAYMENT OF THE ISSUE FEE UNDER THE QPIDS PILOT PROGRAM

Non-Provisional Application No. 15/997,091	Filing date: June 4, 2018

First Named Inventor: Edwin S. Olson et al.

Title of Invention: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

THE UNDERSIGNED HEREBY CERTIFIES AND REQUESTS THE FOLLOWING FOR THE ABOVEIDENTIFIED APPLICATION.

1. Consideration is requested of the information disclosure statement (IDS) submitted herewith, which is being filed after payment of the issue fee.

2. Check the box next to the appropriate selection:

☐ Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS. See 37 CFR 1.97(e)(1).

OR

☒ No item of information contained in the IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the IDS. See 37 CFR 1.97(e)(2).

OR

☐ See attached certification statement in compliance with 37 CFR 1.97(e).

3. Please charge the IDS fee set forth in 37 CFR 1.17(p) to Deposit Account No. 19-0743..

4. A Petition to Withdraw from Issue After Payment of the Issue Fee (37 CFR 1.313(c)(2)), including the petition fee set forth in 37 CFR 1.17(h), is submitted herewith as a **Web-based ePetition**.

WARNING: Do not submit the petition as a follow-on paper via EFS-Web. Submit the petition as a Webbased ePetition by signing on to EFS-Web as a registered user, selecting the radio button next to "Existing application/patent," and then selecting the radio button next to "ePetition (for automatic processing and immediate grant, if all petitions requirements are met)." Failure to use the Web-based ePetition interface will result in automatic entry of the RCE.

5. A request for continued examination (RCE) under 37 CFR 1.114 and the RCE fee under 37 CFR 1.17(e) are submitted herewith.

6. The RCE will be treated as a "conditional" RCE. In the event the examiner determines that any item of information contained in the IDS necessitates the reopening of prosecution in the application, the undersigned understands that (i) the RCE will be processed and treated as an RCE under 37 CFR 1.114 and therefore (ii) the IDS fee under 37 CFR 1.17(p) will be returned in accordance with 37 CFR 1.97(b)(4). In the event that no item of information in the IDS necessitates reopening prosecution, the undersigned understands that the RCE will not be processed and the RCE fee under 37 CFR 1.17(e) will be returned.

7. This certification and request is being filed as a **Web-based ePetition** and is not accompanied by an amendment to the application. Inclusion of an amendment will result in automatic entry of the RCE.

Signature /Nicholas P. Lanzatella/	Date November 11, 2019
Name (Print/Typed) Nicholas P. Lanzatella	Practitioner Registration Number (If applicable) 63,803

Note: *Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required in accordance with 37 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary, submit multiple forms for more than one signature, see below.**

☒ *Total of ___1___ form is submitted.

Attorney Docket No. 4820.001U10

Modified form PTO/SB/08A(04-07) OMB 651-0031
US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Use as many sheets as necessary)	*Complete if Known*	
	Application Number	15/997,091
	Filing Date	June 4, 2018
	First Named Inventor	Edwin S. Olson
	Group Art Unit	1776
	Examiner Name	Amber Orlando
Sheet 1 of 2	Attorney Docket No: 4820.001U10	

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document
	US-10,471,412	11/12/2019	Olson, Edwin S, et al.
	US-20050274307A1	12/15/2005	Lissianski, Vitali Victor, et al.
	US-20190329179A1	10/31/2019	Olson, Edwin S., et al.
	US-20190329215A1	10/31/2019	Olson, Edwin S, et al.
	US-20190336913A1	11/7/2019	Olson, Edwin S, et al.

FOREIGN PATENT DOCUMENTS

Examiner Initial *	Foreign Document Number	Publication Date	Name of Patentee or Applicant of cited Document	T 1

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

Examiner Initial *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 1
	"Application Serial No. 15/382,114, Response filed 10-3-19 to Final Office Action mailed 06-21-19", 23 pgs	
	"Application Serial No. 15/589,359, Corrected Notice of Allowability mailed 10-11-19", 2 pgs	
	"Application Serial No. 15/974,343, Corrected Notice of Allowability mailed 10-23-19", 2 pgs	
	"Application Serial No. 16/509,071, Response filed 10-3-2019 to Non Final Office Action mailed 09-11-19", 26 pgs.	
	"Application Serial No. 16/509,102, Non Final Office Action mailed 10-23-19", 26 pgs	
	"Application Serial No. 16/509,146, Non Final Office Action mailed 10-17-19", 24 pgs	
	"Ch. 35: Mercury, Hazardous Air Pollutants and other Multi-Pollutant Control", Tomei, G. L. (Ed.). Steam: its generation and use, Babcock and Wilcox Co., (2015), 24 pgs.	
	"Defendant's Answer and Counterclaim", Midwest Energy Emissions Corp., et al., v. Vistra Energy Corp., et al. - Case 1:19-cv-01334-RGA, 71 pgs.	
	"Original Complaint for Patent Infringement", Midwest Energy Emissions Corp., et al., v. Vistra Energy Corp., et al. - Case 1:19-cv-01334-UNA, 31 pgs.	
	"Plaintiff's Answer to Defendant's Counterclaims", Midwest Energy Emissions Corp., et al., v. Vistra Energy Corp., et al. - Case 1:19-cv-01334-RGA, 37 pgs.	
	OLSON, E.S., et al., "Chemical mechanisms in mercury emission control technologies", J. Phys. IV France 107, Proc. of the XIIth Intl. Conference on Heavy Metals in the Environment Volume II, pp. 979-982, (2003), 6 pgs.	
	OLSON, EDWIN S., "Abstract - Multiple Site Model for Flue Gas - Mercury interactions on Activated Carbons: The Basic Site", Abstracts of Papers Part 1, 225th ACS National Meeting, New Orleans, LA, (2003), 3 pgs.	

EXAMINER **DATE CONSIDERED**

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant is to place a check mark here if English language Translation is attached

Modified form PTO/SB/08A(04-07) OMB 651-0031
US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Use as many sheets as necessary)	*Complete if Known*	
	Application Number	15/997,091
	Filing Date	June 4, 2018
	First Named Inventor	Edwin S. Olson
	Group Art Unit	1776
	Examiner Name	Amber Orlando
Sheet 2 of 2	Attorney Docket No: 4820.001U10	

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

Examiner Initial *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 1
	OLSON, EDWIN S., et al., "An Improved Model for Flue Gas - Mercury Interactions on Activated Carbons", Proceedings of the Combined Power Plant Air Pollutant Control Mega Symposium, (May 2003), 8 pgs.	
	OLSON, EDWIN, et al., "The Multiple Site Model for Flue Gas - Mercury Interactions on Activated Carbons: The Basic Site", Fuel Chemistry Preprints, 48(1), (2003), 3 pgs.	

EXAMINER **DATE CONSIDERED**

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant is to place a check mark here if English language Translation is attached

Doc Code: TRACK1.REQ
Document Description: TrackOne Request

Modified PTO/AIA/424 (04-14)

CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION UNDER 37 CFR 1.102(e)

(Page 1 of 1)

First Named Inventor:	Edwin S. Olson	Nonprovisional Application Number (if known):	15/997,091
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY	Docket No.:	4820.001U10

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.

1. The processing fee set forth in 37 CFR 1.17(i)(1) and the prioritized examination fee set forth in 37 CFR 1.17(c) have been filed with the request. The publication fee requirement is met because that fee, set forth in 37 CFR 1.18(d), is currently $0. The basic filing fee, search fee, and examination fee are filed with the request or have been already been paid. I understand that any required excess claims fees or application size fee must be paid for the application.

2. I understand that the application may not contain, or be amended to contain, more than four independent claims, more than thirty total claims, or any multiple dependent claims, and that any request for an extension of time will cause an outstanding Track I request to be dismissed.

3. **Request for Continued Examination – Prioritized Examination under § 1.102(e)(2)**

   i. A request for continued examination has been filed with, or prior to, this form.
   ii. If the application is a utility application, this certification and request is being filed via EFS-Web.
   iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C 371.
   iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
   v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

Signature /Nicholas P. Lanzatella/	Date November 11, 2019
Name (Print/Typed) Nicholas P. Lanzatella	Practitioner Registration Number: 63,803

Note: *This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.*

*Total of 1 forms are submitted.

Electronic Patent Application Fee Transmittal

Application Number:	15997091
Filing Date:	04-Jun-2018
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Filer:	Janal M. Kalis/Megan Reed
Attorney Docket Number:	4820.001US10

Filed as Large Entity

Filing Fees for Utility under 35 USC 111(a)

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Basic Filing:				
REQUEST FOR PRIORITIZED EXAMINATION	1817	1	4000	4000
Pages:				
Claims:				
Miscellaneous-Filing:				
PROCESSING FEE, EXCEPT PROV. APPLS.	1830	1	140	140
Petition:				
Patent-Appeals-and-Interference:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
SUBMISSION- INFORMATION DISCLOSURE STMT	1806	1	240	240
RCE- 2ND AND SUBSEQUENT REQUEST	1820	1	1900	1900
		Total in USD ($)		**6280**

Electronic Acknowledgement Receipt

EFS ID:	37715967
Application Number:	15997091
International Application Number:	
Confirmation Number:	5161
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Customer Number:	21186
Filer:	Janal M. Kalis/Megan Reed
Filer Authorized By:	Janal M. Kalis
Attorney Docket Number:	4820.001US10
Receipt Date:	11-NOV-2019
Filing Date:	04-JUN-2018
Time Stamp:	16:48:12
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	$6280
RAM confirmation Number	E2019AAG48358617
Deposit Account	
Authorized User	
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		SIGNED_4820_001U10_RCE_QPIDS_Track1.pdf	273306 516a7586e8e6fbde6571b97fcb99753ad0605004	yes	5

Multipart Description/PDF files in .zip description

Document Description	Start	End
Request for Continued Examination (RCE)	1	1
Information Disclosure Statement (IDS) Form (SB08)	2	2
Information Disclosure Statement (IDS) Form (SB08)	3	4
TrackOne Request	5	5

Warnings:					
Information:					
2	Non Patent Literature	0001_2_npl_4820001u11_nonfinalofficeactionresponseprioritized_f.pdf	526929 ef0b9e75d008d53fa4cf6d89dadca1bbde80d982	no	26
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Information:					
3	Non Patent Literature	0002_2_npl_4820001u12_oarnpe_10232019_f.pdf	1143427 86f58db94196ea7de5e4aa2b4da8b51846a7bce5	no	26
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Information:					
4	Non Patent Literature	0003_2_npl_4820001us9_cnoa_10232019_f.pdf	101253 7aff6952660e431093c886e6c0eebd8d0ed1639a	no	2
Warnings:					
Information:					

5	Non Patent Literature	0004_2_npl_4820003us2_cnoa_10112019_f.pdf	101037 a440a33f290ca6ba6fe2bb0ae9f61b3dc64ce674	no	2
Warnings:					
Information:					
6	Non Patent Literature	0005_2_npl_4820004us1_amendmentresponsefinalofficeaction_f.pdf	484739 a2dc62081bd78ac723b990ec6c08a63ac3fdd204	no	23
Warnings:					
Information:					
7	Non Patent Literature	0006_2_npl_4820007us5_oarn_10172019_f.pdf	1057697 00a2993ffe49297fa08b3f780b405d9f188f3522	no	24
Warnings:					
Information:					
8	Non Patent Literature	0007_2_npl_me2c_aep_answer_counterclaim_10092019_f.pdf	472359 750e6cfbd7b78f4f186f7b7b566570ed77ca7c78	no	71
Warnings:					
Information:					
9	Non Patent Literature	0008_2_npl_me2c_aep_plaintiff_answer_10302019_f.pdf	359806 366691c3e1b53c31288a6d8e476f1ded876cf2a4	no	37
Warnings:					
Information:					
10	Non Patent Literature	0009_2_npl_me2c_vistra_complaint_07172019_f.pdf	233926 2715dc5a22f13bf5de3d36cff419eb8a7e95e326	no	31
Warnings:					
Information:					
11	Non Patent Literature	0010_2_npl_olson_chemicalmechanisms_2003_f.pdf	3555311 ef0d98175d48c3dbe9f26a24e5ccb1f7ec745170	no	6
Warnings:					
Information:					

12	Non Patent Literature	0011_2_npl_olson_improvedmodel_2003_f.pdf	710676 61b3beb7290141b79ebd20dd6256779a85434e9e	no	8
Warnings:					
Information:					
13	Non Patent Literature	0012_2_npl_olson_multiplesite_2003_f.pdf	503809 b91274a5ff78815b4929d8804ebe88ee98493c3f	no	3
Warnings:					
The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing					
Information:					
14	Non Patent Literature	0013_2_npl_olson_multiplesite_abstract_2003_f.pdf	1478989 ac9c47c51535a32871f76314ccc267d8c0756e49	no	3
Warnings:					
Information:					
15	Non Patent Literature	0014_2_npl_steam_42_ch_35_2015_f.pdf	4031937 ca72d9fcb24d04a5914c0a83c7b78f3c668d19da	no	24
Warnings:					
Information:					
16	Fee Worksheet (SB06)	fee-info.pdf	35636 32c34021e2da2c88d5f64331cfd734a95930f09a	no	2
Warnings:					
Information:					
		Total Files Size (in bytes):	15070837		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
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www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/997,091	06/04/2018	Edwin S. Olson	4820.001US10	5161

21186 7590 11/22/2019

SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

EXAMINER	
ORLANDO, AMBER ROSE	

ART UNIT	PAPER NUMBER
1776	

NOTIFICATION DATE	DELIVERY MODE
11/22/2019	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

SLW@blackhillsip.com
uspto@slwip.com

Corrected ***Notice of Allowability***	**Application No.** 15/997,091	**Applicant(s)** Olson et al.	
	Examiner AMBER R ORLANDO	**Art Unit** 1776	**AIA (FITF) Status** No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☑ This communication is responsive to the IDS filed 11/11/2019.
   ☐ A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on _____.

2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on _____; the restriction requirement and election have been incorporated into this action.

3. ☑ The allowed claim(s) is/are See Continuation Sheet . As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see **http://www.uspto.gov/patents/init_events/pph/index.jsp** or send an inquiry to **PPHfeedback@uspto.gov.**

4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   Certified copies:

   a) ☐ All b) ☐ Some *c) ☐ None of the:

   1. ☐ Certified copies of the priority documents have been received.
   2. ☐ Certified copies of the priority documents have been received in Application No. _____ .
   3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

   * Certified copies not received: _____ .

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
   ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____ .

   Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____.
3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material _____.
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date. _____.
5. ☐ Examiner's Amendment/Comment
6. ☐ Examiner's Statement of Reasons for Allowance
7. ☐ Other _____.

/AMBER R ORLANDO/
Primary Examiner, Art Unit 1776

Continuation of 3. The allowed claim(s) is/are: 1,9-10,12,16 and 18-42

Modified form PTO/SB/08A(04-07) OMB 651-0031
US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449A/PTO **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Use as many sheets as necessary)	*Complete if Known*	
	Application Number	15/997,091
	Filing Date	June 4, 2018
	First Named Inventor	Edwin S. Olson
	Group Art Unit	1776
	Examiner Name	Amber Orlando
Sheet 1 of 2	Attorney Docket No: 4820.001U10	

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document
	US-10,471,412	11/12/2019	Olson, Edwin S, et al.
	US-20050274307A1	12/15/2005	Lissianski, Vitali Victor, et al.
	US-20190329179A1	10/31/2019	Olson, Edwin S., et al.
	US-20190329215A1	10/31/2019	Olson, Edwin S, et al.
	US-20190336913A1	11/7/2019	Olson, Edwin S, et al.

FOREIGN PATENT DOCUMENTS

Examiner Initial *	Foreign Document Number	Publication Date	Name of Patentee or Applicant of cited Document	T 1

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

Examiner Initial *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 1
	"Application Serial No. 15/382,114, Response filed 10-3-19 to Final Office Action mailed 06-21-19", 23 pgs	
	"Application Serial No. 15/589,359, Corrected Notice of Allowability mailed 10-11-19", 2 pgs	
	"Application Serial No. 15/974,343, Corrected Notice of Allowability mailed 10-23-19", 2 pgs	
	"Application Serial No. 16/509,071, Response filed 10-3-2019 to Non Final Office Action mailed 09-11-19", 26 pgs.	
	"Application Serial No. 16/509,102, Non Final Office Action mailed 10-23-19", 26 pgs	
	"Application Serial No. 16/509,146, Non Final Office Action mailed 10-17-19", 24 pgs	
	"Ch. 35: Mercury, Hazardous Air Pollutants and other Multi-Pollutant Control", Tomei, G. L. (Ed.). Steam: its generation and use, Babcock and Wilcox Co., (2015), 24 pgs.	
	"Defendant's Answer and Counterclaim", Midwest Energy Emissions Corp., et al., v. Vistra Energy Corp., et al. - Case 1:19-cv-01334-RGA, 71 pgs.	
	"Original Complaint for Patent Infringement", Midwest Energy Emissions Corp., et al., v. Vistra Energy Corp., et al. - Case 1:19-cv-01334-UNA, 31 pgs.	
	"Plaintiff's Answer to Defendant's Counterclaims", Midwest Energy Emissions Corp., et al., v. Vistra Energy Corp., et al. - Case 1:19-cv-01334-RGA, 37 pgs.	
	OLSON, E.S., et al., "Chemical mechanisms in mercury emission control technologies", J. Phys. IV France 107, Proc. of the XIIth Intl. Conference on Heavy Metals in the Environment Volume II, pp. 979-982, (2003), 6 pgs.	
	OLSON, EDWIN S., "Abstract - Multiple Site Model for Flue Gas - Mercury interactions on Activated Carbons: The Basic Site", Abstracts of Papers Part 1, 225th ACS National Meeting, New Orleans, LA, (2003), 3 pgs.	

EXAMINER /AMBER R ORLANDO/ **DATE CONSIDERED** 11/19/2019

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant is to place a check mark here if English language Translation is attached

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.R.O/

Modified form PTO/SB/08A(04-07) OMB 651-0031
US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449A/PTO **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Use as many sheets as necessary)	*Complete if Known*	
	Application Number	15/997,091
	Filing Date	June 4, 2018
	First Named Inventor	Edwin S. Olson
	Group Art Unit	1776
	Examiner Name	Amber Orlando
Sheet 2 of 2	Attorney Docket No: 4820.001U10	

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

Examiner Initial *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T1
	OLSON, EDWIN S., et al., "An Improved Model for Flue Gas - Mercury Interactions on Activated Carbons", Proceedings of the Combined Power Plant Air Pollutant Control Mega Symposium, (May 2003), 8 pgs.	
	OLSON, EDWIN, et al., "The Multiple Site Model for Flue Gas - Mercury Interactions on Activated Carbons: The Basic Site", Fuel Chemistry Preprints, 48(1), (2003), 3 pgs.	

EXAMINER /AMBER R ORLANDO/ **DATE CONSIDERED** 11/19/2019

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant is to place a check mark here if English language Translation is attached

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.R.O/

UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/997,091	06/04/2018	Edwin S. Olson	4820.001US10	5161

21186 7590 11/25/2019

SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

EXAMINER	
ORLANDO, AMBER ROSE	

ART UNIT	PAPER NUMBER
1776	

NOTIFICATION DATE	DELIVERY MODE
11/25/2019	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

SLW@blackhillsip.com
uspto@slwip.com

Decision Granting Request for Prioritized Examination (Track I)	**Application No.** 15/997,091	**Applicant(s)** Olson et al.	
	Examiner CHERYL P GIBSON BAYLOR	**Art Unit** OPET	**AIA (FITF) Status** No

1. THE REQUEST FILED 11 November 2019 IS **GRANTED** .

The above-identified application has met the requirements for prioritized examination

A. ☐ for an original nonprovisional application (Track I).
B. ☑ for an application undergoing continued examination (RCE).

2. **The above-identified application will undergo prioritized examination**. The application will be accorded special status throughout its entire course of prosecution until one of the following occurs:

A. filing a **petition for extension of time** to extend the time period for filing a reply;

B. filing an **amendment to amend the application to contain more than four independent claims, more than thirty total claims** , or a multiple dependent claim;

C. filing a **request for continued examination** ;

D. filing a notice of appeal;

E. filing a request for suspension of action;

F. mailing of a notice of allowance;

G. mailing of a final Office action;

H. completion of examination as defined in 37 CFR 41.102; or

I. abandonment of the application.

Telephone inquiries with regard to this decision should be directed to CHERYL GIBSON BAYLOR at (571)272-3213. In his/her absence, calls may be directed to Petition Help Desk at (571) 272-3282.

/CHERYL GIBSON BAYLOR/ Paralegal Specialist, OPET	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Edwin S. Olson et al.	Examiner:	Amber Orlando
Serial No.:	15/997,091	Group Art Unit:	1776
Filed:	June 4, 2018	Docket:	4820.001U10
Customer No.:	21186	Confirmation No.:	5161
Title:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY		

COMMUNICATION RE: UPDATE TO APPLICATION DATA SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants hereby request that the information provided on the Application Data Sheet filed in the above-referenced application on June 4, 2018, be updated with the information as provided on the enclosed marked up Application Data Sheet.

We therefore submit a marked up copy of the Application Data Sheet which shows an update to the application information.

Once this request is entered, it is respectfully requested that the USPTO update their records and provide confirmation of the above request by way of an Updated Filing Receipt.

It is believed that no fee is required to fulfill this request, however, if necessary, please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

Schwegman Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 349-9580

Date 01/28/2020 By /Nicholas P. Lanzatella/
Nicholas P. Lanzatella
Reg. No. 63,803

Modified PTO/AIA/14 (12-13)
Approved for use through 04/30/2017. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	4820.001U10
	Application Number	15/997,091
Title of Invention	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY	

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.
This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Secrecy Order 37 CFR 5.2:

☐ Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Inventor Information:

Inventor:

Legal Name

Prefix	Given Name	Middle Name	Family Name	Suffix

Residence Information (Select One) ☐ US Residency ☐ Non US Residency ☐ Active US Military Service

City		State/Province		Country of Residence	

Mailing Address of Inventor:

Address 1			
Address 2			
City		State/Province	
Postal Code		Country	

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below.
For further information see 37 CFR 1.33(a).

☐ An Address is being provided for the correspondence Information of this application.

Customer Number	
Email Address	

Application Information:

Title of the Invention			
Attorney Docket Number		Small Entity Status Claimed ☐	
Application Type			
Subject Matter			
Suggested Class (if any)		Sub Class (if any)	
Suggested Technology Center (if any)			
Total Number of Drawing Sheets (if any)		Suggested Figure for Publication (if any)	

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	4820.001U10
	Application Number	15/997,091
Title of Invention	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY	

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This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Filing By Reference:

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country Publication

Publication Information:

☐ Request Early Publication (Fee required at time of Request 37 CFR 1.219)

☐ Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Entereither Customer Number or complete the Representative Name section below. If bothsections are completed the Customer Number will be used for the Representative Information during processing.

Please Select One:	☐ Customer	☐ US Patent Practitioner	☐ Limited Recognition (37 CFR 11.9)
Customer Number			

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status			
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)

Foreign Priority Information:

AMEREN UE EXHIBIT 1027
Page 714

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	4820.001U10
	Application Number	15/997,091
Title of Invention	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY	

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.
This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)i the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the POX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

Application Number	Country	Parent Filing Date (YYYY-MM-DD)	Access Code (if applicable)

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	4820.001U10
	Application Number	15/997,091
Title of Invention	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY	

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.
This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant must opt-out of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is ONLY reviewed and processed with the INITIAL filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. Priority Document Exchange (PDX) - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h)(1).

B. Search Results from U.S. Application to EPO - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)

☐ **A. Applicant DOES NOT authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.**

☐ **B. Applicant DOES NOT authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.**

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	4820.001U10
	Application Number	15/997,091
Title of Invention	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY	

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This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Applicant: Midwest Energy Emissions Corp.

If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.

☒ Assignee	☐ Legal Representative under 35 U.S.C. 117	☐ Joint Inventor
☐ Person to whom the inventor is obligated to assign	☐ Person who shows sufficient proprietary interest	

If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:

☐ Deceased	☐ Legally incapacitated

Name of the Deceased or Legally Incapacitated Inventor :

If the Applicant is an Organization check here: ☒

Organization Name: Midwest Energy Emissions Corp.

Prefix	Given Name	Middle Name	Family Name	Suffix

Mailing Address Information:

Address 1	~~670 D Enterprise Dr~~ 1810 Jester Dr		
Address 2			
City	~~Lewis Center~~ Corsicana	**State/Province**	~~OH~~ TX
Country	United States of America	**Postal Code**	~~43035~~ 75109
Phone Number			
Email Address			

AMEREN UE EXHIBIT 1027
Page 717

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	4820.001U10
	Application Number	15/997,091
Title of Invention	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY	

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.
This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.

If the Assignee or Non-Applicant Assignee is an Organization check here. ☐

Prefix	Given Name	Middle Name	Family Name	Suffix

Mailing Address Information For Assignee including Non-Applicant Assignee:

Address 1			
Address 2			
City		State/Province	
Country		Postal Code	
Phone Number		Fax Number	
Email Address			

Signature:

NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). **However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).**

This Application Data Sheet **must** be signed by a patent practitioner if one or more of the applicants is **a juristic entity** (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, **all** joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of **all** joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

Signature	/Nicholas Lanzatella/			Date (YYYY-MM-DD)	2020-01-28
First Name	Nicholas	Last Name	Lanzatella	Registration Number	63,803

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-14**

Amended PTO/AIA/96 (08-12)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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STATEMENT UNDER 37 CFR 3.73(c)

Applicant/Patent Owner: Midwest Energy Emissions Corp.

Application No./Patent No.: 15/997,091 Filed/Issue Date: June 4, 2018

Titled: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

Midwest Energy Emissions Corp. a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.

2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):

☐ The extent (by percentage) of its ownership interest is _____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.

☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3 ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: PAVLISH, JOHN H. To: ENERGY AND ENVIRONMENTAL RESEARCH CENTER FOUNDATION

The document was recorded in the United States Patent and Trademark Office at
Reel 050462, Frame 0111, or for which a copy thereof is attached.

2. From: OLSON, EDWIN S. To: ENERGY AND ENVIRONMENTAL RESEARCH CENTER FOUNDATION

The document was recorded in the United States Patent and Trademark Office at
Reel 050461, Frame 0076, or for which a copy thereof is attached.

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

Amended PTO/AIA/96 (08-12)
Approved for use through 01/31/2013. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)

3. From: HOLMES, MICHAEL J. To: ENERGY AND ENVIRONMENTAL RESEARCH CENTER FOUNDATION

The document was recorded in the United States Patent and Trademark Office at
Reel 050461, Frame 0741, or for which a copy thereof is attached.

4. From: ENERGY AND ENVIRONMENTAL RESEARCH CENTER FOUNDATION To: MIDWEST ENERGY EMISSIONS CORP.

The document was recorded in the United States Patent and Trademark Office at
Reel 050462, Frame 0607, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Nicholas P. Lanzatella/

Signature

01/28/2020
Date

Nicholas P. Lanzatella
Printed or Typed Name

USPTO Reg. No. 63,803
Title or Registration Number

Electronic Acknowledgement Receipt

EFS ID:	38422251
Application Number:	15997091
International Application Number:	
Confirmation Number:	5161
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Customer Number:	21186
Filer:	Garrett M. Hall/Diana Johnson
Filer Authorized By:	Garrett M. Hall
Attorney Docket Number:	4820.001US10
Receipt Date:	28-JAN-2020
Filing Date:	04-JUN-2018
Time Stamp:	15:53:12
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		4820001U10UpdatedADSsigned.pdf	373891 d728d99c68e05826e34258c1d1b698bb02d750b8	yes	10

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Transmittal Letter	1	1
	Request for Corrected Filing Receipt	2	2
	Application Data Sheet	3	8
	Assignee showing of ownership per 37 CFR 3.73	9	10
Warnings:			
Information:			
	Total Files Size (in bytes):	373891	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Edwin S. Olson et al.
Title: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

Docket No.:	4820.001U10		
Filed:	June 4, 2018	Serial No.:	15/997,091
Examiner:	Amber Orlando	Group Art Unit:	1776
Customer No.:	21186	Confirmation No.:	5161

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the attached:

X Communication Re: Update to Application Data Sheet (1 Pg.).
X Marked Up Application Data Sheet (6 Pgs.).
X Statement Under 37 CFR 3.73 (c) (2 pgs.)

It is believed that no additional fee is required. However, if necessary, please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

Schwegman Lundberg & Woessner, P.A.
Customer No.: 21186

By /Nicholas P. Lanzatella/
Nicholas P. Lanzatella
Reg. No. 63,803

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being filed using the USPTO's electronic filing system EFS-Web, and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 28th day of January, 2020.

Diana Johnson
Name

/Diana Johnson/
Signature

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
15/997,091	06/04/2018	1776	2720	4820.001US10	20	1

CONFIRMATION NO. 5161

CORRECTED FILING RECEIPT

21186
SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

OC000000114302051

Date Mailed: 01/30/2020

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

Inventor(s)

Edwin S. Olson, Grand Forks, ND;
Michael J. Holmes, Thompson, ND;
John H. Pavlish, East Grand Forks, MN;

Applicant(s)

Midwest Energy Emissions Corp., Corsicana, TX;

Power of Attorney: The patent practitioners associated with Customer Number 21186

Domestic Priority data as claimed by applicant

This application is a CON of 15/974,343 05/08/2018
which is a CON of 15/951,970 04/12/2018
which is a CON of 14/712,558 05/14/2015
which is a CON of 14/318,270 06/27/2014 PAT 9757689
which is a CON of 13/966,768 08/14/2013 PAT 8821819
which is a CON of 13/427,665 03/22/2012 PAT 8512655
which is a CON of 12/419,219 04/06/2009 PAT 8168147
which is a CON of 12/201,595 08/29/2008 ABN
which is a CON of 11/209,163 08/22/2005 PAT 7435286
which claims benefit of 60/605,640 08/30/2004

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.) - None.

Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 06/21/2018

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 15/997,091**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

Preliminary Class

095

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign

patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/997,091	03/24/2020	10596517	4820.001US10	5161

21186 7590 03/04/2020

SCHWEGMAN LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Edwin S. Olson, Grand Forks, ND;
Midwest Energy Emissions Corp., Corsicana, TX;
Michael J. Holmes, Thompson, ND;
John H. Pavlish, East Grand Forks, MN;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit SelectUSA.gov.

IN UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.:	10,596,517 B2	Docket No: 4820.001U10	
Issue Date:	Mar. 24, 2020	Patentee: Midwest Energy Emissions Corp.	
Customer No.:	21186	Confirmation No.: 5161	
Title	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY		

REQUEST FOR CERTIFICATE OF CORRECTION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
ATTN: CERTIFICATE OF CORRECTION BRANCH

It is requested that a Certificate of Correction be issued correcting printing errors appearing in the above-identified United States patent. A copy of the text of the Certificate in the suggested form is enclosed.

Authorization is given to charge Deposit Account No. 19-0743 in the amount of $160.00 to cover the Certificate of Correction Fee.

Issuance of the Certificate of Correction would neither expand nor contract the scope of the claims as properly allowed, and re-examination is not required.

The Examiner is authorized to charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully Submitted,

SCHWEGMAN, LUNDBERG & WOESSNER, P.A
P.O. Box 2938
Minneapolis, MN 55402
(612) 349-9580

Date : October 26, 2020

By: /Nicholas P. Lanzatella/
Nicholas P. Lanzatella
Reg. No: 63,803

CERTIFICATE UNDER 37 CFR § 1.8: The undersigned hereby certifies that this correspondence is filed using the USPTO's electronic filing system EFS-Web, and is addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on this 26th day of October 2020

Janine Heitland
Name

/Janine Heitland/
Signature

PTO/SB/44 (02-01)
Approved for use through 01/31/2004. OMB 0651-0033
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO : 10,596,517 B2

DATED : Mar. 24, 2020

INVENTOR(S) : Olson et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On page 3, in column 2, under "Other Publications", line 3, delete "ated" and insert --dated-- therefor

On page 6, in column 2, under "Other Publications", line 28, delete "Actioin" and insert --Action-- therefor

On page 6, in column 2, under "Other Publications", line 39, delete "Serrial" and insert --Serial-- therefor

On page 7, in column 1, under "Other Publications", line 7, delete "dateed" and insert --dated-- therefor

On page 7, in column 2, under "Other Publications", line 16, delete "Seril" and insert --Serial-- therefor

On page 8, in column 2, under "Other Publications", line 16, delete "Combinded" and insert --Combined-- therefor

On page 8, in column 2, under "Other Publications", line 46, delete "Reducton" and insert --Reduction-- therefor

On sheet 6 of 11, Fig. 6, line 1, delete "O2" and insert --O_2-- therefor

On sheet 6 of 11, Fig. 6, line 1, delete "µg/dNm3," and insert --µg/dNm^3,-- therefor

In column 4, line 25, delete "grain" and insert --gram-- therefor

In column 11, line 25, delete "reagents)" and insert --reagent(s)-- therefor

MAILING ADDRESS OF SENDER:

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
Minneapolis, MN 55402

Atty Docket No: 4820.001U10

PATENT NO. 10,596,517

No. of additional copies

PTO/SB/44 (02-01)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO : 10,596,517 B2

DATED : Mar. 24, 2020

INVENTOR(S) : Olson et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In column 15, line 59, after "(2.5 inch (6.35 cm))", insert --.--

In column 16, line 58, after "water", insert --.--

In column 17, line 59, delete "(635" and insert --(6.35-- therefor

In column 19, line 3, delete "it" and insert --11-- therefor

In column 20, line 44, after "ranges", insert --(in--

In column 20, line 63, delete "fg/m^3." and insert --μg/m^3.-- therefor

In column 21, line 22, after "have", insert --a--

In column 22, line 46, delete "fit" and insert --frit-- therefor

In column 23, line 47, delete "According y," and insert --Accordingly,-- therefor

In column 25, line 54, delete "1.00 g" and insert --100 g-- therefor

In column 26, line 26, delete "O_2S_5." and insert --P_2S_5.-- therefor

In column 28, line 60, after "effective", insert --.--

In column 31, line 66, delete "here" and insert --There-- therefor

MAILING ADDRESS OF SENDER:

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
Minneapolis, MN 55402

Atty Docket No: 4820.001U10

PATENT NO. 10,596,517

No. of additional copies

PTO/SB/44 (02-01)
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(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO : 10,596,517 B2

DATED : Mar. 24, 2020

INVENTOR(S) : Olson et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In column 32, line 1, delete "his" and insert --This-- therefor

In column 33, line 9, delete "galium," and insert --gallium,-- therefor

In column 35, line 8, after "sorbent", insert --.--

In column 38, lines 10-11, in Claim 29, delete "or a combination thereof, or a combination thereof;" and insert --or a combination thereof;-- therefor

In column 38, lines 25-26, in Claim 30, delete "or a combination thereof, or a combination thereof;" and insert --or a combination thereof;-- therefor

MAILING ADDRESS OF SENDER:

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.
P.O. BOX 2938
Minneapolis, MN 55402

Atty Docket No: 4820.001U10

PATENT NO. 10,596,517

No. of additional copies

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Midwest Energy Emissions Corp.
Examiner: Amber R. Orlando

Patent No.: 10,596,517 B2
Group Art Unit: 1776

Issue Date: Mar. 24, 2020
Docket No: 4820.001U1

Title: SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
ATTN: CERTIFICATE OF CORRECTION BRANCH

We are transmitting herewith the attached:

X Authorization to charge Deposit Account No. 19-0743 in the amount of $160.00 to cover the Certificate of Correction Fee.
X Request for Certificate of Correction. (1 pg.)
X Certificate of Correction Form - PTO-1050 (3 pgs.)

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By: /Nicholas P. Lanzatella/
Nicholas P. Lanzatella
Reg. No: 63,803

CERTIFICATE UNDER 37 CFR § 1.8: The undersigned hereby certifies that this correspondence is filed using the USPTO's electronic filing system EFS-Web, and is addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on this 26th day of October 2020.

Janine Heitland
Name

/Janine Heitland/
Signature

Electronic Patent Application Fee Transmittal

Application Number:	15997091
Filing Date:	04-Jun-2018
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Filer:	Susan Yee/Janine Heitland
Attorney Docket Number:	4820.001US10

Filed as Large Entity

Filing Fees for Utility under 35 USC 111(a)

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
CERTIFICATE OF CORRECTION	1811	1	160	160

Description	Fee Code	Quantity	Amount	Sub-Total in USD($)
Extension-of-Time:				
Miscellaneous:				
			Total in USD ($)	**160**

Electronic Acknowledgement Receipt

EFS ID:	40940642
Application Number:	15997091
International Application Number:	
Confirmation Number:	5161
Title of Invention:	SORBENTS FOR THE OXIDATION AND REMOVAL OF MERCURY
First Named Inventor/Applicant Name:	Edwin S. Olson
Customer Number:	21186
Filer:	Susan Yee/Janine Heitland
Filer Authorized By:	Susan Yee
Attorney Docket Number:	4820.001US10
Receipt Date:	26-OCT-2020
Filing Date:	04-JUN-2018
Time Stamp:	10:45:08
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	$160
RAM confirmation Number	E20200PA46196596
Deposit Account	190743
Authorized User	Janine Heitland

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.16 (National application filing, search, and examination fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.19 (Document supply fees)

37 CFR 1.20 (Post Issuance fees)

37 CFR 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		4820001U10COC.pdf	250259 51149170f36ea7c833c4946c1c6eaf86efc4dd6c	yes	5

	Multipart Description/PDF files in .zip description		
	Document Description	**Start**	**End**
	Request for Certificate of Correction	2	5
	Transmittal Letter	1	1

Warnings:

Information:

2	Fee Worksheet (SB06)	fee-info.pdf	30285 4c570dd13f88ae1699827df715a4788a46b95e57	no	2

Warnings:

Information:

Total Files Size (in bytes):	280544

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES PATENT AND TRADEMARK OFFICE

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www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/997,091	06/04/2018	Edwin S. Olson	4820.001US10	5161

21186 7590 11/19/2020

SCHWEGMAN LUNDBERG & WOESSNER, P.A.
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EXAMINER	
ORLANDO, AMBER ROSE	

ART UNIT	PAPER NUMBER
1776	

NOTIFICATION DATE	DELIVERY MODE
11/19/2020	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

SLW@blackhillsip.com
uspto@slwip.com

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Commissioner for Patents
United States Patent and Trademark Office
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www.uspto.gov

Patent No.: 10596517
Issue Date: 03/24/2020
Appl. No.: 15/997,091
Filed: 06/04/2018

PART (A) RESPONSE FOR CERTIFICATES OF CORRECTION

This is a decision on the Certificate of Correction request filed 26 October 2020.

The request for issuance of Certificate of Correction for the above-identified correction(s) under the provisions of 37 CFR 1.322 and/or 1.323 is hereby:

(Check one)
☑ Approved ☐ Approved in Part ☐ Denied

Comments: ____

PART (B) PETITION UNDER 37 CFR 1.324 OR 37 CFR 1.48

☐ This is a decision on the petition filed ____ to correct inventorship under 37 CFR 1.324.

☐ This is a decision on the request under 37 CFR 1.48, petition filed ____. In view of the fact that the patent has already issued, the request under 37 CFR 1.48 has been treated as a petition to correct inventorship under 37 CFR 1.324.

The petition is hereby: ☐ Granted ☐ Dismissed

Comment: ____

The patented filed is being forwarded to Certificate of Corrections Branch for issuance of a certificate naming only the actual inventor or inventors.

/AMBER R ORLANDO/
Supervisory Patent Examiner, Art Unit 1791
Technology Center 1700
Phone: (571)270-3149

Certificates of Correction Branch email: CustomerServiceCoC@uspto.gov CoC Central Phone Number: (703) 756-1814

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 10,596,517 B2
APPLICATION NO. : 15/997091
DATED : March 24, 2020
INVENTOR(S) : Olson et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Title Page

On page 3, in Column 2, item (56) under "Other Publications", Line 3, delete "ated" and insert --dated-- therefor

On page 6, in Column 2, item (56) under "Other Publications", Line 28, delete "Actioin" and insert --Action-- therefor

On page 6, in Column 2, item (56) under "Other Publications", Line 39, delete "Serrial" and insert --Serial-- therefor

On page 7, in Column 1, item (56) under "Other Publications", Line 7, delete "dateed" and insert --dated-- therefor

On page 7, in Column 2, item (56) under "Other Publications", Line 16, delete "Seril" and insert --Serial-- therefor

On page 8, in Column 2, item (56) under "Other Publications", Line 16, delete "Combinded" and insert --Combined-- therefor

On page 8, in Column 2, item (56) under "Other Publications", Line 46, delete "Reducton" and insert --Reduction-- therefor

In the Drawings

On sheet 6 of 11, Fig. 6, Line 1, delete "O2" and insert --O_2-- therefor

On sheet 6 of 11, Fig. 6, Line 1, delete "µg/dNm3," and insert --µg/dNm^3,-- therefor

Signed and Sealed this
Fifteenth Day of December, 2020

Andrei Iancu
Director of the United States Patent and Trademark Office

In the Specification

In Column 4, Line 25, delete “grain” and insert --gram-- therefor

In Column 11, Line 25, delete “reagents)” and insert --reagent(s)-- therefor

In Column 15, Line 59, after “(2.5 inch (6.35 cm))”, insert --.--

In Column 16, Line 58, after “water”, insert --.--

In Column 17, Line 59, delete “(635” and insert --(6.35-- therefor

In Column 19, Line 3, delete “it” and insert --11-- therefor

In Column 20, Line 44, after “ranges”, insert --(in--

In Column 20, Line 63, delete “fg/m^3.” and insert --μg/m^3.-- therefor

In Column 21, Line 22, after “have”, insert --a--

In Column 22, Line 46, delete “fit” and insert --frit-- therefor

In Column 23, Line 47, delete “According y,” and insert --Accordingly,-- therefor

In Column 25, Line 54, delete “1.00 g” and insert --100 g-- therefor

In Column 26, Line 26, delete “O_2S_5.” and insert --P_2S_5.-- therefor

In Column 28, Line 60, after “effective”, insert --.--

In Column 31, Line 66, delete “here” and insert --There-- therefor

In Column 32, Line 1, delete “his” and insert --This-- therefor

In Column 33, Line 9, delete “galium,” and insert --gallium,-- therefor

In Column 35, Line 8, after “sorbent”, insert --.--

In the Claims

In Column 38, Lines 10-11, in Claim 29, delete “or a combination thereof, or a combination thereof;” and insert --or a combination thereof;-- therefor

In Column 38, Lines 25-26, in Claim 30, delete “or a combination thereof, or a combination thereof;” and insert --or a combination thereof;-- therefor